Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: THOMAS M. EVANS, JR. FOUNDATION

A Employer identification number: 23-7949413

Number and street (or P O box number if mail is not delivered to street address): 6805 W. TRAIL CREEK COUNTY RD BOX 259 — Room/suite:

B Telephone number: 307-733-1334

City or town, state or province, country, and ZIP or foreign postal code: WILSON, WY 83014

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 1,558,907.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	4.	4.		STATEMENT 1
	4 Dividends and interest from securities	31,974.	31,974.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	56,524.			
	b Gross sales price for all assets on line 6a 1,310,263.				
	7 Capital gain net income (from Part IV, line 2)		56,524.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	88,502.	88,502.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	2,692.	0.		0.
	c Other professional fees STMT 4	17,815.	8,793.		0.
	17 Interest				
	18 Taxes STMT 5	364.	99.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	20,871.	8,892.		0.
	25 Contributions, gifts, grants paid	78,100.			78,100.
	26 **Total expenses and disbursements.** Add lines 24 and 25	98,971.	8,892.		78,100.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<10,469.>			
	b **Net investment income** (if negative, enter -0-)		79,610.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

RECEIVED MAY 0 8 2014 OGDEN, UT

SCANNED MAY 1 6 2014

323501 10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions.**

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	17,719.	18,137.	18,137.
	2 Savings and temporary cash investments	329,239.	52,564.	52,564.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S. and state government obligations	65,909.		
	b Investments - corporate stock STMT 6	900,732.	1,258,519.	1,437,367.
	c Investments - corporate bonds STMT 7	77,939.	51,849.	50,773.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	66.	66.	66.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,391,604.	1,381,135.	1,558,907.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,555,749.	1,555,749.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	<164,145.>	<174,614.>	
	30 **Total net assets or fund balances**	1,391,604.	1,381,135.	
	31 **Total liabilities and net assets/fund balances**	1,391,604.	1,381,135.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,391,604.
2	Enter amount from Part I, line 27a	2	<10,469.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,381,135.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,381,135.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,310,263.		1,253,739.	56,524.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				56,524.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 56,524.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes [X] No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	77,348.	1,509,817.	.051230
2011	71,932.	1,548,614.	.046449
2010	75,135.	1,523,244.	.049326
2009	92,321.	1,432,515.	.064447
2008	88,357.	1,912,240.	.046206

2	Total of line 1, column (d)	2	.257658
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051532
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,487,278.
5	Multiply line 4 by line 3	5	76,642.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	796.
7	Add lines 5 and 6	7	77,438.
8	Enter qualifying distributions from Part XII, line 4	8	78,100.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	796.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	796.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	796.
6	Credits/Payments.			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 400.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	400.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	396.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THOMAS M. EVANS, JR. Telephone no ▶307-733-1334

Located at ▶6805 W. TRAIL CREEK COUNTY RD, BX 259, WILSON, WY ZIP+4 ▶83014

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ▶ ____, ____, ____, ____	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes [X] No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes [X] No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes [X] No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes [X] No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes [X] No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes [X] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes [X] No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS M. EVANS, JR. 6805 W. TRAIL CREEK COUNTY RD WILSON, WY 83014	TRUSTEE 0.00	0.	0.	0.
TANIA G. EVANS 6805 W. TRAIL CREEK COUNTY RD WILSON, WY 83014	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the *number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.*	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes			
a	Average monthly fair market value of securities		1a	1,498,346.
b	Average of monthly cash balances		1b	11,581.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,509,927.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,509,927.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	22,649.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,487,278.
6	**Minimum investment return.** Enter 5% of line 5		6	74,364.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	74,364.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 796.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	796.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	73,568.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	73,568.
6	*Deduction from distributable amount (see instructions)*		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	73,568.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	78,100.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	78,100.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	796.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	77,304.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				73,568.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			74,168.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 78,100.				
a Applied to 2012, but not more than line 2a			74,168.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				3,932.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				69,636.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
COMMUNITY FUND OF JACKSON HOLE 180 CENTER STREET, BOX 574 JACKSON, WY 83001	N/A	PUBLIC CHARITY	OLD BILL'S FUN RUN	25,000.
EAGLEBROOK SCHOOL DEERFIELD, MA 01342	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	5,000.
GRACE COTTAGE FOUNDATION PO BOX 174 GRAFTON ROAD TOWNSHEND, VT 05353	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	2,500.
GUIDES TRUST FOUNDATION P.O. BOX 88 ISLAMORDA, FL 33036	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	10,000.
INTERNATIONAL GAME FISH ASSOCIATION 300 GULF STREAM WAY DANIA BEACH FL	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	5,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				78,100.
b Approved for future payment NONE				
Total ▶ 3b				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4.	
4 Dividends and interest from securities			14	31,974.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	56,524.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		88,502.	0.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 88,502.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [signature] Date: 4/30/14 Title: Pres

