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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

Open to Public  
Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation ED & JOANN HUBERT FAMILY FOUNDATION INC		A Employer identification number 22-3883114			
Number and street (or P O box number if mail is not delivered to street address) 6170 WEST FORK ROAD		B Telephone number (see instructions)			
CITY or town, state or province, country, and ZIP or foreign postal code CINCINNATI, OH 45247		C If exemption application is pending, check here ► <input type="checkbox"/>			
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>			
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>			
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 18,344,505		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>			
<b>Part I</b> Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)	575,000			
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	33,141	33,141		
	4 Dividends and interest from securities. . . . .	269,090	269,090		
	5a Gross rents . . . . .				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	137,890			
	b Gross sales price for all assets on line 6a 1,662,316				
	7 Capital gain net income (from Part IV, line 2) . . . . .				
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold . . . . .					
c Gross profit or (loss) (attach schedule) . . . . .					
11 Other income (attach schedule) . . . . .	6,363	6,363			
12 <b>Total.</b> Add lines 1 through 11 . . . . .	1,021,484	308,594			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .				
	c Other professional fees (attach schedule) . . . . .	57,152	57,152		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	3,295	754	2,541	
	19 Depreciation (attach schedule) and depletion . . . . .	1,987	1,987		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	14,592	14,592		
	<b>24 Total operating and administrative expenses.</b>	77,026	74,485	2,541	
25 Contributions, gifts, grants paid. . . . .	432,490		432,490		
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	509,516	74,485	435,031		
27 Subtract line 26 from line 12					
a <b>Excess of revenue over expenses and disbursements</b>	511,968				
b <b>Net investment income</b> (if negative, enter -0-) . . . . .		234,109			
c <b>Adjusted net income</b> (if negative, enter -0-) . . . . .					

<b>Part III</b> <b>Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>				
1	Cash—non-interest-bearing . . . . .	185,889	403,481	403,481
2	Savings and temporary cash investments . . . . .	389,986	714,987	714,987
3	Accounts receivable ►			
	Less allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less allowance for doubtful accounts ►			
5	Grants receivable . . . . .			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
7	Other notes and loans receivable (attach schedule) ► 4,657,190	4,390,244	4,657,190	4,657,190
	Less allowance for doubtful accounts ►			
8	Inventories for sale or use . . . . .			
9	Prepaid expenses and deferred charges . . . . .	14,383	12,042	12,042
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule) . . . . .	8,178,741	7,661,283	11,998,733
c	Investments—corporate bonds (attach schedule) . . . . .			
11	Investments—land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
12	Investments—mortgage loans . . . . .			
13	Investments—other (attach schedule) . . . . .	254,663	487,633	538,072
14	Land, buildings, and equipment basis ► 23,451	23,451	23,451	20,000
	Less accumulated depreciation (attach schedule) ►			
15	Other assets (describe ► )			
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	13,437,357	13,960,067	18,344,505
<b>Liabilities</b>				
17	Accounts payable and accrued expenses . . . . .			
18	Grants payable . . . . .			
19	Deferred revenue . . . . .	28,294	28,294	
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule) . . . . .			
22	Other liabilities (describe ► )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	28,294	28,294	
<b>Net Assets or Fund Balances</b>				
24	Unrestricted . . . . .			
25	Temporarily restricted . . . . .			
26	Permanently restricted . . . . .			
27	<b>Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/></b> and complete lines 24 through 26 and lines 30 and 31.			
28	Capital stock, trust principal, or current funds . . . . .			
29	Paid-in or capital surplus, or land, bldg , and equipment fund			
30	Retained earnings, accumulated income, endowment, or other funds	13,409,063	13,931,773	
31	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	13,409,063	13,931,773	
	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	13,437,357	13,960,067	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,409,063
2	Enter amount from Part I, line 27a . . . . .	2	511,968
3	Other increases not included in line 2 (itemize) ►	3	10,742
4	Add lines 1, 2, and 3 . . . . .	4	13,931,773
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,931,773

