Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: COX FOUNDATION INC

A Employer identification number: 23-7068786

Number and street (or P O box number if mail is not delivered to street address): C/O THE ST. LOUIS TRUST COMPANY 7701 FORSYTH BLVD., SUITE 1100

Room/suite:

B Telephone number (see instructions): (314) 727-4600

City or town, state or province, country, and ZIP or foreign postal code: CLAYTON, MO 63105

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 29,483,808.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) ____________________ *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

	Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	375,626.	374,706.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	471,789.			
	b Gross sales price for all assets on line 6a 3,663,172.				
	7 Capital gain net income (from Part IV, line 2)		461,388.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 2	20,178.	8,824.		
	12 **Total.** Add lines 1 through 11	867,593.	844,918.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 3	19,965.	9,983.		9,982.
	c Other professional fees (attach schedule) *	131,019.	131,019.		
	17 Interest ATCH 5	463.	463.		
	18 Taxes (attach schedule) (see instructions) ATCH 6	35,573.	13,573.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 7	4,033.	3,908.		125.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	191,053.	158,946.		10,107.
	25 Contributions, gifts, grants paid	1,035,750.			1,034,750.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,226,803.	158,946.	0	1,044,857.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-359,210.			
	b **Net investment income** (if negative, enter -0-)		685,972.		
	c **Adjusted net income** (if negative, enter -0-)				

JSA **For Paperwork Reduction Act Notice, see instructions.** *ATCH 4 Form **990-PF** (2013)

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	871,159.	1,172,096.	1,172,096.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) **	796,701.	951,689.	921,534.
	b	Investments - corporate stock (attach schedule) ATCH 9	16,618,489.	16,587,705.	23,706,981.
	c	Investments - corporate bonds (attach schedule) ATCH 10	1,353,955.	1,230,280.	1,457,169.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 11	2,810,117.	2,149,440.	2,226,028.
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	22,450,421.	22,091,210.	29,483,808.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ **check here and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	22,450,421.	22,091,210.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	22,450,421.	22,091,210.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	22,450,421.	22,091,210.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	22,450,421.
2	Enter amount from Part I, line 27a	2	-359,210.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	22,091,211.
5	Decreases not included in line 2 (itemize) ▶ ATCH 12	5	1.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	22,091,210.

**ATCH 8

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	461,388.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,287,845.	25,125,485.	0.051257
2011	1,165,640.	25,340,066.	0.046000
2010	1,327,481.	24,386,155.	0.054436
2009	1,564,523.	23,128,280.	0.067645
2008	1,387,909.	29,445,294.	0.047135

2	**Total** of line 1, column (d)	2	0.266473
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053295
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	27,373,484.
5	Multiply line 4 by line 3	5	1,458,870.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,860.
7	Add lines 5 and 6	7	1,465,730.
8	Enter qualifying distributions from Part XII, line 4	8	1,044,857.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	13,719.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	13,719.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	13,719.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a 26,953.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	26,953.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	13,234.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 13,234. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA,MA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	**11**		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	**12**		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	X	

Website address ▶ N/A

14 The books are in care of ▶ THE ST. LOUIS TRUST COMPANY Telephone no ▶ 314-727-4600

Located at ▶ 7701 FORSYTH BLVD., STE. 1100 CLAYTON, MO ZIP+4 ▶ 63105

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here N/A ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

		Yes	No
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**		X
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☒ **No**			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☒ **No**			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ **Yes** ☐ **No**			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☒ **No**			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☒ **No**			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☒ **No**			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	**1b**		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	**1c**		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☒ **No** If "Yes," list the years ▶ N/A , , ,			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	**2b**	N/A	
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ N/A , , ,			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☒ **No**			
b If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	**3b**	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	**4a**		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	**4b**		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**	X	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	**6b**		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	24,148,371.
b	Average of monthly cash balances	1b	1,115,386.
c	Fair market value of all other assets (see instructions)	1c	2,526,582.
d	**Total** (add lines 1a, b, and c)	1d	27,790,339.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	27,790,339.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	416,855.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	27,373,484.
6	**Minimum investment return.** Enter 5% of line 5	6	1,368,674.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,368,674.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	13,719.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	13,719.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,354,955.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,354,955.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,354,955.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,044,857.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,044,857.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,044,857.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				1,354,955.
2	Undistributed income, if any, as of the end of 2013				
a	Enter amount for 2012 only			879,911.	
b	Total for prior years 20 11 ,20 10 ,20 09				
3	Excess distributions carryover, if any, to 2013				
a	From 2008				
b	From 2009				
c	From 2010				
d	From 2011				
e	From 2012				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 1,044,857.				
a	Applied to 2012, but not more than line 2a			879,911.	
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2013 distributable amount				164,946.
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,190,009.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9				
a	Excess from 2009				
b	Excess from 2010				
c	Excess from 2011				
d	Excess from 2012				
e	Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

WILLIAM AND MARTHA COX

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 14				
Total . . . . ▶ **3a**				1,035,750.
b *Approved for future payment*				
NONE				
Total . . . . ▶ **3b**				NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities	525990	210.	14	375,416.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	525990	-12,084.	14	32,262.	
8 Gain or (loss) from sales of assets other than inventory			18	471,789.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-11,874.		879,467.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . 13 867,593.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ | 11/13/14 ▶ Director

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Bernadette Zita	Bernadette S. Zita	11/11/14		P00089845

Firm's name ▶ ERNST & YOUNG U.S. LLP

Firm's EIN ▶ 34-6565596

Firm's address ▶ 155 N. WACKER DR.
CHICAGO, IL 60606

Phone no 312-879-2000

Form 990-PF (2013)

COX FOUNDATION INC 23-7068786

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					670.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					22,758.	
		SCHWAB SHORT-TERM - SEE ATTACHED STMTS PROPERTY TYPE: SECURITIES				P	VAR	VAR
857,268.		834,535.					22,733.	
		SCHWAB LONG-TERM - SEE ATTACHED STMTS PROPERTY TYPE: SECURITIES				P	VAR	VAR
2,170,827.		1,664,894.					505,933.	
		2,200 SHS BREITBURN ENERGY PARTNERS PROPERTY TYPE: SECURITIES				P	VAR	VAR
39,914.		31,277.					8,637.	
		4,026.02 SHS COMMON SENSE OFFSHORE LTD.				P	VAR	VAR
561,334.		660,677.					-99,343.	
TOTAL GAIN(LOSS)							461,388.	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SCHWAB #8773-4256 INTEREST EARNED	120,395.	119,685.
SCHWAB #8773-4256 ACCRUED INEREST PAID	-8,488.	-8,488.
SCHWAB #8773-4256 AMORT OF BOND PREMIUM	-193,003.	-193,003.
K-1 COMPASS DIV HOLDINGS INTEREST INCOME	909.	699.
K-1 COMPASS DIV HOLDINGS DIVIDEND INCOME	156.	156.
SCHWAB #8773-4256 DIVIDEND INCOME	12.	12.
SCHWAB #6171-8446 DIVIDEND INCOME	5,168.	5,168.
SCHWAB #6813-7147 DIVIDEND INCOME	9,313.	9,313.
SCHWAB #9400-3322 DIVIDEND INCOME	365,900.	365,900.
SCHWAB #2825-8408 DIVIDEND INCOME	75,110.	75,110.
K-1 AMERIGAS PARTNERS LP INTEREST INCOME	147.	147.
K-1 TRANSMONTAIGNE PARTNERS LP INT. INC.	1.	1.
K-1 WILLIAMS PARTNERS LP INTEREST INCOME	3.	3.
K-1 MEMORIAL PRODUCTION INTEREST INCOME	3.	3.
TOTAL	375,626.	374,706.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SCHWAB - NONDIVIDEND DISTRIBUTIONS	2,367.	
K-1S - ORDINARY INCOME	-7,783.	4,301.
DISTRIBUTIONS FROM PTP'S	20,538.	
LAUDUS ROSENBERG CLASS ACTION LITIGATION	4,273.	4,273.
IRS REFUND FROM 990T	533.	
MA REFUND	250.	250.
TOTALS	20,178.	8,824.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEES	19,965.	9,983.		9,982.
TOTALS	19,965.	9,983.		9,982.

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGER FEES	131,019.	131,019.
TOTALS	131,019.	131,019.

ATTACHMENT 5

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
K-1 COMPASS DIVERSIFIED HLDGS	463.	463.
TOTALS	463.	463.

ATTACHMENT 6

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES		13,563.	13,563.
2012 EXTENSION		8,000.	
2013 ESTIMATES		14,000.	
CA FRANCHISE TAX		10.	10.
	TOTALS	35,573.	13,573.

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
MA PC REPORT FILING FEE	250.	125.	125.
K-1 BREITBURN ENERGY PARTNERS	2,426.	2,426.	
K-1 COMPASS DIVERSIFIED HLDGS	533.	533.	
K-1 MEMORIAL PRODUCTION PRTNRS	665.	665.	
K-1 WILLIAMS PARTNERS	3.	3.	
CSC ANNUAL FEE	156.	156.	
TOTALS	4,033.	3,908.	125.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 8

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SCHWAB #8773-4256 - RICHMOND	951,689.	921,534.
US OBLIGATIONS TOTAL	951,689.	921,534.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SCHWAB #9400-3322 DIVERSE	12,653,593.	17,575,638.
SCHWAB #6813-7147 EDGEWOOD	749,282.	1,383,807.
SCHWAB #6171-8446 KALMAR	954,171.	1,856,865.
SCHWAB #2825-8408 RIVER ROAD	2,230,659.	2,890,671.
TOTALS	16,587,705.	23,706,981.

ATTACHMENT 10

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SCHWAB #8773-4256 - RICHMOND	1,230,280.	1,457,169.
TOTALS	1,230,280.	1,457,169.

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
INVESTMENT IN COMMON SENSE PARTNERS OFFSHORE LTD.	849,440.	715,397.
INVESTMENT IN AURORA GLOBAL OFFSHORE	1,300,000.	1,510,631.
TOTALS	2,149,440.	2,226,028.

