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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation LOUIS AND BESSIE STEIN FOUNDATION RUTH NATHANSON, TRUSTEE		A Employer identification number 23-6395253
Number and street (or P.O. box number if mail is not delivered to street address) THE FAIRMONT 41 CONSHOHOCKEN STATE RD	Room/suite 308	B Telephone number 561-620-1979
City or town, state or province, country, and ZIP or foreign postal code BALA CYNWYD, PA 19004		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 12,939,073.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	190,363.	190,363.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	719,107.			
	b Gross sales price for all assets on line 6a	13,768,312.			
	7 Capital gain net income (from Part IV, line 2)		719,107.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	909,470.	909,470.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	4,828.	0.		4,828.
	b Accounting fees STMT 3	11,260.	5,630.		5,630.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	19,380.	2,380.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	314.	0.		314.
	22 Printing and publications				
	23 Other expenses STMT 5	4,326.	3,705.		621.
	24 Total operating and administrative expenses. Add lines 13 through 23	40,108.	11,715.		11,393.
	25 Contributions, gifts, grants paid	647,176.			647,176.
26 Total expenses and disbursements. Add lines 24 and 25	687,284.	11,715.		658,569.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	222,186.				
b Net investment income (if negative, enter -0-)		897,755.			
c Adjusted net income (if negative, enter -0-)			N/A		

SCANNED JUL 15 2014
Operating and Administrative Expenses

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LOUIS AND BESSIE STEIN FOUNDATION

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

				Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		790,527.	494,703.	494,703.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 6		806,687.	236,292.	240,576.
	b	Investments - corporate stock STMT 7		3,341,281.	1,772,359.	1,908,095.
	c	Investments - corporate bonds STMT 8		35,005.	3,127,957.	3,109,241.
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 9		7,235,160.	6,789,290.	7,176,213.
	14	Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
	15	Other assets (describe ▶ STATEMENT 10)		0.	10,245.	10,245.
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		12,208,660.	12,430,846.	12,939,073.
Net Assets or Fund Balances	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds		12,208,660.	12,430,846.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
Net Assets or Fund Balances	30	Total net assets or fund balances		12,208,660.	12,430,846.	
	31	Total liabilities and net assets/fund balances		12,208,660.	12,430,846.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,208,660.
2	Enter amount from Part I, line 27a	2	222,186.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	12,430,846.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,430,846.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 13,768,312.		13,049,205.	719,107.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			719,107.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	719,107.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2012	682,633.	12,714,737.	.053688
2011	578,436.	12,797,511.	.045199
2010	647,425.	12,532,998.	.051658
2009	691,801.	12,076,029.	.057287
2008	461,961.	13,404,612.	.034463

2 Total of line 1, column (d)	2	.242295
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048459
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	12,782,828.
5 Multiply line 4 by line 3	5	619,443.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,978.
7 Add lines 5 and 6	7	628,421.
8 Enter qualifying distributions from Part XII, line 4	8	658,569.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	8,978.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	8,978.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	8,978.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 15,245.	7	20,245.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c 5,000.	9	
d Backup withholding erroneously withheld	6d	10	11,267.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 11,267. Refunded ☒			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► RUTH NATHANSON Telephone no. ► 561-620-1979 Located at ► 4201 N. OCEAN BOULEVARD, BOCA RATON, FL ZIP+4 ► 33431-5304			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RUTH LEVENTHAL NATHANSON 4201 N. OCEAN BLVD. #1606C BOCA RATON, FL 33431	PRES/TREAS 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

(continued)

3

Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		0

Total number of others receiving over \$50,000 for professional services

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	NONE	
2		0.
3	All other program-related investments. See instructions.	
		0.

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,510,413.
b	Average of monthly cash balances	1b	453,423.
c	Fair market value of all other assets	1c	13,654.
d	Total (add lines 1a, b, and c)	1d	12,977,490.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,977,490.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	194,662.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,782,828.
6	Minimum investment return. Enter 5% of line 5	6	639,141.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	639,141.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	8,978.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,978.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	630,163.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	630,163.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	630,163.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	658,569.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	658,569.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	8,978.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	649,591.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				630,163.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			509,941.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013.				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 658,569.				
a Applied to 2012, but not more than line 2a			509,941.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				148,628.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				481,535.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

LOUIS AND BESSIE STEIN FOUNDATION

Form 990-PF (2013)

RUTH NATHANSON, TRUSTEE

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN FRIENDS OF ISRAEL ELWYN PO BOX 828284 PHILADELPHIA, PA 19182	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,608.
AMERICAN TECHNION SOCIETY 55 EAST 59TH STREET NEW YORK, NY 10022	N/A	PUBLIC CHARITY	GENERAL SUPPORT	200,480.
ANTI-DEFAMATION LEAGUE 1617 JOHN F KENNEDY BLVD PHILADELPHIA, PA 19103	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
B'NAI TORAH CONGREGATION 6261 SW 18TH STREET BOCA RATON, FL 33433	N/A	PUBLIC CHARITY	GENERAL SUPPORT	875.
AMERICAN JEWISH WORLD SERVICE 45 WEST 36TH STREET NEW YORK, NY 10018	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000.
Total	SEE CONTINUATION SHEET(S)			647,176.
b Approved for future payment				
NONE				
Total				0.

Part XVII

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	Signature of officer or trustee <i>Ruth Nathanson, Trustee</i>		Date 7-8-14		Title PRES/TREAS	
Paid Preparer Use Only	Print/Type preparer's name WILLIAM R. DEISS, CPA		Preparer's signature <i>William R. Deiss, CPA</i>		Date 7-1-2014	
	Check ☐ if self-employed		PTIN P00018001			
	Firm's name ▶ PARENTEBEARD LLC				Firm's EIN ▶ 23-2932984	
Firm's address ▶ 1800 BYBERRY RD SUITE 1100 HUNTINGDON VALLEY, PA 19006-3523				Phone no. 215-947-2100		

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions LOUIS AND BESSIE STEIN FOUNDATION RUTH NATHANSON, TRUSTEE	Employer identification number (EIN) or 23-6395253
	Number, street, and room or suite no. If a P.O. box, see instructions THE FAIRMONT 41 CONSHOHOCKEN STATE RD, NO. 308	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions BALA CYNWYD, PA 19004	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

RUTH NATHANSON

- The books are in the care of ► **4201 N. OCEAN BOULEVARD - BOCA RATON, FL 33431-5304**
Telephone No ► **561-620-1979** Fax No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	20,245.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	15,245.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	5,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/13
b	SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/13
c	SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/13
d	SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/13
e	SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/13
f	MINDORO PROPERTY	P	12/31/11	12/31/13
g	ISHARES TR FTSE NAREIT	P	VARIOUS	01/01/13
h	HATTERAS FINANCIAL CORP	P	VARIOUS	01/01/13
i	FIRST EAGLE GLOBAL	P	VARIOUS	01/01/13
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,769,405.		7,671,889.	97,516.
b 865,546.		868,510.	<2,964.>
c 2,174,267.		1,950,270.	223,997.
d 1,713,424.		1,512,583.	200,841.
e 494,845.		366,884.	127,961.
f 116,761.		40,000.	76,761.
g 135,479.		152,500.	<17,021.>
h 196,276.		232,239.	<35,963.>
i 270,988.		254,330.	16,658.
j 31,321.			31,321.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			97,516.
b			<2,964.>
c			223,997.
d			200,841.
e			127,961.
f			76,761.
g			<17,021.>
h			<35,963.>
i			16,658.
j			31,321.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	719,107.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

LOUIS AND BESSIE STEIN FOUNDATION
RUTH NATHANSON, TRUSTEE

23-6395253

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHOP 34TH STREET AND CIVIC CENTER BLVD PHILADELPHIA, PA 19104	N/A	PUBLIC CHARITY	GENERAL SUPPORT	50,000.
BRITHRIGHT ISRAEL 33 EAST 33RD STREET 7TH FLOOR NEW YORK, NY 10016	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,000.
CONGREGATION KENESST ISRAEL 2227 WEST CHEW STREET ALLENTOWN, PA 18104	N/A	PUBLIC CHARITY	GENERAL SUPPORT	720.
DREXEL UNIVERSITY 3141 CHESTNUT STREET PHILADELPHIA, PA 19104	N/A	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
FRIENDS OF ISRAEL DISABLED VETERANS 1133 BROADWAY SUITE 232 NEW YORK, NY 10010	N/A	PUBLIC CHARITY	GENERAL SUPPORT	350.
HADASSAH 1518 WALNUT STREET, SUITE 555 PHILADELPHIA, PA 19102	N/A	PUBLIC CHARITY	GENERAL SUPPORT	151,330.
HAR ZION TEMPLE 1500 HAGYS FORD ROAD PENN VALLEY, PA 19072	N/A	PUBLIC CHARITY	GENERAL SUPPORT	6,508.
JCHAI 274 S. BRYN MAWR AVENUE BRYN MAWR, PA 19010	N/A	PUBLIC CHARITY	GENERAL SUPPORT	250.
ISRAEL SPECIAL KIDS 505 EIGHTH AVE NEW YORK, NY 10018	N/A	PUBLIC CHARITY	GENERAL SUPPORT	500.
ISREAL GUIDE DOGS 968 EASTON RD. - SUITE H WARRINGTON, PA 18976	N/A	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
Total from continuation sheets				442,113.

LOUIS AND BESSIE STEIN FOUNDATION
RUTH NATHANSON, TRUSTEE

23-6395253

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JACOB'S PILLOW 358 GEORGE CARTER RD BECKET, MA 01223	N/A	PUBLIC CHARITY	GENERAL SUPPORT	240.
JEWISH FAMILY & CHILDREN 2100 ARCH STREET, 5TH FLOOR PHILADELPHIA, PA 19103	N/A	PUBLIC CHARITY	GENERAL SUPPORT	50,000.
JEWISH FEDERATION OF PHILADELPHIA 1501 NORTH BROAD ST. PHILADELPHIA, PA 19122	N/A	PUBLIC CHARITY	GENERAL SUPPORT	33,975.
JEWISH FEDERATION OF THE BERKSHIRES 196 SOUTH STREET PITTSFIELD, MA 01201	N/A	PUBLIC CHARITY	GENERAL SUPPORT	2,500.
KATE SVITEK MEMORIAL FOUNDATION PO BOX 104 AMBLER, PA 19002	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
KNESSET ISRAEL SYNAGOGUE 16 COLT ROAD PITTSFIELD, MA 01201	N/A	PUBLIC CHARITY	GENERAL SUPPORT	400.
LOWER MERION POLICE PENSION ASSOCIATION 71 E LANCASTER AVE. ARDMORE, PA 19002-0104	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
MACCABI USA 1926 ARCH ST FL 4R2 PHILADELPHIA, PA 19103	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
MASORTI FOUNDATION 475 RIVERSIDE DRIVE, SUITE 832 NEW YORK, NY 10115	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
MAZON: A JEWISH RESPONSE TO HUNGER 10495 SANTA MONICA BLVD STE 100 LOS ANGELES, CA 90025	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
Total from continuation sheets				

LOUIS AND BESSIE STEIN FOUNDATION
RUTH NATHANSON, TRUSTEE

23-6395253

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LIFE'S DOOR 3145 CONEY ISLAND AVENUE BROOKLYN, NY 11235	N/A	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
NATIONAL CONSTITUTION CENTER 525 ARCH STREET PHILADELPHIA, PA 19106	N/A	PUBLIC CHARITY	GENERAL SUPPORT	95.
PHILADELPHIA RONALD MCDONALD HOUSE 3925 CHESTNUT ST PHILADELPHIA, PA 19104	N/A	PUBLIC CHARITY	GENERAL SUPPORT	15,500.
SIMON WIESENTHAL CENTER 9786 WEST PICO BOULEVARD LOS ANGELES, CA 90035	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
NATIONAL YIDDISH BOOK 1021 WEST STREET AMHERST, MA 01002	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
SCLERODERMA FOUNDATION 300 ROSEWOOD DRIVE, SUITE 105 DANVERS, MA 01923	N/A	PUBLIC CHARITY	GENERAL SUPPORT	500.
TEMPLE BEITH-HILLEL 1001 REMINGTON ROAD WYNNEWOOD, PA 19096	N/A	PUBLIC CHARITY	GENERAL SUPPORT	640.
UNION FIRE ASSOCIATION PO BOX 633 BALA CYNWYD, PA 19004	N/A	PUBLIC CHARITY	GENERAL SUPPORT	50.
UNIVERSITY OF PENNSYLVANIA 3400 SPRUCE STREET PHILADELPHIA, PA 19104	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100,000.
US HOLOCAUST MEMORIAL MUSEUM 60 EAST 42ND ST NEW YORK, NY 10165	N/A	PUBLIC CHARITY	GENERAL SUPPORT	1,150.
Total from continuation sheets				

LOUIS AND BESSIE STEIN FOUNDATION
RUTH NATHANSON, TRUSTEE

23-6395253

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WHYY TV 150 N. SIXTH STREET PHILADELPHIA, PA 19106	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
WORLD JEWISH CONGRESS 501 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10022	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
TOYS FOR TOTS 18251 QUANTICO GATEWAY DRIVE TRIANGLE, VA 22172	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
UNITED SYNAGOGUE OF CONSERVATIVE JUDAISM 820 SECOND AVENUE NEW YORK, NY 10017	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
VMSC OF LOWER MERION & NARBERTH 101 SIBLEY AVENUE ARDMORE, PA 19003	N/A	PUBLIC CHARITY	GENERAL SUPPORT	50.
HILLEL OF GREATER PHILADELPHIA 215 S 39TH STREET PHILADELPHIA, PA 19104	N/A	PUBLIC CHARITY	GENERAL SUPPORT	100.
WPBT2 PO BOX 610002 MIAMI, FL 33261	N/A	PUBLIC CHARITY	GENERAL SUPPORT	155.
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
MERRILL LYNCH #7AA-04000	186,912.	31,321.	155,591.	155,591.		
MERRILL LYNCH #7AA-04000	33,975.	0.	33,975.	33,975.		
MERRILL LYNCH #7AA-04001	2.	0.	2.	2.		
MERRILL LYNCH OTHER	350.	0.	350.	350.		
STATE OF ISRAEL BOND	445.	0.	445.	445.		
TO PART I, LINE 4	221,684.	31,321.	190,363.	190,363.		

FORM 990-PF	LEGAL FEES				STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
LEGAL FEE	4,441.	0.		4,441.		
CSC CORPORATE SERVICE FEE	387.	0.		387.		
TO FM 990-PF, PG 1, LN 16A	4,828.	0.		4,828.		

FORM 990-PF	ACCOUNTING FEES				STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
ACCOUNTING FEES	11,260.	5,630.		5,630.		
TO FORM 990-PF, PG 1, LN 16B	11,260.	5,630.		5,630.		

FORM 990-PF

TAXES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	1,885.	1,885.		0.
FEDERAL EXCISE TAX	17,000.	0.		0.
DELAWARE ANNUAL FEE	470.	470.		0.
NEW JERSEY ANNUAL FEE	25.	25.		0.
TO FORM 990-PF, PG 1, LN 18	19,380.	2,380.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE SUPPLIES	948.	474.		474.
POSTAGE	188.	94.		94.
BANK CHARGES	106.	53.		53.
INVESTMENT EXPENSES	3,084.	3,084.		0.
TO FORM 990-PF, PG 1, LN 23	4,326.	3,705.		621.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT

6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVT SECURITIES - DETAILS ATTACHED	X		236,292.	240,576.
MUNICIPAL BONDS		X	0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS			236,292.	240,576.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			236,292.	240,576.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES - DETAILS ATTACHED	1,772,359.	1,908,095.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,772,359.	1,908,095.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - DETAILS ATTACHED	3,127,957.	3,109,241.
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,127,957.	3,109,241.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CLOSED END MUTUAL FUNDS - DETAILS ATTACHED	COST	6,689,290.	7,039,527.
METLIFE - ANNUITY	COST	100,000.	136,686.
MINDORO PROPERTY	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		6,789,290.	7,176,213.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
FUNDS IN TRANSIT - BROKERAGE ACCOUNT	0.	10,245.	10,245.
TO FORM 990-PF, PART II, LINE 15	0.	10,245.	10,245.



