Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545 0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

The Lloyd J. King and Eleanor R. King Foundation
26 W. Dry Creek Circle #450
Littleton, CO 80120

A Employer identification number: 26-3000472
B Telephone number (see the instructions): (303) 795-2066
C If exemption application is pending, check here ► ☐
D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 9,653,864.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	12,176.			
	2 Ck ► ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	21,381.	21,381.		
	4 Dividends and interest from securities	120,719.	120,719.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	12,441.			
	b Gross sales price for all assets on line 6a 3,727,165.				
	7 Capital gain net income (from Part IV, line 2)		12,441.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) See Statement 1	131,139.	131,139.		
	12 **Total.** Add lines 1 through 11	297,856.	285,680.	0.	
ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	208,640.	28,264.		180,376.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) See St 2	52,326.	52,326.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Stm 3	17,010.	5,297.		11,211.
	19 Depreciation (attach sch) and depletion	3,905.			
	20 Occupancy	38,793.			38,793.
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	320,674.	85,887.		230,380.
	25 Contributions, gifts, grants paid Part XV	333,387.			333,387.
	26 **Total expenses and disbursements.** Add lines 24 and 25	654,061.	85,887.	0.	563,767.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-356,205.			
	b **Net investment income** (if negative, enter -0-)		199,793.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	1,680,913.	211,248.	211,248.
	2 Savings and temporary cash investments			
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ►			
	Less. accumulated depreciation (attach schedule) ►			6,685.
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) Statement 4	7,737,858.	8,849,448.	9,431,741.
	14 Land, buildings, and equipment basis ► 21,432.			
	Less accumulated depreciation (attach schedule) See Stmt 5 ► 14,747.	4,727.	6,685.	
	15 Other assets (describe ► See Statement 6)	7,944.	4,190.	4,190.
	16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	9,431,442.	9,071,571.	9,653,864.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ► See Statement 7)	9,451.	5,785.	
	23 **Total liabilities** (add lines 17 through 22)	9,451.	5,785.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	9,421,991.	9,065,786.	
	30 **Total net assets or fund balances** (see instructions)	9,421,991.	9,065,786.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	9,431,442.	9,071,571.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,421,991.
2	Enter amount from Part I, line 27a	2	-356,205.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	9,065,786.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	9,065,786.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	See Statement 8			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	12,441.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) N/A

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012			
2011			
2010			
2009			
2008			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary – see instrs)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [ ] and enter 1% of Part I, line 27b		1	3,996.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,996.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	3,996.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c 4,000.		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d		7	4,000.
8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached		8	3.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	0.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	1.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ► 1.	**Refunded** ►	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ►$ 0. **(2)** On foundation managers ►$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ►$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CO			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Robert L. Eckelberry Telephone no ► 303-795-2066

Located at ► 26 W. Dry Creek Circle Littleton CO ZIP + 4 ► 80120

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Line	Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A	
Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)*	N/A ☐ Yes ☐ No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If 'Yes' to 6b, file Form 8870*		6b		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9		208,640.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
None		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	6,198,480.
b	Average of monthly cash balances	1b	548,887.
c	Fair market value of all other assets (see instructions)	1c	2,669,805.
d	**Total** (add lines 1a, b, and c)	1d	9,417,172.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,417,172.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	141,258.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,275,914.
6	**Minimum investment return.** Enter 5% of line 5	6	463,796.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	463,796.
2a	Tax on investment income for 2013 from Part VI, line 5 2a 3,996.		
b	Income tax for 2013 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	3,996.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	459,800.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	459,800.
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	459,800.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	563,767.
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	563,767.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	563,767.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				459,800.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years 20 __ , 20 __ , 20 __		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012 54,044.				
f **Total** of lines 3a through e	54,044.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► $ 563,767.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				459,800.
e Remaining amount distributed out of corpus	103,967.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	158,011.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	158,011.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 54,044.				
e Excess from 2013 103,967.				

Part XIV | **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Statement 10				
Total			► 3a	333,387.
b *Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	21,381.	
4 Dividends and interest from securities			14	120,719.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	131,139.	
8 Gain or (loss) from sales of assets other than inventory					12,441.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				273,239.	12,441.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 285,680.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1 a (1)**		X
(2) Other assets	**1 a (2)**		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1 b (1)**		X
(2) Purchases of assets from a noncharitable exempt organization	**1 b (2)**		X
(3) Rental of facilities, equipment, or other assets	**1 b (3)**		X
(4) Reimbursement arrangements	**1 b (4)**		X
(5) Loans or loan guarantees	**1 b (5)**		X
(6) Performance of services or membership or fundraising solicitations	**1 b (6)**		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1 c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 10/06/2014 Title: President & CEO

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
David H. Ezra	David H. Ezra	9/17/14		P00047262

Firm's name ▶ Trimble Weist Dye & Ezra CPAs — Firm's EIN ▶ 84-1063568

Firm's address ▶ 5990 Greenwood Plaza Blvd. Suite 203, Greenwood Village, CO 80111 — Phone no (303) 220-8899

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545 0047

2013

Name of the organization	Employer identification number
The Lloyd J. King and Eleanor R. King Foundation	26-3000472

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year ► $ ________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
The Lloyd J. King and Eleanor R. King	26-3000472

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Eleanor King Estate 26 W Dry Creek Circle Ste 450 Littleton, CO 80120	$ 12,176.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
The Lloyd J. King and Eleanor R. King	26-3000472

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A	$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
The Lloyd J. King and Eleanor R. King

Employer identification number
26-3000472

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10) organizations that total more than $1,000 for the year.** Complete columns (a) through (e) and the following line entry.
For organizations completing Part III, enter total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ► $ N/A
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----	N/A		

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Other Investment Income	$ 131,139.	$ 131,139.	
Total	$ 131,139.	$ 131,139.	$ 0.

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment expenses	$ 373.	$ 373.		
Investment fees	51,953.	51,953.		
Total	$ 52,326.	$ 52,326.	$ 0.	$ 0.

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign taxes	$ 3,135.	$ 3,135.		
Income tax	121.			
License and registration fees	82.			$ 82.
Payroll taxes	13,291.	2,162.		11,129.
Penalties	381.			
Total	$ 17,010.	$ 5,297.	$ 0.	$ 11,211.

Statement 4
Form 990-PF, Part II, Line 13
Investments - Other

	Valuation Method	Book Value	Fair Market Value
Other Investments			
Annuities	Cost	$ 2,681,139.	$ 2,809,868.
Total Other Investments		$ 2,681,139.	$ 2,809,868.
Other Publicly Traded Securities			
Stocks & Equity Securities	Cost	847,016.	839,554.
Managed Accounts	Cost	5,321,293.	5,782,319.
Total Other Publicly Traded Securities		$ 6,168,309.	$ 6,621,873.
Total		$ 8,849,448.	$ 9,431,741.

Statement 5
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Auto./Transportation Equip.	$ 10,975.	$ 10,975.	$ 0.	$ 0.
Furniture and Fixtures	10,149.	3,772.	6,377.	0.
Miscellaneous	308.	0.	308.	0.
Total	$ 21,432.	$ 14,747.	$ 6,685.	$ 0.

Statement 6
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
Addt'l basis - RBC Sec from 1099's	$ 1,197.	$ 1,197.
Security deposit	2,993.	2,993.
Total	$ 4,190.	$ 4,190.

Statement 7
Form 990-PF, Part II, Line 22
Other Liabilities

Payroll Liabilities	$ 5,785.
Total	$ 5,785.

Statement 8
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	Capital Gain Divid-RBC main a/c	Purchased	1/01/2001	12/31/2013
2	RBC a/c #57249 see attached	Purchased	1/01/2013	7/01/2013
3	RBC a/c #57429 see attached	Purchased	1/01/2001	7/01/2013
4	Capital Gain Divid-Mgd a/c#64838	Purchased	1/01/2001	12/31/2013
5	RBC Mgd a/c#64838	Purchased	1/01/2013	7/01/2013
6	RBC Mgd a/c#64838	Purchased	1/01/2001	7/01/2013
7	RBC Mgd a/c#64841	Purchased	1/01/2013	7/01/2013
8	RBC Mgd a/c#64841	Purchased	1/01/2001	7/01/2013
9	RBC Mgd a/c#64845	Purchased	1/01/2013	7/01/2013
10	RBC Mgd a/c#64845	Purchased	1/01/2001	7/01/2013
11	RBC Mgd a/c#64865	Purchased	1/01/2013	7/01/2013
12	RBC Mgd a/c#64870	Purchased	1/01/2013	7/01/2013
13	Capital Gain Divid-Mgd a/c#64871	Purchased	1/01/2001	12/31/2013
14	RBC Mgd a/c#64871	Purchased	1/01/2013	7/01/2013
15	RBC Mgd a/c#64871	Purchased	1/01/2001	7/01/2013

Statement 8 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
1	276.		0.	276.				$ 276.
2	14,515.		14,550.	-35.				-35.
3	2607026.		2602283.	4,743.				4,743.
4	827.		0.	827.				827.
5	360,319.		371,734.	-11,415.				-11,415.
6	65,739.		80,610.	-14,871.				-14,871.
7	315,541.		311,046.	4,495.				4,495.
8	11,054.		11,853.	-799.				-799.
9	127,265.		100,833.	26,432.				26,432.
10	16,806.		9,644.	7,162.				7,162.
11	118,311.		122,881.	-4,570.				-4,570.
12	46,800.		46,274.	526.				526.
13	210.		0.	210.				210.
14	38,887.		39,319.	-432.				-432.
15	3,589.		3,697.	-108.				-108.
							Total	$ 12,441.

Statement 9
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compensation	Contribution to EBP & DC	Expense Account/ Other
Robert L. Eckelberry 3429 E. Easter Place Centennial, CO 80122	President & CEO 40.00	$ 110,000.	$ 0.	$ 0.
David H. Ezra 5990 Greenwood Plaza Blvd #203 Greenwood Village, CO 80111	Treasurer 2.00	18,000.	0.	0.
Joyce Stockley 5845 W, Mansfield Ave #257 Denver, CO 80235	Secretary 4.00	18,000.	0.	0.
Carol Walters 11995 W. Brandt Place Littleton, CO 80127	Director 40.00	62,640.	0.	0.
Dave Evans 5251 DTC Parkway, Suite 1200 Greenwood Village, CO 80111	Vice-President 1.00	0.	0.	0.
	Total	$ 208,640.	$ 0.	$ 0.

Statement 10
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Salvation Army 1370 Pennsylvania St. Denver, CO 80203	None	PubChr	General Support	$ 25,000.
Douglas County Education Fdn 420 Jerry St Castle Rock, CO 80104	None	PubChr	General Support	1,387.
Colorado Historical Fdn 770 Pennsylvania St Denver, CO 80203	None	PubChr	General Support	15,000.
Colorado Symphony 1000 14th St Unit 15 Denver, CO 80202	None	PubChr	General Support	25,000.
Morris Animal Fdn 10200 E Girard Ave Suite B340 Denver, CO 80231	None	PubChr	General Support	4,000.
Great Education Colorado 1000 E 16th Ave #18 Denver, CO 80218	None	PubChr	General Support	2,000.
Denver Rescue Mission 3501 E 46th Ave Denver, CO 80216	None	PubChr	General Support	7,000.
Colorado Public Radio 7409 S Alton Ct Centennial, CO 80112	None	PubChr	General Support	24,000.
Planned Parenthood 7155 E 38th Ave Denver, CO 80207	None	PubChr	General Support	20,000.
Children's Hospital Fdn 13123 E 16th Ave Box 045 Aurora, CO 80045	None	PubChr	General Support	10,000.
Colorado Coalition for the Homeless 2111 Champa St Denver, CO 80205	None	PubChr	General Support	7,500.
Boys & Girls Club of Metro Denver 2017 W 9th Ave Denver, CO 80204	None	PubChr	General Support	5,000.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Step 13 2029 Larimer St Denver, CO 80205	None	PubChr	General Support	$ 3,000.
Seeds of Hope 1300 S Steele St Denver, CO 80210	None	PubChr	General Support	7,500.
Make a Wish Fdn 7951 E Maplewood Ave Ste 126 Greenwood Village, CO 80111	None	PubChr	General Support	5,000.
Food Bank of the Rockies Box 151560 Lakewood, CO 80215	None	PubChr	General Support	15,000.
African Road, Inc 18140 Shady Hollow West Linn, OR 97068	None	PubChr	General Support	17,400.
Volunteers of America 2660 Larimer St Denver, CO 80205	None	PubChr	General Support	2,500.
Sewall Child Development Center 1360 Vine St Denver, CO 80206	None	PubChr	General Support	2,500.
Ronald McDonald House 1300 E 21st Ave Denver, CO 80205	None	PubChr	General Support	5,000.
TAPS 2576 S. Lansing Way Aurora, CO 80015	None	PubChr	General Support	5,000.
Cancer League of Colorado 8435 E Fox Hill Pl Englewood, CO 80112	None	PubChr	General Support	5,000.
Rocky Mountain PBS 1089 Bannock St Denver, CO 80204	None	PubChr	General Support	20,000.
Girl Scouts of Colorado 400 S Broadway Denver, CO 80209	None	PubChr	General Support	5,000.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Special Olympics of Colorado 384 Inverness Parkway Suite 100 Englewood, CO 80112	None	PubChr	General Support	$ 5,000.
Father Dyer United Methodist Church 310 Wellington Rd Breckenridge, CO 80424	None	PubChr	General Support	5,000.
Hamilton United Methodist Church 104 W. Samuel Street Hamilton, MO 64644	None	PubChr	General Support	6,000.
Bristlecone Foundation 1391 Speer Blvd., Suite 600 Denver, CO 80204	None	PubChr	Bristlecone Health Services	1,000.
The Carter Center One Copenhill, 435 Freedom Pkwy Atlanta, GA 30307	None	PubChr	General Support	300.
Central City Opera 400 S. Colorado Blvd, #530 Denver, CO 80246	None	PubChr	General Support	2,500.
Colorado Uplift 3914 King Street Denver, CO 80211	None	PubChr	General Support	5,000.
Denver Museum of Nature & Science 2001 Colorado Blvd Denver, CO 80205	None	PubChr	General Support	3,000.
Doctors without Borders USA 333 7th Avenue New York, NY 10001	None	PubChr	General Support	2,500.
VA Eastern Colo Health Care Systems 1055 Clermont Street Denver, CO 80220	None	PubChr	General Support	20,000.
The Kempe Foundation 13123 E. 16th Ave., B390 Aurora, CO 80045	None	PubChr	General Support	5,000.

Statement 10 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Lucretial Vaile Museum P.O.Box 662 Palmer Lake, CO 80133	None	PubChr	General Support	$ 1,500.
The Nature Conservancy 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203	None	PubChr	General Support	2,500.
American Red Cross Mile High Chapter 444 Sherman Street Denver, CO 80203	None	PubChr	General Support	20,000.
Santa Fe Opera P.O. Box 2408 Santa Fe, NM 87504	None	PubChr	General Support	300.
University of Denver 2190 E. Asbury Ave. Denver, CO 80208	None	PubChr	General Support	2,500.
Youth for Christ PO Box 4478 Englewood, CO 80155	None	PubChr	General Support	1,500.
National Leadership Academy P.O. Box 13878 Denver, CO 80201	None	PubChr	General Support	2,500.
Southlake Church 1555 SW Borland Road West Linn, OR 97068	None	PubChr	General Support	8,500.
			Total	$ 333,387.

RBC Wealth Management®

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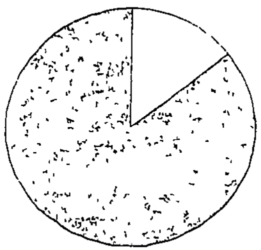
INVESTMENT ACCESS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

ASSET ALLOCATION SUMMARY



	CURRENT VALUE	PERCENT
RBC Bank Deposit Program	$138,550 74 B/S	14%
Taxable fixed income	839,553 88 B/S	86%
Current account value	$978,104 62	100%
① + Unreal G/L	7462.63 B/S	
Cost Basis w/P	985,567.25	
Less Cash	< 138,550.74 >	
12/31/13 Securities @ Cost	847,016.51	

Mutual funds are included in the above categories Funds that invest in more than one category are reported as "Mixed Assets "

The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable

Deposits in the RBC Bank Deposit Program are not covered by SIPC Please see Asset Detail beginning on page 4 for more information.

INVESTMENT OBJECTIVE / RISK TOLERANCE

The investment objective for this account is Balanced Growth

The risk tolerance for this account is Please Provide

Please see 'About Your Investment Objective / Profile and Risk Tolerance' on page 2 for further information

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	$0 00	$4,708 29
Short-term gain or loss	0 00	-34 96
Long-term gain or loss	0 00	4,743 25
		AS OF DECEMBER 31, 2013
Unrealized gain or loss		-$7,462.63 ① B/S

Please see 'About Your Statement' on page 2 for further information

ACTIVITY SUMMARY

Total account value last statement		$999,590.76
Cash activity		
Beginning balance	158,549.42	
Money coming into your account		
Interest	1 32	
Dividends	836 58	
Capital gains	548 75	
Total	1,386.65	
Money going out of your account		
Funds to purchase securities	-1,385 33	
Cash withdrawals	-20,000 00	
Total	-21,385.33	
Ending balance	138,550.74	
Net change cash activity		-$19,998 68
Change in security value		
Beginning value of priced securities	841,041.34	
Securities purchased	1,385.33	
Change in value of priced securities	-2,872 79	
Ending value of priced securities	839,553.88	
Net change in securities value		-$1,487.46
Total account value as of December 31, 2013		$978,104.62

A10.2

ASSET DETAIL

The Estimated Annualized Income (EAI') for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated EAI is only an estimate of income generated by the investment and the actual income may be higher or lower In the event the investment matures, is sold or called, the full EAI may not be realized

** The Unrealized Gain/Loss may not reflect your investments' total return Specifically, the net cost may include dividend and capital gains distributions which have been reinvested Additionally, the information that appears in these columns may be based on information provided by you or at your direction RBC has not verified such data Please see 'About Your Statement" on page 2 for further information*

Your Financial Advisor has elected to display Asset Detail with the following options asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as Pending Deposits, are held by the Program Banks, not RBC Capital Markets They are not covered by SIPC Balances classified as 'Pending Deposits' are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks Deposit information is included on this statement solely as a service to our clients All balance and transaction information is provided by the Program Banks ***RBC Capital Markets*** *is not responsible for the accuracy of this information Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) p to $250,000 per depositor at each bank subject to certain limitations* ***This means that your funds in excess of $250,000 in the same insurable capacity at any single Program Bank are not insured*** *FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www rbcwmconnect com for more details, including the current aggregate FDIC deposit insurance amount available through the Program For questions concerning bank balances, please contact your Financial Advisor*

DESCRIPTION		BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED			$138,550 74	$158,549 42	$41 17
DEPOSITS ARE HELD AT RBC Bank (Georgia)	Atlanta, GA	$138,550 74			
TOTAL RBC BANK DEPOSIT PROGRAM			**$138,550.74**		**$41.17**

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMA' ANNUALIZED INCOME
AMERICAN CENTY INVT TR SHORT DURATION FD CL A	ACSQX	39,039 525	$10 430	$407,182 25		$409,164 81	-$1,982 56	$3,669 72
					Purchase	*$397,692 27*	*-$1,897 38*	
					Reinvest	*$11,472 54*	*-$85 18*	
PIMCO LOW DURATION FUND-CL A	PTLAX	41,855 918	$10 330	$432,371 63		$437,851 70	-$5,480 07	$6,780 66
					Purchase	*$423,159 30*	*-$5,259 15*	
					Reinvest	*$14,692 40*	*-$220 91*	
TOTAL TAXABLE FIXED INCOME		**80,895.443**		**$839,553.88**		**$847,016.51**	**-$7,462.63**	**$10,450.38**

OTHER INVESTMENTS

All information in this section has been included on your statement at your request and is for informational purposes only Investments identified in this section are not held by RBC Capital Markets, LLC ('RBC CM) and therefore are not eligible for SIPC or excess SIPC coverage through RBC CM Prices are provided by independent services or the issuer of the investment RBC CM has not attempted to validate this information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of quality, accuracy, completeness, and fitness for a particular purpose

ANNUITIES

DESCRIPTION		ISSUE DATE	TOTAL PREMIUM	MARKET VALUE
JACKSON NATIONAL		10/11/12	$850,000 00	$978,483 56
JACKSON NATL LIFE INS CO INS				
PROD PERPECTIVE II 05/05	+	12/31/13	68,602.48	
POLICY NUMBER 1012300936				
VALUATION DATE 12/30/13				
JNL/BlackRock Glbl Allocation				$215,779 25
JNL/DFA US Core Equity				$134,467 48
JNL/Eagle Sm Cap Equity				$129,958.26
JNL/Fr Temp Sm Cap Value				$88,433 11
JNL/GS Mid Cap Value				$99,610 13
JNL/Invesco Mid Cap Value				$88,331 29
JNL/Ivy Asset Strategy				$221,904 04
LINCOLN		10/12/12	$850,000 00	$908,659 47
LINCOLN NATL LIFE INS CO-INS				
CHOICEPLUS ASSURANCE LSHARE				
ANNUITY				
POLICY NUMBER 924643391				
VALUATION DATE 12/30/13				
LVIP MNGDRSK PG				$455,530 95
LVIP MNGDRSK PM				$227,267 84
LVIP SS CT RPM				$225,860 68
NATIONWIDE LIFE INS CO		10/12/12	$850,000 00	$922,725 08
NATIONWIDE LIFE INS CO INS				
PRODS DESTINATION L 2 0	+	12/31/13	12,164.53	
VARIABLE ANNUITY				
POLICY NUMBER 016236757				
VALUATION DATE 12/30/13				
NW NVIT CARDINAL BAL II				$461,906 39
NW NVIT INV DEST BAL II				$460,818 69
TOTAL ANNUITIES				$2,809,868.11
TOTAL OTHER INVESTMENTS			2,630,767.01	$2,809,868.11

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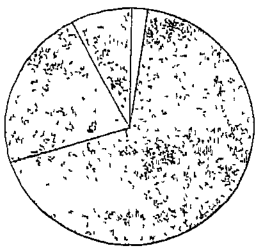
CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

