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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation BAUMBERGER ENDOWMENT		A Employer identification number 23-7225925						
Number and street (or P O box number if mail is not delivered to street address) 7201 BROADWAY, SUITE 300		B Telephone number (see instructions) (210) 822-8915						
City or town, state or province, country, and ZIP or foreign postal code SAN ANTONIO, TX 78209		C If exemption application is pending, check here ☐						
G Check all that apply: <table style="width:100%;"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization: <table style="width:100%;"> <tr> <td>☒ Section 501(c)(3) exempt private foundation</td> <td>☐ Section 4947(a)(1) nonexempt charitable trust</td> <td>☐ Other taxable private foundation</td> </tr> </table>		☒ Section 501(c)(3) exempt private foundation	☐ Section 4947(a)(1) nonexempt charitable trust	☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐			
☒ Section 501(c)(3) exempt private foundation	☐ Section 4947(a)(1) nonexempt charitable trust	☐ Other taxable private foundation						
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 30,506,630.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐						
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	225.			
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	705,988.	702,508.		ATCH 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	994,064.			
b Gross sales price for all assets on line 6a	5,470,093.			
7 Capital gain net income (from Part IV, line 2)		994,064.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	1,700,277.	1,696,572.		
13 Compensation of officers, directors, trustees, etc.	19,000.	4,750.		14,250.
14 Other employee salaries and wages	76,564.	3,828.		72,736.
15 Pension plans, employee benefits	30,400.	1,520.		28,880.
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 2	17,850.	13,388.		4,462.
c Other professional fees (attach schedule) *	74,588.	74,588.		
17 Interest				
18 Taxes (attach schedule) (see instructions) ATCH 4	27,352.	13,815.		83.
19 Depreciation (attach schedule) and depletion	561.	56.		
20 Occupancy	23,815.	2,382.		21,433.
21 Travel, conferences, and meetings				
22 Printing and publications	2,280.	228.		2,052.
23 Other expenses (attach schedule) ATCH 5	45,663.	5,002.		40,661.
24 Total operating and administrative expenses. Add lines 13 through 23	318,073.	119,557.		184,557.
25 Contributions, gifts, grants paid	1,243,297.			1,243,297.
26 Total expenses and disbursements. Add lines 24 and 25	1,561,370.	119,557.	0	1,427,854.
27 Subtract line 26 from line 12	138,907.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		1,577,015.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	27,472.	4,451.	4,451.
	2	Savings and temporary cash investments	598,330.	297,124.	297,124.
	3	Accounts receivable ▶ 8,780.			
		Less allowance for doubtful accounts ▶	7,791.	8,780.	8,780.
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges ATCH 6	16,686.	35,246.	35,246.
	10 a	Investments - U S and state government obligations (attach schedule). **		1,047,943.	1,043,425.
	b	Investments - corporate stock (attach schedule) ATCH 8	7,437,516.	7,360,305.	11,338,849.
	c	Investments - corporate bonds (attach schedule) ATCH 9	3,284,922.	2,980,474.	3,060,226.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans ATCH 10	516,245.	511,815.	595,321.	
13	Investments - other (attach schedule) ATCH 10	12,444,944.	12,227,236.	14,123,106.	
14	Land, buildings, and equipment basis ▶ 27,351.				
	Less accumulated depreciation (attach schedule) ▶ 27,250.	662.	101.	101.	
15	Other assets (describe ▶ ATCH 11)	1.	1.	1.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	24,334,569.	24,473,476.	30,506,630.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒				
	check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	24,334,569.	24,473,476.	
30	Total net assets or fund balances (see instructions)	24,334,569.	24,473,476.		
31	Total liabilities and net assets/fund balances (see instructions)	24,334,569.	24,473,476.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	24,334,569.
2	Enter amount from Part I, line 27a	2	138,907.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	24,473,476.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,473,476.

**ATCH 7

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	994,064.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,373,757.	27,964,805.	0.049124
2011	1,265,308.	28,002,274.	0.045186
2010	1,380,232.	26,463,146.	0.052157
2009	1,241,560.	23,862,927.	0.052029
2008	1,528,456.	28,354,266.	0.053906
2 Total of line 1, column (d)			2 0.252402
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.050480
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 29,390,357.
5 Multiply line 4 by line 3			5 1,483,625.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 15,770.
7 Add lines 5 and 6			7 1,499,395.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 1,427,854.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	31,540.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	31,540.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	31,540.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	35,246.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	35,246.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	1.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,705.
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 3,705. Refunded ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ TX, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address BAUMBERGERENDOWMENT.COM				
14	The books are in care of CYNDE ZIETLOW, EXEC DIR Telephone no 210-822-8915 Located at 7201 BROADWAY, STE 300 SAN ANTONIO, TX ZIP+4 78209			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** XOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		19,000.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		60,000.	22,113.	0