LOUIS AND BESSIE STEIN FDN

Account No.
7AA-04000

Taxpayer No.
23-6395253

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2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
SP500 STEPUP ISSUER BAC								
STEP 40.5% SV 1055.33								
DUE 08/22/2014 BUF 15%								
10000.0000 Sale		09/11/12	04/25/13	146,760.00	133,700.00	0.00	13,060.00	
CUSIP Number 06052K521								
SP500 STEPUP ISSUER BAC								
STEP 35.3% SV 1783.78								
DUE 10/24/2014 BUF 15%								
25000.0000 Sale		11/16/12	06/18/13	341,600.00	293,300.00	0.00	48,300.00	
CUSIP Number 06052R153								
SP500 STEPUP ISSUER BAC								
STEP 17% SV 1283.50								
DUE 06/28/13								
1500.0000 Sale		06/06/12	05/05/13	18,700.50	15,195.00	0.00	3,505.50	✓
2000.0000 Sale		07/16/12	05/06/13	24,934.00	21,180.00	0.00	3,754.00	✓
1200.0000 Sale		07/19/12	05/06/13	14,960.40	12,780.00	0.00	2,180.40	✓
140.0000 Sale		07/19/12	05/06/13	1,745.36	1,505.00	0.00	240.36	✓
10000.0000 Sale		09/27/12	05/06/13	124,670.00	113,000.00	0.00	11,670.00	✓
CUSIP Number 06741K445								



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 06741K445								
SP500 STEPUP ISSUER BARC								
STEP 17% SV 1283.50								
DUE 06/28/13		09/27/12	05/08/13	187,455.00	169,500.00	0.00	17,955.00	
15000.0000 Sale		10/23/12	05/08/13	124,970.00	111,600.00	0.00	13,370.00	
10000.0000 Sale				497,435.28	444,760.00	0.00	52,675.28	
Security Subtotal								
GDM ARN ISS BARC								
CAP 25.08% SV 1167.86								
DUE 03/28/14		01/30/13	04/16/13	126,860.00	200,000.00	0.00	(73,140.00)	
20000.0000 Sale		01/30/13	04/17/13	30,485.00	50,000.00	0.00	(19,515.00)	
5000.0000 Sale				157,345.00	250,000.00	0.00	(92,655.00)	
Security Subtotal								
ISHARES MSCI JAPAN								
15000.0000 Sale		04/24/13	06/28/13	169,510.08	173,962.50	0.00	(4,452.42)	
2500.0000 Sale		05/20/13	08/08/13	28,251.69	30,710.88	0.00	(2,459.19)	
7500.0000 Sale		09/20/13	08/09/13	85,423.50	92,132.62	0.00	(6,709.12)	
10000.0000 Sale		05/30/13	08/09/13	113,898.00	112,050.00	0.00	1,848.00	
Security Subtotal								
ISHARES TRUST SHS ISHARE								
MSCI EMERGING								
3000.0000 Sale		03/01/13	06/11/13	171,574.50	184,188.34	0.00	(12,593.84)	
3000.0000 Sale		03/01/13	06/25/13	163,560.44	184,188.34	0.00	(20,607.90)	
Security Subtotal								
ISHARES TRUST SHS ISHARE								
EAFE MINIMUM V								
4600.0000 Sale		03/19/13	07/25/13	270,371.77	272,012.26	0.00	(1,640.49)	
Security Subtotal								
ISHARES FTSE HK								
CHINA 25 INDEX FUNO								
3000.0000 Sale		12/05/12	03/11/13	115,528.63	115,507.40	0.00	21.23	
3000.0000 Sale		12/31/12	03/11/13	115,528.64	121,205.22	0.00	(5,676.58)	
Security Subtotal								
				231,057.27	236,712.62	0.00	(5,655.35)	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VANGUARD MSCI EMERGING MKRITS ETF								
		CUSIP Number	922042858					
4000 0000	Sale	11/26/12	03/01/13	173,061.15	168,290.00	0.00	4,771.15	
4000 0000	Sale	01/14/13	03/01/13	173,061.15	191,117.88	0.00	(18,056.73)	
				346,122.30	349,407.88	0.00	(3,285.58)	
Security Subtotal								
MARKET VECTORS ETF TR GOLD MINERS ETF								
		CUSIP Number	57060U100					
2500 0000	Sale	12/13/12	01/29/13	104,829.80	116,025.00	0.00	(11,195.20)	
2500 0000	Sale	12/31/12	01/29/13	104,829.81	114,373.47	0.00	(9,543.66)	
				209,659.61	230,398.47	0.00	(20,738.86)	
Security Subtotal								
WISDOMTREE TRUST JAPAN HEDGED EQUITY FD								
		CUSIP Number	97717W851					
3000 0000	Sale	01/04/13	04/04/13	130,294.57	114,585.00	0.00	15,709.57	
3000 0000	Sale	01/14/13	04/04/13	130,294.56	117,379.21	0.00	12,915.35	
3000 0000	Sale	02/06/13	04/04/13	130,294.57	124,072.96	0.00	6,221.61	
2500 0000	Sale	02/15/13	04/04/13	108,578.81	101,431.07	0.00	7,147.74	
				499,462.51	457,468.24	0.00	41,994.27	
Security Subtotal								
VANGUARD MSCI EAFE ETF								
		CUSIP Number	921843858					
5000 0000	Sale	11/26/12	03/19/13	181,509.70	169,082.53	0.00	12,427.17	
2500 0000	Sale	11/27/12	03/19/13	90,754.86	84,766.07	0.00	5,988.79	
2500 0000	Sale	11/27/12	07/08/13	89,642.18	84,766.06	0.00	4,876.12	
1250 0000	Sale	01/04/13	07/08/13	44,821.10	44,672.50	0.00	148.60	
3750 0000	Sale	01/04/13	08/22/13	141,006.08	134,017.50	0.00	6,988.58	
				547,733.92	517,304.66	0.00	30,429.26	
Security Subtotal								
SPDR INDEX SHS FDS								
		CUSIP Number	78463X202					
6000 0000	Sale	11/14/13	12/30/13	250,267.62	243,903.24	0.00	6,364.38	
Security Subtotal								
SPDR DJ WILSHIRE GLOBAL REAL ESTATE								
		CUSIP Number	78463X749					
3000 0000	Sale	02/06/13	06/05/13	129,005.24	129,924.12	0.00	(918.88)	
3000 0000	Sale	02/15/13	06/07/13	129,665.23	130,196.51	0.00	(531.28)	
				258,670.47	260,120.63	0.00	(1,450.16)	
Security Subtotal								



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 01019A161								
MSCI EAFE ARN ISSUER SEK								
CAP 17.4% SV 1.633 07								
DUE 02/28/14								
25000.0000	Sale	01/07/13	10/04/13	278,875.00	250,000.00	0.00	28,875.00	
25000.0000	Sale	01/07/13	10/15/13	280,125.00	250,000.00	0.00	30,125.00	
25000.0000	Sale	01/07/13	10/16/13	281,125.00	250,000.00	0.00	31,125.00	
Security Subtotal				840,125.00	750,000.00	0.00	90,125.00	
CUSIP Number 06051N278								
SP500 STEPUP ISSUER BAC								
STEP 20.1% SV 1300 67								
DUE 07/26/13								
2053.0000	Sale	08/15/12	05/03/13	25,553.69	22,336.64	0.00	3,217.05	
10000.0000	Sale	08/27/12	05/03/13	124,470.00	109,580.00	0.00	14,970.00	
Security Subtotal				150,023.69	131,836.64	0.00	18,187.05	
CUSIP Number 06741L302								
SP500 STEPUP ISSUER BARC								
STEP 27.18% SV 1159 27								
DUE 08/23/13								
6500.0000	Sale	05/25/12	02/19/13	93,297.50	73,385.00	0.00	9,912.50	
13000.0000	Sale	09/25/12	02/19/13	166,594.99	164,580.00	0.00	2,014.99	
5500.0000	Sale	10/17/12	02/19/13	70,482.51	69,685.00	0.00	797.51	
19500.0000	Sale	10/17/12	03/01/13	248,449.50	247,065.00	0.00	1,384.50	
Security Subtotal				568,824.50	554,715.00	0.00	14,109.50	
CUSIP Number 06741L740								
SP500 STEPUP ISSUER BARC								
STEP 26% SV 1237 90								
DUE 10/25/13								
3935.0000	Sale	08/14/12	06/03/13	51,383.23	44,898.35	0.00	6,484.88	
CUSIP Number 722005584								
PHMCO COMMODITY REAL								
RETURNS STRATEGY FD CL A								
1.0000	Sale	03/02/12	02/15/13	6.51	6.85	0.00	(0.34)	
42613.0000	Sale	03/02/12	02/15/13	277,836.78	299,995.52	0.00	(22,158.74)	
1.0000	Sale	03/22/12	02/15/13	6.51	6.73	0.00	(0.22)	
701.0000	Sale	03/22/12	02/15/13	4,570.52	4,612.57	0.00	(42.05)	
495.0000	Sale	06/21/12	02/15/13	3,227.40	2,945.24	0.00	282.16	
524.0000	Sale	09/20/12	02/15/13	3,416.48	3,610.35	0.00	(193.87)	
1.0000	Sale	12/12/12	02/15/13	6.52	6.61	0.00	(0.09)	
267.0000	Sale	12/12/12	02/15/13	1,740.84	1,772.87	0.00	(32.03)	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PIMCO COMMODITY REAL RETURN STRATEGY FD CL A								
659 0000	Sale	12/12/12	02/15/13	4,296.69	4,375.76	0.00	(79.07)	
7480	Sale	12/27/12	02/15/13	4.87	4.95	0.00	(0.08)	
Security Subtotal				295,113.12	317,337.45	0.00	(22,224.33)	
PIMCO ALL ASSET AUTHORITY FUND CL A								
44247 0000	Sale	04/24/13	09/16/13	452,646.80	499,991.09	0.00	(47,344.29)	
9060	Sale	06/20/13	09/16/13	9.27	10.12	0.00	(0.85)	
311.0000	Sale	06/20/13	09/16/13	3,181.54	3,181.52	0.00	0.02	
Security Subtotal				455,837.61	503,182.73	0.00	(47,345.12)	
BLACKROCK EMERGING MKTS LONG SHORT EQ FD CL A								
0130	Sale	08/08/13	11/12/13	0.14	0.14	0.00	0.00	
WELLS FARGO ABSOLUTE RETURN FD CL A								
3840	Sale	04/29/13	09/23/13	3.90	3.92	0.00	(0.02)	
45126 0000	Sale	04/29/13	09/23/13	497,739.78	499,996.08	0.00	(2,256.30)	
Security Subtotal				497,743.68	500,000.00	0.00	(2,256.32)	
MAINSTAY MARKETFIELD FUND CL A								
22308 0000	Sale	03/21/13	11/07/13	399,982.44	381,243.72	0.00	18,738.72	
6500	Sale	03/21/13	11/07/13	11.66	0.00	0.00	11.66	
3290	Sale	07/11/13	11/07/13	5.89	5.75	0.00	0.14	
Security Subtotal				399,999.99	381,249.47	0.00	18,750.52	
OPPENHEIMER DEVELOPING MARKETS FD CL A								
9960	Sale	12/10/12	01/14/13	35.03	31.93	0.00	4.10	
36 0000	Sale	12/10/12	01/14/13	1,302.12	1,232.27	0.00	69.85	
Security Subtotal				1,338.15	1,264.20	0.00	73.95	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	PIMCO FOREIGN BOND							
	FD CL A (USD HEDGED)							
52	0000 Sale	07/02/12	07/01/13	546.52	563.67	0.00	(17.15)	
46	0000 Sale	07/31/12	07/01/13	483.46	506.92	0.00	(23.46)	
59	0000 Sale	08/31/12	07/01/13	525.50	555.49	0.00	(29.99)	
1	0000 Sale	10/01/12	07/01/13	10.51	11.02	0.00	(0.51)	
38	0000 Sale	10/01/12	07/01/13	399.38	429.78	0.00	(30.40)	
1	0000 Sale	10/31/12	07/01/13	10.51	11.31	0.00	(0.80)	
34	0000 Sale	10/31/12	07/01/13	357.34	384.87	0.00	(27.53)	
42	0000 Sale	11/30/12	07/01/13	441.42	479.21	0.00	(37.79)	
97	0000 Sale	12/12/12	07/01/13	1,019.47	1,064.08	0.00	(44.61)	
893	0000 Sale	12/12/12	07/01/13	9,385.44	9,796.20	0.00	(410.76)	
1	0000 Sale	12/27/12	07/01/13	10.51	10.98	0.00	(0.47)	
452	0000 Sale	12/31/12	07/01/13	4,750.52	4,868.04	0.00	(117.52)	
42	0000 Sale	01/31/13	07/01/13	441.42	453.17	0.00	(11.75)	
1	0000 Sale	01/31/13	07/01/13	10.51	10.77	0.00	(0.26)	
33	0000 Sale	02/28/13	07/01/13	346.83	355.40	0.00	(8.57)	
36	0000 Sale	03/28/13	07/01/13	378.36	389.88	0.00	(11.52)	
1	0000 Sale	03/28/13	07/01/13	10.51	10.86	0.00	(0.35)	
31	0000 Sale	03/28/13	07/01/13	325.81	337.90	0.00	(12.09)	
41	0000 Sale	04/30/13	07/01/13	430.91	449.35	0.00	(18.44)	
1	0000 Sale	05/31/13	07/01/13	10.51	10.91	0.00	(0.40)	
39	0000 Sale	05/31/13	07/01/13	409.90	418.47	0.00	(8.57)	
5960	0000 Sale	06/28/13	07/01/13	6.26	6.34	0.00	(0.08)	
	Security Subtotal			20,311.60	21,124.62	0.00	(813.02)	
	Covered Short Term Capital Gains and Losses Subtotal			7,769,404.67	7,671,889.28	0.00	97,515.39	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

GENERAL ELEC CAP CORP	CUSIP Number	36962G6S8						
170000.0000 Sale	08/16/13	12/30/13	160,753.70	159,788.10	0.00	965.60		
GENERAL ELEC CAP CORP	CUSIP Number	36962G2T0						
200000.0000 Sale	08/23/13	12/30/13	229,451.00	226,536.21	0.00	2,914.79		
ILLINOIS ST PENSION	CUSIP Number	452151LD3						
OLD TAXABLE								
JUN03 04.350% JUN01 18								
90000.0000 Sale	12/03/12	04/24/13	94,162.50	95,771.83	0.00	(1,609.33)		



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ILLINOIS ST TAXABLE								
		CUSIP Number		452152HR5				
MAR13 04 961%	MAR01 16	12/03/12	04/24/13	272,000.00	276,918.47	0.00	{4,918.47}	
NJ EDA ST PENSION FOG RV								
		CUSIP Number		645913AX2				
JUN97 00 000%	FEB15 19	12/03/12	04/24/13	109,179.20	109,495.04	0.00	{315.84}	
Non-covered Short Term Capital Gains and Losses Subtotal								
				865,546.40	868,509.65	0.00	(2,963.25)	
				8,634,951.07	8,540,398.93	0.00	94,552.14	

NET SHORT TERM CAPITAL GAINS AND LOSSES

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

EAFE STEPUP ISSUER BAC.								
		CUSIP Number		06051N706				
STEP 25.83 SV 1.553 31								
DUE 10/25/13	BUY 10%	09/05/12	10/25/13	70,464.80	55,832.00	0.00	14,632.80	
5600.0000 Redemption								
SP500 ARN ISSUER BAC								
		CUSIP Number		06051R642				
CAP 25.47% SV 1.360 02								
DUE 07/25/14		07/26/12	11/01/13	298,000.00	250,000.00	0.00	48,000.00	
25000.0000 Sale		07/26/12	11/01/13	59,600.00	59,000.00	0.00	9,600.00	
				357,600.00	309,000.00	0.00	57,600.00	
Security Subtotal								
SP500 STEPUP ISSUER BAC								
		CUSIP Number		06053D112				
STEP 24% SV 1399.48								
DUE 08/22/14		08/30/12	12/03/13	313,975.00	250,000.00	0.00	63,975.00	
25000.0000 Sale		08/30/12	12/10/13	315,700.00	250,000.00	0.00	65,700.00	
				629,675.00	500,000.00	0.00	129,675.00	
				Security Subtotal				



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 06053D542								
SP500 ARN ISSUER BAC								
CAP 19.77% SV 1,353.33								
DUE 10/31/14								
5000 0000	Sale	10/25/12	11/14/13	56,710.00	50,000.00	0.00	6,710.00	
5000 0000	Sale	10/25/12	11/14/13	56,710.00	50,000.00	0.00	6,710.00	
5000 0000	Sale	10/25/12	11/14/13	56,710.00	50,000.00	0.00	6,710.00	
5000 0000	Sale	10/25/12	11/14/13	56,710.00	50,000.00	0.00	6,710.00	
5000 0000	Sale	10/25/12	11/14/13	56,710.00	50,000.00	0.00	6,710.00	
Security Subtotal				283,550.00	250,000.00	0.00	33,550.00	
CUSIP Number 722005584								
PIMCO COMMODITY REAL								
RETURN STRATEGY FD CL A								
44378 0000	Sale	01/04/12	02/15/13	289,344.56	299,995.28	0.00	(10,650.72)	
CUSIP Number 091936724								
BLACKROCK EMERGING MKTS								
LONG SHORT EQ FD CL A								
98711 0000	Sale	01/03/12	11/12/13	104,928.73	99,993.23	0.00	4,935.50	
18351 0000	Sale	05/03/12	11/12/13	195,071.13	192,501.99	0.00	2,569.14	
Security Subtotal				299,999.86	292,495.22	0.00	7,504.64	
CUSIP Number 543487284								
LOOMIS SAYLES STRATEGIC								
INCOME FUND CLASS A								
6780	Sale	08/13/12	09/16/13	10.76	10.45	0.00	0.31	
CUSIP Number 693391245								
PIMCO FOREIGN BOND								
FD CL A (USD HEDGED)								
40 0000	Sale	02/01/12	07/01/13	420.40	431.80	14.40 (W)	9.00	
22959 0000	Sale	02/01/12	07/01/13	241,299.08	249,564.33	0.00	(8,265.25)	
35 0000	Sale	02/29/12	07/01/13	367.85	374.49	0.00	(6.64)	
48 0000	Sale	03/30/12	07/01/13	504.48	516.00	0.00	(11.52)	
44 0000	Sale	04/30/12	07/01/13	462.44	476.08	0.00	(13.64)	
1 0000	Sale	05/31/12	07/01/13	10.50	10.78	0.00	(0.28)	
53 0000	Sale	05/31/12	07/01/13	557.03	575.04	0.00	(18.01)	
Security Subtotal				243,621.78	251,957.52	14.40	(8,375.34)	

