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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

|                                                                                                                                                                                                                                                                                                           |  |                                                                                                                                                                                            |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Name of foundation<br>HANS S MANNHEIMER TRUST                                                                                                                                                                                                                                                             |  | <b>A Employer identification number</b><br><br>22-6161919                                                                                                                                  |  |
| Number and street (or P O box number if mail is not delivered to street address)<br>1525 W WT HARRIS BLVD D1114-044                                                                                                                                                                                       |  | <b>B Telephone number</b> (see instructions)<br><br>(336) 747-8203                                                                                                                         |  |
| City or town, state or province, country, and ZIP or foreign postal code<br>CHARLOTTE, NC 28262                                                                                                                                                                                                           |  | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>                                                                                                          |  |
| <b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |  | <b>D 1.</b> Foreign organizations, check here <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |  |
| <b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                   |  | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                       |  |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 11,599,981                                                                                                                                                               |  | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                                    |  |
| <b>J</b> Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.)                                                                                                                |  |                                                                                                                                                                                            |  |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) ) |                                                                                                      | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                             | 1 Contributions, gifts, grants, etc , received (attach schedule)                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 3 Interest on savings and temporary cash investments                                                 | 104                                | 104                       |                         |                                                             |
|                                                                                                                                                                     | 4 Dividends and interest from securities. . . . .                                                    | 252,712                            | 249,909                   |                         |                                                             |
|                                                                                                                                                                     | 5a Gross rents . . . . .                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | b Net rental income or (loss) _____                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 6a Net gain or (loss) from sale of assets not on line 10                                             | 154,714                            |                           |                         |                                                             |
|                                                                                                                                                                     | b Gross sales price for all assets on line 6a<br>3,856,758                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 7 Capital gain net income (from Part IV, line 2) . . .                                               |                                    | 154,714                   |                         |                                                             |
|                                                                                                                                                                     | 8 Net short-term capital gain . . . . .                                                              |                                    |                           | 0                       |                                                             |
|                                                                                                                                                                     | 9 Income modifications . . . . .                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 10a Gross sales less returns and allowances                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | b Less Cost of goods sold . . . . .                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | c Gross profit or (loss) (attach schedule) . . . . .                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 11 Other income (attach schedule) . . . . .                                                          | 15,549                             | 14,062                    |                         |                                                             |
|                                                                                                                                                                     | 12 <b>Total.</b> Add lines 1 through 11 . . . . .                                                    | 423,079                            | 418,789                   |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                               | 13 Compensation of officers, directors, trustees, etc                                                | 115,936                            | 65,214                    |                         | 50,722                                                      |
|                                                                                                                                                                     | 14 Other employee salaries and wages . . . . .                                                       |                                    | 0                         | 0                       | 0                                                           |
|                                                                                                                                                                     | 15 Pension plans, employee benefits . . . . .                                                        |                                    | 0                         | 0                       |                                                             |
|                                                                                                                                                                     | 16a Legal fees (attach schedule) . . . . .                                                           |                                    |                           |                         | 0                                                           |
|                                                                                                                                                                     | b Accounting fees (attach schedule) . . . . .                                                        | 1,000                              | 0                         | 0                       | 1,000                                                       |
|                                                                                                                                                                     | c Other professional fees (attach schedule) . . . . .                                                |                                    |                           |                         | 0                                                           |
|                                                                                                                                                                     | 17 Interest . . . . .                                                                                |                                    |                           |                         | 0                                                           |
|                                                                                                                                                                     | 18 Taxes (attach schedule) (see instructions)                                                        | 4,372                              | 4,372                     |                         | 0                                                           |
|                                                                                                                                                                     | 19 Depreciation (attach schedule) and depletion . . .                                                | 0                                  | 0                         |                         |                                                             |
|                                                                                                                                                                     | 20 Occupancy . . . . .                                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 21 Travel, conferences, and meetings . . . . .                                                       |                                    | 0                         | 0                       |                                                             |
|                                                                                                                                                                     | 22 Printing and publications . . . . .                                                               |                                    | 0                         | 0                       |                                                             |
|                                                                                                                                                                     | 23 Other expenses (attach schedule) . . . . .                                                        | 48                                 | 48                        |                         |                                                             |
|                                                                                                                                                                     | 24 <b>Total operating and administrative expenses.</b><br>Add lines 13 through 23 . . . . .          | 121,356                            | 69,634                    | 0                       | 51,722                                                      |
|                                                                                                                                                                     | 25 Contributions, gifts, grants paid . . . . .                                                       | 408,000                            |                           |                         | 408,000                                                     |
|                                                                                                                                                                     | 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25                                      | 529,356                            | 69,634                    | 0                       | 459,722                                                     |
|                                                                                                                                                                     | 27 Subtract line 26 from line 12                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | a <b>Excess of revenue over expenses and disbursements</b>                                           | -106,277                           |                           |                         |                                                             |
|                                                                                                                                                                     | b <b>Net investment income</b> (if negative, enter -0-)                                              |                                    | 349,155                   |                         |                                                             |
|                                                                                                                                                                     | c <b>Adjusted net income</b> (if negative, enter -0-)                                                |                                    |                           | 0                       |                                                             |