ASSET ALLOCATION SUMMARY



	CURRENT VALUE	PERCENT
RBC Bank Deposit Program	$29,741 56	2%
US equities	1,134,111 06	68%
International equities	377,438 79	22%
Other assets	139,615 86	8%
Current account value	$1,680,907.27	100%
Unrealized G/L ①	<93,160.05>	
COST BASIS *	1,587,747.22	

Mutual funds are included in the above categories Funds that invest in more than one category are reported as 'Mixed Assets '
The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable

Deposits in the RBC Bank Deposit Program are not covered by SIPC Please see Asset Detail beginning on page 4 for more information

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Please Provide
The advisory risk profile for this account is Profile 3
Please see About Your Investment Objective / Profile and Risk Tolerance on page 2 for further information

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	-$45,931 27	-$26,529 19
Short-term gain or loss	-30,924 17	-11,522 09
Long-term gain or loss	-15,007 10	-15,007 10

	AS OF DECEMBER 31, 2013
Unrealized gain or loss	$93,160 05 ①

Please see 'About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		$1,665,736.67
Cash activity		
Beginning balance	41,759.77	
Money coming into your account		
Sales proceeds/redemptions	226,781 49	
Interest	0 36	
Dividends	7,573 37	
Total	234,355.22	
Money going out of your account		
Funds to purchase securities	-246,373 43	
Total	-246,373.43	
Ending balance	29,741.56	
Net change cash activity		-$12,018.21
Change in security value		
Beginning value of priced securities	1,623,976.90	
Securities purchased	246,373 43	
Securities sold/redeemed	-226,781 49	
Change in value of priced securities	7,596 87	
Ending value of priced securities	1,651,165.71	
Net change in securities value		$27,188.81
Total account value as of December 31, 2013		$1,680,907.2

ASSET DETAIL

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RBC BANK DEPOSIT PROGRAM

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DESCRIPTION		BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED			$29,741 56	$41,121 42	$4 44
DEPOSITS ARE HELD AT Citizens Bank	Providence, RI	$29,741 56			
TOTAL RBC BANK DEPOSIT PROGRAM			**$29,741.56**		**$4 44**

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMA ANNUALIZED INCO..
ALTRIA GROUP INC	MO	1,916 000	$38 390	$73,555 24	$66,919 78	$6,635.46	$3,678 72
AMERICAN ELECTRIC POWER CO INC	AEP	1,572 000	$46 740	$73,475.28	$72,834 06	$641 22	$3,144 00
CHEVRON CORPORATION	CVX	607 000	$124 910	$75,820 37	$73,843 98	$1,976 39	$2,428 00
DARDEN RESTAURANTS INC	DRI	1,382 000	$54 370	$75,139 34	$68,632 84	$6,506 50	$3,040 40
DIAMOND OFFSHORE DRILLING INC	DO	1,308 000	$56 920	$74,451 36	$87,841 38	-$13,390 02	$654 00
DOMINION RESOURCES INC VA NEW	D	1,161 000	$64 690	$75,105 09	$63,899 04	$11,206 05	$2,612.25
ELI LILLY & CO	LLY	1,503 000	$51 000	$76,653.00	$77,095 52	-$442.52	$2,945 88
ENERGY TRANSFER PARTNERS L P UNIT LTD PARTNERSHIP INT	ETP	1,392 000	$57 250	$79,692 00	$70,043 42	$9,648 58	$5,039 04
ENTERPRISE PRODUCTS PARTNERS LP	EPD	1,184 000	$66 300	$78,499 20	$67,002 95	$11,496.25	$3,267 84
KINDER MORGAN ENERGY PARTNERS LP-UNITS LTD PARTNERSHIP INT	KMP	902 000	$80 660	$72,755 32	$75,394.68	-$2,639 36	$4,870 80

CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
LOCKHEED MARTIN CORP	LMT	509 000	$148 660	$75,667 94	$50,636 01	$25,031 93	$2,707 88
LORILLARD INC	LO	1,464.000	$50 680	$74,195.52	$61,235.66	$12,959 86	$3,220 80
MERCK & CO INC	MRK	1,495 000	$50 050	$74,824 75	$67,492 31	$7,332.44	$2,631
MICROCHIP TECHNOLOGY INC	MCHP	1,718 000	$44 750	$76,880 50	$61,274 17	$15,606 33	$2,436 12
PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT	PAA	1,495 000	$51 770	$77,396 15	$76,684 34	$711 81	$3,588 00
TOTAL US EQUITIES				**$1,134,111.06**	**$1,040,830.14**	**$93,280.92**	**$46,264 93**

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
BANK OF MONTREAL	BMO	1,123 000	$66 660	$74,859 18	$68,980 85	$5,878.33	$3,209 53
BANK OF NOVA SCOTIA	BNS	1,253 000	$62 550	$78,375 15	$72,771 26	$5,603.89	$2,918 24
BCE INC NEW	BCE	1,692 000	$43 290	$73,246 68	$72,680 28	$566.40	$3,785 00
HSBC HOLDINGS PLC SPONSORED ADR	HSBC	1,354 000	$55 130	$74,646 02	$72,505.35	$2,140.67	$3,249 60
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS	RDSB	1,016 000	$75 110	$76,311 76	$73,743 01	$2,568 75	$3,657 60
TOTAL INTERNATIONAL EQUITIES				**$377,438.79**	**$360,680.75**	**$16,758.04**	**$16,819.97**

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
HEALTH CARE REIT INC	HCN	1,338 000	$53 570	$71,676 66	$82,803 71	-$11,127 05	$4,094 28
NATIONAL RETAIL PROPERTIES INC	NNN	2,240 000	$30 330	$67,939 20	$73,691 06	-$5,751 86	$3,628 80
TOTAL OTHER ASSETS				**$139,615.86**	**$156,494.77**	**-$16,878.91**	**$7,723.08**

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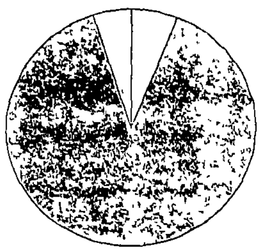
CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

ASSET ALLOCATION SUMMARY



	CURRENT VALUE	PERCENT
RBC Bank Deposit Program	$86,811 62	6%
Cash and money market	190 05	0%
US equities	1,372,400 46	89%
International equities	74,573 54	5%
Current account value	$1,533,975.67	100%
Unreal G/L	<221,014.74>	
COST BASIS	*1,312,960.93	

Mutual funds are included in the above categories Funds that invest in more than one category are reported as "Mixed Assets "
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Deposits in the RBC Bank Deposit Program are not covered by SIPC Please see Asset Detail beginning on page 4 for more information

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Please Provide
The advisory risk profile for this account is Profile 3
Please see "About Your Investment Objective / Profile and Risk Tolerance" on page 2 for further information

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	-$1,306 69	$3,695 04
Short-term gain or loss	-507 07	4,494 66
Long-term gain or loss	-799.62	-799 62
		AS OF DECEMBER 31, 2013
Unrealized gain or loss		$221,014 74

Please see About Your Statement' on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		$1,473,746.57
Cash activity		
Beginning balance	102,544.37	
Money coming into your account		
Sales proceeds/redemptions	30,938 43	
Interest	0 65	
Dividends	1,689 79	
Total	32,628.87	
Money going out of your account		
Funds to purchase securities	-48,171 57	
Total	-48,171.57	
Ending balance	87,001.67	
Net change cash activity		-$15,542 70
Change in security value		
Beginning value of priced securities	1,371,202.20	
Securities purchased	48,171.57	
Securities sold/redeemed	-30,938.43	
Change in value of priced securities	58,538.66	
Ending value of priced securities	1,446,974.00	
Net change in securities value		$75,771.80
Total account value as of December 31, 2013		$1,533,975.67

ASSET DETAIL

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DESCRIPTION		BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED			$86,811.62	$64,247 26	$6 11
DEPOSITS ARE HELD AT RBC Bank (Georgia)	Atlanta, GA	$86,811 62			
TOTAL RBC BANK DEPOSIT PROGRAM			**$86,811.62**		**$6 11**

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
CASH				$190.05		
TOTAL CASH AND MONEY MARKET				**$190.05**		

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABBOTT LABORATORIES	ABT	2,466 000	$38 330	$94,521 78	$84,392 07	$10,129.71	$2,170 08
ALLERGAN INC	AGN	858 000	$111 080	$95,306 64	$82,422 68	$12,883.96	$171 60
APPLE INC	AAPL	103 000	$561 020	$57,785 06	$54,600 47	$3,184 59	$1,256 60
AUTOMATIC DATA PROCESSING INC	ADP	552 000	$80 799	$44,601 05	$40,321 74	$4,279 31	$1,059 84
EBAY INC	EBAY	1,426 000	$54 865	$78,237.49	$75,686 35	$2,551 14	
FACEBOOK INC CL A	FB	726 000	$54 649	$39,675 17	$27,145.84	$12,529 33	
FACTSET RESEARCH SYSTEMS INC	FDS	443.000	$108 580	$48,100 94	$43,942 57	$4,158 37	$620 20

CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
FASTENAL CO	FAST	529 000	$47 510	$25,132 79	$26,902 49	-$1,769 70	$529 00
GARTNER INC	IT	758 000	$71.050	$53,855.90	$46,504 04	$7,351 86	
GOOGLE INC CL A	GOOG	120 000	$1,120.710	$134,485 20	$94,570 57	$39,914.63	
MASTERCARD INCORPORATED	MA	41 000	$835 460	$34,253 86	$25,638 88	$8,614.98	$180 40
NIKE INC-CL B	NKE	1,196 000	$78 640	$94,053 44	$71,595.22	$22,458.22	$1,148 16
ORACLE CORPORATION	ORCL	2,470 000	$38 260	$94,502 20	$82,349 82	$12,152.38	$1,185 60
PRICE T ROWE GROUP INC	TROW	1,156 000	$83 770	$96,838 12	$81,389 19	$15,448 93	$1,757 12
QUALCOMM INC	QCOM	1,325 000	$74 250	$98,381 25	$86,446.68	$11,934 57	$1,855 00
STARBUCKS CORP	SBUX	1,103 000	$78 390	$86,464 17	$67,852.28	$18,611.89	$1,147 12
TJX COMPANIES INC NEW	TJX	468 000	$63 730	$29,825 64	$29,125.02	$700 62	$271 44
VISA INC CL A COMMON STOCK	V	504 000	$222 680	$112,230 72	$87,763 04	$24,467 68	$806 40
W W GRAINGER INC	GWW	212 000	$255 420	$54,149 04	$51,203 95	$2,945.09	$788 64
TOTAL US EQUITIES				**$1,372,400.46**	**$1,159,852.90**	**$212,547.56**	**$14,947.20**

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ACCENTURE PLC IRELAND SHS CL A	ACN	907 000	$82 220	$74,573 54	$66,106 36	$8,467 18	$1,687 [illegible]
TOTAL INTERNATIONAL EQUITIES				**$74,573.54**	**$66,106.36**	**$8,467.18**	**$1,687.02**

RBC Wealth Management

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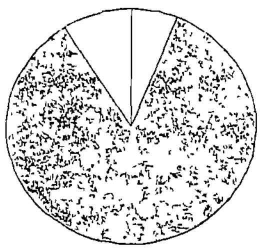
CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

ASSET ALLOCATION SUMMARY



	CURRENT VALUE	PERCENT
RBC Bank Deposit Program	$42,798 24	6%
Cash and money market	1,456 42	0%
US equities	567,811 77	85%
International equities	57,138 98	9%
Current account value	$669,205.41	100%
Unreal G/L ①	<111,277.12>	
COST BASIS	557,928.29	

Mutual funds are included in the above categories Funds that invest in more than one category are reported as "Mixed Assets '
The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable

Deposits in the RBC Bank Deposit Program are not covered by SIPC Please see Asset Detail beginning on page 4 for more information.

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Please Provide
The advisory risk profile for this account is Profile 3
Please see "About Your Investment Objective / Profile and Risk Tolerance on page 2 for further information

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	$7,161 73	$33,594 07
Short-term gain or loss	0 00	26,432 34
Long-term gain or loss	7,161 73	7,161 73
		AS OF DECEMBER 31, 2013
Unrealized gain or loss		$111,277 12 ①

Please see About Your Statement' on page 2 for further information

ACTIVITY SUMMARY

Total account value last statement		$648,509.10
Cash activity		
Beginning balance	49,282.51	
Money coming into your account		
Sales proceeds/redemptions	16,806 05	
Interest	0 40	
Dividends	894 71	
Total	17,701.16	
Money going out of your account		
Funds to purchase securities	-22,725 59	
Taxes withheld	-3.42	
Total	-22,729.01	
Ending balance	44,254.66	
Net change cash activity		-$5,027.85
Change in security value		
Beginning value of priced securities	599,226.59	
Securities purchased	22,725 59	
Securities sold/redeemed	-16,806.05	
Change in value of priced securities	19,804 62	
Ending value of priced securities	624,950.75	
Net change in securities value		$25,724.1
Total account value as of December 31, 2013		$669,205 4

ASSET DETAIL

The Estimated Annualized Income (EAI) for certain securities could include a return of principal or capital gains in which case EAI depicted on this account statement would be overstated EAI is only an estimate of income generated by the investment and the actual income may be higher or lower In the event the investment matures, is sold or called, the full EAI may not be realized

• The Unrealized Gain/Loss may not reflect your investments total return Specifically, the net cost may include dividend and capital gains distributions which have been reinvestedAdditionally, the information that appears in these columns may be based on information provided by you or at your direction RBC has not verified such data Please see 'About Your Statement" on page 2 for further information

Your Financial Advisor has elected to display Asset Detail with the following options asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as 'Pending Deposits,' are held by the Program Banks, not RBC Capital Markets They are not covered by SIPC Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks Deposit information is included on this statement solely as a service to our clients All balance and transaction information is provided by the Program Banks RBC Capital Markets is not responsible for the accuracy of this information Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) p to $250,000 per depositor at each bank subject to certain limitations ***This means that your funds in excess of $250,000 in the same insurable capacity at any single Program Bank are not insured.*** *FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www rbcwmconnect com for more details, including the current aggregate FDIC deposit insurance amount available through the Program For questions concerning bank balances, please contact your Financial Advisor*

DESCRIPTION		BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED			$42,798 24	$49,282 51	$3 09
DEPOSITS ARE HELD AT					
RBC Bank (Georgia)	Atlanta, GA	$3,637 64			
Citizens Bank	Providence, RI	$39,160 60			
TOTAL RBC BANK DEPOSIT PROGRAM			**$42,798.24**		**$3 09**

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
CASH				$1,456 42		
TOTAL CASH AND MONEY MARKET				**$1,456.42**		

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
ADT CORPORATION COM	ADT	328 000	$40 470	$13,274 16	$13,293 84	-$19 68	$164 00
AGCO CORP	AGCO	543 000	$59 190	$32,140.17	$28,421 41	$3,718.76	$217 20
BROADRIDGE FINANCIAL SOLUTIONS INC	BR	754 000	$39 520	$29,798 08	$20,332 44	$9,465 64	$633 36
CENTENE CORP DEL	CNC	509 000	$58 950	$30,005.55	$25,309 46	$4,696 09	
CLEAN HARBORS INC	CLH	517 000	$59 960	$30,999 32	$29,008 74	$1,990.58	

US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
DARLING INTERNATIONAL INC	DAR	1,748 000	$20 880	$36,498 24	$32,294 85	$4,203 39	
FLOWSERVE CORP	FLS	428 000	$78 830	$33,739 24	$22,636 66	$11,102 58	$239 68
HANESBRANDS INC	HBI	475 000	$70 270	$33,378 25	$24,997 65	$8,380 60	$380
IDEX CORP	IEX	402 000	$73 850	$29,687 70	$20,747 36	$8,940.34	$369 84
MEADWESTVACO CORP	MWV	733 000	$36 930	$27,069 69	$24,586 57	$2,483 12	$733 00
NEWFIELD EXPLORATION CO	NFX	1,191 000	$24 630	$29,334 33	$30,347 55	-$1,013.22	
OGE ENERGY CORP	OGE	515 000	$33 900	$17,458 50	$16,626 16	$832 34	$463 50
POLARIS INDUSTRIES INC	PII	164 000	$145 640	$23,884 96	$15,314.54	$8,570 42	$275 52
SAFEWAY INC	SWY	602 000	$32 570	$19,607 14	$13,755.90	$5,851 24	$481 60
THOR INDUSTRIES INC	THO	416 000	$55 230	$22,975 68	$17,605 69	$5,369 99	$382 72
TIDEWATER INC	TDW	461 000	$59 270	$27,323 47	$26,238 44	$1,085.03	$461 00
TIMKEN CO	TKR	495 000	$55 070	$27,259 65	$27,649 05	-$389.40	$455 40
TRINITY INDUSTRIES INC	TRN	631 000	$54 520	$34,402 12	$24,966.87	$9,435.25	$378 60
URS CORPORATION	URS	648 000	$52 990	$34,337 52	$28,203 03	$6,134 49	$544 32
WESTERN UNION CO	WU	2,008.000	$17 250	$34,638 00	$32,653 36	$1,984 64	$1,004 00
TOTAL US EQUITIES				**$567,811.77**	**$474,989.57**	**$92,822.20**	**$7,183 74**

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMA. ANNUALIZED INCOME
CHICAGO BRIDGE & IRON CO NV	CBI	407 000	$83 140	$33,837 98	$22,195 07	$11,642 91	$81 40
PENTAIR LTD SHS	PNR	300 000	$77 670	$23,301 00	$16,488 99	$6,812.01	$300 00
TOTAL INTERNATIONAL EQUITIES				**$57,138.98**	**$38,684.06**	**$18,454.92**	**$381 40**

RBC Wealth Management

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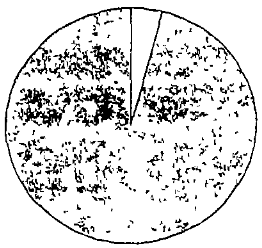
CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

ASSET ALLOCATION SUMMARY



	CURRENT VALUE	PERCENT
RBC Bank Deposit Program	$34,059 74	4%
Cash and money market	198 26	0%
International equities	847,555 32	96%
Current account value	$881,813.32	100%
Unrealized G/L ①	<89,778.83>	
COST BASIS	792,034.49	

Mutual funds are included in the above categories Funds that invest in more than one category are reported as "Mixed Assets
The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable

Deposits in the RBC Bank Deposit Program are not covered by SIPC Please see Asset Detail beginning on page 4 for more information.

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Please Provide
The advisory risk profile for this account is Profile 3
Please see "About Your Investment Objective / Profile and Risk Tolerance" on page 2 for further information

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	$0 00	-$4,545 64
Short-term gain or loss	0 00	-4,545 64
Long-term gain or loss	0 00	0 00
		AS OF DECEMBER 31, 2013
Unrealized gain or loss		$89,778 83 ①

Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		$862,785.22
Cash activity		
Beginning balance	31,741.84	
Money coming into your account		
Deposits	13 51	
Interest	0 28	
Dividends	2,997.44	
Total	3,011.23	
Money going out of your account		
Fees	-43.60	
Taxes withheld	-451 47	
Total	-495.07	
Ending balance	34,258.00	
Net change cash activity		$2,516.16
Change in security value		
Beginning value of priced securities	831,043.38	
Change in value of priced securities	16,511.94	
Ending value of priced securities	847,555.32	
Net change in securities value		$16,511 94
Total account value as of December 31, 2013		$881,813.32

ASSET DETAIL

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RBC BANK DEPOSIT PROGRAM

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DESCRIPTION		BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED			$34,059 74	$30,983.60	$2 58
DEPOSITS ARE HELD AT Citizens Bank	Providence, RI	$34,059.74			
TOTAL RBC BANK DEPOSIT PROGRAM			**$34,059.74**		**$2 58**

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCO
CASH				$198 26		
TOTAL CASH AND MONEY MARKET				**$198.26**		

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABB LTD SPONSORED ADR	ABB	740.000	$26 560	$19,654 40	$15,644 90	$4,009.50	$520 96
ALSTOM UNSPONSORED ADR	ALSMY	6,140 000	$3 648	$22,398 72	$22,526 14	-$127 42	$442 08
ASTRAZENECA PLC SPONSORED ADR	AZN	140 000	$59 370	$8,311 80	$6,736 30	$1,575.50	$392 00
BAE SYSTEMS PLC SPONSORED ADR	BAESY	660 000	$28 819	$19,020 54	$14,776 70	$4,243 84	$789 36

INTERNATIONAL EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BAYER AKTIENGESELLSCHAFT ADR	BAYRY	130 000	$140 481	$18,262 53	$12,950 20	$5,312 33	$234 65
BHP BILLITON LTD SPONSORED ADR	BHP	155 000	$68 200	$10,571 00	$10,994 56	-$423 56	$359
BOC HONG KONG HOLDINGS LIMITED SPONSORED ADR 1 20	BHKLY	230 000	$64 098	$14,742 54	$15,063 85	-$321 31	$724 73
BRITISH AMERICAN TOBACCO PLC SPONSORED ADR	BTI	280 000	$107 420	$30,077 60	$29,735 28	$342.32	$1,211 28
CANADIAN OIL SANDS LIMITED	COSWF	370 000	$18 810	$6,959 70	$7,596.56	-$636.86	$488 40
DEUTSCHE POST AG SPONSORED ADR	DPSGY	770 000	$36 516	$28,117 32	$17,787 55	$10,329.77	$682 99
DEUTSCHE TELEKOM AG SPONSORED ADR	DTEGY	1,895 000	$17 128	$32,457 56	$26,835.43	$5,622.13	$1,697 92
GDF SUEZ SPONSORED ADR	GDFZY	1,040 000	$23 556	$24,498 24	$23,700.06	$798 18	$1,410 24
GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL)	GSK	430 000	$53 390	$22,957 70	$20,740 34	$2,217 36	$1,035 87
HSBC HOLDINGS PLC SPONSORED ADR	HSBC	400 000	$55 130	$22,052.00	$21,537 02	$514 98	$960 00
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR	ITYBY	285 000	$77 447	$22,072.40	$20,201 76	$1,870.64	$1,048
KIRIN HOLDINGS LTD SPONSORED ADR	KNBWY	1,600 000	$14 395	$23,032 00	$25,068 68	-$2,036.68	$443 20
MANULIFE FINANCIAL CORP	MFC	1,500 000	$19 730	$29,595 00	$24,066.31	$5,528.69	$732 00
MTN GROUP LTD SPONS ADR	MTNOY	1,020 000	$20 720	$21,134 40	$19,683 30	$1,451 10	$749 70
MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR	MURGY	965 000	$22 068	$21,295 62	$17,822 95	$3,472 67	$598 30
NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	440 000	$73 424	$32,306.56	$29,685 60	$2,620 96	$799 04
NIPPON TELEGRAPH AND TELEPHONE CORPORATION AMERICAN DEPOSITARY SHARES	NTT	1,110 000	$27 040	$30,014 40	$29,436 30	$578.10	$810 30
NOVARTIS AG AMERICAN DEPOSITARY SHARES	NVS	350.000	$80 380	$28,133 00	$23,506 85	$4,626 15	$719 95

