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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: MOORE FOR KIDS

A Employer identification number: 26-1557732

Number and street (or P O box number if mail is not delivered to street address): 3792 RIDGE RD

Room/suite:

B Telephone number (see instructions):

City or town, state or province, country, and ZIP or foreign postal code: CAMBRIA NY 14094

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 394,938

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	750,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	693	693	693	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 STMT 1	8,544			
	b	Gross sales price for all assets on line 6a 114,788				
	7	Capital gain net income (from Part IV, line 2)		249		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	759,237	942	693	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 2	2,672			
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 3	100			
	19	Depreciation (attach schedule) and depletion STMT 4	2,078			
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) STMT 5	37,021	825		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	41,871	825	0	0
	25	Contributions, gifts, grants paid	729,500			729,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	771,371	825	0	729,500
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-12,134			
	b	**Net investment income** (if negative, enter -0-)		117		
	c	**Adjusted net income** (if negative, enter -0-)			693	

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rwork Reduction Act Notice, see instructions.

		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing	147,155	191,910	191,910
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) SEE WORKSHEET	3,920	1,500	1,500
	7	Other notes and loans receivable (att schedule) ▶			
		Less. allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule)			
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans	240,177	189,476	169,608
	13	Investments – other (attach schedule) SEE STATEMENT 6	59,828	54,388	31,920
	14	Land, buildings, and equipment basis ▶ 3,740			
		Less accumulated depreciation (attach sch) ▶ 2,078		1,662	
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see the instructions. Also, see page 1, item I)	451,080	438,936	394,938
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	451,080	438,936	
	30	**Total net assets or fund balances** (see instructions)	451,080	438,936	
	31	**Total liabilities and net assets/fund balances** (see instructions)	451,080	438,936	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	451,080
2	Enter amount from Part I, line 27a	2	-12,134
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	438,946
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	10
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	438,936

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	DUNHAM TRUST COMPANY			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	249			249
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				249
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 249

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 } 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	383,544	452,937	0.846793
2011	106,125	478,017	0.222011
2010	63,604	40,539	1.568958
2009	24,976	461,727	0.054093
2008	25,000	465,530	0.053702

2	Total of line 1, column (d)	2	2.745557
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.549111
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	434,845
5	Multiply line 4 by line 3	5	238,778
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1
7	Add lines 5 and 6	7	238,779
8	Enter qualifying distributions from Part XII, line 4	8	729,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	1
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	1
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	9		
b	Exempt foreign organizations – tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	9
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	8
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 8 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT & KRISTI MOORE Telephone no. ▶ 214-668-8854

3792 RIDGE RD

Located at ▶ CAMBRIA NY ZIP+4 ▶ 14094

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT & KRISTI MOORE 3792 RIDGE RD	CAMBRIA NY 14094	FOUNDATION M 20.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	271,934
b	Average of monthly cash balances		1b	169,533
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	441,467
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	441,467
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)		4	6,622
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	434,845
6	**Minimum investment return.** Enter 5% of line 5		6	21,742

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	21,742
2a	Tax on investment income for 2013 from Part VI, line 5	2a 1		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	21,741
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	21,741
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	21,741

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	729,500
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	729,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	729,499

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					21,741
2 Undistributed income, if any, as of the end of 2013:					
a Enter amount for 2012 only					
b Total for prior years: 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2013:					
a From 2008					
b From 2009	1,842				
c From 2010	61,619				
d From 2011	82,224				
e From 2012	360,957				
f **Total** of lines 3a through e		506,642			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 729,500					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2013 distributable amount					21,741
e Remaining amount distributed out of corpus		707,759			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		1,214,401			
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		1,214,401			
10 Analysis of line 9.					
a Excess from 2009	1,842				
b Excess from 2010	61,619				
c Excess from 2011	82,224				
d Excess from 2012	360,957				
e Excess from 2013	707,759				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test – enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter. **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ROBERT & KRISTI MOORE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

MOORE FOR KIDS 214-668-8854
3792 RIDGE RD CAMBRIA NY 14094

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 8

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MAKE A WISH FOUNDATION OF WNY 3901 GENESEE STREET BUFFALO NY 14225	NONE	EXEMPT	PSYCHOLOGICAL THERAPY	127,500
STELLA NIAGARA EDUCATION PARK 4421 LOWER RIVER ROAD STELLA NIAGARA NY 14144	NONE	EXEMPT	CHILDRENS EDUCATIONAL PROGRAMS	5,000
SPORTS SOCIETY OF AMERICAN HEALTH 9270 GLEN MEADOW BEAUMONT TX 77706	NONE	EXEMPT	PROMOTE SPORTS FOR HEALTH	60,000
USC CANCER RESEARCH FUND 1975 ZONAL AVE. LOS ANGELES CA 90089-9221	NONE	EXEMPT	CANCER RESEARCH	500,000
USC NORRIS 1975 ZONAL AVE. LOS ANGELES CA 90078	NONE	EXEMPT	CANCER RESEARCH	25,000
ROSWELL PARK CANCER INSTITUTE ELM & CARLTON STREETS BUFFALO NY 14263	NONE	EXEMPT	CANCER RESEARCH	8,500
WESTFIELD FRIENDS CHURCH 324 SOUTH UNION STREET WESTFIELD IN 46074	NONE	EXEMPT	RELIGIOUS SERVICES	3,500
Total			▶ 3a	729,500
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					693
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					8,544
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		0	9,237

