Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
THE JOSEF AND ANNI ALBERS
FOUNDATION, INC.

A Employer identification number
23-7104223

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
88 BEACON ROAD

B Telephone number
(203)393-4089

City or town, state or province, country, and ZIP or foreign postal code
BETHANY, CT 06524

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 18,105,003.

J Accounting method: ☐ Cash [X] Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc., received	130,000.			
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	34.	34.	34.	STATEMENT 1
4	Dividends and interest from securities	50,953.	50,953.	50,953.	STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	2,005,156.			STATEMENT 3
b	Gross sales price for all assets on line 6a 6,387,042.				
7	Capital gain net income (from Part IV, line 2)		358,401.		
8	Net short-term capital gain			19,417.	
9	Income modifications				
10a	Gross sales less returns and allowances 15,082.				STATEMENT 4
b	Less Cost of goods sold				
c	Gross profit or (loss)	15,082.		15,082.	
11	Other income	212,207.	151,669.	212,207.	STATEMENT 5
12	**Total** Add lines 1 through 11	2,413,432.	561,057.	297,693.	
Operating and Administrative Expenses					
13	Compensation of officers directors, trustees, etc	352,553.	17,628.	52,883.	299,670.
14	Other employee salaries and wages	716,106.	63,000.	63,000.	653,106.
15	Pension plans, employee benefits	315,487.	22,840.	33,234.	279,776.
16a	Legal fees STMT 6	134,892.	5,000.	5,000.	129,016.
b	Accounting fees STMT 7	48,274.	0.	0.	59,185.
c	Other professional fees STMT 8	64,609.	11,728.	11,728.	52,783.
17	Interest				
18	Taxes STMT 9	75,168.	10,726.	12,106.	63,062.
19	Depreciation and depletion	94,952.	0.	0.	
20	Occupancy	88,570.	0.	0.	88,103.
21	Travel, conferences, and meetings	160,815.	7,747.	7,747.	148,041.
22	Printing and publications	6,837.	0.	0.	6,623.
23	Other expenses STMT 10	1,763,497.	0.	26,754.	1,687,119.
24	**Total operating and administrative expenses.** Add lines 13 through 23	3,821,760.	138,669.	212,452.	3,466,484.
25	Contributions, gifts, grants paid	547,094.			545,969.
26	**Total expenses and disbursements.** Add lines 24 and 25	4,368,854.	138,669.	212,452.	4,012,453.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<1,955,422.>			
b	**Net investment income** (if negative, enter -0-)		422,388.		
c	**Adjusted net income** (if negative, enter -0-)			85,241.	

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10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions**

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	225,601.	111,184.	111,184.
	2	Savings and temporary cash investments	7,048.	32,038.	32,038.
	3	Accounts receivable ▶ 219,859.			
		Less: allowance for doubtful accounts ▶	591,324.	219,859.	219,859.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use	2,000.	2,000.	2,000.
	9	Prepaid expenses and deferred charges	58,662.	57,975.	57,975.
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 13	3,024,974.	1,078,848.	1,078,848.
	14	Land, buildings, and equipment: basis ▶ 4,282,168.			
		Less accumulated depreciation ▶ 1,532,096.	2,273,833.	2,750,072.	2,750,072.
	15	Other assets (describe ▶ STATEMENT 14)	5,824,035.	5,893,232.	13,853,027.
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	12,007,477.	10,145,208.	18,105,003.
Liabilities	17	Accounts payable and accrued expenses	205,886.	305,802.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	205,886.	305,802.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted	11,801,591.	9,839,406.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**	11,801,591.	9,839,406.	
	31	**Total liabilities and net assets/fund balances**	12,007,477.	10,145,208.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,801,591.
2	Enter amount from Part I, line 27a	2	<1,955,422.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 12	3	6,946.
4	Add lines 1, 2, and 3	4	9,853,115.
5	Decreases not included in line 2 (itemize) ▶ BOOK/TAX DEPRECIATION	5	13,709.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,839,406.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VANGUARD - STMT ATTACHED	P		
b	VANGUARD - STMT ATTACHED	P		
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,755,519.		1,736,102.	19,417.
b	2,232,592.		1,897,513.	335,079.
c	3,905.			3,905.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				19,417.
b				335,079.
c				3,905.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 358,401.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** 19,417.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	3,564,328.	2,832,004.	1.258589
2011	2,669,982.	2,378,414.	1.122589
2010	2,246,696.	2,247,246.	.999755
2009	2,500,197.	2,596,523.	.962902
2008	2,034,255.	3,298,742.	.616676

2	**Total** of line 1, column (d)	2	4.960511
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.992102
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,481,392.
5	Multiply line 4 by line 3	5	2,461,794.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,224.
7	Add lines 5 and 6	7	2,466,018.
8	Enter qualifying distributions from Part XII, line 4	8	4,103,437.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI — Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	4,224.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	4,224.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	4,224.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 1,600.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 4,400.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	6,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	3.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,773.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,773. **Refunded** ▶		11	0.

Part VII-A — Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT,NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.ALBERSFOUNDATION.ORG

14 The books are in care of ▶ THE FOUNDATION Telephone no. ▶ (203) 393-4089
Located at ▶ 88 BEACON ROAD, BETHANY, CT ZIP+4 ▶ 06524

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ FRANCE			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS WEBER 108 BEACON ROAD BETHANY, CT 06524	EXEC DIRECTOR 40.00	352,553.	103,934.	0.
CHARLES KINGSLEY, ESQ C/O WIGGIN & DANA, 265 CHURCH ST NEW HAVEN, CT 06508	DIRECTOR 1.00	0.	0.	0.
EMMA LEWIS 225 17TH STREET NEW HAVEN, CT 11215	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRENDA DANILOWITZ 435 OAK VIEW DRIVE, ORANGE, CT 06477	CHIEF CURATOR 40.00	167,106.	44,980.	0.
JEANETTE REDENSEK 33 HARDING AVENUE, BRANFORD, CT 06405	RESEARCHER 40.00	110,000.	31,730.	0.
ANNE SISCO 33 N NABBY RD, DANBURY, CT 06811	ASSISTANT TO THE DIRECTOR 40.00	85,000.	25,162.	0.
SAMUEL MCCUNE - 45 NASH STREET APT 10, NEW HAVEN, CT 06511	COLLECTIONS MANAGER AND PREPARATOR 40.00	80,000.	19,110.	0.
NICHOLAS MURPHY - 955 METROPOLITAN AVE, APT 3L, BROOKLYN, NY 11211	ASSISTANT TO THE DIRECTOR 40.00	77,000.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
JOHN RICHARDSON 73 FIFTH AVE,, 7TH FLOOR, NEW YORK, NY 10003	AUTHOR	105,000.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 ART CONSERVATION AND PRESERVATION - SEE ATTACHED NARRATIVE	343,772.
2 EXHIBITS, LECTURES, BOOKS AND FILM - SEE ATTACHED NARRATIVE	2,015,812.
3 COLLECTIONS CATALOGUE	157,219.
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,370,969.
b	Average of monthly cash balances	1b	148,211.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,519,180.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,519,180.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	37,788.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,481,392.
6	**Minimum investment return.** Enter 5% of line 5	6	124,070.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ [X] and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,012,453.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	90,984.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,103,437.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,224.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	4,099,213.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years: ____, ____, ____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	85,241.	105,056.	89,021.	23,447.	302,765.
b 85% of line 2a	72,455.	89,298.	75,668.	19,930.	257,350.
c Qualifying distributions from Part XII, line 4 for each year listed	4,103,437.	3,565,726.	2,671,887.	2,248,000.	12,589,050.
d Amounts included in line 2c not used directly for active conduct of exempt activities	545,969.	498,592.	544,083.	110,360.	1,699,004.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	3,557,468.	3,067,134.	2,127,804.	2,137,640.	10,890,046.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	82,713.	94,400.	79,281.	74,908.	331,302.
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
FRACTURED ATLAS 248 W 35TH NEW YORK, NY 10001	N/A	PC	GENERAL DONATION	13,000.
AMERICAN FRIENDS OF LE KORSA, INC. 88 BEACON ROAD BETHANY, CT 06524	N/A	PC	GENERAL DONATION	190,764.
ARTS COUNCIL OF NEW HAVEN 70 AUDUBON STREET NEW HAVEN, CT 06510	N/A	PC	GENERAL DONATION	10,000.
ELISA MONTE DANCE COMPANY 481 EIGHT AVE SUITE 543 NEW YORK, NY 10001	N/A	PC	GENERAL DONATION	10,000.
THE ALBERS MUSEUM QUADRAT BOTTROP IM STADTGARTEN 20 BOTTROP, GERMANY, GERMANY 46236	N/A	PC	GENERAL DONATION	200,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	558,719.
b Approved for future payment NONE				
Total			▶ 3b	0.

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	34.	
4 Dividends and interest from securities			14	50,953.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	358,402.	1,646,756.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					15,082.
11 Other revenue:					
a ROYALTIES			15	151,669.	
b AUTHENTICATION FEES					57,413.
c BOOK/REPRODUCTION INCOME					3,125.
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		561,058.	1,722,376.