Covered Long Term Capital Gains and Losses Subtotal

2,174,266.76

1,950,284.47

14.40

223,996.69



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2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
CUSIP Number 44181EB31								
WM HOUSEHOLD FIN CORP								
SER 1013								
VAR% DEC 10 2013								
25000 0000	Redemption	10/25/07	12/10/13	25,000.00	22,875.00	0.00	2,125.00	
SPS00 STEPUP ISSUER BARC								
STEP 17% SV 1283 50								
DUE 06/28/13								
25000 0000	Sale	06/23/11	05/06/13	311,675.00	250,000.00	0.00	61,675.00	
LOOMIS SAYLES STRATEGIC								
INCOME FUND CLASS A								
CUSIP Number 543487284								
2231 0000	Sale	02/17/09	09/16/13	35,405.97	24,496.38	0.00	10,909.59	
206 0000	Sale	02/24/09	09/16/13	3,269.21	2,154.75	0.00	1,114.46	
221 0000	Sale	03/24/09	09/16/13	3,507.26	2,278.51	0.00	1,228.75	
195 0000	Sale	04/28/09	09/16/13	3,094.64	2,102.09	0.00	992.55	
17507 0000	Sale	01/25/10	09/16/13	277,836.10	249,939.95	0.00	27,896.15	
11145 0000	Sale	05/04/10	09/16/13	176,871.17	161,268.15	0.00	15,603.02	
3080	Sale	05/04/10	09/16/13	4.88	4.45	0.00	0.43	
				499,989.23	442,304.28	0.00	57,684.95	
Security Subtotal								
OPPENHEIMER DEVELOPING								
MARKETS FO CL A								
CUSIP Number 683974109								
3047 0000	Sale	11/29/10	01/14/13	110,209.99	106,066.07	0.00	4,143.92	
1 0000	Sale	12/22/10	01/14/13	36.16	35.18	0.00	0.98	
15 0000	Sale	12/22/10	01/14/13	542.55	531.15	0.00	11.40	
1 0000	Sale	12/12/11	01/14/13	36.16	31.40	0.00	4.76	
212 0000	Sale	12/12/11	01/14/13	7,668.04	6,343.03	0.00	1,325.01	
5758 0000	Sale	12/13/11	01/14/13	208,266.88	169,976.16	0.00	38,290.72	
				326,759.78	282,982.99	0.00	43,776.79	
Security Subtotal								
TEMPLETON GLOBAL BOND								
FD CL A								
CUSIP Number 880208103								
1097 0000	Sale	01/12/09	02/06/13	14,732.71	12,856.83	0.00	1,875.88	
12776 0000	Sale	01/13/09	02/06/13	171,581.68	149,990.23	0.00	21,591.45	
136 0000	Sale	01/20/09	02/06/13	1,826.47	1,534.07	0.00	292.40	
143 0000	Sale	02/19/09	02/06/13	1,920.49	1,538.68	0.00	381.81	
143 0000	Sale	03/19/09	02/06/13	1,920.49	1,515.83	0.00	374.66	
134 0000	Sale	04/11/09	02/06/13	1,799.62	1,543.68	0.00	255.94	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TEMPLETON GLOBAL BOND								
FD CL A								
4186 0000	Sale	05/29/09	02/06/13	56,217.99	50,608.73	0.00	5,609.26	
0410	Sale	06/18/10	02/06/13	0.55	0.33	0.00	0.02	
4085 0000	Sale	05/29/09	09/16/13	53,064.14	49,387.65	0.00	3,676.49	
1 0000	Sale	06/03/09	09/16/13	12.99	11.93	0.00	1.06	
19008 0000	Sale	12/09/08	09/16/13	246,913.93	245,393.27	0.00	1,520.66	
4820	Sale	12/09/09	09/16/13	6.26	6.22	0.00	0.04	
2060	Sale	05/18/10	09/16/13	2.67	2.69	0.00	(0.02)	
Security Subtotal				549,999.99	514,420.34	0.00	35,579.65	

Noncovered Long Term Capital Gains and Losses Subtotal 1,713,424.00 1,512,582.61 0.00 200,841.39

NET LONG TERM CAPITAL GAINS AND LOSSES 3,887,690.76 3,462,867.08 14.40 424,838.08

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES

12,522,641.83

12,522,641.83

(w) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
97,515.39	(2,963.25)	223,996.69	200,841.39



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2013 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

Your Supplemental Tax Information Statement that follows is a summary of the gains and losses on your Widely Held Fixed Investment Trust (WHFIT) securities. This section includes your pro-rata share of principal payments made by Real Estate Mortgage Investment Conduits (REMICS) and Collateralized Debt Obligations (CDOs).

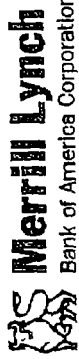
The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
FHLMO G0 1391 07%2032 3694								
AMORTIZED VALUE								
AMORTIZED FACTOR 0.01390								
	Prin Payment	02/15/13	02/15/13	25.50	25.50	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	26.02	26.02	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	24.67	24.67	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	23.89	23.89	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	35.37	35.37	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	31.73	31.73	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	27.83	27.83	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	25.41	25.41	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	25.45	25.45	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	18.20	18.20	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	23.73	23.73	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	16.76	16.76	0.00	0.00	
	Security Subtotal			304.56	304.56	0.00	0.00	
FNMA P545556 07%2032								
	Prin Payment	02/25/13	31385JBZ0 02/25/13	17.75	17.75	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	22.99	22.99	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	24.02	24.02	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	36.77	36.77	0.00	0.00	



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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA P545556	079%2032	CUSIP Number	31385JBZ0					
	Prin Payment	06/25/13	06/25/13	13.02	13.02	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	16.63	16.63	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	15.39	15.39	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	17.12	17.12	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	15.54	15.54	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	21.54	21.54	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	14.35	14.35	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	17.53	17.53	0.00	0.00	
	Security Subtotal			232.65	232.65	0.00	0.00	
FHLMC G1 1267 06 50%2017		CUSIP Number	31283KMQ8					
	Prin Payment	02/15/13	02/15/13	21.95	21.95	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	24.75	24.75	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	25.71	25.71	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	25.70	25.70	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	17.99	17.99	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	23.57	23.57	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	21.26	21.26	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	28.10	28.10	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	24.33	24.33	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	17.07	17.07	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	14.99	14.99	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	17.58	17.58	0.00	0.00	
	Security Subtotal			263.00	263.00	0.00	0.00	
FNMA P545819 06 50%2032		CUSIP Number	31385JK80					
	Prin Payment	02/25/13	02/25/13	57.74	57.74	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	50.17	50.17	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	68.81	68.81	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	46.07	46.07	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	45.55	45.55	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	50.56	50.56	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	52.84	52.84	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	42.86	42.86	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	31.80	31.80	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	37.56	37.56	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	32.36	32.36	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	27.47	27.47	0.00	0.00	
	Security Subtotal			543.79	543.79	0.00	0.00	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
FHLMC CO 1080	08%2030	CUSIP Number	31292HVF1					
	Prin Payment	02/15/13	02/15/13	18.33	18.33	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	129.83	129.83	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	18.32	18.32	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	18.34	18.34	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	18.44	18.44	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	325.86	325.86	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	554.06	554.06	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	96.14	96.14	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	16.70	16.70	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	87.74	87.74	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	16.76	16.76	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	391.37	391.37	0.00	0.00	
				1,691.89	1,691.89	0.00	0.00	
Security Subtotal								
FNMA P555299	07%2017	CUSIP Number	31385W3G2					
	Prin Payment	02/25/13	02/25/13	207.48	207.48	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	185.10	185.10	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	207.22	207.22	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	219.13	219.13	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	190.64	190.64	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	184.56	184.56	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	171.86	171.86	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	182.43	182.43	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	172.72	172.72	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	176.31	176.31	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	153.19	153.19	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	172.11	172.11	0.00	0.00	
				2,222.75	2,222.75	0.00	0.00	
Security Subtotal								
FHLMC CO 1094	09%2030	CUSIP Number	31292HGB4					
	Prin Payment	02/15/13	02/15/13	15.88	15.88	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	16.01	16.01	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	16.25	16.25	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	16.29	16.29	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	16.41	16.41	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	16.54	16.54	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	16.67	16.67	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	16.77	16.77	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	16.91	16.91	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	17.04	17.04	0.00	0.00	



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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
09%2030								
HLMC C0 1094	Prin Payment	12/16/13	31292HGB4	17.28	17.28	0.00	0.00	
	Prin Payment	01/15/14		17.31	17.31	0.00	0.00	
	Security Subtotal			199.36	199.36	0.00	0.00	
07%2030								
GNM P002998M	Prin Payment	02/20/13	36202DKK6	34.62	34.62	0.00	0.00	
	Prin Payment	03/20/13		6.60	6.60	0.00	0.00	
	Prin Payment	04/22/13		2.48	2.48	0.00	0.00	
	Prin Payment	05/20/13		13.98	13.98	0.00	0.00	
	Prin Payment	06/20/13		14.22	14.22	0.00	0.00	
	Prin Payment	07/22/13		30.27	30.27	0.00	0.00	
	Prin Payment	08/20/13		9.49	9.49	0.00	0.00	
	Prin Payment	09/20/13		9.72	9.72	0.00	0.00	
	Prin Payment	10/21/13		2.40	2.40	0.00	0.00	
	Prin Payment	11/20/13		2.72	2.72	0.00	0.00	
	Prin Payment	12/20/13		2.41	2.41	0.00	0.00	
	Prin Payment	01/21/14		10.27	10.27	0.00	0.00	
	Security Subtotal			139.18	139.18	0.00	0.00	
07 50%2030								
GNM P003011M	Prin Payment	02/20/13	36202DKY6	13.90	13.90	0.00	0.00	
	Prin Payment	03/20/13		10.22	10.22	0.00	0.00	
	Prin Payment	04/22/13		4.96	4.96	0.00	0.00	
	Prin Payment	05/20/13		37.58	37.58	0.00	0.00	
	Prin Payment	06/20/13		5.05	5.05	0.00	0.00	
	Prin Payment	07/22/13		23.11	23.11	0.00	0.00	
	Prin Payment	08/20/13		20.26	20.26	0.00	0.00	
	Prin Payment	09/20/13		20.02	20.02	0.00	0.00	
	Prin Payment	10/21/13		27.82	27.82	0.00	0.00	
	Prin Payment	11/20/13		55.53	55.53	0.00	0.00	
	Prin Payment	12/20/13		25.73	25.73	0.00	0.00	
	Prin Payment	01/21/14		29.18	29.18	0.00	0.00	
	Security Subtotal			273.36	273.36	0.00	0.00	
07%2029								
GNM P505731X	Prin Payment	02/15/13	36210XY43	5.38	5.38	0.00	0.00	
	Prin Payment	03/15/13		5.42	5.42	0.00	0.00	
	Prin Payment	04/15/13		5.45	5.45	0.00	0.00	
	Prin Payment	05/15/13		473.04	473.04	0.00	0.00	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
36210XY43								
GNM P505731X	07%2029 Prin Payment	06/17/13	06/17/13	4.28	4.28	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	4.46	4.46	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	4.34	4.34	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	4.36	4.36	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	4.55	4.55	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	4.41	4.41	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	4.44	4.44	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	4.43	4.43	0.00	0.00	
	Security Subtotal			524.56	524.56	0.00	0.00	
36202AWC7								
GNM P000643M	09%2016 Prin Payment	02/20/13	02/20/13	5.10	5.10	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	5.14	5.14	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	5.14	5.14	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	5.18	5.18	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	5.26	5.26	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	5.16	5.16	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	4.79	4.79	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	4.83	4.83	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	4.87	4.87	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	4.91	4.91	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	4.95	4.95	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	5.01	5.01	0.00	0.00	
	Security Subtotal			60.34	60.34	0.00	0.00	
3128GGTR4								
FHLMC EB 0560 07 50%2015	Prin Payment	02/15/13	02/15/13	65.20	65.20	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	72.30	72.30	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	69.44	69.44	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	66.52	66.52	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	73.79	73.79	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	70.85	70.85	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	69.13	69.13	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	81.70	81.70	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	163.61	163.61	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	69.92	69.92	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	74.69	74.69	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	67.08	67.08	0.00	0.00	
	Security Subtotal			944.23	944.23	0.00	0.00	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 36202DHL8								
GNM P002935M	08%2030 Prin Payment	02/20/13	02/20/13	1.95	1.95	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	4.43	4.43	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	25.29	25.29	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	1.85	1.85	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	1.85	1.85	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	9.72	9.72	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	1.98	1.98	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	10.13	10.13	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	18.70	18.70	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	8.18	8.18	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	7.69	7.69	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	4.94	4.94	0.00	0.00	
	Security Subtotal			96.71	96.71	0.00	0.00	
CUSIP Number 31384VZ21								
FNMA P335461	07%2015 Prin Payment	02/25/13	02/25/13	20.88	20.88	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	21.48	21.48	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	25.52	25.52	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	25.47	25.47	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	19.50	19.50	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	27.92	27.92	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	17.48	17.48	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	21.94	21.94	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	17.05	17.05	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	19.15	19.15	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	15.83	15.83	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	19.99	19.99	0.00	0.00	
	Security Subtotal			252.21	252.21	0.00	0.00	
CUSIP Number 31292HEY6								
FHLMC C0 1051	08%2030 Prin Payment	02/15/13	02/15/13	177.86	177.86	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	19.14	19.14	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	164.75	164.75	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	58.70	58.70	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	21.65	21.65	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	19.99	19.99	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	81.94	81.94	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	19.55	19.55	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	92.73	92.73	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	408.77	408.77	0.00	0.00	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FHLMC C0 1051	08%2030 Prin Payment Prin Payment	CUSIP Number 12/16/13 01/15/14	31292HEY6 12/16/13 01/15/14	51.57 290.17 1,406.82	51.57 290.17 1,406.82	0.00 0.00 0.00	0.00 0.00 0.00	
Security Subtotal				1,406.82	1,406.82	0.00	0.00	
GNN P000571M 09 50%2016	Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment	CUSIP Number 02/20/13 03/20/13 04/22/13 05/20/13 06/20/13 07/22/13 08/20/13 09/20/13 10/21/13 11/20/13 12/20/13 01/21/14	36202AT49 02/20/13 03/20/13 04/22/13 05/20/13 06/20/13 07/22/13 08/20/13 09/20/13 10/21/13 11/20/13 12/20/13 01/21/14	14.53 14.65 14.77 14.90 15.02 15.15 15.28 15.40 18.47 15.68 15.81 15.95 185.61	14.53 14.65 14.77 14.90 15.02 15.15 15.28 15.40 18.47 15.68 15.81 15.95 185.61	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	
Security Subtotal				185.61	185.61	0.00	0.00	
FHLMC 1505 Q 07.000% MAY15 23	Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment Prin Payment	CUSIP Number 01/15/13 02/15/13 03/15/13 04/15/13 05/15/13 06/17/13 07/15/13 08/15/13 09/16/13 10/15/13 11/15/13 12/16/13	312915ZZ7 01/15/13 02/15/13 03/15/13 04/15/13 05/15/13 06/17/13 07/15/13 08/15/13 09/16/13 10/15/13 11/15/13 12/16/13	69.38 111.33 52.65 42.82 91.09 68.96 183.73 67.06 256.86 165.53 77.71 67.06 1,254.18	69.38 111.33 52.65 42.82 91.09 68.96 183.73 67.06 256.86 165.53 77.71 67.06 1,254.18	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00	
Security Subtotal				1,254.18	1,254.18	0.00	0.00	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 312915BB6								
FHLMC 1486 D	CMO 1993 07.000%APR15 23	01/15/13	01/15/13	114.21	114.21	0.00	0.00	
	Prin Payment	02/15/13	02/15/13	361.87	361.87	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	170.81	170.81	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	129.35	129.35	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	97.50	97.50	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	185.69	185.69	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	168.52	168.52	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	147.43	147.43	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	118.15	118.15	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	80.87	80.87	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	83.66	83.66	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	209.25	209.25	0.00	0.00	
	Security Subtotal			1,867.31	1,867.31	0.00	0.00	
CUSIP Number 312912MV7								
FHLMC 1392 D	CMO 1992 07.000%OC15 22	01/15/13	01/15/13	19.92	19.92	0.00	0.00	
	Prin Payment	02/15/13	02/15/13	31.93	31.93	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	26.34	26.34	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	43.92	43.92	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	88.28	88.28	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	23.00	23.00	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	48.20	48.20	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	95.80	95.80	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	57.30	57.30	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	32.90	32.90	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	17.31	17.31	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	32.82	32.82	0.00	0.00	
	Security Subtotal			517.72	517.72	0.00	0.00	
CUSIP Number 36202CH71								
GNNM P002054M	07%2025	02/20/13	02/20/13	21.32	21.32	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	91.29	91.29	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	20.11	20.11	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	101.32	101.32	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	178.32	178.32	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	19.39	19.39	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	19.97	19.97	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	99.45	99.45	0.00	0.00	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GNM P002054M 07%2025								
	Prin Payment	10/21/13	10/21/13	19.05	19.05	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	90.02	90.02	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	81.73	81.73	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	107.17	107.17	0.00	0.00	
	Security Subtotal			849.14	849.14	0.00	0.00	
GNM P413416X 07 50%2025								
	Prin Payment	02/15/13	02/15/13	26.64	26.64	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	26.83	26.83	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	27.00	27.00	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	27.18	27.18	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	27.36	27.36	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	27.63	27.63	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	27.73	27.73	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	28.54	28.54	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	42.27	42.27	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	28.38	28.38	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	28.57	28.57	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	28.76	28.76	0.00	0.00	
	Security Subtotal			346.89	346.89	0.00	0.00	
FHLMC D6 3413 07%2025								
	Prin Payment	02/15/13	02/15/13	18.13	18.13	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	18.24	18.24	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	18.35	18.35	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	18.47	18.47	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	18.58	18.58	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	19.02	19.02	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	18.81	18.81	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	18.93	18.93	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	19.05	19.05	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	19.17	19.17	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	19.29	19.29	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	19.41	19.41	0.00	0.00	
	Security Subtotal			225.45	225.45	0.00	0.00	



