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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation CARNEGIE HERO FUND COMMISSION		A Employer identification number 25-1062730
Number and street (or P.O. box number if mail is not delivered to street address) KOPPERS BUILDING, 436 SEVENTH AVENUE	Room/suite 1101	B Telephone number 412-281-1302
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15219-1841		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 46,282,722.	J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	151,325.			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	523,659.	856,026.	523,659.	STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,579,747.			
	b Gross sales price for all assets on line 6a	1,786,647.			
	7 Capital gain net income (from Part IV, line 2)		2,708,750.		
	8 Net short-term capital gain			373,687.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	113,999.	213,415.	113,999.	STATEMENT 2	
12 Total. Add lines 1 through 11	2,368,730.	3,778,191.	1,011,345.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	102,822.	10,282.	10,282.	92,540.
	14 Other employee salaries and wages	345,785.	0.	0.	345,785.
	15 Pension plans, employee benefits	116,245.	2,595.	2,595.	113,650.
	16a Legal fees STMT 3	1,500.	750.	750.	750.
	b Accounting fees STMT 4	71,127.	8,023.	8,023.	63,104.
	c Other professional fees STMT 5	9,759.	0.	0.	9,759.
	17 Interest		47,593.	47,593.	
	18 Taxes STMT 6	34,178.	7,476.	7,476.	33,417.
	19 Depreciation and depletion		649.	649.	
	20 Occupancy	82,674.	0.	0.	82,674.
	21 Travel, conferences, and meetings	15,773.	0.	0.	15,773.
	22 Printing and publications	24,083.	0.	0.	24,083.
	23 Other expenses STMT 7	134,935.	471,252.	471,252.	134,503.
	24 Total operating and administrative expenses. Add lines 13 through 23	938,881.	548,620.	548,620.	916,038.
	25 Contributions, gifts, grants paid	932,110.			932,110.
26 Total expenses and disbursements. Add lines 24 and 25	1,870,991.	548,620.	548,620.	1,848,148.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	497,739.				
b Net investment income (if negative, enter -0-)		3,229,571.			
c Adjusted net income (if negative, enter -0-)			462,725.		

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	111,113.	28,127.	28,127.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	4,297,048.	4,988,320.	4,988,320.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9		37,045,042.	41,266,275.	41,266,275.
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		41,453,203.	46,282,722.	46,282,722.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	41,453,203.	46,134,369.	
	25 Temporarily restricted	0.	148,353.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	41,453,203.	46,282,722.		
31 Total liabilities and net assets/fund balances	41,453,203.	46,282,722.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	41,453,203.
2 Enter amount from Part I, line 27a	2	497,739.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	4,331,780.
4 Add lines 1, 2, and 3	4	46,282,722.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	46,282,722.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a THE TIFF KEYSTONE FUND, LP	P		
b TIFF SHORT TERM FUND	P		
c TIFF MULTI-ASSET FUND - CAPITAL GAIN			
d DIVIDENDS	P		
e TIFF SHORT TERM FUND CCNY	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			2,433,076.
b 1,785,000.		1,785,299.	<299.>
c			
d			275,975.
e 1,647.		1,649.	<2.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			2,433,076.
b			<299.>
c			
d			275,975.
e			<2.>

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2	2,708,750.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3	373,687.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☒ and enter "N/A" on line 1. Date of ruling or determination letter: <u>10/24/85</u> (attach copy of letter if necessary-see instructions)		1	N/A
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
3 Add lines 1 and 2		5	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments:		6c	
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6d		
b Exempt foreign organizations - tax withheld at source	7	0.	
c Tax paid with application for extension of time to file (Form 8868)	8		
d Backup withholding erroneously withheld	9	0.	
7 Total credits and payments. Add lines 6a through 6d	10		
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	11		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ <u>0.</u> (2) On foundation managers. ☐ \$ <u>0.</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ <u>0.</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.CARNEGIEHERO.ORG</u>	13	X	
14	The books are in care of ► <u>WALTER F. RUTKOWSKI</u> Telephone no. ► <u>(412) 281-1302</u> Located at ► <u>436 SEVENTH AVENUE, SUITE 1101, PITTSBURGH, PA</u> ZIP+4 ► <u>15219-1841</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? SEE PART VIII ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? SEE PART IX-A

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		102,822.	25,955.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEFF DOOLEY	INVESTIGATIONS MANAGER			
436 SEVENTH AVE, PGH, PA 15219-1841	40.00	87,920.	34,061.	0.
SUSAN RIZZA	CASE INVESTIGATOR			
436 SEVENTH AVE, PGH, PA 15219-1841	40.00	63,071.	28,825.	0.