13 Total Add line 12, columns (b), (d), and (e) 13 2,283,434.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
10	NOVELTY ITEMS SOLD TO MUSEUM SHOPS FOR RESALE AND FURNITURE SALES
10	ILLUSTRATING CONCEPTS OF ART, SPACE AND DESIGN IN KEEPING WITH THE
10	WORKS OF JOSEF AND ANNI ALBERS.
11B	REIMBURSES COSTS OF INSURING THAT FAKES DO NOT DEBASE THE
11B	PRINCIPLES OF ART ASPIRED TO BY JOSEF ALBERS IN HIS WORKS.
11C	DISSEMINATION OF PRINCIPLES OF ART

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/14/2014 | Title EXECUTIVE DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOHN D. GORDON, CPA	John D. Gordon, CPA	11/14/14		P00168552
Firm's name ▶ DONALD L. PERLROTH & COMPANY, CPAS			Firm's EIN ▶ 06-1073221	
Firm's address ▶ 250 STATE STREET, C-1 NORTH HAVEN, CT 06473-2161			Phone no. (203)281-0522	

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FONDATION DU PATRIMOINE 23-25 RUE CHARLES FOURIER PARIS, FRANCE 75013	N/A	PC	GENERAL DONATION	13,400.
LOOMIS CHAFFEE SCHOOL 4 BATCHELDER ROAD WINDSOR, CT 06095	N/A	PC	VISITING ARTIST PROGRAM	11,000.
BLACK MOUNTAIN COLLEGE MUSEUM + ARTS CENTER 56 BROADWAY ASHEVILLE, NC 28801	N/A	PC	GENERAL DONATION	1,000.
PUBLICOLOR 149 MADISON AVENUE STE 1201 NEW YORK, NY 10016	N/A	PC	GENERAL DONATION/STUDENT SCHOLARSHIP	25,525.
GALLERIA OKSASENKATU 11 KUNNALLISKEDINTIE 1B23 HELSINKI, FINLAND 00600	N/A	PC	GENERAL DONATION	2,680.
THE METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028	N/A	PC	GENERAL DONATION	1,500.
INTERNATIONAL FOUNDATION FOR ART RESEARCH 500 FIFTH AVENUE SUTIE 935 NEW YORK, NY 10110	N/A	PC	GENERAL DONATION	1,000.
YALE SCHOOL OF DRAMA P O BOX 208325 NEW HAVEN, CT 06520	N/A	PC	GENERAL DONATION	10,000.
LEWIS GLUCKSMAN GALLERY UNIVERSITY COLLEGE CORK, IRELAND	N/A	PC	DONATION FOR TADHG CROWLEY EXPENSES/CATALOGUE FOR FOLLY EXHIBITION	15,202.
LONG WHARF THEATRE 222 SARGENT DRIVE NEW HAVEN, CT 06511	N/A	PC	GENERAL DONATION/THEATER PROGRAM	26,268.
Total from continuation sheets				134,955.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE HOLE IN THE WALL GANG CAMP 555 LONG WHARF DRIVE NEW HAVEN, CT 06511	N/A	PC	GENERAL DONATION	5,000.
SOUTH AFRICA DEVELOPMENT FUND 555 AMORY STREET BOSTON, MA 02130	N/A	PC	GENERAL DONATION	2,000.
SUMMER SEARCH 1616 WALNUT STREET SUITE 2200 PHILADELPHIA, PA 19103	N/A	PC	GENERAL DONATION	150.
ANIH 4 RUE CONDE MONTMORENCY, FRANCE 95360	N/A	PC	GENERAL DONATION	3,000.
EAMES FOUNDATION 203 CHAUTAUQUA BLVD PACIFIC PALISADES, CA 90272	N/A	PC	GENERAL DONATION	250.
READ TO GROW 53 SCHOOL GROUND RD STE 3 BRANFORD, CT 06405	N/A	PC	GENERAL DONATION	250.
CONNECTICUT PUBLIC BROADCASTING 1049 ASYLUM AVENUE HARTFORD, CT 06105	N/A	PC	GENERAL DONATION	1,500.
GOODWEAVE USA 2001 S STREET NW STE 510 WASHINGTON, DC 20009	N/A	PC	GENERAL DONATION	1,030.
THE KENYON REVIEW 102 W WIGGIN STREET GAMBLER, OH 43022	N/A	PC	GENERAL DONATION	650.
ARTSPACE 50 ORANGE STREET NEW HAVEN, CT 06511	N/A	PC	ART DONATION	3,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE ELI WHITNEY MUSEUM 915 WHITNEY AVE HAMDEN, CT 06517	N/A	PC	ART DONATION	3,000.
LONG WHARF THEATRE 222 SARGENT DRIVE NEW HAVEN, CT 06511	N/A	PC	ART DONATION	4,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 20 AMES STREET CAMBRIDGE, MA 02139	N/A	PC	GENERAL DONATION	800.
WADSWORTH ATHENEUM 600 MAIN STREET HARTFORD, CT 06105	N/A	PC	ART DONATION	2,750.
Total from continuation sheets				

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization
THE JOSEF AND ANNI ALBERS
FOUNDATION, INC.

Employer identification number
23-7104223

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE JOSEF AND ANNI ALBERS FOUNDATION, INC.	23-7104223

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JANET LEE KADESKY RUTTENBERG 800 THIRD AVENUE 40TH FLOOR NEW YORK, NY 10022	$ 80,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	JOHN RICHARDSON FINE ARTS LTD 3000 MARCUS AVENUE STE 3E6 NEW HYDE PARK, NY 11042	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE JOSEF AND ANNI ALBERS FOUNDATION, INC.	23-7104223

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: THE JOSEF AND ANNI ALBERS FOUNDATION, INC.

Employer identification number: 23-7104223

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	34.	34.	34.
TOTAL TO PART I, LINE 3	34.	34.	34.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
VANGUARD ASSET MANAGEMENT	54,858.	3,905.	50,953.	50,953.	50,953.
TO PART I, LINE 4	54,858.	3,905.	50,953.	50,953.	50,953.

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 3

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VANGUARD - STMT ATTACHED		PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,755,519.	1,736,102.	0.	0.	19,417.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VANGUARD - STMT ATTACHED		PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,232,592.	1,897,513.	0.	0.	335,079.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	WADDINGTON GALLERY	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,949,980.	16,270.	726,000.	0.	1,207,710.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	GLENN MURCUTT	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
7,525.	75.	0.	0.	7,450.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	GALERIE DENISE RENE	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
59,980.	750.	0.	0.	59,230.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	MARK CAZALET	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,457.	388.	0.	0.	4,069.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	JONATHAN LAIB	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,750.	350.	0.	0.	1,400.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	KUNSTMUSEUM BASEL	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
284,978.	2,625.	0.	0.	282,353.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	R FEHIBAUM & CO	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,006.	38.	0.	0.	5,968.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	BRADFORD SHELLHAMMER	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5,350.	738.	0.	0.	4,612.

(A) DESCRIPTION OF PROPERTY	NAME OF BUYER	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ART BY JOSEF ALBERS & ANNI ALBERS	FRAU KATHARINA FREIFRAU VON PERFALL	DONATED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
75,000.	1,000.	37.	0.	73,963.

NET GAIN OR LOSS FROM SALE OF ASSETS	2,001,251.
CAPITAL GAINS DIVIDENDS FROM PART IV	3,905.
TOTAL TO FORM 990-PF, PART I, LINE 6A	2,005,156.

FORM 990-PF	INCOME AND COST OF GOODS SOLD INCLUDED ON PART I, LINE 10	STATEMENT 4

INCOME

1. GROSS RECEIPTS	15,082	
2. RETURNS AND ALLOWANCES		
3. LINE 1 LESS LINE 2		15,082
4. COST OF GOODS SOLD (LINE 15)		
5. GROSS PROFIT (LINE 3 LESS LINE 4)		15,082
6. OTHER INCOME		
7. GROSS INCOME (ADD LINES 5 AND 6)		15,082

COST OF GOODS SOLD

8. INVENTORY AT BEGINNING OF YEAR	2,000	
9. MERCHANDISE PURCHASED		
10. COST OF LABOR		
11. MATERIALS AND SUPPLIES		
12. OTHER COSTS		
13. ADD LINES 8 THROUGH 12		2,000
14. INVENTORY AT END OF YEAR	2,000	
15. COST OF GOODS SOLD (LINE 13 LESS LINE 14)		

FORM 990-PF — OTHER INCOME — STATEMENT 5

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTIES	151,669.	151,669.	151,669.
AUTHENTICATION FEES	57,413.	0.	57,413.
BOOK/REPRODUCTION INCOME	3,125.	0.	3,125.
TOTAL TO FORM 990-PF, PART I, LINE 11	212,207.	151,669.	212,207.

FORM 990-PF — LEGAL FEES — STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	134,892.	5,000.	5,000.	129,016.
TO FM 990-PF, PG 1, LN 16A	134,892.	5,000.	5,000.	129,016.

FORM 990-PF — ACCOUNTING FEES — STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX SERVICES	48,274.	0.	0.	59,185.
TO FORM 990-PF, PG 1, LN 16B	48,274.	0.	0.	59,185.

FORM 990-PF — OTHER PROFESSIONAL FEES — STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	11,728.	11,728.	11,728.	0.
OUTSIDE SERVICES	13,653.	0.	0.	13,653.
PUBLIC RELATIONS	39,228.	0.	0.	39,130.
TO FORM 990-PF, PG 1, LN 16C	64,609.	11,728.	11,728.	52,783.

