Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
LOTZ FAMILY FOUNDATION

A Employer identification number
26-1590575

Number and street (or P O box number if mail is not delivered to street address) Room/suite
30 ISLA BAHIA DRIVE

B Telephone number
203-570-2865

City or town, state or province, country, and ZIP or foreign postal code
FORT LAUDERDALE, FL 33316

C If exemption application is pending, check here ▶☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*
▶$ 1,286,599.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc., received	1,750.		N/A	
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	15.	15.		STATEMENT 1
4	Dividends and interest from securities	29,804.	29,804.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	71,442.			
b	Gross sales price for all assets on line 6a 402,817.				
7	Capital gain net income (from Part IV, line 2)		71,442.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	**Total.** Add lines 1 through 11	103,011.	101,261.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 3	5,250.	2,625.		5,250.
c	Other professional fees				
17	Interest				
18	Taxes				
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 4	11,962.	7,863.		11,962.
24	**Total operating and administrative expenses.** Add lines 13 through 23	17,212.	10,488.		17,212.
25	Contributions, gifts, grants paid	211,115.			211,115.
26	**Total expenses and disbursements.** Add lines 24 and 25	228,327.	10,488.		228,327.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-125,316.			
b	**Net investment income** (if negative, enter -0-)		90,773.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	2,303.	4,086.	4,086.
	2	Savings and temporary cash investments	13,430.	10,880.	10,880.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 6	115,390.	96,273.	114,784.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 7	1,124,967.	1,020,349.	1,156,066.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶ STATEMENT 8)	1,372.	783.	783.
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,257,462.	1,132,371.	1,286,599.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	1,257,462.	1,132,371.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	1,257,462.	1,132,371.	
	31	**Total liabilities and net assets/fund balances**	1,257,462.	1,132,371.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,257,462.
2	Enter amount from Part I, line 27a	2	-125,316.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	225.
4	Add lines 1, 2, and 3	4	1,132,371.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,132,371.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	402,817.		331,375.	71,442.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				71,442.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	71,442.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	155,504.	1,294,549.	.120122
2011	168,002.	1,374,254.	.122250
2010	112,975.	1,052,160.	.107374
2009	92,664.	543,627.	.170455
2008	124,300.	118,074.	1.052730

2	**Total** of line 1, column (d)	2	1.572931
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.314586
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,262,080.
5	Multiply line 4 by line 3	5	397,033.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	908.
7	Add lines 5 and 6	7	397,941.
8	Enter qualifying distributions from Part XII, line 4	8	228,327.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)** b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			1	1,815.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,815.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,815.
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,400.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	2,400.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	585.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 585. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL, CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 9	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PHILIP A. & WENDY D. LOTZ Telephone no. ▶ 203-570-2865

Located at ▶ 30 ISLA BAHIA DRIVE, FORT LAUDERDALE, FL ZIP+4 ▶ 33316

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	**5b**	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PHILIP A. LOTZ 30 ISLA BAHIA DRIVE FORT LAUDERDALE, FL 33316	TRUSTEE 1.00	0.	0.	0.
WENDY D. LOTZ 30 ISLA BAHIA DRIVE FORT LAUDERDALE, FL 33316	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	1,265,939.
b	Average of monthly cash balances			1b	15,360.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	1,281,299.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	1,281,299.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	19,219.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4			5	1,262,080.
6	**Minimum investment return**. Enter 5% of line 5			6	63,104.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	63,104.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,815.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,815.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	61,289.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	61,289.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	61,289.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	228,327.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions**. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	228,327.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions**. Subtract line 5 from line 4	6	228,327.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					61,289.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008	118,436.				
b From 2009	65,666.				
c From 2010	61,346.				
d From 2011	104,635.				
e From 2012	93,955.				
f **Total** of lines 3a through e		444,038.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $	228,327.				
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					61,289.
e Remaining amount distributed out of corpus		167,038.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		611,076.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		118,436.			
9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a		492,640.			
10 Analysis of line 9:					
a Excess from 2009	65,666.				
b Excess from 2010	61,346.				
c Excess from 2011	104,635.				
d Excess from 2012	93,955.				
e Excess from 2013	167,038.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

PHILIP A. & WENDY D. LOTZ, 203-570-2865
30 ISLA BAHIA DRIVE, FT LAUDERDALE, FL 33316

b The form in which applications should be submitted and information and materials they should include:

BY WRITTEN REQUEST ONLY

c Any submission deadlines:

NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
***a** Paid during the year*				
BABSON COLLEGE 231 FOREST ST. BABSON PARK, MA 02457	N/A	501(C)(3)	TO FUND HIGHER EDUCATION PROGRAMS	5,000.
BABY STEPS OF NEWPORT 15 WICKHAM ROAD NEWPORT, RI 02840-4232	N/A	501(C)(3)	TO ASSURE THAT ALL INFANTS IN NEWPORT DEVELOP A FULL AND VIBRANT VOCABULARY AND SOCIAL SKILLS THAT	200.
FRIENDS OF NORRIS COTTON CANCER CENTER ONE MEDICAL CENTER DRIVE, HINMAN BOX 7070 LEBANON, NH 03756	N/A	501(C)(3)	SUPPORT EXPERIMENTAL WORK BY CREATIVE YOUNG INVESTIGATORS AT THE CANCER CENTER, ALLOWING THEM TO START	200.
HEIFER INTERNATIONAL 1 WORLD AVENUE LITTLE ROCK, AR 72202	N/A	501(C)(3)	SUPPORT FOR JUST AND SUSTAINABLE DEVELOPMENT WORLDWIDE	5,000.
KIDS IN DISTRESS 819 NE 26TH ST. WILTON MANORS, FL 33305	N/A	501(C)(3)	PROVIDE SERVICES TO HELP PREVENT CHILD ABUSE, PRESERVE THE FAMILY, AND TREAT CHILDREN WHO HAVE BEEN	2,400.
Total SEE CONTINUATION SHEET(S)			▶ 3a	211,115.
***b** Approved for future payment* NONE				
Total			▶ 3b	0.

** SEE PURPOSE OF GRANT CONTINUATIONS

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	15.	
4 Dividends and interest from securities			14	29,804.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	71,442.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		101,261.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 101,261.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

▶ Signature of officer or trustee

Aug 19 2014 Date

▶ Trustee Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
DAHL F. BOWSER	Dahl F. Bowser	8/6/14		P01319045

Firm's name ▶ KAHAN, STEIGER & COMPANY, P.C.

Firm's EIN ▶ 06-1443759

Firm's address ▶ 1100 SUMMER STREET
STAMFORD, CT 06905-0227

Phone no. 203-327-5717

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAUDERDALE YACHT CLUB SAILING FOUNDATION 1725 SE 12TH STREET FT LAUDERDALE, FL 33316	N/A	501(C)(3)	DEDICATED TO FAVORBLY IMPACTING THE LIVES OF YOUNG PEOPLE. WE EDUCATE THEM ABOUT SAILING AND BOATING,	500.
LEUKEMIA & LYMPHOMA SOCIETY 1150 PONTIAC AVE, CRANSTON, RI 02920	N/A	501(C)(3)	TO FUND RESEARCH PROGRAMS, PATIENT SERVICES, AND EDUCATION PROGRAMS	25,000.
MYSTIC SEAPORT 75 GREENMANVILLE AVE MYSTIC, CT 06355	N/A	501(C)(3)	FUND THE RESEARCH AND PRESERVATION OF MARINE COLLECTIONS	65.
NATIONAL SAILING HALL OF FAME 67-69 PRINCE GEORGE STREET ANNAPOLIS, MD 21401	N/A	501(C)(3)	NON-PROFIT EDUCATIONAL INSTITUTION DEDICATED TO PRESERVING THE HISTORY OF SAILING AND ITS IMPACT ON OUR	50,000.
NEW YORK YACHT CLUB FOUNDATION 37 WEST 44TH STREET NEW YORK, NY 10036	N/A	501(C)(7)	RAISE FUNDS TO MAINTAIN, RESTORE AND PRESERVE THE EXTERIOR (AND FUNDAMENTAL INTERIOR SUPPORTING	2,500.
PAN MASS CHALLENGE (JIMMY FUND & DANA-FARBER CANCER INSTITUTE) 77 FOURTH AVENUE NEEDHAM, MA 02494	N/A	501(C)(3)	RAISES MONEY FOR LIFE-SAVING CANCER RESEARCH AND TREATMENT AT DANA-FARBER CANCER INSTITUTE	500.
PSI UPSILON FOUNDATION 3003 EAST 96TH STREET INDIANAPOLIS, IN 46240	N/A	501(C)(3)	PROVIDE ASSISTANCE TO NEEDY AND DESERVING STUDENTS FOR HIGHER EDUCATION	2,000.
SAIL NEWPORT 60 FORT ADAMS DRIVE NEWPORT, RI 02840	N/A	501(C)(3)	TO PROMOTE AND OPERATE AFFORDABLE PUBLIC SAILING INSTRUCTION, RENTAL PROGRAMS AND TO CREATE OPPORTUNITIES	75,250.
SAIL TO PREVAIL P.O. BOX 1264 NEWPORT, RI 02840	N/A	501(C)(3)	PROVIDE THERAPEUTIC SERVICES TO DEVELOP INDEPENDENT LIVING SKILLS FOR DISABLED INDIVIDUALS	10,000.
SEAMAN'S CHURCH INSTITUTE 18 MARKET SQUARE NEWPORT, RI 02840	N/A	501(C)(3)	PROVIDES MEN AND WOMEN OF THE SEA, AND PERSONS REFERRED FROM THE NEWPORT COMMUNITY, A SAFE HAVEN IN WHICH	500.
Total from continuation sheets				198,315.

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE HOLE IN THE WALL GANG 555 LONG WHARF DRIVE NEW HAVEN, CT 06511	N/A	501(C)(3)	FUNDING TO SUPPORT RESIDENTIAL SUMMER CAMP AND YEAR-ROUND CENTER SERVING CHILDREN AND THEIR	1,000.
TRUSTEES OF UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104	N/A	501(C)(3)	TO FUND HIGHER EDUCATION PROGRAMS	30,000.
US SAILING 15 MARITIME DRIVE, BOX 1260 PORTSMOUTH, RI 02871	N/A	501(C)(3)	TO PROVIDE LEADESHIP FOR THE SPORT OF SAILING IN THE UNITED STATES	1,000.
Total from continuation sheets				

Part XV **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BABY STEPS OF NEWPORT

TO ASSURE THAT ALL INFANTS IN NEWPORT DEVELOP A FULL AND VIBRANT VOCABULARY AND SOCIAL SKILLS THAT WOULD EFFECTIVELY PREPARE THEM FOR PRE-K OR KINDERGARTEN, AND TO ENCOURAGE PARENT'S PARTICIPATION IN THEIR CHILD'S EDUCATION, BEGINNING AT BIRTH.

