efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491300003124

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
Henry and Elaine Kaufman Foundation Inc

% HENRY KAUFMAN

Number and street (or P O box number if mail is not delivered to street address)
590 Madison Avenue
5th Floor Suite

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
New York, NY 10022

A Employer identification number
23-7045903

B Telephone number (see instructions)

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 22,484,132

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	672,054			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	55,644	55,644		
	4	Dividends and interest from securities	248,767	248,767		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,343,003			
	b	Gross sales price for all assets on line 6a 7,852,511				
	7	Capital gain net income (from Part IV, line 2)		1,859,474		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	28,767	28,767		
	12	**Total.** Add lines 1 through 11	2,348,235	2,192,652		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	7,500	7,500	0	0
	c	Other professional fees (attach schedule)				
	17	Interest	280	280		
	18	Taxes (attach schedule) (see instructions)	170,526	170,526		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	137,539	137,539		885
	24	**Total operating and administrative expenses.** Add lines 13 through 23	315,845	315,845	0	885
	25	Contributions, gifts, grants paid	1,485,705			1,485,705
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,801,550	315,845	0	1,486,590
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	546,685			
	b	**Net investment income** (if negative, enter -0-)		1,876,807		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,424,660	6,669,089	6,669,089
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	11,945,139	9,287,477	12,993,495
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	15	Other assets (describe ▶ ______)	4,736,536	4,696,454	2,821,548
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	20,106,335	20,653,020	22,484,132
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ______)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	20,106,335	20,653,020	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	20,106,335	20,653,020	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	20,106,335	20,653,020	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,106,335
2	Enter amount from Part I, line 27a	2	546,685
3	Other increases not included in line 2 (itemize) ▶ ______	3	
4	Add lines 1, 2, and 3	4	20,653,020
5	Decreases not included in line 2 (itemize) ▶ ______	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	20,653,020

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,859,474
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,702,834	12,172,197	0 139895
2011	1,215,994	16,289,180	0 07465
2010	1,267,423	16,268,698	0 077906
2009	7,124,688	15,445,184	0 461289
2008	2,802,541	24,214,078	0 11574

2	**Total** of line 1, column (d).	2	0 86948
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 173896
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	20,984,577
5	Multiply line 4 by line 3.	5	3,649,134
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	18,768
7	Add lines 5 and 6.	7	3,667,902
8	Enter qualifying distributions from Part XII, line 4.	8	1,486,590

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	37,536
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	37,536
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	37,536
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 52,591		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 50,000		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	102,591
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	65,055
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 65,055 **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____ **(2)** On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶HENRY KAUFMAN Telephone no ▶(212) 758-7100

Located at ▶590 MADISON AVENUE 5TH FLOOR New York NY ZIP+4 ▶10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	15,118,084
b	Average of monthly cash balances	1b	3,364,507
c	Fair market value of all other assets (see instructions)	1c	2,821,548
d	**Total** (add lines 1a, b, and c)	1d	21,304,139
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	21,304,139
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	319,562
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	20,984,577
6	**Minimum investment return.** Enter 5% of line 5	6	1,049,229

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,049,229
2a	Tax on investment income for 2013 from Part VI, line 5	2a	37,536		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	37,536
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,011,693
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,011,693
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,011,693

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,486,590
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,486,590
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,486,590

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,011,693
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008. 2,802,541				
b From 2009. 7,133,203				
c From 2010. 1,267,423				
d From 2011. 1,215,994				
e From 2012. 1,214,484				
f **Total** of lines 3a through e.	13,633,645			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 1,486,590				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				1,011,693
e Remaining amount distributed out of corpus	474,897			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,108,542			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	2,802,541			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	11,306,001			
10 Analysis of line 9				
a Excess from 2009. . . . 7,133,203				
b Excess from 2010. . . . 1,267,423				
c Excess from 2011. . . . 1,215,994				
d Excess from 2012. . . . 1,214,484				
e Excess from 2013. . . . 474,897				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

DR HENRY ELAINE KAUFMAN
590 MADISON AVENUE
NEW YORK, NY 10022
(212) 758-7100

b The form in which applications should be submitted and information and materials they should include

APPLICATIONS SHOULD BE SUBMITTED IN WRITING

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				1,485,705
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	55,644	
4 Dividends and interest from securities			14	248,767	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,343,003	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a PARTNERSHIP INCOME			14	28,767	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,676,181	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 1,676,181

