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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation JOHN M HOPWOOD CHARITABLE TRUST		A Employer identification number 25-6022634	
Number and street (or P O box number if mail is not delivered to street address) PNC BANK 620 LIBERTY AVENUE NO 10THFL		Room/suite	B Telephone number (see instructions) (412) 762-3787
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15222705		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 27,332,739		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	851	851		
	4 Dividends and interest from securities.	653,579	653,628		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,587,453			
	b Gross sales price for all assets on line 6a 4,981,343				
	7 Capital gain net income (from Part IV, line 2) . . .		1,647,638		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	15,345	-3,233		
	12 Total. Add lines 1 through 11	2,257,228	2,298,884		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	193,389	135,372		58,017
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	855	0		855
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	7,397	3,097		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	49,897	24,330		25,567
	24 Total operating and administrative expenses. Add lines 13 through 23	251,538	162,799		84,439
	25 Contributions, gifts, grants paid	1,155,848			1,155,848
	26 Total expenses and disbursements. Add lines 24 and 25	1,407,386	162,799		1,240,287
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	849,842			
	b Net investment income (if negative, enter -0-)		2,136,085		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	4,441,749	6,530,075	6,530,075
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	849,934	 113,186	126,386
	b	Investments—corporate stock (attach schedule)	9,182,908	 9,083,087	18,113,538
	c	Investments—corporate bonds (attach schedule).	1,162,721	 367,094	438,164
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,708,764	 2,102,482	2,124,576
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	17,346,076	18,195,924	27,332,739
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	17,270,233	18,126,896	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	75,843	69,028	
	30	Total net assets or fund balances (see page 17 of the instructions)	17,346,076	18,195,924	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	17,346,076	18,195,924	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	17,346,076
2	Enter amount from Part I, line 27a	2	849,842
3	Other increases not included in line 2 (itemize) ▶  _____	3	6
4	Add lines 1, 2, and 3	4	18,195,924
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	18,195,924

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SALES OF PUBLICLY TRADED SECURITIES			
b	PROCEEDS CLASS ACTION SETTLEMENTS		P	
c	ENTERPRISE - 1231 GAIN		P	
d	CAPITAL GAINS DIVIDENDS		P	
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,980,813		3,333,770	1,647,043
b 19			19
c 46			46
d 530			530
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			1,647,043
b			19
c			46
d			530
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,647,638
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,155,279	24,002,450	0 048132
2011	1,047,319	23,146,261	0 045248
2010	781,020	22,782,898	0 034281
2009	909,682	21,604,262	0 042107
2008	1,034,813	23,516,185	0 044004

2 Total of line 1, column (d).	2	0 213772
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 042754
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	25,665,273
5 Multiply line 4 by line 3.	5	1,097,293
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	21,361
7 Add lines 5 and 6.	7	1,118,654
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,240,287

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	21,361
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	21,361
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	21,361
6 Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,600
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	8,600
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	12,761
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded		11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions)			
PA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?			
If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶PNC BANK NA Telephone no ▶(412) 762-3787 Located at ▶620 LIBERTY AVENUE 10TH FLOOR PITTSBURGH PA ZIP +4 ▶15222705			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK	CO-TRUSTEE	128,925	0	0
620 LIBERTY AVENUE PITTSBURGH, PA 15222	22 00			
WILLIAM T HOPWOOD	CO-TRUSTEE	64,464	0	0
706 BUNKER ROAD PO BOX 239 ELKINS, NH 03233	11 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments. See page 24 of the instructions.	
3	0
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	26,056,115
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	26,056,115
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	26,056,115
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	390,842
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	25,665,273
6	Minimum investment return. Enter 5% of line 5.	6	1,283,264

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,283,264
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	21,361
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	21,361
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,261,903
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,261,903
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,261,903