INTERNATIONAL EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
OIL CO LUKOIL-SPONSORED ADR	LUKOY	450 000	$62 072	$27,932 40	$28,055 82	-$123 42	$1,645 20
P T TELEKOMUNIKASI INDONESIA SPONSORED ADR	TLK	285 000	$35 850	$10,217 25	$11,503 84	-$1,286 59	$347 42
RECKITT BENCKISER PLC SPONSORED ADR	RBGLY	1,315 000	$15 877	$20,878 26	$17,738 05	$3,140 21	$540 4,
RIOCAN REAL ESTATE INVESTMENT TRUST UNITS	RIOCF	320.000	$23 282	$7,450 30	$7,834 59	-$384.29	$424 00
ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY	280 000	$70 051	$19,614.28	$14,792 45	$4,821.83	$454 72
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS	RDSB	245 000	$75 110	$18,401 95	$16,897 52	$1,504 43	$882 00
SANOFI SPONSORED ADR	SNY	410.000	$53 630	$21,988 30	$19,238 81	$2,749.49	$514 55
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR	SI	220 000	$138 510	$30,472 20	$23,659 42	$6,812.78	$659 12
SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006	SGAPY	730 000	$28 988	$21,161 24	$21,196 70	-$35 46	$937 32
SMITHS GROUP PLC SPONSORED ADR	SMGZY	725 000	$24 513	$17,771 93	$13,821 00	$3,950 93	$442 25
STATOIL ASA SPONSORED ADR	STO	600 000	$24 130	$14,478.00	$14,269 87	$208 13	$515 40
TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM	TSM	345 000	$17 440	$6,016 80	$6,229 95	-$213 15	$138 35
TESCO PLC-SPONSORED ADR	TSCDY	625 000	$16 613	$10,383.13	$10,615 95	-$232 82	$403 13
TOTAL S.A 1 ADR REPRESENTING 1 ORD SHS	TOT	495 000	$61.270	$30,328 65	$26,260.68	$4,067 97	$1,299 87
UNILEVER N V YORK SHS ADR	UN	765 000	$40 230	$30,775 95	$29,940 33	$835 62	$907 29
UNITED OVERSEAS BANK LTD SPONSORED ADR	UOVEY	515.000	$33 645	$17,327 18	$16,662 50	$664.68	$488 74
VODAFONE GROUP PLC SPONSORED ADR	VOD	785 000	$39 310	$30,858 35	$21,909 10	$8,949 25	$1,248 15
ZURICH INSURANCE GROUP LIMITED SPONSORED ADR	ZURVY	820 000	$29 066	$23,834 12	$21,053 27	$2,780 85	
TOTAL INTERNATIONAL EQUITIES				**$847,555.32**	**$757,776.49**	**$89,778.83**	**$28,699.35**

RBC Wealth Management®

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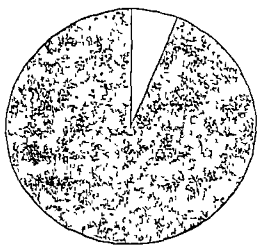
CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

ASSET ALLOCATION SUMMARY



	CURRENT VALUE	PERCENT
RBC Bank Deposit Program	$31,259 84	6%
Taxable fixed income	487,641 08	94%
Current account value	$518,900 92	100%
Unrealized G/L	① + 10,309.59	
COST BASIS	529,210.51	

Mutual funds are included in the above categories Funds that invest in more than one category are reported as Mixed Assets
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Deposits in the RBC Bank Deposit Program are not covered by SIPC Please see Asset Detail beginning on page 4 for more information

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Please Provide
The advisory risk profile for this account is Profile 3
Please see 'About Your Investment Objective / Profile and Risk Tolerance on page 2 for further information

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	$0 00	$526 33
Short-term gain or loss	0 00	526 33
Long-term gain or loss	0 00	0 00
		AS OF DECEMBER 31 2013
Unrealized gain or loss		-$10,309 59 ①

Please see 'About Your Statement on page 2 for further information

ACTIVITY SUMMARY

Total account value last statement		$519,184 04
Cash activity		
Beginning balance	31,664.87	
Money coming into your account		
Interest	1,660 14	
Total	1,660.14	
Money going out of your account		
Funds to purchase securities	-2,065 17	
Total	-2,065.17	
Ending balance	31,259.84	
Net change cash activity		-$405.03
Change in security value		
Beginning value of priced securities	487,519.17	
Securities purchased	2,065 17	
Change in value of priced securities	-1,943 26	
Ending value of priced securities	487,641.08	
Net change in securities value		$121.91
Total account value as of December 31, 2013		$518,900.92

ASSET DETAIL

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Your Financial Advisor has elected to display Asset Detail with the following options asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

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DESCRIPTION		BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED			$31,259 84	$31,664 87	$5 56
DEPOSITS ARE HELD AT Citizens Bank	Providence, RI	$31,259 84			
TOTAL RBC BANK DEPOSIT PROGRAM			**$31,259.84**		**$5 56**

TAXABLE FIXED INCOME

DESCRIPTION		SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMA ANNUALIZED INCC
POLYMER GROUP INC SR SECD 7 75%19 CALL 02/01/2015 103 875 PAR CALL 02/01/2017 MOODY B1 S&P B	 CPN 7 750% DUE 02/01/2019 DTD 01/19/2012 BOOK ENTRY ONLY	731745AL9	7,000 000	$106 625	$7,463 75 $226 04	$7,358 96	$104 79	$542 50
ARCH COAL INC SR NT 7%19 CALL 06/15/2015 103 500 PAR CALL 06/15/2017 MOODY CAA1 S&P CCC+	 CPN 7 000% DUE 06/15/2019 DTD 06/12/2012 BOOK ENTRY ONLY	039380AE0	3,000 000	$79 500	$2,385 00 $9 33	$2,707 50	-$322 50	$210 00
OFFSHORE GROUP INVT LTD SR 1 LIEN 7 5%19 CALL 11/01/2015 105 625 PAR CALL 11/01/2018 MOODY B3 S&P B-	 CPN 7 500% DUE 11/01/2019 DTD 05/03/2013 BOOK ENTRY ONLY	676253AJ6	10,000 000	$108 750	$10,875 00 $125 00	$10,845 51	$29 49	$750 00

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ZAYO GROUP LLC/ZAYO CAP INC SR NT 8 125%20 CALL 07/01/2015 104 063 PAR CALL 07/01/2017 MOODY B1 S&P B	989194AC0 CPN 8 125% DUE 01/01/2020 DTD 07/20/2012 BOOK ENTRY ONLY	11,000 000	$109 500	$12,045 00 $446 88	$12,003 63	$41 37	$893 75
TEEKAY CORP SR NT MOODY B2 S&P B+	87900YAA1 CPN 8 500% DUE 01/15/2020 DTD 01/27/2010 BOOK ENTRY ONLY	11,000 000	$108 125	$11,893 75 $431 14	$11,835.96	$57.79	$935 00
SUBURBAN PROPANE PARTNERS L P / SUBN ENERGY FIN CORP SR NT CALL 03/15/2015 103 688 PAR CALL 03/15/2018 MOODY BA3 S&P BB-	864486AC9 CPN 7 375% DUE 03/15/2020 DTD 03/23/2010 BOOK ENTRY ONLY	4,000 000	$107 000	$4,280 00 $86.86	$4,278 53	$1.47	$295 00
SILGAN HOLDINGS INC SR UNSECURED CALL 04/01/2016 102 500 PAR CALL 04/01/2018 MOODY BA2 S&P BB-	827048AP4 CPN 5 000% DUE 04/01/2020 DTD 08/08/2012 BOOK ENTRY ONLY	15,000 000	$98 750	$14,812 50 $187 50	$15,156 46	-$343.96	$750 00
DONNELLEY R R & SONS CO NT MOODY BA3 S&P BB-	257867AW1 CPN 7 625% DUE 06/15/2020 DTD 06/21/2010 BOOK ENTRY ONLY	7,000 000	$108.750	$7,612 50 $23 72	$7,489 02	$123 48	$533 75
CHS/COMMUNITY HEALTH SYS REGISTERED SENIOR NOTES CALL 07/15/2016 103 563 PAR CALL 07/15/2018 MOODY B3 S&P B	12543DAQ3 CPN 7 125% DUE 07/15/2020 DTD 07/18/2012 BOOK ENTRY ONLY	13,000 000	$103 750	$13,487 50 $427 10	$13,847 85	-$360.35	$926 25
BIOMET INC SR NT 6 5%20 CALL 08/01/2015 104 875 PAR CALL 08/01/2018 MOODY B3 S&P B-	090613AJ9 CPN 6 500% DUE 08/01/2020 DTD 06/20/2013 BOOK ENTRY ONLY	12,000 000	$105 000	$12,600 00 $325 00	$12,294 05	$305 95	$780 00
FOREST OIL CORP CALL 09/15/2016 103 750 PAR CALL 09/15/2018 MOODY B3 S&P N/A	346091BC5 CPN 7 500% DUE 09/15/2020 DTD 08/20/2013 BOOK ENTRY ONLY	13,000 000	$100 000	$13,000 00 $287 08	$13,280 48	-$280 48	$975 00

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ARCH COAL INC SENIOR NOTES CALL 10/01/2015 103 625 PAR CALL 10/01/2018 MOODY CAA1 S&P CCC+	039380AC4 CPN 7 250% DUE 10/01/2020 DTD 08/09/2010 BOOK ENTRY ONLY	5,000 000	$77 750	$3,887 50 $90 63	$4,562 50	-$675 00	$362 50
HUNTSMAN INTL LLC SR NT 4 875%20 PAR CALL 08/15/2020 MOODY B1 S&P B+	44701QAZ5 CPN 4 875% DUE 11/15/2020 DTD 01/14/2013 BOOK ENTRY ONLY	5,000 000	$98 500	$4,925 00 $31 15	$5,078 07	-$153.07	$243 75
CHESAPEAKE ENERGY CORP MOODY BA3 S&P BB-	165167CG0 CPN 6 125% DUE 02/15/2021 DTD 02/11/2011 BOOK ENTRY ONLY	5,000 000	$107 250	$5,362 50 $115 69	$5,247 16	$115 34	$306 25
HCA HOLDINGS INC SENIOR NOTES MOODY B3 S&P B-	40412CAC5 CPN 6 250% DUE 02/15/2021 DTD 12/06/2012 BOOK ENTRY ONLY	9,000 000	$104.625	$9,416 25 $212 50	$9,427 64	-$11 39	$562 50
ELIZABETH ARDEN INC SR NT 7 375%21 CALL 03/15/2016 103 688 PAR CALL 03/15/2019 MOODY B1 S&P BB-	28660GAG1 CPN 7 375% DUE 03/15/2021 DTD 02/17/2011 BOOK ENTRY ONLY	4,000 000	$109.000	$4,360 00 $86 86	$4,396.70	-$36 70	$295 00
US AWYS INC SER 2012-1 CL PTT FACTOR = 95831851 CURRENT BALANCE = 4,792 MOODY BA3 S&P BB	90345WAB0 CPN 8 000% DUE 04/01/2021 DTD 04/30/2012 BOOK ENTRY ONLY	5,000 000	$111 500	$5,342 63 $31 94	$5,127 00	$215 63	$383 33
GRAPHIC PACKAGING INTL INC SR UNSECURED PAR CALL 01/15/2021 MOODY BA3 S&P BB+	38869PAK0 CPN 4 750% DUE 04/15/2021 DTD 04/02/2013 BOOK ENTRY ONLY	10,000 000	$99 000	$9,900 00 $100 28	$10,023 37	-$123 37	$475 00
FERRELLGAS L P / FERRELLGAS SR NT 6 5%21 CALL 05/01/2016 103 250 PAR CALL 05/01/2019 MOODY B2 S&P B	315292AM4 CPN 6 500% DUE 05/01/2021 DTD 06/06/2011 BOOK ENTRY ONLY	12,000 000	$102 000	$12,240 00 $130 00	$12,065 44	$174 56	$780 00

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
NRG ENERGY INC SR NT 7 875%21 CALL 05/15/2016 103 938 PAR CALL 05/15/2019 MOODY B1 S&P BB-	629377BS0 CPN 7 875% DUE 05/15/2021 DTD 02/21/2012 BOOK ENTRY ONLY	7,000 000	$110 750	$7,752 50 $70 44	$7,702 04	$50 46	$551 25
B&G FOODS INC SR UNSECURED CALL 06/01/2016 103 469 PAR CALL 06/01/2020 MOODY B1 S&P BB-	05508WAA3 CPN 4 625% DUE 06/01/2021 DTD 06/04/2013 BOOK ENTRY ONLY	13,000 000	$96 000	$12,480 00 $50 10	$12,220 00	$260 00	$601 25
VULCAN MATERIALS SR UNSECURED MOODY BA3 S&P BB	929160AR0 CPN 7 500% DUE 06/15/2021 DTD 06/14/2011 BOOK ENTRY ONLY	11,000 000	$114 000	$12,540 00 $36.67	$12,196 45	$343 55	$825 00
AES CORP SR NT 7 375%21 PAR CALL 06/30/2021 MOODY BA3 S&P BB-	00130HBS3 CPN 7 375% DUE 07/01/2021 DTD 08/01/2012 BOOK ENTRY ONLY	3,000 000	$112.750	$3,382 50 $110 63	$3,260 58	$121.92	$221 25
EQUINIX INC SR NT CALL 07/15/2016 103 500 PAR CALL 07/15/2019 MOODY BA3 S&P BB	29444UAK2 CPN 7 000% DUE 07/15/2021 DTD 07/13/2011 BOOK ENTRY ONLY	5,000 000	$109 250	$5,462 50 $161 39	$5,496 75	-$34 25	$350 00
SUBURBAN PROPANE PARTNERS L P SR NT 7 375%21 PAR CALL 08/01/2019 MOODY BA3 S&P BB-	864486AG0 CPN 7 375% DUE 08/01/2021 DTD 12/13/2012 BOOK ENTRY ONLY	3,000 000	$109 000	$3,270 00 $92.19	$3,134 76	$135.24	$221 25
DOLPHIN SUBSIDIARY II INC SR NT 7 25%21 PAR CALL 07/15/2021 MOODY BA2 S&P BB	256882AD3 CPN 7 250% DUE 10/15/2021 DTD 10/09/2012 BOOK ENTRY ONLY	12,000 000	$101 250	$12,150 00 $183 67	$12,761 84	-$611 84	$870 00
MGM RESORTS INTL REGISTERED SENIOR NOTES MOODY B3 S&P B+	552953CA7 CPN 6 625% DUE 12/15/2021 DTD 12/20/2012 BOOK ENTRY ONLY	5,000 000	$105 750	$5,287 50 $14 72	$5,051 30	$236 20	$331 25

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
LAMAR MEDIA CORP SR SB NT5 875%22 CALL 02/01/2017 102 938 PAR CALL 02/01/2020 MOODY B1 S&P BB-	513075BB6 CPN 5 875% DUE 02/01/2022 DTD 07/27/2012 BOOK ENTRY ONLY	13,000 000	$102 500	$13,325 00 $318 23	$13,505 80	-$180.80	$763 75
MEDIACOM LLC / MEDIACOM CAP SR NT 7 25%22 CALL 02/15/2017 103 625 PAR CALL 02/15/2020 MOODY B3 S&P B-	58445MAP7 CPN 7 250% DUE 02/15/2022 DTD 06/01/2012 BOOK ENTRY ONLY	9,000 000	$106 000	$9,540 00 $246.50	$9,747 62	-$207.62	$652 50
MGM RESORTS INTL SENIOR NOTES MOODY B3 S&P B+	552953BX8 CPN 7 750% DUE 03/15/2022 DTD 03/22/2012 BOOK ENTRY ONLY	2,000 000	$111 750	$2,235 00 $45 64	$2,234 10	$0.90	$155 00
BE AEROSPACE INC SR UNSECURED CALL 04/01/2017 102 625 PAR CALL 04/01/2020 MOODY BA2 S&P BB	055381AS6 CPN 5 250% DUE 04/01/2022 DTD 03/13/2012 BOOK ENTRY ONLY	12,000 000	$101 500	$12,180.00 $157 50	$11,970.00	$210 00	$630 00
AMERIGAS FINANCE CORP CALL 05/20/2017 103 500 PAR CALL 05/20/2020 MOODY BA2 S&P N/A	03077JAB6 CPN 7 000% DUE 05/20/2022 DTD 01/12/2012 BOOK ENTRY ONLY	13,000 000	$108 500	$14,105 00 $103 64	$14,076 15	$28.85	$910 00
DAVITA INC SR UNSECURED CALL 08/15/2017 102 875 PAR CALL 08/15/2020 MOODY B2 S&P B	23918KAP3 CPN 5 750% DUE 08/15/2022 DTD 08/28/2012 BOOK ENTRY ONLY	12,000 000	$101 250	$12,150 00 $260 67	$12,408.60	-$258 60	$690 00
INTERNATIONAL LEASE FIN CORP SR UNSECURED MOODY BA3 S&P BBB-	459745GN9 CPN 5 875% DUE 08/15/2022 DTD 08/21/2012 BOOK ENTRY ONLY	13,000 000	$99 750	$12,967 50 $288 53	$13,830 74	-$863 24	$763 75
RANGE RESOURCES CORP SR SUB NOTES CALL 02/15/2017 102 500 PAR CALL 02/15/2020 MOODY BA2 S&P BB	75281AAN9 CPN 5 000% DUE 08/15/2022 DTD 03/09/2012 BOOK ENTRY ONLY	5,000 000	$98 250	$4,912.50 $94 44	$5,241 07	-$328 57	$250 00

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
CABLEVISION SYSTEMS CORP SR UNSECURED MOODY B1 S&P B+	12686CBB4 CPN 5 875% DUE 09/15/2022 DTD 09/27/2012 BOOK ENTRY ONLY	10,000 000	$95 750	$9,575 00 $172 99	$9,992 50	-$417 50	$587 50
CONTINENTAL RESOURCES INC SR NT 5%22 CALL 03/15/2017 102 500 PAR CALL 03/15/2020 MOODY BAA3 S&P BBB-	212015AH4 CPN 5 000% DUE 09/15/2022 DTD 11/13/2012 BOOK ENTRY ONLY	4,000 000	$103 875	$4,155 00 $58 89	$4,227 14	-$72 14	$200 00
PENSKE AUTOMOTIVE GRP INC SR SB NT 5 75%22 CALL 10/01/2017 102 875 PAR CALL 10/01/2020 MOODY B2 S&P B	70959WAE3 CPN 5 750% DUE 10/01/2022 DTD 06/19/2013 BOOK ENTRY ONLY	12,000 000	$102 250	$12,270 00 $172 50	$12,214 06	$55 94	$690 00
HERTZ CORP SR NT 6 25%22 CALL 10/15/2017 103 125 PAR CALL 10/15/2020 MOODY B2 S&P B	428040CN7 CPN 6 250% DUE 10/15/2022 DTD 03/05/2013 BOOK ENTRY ONLY	11,000 000	$103 250	$11,357 50 $145 14	$11,728 76	-$371 26	$687 50
CROWN CASTLE INTL CORP MOODY B1 S&P BB-	228227BD5 CPN 5 250% DUE 01/15/2023 DTD 02/28/2013 BOOK ENTRY ONLY	13,000 000	$98 000	$12,740 00 $314 71	$13,046 38	-$306.38	$682 50
CCO HLDGS LLC/CAP CORP REGISTERED SENIOR NOTES CALL 02/15/2018 102 563 PAR CALL 02/15/2021 MOODY B1 S&P BB-	1248EPAZ6 CPN 5 125% DUE 02/15/2023 DTD 12/17/2012 BOOK ENTRY ONLY	13,000 000	$92 750	$12,057 50 $251 69	$12,192 00	-$134 50	$666 25
NRG ENERGY INC SR NT 6 625%23 CALL 09/15/2017 103 313 PAR CALL 09/15/2020 MOODY B1 S&P BB-	629377BU5 CPN 6 625% DUE 03/15/2023 DTD 07/19/2013 BOOK ENTRY ONLY	7,000 000	$100 750	$7,052 50 $136 55	$7,042 02	$10 48	$463 75
AVIS BUDGET CAR RENT LLC /AVIS SR NT CALL 04/01/2018 102 750 PAR CALL 04/01/2021 MOODY B2 S&P B	053773AV9 CPN 5.500% DUE 04/01/2023 DTD 08/01/2013 BOOK ENTRY ONLY	14,000 000	$96 875	$13,562 50 $192 50	$13,755 00	-$192 50	$770 00

