Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
THE GOULD FAMILY FOUNDATION INC

% DAVID GOULD

Number and street (or P O box number if mail is not delivered to street address)
1880 DURAND MILL DRIVE NE
Suite

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
ATLANTA, GA 30307

A Employer identification number
26-1377120

B Telephone number (see instructions)
(404) 867-9211

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 3,909,734

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	299,990			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	70,792	70,792		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	114,732			
	b	Gross sales price for all assets on line 6a 561,556				
	7	Capital gain net income (from Part IV, line 2)		114,732		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	485,514	185,524		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,500	0	0	3,500
	c	Other professional fees (attach schedule)	28,436	28,436		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	6,637	51		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	126	126		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	38,699	28,613	0	3,500
	25	Contributions, gifts, grants paid	200,000			200,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	238,699	28,613	0	203,500
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	246,815			
	b	**Net investment income** (if negative, enter -0-)		156,911		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	188,879	60,400	60,400
2	Savings and temporary cash investments			
3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	2,802,319	3,029,864	3,697,709
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	150,000	150,000	151,625
14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
15	Other assets (describe ▶ ______)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,141,198	3,240,264	3,909,734
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶ ______)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	3,141,198	3,240,264	
30	**Total net assets or fund balances** (see page 17 of the instructions)	3,141,198	3,240,264	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	3,141,198	3,240,264	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,141,198
2	Enter amount from Part I, line 27a	2	246,815
3	Other increases not included in line 2 (itemize) ▶ ______	3	
4	Add lines 1, 2, and 3	4	3,388,013
5	Decreases not included in line 2 (itemize) ▶ ______	5	147,749
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,240,264

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 114,732

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . — 3 —

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	238,230	3,168,665	0 075183
2011	140,705	3,267,424	0 043063
2010	199,405	3,208,839	0 062142
2009	295,030	3,129,164	0 094284
2008	66,219	3,492,525	0 01896

2	**Total** of line 1, column (d).	2	0 293632
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 058726
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	3,394,778
5	Multiply line 4 by line 3.	5	199,362
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,569
7	Add lines 5 and 6.	7	200,931
8	Enter qualifying distributions from Part XII, line 4.	8	203,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	1,569
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,569
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,569
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 4,172		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	4,172
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,603
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 2,603 **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶DAVID GOULD Telephone no ▶(404) 867-9211

Located at ▶1880 DURAND MILL DRIVE NE ATLANTA GA ZIP+4 ▶30307

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DAVID GOULD 1880 DURAND MILL DRIVE NE ATLANTA, GA 30307	SECRETARY 0	0		
CAROLYN GOULD 1880 DURAND MILL DRIVE NE ATLANTA, GA 30307	PRESIDENT 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,315,879
b	Average of monthly cash balances	1b	130,596
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	3,446,475
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,446,475
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	51,697
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,394,778
6	**Minimum investment return.** Enter 5% of line 5	6	169,739

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	169,739
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,569		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,569
3	Distributable amount before adjustments Subtract line 2c from line 1			3	168,170
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	168,170
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	168,170

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	203,500
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	203,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,569
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	201,931

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				168,170
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009. 139,774				
c From 2010. 42,108				
d From 2011.				
e From 2012. 83,966				
f **Total** of lines 3a through e.	265,848			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 203,500				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				168,170
e Remaining amount distributed out of corpus	35,330			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	301,178			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	301,178			
10 Analysis of line 9				
a Excess from 2009. . . . 139,774				
b Excess from 2010. . . . 42,108				
c Excess from 2011. . . .				
d Excess from 2012. . . . 83,966				
e Excess from 2013. . . . 35,330				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				200,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	70,792	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	114,732	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				185,524	

13 Total. Add line 12, columns (b), (d), and (e). 13 185,524

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-05-09 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
KEVIN BRADY		2014-05-05		
Firm's name ▶	HENDERSON BRADY LLP		Firm's EIN ▶	
Firm's address ▶	PO BOX 724495 ATLANTA, GA 31139		Phone no (404) 419-7121	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
GS LOCAL EMERGING MARKETS DEBT FUND		2010-11-03	2013-12-05
GOLDMAN SACHS#55651 - SEE ATTACHED		2013-01-01	2013-12-31
GOLDMAN SACHS#55651 - SEE ATTACHED		2013-01-01	2013-12-31
GOLDMAN SACHS#55651 - SEE ATTACHED		2013-01-01	2013-12-31
GOLDMAN SACHS#67777 - SEE ATTACHED		2013-01-01	2013-12-31
GOLDMAN SACHS#67777 - SEE ATTACHED		2013-01-01	2013-12-31
GOLDMAN SACHS#67777 - SEE ATTACHED		2013-01-01	2013-12-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
41,765		50,000	-8,235
34,499		38,605	-2,081
201,313		144,524	56,789
106,140		84,522	21,618
34,243		32,330	1,913
95,125		74,397	20,728
40,860		24,471	16,389
			7,611

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-8,235
			-4,106
			56,789
			21,618
			1,913
			20,728
			16,389