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAUL LATTNER	[signature]	4/28/2014		P00982900
Firm's name ▶ K&L GATES LLP			Firm's EIN ▶ 25-0921018	
Firm's address ▶ 210 SIXTH AVENUE PITTSBURGH, PA 15222-2613			Phone no 412-355-6500	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a		P	VARIOUS	VARIOUS
b	VARIOUS	P	VARIOUS	VARIOUS
c	VARIOUS	P	VARIOUS	VARIOUS
d	VARIOUS	P	VARIOUS	VARIOUS
e	SPDR GOLD TRUST	P	VARIOUS	VARIOUS
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	378,277.		387,475.	<9,198.>
b	419,844.		430,601.	<10,757.>
c	509,408.		435,323.	74,085.
d	554.		340.	214.
e				0.
f	2,180.			2,180.
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<9,198.>
b				<10,757.>
c				74,085.
d				214.
e				0.
f				2,180.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	56,524.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Account name: THE THOMAS M. EVANS, JR.
Account number: [redacted]

Your Financial Advisor:
MALIN/CONOVER
617-439-8000/800-225-2374

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
THORNBURG LIMITED TERM INCOME FD CLASS A	FIFO	1,091.703	Feb 25, 13	Dec 27, 13	14,497.82	15,000.00		-502.18	
	FIFO	9,825.328	Feb 27, 13	Dec 27, 13	130,480.35	135,000.00		-4,519.65	
Total					**$190,574.48**	**$200,188.59**		**-$9,614.11**	
Net short-term capital gains and losses								**-$9,614.11**	
Net capital gains/losses:								**-$9,614.11**	

Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ABBOTT LABS	FIFO	28 000	Apr 24, 12	Apr 01, 13	984 46	816.32			168.14
	FIFO	35 000	Jul 16, 12	Apr 01, 13	1,230 57	1,101.00			129 57
ACE LTD CHF	FIFO	75.000	Feb 20, 13	Oct 28, 13	7,202.12	6,549.23			652.89
ACTAVIS INC COM *MERGER-EFF-10/2013*	FIFO	300 000	Jul 29, 13	Oct 01, 13	43,200 00	40,260 00			2,940 00
AT&T INC	FIFO	1,426.000	Apr 01, 13	Aug 16, 13	48,843.93	53,056 47		-4,212.54	
BOEING COMPANY	FIFO	18.000	Apr 24, 12	Apr 01, 13	1,538 79	1,319 93			218 86
	FIFO	20.000	Jul 16, 12	Apr 01, 13	1,709 76	1,462 20			247.56
CHUBB CORP	FIFO	250.000	Feb 20, 13	Sep 18, 13	22,302 48	20,986.50			1,315.98
CONOCOPHILLIPS	FIFO	29.000	Apr 24, 12	Apr 03, 13	1,738 80	1,587.66			151 14
	FIFO	20 000	Jul 16, 12	Apr 03, 13	1,199.17	1,111 80			87 37
COSTCO WHOLESALE CORP	FIFO	20.000	Apr 24, 12	Apr 01, 13	2,117 16	1,728 38			388 78
	FIFO	20 000	Jul 16, 12	Apr 01, 13	2,117 15	1,903 40			213 75
DEERE AND CO	FIFO	25 000	Jul 16, 12	Apr 03, 13	2,113 95	1,922 50			191 45
DISCOVER FINANCIAL SERVICES	FIFO	54 000	Apr 24, 12	Apr 01, 13	2,407 27	1,763 46			643 81
	FIFO	35 000	Jul 16, 12	Apr 01, 13	1,560.26	1,233 75			326 51
DOVER CORP	FIFO	30 000	Jul 16, 12	Apr 01, 13	2,167 75	1,544 40			623 35
DU PONT DE NEMOURS	FIFO	65 000	Jul 16, 12	Feb 27, 13	3,093 93	3,103 06		-9.13	
ENI SPA IT SPON ADR	FIFO	1,000.000	Nov 04, 13	Dec 11, 13	45,596.31	50,188.59		-4,592.28	
EXPRESS SCRIPTS HLDG CO	FIFO	29 000	Apr 24, 12	Apr 01, 13	1,671.52	1,664 89			6 63
	FIFO	25 000	Jul 16, 12	Apr 01, 13	1,440 97	1,443 37		-2 40	
EXXON MOBIL CORP	FIFO	27 000	Apr 24, 12	Apr 03, 13	2,448 30	2,328.48			119 82
	FIFO	15 000	Jul 16, 12	Apr 03, 13	1,360 17	1,276.65			83 52
FIDELITY SMALL CAP DISCOVERY NO LOAD	FIFO	1,401 044	Jul 16, 12	Feb 26, 13	36,679 33	30,164 48			6,514 85