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UPMC HEALTH PLAN P.O. BOX 371842, PITTSBURGH, PA 15250-7842	HEALTH CARE	64,352.
INDEPENDENT CONTROLLERS - 101 WEST MALL PLAZA, SUITE 205, CARNEGIE, PA 15106	ACCOUNTING SERVICES	55,080.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 MONTHLY GRANTS AND DISABLEMENT BENEFITS TO HERO SURVIVORS AND TO HEROES WHO HAVE BEEN INJURED WHILE PERFORMING ACTS OF HEROISM. 69 RECIPIENTS.	302,935.
2	
SEE STATEMENT 12	383,940.
3 EDUCATIONAL BENEFITS PAID TO HEROES AND TO THE SURVIVING DEPENDENTS OF HEROES. 29 RECIPIENTS.	209,570.
4 MEDALS & CERTIFICATES: BRONZE-MINTED MEDALS MOUNTED IN WOODEN CASES AND CERTIFICATES.	35,665.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,448,614.
b	Average of monthly cash balances	1b	82,326.
c	Fair market value of all other assets	1c	41,266,275.
d	Total (add lines 1a, b, and c)	1d	45,797,215.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	45,797,215.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	686,958.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	45,110,257.
6	Minimum investment return. Enter 5% of line 5	6	2,255,513.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,848,148.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,848,148.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,848,148.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

10/24/85

b Check box to indicate whether the foundation is a private operating foundation described in section

☒ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
462,725.	907,587.	217,261.	853,862.	2,441,435.
393,316.	771,449.	184,672.	725,783.	2,075,220.
1,848,148.	1,776,330.	1,755,068.	1,762,202.	7,141,748.
0.	0.	0.	0.	0.
1,848,148.	1,776,330.	1,755,068.	1,762,202.	7,141,748.
				0.
				0.
1,503,675.	1,348,604.	1,254,100.	1,322,663.	5,429,042.
				0.
				0.
				0.
				0.

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed **SEE STATEMENT 14**

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

**PRESIDENT AND
SECRETARY**

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐ self-employed

PTIN

MICHAEL M. COMSTOCK

M. M. Wtth. CPA

11/05/14

P00474378

Firm's name ► **SISTERSON & CO. LLP**

Firm's EIN ► 25-1467156

Firm's address ► 310 GRANT STREET SUITE 2100
PITTSBURGH, PA 15219

Phone no. **412-281-2025**

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

CARNEGIE HERO FUND COMMISSION

Employer identification number

25-1062730

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

CARNEGIE HERO FUND COMMISSION**25-1062730****Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>CARNEGIE CORPORATION OF NEW YORK</u> <u>437 MADISON AVENUE</u> <u>NEW YORK, NY 10022</u>	\$ <u>150,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

CARNEGIE HERO FUND COMMISSION**25-1062730****Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization	Employer identification number
CARNEGIE HERO FUND COMMISSION	25-1062730

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MUTUAL FUNDS	140,499.	0.	140,499.	140,499.	140,499.
TIFF KEYSTONE FUND	383,160.	0.	383,160.	715,527.	383,160.
TO PART I, LINE 4	523,659.	0.	523,659.	856,026.	523,659.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTY INCOME FROM PARTNERSHIPS	0.	540.	0.
FOREIGN CURRENCY GAIN(LOSS) FROM PARTNERSHIPS	0.	<18,964.>	0.
OTHER INCOME FROM PARTNERSHIPS	113,966.	231,806.	113,966.
MISCELLANEOUS INCOME	33.	33.	33.
TOTAL TO FORM 990-PF, PART I, LINE 11	113,999.	213,415.	113,999.

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL/ACTUARY	1,500.	750.	750.	750.
TO FM 990-PF, PG 1, LN 16A	1,500.	750.	750.	750.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	71,127.	8,023.	8,023.	63,104.
TO FORM 990-PF, PG 1, LN 16B	71,127.	8,023.	8,023.	63,104.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OUTSIDE SERVICES	9,759.	0.	0.	9,759.
TO FORM 990-PF, PG 1, LN 16C	9,759.	0.	0.	9,759.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	34,178.	761.	761.	33,417.
FOREIGN TAXES PAID/ACCRUED	0.	6,715.	6,715.	0.
TO FORM 990-PF, PG 1, LN 18	34,178.	7,476.	7,476.	33,417.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEES	25,763.	258.	258.	25,505.
UNFUNDED RETIREMENT BENEFITS	25,554.	0.	0.	25,554.
CASE REPORTS/NEWSPAPER INFORMATION	13,223.	0.	0.	13,223.
POSTAGE	17,445.	174.	174.	17,271.
INSURANCE	16,096.	0.	0.	16,096.