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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 31371KRJ2								
ENMA P254389 07 50%2022	Prin Payment	02/25/13	02/25/13	26.20	26.20	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	774.56	774.56	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	22.14	22.14	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	22.29	22.29	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	22.44	22.44	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	437.03	437.03	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	19.42	19.42	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	19.55	19.55	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	19.68	19.68	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	19.81	19.81	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	19.94	19.94	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	481.41	481.41	0.00	0.00	
	Security Subtotal			1,884.47	1,884.47	0.00	0.00	
CUSIP Number 31371JG65								
FNMA P253221 08%2030	Prin Payment	02/25/13	02/25/13	38.63	38.63	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	38.90	38.90	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	39.18	39.18	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	39.46	39.46	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	39.73	39.73	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	40.01	40.01	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	40.30	40.30	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	40.57	40.57	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	40.86	40.86	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	41.15	41.15	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	2,382.54	2,382.54	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	30.49	30.49	0.00	0.00	
	Security Subtotal			2,811.82	2,811.82	0.00	0.00	
CUSIP Number 36202DM65								
GNM P003081M 07%2031	Prin Payment	02/20/13	02/20/13	2.48	2.48	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	2.69	2.69	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	3.30	3.30	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	2.22	2.22	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	4.66	4.66	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	5.74	5.74	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	7.11	7.11	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	3.98	3.98	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	2.90	2.90	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	4.15	4.15	0.00	0.00	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
GNM P003081M	07%2031 Prin Payment	12/20/13	36202DM65	0.82	0.82	0.00	0.00	
	Prin Payment	01/21/14		1.57	1.57	0.00	0.00	
				41.62	41.62	0.00	0.00	
Security Subtotal								
GNM P781373X	08 50%2015 Prin Payment	02/15/13	36225BQ27	105.86	105.86	0.00	0.00	
	Prin Payment	03/15/13		95.72	95.72	0.00	0.00	
	Prin Payment	04/15/13		97.59	97.59	0.00	0.00	
	Prin Payment	05/15/13		99.31	99.31	0.00	0.00	
	Prin Payment	06/17/13		108.08	108.08	0.00	0.00	
	Prin Payment	07/15/13		106.94	106.94	0.00	0.00	
	Prin Payment	08/15/13		100.67	100.67	0.00	0.00	
	Prin Payment	09/16/13		111.26	111.26	0.00	0.00	
	Prin Payment	10/15/13		111.71	111.71	0.00	0.00	
	Prin Payment	11/15/13		126.03	126.03	0.00	0.00	
	Prin Payment	12/16/13		103.86	103.86	0.00	0.00	
	Prin Payment	01/15/14		105.04	105.04	0.00	0.00	
				1,272.07	1,272.07	0.00	0.00	
Security Subtotal								
FINMA P254283	08 50%2030 Prin Payment	02/25/13	31371KM81	63.82	63.82	0.00	0.00	
	Prin Payment	03/25/13		24.35	24.35	0.00	0.00	
	Prin Payment	04/25/13		82.20	82.20	0.00	0.00	
	Prin Payment	05/28/13		24.97	24.97	0.00	0.00	
	Prin Payment	06/25/13		25.55	25.55	0.00	0.00	
	Prin Payment	07/25/13		25.74	25.74	0.00	0.00	
	Prin Payment	08/26/13		79.56	79.56	0.00	0.00	
	Prin Payment	09/25/13		48.27	48.27	0.00	0.00	
	Prin Payment	10/25/13		26.74	26.74	0.00	0.00	
	Prin Payment	11/25/13		48.40	48.40	0.00	0.00	
	Prin Payment	12/26/13		13.06	13.06	0.00	0.00	
	Prin Payment	01/27/14		13.16	13.16	0.00	0.00	
				475.82	475.82	0.00	0.00	
Security Subtotal								
GNM P001842M	07%2022 Prin Payment	02/20/13	36202CBK8	51.46	51.46	0.00	0.00	
	Prin Payment	03/20/13		51.79	51.79	0.00	0.00	
	Prin Payment	04/22/13		52.12	52.12	0.00	0.00	
	Prin Payment	05/20/13		52.46	52.46	0.00	0.00	



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36202CBK8								
GNN P001842M	07%2022 Prin Payment	06/20/13	06/20/13	52.79	52.79	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	53.13	53.13	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	53.46	53.46	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	53.81	53.81	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	54.15	54.15	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	54.50	54.50	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	54.84	54.84	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	55.19	55.19	0.00	0.00	
	Security Subtotal			639.70	639.70	0.00	0.00	
36202CLR2								
GNN P002136M	07%2025 Prin Payment	02/20/13	02/20/13	12.67	12.67	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	64.89	64.89	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	13.60	13.60	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	26.40	26.40	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	11.99	11.99	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	36.10	36.10	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	29.00	29.00	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	33.69	33.69	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	51.52	51.52	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	26.81	26.81	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	88.79	88.79	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	11.62	11.62	0.00	0.00	
	Security Subtotal			407.08	407.08	0.00	0.00	
31384WEM8								
FNMA P535740	07%2015 Prin Payment	02/25/13	02/25/13	84.48	84.48	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	70.18	70.18	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	70.28	70.28	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	74.75	74.75	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	67.41	67.41	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	77.36	77.36	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	63.52	63.52	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	74.95	74.95	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	94.37	94.37	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	68.22	68.22	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	72.27	72.27	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	60.76	60.76	0.00	0.00	
	Security Subtotal			878.55	878.55	0.00	0.00	

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GNM P417426X 07 50%2026								
	Prin Payment	CUSIP Number 02/15/13	36206PVT4	14.42	14.42	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	14.52	14.52	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	14.62	14.62	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	14.85	14.85	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	14.81	14.81	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	14.91	14.91	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	122.53	122.53	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	14.69	14.69	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	14.79	14.79	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	14.89	14.89	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	14.98	14.98	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	15.09	15.09	0.00	0.00	
	Security Subtotal			285.10	285.10	0.00	0.00	
GNM P265606X 10%2019								
	Prin Payment	CUSIP Number 02/15/13	362193AF1	23.72	23.72	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	23.93	23.93	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	25.89	25.89	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	24.37	24.37	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	24.58	24.58	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	24.79	24.79	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	24.99	24.99	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	25.59	25.59	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	25.48	25.48	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	25.70	25.70	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	25.93	25.93	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	25.77	25.77	0.00	0.00	
	Security Subtotal			300.74	300.74	0.00	0.00	
FNMA P579444 07%2030								
	Prin Payment	CUSIP Number 02/25/13	31387BWD1	20.91	20.91	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	21.04	21.04	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	21.18	21.18	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	21.31	21.31	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	20.10	20.10	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	26.82	26.82	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	16.91	16.91	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	22.07	22.07	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	22.21	22.21	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	22.35	22.35	0.00	0.00	



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FNMA P579444 07%2030								
	Prin Payment	12/26/13	12/26/13	22.50	22.50	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	22.64	22.64	0.00	0.00	
	Security Subtotal			260.04	260.04	0.00	0.00	
GNM P001321M 07 50%2023								
	Prin Payment	02/20/13	02/20/13	11.32	11.32	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	10.65	10.65	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	10.71	10.71	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	261.46	261.46	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	9.23	9.23	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	93.98	93.98	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	8.88	8.88	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	9.69	9.69	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	9.01	9.01	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	9.10	9.10	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	79.05	79.05	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	8.75	8.75	0.00	0.00	
	Security Subtotal			521.83	521.83	0.00	0.00	
FNMA P253880 06 50%2016								
	Prin Payment	02/25/13	02/25/13	66.49	66.49	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	52.97	52.97	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	32.86	32.86	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	33.71	33.71	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	38.31	38.31	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	37.33	37.33	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	44.30	44.30	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	34.66	34.66	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	34.31	34.31	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	28.41	28.41	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	40.26	40.26	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	27.83	27.83	0.00	0.00	
	Security Subtotal			471.44	471.44	0.00	0.00	
GNM P002248M 07 50%2026								
	Prin Payment	02/20/13	02/20/13	47.46	47.46	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	104.29	104.29	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	55.10	55.10	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	35.07	35.07	0.00	0.00	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 36202CP98								
GNM P002248M 07 50%2026	Prin Payment	06/20/13	06/20/13	56.08	56.08	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	18.34	18.34	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	19.63	19.63	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	41.37	41.37	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	165.85	165.85	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	17.02	17.02	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	48.72	48.72	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	18.11	18.11	0.00	0.00	
	Security Subtotal			627.04	627.04	0.00	0.00	
CUSIP Number 31283KCZ9								
FHLMC G1 0988 06 50%2014	Prin Payment	02/15/13	02/15/13	8.30	8.30	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	9.15	9.15	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	9.68	9.68	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	9.01	9.01	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	6.58	6.58	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	6.60	6.60	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	6.11	6.11	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	7.84	7.84	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	6.02	6.02	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	6.10	6.10	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	7.00	7.00	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	6.03	6.03	0.00	0.00	
	Security Subtotal			88.42	88.42	0.00	0.00	
CUSIP Number 31386Y5S9								
FNMA P577857 06%2016	Prin Payment	02/25/13	02/25/13	27.32	27.32	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	27.47	27.47	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	27.62	27.62	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	27.78	27.78	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	1,046.08	1,046.08	0.00	0.00	
400000.0000	Security Subtotal			1,156.27	1,156.27	0.00	0.00	
CUSIP Number 31387UTN1								
FNMA P594657 07 50%2016	Prin Payment	02/25/13	02/25/13	4.05	4.05	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	4.06	4.06	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	4.12	4.12	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	4.17	4.17	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	4.16	4.16	0.00	0.00	

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FNMA P594657 07 50%2016								
	Prin Payment	07/25/13	07/25/13	4.22	4.22	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	4.27	4.27	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	4.26	4.26	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	4.32	4.32	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	4.36	4.36	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	4.39	4.39	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	4.45	4.45	0.00	0.00	
	Security Subtotal			50.83	50.83	0.00	0.00	
FNMA P650007 08 50%2031								
	Prin Payment	02/25/13	02/25/13	125.23	125.23	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	124.03	124.03	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	131.03	131.03	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	125.95	125.95	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	93.00	93.00	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	127.61	127.61	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	26,160.60	26,160.60	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	31.21	31.21	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	31.45	31.45	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	31.69	31.69	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	1,727.13	1,727.13	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	44.86	44.86	0.00	0.00	
	Security Subtotal			28,753.79	28,753.79	0.00	0.00	
FNMA P626490 09%2024								
	Prin Payment	02/25/13	02/25/13	236.81	236.81	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	238.87	238.87	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	240.81	240.81	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	242.67	242.67	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	244.64	244.64	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	246.64	246.64	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	248.66	248.66	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	250.68	250.68	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	252.73	252.73	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	254.79	254.79	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	256.88	256.88	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	261.68	261.68	0.00	0.00	
	Security Subtotal			2,975.86	2,975.86	0.00	0.00	

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GNM P408194X 07 50%2026								
	Prin Payment	CUSIP Number 02/15/13	02/15/13	4.43	4.43	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	4.41	4.41	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	4.49	4.49	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	5.23	5.23	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	4.50	4.50	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	4.58	4.58	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	4.59	4.59	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	4.62	4.62	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	4.65	4.65	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	4.68	4.68	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	4.71	4.71	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	4.74	4.74	0.00	0.00	
	Security Subtotal			55.63	55.63	0.00	0.00	
FNMA P601688 08%2031								
	Prin Payment	CUSIP Number 02/25/13	02/25/13	126.36	126.36	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	127.28	127.28	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	128.21	128.21	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	129.14	129.14	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	130.09	130.09	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	131.03	131.03	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	131.99	131.99	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	132.95	132.95	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	133.92	133.92	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	134.90	134.90	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	135.89	135.89	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	136.88	136.88	0.00	0.00	
	Security Subtotal			1,578.64	1,578.64	0.00	0.00	
FNMA P517128 07 50%2014								
	Prin Payment	CUSIP Number 02/25/13	02/25/13	61.23	61.23	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	61.64	61.64	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	62.04	62.04	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	62.46	62.46	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	52.68	52.68	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	48.65	48.65	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	25.52	25.52	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	25.70	25.70	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	25.86	25.86	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	26.04	26.04	0.00	0.00	



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CUSIP Number 31383YPM3								
FNMA P517128 07 50%2014	Prin Payment	12/26/13	12/26/13	26.21	26.21	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	26.38	26.38	0.00	0.00	
	Security Subtotal			504.41	504.41	0.00	0.00	
CUSIP Number 31404CQG8								
FNMA P764655 08%2028	Prin Payment	02/25/13	02/25/13	42.22	42.22	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	42.19	42.19	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	42.49	42.49	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	42.79	42.79	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	43.47	43.47	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	43.78	43.78	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	44.09	44.09	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	44.41	44.41	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	44.43	44.43	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	44.74	44.74	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	45.07	45.07	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	45.39	45.39	0.00	0.00	
	Security Subtotal			525.07	525.07	0.00	0.00	
CUSIP Number 36206YZF1								
GNM P425642X 07%2026	Prin Payment	02/15/13	02/15/13	7.02	7.02	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	7.06	7.06	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	7.11	7.11	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	7.15	7.15	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	7.20	7.20	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	7.24	7.24	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	1,877.17	1,877.17	0.00	0.00	
	Security Subtotal			1,919.95	1,919.95	0.00	0.00	
CUSIP Number 36202B5W1								
GNM P001761M 08%2024	Prin Payment	02/20/13	02/20/13	18.01	18.01	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	18.15	18.15	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	22.37	22.37	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	14.47	14.47	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	18.49	18.49	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	18.65	18.65	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	18.78	18.78	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	22.87	22.87	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	15.12	15.12	0.00	0.00	



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GMM P001761M 08%2024								
	Prin Payment	11/20/13	36202B5W1	23.66	23.66	0.00	0.00	
	Prin Payment	12/20/13	11/20/13	15.50	15.50	0.00	0.00	
	Prin Payment	01/21/14	12/20/13	19.72	19.72	0.00	0.00	
	Security Subtotal			225.79	225.79	0.00	0.00	
FNMA P562458 07%2016								
	Prin Payment	02/25/13	31386FZX6	112.24	112.24	0.00	0.00	
	Prin Payment	03/25/13	02/25/13	71.84	71.84	0.00	0.00	
	Prin Payment	04/25/13	03/25/13	72.69	72.69	0.00	0.00	
	Prin Payment	05/28/13	04/25/13	194.21	194.21	0.00	0.00	
	Prin Payment	06/25/13	05/28/13	82.92	82.92	0.00	0.00	
	Prin Payment	07/25/13	06/25/13	70.56	70.56	0.00	0.00	
	Prin Payment	08/26/13	07/25/13	71.55	71.55	0.00	0.00	
	Prin Payment	09/25/13	08/26/13	70.81	70.81	0.00	0.00	
	Prin Payment	10/25/13	09/25/13	71.32	71.32	0.00	0.00	
	Prin Payment	11/25/13	10/25/13	71.89	71.89	0.00	0.00	
	Prin Payment	12/26/13	11/25/13	71.63	71.63	0.00	0.00	
	Prin Payment	01/27/14	12/26/13	72.51	72.51	0.00	0.00	
	Security Subtotal			1,034.17	1,034.17	0.00	0.00	
GMM P781024X 07 50%2029								
	Prin Payment	02/15/13	36225BD54	33.98	33.98	0.00	0.00	
	Prin Payment	03/15/13	02/15/13	85.58	85.58	0.00	0.00	
	Prin Payment	04/15/13	03/15/13	33.60	33.60	0.00	0.00	
	Prin Payment	05/15/13	04/15/13	53.91	53.91	0.00	0.00	
	Prin Payment	06/17/13	05/15/13	62.03	62.03	0.00	0.00	
	Prin Payment	07/15/13	06/17/13	71.11	71.11	0.00	0.00	
	Prin Payment	08/15/13	07/15/13	78.34	78.34	0.00	0.00	
	Prin Payment	09/16/13	08/15/13	49.87	49.87	0.00	0.00	
	Prin Payment	10/15/13	09/16/13	38.59	38.59	0.00	0.00	
	Prin Payment	11/15/13	10/15/13	23.48	23.48	0.00	0.00	
	Prin Payment	12/16/13	11/15/13	42.52	42.52	0.00	0.00	
	Prin Payment	01/15/14	12/16/13	24.46	24.46	0.00	0.00	
	Security Subtotal			597.47	597.47	0.00	0.00	
GMM P003054M 07%2031								
	Prin Payment	02/20/13	36202DMB4	1.92	1.92	0.00	0.00	
	Prin Payment	03/20/13	02/20/13	22.40	22.40	0.00	0.00	
	Prin Payment	04/22/13	03/20/13	8.20	8.20	0.00	0.00	



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CUSIP Number 36202DMB4								
GMM P003054M	07%2031 Prin Payment	05/20/13	05/20/13	1.95	1.95	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	21.43	21.43	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	8.28	8.28	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	11.20	11.20	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	10.54	10.54	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	7.64	7.64	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	12.20	12.20	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	6.25	6.25	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	12.32	12.32	0.00	0.00	
	Security Subtotal			124.33	124.33	0.00	0.00	
CUSIP Number 36202DFH9								
GMM P002868M	08%2030 Prin Payment	02/20/13	02/20/13	3.96	3.96	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	4.21	4.21	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	19.64	19.64	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	4.45	4.45	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	24.07	24.07	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	21.81	21.81	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	29.74	29.74	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	4.53	4.53	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	25.92	25.92	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	4.06	4.06	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	23.98	23.98	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	24.70	24.70	0.00	0.00	
	Security Subtotal			191.07	191.07	0.00	0.00	
CUSIP Number 31294JXF0								
FHLMC E0 0678 06 50%2014	Prin Payment	02/15/13	02/15/13	38.29	38.29	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	42.56	42.56	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	38.91	38.91	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	32.34	32.34	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	34.53	34.53	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	28.83	28.83	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	28.55	28.55	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	28.73	28.73	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	27.66	27.66	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	26.13	26.13	0.00	0.00	