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
CONSTELLATION BRANDS INC REGISTERED SENIOR NOTES MOODY BA1 S&P BB+	21036PAL2 CPN 4 250% DUE 05/01/2023 DTD 05/14/2013 BOOK ENTRY ONLY	7,000 000	$93 250	$6,527 50 $49 58	$7,165 60	-$638 10	$297 50
LAMAR MEDIA CORP SR SB NT 5%23 CALL 05/01/2018 102 500 PAR CALL 05/01/2021 MOODY B1 S&P BB-	513075BE0 CPN 5 000% DUE 05/01/2023 DTD 03/01/2013 BOOK ENTRY ONLY	2,000 000	$95 000	$1,900 00 $16 67	$2,009 27	-$109 27	$100 00
AES CORP SENIOR NOTES CALL 05/15/2018 102 438 PAR CALL 05/15/2021 MOODY BA3 S&P BB-	00130HBT1 CPN 4 875% DUE 05/15/2023 DTD 04/30/2013 BOOK ENTRY ONLY	10,000 000	$93 500	$9,350 00 $62 29	$10,053.87	-$703 87	$487 50
UNITED RENTALS NORTH AMER INC SENIOR NOTES CALL 12/15/2017 103 063 PAR CALL 12/15/2020 MOODY B2 S&P BB-	911365AX2 CPN 6 125% DUE 06/15/2023 DTD 10/30/2012 BOOK ENTRY ONLY	7,000 000	$101 500	$7,105 00 $19.06	$7,447.47	-$342 47	$428 75
DENBURY RESOURCES INC REGISTERED SENIOR SUBORDINATED NOTES CALL 01/15/2018 102 313 PAR CALL 01/15/2021 MOODY B1 S&P BB	24823UAH1 CPN 4 625% DUE 07/15/2023 DTD 02/05/2013 BOOK ENTRY ONLY	9,000 000	$90 250	$8,122 50 $191 94	$8,626 25	-$503.75	$416 25
HAWAIIAN AIRLS SER 2013-1 CL B FACTOR = 1.00000000 CURRENT BALANCE = 1,000 MOODY B1 S&P BB-	419839AA3 CPN 4 950% DUE 07/15/2023 DTD 05/29/2013 FC 01/15/2014 BOOK ENTRY ONLY	1,000 000	$91 750	$917 50 $29 15	$1,000 00	-$82 50	$49 50
BALL CORP SR UNSECURED MOODY BA1 S&P BB+	058498AS5 CPN 4 000% DUE 11/15/2023 DTD 05/16/2013 BOOK ENTRY ONLY	8,000 000	$89 500	$7,160 00 $40 89	$8,000 00	-$840 00	$320 00

TAXABLE FIXED INCOME
(continued)

DESCRIPTION		SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
FRONTIER COMMUNICATIONS CORP REGISTERED SENIOR NOTES MOODY BA2 S&P BB-	CPN 7 625% DUE 04/15/2024 DTD 04/10/2013 BOOK ENTRY ONLY	35906AAN8	3,000 000	$99 750	$2,992 50 $48 29	$3,086 07	-$93.57	$228 75
IRON MOUNTAIN INCORPORATED SENIOR SUBORDINATED NOTES CALL 08/15/2017 102 875 PAR CALL 08/15/2020 MOODY B1 S&P B	CPN 5 750% DUE 08/15/2024 DTD 08/10/2012 BOOK ENTRY ONLY	46284PAP9	14,000 000	$92 750	$12,985 00 $304 11	$13,874 18	-$889 18	$805 00
HEALTHSOUTH CORP REGISTERED SENIOR NOTES CALL 11/01/2017 102 875 PAR CALL 11/01/2020 MOODY BA3 S&P BB-	CPN 5 750% DUE 11/01/2024 DTD 09/11/2012 BOOK ENTRY ONLY	421924BK6	10,000 000	$98 750	$9,875 00 $95 83	$10,114 86	-$239 86	$575 00
PEABODY ENERGY CORP SR NT MOODY BA2 S&P BB	CPN 7 875% DUE 11/01/2026 DTD 10/12/2006 BOOK ENTRY ONLY	704549AF1	7,000 000	$101 500	$7,105 00 $91 88	$7,514 81	-$409.81	$551 25
MIRANT AMERICAS SENIOR NOTES MOODY B3 S&P BB-	CPN 9 125% DUE 05/01/2031 DTD 09/19/2001 BOOK ENTRY ONLY	60467PAJ3	12,000 000	$101 750	$12,210.00 $182 50	$13,241.72	-$1,031 72	$1,095 00
CITIZENS COMMUNICATIONS CO SENIOR NOTE MOODY BA2 S&P BB-	CPN 9 000% DUE 08/15/2031 DTD 03/11/2002 BOOK ENTRY ONLY	17453BAJ0	4,000 000	$98 250	$3,930 00 $136 00	$4,231 31	-$301 31	$360 00
EMBARQ CORP NT MOODY BAA3 S&P BB	CPN 7 995% DUE 06/01/2036 DTD 05/12/2006 BOOK ENTRY ONLY	29078EAA3	5,000 000	$101 244	$5,062 20 $33 31	$5,523.77	-$461.57	$399 75
TOTAL TAXABLE FIXED INCOME ESTIMATED ACCRUED BOND INTEREST			482,000.000		$487,641.08 $8,356.13	$497,950.67	-$10,309.59	$30,647.58

RBC Wealth Management®

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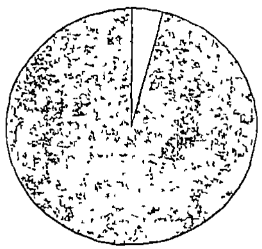
CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

ASSET ALLOCATION SUMMARY



	CURRENT VALUE	PERCENT
RBC Bank Deposit Program	$21,921 23	4%
Cash and money market	2,452 21	0%
Taxable fixed income	473,143 49	96%
Current account value	$497,516.93	100%
① Unreal G/L	+ 44,463.54	
12/31/13 COST BASIS	541,980.47	

Mutual funds are included in the above categories Funds that invest in more than one category are reported as "Mixed Assets '
The cash and money market figure is net of debits including any RBC Express Credit (margin) debit, if applicable

Deposits in the RBC Bank Deposit Program are not covered by SIPC Please see Asset Detail beginning on page 4 for more information

INVESTMENT OBJECTIVE / PROFILE AND RISK TOLERANCE

The investment objective for this account is Balanced Growth
The risk tolerance for this account is Please Provide
The advisory risk profile for this account is Profile 3
Please see "About Your Investment Objective / Profile and Risk Tolerance ' on page 2 for further information

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
Total realized gain or loss	-$172 52	-$540 44
Short-term gain or loss	-64 92	-432 84
Long-term gain or loss	-107 60	-107 60
		AS OF DECEMBER 31, 2013
Unrealized gain or loss		-$44,463 54 ①

Please see "About Your Statement" on page 2 for further information.

ACTIVITY SUMMARY

Total account value last statement		$504,264 08
Cash activity		
Beginning balance	14,506.11	
Money coming into your account		
Sales proceeds/redemptions	6,114 52	
Interest	967.59	
Dividends	3,550 60	
Total	10,632.71	
Money going out of your account		
Funds to purchase securities	-765 38	
Total	-765.38	
Ending balance	24,373.44	
Net change cash activity		$9,867.33
Change in security value		
Beginning value of priced securities	489,757.97	
Securities purchased	765.38	
Securities sold/redeemed	-6,114.52	
Change in value of priced securities	-11,265 34	
Ending value of priced securities	473,143.49	
Net change in securities value		-$16,614.48
Total account value as of December 31, 2013		$497,516.93

ASSET DETAIL

The Estimated Annualized Income (EAI) for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated EAI is only an estimate of income generated by the investment and the actual income may be higher or lower In the event the investment matures, is sold or called, the full EAI may not be realized

** The Unrealized Gain/ Loss may not reflect your investments' total return Specifically the net cost may include dividend and capital gains distributions which have been reinvestedAdditionally, the information that appears in these columns may be based on information provided by you or at your direction RBC has not verified such data Please see "About Your Statement" on page 2 for further information*

Your Financial Advisor has elected to display Asset Detail with the following options asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Capital Markets They are not covered by SIPC Balances classified as 'Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks Deposit information is included on this statement solely as a service to our clients All balance and transaction information is provided by the Program Banks RBC Capital Markets is not responsible for the accuracy of this information Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) p to $250,000 per depositor at each bank subject to certain limitations ***This means that your funds in excess of $250,000 in the same insurable capacity at any single Program Bank are not insured.*** *FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www rbcwmconnect com for more details, including the current aggregate FDIC deposit insurance amount available through the Program For questions concerning bank balances, please contact your Financial Advisor*

DESCRIPTION		BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM NOT SIPC COVERED			$21,921 23	$13,161 73	$2 50
DEPOSITS ARE HELD AT Citizens Bank	Providence, RI	$21,921 23			
TOTAL RBC BANK DEPOSIT PROGRAM			**$21,921.23**		**$2.50**

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INC
CASH				$2,452 21		
TOTAL CASH AND MONEY MARKET				**$2,452.21**		

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AEGON N V PERP CAP SECS FLTG RATE	AEB	167 000	$19 680	$3,286 56	$3,917 33	-$630 77	$168 84
AEGON NV NON CUM 6 50% PERP CAPITAL SECURITIES	AED	56 000	$23 590	$1,321 04	$1,387 74	-$66 70	$91 00
AEGON N V 6.375% PERP CAPITAL SECURITIES	AEH	387 000	$23 410	$9,059 67	$9,801 66	-$741 99	$616 88
AEGON N V 8% NON CUMULATIVE SUBORDINATED NOTES DUE 2042	AEK	183 000	$28 190	$5,158 77	$5,089.72	$69.05	$366 00

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CONSULTING SOLUTIONS ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
AMERICAN FINANCIAL GROUP INC 5 3/4% SENIOR NOTES DUE 2042	AFA	30 000	$21 340	$640 20	$748 48	-$108 28	$43 14
AFFILIATED MANAGERS GROUP INC 5 250% SENIOR NOTES DUE 10/15/2022	AFM	32 000	$25 527	$816 85	$807 35	$9 50	$42,
AFLAC INCORPORATED 5 50% SUBORDINATED DEBENTURES DUE 2052	AFSD	103 000	$21 220	$2,185 66	$2,392 48	-$206 82	$141 63
AMERICAN FINANCIAL GROUP INC SR NT 6 375 DUE 2042	AFW	48 000	$23 680	$1,136 64	$1,243 70	-$107 06	$76 51
ASPEN INSURANCE HOLDINGS LTD PERP NON CUMULATIVE PREF SHS VARIABLE RATE	AHLPRB	76 000	$24 490	$1,861.24	$2,008 96	-$147 72	$137 79
ASPEN INSURANCE HOLDINGS LIMITED FXD FLTG PERP NON CUM PREF SHS	AHLPRC	83 000	$22 670	$1,881 61	$2,085 80	-$204 19	$123 42
ALLSTATE CORPORATION (THE) 5 625% DEP SHS REPSTG 1/1000TH SHS NON CUM PERP PFD SHS SER A	ALLPRA	54 000	$21 000	$1,134 00	$1,315 12	-$181 12	$75 92
ALLSTATE CORP 5 100% FIXED TO FLOATING RATE SUBORDINATED DEBENTURES	ALLPRB	22.000	$24 110	$530 42	$551 14	-$20 72	$28 05
ALLSTATE CORPORATION (THE) 6 75% DEP SHS REPSTG 1/1000TH SHS PFD STK SER C	ALLPRC	50 000	$25 650	$1,282.50	$1,240 31	$42 19	$84
AMERIPRISE FINANCIAL INC 7 75% SENIOR NOTES DUE JUNE 15 2039	AMPPRA	144 000	$25 650	$3,693 60	$3,957 80	-$264 20	$279 07
ALEXANDRIA REAL ESTATE EQUITIE 6.45% SERIES E CUMULATIVE REDEEMABLE PREFERRED STOCK	AREPRE	50 000	$21 200	$1,060 00	$1,291 01	-$231 01	$80 60
ARCH CAPITAL GROUP LTD 6 75% NON CUM PFD SHS SER C	ARHPRC	145.000	$22 930	$3,324 85	$3,799 59	-$474 74	$244 76
AXIS CAPITAL HOLDINGS LIMITED PFD SER C	AXSPRC	173 000	$23 580	$4,079 34	$4,573 02	-$493 68	$297 39
AXIS CAPITAL HOLDINGS LTD 5.5% SERIES D PERPETUAL PFD SHARES	AXSPRD	56 000	$18 590	$1,041 02	$1,311 39	-$270 37	$77 00

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BANK OF AMERICA CORP 6 204% PFD SER D	BACPRD	169 000	$24 090	$4,071 21	$4,219 30	-$148 09	$262 12
BANK OF AMERICA CORPORATION DEP SH REPSTG 1/1000TH 6 6250% SER I PFD	BACPRI	120 000	$25 070	$3,008 40	$3,209 42	-$201 02	$198.
BB&T CORPORATION 5 85 % SERIES D NON CUMULATIVE PERPETUAL PFD STOCK	BBTPRD	96 000	$21 480	$2,062 08	$2,383 89	-$321.81	$140 35
BB&T CORPORATION PERPETUAL PREFERRED SERIES E 5 625% DEP SHS REPSTG 1/1000TH	BBTPRE	359 000	$20 350	$7,305 65	$8,510 74	-$1,205 09	$504 75
BB&T CORP DEP SHS REPSTG 1/1000 INT SER F PERPETUAL PFD	BBTPRF	60 000	$18 750	$1,125 00	$1,326 58	-$201.58	$78 00
BB&T CORPORATION 5 20% DEP SHS RESTG 1/1000 SHS NON CUMULATIVE PERP PFD SER G	BBTPRG	181 000	$18 800	$3,402 80	$4,231 30	-$828.50	$235 30
BARCLAYS BK PLC 6.625% NON CUM PFD ADR SER 2 CALLABLE 9/15/11 @ 25	BCSPR	197 000	$24 140	$4,755.58	$4,927 55	-$171 97	$326 23
BARCLAYS BK PLC ADR PFD SHS SER 3 DIVD - 7 10% SER 3 CAL DT 12/15/12 @ 25	BCSPRA	295 000	$25 170	$7,425.15	$7,459 69	-$34.54	$523 63
BARCLAYS BANK PLC SPONSORED ADS 7 7500% PERP PFD	BCSPRC	115 000	$25 330	$2,912 95	$2,922 19	-$9 24	$222 87
BARCLAYS BK PLC 8 125% PERP NON CUM PFD ADR CALLABLE 6/15/13 @ $25 SER 5	BCSPRD	49 000	$25 370	$1,243 13	$1,264 90	-$21 77	$99 52
BANK OF NEW YORK MELLON CORP ON THE DEP SHS 5.2% PFD REPSTG 1/4000TH PERP SER C	BKPRC	351 000	$20 750	$7,283.25	$8,326 98	-$1,043 73	$456 30
BANK OF AMERICA CORP DEP SHS REPSTG 1/1200TH 6 375% NON CUMULATIVE PFD SER 3	BMLPRI	90 000	$23 250	$2,092 50	$2,239.43	-$146 93	$143 46
COMCAST CORPORATION 5 00% SENIOR NOTES DUE 2061	CCV	52 000	$20 640	$1,073 28	$1,277 96	-$204 68	$65 00
COUNTRYWIDE CAPITAL IV 6 75% TRUST PFD SECS DUE 4/1/33 B/E	CFCPRA	124 000	$24 890	$3,086.36	$3,122.98	-$36.62	$209 31

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
CAPITAL ONE FINANCIAL CORPORATION DEPOSITARY SHARES EACH REPRESNTNG 1/40TH INTRST	COFPRP	328 000	$21 740	$7,130 72	$8,021 05	-$890 33	$492 00
CITIGROUP INC 5 8% DEP SHS REPSTG 1/1000 PFD SER C NON CUMULATIVE	CPRC	230 000	$21 240	$4,885 20	$5,550 59	-$665 39	$333
CITIGROUP CAP XVII 6 35% ENHANCED TR PFD SECS-TRUPRS	CPRE	64 000	$25 050	$1,603.20	$1,613 96	-$10.76	$101 57
CITIGROUP INC 7 125% DEP SHS REPSTG 1/1000 INT FXD TO FLTG NON CUM PFD J	CPRJ	78 000	$25 940	$2,023 32	$1,972 56	$50.76	$138 92
CITIGROUP INC 6.875% SER K DEP SHS REPSTG 1/1000TH INT FXD TO FLTG 4 13%	CPRK	111 000	$25 340	$2,812 74	$2,763 78	$48.96	$190.78
CITIGROUP CAP XIII 7 875% FIXED/FLOATING RATE TRUST PFD	CPRN	191 000	$27 250	$5,204 75	$5,312 77	-$108.02	$376 08
CITIGROUP CAP XI 6% TRUST PFD SECS DUE 09/27/2034	CPRQ	128 000	$24 880	$3,184 64	$3,212 24	-$27 60	$192 00
CITIGROUP CAPITAL IX 6.00% TRUST PFD SECS (TRUPS) DUE 2/14/2033 B/E	CPRS	217 000	$25 100	$5,446 70	$5,444 28	$2.42	$325 [illegible]
QWEST CORP 7 375% NOTES DUE 2051	CTQ	146 000	$23 130	$3,376 98	$3,841 29	-$464 31	$269 22
QWEST CORPORATION 7 00% SR NOTES DUE 2052	CTU	48 000	$21 870	$1,049 76	$1,250 44	-$200 68	$84 00
QWEST CORP PFD SER A 7 500% NTS DUE 2051	CTW	157 000	$23 430	$3,678 51	$4,179 33	-$500 82	$294 38
QWEST CORPORATION PFD 7 00% SR NOTES DUE 2052	CTX	97 000	$21 940	$2,128 18	$2,524.61	-$396 43	$169 75
CITY NATIONAL CORPORATION 5 50% DEPOSITARY SHS REPRSTNG 1/40TH PERPETUAL PFD SERIES C	CYNPRC	45 000	$19.610	$882 45	$1,034 55	-$152 10	$61 88
DIGITAL REALTY TRUST INC 7 % SERIES E CUMULATIVE REDEEMABLE PFD	DLRPRE	44 000	$21.710	$955.24	$1,158 14	-$202 90	$77 00

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
DIGITAL REALTY TRUST INC 6 625% SER F CUMULATIVE REDEEMABLE PFD STOCK	DLRPRF	49 000	$20 580	$1,008 42	$1,253 73	-$245 31	$81 14
DIGITAL REALTY DATA CENTER SOLUTIONS 5.875% SERIES G CUMULATIVE REDEEMABLE PFD	DLRPRG	53 000	$18 150	$961 95	$1,252 90	-$290 95	$77
DOMINION RES INC VA 2009 SER A 8 375% ENHANCED JR SUBORDINATED NTS 6/14/14 @ 25	DRU	125 000	$25 740	$3,217 50	$3,386 22	-$168 72	$261 75
DEUTSCHE BK CONTINGENT CAP TR III 7 6% TR PFD SECS	DTK	103 000	$25 960	$2,673 88	$2,785 78	-$111.90	$195 70
DTE ENERGY COMPANY 2012 SERIES C 5 25% JUNIOR SUBORDINATED DEBENTURES	DTQ	30 000	$19 270	$578 10	$739 60	-$161 50	$39 39
DTE ENERGY CO 6 50% JR SUB-ORD DEB DUE 2061	DTZ	45 000	$24 180	$1,088.10	$1,193 18	-$105.08	$73 13
DEUTSCHE BK CAP FDG TR VIII 6 375% TR PFD SECS	DUA	59 000	$24 240	$1,430.16	$1,487 49	-$57.33	$94 05
DUKE ENERGY CORPORATION 5 125% JUNIOR SUBORDINATED DEBENTURES	DUKH	85.000	$20 650	$1,755.25	$2,043.39	-$288.14	$108 89
DEUTSCHE BK CONTINGENT CAP TR TR PFD SEC SER 6 55%	DXB	479 000	$24 900	$11,927 10	$12,408 38	-$481 28	$784
ENTERGY ARK INC 1ST MTG BD 5 75% PFD	EAA	52 000	$23 380	$1,215 76	$1,350 33	-$134 57	$74 78
ENTERGY ARK INC 1ST MTG BD	EAB	33 000	$20 240	$667 92	$781 20	-$113 28	$40 43
ENTERGY ARKANSAS INC 4 75% FIRST MORTGAGE BONDS DUE 2063	EAE	31 000	$19 100	$592 10	$730 50	-$138.40	$36 83
ENTERGY TEX INC MORTGAGE BONDS 7 875% SERIES DUE 6/1/2039 PFD	EDT	35 000	$25 720	$900 20	$972 63	-$72 43	$68 92
ENTERGY LA LLC FIRST MORTGAGE BONDS 5 875% SR 06/15/2041	ELA	27 000	$24 120	$651 24	$709 71	-$58 47	$39 66

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ENTERGY LOUISIANA LLC FIRST MORTGAGE BONDS 6 0% SERIES DUE MARCH 15 2040	ELB	95 000	$24 090	$2,288 55	$2,445.10	-$156 55	$142 50
ENTERGY MISS INC 1ST MTG BD 6% PFD	EMZ	54 000	$23 860	$1,288 44	$1,406 02	-$117 58	$81
FIRST NIAGARA FINL GROUP INC 8 625% PFD NON CUM SER B FXD/FLTG	FNFGPRB	96 000	$27 860	$2,674 56	$2,780.17	-$105 61	$206 98
GENERAL ELECTRIC CAPITAL CORP 4 875% NOTES DUE 10/15/2052 PFD	GEB	241 000	$20 420	$4,921 22	$5,864.00	-$942.78	$293 78
GENERAL ELECTRIC CAPITAL CORP 4.875% GLOBAL MEDIUM TERM NTS SER A DUE 2053	GEH	216 000	$20 280	$4,380 48	$5,218 66	-$838 18	$263 24
GENERAL ELECTRIC CAPITAL CORP 4.70% GLOBAL MEDIUM TERM NOTES SER A DUE 2053	GEK	220 000	$19 420	$4,272 40	$5,237 26	-$964 86	$258 50
GOLDMAN SACHS GROUP INC 6.125% NOTES DUE 11/01/60 SENIOR UNSECURED NOTE	GSF	320 000	$24 360	$7,795 20	$8,268 34	-$473 14	$489 92
GOLDMAN SACHS GROUP INC 6.5% NOTES DUE 11/01/61	GSJ	325 000	$25 230	$8,199 75	$8,658 84	-$459.09	$528 13
GOLDMAN SACHS GROUP INC (THE) FLTG RATE DEPOSITARY SH REPSTG 1/1000TH PFD SER A	GSPRA	47 000	$18 050	$848 35	$1,045 34	-$196 99	$44
GOLDMAN SACHS GROUP INC DEP SHS REPSTG 1/1000 PFD SER D FLTG	GSPRD	28 000	$18 390	$514 92	$607 11	-$92 19	$28 62
GOLDMAN SACHS GROUP INC (THE) 5 95% PERPETUAL PFD SER I	GSPRI	144 000	$21 390	$3,080 16	$3,521 84	-$441 68	$214 13
GOLDMAN SACHS GROUP INC 5 5% DEP REP 1/1000TH SHS NON CUM PFD SER J FIXED TO FLTG RATE	GSPRJ	64 000	$22 290	$1,426.56	$1,519 99	-$93 43	$88 00
HEALTH CARE REIT INC 6.50% SER J CUMULATIVE REDEEMABLE PFD STK	HCNPRJ	95 000	$22 750	$2,161 25	$2,485 71	-$324 46	$154 38
HARTFORD FINL SVCS GROUP INC DEL JR SUB DEB FIXED TO FLTG 7 8750%	HGH	92 000	$28 660	$2,636 72	$2,651.73	-$15 01	$181 15

