Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
| Do not enter Social Security numbers on this form as it may be made public
| Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: MONSWEAG FOUNDATION

A Employer identification number: 22-3887737

Number and street (or P O box number if mail is not delivered to street address): 194 SIMON WILLARD ROAD | Room/suite

B Telephone number: (617) 422-8211

City or town, state or province, country, and ZIP or foreign postal code: CONCORD, MA 01742

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 4,354,477.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc, received			N/A	
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	16,945.	16,945.		STATEMENT 1
4	Dividends and interest from securities	87,104.	87,104.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	200,043.			
b	Gross sales price for all assets on line 6a 1,951,835.				
7	Capital gain net income (from Part IV, line 2)		200,043.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	845.	573.		STATEMENT 3
12	**Total.** Add lines 1 through 11	304,937.	304,665.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 4	9,300.	0.		0.
c	Other professional fees STMT 5	32,867.	32,867.		0.
17	Interest				
18	Taxes STMT 6	9,389.	2,889.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 7	945.	0.		70.
24	**Total operating and administrative expenses.** Add lines 13 through 23	52,501.	35,756.		70.
25	Contributions, gifts, grants paid	100,000.			100,000.
26	**Total expenses and disbursements** Add lines 24 and 25	152,501.	35,756.		100,070.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	152,436.			
b	**Net investment income** (if negative, enter -0-)		268,909.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

Revenue

Operating and Administrative Expenses

SCANNED OCT 27 2014

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	37,622.	334,777.	334,777.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations			
	b	Investments - corporate stock STMT 8	1,495,734.	1,522,261.	2,005,135.
	c	Investments - corporate bonds STMT 9	1,370,581.	1,248,573.	1,252,091.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	662,615.	613,377.	762,474.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	3,566,552.	3,718,988.	4,354,477.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	3,566,552.	3,718,988.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	3,566,552.	3,718,988.	
	31	**Total liabilities and net assets/fund balances**	3,566,552.	3,718,988.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,566,552.
2	Enter amount from Part I, line 27a	2	152,436.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	3,718,988.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,718,988.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,951,835.		1,751,792.	200,043.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				200,043.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	200,043.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	131,500.	3,753,127.	.035037
2011	220,070.	3,670,084.	.059963
2010	195,070.	3,702,840.	.052681
2009	340,140.	3,476,925.	.097828
2008	341,570.	4,364,328.	.078264

2 Total of line 1, column (d)	2	.323773
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.064755
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,028,718.
5 Multiply line 4 by line 3	5	260,880.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,689.
7 Add lines 5 and 6	7	263,569.
8 Enter qualifying distributions from Part XII, line 4	8	100,070.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	5,378.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	5,378.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	5,378.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	4,202.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	8,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	12,202.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	6,824.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 6,824. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOHN A. WEBSTER, JR. Telephone no ▶ (617) 422-8211

Located at ▶ 194 SIMON WILLARD ROAD, CONCORD, MA ZIP+4 ▶ 01742

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN S. WEBSTER 194 SIMON WILLARD ROAD CONCORD, MA 01742	TRUSTEE 0.00	0.	0.	0.
JOHN A. WEBSTER, JR. 194 SIMON WILLARD ROAD CONCORD, MA 01742	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	3,930,186.
b	Average of monthly cash balances		1b	159,883.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	4,090,069.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	4,090,069.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	61,351.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	4,028,718.
6	**Minimum investment return.** Enter 5% of line 5		6	201,436.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	201,436.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 5,378.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	5,378.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	196,058.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	196,058.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	196,058.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	100,070.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	100,070.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	100,070.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				196,058.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008 126,471.				
b From 2009 168,038.				
c From 2010 11,426.				
d From 2011 37,927.				
e From 2012				
f Total of lines 3a through e	343,862.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 100,070.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				100,070.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	95,988.			95,988.
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	247,874.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	30,483.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	217,391.			
10 Analysis of line 9				
a Excess from 2009 168,038.				
b Excess from 2010 11,426.				
c Excess from 2011 37,927.				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE STATEMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT STREET BOSTON, MA 02114	N/A	501 (C)(3)	GENERAL	100,000.
Total			▶ 3a	100,000.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	16,945.	
4 Dividends and interest from securities			14	87,104.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	573.	272.
8 Gain or (loss) from sales of assets other than inventory			18	200,043.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		304,665.	272.

13 Total. Add line 12, columns (b), (d), and (e) 13 304,937.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Ann S Webster | Date | TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
WTAS / Susan Delgado	Susan M Delgado	9/22/14		
Firm's name ▶ WTAS LLC			Firm's EIN ▶ 33-1197384	
Firm's address ▶ 125 HIGH STREET, 16TH FLOOR BOSTON, MA 02110			Phone no (617) 292-8400	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	GS 38662-SEE STATEMENT ATTACHED	P	VARIOUS	
b	GS 38662-SEE STATEMENT ATTACHED	P	VARIOUS	
c	GS 46412-SEE STATEMENT ATTACHED	P	VARIOUS	
d	GS 46412-SEE STATEMENT ATTACHED	P	VARIOUS	
e	GS 46412-SEE STATEMENT ATTACHED	P	VARIOUS	
f	GS 72648-SEE STATEMENT ATTACHED	P	VARIOUS	
g	GS 72648-SEE STATEMENT ATTACHED	P	VARIOUS	
h	ST LOSS FROM NON-US EQUITY MANAGERS K-1	P	VARIOUS	
i	LT LOSS FROM NON-US EQUITY MANAGERS K-1	P	VARIOUS	
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	175,275.		205,751.	-30,476.
b	1,147,655.		1,031,962.	115,693.
c	22,438.		20,992.	1,446.
d	17,025.		18,389.	-1,364.
e	87,860.		53,148.	34,712.
f	309,871.		294,191.	15,680.
g	158,602.		127,359.	31,243.
h	4,532.			4,532.
i	13,747.			13,747.
j	14,830.			14,830.
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-30,476.
b				115,693.
c				1,446.
d				-1,364.
e				34,712.
f				15,680.
g				31,243.
h				4,532.
i				13,747.
j				14,830.
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	200,043.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS 38662	16,909.	16,909.	
GOLDMAN SACHS 46412	10.	10.	
GOLDMAN SACHS 48883	6.	6.	
GOLDMAN SACHS 72648	20.	20.	
TOTAL TO PART I, LINE 3	16,945.	16,945.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS 38662	63,865.	14,830.	49,035.	49,035.	
GOLDMAN SACHS 46412	5,591.	0.	5,591.	5,591.	
GOLDMAN SACHS 72648	12,403.	0.	12,403.	12,403.	
NON US EQUITY PORTFOLIO MANAGERS:	20,075.	0.	20,075.	20,075.	
TO PART I, LINE 4	101,934.	14,830.	87,104.	87,104.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
NON TAXABLE DISTRIBUTIONS	202.	0.	
SEC 988 GAINS (FROM K-1)	-980.	-980.	
OTHER INCOME (FROM K-1)	1,553.	1,553.	
OTHER INCOME	70.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	845.	573.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREP FEES	9,300.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	9,300.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	31,547.	31,547.		0.
BOND AMORTIZATION	1,320.	1,320.		0.
TO FORM 990-PF, PG 1, LN 16C	32,867.	32,867.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	2,889.	2,889.		0.
FORM 990-PF EXTENSION	6,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	9,389.	2,889.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MA FILING FEE	70.	0.		70.
NON DEDUCTIBLE EXPENSES	749.	0.		0.
RECLAIMABLE TAXES	126.	0.		0.
TO FORM 990-PF, PG 1, LN 23	945.	0.		70.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS - PUBLIC EQUITY	1,522,261.	2,005,135.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,522,261.	2,005,135.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS - FIXED INCOME	1,248,573.	1,252,091.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,248,573.	1,252,091.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS - ALTERNATIVE INVESTMENTS	COST	613,377.	762,474.
TOTAL TO FORM 990-PF, PART II, LINE 13		613,377.	762,474.

FORM 990-PF PART XV - LINE 1A STATEMENT 11
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

ANN S. WEBSTER
JOHN A. WEBSTER, JR.

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(30 476 25)	Net Covered Long-Term Gains (Losses)	0 00	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	115 692 99		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(30,476.25)**	**Total Long-Term Gains (Losses)**	**115,692.99**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	12/31/2012	08/29/2013	33 21	277 32	0 00	327 47	(50 15)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	07/31/2013	08/29/2013	78 13	652 35	0 00	689 07	(36 72)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	02/28/2013	08/29/2013	78 15	652 59	0 00	768 25	(115 66)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	03/28/2013	08/29/2013	78 67	656 90	0 00	763 90	(107 00)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	04/30/2013	08/29/2013	79 76	666 03	0 00	800 03	(134 00)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/28/2013	08/29/2013	80 33	670 76	0 00	711 73	(40 97)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	05/31/2013	08/29/2013	82 12	685 72	0 00	764 56	(78 84)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	01/31/2013	08/29/2013	93 27	778 82	0 00	926 19	(147 37)

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	12/17/2012	08/29/2013	20,387 36	170,234 46	0 00	200,000 00	(29,765 54)
Net Covered Short-Term Gains (Losses)				**175,274.95**	**0.00**	**205,751.20**	**(30,476.25)**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES (38141W810)	10/31/2008	01/09/2013	61 16	653 17	0 00	511 89	141 28
GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES (38141W810)	11/28/2008	01/09/2013	100 44	1,072 73	0 00	824 64	248 09
GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES (38141W810)	12/12/2008	01/09/2013	10,674 56	114,004 33	0 00	88,598 87	25,405 46
GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES (38141W810)	10/08/2008	01/09/2013	21,935 37	234,269 78	0 00	193,469 99	40,799 79
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	01/28/2011	01/09/2013	19 50	256 96	0 00	247 01	9 95
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	07/29/2010	01/09/2013	21.40	282 07	0 00	275 65	6 42
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	09/29/2010	01/09/2013	22.48	296 31	0 00	293 16	3 15
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	10/28/2010	01/09/2013	23 53	310 06	0 00	305 12	4 94
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	11/29/2010	01/09/2013	24 90	328 17	0 00	319 70	8 47
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	08/30/2010	01/09/2013	24 94	328 76	0 00	325 52	3 24
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	04/29/2010	01/09/2013	27 63	364 11	0 00	354 16	9 95
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	06/29/2010	01/09/2013	28.80	379 54	0 00	370 91	8 63
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	05/27/2010	01/09/2013	29.45	388 11	0 00	376 33	11 78
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	02/25/2010	01/09/2013	32 97	434 57	0 00	417 42	17 15
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	12/30/2010	01/09/2013	36 45	480 39	0 00	463 26	17 13
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	03/30/2010	01/09/2013	36 54	481 65	0 00	463 74	17 91
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	11/29/2007	01/09/2013	37 04	488 24	0 00	483 06	5 18