NAME OF RECIPIENT - FRIENDS OF NORRIS COTTON CANCER CENTER

SUPPORT EXPERIMENTAL WORK BY CREATIVE YOUNG INVESTIGATORS AT THE CANCER CENTER, ALLOWING THEM TO START THE KIND OF RESEARCH PROJECTS THAT CAN YIELD NEW KNOWLEDGE AND NEW THERAPIES, AND TO SUPPORT THE PATIENT SERVICES THAT OFTEN AREN'T COVERED BY INSURANCE.

NAME OF RECIPIENT - KIDS IN DISTRESS

PROVIDE SERVICES TO HELP PREVENT CHILD ABUSE, PRESERVE THE FAMILY, AND TREAT CHILDREN WHO HAVE BEEN ABUSED AND NEGLECTED.

NAME OF RECIPIENT - LAUDERDALE YACHT CLUB SAILING FOUNDATION

DEDICATED TO FAVORBLY IMPACTING THE LIVES OF YOUNG PEOPLE. WE EDUCATE THEM ABOUT SAILING AND BOATING, WEATHER, MARINE SAFETY, SEA CONDITIONS, MARITIME 'RULES OF THE ROAD', RACING, TACTICS, FIRST AID AND IMPROVE SPATIAL MATHEMATICAL SKILLS. WE AIM TO PROVIDE THEM WITH THE TOOLS TO BUILD CHARACTER AND SUPPORT IN THE AREAS OF EDUCATION WHILE ADVOCATING LEADERSHIP AMOUNG YOUNG PEOPLE IN SOUTH FLORIDA.

NAME OF RECIPIENT - NATIONAL SAILING HALL OF FAME

NON-PROFIT EDUCATIONAL INSTITUTION DEDICATED TO PRESERVING THE HISTORY OF SAILING AND ITS IMPACT ON OUR CULTURE, HONORING THOSE WHO HAVE MADE OUTSTANDING CONTRIBUTIONS TO AMERICAN SAILING, TEACHING MATH, SCIENCE

Part XV **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

AND AMERICAN HISTORY, INSPIRING AND ENCOURAGING SAILING DEVELOPMENT AND PROVIDING A LANDMARK FOR SAILING ENTHUSIASTS.

NAME OF RECIPIENT - NEW YORK YACHT CLUB FOUNDATION

RAISE FUNDS TO MAINTAIN, RESTORE AND PRESERVE THE EXTERIOR (AND FUNDAMENTAL INTERIOR SUPPORTING STRUCTURES) OF THE HISTORICALLY AND ARCHITECTURALLY SIGNIFICANT BUILDINGS AND IMPROVEMENTS OWNED BY THE NEW YORK YACHT CLUB LOCATED AT 37 WEST 44TH STREET IN NEW YORK CITY AND AT HARBOUR COURT IN NEWPORT, RI, TO SUPPORT HISTORICAL PRESERVATION IN THE AREAS IN WHICH THESE PROPERTIES ARE LOCATED AND TO FOSTER THE APPRECIATION FOR THE WORKS OF ARCHITECTS

NAME OF RECIPIENT - SAIL NEWPORT

TO PROMOTE AND OPERATE AFFORDABLE PUBLIC SAILING INSTRUCTION, RENTAL PROGRAMS AND TO CREATE OPPORTUNITIES TO ATTRACT NEW SAILORS TO THE SPORT

NAME OF RECIPIENT - SEAMAN'S CHURCH INSTITUTE

PROVIDES MEN AND WOMEN OF THE SEA, AND PERSONS REFERRED FROM THE NEWPORT COMMUNITY, A SAFE HAVEN IN WHICH THEY MAY FIND COMFORT, AID AND BENEFIT

NAME OF RECIPIENT - THE HOLE IN THE WALL GANG

FUNDING TO SUPPORT RESIDENTIAL SUMMER CAMP AND YEAR-ROUND CENTER SERVING CHILDREN AND THEIR FAMILIES COPING WITH CANCER AND OTHER SERIOUS ILLNESSES AND CONDITIONS

LOTZ FAMILY FOUNDATION | CONTINUATION FOR 990-PF, PART IV
26-1590575 | PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SECURITY SALES - GOLDMAN SACHS #658021, SEE STMT	P	VARIOUS	12/31/13
b	SECURITY SALES - GOLDMAN SACHS #658021, SEE STMT	P	VARIOUS	12/31/13
c	SECURITY SALES - GOLDMAN SACHS #658062, SEE STMT	P	VARIOUS	12/31/13
d	SECURITY SALES - GOLDMAN SACHS #658062, SEE STMT	P	VARIOUS	12/31/13
e	GOLDMAN SACHS #658062 - FRAC SHARE SALES	P	VARIOUS	12/31/13
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	116,974.		112,028.	4,946.
b	171,302.		123,047.	48,255.
c	74,088.		64,562.	9,526.
d	39,297.		31,738.	7,559.
e	47.			47.
f	1,109.			1,109.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				4,946.
b				48,255.
c				9,526.
d				7,559.
e				47.
f				1,109.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	71,442.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS # 042-658062	2.	2.	
GOLDMAN SACHS # 042-785568	13.	13.	
TOTAL TO PART I, LINE 3	15.	15.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS #042-658021	28,750.	1,109.	27,641.	27,641.	
GOLDMAN SACHS #042-658062	2,163.	0.	2,163.	2,163.	
TO PART I, LINE 4	30,913.	1,109.	29,804.	29,804.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,250.	2,625.		5,250.
TO FORM 990-PF, PG 1, LN 16B	5,250.	2,625.		5,250.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGT FEES - GOLDMAN SACHS	7,339.	7,339.		7,339.
IRS FEDERAL EXCISE TAX - 2012	2,500.	0.		2,500.
IRS FEDERAL EXCISE TAX - 2013	1,599.	0.		1,599.
FOREIGN TAXES PAID	524.	524.		524.
TO FORM 990-PF, PG 1, LN 23	11,962.	7,863.		11,962.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 5

DESCRIPTION	AMOUNT
MISCELLANEOUS UNRECONCILIABLE VARIANCE - IMMATERIAL	225.
TOTAL TO FORM 990-PF, PART III, LINE 3	225.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	96,273.	114,784.
TOTAL TO FORM 990-PF, PART II, LINE 10B	96,273.	114,784.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUND INVESTMENTS	COST	1,020,349.	1,156,066.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,020,349.	1,156,066.

FORM 990-PF OTHER ASSETS STATEMENT 8

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DVDS RECEIVABLE, REPORTED IN INCOME AT 12/31, BUT PAID IN 1/14	1,372.	783.	783.
TO FORM 990-PF, PART II, LINE 15	1,372.	783.	783.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 9

NAME OF CONTRIBUTOR	ADDRESS
PHILIP A. LOTZ	30 ISLA BAHIA DRIVE FORT LAUDERDALE, FL 33316
WENDY D. LOTZ	30 ISLA BAHIA DRIVE FORT LAUDERDALE, FL 33316

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 10

NAME OF MANAGER

PHILIP A. LOTZ
WENDY D. LOTZ

Goldman Sachs

A|c # 042-658021

LOTZ FAMILY FDN GS: EQ AND FI

Realized Gains and Losses

Period Ended December 31, 2013

PART IV ATTACHMENT - GOLDMAN SACHS # 658021

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes You should use only your official form 1099's or other tax records for tax reporting This information is not, and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ARTISAN INTERNATIONAL FUND INV MUTUAL FUND CLASS I SHARES	May 21 2012	Feb 04 2013	410.96	10,500.00	8,728.77	0.00	1,771.23	1,771.23	ST
CAUSEWAY INTERNATIONAL VALUE I MUTUAL FUND CLASS INST SHARES	May 08 2012	Feb 04 2013	637.18	8,500.00	7,416.79	0.00	1,083.21	1,083.21	ST
ISHARES MSCI EAFE ETF	May 08 2012	Feb 04 2013	341.00	19,992.38	17,691.01	0.00	2,301.37	2,301.37	ST
ISHARES RUSSELL 2000 ETF	May 08 2012	Feb 04 2013	111.00	9,972.57	8,783.43	0.00	1,189.14	1,189.14	ST
SPDR DJ WILSHIRE INTERNATIONAL REAL ESTATE ETF	May 16 2012	Feb 04 2013	73.00	3,008.99	2,558.56	0.00	450.43	450.43	ST
VANGUARD INDEX FUNDS - VANGUAR VANGUARD GROWTH ETF	Aug 05 2009	Feb 04 2013	134.00	9,989.47	6,252.44	0.00	3,737.03	3,737.03	LT
VANGUARD INDEX FUNDS - VANGUAR	Aug 05 2009	Feb 04 2013	219.00	13,722.23	9,548.01	0.00	4,174.22	4,174.22	LT
VANGUARD VALUE ETF	Dec 22 2009	Feb 04 2013	20.00	1,253.17	959.36	0.00	293.81	293.81	LT
BLACKROCK COREALPHA BOND MUTUAL FUND	May 25 2012	Feb 19 2013	1,180.36	12,500.01	12,441.73	0.00	58.28	58.28	ST
PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES	May 25 2012	Feb 19 2013	235.85	2,500.00	2,554.25	0.00	(54.25)	(54.25)	ST
PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES DISALLOWED LOSSES								15.71	
ISHARES MSCI EAFE ETF	May 08 2012	May 14 2013	320.00	20,047.55	16,601.54	0.00	3,446.01	3,446.01	LT
VANGUARD INDEX FUNDS - VANGUAR	Aug 05 2009	May 14 2013	50.00	4,083.76	2,332.50	0.00	1,751.26	1,751.26	LT
VANGUARD GROWTH ETF	Aug 05 2009	May 14 2013	239.00	19,520.36	11,151.74	0.00	8,368.62	8,368.62	LT
	Dec 22 2009	May 14 2013	36.00	2,940.31	1,905.83	0.00	1,034.48	1,034.48	LT
	Dec 22 2009	May 14 2013	100.00	8,167.52	5,294.00	0.00	2,873.52	2,873.52	LT
	Jan 22 2010	May 14 2013	5.00	408.38	261.60	0.00	146.78	146.78	LT
VANGUARD INDEX FUNDS - VANGUAR	Dec 22 2009	May 14 2013	159.00	10,929.96	7,626.95	0.00	3,303.01	3,303.01	LT
VANGUARD VALUE ETF	Jan 22 2010	May 14 2013	146.00	10,036.31	6,942.17	0.00	3,094.14	3,094.14	LT
BLACKROCK COREALPHA BOND MUTUAL FUND	May 25 2012	May 21 2013	1,879.70	20,000.00	19,813.21	0.00	186.79	186.79	ST
ISHARES MSCI EAFE ETF	May 08 2012	May 21 2013	205.00	12,994.65	10,635.36	0.00	2,359.29	2,359.29	LT
ISHARES RUSSELL 2000 ETF	May 08 2012	May 21 2013	61.00	6,039.47	4,826.93	0.00	1,212.54	1,212.54	LT
PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES	May 25 2012	May 21 2013	1,879.70	20,000.00	20,357.14	0.00	(357.14)	(357.14)	ST