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 14		74,588.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	28,958,887.
b	Average of monthly cash balances	1b	879,039.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	29,837,926.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	29,837,926.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	447,569.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	29,390,357.
6	Minimum investment return. Enter 5% of line 5	6	1,469,518.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,469,518.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	31,540.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	31,540.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,437,978.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,437,978.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,437,978.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,427,854.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,427,854.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,427,854.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,437,978.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,383,328.	
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>1,427,854.</u>				
a Applied to 2012, but not more than line 2a			1,383,328.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				44,526.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,393,452.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(e) Total

(a) 2013

(b) 2012

(c) 2011

(d) 2010

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i).

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization.

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 15

b The form in which applications should be submitted and information and materials they should include

SEE FORM ATTACHED

c Any submission deadlines

SEE FORM ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE FORM ATTACHED

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATCH 16				
Total			▶ 3a	1,243,297.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	705,988.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	994,064.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)				1,700,052.	
13 Total. Add line 12, columns (b), (d), and (e)				13	1,700,052.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BROADWAY NATIONAL BANK	467,989.	467,989.
BROADWAY NATIONAL BANK	234,424.	234,424.
POWERSHARES DB COMMODITY	95.	95.
BROADWAY NATIONAL BANK - TAX EXEMPT	3,480.	
TOTAL	<u>705,988.</u>	<u>702,508.</u>

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BDO USA LLP	9,600.	7,200.		2,400.
AKIN, DOHERTY, KLEIN & FEUGE	8,250.	6,188.		2,062.
TOTALS	<u>17,850.</u>	<u>13,388.</u>		<u>4,462.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BROADWAY BANK	74,588.	74,588.
TOTALS	<u>74,588.</u>	<u>74,588.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
EXCISE TAX	13,454.		
FOREIGN TAX	13,806.	13,806.	
PERSONAL PROPERTY TAX	92.	9.	83.
TOTALS	<u>27,352.</u>	<u>13,815.</u>	<u>83.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OFFICE EXPENSE	443.	44.	398.
POSTAGE	2,305.	231.	2,074.
PUBLIC RELATIONS	2,235.		2,235.
REPAIRS & MAINTENANCE	375.	38.	338.
SELECTION COMMITTEE	28,000.		28,000.
SUNDRY EXPENSE	274.		274.
SUPPLIES	1,779.	178.	1,602.
TRANSCRIPT COSTS	27.		27.
TELEPHONE	1,518.	152.	1,366.
INTERNET ACCESS	635.	63.	571.
WEB HOSTING	334.		334.
INSURANCE	3,824.	382.	3,442.
POWERSHARES DB COMMODITY - DED	1,354.	1,354.	
SPDR EXPENSE	2,560.	2,560.	
TOTALS	<u>45,663.</u>	<u>5,002.</u>	<u>40,661.</u>

ATTACHMENT 6

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID TAX	35,246.	35,246.
TOTALS	<u>35,246.</u>	<u>35,246.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING</u>	<u>ENDING</u>
	<u>BOOK VALUE</u>	<u>FMV</u>
TRINITY RIVER 2.000% 100,000	102,565.	102,027.
BROWNSVILLE TX 4.000% 125,000	135,266.	133,364.
WILLIAMSON CNTY 3.000% 50,000	52,799.	52,361.
PLANO TX MUNI 3.000% 115,000	121,226.	121,347.
MANOR TX ISD 4.000% 50,000	54,776.	54,388.
WESLACO TX ISD 4.500% 40,000	43,880.	42,868.
PEARLAND TX 4.000% 50,000	54,882.	54,810.
GRAHAM TX 2.000% 170,000	174,957.	176,419.
UNIV HOUSTON 2.300% 250,000	253,147.	251,563.
SAN JACINTO RIVR 3.500% 25,000	27,025.	27,066.
TEXAS ST PUBLIC 3.500% 25,000	27,420.	27,212.
STATE OBLIGATIONS TOTAL	<u>1,047,943.</u>	<u>1,043,425.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ALTRIA GROUP INC. 4,300	84,482.	165,077.
AMPHENOL CORP-CLASS A 3,345	105,650.	298,307.
APACHE CORP COM 1,570	94,979.	134,926.
APPLE INC. 360	32,322.	201,967.
AT&T INC. 4,075	105,541.	143,277.
BERKSHIRE HATHAWAY INC 2,265	157,291.	268,538.
BLACKROCK INC 665	109,106.	210,453.
BRISTOL MYERS SQUIBB 3,725	86,177.	197,984.
CATERPILLAR INC. 1,670	121,909.	151,653.
CHEVRON CORPORATION 1,465	83,608.	182,993.
CHUBB CORPORATION 2,155	209,672.	208,238.
CISCO SYSTEMS INC 7,930	208,955.	177,870.
COACH, INC. 2,615	160,135.	146,780.
COSTCO WHOLESALE CORP 1,190	52,269.	141,634.
CSX CORP. 9,435	191,928.	271,445.
CVS CAREMARK CORP 2,375	60,973.	169,979.
DEERE & COMPANY 2,050	152,244.	187,227.
EMC CORP/MASS 6,515	93,823.	163,852.
EMERSON ELECTRIC COMPANY 3,175	141,633.	222,822.
EXPRESS SCRIPTS INC. 2,870	116,849.	201,589.
EXXON MOBIL CORPORATION 2,135	28,575.	216,062.
GENERAL MILLS INC 2,695	87,525.	134,507.
GOOGLE INC. 270	162,375.	302,592.
HCC INSURANCE HOLDINGS 4,260	103,546.	196,556.
IBM 930	84,172.	174,440.
JOHNSON & JOHNSON 2,340	153,706.	214,321.
JPMORGAN CHASE & CO 3,395	146,748.	198,540.
KINDER MORGAN INC 2,790	109,682.	100,440.
M D U RESOURCES GROUP 7,115	186,186.	217,363.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 8 (CONT'D)