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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
FHLMC E0 0678 06 50%2014	Prin Payment	CUSIP Number 12/16/13	31294JXF0 12/16/13	22.65	22.65	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	22.39	22.39	0.00	0.00	
				371.57	371.57	0.00	0.00	
Security Subtotal								
GNM P003068M 06 50%2031	Prin Payment	CUSIP Number 02/20/13	36202DMR9 02/20/13	20.99	20.99	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	9.77	9.77	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	19.43	19.43	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	26.59	26.59	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	19.51	19.51	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	19.81	19.81	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	11.35	11.35	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	21.01	21.01	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	24.37	24.37	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	12.59	12.59	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	9.14	9.14	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	16.75	16.75	0.00	0.00	
				211.31	211.31	0.00	0.00	
Security Subtotal								
GNM P002247M 07%2026	Prin Payment	CUSIP Number 02/20/13	36202CP80 02/20/13	3.98	3.98	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	3.78	3.78	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	3.80	3.80	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	4.27	4.27	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	4.42	4.42	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	4.24	4.24	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	4.44	4.44	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	11.68	11.68	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	50.82	50.82	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	3.84	3.84	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	4.28	4.28	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	4.60	4.60	0.00	0.00	
				104.15	104.15	0.00	0.00	
Security Subtotal								
FNMA P252335 07%2029	Prin Payment	CUSIP Number 02/25/13	31371HHL5 02/25/13	143.88	143.88	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	130.06	130.06	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	42.37	42.37	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	24.32	24.32	0.00	0.00	



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FMA P252335	07%2029 Prin Payment	CUSIP Number	31371HHL5					
		06/25/13	06/25/13	313.80	313.80	0.00	0.00	
		07/25/13	07/25/13	23.43	23.43	0.00	0.00	
		08/26/13	08/26/13	115.02	115.02	0.00	0.00	
		09/25/13	09/25/13	124.78	124.78	0.00	0.00	
		10/25/13	10/25/13	129.11	129.11	0.00	0.00	
		11/25/13	11/25/13	187.16	187.16	0.00	0.00	
		12/26/13	12/26/13	21.23	21.23	0.00	0.00	
		01/27/14	01/27/14	21.67	21.67	0.00	0.00	
		Security Subtotal		1,276.83	1,276.83	0.00	0.00	
GNM P002340M	07%2026 Prin Payment	CUSIP Number	36202CS53					
		02/20/13	02/20/13	1.72	1.72	0.00	0.00	
		03/20/13	03/20/13	1.93	1.93	0.00	0.00	
		04/22/13	04/22/13	1.86	1.86	0.00	0.00	
		05/20/13	05/20/13	1.84	1.84	0.00	0.00	
		06/20/13	06/20/13	2.02	2.02	0.00	0.00	
		07/22/13	07/22/13	1.95	1.95	0.00	0.00	
		08/20/13	08/20/13	1.90	1.90	0.00	0.00	
		09/20/13	09/20/13	2.05	2.05	0.00	0.00	
		10/21/13	10/21/13	1.99	1.99	0.00	0.00	
GNM P002359M	07%2027 Prin Payment	CUSIP Number	36202CTQ6					
		02/20/13	02/20/13	22.89	22.89	0.00	0.00	
		03/20/13	03/20/13	25.17	25.17	0.00	0.00	
		04/22/13	04/22/13	112.65	112.65	0.00	0.00	
		05/20/13	05/20/13	82.87	82.87	0.00	0.00	
		06/20/13	06/20/13	138.39	138.39	0.00	0.00	
		07/22/13	07/22/13	25.01	25.01	0.00	0.00	
		08/20/13	08/20/13	114.12	114.12	0.00	0.00	
		09/20/13	09/20/13	20.32	20.32	0.00	0.00	
		10/21/13	10/21/13	18.45	18.45	0.00	0.00	
GNM P002359M	07%2027 Prin Payment	CUSIP Number	36202CTQ6					
		11/20/13	11/20/13	124.32	124.32	0.00	0.00	
		12/20/13	12/20/13	71.42	71.42	0.00	0.00	
		01/21/14	01/21/14	16.89	16.89	0.00	0.00	
		Security Subtotal		772.50	772.50	0.00	0.00	



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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
GMM P410456X 07 50%2028	Prin Payment	CUSIP Number 02/15/13	36206F5R9 02/15/13	20.20	20.20	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	18.91	18.91	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	20.46	20.46	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	20.60	20.60	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	19.31	19.31	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	19.44	19.44	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	19.57	19.57	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	19.70	19.70	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	19.83	19.83	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	19.96	19.96	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	20.10	20.10	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	20.23	20.23	0.00	0.00	
	Security Subtotal			238.31	238.31	0.00	0.00	
Security Subtotal								
GMM P462630X 07%2028	Prin Payment	CUSIP Number 02/15/13	36208V4P7 02/15/13	7.28	7.28	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	7.33	7.33	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	7.37	7.37	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	7.42	7.42	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	7.46	7.46	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	7.51	7.51	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	231.70	231.70	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	247.68	247.68	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	6.24	6.24	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	162.34	162.34	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	5.75	5.75	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	5.71	5.71	0.00	0.00	
	Security Subtotal			703.79	703.79	0.00	0.00	
Security Subtotal								
GMM P426620X 07 50%2026	Prin Payment	CUSIP Number 02/15/13	36207A4D1 02/15/13	4.14	4.14	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	4.17	4.17	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	4.20	4.20	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	4.22	4.22	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	4.25	4.25	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	4.28	4.28	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	4.31	4.31	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	4.34	4.34	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	4.37	4.37	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	4.40	4.40	0.00	0.00	

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GNM P426620X 07 50%2026								
	Prin Payment	12/16/13	36207A4D1	4.42	4.42	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	4.45	4.45	0.00	0.00	
	Security Subtotal			51.55	51.55	0.00	0.00	
ENMA P449334 07 50%2027								
	Prin Payment	02/25/13	31380TEF4	50.38	50.38	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	50.72	50.72	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	51.06	51.06	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	51.40	51.40	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	51.74	51.74	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	52.09	52.09	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	52.43	52.43	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	52.78	52.78	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	53.13	53.13	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	53.49	53.49	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	53.85	53.85	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	54.20	54.20	0.00	0.00	
	Security Subtotal			627.27	627.27	0.00	0.00	
GNM P002432M 07 50%2027								
	Prin Payment	02/20/13	36202CVZ3	3.87	3.87	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	3.85	3.85	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	21.38	21.38	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	3.78	3.78	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	14.16	14.16	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	6.83	6.83	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	27.15	27.15	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	16.58	16.58	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	5.84	5.84	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	3.67	3.67	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	3.88	3.88	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	3.74	3.74	0.00	0.00	
	Security Subtotal			114.73	114.73	0.00	0.00	
GNM P438629X 08%2027								
	Prin Payment	02/15/13	36207QHE0	3.49	3.49	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	3.52	3.52	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	3.54	3.54	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	3.57	3.57	0.00	0.00	



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08%2027								
GNM P438629X	Prin Payment	06/17/13	36207QHE0	3.59	3.59	0.00	0.00	
	Prin Payment	07/15/13		3.62	3.62	0.00	0.00	
	Prin Payment	08/15/13		3.64	3.64	0.00	0.00	
	Prin Payment	09/16/13		3.67	3.67	0.00	0.00	
	Prin Payment	10/15/13		3.69	3.69	0.00	0.00	
	Prin Payment	11/15/13		3.72	3.72	0.00	0.00	
	Prin Payment	12/16/13		3.75	3.75	0.00	0.00	
	Prin Payment	01/15/14		3.77	3.77	0.00	0.00	
	Security Subtotal			43.57	43.57	0.00	0.00	
07%2027								
GNM P002443M	Prin Payment	02/20/13	36202CWC3	3.00	3.00	0.00	0.00	
	Prin Payment	03/20/13		2.83	2.83	0.00	0.00	
	Prin Payment	04/22/13		3.14	3.14	0.00	0.00	
	Prin Payment	05/20/13		3.06	3.06	0.00	0.00	
	Prin Payment	06/20/13		2.91	2.91	0.00	0.00	
	Prin Payment	07/22/13		2.87	2.87	0.00	0.00	
	Prin Payment	08/20/13		2.89	2.89	0.00	0.00	
	Prin Payment	09/20/13		3.39	3.39	0.00	0.00	
	Prin Payment	10/21/13		3.20	3.20	0.00	0.00	
	Prin Payment	11/20/13		3.01	3.01	0.00	0.00	
	Prin Payment	12/20/13		3.00	3.00	0.00	0.00	
	Prin Payment	01/21/14		2.95	2.95	0.00	0.00	
	Security Subtotal			36.25	36.25	0.00	0.00	
07%2028								
GNM P469699X	Prin Payment	02/15/13	36209EX49	4.46	4.46	0.00	0.00	
	Prin Payment	03/15/13		4.28	4.28	0.00	0.00	
	Prin Payment	04/15/13		4.31	4.31	0.00	0.00	
	Prin Payment	05/15/13		131.98	131.98	0.00	0.00	
	Prin Payment	06/17/13		4.00	4.00	0.00	0.00	
	Prin Payment	07/15/13		4.17	4.17	0.00	0.00	
	Prin Payment	08/15/13		4.09	4.09	0.00	0.00	
	Prin Payment	09/16/13		122.67	122.67	0.00	0.00	
	Prin Payment	10/15/13		3.71	3.71	0.00	0.00	
	Prin Payment	11/15/13		5.23	5.23	0.00	0.00	
	Prin Payment	12/16/13		3.79	3.79	0.00	0.00	
	Prin Payment	01/15/14		3.80	3.80	0.00	0.00	
	Security Subtotal			296.49	296.49	0.00	0.00	

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3128FREN6								
FHLMC D8 1941	07%2027 Prin Payment	02/15/13	02/15/13	1.72	1.72	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	1.64	1.64	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	1.66	1.66	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	1.67	1.67	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	113.58	113.58	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	1.34	1.34	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	1.60	1.60	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	2.02	2.02	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	1.36	1.36	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	1.37	1.37	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	1.38	1.38	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	1.39	1.39	0.00	0.00	
	Security Subtotal			130.73	130.73	0.00	0.00	
36202C3M3								
GNM P002604M	07%2028 Prin Payment	02/20/13	02/20/13	37.52	37.52	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	55.59	55.59	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	13.27	13.27	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	66.15	66.15	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	43.82	43.82	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	8.06	8.06	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	33.74	33.74	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	36.59	36.59	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	10.95	10.95	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	7.82	7.82	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	8.00	8.00	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	8.19	8.19	0.00	0.00	
	Security Subtotal			329.70	329.70	0.00	0.00	
36202CXL2								
GNM P002483M	07%2027 Prin Payment	02/20/13	02/20/13	62.68	62.68	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	47.80	47.80	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	25.68	25.68	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	31.07	31.07	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	45.26	45.26	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	88.17	88.17	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	12.86	12.86	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	71.29	71.29	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	57.60	57.60	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	25.40	25.40	0.00	0.00	



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GNM P002483M								
	07%2027 Prin Payment	CUSIP Number 12/20/13	36202CXL2 12/20/13	12.70	12.70	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	124.91	124.91	0.00	0.00	
	Security Subtotal			605.42	605.42	0.00	0.00	
GNM P002496M								
	07%2027 Prin Payment	CUSIP Number 02/20/13	36202CXZ1 02/20/13	44.45	44.45	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	43.96	43.96	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	68.99	68.99	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	47.17	47.17	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	55.33	55.33	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	78.20	78.20	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	80.08	80.08	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	23.33	23.33	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	76.61	76.61	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	35.80	35.80	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	53.07	53.07	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	37.46	37.46	0.00	0.00	
	Security Subtotal			644.45	644.45	0.00	0.00	
GNM P002730M								
	07%2029 Prin Payment	CUSIP Number 02/20/13	36202DA76 02/20/13	119.01	119.01	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	13.86	13.86	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	97.35	97.35	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	37.78	37.78	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	15.32	15.32	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	13.63	13.63	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	52.78	52.78	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	13.51	13.51	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	13.99	13.99	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	89.85	89.85	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	42.37	42.37	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	41.71	41.71	0.00	0.00	
	Security Subtotal			551.16	551.16	0.00	0.00	
FINMA P417737								
	07%2028 Prin Payment	CUSIP Number 02/25/13	31379FBE3 02/25/13	20.20	20.20	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	20.32	20.32	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	20.45	20.45	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	20.58	20.58	0.00	0.00	

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FNMA P417737 07%2028								
	Prin Payment	CUSIP Number 06/25/13	31379FBE3	17.51	17.51	0.00	0.00	
	Prin Payment	07/25/13		17.62	17.62	0.00	0.00	
	Prin Payment	08/26/13		20.93	20.93	0.00	0.00	
	Prin Payment	09/25/13		21.07	21.07	0.00	0.00	
	Prin Payment	10/25/13		18.00	18.00	0.00	0.00	
	Prin Payment	11/25/13		21.31	21.31	0.00	0.00	
	Prin Payment	12/26/13		21.45	21.45	0.00	0.00	
	Prin Payment	01/27/14		21.58	21.58	0.00	0.00	
	Security Subtotal			241.02	241.02	0.00	0.00	
FNMA P632553 06 50%2032								
	Prin Payment	CUSIP Number 02/25/13	31389QWN4	8.50	8.50	0.00	0.00	
	Prin Payment	03/25/13		8.40	8.40	0.00	0.00	
	Prin Payment	04/25/13		7.88	7.88	0.00	0.00	
	Prin Payment	05/28/13		150.65	150.65	0.00	0.00	
	Prin Payment	06/25/13		121.73	121.73	0.00	0.00	
	Prin Payment	07/25/13		7.08	7.08	0.00	0.00	
	Prin Payment	08/26/13		9.19	9.19	0.00	0.00	
	Prin Payment	09/25/13		8.54	8.54	0.00	0.00	
	Prin Payment	10/25/13		7.19	7.19	0.00	0.00	
	Prin Payment	11/25/13		8.16	8.16	0.00	0.00	
	Prin Payment	12/26/13		7.34	7.34	0.00	0.00	
	Prin Payment	01/27/14		7.91	7.91	0.00	0.00	
	Security Subtotal			352.57	352.57	0.00	0.00	
FHLMC G0 1315 07%2031								
	Prin Payment	CUSIP Number 02/15/13	31263HN84	77.71	77.71	0.00	0.00	
	Prin Payment	03/15/13		43.55	43.55	0.00	0.00	
	Prin Payment	04/15/13		84.26	84.26	0.00	0.00	
	Prin Payment	05/15/13		60.05	60.05	0.00	0.00	
	Prin Payment	06/17/13		112.22	112.22	0.00	0.00	
	Prin Payment	07/15/13		61.00	61.00	0.00	0.00	
	Prin Payment	08/15/13		66.12	66.12	0.00	0.00	
	Prin Payment	09/16/13		44.99	44.99	0.00	0.00	
	Prin Payment	10/15/13		122.96	122.96	0.00	0.00	
	Prin Payment	11/15/13		54.39	54.39	0.00	0.00	
	Prin Payment	12/16/13		48.58	48.58	0.00	0.00	
	Prin Payment	01/15/14		45.67	45.67	0.00	0.00	
	Security Subtotal			821.50	821.50	0.00	0.00	