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

DAVID B GOULD
CAROLYN J GOULD

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PUBLIC BROADCASTING ATLANTA 740 BISMARK ROAD NE ATLANTA, GA 30324	NONE	PC	CHARITABLE	5,000
VOICES FOR GEORGIA'S CHILDREN 100 EDGEWOOD AVENUE SUITE 1580 ATLANTA, GA 30303	NONE	PC	CHARITABLE	5,000
CHILDRENS HEALTHCARE OF ATLANTA 1600 TULLIE CIRCLE NE ATLANTA, GA 30329	NONE	PC	CHARITABLE	36,000
POSSE FOUNDATION 101 MARIETTA STREET NW ATLANTA, GA 30303	NONE	PC	CHARITABLE	10,000
GEORGIA ORGANICS 200-A OTTLEY DRIVE ATLANTA, GA 30324	NONE	PC	CHARITABLE	2,500
ALLIANCE THEATER 1280 PEACHTREE STREET NE ATLANTA, GA 30309	NONE	PC	CHARITABLE	15,000
COOL GIRLS INC 621 NORTH AVENUE NE SUITE A-220 ATLANTA, GA 30308	NONE	PC	CHARITABLE	45,000
TULANE EDUCATIONAL FUND 6823 SAINT CHARLES AVENUE NEW ORLEANS, LA 70118	NONE	PC	CHARITABLE	20,000
GEORGIA AQUARIUM 225 BAKER STREET NW ATLANTA, GA 30313	NONE	PC	CHARITABLE	10,000
DREAM HOUSE PO BOX 533 LILBURN, GA 30048	NONE	PC	CHARITABLE	5,000
PLANNED PARENTHOOD SOUTHEAST 75 PIEDMONT AVE NE 800 ATLANTA, GA 30303	NONE	PC	CHARITABLE	2,500
GLOBAL VILLAGE PROJECT 205 SYCAMORE STREET DECATUR, GA 30030	NONE	PC	CHARITABLE	3,500
ALZHEIMERS ASSOCIATION 41 PERIMETER CENTER EAST SUITE 500 ATLANTA, GA 30346	NONE	PC	CHARITABLE	500
HANDS ON ATLANTA 600 MEANS STREET 100 ATLANTA, GA 30318	NONE	PC	CHARITABLE	40,000
Total . . . . ▶ **3a**				200,000

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491134033064

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
THE GOULD FAMILY FOUNDATION INC	26-1377120

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE GOULD FAMILY FOUNDATION INC

Employer identification number
26-1377120

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID GOULD 1880 DURAND MILL DRIVE NE ATLANTA, GA 30307	$ 296,153	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	DAVID GOULD 1880 DURAND MILL DRIVE NE ATLANTA, GA 30307	$ 3,837	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
THE GOULD FAMILY FOUNDATION INC

Employer identification number
26-1377120

Part II **Noncash Property** (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	See Additional Data Table	$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE GOULD FAMILY FOUNDATION INC

Employer identification number
26-1377120

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 26-1377120
Name: THE GOULD FAMILY FOUNDATION INC

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	125 SHARES OF VISA COMMON STOCK	$ 26,116	2013-12-16
1	300 SHARES OF VIACOM COMMON STOCK	$ 24,474	2013-12-16
1	500 SHARES OF WALGREEN COMMON STOCK	$ 28,587	2013-12-16
1	56 SHARES OF APPLE COMMON STOCK	$ 31,294	2013-12-16
1	75 SHARES OF AMAZON COMMON STOCK	$ 29,126	2013-12-16
1	300 SHARES OF AMERICAN EXPRESS COMMON STOCK	$ 25,339	2013-12-16
1	250 SHARES OF BOEING COMMON STOCK	$ 33,643	2013-12-16
1	625 SHARES OF BRISTOL-MYERS SQUIBB COMMON STOCK	$ 31,709	2013-12-16
1	83 SHARES OF COSTCO WHOLESALE COMMON STOCK	$ 9,812	2013-12-16
1	31 SHARES OF GOOGLE COMMON STOCK	$ 33,119	2013-12-16

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	300 SHARES OF STARBUCKS COMMON STOCK	$ 22,934	2013-12-16

TY 2013 Accounting Fees Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	3,500			3,500

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included

TY 2013 Investments Corporate Stock Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GS LOCAL EMERGING MKTS DEBT FD	0	0
PIMCO TOTAL RETURN FUND	716,689	677,398
GS HIGH YIELD FUND	168,307	166,152
PORTFOLIO 5 OFFSHORE, L.P.	650,000	706,524
GOLDMANS SACHS #51378-ATCH 6A	148,404	307,006
GOLDMANS SACHS #55651-ATCH 6B	704,313	911,806
GOLDMANS SACHS #67777-ATCH 6C	642,151	928,823

TY 2013 Investments - Land Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

TY 2013 Investments - Other Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GS CREDIT STRATEGIES FUND	AT COST	150,000	151,625

TY 2013 Land, Etc. Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

TY 2013 Other Decreases Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

Description	Amount
FMV TO COST BASIS ADJUSTMENT	147,749

TY 2013 Other Expenses Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	126	126		

TY 2013 Other Professional Fees Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	28,436	28,436		

TY 2013 Taxes Schedule

Name: THE GOULD FAMILY FOUNDATION INC

EIN: 26-1377120

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	51	51		
FEDERAL TAXES	6,586			

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
CASH								
U S DOLLAR	52.53	1 0000	52 53		52.53			
DEPOSITS & MONEY MARKET FUNDS								
DEPOSITS								
GOLDMAN SACHS BANK DEPOSIT (BDA)[14]	43,905 52	1 0000	43,905.52	1.0000	43,905.52	0.00	0.0493	21.65
TOTAL CASH, DEPOSITS & MONEY MARKET FUNDS			**43,958.05**		**43,958.05**			**21.65**

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
AMAZON.COM INC CMN (AMZN)	75.00	398.7900	29,909.25	201.1319	15,084.89	14,824.36		
AMERICAN EXPRESS CO. CMN (AXP)	300.00	90.7300	27,219.00	47 7957	14,338.71	12,880.29	1 0140	276.00
APPLE, INC. CMN (AAPL)	56.00	561.0200	31,417.12	117.1289	6,559 22	24,857.90	2.1746	683.20
BOEING COMPANY CMN (BA)	250.00	136.4900	34,122.50	70.7747	17,693 67	16,428 83	2 1394	730.00
BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	625.00	53.1500	33,218.75 225.00	32 0900	20,056 25	13,162.50	2 7093	900.00
COSTCO WHOLESALE CORPORATION CMN (COST)	83.00	119.0200	9,878.66	61 7524	5,125 45	4,753.21	1.0418	102 92
GOOGLE, INC. CMN CLASS A (GOOG)	31.00	1,120.7100	34,742.01	409.3748	12,690.62	22,051 39		
STARBUCKS CORP CMN (SBUX)	300.00	78.3900	23,517.00	38 9364	11,680 91	11,836.09	1.3267	312.00
VIACOM INC CMN CLASS B (VIAB)	300.00	87.3400	26,202.00	50.0400	15,011.99	11,190.01	1.3739	360.00
VISA INC. CMN CLASS A (V)	125 00	222.6800	27,835.00	105.9722	13,246 52	14,588.48	0.7185	200.00
WALGREEN CO CMN (WAG)	500.00	57.4400	28,720.00	33.8317	16,915.87	11,804.13	2.1936	630.00
TOTAL US STOCKS			307,006.29		148,404.10	158,377.19	1.7322	4,194 12

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit.