FORM 990-PF TAXES STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	68,996.	5,795.	7,175.	61,821.
FEDERAL EXCISE TAX	4,236.	4,236.	4,236.	0.
FOREIGN TAXES	695.	695.	695.	0.
PROPERTY TAXES	1,241.	0.	0.	1,241.
TO FORM 990-PF, PG 1, LN 18	75,168.	10,726.	12,106.	63,062.

FORM 990-PF OTHER EXPENSES STATEMENT 10

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ALBERSSHOP EXPENSES	44,111.	0.	15,082.	28,984.
AUTHENTICATION	11,672.	0.	11,672.	0.
OFFICE SUPPLIES & POSTAGE	32,682.	0.	0.	40,548.
BOOK PROGRAMS	295,600.	0.	0.	310,087.
MISCELLANEOUS	3,658.	0.	0.	4,487.
INSURANCE	64,770.	0.	0.	43,288.
DUES AND SUBSCRIPTIONS	9,355.	0.	0.	9,859.
BANK CHARGES AND FEES	10,691.	0.	0.	10,708.
RESEARCH	2,428.	0.	0.	2,428.
CONSERVATION AND RESTORATION	7,429.	0.	0.	7,429.
EXHIBITIONS	956,052.	0.	0.	923,446.
SHIPPING & STORAGE	65,878.	0.	0.	51,363.
TECHNOLOGY APPLICATIONS	13,548.	0.	0.	13,347.
EDUCATION	1,103.	0.	0.	1,103.
DEVELOPMENT	31,694.	0.	0.	31,161.
SECURITY	5,889.	0.	0.	6,130.
INTERNSHIP PAYMENTS	39,432.	0.	0.	39,432.
TRANSLATING SERVICE	825.	0.	0.	0.
WEBSITE	134,091.	0.	0.	133,821.
PHOTOGRAPHY	6,925.	0.	0.	6,925.
SPECIAL EVENTS	13,020.	0.	0.	10,919.
MEALS & ENTERTAINMENT	12,644.	0.	0.	11,654.
TO FORM 990-PF, PG 1, LN 23	1,763,497.	0.	26,754.	1,687,119.

FOOTNOTES STATEMENT 11

RECONCILIATION OF CHARITABLE CONTRIBUTIONS:

CHARITABLE CONTRIBUTIONS AT FAIR VALUE
(FORM 990, PART XV. PAGE 11, LINE 3A)

LESS APPRECIATION ON DONATED NONCASH ITEMS

CHARITABLE DISTRIBUTIONS (AT BOOK VALUE)
(FORM 990, PAGE 1, LINE 25, COL A)

LESS CONTRIBUTIONS OF ASSETS ALREADY USED FOR
CHARITABLE PURPOSES (AT BOOK VALUE)

CHARITABLE CONTRIBUTIONS THAT ARE QUALIFYING
DISTRIBUTIONS (FORM 990, PAGE 1, LINE 25, COL D)

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 12

DESCRIPTION	AMOUNT
INCREASE IN MARKET VALUE - INVESTMENTS	6,942.
ROUNDING	4.
TOTAL TO FORM 990-PF, PART III, LINE 3	6,946.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - FUNDS	FMV	1,078,848.	1,078,848.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,078,848.	1,078,848.

FORM 990-PF	OTHER ASSETS	STATEMENT 14

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ART - JOSEF ALBERS	3,822,441.	3,823,686.	10,534,715.
ART - ANNI ALBERS	1,101,511.	1,096,611.	2,416,939.
FILM ON LIFE OF ANNI ALBERS	2,000.	2,000.	2,000.
ALBERS DOCUMENTARY	115,392.	116,963.	100,835.
ART - PRECOLUMBIAN	42,500.	42,500.	42,500.
ART - OTHERS	740,191.	811,472.	756,038.
TO FORM 990-PF, PART II, LINE 15	5,824,035.	5,893,232.	13,853,027.

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization 990PF
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment Sequence No 179

Name(s) shown on return	Business or activity to which this form relates	Identifying number
THE JOSEF AND ANNI ALBERS FOUNDATION, INC.	FORM 990-PF PAGE 1	23-7104223

Part I Election To Expense Certain Property Under Section 179 **Note:** *If you have any listed property, complete Part V before you complete Part I*

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	85,099.

Part III **MACRS Depreciation (Do not** include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	4,323.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

	(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a	3-year property						
b	5-year property		20,682.	5.0	MQ	S/L	707.
c	7-year property		12,214.	7.0	MQ	S/L	421.
d	10-year property						
e	15-year property						
f	20-year property						
g	25-year property			25 yrs.		S/L	
h	Residential rental property	/		27 5 yrs.	MM	S/L	
		/		27 5 yrs	MM	S/L	
i	Nonresidential real property	/		39 yrs.	MM	S/L	
		/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a	Class life					S/L	
b	12-year			12 yrs		S/L	
c	40-year	/		40 yrs	MM	S/L	

Part IV **Summary** (See instructions.)

21	Listed property Enter amount from line 28	21	4,402.
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	94,952.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.*)

24a Do you have evidence to support the business/investment use claimed? [X] Yes [ ] No **24b** If "Yes," is the evidence written? [X] Yes [ ] No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use						**25**		
26 Property used more than 50% in a qualified business use								
SUBARU	062703	%	56,000.		5	200DB/HY	1,775.	
TOYOTA	122308	%	26,973.		5	200DB/HY	1,775.	
JEEP LIBERTY	121910	%	7,400.		5	200DB/HY	852.	
27 Property used 50% or less in a qualified business use.								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						**28**	4,402.	
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							**29**	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?		X		X		X						
35 Was the vehicle used primarily by a more than 5% owner or related person?		X		X		X						
36 Is another vehicle available for personal use?	X		X		X							

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons.

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year					
43 Amortization of costs that began before your 2013 tax year				**43**	
44 **Total.** Add amounts in column (f) See the instructions for where to report				**44**	

The Josef Albers Foundation, Inc.
2013 Form 990-PF

Part 1X·A, Line 1:

Conservation, preservation and scholarly research and study of art, including approximately 800 oil paintings by the late Josef Albers and thousands of his other works, as well as archival management of his writings, photographs and other related materials; and various weavings and textile materials and prints by the late Anni Albers.

Part 1X-A, Line 2:

Preparation and organization of various exhibitions of art by Josef Albers and Anni Albers: (1) realization of a retrospective of Josef's work curated by Nick Murphy and emphasizing "Form and Color" at the Fondazione Stelline in Milan; (2) realization of an exhibition devoted to Josef Albers's teaching and the work of his students, a joint venture with art students but financed by us, presented at the Academia of the Brera in Milan, both in Italy with subsequent venues planned; (3) Preparation and publication of major catalogues for both of those exhibitions; (4) continued exploration of possibilities for an exhibition of Josef Albers's photography, under discussion at Yale University Art Gallery, the Museum of Modern Art in New York, and other institutions; (5) exploration with regard to a major retrospective of Anni Albers's work, potentially to be held at the National Gallery in Washington, the Metropolitan Museum of Art in New York, and the Tate Gallery in London, the Whitney Museum in New York, and the Kunstmuseum Nordrhein-Westphalen in Dusseldorf; (6) Work on textile exhibitions including loans from us of pieces by Anni Albers, at national museums in Paris and in Germany; (7) preparation for a major exhibition of Josef Albers's art at the Foundation Juan March in Madrid, with intended travel of the show to the Henie-Onstad Art Center in Oslo; (8) preparation of a show of Josef Albers's printmaking process for the Foundation Juan March's satellite museum in Palma on Majorca; (9) preparation for a major exhibition of Josef Albers's and Joan Miro's work paired together, for the Fundacion Pilar and Joan Miro in Palma on Majorca; (10) exploration of possible exhibitions in Asia and Latin America; (11) preparation of an exhibition of the Alberses' art along with their collection of pre-Columbian objects and textiles as one of the inaugural shows at the Museo della Culture in Milan.

Other activities include: (1) Teaching of art to disadvantaged children and other student audiences in need of outside support; (2) Management of a visiting artist program in our guest studios; (3) Work revolving around the publication date of the digital edition of books on Josef Albers's color theories; (4) Work on a range of other publications, including a biography of the Alberses' friend and colleague Piet Mondrian; (5) Continued development of facilities ideal for the preservation of our collection, maintenance of our archives, and expansion of our educational activities, etc. at our headquarters in Bethany, Connecticut; (6) Further research on the work of Anni and Josef Albers in preparation for lectures in New York, Perugia, Oslo, and Paris, as well as nearby Connecticut towns, (7) Continued research on the teachings of Josef Albers, including interviews with many of his former students; (8) Continued support of arts-related programs for various non-profit organizations; (9) Support of research on the art and life of Pablo Picasso and the establishment of an archive devoted to these subjects; (10) Continued work on archival management, (11) Continued digitization of our collections catalogue; (12) Continued exploration of development possibilities in our capacity as a teaching and research institution; (13) Support of regional and national undertakings on the part of 501c(3) organizations furthering, through theater, music and paintings, the goal of "the revelation and evocation of vision through art"; (14) Work on the re-edition of materials by Anni Albers and development of rugs and other textiles with other firms; (15) Production of other products encapsulating the Alberses' work and ideas; (16) Development of new editions of Josefs furniture, some for mass-market, some smaller and more limited; (17) Further work toward the possible creation of a multi-purpose cultural center in Senegal, in association with the Harvard Graduate School of Design, and educational outreach in rural villages in that country and elsewhere in sub-Saharan Africa; (18) planning and beginning of construction of a new building to house Josef's furniture and other materials in Bethany; (19) work on a new app where art by Josef and Anni Albers will be paired with appropriate music; (20) monitoring of an increasingly difficult situation concerning fake versions of Josef Albers's paintings, entailing work with attorneys in Paris and Milan; (21) obtaining of necessary trademarks and copyrights for both of the Alberses' work; (22) work with the White House on a gift of two paintings by Josef Albers to its collection, and on production of a rug based on a design by Anni Albers for the Home Dining Room.

