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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
THE ROSEMONT FOUNDATION INC

% SEAN JACKSON

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 216
Suite

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
ROSEMONT, NJ 08556

A Employer identification number
26-0446838

B Telephone number (see instructions)
(609) 773-0335

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☑ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,556,166

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities.	48,564	48,564		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	207,561			
	b	Gross sales price for all assets on line 6a 1,500,138				
	7	Capital gain net income (from Part IV, line 2) . . .		207,561		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	256,125	256,125		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule).				
	b	Accounting fees (attach schedule).	7,650	3,825	0	3,825
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	122			
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	14,114	13,836		278
	24	**Total operating and administrative expenses.** Add lines 13 through 23	21,886	17,661	0	4,103
	25	Contributions, gifts, grants paid	134,268			134,268
	26	**Total expenses and disbursements.** Add lines 24 and 25	156,154	17,661	0	138,371
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	99,971			
	b	**Net investment income** (if negative, enter -0-)		238,464		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

For Paperwork Reduction Act Notice, see instructions. Cat No 11289X Form **990-PF** (2013)

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	-48,730	14,274	14,274
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,101,353	1,674,744	1,666,066
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	0	543,631	543,631
	14	Land, buildings, and equipment basis ▶ 214,200			
		Less accumulated depreciation (attach schedule) ▶	214,200	214,200	214,200
	15	Other assets (describe ▶)	0	117,995	117,995
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,266,823	2,564,844	2,556,166
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,266,823	2,564,844	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,266,823	2,564,844	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,266,823	2,564,844	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,266,823
2	Enter amount from Part I, line 27a	2	99,971
3	Other increases not included in line 2 (itemize) ▶	3	199,267
4	Add lines 1, 2, and 3	4	2,566,061
5	Decreases not included in line 2 (itemize) ▶	5	1,217
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,564,844

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	FIDELITY	P		
b	NEUBERGER 243	P		
c	NEUBERGER 262	P		
d	10,174 7157 SHS BP OFFSHORE CLASS D SUB SERIES 2 SERIES 01-2011	P		
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	188,802		150,010	38,792
b	101,649		106,116	-4,467
c	7,983		7,115	868
d	1,179,947		1,029,336	150,611
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				38,792
b				-4,467
c				868
d				150,611
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	207,561
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	99,366	2,333,992	0 042573
2011	94,917	2,461,506	0 038561
2010	83,000	2,647,150	0 031354
2009	95,854	2,113,987	0 045343
2008	97,136	1,586,803	0 061215

2	**Total** of line 1, column (d).	2	0 219046
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 043809
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,744,650
5	Multiply line 4 by line 3.	5	76,431
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,385
7	Add lines 5 and 6.	7	78,816
8	Enter qualifying distributions from Part XII, line 4.	8	138,371

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	2,385
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	2,385
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,385
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,383		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,383
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	1,002
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶SEAN JACKSON Telephone no ▶(609) 773-0335

Located at ▶49 BRIDGE ST LAMBERTVILLE NJ ZIP+4 ▶08530

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SENATOR ROBERT G TORRICELLI PO BOX 216 ROSEMONT, NJ 08556	TRUSTEE 3 0	0	0	0
SUSAN HOLLOWAY TORRICELLI 505 PARK AVENUE 5TH FLOOR NEW YORK, NY 10022	TRUSTEE 3 0	0	0	0
GEORGE MCLOOF 9 MILL STREET PATERSON, NJ 07501	TRUSTEE 3 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 ROSEMONT FOUNDATION SHOPRITE TURKEY GIVEAWAY	8,924
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,420,435
b	Average of monthly cash balances	1b	136,583
c	Fair market value of all other assets (see instructions)	1c	214,200
d	**Total** (add lines 1a, b, and c)	1d	1,771,218
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,771,218
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	26,568
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,744,650
6	**Minimum investment return.** Enter 5% of line 5	6	87,233

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	87,233
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,385		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,385
3	Distributable amount before adjustments Subtract line 2c from line 1			3	84,848
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	84,848
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	84,848

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	138,371
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	138,371
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,385
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	135,986

