Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

**2013**

**Open to Public Inspection**

**For calendar year 2013 or tax year beginning , 2013, and ending , 20**

Name of foundation: THE PAUL AND EMILY SINGER FAMILY FOUNDATION

**A** Employer identification number: 26-3875584

Number and street (or P O box number if mail is not delivered to street address): 2415 VELVET RIDGE DRIVE

Room/suite:

**B** Telephone number (see instructions): (443) 463-5623

City or town, state or province, country, and ZIP or foreign postal code: OWINGS MILLS, MD 21117

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 2,541,380.

**J** Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc, received (attach schedule) | 50,000. | | | |
| | 2 Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 72,728. | 72,728. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 322,068. | | | |
| | b Gross sales price for all assets on line 6a 1,809,113. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 322,068. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 **Total.** Add lines 1 through 11 | 444,796. | 394,796. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 24,000. | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) ATCH 1 | 3,752. | | | |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) ATCH 2 | 2,527. | 2,527. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | 860. | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) ATCH 3 | 87,996. | 87,897. | | |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 119,135. | 90,424. | | |
| | 25 Contributions, gifts, grants paid | 107,725. | | | 107,725. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 226,860. | 90,424. | 0 | 107,725. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | 217,936. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 304,372. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

RECEIVED NOV 2 8 2014 OGDEN, UT

SCANNED DEC 0 8 2014

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | 9,750. | 4,587. | 4,587. |
| | 2 | Savings and temporary cash investments | 283,172. | 42,265. | 42,265. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less. allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less. allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) ATCH 4 | 1,156,761. | 2,378,114. | 2,494,528. |
| | c | Investments - corporate bonds (attach schedule) | 757,347. | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 2,207,030. | 2,424,966. | 2,541,380. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | 2,207,030. | 2,424,966. | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117,** ▶ [ ] **check here and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 2,207,030. | 2,424,966. | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 2,207,030. | 2,424,966. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 2,207,030. |
| 2 | Enter amount from Part I, line 27a | 2 | 217,936. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 2,424,966. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 2,424,966. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F.M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col. (j), if any | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 322,068. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | 3 | 0 |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 59,325. | 2,239,947. | 0.026485 |
| 2011 | 59,743. | 1,528,955. | 0.039074 |
| 2010 | 57,047. | 1,364,793. | 0.041799 |
| 2009 | 50,101. | 1,114,545. | 0.044952 |
| 2008 | | 492,518. | |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.152310 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.030462 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 2,414,525. |
| 5 | Multiply line 4 by line 3 | 5 | 73,551. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 3,044. |
| 7 | Add lines 5 and 6 | 7 | 76,595. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 107,725. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | 1 | 3,044. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 3,044. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 3,044. |
| 6 | Credits/Payments | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 864. | | |
| b | Exempt foreign organizations - tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 864. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 2,180. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MD, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |
| | Website address ▶ N/A | | | |
| 14 | The books are in care of ▶ BRIAN SINGER Telephone no ▶ 443-463-5623<br>Located at ▶ 2009 FARM POND COURT REISTERSTOWN, MD ZIP+4 ▶ 21136 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐<br>and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | | |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here<br>▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | [ ] Yes [X] No | | |
| (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | [ ] Yes [X] No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | [ ] Yes [X] No | | |
| (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) | [ ] Yes [X] No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | [ ] Yes [X] No | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | | 5b | |
| Organizations relying on a current notice regarding disaster assistance check here ▶ [ ] | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945-5(d).* | [ ] Yes [ ] No | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | [ ] Yes [X] No | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870* | | 6b | X |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | [ ] Yes [X] No | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b | X |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATCH 5 | | 24,000. | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | 0 |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes. | | |
| a | Average monthly fair market value of securities | 1a | 2,158,590. |
| b | Average of monthly cash balances | 1b | 292,704. |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 2,451,294. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 2,451,294. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 36,769. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 2,414,525. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 120,726. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 120,726. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 3,044. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 3,044. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 117,682. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 117,682. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 117,682. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 107,725. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 107,725. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 3,044. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 104,681. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2013 from Part XI, line 7 | | | | 117,682. |
| 2 | Undistributed income, if any, as of the end of 2013 | | | | |
| a | Enter amount for 2012 only | | | 107,719. | |
| b | Total for prior years 20 11 ,20 10 ,20 09 | | | | |
| 3 | Excess distributions carryover, if any, to 2013 | | | | |
| a | From 2008 | | | | |
| b | From 2009 | | | | |
| c | From 2010 | | | | |
| d | From 2011 | | | | |
| e | From 2012 | | | | |
| f | Total of lines 3a through e | 0 | | | |
| 4 | Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 107,725. | | | | |
| a | Applied to 2012, but not more than line 2a | | | 107,719. | |
| b | Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c | Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d | Applied to 2013 distributable amount | | | | 6. |
| e | Remaining amount distributed out of corpus | | | | |
| 5 | Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d | Subtract line 6c from line 6b Taxable amount - see instructions | | | | |
| e | Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions | | | | |
| f | Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 117,676. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | |
| 8 | Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 | **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 | Analysis of line 9 | | | | |
| a | Excess from 2009 | | | | |
| b | Excess from 2010 | | | | |
| c | Excess from 2011 | | | | |
| d | Excess from 2012 | | | | |
| e | Excess from 2013 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon<br>a "Assets" alternative test - enter<br>(1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ATCH 6 | | | | |
| **Total** . . . . . . . . ▶ **3a** | | | | 107,725. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 72,728. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 322,068. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 394,796. | |

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 394,796.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| NONE | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | N/A |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 11/17/14 | Title: ADMINISTRATOR

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Kellee DiAngelo | Kellee DiAngelo CPA | 11/17/14 | | P00534314 |

Firm's name ▶ GRANT THORNTON LLP — Firm's EIN ▶ 36-6055558

Firm's address ▶ 1 SOUTH ST., STE 2400 BALTIMORE, MD 21202 — Phone no 410-685-4000

| Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service | **Schedule of Contributors** ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs.gov/form990*. | OMB No 1545-0047 2013 |
|---|---|---|

| Name of the organization | Employer identification number |
|---|---|
| THE PAUL AND EMILY SINGER FAMILY FOUNDATION | 26-3875584 |

**Organization type** (check one):

**Filers of:** **Section:**

Form 990 or 990-EZ

- [ ] 501(c)( ) (enter number) organization
- [ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- [ ] 527 political organization

Form 990-PF

- [X] 501(c)(3) exempt private foundation
- [ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
- [ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

- [X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

- [ ] For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

- [ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

- [ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $______________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE PAUL AND EMILY SINGER FAMILY FOUNDATION

Employer identification number 26-3875584

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | PAUL AND EMILY SINGER<br>2415 VELVET RIDGE DRIVE<br>OWINGS MILLS, MD 21117 | $ 50,000. | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |

Name of organization THE PAUL AND EMILY SINGER FAMILY FOUNDATION

Employer identification number 26-3875584

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| ---- | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| ---- | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| ---- | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| ---- | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| ---- | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| ---- | | $ | |

Name of organization THE PAUL AND EMILY SINGER FAMILY FOUNDATION

Employer identification number 26-3875584

**Part III** *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry.

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL CAPITAL GAIN DISTRIBUTIONS | | | | | 133. | |
| | | BARCLAYS #43746 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 37,219. | | 36,950. | | | | | 269. | |
| | | BARCLAYS #43746 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 230,418. | | 214,611. | | | | | 15,807. | |
| | | BARCLAYS #43747 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 2,915. | | 2,490. | | | | | 425. | |
| | | BARCLAYS #43747 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 14,884. | | 12,149. | | | | | 2,735. | |
| | | BARCLAYS #43752 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 8,889. | | 7,477. | | | | | 1,412. | |
| | | BARCLAYS #43752 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 18,390. | | 15,075. | | | | | 3,315. | |
| | | BARCLAYS #43780 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 3,764. | | 4,806. | | | | | -1,042. | |
| | | BARCLAYS #43780 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 24,986. | | 22,899. | | | | | 2,087. | |
| | | BARCLAYS #00226 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 198,530. | | 180,576. | | | | | 17,954. | |
| | | BARCLAYS #00226 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 311,759. | | 246,240. | | | | | 65,519. | |
| | | WELLS FARGO #97600 (SEE ATTACHED)<br>PROPERTY TYPE: SECURITIES | | | | P | VAR | VAR |
| 376,000. | | 331,203. | | | | | 44,797. | |
| | | WELLS FARGO #97600 (SEE ATTACHED) | | | | P | VAR | VAR |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 14,154. | | 9,927. | | | | | 4,227. | |
| | | WELLS FARGO #97600 (SEE ATTACHED) | | | | P | VAR | VAR |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 477,613. | | 317,880. | | | | | 159,733. | |
| | | WELLS FARGO #97600 (SEE ATTACHED) | | | | | VAR | VAR |
| 19,600. | | 14,903. | | | | | 4,697. | |
| TOTAL GAIN(LOSS) | | | | | | | 322,068. | |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

## *Account 837-43780-1-9-206*

## *P&E SINGER FAM FND*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 09-07-11 | 01-07-13 | 123 | ***Qiagen Nv Eur 0 01 (Nasdaq Listed) | 1,804 41 | 2,288 01 | | 483 60 |
| **01-02-50** | **02-04-13** | **10** | **Schlumberger Ltd** | **0.00 A** | **794.86** | | **794.86** |
| 09-07-11 | 02-08-13 | 1,238 | ***Li & Fung Ltd Unsponsored Adr | 4,642 50 | 3,184 06 | | -1,458 44 |
| 02-08-12 | 02-08-13 | 177 | ***Li & Fung Ltd Unsponsored Adr | 811.67 | 455 23 | -356 44 | |
| **01-02-50** | **02-14-13** | **13** | **Schlumberger Ltd** | **0.00 A** | **1,039.11** | | **1,039.11** |
| 09-07-11 | 02-21-13 | 17 | ***Arm Holdings Plc Sponsored Adr | 455 60 | 713 25 | | 257.65 |
| 09-07-11 | 03-08-13 | 46 | ***Swatch Group Ag (The) Unsponsored Adr | 977 50 | 1,303.19 | | 325 69 |
| 09-07-11 | 03-15-13 | 136 | ***America Movil S A B De C V Sponsored Ad | 3,348 32 | 2,556 10 | | -792 22 |
| 09-07-11 | 05-08-13 | 52 | ***Sonova Holding Ag Unsponsored Adr | 810 68 | 1,120 83 | | 310.15 |
| 09-07-11 | 06-05-13 | 40 | ***Hsbc Holdings Plc Sponsored Adr | 1,671 60 | 2,206 70 | | 535 10 |
| 09-07-11 | 06-18-13 | 74 | ***Arm Holdings Plc Sponsored Adr | 1,983 20 | 2,932.04 | | 948 84 |
| 09-07-11 | 07-24-13 | 44 | ***Air Liquide-Adr | 1,012 80 | 1,141 23 | | 128 43 |
| 09-07-11 | 09-12-13 | 59 | ***Lonza Group Ag Zuerich Unsponsored Adr | 352 23 | 472 88 | | 120 65 |
| 09-07-11 | 09-13-13 | 206 | ***Lonza Group Ag Zuerich Unsponsored Adr | 1,229 82 | 1,643 50 | | 413 68 |
| 09-25-12 | 09-19-13 | 60 | ***Potash Corp Of Saskatchewan Inc Canadia | 2,617.02 | 1,917 39 | -699.63 | |
| 12-12-12 | 09-19-13 | 19 | ***Potash Corp Of Saskatchewan Inc Canadia | 779 96 | 607.17 | -172 79 | |
| 06-27-12 | 09-23-13 | 58 | ***Xinyi Glass Holdings Ltd Unsponsored Ad | 616 91 | 1,047.40 | | 430 49 |
| 09-07-11 | 09-27-13 | 90 | ***Lonza Group Ag Zuerich Unsponsored Adr | 537 30 | 735 34 | | 198 04 |
| 02-08-13 | 09-27-13 | 96 | ***Lonza Group Ag Zuerich Unsponsored Adr | 597 36 | 784 36 | 187.00 | |
| 09-07-11 | 10-07-13 | 56 | ***Fresenius Medical Care Ag & Co Kgaa Spo | 1,951 04 | 1,807 04 | | -144 00 |
| TOTAL GAINS | | | | | | 187 00 | 5,986 29 |
| TOTAL LOSSES | | | | | | -1,228.86 | -2,394 66 |
| | | | | **26,199.92** | **28,749.69** | **-1,041.86** | **3,591.63** |
| TOTAL REALIZED GAIN/LOSS | | | 2,549 77 | A + 1,505 27 Transferred Shares, Cost Basis | | | - 1,505 27 |
| | | | | 27,705 19 Cost Basis, Updated | | | 2,086 36 |

| | Cost | Proceeds | Gain/Loss |
|---|---|---|---|
| Total Long Term Gain | 11,452 05 | 17,438 34 | 5,986 29 |
| Total Long Term Loss | 9,941 86 | 7,547.20 | -2,394.66 |
| Total Short Term Gain | 597 36 | 784 36 | 187.00 |
| Total Short Term Loss | 4,208 65 | 2,979 79 | -1,228 86 |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

## *Account 575-00226-1-7-206*

## *THE PAUL & EMILY SINGER FAMILY FOUNDATION*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 03-20-13 | 04-15-13 | 55 | ***Delphi Automotive Plc Shs Us Listed | 2,377 67 | 2,349 87 | -27.80 | |
| **01-02-50** | **04-15-13** | A **16** | *****Ingersoll Rand Plc** | **0.00** | **865.10** | | **865.10** |
| 09-07-11 | 04-15-13 | 55 | ***Ingersoll Rand Plc | 1,900 80 | 2,973.78 | | 1,072 98 |
| 01-09-12 | 04-15-13 | 78 | ***Ingersoll Rand Plc | 2,535 00 | 4,217 36 | | 1,682 36 |
| 02-07-13 | 04-15-13 | 14 | ***Check Point Software Technologies Ltd | 712 06 | 640 87 | -71 19 | |
| 02-08-13 | 04-15-13 | 13 | ***Check Point Software Technologies Ltd | 669 47 | 595 09 | -74.38 | |
| 02-12-13 | 04-15-13 | 14 | ***Check Point Software Technologies Ltd | 721 58 | 640 86 | -80 72 | |
| 02-13-13 | 04-15-13 | 18 | ***Check Point Software Technologies Ltd | 925 03 | 823 97 | -101 06 | |
| 02-14-13 | 04-15-13 | 19 | ***Check Point Software Technologies Ltd | 972 04 | 869.75 | -102 29 | |
| 02-15-13 | 04-15-13 | 14 | ***Check Point Software Technologies Ltd | 721 40 | 640.86 | -80 54 | |
| 09-07-11 | 04-15-13 | 91 | Abbott Laboratories | 2,285 90 | 3,316 43 | | 1,030 53 |
| 01-09-12 | 04-15-13 | 70 | Abbott Laboratories | 1,874 24 | 2,551 10 | | 676 86 |
| 02-01-12 | 04-15-13 | 29 | Abbott Laboratories | 755 74 | 1,056 89 | | 301 15 |
| 01-25-13 | 04-15-13 | 21 | Abbott Laboratories | 689.18 | 765 33 | 76.15 | |
| 09-07-11 | 04-15-13 | 91 | Abbvie Inc | 2,478 86 | 3,837 38 | | 1,358 52 |
| 01-09-12 | 04-15-13 | 70 | Abbvie Inc | 2,032 46 | 2,951 84 | | 919 38 |
| 02-01-12 | 04-15-13 | 29 | Abbvie Inc | 819 54 | 1,222.90 | | 403.36 |
| 09-07-11 | 04-15-13 | 68 | Aetna Inc New | 2,732 92 | 3,787 61 | | 1,054 69 |
| 01-09-12 | 04-15-13 | 90 | Aetna Inc New | 3,955 50 | 5,013 01 | | 1,057 51 |
| 08-28-12 | 04-15-13 | 23 | Agilent Technologies Inc | 854 42 | 994 54 | 140 12 | |
| 08-29-12 | 04-15-13 | 18 | Agilent Technologies Inc | 669 27 | 778 34 | 109 07 | |
| 08-30-12 | 04-15-13 | 12 | Agilent Technologies Inc | 444 44 | 518 89 | 74.45 | |
| 09-04-12 | 04-15-13 | 35 | Agilent Technologies Inc | 1,295 71 | 1,513 43 | 217.72 | |
| 09-05-12 | 04-15-13 | 30 | Agilent Technologies Inc | 1,102 31 | 1,297.23 | 194 92 | |
| 09-14-12 | 04-15-13 | 8 | Agilent Technologies Inc | 317 83 | 345.93 | 28.10 | |
| 09-17-12 | 04-15-13 | 11 | Agilent Technologies Inc | 436 18 | 475.65 | 39 47 | |
| 09-18-12 | 04-15-13 | 10 | Agilent Technologies Inc | 396 18 | 432 41 | 36 23 | |
| 09-19-12 | 04-15-13 | 11 | Agilent Technologies Inc | 437 10 | 475 65 | 38 55 | |
| 09-20-12 | 04-15-13 | 14 | Agilent Technologies Inc | 550 99 | 605 37 | 54 38 | |
| 09-24-12 | 04-15-13 | 24 | Agilent Technologies Inc | 930 35 | 1,037 78 | 107 43 | |
| 09-25-12 | 04-15-13 | 17 | Agilent Technologies Inc | 657 05 | 735 10 | 78 05 | |
| 09-26-12 | 04-15-13 | 8 | Agilent Technologies Inc | 305 22 | 345.92 | 40 70 | |
| 09-27-12 | 04-15-13 | 8 | Agilent Technologies Inc | 308 25 | 345.93 | 37 68 | |
| 01-25-13 | 04-15-13 | 63 | American International Group Inc | 2,298 46 | 2,440.56 | 142 10 | |
| 02-22-13 | 04-15-13 | 26 | American International Group Inc | 992 95 | 1,007.22 | 14 27 | |
| 03-12-13 | 04-15-13 | 34 | American International Group Inc | 1,326 55 | 1,317 13 | -9.42 | |
| 09-07-11 | 04-15-13 | 26 | Ameriprise Finl Inc | 1,141.14 | 1,882 05 | | 740.91 |
| 01-09-12 | 04-15-13 | 59 | Ameriprise Finl Inc | 3,020.21 | 4,270 81 | | 1,250.60 |
| **01-02-50** | **04-15-13** | A **100** | **Applied Materials Inc** | **0.00** | **1,334.97** | | **1,334.97** |
| 09-07-11 | 04-15-13 | 83 | Applied Materials Inc | 913 00 | 1,108.02 | | 195 02 |
| 01-09-12 | 04-15-13 | 132 | Applied Materials Inc | 1,495 56 | 1,762 16 | | 266 60 |
| 12-17-12 | 04-15-13 | 39 | Applied Materials Inc | 431 97 | 520 64 | 88 67 | |
| 12-18-12 | 04-15-13 | 41 | Applied Materials Inc | 459 03 | 547 34 | 88 31 | |
| 12-21-12 | 04-15-13 | 95 | Applied Materials Inc | 1,084 41 | 1,268.22 | 183 81 | |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