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-10-01 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
JOSEPH N VET JR				
Firm's name ▶	BENDER LANE ADVISORY LLC		Firm's EIN ▶	
Firm's address ▶	100 GREAT OAKS BLVD SUITE 125 ALBANY, NY 12203		Phone no (518) 218-1218	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1437 SHS YELP	P	2010-02-05	2013-03-22
5749 SHS YELP	D	2010-02-05	2013-03-22
1296 SHS YELP	P	2010-02-05	2013-06-21
291 SHS YELP	P	2010-04-23	2013-06-21
5185 SHS YELP	D	2010-02-05	2013-06-21
1165 SHS YELP	D	2010-04-23	2013-06-21
1302 SHS YELP	P	2010-02-05	2013-09-19
5207 SHS YELP	D	2010-02-05	2013-09-19
7151 SHS AVAV	P	2010-04-20	2013-03-22
12849 SHS AVAV	P	2010-04-19	2013-03-22
10000 SHS AVAV	P	2011-01-05	2013-04-16
10000 SHS AVAV	P	2011-02-17	2013-04-16
10000 SHS RRD	P	2011-11-17	2013-08-07
10000 SHS RRD	P	2011-11-17	2013-08-07
10000 SHS C	P	2009-08-24	2013-09-24
10000 SHS C	P	2010-03-18	2013-09-27
10000 SHS C	P	2010-03-24	2013-10-08
10000 SHS C	P	2010-11-04	2013-10-08
10000 SHS BA	P	2009-08-25	2013-12-03
10000 SHS WFC	P	2012-07-19	2013-12-04
50 SHS HKHHF	P	2012-08-29	2013-01-02
25 SHS CFRHF	P	2013-03-04	2013-05-31
200 SHS CFRHF	P	2013-03-04	2013-06-20
75 SHS CFRHF	P	2013-03-04	2013-08-28
25 SHS BTAFF	P	2013-03-04	2013-12-04
25 SHS BTAFF	P	2013-09-19	2013-12-04
50 SHS CFRHF	P	2013-03-04	2013-12-04
150 SHS MO	P	2013-03-04	2013-12-04
150 SHS MO	P	2013-03-04	2013-12-05
50 SHS BTAFF	P	2013-03-04	2013-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
24 SHS MA	P	2013-03-04	2013-12-23
70 SHS LMT	P	2009-04-13	2013-01-02
150 SHS LMT	P	2009-04-09	2013-01-02
150 SHS LMT	P	2009-04-14	2013-01-02
170 SHS LMT	P	2010-10-01	2013-01-02
480 SHS LMT	P	2010-10-01	2013-01-03
255 SHS TWC	P	2010-02-08	2013-01-10
150 SHS TWC	P	2010-02-08	2013-01-17
1655 SHS TPX	P	2012-04-23	2013-01-25
570 SHS TPX	P	2012-04-24	2013-01-25
600 SHS TPX	P	2012-04-30	2013-01-25
70 SHS TPX	P	2012-05-07	2013-01-25
550 SHS TPX	P	2012-05-07	2013-01-28
885 SHS TPX	P	2012-05-08	2013-01-28
15 SHS TPX	P	2012-06-01	2013-01-28
540 SHS TWC	P	2010-02-08	2013-01-31
770 SHS WU	P	2011-10-24	2013-02-08
125 SHS WU	P	2012-07-11	2013-02-11
1320 SHS WU	P	2012-07-11	2013-02-11
200 SHS WU	P	2012-07-11	2013-02-11
95 SHS WU	P	2011-10-17	2013-02-11
300 SHS WU	P	2011-10-24	2013-02-11
1220 SHS WU	P	2011-10-25	2013-02-11
190 SHS WU	P	2012-07-12	2013-02-13
315 SHS WU	P	2012-07-12	2013-02-13
1455 SHS WU	P	2011-10-17	2013-02-13
410 SHS WU	P	2012-07-12	2013-02-14
405 SHS WU	P	2012-07-12	2013-02-14
1240 SHS WU	P	2011-09-28	2013-02-14
540 SHS WU	P	2011-09-28	2013-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
340 SHS WU	P	2011-09-27	2013-02-28
1550 SHS WU	P	2011-09-27	2013-02-28
135 SHS WU	P	2011-09-27	2013-02-28
1085 SHS WU	P	2011-09-27	2013-02-28
235 SHS WU	P	2011-09-28	2013-02-28
1105 SHS WU	P	2011-09-28	2013-02-28
135 SHS WU	P	2011-10-07	2013-02-28
555 SHS WU	P	2011-09-30	2013-03-01
1585 SHS WU	P	2011-10-07	2013-03-01
250 SHS WU	P	2011-09-30	2013-03-14
230 SHS WU	P	2011-09-30	2013-03-15
560 SHS WU	P	2011-10-03	2013-03-15
230 SHS WU	P	2011-10-03	2013-03-15
585 SHS WU	P	2011-10-03	2013-03-20
575 SHS WU	P	2011-10-03	2013-03-22
265 SHS TWC	P	2010-02-08	2013-03-28
50 SHS CCK	P	2010-11-23	2013-04-11
50 SHS CCK	P	2010-10-19	2013-04-11
150 SHS CCK	P	2010-10-19	2013-04-11
30 SHS DNB	P	2012-02-22	2013-04-11
110 SHS DNB	P	2012-02-22	2013-04-11
30 SHS DNB	P	2012-02-21	2013-04-11
155 SHS TWC	P	2010-02-08	2013-04-11
325 SHS TWC	P	2010-01-27	2013-04-11
40 SHS DNB	P	2012-02-21	2013-04-12
100 SHS CCK	P	2010-10-19	2013-04-18
50 SHS CCK	P	2010-10-19	2013-04-18
450 SHS CCK	P	2010-10-19	2013-04-19
50 SHS CCK	P	2010-10-19	2013-04-19
150 SHS CCK	P	2010-10-18	2013-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
50 SHS CCK	P	2010-10-19	2013-04-22
25 SHS CCK	P	2010-10-19	2013-04-22
175 SHS CCK	P	2010-10-18	2014-04-24
470 SHS CCK	P	2010-10-18	2013-04-24
100 SHS CCK	P	2010-08-12	2013-04-24
225 SHS CCK	P	2010-08-16	2013-04-24
100 SHS CCK	P	2010-08-16	2013-04-24
90 SHS CCK	P	2010-08-16	2013-04-24