ATTACHMENT 12

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
ROUNDING		1.
	TOTAL	1.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MARTHA W COX 190 SOUTH BEACH ROAD HOBE SOUND, FL 33455	PRESIDENT 1.00	0	0	0
JOHN M. JENNINGS 7701 FORSYTH BLVD., SUITE 1100 CLAYTON, MO 63105	DIRECTOR 1.00	0	0	0
ELIZABETH K. GOLDENBERG 7701 FORSYTH BLVD., SUITE 1100 CLAYTON, MO 63105	DIRECTOR 1.00	0	0	0
ANN COX BARTRAM 320 MAYFLOWER ROAD LAKE FOREST, IL 60045	TREASURER 1.00	0	0	0
HEIDI COX 182 GOMEZ ROAD HOBE SOUND, FL 33455	CLERK 1.00	0	0	0
MARTHA FARRELL 131 EAST 69TH STREET, APT 7B NEW YORK, NY 10021	DIRECTOR 1.00	0	0	0
	GRAND TOTALS	0	0	0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VARIOUS CHARITIES - SEE ATTACHED STATMENT	N/A PUBLIC CHARITY	GENERAL PURPOSE	1,035,750
		TOTAL CONTRIBUTIONS PAID	1,035,750

COX FOUNDATION, INC
2013 CONTRIBUTIONS

Recipient Name	Recipient Address	City	State	Zip	Relationship To Substantial Contributor	Foundation Status of Recipient	Purpose of Grant or Contribution	2013 Contributions
Artists' Association of Nantucket	P O Box 1104	Nantucket	MA	02554-1104	No Relationship	PC	General Fund	$1,500
Bishop John T Walker School for Boys	3640 Martin Luther King Jr Ave	Washington	DC	20032	No Relationship	PC	General Fund	$2 000
Boston MedFlight	Robins Street Hangar 1727, Hanscom Air Force Base	Bedford	MA	01730	No Relationship	SO I	General Fund	$5 000
Boys & Girls Club of Martin County (Hobe Sound)	P O Box 910	Hobe Sound	FL	33475	No Relationship	PC	General Fund	$50,000
Brooks School	1160 Great Pond Road	North Andover	MA	01845-1298	No Relationship	PC	General Fund	$2,500
Charles Schwab Charitable Gift Fund	211 Main Street, Floor 10	San Francisco	CA	94105	No Relationship	PC	Charitable Fund	$100,000
Charles Schwab Charitable Gift Fund	211 Main Street, Floor 10	San Francisco	CA	94105	No Relationship	PC	Charitable Fund	$100 000
Charles Schwab Charitable Gift Fund	211 Main Street, Floor 10	San Francisco	CA	94105	No Relationship	PC	Charitable Fund	$100,000
Christ Memorial Chapel	P O Box 582	Hobe Sound	FL	33475	No Relationship	PC	General Fund	$2 500
Dexter School	20 Newton Street	Brookline	MA	02445-7498	No Relationship	PC	General Fund	$10,000
Everglades Foundation	18001 Old Cutler Rd Ste 625	Palmetto Bay	FL	33157	No Relationship	PC	General Fund	$6 000
Freedom Institute	515 Madison Avenue	New York	NY	10022	No Relationship	PC	General Fund	$1 000
Friends of Massachusetts General Hospital Cancer Center	165 Cambridge Street, Suite 600	Boston	MA	02114-2792	No Relationship	PC	General Fund	$2 500
Hobe Sound Community Chest Inc	P O Box 511	Hobe Sound	FL	33475-0511	No Relationship	PC	General Fund	$10 000
Hobe Sound Nature Center	P O Box 217	Hobe Sound	FL	33475	No Relationship	PC	General Fund	$500
Joslin Diabetes Center, Inc	1 Joslin Place	Boston	MA	02215	No Relationship	PC	General Fund	$10,000
Jupiter Island Medical Center Foundation	1210 S Old Dixie Highway	Jupiter	FL	33458-7205	No Relationship	SO I	General Fund	$3 000
Jupiter Island Medical Clinic, Inc	100 Estrada Square	Hobe Sound	FL	33455	No Relationship	PC	General Fund	$3,000
Jupiter Island Town Employees	2 Bridge Road	Hobe Sound	FL	33475	No Relationship	PC	Christmas Fund	$1,000
Loaves and Fishes of Hobe Sound, Inc	9307 Se Olympus St	Hobe Sound	FL	33455	No Relationship	PC	General Fund	$500
Maltz Jupiter Theatre	1001 East Indiantown Road	Jupiter	FL	33477	No Relationship	PC	General Fund	$10 000
Marine Biological Laboratory	7 MBL Street	Woods Hole	MA	02543	No Relationship	PC	General Fund	$15 000
Massachusetts General Hospital	55 Fruit Street	Boston	MA	02114-2792	No Relationship	PC	General Fund	$166,500
Nantucket Atheneum	P O Box 808	Nantucket	MA	02554	No Relationship	PC	General Fund	$2 000
Nantucket Boys and Girls Club	P O Box 269	Nantucket	MA	02554	No Relationship	PC	General Fund	$73,500
Nantucket Conservation Foundation, Inc	P O Box 13	Nantucket	MA	02554	No Relationship	PC	General Fund	$35 000
Nantucket Cottage Hospital	57 Prospect Street	Nantucket	MA	02554-2799	No Relationship	SO I	General Fund	$23 250
Nantucket Dreamland Theater Foundation	P O Box 989	Nantucket	MA	02554	No Relationship	PC	General Fund	$25,000
Nantucket Historical Association	P O Box 1016	Nantucket	MA	02554-1016	No Relationship	PC	General Fund	$2,500
Nantucket Ice	PO Box 3155	Nantucket	MA	02584	No Relationship	PC	General Fund	$1 500
Nantucket Island School of Design	P O Box 1848	Nantucket	MA	02554	No Relationship	PC	General Fund	$2 500
Nantucket Shipwreck & Lifesaving Museum	158 Polpis Rd	Nantucket	MA	02554-2320	No Relationship	PC	General Fund	$1 000
Nantucket Yacht Club Foundation	1 South Beach Street	Nantucket	MA	02554	No Relationship	PC	General Fund	$2,500
National Tropical Botanical Garden	3530 Papaline Road	Kalaheo	HI	96741	No Relationship	PC	General Fund	$10 000
Natives Helping Natives	P O Box 301	Hobe Sound	FL	33475	No Relationship	PC	General Fund	$1 500
Newseum	555 Pennsylvania Ave , NW	Washington	DC	20001	No Relationship	SO I	General Fund	$100 000
One Thousand Friends of Florida	P O Box 5948	Tallahassee	FL	32314-5948	No Relationship	PC	General Fund	$2 500
Palliative and Supportive Care of Nantucket Foundation	57 Prospect Street	Nantucket	MA	02554	No Relationship	PC	General Fund	$1,000
Pegasus Foundation	P O Box 787	Hobe Sound	FL	33475	No Relationship	PF	General Fund	$1 000
Sankaty Head Foundation	P O Box 875	Siasconset	MA	02564	No Relationship	PC	General Fund	$25,000
Sconset Trust, Inc	P O Box 821	Siasconset	MA	02564	No Relationship	PC	General Fund	$2,500
Society of the Four Arts in Palm Beach, FL	Four Arts Plaza	Palm Beach	FL	33480	No Relationship	PC	General Fund	$15 000
Solomon R Guggenheim Museum	1071 Fifth Ave	New York	NY	10128-0173	No Relationship	PC	General Fund	$1,000
Walnut Hill School - Pledge	12 Highland Street	Natick	MA	01760	No Relationship	PC	General Fund	$100,000
Walnut Hill School - Student sponsorship program	12 Highland Street	Natick	MA	01760	No Relationship	PC	General Fund	$1,000
WBPT Channel 2 - Donors of Distinction	14901 Northeast 20th Avenue	Miami	FL	33181	No Relationship	PC	General Fund	$3,000
						TOTAL QUALIFIED GRANTS PAID		$1,034,750

COHASSET CENTRAL CEMETERY DONATION	$1 000
TOTAL GRANTS TO NON-501(C)(3) ORGANIZATIONS	$1 000
TOTAL GRANTS REPORTED ON 2013 FORM 990-PF	$1,035,750

COX FOUNDATION INC.

EIN: 23-7068786

Expenditure Responsibility Statement for the year 2013

Pursuant to IRC Regulation § 53.4945-5(d)(2), the Cox Foundation Inc. provides the following information:

(i) Grantee:	The Pegasus Foundation P.O. Box 787 Hobe Sound, FL 33475
(ii) Amount of Grant:	April 23, 2013 $1,000 (general support)
(iii) Purpose of Grant:	General support for the Pegasus Foundation, a foundation that improves animal welfare through effective grant making and education, in the amount listed above.
(iv) and (vi) Reports:	The Pegasus Foundation submitted a report on the 2013 general support grant on May 3, 2013. The general support report reflected that the grant was properly added to the foundation's account, the income from which is devoted exclusively to its animal welfare programs.
(v) Diversions:	To the knowledge of the grantor, no funds have been diverted to any activity other than the activity for which the grant was originally made.
(vii) Verification:	The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the report was made.

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions COX FOUNDATION INC	Employer identification number (EIN) or 23-7068786
	Number, street, and room or suite no If a P O box, see instructions C/O THE ST. LOUIS TRUST COMPANY	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions CLAYTON, MO 63105	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ THE ST. LOUIS TRUST COMPANY

Telephone No ▶ 314 727-4600 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ ☒ calendar year 20 13 or
▶ ☐ tax year beginning ______, 20__, and ending ______, 20__

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 0
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$ 0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev 1-2014)

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- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions: COX FOUNDATION INC

Employer identification number (EIN) or: 23-7068786

Number, street, and room or suite no If a P O box, see instructions: C/O THE ST. LOUIS TRUST COMPANY

Social security number (SSN):

City, town or post office, state, and ZIP code For a foreign address, see instructions: CLAYTON, MO 63105

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ THE ST. LOUIS TRUST COMPANY, 7701 FORSYTH BLVD., STE. 1100 CLAYTON, MO
  Telephone No ▶ 314 727-4600 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/17 , 20 14

5 For calendar year 2013 , or other tax year beginning ______ , 20 ____ , and ending ______ , 20 ____

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 0
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 0
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Ellen C. Title ▶ PREPARER P01251351 Date ▶ 08/05/14

Form **8868** (Rev 1-2014)

ERNST & YOUNG LLP
190 CARONDELET PLAZA, SUITE 1300
ST. LOUIS, MO 63105

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2013

Name of estate or trust	Employer identification number
COX FOUNDATION INC	23-7068786

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked	857,268.	834,535.		22,733.

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶	7	22,733.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked	2,772,075.	2,356,848.		415,227.

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	670.
13 Capital gain distributions	13	22,758.
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶	16	438,655.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2013**

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Part III	**Summary of Parts I and II** **Caution:** *Read the instructions **before** completing this part.*		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			22,733.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			438,655.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			461,388.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of a The loss on line 19, column (3) **or** b $3,000	20	( )

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Schedule D (Form 1041) 2013

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
COX FOUNDATION INC	23-7068786

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
SCHWAB SHORT-TERM - SE ATTACHED STMTS	VAR	VAR	857,268.	834,535.			22,733.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line **1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			857,268.	834,535.			22,733.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

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Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side): COX FOUNDATION INC

Social security number or taxpayer identification number: 23-7068786

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
SCHWAB LONG-TERM - SEE ATTACHED STMTS	VAR	VAR	2,170,827.	1,664,894.			505,933.
2,200 SHS BREITBURN ENERGY PARTNERS	VAR	VAR	39,914.	31,277.			8,637.
4,026.02 SHS COMMON SENSE OFFSHORE LTD.	VAR	VAR	561,334.	660,677.			-99,343.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			2,772,075.	2356848.			415,227.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method: High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BLACKROCK INC: BLK	50.0000	05/23/12	02/13/13	$12,083.91	$8,079.34	$4,004.57
BLACKROCK INC: BLK	20.0000	05/23/12	03/22/13	$5,058 90	$3,231 74	$1,827 16
Security Subtotal				**$17,142.81**	**$11,311.08**	**$5,831.73**
MEDTRONIC INC. MDT	150.0000	07/31/12	07/31/13	$8,338.34	$5,933 67	$2,404.67
Security Subtotal				**$8,338.34**	**$5,933.67**	**$2,404.67**
U N S ENERGY CORP: UNS	125 0000	05/15/12	01/24/13	$5,555.09	$4,572.62	$982.47
U N S ENERGY CORP· UNS	140.0000	05/15/12	03/26/13	$6,588.58	$5,121.33	$1,467.25
U N S ENERGY CORP. UNS	60.0000	05/15/12	04/19/13	$2,893.31	$2,194.86	$698.45
Security Subtotal				**$15,036.98**	**$11,888.81**	**$3,148.17**
WALGREEN COMPANY WAG	225.0000	06/25/13	10/03/13	$12,613.09	$9,976.07	$2,637.02
Security Subtotal				**$12,613.09**	**$9,976.07**	**$2,637.02**
Total Short-Term				**$53,131.22**	**$39,109.63**	**$14,021.59**