### *Account 575-00226-1-7-206*

### *THE PAUL & EMILY SINGER FAMILY FOUNDATION*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 12-11-12 | 04-15-13 | 54 | Blackrock Inc | 10,740 65 | 13,773 68 | 3,033.03 | |
| 09-07-11 | 04-15-13 | 80 | Boeing Co | 5,204 90 | 6,985 94 | | 1,781 04 |
| 01-09-12 | 04-15-13 | 62 | Boeing Co | 4,620 86 | 5,414 11 | | 793 25 |
| 09-07-11 | 04-15-13 | 53 | Cit Group Inc Com | 1,804 66 | 2,199 76 | | 395.10 |
| 01-09-12 | 04-15-13 | 41 | Cit Group Inc Com | 1,485 84 | 1,701 70 | | 215 86 |
| 03-14-12 | 04-15-13 | 41 | Cit Group Inc Com | 1,735 94 | 1,701.70 | | -34 24 |
| 10-23-12 | 04-15-13 | 22 | Cit Group Inc Com | 811.85 | 913 10 | 101.25 | |
| 10-24-12 | 04-15-13 | 22 | Cit Group Inc Com | 824 28 | 913 11 | 88.83 | |
| 08-03-12 | 04-15-13 | 4 | Cme Group Inc | 203 81 | 237.52 | 33.71 | |
| 08-06-12 | 04-15-13 | 13 | Cme Group Inc | 674 64 | 771 94 | 97.30 | |
| 08-07-12 | 04-15-13 | 8 | Cme Group Inc | 424 14 | 475 04 | 50 90 | |
| 08-09-12 | 04-15-13 | 28 | Cme Group Inc | 1,496 61 | 1,662.64 | 166.03 | |
| 08-10-12 | 04-15-13 | 4 | Cme Group Inc | 213 70 | 237 52 | 23 82 | |
| 08-13-12 | 04-15-13 | 8 | Cme Group Inc | 427 04 | 475.04 | 48 00 | |
| 08-14-12 | 04-15-13 | 8 | Cme Group Inc | 422 49 | 475.04 | 52 55 | |
| 08-15-12 | 04-15-13 | 9 | Cme Group Inc | 474 58 | 534 42 | 59 84 | |
| 12-17-12 | 04-15-13 | 11 | Cme Group Inc | 565 68 | 653.18 | 87 50 | |
| 12-18-12 | 04-15-13 | 35 | Cme Group Inc | 1,827 21 | 2,078.30 | 251 09 | |
| 01-10-13 | 04-15-13 | 31 | Cvs Caremark Corporation | 1,586 05 | 1,757.66 | 171 61 | |
| 01-11-13 | 04-15-13 | 36 | Cvs Caremark Corporation | 1,844 65 | 2,041 15 | 196.50 | |
| 01-14-13 | 04-15-13 | 8 | Cvs Caremark Corporation | 412 92 | 453 59 | 40.67 | |
| 01-16-13 | 04-15-13 | 4 | Cvs Caremark Corporation | 207 00 | 226 80 | 19.80 | |
| 01-18-13 | 04-15-13 | 9 | Cvs Caremark Corporation | 468 00 | 510.29 | 42 29 | |
| 01-25-13 | 04-15-13 | 24 | Cvs Caremark Corporation | 1,244 08 | 1,360 77 | 116 69 | |
| 02-07-13 | 04-15-13 | 25 | Cvs Caremark Corporation | 1,277.05 | 1,417 47 | 140.42 | |
| 02-12-13 | 04-15-13 | 23 | Cvs Caremark Corporation | 1,178 00 | 1,304.07 | 126.07 | |
| 04-05-13 | 04-15-13 | 4 | Cvs Caremark Corporation | 221 73 | 226 79 | 5 06 | |
| 09-07-11 | 04-15-13 | 70 | Cameron International Corporation | 3,523 80 | 4,165 26 | | 641 46 |
| 01-09-12 | 04-15-13 | 50 | Cameron International Corporation | 2,541.00 | 2,975 19 | | 434 19 |
| 04-12-12 | 04-15-13 | 39 | Capital One Financial Corp | 2,125 49 | 2,087.19 | | -38 30 |
| 05-15-12 | 04-15-13 | 22 | Capital One Financial Corp | 1,130 29 | 1,177.39 | 47 10 | |
| 06-07-12 | 04-15-13 | 19 | Capital One Financial Corp | 983.33 | 1,016 84 | 33.51 | |
| 10-15-12 | 04-15-13 | 7 | Capital One Financial Corp | 402 14 | 374.62 | -27.52 | |
| 10-25-12 | 04-15-13 | 7 | Capital One Financial Corp | 420 00 | 374.63 | -45 37 | |
| 10-26-12 | 04-15-13 | 7 | Capital One Financial Corp | 418 92 | 374.62 | -44 30 | |
| 01-18-13 | 04-15-13 | 20 | Capital One Financial Corp | 1,136.80 | 1,070 36 | -66 44 | |
| **01-02-50** | **04-15-13** | **A 94** | **Centurylink Inc** | **0.00** | **3,457.24** | | **3,457.24** |
| 11-08-11 | 04-15-13 | 26 | Centurylink Inc | 976.27 | 956 26 | | -20.01 |
| 01-09-12 | 04-15-13 | 95 | Centurylink Inc | 3,472 25 | 3,494 02 | | 21 77 |
| 02-14-13 | 04-15-13 | 65 | Centurylink Inc | 2,111 52 | 2,390 64 | 279.12 | |
| 03-12-13 | 04-15-13 | 18 | Citigroup Inc Com | 842 19 | 819 16 | -23 03 | |
| 03-18-13 | 04-15-13 | 60 | Citigroup Inc Com | 2,778 58 | 2,730.53 | -48 05 | |
| 04-01-13 | 04-15-13 | 31 | Citigroup Inc Com | 1,376 09 | 1,410 78 | 34 69 | |
| 05-16-12 | 04-15-13 | 10 | Cognizant Technology Solutions Corp-Cl A | 606 82 | 736 01 | 129.19 | |
| 05-17-12 | 04-15-13 | 14 | Cognizant Technology Solutions Corp-Cl A | 840 72 | 1,030.42 | 189 70 | |
| 05-18-12 | 04-15-13 | 17 | Cognizant Technology Solutions Corp-Cl A | 1,017 33 | 1,251 22 | 233.89 | |
| 05-21-12 | 04-15-13 | 27 | Cognizant Technology Solutions Corp-Cl A | 1,647 95 | 1,987 23 | 339 28 | |
| 12-18-12 | 04-15-13 | 22 | Cognizant Technology Solutions Corp-Cl A | 1,629 56 | 1,619.23 | -10 33 | |

# Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

***Account 575-00226-1-7-206***

***THE PAUL & EMILY SINGER FAMILY FOUNDATION***

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 09-07-11 | 04-15-13 | 29 | Colgate Palmolive Company | 2,643 35 | 3,413 06 | | 769 71 |
| 01-09-12 | 04-15-13 | 22 | Colgate Palmolive Company | 1,972 30 | 2,589.21 | | 616 91 |
| 09-07-11 | 04-15-13 | 70 | Comcast Corporation New Spl Class A | 1,479 10 | 2,760 04 | | 1,280 94 |
| 01-09-12 | 04-15-13 | 172 | Comcast Corporation New Spl Class A | 4,281 08 | 6,781.80 | | 2,500 72 |
| 01-02-50 | 04-15-13 | A 22 | Danaher Corp | 0.00 | 1,327 01 | | 1,327.01 |
| 09-07-11 | 04-15-13 | 41 | Davita Healthcare Partners Inc | 3,057.09 | 5,126 92 | | 2,069 83 |
| 01-09-12 | 04-15-13 | 55 | Davita Healthcare Partners Inc | 4,286 15 | 6,877.57 | | 2,591 42 |
| 01-02-50 | 04-15-13 | A 44 | Devon Energy Corporation New | 0.00 | 2,354.21 | | 2,354.21 |
| 01-09-12 | 04-15-13 | 33 | Devon Energy Corporation New | 2,168 43 | 1,765 66 | | -402 77 |
| 03-14-12 | 04-15-13 | 45 | Devon Energy Corporation New | 3,270 50 | 2,407.71 | | -862 79 |
| 09-07-11 | 04-15-13 | 68 | E I Du Pont De Nemours & Co | 3,220.48 | 3,332 32 | | 111 84 |
| 01-09-12 | 04-15-13 | 50 | E I Du Pont De Nemours & Co | 2,330 50 | 2,450 24 | | 119 74 |
| 03-14-12 | 04-15-13 | 7 | E I Du Pont De Nemours & Co | 370 23 | 343 03 | | -27 20 |
| 12-17-12 | 04-15-13 | 12 | E I Du Pont De Nemours & Co | 533 60 | 588 06 | 54 46 | |
| 12-18-12 | 04-15-13 | 11 | E I Du Pont De Nemours & Co | 493 61 | 539 05 | 45 44 | |
| 08-03-12 | 04-15-13 | 20 | Ecolab Inc | 1,286 74 | 1,621 56 | 334 82 | |
| 08-06-12 | 04-15-13 | 3 | Ecolab Inc | 193 85 | 243 24 | 49 39 | |
| 08-09-12 | 04-15-13 | 4 | Ecolab Inc | 257 56 | 324 31 | 66 75 | |
| 09-07-11 | 04-15-13 | 139 | Electronic Arts Inc | 3,120 55 | 2,367.19 | | -753 36 |
| 01-09-12 | 04-15-13 | 111 | Electronic Arts Inc | 2,142 30 | 1,890.34 | | -251 96 |
| 09-07-11 | 04-15-13 | 55 | Exxon Mobil Corp | 4,012 80 | 4,789 84 | | 777 04 |
| 01-09-12 | 04-15-13 | 71 | Exxon Mobil Corp | 6,065 53 | 6,183 25 | | 117 72 |
| 12-21-12 | 04-15-13 | 15 | Exxon Mobil Corp | 1,308 47 | 1,306 32 | -2 15 | |
| 09-07-11 | 04-15-13 | 113 | Fidelity National Information Services Inc | 3,019 36 | 4,557 24 | | 1,537 88 |
| 01-09-12 | 04-15-13 | 84 | Fidelity National Information Services Inc | 2,267 16 | 3,387 69 | | 1,120 53 |
| 09-07-11 | 04-15-13 | 34 | Genuine Parts Co | 1,833 28 | 2,575 07 | | 741 79 |
| 01-09-12 | 04-15-13 | 38 | Genuine Parts Co | 2,331 30 | 2,878 02 | | 546 72 |
| 09-07-11 | 04-15-13 | 171 | Intl Game Technology | 2,527 38 | 2,833 46 | | 306 08 |
| 01-09-12 | 04-15-13 | 146 | Intl Game Technology | 2,461 56 | 2,419 21 | | -42 35 |
| 02-20-13 | 04-15-13 | 17 | Intl Game Technology | 277 11 | 281 69 | 4 58 | |
| 02-21-13 | 04-15-13 | 26 | Intl Game Technology | 417 58 | 430 82 | 13 24 | |
| 03-14-13 | 04-15-13 | 5 | Intl Game Technology | 86 63 | 82 85 | -3 78 | |
| 04-02-13 | 04-15-13 | 52 | Intl Game Technology | 857 18 | 861 64 | 4 46 | |
| 09-07-11 | 04-15-13 | 85 | International Paper Co | 2,386 80 | 3,874 21 | | 1,487 41 |
| 01-09-12 | 04-15-13 | 60 | International Paper Co | 1,864 20 | 2,734 74 | | 870 54 |
| 03-01-13 | 04-15-13 | 14 | International Paper Co | 623 69 | 638 10 | 14 41 | |
| 09-07-11 | 04-15-13 | 66 | Kohls Corp | 2,964 06 | 3,138 06 | | 174 00 |
| 01-09-12 | 04-15-13 | 51 | Kohls Corp | 2,346 00 | 2,424 87 | | 78 87 |
| 05-10-12 | 04-15-13 | 13 | Kohls Corp | 639 62 | 618 10 | -21 52 | |
| 05-18-12 | 04-15-13 | 44 | Kohls Corp | 2,085 16 | 2,092.05 | 6 89 | |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

## *Account 575-00226-1-7-206*

## *THE PAUL & EMILY SINGER FAMILY FOUNDATION*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 09-07-11 | 04-15-13 | 6 | Laboratory Corp Amer Hldgs | 518 00 | 563 81 | | 45 81 |
| 01-09-12 | 04-15-13 | 43 | Laboratory Corp Amer Hldgs | 3,757 56 | 4,040 62 | | 283 06 |
| 08-13-12 | 04-15-13 | 3 | Laboratory Corp Amer Hldgs | 265 32 | 281 90 | 16 58 | |
| 12-23-11 | 04-15-13 | 14 | Marsh & Mclennan Companies Inc | 443 45 | 529 89 | | 86 44 |
| 12-27-11 | 04-15-13 | 21 | Marsh & Mclennan Companies Inc | 669 32 | 794 83 | | 125 51 |
| 12-29-11 | 04-15-13 | 17 | Marsh & Mclennan Companies Inc | 542 54 | 643.44 | | 100 90 |
| 01-04-12 | 04-15-13 | 19 | Marsh & Mclennan Companies Inc | 600 73 | 719.13 | | 118 40 |
| 01-09-12 | 04-15-13 | 55 | Marsh & Mclennan Companies Inc | 1,693 45 | 2,081.70 | | 388 25 |
| 03-14-12 | 04-15-13 | 42 | Marsh & Mclennan Companies Inc | 1,378 00 | 1,589 66 | | 211 66 |
| 12-17-12 | 04-15-13 | 8 | Marsh & Mclennan Companies Inc | 276 07 | 302 79 | 26 72 | |
| 12-18-12 | 04-15-13 | 16 | Marsh & Mclennan Companies Inc | 552 91 | 605 59 | 52 68 | |
| 01-25-13 | 04-15-13 | 39 | Marsh & Mclennan Companies Inc | 1,366 93 | 1,476 12 | 109 19 | |
| 09-07-11 | 04-15-13 | 298 | Microsoft Corp | 7,730 12 | 8,570 29 | | 840 17 |
| 01-09-12 | 04-15-13 | 229 | Microsoft Corp | 6,363 91 | 6,585 89 | | 221 98 |
| 09-07-11 | 04-15-13 | 47 | National-Oilwell Varco Inc | 3,091 49 | 3,117 44 | | 25 95 |
| 01-09-12 | 04-15-13 | 34 | National-Oilwell Varco Inc | 2,421 82 | 2,255.17 | | -166 65 |
| 05-18-12 | 04-15-13 | 45 | National-Oilwell Varco Inc | 2,908 41 | 2,984.79 | 76 38 | |
| 09-07-11 | 04-15-13 | 37 | Northeast Utilities | 1,261.65 | 1,647.36 | | 385 71 |
| 11-08-11 | 04-15-13 | 9 | Northeast Utilities | 315 44 | 408 74 | | 93 30 |
| 01-09-12 | 04-15-13 | 78 | Northeast Utilities | 2,644 97 | 3,445.14 | | 800 17 |
| 01-20-12 | 04-15-13 | 15 | Northeast Utilities | 487.28 | 642.31 | | 155 03 |
| 01-24-12 | 04-15-13 | 53 | Northeast Utilities | 1,828 68 | 2,349.84 | | 521 16 |
| 04-12-12 | 04-15-13 | 33 | Pepsico Inc | 2,159 13 | 2,625 76 | | 466 63 |
| 04-13-12 | 04-15-13 | 17 | Pepsico Inc | 1,108 47 | 1,352 66 | | 244 19 |
| 04-17-12 | 04-15-13 | 9 | Pepsico Inc | 597 03 | 716 11 | 119 08 | |
| 04-18-12 | 04-15-13 | 7 | Pepsico Inc | 463 16 | 556 98 | 93 82 | |
| 07-27-12 | 04-15-13 | 4 | Pepsico Inc | 289 60 | 318 27 | 28 67 | |
| 07-30-12 | 04-15-13 | 8 | Pepsico Inc | 579 89 | 636 55 | 56 66 | |
| 07-31-12 | 04-15-13 | 5 | Pepsico Inc | 363 43 | 397 84 | 34 41 | |
| 08-01-12 | 04-15-13 | 6 | Pepsico Inc | 435 50 | 477 41 | 41 91 | |
| 08-03-12 | 04-15-13 | 27 | Pepsico Inc | 1,966 16 | 2,148 35 | 182 19 | |
| 09-07-11 | 04-15-13 | 8 | Praxair Inc | 783 52 | 869 50 | | 85 98 |
| 09-07-11 | 04-15-13 | 52 | Rockwell Collins Inc | 2,531 28 | 3,206 62 | | 675 34 |
| 01-09-12 | 04-15-13 | 39 | Rockwell Collins Inc | 2,224 17 | 2,404 96 | | 180 79 |
| 08-06-12 | 04-15-13 | 3 | Smucker J M Company | 229 83 | 300 26 | 70 43 | |
| 08-07-12 | 04-15-13 | 3 | Smucker J M Company | 229 65 | 300.26 | 70 61 | |
| 08-09-12 | 04-15-13 | 3 | Smucker J M Company | 229 95 | 300 26 | 70 31 | |
| 08-10-12 | 04-15-13 | 2 | Smucker J M Company | 153 36 | 200 18 | 46 82 | |
| 08-14-12 | 04-15-13 | 3 | Smucker J M Company | 233 90 | 300 26 | 66 36 | |
| 08-15-12 | 04-15-13 | 3 | Smucker J M Company | 236 14 | 300 26 | 64 12 | |
| 08-16-12 | 04-15-13 | 2 | Smucker J M Company | 157 80 | 200 18 | 42 38 | |
| 08-17-12 | 04-15-13 | 5 | Smucker J M Company | 416 74 | 500 44 | 83 70 | |
| 08-22-12 | 04-15-13 | 2 | Smucker J M Company | 167.88 | 200 18 | 32 30 | |
| 08-23-12 | 04-15-13 | 3 | Smucker J M Company | 251 90 | 300 26 | 48 36 | |
| 08-27-12 | 04-15-13 | 2 | Smucker J M Company | 170 54 | 200 18 | 29 64 | |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

