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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

PETER SATEGNA EDUCATIONAL FOUNDATION
30497 SAN ANTONIO STREET
HAYWARD, CA 94544

A Employer identification number
26-1702354

B Telephone number (see the instructions)
510 331-2397

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 961,020.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

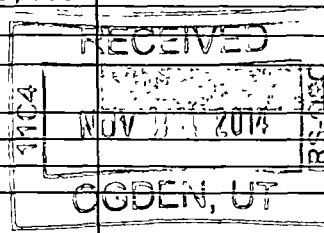
2 Foreign organizations meeting the 85% test, check here and attach computation. ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc. received (att sch)	436.			
2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	5.	5.	5.	
4 Dividends and interest from securities	23,838.	23,838.	23,838.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	13,198.			
b Gross sales price for all assets on line 6a	229,627.			
7 Capital gain net income (from Part IV, line 2)		13,198.		
8 Net short-term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11.	37,477.	37,041.	23,843.	
ADMINISTRATIVE AND EXPENSES				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages	35,000.			
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) See St 1	2,425.			
c Other prof fees (attach sch) See St 2	9,053.	9,053.	9,053.	
17 Interest				
18 Taxes (attach schedule)(see instrs) See Stm 3	3,580.	472.		
19 Depreciation (attach sch) and depletion				
20 Occupancy	4,400.			
21 Travel, conferences, and meetings	451.			
22 Printing and publications				
23 Other expenses (attach schedule) See Statement 4	3,445.			
24 Total operating and administrative expenses. Add lines 13 through 23	58,354.	9,525.	9,053.	
25 Contributions, gifts, grants paid Part XV	29,000.			29,000.
26 Total expenses and disbursements. Add lines 24 and 25	87,354.	9,525.	9,053.	29,000.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-49,877.			
b Net investment income (if negative, enter -0-)		27,516.		
c Adjusted net income (if negative, enter -0-)			14,790.	



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	57,056.	67,801.	67,801.
	3 Accounts receivable			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)	26,463.	26,463.	25,587.
	b Investments – corporate stock (attach schedule)	222,137.	232,098.	366,282.
	c Investments – corporate bonds (attach schedule)	104,973.	98,921.	102,442.
	11 Investments – land, buildings, and equipment, basis			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments – mortgage loans				
13 Investments – other (attach schedule)	363,327.	298,796.	398,908.	
14 Land, buildings, and equipment, basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	773,956.	724,079.	961,020.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
F U N D A S S E T A L B A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds.			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	773,956.	724,079.	
	30 Total net assets or fund balances (see instructions)	773,956.	724,079.	
	31 Total liabilities and net assets/fund balances (see instructions)	773,956.	724,079.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	773,956.
2 Enter amount from Part I, line 27a	2	-49,877.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3.	4	724,079.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	724,079.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a See Statement 5				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	<div><div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div></div>	2	13,198.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	-292.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	64,552.	917,751.	0.070337
2011	45,000.	929,499.	0.048413
2010	45,400.	900,964.	0.050390
2009	41,486.	837,156.	0.049556
2008	41,149.	826,385.	0.049794

2 Total of line 1, column (d)	2	0.268490
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053698
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	908,132.
5 Multiply line 4 by line 3	5	48,765.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	275.
7 Add lines 5 and 6	7	49,040.
8 Enter qualifying distributions from Part XII, line 4	8	29,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	550.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	550.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.		5	550.
6 Credits/Payments.			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a		
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld.	6 d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		11.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.	9		561.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XIV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12. Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)	12		X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A			
14. The books are in care of ▶ GARY SMITH	Telephone no. ▶ 510 331-2397		
Located at ▶ 30497 SAN ANTONIO STREET HAYWARD CA	ZIP + 4 ▶ 94544		
15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A	▶ <input type="checkbox"/> N/A		
and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16. At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
			X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	N/A
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	0.

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Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	854,201.
b	Average of monthly cash balances	1 b	67,760.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	921,961.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	921,961.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	13,829.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	908,132.
6	Minimum investment return. Enter 5% of line 5	6	45,407.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	45,407.
2a	Tax on investment income for 2013 from Part VI, line 5	2 a	550.
b	Income tax for 2013. (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	550.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	44,857.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	44,857.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	44,857.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	29,000.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	29,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	29,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7.				44,857.
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			20,504.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 29,000.				
a Applied to 2012, but not more than line 2a			20,504.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				8,496.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions.		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014.				36,361.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	0.			
10 Analysis of line 9:				
a Excess from 2009.				
b Excess from 2010.				
c Excess from 2011.				
d Excess from 2012.				
e Excess from 2013.				

BAA

Form 990-PF (2013)

N/A

- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

1 Information Regarding Foundation Managers:

- None

- None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 7				
Total ..			3 a	29,000.
b Approved for future payment				
Total ...			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					5.
4	Dividends and interest from securities					23,838.
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					13,198.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					37,041.
13	Total. Add line 12, columns (b), (d), and (e)					37,041.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

2013

Federal Statements

Page 1

Client 6000

PETER SATEGNA EDUCATIONAL FOUNDATION

26-1702354

10/26/14

10 29AM

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Computer	\$ 813.			
Edwina.	1,612.			
Total	<u>\$ 2,425.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Management ..	\$ 9,053.	\$ 9,053.	\$ 9,053.	
Total	<u>\$ 9,053.</u>	<u>\$ 9,053.</u>	<u>\$ 9,053.</u>	<u>\$ 0.</u>