SUPPLIES	5,384.	0.	0.	5,384.
EQUIPMENT	14,015.	0.	0.	14,015.
OUTREACH PROJECTS	14,550.	0.	0.	14,550.
GRANT EXPENSES	1,647.	0.	0.	1,647.
MISCELLANEOUS	1,180.	0.	0.	1,180.
SUBSCRIPTIONS AND BOOKS	78.	0.	0.	78.
PORTFOLIO DEDUCTIONS FROM PARTNERSHIPS	0.	470,820.	470,820.	0.
TO FORM 990-PF, PG 1, LN 23	134,935.	471,252.	471,252.	134,503.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
TIFF MULTI-ASSET FUND	4,290,615.	4,290,615.
TIFF SHORT TERM FUND	549,504.	549,504.
TIFF SHORT TERM FUND - CCNY	148,201.	148,201.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,988,320.	4,988,320.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
TIFF KEYSTONE FUND, LP	FMV	41,266,275.	41,266,275.
TOTAL TO FORM 990-PF, PART II, LINE 13		41,266,275.	41,266,275.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 10

NAME OF CONTRIBUTOR

ADDRESS

CARNEGIE CORPORATION OF NEW YORK	437 MADISON AVENUE NEW YORK, NY 10022
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FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS

TITLE AND
AVRG HRS/WKCOMPEN-
SATIONEMPLOYEE
BEN PLAN EXPENSE
CONTRIB ACCOUNT

WALTER F. RUTKOWSKI
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

EXECUTIVE DIRECTOR/SECRETARY
40.00

99,522. 25,955. 0.

MARK J. LASKOW
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

PRESIDENT
0.26

3,300. 0. 0.

PRISCILLA J. MCCRADY
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

VICE PRESIDENT
0.26

0. 0. 0.

THOMAS J. WENTLING JR.
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

TRUSTEE
0.19

0. 0. 0.

DAN D. SANDMAN
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

TREASURER
0.26

0. 0. 0.

ALBERT H. BURCHFIELD III
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

TRUSTEE
0.19

0. 0. 0.

NANCY L. RACKOFF
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

TRUSTEE
0.19

0. 0. 0.

ANN M. MCGUINN
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

TRUSTEE
0.19

0. 0. 0.

FRANK BROOKS ROBINSON 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
ROBERT J. CINDRICH 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
DAVID MCL. HILLMAN 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
SYBIL P. VEEDER, PHD. 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
LINDA T. HILLS 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
THOMAS J. HILLIARD, JR. 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
CHRISTOPHER MCCRADY 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
PETER J. LAMBROU 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
ARTHUR M. SCULLY III 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
SUSANNE C. WEAN 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
JOSEPH C. WALTON 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
ROBERT M. HERNANDEZ 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.
NATHALIE LEMIEUX 436 SEVENTH AVENUE PITTSBURGH, PA 15219	TRUSTEE 0.19	0.	0.	0.

MICHAEL A. THOMPSON
436 SEVENTH AVENUE
PITTSBURGH, PA 15219

TRUSTEE

0.19

0.

0.

0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

102,822.

25,955.

0.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 12

ACTIVITY TWO

HEROIC RECOGNITION AWARDS, WHICH ARE GRANTS PAID TO THE
YEAR'S AWARDEES OR THEIR SURVIVORS IN RECOGNITION OF
OUTSTANDING ACTS OF HEROISM. FUNERAL BENEFITS PAID ON BEHALF
OF HEROES WHO DIED PERFORMING HEROIC ACTS. TOTAL 83
RECIPIENTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

383,940.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JEFFREY A. DOOLEY, INVESTIGATIONS MANAGER
436 SEVENTH AVENUE, SUITE 1101
PITTSBURGH, PA 15219

TELEPHONE NUMBER

412-281-1302

FORM AND CONTENT OF APPLICATIONS

A LETTER PROVIDING DATE, TIME AND PLACE OF HEROIC ACTION, AND ADDRESS OF
HERO AND WITNESS.

ANY SUBMISSION DEADLINES

WITHIN TWO YEARS OF THE DATE OF THE HEROIC ACT

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE COMMISSION STRIVES TO BE FAIR AND CONSISTENT IN THE ASSESSMENT OF EACH
CASE, JUDGING IT ON ITS OWN MERITS AGAINST CERTAIN CRITERIA. THE COMMISSION
DOES NOT DISCRIMINATE ON THE BASIS OF RACE, SEX, CREED, OR NATIONAL ORIGIN.
THERE MUST BE CONCLUSIVE EVIDENCE TO SUPPORT THE THREAT TO THE VICTIM'S
LIFE, THE RESCUER'S DEGREE OF RESPONSIBILITY, AND THE ACT'S OCCURENCE. THE
ACT MUST BE PERFORMED IN ONE OF THE 50 AMERICAN STATES, THE DISTRICT OF
COLUMBIA, OR 12 CANADIAN PROVINCES AND TERRITORIES OR THE WATERS THEREOF
(12 NAUTICAL MILES). THE ACT MUST BE BROUGHT TO THE ATTENTION OF THE
COMMISSION WITHIN TWO YEARS OF THE DATE OF ITS OCCURENCE. MEMBERS OF THE
MILITARY ARE INELIGIBLE. "MILITARY" INCLUDES COAST GUARD AND MEMBERS OF THE
NATIONAL GUARD AND RESERVES WHO HAVE BEEN ACTIVATED FOR TRAINING OR
OTHERWISE, ARE ON ANNUAL TRAINING OR OTHERWISE ARE IN UNIFORM UNDER

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A - 2D (CONTINUATION)

STATEMENT 14

RESTRICTIONS AND LIMITATIONS ON AWARDS

MILITARY CONTROL.