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MCDONALDS CORP. 1,790	136,333.	173,684.
METLIFE INC 4,810	217,659.	259,355.
MONSANTO CO. 1,970	156,455.	229,604.
NIKE INC-CLASS B 3,140	100,390.	246,930.
OCCIDENTAL PETROLEUM 1,770	129,612.	168,327.
ORACLE CORPORATION 6,015	81,114.	230,134.
PHILIP MORRIS INTL INC 1,505	71,518.	131,131.
PNC FINANCIAL SVCS GROUP 2,895	182,906.	224,594.
PPG INDUSTRIES INC 1,060	67,973.	201,039.
PPL CORP COM 3,120	92,723.	93,880.
PROCTER & GAMBLE CO. 2,125	83,691.	172,996.
QUALCOMM INC 2,570	147,341.	190,822.
QUANTA SERVICES, INC 7,255	197,769.	228,967.
SPDR S&P BIOTECH ETF 1,535	91,413.	199,857.
THERMO FISHER SCIENTIFIC 1,795	62,391.	199,873.
UNITED TECHNOLOGIES INC 1,800	92,071.	204,840.
VF CORPORATION 3,760	73,772.	234,398.
WALT DISNEY COMPANY 3,210	95,716.	245,244.
ACCENTURE PLC 2,980	192,262.	245,016.
NESTLE SA-SPONS ADR 2,795	166,143.	205,221.
NOBLE CORPORATION 4,165	132,100.	156,062.
NOVARTIS AG-ADR 2,790	157,529.	224,260.
SCHLUMBERGER LIMITED 2,145	148,596.	193,286.
ABSOLUTE STRATEGIES 91,115.071	972,761.	999,532.
WILMINGTON MULI-MGR 7,242.627	78,006.	78,365.
TOTALS	<u>7,360,305.</u>	<u>11,338,849.</u>