13 **Total.** Add line 12, columns (b), (d), and (e) 13 9,237

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

X

Signature of officer or trustee

X 9/4/14

Date

FOUNDATION MGRS

Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed
DAVID COHEN	DAVID COHEN	08/12/14	

Firm's name ▶ HOCHMAN COHEN TORRES LLP

PTIN P00082728

Firm's address ▶ 5230 CARROLL CANYON RD STE 318
SAN DIEGO, CA 92121

Firm's EIN ▶ 33-0664240

Phone no 858-535-1065

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
MOORE FOR KIDS	26-1557732

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the $33^1/_3$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
MOORE FOR KIDS	26-1557732

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE MOORE FOR KIDS CHARITABLE REMAINDER TRUST 241 RIDGE STREET, STE. 100 RENO NV 89501	$ 750,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description Whom Sold	Date Acquired	Date Sold	How Received	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
DUNHAM 5296002 ST	VARIOUS	VARIOUS	PURCHASE	$ 22,997	$ 21,975	$ -4	$	$ 1,026
DUNHAM 5296002 LT	VARIOUS	VARIOUS	PURCHASE	69,008	56,141	-35		12,902
DUNHAM 5296002 SALE OF UNITS	1/30/08	VARIOUS	PURCHASE	22,534	28,167			-5,633
TOTAL				$ 114,539	$ 106,283	$ -39	$ 0	$ 8,295

Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
GORDON & REES LEGA	$	$	$	$
TOTAL	$ 0	$ 0	$ 0	$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
HOCHMAN COHEN TORRES LLP	$ 2,672	$	$	$
TOTAL	$ 2,672	$ 0	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
NYS ANNUAL FEES	$ 100	$	$	$
TOTAL	$ 100	$ 0	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 19 - Depreciation

Description Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
WEBSITE DEVELOPMENT							
9/16/13	$ 3,740	$		3	$ 2,078	$	$
TOTAL	$ 3,740	$ 0			$ 2,078	$ 0	$ 0

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
BANK SERVICE CHARGES	216			
MARKETING/CONSULTING	35,980			
INVESTMENT FEES	825	825		
TOTAL	$ 37,021	$ 825	$ 0	$ 0

Federal Statements

Statement 6 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
DUNHAM TRUST CO.	$ 59,828	$ 54,388	COST	$ 31,920
TOTAL	$ 59,828	$ 54,388		$ 31,920

Statement 7 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
FEDERAL EXCISE TAX PAID	$ 10
TOTAL	$ 10

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
ROBERT & KRISTI MOORE	$
TOTAL	$ 0

Statement 8 - Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description

REQUESTS MAY BE SENT TO ROBERT & KRISTI MOORE AT THE ADDRESS ABOVE. APPROPRIATE INFORMATION WILL BE PROVIDED ON REQUEST.

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description

NONE

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description

NONE

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization

(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment Sequence No **179**

Name(s) shown on return	Identifying number
MOORE FOR KIDS	26-1557732

Business or activity to which this form relates
INDIRECT DEPRECIATION

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	1,870
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	208

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	0
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only–see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	2,078
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

THERE ARE NO AMOUNTS FOR PAGE 2

Form **990-PF**

Receivables Due from Officers, Directors, Trustees, and Key Employees

2013

For calendar year 2013, or tax year beginning , and ending

Name: MOORE FOR KIDS

Employer Identification Number: 26-1557732

FORM 990-PF, PART II, LINE 6 - ADDITIONAL INFORMATION

	Name of borrower	Title
(1)	ROBERT & KRISTI MOORE	FOUNDATION MANAGER
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		

	Original amount borrowed	Date of loan	Maturity date	Repayment terms	Interest rate
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

	Security provided by borrower	Purpose of loan
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		

	Consideration furnished by lender	Balance due at beginning of year	Balance due at end of year	Fair market value
(1)		3,920	1,500	1,500
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				
Totals		3,920	1,500	1,500

2013 Form 1099 Tax Reporting Statement

Account Number: 5296002

Taxpayer Information	Payer
AMERICAN CHILDRENS FUND (AAP) 3792 RIDGE ROAD LOCKPORT NY 14094 TID. 26-1557732	DUNHAM TRUST COMPANY 241 RIDGE STREET STE 100 RENO NV 89501 EIN.45-0980945

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SHORT TERM GAIN/LOSS – Assets Held One Year or Less