160 SHS DNB	P	2012-04-18	2013-04-24
65 SHS DNB	P	2012-02-21	2013-04-24
3785 SHS WU	P	2012-10-31	2013-04-24
915 SHS WU	P	2012-11-07	2013-04-24
4920 SHS WU	P	2012-11-07	2013-04-25
1650 SHS WU	P	2012-11-07	2013-04-26
1580 SHS WU	P	2012-11-01	2013-04-26
1480 SHS WU	P	2012-11-01	2013-04-29
110 SHS DNB	P	2012-02-21	2013-05-01
110 SHS DNB	P	2012-01-10	2013-05-01
40 SHS DNB	P	2011-12-05	2013-05-01
60 SHS DNB	P	2011-12-05	2013-05-03
25 SHS SBH	P	2013-01-28	2013-05-09
260 SHS SBH	P	2013-01-28	2013-05-09
115 SHS CCK	P	2010-08-16	2013-05-10
105 SHS CCK	P	2010-07-19	2013-05-10
635 SHS SBH	P	2013-01-29	2013-05-10
145 SHS SBH	P	2013-01-28	2013-05-10
50 SHS SBH	P	2013-01-29	2013-05-13
155 SHS SBH	P	2013-02-04	2013-05-13
240 SHS SBH	P	2013-01-31	2013-05-13
125 SHS CCK	P	2010-07-19	2013-05-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
465 SHS CCK	P	2010-07-19	2013-05-14
190 SHS SBH	P	2013-01-31	2013-05-14
825 SHS SBH	P	2013-01-30	2013-05-14
125 SHS SBH	P	2013-01-24	2013-05-15
265 SHS SBH	P	2013-01-24	2013-05-15
485 SHS SBH	P	2013-01-23	2013-05-15
220 SHS SBH	P	2013-02-07	2013-05-15
375 SHS CCK	P	2010-07-19	2013-05-20
135 SHS CCK	P	2010-07-19	2013-05-21
300 SHS CCK	P	2010-06-24	2013-05-21
125 SHS DNB	P	2011-12-05	2013-06-07
65 SHS DNB	P	2011-12-06	2013-06-07
250 SHS DNB	P	2011-12-13	2013-06-07
375 SHS TWC	P	2010-01-27	2013-06-14
640 SHS TWC	P	2010-01-29	2013-06-14
240 SHS DNB	P	2011-12-06	2013-07-08
175 SHS DNB	P	2011-12-07	2013-07-08
40 SHS DNB	P	2011-12-12	2013-07-08
100 SHS DNB	P	2011-12-12	2013-07-09
175 SHS DNB	P	2011-12-15	2013-07-09
25 SHS DNB	P	2011-12-08	2013-07-09
200 SHS DNB	P	2011-12-08	2013-07-15
370 SHS DNB	P	2011-12-02	2013-07-15
205 SHS DNB	P	2011-12-19	2013-07-25
175 SHS DNB	P	2011-12-02	2013-07-25
40 SHS DNB	P	2011-12-14	2013-07-25
25 SHS DNB	P	2011-12-14	2013-07-26
360 SHS AON	P	2010-10-14	2013-09-23
425 SHS AON	P	2010-10-14	2013-09-24
60 SHS AON	P	2010-10-15	2013-09-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
335 SHS CCK	P	2012-06-28	2013-09-27
450 SHS CCK	P	2010-06-24	2013-09-27
55 SHS CCK	P	2010-06-24	2013-09-30
25 SHS CCK	P	2010-06-24	2013-10-01
535 SHS AON	P	2010-10-15	2013-10-18
125 SHS AON	P	2010-10-15	2013-10-25
100 SHS AON	P	2010-10-18	2013-10-25
120 SHS DNB	P	2011-12-14	2013-11-04
45 SHS DNB	P	2012-05-17	2013-11-04
45 SHS DNB	P	2012-05-17	2013-11-05
60 SHS DNB	P	2012-05-14	2013-11-05
260 SHS DNB	P	2012-05-11	2013-11-05
20 SHS DNB	P	2012-05-11	2013-11-06
75 SHS DNB	P	2012-05-10	2013-11-06
180 SHS DNB	P	2012-06-04	2013-11-06
480 SHS AON	P	2010-10-18	2013-11-14
490 SHS AON	P	2010-10-18	2013-11-15
215 SHS AON	P	2010-10-19	2013-11-15
50 SHS TPX	P	2011-02-24	2013-11-26
195 SHS TPX	P	2011-02-08	2013-11-26
90 SHS TPX	P	2011-02-07	2013-11-26
290 SHS LH	P	2012-10-17	2013-11-27
110 SHS TPX	P	2011-02-04	2013-12-02
165 SHS TPX	P	2011-02-07	2013-12-02
225 SHS CCK	P	2010-06-24	2013-12-06
195 SHS CCK	P	2010-06-24	2013-12-09
75 SHS CCK	P	2010-06-29	2013-12-09
340 SHS CCK	P	2010-06-29	2013-12-16
545 SHS MSI	P	2012-10-31	2013-12-19
570 SHS MSI	P	2012-10-19	2013-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
10 SHS MSI	P	2012-10-19	2013-12-20
40 SHS MSI	P	2012-09-28	2013-12-20
95 SHS MSI	P	2012-09-27	2013-12-20
75 SHS MSI	P	2012-10-15	2013-12-20
460 SHS MSI	P	2012-10-03	2013-12-20
370 SHS MSI	P	2013-07-10	2013-12-20
70 SHS MSI	P	2012-07-19	2013-12-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
34,496		13,742	20,754
138,008		54,969	83,039
39,602		10,321	29,281
8,892		2,440	6,452
158,437		41,286	117,151
35,599		9,760	25,839
81,881		12,392	69,489
327,463		49,567	277,896
131,752		189,858	-58,106
236,734		335,003	-98,269
179,526		294,972	-115,446
179,526		298,398	-118,872
190,592		149,988	40,604
191,138		149,988	41,150
490,985		495,930	-4,945
488,518		405,000	83,518
479,030		419,000	60,030
479,030		432,910	46,120
1,322,692		485,706	836,986
436,990		341,400	95,590
2,743		2,308	435
2,240		1,977	263
17,397		15,817	1,580
7,138		5,931	1,207
1,313		1,353	-40
1,313		1,366	-53
4,885		3,954	931
5,563		5,101	462
5,556		5,101	455
2,608		2,705	-97