| Part II Balance Sheets      |                                                                                                                               | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )               | Beginning of year                                                                             | End of year    |                       |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------|-----------------------|
|                             |                                                                                                                               |                                                                                                                                   | (a) Book Value                                                                                | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                                             | Cash—non-interest-bearing . . . . .                                                                                               |                                                                                               |                |                       |
|                             | 2                                                                                                                             | Savings and temporary cash investments . . . . .                                                                                  | 447,950                                                                                       | 1,434,985      | 1,434,985             |
|                             | 3                                                                                                                             | Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                       |                                                                                               | 0              | 0                     |
|                             | 4                                                                                                                             | Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                        |                                                                                               |                |                       |
|                             | 5                                                                                                                             | Grants receivable . . . . .                                                                                                       |                                                                                               |                |                       |
|                             | 6                                                                                                                             | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                                                                                               |                |                       |
|                             | 7                                                                                                                             | Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____ 0                      |                                                                                               |                |                       |
|                             | 8                                                                                                                             | Inventories for sale or use . . . . .                                                                                             |                                                                                               |                |                       |
|                             | 9                                                                                                                             | Prepaid expenses and deferred charges . . . . .                                                                                   |                                                                                               |                |                       |
|                             | 10a                                                                                                                           | Investments—U S and state government obligations (attach schedule)                                                                |                                                                                               |                |                       |
|                             | b                                                                                                                             | Investments—corporate stock (attach schedule) . . . . .                                                                           | 5,638,437  | 5,186,939      | 7,104,675             |
|                             | c                                                                                                                             | Investments—corporate bonds (attach schedule). . . . .                                                                            | 353,843    | 2,964,499      | 3,060,321             |
|                             | 11                                                                                                                            | Investments—land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____               |                                                                                               |                |                       |
|                             | 12                                                                                                                            | Investments—mortgage loans . . . . .                                                                                              |                                                                                               |                |                       |
|                             | 13                                                                                                                            | Investments—other (attach schedule) . . . . .                                                                                     | 3,258,163                                                                                     |                | 0                     |
|                             | 14                                                                                                                            | Land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                           |                                                                                               |                |                       |
| 15                          | Other assets (describe ▶ _____)                                                                                               |                                                                                                                                   |                                                                                               |                |                       |
| 16                          | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)                                    | 9,698,393                                                                                                                         | 9,586,423                                                                                     | 11,599,981     |                       |
| Liabilities                 | 17                                                                                                                            | Accounts payable and accrued expenses . . . . .                                                                                   |                                                                                               |                |                       |
|                             | 18                                                                                                                            | Grants payable . . . . .                                                                                                          |                                                                                               |                |                       |
|                             | 19                                                                                                                            | Deferred revenue . . . . .                                                                                                        |                                                                                               |                |                       |
|                             | 20                                                                                                                            | Loans from officers, directors, trustees, and other disqualified persons                                                          |                                                                                               |                |                       |
|                             | 21                                                                                                                            | Mortgages and other notes payable (attach schedule) . . . . .                                                                     |                                                                                               |                |                       |
|                             | 22                                                                                                                            | Other liabilities (describe ▶ _____)                                                                                              |                                                                                               |                |                       |
|                             | 23                                                                                                                            | Total liabilities (add lines 17 through 22) . . . . .                                                                             |                                                                                               | 0              |                       |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                                                   |                                                                                               |                |                       |
|                             | 24                                                                                                                            | Unrestricted . . . . .                                                                                                            |                                                                                               |                |                       |
|                             | 25                                                                                                                            | Temporarily restricted . . . . .                                                                                                  |                                                                                               |                |                       |
|                             | 26                                                                                                                            | Permanently restricted . . . . .                                                                                                  |                                                                                               |                |                       |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.   |                                                                                                                                   |                                                                                               |                |                       |
|                             | 27                                                                                                                            | Capital stock, trust principal, or current funds . . . . .                                                                        | 9,698,393                                                                                     | 9,586,423      |                       |
|                             | 28                                                                                                                            | Paid-in or capital surplus, or land, bldg , and equipment fund                                                                    |                                                                                               |                |                       |
|                             | 29                                                                                                                            | Retained earnings, accumulated income, endowment, or other funds                                                                  |                                                                                               |                |                       |
|                             | 30                                                                                                                            | Total net assets or fund balances (see page 17 of the instructions) . . . . .                                                     | 9,698,393                                                                                     | 9,586,423      |                       |
|                             | 31                                                                                                                            | Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .                                        | 9,698,393                                                                                     | 9,586,423      |                       |

Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                    |   |           |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . . | 1 | 9,698,393 |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | -106,277  |
| 3 | Other increases not included in line 2 (itemize) ▶ _____                      | 3 | 93,994    |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 9,686,110 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____                            | 5 | 99,687    |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .                                                      | 6 | 9,586,423 |

Part IV Capital Gains and Losses for Tax on Investment Income

|                                                                                                                                   |                           |                                              |                                      |                                  |
|-----------------------------------------------------------------------------------------------------------------------------------|---------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) |                           | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
| 1a                                                                                                                                | See Additional Data Table |                                              |                                      |                                  |
| b                                                                                                                                 |                           |                                              |                                      |                                  |
| c                                                                                                                                 |                           |                                              |                                      |                                  |
| d                                                                                                                                 |                           |                                              |                                      |                                  |
| e                                                                                                                                 |                           |                                              |                                      |                                  |

|                             |                                            |                                                 |                                              |
|-----------------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| (e) Gross sales price       | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
| a See Additional Data Table |                                            |                                                 |                                              |
| b                           |                                            |                                                 |                                              |
| c                           |                                            |                                                 |                                              |
| d                           |                                            |                                                 |                                              |
| e                           |                                            |                                                 |                                              |

|                                                                                             |                                      |                                               |                                                                                              |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                               | (I) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |                                                                                              |
| a See Additional Data Table                                                                 |                                      |                                               |                                                                                              |
| b                                                                                           |                                      |                                               |                                                                                              |
| c                                                                                           |                                      |                                               |                                                                                              |
| d                                                                                           |                                      |                                               |                                                                                              |
| e                                                                                           |                                      |                                               |                                                                                              |

|   |                                                                                                                                                                                                              |                                                                                     |   |         |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---|---------|
| 2 | Capital gain net income or (net capital loss)                                                                                                                                                                | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 154,714 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br><br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-<br>in Part I, line 8 . . . . . |                                                                                     | 3 |         |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

|                                                                      |                                          |                                              |                                                           |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| (a)<br>Base period years Calendar<br>year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
| 2012                                                                 | 458,707                                  | 10,604,335                                   | 0 043257                                                  |
| 2011                                                                 | 459,513                                  | 10,597,481                                   | 0 043361                                                  |
| 2010                                                                 | 435,613                                  | 10,034,635                                   | 0 043411                                                  |
| 2009                                                                 | 450,805                                  | 8,911,215                                    | 0 050588                                                  |
| 2008                                                                 | 582,101                                  | 10,563,712                                   | 0 055104                                                  |

