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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation RICHARD A & JAMES F CORROON FOUNDATION		A Employer identification number 23-7000812	
Number and street (or P O box number if mail is not delivered to street address) 2305 W 11TH ST		B Telephone number (see instructions) (302) 425-4841	
City or town, state or province, country, and ZIP or foreign postal code WILMINGTON, DE 198052605		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,950,385	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	46,656	46,656		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-10,741			
	b Gross sales price for all assets on line 6a 380,791				
	7 Capital gain net income (from Part IV , line 2)		19,007		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	35,915	65,663		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	880			
	c Other professional fees (attach schedule)	13,785			
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	14,665	0		0
	25 Contributions, gifts, grants paid	0			0
	26 Total expenses and disbursements. Add lines 24 and 25	14,665	0		0
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	21,250			
	b Net investment income (if negative, enter -0-)		65,663		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments		63,649	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,914,677	1,950,385	1,950,385
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,914,677	2,014,034	1,950,385	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,914,677	2,014,034	
	30	Total net assets or fund balances (see page 17 of the instructions)	1,914,677	2,014,034	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,914,677	2,014,034		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,914,677
2	Enter amount from Part I, line 27a	2	21,250
3	Other increases not included in line 2 (itemize) ▶ _____	3	78,107
4	Add lines 1, 2, and 3	4	2,014,034
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,014,034

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	19,007
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2012	107,000	1,910,900	0 055995	
2011	102,250	1,926,880	0 053065	
2010	106,179	2,022,747	0 052492	
2009	105,422	1,769,420	0 059580	
2008	113,085	1,773,000	0 063782	
2	Total of line 1, column (d).		2	0 284914
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0 056983
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.		4	1,953,141
5	Multiply line 4 by line 3.		5	111,296
6	Enter 1% of net investment income (1% of Part I, line 27b).		6	657
7	Add lines 5 and 6.		7	111,953
8	Enter qualifying distributions from Part XII, line 4.		8	0
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions				

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	1,313
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).			
3		Add lines 1 and 2.		3	1,313
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,313
6		Credits/Payments		7	371
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	371		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7		Total credits and payments. Add lines 6a through 6d.		7	371
8		Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	17
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	959
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7		
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶NONE				
14	The books are in care of ▶RICHARD CORROON Telephone no ▶(302) 656-8039			
	Located at ▶2305 W11ST WILMINGTON DE ZIP+4 ▶19805			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD F CORROON II	PRES	0	0	0
2305 W 11TH STREET WILMINGTON,DE 19805	3 00			
JAMES M CORROON	SEC/TREAS	0	0	0
2305 W 11TH STREET WILMINGTON,DE 19805	1 00			
JOHN A CORROON	VICE PRES	0	0	0
2305W 11TH STREET WILMINGTON,DE 19805	1 00			
CHRISTOPHER CORROON	TRUSTEE	0	0	0
2305 W 11TH STREET WILMINGTON,DE 19805	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,966,934
b	Average of monthly cash balances.	1b	15,950
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,982,884
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,982,884
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	29,743
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,953,141
6	Minimum investment return. Enter 5% of line 5.	6	97,657

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	97,657
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,313
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,313
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	96,344
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	96,344
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	96,344

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	0
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	0
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				96,344
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			55,539	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ _____				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			55,539	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				96,344
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	101,500
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1a(1)

No

1a(2)

No

b

Other transactions

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

2014-05-09

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name

THOMAS G OTTO

Preparer's Signature

Date

2014-05-12

Check if self-employed

PTIN

P00369258

Firm's name

THOMAS G OTTO & CO PA

Firm's EIN

51-0268194

Firm's address


PO BOX 904 MONTCHANIN, DE 19710

Phone no


(302) 656-8039

Form 990-PF (2013)