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	12/28/2006	01/09/2013	37 89	499 32	0 00	482 27	17 05
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	02/27/2007	01/09/2013	38.12	502 37	0 00	486 36	16 01
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	01/29/2009	01/09/2013	38.17	503 02	0 00	470 57	32 45
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	11/27/2009	01/09/2013	38 54	507 92	0 00	484 03	23 89
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	11/29/2006	01/09/2013	38 64	509 33	0 00	493 49	15 84
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	12/28/2007	01/09/2013	39 21	516 83	0 00	512 51	4 32
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	01/28/2010	01/09/2013	39 33	518 33	0 00	495 91	22 42
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	02/26/2009	01/09/2013	39 70	523 18	0 00	483 09	40 09
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	09/28/2006	01/09/2013	39 87	525 42	0 00	506 29	19 13
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	10/30/2007	01/09/2013	40 17	529 43	0 00	520 19	9 24
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	04/27/2006	01/09/2013	40.40	532 41	0 00	509 78	22 63
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	09/29/2008	01/09/2013	40 95	539 71	0 00	502 85	36 86
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	02/28/2008	01/09/2013	41.52	547 17	0 00	545 51	1 66
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	12/30/2009	01/09/2013	42 09	554 71	0.00	525 67	29 04
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	08/30/2006	01/09/2013	42 52	560 44	0 00	538 76	21 68
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	10/30/2006	01/09/2013	43.10	568 08	0.00	547 83	20 25
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	11/26/2008	01/09/2013	43 38	571 76	0.00	524 04	47 72
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	01/30/2007	01/09/2013	43 42	572 21	0 00	550 50	21 71
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	05/30/2007	01/09/2013	43 76	576 78	0 00	551 84	24 94
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	02/27/2006	01/09/2013	43 96	579 45	0 00	564 06	15 39
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	03/29/2007	01/09/2013	44 05	580 55	0 00	558 09	22 46
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	09/29/2009	01/09/2013	44 21	582 74	0 00	549 14	33 60
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	03/30/2009	01/09/2013	44 22	582 87	0 00	542 19	40 68
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	07/28/2006	01/09/2013	44 36	584 63	0 00	560 23	24 40
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	10/29/2009	01/09/2013	44 38	584 88	0 00	553 81	31 07

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	06/28/2007	01/09/2013	44 58	587 58	0 00	561 28	26.30
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	06/29/2006	01/09/2013	44 75	589 85	0 00	561 65	28 20
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	05/28/2009	01/09/2013	44 87	591 41	0 00	562 70	28.71
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	10/30/2008	01/09/2013	44.88	591 49	0 00	538 98	52 51
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	08/30/2007	01/09/2013	45 11	594 60	0 00	578 81	15 79
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	04/29/2008	01/09/2013	45 17	595 37	0 00	579 11	16.26
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	07/30/2007	01/09/2013	46 17	608 55	0 00	588 23	20 32
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	05/30/2006	01/09/2013	46 19	608 72	0 00	582 85	25 87
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	06/29/2009	01/09/2013	46 21	609 02	0 00	590 07	18 95
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	04/27/2007	01/09/2013	46 35	610 84	0 00	589 52	21 32
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	04/29/2009	01/09/2013	46 52	613 16	0 00	577 80	35 36
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	05/29/2008	01/09/2013	46 79	616 72	0 00	591 92	24 80
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	09/27/2007	01/09/2013	47 78	629 70	0 00	613 94	15 76
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	08/28/2008	01/09/2013	50 64	667.44	0 00	634 52	32.92
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	06/27/2008	01/09/2013	50 73	668 60	0 00	637 65	30 95
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	03/30/2006	01/09/2013	51 12	673 81	0 00	649 27	24 54
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	03/28/2008	01/09/2013	51 22	675 03	0 00	660 69	14.34
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	01/30/2006	01/09/2013	52 00	685 29	0 00	667 61	17 68
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	08/28/2009	01/09/2013	52 08	686 43	0 00	637 99	48 44
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	07/30/2008	01/09/2013	58 99	777 45	0 00	733 21	44 24
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	12/30/2008	01/09/2013	61 37	808 87	0 00	758 54	50 33
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	10/29/2004	01/09/2013	68 61	904 31	0 00	934.50	(30 19)
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	04/28/2005	01/09/2013	72 74	958 73	0 00	976 91	(18 18)
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	05/27/2005	01/09/2013	73 28	965 79	0 00	983 38	(17 59)
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	06/29/2005	01/09/2013	73 33	966 53	0 00	987 06	(20 53)

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	07/28/2005	01/09/2013	73 88	973 78	0 00	989 30	(15 52)
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	08/30/2005	01/09/2013	74 21	978 14	0 00	992 99	(14 85)
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	09/29/2005	01/09/2013	74 60	983 25	0 00	996 68	(13 43)
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	10/28/2005	01/09/2013	75 84	999 57	0 00	1,001 84	(2 27)
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	12/29/2005	01/09/2013	419 77	5,532 54	0 00	5,419 21	113 33
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	07/30/2009	01/09/2013	1,108 47	14,609 57	0 00	13,434 59	1,174 98
GS GLOBAL INCOME FUND INSTITUTIONAL SHARES (38141W737)	12/16/2003	01/09/2013	3,591 58	47,337 02	0 00	51,822 45	(4,485 43)
AB SVENSK EXPORTKREDIT MTN 0 0% 02/28/2013 LINKED TO S&P GSCI ENH INDEX STRUCTURED NOTE (00254EKH4)	06/23/2010	02/28/2013	75,000 00	92,440 57	0 00	75,000 00	17,440 57
ROYAL BANK OF CANADA LNKD TO TOPIX INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 07/03/2013 (78008C887)	03/19/2012	07/03/2013	50 00	60,000 00	0 00	50,000 00	10,000 00
FHLB 5 125% 08/14/2013 FA (3133XGVF8)	01/05/2007	08/14/2013	125,000 00	125,000 00	(2,150 00)	125,000 00	0 00
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/03/2003	08/29/2013	35.51	255 64	0 00	276 93	(21 29)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/29/2004	08/29/2013	81 01	583 28	0 00	655 38	(72 10)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/18/2004	08/29/2013	107.58	774 54	0 00	864 90	(90 36)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/28/2007	08/29/2013	123 19	887 00	0 00	978 16	(91 16)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/31/2007	08/29/2013	124 28	894 82	0 00	986 79	(91 97)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2007	08/29/2013	125.04	900 29	0 00	972 82	(72 53)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2007	08/29/2013	128 80	927 35	0 00	990 46	(63 11)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2008	08/29/2013	131 65	947 89	0 00	980 81	(32 92)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/30/2008	08/29/2013	133 00	957 57	0 00	989 49	(31 92)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/29/2008	08/29/2013	134 72	969 98	0 00	965 94	4 04
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/31/2008	08/29/2013	136 49	982 73	0 00	974 54	8 19
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/31/2008	08/29/2013	136 79	984 92	0 00	1,002 70	(17 78)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2008	08/29/2013	142 48	1,025 82	0 00	1,014 42	11 40

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/31/2008	08/29/2013	146 69	1,056 18	0 00	1,020 97	35 21
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2009	08/29/2013	148 77	1,071 14	0 00	1,004 20	66 94
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2010	08/29/2013	150 51	1,083 68	0 00	1,080 67	3 01
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/29/2008	08/29/2013	150 87	1,086.29	0 00	1,048 57	37 72
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2009	08/29/2013	154 62	1,113 25	0 00	995 74	117 51
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/31/2009	08/29/2013	155 16	1,117.15	0 00	986 82	130 33
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/31/2010	08/29/2013	156 39	1,126 00	0 00	1,108 80	17 20
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/30/2010	08/29/2013	156 92	1,129 79	0 00	1,114 10	15 69
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/26/2010	08/29/2013	158 16	1,138 77	0 00	1,096 06	42 71
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/28/2010	08/29/2013	159.01	1,144 85	0 00	1,095 56	49 29
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/29/2010	08/29/2013	161 49	1,162 71	0 00	1,180 47	(17 76)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/30/2009	08/29/2013	161 57	1,163 32	0 00	1,101 92	61 40
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/31/2011	08/29/2013	163 16	1,174 73	0 00	1,207 36	(32 63)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2008	08/29/2013	164 72	1,185 96	0 00	1,054 18	131 78
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/29/2010	08/29/2013	165 46	1,191 32	0 00	1,153 26	38 06
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2010	08/29/2013	165 73	1,193 26	0 00	1,209 83	(16 57)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2009	08/29/2013	165 94	1,194 75	0 00	1,131 69	63 06
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/28/2006	08/29/2013	166 67	1,200 02	0 00	1,333 35	(133 33)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/29/2009	08/29/2013	167 67	1,207 24	0 00	992 62	214 62
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2010	08/29/2013	167 90	1,208 89	0 00	1,205 53	3 36
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/31/2006	08/29/2013	168 80	1,215 35	0 00	1,343 64	(128 29)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2010	08/29/2013	168 82	1,215 48	0 00	1,190 15	25 33
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2009	08/29/2013	169 91	1,223 35	0 00	1,022 85	200 50
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2006	08/29/2013	171 18	1,232 50	0 00	1,345 48	(112 98)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2010	08/29/2013	172 18	1,239 68	0 00	1,191 47	48 21

