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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

The Aresty Foundation
74 Snowden Lane
Princeton, NJ 08540-3003

A Employer identification number
22-6083140

B Telephone number (see the instructions)
609-924-3138

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)

▶ \$ 1,996,529.

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1	Contributions, gifts, grants, etc. received (att sch)				
	2	Cl ☒ if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	1,108.	1,108.	1,108.	
	4	Dividends and interest from securities	48,573.	48,573.	48,573.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	-63,913.			
	b	Gross sales price for all assets on line 6a	259,026.			
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain			910.	
	9	Income from operations				
	10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold					
c	Gross profit/(loss) (att sch)					
11	Other income (attach schedule)					
12	Total. Add lines 1 through 11	-7,472.	-7,472.			
ADMINISTRATIVE AND OPERATING EXPENSES	13	Compensation of officers, directors, trustees, etc.	0.			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch)	See St 2	1,200.	1,200.	
	c	Other prof fees (attach sch)				
	17	Interest				
	18	Taxes (attach schedule)(see instrs)	See Stm 3	3,694.	3,694.	
	19	Depreciation (attach sch) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	Total operating and administrative expenses. Add lines 13 through 23	8,275.	8,275.		
25	Contributions, gifts, grants paid Part XV	128,925.	13,169.		128,925.	
26	Total expenses and disbursements. Add lines 24 and 25	142,094.	13,169.	0.	128,925.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	-163,798.				
b	Net investment income (if negative, enter -0-)		29,040.			
c	Adjusted net income (if negative, enter -0-)			50,591.		

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash – non-interest-bearing			5,120.
	2 Savings and temporary cash investments	35,718.	5,120.	
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	828,153.	696,353.	1,990,649.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments – mortgage loans				
13 Investments – other (attach schedule)				
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe ▶ See Statement 5)	2,160.	760.	760.	
16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I.)	866,031.	702,233.	1,996,529.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	N E T A S S E T S O R F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☒		
24 Unrestricted		866,031.	702,233.	
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐				
27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, building, and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)		866,031.	702,233.	
31 Total liabilities and net assets/fund balances (see instructions)		866,031.	702,233.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	866,031.
2 Enter amount from Part I, line 27a	2	-163,798.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	702,233.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	702,233.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Statement 6				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	-63,913.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8]	3	910.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	124,189.	1,822,885.	0.068128
2011	112,417.	1,779,196.	0.063184
2010	106,546.	1,520,069.	0.070093
2009	110,833.	1,326,596.	0.083547
2008	121,706.	1,787,725.	0.068079

2 Total of line 1, column (d)	2	0.353031
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.070606
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,875,971.
5 Multiply line 4 by line 3	5	132,455.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	290.
7 Add lines 5 and 6	7	132,745.
8 Enter qualifying distributions from Part XII, line 4	8	128,925.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	581.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	581.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	581.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	760.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	760.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	179.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax 179. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>Robert J. Aresty</u> Telephone no <u>609-921-3138</u> Located at <u>74 Snowden Lane Princeton NJ</u> ZIP + 4 <u>08540-3003</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No	
If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a.** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert J. Aresty 74 Snowden Lane Princeton, NJ 08540-3003	Trustee 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	1,845,250.
b Average of monthly cash balances	1 b	58,529.
c Fair market value of all other assets (see instructions)	1 c	760.
d Total (add lines 1a, b, and c)	1 d	1,904,539.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,904,539.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	28,568.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,875,971.
6 Minimum investment return. Enter 5% of line 5	6	93,799.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	93,799.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	581.
b Income tax for 2013 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	581.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	93,218.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	93,218.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	93,218.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	128,925.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	128,925.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	128,925.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				93,218.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	34,128.			
b From 2009	45,521.			
c From 2010	31,643.			
d From 2011	25,590.			
e From 2012	33,802.			
f Total of lines 3a through e	170,684.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 128,925.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				93,218.
e Remaining amount distributed out of corpus	35,707.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	206,391.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	34,128.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	172,263.			
10 Analysis of line 9				
a Excess from 2009	45,521.			
b Excess from 2010	31,643.			
c Excess from 2011	25,590.			
d Excess from 2012	33,802.			
e Excess from 2013	35,707.			