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,240,287
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,240,287
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	21,361
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,218,926
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,261,903
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			11,946	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,240,287				
a Applied to 2012, but not more than line 2a			11,946	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				1,228,341
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				33,562
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009.				
b Excess from 2010.				
c Excess from 2011.				
d Excess from 2012.				
e Excess from 2013.				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JOHN M HOPWOOD CHARITABLE TRUST PNC
ATTN CHARITABLE TR COMM 620 LIBERTY
AVE 25TH FLOOR
PITTSBURGH, PA 15222
(412) 762-3576

b

The form in which applications should be submitted and information and materials they should include

LETTER FORM - NO ELECTRONIC MEDIA (VIDEOS OR COMPUTER DISKS)

c

Any submission deadlines

SEPTEMBER 30TH

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

LIMITED TO CORPORATIONS OR ASSOCIATIONS ORGANIZED AND OPERATED EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,155,848
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
			GENERAL PURPOSE	0
ALZHEIMER PARKINSON ASSOCIATION 2300 5TH AVENUE SUITE 150 VERO BEACH,FL 32960	NONE	PUBLIC CHARITY	GENERAL PURPOSE	2,000
AROOSTOOK TEEN LEADERSHIP CENTER PROGRAM COORDINATOR CARIBOU,ME 04736	NONE	PUBLIC CHARITY	SUPPORT OF ARROOSTOOK TEEN LEADERSHIP CAMP	15,000
BAT CONSERVATION INTERNATIONAL INC PO BOX 162603 AUSTIN,TX 78716	NONE	PUBLIC CHARITY	COLLABORATIVE WORKSHOP REGARDING BAT BEHAVIOR RELATED TO WIND ENERGY	15,000
BAY CHAMBER CONCERTS 18 CENTRAL ST PO BOX 599 ROCKPORT,ME 04856	NONE	PUBLIC CHARITY	FUNDING FOR ODEON ORCHESTRA PROGRAM	7,500
BIGELOW LAB FOR OCEAN SCIENCES 60 BIGELOW DR EAST BOOTHBAY,ME 04544	NONE	PUBLIC CHARITY	EXPAND SCIENCE, EDUCATION OFFERINGS AND INDUSTRY PARTNERSHIPS	35,000
CHILDCARE RESOURCES OF INDIAN RIVER INC 1801 24TH STREEET VERO BEACH,FL 32960	NONE	PUBLIC CHARITY	GENERAL PURPOSE	5,000
CITIZENS COAL COUNCIL 605 TAYLOR WAY BRIDGEVILLE,PA 15017	NONE	PUBLIC CHARITY	NATIONAL REGULATION OF COAL COMBUSTION WASTE	30,000
CITIZENS FOR HISTORIC PRESERVATION 363 BELFAST ROAD CAMDEN,ME 04843	NONE	PUBLIC CHARITY	FUNDING FOR FILM "THE MILL AT FREEDOM FALLS"	10,000
CLEAN WATER FUND OF NORTH CAROLINA 19 1/2 PAGE AVENUE ASHEVILLE,NC 28801	NONE	PUBLIC CHARITY	GENERAL PURPOSE	3,000
COASTAL MOUNTAINS LAND TRUST 101 MT BATTIE STREET CAMDEN,ME 04843	NONE	PUBLIC CHARITY	BALD AND RAGGED MOUNTAIN CAMPAIGN - PH II	25,000
CYSTIC FIBROSIS FOUNDATION 810 RIVER AVENUE SUITE 100 PITTSBURGH,PA 15212	NONE	PUBLIC CHARITY	CONTINUED RESEARCH AND CARE IN WESTERN PA	10,000
DOLLARS FOR SCHOLARS OF IRC PO BOX 1820 VERO BEACH,FL 32961	NONE	PUBLIC CHARITY	GENERAL PURPOSE	45,000
ENVIRONMENTAL DEFENSE 301 CONGRESS SUITE 301 AUSTIN,TX 78701	NONE	PUBLIC CHARITY	SMART POWER PROGRAM IN NEW HAMPSHIRE	50,000
ENVIRONMENTAL INTEGRITY PROJECT 1000 VERMONT AVENUE NW SUITE 110 WASHINGTON,DC 20005	NONE	PUBLIC CHARITY	OUTREAD TO PA COMMUNITIES AFFECTED BY POLLUTION FROM COAL PLANTS	40,000
Total  3a				1,155,848