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FMC TECHNOLOGIES INC	FIFO	450.000	Mar 14, 13	Oct 24, 13	23,163.07	23,654.30		-491.23	
MOTOROLA SOLUTIONS INC	FIFO	65.000	Jul 16, 12	May 22, 13	3,744.64	2,947.05			797.59
OMNICOM GROUP INC	FIFO	39.000	Apr 24, 12	Apr 01, 13	2,295.10	1,937.91			357 19
	FIFO	45.000	Jul 16, 12	Apr 01, 13	2,648.20	2,219 38			428.82
OPPENHEIMER DEVELOPING MARKETS CLASS Y	FIFO	1,190.831	Jul 16, 12	Apr 19, 13	40,952.68	36,796.67			4,156.01
ORACLE CORP	FIFO	77.000	Apr 24, 12	Apr 01, 13	2,475.82	2,209.12			266.70
	FIFO	45.000	Jul 16, 12	Apr 01, 13	1,446.90	1,331.53			115 37
PHILLIPS 66	FIFO	14.000	Apr 24, 12	Apr 01, 13	972.98	483.36			489.62
	FIFO	35.000	Jul 16, 12	Apr 01, 13	2,432.44	1,254.75			1,177.69
PRUDENTIAL FINANCIAL INC	FIFO	5.000	Apr 24, 12	Feb 25, 13	279.44	296.05		-16 61	
	FIFO	50 000	Jul 16, 12	Feb 25, 13	2,794.44	2,422.99			371.45
QUALCOMM INC	FIFO	36.000	Jul 30, 12	Apr 02, 13	2,398.27	2,135 47			262.80
SPDR GOLD TRUST	FIFO	305.000	Jun 22, 12	Apr 05, 13	46,269.60	48,938.90		-2,669.30	
	FIFO	1,084.000	Jun 22, 12	Apr 12, 13	157,832.70	173,933.65		-16,100.95	
	FIFO	500 000	Jun 22, 12	Apr 15, 13	66,404 71	80,227.70		-13,822.99	
STATE STREET CORP	FIFO	40 000	Apr 24, 12	Apr 01, 13	2,348.75	1,808.00			540 75
	FIFO	55 000	Jul 16, 12	Apr 01, 13	3,229.53	2,421.65			807.88
THERMO FISHER SCIENTIFIC INC	FIFO	31.000	Apr 24, 12	Feb 20, 13	2,262.64	1,638 66			623.98
	FIFO	25.000	Jul 16, 12	Feb 20, 13	1,824.71	1,299 45			525.26
THORNBURG LIMITED TERM INCOME FD CLASS A	FIFO	1,091.703	Feb 25, 13	Dec 27, 13	14,497.82	15,000.00		-502 18	
	FIFO	9,825.328	Feb 27, 13	Dec 27, 13	130,480 35	135,000 00		-4,519.65	
TJX COS INC NEW	FIFO	48.000	Apr 24, 12	Apr 01, 13	2,253.22	1,926.72			326.50
	FIFO	55.000	Jul 16, 12	Apr 01, 13	2,581 82	2,468.95			112.87
UNITED PARCEL SERVICE INC CL B	FIFO	17.000	Apr 24, 12	Apr 01, 13	1,436.47	1,351.16			85.31
	FIFO	15.000	Jul 16, 12	Apr 01, 13	1,267.47	1,188.48			78.99