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
YMCA OF SO FL YMCA OF SO FL 433 NORTH MILLS AVE 433 NORTH MILLS AVE ORLANDO,FL 32803	NONE	501 C3	GENERAL FUND	4,000
WEST CENTER CITY EARLY LE WEST CENTER CITY EARLY LEARNING CENTER 600 N MADISON ST 600 N MADISON ST WILMINGTON,DE 19801	NONE	501 C3	GENERAL FUND	1,500
UTAH SOC FOR ENVIROM EDU UTAH SOC FOR ENVIROM EDUC 466 E 500 SOUTH 466 E 500 SOUTH SALT LAKE CITY,UT 84111	NONE	501 C3	GENERAL FUND	2,500
TRUST FOR PUBLIC LAND TRUST FOR PUBLIC LAND 116 NEW MONTST 116 NEW MONTST SAN FRANCISCO,CA 94105	NONE	501 C3	GENERAL FUND	3,000
THE ROAD HOME THE ROAD HOME 210 S RIO GRANDE 210 S RIO GRANDE SALT LAKE CITY,UT 84101	NONE	501 C3	GENERAL FUND	500
THE OSBORN THE OSBORN 101 THEALL ROAD 101 THEALL ROAD RYE,NY 10580	NONE	501 C3	GENERAL FUND	2,000
THE MUSIC SCHOOL OF DELAWARE THE MUSIC SCHOOL OF DELAWARE 4101 WASHINGTON ST 4101 WASHINGTON ST WILMINGTON,DE 19802	NONE	501 C3	GENERAL FUND	500
THE CATHERDRAL OF THE MAD THE CATHEDRAL OF THE MADELINE 331 E SOUTH TEMPLE ST 331 E SOUTH TEMPLE ST SALT LAKE CITY,UT 84111	NONE	501 C3	GENERAL FUND	4,000
TEACH AMERICA TEACH AMERICA 1411 K STREET NW 1411 K STREET NW WASHINGTON,DC 20005	NONE	501 C3	GENERAL FUND	500
STUDENT LEADERSHIP PROJECT STUDENT LEADERSHIP PROJECT 1930 CHESTNUT ST UNIT 21B 1930 CHESTNUT ST UNIT 21B PHILADELPHIA,PA 19103	NONE	501 C3	GENERAL FUND	500
STAR KIDS STAR KIDS 17 GORDON AVE 17 GORDON AVE PROVIDENCE,RI 02905	NONE	501 C3	GENERAL FUND	3,000
STDOMINICKS CATH CHURCH STDOMINICKS CATH CHURCH 2390 BUSH ST 2390 BUSH ST SAN FRANCISCO,CA 94115	NONE	501 C3	GENERAL FUND	2,000
ST STEPHENS INDIAN MISSION FOUNDAT ST STEPHENS INDIAN MISSION FOUNDATION PO BOX 278 PO BOX 278 ST STEPHENS,WY 82524	NONE	501 C3	GENERAL FUND	1,000
ST FRANCIS HOSPITAL FOUND ST FRANCIS HOSPITAL FOUNDATION 701 NORTH CLAYTON STREET 701 NORTH CLAYTON STREET WILMINGTON,DE 19805	NONE	501 C3	GENERAL FUND	1,500
SCLERODERMA FOUNDATION SCLERODERMA FOUNDATION 12 KENT WAY 12 KENT WAY BYFIELD,MA 01922	NONE	501 C3	GENERAL FUND	2,500
Total  3a				101,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE MARK SKAKEL FORESTRY SCHOLARSHIP AWARE C/O STAFFORD TECHNICAL CTR STRATTON ROAD STRATTON ROAD RUTLAND,VT 05701	NONE	501 C3	GENERAL FUND	1,000
PROJECT ORBIS INTERNATION PROJECT ORBIS INTERNATIONAL 520 8TH AVE 520 8TH AVE NEW YORK,NY 10018	NONE	501 C3	GENERAL FUND	4,000
PILOT SCHOOL PILOT SCHOOL 100 GARDEN OF EDEN ROAD 100 GARDEN OF EDEN ROAD WILMINGTON,DE 19803	NONE	501 C3	GENERAL FUND	500
PEACE PUNTA MITA USA PEACE PUNTA MITA USA 505 N TOMAHAWK ISLAND DR 505 N TOMAHAWK ISLAND DR PORTLAND,OR 97217	NONE	501 C3	GENERAL FUND	10,000
PARENT PARTNERS PARENT PARTNERS 40 AMESBURY LANE 40 AMESBURY LANE LITTLE COMPTON,RI 02837	NONE	501 C3	GENERAL FUND	1,000
OUR LADY OF VICTORY-RC CH OUR LADY OF VICTORY-RC CHURCH 60 VICTORY ST 60 VICTORY ST NEW YORK,NY 10005	NONE	501 C3	GENERAL FUND	500
OLIVER FOOT MEM FD OLIVER FOOT MEM FD 520 EIGHTH AVE 11TH FL 520 EIGHTH AVE 11 TH FL NY,NY 10078	NONE	501 C3	GENERAL FUND	500
MT MANRESA RETREAT HOUSE MT MANRESA RETREAT HOUSE 239 FINGERBOARD ROAD 239 FINGERBOARD ROAD STATEN ISLAND,NY 10305	NONE	501 C3	GENERAL FUND	500
MADELINE CHOIR SCHOOL MADELINE CHOIR SCHOOL 331 E SOUTH TEMPLE 331 E SOUTH TEMPLE SALT LAKE CITY,UT 84111	NONE	501 C3	GENERAL FUND	4,000
LITTLE BROS OF THE ELDERL LITTLE BROS OF THE ELDERLY 954 WASH BLVD 954 WASH BLVD CHICAGO,IL 60607	NONE	501 C3	GENERAL FUND	1,500