|   |                                                                                                                                                                                                                                  |   |            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 | Total of line 1, column (d). . . . .                                                                                                                                                                                             | 2 | 0 235721   |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by<br>the number of years the foundation has been in existence if less than 5 years . . . .                                            | 3 | 0 047144   |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .                                                                                                                                            | 4 | 11,208,143 |
| 5 | Multiply line 4 by line 3. . . . .                                                                                                                                                                                               | 5 | 528,397    |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). . . . .                                                                                                                                                              | 6 | 3,492      |
| 7 | Add lines 5 and 6. . . . .                                                                                                                                                                                                       | 7 | 531,889    |
| 8 | Enter qualifying distributions from Part XII, line 4. . . . .<br><br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See<br>the Part VI instructions | 8 | 459,722    |

| Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)                                  |                                                                     |    |       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|-------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1                              |                                                                     | 1  | 6,983 |
| Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)                                                          |                                                                     |    |       |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . . |                                                                     |    |       |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                     |                                                                     |    |       |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                  |                                                                     | 2  | 0     |
| 3 Add lines 1 and 2. . . . .                                                                                                                                |                                                                     | 3  | 6,983 |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                |                                                                     | 4  | 0     |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .                                                          |                                                                     | 5  | 6,983 |
| 6 Credits/Payments                                                                                                                                          |                                                                     |    |       |
| a                                                                                                                                                           | 2013 estimated tax payments and 2012 overpayment credited to 2013   | 6a | 4,684 |
| b                                                                                                                                                           | Exempt foreign organizations—tax withheld at source . . . . .       | 6b |       |
| c                                                                                                                                                           | Tax paid with application for extension of time to file (Form 8868) | 6c | 0     |
| d                                                                                                                                                           | Backup withholding erroneously withheld . . . . .                   | 6d |       |
| 7 Total credits and payments Add lines 6a through 6d. . . . .                                                                                               |                                                                     | 7  | 4,684 |
| 8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached                                          |                                                                     | 8  | 0     |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .                                                                   |                                                                     | 9  | 2,299 |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .                                                       |                                                                     | 10 |       |
| 11 Enter the amount of line 10 to be Credited to 2014 estimated tax 0 Refunded                                                                              |                                                                     | 11 | 0     |

| Part VII-A Statements Regarding Activities                                                                                                                                                                                                                       |  |    |     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                |  | 1a | No  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .                                                                                                |  | 1b | No  |
| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.                                                                    |  |    |     |
| c Did the foundation file Form 1120-POL for this year?. . . . .                                                                                                                                                                                                  |  | 1c | No  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$                                                                                                       |  |    |     |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$                                                                                                                        |  |    |     |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .                                                                                                                                                      |  | 2  | No  |
| If "Yes," attach a detailed description of the activities.                                                                                                                                                                                                       |  |    |     |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                           |  | 3  | No  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .                                                                                                                                                          |  | 4a | No  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .                                                                                                                                                                                      |  | 4b |     |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .                                                                                                                                                       |  | 5  | No  |
| If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                                                |  |    |     |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either                                                                                                                                                               |  |    |     |
| • By language in the governing instrument, or                                                                                                                                                                                                                    |  |    |     |
| • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                                                                           |  | 6  | Yes |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.                                                                                                                             |  | 7  | Yes |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ                                                                                                                                                         |  |    |     |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .                                                  |  | 8b | Yes |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . . |  | 9  | No  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.                                                                                                                           |  | 10 | No  |

Part VII-A

Statements Regarding Activities *(continued)*

|                                                                                                                               |                                                                                                                                                                                           |    |     |    |
|-------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11                                                                                                                            | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).  | 11 |     | No |
| 12                                                                                                                            | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  | 12 |     | No |
| 13                                                                                                                            | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | 13 | Yes |    |
| Website address                                                                                                               |                                                                                                                                                                                           |    |     |    |
| 14                                                                                                                            | The books are in care of WELLS FARGO BANK NA Telephone no (336) 747-8203<br>Located at 1 W 4TH ST 2ND FLOOR WINSTON SALEM NC ZIP +4 271013818                                             |    |     |    |
| 15                                                                                                                            | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.       | 15 |     |    |
| 16                                                                                                                            | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country |                                                                                                                                                                                           |    |     |    |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |    |     |    |
|-----------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |    | Yes | No |
| 1a                                                                                      | During the year did the foundation (either directly or indirectly)<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person?<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?<br>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ).<br>b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.<br>c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?<br>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))<br>a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?<br>If "Yes," list the years 20 , 20 , 20 , 20<br>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).<br>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20<br>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?<br>b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).<br>4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?<br>b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 1b |     | No |
|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 1c |     | No |
|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2b |     | No |
|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 3b |     |    |
|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 4a |     | No |
|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 4b |     | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

|                                                                                                                                                                                                                                  |  |                              |                                        |    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------|----------------------------------------|----|
| 5a During the year did the foundation pay or incur any amount to                                                                                                                                                                 |  |                              |                                        |    |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?                                                                                                                                        |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?                                                                              |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?                                                                                                                                               |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| (4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).                                                       |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?                                                            |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? |  | 5b                           |                                        |    |
| Organizations relying on a current notice regarding disaster assistance check here.                                                                                                                                              |  |                              |                                        |    |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?                                                                     |  | <input type="checkbox"/> Yes | <input type="checkbox"/> No            |    |
| If "Yes," attach the statement required by Regulations section 53.4945–5(d).                                                                                                                                                     |  |                              |                                        |    |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                               |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?                                                                                                                     |  | 6b                           |                                        | No |
| If "Yes" to 6b, file Form 8870.                                                                                                                                                                                                  |  |                              |                                        |    |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?                                                                                                                          |  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?                                                                                                                        |  | 7b                           |                                        |    |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| 1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).                     |                                                           |                                           |                                                                       |                                       |
| (a) Name and address                                                                                                         | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| WELLS FARGO BANK NA<br>1525 WEST WT HARRIS BLVD<br>CHARLOTTE, NC 282881114                                                   | Co-Trustee<br>40                                          | 86,952                                    |                                                                       |                                       |
| Warren Lewis Esq<br>207 Heights Terrace<br>Middletown, NJ 07748                                                              | Co-Trustee<br>4                                           | 28,984                                    |                                                                       |                                       |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE." |                                                           |                                           |                                                                       |                                       |
| (a) Name and address of each employee paid more than \$50,000                                                                | (b) Title, and average hours per week devoted to position | (c) Compensation                          | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE                                                                                                                         |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
| Total number of other employees paid over \$50,000.                                                                          |                                                           |                                           |                                                                       | 0                                     |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE". |                     |                  |
|------------------------------------------------------------------------------------------------------------------|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000                                                      | (b) Type of service | (c) Compensation |
| NONE                                                                                                             |                     |                  |
|                                                                                                                  |                     |                  |
|                                                                                                                  |                     |                  |
|                                                                                                                  |                     |                  |
|                                                                                                                  |                     |                  |
|                                                                                                                  |                     |                  |
|                                                                                                                  |                     |                  |
|                                                                                                                  |                     |                  |
|                                                                                                                  |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . .                                |                     | 0                |