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
A B M INDUSTRIES INC: ABM	25.0000	09/26/11	11/05/13	$690 44	$470 22	$220.22
A B M INDUSTRIES INC. ABM	250.0000	09/29/11	11/05/13	$6,904.42	$4,852.58	$2,051.84
A B M INDUSTRIES INC: ABM	250.0000	01/25/12	11/05/13	$6,904 42	$5,460.00	$1,444.42
Security Subtotal				**$14,499.28**	**$10,782.80**	**$3,716.48**
AMERN GREETINGS CP CL A AM	250 0000	12/29/11	04/01/13	$4,514.06	$3,211.66	$1,302 40
AMERN GREETINGS CP CL A: AM	400 0000	01/20/12	04/01/13	$7,222.49	$5,687.79	$1,534.70
AMERN GREETINGS CP CL A. AM	400.0000	12/27/11	04/02/13	$7,233.82	$5,118.19	$2,115.63
AMERN GREETINGS CP CL A AM	175.0000	12/29/11	04/02/13	$3,164.79	$2,248 17	$916.62
Security Subtotal				**$22,135.16**	**$16,265.81**	**$5,869.35**
ASTRAZENECA PLC ADR FSPONSORED ADR 1 ADR REP 1: AZN	200.0000	10/29/10	03/01/13	$9,072.15	$10,085.01	($1,012.86)
ASTRAZENECA PLC ADR FSPONSORED ADR 1 ADR REP 1: AZN	100.0000	12/10/10	03/01/13	$4,536.07	$4,781.48	($245.41)
ASTRAZENECA PLC ADR FSPONSORED ADR 1 ADR REP 1: AZN	100.0000	12/10/10	03/28/13	$5,000.50	$4,781.47	$219.03

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ASTRAZENECA PLC ADR FSPONSORED ADR 1 ADR REP 1. AZN	125 0000	12/23/10	03/28/13	$6,250 61	$5,772.70	$477.91
ASTRAZENECA PLC ADR FSPONSORED ADR 1 ADR REP 1· AZN	100.0000	01/23/12	03/28/13	$5,000.50	$4,765.95	$234 55
Security Subtotal				**$29,859.83**	**$30,186.61**	**($326.78)**
AUTO DATA PROCESSING. ADP	175 0000	07/13/10	01/24/13	$10,445.62	$7,232.63	$3,212.99
AUTO DATA PROCESSING: ADP	120.0000	07/13/10	03/22/13	$7,662 96	$4,959.52	$2,703.44
AUTO DATA PROCESSING. ADP	325 0000	07/13/10	05/08/13	$22,245 48	$13,432 03	$8,813 45
AUTO DATA PROCESSING: ADP	330.0000	07/13/10	05/20/13	$23,636.57	$13,638.68	$9,997.89
Security Subtotal				**$63,990.63**	**$39,262.86**	**$24,727.77**
BLACKROCK INC: BLK	5.0000	08/25/11	03/22/13	$1,264.73	$800.21	$464.52
Security Subtotal				**$1,264.73**	**$800.21**	**$464.52**
BREITBURN ENERGY PTNR LP: BBEP	125 0000	07/13/10	07/01/13	$2,292.62	$1,902 11	$390 51
BREITBURN ENERGY PTNR LP. BBEP	325.0000	08/11/10	07/01/13	$5,960 80	$5,354.10	$606 70
BREITBURN ENERGY PTNR LP. BBEP	325.0000	11/05/10	07/01/13	$5,960.80	$6,281.26	($320.46)

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BREITBURN ENERGY PTNR LP· BBEP	650 0000	07/13/10	07/19/13	$11,730.24	$9,890.97	$1,839 27
BREITBURN ENERGY PTNR LP. BBEP	775.0000	07/13/10	07/31/13	$13,969.72	$11,793.08	$2,176.64
Security Subtotal				**$39,914.18**	**$35,221.52**	**$4,692.66**
CHUBB CORPORATION. CB	75.0000	07/13/10	01/24/13	$6,078.95	$3,937.42	$2,141.53
CHUBB CORPORATION CB	100.0000	07/13/10	02/04/13	$8,223.57	$5,249 89	$2,973.68
CHUBB CORPORATION. CB	150 0000	07/13/10	02/13/13	$12,499.51	$7,874.84	$4,624.67
CHUBB CORPORATION. CB	135.0000	07/13/10	03/01/13	$11,349.69	$7,087.35	$4,262.34
Security Subtotal				**$38,151.72**	**$24,149.50**	**$14,002.22**
COMMERCE BANCSHARES INC MISSOURI. CBSH	250.0000	07/13/10	06/13/13	$10,889.79	$8,177 96	$2,711 83
COMMERCE BANCSHARES INC MISSOURI. CBSH	298 0000	07/13/10	06/27/13	$13,011.19	$9,748 13	$3,263.06
Security Subtotal				**$23,900.98**	**$17,926.09**	**$5,974.89**
CONOCOPHILLIPS: COP	125.0000	07/13/10	01/24/13	$7,521.34	$5,120.02	$2,401.32
CONOCOPHILLIPS: COP	100 0000	09/21/11	01/24/13	$6,017 07	$5,143 34	$873.73

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CONOCOPHILLIPS: COP	250 0000	07/13/10	02/28/13	$14,528 80	$10,240 04	$4,288.76
Security Subtotal				**$28,067.21**	**$20,503.40**	**$7,563.81**
ENTERGY CORP NEW: ETR	75.0000	03/14/11	03/26/13	$4,663.86	$5,268 57	($604.71)
ENTERGY CORP NEW: ETR	75.0000	03/15/11	03/26/13	$4,663.86	$5,159.64	($495.78)
ENTERGY CORP NEW: ETR	75 0000	05/10/11	03/26/13	$4,663.86	$5,208 45	($544 59)
ENTERGY CORP NEW. ETR	70.0000	06/15/11	03/26/13	$4,352.93	$4,798 21	($445.28)
Security Subtotal				**$18,344.51**	**$20,434.87**	**($2,090.36)**
GENERAL MILLS INC GIS	100.0000	07/13/10	03/12/13	$4,603 10	$3,636.90	$966.20
GENERAL MILLS INC. GIS	125.0000	07/19/11	03/12/13	$5,753 88	$4,728.95	$1,024.93
GENERAL MILLS INC: GIS	175 0000	07/13/10	06/25/13	$8,465.40	$6,364 57	$2,100.83
GENERAL MILLS INC: GIS	275 0000	07/13/10	07/25/13	$14,044.91	$10,001 46	$4,043.45
GENERAL MILLS INC· GIS	325.0000	07/13/10	08/02/13	$16,985 59	$11,819.90	$5,165 69
Security Subtotal				**$49,852.88**	**$36,551.78**	**$13,301.10**
GENUINE PARTS CO. GPC	165.0000	07/13/10	07/03/13	$13,766.07	$6,892.01	$6,874 06

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GENUINE PARTS CO· GPC	160 0000	07/13/10	07/11/13	$13,460.04	$6,683.16	$6,776.88
Security Subtotal				**$27,226.11**	**$13,575.17**	**$13,650.94**
HILLENBRAND INC HI	40 0000	07/13/10	10/23/13	$1,165.34	$840 12	$325 22
HILLENBRAND INC. HI	210.0000	06/08/11	10/23/13	$6,118.05	$4,824.31	$1,293.74
HILLENBRAND INC HI	225.0000	06/30/11	10/23/13	$6,555.05	$5,329.93	$1,225.12
HILLENBRAND INC. HI	475.0000	07/13/10	11/12/13	$13,363 21	$9,976.40	$3,386.81
Security Subtotal				**$27,201.65**	**$20,970.76**	**$6,230.89**
JOHNSON & JOHNSON: JNJ	100 0000	07/13/10	07/23/13	$9,246.83	$6,071.72	$3,175.11
JOHNSON & JOHNSON. JNJ	25 0000	10/29/10	07/23/13	$2,311 71	$1,594.18	$717 53
JOHNSON & JOHNSON. JNJ	190.0000	07/13/10	08/02/13	$17,795.94	$11,536.28	$6,259.66
JOHNSON & JOHNSON: JNJ	185 0000	07/13/10	10/21/13	$16,803.56	$11,232.69	$5,570.87
Security Subtotal				**$46,158.04**	**$30,434.87**	**$15,723.17**
KIMBERLY-CLARK CORP. KMB	75.0000	07/13/10	03/12/13	$7,041.03	$4,686.97	$2,354 06

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
KIMBERLY-CLARK CORP· KMB	25.0000	10/29/10	03/12/13	$2,347.01	$1,580 43	$766.58
Security Subtotal				**$9,388.04**	**$6,267.40**	**$3,120.64**
LOCKHEED MARTIN CORP: LMT	75.0000	11/08/11	02/13/13	$6,506.24	$5,845.29	$660.95
LOCKHEED MARTIN CORP. LMT	50.0000	12/23/11	02/13/13	$4,337.50	$4,075.62	$261.88
Security Subtotal				**$10,843.74**	**$9,920.91**	**$922.83**
MEDTRONIC INC. MDT	100.0000	11/08/11	07/31/13	$5,558.90	$3,475.88	$2,083.02
MEDTRONIC INC: MDT	200 0000	08/04/11	10/18/13	$11,284.55	$6,723.94	$4,560.61
MEDTRONIC INC MDT	125.0000	11/08/11	10/18/13	$7,052.85	$4,344 85	$2,708.00
MEDTRONIC INC. MDT	20.0000	08/04/11	11/07/13	$1,147 53	$672 39	$475.14
MEDTRONIC INC: MDT	275.0000	08/10/11	11/07/13	$15,778 56	$8,491 27	$7,287 29
Security Subtotal				**$40,822.39**	**$23,708.33**	**$17,114.06**
NORFOLK SOUTHERN CORP. NSC	80.0000	01/27/11	11/12/13	$6,891.01	$5,028.01	$1,863.00
NORFOLK SOUTHERN CORP. NSC	45 0000	01/27/11	12/23/13	$4,131.34	$2,828 25	$1,303.09

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NORFOLK SOUTHERN CORP: NSC	70 0000	02/02/11	12/23/13	$6,426.54	$4,341.22	$2,085 32
Security Subtotal				**$17,448.89**	**$12,197.48**	**$5,251.41**
PAYCHEX INC: PAYX	25.0000	07/13/10	11/20/13	$1,087 14	$647 79	$439 35
PAYCHEX INC· PAYX	325.0000	12/28/11	11/20/13	$14,132.78	$9,766.26	$4,366.52
PAYCHEX INC. PAYX	350.0000	07/13/10	12/05/13	$14,983 78	$9,069.08	$5,914 70
Security Subtotal				**$30,203.70**	**$19,483.13**	**$10,720.57**
PFIZER INCORPORATED: PFE	250 0000	07/19/11	04/16/13	$7,683.63	$4,948 95	$2,734.68
PFIZER INCORPORATED· PFE	650.0000	07/13/10	11/14/13	$20,706.84	$9,772.85	$10,933 99
PFIZER INCORPORATED· PFE	600.0000	07/13/10	11/26/13	$19,319.95	$9,021 10	$10,298.85
Security Subtotal				**$47,710.42**	**$23,742.90**	**$23,967.52**
REGAL ENTERTAINMENT GRP: RGC	375 0000	12/16/10	05/02/13	$6,988.18	$4,549.90	$2,438.28
REGAL ENTERTAINMENT GRP: RGC	475.0000	05/19/11	05/02/13	$8,851 70	$6,478.55	$2,373.15
REGAL ENTERTAINMENT GRP. RGC	300.0000	12/16/10	05/07/13	$5,617.00	$3,639.92	$1,977.08