CUSIP	QUANTITY BOX 1e	STOCK SYMBOL BOX 1d	DESCRIPTION BOX 8	DATE ACQUIRED BOX 1b	DATE SOLD BOX 1a	PROCEEDS BOX 2	COST OR BASIS BOX 3	NET GAIN OR LOSS	FED INC TAX WITHHELD BOX 4	STATE BOX 13	STATE TAX WITHHELD BOX15	*** COVERED BOX 6
265458307	0.6700	DNCGX	DUNHAM CORPORATE/GOVERNMEN	02/28/2013	11/05/2013	9.40	9 68	-0.28	0 00	NA	0.00	X
265458307	2.3500	DNCGX	DUNHAM CORPORATE/GOVERNMEN	03/28/2013	12/31/2013	32.68	33.93	-1.25	0 00	NA	0.00	X
265458307	5.0300	DNCGX	DUNHAM CORPORATE/GOVERNMEN	03/28/2013	11/05/2013	70.52	72.63	-2.11	0 00	NA	0 00	X
265458307	0.9500	DNCGX	DUNHAM CORPORATE/GOVERNMEN	05/22/2013	12/31/2013	13.21	13.76	-0.55	0 00	NA	0.00	X
265458307	6.9400	DNCGX	DUNHAM CORPORATE/GOVERNMEN	02/21/2013	11/05/2013	97.38	100.09	-2.71	0 00	NA	0.00	X
265458307	0.6200	DNCGX	DUNHAM CORPORATE/GOVERNMEN	03/28/2013	12/31/2013	8.62	8 95	-0.33	0 00	NA	0 00	X
265458307	264.9700	DNCGX	DUNHAM CORPORATE/GOVERNMEN	01/31/2013	05/09/2013	3,873.92	3,812.98	60.94	0.00	NA	0.00	X
265458307	10.7900	DNCGX	DUNHAM CORPORATE/GOVERNMEN	01/31/2013	12/31/2013	150.22	156.26	-6.04	0 00	NA	0.00	X
265458307	40.5000	DNCGX	DUNHAM CORPORATE/GOVERNMEN	01/31/2013	11/05/2013	568.24	582.82	-14.58	0.00	NA	0.00	X
Wash	0.0000					0.00	0.00	3 88	0 00		0 00	
265458307	0.9100	DNCGX	DUNHAM CORPORATE/GOVERNMEN	04/30/2013	12/31/2013	12.64	13.26	-0.62	0.00	NA	0.00	X
265458471	0.1000	DNASX	DUNHAM ALTERNATIVE STRATEGY F	03/28/2013	11/05/2013	2.47	2.51	-0.04	0 00	NA	0 00	X
265458471	10.1600	DNASX	DUNHAM ALTERNATIVE STRATEGY F	03/22/2013	05/09/2013	241.33	245.09	-3.76	0.00	NA	0.00	X
265458471	64.0400	DNASX	DUNHAM ALTERNATIVE STRATEGY F	03/28/2013	05/09/2013	1,521.49	1,545.84	-24.35	0.00	NA	0.00	X
265458604	15.5100	DNHYX	DUNHAM HIGH-YIELD BOND FUND CL	01/31/2013	11/05/2013	149.05	149.67	-0.62	0 00	NA	0 00	X
265458604	287.1300	DNHYX	DUNHAM HIGH-YIELD BOND FUND CL	01/31/2013	05/09/2013	2,842.60	2,770.82	71.78	0 00	NA	0.00	X
265458620	3.0400	DNMDX	DUNHAM MONTHLY DISTRIBUTION F	02/21/2013	05/09/2013	118.45	115.76	2.69	0 00	NA	0.00	X
265458620	6.3700	DNMDX	DUNHAM MONTHLY DISTRIBUTION F	03/22/2013	11/05/2013	245.16	245.74	-0.58	0.00	NA	0.00	X
265458620	30.5100	DNMDX	DUNHAM MONTHLY DISTRIBUTION F	03/22/2013	05/09/2013	1,189 66	1,176.53	13.13	0 00	NA	0 00	X
265458620	0.3300	DNMDX	DUNHAM MONTHLY DISTRIBUTION F	02/28/2013	05/09/2013	12.83	12.54	0.29	0.00	NA	0.00	X

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

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CONTINUATION SHORT TERM GAIN/LOSS – Assets Held One Year or Less