LITERARY ACTION NETWORK LITERARY ACTION NETWORK 3595 S MAIN ST 3595 S MAIN ST SALT LAKE CITY,UT 84115	NONE	501 C3	GENERAL FUND	500
LDS PHILANTHROPIES LDS PHILANTHROPIES 15 E TEMPLE 15 E TEMPLE SALT LAKE CITY,UT 84150	NONE	501 C3	GENERAL FUND	5,000
LAYTON PREPARATORY LAYTON PREPARATORY 55 READS WAY 55 READS WAY NEWCASTLE,DE 19720	NONE	501 C3	GENERAL FUND	1,000
INTERFAITH WORKS INTERFAITH WORKS PO BOX 1221 PO BOX 1221 OLYMPIA,WA 98507	NONE	501 C3	GENERAL FUND	1,000
HOLY CROSS MINISTRIESCAT HOLY CROSS MINISTRIES/CATHCO 860 E 4500 S 860 E 4500 S SALT LAKE CITY,UT 84107	NONE	501 C3	GENERAL FUND	1,000
Total  3a				101,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HILSTEAD MUSUEM- HILSTEAD MUSUEM- 35 MOUNTAIN ROAD 35 MOUNTAIN ROAD FARMINGTON,CT 06032	NONE	501 C3	GENERAL FUND	1,000
HENRY FRANCIS DUPONT WINT HENRY FRANCIS DUPONT WINTERTHUR ESTATE ROUTE 52 ROUTE 52 WINTERTHUR,DE 19735	NONE	501 C3	GENERAL FUND	1,000
HELEN KELLER INTERNATIONA HELEN KELLER INTERNATIONAL INC 352 PARK AVE STE 12 352 PARK AVE STE 12 NEW YORK,NY 10010	NONE	501 C3	GENERAL FUND	4,000
HARTFORD HOSPITAL HARTFORD HOSPITAL 80 SEYMOR STREET 80 SEYMOR STREET HARTFORD,CT 06105	NONE	501 C3	GENERAL FUND	3,500
GRACE OUTREACH GRACE OUTREACH 378 EAST 151ST ST 378 EAST 151ST ST BRONX,NY 10455	NONE	501 C3	GENERAL FUND	3,500
FOOD FOR THE HUNGRY FOOD FOR THE HUNGRY 1224 E WASH ST 1224 E WASH ST PHOENIX,AZ 85034	NONE	501 C3	GENERAL FUND	2,500
DELAWARE MUSEUM OF NATHI DELAWARE MUSEUM OF NATHIST 4840 KENNETT PIKE 4840 KENNETT PIKE WILMINGTON,DE 19807	NONE	501 C3	GENERAL FUND	1,000
DELAWARE COLLEGE OF ARTS DELAWARE COLLEGE OF ARTS & DESIGN 55 KENTMERE PKWY 55 KENTMERE PKWY WILMINGTON,DE 19806	NONE	501 C3	GENERAL FUND	1,000
COVENANT PREPARATORY COVENANT PREPARATORY 135 BROAD 135 BROAD HARTFORD,CT 06105	NONE	501 C3	GENERAL FUND	4,000
COVENANT HOUSE COVENANT HOUSE 346 W 17TH ST 346 W 17TH ST NEW YORK,NY 10011	NONE	501 C3	GENERAL FUND	1,000
CONNECTICUT CHILDRENS MED CONNECTICUT CHILDRENS MEDICAL CENTER 282 WASHINGTON STREET 282 WASHINGTON STREET HARTFORD,CT 06106	NONE	501 C3	GENERAL FUND	1,500
CATHOLIC CHARITIES OF DE CATHOLIC CHARITIES OF DE 2099S DUPONT HWY 2099 SDUPONT HWY DOVER,DE 19901	NONE	501 C3	GENERAL FUND	500
CATHOLIC CHARITIES CATHOLIC CHARITIES 66 CANAL CENTRAL PLAZA 33 CANAL CENTRAL PLAZA ALEXANDRIS,VA 22314	NONE	501 C3	GENERAL FUND	1,000
CATHOLIC BIG SISTERS CATHOLIC BIG SISTERS 220A E 4TH ST 220A E 4TH ST NEW YORK,NY 10009	NONE	501 C3	GENERAL FUND	3,000
CARE USA CARE USA 151 ELLIS STREET NE 151 ELLIS STREET NE ATLANTA,GA 30303	NONE	501 C3	GENERAL FUND	2,500
Total  3a				101,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOYS HOPE GIRLS HOPE BOYS HOPE GIRLS HOPE PO BOX 34739 PO BOX 34739 SAN FRANCISCO,CA 94134	NONE	501 C3	GENERAL FUND	3,000
BOYS & GIRLS CLUBS OF DEL BOYS & GIRLS CLUB OF DELAWARE 669S UNION STREET 669 S UNION ST WILMINGTON,DE 19805	NONE	501 C3	GENERAL FUND	500
BEST BUDDIES DELAWARE BEST BUDDIES DELAWARE 1701 SHALLCROSS AVE 1701 SCHALLCROSS AVE WILMINGTON,DE 19806	NONE	501 C3	GENERAL FUND	500
ASYLUM CHURCH ASYLUM HILL CONGREGATIONAL CHURCH 814 ASYLUM AVE 814 ASYLUM AVE HARTFORD,CT 06105	NONE	501 C3	GENERAL FUND	3,000
ABBEY OF THE HOLY TRINITY ABBEY OF THE HOLY TRINITY (MONASTIC RETREAT) 1250 S 9500 E 1250 S 9500 E HUNTSVILLE,UT 84317	NONE	501 C3	GENERAL FUND	2,000
UTAH HABITAT FOR HUMANITY UTAH HABITAT FOR HUMANITY 500 TEMPLE ST 500 TEMPLE ST SALT LAKE CITY,UT 84111	NONE	501 C3	GENERAL FUND	500
Total ▶ 3a				101,500

