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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation DEUTSCH FAMILY FOUNDATION		A Employer identification number 26-1619724
Number and street (or P O box number if mail is not delivered to street address) 1163 EAST 27TH STREET	Room/suite	B Telephone number (see instructions) 718-336-2071
City or town, state or province, country and ZIP or foreign postal code BROOKLYN NY 11210		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 1,148,986	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c) and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	845,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,041	1,041	1,041	
	4 Dividends and interest from securities	9,317	9,317	9,317	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	5,617			
	b Gross sales price for all assets on line 6a 132,027				
	7 Capital gain net income (from Part IV, line 2)		5,617		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	860,975	15,975	10,358	
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 1	4,326	3,028		1,298
	c Other professional fees (attach schedule)				
	17 Interest MAY 19 2014	125	125		
	18 Taxes (attach schedule) (see instructions) STMT 2	232	232		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) STMT 3	5,192	5,192		
	24 Total operating and administrative expenses. Add lines 13 through 23	9,875	8,577	0	1,298
	25 Contributions, gifts, grants paid	207,555			207,555
	26 Total expenses and disbursements. Add lines 24 and 25	217,430	8,577	0	208,853
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	643,545			
	b Net investment income (if negative, enter -0-)		7,398		
	c Adjusted net income (if negative, enter -0-)			10,358	

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing	11,770	644,646	644,643
	2 Savings and temporary cash investments	29	29	29
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) SEE STMT	435,092	508,314	508,314
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch.) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch.) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	446,891	1,152,989	1,152,986
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ SEE STATEMENT)	169,956	170,065	
	23 Total liabilities (add lines 17 through 22)	169,956	170,065	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒			
	24 Unrestricted	276,935	982,924	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	276,935	982,924	
	31 Total liabilities and net assets/fund balances (see instructions)	446,891	1,152,989	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	276,935
2 Enter amount from Part I, line 27a	2	643,545
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT	3	62,444
4 Add lines 1, 2, and 3	4	982,924
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	982,924

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT		P	VARIOUS	VARIOUS
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 132,027		126,410	5,617	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j) if any		
a			5,617	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 5,617
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	222,244	406,452	0.546790
2011	164,750	145,967	1.128680
2010	135,072	143,689	0.940030
2009	95,438	63,956	1.492245
2008	74,850	23,363	3.203784
2 Total of line 1, column (d)			2 7.311529
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 1.462306
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 511,968
5 Multiply line 4 by line 3			5 748,654
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 74
7 Add lines 5 and 6			7 748,728
8 Enter qualifying distributions from Part XII, line 4			8 208,853
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	148
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	148
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	148
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	148
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► ROTH AND COMPANY LLP 1428 36TH STREET Located at ► BROOKLYN NY ZIP+4 ► 11218 Telephone no ► 718-236-1600			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20 ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation** (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID DEUTSCH 1163 EAST 27TH STREET BROOKLYN NY 11210	PRESIDENT 1.00	0	0	0
ISSAC DEUTSCH 18 NILES PLACE STATEN ISLAND NY 10314	TREASURER 1.00	0	0	0
ROBERT DEUTSCH 19 CORNELIUS STREET LAKEWOOD NJ 08701	SECRETARY 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions) If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	473,584
b	Average of monthly cash balances	1b	46,180
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	519,764
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	519,764
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	7,796
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	511,968
6	Minimum investment return. Enter 5% of line 5	6	25,598

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	25,598
2a	Tax on investment income for 2013 from Part VI, line 5	2a	148
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	148
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	25,450
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	25,450
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	25,450

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	208,853
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	208,853
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	208,853

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				25,450
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008	73,661			
b From 2009	92,240			
c From 2010	127,902			
d From 2011	157,452			
e From 2012	201,944			
f Total of lines 3a through e	653,199			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 208,853				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				25,450
e Remaining amount distributed out of corpus	183,403			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	836,602			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	73,661			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	762,941			
10 Analysis of line 9				
a Excess from 2009	92,240			
b Excess from 2010	127,902			
c Excess from 2011	157,452			
d Excess from 2012	201,944			
e Excess from 2013	183,403			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers.

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
SEE STATEMENT 7

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs.

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 8				207,555
Total			▶ 3a	207,555
b Approved for future payment N/A				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1)** Cash
- (2)** Other assets
- b** Other transactions
- (1)** Sales of assets to a noncharitable exempt organization
- (2)** Purchases of assets from a noncharitable exempt organization
- (3)** Rental of facilities, equipment, or other assets
- (4)** Reimbursement arrangements
- (5)** Loans or loan guarantees
- (6)** Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes

Signature of officer or trustee

Date:

Title

Paid
Preparer
Use Only

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

ALLAN GREEN

ALLAN GREEN

05/08/14

Firm's name ▶ **ROTH & COMPANY, LLP**

PTIN P00841429

Firm's address ► 1428 36TH STREET, SUITE 200
BROOKLYN, NY 11218

Firm's EIN ▶ **11-3360065**

Phone no **718-236-1600**

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

DEUTSCH FAMILY FOUNDATION**26-1619724**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule****Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

DEUTSCH FAMILY FOUNDATION

Employer identification number

26-1619724**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT DEUTSCH 1163 EAST 27TH STREET BROOKLYN NY 11210	\$ 281,667	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	ISAAC DEUTSCH 18 NILE PLACE STATEN ISLAND NY 10314	\$ 281,666	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	DAVID DEUTSCH 1163 EAST 27TH STREET BROOKLYN NY 11210	\$ 281,667	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING FEES	\$ 4,326	\$ 3,028	\$	\$ 1,298
TOTAL	\$ 4,326	\$ 3,028	\$ 0	\$ 1,298

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PROVISION FOR FRANCHISE TAX	\$ 232	\$ 232	\$	\$
TOTAL	\$ 232	\$ 232	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	\$
INVESTMENT FEES	5,066	5,066		
BANK CHARGES	20	20		
OFFICE EXPENSE	106	106		
TOTAL	\$ 5,192	\$ 5,192	\$ 0	\$ 0

