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Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, and ending

Name of foundation U OF M-OH & SD WANGENSTEEN FUND			A Employer identification number 23-7097366	
Number and street (or P O box number if mail is not delivered to street address) Room/suite Wells Fargo Bank N A Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041			B Telephone number (see instructions) (888) 730-4933	
City or town Winston Salem	State NC	ZIP code 27101-3818		
Foreign country name		Foreign province/state/county	Foreign postal code	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change				
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 231,412		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	4,623	4,503		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	7,434			
	b Gross sales price for all assets on line 6a 84,722				
	7 Capital gain net income (from Part IV, line 2)		7,434		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	12,057	11,937	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	3,419	2,564		855
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,063			1,063
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	132	66		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	25			25
	24 Total operating and administrative expenses. Add lines 13 through 23	4,639	2,630	0	1,943
	25 Contributions, gifts, grants paid	9,091			9,091
26 Total expenses and disbursements. Add lines 24 and 25	13,730	2,630	0	11,034	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-1,673				
b Net investment income (if negative, enter -0-)		9,307			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.
HTA

Form 990-PF (2013)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	416	608	608
	2 Savings and temporary cash investments	7,755	9,149	9,149
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	184,648	181,633	221,655
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	192,819	191,390	231,412
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	192,819	191,390	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	192,819	191,390	
	31 Total liabilities and net assets/fund balances (see instructions)	192,819	191,390	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	192,819
2 Enter amount from Part I, line 27a	2	-1,673
3 Other increases not included in line 2 (itemize) ▶ <u>MUTUAL FUND & CTF INCOME ADDITION</u>	3	708
4 Add lines 1, 2, and 3	4	191,854
5 Decreases not included in line 2 (itemize) ▶ <u>See Attached Statement</u>	5	464
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	191,390

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Attached Statement			
b	See Attached Statement			
c	See Attached Statement			
d	See Attached Statement			
e	See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,434
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	10,241	216,094	0.047391
2011	9,838	219,425	0.044835
2010	9,302	209,756	0.044347
2009	7,116	186,334	0.038189
2008	8,787	222,931	0.039416

2 Total of line 1, column (d)	2	0.214178
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.042836
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	224,937
5 Multiply line 4 by line 3	5	9,635
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	93
7 Add lines 5 and 6	7	9,728
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	11,034

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1		93
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		93
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		93
6	Credits/Payments.			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		77
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		77
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		16
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>MN</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of WELLS FARGO BANK N A Telephone no 888-730-4933 Located at 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No N/A
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank N.A. Trust Tax Dept 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC	TRUSTEE 4 00	3,419		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	221,215
b	Average of monthly cash balances	1b	7,147
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	228,362
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	228,362
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	3,425
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	224,937
6	Minimum investment return. Enter 5% of line 5	6	11,247

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,247
2a	Tax on investment income for 2013 from Part VI, line 5	2a	93
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	93
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,154
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	11,154
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,154

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	11,034
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,034
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	93
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	10,941

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				11,154
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			6,542	
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 11,034				
a Applied to 2012, but not more than line 2a			6,542	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				4,492
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				6,662
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	9,091
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Analysis of Income-Producing Activities				
Enter gross amounts unless otherwise indicated.				
	Unrelated business income		Excluded by section 512, 513, or 514	
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount
				(e) Related or exempt function income (See instructions)
1 Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities			14	4,623
5 Net rental income or (loss) from real estate				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory			18	7,434
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue a _____				
b _____				
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e)		0		12,057
13 Total. Add line 12, columns (b), (d), and (e)			13	12,057