FORM 990-PF, PART XV, LINE 3A
CARNEGIE HERO FUND COMMISSION GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR
JANUARY 1, 2013 - DECEMBER 31, 2013

Recipient Name	Address	Relationship	Foundation Status	Purpose of Grant	Amount
Phyllis H. Alena	Evergreen Court, 900-124th Ave NE Apt 100, Bellevue, WA 98005	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 6,045 00
Malcolm R. Aspeslet	15718-96 Avenue, Surrey, BC, Canada V4N 2L2	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,850 00
Mamie M. Bailey	P O Box 115, Charlestown, WV 25414	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,160 00
Sharon J. Baker	P O Box 276, Boulder, MT 59632	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,850 00
Roy J. Bartlett	824 S Michigan Ave., Wellston, OH 45692	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 2,280 00
Alaina L. Beach	249 Baldwin, Pontiac, MI 48341	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 250 00
Margaret L. Beam	39 Plum Street, Griffin, GA 30223	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 6,045 00
Leora Blue-Ringseth	5800 61st Street, Sacramento, CA 95824-3123	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,400 00
John J. Brosnan	44 Tynan Street, Staten Island, NY 10312	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,525 00
Clementine U. Brown	642-255 Circle Oaks Drive, McArthur, CA 96056	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,825 00
Nancy Browning	155 Campbell Court, Gadsden, AL 35903	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 240 00
Millie M. Bruner	911 Blue River Way, Apt. D, Shelbyville, IN 46176	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 6,175 00
Pauline K. Callicott	30780 U S Highway 43, Gallion, AL 36742	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 2,275 00
Edna J. Campuzano	P O Box 1004, Warm Springs, OR 97761	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,900 00
Teresa E. Carpenter	1567 Airline Avenue, Toledo, OH 43609	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,290 00
Son Ngoc & Ngoc Anh Chau	1143 Piikoi Place, Honolulu, HI 96822	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,290 00
Joyce E. Clevenger	P O Box 571, Paintsville, KY 41240	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,700 00
Jamie Cooper	710 Bolestown Road, Alpine, TN 38543	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,940 00
Donna Cortese	483B Curry Avenue Hemmingford, QC, Canada J0L 1H0	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 6,500 00
Adriana Crisantos	11111 Dallas Drive, Garden Grove, CA 92840	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,200 00
Mana P. Day	14737 Cambria Street, Fontana, CA 92335-2521	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 2,100 00
Carmen F. & Virginia L. DeGregorio	1812 Circle Drive, Millville, NJ 08332	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 1,800 00
Brittney & Damien Diaz	2728 116th Street, Everett, WA 98208	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,050 00
Dawn C. Dickinson	2728 116th Street, Everett, WA 98208	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,250 00
Shirley M. Francis	P O Box 7499 87 Lakeview Drive Eskasoni, NS, Canada B1W 1A7	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,680 00
Donald W. Fredenck	14211 Dubois Road, Beaumont, TX 77705	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 2,275 00
Dawn Geddis	2050 North 61st Avenue, Hollywood, FL 33024	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,900 00
Maria Gonzalez	7821 N 37th Street, Richland, MI 49083	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 2,000 00
Teresa F. Gray	Box 14, 4165 Belleville Drive, Lincoln, NE 68521	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,200 00
Flordalma Halyard	8026 Felix Ave., Bellgardens, CA 90201	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 7,125 00