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
UNITEDHEALTH GROUP INC	FIFO	14 000	Apr 24, 12	Feb 25, 13	758.13	822 50		-64 37	
	FIFO	25 000	Jul 16, 12	Feb 25, 13	1,353.79	1,379 50		-25 71	
VERIZON COMMUNICATIONS INC	FIFO	937 000	Feb 25, 13	Sep 09, 13	42,990.68	42,780 14			210 54
Total					**$798,120.52**	**$818,390.56**		**-$47,029.34**	**$26,759.30**
Net short-term capital gains and losses								**-$20,270.04**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLERGAN INC	FIFO	35 000	Nov 19, 09	May 01, 13	3,510.42	2,050.25			1,460 17
AT&T INC	FIFO	50 000	Nov 19, 09	Aug 16, 13	1,712 62	1,304 45			408 17
	FIFO	24.000	Apr 24, 12	Aug 16, 13	822 06	765 59			56 47
CAPITAL ONE FINCL CORP	FIFO	55.000	Jan 28, 10	Feb 25, 13	2,867.64	2,023 18			844 46
CHUBB CORP	FIFO	25 000	Apr 24, 12	Sep 18, 13	2,230.25	1,798.46			431 79
	FIFO	25 000	Jul 16, 12	Sep 18, 13	2,230 25	1,781 50			448.75
CVS CAREMARK CORP	FIFO	70 000	Jan 28, 10	Apr 01, 13	3,828 91	2,295 23			1,533.68
EMC CORP MASS	FIFO	160 000	Nov 19, 09	Feb 27, 13	3,722 19	2,755 02			967.17
EXXON MOBIL CORP	FIFO	45 000	Nov 19, 09	Apr 03, 13	4,080 51	3,345 69			734.82
FHLB BOND 02 625 % DUE 091313 DTD 082409 FC 03132010	FIFO	65,000.000	Aug 24, 09	Sep 13, 13	65,000.00	65,908.70 [1]		-908 70	
GENL MILLS INC	FIFO	80 000	Nov 19, 09	Aug 16, 13	3,971.40	2,692 76			1,278 64
	FIFO	28.000	Apr 24, 12	Aug 16, 13	1,389 99	1,084.98			305 01
GENUINE PARTS CO	FIFO	65.000	Nov 19, 09	Apr 19, 13	4,692.24	2,360.73			2,331 51
JPMORGAN CHASE & CO	FIFO	80 000	Nov 19, 09	Nov 04, 13	4,182 70	3,389 50			793.20
	FIFO	27 000	Apr 24, 12	Nov 04, 13	1,411 66	1,167.21			244 45
MOTOROLA SOLUTIONS INC	FIFO	16 000	Apr 24, 12	May 22, 13	921.76	774.88			146 88
OCCIDENTAL PETROLEUM CRP	FIFO	25 000	Jan 28, 10	Apr 03, 13	2,006.29	1,920.21			86 08