CUSIP	QUANTITY BOX 1e	STOCK SYMBOL BOX 1d	DESCRIPTION BOX 8	DATE ACQUIRED BOX 1b	DATE SOLD BOX 1a	PROCEEDS BOX 2	COST OR BASIS BOX 3	NET GAIN OR LOSS	FED INC TAX WITHHELD BOX 4	STATE BOX 13	STATE TAX WITHHELD BOX15	*** COVERED BOX 6
265458620	0.2300	DNMDX	DUNHAM MONTHLY DISTRIBUTION FI	01/31/2013	03/28/2013	8.87	8.77	0.10	0.00	NA	0.00	X
265458620	118 1400	DNMDX	DUNHAM MONTHLY DISTRIBUTION FI	01/31/2013	05/09/2013	4,606.23	4,505 83	100 40	0.00	NA	0 00	X
265458687	25 1000	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	12/28/2012	05/09/2013	425 90	363.15	62.75	0.00	NA	0.00	X
265458687	9.0100	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	09/28/2012	05/09/2013	152.90	147.77	5 13	0.00	NA	0 00	X
265458687	15.7000	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	06/29/2012	05/09/2013	266.41	243.65	22.76	0.00	NA	0.00	X
265458752	21 2800	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	09/28/2012	05/09/2013	280.74	243.49	37 25	0.00	NA	0.00	X
265458752	8.2700	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	06/29/2012	05/09/2013	109.04	92.01	17.03	0.00	NA	0.00	X
265458828	32.7900	DNREX	DUNHAM REAL ESTATE STOCK FUNC	09/24/2012	05/09/2013	585.21	525.55	59.66	0.00	NA	0.00	X
265458828	8 5900	DNREX	DUNHAM REAL ESTATE STOCK FUNC	12/28/2012	05/09/2013	153.38	132 59	20.79	0.00	NA	0.00	X
265458828	123.0000	DNREX	DUNHAM REAL ESTATE STOCK FUNC	06/29/2012	05/09/2013	2,195.51	1,924 92	270.59	0.00	NA	0 00	X
265458828	169.1900	DNREX	DUNHAM REAL ESTATE STOCK FUNC	09/28/2012	05/09/2013	3,019 97	2,686.67	333 30	0.00	NA	0 00	X
265458828	2.0300	DNREX	DUNHAM REAL ESTATE STOCK FUNC	12/28/2012	06/28/2013	32.79	31.37	1.42	0.00	NA	0.00	X
Total of ST Gain/Loss						22,996.82	21,974.63	1,026.07	0.00		0.00	

*** Covered Securities are subject to the cost basis reporting requirements set forth in Section 403 of the Energy Improvement and Extension Act of 2008. Please consult your tax advisor regarding questions related to Covered Securities.

2013 Form 1099 Tax Reporting Statement

Account Number: 5296002

Taxpayer Information	Payer
AMERICAN CHILDRENS FUND (AAP) 3792 RIDGE ROAD LOCKPORT NY 14094 TID: 26-1557732	DUNHAM TRUST COMPANY 241 RIDGE STREET STE 100 RENO NV 89501 EIN:45-0980945

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

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LONG TERM GAIN/LOSS – Assets Held More Than One Year

CUSIP	QUANTITY BOX 1e	STOCK SYMBOL BOX 1d	DESCRIPTION BOX 8	DATE ACQUIRED BOX 1b	DATE SOLD BOX 1a	PROCEEDS BOX 2	COST OR BASIS BOX 3	NET GAIN OR LOSS	FED INC TAX WITHHELD BOX 4	STATE BOX 13	STATE TAX WITHHELD BOX15	*** COVERED BOX 6
265458653	176.9000	DNEMX	DUNHAM EMERGING MARKETS STOC	03/31/2011	05/09/2013	2,743.66	2,904.63	-160.97	0 00	NA	0.00	X
265458653	68.7900	DNEMX	DUNHAM EMERGING MARKETS STOC	12/31/2010	01/31/2013	1,031.19	1,108.24	-77.05	0 00	NA	0.00	
Wash	0.0000					0.00	0.00	16.57	0 00		0 00	
265458653	52.5100	DNEMX	DUNHAM EMERGING MARKETS STOC	12/31/2010	05/09/2013	814.37	845.87	-31.50	0.00	NA	0.00	
265458653	6.7800	DNEMX	DUNHAM EMERGING MARKETS STOC	03/31/2008	05/09/2013	105.14	104 06	1.08	0 00	NA	0 00	
265458653	58.5900	DNEMX	DUNHAM EMERGING MARKETS STOC	05/23/2011	05/09/2013	908.72	913.41	-4.69	0 00	NA	0.00	X
265458653	21.5100	DNEMX	DUNHAM EMERGING MARKETS STOC	03/31/2008	03/28/2013	318.97	329.31	-10.34	0 00	NA	0.00	
Wash	0.0000					0.00	0 00	3.26	0 00		0.00	
265458653	16.7700	DNEMX	DUNHAM EMERGING MARKETS STOC	01/21/2011	05/09/2013	260.10	262.78	-2.68	0.00	NA	0.00	X
265458653	3.5400	DNEMX	DUNHAM EMERGING MARKETS STOC	12/31/2010	03/28/2013	52.42	56.35	-3.93	0 00	NA	0.00	
265458653	7.5300	DNEMX	DUNHAM EMERGING MARKETS STOC	12/30/2011	05/09/2013	116.79	93.90	22.89	0.00	NA	0.00	X
265458653	4.1700	DNEMX	DUNHAM EMERGING MARKETS STOC	12/31/2010	06/28/2013	57.14	65 50	-8.36	0 00	NA	0.00	
Wash	0.0000					0.00	0.00	8.36	0.00		0.00	
265458653	11.2600	DNEMX	DUNHAM EMERGING MARKETS STOC	12/31/2010	05/09/2013	174.69	179.52	-4.83	0.00	NA	0.00	
Wash	0.0000					0.00	0.00	3.28	0 00		0 00	
265458653	2.7300	DNEMX	DUNHAM EMERGING MARKETS STOC	09/30/2011	05/09/2013	42.40	32.40	10.00	0.00	NA	0.00	X
265458687	1.9700	DNDGX	DUNHAM SMALL CAP GROWTH FUND	06/30/2010	03/28/2013	32.76	23.24	9.52	0 00	NA	0.00	
265458687	41.3800	DNDGX	DUNHAM SMALL CAP GROWTH FUND	08/22/2011	05/09/2013	702.25	525.55	176.70	0.00	NA	0.00	X
265458687	24.0100	DNDGX	DUNHAM SMALL CAP GROWTH FUND	01/23/2012	05/09/2013	407 50	359.00	48.50	0 00	NA	0 00	X
265458687	39.0500	DNDGX	DUNHAM SMALL CAP GROWTH FUND	06/30/2011	05/09/2013	662.59	662.21	0.38	0.00	NA	0.00	X

