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Return of Private Foundation

2013

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation
HARLAN E. ANDERSON FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
THE MAPLES PH, 179 OENOKE RIDGE RD

City or town, state or province, country, and ZIP or foreign postal code
NEW CANAAN, CT 06840

G Check all that apply
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

H Check type of organization
 Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 3772826. (Part I, column (d) must be on cash basis.)

J Accounting method
 Cash
 Accrual
 Other (specify) _____

A Employer identification number
23-7011480

B Telephone number
(203)966-5932

C If exemption application is pending, check here

D 1. Foreign organizations, check here

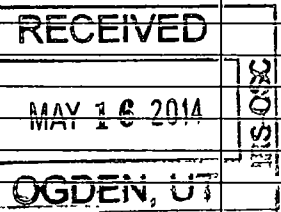
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		86246.	86246.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		225438.			
b Gross sales price for all assets on line 6a		1536819.			
7 Capital gain net income (from Part IV, line 2)			225438.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		35.	0.		STATEMENT 2
12 Total. Add lines 1 through 11		311719.	311684.		
13 Compensation of officers, directors, trustees, etc		3000.	3000.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees		20726. STMT 3	20726.		0.
17 Interest					
18 Taxes		7519. STMT 4	4126.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		1945. STMT 5	1945.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		33190.	29797.		0.
25 Contributions, gifts, grants paid		175000.			175000.
26 Total expenses and disbursements. Add lines 24 and 25		208190.	29797.		175000.
27 Subtract line 26 from line 12		103529.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			281887.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		77659.	51462.	51462.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations				
	b	Investments - corporate stock	STMT 7	2247511.	2376694.	3712165.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 8	8896.	9196.	9199.	
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)		2334066.	2437352.	3772826.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		2334066.	2437352.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.		
30	Total net assets or fund balances		2334066.	2437352.		
31	Total liabilities and net assets/fund balances		2334066.	2437352.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2334066.
2	Enter amount from Part I, line 27a	2	103529.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2437595.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	243.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2437352.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr.)
1a SEE ATTACHED JP MORGAN CHASE STATEMENT	P	VARIOUS	VARIOUS
b SEE ATTACHED JP MORGAN CHASE STATEMENT	P	VARIOUS	VARIOUS
c SEE ATTACHED JP MORGAN CHASE STATEMENT	P	VARIOUS	VARIOUS
d FOREIGN CURRENCY GAIN/LOSS			
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 452811.		266749.	186062.
b 634651.		625354.	9297.
c 449277.		419231.	30046.
d		47.	-47.
e 80.			80.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			186062.
b			9297.
c			30046.
d			-47.
e			80.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	225438.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	150287.	3100077.	.048478
2011	134500.	2955760.	.045504
2010	139807.	2977556.	.046954
2009	138941.	2620128.	.053028
2008	121590.	3248680.	.037428

2 Total of line 1, column (d)	2	.231392
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046278
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3446455.
5 Multiply line 4 by line 3	5	159495.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2819.
7 Add lines 5 and 6	7	162314.
8 Enter qualifying distributions from Part XII, line 4	8	175000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2819.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2819.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2819.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3720.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	3720.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	901.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11	901.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2. Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CT</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X		
14	The books are in care of ▶ HARLAN E. ANDERSON Telephone no. ▶ (203) 966-5932 Located at ▶ THE MAPLES PH, 179 OENOKE RIDGE RD, NEW CANAAN, C ZIP+4 ▶ 06840			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶		Yes	No
		16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. N/A

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		3000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3430961.
b	Average of monthly cash balances	1b	57115.
c	Fair market value of all other assets	1c	10863.
d	Total (add lines 1a, b, and c)	1d	3498939.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3498939.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	52484.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3446455.
6	Minimum investment return. Enter 5% of line 5	6	172323.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	172323.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2819.
2b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2819.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	169504.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	169504.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	169504.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	175000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	175000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2819.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	172181.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				169504.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			49429.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 175000.				
a Applied to 2012, but not more than line 2a			49429.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				125571.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				43933.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

HARLAN E. ANDERSON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

HARLAN E. ANDERSON, 203-544-8488
THE MAPLES PH, 179 OENOKE RIDGE RD, NE CANAAN, CT 06840

b The form in which applications should be submitted and information and materials they should include

NO STANDARD FORMAT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

LOCAL AREA PREFERENCE, ELDERLY AND EDUCATIONAL PROGRAMS PREFERRED.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE		FOR CURRENT YEAR PROGRAMS	5000.
BATES COLLEGE 2 ANDREWS ROAD LEWISTON, ME 04240	NONE		FOR CURRENT YEAR PROGRAMS	5000.
BOSTON SYMPHONY ORCHESTRA-ANNUAL FUND SYMPHONY HALL 450 MASSACHUSETTS AVENUE BOSTON, MA 02115-4511	NONE		FOR CURRENT YEAR PROGRAMS	25000.
COLORADO YOUTH CORPS ASSOCIATION 1640 GRANT STREET DENVER, CO 80203	NONE		FOR CURRENT YEAR PROGRAMS	2500.
COMMUNITY FOUNDATION 3700 SIXTH ST SUITE 200 RIVERSIDE, CA 92501	NONE		FOR CURRENT YEAR PROGRAMS	5000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				175000.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CONGREGATIONAL CHURCH 1 PARK STREET NEW CANAAN, CT 06840	NONE		FOR CURRENT YEAR PROGRAMS	5000.
DENVER KIDS INC 1330 FOX STREET DENVER, CO 80204	NONE		FOR CURRENT YEAR PROGRAMS	5000.
DOCTORS WITHOUT BORDERS 333 7TH AVE NEW YORK, NY 10001	NONE		FOR CURRENT YEAR PROGRAMS	15000.
EMERGENCY FAMILY ASSISTANCE 1575 YARMOUTH AVE BOULDER, CO 80304	NONE		FOR CURRENT YEAR PROGRAMS	5000.
FOOTHILLS FLOOD RELIEF FUND 1285 CIMARRON DRIVE SUITE 101 LAFAYETTE, CO 80026	NONE		FOR CURRENT YEAR PROGRAMS	15000.
GONZAGA UNIVERSITY 502 E BOONE AVE SPOKANE, WA 99202	NONE		FOR CURRENT YEAR PROGRAMS	10000.
GREENWOOD WILDLIFE REHABILITATION P.O. BOX 18987 BOULDER, CO 80308	NONE		FOR CURRENT YEAR PROGRAMS	2500.
HABITAT FOR HUMANITY 121 HABITAT STREET AMERICUS, GA 31709	NONE		FOR CURRENT YEAR PROGRAMS	15000.
HORIZONS 545 PONUD RIDGE NEW CANAAN, CT 06840	NONE		FOR CURRENT YEAR PROGRAMS	3000.
MEMORIAL SLOAN KETTERING 1275 YORK AVE NEW YORK, NY 10065	NONE		FOR CURRENT YEAR PROGRAMS	5000.
Total from continuation sheets				132500.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW CANAAN COMMUNITY FOUNDATION P.O. BOX 1285 NEW CANAAN, CT 06840	NONE		FOR CURRENT YEAR PROGRAMS	4000.
NEW CANAAN LIBRARY 151 MAIN STREET NEW CANAAN, CT 06840	NONE		FOR CURRENT YEAR PROGRAMS	2000.
NORTHWESTERN UNIVERSITY 2020 RIDGE AVENUE EVANSTON, IL 60208-4305	NONE		FOR CURRENT YEAR PROGRAMS	3000.
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE NORWALK, CT 06854	NONE		FOR CURRENT YEAR PROGRAMS	5000.
RENSSELAER POLYTECHNIC INSTITUTE 110 EIGHTH ST TROY, NY 12180-3590	NONE		FOR CURRENT YEAR PROGRAMS	3000.
THE MACULAR FOUNDATION 210 EAST 64TH ST NEW YORK, NY 10065	NONE		FOR CURRENT YEAR PROGRAMS	5000.
UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQUARE CAMBRIDGE, MA 02138	NONE		FOR CURRENT YEAR PROGRAMS	5000.
UNIVERSITY OF ILLINOIS FOUNDATION 1305 WEST GREEN ST URBANA, IL 61801-2962	NONE		FOR CURRENT YEAR PROGRAMS	5000.
VISITING NURSE & HOSPICES OF FAIRFIELD P.O. BOX 625 STAMFORD, CT 06950	NONE		FOR CURRENT YEAR PROGRAMS	5000.
VOLUNTEERS FOR OUTDOOR COLORADO 600 S. MARION PARKWAY DENVER, CO 80209	NONE		FOR CURRENT YEAR PROGRAMS	5000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN CHASE BANK	63222.	80.	63142.	63142.	
JP MORGAN CHASE BANK	22196.	0.	22196.	22196.	
JP MORGAN CHASE BANK	908.	0.	908.	908.	
TO PART I, LINE 4	86326.	80.	86246.	86246.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN CHASE	35.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	35.	0.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GOODWIN PROCTER LLP	4009.	4009.		0.
ROCKEFELLER & CO	16717.	16717.		0.
TO FORM 990-PF, PG 1, LN 16C	20726.	20726.		0.

FORM 990-PF	TAXES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INTERNAL REVENUE SERVICE	3393.	0.		0.
FOREIGN TAXES	4126.	4126.		0.
TO FORM 990-PF, PG 1, LN 18	7519.	4126.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAN FEES	1842.	1842.		0.
BANK CHARGES	103.	103.		0.
TO FORM 990-PF, PG 1, LN 23	1945.	1945.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 6
DESCRIPTION		AMOUNT
ADJUSTMENT FOR DIFFERENCE IN BOOK VALUE AND TAX COST		243.
TOTAL TO FORM 990-PF, PART III, LINE 5		243.