Not a Deposit. Not FDIC Insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit)

Brokerage and securities services provided by Goldman, Sachs & Co Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.

ATTACHMENT 6A

Goldman Sachs

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
SHAPIRO, DYNAMIC EQUITY								
U S DOLLAR	3,948.97	1.0000	3,948.97		3,948.97			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)[14]	49,585.00	1.0000	49,585.00	1.0000	49,585.00		0.0516	25.59

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
ADT CORPORATION (THE) CMN (ADT)	1,025 00	40.4700	41,481.75	35.6661	36,557.75	4,924.00	1.2355	512.50
AXIALL CORP CMN (AXLL)	1,050.00	47.4400	49,812.00	41.5236	43,599 83	6,212.17		
			168.00					
BABCOCK & WILCOX COMPANY (THE) CMN (BWC)	1,100.00	34 1900	37,609.00	29.3837	32,322.07	5,286.93	1.1699	440.00
CORNING INCORPORATED CMN (GLW)	2,700.00	17 8200	48,114.00	13.3212	35,967.28	12,146 72	2.2447	1,080 00
DEERE & COMPANY CMN (DE)	450.00	91.3300	41,098 50	84 6559	38,095.14	3,003.36	2.2337	918.00
			229 50					
DEVON ENERGY CORPORATION (NEW) CMN (DVN)	750 00	61.8700	46,402.50	57 0881	42,816.08	3,586.42	1.4223	660.00
EXELIS INC. CMN (XLS)	1,500 00	19.0600	28,590.00	11.6013	17,401 92	11,188.08	2.1679	619.80
			206.60					
GENERAL ELECTRIC CO CMN (GE)	1,678.00	28.0300	47,034.34	16.9036	28,364 32	18,670.02	3.1395	1,476.64
			369.16					
GENERAL MOTORS COMPANY CMN (GM)	900.00	40.8700	36,783.00	20.9766	18,878.91	17,904 09		
GRAFTECH INTERNATIONAL LTD CMN (GTI)	2,000.00	11.2300	22,460.00	8.4931	16,986.19	5,473.81		
LEIDOS HLDGS INC CMN (LDOS)	712.00	46.4900	33,100.88	38.4590	27,382.80	5,718.08	2.7533	911.36
LIVE NATION ENTERTAINMENT INC CMN (LYV)	2,200.00	19.7600	43,472.00	10.0805	22,177.00	21,295.00		
MICROSOFT CORPORATION CMN (MSFT)	1,150.00	37.4100	43,021.50	25.9957	29,895.06	13,126.44	2.9939	1,288.00
MOLSON COORS BREWING CO CMN CLASS B (TAP)	800.00	56.1500	44,920.00	47.1762	37,740.99	7,179.01	2.2796	1,024.00
PERKINELMER INC CMN (PKI)	900.00	41.2300	37,107.00	21.7231	19,550.81	17,556.19	0.6791	252.00
PFIZER INC. CMN (PFE)	1,100.00	30.6300	33,693.00	27.6184	30,380.20	3,312.80	3.3954	1,144.00

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit.

Not a Deposit, Not FDIC Insured, May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit).

Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.

ATTACHMENT 6 B

GOULD FAMILY FOUNDATION SHAPIRO

Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
SHAPIRO: DYNAMIC EQUITY								
THE BANK OF NY MELLON CORP CMN (BK)	1,300.00	34.9400	45,422.00	23 6207	30,706.92	14,715 08	1.7172	780.00
THE MOSAIC COMPANY CMN (MOS)	1,000.00	47.2700	47,270 00	43.9668	43,966.75	3,303.25	4.7176	2,230 00
VCA ANTECH, INC. CMN (WOOF)	1,250.00	31.3600	39,200.00	22 5353	28,169.09	11,030 91		
WHITEWAVE FOODS COMPANY CMN CLASS A (WWAV)	1,450.00	22.9400	33,263.00	17.3255	25,121.99	8,141 01		
WPX ENERGY, INC. CMN (WPX)	1,800.00	20.3800	36,684 00	15.6230	28,121.36	8,562.64		
XYLEM INC. CMN (XYL)	600.00	34.6000	20,760.00	27.6279	16,576.73	4,183 27	1.3457	279 36
TOTAL SHAPIRO DYNAMIC EQUITY			911,805.70		704,313.16	206,519.28	2.1142	13,641.25

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**911,805.70**	**704,313.16**	**206,519.28**	**13,641.25**

ATTACHMENT 6B

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

Goldman Sachs

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
CASH								
U S DOLLAR	463.81	1.0000	463.81		463.81			
DEPOSITS & MONEY MARKET FUNDS								
DEPOSITS								
GOLDMAN SACHS BANK DEPOSIT (BDA)[14]	5,034.72	1.0000	5,034.72	1.0000	5,034.72	0 00	0.0541	2.72
TOTAL CASH, DEPOSITS & MONEY MARKET FUNDS			**5,498.53**		**5,498.53**			**2.72**