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PEPSICO INC B/E 03.750% 030114 DTD030209 CALL@MW T+30BP	FIFO	25,000 000	Oct 30, 09	Aug 26, 13	25,433.00	26,090.50 [1]		-657.50	
PHILIP MORRIS INTL INC	FIFO	20.000	Apr 24, 12	Jul 29, 13	1,769.37	1,726 40			42.97
	FIFO	15.000	Jul 16, 12	Jul 29, 13	1,327.03	1,365 00		-37.97	
SPDR GOLD TRUST	FIFO	2,084.000	Feb 08, 11	Jan 31, 13	335,357.06	277,539.83			57,817.23
	FIFO	195.000	Feb 08, 11	Apr 05, 13	29,582 20	25,969.42			3,612.78
VERIZON COMMUNICATIONS INC	FIFO	45.000	Nov 19, 09	Sep 09, 13	2,064.65	1,310.95			753.70
	FIFO	18.000	Apr 24, 12	Sep 09, 13	825 87	710.46			115 41
WAL MART STORES INC	FIFO	40.000	Nov 19, 09	May 22, 13	3,081.19	2,172.75			908.44
	FIFO	18.000	Apr 24, 12	May 22, 13	1,386.54	1,040 04			346.50
Total					**$509,407.80**	**$435,343.69**		**-$1,604.17**	**$75,668.28**
Net long-term capital gains or losses									**$74,064.11**
Net capital gains/losses:									**$53,794.07**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
METROPOLITAN MUSEUM OF ART 5TH AVENUE & 82ND STREET NEW YORK, NY 10001	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	9,100.
OLANA PARTNERSHIP HUDSON, NY	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	5,000.
VERMONT SYMPHONY ORCHESTRA 2 CHURCH STREET SUITE 19 BURLINGTON, VT 05401	N/A	PUBLIC CHARITY	HOLIDAY CONCERT	6,500.
MIRAMICHI SALMON ASSOCIATION 389 MAIN STREET MAIDEN, MA 02148	N/A	PUBLIC CHARITY	MIRAMICHI FOREVER FUND CAMPAIGN	5,000.
WOMEN'S COMMUNITY CLUB P.O. BOX 92 GRAFTON, VT 05146	N/A	PUBLIC CHARITY	GINNA BARRETT MEMORIAL	5,000.
Total from continuation sheets				30,600.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF JACKSON HOLE	4.	4.	
TOTAL TO PART I, LINE 3	4.	4.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PEOPLES UNITED BANK	381.	0.	381.	381.	
UBS FINANCIAL SERVICES	33,773.	2,180.	31,593.	31,593.	
TO PART I, LINE 4	34,154.	2,180.	31,974.	31,974.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
K&L GATES LLP	2,692.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,692.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PEOPLES UNITED FEES	3,043.	1,422.		0.
BANK JACKSON HOLE FEES	30.	0.		0.
UBS FINANCIAL SERVICES	14,742.	7,371.		0.
TO FORM 990-PF, PG 1, LN 16C	17,815.	8,793.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES W/H	99.	99.		0.
EXCISE TAX	265.	0.		0.
TO FORM 990-PF, PG 1, LN 18	364.	99.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES-DETAIL ATTACHED	1,258,519.	1,437,367.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,258,519.	1,437,367.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS-DETAIL ATTACHED	51,849.	50,773.
TOTAL TO FORM 990-PF, PART II, LINE 10C	51,849.	50,773.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MISCELLANEOUS	COST	66.	66.
TOTAL TO FORM 990-PF, PART II, LINE 13		66.	66.

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0.00	25.50					
UBS BANK USA BUS ACCT	159,100.46	53,182.08					500,000.00
Total	**$159,100.46**	**$53,207.58**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBVIE INC COM								
Symbol: ABBV Exchange: NYSE								
EAI: $1,600 Current yield: 3.03%	Apr 24, 12	28.000	31.615	885 23	52.810	1,478 68	593 45	LT
	Jul 16, 12	35.000	34.112	1,193.95	52.810	1,848.35	654 40	LT
	Apr 1, 13	937 000	40.727	38,161 29	52 810	49,482.97	11,321.68	ST
Security total		1,000.000	40 240	40,240 47		52,810.00	12,569.53	
ACE LTD CHF								
Symbol: ACE Exchange: NYSE								
EAI: $441 Current yield: 2.43%								
CHF Exchange rate: 0.88935	Feb 20, 13	175.000	87.322	15,281.52	103 530	18,117.75	2,836.23	ST
ACTAVIS PLC								
Symbol: ACT Exchange: NYSE	Oct 1, 13	300.000	144.000	43,200.00	168.000	50,400 00	7,200.00	ST

continued next page

Account name: THE THOMAS M EVANS, JR
Account number: [redacted]