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS	\$ 435,092	\$ 508,314		\$ 504,314
TOTAL	\$ 435,092	\$ 508,314		\$ 504,314

Federal Statements**Statement 5 - Form 990-PF, Part II, Line 22 - Other Liabilities**

Description	Beginning of Year	End of Year
FRANCHISE TAXES PAYABLE	\$ -25	\$ 40
FED TAXES PAYABLE	-19	25
DUE FROM AFFILIATE	170,000	170,000
TOTAL	\$ 169,956	\$ 170,065

Statement 6 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
UNREALIZED GAINS	\$ 62,444
TOTAL	\$ 62,444

Statement 7 - Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

Name of Manager	Amount
ROBERT DEUTSCH	\$ 281,667
ISAAC DEUTSCH	281,666
DAVID DEUTSCH	281,667
TOTAL	\$ 845,000

Federal Statements

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Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name		Address		Relationship	Status	Purpose	Amount
ACHEINU	MONSEY NY 10952	28 OLYMPIA LN	RELIGIOUS		CHARITY		400
ACHIEZER	LAWRENCE NY 11559	334 CENTRAL AVENUE	RELIGIOUS		CHARITY		720
AGUDAS YISROEL BAIS BINYOMIN	BROOKLYN NY 11210	2913 AVE L	RELIGIOUS		CHARITY		26,500
AMUDEI OHR	NEW YORK NY 10016	417 5TH AVE	RELIGIOUS		CHARITY		360
BAYSWATER NEIGHBORS FUND	FAR ROCKAWAY NY 11691	2514 HEALEY AVE	RELIGIOUS		CHARITY		800
BEIS MEDRASH GOVOHA	LAKEWOOD NJ 08701	617 6TH STREET	RELIGIOUS		CHARITY		8,800
BONEI OLAM	BROOKLYN NY 11204	4205 17TH AVE	RELIGIOUS		CHARITY		2,800
CBJ CHARITY ACCOUNT	STATEN ISLAND NY 10314	275 MARTLING AVE	RELIGIOUS		CHARITY		2,500
CHAI LIFELINE	NEW YORK NY 10001	151 WEST 30TH STREET	RELIGIOUS		CHARITY		180
CHAVERIM	BROOKLYN NY 11204	1431 59TH ST	RELIGIOUS		CHARITY		260
CONG AGUDAS SHOMREI HADAS	STATEN ISLAND NY 10314	98 RUPERT AVENUE	RELIGIOUS		CHARITY		1,000
CONG AHAVAS CHESED	BROOKLYN NY 11204	4717 17TH AVE	RELIGIOUS		CHARITY		1,000
CONG GEMACH BNEI PINCHAS	BROOKLYN NY 11218	621 DAHILL RD	RELIGIOUS		CHARITY		500
CONG NACLES SHAMAYIM	HURLYVILLE NY 12747	5 MAIN STREET	RELIGIOUS		CHARITY		2,400
CONG SHAREI ELIEZER	BROOKLYN NY 11210	1880 NEW YORK AVE	RELIGIOUS		CHARITY		20,400
DERECH CHAIM	BROOKLYN NY 11218	1573 39TH STREET	RELIGIOUS		CHARITY		3,600
EDUCATIONAL ENDOWMENT FUND - BMG	LAKEWOOD NJ 08701	625 5TH ST	RELIGIOUS		CHARITY		5,500

Federal Statements

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Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name		Address		Purpose	Amount
Address	Relationship	Status			
EOM PEEKSKIL PEEKSKILL NY 10567	141 FURNACE WOODS ROAD	RELIGIOUS	CHARITY	1,000	
GUR ARYEH BROOKLYN NY 11230	1605 CONEY ISLAND AVE	RELIGIOUS	CHARITY	1,000	
HATZOLAH BROOKLYN NY 11230	1880 OCEAN AVE	RELIGIOUS	CHARITY	100	
IMREI BINAH LUTZK LAKEWOOD NJ 08701	1400 PINE STREET	RELIGIOUS	CHARITY	180	
KEREN ORAH BROOKLYN NY 11219	1339 52ND STREET	RELIGIOUS	CHARITY	1,000	
KOLLEL KOL AARON BROOKLYN NY 11210	PO BOX 10043	RELIGIOUS	CHARITY	500	
KOLLEL OHEL TORAH CHICAGO IL 60659	3635 W DEVON AVE	RELIGIOUS	CHARITY	1,000	
KOLLEL SHAS YIDEN .		RELIGIOUS	CHARITY	1,000	
MIR YERUSHALAYIM BROOKLYN NY 11219	5227 NEW UTRECHT AVE	RELIGIOUS	CHARITY	2,000	
OHR SHRAGA BROOKLYN NY 11230	1202 E. 9TH STREET	RELIGIOUS	CHARITY	36,000	
OHR YITZCHOK BROOKLYN NY 11230	1214 E15TH ST	RELIGIOUS	CHARITY	1,000	
RJJ STATEN ISLAND NY 10309	3495 RICHMOND AVE	RELIGIOUS	CHARITY	2,400	
RUACH HATORAH BROOKLYN NY 11210	1450 OCEAN AVE	RELIGIOUS	CHARITY	22,255	
SHOR YOSHUV LAWRENCE NY 11559	1 CEDAR LAWN AVENUE	RELIGIOUS	CHARITY	500	
TZEDAKA VCHESED BROOKLYN NY 11204	4716 17TH AVE	RELIGIOUS	CHARITY		
WILLOWBROOK COMMUNITY KOLLEL STATEN ISLAND NY 10314	90 RUPERT AVE	RELIGIOUS	CHARITY	1,800	
YAD ROCHEL TOMCHEI SHABBOS SI STATEN ISLAND NY 10314	22 NILES PL	RELIGIOUS	CHARITY	100	