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
HOSPITALITY PROPERTIES TRUST 7 125% SERIES D CUMULATIVE REDEEMABLE PREFERRED SHS	HPTPRD	131 000	$23 130	$3,030 03	$3,417 98	-$387 95	$233 31
HSBC HOLDINGS PLC ADS 6 20% NON CUM PFD SHS SER A CLBL 12/16/2010 @ 25 00	HSBCPRA	157 000	$24 700	$3,877 90	$3,942 79	-$64.89	$243
HSBC HOLDINGS PLC PERP SUB CAP SECS EXCH PREF SHS SER 2 8 00%	HSEB	635 000	$26 940	$17,106 90	$17,607 05	-$500.15	$1,270 00
INTEGRYS ENERGY GROUP INC 6 00% JR SUBORDINATED NOTE DUE 2073	IEH	307 000	$23 950	$7,352 65	$7,551 28	-$198 63	$460 50
ING GROEP N V 7 05% PERPETUAL DEBT SECS	IND	249 000	$25 190	$6,272 31	$6,256 40	$15.91	$438 74
ING GROEP N V PERPETUAL DEBT SECS 7 20%	INZ	200 000	$25 400	$5,080 00	$5,036 20	$43.80	$360 00
ING GROEP N V 6 375% PERP HYBRID CAP SECS	ISF	201 000	$23 700	$4,763 70	$4,887 44	-$123.74	$320 39
ING GROEP NV NC5 6 125% PERP DEBT SECS	ISG	110 000	$23 150	$2,546 50	$2,647 02	-$100 52	$168 41
ING GROEP NV 6 2% PERP DEBT SECS	ISP	68 000	$23 450	$1,594 60	$1,646 07	-$51 47	$105 40
JPMORGAN CHASE & CO 5 45% DEPOSITARY SHS REPSTG PFD SER P	JPMPRA	265 000	$20 280	$5,374 20	$6,289 94	-$915 74	$360 [illegible]
JPMORGAN CHASE BANK 5.50% PERP NON CUM SER O PFD SHS REPSTG 1/400TH	JPMPRD	429 000	$20 570	$8,824 53	$10,207.01	-$1,382 48	$589 88
KIMCO RLTY CORP DEPOSITARY SHS REPSTG 1/100 SH CUMULATIVE RED PFD CL H 6 9%	KIMPRH	104 000	$23 820	$2,477 28	$2,772 59	-$295 31	$179 40
KIMCO REALTY CORPORATION DEPOSITARY SH REPSTG 1/1000TH 6 % SER I CUM RED PFD STK	KIMPRI	146 000	$20 870	$3,047 02	$3,655 70	-$608 68	$219 00
KIMCO REALTY CORPORATION 5.5% DEPOSITARY SH REPSTG 1/1000TH CL J CUM RDMB PFD	KIMPRJ	53 000	$19 450	$1,030 85	$1,269 46	-$238 61	$72 88

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
KIMCO REALTY CORP 5 6250% DEPOSITARY SH REPSTG 1/1000 PFD SER K	KIMPRK	52 000	$19 650	$1,021 80	$1,251 32	-$229 52	$73 11
LLOYDS BANKING GROUP PLC PUBLIC INCOME NT PINES 7 75% 07/15/2050 CUM PFD	LYGPRA	509 000	$26 650	$13,564.85	$13,991.59	-$426.74	$986
MERRILL LYNCH CAPITAL TRUST I 6 45% TRUST PFD SECURITIES	MERPRK	190 000	$24 705	$4,694 03	$4,775 59	-$81 56	$306 28
MERRILL LYNCH CAP TR II GTD 6 45% TR PFD SECS MATURITY 6/15/62	MERPRM	125 000	$24 600	$3,075 00	$3,142 12	-$67.12	$201 50
AFFILIATED MANAGERS GROUP INC 6 3750% SENIOR NOTES DUE 2042	MGR	39 000	$22 750	$887 25	$992.25	-$105 00	$62 17
MORGAN STANLEY CAP TRUST VI 6 60% CAPITAL SECS	MSJ	88 000	$24 550	$2,160 40	$2,206 18	-$45 78	$145 20
MORGAN STANLEY CAP TR VIII GTD CAP SECS 6 45% 4/15/67 PFD SHS	MSK	54.000	$23 820	$1,286 28	$1,347 49	-$61.21	$87.05
MORGAN STANLEY DEP SHS REPSTG 1/1000 PERP PFD SER A	MSPRA	113 000	$18 800	$2,124 40	$2,344.52	-$220 12	$115 49
MORGAN STANLEY 7 125% DEP SHS REP 1/1000TH SHS FIXED FLTG PFD STK SER E	MSPRE	78 000	$26 100	$2,035 80	$1,993.71	$42 09	$138
MORGAN STANLEY CAP TRUST VII 6.60% CAPITAL SECS DUE 10/15/2066	MSZ	74 000	$24 300	$1,798.20	$1,853 70	-$55 50	$122 10
MORGAN STANLEY CAPITAL TRUST IV 6.25% CAPITAL SECS DUE 4/1/33 BOOK ENTRY	MWG	89 000	$23 680	$2,107.52	$2,219 87	-$112 35	$139 02
MORGAN STANLEY CAP TRUST V 5 75% CAPTIAL SECS DUE 7/15/33	MWO	93 000	$22 370	$2,080 41	$2,297 90	-$217 49	$133 73
MORGAN STANLEY CAP TR III 6 25% CAP SECS DUE 03/01/2033	MWR	113.000	$23 990	$2,710 87	$2,806.65	-$95 78	$176 51
NEXTERA ENERGY CAPITAL HOLDINGS INC 5 70% SER G JNR SUBORDINATED DEB DUE 3/1/72	NEEPRG	47 000	$20 900	$982 30	$1,193.10	-$210 80	$66 98

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
NEXTERA ENERGY CAPITAL HOLDINGS INC 5 625% $25 JR SUB DEB SER H	NEEPRH	48 000	$20 160	$967 68	$1,202 47	-$234 79	$67 49
NEXTERA ENERGY CAP HLDGS INC GTD JR SUB DEB SER I 5 125%	NEEPRI	104 000	$18 500	$1,924 00	$2,444.22	-$520 22	$133
NEXTERA ENERGY CAPITAL HOLDING INC 5% JR SUB DEB SER J	NEEPRJ	42 000	$18.260	$766 92	$981.92	-$215 00	$52 50
NATIONAL RETAIL PROPERTIES 6 625% CUM PERPET PFD SERIES D	NNNPRD	122 000	$22 150	$2,702 30	$3,132 20	-$429 90	$202 03
NATIONAL RETAIL PROPERTIES INC 5 70% CUM RDMBL PFD STK SER E	NNNPRE	109 000	$19 000	$2,071 00	$2,535 60	-$464 60	$155 33
REALTY INCOME CORPORATION 6 625% MONTHLY INCOME CLASS F CUMULATIVE REDEEMABLE PFD	OPRF	195 000	$23 930	$4,666.35	$5,017 67	-$351.32	$322 92
PRUDENTIAL FINANCIAL INC 5 75% JUNIOR SUBORDINATED NOTE DUE 2052	PJH	106 000	$21 120	$2,238 72	$2,602.44	-$363.72	$152 43
PROTECTIVE LIFE CORP 6 25% SUBORDINATED DEBENTURES DUE 2042	PLPRC	86 000	$21 700	$1,866 20	$2,168.33	-$302 13	$134 38
PNC FINL SVCS GROUP INC DEPOSITARY SHS REPSTG 1/4000TH PERP PFD SER P 6 125%	PNCPRP	542 000	$25 250	$13,685 50	$14,526 88	-$841 38	$829 80
PPL CAP FDG INC JR SUB NT 2013 SER B	PPX	33 000	$20.770	$685.41	$801 63	-$116 22	$48 68
PARTNERRE LTD 6.5% SER D CUM REDEEMABLE PFD SHS LIQUIDATION PREFERENCE 25/SH	PREPRD	210 000	$22 650	$4,756 50	$5,135 75	-$379.25	$341 25
PARTNERRE LTD 7 25% SER E CUMM REDEEMABLE PFD SHS	PREPRE	69 000	$25 300	$1,745 70	$1,853 62	-$107 92	$125 10
PARTNERRE LTD 5 875%SERIES F NON CUMULATIVE REDEEMABLE PREFERRED SHARES	PREPRF	111 000	$20 220	$2,244 42	$2,519 84	-$275 42	$163 06
PRUDENTIAL FINANCIAL INC 5.7% JR SUB NOTES 2053	PRH	141 000	$20 840	$2,938 44	$3,406 88	-$468 44	$200 93

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
PUBLIC STORAGE 6 50% DEPOSITARY SHS REPSTG 1/1000TH PFD SHS BEN INT SER Q	PSAPRQ	90 000	$23 370	$2,103 30	$2,418 67	-$315 37	$146 25
PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000TH PFD 6 35% SER R	PSAPRR	135 000	$23 350	$3,152 25	$3,555 14	-$402 89	$214
PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000TH PFD 5 90% SER S	PSAPRS	136 000	$21 600	$2,937 60	$3,467.49	-$529 89	$200 60
PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000 OF 5 750% CUM PFD SH BEN INT SR T	PSAPRT	164 000	$21 100	$3,460 40	$4,128 46	-$668.06	$235 83
PUBLIC STORAGE DEP SHS REPSG 1/1000 OF 5 625% CUMULATIVE PFD BEN INT SER U	PSAPRU	49 000	$20 210	$990.29	$1,220 25	-$229.96	$68 89
PUBLIC STORAGE 5 375% DEP SHS REPSTG 1/1000TH PFD SH BEN INT SER V	PSAPRV	51 000	$19 530	$996 03	$1,239 85	-$243.82	$68 54
PUBLIC STORAGE 5 2% DEP SHS REPSTG 1/1000 PFD SHS BEN INT SER X	PSAPRX	32 000	$18 870	$603 84	$759.72	-$155 88	$41 60
PS BUSINESS PKS INC DEP SHS REPSTG 1/1000TH 6 45% PFD SER S	PSBPRS	90 000	$21 850	$1,966 50	$2,308 57	-$342.07	$145 [illegible]
PS BUSINESS PKS INC DEP SHS REPSTG 1/1000TH 6% CUM PFD SERIES T	PSBPRT	145.000	$20.200	$2,929 00	$3,579 77	-$650 77	$217 50
PS BUSINESS PARKS INC 5 75% DEP SHS REPSTG 1/1000 CUM PFD SER U	PSBPRU	30 000	$19 210	$576.30	$715 19	-$138 89	$43 13
ROYAL BK SCOTLAND GROUP PLC SPONSORED ADR REPSTG SER L	RBSPRL	438 000	$19 530	$8,554 14	$9,715 58	-$1,161 44	$629 84
ROYAL BK SCOTLAND GROUP PLC 6 40%-SPONSORED ADR SER M REPSTG NON CUM DOLLAR PFD SHS	RBSPRM	138 000	$20 900	$2,884 20	$3,083 26	-$199 06	$220 80
ROYAL BK SCOTLAND GROUP PLC 6 35% SER N ADR REP NON CUM PERP PFD CALLBLE ON 6/30/10@25	RBSPRN	52 000	$20.460	$1,063 92	$1,159 58	-$95 66	$82 52

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ROYAL BK SCOTLAND GROUP PLC 6 75% SER Q PREF SHARE NON CUM PERP PFD CALLBLE ON 6/30/11@25	RBSPRQ	43 000	$21 670	$931 81	$993 29	-$61 48	$72 58
ROYAL BK SCOTLAND GROUP PLC SPONSORED ADR ON PFD SER 6 60%	RBSPRS	126 000	$21 510	$2,710 26	$2,873 30	-$163 04	$207
REGENCY CENTERS CORPORATION SERIES 6 6 625% CUMULATIVE PERPETUAL PFD	REGPRF	93 000	$21 700	$2,018.10	$2,410 23	-$392.13	$154 01
REGENCY CENTERS CORPORATION 6 0% SERIES 7 CUMULATIVE REDEEMABLE PREFERRED STOCK	REGPRG	31 000	$19 979	$619 35	$754.77	-$135 42	$46 50
RAYMOND JAMES FINANCIAL 6 9% SR NT	RJD	56 000	$25 000	$1,400.00	$1,502 53	-$102 53	$96 60
RENAISSANCERE HLDGS LTD 6 08% SER C PREFERENCE SHS $25 00 PER SHARE	RNRPRC	21 000	$21 710	$455 91	$520 23	-$64 32	$31 92
RENAISSANCERE HOLDINGS LTD 5 375% SERIES E PFD SHS	RNRPRE	110 000	$18 460	$2,030 60	$2,556 53	-$525 93	$147 81
CHARLES SCHWAB CORPORATION 6% DEP SHS REP 1/40TH OF NON CUM PERP PFD SER B	SCHWPRB	145 000	$21 990	$3,188 55	$3,686 45	-$497 90	$217 50
SCANA CORP JR SUB NT	SCU	21 000	$26 230	$550 83	$569.02	-$18.19	$40
SENIOR HOUSING PROPERTIES TRUST 5.625% SENIOR NOTES DUE 2042	SNHN	106 000	$20 270	$2,148 62	$2,495.32	-$346 70	$149 04
SUNTRUST BANKS INC 5 875% DEP SHS PERP PFD SER E	STIPRE	49 000	$21 000	$1,029.00	$1,138 60	-$109 60	$71 98
STATE STREET CORPORATION 5 25% NON CUM PERPETUAL PFD SER C	STTPRC	184 000	$20 700	$3,808.80	$4,388 27	-$579 47	$241 59
STANLEY BLACK & DECKER INC 5 75% JR SUB DEB DUE 2052	SWJ	163 000	$21 380	$3,484 94	$3,996 92	-$511 98	$234 39
TCF FINANCIAL CORPORATION 7 5% SERIES A NON CUM PERPETUAL PREFERRED STOCK	TCBPRB	76 000	$24 668	$1,874 78	$2,002 02	-$127 24	$142 50
TELEPHONE & DATA SYSTEMS INC 6 875% SENIOR NOTES 11/15/59	TDE	52 000	$22 640	$1,177 28	$1,348 10	-$170 82	$89.39

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
TELEPHONE & DATA SYSTEMS INC PFD 7 00%	TDJ	89 000	$23 100	$2,055 90	$2,353 10	-$297 20	$155 75
TORCHMARK CORPORATION 5 875% JUNIOR SUBORDINATED DEBENTURES DUE 2052	TMKPRB	32 000	$21 690	$694 08	$791 09	-$97 01	$47
UBS PFD FDG TR IV TR PFD SECS FLTG RATE	UBSPRD	233 000	$15 100	$3,518 30	$3,687 43	-$169 13	$52 19
US BANCORP DEL DEPOSITARY SHS REPSTG 1/1000TH PFD SER B	USBPRH	43 000	$19 440	$835 92	$997 34	-$161 42	$38 44
US BANCORP DEL DEPOSITARY SHARES REPSTG 6 5% 1/1000TH NON CUM PERP PFD SER	USBPRM	173 000	$26 300	$4,549 90	$4,779 23	-$229.33	$281 13
US BANCORP DEL 6 00% SERIES G NON CUM PERPET PFD STOCK	USBPRN	66 000	$27 370	$1,806 42	$1,806 78	-$0 36	$99 00
US BANCORP 5 15% NON CUMULATIVE PERP PFD DEP REP 1/1000TH INT SER H	USBPRO	86.000	$19 970	$1,717 42	$2,074 51	-$357 09	$110 68
UNITED STATES CELLULAR CORP 6 95% SENIOR NOTES DUE 2060	UZA	45 000	$22 650	$1,019 25	$1,193 14	-$173 89	$78.17
VORNADO REALTY L P 7 875% PUBLIC INCOME NOTES (PINES) 10/01/2039	VNOD	253 000	$25.990	$6,575 47	$6,884 61	-$309.14	$498
VORNADO RLTY TR PFD CUMULATIVE RED SER 6 875%	VNOPRJ	62 000	$24.060	$1,491 72	$1,649.76	-$158.04	$106 58
VORNADO REALTY TRUST 5 70% SERIES K CUMULATIVE REDEEMABLE PREFERRED SHARES	VNOPRK	69 000	$19 760	$1,363 44	$1,684 86	-$321 42	$98 33
VORNADO REALTY TRUST 5 4% SER L CUMULATIVE RDMBL PFD SHS	VNOPRL	53 000	$18 750	$993 75	$1,238.59	-$244 84	$71 55
VENTAS REALTY LP VENTAS CAPITAL CORP 5 45% SR NTS DUE 2043	VTRB	75 000	$21 340	$1,600 50	$1,818 32	-$217 82	$102 15
WELLS FARGO & COMPANY DEP SH 1/40TH INT 8% NON-CUMLT PERPETUAL CL A PFD SER J	WFCPRJ	23 000	$27 960	$643 08	$678 02	-$34 94	$46 00

L J KING & E R KING FOUNDATION
SPECTRUM PREFERREDS

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
WELLS FARGO & COMPANY DEP SHS REPSTG 1/1000TH PERP PFD CL A SER N	WFCPRN	48 000	$20 080	$963 84	$1,087.19	-$123 35	$62 40
WELLS FARGO & COMPANY 5 125% DPSTRY SHS RPRSNTNG 1/1000TH PERP PFD CLS A SER O	WFCPRO	77 000	$20 300	$1,563 10	$1,726 92	-$163.82	$98
WELLS FARGO & COMPANY 5 25% DEP SHS REPSTG 1/1000TH SHS NON CUM PERP CL A PFD SER P	WFCPRP	35 000	$20 130	$704 55	$815 20	-$110.65	$45 96
WELLS FARGO & COMPANY 5 85% DEP SHS RPSTG 1/1000TH INT PRP PFD CL A SER Q FXD TO FLOATING	WFCPRQ	323 000	$23 570	$7,613 11	$7,945 94	-$332 83	$472 23
W R BERKLEY CORPORATION 5 625% SUBORDINATED DEBENTURES DUE 2053	WRBPRB	88 000	$20 610	$1,813 68	$2,107 35	-$293 67	$123.73
WEINGARTEN REALTY INVESTORS SENIOR NOTES 8 10% DUE 2019 OPTIONAL REDEMPTION 9/15/14	WRD	26 000	$20 770	$540 02	$581.20	-$41.18	$42 12
WEINGARTEN RLTY INVS 6 50 PFD SER F	WRIPRF	62 000	$22 690	$1,406 78	$1,556 72	-$149 94	$100 75
TOTAL TAXABLE FIXED INCOME		**20,668.000**		**$473,143.49**	**$517,607.03**	**-$44,463.54**	**$32,193.85**

450·
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-57249

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients, the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information

Please see Form 1099-B for information reported to the IRS

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
80 624	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	11/01/12	08/06/13	800 64	808 66	-8 02
78 306	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	12/03/12	08/06/13	777 62	784 63	-7 01
73 874	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	01/02/13	08/06/13	733 61	740 22	-6 61
68 043	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	02/01/13	08/06/13	675.71	681 79	-6.08
40 245	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	05/01/13	08/06/13	399 66	404.06	-4 40
40 977	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	03/01/13	08/06/13	406 93	411 00	-4 07
40 380	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	06/03/13	08/06/13	401.00	404.20	-3 20
26 539	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	04/01/13	08/06/13	263.55	266 19	-2 64
50 643	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	08/01/13	08/06/13	502 91	505 42	-2 51
24 101	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	10/01/12	08/06/13	239 34	241 49	-2 15
45 662	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	07/01/13	08/06/13	453.45	454.79	-1 34
31.936	PIONEER MULTI ASSET	☐	10/01/12	05/20/13	322 55	322.23	0 32

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ULTRASHORT INCOME FD CL A SYMBOL. MAFRX, CUSIP· 72388E407						
89 611	PIONEER MULTI ASSET ULTRASHORT INCOME FD CL A SYMBOL MAFRX, CUSIP 72388E407	☐	05/01/13	05/20/13	905 07	904 17	0.90
104 475	PIONEER MULTI ASSET ULTRASHORT INCOME FD CL A SYMBOL MAFRX, CUSIP 72388E407	☐	03/01/13	05/20/13	1,055 20	1,054.15	1.05
109 319	PIONEER MULTI ASSET ULTRASHORT INCOME FD CL A SYMBOL MAFRX, CUSIP 72388E407	☐	02/01/13	05/20/13	1,104 12	1,103 03	1 09
111 626	PIONEER MULTI ASSET ULTRASHORT INCOME FD CL A SYMBOL MAFRX, CUSIP 72388E407	☐	11/02/12	05/20/13	1,127 42	1,126 31	1 11
94 718	PIONEER MULTI ASSET ULTRASHORT INCOME FD CL A SYMBOL MAFRX, CUSIP 72388E407	☐	04/01/13	05/20/13	956.65	954 76	1 89
109 072	PIONEER MULTI ASSET ULTRASHORT INCOME FD CL A SYMBOL MAFRX, CUSIP 72388E407	☐	12/03/12	05/20/13	1,101 63	1,099 45	2 18
226.537	PIONEER MULTI ASSET ULTRASHORT INCOME FD CL A SYMBOL MAFRX, CUSIP 72388E407	☐	01/02/13	05/20/13	2,288 02	2,283.49	4.53
	Short-Term Subtotal				**14,515.08**	14,550.04	**-34.96**