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ENVIRONMENTAL LEARNING CENTER 255 LIVE OAK DRIVE VERO BEACH,FL 32963	NONE	PUBLIC CHARITY	GENERAL PURPOSE	8,000
EVERY DREAM HAS A PRICE 414 CONCHA DRIVE SEBASTIAN,FL 32958	NONE	PUBLIC CHARITY	GENERAL PURPOSE	4,635
FAMILY VIOLENCE COALITION OF YANCEY INC PO BOX 602 BURNSVILLE,NC 28714	NONE	PUBLIC CHARITY	GENERAL PURPOSE	3,000
FRIENDS OF MAINE SEABIRD ISLANDS PO BOX 1231 ROCKPORT,ME 04841	NONE	PUBLIC CHARITY	FUNDS FOR SEABIRD NESTING ISLAND IN CURRENT PLAYGROUND AREA	30,000
GIFFORD YOUTH ACTIVITIES CENTER FOUNDATION 4975 43RD AVENUE VERO BEACH,FL 32967	NONE	PUBLIC CHARITY	GENERAL PURPOSE	25,000
HARVEST FOOD AND OUTREACH CENTER 1360 28TH STREET VERO BEACH,FL 32960	NONE	PUBLIC CHARITY	GENERAL PURPOSE	10,000
HOMELESS FAMILY CENTER PO BOX 650855 VERO BEACH,FL 329650855	NONE	PUBLIC CHARITY	GENERAL PURPOSE	2,000
INDIAN RIVER COMMUNITY FOUNDATION PO BOX 643968 VERO BEACH,FL 32964	NONE	PUBLIC CHARITY	ANNUAL UNRESTRICTED FUNDS	5,000
INDIAN RIVER MEDICAL CENTER 1000 - 36TH STREET VERO BEACH,FL 32960	NONE	PUBLIC CHARITY	GENERAL PURPOSE	2,000
JOHN C CAMPBELL FOLK SCHOOL 1 FOLK SCHOOL ROAD BRASSTOWN,NC 28902	NONE	PUBLIC CHARITY	GENERAL PURPOSE	2,000
MAGEE WOMEN'S HOSPITAL MAGEE-WOMENS HEALTH FOUNDATION 300 HALKET ST PITTSBURGH,PA 15213	NONE	PUBLIC CHARITY	CUMMERBUND SOCIETY'S 25TH YEAR FUNDING EFFORTS	5,000
MAINE AUDUBON SOCIETY 20 GILSLAND FARM ROAD FALMOUTH,ME 04105	NONE	PUBLIC CHARITY	SUPPORT PARTNERING WITH PUBLIC & PRIVATE LAND OWNERS PROJECT	15,000
MAINE CONSERVATION ALLIANCE 295 WATER STREET SUITE 9 AUGUSTA,ME 04330	NONE	PUBLIC CHARITY	FUNDING FOR SULFIDE MINING WORK IN MAINE	10,000
MAINE NATURAL AREAS PROGRAM 93 STATE HOUSE STATION AUGUSTA,ME 043330093	NONE	PUBLIC CHARITY	FUNDING FOR NATURAL HERITAGE INVENTORY WORK IN WESTERN MAINE	40,000
MASTER'S ACADEMY OF VERO BEACH INC 1105 - 58TH AVENUE VERO BEACH,FL 32966	NONE	PUBLIC CHARITY	GENERAL PURPOSE	1,000
Total  3a				1,155,848