FORM 990-PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED SCHEDULE	2376694.	3712165.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	2376694.	3712165.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 8	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VARIOUS OTHER ASSETS	COST	9196.	9199.
TOTAL TO FORM 990-PF, PART II, LINE 13		9196.	9199.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HARLAN E. ANDERSON THE MAPLES PH, 179 OENOKE RIDGE RD NEW CANAAN, CT 06840	TRUSTEE 1.00	0.	0.	0.
LOIS J. ANDERSON THE MAPLES PH, 179 OENOKE RIDGE RD NEW CANAAN, CT 06840	TRUSTEE 1.00	0.	0.	0.
GREGORY S. ANDERSON 175 ALDER LANE BOULDER, CO 80304	TRUSTEE 1.00	0.	0.	0.
BRIAN A. ANDERSON P.O. BOX 2908 MONUMENT, CO 80132	TRUSTEE 1.00	0.	0.	0.
SUSAN E. ANDERSON 4190 19TH STREET BOULDER, CO 80304	TRUSTEE 1.00	0.	0.	0.
SUSAN L. ABBOTT GOODWIN PROCTER LLP EXCHANGE PL BOSTON, MA 02109	TRUSTEE 1.00	3000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		3000.	0.	0.

The Harlan E. Anderson Foundation
 EIN: 23-7011480
 JP Morgan Chase - Q09129000 - Non-Covered Securities
 Schedule D - Attachment
 Rockefeller & Co.
 From: 01/01/2013 To: 12/31/2013

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
10 Sh Advance Auto Parts, Inc	11/04/2009	04/19/2013	781 88	383 26	398 62
30 Sh Advance Auto Parts, Inc	11/04/2009	04/22/2013	2,373 77	1,149 77	1,224 00
30 Sh Advance Auto Parts, Inc	11/04/2009	04/23/2013	2,405 84	1,149 77	1,256.07
160 Sh. Advance Auto Parts, Inc	11/04/2009	04/25/2013	13,297 70	6,132 11	7,165 59
80 Sh. Advance Auto Parts, Inc.	11/03/2009	04/26/2013	6,629 68	3,063 86	3,565 82
10 Sh. Advance Auto Parts, Inc.	11/04/2009	04/26/2013	828 71	383 26	445 45
10 Sh Alexion Pharmaceuticals, Inc.	10/15/2009	10/29/2013	1,234 77	228 75	1,006.02
50 Sh Alexion Pharmaceuticals, Inc	10/15/2009	11/04/2013	6,159 93	1,143 74	5,016 19
6 Sh. Alexion Pharmaceuticals, Inc	10/15/2009	11/05/2013	724 63	137 25	587 38
20 Sh BioMarin Pharmaceutical Inc	10/08/2008	10/29/2013	1,309 77	486 89	822 88
20 Sh. Boskalis Westminster - CVA	05/14/2007	10/29/2013	949 55	690 04	259 51
10 Sh Boskalis Westminster - CVA	05/15/2007	10/29/2013	474 78	349 34	125 44
60 Sh CareFusion Corporation	11/04/2010	10/29/2013	2,359 75	1,462 63	897 12
1000 Sh China Agri-Industries Holdings	05/04/2010	04/02/2013	505 10	1,333.57	-828 47
950 Sh China Agri-Industries Holdings	05/05/2010	04/02/2013	479 84	1,241 36	-761 52
50 Sh China Agri-Industries Holdings	05/05/2010	04/03/2013	24 62	65 33	-40 71
410 Sh China Agri-Industries Holdings	05/12/2010	04/03/2013	201 89	519 74	-317.85
1000 Sh. China Agri-Industries Holdings	05/13/2010	04/03/2013	492 42	1,285 72	-793 30
590 Sh. China Agri-Industries Holdings	05/12/2010	04/11/2013	292.66	747 93	-455 27
1060 Sh China Agri-Industries Holdings	11/24/2010	04/11/2013	525 79	1,334 38	-808 59
10 Sh China Agri-Industries Holdings	05/06/2010	04/12/2013	4 80	12 56	-7 76
1000 Sh. China Agri-Industries Holdings	05/17/2010	04/12/2013	479 58	1,256 49	-776 91
940 Sh China Agri-Industries Holdings	11/24/2010	04/12/2013	450 81	1,183 32	-732 51
970 Sh China Agri-Industries Holdings	05/06/2010	04/15/2013	456 05	1,218 53	-762 48
1020 Sh China Agri-Industries Holdings	05/06/2010	04/17/2013	470 90	1,281 35	-810 45
450 Sh China Agri-Industries Holdings	11/26/2010	04/17/2013	207 75	547 06	-339 31
1550 Sh China Agri-Industries Holdings	11/26/2010	04/18/2013	702 92	1,884 31	-1,181 39
30 Sh Comcast Corporation - CL A	03/16/2009	10/29/2013	1,429.17	391 85	1,037 32
30 Sh. Comerica Incorporated	03/16/2010	10/29/2013	1,301 07	1,137 83	163 24
20 Sh. Continental Resources, Inc	07/07/2009	10/29/2013	2,331 95	472 69	1,859 26
140 Sh Covidien PLC	11/25/2008	10/03/2013	8,496 56	4,717 35	3,779 21
70 Sh Covidien PLC	11/26/2008	10/04/2013	4,224 16	2,342 12	1,882 04
80 Sh Covidien PLC	11/28/2008	10/04/2013	4,827 61	2,664 28	2,163 33
130 Sh Covidien PLC	11/25/2008	10/04/2013	7,844 87	4,380 39	3,464 48
110 Sh Covidien Pl.C	03/13/2009	10/07/2013	6,595 58	3,158 07	3,437 51
76 Sh FedEx Corporation	02/09/2010	11/08/2013	10,029 77	5,945 40	4,084 37
40 Sh. FedEx Corporation	04/22/2009	11/11/2013	5,303 80	2,199 95	3,103 85
130 Sh FedEx Corporation	04/23/2009	11/11/2013	17,237 35	6,882 20	10,355 15

The Harlan E. Anderson Foundation
 EIN: 23-7011480
 JP Morgan Chase - Q09129000 - Non-Covered Securities

Schedule D - Attachment
 Rockefeller & Co.

From: 01/01/2013 To: 12/31/2013

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
64 Sh FedEx Corporation	02/09/2010	11/11/2013	8,486.08	5,006.66	3,479.42
14 Sh Google Inc - Class A	11/05/2007	10/29/2013	14,243.81	10,042.80	4,201.01
4 Sh. Google Inc - Class A	11/05/2007	10/29/2013	4,131.80	2,869.37	1,262.43
270 Sh. Hewlett-Packard Company	09/27/2010	08/09/2013	7,263.79	11,212.56	-3,948.77
370 Sh Hewlett-Packard Company	09/27/2010	08/12/2013	9,874.61	15,365.36	-5,490.75
25000 Sh Honeywell 5 0% Due 2/15/19	03/09/2009	01/23/2013	29,758.25	24,679.09	5,079.16
40 Sh. Honeywell Inc.	12/20/2005	10/29/2013	3,492.80	1,531.23	1,961.57
20 4545 Sh Johnson Matthey PLC	10/31/2006	10/29/2013	975.53	586.76	388.77
9.5455 Sh Johnson Matthey PLC	11/03/2006	10/29/2013	455.25	274.75	180.50
3 Sh Mastercard Inc - Class A	09/30/2008	10/29/2013	2,197.52	536.86	1,660.66
27 Sh Mastercard Inc - Class A	09/30/2008	12/24/2013	21,927.56	4,831.69	17,095.87
33 Sh. Mastercard Inc - Class A	10/13/2008	12/24/2013	26,800.36	5,314.31	21,486.05
50 Sh. Microsoft Corp.	08/07/2007	10/29/2013	1,775.04	1,473.65	301.39
60 Sh MSCI Inc.	12/08/2010	07/08/2013	2,072.87	2,224.61	-151.74
20 Sh MSCI Inc.	12/07/2010	07/09/2013	682.00	738.71	-56.71
30 Sh MSCI Inc	12/08/2010	07/09/2013	1,022.99	1,112.31	-89.32
80 Sh MSCI Inc	12/07/2010	07/10/2013	2,715.18	2,954.84	-239.66
80 Sh MSCI Inc	12/09/2010	07/11/2013	2,752.90	2,942.04	-189.14
10 Sh MSCI Inc.	12/09/2010	07/12/2013	345.19	367.75	-22.56
40 Sh MSCI Inc	12/10/2010	07/12/2013	1,380.74	1,464.96	-84.22
30 Sh. MSCI Inc.	12/13/2010	07/12/2013	1,035.56	1,099.18	-63.62
40 Sh MSCI Inc.	12/10/2010	07/15/2013	1,383.33	1,464.96	-81.63
50 Sh Novartis AG - ADR	11/09/2006	10/29/2013	3,956.40	2,974.50	981.90
310 Sh. Pepsico, Inc	12/17/2008	03/12/2013	23,803.78	16,897.11	6,906.67
200 Sh. Pepsico, Inc	02/02/2009	03/12/2013	15,357.27	9,927.24	5,430.03
100 Sh Pepsico, Inc	02/02/2009	03/13/2013	7,674.74	4,963.62	2,711.12
60 Sh. Qualcomm Inc.	02/09/2010	08/19/2013	4,032.11	2,275.70	1,756.41
30 Sh Qualcomm Inc	02/09/2010	10/29/2013	2,061.71	1,137.85	923.86
10 Sh. Regeneron Pharmaceuticals	12/02/2010	10/29/2013	2,989.34	296.37	2,692.97
40 Sh Regeneron Pharmaceuticals	12/02/2010	11/04/2013	11,348.91	1,185.50	10,163.41
10 Sh Regeneron Pharmaceuticals	12/02/2010	11/05/2013	2,940.60	296.37	2,644.23
17 Sh. Royal Dutch Shell PLC-ADR A	01/05/2009	10/29/2013	1,179.48	933.90	245.58
80 Sh Smiths Group plc	11/10/2006	10/29/2013	1,807.75	2,157.17	-349.42
70 Sh. SwedBank AB	12/03/2009	10/29/2013	1,864.72	741.27	1,123.45
170 Sh. Thermo Fisher Scientific Inc	08/26/2010	07/12/2013	14,965.72	7,390.77	7,574.95
90 Sh Thermo Fisher Scientific Inc	08/26/2010	07/15/2013	7,925.70	3,912.76	4,012.94
205 Sh Thermo Fisher Scientific Inc.	08/27/2010	07/15/2013	18,052.99	8,834.93	9,218.06
230 Sh Vodafone Group PLC - SP ADR	05/10/2010	10/03/2013	8,165.22	4,817.21	3,348.01