TY 2013 Accounting Fees Schedule

Name: RICHARD A & JAMES F CORROON
FOUNDATION
EIN: 23-7000812

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	880			

TY 2013 Compensation Explanation

Name: RICHARD A & JAMES F CORROON
FOUNDATION

EIN: 23-7000812

Person Name	Explanation
RICHARD F CORROON II	
JAMES M CORROON	
JOHN A CORROON	
CHRISTOPHER CORROON	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name:

RICHARD A & JAMES F CORROON
FOUNDATION

EIN:

23-7000812

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SEE SCHEDULE ATTACHED	2012-08	PURCHASE	2013-04		41,792	37,973			3,819	
SEE SCHEDULE ATTACHED	2011-04	PURCHASE	2013-04		319,992	353,559			-33,567	

**TY 2013 Investments Corporate
Stock Schedule**

Name: RICHARD A & JAMES F CORROON
FOUNDATION

EIN: 23-7000812

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SCHWAB INSTITUTIONAL 6123-2815	1,950,385	1,950,385

TY 2013 Other Increases Schedule

Name: RICHARD A & JAMES F CORROON
FOUNDATION

EIN: 23-7000812

Description	Amount
MARKET VALUE INCREASE	78,107

TY 2013 Other Professional Fees Schedule

Name: RICHARD A & JAMES F CORROON
FOUNDATION
EIN: 23-7000812

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES 6213-2815	13,785			

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Notes for Your Realized Gain or (Loss)

Schwab has provided realized gain and loss information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

Not Provided Schwab is not providing Cost Basis on this security type.

Missing Cost Basis may be missing due to one of the following reasons.

- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).
- The security was purchased more than 10 years ago.

Acquisition Date If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

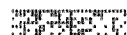
Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) (Cost basis is reported to the IRS Report on Form 8949, Part I, with Box A checked)	\$ 41,791.61	\$ 37,972.65	\$ 0.00	\$ 3,818.96
Total Short-Term Realized Gain or (Loss)	\$ 41,791.61	\$ 37,972.65	\$ 0.00	\$ 3,818.96
Total Long-Term Realized Gain or (Loss) (Cost basis is reported to the IRS Report on Form 8949, Part II, with Box A checked)	\$ 460.24	\$ 459.84	\$ 0.00	\$ 0.40
Total Long-Term Realized Gain or (Loss) (Transactions are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II with Box C checked)	\$ 319,991.63	\$ 353,559.38	\$ 55.94	\$ (33,511.81)
Total Long-Term Realized Gain or (Loss)	\$ 320,451.87	\$ 354,019.22	\$ 55.94	\$ (33,511.41)
TOTAL REALIZED GAIN OR (LOSS)	\$ 362,243.48	\$ 391,991.87	\$ 55.94	\$ (29,692.45)



YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
TOUCHSTONE MID CAP VAL	89155H371	669.11	04/28/11	04/25/13	\$ 10,000.00	\$ 9,398.03	\$ 0.00	\$ 601.97
TOUCHSTONE MID CAP VAL	89155H371	614.58	04/28/11	08/01/13	\$ 10,000.00	\$ 8,632.13	\$ 0.00	\$ 1,367.87
Security Subtotal					\$ 40,000.00	\$ 37,539.97	\$ 0.00	\$ 2,460.03
Total Long-Term (Transactions are not reported on Form 1099-B or to the IRS)					\$ 319,991.63	\$ 353,559.38	\$ 55.94	\$ (33,511.81)
Total Long-Term					\$ 320,451.87	\$ 354,019.22	\$ 55.94	\$ (33,511.41)