Recipient Name	Address	Relationship	Foundation Status	Purpose of Grant	Amount
Barbara Hansen	384 Gateway Avenue, Clovis, CA 93612	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,200 00
Judith R. Hanson	1940 Margaret Street, St. Paul, MN 55119	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,310 00
George D. Hemphill	3784 Cove Road, Union Mills, NC 28167	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 2,405.00
Frank L. Hubbard	P O Box 53, Goldston, NC 27252	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,900 00
Julie Ann Kilby	P O Box 293, Quarryville, PA 17566	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 7,150 00
Carol J. Kittelson	1154 N Oak Street, Lake City, MN 55041	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,170 00
Frances D. Knaggs	1524 Long Pond Drive, Valrico, FL 33594	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,395 00
Leslie Landrum	1614 Emmet Street, Omaha, NE 68110	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,525 00
Azela A. Leftridge	2014 Market Street Apt 1, Harrisburg, PA 17103	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,005 00
Daisy Martinez	341 E 56th Street, Hialeah, FL 33013	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,810 00
Laverne M. McDaniel	2910 N Eastman Road, Apt. 105, Longview, TX 75605	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,900 00
Lorena L. McKeown	202 West Madison Street, Holden, MO 64040	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,370 00
Betty E. Merkel	149 Movilla Drive, Berlin, PA 15530	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,005 00
Lynda M. Monteith	6078 Southpointe Drive, Burlington, KY 41005	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,525 00
Jeremy M. Nagel	4175 Ivanhoe Drive, Apt 211, Monroeville, PA 15146	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 6,975 00
Morian O'Bryan	2250 Menomonee Court, Orlando, FL 32818	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 7,150 00
Pamela G. Pritchard	P O Box 301, Stratford, IA 50249	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,200 00
Dorothy M. Rice	11 Pebble Court, Edwardsville, IL, 62025-3995	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,575 00
Suzanne L. Rice	4619 North Michigan Avenue, Apt 238, Portland, OR 97217	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,965 00
Jan K. Ringelsen	4643 Truman Lane, Virginia Beach, VA 23455	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,950 00
Margie Self	P O Box 423, Mountain Pine, AR 71956	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,200 00
Valerie A. Shaw	304 North Kansas Avenue, Ellsworth, KS 67439	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,900 00
Mary H. Shelby	P O Box 427, Jenkins, KY 41537	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,640 00
John C. Stubner	766 North Gary Avenue #109, Carol Stream, IL 60188	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,575 00
Patricia Sutton	318 Dempsey Drive, Unit 109, Elburn, IL 60119	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,875 00
Brandt D. Swedberg	P O Box 146, Wrenshall, MN 55797-0146	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,900 00
Sandra L. Taylor	56 Eagle Wood Street, Box Elder, MT 59521	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,225 00
Bonnie Thanos	1080 Olivia Court, Chesterton, IN 46304	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 3,250 00
Ruth E. Thomas	4010 188th Street, Apt 202, Seatac, WA 98188	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,590 00
Betty J. Troxler	312 Pleasant Hill Church Road, Ellerbe, NC 28338	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,290 00
James R. Ussey, Jr	149 Lynwayne Circle, Havelock, NC 28532	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,615 00
Brenda J. Watts	2149 Clement Street, Mobile, AL 36617	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,375 00
Delois B. Williams	17C Oyster Bay Road, Absecon, NJ 08201	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 2,925 00
Gladys M. Willis	2701 Aldersgate Road, Apt 730, Little Rock, AR 72205	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,615 00
Laura Wood	1402 N Valley Parkway, Apt 905, Lewisville, TX 75077	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,290 00
Gladys R. Wright	1517 65th Avenue, Philadelphia, PA 19126	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,615 00

Recipient Name	Address	Relationship	Foundation Status	Purpose of Grant	Amount
Christina M York	33 Delmont, Presque Isle, ME 04769	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,180.00
Stacey Zaelit	135 South 500 West, Apt 720, Salt Lake City, UT 84101	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 5,850.00
Maryann Zilko	3401 Lynnwood Drive, West Homestead, PA 15120	NONE	NC	Grants to Indigent Families/Disabled Rescuers	\$ 4,420.00
				Total Grants to Indigent Families/Disabled Rescuers	\$ 302,935.00
Kujtime Ajro	3403 Royal Drive SW, Cedar Rapids, IA 52404	NONE	NC	Educational Benefits	\$ 2,460.00
Nicole Atulio	19 Carr Road, Saugus, MA 01906-2807	NONE	NC	Educational Benefits	\$ 11,800.00
Leora Blue-Ringseth	4600 Bradford Drive, Sacramento, CA 95820	NONE	NC	Educational Benefits	\$ 370.00
Bradley A Bowman	1637 Bowman Trail, Mablevale, AR 72103-4839	NONE	NC	Educational Benefits	\$ 1,500.00
Connor M Bystrom	8025 Manna Isles Lane, Holmes Beach, FL 34217	NONE	NC	Educational Benefits	\$ 10,000.00
Kyle Christopherson	1240 Gum Creek Road, Oxford, GA 30054-3002	NONE	NC	Educational Benefits	\$ 22,500.00
Jonathan Clarke	925 Highline Drive, E Wenatchee, WA 98802	NONE	NC	Educational Benefits	\$ 670.00
Clerc Cooper	7703 Burthe Street, New Orleans, LA 70118	NONE	NC	Educational Benefits	\$ 15,000.00
Robert John Feczko	17435 NE 138th Street, Redmond, WA 98052	NONE	NC	Educational Benefits	\$ 22,500.00
Hillary Grace Nicole Ferrier	P O Box 959, Durham, Ontario, Canada N0G 1R0	NONE	NC	Educational Benefits	\$ 411.59
Cameron C Gilbert	2311 W 16th Avenue Unit 252, Spokane, WA 99224	NONE	NC	Educational Benefits	\$ 10,000.00
Hannah Lynn Goorsky	2331 Bryant Street, San Francisco, CA 94110	NONE	NC	Educational Benefits	\$ 7,500.00
Jacob A Greving	814 S 14th Street, Quincy, IL 62301	NONE	NC	Educational Benefits	\$ 4,320.00
Aaron Hall	P O Box 82, Miltown, MT 59851	NONE	NC	Educational Benefits	\$ 14,000.00
Andrew Douglas Hilderaman	7054 Blakeney Drive, Regina, Saskatchewan, Canada S4X 2N5	NONE	NC	Educational Benefits	\$ 1,000.00
Amy Kanning-Landwehr	140 S Santa Fe Drive, Billings, MT 59102-5748	NONE	NC	Educational Benefits	\$ 4,553.00
Connor Frederick Klein	1903 Edwards Avenue, Cedar Falls, IA 50613-4711	NONE	NC	Educational Benefits	\$ 8,263.00
Kevin Moss	14863 Flanders Street, Southgate, MI 48195	NONE	NC	Educational Benefits	\$ 4,889.00
Keavy Joseph Neff	2620 W Gardner Avenue, Spokane, WA 99201	NONE	NC	Educational Benefits	\$ 10,850.00
Hooman Noupavar	115 Raphael Court, Roswell, GA 30076	NONE	NC	Educational Benefits	\$ 14,891.00
Kevin Pratt	17261 SW Arkenstone Drive, Tigard, OR 97224-7603	NONE	NC	Educational Benefits	\$ 5,000.00
Claude Saunders Jr	899 Mazama Avenue, Lebanon, OR 97355	NONE	NC	Educational Benefits	\$ 2,000.00
Natasha Saunders	37041 Rock Hill Drive, Lebanon, OR 97355	NONE	NC	Educational Benefits	\$ 4,000.00
Talisha Saunders	37041 Rock Hill Drive, Lebanon, OR 97355	NONE	NC	Educational Benefits	\$ 492.00
Darryl T Smith	555 New Brunswick Avenue Apt 4-H, Fords, NJ 08863	NONE	NC	Educational Benefits	\$ 6,100.00
Lucas Squibb	P O Box 925, Chehalis, WA 98532	NONE	NC	Educational Benefits	\$ 4,000.00
Michael J Thanos	1080 Olivia Court, Chesterton, IN 46304	NONE	NC	Educational Benefits	\$ 15,000.00
Victoria Thanos	1080 Olivia Court, Chesterton, IN 46304	NONE	NC	Educational Benefits	\$ 1,000.00
Brenda York	501 E Merrill Avenue, Gilbert, AZ 85234	NONE	NC	Educational Benefits	\$ 5,000.00