Your Financial Advisor:
MALIN/CONOVER
617-439-8000/800-225-2374

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMGEN INC								
Symbol· AMGN Exchange OTC								
EAI· $488 Current yield: 2 14%	Feb 28, 13	200 000	92 887	18,577 48	114.080	22,816 00	4,238 52	ST
APPLE INC								
Symbol AAPL Exchange OTC								
EAI. $573 Current yield 2 17%	Nov 19, 09	9.000	200 210	1,801 89	561 020	5,049 18	3,247 29	LT
	Apr 29, 13	38 000	425 490	16,168 62	561 020	21,318 76	5,150 14	ST
Security total		47 000	382 351	17,970 51		26,367 94	8,397 43	
BLACKSTONE MTG TR INC REIT								
Symbol. BXMT Exchange. NYSE								
EAI· $1,755 Current yield· 6 63%	Dec 19, 13	975 000	27 297	26,615 06	27 130	26,451 75	-163 31	ST
CHEVRON CORP								
Symbol. CVX Exchange NYSE								
EAI: $800 Current yield. 3.20%	Nov 19, 09	40 000	77 059	3,082 36	124 910	4,996 40	1,914 04	LT
	Apr 24, 12	15 000	103 070	1,546 05	124.910	1,873 65	327 60	LT
	Apr 3, 13	145 000	118 810	17,227 45	124 910	18,111 95	884 50	ST
Security total		200 000	109 279	21,855 86		24,982 00	3,126 14	
CHICAGO BRIDGE & IRON NV EUR								
Symbol. CBI Exchange NYSE								
EAI: $120 Current yield. 0 24%								
EUR Exchange rate 0.72571	Aug 16, 13	400 000	61.242	24,497 00	83 140	33,256 00	8,759 00	ST
	Oct 1, 13	200.000	69 742	13,948.50	83 140	16,628.00	2,679 50	ST
Security total		600 000	64.076	38,445 50		49,884 00	11,438 50	
DOW CHEMICAL								
Symbol. DOW Exchange NYSE								
EAI: $832 Current yield 2 88%	Dec 10, 13	650 000	41.830	27,189 50	44 400	28,860 00	1,670 50	ST
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Nov 19, 09	60 000	11 584	695.08	75 100	4,506.00	3,810 92	LT
	Apr 24, 12	42.000	13 067	548.83	75 100	3,154 20	2,605 37	LT
Security total		102 000	12 195	1,243.91		7,660 20	6,416 29	
GOOGLE INC CL A								
Symbol. GOOG Exchange. OTC	Apr 24, 12	4.000	602 870	2,411 48	1,120.710	4,482 84	2,071 36	LT
	Jul 16, 12	4 000	575 217	2,300.87	1,120.710	4,482 84	2,181 97	LT
Security total		8 000	589 044	4,712 35		8,965 68	4,253 33	

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAI: $94 Current yield: 1 90%	Nov 19, 09	60.000	26.969	1,618.14	82.340	4,940.40	3,322.26	LT
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: $2,700 Current yield: 3.47%	Nov 19, 09	90.000	19 229	1,730.62	25.955	2,335 95	605.33	LT
	Apr 10, 13	1,910.000	21.856	41,744.96	25.955	49,574.05	7,829.09	ST
	Sep 9, 13	1,000.000	22.986	22,986.20	25.955	25,955.00	2,968.80	ST
Security total		3,000 000	22.154	66,461.78		77,865.00	11,403.22	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: $1,584 Current yield: 2.88%	Feb 14, 13	600 000	75 906	45,543 90	91.590	54,954.00	9,410 10	ST
KOHLS CORP								
Symbol: KSS Exchange: NYSE								
EAI: $700 Current yield: 2.47%	Feb 11, 13	500.000	46.099	23,049.64	56.750	28,375.00	5,325 36	ST
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAI. $130 Current yield. 3.35%	Nov 19, 09	40.000	63.178	2,527.15	97.030	3,881 20	1,354 05	LT
MERCK & CO INC NEW COM								
Symbol: MRK Exchange: NYSE								
EAI: $1,760 Current yield: 3.52%	Apr 1, 13	1,000.000	44 267	44,267.44	50 050	50,050.00	5,782 56	ST
MICROSOFT CORP								
Symbol: MSFT Exchange OTC								
EAI: $2,800 Current yield: 2 99%	Oct 23, 09	120.000	26.160	3,139.28	37 410	4,489.20	1,349.92	LT
	Apr 24, 12	46.000	31.950	1,469.70	37.410	1,720 86	251.16	LT
	Apr 1, 13	2,334 000	28.527	66,582.02	37 410	87,314 94	20,732 92	ST
Security total		2,500.000	28 476	71,191.00		93,525.00	22,334.00	
NEXTERA ENERGY INC COM								
Symbol. NEE Exchange: NYSE								
EAI. $156 Current yield: 3.09%	Apr 24, 12	24 000	63.910	1,533.84	85.620	2,054.88	521.04	LT
	Jul 16, 12	35.000	69.600	2,436.00	85.620	2,996 70	560.70	LT
Security total		59.000	67.285	3,969.84		5,051.58	1,081.74	