IMPORTANT TAX DOCUMENT

Vanguard
Contact Info 1-800-567-5163
AMS
P O Box 2600
Valley Forge, PA 19482 - 2600

RECIPIENT'S identification number: 23-7104223

JOSEF & ANNI ALBERS
FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

Copy B For Recipient
OMB No 1545-0715

Fund Name / Description (Box 8)	Quantity sold (Box 1e)	PAYER'S federal identification number / Date of sale or exchange (Box 1a)	CUSIP number / Date of acquisition (Box 1b)	Stock or other symbol (Box 1d) / Stocks, bonds, etc (Sales price) (Box 2a)	Recipient Account number / Cost or other basis (Box 3)	State (Box 13) / Wash sale loss disallowed (Box 5)	State identification no. (Box 14) / Federal income tax withheld (Box 4)	State tax withheld (Box 15)
Short-term transactions for which basis is reported to the IRS-- Report on Form 8949, Part I, with Box A checked.								
Type of gain or loss (Box 1c) Short-Term		Basis reported to IRS (Box 6b)						
TOT INTL BOND IX ADMIRAL		**45-3707767**	**92203J308**	**VTABX**	**88062135090**			
PHONE EXCH TO PRIME MM	418.154	09/11/2013		8,200 00	8,229 35	29 35	0 00	0 00
PHONE EXCH TO PRIME MM	595 659	10/02/2013		11,800 00	11,753 17	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	650 858	10/08/2013	08/22/2013	12,900 00	12,808 89	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	753 390	10/22/2013	08/22/2013	15,000 00	14,826 72	0.00	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	808 233	11/08/2013		16,100 00	15,907.83	0.21	0.00	0 00
SELL ELECTRONIC BANK TRANSFER	500 751	11/27/2013	08/22/2013	10,000 00	9,854 78	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	336 514	12/17/2013		6,700 00	6,623.78	0 38	0 00	0 00
SHORT-TERM INVEST-GR ADM		**23-2439153**	**922031836**	**VFSUX**	**88062136090**			
SELL ELECTRONIC BANK TRANSFER	18,467 221	01/18/2013		200,000 00	200,850 51	850 51	0 00	0 00
PHONE EXCH TO IT IG ADM	4,621 072	01/25/2013	01/07/2013	50,000 00	50,508 33	146.38	0 00	0 00
PHONE EXCH TO TL B IX AD	4,621 072	01/25/2013	12/27/2012	50,000 00	50,462 12	462.12	0 00	0 00
FEE REMITTED TO YOUR ADVISER	289 971	01/28/2013	12/27/2012	3,137 49	3,166 49	29 00	0 00	0 00
PHONE EXCH TO PRIME MM	821 791	02/26/2013	02/13/2013	8,900 00	8,982 18	82.18	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	4,616 805	03/06/2013		50,000 00	50,396 09	300 56	0 00	0 00

(Continued on next page)

For securities sold that were acquired on a variety of dates, Box 1b may be blank

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. You are ultimately responsible for the accuracy of your tax return. Please Note: Each specific fund name, NOT simply Vanguard, must be reported along with the related tax information on **Form 1040, Schedule D.** This applies to Form 1099-B. CUSIP® is a trademark of American Bankers Association

(keep for your records)

20140119 114426 TAXJDA24 104A T3 209 394

20140119 114426 TAXJDA24 104A T3 - 209 - 394

IMPORTANT TAX DOCUMENT

Vanguard
Contact Info 1-800-567-5163
AMS

RECIPIENT'S identification number: 23-7104223

JOSEF & ANNI ALBERS
FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

P O Box 2600
Valley Forge, PA 19482 - 2600

Copy B For Recipient
OMB No 1545-0715

Fund Name	PAYER'S federal identification number	CUSIP number	Stock or other symbol (Box 1d)	Recipient Account number	State (Box 13)	State identification no. (Box 14)

Description (Box 8)	Quantity sold (Box 1e)	Date of sale or exchange (Box 1a)	Date of acquisition (Box 1b)	Stocks, bonds, etc (Sales price) (Box 2a)	Cost or other basis (Box 3)	Wash sale loss disallowed (Box 5)	Federal income tax withheld (Box 4)	State tax withheld (Box 15)
PHONE EXCH TO PRIME MM	1,089 566	03/25/2013	03/14/2013	11,800 00	11,895.13	95 13	0 00	0 00
PHONE EXCH TO PRIME MM	647.549	04/04/2013	03/14/2013	7,000 00	7,064.76	64 76	0 00	0 00
PHONE EXCH TO PRIME MM	444 033	04/10/2013	03/14/2013	4,800 00	4,844.40	44 40	0 00	0 00
WIRE REDEMPTION	12,389 630	04/22/2013		134,055 80	134,748 95	570 81	0 00	0 00
FEE REMITTED TO YOUR ADVISER	282.739	04/29/2013	04/23/2013	3,059 24	3,087.51	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	558.140	06/18/2013		6,000 00	6,094 90	6.78	0 00	0 00
FEE REMITTED TO YOUR ADVISER	260.566	07/29/2013		2,785.45	2,845 78	4 62	0 00	0 00
PHONE EXCH TO TINTBIXADM	2,236 842	08/22/2013		23,800 00	24,385.72	4.75	0 00	0 00
PHONE EXCH TO PRIME MM	657 895	09/11/2013		7,000 00	7,158 10	4.05	0 00	0 00
PHONE EXCH TO PRIME MM	840 336	10/02/2013		9,000 00	9,144 03	2.45	0 00	0 00
PHONE EXCH TO PRIME MM	962.617	10/08/2013	04/23/2013	10,300 00	10,463.89	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	1,108.007	10/22/2013	04/23/2013	11,900.00	12,044 04	0.00	0 00	0.00
FEE REMITTED TO YOUR ADVISER	255.707	10/28/2013	04/23/2013	2,746.29	2,779 53	0.00	0 00	0.00
SELL ELECTRONIC BANK TRANSFER	931 966	11/08/2013	04/23/2013	10,000 00	10,130 47	1.47	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	929.368	11/27/2013	04/23/2013	10,000.00	10,102 23	0 98	0 00	0 00

(Continued on next page)

For securities sold that were acquired on a variety of dates, Box 1b may be blank

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(keep for your records)

Vanguard
Contact Info 1-800-567-5163
AMS
P O Box 2600
Valley Forge, PA 19482 - 2600

RECIPIENT'S identification number: 23-7104223

JOSEF & ANNI ALBERS
FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

Copy B For Recipient
OMB No. 1545-0715

Fund Name		PAYER'S federal identification number	CUSIP number	Stock or other symbol (Box 1d)	Recipient Account number	State (Box 13)	State identification no. (Box 14)	
Description (Box 8)	Quantity sold (Box 1e)	Date of sale or exchange (Box 1a)	Date of acquisition (Box 1b)	Stocks, bonds, etc. (Sales price) (Box 2a)	Cost or other basis (Box 3)	Wash sale loss disallowed (Box 5)	Federal income tax withheld (Box 4)	State tax withheld (Box 15)
PHONE EXCH TO PRIME MM	335 508	12/17/2013		3,600 00	3,647 29	1.19	0 00	0 00
500 INDEX FUND ADM		**23-1999755**	**922908710**	**VFIAX**	**88062135090**			
PHONE EXCH TO TINTSIADM	1,010.642	01/25/2013	12/27/2012	140,044 66	132,000 00	0.00	0 00	0 00
TOT INTL STOCK IX ADMIRAL		**23-2834923**	**921909818**	**VTIAX**	**88062135090**			
PHONE EXCH TO PRIME MM	2,859 351	02/13/2013	01/25/2013	74,000 00	74,000 01	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	2,090 730	02/26/2013	01/25/2013	53,000 00	54,108.09	33 37	0.00	0 00
PHONE EXCH TO PRIME MM	846 574	03/25/2013		21,629 96	21,925.07	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	79 117	10/02/2013	09/20/2013	2,144 07	2,150.41	0 00	0 00	0 00
FTSE ALL WORLD EX-US ADM		**20-8141118**	**921937736**	**VFWAX**	**88062135090**			
PHONE EXCH TO TTL IX ADM	4,782.012	01/25/2013		139,300 00	135,916.57	0 00	0 00	0 00
PHONE EXCH TO TINTSIADM	286.415	01/25/2013	01/07/2013	8,343 27	8,185 74	0 00	0 00	0 00
INTER-TERM INVEST-GR ADM		**23-2735379**	**922031810**	**VFIDX**	**88062135090**			
PHONE EXCH TO PRIME MM	3,706 226	02/26/2013		38,100.00	38,434 17	232 71	0 00	0 00
PHONE EXCH TO PRIME MM	1,065.494	04/04/2013		10,900 00	11,013 14	7 29	0 00	0 00
PHONE EXCH TO PRIME MM	772 994	04/10/2013		7,900 00	7,969 47	0 00	0 00	0 00
PHONE EXCH TO ST IG ADM	1,403 509	04/23/2013		14,400 00	14,428 75	0 00	0 00	0 00