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
REGAL ENTERTAINMENT GRP: RGC	525.0000	12/23/10	05/07/13	$9,829 75	$6,130.43	$3,699.32
Security Subtotal				**$31,286.63**	**$20,798.80**	**$10,487.83**
SABRA HEALTH CARE. SBRA	205 0000	12/27/10	05/07/13	$6,360.72	$3,792.28	$2,568 44
SABRA HEALTH CARE: SBRA	20.0000	01/10/11	05/07/13	$620.56	$384.18	$236.38
SABRA HEALTH CARE. SBRA	530 0000	12/14/10	05/17/13	$16,945.46	$9,463.87	$7,481.59
SABRA HEALTH CARE. SBRA	95.0000	12/27/10	05/17/13	$3,037.39	$1,757.40	$1,279.99
Security Subtotal				**$26,964.13**	**$15,397.73**	**$11,566.40**
TELEFONICA BRASIL ADR FSPONSORED ADR 1 ADR REPS 1: VIV	542.5000	07/13/10	08/26/13	$10,866 65	$9,164.53	$1,702.12
TELEFONICA BRASIL ADR FSPONSORED ADR 1 ADR REPS 1: VIV	313.1000	03/07/11	08/26/13	$6,271.61	$7,476.83	($1,205.22)
TELEFONICA BRASIL ADR FSPONSORED ADR 1 ADR REPS 1. VIV	193.4000	03/31/11	08/26/13	$3,873.93	$5,018.56	($1,144.63)
TELEFONICA BRASIL ADR FSPONSORED ADR 1 ADR REPS 1. VIV	150 0000	06/29/11	08/26/13	$3,004.60	$4,461.12	($1,456 52)
Security Subtotal				**$24,016.79**	**$26,121.04**	**($2,104.25)**

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
U N S ENERGY CORP: UNS	190 0000	07/13/10	04/19/13	$9,162 15	$6,066.78	$3,095.37
U N S ENERGY CORP. UNS	270.0000	07/13/10	05/13/13	$13,184.00	$8,621.22	$4,562.78
U N S ENERGY CORP. UNS	265.0000	07/13/10	06/27/13	$11,845.01	$8,461.57	$3,383.44
Security Subtotal				**$34,191.16**	**$23,149.57**	**$11,041.59**
VERIZON COMMUNICATIONS. VZ	25.0000	06/15/11	04/17/13	$1,247.02	$879.72	$367.30
VERIZON COMMUNICATIONS VZ	150 0000	08/04/11	04/17/13	$7,482.11	$5,323.30	$2,158 81
Security Subtotal				**$8,729.13**	**$6,203.02**	**$2,526.11**
WALGREEN COMPANY: WAG	50.0000	07/31/12	10/03/13	$2,802.91	$1,819 14	$983 77
WALGREEN COMPANY. WAG	150 0000	01/23/12	10/21/13	$8,691.37	$5,032.56	$3,658 81
WALGREEN COMPANY: WAG	150.0000	07/31/12	10/21/13	$8,691.37	$5,457 41	$3,233 96
WALGREEN COMPANY: WAG	75.0000	12/29/11	10/29/13	$4,453.40	$2,509.24	$1,944.16
WALGREEN COMPANY· WAG	175.0000	01/23/12	10/29/13	$10,391 27	$5,871 33	$4,519.94
Security Subtotal				**$35,030.32**	**$20,689.68**	**$14,340.64**
WASTE MANAGEMENT INC DEL WM	400.0000	07/13/10	01/24/13	$14,191.49	$13,153 37	$1,038.12

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
WASTE MANAGEMENT INC DEL: WM	500.0000	07/13/10	01/28/13	$18,085 89	$16,441.71	$1,644.18
Security Subtotal				**$32,277.38**	**$29,595.08**	**$2,682.30**
3M COMPANY MMM	110 0000	07/13/10	09/17/13	$13,156.83	$9,232.72	$3,924 11
3M COMPANY: MMM	115.0000	07/13/10	09/20/13	$13,980.79	$9,652.38	$4,328.41
Security Subtotal				**$27,137.62**	**$18,885.10**	**$8,252.52**
Total Long-Term				**$806,617.25**	**$573,226.42**	**$233,390.83**
Total Realized Gain or (Loss)				**$859,748.47**	**$612,336.05**	**$247,412.42**

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions.
Option Customers. Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method. High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ACXIOM CORP: ACXM	180.0000	10/16/12	01/25/13	$3,333.02	$3,224.85	$108.17
Security Subtotal				**$3,333.02**	**$3,224.85**	**$108.17**
AKORN INCORPORATED: AKRX	255.0000	10/17/12	06/06/13	$3,435.10	$3,328.21	$106.89
Security Subtotal				**$3,435.10**	**$3,328.21**	**$106.89**
ALBEMARLE CORP: ALB	35.0000	11/13/12	04/24/13	$2,075 93	$1,986.39	$89.54
Security Subtotal				**$2,075.93**	**$1,986.39**	**$89.54**
APPROACH RESOURCES INC: AREX	45.0000	11/13/12	11/13/13	$1,058.22	$1,142.89	($84.67)
Security Subtotal				**$1,058.22**	**$1,142.89**	**($84.67)**
ATLAS AIR WORLDWIDE HLDG: AAWW	20.0000	11/14/12	11/08/13	$765.85	$827.35	($61.50)
Security Subtotal				**$765.85**	**$827.35**	**($61.50)**
ATWOOD OCEANICS INC: ATW	50 0000	11/13/12	09/17/13	$2,849.89	$2,433 84	$416 05
Security Subtotal				**$2,849.89**	**$2,433.84**	**$416.05**
BELDEN INC BDC	140 0000	10/16/12	06/06/13	$7,154.94	$5,320.05	$1,834.89
BELDEN INC BDC	15 0000	10/16/12	09/12/13	$950.84	$570 00	$380.84

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BELDEN INC: BDC	40.0000	11/14/12	09/12/13	$2,535.56	$1,455.65	$1,079 91
Security Subtotal				**$10,641.34**	**$7,345.70**	**$3,295.64**
BIOSCRIP INC BIOS	785.0000	07/30/13	12/18/13	$5,480 69	$12,631.99	($7,151.30)
Security Subtotal				**$5,480.69**	**$12,631.99**	**($7,151.30)**
BONANZA CREEK ENERGY INC: BCEI	100.0000	07/29/13	10/23/13	$5,266.72	$3,970.06	$1,296.66
Security Subtotal				**$5,266.72**	**$3,970.06**	**$1,296.66**
CHICAGO BRIDGE & IRON FN Y REGISTRY SHS 1 NY SH REP: CBI	50.0000	11/13/12	04/24/13	$2,638.42	$1,896.47	$741.95
Security Subtotal				**$2,638.42**	**$1,896.47**	**$741.95**
CLEAN HARBORS INC: CLH	40 0000	11/28/12	03/14/13	$2,235.13	$2,255.00	($19.87)
Security Subtotal				**$2,235.13**	**$2,255.00**	**($19.87)**
CONSTANT CONTACT INC. CTCT	305.0000	10/22/12	02/05/13	$4,652 10	$5,124.59	($472.49)
Security Subtotal				**$4,652.10**	**$5,124.59**	**($472.49)**
CORE LABORATORIES N V F. CLB	15 0000	11/13/12	04/24/13	$2,170.91	$1,493.97	$676.94
Security Subtotal				**$2,170.91**	**$1,493.97**	**$676.94**

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DEALERTRACK TECHNOLOGIES: TRAK	85.0000	11/16/12	01/23/13	$2,730 61	$2,055.42	$675 19
Security Subtotal				**$2,730.61**	**$2,055.42**	**$675.19**
FINANCIAL ENGINES INC FNGN	105 0000	11/14/12	07/02/13	$4,924.94	$2,672.84	$2,252 10
Security Subtotal				**$4,924.94**	**$2,672.84**	**$2,252.10**
GENTEX CORP. GNTX	375 0000	02/29/12	01/29/13	$7,228 17	$8,885.00	($1,656.83)
Security Subtotal				**$7,228.17**	**$8,885.00**	**($1,656.83)**
GSE HOLDING INC. GSE	195.0000	04/01/13	08/06/13	$641.51	$1,613.59	($972 08)
Security Subtotal				**$641.51**	**$1,613.59**	**($972.08)**
KNIGHT TRANS INC: KNX	35 0000	11/13/12	08/08/13	$582.07	$548 25	$33.82
Security Subtotal				**$582.07**	**$548.25**	**$33.82**
LIVEPERSON INC: LPSN	525.0000	01/08/13	05/22/13	$4,912 31	$7,044.79	($2,132 48)
Security Subtotal				**$4,912.31**	**$7,044.79**	**($2,132.48)**
METHODE ELECTRONICS: MEI	185 0000	04/11/13	07/23/13	$3,638.51	$2,522.30	$1,116.21
Security Subtotal				**$3,638.51**	**$2,522.30**	**$1,116.21**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MIDDLEBY CORP THE· MIDD	35.0000	11/15/12	01/23/13	$4,841.75	$4,423 50	$418.25
Security Subtotal				**$4,841.75**	**$4,423.50**	**$418.25**
MOBILE MINI INC: MINI	300.0000	12/06/12	06/06/13	$10,153.94	$6,005.30	$4,148 64
Security Subtotal				**$10,153.94**	**$6,005.30**	**$4,148.64**
MONRO MUFFLER BRAKE INC. MNRO	115 0000	02/12/13	05/20/13	$5,036.74	$4,422.00	$614.74
MONRO MUFFLER BRAKE INC: MNRO	45.0000	02/12/13	05/21/13	$2,123.91	$1,730.35	$393.56
Security Subtotal				**$7,160.65**	**$6,152.35**	**$1,008.30**
MSC INDL DIRECT INC CL A: MSM	60.0000	11/12/12	01/24/13	$4,654.76	$4,273.39	$381.37
Security Subtotal				**$4,654.76**	**$4,273.39**	**$381.37**
NCI BUILDING SYS INC NEW. NCS	120.0000	11/21/12	09/17/13	$1,491.08	$1,466.54	$24.54
Security Subtotal				**$1,491.08**	**$1,466.54**	**$24.54**
NIC INC: EGOV	195 0000	11/13/12	08/05/13	$4,640.52	$3,052.59	$1,587 93
Security Subtotal				**$4,640.52**	**$3,052.59**	**$1,587.93**