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
S&P TECHNOLOGY SELECT SECTOR INDEX FUND (SPDR)								
TECHNOLOGY SELECT INDEX 'SPDR' (XLK)	1,000.00	35.7400	35,740.00	31.4200	31,420.00	4,320 00	1.7029	608.60
US STOCKS								
AMAZON.COM INC CMN (AMZN)	95.00	398.7900	37,885.05	192.6029	18,297.28	19,587.77		
APPLE, INC. CMN (AAPL)	80.00	561.0200	44,881.60	139.2706	11,141.65	33,739.95	2.1746	976.00
BOEING COMPANY CMN (BA)	350.00	136.4900	47,771.50	71.0427	24,864.93	22,906.57	2 1394	1,022.00
BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	775.00	53 1500	41,191.25 279 00	32.0900	24,869.75	16,321.50	2.7093	1,116.00
CATERPILLAR INC (DELAWARE) CMN (CAT)	300.00	90.8100	27,243.00	85.1100	25,533.00	1,710.00	2.6429	720.00
CISCO SYSTEMS, INC. CMN (CSCO)	1,200.00	22.4300	26,916.00	16.9500	20,340.00	6,576 00	3.0317	816.00
COCA-COLA COMPANY (THE) CMN (KO)	700.00	41.3100	28,917.00	32.1733	22,521.31	6,395.69	2.7112	784.00
COSTCO WHOLESALE CORPORATION CMN (COST)	140.00	119.0200	16,662.80	63.1232	8,837.25	7,825.55	1.0418	173.60
DUKE ENERGY CORPORATION CMN (DUK)	400.00	69.0100	27,604.00	61.4900	24,596.00	3,008.00	4.4341	1,224 00
EMC CORPORATION MASS CMN (EMC)	1,000.00	25.1500	25,150.00	20.8821	20,882.14	4,267.86	1.5905	400.00
EXXON MOBIL CORPORATION CMN (XOM)	300.00	101.2000	30,360.00	92.7000	27,810.00	2,550.00	2.4901	756.00
GOOGLE, INC. CMN CLASS A (GOOG)	40.00	1,120 7100	44,828.40	475 0123	19,000.49	25,827.91		
INTL BUSINESS MACHINES CORP CMN (IBM)	100.00	187.5700	18,757.00	166.7810	16,678.10	2,078.90	2.0259	380.00
JPMORGAN CHASE & CO CMN (JPM)	500.00	58.4800	29,240.00	54.7700	27,385.00	1,855.00	2.5992	760.00
MERCK & CO., INC. CMN (MRK)	700.00	50.0500	35,035.00 308.00	48.0000	33,600 00	1,435.00	3.5165	1,232.00

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit.

ATTACHMENT 6 C

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
NIKE CLASS-B CMN CLASS B (NKE)	190.00	78.6400	14,941.60 45 60	41.3471	7,855.94	7,085.66	1.2208	182.40
ORACLE CORPORATION CMN (ORCL)	700.00	38 2600	26,782.00	30.2039	21,142.72	5,639.28	1.2546	336.00
PHILIP MORRIS INTL INC CMN (PM)	300.00	87.1300	26,139.00 282.00	85.2038	25,561.14	577 86	4.3154	1,128.00
PRUDENTIAL FINANCIAL INC CMN (PRU)	300.00	92.2200	27,666.00	77.3250	23,197.50	4,468.50	2.2989	636.00
QUALCOMM INC CMN (QCOM)	542.00	74.2500	40,243.50	47.2255	25,596.23	14,647.27	1.8855	758 80
SCHLUMBERGER LTD CMN (SLB)	300.00	90.1100	27,033.00 93.75	68.3667	20,510.01	6,522.99	1.3872	375.00
STARBUCKS CORP CMN (SBUX)	450.00	78.3900	35,275.50	37.9935	17,097.09	18,178.41	1.3267	468.00
THE TRAVELERS COMPANIES, INC CMN (TRV)	375 00	90.5400	33,952.50	68.3237	25,621.39	8,331.11	2.2090	750.00
THE WILLIAMS COMPANIES, INC. CMN (WMB)	775.00	38.5700	29,891.75	31.9900	24,792.25	5,099.50	3.9409	1,178 00
VERIZON COMMUNICATIONS INC. CMN (VZ)	575.00	49.1400	28,255.50	42.8300	24,627 25	3,628.25	4.3142	1,219.00
VIACOM INC CMN CLASS B (VIAB)	350.00	87.3400	30,569.00	50.1541	17,553.92	13,015.08	1.3739	420.00
VISA INC. CMN CLASS A (V)	175.00	222.6800	38,969.00	98.3269	17,207.20	21,761.80	0.7185	280.00
WALGREEN CO. CMN (WAG)	500.00	57.4400	28,720.00	33.1020	16,550.99	12,169.01	2.1936	630.00
TOTAL US STOCKS			870,880.95 1,008.35		593,670 53	277,210.42	2.3752	18,720.80
TOTAL US EQUITY			**906,620.95** **1,008.35**		**625,090.53**	**281,530.42**	**2.3461**	**19,329.40**

ATTACHMENT 6 C

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
EURO STOXX 50 INDEX FUND (SPDR)								
SPDR EURO STOXX 50 FD ETF (FEZ)	500.00	42.2000	21,100.00 94.09	34.1220	17,061.00	4,039.00	2.7246	574.90
TOTAL PUBLIC EQUITY			928,823.39		**642,151.53**	**285,569.42**	**2.3555**	**19,904.30**