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				84,848
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			116,066	
b Total for prior years 2011 , 2010 , 2009		17,364		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011. 0				
e From 2012. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 138,371				
a Applied to 2012, but not more than line 2a			116,066	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				22,305
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		17,364		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		17,364		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				62,543
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . . 0				
e Excess from 2013. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ 3a				134,268
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	48,564	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	207,561	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				256,125	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 256,125
(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-11-17	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Anthony J Panico				P00365556
Firm's name ▶ WithumSmithBrown PC			Firm's EIN ▶	
Firm's address ▶ 465 South St Ste 200 Morristown, NJ 079606497			Phone no (973) 898-9494	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
POSSE FOUNDATION 14 WALL STREET SUITE 8A-60 NEW YORK, NY 10005	NONE	PC	GENERAL PURPOSE	2,000
BIG DOGS HUGE PAWS INC PO BOX 24230 DENVER, CO 80224	NONE	PC	GENERAL PURPOSE	10,000
SHELTER OUR SISTERS 405 STATE STREET HACKENSACK, NJ 07601	NONE	PC	GENERAL PURPOSE	5,000
CAREPOINT HEALTH FOUNDATION INC 176 PALISADE AVE JERSEY CITY, NJ 07306	NONE	PC	GENERAL PURPOSE	4,000
NJ CITIZEN ACTION EDUCATION FUND INC 744 BROAD STREET SUITE 2080 NEWARK, NJ 07102	NONE	PC	GENERAL PURPOSE	10,000
NEW JERSEY CONSERVATION FOUNDATION 170 LONGVIEW ROAD FAR HILLS, NJ 07931	NONE	PC	GENERAL PURPOSE	11,012
NEW IBERIA CHIMP SANCTUARY - CHIMP HAVEN 13600 Chimpanzee Pl Keithville, LA 71047	NONE	PC	GENERAL PURPOSE	10,000
HUNTERDON LAND TRUST 11 MINE STREET FLEMINGTON, NJ 08822	NONE	PC	GENERAL PURPOSE	10,000
BETTY TORRICELLI INSTITUTE FOR BREAST CANCER AT HACKENSACK UNIVERSITY MEDICAL CE 20 PROSPECT AVENUE - SUITE 513 HACKENSACK, NJ 07601	NONE	PC	GENERAL PURPOSE	20,000
ASSUMPTION COLLEGE FOR SISTERS 350 BERNARDSVILLE ROAD MENDHAM, NJ 07945	NONE	PC	GENERAL PURPOSE	5,000
IMHRO - KENNEDY FORUM PO BOX 680 RUTHERFORD, CA 94573	NONE	PC	GENERAL PURPOSE	5,000
ST JOSEPH'S REGIONAL MEDICAL CENTER FOUNDATION 810 MAIN STREET PATERSON, NJ 07503	NONE	PC	GENERAL PURPOSE	7,500
GILDA'S CLUB OF NORTHERN NEW JERSEY INC 575 MAIN STREET HACKENSACK, NJ 07601	NONE	PC	GENERAL PURPOSE	2,500
TRENTON CATS RESCUE PO BOX 7611 WEST TRENTON, NJ 08628	NONE	NC	GENERAL PURPOSE	2,000
ASPCA - WINTER HORSE RESCUE 520 EIGHTH AVENUE 7TH FLOOR NEW YORK, NY 10018	NONE	PC	GENERAL PURPOSE	2,000
Total . . . . ▶ **3a**				134,268

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROSEMONT TURKEY GIVEAWAY-SHOPRITE C/O THE ROSEMONT FOUNDATION PO BOX 216 ROSEMONT,NJ 08556	NONE	NC	THANKSGIVING TURKEYS TO INDIVIDUALS IN NEED	8,756
ASPCA - YEAR END GIFT 520 EIGHTH AVENUE 7TH FLOOR NEW YORK,NY 10018	NONE	PC	GENERAL PURPOSE	10,000
LULU'S RESCUE PO BOX 46 POINT PLEASANT,PA 18950	NONE	PC	GENERAL PURPOSE	2,500
SEER FARMS INC PO BOX 91 JACKSON,NJ 08527	NONE	PC	GENERAL PURPOSE	5,000
LAMBERTVILLE ANIMAL WELFARE PO BOX 722 LAMBERTVILLE,NJ 08530	NONE	PC	GENERAL PURPOSE	2,000
Total . . . . ▶ **3a**				134,268

TY 2013 Accounting Fees Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	7,650	3,825		3,825

TY 2013 Depreciation Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

TY 2013 General Explanation Attachment

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Identifier	Return Reference	Explanation
DISCLOSURE INFORMATION	CORE FORM, PART VII-A, QUESTION 8A	PLEASE NOTE THAT THERE IS NO REQUIREMENT TO FILE A COPY OF FORM 990-PF WITH THE STATE OF NEW JERSEY ATTORNEY GENERAL'S OFFICE.

TY 2013 Investments Corporate Stock Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALTERNATIVE INVESTMENT	11,454	2,776
FIDELITY CORPORATE BONDS	1,147,105	1,147,105
NEUBERGER #243 PER ATTACHMENT	334,970	334,970
NEUBERGER #262 PER ATTACHMENT	181,215	181,215

TY 2013 Investments - Land Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

TY 2013 Investments - Other Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PRINCETON CREDIT OPP FD LLC	AT COST	543,631	543,631

TY 2013 Land, Etc. Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

TY 2013 Other Assets Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
RECEIVABLE FROM ALT INVEST	0	117,995	117,995

TY 2013 Other Decreases Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Description	Amount
PARTNERSHIP BOOK TO TAX ADJUSTMENT	1,217