LOTZ FAMILY FDN GS: EQ AND FI

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES DISALLOWED LOSSES								15 35	
VANGUARD INDEX FUNDS - VANGUAR VANGUARD GROWTH ETF	Jan 22 2010	May 21 2013	73 00	5,997 54	3,819 29	0 00	2,178 25	2,178.25	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD VALUE ETF	Jan 22 2010	May 21 2013	55.00	3,835 61	2,615 20	0 00	1,220 41	1,220 41	LT
	Feb 01 2010	May 21 2013	60.00	4,184 30	2,823 59	0 00	1,360 71	1,360 71	LT
ARTISAN INTERNATIONAL FUND INV MUTUAL FUND CLASS I SHARES	May 21 2012	Jul 02 2013	15 30	400 00	325.01	0 00	74 99	74 99	LT
BLACKROCK COREALPHA BOND MUTUAL FUND	May 25 2012	Jul 02 2013	96 99	1,000 00	1,022.37	0 00	(22 37)	(22 37)	LT
BLACKROCK COREALPHA BOND MUTUAL FUND DISALLOWED LOSSES								16 63	
CAUSEWAY INTERNATIONAL VALUE I MUTUAL FUND CLASS INST SHARES	May 08 2012	Jul 02 2013	218.18	3,000.00	2,539 64	0 00	460.36	460 36	LT
ISHARES RUSSELL 2000 ETF	May 08 2012	Jul 02 2013	16 00	1,573.25	1,266.08	0.00	307 17	307.17	LT
PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES	May 25 2012	Jul 02 2013	97 56	1,000 00	1,056.59	0 00	(56 59)	(56 59)	LT
PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES DISALLOWED LOSSES								30 47	
ISHARES RUSSELL 2000 ETF	May 08 2012	Sep 20 2013	38.00	4,056 80	3,006.94	0.00	1,049 86	1,049 86	LT
VANGUARD FTSE EMERGING MKTS ETF	May 08 2012	Sep 20 2013	238 00	9,952 98	9,815 12	0.00	137 86	137 86	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD GROWTH ETF	Jan 22 2010	Sep 20 2013	95 00	8,152 75	4,970.31	0 00	3,182 44	3,182.44	LT
VANGUARD INDEX FUNDS - VANGUAR VANGUARD VALUE ETF	Feb 01 2010	Sep 20 2013	40 00	2,862 75	1,882 40	0 00	980 35	980 35	LT
	Feb 01 2010	Sep 20 2013	43.00	3,077 46	2,023 36	0 00	1,054.10	1,054 10	LT
	Jan 18 2011	Sep 20 2013	29 00	2,075.50	1,589 67	0 00	485 83	485 83	LT
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES	May 14 2013	Dec 05 2013	1,180 64	10,000.00	11,782 77	0 00	(1,782 77)	(1,782 77)	ST
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES DISALLOWED LOSSES								69 00	

LOTZ FAMILY FDN GS: EQ AND FI

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	84,473.95	77,433 50	0.00	7,040 45	7,040.45
SHORT TERM LOSSES	32,500 00	34,694 16	0 00	(2,194 16)	(2,194.16)
SHORT TERM DISALLOWED LOSSES					100 06
NET SHORT TERM GAINS (LOSSES)	**116,973.95**	**112,127.66**	**0.00**	**4,846.29**	**4,946.35**
LONG TERM GAINS	169,302 08	121,015 04	0 00	48,287 04	48,287 04
LONG TERM LOSSES	2,000.00	2,078 96	0 00	(78 96)	(78 96)
LONG TERM DISALLOWED LOSSES					47 10
NET LONG TERM GAINS (LOSSES)	**171,302.08**	**123,094.00**	**0.00**	**48,208.08**	**48,255.18**

Goldman Sachs

A|c # 042- 658062

Statement Detail

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses

Period Ended December 31, 2013

PART IV ATTACHMENT — GOLDMAN SACHS # 658062

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes You should use only your official form 1099's or other tax records for tax reporting This information is not, and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
BANK OF AMERICA CORP CMN	.Sep 07 2012	Jan 02 2013	12 00	143 72	104.52	0 00	39 20	39 20	ST
CITIGROUP INC CMN	May 09 2012	Jan 02 2013	9.00	369.20	274 77	0.00	94 43	94 43	ST
NEWELL RUBBERMAID INC CMN	May 09 2012	Jan 02 2013	11.00	248.85	202 62	0 00	46 23	46 23	ST
PARKER-HANNIFIN CORP. CMN	May 09 2012	Jan 02 2013	4 00	348 12	344.16	0 00	3 96	3 96	ST
THE MOSAIC COMPANY CMN	May 09 2012	Jan 02 2013	4 00	229.91	198 72	0.00	31 19	31 19	ST
LEXMARK INTERNATIONAL INC. CMN CLASS A	May 09 2012	Jan 04 2013	7 00	183 56	203 21	0 00	(19 65)	(19 65)	ST
AMERIPRISE FINANCIAL, INC CMN	May 09 2012	Jan 07 2013	10 00	652 55	509 90	0.00	142 65	142 65	ST
NEWELL RUBBERMAID INC CMN	May 09 2012	Jan 08 2013	28 00	621 07	515.76	0 00	105 31	105 31	ST
LEAR CORPORATION CMN	May 09 2012	Jan 10 2013	6 00	288 05	253 02	0 00	35 03	35 03	ST
HALLIBURTON COMPANY CMN	May 09 2012	Jan 14 2013	6.00	216.07	194 58	0 00	21 49	21 49	ST
COMCAST CORPORATION CMN CLASS A NON VOTING	May 09 2012	Jan 16 2013	6 00	226 27	173 40	0.00	52 87	52 87	ST
LEAR CORPORATION CMN	May 09 2012	Jan 17 2013	8.00	388 66	337 36	0 00	51.30	51 30	ST
CORRECTIONS CORP OF AMERICA CMN	May 09 2012	Jan 18 2013	8.00	301 02	218 24	0.00	82 78	82.78	ST
LOWES COMPANIES INC CMN	May 09 2012	Jan 18 2013	11 00	404 33	334 90	0 00	69 43	69 43	ST
TEXAS INSTRUMENTS INC. CMN	May 09 2012	Jan 18 2013	13.00	432.99	398 00	0 00	34 99	34 99	ST
LOWES COMPANIES INC CMN	May 09 2012	Jan 28 2013	4 00	153 27	121 78	0 00	31 49	31 49	ST
PARKER-HANNIFIN CORP. CMN	May 09 2012	Jan 28 2013	3 00	281.34	258 12	0 00	23 22	23 22	ST
AMERIPRISE FINANCIAL, INC. CMN	May 09 2012	Jan 30 2013	3 00	199 20	152 97	0 00	46 23	46.23	ST
CORRECTIONS CORP OF AMERICA CMN	May 09 2012	Feb 01 2013	7 00	268 29	190 96	0.00	77 33	77.33	ST
ADT CORPORATION (THE) CMN	Jun 19 2012	Feb 04 2013	1 00	47 24	34.92	0.00	12 32	12 32	ST
AMERICAN EAGLE OUTFITTERS INC (NEW)	May 21 2012	Feb 04 2013	11 00	219 99	222 64	0 00	(2 65)	(2 65)	ST
AMERICAN EAGLE OUTFITTERS INC (NEW) DISALLOWED LOSSES								1 45	
AMERICAN EXPRESS CO CMN	May 09 2012	Feb 04 2013	4 00	237 75	238.92	0 00	(1 17)	(1 17)	ST
AMERIPRISE FINANCIAL, INC. CMN	May 09 2012	Feb 04 2013	3 00	195.68	152 97	0 00	42 71	42 71	ST

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
APPLE, INC. CMN	May 09 2012	Feb 04 2013	1 00	442 81	571 10	0 00	(128 29)	(128 29)	ST
APPLE, INC. CMN DISALLOWED LOSSES								128 29	
AUTOZONE, INC. CMN	May 09 2012	Feb 04 2013	1 00	366.16	384 23	0 00	(18 07)	(18 07)	ST
BANK OF AMERICA CORP CMN	Sep 07 2012	Feb 04 2013	20 00	230 19	174.21	0 00	55 98	55 98	ST
BAXTER INTERNATIONAL INC CMN	May 09 2012	Feb 04 2013	4 00	273 63	217 68	0.00	55 95	55 95	ST
BIG LOTS INC CMN	Oct 03 2012	Feb 04 2013	4 00	129 79	118 85	0 00	10 94	10 94	ST
BMC SOFTWARE, INC. CMN	Aug 06 2012	Feb 04 2013	4 00	166 75	162 10	0 00	4 65	4 65	ST
BOEING COMPANY CMN	May 09 2012	Feb 04 2013	3 00	225 89	223 56	0 00	2 33	2.33	ST
CAPITAL ONE FINANCIAL CORP CMN	May 09 2012	Feb 04 2013	4 00	225.91	214 64	0.00	11.27	11.27	ST
CAREFUSION CORPORATION CMN	May 09 2012	Feb 04 2013	11.00	340 00	285 89	0 00	54 11	54 11	ST
CATERPILLAR INC (DELAWARE) CMN	May 09 2012	Feb 04 2013	1.00	98 15	96 35	0 00	1 80	1 80	ST
CHEVRON CORPORATION CMN	May 09 2012	Feb 04 2013	3 00	346 01	305 79	0 00	40 22	40 22	ST
CISCO SYSTEMS, INC. CMN	May 09 2012	Feb 04 2013	36 00	750 94	678 06	0 00	72.88	72 88	ST
CITIGROUP INC. CMN	May 09 2012	Feb 04 2013	9 00	380.06	274.77	0 00	105 29	105.29	ST
COMCAST CORPORATION CMN CLASS A NON VOTING	May 09 2012	Feb 04 2013	15 00	553 78	433 50	0 00	120 28	120 28	ST
CONOCOPHILLIPS CMN	May 09 2012	Feb 04 2013	5 00	290 29	267.75	0 00	22 54	22.54	ST
CONSOL ENERGY INC. CMN	May 09 2012	Feb 04 2013	6 00	185 09	211 86	0 00	(26 77)	(26 77)	ST
CONSOL ENERGY INC. CMN DISALLOWED LOSSES								26 77	
CORRECTIONS CORP OF AMERICA CMN	May 09 2012	Feb 04 2013	2 00	75 21	54 56	0.00	20 65	20 65	ST
CVS CAREMARK CORPORATION CMN	May 09 2012	Feb 04 2013	8 00	408.39	363 20	0 00	45 19	45 19	ST
DEVON ENERGY CORPORATION (NEW) CMN	May 09 2012	Feb 04 2013	5 00	285 99	320 95	0.00	(34 96)	(34 96)	ST
E I DU PONT DE NEMOURS AND CO CMN	May 09 2012	Feb 04 2013	3 00	142 31	156 78	0 00	(14 47)	(14 47)	ST
EMC CORPORATION MASS CMN	May 09 2012	Feb 04 2013	9 00	222 11	247 50	0 00	(25 39)	(25 39)	ST
EMC CORPORATION MASS CMN DISALLOWED LOSSES								25 39	
GENERAL MOTORS COMPANY CMN	Dec 19 2012	Feb 04 2013	5 00	139 69	138 38	0 00	1 31	1 31	ST
GILEAD SCIENCES CMN	May 09 2012	Feb 04 2013	31.00	1,231.29	786 47	0.00	444.82	444.82	ST
GOOGLE, INC. CMN CLASS A	May 09 2012	Feb 04 2013	1.00	760 02	614 30	0 00	145 72	145 72	ST
HALLIBURTON COMPANY CMN	May 09 2012	Feb 04 2013	4 00	163 31	129 72	0 00	33.59	33 59	ST
HARTFORD FINANCIAL SRVCS GROUP CMN	Oct 25 2012	Feb 04 2013	3 00	73 94	66.90	0 00	7 04	7 04	ST
HASBRO, INC. CMN	Oct 22 2012	Feb 04 2013	6 00	224 63	235 14	0.00	(10 51)	(10 51)	ST
HASBRO, INC. CMN DISALLOWED LOSSES								10 51	
HEWLETT-PACKARD CO CMN	Jan 02 2013	Feb 04 2013	5 00	80 89	75 01	0 00	5 88	5 88	ST