Part IV Capital Gains and Losses for Tax on Investment Income

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,346,562	13,958,493	0 096469
2011	2,041,550	13,665,819	0 149391
2010	1,298,299	12,940,066	0 100332
2009	1,037,559	13,462,832	0 077068
2008	1,097,154	15,163,459	0 072355
<b>2</b> <b>Total of line 1, column (d).</b>			<b>2</b> 0 49561
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 09912
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.			<b>4</b> 16,444,284
<b>5</b> Multiply line 4 by line 3.			
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).			<b>5</b> 1,630,000
<b>7</b> Add lines 5 and 6.			<b>6</b> 2,340,284
<b>8</b> Enter qualifying distributions from Part XII, line 4.			<b>7</b> 1,632,340
			<b>8</b> 1,829,183

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
b	Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>	1	2,341
c	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	2	
3	Add lines 1 and 2. . . . .	3	2,341
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	2,341
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	14,383
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	14,383
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	12,042
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> 12,042 <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
1c	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>				
c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1) On the foundation</b> <input type="checkbox"/> \$ _____ <b>(2) On foundation managers</b> <input type="checkbox"/> \$ _____				
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>			<b>Yes</b>	<b>No</b>
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	<b>No</b>
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .		<b>Yes</b>	<input type="checkbox"/>	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<input type="checkbox"/>	<b>Yes</b>	<input type="checkbox"/>	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH				
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>		<b>Yes</b>	<input type="checkbox"/>	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>			<b>Yes</b>	<input type="checkbox"/>
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>			<b>Yes</b>	<input type="checkbox"/>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	Yes	

Website address ► N/A

14 The books are in care of ► ED HUBERT Telephone no ► (513) 574-3272  
 Located at ► 6170 WEST FORK ROAD CINCINNATI OH ZIP +4 ► 45247

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . . ► 15 and enter the amount of tax-exempt interest received or accrued during the year . . . . .

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	□ Yes	✓ No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	□ Yes	✓ No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	□ Yes	✓ No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	□ Yes	✓ No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	□ Yes	✓ No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	□ Yes	✓ No
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . .	1b	
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ►		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	2a	
	If "Yes," list the years ► 20____, 20____, 20____, 20____	□ Yes	✓ No
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . .	2b	
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	3a	
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4b	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ►			
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .			
<i>If "Yes" to 6b, file Form 8870.</i>				
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>7b</b>	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

<b>(a)</b> Name and address	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation <b>(If not paid, enter -0-)</b>	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
ED HUBERT  6170 WEST FORK RD 6170 WEST FORK RD CINTI, OH 45247	OFFICER 8 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

<b>(a)</b> Name and address of each employee paid more than \$50,000	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b> . . . . .				►

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b> EDUCATION- 20 RECIPIENTS - 162,340	75
<b>2</b> JOB TRAINING - 7 RECIPIENTS - 95,000	44
<b>3</b> RELIGIOUS - 11 RECIPIENTS - 85,750	40
<b>4</b> LOW INCOME HOUSING - 7 RECIPIENTS - 42,000	22

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> LOW INCOME HOUSING	981,652
<b>2</b> EDUCATION CIVIC	375,000
All other program-related investments. See page 24 of the instructions	
<b>3</b>	37,500
<b>Total.</b> Add lines 1 through 3	1,394,152

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes	
a	Average monthly fair market value of securities. . . . .	<b>1a</b> 10,135,716
b	Average of monthly cash balances. . . . .	<b>1b</b> 1,343,727
c	Fair market value of all other assets (see instructions). . . . .	<b>1c</b> 5,215,262
d	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b> 16,694,705
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>
2	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>
3	Subtract line 2 from line 1d. . . . .	<b>3</b> 16,694,705
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b> 250,421
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b> 16,444,284
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b> 822,214

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	<b>1</b> 822,214
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	<b>2a</b> 2,341
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	<b>2b</b>
c	Add lines 2a and 2b. . . . .	<b>2c</b> 2,341
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	<b>3</b> 819,873
4	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b> 1,127,207
5	Add lines 3 and 4. . . . .	<b>5</b> 1,947,080
6	Deduction from distributable amount (see instructions). . . . .	<b>6</b>
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	<b>7</b> 1,947,080