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

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CONTINUATION LONG TERM GAIN/LOSS – Assets Held More Than One Year

CUSIP	QUANTITY BOX 1e	STOCK SYMBOL BOX 1d	DESCRIPTION BOX 8	DATE ACQUIRED BOX 1b	DATE SOLD BOX 1a	PROCEEDS BOX 2	COST OR BASIS BOX 3	NET GAIN OR LOSS	FED INC TAX WITHHELD BOX 4	STATE BOX 13	STATE TAX WITHHELD BOX15	*** COVERED BOX 6
265458687	24.2200	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	12/30/2009	01/31/2013	380.48	302.98	77.50	0.00	NA	0.00	
265458687	0 3800	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	05/23/2011	05/09/2013	6.43	6.31	0 12	0.00	NA	0.00	X
265458687	68 3800	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	09/30/2011	05/09/2013	1,160.48	882 15	278 33	0.00	NA	0.00	X
265458687	30.8500	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	06/30/2010	01/31/2013	484.63	364 31	120 32	0.00	NA	0.00	
265458687	2.6700	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	12/28/2012	12/31/2013	48.50	38.66	9.84	0.00	NA	0.00	X
265458687	9.0200	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	06/30/2010	05/09/2013	153.09	106.54	46.55	0.00	NA	0.00	
265458687	18.8900	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	09/30/2010	05/09/2013	320.58	249.93	70 65	0.00	NA	0.00	
265458687	19.6300	DNDGX	DUNHAM SMALL CAP GROWTH FUNC	10/21/2010	05/09/2013	333.04	262.78	70 26	0.00	NA	0.00	
265458729	69 4600	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	01/23/2012	12/31/2013	379.96	266.73	113.23	0.00	NA	0.00	X
265458729	5 4600	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	12/28/2010	05/09/2013	26.05	20.10	5 95	0.00	NA	0.00	
265458729	13.8200	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	12/30/2009	05/09/2013	65.93	46.86	19 07	0.00	NA	0.00	
265458729	75.0500	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	04/20/2009	05/09/2013	357.99	200.38	157.61	0.00	NA	0.00	
265458729	191.8100	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	06/23/2009	05/09/2013	914.92	525.55	389.37	0.00	NA	0.00	
265458729	100 8000	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	01/23/2012	05/09/2013	480 81	387 07	93 74	0 00	NA	0 00	X
265458729	377.6000	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	04/20/2009	01/31/2013	1,668.98	1,008.19	660.79	0.00	NA	0.00	
265458729	243 3300	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	03/31/2011	05/09/2013	1,160.68	917 35	243 33	0.00	NA	0 00	X
265458729	685.6200	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	06/30/2009	05/09/2013	3,270 41	1,933.45	1,336 96	0 00	NA	0.00	
265458729	83 6900	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	08/24/2010	05/09/2013	399.19	262.78	136 41	0.00	NA	0 00	
265458729	31.4000	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	12/28/2011	05/09/2013	149.77	115.23	34.54	0.00	NA	0.00	X
265458729	7 5300	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	01/23/2012	11/05/2013	39.17	28.93	10 24	0.00	NA	0.00	X
265458729	426.5800	DNLGX	DUNHAM LARGE CAP GROWTH FUNI	08/24/2009	05/09/2013	2,034.81	1,313 88	720 93	0 00	NA	0.00	
265458752	29 3000	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	07/22/2010	05/09/2013	386.40	262.78	123.62	0.00	NA	0 00	
265458752	26 9600	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	03/30/2012	05/09/2013	355 63	308.44	47.19	0.00	NA	0.00	X
265458752	61.6400	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	12/30/2009	05/09/2013	813.03	532.57	280 46	0.00	NA	0.00	
265458752	23.1300	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	04/21/2011	05/09/2013	305 11	262.78	42 33	0.00	NA	0.00	X
265458752	38.2800	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	01/23/2012	05/09/2013	504.87	421.43	83 44	0.00	NA	0.00	X
265458752	8.8900	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	06/30/2010	05/09/2013	117.21	76.60	40 61	0.00	NA	0.00	