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

UNIVERSITY OF MINNESOTA FOUNDATION ATTN GRACIE DAVENPORT

Street

200 OAK ST SE STE 500

City

MINNEAPOLIS

State

MN

Zip Code

55455

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL PURPOSE GRANT

Amount

9,091

Name**Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals									
Short Term CG Distributions										2,349		Capital Gains/Losses									
Description										CUSIP #		Gross Sales									
Check 'X' to include in Part IV										Purchaser										Gross Sales	
Check 'X' if Purchaser is a Business										Date Acquired										Date Sold	
Acquisition Method										Gross Price										Gross Sales	
Cost or Other Basis										Valuation Method										Expense of Sale and Cost of Improvements	
Depreciation										Adjustments										Net Gain or Loss	
1	X	AQR MANAGED FUTURES ST								00203H859		2,198	2,242							0	-76
2	X	ARTISAN INTERNATIONAL FU								D4314H204		882	882							0	488
3	X	ARTISAN INTERNATIONAL FU								D4314H204		1,037	488							0	551
4	X	ARTISAN MID CAP FUND-INS								D4314H800		858	631							0	27
5	X	ARTISAN MID CAP FUND-INS								D4314H800		77	83							0	14
6	X	CREDIT SUISSE COMM RET S								22544R303		388	485							0	-97
7	X	CREDIT SUISSE COMM RET S								22544R303		1,691	2,100							0	-409
8	X	DIAMOND HILL LONG-SHORT								25284S833		236	215							0	21
9	X	DIAMOND HILL LONG-SHORT								25284S833		1,529	1,175							0	354
10	X	DIAMOND HILL LONG-SHORT								25284S833		246	197							0	49
11	X	DODGE & COX INTL STOCK F								256208103		251	208							0	42
12	X	DODGE & COX INTL STOCK F								256208103		707	587							0	140
13	X	DODGE & COX INTL STOCK F								256208103		881	657							0	224
14	X	DODGE & COX INTL STOCK F								256208103		842	448							0	194
15	X	DODGE & COX INCOME FD C								256210105		3,128	3,232							0	-103
16	X	DODGE & COX INCOME FD C								256210105		300	305							0	-5
17	X	DREYFUS EMG MKT DEBT LO								261880494		232	228							0	4
18	X	DREYFUS EMG MKT DEBT LO								261880494		1,317	1,290							0	27
19	X	DREYFUS EMG MKT DEBT LO								261880494		2,021	2,156							0	-135
20	X	DREYFUS EMG MKT DEBT LO								261880494		1,423	1,490							0	-67
21	X	HUSSMAN STRATEGIC GROW								448108100		393	503							0	-110
22	X	JP MORGAN MID CAP VALUE								339128100		489	287							0	182
23	X	GATEWAY FUND - Y #1988								367828884		5,423	5,301							0	122
24	X	GATEWAY FUND - Y #1988								367828884		2,578	2,547							0	31
25	X	HARBOR CAPITAL APRTION								411511504		209	4,098							0	333
26	X	HARBOR CAPITAL APRTION								411511504		178	178							0	31
27	X	HARBOR CAPITAL APRTION								411511504		885	535							0	328
28	X	HARBOR CAPITAL APRTION								411511504		482	341							0	-198
29	X	HARBOR CAPITAL APRTION								411511504		823	484							0	121
30	X	HUSSMAN STRATEGIC GROW								448108100		344	415							0	359
31	X	HUSSMAN STRATEGIC GROW								448108100		636	799							0	-71
32	X	HUSSMAN STRATEGIC GROW								448108100		1,039	1,185							0	-163
33	X	HUSSMAN STRATEGIC GROW								448108100		866	986							0	-146
34	X	HUSSMAN STRATEGIC GROW								448108100		4,148	3,373							0	-120
35	X	ISHARES CORE S & P 500 ETF								464287200		683	562							0	773
36	X	ISHARES CORE S & P 500 ETF								464287200		384	281							0	101
37	X	ISHARES CORE S & P 500 ETF								464287200		3,165	2,765							0	83
38	X	ISHARES RUSSELL 2000 GRO								464287848		2,757	2,575							0	380
39	X	ISHARES RUSSELL 2000 GRO								464287848		651	501							0	182
40	X	KALMAR GR W VAL SM CAP								483438305		718	518							0	150
41	X	KEELEY SMALL CAP VAL FD C								487300808		1,805	827							0	198
42	X	KEELEY SMALL CAP VAL FD C								487300808		381	143							0	978
43	X	KEELEY SMALL CAP VAL FD C								487300808		465	484							0	238
44	X	LAUDUS MONDRIAN INTL FLD								51855Q855		521	418							0	-29
45	X	MFS VALUE FUND-CLASS I #8								552883894		1,932	1,744							0	103
46	X	MFS VALUE FUND-CLASS I #8								552883894		1,181	940							0	188
47	X	MFS VALUE FUND-CLASS I #8								552883894		832	603							0	241
48	X	MFS VALUE FUND-CLASS I #8								552883894		1,679	1,726							0	229
49	X	OPENHEIMER DEVELOPING								863974505		12,284	12,714							0	47
50	X	PIMCO TOTAL RET FD-INST #								893390700		2,408	2,460							0	-450
51	X	PIMCO TOTAL RET FD-INST #								893390700		1,369	1,369							0	-54
52	X	PIMCO TOTAL RET FD-INST #								893390700		1,584	1,880							0	-93
53	X	PIMCO TOTAL RET FD-INST #								893390700		270	270							0	-86
54	X	PIMCO TOTAL RET FD-INST #								893390700		398	392							0	-9
55	X	PIMCO FOREIGN BD FD USD								863390882		1,335	1,300							0	7
56	X	RIDGEMOUNT SEIX HY BD-I #								766287845		233	231							0	35
57	X	RIDGEMOUNT SEIX HY BD-I #								766287845		1,638	1,759							0	2
58	X	VANGUARD FTSE EMERGING								922042858		342	312							0	-121
59	X	VANGUARD REIT VIPER								92208553		29	29							0	30
60	X	VANGUARD REIT VIPER								92208553		66	66							0	40