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,520		12,457	7,063
6,500		5,150	1,350
13,929		10,978	2,951
13,929		10,974	2,955
15,787		12,001	3,786
44,983		33,885	11,098
24,888		11,479	13,409
14,938		6,753	8,185
67,814		109,612	-41,798
23,356		34,920	-11,564
24,585		35,407	-10,822
2,868		3,344	-476
22,094		26,273	-4,179
35,551		41,708	-6,157
603		646	-43
48,000		24,309	23,691
11,305		13,489	-2,184
1,800		2,094	-294
19,012		22,111	-3,099
2,881		3,350	-469
1,368		1,580	-212
4,321		5,256	-935
17,572		21,205	-3,633
2,707		3,124	-417
4,488		5,180	-692
20,728		24,194	-3,466
5,835		6,742	-907
5,764		6,660	-896
17,648		19,943	-2,295
7,706		8,685	-979

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,776		5,443	-667
21,774		24,813	-3,039
1,896		2,161	-265
15,242		17,369	-2,127
3,301		3,779	-478
15,522		17,772	-2,250
1,896		2,152	-256
7,857		8,610	-753
22,439		25,264	-2,825
3,630		3,878	-248
3,371		3,568	-197
8,207		8,449	-242
3,371		3,470	-99
8,594		8,826	-232
8,418		8,675	-257
25,381		11,929	13,452
2,084		1,544	540
2,084		1,500	584
6,253		4,501	1,752
2,581		2,438	143
9,462		8,941	521
2,581		2,402	179
14,610		6,978	7,632
30,634		14,435	16,199
3,440		3,203	237
4,066		3,001	1,065
2,033		1,500	533
18,427		13,504	4,923
2,047		1,500	547
6,170		4,485	1,685

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,057		1,500	557
1,028		750	278
7,350		5,233	2,117
19,739		14,053	5,686
4,200		2,800	1,400
9,450		6,273	3,177
4,200		2,788	1,412
3,780		2,509	1,271
13,845		12,947	898
5,625		5,204	421
55,048		50,268	4,780
13,307		11,455	1,852
72,124		61,595	10,529
24,057		20,657	3,400
23,037		19,470	3,567
21,631		18,238	3,393
9,728		8,808	920
9,728		8,493	1,235
3,538		2,894	644
5,403		4,340	1,063
765		663	102
7,978		6,897	1,081
5,019		3,206	1,813
4,582		2,702	1,880
19,419		16,831	2,588
4,434		3,846	588
1,515		1,325	190
4,696		4,107	589
7,271		6,358	913
5,436		3,217	2,219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
20,339		11,966	8,373
5,793		5,034	759
25,153		21,851	3,302
3,811		3,304	507
8,167		7,003	1,164
14,948		12,603	2,345
6,780		5,607	1,173
16,490		9,650	6,840
5,937		3,474	2,463
13,194		7,494	5,700
12,400		9,042	3,358
6,448		4,636	1,812
24,801		17,860	6,941
37,870		16,656	21,214
64,631		28,364	36,267
24,161		17,116	7,045
17,617		12,460	5,157
4,027		2,846	1,181
10,231		7,116	3,115
17,904		12,386	5,518
2,558		1,769	789
21,365		14,151	7,214
39,525		26,172	13,353
21,908		14,481	7,427
18,702		12,379	6,323
4,275		2,822	1,453
2,760		1,764	996
27,258		17,669	9,589
32,254		20,859	11,395
4,553		2,945	1,608

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,294		11,254	3,040
19,201		11,241	7,960
2,338		1,374	964
1,063		624	439
40,329		26,258	14,071
9,773		6,135	3,638
7,819		4,908	2,911
13,129		8,465	4,664
4,923		3,043	1,880
4,823		3,043	1,780
6,431		4,010	2,421
27,867		17,300	10,567
2,164		1,331	833
8,117		4,908	3,209
19,480		11,455	8,025
38,783		23,558	15,225
39,586		24,049	15,537
17,370		10,552	6,818
2,510		2,311	199
9,789		8,979	810
4,518		4,137	381
29,685		26,197	3,488
5,667		5,014	653
8,500		7,585	915
9,889		5,620	4,269
8,557		4,871	3,686
3,291		1,868	1,423
14,715		8,470	6,245
35,931		28,073	7,858
37,579		28,738	8,841

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
658		504	154
2,632		2,014	618
6,250		4,785	1,465
4,934		3,763	1,171
30,264		23,014	7,250
24,343		21,509	2,834
4,605		3,329	1,276

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			20,754
			83,039
			29,281
			6,452
			117,151
			25,839
			69,489
			277,896
			-58,106
			-98,269
			-115,446
			-118,872
			40,604
			41,150
			-4,945
			83,518
			60,030
			46,120
			836,986
			95,590
			435
			263
			1,580
			1,207
			-40
			-53
			931
			462
			455
			-97