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
PIMCO COMMODITY REAL	722005667	4,950.44	10/30/09	10/29/13	\$ 28,297.65	\$ 56,774.93	\$ 0.00	\$(28,477.28)
PIMCO COMMODITY REAL	722005667	547.36	09/15/11	10/29/13	\$ 3,128.89	\$ 6,277.59	\$ 0.00	\$(3,148.70)
Security Subtotal					\$ 45,000.00	\$ 90,285.63	\$ 0.00	\$(45,285.63)
PIMCO LOW DURATION FUN	693390304	98.37	02/13/09	01/30/13	\$ 1,000.00	\$ 988.26	\$ 0.00	11.74
PIMCO LOW DURATION FUN	693390304	477.55	02/13/09	02/14/13	\$ 4,978.00	\$ 4,797.41	\$ 0.00	180.59
PIMCO LOW DURATION FUN	693390304	946.21	02/13/09	04/25/13	\$ 9,956.83	\$ 9,505.47	\$ 0.00	451.36
PIMCO LOW DURATION FUN	693390304	2,872.00	05/07/10	04/25/13	\$ 30,221.49	\$ 28,851.51	\$ 0.00	1,369.98
PIMCO LOW DURATION FUN	693390304	15.99	08/31/11	04/25/13	\$ 168.35	\$ 160.72	\$ 0.00	7.63
PIMCO LOW DURATION FUN	693390304	14.99	09/30/11	04/25/13	\$ 157.83	\$ 150.68	\$ 0.00	7.15
PIMCO LOW DURATION FUN	693390304	14.40	10/31/11	04/25/13	\$ 151.60	\$ 144.73	\$ 0.00	6.87
PIMCO LOW DURATION FUN	693390304	14.15	11/30/11	04/25/13	\$ 148.93	\$ 142.18	\$ 0.00	6.75
PIMCO LOW DURATION FUN	693390304	0.09	12/07/11	04/25/13	\$ 1.04	\$ 0.99	\$ 0.00	0.05
PIMCO LOW DURATION FUN	693390304	47.51	12/28/11	04/25/13	\$ 499.95	\$ 477.29	\$ 0.00	22.66
PIMCO LOW DURATION FUN	693390304	19.73	12/30/11	04/25/13	\$ 207.61	\$ 198.20	\$ 0.00	9.41
Security Subtotal					\$ 47,491.63	\$ 45,417.44	\$ 0.00	2,074.19
PIMCO TOTAL RETURN FUN	693390700	1,223.27	02/13/09	12/31/13	\$ 13,050.73	\$ 12,949.01	\$ 0.00	101.72
PIMCO TOTAL RETURN FUN	693390700	182.71	05/12/09	12/31/13	\$ 1,949.27	\$ 1,934.08	\$ 0.00	15.19
Security Subtotal					\$ 15,000.00	\$ 14,883.09	\$ 0.00	116.91
TOUCHSTONE MID CAP VAL	89155H371	1,389.04	04/28/11	02/15/13	\$ 20,000.00	\$ 19,509.81	\$ 0.00	490.19