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2009	08/29/2013	173.95	1,252 47	0 00	981 10	271.37
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/28/2005	08/29/2013	174 79	1,258 51	0 00	1,443.79	(185 28)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2010	08/29/2013	175 79	1,265 66	0 00	1,263 90	1 76
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/31/2005	08/29/2013	176 33	1,269 60	0 00	1,440 64	(171 04)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/28/2006	08/29/2013	179 65	1,293 49	0 00	1,435 42	(141 93)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/31/2006	08/29/2013	180 42	1,299 05	0 00	1,432 56	(133 51)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/31/2006	08/29/2013	181 68	1,308 08	0 00	1,451 61	(143 53)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/31/2005	08/29/2013	182 53	1,314 24	0 00	1,460 27	(146 03)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/28/2007	08/29/2013	184 66	1,329 52	0 00	1,519 72	(190 20)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/29/2005	08/29/2013	185.49	1,335 53	0 00	1,495 06	(159 53)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/31/2006	08/29/2013	185 64	1,336 59	0 00	1,462 82	(126 23)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2005	08/29/2013	186 33	1,341 60	0 00	1,486 94	(145 34)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/31/2007	08/29/2013	186 37	1,341 88	0 00	1,438 79	(96 91)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2007	08/29/2013	186.64	1,343 79	0 00	1,543 49	(199 70)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2005	08/29/2013	186 80	1,344 99	0 00	1,505 64	(160 65)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/29/2005	08/29/2013	187 26	1,348 26	0 00	1,466 23	(117 97)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/31/2009	08/29/2013	187.30	1,348 55	0 00	972 08	376 47
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/30/2007	08/29/2013	187 38	1,349 11	0 00	1,536 48	(187 37)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/31/2007	08/29/2013	187 71	1,351 50	0 00	1,556 10	(204 60)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/31/2005	08/29/2013	188 20	1,355 05	0 00	1,477 38	(122 33)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2006	08/29/2013	189 13	1,361 76	0 00	1,501 72	(139 96)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2005	08/29/2013	190 15	1,369 09	0 00	1,513 61	(144 52)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/29/2006	08/29/2013	191 00	1,375 19	0 00	1,524 17	(148 98)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/31/2006	08/29/2013	191 67	1,380 01	0 00	1,542 93	(162 92)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2004	08/29/2013	191 74	1,380 55	0 00	1,566 54	(185 99)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2006	08/29/2013	192 74	1,387 70	0 00	1,563 09	(175 39)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/29/2007	08/29/2013	192 85	1,388 51	0 00	1,562 07	(173 56)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/27/2009	08/29/2013	194 47	1,400 18	0 00	1,005 41	394 77
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/31/2005	08/29/2013	195 05	1,404 33	0 00	1,525 26	(120 93)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2005	08/29/2013	195.60	1,408 30	0 00	1,533 48	(125 18)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/30/2005	08/29/2013	196 54	1,415 11	0 00	1,546 79	(131 68)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/30/2009	08/29/2013	196 72	1,416 36	0 00	1,042 60	373 76
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2007	08/29/2013	196 94	1,417 98	0 00	1,502 67	(84 69)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/31/2007	08/29/2013	197 74	1,423 71	0 00	1,613 54	(189 83)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/31/2008	08/29/2013	199 46	1,436 10	0 00	1,057 13	378 97
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/28/2008	08/29/2013	209 21	1,506 32	0.00	1,020 95	485 37
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2009	08/29/2013	220 51	1,587 66	0 00	1,532 53	55 13
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2008	08/29/2013	223 35	1,608.11	0 00	1,130 14	477 97
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/02/2003	08/29/2013	245 94	1,770 77	0 00	1,856.70	(85 93)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/03/2003	08/29/2013	247 96	1,785 28	0 00	1,933 99	(148 71)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2004	08/29/2013	262.58	1,890 55	0 00	2,161 01	(270 46)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/01/2003	08/29/2013	272 47	1,961 81	0.00	2,095 20	(133 39)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/29/2006	08/29/2013	305 44	2,199 15	0 00	2,480 16	(281 01)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/06/2003	08/29/2013	11,743 35	84,552 14	0 00	87,244 19	(2,692 05)
ISHARES MSCI EMERGING MKTS ETF (464287234)	01/05/2007	08/29/2013	2,660 00	99,961 59	0 00	98,073 23	1,888 36
RABOBANK NEDERLAND UTRECHT LINKED TO S&P 500 0% COUPON DUE 11/08/2013 STRUCTURED NOTE (21684A205)	11/01/2011	11/08/2013	100 00	126,700 00	0 00	100,000 00	26,700 00
Net NonCovered Long-Term Gains (Losses)				**1,147,655.44**	**(2,150.00)**	**1,031,962.45**	**115,692.99**

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Goldman Sachs

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	1,445 97	Net Covered Long-Term Gains (Losses)	(1,364 05)	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	34,712 03		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**1,445.97**	**Total Long-Term Gains (Losses)**	**33,347 98**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
BMC SOFTWARE, INC CMN (055921100)	05/03/2012	02/14/2013	5 00	206 98	0 00	208 61	(1 63)
BMC SOFTWARE, INC CMN (055921100)	04/25/2012	02/14/2013	27 00	1,117 74	0 00	1,104 22	13 52
BMC SOFTWARE, INC CMN (055921100)	05/03/2012	03/01/2013	33 00	1,334 15	0 00	1,376 85	(42 70)
BMC SOFTWARE, INC CMN (055921100)	05/09/2012	05/06/2013	45 00	2,047 13	0 00	1,790 32	256 81
BMC SOFTWARE, INC CMN (055921100)	05/29/2012	05/07/2013	23.00	1,042 69	0 00	1,008 50	34 19
BMC SOFTWARE, INC CMN (055921100)	05/09/2012	05/07/2013	31.00	1,405 37	0.00	1,233.33	172 04
BMC SOFTWARE, INC CMN (055921100)	06/04/2012	05/07/2013	33 00	1,496 04	0 00	1,370 23	125 81
BMC SOFTWARE, INC CMN (055921100)	06/15/2012	05/07/2013	63 00	2,856 08	0 00	2,741 58	114 50
BMC SOFTWARE, INC CMN (055921100)	05/16/2012	05/07/2013	75 00	3,400 09	0 00	3,283 23	116 86
BMC SOFTWARE, INC CMN (055921100)	06/21/2012	06/06/2013	19 00	859 31	0 00	804 14	55 17
BMC SOFTWARE, INC CMN (055921100)	06/15/2012	06/06/2013	30 00	1,356 80	0 00	1,305 51	51 29
BMC SOFTWARE, INC CMN (055921100)	08/13/2012	06/06/2013	30 00	1,356 80	0 00	1,229 14	127 66
BMC SOFTWARE, INC CMN (055921100)	11/07/2012	06/06/2013	50 00	2,261 34	0 00	2,058 38	202 96
SALLY BEAUTY HOLDINGS, INC CMN (79546E104)	11/14/2012	07/02/2013	24 00	742 17	0 00	601 05	141 12

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SALLY BEAUTY HOLDINGS, INC CMN (79546E104)	11/14/2012	08/05/2013	35 00	954 90	0 00	876 53	78 37
Net Covered Short-Term Gains (Losses)				**22,437.59**	**0.00**	**20,991.62**	**1,445.97**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
VERISIGN INC CMN (92343E102)	05/11/2011	01/14/2013	48 00	1,832 78	0 00	1,702 19	130 59
STAPLES, INC CMN (855030102)	08/18/2011	02/25/2013	147 00	1,972 25	0 00	1,979 69	(7 44)
POLYCOM INC CMN (73172K104)	10/25/2011	03/05/2013	109.00	1,016 66	0 00	1,753 03	(736 37)
POLYCOM INC CMN (73172K104)	01/06/2011	03/05/2013	130.00	1,212 53	0.00	2,557 71	(1,345 18)
BMC SOFTWARE, INC CMN (055921100)	05/03/2012	05/06/2013	18 00	818 85	0 00	751 01	67 84
BMC SOFTWARE, INC CMN (055921100)	05/04/2012	05/06/2013	22 00	1,000 82	0 00	884 72	116 10
CATERPILLAR INC (DELAWARE) CMN (149123101)	08/18/2011	06/06/2013	18 00	1,506 52	0 00	1,494 20	12 32
CATERPILLAR INC (DELAWARE) CMN (149123101)	08/08/2011	06/06/2013	65 00	5,440 20	0 00	5,416 13	24 07
PROGRESSIVE CORPORATION (THE) CMN (743315103)	02/21/2012	07/18/2013	86 00	2,224 20	0 00	1,850 18	374 02
Net Covered Long-Term Gains (Losses)				**17,024.81**	**0.00**	**18,388.86**	**(1,364.05)**
NonCovered Long-Term Gains (Losses)							
APPLE, INC CMN (037833100)	01/25/2008	01/04/2013	2 00	1,062 51	0 00	263 47	799 04
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)		01/10/2013	0 00	0 29	0 00	No Cost	0 29 [8]
NIKE CLASS-B CMN CLASS B (654106103)	04/23/2009	01/14/2013	8 00	425 31	0 00	215 38	209 93
NIKE CLASS-B CMN CLASS B (654106103)	04/09/2009	01/14/2013	16 00	850 61	0 00	420 76	429 85
SYNGENTA AG SPONSORED ADR CMN (87160A100)	03/17/2009	01/14/2013	24 00	1,984 47	0 00	894 16	1,090 31
APPLE, INC CMN (037833100)	01/25/2008	01/22/2013	14 00	6,998 91	0 00	1,844 28	5,154 63

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[8] Due to incomplete cost basis gains may be incorrectly stated and should not be used for tax reporting purposes Please consult with your tax advisor