(Continued on next page)

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20140119 114426 TAXJDA24 104A T3 . 210 . 394

20140119 114426 TAXJDA24 104A T3 - 210 - 394

IMPORTANT TAX DOCUMENT

Vanguard

Contact Info. 1-800-567-5163
AMS
P.O. Box 2600
Valley Forge, PA 19482 - 2600

RECIPIENT'S identification number: 23-7104223

JOSEF & ANNI ALBERS
FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

Copy B For Recipient
OMB No. 1545-0715

Fund Name		PAYER'S federal identification number	CUSIP number	Stock or other symbol (Box 1d)	Recipient Account number	State (Box 13)	State identification no. (Box 14)	
Description (Box 8)	Quantity sold (Box 1e)	Date of sale or exchange (Box 1a)	Date of acquisition (Box 1b)	Stocks, bonds, etc (Sales price) (Box 2a)	Cost or other basis (Box 3)	Wash sale loss disallowed (Box 5)	Federal income tax withheld (Box 4)	State tax withheld (Box 15)
PHONE EXCH TO PRIME MM	990 991	06/18/2013		9,900 00	10,176.69	31.85	0 00	0.00
PHONE EXCH TO TINTBIXADM	3,654 244	08/22/2013		35,300 00	37,475 45	56 60	0 00	0 00
PHONE EXCH TO PRIME MM	94 331	09/11/2013		909 35	976 95	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	98 630	12/17/2013	12/17/2013	959 67	959 67	0.00	0.00	0.00
TOTAL BOND MKT INDEX ADM		**23-2383781**	**921937603**	**VBTLX**	**88062135090**			
PHONE EXCH TO PRIME MM	1,121 240	03/14/2013		12,300 00	12,528 57	228.57	0.00	0.00
PHONE EXCH TO PRIME MM	4,490.909	03/25/2013		49,400 00	49,847 03	12.06	0 00	0 00
PHONE EXCH TO PRIME MM	75 539	04/04/2013	03/28/2013	834 70	839 98	0.00	0.00	0.00
PHONE EXCH TO PRIME MM	75.229	04/10/2013	03/28/2013	829.78	830 52	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	1,561.922	06/18/2013	01/25/2013	16,900 00	17,196 76	25 68	0 00	0 00
PHONE EXCH TO TINTBIXADM	5,661 275	08/22/2013		59,500.00	62,197 08	67.08	0 00	0 00
PHONE EXCH TO PRIME MM	1,682.510	09/11/2013		17,700.00	18,477 96	24.96	0.00	0.00
PHONE EXCH TO PRIME MM	543.614	10/02/2013		5,794.93	5,963 86	14 29	0.00	0.00
SELL ELECTRONIC BANK TRANSFER	46.091	11/08/2013	10/31/2013	489 95	508 39	14 00	0 00	0 00
PHONE EXCH TO PRIME MM	35.001	12/17/2013	11/29/2013	372 06	387.11	15 05	0 00	0 00

(Continued on next page)

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IMPORTANT TAX DOCUMENT

Vanguard
Contact Info. 1-800-567-5163
AMS
P O Box 2600
Valley Forge, PA 19482 - 2600

RECIPIENT'S identification number: 23-7104223

JOSEF & ANNI ALBERS
FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

Copy B For Recipient
OMB No 1545-0715

Fund Name		PAYER'S federal identification number	CUSIP number	Stock or other symbol (Box 1d)	Recipient Account number	State (Box 13)	State identification no. (Box 14)	
Description (Box 8)	Quantity sold (Box 1e)	Date of sale or exchange (Box 1a)	Date of acquisition (Box 1b)	Stocks, bonds, etc (Sales price) (Box 2a)	Cost or other basis (Box 3)	Wash sale loss disallowed (Box 5)	Federal income tax withheld (Box 4)	State tax withheld (Box 15)
TOTAL STOCK MKT IDX ADM		**23-2871505**	**922908728**	**VTSAX**	**88062135090**			
PHONE EXCH TO PRIME MM	123.333	10/02/2013	09/20/2013	5,300.85	5,326.75	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	606.028	12/17/2013		27,386.41	23,336.67	0.00	0 00	0.00
EXTENDED MKT INDEX ADM		**23-2482430**	**922908694**	**VEXAX**	**88062135090**			
PHONE EXCH TO TTL IX ADM	6,128.872	01/25/2013		301,295.35	287,600 00	0 00	0.00	0 00
		Total Short term		1,755,519.28	1,739567.83	3465.59		

Gain = 19,417.04

IMPORTANT TAX DOCUMENT

Vanguard
Contact Info 1-800-567-5163
.AMS

RECIPIENT'S identification number: 23-7104223

JOSEF & ANNI ALBERS
FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B
Proceeds From Broker and Barter Exchange Transactions

P O Box 2600
Valley Forge, PA 19482 - 2600

Copy B For Recipient
OMB No 1545-0715

Fund Name / Description (Box 8)	Quantity sold (Box 1e)	PAYER'S federal identification number / Date of sale or exchange (Box 1a)	CUSIP number / Date of acquisition (Box 1b)	Stock or other symbol (Box 1d) / Stocks, bonds, etc. (Sales price) (Box 2a)	Recipient Account number / Cost or other basis (Box 3)	State (Box 13) / Wash sale loss disallowed (Box 5)	State identification no. (Box 14) / Federal income tax withheld (Box 4)	State tax withheld (Box 15)
Long-term transactions for which basis is reported to the IRS-- Report on Form 8949, **Part II**, with **Box D** checked Type of gain or loss (Box 1c). Long-Term Basis reported to IRS (Box 6b)								
SHORT-TERM INVEST-GR ADM		**23-2439153**	**922031836**	**VFSUX**	**88062135090**			
WIRE REDEMPTION	1,442 832	04/22/2013	02/21/2012	15,611 44	15,481 59	0 00	0 00	0 00
INTER-TERM INVEST-GR ADM		**23-2735379**	**922031810**	**VFIDX**	**88062135090**			
PHONE EXCH TO PRIME MM	873 270	09/11/2013		8,418 32	8,896 35	28 55	0 00	0 00
PHONE EXCH TO PRIME MM	46 042	10/02/2013	09/30/2013	451 67	480 22	23 74	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	38 283	11/08/2013	10/31/2013	375 56	402 36	19 88	0 00	0 00
PHONE EXCH TO PRIME MM	28 401	12/17/2013	11/29/2013	276 34	299 91	19 95	0 00	0 00
TOTAL BOND MKT INDEX ADM		**23-2383781**	**921937603**	**VBTLX**	**88062135090**			
PHONE EXCH TO PRIME MM	186 477	04/04/2013		2,060 58	2,053.97	4 93	0 00	0 00
PHONE EXCH TO PRIME MM	70.401	10/02/2013	04/30/2013	750 47	784 97	0 00	0 00	0 00

(Continued on next page)

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20140119 114426 TAXJD124 104A T3 - 211 394

IMPORTANT TAX DOCUMENT

Vanguard
Contact Info 1-800-567-5163
AMS
P O Box 2600
Valley Forge, PA 19482 - 2600

RECIPIENT'S identification number: 23-7104223

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

JOSEF & ANNI ALBERS
FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

Copy B For Recipient
OMB No 1545-0715

Fund Name / Description (Box 8)	Quantity sold (Box 1e)	PAYER'S federal identification number / Date of sale or exchange (Box 1a)	CUSIP number / Date of acquisition (Box 1b)	Stock or other symbol (Box 1d) / Stocks, bonds, etc (Sales price) (Box 2a)	Recipient Account number / Cost or other basis (Box 3)	State (Box 13) / Wash sale loss disallowed (Box 5)	State identification no. (Box 14) / Federal income tax withheld (Box 4)	State tax withheld (Box 15)
TOTAL STOCK MKT IDX ADM		**23-2671505**	**922908728**	**VTSAX**	**88062135090**			
PHONE EXCH TO PRIME MM	147.453	03/25/2013	03/23/2012	5,743 29	5,150 52	0 00	0 00	0 00
PHONE EXCH TO TINTBIXADM	558 073	08/22/2013	08/20/2012	23,400 00	19,727.88	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	2,296 919	09/11/2013		98,400 00	81,673 62	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	1,126 616	10/02/2013		48,421.95	39,268 39	0 00	0 00	0 00

Long-term transactions for which basis is not reported to the IRS-- Report on Form 8949, **Part II**, with **Box E** checked.
Type of gain or loss (Box 1c) Long-Term Noncovered security (Box 6a)