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	<b>1a</b> 435,031
b	Program-related investments—total from Part IX-B. . . . .	<b>1b</b> 1,394,152
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	<b>2</b>
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required). . . . .	<b>3a</b>
b	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b> 1,829,183
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	<b>5</b> 2,341
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b> 1,826,842

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				1,947,080
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008. . . . .				
<b>b</b> From 2009. . . . .				
<b>c</b> From 2010. . . . .				
<b>d</b> From 2011. . . . .	42,472			
<b>e</b> From 2012. . . . .				
<b>f Total of lines 3a through e. . . . .</b>	42,472			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ► \$ _____ 1,829,183				
<b>a</b> Applied to 2012, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2013 distributable amount. . . . .				1,829,183
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	42,472			42,472
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				75,425
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009. . . . .				
<b>b</b> Excess from 2010. . . . .				
<b>c</b> Excess from 2011. . . . .				
<b>d</b> Excess from 2012. . . . .				
<b>e</b> Excess from 2013. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. <input type="text"/>
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. <input type="text"/>
<b>b</b> 85% of line 2a. <input type="text"/>
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed. <input type="text"/>
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities. <input type="text"/>
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c. <input type="text"/>
<b>3</b> Complete 3a, b, or c for the alternative test relied upon
<b>a</b> "Assets" alternative test—enter
(1) Value of all assets. <input type="text"/>
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). <input type="text"/>
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. <input type="text"/>
<b>c</b> "Support" alternative test—enter
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). <input type="text"/>
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III). <input type="text"/>
(3) Largest amount of support from an exempt organization <input type="text"/>
(4) Gross investment income <input type="text"/>

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> See Additional Data Table				
<b>Total</b> . . . . .			► 3a	432,490
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Line	Enter gross amounts unless otherwise indicated		Unrelated business income	Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions )
			(a) Business code	(b) Amount	
<b>1</b> Program service revenue	a _____ b _____ c _____ d _____ e _____ f _____				
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					33,141
<b>4</b> Dividends and interest from securities. . . . .					269,090
<b>5</b> Net rental income or (loss) from real estate	a Debt-financed property. . . . . b Not debt-financed property. . . . .				
<b>6</b> Net rental income or (loss) from personal property. . . . .					
<b>7</b> Other investment income. . . . .					6,363
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .					137,890
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. . . . .					
<b>11</b> Other revenue a _____ b _____ c _____ d _____ e _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .					446,484
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations.)					446,484
				<b>13</b>	

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a Transfers from the reporting foundation to a noncharitable exempt organization or**

## **b** Other transactions

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\* \* \* \* \*

2014-11-13

\* \* \* \* \*

May the IRS discuss this return with the preparer shown below (see instr.)?  Yes  No

<b>Paid Preparer Use Only</b>	DONALD W HENGEHOLD CPA		2017-11-17	Employed	513-7333
	Firm's name ► HENGEHOLD GROUP CPA'S LLC			Firm's EIN ► 31-1679570	
	Firm's address ► 5332 RAPID RUN ROAD CINCINNATI, OH 452384244			Phone no (513) 922-7377	