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7,063
			1,350
			2,951
			2,955
			3,786
			11,098
			13,409
			8,185
			-41,798
			-11,564
			-10,822
			-476
			-4,179
			-6,157
			-43
			23,691
			-2,184
			-294
			-3,099
			-469
			-212
			-935
			-3,633
			-417
			-692
			-3,466
			-907
			-896
			-2,295
			-979

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-667
			-3,039
			-265
			-2,127
			-478
			-2,250
			-256
			-753
			-2,825
			-248
			-197
			-242
			-99
			-232
			-257
			13,452
			540
			584
			1,752
			143
			521
			179
			7,632
			16,199
			237
			1,065
			533
			4,923
			547
			1,685

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			557
			278
			2,117
			5,686
			1,400
			3,177
			1,412
			1,271
			898
			421
			4,780
			1,852
			10,529
			3,400
			3,567
			3,393
			920
			1,235
			644
			1,063
			102
			1,081
			1,813
			1,880
			2,588
			588
			190
			589
			913
			2,219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,373
			759
			3,302
			507
			1,164
			2,345
			1,173
			6,840
			2,463
			5,700
			3,358
			1,812
			6,941
			21,214
			36,267
			7,045
			5,157
			1,181
			3,115
			5,518
			789
			7,214
			13,353
			7,427
			6,323
			1,453
			996
			9,589
			11,395
			1,608

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,040
			7,960
			964
			439
			14,071
			3,638
			2,911
			4,664
			1,880
			1,780
			2,421
			10,567
			833
			3,209
			8,025
			15,225
			15,537
			6,818
			199
			810
			381
			3,488
			653
			915
			4,269
			3,686
			1,423
			6,245
			7,858
			8,841

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			154
			618
			1,465
			1,171
			7,250
			2,834
			1,276

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Henry Kaufman 590 Madison Avenue New York, NY 10022	Pres/Treas/Dir 0	0		
Elaine Kaufman 590 Madison Avenue New York, NY 10022	VP/Dir 0	0		
Daniel S Kaufman 590 Madison Avenue New York, NY 10022	Sec/Dir 0	0		
Glenn D Kaufman 590 Madison Avenue New York, NY 10022	Dir 0	0		
Craig S Kaufman 590 Madison Avenue New York, NY 10022	Dir 0	0		