TY 2013 Other Expenses Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	110			110
EXPENSES THROUGH PARTNERSHIP	9,207	9,207		
INVESTMENT ADVISORY FEES	4,629	4,629		
SIGN EXPENSE- TURKEY GIVEAWAY	168			168

TY 2013 Other Increases Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	199,267

TY 2013 Taxes Schedule

Name: THE ROSEMONT FOUNDATION INC

EIN: 26-0446838

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DELAWARE TWP TAXES	122			

Fidelity INVESTMENTS

2013 Informational Tax Reporting Statement

THE ROSEMONT FOUNDATION INC

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2013 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc. (b)	**3** Cost or Other Basis (a)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**15** State Tax Withheld
STILLWATER MINING COCOM STK USD0.01 ISIN, SWC, 86074Q102										
Sale	02/05/13	01/25/13	500 000	6,998.54	6,792.95	205 59				
TOTALS				**6,998.54**	**6,792.95**			**0.00**		
	Short-Term Realized Gain					**205.59**				
	Short-Term Realized Loss					**0.00**				
	Wash Sale Loss Disallowed						**0.00**			

*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.

THE ROSEMONT FOUNDATION
EIN # 26-0446838

GAIN/LOSS ATTACHMENT

Fidelity INVESTMENTS

2013 Informational Tax Reporting Statement

THE ROSEMONT FOUNDATION INC

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2013 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc. (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
8 Description, 1d Stock or Other Symbol, CUSIP										
AMANA MUTUAL FUND TRUST GROWTH, AMAGX, 022865208										
Sale	01/08/13	01/16/09	1,000.000	27,330 00	15,727.90	11,602.10				
CITIGROUP INC COM NEW, C, 172967424										
Sale	08/21/13	01/21/11	200 000	9,900.27	9,720.40	179.87				
Sale	08/21/13	01/21/11	300 000	14,862 82	14,580.60	282 22				
Sale	09/13/13	01/21/11	500.000	25,273 21	24,300.99	972 22				
Sale	10/14/13	01/21/11	500.000	24,683.42	24,300.99	382.43				
Sale	10/14/13	01/21/11	500.000	24,828.41	24,300 99	527 42				
Subtotals				**99,548.13**	**97,203.97**					
DREYFUS APPRECIATIONFUND, DGAGX, 261970107										
Sale	01/08/13	01/16/09	500.000	22,355 00	13,774.97	8,580 03				
FIDELITY CHINA REGION, FHKCX, 315910778										
Sale	06/07/13	01/16/09	1,000.000	32,570.00	16,510.52	16,059 48				
TOTALS			LT	**181,803.13**	**143,217.36**			**0.00**		
	Long-Term Realized Gain		ST	6,999	6,793	**38,585.77**				
	Long-Term Realized Loss			188,802.	150,010.	206 **0.00**				
	Wash Sale Loss Disallowed					38,792.	**0.00**			

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

(b) Gross proceeds less commissions

***Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.**

GAIN/LOSS ATTACHMENT

NEUBERGER | BERMAN

NEUBERGER BERMAN LLC
OH4-RM00
P.O. BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account Name: THE ROSEMONT FOUNDATION INC.
Taxpayer Identification Number: 26-0446838
Account Executive No: 881
ORIGINAL: *12/31/13*

2013 Supplemental Gain or Loss Information

Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds Less Commissions and Option Premium	Cost or Other Basis		Realized Gain/(Loss)
11/14/13	H	05/20/13	DENBURY RESOURCES INC NEW HOLDING COMPANY	DNR 247916208	250.00000	4,363 07	4,808.28		(445.21)
11/21/13	H	05/15/13	EBAY INC	EBAY 278642103	100.00000	5,051.80	5,626.33		(574.53)
07/10/13	H	05/31/13	IRON MOUNTAIN INC	IRM 462846106	125.00000	3,414.79	4,492.88		(1,078.09)
07/26/13	H	05/14/13	MOTOROLA SOLUTIONS INC	MSI 620076307	75.00000	4,046.30	4,257.48		(211.18)
10/04/13	H	05/15/13	MCDONALDS CORP	MCD 580135101	35.00000	3,308.72	3,557.75		(249.03)
08/06/13	H	05/30/13	***SEASPAN CORPORATION CUMULATIVE RED PERP PFD SHS 9.50% SER C	SSWPRC Y75638125	350.00000	9,281.83	9,684.50		(402.67)
08/06/13	S	05/22/13	AMERICAN TOWER CORP SR NT	029912BD3	10,000.00000	10,668.30	11,012.60	T	(344.30)
08/07/13	S	07/18/13	DENBURY RES INC SR SUB NT	247916AC3	10,000.00000	10,484.30	10,775.00	T	(290.70)
08/06/13	S	05/16/13	***TEEKAY CORP SR NT	87900YAA1	10,000.00000	10,825.00	11,200.00	T	(375.00)
08/06/13	S	06/10/13	CIT GROUP INC REGISTERED SENIOR UNSECURED NOTES	125581GP7	10,000.00000	10,237.50	10,175.00	T	62.50
08/06/13	S	05/22/13	SLM CORP SR UNSECURED	78442FEN4	10,000.00000	10,100.00	10,450.00	T	(350.00)
08/06/13	S	06/10/13	ALLY FINL INC SR UNSECURED	02005NAN0	10,000.00000	10,033.10	10,086.00	T	(52.90)