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
HONEYWELL INTL INC CMN	May 09 2012	Feb 04 2013	4.00	273.83	234.56	0.00	39.27	39.27	ST
INTEL CORPORATION CMN	May 09 2012	Feb 04 2013	6.00	127.19	163.32	0.00	(36.13)	(36.13)	ST
INTL BUSINESS MACHINES CORP CMN	May 09 2012	Feb 04 2013	1.00	203.79	202.09	0.00	1.70	1.70	ST
JANUS CAPITAL GROUP INC. CMN	May 09 2012	Feb 04 2013	7.00	64.82	51.77	0.00	13.05	13.05	ST
JOHNSON & JOHNSON CMN	May 09 2012	Feb 04 2013	5.00	370.14	322.55	0.00	47.59	47.59	ST
LEAR CORPORATION CMN	May 09 2012	Feb 04 2013	13.00	643.11	548.21	0.00	94.90	94.90	ST
LEXMARK INTERNATIONAL INC. CMN CLASS A	May 09 2012	Feb 04 2013	3.00	70.76	87.09	0.00	(16.33)	(16.33)	ST
LOWES COMPANIES INC CMN	May 09 2012	Feb 04 2013	4.00	151.95	121.78	0.00	30.17	30.17	ST
MACY'S INC. CMN	May 09 2012	Feb 04 2013	4.00	154.63	152.08	0.00	2.55	2.55	ST
MCKESSON CORPORATION CMN	May 09 2012	Feb 04 2013	4.00	408.07	359.52	0.00	48.55	48.55	ST
MERCK & CO., INC CMN	May 09 2012	Feb 04 2013	3.00	122.96	115.05	0.00	7.91	7.91	ST
MICROSOFT CORPORATION CMN	Oct 23 2012	Feb 04 2013	5.00	137.44	140.50	0.00	(3.06)	(3.06)	ST
MICROSOFT CORPORATION CMN DISALLOWED LOSSES								3.06	
MOLSON COORS BREWING CO CMN CLASS B	May 09 2012	Feb 04 2013	7.00	309.95	289.59	0.00	20.36	20.36	ST
ORACLE CORPORATION CMN	May 09 2012	Feb 04 2013	6.00	210.89	166.86	0.00	44.03	44.03	ST
PARKER-HANNIFIN CORP. CMN	May 09 2012	Feb 04 2013	2.00	185.19	172.08	0.00	13.11	13.11	ST
PEPSICO INC CMN	Nov 20 2012	Feb 04 2013	2.00	144.99	137.15	0.00	7.84	7.84	ST
PFIZER INC CMN	May 09 2012	Feb 04 2013	22.00	598.82	498.63	0.00	100.19	100.19	ST
QUALCOMM INC CMN	May 09 2012	Feb 04 2013	2.00	131.41	124.70	0.00	6.71	6.71	ST
RAYTHEON CO CMN	May 09 2012	Feb 04 2013	11.00	584.19	580.36	0.00	3.83	3.83	ST
REPUBLIC SERVICES INC CMN	Oct 24 2012	Feb 04 2013	6.00	188.49	169.08	0.00	19.41	19.41	ST
ROCKWELL COLLINS, INC CMN	Jul 27 2012	Feb 04 2013	2.00	116.99	99.79	0.00	17.20	17.20	ST
SYSCO CORPORATION CMN	May 09 2012	Feb 04 2013	8.00	249.59	223.20	0.00	26.39	26.39	ST
TEXAS INSTRUMENTS INC CMN	May 09 2012	Feb 04 2013	5.00	166.70	153.08	0.00	13.62	13.62	ST
THE MOSAIC COMPANY CMN	May 09 2012	Feb 04 2013	3.00	183.05	149.04	0.00	34.01	34.01	ST
THE TRAVELERS COMPANIES, INC CMN	May 09 2012	Feb 04 2013	2.00	155.97	128.02	0.00	27.95	27.95	ST
TRANSOCEAN LTD. CMN	Jan 14 2013	Feb 04 2013	3.00	171.00	168.40	0.00	2.60	2.60	ST
TYCO INTERNATIONAL LTD CMN	Jun 19 2012	Feb 04 2013	5.00	150.30	133.96	0.00	16.34	16.34	ST
UNITEDHEALTH GROUP INCORPORATE CMN	Jul 26 2012	Feb 04 2013	3.00	167.15	155.26	0.00	11.89	11.89	ST

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
VIACOM INC CMN CLASS B	May 09 2012	Feb 04 2013	12.00	710 26	569 76	0 00	140 50	140 50	ST
VORNADO REALTY TRUST CMN	Jan 16 2013	Feb 04 2013	2 00	168 87	166 14	0 00	2 73	2 73	ST
WAL MART STORES INC CMN	May 09 2012	Feb 04 2013	8.00	557.50	473.04	0 00	84.46	84 46	ST
WALTER ENERGY INC CMN	May 09 2012	Feb 04 2013	2 00	72 33	127 28	0.00	(54 95)	(54.95)	ST
DEVON ENERGY CORPORATION (NEW) CMN	May 09 2012	Feb 11 2013	3 00	179.08	192 57	0.00	(13 49)	(13 49)	ST
CISCO SYSTEMS, INC. CMN	May 09 2012	Feb 12 2013	20.00	420.08	376.70	0 00	43 38	43 38	ST
AMERIPRISE FINANCIAL, INC. CMN	May 09 2012	Feb 13 2013	2 00	135 14	101 98	0 00	33 16	33 16	ST
CVS CAREMARK CORPORATION CMN	May 09 2012	Feb 13 2013	8 00	408 00	363.20	0 00	44.80	44 80	ST
LEXMARK INTERNATIONAL INC CMN CLASS A	May 09 2012	Feb 21 2013	14 00	313 23	406.42	0 00	(93 19)	(93 19)	ST
	Aug 29 2012	Feb 21 2013	7 00	156 62	146 98	0.00	9 64	9 64	ST
COMCAST CORPORATION CMN CLASS A NON VOTING	May 09 2012	Feb 27 2013	39 00	1,501.48	1,127.10	0 00	374 38	374 38	ST
CITIGROUP INC. CMN	May 09 2012	Feb 28 2013	6 00	253 32	183 18	0 00	70 14	70 14	ST
INTL BUSINESS MACHINES CORP CMN	May 09 2012	Feb 28 2013	3.00	604 57	606 27	0 00	(1 70)	(1 70)	ST
CONOCOPHILLIPS CMN	May 09 2012	Mar 01 2013	36.00	2,082 10	1,927 80	0 00	154 30	154 30	ST
INTEL CORPORATION CMN	May 09 2012	Mar 01 2013	4 00	84 03	110 26	0 00	(26.23)	(26 23)	ST
	May 09 2012	Mar 01 2013	21 00	441 19	571 62	0 00	(130.43)	(130 43)	ST
	Jun 01 2012	Mar 01 2013	12.00	252.11	326 50	0 00	(74 39)	(74 39)	ST
	Jul 18 2012	Mar 01 2013	7 00	147 06	182 72	0 00	(35 66)	(35 66)	ST
MERCK & CO., INC. CMN	May 09 2012	Mar 01 2013	24 00	1,020 37	920.40	0.00	99 97	99 97	ST
ROCKWELL COLLINS, INC. CMN	Jul 27 2012	Mar 01 2013	10 00	599 63	498 94	0 00	100 69	100 69	ST
THE TRAVELERS COMPANIES, INC CMN	May 09 2012	Mar 01 2013	2 00	160 83	128 02	0 00	32 81	32 81	ST
GOOGLE, INC. CMN CLASS A	May 09 2012	Mar 06 2013	1 00	836 02	614 30	0 00	221 72	221 72	ST
COMCAST CORPORATION CMN CLASS A NON VOTING	May 09 2012	Mar 08 2013	22.00	858 66	635 80	0 00	222 86	222 86	ST
BOEING COMPANY CMN	May 09 2012	Mar 19 2013	2 00	171 00	149 04	0 00	21 96	21.96	ST
PARKER-HANNIFIN CORP CMN	May 09 2012	Mar 20 2013	11 00	1,039 26	946.44	0 00	92 82	92 82	ST
	Oct 19 2012	Mar 20 2013	4.00	377 91	313.51	0 00	64.40	64.40	ST
ADT CORPORATION (THE) CMN	Jun 19 2012	Mar 21 2013	9 00	448 44	314 24	0.00	134 20	134 20	ST
	Jun 19 2012	Apr 02 2013	1 00	46 78	34.92	0 00	11 86	11 86	ST
	Aug 03 2012	Apr 02 2013	1.00	46.77	54 62	0 00	(7 85)	(7 85)	ST
	Oct 02 2012	Apr 02 2013	10 00	467 70	377 67	0 00	90 03	90 03	ST
BAXTER INTERNATIONAL INC CMN	May 09 2012	Apr 02 2013	7 00	505 62	380 94	0 00	124 68	124 68	ST
BMC SOFTWARE, INC. CMN	Aug 06 2012	Apr 03 2013	6 00	273 55	243 16	0 00	30 39	30 39	ST