ATTACHMENT 6C

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(2,081.38)	Net Covered Long-Term Gains (Losses)	56,788.43	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	0.00	Net NonCovered Long-Term Gains (Losses)	21,618.56		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	**(2,081.38)**	**Total Long-Term Gains (Losses)**	**78,406.99**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
VERIFONE SYSTEMS INC CMN (92342Y109)	01/11/2013	02/21/2013	25.00	463.47	0.00	1,201.91	(738.44)
VERIFONE SYSTEMS INC CMN (92342Y109)	01/30/2013	02/21/2013	50.00	903.93	0.00	1,726.91	(822.98)
VERIFONE SYSTEMS INC CMN (92342Y109)	01/11/2013	02/21/2013	50.00	926.94	0.00	2,401.16	(1,474.22)
VERIFONE SYSTEMS INC CMN (92342Y109)	01/11/2013	02/21/2013	75.00	1,355.89	0.00	2,367.27	(1,011.38)
VERIFONE SYSTEMS INC CMN (92342Y109) Disallowed Loss							1,011.38
VERIFONE SYSTEMS INC CMN (92342Y109)	01/16/2013	02/21/2013	75.00	1,390.41	0.00	3,607.78	(2,217.37)
VERIFONE SYSTEMS INC CMN (92342Y109)	01/16/2013	02/21/2013	100.00	1,807.86	0.00	3,159.11	(1,351.25)
VERIFONE SYSTEMS INC CMN (92342Y109) Disallowed Loss							1,013.44
TIDEWATER INC CMN (886423102)	02/01/2013	08/01/2013	100.00	6,019.00	0.00	4,967.07	1,051.93
LEIDOS HLDGS INC CMN (525327102)	03/14/2013	10/03/2013	0.50	23.11	0.00	0.00	23.11
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	03/15/2013	12/10/2013	14.00	480.79	0.00	388.97	91.82
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	03/18/2013	12/10/2013	29.00	995.92	0.00	787.22	208.70
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	03/14/2013	12/10/2013	57.00	1,957.50	0.00	1,544.14	413.36
MALLINCKRODT PUBLIC LIMITED CO CMN (G5785G107)	10/23/2013	12/17/2013	100.00	5,068.40	0.00	4,337.69	730.71
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	04/04/2013	12/17/2013	7.00	225.21	0.00	212.83	12.38
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	07/05/2013	12/17/2013	8.00	257.39	0.00	241.31	16.08

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	04/22/2013	12/17/2013	14.00	450.41	0.00	443.50	6.91
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	03/20/2013	12/17/2013	14.00	450.42	0.00	400.42	50.00
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	05/09/2013	12/17/2013	14.00	450.42	0.00	471.82	(21.40)
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	06/12/2013	12/17/2013	14.00	450.42	0.00	426.77	23.65
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	03/21/2013	12/17/2013	29.00	933.01	0.00	799.77	133.24
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	07/24/2013	12/19/2013	8.00	247.56	0.00	247.88	(0.32)
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	07/09/2013	12/19/2013	14.00	433.24	0.00	443.86	(10.62)
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	07/16/2013	12/19/2013	14.00	433.24	0.00	441.20	(7.96)
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	07/18/2013	12/19/2013	14.00	433.24	0.00	446.72	(13.48)
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	07/05/2013	12/19/2013	21.00	649.86	0.00	633.43	16.43
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	07/15/2013	12/19/2013	29.00	897.42	0.00	887.64	9.78
MALLINCKRODT PUBLIC LIMITED CO CMN (G5785G107)	11/05/2013	12/20/2013	100.00	5,224.16	0.00	4,449.26	774.90
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	07/24/2013	12/24/2013	7.00	219.83	0.00	216.90	2.93
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	09/10/2013	12/24/2013	14.00	439.66	0.00	453.88	(14.22)
SCIENCE APPLICATIONS INTL CORP CMN (808625107)	09/04/2013	12/24/2013	29.00	910.71	0.00	899.20	11.51
Net Covered Short-Term Disallowed Losses							**2,024.82**
Net Covered Short-Term Gains (Losses)				**34,499.42**	**0.00**	**38,605.62**	**(2,081.38)**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
PERKINELMER INC CMN (714046109)	08/17/2011	01/11/2013	100.00	3,446.06	0.00	2,253.12	1,192.94
PERKINELMER INC CMN (714046109)	08/18/2011	01/17/2013	100.00	3,447.18	0.00	2,119.50	1,327.68
PERKINELMER INC CMN (714046109)	08/18/2011	01/18/2013	100.00	3,509.39	0.00	2,119.50	1,389.89

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CABOT MICROELECTRONICS CORP CMN (12709P103)	07/27/2011	01/24/2013	100.00	3,744.28	0.00	4,011.82	(267.54)
GENERAL MOTORS COMPANY CMN (37045V100)	11/07/2011	02/05/2013	100.00	2,845.99	0.00	2,391.01	454.98
GENERAL MOTORS COMPANY CMN (37045V100)	11/07/2011	02/05/2013	150.00	4,268.98	0.00	3,600.30	668.68
CABOT MICROELECTRONICS CORP CMN (12709P103)	07/27/2011	02/12/2013	100.00	3,587.40	0.00	4,011.82	(424.42)
JOHNSON & JOHNSON CMN (478160104)	01/04/2011	02/14/2013	100.00	7,595.70	0.00	6,313.00	1,282.70
JOHNSON & JOHNSON CMN (478160104)	01/04/2011	02/19/2013	50.00	3,836.19	0.00	3,144.86	691.33
PERKINELMER INC CMN (714046109)	10/04/2011	03/14/2013	100.00	3,527.45	0.00	1,909.36	1,618.09
PERKINELMER INC CMN (714046109)	10/26/2011	03/14/2013	100.00	3,527 45	0.00	1,944 72	1,582.73
CABOT MICROELECTRONICS CORP CMN (12709P103)	08/03/2011	04/11/2013	100.00	3,308.31	0.00	3,863.44	(555.13)
HANESBRANDS INC. CMN (410345102)	08/10/2011	04/17/2013	50 00	2,302.56	0.00	1,298.09	1,004.47
PERKINELMER INC CMN (714046109)	11/10/2011	04/17/2013	100.00	3,400.13	0.00	1,957.21	1,442.92
GENERAL MOTORS COMPANY CMN (37045V100)	11/08/2011	04/18/2013	100.00	2,899 83	0.00	2,503 30	396.53
GENERAL MOTORS COMPANY CMN (37045V100)	11/08/2011	04/30/2013	100.00	3,078.52	0.00	2,476.79	601.73
HANESBRANDS INC. CMN (410345102)	08/10/2011	05/02/2013	50 00	2,469.04	0 00	1,298.09	1,170.95
HANESBRANDS INC. CMN (410345102)	12/14/2011	05/02/2013	50.00	2,469.04	0.00	1,128.32	1,340.72
LENDER PROCESSING SERVICES, IN CMN (52602E102)	07/06/2011	05/08/2013	100.00	2,831.20	0.00	2,046.05	785.15
LENDER PROCESSING SERVICES, IN CMN (52602E102)	07/07/2011	05/17/2013	100.00	2,942.76	0.00	1,984.53	958.23
LENDER PROCESSING SERVICES, IN CMN (52602E102)	07/08/2011	05/23/2013	100.00	3,277.14	0 00	2,026.46	1,250.68
LENDER PROCESSING SERVICES, IN CMN (52602E102)	07/13/2011	05/28/2013	100.00	3,352.08	0.00	2,055.31	1,296.77
LENDER PROCESSING SERVICES, IN CMN (52602E102)	07/26/2011	05/29/2013	100.00	3,313.77	0.00	1,994.72	1,319.05
JOHNSON & JOHNSON CMN (478160104)	01/04/2011	06/05/2013	50.00	4,201.26	0.00	3,144.86	1,056.40
JOHNSON & JOHNSON CMN (478160104)	01/11/2011	06/05/2013	50.00	4,201.26	0.00	3,113.04	1,088.22
LENDER PROCESSING SERVICES, IN CMN (52602E102)	11/22/2011	06/05/2013	100.00	3,303.05	0.00	1,723.80	1,579.25
LENDER PROCESSING SERVICES, IN CMN (52602E102)	01/20/2012	06/05/2013	100.00	3,303.05	0.00	1,510.23	1,792.82
HANESBRANDS INC. CMN (410345102)	12/14/2011	06/21/2013	50.00	2,467.60	0.00	1,128.32	1,339.28