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

THE ROSEMONT FOUNDATION
EIN # 26-0446838

GAIN/LOSS ATTACHMENT

NEUBERGER BERMAN

NEUBERGER BERMAN LLC
OH4-RM00
P.O. BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account Name: THE ROSEMONT FOUNDATION INC.
Taxpayer Identification Number: 26-0446838

Account Executive No: 881

ORIGINAL: *12/31/13*

2013 Supplemental Gain or Loss Information (continued)

Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis		REALIZED GAIN / (LOSS)
08/06/13	S	05/21/13	PPL CAPITAL FUNDING INC SR UNSECURED	69352PAG8	10,000.00000	9,834.00	9,990.00	T	(156.00)
			13 ITEMS - Total Short Term Not Reported Transactions Gain or Loss			**101,648.71**	**106,115.82**		**(4,467.11)**

FOOTNOTES

A - Position carried at Average Cost.
T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement for informational purposes only. JPMCC has not attempted to validate information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose.
E - Adjusted for option exercise or assignment.

DISPOSAL METHODS

Blank - FIFO (First In First Out); **L** - LIFO (Last In First Out); **S** - Specific Match (Versus Purchase Method); **H** - High Cost Method; **C** - Low Cost Method; **X** - High Cost Long Term

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

GAIN/LOSS ATTACHMENT

NEUBERGER BERMAN

NEUBERGER BERMAN LLC
OH4-RM00
P.O. BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account Name: THE ROSEMONT FOUNDATION
Taxpayer Identification Number: 26-0446838

Account Executive No: V39

ORIGINAL: *12/31/13*

2013 Supplemental Gain or Loss Information

Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol CUSIP	Quantity	Sales Price Less Commissions and Option Premiums	Cost or Other Basis		Realized Gain or (Loss)
08/14/13	**H**	08/14/13	ENVISION HEALTHCARE HOLDINGS INC	EVHC 29413U103	100.00000	2,506.58	2,300.00		206 58
07/23/13	**S**	05/16/13	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL	NFIIX 64128K694	242.48300	2,500.00	2,514.55	**T**	(14.55)
07/23/13	**H**	07/23/13	PHILLIPS 66 PARTNERS LP COM UNIT REPSTG LTD PARTNERSHIP INT	PSXP 718549207	100.00000	2,976.40	2,300.00		676.40
			3 ITEMS - Total Short Term Not Reported Transactions Gain or Loss			**7,982.98**	**7,114.55**		**868.43**

FOOTNOTES

A - Position carried at Average Cost.
T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement for informational purposes only JPMCC has not attempted to validat information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose.
E - Adjusted for option exercise or assignment.

DISPOSAL METHODS

Blank - FIFO (First In First Out); **L** - LIFO (Last In First Out); **S** - Specific Match (Versus Purchase Method); **H** - High Cost Method; **C** - Low Cost Method; **X** - High Cost Long Term

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN

Client Statement

For the period 11/30/2013 to 12/31/2013

THE ROSEMONT FOUNDATION INC.

Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average (1)(4)(9) Unit Cost	Total (1)(4)(9) Cost	Price	Mkt Value	% Portfolio (12)	Annual (6) Income	(10) Yield
CASH EQUIVALENT										
FINANCIAL										
3,177 68	DREYFUS TREAS PRIME CASH MGMT INV SHS	DVRXX	05/14/2013	1 0000	3,177 68	1 0000	3,177 68	0 94	0 00	0 00
TOTAL FINANCIAL					**3,177.68**		**3,177.68**	**0.94**	**0.00**	**0.00**
TOTAL CASH EQUIVALENT					**3,177.68**		**3,177.68**	**0.94**	**0.00**	**0.00**
EQUITIES										
COMMUNICATIONS										
25	AMERICAN TOWER CORPORATION REIT		05/14/2013	83 7733	2,094 33		1,995 50			
50	AMERICAN TOWER CORPORATION REIT		05/30/2013	78 9725	3,948 63		3,991 00			
25	AMERICAN TOWER CORPORATION REIT		08/20/2013	69 4542	1,736 36		1,995 50			
100	**POSITION TOTAL**	AMT		77 7931	7,779 32	79 8200	7,982 00	2 36	116 00	1 45
TOTAL COMMUNICATIONS					**7,779.32**		**7,982.00**	**2.36**	**116.00**	**1.45**
CONSUMER NON-CYCLICAL										
50	IHS INC CL A	IHS	05/17/2013	103 9907	5,199 54	119 7000	5,985 00	1 77	0 00	0 00
35	UNITED RENTALS INC		07/08/2013	51 6106	1,806 37		2,728 25			
25	UNITED RENTALS INC		09/11/2013	54 2215	1,355 54		1,948 75			
60	**POSITION TOTAL**	URI		52 6985	3,161 91	77 9500	4,677 00	1 38	0 00	0 00