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NICE SYSTEMS LTD ADR FSPONSORED ADR 1 ADR REP 1: NICE	30.0000	11/12/12	09/18/13	$1,194 05	$984.06	$209.99
Security Subtotal				**$1,194.05**	**$984.06**	**$209.99**
POLYONE CORP POL	50 0000	10/17/12	01/24/13	$1,120.02	$840.71	$279 31
POLYONE CORP· POL	235.0000	11/16/12	01/24/13	$5,264.07	$4,379.48	$884.59
Security Subtotal				**$6,384.09**	**$5,220.19**	**$1,163.90**
POLYPORE INTERNATIONAL: PPO	215.0000	06/29/12	01/24/13	$8,693.57	$8,584.03	$109.54
POLYPORE INTERNATIONAL: PPO	120.0000	06/29/12	03/22/13	$4,829.95	$4,791.09	$38.86
POLYPORE INTERNATIONAL. PPO	40 0000	11/16/12	03/22/13	$1,609.98	$1,463 27	$146.71
Security Subtotal				**$15,133.50**	**$14,838.39**	**$295.11**
REX ENERGY CORP: REXX	125.0000	11/14/12	08/01/13	$2,444.44	$1,573.44	$871 00
Security Subtotal				**$2,444.44**	**$1,573.44**	**$871.00**
REXNORD CORP: RXN	115 0000	03/28/12	01/10/13	$2,293.15	$2,085.00	$208.15
REXNORD CORP: RXN	225 0000	04/17/12	01/10/13	$4,486 60	$4,897 44	($410.84)
Security Subtotal				**$6,779.75**	**$6,982.44**	**($202.69)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
SPECTRANETICS CORP: SPNC	125 0000	08/08/12	03/27/13	$2,276.09	$1,473.22	$802.87
SPECTRANETICS CORP: SPNC	105.0000	11/15/12	03/27/13	$1,911 91	$1,454.34	$457.57
Security Subtotal				**$4,188.00**	**$2,927.56**	**$1,260.44**
TENNANT COMPANY. TNC	135.0000	04/10/12	01/23/13	$6,227.13	$6,172.05	$55.08
Security Subtotal				**$6,227.13**	**$6,172.05**	**$55.08**
TREX COMPANY INC: TREX	30.0000	11/16/12	05/02/13	$1,450.50	$1,130.77	$319 73
Security Subtotal				**$1,450.50**	**$1,130.77**	**$319.73**
ULTA SALON COSM & FRAG: ULTA	15.0000	11/15/12	04/23/13	$1,294.12	$1,315.36	($21.24)
Security Subtotal				**$1,294.12**	**$1,315.36**	**($21.24)**
VALUECLICK INC· VCLK	120.0000	05/09/13	08/30/13	$2,569.51	$3,209.35	($639.84)
VALUECLICK INC: VCLK	45.0000	05/09/13	11/04/13	$895 57	$1,203.50	($307 93)
VALUECLICK INC: VCLK	240.0000	05/17/13	11/04/13	$4,776 40	$6,358.27	($1,581 87)
Security Subtotal				**$8,241.48**	**$10,771.12**	**($2,529.64)**
VOCERA COMMUNICATIONS: VCRA	180.0000	09/07/12	07/19/13	$2,601 05	$5,190.00	($2,588 95)

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
VOCERA COMMUNICATIONS: VCRA	45 0000	11/15/12	07/19/13	$650 26	$1,164.00	($513.74)
VOCERA COMMUNICATIONS: VCRA	160.0000	01/31/13	07/19/13	$2,312.04	$4,219.40	($1,907.36)
Security Subtotal				**$5,563.35**	**$10,573.40**	**($5,010.05)**
VOLCANO CORPORATION. VOLC	585.0000	10/02/13	11/01/13	$10,949.52	$14,044.56	($3,095.04)
Security Subtotal				**$10,949.52**	**$14,044.56**	**($3,095.04)**
WAGEWORKS INC: WAGE	120.0000	10/04/12	09/17/13	$5,967.19	$2,207.23	$3,759 96
WAGEWORKS INC· WAGE	35.0000	10/03/12	10/02/13	$1,754 36	$615.78	$1,138.58
WAGEWORKS INC. WAGE	85.0000	10/04/12	10/02/13	$4,260.61	$1,563.45	$2,697.16
WAGEWORKS INC. WAGE	100 0000	11/15/12	10/02/13	$5,012 48	$1,787.76	$3,224.72
Security Subtotal				**$16,994.64**	**$6,174.22**	**$10,820.42**
Total Short-Term				**$191,048.71**	**$181,084.73**	**$9,963.98**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
A T M I INC: ATMI	115.0000	10/21/09	12/24/13	$3,451.06	$2,130.30 [a]	$1,320.76

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
A T M I INC: ATMI	115 0000	11/19/12	12/24/13	$3,451.07	$2,156.56	$1,294.51
Security Subtotal				**$6,902.13**	**$4,286.86**	**$2,615.27**
ADTRAN INC. ADTN	395 0000	01/04/12	01/14/13	$8,257 10	$11,110.03	($2,852.93)
Security Subtotal				**$8,257.10**	**$11,110.03**	**($2,852.93)**
AKORN INCORPORATED. AKRX	115 0000	03/28/12	12/12/13	$2,778 03	$1,364.08	$1,413.95
AKORN INCORPORATED. AKRX	330.0000	10/17/12	12/12/13	$7,971.74	$4,307.09	$3,664.65
AKORN INCORPORATED: AKRX	195.0000	11/14/12	12/12/13	$4,710.58	$2,452.51	$2,258.07
Security Subtotal				**$15,460.35**	**$8,123.68**	**$7,336.67**
ALBEMARLE CORP: ALB	65.0000	01/27/09	04/24/13	$3,855 31	$1,363 80 [a]	$2,491.51
Security Subtotal				**$3,855.31**	**$1,363.80**	**$2,491.51**
ALLIANCE DATA SYSTEMS. ADS	60.0000	05/02/08	03/11/13	$9,480.29	$3,534.04 [a]	$5,946.25
ALLIANCE DATA SYSTEMS: ADS	20 0000	10/02/08	03/11/13	$3,160.10	$1,222.27 [a]	$1,937.83
ALLIANCE DATA SYSTEMS. ADS	5.0000	05/02/08	08/29/13	$983.60	$294.50 [a]	$689.10
Security Subtotal				**$13,623.99**	**$5,050.81**	**$8,573.18**

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
APPROACH RESOURCES INC. AREX	165 0000	11/18/11	03/19/13	$4,169.34	$4,659.64	($490 30)
APPROACH RESOURCES INC: AREX	205.0000	11/16/11	11/13/13	$4,820.77	$5,755.00	($934.23)
APPROACH RESOURCES INC· AREX	45.0000	11/18/11	11/13/13	$1,058 22	$1,270.81	($212 59)
Security Subtotal				**$10,048.33**	**$11,685.45**	**($1,637.12)**
ATLAS AIR WORLDWIDE HLDG: AAWW	100 0000	11/04/09	11/08/13	$3,829.23	$2,636.40 [a]	$1,192 83
ATLAS AIR WORLDWIDE HLDG: AAWW	35.0000	11/05/09	11/08/13	$1,340 23	$937.38 [a]	$402.85
ATLAS AIR WORLDWIDE HLDG: AAWW	105.0000	03/25/11	11/08/13	$4,020.69	$7,143.90	($3,123.21)
Security Subtotal				**$9,190.15**	**$10,717.68**	**($1,527.53)**
ATWOOD OCEANICS INC. ATW	45.0000	01/27/09	09/17/13	$2,564 91	$763.31 [a]	$1,801 60
Security Subtotal				**$2,564.91**	**$763.31**	**$1,801.60**
BJ S RESTAURANTS INC: BJRI	305 0000	07/30/08	10/25/13	$7,934.81	$3,335 65 [a]	$4,599 16
BJ S RESTAURANTS INC: BJRI	95.0000	09/24/12	10/25/13	$2,471.50	$4,251.03	($1,779.53)
Security Subtotal				**$10,406.31**	**$7,586.68**	**$2,819.63**
BRAVO BRIO RESTAURANT: BBRG	80 0000	10/21/10	01/08/13	$1,105.72	$1,268.03	($162.31)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BRAVO BRIO RESTAURANT· BBRG	105.0000	10/21/10	01/08/13	$1,451 26	$1,485.00	($33 74)
BRAVO BRIO RESTAURANT. BBRG	125.0000	11/01/10	01/08/13	$1,727.69	$2,258.63	($530.94)
BRAVO BRIO RESTAURANT: BBRG	140 0000	08/10/11	01/08/13	$1,935 01	$2,701.30	($766.29)
Security Subtotal				**$6,219.68**	**$7,712.96**	**($1,493.28)**
CELADON GROUP INC. CGI	265 0000	04/23/10	03/25/13	$5,733 81	$4,093.85	$1,639.96
CELADON GROUP INC. CGI	75 0000	04/23/10	08/05/13	$1,502.33	$1,158.64	$343.69
CELADON GROUP INC: CGI	275.0000	01/28/11	08/05/13	$5,508.55	$4,239.00	$1,269.55
Security Subtotal				**$12,744.69**	**$9,491.49**	**$3,253.20**
CHICAGO BRIDGE & IRON FN Y REGISTRY SHS 1 NY SH REP. CBI	100.0000	01/26/09	04/24/13	$5,276 83	$1,123.85[a]	$4,152 98
Security Subtotal				**$5,276.83**	**$1,123.85**	**$4,152.98**
CONSTANT CONTACT INC CTCT	260.0000	04/30/08	02/05/13	$3,965.73	$4,636 51[a]	($670.78)
CONSTANT CONTACT INC: CTCT	120 0000	11/19/08	02/05/13	$1,830 34	$1,453.01[a]	$377.33
Security Subtotal				**$5,796.07**	**$6,089.52**	**($293.45)**
COOPER COMPANIES NEW. COO	55.0000	01/30/09	04/23/13	$5,939.40	$1,042.03[a]	$4,897.37

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
COOPER COMPANIES NEW· COO	20 0000	01/30/09	08/29/13	$2,625.98	$378.92 [a]	$2,247.06
COOPER COMPANIES NEW: COO	35.0000	01/30/09	09/12/13	$4,663 03	$663.11 [a]	$3,999.92
Security Subtotal				**$13,228.41**	**$2,084.06**	**$11,144.35**
CORE LABORATORIES N V F. CLB	25.0000	01/27/09	04/24/13	$3,618.19	$864.81 [a]	$2,753.38
CORE LABORATORIES N V F· CLB	105 0000	01/27/09	07/18/13	$15,845.77	$3,632.19 [a]	$12,213 58
Security Subtotal				**$19,463.96**	**$4,497.00**	**$14,966.96**
DEALERTRACK TECHNOLOGIES: TRAK	30.0000	04/15/10	01/23/13	$963 74	$556.82	$406 92
Security Subtotal				**$963.74**	**$556.82**	**$406.92**
FINISAR CORP NEW: FNSR	530.0000	03/02/12	06/03/13	$6,869 11	$10,610.43	($3,741.32)
Security Subtotal				**$6,869.11**	**$10,610.43**	**($3,741.32)**
GENTEX CORP. GNTX	460.0000	07/17/09	06/13/13	$10,685 38	$5,496 97 [a]	$5,188.41
GENTEX CORP GNTX	30 0000	08/09/11	06/13/13	$696 87	$685.57	$11 30
GENTEX CORP: GNTX	65 0000	02/29/12	06/13/13	$1,509 89	$1,540.07	($30 18)
Security Subtotal				**$12,892.14**	**$7,722.61**	**$5,169.53**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GSE HOLDING INC: GSE	205 0000	02/10/12	08/06/13	$674.41	$1,860.00	($1,185.59)
GSE HOLDING INC. GSE	75.0000	05/09/12	08/06/13	$246.73	$867.82	($621.09)
Security Subtotal				**$921.14**	**$2,727.82**	**($1,806.68)**
IMAX CORP F: IMAX	275.0000	07/02/12	10/04/13	$7,451.34	$6,582.63	$868.71
Security Subtotal				**$7,451.34**	**$6,582.63**	**$868.71**
KENNAMETAL INC CAP STOCK: KMT	170 0000	02/09/09	06/07/13	$7,062.42	$3,287.07 [a]	$3,775 35
KENNAMETAL INC CAP STOCK. KMT	310 0000	02/09/09	07/01/13	$12,145 38	$5,994.07 [a]	$6,151.31
Security Subtotal				**$19,207.80**	**$9,281.14**	**$9,926.66**
KNIGHT TRANS INC: KNX	325.0000	04/15/10	08/08/13	$5,405 00	$6,957.51	($1,552.51)
KNIGHT TRANS INC. KNX	130 0000	05/27/10	08/08/13	$2,162 00	$2,578.14	($416.14)
Security Subtotal				**$7,567.00**	**$9,535.65**	**($1,968.65)**
LIVE NATION ENTMT INC: LYV	235 0000	06/01/11	08/08/13	$4,400 86	$2,625.11	$1,775 75
Security Subtotal				**$4,400.86**	**$2,625.11**	**$1,775.75**