The Harlan E. Anderson Foundation
 EIN: 23-7011480
 JP Morgan Chase - Q09129000 - Non-Covered Securities
 Schedule D - Attachment
 Rockefeller & Co.
 From: 01/01/2013 To: 12/31/2013

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
90 Sh Vodafone Group PLC - SP ADR	05/10/2010	10/29/2013	3,266 54	1,885 00	1,381 54
710 Sh. Vodafone Group PLC - SP ADR	04/29/2009	12/16/2013	26,213 87	13,209.05	13,004 82
100 Sh Vodafone Group PLC - SP ADR	04/30/2009	12/16/2013	3,692 10	1,859 69	1,832 41
100 Sh Vodafone Group PLC - SP ADR	05/07/2010	12/16/2013	3,692 10	2,000 65	1,691 45
420 Sh Vodafone Group PLC - SP ADR	05/10/2010	12/16/2013	15,506 80	8,796 65	6,710 15
230 Sh Vodafone Group PLC - SP ADR	04/30/2009	12/17/2013	8,520 85	4,277 29	4,243 56
40 Sh. Wal-Mart Stores, Inc	02/14/2005	10/29/2013	3,078 41	2,096 02	982 39
400 Sh. Wynn Macau, Limited	10/11/2010	10/29/2013	1,492 93	823.07	669.86
Regular Gain/Loss Totals:					186,062.39
Account Totals:			452,810.98	266,748 59	186,062 39

The Harlan E. Anderson Foundation
 EIN: 23-7011480
 JP Morgan Chase - Q09129000 - Covered Securities
 Schedule D - Attachment
 Rockefeller & Co.
 From: 01/01/2013 To: 12/31/2013

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
40 Sh Advance Auto Parts, Inc	08/29/2012	04/16/2013	3,208.26	2,880.21	328.05
20 Sh Advance Auto Parts, Inc	08/29/2012	04/19/2013	1,563.76	1,440.11	123.65
10 Sh Aflac, Inc	04/26/2012	01/15/2013	521.44	449.29	72.15
5 Sh Aflac, Inc	04/27/2012	01/15/2013	260.72	224.61	36.11
115 Sh. Aflac, Inc.	08/21/2012	01/15/2013	5,996.59	5,371.67	624.92
90 Sh. Aflac, Inc	04/27/2012	01/16/2013	4,694.90	4,043.06	651.84
5 Sh. Aflac, Inc	04/27/2012	01/17/2013	256.95	224.61	32.34
30 Sh. Apache Corporation	08/26/2013	10/29/2013	2,721.55	2,418.00	303.55
50 Sh. Arcos Dorados Holdings, Inc.	01/07/2013	10/29/2013	621.48	698.20	-76.72
30 Sh Baker Hughes Incorporated	07/11/2013	10/29/2013	1,755.07	1,482.83	272.24
2000 Sh BB&T Corp 3 95% 4/29/2016	01/29/2013	09/24/2013	2,141.74	2,146.77	-5.03
20 Sh. Capital One Financial Corp.	03/13/2013	10/29/2013	1,417.67	1,098.16	319.51
25 Sh. China Mobile (Hong Kong) Ltd	06/17/2013	10/29/2013	1,301.02	1,253.34	47.68
2000 Sh Citigroup 4 45% 01/10/17	04/17/2013	09/24/2013	2,163.44	2,190.53	-27.09
40 Sh Coach, Inc	08/08/2013	10/29/2013	2,028.02	2,161.46	-133.44
1000 Sh. Comcast Corp Bonds, 7 050%	09/17/2013	09/24/2013	1,226.26	1,231.44	-5.18
11000 Sh Comcast Corp. 5.70% 05/15/2018	02/14/2013	04/25/2013	13,342.45	13,124.01	218.44
10 Sh. Copa Holdings SA Cl A	07/22/2013	10/29/2013	1,510.07	1,418.60	91.47
2000 Sh. CSX Corp Debt 6.250% 4/1/15	01/29/2013	09/24/2013	2,160.86	2,165.32	-4.46
40 Sh. Delphi Automotive PLC	11/02/2012	10/29/2013	2,262.31	1,339.46	922.85
20 Sh. Dover Corp.	11/19/2012	10/29/2013	1,838.56	1,252.61	585.95
2000 Sh Duke Energy Ind 3.75% 07/15/20	01/17/2013	09/24/2013	2,098.10	2,200.82	-102.72
30 Sh Expedia, Inc	07/18/2013	10/29/2013	1,517.11	1,962.58	-445.47
2000 Sh Fedex Corp Nts, 8 0% 01/15/19	01/23/2013	05/21/2013	2,611.86	2,626.21	-14.35
2000 Sh Fedex Corp Nts, 8 0% 01/15/19	01/29/2013	09/24/2013	2,519.70	2,581.77	-62.07
10000 Sh GE Cap Corp 5 625% 05/01/18	08/22/2012	03/15/2013	11,834.20	11,657.47	176.73
2000 Sh GE Cap Corp 5 625% 05/01/18	01/29/2013	03/15/2013	2,366.84	2,351.94	14.90
2000 Sh. General Elec 4 65% 10/17/21	03/15/2013	09/24/2013	2,126.68	2,235.37	-108.69
10000 Sh General Elec 4.65% 10/17/21	03/15/2013	12/13/2013	10,910.10	11,148.37	-238.27
10 Sh. Google Inc - Class A	10/10/2013	10/28/2013	10,153.82	8,634.45	1,519.37
2 Sh. Google Inc - Class A	10/10/2013	10/29/2013	2,034.83	1,726.89	307.94
240 Sh. ING Groep	08/26/2013	10/29/2013	3,077.04	2,775.67	301.37
4000 Sh Kalbe Farma TBK PT	05/21/2013	10/29/2013	490.13	636.67	-146.54
2000 Sh Keycorp 5 1% Due 3/24/21	01/29/2013	09/24/2013	2,190.86	2,288.72	-97.86
113 Sh Liberty Global PLC - A	12/13/2012	06/14/2013	8,267.28	8,514.70	-247.42
0 608 Sh Liberty Global PLC - A	12/13/2012	07/03/2013	44.30	45.81	-1.51
84 Sh Liberty Global, PLC - Ser C	12/13/2012	06/14/2013	5,773.44	5,927.88	-154.44
0 832 Sh Liberty Global, PLC - Ser C	12/13/2012	07/05/2013	56.57	58.71	-2.14

The Harlan E. Anderson Foundation
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 Schedule D - Attachment
 Rockefeller & Co.
 From: 01/01/2013 To: 12/31/2013

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
2000 Sh Lubrizol Nts, 8.875% 2/1/19	02/22/2013	09/24/2013	2,638.40	2,714.81	-76.41
0.25 Sh. Mallinckrodt PLC	06/17/2013	07/29/2013	10.89	11.29	-0.40
29.75 Sh Mallinckrodt PLC	06/17/2013	10/29/2013	1,278.33	1,343.64	-65.31
0.25 Sh Mallinckrodt PLC	06/28/2013	10/29/2013	10.74	11.26	-0.52
50 Sh. Merck & Co. Inc.	10/03/2013	10/29/2013	2,274.92	2,428.70	-153.78
30 Sh. Microsoft Corp	11/06/2012	10/29/2013	1,065.02	894.67	170.35
30 Sh Microsoft Corp.	11/07/2012	10/29/2013	1,065.02	891.54	173.48
2000 Sh Morgan Stanley 1 75% 02/25/16	02/21/2013	09/24/2013	2,005.52	2,001.28	4.24
12000 Sh Morgan Stanley 1 75% 02/25/16	02/21/2013	10/23/2013	12,114.36	12,007.50	106.86
10000 Sh Morgan Stanley 6 00% 04/28/15	03/15/2012	02/21/2013	10,912.70	10,374.97	537.73
60 Sh MSCI Inc.	10/02/2012	07/15/2013	2,074.99	1,575.16	499.83
10 Sh. MSCI Inc.	10/03/2012	07/15/2013	345.83	273.26	72.57
90 Sh. MSCI Inc.	10/02/2012	07/16/2013	3,098.15	2,362.74	735.41
90 Sh MSCI Inc.	10/02/2012	07/17/2013	3,108.53	2,362.75	745.78
80 Sh. MSCI Inc.	10/02/2012	07/18/2013	2,779.68	2,100.22	679.46
50 Sh. MSCI Inc.	10/02/2012	07/19/2013	1,735.02	1,312.63	422.39
14000 Sh NBC Universal 2.875% 1/15/23	04/25/2013	09/17/2013	12,996.48	14,401.93	-1,405.45
2000 Sh. NVR Inc Corp 3 95% 9/15/22	10/04/2012	09/24/2013	1,908.12	2,060.72	-152.60
10000 Sh NY Nassau Cnty 1 976% 11/15/19	10/25/2012	09/24/2013	9,565.00	10,113.22	-548.22
25000 Sh NY Port Auth 5 859% 12/01/2024	05/21/2013	11/07/2013	28,750.50	31,959.21	-3,208.71
50 Sh. Pharmacyclics Inc	06/18/2012	03/12/2013	4,565.98	2,069.69	2,496.29
60 Sh Pharmacyclics Inc.	06/19/2012	03/12/2013	5,479.17	2,559.41	2,919.76
0.4 Sh PICC Prop & Cas Rt Exp 6/13/13	11/05/2012	05/28/2013	0.00	0.00	0.00
248.2 Sh PICC Prop & Cas Rt Exp 6/13/13	11/05/2012	06/11/2013	105.62	0.00	105.62
172.7 Sh. PICC Prop & Cas Rt Exp 6/13/13	11/06/2012	06/11/2013	73.50	0.00	73.50
261.8 Sh. PICC Prop & Cas Rt Exp 6/13/13	11/12/2012	06/11/2013	111.41	0.00	111.41
304.7 Sh. PICC Prop & Cas Rt Exp 6/13/13	11/13/2012	06/11/2013	129.67	0.00	129.67
152.9 Sh PICC Prop & Cas Rt Exp 6/13/13	11/15/2012	06/11/2013	65.07	0.00	65.07
132 Sh PICC Prop & Cas Rt Exp 6/13/13	11/16/2012	06/11/2013	56.17	0.00	56.17
203.5 Sh PICC Prop & Cas Rt Exp 6/13/13	11/19/2012	06/11/2013	86.60	0.00	86.60
222.2 Sh PICC Prop & Cas Rt Exp 6/13/13	11/27/2012	06/11/2013	94.56	0.00	94.56
220 Sh PICC Prop & Cas Rt Exp 6/13/13	02/04/2013	06/11/2013	93.63	0.00	93.63
220 Sh PICC Prop & Cas Rt Exp 6/13/13	02/05/2013	06/11/2013	93.63	0.00	93.63
220 Sh PICC Prop & Cas Rt Exp 6/13/13	02/06/2013	06/11/2013	93.62	0.00	93.62
2000 Sh. PICC Prop & Casualty Co Ltd	02/04/2013	10/29/2013	2,888.07	3,088.49	-200.42
50 Sh. Qualcomm Inc	04/25/2013	08/15/2013	3,331.80	3,111.85	219.95
60 Sh Qualcomm Inc	04/25/2013	08/19/2013	4,032.11	3,734.22	297.89
70 Sh Royal Caribbean Cruises Ltd	04/18/2013	10/29/2013	3,011.39	2,336.15	675.24