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
PIMCO ALL ASSET INSTL CL	722005626	147.79	09/18/08	10/29/13	\$ 1,852.00	\$ 1,819.93	\$ 0.00	\$ 32.07
PIMCO ALL ASSET INSTL CL	722005626	405.25	08/05/09	10/29/13	\$ 5,078.14	\$ 4,990.20	\$ 0.00	\$ 87.94
PIMCO ALL ASSET INSTL CL	722005626	416.39	08/05/09	12/31/13	\$ 5,000.00	\$ 5,127.28	\$ 55.94	\$ (71.34)
Security Subtotal					\$ 152,500.00	\$ 148,684.39	\$ 55.94	\$ 3,871.55
PIMCO COMMODITY REAL	722005667	501.56	06/13/05	10/29/13	\$ 2,867.06	\$ 5,752.32	\$ 0.00	\$ (2,885.26)
PIMCO COMMODITY REAL	722005667	67.07	06/23/05	10/29/13	\$ 383.41	\$ 769.26	\$ 0.00	\$ (385.85)
PIMCO COMMODITY REAL	722005667	146.11	09/22/05	10/29/13	\$ 835.20	\$ 1,675.71	\$ 0.00	\$ (840.51)
PIMCO COMMODITY REAL	722005667	5.32	12/14/05	10/29/13	\$ 30.44	\$ 61.07	\$ 0.00	\$ (30.63)
PIMCO COMMODITY REAL	722005667	17.04	12/14/05	10/29/13	\$ 97.40	\$ 195.43	\$ 0.00	\$ (98.03)
PIMCO COMMODITY REAL	722005667	726.90	12/29/05	10/29/13	\$ 4,155.11	\$ 8,336.60	\$ 0.00	\$ (4,181.49)
PIMCO COMMODITY REAL	722005667	31.07	06/22/06	10/29/13	\$ 177.63	\$ 356.39	\$ 0.00	\$ (178.76)
PIMCO COMMODITY REAL	722005667	22.98	09/21/06	10/29/13	\$ 131.37	\$ 263.57	\$ 0.00	\$ (132.20)
PIMCO COMMODITY REAL	722005667	141.34	12/27/06	10/29/13	\$ 807.93	\$ 1,620.99	\$ 0.00	\$ (813.06)
PIMCO COMMODITY REAL	722005667	61.65	03/22/07	10/29/13	\$ 352.45	\$ 707.15	\$ 0.00	\$ (354.70)
PIMCO COMMODITY REAL	722005667	86.12	06/21/07	10/29/13	\$ 492.33	\$ 987.78	\$ 0.00	\$ (495.45)
PIMCO COMMODITY REAL	722005667	89.93	09/20/07	10/29/13	\$ 514.08	\$ 1,031.42	\$ 0.00	\$ (517.34)
PIMCO COMMODITY REAL	722005667	169.87	12/27/07	10/29/13	\$ 971.06	\$ 1,948.28	\$ 0.00	\$ (977.22)
PIMCO COMMODITY REAL	722005667	89.12	03/20/08	10/29/13	\$ 509.45	\$ 1,022.13	\$ 0.00	\$ (512.68)
PIMCO COMMODITY REAL	722005667	93.87	06/19/08	10/29/13	\$ 536.58	\$ 1,076.56	\$ 0.00	\$ (539.98)
PIMCO COMMODITY REAL	722005667	124.55	09/18/08	10/29/13	\$ 711.96	\$ 1,428.45	\$ 0.00	\$ (716.49)

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Date Prepared: February 7, 2014

Long-Term Realized Gain or (Loss)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
LOOMIS SAYLES SMALL CAP	543487854	473.78	04/28/11	04/25/13	\$ 10,000.00	\$ 9,102.25	\$ 0.00	\$ 897.75
LOOMIS SAYLES SMALL CAP	543487854	398.01	04/28/11	08/01/13	\$ 10,000.00	\$ 7,646.61	\$ 0.00	\$ 2,353.39
Security Subtotal					\$ 20,000.00	\$ 16,748.86	\$ 0.00	\$ 3,251.14
PIMCO ALL ASSET INSTL CL	722005626	4,671.87	02/15/06	02/05/13	\$ 59,265.11	\$ 57,527.58	\$ 0.00	\$ 1,737.53
PIMCO ALL ASSET INSTL CL	722005626	48.57	03/23/06	02/05/13	\$ 616.21	\$ 598.15	\$ 0.00	\$ 18.06
PIMCO ALL ASSET INSTL CL	722005626	89.30	06/22/06	02/05/13	\$ 1,132.89	\$ 1,099.68	\$ 0.00	\$ 33.21
PIMCO ALL ASSET INSTL CL	722005626	1,890.80	08/07/06	02/05/13	\$ 23,985.79	\$ 23,282.57	\$ 0.00	\$ 703.22
PIMCO ALL ASSET INSTL CL	722005626	846.08	08/07/06	04/25/13	\$ 10,781.69	\$ 10,418.38	\$ 0.00	\$ 363.31
PIMCO ALL ASSET INSTL CL	722005626	133.94	09/21/06	04/25/13	\$ 1,706.80	\$ 1,649.28	\$ 0.00	\$ 57.52
PIMCO ALL ASSET INSTL CL	722005626	785.64	11/10/06	04/25/13	\$ 10,011.51	\$ 9,674.15	\$ 0.00	\$ 337.36
PIMCO ALL ASSET INSTL CL	722005626	944.32	11/10/06	10/29/13	\$ 11,832.92	\$ 11,628.01	\$ 0.00	\$ 204.91
PIMCO ALL ASSET INSTL CL	722005626	0.73	12/13/06	10/29/13	\$ 9.17	\$ 9.01	\$ 0.00	\$ 0.16
PIMCO ALL ASSET INSTL CL	722005626	34.87	12/13/06	10/29/13	\$ 436.97	\$ 429.40	\$ 0.00	\$ 7.57
PIMCO ALL ASSET INSTL CL	722005626	370.58	12/27/06	10/29/13	\$ 4,643.69	\$ 4,563.28	\$ 0.00	\$ 80.41
PIMCO ALL ASSET INSTL CL	722005626	89.80	03/22/07	10/29/13	\$ 1,125.34	\$ 1,105.85	\$ 0.00	\$ 19.49
PIMCO ALL ASSET INSTL CL	722005626	142.21	06/21/07	10/29/13	\$ 1,781.99	\$ 1,751.13	\$ 0.00	\$ 30.86
PIMCO ALL ASSET INSTL CL	722005626	192.84	09/20/07	10/29/13	\$ 2,416.43	\$ 2,374.58	\$ 0.00	\$ 41.85
PIMCO ALL ASSET INSTL CL	722005626	590.16	12/27/07	10/29/13	\$ 7,395.11	\$ 7,267.05	\$ 0.00	\$ 128.06
PIMCO ALL ASSET INSTL CL	722005626	135.07	03/20/08	10/29/13	\$ 1,692.58	\$ 1,663.27	\$ 0.00	\$ 29.31
PIMCO ALL ASSET INSTL CL	722005626	138.51	06/19/08	10/29/13	\$ 1,735.66	\$ 1,705.61	\$ 0.00	\$ 30.05