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
57,471 264	AMERICAN CENTY INVT TR SHORT DURATION FD CL A ORIGINAL COST 602,298 84 WASH SALE LOSS DISALLOWED 5 80 SYMBOL ACSQX, CUSIP 024932477	☐	05/18/12	10/04/13	600,000 00	602,293.04	-2,293 05
100,603 000	COLUMBIA FDS SER TR SHORT TERM BD FD CL A SYMBOL NSTRX, CUSIP 19765H396	☐	05/18/12	08/06/13	999,046 11	999,993.82	-947.71
99,800 000	PIONEER MULTI ASSET	☐	05/18/12	05/20/13	1,007,980 00	999,996.00	7,984 00

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	ULTRASHORT INCOME FD CL A SYMBOL. MAFRX, CUSIP 72388E407						
	Long-Term Subtotal				**2,607,026.11**	2,602,282.87	**4,743.24**
Total Gains and Losses					**2,621,541.19**		**4,708.28**
	Short-Term						**-34.96**
	Long-Term						**4,743.24**
	Term Unknown						**0.00**

L J KING & E R KING FOUNDATION
PRIME INCOME
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64838

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account "Short-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients, the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time In the case of bonds purchased at a premium, cost basis information may be amortized In addition, cost basis information may reflect accretion for zero coupon and OID bonds Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information

Please see Form 1099-B for information reported to the IRS

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
13 000	ALTRIA GROUP INC SYMBOL MO, CUSIP 02209S103	☐	12/03/12	06/28/13	462 02	438 86	23 16
50 000	ANNALY CAPITAL MANAGEMENT INC SYMBOL NLY, CUSIP 035710409	☐	12/03/12	03/12/13	766 55	730 45	36 10
1,257 000	ANNALY CAPITAL MANAGEMENT INC SYMBOL NLY, CUSIP. 035710409	☐	05/24/13	12/19/13	12,447.73	18,100 17	-5,652 44
985 000	ANNALY CAPITAL MANAGEMENT INC SYMBOL NLY, CUSIP 035710409	☐	02/08/13	12/19/13	9,754 19	14,486 89	-4,732 70
1,427 000	ANNALY CAPITAL MANAGEMENT INC SYMBOL NLY, CUSIP 035710409	☐	08/13/13	12/19/13	14,131 19	16,695 33	-2,564 14
744 000	ANNALY CAPITAL MANAGEMENT INC SYMBOL NLY, CUSIP 035710409	☐	10/08/13	12/19/13	7,367 63	8,488 15	-1,120 52
305 000	ANNALY CAPITAL MANAGEMENT INC SYMBOL NLY, CUSIP 035710409	☐	06/28/13	12/19/13	3,020 33	3,855 87	-835 54
128 000	BRISTOL MYERS SQUIBB CO SYMBOL BMY, CUSIP 110122108	☐	05/24/13	06/28/13	5,797 26	6,082 44	-285.18
315 000	BRISTOL MYERS SQUIBB CO SYMBOL BMY, CUSIP 110122108	☐	02/08/13	06/28/13	14,266 70	11,648 23	2,618 47
709 000	BRISTOL MYERS SQUIBB CO SYMBOL BMY, CUSIP 110122108	☐	12/03/12	06/28/13	32,111 40	23,225 78	8,885.62
327 000	CENTURYLINK INC SYMBOL CTL, CUSIP 156700106	☐	02/08/13	12/19/13	10,005 53	13,514 42	-3,508 89
336 000	CENTURYLINK INC SYMBOL CTL, CUSIP 156700106	☐	05/24/13	12/19/13	10,280 92	12,552.38	-2,271 46
464 000	CENTURYLINK INC SYMBOL CTL, CUSIP 156700106	☐	08/13/13	12/19/13	14,197 46	15,743.24	-1,545 78
203 000	CENTURYLINK INC SYMBOL CTL, CUSIP 156700106	☐	03/12/13	12/19/13	6,211 39	7,069.27	-857 88
352 000	CENTURYLINK INC SYMBOL CTL, CUSIP 156700106	☐	10/08/13	12/19/13	10,770 48	11,030 98	-260 50

PRIME INCOME
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64838

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
17 000	CENTURYLINK INC SYMBOL CTL, CUSIP: 156700106	☐	06/28/13	12/19/13	520 17	606.69	-86.52
178 000	DARDEN RESTAURANTS INC SYMBOL DRI, CUSIP 237194105	☐	06/28/13	12/19/13	8,853.29	9,006 09	-152.80
12 000	DIAMOND OFFSHORE DRILLING INC SYMBOL DO, CUSIP· 25271C102	☐	12/03/12	06/28/13	823 19	823 30	-0 11
8 000	DOMINION RESOURCES INC VA NEW SYMBOL D, CUSIP 25746U109	☐	12/03/12	03/12/13	447 98	406.79	41 19
5 000	DOMINION RESOURCES INC VA NEW SYMBOL D, CUSIP 25746U109	☐	12/03/12	06/28/13	284 80	254.24	30 56
25 000	ENERGY TRANSFER PARTNERS L P UNIT LTD PARTNERSHIP INT SYMBOL ETP, CUSIP 29273R109	☑	03/21/13	06/28/13	1,263 82	1,233 20	30 62
13 000	ENTERPRISE PRODUCTS PARTNERS LP SYMBOL EPD, CUSIP 293792107	☑	12/03/12	03/12/13	744 88	671 01	73 87
27 000	ENTERPRISE PRODUCTS PARTNERS LP SYMBOL EPD, CUSIP 293792107	☑	12/03/12	06/28/13	1,667 23	1,393 65	273 58
329 000	FIRSTENERGY CORP SYMBOL FE, CUSIP 337932107	☐	05/24/13	12/19/13	10,364.11	13,998 69	-3,634 58
375 000	FIRSTENERGY CORP SYMBOL FE, CUSIP 337932107	☐	02/08/13	12/19/13	11,813 19	15,074 44	-3,261 25
368 000	FIRSTENERGY CORP SYMBOL FE, CUSIP 337932107	☐	08/13/13	12/19/13	11,592 68	13,674 66	-2,081 98
166 000	FIRSTENERGY CORP SYMBOL FE, CUSIP 337932107	☐	10/08/13	12/19/13	5,229 31	6,256 13	-1,026 82
131 000	FIRSTENERGY CORP SYMBOL FE, CUSIP 337932107	☐	06/28/13	12/19/13	4,126.74	4,919 39	-792 65
7 000	FIRSTENERGY CORP SYMBOL FE, CUSIP 337932107	☐	12/21/12	12/19/13	220 51	290 68	-70 17
225 000	H J HEINZ CO CUSIP 423074103	☐	02/08/13	03/12/13	16,311 71	13,693 16	2,618 55
388 000	H J HEINZ CO CUSIP 423074103	☐	12/03/12	03/12/13	28,128 63	22,693 54	5,435 10
18 000	HEALTH CARE REIT INC SYMBOL HCN, CUSIP 42217K106	☐	12/21/12	03/12/13	1,172.49	1,084 15	88 34
8 000	KINDER MORGAN ENERGY PARTNERS LP-UNITS LTD PARTNERSHIP INT SYMBOL KMP, CUSIP 494550106	☑	12/03/12	06/28/13	683 36	650 22	33 14

L J KING & E R KING FOUNDATION
PRIME INCOME
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64838

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
29 000	LOCKHEED MARTIN CORP SYMBOL LMT, CUSIP 539830109	☐	12/21/12	06/28/13	3,120 39	2,697.08	423.31
71 000	LOCKHEED MARTIN CORP SYMBOL LMT, CUSIP 539830109	☐	12/21/12	12/19/13	10,135.65	6,603 20	3,532.45
59 000	LORILLARD INC SYMBOL LO, CUSIP 544147101	☐	12/03/12	06/28/13	2,578 30	2,399 92	178 38
36 000	MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105	☐	12/03/12	03/12/13	1,620 48	1,607 35	13 13
52 000	MERCK & CO INC SYMBOL MRK, CUSIP 58933Y105	☐	12/03/12	06/28/13	2,453 33	2,321.72	131 61
65 000	MICROCHIP TECHNOLOGY INC SYMBOL MCHP, CUSIP 595017104	☐	12/03/12	03/12/13	2,392 08	1,945 80	446 28
98 000	MICROCHIP TECHNOLOGY INC SYMBOL MCHP, CUSIP 595017104	☐	12/03/12	06/28/13	3,650.43	2,899 04	751.39
53 000	NATIONAL RETAIL PROPERTIES INC SYMBOL NNN, CUSIP 637417106	☐	12/03/12	03/12/13	1,848 51	1,624 47	224.04
13 000	PLAINS ALL AMERICAN PIPELINE L P UNIT LTD PARTNERSHIP INT SYMBOL PAA, CUSIP 726503105	☑	12/03/12	03/12/13	702 74	608 60	94 14
321 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS SYMBOL RDS B, CUSIP 780259107	☐	12/03/12	03/21/13	21,507 32	22,231 95	-724 63
217 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS SYMBOL RDS B, CUSIP 780259107	☐	02/08/13	03/21/13	14,539 22	15,027 24	-488 02
24 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS SYMBOL RDS B, CUSIP 780259107	☐	03/12/13	03/21/13	1,608 02	1,628 24	-20 22
265 000	WILLIAMS PARTNERS L P COM UNIT LTD PARTNERSHIP INT SYMBOL WPZ, CUSIP 96950F104	☑	02/08/13	03/21/13	13,020 38	13,750.45	-730 07
458 000	WILLIAMS PARTNERS L P COM UNIT LTD PARTNERSHIP INT SYMBOL WPZ, CUSIP 96950F104	☑	12/03/12	03/21/13	22,503 14	23,205.72	-702 58
10 000	WILLIAMS PARTNERS L P COM UNIT LTD PARTNERSHIP INT SYMBOL WPZ, CUSIP 96950F104	☑	12/21/12	03/21/13	491 34	489.58	1 76
47 000	WILLIAMS PARTNERS L P COM UNIT LTD PARTNERSHIP INT SYMBOL WPZ, CUSIP 96950F104	☑	03/12/13	03/21/13	2,309 27	2,300 73	8 54
	Short-Term Subtotal				**360,319.47**	371,733.57	**-11,414.10**

PRIME INCOME
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64838

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
145 000	ALTRIA GROUP INC SYMBOL MO, CUSIP 02209S103	☐	12/03/12	12/19/13	5,516.34	4,894 98	621.36
1,514.000	ANNALY CAPITAL MANAGEMENT INC SYMBOL. NLY, CUSIP 035710409	☐	12/03/12	12/19/13	14,992 73	22,118 03	-7,125 30
581 000	CENTURYLINK INC SYMBOL CTL, CUSIP 156700106	☐	12/03/12	12/19/13	17,777 42	22,675 56	-4,898 14
549 000	FIRSTENERGY CORP SYMBOL FE, CUSIP 337932107	☐	12/03/12	12/19/13	17,294 52	23,187 29	-5,892 77
122 000	LORILLARD INC SYMBOL LO, CUSIP 544147101	☐	12/03/12	12/19/13	6,058 42	4,962.55	1,095 87
96 000	MICROCHIP TECHNOLOGY INC SYMBOL MCHP, CUSIP 595017104	☐	12/03/12	12/19/13	4,099 58	2,771 86	1,327 72
	Long-Term Subtotal				**65,739.01**	80,610.27	**-14,871.26**
Total Gains and Losses					**426,058.48**	452,343.84	**-26,285.36**
	Short-Term						**-11,414.10**
	Long-Term						**-14,871.26**
	Term Unknown						**0.00**

L J KING & E R KING FOUNDATION
POLEN LARGE CAP GROWTH
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

PAGE
7 of 9
RECIPIENT'S ACCOUNT NUMBER
314-64841

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
374 000	ABBVIE INC SYMBOL· ABBV, CUSIP 00287Y109	☐	12/04/12	01/09/13	12,645.73	12,621 67	24 06
30 000	APPLE INC ORIGINAL COST 17,248 50 WASH SALE LOSS DISALLOWED 2,610 05 SYMBOL AAPL, CUSIP 037833100	☐	12/04/12	01/25/13	13,333 43	14,638 45	-1,305 02
96 000	C H ROBINSON WORLDWIDE INC SYMBOL CHRW, CUSIP 12541W209	☐	02/06/13	12/23/13	5,469 78	5,806.90	-337.12
102.000	C H ROBINSON WORLDWIDE INC SYMBOL CHRW, CUSIP 12541W209	☐	05/24/13	12/23/13	5,811 64	5,985 24	-173 60
55.000	C H ROBINSON WORLDWIDE INC SYMBOL· CHRW, CUSIP 12541W209	☐	10/08/13	12/23/13	3,133 73	3,192.19	-58.46
96 000	C H ROBINSON WORLDWIDE INC SYMBOL· CHRW, CUSIP· 12541W209	☐	08/13/13	12/23/13	5,469 78	5,407.67	62.11
330 000	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CL A SYMBOL CTSH, CUSIP 192446102	☐	12/04/12	04/25/13	20,930 44	22,099 28	-1,168.84
237 000	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CL A SYMBOL CTSH, CUSIP. 192446102	☐	02/06/13	05/15/13	14,771 97	18,149 10	-3,377 13
95 000	COGNIZANT TECHNOLOGY SOLUTIONS CORP-CL A SYMBOL CTSH, CUSIP 192446102	☐	12/04/12	05/15/13	5,921.25	6,361 91	-440 66
79 000	FACTSET RESEARCH SYSTEMS INC SYMBOL FDS, CUSIP 303075105	☐	12/04/12	04/08/13	7,123 17	7,281 04	-157 87
133 000	INTUIT INC SYMBOL INTU, CUSIP 461202103	☐	02/06/13	10/07/13	8,761 62	8,340 37	421 25
383 000	INTUIT INC SYMBOL INTU, CUSIP· 461202103	☐	12/04/12	10/07/13	25,230 83	22,922 40	2,308 43
48 000	INTUIT INC SYMBOL INTU, CUSIP· 461202103	☐	10/08/13	11/26/13	3,495 20	3,139 20	356 00
76 000	INTUIT INC SYMBOL INTU, CUSIP 461202103	☐	02/06/13	11/26/13	5,534 07	4,765 92	768 15

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450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64841

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
197.000	INTUIT INC SYMBOL INTU, CUSIP 461202103	☐	08/13/13	11/26/13	14,344 89	12,816.72	1,528.17
201 000	INTUIT INC SYMBOL: INTU, CUSIP: 461202103	☐	05/24/13	11/26/13	14,636.16	11,647 71	2,988.45
21 000	INTUITIVE SURGICAL INC COM ORIGINAL COST 11,062.38 WASH SALE LOSS DISALLOWED: 308 76 SYMBOL ISRG, CUSIP. 46120E602	☐	12/04/12	03/07/13	10,753.62	10,753 62	0 00
21 000	INTUITIVE SURGICAL INC COM ORIGINAL COST 11,968 85 WASH SALE REPLACEMENT ADDITION 308.76 WASH SALE LOSS DISALLOWED: 0 00 SYMBOL ISRG, CUSIP 46120E602	☐	02/06/13	07/09/13	8,737.13	12,277.62	-3,540 49
25 000	INTUITIVE SURGICAL INC COM SYMBOL ISRG, CUSIP 46120E602	☐	12/04/12	07/09/13	10,401.35	13,169 50	-2,768.15
15 000	INTUITIVE SURGICAL INC COM SYMBOL ISRG, CUSIP 46120E602	☐	05/24/13	07/09/13	6,240 81	7,556.25	-1,315 44
4 000	INTUITIVE SURGICAL INC COM SYMBOL ISRG, CUSIP 46120E602	☐	02/06/13	07/09/13	1,664 22	2,279 78	-615 57
846 000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☐	12/04/12	01/15/13	22,971 65	22,383 89	587 76
329 000	NIKE INC-CL B SYMBOL NKE, CUSIP 654106103	☐	12/04/12	10/03/13	23,599.15	16,058 24	7,540 91
201 000	STARBUCKS CORP SYMBOL: SBUX, CUSIP 855244109	☐	12/04/12	06/26/13	13,178 92	10,327 08	2,851.84
31 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	12/04/12	06/24/13	2,065 08	2,181 70	-116 62
112 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP. 92220P105	☐	12/04/12	06/26/13	7,385.89	7,882 28	-496 39
43 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	12/04/12	06/27/13	2,861 05	3,026 23	-165 18
28 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	12/04/12	09/03/13	1,988.33	1,970 57	17.76
39 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	12/04/12	09/04/13	2,797.56	2,744.72	52.84
32 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	02/06/13	09/05/13	2,296 72	2,280 27	16 45

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450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

PAGE
9 of 9
RECIPIENT'S ACCOUNT NUMBER
314-64841

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
49 000	VARIAN-MEDICAL SYSTEMS-INC SYMBOL. VAR, CUSIP· 92220P105	☐	12/04/12	09/05/13	3,516.85	3,448.50	68:35
65.000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP: 92220P105	☐	02/06/13	09/06/13	4,674.68	4,631.80	42 88
61 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	02/06/13	09/09/13	4,411 08	4,346 77	64.32
59 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	05/24/13	09/09/13	4,266.46	3,994.95	271 51
41 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	08/13/13	09/10/13	3,010 62	3,030.28	-19 66
96 000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	05/24/13	09/10/13	7,049 26	6,500.26	549 00
68.000	VARIAN MEDICAL SYSTEMS INC SYMBOL VAR, CUSIP 92220P105	☐	08/13/13	09/11/13	5,056 44	5,025 82	30 62
	Short-Term Subtotal				**315,540.56**	311,045.90	**4,494.66**

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
194 000	C H ROBINSON WORLDWIDE INC SYMBOL CHRW, CUSIP 12541W209	☐	12/04/12	12/23/13	11,053 51	11,853 13	-799.62
	Long-Term Subtotal				**11,053.51**	11,853.13	**-799.62**

Total Gains and Losses	**326,594.07**	**3,695.04**
Short-Term		**4,494.66**
Long-Term		**-799.62**
Term Unknown		**0.00**

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26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

PAGE
RECIPIENT'S ACCOUNT NUMBER
314-64845

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5 000	AFFILIATED MANAGERS GROUP INC SYMBOL AMG, CUSIP 008252108	☐	12/04/12	04/02/13	760 78	635.80	124.98
14 000	AFFILIATED MANAGERS GROUP INC SYMBOL AMG, CUSIP 008252108	☐	12/04/12	08/07/13	2,538 10	1,780 24	757 86
22 000	AFFILIATED MANAGERS GROUP INC SYMBOL AMG, CUSIP 008252108	☐	08/13/13	08/22/13	3,880.84	3,982 22	-101.38
27 000	AFFILIATED MANAGERS GROUP INC SYMBOL AMG, CUSIP· 008252108	☐	05/24/13	08/22/13	4,762 85	4,368 60	394.25
31 000	AFFILIATED MANAGERS GROUP INC SYMBOL AMG, CUSIP 008252108	☐	02/06/13	08/22/13	5,468 46	4,485 08	983 38
40 000	AFFILIATED MANAGERS GROUP INC SYMBOL AMG, CUSIP 008252108	☐	12/04/12	08/22/13	7,056 08	5,086 40	1,969 68
17 000	AGCO CORP SYMBOL AGCO, CUSIP 001084102	☐	12/04/12	05/14/13	960 66	776.16	184.50
12 000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL BR, CUSIP 11133T103	☐	12/04/12	04/02/13	292 32	281 88	10 44
158 000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL BR, CUSIP 11133T103	☐	12/04/12	11/12/13	5,713 05	3,711 42	2,001 63
8 000	CENTENE CORP DEL ORIGINAL COST 360 38 WASH SALE LOSS DISALLOWED 4 15 SYMBOL CNC, CUSIP 15135B101	☐	12/04/12	01/25/13	356 23	356 23	0 00
27 000	CENTENE CORP DEL SYMBOL· CNC, CUSIP 15135B101	☐	12/04/12	04/24/13	1,275 79	1,216 30	59 49
22 000	CENTENE CORP DEL SYMBOL. CNC, CUSIP 15135B101	☐	12/04/12	07/09/13	1,210 41	991 06	219.35
24 000	CENTENE CORP DEL SYMBOL CNC, CUSIP 15135B101	☐	12/04/12	07/26/13	1,353.98	1,081 15	272.83
25.000	CHICAGO BRIDGE & IRON CO NV SYMBOL CBI, CUSIP 167250109	☐	12/04/12	01/25/13	1,276 54	1,021 91	254 63

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
12 000	CHICAGO BRIDGE & IRON CO NV SYMBOL CBI, CUSIP 167250109	☐	12/04/12	02/28/13	660 00	490.52	169 48
25 000	CHICAGO BRIDGE & IRON CO NV SYMBOL· CBI, CUSIP· 167250109	☐	12/04/12	04/02/13	1,512.27	1,021.91	490 36
17 000	COLUMBIA SPORTSWEAR CO SYMBOL· COLM, CUSIP: 198516106	☐	12/04/12	04/02/13	982.65	977.50	5 15
44 000	COLUMBIA SPORTSWEAR CO SYMBOL· COLM, CUSIP· 198516106	☐	12/04/12	07/09/13	2,862.44	2,530.00	332 44
57 000	COLUMBIA SPORTSWEAR CO SYMBOL· COLM, CUSIP: 198516106	☐	12/04/12	07/26/13	3,652.39	3,277.50	374 89
14 000	COLUMBIA SPORTSWEAR CO SYMBOL COLM, CUSIP 198516106	☐	12/04/12	08/07/13	887.29	805 00	82 29
57.000	COLUMBIA SPORTSWEAR CO SYMBOL COLM, CUSIP· 198516106	☐	05/24/13	08/07/13	3,612.54	3,377 25	235 29
70.000	COLUMBIA SPORTSWEAR CO SYMBOL COLM, CUSIP 198516106	☐	02/06/13	08/07/13	4,436.45	3,439.80	996.65
35 000	DARLING INTERNATIONAL INC SYMBOL· DAR, CUSIP. 237266101	☐	12/04/12	04/02/13	623.70	593.92	29 78
4 000	FLOWSERVE CORP SYMBOL FLS, CUSIP 34354P105	☐	12/04/12	04/02/13	651.80	559 84	91 96
17 000	IDEX CORP SYMBOL IEX, CUSIP 45167R104	☐	12/04/12	04/02/13	887 60	759 66	127 94
58 000	NORDSON CORP SYMBOL NDSN, CUSIP 655663102	☐	12/04/12	07/26/13	4,170 47	3,567 00	603 47
31.000	NORDSON CORP SYMBOL NDSN, CUSIP· 655663102	☐	12/04/12	08/07/13	2,258 17	1,906 50	351 67
20 000	NORDSON CORP SYMBOL NDSN, CUSIP 655663102	☐	08/13/13	10/31/13	1,458 19	1,477.00	-18 81
1 000	NORDSON CORP SYMBOL: NDSN, CUSIP 655663102	☐	12/04/12	10/31/13	72 91	61 50	11.41
10.000	NORDSON CORP SYMBOL· NDSN, CUSIP 655663102	☐	10/08/13	10/31/13	729 09	715.30	13.79
48 000	NORDSON CORP SYMBOL NDSN, CUSIP 655663102	☐	02/06/13	10/31/13	3,499 64	3,326.40	173 24
42 000	NORDSON CORP SYMBOL NDSN, CUSIP 655663102	☐	05/24/13	10/31/13	3,062 19	2,855.58	206 61
27 000	OGE ENERGY CORP SYMBOL OGE, CUSIP 670837103	☐	12/04/12	04/02/13	1,908 04	1,531 71	376 33
42.000	OGE ENERGY CORP SYMBOL OGE, CUSIP 670837103	☐	12/04/12	07/26/13	1,581 69	1,191 33	390 36