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Federal Tax.	\$ 462.	\$ 462.		
Franchise Tax.	10.	10.		
Payroll Tax	3,108.			
Total	<u>\$ 3,580.</u>	<u>\$ 472.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Advertising	\$ 75.			
Insurance.	1,178.			
Memberships	495.			
Postage	45.			
Registry of Charitable Trusts	25.			
Repairs	70.			
Supplies	552.			
Telephone.	1,005.			
Total	<u>\$ 3,445.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

2013

Federal Statements

Page 2

Client 6000

PETER SATEGNA EDUCATIONAL FOUNDATION

26-1702354

10/26/14

10:29AM

Statement 5
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	25 AET	Purchased	5/18/2012	7/02/2013
2	10 APA	Purchased	8/23/2011	10/28/2013
3	40 BMC	Purchased	12/17/2010	9/11/2013
4	25 BMC	Purchased	8/27/2011	9/11/2013
5	90 CSCO	Purchased	6/26/2008	7/12/2013
6	30 CME	Purchased	8/19/2010	3/01/2013
7	25 CME	Purchased	11/28/2011	3/01/2013
8	130 F	Purchased	5/11/2011	1/07/2013
9	10 F	Purchased	5/11/2011	8/08/2013
10	80 F	Purchased	6/14/2011	8/08/2013
11	75 HNZ	Purchased	11/30/2010	6/03/2013
12	15 HNZ	Purchased	1/24/2012	4/24/2013
13	5 HNZ	Purchased	1/24/2012	6/03/2013
14	10 MCD	Purchased	4/11/2011	5/09/2013
15	5 MSFT	Purchased	3/15/2011	11/25/2013
16	35 MSFT	Purchased	11/19/2012	11/25/2013
17	3.750 MNK	Purchased	8/02/2011	7/29/2013
18	3.125 MNK	Purchased	11/02/2011	7/29/2013
19	3.125 MNK	Purchased	12/09/2011	7/29/2013
20	20 NKE	Purchased	10/04/2010	4/05/2013
21	20 NKE	Purchased	10/04/2010	4/30/2013
22	15 PEP	Purchased	11/08/2010	4/30/2013
23	36 RSG	Purchased	11/10/2010	1/28/2013
24	20 TGT	Purchased	10/04/2010	3/13/2013
25	5 TGT	Purchased	1/06/2011	3/13/2013
26	60 QEP	Purchased	12/21/2010	11/13/2013
27	25 QEP	Purchased	4/09/2011	11/13/2013
28	30 QEP	Purchased	8/23/2011	11/13/2013
29	45 QEP	Purchased	11/14/2012	11/13/2013
30	5 SE	Purchased	11/30/2010	8/08/2013
31	60 SE	Purchased	11/30/2010	10/28/2013
32	40 SE	Purchased	4/25/2012	8/08/2013
33	20 UNH	Purchased	2/24/2009	8/28/2013
34	20 VFC	Purchased	5/18/2012	7/17/2013
35	35 WAG	Purchased	5/12/2010	1/09/2013
36	30 WAG	Purchased	7/29/2010	1/09/2013
37	35 WFC	Purchased	4/19/2011	7/12/2013
38	5 WFC	Purchased	10/04/2010	7/12/2013
39	85 WMB	Purchased	7/27/2010	2/27/2013
40	6000 NATIONAL RURAL 4.75%	Purchased	5/28/2008	12/16/2013
41	12802.051 FVHIX	Purchased	1/05/2012	7/25/2013
42	2948.842 ASFIX	Purchased	7/01/2010	3/25/2013
43	143.346 LZEMX	Purchased	1/28/2010	7/25/2013
44	2525.655 PCRIX	Purchased	8/05/2009	7/25/2013
45	3110.147 NBHIX	Purchased	6/24/2011	7/25/2013
46	2126.964 HIEMX	Purchased	12/23/2011	8/19/2013
47	280 HYG	Purchased	8/21/2012	8/19/2013
48	350 VWO	Purchased	12/21/2011	7/18/2013

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	1,548.		1,002.	546.				\$ 546.
2	894.		976.	-82.				-82.
3	1,850.		1,966.	-116.				-116.

26-1702354

10:29AM

	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)
Item	Gross Sales	Deprec. Allowed	Cost Basis	Gain (Loss)	FMV 12/31/69	Adj. Bas. 12/31/69	Excess (i) - (j)	Gain (Loss)
4	1,156.		1,080.	76.				\$ 76.
5	2,312.		2,168.	144.				144.
6	1,785.		1,635.	150.				150.
7	1,488.		1,231.	257.				257.
8	1,738.		1,991.	-253.				-253.
9	168.		153.	15.				15.
10	1,347.		1,082.	265.				265.
11	5,425.		3,631.	1,794.				1,794.
12	1,077.		780.	297.				297.
13	362.		260.	102.				102.
14	994.		771.	223.				223.
15	187.		128.	59.				59.
16	1,311.		939.	372.				372.
17	170.		131.	39.				39.
18	142.		100.	42.				42.
19	142.		98.	44.				44.
20	1,167.		799.	368.				368.
21	1,264.		799.	465.				465.
22	1,228.		980.	248.				248.
23	1,126.		1,042.	84.				84.
24	1,339.		1,072.	267.				267.
25	335.		280.	55.				55.
26	1,945.		2,231.	-286.				-286.
27	810.		973.	-163.				-163.
28	973.		1,025.	-52.				-52.
29	1,459.		1,255.	204.				204.
30	175.		120.	55.				55.
31	2,132.		1,441.	691.				691.
32	1,401.		1,215.	186.				186.
33	1,425.		482.	943.				943.
34	981.		680.	301.				301.
35	1,338.		1,277.	61.				61.
36	1,147.		856.	291.				291.
37	1,488.		1,071.	417.				417.
38	213.		128.	85.				85.
39	2,895.		1,373.	1,522.				1,522.
40	6,057.		6,052.	5.				5.
41	26,592.		25,000.	1,592.				1,592.
42	33,047.		31,000.	2,047.				2,047.
43	2,612.		2,617.	-5.				-5.
44	14,437.		19,786.	-5,349.				-5,349.
45	38,768.		35,000.	3,768.				3,768.
46	20,000.		18,616.	1,384.				1,384.
47	25,208.		25,704.	-496.				-496.
48	13,969.		13,433.	536.				536.
							Total \$	13,198.