ATTACHMENT 9FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GEN ELEC CAP 5.650% 400,000	398,848.	409,057.
MERRILL LYNCH 5.450% 350,000	353,241.	359,086.
AT&T INC 5.100% 400,000	398,196.	412,749.
GOLDMAN SACHS 5.125% 350,000	344,918.	365,606.
WAL-MART 4.500% 250,000	269,620.	265,281.
HOME DEPOT 5.400% 350,000	351,057.	383,910.
JP MORGAN 1.100% 100,000	100,230.	100,381.
TOYOTA MOTOR 2.000% 60,000	61,871.	61,717.
COSTCO 1.125% 410,000	402,493.	402,788.
BEAL BANK USA CD .500% 250,000	250,000.	249,563.
MIZUHO CORP BANK .450% 50,000	50,000.	50,088.
TOTALS	<u>2,980,474.</u>	<u>3,060,226.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FEDERATED FLOATING 29,821.074	300,000.	300,596.
FEDERATED INST HIGH 37,608.259	371,746.	383,980.
T ROWE PRICE HIGH 55,240.68	370,552.	394,971.
VANGUARD HIGH 63,351.936	370,000.	382,012.
VANGUARD INFLATION 21,190.48	531,914.	539,722.
VANGUARD S/T INFLATION 11,965	601,361.	590,233.
AMERICAN CAP WORLD 20,089.615	378,905.	403,399.
MFS EMERGING MARKETS 28,372.74	444,450.	411,972.
TEMPLETON GLOBAL 32,531.94	381,464.	427,470.
COLUMBIA ACORN FUND 22,420.627	593,405.	849,518.
LOOMIS SAYLES SMALL 5,745.025	153,579.	215,151.
VANGUARD EXPLORER FD 2,251.569	158,693.	216,488.
VANGUARD SELECTED 30,435.372	504,599.	858,277.
LAZARD EMERGING 28,948.588	559,286.	540,470.
TEMPLETON FOREIGN 48,169.24	1,112,338.	1,094,405.
THORNBURG INTL VAL 52,465.253	1,336,415.	1,682,036.
ISHARES MSCI EAFE INDEX 8,290	351,733.	556,218.
WISDOM TREE EMERGING 9,460	546,185.	482,744.
BOSTON PROPERTIES, INC 540	19,924.	54,200.
HCP INC TRUST 1,280	20,663.	46,490.
HEALTHCARE REALTY TR 885	19,983.	18,859.
HOSPITALITY PROP TR 2,600	72,633.	70,278.
KIMCO REALTY CORPORATION 1,300	36,958.	25,675.
MACK-CALI REALTY CORP 1,400	39,947.	30,072.
PUBLIC STORAGE 700	19,276.	105,364.
REALTY INCOME CORP 1,000	14,706.	37,330.
SIMON PPTY GROUP 835	23,264.	127,054.
TANGER FACTORY OUTLET 3,600	28,349.	115,272.
SPDR GOLD TRUST 4,325	427,591.	502,219.
PIMCO COMMODITIES 24,137.572	265,505.	258,755.
CREDIT SUISSE CUSHING 14,880	362,243.	467,380.
JPMORGAN ALERIAN MLP 9,395	359,736.	435,458.
EATON VANCE 56,307.278	596,317.	586,159.

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 10 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MAJESTY OFFSHORE, LTD	853,516.	912,879.
TOTALS	<u>12,227,236.</u>	<u>14,123,106.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 11

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MINERAL INTEREST	1.	1.
TOTALS	<u>1.</u>	<u>1.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
RONALD SCHMIDT 7201 BROADWAY, SUITE 300 SAN ANTONIO, TX 78209	CHAIRMAN 2.00	3,800.
FRANK BURK 7201 BROADWAY, SUITE 300 SAN ANTONIO, TX 78209	SECRETARY 1.00	3,800.
ALBERT J TIETZE, JR 7201 BROADWAY, SUITE 300 SAN ANTONIO, TX 78209	TRUSTEE 1.00	3,800.
KENNETH M TAGGART 7201 BROADWAY, SUITE 300 SAN ANTONIO, TX 78209	TRUSTEE 1.00	3,800.
NANCY MAY 7201 BROADWAY, SUITE 300 SAN ANTONIO, TX 78209	TRUSTEE 1.00	3,800.
GRAND TOTALS		<u>19,000.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
CYNTHIA ZIETLOW 7201 BROADWAY, SUITE 300 SAN ANTONIO, TX 78209	EXECUTIVE DIRECTOR 40.00	60,000.	22,113.
			0
	TOTAL COMPENSATION	<u>60,000.</u>	<u>22,113.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BROADWAY NATIONAL BANK 1177 N E LOOP 410 SAN ANTONIO, TX 78217	INVESTMENT SERVICES	74,588.
TOTAL COMPENSATION		<u>74,588.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE COPY OF APPLICATION ATTACHED

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
TEXAS A & M UNIVERSITY CORPUS CHRISTI 6300 OCEAN DRIVE CORPUS CHRISTI, TX 78412	N/A	PUBLIC	SCHOLARSHIPS	5,000.
RICE UNIVERSITY 6100 MAIN STREET HOUSTON, TX 77005	N/A	PUBLIC	SCHOLARSHIPS	18,900.
SAN ANTONIO COLLEGE 1300 SAN PEDRO AVE SAN ANTONIO, TX 78212	N/A	PUBLIC	SCHOLARSHIPS	13,400.
ST EDWARDS UNIVERSITY 3001 SOUTH CONGRESS AVE AUSTIN, TX 78704	N/A	PUBLIC	SCHOLARSHIPS	16,800.
TEXAS A & M UNIVERSITY 1936 NORTH ST COLLEGE STATION, TX 77843	N/A	PUBLIC	SCHOLARSHIPS	289,800.
TEXAS STATE UNIVERSITY 501 UNIVERSITY DRIVE SAN MARCOS, TX 78666	N/A	PUBLIC	SCHOLARSHIPS	87,000.