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450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64845

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
30 000	OGE ENERGY CORP SYMBOL· OGE, CUSIP· 670837103	☐	12/04/12	11/12/13	1,129.63	850 95	278 68
1 000	SAFEWAY INC SYMBOL· SWY, CUSIP 786514208	☐	12/04/12	03/12/13	23.96	16 74	7.22
78.000	SAFEWAY INC SYMBOL SWY, CUSIP 786514208	☐	12/04/12	04/02/13	2,013.97	1,305.60	708 37
26 000	SAFEWAY INC SYMBOL· SWY, CUSIP· 786514208	☐	12/04/12	07/26/13	673 14	435 20	237 94
92 000	SAFEWAY INC SYMBOL SWY, CUSIP 786514208	☐	12/04/12	09/18/13	2,851.09	1,539 94	1,311.15
149.000	SAFEWAY INC SYMBOL: SWY, CUSIP 786514208	☐	12/04/12	09/24/13	4,798.65	2,494.04	2,304.61
44 000	SMITHFIELD FOODS INC CUSIP 832248108	☐	12/04/12	04/02/13	1,141 77	997 88	143 89
234 000	SMITHFIELD FOODS INC CUSIP· 832248108	☐	12/04/12	05/30/13	7,745.26	5,306.88	2,438 38
59 000	SMITHFIELD FOODS INC CUSIP· 832248108	☐	12/04/12	06/06/13	1,941.21	1,338.06	603.15
145.000	SMITHFIELD FOODS INC CUSIP. 832248108	☐	05/24/13	06/06/13	4,770 78	3,762.75	1,008 03
177 000	SMITHFIELD FOODS INC CUSIP 832248108	☐	02/06/13	06/06/13	5,823.64	4,228.53	1,595 11
10 000	THOR INDUSTRIES INC SYMBOL THO, CUSIP 885160101	☐	12/04/12	04/02/13	367 34	363 16	4.18
46 000	THOR INDUSTRIES INC SYMBOL THO, CUSIP 885160101	☐	12/04/12	06/17/13	2,237 45	1,670 53	566 92
15 000	THOR INDUSTRIES INC SYMBOL THO, CUSIP 885160101	☐	12/04/12	07/09/13	768 03	544.74	223 29
16.000	TIMKEN CO SYMBOL TKR, CUSIP 887389104	☐	12/04/12	01/25/13	844.16	717 51	126.65
89 000	TIMKEN CO SYMBOL TKR, CUSIP 887389104	☐	12/04/12	04/24/13	4,637 11	3,991 16	645 95
36 000	TIMKEN CO SYMBOL. TKR, CUSIP· 887389104	☐	12/04/12	05/10/13	2,040 57	1,614.40	426.17
25 000	TRINITY INDUSTRIES INC SYMBOL TRN, CUSIP 896522109	☐	12/04/12	01/25/13	982 26	800.16	182 10
31 000	TRINITY INDUSTRIES INC SYMBOL. TRN, CUSIP 896522109	☐	12/04/12	02/28/13	1,340.85	992 20	348 65
65.000	TRINITY INDUSTRIES INC SYMBOL TRN, CUSIP 896522109	☐	12/04/12	09/10/13	2,917 37	2,080 42	836 95

SOUTHERNSUN SMID CAP
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26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

PAGE
12 of 12
RECIPIENT'S ACCOUNT NUMBER
314-64845

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
28.000	URS CORPORATION SYMBOL URS, CUSIP 903236107	☐	12/04/12	02/28/13	1,197.89	1,053 33	144.56
13 000	URS CORPORATION SYMBOL URS, CUSIP 903236107	☐	12/04/12	04/02/13	603 50	489 05	114.45
	Short-Term Subtotal				**127,265.24**	100,832.90	**26,432.34**

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
60 000	BROADRIDGE FINANCIAL SOLUTIONS INC SYMBOL BR, CUSIP 11133T103	☐	12/04/12	12/13/13	2,316 55	1,409 40	907 15
49.000	CHICAGO BRIDGE & IRON CO NV SYMBOL CBI, CUSIP 167250109	☐	12/04/12	12/31/13	4,038 46	2,002.95	2,035.51
33 000	FLOWSERVE CORP SYMBOL. FLS, CUSIP 34354P105	☐	12/04/12	12/31/13	2,589 19	1,539.56	1,049 63
39 000	PENTAIR LTD SHS SYMBOL PNR, CUSIP H6169Q108	☐	12/04/12	12/31/13	3,021 76	1,875 84	1,145 92
88 000	TRINITY INDUSTRIES INC SYMBOL TRN, CUSIP 896522109	☐	12/04/12	12/31/13	4,840 09	2,816 57	2,023 52
	Long-Term Subtotal				**16,806.05**	9644.32	**7,161.73**
'otal Gains and Losses					**144,071.29**		**33,594.07**
	Short-Term						**26,432.34**
	Long-Term						**7,161.73**
	Term Unknown						**0.00**

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Schedule of Realized Gains and Losses

ııs section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. ;hort-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year. RBC provides the llowing Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or .her purposes and may rely on information, such as the original cost basis for a security, that you or another source at your rection provided to RBC Cost basis information may reflect a change in the value of certain fixed income and other securities that :turn or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized In ddition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 : consult your tax advisor for additional information.

lease see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
80 000	ABB LTD SPONSORED ADR SYMBOL ABB, CUSIP 000375204	☐	12/03/12	08/15/13	1,794.36	1,557 48	236 88
20 000	BHP BILLITON LTD SPONSORED ADR SYMBOL BHP, CUSIP 088606108	☐	12/03/12	04/03/13	1,336.82	1,434 60	-97.78
15 000	BHP BILLITON LTD SPONSORED ADR ORIGINAL COST 1,075 95 WASH SALE LOSS DISALLOWED 122 55 SYMBOL BHP, CUSIP 088606108	☐	12/03/12	08/02/13	953.40	953 40	0.00
20 000	BHP BILLITON LTD SPONSORED ADR ORIGINAL COST 1,434 60 WASH SALE LOSS DISALLOWED 79 41 SYMBOL BHP, CUSIP 088606108	☐	12/03/12	08/15/13	1,355.19	1,355 19	0 00
50 000	BOC HONG KONG HOLDINGS LIMITED SPONSORED ADR 1 20 SYMBOL BHKLY, CUSIP 096813209	☐	12/03/12	08/15/13	3,195.00	3,068 50	126.50
125 000	CANADIAN OIL SANDS LIMITED SYMBOL COSWF, CUSIP 13643E105	☐	12/03/12	01/29/13	2,703 46	2,558 25	145 21
0 848	COMPANHIA ENERGETICA DE MINAS GERAIS CEMIG SPONS ADR NEW SYMBOL CIG, CUSIP 204409601	☐	12/03/12	05/14/13	8 97	8 94	0 03
247 432	COMPANHIA ENERGETICA DE MINAS GERAIS CEMIG SPONS ADR NEW SYMBOL CIG, CUSIP 204409601	☐	12/03/12	07/03/13	2,145.17	2,606.84	-461 67
180 568	COMPANHIA ENERGETICA DE MINAS GERAIS CEMIG SPONS ADR NEW SYMBOL CIG, CUSIP 204409601	☐	02/06/13	07/03/13	1,565 48	1,788 64	-223 16

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5 000	DIAGEO PLC-SPONSORED ADR REPSTG 4 ORD SHS SYMBOL DEO, CUSIP. 25243Q205	☐	12/03/12	01/02/13	591.03	599.10	-8 07
20 000	DIAGEO PLC-SPONSORED ADR REPSTG 4 ORD SHS SYMBOL DEO, CUSIP. 25243Q205	☐	12/03/12	03/15/13	2,424 62	2,396 40	28.22
10.000	DIAGEO PLC-SPONSORED ADR REPSTG 4 ORD SHS SYMBOL DEO, CUSIP 25243Q205	☐	02/06/13	04/03/13	1,263.48	1,177.90	85.58
15 000	DIAGEO PLC-SPONSORED ADR REPSTG 4 ORD SHS SYMBOL DEO, CUSIP 25243Q205	☐	12/03/12	04/03/13	1,895 23	1,797.30	97.93
0 021	H&R REAL ESTATE INVESTMENT TRUST STAPLED UNITS SYMBOL HRUFF, CUSIP 404428203	☑	04/11/13	04/22/13	0.49	0.49	0 00
52 000	H&R REAL ESTATE INVESTMENT TRUST STAPLED UNITS SYMBOL HRUFF, CUSIP. 404428203	☑	04/11/13	04/26/13	1,228 83	1,191.32	37 51
525 000	ISRAEL CHEMICALS LIMITED UNSPONSORED ADR SYMBOL ISCHY, CUSIP 465036200	☐	12/05/12	08/02/13	4,168.42	6,641.25	-2,472.83
200.000	ISRAEL CHEMICALS LIMITED UNSPONSORED ADR SYMBOL ISCHY, CUSIP 465036200	☐	02/07/13	08/02/13	1,587 97	2,676.00	-1,088.03
175 000	ISRAEL CHEMICALS LIMITED UNSPONSORED ADR SYMBOL ISCHY, CUSIP 465036200	☐	05/24/13	08/20/13	1,312 65	1,907 50	-594 85
70 000	ISRAEL CHEMICALS LIMITED UNSPONSORED ADR SYMBOL ISCHY, CUSIP 465036200	☐	02/07/13	08/20/13	525 06	936 60	-411 54
675 000	ISRAEL CHEMICALS LIMITED UNSPONSORED ADR SYMBOL ISCHY, CUSIP 465036200	☐	05/24/13	11/06/13	5,703 65	7,357.50	-1,653 85
10 000	MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR SYMBOL MURGY, CUSIP 626188106	☐	12/03/12	01/02/13	182.09	172.30	9 79
195 000	MUENCHENER RUECKVERSICHERUNGS GESELLSCHAFT AG ADR SYMBOL MURGY, CUSIP 626188106	☐	12/03/12	08/15/13	3,687 38	3,359 85	327 53
75 000	P T TELEKOMUNIKASI	☐	12/03/12	04/26/13	3,516.01	2,753 14	762 87

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SCHAFER CULLEN INTL HIGH DIV
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64865

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	INDONESIA SPONSORED ADR SYMBOL TLK, CUSIP 715684106						
35.000	P T TELEKOMUNIKASI INDONESIA SPONSORED ADR SYMBOL TLK, CUSIP 715684106	☐	12/03/12	08/15/13	1,593.94	1,284 80	309 14
20.000	PETROCHINA CO ADS EACH REPR 100 ORD HKD0 SYMBOL PTR, CUSIP 71646E100	☐	12/03/12	03/15/13	2,716 93	2,641.20	75.73
15.000	PETROCHINA CO ADS EACH REPR 100 ORD HKD0 SYMBOL PTR, CUSIP 71646E100	☐	12/03/12	04/03/13	1,958 20	1,980 90	-22.70
15 000	PETROCHINA CO ADS EACH REPR 100 ORD HKD0 ORIGINAL COST 1,980.89 WASH SALE LOSS DISALLOWED 83 45 SYMBOL PTR, CUSIP 71646E100	☐	12/03/12	04/30/13	1,897.45	1,897 45	0.00
30 000	PETROCHINA CO ADS EACH REPR 100 ORD HKD0 SYMBOL PTR, CUSIP 71646E100	☐	02/06/13	07/24/13	3,582.14	4,116.90	-534.76
15 000	PETROCHINA CO ADS EACH REPR 100 ORD HKD0 ORIGINAL COST 1,798 65 WASH SALE REPLACEMENT ADDITION 83 45 WASH SALE LOSS DISALLOWED. 0 00 SYMBOL PTR, CUSIP 71646E100	☐	05/24/13	07/24/13	1,791.07	1,882 10	-91 03
5.000	PETROCHINA CO ADS EACH REPR 100 ORD HKD0 SYMBOL PTR, CUSIP 71646E100	☐	12/03/12	07/24/13	597.02	660 30	-63.28
25 000	PETROCHINA CO ADS EACH REPR 100 ORD HKD0 SYMBOL PTR, CUSIP 71646E100	☐	05/24/13	07/24/13	2,985 12	2,997.75	-12 63
100 000	PRIMARIS RETAIL REAL ESTATE INVESTMENT TRUST UNIT CONTRA 74157U109 CASH SECURITY NUMBER 1104561	☐	12/03/12	04/11/13	2,718 61	2,317 00	401.61
80 000	PRIMARIS RETAIL REAL ESTATE INVESTMENT TRUST UNIT CUSIP 74157U109	☐	12/03/12	01/02/13	2,168 03	1,853.60	314 43
100.000	PRIMARIS RETAIL REAL ESTATE INVESTMENT TRUST UNIT CUSIP 74157U109	☐	12/03/12	01/10/13	2,697 28	2,317.00	380.28
265.000	RECKITT BENCKISER PLC SPONSORED ADR SYMBOL RBGLY, CUSIP 756255204	☐	12/03/12	09/18/13	3,826 53	3,386.70	439 83

SCHAFER CULLEN INTL HIGH DIV
450.
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64865

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
225.000	RIOCAN REAL ESTATE INVESTMENT TRUST UNITS SYMBOL· RIOCF, CUSIP. 766910103	☐	05/24/13	09/18/13	5,338.82	6,236 12	-897.30
145 000	RIOCAN REAL ESTATE INVESTMENT TRUST UNITS SYMBOL· RIOCF, CUSIP 766910103	☐	01/02/13	09/18/13	3,440.57	4,100 89	-660.32
90.000	RIOCAN REAL ESTATE INVESTMENT TRUST UNITS SYMBOL: RIOCF, CUSIP· 766910103	☐	02/06/13	09/18/13	2,135.53	2,451 06	-315 53
55 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS ORIGINAL COST 3,799 39 WASH SALE LOSS DISALLOWED 89 44 SYMBOL RDS B, CUSIP. 780259107	☐	12/03/12	08/15/13	3,635.43	3.709 96	-74.53
55 000	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR SYMBOL. SI, CUSIP 826197501	☐	12/03/12	07/26/13	168.08	194.81	-26 73
40 000	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR SYMBOL SI, CUSIP 826197501	☐	05/24/13	07/26/13	122.24	146 04	-23 80
40 000	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR SYMBOL SI, CUSIP 826197501	☐	02/06/13	07/26/13	122.24	142.13	-19 89
15 000	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR SYMBOL SI, CUSIP 826197501	☐	12/03/12	07/26/13	45 84	53 13	-7 29
15 000	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR SYMBOL SI, CUSIP· 826197501	☐	12/03/12	08/15/13	1,656 87	1,456 23	200 64
50 000	SINGAPORE TELECOMMUNICATION LTD SPONSORED ADR 2006 SYMBOL SGAPY, CUSIP: 82929R304	☐	12/03/12	08/02/13	1,512 14	1,356.00	156 14
65 000	SMITHS GROUP PLC SPONSORED ADR SYMBOL SMGZY, CUSIP 83238P203	☐	12/03/12	03/15/13	1,294 12	1,169 35	124 77
5 000	SMITHS GROUP PLC SPONSORED ADR SYMBOL SMGZY, CUSIP 83238P203	☐	12/03/12	08/15/13	100 99	89 95	11.04
55.000	STATOIL ASA SPONSORED ADR SYMBOL STO, CUSIP 85771P102	☐	12/03/12	01/02/13	1,401 44	1,330.92	70 52

L J KING & E R KING FOUNDATION
SCHAFER CULLEN INTL HIGH DIV
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64865

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
140 000	STATOIL ASA SPONSORED ADR SYMBOL STO, CUSIP 85771P102	☐	12/03/12	04/03/13	3,384 42	3,387.79	-3.37
75 000	TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM SYMBOL TSM, CUSIP 874039100	☐	12/03/12	01/10/13	1,347.04	1,287 64	59.40
145 000	TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM SYMBOL TSM, CUSIP 874039100	☐	12/03/12	01/29/13	2,585.29	2,489.43	95.86
225 000	TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM SYMBOL TSM, CUSIP 874039100	☐	12/03/12	04/03/13	3,809 50	3,862 91	-53.41
10.000	TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM ORIGINAL COST 171.68 WASH SALE LOSS DISALLOWED 11 39 SYMBOL TSM, CUSIP 874039100	☐	12/03/12	08/15/13	160 30	160 30	0.00
105 000	TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM ORIGINAL COST 1,895 09 WASH SALE LOSS DISALLOWED 211 98 SYMBOL TSM, CUSIP 874039100	☐	02/06/13	08/15/13	1,683 11	1,683 11	0 00
60 000	TESCO PLC-SPONSORED ADR SYMBOL TSCDY, CUSIP 881575302	☐	12/03/12	09/18/13	1,089.58	932 40	157 18
55 000	TREASURY WINE ESTATES LTD SPONSORED ADR SYMBOL TSRYY, CUSIP 89465J109	☐	12/03/12	01/04/13	268.39	292 05	-23 66
685 000	TREASURY WINE ESTATES LTD SPONSORED ADR SYMBOL TSRYY, CUSIP 89465J109	☐	12/03/12	01/29/13	3,248 19	3,637 35	-389 16
75 000	UNITED OVERSEAS BANK LTD SPONSORED ADR SYMBOL UOVEY, CUSIP 911271302	☐	12/03/12	09/18/13	2,516.95	2,317.50	199 45
180.000	VODAFONE GROUP PLC SPONSORED ADR CUSIP 92857W209	☐	12/03/12	08/15/13	5,398 21	4,631 13	767 08
5.000	VODAFONE GROUP PLC	☐	12/03/12	09/18/13	168 44	128 64	39 80

SCHAFER CULLEN INTL HIGH DIV
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64865

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	SPONSORED ADR CUSIP 92857W209						
	Short-Term Subtotal				**118,310.81**		**-4,570.22**
Total Gains and Losses					**118,310.81**	122,881.03	**-4,570.22**
	Short-Term						**-4,570.22**
	Long-Term						**0.00**
	Term Unknown						**0.00**

CINCINNATI ASSET HIGHYLD FIXED
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64870

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account "Short-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized In addition, cost basis information may reflect accretion for zero coupon and OID bonds Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information

Please see Form 1099-B for information reported to the IRS

Term Unknown

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5,000 000*	US AWYS INC SER 2012-1 CL PTT 8 000% DUE 04/01/2021 CUSIP. 90345WAB0 SEE FOOTNOTES A	☑	N/A	04/01/13	3 60	N/A	N/A
	Term Unknown Subtotal				**3.60**		**0.00**

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2,000.000	DENBURY RES INC DEL 8 250% DUE 02/15/2020 ORIGINAL COST 2,285 00, PREMIUM AMORTIZED 43 37, ORIGINAL PRICE: 114 250 CUSIP 24823UAG3	☑	12/14/12	06/06/13	2,225.00	2,241 63	-16.62
2,000 000	DENBURY RES INC DEL 8.250% DUE 02/15/2020 ORIGINAL COST. 2,285 00, PREMIUM AMORTIZED 44.14, ORIGINAL PRICE 114 250 CUSIP 24823UAG3	☑	12/14/12	06/07/13	2,225 00	2,240 86	-15.86
5,000.000	FORD MTR CO DEL SR UNSECURED 4 750% DUE 01/15/2043 CUSIP: 345370CQ1	☑	01/03/13	09/25/13	4,524 15	4,871 15	-347 00
2,000 000	FOREST OIL CORP SR NT 7.250% DUE 06/15/2019 ORIGINAL COST 2,027 50, PREMIUM AMORTIZED 5 87, ORIGINAL PRICE 101 375 CUSIP. 346091AZ4	☑	04/15/13	10/04/13	2,047 50	2,021 63	25.87
5,000 000	FOREST OIL CORP SR NT 7 250% DUE 06/15/2019 ORIGINAL COST: 5,050.00, PREMIUM AMORTIZED. 15.59, ORIGINAL PRICE 101.000 CUSIP: 346091AZ4	☑	12/07/12	10/04/13	5,118.75	5,034.41	84.34
3,000.000	FOREST OIL CORP SR NT 7 250% DUE 06/15/2019 CUSIP 346091AZ4	☑	06/19/13	10/04/13	3,071 25	2,876 25	195.00

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CINCINNATI ASSET HIGHYLD FIXED
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64870

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
4,000 000	FRONTIER COMMUNICATIONS CORP SR UNSECURED 9 250% DUE 07/01/2021 ORIGINAL COST. 4,720 00, PREMIUM AMORTIZED 27.99, ORIGINAL PRICE. 118.000 CUSIP 35906AAL2	☑	12/06/12	05/15/13	4,715.00	4,692 01	22 99
4,000.000	HERTZ CORP SR NT 7 375%21 7 375% DUE 01/15/2021 ORIGINAL COST 4,420 00, PREMIUM AMORTIZED. 26.61, ORIGINAL PRICE· 110 500 CUSIP 428040CG2	☑	12/11/12	04/05/13	4,480 00	4,393 39	86 61
5,000 000	PEABODY ENERGY CORP SR NT 6 25%21 6 250% DUE 11/15/2021 CUSIP 704549AM6	☑	01/11/13	02/13/13	5,162 50	5,375 00	-212 50
5,000.000	RR DONNELLEY & SONS CO SENIOR NOTES 7 250% DUE 05/15/2018 CUSIP· 257867AX9	☑	12/18/12	05/28/13	5,475 00	4,875 00	600.00
2,000 000	SUBURBAN PROPANE PARTNERS L P P/C 08/02/13 @ 107 375000 7 375% DUE 00/00/0000 CUSIP. 864486AG0	☑	04/24/13	08/02/13	2,147 50	2,227.50	-80 00
5,000.000	TENNECO INC SR NT 6 875% DUE 12/15/2020 ORIGINAL COST: 5,487 50, PREMIUM AMORTIZED. 70 07, ORIGINAL PRICE· 109 750 CUSIP. 880349AQ8	☑	01/08/13	09/12/13	5,400 00	5,417 43	-17 43
5,000 000*	US AWYS INC SER 2012-1 CL PTT 8 000% DUE 04/01/2021 ADJUSTED QUANTITY· 3 605, ORIGINAL COST: 3.86, PURCHASE FACTOR. 0 00072094, SALE FACTOR· 0.00072094 CUSIP. 90345WAB0	☑	12/10/12	10/01/13	204 80	3 86	200 94
	Short-Term Subtotal				**46,796.45**		**526.34**
Total Gains and Losses					**46,800.05**	46,273.71	**526.34**
	Short-Term						**526.34**
	Long-Term						**0.00**
	Term Unknown						**0.00**

* Return of principal. Quantity represents face value.