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
STAPLES, INC CMN (855030102)	12/29/2005	02/20/2013	526 00	7,386 19	0 00	12,103 26	(4,717 07)
STAPLES, INC CMN (855030102)	08/09/2006	02/21/2013	12 00	159 85	0 00	276 89	(117 04)
STAPLES, INC CMN (855030102)	12/29/2005	02/21/2013	167 00	2,224 55	0 00	3,842.67	(1,618 12)
STAPLES, INC CMN (855030102)	08/09/2006	02/25/2013	12 00	161 00	0.00	276 89	(115 89)
STAPLES, INC CMN (855030102)	04/27/2010	02/25/2013	48 00	644 00	0 00	1,163 07	(519 07)
POLYCOM INC CMN (73172K104)	10/06/2010	03/05/2013	72 00	671 56	0 00	977.82	(306 26)
POLYCOM INC CMN (73172K104)	09/27/2010	03/05/2013	188 00	1,753 51	0 00	2,646 59	(893 08)
NOVO-NORDISK A/S ADR ADR CMN (670100205)	03/11/2009	03/08/2013	8 00	1,396 71	0 00	355 74	1,040 97
NOVO-NORDISK A/S ADR ADR CMN (670100205)	04/23/2009	03/08/2013	9 00	1,571 31	0.00	446 62	1,124 69
APPLE, INC CMN (037833100)	09/15/2008	05/06/2013	2 00	921 16	0 00	285 21	635 95
APPLE, INC CMN (037833100)	02/05/2008	05/06/2013	7 00	3,224 04	0 00	932 40	2,291 64
ALLERGAN INC CMN (018490102)	12/29/2005	05/20/2013	25 00	2,485 09	0 00	1,361 21	1,123 88
APPLE, INC CMN (037833100)	09/15/2008	07/16/2013	9 00	3,840 93	0 00	1,283 43	2,557 50
ADOBE SYSTEMS INC CMN (00724F101)	05/06/2009	07/19/2013	68.00	3,270 59	0.00	1,785 12	1,485 47
INTUIT INC CMN (461202103)	01/24/2007	08/05/2013	23 00	1,471 90	0 00	735 89	736 01
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)	10/26/2011	08/16/2013	44.00	233 90	0 00	83 58	150 32
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)	10/18/2011	08/16/2013	78 00	414 64	0 00	147 08	267 56
WTS/KINDER MORGAN, INC EXP 05/25/2017 (49456B119)	10/20/2011	08/16/2013	132 00	701 69	0 00	250 73	450 96
APPLE, INC CMN (037833100)	10/07/2008	08/26/2013	7.00	3,533 96	0 00	646 19	2,887 77
INTUIT INC CMN (461202103)	01/24/2007	08/27/2013	15 00	951 79	0 00	479 93	471 86
INTUIT INC CMN (461202103)	02/09/2007	08/27/2013	35 00	2,220 84	0 00	1,105 86	1,114 98
WALGREEN CO CMN (931422109)	12/29/2005	08/29/2013	32 00	1,524 62	0 00	1,416 32	108 30
EOG RESOURCES INC CMN (26875P101)	04/21/2008	09/05/2013	7 00	1,133 21	0 00	959 66	173 55
ADOBE SYSTEMS INC CMN (00724F101)	05/06/2009	10/02/2013	2 00	102 81	0 00	52 50	50 31
ADOBE SYSTEMS INC CMN (00724F101)	02/12/2010	10/02/2013	16 00	822 49	0 00	512 63	309 86

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ADOBE SYSTEMS INC CMN (00724F101)	07/24/2009	10/02/2013	32 00	1,644.97	0 00	1,031 66	613 31
APPLE, INC CMN (037833100)	10/07/2008	10/28/2013	1 00	530 74	0 00	92 31	438 43
APPLE, INC CMN (037833100)	10/28/2008	10/28/2013	3 00	1,592 21	0 00	281 28	1,310 93
ADOBE SYSTEMS INC CMN (00724F101)	07/01/2010	10/29/2013	10 00	541 46	0 00	265.61	275.85
ADOBE SYSTEMS INC CMN (00724F101)	02/12/2010	10/29/2013	20 00	1,082 90	0 00	640 78	442 12
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	07/15/2008	10/30/2013	9 00	1,719 34	0 00	785 87	933.47
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	09/15/2008	10/30/2013	3 00	2,170 99	0 00	633 54	1,537 45
VISA INC CMN CLASS A (92826C839)	10/06/2008	10/30/2013	12 00	2,429 79	0 00	664 35	1,765 44
ADOBE SYSTEMS INC CMN (00724F101)	09/28/2010	10/31/2013	12 00	650 88	0 00	316 46	334 42
ADOBE SYSTEMS INC CMN (00724F101)	07/01/2010	10/31/2013	20 00	1,084 80	0 00	531 21	553 59
EOG RESOURCES INC CMN (26875P101)	04/21/2008	11/01/2013	9 00	1,606 08	0 00	1,233 85	372 23
EOG RESOURCES INC CMN (26875P101)	04/29/2008	11/01/2013	21 00	3,747 50	0 00	2,721 16	1,026 34
PERRIGO COMPANY CMN (714290103)	09/07/2010	12/19/2013	9 00	1,398 15	0 00	521 00	877 15
PERRIGO COMPANY CMN (714290103)	09/08/2010	12/19/2013	9 00	1,398 15	0 00	527 21	870 94
PERRIGO COMPANY CMN (714290103)	09/28/2010	12/19/2013	26 00	4,039 09	0 00	1,711 93	2,327 16
PERRIGO COMPANY CMN (714290103)	09/27/2010	12/19/2013	52 00	8,078 18	0.00	3,424 08	4,654 10
Net NonCovered Long-Term Gains (Losses)				**87,859.67**	**0.00**	**53,147.64**	**34,712.03**

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	15,680 66	Net Covered Long-Term Gains (Losses)	31 242 94	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	0 00	Net NonCovered Long-Term Gains (Losses)	0 00		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**15,680.66**	**Total Long-Term Gains (Losses)**	**31,242.94**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
BAXTER INTERNATIONAL INC CMN (071813109)	11/20/2012	01/04/2013	80 00	5,444 97	0 00	5,300 06	144 91
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/12/2012	01/04/2013	63 00	2,361 46	0 00	4,450 84	(2,089 38)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/05/2012	01/04/2013	70 00	2,623 85	0 00	4,548 53	(1,924 68)
COPA HOLDINGS, S A CMN CLASS A (P31076105)	01/12/2012	01/04/2013	5.00	500.71	0 00	322 14	178 57
COPA HOLDINGS, S A CMN CLASS A (P31076105)	01/05/2012	01/04/2013	26 00	2,603 70	0 00	1,560 60	1,043.10
ELI LILLY & CO CMN (532457108)	07/30/2012	01/04/2013	116 00	5,984 23	0 00	5,101 60	882 63
ELI LILLY & CO CMN (532457108)	07/16/2012	01/04/2013	117 00	6,035 81	0 00	5,118 67	917 14
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/05/2012	01/04/2013	77.00	1,938 48	0 00	2,624.93	(686 45)
ENDO HEALTH SOLUTIONS INC CMN (29264F205) Disallowed Loss							686 45
UNITED THERAPEUTICS CORP CMN (91307C102)	11/21/2012	01/04/2013	16 00	837 86	0 00	832 81	5 05
UNITED THERAPEUTICS CORP CMN (91307C102)	11/20/2012	01/04/2013	35 00	1,832 81	0 00	1,782 49	50 32
COPA HOLDINGS, S A CMN CLASS A (P31076105)	01/12/2012	01/07/2013	25 00	2,476 53	0 00	1,610 69	865 84
UNITED THERAPEUTICS CORP CMN (91307C102)	11/21/2012	01/07/2013	19 00	1,000 31	0 00	988 96	11 35
UNITED THERAPEUTICS CORP CMN (91307C102)	11/23/2012	01/07/2013	33 00	1,737 37	0 00	1,749 95	(12 58)
MICROSOFT CORPORATION CMN (594918104)	09/17/2012	01/25/2013	172 00	4,796 85	0 00	5,352 59	(555 74)
MICROSOFT CORPORATION CMN (594918104) Disallowed Loss							555 74