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
TEXAS TECH UNIVERSITY 2500 BROADWAY LUBBOCK, TX 79409	N/A	PUBLIC	SCHOLARSHIPS	20,000.
TRINITY UNIVERSITY ONE TRINITY PLACE SAN ANTONIO, TX 78212	N/A	PUBLIC	SCHOLARSHIPS	77,200.
UNIVERSITY OF HOUSTON 4800 CALHOUN RD HOUSTON, TX 77004	N/A	PUBLIC	SCHOLARSHIPS	24,300.
UNIVERSITY OF NORTH TEXAS 1155 UNION CIRCLE #311370 DENTON, TX 76203	N/A	PUBLIC	SCHOLARSHIPS	26,800.
THE UNIVERSITY OF TEXAS AT ARLINGTON 701 S NEDDERMAN DRIVE ARLINGTON, TX 76019	N/A	PUBLIC	SCHOLARSHIPS	5,000.
THE UNIVERSITY OF TEXAS AT AUSTIN BOX 7758, UNIV STA AUSTIN, TX 78712	N/A	PUBLIC	SCHOLARSHIPS	448,300.

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE UNIVERSITY OF TEXAS AT SAN ANTONIO ONE UTSA CIRCLE SAN ANTONIO, TX 78249	N/A	PUBLIC	SCHOLARSHIPS	174,897.
BLINN COLLEGE 902 COLLEGE AVENUE BRENNHAM, TX 77833	N/A	PUBLIC	SCHOLARSHIPS	5,000.
ST PHILLIP'S COLLEGE 1801 M L KING DRIVE SAN ANTONIO, TX 78203	N/A	PUBLIC	SCHOLARSHIPS	5,000.
TEXAS A & M UNIVERSITY SAN ANTONIO ONE UNIVERSITY WAY SAN ANTONIO, TX 78224	N/A	PUBLIC	SCHOLARSHIPS	5,900.
TEXAS A & M UNIVERSITY KINGSVILLE 700 UNIVERSITY BLVD KINGSVILLE, TX 78363	N/A	PUBLIC	SCHOLARSHIPS	5,000.
UNIVERSITY OF TEXAS DALLAS 800 W CAMPBELL RICHARDSON, TX 75080	N/A	PUBLIC	SCHOLARSHIPS	15,000.
TOTAL CONTRIBUTIONS PAID				<u>1,243,297.</u>

FEDERAL FOOTNOTES

ASSETS LISTED ON PAGE 2, PART II, LINE 2 - TEMPORARY INVESTMENTS INCLUDE CASH EQUIVALENTS WHICH PAY DIVIDENDS. THESE DIVIDENDS ARE INCLUDED ON PAGE 1, PART I, LINE 4.

THE FUNDING OF SCHOLARSHIPS FOR STUDENTS WILL GENERALLY CONTINUE FOR 4 YEARS/8 SEMESTERS AS LONG AS THE STUDENT MAINTAINS A 2.0 GPA, PASSES 24 HOURS DURING THE FALL & SPRING SEMESTERS AND ATTENDS AN ELIGIBLE SCHOOL.

2012-2013 Schedule

Fall 2012

Applicants should take SAT or ACT on a fall testing date (January or February dates are too late)

November-December 2012

Applicants should obtain application from high school guidance counselor

February 15, 2013

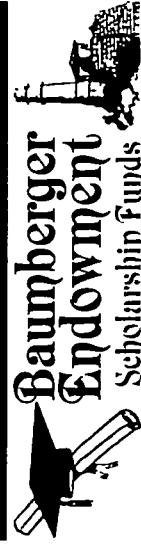
Deadline for completed application, official transcript, and financial information form to be submitted to:

Baumberger Endowment

7201 Broadway, Suite 300
San Antonio, Texas 78209-3774

May 2013

Announcement of Baumberger Scholars



Baumberger Scholars are:

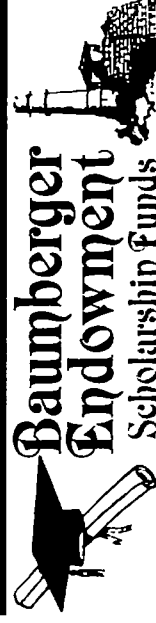
- ★ evaluated on a competitive basis without regard to race, color, ethnic origin, sex, age, or religion.
- ★ selected by an impartial selection committee of professional educators.
- ★ awarded renewable scholarships based on college costs and student resources.
- ★ challenged to pursue quality higher education to the fullest extent culminating in a degree.

For additional information, contact your high school counselor or the Endowment Office.