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
PIMCO LOW DURATION FUN	693390304	7.04	02/28/13	04/25/13	\$ 74.15	\$ 74.09	\$ 0.00	\$ 0.06
PIMCO LOW DURATION FUN	693390304	8.32	03/28/13	04/25/13	\$ 87.58	\$ 87.48	\$ 0.00	\$ 0.10
Security Subtotal					\$ 1,791.61	\$ 1,790.04	\$ 0.00	\$ 1.57
Total Short-Term (Cost basis is reported to the IRS)					\$ 41,791.61	\$ 37,972.65	\$ 0.00	\$ 3,818.96
Total Short-Term					\$ 41,791.61	\$ 37,972.65	\$ 0.00	\$ 3,818.96

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
PIMCO LOW DURATION FUN	693390304	20.96	01/31/12	04/25/13	\$ 220.59	\$ 220.40	\$ 0.00	\$ 0.19
PIMCO LOW DURATION FUN	693390304	11.34	02/29/12	04/25/13	\$ 119.37	\$ 119.27	\$ 0.00	\$ 0.10
PIMCO LOW DURATION FUN	693390304	11.43	03/30/12	04/25/13	\$ 120.28	\$ 120.17	\$ 0.00	\$ 0.11
Security Subtotal					\$ 460.24	\$ 459.84	\$ 0.00	\$ 0.40
Total Long-Term (Cost basis is reported to the IRS)					\$ 460.24	\$ 459.84	\$ 0.00	\$ 0.40

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

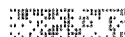
REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
AMERICAN CENTURY EQUIT	025076209	2,326.36	08/20/12	04/25/13	\$ 20,000.00	\$ 18,435.96	\$ 0.00	\$ 1,564.04
AMERICAN CENTURY EQUIT	025076209	2,237.98	08/20/12	08/01/13	\$ 20,000.00	\$ 17,746.65	\$ 0.00	\$ 2,253.35
Security Subtotal					\$ 40,000.00	\$ 36,182.61	\$ 0.00	\$ 3,817.39
PIMCO LOW DURATION FUN	693390304	10.41	04/30/12	04/25/13	\$ 109.56	\$ 109.47	\$ 0.00	\$ 0.09
PIMCO LOW DURATION FUN	693390304	11.60	05/31/12	04/25/13	\$ 122.06	\$ 121.96	\$ 0.00	\$ 0.10
PIMCO LOW DURATION FUN	693390304	10.14	06/29/12	04/25/13	\$ 106.77	\$ 106.68	\$ 0.00	\$ 0.09
PIMCO LOW DURATION FUN	693390304	8.10	07/31/12	04/25/13	\$ 85.31	\$ 85.23	\$ 0.00	\$ 0.08
PIMCO LOW DURATION FUN	693390304	7.94	08/31/12	04/25/13	\$ 83.55	\$ 83.48	\$ 0.00	\$ 0.07
PIMCO LOW DURATION FUN	693390304	6.73	09/28/12	04/25/13	\$ 70.88	\$ 70.82	\$ 0.00	\$ 0.06
PIMCO LOW DURATION FUN	693390304	10.59	10/31/12	04/25/13	\$ 111.52	\$ 111.42	\$ 0.00	\$ 0.10
PIMCO LOW DURATION FUN	693390304	10.91	11/30/12	04/25/13	\$ 114.80	\$ 114.70	\$ 0.00	\$ 0.10
PIMCO LOW DURATION FUN	693390304	8.09	12/12/12	04/25/13	\$ 85.13	\$ 85.05	\$ 0.00	\$ 0.08
PIMCO LOW DURATION FUN	693390304	38.25	12/12/12	04/25/13	\$ 402.55	\$ 402.20	\$ 0.00	\$ 0.35
PIMCO LOW DURATION FUN	693390304	15.55	12/27/12	04/25/13	\$ 163.66	\$ 163.52	\$ 0.00	\$ 0.14
PIMCO LOW DURATION FUN	693390304	9.25	12/31/12	04/25/13	\$ 97.35	\$ 97.26	\$ 0.00	\$ 0.09
PIMCO LOW DURATION FUN	693390304	7.29	01/31/13	04/25/13	\$ 76.74	\$ 76.68	\$ 0.00	\$ 0.06