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CONTINUATION LONG TERM GAIN/LOSS – Assets Held More Than One Year

CUSIP	QUANTITY BOX 1e	STOCK SYMBOL BOX 1d	DESCRIPTION BOX 8	DATE ACQUIRED BOX 1b	DATE SOLD BOX 1a	PROCEEDS BOX 2	COST OR BASIS BOX 3	NET GAIN OR LOSS	FED INC TAX WITHHELD BOX 4	STATE BOX 13	STATE TAX WITHHELD BOX15	*** COVERED BOX 6
265458752	30.9200	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	09/30/2010	05/09/2013	407.78	293 08	114.70	0.00	NA	0.00	
265458752	62.7700	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	12/30/2009	01/31/2013	758.20	542.29	215 91	0.00	NA	0 00	
265458752	21.3600	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	09/30/2011	05/09/2013	281.79	196 98	84 81	0.00	NA	0.00	X
265458752	3 3700	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	12/28/2010	05/09/2013	44 41	36.26	8.15	0.00	NA	0.00	
265458752	24.6300	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	06/30/2011	05/09/2013	324.82	277.04	47.78	0.00	NA	0.00	X
265458752	46.1800	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	02/23/2012	05/09/2013	609.05	524.55	84.50	0.00	NA	0 00	X
265458752	4.8200	DNSVX	DUNHAM SMALL CAP VALUE FUND CI	09/28/2012	12/31/2013	72.08	55.12	16.96	0.00	NA	0.00	X
265458786	23 2500	DNINX	DUNHAM INTERNATIONAL STOCK FU	10/20/2011	05/09/2013	328.81	262.77	66.04	0.00	NA	0.00	X
265458786	0.4900	DNINX	DUNHAM INTERNATIONAL STOCK FU	03/30/2012	06/28/2013	6.51	6 05	0 46	0 00	NA	0 00	X
265458786	20 4700	DNINX	DUNHAM INTERNATIONAL STOCK FU	12/20/2010	05/09/2013	289.39	262.78	26.61	0 00	NA	0.00	
265458786	242.8500	DNINX	DUNHAM INTERNATIONAL STOCK FU	12/31/2010	05/09/2013	3,433 88	3,166.75	267 13	0.00	NA	0 00	
265458786	4.8200	DNINX	DUNHAM INTERNATIONAL STOCK FU	03/30/2012	12/31/2013	73.81	58.94	14.87	0.00	NA	0.00	X
265458786	70.1000	DNINX	DUNHAM INTERNATIONAL STOCK FU	12/28/2011	05/09/2013	991 21	752.87	238.34	0.00	NA	0.00	X
265458786	82 9500	DNINX	DUNHAM INTERNATIONAL STOCK FU	09/30/2011	05/09/2013	1,172 88	899 99	272 89	0 00	NA	0 00	X
265458786	56.6300	DNINX	DUNHAM INTERNATIONAL STOCK FU	09/30/2010	05/09/2013	800.75	691.45	109.30	0.00	NA	0.00	
265458786	97 4100	DNINX	DUNHAM INTERNATIONAL STOCK FU	03/31/2010	05/09/2013	1,377 43	1,147.54	229 89	0.00	NA	0 00	
265458786	26 5100	DNINX	DUNHAM INTERNATIONAL STOCK FU	01/23/2012	05/09/2013	374.84	306.97	67 87	0 00	NA	0.00	X
265458786	209 8300	DNINX	DUNHAM INTERNATIONAL STOCK FU	03/31/2010	01/31/2013	2,820.10	2,471.78	348 32	0.00	NA	0 00	
265458786	83.6900	DNINX	DUNHAM INTERNATIONAL STOCK FU	12/20/2011	05/09/2013	1,183.33	919.72	263.61	0.00	NA	0.00	X
265458786	35.9600	DNINX	DUNHAM INTERNATIONAL STOCK FU	12/28/2010	05/09/2013	508.50	463.55	44.95	0.00	NA	0 00	
265458786	30 3000	DNINX	DUNHAM INTERNATIONAL STOCK FU	02/22/2011	05/09/2013	428.40	394.16	34 24	0.00	NA	0 00	X
265458786	54.7000	DNINX	DUNHAM INTERNATIONAL STOCK FU	02/23/2012	05/09/2013	773.43	662.94	110.49	0.00	NA	0.00	X
265458786	23.1700	DNINX	DUNHAM INTERNATIONAL STOCK FU	09/20/2011	05/09/2013	327 67	262.78	64 89	0.00	NA	0.00	X
265458786	25.4600	DNINX	DUNHAM INTERNATIONAL STOCK FU	05/24/2010	05/09/2013	360.05	262.78	97 27	0.00	NA	0.00	
265458786	115.8300	DNINX	DUNHAM INTERNATIONAL STOCK FU	03/31/2011	05/09/2013	1,637.77	1,537 00	100 77	0.00	NA	0.00	X
265458786	17.3600	DNINX	DUNHAM INTERNATIONAL STOCK FU	03/25/2010	01/31/2013	233.32	201.89	31 43	0.00	NA	0.00	
265458786	38 9900	DNINX	DUNHAM INTERNATIONAL STOCK FU	07/21/2011	05/09/2013	551.28	525.55	25 73	0.00	NA	0.00	X