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
LKQ CORP: LKQ	275.0000	01/26/09	03/08/13	$5,702 97	$1,564.99 [a]	$4,137 98
Security Subtotal				**$5,702.97**	**$1,564.99**	**$4,137.98**
MIDDLEBY CORP THE. MIDD	10.0000	02/02/11	01/23/13	$1,383.36	$860.33	$523.03
MIDDLEBY CORP THE: MIDD	55.0000	02/02/11	03/20/13	$8,232.32	$4,731.84	$3,500.48
MIDDLEBY CORP THE: MIDD	40 0000	10/28/10	08/01/13	$7,372.12	$2,939.48	$4,432 64
MIDDLEBY CORP THE MIDD	5.0000	02/02/11	08/01/13	$921.51	$430.17	$491 34
Security Subtotal				**$17,909.31**	**$8,961.82**	**$8,947.49**
MSC INDL DIRECT INC CL A· MSM	10.0000	01/30/09	01/24/13	$775.79	$343.12 [a]	$432.67
MSC INDL DIRECT INC CL A: MSM	100 0000	01/30/09	06/06/13	$7,991.94	$3,431.18 [a]	$4,560 76
Security Subtotal				**$8,767.73**	**$3,774.30**	**$4,993.43**
NCI BUILDING SYS INC NEW: NCS	260.0000	04/26/12	09/17/13	$3,230.66	$3,042.54	$188.12
Security Subtotal				**$3,230.66**	**$3,042.54**	**$188.12**
NIC INC: EGOV	135.0000	12/20/10	08/05/13	$3,212.67	$1,280 64	$1,932 03
Security Subtotal				**$3,212.67**	**$1,280.64**	**$1,932.03**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NICE SYSTEMS LTD ADR FSPONSORED ADR 1 ADR REP 1. NICE	95.0000	01/30/09	09/18/13	$3,781 15	$1,835.39 [a]	$1,945 76
Security Subtotal				**$3,781.15**	**$1,835.39**	**$1,945.76**
OXFORD INDUSTRIES INC: OXM	15.0000	05/24/11	06/03/13	$973.53	$569.61	$403 92
OXFORD INDUSTRIES INC. OXM	35.0000	05/24/11	08/29/13	$2,159.32	$1,329.08	$830.24
Security Subtotal				**$3,132.85**	**$1,898.69**	**$1,234.16**
PDC ENERGY INC: PDCE	150.0000	02/22/12	03/19/13	$7,529.33	$5,360.58	$2,168.75
PDC ENERGY INC: PDCE	35 0000	02/22/12	10/24/13	$2,501 46	$1,250.80	$1,250.66
PDC ENERGY INC: PDCE	45.0000	04/04/12	10/24/13	$3,216 16	$1,593 84	$1,622.32
Security Subtotal				**$13,246.95**	**$8,205.22**	**$5,041.73**
POWER INTEGRATIONS INC: POWI	65 0000	02/10/11	10/28/13	$3,386.27	$2,710.53	$675.74
Security Subtotal				**$3,386.27**	**$2,710.53**	**$675.74**
RESMED INC. RMD	100.0000	05/01/08	04/24/13	$4,602.39	$2,105.52 [a]	$2,496.87
Security Subtotal				**$4,602.39**	**$2,105.52**	**$2,496.87**

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
REX ENERGY CORP: REXX	20.0000	02/12/10	08/01/13	$391.11	$275.69	$115.42
Security Subtotal				**$391.11**	**$275.69**	**$115.42**
ROVI CORPORATION. ROVI	275 0000	01/29/09	11/22/13	$4,994.05	$3,695.85 [a]	$1,298.20
ROVI CORPORATION: ROVI	285 0000	12/09/11	11/22/13	$5,175.66	$7,693.60	($2,517.94)
Security Subtotal				**$10,169.71**	**$11,389.45**	**($1,219.74)**
TENNANT COMPANY: TNC	75.0000	01/19/11	09/12/13	$4,136 95	$2,916.32	$1,220 63
TENNANT COMPANY TNC	5 0000	04/10/12	09/12/13	$275.80	$228.59	$47.21
Security Subtotal				**$4,412.75**	**$3,144.91**	**$1,267.84**
TRACTOR SUPPLY COMPANY. TSCO	70.0000	05/02/08	03/05/13	$7,279.47	$1,260.61 [a]	$6,018 86
Security Subtotal				**$7,279.47**	**$1,260.61**	**$6,018.86**
TREX COMPANY INC. TREX	15.0000	03/27/12	05/02/13	$725.25	$486 31	$238.94
Security Subtotal				**$725.25**	**$486.31**	**$238.94**
ULTA SALON COSM & FRAG: ULTA	40.0000	12/11/08	04/23/13	$3,450.98	$340.91 [a]	$3,110.07
Security Subtotal				**$3,450.98**	**$340.91**	**$3,110.07**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
VOCERA COMMUNICATIONS: VCRA	175.0000	05/15/12	07/19/13	$2,528.80	$4,383.79	($1,854.99)
Security Subtotal				**$2,528.80**	**$4,383.79**	**($1,854.99)**
VOLCANO CORPORATION: VOLC	410.0000	02/11/10	03/26/13	$9,094.99	$8,152.12	$942 87
Security Subtotal				**$9,094.99**	**$8,152.12**	**$942.87**
3 D SYSTEMS CORP: DDD	90.0000	07/21/11	01/23/13	$6,182 53	$2,400.78	$3,781 75
3 D SYSTEMS CORP: DDD	220.0000	07/21/11	11/11/13	$16,719.58	$3,912.38	$12,807.20
Security Subtotal				**$22,902.11**	**$6,313.16**	**$16,588.95**
Total Long-Term				**$331,239.47**	**$212,455.98**	**$118,783.49**
Total Realized Gain or (Loss)				**$522,288.18**	**$393,540.71**	**$128,747.47**

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions.
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method: High Cost

Short-Term		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
APPLE INC. AAPL		14.0000	11/20/12	04/02/13	$5,993.70	$7,871.98	($1,878.28)
APPLE INC: AAPL		6 0000	05/18/12	04/30/13	$2,638.77	$3,203.83	($565.06)
APPLE INC. AAPL		16.0000	11/20/12	04/30/13	$7,036.72	$8,996.55	($1,959 83)
APPLE INC. AAPL		9 0000	01/30/13	04/30/13	$3,958 16	$4,153.99	($195.83)
Security Subtotal					**$19,627.35**	**$24,226.35**	**($4,599.00)**
CHIPOTLE MEXICAN GRILL: CMG		24.0000	10/16/12	04/02/13	$7,734.24	$7,061.32	$672 92
CHIPOTLE MEXICAN GRILL: CMG		28 0000	10/16/12	04/25/13	$10,173.86	$8,238.20	$1,935 66
CHIPOTLE MEXICAN GRILL. CMG		33.0000	10/18/12	04/25/13	$11,990.62	$9,481.83	$2,508.79
CHIPOTLE MEXICAN GRILL: CMG		34 0000	10/18/12	08/27/13	$13,626.01	$9,769.16	$3,856.85
Security Subtotal					**$43,524.73**	**$34,550.51**	**$8,974.22**
CME GROUP INC CL A	CLASS A: CME	119 0000	01/25/13	04/02/13	$7,226.33	$6,815.99	$410 34
Security Subtotal					**$7,226.33**	**$6,815.99**	**$410.34**
COACH INC: COH		171.0000	06/19/12	01/24/13	$8,714.86	$10,610.30	($1,895 44)
Security Subtotal					**$8,714.86**	**$10,610.30**	**($1,895.44)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
COGNIZANT TECH SOL CL A CTSH	117 0000	05/09/12	04/02/13	$8,958 20	$6,912.26	$2,045.94
COGNIZANT TECH SOL CL A: CTSH	172.0000	04/30/13	06/19/13	$10,955.97	$11,172.63	($216.66)
Security Subtotal				**$19,914.17**	**$18,084.89**	**$1,829.28**
EQUINIX INC NEW: EQIX	12.0000	02/20/13	04/02/13	$2,545.07	$2,628.02	($82.95)
EQUINIX INC NEW. EQIX	96.0000	02/20/13	11/11/13	$15,163.15	$21,024.19	($5,861.04)
EQUINIX INC NEW EQIX	23.0000	04/25/13	11/11/13	$3,632.84	$4,901.40	($1,268.56)
Security Subtotal				**$21,341.06**	**$28,553.61**	**($7,212.55)**
FMC TECHNOLOGIES INC. FTI	54.0000	02/25/13	04/02/13	$2,899 42	$2,794.76	$104.66
Security Subtotal				**$2,899.42**	**$2,794.76**	**$104.66**
GILEAD SCIENCES INC GILD	119 0000	03/14/13	04/02/13	$5,726.13	$5,450 93	$275.20
Security Subtotal				**$5,726.13**	**$5,450.93**	**$275.20**
I H S INC: IHS	61 0000	06/22/12	04/02/13	$6,222.12	$6,396.11	($173.99)
Security Subtotal				**$6,222.12**	**$6,396.11**	**($173.99)**
INTUITIVE SURGICAL NEW: ISRG	11.0000	10/18/12	02/20/13	$6,169 54	$5,854 59	$314.95