Client 6000

PETER SATEGNA EDUCATIONAL FOUNDATION

26-1702354

10/26/14

10:29AM

Statement 6
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
GARY SMITH 30497 SAN ANTONIO STREET HAYWARD, CA 94544	Chairman 3.00	\$ 0.	\$ 0.	\$ 0.
LEE STIMMEL 30497 SAN ANTONIO STREET HAYWARD, CA 94544	Co-chairman 1.00	0.	0.	0.
SHANNON SUCATO 30497 SAN ANTONIO STREET HAYWARD, CA 94544	Secretary 2.00	0.	0.	0.
PAUL ERLE 30497 SAN ANTONIO STREET HAYWARD, CA 94544	Treasurer 2.00	0.	0.	0.
DELMO DELLA-DORA 30497 SAN ANTONIO STREET HAYWARD, CA 94544	Director 2.00	0.	0.	0.
CHRIS SMITH 30497 SAN ANTONIO STREET HAYWARD, CA 94544	Director 1.00	0.	0.	0.
Total		\$ 0.	\$ 0.	\$ 0.

Statement 7
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SCHOLARSHIPS, INC. 22100 PRINCETON STREET HAYWARD, CA 94541		ASSOC	SCHOLARSHIPS	\$ 7,500.
UNIVERSITY OF CALIFORNIA REGENTS 1995 UNIVERSITY AVENUE BERKELEY, CA 94704		501 (c) (3)	SCHOLARSHIPS	5,000.
LIGHTHOUSE COMMUNITY CHARTER SCHOOL 444 HEGENBERGER ROAD OAKLAND, CA 94621		501 (c) (3)	SCHOLARSHIPS	7,500.

2013

Federal Statements

Page 5

Client 6000

PETER SATEGNA EDUCATIONAL FOUNDATION

26-1702354

10/26/14

10:29AM

Statement 7 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
CALIFORNIA STATE UNIVERSITY, EAST BAY 25800 CARLOS BEE BOULEVARD HAYWARD, CA 94542		501 (c) (3)	SCHOLARSHIPS	\$ 4,000.
EDEN AREA ROP 26316 HESPERIAN BLVD HAYWARD, CA 94544		501 (c) (3)	SCHOLARSHIPS	5,000.
Total				\$ <u>29,000.</u>

Investment Detail - Fixed Income

Agency Securities	Units Purchased	Par Cost Per Unit	Market Price Cost Basis	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
FHLB 5.25%14 (M)	25,000.0000		102.3484	25,587.10	25,124.74	3%	462.36 ^b	1,312.50
DUE 06/18/14	25,000.0000		105.8504	26,462.60	25,124.74	05/28/08	462.36 ^b	4.14%
CUSIP: 3133X7FK5								
MOODY'S: Aaa S&P: AA+								
								Accrued Interest: 47.40
Total Agency Securities	25,000.0000			25,587.10	25,124.74	3%	462.36 ^b	1,312.50
				Total Cost Basis:	26,462.60			

Total Accrued Interest for Agency Securities: 47.40

Corporate Bonds	Units Purchased	Par Cost Per Unit	Market Price Cost Basis	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
GENERAL ELECTRI 5.625%18 (M)	25,000.0000		115.0018	28,750.45	28,824.98	3%	(74.53) ^b	1,406.25
DUE 05/01/18	25,000.0000		118.9570	29,739.25	28,824.98	11/26/12	(74.53) ^b	1.92%
CUSIP: 36962G3U6								
MOODY'S: A1 S&P: AA+								Accrued Interest: 234.38
NATIONAL RURAL U 4.75%14 (M)	19,000.0000		100.6916	19,131.40	19,005.27	2%	126.13 ^b	902.50
DUE 03/01/14	19,000.0000		100.8650	19,164.35	19,005.27	05/28/08	126.13 ^b	4.57%
CUSIP: 637432DC6								
MOODY'S: A1 S&P: A+								Accrued Interest: 300.83
ORACLE CORP / OZ 5.25%16 (M)	25,000.0000		109.0595	27,264.88	25,021.95	3%	2,242.93 ^b	1,312.50
DUE 01/15/16	25,000.0000		100.2800	25,070.00	25,021.95	05/28/08	2,242.93 ^b	5.20%
CUSIP: 68402LAC8								
MOODY'S: A1 S&P: A+								Accrued Interest: 605.21