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Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
7 6964 Sh. Royal Dutch Shell PLC-ADR A	09/26/2013	10/29/2013	533.98	491.40	42.58
3 Sh. Samsung Electronics Co , Pfd	08/27/2013	10/29/2013	2,782.64	2,337.84	444.80
6 Sh Samsung Electronics Co , Pfd	08/20/2013	11/13/2013	5,497.16	4,651.10	846.06
4 Sh Samsung Electronics Co , Pfd	08/27/2013	11/13/2013	3,664.78	3,117.13	547.65
4 Sh Samsung Electronics Co , Pfd	08/20/2013	11/18/2013	3,873.69	3,100.74	772.95
120 Sh. Sberbank - Sponsored ADR	03/12/2013	10/29/2013	1,526.67	1,671.19	-144.52
20 Sh. Seattle Genetics, Inc	01/22/2013	10/29/2013	812.93	590.93	222.00
30 Sh. St. Jude Medical	10/04/2013	10/29/2013	1,747.01	1,694.88	52.13
70 Sh. Subsea 7 SA	07/17/2013	10/29/2013	1,520.51	1,309.71	210.80
40 Sh. Tullow Oil plc	07/11/2013	10/29/2013	597.76	678.37	-80.61
6000 Sh U S Treasury 5 375% 02/15/31	07/11/2013	09/24/2013	7,602.66	7,666.30	-63.64
14000 Sh. U.S. Treasury 5.375% 02/15/31	07/11/2013	10/23/2013	18,110.86	17,874.83	236.03
2000 Sh. UPS 5.125% Due 4/1/19	01/29/2013	09/24/2013	2,287.10	2,380.06	-92.96
4000 Sh. US Bancorp 2 2% 11/15/16	01/29/2013	07/16/2013	4,108.84	4,133.00	-24.16
14000 Sh US Bancorp 4 125% 05/24/21	07/16/2013	09/11/2013	14,560.42	14,903.21	-342.79
3000 Sh US Treas Inf Ix 1 625% 1/15/15	02/13/2013	09/24/2013	3,798.40	3,777.66	20.74
16000 Sh. US Treas Inf Ix 1 625% 1/15/15	01/16/2013	11/05/2013	20,191.62	20,049.40	142.22
36000 Sh US Treas N/B 0 625% 04/30/18	05/16/2013	09/17/2013	34,586.72	35,714.73	-1,128.01
6000 Sh. US Treas N/B 0 875% 04/30/17	05/16/2013	09/24/2013	5,982.66	6,061.52	-78.86
35000 Sh US Treas N/B 1.125% 05/31/19	05/16/2013	07/11/2013	33,866.60	35,146.78	-1,280.18
5000 Sh US Treas N/B 2 5% 08/15/2023	09/17/2013	09/24/2013	4,920.12	4,849.44	70.68
24000 Sh US Treas N/B 2.5% 08/15/2023	09/17/2013	10/01/2013	23,745.00	23,278.49	466.51
2000 Sh US Treas N/B 2 625% 11/15/20	01/29/2013	07/11/2013	2,074.61	2,148.07	-73.46
5000 Sh US Treasury 0 625% 07/15/16	07/11/2013	09/24/2013	5,000.39	4,994.51	5.88
25000 Sh US Treasury N/B 3 625% 8/15/19	02/25/2013	07/11/2013	27,753.91	28,744.77	-990.86
12000 Sh US Treasury TIPS 1 25% 4/15/14	12/06/2012	11/05/2013	13,326.53	13,237.66	88.87
1000 Sh US Treasury, N/B 4 50% 5/15/38	07/11/2013	09/24/2013	1,147.85	1,163.39	-15.54
3000 Sh US Tres Infl 2 5% 07/15/2016	01/16/2013	09/24/2013	3,833.99	3,839.51	-5.52
6000 Sh. US Tres Infl 2 5% 07/15/2016	12/11/2012	11/05/2013	7,648.10	7,639.72	8.38
2000 Sh US Tres Infl 2 5% 07/15/2016	01/16/2013	11/05/2013	2,549.37	2,548.19	1.18
9836.216 Sh Vanguard GNMA Fund-ADM	10/03/2012	05/16/2013	106,231.13	109,182.00	-2,950.87
19 185 Sh Vanguard GNMA Fund-ADM	10/31/2012	05/16/2013	207.20	211.61	-4.41
19 744 Sh Vanguard GNMA Fund-ADM	11/30/2012	05/16/2013	213.24	217.38	-4.14
37 111 Sh Vanguard GNMA Fund-ADM	12/28/2012	05/16/2013	400.80	404.88	-4.08
47 068 Sh Vanguard GNMA Fund-ADM	12/28/2012	05/16/2013	508.33	513.51	-5.18
19 117 Sh Vanguard GNMA Fund-ADM	12/31/2012	05/16/2013	206.46	208.57	-2.11
18.726 Sh Vanguard GNMA Fund-ADM	01/31/2013	05/16/2013	202.24	202.80	-0.56
18 797 Sh Vanguard GNMA Fund-ADM	02/28/2013	05/16/2013	203.01	204.14	-1.13

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Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
18 351 Sh Vanguard GNMA Fund-ADM	03/28/2013	05/16/2013	198 19	199.11	-0.92
7.385 Sh Vanguard GNMA Fund-ADM	03/28/2013	05/16/2013	79.76	80 13	-0 37
1 846 Sh. Vanguard GNMA Fund-ADM	03/28/2013	05/16/2013	19 94	20 03	-0 09
19 103 Sh Vanguard GNMA Fund-ADM	04/30/2013	05/16/2013	206 31	208 22	-1 91
361 966 Sh. Vanguard GNMA Fund-ADM	09/27/2013	12/04/2013	3,786 17	3,818 74	-32 57
20 443 Sh Vanguard GNMA Fund-ADM	10/31/2013	12/04/2013	213 83	216 90	-3 07
2000 Sh Verizon 6 40% 09/15/33	09/11/2013	09/24/2013	2,205 42	2,143 83	61 59
190 Sh. Vodafone Group PLC - SP ADR	03/12/2013	10/03/2013	6,745 19	5,254 62	1,490 57
6000 Sh. Wachovia 6% 11/15/2017	12/20/2012	07/16/2013	6,914 34	7,076 78	-162 44
20 Sh Yum! Brands, Inc.	04/22/2013	10/29/2013	1,340 22	1,307 01	33 21
Regular Gain/Loss Totals					<u>9,296 42</u>
Account Totals			<u>634,650 84</u>	<u>625,354 42</u>	<u>9,296 42</u>