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
INTL BUSINESS MACHINES CORP CMN	May 09 2012	Apr 03 2013	1.00	213.42	202.09	0.00	11.33	11.33	ST
WAL MART STORES INC CMN	May 09 2012	Apr 03 2013	5.00	382.25	295.65	0.00	86.60	86.60	ST
HASBRO, INC CMN	Oct 22 2012	Apr 04 2013	2.00	86.89	74.14	0.00	12.75	12.75	ST
	Oct 22 2012	Apr 04 2013	10.00	434.45	391.91	0.00	42.54	42.54	ST
BOEING COMPANY CMN	May 09 2012	Apr 08 2013	3.00	257.26	223.56	0.00	33.70	33.70	ST
HALLIBURTON COMPANY CMN	May 09 2012	Apr 08 2013	6.00	229.74	194.58	0.00	35.16	35.16	ST
HONEYWELL INTL INC CMN	May 09 2012	Apr 08 2013	3.00	219.23	175.92	0.00	43.31	43.31	ST
WAL MART STORES INC CMN	May 09 2012	Apr 08 2013	3.00	228.98	177.39	0.00	51.59	51.59	ST
	May 09 2012	Apr 10 2013	10.00	775.48	591.30	0.00	184.18	184.18	ST
VIACOM INC CMN CLASS B	May 09 2012	Apr 15 2013	11.00	713.31	522.28	0.00	191.03	191.03	ST
	May 09 2012	Apr 16 2013	1.00	65.77	47.48	0.00	18.29	18.29	ST
CVS CAREMARK CORPORATION CMN	May 09 2012	Apr 18 2013	15.00	853.32	681.00	0.00	172.32	172.32	ST
E.I DU PONT DE NEMOURS AND CO CMN	May 09 2012	Apr 19 2013	27.00	1,321.49	1,411.02	0.00	(89.53)	(89.53)	ST
THE TRAVELERS COMPANIES, INC CMN	May 09 2012	Apr 19 2013	14.00	1,186.33	896.14	0.00	290.19	290.19	ST
BMC SOFTWARE, INC CMN	Aug 06 2012	Apr 24 2013	20.00	904.93	810.52	0.00	94.41	94.41	ST
	Nov 01 2012	Apr 24 2013	6.00	271.48	245.69	0.00	25.79	25.79	ST
LOWES COMPANIES INC CMN	May 09 2012	Apr 29 2013	14.00	530.40	426.23	0.00	104.17	104.17	ST
AMERIPRISE FINANCIAL, INC. CMN	May 09 2012	May 01 2013	15.00	1,096.02	764.85	0.00	331.17	331.17	ST
	Nov 01 2012	May 01 2013	2.00	146.14	119.04	0.00	27.10	27.10	ST
INTL BUSINESS MACHINES CORP CMN	May 09 2012	May 01 2013	6.00	1,199.90	1,212.54	0.00	(12.64)	(12.64)	ST
LOWES COMPANIES INC CMN	May 09 2012	May 09 2013	6.00	250.09	182.67	0.00	67.42	67.42	ST
	May 22 2012	May 09 2013	10.00	416.83	259.20	0.00	157.63	157.63	ST
BOEING COMPANY CMN	May 09 2012	May 10 2013	1.00	94.36	74.52	0.00	19.84	19.84	LT
CITIGROUP INC CMN	May 09 2012	May 10 2013	6.00	291.49	183.18	0.00	108.31	108.31	LT
HONEYWELL INTL INC CMN	May 09 2012	May 10 2013	2.00	155.15	117.28	0.00	37.87	37.87	LT
JOHNSON & JOHNSON CMN	May 09 2012	May 10 2013	6.00	513.21	387.06	0.00	126.15	126.15	LT
MCKESSON CORPORATION CMN	May 09 2012	May 10 2013	2.00	230.65	179.76	0.00	50.89	50.89	LT
PEPSICO INC CMN	Nov 20 2012	May 14 2013	15.00	1,248.16	1,028.61	0.00	219.55	219.55	ST
AMERICAN EAGLE OUTFITTERS INC (NEW)	May 21 2012	May 15 2013	9.00	180.53	182.16	0.00	(1.63)	(1.63)	ST

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
AMERICAN EAGLE OUTFITTERS INC (NEW) DISALLOWED LOSSES								1 63	
AMERICAN EXPRESS CO. CMN	May 09 2012	May 15 2013	3 00	218 81	179 19	0 00	39 62	39 62	LT
ANADARKO PETROLEUM CORP CMN	Mar 05 2013	May 15 2013	2 00	176 25	165 23	0 00	11 02	11 02	ST
APACHE CORP CMN	May 09 2013	May 15 2013	1 00	81.84	81 62	0 00	0.22	0 22	ST
BANK OF AMERICA CORP CMN	Sep 07 2012	May 15 2013	17 00	230 00	148.08	0 00	81 92	81 92	ST
BAXTER INTERNATIONAL INC CMN	May 09 2012	May 15 2013	3 00	211 58	163 26	0 00	48 32	48 32	LT
BIG LOTS INC CMN	Oct 03 2012	May 15 2013	4 00	149 59	118.85	0 00	30 74	30 74	ST
BOEING COMPANY CMN	May 09 2012	May 15 2013	1 00	97 39	74.52	0 00	22 87	22 87	LT
CAPITAL ONE FINANCIAL CORP CMN	May 09 2012	May 15 2013	2.00	120.47	107 32	0.00	13 15	13 15	LT
CAREFUSION CORPORATION CMN	May 09 2012	May 15 2013	12 00	405 47	311 88	0 00	93 59	93.59	LT
CATERPILLAR INC (DELAWARE) CMN	May 09 2012	May 15 2013	1 00	87 13	96 35	0.00	(9.22)	(9.22)	LT
CHEVRON CORPORATION CMN	May 09 2012	May 15 2013	2 00	246 83	203 86	0 00	42 97	42 97	LT
CISCO SYSTEMS, INC. CMN	May 09 2012	May 15 2013	23 00	488 97	433 21	0 00	55 76	55 76	LT
CITIGROUP INC. CMN	May 09 2012	May 15 2013	7 00	360 07	213 71	0 00	146 36	146.36	LT
COMCAST CORPORATION CMN CLASS A NON VOTING	May 09 2012	May 15 2013	4.00	166 51	115 60	0 00	50 91	50 91	LT
CONSOL ENERGY INC. CMN	May 09 2012	May 15 2013	5 00	171 14	176 55	0.00	(5 41)	(5 41)	LT
CONSOL ENERGY INC CMN DISALLOWED LOSSES								3 25	
CORRECTIONS CORP OF AMERICA CMN	May 09 2012	May 15 2013	2 00	77.89	54 56	0 00	23 33	23 33	LT
CVS CAREMARK CORPORATION CMN	May 09 2012	May 15 2013	3 00	181 85	136.20	0 00	45 65	45 65	LT
DEVON ENERGY CORPORATION (NEW) CMN	May 09 2012	May 15 2013	4 00	235 59	256 76	0 00	(21 17)	(21 17)	LT
DEVON ENERGY CORPORATION (NEW) CMN DISALLOWED LOSSES								21 17	
EASTMAN CHEM CO CMN	Mar 21 2013	May 15 2013	4 00	281.47	282 55	0.00	(1.08)	(1 08)	ST
EASTMAN CHEM CO CMN DISALLOWED LOSSES								1 08	
EMC CORPORATION MASS CMN	May 09 2012	May 15 2013	9 00	206 72	247 50	0 00	(40.78)	(40 78)	LT
EMC CORPORATION MASS CMN DISALLOWED LOSSES								40 78	
GENERAL MOTORS COMPANY CMN	Dec 19 2012	May 15 2013	5 00	161.54	138 38	0 00	23 16	23.16	ST
GILEAD SCIENCES CMN	May 09 2012	May 15 2013	4 00	227.23	101 48	0 00	125 75	125 75	LT
HALLIBURTON COMPANY CMN	May 09 2012	May 15 2013	2 00	88 25	64 86	0 00	23 39	23 39	LT
HARTFORD FINANCIAL SRVCS GROUP CMN	Oct 25 2012	May 15 2013	3 00	92 33	66.90	0 00	25 43	25 43	ST

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
HASBRO, INC CMN	Oct 22 2012	May 15 2013	2.00	95 51	74 14	0 00	21 37	21 37	ST
HONEYWELL INTL INC CMN	May 09 2012	May 15 2013	1 00	79 40	58 64	0.00	20 76	20 76	LT
JANUS CAPITAL GROUP INC CMN	May 09 2012	May 15 2013	102 00	876 17	754 30	0 00	121 87	121 87	LT
JOHNSON & JOHNSON CMN	May 09 2012	May 15 2013	2 00	175 59	129 02	0 00	46.57	46 57	LT
MACY'S INC CMN	May 09 2012	May 15 2013	4 00	194 47	152 08	0 00	42.39	42 39	LT
MCKESSON CORPORATION CMN	May 09 2012	May 15 2013	4 00	468 26	359 52	0 00	108 74	108.74	LT
MICROSOFT CORPORATION CMN	Oct 23 2012	May 15 2013	7 00	235 90	196 70	0 00	39 20	39 20	ST
MORGAN STANLEY CMN	Apr 26 2013	May 15 2013	4 00	99 53	85 67	0.00	13 86	13 86	ST
ORACLE CORPORATION CMN	May 09 2012	May 15 2013	7 00	238 34	194 67	0 00	43 67	43 67	LT
PFIZER INC. CMN	May 09 2012	May 15 2013	18.00	533 59	407 97	0.00	125 62	125 62	LT
QUALCOMM INC CMN	May 09 2012	May 15 2013	3 00	196 28	187.05	0 00	9 23	9.23	LT
RAYTHEON CO CMN	May 09 2012	May 15 2013	1 00	65 66	52 76	0 00	12 90	12 90	LT
REGIONS FINANCIAL CORPORATION CMN	Mar 05 2013	May 15 2013	13 00	119 40	103 19	0 00	16 21	16 21	ST
REPUBLIC SERVICES INC CMN	Oct 24 2012	May 15 2013	3 00	103 37	84 54	0 00	18 83	18 83	ST
SYSCO CORPORATION CMN	May 09 2012	May 15 2013	9.00	317 15	251 10	0 00	66 05	66 05	LT
TEXAS INSTRUMENTS INC. CMN	May 09 2012	May 15 2013	3.00	110 40	91 85	0.00	18 55	18 55	LT
THE MOSAIC COMPANY CMN	May 09 2012	May 15 2013	2 00	123 05	99.36	0.00	23.69	23.69	LT
TRANSOCEAN LTD. CMN	Jan 14 2013	May 15 2013	5 00	275 84	280 66	0 00	(4.82)	(4.82)	ST
TRANSOCEAN LTD CMN DISALLOWED LOSSES								4 82	
TYCO INTERNATIONAL LTD CMN	Jun 19 2012	May 15 2013	5 00	170 64	133 96	0 00	36.68	36 68	ST
UNITEDHEALTH GROUP INCORPORATE CMN	Jul 26 2012	May 15 2013	2 00	123.23	103 51	0 00	19 72	19 72	ST
VIACOM INC CMN CLASS B	May 09 2012	May 15 2013	4 00	278.11	189 92	0 00	88 19	88.19	LT
VORNADO REALTY TRUST CMN	Jan 16 2013	May 15 2013	1 00	87 86	83 07	0 00	4.79	4 79	ST
WAL MART STORES INC CMN	May 09 2012	May 15 2013	4.00	318 79	236.52	0 00	82 27	82 27	LT
WALTER ENERGY INC CMN	May 09 2012	May 15 2013	2 00	36.51	127 28	0 00	(90 77)	(90 77)	LT
WALTER ENERGY INC CMN DISALLOWED LOSSES								45 39	
ZOETIS INC CMN CLASS A	Feb 01 2013	May 15 2013	8 00	262 71	247 22	0 00	15.49	15.49	ST
BOEING COMPANY CMN	May 09 2012	May 20 2013	3 00	296 36	223 56	0 00	72.80	72.80	LT
CHEVRON CORPORATION CMN	May 09 2012	May 20 2013	4.00	494 10	407 72	0 00	86 38	86 38	LT