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
WACHOVIA BANK NA 5.6%16 (M)	25,000.0000	109.1808	27,295.20	24,947.50	3%	2,347.70	1,400.00
DUE 03/15/16 CUSIP: 92976GAE1 MOODY'S: A1 S&P: A+	25,000.0000	99.7900	24,947.50	24,947.50	05/28/08	2,347.70	5.63%
							Accrued Interest: 412.22
Total Corporate Bonds	50,000.0000		52,242.70	49,895.00	117%	4,695.40	5,024.25
							Total Cost Basis: 98,921.10
							Total Accrued Interest for Corporate Bonds: 1,552.64
Total Fixed Income	119,000.0000		128,029.03	122,924.44	13%	15,104.59	16,333.75
							Total Cost Basis: 125,033.70

Accrued Interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment.
Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
AETNA INC NEW ^(M)	100.0000	68.5900	6,859.00	<1%	3,598.54	1.16%	80.00
SYMBOL: AET	70.0000	28.6607	2,006.25	08/11/10	2,795.05	1238	Long-Term
	30.0000	41.8070	1,254.21	11/19/12	803.49	407	Long-Term
<i>Cost Basis</i>			3,260.46				
ALLIANCE DATA SYSTEMS ^(M)	17.0000	262.9300	4,469.81	<1%	3,468.16	0.00%	0.00
SYMBOL: ADS	17.0000	58.9205	1,001.65	08/11/10	3,468.16	1238	Long-Term
ANADARKO PETROLEUM CORP ^(M)	75.0000	79.3200	5,949.00	<1%	890.59	0.90%	54.00
SYMBOL: APC	25.0000	68.1208	1,703.02	12/21/10	279.98	1106	Long-Term
	15.0000	66.4126	996.19	08/22/11	193.61	862	Long-Term
	15.0000	64.5106	967.66	05/18/12	222.14	592	Long-Term
	20.0000	69.5770	1,391.54	10/12/12	194.86	445	Long-Term
<i>Cost Basis</i>			5,058.41				
APACHE CORP ^(M)	60.0000	85.9400	5,156.40	<1%	147.86	0.93%	48.00
SYMBOL: APA	30.0000	90.5983	2,717.95	07/23/10	(139.75)	1257	Long-Term
	10.0000	79.8890	798.89	10/05/11	60.51	818	Long-Term
	20.0000	74.5850	1,491.70	02/27/13	227.10	307	Short-Term
<i>Cost Basis</i>			5,008.54				
APPLE INC ^(M)	60.0000	561.0200	33,661.20	4%	23,586.34	2.17%	732.00
SYMBOL: AAPL	10.0000	108.7220	1,087.22	11/03/08	4,522.98	1884	Long-Term
	20.0000	166.9660	3,339.32	09/03/09	7,881.08	1580	Long-Term
	15.0000	179.7733	2,696.60	09/16/09	5,718.70	1567	Long-Term
	15.0000	196.7813	2,951.72	02/10/10	5,463.58	1420	Long-Term
<i>Cost Basis</i>			10,074.86				
AUTO DATA PROCESSING ^(M)	100.0000	80.7990	8,079.90	<1%	3,928.90	2.15%	174.00
SYMBOL: ADP	100.0000	41.5100	4,151.00	07/29/10	3,928.90	1251	Long-Term
							<i>Accrued Dividend: 48.00</i>

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
BANK OF AMERICA CORP ^(M)	315.0000	15.5700	4,904.55	<1%	2,889.02	0.25%	12.60
SYMBOL: BAC	315.0000	6.3985	2,015.53	11/07/11	2,889.02	785	Long-Term
BLACKROCK INC ^(M)	13.0000	316.4700	4,114.11	<1%	1,940.81	2.12%	87.36
SYMBOL: BLK	7.0000	170.9757	1,196.83	10/04/10	1,018.46	1184	Long-Term
	6.0000	162.7450	976.47	05/23/12	922.35	587	Long-Term
Cost Basis			2,173.30				
BOEING CO ^(M)	55.0000	136.4900	7,506.95	<1%	3,362.66	1.42%	106.70
SYMBOL: BA	25.0000	75.4776	1,886.94	01/17/13	1,525.31	348	Short-Term
	30.0000	75.2450	2,257.35	02/15/13	1,837.35	319	Short-Term
Cost Basis			4,144.29				
CVS CAREMARK CORP ^(M)	75.0000	71.5700	5,367.75	<1%	2,524.52	1.25%	67.50
SYMBOL: CVS	45.0000	33.0255	1,486.15	02/11/11	1,734.50	1054	Long-Term
	30.0000	45.2360	1,357.08	07/20/12	790.02	529	Long-Term
Cost Basis			2,843.23				
CAPITAL ONE FINANCIAL CP ^(M)	70.0000	76.6100	5,362.70	<1%	1,686.60	1.56%	84.00
SYMBOL: COF	50.0000	51.5104	2,575.52	03/01/13	1,254.98	305	Short-Term
	20.0000	55.0290	1,100.58	04/05/13	431.62	270	Short-Term
Cost Basis			3,676.10				
CHECK PT SOFTWARE TECH F ^(M)	45.0000	64.4990	2,902.46	<1%	758.03	0.00%	0.00
SYMBOL: CHKP	25.0000	46.3452	1,158.63	07/16/12	453.85	533	Long-Term
	20.0000	49.2900	985.80	08/27/12	304.18	491	Long-Term
Cost Basis			2,144.43				