Baumberger Endowment

7201 Broadway, Suite 300
San Antonio, Texas 78209-3774
(210) 822-8915 • FAX (210) 822-6598
e-mail: baumendow@sbcglobal.net
www.baumbergerendowment.com



35th Annual Scholarship Competition 2013-2014

Open to Seniors
Graduating in the
Class of 2013
from High Schools
in Bexar County, Texas



About the Endowment

The Baumberger Endowment was established in the Last Will and Testament of Charles Baumberger, Jr. (1889-1968).

Mr. Baumberger was a lifetime resident of San Antonio and a former President of the Board of San Antonio Portland Cement Company. His father was one of the founders of San Antonio Portland Cement Company and one of the organizers of Bexar County National Bank. Charles Baumberger, Jr. and his wife, Gene, had no children. After providing for his wife during her lifetime, Mr. Baumberger stipulated in his Will that the income from his fortune be devoted to the education in the basic arts and sciences of Texas' youth.

The Endowment is guided by specific requirements stated in Mr. Baumberger's Will and by policies set by the Trustees of the Endowment.

Scholarship Requirements

An applicant must:

- 1) be a graduating senior (Class of 2013) of an accredited (TEA) high school within Bexar County, Texas.
- 2) have resided in Texas for at least ten (10) years.
- 3) achieve a minimum total score of 1000 on the new SAT using the Critical Reading and Math scores only, or composite 22 on the ACT.
- 4) rank in the upper one-half of high school graduating class.
- 5) be unable to attend college without financial assistance.
- 6) plan to attend a state-supported or non-denominational college or university within the state of Texas.
- 7) be of good character.

An applicant who does not meet all eligibility requirements will have his/her application returned without processing.

Procedures

If all of the above criteria are met, the applicant must:

- 1) obtain an application from his/her high school counselor.
- 2) complete the application and provide all necessary information as indicated.
- 3) submit completed application with certified high school transcript and test score sheet to the Baumberger Endowment office no later than February 15.
- 4) complete Financial Information Form attached to application and submit to the Baumberger Endowment office no later than February 15.

- ★ *An application received after February 15, 2013, will be returned without processing.*
- ★ *An application received without all supporting documents will not be rated.*
- ★ *An original application (no photocopies, faxed, or e-mailed copies) must be submitted.*



35th ANNUAL



2013-2014

7201 Broadway • Suite 300 • San Antonio, Texas 78209

SCHOLARSHIP APPLICATION

High School Senior

ELIGIBILITY

To be eligible an applicant must:

1. be a graduating senior (Class of 2013) of an accredited (TEA) high school within Bexar County, Texas.
2. have resided in Texas for at least ten (10) years.
3. achieve a minimum total score of **1000** on the new SAT using the Critical Reading and Math scores only, or composite of **22** on the ACT.
4. rank in the upper one-half of high school graduating class.
5. be unable to attend college without financial assistance.
6. plan to attend a **state-supported** or **non-denominational** college or university within the State of Texas.
7. be of good character.

DEADLINE DATES

1. Financial Information Form (FIF) – Submit form with Scholarship Application to Baumberger office by **FEBRUARY 15**. (See instructions)
2. Application – Submit completed application to Baumberger office by **FEBRUARY 15**.
3. High School Transcript – Submit official certified copy with application to be received in Baumberger office by **FEBRUARY 15**. (See instructions)
4. Student applicant must assume the responsibility of submitting all materials prior to deadlines.

INSTRUCTIONS

1. Check with your Counselor to determine your eligibility as an applicant.
2. Submit an official certified transcript through the Fall term: include Spring courses in progress, if possible. Transcript should indicate SAT or ACT scores, rank in class, and grade point average.
Observe Deadline Date of February 15.
3. Complete a 2013-2014 Financial Information Form provided by Baumberger Endowment (attached to the back of this application).
4. Complete this application in pen or type. (Do not use a pencil.)
5. Take your time in completing this application, giving full consideration to each answer. Misleading information could cause a delay or disqualification.
6. Complete all items; leave no blanks. If an item is not applicable, write "n/a" or, if none, write "none."
7. Use last page for additional comments regarding your answers to any of the questions. Number your comments to correspond to the number of the question on the application.
8. Do not include pictures or letters of recommendation.
9. If you have any questions contact your high school counselor.
10. Forward your completed application and transcript to the **BAUMBERGER ENDOWMENT, 7201 BROADWAY, SUITE 300, SAN ANTONIO, TEXAS 78209** for arrival no later than **FEBRUARY 15**.

ANY APPLICATION RECEIVED AFTER FEBRUARY 15 OR ONE NOT MEETING ALL ELIGIBILITY REQUIREMENTS WILL BE RETURNED WITHOUT PROCESSING.
AN ORIGINAL APPLICATION (NO PHOTOCOPIES OR FAXED COPIES) MUST BE SUBMITTED.