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Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
145 Sh Aflac, Inc	12/06/2011	01/17/2013	7,451.48	6,475.44	976.04
25 Sh. Aflac, Inc.	10/12/2011	06/11/2013	1,413.44	1,030.79	382.65
55 Sh Aflac, Inc	12/06/2011	06/11/2013	3,109.57	2,456.20	653.37
110 Sh. Aflac, Inc.	10/12/2011	06/12/2013	6,183.93	4,535.45	1,648.48
30 Sh Aflac, Inc	10/12/2011	10/29/2013	2,003.41	1,236.94	766.47
5000 Sh Caterpillar Inc 5 7% 8/15/16	07/28/2011	02/22/2013	5,810.45	5,605.63	204.82
490 Sh Centrica plc	05/10/2011	10/29/2013	2,834.13	2,480.32	353.81
35 Sh China Mobile (Hong Kong) Ltd	08/21/2012	10/29/2013	1,821.42	1,865.65	-44.23
5000 Sh Comcast Corp Notes, 5 300%	10/14/2011	02/14/2013	5,214.20	5,180.49	33.71
5000 Sh E I Du Pont, 6% 07/15/18	10/20/2011	02/22/2013	6,164.90	5,943.17	221.73
1000 Sh. Fannie Mae 6 625% 11/15/30	10/12/2011	09/24/2013	1,329.55	1,403.06	-73.51
20 Sh FedEx Corporation	09/21/2012	10/29/2013	2,657.55	1,690.01	967.54
128 Sh FedEx Corporation	09/21/2012	10/31/2013	16,744.16	10,816.03	5,928.13
24 Sh FedEx Corporation	09/21/2012	11/08/2013	3,167.29	2,028.01	1,139.28
15000 Sh Freddie Mac I 375% 02/25/14	11/16/2011	02/13/2013	15,181.95	15,118.90	63.05
10000 Sh General Mills 5 7% 02/15/17	10/13/2011	01/16/2013	11,750.00	11,370.74	379.26
10 Sh. Goldman Sachs Group	10/16/2012	10/29/2013	1,617.27	1,233.58	383.69
2000 Sh GS Group 5 75% 01/24/2022	08/24/2012	09/24/2013	2,221.24	2,168.41	52.83
8000 Sh. GS Group 5 75% 01/24/2022	08/24/2012	12/13/2013	8,996.64	8,658.75	337.89
110 Sh. Hewlett-Packard Company	02/23/2011	08/08/2013	2,938.81	4,755.70	-1,816.89
90 Sh. Hewlett-Packard Company	02/24/2011	08/08/2013	2,404.48	3,874.07	-1,469.59
80 Sh Hewlett-Packard Company	02/24/2011	08/09/2013	2,152.24	3,443.61	-1,291.37
200 Sh Hewlett-Packard Company	08/19/2011	08/12/2013	5,337.63	4,733.92	603.71
110 Sh Hewlett-Packard Company	08/22/2011	08/12/2013	2,935.69	2,749.12	186.57
20 Sh Hyundai Motor Co , Ltd. - 2nd	03/15/2011	10/29/2013	2,250.18	1,159.48	1,090.70
70 Sh. J P. Morgan Chase & Co	08/21/2012	10/29/2013	3,671.06	2,702.70	968.36
100 Sh Korea Electric Power Corp	06/24/2011	10/29/2013	2,623.36	2,652.09	-28.73
10 Sh LG Electronics Inc - PFD	03/09/2011	08/23/2013	260.25	375.45	-115.20
20 Sh LG Electronics Inc - PFD	03/09/2011	08/26/2013	521.84	750.89	-229.05
10 Sh LG Electronics Inc. - PFD	03/09/2011	08/29/2013	255.08	375.45	-120.37
20 Sh LG Electronics Inc - PFD	03/09/2011	08/30/2013	513.30	750.89	-237.59
10 Sh LG Electronics Inc - PFD	03/09/2011	09/04/2013	259.99	375.45	-115.46
20 Sh LG Electronics Inc - PFD	03/09/2011	09/05/2013	512.19	750.89	-238.70
10 Sh LG Electronics Inc - PFD	03/09/2011	09/06/2013	255.43	375.45	-120.02
20 Sh. LG Electronics Inc. - PFD	03/09/2011	09/09/2013	506.90	750.89	-243.99
20 Sh LG Electronics Inc - PFD	03/09/2011	09/10/2013	501.79	750.89	-249.10
20 Sh LG Electronics Inc - PFD	03/09/2011	09/11/2013	497.91	750.89	-252.98
20 Sh LG Electronics Inc - PFD	03/09/2011	09/12/2013	490.45	750.89	-260.44

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Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
20 Sh LG Electronics Inc - PFD	03/09/2011	09/13/2013	483 27	750 89	-267.62
10 Sh LG Electronics Inc. - PFD	03/09/2011	09/16/2013	245 52	375 45	-129.93
2 Sh. LG Electronics Inc - PFD	03/15/2011	09/16/2013	49.10	68.09	-18 99
20 Sh LG Electronics Inc - PFD	03/15/2011	09/17/2013	480 65	680.87	-200 22
20 Sh LG Electronics Inc - PFD	03/15/2011	09/23/2013	480 12	680 86	-200 74
8 Sh. LG Electronics Inc. - PFD	03/15/2011	09/24/2013	191 96	272 35	-80.39
30 Sh NetApp, Inc	09/08/2011	10/29/2013	1,191 72	1,093 14	98 58
15000 Sh. NJ Econ Dev 3 641% 12/15/15	05/03/2012	05/21/2013	16,047 90	15,803 58	244 32
40 Sh. Oracle Corporation	01/24/2012	10/29/2013	1,343 22	1,143 19	200 03
2000 Sh PNC Funding 5.125% 02/08/20	01/06/2012	09/24/2013	2,219 86	2,208 35	11.51
100 Sh Prudential plc	11/08/2011	10/29/2013	2,043 27	1,039.56	1,003 71
70 Sh Quest Diagnostics Incorporated	03/08/2011	01/31/2013	4,062 72	4,001 45	61 27
80 Sh. Quest Diagnostics Incorporated	07/21/2011	01/31/2013	4,643 10	4,584.43	58 67
180 Sh Quest Diagnostics Incorporated	03/08/2011	02/01/2013	10,403 35	10,289 45	113.90
10 Sh. Roche Holdings AG	04/12/2012	10/29/2013	2,774 09	1,721 80	1,052 29
0 6964 Sh Royal Dutch Shell PLC-ADR A	09/19/2011	10/08/2013	45 82	45 80	0 02
5 3036 Sh Royal Dutch Shell PLC-ADR A	09/19/2011	10/29/2013	367 97	348 83	19 14
50 Sh Safran SA	11/30/2011	10/29/2013	3,229 25	1,454 49	1,774 76
2 Sh. Samsung Electronics Co , Pfd	06/15/2012	11/18/2013	1,936 84	1,271 77	665 07
310 Sh. Santos Limited	03/09/2011	08/22/2013	3,984 64	4,643 95	-659 31
360 Sh. Santos Limited	03/09/2011	08/23/2013	4,730 66	5,392 97	-662 31
150 Sh Santos Limited	03/10/2011	08/23/2013	1,971 11	2,198.66	-227 55
520 Sh Santos Limited	03/10/2011	08/26/2013	6,910 16	7,622 00	-711 84
500 Sh Semen Gresik (Persero) Tbk	03/31/2011	10/29/2013	639 89	531 49	108.40
970 Sh Singapore Telecom LTD	05/10/2012	07/15/2013	2,934.49	2,494.36	440 13
1000 Sh Singapore Telecom LTD	05/14/2012	07/15/2013	3,025 24	2,588 93	436 31
30 Sh Singapore Telecom LTD	05/10/2012	07/16/2013	90 54	77 15	13 39
1970 Sh Singapore Telecom LTD	05/15/2012	07/16/2013	5,945 76	5,013 55	932 21
2000 Sh Singapore Telecom LTD	05/15/2012	07/17/2013	6,008 58	5,089 91	918 67
1000 Sh Singapore Telecom LTD	05/15/2012	07/18/2013	2,987 18	2,544 95	442 23
1000 Sh Singapore Telecom LTD	05/15/2012	07/19/2013	2,986 15	2,544 95	441 20
1000 Sh. Singapore Telecom LTD	05/04/2012	07/22/2013	3,037 64	2,524 20	513 44
970 Sh Singapore Telecom LTD	05/09/2012	07/22/2013	2,946 52	2,446 93	499 59
30 Sh Singapore Telecom LTD	05/15/2012	07/22/2013	91 13	76 35	14 78
1000 Sh Singapore Telecom LTD	05/07/2012	07/23/2013	3,077 10	2,519 23	557 87
30 Sh. Singapore Telecom LTD	05/09/2012	07/23/2013	92 31	75 68	16 63
80 Sh Svenska Handelsbanken AB - A s	12/08/2011	05/03/2013	3,632 31	2,191 37	1,440 94
80 Sh Svenska Handelsbanken AB - A s	12/08/2011	05/06/2013	3,580 61	2,191 37	1,389 24