Taxpayer ID Number: 23-7000812

Date Prepared: February 7, 2014

Notes for your Form 1099-B (continued)

Acquisition Date If there is more than one lot in a sell transaction, the acquisition date for the individual lot in the transaction can be found in the Realized Gain Loss section of the Year End Summary

(1) If the acquisition date is blank, your sell transaction included multiple tax lots purchased on different acquisition dates within the same holding period and covered/non-covered status. The lots in the transaction are rolled up into a single sell transaction and thus **Box 1b-Date of Acquisition** will be blank

(2) If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term.

Box (1c) Type of Gain or Loss

Long-term Long-Term Realized Gain or Loss has a holding period greater than one year.
Short-term Short-Term Realized Gain or Loss has a holding period of one year or less

**Activity Codes (Not reported to the IRS)

C = Cash in Lieu	E = Exchange	P = Principal	S = Sale	T = Tender
CV = Conversion	M = Cash Merger	MT = Maturity	R = Redemption	SS = Short Sale

- The cost basis and basis adjustments for covered securities are reported to the IRS.
- Gross Proceeds from each of your security transactions are reported individually to the IRS.
- Gross Proceeds in aggregate are not reported to the IRS and should not be so reported on your tax return.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Taxpayer ID Number: 23-7000812

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss Long-term (Report on Form 8949, Part II, with **Box A** checked)

LONG-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
PIMCO LOW DURATION FUND INSTL CL	693390304	\$	\$ 460.24	\$ 459.84	\$ 0.00	\$ 0.00
	PTLDX	04/25/13	43.73			
Security Subtotal			\$ 460.24	\$ 459.84	\$ 0.00	\$ 0.00
Total Long-Term (Cost basis is reported to the IRS)			\$ 460.24	\$ 459.84		
Total Long-Term Sales Price of Stocks, Bonds, etc.			\$ 460.24	\$ 459.84		
Total Sales Price of Stocks, Bonds, etc.			\$ 42,251.85			
Total Federal Income Tax Withheld			\$ 0.00			

Notes for your Form 1099-B

Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

**Not
Provided
Missing**

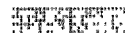
Schwab is not providing Cost Basis on this security type.

Cost Basis may be missing due to one of the following reasons

- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm)
- The security was purchased more than 10 years ago.

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number.

For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number.

May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number.

For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a.

Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b.

This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a.

Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this

amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b.

If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3.

Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

Box 4.

Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.

Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a.

If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means: a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012; and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b.

If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 8.

Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Boxes 13-15.

Shows state income tax withheld.

Date Prepared: February 7, 2014

Recipient's Name and Address

RICHARD A AND JAMES F CORROON
FOUNDATION INC
2305 W 11TH ST
WILMINGTON DE 19805

Taxpayer ID Number: 23-7000812

Account Number: 6213-2815

Payer's Name and Address

CHARLES SCHWAB & CO, INC
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 515-2157

Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2013

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
AMERICAN CENTURY EQUITY INCOME INST CL	025076209 ACIIX	S	08/20/12 04/25/13	\$ 20,000.00 2,326.36	\$ 18,435.96	\$ 0.00	\$ 0.00
AMERICAN CENTURY EQUITY INCOME INST CL	025076209 ACIIX	S	08/20/12 08/01/13	\$ 20,000.00 2,237.98	\$ 17,746.65	\$ 0.00	\$ 0.00
Security Subtotal				\$ 40,000.00	\$ 36,182.61	\$ 0.00	\$ 0.00
PIMCO LOW DURATION FUND INSTL CL	693390304 PTLDX	S	04/25/13	\$ 1,791.61 170.26	\$ 1,790.04	\$ 0.00	\$ 0.00
Security Subtotal				\$ 1,791.61	\$ 1,790.04	\$ 0.00	\$ 0.00
Total Short-Term (Cost basis is reported to the IRS)				\$ 41,791.61	\$ 37,972.65		

Total Short-Term Sales Price of Stocks, Bonds, etc

\$ 41,791.61 \$ 37,972.65

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