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
CISCO SYSTEMS INC ^(M)	175.0000	22.4300	3,925.25	<1%	851.07	3.03%	119.00
SYMBOL: CSCO	105.0000	17.3813	1,825.04	11/03/08	530.11	1884	Long-Term
	70.0000	17.8448	1,249.14	04/11/11	320.96	995	Long-Term
<i>Cost Basis</i>			3,074.18				
CITIGROUP INC NEW ^(M)	65.0000	52.1100	3,387.15	<1%	1,383.20	0.07%	2.60
SYMBOL: C	20.0000	41.7365	834.73	05/17/11	207.47	959	Long-Term
	45.0000	25.9826	1,169.22	10/06/11	1,175.73	817	Long-Term
<i>Cost Basis</i>			2,003.95				
CITRIX SYSTEMS INC ^(M)	60.0000	63.2500	3,795.00	<1%	120.07	0.00%	0.00
SYMBOL: CTXS	35.0000	62.7782	2,197.24	06/06/13	16.51	208	Short-Term
	25.0000	59.1076	1,477.69	10/24/13	103.56	68	Short-Term
<i>Cost Basis</i>			3,674.93				
COMCAST CORP NEW CL A ^(M)	115.0000	51.9650	5,975.98	<1%	3,793.84	1.50%	89.70
SYMBOL: CMCSA	70.0000	18.1284	1,268.99	08/11/10	2,368.56	1238	Long-Term
	45.0000	20.2922	913.15	08/22/11	1,425.28	862	Long-Term
<i>Cost Basis</i>			2,182.14				
COVIDIEN PLC NEW F ^(M)	80.0000	68.1000	5,448.00	<1%	2,018.63	1.87%	102.40
SYMBOL: COV	30.0000	45.4356	1,363.07	08/02/11	679.93	882	Long-Term
	25.0000	41.7884	1,044.71	11/02/11	657.79	790	Long-Term
	25.0000	40.8636	1,021.59	12/09/11	680.91	753	Long-Term
<i>Cost Basis</i>			3,429.37				
CROWN HOLDINGS INC ^(M)	105.0000	44.5700	4,679.85	<1%	997.61	0.00%	0.00
SYMBOL: CCK	45.0000	34.3942	1,547.74	01/17/12	457.91	714	Long-Term
	30.0000	37.3240	1,119.72	03/01/12	217.38	670	Long-Term
	30.0000	33.8260	1,014.78	06/06/12	322.32	573	Long-Term
<i>Cost Basis</i>			3,682.24				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
DANAHER CORP DEL ^(M)	90.0000	77.2000	6,948.00	<1%	3,070.66	0.12%	9.00
SYMBOL: DHR	45.0000	40.1653	1,807.44	10/04/10	1,666.56	1184	Long-Term
	25.0000	41.6612	1,041.53	08/22/11	888.47	862	Long-Term
	20.0000	51.4185	1,028.37	06/06/12	515.63	573	Long-Term
<i>Cost Basis</i>			3,877.34				<i>Accrued Dividend: 2.25</i>
DIRECTV ^(M)	50.0000	69.0600	3,453.00	<1%	979.25	0.00%	0.00
SYMBOL: DTV	50.0000	49.4750	2,473.75	03/07/13	979.25	299	Short-Term
DISNEY WALT CO ^(M)	65.0000	76.4000	4,966.00	<1%	765.40	1.12%	55.90
SYMBOL: DIS	45.0000	66.0546	2,972.46	08/08/13	465.54	145	Short-Term
	20.0000	61.4070	1,228.14	08/28/13	299.86	125	Short-Term
<i>Cost Basis</i>			4,200.60				<i>Accrued Dividend: 55.90</i>
DOLLAR GENERAL CORP NEW ^(M)	85.0000	60.3200	5,127.20	<1%	1,260.69	0.00%	0.00
SYMBOL: DG	55.0000	43.1192	2,371.56	01/09/13	946.04	356	Short-Term
	30.0000	49.8316	1,494.95	06/04/13	314.65	210	Short-Term
<i>Cost Basis</i>			3,866.51				
E M C CORP MASS ^(M)	145.0000	25.1500	3,646.75	<1%	111.49	1.59%	58.00
SYMBOL: EMC	45.0000	24.6895	1,111.03	06/07/12	20.72	572	Long-Term
	55.0000	24.0996	1,325.48	10/26/12	57.77	431	Long-Term
	45.0000	24.4166	1,098.75	11/19/12	33.00	407	Long-Term
<i>Cost Basis</i>			3,535.26				
EQT CORP ^(M)	35.0000	89.7800	3,142.30	<1%	1,833.11	0.13%	4.20
SYMBOL: EQT	35.0000	37.4054	1,309.19	07/21/10	1,833.11	1259	Long-Term