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Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt Value	% Portfolio (12)	Annual Income (6)	Yield (10)
EQUITIES (Continued)										
	TOTAL CONSUMER NON-CYCLICAL				**8,361.45**		**10,662.00**	**3.15**	**0.00**	**0.00**
	CONSUMER CYCLICALS									
20	AMAZON COM INC	AMZN	05/15/2013	267 7473	5,354 95	398 7900	7,975 80	2 36	0 00	0 00
50	***DELPHI AUTOMOTIVE PLC SHS US LISTED	DLPH	05/20/2013	48 7000	2,435 00	60 1300	3,006 50	0 89	34 00	1 13
100	GENERAL MOTORS COMPANY	GM	11/14/2013	38 5479	3,854 79	40 8700	4,087 00	1 21	0 00	0 00
	TOTAL CONSUMER CYCLICALS				**11,644.74**		**15,069.30**	**4.46**	**34.00**	**0.23**
	ENERGY									
154	ENBRIDGE ENERGY MANAGEMENT LLC SHS UNITS REPSTG LTD LIABILITY COMPANY INTERESTS		05/14/2013	29 5792	4,555 20		4,416 72			
1	ENBRIDGE ENERGY MANAGEMENT LLC SHS UNITS REPSTG LTD LIABILITY COMPANY INTERESTS		11/27/2013	0 0100	0 01		28 68			
155	**POSITION TOTAL**	EEQ		29 3885	4,555 21	28 6800	4,445 40	1 31	334 67	7 53
39,445	ENBRIDGE ENRGY MGMT LLC FRACTIONAL 100000THS SHS	CKC50X106	11/22/2013	0 0100	0 00	0 0000	0 00	0 00	0 00	0 00
35	PIONEER NATURAL RESOURCES COMPANY	PXD	05/15/2013	138 9563	4,863 47	184 0700	6,442 45	1 91	2 80	0 04
150	QEP RESOURCES INC	QEP	05/16/2013	28 8649	4,329 74	30 6500	4,597 50	1 36	12 00	0 26
35	RANGE RESOURCES CORP		07/25/2013	80 8652	2,830 28		2,950 85			
15	RANGE RESOURCES CORP		08/22/2013	77 1448	1,157 17		1,264 65			
50	**POSITION TOTAL**	RRC		79 7491	3,987 45	84 3100	4,215 50	1 25	8 00	0 19

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Quantity	Description	Ticker/Cusip	Purchase Date	Average [1][4][9] Unit Cost	Total [1][4][9] Cost	Price	Mkt Value	% Portfolio [12]	Annual [6] Income	Yield [10]
EQUITIES (Continued)										
150	***SEADRILL PARTNERS LLC COM UNIT REPSTG LTD LIABILITY CO INT	SDLP	12/04/2013	29 5000	4,425 00	31 0000	4,650 00	1 38	256 50	5 52
150	***TEEKAY OFFSHORE PARTNERS LP	TOO	05/14/2013	32 1100	4,816 50	33 0900	4,963 50	1 47	315 15	6 35
TOTAL ENERGY					**26,977.37**		**29,314.35**	**8.68**	**929.12**	**3.17**
FINANCIAL										
100	AMERICAN INTERNATIONAL GROUP INC	AIG	05/20/2013	45 2607	4,526 07	51 0500	5,105 00	1 51	40 00	0 78
15	BLACKROCK INC	BLK	06/21/2013	249 1465	3,737 20	316 4700	4,747 05	1 40	100 80	2 12
100	JPMORGAN CHASE & CO	JPM	10/04/2013	52 1753	5,217 53	58 4800	5,848 00	1 73	152 00	2 60
TOTAL FINANCIAL					**13,480.80**		**15,700.05**	**4.64**	**292.80**	**1.86**
HEALTHCARE										
40	C R BARD INC	BCR	05/16/2013	106 7571	4,270 28	133 9400	5,357 60	1 58	33 60	0 63
100	EXPRESS SCRIPTS HOLDING COMPANY	ESRX	05/14/2013	61 5986	6,159 86	70 2400	7,024 00	2 08	0 00	0 00
50	JOHNSON & JOHNSON		05/15/2013	87 1054	4,355 27		4,579 50			
50	JOHNSON & JOHNSON		05/20/2013	87 9900	4,399 50		4,579 50			
100	**POSITION TOTAL**	JNJ		87 5477	8,754 77	91 5900	9,159 00	2 71	264 00	2 88
TOTAL HEALTHCARE					**19,184.91**		**21,540.60**	**6.37**	**297.60**	**1.38**
INDUSTRIALS										
50	BOEING CO	BA	05/20/2013	98 6097	4,930 49	136 4900	6,824 50	2 02	146 00	2 14
50	***TEEKAY CORPORATION MARSHALL ISLAND		05/15/2013	36 6800	1,834 00		2,400 50			