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

[illegible]

1 Information Regarding Foundation Managers:

None

None

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement for Line 2a

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 8				
Total			3 a	128,925.
b Approved for future payment				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	1,108.	
4	Dividends and interest from securities			14	48,573.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			14	-7,472.	
8	Gain or (loss) from sales of assets other than inventory					-63,913.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				42,209.	-63,913.
13	Total. Add line 12, columns (b), (d), and (e)				13	-21,704.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

The Aresty Foundation

22-6083140

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Other Investment Income	\$ -7,472.	\$ -7,472.	
Total	<u>\$ -7,472.</u>	<u>\$ -7,472.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 1,200.	\$ 1,200.		
Total	<u>\$ 1,200.</u>	<u>\$ 1,200.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax	\$ 757.	\$ 757.		
Foreign Taxes	2,937.	2,937.		
Total	<u>\$ 3,694.</u>	<u>\$ 3,694.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Expense	\$ 8,275.	\$ 8,275.		
Total	<u>\$ 8,275.</u>	<u>\$ 8,275.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

The Aresty Foundation

22-6083140

Statement 5
Form 990-PF, Part II, Line 15
Other Assets

	<u>Book Value</u>	<u>Fair Market Value</u>
Prepaid Taxes	\$ 760.	\$ 760.
Total	\$ 760.	\$ 760.

Statement 6
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>				
1	3000 Permian	Purchased	6/18/2008	1/18/2013				
2	20 call HAL	Purchased	1/25/2013	6/13/2013				
3	15 call MSFT	Purchased	3/08/2013	3/16/2013				
4	1500 Microsoft	Purchased	4/03/2000	5/14/2013				
5	2000 Intel	Purchased	10/08/1997	7/11/2013				
6	2000 Hatteras	Purchased	9/23/2009	8/14/2013				
7	3000 Linn Energy	Purchased	1/12/2009	8/13/2013				
8	5000 Chimera	Purchased	1/11/2010	10/29/2013				
<u>Item</u>	<u>(e) Gross Sales</u>	<u>(f) Deprec. Allowed</u>	<u>(g) Cost Basis</u>	<u>(h) Gain (Loss)</u>	<u>(i) FMV 12/31/69</u>	<u>(j) Adj. Bas. 12/31/69</u>	<u>(k) Excess (i) - (j)</u>	<u>(l) Gain (Loss)</u>
1	40,199.		72,369.	-32,170.				\$ -32,170.
2	760.		0.	760.				760.
3	150.		0.	150.				150.
4	47,219.		68,833.	-21,614.				-21,614.
5	47,580.		47,768.	-188.				-188.
6	38,219.		66,979.	-28,760.				-28,760.
7	69,749.		46,862.	22,887.				22,887.
8	15,150.		20,128.	-4,978.				-4,978.
Total								\$ -63,913.

Statement 7
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program:
Name: Robert J Aresty, Trustee
Care Of:
Street Address: 74 Snowden Lane
City, State, Zip Code: Princeton, NJ 08540
Telephone: 609-924-3138
E-Mail Address:
Form and Content: Letter stating purpose of request and evidence that the recipient is a recognized charity under the IRC.
Submission Deadlines: None
Restrictions on Awards: Any religious, charitable or educational charity recognized by the IRS.

The Aresty Foundation

22-6083140

Statement 8
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Univ of Penn Ben Franklin Asso 433 Franklin Bldg Philadelphia, PA 19104	none	Public	To carry out charitable purpose of organization	\$ 10,000.
Univ of Penn Aresty Scholars 433 Franklin Bldg Philadelphia, PA 19104	none	Public	To carry out charitable purpose of organization	82,325.
Har Sinai Temple 2411 Pennington Road Pennington, NJ 08534	none	Public	To carry out charitable purpose of organization	1,560.
American Jewish Committee 165 E. 56 Street New York, NY 10022	none	Public	To carry out charitable purpose of organization	1,000.
Institute for Advanced Study Olden Lane Princeton, NJ 08540	none	Public	To carry out charitable purpose of organization	1,750.
Arts Council of Princeton 102 Witherspoon Street Princeton, NJ 08540	none	Public	To carry out charitable purpose of organization	1,000.
Mercer Street Friends 151 Mercer Street Trenton, NJ 08611	none	Public	To carry out charitable purpose of organization	100.
Owl's Head Transport Museum Box 277 Owl's Head, ME 04854	none	Public	To carry out charitable purpose of organization	100.
The Lawrenceville School Route 206 Lawrenceville, NJ 08648	none	Public	To carry out charitable purpose of organization	10,000.
Princeton Public Library Found 65 Witherspoon Street Princeton, NJ 08540	none	Public	To carry out charitable purpose of organization	1,000.

