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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

Department of the Treasury
Internal Revenue Service

For calendar year 2013 or tax year beginning

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990of

Ford	aler	ndar year 2013 or tax year beginning		, and e	nding		
Nan	ne of	foundation	A Employer identification	number			
H.	AR.	RIET AND WARREN STEPHEN					
F	OU.	NDATION	26-1634531				
Num	ber a	nd street (or P O box number if mail is not delivered to street	Room/suite	B Telephone number			
P	0	BOX 3507				501-377-20	00
City		own, state or province, country, and ZIP or foreign p	ostal code			C If exemption application is pe	ending, check here
		TLE ROCK, AR 72203					
		all that apply: Initial return	Initial return of a fo	rmer public o	harity	D 1. Foreign organizations	, check here
		Final return	Amended return	•		_	
		Address change	Name change			Foreign organizations me check here and attach co	eting the 85% test, mputation
H C	heck	type of organization: X Section 501(c)(3) ex	empt private foundation			E If private foundation stat	tus was terminated
	_		Other taxable private founda	tion		under section 507(b)(1)	
I Fa		arket value of all assets at end of year J Accounting	ng method: X Cash	Accr	ual	F If the foundation is in a 6	60-month termination
		Part II. col. (c), line 16)	her (specify)			under section 507(b)(1)	
•		17,738,108. (Part I, colu	mn (d) must be on cash b	oasis)			· · ·
		Analysis of Revenue and Expenses	(a) Revenue and		vestment	(c) Adjusted net	(d) Disbursements for charitable purposes
تت		(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	expenses per books		ome	income	(cash basis only)
	1	Contributions, gifts, grants, etc., received	7,769,223.	à	· · · · · · · · · · · · · · · · · · ·	N/A	,
	2	Check X if the foundation is not required to attach Sch. B		· · · · ·			1.3.1 65.
	3	Interest on savings and temporary cash investments		·····			
	4	Dividends and interest from securities	533,432.	53	3,432.		144-1
	58	Gross rents	·				
	١.	Net rental income or (loss)	1/12	i,		1 1 1	<i>",</i> "
		Net gain or (loss) from sale of assets not on line 10	63,627.		· 11	8000	, ,
Je	Ι.	Gross sales price for all 9,194,187.			1884 · · · 3		š\ , ' '. x
Revenue		Capital gain net income (from Part IV, line 2)	* * * * * * * * * * * * * * * * * * * *	6	3,627.		
æ	8	Net short-term capital gain	¥	· · · · · · · · · · · · · · · · · · ·	T 1845		
	9	Income modifications	7 . (.70.)		4 .		· 1 - 5 \$ 6 1
	_	Gross sales less returns and allowances			1. M. 1		\ \$\}X
K K		Less Cost of goods sold			\$ E E		2,32%
2014		Gross profit or (loss)		· ·	· (44)		**************************************
හ	Į.	Other income	-5,712.	_	5,712.		STATEMENT, 1
(12	Total Add lines 1 through 11	8,360,570.	59	1,347.		
Δ	13	Compensation of officers, directors, trustees, etc	0.		0.		0.
SE	14	Other employee salaries and wages					
۵.,	15	Pension plans, employee benefits					
il s	16	a Legal fees PECEIVED					
Z = =	l	A Legal fees RECEIVED b Accounting fees					
₹ X	۱,	Other professional fees					
ည္ကန္	17	Interest SEP 0 9 2014	246.		0.		0.
a ti	18	Taxes STMT 2	22,613.		0.		0.
ıstı	19	Depreciation and depletion UT					
Ē.	20	Occupancy OGDETT, OT					
Ad	21	Travel, conferences, and meetings					
P	22	Printing and publications					
g g	23	Other expenses STMT 3	6,416.		3,630.		0.
SCANNE Operating and Administrative Expenses	24	Total operating and administrative					
Jer.		expenses. Add lines 13 through 23	29,275.		3,630.		0.
ō	25		433,000.				433,000.
	26						
	1	Add lines 24 and 25	462,275.		3,630.		433,000.
	27	Subtract line 26 from line 12:				* *	
		Excess of revenue over expenses and disbursements	7,898,295.				
		b Net investment income (if negative, enter -0-)		58	37,717.		
		c Adjusted net income (rf negative, enter -0-)				N/A	
3235 10-1	0 i 0-13		instructions			5	Form 990-PF (2013)

rm 990-PF (2013)	FOUNDAT:	ION	

26-1634531 For Page 2 Beginning of year End of year Part*II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (a) Book Value (c) Fair Market Value (b) Book Value 636,151 7,390,825 7,390,825. 1 Cash - non-interest-bearing 2 Savings and temporary cash investments 7,469 3 Accounts receivable ► ,469 8,234 Less, allowance for doubtful accounts 4 Pledges receivable Less: allowance for doubtful accounts 5 Grants receivable 6 Receivables due from officers, directors, trustees, and other disqualified persons 7 Other notes and loans receivable Less: allowance for doubtful accounts 8 Inventories for sale or use 9 Prepaid expenses and deferred charges 10a Investments - U.S. and state government obligations b Investments - corporate stock c Investments - corporate bonds 11 Investments - land, buildings, and equipment basis Less accumulated depreciation 12 Investments - mortgage loans 8,362,959 STMT 4 Investments - other 13 14 Land, buildings, and equipment: basis Less accumulated depreciation 15 Other assets (describe ▶ 16 Total assets (to be completed by all filers - see the 16,905,639 9,007,344. instructions. Also, see page 1, item 1) 17 Accounts payable and accrued expenses 18 Grants payable 19 Deferred revenue 20 Loans from officers, directors, trustees, and other disqualified persons 21 Mortgages and other notes payable 22 Other liabilities (describe 0 23 Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. Balances 24 Unrestricted 25 Temporarily restricted 26 Permanently restricted Fund ightharpoons XFoundations that do not follow SFAS 117, check here and complete lines 27 through 31. 0 27 Capital stock, trust principal, or current funds 0. 28 Paid-in or capital surplus, or land, bldg., and equipment fund 9,007,344. 16,905,639 29 Retained earnings, accumulated income, endowment, or other funds 9,007,344. 16,905,639 30 Total net assets or fund balances 9,007,344. 16,905,639 31 Total liabilities and net assets/fund balances Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 9,007,344 (must agree with end-of-year figure reported on prior year's return) 898,295. 2 Enter amount from Part I, line 27a Other increases not included in line 2 (itemize) 3 4 Add lines 1, 2, and 3 Decreases not included in line 2 (itemize)