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

HENRY KAUFMAN
ELAINE KAUFMAN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
American Academy of Arts & Sciences 136 Irving St Cambridge, MA 02138	None	501 (c) (3)	General Charitable	5,000
American Diabetes Association 1701 N Beauregard St Alexandria, VA 22311	None	501 (c) (3)	General Charitable	500
American Friends of Tel Aviv University 39 Broadway New York, NY 10006	None	501 (c) (3)	General Charitable	1,000
American Friends of Tel-Aviv University 39 Broadway New York, NY 10006	None	501 (c) (3)	General Charitable	1,000
American Friends of the Israel Museum 500 Fifth Ave New York, NY 10110	None	501 (c) (3)	General Charitable	1,000
American Friends of the Israel Museum 500 Fifth Ave New York, NY 10110	None	501 (c) (3)	General Charitable	2,300
ARRL Spectrum Defense Fund 225 Main Street Newington, CT 06111	None	501 (c) (3)	General Charitable	250
Austen Riggs Center 25 Main Street Stockbridge, MA 01262	None	501 (c) (3)	General Charitable	5,000
Austen Riggs Center 25 Main Street Stockbridge, MA 01262	None	501 (c) (3)	General Charitable	15,000
Austen Riggs Center 25 Main Street Stockbridge, MA 01262	None	501 (c) (3)	General Charitable	10,000
Bergen Volunteer Medical Initiative Inc 241 Moore Street Hackensack, NJ 07601	None	501 (c) (3)	General Charitable	5,000
Brooklyn Museum 200 Eastern Parkway Brooklyn, NY 11238	None	501 (c) (3)	General Charitable	1,000
Center for Jewish LifePrinceton Hillel PO Box 20526 Stanford, CA 94309	None	501 (c) (3)	General Charitable	500
Chabad 719 Eastern Parkway 1 Brooklyn, NY 11213	None	501 (c) (3)	General Charitable	5,000
Chastain Park Conservancy 4001 Powers Ferry Road Atlanta, GA 30342	None	501 (c) (3)	General Charitable	250
Total . . . . ▶ **3a**				1,485,705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Child Mind Institute 445 Park Avenue New York, NY 10022	None	501 (c) (3)	General Charitable	200
Circle Camps for Grieving Children 5124 Holyrood Rd Pittsburgh, PA 15213	None	501 (c) (3)	General Charitable	1,000
Council on Foreign Relations 58 E 68th St New York, NY 10065	None	501 (c) (3)	General Charitable	20,000
Davis Academy 8105 Roberts Drive Atlanta, GA 30350	None	501 (c) (3)	General Charitable	3,500
Double H Ranch 97 Hidden Valley Rd Lake Luzerne, NY 12846	None	501 (c) (3)	General Charitable	500
Dwight-Englewood School 315 E Palisade Ave Englewood, NJ 07631	None	501 (c) (3)	General Charitable	2,500
Education Through Music 122 E 42nd St New York, NY 10168	None	501 (c) (3)	General Charitable	1,000
Environmental Working Group 1436 U St NW Washington, DC 20009	None	501 (c) (3)	General Charitable	250
Evangel Christian School 39-21 Crescent St Long Island City, NY 11101	None	501 (c) (3)	General Charitable	1,000
FIDF 1430 Boardway New York, NY 10018	None	501 (c) (3)	General Charitable	1,000
Foundation for Jewish Camp 253 West 35 St New York, NY 10001	None	501 (c) (3)	General Charitable	500
Fractured Atlas 248 West 35 St New York, NY 10001	None	501 (c) (3)	General Charitable	5,000
Franklin Lakes Police PO Box 46 Franklin Lakes, NJ 07417	None	501 (c) (3)	General Charitable	100
Franklin Lakes Volunteer Ambulance Corp PO Box 302 Franklin Lakes, NJ 07417	None	501 (c) (3)	General Charitable	200
Franklin Lakes Volunteer Fire Deptartment 480 DeKorte Drive Franklin Lakes, NJ 07417	None	501 (c) (3)	General Charitable	250
Total . . . . ▶ **3a**				1,485,705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Friends of the Irvington Library 12 South Astor St Irvington, NY 10533	None	501 (c) (3)	General Charitable	250
Glen Rock Jewish Center 682 Harristown Road Glen Rock, NJ 07452	None	501 (c) (3)	General Charitable	1,675
Grassroots Environmental Education 52 Main Street Port Washington, NY 11050	None	501 (c) (3)	General Charitable	420
Grassroots Environmental Education 52 Main Street Port Washington, NY 11050	None	501 (c) (3)	General Charitable	1,000
Grassroots Environmental Education 52 Main Street Port Washington, NY 11050	None	501 (c) (3)	General Charitable	1,500
GW Baseball Fund 549 Audubon Avenue Bronx, NY 10046	None	501 (c) (3)	General Charitable	3,000
George Washington University Law Schhol (Paul A V 2000 H Street NW Washington, DC 20052	None	501 (c) (3)	General Charitable	25,000
Hadassah 50 West 58th St New York, NY 10019	None	501 (c) (3)	General Charitable	1,000
Harlem Children's Zone 35 East 125th Street New York, NY 10035	None	501 (c) (3)	General Charitable	1,000
Hospital for Special Surgery 535 East 70th Street New York, NY 10021	None	501 (c) (3)	General Charitable	500
Hospital for Special Surgery 535 East 70th Street New York, NY 10021	None	501 (c) (3)	General Charitable	500
Institute of International Education 809 United Nations Plaza New York, NY 10017	None	501 (c) (3)	General Charitable	200,000
Institute of International Education 809 United Nations Plaza New York, NY 10017	None	501 (c) (3)	General Charitable	20,000
Institute of International Education 809 United Nations Plaza New York, NY 10017	None	501 (c) (3)	General Charitable	23,050
International Rescue Committee 122 East 42nd Street New York, NY 10168	None	501 (c) (3)	General Charitable	5,000
Total . . . . ▶ **3a**				1,485,705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Irvington Police 85 Main St Irvington, NY 10533	None	501 (c) (3)	General Charitable	250
Jerusalem Fellowships Inc 28 Park Ave Airmont, NY 10952	None	501 (c) (3)	General Charitable	25,000
Jewish Educational Loan Fund 4549 Chamblee Dunwoody Road Atlanta, GA 30338	None	501 (c) (3)	General Charitable	5,000
Jewish Federation of Atlanta 1440 Spring St Atlanta, GA 30309	None	501 (c) (3)	General Charitable	4,000
Jewish Federation of Palm Beach 4601 Community Dr West Palm Beach, FL 33417	None	501 (c) (3)	General Charitable	3,000
Jewish Federation of Palm Beach County 4601 Community Dr West Palm Beach, FL 33417	None	501 (c) (3)	General Charitable	3,600
Jewish Home at Rockleigh 10 Link Drive Rockleigh, NJ 07647	None	501 (c) (3)	General Charitable	25,000
Jewish Theological Seminary 3080 Broadway New York, NY 10027	None	501 (c) (3)	General Charitable	500
Kaufman Center 129 West 67th Street New York, NY 10023	None	501 (c) (3)	General Charitable	1,000
Kaufman Center 129 West 67th Street New York, NY 10023	None	501 (c) (3)	General Charitable	22,700
Kaufman Center 129 West 67th Street New York, NY 10023	None	501 (c) (3)	General Charitable	10,000
Kaufman Center 129 West 67th Street New York, NY 10023	None	501 (c) (3)	General Charitable	200,000
Kaufman Center 129 West 67th Street New York, NY 10023	None	501 (c) (3)	General Charitable	950
Kaufman Music Center 129 West 67th Street New York, NY 10023	None	501 (c) (3)	General Charitable	1,950
Mandel JCC of the Palm Beaches 5221 Hood Road Palm Beach Gardens, FL 33418	None	501 (c) (3)	General Charitable	1,800
Total . . . . ▶ **3a**				1,485,705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Manhattan Theatre Club 311 West 43rd Street New York, NY 10036	None	501 (c) (3)	General Charitable	1,000
Manhattan Theatre Club 311 West 43rd Street New York, NY 10036	None	501 (c) (3)	General Charitable	3,890