continued next page

Account name: THE THOMAS M EVANS, JR.
Account number: [redacted]

Your Financial Advisor:
MALIN/CONOVER
617-439-8000/800-225-2374

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TRINITY INDUSTRIES INC								
Symbol. TRN Exchange. NYSE								
EAI: $240 Current yield 1 10%	Nov 15, 13	400 000	56.625	22,650 00	54 520	21,808 00	-842 00	ST
UNTD RENTALS INC								
Symbol· URI Exchange NYSE	Mar 19, 13	300 000	54 273	16,281 93	77.950	23,385 00	7,103 07	ST
	Apr 11, 13	200 000	56 500	11,300 00	77 950	15,590 00	4,290 00	ST
	Sep 9, 13	250 000	57 980	14,495 00	77 950	19,487 50	4,992 50	ST
	Nov 15, 13	250 000	68 109	17,027 48	77 950	19,487 50	2,460.02	ST
Security total		1,000 000	59 104	59,104 41		77,950 00	18,845 59	
UNTD TECHNOLOGIES CORP								
Symbol: UTX Exchange· NYSE								
EAI· $59 Current yield· 2 07%	Nov 19, 09	25 000	67 649	1,691 23	113.800	2,845 00	1,153.77	LT
US BANCORP DEL (NEW)								
Symbol: USB Exchange NYSE								
EAI $97 Current yield. 2 29%	Jan 28, 10	105 000	25 159	2,641.75	40 400	4,242 00	1,600 25	LT
WELLS FARGO & CO NEW								
Symbol WFC Exchange. NYSE								
EAI $114 Current yield. 2 64%	Nov 19, 09	95.000	28 309	2,689 36	45 400	4,313 00	1,623.64	LT
3M CO								
Symbol. MMM Exchange· NYSE								
EAI: $133 Current yield: 2.43%	Nov 19, 09	30 000	76 799	2,303.97	140 250	4,207.50	1,903 53	LT
	Apr 24, 12	9 000	88 650	797 85	140 250	1,262.25	464 40	LT
Security total		39 000	79 534	3,101 82		5,469 75	2,367 93	
Total				**$605,839.62**		**$752,585.25**	**$146,745.63**	

Total estimated annual income: $17,176

Your assets › **Equities** (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
CONSUMERS STAPLES SECTOR SPDR									
TRUST SHS									
Symbol· XLP									
Trade date: Apr 24, 12	39.000	33.840	1,319.76	1,319.76	42.980	1,676.22	356.46	356.46	LT
EAI. $40 Current yield 2.39%									
GUGGENHEIM S&P 500 EQUAL									
WEIGHT ETF									
Symbol: RSP									
Trade date· Dec 6, 13	1,000.000	69.540	69,540.70	69,540 70	71.250	71,250.00	1,709 30	1,709.30	ST
EAI: $907 Current yield. 1.27%									
ISHARES US REGL BANKS ETF									
Symbol: IAT									
Trade date. Jul 1, 13	1,000.000	29.774	29,774.08	29,774.08	33.060	33,060.00	3,285.92	3,285.92	ST
EAI: $516 Current yield· 1.56%									
WISDOMTREE TRUST MIDCAP DIVID									
FUND									
Symbol: DON									
Trade date: Feb 27, 13	300.000	62.219	18,665.84	18,665.84	74.612	22,383 60	3,717 76	3,717.76	ST
EAI: $509 Current yield: 2.27%									
Total			**$119,300.38**	**$119,300.38**		**$128,369.82**	**$9,069.44**	**$9,069.44**	