323511 10-10-13

Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30

Part V Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold 2-story brick warehouse, or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 1a ACACIA RESEARCH - ACACIA TECH 01/05/12/01/07/13 P \overline{P} b ARKANSAS BEST CORPORATION 01/05/1201/07/13 c ASBURY AUTOMOTIVE GROUP INC \overline{P} 01/11/11/01/07/13 d BOTTOMLINE TECHNOLOGIES P 01/09/1305/20/13 e BUFFALO WILD WINGS INC $\overline{\mathbf{P}}$ 01/05/12|01/07/13 CALLON PETROLEUM CO \overline{P} 01/08/1303/12/13 CAPITAL SENIOR LIVING CORP 04/24/1310/11/13 \overline{P} h CELADON GROUP INC P 01/08/1304/02/13 CHICOS FAS INC P 01/05/1201/07/13 01/05/1201/07/13 DEXCOM INC \overline{P} k EXCO RESOURCES INC, 7.500%, P 03/15/1211/21/13 DUE: 9/15/2018 \overline{P} 04/10/1211/21/13 EXCO RESOURCES INC, 7.500%, DUE: 9/15/2018 P 06/08/1201/07/13 m EDGEN GROUP INC n EMERITUS CORP P 01/08/1312/04/13 07/12/1205/03/13 o EQUIFAX INC P (h) Gain or (loss) (f) Depreciation allowed (g) Cost or other basis (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 27,385 37,409 -10,024.37,449. -18,846.18,603. b 90,410.51,414. 38,996. 23,505. 24,007. 502. d 37,364 42,786 422. е 23,709. -1,360. 22,349 74,188. 35,699 38,489. 23,538. -477.23,061. h 37,537. 63,080. 25,543. <u>37,</u>579. 22,475. 60,054. 22,063. 22,063. 0. $41\overline{2},06\overline{3}$. 412,063. 0. 5,841. 6,369 $-5\overline{28}$. m 23,528 19,858. -3,670. n 171,360. 131,610 39,750. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (k) Excess of col. (i) (j) Adjusted basis but not less than "-0-") (i) F.M.V. as of 12/31/69 over col. (j), if any as of 12/31/69 -10,024.a -18,846.b 38,996. C 502. d 5,422. -1,360. 38,489. g -477.25,543. 22,475. 0. Ō. -528. m -3,670. Π 39,750.0 If gain, also enter in Part I, line 7 2 Capital gain net income or (net capital loss) If (loss), enter "-0-" in Part I, line 7 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 3

Part IV Capital Gains and Lo	sses for Tax on Investment Income			
	d describe the kind(s) of property solerick warehouse; or common stock, 20	(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)	
1a EQUINIX INC		P	04/24/1310/11/13	
b HEARTLAND PAYM	ENT SYSTEMS INC		P	05/20/1308/06/13
c HORNBECK OFFSH	ORE SERVICES INC		P	11/08/1101/07/13
	EY HIGH YIELD FU	ND	P	04/01/0912/17/13
e HOTCHKIS & WIL	EY HIGH YIELD FU	ND	P	01/01/1312/17/13
f INGREDION INC			P	01/05/1201/07/13
g IPG PHOTONICS	CORP		P	05/30/1206/25/13
h KIRBY CORPORAT			P	01/05/1201/07/13
	OUGHNUTS INC		P	01/08/1306/14/13
	RNATIONAL INC			01/08/1305/20/13
	YSTEMS INC		P	01/08/1307/22/13
	INC		P	01/05/1201/07/13
m TEXAS CAPITAL			P	09/23/1101/07/13
n WHITING PETROL			P	01/05/1201/07/13
o ENERGY TRANSFE			P	11/22/13/11/22/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale) Gain or (loss) lus (f) minus (g)
a 21,226.		_27,996.		-6,770.
b 29,420.		24,108.		5,312.
c 58,715.		53,132.		5,583.
d 9,134.				9,134.
e 5,576.				5,576.
f 47,985.		37,387.		10,598.
g 21,017.		15,548.		5,469.
h 35,999.		37,352.		-1,353.
35,441.		23,592.		11,849.
18,412.	<u> </u>	23,585. 23,551.		-5,173. -460.
k 23,091.		37,408.		-1,989.
10 000		20,747.		22,221.
22 026		37,400.		-3,464.
$\frac{n}{0}$ $\frac{33,936}{7,604,768}$		7,719,600.		-114,832.
	ng gain in column (h) and owned by i		(1)1 00	ses (from col. (h))
(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess o	of col. (h) gain over col. (k), ot less than "-0-")
a				-6,770.
<u>b</u>				5,312.
<u>c</u>				5,583.
<u>d</u>				9,134.
<u>e</u>				5,576.
<u>f</u>				10,598.
<u>g</u>				5,469. -1,353.
<u>h</u>				11,849.
				-5,173.
k				-460.
1				-1,989.
m				22,221.
n				-3,464.
0				-114,832.
2 Capital gain net income or (net ca	· · · · · · · · · · · · · · · · · · ·		2	
3 Net short-term capital gain or (logif gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8		d (6):	3	
(1000), 51101 0 1111 urt i, illio			_ <u>~ </u>	

Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase D - Donation (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) TRANSFER EQUITY LP 12/13/13|12/13/13 ta ENERGY P P 06/14/1306/19/13 b SAIA INC ISHARES P 01/14/1104/16/13 1-3 YEAR TREASURY BOND ETF GLOBAL ENERGY ETF d ISHARES P 01/14/1104/16/13 ISHARES OIL & GAS EXPLORATION & PRODUCTION ETF P 01/14/1104/16/13 US EXPLORATION PRODUCTION P 08/09/1104/16/13 ISHARES US OIL 82 GAS & ETF P TIMBER CO 01/14/1104/16/13 PLUM CREEK INC h UNITED STATES P 12/19/1104/16/13 $1\overline{2}$ MONTH NAT GAS P 12/11/1204/16/13 UNITED STATES $\overline{12}$ MONTH NAT GAS UNITED STATES 12 MONTH OIL FUND LP \overline{P} 01/14/1104/16/13 P 08/09/1104/16/13 GASOLINE FUND UNITED STATES PUBLICLY TRADED PARTNERSHIPS PUBLICLY TRADED PARTNERSHIPS n 0 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 50,054. 49,623 431. а 24. 24. 1,261 1,267. 6. 5,201. 4,995. -206. d 9,582.9,138. 444. е 1,744. 2,053 309. 11,034. 8,810. 2,224. 191. 5,577. 5,386. h 1,272 1,228.44. 6,217.6,806. -589. 1,897. 2,068. -171.8,586. -8,586. 1 8,467. -8,467.m n 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (k) Excess of col. (1) (j) Adjusted basis (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 431. a 24. b 6. C -206. 444. 309. 2,224.191. 44. -589. -171. -8,586. -8,467.m n 0 If gain, also enter in Part I, line 7 2 Capital gain net income or (net capital loss) (if (loss), enter *-0-* in Part I, line 7 63,627. 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter *-0-" in Part I, line 8 N/A