Federal Statements

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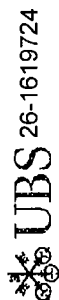
**Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
Address							
YESHIVA EITZ CHAIM		15 WIDMAN COURT			RELIGIOUS	CHARITY	2,000
SPRING VALLEY NY 10977		141 FURNACE WOODS ROAD			RELIGIOUS	CHARITY	28,000
YESHIVA OHR HAMEIR		115-01 85TH AVENUE			RELIGIOUS	CHARITY	20,000
PEEKSKILL NY 10567					RELIGIOUS	CHARITY	1,000
YESHIVAS PEER HATORAH		657 E. 7TH STREET			RELIGIOUS	CHARITY	3,000
KEW GARDENS NY 11415					RELIGIOUS	CHARITY	5,000
YONAH SIMCHA FUND							207,555
ZICHRON ELIYAHU							
BROOKLYN NY 11218							
TOTAL							

Realized gain/loss

Year 2013

CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
G0176J109	ALLE	0.33	11/05/2013	13.77	12/02/2013	14.07	0.30	2.18%	S
G0176J109	ALLE	1.00	11/05/2013	41.30	12/18/2013	43.46	2.16	5.23%	S
G0176J109	ALLE	1.00	11/13/2013	41.06	12/18/2013	43.46	2.40	5.85%	S
037411105	APA	2.00	04/05/2012	191.90	03/13/2013	150.38	-41.52	-21.64%	S
037411105	APA	1.00	04/05/2012	95.95	03/20/2013	74.91	-21.04	-21.93%	S
037411105	APA	3.00	04/05/2012	287.95	04/19/2013	299.32	-78.33	-27.28%	L
039483102	ADM	2.00	04/05/2012	62.66	03/07/2013	55.10	2.44	3.89%	S
039483102	ADM	2.00	04/05/2012	62.66	03/11/2012	64.84	2.18	3.48%	S
039483102	ADM	2.00	04/05/2012	62.66	03/15/2013	55.69	3.03	4.84%	S
039483102	ADM	1.00	05/01/2012	33.00	03/15/2013	32.85	-0.15	-0.45%	S
039483102	ADM	1.00	05/09/2012	32.85	03/28/2013	32.96	0.11	0.33%	S
039483102	ADM	3.00	05/14/2012	98.09	04/03/2013	100.67	2.58	2.63%	S
039483102	ADM	3.00	07/13/2012	31.81	04/03/2013	100.67	18.86	23.05%	S
039483102	ADM	1.00	08/03/2012	25.47	04/10/2013	32.83	7.36	28.90%	S
039483102	ADM	1.00	09/06/2012	27.00	04/10/2013	32.83	5.83	21.59%	S
039483102	ADM	5.00	01/17/2013	144.39	04/11/2013	165.31	20.92	14.49%	S
00206R102	T	3.00	04/05/2012	92.70	03/22/2013	109.53	16.83	18.16%	S
00206R102	T	9.00	04/05/2012	278.10	08/12/2013	314.15	36.03	12.96%	L
00206R102	T	5.00	04/05/2012	154.50	08/14/2013	173.14	18.64	12.06%	L
00206R102	T	5.00	04/05/2012	154.50	08/15/2013	172.36	17.86	11.56%	L
00206R102	T	4.00	04/05/2012	123.60	08/16/2013	136.08	12.48	10.10%	L
00206R102	T	7.00	04/05/2012	216.29	08/21/2013	236.33	20.04	9.27%	L
00206R102	T	3.00	04/05/2012	92.70	09/11/2013	101.76	9.06	9.77%	L
060505104	BAC	18.00	04/05/2012	166.68	10/08/2013	247.08	80.40	48.24%	L
060505104	BAC	12.00	04/05/2012	111.12	11/26/2013	190.31	79.79	71.81%	L
067383109	BCR	10.00	04/03/2012	985.75	09/19/2013	1,204.26	218.51	22.17%	L



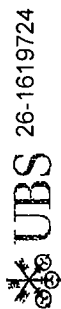
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Realized gain/loss - Year 2013 (continued)

CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
067383109	BCR	15.00	04/03/2012	1,478.63	10/02/2013	1,742.89	264.26	17.87%	L
067901108	ABA	30.00	04/03/2012	1,305.60	06/25/2013	486.19	-819.41	-62.76%	L
111320107	BRCM	5.00	10/03/2012	168.33	10/11/2013	133.91	-35.32	-20.98%	L
111320107	BRCM	5.00	10/03/2012	168.33	10/23/2013	131.52	-36.81	-21.87%	L
133428105	CAM	5.00	06/11/2013	306.50	10/30/2013	278.47	-28.03	-9.15%	S
133428105	CAM	1.00	07/09/2013	64.32	11/01/2013	54.51	-9.81	-15.25%	S
133428105	CAM	2.00	07/09/2013	128.64	11/13/2013	107.07	-21.57	-16.77%	S
133428105	CAM	1.00	07/29/2013	57.06	11/13/2013	53.54	-3.52	-6.17%	S
143658300	CCL	3.00	04/05/2012	91.98	05/29/2013	99.66	7.68	8.35%	L
143658300	CCL	4.00	04/05/2012	122.64	06/11/2013	129.18	6.54	5.33%	L
149123101	CAT	3.00	04/05/2012	317.68	11/15/2013	251.18	-66.50	-20.93%	L
149123101	CAT	2.00	04/05/2012	211.78	11/26/2013	168.63	-43.15	-20.37%	L
166764100	CVX	1.00	04/05/2012	104.66	03/22/2013	121.23	16.57	15.83%	S
166764100	CVX	1.00	04/05/2012	104.66	05/14/2013	124.61	19.95	19.06%	L
166764100	CVX	2.00	04/05/2012	209.32	11/15/2013	239.68	30.36	14.50%	L
166764100	CVX	3.00	04/05/2012	313.98	11/15/2013	358.57	44.59	14.20%	L
125509109	CI	1.00	04/05/2012	48.96	02/19/2013	60.25	11.29	23.06%	S
125509109	CI	2.00	04/05/2012	97.92	02/25/2013	118.01	20.09	20.52%	S
125509109	CI	2.00	04/05/2012	97.92	03/07/2013	119.23	21.31	21.76%	S
125509109	CI	4.00	04/05/2012	195.84	07/22/2013	311.29	115.45	58.95%	L
125509109	CI	1.00	07/02/2012	47.11	07/25/2013	77.68	30.57	64.89%	L
17275R102	CSCO	45.00	04/03/2012	936.82	06/21/2013	1,097.33	160.51	17.13%	L
18683K101	CLF	4.00	04/05/2012	268.48	04/03/2013	74.59	-193.89	-72.22%	S
18683K101	CLF	3.00	04/05/2012	201.36	04/10/2013	62.21	-139.15	-69.11%	L
20030N101	CMCSA	3.00	04/05/2012	88.56	04/29/2013	124.20	35.64	40.24%	L
20030N101	CMCSA	2.00	04/05/2012	59.04	05/07/2013	96.08	27.04	45.80%	L
20030N101	CMCSA	1.00	04/05/2012	29.52	05/17/2013	42.57	13.05	44.21%	L