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MICROSOFT CORPORATION CMN (594918104)	01/04/2013	01/25/2013	203 00	5,661 40	0 00	5,460 54	200 86
ABBOTT LABORATORIES CMN (002824100)	01/25/2013	02/11/2013	149 00	5,111 53	0.00	4,885 75	225 78
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	09/04/2012	02/11/2013	117.00	2,378 62	0 00	3,180 87	(802 25)
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	10/31/2012	02/12/2013	5 00	98 59	0.00	100 23	(1 64)
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	09/04/2012	02/12/2013	80 00	1,577.52	0 00	2,174 95	(597.43)
APOLLO EDUCATION GROUP, INC CMN CLASS A (037604105)	10/31/2012	02/13/2013	266 00	5,126 10	0.00	5,332 37	(206 27)
HALLIBURTON COMPANY CMN (406216101)	04/16/2012	02/20/2013	178 00	7,467 75	0 00	5,708 73	1,759 02
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	10/19/2012	03/04/2013	39 00	900 54	0 00	819 16	81 38
KIMBERLY CLARK CORP CMN (494368103)	01/04/2013	03/25/2013	65 00	6,181 45	0 00	5,594 56	586 89
WINDSTREAM CORPORATION CMN (97381W104)	01/04/2013	03/25/2013	250 00	2,117 97	0 00	2,299 33	(181 36)
WINDSTREAM CORPORATION CMN (97381W104)	01/07/2013	03/26/2013	127 00	1,033 19	0 00	1,206 61	(173 42)
WINDSTREAM CORPORATION CMN (97381W104)	01/04/2013	03/26/2013	372 00	3,026 37	0 00	3,373 97	(347 60)
WINDSTREAM CORPORATION CMN (97381W104)	01/07/2013	03/27/2013	496 00	3,976 79	0 00	4,712 45	(735 66)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	06/29/2012	04/15/2013	25 00	447 06	0.00	1,221 05	(773 99)
CLIFFS NATURAL RESOURCES INC. CMN (18683K101) Disallowed Loss							773 99
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	06/28/2012	04/15/2013	26 00	464 94	0 00	1,210 75	(745 81)
CLIFFS NATURAL RESOURCES INC CMN (18683K101) Disallowed Loss							745 81
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	06/27/2012	04/15/2013	50 00	894 12	0 00	2,360 14	(1,466 02)
CLIFFS NATURAL RESOURCES INC CMN (18683K101) Disallowed Loss							1,466 02
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	03/25/2013	04/15/2013	162 00	2,896 94	0 00	3,393 86	(496 92)
HARRIS CORP CMN (413875105)	03/25/2013	04/15/2013	21.00	898 30	0.00	934 59	(36 29)
HARRIS CORP CMN (413875105) Disallowed Loss							36 29
MCGRAW-HILL COMPANIES INC CMN (580645109)	02/20/2013	04/15/2013	30 00	1,548 29	0 00	1,376 86	171 43
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	03/25/2013	04/16/2013	4 00	70 16	0 00	83 80	(13 64)
CLIFFS NATURAL RESOURCES INC. CMN (18683K101)	06/29/2012	04/16/2013	25 00	438 52	0 00	1,297.74	(859 22)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	06/28/2012	04/16/2013	26.00	456 05	0 00	1,290 50	(834 45)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	06/27/2012	04/16/2013	50 00	877 03	0 00	2,513 51	(1,636 48)
HARRIS CORP CMN (413875105)	03/25/2013	04/16/2013	6 00	254 80	0 00	267 02	(12 22)
HARRIS CORP CMN (413875105) Disallowed Loss							12 22
HARRIS CORP CMN (413875105)	03/26/2013	04/16/2013	19 00	806 88	0 00	854 02	(47 14)
HARRIS CORP CMN (413875105) Disallowed Loss							47 14
HARRIS CORP CMN (413875105)	03/25/2013	04/16/2013	21.00	891 82	0 00	980 20	(88 38)
HARRIS CORP CMN (413875105) Disallowed Loss							88 38
MCGRAW-HILL COMPANIES INC CMN (580645109)	02/20/2013	04/16/2013	13 00	673 79	0 00	596 64	77 15
HARRIS CORP CMN (413875105)	03/25/2013	04/17/2013	6 00	250 71	0 00	281 91	(31 20)
HARRIS CORP CMN (413875105)	03/26/2013	04/17/2013	9 00	376 07	0 00	404 54	(28 47)
HARRIS CORP CMN (413875105)	03/27/2013	04/17/2013	13.00	543 21	0 00	584 89	(41 68)
HARRIS CORP CMN (413875105)	03/26/2013	04/17/2013	19.00	793 92	0.00	901 97	(108.05)
HARRIS CORP CMN (413875105)	03/25/2013	04/17/2013	21 00	877 49	0.00	1,033 20	(155 71)
MCGRAW-HILL COMPANIES INC CMN (580645109)	02/20/2013	04/17/2013	26 00	1,349 36	0.00	1,193 28	156 08
MCGRAW-HILL COMPANIES INC CMN (580645109)	02/21/2013	04/17/2013	54 00	2,802 52	0 00	2,481 40	321 12
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	07/16/2012	04/18/2013	36 00	396 57	0 00	278 13	118 44
NEWFIELD EXPLORATION CO CMN (651290108)	06/29/2012	04/18/2013	5 00	103 46	0 00	146 73	(43 27)
NEWMARKET CORP CMN (651587107)	07/30/2012	04/18/2013	3 00	771 61	0 00	692 51	79 10
SOUTHERN COPPER CORPORATION CMN (84265V105)	11/20/2012	04/18/2013	3 00	94 10	0 00	104 48	(10 38)
SOUTHERN COPPER CORPORATION CMN (84265V105) Disallowed Loss							10 38
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/20/2013	04/18/2013	2 00	47 17	0 00	53 03	(5 86)
SUPERIOR ENERGY SERVICES INC CMN (868157108) Disallowed Loss							5 86
ACCENTURE PLC CMN (G1151C101)	01/04/2013	04/24/2013	59 00	4,574 47	0 00	4,086 93	487 54
GILEAD SCIENCES CMN (375558103)	04/18/2013	04/24/2013	5 00	257 42	0.00	256 84	0 58

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	07/16/2012	04/24/2013	138.00	1,565 06	0 00	1,066 15	498 91
THE HERSHEY COMPANY CMN (427866108)	01/04/2013	04/24/2013	13 00	1,171 27	0 00	965 81	205 46
ACCENTURE PLC CMN (G1151C101)	01/04/2013	04/25/2013	19 00	1,507 42	0 00	1,316 13	191.29
HEALTH MANAGEMENT ASSOC. CL A CMN CLASS A (421933102)	07/16/2012	04/25/2013	43 00	482 98	0 00	332 21	150 77
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	07/17/2012	04/25/2013	81.00	909 80	0 00	623 76	286 04
THE HERSHEY COMPANY CMN (427866108)	01/04/2013	04/25/2013	15 00	1,331 10	0 00	1,114 40	216 70
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	07/17/2012	04/26/2013	135 00	1,510 36	0 00	1,039 61	470 75
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	07/18/2012	04/26/2013	154 00	1,722 92	0 00	1,179 45	543 47
THE HERSHEY COMPANY CMN (427866108)	01/07/2013	04/26/2013	1 00	87 52	0 00	74 13	13 39
THE HERSHEY COMPANY CMN (427866108)	01/04/2013	04/26/2013	10 00	875 12	0 00	742 93	132 19
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	08/29/2012	04/29/2013	53 00	618 69	0 00	399 97	218 72
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	07/18/2012	04/29/2013	61 00	712 09	0 00	467 19	244 90
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	08/27/2012	04/29/2013	190 00	2,217 97	0 00	1,389 17	828 80
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	08/28/2012	04/29/2013	190 00	2,217 97	0 00	1,413 11	804 86
THE HERSHEY COMPANY CMN (427866108)	01/07/2013	04/29/2013	25 00	2,196 13	0 00	1,853 16	342 97
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	08/29/2012	04/30/2013	137 00	1,573 66	0 00	1,033 90	539 76
HEALTH MANAGEMENT ASSOC CL A CMN CLASS A (421933102)	08/30/2012	04/30/2013	191 00	2,193 93	0 00	1,457 58	736 35
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/21/2013	05/10/2013	16 00	454 00	0 00	420 10	33 90
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/20/2013	05/10/2013	31 00	879 61	0 00	822 01	57 60
CONOCOPHILLIPS CMN (20825C104)	04/18/2013	05/13/2013	3 00	186 30	0 00	171 27	15 03
CONOCOPHILLIPS CMN (20825C104)	06/27/2012	05/13/2013	89 00	5,527 00	0 00	4,837 37	689 63
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/21/2013	05/13/2013	63 00	1,785 86	0 00	1,654 12	131 74
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/21/2013	05/14/2013	3 00	85 43	0 00	78 77	6 66
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/25/2013	05/15/2013	12 00	337 88	0 00	317 37	20 51
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/21/2013	05/15/2013	14 00	394 19	0 00	367 58	26 61

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/22/2013	05/15/2013	44 00	1,238 88	0 00	1,142 12	96 76
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/26/2013	05/16/2013	2 00	56 17	0 00	55 39	0 78
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/25/2013	05/16/2013	6 00	168 52	0 00	158 68	9 84
SUPERIOR ENERGY SERVICES INC CMN (868157108)	02/26/2013	05/16/2013	27 00	758.34	0 00	673 54	84 80
SUPERIOR ENERGY SERVICES INC CMN (868157108)	04/24/2013	05/16/2013	47 00	1,320 07	0 00	1,163 88	156 19
SUPERIOR ENERGY SERVICES INC CMN (868157108)	04/25/2013	05/16/2013	57 00	1,600 93	0 00	1,395 45	205 48
SUPERIOR ENERGY SERVICES INC CMN (868157108)	04/25/2013	05/17/2013	23 00	651 85	0 00	563 08	88 77
SUPERIOR ENERGY SERVICES INC CMN (868157108)	04/26/2013	05/17/2013	30 00	850 24	0 00	771 18	79 06
SUPERIOR ENERGY SERVICES INC CMN (868157108)	04/29/2013	05/17/2013	85 00	2,409 00	0 00	2,236 32	172 68
MCGRAW-HILL COMPANIES INC CMN (580645109)	02/21/2013	05/23/2013	11 00	593 94	0 00	505 47	88 47
MCGRAW-HILL COMPANIES INC CMN (580645109)	02/22/2013	05/23/2013	14 00	755 93	0 00	644 11	111 82
NORDSTROM INC CMN (655664100)	03/25/2013	07/08/2013	22 00	1,374 88	0 00	1,181 13	193 75
THE HERSHEY COMPANY CMN (427866108)	04/15/2013	07/08/2013	4 00	361 92	0 00	346 85	15 07
THE HERSHEY COMPANY CMN (427866108)	01/07/2013	07/08/2013	12 00	1,085.75	0 00	889.52	196 23
NORDSTROM INC CMN (655664100)	04/18/2013	07/09/2013	2 00	125 37	0 00	109 10	16 27
NORDSTROM INC CMN (655664100)	05/10/2013	07/09/2013	3.00	188 05	0 00	177 31	10 74
NORDSTROM INC CMN (655664100)	03/25/2013	07/09/2013	12.00	752 21	0.00	644 25	107 96
NORDSTROM INC CMN (655664100)	03/27/2013	07/09/2013	37 00	2,319 31	0 00	2,012 08	307 23
NORDSTROM INC CMN (655664100)	03/26/2013	07/09/2013	40 00	2,507 36	0 00	2,160 58	346 78
ROSS STORES,INC CMN (778296103)	08/06/2012	07/09/2013	67 00	4,467 49	0 00	4,547 30	(79 81)
THE HERSHEY COMPANY CMN (427866108)	05/10/2013	07/09/2013	3 00	272 49	0 00	263 28	9 21
THE HERSHEY COMPANY CMN (427866108)	04/18/2013	07/09/2013	7 00	635 83	0 00	625 50	10 33
THE HERSHEY COMPANY CMN (427866108)	04/15/2013	07/09/2013	10 00	908 32	0 00	867 11	41 21
THE HERSHEY COMPANY CMN (427866108)	04/17/2013	07/09/2013	19 00	1,725 81	0 00	1,689 35	36 46
THE HERSHEY COMPANY CMN (427866108)	04/16/2013	07/09/2013	27 00	2,452.47	0 00	2,372 90	79 57