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Quantity	Description	Ticker/Cusip	Purchase Date	Average[1][4][9] Unit Cost	Total[1][4][9] Cost	Price	Mkt Value	% Portfolio[12]	Annual[6] Income	Yield[10]
EQUITIES (Continued)										
100	***TEEKAY CORPORATION MARSHALL ISLAND		08/19/2013	37 5000	3,750 00		4,801 00			
150	**POSITION TOTAL**	TK		37 2267	5,584 00	48 0100	7,201 50	2 13	189 75	2 63
25	UNION PACIFIC CORP	UNP	06/05/2013	154 8324	3,870 81	168 0000	4,200 00	1 24	79 00	1 88
TOTAL INDUSTRIALS					**14,385.30**		**18,226.00**	**5.39**	**414.75**	**2.28**
TECHNOLOGY										
3	GOOGLE INC CL A	GOOG	09/26/2013	877 6997	2,633 10	1,120 7100	3,362 13	0 99	0 00	0 00
40	INTUIT INC	INTU	09/25/2013	66 3280	2,653 12	76 3200	3,052 80	0 90	30 40	1 00
TOTAL TECHNOLOGY					**5,286.22**		**6,414.93**	**1.89**	**30.40**	**0.47**
UTILITIES										
250	CALPINE CORPORATION		05/14/2013	20 8810	5,220 25		4,877 50			
47	CALPINE CORPORATION		07/11/2013	21 5994	1,015 17		916 97			
2	CALPINE CORPORATION		07/11/2013	21 5994	43 20		39 02			
1	CALPINE CORPORATION		07/11/2013	21 5994	21 60		19 51			
300	**POSITION TOTAL**	CPN		21 0007	6,300 22	19 5100	5,853 00	1 73	0 00	0 00
50	ITC HOLDINGS CORP		05/14/2013	87 8995	4,394 98		4,791 00			
25	ITC HOLDINGS CORP		09/06/2013	88 8208	2,220 52		2,395 50			
75	**POSITION TOTAL**	ITC		88 2066	6,615 50	95 8200	7,186 50	2 13	127 50	1 77
50	NEXTERA ENERGY INC	NEE	05/14/2013	80 2808	4,014 04	85 6200	4,281 00	1 27	132 00	3 08
TOTAL UTILITIES					**16,929.76**		**17,320.50**	**5.13**	**259.50**	**1.50**
TOTAL EQUITIES					**124,029.87**		**142,229.73**	**42.07**	**2,374.17**	**1.67**

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Quantity	Description	Ticker/Cusip	Purchase Date	Average[(1)(4)(9)] Unit Cost	Total[(1)(4)(9)] Cost	Price	Mkt Value	% Portfolio[(12)]	Annual[(6)] Income	Yield[(10)]
CORPORATE BONDS										
CORPORATE DEBT										
10,000	FORD MTR CR CO SR NTS DATED DATE 04/09/10 ORIGINAL ISSUE DISCOUNT DUE 04/15/2015 7 000% AO 15	345397VN0	07/11/2013	108 4920	10,849 20	107 6370	10,763 70	3 18	700 00	0 96
TOTAL CORPORATE DEBT					**10,849.20**		**10,763.70**	**3.18**	**700.00**	**0.96**
CORPORATE DEBT - HIGH YIELD										
10,000	CELANESE US HLDGS LLC DATED DATE 04/12/11 PAR CALL 10/15/2016 DUE 10/15/2018 6 625% AO 15 CALL 10/15/14 103 313	15089QAB0	06/07/2013	107 2500	10,725 00	106 7500	10,675 00	3 16	662 50	2 06
15,000	CROWN CASTLE INTL CORP SR NT DATED DATE 10/23/09 PAR CALL 11/01/2017 DUE 11/01/2019 7 125% MN 01	228227BA1	05/14/2013	110 0000	16,500 00	107 7500	16,162 50	4 78	1,068 75	1 88
10,000	GENESIS ENERGY LP/ GENESIS FIN SR NT DATED DATE 11/09/11 PAR CALL 12/15/2016 DUE 12/15/2018 7 875% JD 15	37185LAB8	05/16/2013	110 0000	11,000 00	107 7500	10,775 00	3 19	787 50	3 58
10,000	MARKWEST ENERGY PARTNERS LP / FIN CORP SR NT DATED DATE 11/02/10 PAR CALL 11/01/2018 DUE 11/01/2020 6 750% MN 01	570506AM7	06/27/2013	106 0000	10,600 00	108 5000	10,850 00	3 21	675 00	3 69