Realized gain/loss - Year 2013 (continued)

CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
20030N101	CMCSA	4.00	04/05/2012	118.08	06/26/2013	161.08	43.00	36.42%	L
20030N101	CMCSA	6.00	04/05/2012	177.12	07/09/2013	257.35	80.23	45.30%	L
20030N101	CMCSA	3.00	04/05/2012	88.56	01/22/2013	133.91	45.35	51.21%	L
219350105	GLW	30.00	04/05/2012	406.50	01/29/2013	369.59	-36.91	-9.05%	S
12646R105	CST	-0.22	03/05/2012	4.33	05/02/2013	7.00	2.67	61.06%	L
12646R105	CST	1.00	04/05/2012	19.49	05/14/2013	32.59	13.10	67.21%	L
24702R101	DELL INC**MERGER-EFF-10/2/2013**	150.00	04/03/2012	2,472.00	04/24/2013	1,975.09	-496.91	-20.10%	L
25179M103	DEVON ENERGY CORP NEW	2.00	04/05/2012	142.40	04/29/2013	109.60	-32.80	-23.03%	L
25179M103	DEVON ENERGY CORP NEW	1.00	04/05/2012	71.20	05/07/2013	57.74	-13.46	-18.90%	L
25179M103	DEVON ENERGY CORP NEW	3.00	01/05/2012	213.60	05/14/2013	177.46	-36.14	-16.92%	L
26441C204	DUKE ENERGY CORP NEW	1.00	01/05/2012	60.70	05/11/2013	67.57	6.87	11.32%	L
26441C204	DUKE ENERGY CORP NEW	1.00	04/05/2012	60.71	06/13/2013	67.06	6.35	10.46%	L
26441C204	DUKE ENERGY CORP NEW	1.00	04/05/2012	60.70	07/11/2013	69.30	8.60	14.17%	L
26441C204	DUKE ENERGY CORP NEW	2.00	04/05/2012	171.40	08/21/2013	132.30	-39.10	-22.81%	L
26441C204	DUKE ENERGY CORP NEW	0.33	04/05/2012	30.23	08/22/2013	21.97	-8.26	-27.32%	L
26441C204	DUKE ENERGY CORP NEW	0.67	05/30/2012	43.78	08/22/2013	43.96	0.18	0.41%	L
26441C704	DUKE ENERGY CORP NEW	2.00	05/30/2012	131.33	12/27/2013	137.80	6.47	4.93%	L
278642103	EBAY INC	40.00	04/03/2012	1,465.40	01/14/2013	2,121.07	655.67	44.74%	S
30231G102	EXXON MOBIL CORP	3.00	04/05/2012	254.56	03/22/2013	267.35	12.77	5.02%	S
30231G102	EXXON MOBIL CORP	4.00	04/05/2012	339.44	06/11/2013	361.84	22.40	6.60%	L
30231G102	EXXON MOBIL CORP	2.00	04/05/2012	169.72	10/02/2012	171.36	1.64	0.97%	L
30231G102	EXXON MOBIL CORP	4.00	04/05/2012	339.44	10/11/2013	347.01	7.57	2.23%	L
31359MTG8	FINRA 04 625 % DUE 10/15/13 DTD 092503 FC 04/15/2004	7,000.00	04/09/2012	7,455.22	04/09/2013	7,161.42	-293.80	-3.94%	S
345370860	FORD MOTOR CO COM NEW	10.00	04/05/2012	124.90	06/28/2013	155.52	30.62	24.52%	L
38259P508	GOOGLE INC CL A	3.00	04/03/2012	1,926.75	10/18/2013	2,994.52	1,067.77	55.42%	L
42805T105	HERTZ GLOBAL HOLDINGS INC	5.00	04/05/2012	76.05	01/14/2013	87.46	11.41	15.00%	S



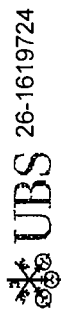
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Realized gain/loss - Year 2013 (continued)

CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
42805T105	HTZ	5.00	04/05/2012	76.05	01/29/2013	92.10	16.05	21.10%	S
42805T105	HTZ	4.00	04/05/2012	60.84	02/25/2013	79.81	18.97	31.18%	S
42805T105	HTZ	3.00	04/05/2012	45.63	02/28/2013	58.50	12.87	28.21%	S
42805T105	HTZ	5.00	04/05/2012	76.05	04/11/2013	120.91	44.86	58.99%	L
42805T105	HTZ	2.00	04/05/2012	50.42	04/29/2013	48.17	17.75	58.35%	L
42805T105	HTZ	8.00	04/05/2012	121.68	11/07/2012	173.43	51.75	42.53%	L
42805T105	HTZ	4.00	04/05/2012	60.84	11/15/2012	94.83	33.99	55.87%	L
42809H107	HES	1.00	04/05/2012	57.82	04/11/2013	73.04	15.22	26.32%	L
437076102	HD	3.00	04/05/2012	151.89	02/25/2013	197.48	45.59	30.02%	S
437076102	HD	2.00	04/05/2012	101.26	02/28/2013	135.85	34.59	34.16%	S
437076102	HD	3.00	04/05/2012	151.89	03/09/2013	238.89	86.96	57.35%	L
437076102	HD	2.00	04/05/2012	101.26	07/22/2012	159.10	57.84	57.12%	L
437076102	HD	1.00	04/18/2012	51.91	07/22/2013	79.55	27.64	53.25%	L
437076102	HD	1.00	04/18/2012	51.91	08/26/2013	74.66	22.75	43.83%	L
437076102	HD	1.00	05/09/2012	50.25	08/28/2013	74.24	23.99	47.74%	L
40433K348	HSBC USA INC TRIGGER PS SPX 3/31/2015	1.500	03/27/2012	15,000.00	03/28/2013	16,196.25	1,196.25	7.97%	L
404303109	HSN INC	1.00	04/05/2012	37.29	01/14/2012	57.84	20.55	55.11%	S
404503109	HSN INC	1.00	04/05/2012	37.29	07/20/2013	59.89	22.60	60.61%	L
404303109	HSN INC	1.00	04/05/2012	37.29	08/05/2013	62.80	25.51	68.41%	L
404303109	HSN INC	1.00	04/05/2012	37.29	10/11/2013	52.82	15.53	41.65%	L
404303109	HSN INC	2.00	04/05/2012	74.58	10/23/2013	106.55	31.97	42.87%	L
404303109	HSN INC	4.00	04/05/2012	149.16	10/30/2013	215.47	66.31	44.46%	L
478160104	JOHNSON & JOHNSON COM	1.00	04/05/2012	69.34	03/22/2013	79.67	14.33	21.23%	S
478160104	JOHNSON & JOHNSON COM	2.00	04/05/2012	130.68	05/11/2013	169.44	38.76	29.66%	L
478160104	JOHNSON & JOHNSON COM	2.00	04/05/2012	130.68	06/13/2013	168.76	38.08	29.14%	L
478160104	JOHNSON & JOHNSON COM	2.00	04/05/2012	130.68	08/21/2013	178.85	48.17	36.86%	L
478160104	JOHNSON & JOHNSON COM	15.00	04/03/2012	986.50	09/13/2013	1,325.28	338.78	34.34%	L

Realized gain/loss - Year 2013 (continued)

CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
JOHNSON & JOHNSON COM									
478160104	JNJ	2.00	04/05/2012	130.68	09/30/2013	173.31	42.63	32.62%	L
JOHNSON & JOHNSON COM									
478160104	JNJ	1.00	04/05/2012	65.34	11/27/2013	94.91	29.57	45.26%	L
JPMORGAN CHASE & CO									
46675H100	JPM	5.00	04/05/2012	221.73	03/22/2013	244.85	23.12	10.43%	S
KINDER MORGAN INC									
494568101	KMI	5.00	04/05/2012	172.07	07/09/2013	195.92	23.85	13.86%	L
KINDER MORGAN INC									
494568101	KMI	2.00	04/05/2012	68.82	07/22/2013	79.06	10.24	14.88%	L
KINDER MORGAN INC									
494568101	KMI	2.00	04/05/2012	68.83	07/25/2013	77.50	8.67	12.60%	L
KINDER MORGAN INC									
494568101	KMI	1.00	04/05/2012	34.41	07/30/2013	37.84	3.43	9.97%	L
KINDER MORGAN INC									
494568101	KMI	2.00	04/05/2012	68.83	08/28/2013	74.12	5.29	7.68%	L
KINDER MORGAN INC									
494568101	KMI	3.00	04/05/2012	103.24	09/23/2013	107.77	4.53	4.39%	L
KINDER MORGAN INC									
494568101	KMI	4.00	04/05/2012	137.55	09/30/2013	142.18	4.63	3.29%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	1.00	05/14/2012	40.69	03/20/2013	51.00	10.31	25.34%	S
KRAFT FOODS GROUP INC									
50076Q106	KRFT	0.67	05/14/2012	27.12	07/17/2013	38.38	11.26	41.52%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	0.33	05/24/2012	13.50	07/17/2012	19.19	5.69	42.15%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	1.00	06/13/2012	40.32	07/17/2013	57.56	17.24	42.76%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	0.33	06/13/2012	53.76	08/14/2012	73.52	20.06	37.31%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	0.33	06/18/2012	13.62	08/14/2013	18.47	4.85	35.61%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	0.33	06/20/2012	3.58	08/14/2013	18.45	4.87	35.86%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	0.33	06/20/2012	3.58	08/15/2013	17.88	4.30	31.66%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	0.67	07/09/2012	27.20	08/15/2013	35.78	8.58	31.54%	L
KRAFT FOODS GROUP INC									
50076Q106	KRFT	1.00	03/07/2013	49.07	08/21/2013	52.37	3.30	6.73%	S
KROGER COMPANY									
501044101	KR	2.00	04/05/2012	47.66	05/24/2013	68.36	20.70	43.43%	L
KROGER COMPANY									
501044101	KR	3.00	04/05/2012	71.49	08/12/2013	116.19	44.70	62.53%	L
KROGER COMPANY									
501044101	KR	2.00	04/05/2012	47.66	08/22/2013	74.46	26.80	56.23%	L
KROGER COMPANY									
501044101	KR	2.00	07/13/2012	44.27	08/26/2013	74.40	30.13	68.06%	L
KROGER COMPANY									
501044101	KR	1.00	08/03/2012	22.20	08/26/2013	37.20	15.00	67.57%	L
KROGER COMPANY									
501044101	KR	1.00	08/03/2012	22.20	09/06/2013	37.67	15.47	69.68%	L
KROGER COMPANY									
501044101	KR	1.00	08/03/2012	22.20	09/11/2013	37.55	15.35	69.14%	L