Part I, Line 16b (990-PF) - Accounting Fees

		1,063	0	0	1,063
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes, (Cash Basis Only)
1	ACCOUNTING FEES	1,063			1,063

Part I, Line 18 (990-PF) - Taxes

		132	66	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	66	66		
2	ESTIMATED EXCISE TAXES PAID	66			

Part I, Line 23 (990-PF) - Other Expenses

		25	0	0	25
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	25			25

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1				0	
2	ARTISAN INTERNATIONAL FUND 661		0	4,854	10,653
3	DODGE & COX INCOME FD COM 147		0	15,156	15,942
4	HARBOR CAPITAL APRCTION-INST 2012		0	7,207	15,567
5	MERGER FD SH BEN INT 260		0	6,669	6,747
6	PIMCO TOTAL RET FD-INST 35		0	16,068	15,754
7	PIMCO FOREIGN BD FD USD H-INST 103		0	4,505	4,471
8	T ROWE PRICE SHORT TERM BD FD 55		0	11,592	11,577
9	ARTISAN MID CAP FUND-INSTL 1333		0	7,337	9,132
10	FID ADV EMER MKTS INC- CL I 607		0	3,472	3,413
11	ISHARES S & P 500 INDEX FUND		0	2,523	4,084
12	LAUDUS MONDRIAN INTL FI-INST 2940		0	4,814	4,549
13	EATON VANCE GLOBAL MACRO - I		0	2,243	2,190
14	DODGE & COX INT'L STOCK FD 1048		0	7,203	10,385
15	MFS VALUE FUND-CLASS I 893		0	7,467	10,336
16	DREYFUS EMG MKT DEBT LOC C-I 6083		0	8,222	7,907
17	DRIEHAUS ACTIVE INCOME FUND		0	11,490	11,639
18	JP MORGAN MID CAP VALUE-I 758		0	3,140	5,804
19	ASG GLOBAL ALTERNATIVES-Y 1993		0	6,731	6,691
20	VANGUARD REIT VIPER		0	3,736	7,876
21	VANGUARD EMERGING MARKETS ETF		0	5,569	7,652
22	OPPENHEIMER DEVELOPING MKT-Y 788		0	6,284	7,737
23	SPDR DJ WILSHIRE INTERNATIONAL REA		0	5,739	7,952
24	DIAMOND HILL LONG-SHORT FD CL I 11		0	3,323	4,579
25	RIDGEWORTH SEIX HY BD-I 5855		0	10,457	11,087
26	KALMAR GR W/ VAL SM CAP-INS #4		0	3,353	4,428
27	CREDIT SUISSE COMM RT ST-CO 2156		0	10,851	9,089
28	KEELEY SMALL CAP VAL FD CL I 2251		0	1,628	4,414

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	MUTUAL FUND & CTF INCOME ADDITION	1	708
2	Total	2	708

Line 5 - Decreases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	52
2	MUTUAL FUND & CTF INCOME SUBTRACTION	2	330
3	PY RETURN OF CAPITAL ADJUSTMENT	3	82
4	Total	4	464