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Long Term					
Regular Gain/Loss					
210 Sh Svenska Handelsbanken AB - A s	12/08/2011	05/07/2013	9,516 68	5,752 34	3,764 34
85 Sh. Svenska Handelsbanken AB - A s	12/07/2011	05/10/2013	3,778 84	2,320 61	1,458 23
25 Sh Svenska Handelsbanken AB - A s	12/08/2011	05/10/2013	1,111 43	684 80	426 63
90 Sh Svenska Handelsbanken AB - A s	12/07/2011	05/13/2013	4,008 56	2,457 12	1,551 44
170 Sh Svenska Handelsbanken AB - A s	12/06/2011	05/14/2013	7,454 10	4,563 50	2,890 60
75 Sh. Svenska Handelsbanken AB - A s	12/07/2011	05/14/2013	3,288.58	2,047 60	1,240.98
2000 Sh TD Bank 2.375% 10/19/16	11/14/2011	09/24/2013	2,065 76	2,020 22	45 54
10 Sh. Tiffany & Co	07/26/2012	10/29/2013	796 78	557 66	239 12
90 Sh Tiffany & Co	07/17/2012	12/20/2013	8,173 85	4,772 01	3,401 84
10 Sh. Tiffany & Co.	07/17/2012	12/20/2013	908 21	530 22	377 99
70 Sh. Tiffany & Co	07/26/2012	12/20/2013	6,357 45	3,903 63	2,453 82
25000 Sh TX Build America 4 611% 3/1/21	05/18/2011	02/25/2013	29,198.75	26,093.22	3,105 53
30 Sh. UnitedHealth Group Inc	07/18/2012	10/29/2013	2,041 40	1,687 09	354.31
10000 Sh US Bancorp 2 2% 11/15/16	03/21/2012	07/16/2013	10,272.10	10,134 92	137.18
15000 Sh. US Treas N/B 1 75% 04/15/13	08/17/2011	04/15/2013	15,000 00	15,000 00	0 00
25000 Sh US Treas N/B 2 625% 11/15/20	06/14/2011	07/11/2013	25,932.62	24,374 86	1,557 76
4000 Sh US Treasury TIPS 1 25% 4/15/14	09/06/2012	09/24/2013	4,456 15	4,629 76	-173.61
4000 Sh US Treasury TIPS 1 25% 4/15/14	09/06/2012	11/05/2013	4,442.18	4,642 08	-199 90
5000 Sh. US Treasury TIPS 1.25% 4/15/14	09/10/2012	11/05/2013	5,552 72	5,476 85	75 87
10000 Sh US Tres Infl 2 5% 07/15/2016	08/09/2012	11/05/2013	12,746 84	12,527 23	219 61
30 Sh. Vedanta Resources PLC	03/31/2011	07/10/2013	475 30	1,142 05	-666 75
90 Sh Vedanta Resources PLC	04/01/2011	07/10/2013	1,425 91	3,486 02	-2,060 11
140 Sh Vedanta Resources PLC	03/24/2011	07/11/2013	2,348 60	5,002 94	-2,654 34
10 Sh Vedanta Resources PLC	03/29/2011	07/11/2013	167 76	356 67	-188 91
30 Sh Vedanta Resources PLC	03/30/2011	07/11/2013	503 27	1,106 31	-603.04
20 Sh Vedanta Resources PLC	03/31/2011	07/11/2013	335 51	761 36	-425 85
210 Sh Vedanta Resources PLC	03/25/2011	07/15/2013	3,495 31	7,484 57	-3,989 26
20 Sh. Vedanta Resources PLC	03/28/2011	07/15/2013	332 89	702 92	-370 03
70 Sh Vedanta Resources PLC	03/29/2011	07/15/2013	1,165.10	2,496 66	-1,331.56
210 Sh. Vedanta Resources PLC	03/28/2011	07/16/2013	3,472.01	7,380 63	-3,908 62
5000 Sh Verizon Comm 6 35% 04/01/19	07/12/2011	01/16/2013	6,298 70	5,786 89	511 81
10000 Sh Wal-Mart Stores 5 8% 2/15/18	07/19/2011	01/16/2013	12,231 30	11,411 73	819 57
70 Sh Wells Fargo Company	08/09/2011	10/29/2013	2,990 88	1,691 08	1,299 80
7000 Sh Yum' Brands 6 25% 3/15/18	03/07/2012	11/20/2013	7,951 58	8,063 75	-112 17
3000 Sh. Yum' Brands 6 25% 3/15/18	03/07/2012	12/02/2013	3,506 97	3,452 83	54 14
Regular Gain/Loss Totals					30,046 23
Account Totals			449,277 00	419,230 77	30,046 23

Prepared for
The Harlan E. Anderson Foundation - Total Relationship (R9194)
Period Ending December 31, 2013



The Harlan E. Anderson Foundation - Total Relationship (R9194)
Period Ending December 31, 2013

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The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31, 2013

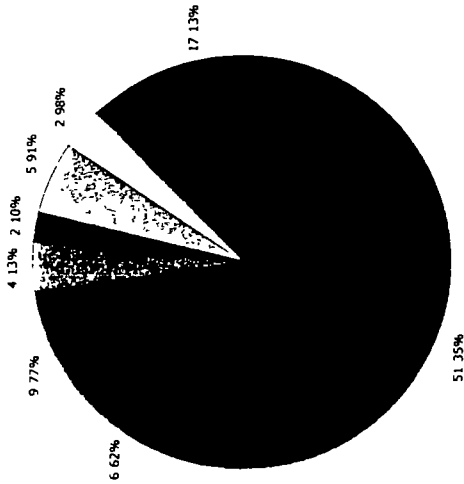
Summary Asset Allocation

	Market Value	% of Value
Cash and Cash Equivalents **	39,579	1.05
Fixed Income		
North America	644,431	17.13
Equity		
North America	1,931,222	51.35
United Kingdom	249,150	6.62
Europe ex United Kingdom	367,584	9.77
Japan	155,214	4.13
Asia ex Japan	78,842	2.10
Emerging Markets Europe	26,544	0.71
Emerging Markets Asia	222,384	5.91
Emerging Markets Latin America	36,794	0.98
Total Equity	3,067,735	81.57
Other Assets	9,199	0.24
	3,760,944	100.00

Account Total:

□ * - Items less than 2% of total account 2.98%

** - Settled cash is invested in JPM US Gov Inst MM



Change in Market Value Summary

	Month	YTD
Beginning Market Value	3,686,358	3,157,598
Prior Period Adjustment	0	708
Adjusted Beginning Market Value	3,686,358	3,158,307
Dividends Earned *	2,501	58,356
Interest Earned *	1,098	12,892
Contributions & Withdrawals - Cash	(30,165)	(207,581)
Contributions & Withdrawals - Non Cash	0	(1,094)
Capital Appreciation	101,152	740,063
Ending Market Value	3,760,944	3,760,944

* - Income earned during the period is not necessarily received within the period

Tax Planning Summary

	Month	Fiscal Year to Date
Income		
Qualified Dividends	7,329	60,995
Non Qualified Divs	1	1,669
Taxable Interest	227	13,388
Realized Gains/Losses		
Short Term	(274)	15,856
Long Term	72,690	216,189
Deductions/Credits		
Foreign Tax	(211)	(3,654)
Investment Expense	(165)	(18,661)
Cumulative Unrealized Gains/Losses as of 12/31/2013		
Long Term	1,261,868	
Short Term	73,602	

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31, 2013

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Cash and Cash Equivalents								
Cash			39,579.43	39,579.43	0.00	1.05	0.00	0
Securities								
Fixed Income								
North America								
Bank of America Corp Float ⁶ Due 06/15/2017	15,000	98.11	14,301.54	14,716.83	415.29	0.39	0.55	82
BB&T Corporation 3.95% Due 4/29/2016	11,000	106.46	11,419.05	11,710.31	291.26	0.31	3.71	435
Citigroup, Inc 4.45% Due 01/10/2017	10,000	108.38	10,873.94	10,837.99	(35.95)	0.29	4.11	445
Comcast Corp Bonds, 7.050% Due 03/15/33	10,000	120.98	12,338.63	12,098.35	(240.28)	0.32	5.83	705
CSX Corp Debentures 6.250% Due 4/1/15	10,000	106.88	10,535.79	10,688.44	152.65	0.28	5.85	625
District Of Columbia Income Tax Secured Rev Ser B 4.053% Due 12/01/2017	25,000	108.56	27,660.53	27,140.25	(520.28)	0.72	3.73	1,013
Duke Energy Indiana Inc 3.75% Due 07/15/2020	12,000	103.66	12,903.43	12,439.46	(463.97)	0.33	3.62	450
Fannie Mae FNMA 6.625% Due 11/15/2030	9,000	130.08	12,585.51	11,707.00	(878.51)	0.31	5.09	596
Federal Home Loan Bank 5.00% Due 11/17/2017	25,000	114.12	28,556.50	28,529.33	(27.17)	0.76	4.38	1,250
Fedex Corp Notes, 8.0% Due on 01/15/19	13,000	124.27	16,061.69	16,155.59	93.90	0.43	6.44	1,040
General Electric Capital Corp Notes 6.750% Due 03/15/32	10,000	123.83	12,457.50	12,383.05	(74.45)	0.33	5.45	675
Goldman Sachs Group Inc 6.125% Due 02/15/33	12,000	112.48	13,526.38	13,498.07	(28.31)	0.36	5.45	735
JP Morgan Chase & Co 6.00% Due 10/01/2017	19,000	114.41	21,720.93	21,738.26	467.33	0.58	5.24	1,140
Keycorp 5.1% Due 3/24/2021	10,000	109.29	10,814.00	10,929.13	115.13	0.29	4.67	510
Lubrizol Corp Sr Notes, 8.875% Due on 02/01/19	10,000	129.74	13,343.28	12,974.23	(369.05)	0.34	6.84	888
Morgan Stanley 6.25% Due 08/09/2026	13,000	115.58	15,094.59	15,025.44	(69.15)	0.40	5.41	813
New York NYC TransitionalFin Auth Rev Build America Bond, 3.823% Due 05/01/2017	15,000	106.41	16,117.69	15,962.10	(155.59)	0.42	3.59	573
NVR Inc Corp 3.95% Due 09/15/2022	13,000	94.51	13,273.84	12,286.66	(987.18)	0.33	4.18	514
PA ST Build America Bonds-Taxable Third Ser B-Issuer Subsidy Direct Pay 4.75% Due 07/15/2022	25,000	108.18	26,082.74	27,043.75	961.01	0.72	4.39	1,188
PNC Funding Corp 5.125% Due 02/08/2020	13,000	112.32	14,302.70	14,601.05	298.35	0.39	4.56	666
Toronto Dominion Bank 2.375% Due 10/19/2016	13,000	103.82	13,111.67	13,496.96	385.29	0.36	2.29	309
U S Treasury Bond 5.375% Due 02/15/31	17,000	122.55	21,666.56	20,832.97	(833.59)	0.55	4.39	914
U S Treasury N/B 4.50% Due 05/15/2038	9,000	110.88	10,460.20	9,978.75	(481.45)	0.27	4.06	405
U S Treasury Inflation Index N/B 0.625% Due 07/15/2016	28,000	100.13	27,972.22	28,035.00	62.78	0.75	0.62	175

 * - Settled cash is invested in JPM US Gov Inst MM, yield is estimated
 140121 1 3420-R18