Manhattan Theatre Club 311 West 43rd Street New York, NY 10036	None	501 (c) (3)	General Charitable	1,000
Marcus Jewish Community Center 5342 Tilly Mill Rd Dunwoody, GA 30338	None	501 (c) (3)	General Charitable	5,000
Museum of American Finance 48 Wall Street New York, NY 10005	None	501 (c) (3)	General Charitable	15,000
Museum of Jewish Heritage 36 Battery Place New York, NY 10280	None	501 (c) (3)	General Charitable	1,000
National Guild 520 8th Avenue New York, NY 10018	None	501 (c) (3)	General Charitable	1,000
New York Public Radio 160 Varick Street New York, NY 10013	None	501 (c) (3)	General Charitable	1,000
New York University 25 West 4th Street 4th FL New York, NY 10012	None	501 (c) (3)	General Charitable	10,000
New York University 25 West 4th Street 4th FL New York, NY 10012	None	501 (c) (3)	General Charitable	25,000
New York-Presbyterian 525 East 68th St New York, NY 10065	None	501 (c) (3)	General Charitable	20,000
Norton Museum of Art 1451 S Olive Ave West Palm Beach, FL 33401	None	501 (c) (3)	General Charitable	25,000
Norton Museum of Art 1451 S Olive Ave West Palm Beach, FL 33401	None	501 (c) (3)	General Charitable	11,500
Orpheus Chamber Orchestra 490 Riverside Drive 11th Floor New York, NY 10027	None	501 (c) (3)	General Charitable	2,500
Orpheus Chamber Orchestra 490 Riverside Drive 11th Floor New York, NY 10027	None	501 (c) (3)	General Charitable	2,000
Total . . . . ▶ **3a**				1,485,705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Palm Beach Country Club Foundation 760 N Ocean Blvd Palm Beach, FL 33480	None	501 (c) (3)	General Charitable	1,000
Palm Beach United Way 2600 Quantum Blvd Boynton Beach, FL 33426	None	501 (c) (3)	General Charitable	1,000
Palm Beach United Way 2600 Quantum Blvd Boynton Beach, FL 33426	None	501 (c) (3)	General Charitable	1,000
PEDS 1389 Peachtree St NE Atlanta, GA 30309	None	501 (c) (3)	General Charitable	1,000
Phelps Memorial Hospital Foundation 701 North Broadway Sleep Hollow, NY 10591	None	501 (c) (3)	General Charitable	250
PMC-Jimmy Fund 10 Brookline Place West 6th FL Brookline, MA 02445	None	501 (c) (3)	General Charitable	250
Raymond F Kravis Center 701 Okeechobee Blvd West Palm Beach, FL 33401	None	501 (c) (3)	General Charitable	5,000
Rising Tide Capital (Start Something) 348 Martin Luther King Jr Drive Jersey City, NJ 07305	None	501 (c) (3)	General Charitable	5,000
Sacred Heart School 410 North M Street Lake Worth, FL 33460	None	501 (c) (3)	General Charitable	100
Sacred Heart School 410 North M Street Lake Worth, FL 33460	None	501 (c) (3)	General Charitable	1,000
SeriousFun Children's Network 288 Saugatuck Avenue Westport, CT 06880	None	501 (c) (3)	General Charitable	500
Sigma Phi Epsilon Foundation 310 South Boulevard Richmond, VA 23220	None	501 (c) (3)	General Charitable	1,200
Team NYO PO Box 420486 Atlanta, GA 30342	None	501 (c) (3)	General Charitable	500
Temple Beth Abraham 25 Leroy Avenue Tarrytown, NY 10591	None	501 (c) (3)	General Charitable	1,000
Temple Beth Abraham 25 Leroy Avenue Tarrytown, NY 10591	None	501 (c) (3)	General Charitable	500
Total . . . . ▶ **3a**				1,485,705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Temple Beth Abraham 25 Leroy Avenue Tarrytown, NY 10591	None	501 (c) (3)	General Charitable	3,300
Temple Beth Abraham 25 Leroy Avenue Tarrytown, NY 10591	None	501 (c) (3)	General Charitable	1,800
Temple Beth Rishon 585 Russell Avenue Wyckoff, NJ 07481	None	501 (c) (3)	General Charitable	200,000
Temple Beth Rishon 585 Russell Avenue Wyckoff, NJ 07481	None	501 (c) (3)	General Charitable	2,420
Temple Beth Rishon 585 Russell Avenue Wyckoff, NJ 07481	None	501 (c) (3)	General Charitable	100
Temple Beth Rishon 585 Russell Avenue Wyckoff, NJ 07481	None	501 (c) (3)	General Charitable	12,000
Temple Emanu-El 190 N County Road Palm Beach, FL 33480	None	501 (c) (3)	General Charitable	40,000
Temple Emanu-El of Palm Beach 190 N County Road Palm Beach, FL 33480	None	501 (c) (3)	General Charitable	5,575
Temple Emanu-El of Palm Beach 190 N County Road Palm Beach, FL 33480	None	501 (c) (3)	General Charitable	1,800
Temple Sinai 5645 Dupree Drive NW Sandy Springs, GA 30327	None	501 (c) (3)	General Charitable	500
The Animal Medical Center 510 E 62nd St New York, NY 10065	None	501 (c) (3)	General Charitable	10,000
The Bretton Woods Committee 1726 M St NW Ste 200 Washington, DC 20036	None	501 (c) (3)	General Charitable	500
The Group of Thirty 1726 M St NW Ste 200 Washington, DC 20036	None	501 (c) (3)	General Charitable	3,000
The Jewish Museum 1109 5th Avenue New York, NY 10128	None	501 (c) (3)	General Charitable	10,000
The Jewish Museum 1109 5th Avenue New York, NY 10128	None	501 (c) (3)	General Charitable	10,000
Total . . . . ▶ 3a				1,485,705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
The Metropolitan Opera 30 Lincoln Center New York, NY 10023	None	501 (c) (3)	General Charitable	7,500
The National Yiddish Theatre-Folksbiene 90 John St New York, NY 10038	None	501 (c) (3)	General Charitable	500
The Rockefeller University 1230 York Ave New York, NY 10065	None	501 (c) (3)	General Charitable	875
The Rockefeller University 1230 York Ave New York, NY 10065	None	501 (c) (3)	General Charitable	1,000
The Society of the Four Arts Four Arts Plaza Palm Beach, FL 33480	None	501 (c) (3)	General Charitable	10,000
The Temple 1589 Peachtree Street NE Atlanta, GA 30309	None	501 (c) (3)	General Charitable	2,500
The Valley Hospital Foundation 223 North Van Dien Avenue Ridgewood, NJ 07450	None	501 (c) (3)	General Charitable	10,000
The Volcker Alliance 610 Fifth Avenue New York, NY 10020	None	501 (c) (3)	General Charitable	25,000
Tomorrow's Hope Foundation 811 Maple Rd Willamsville, NY 14221	None	501 (c) (3)	General Charitable	500
ULI Foundation 1025 Thomas Jefferson Street Nw No Washington, DC 20007	None	501 (c) (3)	General Charitable	1,000
University of Maryland College Park Fdn 2119 Main Administration Bldg College Park, MD 20742	None	501 (c) (3)	General Charitable	200,000
Valley Hospital 223 North Van Dein Avenue Ridgewood, NJ 07450	None	501 (c) (3)	General Charitable	10,000
Valley Hospital Foundation 223 North Van Dein Avenue Ridgewood, NJ 07450	None	501 (c) (3)	General Charitable	2,000
Vladimir & Haewon Feltsman Piano Fdn 18 Hawk Hill Rd New Paltz, NY 12561	None	501 (c) (3)	General Charitable	25,000
Washington University 1 Brookings Drive St Louis, MO 63130	None	501 (c) (3)	General Charitable	5,000
Total . . . . ▶ **3a**				1,485,705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Whitney Museum of American Art 945 Madison Ave New York, NY 10021	None	501 (c) (3)	General Charitable	25,000
Woodstock Jewish Congregation 1682 Glasco Tpke WoodStock, NY 12498	None	501 (c) (3)	General Charitable	10,000
Yeshiva University 500 W 185th Street New York, NY 10033	None	501 (c) (3)	General Charitable	200
Yeshiva University 500 W 185th Street New York, NY 10033	None	501 (c) (3)	General Charitable	25,000
America-Israel Cultural Foundation 1140 Broadway New York, NY 10001	None	501 (c) (3)	General Charitable	1,000
Total . . . . ▶ **3a**				1,485,705