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Realized gain/loss - Year 2013 (continued)

	CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
KROGER COMPANY	501044101	KR	2.00	10/15/2012	46.35	09/11/2013	75.11	-28.76	62.05%	S
LORD ABBETT SHORT DURATION INCOME FUND CLASS C	543916704	MFLALF	5.399	03/27/2012	25.005	12/11/2013	24.838	0.1	-0.67%	L
MALLINCKRODT PUB LTD CO	G5785G107	MNK	0.25	02/28/2013	11.43	07/01/2013	11.04	-0.39	-3.41%	S
MALLINCKRODT PUB LTD CO	G5785G107	MNK	0.25	02/28/2013	11.42	07/09/2013	10.60	-0.82	-7.18%	S
MALLINCKRODT PUB LTD CO	G5785G107	MNK	0.25	03/15/2013	11.78	07/09/2013	10.60	-1.18	-10.02%	S
MALLINCKRODT PUB LTD CO	G5785G107	MNK	0.25	03/20/2013	11.83	07/09/2013	10.59	-1.24	-10.48%	S
MALLINCKRODT PUB LTD CO	G5785G107	MNK	0.25	06/11/2013	11.63	07/09/2013	10.60	-1.03	-8.86%	S
MARATHON OIL CORP	565849106	MRO	3.00	04/05/2012	91.65	11/15/2013	109.64	17.99	19.63%	L
MARATHON PETROLEUM CO	56585A102	MPC	2.00	04/05/2012	84.32	06/24/2013	142.23	57.91	68.66%	L
MARATHON PETROLEUM CO	56585A102	MPC	1.00	04/05/2012	42.16	07/11/2013	69.62	27.46	65.13%	L
MARATHON PETROLEUM CO	56585A102	MPC	2.00	04/05/2012	84.32	12/06/2013	171.36	87.04	103.23%	L
MARSHALL & CO INC NEW CL A	571903202	MAR	5.00	01/05/2012	188.90	07/30/2013	206.86	17.96	9.51%	L
MARSHALL & CO INC NEW CL A	571903202	MAR	1.00	04/05/2012	37.78	08/02/2013	41.71	3.93	10.40%	L
MARSHALL & CO INC NEW CL A	571903202	MAR	2.00	04/05/2012	75.56	08/05/2013	84.81	9.25	12.24%	L
MEDTRONIC INC	585055106	MDT	3.00	08/22/2012	121.89	07/17/2013	162.54	40.65	33.35%	S
MEDTRONIC INC	585055106	MDT	2.00	08/22/2012	81.26	12/27/2013	114.76	33.50	41.23%	L
MERCK & CO INC NEW COM	58933Y105	MRK	5.00	04/05/2012	194.25	06/19/2013	239.48	45.23	23.28%	L
MERCK & CO INC NEW COM	58933Y105	MRK	2.00	04/05/2012	77.70	07/22/2013	95.58	17.88	23.01%	L
METLIFE INC	591563109	NET	7.00	04/05/2012	271.95	07/25/2013	335.59	63.64	23.40%	L
METLIFE INC	591563109	NET	6.00	04/05/2012	218.88	10/08/2013	279.96	61.08	27.91%	L
MICROSOFT CORP	594918104	MSFT	4.00	04/05/2012	145.92	10/30/2013	196.57	50.65	34.71%	L
MICROSOFT CORP	594918104	MSFT	20.00	04/03/2012	636.37	07/31/2013	637.66	1.29	0.20%	L
MORGANSTANLEY CO NEW	61166W101	MGN	5.00	04/05/2012	157.60	09/30/2013	164.08	6.48	4.11%	L
MORGANSTANLEY CO NEW	61166W101	MGN	1.00	06/13/2013	104.93	11/15/2013	110.38	5.45	5.19%	S
MORGANSTANLEY CO NEW	61166W101	MGN	2.00	06/14/2013	211.57	11/15/2013	230.77	19.20	9.07%	S
MORGANSTANLEY CO NEW	61166W101	MGN	1.00	06/24/2013	101.21	11/20/2013	110.84	9.63	9.51%	S

Realized gain/loss - Year 2013 (continued)