Recipient Name	Address	Relationship	Foundation Status	Purpose of Grant	Amount
Carl Loando	830 Piikoi Street, Honolulu, HI 96814-3340	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Christopher M Johnson	1081 Crestwood Lane, Bolingbrook, IL 60440-1644	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Thomas Delgado	220 North Colby Avenue, Valley Center, KS 67147-3333	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Mark Dawson	138 Old Tower Road, Summerville, SC 29483-9413	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
John Byrd	68 Glory Bound Place, Round O, SC 29474-4159	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Kujtime Ajro	3403 Royal Drive SW, Cedar Rapids, IA 52404-6485	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Deborah Jones	710 West Main Street, Lisbon, IA 52253-9678	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Connor Stotts	2215 Maxson Street, Oceanside, CA 92054-3533	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Joseph Page	324 North Emporia Street Apt 102, Wichita, KS 67202-2254	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Robert Meyer	2 1/2 Riverside Drive, Kingston, MA 02364-1515	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Nathan Marling	2609 Matthew Drive SW, Cedar Rapids, IA 52404-2413	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Gary Yurkovic, Jr	61 Pennsylvania Avenue, Carteret, NJ 07008-1552	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Joshua W Steed	2037 Westview Drive, Abilene, TX 79698	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Ann M Ballet	13130 SW Highway 484, Dunnellon, FL 34432-6414	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Makeda S. Barkley	22615 Thirtieth Street, Lisbon, IA 52253-9004	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Megan H Fowler	5227 Carney Road NW, Calgary, AB T2L 1G1	NONE	NC	Heroic Recognition Awards	\$ 2,500 00
Ern L Fowler	5227 Carney Road NW, Calgary, AB T2L 1G1	NONE	NC	Heroic Recognition Awards	\$ 2,500 00
Ione Kleven	19505 Santa Maria Ave, Castro Valley, CA 94546-3462	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Ricky J Clinton	106 Eighth St , Mountain Pine, AR 71956	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Kristine Keaton	1035 Huron Street, Flint, MI 48507-2325	NONE	NC	Heroic Recognition Awards	\$ 60 00
Brandon M Wernhoff	345 North 34th Street, Lincoln, NE 68503-3310	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Tray Ross	4071 Country Lane, Gainesville, GA 30507-7713	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Luke Vaughn	106 West Herbison Drive, DeWitt, MI 48820-9580	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
James W Linthicum	4964 East Lincoln Apt 213, Wichita, KS 67218-2461	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Jeffrey W Caldwell	172 County Road 513, Frenchtown, NJ 08825-3732	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Frederick K Dow	172 Greenland Avenue, Ewing , NJ 08638-3628	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Michael A Pellegrino	66 North Sailors Quay Drive, Brnck, NJ 08723-4707	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
William L O'Connor	24690 Pistol River Loop, Gold Beach, OR 97444-9601	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Barbara J Woodhams	2665 Krumlauf Road, SE, Kalkaska, MI 49646-9659	NONE	NC	Heroic Recognition Awards	\$ 7,500 00
Ronald F Feiling	472 Canterbury Circle, Carnegie, PA 15106-1568	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Cody Decker	13060 Sherrington Drive, DeWitt, MI 48820-8142	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Michael F Schiots	1024 Lowrey Place, Spring Hill, TN 37174-6118	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
June A Lais	123 Lakeview Terrace, Burlington, VT 05401-2901	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Total Educational Benefits					\$ 209,589 59