PERSONAL DATA

1. _____
Last Name First Middle

2. _____
Permanent Address City Zip

3. _____
Telephone Number E-Mail Address Social Security Number

4. _____
High School Graduation Date

5. _____
High School Address City County Zip

6. What **state-supported** or eligible **non-denominational** college or university in the State of Texas do you wish to attend?

First Choice: _____

Second Choice: _____

7. Have you applied for admission? _____

8. Have you been accepted? _____

9. Do you plan to apply for financial assistance at your college or university? _____

(OFFICE USE ONLY)

() Application

() Rank

() Transcript

() Eligible Institution

() Residence

() FIF

() SAT Scores [must be listed on transcript or test page]

() ACT Scores [must be listed on transcript or test page]

A. Student's Information:

1. Name (Mr.) (Miss) _____

Last
First
Middle

2. Permanent Home Address _____

Number and Street

City
State
Zip

3. Telephone Number _____ Social Security No. _____

4. Date of Birth _____ Place of Birth _____

Month
Day
Year

City
State

5. Are you a United States citizen? Yes () No () If not, what is your citizenship and your status in the U.S.? _____

B. Residence:

Home address for past **ten (10)** years (or longer if necessary to show 10 years in Texas):

DATES			
From	To	Number and Street	City and State
_____	present	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

C. High School Certification:

Must be completed and signed by High School Counselor			
Test Scores SAT (Verbal & Math Scores ONLY) ACT		Rank in Class:	GPA: Scale:
Verbal: (Critical Reading) Math: _____		Number in Class:	
Total: _____ Composite: _____		By: _____	
		Title: _____	

Test Scores MUST be listed on transcript or Test Score Page attached to transcript

D. Educational Experience and Plans:

1. Name of high school attending. _____
2. Have you attended any other senior high schools? Yes () No () If yes, please explain where and why.

3. Have you ever been suspended or dismissed from any school? Yes () No () If yes, please explain.

4. What school activities, clubs, societies, and community organizations have you participated in including offices held during the past two years? (List honor societies under number 5.)

5. What honors have you earned in high school? _____

6. List courses in progress. _____

7. What is your anticipated academic major? _____
8. What is your primary career objective? _____
9. Do you think you will make any educational plans beyond earning your bachelor's degree? Yes () No () If yes, please explain. _____

E. Employment and Savings:

1. Are you presently employed? Yes () No () If yes, give a short resume of your duties, hours, and salary.

2. Did you work last summer? Yes () No () If yes, describe job, hours, and salary.

3. If you did not work, describe any activity or travel during the summer.

F. Character References: Do not include names of relatives or fellow students.

	Name and Address	Occupation	Telephone No.
1.	_____	_____	_____

2.	_____	_____	_____

3.	_____	_____	_____

G. Certification by Student and Parents:

I hereby apply for a scholarship from the Baumberger Endowment to assist in the payment of my educational expenses while in full-time attendance at a state-supported or non-denominational college or university located in the State of Texas during the 2013-2014 academic year. I believe I qualify as a candidate for the scholarship and meet all of the eligibility requirements as specified on Page 1 of this application. The information submitted is true and correct to the best of my knowledge and belief. This certification is acknowledged by my parents.

Signature of Applicant

Date

Signature of Parent or Guardian

Date

(USE BACK PAGE FOR ADDITIONAL COMMENTS)

ADDITIONAL COMMENTS
(DO NOT include FIF information)

Number your comments to correspond to the number of the question on the application.

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.



7201 Broadway • Suite 300 • San Antonio, Texas 78209

FINANCIAL INFORMATION FORM

Student Information

1. Student's Name: _____ Social Security #: _____
2. Address: _____ City: _____ State: _____ Zip: _____
3. Date of Birth: _____ Age: _____
4. Student's marital status (Check only one): _____ Unmarried _____ Married _____ Separated
5. Number of other dependents (**do not** include student or spouse): _____
(List "other dependents" and ages on Number 31)
6. Check any that are applicable to the student: _____ Ward _____ Orphan (Explain on Number 31)
7. Student's wages, salaries, tips, etc. (before taxes and deductions):
 - A. Calendar Year 2012 \$ _____
 - B. Estimated Summer 2013 (3 months) \$ _____
 - C. Estimated Academic Year 2013-2014 (9 months) \$ _____
8. Spouse's wages, salaries, tips, etc., if applicable, (before taxes and deductions):
 - A. Calendar Year 2012 \$ _____
 - B. Estimated Summer 2013 (3 months) \$ _____
 - C. Estimated Academic Year 2013-2014 (9 months) \$ _____
9. Other taxable income (or loss) (interest, dividends, etc.):
 - A. Calendar Year 2012 \$ _____
 - B. Estimated Summer 2013 (3 months) \$ _____
 - C. Estimated Academic Year 2013-2014 (9 months) \$ _____
10. Adjusted gross income (**Do not** include financial aid):
 - A. Calendar Year 2012 \$ _____
 - B. Estimated Summer 2013 (3 months) \$ _____
 - C. Estimated Academic Year 2013-2014 (9 months) \$ _____
11. Untaxed income and benefits (include Social Security, VA Benefits, etc.):
 - A. Calendar Year 2012 \$ _____
 - B. Estimated Summer 2013 (3 months) \$ _____
 - C. Estimated Academic Year 2013-2014 (9 months) \$ _____
12. U.S. Income Tax paid: Calendar Year 2012: \$ _____
13. Cash, savings, and checking accounts at present time (excluding scholarship/grant monies): \$ _____
14. Investments (stocks, bonds, etc.) (explain on Number 31): \$ _____