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
HANESBRANDS INC. CMN (410345102)	02/16/2012	06/21/2013	50 00	2,467.60	0.00	1,330.77	1,136.83
GENERAL MOTORS COMPANY CMN (37045V100)	11/11/2011	07/24/2013	100.00	3,727.56	0.00	2,282 02	1,445.54
HANESBRANDS INC. CMN (410345102)	02/16/2012	07/24/2013	150.00	8,737.52	0.00	3,992.30	4,745.22
JOHNSON & JOHNSON CMN (478160104)	01/25/2011	07/24/2013	50.00	4,606.63	0.00	3,044.03	1,562.60
JOHNSON & JOHNSON CMN (478160104)	01/11/2011	07/24/2013	50.00	4,606.63	0.00	3,113.04	1,493.59
GENERAL MOTORS COMPANY CMN (37045V100)	11/16/2011	08/05/2013	100.00	3,680.94	0.00	2,321.33	1,359.61
JOHNSON & JOHNSON CMN (478160104)	01/26/2011	08/12/2013	50.00	4,607.94	0.00	3,046.95	1,560.99
JOHNSON & JOHNSON CMN (478160104)	01/25/2011	08/12/2013	50.00	4,607.94	0.00	3,044.03	1,563.91
JOHNSON & JOHNSON CMN (478160104)	01/26/2011	08/13/2013	50.00	4,617.02	0.00	3,046.95	1,570.07
BAXTER INTERNATIONAL INC CMN (071813109)	12/14/2011	08/28/2013	66.00	4,663.04	0.00	3,202.18	1,460.86
BAXTER INTERNATIONAL INC CMN (071813109)	12/14/2011	08/29/2013	34 00	2,385.44	0 00	1,649.61	735.83
EXELIS INC. CMN (30162A108)	02/06/2012	09/20/2013	50.00	794.43	0.00	501.58	292.85
EXELIS INC. CMN (30162A108)	02/03/2012	09/20/2013	150.00	2,383.28	0.00	1,517.72	865.56
EXELIS INC. CMN (30162A108)	02/07/2012	09/24/2013	50.00	789.85	0.00	500.31	289.54
EXELIS INC. CMN (30162A108)	02/06/2012	09/24/2013	150.00	2,369.54	0 00	1,504.73	864.81
BABCOCK & WILCOX COMPANY (THE) CMN (05615F102)	03/15/2011	09/25/2013	100.00	3,401.54	0.00	2,969.55	431.99
EXELIS INC. CMN (30162A108)	02/08/2012	09/25/2013	50.00	799.09	0.00	500.65	298.44
EXELIS INC. CMN (30162A108)	02/07/2012	09/25/2013	50.00	799.09	0.00	500.31	298.78
EXELIS INC. CMN (30162A108)	02/09/2012	10/02/2013	50.00	799.82	0.00	504.68	295.14
EXELIS INC. CMN (30162A108)	02/09/2012	10/02/2013	50 00	800.28	0.00	504 68	295.60
EXELIS INC. CMN (30162A108)	02/14/2012	10/02/2013	50.00	800.28	0.00	515.78	284.50
EXELIS INC. CMN (30162A108)	02/08/2012	10/02/2013	150.00	2,399.45	0.00	1,501.94	897.51
WPX ENERGY, INC. CMN (98212B103)	05/17/2012	10/21/2013	100.00	2,243.99	0.00	1,587.59	656.40
EXELIS INC. CMN (30162A108)	02/14/2012	10/22/2013	100.00	1,607.83	0.00	1,031.56	576.27
WPX ENERGY, INC CMN (98212B103)	05/17/2012	10/24/2013	100.00	2,227.45	0.00	1,587.59	639.86