A Certain cost basis information is not available for this tax lot; therefore, gain/loss and term are not known. Please contact your Financial Advisor for more information.

SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
14 000	AEGON N V PERP CAP SECS FLTG RATE SYMBOL: AEB, CUSIP 007924509	☐	12/03/12	05/10/13	348 58	330 96	17 62
7.000	AEGON NV 7 25% PERPETUAL CAP SECS PFD SYMBOL. AEF, CUSIP N00927348	☐	12/03/12	03/15/13	178.49	177.10	1.39
14 000	AEGON NV 7 25% PERPETUAL CAP SECS PFD SYMBOL AEF, CUSIP N00927348	☐	12/03/12	03/18/13	357.52	354 20	3 32
3.000	AEGON NV 7 25% PERPETUAL CAP SECS PFD SYMBOL. AEF, CUSIP N00927348	☐	12/03/12	04/11/13	76 34	75.90	0 44
11 000	AEGON NV 7 25% PERPETUAL CAP SECS PFD SYMBOL AEF, CUSIP N00927348	☐	12/03/12	04/17/13	280 94	278.30	2 64
19 000	AEGON NV 7 25% PERPETUAL CAP SECS PFD SYMBOL. AEF, CUSIP N00927348	☐	02/06/13	05/24/13	488.39	485 64	2 75
13 000	AEGON NV 6 875% PERPETUAL CAPITAL SECURITIES SYMBOL AEV, CUSIP N00927306	☐	12/03/12	01/03/13	326 70	325.52	1 18
1 000	AEGON NV NON CUM 6 50% PERP CAPITAL SECURITIES SYMBOL AED, CUSIP 007924400	☐	12/03/12	04/15/13	25 18	24 96	0 22
14 000	AEGON NV NON CUM 6 50% PERP CAPITAL SECURITIES SYMBOL AED, CUSIP 007924400	☐	12/03/12	04/16/13	353.00	349.44	3 56
2 000	AEGON NV NON CUM 6 50% PERP CAPITAL SECURITIES SYMBOL. AED, CUSIP· 007924400	☐	12/03/12	05/24/13	50 89	49 92	0 97
21 000	BANK OF AMERICA CORPORATION	☐	12/03/12	05/01/13	525 00	535.08	-10 08

L J KING & E R KING FOUNDATION
SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PFD SER H 8.2% DEPOSITARY SH REPSTG 1/1000TH CUSIP· 060505765						
12.000	BANK OF AMERICA CORPORATION PFD SER H 8 2% DEPOSITARY SH REPSTG 1/1000TH CUSIP· 060505765	☐	02/06/13	05/01/13	300.00	304 32	-4.32
20 000	BARCLAYS BK PLC 8 125% PERP NON CUM PFD ADR SER 5 SYMBOL BCS-D, CUSIP 06739H362	☐	12/03/12	11/21/13	514.02	511.00	3.02
4 000	BERKLEY W R CAP TRUST II 6 75% TRUST ORIG PFD SECS DUE 7/26/2045 CUSIP 08449Q203	☐	12/03/12	03/25/13	101 99	100 44	1.55
4 000	BERKLEY W R CAP TRUST II 6 75% TRUST ORIG PFD SECS DUE 7/26/2045 CUSIP 08449Q203	☐	12/03/12	04/15/13	102.04	100.44	1.60
15 000	BERKLEY W R CAP TRUST II 6 75% TRUST ORIG PFD SECS DUE 7/26/2045 CUSIP 08449Q203	☐	12/03/12	04/16/13	383.48	376 65	6 83
46 000	BERKLEY W R CAP TRUST II 6 75% TRUST ORIG PFD SECS DUE 7/26/2045 CUSIP 08449Q203	☐	02/06/13	05/28/13	1,150 00	1,162 42	-12 42
63.000	BERKLEY W R CAP TRUST II 6 75% TRUST ORIG PFD SECS DUE 7/26/2045 CUSIP 08449Q203	☐	12/03/12	05/28/13	1,575 00	1,581 93	-6.93
22 000	CITIGROUP CAP XI 6% TRUST PFD SECS DUE 09/27/2034 SYMBOL C PRQ, CUSIP 17307Q205	☐	12/03/12	05/09/13	557 68	551.98	5.70
5 000	CITIGROUP CAP XI 6% TRUST PFD SECS DUE 09/27/2034 SYMBOL C PRQ, CUSIP 17307Q205	☐	12/03/12	05/10/13	126.61	125 45	1 16
7 000	CITIGROUP CAP XIII 7 875% FIXED/FLOATING RATE TRUST PFD SYMBOL C PRN, CUSIP 173080201	☐	12/03/12	05/17/13	197.04	195 44	1 60

SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
23.000	CITIGROUP CAP XIII 7 875% FIXED/FLOATING RATE TRUST PFD SYMBOL C PRN, CUSIP 173080201	☐	12/03/12	05/20/13	646 29	642.16	4.13
19.000	CITIGROUP CAP XVI 6.45% ENHANCED TR PFD SECS DUE 12/31/2066 CUSIP· 17310L201	☐	12/03/12	07/15/13	475 00	480.13	-5.13
11.000	CITIGROUP CAP XVI 6 45% ENHANCED TR PFD SECS DUE 12/31/2066 CUSIP 17310L201	☐	02/06/13	07/15/13	275.00	277 20	-2 20
10 000	CITIGROUP CAP XVI 6 45% ENHANCED TR PFD SECS DUE 12/31/2066 CUSIP· 17310L201	☐	06/04/13	07/15/13	250 00	252 20	-2 20
25 000	CITIGROUP CAP XVII 6 35% ENHANCED TR PFD SECS-TRUPRS SYMBOL C PRE, CUSIP. 17311H209	☐	12/03/12	05/08/13	637 39	632 50	4 89
13 000	CITIGROUP CAPITAL VIII 6 95% TRUST PFD SECS (TRUPS) DUE 9/15/2031 B/E CUSIP 17306R204	☐	12/03/12	04/16/13	325.00	330.98	-5.98
7.000	CITIGROUP CAPITAL VIII 6 95% TRUST PFD SECS (TRUPS) DUE 9/15/2031 B/E CUSIP 17306R204	☐	02/06/13	04/16/13	175 00	178 15	-3.15
12.000	CITIGROUP CAPITAL X 6 1% TRUST PFD SECS (TRUPS) DUE 9/30/33 CUSIP. 173064205	☐	02/06/13	05/10/13	304.27	302 40	1.87
24.000	CITIGROUP CAPITAL X 6.1% TRUST PFD SECS (TRUPS) DUE 9/30/33 CUSIP 173064205	☐	12/03/12	05/10/13	608 55	602 64	5 91
1 000	COUNTRYWIDE CAP V 7% GTD CAP SECS DUE 11/01/36 SYMBOL CFC-B, CUSIP 222388209	☐	12/03/12	01/30/13	25 07	25.15	-0.08
12 000	COUNTRYWIDE CAP V 7% GTD CAP SECS DUE 11/01/36 SYMBOL· CFC-B, CUSIP: 222388209	☐	12/03/12	01/31/13	300.95	301 80	-0.85
158.000	CREDIT SUISSE GUERNSEY BRH	☐	12/03/12	03/28/13	3,950 00	4,090.62	-140 62

L J KING & E R KING FOUNDATION
SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	CAP NT TIER 1 PFD 7 9% CUSIP 225448208						
22.000	DEUTSCH BK CONTINGENT CAP TR V TR 8.05% PERPETUAL PFD ORIGINAL COST 610 28 WASH SALE LOSS DISALLOWED 3 99 SYMBOL DKT, CUSIP 25150L108	☐	12/03/12	10/29/13	595.65	606.29	-10 64
3.000	DEUTSCH BK CONTINGENT CAP TR V TR 8 05% PERPETUAL PFD SYMBOL DKT, CUSIP 25150L108	☐	02/06/13	10/29/13	81.23	85 56	-4.33
12 000	DEUTSCH BK CONTINGENT CAP TR V TR 8 05% PERPETUAL PFD SYMBOL DKT, CUSIP 25150L108	☐	05/24/13	12/06/13	327.71	343 32	-15.61
9 000	DEUTSCH BK CONTINGENT CAP TR V TR 8 05% PERPETUAL PFD SYMBOL DKT, CUSIP 25150L108	☐	02/06/13	12/06/13	245.78	256 68	-10.90
12.000	DEUTSCH BK CONTINGENT CAP TR V TR 8 05% PERPETUAL PFD SYMBOL. DKT, CUSIP 25150L108	☐	08/13/13	12/06/13	327 71	331 92	-4.21
8 000	DEUTSCHE BANK CAPITAL FUNDING TRUST X 7 350% NONCUMULATIVE TRUST PREFERRED SECURITIES SYMBOL DCE, CUSIP 25154D102	☐	02/06/13	04/16/13	204 34	203.60	0.74
13.000	DEUTSCHE BANK CAPITAL FUNDING TRUST X 7 350% NONCUMULATIVE TRUST PREFERRED SECURITIES SYMBOL DCE, CUSIP 25154D102	☐	12/03/12	04/16/13	332 05	331 24	0 81
21 000	DEUTSCHE BK CAP FDG TR VIII 6 375% TR PFD SECS SYMBOL DUA, CUSIP 25153U204	☐	12/03/12	04/29/13	530.73	524.79	5.94
6 000	DEUTSCHE BK CONTINGENT CAP TR III 7.6% TR PFD SECS SYMBOL DTK, CUSIP 25154A108	☐	02/06/13	12/27/13	155.15	168.00	-12 85
8 000	DUKE REALTY CORPORATION DEP SH REPSTG 1/10TH 8 3750% SER O PFD CUSIP 264411679	☐	12/03/12	01/18/13	202.24	206 80	-4 56
9 000	EVEREST RE CAP TRUST II 6 20% TR PFD SER D DUE 3/29/34 CUSIP 29980R202	☐	12/03/12	02/27/13	228 58	226 17	2 41
9 000	EVEREST RE CAP TRUST II 6 20% TR PFD SER D DUE 3/29/34 CUSIP 29980R202	☐	12/03/12	03/06/13	228.59	226 17	2.42

SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
52.000	EVEREST RE CAP TRUST II 6 20% TR PFD SER D DUE 3/29/34 CUSIP: 29980R202	☐	02/06/13	05/24/13	1,300.00	1,330.15	-30 15
78 000	EVEREST RE CAP TRUST II 6 20% TR PFD SER D DUE 3/29/34 CUSIP: 29980R202	☐	12/03/12	05/24/13	1,950 00	1,960.13	-10 13
23.000	GOLDMAN SACHS GROUP INC (THE) FLTG RATE DEPOSITARY SH REPSTG 1/1000TH PFD SER A SYMBOL· GSPRA, CUSIP· 38143Y665	☐	12/03/12	08/13/13	477.24	473.34	3.90
12 000	HSBC HOLDINGS PLC PERP SUB CAP SECS 8 125% PFD SYMBOL HSEA, CUSIP 404280703	☐	12/03/12	01/23/13	306.69	311 04	-4 35
19.000	HSBC HOLDINGS PLC ADS 6 20% NON CUM PFD SHS SER A CLBL 12/16/2010 @ 25 00 SYMBOL HSBCA, CUSIP 404280604	☐	12/03/12	01/31/13	481.64	476.52	5.12
17 000	ING GROEP N V 7.05% PERPETUAL DEBT SECS SYMBOL IND, CUSIP 456837202	☐	12/03/12	05/08/13	436 59	423 81	12.78
17.000	ING GROEP N V PERPETUAL DEBT SECS 7 20% SYMBOL INZ, CUSIP 456837301	☐	12/03/12	05/09/13	435 67	425 51	10.16
7 000	ING GROEP N V PREF CTF 7 3750% PERP HYBRID CAP SECS PFD SYMBOL IDG, CUSIP 456837707	☐	12/03/12	05/01/13	178 91	177.03	1 88
16 000	ING GROEP N V PREF CTF 7.3750% PERP HYBRID CAP SECS PFD SYMBOL. IDG, CUSIP. 456837707	☐	12/03/12	05/02/13	410 32	404 64	5.68
20 000	ING GROEP N V PREF CTF 7 3750% PERP HYBRID CAP SECS PFD SYMBOL IDG, CUSIP 456837707	☐	02/06/13	05/09/13	513 67	508.20	5.47
14.000	ING GROEP N V PREF CTF 7.3750% PERP HYBRID CAP SECS PFD SYMBOL· IDG, CUSIP. 456837707	☐	12/03/12	05/09/13	359.57	354.06	5 51
20.000	JP MORGAN CHASE XI 5 875% PFD SERIES 06/15/33 CUSIP 46626V207	☐	12/03/12	03/12/13	502 33	507 60	-5.28

L J KING & E R KING FOUNDATION
SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
12 000	JP MORGAN CHASE XI 5.875% PFD SERIES 06/15/33 CUSIP· 46626V207	☐	02/06/13	03/12/13	301 40	304 08	-2.69
21 000	JPMORGAN CHASE CAP XXIX 6.70% CAPITAL SECURITIES SERIES CC SYMBOL· JPM-C, CUSIP 48125E207	☐	12/03/12	03/25/13	554.68	544 32	10.36
20 000	JPMORGAN CHASE CAP XXIX 6 70% CAPITAL SECURITIES SERIES CC SYMBOL JPM-C, CUSIP: 48125E207	☐	02/06/13	12/09/13	515.43	527.80	-12 37
14 000	JPMORGAN CHASE CAP XXIX 6 70% CAPITAL SECURITIES SERIES CC SYMBOL: JPM-C, CUSIP: 48125E207	☐	05/24/13	12/09/13	360.80	372.26	-11.46
15 000	JPMORGAN CHASE CAP XXIX 6 70% CAPITAL SECURITIES SERIES CC SYMBOL. JPM-C, CUSIP 48125E207	☐	08/13/13	12/09/13	386.58	387.15	-0.57
8 000	JPMORGAN CHASE CAP XXIX 6 70% CAPITAL SECURITIES SERIES CC SYMBOL. JPM-C, CUSIP 48125E207	☐	10/08/13	12/09/13	206 17	203.12	3.05
9 000	MORGAN STANLEY CAP TR III 6 25% CAP SECS DUE 03/01/2033 SYMBOL MWR, CUSIP 617460209	☐	12/03/12	02/06/13	227.42	224 46	2 96
12 000	MORGAN STANLEY CAP TRUST VII 6 60% CAPITAL SECS DUE 10/15/2066 SYMBOL· MSZ, CUSIP 61750K208	☐	12/03/12	01/17/13	301.84	301 20	0.64
5 000	MORGAN STANLEY CAP TRUST VII 6 60% CAPITAL SECS DUE 10/15/2066 SYMBOL· MSZ, CUSIP 61750K208	☐	12/03/12	01/18/13	125.82	125.50	0.32
27 000	MORGAN STANLEY CAP TRUST VII 6 60% CAPITAL SECS DUE 10/15/2066 SYMBOL: MSZ, CUSIP 61750K208	☐	12/03/12	05/03/13	685 38	677.70	7.68
18.000	PARTNERRE LTD 6 75% SER C CUM REDEEMABLE PFD SHS CUSIP G6852T204	☐	02/06/13	03/18/13	450.00	460.44	-10 44
33 000	PARTNERRE LTD 6 75% SER C CUM REDEEMABLE PFD SHS CUSIP G6852T204	☐	12/03/12	03/18/13	825.00	826.98	-1 98

SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
54.000	PRUDENTIAL FINANCIAL INC 9.0% JUNIOR SUBORDINATED NOTES DUE JUNE 15 2068 CUSIP 744320508	☐	12/03/12	06/17/13	1,350.00	1,389.42	-39.42
30.000	PRUDENTIAL FINANCIAL INC 9 0% JUNIOR SUBORDINATED NOTES DUE JUNE 15 2068 CUSIP: 744320508	☐	02/06/13	06/17/13	750.00	780.00	-30.00
8 000	PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000 % CUM PFD SH BEN INT 6 875% SYMBOL PSA-O, CUSIP 74460D182	☐	12/03/12	11/27/13	204.39	216.64	-12 25
5 000	PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000 % CUM PFD SH BEN INT 6 875% SYMBOL. PSA-O, CUSIP: 74460D182	☐	02/06/13	11/27/13	127 75	138 40	-10 65
4 000	PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000 % CUM PFD SH BEN INT 6 875% SYMBOL PSA-O, CUSIP 74460D182	☐	05/24/13	11/27/13	102 20	111.08	-8.88
4.000	PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000 % CUM PFD SH BEN INT 6 875% SYMBOL PSA-O, CUSIP 74460D182	☐	08/13/13	11/27/13	102 20	102.85	-0 65
2 000	PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000 % CUM PFD SH BEN INT 6 875% SYMBOL. PSA-O, CUSIP 74460D182	☐	10/08/13	11/27/13	51 10	51 18	-0.08
10 000	RENAISSANCERE HLDGS LTD P/C 06/27/13 @ 25 SECURITY NUMBER 1108015	☐	12/03/12	06/27/13	250.00	250.50	-0.50
27 000	VORNADO REALTY L P 7 875% PUBLIC INCOME NOTES (PINES) 10/01/2039 SYMBOL VNOD, CUSIP 929043602	☐	12/03/12	07/10/13	710 59	741.00	-30.41
10.000	WEINGARTEN REALTY 6 75% CUM PERP DEP SHS REPSTG 1/30 PFD SER D CUSIP 948741509	☐	12/03/12	03/18/13	250 00	254.10	-4 10
5.000	WEINGARTEN REALTY 6 75% CUM PERP DEP SHS REPSTG 1/30 PFD SER D CUSIP 948741509	☐	02/06/13	03/18/13	125 00	127.80	-2 80

L J KING & E R KING FOUNDATION
SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
34 000	WEINGARTEN RLTY INVS P/C 06/05/13 @ 25 SECURITY NUMBER 1108181	☐	12/03/12	06/06/13	850.00	860.88	-10.88
31.000	WELLS FARGO & COMPANY 5.25% DEP SHS REPSTG 1/1000TH SHS NON CUM PERP CL A PFD SER P ORIGINAL COST 744 77 WASH SALE LOSS DISALLOWED· 14.66 SYMBOL. WFC-P, CUSIP. 949746655	☐	06/19/13	11/07/13	669.05	730.12	-61.07
41 000	XCEL ENERGY INC JR SUB NT CUSIP· 98389B886	☐	12/03/12	05/31/13	1,025 00	1,048.37	-23.37
23.000	XCEL ENERGY INC JR SUB NT CUSIP. 98389B886	☐	02/06/13	05/31/13	575 00	586 50	-11 50
	Short-Term Subtotal				**38,886.60**	39,319.46	**-432.86**

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
29 000	BARCLAYS BK PLC 8 125% PERP NON CUM PFD ADR SER 5 SYMBOL BCS-D, CUSIP. 06739H362	☐	12/03/12	12/05/13	736.45	740 95	-4 50
6 000	DEUTSCH BK CONTINGENT CAP TR V TR 8 05% PERPETUAL PFD ORIGINAL COST 158 94 WASH SALE REPLACEMENT ADDITION 3.99 WASH SALE LOSS DISALLOWED. 0 00 SYMBOL. DKT, CUSIP· 25150L108	☐	10/08/13	12/06/13	163 85	162.93	0.93
57.000	DEUTSCHE BK CONTINGENT CAP TR III 7 6% TR PFD SECS SYMBOL· DTK, CUSIP 25154A108	☐	12/03/12	12/27/13	1,473 91	1,530 45	-56 54
18 000	JPMORGAN CHASE CAP XXIX 6 70% CAPITAL SECURITIES SERIES CC SYMBOL JPM-C, CUSIP 48125E207	☐	12/03/12	12/09/13	463.89	466 56	-2 67
29 000	VORNADO REALTY L P 7 875% PUBLIC INCOME NOTES	☐	12/03/12	12/30/13	751 08	795.89	-44.81

SPECTRUM PREFERREDS
450
26 WEST DRY CREEK CIRCLE
LITTLETON CO 80120-8064

RECIPIENT'S ACCOUNT NUMBER
314-64871

CORRECTED COPY: March 18, 2014

Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	(PINES) 10/01/2039 SYMBOL: VNOD, CUSIP 929043602						
	Long-Term Subtotal				**3,589.18**	3696.77	**-107.59**
Total Gains and Losses					**42,475.78**		**-540.45**
	Short-Term						**-432.86**
	Long-Term						**-107.59**
	Term Unknown						**0.00**