## *Account 575-00226-1-7-206*

## *THE PAUL & EMILY SINGER FAMILY FOUNDATION*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 09-24-12 | 04-15-13 | 2 | Smucker J M Company | 175 12 | 200 18 | 25 06 | |
| 09-25-12 | 04-15-13 | 3 | Smucker J M Company | 260 41 | 300.26 | 39 85 | |
| 09-26-12 | 04-15-13 | 2 | Smucker J M Company | 172 45 | 200 17 | 27 72 | |
| 09-28-12 | 04-15-13 | 3 | Smucker J M Company | 258 20 | 300 26 | 42 06 | |
| 10-01-12 | 04-15-13 | 2 | Smucker J M Company | 174 08 | 200 18 | 26 10 | |
| 10-03-12 | 04-15-13 | 3 | Smucker J M Company | 261 63 | 300 26 | 38 63 | |
| 10-08-12 | 04-15-13 | 6 | Smucker J M Company | 513 64 | 600.53 | 86 89 | |
| 09-07-11 | 04-15-13 | 224 | Staples Inc | 3,198 72 | 2,970 17 | | -228 55 |
| 01-09-12 | 04-15-13 | 169 | Staples Inc | 2,509 65 | 2,240 89 | | -268 76 |
| 09-07-11 | 04-15-13 | 66 | Tjx Companies Inc New | 1,749 33 | 3,160.67 | | 1,411.34 |
| 01-09-12 | 04-15-13 | 136 | Tjx Companies Inc New | 4,456 04 | 6,512.89 | | 2,056 85 |
| 09-07-11 | 04-15-13 | 157 | Texas Instruments Incorporated | 4,055 31 | 5,565 53 | | 1,510 22 |
| 01-09-12 | 04-15-13 | 120 | Texas Instruments Incorporated | 3,616 80 | 4,253 90 | | 637.10 |
| 09-07-11 | 04-15-13 | 20 | Thermo Fisher Scientific Inc | 1,082.13 | 1,574 30 | | 492.17 |
| 01-09-12 | 04-15-13 | 77 | Thermo Fisher Scientific Inc | 3,661.35 | 6,061 06 | | 2,399 71 |
| 09-07-11 | 04-15-13 | 83 | Time Warner Inc | 2,565 53 | 4,892 30 | | 2,326 77 |
| 01-09-12 | 04-15-13 | 63 | Time Warner Inc | 2,316 51 | 3,713 43 | | 1,396.92 |
| 08-01-12 | 04-15-13 | 41 | Time Warner Inc | 1,629 60 | 2,416 67 | 787 07 | |
| **01-02-50** | **04-15-13** | A **36** | **The Travelers Companies Inc** | **0.00** | **3,065.83** | | **3,065.83** |
| 01-09-12 | 04-15-13 | 29 | The Travelers Companies Inc | 1,728 40 | 2,469.70 | | 741.30 |
| 03-13-12 | 04-15-13 | 26 | United Technologies Corp | 2,228.99 | 2,447 06 | | 218.07 |
| 03-14-12 | 04-15-13 | 25 | United Technologies Corp | 2,158 89 | 2,352 95 | | 194.06 |
| **01-02-50** | **04-15-13** | A **88** | **Unitedhealth Group Inc** | **0.00** | **5,446.87** | | **5,446.87** |
| 01-09-12 | 04-15-13 | 69 | Unitedhealth Group Inc | 3,636 99 | 4,270.85 | | 633.86 |
| 03-25-13 | 04-15-13 | 18 | Unitedhealth Group Inc | 989.70 | 1,114 13 | 124 43 | |
| 09-07-11 | 04-15-13 | 65 | Ventas Inc | 3,497.00 | 5,009 26 | | 1,512.26 |
| 01-09-12 | 04-15-13 | 61 | Ventas Inc | 3,270 21 | 4,701 00 | | 1,430 79 |
| 09-07-11 | 04-15-13 | 17 | Visa Inc Cl A Common Stock | 1,495 32 | 2,762.78 | | 1,267 46 |
| 01-09-12 | 04-15-13 | 51 | Visa Inc Cl A Common Stock | 5,083 17 | 8,288 33 | | 3,205 16 |
| 09-07-11 | 04-15-13 | 104 | Wisconsin Energy Corp | 3,260 40 | 4,484 58 | | 1,224 18 |
| 01-09-12 | 04-15-13 | 117 | Wisconsin Energy Corp | 4,028 31 | 5,045 16 | | 1,016 85 |
| 04-15-13 | 04-29-13 | 39 | Walt Disney Co | 2,317 92 | 2,460 31 | 142 39 | |
| 04-15-13 | 05-09-13 | 52 | Omnicom Group Inc | 3,069 79 | 3,248 04 | 178 25 | |
| 04-15-13 | 05-24-13 | 55 | ***Tyco International Ltd | 1,734 62 | 1,877 78 | 143 16 | |
| 04-15-13 | 05-24-13 | 17 | Chevron Corporation | 1,991 73 | 2,124 34 | 132 61 | |
| 04-15-13 | 05-24-13 | 9 | International Business Machines Corp | 1,896 34 | 1,851 09 | -45 25 | |
| 04-15-13 | 05-24-13 | 34 | Lennar Corp Cl A | 1,290 90 | 1,447 85 | 156 95 | |
| 04-15-13 | 05-24-13 | 18 | Schlumberger Ltd | 1,297 44 | 1,331.69 | 34 25 | |
| 04-15-13 | 06-03-13 | 62 | Chevron Corporation | 7,263 96 | 7,679 47 | 415 51 | |
| 04-15-13 | 06-05-13 | 59 | Dunkin Brands Group Inc | 2,261 15 | 2,405 36 | 144 21 | |
| 04-15-13 | 06-05-13 | 66 | L Brands Inc | 3,248 57 | 3,393 31 | 144 74 | |
| 04-15-13 | 06-21-13 | 18 | ***Novartis Ag American Depositary Shares | 1,326 77 | 1,260 27 | -66 50 | |
| 04-15-13 | 06-21-13 | 13 | Precision Castparts Corp | 2,417 49 | 2,767.87 | 350 38 | |
| 04-15-13 | 07-08-13 | 317 | General Electric Co | 7,287 83 | 7,427.96 | 140 13 | |
| 04-15-13 | 07-12-13 | 40 | ***Tyco International Ltd | 1,261 54 | 1,398 10 | 136 56 | |
| 04-15-13 | 07-12-13 | 92 | Fmc Technologies Inc | 4,582 95 | 5,338 92 | 755 97 | |
| 04-15-13 | 07-19-13 | 16 | International Business Machines Corp | 3,371 27 | 3,158 16 | -213 11 | |
| 04-15-13 | 07-19-13 | 163 | Omnicom Group Inc | 9,622 62 | 10,596 66 | 974 04 | |
| 08-08-12 | 07-29-13 | 25 | Mcdonalds Corp | 2,173 85 | 2,447.03 | 273 18 | |
| 08-13-12 | 07-29-13 | 25 | Mcdonalds Corp | 2,198 03 | 2,447.03 | 249 00 | |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

***Account 575-00226-1-7-206***

***THE PAUL & EMILY SINGER FAMILY FOUNDATION***

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 12-17-12 | 07-29-13 | 11 | Mcdonalds Corp | 986 56 | 1,076 69 | 90 13 | |
| 12-18-12 | 07-29-13 | 12 | Mcdonalds Corp | 1,086 58 | 1,174.58 | 88 00 | |
| 12-21-12 | 07-29-13 | 14 | Mcdonalds Corp | 1,251 86 | 1,370 34 | 118 48 | |
| 04-15-13 | 07-29-13 | 137 | Varian Medical Systems Inc | 9,460.02 | 9,946 03 | 486 01 | |
| **01-02-50** | **08-05-13** | A **11** | **Apple Inc** | **0.00** | **5,152.71** | | **5,152.71** |
| 04-15-13 | 08-05-13 | 39 | L Brands Inc | 1,919 61 | 2,267.01 | 347 40 | |
| 04-15-13 | 08-08-13 | 72 | Sothebys | 2,540 58 | 3,143 86 | 603 28 | |
| 04-15-13 | 09-09-13 | 20 | Dover Corporation | 1,417.03 | 1,755 40 | 338 37 | |
| 04-26-13 | 09-19-13 | 51 | ***Anheuser-Busch Inbev Sa Sponsored Adr | 4,780 48 | 5,222.98 | 442 50 | |
| 04-15-13 | 09-24-13 | 71 | Sothebys | 2,505.29 | 3,453 24 | 947.95 | |
| TOTAL GAINS | | | | | | 19,118 66 | 86,848 27 |
| TOTAL LOSSES | | | | | | -1,164.75 | -3,096 94 |
| | | | | **408,583.40** | **510,288.63** | **17,953.90** | **83,751.33** |
| TOTAL REALIZED GAIN/LOSS | | | 101,705 23 | B + 18,233 07 | Transferred shares, Cost Basis | | - 18,233 07 |
| | | | | 426,816 47 | Cost Basis, Updated | | 65,518 26 |

| | Cost | Proceeds | Gain/Loss |
|---|---|---|---|
| Total Long Term Gain | 201,505 94 | 288,354 21 | 86,848 27 |
| Total Long Term Loss | 26,501 46 | 23,404.52 | -3,096 94 |
| Total Short Term Gain | 155,892.90 | 175,011 56 | 19,118 66 |
| Total Short Term Loss | 24,683.09 | 23,518.34 | -1,164.75 |

**The Paul and Emily Singer Family Foundation**

12/31/2013

Assets Transferred Cost Basis

| Barclay Account # | Stock Name | 2013 Sale Activity | | As of 12/31/2013 | |
|---|---|---|---|---|---|
| | Applied Materials Inc | 100 00 | 1 274 95 | 0 00 | 0 00 |
| **#43747** | CenturyLink Inc | 94 00 | 3,606 45 | 0 00 | 0 00 |
| **#43747** | Devon Energy Corporation | 44 00 | 3,339 82 | 0 00 | 0 00 |
| **#43747** | Ingersoll-Rand Plc | 16 00 | 563 66 | 0 00 | 0 00 |
| **#43747** | Travelers Cos Inc/The | 35 00 | 1,904 59 | 0 00 | 0 00 |
| **#43747** | UnitedHealth Group Inc | 83 00 | 4,163 14 | 0 00 | 0 00 |
| **#43747** | Apple Inc | 11 00 | 2,436 59 | 0 00 | 0 00 |
| **#43747** | Danaher Corp | 22 00 | 883 47 | 61 00 | 3 252 77 |
| | Σ A | 411 | 18,233 07 B | | |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

## *Account 837-43747-1-1-206*

## *THE PAUL & EMILY SINGER FAMILY FOUNDATION*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 09-07-11 | 01-10-13 | 6 | Laboratory Corp Amer Hldgs | 518.00 | 523 50 | | 5 50 |
| 09-07-11 | 01-11-13 | 7 | Laboratory Corp Amer Hldgs | 604.33 | 604.46 | | 0.13 |
| 09-07-11 | 01-25-13 | 9 | Deere & Co | 710 82 | 842 83 | | 132 01 |
| 09-07-11 | 01-25-13 | 8 | Laboratory Corp Amer Hldgs | 690 67 | 707 42 | | 16 75 |
| 09-07-11 | 01-28-13 | 4 | Deere & Co | 315.92 | 373.92 | | 58.00 |
| 09-07-11 | 01-30-13 | 11 | Deere & Co | 868 78 | 1,046 34 | | 177.56 |
| 09-07-11 | 01-31-13 | 1 | Deere & Co | 78 98 | 94 20 | | 15 22 |
| 11-08-11 | 01-31-13 | 4 | Deere & Co | 302.26 | 376 80 | | 74 54 |
| 11-08-11 | 02-01-13 | 1 | Deere & Co | 75.57 | 95 00 | | 19.43 |
| 01-09-12 | 02-01-13 | 4 | Deere & Co | 331.16 | 379 98 | | 48 82 |
| 01-09-12 | 02-07-13 | 8 | Deere & Co | 662 32 | 734 35 | | 72 03 |
| 01-09-12 | 02-08-13 | 3 | Deere & Co | 248 37 | 278.01 | | 29 64 |
| 01-09-12 | 02-08-13 | 1 | Deere & Co | 82.79 | 92 66 | | 9 87 |
| 01-09-12 | 02-12-13 | 5 | Deere & Co | 413 95 | 466 71 | | 52 76 |
| 04-11-12 | 02-12-13 | 4 | Deere & Co | 311 30 | 373 36 | 62 06 | |
| 04-11-12 | 02-13-13 | 5 | Deere & Co | 389 13 | 456 55 | 67 42 | |
| 04-11-12 | 02-14-13 | 13 | Deere & Co | 1,011.73 | 1,183.24 | 171.51 | |
| 04-11-12 | 02-15-13 | 10 | Deere & Co | 778.25 | 902.13 | 123 88 | |
| 09-07-11 | 03-14-13 | 134 | Td Amentrade Hldg Corp | 1,953 72 | 2,831 92 | | 878 20 |
| 01-09-12 | 03-14-13 | 153 | Td Amentrade Hldg Corp | 2,522 97 | 3,233 47 | | 710 50 |
| 09-07-11 | 03-25-13 | 44 | Aetna Inc New | 1,768.36 | 2,202.01 | | 433.65 |
| TOTAL GAINS | | | | | | 424 87 | 2,734 61 |
| TOTAL LOSSES | | | | | | | |
| | | | | **14,639.38** | **17,798.86** | **424.87** | **2,734.61** |

TOTAL REALIZED GAIN/LOSS 3,159.48

| | Cost | Proceeds | Gain/Loss |
|---|---|---|---|
| Total Long Term Gain | 12,148 97 | 14,883 58 | 2,734 61 |
| Total Long Term Loss | 0 00 | 0 00 | |
| Total Short Term Gain | 2,490 41 | 2,915.28 | 424 87 |
| Total Short Term Loss | 0.00 | 0.00 | |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

### *Account 837-43746-1-2-206*

### *THE PAUL & EMILY SINGER FAMILY FOUNDATION*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 10-18-12 | 02-26-13 | 10,000 | Bmc Software Inc 7 250% Due 06-01-18 | 11,868 02 | 11,844.10 | -23.92 | |
| 09-15-11 | 03-14-13 | 5,000 | Amencan Tower Corp Sr Nt 4 500% Due 01-15-18 | 5,078 69 | 5,489 90 | | 411 21 |
| 09-12-11 | 04-26-13 | 15,000 | West Corp Sr Nt 11%16 11 000% Due 10-15-16 | 15,399 21 | 15,550 05 | | 150 84 |
| 09-29-11 | 04-26-13 | 5,000 | West Corp Sr Nt 11%16 11 000% Due 10-15-16 | 5,138 60 | 5,183 35 | | 44 75 |
| 02-03-12 | 04-26-13 | 10,000 | West Corp Sr Nt 11%16 11 000% Due 10-15-16 | 10,506 02 | 10,366.70 | | -139 32 |
| 04-09-12 | 05-01-13 | 5,000 | Best Buy Inc Sr Unsecured 5 500% Due 03-15-21 | 4,658 94 | 5,050.00 | | 391 06 |
| 09-14-11 | 05-13-13 | 15,000 | Masco Corp 6 125% Due 10-03-16 | 14,788 00 | 16,809 38 | | 2,021 38 |
| 01-25-12 | 05-13-13 | 10,000 | Masco Corp 6.125% Due 10-03-16 | 10,335 80 | 11,206 25 | | 870 45 |
| 03-16-12 | 05-20-13 | 5,000 | Vensk Analytics Inc Sr Notes 4.875% Due 01-15-19 | 5,237 85 | 5,535 00 | | 297 15 |
| 09-09-11 | 06-07-13 | 5,000 | Brinker International Inc 5 750% Due 06-01-14 | 5,115 90 | 5,264 65 | | 148.75 |
| 09-15-11 | 06-07-13 | 15,000 | Brinker International Inc 5 750% Due 06-01-14 | 15,349 68 | 15,793 95 | | 444.27 |
| 09-15-11 | 06-14-13 | 15,000 | ***Seagate Technology Hdd Hlds Sr Nt 6 800% Due 10-01-16 | 15,206 64 | 17,437.50 | | 2,230 86 |
| 02-02-12 | 06-14-13 | 5,000 | ***Seagate Technology Hdd Hlds Sr Nt 6 800% Due 10-01-16 | 5,373.12 | 5,812 50 | | 439 38 |
| 05-17-12 | 06-14-13 | 1,000 | ***Seagate Technology Hdd Hlds Sr Nt 6.800% Due 10-01-16 | 1,087.55 | 1,162 50 | | 74.95 |
| 09-14-11 | 07-15-13 | 5,000 | Interpublic Group Cos Inc Sr Nt 10 000% Due 07-15-17 | 5,545 08 | 5,250 00 | | -295 08 |
| 01-18-12 | 07-15-13 | 10,000 | Interpublic Group Cos Inc Sr Nt 10 000% Due 07-15-17 | 11,145 04 | 10,500 00 | | -645 04 |
| 06-05-12 | 07-15-13 | 5,000 | Interpublic Group Cos Inc Sr Nt 10 000% Due 07-15-17 | 5,537 51 | 5,250 00 | | -287 51 |
| 09-07-11 | 08-07-13 | 5,000 | Michaels Stores Inc Sr Nt 7 75%18 7 750% Due 11-01-18 | 4,792 90 | 5,400 00 | | 607 10 |
| 09-12-11 | 08-07-13 | 10,000 | Michaels Stores Inc Sr Nt 7 75%18 7 750% Due 11-01-18 | 9,505 48 | 10,800 00 | | 1,294 52 |
| 09-16-11 | 08-07-13 | 5,000 | Michaels Stores Inc Sr Nt 7 75%18 7 750% Due 11-01-18 | 4,807 32 | 5,400 00 | | 592.68 |
| 01-10-12 | 08-07-13 | 10,000 | Michaels Stores Inc Sr Nt 7 75%18 7 750% Due 11-01-18 | 10,222 70 | 10,800.00 | | 577.30 |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

## *Account 837-43746-1-2-206*

## *THE PAUL & EMILY SINGER FAMILY FOUNDATION*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 02-02-12 | 08-14-13 | 5,000 | Constellation Brands Inc Sr Nt 7.250% Due 09-01-16 | 5,437 74 | 5,681 25 | | 243.51 |
| 09-13-11 | 08-15-13 | 5,000 | Constellation Brands Inc Sr Nt 7 25%17 7 250% Due 05-15-17 | 5,222 28 | 5,718 75 | | 496 47 |
| 09-13-11 | 08-16-13 | 5,000 | Constellation Brands Inc Sr Nt 7 25%17 7 250% Due 05-15-17 | 5,222 13 | 5,718 75 | | 496 62 |
| 09-13-11 | 08-19-13 | 10,000 | Constellation Brands Inc Sr Nt 7.25%17 7.250% Due 05-15-17 | 10,443 39 | 11,425.00 | | 981.61 |
| 09-14-11 | 08-23-13 | 5,000 | Gap Inc/the Sr Unsecured 5.950% Due 04-12-21 | 4,767 58 | 5,512 90 | | 745.32 |
| 09-07-11 | 08-26-13 | 20,000 | R R Donnelley & Sons Sr Unsecured Contra Cusip 6 125% Due 01-15-17 | 18,685 93 | 22,300 00 | | 3,614 07 |
| 02-27-13 | 09-10-13 | 10,000 | Bmc Software Inc Sr Unsecured Contra Cusip 4 250% Due 02-15-22 | 10,084 38 | 10,150 00 | 65.62 | |
| 03-27-13 | 09-10-13 | 5,000 | Bmc Software Inc Sr Unsecured Contra Cusip 4 250% Due 02-15-22 | 5,036 41 | 5,075.00 | 38.59 | |
| 11-21-12 | 09-10-13 | 10,000 | Bmc Software Inc Sr Unsecured Contra Cusip 4 500% Due 12-01-22 | 9,961 64 | 10,150.00 | 188 36 | |
| TOTAL GAINS | | | | | | 292 57 | 17,174.25 |
| TOTAL LOSSES | | | | | | -23.92 | -1,366 96 |
| | | | | **251,561.54** | **267,637.48** | **268.65** | **15,807.29** |
| TOTAL REALIZED GAIN/LOSS | | | 16,075 94 | | | | |

| | Cost | Proceeds | Gain/Loss |
|---|---|---|---|
| Total Long Term Gain | 181,877.43 | 199,051 68 | 17,174.25 |
| Total Long Term Loss | 32,733 66 | 31,366 70 | -1,366.96 |
| Total Short Term Gain | 25,082 43 | 25,375 00 | 292.57 |
| Total Short Term Loss | 11,868 02 | 11,844 10 | -23.92 |