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31, 2013

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
United Parcel Service 5 125% Due 4/1/19 Due on 4/1/19	10,000	113.74	10,922.34	11,373.99	451.65	0.30	4.51	513
US Treasury N/B 0 875% Due 04/30/2017	29,000	99.70	29,274.99	28,913.90	(361.09)	0.77	0.88	254
US Treasury N/B 1 25% Due 04/15/2014	22,000	100.32	22,072.14	22,071.32	(0.82)	0.59	1.25	275
US Treasury N/B 2 25% Due 01/31/2015	19,000	102.23	19,425.57	19,423.05	(2.52)	0.52	2.20	428
US Treasury N/B 3 25% Due 07/31/2016	22,000	106.79	23,540.07	23,493.60	(46.47)	0.62	3.04	715
Utah St Build America Bonds - Ser D 4 154% Due 07/01/2019 Direct Pay	25,000	108.18	25,960.50	27,045.50	1,085.00	0.72	3.84	1,039
Vanguard GNMA Fund-ADM	9,686	10.42	102,158.62	100,924.05	(1,234.57)	2.68	2.46	2,483
Verizon Communications 6 40% Due 09/15/2033	12,000	115.01	13,005.39	13,801.45	796.06	0.37	5.56	768
Yum! Brands Inc, 3 875% Due 11/01/2023	13,000	96.73	12,734.93	12,574.76	(160.17)	0.33	4.01	504
Total North America			645,825.46	644,430.59	(1,394.87)	17.13	3.59	23,121
Equity								
North America								
Aflac, Inc	603	66.80	24,862.54	40,280.40	15,417.86	1.07	2.22	892
Alexion Pharmaceuticals, Inc	134	132.88	3,011.79	17,806.46	14,794.67	0.47	0.00	0
Apache Corporation	450	85.94	35,716.91	38,673.00	2,956.09	1.03	0.93	360
Baker Hughes Incorporated	590	55.26	28,971.18	32,603.40	3,632.22	0.87	1.09	354
BioMarrn Pharmaceutical Inc	310	70.35	7,047.78	21,808.50	14,760.72	0.58	0.00	0
Capital One Financial Corp	400	76.61	21,914.78	30,644.00	8,729.22	0.81	1.57	480
CareFusion Corporation	1,130	39.82	23,031.26	44,996.60	21,965.34	1.20	0.00	0
Coach, Inc	650	56.13	35,123.66	36,484.50	1,360.84	0.97	2.41	878
Comcast Corporation - CL A	530	51.97	6,888.20	27,541.45	20,653.25	0.73	1.50	413
Comerica Incorporated	440	47.54	16,496.88	20,917.60	4,420.72	0.56	1.43	299
Continental Resources, Inc	380	112.52	8,961.26	42,757.60	33,796.34	1.14	0.00	0
Delphi Automotive PLC	770	60.13	25,028.56	46,300.10	21,271.54	1.23	1.13	524
Dover Corp	310	96.54	18,999.95	29,927.40	10,927.45	0.80	1.55	465
Expedia, Inc	490	69.66	29,758.15	34,133.40	4,375.25	0.91	0.86	294
Exxon Mobil Corporation	5,085	101.20	12,686.04	514,602.00	501,915.96	13.68	1.72	8,848
Goldman Sachs Group, Inc	153	177.26	16,129.02	27,120.78	10,991.76	0.72	1.24	337
Google Inc - Class A	88	1,120.71	49,308.83	98,622.48	49,313.65	2.62	0.00	0
Honeywell Inc	650	91.37	24,711.43	59,390.50	34,679.07	1.58	1.97	1,170
Incyte Corporation	400	50.63	16,194.44	20,252.00	4,057.56	0.54	0.00	0

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31, 2013

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
J P Morgan Chase & Co	1,150	58.48	39,065.69	67,252.00	28,186.31	1.79	2.60	1,748
Merck & Co Inc	880	50.05	35,759.29	44,044.00	8,284.71	1.17	3.52	1,549
Microsoft Corp	1,990	37.41	52,191.54	74,445.90	22,254.36	1.98	2.99	2,229
NetApp, Inc	600	41.14	20,443.91	24,684.00	4,240.09	0.66	1.46	360
Oracle Corporation	770	38.26	22,006.37	29,460.20	7,453.83	0.78	1.25	370
Qualcomm Inc	535	74.25	20,190.28	39,723.75	19,533.47	1.06	1.89	749
Regeneron Pharmaceuticals	56	275.24	1,659.70	15,413.44	13,753.74	0.41	0.00	0
Reinsurance Group of America, Inc.	540	77.41	34,381.65	41,801.40	7,419.75	1.11	1.55	648
Royal Caribbean Cruises Ltd.	1,310	47.42	39,834.23	62,120.20	22,285.97	1.65	2.11	1,310
Seattle Genetics, Inc	380	39.89	10,985.67	15,158.20	4,172.53	0.40	0.00	0
St Jude Medical	560	61.95	31,346.64	34,692.00	3,345.36	0.92	1.61	560
Toronto-Dominion Bank	497	94.24	36,355.87	46,837.28	10,481.41	1.25	3.42	1,600
United Continental Holdings Inc	740	37.83	26,029.59	27,994.20	1,964.61	0.74	0.00	0
UnitedHealth Group Inc.	680	75.30	40,762.56	51,204.00	10,441.44	1.36	1.49	762
Visa Inc - Class A Shares	185	222.68	40,399.13	41,195.80	796.67	1.10	0.72	296
Wal-Mart Stores, Inc	620	78.69	29,200.96	48,787.80	19,586.84	1.30	2.39	1,166
Wells Fargo Company	1,130	45.40	18,335.18	51,302.00	32,966.82	1.36	2.64	1,356
Yum! Brands, Inc	400	75.61	15,374.46	30,244.00	14,869.54	0.80	1.96	592
Total North America			919,165.38	1,931,222.34	1,012,056.96	51.35	1.58	30,607
United Kingdom								
Centrica plc	8,600	5.76	34,039.99	49,515.15	15,475.16	1.32	3.74	1,851
Johnson Matthey PLC	524	54.31	14,805.91	28,460.34	13,654.43	0.76	1.77	503
Prudential plc	1,690	22.19	17,004.17	37,499.59	20,495.42	1.00	0.00	0
Rio Tinto plc - Spon ADR	750	56.43	39,614.61	42,322.50	2,707.89	1.13	3.12	1,320
Royal Dutch Shell PLC-ADR A	530	71.27	28,660.56	37,776.59	9,116.03	1.00	4.29	1,622
Smiths Group plc	1,360	24.51	25,365.67	33,330.02	7,964.35	0.89	2.64	878
Tullow Oil plc	1,430	14.16	21,764.81	20,245.90	(1,518.91)	0.54	1.40	284
Total United Kingdom			181,255.72	249,150.09	67,894.37	6.62	2.59	6,459
Europe ex United Kingdom								
Boskalis Westminster - CVA	461	52.93	14,125.48	24,400.10	10,274.62	0.65	3.23	788
DnB Holding ASA	1,785	17.88	30,065.55	31,918.76	1,853.21	0.85	1.94	618

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31, 2013

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
ING Groep NV	4,150	13.92	40,255.78	57,765.99	17,510.21	1.54	0.00	0
Mallinckrodt PLC	456	52.26	18,726.89	23,830.56	5,103.67	0.63	0.00	0
Novartis AG - ADR	820	80.38	45,242.44	65,911.60	20,669.16	1.75	2.55	1,681
Roche Holdings AG	162	280.26	27,798.03	45,401.83	17,603.80	1.21	2.95	1,339
Safran SA	830	69.61	23,683.96	57,777.43	34,093.47	1.54	2.24	1,293
Subsea 7 SA	1,310	19.13	24,139.85	25,065.80	925.95	0.67	0.52	130
SwedBank AB	1,260	28.18	13,145.43	35,512.08	22,366.65	0.94	5.47	1,942
Total Europe ex United Kingdom			237,183.41	367,584.15	130,400.74	9.77	2.12	7,790
Japan								
Amada Co Ltd	5,000	8.82	37,152.72	44,100.86	6,948.14	1.17	1.51	666
Murata Manufacturing Company, Ltd	700	88.87	41,704.72	62,207.42	20,502.70	1.65	1.28	799
NGK Spark Plug Co., Ltd	1,000	23.69	13,282.82	23,691.72	10,408.90	0.63	1.04	247
SMC Corporation	100	252.14	18,379.18	25,214.08	6,834.90	0.67	0.60	152
Total Japan			110,519.44	155,214.08	44,694.64	4.13	1.20	1,865
Asia ex Japan								
China Mobile (Hong Kong) Limited Sp ADR	1,135	52.29	51,292.30	59,349.15	8,056.85	1.58	3.84	2,281
Wynn Macau, Limited	4,300	4.53	8,656.42	19,493.15	10,836.73	0.52	0.00	0
Total Asia ex Japan			59,948.72	78,842.30	18,893.58	2.10	2.89	2,281
Emerging Markets Europe								
Sberbank - Sponsored ADR	2,110	12.58	25,912.45	26,543.80	631.35	0.71	1.91	506
Emerging Markets Asia								
Ctrip.com International - ADR	410	49.62	19,785.31	20,344.20	558.89	0.54	0.00	0
Hyundai Motor Co., Ltd - 2nd Preferred	310	124.08	17,720.60	38,464.83	20,744.23	1.02	1.53	590
Hyundai Motor Company Ltd - PFD	90	118.85	5,498.83	10,696.56	5,197.73	0.28	1.56	167
Kalbe Farma TBK PT	73,000	0.10	11,025.32	7,501.03	(3,524.29)	0.20	1.52	114
Korea Electric Power Corporation (KEPCO)	1,690	33.04	41,935.96	55,838.42	13,902.46	1.48	0.00	0
PICC Property and Casualty Company Ltd	23,440	1.48	30,678.98	34,765.11	4,086.13	0.92	2.61	907
Samsung Electronics Co., Ltd Pfd	46	963.17	27,347.32	44,305.63	16,958.31	1.18	0.79	352
Semen Gresik (Persero) Tbk	9,000	1.16	9,330.07	10,468.56	1,138.49	0.28	2.60	272
Total Emerging Markets Asia			163,322.39	222,384.34	59,061.95	5.91	1.08	2,401
Emerging Markets Latin America								
Arcos Dorados Holdings, Inc	790	12.12	9,889.63	9,574.80	(314.83)	0.25	1.90	182