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MID COAST HEALTH NET DBA KNOX COUNTY HEALTH CLINIC ROCKLAND,ME 04841	NONE	PUBLIC CHARITY	FUNDING TO MEET EVERY GROWING DEMANDS AT THE CLINIC	30,000
MITCHELL-YANCEY HABITAT FOR HUMANITY PO BOX 409 MICAVILLE,NC 28755	NONE	PUBLIC CHARITY	GENERAL PURPOSE	2,000
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON,VA 201905362	NONE	PUBLIC CHARITY	SUPPORT FIGHT TO PREVENT EXPANSION OF COAL & OIL INDUSTRIES	50,000
PATHWAYS FOUNDATION OF SW PA 190 NORTH MAIN STREET WASHINGTON,PA 15301	NONE	PUBLIC CHARITY	DEBT OWED TO PATHWAYS FROM YOUR CHILD'S PLACE, INC	50,000
PEN BAY HEALTHCARE FOUNDATION BOK MEDICAL ARTS BUILDING / 22 WHITE ST ROCKLAND,ME 04841	NONE	PUBLIC CHARITY	FUNDS TO SUPPORT "CRUCIAL CONVERSATION" PROJECT	25,000
PENLAND SCHOOL OF CRAFTS PO BOX 37 PENLAND,NC 28765	NONE	PUBLIC CHARITY	GENERAL PURPOSE	3,000
PITTSBURGH CHILDREN'S MUSEUM 10 CHILDRENS WAY PITTSBURGH,PA 15212	NONE	PUBLIC CHARITY	SUPPORT OF MAKE SHOP AND ITS RELATED EDUCATION PROGRAMS	100,000
ROLLINS COLLEGE 1000 HOLT AVENUE - 2754 WINTER PARK,FL 327894499	NONE	PUBLIC CHARITY	GENERAL PURPOSE	25,000
SENIOR RESOURCE ASSOCIATION 694 14TH STREET VERO BEACH,FL 329605770	NONE	PUBLIC CHARITY	GENERAL PURPOSE	4,635
SHADYSIDE HOSPITAL SUPPORTING FOUNDATION 532 SOUTH AIKEN AVENUE SUITE 302 PITTSBURGH,PA 152321521	NONE	PUBLIC CHARITY	FINAL PMT - PATIENT LOUNGE IN ONCOLOGY INPATIENT UNIT	100,000
SOUTHERN APPALACHIAN HIGHLANDS CONSERVANCY 34 WALL STREET ASHEVILLE,NC 288012710	NONE	PUBLIC CHARITY	GENERAL PURPOSE	15,000
SOUTHERN RECONCILIATION MINISTRIES INC PO BOX 1147 BURNSVILLE,NC 28714	NONE	PUBLIC CHARITY	GENERAL PURPOSE	7,000
ST EDWARDS SCHOOL INCORPORATED PO BOX 1107 VERO BEACH,FL 32960	NONE	PUBLIC CHARITY	GENERAL PURPOSE	75,000
SUNUP ARC P O BOX VERO BEACH,FL 32960	NONE	PUBLIC CHARITY	CAPITAL CAMPAIGN	27,500
SUSTAINABLE MARKETS FOUNDATION 45 WEST 36TH STREET FLOOR 6 NEW YORK,NY 100187635	NONE	PUBLIC CHARITY	CAMPAIGN KOCH-OUT PROGRAM - NEW HAMPSHIRE	25,000
Total  3a				1,155,848