Page 3

	Part IV Capital Gains and Losses for Tax on Investment Income (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How a P - Pur D - Do	acquired chase nation	(c) Date ac (mo., day		(d) Date sold (mo., day, yr.)	
a									
b SEE ATTACHE	ED STA	rements							
<u>C</u>									
<u>d</u>									
<u>e</u>			T	 					
(e) Gross sales price	(f)	Depreciation allowed (or allowable)	10,	t or other basis xpense of sale				n or (loss) (f) minus (i	
<u>a</u>			-						
C			 						
d									
e 9,194,187	7.			9,130,56	0.				63,627.
Complete only for assets sho		column (h) and owned by				(I)	Gains (Co	l. (h) gaın ı	
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69		cess of col. (ı) col. (ı), ıf any		còl.	(k), but no	ot less than from col. (f	ı -0-) o r
a							_		
b									
C			1						
<u>d</u>			<u> </u>						60 60 5
<u>e</u>		_ 			_				63,627.
Capital gain net income or (ne	et capital loss	If gain, also ente If (loss), enter -0			} 2				63,627.
Net short-term capital gain or		(()			<i>/</i> [†			
If gain, also enter in Part I, line			u (o).		\mathcal{I}				
If (loss), enter -0- in Part I, lin	ie 8				<u> </u>			N/A	
Part V Qualification	1 Under S	ection 4940(e) for	r Reduced	Tax on Net	Invest	ment Inc	ome		
For optional use by domestic pri	ivate foundati	ons subject to the section :	4040(a) tay on						
Contrar 4040/d\/0\ contrar les	us this sort hi	•	4540(a) lax 011	net investment in	icome.)				
section 4940(d)(2) applies, leav	ve this part bl	•	4540(a) lax on	net investment in	icome.)				
as the foundation liable for the	section 4942	ank. tax on the distributable an	nount of any ye	ear in the base per	·				Yes X No
as the foundation liable for the "Yes," the foundation does not o	section 4942 qualify under	ank. tax on the distributable an section 4940(e). Do not co	nount of any ye	ear in the base per	nod?				Yes X No
as the foundation liable for the	section 4942 qualify under	ank. tax on the distributable an section 4940(e). Do not co mn for each year; see the i	nount of any ye	ear in the base per	ntries.				
Vas the foundation liable for the "Yes," the foundation does not on Enter the appropriate amount (a) Base period years	section 4942 qualify under t in each colu	ank. tax on the distributable an section 4940(e). Do not comn for each year; see the i	nount of any ye omplete this pa instructions be	ear in the base per rt. fore making any e	ntries.	e-use assets		Distrib	(d) ution ratio
Vas the foundation liable for the "Yes," the foundation does not one that the appropriate amount (a) Base period years Calendar year (or tax year beginning)	section 4942 qualify under t in each colu	ank. tax on the distributable an section 4940(e). Do not co mn for each year; see the i (b) Adjusted qualifying dis	nount of any ye omplete this pa instructions be stributions	ear in the base per	ntries. (c)		<u> </u>	Distrib col. (b) divi	(d) ution ratio ded by col. (c))
Vas the foundation liable for the "Yes," the foundation does not contact the Enter the appropriate amount (a) Base period years Calendar year (or tax year beginned)	section 4942 qualify under t in each colu	ank. tax on the distributable an section 4940(e). Do not comm for each year; see the interpretable (b) Adjusted qualifying dis	nount of any ye omplete this pa instructions be stributions 3 3 , 0 0 0 •	ear in the base per rt. fore making any e	ntries. (c) ncharitable	45,519	•	Distrib col. (b) divi	(d) ution ratio ided by col. (c))
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Vas the foundation liable for the "Yes," the foundation does not on Enter the appropriate amount (a) Base period years Calendar year (or tax year begins 2012 2011 2010	section 4942 qualify under t in each colu	ank. tax on the distributable an section 4940(e). Do not comm for each year; see the interpretable distributed qualifying distributed qu	mount of any year omplete this painstructions before this before the stributions $\frac{33,000}{50,205}$.	ear in the base per rt. fore making any e	ntries. (c) ncharitable 9,44 1,09	45,519 57,347 40,350	•	Distrib col. (b) divi	(d) ution ratio ded by col. (c)) .045842 .142058
Vas the foundation liable for the "Yes," the foundation does not on Enter the appropriate amount (a) Base period years Calendar year (or tax year begins) 2012 2011	section 4942 qualify under t in each colu	ank. tax on the distributable an section 4940(e). Do not comm for each year; see the interpretable distributed qualifying distributed qu	nount of any year omplete this parametrictions before the stributions $\frac{33,000}{50,205}$.	ear in the base per rt. fore making any e	ntries. (c) ncharitable 9,44 1,09 3,04	45,519 57,347 40,350 47,349	•	Distrib col. (b) divi	(d) ution ratio ution ratio ded by col. (c)) 045842
Vas the foundation liable for the "Yes," the foundation does not one of the Enter the appropriate amount (a) Base period years Calendar year (or tax year beging 2012 2011 2010 2009	section 4942 qualify under t in each colu	ank. tax on the distributable an section 4940(e). Do not comm for each year; see the interpretable distributed qualifying distributed qu	mount of any year omplete this painstructions between the stributions $\frac{33,000}{50,205}$.	ear in the base per rt. fore making any e	ntries. (c) ncharitable 9,44 1,09 3,04	45,519 57,347 40,350	•	Distrib col. (b) divi	(d) ution ratio ided by col. (c)) . 045842 . 142058 . 082236 . 074036
Vas the foundation liable for the "Yes," the foundation does not one of the Enter the appropriate amount (a) Base period years Calendar year (or tax year beging 2012 2011 2010 2009	section 4942 qualify under t in each colu	ank. tax on the distributable an section 4940(e). Do not comm for each year; see the interpretable distributed qualifying distributed qu	mount of any year omplete this painstructions between the stributions $\frac{33,000}{50,205}$.	ear in the base per rt. fore making any e	ntries. (c) ncharitable 9,44 1,09 3,04	45,519 57,347 40,350 47,349	•	Distrib col. (b) divi	(d) ution ratio ided by col. (c)) . 045842 . 142058 . 082236 . 074036
Vas the foundation liable for the "Yes," the foundation does not content the appropriate amount (a) Base period years Calendar year (or tax year beging 2012 2011 2010 2009 2008 Total of line 1, column (d)	section 4942 qualify under t in each colu inning in)	tax on the distributable an section 4940(e). Do not comm for each year; see the interpretable distributable and for each year; see the interpretable distributable distrib	mount of any year omplete this parinstructions between the stributions and the stributions are	ear in the base per rt. fore making any e Net value of no	ntries. (c) ncharitable 9,44 1,09 3,04 5,24	45,519 57,347 40,350 47,349	•	Distrib col. (b) divi	(d) ution ratio ided by col. (c)) • 045842 • 142058 • 082236 • 074036