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Goldman Sachs

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NORDSTROM INC CMN (655664100)	05/13/2013	07/10/2013	2 00	124 45	0 00	120 19	4 26
NORDSTROM INC CMN (655664100)	05/10/2013	07/10/2013	8 00	497 77	0 00	472 84	24 93
ROSS STORES,INC CMN (778296103)	01/25/2013	07/10/2013	1 00	66 46	0 00	61 10	5 36
ROSS STORES,INC CMN (778296103)	08/06/2012	07/10/2013	11 00	731 10	0 00	746 57	(15 47)
THE HERSHEY COMPANY CMN (427866108)	05/13/2013	07/10/2013	3 00	272 67	0 00	265 63	7 04
THE HERSHEY COMPANY CMN (427866108)	05/10/2013	07/10/2013	5 00	454 45	0.00	438 81	15.64
NORDSTROM INC CMN (655664100)	05/15/2013	07/11/2013	5 00	312 46	0 00	306 95	5 51
NORDSTROM INC CMN (655664100)	05/14/2013	07/11/2013	5 00	312 46	0 00	302 95	9 51
NORDSTROM INC CMN (655664100)	05/13/2013	07/11/2013	17.00	1,062 35	0 00	1,021 59	40 76
ROSS STORES,INC CMN (778296103)	01/25/2013	07/11/2013	33 00	2,214 30	0.00	2,016 36	197 94
THE HERSHEY COMPANY CMN (427866108)	05/14/2013	07/11/2013	2 00	182 55	0 00	178 37	4 18
THE HERSHEY COMPANY CMN (427866108)	05/15/2013	07/11/2013	7 00	638 93	0 00	631 03	7 90
THE HERSHEY COMPANY CMN (427866108)	05/13/2013	07/11/2013	10 00	912 77	0.00	885 45	27 32
NORDSTROM INC CMN (655664100)	05/15/2013	07/12/2013	26 00	1,623 54	0 00	1,596 15	27 39
NORDSTROM INC CMN (655664100)	05/16/2013	07/12/2013	29 00	1,810 87	0 00	1,782 95	27 92
ROSS STORES,INC CMN (778296103)	04/18/2013	07/12/2013	20.00	1,339 80	0 00	1,249 39	90 41
ROSS STORES,INC CMN (778296103)	01/25/2013	07/12/2013	46 00	3,081 54	0 00	2,810 69	270 85
THE HERSHEY COMPANY CMN (427866108)	05/15/2013	07/12/2013	9 00	823 07	0 00	811 33	11 74
THE HERSHEY COMPANY CMN (427866108)	05/17/2013	07/12/2013	14 00	1,280 32	0 00	1,255 21	25 11
THE HERSHEY COMPANY CMN (427866108)	05/16/2013	07/12/2013	15 00	1,371 77	0 00	1,351 65	20 12
SOUTHERN COPPER CORPORATION CMN (84265V105)	11/20/2012	07/31/2013	3 00	79 21	0 00	118 52	(39 31)
SOUTHERN COPPER CORPORATION CMN (84265V105)	11/23/2012	07/31/2013	5 00	132 02	0 00	180 56	(48 54)
SOUTHERN COPPER CORPORATION CMN (84265V105)	11/20/2012	07/31/2013	48 00	1,267 36	0 00	1,671 66	(404 30)
SOUTHERN COPPER CORPORATION CMN (84265V105)	11/21/2012	07/31/2013	52 00	1,372 97	0 00	1,844 40	(471 43)
SOUTHERN COPPER CORPORATION CMN (84265V105)	03/25/2013	08/01/2013	31 00	814 47	0 00	1,117 47	(303 00)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
SOUTHERN COPPER CORPORATION CMN (84265V105)	03/26/2013	08/01/2013	33 00	867.02	0 00	1,199 04	(332 02)
SOUTHERN COPPER CORPORATION CMN (84265V105)	11/23/2012	08/01/2013	44 00	1,156 02	0 00	1,588 91	(432 89)
ACCENTURE PLC CMN (G1151C101)	01/04/2013	08/12/2013	3 00	219 02	0 00	207 81	11 21
ACCENTURE PLC CMN (G1151C101)	07/08/2013	08/12/2013	18 00	1,314 11	0 00	1,340 16	(26 05)
ACCENTURE PLC CMN (G1151C101)	02/11/2013	08/12/2013	80 00	5,840 50	0 00	5,841 54	(1 04)
ACCENTURE PLC CMN (G1151C101)	07/10/2013	08/13/2013	7 00	509 56	0 00	518 90	(9 34)
ACCENTURE PLC CMN (G1151C101)	07/08/2013	08/13/2013	10 00	727 95	0 00	744 54	(16 59)
ACCENTURE PLC CMN (G1151C101)	07/11/2013	08/13/2013	16 00	1,164 72	0 00	1,204 07	(39 35)
ACCENTURE PLC CMN (G1151C101)	07/12/2013	08/13/2013	34 00	2,475 03	0 00	2,558 94	(83 91)
BAXTER INTERNATIONAL INC CMN (071813109)	04/24/2013	09/03/2013	44 00	3,078 49	0 00	3,063 44	15 05
SALIX PHARMACEUTICALS, LTD CMN (795435106)	04/25/2013	09/03/2013	3 00	198 41	0 00	151 19	47 22
SALIX PHARMACEUTICALS, LTD CMN (795435106)	04/24/2013	09/03/2013	15 00	992 07	0 00	753 83	238 24
ST JUDE MEDICAL INC CMN (790849103)	04/24/2013	09/03/2013	22.00	1,116 98	0 00	905 61	211 37
BAXTER INTERNATIONAL INC CMN (071813109)	07/31/2013	09/04/2013	4 00	278 74	0 00	293 84	(15 10)
BAXTER INTERNATIONAL INC CMN (071813109)	04/24/2013	09/04/2013	40 00	2,787 42	0 00	2,784 94	2 48
NEWFIELD EXPLORATION CO CMN (651290108)	01/04/2013	09/04/2013	35 00	830 03	0 00	979 54	(149 51)
SALIX PHARMACEUTICALS, LTD CMN (795435106)	04/26/2013	09/04/2013	8 00	532 04	0 00	408 24	123 80
SALIX PHARMACEUTICALS, LTD CMN (795435106)	04/25/2013	09/04/2013	25 00	1,662 62	0 00	1,259 92	402 70
SALIX PHARMACEUTICALS, LTD. CMN (795435106)	04/29/2013	09/04/2013	28 00	1,862 14	0 00	1,454 95	407 19
ST JUDE MEDICAL INC CMN (790849103)	04/24/2013	09/04/2013	7 00	356 36	0 00	288 15	68 21
ST JUDE MEDICAL INC CMN (790849103)	04/25/2013	09/04/2013	14 00	712 72	0 00	581 86	130 86
SALIX PHARMACEUTICALS, LTD CMN (795435106)	04/29/2013	09/05/2013	5 00	334 09	0 00	259 81	74 28
SALIX PHARMACEUTICALS, LTD CMN (795435106)	04/30/2013	09/05/2013	17 00	1,135 92	0 00	886 58	249 34
SALIX PHARMACEUTICALS, LTD CMN (795435106)	05/01/2013	09/05/2013	17 00	1,135 92	0 00	888 53	247 39
ST JUDE MEDICAL INC CMN (790849103)	04/29/2013	09/05/2013	2 00	102 17	0 00	83 05	19 12

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ST JUDE MEDICAL INC CMN (790849103)	04/25/2013	09/05/2013	9.00	459 75	0 00	374 05	85 70
ST JUDE MEDICAL INC CMN (790849103)	04/26/2013	09/05/2013	10 00	510 84	0 00	413 18	97 66
NEWFIELD EXPLORATION CO CMN (651290108)	01/04/2013	09/06/2013	65.00	1,555 81	0 00	1,819 14	(263 33)
NEWFIELD EXPLORATION CO CMN (651290108)	01/07/2013	09/06/2013	78 00	1,866 98	0 00	2,165 39	(298 41)
ST JUDE MEDICAL INC CMN (790849103)	04/29/2013	09/06/2013	80 00	4,186 21	0 00	3,321 79	864.42
NEWFIELD EXPLORATION CO CMN (651290108)	07/11/2013	09/09/2013	14 00	338 83	0 00	355 25	(16 42)
NEWFIELD EXPLORATION CO CMN (651290108)	07/10/2013	09/09/2013	19 00	459 85	0 00	478 45	(18 60)
NEWFIELD EXPLORATION CO CMN (651290108)	07/09/2013	09/09/2013	20 00	484 05	0 00	499 64	(15 59)
NEWFIELD EXPLORATION CO CMN (651290108)	01/07/2013	09/09/2013	23.00	556 66	0 00	638 51	(81 85)
NEWFIELD EXPLORATION CO CMN (651290108)	07/08/2013	09/09/2013	66 00	1,597 37	0 00	1,643 57	(46 20)
NEWFIELD EXPLORATION CO CMN (651290108)	07/11/2013	09/10/2013	9 00	218 21	0 00	228 38	(10 17)
NEWFIELD EXPLORATION CO CMN (651290108)	07/12/2013	09/10/2013	15 00	363 67	0 00	380 50	(16 83)
NEWFIELD EXPLORATION CO CMN (651290108)	07/16/2013	09/10/2013	55 00	1,333 46	0 00	1,412 24	(78 78)
NEWFIELD EXPLORATION CO CMN (651290108)	07/15/2013	09/10/2013	62.00	1,503 17	0 00	1,590.96	(87 79)
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	09/03/2013	10/07/2013	10 00	6,694 64	0.00	6,225 01	469 63
WESTERN UNION COMPANY (THE) CMN (959802109)	07/08/2013	10/07/2013	54 00	1,003.14	0 00	926.60	76 54
WESTERN UNION COMPANY (THE) CMN (959802109)	07/08/2013	10/08/2013	61 00	1,119 19	0 00	1,046 71	72 48
WESTERN UNION COMPANY (THE) CMN (959802109)	07/08/2013	10/09/2013	4 00	71 90	0 00	68 64	3 26
WESTERN UNION COMPANY (THE) CMN (959802109)	07/10/2013	10/09/2013	25 00	449 36	0 00	429 26	20 10
WESTERN UNION COMPANY (THE) CMN (959802109)	07/11/2013	10/09/2013	66.00	1,186 32	0 00	1,147 69	38 63
WESTERN UNION COMPANY (THE) CMN (959802109)	07/12/2013	10/09/2013	133 00	2,390 61	0 00	2,315 13	75 48
ULTRA PETROLEUM CORP CMN (903914109)	01/25/2013	10/29/2013	2 00	38 01	0 00	36 99	1 02
COPA HOLDINGS, S A CMN CLASS A (P31076105)	02/11/2013	10/30/2013	19 00	2,859 10	0 00	1,955 66	903 44
ULTRA PETROLEUM CORP CMN (903914109)	02/20/2013	10/30/2013	27 00	503 72	0 00	444 59	59 13
ULTRA PETROLEUM CORP CMN (903914109)	01/25/2013	10/30/2013	262 00	4,887 91	0 00	4,845 32	42 59