Barclays Wealth and Investment Management

# REALIZED GAINS AND LOSSES

## *Account 837-43752-1-3-206*

## *THE PAUL & EMILY SINGER FAMILY FOUNDATION*

*From 01-01-13 Through 12-31-13*

| Open Date | Close Date | Quantity | Security | Adjusted Cost Basis | Proceeds | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|
| 05-09-12 | 01-04-13 | 95 | Luminex Corp Del | 2,192 36 | 1,650 20 | -542 16 | |
| 09-06-11 | 01-04-13 | 10 | Forrester Research Inc | 319 84 | 269 00 | | -50 84 |
| 01-09-12 | 01-04-13 | 31 | Forrester Research Inc | 1,062 99 | 833 88 | -229 11 | |
| 01-09-12 | 01-07-13 | 9 | Forrester Research Inc | 308.61 | 239 91 | -68.70 | |
| 05-03-12 | 01-08-13 | 75 | 3-D Systems Corp-Del | 2,193.95 | 4,531.67 | 2,337.72 | |
| 12-03-12 | 02-15-13 | 20 | Stratasys Ltd | 1,460 20 | 1,376.13 | -84.07 | |
| 09-06-11 | 03-07-13 | 5 | Abaxis Inc | 117 10 | 215.29 | | 98 19 |
| 01-18-13 | 03-07-13 | 5 | Advisory Board Co | 259 15 | 257.44 | -1 71 | |
| 09-06-11 | 03-07-13 | 5 | Beacon Roofing Supply Inc | 85 94 | 192.64 | | 106.70 |
| 09-06-11 | 03-07-13 | 5 | Cabot Microelectronics Corp | 182 10 | 179 69 | | -2 41 |
| 09-06-11 | 03-07-13 | 5 | Cepheid | 167 00 | 183 74 | | 16 74 |
| 09-06-11 | 03-07-13 | 5 | Faro Technologies Inc | 177 00 | 224 69 | | 47 69 |
| 09-06-11 | 03-07-13 | 5 | Financial Engines Inc | 104.15 | 167 89 | | 63 74 |
| 09-23-11 | 03-07-13 | 5 | Fresh Market Inc | 183.60 | 195.94 | | 12 34 |
| 09-23-11 | 03-07-13 | 5 | Grand Canyon Education Inc | 78 03 | 118.29 | | 40 26 |
| 09-06-11 | 03-07-13 | 5 | Innerworkings Inc | 35 83 | 74.84 | | 39 01 |
| 09-06-11 | 03-07-13 | 10 | Lkq Corporation | 122 16 | 203.19 | | 81 03 |
| 09-06-11 | 03-07-13 | 5 | National Instruments Corp | 117 26 | 154 29 | | 37 03 |
| 09-06-11 | 03-07-13 | 5 | ***Ritchie Bros Auctioneers Inc | 106 00 | 104 09 | | -1 91 |
| 09-06-11 | 03-07-13 | 5 | Rollins Inc | 96.13 | 121.39 | | 25 26 |
| 03-26-12 | 04-09-13 | 123 | Maxwell Technologies Inc | 2,251.28 | 635 08 | | -1,616 20 |
| 03-27-12 | 04-09-13 | 47 | Maxwell Technologies Inc | 871.97 | 242.67 | | -629 30 |
| 09-06-11 | 04-09-13 | 35 | Constant Contact Inc | 592.90 | 443.26 | | -149.64 |
| 09-06-11 | 04-10-13 | 5 | Constant Contact Inc | 84.70 | 63.11 | | -21 59 |
| 01-09-12 | 04-10-13 | 35 | Constant Contact Inc | 785 05 | 441.81 | | -343.24 |
| 09-06-11 | 04-30-13 | 90 | Lkq Corporation | 1,099 45 | 2,110 16 | | 1,010 71 |
| 09-06-11 | 06-14-13 | 35 | Faro Technologies Inc | 1,239 00 | 1,228.88 | | -10 12 |
| 01-09-12 | 06-14-13 | 1 | Faro Technologies Inc | 48 09 | 35.11 | | -12 98 |
| 01-09-12 | 06-17-13 | 34 | Faro Technologies Inc | 1,635 06 | 1,170 84 | | -464 22 |
| 09-06-11 | 07-12-13 | 15 | Ultimate Software Group Inc | 700 78 | 1,949 19 | | 1,248 41 |
| 09-06-11 | 07-29-13 | 140 | Lkq Corporation | 1,710 25 | 3,651 76 | | 1,941 51 |
| 01-09-12 | 07-29-13 | 35 | Lkq Corporation | 546 52 | 912 94 | | 366 41 |
| 09-06-11 | 07-29-13 | 55 | Rollins Inc | 1,057 43 | 1,408 13 | | 350 70 |
| 09-06-11 | 08-20-13 | 12 | Ultimate Software Group Inc | 560 62 | 1,692 18 | | 1,131 56 |
| TOTAL GAINS | | | | | | 2,337 72 | 6,617 30 |
| TOTAL LOSSES | | | | | | -925 75 | -3,302 45 |
| | | | | **22,552.49** | **27,279.32** | **1,411.97** | **3,314.86** |

TOTAL REALIZED GAIN/LOSS 4,726 83

| | Cost | Proceeds | Gain/Loss |
|---|---|---|---|
| Total Long Term Gain | 6,959 25 | 13,576.55 | 6,617.30 |
| Total Long Term Loss | 8,115 99 | 4,813.54 | -3,302 45 |
| Total Short Term Gain | 2,193 95 | 4,531 67 | 2,337 72 |
| Total Short Term Loss | 5,283 31 | 4,357 56 | -925.75 |