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FNMA P623758	06%2017 Prin Payment	CUSIP Number 02/25/13	31389E5T8 02/25/13	11.85	11.85	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	11.80	11.80	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	11.86	11.86	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	11.93	11.93	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	11.99	11.99	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	12.05	12.05	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	12.11	12.11	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	12.52	12.52	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	11.89	11.89	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	12.30	12.30	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	12.37	12.37	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	12.43	12.43	0.00	0.00	
	Security Subtotal			145.10	145.10	0.00	0.00	
FNMA P545395	08%2031 Prin Payment	CUSIP Number 02/25/13	31385H3C4 02/25/13	55.75	55.75	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	320.61	320.61	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	51.89	51.89	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	143.46	143.46	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	205.87	205.87	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	60.74	60.74	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	117.84	117.84	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	59.14	59.14	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	51.65	51.65	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	353.96	353.96	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	106.28	106.28	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	38.53	38.53	0.00	0.00	
	Security Subtotal			1,565.72	1,565.72	0.00	0.00	
FHLMC E8 8285 06 50%2017	Prin Payment	CUSIP Number 02/15/13	3128GRF20 02/15/13	32.63	32.63	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	32.81	32.81	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	32.98	32.98	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	33.16	33.16	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	33.33	33.33	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	34.75	34.75	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	33.75	33.75	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	33.93	33.93	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	34.09	34.09	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	34.26	34.26	0.00	0.00	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
FHLMC E8 8285 06 50%2017	Prin Payment	CUSIP Number 12/16/13	3128GRF20	34.41	34.41	0.00	0.00	
	Prin Payment	01/15/14	12/16/13	34.55	34.55	0.00	0.00	
				404.65	404.65	0.00	0.00	
Security Subtotal								
FNMA P630570	07%2032	CUSIP Number 02/25/13	31389NQX6	14.65	14.65	0.00	0.00	
	Prin Payment	03/25/13	02/25/13	11.13	11.13	0.00	0.00	
	Prin Payment	04/25/13	03/25/13	11.20	11.20	0.00	0.00	
	Prin Payment	05/28/13	04/25/13	11.27	11.27	0.00	0.00	
	Prin Payment	06/25/13	05/28/13	445.15	445.15	0.00	0.00	
	Prin Payment	07/25/13	06/25/13	14.09	14.09	0.00	0.00	
	Prin Payment	08/26/13	07/25/13	10.62	10.62	0.00	0.00	
	Prin Payment	09/25/13	08/26/13	14.28	14.28	0.00	0.00	
	Prin Payment	10/25/13	09/25/13	10.56	10.56	0.00	0.00	
	Prin Payment	11/25/13	10/25/13	10.62	10.62	0.00	0.00	
	Prin Payment	12/26/13	11/25/13	10.69	10.69	0.00	0.00	
	Prin Payment	01/27/14	12/26/13	10.76	10.76	0.00	0.00	
				575.02	575.02	0.00	0.00	
Security Subtotal								
FNMA P535776 06 50%2016	Prin Payment	CUSIP Number 02/25/13	31384WFR6	77.40	77.40	0.00	0.00	
	Prin Payment	03/25/13	02/25/13	88.76	88.76	0.00	0.00	
	Prin Payment	04/25/13	03/25/13	65.39	65.39	0.00	0.00	
	Prin Payment	05/28/13	04/25/13	67.22	67.22	0.00	0.00	
	Prin Payment	06/25/13	05/28/13	64.68	64.68	0.00	0.00	
	Prin Payment	07/25/13	06/25/13	90.58	90.58	0.00	0.00	
	Prin Payment	08/26/13	07/25/13	68.66	68.66	0.00	0.00	
	Prin Payment	09/25/13	08/26/13	112.29	112.29	0.00	0.00	
	Prin Payment	10/25/13	09/25/13	57.50	57.50	0.00	0.00	
	Prin Payment	11/25/13	10/25/13	57.15	57.15	0.00	0.00	
	Prin Payment	12/26/13	11/25/13	55.22	55.22	0.00	0.00	
	Prin Payment	01/27/14	12/26/13	66.10	66.10	0.00	0.00	
				870.95	870.95	0.00	0.00	
Security Subtotal								
FHLMC C5 5996	07%2031	CUSIP Number 02/15/13	31298SUV4	6.80	6.80	0.00	0.00	
	Prin Payment	03/15/13	02/15/13	6.89	6.89	0.00	0.00	
	Prin Payment	04/15/13	03/15/13	8.22	8.22	0.00	0.00	
	Prin Payment	05/15/13	04/15/13	6.93	6.93	0.00	0.00	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FHLMC C5 5996	07%2031	CUSIP Number	31298SUV4					
	Prin Payment	06/17/13	06/17/13	7.03	7.03	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	7.01	7.01	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	8.26	8.26	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	7.10	7.10	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	7.23	7.23	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	6.94	6.94	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	7.09	7.09	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	7.16	7.16	0.00	0.00	
	Security Subtotal			86.66	86.66	0.00	0.00	
FNMA P545551	08%2032	CUSIP Number	31385JBU1					
	Prin Payment	02/25/13	02/25/13	30.01	30.01	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	32.85	32.85	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	107.99	107.99	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	45.03	45.03	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	47.53	47.53	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	80.84	80.84	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	36.87	36.87	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	95.56	95.56	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	54.97	54.97	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	26.00	26.00	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	81.64	81.64	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	24.62	24.62	0.00	0.00	
	Security Subtotal			663.91	663.91	0.00	0.00	
FNMA P545765	06 50%2017	CUSIP Number	31385JJJ8					
	Prin Payment	02/25/13	02/25/13	19.40	19.40	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	16.29	16.29	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	21.24	21.24	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	17.76	17.76	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	20.98	20.98	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	17.64	17.64	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	17.62	17.62	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	22.94	22.94	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	15.22	15.22	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	15.82	15.82	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	18.07	18.07	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	24.94	24.94	0.00	0.00	
	Security Subtotal			227.92	227.92	0.00	0.00	



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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 31385H7B2								
	Prin Payment	02/25/13		352.55	352.55	0.00	0.00	
	Prin Payment	03/25/13		161.29	161.29	0.00	0.00	
	Prin Payment	04/25/13		53.50	53.50	0.00	0.00	
	Prin Payment	05/28/13		66.78	66.78	0.00	0.00	
	Prin Payment	06/25/13		29.30	29.30	0.00	0.00	
	Prin Payment	07/25/13		63.29	63.29	0.00	0.00	
	Prin Payment	08/26/13		30.08	30.08	0.00	0.00	
	Prin Payment	09/25/13		105.07	105.07	0.00	0.00	
	Prin Payment	10/25/13		53.77	53.77	0.00	0.00	
	Prin Payment	11/25/13		111.08	111.08	0.00	0.00	
	Prin Payment	12/26/13		45.04	45.04	0.00	0.00	
	Prin Payment	01/27/14		163.80	163.80	0.00	0.00	
	Security Subtotal			1,235.55	1,235.55	0.00	0.00	
CUSIP Number 36202DD65								
	Prin Payment	02/20/13		44.04	44.04	0.00	0.00	
	Prin Payment	03/20/13		24.72	24.72	0.00	0.00	
	Prin Payment	04/22/13		15.98	15.98	0.00	0.00	
	Prin Payment	05/20/13		17.24	17.24	0.00	0.00	
	Prin Payment	06/20/13		53.74	53.74	0.00	0.00	
	Prin Payment	07/22/13		19.79	19.79	0.00	0.00	
	Prin Payment	08/20/13		21.54	21.54	0.00	0.00	
	Prin Payment	09/20/13		38.11	38.11	0.00	0.00	
	Prin Payment	10/21/13		17.45	17.45	0.00	0.00	
	Prin Payment	11/20/13		17.48	17.48	0.00	0.00	
	Prin Payment	12/20/13		15.13	15.13	0.00	0.00	
	Prin Payment	01/21/14		15.56	15.56	0.00	0.00	
	Security Subtotal			300.78	300.78	0.00	0.00	
CUSIP Number 31371H4D7								
	Prin Payment	02/25/13		59.61	59.61	0.00	0.00	
	Prin Payment	03/25/13		69.51	69.51	0.00	0.00	
	Prin Payment	04/25/13		48.03	48.03	0.00	0.00	
	Prin Payment	05/28/13		49.37	49.37	0.00	0.00	
	Prin Payment	06/25/13		64.61	64.61	0.00	0.00	
	Prin Payment	07/25/13		68.00	68.00	0.00	0.00	
	Prin Payment	08/26/13		47.86	47.86	0.00	0.00	
	Prin Payment	09/25/13		49.09	49.09	0.00	0.00	
	Prin Payment	10/25/13		47.14	47.14	0.00	0.00	
	Prin Payment	11/25/13		53.79	53.79	0.00	0.00	

3NM P002825M 07 50%2029

3NM P252920

07%2014

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
FNMA P252920	07%2014 Prin Payment	12/26/13	12/26/13	44.10	44.10	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	61.66	61.66	0.00	0.00	
				662.77	662.77	0.00	0.00	
Security Subtotal								
GNM P002866M	07%2030 Prin Payment	02/20/13	02/20/13	10.48	10.48	0.00	0.00	
	Prin Payment	03/20/13	03/20/13	21.67	21.67	0.00	0.00	
	Prin Payment	04/22/13	04/22/13	51.73	51.73	0.00	0.00	
	Prin Payment	05/20/13	05/20/13	46.24	46.24	0.00	0.00	
	Prin Payment	06/20/13	06/20/13	23.91	23.91	0.00	0.00	
	Prin Payment	07/22/13	07/22/13	42.08	42.08	0.00	0.00	
	Prin Payment	08/20/13	08/20/13	17.44	17.44	0.00	0.00	
	Prin Payment	09/20/13	09/20/13	51.54	51.54	0.00	0.00	
	Prin Payment	10/21/13	10/21/13	4.37	4.37	0.00	0.00	
	Prin Payment	11/20/13	11/20/13	4.07	4.07	0.00	0.00	
	Prin Payment	12/20/13	12/20/13	18.09	18.09	0.00	0.00	
	Prin Payment	01/21/14	01/21/14	18.55	18.55	0.00	0.00	
				310.17	310.17	0.00	0.00	
Security Subtotal								
FHLMC GO 1317	07%2031 Prin Payment	02/15/13	02/15/13	121.45	121.45	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	196.26	196.26	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	60.18	60.18	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	40.67	40.67	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	156.77	156.77	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	76.92	76.92	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	181.92	181.92	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	109.89	109.89	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	83.64	83.64	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	59.93	59.93	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	35.97	35.97	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	48.87	48.87	0.00	0.00	
				1,172.47	1,172.47	0.00	0.00	
Security Subtotal								
FNMA P545195	07%2031 Prin Payment	02/25/13	02/25/13	9.28	9.28	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	6.35	6.35	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	9.38	9.38	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	7.28	7.28	0.00	0.00	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CUSIP Number 31385HUU4								
NMA P545195	07%2031 Prin Payment	06/25/13	06/25/13	5.89	5.89	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	3.90	3.90	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	13.89	13.89	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	3.25	3.25	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	5.20	5.20	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	4.46	4.46	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	3.74	3.74	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	3.01	3.01	0.00	0.00	
	Security Subtotal			75.63	75.63	0.00	0.00	
CUSIP Number 31384WCL2								
FNMA P535675	07%2016 Prin Payment	02/25/13	02/25/13	28.28	28.28	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	24.91	24.91	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	24.31	24.31	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	31.13	31.13	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	27.74	27.74	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	26.79	26.79	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	19.54	19.54	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	18.32	18.32	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	27.52	27.52	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	19.51	19.51	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	18.04	18.04	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	17.75	17.75	0.00	0.00	
	Security Subtotal			283.84	283.84	0.00	0.00	
CUSIP Number 36206XBT9								
GNM P424050X	07%2031 Prin Payment	02/15/13	02/15/13	0.36	0.36	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	0.36	0.36	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	0.37	0.37	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	0.37	0.37	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	0.37	0.37	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	0.37	0.37	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	0.38	0.38	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	0.38	0.38	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	0.38	0.38	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	0.38	0.38	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	0.45	0.45	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	0.39	0.39	0.00	0.00	
	Security Subtotal			4.56	4.56	0.00	0.00	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Noncovered Short Term Capital Gains and Losses Subtotal								
				82,214.30	82,214.30	0.00	0.00	
NET SHORT TERM CAPITAL GAINS AND LOSSES								
				82,214.30	82,214.30	0.00	0.00	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

SPDR GOLD TRUST		CUSIP Number	78463VT07					
1000.0000	Trust Asset Sale	01/23/09	01/07/13	52.53	28.16	0.00	24.37	
900.0000	Trust Asset Sale	12/30/09	01/07/13	47.26	31.49	0.00	15.77	
1000.0000	Trust Asset Sale	02/06/09	01/07/13	52.53	29.14	0.00	23.39	
800.0000	Trust Asset Sale	02/09/09	01/07/13	42.02	22.88	0.00	19.14	
300.0000	Trust Asset Sale	02/17/09	01/07/13	15.76	9.26	0.00	6.50	
1000.0000	Trust Asset Sale	01/23/09	02/21/13	54.28	30.36	0.00	23.92	
900.0000	Trust Asset Sale	12/30/09	02/21/13	48.84	33.95	0.00	14.89	
1000.0000	Trust Asset Sale	02/06/09	02/21/13	54.28	31.41	0.00	22.87	
800.0000	Trust Asset Sale	02/09/09	02/21/13	43.42	24.67	0.00	18.75	
300.0000	Trust Asset Sale	02/17/09	02/21/13	16.28	9.98	0.00	6.30	
1000.0000	Trust Asset Sale	01/23/09	03/12/13	52.73	29.18	0.00	23.55	
900.0000	Trust Asset Sale	12/30/09	03/12/13	47.46	32.63	0.00	14.83	
800.0000	Trust Asset Sale	02/06/09	03/12/13	52.73	30.19	0.00	22.54	
1000.0000	Trust Asset Sale	02/09/09	03/12/13	42.18	23.71	0.00	18.47	
300.0000	Trust Asset Sale	02/17/09	03/12/13	15.82	9.60	0.00	6.22	
1000.0000	Trust Asset Sale	01/23/09	04/09/13	54.00	30.20	0.00	23.80	
900.0000	Trust Asset Sale	12/30/09	04/09/13	48.60	33.77	0.00	14.83	
1000.0000	Trust Asset Sale	02/06/09	04/09/13	54.00	31.24	0.00	22.76	
800.0000	Trust Asset Sale	02/09/09	04/09/13	43.20	24.54	0.00	18.66	
300.0000	Trust Asset Sale	02/17/09	04/09/13	16.20	9.93	0.00	6.27	
1000.0000	Trust Asset Sale	12/30/09	05/10/13	50.15	38.54	0.00	11.61	
900.0000	Trust Asset Sale	01/23/09	05/10/13	55.73	34.46	0.00	21.27	
800.0000	Trust Asset Sale	02/06/09	05/10/13	55.73	35.65	0.00	20.08	
1000.0000	Trust Asset Sale	02/09/09	05/10/13	44.58	28.00	0.00	16.58	
300.0000	Trust Asset Sale	02/17/09	05/10/13	16.72	11.33	0.00	5.39	
1000.0000	Trust Asset Sale	12/30/09	06/17/13	43.87	34.72	0.00	9.15	
900.0000	Trust Asset Sale	01/23/09	06/17/13	48.74	31.05	0.00	17.69	
800.0000	Trust Asset Sale	02/06/09	06/17/13	48.74	32.12	0.00	16.62	
1000.0000	Trust Asset Sale	02/09/09	06/17/13	38.99	25.23	0.00	13.76	
300.0000	Trust Asset Sale	02/17/09	06/17/13	14.62	10.21	0.00	4.41	
900.0000	Trust Asset Sale	12/30/09	07/10/13	43.18	37.69	0.00	5.49	



Account No.
7AA-04000

Taxpayer No.
23-6395253

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LOUIS AND BESSIE STEIN FDN

2013 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

1099-B	1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

SPDR GOLD TRUST									
CUSIP Number 78463V107									
1000 0000	Trust Asset Sale	01/23/09	07/10/13	47.99	33.70	0.00	0.00	14.29	
1000 0000	Trust Asset Sale	02/06/09	07/10/13	47.99	34.87	0.00	0.00	13.12	
800 0000	Trust Asset Sale	02/09/09	07/10/13	38.39	27.39	0.00	0.00	11.00	
300 0000	Trust Asset Sale	02/17/09	07/10/13	14.40	11.08	0.00	0.00	3.32	
1000 0000	Sale	01/23/09	07/11/13	123,260.34	85,221.28	0.00	0.00	38,039.06	
1000 0000	Sale	02/06/09	07/11/13	123,260.35	88,161.24	0.00	0.00	35,099.11	
900 0000	Sale	12/30/09	07/17/13	111,087.31	95,298.75	0.00	0.00	15,788.56	
800 0000	Sale	02/09/09	07/17/13	98,744.27	69,246.85	0.00	0.00	29,497.42	
300 0000	Sale	02/17/09	07/17/13	37,029.10	28,023.60	0.00	0.00	9,005.50	
Security Subtotal				494,845.31	366,884.05	0.00	0.00	127,961.26	

Noncovered Long Term Capital Gains and Losses Subtotal	494,845.31	366,884.05	0.00	127,961.26
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NET LONG TERM CAPITAL GAINS AND LOSSES	494,845.31	366,884.05	0.00	127,961.26
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OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

FNMA P577857 06%2016									
CUSIP Number 31386Y5S9									
400000 0000	Sale	07/25/02	06/25/13	1,046.08	1,257.05	0.00	0.00	N/C	
GNM P425642X 07%2026									
CUSIP Number 36206YZF1									
247500 0000	Sale	05/20/04	08/15/13	1,877.17	2,008.55	0.00	0.00	N/C	

Other Transactions Subtotal	2,923.25	3,265.60	0.00	
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TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES	579,982.86			
TOTAL REPORTED SALES PROCEEDS	579,982.86			

N/C Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.