The Aresty Foundation

22-6083140

Statement 8 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Princeton 1st Aid & Rescue Box 529 Princeton, NJ 08542	none	Public	To carry out charitable purpose of organization	\$ 100.
High Point Volunteer Fire Co. Box 3219 Harvey Cedars, NJ 08008	none	Public	To carry out charitable purpose of organization	100.
Isles 10 Wood Street Trenton, NJ 08618	none	Public	To carry out charitable purpose of organization	500.
Greenwood House 53 Walter Street Ewing, NJ 08628	none	Public	To carry out charitable purpose of organization	500.
McCarter Theatre 91 University Place Princeton, NJ 08540	none	Public	To carry out charitable purpose of organization	250.
NJPIRG 11 N. Willow Street Trenton, NJ 08608	none	Public	To carry out charitable purpose of organization	200.
Princeton Health Care System Foundation 253 Witherspoon Street Princeton, NJ 08540	none	Public	To carry out charitable purpose of organization	15,000.
LBI Foundation 120 Long Beach Blvd Loveladies, NJ 08008	none	Public	To carry out charitable purpose of organization	205.
Marine Mammal Stranding Center Box 773 Brigantine, NJ 08203	none	Public	To carry out charitable purpose of organization	100.
Rescue Mission of Trenton 98 Carroll Street Trenton, NJ 08609	none	Public	To carry out charitable purpose of organization	100.

The Aresty Foundation

22-6083140

Statement 8 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Channel 13 Box 1313 New York, NY 10101	none	Public	To carry out charitable purpose of organization.	\$ 100.
Grounds for Sculpture 18 Fairground Road Hamilton, NJ 08619	none	Public	To carry out charitable purpose of organization	110.
Manitoga/Russel Wright Design Center Box 249 Garrison, NY	none	Public	To carry out charitable purpose of organization	1,500.
The Explorers Club 40 E 70 St New York, NY 10021	none	Public	To carry out charitable purpose of organization	1,325.
Total				\$ <u>128,925.</u>

THE ARESTY FOUNDATION

Account Number: 876-04229

24-Hour Assistance: (800) MERRILL

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

November 30, 2013 - December 31, 2013

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A	35,121	8,659	03	0.23	2,669
TOTAL ML Bank Deposit Program	35,121			0.23	2,669

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS	Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH		0.83	0.83		.83		
+ML BANK DEPOSIT PROGRAM		2,669.00	2,669.00	1.0000	2,669.00	1	.03
+FDIC INSURED NOT SIPC COVERED							
TOTAL			2,669.83		2,669.83	1	.03

EQUITIES	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Income	Estimated Current Yield%
ALTRIA GROUP INC		MO 03/13/02		1,000	12.3107	12,310.70	38.3900	38,390.00	26,079.30	1,920	5.00	
AMERICAN RLTY CAP PPTYS INC		ARCP 12/03/13		2,500	13.3000	33,250.00	12.8510	32,127.50	(1,122.50)	2,348	7.30	
ANNALY CAP MGMT INC		NLY 04/02/09		1,000	13.9638	13,963.89	9.9700	9,970.00	(3,993.89)	1,200	12.03	
BANCO BILBAO VIZCAYA		BBVA 06/21/12		6,891	6.8498	47,202.66	12.3900	85,379.49	38,176.83	3,012	3.52	
ARGENTARIA S A ADR		10/30/12		109	8.2218	896.18	12.3900	1,350.51	454.33	48	3.52	
Subtotal				7,000		48,098.84		86,730.00	38,631.16	3,060	3.52	
BCE INC		BCE 03/25/10		2,000	30.1500	60,300.00	43.2900	86,580.00	26,280.00	4,473	5.16	
BREITBURN ENERGY PRNRS		BBEP 07/11/13		3,000	17.8399	53,519.70	20.3400	61,020.00	7,500.30	5,850	9.58	