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31, 2013

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Copa Holdings SA Class A	170	160.11	23,671.85	27,218.70	3,546.85	0.72	1.82	496
Total Emerging Markets Latin America			33,561.48	36,793.50	3,232.02	0.98	1.84	678
Total Equity			1,730,868.99	3,067,734.60	1,336,865.61	81.57	1.71	52,589
Total Securities			2,376,694.45	3,712,165.19	1,335,470.74	98.70	2.04	75,709
Other Assets								
Accrued Dividends Receivable			2,882.73	2,882.73	0.00	0.08		
Accrued Interest Receivable			6,311.59	6,311.59	0.00	0.17		
Div Receivable - F/X Value Adjustment			0.00	3.34	3.34	0.00		
Dividends Receivable - MM Sweeps			1.23	1.23	0.00	0.00		
Total Other Assets			9,195.55	9,198.89	3.34	0.24	0.00	0
Total Account:			2,425,469.43	3,760,943.51	1,335,474.08	100.00	2.01	75,709

1,335,474.08
 3,760,943.51
 100.00%

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period from December 1, 2013 to December 31, 2013

Detailed Statement of Transactions

Trade Date	Settle Date	Quantity	Price/Rate	Description	Amount (USD)
Beginning Cash Balance					79,850.46
Purchases					
12/02/2013	12/05/2013	13,000	97.95	Yum! Brands 3.875% 11/01/2023	(12,733.37)
12/13/2013	12/18/2013	10,000	124.61	General Electric 6.75% 3/15/32	(12,460.60)
12/13/2013	12/18/2013	6,000	112.73	Goldman Sachs 6 125% 02/15/33	(6,764.04)
12/13/2013	12/18/2013	6,000	112.73	Goldman Sachs 6.125% 02/15/33	(6,764.04)
12/16/2013	12/19/2013	390	52.58	Rio Tinto plc - Spon ADR	(20,521.25)
12/16/2013	12/19/2013	240	13.78	Tullow Oil plc	(3,325.59)
12/17/2013	12/20/2013	360	53.00	Rio Tinto plc - Spon ADR	(19,093.36)
12/17/2013	12/20/2013	390	13.66	Tullow Oil plc	(5,357.31)
12/18/2013	12/24/2013	1,000	8.79	Amada Co. Ltd.	(8,807.45)
12/18/2013	12/23/2013	90	13.68	Tullow Oil plc	(1,238.59)
12/20/2013	12/26/2013	330	47.82	Citip com International - ADR	(15,794.99)
12/23/2013	12/27/2013	80	49.84	Citip.com International - ADR	(3,990.32)
12/23/2013	12/23/2013	7	66.78	Royal Dutch Shell PLC-ADR A	(470.70)
12/24/2013	12/30/2013	185	218.33	Visa Inc - Class A Shares	(40,399.13)
12/27/2013	01/07/2014	1,000	8.81	Amada Co Ltd.	(8,830.86)
12/31/2013	01/02/2014	23	10.42	Vanguard GNMA Fund-ADM	(236.93)
Total Purchases					(166,788.53)
Sales					
12/02/2013	12/05/2013	3,000	116.90	Yum! Brands 6.25% 3/15/18	3,506.97
12/04/2013	12/05/2013	382	10.46	Vanguard GNMA Fund-ADM	4,000.00
12/13/2013	12/18/2013	10,000	109.10	General Elec 4 65% 10/17/21	10,910.10
12/13/2013	12/18/2013	8,000	112.46	GS Group 5.75% 01/24/2022	8,996.64
12/16/2013	12/19/2013	1,330	36.96	Vodafone Group PLC - SP ADR	49,104.87
12/17/2013	12/20/2013	230	37.09	Vodafone Group PLC - SP ADR	8,520.85
12/20/2013	12/26/2013	170	90.86	Tiffany & Co	15,439.51
12/24/2013	12/30/2013	60	812.19	Mastercard Inc - Class A	48,727.92
Total Sales					149,206.86
Dividends Received					
09/26/2013	12/06/2013			Amada Co. Ltd	203.53
09/26/2013	12/02/2013			Murata Manufacturing Company,	408.85
09/26/2013	12/03/2013		61.00	SMC Corporation	77.72

* - Prior period activity recorded in the current period

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period from December 1, 2013 to December 31, 2013

Detailed Statement of Transactions

Trade Date	Settle Date	Quantity	Price/Rate	Description	Amount (USD)
Dividends Received (cont'd)					
* 11/06/2013	12/02/2013		0.30	Wells Fargo Company	339.00
* 11/07/2013	12/10/2013		0.63	Exxon Mobil Corporation	3,203.55
* 11/13/2013	12/23/2013		0.90	Royal Dutch Shell PLC-ADR A	470.70
* 11/18/2013	12/02/2013		0.37	Aflac, Inc.	223.11
* 11/19/2013	12/12/2013		0.15	Expedia, Inc.	73.50
* 11/19/2013	12/10/2013		0.45	Honeywell Inc.	292.50
* 11/19/2013	12/12/2013		0.28	Microsoft Corp.	557.20
* 11/26/2013	12/16/2013		0.73	Copa Holdings SA Cl A	124.10
* 11/26/2013	12/16/2013		0.38	Dover Corp.	116.25
* 11/27/2013	12/30/2013		0.55	Goldman Sachs Group	84.15
* 11/27/2013	12/19/2013		0.35	Qualcomm Inc.	187.25
* 11/29/2013	12/02/2013		0.02	Vanguard GNMA Fund-ADM	233.82
12/02/2013	12/02/2013			Income received on Cash equivalents	0.38
12/04/2013	12/17/2013		0.28	UnitedHealth Group Inc	190.40
12/16/2013	12/19/2013		0.48	Safran SA	543.67
				Total Dividends Received	7,329.68
Interest Received					
12/02/2013	12/02/2013			DC Inc Tax Rev 4 053% 12/01/17	506.63
12/02/2013	12/05/2013			Yum! Brands 6 25% 3/15/18 (Sold interest)	41.67
12/13/2013	12/16/2013			General Elec 4 65% 10/17/21 (Sold interest)	78.79
12/13/2013	12/18/2013			GS Group 5.75% 01/24/2022 (Sold interest)	184.00
12/16/2013	12/16/2013			Bank of America Float% 6/15/17	21.02
				Total Interest Received	832.11
Interest Paid					
12/02/2013	12/05/2013			Yum! Brands 3 875% 11/01/2023 (Purchased interest)	(48.98)
12/13/2013	12/18/2013			General Electric 6 75% 3/15/32 (Purchased interest)	(174.38)
12/13/2013	12/18/2013			Goldman Sachs 6 125% 02/15/33 (Purchased interest)	(125.56)
12/13/2013	12/18/2013			Goldman Sachs 6.125% 02/15/33 (Purchased interest)	(125.56)
				Total Interest Paid	(474.48)
Foreign Taxes Withheld and Reclaims					
* 09/26/2013	12/06/2013			Amada Co. Ltd NRA Expense	(14.25)
* 09/26/2013	12/02/2013			Murata Manufacturing Company, NRA Expense	(28.62)
* 09/26/2013	12/03/2013			SMC Corporation NRA Expense	(5.44)

* - Prior period activity recorded in the current period

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period from December 1, 2013 to December 31, 2013

Detailed Statement of Transactions

Trade Date	Settle Date	Quantity	Price/Rate	Description	Amount (USD)
Foreign Taxes Withheld and Reclaims (cont'd)					
12/16/2013	12/19/2013			Safran SA NRA Expense	(163.10)
				Total Foreign Taxes Withheld and Reclaims	(211.41)
Cash Deposits					
12/02/2013	12/02/2013			Custody Transfer from The Harlan E. Anderson Foundat - Principal/Income Transfer - RDS/A reinvestment	470.70
12/10/2013	12/10/2013			Custody Transfer from The Harlan E. Anderson Foundat - Transfer to Cash Account	2,411.01
12/16/2013	12/16/2013			Custody Transfer from The Harlan E. Anderson Foundat - Transfer from Operating A/C to Rockefeller & Co A/C	4,784.47
12/16/2013	12/16/2013			Custody Transfer from The Harlan E. Anderson Foundat - Transfer from Operating A/C to Rockefeller & Co A/C	145,455.89
12/31/2013	12/31/2013			Custody Transfer from The Harlan E. Anderson Foundat - Principal/Income Transfer - VFIJX reinvestment	236.93
				Total Cash Deposits	153,359.00
Cash Withdrawals and Payments					
12/02/2013	12/02/2013			Custody Transfer to The Harlan E. Anderson Foundat - Principal/Income Transfer - RDS/A reinvestment	(470.70)
12/03/2013	12/03/2013			Transfer to The Harlan E. Anderson Foundation - As per clients request	(30,000.00)
12/10/2013	12/10/2013			Custody Transfer to The Harlan E. Anderson Foundat - Transfer to Cash Account	(2,411.01)
12/16/2013	12/16/2013			Custody Transfer to The Harlan E. Anderson Foundat - Transfer from Operating A/C to Rockefeller & Co A/C	(145,455.89)
12/16/2013	12/16/2013			Custody Transfer to The Harlan E. Anderson Foundat - Transfer from Operating A/C to Rockefeller & Co A/C	(4,784.47)
12/31/2013	12/31/2013			Custody Transfer to The Harlan E. Anderson Foundat - Principal/Income Transfer - VFIJX reinvestment	(236.93)
				Total Cash Withdrawals and Payments	(183,359.00)
Fees					
12/27/2013	12/27/2013			Custody Transfer to JP Morgan Chase - Third Quarter 2013 JP Morgan Custody Fee Adjustments	(165.26)
				Total Fees	(165.26)
				Ending Cash Balance	39,579.43

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Internal Revenue Service Circular 230 Disclosure:

To ensure compliance with requirements imposed by the Internal Revenue Service, we inform you that any U.S. federal tax advice contained in this

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31, 2013

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