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CORRECTIONS CORP OF AMERICA CMN	May 20 2013	May 20 2013	0 48	18 80	0 00	0 00	18 80	18 80	ST
ADVANCE AUTO PARTS, INC CMN	Feb 08 2013	May 21 2013	3 00	261 71	234 81	0 00	26 90	26 90	ST
AMERICAN EAGLE OUTFITTERS INC (NEW)	May 21 2012	May 21 2013	9 00	182 69	182 16	0 00	0 53	0 53	ST
AMERICAN EXPRESS CO. CMN	May 09 2012	May 21 2013	4.00	300 71	238.92	0 00	61 79	61.79	LT
ANADARKO PETROLEUM CORP CMN	Mar 05 2013	May 21 2013	3.00	274 37	247.85	0 00	26 52	26 52	ST
APPLE, INC CMN	May 09 2012	May 21 2013	1 00	441 52	571 10	0 00	(129 58)	(129 58)	LT
AUTOZONE, INC CMN	May 09 2012	May 21 2013	1.00	426 63	384 23	0 00	42 40	42 40	LT
BANK OF AMERICA CORP CMN	Sep 07 2012	May 21 2013	15.00	202.13	130 66	0 00	71 47	71.47	ST
BAXTER INTERNATIONAL INC CMN	May 09 2012	May 21 2013	4.00	292 23	217 68	0 00	74 55	74 55	LT
BIG LOTS INC CMN	Oct 03 2012	May 21 2013	4.00	155.61	118 85	0.00	36 76	36 76	ST
BOEING COMPANY CMN	May 09 2012	May 21 2013	1 00	98 80	74.52	0 00	24 28	24 28	LT
CAPITAL ONE FINANCIAL CORP CMN	May 09 2012	May 21 2013	2 00	124 49	107 32	0.00	17.17	17 17	LT
CAREFUSION CORPORATION CMN	May 09 2012	May 21 2013	10 00	342 19	259 90	0 00	82 29	82 29	LT
CARPENTER TECHNOLOGY INC CMN	Apr 12 2013	May 21 2013	3 00	147 23	138 38	0 00	8.85	8 85	ST
CATERPILLAR INC (DELAWARE) CMN	May 09 2012	May 21 2013	1 00	88 29	96 35	0.00	(8 06)	(8.06)	LT
CHEVRON CORPORATION CMN	May 09 2012	May 21 2013	2 00	251 81	203 86	0 00	47 95	47 95	LT
CISCO SYSTEMS, INC CMN	May 09 2012	May 21 2013	22 00	527 21	414 37	0 00	112 84	112.84	LT
CITIGROUP INC. CMN	May 09 2012	May 21 2013	7 00	363.43	213.71	0.00	149 72	149 72	LT
COMCAST CORPORATION CMN CLASS A NON VOTING	May 09 2012	May 21 2013	7 00	284 19	202.30	0.00	81 89	81.89	LT
CONSOL ENERGY INC. CMN	May 09 2012	May 21 2013	8 00	285 03	282 48	0 00	2 55	2 55	LT
CORRECTIONS CORP OF AMERICA CMN	May 09 2012	May 21 2013	3 00	116 66	81 84	0 00	34.82	34 82	LT
CVS CAREMARK CORPORATION CMN	May 09 2012	May 21 2013	5 00	297.59	227 00	0 00	70 59	70 59	LT
DEVON ENERGY CORPORATION (NEW) CMN	May 09 2012	May 21 2013	3 00	180.71	192.57	0.00	(11 86)	(11.86)	LT
DEVON ENERGY CORPORATION (NEW) CMN DISALLOWED LOSSES								11 86	
EASTMAN CHEM CO CMN	Mar 21 2013	May 21 2013	2 00	146 75	141 27	0 00	5 48	5 48	ST
EMC CORPORATION MASS CMN	May 09 2012	May 21 2013	12 00	289 07	330.00	0 00	(40 93)	(40.93)	LT
EMC CORPORATION MASS CMN DISALLOWED LOSSES								40 93	
GENERAL MOTORS COMPANY CMN	Dec 19 2012	May 21 2013	4 00	133 67	110 71	0 00	22.96	22 96	ST
GILEAD SCIENCES CMN	May 09 2012	May 21 2013	6 00	340.07	152 22	0 00	187 85	187 85	LT
HALLIBURTON COMPANY CMN	May 09 2012	May 21 2013	3 00	134 48	97 29	0 00	37 19	37 19	LT
HARTFORD FINANCIAL SRVCS GROUP CMN	Oct 25 2012	May 21 2013	3 00	92 96	66 90	0 00	26 06	26 06	ST
HASBRO, INC. CMN	Oct 22 2012	May 21 2013	2 00	96.69	74.14	0.00	22 55	22 55	ST

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Dec 14 2012	May 21 2013	1 00	48 35	36 93	0.00	11 42	11 42	ST
HEWLETT-PACKARD CO CMN	Jan 02 2013	May 21 2013	7.00	148 39	105 02	0 00	43 37	43 37	ST
HONEYWELL INTL INC CMN	May 09 2012	May 21 2013	2 00	160 47	117 28	0 00	43 19	43 19	LT
JOHNSON & JOHNSON CMN	May 09 2012	May 21 2013	3 00	264 95	193 53	0 00	71 42	71 42	LT
MACY'S INC CMN	May 09 2012	May 21 2013	3 00	148 40	114 06	0 00	34.34	34 34	LT
MCKESSON CORPORATION CMN	May 09 2012	May 21 2013	3 00	354 20	269 64	0 00	84 56	84 56	LT
MICROSOFT CORPORATION CMN	Oct 23 2012	May 21 2013	6 00	209 03	168 60	0 00	40 43	40.43	ST
MOLSON COORS BREWING CO CMN CLASS B	May 09 2012	May 21 2013	7.00	367 84	289.59	0.00	78 25	78 25	LT
MORGAN STANLEY CMN	Apr 26 2013	May 21 2013	6 00	151 07	128 51	0.00	22.56	22 56	ST
ORACLE CORPORATION CMN	May 09 2012	May 21 2013	5 00	175 74	139 05	0 00	36 69	36.69	LT
PFIZER INC CMN	May 09 2012	May 21 2013	17 00	489 76	385.31	0 00	104 45	104 45	LT
QUALCOMM INC CMN	May 09 2012	May 21 2013	4.00	263 55	249.40	0 00	14 15	14 15	LT
RAYTHEON CO CMN	May 09 2012	May 21 2013	2 00	133 45	105 52	0 00	27 93	27 93	LT
REGIONS FINANCIAL CORPORATION CMN	Mar 05 2013	May 21 2013	14 00	128 23	111 13	0 00	17 10	17 10	ST
REPUBLIC SERVICES INC CMN	Oct 24 2012	May 21 2013	4 00	140 39	112 72	0 00	27 67	27 67	ST
SYSCO CORPORATION CMN	May 09 2012	May 21 2013	10 00	351.39	279 00	0 00	72 39	72.39	LT
TEXAS INSTRUMENTS INC. CMN	May 09 2012	May 21 2013	3 00	109 83	91 85	0 00	17 98	17 98	LT
THE MOSAIC COMPANY CMN	May 09 2012	May 21 2013	1 00	60.38	49 68	0 00	10 70	10 70	LT
TRANSOCEAN LTD. CMN	Jan 14 2013	May 21 2013	4 00	214.67	224.53	0.00	(9 86)	(9.86)	ST
TRANSOCEAN LTD CMN DISALLOWED LOSSES								4 93	
TYCO INTERNATIONAL LTD CMN	Jun 19 2012	May 21 2013	8.00	274 23	214 33	0 00	59 90	59 90	ST
UNITEDHEALTH GROUP INCORPORATE CMN	Jul 26 2012	May 21 2013	3 00	188 87	155 26	0 00	33 61	33 61	ST
VIACOM INC CMN CLASS B	May 09 2012	May 21 2013	5.00	348 34	237 40	0 00	110 94	110 94	LT
VORNADO REALTY TRUST CMN	Jan 16 2013	May 21 2013	2 00	175 13	166 14	0.00	8 99	8 99	ST
WAL MART STORES INC CMN	May 09 2012	May 21 2013	4.00	310 39	236 52	0.00	73 87	73 87	LT
WALTER ENERGY INC CMN	May 09 2012	May 21 2013	2 00	38 85	127 28	0.00	(88 43)	(88 43)	LT
ZOETIS INC. CMN CLASS A	Feb 01 2013	May 21 2013	7 00	231 34	216.32	0 00	15 02	15 02	ST
BOEING COMPANY CMN	May 09 2012	May 29 2013	9 00	895 34	670 68	0 00	224 66	224 66	LT
	May 24 2013	May 29 2013	1 00	99 48	99 40	0 00	0 08	0 08	ST
CAPITAL ONE FINANCIAL CORP CMN	May 09 2012	May 30 2013	9 00	564 70	482.94	0 00	81 76	81 76	LT