Part IX-A

Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1                                                                                                                                                                                                                                                     |          |
| 2                                                                                                                                                                                                                                                     |          |
| 3                                                                                                                                                                                                                                                     |          |
| 4                                                                                                                                                                                                                                                     |          |

Part IX-B

Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| 2                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| All other program-related investments. See page 24 of the instructions                                           |        |
| 3                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| Total. Add lines 1 through 3 . . . . .                                                                           |        |

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                                    |    |            |
|---|--------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes         |    |            |
| a | Average monthly fair market value of securities. . . . .                                                           | 1a | 10,067,053 |
| b | Average of monthly cash balances. . . . .                                                                          | 1b | 1,311,772  |
| c | Fair market value of all other assets (see instructions). . . . .                                                  | 1c | 0          |
| d | Total (add lines 1a, b, and c). . . . .                                                                            | 1d | 11,378,825 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | 1e | 0          |
| 2 | Acquisition indebtedness applicable to line 1 assets. . . . .                                                      | 2  | 0          |
| 3 | Subtract line 2 from line 1d. . . . .                                                                              | 3  | 11,378,825 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .  | 4  | 170,682    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4                | 5  | 11,208,143 |
| 6 | Minimum investment return. Enter 5% of line 5. . . . .                                                             | 6  | 560,407    |

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |         |
|----|-----------------------------------------------------------------------------------------------------------|----|---------|
| 1  | Minimum investment return from Part X, line 6. . . . .                                                    | 1  | 560,407 |
| 2a | Tax on investment income for 2013 from Part VI, line 5. . . . .                                           | 2a | 6,983   |
| b  | Income tax for 2013 (This does not include the tax from Part VI ). . . . .                                | 2b |         |
| c  | Add lines 2a and 2b. . . . .                                                                              | 2c | 6,983   |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1. . . . .                             | 3  | 553,424 |
| 4  | Recoveries of amounts treated as qualifying distributions. . . . .                                        | 4  | 0       |
| 5  | Add lines 3 and 4. . . . .                                                                                | 5  | 553,424 |
| 6  | Deduction from distributable amount (see instructions). . . . .                                           | 6  | 0       |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | 7  | 553,424 |

Part XII

Qualifying Distributions (see instructions)

|                                                                                                                                                                                              |                                                                                                                                                              |    |         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 1                                                                                                                                                                                            | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes                                                                    |    |         |
| a                                                                                                                                                                                            | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .                                                                         | 1a | 459,722 |
| b                                                                                                                                                                                            | Program-related investments—total from Part IX-B. . . . .                                                                                                    | 1b | 0       |
| 2                                                                                                                                                                                            | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .                                           | 2  | 0       |
| 3                                                                                                                                                                                            | Amounts set aside for specific charitable projects that satisfy the                                                                                          |    |         |
| a                                                                                                                                                                                            | Suitability test (prior IRS approval required). . . . .                                                                                                      | 3a | 0       |
| b                                                                                                                                                                                            | Cash distribution test (attach the required schedule). . . . .                                                                                               | 3b | 0       |
| 4                                                                                                                                                                                            | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                                    | 4  | 459,722 |
| 5                                                                                                                                                                                            | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . . | 5  | 0       |
| 6                                                                                                                                                                                            | Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .                                                                                      | 6  | 459,722 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years |                                                                                                                                                              |    |         |

Part XIII

Undistributed Income (see instructions)

|                                                                                                                                                                                     | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7                                                                                                                                |               |                            |             | 553,424     |
| 2 Undistributed income, if any, as of the end of 2013                                                                                                                               |               |                            |             |             |
| a Enter amount for 2012 only. . . . .                                                                                                                                               |               |                            | 109,266     |             |
| b Total for prior years 2011 , 20__ , 20__                                                                                                                                          |               | 0                          |             |             |
| 3 Excess distributions carryover, if any, to 2013                                                                                                                                   |               |                            |             |             |
| a From 2008. . . . .                                                                                                                                                                |               |                            |             | 0           |
| b From 2009. . . . .                                                                                                                                                                |               |                            |             | 0           |
| c From 2010. . . . .                                                                                                                                                                |               |                            |             | 0           |
| d From 2011. . . . .                                                                                                                                                                |               |                            |             | 0           |
| e From 2012. . . . .                                                                                                                                                                |               |                            |             | 0           |
| f Total of lines 3a through e. . . . .                                                                                                                                              | 0             |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 459,722                                                                                                              |               |                            |             |             |
| a Applied to 2012, but not more than line 2a                                                                                                                                        |               |                            | 109,266     |             |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                      |               | 0                          |             |             |
| c Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                              | 0             |                            |             |             |
| d Applied to 2013 distributable amount. . . . .                                                                                                                                     |               |                            |             | 350,456     |
| e Remaining amount distributed out of corpus                                                                                                                                        | 0             |                            |             |             |
| 5 Excess distributions carryover applied to 2013<br>(If an amount appears in column (d), the same amount must be shown in column (a).)                                              | 0             |                            |             | 0           |
| 6 Enter the net total of each column as indicated below:                                                                                                                            | 0             |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                                   |               |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b. . . . .                                                                                                          |               | 0                          |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               | 0                          |             |             |
| d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .                                                                                                           |               | 0                          |             |             |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .                                                                             |               |                            | 0           |             |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .                                                               |               |                            |             | 202,968     |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .                                  | 0             |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .                                                                                | 0             |                            |             |             |
| 9 Excess distributions carryover to 2014.<br>Subtract lines 7 and 8 from line 6a . . . . .                                                                                          | 0             |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                               |               |                            |             |             |
| a Excess from 2009. . . .                                                                                                                                                           |               |                            |             | 0           |
| b Excess from 2010. . . .                                                                                                                                                           |               |                            |             | 0           |
| c Excess from 2011. . . .                                                                                                                                                           |               |                            |             | 0           |
| d Excess from 2012. . . .                                                                                                                                                           |               |                            |             | 0           |
| e Excess from 2013. . . .                                                                                                                                                           |               |                            |             | 0           |