Recipient Name	Address	Relationship	Foundation Status	Purpose of Grant	Amount
Eileen R. Hall	19100 Tilobe Loop, Land O'Lakes , FL 34638-6150	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
John E Swartz	4160 Route 981, Mount Pleasant, PA 15666-3709	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Mark A Garsteck	241 Ohler Road, Mount Pleasant, PA 15666-2328	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Donna K Rice	233 Brandywine Lane, Greensburg, PA 15601-6911	NONE	NC	Heroic Recognition Awards	\$ 880 00
Daniel G R Livingston	4959 Greaves Crescent, Courtenay, BC V9J 1P8	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Wilfred L Spencer III	133 Windy Hollow Road, Moundsville, WV 26041-4187	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Nicholas Dorken	P O Box 266, Innerkip, ON N0J 1M0	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Christopher DeFelice	1527 North Street, Philadelphia, PA 19130-3443	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Mark Breen Jr	7759 Westside Drive, Hudsonville, MI 49426-8668	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Jonathan M Nielsen, Sr.	P O Box 807, Morrisville, VT 05661-0807	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Kirk Rohle	9251 Shelton Pointe Drive, Mechanicsville, VA 23116-6556	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Ian Tordella-Williams	104 Lynn Drive, Carboro, NC 27510-1559	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Jason Ewing	2434 Thirteenth Street, Clarkston, WA 99403-3202	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Dustin E Hibbard	2901 Knollcrest Drive, Lewiston, ID 83501-6707	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Charles A Miller	144 W 900 N, Wawaka, IN 46794-9744	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Christopher A. Pratt	114 North Merritt Street, Odin, IL 62870-1064	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Pamela Jones-Morton	20811 Rivers Ford, Estero, FL 33928-2262	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Fred D Leslie III	P O Box 192, Ducktown, TN 37326-0192	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Michael Blake	46546 Cedarhurst Drive, Sterling, VA 20165-6451	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Sandra Haines	1552 Hunters Mill Place, Oviedo, FL 32765-8452	NONE	NC	Heroic Recognition Awards	\$ 2,500 00
David Murtha	993 Centreline Road, Lindsay, ON K9V 4R5	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Richard P Baumgartner	1510 E Cornell Street Apt 724, Lubbock, TX 79403-3592	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Brent Prie	433 Mickleton Loop, Ocoee, FL 34761-5661	NONE	NC	Heroic Recognition Awards	\$ 2,500 00
Maria P Day	14737 Cambria St , Fontana, CA 92335-2521	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Anthony Roberts	P O Box 304 , Mount Outlook, WV 26678-0304	NONE	NC	Heroic Recognition Awards	\$ 2,500 00
Nechole Roberts	P O Box 304 , Mount Outlook, WV 26678-0304	NONE	NC	Heroic Recognition Awards	\$ 2,500 00
Thomas Harding	5913 Harley Avenue, Mays Landing, NJ 08330-4017	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Marcelino Orozco	6665 Long Beach Blvd Apt C3, Long Beach, CA 90805-2032	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
William Smith	467 Walker Street, Fairview, NJ 07022-1343	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Christopher E Williams	57 Green Terrace Court, Gallipolis, OH 45631-9793	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Kevin Pratt	17261 SW Arkenstone Drive, Tigard , OR 97224-7603	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Brian D Lozier	14302 Craine Road, Sterling , NY 13156-4118	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Jeremy Day	13 Howard Circle, Sidney, ME 04330-2650	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Bradley A Bowman	1637 Bowman Trail, Mablevale, AR 72103-4839	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
James B Terry	2008 Spring Street Apt C6, Jeffersonville, IN 47130-6428	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Clarence M Brooks, Jr	608 Hawthorn Circle, Highland Village, TX 75077-8671	NONE	NC	Heroic Recognition Awards	\$ 5,000 00