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Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Jul 19 2012	May 30 2013	7.00	439.21	402.31	0.00	36.90	36.90	ST
	May 24 2013	May 30 2013	1.00	62.74	60.98	0.00	1.76	1.76	ST
CISCO SYSTEMS, INC CMN	May 09 2012	Jun 05 2013	8.00	194.79	150.68	0.00	44.11	44.11	LT
CATERPILLAR INC (DELAWARE) CMN	May 09 2012	Jun 13 2013	8.00	681.28	770.80	0.00	(89.52)	(89.52)	LT
GOOGLE, INC. CMN CLASS A	May 09 2012	Jun 20 2013	1.00	894.28	614.30	0.00	279.98	279.98	LT
JOHNSON & JOHNSON CMN	May 09 2012	Jun 21 2013	1.00	83.31	64.51	0.00	18.80	18.80	LT
	Sep 13 2012	Jun 21 2013	3.00	249.92	205.70	0.00	44.22	44.22	ST
CHEVRON CORPORATION CMN	May 09 2012	Jun 25 2013	5.00	586.15	509.65	0.00	76.50	76.50	LT
HALLIBURTON COMPANY CMN	May 09 2012	Jun 25 2013	13.00	536.36	421.59	0.00	114.77	114.77	LT
	Aug 09 2012	Jun 25 2013	7.00	288.81	246.16	0.00	42.65	42.65	ST
	May 24 2013	Jun 25 2013	1.00	41.26	42.82	0.00	(1.56)	(1.56)	ST
JOHNSON & JOHNSON CMN	Sep 13 2012	Jun 25 2013	1.00	85.17	68.57	0.00	16.60	16.60	ST
	Oct 04 2012	Jun 25 2013	4.00	340.67	277.62	0.00	63.05	63.05	ST
ORACLE CORPORATION CMN	May 09 2012	Jun 25 2013	12.00	365.70	333.72	0.00	31.98	31.98	LT
WALTER ENERGY INC CMN	May 09 2012	Jun 27 2013	6.00	62.10	381.84	0.00	(319.74)	(319.74)	LT
	May 18 2012	Jun 27 2013	1.00	10.35	64.10	0.00	(53.75)	(53.75)	LT
	Aug 09 2012	Jun 27 2013	4.00	41.40	157.43	0.00	(116.03)	(116.03)	ST
	Oct 04 2012	Jun 27 2013	4.00	41.40	131.51	0.00	(90.11)	(90.11)	ST
ZOETIS INC. CMN CLASS A	Jan 01 1910	Jun 27 2013	0.00	19.08	No Cost	0.00	19.08	19.08[5]	LT
	May 09 2012	Jun 27 2013	0.91	28.29	0.00	0.00	28.29	28.29	LT
ADVANCE AUTO PARTS, INC CMN	Feb 08 2013	Jul 03 2013	1.00	81.75	78.27	0.00	3.48	3.48	ST
AMERICAN EAGLE OUTFITTERS INC (NEW)	May 21 2012	Jul 03 2013	2.00	36.95	40.48	0.00	(3.53)	(3.53)	LT
APACHE CORP. CMN	May 09 2013	Jul 03 2013	1.00	80.41	81.62	0.00	(1.21)	(1.21)	ST
APACHE CORP CMN DISALLOWED LOSSES								1.21	
BANK OF AMERICA CORP CMN	Sep 07 2012	Jul 03 2013	5.00	64.04	43.55	0.00	20.49	20.49	ST
BAXTER INTERNATIONAL INC CMN	May 09 2012	Jul 03 2013	1.00	69.15	54.42	0.00	14.73	14.73	LT
BIG LOTS INC CMN	Oct 03 2012	Jul 03 2013	4.00	129.27	118.85	0.00	10.42	10.42	ST
CAREFUSION CORPORATION CMN	May 09 2012	Jul 03 2013	3.00	112.43	77.97	0.00	34.46	34.46	LT
CISCO SYSTEMS, INC. CMN	May 09 2012	Jul 03 2013	5.00	123.25	94.18	0.00	29.07	29.07	LT
CITIGROUP INC CMN	May 09 2012	Jul 03 2013	2.00	95.23	61.06	0.00	34.17	34.17	LT
CONSOL ENERGY INC CMN	May 09 2012	Jul 03 2013	1.00	26.38	35.31	0.00	(8.93)	(8.93)	LT
CVS CAREMARK CORPORATION CMN	May 09 2012	Jul 03 2013	2.00	115.41	90.80	0.00	24.61	24.61	LT
DEVON ENERGY CORPORATION (NEW) CMN	May 09 2012	Jul 03 2013	2.00	106.03	128.38	0.00	(22.35)	(22.35)	LT

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[5] Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes. Please consult with your tax advisor

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Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
EASTMAN CHEM CO CMN	Mar 21 2013	Jul 03 2013	1.00	71.04	70.64	0.00	0.40	0.40	ST
EMC CORPORATION MASS CMN	May 09 2012	Jul 03 2013	3.00	71.78	82.50	0.00	(10.72)	(10.72)	LT
GILEAD SCIENCES CMN	May 09 2012	Jul 03 2013	4.00	207.87	101.48	0.00	106.39	106.39	LT
HARTFORD FINANCIAL SRVCS GROUP CMN	Oct 25 2012	Jul 03 2013	1.00	30.07	22.30	0.00	7.77	7.77	ST
HEWLETT-PACKARD CO CMN	Jan 02 2013	Jul 03 2013	1.00	25.09	15.00	0.00	10.09	10.09	ST
HOLLYFRONTIER CORP CMN	Jun 28 2013	Jul 03 2013	1.00	39.72	42.93	0.00	(3.21)	(3.21)	ST
HOLLYFRONTIER CORP CMN DISALLOWED LOSSES								3.21	
HONEYWELL INTL INC CMN	May 09 2012	Jul 03 2013	1.00	78.41	58.64	0.00	19.77	19.77	LT
JOY GLOBAL INC CMN	Jun 21 2013	Jul 03 2013	1.00	48.20	50.47	0.00	(2.27)	(2.27)	ST
JUNIPER NETWORKS, INC CMN	Jun 07 2013	Jul 03 2013	1.00	19.22	18.85	0.00	0.37	0.37	ST
LEXINGTON REALTY TRUST TRUST	May 29 2013	Jul 03 2013	3.00	34.58	36.98	0.00	(2.40)	(2.40)	ST
MCKESSON CORPORATION CMN	May 09 2012	Jul 03 2013	1.00	114.07	89.88	0.00	24.19	24.19	LT
MICROSOFT CORPORATION CMN	Oct 23 2012	Jul 03 2013	2.00	68.07	56.20	0.00	11.87	11.87	ST
MOLSON COORS BREWING CO CMN CLASS B	May 09 2012	Jul 03 2013	2.00	94.69	82.74	0.00	11.95	11.95	LT
ORACLE CORPORATION CMN	May 09 2012	Jul 03 2013	1.00	30.41	27.81	0.00	2.60	2.60	LT
QUALCOMM INC CMN	May 09 2012	Jul 03 2013	2.00	122.03	124.70	0.00	(2.67)	(2.67)	LT
RAYTHEON CO CMN	May 09 2012	Jul 03 2013	1.00	64.88	52.76	0.00	12.12	12.12	LT
REGIONS FINANCIAL CORPORATION CMN	Mar 05 2013	Jul 03 2013	4.00	39.31	31.75	0.00	7.56	7.56	ST
REPUBLIC SERVICES INC CMN	Oct 24 2012	Jul 03 2013	1.00	33.52	28.18	0.00	5.34	5.34	ST
SYSCO CORPORATION CMN	May 09 2012	Jul 03 2013	2.00	67.65	55.80	0.00	11.85	11.85	LT
TEREX CORP (NEW) CMN	May 20 2013	Jul 03 2013	2.00	54.31	67.30	0.00	(12.99)	(12.99)	ST
TEREX CORP (NEW) CMN DISALLOWED LOSSES								12.99	
TEXAS INSTRUMENTS INC CMN	May 09 2012	Jul 03 2013	1.00	35.41	30.62	0.00	4.79	4.79	LT
THE MOSAIC COMPANY CMN	May 09 2012	Jul 03 2013	1.00	53.60	49.68	0.00	3.92	3.92	LT
TRANSOCEAN LTD CMN	Jan 14 2013	Jul 03 2013	1.00	47.22	56.13	0.00	(8.91)	(8.91)	ST
TYCO INTERNATIONAL LTD CMN	Jun 19 2012	Jul 03 2013	2.00	68.17	53.58	0.00	14.59	14.59	LT
VIACOM INC CMN CLASS B	May 09 2012	Jul 03 2013	1.00	68.09	47.48	0.00	20.61	20.61	LT
WAL MART STORES INC CMN	May 09 2012	Jul 03 2013	2.00	149.65	118.26	0.00	31.39	31.39	LT
ZOETIS INC. CMN CLASS A	May 09 2012	Jul 03 2013	8.00	235.64	203.99	0.00	31.65	31.65	LT

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Feb 01 2013	Jul 03 2013	3 00	88 37	92 71	0 00	(4 34)	(4 34)	ST
ZOETIS INC. CMN CLASS A DISALLOWED LOSSES								4 34	
ORACLE CORPORATION CMN	May 09 2012	Jul 10 2013	5 00	156 54	139 05	0 00	17 49	17 49	LT
	Jul 18 2012	Jul 10 2013	12.00	375.70	365 88	0 00	9 82	9 82	ST
	Oct 05 2012	Jul 10 2013	14 00	438 31	440 58	0 00	(2 27)	(2 27)	ST
	May 24 2013	Jul 10 2013	2 00	62 62	67.62	0.00	(5 00)	(5 00)	ST
JOHNSON & JOHNSON CMN	Oct 17 2012	Jul 11 2013	11 00	981 15	774 94	0 00	206 21	206 21	ST
	May 24 2013	Jul 11 2013	1 00	89 20	86 85	0 00	2 35	2 35	ST
CVS CAREMARK CORPORATION CMN	May 09 2012	Jul 12 2013	4 00	243.32	181.60	0 00	61 72	61 72	LT
UNITEDHEALTH GROUP INCORPORATE CMN	Jul 26 2012	Jul 12 2013	3 00	205 53	155 26	0 00	50 27	50 27	ST
WAL MART STORES INC CMN	May 09 2012	Jul 17 2013	6.00	464.82	354 78	0 00	110.04	110 04	LT
BIG LOTS INC CMN	Oct 03 2012	Jul 19 2013	3.00	108.05	89 14	0.00	18 91	18 91	ST
MICROSOFT CORPORATION CMN	Oct 23 2012	Jul 19 2013	9 00	291 35	252 89	0.00	38 46	38 46	ST
VIACOM INC CMN CLASS B	May 09 2012	Jul 22 2013	2 00	147 19	94 96	0 00	52 23	52 23	LT
COMCAST CORPORATION CMN CLASS A NON VOTING	May 09 2012	Jul 24 2013	6 00	258 62	173 40	0.00	85 22	85 22	LT
RAYTHEON CO CMN	May 09 2012	Jul 26 2013	14 00	973 89	738 64	0 00	235 25	235 25	LT
	May 24 2013	Jul 26 2013	1 00	69 56	66 42	0 00	3.14	3 14	ST
WAL MART STORES INC CMN	May 09 2012	Jul 26 2013	4 00	309 79	236 52	0 00	73 27	73 27	LT
SYSCO CORPORATION CMN	May 09 2012	Jul 31 2013	9 00	311 04	251 10	0.00	59 94	59 94	LT
	Jun 08 2012	Jul 31 2013	19 00	656 63	543 83	0 00	112 80	112 80	LT
	Aug 13 2012	Jul 31 2013	3 00	103 68	89 78	0.00	13 90	13 90	ST
CAREFUSION CORPORATION CMN	May 09 2012	Aug 01 2013	24 00	933 39	623.76	0 00	309 63	309 63	LT
CISCO SYSTEMS, INC CMN	May 09 2012	Aug 08 2013	17.00	445 76	320.20	0 00	125 56	125 56	LT
WAL MART STORES INC CMN	May 09 2012	Aug 08 2013	3.00	230 95	177 39	0 00	53 56	53 56	LT
JUNIPER NETWORKS, INC CMN	Jun 07 2013	Aug 09 2013	30 00	628 66	565 39	0 00	63 27	63 27	ST
CISCO SYSTEMS, INC. CMN	May 09 2012	Aug 13 2013	20.00	526 28	376 70	0 00	149 58	149 58	LT
MCKESSON CORPORATION CMN	May 09 2012	Aug 13 2013	6.00	737 81	539 28	0 00	198.53	198 53	LT
	May 29 2012	Aug 13 2013	2 00	245 94	175 89	0 00	70.05	70 05	LT
BIG LOTS INC CMN	Oct 03 2012	Aug 21 2013	6 00	195 81	178 27	0.00	17 54	17 54	ST
	Dec 05 2012	Aug 21 2013	5 00	163 18	150 48	0 00	12 70	12 70	ST
	Dec 07 2012	Aug 21 2013	5 00	163.18	141 36	0 00	21 82	21.82	ST
	Apr 03 2013	Aug 21 2013	8 00	261.09	273 67	0 00	(12 58)	(12 58)	ST