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
EXPRESS SCRIPTS HLDG CO (M) SYMBOL: ESRX	131.0000	70.2400	9,201.44	<1%	3,530.35	0.00%	0.00
	30.0000	24.8023	744.07	04/06/09	1,363.13	1730	Long-Term
	16.0000	56.4900	903.84	08/22/11	220.00	862	Long-Term
	30.0000	42.4163	1,272.49	09/20/11	834.71	833	Long-Term
	30.0000	40.2283	1,206.85	10/06/11	900.35	817	Long-Term
	25.0000	61.7536	1,543.84	10/25/13	212.16	67	Short-Term
<i>Cost Basis</i>			5,671.09				
FIDELITY NATL INFO SVCS (M) SYMBOL: FIS	65.0000	53.6800	3,489.20	<1%	1,733.54	1.63%	57.20
	65.0000	27.0101	1,755.66	10/05/10	1,733.54	1183	Long-Term
FISERV INC (M) SYMBOL: FISV	110.0000	59.0500	6,495.50	<1%	3,527.95	0.00%	0.00
	110.0000	26.9777	2,967.55	10/04/10	3,527.95	1184	Long-Term
FREEPORT MCMORAN COPPER (M) SYMBOL: FCX	130.0000	37.7400	4,906.20	<1%	570.22	3.31%	162.50
	60.0000	31.6765	1,900.59	09/29/11	363.81	824	Long-Term
	25.0000	39.2916	982.29	03/06/12	(38.79)	665	Long-Term
	45.0000	32.2911	1,453.10	02/27/13	245.20	307	Short-Term
<i>Cost Basis</i>			4,335.98				
GENERAL ELECTRIC COMPANY (M) SYMBOL: GE	290.0000	28.0300	8,128.70	<1%	3,506.59	2.71%	220.40
	290.0000	15.9383	4,622.11	11/30/10	3,506.59	1127	Long-Term
							Accrued Dividend: 63.80
GOOGLE INC CLASS A (M) SYMBOL: GOOG	8.0000	1,120.7100	8,965.68	<1%	5,478.41	0.00%	0.00
	5.0000	358.4600	1,792.30	11/03/08	3,811.25	1884	Long-Term
	1.0000	525.7300	525.73	05/24/11	594.98	952	Long-Term
	2.0000	584.6200	1,169.24	02/01/12	1,072.18	699	Long-Term
<i>Cost Basis</i>			3,487.27				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
HOME DEPOT INC ^(M)	70.0000	82.3400	5,763.80	<1%	288.89	1.89%	109.20
SYMBOL: HD	50.0000	77.3584	3,867.92	11/13/13	249.08	48	Short-Term
	20.0000	80.3495	1,606.99	11/25/13	39.81	36	Short-Term
<i>Cost Basis</i>			5,474.91				
JOHNSON & JOHNSON ^(M)	50.0000	91.5900	4,579.50	<1%	1,157.45	2.88%	132.00
SYMBOL: JNJ	35.0000	68.5380	2,398.83	08/02/12	806.82	516	Long-Term
	15.0000	68.2146	1,023.22	08/17/12	350.63	501	Long-Term
<i>Cost Basis</i>			3,422.05				
JPMORGAN CHASE & CO ^(M)	125.0000	58.4800	7,310.00	<1%	2,455.72	2.59%	190.00
SYMBOL: JPM	100.0000	39.0740	3,907.40	10/04/10	1,940.60	1184	Long-Term
	25.0000	37.8752	946.88	05/11/12	515.12	599	Long-Term
<i>Cost Basis</i>			4,854.28				
KELLOGG COMPANY ^(M)	50.0000	61.0700	3,053.50	<1%	(6.72)	3.01%	92.00
SYMBOL: K	50.0000	61.2044	3,060.22	09/19/13	(6.72)	103	Short-Term
KINDER MORGAN INC ^(M)	120.0000	36.0000	4,320.00	<1%	255.52	4.55%	196.80
SYMBOL: KMI	45.0000	32.2897	1,453.04	06/07/12	166.96	572	Long-Term
	40.0000	35.0065	1,400.26	08/14/12	39.74	504	Long-Term
	35.0000	34.6051	1,211.18	10/12/12	48.82	445	Long-Term
<i>Cost Basis</i>			4,064.48				
MARATHON OIL CORP ^(M)	125.0000	35.3000	4,412.50	<1%	(123.01)	2.15%	95.00
SYMBOL: MRO	85.0000	36.1976	3,076.80	10/28/13	(76.30)	64	Short-Term
	40.0000	36.4677	1,458.71	12/12/13	(46.71)	19	Short-Term
<i>Cost Basis</i>			4,535.51				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
MC DONALDS CORP ^(M)	45.0000	97.0300	4,366.35	<1%	840.08	3.33%	145.80
SYMBOL: MCD	25.0000	75.3532	1,883.83	01/06/11	541.92	1090	Long-Term
	10.0000	77.0550	770.55	04/11/11	199.75	995	Long-Term
	10.0000	87.1890	871.89	06/04/12	98.41	575	Long-Term
<i>Cost Basis</i>			3,526.27				
MERCK & CO INC NEW ^(M)	100.0000	50.0500	5,005.00	<1%	1,473.13	3.43%	172.00
SYMBOL: MRK	80.0000	35.1016	2,808.13	08/11/10	1,195.87	1238	Long-Term
	20.0000	36.1870	723.74	08/23/10	277.26	1226	Long-Term
<i>Cost Basis</i>			3,531.87				Accrued Dividend: 44.00
MICROSOFT CORP ^(M)	170.0000	37.4100	6,359.70	<1%	2,084.49	2.99%	190.40
SYMBOL: MSFT	115.0000	25.5549	2,938.82	03/15/11	1,363.33	1022	Long-Term
	55.0000	24.2980	1,336.39	05/24/11	721.16	952	Long-Term
<i>Cost Basis</i>			4,275.21				
NETAPP INC ^(M)	60.0000	41.1400	2,468.40	<1%	197.31	1.45%	36.00
SYMBOL: NTAP	30.0000	36.3703	1,091.11	12/20/11	143.09	742	Long-Term
	30.0000	39.3326	1,179.98	04/25/12	54.22	615	Long-Term
<i>Cost Basis</i>			2,271.09				
ORACLE CORPORATION ^(M)	250.0000	38.2600	9,565.00	<1%	4,105.62	1.25%	120.00
SYMBOL: ORCL	170.0000	18.7081	3,180.39	01/06/09	3,323.81	1820	Long-Term
	40.0000	25.0915	1,003.66	08/22/11	526.74	862	Long-Term
	40.0000	31.8832	1,275.33	07/12/13	255.07	172	Short-Term