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE AUSBON SARGENT LAND PRESERVATION TRUST PO BOX 2040 NEW LONDON,NH 032572040	NONE	PUBLIC CHARITY	STEWARDSHIP FUNDING	25,000
THE COMMUNITY FOUNDATION OF WNC (THE YANCEY FOUNDATION) PO BOX 966 BURNSVILLE,NC 28714	NONE	PUBLIC CHARITY	GENERAL PURPOSE	5,000
THE GEORGES RIVER LAND TRUST 8 NORTH MAIN STREET SUITE 200 ROCKLAND,ME 04841	NONE	PUBLIC CHARITY	FUNDING FOR STRATEGIC DEVELOPMENT PROGRAM	25,000
THE NATURE CONSERVANCY (MAINE) FORT ANDROSS / 14 MAINE ST SUITE 401 BRUNSWICK,ME 04011	NONE	PUBLIC CHARITY	FUNDS FOR SUPPORT OF PENOBSCOT RIVER RESTORATION PROJECT	25,000
THE SOURCE PO BOX 2458 VERO BEACH,FL 329612458	NONE	PUBLIC CHARITY	GENERAL PURPOSE	1,000
THE TRUST FOR PUBLIC LAND 30 DANFORTH STREET SUITE 106 PORTLAND,ME 04101	NONE	PUBLIC CHARITY	LEADERSHIP GIFT FOR PROTECTION OF CROCKER MOUNTAIN AND ORBETON STREAM	10,000
UNITED MID-COAST CHARITIES INC 21 ELM STREET / PO BOX 205 CAMDEN,ME 04843	NONE	PUBLIC CHARITY	FUNDING PERMANENTLY RESTRICTED ENDOWMENT FUND	15,000
UNITED STATES CONFERENCE OF CATHOLIC BISHOPS ST MARYS HOSPITAL FOUNDATION GRAND JUNCTION,CO 81502	NONE	PUBLIC CHARITY	PURCHASE 2 HILLROM AFFINITY 4 LABOR BEDS	27,000
UNITED WAY OF INDIAN RIVER COUNTY INC PO BOX 1960 VERO BEACH,FL 329611960	NONE	PUBLIC CHARITY	GENERAL PURPOSE	10,000
WHEELING JESUIT UNIVERSITY 316 WASHINGTON AVENUE WHEELING,WV 260036295	NONE	PUBLIC CHARITY	IMPACTS OF LONGWALL MINING ON CENTRAL APPALACHIAN HEADWATER STREAMS	17,578
YANCEY COUNTY HUMANE SOCIETY PO BOX 1016 BURNSVILLE,NC 28714	NONE	PUBLIC CHARITY	GENERAL PURPOSE	1,000
Total  3a				1,155,848

**TY 2013 All Other Program
Related Investments Schedule**

Name: JOHN M HOPWOOD CHARITABLE TRUST
EIN: 25-6022634

Category	Amount
N/A	0

**TY 2013 Investments Corporate
Bonds Schedule**

Name: JOHN M HOPWOOD CHARITABLE TRUST
EIN: 25-6022634

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	367,094	438,164

**TY 2013 Investments Corporate
Stock Schedule****Name:** JOHN M HOPWOOD CHARITABLE TRUST**EIN:** 25-6022634

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS	9,083,087	18,113,538

TY 2013 Investments Government Obligations Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

US Government Securities - End of Year Book Value:	113,186
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US Government Securities - End of Year Fair Market Value:	126,386
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State & Local Government Securities - End of Year Book Value:	0
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State & Local Government Securities - End of Year Fair Market Value:	0
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TY 2013 Investments - Other Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LIMITED PARTNERSHIPS	AT COST	95,310	140,556
MUTUAL FUNDS FIXED	AT COST	2,007,172	1,984,020

TY 2013 Legal Fees Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SHERRARD, GERMAN & KELLY, PC	855	0		855

TY 2013 Other Expenses Schedule**Name:** JOHN M HOPWOOD CHARITABLE TRUST**EIN:** 25-6022634

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSE	35,000	17,500		17,500
PROCESSING FEE ON FOREIGN DIVIDENDS	1,232	1,232		0
MAP MANAGER FEE	5,598	5,598		0
MAINE PHLANTHROPY CENTER MEMBERSHIP RENEWAL	3,500	0		3,500
COUNCIL ON FOUNDATIONS, MEMBERSHIP DUES	2,340	0		2,340
INDIAN RIVER COMMUNITY FOUNDATION ADMIN EXP	2,227	0		2,227

TY 2013 Other Income Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP DISTRIBUTIONS	10,689	0	10,689
LINN ENERGY LLC, LOSS IN FINAL YEAR		-3,233	
CLASS ACTION SETTLEMENT	19		19
FEDERAL EXCISE TAX REFUND	4,637		4,637

TY 2013 Other Increases Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Description	Amount
2012 DIVIDEND REVERSED IN 2013	6

TY 2013 Taxes Schedule**Name:** JOHN M HOPWOOD CHARITABLE TRUST**EIN:** 25-6022634

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID, NET AMOUNT	3,097	3,097		0
EXCISE TAXES - ESTIMATED PAYMENTS	4,300	0		0