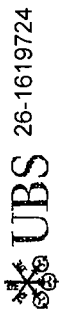
CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
61166W101	MON	1.00	07/11/2013	102.62	11/22/2013	111.68	9.06	8.83%	S
61945C103	MOS	4.00	04/05/2012	200.75	08/02/2013	166.75	-34.00 w52	-16.94%	L
61945C103	MOS	1.00	04/10/2013	60.14	08/02/2013	41.69	-18.45	-30.68%	S
61945C103	MOS	2.00	04/17/2013	115.83	08/05/2013	81.83	-34.00	-29.35%	S
61945C103	MOS	1.00	04/29/2013	61.52	08/05/2013	40.92	-20.60	-33.48%	S
61945C103	MOS	1.00	07/22/2013	65.43	08/05/2013	40.91	-24.52 w53	-37.48%	S
651639106	NEM	15.00	04/03/2012	764.52	05/22/2013	476.95	-287.57	-37.61%	L
651639106	NEM	25.00	04/03/2012	1,274.21	11/08/2013	676.48	-597.73	-46.91%	L
566807102	NOC	10.00	04/03/2012	613.78	08/15/2013	941.79	328.01	53.44%	L
681319106	OMC	1.00	04/05/2012	49.37	03/04/2013	57.45	8.08	16.37%	S
681319106	OMC	2.00	04/05/2012	98.74	03/28/2013	117.97	19.23	19.37%	S
681319106	OMC	2.00	04/05/2012	98.74	04/03/2013	117.78	19.04	19.28%	S
717081103	PFE	11.00	04/05/2012	245.52	09/11/2013	314.06	68.56	27.92%	L
717081103	PFE	5.00	04/05/2012	111.60	12/27/2013	153.31	41.71	37.37%	L
718172109	PM	4.00	04/05/2012	354.36	07/09/2013	356.89	2.53	0.71%	L
718172109	PM	1.00	04/05/2012	88.59	07/11/2013	89.82	1.23	1.39%	L
718172109	PM	1.00	04/05/2012	88.59	08/22/2013	84.22	-4.37	-4.93%	L
718172109	PM	1.00	04/05/2012	88.59	08/26/2013	85.05	-3.54	-4.00%	L
742718109	PG	1.00	04/05/2012	67.40	03/22/2013	77.41	10.01	14.85%	S
742718109	PG	1.00	04/05/2012	67.40	05/08/2013	78.22	10.82	16.05%	L
742718109	PG	5.00	04/05/2012	337.00	06/11/2013	391.61	54.61	16.20%	L
742718109	PG	2.00	04/05/2012	134.80	08/14/2013	162.56	27.76	20.59%	L
742718109	PG	1.00	04/05/2012	67.40	09/05/2013	77.44	10.04	14.90%	L
742718109	PG	1.00	04/05/2012	67.40	09/30/2013	76.67	9.27	13.75%	L
742718109	PG	3.00	04/05/2012	263.20	10/02/2013	227.95	-35.25	-12.73%	L
742718109	PG	1.00	04/05/2012	67.40	10/04/2013	75.87	8.47	12.57%	L
742718109	PG	2.00	04/05/2012	134.80	10/08/2013	153.48	18.68	13.85%	L

Realized gain/loss - Year 2013 (continued)

CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
744320102	PRU	2.00	04/05/2012	124.98	08/22/2013	157.79	32.81	26.25%	L
744320102	PRU	1.00	04/05/2012	62.49	09/18/2013	81.58	19.09	30.55%	L
744320102	PRU	2.00	04/05/2012	124.98	09/23/2013	156.25	31.27	25.02%	L
806857108	SLB	2.00	04/05/2012	137.04	01/07/2013	143.19	6.15	4.49%	S
806857108	SLB	3.00	04/05/2012	205.56	05/21/2013	232.59	27.03	13.15%	L
908513105	SCHW	60.00	04/03/2012	868.73	05/31/2013	1,205.59	336.86	38.78%	L
808513105	SCHW	40.00	04/03/2012	579.15	07/31/2013	884.36	305.21	52.70%	L
842587107	SO	2.00	04/05/2012	89.98	05/21/2013	92.84	2.86	3.18%	L
842587107	SO	2.00	04/05/2012	89.98	06/11/2013	88.80	-1.18	-1.31%	L
842587107	SO	2.00	04/05/2012	89.98	06/13/2013	88.63	-1.35	-1.50%	L
842587107	SO	2.00	04/05/2012	89.98	06/14/2013	89.10	-0.88	-0.98%	L
842587107	SQ	2.00	04/05/2012	89.98	08/15/2013	85.82	-4.16	-4.62%	L
867224107	SU	5.00	04/05/2012	153.15	06/24/2013	143.51	-9.64	-6.29%	L
867224107	SU	8.00	04/05/2012	245.04	06/28/2013	235.94	-9.10	-3.71%	L
867224107	SU	1.00	02/13/2013	32.36	06/28/2013	29.49	-2.87	-8.87%	S
867914103	STF	10.00	04/05/2012	240.30	11/26/2013	364.60	124.30	51.73%	L
867914103	STI	2.00	04/05/2012	48.06	12/06/2013	70.82	22.76	47.36%	L
87612E106	TGT	4.00	04/05/2012	230.90	11/15/2013	266.04	35.14	15.22%	L
87612E106	TGT	1.00	04/05/2012	57.13	12/24/2013	61.53	3.80	6.58%	L
87612E106	IGT	1.00	06/13/2012	58.28	12/24/2013	61.53	3.25	5.58%	L
87612E106	TGT	1.00	06/13/2012	58.28	12/27/2013	62.10	3.82	6.55%	L
87612E106	TGT	1.00	02/19/2013	61.52	12/27/2013	62.10	0.58	0.94%	S
87612E106	TGT	1.00	02/25/2013	63.60	12/27/2013	62.10	-1.50	-2.36%	S
881624209	TEVA	3.00	04/05/2012	135.24	02/01/2013	113.13	-22.11	-16.35%	S
881624209	TEVA	5.00	04/05/2012	225.40	07/06/2013	190.04	-35.36	-15.69%	S
881624209	TEVA	2.00	04/05/2012	90.16	02/13/2013	77.68	-12.48	-13.84%	S
882508104	TXN	4.00	04/05/2012	129.71	07/09/2013	143.97	14.26	10.99%	L

Realized gain/loss - Year 2013 (continued)

CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/loss (\$)	Percent gain/loss (%)	Term
TIME WARNER CABLE INC	TWC	2.00	04/05/2012	161.72	05/17/2013	195.78	34.06	21.06%	L
TIME WARNER CABLE INC	TWC	2.00	04/05/2012	161.72	05/29/2013	192.29	30.57	18.90%	L
TIME WARNER CABLE INC	TWC	1.00	04/05/2012	80.86	06/11/2013	95.94	15.08	18.65%	L
TORCHMARK CORP	TMK	5.00	04/03/2012	247.92	07/19/2013	346.18	98.26	39.63%	L
TRAVELERS COS INC-THE	TRV	1.00	04/05/2012	58.95	05/21/2013	83.51	24.56	42.17%	L
TRAVELERS COS INC-THE	TRV	1.00	04/05/2012	58.95	07/09/2013	82.27	23.32	39.56%	L
UNILEVER NV NY SHS NEW NETHERLANDS SPON ADR	UN	65.00	04/03/2012	2,237.84	04/04/2013	2,663.80	425.96	19.03%	L
UNITED STATES TREAS BOND 04 500 % DUE 02/15/36		1,000.00	04/08/2012	1,252.27	02/26/2013	1,278.28	26.01	2.09%	S
UNITED STATES TREAS BOND 04 500 % DUE 02/15/36		1,000.00	04/09/2012	1,240.28	11/19/2013	1,139.26	-101.02	-8.14%	L
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		2,000.00	05/11/2012	2,008.91	01/28/2013	2,007.97	-0.94	-0.05%	S
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		5,000.00	05/29/2012	5,016.99	02/28/2013	5,023.05	6.06	0.12%	S
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		2,000.00	07/06/2012	2,008.67	05/10/2013	2,008.59	-0.08	0.00%	S
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		1,000.00	11/06/2012	1,004.18	06/21/2013	1,003.67	-0.51	-0.05%	S
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		1,000.00	11/06/2012	1,004.18	08/16/2013	1,003.60	-0.58	-0.06%	S
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		1,000.00	03/20/2013	1,004.41	08/16/2013	1,003.60	-0.81	-0.08%	S
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		2,000.00	03/20/2013	2,008.82	03/27/2013	2,007.11	-1.72	-0.09%	S
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		9,000.00	04/09/2013	9,039.38	09/04/2013	9,031.29	-8.09	-0.09%	S
US TSY NOTE 00 500 % DUE 10/15/14 DTD 10/15/11		2,000.00	04/09/2013	2,008.75	09/17/2013	2,007.34	-1.41	-0.07%	S
US TSY NOTE 00 875 % DUE 07/31/19 DTD 07/31/12		1,000.00	10/05/2012	987.19	03/12/2013	977.50	-9.69	-0.98%	S
US TSY NOTE 00 875 % DUE 07/31/19 DTD 07/31/12		1,000.00	01/02/2013	983.00	03/20/2013	983.44	0.44	0.04%	S
US TSY NOTE 00 875 % DUE 07/31/19 DTD 07/31/12		1,000.00	01/28/2013	976.29	04/05/2013	992.34	16.05	1.64%	S



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Realized gain/loss - Year 2013 (continued)

CUSIP	Symbol	Quantity	Purchase date	Purchase amount (\$)	Sale date	Sale amount (\$)	Realized gain/(loss) (\$)	Percent gain/(loss) (%)	Term
US TSY NOTE 00 875 % DUE 07/31/19 DTD 07/31/12 FC 01/31/13	912828TH3	2,000.00	02/26/2013	1,969.38	04/09/2013	1,984.69	15.31	0.78%	S
US TSY NOTE 00 875 % DUE 07/31/19 DTD 07/31/12 FC 01/31/13	912828TH3	1,000.00	03/08/2013	975.58	06/25/2013	946.25	-29.33	-3.01%	S
US TSY NOTE 00 875 % DUE 07/31/19 DTD 07/31/12 FC 01/31/13	912828TH3	1,000.00	03/08/2013	975.58	07/16/2013	952.97	-22.61	-2.32%	S
US TSY NOTE 00 875 % DUE 07/31/19 DTD 07/31/12 FC 01/31/13	912828TH3	1,000.00	03/08/2013	975.58	11/08/2013	954.61	-20.97	-2.15%	S
US TSY NOTE 00 875 % DUE 07/31/19 DTD 07/31/12 FC 01/31/13	912828TH3	1,000.00	05/03/2013	992.81	12/03/2013	956.64	-36.17	-3.64%	S
VERIZON COMMUNICATIONS INC	92343V104	6.00	04/05/2012	226.08	09/11/2013	278.35	52.27	23.12%	L
VERIZON COMMUNICATIONS INC	92343V104	5.00	04/05/2012	113.04	09/16/2013	144.21	31.17	27.57%	L
VERIZON COMMUNICATIONS INC	92343V104	2.00	04/05/2012	75.36	11/26/2013	100.08	24.72	32.80%	L
WALT DISNEY CO (HOLDING COMPANY)	254687105	3.00	04/05/2012	129.03	05/07/2013	194.72	65.69	50.91%	L
WELLS FARGO & CO NEW	949746101	8.00	04/05/2012	270.24	01/14/2013	276.61	6.37	2.36%	S
WELLS FARGO & CO NEW	949746101	7.00	04/05/2012	236.46	03/28/2013	258.27	21.81	9.22%	S
WELLS FARGO & CO NEW	949746101	7.00	01/05/2012	236.46	08/13/2013	285.38	48.92	20.69%	L
WELLS FARGO & CO NEW	949746101	5.00	04/05/2012	188.90	08/22/2013	211.06	42.16	22.36%	L
WELLS FARGO & CO NEW	949746101	5.00	04/05/2012	188.90	08/26/2013	212.92	44.02	23.30%	L
WELLS FARGO & CO NEW	949746101	2.00	04/05/2012	87.56	08/28/2013	82.19	-5.37	-6.13%	L
WELLS FARGO & CO NEW	949746101	5.00	04/05/2012	188.90	09/06/2013	208.26	19.36	10.25%	L
WELLS FARGO & CO NEW	949746101	3.00	04/05/2012	101.34	09/23/2013	126.59	25.25	24.92%	L
ZIONS BANCORP	989701107	2.00	04/05/2012	42.16	02/13/2013	49.70	7.54	17.88%	S
TOTAL REALIZED GAIN/(LOSS)							126,409.74	5,617.22	4.44%

Realized gain/loss - Year 2013 (continued)

TOTAL GAINS.	9,596 88
TOTAL LOSSES.	-3,979 65
SHORT TERM - TOTAL REALIZED GAIN/(LOSS)	339 33
LONG TERM - TOTAL REALIZED GAIN/(LOSS):	5,277 89

ws1 Wash Sale adjustment amount of \$4 16
ws2 Wash Sale adjustment amount of \$-11 33
ws3 Wash Sale adjustment amount of \$11 33