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| M & T BANK CORPORATION COM | MTB | 55261F104 | 72 | 06/04/13 | 11/25/13 | $8,418.81 | $7,602.81 | $816.00 |
| **Total short term gain/loss from sales:** | | | | | | $375,999.95 | $331,202.66 | $44,797.29 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| GREENWAY MEDICAL TECHNOLOGIE | GWAY | 39679B103 | 78 | 10/22/12 | 11/04/13 | $1,587.30 | $1,412.87 | $174.43 |
| GREENWAY MEDICAL TECHNOLOGIE | GWAY | 39679B103 | 72 | 10/23/12 | 11/04/13 | $1,465.20 | $1,301.92 | $163.28 |
| NEOGEN CORP | NEOG | 640491106 | 0.5 | 09/06/11 | 11/06/13 | $23.73 | $10.94 | $12.79 |
| TECHNE CORP | TECH | 878377100 | 30 | 09/06/11 | 11/25/13 | $2,605.77 | $2,091.30 | $514.47 |
| TECHNE CORP | TECH | 878377100 | 25 | 01/09/12 | 11/25/13 | $2,171.47 | $1,698.63 | $472 84 |
| TECHNE CORP | TECH | 878377100 | 15 | 07/31/12 | 11/25/13 | $1,302.88 | $1,092.44 | $210.44 |
| BEACON ROOFING SUPPLY INC | BECN | 073685109 | 110 | 09/06/11 | 11/25/13 | $4,000.63 | $1,890.61 | $2,110 02 |
| BEACON ROOFING SUPPLY INC | BECN | 073685109 | 90 | 01/09/12 | 11/25/13 | $3,273.24 | $1,896.30 | $1,376.94 |
| SIRONA DENTAL SYSTEMS INC | SIRO | 82966C103 | 49 | 01/01/50 | 11/25/13 | $3,325.70 | $3,267.07 | $58.63 |
| CANADIAN NATL RR CO COM | CNI | 136375102 | 36 | 09/07/11 | 11/25/13 | $4,081.24 | $2,556.00 | $1,525.24 |
| FRESENIUS MEDICAL CARE ADR | FMS | 358029106 | 74 | 09/07/11 | 11/25/13 | $2,555.17 | $2,578.16 | $-22.99 |
| FORWARD AIR CORP | FWRD | 349853101 | 40 | 09/06/11 | 11/25/13 | $1,707.57 | $1,033.71 | $673.86 |
| FORWARD AIR CORP | FWRD | 349853101 | 35 | 01/09/12 | 11/25/13 | $1,494.12 | $1,195.25 | $298.87 |
| INNERWORKINGS INC | INWK | 45773Y105 | 170 | 09/06/11 | 11/25/13 | $1,188.45 | $1,218.32 | $-29.87 |
| INNERWORKINGS INC | INWK | 45773Y105 | 130 | 01/09/12 | 11/25/13 | $908.81 | $1,246.00 | $-337.19 |
| MOBILE MINI INC | MINI | 60740F105 | 50 | 09/06/11 | 11/25/13 | $1,994.86 | $843.50 | $1,151.36 |
| MOBILE MINI INC | MINI | 60740F105 | 45 | 01/09/12 | 11/25/13 | $1,795.38 | $812.70 | $982.68 |
| MOBILE MINI INC | MINI | 60740F105 | 110 | 08/14/12 | 11/25/13 | $4,388.70 | $1,993.94 | $2,394.76 |
| RITCHIE BROS AUCTIONEERS INC C | RBA | 767744105 | 125 | 09/06/11 | 11/25/13 | $2,549.89 | $2,650.00 | $-100.11 |
| RITCHIE BROS AUCTIONEERS INC C | RBA | 767744105 | 105 | 01/09/12 | 11/25/13 | $2,141.91 | $2,227.05 | $-85.14 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| APPLE COMPUTER INC COM | AAPL | 037833100 | 3 | 01/09/12 | 11/25/13 | $1,576.08 | $1,274.28 | $301.80 |
| ARM HLDGS PLC | ARMH | 042068106 | 66 | 09/07/11 | 11/25/13 | $3,222.74 | $1,768.80 | $1,453.94 |
| ARM HLDGS PLC | ARMH | 042068106 | 28 | 07/31/12 | 11/25/13 | $1,367.23 | $728.55 | $638.68 |
| ROLLINS INC | ROL | 775711104 | 165 | 09/06/11 | 11/25/13 | $4,641.37 | $3,172.29 | $1,469.08 |
| ROLLINS INC | ROL | 775711104 | 165 | 01/09/12 | 11/25/13 | $4,641.37 | $3,615 15 | $1,026 22 |
| CABOT MICROELECTRONICS CORP | CCMP | 12709P103 | 45 | 09/06/11 | 11/25/13 | $2,006.06 | $1,638.90 | $367 16 |
| CABOT MICROELECTRONICS CORP | CCMP | 12709P103 | 45 | 01/09/12 | 11/25/13 | $2,006.06 | $2,216.70 | $-210.64 |
| ISHARES TR MSCI EMERGING MARK | EEM | 464287234 | 473 | 01/01/50 | 11/25/13 | $19,747.87 | $18,139.55 | $1,608.32 |
| ATHENAHEALTH INC | ATHN | 04685W103 | 25 | 09/06/11 | 11/25/13 | $3,205.97 | $1,393.50 | $1,812.47 |
| ATHENAHEALTH INC | ATHN | 04685W103 | 30 | 01/09/12 | 11/25/13 | $3,847.16 | $1,628.10 | $2,219 06 |
| ABAXIS INC | ABAX | 002567105 | 60 | 09/06/11 | 11/25/13 | $2,158.79 | $1,405.16 | $753.63 |
| ABAXIS INC | ABAX | 002567105 | 55 | 01/09/12 | 11/25/13 | $1,978.89 | $1,516.90 | $461.99 |
| BIO-REFERENCE LABS INC | BRLI | 09057G602 | 105 | 09/06/11 | 11/25/13 | $3,882.83 | $2,066.40 | $1,816.43 |
| BIO-REFERENCE LABS INC | BRLI | 09057G602 | 80 | 01/09/12 | 11/25/13 | $2,958.35 | $1,344.80 | $1,613.55 |
| CEPHEID | CPHD | 15670R107 | 108 | 09/06/11 | 11/25/13 | $4,871.79 | $3,607.20 | $1,264.59 |
| CEPHEID | CPHD | 15670R107 | 90 | 01/09/12 | 11/25/13 | $4,059.83 | $3,153.20 | $906.63 |
| CHEMED CORP NEW | CHE | 16359R103 | 60 | 09/06/11 | 11/25/13 | $4,702.31 | $3,290.40 | $1,411.91 |
| CHEMED CORP NEW | CHE | 16359R103 | 50 | 01/09/12 | 11/25/13 | $3,918.60 | $2,668.00 | $1,250.60 |
| EXPRESS SCRIPTS HOLDING CO | ESRX | 30219G108 | 52 | 01/01/50 | 11/25/13 | $3,472.50 | $3,231.84 | $240.66 |
| IPC THE HOSPITALIST CO INC | IPCM | 44984A105 | 46 | 09/06/11 | 11/25/13 | $2,785.25 | $1,638.90 | $1,146 35 |
| IPC THE HOSPITALIST CO INC | IPCM | 44984A105 | 35 | 01/09/12 | 11/25/13 | $2,166.30 | $1,087.10 | $1,079.20 |
| IPC THE HOSPITALIST CO INC | IPCM | 44984A105 | 25 | 07/09/12 | 11/25/13 | $1,547.36 | $1,067.09 | $480.27 |
| MEDNAX INC | MD | 58502B106 | 45 | 09/06/11 | 11/25/13 | $4,994.47 | $2,829.98 | $2,164.49 |
| MEDNAX INC | MD | 58502B106 | 35 | 01/09/12 | 11/25/13 | $3,884.58 | $2,445.80 | $1,438.78 |
| NEOGEN CORP | NEOG | 640491106 | 74.5 | 09/06/11 | 11/25/13 | $3,648.60 | $1,630.56 | $2,018.04 |
| NEOGEN CORP | NEOG | 640491106 | 112.5 | 01/09/12 | 11/25/13 | $5,509.62 | $2,316.75 | $3,192.87 |
| CONCUR TECHNOLOGIES INC | CNQR | 206708109 | 40 | 09/06/11 | 11/25/13 | $3,881.97 | $1,524.80 | $2,357.17 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| CONCUR TECHNOLOGIES INC | CNQR | 206708109 | 30 | 01/09/12 | 11/25/13 | $2,911.47 | $1,439.40 | $1,472.07 |
| CASS INFORMATION SYS INC | CASS | 14808P109 | 47 | 09/06/11 | 11/25/13 | $2,802.56 | $1,331.66 | $1,470.90 |
| CASS INFORMATION SYS INC | CASS | 14808P109 | 39 | 01/09/12 | 11/25/13 | $2,325.53 | $1,262.61 | $1,062.92 |
| DIGI INTL INC | DGII | 253798102 | 190 | 09/06/11 | 11/25/13 | $2,137.46 | $2,314.09 | $-176.63 |
| DIGI INTL INC | DGII | 253798102 | 115 | 01/09/12 | 11/25/13 | $1,293.73 | $1,299.50 | $-5.77 |
| "EBIX, INC." | EBIX | 278715206 | 95 | 09/06/11 | 11/25/13 | $1,315.73 | $1,467.81 | $-152.08 |
| "EBIX, INC." | EBIX | 278715206 | 75 | 01/09/12 | 11/25/13 | $1,038.74 | $1,692.75 | $-654.01 |
| MAXIMUS INC | MMS | 577933104 | 140 | 09/06/11 | 11/25/13 | $6,372.70 | $2,438.80 | $3,933.90 |
| MAXIMUS INC | MMS | 577933104 | 110 | 01/09/12 | 11/25/13 | $5,007.13 | $2,398.00 | $2,609.13 |
| ALLIANZ AKTIENGESELLSCHAFT | AZSEY | 018805101 | 329 | 09/07/11 | 11/25/13 | $5,615.93 | $2,993.90 | $2,622.03 |
| PEGASYSTEMS INC | PEGA | 705573103 | 50 | 09/06/11 | 11/25/13 | $2,409.55 | $1,762.30 | $647.25 |
| PEGASYSTEMS INC | PEGA | 705573103 | 35 | 01/09/12 | 11/25/13 | $1,686.69 | $959.70 | $726.99 |
| COCHLEAR.LTD-UNSPON ADR | CHEOY | 191459205 | 68 | 09/07/11 | 11/25/13 | $1,861.81 | $2,822.76 | $-960.95 |
| DBS GROUP HOLDINGS LIMITED - A | DBSDY | 23304Y100 | 57 | 09/07/11 | 11/25/13 | $3,091.06 | $2,472.09 | $618.97 |
| "ECHO GLOBAL LOGISTICS, INC" | ECHO | 27875T101 | 95 | 09/06/11 | 11/25/13 | $1,942.73 | $1,226.45 | $716.28 |
| "ECHO GLOBAL LOGISTICS, INC" | ECHO | 27875T101 | 75 | 01/09/12 | 11/25/13 | $1,533.73 | $1,188.75 | $344.98 |
| JGC CORP-UNSPONSORED ADR | JGCCY | 466140100 | 36 | 09/07/11 | 11/25/13 | $2,652.80 | $2,003.40 | $649.40 |
| ROCHE HOLDINGS LTD - ADR | RHHBY | 771195104 | 94 | 09/07/11 | 11/25/13 | $6,538.53 | $3,892.54 | $2,645.99 |
| SONOVA HOLDIN-UNSPON ADR | SONVY | 83569C102 | 86 | 09/07/11 | 11/25/13 | $2,382.16 | $1,340.74 | $1,041.42 |
| SVENSKA HANDELSB-A-UNSP ADR | SVNLY | 86959C103 | 93 | 07/20/12 | 11/25/13 | $2,150 12 | $1,553.07 | $597.05 |
| NATIONAL INSTRS CORP COM | NATI | 636518102 | 150 | 09/06/11 | 11/25/13 | $4,718.36 | $3,517.84 | $1,200.52 |
| NATIONAL INSTRS CORP COM | NATI | 636518102 | 110 | 01/09/12 | 11/25/13 | $3,460.13 | $2,808.30 | $651.83 |
| TESCO PLC - ADR | TSCDY | 881575302 | 93 | 09/07/11 | 11/25/13 | $1,597.71 | $1,667.49 | $-69.78 |
| TESCO PLC - ADR | TSCDY | 881575302 | 42 | 11/04/11 | 11/25/13 | $721.54 | $829.45 | $-107.91 |
| WPP PLC NEW | | 92937A102 | 64 | 09/07/11 | 11/25/13 | $6,995.72 | $3,139.84 | $3,855.88 |
| POWER INTERGRATIONS INC COM | POWI | 739276103 | 60 | 09/06/11 | 11/25/13 | $3,197.94 | $1,767.32 | $1,430.62 |
| POWER INTERGRATIONS INC COM | POWI | 739276103 | 50 | 01/09/12 | 11/25/13 | $2,664.95 | $1,689.97 | $974.98 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| SAP AG - ADR | SAP | 803054204 | 84 | 09/07/11 | 11/25/13 | $6,918.12 | $4,400.76 | $2,517.36 |
| SAP AG - ADR | SAP | 803054204 | 12 | 05/03/12 | 11/25/13 | $988.30 | $779.87 | $208.43 |
| TAIWAN SEMICONDUCTOR MANUFA( | TSM | 874039100 | 143 | 09/07/11 | 11/25/13 | $2,458.14 | $1,705.99 | $752.15 |
| TAIWAN SEMICONDUCTOR MANUFA( | TSM | 874039100 | 52 | 07/31/12 | 11/25/13 | $893.87 | $724.17 | $169.70 |
| SEMTECH CORP COM | SMTC | 816850101 | 135 | 09/06/11 | 11/25/13 | $3,987.83 | $2,823.61 | $1,164.22 |
| SEMTECH CORP COM | SMTC | 816850101 | 105 | 01/09/12 | 11/25/13 | $3,101.65 | $2,712.15 | $389.50 |
| ULTIMATE SOFTWARE GROUP INC | ULTI | 90385D107 | 23 | 09/06/11 | 11/25/13 | $3,565.86 | $1,074.52 | $2,491.34 |
| ULTIMATE SOFTWARE GROUP INC | ULTI | 90385D107 | 65 | 01/09/12 | 11/25/13 | $10,077.42 | $4,233.45 | $5,843.97 |
| DEALERTRACK HLDGS INC | TRAK | 242309102 | 80 | 09/06/11 | 11/25/13 | $3,406.00 | $1,404.00 | $2,002.00 |
| DEALERTRACK HLDGS INC | TRAK | 242309102 | 60 | 01/09/12 | 11/25/13 | $2,554.50 | $1,594.80 | $959.70 |
| DEALERTRACK HLDGS INC | TRAK | 242309102 | 50 | 07/09/12 | 11/25/13 | $2,128.75 | $1,480.40 | $648.35 |
| VERINT SYS INC | VRNT | 92343X100 | 65 | 09/06/11 | 11/25/13 | $2,404.95 | $1,699.75 | $705.20 |
| VERINT SYS INC | VRNT | 92343X100 | 50 | 01/09/12 | 11/25/13 | $1,849.97 | $1,356.00 | $493.97 |
| PRAXAIR INC COM | PX | 74005P104 | 36 | 09/07/11 | 11/25/13 | $4,550.68 | $3,525.84 | $1,024.84 |
| PRAXAIR INC COM | PX | 74005P104 | 51 | 01/09/12 | 11/25/13 | $6,446.79 | $5,468.73 | $978.06 |
| DASSAULT SYSTEMES S.A. - ADR | DASTY | 237545108 | 56 | 09/07/11 | 11/25/13 | $6,463.97 | $4,298.00 | $2,165.97 |
| MTN GROUP LTD ADR | MTNOY | 62474M108 | 93 | 09/07/11 | 11/25/13 | $1,843.23 | $1,891.62 | $-48.39 |
| AIR LIQUIDE ADR | AIQUY | 009126202 | 220 | 09/07/11 | 11/25/13 | $6,060.89 | $5,064.00 | $996.89 |
| BG PLC | BRGYY | 055434203 | 170 | 09/07/11 | 11/25/13 | $3,461.13 | $3,489.76 | $-28 63 |
| FANUC LTD ADR | FANUY | 307305102 | 185 | 09/07/11 | 11/25/13 | $5,237.25 | $4,822.95 | $414.30 |
| FANUC LTD ADR | FANUY | 307305102 | 27 | 01/01/50 | 11/25/13 | $764.36 | $769.10 | $-4.74 |
| L'OREAL - ADR | LRLCY | 502117203 | 153 | 09/07/11 | 11/25/13 | $5,148.36 | $3,115.08 | $2,033 28 |
| NESTLE S.A. REGISTERED SHARES | NSRGY | 641069406 | 105 | 09/07/11 | 11/25/13 | $7,747.81 | $6,090.00 | $1,657.81 |
| 0A0 GAZPROM LEVEL 1 ADR | OGZPY | 368287207 | 122 | 09/07/11 | 11/25/13 | $1,085.78 | $1,438.38 | $-352.60 |
| SPS COMMERCE INC | SPSC | 78463M107 | 70 | 09/06/11 | 11/25/13 | $4,466.65 | $1,242.31 | $3,224.34 |
| SPS COMMERCE INC | SPSC | 78463M107 | 55 | 01/09/12 | 11/25/13 | $3,509.51 | $1,303.50 | $2,206.01 |
| SCIQUEST INC | SQI | 80908T101 | 26 | 11/01/11 | 11/25/13 | $722.02 | $384.85 | $337.17 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| SCIQUEST INC | SQI | 80908T101 | 22 | 11/02/11 | 11/25/13 | $610.94 | $329.73 | $281.21 |
| SCIQUEST INC | SQI | 80908T101 | 7 | 11/03/11 | 11/25/13 | $194.39 | $108.50 | $85.89 |
| SCIQUEST INC | SQI | 80908T101 | 17 | 11/04/11 | 11/25/13 | $472.09 | $265.93 | $206.16 |
| SCIQUEST INC | SQI | 80908T101 | 60 | 01/09/12 | 11/25/13 | $1,666.19 | $856.80 | $809.39 |
| SCIQUEST INC | SQI | 80908T101 | 60 | 08/14/12 | 11/25/13 | $1,666.19 | $999.63 | $666.56 |
| UNI CHARM CORPORATION | UNICY | 90460M204 | 390 | 09/07/11 | 11/25/13 | $5,019.21 | $3,662.10 | $1,357.11 |
| AIA GROUP LTD - SP ADR | AAGIY | 001317205 | 182 | 03/30/12 | 11/25/13 | $3,674.52 | $2,460.82 | $1,213.70 |
| AIA GROUP LTD - SP ADR | AAGIY | 001317205 | 59 | 09/21/12 | 11/25/13 | $1,191.19 | $863.83 | $327.36 |
| AIA GROUP LTD - SP ADR | AAGIY | 001317205 | 41 | 09/07/11 | 11/25/13 | $827.78 | $605.06 | $222 72 |
| ATLAS COPCO AB SPONSORED ADR | ATLKY | 049255706 | 119 | 09/07/11 | 11/25/13 | $3,373.59 | $2,558.50 | $815.09 |
| CSL LIMITED - ADR | CMXHY | 12637N105 | 113 | 09/07/11 | 11/25/13 | $3,537.96 | $1,678.05 | $1,859.91 |
| TURKIYE GARANTI BANKSASI-ADR | TKGBY | 900148701 | 805 | 09/07/11 | 11/25/13 | $3,115.29 | $3,001.14 | $114.15 |
| SYSMEX CORP-UNSPON ADR | SSMXY | 87184P109 | 92 | 05/22/12 | 11/25/13 | $3,020.53 | $1,736.21 | $1,284.32 |
| SYSMEX CORP-UNSPON ADR | SSMXY | 87184P109 | 37 | 11/30/11 | 11/25/13 | $1,214.78 | $835.91 | $378.87 |
| SCHNEIDER ELECTRIC SA - ADR | SBGSY | 80687P106 | 225 | 09/12/11 | 11/25/13 | $3,731 17 | $2,307.37 | $1,423.80 |
| "COSTAR GROUP, INC" | CSGP | 22160N109 | 25 | 09/06/11 | 11/25/13 | $4,502.17 | $1,196.50 | $3,305.67 |
| "COSTAR GROUP, INC" | CSGP | 22160N109 | 20 | 01/09/12 | 11/25/13 | $3,601.74 | $1,229.80 | $2,371.94 |
| "COSTAR GROUP, INC" | CSGP | 22160N109 | 25 | 07/09/12 | 11/25/13 | $4,502.17 | $2,019.68 | $2,482.49 |
| GRAND CANYON EDUCATION INC | LOPE | 38526M106 | 105 | 09/23/11 | 11/25/13 | $4,718.62 | $1,638.53 | $3,080.09 |
| GRAND CANYON EDUCATION INC | LOPE | 38526M106 | 100 | 01/09/12 | 11/25/13 | $4,493.92 | $1,661.00 | $2,832.92 |
| GRAND CANYON EDUCATION INC | LOPE | 38526M106 | 80 | 07/09/12 | 11/25/13 | $3,595.14 | $1,732.05 | $1,863.09 |
| CHEESECAKE FACTORY INC COM | CAKE | 163072101 | 85 | 09/06/11 | 11/25/13 | $4,189.28 | $2,219.35 | $1,969.93 |
| CHEESECAKE FACTORY INC COM | CAKE | 163072101 | 70 | 01/09/12 | 11/25/13 | $3,450.00 | $2,055.90 | $1,394.10 |
| GENTEX CORP | GNTX | 371901109 | 100 | 09/06/11 | 11/25/13 | $2,957.95 | $2,423.13 | $534.82 |
| GENTEX CORP | GNTX | 371901109 | 85 | 01/09/12 | 11/25/13 | $2,514.26 | $2,543.20 | $-28.94 |
| ANHEUSER-BUSCH INBEV SPN ADR | BUD | 03524A108 | 34 | 05/03/12 | 11/25/13 | $3,500.24 | $2,539.28 | $960.96 |
| ANHEUSER-BUSCH INBEV SPN ADR | BUD | 03524A108 | 6 | 06/27/12 | 11/25/13 | $617.69 | $439.38 | $178.31 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ITAU UNIBANCO BANCO HOLDING S. | ITUB | 465562106 | 123 | 09/07/11 | 11/25/13 | $1,705.98 | $1,993.60 | $-287.62 |
| ITAU UNIBANCO BANCO HOLDING S. | ITUB | 465562106 | 74 | 07/30/12 | 11/25/13 | $1,026.36 | $1,080 80 | $-54.44 |
| ITAU UNIBANCO BANCO HOLDING S. | ITUB | 465562106 | 46 | 09/25/12 | 11/25/13 | $638.01 | $673.11 | $-35 10 |
| ITAU UNIBANCO BANCO HOLDING S. | ITUB | 465562106 | 73 | 01/01/50 | 11/25/13 | $1,012.49 | $1,051.88 | $-39.39 |
| LVMH MOET HENNESSY UNSP ADR - | LVMUY | 502441306 | 66 | 09/07/11 | 11/25/13 | $2,507.96 | $2,110.88 | $397.08 |
| NOKIAN TYRES OYJ-UNSPON ADR | NKRKY | 65528V107 | 42 | 03/08/12 | 11/25/13 | $1,059.22 | $947.74 | $111.48 |
| SWATCH GROUP AG/THE-UNSP ADR | SWGAY | 870123106 | 90 | 09/07/11 | 11/25/13 | $2,893.45 | $1,912.50 | $980.95 |
| BUNGE LIMITED | BG | G16962105 | 25 | 02/09/12 | 11/25/13 | $2,016.01 | $1,843.57 | $172.44 |
| XINYI GLASS HOLDI-UNSP ADR | XYIGY | 98418R100 | 62 | 06/27/12 | 11/25/13 | $1,351.58 | $659.46 | $692.12 |
| FRESH MARKET INC/THE | TFM | 35804H106 | 40 | 09/23/11 | 11/25/13 | $1,609.97 | $1,468.82 | $141.15 |
| FRESH MARKET INC/THE | TFM | 35804H106 | 40 | 01/09/12 | 11/25/13 | $1,609.97 | $1,556.40 | $53.57 |
| UNILEVER PLC - ADR | UL | 904767704 | 54 | 09/07/11 | 11/25/13 | $2,188.58 | $1,766.10 | $422.48 |
| UNITED NAT FOODS INC | UNFI | 911163103 | 75 | 09/06/11 | 11/25/13 | $5,186.41 | $2,811.75 | $2,374.66 |
| UNITED NAT FOODS INC | UNFI | 911163103 | 60 | 01/09/12 | 11/25/13 | $4,149.12 | $2,461.80 | $1,687.32 |
| BAYERISCHE MOTOREN WERKE (BN | BAMXY | 072743206 | 20 | 01/02/50 | 11/25/13 | $760.19 | $727.48 | $32.71 |
| IMPERIAL OIL LTD COM- | IMO | 453038408 | 64 | 09/07/11 | 11/25/13 | $2,778.19 | $2,533.46 | $244.73 |
| PETROLEO BRASILEIRO S.A.- COMM | PBR | 71654V408 | 94 | 10/03/12 | 11/25/13 | $1,604.55 | $2,161.14 | $-556.59 |
| SASOL LIMITED - ADR | SSL | 803866300 | 36 | 09/07/11 | 11/25/13 | $1,804.28 | $1,639.44 | $164.84 |
| OCCIDENTAL PETE CORP | OXY | 674599105 | 9 | 09/07/11 | 11/25/13 | $879.73 | $759 24 | $120.49 |
| AMERICAN EXPRESS COMPANY | AXP | 025816109 | 36 | 09/07/11 | 11/25/13 | $3,044.28 | $1,789.56 | $1,254 72 |
| AMERICAN EXPRESS COMPANY | AXP | 025816109 | 14 | 01/09/12 | 11/25/13 | $1,183.89 | $677.32 | $506.57 |
| FINANCIAL ENGINES INC | FNGN | 317485100 | 40 | 09/06/11 | 11/25/13 | $2,728.35 | $833.20 | $1,895.15 |
| FINANCIAL ENGINES INC | FNGN | 317485100 | 28 | 10/07/11 | 11/25/13 | $1,909.85 | $503 59 | $1,406.26 |
| FINANCIAL ENGINES INC | FNGN | 317485100 | 60 | 01/09/12 | 11/25/13 | $4,092.53 | $1,297 80 | $2,794 73 |
| ICICI BANK LTD. - ADR | IBN | 45104G104 | 97 | 09/07/11 | 11/25/13 | $3,451.38 | $3,785.97 | $-334.59 |
| M & T BANK CORPORATION COM | MTB | 55261F104 | 24 | 01/01/50 | 11/25/13 | $2,806.27 | $2,702 80 | $103 47 |
| PORTFOLIO RECOVERY ASSOCS IN( | PRAA | 73640Q105 | 120 | 09/06/11 | 11/25/13 | $6,936.45 | $2,764.89 | $4,171.56 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| PORTFOLIO RECOVERY ASSOCS IN( | PRAA | 73640Q105 | 90 | 01/09/12 | 11/25/13 | $5,202.34 | $1,806 90 | $3,395 44 |
| **Total long term gain/loss from sales:** | | | | | | $477,612.66 | $317,880 11 | $159,732.55 |

## Cost not available

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| PROTO LABS INC | PRLB | 743713109 | 80 | 04/25/13 | 11/25/13 | $5,952.70 | Unknown | |
| *MITSUBISHI ESTATE COMPANY, LT | MITEY | 606783207 | 105 | 01/01/50 | 11/25/13 | $2,914.74 | Unknown | |
| ORACLE CORPORATION | ORCL | 68389X105 | 139 | 01/01/50 | 11/25/13 | $4,839.00 | Unknown | |
| FIVE BELOW INC | FIVE | 33829M101 | 70 | 05/02/13 | 11/25/13 | $3,742.13 | Unknown | |
| SCHLUMBERGER LTD | SLB | 806857108 | 31 | 01/01/50 | 11/25/13 | $2,802.04 | Unknown | |
| ADVISORY BRD CO | ABCO | 00762W107 | 70 | 01/18/13 | 11/25/13 | $4,459.62 | Unknown | |
| BUNGE LIMITED | BG | G16962105 | 27 | 01/01/50 | 11/25/13 | $2,177.30 | Unknown | |
| OCCIDENTAL PETE CORP | OXY | 674599105 | 15 | 01/01/50 | 11/25/13 | $1,466.22 | Unknown | |
| LKQ CORP | LKQ | 501889208 | 165 | 01/09/12 | 11/25/13 | $5,400.68 | Unknown | |
| **Total proceeds from transactions with missing cost basis:** | | | | | | $33,754.43 | | |

Cost basis not reported to IRS

| | | | |
|---|---|---|---|
| ST Sales price | =14,154 45 | LT sales price | =19,599.98 |
| ST Cost | = 9,927.26 | LT Cost | =14,902 95 |
| SGT Gain | 4,227 19 | LT Gain | 4,697 03 |

**The Paul and Emily Singer Family Foundation**
12/31/2013
Assets Transferred Cost Basis

| | Stock Name | 2013 Sale Activity | | As of 12/31/2013 | |
|---|---|---|---|---|---|
| Wells Fargo | Bunge Ltd | 27 00 | 1590 12 | 0 00 | 0 00 |
| Wells Fargo | Occidental Petroleum Corp | 15 00 | 1,389 19 | 0 00 | 0 00 |
| Wells Fargo | Oracle Corp | 139 00 | 4,1[illegible]7 04 | 47 0[illegible] | 1403[illegible] |
| Wells Fargo | Schlumberger Ltd | 31 00 | [illegible]028 85 | 0 00 | 0 00 |
| Wells Fargo | Advisory Board Co | 70 00 | 628 06 | 0 00 | 0 00 |
| Wells Fargo | Five Below Inc | 70 00 | 2 524 63 | 0 00 | 0 00 |
| Wells Fargo | LKQ Corporation | 165 00 | 2 576 48 | 0 00 | 0 00 |
| Wells Fargo | Proto Labs Inc | 80 00 | 774 57 | 0 00 | 0 00 |
| Wells Fargo | *Mitsubishi Estate Company | 105 00 | 3 151 27 | 0 00 | 0 00 |

ATTACHMENT 1

## FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | 3,752. | | | |
| TOTALS | 3,752. | | | |

ATTACHMENT 2

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAXES PAID | 1,400. | 1,400. |
| PRIOR YEAR TAX PAID (2012 T/R) | 660. | 660. |
| ESTIMATED TAX PAID (2013 EST) | 467. | 467. |
| TOTALS | 2,527. | 2,527. |

ATTACHMENT 3

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| ADR FEES | 149. | 149. |
| BARCLAYS MANAGEMENT FEES | 83,343. | 83,343. |
| WELLS FARGO MANAGEMENT FEES | 4,405. | 4,405. |
| SUPPLIES | 99. | |
| TOTALS | 87,996. | 87,897. |

ATTACHMENT 4

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| SEE ATTACHED STATEMENT | 2,378,114. | 2,494,528. |
| TOTALS | 2,378,114. | 2,494,528. |

## ASSET DETAIL

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Cash & Equivalents** | | | | | | | |
| MONEY MARKET | | | | | | | |
| SECURED MARKET DEPOSIT ACCOUNT<br>ACCOUNT NUMBER. 45497600 | 26,087.840 | | $26,087.84 | $26,087.84 | $0.00 | $2.61 | 0.01% |
| SECURED MARKET DEPOSIT ACCOUNT<br>*Asset held in Invested Income Portfolio*<br>ACCOUNT NUMBER· 45497600 | 16,109.220 | | 16,109.22 | 16,109.22 | 0.00 | 1.61 | 0.01 |
| **Total Money Market** | | | **$42,197.06** | **$42,197.06** | **$0.00** | **$4.22** | **0.01%** |
| **Total Cash & Equivalents** | | | **$42,197.06** | **$42,197.06** | **$0.00** | **$4.22** | **0.01%** |

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** | | | | | | | |
| CORPORATE OBLIGATIONS | | | | | | | |
| ALCOA INC<br>DTD 08/03/10 6.150 08/15/2020<br>CUSIP· 013817AU5<br>MOODY'S RATING: BA1<br>STANDARD & POOR'S RATING. BBB-<br>ACCOUNT NUMBER: 45497601 | 25,000.000 | $107.770 | $26,942.50 | $26,658 91 | $283.59 | $1,537.50 | 4 77% |
| AMERICAN TOWER CORP<br>DTD 12/07/10 4.500 01/15/2018<br>CUSIP: 029912BD3<br>MOODY'S RATING. BAA3<br>STANDARD & POOR'S RATING BBB-<br>ACCOUNT NUMBER: 45497601 | 15,000.000 | 107.126 | 16,068 90 | 15,254 18 | 814 72 | 675.00 | 2.63 |