Parents Information

- 15.a. Father – Stepfather (circle one) wages, salaries, etc.:
 - A. 2011 \$ _____
 - B. Estimated 2012 \$ _____
 - C. Estimated 2013 \$ _____
- b. Mother – Stepmother (circle one) wages, salaries, etc.:
 - A. 2011 \$ _____
 - B. Estimated 2012 \$ _____
 - C. Estimated 2013 \$ _____
- c. Other taxable income (or loss) (interest, dividends, etc.):
 - A. 2011 \$ _____
 - B. Estimated 2012 \$ _____
 - C. Estimated 2013 \$ _____

16. Gross income: (Total sum of 15 a. b. c.)
A. 2011 \$ _____
B. Estimated 2012 \$ _____
C. Estimated 2013 \$ _____
17. Social Security benefits (taxable portion only):
A. 2011 \$ _____
B. Estimated 2012 \$ _____
C. Estimated 2013 \$ _____
18. Other untaxed income (untaxed Social Security benefits, child support, welfare, veterans benefits, etc.):
A. 2011 \$ _____
B. Estimated 2012 \$ _____
C. Estimated 2013 \$ _____
19. Total U.S. income tax paid (including withholding): 2012 \$ _____
20. Medical and dental expenses not covered by insurance (Do not include insurance premiums): 2012 \$ _____
21. Number of dependent children in 2012 _____
22. Other unusual expenses (explain on Number 31): 2012 \$ _____
23. Cash, savings, and checking accounts at present time: \$ _____
24. Father – Stepfather (circle one)
Name: _____
Home Address: _____
Occupation/employer: _____
25. Mother – Stepmother (circle one)
Name: _____
Home Address: _____
Occupation/employer: _____
26. Parents' current marital status: (circle one) Single/Never Married Married/Remarried Separated/Divorced Widowed
27. Date of birth of mother: _____ Date of birth of father: _____
28. Total number of exemptions to be claimed on parents' 2012 U.S. income tax return: _____
29. Total size of parents' household during 2013-2014 academic year (include the student named on this form, parents, other dependent children, and other dependents): _____
30. Number of dependents in family, excluding parents that will be in college at least half-time during 2013-2014 academic year: _____
31. Use this space to explain any unusual circumstances: _____

Certification and Authorization

I (We) declare that the information reported is true, correct, and complete. I (We) agree that, to verify information reported on this form, I (We) will, on request, provide the Baumberger Endowment with an official photocopy of my (our) 2012 U.S. income tax return. I (We) further agree to provide, if requested, any other official documentation to verify information reported.

Student's Telephone Number () _____ Date: _____

Student's Signature _____

Spouse's Signature _____

Father's Signature _____

Mother's Signature _____

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
215,400.		PUBLICLY TRADED SECURITIES-ST PROPERTY TYPE: SECURITIES 180,255.				P	35,145.	
377,279.		PUBLICLY TRADED SECURITIES-LT PROPERTY TYPE: SECURITIES 434,294.				P	-57,015.	
4,682,788.		PUBLICLY TRADED SECURITIES-LT PROPERTY TYPE: SECURITIES 3,849,294.				P	833,494.	
2,551.		PUBLICLY TRADED SECURITIES-LT PROPERTY TYPE: SECURITIES 1,834.				P	717.	
151,950.		CAPITAL GAIN DISTRIBUTION PROPERTY TYPE: SECURITIES				P	151,950.	
39,847.		MAJESTY OFFSHORE, LTD PROPERTY TYPE: SECURITIES				P	39,847.	
		POWERSHARES DB COMMODITY PROPERTY TYPE: OTHER 10,352.				P	-10,352.	
278.		SECURITIES LITIGATION PROPERTY TYPE: SECURITIES				P	278.	
TOTAL GAIN(LOSS)							<u>994,064.</u>	