Fund Name / Description (Box 8)	Quantity sold (Box 1e)	PAYER'S federal identification number / Date of sale or exchange (Box 1a)	CUSIP number / Date of acquisition (Box 1b)	Stock or other symbol (Box 1d) / Stocks, bonds, etc (Sales price) (Box 2a)	Recipient Account number / Cost or other basis (Box 3)	State (Box 13) / Wash sale loss disallowed (Box 5)	State identification no. (Box 14) / Federal income tax withheld (Box 4)	State tax withheld (Box 15)
SHORT-TERM INVEST-GR ADM		**23-2439153**	**922031836**	**VFSUX**	**88062135090**			
WIRE REDEMPTION	30 754	04/22/2013	12/30/2011	332 76	331 60	0 00	0 00	0 00
TOT INTL STOCK IX ADMIRAL		**23-2834923**	**921909818**	**VTIAX**	**88062135090**			
PHONE EXCH TO PRIME MM	347.164	03/25/2013	07/11/2011	8,870 04	9,016 72	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	649 198	04/04/2013		16,600.00	16,861 28	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	796 767	04/10/2013		20,700 00	20,694 02	0 00	0 00	0 00
PHONE EXCH TO ST IG ADM	1,223 857	04/23/2013	04/07/2010	31,600.00	31,786 61	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	1,210 363	06/18/2013	04/07/2010	31,300 00	31,436 13	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	2,186 567	09/11/2013	04/07/2010	58,600 00	56,790 58	0 00	0 00	0 00

(Continued on next page)

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20140119 114426 TAXJDA24 104A T3 . 212 . 394

IMPORTANT TAX DOCUMENT

Vanguard
Contact Info 1-800-567-5163
AMS
P.O. Box 2600
Valley Forge, PA 19482 - 2600

RECIPIENT'S identification number: 23-7104223

JOSEF & ANNI ALBERS
FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

Copy B For Recipient
OMB No. 1545-0715

Fund Name / Description (Box 8)	Quantity sold (Box 1e)	PAYER'S federal identification number / Date of sale or exchange (Box 1a)	CUSIP number / Date of acquisition (Box 1b)	Stock or other symbol (Box 1d) / Stocks, bonds, etc (Sales price) (Box 2a)	Recipient Account number / Cost or other basis (Box 3)	State (Box 13) / Wash sale loss disallowed (Box 5)	State identification no. (Box 14) / Federal income tax withheld (Box 4)	State tax withheld (Box 15)
PHONE EXCH TO PRIME MM	1,562 949	10/02/2013		42,355 93	40,593 67	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	1,554 889	10/08/2013	05/13/2011	41,500 00	40,384 33	0 00	0 00	0.00
PHONE EXCH TO PRIME MM	2,408 062	10/22/2013	05/13/2011	68,100 00	62,543 35	0.00	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	1,733 915	11/08/2013		47,700 00	45,034 08	0 00	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	1,438 332	11/27/2013	06/23/2011	40,000.00	37,357 05	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	677.966	12/17/2013	06/23/2011	18,400 00	17,608 46	0 00	0 00	0 00
INTER-TERM INVEST-GR ADM		**23-2735379**	**922031810**	**VFIDX**	**88062135090**			
PHONE EXCH TO PRIME MM	80 117	09/11/2013		772.33	795 13	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	1,503 397	10/02/2013	06/23/2011	14,748 33	14,920.48	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	1,602.041	10/08/2013	03/31/2010	15,700 00	15,899.47	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	1,878.788	10/22/2013	03/31/2010	18,600.00	18,646 05	0 00	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	1,929 097	11/08/2013	07/11/2011	18,924 44	19,145.34	0 00	0 00	0.00
SELL ELECTRONIC BANK TRANSFER	1,014 199	11/27/2013		10,000 00	10,065 42	0 00	0 00	0.00
PHONE EXCH TO PRIME MM	890 441	12/17/2013	05/13/2011	8,663.99	8,837.19	0.00	0 00	0.00
TOTAL BOND MKT INDEX ADM		**23-2383781**	**921937603**	**VBTLX**	**88062135090**			
PHONE EXCH TO PRIME MM	1,439.341	04/04/2013		15,904 72	15,310 70	0 00	0 00	0 00

(Continued on next page)

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FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

Copy B For Recipient
OMB No 1545-0715

Fund Name		PAYER'S federal identification number	CUSIP number	Stock or other symbol (Box 1d)	Recipient Account number	State (Box 13)	State identification no. (Box 14)	
Description (Box 8)	**Quantity sold (Box 1e)**	**Date of sale or exchange (Box 1a)**	**Date of acquisition (Box 1b)**	**Stocks, bonds, etc (Sales price) (Box 2a)**	**Cost or other basis (Box 3)**	**Wash sale loss disallowed (Box 5)**	**Federal income tax withheld (Box 4)**	**State tax withheld (Box 15)**
PHONE EXCH TO PRIME MM	1,139.640	04/10/2013	06/23/2011	12,570 22	12,122 68	0 00	0 00	0 00
PHONE EXCH TO ST IG ADM	2,177.055	04/23/2013	03/31/2010	24,100 00	23,157 97	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	1,693 677	10/02/2013	06/23/2011	18,054.60	18,016 13	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	2,441.315	10/08/2013		26,000 00	25,968 98	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	2,814.539	10/22/2013	07/11/2011	30,200.00	29,939 07	0 00	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	2,936.035	11/08/2013	05/13/2011	31,210.05	31,231.45	0.00	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	1,876.173	11/27/2013	05/13/2011	20,000.00	19,957.40	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	1,178 546	12/17/2013	05/13/2011	12,527.94	12,536 53	0.00	0 00	0 00
TOTAL STOCK MKT IDX ADM		**23-2671505**	**922908728**	**VTSAX**	**88062135090**			
SELL ELECTRONIC BANK TRANSFER	2,621.232	02/08/2013		100,000.00	84,555.37	0.00	0 00	0 00
PHONE EXCH TO TL B IX AD	1,859.310	02/13/2013	07/11/2011	71,100 00	59,977.38	0 00	0 00	0 00
PHONE EXCH TO IT IG ADM	619.770	02/13/2013		23,700.00	19,992.45	0 00	0 00	0 00
PHONE EXCH TO ST IG ADM	360.879	02/13/2013	04/07/2010	13,800 00	11,641 19	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	679.916	02/13/2013	04/07/2010	26,000.00	21,932 64	0 00	0.00	0 00
PHONE EXCH TO ST IG ADM	1,171 994	03/14/2013		46,200.00	37,806.02	0.00	0 00	0 00

(Continued on next page)

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RECIPIENT'S identification number: 23-7104223

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FOUNDATION INC
88 BEACON RD
BETHANY CT 06524-3074

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

Copy B For Recipient
OMB No 1545-0715

Fund Name		PAYER'S federal identification number	CUSIP number	Stock or other symbol (Box 1d)	Recipient Account number	State (Box 13)	State identification no. (Box 14)	
Description (Box 8)	**Quantity sold (Box 1e)**	**Date of sale or exchange (Box 1a)**	**Date of acquisition (Box 1b)**	**Stocks, bonds, etc (Sales price) (Box 2a)**	**Cost or other basis (Box 3)**	**Wash sale loss disallowed (Box 5)**	**Federal income tax withheld (Box 4)**	**State tax withheld (Box 15)**
PHONE EXCH TO PRIME MM	2,224.759	03/14/2013	06/23/2011	87,700 00	71,765 98	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	2,633.035	03/25/2013	06/23/2011	102,556 71	84,936 09	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	1,195 903	04/04/2013	06/23/2011	46,700 00	38,577 28	0 00	0.00	0.00
PHONE EXCH TO PRIME MM	1,337 019	04/10/2013		53,200.00	43,129.38	0 00	0 00	0.00
PHONE EXCH TO ST IG ADM	1,783 456	04/23/2013	05/13/2011	70,500.00	57,530.49	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	3,269 971	06/18/2013	05/13/2011	135,900 00	105,482 29	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	958.055	10/02/2013	05/13/2011	41,177 20	30,904 81	0 00	0 00	0.00
PHONE EXCH TO PRIME MM	2,231 228	10/08/2013	05/13/2011	93,600 00	71,974 65	0 00	0 00	0 00
PHONE EXCH TO PRIME MM	3,510 901	10/22/2013	05/13/2011	156,200 00	113,254 18	0.00	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	2,799 642	11/08/2013	05/13/2011	125,200.00	90,310.47	0.00	0 00	0 00
SELL ELECTRONIC BANK TRANSFER	2,404 372	11/27/2013		110,000.00	77,559 91	0.00	0 00	0 00
PHONE EXCH TO PRIME MM	467 218	12/17/2013		21,113 59	15,071 45	0 00	0 00	0 00
Total Long term C				2,232,592.47	1,897,609.68	97.05		

Total Gain = 335,079.84

For securities sold that were acquired on a variety of dates, Box 1b may be blank

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported You are ultimately responsible for the accuracy of your tax return. Please Note Each specific fund name, NOT simply Vanguard, must be reported along with the related tax information on **Form 1040, Schedule D.** This applies to Form 1099-B. CUSIP® is a trademark of American Bankers Association

(keep for your records)