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Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt Value	% Portfolio (12)	Annual Income (6)	Yield (10)
CORPORATE BONDS (Continued)										
10,000	RANGE RES CORP SR SUB NT DATED DATE 08/12/10 PAR CALL 08/01/2018 DUE 08/01/2020 6 750% FA 01	75281AAL3	05/20/2013	110 0000	11,000 00	108 2500	10,825 00	3 20	675 00	3 42
10,000	REGAL CINEMAS CORP GTD SR NT 19 PAR CALL 07/15/2017 ORIGINAL ISSUE DISCOUNT DUE 07/15/2019 8 625% JJ 15	758753AD9	06/25/2013	107 0000	10,700 00	107 2500	10,725 00	3 17	862 50	2 82
5,000	UNITED RENTALS NORTH AMER INC DATED DATE 01/09/13 PAR CALL 07/15/2017 DUE 07/15/2018 5 750% JJ 15 CALL 07/15/15 102 875		07/18/2013	107 9500	5,397 50		5,343 75			
5,000	UNITED RENTALS NORTH AMER INC DATED DATE 01/09/13 PAR CALL 07/15/2017 DUE 07/15/2018 5 750% JJ 15 CALL 07/15/15 102 875		07/18/2013	108 2500	5,412 50		5,343 75			
10,000	**POSITION TOTAL**	911365AY0		108 1000	10,810 00	106 8750	10,687 50	3 16	575 00	2 96
TOTAL CORPORATE DEBT - HIGH YIELD					**81,335.00**		**80,700.00**	**23.87**	**5,306.25**	**2.85**
CORPORATE DEBT - FLOATING RATE										
10,000	CITIGROUP INC DATED DATE 07/25/13 DUE 07/25/2016 1 225% JAJO 25	172967GV7	07/18/2013	100 0000	10,000 00	101 0390	10,103 90	2 99	119 84	0 80
10,000	GENERAL ELEC CAP CORP UNSECURED DATED DATE 07/12/13 DUE 07/12/2016 893% JAJO 12	36962G7A6	07/09/2013	100 0000	10,000 00	100 7020	10,070 20	2 98	89 36	0 62

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Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost [1][4][9]	Total Cost [1][4][9]	Price	Mkt Value	% Portfolio [12]	Annual Income [6]	Yield [10]
CORPORATE BONDS (Continued)										
	TOTAL CORPORATE DEBT - FLOATING RATE				**20,000.00**		**20,174.10**	**5.97**	**209.20**	**0.71**
	TOTAL CORPORATE BONDS				**112,184.20**		**111,637.80**	**33.02**	**6,215.45**	**2.28**
FIXED INCOME TAXABLE FUNDS										
LONG TERM BOND MUTUAL FUND										
7,797 271	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		08/19/2013	10 2600	80,000 00		80,233 92			
8 567	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		09/03/2013	10 2300	87 64		88 15			
25 512	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		10/01/2013	10 2300	260 99		262 52			
25 925	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		11/01/2013	10 2900	266 77		266 77			
24 373	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		12/02/2013	10 2800	250 55		250 80			
7,881 648	**POSITION TOTAL**	NFIIX		10 2600	80,865 95	10 2900	81,102 16	23 98	2,952 12	3 64
	TOTAL LONG TERM BOND MUTUAL FUND				**80,865.95**		**81,102.16**	**23.98**	**2,952.12**	**3.64**
	TOTAL FIXED INCOME TAXABLE FUNDS				**80,865.95**		**81,102.16**	**23.98**	**2,952.12**	**3.64**
	GRAND TOTAL [5]				**320,257.70**		**338,147.37**	**100.00**	**11,541.74**	**3.41**

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Quantity	Description	Ticker/Cusip	Purchase Date	Average (1)(4)(9) Unit Cost	Total (1)(4)(9) Cost	Price	Mkt Value	% Portfolio (12)	Annual (6) Income	(10) Yield
	CASH EQUIVALENT									
	FINANCIAL									
1,382 98	DREYFUS TREAS PRIME CASH MGMT INV SHS	DVRXX	07/24/2013	1 0000	1,382 98	1 0000	1,382 98	0 76	0 00	0 00
	TOTAL FINANCIAL				**1,382.98**		**1,382.98**	**0.76**	**0.00**	**0.00**
	TOTAL CASH EQUIVALENT				**1,382.98**		**1,382.98**	**0.76**	**0.00**	**0.00**
	EQUITIES									
	CONSUMER CYCLICALS									
100	HILTON WORLDWIDE HOLDINGS INC	HLT	12/12/2013	20 0000	2,000 00	22 2500	2,225 00	1 22	0 00	0 00
	TOTAL CONSUMER CYCLICALS				**2,000.00**		**2,225.00**	**1.22**	**0.00**	**0.00**
	TOTAL EQUITIES				**2,000.00**		**2,225.00**	**1.22**	**0.00**	**0.00**
	EQUITY MUTUAL FUNDS									
	FINANCIAL									
723 938	**NEUBERGER & BERMAN INTERNATIONAL EQUITY FUND INST		05/16/2013	10 3600	7,500 00		8,137 06			
733 855	**NEUBERGER & BERMAN INTERNATIONAL EQUITY FUND INST		05/23/2013	10 2200	7,500 00		8,248 53			
742 574	**NEUBERGER & BERMAN INTERNATIONAL EQUITY FUND INST		06/06/2013	10 1000	7,500 00		8,346 53			
737 463	**NEUBERGER & BERMAN INTERNATIONAL EQUITY FUND INST		06/13/2013	10 1700	7,500 00		8,289 08			
54 653	**NEUBERGER & BERMAN INTERNATIONAL EQUITY FUND INST		12/17/2013	10 8100	590 80		614 30			