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
INTUITIVE SURGICAL NEW: ISRG	11.0000	10/18/12	04/02/13	$5,401.12	$5,854 58	($453.46)
INTUITIVE SURGICAL NEW: ISRG	2.0000	03/20/13	04/02/13	$982.02	$977.89	$4.13
Security Subtotal				**$12,552.68**	**$12,687.06**	**($134.38)**
MEAD JOHNSON NUTRITION: MJN	13.0000	07/24/12	02/04/13	$974.83	$952.72	$22.11
MEAD JOHNSON NUTRITION: MJN	123.0000	07/24/12	03/14/13	$8,876 52	$9,014.22	($137 70)
MEAD JOHNSON NUTRITION MJN	15.0000	07/24/12	04/02/13	$1,149.60	$1,099 30	$50.30
MEAD JOHNSON NUTRITION: MJN	163.0000	11/15/12	09/20/13	$12,631.40	$10,881.51	$1,749.89
Security Subtotal				**$23,632.35**	**$21,947.75**	**$1,684.60**
YUM BRANDS INC· YUM	81 0000	01/24/13	04/02/13	$5,624 47	$5,385.44	$239.03
YUM BRANDS INC. YUM	51.0000	01/24/13	10/16/13	$3,379 14	$3,390.83	($11.69)
Security Subtotal				**$9,003.61**	**$8,776.27**	**$227.34**
Total Short-Term				**$180,384.81**	**$180,894.53**	**($509.72)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ALLERGAN INC: AGN	42.0000	03/17/11	04/02/13	$4,799.10	$2,947.11	$1,851.99
Security Subtotal				**$4,799.10**	**$2,947.11**	**$1,851.99**
AMAZON COM INC. AMZN	10.0000	01/23/12	04/02/13	$2,641.96	$1,892.23	$749.73
AMAZON COM INC AMZN	19.0000	01/25/12	04/02/13	$5,019.71	$3,573.31	$1,446.40
AMAZON COM INC AMZN	11 0000	08/04/10	08/28/13	$3,093.65	$1,410 31	$1,683.34
AMAZON COM INC AMZN	28 0000	03/16/11	08/28/13	$7,874.74	$4,703.50	$3,171.24
AMAZON COM INC: AMZN	1.0000	01/25/12	08/28/13	$281.24	$188.07	$93.17
Security Subtotal				**$18,911.30**	**$11,767.42**	**$7,143.88**
AMERICAN TOWER CORP REIT: AMT	17.0000	11/11/10	04/02/13	$1,337 20	$900 70	$436.50
AMERICAN TOWER CORP REIT AMT	73 0000	03/30/11	04/02/13	$5,742.09	$3,754 72	$1,987.37
Security Subtotal				**$7,079.29**	**$4,655.42**	**$2,423.87**
CELGENE CORP: CELG	44 0000	02/01/11	02/20/13	$4,521 94	$2,316 68	$2,205.26
CELGENE CORP: CELG	39.0000	01/20/09	04/02/13	$4,599.10	$1,924.77 [a]	$2,674.33
CELGENE CORP: CELG	49.0000	02/01/11	04/02/13	$5,778 36	$2,579.94	$3,198.42

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CELGENE CORP: CELG	34.0000	01/20/09	08/05/13	$5,003.92	$1,678.00 [a]	$3,325.92
Security Subtotal				**$19,903.32**	**$8,499.39**	**$11,403.93**
COACH INC: COH	513 0000	08/10/11	01/24/13	$26,144.57	$27,290.34	($1,145.77)
COACH INC: COH	131 0000	08/16/11	01/24/13	$6,676.29	$7,059.93	($383.64)
COACH INC: COH	81.0000	12/06/11	01/24/13	$4,128 09	$5,125 60	($997 51)
Security Subtotal				**$36,948.95**	**$39,475.87**	**($2,526.92)**
COGNIZANT TECH SOL CL A: CTSH	42.0000	01/20/09	06/19/13	$2,675.29	$811.14 [a]	$1,864.15
COGNIZANT TECH SOL CL A CTSH	159 0000	01/20/09	06/19/13	$10,127.90	$3,070.73 [a]	$7,057 17
COGNIZANT TECH SOL CL A· CTSH	145 0000	08/23/11	06/19/13	$9,236 14	$8,341.75	$894.39
COGNIZANT TECH SOL CL A CTSH	90 0000	05/09/12	06/19/13	$5,732.77	$5,317.12	$415.65
Security Subtotal				**$27,772.10**	**$17,540.74**	**$10,231.36**
ECOLAB INC: ECL	67.0000	03/21/12	04/02/13	$5,356.29	$4,039.28	$1,317.01
ECOLAB INC: ECL	106 0000	03/21/12	09/05/13	$9,906.02	$6,390.50	$3,515.52
Security Subtotal				**$15,262.31**	**$10,429.78**	**$4,832.53**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. Hıgh Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GOOGLE INC CLASS A: GOOG	10.0000	01/31/12	04/02/13	$8,112 47	$5,819.16	$2,293 31
Security Subtotal				**$8,112.47**	**$5,819.16**	**$2,293.31**
ILLUMINA INC· ILMN	2.0000	02/02/11	04/02/13	$109 27	$142.65	($33.38)
ILLUMINA INC: ILMN	53.0000	03/16/11	04/02/13	$2,895.54	$3,415.86	($520.32)
ILLUMINA INC ILMN	77.0000	03/30/11	04/02/13	$4,206.74	$5,291.86	($1,085.12)
ILLUMINA INC. ILMN	20 0000	03/16/11	07/11/13	$1,485.69	$1,289.00	$196 69
ILLUMINA INC: ILMN	128.0000	07/29/11	07/11/13	$9,508.42	$7,932.12	$1,576.30
ILLUMINA INC· ILMN	112.0000	07/29/11	08/30/13	$8,697.45	$6,940.61	$1,756.84
ILLUMINA INC: ILMN	53.0000	09/02/11	08/30/13	$4,115.76	$2,643.72	$1,472.04
Security Subtotal				**$31,018.87**	**$27,655.82**	**$3,363.05**
MEAD JOHNSON NUTRITION: MJN	137.0000	12/21/11	02/04/13	$10,273 17	$10,479.54	($206.37)
MEAD JOHNSON NUTRITION. MJN	21.0000	12/27/11	04/02/13	$1,609 43	$1,466.70	$142.73
MEAD JOHNSON NUTRITION· MJN	151.0000	12/27/11	09/20/13	$11,701 48	$10,546 27	$1,155.21
Security Subtotal				**$23,584.08**	**$22,492.51**	**$1,091.57**

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on thıs report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PRAXAIR INC· PX	284 0000	10/01/09	02/25/13	$31,341 68	$22,566.57[a]	$8,775.11
Security Subtotal				**$31,341.68**	**$22,566.57**	**$8,775.11**
QUALCOMM INC· QCOM	98.0000	01/20/09	03/20/13	$6,423 25	$3,407.88[a]	$3,015.37
QUALCOMM INC: QCOM	87.0000	01/20/09	04/02/13	$5,739.01	$3,025.36[a]	$2,713.65
QUALCOMM INC QCOM	78 0000	01/20/09	06/10/13	$4,818 44	$2,712 39[a]	$2,106.05
QUALCOMM INC QCOM	106 0000	01/20/09	08/29/13	$7,046 61	$3,686 07[a]	$3,360.54
QUALCOMM INC: QCOM	97.0000	01/20/09	11/04/13	$6,724.02	$3,373.11[a]	$3,350.91
Security Subtotal				**$30,751.33**	**$16,204.81**	**$14,546.52**
ROWE T PRICE GROUP INC. TROW	97 0000	04/28/11	03/20/13	$7,238.25	$6,292.49	$945 76
ROWE T PRICE GROUP INC. TROW	31 0000	09/21/10	04/02/13	$2,313.97	$1,575.02	$738 95
ROWE T PRICE GROUP INC: TROW	2.0000	04/28/11	04/02/13	$149.29	$129 74	$19.55
ROWE T PRICE GROUP INC· TROW	72.0000	08/31/11	04/02/13	$5,374.37	$3,876.62	$1,497 75
ROWE T PRICE GROUP INC TROW	33 0000	08/05/10	08/29/13	$2,332.06	$1,641 36	$690 70

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ROWE T PRICE GROUP INC: TROW		55.0000	09/21/10	08/29/13	$3,886.78	$2,794 39	$1,092 39
Security Subtotal					**$21,294.72**	**$16,309.62**	**$4,985.10**
VIACOM INC CL B NEW: VIAB		82.0000	01/17/12	04/02/13	$5,058.54	$3,920.96	$1,137.58
Security Subtotal					**$5,058.54**	**$3,920.96**	**$1,137.58**
VISA INC CL A	CLASS A V	51 0000	10/31/08	04/02/13	$8,554.78	$2,820 62 [a]	$5,734 16
VISA INC CL A	CLASS A· V	29 0000	10/31/08	06/10/13	$5,228.62	$1,603.88 [a]	$3,624 74
Security Subtotal					**$13,783.40**	**$4,424.50**	**$9,358.90**
YUM BRANDS INC. YUM		101.0000	09/01/10	10/16/13	$6,692.02	$4,293.76	$2,398.26
YUM BRANDS INC. YUM		3.0000	09/21/10	10/16/13	$198.77	$140.73	$58.04
YUM BRANDS INC· YUM		87.0000	09/23/10	10/16/13	$5,764 42	$4,042 62	$1,721 80
YUM BRANDS INC: YUM		400.0000	08/24/10	10/21/13	$26,680.91	$16,556 47	$10,124 44

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
YUM BRANDS INC· YUM	55.0000	09/01/10	10/21/13	$3,668 62	$2,338.19	$1,330 43
Security Subtotal				**$43,004.74**	**$27,371.77**	**$15,632.97**
Total Long-Term				**$338,626.20**	**$242,081.45**	**$96,544.75**
Total Realized Gain or (Loss)				**$519,011.01**	**$422,975.98**	**$96,035.03**

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions

Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options

Endnotes For Your Account

Symbol	Endnote Legend
a	Data for this holding has been edited or provided by the advisor

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method· High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
BP CAPITAL MKT 5.25%13F DUE 11/07/13BP CAPITAL M: 05565QBF4	30,000.0000	11/16/12	11/07/13	$30,000.00	$31,401.60	($1,401.60)
					$30,000 00	*$0 00*[b]
Security Subtotal				**$30,000.00**	**$31,401.60**	**($1,401.60)**
CS FIRST BOSTON 4 801XXXREMIC DUE 03/15/36CSFB-2003-CK. 22541NS83	25,000.0000	05/22/12	01/17/13	$4,976.56	$5,063.52	($86 96)
Security Subtotal				**$4,976.56**	**$5,063.52**	**($86.96)**
GOLDMAN SACHS G 5 25%13 DUE 10/15/13: 38141GDQ4	25,000 0000	03/19/13	10/15/13	$25,000 00	$25,668.75	($668.75)
					$25,000.00	*$0.00*[b]
Security Subtotal				**$25,000.00**	**$25,668.75**	**($668.75)**
KELLOGG 4 25XXX**MATURED** DUE 03/06/13: 487836BA5	25,000 0000	06/18/12	03/06/13	$25,000 00	$25,674.00	($674 00)
					$25,000.00	*$0.00*[b]
Security Subtotal				**$25,000.00**	**$25,674.00**	**($674.00)**
MORGAN STANLEY 5.3XXX**MATURED** DUE 03/01/13: 617446HR3	30,000 0000	03/20/12	03/01/13	$30,000 00	$30,982 50	($982 50)
					$30,000 00	*$0.00*[b]
Security Subtotal				**$30,000.00**	**$30,982.50**	**($982.50)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
NEXTERA ENERGY C 2.55%13 DUE 11/15/13: 302570BH8	25,000 0000	02/05/13	11/15/13	$25,000 00	$25,397.25	($397.25)
					$25,000 00	*$0.00*[b]
Security Subtotal				**$25,000.00**	**$25,397.25**	**($397.25)**
US TREAS BD 4 75%02/37U S T BOND DUE 02/15/37. 912810PT9	5,000.0000	09/12/12	05/01/13	$6,875.10	$6,851.73	$23.37
					$6,817.49	*$57.61*[b]
Security Subtotal				**$6,875.10**	**$6,851.73**	**$23.37**
US TREAS BD 6.625%02/27UST BOND DUE 02/15/27· 912810EZ7	10,000.0000	08/29/12	07/16/13	$14,140.36	$15,636.99	($1,496.63)
					$15,340.38	*($1,200.02)*[b]
US TREAS BD 6.625%02/27UST BOND DUE 02/15/27: 912810EZ7	15,000.0000	10/25/12	07/16/13	$21,210.54	$22,875.40	($1,664.86)
					$22,538 23	*($1,327.69)*[b]
US TREAS BD 6 625%02/27UST BOND DUE 02/15/27: 912810EZ7	15,000 0000	11/02/12	07/16/13	$21,210.54	$23,123.24	($1,912.70)
					$22,784.08	*($1,573.54)*[b]
US TREAS BD 6.625%02/27UST BOND DUE 02/15/27: 912810EZ7	5,000.0000	12/26/12	07/16/13	$7,070.18	$7,707.20	($637.02)
					$7,615.64	*($545.46)*[b]