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

|                                                                                                                                                                   | Tax year | Prior 3 years |          |          | (e) Total |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                                   | (a) 2013 | (b) 2012      | (c) 2011 | (d) 2010 |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |               |          |          |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                 |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                            |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                          |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities<br>Subtract line 2d from line 2c . . . . .                                |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                |          |               |          |          |           |
| <b>a</b> "Assets" alternative test—enter                                                                                                                          |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                          |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                              |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .                               |          |               |          |          |           |
| <b>c</b> "Support" alternative test—enter                                                                                                                         |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                      |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization                                                                                                  |          |               |          |          |           |
| <b>(4)</b> Gross investment income                                                                                                                                |          |               |          |          |           |

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

### **3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| <b>(a)</b> List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) | <b>(b)</b> How<br>acquired<br>P—Purchase<br>D—Donation | <b>(c)</b> Date<br>acquired<br>(mo , day, yr ) | <b>(d)</b> Date sold<br>(mo , day, yr ) |
|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|-----------------------------------------|
| 51127 537 ABERDEEN TOTAL RETURN BD FD-I #1524                                                                                               |                                                        | 2008-05-30                                     | 2013-07-25                              |
| 27245 51 ARTIO INTERNATIONAL EQ FD 3# 1529                                                                                                  |                                                        | 2008-05-30                                     | 2013-07-25                              |
| 20685 596 DELAWARE POOLED TR INTL EQUITY PORTFOLIO                                                                                          |                                                        | 2006-07-03                                     | 2013-07-25                              |
| 53245 541 DODGE & COX INCOME FD COM                                                                                                         |                                                        | 2008-09-05                                     | 2013-07-25                              |
| 80 GENUINE PARTS CO COM W/RIGHTS ATTACHED EXP<br>11/15/2009                                                                                 |                                                        | 2013-08-02                                     | 2013-12-04                              |
| 5 GLAXO SMITHKLINE SPONSORED PLC ADR                                                                                                        |                                                        | 2013-08-02                                     | 2013-12-04                              |
| 13761 395 GOLDMAN SACHS GROWTH OPPORTUNITIES<br>INSTITUTIONAL SHARES                                                                        |                                                        | 2009-06-03                                     | 2013-07-25                              |
| 1145 MEADWESTVACO CORP COM W/RTS EXP 1/29/200                                                                                               |                                                        | 2011-03-15                                     | 2013-09-16                              |
| 385 NTT DOCOMO INC SPONSORED ADR                                                                                                            |                                                        | 2013-08-02                                     | 2013-10-31                              |
| 122 OMV AG-BEARER SHRS ADR                                                                                                                  |                                                        | 2013-08-02                                     | 2013-10-31                              |
| 33 OMV AG-BEARER SHRS ADR                                                                                                                   |                                                        | 2013-08-02                                     | 2013-11-04                              |
| 1320 737 PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD<br>INSTL CL                                                                            |                                                        | 2012-12-12                                     | 2013-07-25                              |
| 55880 534 PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD<br>INSTL CL                                                                           |                                                        | 2008-12-10                                     | 2013-07-25                              |
| 32500 895 PIMCO COMMODITY REAL RET STRAT-I#45                                                                                               |                                                        | 2011-12-07                                     | 2013-09-26                              |
| 60 SINGAPORE TELECOMMUNICATIONS LTD ADR                                                                                                     |                                                        | 2013-08-02                                     | 2013-10-31                              |
| 20 SINGAPORE TELECOMMUNICATIONS LTD ADR                                                                                                     |                                                        | 2013-08-02                                     | 2013-11-01                              |
| 103 TELSTRA LTD SPON ADR FINAL                                                                                                              |                                                        | 2013-08-02                                     | 2013-10-31                              |
| 125 TORONTO DOMINION BK ONT-COM                                                                                                             |                                                        | 2013-08-02                                     | 2013-12-04                              |
| 33958 064 WELLS FARGO ADV INTL BOND-IS #4705                                                                                                |                                                        | 2006-07-03                                     | 2013-06-12                              |
| 175 WYNN RESORTS LTD                                                                                                                        |                                                        | 2011-12-02                                     | 2013-09-16                              |
| CAPITAL GAIN DIVIDENDS                                                                                                                      | P                                                      |                                                |                                         |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 671,305               |                                            | 657,103                                         | 14,202                                       |
| 311,961               |                                            | 455,000                                         | -143,039                                     |
| 292,081               |                                            | 418,983                                         | -126,902                                     |
| 720,412               |                                            | 652,790                                         | 67,622                                       |
| 6,524                 |                                            | 6,718                                           | -194                                         |
| 261                   |                                            | 261                                             |                                              |
| 403,346               |                                            | 224,311                                         | 179,035                                      |
| 44,289                |                                            | 28,221                                          | 16,068                                       |
| 6,097                 |                                            | 6,040                                           | 57                                           |
| 5,837                 |                                            | 5,683                                           | 154                                          |
| 1,601                 |                                            | 1,537                                           | 64                                           |
| 14,251                |                                            | 15,004                                          | -753                                         |
| 602,951               |                                            | 598,619                                         | 4,332                                        |
| 186,555               |                                            | 242,950                                         | -56,395                                      |
| 1,826                 |                                            | 1,816                                           | 10                                           |
| 602                   |                                            | 605                                             | -3                                           |
| 2,524                 |                                            | 2,324                                           | 200                                          |
| 11,148                |                                            | 10,536                                          | 612                                          |
| 383,047               |                                            | 353,843                                         | 29,204                                       |
| 26,302                |                                            | 19,700                                          | 6,602                                        |
|                       |                                            |                                                 | 163,838                                      |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | 14,202                                                                                 |
|                                                                                             |                                   |                                            | -143,039                                                                               |
|                                                                                             |                                   |                                            | -126,902                                                                               |
|                                                                                             |                                   |                                            | 67,622                                                                                 |
|                                                                                             |                                   |                                            | -194                                                                                   |
|                                                                                             |                                   |                                            |                                                                                        |
|                                                                                             |                                   |                                            | 179,035                                                                                |
|                                                                                             |                                   |                                            | 16,068                                                                                 |
|                                                                                             |                                   |                                            | 57                                                                                     |
|                                                                                             |                                   |                                            | 154                                                                                    |
|                                                                                             |                                   |                                            | 64                                                                                     |
|                                                                                             |                                   |                                            | -753                                                                                   |
|                                                                                             |                                   |                                            | 4,332                                                                                  |
|                                                                                             |                                   |                                            | -56,395                                                                                |
|                                                                                             |                                   |                                            | 10                                                                                     |
|                                                                                             |                                   |                                            | -3                                                                                     |
|                                                                                             |                                   |                                            | 200                                                                                    |
|                                                                                             |                                   |                                            | 612                                                                                    |
|                                                                                             |                                   |                                            | 29,204                                                                                 |
|                                                                                             |                                   |                                            | 6,602                                                                                  |
|                                                                                             |                                   |                                            |                                                                                        |