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions			Amount	82,373	0	0	77,288	5,085	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
Short Term CG Distributions			0	2,348	0	0	0	0	0	0	0	5,085
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss			
1 AQR MANAGL FUTURES STR-#15121	300203H859		7/12/2013	10/9/2013	2,169			2,442	-76	0	0	-76
2 ARTISAN INTERNATIONAL FUND #681	043141204		2/6/2009	7/10/2013	982			2,442	468	0	0	468
3 ARTISAN INTERNATIONAL FUND #681	043141204		2/6/2009	7/12/2013	1,037			486	551	0	0	551
4 ARTISAN MID CAP FUND-INS #1333	043141800		7/12/2013	10/9/2013	858			631	27	0	0	27
5 ARTISAN MID CAP FUND-INS #1333	043141800		8/1/2012	10/9/2013	77			83	14	0	0	14
6 CREDIT SUISSE COMM RET \$T4 #2156	2254AR305		8/1/2012	10/9/2013	388			485	-97	0	0	-97
7 CREDIT SUISSE COMM RET \$T4 #2156	2254AR305		8/1/2012	10/9/2013	1,691			2,100	-409	0	0	-409
8 DIAMOND HILL LONG-SHORT FD CL #1	2226AS33		8/1/2012	10/9/2013	236			215	21	0	0	21
9 DIAMOND HILL LONG-SHORT FD CL #1	2226AS33		8/1/2012	10/9/2013	1,529			1,975	354	0	0	354
10 DIAMOND HILL LONG-SHORT FD CL #1	2226AS33		8/1/2012	11/25/2013	246			197	49	0	0	49
11 DODGE & COX INTL STOCK FD #1048	256208103		2/5/2010	7/10/2013	251			209	42	0	0	42
12 DODGE & COX INTL STOCK FD #1048	256208103		2/5/2010	7/10/2013	707			587	140	0	0	140
13 DODGE & COX INTL STOCK FD #1048	256208103		2/5/2010	10/9/2013	881			657	224	0	0	224
14 DODGE & COX INTL STOCK FD #1048	256208103		2/5/2010	11/25/2013	842			448	194	0	0	194
15 DODGE & COX INCOME FD COM #147	256210105		2/1/2013	7/10/2013	3,129			3,232	-103	0	0	-103
16 DODGE & COX INCOME FD COM #147	256210105		2/1/2013	11/25/2013	300			305	-5	0	0	-5
17 DREYFUS EMG MKT DEBT LOC C4 #606	2618BQ494		10/1/2010	2/7/2013	232			228	4	0	0	4
18 DREYFUS EMG MKT DEBT LOC C4 #606	2618BQ494		10/1/2010	2/7/2013	1,317			1,290	27	0	0	27
19 DREYFUS EMG MKT DEBT LOC C4 #606	2618BQ494		10/1/2010	10/10/2013	2,021			2,156	-135	0	0	-135
20 DREYFUS EMG MKT DEBT LOC C4 #606	2618BQ494		8/1/2011	10/10/2013	1,423			1,490	-67	0	0	-67
21 HUSSMAN STRATEGIC GROWTH FUND	448108100		7/23/2009	2/7/2013	393			503	-110	0	0	-110
22 J.P. MORGAN MID CAP VALUE #758	339128100		8/1/2011	11/25/2013	469			287	182	0	0	182
23 GATEWAY FUND - Y #1886	387829884		8/1/2012	7/10/2013	5,423			5,301	122	0	0	122
24 GATEWAY FUND - Y #1886	387829884		2/1/2013	7/10/2013	2,578			2,547	31	0	0	31
25 HARBOR CAPITAL APRTIONINST #20	411511504		2/1/2013	7/10/2013	4,369			4,036	333	0	0	333
26 HARBOR CAPITAL APRTIONINST #20	411511504		11/19/2012	7/10/2013	209			178	31	0	0	31
27 HARBOR CAPITAL APRTIONINST #20	411511504		10/19/2009	2/7/2013	865			535	328	0	0	328
28 HUSSMAN STRATEGIC GROWTH FUND	448108100		10/19/2009	2/7/2013	865			1,063	-198	0	0	-198
29 HARBOR CAPITAL APRTIONINST #20	411511504		11/19/2012	11/25/2013	482			341	121	0	0	121
30 HARBOR CAPITAL APRTIONINST #20	411511504		7/23/2010	11/25/2013	923			484	359	0	0	359