/as the foundation liable for the "Yes," the foundation does not content the appropriate amount (a) Base period years Calendar year (or tax year beging 2012 2011 2010 2009 2008 Total of line 1, column (d)	section 4942 qualify under t in each colu inning in)	tax on the distributable an section 4940(e). Do not comm for each year; see the interpretable to the distributable and for each year; see the interpretable to the distributable and for each year; see the interpretable to the distributable and	mount of any year omplete this parinstructions between the stributions and the stributions are	ear in the base per rt. fore making any e Net value of no	ntries. (c) ncharitable 9,44 1,09 3,04 5,24	45,519 57,347 40,350 47,349	•	Distrib col. (b) divi	(d) ution ratio ided by col. (c)) • 045842 • 142058 • 082236 • 074036
Vas the foundation liable for the "Yes," the foundation does not one of the Enter the appropriate amount (a) Base period years Calendar year (or tax year beginned) 2012 2011 2010 2009 2008 Total of line 1, column (d) Average distribution ratio for the foundation has been in exit	section 4942 qualify under t in each colu inning in) the 5-year bases istence if less	tax on the distributable an section 4940(e). Do not comm for each year; see the interpretation of the common for each year; see the interpretation of the common for each year; see the interpretation of the common for each year; see the interpretation of the common for each year. 4 3 15 25 38 see period - divide the total than 5 years	nount of any year omplete this painstructions between the stributions and the stributions are stributions and the stributions are stributions and the stributions are stributi	ear in the base per rt. fore making any e Net value of no	ntries. (c) ncharitable 9,44 1,09 3,04 5,24	45,519 57,347 40,350 47,349	2	col. (b) divi	(d) ution ratio ided by col. (c)) . 045842 . 142058 . 082236 . 074036 . 000000 . 344172
/as the foundation liable for the "Yes," the foundation does not one of the Enter the appropriate amount (a) Base period years Calendar year (or tax year beginned) 2012 2011 2010 2009 2008 Total of line 1, column (d) Average distribution ratio for the foundation has been in existence.	section 4942 qualify under t in each colu inning in) the 5-year bases istence if less	tax on the distributable an section 4940(e). Do not comm for each year; see the interpretation of the common for each year; see the interpretation of the common for each year; see the interpretation of the common for each year; see the interpretation of the common for each year. 4 3 15 25 38 see period - divide the total than 5 years	nount of any year omplete this painstructions between the stributions and the stributions are stributions and the stributions are stributions and the stributions are stributi	ear in the base per rt. fore making any e Net value of no	ntries. (c) ncharitable 9,44 1,09 3,04 5,24	45,519 57,347 40,350 47,349	2	col. (b) divi	(d) ution ratio ided by col. (c)) . 045842 . 142058 . 082236 . 074036 . 000000 . 344172
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l'as the foundation liable for the "Yes," the foundation does not content the appropriate amount (a) Base period years Calendar year (or tax year begins 2012 2011 2010 2009 2008 Total of line 1, column (d) Average distribution ratio for the foundation has been in existence of the net value of nonchar Multiply line 4 by line 3	section 4942 qualify under t in each colui inning in) the 5-year basistence if less ritable-use as	tax on the distributable an section 4940(e). Do not comm for each year; see the interpretation of the common for each year; see the interpretation of the common for each year; see the interpretation of the common for each year; see the interpretation of the common for each year. 4 3 15 25 38 see period - divide the total than 5 years sets for 2013 from Part X,	nount of any year omplete this painstructions between the stributions and the stributions are stributions and the stributions are stributions and the stributions are stributi	ear in the base per rt. fore making any e Net value of no	ntries. (c) ncharitable 9,44 1,09 3,04 5,24	45,519 57,347 40,350 47,349	2 3 4	col. (b) divi	(d) ution ratio ided by col. (c)) . 045842 . 142058 . 082236 . 074036 . 000000 . 344172 . 068834 0,813,714. 744,351.
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Vas the foundation liable for the "Yes," the foundation does not content the appropriate amount (a) Base period years Calendar year (or tax year beging 2012 2011 2010 2009 2008 Total of line 1, column (d) Average distribution ratio for the foundation has been in existence the net value of nonchar Multiply line 4 by line 3 Enter 1% of net investment in Add lines 5 and 6	section 4942 qualify under t in each colu inning in) the 5-year bases is tence if less ritable-use as	tax on the distributable an section 4940(e). Do not comm for each year; see the interpretation of the common of th	nount of any year omplete this painstructions between the stributions and the stributions are stributions and the stributions are stributions and the stributions are stributi	ear in the base per rt. fore making any e Net value of no	ntries. (c) ncharitable 9,44 1,09 3,04 5,24	45,519 57,347 40,350 47,349	2 3 4 5	col. (b) divi	(d) ution ratio ided by col. (c)) . 045842 . 142058 . 082236 . 074036 . 000000 . 344172 . 068834 0,813,714. 744,351. 5,877.
Vas the foundation liable for the "Yes," the foundation does not of Enter the appropriate amount (a) Base period years Calendar year (or tax year begins of the second years) 2012 2011 2010 2009 2008 Total of line 1, column (d) Average distribution ratio for the foundation has been in existence of the foundation has been in existence of the second years Enter the net value of nonchar Multiply line 4 by line 3 Enter 1% of net investment in	section 4942 qualify under t in each colui inning in) the 5-year basistence if less ritable-use as	tax on the distributable an section 4940(e). Do not comm for each year; see the interpretation of the section 4940(e). Do not comm for each year; see the interpretation of the section 43	mount of any year omplete this painstructions between the stributions and the stributions are stributions and the stributions are stributions and the stributions are stributi	ear in the base per rt. fore making any e Net value of no or by the number	ntries. (c) ncharitable 9,44 1,09 3,04 5,29 7,99	45,519 57,347 40,350 47,349 96,727	2 3 4 5	col. (b) divi	(d) ution ratio ided by col. (c)) . 045842 . 142058 . 082236 . 074036 . 000000 . 344172 . 068834 0,813,714. 744,351.