# TY 2013 Accounting Fees Schedule

**Name:** HANS S MANNHEIMER TRUST

**EIN:** 22-6161919

| Category                          | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-----------------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| TAX PREPARATION FEE<br>(NON-ALLOC | 1,000  |                          |                        | 1,000                                       |

**TY 2013 Investments Corporate  
Bonds Schedule****Name:** HANS S MANNHEIMER TRUST**EIN:** 22-6161919

| <b>Name of Bond</b>            | <b>End of Year Book<br/>Value</b> | <b>End of Year Fair<br/>Market Value</b> |
|--------------------------------|-----------------------------------|------------------------------------------|
| 04315J209 ARTIO TOTAL RETURN B |                                   |                                          |
| 256210105 DODGE & COX INCOME F |                                   |                                          |
| 693390700 PIMCO TOTAL RET FD-I |                                   |                                          |
| 693390841 PIMCO HIGH YIELD FD- | 682,183                           | 752,921                                  |
| 693391559 PIMCO EMERG MKTS BD- | 288,399                           | 289,549                                  |
| 72201F516 PIMCO EMERGING LOCAL | 296,813                           | 285,543                                  |
| 922031869 VANGUARD INFLAT PROT |                                   |                                          |
| 94985D582 WELLS FARGO ADV INTL |                                   |                                          |
| 693390882 PIMCO FOREIGN BD FD  | 229,828                           | 227,879                                  |
| 722005220 PIMCO FOREIGN BOND ( | 231,947                           | 228,711                                  |
| 746763226 PUTNAM FLOATING RATE | 150,000                           | 150,334                                  |
| 77958B402 T ROWE PRICE INST FL | 150,000                           | 150,146                                  |
| 922031737 VANGUARD INFLAT-PROT | 357,829                           | 397,303                                  |
| 949917702 WF ADV ULTRA SH-TRM  | 145,000                           | 145,000                                  |
| 949921654 WELLS FARGO ADV S/T  | 432,500                           | 432,935                                  |

TY 2013 Investments Corporate

Stock Schedule

Name: HANS S MANNHEIMER TRUST

EIN: 22-6161919

| Name of Stock                  | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| 04315J209 ARTIO TOT RTN #1524  |                        |                               |
| 256210105 DODGE&COX INCOME FD  |                        |                               |
| 693390841 PIMCO HIGH YLD FUND  |                        |                               |
| 693390700 PIMCO TOT RTN FD #35 |                        |                               |
| 922031869 VANGUARD SEC FD #119 |                        |                               |
| 94985D582 WELLS FARGO FD #4705 |                        |                               |
| 693391559 PIMCO EMERG MKT #137 |                        |                               |
| 437076102 HOME DEPOT INC       | 47,908                 | 123,510                       |
| 580135101 MCDONALDS CORP       | 50,147                 | 70,832                        |
| 654106103 NIKE INC CL B        |                        |                               |
| 370334104 GENERAL MILLS INC    |                        |                               |
| 50075N104 KRAFT FOODS INC      |                        |                               |
| 742718109 PROCTER & GAMBLE CO  | 43,803                 | 176,253                       |
| 166764100 CHEVRON CORP         | 74,168                 | 100,553                       |
| 20825C104 CONOCOPHILLIPS       | 60,230                 | 70,650                        |
| 30231G102 EXXON MOBIL CORPORAT | 19,191                 | 123,970                       |
| 001055102 AFLAC INC            | 22,164                 | 33,400                        |
| 03076C106 AMERIPRISE FINL INC  |                        |                               |
| 171232101 CHUBB CORP           | 20,132                 | 86,967                        |
| 46625H100 JPMORGAN CHASE & CO  | 36,840                 | 46,784                        |
| 693475105 PNC FINANCIAL SERVIC | 31,297                 | 38,790                        |
| 949746101 WELLS FARGO & CO     |                        |                               |
| 002824100 ABBOTT LABS          | 13,598                 | 76,660                        |
| 110122108 BRISTOL MYERS SQUIBB | 45,667                 | 96,202                        |
| 14149Y108 CARDINAL HEALTH INC  | 22,288                 | 43,427                        |
| 478160104 JOHNSON & JOHNSON    | 57,097                 | 142,880                       |
| 291011104 EMERSON ELECTRIC CO  | 19,045                 | 91,234                        |
| 369604103 GENERAL ELECTRIC CO  | 14,794                 | 57,462                        |
| 539830109 LOCKHEED MARTIN CORP | 14,776                 | 17,839                        |
| 755111507 RAYTHEON CO          |                        |                               |