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THE ARESTY FOUNDATION

Account Number: 876-04229

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
COCA COLA COM	KO	08/02/06	2,000	22.1250	44,250.00	41.3100	82,620.00	38,370.00	2,240 2.71
		10/03/06	12	22.2658	267.19	41.3100	495.72	228.53	14 2.71
		12/18/06	14	24.4321	342.05	41.3100	578.34	236.29	16 2.71
		04/03/07	14	24.3907	341.47	41.3100	578.34	236.87	16 2.71
		07/03/07	12	26.4050	316.86	41.3100	495.72	178.86	14 2.71
		10/02/07	14	28.9978	405.97	41.3100	578.34	172.37	16 2.71
(.0025 FRACTIONAL SHARE)		06/18/10		52.0000	0.13	41.3100	.10	(0.03)	2.71
(.0025 FRACTIONAL SHARE)		N/A		N/A	N/A	41.3100	.10	N/A	2.71
Subtotal			2,066.0050		45,923.67		85,346.66	39,422.89	2,316 2.71
DOW CHEMICAL CO	DOW	08/02/06	1,000	35.1100	35,110.00	44.4000	44,400.00	9,290.00	1,281 2.88
		10/31/06	9	41.2855	371.57	44.4000	399.60	28.03	12 2.88
		01/31/07	9	41.0933	369.84	44.4000	399.60	29.76	12 2.88
		05/01/07	8	44.6200	356.96	44.4000	355.20	(1.76)	11 2.88
		07/31/07	10	44.2910	442.91	44.4000	444.00	1.09	13 2.88
		06/18/10		26.6530	15.64	44.4000	26.05	10.41	1 2.88
(.5868 FRACTIONAL SHARE)			1,036.5868		36,666.92		46,024.45	9,357.53	1,330 2.88
Subtotal			3,000	28.1600	84,480.00	66.3000	198,900.00	114,420.00	8,281 4.16
ENTERPRISE PRDTS PRTN LP	EPD	10/26/06							
EV ENERGY PARTNERS LP	EVEP	03/25/10	2,000	31.4500	62,900.00	33.9300	67,860.00	4,960.00	6,160 9.07
HALLIBURTON COMPANY	HAL	03/13/02	2,000	8.7085	17,417.15	50.7500	101,500.00	84,082.85	1,201 1.18
ICAHN ENTERPRISES LP	IEP	04/24/13	1,000	74.6500	74,650.00	109.4100	109,410.00	34,760.00	5,001 4.57
JOHNSON AND JOHNSON COM	JNJ	10/23/03	1,000	50.2900	50,290.00	91.5900	91,590.00	41,300.00	2,640 2.88
KRAFT FOODS GROUP INC	KRFT	03/13/02	230	19.2203	4,420.67	53.9100	12,399.30	7,978.63	483 3.89
SHS									
MAGELLAN MIDSTREAM PARTNERS LP	MMP	11/29/02	4,000	8.6781	34,712.61	63.2700	253,080.00	218,367.39	8,921 3.52
MONDELEZ INTERNATIONAL INC	MDLZ	03/13/02	692	11.8513	8,201.13	35.3000	24,427.60	16,226.47	388 1.58

THE ARESTY FOUNDATION

Account Number: 876-04229

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
NEWMONT MINING CORP	NEM	06/19/13	1,000	32.1699	32,169.90	23.0300	23,030.00	(9,139.90)	800	3.47
NORTHSTAR RLTY FIN CORP	NRF	01/11/10	5,000	4.4260	22,130.35	13.4500	67,250.00	45,119.65	4,201	6.24
PETROCHINA CO LTD SPADR	PTR	05/27/03	1,000	26.0290	26,029.06	109.7400	109,740.00	83,710.94	4,215	3.84
PFIZER INC	PFE	12/13/96	574	21.6735	12,440.61	30.6300	17,581.62	5,141.01	597	3.39
		01/31/00	1,000	35.7058	35,705.81	30.6300	30,630.00	(5,075.81)	1,041	3.39
		11/02/00	1	54.2200	54.22	30.6300	30.63	(23.59)	2	3.39
		02/02/01	2	26.8300	53.66	30.6300	61.26	7.60	3	3.39
		05/02/01	1	48.7800	48.78	30.6300	30.63	(18.15)	2	3.39
		08/02/01	2	21.0100	42.02	30.6300	61.26	19.24	3	3.39
		11/02/01	2	38.3100	76.62	30.6300	61.26	(15.36)	3	3.39
		02/04/02	2	18.9900	37.98	30.6300	61.26	23.28	3	3.39
		06/06/03	8	33.0187	264.15	30.6300	245.04	(19.11)	9	3.39
		09/05/03	8	30.6100	244.88	30.6300	245.04	.16	9	3.39
(.1778 FRACTIONAL SHARE)		06/18/10		15.4668	2.75	30.6300	5.45	2.70	1	3.39
Subtotal			1,600.1778		48,971.48		49,013.45	41.97	1,673	3.39
PHILIP MORRIS INTL INC	PM	03/13/02	1,000	28.0522	28,052.25	87.1300	87,130.00	59,077.75	3,761	4.31
PLAINS ALL AMERN PIPL LP	PAA	12/13/10	2,000	31.1950	62,390.00	51.7700	103,540.00	41,150.00	4,801	4.63
REGAL ENTMT GROUP CL A	RGC	03/21/12	3,000	13.6203	40,861.11	19.4500	58,350.00	17,488.89	2,521	4.31
ROYAL DUTCH SHEL PLC	RDSB	07/08/09	1,000	47.5274	47,527.46	75.1100	75,110.00	27,582.54	3,601	4.79
SPONS ADR B										
TOTAL S.A. SPADR	TOT	10/14/10	1,000	54.4899	54,489.90	61.2700	61,270.00	6,780.10	2,626	4.28
WILLIAMS PARTNERS L P	WPZ	08/28/13	1,000	49.9800	49,980.00	50.8600	50,860.00	880.00	3,510	6.90
COM UNIT LTD PARTNERSHIP										
TOTAL					1,053,706.79		1,990,648.96	936,942.07	87,281	4.38