Tax Asset Detail 1/01/13 - 12/31/13

FYE: 12/31/2013

Asset	dt	Property Description	Date In Service	Tax Cost	Sec 179 Exp Current = c	Tax Bonus Amt	Tax Prior Depreciation	Tax Current Depreciation	Tax End Depr	Tax Net Book Value	Tax Method	Tax Period
Group:												
75		Makita LS103on 10" Miter Saw	11/13/07	650.08	0 00	0.00	557 22	92 86	650.08	0.00	S/L	7.0
78		Keencut Ultimat 1200 Mat cutter	1/17/07	1,330 83	0.00	0.00	1,140 72	190.11	1,330 83	0.00	S/L	7.0
93		RPM Power Equip - Lawn Mower	10/06/10	1,604.98	0.00	1,604.98	1,604.98	0.00	1,604.98	0 00	200DB	5.0
			No Group	3,585.89	0.00c	1,604 98	3,302 92	282 97	3,585.89	0.00		
Group: 74 & 76 Beacon Road												
14		CARETAKER'S HOUSE	2/23/99	260,000.00	0.00	0 00	130,770.28	9,420.29	140,190.57	119,809 43	S/L	27.6
53		Studio apartment - Hilltop	10/01/03	79,625.93	0.00	0.00	18,885.63	2,041.69	20,927.32	58,698.61	S/L	39.0
59		Sofa - Apt	1/23/04	846.94	0 00	0.00	846 94	0.00	846 94	0.00	200DB	5.0
60		Carpet	11/15/04	2,652.96	0.00	0.00	2,652.96	0.00	2,652 96	0.00	200DB	5.0
61		Appliance	1/15/04	564.98	0.00	0.00	564.98	0.00	564.98	0.00	200DB	5.0
62		Water Filter	4/28/04	2,645.00	0 00	0.00	837.57	96.18	933.75	1,711.25	S/L	27.5
66		Table/76 Beacon (Apt)	4/13/05	518.00	0.00	0.00	518.00	0.00	518.00	0.00	200DB	7.0
69		Bentley Chair - 74 Beacon Rd	1/15/05	1,270.00	0 00	0.00	1,270 00	0.00	1,270.00	0.00	150DB	5.0
			74 & 76 Beacon Road	348,123.81	0.00c	0 00	156,346.36	11,558.16	167,904.52	180,219.29		
Group: Building												
13		MAIN BLDG 88 BEACON RD	2/23/99	1,832,021.50	0 00	0.00	651,837.47	46,974.91	698,812.38	1,133,209.12	S/L	39.0
29		STORAGE SHED	7/31/00	52,500 00	0.00	0 00	52,500.00	0.00	52,500 00	0.00	200DB	7.0
30		MAIN BLDG COSTS FROM 1999	1/01/00	143,930 70	0.00	0 00	47,828.49	3,690.53	51,519 02	92,411.68	S/L	39 0
43		Studio III	12/31/02	4,354.70	0.00	0.00	1,004.94	111.66	1,116 60	3,238.10	S/L	39.0
99		Roof	11/15/11	34,203.00	0.00	0.00	986 63	877.00	1,863.63	32,339.37	S/L	39.0
			Building	2,067,009.90	0.00c	0.00	754,157.53	51,654 10	805,811.63	1,261,198.27		
Group: Driveway - Bethany												
27		DRIVEWAY	2/23/99	57,455.76	0.00	0.00	53,353 67	3,516.08	56,869 75	586.01	150DB	15.0
40		Driveway -regrade	6/27/02	10,395.00	0.00	0 00	7,632.83	613.82	8,246.65	2,148 35	150DB	15.0
			Driveway - Bethany	67,850 76	0.00c	0 00	60,986.50	4,129 90	65,116.40	2,734 36		
Group: Furniture & Fixtures												
1		GLASS TOP DESK	2/23/99	8,700.00	0.00	0.00	8,700.00	0.00	8,700.00	0.00	200DB	7.0
2		CHAIRS	2/23/99	950.00	0.00	0.00	950.00	0 00	950.00	0.00	200DB	7.0
3		OFFICE FURN & CHAIRS	2/23/99	8,824.74	0.00	0.00	8,824.74	0 00	8,824.74	0 00	200DB	7.0
4		PHOTOGRAPHS	12/01/98	6,463.00	0.00	0.00	6,463.00	0.00	6,463 00	0 00	200DB	7.0
5		PANASONIC FAX	9/03/98	1,525.00	0 00	0.00	1,525.00	0.00	1,525 00	0 00	200DB	5.0
16		PANASONIC COPIER	3/09/99	7,387.00	0.00	0.00	7,387.00	0.00	7,387.00	0.00	200DB	5.0
24		VARIOUS SEE W/S 207	7/01/99	22,684.04	0.00	0 00	22,684 04	0 00	22,684.04	0 00	200DB	7.0
31		DESK & CHAIR - FRANCE	7/01/00	2,567 02	0.00	0.00	2,567 02	0 00	2,567.02	0 00	200DB	7.0
32		FURNITURE & ROOM SHADES	7/01/00	5,640.20	0.00	0.00	5,640 20	0 00	5,640.20	0 00	200DB	7.0
33		PHOTOGRAPHY EQUIP	6/16/00	2,254.40	0.00	0.00	2,254.40	0.00	2,254.40	0.00	200DB	7.0
36		Roman shade	1/12/01	640.20	0 00	0.00	640.20	0 00	640 20	0.00	200DB	7 0
37		Furniture & fixtures	9/06/01	1,976 66	0.00	0.00	1,976 66	0.00	1,976 66	0 00	200DB	7 0

Tax Asset Detail 1/01/13 - 12/31/13

FYE: 12/31/2013

Asset	d t	Property Description	Date In Service	Tax Cost	Sec 179 Exp Current = c	Tax Bonus Amt	Tax Prior Depreciation	Tax Current Depreciation	Tax End Depr	Tax Net Book Value	Tax Method	Tax Period
Group: Furniture & Fixtures (continued)												
38		Furniture - Rupert Deese	12/31/01	7,500.00	0 00	0 00	7,500.00	0 00	7,500 00	0.00	200DB	7.0
41		Furniture - K. Nishikawa	9/05/02	1,007.31	0.00	0.00	1,007 31	0 00	1,007.31	0.00	200DB	7.0
42		Furniture - 3 Elegance sets	4/18/02	1,425 00	0 00	0 00	1,425.00	0.00	1,425.00	0.00	200DB	7.0
48		Furniture - Hilltop Apt	10/01/03	9,596 50	0.00	0 00	9,596.50	0.00	9,596.50	0.00	200DB	7.0
49		Blinds Hilltop Apt	10/01/03	1,461.00	0.00	0.00	1,461.00	0.00	1,461 00	0.00	200DB	7 0
52		Signage	5/14/03	1,740.00	0.00	0.00	1,740.00	0.00	1,740 00	0 00	200DB	7 0
54		Furniture - Hilltop Apt	11/30/03	1,328.90	0 00	0.00	1,328.90	0.00	1,328.90	0.00	200DB	7 0
58		5 L'architecture Magazines on LeCc	1/12/04	3,167 00	0.00	0 00	3,167 00	0.00	3,167.00	0.00	200DB	7.0
63		Refrigerator for film	6/17/05	890 34	0.00	0.00	890.34	0.00	890.34	0.00	200DB	7.0
84		Refrigerator	12/01/08	908.00	0.00	0.00	680.08	79 28	759.36	148.64	200DB	7.0
86		Woodworking equipment	1/01/09	1,999.00	0 00	999.50	1,686.79	89.20	1,775 99	223.01	200DB	7.0
90		Shelves/dividers Vault	12/10/09	1,280.00	0.00	640.00	1,080 08	57.12	1,137.20	142 80	200DB	7 0
91		City - TV	9/10/10	600 00	0.00	600.00	600.00	0.00	600.00	0.00	200DB	5.0
108		3 QUEEN MATTRESSES	4/04/13	2,070 00	0.00c	0 00	0.00	184.82	184.82	1,885.18	S/L	7 0
109		Stools made for Foundation	10/07/13	6,350.00	0.00c	0.00	0.00	113.39	113 39	6,236 61	S/L	7 0
113		Lamp - 1950s	12/13/13	2,262.44	0.00c	0.00	0 00	40.40	40.40	2,222 04	S/L	7 0
114		2 Baskets (River native american)	9/24/13	1,531 25	0 00c	0 00	0 00	82.03	82.03	1,449.22	S/L	7.0
		Furniture & Fixtures		114,729 00	0.00c	2,239.50	101,775.26	646.24	102,421.50	12,307.50		
Group: Land												
11		LAND	7/28/94	419,439.03	0 00	0.00	0.00	0.00	0 00	419,439.03	Land	39.0
			Land	419,439 03	0.00c	0.00	0.00	0 00	0.00	419,439.03		
Group: Land Improvements												
12		LAND IMPROVEMENTS	2/23/99	15,477.00	0.00	0.00	14,372.01	947.13	15,319.14	157.86	150DB	15.0
26		LANDSCAPING	2/23/99	121,512.37	0.00	0.00	112,836.93	7,436.09	120,273.02	1,239.35	150DB	15.0
44		Hill House Apt - in progress 2002	10/01/03	11,321 03	0.00	0.00	2,685.09	290.28	2,975.37	8,345.66	S/L	39 0
		Land Improvements		148,310 40	0.00c	0.00	129,894 03	8,673.50	138,567.53	9,742.87		
Group: Library												
100		Archival Publications - On Top of tl	9/09/11	12,750 00	0.00	0.00	0.00	0.00	0.00	12,750.00	Memo	0.0
101		Libraires Associes, Plans Vol 1-13	6/15/11	1,589.28	0.00	0.00	0.00	0 00	0.00	1,589.28	Memo	0.0
102		91 Color Book/Fr H Linton	11/01/12	2,344.00	0 00	0 00	0 00	0.00	0.00	2,344 00	Memo	0.0
110		Rudolf Marx Papers	2/12/13	3,398.00	0.00c	0 00	0.00	0.00	0.00	3,398.00	Memo	0.0
			Library	20,081.28	0.00c	0.00	0.00	0 00	0 00	20,081.28		
Group: Studio Apartments												
15		CLARK STUDIO	2/23/99	156,000 00	0 00	0 00	55,505 16	4,000.00	59,505.16	96,494.84	S/L	39.0
28		102 BEACON RD STUDIO	7/31/00	156,000 00	0.00	0.00	49,836.12	4,000 00	53,836.12	102,163.88	S/L	39.0
		Studio Apartments		312,000 00	0 00c	0.00	105,341 28	8,000 00	113,341 28	198,658.72		