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
WPX ENERGY, INC. CMN (98212B103)	05/31/2012	10/24/2013	100.00	2,227.45	0.00	1,468.59	758.86
WPX ENERGY, INC. CMN (98212B103)	06/05/2012	11/06/2013	100.00	2,154.07	0.00	1,423.53	730.54
EXELIS INC. CMN (30162A108)	02/14/2012	11/07/2013	50.00	828.89	0.00	515.78	313.11
EXELIS INC. CMN (30162A108)	02/15/2012	11/07/2013	150.00	2,486.66	0.00	1,539.33	947.33
BILL BARRETT CORPORATION CMN (06846N104)	01/07/2011	11/08/2013	100.00	2,838.11	0.00	3,782.91	(944.80)
BILL BARRETT CORPORATION CMN (06846N104)	09/30/2011	11/11/2013	100.00	2,879.64	0.00	3,668.63	(788.99)
BILL BARRETT CORPORATION CMN (06846N104)	12/09/2011	11/13/2013	100 00	2,860.56	0.00	3,652.77	(792.21)
BILL BARRETT CORPORATION CMN (06846N104)	01/11/2012	11/14/2013	100.00	2,858.86	0.00	3,218.84	(359.98)
BILL BARRETT CORPORATION CMN (06846N104)	01/25/2012	11/19/2013	100.00	2,703.54	0.00	2,835.69	(132.15)
BILL BARRETT CORPORATION CMN (06846N104)	05/03/2012	11/20/2013	100.00	2,687.00	0.00	2,358.17	328.83
EXELIS INC. CMN (30162A108)	02/15/2012	12/17/2013	100.00	1,848.62	0.00	1,026.22	822.40
EXELIS INC. CMN (30162A108)	02/15/2012	12/20/2013	50.00	939 80	0.00	513.11	426.69
EXELIS INC. CMN (30162A108)	03/07/2012	12/20/2013	50.00	939.80	0.00	574.54	365.26
EXELIS INC. CMN (30162A108)	03/09/2012	12/24/2013	50.00	947.72	0.00	599.75	347.97
EXELIS INC. CMN (30162A108)	03/07/2012	12/24/2013	50.00	947.72	0.00	574.54	373.18
EXELIS INC. CMN (30162A108)	03/09/2012	12/27/2013	200.00	3,783.97	0.00	2,398.98	1,384.99
Net Covered Long-Term Gains (Losses)				**201,313.26**	**0.00**	**144,524.83**	**56,788.43**
NonCovered Long-Term Gains (Losses)							
HANESBRANDS INC. CMN (410345102)	11/16/2010	01/14/2013	100.00	3,699.05	0.00	2,478.43	1,220 62
HANESBRANDS INC. CMN (410345102)	11/16/2010	02/13/2013	100.00	4,031.78	0.00	2,478.43	1,553.35
BAXTER INTERNATIONAL INC CMN (071813109)	06/05/2009	03/15/2013	4.00	277.19	0.00	190.21	86.98
BAXTER INTERNATIONAL INC CMN (071813109)	05/07/2010	03/15/2013	16.00	1,108.78	0 00	723.17	385.61
BAXTER INTERNATIONAL INC CMN (071813109)	03/08/2010	03/15/2013	30.00	2,078.96	0.00	1,773.30	305.66
TIDEWATER INC CMN (886423102)	11/16/2010	03/15/2013	50.00	2,407.15	0.00	2,386.90	20.25

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
BAXTER INTERNATIONAL INC CMN (071813109)	11/15/2010	03/22/2013	16.00	1,132 10	0.00	822.53	309.57
BAXTER INTERNATIONAL INC CMN (071813109)	05/07/2010	03/22/2013	34.00	2,405.71	0.00	1,536.74	868.97
HANESBRANDS INC. CMN (410345102)	11/16/2010	03/25/2013	50.00	2,187.57	0.00	1,239 22	948.35
TIDEWATER INC CMN (886423102)	11/16/2010	04/03/2013	50 00	2,468.85	0.00	2,386.90	81.95
HANESBRANDS INC. CMN (410345102)	12/10/2010	04/04/2013	50 00	2,254.59	0 00	1,309.23	945.36
HANESBRANDS INC CMN (410345102)	11/16/2010	04/04/2013	50.00	2,254.60	0.00	1,239.22	1,015.38
TIDEWATER INC CMN (886423102)	11/16/2010	04/11/2013	50.00	2,602.94	0.00	2,386.90	216.04
HANESBRANDS INC. CMN (410345102)	12/10/2010	04/17/2013	50.00	2,302.55	0.00	1,309.23	993.32
TIDEWATER INC CMN (886423102)	11/16/2010	04/30/2013	50.00	2,616 44	0.00	2,386.90	229.54
TIDEWATER INC CMN (886423102)	11/18/2010	05/20/2013	100.00	5,732 60	0.00	4,837.51	895.09
BAXTER INTERNATIONAL INC CMN (071813109)	11/15/2010	06/05/2013	100.00	6,928 25	0.00	5,140.82	1,787.43
TIDEWATER INC CMN (886423102)	11/18/2010	06/12/2013	100.00	5,608.81	0.00	4,837.51	771.30
LIVE NATION ENTERTAINMENT INC CMN (538034109)	11/15/2010	07/23/2013	200.00	3,292.80	0.00	2,020.04	1,272.76
LIVE NATION ENTERTAINMENT INC CMN (538034109)	11/15/2010	08/08/2013	200.00	3,744 09	0.00	2,020.04	1,724.05
VCA ANTECH, INC. CMN (918194101)	11/15/2010	08/12/2013	100.00	2,889.27	0.00	2,247.55	641.72
LIVE NATION ENTERTAINMENT INC CMN (538034109)	11/15/2010	08/13/2013	200.00	3,653.53	0.00	2,020.04	1,633.49
BAXTER INTERNATIONAL INC CMN (071813109)	11/15/2010	08/15/2013	50.00	3,630.98	0.00	2,570.41	1,060.57
LIVE NATION ENTERTAINMENT INC CMN (538034109)	11/15/2010	08/21/2013	100.00	1,735.12	0 00	1,010.02	725.10
BAXTER INTERNATIONAL INC CMN (071813109)	11/15/2010	08/26/2013	34.00	2,450.15	0.00	1,747.88	702.27
BAXTER INTERNATIONAL INC CMN (071813109)	11/16/2010	08/26/2013	66.00	4,756.16	0.00	3,367.88	1,388.28
BAXTER INTERNATIONAL INC CMN (071813109)	11/16/2010	08/28/2013	34.00	2,402.17	0.00	1,734.97	667.20
VCA ANTECH, INC. CMN (918194101)	11/15/2010	09/11/2013	100.00	2,818.76	0.00	2,247.55	571.21
LIVE NATION ENTERTAINMENT INC CMN (538034109)	11/15/2010	10/10/2013	100.00	1,877.27	0.00	1,010.02	867.25
VCA ANTECH, INC. CMN (918194101)	11/15/2010	10/10/2013	100.00	2,747.65	0.00	2,247.55	500.10
VCA ANTECH, INC. CMN (918194101)	11/15/2010	10/11/2013	50.00	1,385.94	0.00	1,123.78	262.16