HARRIET AND WARREN STEPHENS FAMILY

Form 990-PF (2013) FOUNDATION [Part VI] Excise Tax Based on Investment Income (Section 494)	0(a) 4040(b) 4040(a) ar	26-1634	
1a Exempt operating foundations described in section 4940(d)(2), check here and element		1940 - See	instructions)
Date of ruling or determination letter: (attach copy of letter if near			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here			11,754.
of Part I, line 27b	and enter 176	 '- 	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4%	of Part I line 12 col (h)	ł	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Of	* *	2	0.
3 Add lines 1 and 2	mers enter o y	3	11,754.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. 0	thers enter -0-1	4	0.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-	mors onter o ,	5	11,754.
6 Credits/Payments		W. 1	
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 14,210.	9.2	*
b Exempt foreign organizations - tax withheld at source	6b		*
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d	1 1	, ,
7 Total credits and payments. Add lines 6a through 6d		7	14,210.
8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attain	ched	8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	2,456.
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax	2,456. Refunded	11	0.
Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legis	lation or did it participate or intervene	e in	Yes No
any political campaign?			1a X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purpos	ses (see instructions for the definition	1)7	1b X
If the answer is "Yes" to $_{1a}$ or $_{1b}$, attach a detailed description of the activities and	d copies of any materials publish	ed or	
distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?			1c X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the			
(1) On the foundation. ▶ \$ 0 . (2) On foundation managers.	.▶\$0.	_	1 1 - 1
e Enter the reimbursement (if any) paid by the foundation during the year for political expendi	ture tax imposed on foundation	_	
managers. ► \$ 0 .			
2 Has the foundation engaged in any activities that have not previously been reported to the IF	RS?		2 X
If "Yes," attach a detailed description of the activities			(1)
3 Has the foundation made any changes, not previously reported to the IRS, in its governing in	nstrument, articles of incorporation, i	or	
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the change	es		3 X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year	1,5		4a X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A	4b
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5 X
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied en	ther:		
 By language in the governing instrument, or 			
 By state legislation that effectively amends the governing instrument so that no mandatory 	directions that conflict with the state	e law	
remain in the governing instrument?			6 X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," con	nplete Part II, col (c), and Part X\	/	7 X
8a Enter the states to which the foundation reports or with which it is registered (see instruction	ns) >		
AR			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Af	torney General (or designate)		<u> </u>
of each state as required by General Instruction G? If "No," attach explanation			8b X
9 Is the foundation claiming status as a private operating foundation within the meaning of sec		endar	
year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," co	•	mvm E	9 X
10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedul	le listing their names and addresses	TMT 5	10 X

HARRIET AND WARREN STEPHENS FAMILY

	HARRIEI AND WARREN SIEFHENS PARILI				
	n 990-PF (2013) FOUNDATION	26-1634	<u> 1531</u>		Page 5
	art VII-A Statements Regarding Activities (continued)				
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of		,		
	section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory	privileges?			l
	If "Yes," attach statement (see instructions)		12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	Х	
	Website address > N/A				
14		. ► 501-37		<u>346</u>	
	Located at ► 111 CENTER STREET, SUITE 2020, LITTLE ROCK, AR	ZIP+4 ► 72	2201		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			▶	·Ш
	and enter the amount of tax-exempt interest received or accrued during the year	15		/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,			Yes	i .
	securities, or other financial account in a foreign country?		16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign				,
	country		┸		
P	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required				
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1	a During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes 🗶 No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)				′
	a disqualified person?	Yes 🗓 No	\$ **. v .	, ř.	1
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes 🗓 No		11.2 1	* ·
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes 🗓 No		1 8 3 3 4 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	15° 11'
	(5) Transfer any income or assets to a disqualified person (or make any of either available				
		Yes 🗶 No	£, ~,		
	(6) Agree to pay money or property to a government official? (Exception Check "No"			()	
	if the foundation agreed to make a grant to or to employ the official for a period after		·> C		7 4
		Yes X No	\ *		1 1/2
	b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations				18
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	ightharpoons			
	c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected				(3
	before the first day of the tax year beginning in 2013?		10		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	า	× 344	À.	ů.
	defined in section 4942(j)(3) or 4942(j)(5)):		**		
	a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginn	ing	1	****	, ,
		Yes X No	2		1
	If "Yes," list the years ▶ , , , ,		1 3	î '	
	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorre	ect			1.
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attached		,		1
	statement - see instructions.)	N/A	2b		
	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.				
	• The providence of section to 12(4)(=) are soming approved to any or the years and years are years.				
3	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time				
J		Yes X No			
	b if "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons a			1	ļ
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to				
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule				
	Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b		
4	a Did the foundation invest during the year any amount in a manner that would leopardize its charitable purposes?	, ,	4a		х

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that

had not been removed from jeopardy before the first day of the tax year beginning in 2013?