## ASSET DETAIL *(continued)*

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** *(continued)* | | | | | | | |
| CORPORATE OBLIGATIONS *(continued)* | | | | | | | |
| ARROW ELECTRONICS INC<br>DTD 02/20/13 3.000 03/01/2018<br>CUSIP. 042735BB5<br>MOODY'S RATING· BAA3<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER: 45497601 | 5,000.000 | 100.051 | 5,002.55 | 4,995 58 | 6.97 | 150 00 | 2 99 |
| ARROW ELECTRONICS INC<br>DTD 11/03/10 5.125 03/01/2021<br>CUSIP: 04273WAB7<br>MOODY'S RATING. BAA3<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER. 45497601 | 20,000.000 | 102 334 | 20,466.80 | 20,913 32 | - 446.52 | 1,025.00 | 4.74 |
| AVNET INC<br>DTD 06/22/10 5.875 06/15/2020<br>CUSIP: 053807AQ6<br>MOODY'S RATING: BAA3<br>STANDARD & POOR'S RATING· BBB-<br>ACCOUNT NUMBER: 45497601 | 5,000.000 | 107 299 | 5,364.95 | 5,339.20 | 25.75 | 293 75 | 4.56 |
| AVNET INC<br>DTD 09/12/06 6 625 09/15/2016<br>CUSIP: 053807AN3<br>MOODY'S RATING. BAA3<br>STANDARD & POOR'S RATING BBB-<br>ACCOUNT NUMBER: 45497601 | 15,000.000 | 112 053 | 16,807 95 | 16,176.07 | 631.88 | 993 75 | 2 02 |
| AVNET INC<br>DTD 11/27/12 4.875 12/01/2022<br>CUSIP 053807AR4<br>MOODY'S RATING. BAA3<br>STANDARD & POOR'S RATING· BBB-<br>ACCOUNT NUMBER: 45497601 | 5,000.000 | 100 421 | 5,021 05 | 4,990.05 | 31 00 | 243.75 | 4.82 |

## ASSET DETAIL *(continued)*

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** *(continued)* | | | | | | | |
| CORPORATE OBLIGATIONS *(continued)* | | | | | | | |
| BEST BUY CO INC<br>DTD 03/11/11 5.500 03/15/2021<br>CUSIP: 086516AL5<br>MOODY'S RATING· BAA2<br>STANDARD & POOR'S RATING: BB<br>ACCOUNT NUMBER: 45497601 | 25,000.000 | 100.750 | 25,187.50 | 23,442.37 | 1,745 13 | 1,375 00 | 5 37 |
| BRINKER INTERNATIONAL IN<br>DTD 05/15/13 3.875 05/15/2023<br>CUSIP: 109641AG5<br>MOODY'S RATING· BA2<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER: 45497601 | 5,000.000 | 90.021 | 4,501.05 | 4,995.32 | - 494.27 | 193.75 | 5 24 |
| CENTURYLINK INC<br>DTD 03/12/12 5.800 03/15/2022<br>CUSIP: 156700AS5<br>MOODY'S RATING· BA2<br>STANDARD & POOR'S RATING BB<br>ACCOUNT NUMBER. 45497601 | 25,000.000 | 99.440 | 24,860.00 | 26,225.57 | - 1,365.57 | 1,450.00 | 5 89 |
| DAVITA INC<br>DTD 10/20/10 6 375 11/01/2018<br>CUSIP: 23918KAL2<br>MOODY'S RATING: B2<br>STANDARD & POOR'S RATING· B<br>ACCOUNT NUMBER: 45497601 | 25,000.000 | 105.000 | 26,250.00 | 26,588.46 | - 338.46 | 1,593.75 | 5 19 |
| DELUXE CORP<br>DTD 09/15/11 7.000 03/15/2019<br>CUSIP: 248019AP6<br>MOODY'S RATING· BA2<br>STANDARD & POOR'S RATING· BB<br>ACCOUNT NUMBER: 45497601 | 20,000 000 | 107.000 | 21,400 00 | 21,081 61 | 318 39 | 1,400 00 | 5.44 |

## ASSET DETAIL *(continued)*

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** *(continued)* | | | | | | | |
| CORPORATE OBLIGATIONS *(continued)* | | | | | | | |
| DELUXE CORP<br>DTD 11/27/12 6.000 11/15/2020<br>CUSIP: 248019AS0<br>MOODY'S RATING: BA2<br>STANDARD & POOR'S RATING: BB<br>ACCOUNT NUMBER: 45497601 | 5,000.000 | 103.250 | 5,162.50 | 5,222.77 | - 60.27 | 300.00 | 5.43 |
| DONNELLEY (R.R.) & SONS<br>DTD 08/26/09 8.600 08/15/2016<br>CUSIP: 257867AV3<br>MOODY'S RATING. BA3<br>STANDARD & POOR'S RATING: BB-<br>ACCOUNT NUMBER· 45497601 | 10,000.000 | 115.750 | 11,575.00 | 10,184.67 | 1,390.33 | 860.00 | 2 37 |
| EXPEDIA INC<br>DTD 08/05/10 5.950 08/15/2020<br>CUSIP: 30212PAH8<br>MOODY'S RATING· BA1<br>STANDARD & POOR'S RATING· BBB-<br>ACCOUNT NUMBER: 45497601 | 25,000.000 | 108 238 | 27,059.50 | 25,238 94 | 1,820.56 | 1,487.50 | 4 50 |
| FISERV INC<br>DTD 11/20/07 6.800 11/20/2017<br>CUSIP. 337738AG3<br>MOODY'S RATING: BAA2<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER: 45497601 | 20,000.000 | 115.220 | 23,044.00 | 22,460 46 | 583.54 | 1,360 00 | 2.65 |
| GAP INC/THE<br>DTD 04/12/11 5.950 04/12/2021<br>CUSIP: 364760AK4<br>MOODY'S RATING: BAA3<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER: 45497601 | 20,000.000 | 110.497 | 22,099.40 | 19,082.44 | 3,016.96 | 1,190.00 | 4.26 |

## ASSET DETAIL *(continued)*

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** *(continued)* | | | | | | | |
| CORPORATE OBLIGATIONS *(continued)* | | | | | | | |
| HCA INC<br>DTD 08/01/11 6 500 02/15/2020<br>CUSIP 404121AC9<br>MOODY'S RATING: BA3<br>STANDARD & POOR'S RATING: BB<br>ACCOUNT NUMBER: 45497601 | 25,000.000 | 109.875 | 27,468.75 | 16,121 19 | 11,347.56 | 1,625 00 | 4 63 |
| HERTZ CORP<br>DTD 04/15/11 6.750 04/15/2019<br>CUSIP: 428040CJ6<br>MOODY'S RATING. B2<br>STANDARD & POOR'S RATING: B<br>ACCOUNT NUMBER: 45497601 | 30,000.000 | 107.750 | 32,325.00 | 31,356.20 | 968 80 | 2,025.00 | 5.06 |
| HUMANA INC<br>DTD 08/05/03 6.300 08/01/2018<br>CUSIP· 444859AU6<br>MOODY'S RATING: BAA3<br>STANDARD & POOR'S RATING· BBB+<br>ACCOUNT NUMBER· 45497601 | 15,000.000 | 114.493 | 17,173.95 | 16,774.23 | 399 72 | 945 00 | 2.90 |
| HUMANA INC.<br>DTD 06/05/08 7.200 06/15/2018<br>CUSIP: 444859AY8<br>MOODY'S RATING· BAA3<br>STANDARD & POOR'S RATING: BBB+<br>ACCOUNT NUMBER: 45497601 | 10,000 000 | 118.305 | 11,830 50 | 11,352 71 | 477 79 | 720.00 | 2 80 |
| INTL GAME TECHNOLOGY<br>DTD 06/08/10 5.500 06/15/2020<br>CUSIP. 459902AS1<br>MOODY'S RATING: BAA2<br>STANDARD & POOR'S RATING: BBB<br>ACCOUNT NUMBER. 45497601 | 15,000.000 | 106.485 | 15,972.75 | 16,040.15 | - 67.40 | 825.00 | 4 34 |

## ASSET DETAIL *(continued)*

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** *(continued)* | | | | | | | |
| CORPORATE OBLIGATIONS *(continued)* | | | | | | | |
| INTL GAME TECHNOLOGY<br>DTD 06/15/09 7.500 06/15/2019<br>CUSIP: 459902AR3<br>MOODY'S RATING. BAA2<br>STANDARD & POOR'S RATING: BBB<br>ACCOUNT NUMBER: 45497601 | 5,000.000 | 116.367 | 5,818.35 | 5,867.27 | - 48.92 | 375.00 | 4 12 |
| JANUS CAPITAL GROUP INC<br>DTD 06/14/07 6.950 06/15/2017<br>CUSIP: 47102XAF2<br>MOODY'S RATING: BAA3<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER· 45497601 | 25,000.000 | 111.358 | 27,839.50 | 26,918.79 | 920.71 | 1,675 00 | 3 20 |
| JARDEN CORP<br>DTD 02/13/07 7.5 05/01/2017<br>CUSIP: 471109AB4<br>MOODY'S RATING: B1<br>STANDARD & POOR'S RATING. BB-<br>ACCOUNT NUMBER: 45497601 | 30,000.000 | 115 750 | 34,725 00 | 30,886 52 | 3,838 48 | 2,250 00 | 2 54 |
| L-3 COMMUNICATIONS CORP<br>DTD 04/15/10 5.200 10/15/2019<br>CUSIP· 502413AY3<br>MOODY'S RATING: BAA3<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER· 45497601 | 25,000.000 | 108.085 | 27,021.25 | 28,014.12 | - 992.87 | 1,300 00 | 3 64 |
| LEXMARK INTL INC<br>DTD 05/22/08 6.650 06/01/2018<br>CUSIP 529772AE5<br>MOODY'S RATING: BAA3<br>STANDARD & POOR'S RATING. BBB-<br>ACCOUNT NUMBER: 45497601 | 25,000.000 | 111.745 | 27,936.25 | 27,311.88 | 624.37 | 1,662.50 | 3 74 |

## ASSET DETAIL *(continued)*

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** *(continued)* | | | | | | | |
| CORPORATE OBLIGATIONS *(continued)* | | | | | | | |
| LIMITED BRANDS INC<br>DTD 07/17/2007 6.900 07/15/2017<br>CUSIP: 532716AM9<br>MOODY'S RATING. BA2<br>STANDARD & POOR'S RATING: BB-<br>ACCOUNT NUMBER: 45497601 | 25,000.000 | 115.000 | 28,750.00 | 26,515 96 | 2,234.04 | 1,725 00 | 2.45 |
| RR DONNELLEY & SONS CO<br>DTD 03/14/13 7.875 03/15/2021<br>CUSIP 74978DAA2<br>MOODY'S RATING· BA3<br>STANDARD & POOR'S RATING: BB-<br>ACCOUNT NUMBER. 45497601 | 15,000.000 | 111.000 | 16,650 00 | 1.00 | 16,649.00 | 1,181 25 | 5.97 |
| SCOTTS MIRACLE-GRO CO/TH<br>DTD 01/14/10 7.250 01/15/2018<br>CUSIP: 810186AH9<br>MOODY'S RATING: B1<br>STANDARD & POOR'S RATING. BB-<br>ACCOUNT NUMBER: 45497601 | 10,000.000 | 104.000 | 10,400.00 | 10,698 90 | - 298.90 | 725.00 | 6.12 |
| SCOTTS MIRACLE-GRO CO/TH<br>DTD 12/15/11 6.625 12/15/2020<br>CUSIP. 810186AK2<br>MOODY'S RATING: B1<br>STANDARD & POOR'S RATING: BB-<br>ACCOUNT NUMBER: 45497601 | 15,000.000 | 107.750 | 16,162.50 | 16,474.89 | - 312 39 | 993.75 | 5.28 |
| SEAGATE HDD CAYMAN<br>DTD 01/01/12 7 000 11/01/2021<br>CUSIP: 81180WAF8<br>MOODY'S RATING. BA1<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER: 45497601 | 20,000.000 | 110.375 | 22,075.00 | 16,229.79 | 5,845.21 | 1,400 00 | 5 36 |

## ASSET DETAIL *(continued)*

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** *(continued)* | | | | | | | |
| CORPORATE OBLIGATIONS *(continued)* | | | | | | | |
| SEAGATE TECNOLOGY HDD H<br>DTD 09/20/06 6 800 10/01/2016<br>CUSIP: 81180RAE2<br>MOODY'S RATING: BA1<br>STANDARD & POOR'S RATING. BBB-<br>ACCOUNT NUMBER. 45497601 | 4,000.000 | 113.000 | 4,520.00 | 4,455.00 | 65 00 | 272.00 | 1.92 |
| VALMONT INDUSTRIES<br>DTD 04/12/10 6.625 04/20/2020<br>CUSIP: 920253AD3<br>MOODY'S RATING BAA2<br>STANDARD & POOR'S RATING BBB<br>ACCOUNT NUMBER: 45497601 | 25,000.000 | 112.197 | 28,049 25 | 27,866.50 | 182 75 | 1,656.25 | 4 39 |
| VERISK ANALYTICS INC<br>DTD 09/12/12 4 125 09/12/2022<br>CUSIP: 92345YAC0<br>MOODY'S RATING: BA1<br>STANDARD & POOR'S RATING. BBB-<br>ACCOUNT NUMBER: 45497601 | 15,000.000 | 96 792 | 14,518 80 | 15,015 28 | - 496 48 | 618 75 | 4.58 |
| VERISK ANALYTICS INC<br>DTD 12/08/11 4.875 01/15/2019<br>CUSIP: 92345YAB2<br>MOODY'S RATING. BA1<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER: 45497601 | 10,000.000 | 105.886 | 10,588 60 | 10,587.63 | 0.97 | 487.50 | 3.59 |
| WEST CORP<br>DTD 05/15/11 7 875 01/15/2019<br>CUSIP: 952355AK1<br>MOODY'S RATING: B3<br>STANDARD & POOR'S RATING: B+<br>ACCOUNT NUMBER: 45497601 | 20,000.000 | 108.000 | 21,600.00 | 21,982.38 | - 382.38 | 1,575.00 | 6.01 |

WELLS FARGO

Account Statement For:
SINGER, PAUL & EMILY FAM FDN CONSOL

Period Covered: December 1, 2013 - December 31, 2013

Consolidated Account Number AGG454976

## ASSET DETAIL *(continued)*

| Asset Description | Par Value | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Yield to Maturity |
|---|---|---|---|---|---|---|---|
| **Fixed Income** *(continued)* | | | | | | | |
| CORPORATE OBLIGATIONS *(continued)* | | | | | | | |
| WEST CORP<br>DTD 08/24/11 8.625 10/01/2018<br>CUSIP 952355AH8<br>MOODY'S RATING: B3<br>STANDARD & POOR'S RATING· B+<br>ACCOUNT NUMBER: 45497601 | 5,000.000 | 108.750 | 5,437.50 | 5,537 73 | - 100.23 | 431.25 | 6 45 |
| WILLIS NORTH AMERICA INC<br>DTD 03/28/07 6.200 03/28/2017<br>CUSIP. 970648AD3<br>MOODY'S RATING. BAA3<br>STANDARD & POOR'S RATING: BBB-<br>ACCOUNT NUMBER· 45497601 | 25,000.000 | 108 550 | 27,137.50 | 26,797.78 | 339.72 | 1,550.00 | 3 39 |
| XEROX CORPORATION<br>DTD 05/18/11 4.500 05/15/2021<br>CUSIP: 984121CD3<br>MOODY'S RATING: BAA2<br>STANDARD & POOR'S RATING: BBB<br>ACCOUNT NUMBER· 45497601 | 25,000.000 | 102.929 | 25,732.25 | 27,221.03 | - 1,488.78 | 1,125.00 | 4.04 |
| **Total Corporate Obligations** | | | **$746,546.35** | **$698,876.85** | **$47,669.50** | **$43,272.00** | |

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Fixed Income** | | | | | | | |
| DOMESTIC MUTUAL FUNDS | | | | | | | |
| ISHARES 1-3 YEAR CREDIT BOND ETF<br>SYMBOL: CSJ CUSIP: 464288646<br>ACCOUNT NUMBER: 45497601 | 2,678 000 | $105 460 | $282,421.88 | $279,080.52 | $3,341 36 | $3,296.62 | 1.17% |
| **Total Domestic Mutual Funds** | | | **$282,421.88** | **$279,080.52** | **$3,341.36** | **$3,296.62** | **1.17%** |
| **Total Fixed Income** | | | **$1,028,968.23** | **$977,957.37** | **$51,010.86** | **$46,568.62** | |

## ASSET DETAIL *(continued)*

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Equities** | | | | | | | |
| CONSUMER DISCRETIONARY | | | | | | | |
| COACH INC<br>SYMBOL· COH CUSIP· 189754104<br>ACCOUNT NUMBER: 45497600 | 148.000 | $56 130 | $8,307.24 | $8,381.24 | - $74.00 | $199.80 | 2 40% |
| COMCAST CORP CLASS A<br>SYMBOL: CMCSA CUSIP· 20030N101<br>ACCOUNT NUMBER: 45497600 | 317.000 | 51 965 | 16,472 91 | 15,710 01 | 762 90 | 247.26 | 1 50 |
| JOHNSON CONTROLS INC<br>SYMBOL: JCI CUSIP: 478366107<br>ACCOUNT NUMBER: 45497600 | 185.000 | 51 300 | 9,490.50 | 9,338.80 | 151.70 | 162.80 | 1.71 |
| MCDONALDS CORP<br>SYMBOL: MCD CUSIP: 580135101<br>ACCOUNT NUMBER: 45497600 | 103.000 | 97.030 | 9,994 09 | 9,951.86 | 42.23 | 333 72 | 3 34 |
| TARGET CORP<br>SYMBOL: TGT CUSIP: 87612E106<br>ACCOUNT NUMBER: 45497600 | 135 000 | 63.270 | 8,541.45 | 8,517.15 | 24.30 | 232 20 | 2.72 |
| TJX COS INC NEW<br>SYMBOL: TJX CUSIP: 872540109<br>ACCOUNT NUMBER: 45497600 | 126.000 | 63.730 | 8,029.98 | 7,965.72 | 64 26 | 73 08 | 0 91 |
| WALT DISNEY CO<br>SYMBOL. DIS CUSIP: 254687106<br>ACCOUNT NUMBER: 45497600 | 215 000 | 76.400 | 16,426 00 | 13,015 58 | 3,410 42 | 184 90 | 1 13 |
| **Total Consumer Discretionary** | | | **$77,262.17** | **$72,880.36** | **$4,381.81** | **$1,433.76** | **1.86%** |
| CONSUMER STAPLES | | | | | | | |
| CVS/CAREMARK CORPORATION<br>SYMBOL· CVS CUSIP: 126650100<br>ACCOUNT NUMBER. 45497600 | 152.000 | $71.570 | $10,878.64 | $10,138.92 | $739 72 | $167 20 | 1 54% |
| MONSTER BEVERAGE CORP<br>SYMBOL: MNST CUSIP: 611740101<br>ACCOUNT NUMBER. 45497600 | 105.000 | 67.770 | 7,115.85 | 6,290 41 | 825.44 | 0.00 | 0 00 |
| **Total Consumer Staples** | | | **$17,994.49** | **$16,429.33** | **$1,565.16** | **$167.20** | **0.93%** |