| Name of Stock                    | End of Year Book Value | End of Year Fair Market Value |
|----------------------------------|------------------------|-------------------------------|
| 913017109 UNITED TECHNOLOGIES    | 45,989                 | 68,280                        |
| 88579Y101 3M CO                  | 48,195                 | 98,175                        |
| 037833100 APPLE INC              | 44,492                 | 78,543                        |
| 17275R102 CISCO SYSTEMS INC      | 9,913                  | 56,075                        |
| 428236103 HEWLETT PACKARD CO     |                        |                               |
| 459200101 INTERNATIONAL BUSINE   | 24,498                 | 37,514                        |
| 594918104 MICROSOFT CORP         | 64,498                 | 86,604                        |
| 68389X105 ORACLE CORPORATION     | 52,272                 | 68,868                        |
| 882508104 TEXAS INSTRUMENTS IN   | 37,960                 | 87,820                        |
| 459506101 INTERNATL FLAVORS      |                        |                               |
| 74005P104 PRAXAIR INC COM        | 20,567                 | 35,758                        |
| 156700106 CENTURYLINK, INC       | 44,095                 | 41,405                        |
| 92343V104 VERIZON COMMUNICATIO   | 58,002                 | 73,956                        |
| 65339F101 NEXTERA ENERGY INC     | 30,970                 | 85,620                        |
| 25243Q205 DIAGEO PLC - ADR       | 36,186                 | 72,831                        |
| H5833N103 NOBLE CORP             |                        |                               |
| H8912P106 TYCO ELECTRONICS       |                        |                               |
| 38142Y401 GOLDMAN SACHS GRTH O   |                        |                               |
| 411511843 HARBOR SML CAP #2022   |                        |                               |
| 47103C241 PERKINS MID CAP VALU   | 230,030                | 319,780                       |
| 784924425 SSGA EMERGING MARKET   | 285,147                | 242,212                       |
| 961323409 WESTPORT SELECT CAP    | 477,134                | 496,656                       |
| 04315J837 ARTIO INTERNATIONAL    |                        |                               |
| 246248306 DELAWARE POOLED TR I   |                        |                               |
| 256206103 DODGE & COX INT'L ST   | 470,000                | 438,072                       |
| 411511306 HARBOR INTERNATIONAL F | 170,000                | 272,008                       |
| 52106N889 LAZARD EMERGING MKTS   | 230,000                | 298,824                       |
| 26852M105 EII INTERNATIONAL PR   | 175,000                | 222,104                       |
| 61744J317 MORGAN STANLEY #8001   |                        |                               |
| 722005667 PIMCO COMMODITY REAL   |                        |                               |

| Name of Stock                    | End of Year Book Value | End of Year Fair Market Value |
|----------------------------------|------------------------|-------------------------------|
| 779919109 T ROWE PRICE REAL ES   | 532,262                | 641,769                       |
| 025816109 AMERICAN EXPRESS CO    | 30,491                 | 63,511                        |
| 057224107 BAKER HUGHES INC COM   |                        |                               |
| 14040H105 CAPITAL ONE FINANCIA   | 32,862                 | 49,797                        |
| 191216100 COCA COLA CO           | 26,705                 | 35,114                        |
| 235851102 DANAHER CORP           | 23,840                 | 38,600                        |
| 260543103 DOW CHEMICAL CO        | 32,164                 | 40,182                        |
| 438516106 HONEYWELL INTERNATIO   | 33,084                 | 54,822                        |
| 458140100 INTEL CORP             | 24,726                 | 25,955                        |
| 583334107 MEADWESTVACO CORP      |                        |                               |
| 744573106 PUBLIC SVC ENTERPRIS   | 31,271                 | 30,438                        |
| 747525103 QUALCOMM INC           | 21,800                 | 29,700                        |
| 87612E106 TARGET CORP            | 35,539                 | 44,289                        |
| 887389104 TIMKEN CO              | 25,152                 | 33,042                        |
| 91324P102 UNITEDHEALTH GROUP I   | 29,883                 | 52,710                        |
| 983134107 WYNN RESORTS LTD       |                        |                               |
| G1151C101 ACCENTURE PLC          | 23,452                 | 32,888                        |
| 464287168 ISHARES SELECT DIVID   | 131,520                | 164,034                       |
| 50076Q106 KRAFT FOODS GROUP IN   | 10,588                 | 17,952                        |
| 609207105 MONDELEZ INTERNATIONAL | 19,605                 | 35,300                        |
| 902641646 E-TRACS ALERIAN MLP    | 227,241                | 271,870                       |
| 00287Y109 ABBVIE INC             | 14,746                 | 105,620                       |
| 046353108 ASTRAZENECA PLC ADR    | 11,990                 | 13,952                        |
| 05523R107 BAE SYSTEMS PLC - AD   | 7,659                  | 8,055                         |
| 055262505 BASF AKTIENGESELLSCH   | 15,869                 | 18,324                        |
| 05545E209 BHP BILLITON PLC ADR   | 9,018                  | 9,629                         |
| 05946K101 BANCO BILBAO VIZCAYA   | 7,278                  | 9,354                         |
| 063671101 BANK MONTREAL QUE CO   | 10,815                 | 11,666                        |
| 064149107 BANK N S HALIFAX       | 10,701                 | 11,885                        |
| 110448107 BRITISH AMERICAN TOB   | 16,825                 | 16,650                        |