LOUIS AND BESSIE STEIN FDN

Account Number: 7AA-04000

24-Hour Assistance: (800) MERRILL
Access Code: 43-722-04000

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

November 30, 2013 - December 31, 2013

YOUR EMA ASSETS

GOVERNMENT AND AGENCY SECURITIES		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Description										
FHLMC EO 0678 06 50%2014		09/06/02	85,000	110.45	100.7700	105.63	(4.82)	.57	7	6.45
AMORTIZED FACTOR 0.001233170 AMORTIZED VALUE 104										
MOODY'S: *** S&P: *** CUSIP: 31294JXFO										
FNMA P517128 07 50%2014		12/09/04	644,000	258.08	100.2650	238.04	(19.04)	1.49	18	7.48
AMORTIZED FACTOR 0.000370200 AMORTIZED VALUE 238										
MOODY'S: *** S&P: *** CUSIP: 31383YPM3										
FHLMC G1 0988 06 50%2014		07/25/02	30,000	42.82	101.1856	40.64	(2.18)	22	3	6.42
AMORTIZED FACTOR 0.001338700 AMORTIZED VALUE 40										
MOODY'S: *** S&P: *** CUSIP: 31283KXZ9										
FNMA P252920 07%2014		09/06/02	205,355	415.07	101.6319	395.16	(19.91)	2.27	28	6.88
AMORTIZED FACTOR 0.001893380 AMORTIZED VALUE 388										
MOODY'S: *** S&P: *** CUSIP: 31371H4D7										



Account Number: 7AA-04000

LOUIS AND BESSIE STEIN FDN

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES (continued)									
Description	Quantity	Acquired	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
FHLMC E8 0580 07 50%2015	550,000	11/10/04	1,222.37	102.9498	1,159.84	(62.53)	7.04	85	7.28
AMORTIZED FACTOR 0.002048380 AMORTIZED VALUE 1,126									
MOODY'S: *** S&P: *** CUSIP: 3128GGIR4									
FNMA P535461 07%2015	120,000	03/26/03	235.96	102.4887	229.22	(6.74)	1.30	16	6.83
AMORTIZED FACTOR 0.001863810 AMORTIZED VALUE 223									
MOODY'S: *** S&P: *** CUSIP: 31384VZ21									
GNM P781373X 08 50%2015	405,000	11/03/04	1,532.51	102.1670	1,405.87	(126.64)	9.74	117	8.31
AMORTIZED FACTOR 0.003397660 AMORTIZED VALUE 1,376									
MOODY'S: *** S&P: *** CUSIP: 36225BQ27									
FNMA P535740 07%2015	140,000	07/30/02	336.94	103.4223	328.75	(8.19)	1.85	23	6.76
AMORTIZED FACTOR 0.002270480 AMORTIZED VALUE 317									
MOODY'S: *** S&P: *** CUSIP: 31384WEM8									
FNMA P535740 07%2015	50,000	09/03/02	120.33	103.4223	117.41	(2.92)	.66	8	6.76
AMORTIZED VALUE 113									
FNMA P535740 07%2015	213,000	02/06/03	514.42	103.4223	500.16	(14.26)	2.82	34	6.76
AMORTIZED VALUE 483									
Subtotal									
	403,000		971.69		946.32	(25.37)	5.33	65	6.76
FNMA P535675 07%2016	115,000	03/10/03	245.19	103.1840	237.56	(7.63)	1.34	17	6.78
AMORTIZED FACTOR 0.002001990 AMORTIZED VALUE 230									
MOODY'S: *** S&P: *** CUSIP: 31384WCL2									
FNMA P562458 07%2016	270,000	12/07/04	1,610.95	103.5291	1,547.84	(63.11)	8.72	105	6.76
AMORTIZED FACTOR 0.005537330 AMORTIZED VALUE 1,495									
MOODY'S: *** S&P: *** CUSIP: 31386FZX6									
FNMA P535776 06 50%2016	300,000	12/15/03	1,175.67	103.3354	1,148.82	(26.85)	6.02	73	6.29
AMORTIZED FACTOR 0.003705810 AMORTIZED VALUE 1,111									
MOODY'S: *** S&P: *** CUSIP: 31384WFR6									

LOUIS AND BESSIE STEIN FDN

Account Number: 7AA-04000

24-Hour Assistance: (800) MERRILL
Access Code: 43-722-04000

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
FNMA P594657 07 50%2016	09/11/02	270,000	2,376.20	102.5768	2,338.07	(38.13)	14.25	171	7.31
AMORTIZED FACTOR 0.008441980 AMORTIZED VALUE 2,279									
MOODY'S: *** S&P: *** CUSIP: 31387UTN1									
GNM P000571M 09 50%2016	05/22/96	200,000	194.01	105.8303	202.30	8.29	1.51	19	8.97
AMORTIZED FACTOR 0.000955790 AMORTIZED VALUE 191									
MOODY'S: *** S&P: *** CUSIP: 36202AT49									
GNM P000571M 09 50%2016	10/16/96	300,000	306.90	105.8303	303.45	(3.45)	2.27	28	8.97
AMORTIZED VALUE 286									
Subtotal									
		500,000	500.91		505.75	4.84	3.78	47	8.97
FNMA P253880 06 50%2016	09/06/02	78,728	720.12	104.7213	718.21	(1.91)	3.71	45	6.20
AMORTIZED FACTOR 0.008711400 AMORTIZED VALUE 685									
MOODY'S: *** S&P: *** CUSIP: 31371J6R0									
GNM P000643M 09%2016	10/27/86	150,000	155.62	106.2541	155.65	.03	1.10	14	8.47
AMORTIZED FACTOR 0.000976560 AMORTIZED VALUE 146									
MOODY'S: *** S&P: *** CUSIP: 36202AWC7									
FHLMC G1 1267 06 50%2017	01/16/03	38,209	400.40	105.0776	398.80	(1.60)	2.06	25	6.18
AMORTIZED FACTOR 0.009933000 AMORTIZED VALUE 379									
MOODY'S: *** S&P: *** CUSIP: 31283KMQ8									
FNMA P623758 06%2017	01/21/03	35,000	420.86	103.9327	413.64	(7.22)	1.99	24	5.77
AMORTIZED FACTOR 0.011370980 AMORTIZED VALUE 397									
MOODY'S: *** S&P: *** CUSIP: 31389E5T8									
FHLMC E8 8285 06 50%2017	09/29/03	90,000	484.51	100.8153	465.20	(19.31)	2.50	30	6.44
AMORTIZED FACTOR 0.005127090 AMORTIZED VALUE 461									
MOODY'S: *** S&P: *** CUSIP: 3128GRF20									
FNMA P545765 06 50%2017	10/28/02	30,000	418.21	105.3278	417.53	(0.68)	2.15	26	6.17
AMORTIZED FACTOR 0.013213690 AMORTIZED VALUE 396									
MOODY'S: *** S&P: *** CUSIP: 31385JJJ8									



Account Number: 7AA-04000

LOUIS AND BESSIE STEIN FDN

November 30, 2013 - December 31, 2013

YOUR EMA ASSETS

GOVERNMENT AND AGENCY SECURITIES (continued)	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Description	Acquired							
FNMA P555299 07%2017	05/19/04	2,722.04	104.4944	2,833.89	(88.35)	14.70	177	6.69
AMORTIZED FACTOR 0.009165140 AMORTIZED VALUE 2,520								
MOODY'S: *** S&P: *** CUSIP: 31385W3G2								
GNM P265606X 10%2019	03/24/03	1,729.89	111.3565	1,739.37	9.48	13.01	157	8.98
AMORTIZED FACTOR 0.001144310 AMORTIZED VALUE 1,561								
MOODY'S: *** S&P: *** CUSIP: 362193AF1								
FNMA P254389 07 50%2022	08/05/03	2,732.00	110.1592	2,858.18	124.18	16.20	195	6.80
AMORTIZED FACTOR 0.020742190 AMORTIZED VALUE 2,592								
MOODY'S: *** S&P: *** CUSIP: 31371KRJ2								
GNM P001842M 07%2022	03/03/03	4,979.33	102.0564	4,749.27	(230.06)	27.14	326	6.85
AMORTIZED FACTOR 0.011633940 AMORTIZED VALUE 4,653								
MOODY'S: *** S&P: *** CUSIP: 36202CBK8								
GNM P001321M 07 50%2023	01/28/03	1,230.46	111.3698	1,271.80	41.34	7.14	88	6.73
AMORTIZED FACTOR 0.004567830 AMORTIZED VALUE 1,141								
MOODY'S: *** S&P: *** CUSIP: 36202BPE9								
GNM P001761M 08%2024	12/10/04	2,826.25	115.6838	2,985.85	159.60	17.20	207	6.91
AMORTIZED FACTOR 0.005735660 AMORTIZED VALUE 2,581								
MOODY'S: *** S&P: *** CUSIP: 36202B5W1								
FNMA P626490 09%2024	01/22/04	28,800.13	104.0113	27,170.42	(1,629.71)	195.91	2,352	8.65
AMORTIZED FACTOR 0.034791910 AMORTIZED VALUE 26,122								
MOODY'S: *** S&P: *** CUSIP: 31389H7B8								
GNM P413416X 07 50%2025	12/10/04	6,854.43	112.5855	7,137.52	283.09	39.62	476	6.66
AMORTIZED FACTOR 0.012075520 AMORTIZED VALUE 6,339								
MOODY'S: *** S&P: *** CUSIP: 36206KGZ8								
GNM P002054M 07%2025	03/03/03	3,284.99	115.3471	3,541.15	256.26	47.91	215	6.06
AMORTIZED FACTOR 0.008672300 AMORTIZED VALUE 3,069								
MOODY'S: *** S&P: *** CUSIP: 36202CH71								

LOUIS AND BESSIE STEIN FDN

Account Number: 7AA-04000

24-Hour Assistance: (800) MERRILL
Access Code: 43-722-04000

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES * (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
FHLMC D6 3413 07%2025 AMORTIZED FACTOR 0.046406320 AMORTIZED VALUE 3,712 MOODY'S: *** S&P: *** CUSIP: 3128F2YJ8	09/23/02	80,000	3,888.85	106.5282	3,954.87	66.02	21.66	260	6.57
GNM P002136M 07%2025 AMORTIZED FACTOR 0.010218510 AMORTIZED VALUE 2,043 MOODY'S: *** S&P: *** CUSIP: 36202CLR2	03/04/03	200,000	2,186.76	113.9589	2,328.98	142.22	11.92	144	6.14
GNM P408194X 07 50%2026 AMORTIZED FACTOR 0.002190320 AMORTIZED VALUE 1,120 MOODY'S: *** S&P: *** CUSIP: 36206DNK9	06/07/04	511,542	1,215.68	101.2581	1,134.54	(81.14)	7.00	85	7.40
GNM P417426X 07 50%2026 AMORTIZED FACTOR 0.009252720 AMORTIZED VALUE 3,516 MOODY'S: *** S&P: *** CUSIP: 36206PVT4	08/04/03	380,000	3,779.73	114.5215	4,026.61	246.88	21.97	264	6.54
GNM P426620X 07 50%2026 AMORTIZED FACTOR 0.004480280 AMORTIZED VALUE 828 MOODY'S: *** S&P: *** CUSIP: 36207A4D1	11/11/03	185,000	886.87	100.3738	831.95	(54.92)	5.18	63	7.47
GNM P002247M 07%2026 AMORTIZED FACTOR 0.006274610 AMORTIZED VALUE 533 MOODY'S: *** S&P: *** CUSIP: 36202CP80	02/05/03	85,000	568.01	111.2874	593.54	25.53	3.11	38	6.29
GNM P002248M 07 50%2026 AMORTIZED FACTOR 0.007875050 AMORTIZED VALUE 3,622 MOODY'S: *** S&P: *** CUSIP: 36202CP98	03/04/03	460,000	3,903.27	115.7730	4,193.90	290.63	22.64	272	6.47
GNM P002340M 07%2026 AMORTIZED FACTOR 0.008284650 AMORTIZED VALUE 414 MOODY'S: *** S&P: *** CUSIP: 36202CS53	04/01/03	50,000	441.15	112.0441	484.12	22.97	2.42	29	6.24
GNM P002359M 07%2027 AMORTIZED FACTOR 0.010037310 AMORTIZED VALUE 3,513 MOODY'S: *** S&P: *** CUSIP: 36202CTQ6	03/04/03	350,000	3,758.97	115.8283	4,069.12	310.15	20.49	246	6.04



Account Number: 7AA-04000

LOUIS AND BESSIE STEIN FDN

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES (continued)	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
GNM P438629X 08%2027 06/14/01 100,000 AMORTIZED FACTOR 0.010517140 AMORTIZED VALUE 1,051 MOODY'S: *** S&P: *** CUSIP: 36207QHE0	100,000	1,114.81	101.0301	1,062.55	(52.26)	7.01	85	7.91
FNMA P449334 07 50%2027 12/08/03 500,000 AMORTIZED FACTOR 0.030269490 AMORTIZED VALUE 15,134 MOODY'S: *** S&P: *** CUSIP: 31380TEF4	500,000	15,929.32	102.5288	15,517.47	(411.85)	94.59	1,136	7.31
GNM P002432M 07 50%2027 01/06/03 100,000 AMORTIZED FACTOR 0.008274490 AMORTIZED VALUE 827 MOODY'S: *** S&P: *** CUSIP: 36202CVZ3	100,000	885.37	117.5767	972.89	87.52	5.17	63	6.37
GNM P002443M 07%2027 01/06/03 75,000 AMORTIZED FACTOR 0.007715610 AMORTIZED VALUE 578 MOODY'S: *** S&P: *** CUSIP: 36202CWC3	75,000	616.98	117.3559	679.10	62.12	3.38	41	5.96
FHLMC D8 1941 07%2027 01/21/03 300,000 AMORTIZED FACTOR 0.000460660 AMORTIZED VALUE 138 MOODY'S: *** S&P: *** CUSIP: 3128FREN6	300,000	146.84	102.5716	141.75	(5.09)	80	10	6.82
GNM P002483M 07%2027 03/04/03 247,000 AMORTIZED FACTOR 0.010120280 AMORTIZED VALUE 2,499 MOODY'S: *** S&P: *** CUSIP: 36202CXL2	247,000	2,674.69	118.7531	2,968.48	293.79	14.58	175	5.89
GNM P002496M 07%2027 03/04/03 257,000 AMORTIZED FACTOR 0.010965960 AMORTIZED VALUE 2,818 MOODY'S: *** S&P: *** CUSIP: 36202CXZ1	257,000	3,015.53	115.1106	3,244.11	228.58	16.44	198	6.08
FNMA P417737 07%2028 12/23/03 242,000 AMORTIZED FACTOR 0.019726470 AMORTIZED VALUE 4,773 MOODY'S: *** S&P: *** CUSIP: 31379FBE3	242,000	5,084.11	111.7086	5,332.75	248.64	27.85	335	6.26
GNM P410456X 07 50%2028 11/25/02 57,000 AMORTIZED FACTOR 0.100225650 AMORTIZED VALUE 5,712 MOODY'S: *** S&P: *** CUSIP: 36206F5R9	57,000	6,127.04	113.4218	6,479.63	352.59	35.71	429	6.61

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LOUIS AND BESSIE STEIN FDN

Account Number: 7AA-04000

24-Hour Assistance: (800) MERRILL
Access Code: 43-722-04000

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
GMM P462630X 07%2028 01/28/03 55,000									
AMORTIZED FACTOR 0.029218750 AMORTIZED VALUE 1,607									
MOODY'S: *** S&P: *** CUSIP: 36208V4P7									
GMM P002604M 07%2028 10/13/04 178,000									
AMORTIZED FACTOR 0.009527010 AMORTIZED VALUE 1,695									
MOODY'S: *** S&P: *** CUSIP: 36202C3M3									
FNMA P764655 08%2028 01/06/05 65,000									
AMORTIZED FACTOR 0.116117520 AMORTIZED VALUE 7,547									
MOODY'S: *** S&P: *** CUSIP: 31404CQ38									
GMM P469699X 07%2028 08/06/02 90,000									
AMORTIZED FACTOR 0.011914860 AMORTIZED VALUE 1,072									
MOODY'S: *** S&P: *** CUSIP: 36209EX49									
FNMA P252335 07%2029 10/22/03 300,000									
AMORTIZED FACTOR 0.018995820 AMORTIZED VALUE 5,698									
MOODY'S: *** S&P: *** CUSIP: 31371HHL5									
GMM P781024X 07 50%2029 11/22/04 250,000									
AMORTIZED FACTOR 0.011644600 AMORTIZED VALUE 2,911									
MOODY'S: *** S&P: *** CUSIP: 36225BD54									
GMM P002730M 07%2029 04/26/04 200,000									
AMORTIZED FACTOR 0.016265420 AMORTIZED VALUE 3,253									
MOODY'S: *** S&P: *** CUSIP: 36202DA76									
GMM P505731X 07%2029 01/08/03 75,000									
AMORTIZED FACTOR 0.017039010 AMORTIZED VALUE 1,277									
MOODY'S: *** S&P: *** CUSIP: 36210XY43									
GMM P002825M 07 50%2029 06/29/01 70,000									
AMORTIZED FACTOR 0.005780040 AMORTIZED VALUE 404									
MOODY'S: *** S&P: *** CUSIP: 36202DD65									



LOUIS AND BESSIE STEIN FDN

Account Number: 7AA-04000

YOUR EMA ASSETS

GOVERNMENT AND AGENCY SECURITIES, (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
GNM P002825M 07 50%2029	11/11/03	250,000	1,558.73	119.8569	1,731.94	173.21	9.03	109	6.25
AMORTIZED VALUE 1,445									
Subtotal		320,000	1,861.45		2,216.88	355.43	11.56	140	6.25
GNM P002866M 07%2030	01/08/03	115,000	993.47	116.1284	1,083.29	89.82	5.44	66	6.02
AMORTIZED FACTOR 0.008111670 AMORTIZED VALUE 932									
MOODY'S: *** S&P: *** CUSIP: 36202DFH3									
GNM P002868M 08%2030	12/16/02	212,000	1,214.60	119.2322	1,337.71	123.11	7.48	90	6.71
AMORTIZED FACTOR 0.005292590 AMORTIZED VALUE 1,122									
MOODY'S: *** S&P: *** CUSIP: 36202DFH9									
FNMA P253221 08%2030	11/17/04	1,200,000	2,766.31	102.4719	2,588.76	(177.55)	16.84	203	7.80
AMORTIZED FACTOR 0.002105260 AMORTIZED VALUE 2,526									
MOODY'S: *** S&P: *** CUSIP: 31371JG65									
GNM P002935M 08%2030	09/04/02	100,000	563.64	117.5328	620.67	56.93	3.52	43	6.80
AMORTIZED FACTOR 0.005279980 AMORTIZED VALUE 528									
MOODY'S: *** S&P: *** CUSIP: 36202DHL8									
FHLMC CO 1051 08%2030	10/13/03	975,000	4,315.64	111.4485	4,483.89	168.05	26.70	321	7.17
AMORTIZED FACTOR 0.004107930 AMORTIZED VALUE 4,005									
MOODY'S: *** S&P: *** CUSIP: 31292HEY6									
FHLMC CO 1080 08%2030	01/07/04	1,000,000	6,494.58	113.0715	6,729.77	235.19	39.67	477	7.07
AMORTIZED FACTOR 0.005951780 AMORTIZED VALUE 5,951									
MOODY'S: *** S&P: *** CUSIP: 31292HFV1									
FHLMC CO 1094 09%2030	12/18/03	396,000	2,618.31	116.0105	2,773.98	155.67	17.93	216	7.75
AMORTIZED FACTOR 0.006038250 AMORTIZED VALUE 2,391									
MOODY'S: *** S&P: *** CUSIP: 31292HGB4									
FNMA P254283 08 50%2030	11/24/04	150,000	2,510.24	114.8439	2,620.78	110.54	16.16	194	7.40
AMORTIZED FACTOR 0.015213600 AMORTIZED VALUE 2,282									
MOODY'S: *** S&P: *** CUSIP: 31371KM81									