<i>Cost Basis</i>			5,459.38				
P G & E CORP ^(M)	75.0000	40.2800	3,021.00	<1%	(316.87)	4.51%	136.50
SYMBOL: PCG	35.0000	45.6794	1,598.78	08/11/10	(188.98)	1238	Long-Term
	40.0000	43.4772	1,739.09	06/01/11	(127.89)	944	Long-Term
<i>Cost Basis</i>			3,337.87				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
PEPSICO INCORPORATED ^(M) SYMBOL: PEP	30.0000 30.0000	82.9400 65.3206	2,488.20 1,959.62	<1% 11/08/10	528.58 528.58	2.73% 1149	68.10 Long-Term <i>Accrued Dividend: 17.03</i>
PRAXAIR INC ^(M) SYMBOL: PX	50.0000 40.0000 10.0000	130.0300 87.3045 105.3950	6,501.50 3,492.18 1,053.95	<1% 08/11/10 10/12/12	1,955.37 1,709.02 246.35	1.84% 1238 445	120.00 Long-Term Long-Term
<i>Cost Basis</i>			4,546.13				
REPUBLIC SERVICES INC ^(M) SYMBOL: RSG	114.0000 29.0000 45.0000 40.0000	33.2000 28.9558 27.9631 26.2225	3,784.80 839.72 1,258.34 1,048.90	<1% 11/10/10 11/01/11 11/23/11	637.84 123.08 235.66 279.10	3.13% 1147 791 769	118.56 Long-Term Long-Term Long-Term
<i>Cost Basis</i>			3,146.96				
STRYKER CORP ^(M) SYMBOL: SYK	65.0000 45.0000 20.0000	75.1400 46.6288 51.6230	4,884.10 2,098.30 1,032.46	<1% 08/23/10 06/06/12	1,753.34 1,283.00 470.34	1.41% 1226 573	68.90 Long-Term Long-Term
<i>Cost Basis</i>			3,130.76				
T J X COS INC ^(M) SYMBOL: TJX	85.0000 50.0000 35.0000	63.7300 21.6094 45.2854	5,417.05 1,080.47 1,584.99	<1% 08/09/10 03/13/13	2,751.59 2,106.03 645.56	0.91% 1240 293	49.30 Long-Term Short-Term
<i>Cost Basis</i>			2,665.46				
TARGET CORPORATION ^(M) SYMBOL: TGT	65.0000 45.0000 20.0000	63.2700 53.6037 52.9260	4,112.55 2,412.17 1,058.52	<1% 10/04/10 03/11/11	641.86 434.98 206.88	2.71% 1184 1026	111.80 Long-Term Long-Term
<i>Cost Basis</i>			3,470.69				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
TERADATA CORP (M)	50.0000	45.4900	2,274.50	<1%	(363.03)	0.00%	0.00
SYMBOL: TDC	25.0000	50.3136	1,257.84	04/24/13	(120.59)	251	Short-Term
	25.0000	55.1876	1,379.69	05/09/13	(242.44)	236	Short-Term
<i>Cost Basis</i>			2,637.53				
TORTOISE ENERGY CAPITAL (M)	1,250.0000	32.6500	40,812.50	4%	8,477.80	5.23%	2,137.50
SYMBOL: TYY	1,250.0000	25.8677	32,334.70	04/06/10	8,477.80	1365	Long-Term
U S BANCORP DEL NEW (M)	110.0000	40.4000	4,444.00	<1%	812.39	2.27%	101.20
SYMBOL: USB	35.0000	33.1325	1,159.64	08/23/12	254.36	495	Long-Term
	75.0000	32.9596	2,471.97	01/07/13	558.03	358	Short-Term
<i>Cost Basis</i>			3,631.61				
UNION PACIFIC CORP (M)	25.0000	168.0000	4,200.00	<1%	917.27	1.88%	79.00
SYMBOL: UNP	15.0000	111.0380	1,665.57	03/22/12	854.43	649	Long-Term
	10.0000	161.7160	1,617.16	09/19/13	62.84	103	Short-Term
<i>Cost Basis</i>			3,282.73				Accrued Dividend: 19.75
UNITED TECHNOLOGIES CORP (M)	65.0000	113.8000	7,397.00	<1%	3,443.63	2.07%	153.40
SYMBOL: UTX	50.0000	54.8154	2,740.77	11/03/08	2,949.23	1884	Long-Term
	15.0000	80.8400	1,212.60	02/01/12	494.40	699	Long-Term
<i>Cost Basis</i>			3,953.37				
UNITEDHEALTH GROUP INC (M)	80.0000	75.3000	6,024.00	<1%	4,094.10	1.48%	89.60
SYMBOL: UNH	80.0000	24.1237	1,929.90	02/24/09	4,094.10	1771	Long-Term
V F CORPORATION (M)	68.0000	62.3400	4,239.12	<1%	2,582.89	6.73%	285.60
SYMBOL: VFC	48.0000	20.3391	976.28	10/04/10	2,016.04	1184	Long-Term
	20.0000	33.9975	679.95	05/18/12	566.85	592	Long-Term
<i>Cost Basis</i>			1,656.23				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
VISA INC CL A ^(M)	18.0000	222.6800	4,008.24	<1%	2,771.10	0.71%	28.80
CLASS A	18.0000	68.7300	1,237.14	12/20/10	2,771.10	1107	Long-Term
SYMBOL: V							
WALGREEN COMPANY ^(M)	65.0000	57.4400	3,733.60	<1%	1,698.37	2.19%	81.90
SYMBOL: WAG	30.0000	28.5293	855.88	07/29/10	867.32	1251	Long-Term
	35.0000	33.6957	1,179.35	01/20/12	831.05	711	Long-Term
Cost Basis			2,035.23				
WELLS FARGO & CO NEW ^(M)	135.0000	45.4000	6,129.00	<1%	2,677.04	2.64%	162.00
SYMBOL: WFC	135.0000	25.5700	3,451.96	10/04/10	2,677.04	1184	Long-Term
WILLIAMS COMPANIES ^(M)	145.0000	38.5700	5,592.65	<1%	2,078.15	3.94%	220.40
SYMBOL: WMB	70.0000	16.1484	1,130.39	07/27/10	1,569.51	1253	Long-Term
	35.0000	31.9297	1,117.54	03/20/12	232.41	651	Long-Term
	40.0000	31.6642	1,266.57	12/13/12	276.23	383	Long-Term
Cost Basis			3,514.50				
Total Equities	6,449.0000		366,282.59	3.38%	134,184.01		7,818.82
Total Cost Basis			192,098.58				