| Name of Stock                  | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| 251542106 DEUTSCHE BOERSE AG A | 6,900                  | 7,961                         |
| 25746U109 DOMINION RES INC VA  | 8,898                  | 9,704                         |
| 26441C204 DUKE ENERGY HOLDING  | 10,402                 | 10,006                        |
| 26874R108 ENI SPA - ADR        | 11,930                 | 12,607                        |
| 37733W105 GLAXOSMITHKLINE PLC  | 11,475                 | 11,746                        |
| 404280406 HSBC - ADR           | 85,268                 | 83,246                        |
| 413875105 HARRIS CORP DEL      | 6,848                  | 8,377                         |
| 465717106 ITOCHU CORP-UNSPONSO | 6,773                  | 6,861                         |
| 492051305 KEPPEL LTD ADR       | 6,061                  | 6,579                         |
| 494368103 KIMBERLY CLARK CORP  | 6,421                  | 6,790                         |
| 500472303 PHILIPS ELECTRONICS  | 9,544                  | 9,945                         |
| 517834107 LAS VEGAS SANDS CORP | 9,274                  | 9,859                         |
| 532457108 ELI LILLY & CO COM   | 13,465                 | 12,750                        |
| 59410T106 MICHELIN (CGDE) ADR  | 7,056                  | 7,473                         |
| 636274300 NATIONAL GRID PLC AD | 8,704                  | 9,471                         |
| 641069406 NESTLE S.A. REGISTER | 16,713                 | 17,662                        |
| 654744408 NISSAN MOTOR CO., LT | 6,839                  | 5,292                         |
| 66987V109 NOVARTIS AG - ADR    | 10,550                 | 11,655                        |
| 69351T106 PPL CORPORATION      | 9,289                  | 8,726                         |
| 717081103 PFIZER INC           | 18,056                 | 18,837                        |
| 718172109 PHILIP MORRIS INTERN | 17,431                 | 16,990                        |
| 74435K204 PRUDENTIAL PLC - ADR | 12,612                 | 15,525                        |
| 756255204 RECKITT BENCKIS/S AD | 12,656                 | 14,320                        |
| 771195104 ROCHE HOLDINGS LTD - | 13,857                 | 15,795                        |
| 780259206 ROYAL DUTCH SHELL PL | 14,485                 | 16,036                        |
| 80105N105 SANOFI-ADR           | 6,884                  | 7,240                         |
| 826197501 SIEMENS AG - ADR     | 9,209                  | 9,973                         |
| 82929R304 SINGAPORE TELECOMMUN | 9,232                  | 8,876                         |
| 86562M209 SUMITOMO TRUST AND B | 10,930                 | 11,906                        |
| 870297801 MLN ELEMENTS ROGERS  | 186,578                | 182,338                       |

| Name of Stock                  | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| 87944W105 TELENOR ASA - ADR    | 7,651                  | 8,231                         |
| 87960M205 TELIASONERA AB-UNSPO | 11,385                 | 13,094                        |
| 87969N204 TELSTRA CORP-ADR     | 7,603                  | 7,899                         |
| 89151E109 TOTAL S.A. - ADR     | 11,846                 | 13,479                        |
| 904784709 UNILEVER N.V. - ADR  | 13,777                 | 13,678                        |
| 989825104 ZURICH INSURANCE GRO | 10,647                 | 11,376                        |
| G29183103 EATON CORP PLC       | 14,511                 | 16,746                        |

**TY 2013 Investments - Other Schedule****Name:** HANS S MANNHEIMER TRUST**EIN:** 22-6161919

| Category/ Item                 | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 04315J209 ARTIO TOTAL RETURN B |                       |            |                               |
| 256210105 DODGE & COX INCOME F |                       |            |                               |
| 693390700 PIMCO TOTAL RET FD-I |                       |            |                               |
| 693390841 PIMCO HIGH YIELD FD- |                       |            |                               |
| 693391559 PIMCO EMERG MKTS BD- |                       |            |                               |
| 72201F516 PIMCO EMERGING LOCAL |                       |            |                               |
| 922031869 VANGUARD INFLAT PROT |                       |            |                               |

**TY 2013 Other Decreases Schedule****Name:** HANS S MANNHEIMER TRUST**EIN:** 22-6161919

| Description                        | Amount |
|------------------------------------|--------|
| MUTUAL FUND POSTING DATE AFTER TYE | 95,573 |
| ROC BASIS ADJUSTMENT               | 4,109  |
| ROUNDING                           | 5      |

## TY 2013 Other Expenses Schedule

**Name:** HANS S MANNHEIMER TRUST

**EIN:** 22-6161919

| Description | Revenue and Expenses<br>per Books | Net Investment<br>Income | Adjusted Net Income | Disbursements for<br>Charitable Purposes |
|-------------|-----------------------------------|--------------------------|---------------------|------------------------------------------|
| ADR FEES    | 48                                | 48                       |                     | 0                                        |

**TY 2013 Other Income Schedule****Name:** HANS S MANNHEIMER TRUST**EIN:** 22-6161919

| Description                | Revenue And<br>Expenses Per Books | Net Investment<br>Income | Adjusted Net Income |
|----------------------------|-----------------------------------|--------------------------|---------------------|
| MUTUAL FUND INCOME         | 12,270                            | 12,270                   |                     |
| 2012 EXCISE TAX REFUND     | 1,487                             | 0                        |                     |
| TRADING DELAY COMPENSATION | 1,792                             | 1,792                    |                     |

**TY 2013 Other Increases Schedule**

**Name:** HANS S MANNHEIMER TRUST

**EIN:** 22-6161919

| Description                               | Amount |
|-------------------------------------------|--------|
| MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE | 93,994 |

**TY 2013 Taxes Schedule****Name:** HANS S MANNHEIMER TRUST**EIN:** 22-6161919

| Category                       | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|--------------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| FOREIGN TAXES                  | 331    | 331                      |                        | 0                                           |
| FOREIGN TAXES ON QUALIFIED FOR | 3,271  | 3,271                    |                        | 0                                           |
| FOREIGN TAXES ON NONQUALIFIED  | 770    | 770                      |                        | 0                                           |