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ULTRA PETROLEUM CORP CMN (903914109)	02/20/2013	10/31/2013	47.00	866 31	0 00	773 92	92 39
ULTRA PETROLEUM CORP CMN (903914109)	02/21/2013	10/31/2013	61 00	1,124 36	0 00	1,009.18	115 18
BAXTER INTERNATIONAL INC CMN (071813109)	07/31/2013	11/04/2013	2 00	131 25	0 00	146 92	(15 67)
CONTINENTAL RESOURCES, INC CMN (212015101)	09/03/2013	11/04/2013	13 00	1,519 47	0 00	1,229 22	290 25
COPA HOLDINGS, S A CMN CLASS A (P31076105)	02/11/2013	11/04/2013	2 00	304 41	0 00	205 86	98 55
KELLOGG COMPANY CMN (487836108)	10/07/2013	11/04/2013	4 00	255 21	0 00	238.19	17 02
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	05/10/2013	11/04/2013	5 00	369 69	0 00	307 27	62 42
MCGRAW-HILL COMPANIES INC CMN (580645109)	02/22/2013	11/04/2013	10.00	709 71	0 00	460 08	249 63
MURPHY OIL CORPORATION CMN (626717102)	09/03/2013	11/04/2013	4 00	244 87	0 00	237 00	7 87
MYLAN INC CMN (628530107)	04/24/2013	11/04/2013	6 00	232 35	0 00	171 68	60 67
ROCKWELL COLLINS, INC CMN (774341101)	08/12/2013	11/04/2013	3 00	211 02	0 00	218 37	(7 35)
SLM CORPORATION CMN (78442P106)	05/10/2013	11/04/2013	20 00	501 78	0 00	421 72	80 06
SOUTHERN COPPER CORPORATION CMN (84265V105)	03/26/2013	11/04/2013	11 00	313 41	0 00	399 68	(86 27)
ULTRA PETROLEUM CORP CMN (903914109)	02/21/2013	11/04/2013	7 00	132.61	0 00	115 81	16 80
UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK (911312106)	02/20/2013	11/04/2013	1 00	99 09	0 00	84 43	14 66
WESTERN UNION COMPANY (THE) CMN (959802109)	07/12/2013	11/04/2013	10 00	174 53	0 00	174 07	0 46
COPA HOLDINGS, S.A CMN CLASS A (P31076105)	02/11/2013	11/18/2013	3 00	459 55	0 00	308 79	150 76
COPA HOLDINGS, S A CMN CLASS A (P31076105)	02/12/2013	11/18/2013	9 00	1,378 64	0 00	931 75	446 89
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/10/2013	11/18/2013	19 00	533 92	0 00	455 47	78 45
COPA HOLDINGS, S A CMN CLASS A (P31076105)	02/12/2013	11/19/2013	24 00	3,626 86	0 00	2,484 68	1,142 18
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/10/2013	11/19/2013	2 00	55 05	0 00	47 94	7 11
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/13/2013	11/19/2013	38 00	1,046 09	0 00	933 73	112 36
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/14/2013	11/20/2013	3 00	81 79	0 00	74 61	7 18
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/15/2013	11/20/2013	10 00	272 65	0 00	256 23	16 42
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/13/2013	11/20/2013	20 00	545 29	0 00	491 44	53 85

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/15/2013	11/22/2013	36 00	987 91	0 00	922.41	65 50
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/16/2013	11/22/2013	58 00	1,591 65	0 00	1,512 98	78 67
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/16/2013	11/25/2013	57 00	1,567 09	0 00	1,486 89	80 20
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/16/2013	11/26/2013	9 00	246 47	0 00	234 77	11 70
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/17/2013	11/26/2013	61 00	1,670 53	0 00	1,632 71	37 82
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/17/2013	11/27/2013	55 00	1,499 30	0 00	1,472 12	27 18
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	05/17/2013	11/29/2013	14 00	382 66	0 00	374 72	7 94
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	07/09/2013	11/29/2013	14 00	382 66	0 00	406 19	(23 53)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	07/08/2013	11/29/2013	20 00	546 66	0 00	567 27	(20 61)
CATERPILLAR INC (DELAWARE) CMN (149123101)	04/18/2013	12/02/2013	1 00	84 82	0 00	80 32	4 50
CATERPILLAR INC (DELAWARE) CMN (149123101)	07/08/2013	12/02/2013	56 00	4,749 73	0 00	4,647 93	101 80
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	07/09/2013	12/02/2013	32 00	880 87	0 00	928 42	(47 55)
ROSS STORES,INC CMN (778296103)	11/04/2013	12/02/2013	1 00	75 24	0 00	77 47	(2 23)
ROSS STORES,INC CMN (778296103)	11/12/2013	12/02/2013	2 00	150 47	0 00	157 36	(6 89)
ROSS STORES,INC CMN (778296103)	10/29/2013	12/02/2013	88 00	6,620 80	0 00	6,746 17	(125 37)
ROSS STORES,INC CMN (778296103) Disallowed Loss							121 10
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	04/16/2013	12/03/2013	6 00	400 94	0 00	207 71	193 23
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	04/15/2013	12/03/2013	35 00	2,338 81	0 00	1,207 24	1,131 57
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	07/12/2013	12/03/2013	6 00	161 27	0 00	176 63	(15 36)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	07/11/2013	12/03/2013	12.00	322 54	0 00	352 66	(30 12)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	07/15/2013	12/03/2013	12 00	322 54	0 00	356 65	(34 11)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	07/10/2013	12/03/2013	14 00	376 30	0 00	406 80	(30 50)
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	04/17/2013	12/04/2013	1 00	66 38	0 00	35 73	30 65
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	04/16/2013	12/04/2013	63 00	4,182 01	0 00	2,181 00	2,001 01

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	07/15/2013	12/04/2013	92 00	2,450 28	0 00	2,734 28	(284 00)
Net Covered Short-Term Disallowed Losses							**4,549.38**
Net Covered Short-Term Gains (Losses)				**309,871.24**	**0.00**	**298,739.96**	**15,680.66**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	12/30/2011	01/04/2013	48 00	1,208 40	0 00	1,659 78	(451 38)
ENDO HEALTH SOLUTIONS INC CMN (29264F205) Disallowed Loss							451 38
MICROSOFT CORPORATION CMN (594918104)	01/12/2012	01/25/2013	122 00	3,402 42	0 00	3,403 80	(1 38)
MICROSOFT CORPORATION CMN (594918104) Disallowed Loss							1 38
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	01/05/2012	01/25/2013	82 00	3,119 23	0 00	2,039 18	1,080.05
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	01/12/2012	01/29/2013	49 00	1,908 86	0 00	1,283 91	624 95
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	01/05/2012	01/29/2013	51 00	1,986 76	0.00	1,268 27	718 49
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/05/2012	02/11/2013	63 00	1,860 07	0 00	2,147 67	(287 60)
ENDO HEALTH SOLUTIONS INC CMN (29264F205) Disallowed Loss							287 60
HALLIBURTON COMPANY CMN (406216101)	01/12/2012	02/11/2013	13 00	532 03	0 00	456 62	75 41
HALLIBURTON COMPANY CMN (406216101)	12/30/2011	02/11/2013	23 00	941 29	0 00	795 11	146 18
HALLIBURTON COMPANY CMN (406216101)	01/05/2012	02/11/2013	113 00	4,624 57	0 00	3,902 27	722 30
HERBALIFE LTD CMN (G4412G101)	01/12/2012	02/11/2013	20 00	722 18	0 00	1,089 81	(367 63)
HERBALIFE LTD CMN (G4412G101)	12/30/2011	02/11/2013	37 00	1,336 03	0 00	1,929 12	(593 09)
HERBALIFE LTD CMN (G4412G101)	01/05/2012	02/11/2013	105 00	3,791 45	0 00	5,388 84	(1,597 39)
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/05/2012	02/12/2013	9 00	259 13	0 00	306 81	(47 68)
ENDO HEALTH SOLUTIONS INC CMN (29264F205) Disallowed Loss							5 30