Total Accrued Dividend for Equities: 250.73

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.



Schwab One® Trust Account of
GARY ELSWORTH SMITH TTEE
THE PETER SATEGNA EDUCATIONAL
U/A DTD 01/01/2008

Account Number
1298-8873

Statement Period
December 1-31, 2013

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
RIDGEWORTH SEIX FLOAT ^(M) RATE HIGH INC I SYMBOL: SAMBX	6,698.4620	9.0600	60,688.07	6%	8.96	60,000.00	688.07
Total Bond Funds	6,698.4620		60,688.07	6%		60,000.00	688.07

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
ASTON TAMRO SMALL CAP FU ^(M) ND CL I SYMBOL: ATSIX	639.4910	23.1200	14,785.03	2%	18.76	12,000.00	2,785.03
DELAWARE US GROWTH FD ^(M) INST CL SYMBOL: DEUIX	4,209.8910	24.9400	104,994.68	11%	12.35	52,000.00	52,994.68
HARBOR INTERNATIONAL ^(M) FUND INST CL SYMBOL: HAINX	445.3630	71.0100	31,625.23	3%	67.36	30,000.00	1,625.23
MAINSTAY MARKETFIELD FD ^(M) CL I SYMBOL: MFLDX	141.8840	18.5200	2,627.69	<1%	17.62	2,500.00	127.69
MATTHEWS PACIFIC TIGER ^(M) FD INSTL SYMBOL: MIPTX	982.9430	24.9700	24,544.09	3%	20.37	20,020.00	4,524.09

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Schwab
INSTITUTIONAL

Schwab One® Trust Account of
GARY ELSWORTH SMITH TTEE
THE PETER SATEGNA EDUCATIONAL
U/A DTD 01/01/2008

Account Number
1298-8873

Statement Period
December 1-31, 2013

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
VIRTUS EMRG MKTS OPPTY (M) FD CL I SYMBOL: HIEMX	729.3290	9.5500	6,965.09	<1%	8.75	6,383.53	581.56
Total Equity Funds	729.3290		6,965.09	<1%		6,383.53	581.56
Total Mutual Funds	3,847.3630		246,229.88	26%		182,903.53	63,326.35

Investment Detail - Other Assets

Other Assets	Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)	Holding Days	Holding Period
	Units Purchased	Cost Per Share	Cost Basis	Acquired			
AVALONBAY CMNTYS INC (M) REIT SYMBOL: AVB	15.0000 15.0000	118.2300 140.8493	1,773.45 2,112.74	<1% 07/12/13	(339.29) (339.29)	172	Short-Term
CREDIT SUISSE ETN F (M) EQUAL WEIGHT MLP INDEX SYMBOL: MLPN	800.0000 800.0000	31.4100 24.4608	25,128.00 19,568.71	3% 08/21/12	5,559.29 5,559.29	497	Long-Term
ISHARES CORE S&P ETF (M) MIDCAP SYMBOL: IJH	250.0000 250.0000	133.8100 80.7450	33,452.50 20,186.25	3% 10/12/10	13,266.25 13,266.25	1176	Long-Term
ISHARES CORE S&P ETF (M) SMALLCAP SYMBOL: IJR	450.0000 450.0000	109.1300 94.9138	49,108.50 42,711.25	5% 08/19/13	6,397.25 6,397.25	134	Short-Term

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Page 19 of 26

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Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Holding Days	Holding Period
ISHARES TR RUSSELL 1000 (M)	455.0000	85.9500	39,107.25	4%	12,094.84		
GROWTH ETF	455.0000	59.3679	27,012.41	01/10/12	12,094.84	721	Long-Term
SIMON PPTY GROUP NEW (M)	27.0000	152.1600	4,108.32	<1%	(192.51)		
REIT/NON-PAIRED STOCK	17.0000	161.2305	2,740.92	07/02/13	(154.20)	182	Short-Term
SIMON PPTY/SPG REALTY	10.0000	155.9910	1,559.91	09/19/13	(38.31)	103	Short-Term
SYMBOL: SPG							
Cost Basis			4,300.83				
Total Other Assets	1,197.0000		152,679.02	16%	36,785.83		
Total Cost Basis			115,892.19				

Total Investment Detail	958,115.35
Total Account Value	958,115.35
Total Cost Basis	656,278.00

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