## ASSET DETAIL *(continued)*

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | |
| ENERGY | | | | | | | |
| APACHE CORP<br>SYMBOL APA CUSIP: 037411105<br>ACCOUNT NUMBER: 45497600 | 96.000 | $85.940 | $8,250.24 | $8,781 12 | - $530 88 | $76 80 | 0.93% |
| CHEVRON CORP<br>SYMBOL: CVX CUSIP. 166764100<br>ACCOUNT NUMBER: 45497600 | 82.000 | 124.910 | 10,242.62 | 10,074.52 | 168.10 | 328.00 | 3 20 |
| NATIONAL OILWELL VARCO INC COM<br>SYMBOL: NOV CUSIP: 637071101<br>ACCOUNT NUMBER: 45497600 | 108 000 | 79 530 | 8,589.24 | 8,889.56 | - 300.32 | 112 32 | 1 31 |
| OCCIDENTAL PETE CORP<br>SYMBOL: OXY CUSIP: 674599105<br>ACCOUNT NUMBER: 45497600 | 103.000 | 95.100 | 9,795.30 | 8,837.56 | 957 74 | 263.68 | 2 69 |
| **Total Energy** | | | **$36,877.40** | **$36,582.76** | **$294.64** | **$780.80** | **2.12%** |
| FINANCIALS | | | | | | | |
| AFFILIATED MANAGERS GROUP, INC COM<br>SYMBOL: AMG CUSIP: 008252108<br>ACCOUNT NUMBER: 45497600 | 50.000 | $216.880 | $10,844.00 | $10,148.50 | $695.50 | $0.00 | 0 00% |
| AFLAC INC<br>SYMBOL: AFL CUSIP: 001055102<br>ACCOUNT NUMBER. 45497600 | 150.000 | 66.800 | 10,020.00 | 10,072.50 | - 52.50 | 222 00 | 2 22 |
| AMERICAN EXPRESS CO<br>SYMBOL. AXP CUSIP· 025816109<br>ACCOUNT NUMBER· 45497600 | 121 000 | 90.730 | 10,978.33 | 6,593.67 | 4,384.66 | 111.32 | 1.01 |
| BERKSHIRE HATHAWAY INC.<br>SYMBOL: BRK.B CUSIP: 084670702<br>ACCOUNT NUMBER· 45497600 | 87.000 | 118 560 | 10,314.72 | 10,104 18 | 210.54 | 0 00 | 0.00 |
| CAPITAL ONE FINANCIAL CORP<br>SYMBOL: COF CUSIP. 14040H105<br>ACCOUNT NUMBER· 45497600 | 130 000 | 76 610 | 9,959.30 | 9,420.10 | 539 20 | 156.00 | 1 57 |

## ASSET DETAIL *(continued)*

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | |
| FINANCIALS *(continued)* | | | | | | | |
| CME GROUP INCE<br>SYMBOL: CME CUSIP. 12572Q105<br>ACCOUNT NUMBER: 45497600 | 122 000 | 78.460 | 9,572.12 | 10,013.98 | - 441.86 | 219.60 | 2.29 |
| GOLDMAN SACHS GROUP INC<br>SYMBOL: GS CUSIP: 38141G104<br>ACCOUNT NUMBER. 45497600 | 48.000 | 177.260 | 8,508.48 | 8,222.40 | 286.08 | 105.60 | 1 24 |
| JPMORGAN CHASE & CO<br>SYMBOL: JPM CUSIP. 46625H100<br>ACCOUNT NUMBER: 45497600 | 268.000 | 58.480 | 15,672.64 | 13,601.14 | 2,071.50 | 407.36 | 2 60 |
| US BANCORP DEL NEW<br>SYMBOL: USB CUSIP: 902973304<br>ACCOUNT NUMBER: 45497600 | 257 000 | 40 400 | 10,382.80 | 10,143.79 | 239 01 | 236.44 | 2 28 |
| **Total Financials** | | | **$96,252.39** | **$88,320.26** | **$7,932.13** | **$1,458.32** | **1.52%** |
| HEALTH CARE | | | | | | | |
| BAXTER INTL INC<br>SYMBOL: BAX CUSIP: 071813109<br>ACCOUNT NUMBER: 45497600 | 87.000 | $69 550 | $6,050.85 | $5,986.47 | $64 38 | $170 52 | 2.82% |
| BRISTOL MYERS SQUIBB CO<br>SYMBOL: BMY CUSIP· 110122108<br>ACCOUNT NUMBER: 45497600 | 192.000 | 53.150 | 10,204.80 | 7,873.92 | 2,330 88 | 276 48 | 2.71 |
| GILEAD SCIENCES INC<br>SYMBOL. GILD CUSIP 375558103<br>ACCOUNT NUMBER: 45497600 | 168 000 | 75 100 | 12,616.80 | 12,517 26 | 99 54 | 0 00 | 0 00 |
| JOHNSON & JOHNSON<br>SYMBOL: JNJ CUSIP: 478160104<br>ACCOUNT NUMBER. 45497600 | 105.000 | 91 590 | 9,616.95 | 8,652.52 | 964.43 | 277.20 | 2 88 |
| MCKESSON CORP<br>SYMBOL: MCK CUSIP: 58155Q103<br>ACCOUNT NUMBER: 45497600 | 76.000 | 161 400 | 12,266 40 | 12,526 32 | - 259.92 | 72 96 | 0 59 |

WELLS FARGO

Account Statement For:
SINGER, PAUL & EMILY FAM FDN CONSOL

Period Covered: December 1, 2013 - December 31, 2013

Consolidated Account Number AGG454976

## ASSET DETAIL *(continued)*

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | |
| HEALTH CARE *(continued)* | | | | | | | |
| MERCK & CO INC NEW<br>SYMBOL. MRK CUSIP. 58933Y105<br>ACCOUNT NUMBER: 45497600 | 207.000 | 50.050 | 10,360.35 | 10,337 58 | 22.77 | 364.32 | 3 52 |
| THERMO FISHER SCIENTIFIC INC<br>SYMBOL: TMO CUSIP: 883556102<br>ACCOUNT NUMBER: 45497600 | 79.000 | 111.350 | 8,796 65 | 7,996.38 | 800.27 | 47.40 | 0 54 |
| UNITEDHEALTH GROUP INC<br>SYMBOL: UNH CUSIP: 91324P102<br>ACCOUNT NUMBER: 45497600 | 166.000 | 75.300 | 12,499.80 | 12,300 60 | 199 20 | 185.92 | 1 49 |
| **Total Health Care** | | | **$82,412.60** | **$78,191.05** | **$4,221.55** | **$1,394.80** | **1.69%** |
| INDUSTRIALS | | | | | | | |
| BOEING CO<br>SYMBOL: BA CUSIP: 097023105<br>ACCOUNT NUMBER: 45497600 | 82.000 | $136 490 | $11,192.18 | $11,045.40 | $146 78 | $239 44 | 2.14% |
| CUMMINS INC.<br>SYMBOL: CMI CUSIP: 231021106<br>ACCOUNT NUMBER: 45497600 | 46.000 | 140.970 | 6,484 62 | 6,141.46 | 343.16 | 115.00 | 1 77 |
| DANAHER CORP<br>SYMBOL: DHR CUSIP: 235851102<br>ACCOUNT NUMBER: 45497600 | 158.000 | 77.200 | 12,197.60 | 3,740.89 | 8,456.71 | 15.80 | 0 13 |
| DOVER CORP COM<br>SYMBOL: DOV CUSIP: 260003108<br>ACCOUNT NUMBER: 45497600 | 120.000 | 96 540 | 11,584.80 | 8,502.20 | 3,082.60 | 180.00 | 1.55 |

## ASSET DETAIL *(continued)*

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | |
| INDUSTRIALS *(continued)* | | | | | | | |
| UNION PACIFIC CORP<br>SYMBOL. UNP CUSIP· 907818108<br>ACCOUNT NUMBER: 45497600 | 94.000 | 168.000 | 15,792.00 | 15,407 54 | 384 46 | 297 04 | 1 88 |
| UNITED PARCEL SERVICE-CL B<br>SYMBOL· UPS CUSIP: 911312106<br>ACCOUNT NUMBER: 45497600 | 99.000 | 105.080 | 10,402.92 | 10,172 25 | 230 67 | 245 52 | 2 36 |
| 3M CO<br>COM<br>SYMBOL: MMM CUSIP: 88579Y101<br>ACCOUNT NUMBER· 45497600 | 77.000 | 140.250 | 10,799.25 | 9,974.58 | 824 67 | 263.34 | 2 44 |
| **Total Industrials** | | | **$78,453.37** | **$64,984.32** | **$13,469.05** | **$1,356.14** | **1.73%** |
| INFORMATION TECHNOLOGY | | | | | | | |
| APPLE INC<br>SYMBOL: AAPL CUSIP: 037833100<br>ACCOUNT NUMBER: 45497600 | 29 000 | $561 020 | $16,269 58 | $14,408.77 | $1,860 81 | $353 80 | 2 17% |
| CISCO SYSTEMS INC<br>SYMBOL: CSCO CUSIP: 17275R102<br>ACCOUNT NUMBER: 45497600 | 562 000 | 22.430 | 12,605.66 | 11,861.40 | 744.26 | 382.16 | 3.03 |
| E M C CORP MASS<br>SYMBOL: EMC CUSIP. 268648102<br>ACCOUNT NUMBER: 45497600 | 417.000 | 25.150 | 10,487.55 | 9,578.36 | 909.19 | 166.80 | 1.59 |
| GOOGLE INC<br>CL A<br>SYMBOL· GOOG CUSIP: 38259P508<br>ACCOUNT NUMBER: 45497600 | 12.000 | 1,120.710 | 13,448.52 | 12,658.80 | 789.72 | 0.00 | 0 00 |

## ASSET DETAIL *(continued)*

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | |
| INFORMATION TECHNOLOGY *(continued)* | | | | | | | |
| INTERNATIONAL BUSINESS MACHS CORP COM<br>SYMBOL: IBM CUSIP 459200101<br>ACCOUNT NUMBER· 45497600 | 65 000 | 187.570 | 12,192.05 | 13,083.53 | - 891 48 | 247 00 | 2.03 |
| MICROSOFT CORP<br>SYMBOL· MSFT CUSIP: 594918104<br>ACCOUNT NUMBER: 45497600 | 323.000 | 37.410 | 12,083 43 | 12,479 98 | - 396 55 | 361 76 | 2 99 |
| ORACLE CORPORATION<br>SYMBOL: ORCL CUSIP· 68389X105<br>ACCOUNT NUMBER: 45497600 | 229 000 | 38 260 | 8,761.54 | 5,130.05 | 3,631 49 | 109 92 | 1 25 |
| **Total Information Technology** | | | **$85,848.33** | **$79,200.89** | **$6,647.44** | **$1,621.44** | **1.89%** |
| MATERIALS | | | | | | | |
| CELANESE CORP<br>SYMBOL· CE CUSIP: 150870103<br>ACCOUNT NUMBER 45497600 | 144 000 | $55 310 | $7,964 64 | $8,187 84 | - $223.20 | $103.68 | 1 30% |
| **Total Materials** | | | **$7,964.64** | **$8,187.84** | **- $223.20** | **$103.68** | **1.30%** |
| UTILITIES | | | | | | | |
| PUBLIC SVC ENTERPRISE GROUP INC<br>SYMBOL PEG CUSIP: 744573106<br>ACCOUNT NUMBER· 45497600 | 297 000 | $32.040 | $9,515.88 | $9,732.66 | - $216 78 | $427.68 | 4 49% |
| **Total Utilities** | | | **$9,515.88** | **$9,732.66** | **- $216.78** | **$427.68** | **4.49%** |

## ASSET DETAIL *(continued)*

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | |
| INTERNATIONAL EQUITIES | | | | | | | |
| ANHEUSER-BUSCH INBEV SPN<br>CUSIP: 03524A108<br>ACCOUNT NUMBER· 45497600 | 96 000 | $106.460 | $10,220.16 | $9,001.94 | $1,218.22 | $240.38 | 2.35% |
| BHP BILLITON LIMITED - ADR<br>SPONSORED ADR<br>CUSIP. 088606108<br>ACCOUNT NUMBER· 45497600 | 85.000 | 68.200 | 5,797.00 | 5,682.25 | 114.75 | 197.20 | 3 40 |
| DIAGEO PLC - ADR<br>SPONSORED ADR<br>CUSIP 25243Q205<br>ACCOUNT NUMBER· 45497600 | 62.000 | 132 420 | 8,210.04 | 7,840 52 | 369.52 | 185 88 | 2 26 |
| EATON CORP PLC<br>CUSIP: G29183103<br>ACCOUNT NUMBER· 45497600 | 139.000 | 76.120 | 10,580.68 | 10,116.41 | 464 27 | 233 52 | 2.21 |
| HSBC - ADR<br>SPONSORED ADR<br>CUSIP: 404280406<br>ACCOUNT NUMBER: 45497600 | 107.000 | 55 130 | 5,898.91 | 5,972.74 | - 73.83 | 256 80 | 4 35 |
| NESTLE S.A. REGISTERED SHARES - ADR<br>SPONSORED ADR<br>CUSIP: 641069406<br>ACCOUNT NUMBER· 45497600 | 136.000 | 73.590 | 10,008.24 | 9,568.96 | 439.28 | 246.98 | 2.47 |
| SCHLUMBERGER LTD<br>CUSIP· 806857108<br>ACCOUNT NUMBER· 45497600 | 110 000 | 90.110 | 9,912.10 | 7,892 72 | 2,019.38 | 137.50 | 1 39 |
| TOTAL S A. - ADR<br>SPONSORED ADR<br>CUSIP· 89151E109<br>ACCOUNT NUMBER· 45497600 | 134.000 | 61.270 | 8,210 18 | 8,111.11 | 99.07 | 351.88 | 4 29 |
| **Total International Equities** | | | **$68,837.31** | **$64,186.65** | **$4,650.66** | **$1,850.14** | **2.69%** |

## ASSET DETAIL *(continued)*

| ASSET DESCRIPTION | QUANTITY | PRICE | MARKET VALUE AS OF 12/31/13 | COST BASIS | UNREALIZED GAIN/LOSS | ESTIMATED ANNUAL INCOME | CURRENT YIELD |
|---|---|---|---|---|---|---|---|
| **Equities** *(continued)* | | | | | | | |
| DOMESTIC MUTUAL FUNDS | | | | | | | |
| ISHARES CORE S & P 500 ETF<br>SYMBOL. IVV CUSIP: 464287200<br>ACCOUNT NUMBER: 45497600 | 276.000 | $185.650 | $51,239.40 | $49,980.84 | $1,258 56 | $923.22 | 1.80% |
| ISHARES RUSSELL MID-CAP ETF<br>SYMBOL: IWR CUSIP. 464287499<br>ACCOUNT NUMBER· 45497600 | 1,032.000 | 149.980 | 154,779.36 | 151,608.13 | 3,171.23 | 2,034 07 | 1.31 |
| ISHARES RUSSELL 2000 ETF<br>SYMBOL: IWM CUSIP: 464287655<br>ACCOUNT NUMBER: 45497600 | 1,089.000 | 115.360 | 125,627.04 | 122,653.73 | 2,973.31 | 1,539 85 | 1.23 |
| **Total Domestic Mutual Funds** | | | **$331,645.80** | **$324,242.70** | **$7,403.10** | **$4,497.14** | **1.36%** |
| INTERNATIONAL MUTUAL FUNDS | | | | | | | |
| ISHARES MSCI EAFE ETF<br>SYMBOL: EFA CUSIP. 464287465<br>ACCOUNT NUMBER. 45497600 | 3,200.000 | $67.095 | $214,704.00 | $211,369 92 | $3,334.08 | $5,449 60 | 2 54% |
| ISHARES MSCI EMERGING MARKETS<br>SYMBOL. EEM CUSIP: 464287234<br>ACCOUNT NUMBER. 45497600 | 3,557.000 | 41.795 | 148,664.82 | 136,410.95 | 12,253.87 | 3,051 91 | 2.05 |
| **Total International Mutual Funds** | | | **$363,368.82** | **$347,780.87** | **$15,587.95** | **$8,501.51** | **2.34%** |
| **Total Equities** | | | **$1,256,433.20** | **$1,190,719.69** | **$65,713.51** | **$23,592.61** | **1.88%** |

## ASSET DETAIL *(continued)*

| Asset Description | Quantity | Price | Market Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income | Current Yield |
|---|---|---|---|---|---|---|---|
| **Real Assets** | | | | | | | |
| REAL ASSET FUNDS | | | | | | | |
| IPATH DOW JONES-UBS COMMODITY INDEX TOTAL RETURN ETN DUE JUNE 12, 2036 DTD 06/06/06 06/12/2036 SYMBOL: DJP CUSIP: 06738C778 ACCOUNT NUMBER: 45497600 | 1,680.000 | $36.750 | $61,740.00 | $60,804.74 | $935.26 | $0.00 | 0 00% |
| SPDR DOW JONES REIT ETF SYMBOL. RWR CUSIP: 78464A607 ACCOUNT NUMBER. 45497600 | 2,068 000 | 71.270 | 147,386.36 | 148,632.74 | - 1,246.38 | 4,998.36 | 3 39 |
| **Total Real Asset Funds** | | | **$209,126.36** | **$209,437.48** | **- $311.12** | **$4,998.36** | **2.39%** |
| **Total Real Assets** | | | **$209,126.36** | **$209,437.48** | **- $311.12** | **$4,998.36** | **2.39%** |

| | Account Value as of 12/31/13 | Cost Basis | Unrealized Gain/Loss | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL ASSETS** | **$2,536,724.85** | **$2,420,311.60** | **$116,413.25** | **$75,163.81** |
| | (42,197.06) | (42,197.06) Less: Cash | | |
| | $2,494,527.79 | $ 2,378,114.54 Securities | | |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 5