Total estimated annual income: $1,972

Your assets › **Equities** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
AMER FUNDS EUROPACIFIC									
GROWTH FUND									
CLASS F2									
Symbol AEPFX									
Trade date. Jul 16, 12	985 299	36 609	36,071 79	36,071 79	48 980	48,259 94	12,188 15	12,188 15	LT
EAI· $566 Current yield 1 17%									
PRINCIPAL MIDCAP FUND									
CLASS I									
Symbol PCBIX									
Trade date Jul 16, 12	3,323 719	14 680	48,792 20	48,792 20	20 490	68,103 00	19,310 80	19,310.80	LT
EAI $166 Current yield 0 24%									
Total			**$84,863.99**	**$84,863.99**		**$116,362.94**	**$31,498.95**	**$31,498.95**	

Total estimated annual income: $732

Your assets (continued)

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UNITED PARCEL SERVICE 7 5BP INC B/E RATE 03.875% MATURES 04/01/14 ACCRUED INTEREST $242.18 CUSIP 911312AL0 Moody· Aa3 S&P: A+ EAI. $484 Current yield: 3.84% Original cost basis· $26,389.25	Oct 30, 09	25,000.000	100 330	25,082 52	100.827	25,206.75	124.23	LT
MICROSOFT CORP 2.950% 060114 DTD051809 FC120109 ACCRUED INTEREST $61.45 CUSIP 594918AB0 Moody. Aaa S&P· AAA EAI: $369 Current yield: 2.92% Original cost basis: $25,459 25	Oct 30, 09	25,000.000	100 175	25,043.89	101.049	25,262 25	218.36	LT
Total		**$50,000.000**		**$50,126.41**		**$50,469.00**	**$342.59**	

Total accrued interest: $303.63

Total estimated annual income: $853

Account name: THE THOMAS M EVANS, JR
Account number: [redacted]

Your Financial Advisor:
MALIN/CONOVER
617-439-8000/800-225-2374

Your assets › **Fixed income** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends *It does not include any cash dividends* It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The *unrealized (tax) gain or loss may* need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares *that are not* reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BLACKROCK STRATEGIC									
INCOME A									
Symbol. BASIX									
Trade date: Feb 27, 13	14,763.780	10 159	150,000 00	150,000 00	10 160	149,999 99	-0 01		ST
Total reinvested	71 083	10.198		724 95	10 160	722 20	-2 75		
EAI. $3,679 Current yield 2 44%									
Security total	14,834 863	10.160	150,000 00	150,724 95		150,722 20	-2 76	722 19	
PIMCO INCOME FUND									
CLASS A									
Symbol PONAX									
Trade date: Apr 30, 13	11,773 940	12 740	150,000.00	150,000 00	12 260	144,348.50	-5,651 50	-5,651 50	ST
EAI: $7,324 Current yield· 5 07%									
THORNBURG LIMITED TERM									
INCOME FD CLASS A									
Symbol THIFX									
Trade date. Feb 25, 13	1,091 703	13 740	15,000.00	15,000 00	13 280	14,497 82	-502 18		ST
Trade date· Feb 27, 13	9,825 328	13 739	135,000.00	135,000 00	13 280	130,480 36	-4,519 64		ST
EAI: $3,515 Current yield. 2 42%									
Security total	10,917 031	13 740	150,000 00	150,000 00		144,978 17	-5,021 82	-5,021 82	
Total			**$450,000.00**	**$450,724.95**		**$440,048.87**	**-$10,676.08**	**-$9,951.13**	

Total estimated annual income: $14,518