Part VII-B Statements Regarding Activities for which h	FORTH 4720 May be F	required (contin	uea)	
5a During the year did the foundation pay or incur any amount to:				48 318 3
'(1) Carry on propaganda, or otherwise attempt to influence legislation (section			es 🗶 No	2.5 P. J. 3.4
(2) Influence the outcome of any specific public election (see section 4955); o	r to carry on, directly or indire		[3 5]	
any voter registration drive?	_		es X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes		Ye	es X No	
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section		. (V)	
509(a)(1), (2), or (3), or section 4940(d)(2)?			es X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or to		. च्टिंग	
the prevention of cruelty to children or animals?	l district bad		es X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und		n Regulations	37 / 3	Land
section 53.4945 or in a current notice regarding disaster assistance (see instru			N/A	5b
Organizations relying on a current notice regarding disaster assistance check h				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr				
expenditure responsibility for the grant?		/A Ye	es L No	
If "Yes," attach the statement required by Regulations section 53.4945				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to p	pay premiums on		es 🗶 No	
a personal benefit contract?		Ye	S LALINO	6b X
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		ļ	O A
If "Yes" to 6b, file Form 8870	haldan tuan aastaa O	[] v.	es 🗓 No	
7a At any time during the tax year, was the foundation a party to a prohibited tax s		16	N/A	7b
b If "Yes," did the foundation receive any proceeds or have any net income attributed the foundation About Officers. Directors. Trust		nagoro Highly		70
Information About Officers, Directors, Trustoral Employees, and Contractors	ees, roundation ma	nagers, nigni	y	
List all officers, directors, trustees, foundation managers and their	compensation.			
		(c) Compensation	(d) Contributions to	(e) Expense
(a) Name and address	(b) Title, and average hours per week devoted to position	(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other allowances
WARREN A STEPHENS	TRUSTEE	enter-0-)	compensation	allowalices
111 CENTER STREET	TRODIES			1
LITTLE ROCK, AR 72201	0.00	0.	0	. 0.
	TRUSTEE		<u> </u>	'
111 CENTER STREET	11105122		!	
LITTLE ROCK, AR 72201	0.00	0.	0	. 0.
arran Rock, Ik. (1997)				
]		
				
				+
			İ	
2 Compensation of five highest-paid employees (other than those inc	luded on line 1). If none,	enter "NONE."	<u> </u>	
A Marso and address of each ample as and more than \$50,000	(b) Title, and average	0	(d) Contributions to	(e) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	employee benefit plans and deferred compensation	account, other allowances
NONE				
				T
				T
				1
			-	
		1		
				+
	li]		1
Total number of other employees paid over \$50,000		·	▶	0
			Forr	n 990-PF (2013)

HARRIET AND WARREN STEPHENS FAMILY

Form 990-PF (2013) FOUNDATION		.634531 Page 7
Part VIII Information About Officers, Directors, Trustees, Found Paid Employees, and Contractors (continued)	ation Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter	er "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		
T. J.		▶ 0
Total number of others receiving over \$50,000 for professional services Part IX-A. Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant station number of organizations and other beneficiaries served, conferences convened, research papers pro		Expenses
1 N/A		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year or $1 N/A$	1 lines 1 and 2.	Amount
1 N/A		
2		
All other program-related investments. See instructions		

Form **990-PF** (2013)

Total. Add lines 1 through 3

Form 990-PF (2013)

	TOTAL TOTAL		
P	Art X Minimum Investment Return (All domestic foundations must complete this part. Foreign fou	ndations,	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	6.4	
a	Average monthly fair market value of securities	1a	9,436,059.
b	Average of monthly cash balances	1b	9,436,059. 1,542,331.
C	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	10,978,390.
е	Reduction claimed for blockage or other factors reported on lines 1a and	\$	
	1c (attach detailed explanation) 1e 0 •	5 to	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,978,390.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4_	164,676.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,813,714.
6_	Minimum investment return Enter 5% of line 5	6	540,686.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations at foreign organizations check here and do not complete this part.)	nd certain	
_		T 1 T	540,686.
1	Minimum investment return from Part X, line 6 Tax on investment income for 2013 from Part VI, line 5 11,754.		340,000.
2a		∤ % :	
þ	· · · · · · · · · · · · · · · · · · ·	20	11,754.
	Add lines 2a and 2b Distributable amount before adjustments. Subtract line 2c from line 1	3	528,932.
3 4	Recoveries of amounts treated as qualifying distributions	4	<u> </u>
-	Add lines 3 and 4	5	528,932.
5		6	0.
6	Deduction from distributable amount (see instructions) Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	528,932.
7 P	art VIII		320,332.
	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	433,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:	1.2.2.2	
а	Suitability test (prior IRS approval required)	3a	·
þ	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	433,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	<u> </u>
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	433,000.

Form **990-PF** (2013)

4940(e) reduction of tax in those years.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

Part XIII Undistributed Income (see instructions)

	(a)	(b)	(c)	(d)
	Corpus	Years prior to 2012	2012	2013
1 Distributable amount for 2013 from Part XI, line 7	,			528,932.
2 Undistributed income, if any, as of the end of 2013	/,	<i>,</i> `		
a Enter amount for 2012 only		,.,	64,163.	~
b Total for prior years'	ę.	0.		
Fundamental of the state of the	,			
3 Excess distributions carryover, if any, to 2013:	,	37. 3 . * * * * * * * * * * * * * * * * * * *		×
a From 2008 b From 2009	, \.\ ,%			*
¢ From 2010	*			
dFrom 2011	, <u>*</u>	in the same		
e From 2012	ž Ž			
f Total of lines 3a through e	0.		٠ 	· Š
4 Qualifying distributions for 2013 from			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	/ / / / / / / / / / / / / / / / / / /
Part XII, line 4: ►\$ 433,000.				
a Applied to 2012, but not more than line 2a	- 908 - Manager - Marie		64,163.	2 2 2 2 3 2 3 2 3 3 3 3 3 3 3 3 3 3 3 3
b Applied to undistributed income of prior		_		
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	٥.			
(Election required - see instructions)	72' 36 ' 79'			368,837.
d Applied to 2013 distributable amount	0.			7 42 34
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount				
must be shown in column (a)) 6 Enter the net total of each column as				
indicated below:				
2 Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.	2007 . 195		
b Prior years' undistributed income. Subtract	7 25 m		5711	, , , , , , , , , , , , , , , , , , , ,
line 4b from line 2b	(i)	0.		. 7
c Enter the amount of prior years'				()
undistributed income for which a notice of deficiency has been issued, or on which	·			1 3
the section 4942(a) tax has been previously	**			1
assessed		0.	<u>' </u>	*****
d Subtract line 6c from line 6b. Taxable	,	0.	/	
amount - see instructions e Undistributed income for 2012. Subtract line	ž,	*** · ** · * · · · · · · · · · · · · ·	'	
4a from line 2a. Taxable amount - see instr		1 40 A	0.	180
f Undistributed income for 2013. Subtract	22	, to sat		
lines 4d and 5 from line 1. This amount must		A		
be distributed in 2014	, i w.,			160,095.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				14.5
section 170(b)(1)(F) or 4942(g)(3)	0.			<u> </u>
8 Excess distributions carryover from 2008				
not applied on line 5 or line 7	0.		4	
9 Excess distributions carryover to 2014.		"" // ·	, ·	, ,
Subtract lines 7 and 8 from line 6a	0.	-	,	
10 Analysis of line 9:				
a Excess from 2009	1			
b Excess from 2010	+			
c Excess from 2011 d Excess from 2012	1			
e Excess from 2013	1			
9 ENGGO 11 0111 EQ 10		<u> </u>		Form 990-PF (2013)