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| PAUL N. SINGER<br>2415 VELVET RIDGE DRIVE<br>OWINGS MILLS, MD 21117 | TRUSTEE<br>1.00 | 0 | 0 | 0 |
| EMILY S. SINGER<br>2415 VELVET RIDGE DRIVE<br>OWINGS MILLS, MD 21117 | TRUSTEE<br>1.00 | 0 | 0 | 0 |
| LAURA SINGER WALTERS<br>437 MORRIS DRIVE<br>CHERRY HILL, NJ 08003 | TRUSTEE<br>1.00 | 12,000. | 0 | 0 |
| BRIAN SINGER<br>2009 FARM POND COURT<br>REISTERSTOWN, MD 21136 | TRUSTEE<br>1.00 | 12,000. | 0 | 0 |
| | GRAND TOTALS | 24,000. | 0 | 0 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| RUDE RANCH ANIMAL RESCUE<br>3200 IVY WAY<br>HARWOOD, MD 20776 | NONE<br>PC | TO SUPPORT THE PROTECTION OF ANIMALS | 1,750. |
| THE THEATRE PROJECT<br>45 WEST PRESTON STREET<br>BALTIMORE, MD 21201 | NONE<br>PC | TO SUPPORT THE THEATRE'S EDUCATIONAL PROGRAM. | 2,000. |
| SIGMA PI EDUCATIONAL FOUNDATION<br>P O. BOX 1897<br>BRENTWOOD, TN 37024 | NONE<br>PC | TO SUPPORT THE FOUNDATION EDUCATIONAL PROGRAM | 1,000. |
| CHESAPEAKE BAY FOUNDATION<br>212 WEST MAIN STREET SUITE 2048<br>SALISBURY, MD | NONE<br>PC | TO SUPPORT THE PRESERVATION OF THE BAY | 4,500. |
| PAJAMA PROGRAM<br>34 E. 39TH ST., SUITE B<br>NEW YORK, NY 10016 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S ACTIVITIES | 2,650. |
| CHILD FUND<br>2821 EMERYWOOD PARKWAY<br>RICHMOND, VA 23294 | NONE<br>PC | TO SUPPORT THE FOUNDATION'S MISSION IN HELPING CHILDREN IN NEED. | 2,950. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| HOUSE OF RUTH<br>2201 ARGONNE DRIVE<br>BALTIMORE, MD 21218 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,400. |
| FEED THE CHILDREN<br>P.O. BOX 36<br>OKLAHOMA CITY, OK 73101 | NONE<br>PC | TO SUPPORT THE ORGANIZATION MISSION IN HELPING FEEDING HUNGRY CHILDREN. | 750. |
| THE ASSOCIATED JEWISH CHARITIES<br>101 W. MT. ROYAL AVENUE<br>BALTIMORE, MD 21201 | NONE<br>PC | TO SUPPORT THE EDUCATIONAL, RELIGIOUS AND SOCIAL SERVICES OF THE JEWISH COMMUNITY. | 8,000. |
| TEMPLE OHEB SHALOM<br>7310 PARK HEIGHTS AVENUE<br>BALTIMORE, MD 21208 | NONE<br>PC | TO SUPPORT THE CONGREGATION'S PROGRAMS | 21,000. |
| JEWISH NATIONAL FUND<br>2 RESERVOIR CIRCLE SUITE 203<br>BALTIMORE, MD 21208 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S ACTIVITIES | 6,000. |
| UNITED STATES HOLOCAUST MEMORIAL<br>100 RAOUL WALLENBERG PLACE, SW<br>WASHINGTON, DC 20024 | NONE<br>PC | TO SUPPORT THE MUSEUM'S PROGRAMS | 8,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| ALZHEIMER'S ASSOCIATION<br>1850 YORK ROAD SUITE D<br>TIMONIUM, MD 21093 | NONE<br>PC | TO SUPPORT THE FOUNDATION'S CAUSE. | 2,500. |
| CHESNUT RIDGE FIRE DEPARTMENT<br>12020 GREENSPRING AVENUE<br>OWINGS MILL, MD 21117 | NONE<br>PC | TO SUPPORT THE FIRE DEPARTMENT ACTIVITIES. | 1,000. |
| WYPR-NPR NEWS STATION<br>2216 NORTH CHARLES STREET<br>BALTIMORE, MD 21218 | NONE<br>PC | TO SUPPORT THE MISSION OF PUBLIC RADIO. | 2,500. |
| NATIONAL MUSEUM OF AMERICAN JEWISH HISTORY<br>101 SOUTH INDEPENDENCE MALL EAST<br>PHILADELPHIA, PA 19106 | NONE<br>PC | PRESERVING, EXPLORING, AND PRESERVING AMERICAN JEWISH HISTORY | 2,000. |
| PLANNED PARENTHOOD<br>434 WEST 33RD STREET<br>NEW YORK, NY 10001 | NONE<br>PC | PROMOTE WOMENS HEALTH AND WELL-BEING | 500. |
| PHILABUNDANCE<br>3616 SOUTH GALLOWAY STREET<br>PHILADELPHIA, PA 19148 | NONE<br>PC | DRIVE HUNGER FROM PHILADELPHIA COMMUNITIES | 1,600. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| NATIONAL MUSEUM OF WOMEN IN THE ARTS<br>1250 NEW YORK AVE., NW<br>WASHINGTON, DC 20005 | NONE<br>PC | TO DISPLAY ARTWORK BY WOMEN OF ALL NATIONALITIES | 2,000. |
| WOODFORD CEDAR RUN WILDLIFE REFUGE<br>4 SAW MILL ROAD<br>MEDFORD, NJ 08055 | NONE<br>PC | TO SUPPORT THE PROTECTION OF ANIMALS | 1,000. |
| MARYLAND SPCA<br>3300 FALLS ROAD<br>BALTIMORE, MD 21211 | NONE<br>PC | TO SUPPORT THE PROTECTION OF ANIMALS. | 1,000. |
| MARYLAND SCIENCE CENTER<br>601 LIGHT STREET<br>BALTIMORE, MD 21230 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,200. |
| B & O RAILROAD MUSEUM<br>901 WEST PRATT STREET<br>BALTIMORE, MD 21223 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 150. |
| TRUCKIN' 4 TROOPS<br>P.O. BOX 831<br>BALTIMORE, MD 21108 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| NATIONAL AQUARIUM OF BALTIMORE<br>501 E. PRATT STREET<br>BALTIMORE, MD 21202 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 300 |
| JEWISH MUSEUM OF MARYLAND<br>15 LLOYD STREET<br>BALTIMORE, MD 21202 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,500. |
| JOHNS HOPKINS HEART AND VASCULAR INSTITUTE<br>100 NORTH CHARLES STREET, SUITE 321<br>BALTIMORE, MD 21201 | NONE<br>PC | TO SUUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| UNITED FOR THE MENTALLY ILL OF PALM BEACH, FL<br>600 N. CONGRESS AVE<br>DELRAY BEACH, FL 33445-3464 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| BALTIMORE CHILD ABUSE CENTER<br>2300 N. CHARLES STREET # 400<br>BALTIMORE, MD 21208 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 3,000. |
| GARRISON FOREST SCHOOL<br>300 GARRISON FOREST ROAD<br>OWINGS MILLS, MD 21117 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 750. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| JOHNS HOPKINS DEPARTMENT OF PSYCHIATRY<br>100 NORTH CHARLES STREET, SUITE 401<br>BALTIMORE, MD 21201 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| TOP BANANA HOME DELIVERED GROCERIES<br>14100 BRANDYWINE ROAD<br>BRANDYWINE, MD 20613 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| MUSEUM OF CERAMIC ART<br>2406 SHELLEYDALE DRIVE<br>BALTIMORE, MD 21209 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 750. |
| PETCONNECT RESCUE<br>P.O. BOX 60714<br>POTOMAC, MD 20859 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 750. |
| ANIMAL WELFARE ASSOCIATION OF NEW JERSEY<br>509 CENTENNIAL BLVD.<br>VOORHEES, NJ 08043 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 2,000. |
| PHILADELPHIA ZOO<br>3400 W GIRARD AVE<br>PHILADELPHIA, PA 19104 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| JOSEPH RICHEY HOSPICE<br>838 N. EUTAW STREET<br>BALTIMORE, MD 21201 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 200. |
| TYANNA FOUNDATION<br>2305 CAVESDALE ROAD<br>OWINGS MILLS, MD 21117 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 225. |
| THE BIRTHDAY BOX<br>12310 SILVER CUP CT<br>REISTERSTOWN, MD 21136 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| MARYLAND FOOD BANK<br>2200 HALETHORPE FARMS RD.<br>BALTIMORE, MD 21227 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| KENNEDY KREIGER INSTITUTE<br>707 NORTH BROADWAY<br>BALTIMORE, MD 21205 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 500. |
| MARYLAND HISTORICAL SOCIETY<br>201 W MONUMENT ST<br>BALTIMORE, MD 21201 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| CYCTIC FIBROSIS FOUNDATION<br>10626 YORK RD., SUITE A<br>COCKEYSVILLE, MD 21030 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 2,000. |
| IRVINE NATURE CENTER<br>11201 GARRISON FOREST RD.<br>OWINGS MILLS, MD 21117 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 500. |
| PHILADELPHIA MURAL ARTS PROGRAM<br>1729 MT. VERNON ST.<br>PHILADELPHIA, PA 19130 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| PENNSYLVANIA SPCA<br>350 E. ERIE AVE<br>PHILADELPHIA, PA 19134 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| CHANA HELPLINE<br>101 W. MT. ROYAL AVE<br>BALTIMORE, MD 21201 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 500. |
| MCDONOGH SCHOOL<br>8600 MCDONOGH RD.<br>OWINGS MILLS, MD 21117 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| THE RED DEVILS - BREAST CANCER SUPPORT<br>5820 YORD RD., SUITE 200<br>BALTIMORE, MD 21212 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| THE SEVERN TOWN CLUB<br>P.O. BOX 572<br>ANNAPOLIS, MD 21404 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 500. |
| LEUKEMIA AND LYMPHOMA FOUNDATION<br>1311 MAMARONECK AVE., SUITE 310<br>WHITE PLAINS, NY 10606 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| WATERMEN'S HERITAGE FOUNDATION<br>18320 JUSTISVILLE RD.<br>PARKSLEY, VA 23421 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 250. |
| MICA<br>1300 W. MOUNT ROYAL AVE.<br>BALTIMORE, MD 21217 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 2,000. |
| PHILADELPHIA MUSEUM OF ART<br>2600 BENJAMIN FRANKLIN PKWY<br>PHILADELPHIA, PA 19130 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 800. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| PLANNED PARENTHOOD OF SOUTH EASTERN PA<br>1144 LOCUST STREET<br>PHILADELPHIA, PA 19107 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 500. |
| HUMANE FARMING ASSOCIATION<br>P.O. BOX 3577<br>SAN RAFAEL, CA 94912 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 1,000. |
| RETT SYNDROME RESEARCH TRUST<br>87 UNDER CLIFF ROAD<br>TRUMBULL, CT 06611 | NONE<br>PC | TO SUPPORT THE ORGANIZATION'S PROGRAMS. | 250. |
| | | TOTAL CONTRIBUTIONS PAID | 107,725. |

**SCHEDULE D (Form 1041)**

Department of the Treasury Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

**2013**

Name of estate or trust: THE PAUL AND EMILY SINGER FAMILY FOUNDATION

Employer identification number: 26-3875584

**Note:** *Form 5227 filers need to complete only Parts I and II.*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| **1a** Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b | | | | |
| **1b** Totals for all transactions reported on Form(s) 8949 with **Box A** checked | 627,317. | 563,502. | | 63,815. |
| **2** Totals for all transactions reported on Form(s) 8949 with **Box B** checked | 14,154. | 9,927. | | 4,227. |
| **3** Totals for all transactions reported on Form(s) 8949 with **Box C** checked | | | | |

| | | |
|---|---|---|
| **4** Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 4 | |
| **5** Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 5 | |
| **6** Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet | 6 | ( ) |
| **7** **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶ | 7 | 68,042. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| **8a** Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b | | | | |
| **8b** Totals for all transactions reported on Form(s) 8949 with **Box D** checked | 1,078,050. | 828,854. | | 249,196. |
| **9** Totals for all transactions reported on Form(s) 8949 with **Box E** checked | 19,600. | 14,903. | | 4,697. |
| **10** Totals for all transactions reported on Form(s) 8949 with **Box F** checked | | | | |

| | | |
|---|---|---|
| **11** Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 11 | |
| **12** Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 12 | |
| **13** Capital gain distributions | 13 | 133. |
| **14** Gain from Form 4797, Part I | 14 | |
| **15** Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet | 15 | ( ) |
| **16** **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶ | 16 | 254,026. |

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D (Form 1041) 2013**

| **Part III** Summary of Parts I and II<br>**Caution:** *Read the instructions **before** completing this part.* | | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|
| 17 **Net short-term gain or (loss)** | 17 | | | 68,042. |
| 18 **Net long-term gain or (loss):** | | | | |
| a Total for year | 18a | | | 254,026. |
| b Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 18b | | | |
| c 28% rate gain | 18c | | | |
| 19 **Total net gain or (loss).** Combine lines 17 and 18a ▶ | 19 | | | 322,068. |

**Note:** *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary.*

## Part IV Capital Loss Limitation

| | | |
|---|---|---|
| 20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:<br>a The loss on line 19, column (3) **or** b $3,000 | 20 | ( ) |

**Note:** *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

## Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

**Caution:** *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col (2) is more than zero

| | | | | | | |
|---|---|---|---|---|---|---|
| 21 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 21 | | |
| 22 | Enter the **smaller** of line 18a or 19 in column (2) but not less than zero | 22 | | | | |
| 23 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 23 | | | | |
| 24 | Add lines 22 and 23 | 24 | | | | |
| 25 | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ | 25 | | | | |
| 26 | Subtract line 25 from line 24 If zero or less, enter -0- | | | 26 | | |
| 27 | Subtract line 26 from line 21 If zero or less, enter -0- | | | 27 | | |
| 28 | Enter the **smaller** of the amount on line 21 or $2,450 | | | 28 | | |
| 29 | Enter the **smaller** of the amount on line 27 or line 28 | | | 29 | | |
| 30 | Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶ | | | | 30 | |
| 31 | Enter the smaller of line 21 or line 26 | | | 31 | | |
| 32 | Subtract line 30 from line 26 | | | 32 | | |
| 33 | Enter the **smaller** of line 21 or $11,950 | | | 33 | | |
| 34 | Add lines 27 and 30 | | | 34 | | |
| 35 | Subtract line 34 from line 33. If zero or less, enter -0- | | | 35 | | |
| 36 | Enter the **smaller** of line 32 or line 35 | | | 36 | | |
| 37 | Multiply line 36 by 15% ▶ | | | | 37 | |
| 38 | Enter the amount from line 31 | | | 38 | | |
| 39 | Add lines 30 and 36 | | | 39 | | |
| 40 | Subtract line 39 from line 38. If zero or less, enter -0- | | | 40 | | |
| 41 | Multiply line 40 by 20% ▶ | | | | 41 | |
| 42 | Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 42 | | |
| 43 | Add lines 37, 41, and 42 | | | 43 | | |
| 44 | Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 44 | | |
| 45 | **Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶ | | | | 45 | |

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs gov/form8949*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**

Attachment Sequence No **12A**

Name(s) shown on return: THE PAUL AND EMILY SINGER FAMILY FOUNDATION

Social security number or taxpayer identification number: 26-3875584

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[X] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(C)** Short-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| BARCLAYS #43746 (SEE ATTACHED) | | | 37,219. | 36,950. | | | 269. |
| BARCLAYS #43747 (SEE ATTACHED) | | | 2,915. | 2,490. | | | 425. |
| BARCLAYS #43752 (SEE ATTACHED) | | | 8,889. | 7,477. | | | 1,412. |
| BARCLAYS #43780 (SEE ATTACHED) | | | 3,764. | 4,806. | | | -1,042. |
| BARCLAYS #00226 (SEE ATTACHED) | | | 198,530. | 180,576. | | | 17,954. |
| WELLS FARGO #97600 (S ATTACHED) | | | 376,000. | 331,203. | | | 44,797. |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | 627,317. | 563,502. | | | 63,815. |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

| Name(s) shown on return | Social security number or taxpayer identification number |
|---|---|
| THE PAUL AND EMILY SINGER FAMILY FOUNDATION | 26-3875584 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[X] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(C)** Short-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| WELLS FARGO #97600 (SE ATTACHED) | | | 14,154. | 9,927. | | | 4,227. |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | 14,154 | 9,927. | | | 4,227. |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

**For Paperwork Reduction Act Notice, see your tax return instructions.**

JSA
3X2615 2 000

Form **8949** (2013)

| Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) | Social security number or taxpayer identification number |
|---|---|
| THE PAUL AND EMILY SINGER FAMILY FOUNDATION | 26-3875584 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[X] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| BARCLAYS #43746 (SEE ATTACHED) | | | 230,418. | 214,611. | | | 15,807. |
| BARCLAYS #43747 (SEE ATTACHED) | | | 14,884. | 12,149. | | | 2,735. |
| BARCLAYS #43752 (SEE ATTACHED) | | | 18,390. | 15,075. | | | 3,315. |
| BARCLAYS #43780 (SEE ATTACHED) | | | 24,986. | 22,899. | | | 2,087. |
| BARCLAYS #00226 (SEE ATTACHED) | | | 311,759. | 246,240. | | | 65,519. |
| WELLS FARGO #97600 (SE ATTACHED) | | | 477,613. | 317,880. | | | 159,733. |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | 1,078,050 | 828,854. | | | 249,196. |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment



JSA
3X2616 2 000

| Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side) | Social security number or taxpayer identification number |
|---|---|
| THE PAUL AND EMILY SINGER FAMILY FOUNDATION | 26-3875584 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- [ ] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [X] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [ ] **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| WELLS FARGO #97600 (SEE ATTACHED) | | | 19,600. | 14,903. | | | 4,697. |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | 19,600. | 14,903. | | | 4,697. |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . . . . . . . . . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing** ***(e-file)***. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits.*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Enter filer's identifying number, see instructions**

| Type or print | Name of exempt organization or other filer, see instructions. | Employer identification number (EIN) or |
|---|---|---|
| File by the due date for filing your return. See instructions. | THE PAUL AND EMILY SINGER FAMILY FOUNDATION | 26-3875584 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. 2415 VELVET RIDGE DRIVE | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. OWINGS MILLS, MD 21117 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . . . . . . 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ BRIAN SINGER

Telephone No. ▶ 443 463-5623 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . . . . ▶ [ ] . If it is for part of the group, check this box . . . . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 20 13 or

▶ [ ] tax year beginning ______, 20__, and ending ______, 20__.

**2** If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | **3a** | $ 801. |
| **b** | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | **3b** | $ 864. |
| **c** | **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | **3c** | $ 0 |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . . . . . ▶ [X]

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

**Part II** **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

*Enter filer's identifying number, see instructions*

| | | |
|---|---|---|
| **Type or print** | Name of exempt organization or other filer, see instructions.<br>THE PAUL AND EMILY SINGER FAMILY FOUNDATION | Employer identification number (EIN) or<br>26-3875584 |
| File by the due date for filing your return. See instructions. | Number, street, and room or suite no. If a P.O. box, see instructions.<br>2415 VELVET RIDGE DRIVE | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>OWINGS MILLS, MD 21117 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . . . . . . 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ BRIAN SINGER, 2009 FARM POND COURT REISTERSTOWN, MD 21136
  Telephone No. ▶ 443 463-5623 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box . . . . . . ▶ [ ]. If it is for part of the group, check this box . . . . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

**4** I request an additional 3-month extension of time until 11/17, 20 14.

**5** For calendar year 2013, or other tax year beginning ______, 20 ___, and ending ______, 20 ___.

**6** If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

**7** State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO ASSEMBLE RECORDS NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

| | | | |
|---|---|---|---|
| **8a** | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | $ 801. |
| **b** | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | $ 864. |
| **c** | **Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 8c | $ 0 |

## Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Kerrie DiAngelo Title ▶ CPA Date ▶ 8/13/2014