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
Henry and Elaine Kaufman Foundation Inc	23-7045903

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
Henry and Elaine Kaufman Foundation Inc

Employer identification number
23-7045903

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HENRY AND ELAINE KAUFMAN 590 MADISON AVENUE NEW YORK, NY 10022	$ 672,054	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
Henry and Elaine Kaufman Foundation Inc

Employer identification number
23-7045903

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	5,749 shs YELP (3/19/14)	$ 140,362	2014-03-19

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	6,350 shs YELP (6/19/14)	$ 203,105	2014-06-19

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	5,207 shs YELP (9/13/14)	$ 328,587	2014-09-13

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
Henry and Elaine Kaufman Foundation Inc

Employer identification number
23-7045903

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc ,
contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BENDER LANE ADVISORY, LLC	7,500	7,500		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

TY 2013 Investments Corporate Stock Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CHIEFTAIN	2,181,896	3,159,521
JP MORGAN	165,282	196,643
MORGAN STANLEY	2,784,420	4,531,300
GARDNER RUSSO GARDNER	3,857,569	4,749,631
CITIBANK	298,310	356,400

TY 2013 Investments - Land Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

TY 2013 Land, Etc. Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

TY 2013 Legal Fees Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CLEARY GOTTLIEB				

TY 2013 Other Assets Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
AUTONOMY CAPITAL FD CL B	171,738	147,778	183,432
CERBERUS ASIA PARTNERS LTD	184,284	184,630	178,937
ELEVATION PARTNERS LP	544,458	365,718	35,366
LEEDS EQUITY PARTNERS IV LP	3,833,480	3,395,556	1,693,613
ELEVATION DUNDEE PARTNERS, LP	940	304	
ELEVATION MSP, LP	1,636	1,636	
KKR & CO (MS)	0	384,452	486,800
KKR & CO (CITIBANK)	0	216,380	243,400

TY 2013 Other Decreases Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Description	Amount
PPA - CHIEFTAIN	0
PPA - NY DEPT OF LAW	0

TY 2013 Other Expenses Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CHIEFTAIN MGMT FEES	71,627	71,627		
GARDNER RUSSO GARDNER MGMT FEE	41,215	41,215		
NYS DEPT OF LAW	750	750		750
ALM	135	135		135
CERBERUS ASIA PARTNERS, LP	3,491	3,491		
ELEVATION PARTNERS, LP	3,590	3,590		
LEEDS EQUITY PARTNERS IV, LP	12,708	12,708		
KKR & CO (MS)	3,556	3,556		
KKR & CO (CITI)	467	467		

TY 2013 Other Income Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CERBERUS ASIA PARTNERS, LP	28,164	28,164	
KKR & CO (MS)	1,014	1,014	
KKR & CO (CITI)	-411	-411	

TY 2013 Substantial Contributors Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Name	Address
HENRY AND ELAINE KAUFMAN	590 MADISON AVENUE NEW YORK, NY 10022

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491300003124

TY 2013 Taxes Schedule

Name: Henry and Elaine Kaufman Foundation Inc

EIN: 23-7045903

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN-GARNDER RUSSO GARDNER	8,385	8,385		
FOREIGN-CERBERUS ASIA PTNRS,LP	141	141		
UNITED STATES TREASURY	162,000	162,000		