LOTZ FAMILY FDN LAZARD: LCC

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	May 24 2013	Aug 21 2013	2.00	65 27	76 06	0 00	(10 79)	(10 79)	ST
	May 30 2013	Aug 21 2013	4 00	130 54	141 64	0.00	(11 10)	(11 10)	ST
CVS CAREMARK CORPORATION CMN	May 09 2012	Aug 21 2013	8 00	469.32	363 20	0 00	106 12	106 12	LT
SYSCO CORPORATION CMN	Aug 13 2012	Aug 21 2013	11 00	353.15	329.18	0 00	23 97	23 97	LT
BANK OF AMERICA CORP CMN	Sep 07 2012	Aug 23 2013	15 00	218 03	130 66	0 00	87 37	87 37	ST
CITIGROUP INC. CMN	May 09 2012	Aug 23 2013	4 00	199 03	122 12	0 00	76 91	76 91	LT
MICROSOFT CORPORATION CMN	Oct 23 2012	Aug 27 2013	6 00	201 37	168 60	0 00	32 77	32 77	ST
	Oct 23 2012	Aug 29 2013	8.00	266 36	224 79	0 00	41 57	41 57	ST
	Nov 17 2012	Aug 29 2013	1 00	33 30	28 40	0 00	4 90	4 90	ST
UNITEDHEALTH GROUP INCORPORATE CMN	Jul 26 2012	Aug 29 2013	1 00	72 27	51.75	0.00	20 52	20 52	LT
GILEAD SCIENCES CMN	May 09 2012	Sep 04 2013	4.00	244 91	101 48	0 00	143 43	143.43	LT
MICROSOFT CORPORATION CMN	Nov 17 2012	Sep 04 2013	4 00	124 64	113 61	0 00	11 03	11 03	ST
	Mar 01 2013	Sep 04 2013	11 00	342 76	305 69	0 00	37 07	37 07	ST
	Mar 20 2013	Sep 04 2013	2.00	62 32	56 47	0 00	5 85	5 85	ST
AMERICAN EAGLE OUTFITTERS INC (NEW)	May 21 2012	Sep 05 2013	12 00	178 13	242 88	0 00	(64 75)	(64 75)	LT
AMERICAN EAGLE OUTFITTERS INC (NEW) DISALLOWED LOSSES								64 75	
HEWLETT-PACKARD CO. CMN	Jan 02 2013	Sep 05 2013	8 00	177.13	120 02	0 00	57 11	57 11	ST
SYSCO CORPORATION CMN	Aug 13 2012	Sep 05 2013	6 00	192 53	179 55	0.00	12 98	12 98	LT
	Jan 09 2013	Sep 05 2013	1 00	32.09	30 74	0 00	1 35	1 35	ST
THE MOSAIC COMPANY CMN	May 09 2012	Sep 05 2013	3.00	125.23	149 04	0 00	(23 81)	(23.81)	LT
QUALCOMM INC CMN	May 09 2012	Sep 12 2013	5 00	345 04	311 75	0 00	33 29	33 29	LT
MORGAN STANLEY CMN	Apr 26 2013	Sep 16 2013	12 00	346 69	257 02	0 00	89 67	89 67	ST
GENERAL MOTORS COMPANY CMN	Dec 19 2012	Sep 17 2013	9 00	327.34	249 09	0 00	78 25	78 25	ST
	Jan 11 2013	Sep 17 2013	11.00	400 08	334 01	0.00	66 07	66 07	ST
	Jan 18 2013	Sep 17 2013	6 00	218 23	174 14	0 00	44.09	44 09	ST
	Feb 13 2013	Sep 17 2013	2 00	72 74	57.65	0.00	15.09	15 09	ST
MORGAN STANLEY CMN	Apr 26 2013	Sep 19 2013	18 00	520 10	385 53	0.00	134 57	134 57	ST
WAL MART STORES INC CMN	May 09 2012	Sep 19 2013	3 00	227 59	177 39	0 00	50 20	50 20	LT
HASBRO, INC. CMN	Dec 14 2012	Sep 25 2013	9.00	434 26	332 35	0.00	101 91	101 91	ST

LOTZ FAMILY FDN LAZARD: LCC
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Jan 07 2013	Sep 25 2013	4.00	193 01	141 28	0 00	51 73	51 73	ST
TEXAS INSTRUMENTS INC CMN	May 09 2012	Sep 27 2013	4.00	160 64	122 46	0 00	38 18	38 18	LT
WAL MART STORES INC CMN	May 09 2012	Oct 07 2013	7.00	503 79	413.91	0 00	89 88	89 88	LT
MCKESSON CORPORATION CMN	May 29 2012	Oct 18 2013	1 00	140 99	87 94	0 00	53 05	53 05	LT
	Jul 05 2012	Oct 18 2013	1 00	140 99	94 84	0 00	46 15	46 15	LT
	Aug 24 2012	Oct 18 2013	1 00	140 99	86 29	0 00	54.70	54 70	LT
BAXTER INTERNATIONAL INC CMN	May 09 2012	Oct 23 2013	4 00	260 89	217 68	0 00	43 21	43 21	LT
PFIZER INC. CMN	May 09 2012	Oct 24 2013	31 00	953 68	702 62	0 00	251 06	251 06	LT
SYSCO CORPORATION CMN	Jan 09 2013	Oct 24 2013	5.00	163 45	153 70	0 00	9.75	9 75	ST
	Mar 08 2013	Oct 24 2013	3 00	98 07	99 50	0 00	(1 43)	(1 43)	ST
CVS CAREMARK CORPORATION CMN	May 09 2012	Oct 30 2013	5 00	312 00	227 00	0 00	85 00	85 00	LT
GENERAL MOTORS COMPANY CMN	Feb 13 2013	Oct 30 2013	4 00	147 48	115 31	0 00	32 17	32 17	ST
	Mar 19 2013	Oct 30 2013	4 00	147 47	112 54	0 00	34 93	34 93	ST
JOY GLOBAL INC. CMN	Jun 21 2013	Oct 30 2013	3 00	172 29	151 41	0 00	20.88	20 88	ST
APACHE CORP CMN	May 09 2013	Nov 08 2013	8 00	710 34	652 93	0.00	57 41	57.41	ST
HASBRO, INC. CMN	Jan 28 2013	Nov 08 2013	9 00	453.09	334 13	0 00	118 96	118 96	ST
	May 24 2013	Nov 08 2013	1.00	50.34	47 01	0 00	3 33	3 33	ST
	Aug 15 2013	Nov 08 2013	1 00	50 34	45 00	0 00	5 34	5.34	ST
GENERAL MOTORS COMPANY CMN	Mar 19 2013	Nov 11 2013	7 00	257 23	196 95	0 00	60 28	60 28	ST
HEWLETT-PACKARD CO. CMN	Jan 02 2013	Nov 14 2013	17 00	425 79	255 05	0.00	170 74	170 74	ST
JOY GLOBAL INC CMN	Jun 21 2013	Nov 15 2013	6.00	335.75	302.82	0.00	32 93	32 93	ST
AUTOZONE, INC CMN	May 09 2012	Nov 18 2013	1.00	459 71	384 23	0 00	75.48	75 48	LT
	May 17 2012	Nov 26 2013	1 00	461 92	364 39	0.00	97 53	97 53	LT
CAREFUSION CORPORATION CMN	May 09 2012	Dec 11 2013	7 00	271.44	181 93	0 00	89 51	89 51	LT
	May 19 2012	Dec 11 2013	4 00	155 11	111 41	0 00	43 70	43.70	LT
	Aug 10 2012	Dec 11 2013	6 00	232.66	157 50	0 00	75 16	75 16	LT
THE MOSAIC COMPANY CMN	May 09 2012	Dec 11 2013	12.00	542 48	596 16	0 00	(53 68)	(53 68)	LT
	May 24 2013	Dec 11 2013	1 00	45.21	59.55	0 00	(14 34)	(14 34)	ST
ANADARKO PETROLEUM CORP CMN	Mar 05 2013	Dec 13 2013	9 00	671.98	743 55	0 00	(71 57)	(71 57)	ST
	Mar 20 2013	Dec 13 2013	3 00	223 99	259 71	0 00	(35 72)	(35 72)	ST
	Apr 16 2013	Dec 13 2013	6 00	447 99	484 51	0 00	(36 52)	(36 52)	ST
	Apr 19 2013	Dec 13 2013	4 00	298 66	324 43	0.00	(25 77)	(25 77)	ST
	May 24 2013	Dec 13 2013	1 00	74 66	88 29	0 00	(13 63)	(13 63)	ST
	Oct 31 2013	Dec 13 2013	1 00	74 66	95 42	0 00	(20.76)	(20 76)	ST

LOTZ FAMILY FDN LAZARD: LCC,

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
GENERAL MOTORS COMPANY CMN	May 24 2013	Dec 18 2013	2.00	81 63	65 58	0 00	16 05	16 05	ST
	May 28 2013	Dec 18 2013	3 00	122 45	102 40	0 00	20 05	20 05	ST
	Jul 03 2013	Dec 18 2013	1.00	40 82	34 02	0 00	6 80	6 80	ST
	Jul 25 2013	Dec 18 2013	9.00	367 35	332 95	0.00	34 40	34 40	ST
CARPENTER TECHNOLOGY INC CMN	Apr 12 2013	Dec 24 2013	13 00	784 42	599.64	0 00	184 78	184 78	ST
	May 01 2013	Dec 24 2013	1 00	60 34	44.36	0 00	15 98	15 98	ST

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	62,218 36	51,522 27	0 00	10,696 09	10,696 09
SHORT TERM LOSSES	11,869 98	13,269 39	0 00	(1,399.41)	(1,399.41)
SHORT TERM DISALLOWED LOSSES					229 68
NET SHORT TERM GAINS (LOSSES)	**74,088.34**	**64,791.66**	**0.00**	**9,296.68**	**9,526.36**
LONG TERM GAINS	35,558.74	27,127 90	0.00	8,430.84	8,430 84
LONG TERM LOSSES	3,738 27	4,837 93	0 00	(1,099 66)	(1,099 66)
LONG TERM DISALLOWED LOSSES					228 13
NET LONG TERM GAINS (LOSSES)	**39,297.01**	**31,965.83**	**0.00**	**7,331.18**	**7,559.31**

Form **8868**
(Rev. January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions LOTZ FAMILY FOUNDATION	**Enter filer's identifying number** Employer identification number (EIN) or 26-1590575
	Number, street, and room or suite no. If a P O box, see instructions 30 ISLA BAHIA DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions FORT LAUDERDALE, FL 33316	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ PHILIP A. & WENDY D. LOTZ
  30 ISLA BAHIA DRIVE - FORT LAUDERDALE, FL 33316
  Telephone No. ▶ 203-570-2865 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☒ calendar year 2013 or

▶ ☐ tax year beginning ________________ , and ending ________________

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 2,000.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 2,400.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev. 1-2014)
323841
12-31-13