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
US TREAS BD 6.625%02/27UST BOND DUE 02/15/27. 912810EZ7	5,000 0000	03/25/13	07/16/13	$7,070.19	$7,523.92	($453.73)
					$7,476 22	*($406.03)*[b]
US TREAS BD 6.625%02/27UST BOND DUE 02/15/27: 912810EZ7	5,000.0000	03/25/13	07/17/13	$7,113.21	$7,523.92	($410.71)
					$7,475 79	*($362.58)*[b]
Security Subtotal				**$77,815.02**	**$84,390.67**	**($6,575.65)**
US TREAS BOND6.625%02/27 DUE 02/15/27: 912810EZ7	5,000 0000	08/29/12	01/31/13	$7,469.44	$7,818.49	($349.05)
					$7,746.44	*($277.00)*[b]
US TREAS BOND6 625%02/27 DUE 02/15/27: 912810EZ7	5,000.0000	09/26/12	01/31/13	$7,469.44	$7,806.81	($337.37)
					$7,747.55	*($278.11)*[b]
Security Subtotal				**$14,938.88**	**$15,625.30**	**($686.42)**
US TREAS NOTE 3.125%19UST NOTE DUE 05/15/19 912828KQ2	10,000.0000	01/08/13	01/22/13	$11,258.02	$11,263.86	($5.84)
					$11,256 41	*$1.61*[b]
Security Subtotal				**$11,258.02**	**$11,263.86**	**($5.84)**
US TREAS NT 1 625%08/22UST NOTE DUE 08/15/22. 912828TJ9	15,000 0000	02/26/13	03/25/13	$14,718.99	$14,774.69	($55 70)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
US TREAS NT 1.625%08/22UST NOTE DUE 08/15/22: 912828TJ9	10,000.0000	02/26/13	04/08/13	$9,952 94	$9,849.79	$103.15
US TREAS NT 1.625%08/22UST NOTE DUE 08/15/22. 912828TJ9	20,000.0000	06/05/13	09/18/13	$18,200.87	$19,410.37	($1,209.50)
					$19,427 52	*($1,226.65)* b
US TREAS NT 1.625%08/22UST NOTE DUE 08/15/22: 912828TJ9	10,000.0000	07/29/13	09/18/13	$9,100.43	$9,337.69	($237 26)
					$9,347 44	*($247.01)* b
Security Subtotal				**$51,973.23**	**$53,372.54**	**($1,399.31)**
US TREASU NT 2.625%04/18UST NOTE DUE 04/30/18: 912828QG8	15,000 0000	08/28/13	11/13/13	$15,881 45	$15,779.10	$102.35
					$15,743.99	*$137 46* b
Security Subtotal				**$15,881.45**	**$15,779.10**	**$102.35**
US TREASURY 3 125%05/21UST BOND DUE 05/15/21: 912828QN3	15,000.0000	07/29/13	11/15/13	$15,985.73	$16,048.76	($63 03)
					$16,011 24	*($25.51)* b

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

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2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method· High Cost

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
US TREASURY 3 125%05/21UST BOND DUE 05/15/21. 912828QN3	15,000.0000	07/29/13	11/15/13	$15,996.86	$16,048.77	($51.91)
					$16,011.24	*($14 38)*[b]
Security Subtotal				**$31,982.59**	**$32,097.53**	**($114.94)**
Total Short-Term				**$350,700.85**	**$363,568.35**	**($12,867.50)**
					$358,027.66	***($7,326.81)***[b]

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
AMER EXP CR CO 5 875XXX**MATURED** DUE 05/02/13: 0258M0CW7	25,000 0000	03/21/12	05/02/13	$25,000.00	$26,352.00	($1,352.00)
					$25,000 00	*$0 00*[b]
Security Subtotal				**$25,000.00**	**$26,352.00**	**($1,352.00)**
CME GROUP INC 5.4XXX**MATURED** DUE 08/01/13: 12572QAA3	40,000.0000	07/20/10	08/01/13	$40,000 00	$44,384.20	($4,384 20)
					$40,000.00	*$0 00*[b]
Security Subtotal				**$40,000.00**	**$44,384.20**	**($4,384.20)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
DUKE ENERGY OHIO 2 1%13NOTES DUE 06/15/13 26442EAB6	25,000.0000	05/24/12	06/15/13	$25,000.00	$25,430.00	($430.00)
					$25,000.00	*$0.00*[b]
Security Subtotal				**$25,000.00**	**$25,430.00**	**($430.00)**
EATON CORP 5.6%18NOTES DUE 05/15/18. 278058DD1	10,000.0000	07/15/10	01/08/13	$11,763.10	$11,456.20	$306.90
					$11,036.66	*$726.44*[b]
Security Subtotal				**$11,763.10**	**$11,456.20**	**$306.90**
FHLMC C01491 6% 33 DUE 02/01/33. 31292HUQ5	260,000.0000	07/21/10	10/23/13	$17,513.23	$17,338.40	$174.83
Security Subtotal				**$17,513.23**	**$17,338.40**	**$174.83**
GEN ELEC CAP CP 5.45XXX**MATURED** DUE 01/15/13: 36962GZY3	50,000.0000	07/19/10	01/15/13	$50,000.00	$54,135.00	($4,135.00)
					$50,000.00	*$0.00*[b]
Security Subtotal				**$50,000.00**	**$54,135.00**	**($4,135.00)**
GOLDMAN SACHS G 5.25XXX**MATURED** DUE 04/01/13: 38141GDB7	30,000.0000	03/23/12	04/01/13	$30,000.00	$31,163.70	($1,163.70)
					$30,000.00	*$0.00*[b]
Security Subtotal				**$30,000.00**	**$31,163.70**	**($1,163.70)**

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method. High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
KEYCORP 6.5XXX**MATURED** DUE 05/14/13: 49326EEB5	30,000.0000	10/07/10	05/14/13	$30,000 00	$33,096.60	($3,096 60)
					$30,000 00	*$0.00*[b]
Security Subtotal				**$30,000.00**	**$33,096.60**	**($3,096.60)**
METLIFE INC. 5%13 DUE 11/24/13: 59156RAG3	30,000 0000	07/20/10	11/24/13	$30,000.00	$32,523.60	($2,523 60)
					$30,000.00	*$0.00*[b]
Security Subtotal				**$30,000.00**	**$32,523.60**	**($2,523.60)**
OHIO PWR CO 5.75%13SR UNSECRD DUE 09/01/13. 677415CN9	25,000 0000	12/01/11	09/01/13	$25,000.00	$26,849.00	($1,849.00)
					$25,000.00	*$0.00*[b]
Security Subtotal				**$25,000.00**	**$26,849.00**	**($1,849.00)**
US TREAS BD 4.75%02/37U S T BOND DUE 02/15/37· 912810PT9	5,000.0000	12/28/10	05/01/13	$6,875.10	$5,308.15	$1,566.95
					$5,292.62	*$1,582.48*[b]
Security Subtotal				**$6,875.10**	**$5,308.15**	**$1,566.95**
US TREAS BD 6.625%02/27UST BOND DUE 02/15/27. 912810EZ7	20,000.0000	02/24/12	07/17/13	$28,452.87	$30,261 07	($1,808 20)
					$29,461.78	*($1,008.91)*[b]
Security Subtotal				**$28,452.87**	**$30,261.07**	**($1,808.20)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis *Adjusted*	Realized Gain or (Loss) *Adjusted*
WELLS FARGO 4 375XXX**MATURED** DUE 01/31/13: 949746NY3	50,000.0000	09/27/10	01/31/13	$50,000.00	$53,475.50	($3,475 50)
					$50,000 00	*$0.00* [b]
Security Subtotal				**$50,000.00**	**$53,475.50**	**($3,475.50)**
Total Long-Term				**$369,604.30**	**$391,773.42**	**($22,169.12)**
					$368,129.46	***$1,474.84*** [b]
Total Realized Gain or (Loss)				**$720,305.15**	**$755,341.77**	**($35,036.62)**
					$726,157.12	***($5,851.97)*** [b]

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

Endnotes For Your Account

Symbol	Endnote Legend
b	When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations.

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method. High Cost

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
COHEN & STEERS INSTL GLOBAL REALTY SHARES: GRSIX	2,019.3710	multiple	04/03/13	$47,658.28	$43,093.81	$4,564.47
Security Subtotal				**$47,658.28**	**$43,093.81**	**$4,564.47**
DFA INTL SMALL CAP VALUE PORT INSTL. DISVX	1,037.5320	multiple	04/03/13	$17,561 91	$16,037 86	$1,524 05
Security Subtotal				**$17,561.91**	**$16,037.86**	**$1,524.05**
DODGE & COX INTL STOCK FUND: DODFX	471 5240	12/19/12	04/03/13	$16,782.26	$16,286 44	$495 82
Security Subtotal				**$16,782.26**	**$16,286.44**	**$495.82**
Total Short-Term				**$82,002.45**	**$75,418.11**	**$6,584.34**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
COHEN & STEERS INSTL GLOBAL REALTY SHARES: GRSIX	2,217 8170	08/09/11	04/03/13	$52,341.72	$41,916.84	$10,424 88
Security Subtotal				**$52,341.72**	**$41,916.84**	**$10,424.88**

2013 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: High Cost

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
DFA INTL SMALL CAP VALUE PORT INSTL· DISVX	10,778.1800	multiple	04/03/13	$182,438 09	$164,399 90	$18,038 19
Security Subtotal				**$182,438.09**	**$164,399.90**	**$18,038.19**
DODGE & COX INTL STOCK FUND· DODFX	933.3050	12/21/10	04/03/13	$33,217 74	$33,011.00	$206.74
Security Subtotal				**$33,217.74**	**$33,011.00**	**$206.74**
TORTOISE EGY INFRASTRUCT: TYG	2,040 0000	06/30/10	04/03/13	$96,656 12	$64,894 57	$31,761 55
Security Subtotal				**$96,656.12**	**$64,894.57**	**$31,761.55**
Total Long-Term				**$364,653.67**	**$304,222.31**	**$60,431.36**
Total Realized Gain or (Loss)				**$446,656.12**	**$379,640.42**	**$67,015.70**

Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions
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