Form 990-PF (2013) FOUNDAT	ION			26-16	34531 Page 10
Part XIV Private Operating F	oundations (see in	structions and Part VI	I·A, question 9)	N/A	
1 a if the foundation has received a ruling of	r determination letter tha	t it is a private operating			
foundation, and the ruling is effective for	2013, enter the date of	the ruling	▶		
b Check box to indicate whether the found	lation is a private operati	ng foundation described	in section	4942(j)(3) or 49	942(1)(5)
2 a Enter the lesser of the adjusted net	Tax year]	Prior 3 years		T
income from Part I or the minimum	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
investment return from Part X for					
each year listed]		j]
b 85% of line 2a			 		
c Qualifying distributions from Part XII,			 	 	
line 4 for each year listed)		ļ	
d Amounts included in line 2c not			 	 	
used directly for active conduct of				Ì	
		}	1	1	
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.		}	j		1
Subtract line 2d from line 2c Complete 3a, b, or c for the				 	
alternative test relied upon:					
a "Assets" alternative test - enter:		}		ì	
(1) Value of all assets	 	<u> </u>		<u> </u>	ļ <u>-</u>
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)		<u></u>]
b "Endowment" alternative test - enter				T	
2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross]
investment income (interest,])
dividends, rents, payments on				İ	
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt			1		
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from			 	 	
an exempt organization					
(4) Gross investment income		 	 -	 	
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundation	had \$5 000 or me	ore in assets
at any time during t			ii tiio iodiidatioi	r naa qojooo or nii	oro in abboto
1 Information Regarding Foundatio					
a List any managers of the foundation who	_	than 2% of the total con	tributions received by the	foundation before the clo	se of any tay
year (but only if they have contributed m			and and to control by the	, roundation boloro tito dio	oo or any tax
WARREN A STEPHENS					
b List any managers of the foundation who	o own 10% or more of th	ne stock of a corporation	(or an equally large porti	on of the ownership of a p	artnership or
other entity) of which the foundation has			() ()		,
NONE	·				
2 Information Regarding Contributi	on, Grant, Gift, Loan	, Scholarship, etc., P	rograms:		_
Check here 🕨 🗶 if the foundation o					
the foundation makes gifts, grants, etc.	(see instructions) to indiv	viduals or organizations (inder other conditions, c	omplete items 2a, b, c, and	d d.
a The name, address, and telephone number	per or e-mail address of	the person to whom appl	ications should be addre	ssed:	
b The form in which applications should b	e submitted and informa	tion and materials they s	hould include:		
c Any submission deadlines:					
d Any restrictions or limitations on awards	s such as by geographic	al areas, charitable fields	kinds of institutions, or	other factors:	
	, , g - g g g g g g g g g g g - g g g g g g g g g g g - g g g g g g g g g g g - g g g g g g g g g g g - g g -	, , , , , , , , , , , , , , , , , , , ,			
200004 40 40 40		-			Farm 000 DE (0010)

26-1634531 FOUNDATION

Part XV Supplementary Information (continued) 'Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Purpose of grant or contribution Foundation show any relationship to Amount status of any foundation manager Name and address (home or business) recipient or substantial contributor a Paid during the year ACCESS SCHOOLS PUBLIC CHARITY ENDOWMENT PROGRAM 10618 BRECKENRIDGE DRIVE LITTLE ROCK, AR 72211 50,000. PUBLIC CHARITY PRESERVATION OF ROBERT E LEE MEMORIAL ASSOCIATION HISTORIC STRUCTURE STRATFORD HALL PLANTATION 383,000. STRATFORD, VA 22558 **▶** 3a 433,000. Total **b** Approved for future payment NONE Total **▶** 3b 0.

323611 10-10-13

Form 990-PF,(2013)

Form **990-PF** (2013)

Page 11

Form 990-PF (2013) FOUNDATION				26-1	L634531 Page 12
Part XVI-A Analysis of Income-Produ	ıcing Activitie	s			
Enter gross amounts unless otherwise indicated.		usiness income		d by section 512, 513, or 514	(e)
1 Program service revenue:	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	Related or exempt function income
a					
b	-		-+		
d	-		 		
e			\vdash		
1					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments			 	522 420	
4 Dividends and interest from securities			14	533,432.	
5 Net rental income or (loss) from real estate:	¥		1-1		
a Debt-financed propertyb Not debt-financed property			 		
6 Net rental income or (loss) from personal			 		
property			1 1		
7 Other investment income	900099	-5,712.			
8 Gain or (loss) from sales of assets other					
than inventory			18	63,627.	
9 Net income or (loss) from special events		<u> </u>			
10 Gross profit or (loss) from sales of inventory	<u> </u>		\vdash		
11 Other revenue:			,		
a	- -		 		
b	-				
c			 		
d	-				
12 Subtotal. Add columns (b), (d), and (e)		-5,712.	·***	597,059.	0
13 Total Add line 12, columns (b), (d), and (e)				13	591,347
(See worksheet in line 13 instructions to verify calculations.)					
Part XVI:B Relationship of Activities	to the Accom	plishment of Ex	cempt	Purposes	
Line No Explain below how each activity for which inc	ome is reported in co	olumn (e) of Part XVI-A	contribut	ted importantly to the accom	nlishment of
the foundation's exempt purposes (other tha				ou importantly to the decom	phonicont of
N/A					
					