Tax Asset Detail 1/01/13 - 12/31/13

FYE: 12/31/2013

Asset	dt	Property Description	Date In Service	Tax Cost	Sec 179 Exp Current = c	Tax Bonus Amt	Tax Prior Depreciation	Tax Current Depreciation	Tax End Depr	Tax Net Book Value	Tax Method	Tax Period
Group: Technology equipment												
7		HP LASER JET/Brenda's HP1000	12/15/98	1,793.00	0.00	0 00	1,793 00	0.00	1,793.00	0.00	200DB	5 0
9		Dell 600SC /170L/HP color lazerjet	4/20/04	12,482 00	0.00	0 00	12,482 00	0.00	12,482.00	0.00	200DB	5 0
17		HP PRINTER - NW HP2100	3/11/99	775.00	0.00	0 00	775.00	0.00	775.00	0 00	200DB	5.0
18		MICRO CONCEPTS COMP #3082	3/11/99	2,545.00	0.00	0.00	2,545.00	0.00	2,545.00	0.00	200DB	5.0
19		MICRO CONCEPTS COMP #3081	3/09/99	3,990.00	0.00	0.00	3,990.00	0.00	3,990 00	0 00	200DB	5.0
21		MICRO CONCEPTS FLAT SCREI	6/11/99	1,150.00	0.00	0.00	1,150.00	0.00	1,150.00	0.00	200DB	5 0
22		MICRO CONCEPTS PRINTER/Sti	6/11/99	775.00	0.00	0.00	775 00	0 00	775.00	0.00	200DB	5.0
23		MICRO CONCEPTS - NW SONY	11/12/99	5,383.00	0.00	0.00	5,383 00	0 00	5,383.00	0.00	200DB	5.0
35		Dell -LatD800/X300/OptiSX280 (N	9/20/04	6,956 83	0.00	0.00	6,956 83	0 00	6,956.83	0 00	200DB	5.0
57		Epson 4870 Pro Scanner	7/15/04	532.19	0 00	0 00	532.19	0.00	532.19	0 00	200DB	5.0
70		HP Laptop with Vista - NFW	11/15/07	4,401.00	0 00	0 00	4,401.00	0.00	4,401.00	0 00	200DB	5.0
73		Apple IMAC/Fritz's laptop	11/26/07	1,488.24	0.00	0.00	1,488.24	0.00	1,488.24	0.00	200DB	5.0
76		Hard drive/Digitization project	6/06/07	1,224.85	0.00	0.00	1,224.85	0.00	1,224 85	0.00	200DB	5.0
77		Laptop/Digitization project	3/22/07	1,942.99	0.00	0.00	1,942.99	0.00	1,942 99	0.00	200DB	5.0
79		Computer/Latitude D830/Jeannette':	9/12/07	1,915 43	0.00	0.00	1,915 43	0.00	1,915 43	0.00	200DB	5.0
81		Computer - harddrive JC room	4/09/08	1,521.10	0 00	0.00	1,456 25	64 85	1,521.10	0.00	200DB	5.0
82		Computer - BD laptop	5/09/08	2,311 00	0 00	0.00	2,212.48	98.52	2,311.00	0.00	200DB	5.0
83		Vilece Computer server	8/22/08	7,535.00	0 00	0 00	7,002.91	532.09	7,535.00	0.00	200DB	5.0
87		Computer - Mac (vault)	2/06/09	2,681 00	0 00	1,340.50	2,449 36	154.43	2,603.79	77.21	200DB	5.0
88		Computer - NW	7/10/09	2,530.08	0.00	1,265.04	2,311.48	145.73	2,457 21	72.87	200DB	5.0
89		Computer Apple	12/10/09	2,158.16	0.00	1,079.08	1,971.69	124 31	2,096.00	62.16	200DB	5.0
92		Laptop - NW (Staples)	9/13/10	1,337.00	0.00	1,337 00	1,337.00	0.00	1,337.00	0 00	200DB	5 0
96	d	Lapto - Staples OB	2/20/11	1,040.00	0.00	1,040.00	1,040.00	0.00	1,040.00	0 00	200DB	5.0
97		Notebook - NW (Vilece)	4/15/11	3,031.96	0.00	3,031 96	3,031.96	0.00	3,031.96	0 00	200DB	5.0
98		Network storage - 5 bay server (Vilc	8/15/11	1,364.00	0.00	1,364 00	1,364.00	0.00	1,364 00	0.00	200DB	5.0
103		I MAC - Brenda	10/19/13	2,354.00	0 00c	0.00	0.00	58.85	58 85	2,295.15	S/L	5 0
104		Computer monitor - extra Brenda	10/19/13	949.00	0.00c	0.00	0 00	23.73	23.73	925.27	S/L	5.0
105		MACBOOK - NFW	10/18/13	1,709.00	0.00c	0 00	0 00	42 73	42.73	1,666.27	S/L	5 0
106		MACBOOK - NICK MURPHY	8/16/13	1,550.21	0.00c	0.00	0.00	116 27	116.27	1,433.94	S/L	5 0
111		Computer - Dell (JR)	6/18/13	1,120.00	0.00c	0.00	0.00	140.00	140.00	980.00	S/L	5.0
112		Server with hardware	11/30/13	13,000.02	0.00c	0.00	0.00	325.00	325.00	12,675.02	S/L	5.0
		Technology equipment		93,546.06	0.00c	10,457.58	71,531.66	1,826.51	73,358.17	20,187 89		
		***Less: Dispositions and Transfers**		1,040 00	0.00	0.00	1,040.00	0.00	1,040.00	0 00		
		Net Technology equipment		92,506.06	0.00c	10,457.58	70,491.66	1,826.51	72,318.17	20,187.89		
Group: Vechicles												
55		Subaru Leg/(Trade in-Chev Suburb)	6/27/03	55,999.54	0.00	9,037 33	42,613.83	1,775.00	44,388.83	11,610.71	200DB	5.0
85		2009 Toyota Prius	12/23/08	26,973.00	0.00	0 00	12,163.32	1,775.00	13,938.32	13,034.68	200DB	5 0
94		2010 F250 Ford Pickup	1/04/10	34,798 00	0.00	17,399.00	29,787.09	2,004 36	31,791.45	3,006.55	200DB	5.0
95		2002 JEEP LIBERTY (NFW)	12/19/10	7,400 00	0.00	0.00	5,268 80	852.48	6,121.28	1,278.72	200DB	5.0
107		2013 FIAT	6/17/13	17,736 00	0.00c	0.00	0.00	1,773.60	1,773 60	15,962.40	S/L	5.0
		Vechicles		142,906 54	0.00c	26,436.33	89,833 04	8,180.44	98,013.48	44,893.06		

Tax Asset Detail 1/01/13 - 12/31/13

FYE: 12/31/2013

Asset	d t	Property Description	Date In Service	Tax Cost	Sec 179 Exp Current = c	Tax Bonus Amt	Tax Prior Depreciation	Tax Current Depreciation	Tax End Depr	Tax Net Book Value	Tax Method	Tax Period
			Grand Total	3,737,582.67	0.00c	40,738 39	1,473,168 58	94,951.82	1,568,120.40	2,169,462.27		
			Less: Dispositions and Transfers	1,040.00	0.00	0.00	1,040.00	0.00	1,040.00	0.00		
			Net Grand Total	3,736,542.67	0 00c	40,738.39	1,472,128 58	94,951.82	1,567,080.40	2,169,462.27		

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions		
	Name of exempt organization or other filer, see instructions THE JOSEF AND ANNI ALBERS FOUNDATION, INC.	Employer identification number (EIN) or 23-7104223
	Number, street, and room or suite no. If a P O box, see instructions 88 BEACON ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions BETHANY, CT 06524	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ THE FOUNDATION 88 BEACON ROAD - BETHANY, CT 06524

 Telephone No ▶ (203) 393-4089 Fax No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013 , or other tax year beginning ______, and ending ______

6 If the tax year entered in line 5 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL INFORMATION IS NEEDED TO COMPLETE AND FILE AN ACCURATE RETURN

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	$	6,000.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$	6,000.
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ EXECUTIVE DIRECTOR Date ▶