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CONTINUATION LONG TERM GAIN/LOSS – Assets Held More Than One Year

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265458786	33.1000	DNINX	DUNHAM INTERNATIONAL STOCK FU	04/22/2010	05/09/2013	467.96	394.16	73.80	0.00	NA	0.00	
265458786	37.7800	DNINX	DUNHAM INTERNATIONAL STOCK FU	03/30/2012	05/09/2013	534.14	462.36	71 78	0.00	NA	0.00	X
265458828	2 4600	DNREX	DUNHAM REAL ESTATE STOCK FUNE	01/23/2012	01/31/2013	39.46	35 22	4.24	0.00	NA	0.00	X
265458828	21.9100	DNREX	DUNHAM REAL ESTATE STOCK FUNE	01/23/2012	05/09/2013	391.06	313.28	77.78	0 00	NA	0.00	X
265458828	44 7200	DNREX	DUNHAM REAL ESTATE STOCK FUNE	02/23/2012	05/09/2013	798.22	655.56	142.66	0.00	NA	0.00	X
265458828	73.2400	DNREX	DUNHAM REAL ESTATE STOCK FUNE	12/30/2011	01/31/2013	1,173.27	1,002.63	170 64	0.00	NA	0.00	X
265458851	11.6600	DNLVX	DUNHAM LARGE CAP VALUE FUND C	12/30/2009	05/09/2013	155.13	109.29	45 84	0.00	NA	0.00	
265458851	21 9700	DNLVX	DUNHAM LARGE CAP VALUE FUND C	12/28/2011	05/09/2013	292.16	225.16	67.00	0.00	NA	0.00	X
265458851	7 0600	DNLVX	DUNHAM LARGE CAP VALUE FUND C	03/30/2012	12/31/2013	106 84	81.98	24.86	0.00	NA	0.00	X
265458851	382 5800	DNLVX	DUNHAM LARGE CAP VALUE FUND C	06/30/2010	05/09/2013	5,088.26	3,301.63	1,786 63	0.00	NA	0 00	
265458851	101 9400	DNLVX	DUNHAM LARGE CAP VALUE FUND C	09/30/2010	05/09/2013	1,355.79	978 61	377.18	0.00	NA	0.00	
265458851	188.1500	DNLVX	DUNHAM LARGE CAP VALUE FUND C	12/30/2009	01/31/2013	2,331 16	1,762.96	568.20	0.00	NA	0.00	
265458851	68.6700	DNLVX	DUNHAM LARGE CAP VALUE FUND C	03/22/2012	05/09/2013	913.31	788.33	124.98	0.00	NA	0.00	X
265458851	28 9100	DNLVX	DUNHAM LARGE CAP VALUE FUND C	12/28/2010	05/09/2013	384 48	307.29	77 19	0.00	NA	0 00	
265458851	91.3400	DNLVX	DUNHAM LARGE CAP VALUE FUND C	12/30/2009	03/28/2013	1,170.92	855.81	315.11	0.00	NA	0.00	
265458851	72 6500	DNLVX	DUNHAM LARGE CAP VALUE FUND C	03/30/2012	05/09/2013	966.30	843.52	122.78	0 00	NA	0.00	X
265458851	3.6800	DNLVX	DUNHAM LARGE CAP VALUE FUND C	03/30/2012	11/05/2013	53.68	42.75	10 93	0.00	NA	0 00	X
265458851	4 1700	DNLVX	DUNHAM LARGE CAP VALUE FUND C	01/23/2012	05/09/2013	55 48	45.59	9 89	0.00	NA	0.00	X
265458851	129.0400	DNLVX	DUNHAM LARGE CAP VALUE FUND C	02/23/2012	05/09/2013	1,716.19	1,456.83	259.36	0.00	NA	0.00	X
265458885	23 9800	DNAIX	DUNHAM APPRECIATION & INCOME F	02/23/2012	05/09/2013	226.12	218.45	7.67	0.00	NA	0 00	X
265458885	53.8200	DNAIX	DUNHAM APPRECIATION & INCOME F	06/30/2011	01/31/2013	491.87	490.79	1.08	0.00	NA	0.00	X
265458885	7.3700	DNAIX	DUNHAM APPRECIATION & INCOME F	03/30/2012	06/28/2013	67.93	66.75	1.18	0.00	NA	0.00	X
265458885	36 3600	DNAIX	DUNHAM APPRECIATION & INCOME F	12/28/2011	05/09/2013	342 89	301.80	41.09	0.00	NA	0.00	X
265458885	0.8600	DNAIX	DUNHAM APPRECIATION & INCOME F	03/30/2012	11/05/2013	8.73	7 83	0.90	0.00	NA	0.00	X
265458885	18 6100	DNAIX	DUNHAM APPRECIATION & INCOME F	06/30/2011	03/28/2013	171.62	177 20	-5 58	0 00	NA	0.00	X
Wash	0 0000					0.00	0.00	4.11	0.00		0.00	
265458885	1.0100	DNAIX	DUNHAM APPRECIATION & INCOME F	03/30/2012	12/31/2013	10.43	9.18	1.25	0.00	NA	0.00	X