_ 					
					
					
					
		·			
					

Form **990-PF** (2013)

Form 000		ET AND WARF DATION	REN S	TEPHENS FAMI	LY	26-	1634531	Page 12
Part			ers To a	and Transactions a	and Relation			Page 13
,	Exempt Organ	•						
1 Did	the organization directly or ind	rectly engage in any of th	ne followir	ig with any other organization	on described in se	ction 501(c) of	44	Yes No
the	Code (other than section 501(o	c)(3) organizations) or in	section 52	27, relating to political organ	nzations?			***
a Tra	nsfers from the reporting found	lation to a noncharitable (exempt or	ganization of:				
(1)	Cash						1a(1)	X
(2)	Other assets						1a(2)	X
	er transactions:							- <u></u>
	Sales of assets to a noncharita						1b(1)	X
٠,	Purchases of assets from a no	· -	nızatıon				1b(2)	X
	Rental of facilities, equipment,						1b(3)	X
	Reimbursement arrangements	3					1b(4)	X
	Loans or loan guarantees		1 4 . 4				1b(5)	X
, ,	Performance of services or m	•					1b(6)	$\frac{\Lambda}{X}$
	aring of facilities, equipment, ma				waya ahaw tha far	r markat valua of tha	1c 1c	
	ne answer to any of the above is services given by the reporting i	•	_	• •	-		-	:18,
	umn (d) the value of the goods,				ie in any nansach	on or snaring arrange	ancia, show in	
(a)Line n				e exempt organization	(d) Descript	ion of transfers, transaction	ons, and sharing arra	angements
	(2)	(-,	N/A		(-,			
		 		_				
	 		_					
				-				
	 				 		**	,
			_					
						_		
			-					
						· <u></u>		
	<u> </u>	<u></u>						
ın s	he foundation directly or indired section 501(c) of the Code (othe /es," complete the following sch	er than section 501(c)(3)			izations described		Yes	X No
	(a) Name of or	ganization		(b) Type of organization		(c) Description of re	elationship	·
	N/A							
								
Sign Here	Under penalties of perjury, I declare and belief, it is true, correct, and co	mplete Declaration of prepar		. , ,		rer has any knowledge	May the IRS direturn with the shown below (preparer
	Print/Type preparer's n	ame Pr	eparer's s	ignature	Date	Check If	PTIN	
Paid						self- employed		

Form **990-PF** (2013)

Firm's EIN

Phone no.

Preparer

Use Only

Firm's name

Firm's address 🕨

FORM 990-PF	OTHER :	INCOME		STATEMENT 1	
DESCRIPTION		(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
PARTNERSHIP INCOME PARTNERSHIP RENT AND ROYALTY	_	-5,699. -13.	-5,699. -13.		
TOTAL TO FORM 990-PF, PART I,	LINE 11 =	-5,712.	-5,712.	·	
FORM 990-PF	тах	KES	STATEMENT 2		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME			
OTHER TAXES	22,613	. 0	•	0.	
TO FORM 990-PF, PG 1, LN 18	22,613	. 0		0.	
FORM 990-PF	OTHER I	EXPENSES	STATEMENT 3		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITABLE PURPOSES	
MANAGEMENT FEES	3,630	3,630	•	0.	
PARTNERSHIP INVESTMENT EXPENSES PARTNERSHIP SEC1231 LOSS	2,778 8			0.	
TO FORM 990-PF, PG 1, LN 23	6,416	3,630	•	0.	

BOOK VALUE 4,315,829	FAIR MARKET VALUE
4.315.829	
9,507,345	10,339,814.
	5,191,516 9,507,345

NAME OF CONTRIBUTOR

ADDRESS

HARRIET AND WARREN STEPHENS

111 CENTER STREET LITTLE ROCK, AR 72201

Form **8868**

(Rev January 2014)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868

OMB No 1545-1709

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form) Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868 Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to required to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing visit www irs gov/efile and click on e-file for Charities & Nonprofits	iest an extension					
Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to required to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing	iest an extension					
required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to requ of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing	iest an extension					
of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing						
Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing						
Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing	With Certain					
non firm its gotrollic and click on chile for originies a nonprollis	•					
Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)						
A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete	·					
Part I only	>					
All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time	e					
to file income tax returns Enter filer's identify						
Type or Name of exempt organization or other filer, see instructions Employer identificat						
print HARRIET AND WARREN STEPHENS FAMILY	` '					
	26-1634531					
File by the due date for Number, street, and room or suite no. If a P.O. box, see instructions. Social security numbers.						
filing your P O BOX 3507	. (55.4)					
City, town or post office, state, and ZIP code. For a foreign address, see instructions LITTLE ROCK, AR 72203						
BITTER ROCK, IN 12203						
Enter the Return code for the return that this application is for (file a separate application for each return)	0 4					
Application Return Application	Return					
Is For Code Is For	Code					
Form 990 or Form 990-EZ 01 Form 990-T (corporation)	07					
Form 990·BL 02 Form 1041·A	08					
Form 4720 (Individual) 03 Form 4720 (other than individual)	09					
Form 990-PF 04 Form 5227	10					
Form 990-T (sec. 401(a) or 408(a) trust) 05 Form 6069	11					
Form 990-T (trust other than above) 06 Form 8870	12					
KATHY BRYANT						
• The books are in the care of ▶ 111 CENTER STREET, SUITE 2020 - LITTLE ROCK, AR	72201					
Telephone No ► 501-377-2346 Fax No ►						
If the organization does not have an office or place of business in the United States, check this box	>					
If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole	group, check this					
box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extra	• .					
1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until	5113101113 101					
AUGUST 15, 2014 , to file the exempt organization return for the organization named above. The extens	2100					
is for the organization's return for	ion					
► X calendar year 2013 or						
tax year beginning, and ending						
2 If the tax year entered in line 1 is for less than 12 months, check reason Initial return Final return						
Change in accounting period						
3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any	11,754.					
3a If this application is for Forms 990·BL, 990·PF, 990·T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. 3a \$	11/131.					
	11,751.					
nonrefundable credits. See instructions. 3a \$						
nonrefundable credits See instructions b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit 3b \$						
nonrefundable credits See instructions b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and	14,210.					