31 HUSSMAN STRATEGIC GROWTH FUND	448108100		5/3/2010	2/7/2013	344			415	-71	0	0	-71
32 HUSSMAN STRATEGIC GROWTH FUND	448108100		10/1/2010	2/7/2013	636			799	-163	0	0	-163
33 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/1/2011	2/7/2013	1,039			1,185	-146	0	0	-146
34 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/1/2012	2/7/2013	886			986	-120	0	0	-120
35 SHARES CORE S & P 500 ETF	484287200		6/12/2006	7/10/2013	4,148			3,373	773	0	0	773
36 SHARES CORE S & P 500 ETF	484287200		8/8/2012	7/10/2013	663			562	101	0	0	101
37 SHARES CORE S & P 500 ETF	484287200		8/8/2012	11/25/2013	364			281	83	0	0	83
38 SHARES RUSSELL 2000 GROWTH	484287648		11/19/2012	2/7/2013	3,165			2,785	380	0	0	380
39 SHARES RUSSELL 2000 GROWTH	484287648		2/1/2012	2/7/2013	2,757			182	182	0	0	182
40 KALMAR GR VAL SW CAP-INS #4	483438305		2/1/2013	11/25/2013	651			501	150	0	0	150
41 KEELLEY SMALL CAP VAL FD CL #2251	487200668		8/1/2011	2/7/2013	718			518	198	0	0	198
42 KEELLEY SMALL CAP VAL FD CL #2251	487200668		4/20/2009	2/7/2013	1,805			837	978	0	0	978
43 KEELLEY SMALL CAP VAL FD CL #2251	487200668		4/20/2009	2/7/2013	381			143	238	0	0	238
44 JAUDIS MONDRIAN INTL FHNST #24051555Q655			2/1/2012	2/7/2013	465			484	-29	0	0	-29
45 MFS VALUE FUND-CLASS #893			5/10/2012	7/10/2013	521			416	103	0	0	103
46 MFS VALUE FUND-CLASS #893			2/1/2013	7/10/2013	1,532			1,744	188	0	0	188
47 MFS VALUE FUND-CLASS #893			2/1/2013	7/10/2013	1,181			940	241	0	0	241
48 MFS VALUE FUND-CLASS #893			2/1/2011	11/25/2013	832			603	229	0	0	229
49 OPPENHEIMER DEVELOPING MKT-Y #7			11/19/2012	10/9/2013	1,284			1,878	47	0	0	47
50 PMCO TOTAL RET FDNST #35			11/19/2012	2/7/2013	2,068			2,460	-450	0	0	-450
51 PMCO TOTAL RET FDNST #35			8/1/2012	2/7/2013	2,068			1,369	93	0	0	93
52 PMCO TOTAL RET FDNST #35			8/1/2012	7/10/2013	584			1,680	-86	0	0	-86
53 PMCO TOTAL RET FDNST #35			5/10/2012	11/25/2013	270			270	-9	0	0	-9
54 PMCO FOREIGN BD FD USD HNST #1			8/1/2012	2/7/2013	398			392	7	0	0	7
55 RIDGEWORTH SEIX HY BDI #5855			6/16/2006	2/7/2013	1,335			1,300	35	0	0	35
56 RIDGEWORTH SEIX HY BDI #5855			7/12/2013	11/25/2013	231			231	2	0	0	2
57 VANGUARD FTSE EMERGING MARKET			2/7/2013	10/9/2013	1,638			1,759	-121	0	0	-121
58 VANGUARD FTSE EMERGING MARKET			11/19/2012	2/7/2013	312			342	30	0	0	30
59 VANGUARD FTSE EMERGING MARKET			2/4/2008	2/7/2013	68			28	40	0	0	40

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

		Check "X" If Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N.A. Trust Tax D	X	1 W 4th St 4th Floor MAC D4000-041	Winston Salem	NC	27101-3818		TRUSTEE	4 00	3,419		
										3,419	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	11
2 First quarter estimated tax payment	5/7/2013	2	66
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	
7 Total		7	77

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	6,542
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	6,542