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

CONTINUATION LONG TERM GAIN/LOSS – Assets Held More Than One Year

CUSIP	QUANTITY BOX 1e	STOCK SYMBOL BOX 1d	DESCRIPTION BOX 8	DATE ACQUIRED BOX 1b	DATE SOLD BOX 1a	PROCEEDS BOX 2	COST OR BASIS BOX 3	NET GAIN OR LOSS	FED INC TAX WITHHELD BOX 4	STATE BOX 13	STATE TAX WITHHELD BOX15	*** COVERED BOX 6
265458885	112.8200	DNAIX	DUNHAM APPRECIATION & INCOME F	12/30/2011	05/09/2013	1,063.89	940.91	122.98	0.00	NA	0.00	X
265458885	91 6500	DNAIX	DUNHAM APPRECIATION & INCOME F	09/30/2011	05/09/2013	864.22	743.25	120.97	0.00	NA	0.00	X
265458885	40 7800	DNAIX	DUNHAM APPRECIATION & INCOME F	01/23/2012	05/09/2013	384 51	356.37	28 14	0.00	NA	0 00	X
265458885	13 7200	DNAIX	DUNHAM APPRECIATION & INCOME F	06/30/2011	05/09/2013	129.42	129.55	-0 13	0.00	NA	0.00	X
265458885	114.0300	DNAIX	DUNHAM APPRECIATION & INCOME F	06/30/2011	03/28/2013	1,051.34	1,039.94	11.40	0.00	NA	0.00	X
265458885	41.8000	DNAIX	DUNHAM APPRECIATION & INCOME F	03/30/2012	05/09/2013	394.18	378.72	15.46	0.00	NA	0.00	X
265458885	44.3900	DNAIX	DUNHAM APPRECIATION & INCOME F	06/30/2011	05/09/2013	418.61	404.85	13.76	0.00	NA	0.00	X
265458885	51.1900	DNAIX	DUNHAM APPRECIATION & INCOME F	06/30/2011	01/31/2013	467.87	458 01	9.86	0.00	NA	0.00	X
Total of LT Gain/Loss						**69,007.77**	**56,140.87**	**12,902.48**	**0.00**		**0.00**	

***** Covered Securities are subject to the cost basis reporting requirements set forth in Section 403 of the Energy Improvement and Extension Act of 2008. Please consult your tax advisor regarding questions related to Covered Securities.**

**DUNHAM
MORTGAGE FUNDS**

SUPPLEMENTAL SCHEDULE D

**Robert & Kristi Moore
3792 Ridge Road
Lockport, NY 14094**

Account: IO5296-02

Moore for Kids

Period: 01/01/2013 to 12/31/2013

Long-Term Capital Gains and Losses from Sale of Units

Date Acquired	Date Sold	Fund	# of Units	Tax Cost Basis	Sales Proceeds	Gain/Loss
01/30/2008	01/24/2013	D&A Semi-Annual Mortgage Fund III	2 46353	2,463 53	1,970 82	-492 71
01/30/2008	02/21/2013	D&A Semi-Annual Mortgage Fund III	2 97143	2,971 42	2,377 14	-594 28
01/30/2008	03/22/2013	D&A Semi-Annual Mortgage Fund III	3 28640	3,286 40	2,629 12	-657 28
01/30/2008	04/23/2013	D&A Semi-Annual Mortgage Fund III	1 38874	1,388 74	1,110 99	-277 75
01/30/2008	05/22/2013	D&A Semi-Annual Mortgage Fund III	1 72550	1,725 50	1,380 40	-345 10
01/30/2008	06/24/2013	D&A Semi-Annual Mortgage Fund III	2 28341	2,283 41	1,826 73	-456 68
01/30/2008	07/23/2013	D&A Semi-Annual Mortgage Fund III	1 92150	1,921 50	1,537 20	-384 30
01/30/2008	08/23/2013	D&A Semi-Annual Mortgage Fund III	2 24138	2,241 38	1,793 10	-448 28
01/30/2008	09/23/2013	D&A Semi-Annual Mortgage Fund III	6 65686	6,656 86	5,325 49	-1,331 37
01/30/2008	10/23/2013	D&A Semi-Annual Mortgage Fund III	3 22858	3,228 58	2,582 86	-645 72
		Long-Term Totals.		28,167 32	22,533 85	-5,633 47
		Account Totals		28,167 32	22,533 85	-5,633 47

The above data reflects capital gains and losses incurred from the liquidation of units Such activity is not reflected on the K-1 report and therefore should be reported on Schedule D of your tax return. Please consult your tax advisor for assistance