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
LIVE NATION ENTERTAINMENT INC CMN (538034109)	11/15/2010	10/23/2013	100.00	1,919.54	0.00	1,010.02	909.52
BILL BARRETT CORPORATION CMN (06846N104)	11/15/2010	10/29/2013	100.00	2,884.49	0.00	3,692.00	(807.51)
BILL BARRETT CORPORATION CMN (06846N104)	11/15/2010	10/31/2013	100.00	2,797.86	0.00	3,692.00	(894.14)
BILL BARRETT CORPORATION CMN (06846N104)	11/15/2010	11/04/2013	100 00	3,028.58	0.00	3,692.00	(663.42)
BILL BARRETT CORPORATION CMN (06846N104)	11/15/2010	11/04/2013	100 00	3,033.28	0.00	3,692.00	(658.72)
BILL BARRETT CORPORATION CMN (06846N104)	12/10/2010	11/05/2013	100 00	2,995.26	0.00	3,913.36	(918.10)
Net NonCovered Long-Term Gains (Losses)				**106,140.82**	**0.00**	**84,522.26**	**21,618.56**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.
[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	1,913 07	Net Covered Long-Term Gains (Losses)	20,728.50	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0.00	Net NonCovered Long-Term Gains (Losses)	16,388 57		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	**1,913.07**	**Total Long-Term Gains (Losses)**	**37,117.07**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GENERAL MILLS INC CMN (370334104)	11/12/2012	01/15/2013	625.00	25,499.67	0.00	24,597.94	901.73
PRUDENTIAL FINANCIAL INC CMN (744320102)	07/10/2013	12/05/2013	100.00	8,743.84	0.00	7,732.50	1,011.34
Net Covered Short-Term Gains (Losses)				**34,243.51**	**0.00**	**32,330.44**	**1,913.07**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MICROSOFT CORPORATION CMN (594918104)	01/13/2012	06/25/2013	84.00	2,820.67	0 00	2,365.05	455.62
MICROSOFT CORPORATION CMN (594918104)	09/12/2011	06/25/2013	103 00	3,458.68	0.00	2,638.74	819.94
MICROSOFT CORPORATION CMN (594918104)	01/25/2012	06/25/2013	138.00	4,633.96	0 00	4,081.39	552.57
MICROSOFT CORPORATION CMN (594918104)	04/05/2012	06/25/2013	203.00	6,816.62	0.00	6,396.25	420.37
AMERICAN EXPRESS CO. CMN (025816109)	11/21/2012	12/05/2013	200.00	16,867.70	0.00	11,204.00	5,663.70
CISCO SYSTEMS, INC. CMN (17275R102)	11/12/2012	12/05/2013	275.00	5,790.02	0.00	4,661.25	1,128.77
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	11/21/2012	12/05/2013	600.00	20,633.64	0.00	22,896.00	(2,262.36)
GOOGLE, INC. CMN CLASS A (38259P508)	06/25/2012	12/05/2013	5.00	5,267.56	0.00	2,789.20	2,478.36

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
GOOGLE, INC. CMN CLASS A (38259P508)	09/21/2011	12/05/2013	7 00	7,374.58	0.00	3,849.81	3,524.77
VIACOM INC CMN CLASS B (92553P201)	11/21/2012	12/05/2013	125 00	10,208.57	0.00	6,226.25	3,982.32
WALGREEN CO. CMN (931422109)	10/16/2012	12/05/2013	200.00	11,253.80	0.00	7,289.36	3,964.44
Net Covered Long-Term Gains (Losses)				**95,125.80**	**0.00**	**74,397.30**	**20,728.50**
NonCovered Long-Term Gains (Losses)							
MICROSOFT CORPORATION CMN (594918104)	02/09/2010	06/25/2013	53.00	1,779.71	0.00	1,490.34	289.37
MICROSOFT CORPORATION CMN (594918104)	03/08/2010	06/25/2013	66.00	2,216.24	0.00	1,900.80	315.44
MICROSOFT CORPORATION CMN (594918104)	02/03/2010	06/25/2013	101.00	3,391 52	0 00	2,904.65	486.87
MICROSOFT CORPORATION CMN (594918104)	11/05/2010	06/25/2013	114.00	3,828.05	0.00	3,039.24	788.81
AMERICAN EXPRESS CO. CMN (025816109)	08/02/2010	12/05/2013	41.00	3,457.88	0.00	1,845.82	1,612.06
AMERICAN EXPRESS CO. CMN (025816109)	03/08/2010	12/05/2013	45.00	3,795.23	0.00	1,788.75	2,006.48
AMERICAN EXPRESS CO. CMN (025816109)	11/05/2010	12/05/2013	80.00	6,747.08	0.00	3,523.20	3,223.88
AMERICAN EXPRESS CO. CMN (025816109)	02/03/2010	12/05/2013	84.00	7,084.44	0 00	3,223 08	3,861.36
APPLE, INC. CMN (037833100)	11/05/2010	12/05/2013	15.00	8,559.75	0 00	4,755.45	3,804.30
Net NonCovered Long-Term Gains (Losses)				**40,859.90**	**0.00**	**24,471.33**	**16,388.57**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.