LOUIS AND BESSIE STEIN FDN

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YOUR EMA ASSETS

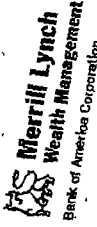
November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
GNM P002998M 07%2030 08/20/02 100,000									
AMORTIZED FACTOR 0.007279120 AMORTIZED VALUE 727									
MOODY'S: *** S&P: *** CUSIP: 36202DKK6									
FNMA P579444 07%2030 06/02/04 100,000									
AMORTIZED FACTOR 0.032135530 AMORTIZED VALUE 3,213									
MOODY'S: *** S&P: *** CUSIP: 31387BWD1									
GNM P003011M 07 50%2030 12/07/04 250,000									
AMORTIZED FACTOR 0.006504930 AMORTIZED VALUE 1,626									
MOODY'S: *** S&P: *** CUSIP: 36202DKY6									
FHLMC C5 5996 07%2031 12/27/04 250,000									
AMORTIZED FACTOR 0.005919710 AMORTIZED VALUE 1,479									
MOODY'S: *** S&P: *** CUSIP: 31298SUV4									
FNMA P601688 08%2031 11/13/03 822,821									
AMORTIZED FACTOR 0.010977680 AMORTIZED VALUE 9,032									
MOODY'S: *** S&P: *** CUSIP: 31388DND6									
FNMA P650007 08 50%2031 10/11/04 1,250,000									
AMORTIZED FACTOR 0.008283050 AMORTIZED VALUE 10,353									
MOODY'S: *** S&P: *** CUSIP: 31390MDL5									
GNM P424050X 07%2031 11/29/02 44,000									
AMORTIZED FACTOR 0.003275510 AMORTIZED VALUE 144									
MOODY'S: *** S&P: *** CUSIP: 36206XB19									
GNM P003054M 07%2031 01/02/03 65,000									
AMORTIZED FACTOR 0.008817040 AMORTIZED VALUE 573									
MOODY'S: *** S&P: *** CUSIP: 36202DMB4									
GNM P003068M 06 50%2031 02/25/03 78,000									
AMORTIZED FACTOR 0.011756580 AMORTIZED VALUE 917									
MOODY'S: *** S&P: *** CUSIP: 36202DMR9									

LOUIS AND BESSIE STEIN FDN

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PRIVATE BANKING & INVESTMENT GROUP

Description	Quantity	Acquired	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	November 30, 2013 - December 31, 2013	
						Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
GMM P003081M 07%2031 AMORTIZED FACTOR 0.010981850 AMORTIZED VALUE 274 MOODY'S: *** S&P *** CUSIP: 36202DM65	25,000	11/29/02	287.59	116.9393	321.05	33.46	160
FHLMC GO 1315 07%2031 AMORTIZED FACTOR 0.007302070 AMORTIZED VALUE 2,701 MOODY'S: *** S&P *** CUSIP: 31283HN84	370,000	07/07/03	2,836.86	113.7092	3,072.16	235.30	15.76
FNMA P545195 07%2031 AMORTIZED FACTOR 0.007744150 AMORTIZED VALUE 216 MOODY'S: *** S&P *** CUSIP: 31385HU4	27,983	09/23/02	226.99	112.8235	244.49	17.50	126
FHLMC GO 1317 07%2031 AMORTIZED FACTOR 0.005114380 AMORTIZED VALUE 3,089 MOODY'S: *** S&P *** CUSIP: 31283HPA7	604,000	12/23/04	3,301.31	113.0702	3,492.84	191.53	16
FNMA P545395 08%2031 AMORTIZED FACTOR 0.012972680 AMORTIZED VALUE 6,486 MOODY'S: *** S&P *** CUSIP: 31385H3C4	506,000	11/24/04	7,070.11	115.8607	7,515.12	445.01	43.24
FNMA P632553 06 50%2032 AMORTIZED FACTOR 0.018067290 AMORTIZED VALUE 2,222 MOODY'S: *** S&P *** CUSIP: 31389QWN4	123,000	01/28/03	2,355.62	114.1020	2,535.86	180.04	12.04
FNMA P545490 07 50%2032 AMORTIZED FACTOR 0.011942910 AMORTIZED VALUE 4,180 MOODY'S: *** S&P *** CUSIP: 31385H7B2	350,000	06/04/03	4,508.99	114.6434	4,782.12	283.13	26.12
FNMA P630570 07%2032 AMORTIZED FACTOR 0.021174900 AMORTIZED VALUE 3,126 MOODY'S: *** S&P *** CUSIP: 31389NQX6	176,000	11/01/04	3,987.65	112.7993	4,203.78	216.13	314
FHLMC GO 1391 07%2032 AMORTIZED FACTOR 0.010885880 AMORTIZED VALUE 947 MOODY'S: *** S&P *** CUSIP: 31283HRL1	87,000	02/05/03	996.79	115.3300	1,092.26	95.47	552
							281
							620
							67
							606

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GOVERNMENT AND AGENCY SECURITIES (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Estimated Current Yield%
FNMA P545551 08%2032	12/03/04	175,000	3,303.67	118.6284	3,570.93	267.26	20.07	241	6.74
AMORTIZED FACTOR 0.017201050 AMORTIZED VALUE 3.010									
MOODY'S: *** S&P: *** CUSIP: 31385JB01									
FNMA P545556 07%2032	01/22/03	43,858	593.70	113.3552	837.18	43.48	3.28	40	6.17
AMORTIZED FACTOR 0.012816570 AMORTIZED VALUE 562									
MOODY'S: *** S&P: *** CUSIP: 31385JB20									
FNMA P545819 0650%2032	07/10/03	104,000	1,378.64	112.3770	1,496.88	118.24	7.21	87	5.78
AMORTIZED FACTOR 0.012807850 AMORTIZED VALUE 1,332									
MOODY'S: *** S&P: *** CUSIP: 31385JK80									
TOTAL		24,220,319	236,291.88		240,576.12	4,284.24	1,405.68	16,913	7.03
CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Estimated Current Yield%
Δ MORGAN STANLEY SER MTN GLB 05.625% SEP 23 2019	11/07/13	400,000	463,411.28	113.6690	454,678.00	(8,735.28)	6,125.00	22,500	4.94
MOODY'S: BAA2 S&P: A CUSIP: 61747VCJ2									
ORIGINAL UNIT/TOTAL COST: 116.1960/464,784.00									
Δ GOLDMAN SACHS GROUP INC SER GMTN GLB 05 375% MAR 15 2020	09/17/13	300,000	329,168.45	111.2130	333,639.00	4,470.55	4,747.91	16,125	4.83
MOODY'S: BAA1 S&P: A CUSIP: 38141EA58									
ORIGINAL UNIT/TOTAL COST: 110.1150/330,345.00									
Δ GOLDMAN SACHS GROUP INC ORIGINAL UNIT/TOTAL COST: 111.6490/139,561.25	09/23/13	125,000	139,024.53	111.2130	139,016.25	(8.28)	1,978.30	6,719	4.83
Δ GOLDMAN SACHS GROUP INC ORIGINAL UNIT/TOTAL COST: 111.9260/195,870.50	10/10/13	175,000	195,256.26	111.2130	194,622.75	(633.51)	2,769.62	9,407	4.83
Δ GOLDMAN SACHS GROUP INC ORIGINAL UNIT/TOTAL COST: 114.3060/228,612.00	10/29/13	200,000	227,925.36	111.2130	222,426.00	(5,499.36)	3,165.28	10,750	4.83
Subtotal		800,000	891,374.60		889,704.00	(1,670.60)	12,661.11	43,001	4.83



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CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ GENERAL ELEC CAP CORP SER MTN 05.550% MAY 04 2020 MOODY'S: A1 S&P: AA+ CUSIP: 36962G2T0 ORIGINAL UNIT/TOTAL COST: 113,9240/227,848.00	08/23/13	200,000	226,557.27	115.0730	230,146.00	3,588.73	1,757.50	11,100	4.82
Δ GENERAL ELEC CAP CORP ORIGINAL UNIT/TOTAL COST: 114,4950/326,310.75	09/16/13	285,000	324,702.94	115.0730	327,958.05	3,255.11	2,504.44	15,818	4.82
Δ GENERAL ELEC CAP CORP ORIGINAL UNIT/TOTAL COST: 117,4280/176,142.00	11/05/13	150,000	175,593.89	115.0730	172,609.50	(2,984.39)	1,318.13	8,325	4.82
Subtotal		635,000	726,854.10		730,713.55	3,859.45	5,580.07	35,243	4.82
Δ JPMORGAN CHASE & CO 04 250% OCT 15 2020 MOODY'S: A3 S&P: A CUSIP: 46625HHU7 ORIGINAL UNIT/TOTAL COST: 106,9690/427,876.00	10/04/13	400,000	427,059.57	106.0040	424,016.00	(3,043.57)	3,588.89	17,000	4.00
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 108,5400/108,540.00	10/22/13	100,000	108,335.70	106.0040	106,004.00	(2,331.70)	897.22	4,250	4.00
Subtotal		500,000	535,395.27		530,020.00	(5,375.27)	4,486.11	21,250	4.00
Δ KINDER MORGAN ENER PART 05.800% MAR 01 2021 MOODY'S: BAA2 S&P: BBB CUSIP: 494550BC9 ORIGINAL UNIT/TOTAL COST: 115,8690/150,829.70	10/22/13	130,000	150,171.62	111.0680	144,388.40	(5,783.22)	2,513.33	7,540	5.22
FHLMC CMO 1992 1392 D 07.000% OCT 15 22 AMORTIZED VALUE: 1,853 MOODY'S: *** S&P: *** CUSIP: 312912MVT	02/26/03	60,000	1,948.19	113.2782	2,098.45	150.26	10.81	130	6.18
GENERAL ELEC CAP CORP SER GMTN GLB 03.100% JAN 09 2023 MOODY'S: A1 S&P: AA+ CUSIP: 36962G6S8	08/16/13	170,000	159,788.10	94.8760	161,289.20	1,501.10	2,517.89	5,270	3.26
GENERAL ELEC CAP CORP	10/10/13	100,000	96,074.00	94.8760	94,876.00	(1,198.00)	1,481.11	3,100	3.26

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CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Estimated Current Yield%
GENERAL ELEC CAP CORP	10/15/13	100,000	96,550.00	94.8760	94,876.00	(1,674.00)	1,481.11	3,100	3.26
Subtotal		370,000	352,412.10		351,041.20	(1,370.90)	5,480.11	11,470	3.26
FHLMC CMO 1993	09/28/04	100,000	2,316.46	102.3808	2,285.89	(30.57)	13.02	157	6.83
1486 D 07.000%APR15 23 AMORTIZED VALUE 2,232									
MOODY'S: *** S&P: *** CUSIP: 312915BB6									
FHLMC CMO 1993	04/02/03	120,000	4,073.50	110.6576	4,313.53	240.03	22.74	273	6.32
1505 Q 07.000%MAY15 23 AMORTIZED VALUE 3,898									
MOODY'S: *** S&P: *** CUSIP: 312915ZZ7									
TOTAL		3,115,000	3,127,957.12		3,109,241.02	(18,716.10)	36,892.30	141,564	4.55

PART II LINE 10C

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
DJIA LIRN ISSUER BAC	MILGZ107/25/13		50,000	10.0000	500,000.00	9.9700	498,500.00	(1,500.00)		
PART 109 6% SV 15,555.61										
DUE 07/27/18 BUF 30%										
DJIA STEPUP ISSUER BAC	MILPMK 09/26/13		17,500	10.0000	175,000.00	10.3300	180,775.00	5,775.00		
STEP 16.00% SV 14,776.53										
9/23/2016										
R2000 ARN ISSUER BAC	MILGWR 02/21/13		40,000	10.0000	400,000.00	11.1900	447,600.00	47,600.00		
CAP 21 42% SV 895.84										
DUE 02/27/15										
SP500 STEPUP ISSUER BAC	MILPGH 08/30/12		15,000	10.0000	150,000.00	13.1100	196,650.00	46,650.00		
STEP 24% SV 1399.48										
DUE 08/22/14										



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EQUITIES (continued)	Symbol	Acquired	Quantity	Unit	Cost Basis	Total	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SP500 STEPUP ISSUER BAC	MLPKA	07/29/13	5,662	11.9030	67,394.79		12.8100	72,530.22	5,135.43		
STEP 18.8% SV 1415 95		08/05/13	15,540	12.0460	187,194.84		12.8100	199,067.40	11,872.56		
DUE 12/01/14											
Subtotal			15,780	12.0770	190,575.06		12.8100	202,141.80	11,566.74		
			36,982		445,164.69			473,739.42	28,574.73		
SP500 STEPUP ISSUER BAC	MLPKK	08/01/13	5,022	11.3319	56,909.30		12.1100	60,816.42	3,907.12		
STEP 16.8% SV 1494 82		08/28/13	4,130	10.9650	45,285.45		12.1100	50,014.30	4,728.85		
DUE 01/26/15											
Subtotal			9,152		102,194.75			110,830.72	8,635.97		
TOTAL					1,772,359.44			1,908,095.14	135,735.70		

PART II LINE 6B

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	Current Yield%
BLACKROCK EMERGING MKTS	37,713	400,518.58	10.4500	394,477.98	(6,040.60)	397,491	(3,013)		
LONG SHORT EQ FD CL A									
SYMBOL: BLSAX Initial Purchase: 05/03/12									
Alternative Investments 100%									
.4700 Fractional Share		4.90	10.4600	4.92	.02				
BLACKROCK STRATEGIC	49,030	505,354.49	10.1600	498,144.80	(7,209.69)	499,993	(1,848)	12,110	2.43
INCOME OPPS PTF A									
SYMBOL: BASIX Initial Purchase: 05/21/13									
Alternative Investments 100%									
.1110 Fractional Share		1.12	10.1600	1.13	.01			1	2.43
BLACKROCK GLOBAL	41,366	830,950.85	21.3300	882,336.78	51,386.23	769,922	112,414	11,252	1.27
ALLOCATION FD INC. A									
SYMBOL: MDLOX Initial Purchase: 02/28/12									
Fixed Income - 21% Equity 79%									
.2230 Fractional Share		4.64	21.3300	4.76	.12			1	1.27

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MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
EATON VANCE FLOATING RATE FUND CL A SYMBOL: EVBLX Initial Purchase:12/01/11 Fixed Income 100% .9730 Fractional Share	29,329	270,790.57	9.5000	278,625.50	7,834.93	249,991	28,634	10,176 3.65
EMERGING GLOBAL SHARES DOW JONES SYMBOL: ECON Initial Purchase:11/12/13 Equity 100%	10,000	264,499.19	26.8800	268,800.00	4,300.81	264,499	4,300	1,961 7.2
HARTFORD FLOATING RATE FUND CL A SYMBOL: HFLAX Initial Purchase:01/14/09 Fixed Income 100% .9670 Fractional Share	42,355 196	279,883.39 N/A	9.0300 9.0300	382,465.65 1,769.88	102,582.26 N/A	276,300	106,165	15,288 3.99 71 3.99
JP MORGAN STRATEGIC INCOME OPP FUND CL A SYMBOL: JSOAX Initial Purchase:07/10/09 Alternative Investments 100% .5970 Fractional Share	56,633	662,836.93	11.8600	671,667.38	8,830.45	559,890	111,776	15,912 2.36
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A SYMBOL: NEFZX Initial Purchase:05/04/10 Fixed Income 100% 6920 Fractional Share	45,084	688,715.46	16.3600	737,574.24	48,858.78	688,715	48,858	30,568 4.14
		10.01	16.3600	11.32	1.31			1 4.14

YOUR EMA ASSETS



Merrill Lynch
Wealth Management
Bank of America Corporation

PRIVATE BANKING &
INVESTMENT GROUP

Account Number: 7AA-04000									
MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)									
Description	FUND CL A	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
MAINSTAY MARKETFIELD									
FUND CL A		56,518	968,852.56	18.4700	1,043,887.46	75,034.90	968,744	75,142	105 .01
SYMBOL: MFADX Initial Purchase: 03/21/13									
Alternative Investments 100%									
9870 Fractional Share									
PIMCO UNCONSTRAINED BOND									
FUND CL A		23,495	17.45	18.4700	18.23	.78			
SYMBOL: PUBAX Initial Purchase: 07/01/13									
Alternative Investments 100%									
17240 Fractional Share									
PIMCO FOREIGN BOND									
FUND CL A (USD HEDGED)		40	8.07	11.0900	260,559.55	(4,683.62)	263,926	(3,366)	.01
SYMBOL: PFOAX Initial Purchase: REINV									
Fixed Income 100%									
3510 Fractional Share									
SPDR INDEX SHS FDS									
FUND CL A		6,000	3.74	10.5200	420.80	(13.99)	420	1	.45
SYMBOL: FEZ Initial Purchase: 11/14/13									
Equity 100%									
TEMPLETON GLOBAL BOND									
FUND CL A		52,587	243,903.24	42.2000	253,200.00	9,296.76	243,903	9,296	1.191
SYMBOL: TPINX Initial Purchase: 12/09/09									
Fixed Income 100%									
5180 Fractional Share									
WISDOMTREE EUROPE HEDGED									
FUND CL A		12,000	6.68	13.1400	890,993.18	(13,593.05)	704,572	(13,579)	2.72
SYMBOL: HEDJ Initial Purchase: 06/27/13									
Equity 100%									
602,640.00									
874,520.00									
71,880.00									
602,640									
71,880									
12,456									
1.84									

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MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
Equity 100%								
Subtotal (Fixed Income)				2,277,180.76				
Subtotal (Equities)				1,893,569.82				
Subtotal (Alternative Investments)				2,868,776.56				
TOTAL		16,689,290.31		7,039,527.14	348,466.95		547,079	143,226
INITIAL PURCHASE: DATE OF YOUR INITIAL INVESTMENT IN THIS FUND.								
UNREALIZED GAIN OR (LOSS): Estimated Market Value minus Total Cost Basis (total cost of shares purchased through reinvestment).								
CUMULATIVE INVESTMENT RETURN: Estimated Market Value minus Total Client Investment (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.								
MARKET TIMING: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.								

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	12,372,861.16	12,844,401.83	469,770.79	38,297.98	301,975	2.35