Recipient Name	Address	Relationship	Foundation Status	Purpose of Grant	Amount
Warren L. Wood, Jr	1361 Orchard Drive, Crozet, VA 22932-9444	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
John Hale	28 Cranmoor Drive, Toms River, NJ 08753-0866	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Thomas R. Nielsen	4412 Holly Tree Drive, Louisville, KY 40241-1180	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Patrick J. Rimoshytus	19 Ridgeway Drive, Warren, RI 02885-3812	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
James Snider	2530 Everwood Court, Clarksville, TN 37043-9307	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Peter Weatherford	2761 Hayes Lane, Clarksville, TN 37043-1231	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
John Clark	5116 NE 22nd Avenue, Vancouver, WA 98663-1342	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Nicholas A. Hays	1255 S. 216th Street, Des Moines, WA 98198-8320	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
David B. Mayo	805 Revland Drive, Tecumseh, ON N8N 5B1	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Pierre Johnson	8016 Vincent Circle N, Brooklyn Park, MN 55444	NONE	NC	Heroic Recognition Awards	\$ 1,500 00
Steven M. Enns	252 Seregella Place, Estevan, SK S4A 1G9	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Jeffery Dinkins	1735 Crashore Drive, Lewisville, NC 27023-7784	NONE	NC	Heroic Recognition Awards	\$ 5,000 00
Margaret L. Beam	39 Plum Street, Griffin, GA 30223-1830	NONE	NC	Heroic Recognition Awards	\$ 3,300 00
Lynda M. Monteith	5590 Carolina Way, Burlington, KY 41005	NONE	NC	Heroic Recognition Awards	\$ 700 00
Total Heroic Recognition Awards					\$383,940 00
Medals and Certificates Awarded for Acts of Heroism	C/O 436 Seventh Avenue, Suite 1101 Pittsburgh, PA 15219	NONE	NC	Grants	\$35,665 00
Total Grants					\$35,665 00
2013 Contributions, Gifts, and Grants Paid					\$932,109.59

FORM 990-PF, PART XV, LINE 3B
CARNEGIE HERO FUND COMMISSION GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT
JANUARY 1, 2013 - DECEMBER 31, 2013

Recipient Name	Address	Relationship	Foundation Status	Purpose of Grant	Amount
Joshua H Meltzer	633 East Main Avenue, Bowling Green, KY 42101-6908	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
John Bigwood	8935 Vincent Avenue, Fair Oaks, CA 5628-4013	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Alec Justin Smith	14920 Bronson Avenue, San Jose, CA 95124-3563	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Alfredo Serrano	3 Cottage Place, Bridgeport, CT 06604-5517	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Dennis M Shaw	241 Wordsworth Road, Dunn, NC 28334-6091	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Nathaniel Bacon	48 Private Road, Calverton, NY 11933-1626	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Robert P Davies	3772 Mobile Road, Golden Valley, AZ 86413-8440	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Keith Knight	43 Autumn Run, Hooksett, NH 03106-1967	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Scott Frye	6 Clearview Drive, Nashua, NH 03062-2530	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
William Michael Browne	7961 Evergreen Road, Wrightwood, CA 92397-9501	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Jesse C Garcia IV	1143 Hummingbird Lane, Adkins, TX 78101-4000	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
James Russell Jenkins	2322 Traymore Place, Columbus, OH 43211-1854	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Scott E. Teuscher	1751 East Roseville Parkway Apt 837, Roseville, CA 95661-6428	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Jason R Ivey	50 Goddard Avenue, Brookline, MA 02445-7415	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Katherine Lee Ostecki	P O Box 1657, Bridgehampton, NY 11932-1657	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Richard Brian Andrade	1708 Ascot Road, Colorado Springs, CO 80906-5602	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Vincent Lorenzo Meraz	2530 Bear Valley Parkway Apartment 207, Escondido, CA 92027-3598	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Michael T McDonnell	117-09 Newport Avenue, Rockaway Park, NY 11694-2013	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Dylan Patrick Smith	130-03 Newport Avenue, Rockaway, NY 11694-1633	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
Philip D Petr	17460 S. Showboat Blvd , Blue Hill, NE 68930-3030	NONE	NC	Heroic Recognition Awards	\$ 5,000.00
2013 Grants and Contributions Approved for Future Payment					\$ 100,000.00

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions. CARNEGIE HERO FUND COMMISSION	Employer identification number (EIN) or 25-1062730
File by the due date for filing your return. See instructions. Number, street, and room or suite no. If a P.O. box, see instructions. KOPPERS BUILDING, 436 SEVENTH AVENUE, NO. 1101	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions. PITTSBURGH, PA 15219-1841	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

WALTER F. RUTKOWSKI - 436 SEVENTH AVENUE, SUITE 1101 -

• The books are in the care of **PITTSBURGH, PA 15219-1841**

Telephone No. **(412) 281-1302**

Fax No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**

5 For calendar year **2013**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **CPA**

Date

Form 8868 (Rev. 1-2014)

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
File by the due date for filing your return. See instructions.	CARNEGIE HERO FUND COMMISSION	Employer identification number (EIN) or 25-1062730
	Number, street, and room or suite no. If a P.O. box, see instructions. KOPPERS BUILDING, 436 SEVENTH AVENUE, NO. 1101	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PITTSBURGH, PA 15219-1841	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

WALTER F. RUTKOWSKI - 436 SEVENTH AVENUE, SUITE 1101 -

- The books are in the care of ► **PITTSBURGH, PA 15219-1841**

Telephone No. ► **(412) 281-1302**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
- ☒ calendar year **2013** or
- ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.