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EQUITY MUTUAL FUNDS (Continued)										
2,992 483	**POSITION TOTAL**	NBIIX		10 2225	30,590 80	11 2400	33,635 51	18 42	601 49	1 79
1,468 285	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		05/16/2013	12 7700	18,750 00		17,707 52			
1,484 561	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		05/23/2013	12 6300	18,750 00		17,903 81			
1,524 39	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		06/06/2013	12 3000	18,750 00		18,384 14			
1,526 873	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		06/13/2013	12 2800	18,750 00		18,414 09			
43 075	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		06/24/2013	11 8200	509 15		519 48			
43 534	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		09/24/2013	12 2100	531 55		525 02			
255 478	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		12/17/2013	11 7200	2,994 20		3,081 06			
8 939	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		12/17/2013	11 7200	104 76		107 80			
27 491	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL		12/17/2013	11 7200	322 20		331 54			
6,382 626	**POSITION TOTAL**	NBHIX		12 4497	79,461 86	12 0600	76,974 47	42 16	2,125 41	2 76
291 886	**NEUBERGER & BERMAN EQUITY FD EMERGING MKTS EQUITY FD INST		05/16/2013	17 1300	5,000 00		4,862 82			
296 736	**NEUBERGER & BERMAN EQUITY FD EMERGING MKTS EQUITY FD INST		05/23/2013	16 8500	5,000 00		4,943 62			
305 81	**NEUBERGER & BERMAN EQUITY FD EMERGING MKTS EQUITY FD INST		06/06/2013	16 3500	5,000 00		5,094 79			
316 256	**NEUBERGER & BERMAN EQUITY FD EMERGING MKTS EQUITY FD INST		06/13/2013	15 8100	5,000 00		5,268 82			

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EQUITY MUTUAL FUNDS (Continued)										
6 389	**NEUBERGER & BERMAN EQUITY FD EMERGING MKTS EQUITY FD INST		12/17/2013	16 3900	104 72		106 44			
1,217 077	**POSITION TOTAL**	NEMIX		16 5189	20,104 72	16 6600	20,276 50	11 10	105 89	0 52
TOTAL FINANCIAL					**130,157.38**		**130,886.48**	**71.68**	**2,832.79**	**2.16**
TOTAL EQUITY MUTUAL FUNDS					**130,157.38**		**130,886.48**	**71.68**	**2,832.79**	**2.16**
FIXED INCOME TAXABLE FUNDS										
LONG TERM BOND MUTUAL FUND										
4,579 118	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		05/16/2013	10 3700	47,485 45		47,119 12			
6 851	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		06/03/2013	10 3200	70 70		70 50			
14 993	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		07/01/2013	10 2000	152 93		154 28			
14 671	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		08/01/2013	10 3000	151 11		150 96			
14 164	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		09/03/2013	10 2300	144 90		145 75			
15 129	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		10/01/2013	10 2300	154 77		155 68			
15 373	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		11/01/2013	10 2900	158 19		158 19			
14 452	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL		12/02/2013	10 2800	148 57		148 71			
4,674 751	**POSITION TOTAL**	NFIIX		10 3677	48,466 62	10 2900	48,103 19	26 34	1,750 96	3 64

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Quantity	Description	Ticker/Cusip	Purchase Date	Average [1][4][9] Unit Cost	Total [1][4][9] Cost	Price	Mkt Value	% Portfolio [12]	Annual [6] Income	Yield [10]
FIXED INCOME TAXABLE FUNDS (Continued)										
	TOTAL LONG TERM BOND MUTUAL FUND				**48,466.62**		**48,103.19**	**26.34**	**1,750.96**	**3.64**
	TOTAL FIXED INCOME TAXABLE FUNDS				**48,466.62**		**48,103.19**	**26.34**	**1,750.96**	**3.64**
	GRAND TOTAL [5]				**182,006.98**		**182,597.65**	**100.00**	**4,583.75**	**2.51**