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/05/2012	02/12/2013	44 00	1,266 86	0 00	1,453 62	(186 76)
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/05/2012	02/13/2013	1 00	28 50	0 00	33 77	(5 27)
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/05/2012	02/13/2013	19 00	541 58	0 00	627 70	(86 12)
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/12/2012	02/13/2013	53 00	1,510.73	0 00	1,877 00	(366 27)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/05/2012	02/20/2013	18 00	443.46	0 00	293 10	150 36
GILEAD SCIENCES CMN (375558103)	01/05/2012	02/20/2013	141 00	5,950 54	0 00	2,980 14	2,970 40
HALLIBURTON COMPANY CMN (406216101)	01/12/2012	02/20/2013	92 00	3,859 74	0 00	3,231 47	628 27
FEDERATED INVESTORS, INC' CMN CLASS B (314211103)	01/05/2012	02/21/2013	118 00	2,793 80	0 00	1,921 41	872 39
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/05/2012	02/22/2013	74.00	1,739 58	0 00	1,204 95	534 63
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/12/2012	02/25/2013	4 00	95 20	0 00	69 84	25 36
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/09/2012	02/25/2013	12 00	285 59	0 00	206 95	78 64
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/06/2012	02/25/2013	41 00	975 78	0 00	698 66	277 12
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/05/2012	02/25/2013	79 00	1,880 16	0 00	1,286 36	593 80
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/12/2012	02/26/2013	100 00	2,305 85	0 00	1,745 87	559 98
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/12/2012	02/27/2013	108 00	2,508 00	0 00	1,885 54	622 46
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/12/2012	02/28/2013	92 00	2,146 14	0 00	1,606 20	539 94
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/12/2012	03/01/2013	94 00	2,158 11	0 00	1,641 12	516 99
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	01/12/2012	03/04/2013	138 00	3,186 52	0 00	2,409 30	777 22
CUMMINS INC COMMON STOCK (231021106)	01/05/2012	03/25/2013	12 00	1,348 72	0 00	1,106 04	242 68
CUMMINS INC COMMON STOCK (231021106)	12/30/2011	03/25/2013	14 00	1,573 51	0 00	1,242 40	331 11
HERBALIFE LTD CMN (G4412G101)	01/12/2012	03/25/2013	86 00	3,253 14	0 00	4,686 17	(1,433 03)
CUMMINS INC COMMON STOCK (231021106)	01/12/2012	03/26/2013	8 00	901 87	0 00	786 97	114 90
CUMMINS INC COMMON STOCK (231021106)	01/05/2012	03/26/2013	30 00	3,382 00	0 00	2,765 10	616 90
CUMMINS INC COMMON STOCK (231021106)	01/12/2012	03/27/2013	36 00	4,082 17	0 00	3,541 35	540 82
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/12/2012	04/15/2013	13 00	232 47	0 00	918 43	(685 96)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CLIFFS NATURAL RESOURCES INC CMN (18683K101) Disallowed Loss							685 96
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/12/2012	04/16/2013	13 00	228 02	0 00	958 30	(730 28)
CBOE HOLDINGS, INC CMN (12503M108)	03/23/2012	04/18/2013	8.00	288 73	0 00	235 05	53 68
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	12/30/2011	04/18/2013	4.00	706 07	0 00	586.44	119 63
CHEVRON CORPORATION CMN (166764100)	12/30/2011	04/18/2013	1 00	115 31	0 00	107.09	8 22
EATON VANCE CORP (NON-VTG) CMN (278265103)	01/05/2012	04/18/2013	15.00	565 24	0 00	352 68	212.56
RPC INC CMN (749660106)	01/12/2012	04/18/2013	12 00	171 50	0 00	156 87	14 63
GILEAD SCIENCES CMN (375558103)	01/05/2012	04/24/2013	47 00	2,419 77	0 00	993 38	1,426 39
GILEAD SCIENCES CMN (375558103)	01/12/2012	04/24/2013	204 00	10,502 84	0 00	4,497 12	6,005 72
CONOCOPHILLIPS CMN (20825C104)	05/07/2012	05/10/2013	96.00	5,961 75	0 00	5,115 10	846 65
COPA HOLDINGS, S A CMN CLASS A (P31076105)	01/12/2012	05/10/2013	20.00	2,599 77	0 00	1,288 55	1,311 22
CONOCOPHILLIPS CMN (20825C104)	05/07/2012	05/13/2013	2 00	124 21	0 00	106 57	17 64
COPA HOLDINGS, S A CMN CLASS A (P31076105)	01/12/2012	05/13/2013	27 00	3,398 66	0 00	1,739.54	1,659 12
AFLAC INCORPORATED CMN (001055102)	01/05/2012	05/23/2013	28 00	1,531 99	0 00	1,218 12	313 87
CBOE HOLDINGS, INC CMN (12503M108)	03/26/2012	05/23/2013	10 00	402 71	0 00	294 66	108 05
CBOE HOLDINGS, INC CMN (12503M108)	03/23/2012	05/23/2013	24 00	966 51	0 00	705 15	261 36
DISCOVER FINANCIAL SERVICES CMN (254709108)	03/26/2012	05/23/2013	7.00	336 03	0 00	236 38	99 65
DISCOVER FINANCIAL SERVICES CMN (254709108)	03/20/2012	05/23/2013	23 00	1,104 11	0 00	736 05	368 06
EATON VANCE CORP (NON-VTG) CMN (278265103)	01/05/2012	05/23/2013	30 00	1,259 43	0 00	705 35	554 08
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	01/12/2012	05/23/2013	26 00	1,172 14	0 00	681 26	490 88
ROSS STORES,INC CMN (778296103)	03/05/2012	07/08/2013	26 00	1,728 82	0 00	1,422 26	306 56
ROSS STORES,INC CMN (778296103)	03/05/2012	07/09/2013	1 00	66 68	0 00	54 70	11 98
ROSS STORES,INC CMN (778296103)	03/06/2012	07/09/2013	43 00	2,867 20	0 00	2,354 97	512 23
CATERPILLAR INC (DELAWARE) CMN (149123101)	03/19/2012	09/03/2013	8 00	660 12	0 00	984 15	(324 03)
CATERPILLAR INC (DELAWARE) CMN (149123101)	05/07/2012	09/03/2013	24 00	1,980 37	0 00	2,339 72	(359 35)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CATERPILLAR INC (DELAWARE) CMN (149123101)	03/19/2012	09/03/2013	44 00	3,630 68	0 00	5,004 02	(1,373 34)
NEWFIELD EXPLORATION CO ,CMN (651290108)	07/02/2012	09/03/2013	7 00	166 67	0.00	203 07	(36 40)
NEWFIELD EXPLORATION CO 'CMN (651290108)	06/29/2012	09/03/2013	56 00	1,333 34	0 00	1,643 39	(310.05)
NEWFIELD EXPLORATION CO CMN (651290108)	07/02/2012	09/04/2013	101 00	2,395 22	0 00	2,930 03	(534 81)
COPA HOLDINGS, S A CMN CLASS A (P31076105)	01/12/2012	10/29/2013	16 00	2,404 61	0.00	1,030 84	1,373 77
ULTRA PETROLEUM CORP CMN (903914109)	10/09/2012	10/29/2013	125 00	2,375 48	0 00	2,842 64	(467.16)
ULTRA PETROLEUM CORP CMN (903914109) Disallowed Loss							467 16
ULTRA PETROLEUM CORP CMN (903914109)	10/08/2012	10/29/2013	127 00	2,413 49	0.00	2,788 64	(375 15)
ULTRA PETROLEUM CORP CMN (903914109) Disallowed Loss							375 15
COPA HOLDINGS, S A CMN CLASS A (P31076105)	01/12/2012	10/30/2013	6 00	902 88	0 00	386 57	516 31
AFLAC INCORPORATED CMN (001055102)	01/05/2012	11/04/2013	4 00	260 04	0 00	174 02	86 02
APACHE CORP CMN (037411105)	12/30/2011	11/04/2013	3 00	269 84	0 00	271 61	(1 77)
CATERPILLAR INC (DELAWARE) CMN (149123101)	05/07/2012	11/04/2013	1.00	83 51	0 00	97 49	(13 98)
CBOE HOLDINGS, INC CMN (12503M108)	03/26/2012	11/04/2013	13 00	645 43	0 00	383 06	262 37
COACH INC CMN (189754104)	12/30/2011	11/04/2013	2 00	101 51	0 00	122 78	(21 27)
DISCOVER FINANCIAL SERVICES CMN (254709108)	03/26/2012	11/04/2013	10 00	525 71	0 00	337 69	188 02
EATON VANCE CORP (NON-VTG) CMN (278265103)	01/05/2012	11/04/2013	11 00	463 48	0 00	258 63	204 85
JOY GLOBAL INC CMN (481165108)	05/21/2012	11/04/2013	8 00	461 60	0 00	513 80	(52 20)
LOCKHEED MARTIN CORPORATION CMN (539830109)	12/30/2011	11/04/2013	1 00	135 67	0 00	81 61	54 06
MICROSOFT CORPORATION CMN (594918104)	01/29/2012	11/04/2013	1 00	35 79	0 00	27 80	7 99
PHILIP MORRIS INTL INC CMN (718172109)	12/30/2011	11/04/2013	3 00	269 06	0 00	236 62	32 44
WADDELL & REED FIN , INC CLASS A COMMON (930059100)	01/12/2012	11/04/2013	16 00	1,001 70	0 00	419 24	582 46
WESTERN DIGITAL CORPORATION CMN (958102105)	12/30/2011	11/04/2013	7 00	504 06	0 00	218 74	285 32
YUM BRANDS, INC CMN (988498101)	12/30/2011	11/04/2013	5 00	346 70	0 00	296.80	49.90
COLGATE-PALMOLIVE CO CMN (194162103)	09/04/2012	11/12/2013	35 00	2,257 50	0 00	1,871 12	386 38

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
COLGATE-PALMOLIVE CO CMN (194162103)	09/04/2012	11/13/2013	13.00	839 39	0 00	694 99	144 40
COLGATE-PALMOLIVE CO CMN (194162103)	09/17/2012	11/13/2013	57 00	3,680.39	0 00	2,993 83	686 56
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/12/2012	11/18/2013	36 00	2,310 50	0 00	1,274 94	1,035 56
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/20/2012	11/19/2013	9 00	577 84	0 00	340 57	237 27
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/24/2012	11/19/2013	13 00	834 66	0 00	492 38	342 28
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/12/2012	11/19/2013	49 00	3,146 02	0 00	1,735 34	1,410 68
CATERPILLAR INC (DELAWARE) CMN (149123101)	05/07/2012	12/02/2013	24 00	2,035 59	0 00	2,339 72	(304 13)
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/24/2012	12/02/2013	26 00	1,741 21	0 00	984 76	756 45
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/26/2012	12/02/2013	45 00	3,013 63	0 00	1,680 84	1,332 79
ENDO HEALTH SOLUTIONS INC CMN (29264F205)	01/26/2012	12/03/2013	32 00	2,138 35	0 00	1,195 27	943 08
Net Covered Long-Term Disallowed Losses							**2,273.93**
Net Covered Long-Term Gains (Losses)				**158,601.97**	**0.00**	**129,632.96**	**31,242.94**

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

Form **8868** (Rev. January 2014) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at** *www.irs.gov/form8868*

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I — Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print		**Enter filer's identifying number**
	Name of exempt organization or other filer, see instructions. MONSWEAG FOUNDATION	Employer identification number (EIN) or 22-3887737
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P O. box, see instructions. 194 SIMON WILLARD ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CONCORD, MA 01742	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ JOHN A. WEBSTER, JR. 194 SIMON WILLARD ROAD - CONCORD, MA 01742

  Telephone No. ▶ (617) 422-8211 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 2013 or

▶ [ ] tax year beginning ______ , and ending ______ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 12,202.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 4,202.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$ 8,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.