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
BLOC MINISTRIES 3101 PRICE AVENUE CINCINNATI, OH 45205		NON-PROFIT	RELIGIOUS	20,000
BOY SCOUT TROOP 514-EAGLE SCOUT DAY 8444 FLATWOOD CT INDIANAPOLIS, IN 46278		NON-PROFIT	CIVIC	150
CATHOLIC CHURCH OF THE GOOD SHEPHERD 525 MAIN STREET CAMPTON, KY 41301		NON-PROFIT	RELIGIOUS	1,000
CATHOLIC UNITED FOR THE POOR 2573 ST LEO PLACE CINCINNATI, OH 45225		NON-PROFIT	CIVIC	500
CENTER FOR GREAT NEIGHBORHOODS 1650 RUSSELL ST COVINGTON, KY 41011		NON-PROFIT	LOW INCOME HOUSING	5,000
CINTI WORKS 708 WALNUT ST CINCINNATI, OH 45202		NON-PROFIT	JOB TRAINING	3,000
CISE (CATHOLIC INNER-CITY SCHOOLS) 100 E 8TH ST CINCINNATI, OH 45202		NON-PROFIT	EDUCATION	2,000
CITIZENS FOR COMMUNITY VALUES 11175 READING RD CINCINNATI, OH 45241		NON-PROFIT	CIVIC	250
COMMUNITY LAND CO-OP 727 EZZARD CHARLES DR CINCINNATI, OH 45203		NON-PROFIT	LOW INCOME HOUSING	12,000
COOPERATIVE FOR EDUCATION 2730 HYDE PARK CINCINNATI, OH 45209		NON-PROFIT	EDUCATION	10,000
CROSSROADS CHRISTIAN HEALTH SERVICE 3500 MADISON ROAD CINCINNATI, OH 45209		NON-PROFIT	RELIGIOUS	28,500
CSR ACADEMY 1812 CENTRAL PARKWAY CINCINNATI, OH 45214		NON-PROFIT	EDUCATION	7,000
ELDER HIGH SCHOOL 3900 VINCENT AVE CINCINNATI, OH 45205		NON-PROFIT	EDUCATION	5,000
EXECUTIVE SERVICE CORP (ESCC) 10921 REED HARTMAN HIGHWA CINCINNATI, OH 45242		NON-PROFIT	CIVIC	12,000
FIRST STEP 2203 FULTON AVE CINCINNATI, OH 45206		NON-PROFIT	CIVIC	12,500
<b>Total . . . . .</b>			<b>► 3a</b>	<b>432,490</b>

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
FOCAS 64 E MCMICKEN AVE CINCINNATI, OH 45202		NON-PROFIT	RELIGIOUS	3,000
FOOD FOR THE POOR 6401 LYONS ROAD COCONUT CREEK, FL 33073		NON-PROFIT	CIVIC	500
FORUM FOR EDUCATIONAL OPTIONS 1808 SULKY TRAIL MIAMISBURG, OH 45342		NON-PROFIT	EDUCATION	10,000
GE NEIGHBORHOOD OF COVINGTON 1650 RUSSELL ST COVINGTON, KY 41011		NON-PROFIT	LOW INCOME HOUSING	6,000
GOLD STAR INSTITUTE PROCESS PO BOX 6147 OMAHA, NE 68106		NON-PROFIT	LOW INCOME HOUSING	10,000
HOUSING OPPORTUNITY 502 FRY ST COVINGTON, KY 41011		NON-PROFIT	LOW INCOME HOUSING	1,000
INSURED SUCCESS 765 HEDGEROW LN CINCINNATI, OH 45246		NON-PROFIT	EDUCATION	10,000
INTERFAITH BUSINESS BUILDERS 1707 WESTWOOD AVE CINCINNATI, OH 45214		NON-PROFIT	JOB TRAINING	1,000
JOBS PLUS 1625 VINE ST CINCINNATI, OH 45202		NON-PROFIT	EDUCATION	50,000
JOHN CARROLL UNIVERSITY 1 JOHN CARROLL BLVD UNIVERSITY HEIGHTS, OH 44118		NON-PROFIT	EDUCATION	250
LASALLE HIGH SCHOOL 3091 NORTH BEND RD CINCINNATI, OH 45239		NON-PROFIT	EDUCATION	5,050
LAWN LIFE PO BOX 389018 CINCINNATI, OH 45238		NON-PROFIT	JOB TRAINING	15,000
LITERACY CENTER WEST 3208 WARSAW AVENUE CINCINNATI, OH 45205		NON-PROFIT	EDUCATION	5,000
LIVING HOPE TRANSITIONAL HOME 3765 EBENEZER RD CINCINNATI, OH 45248		NON-PROFIT	LOW INCOME HOUSING	3,000
MANGEN FAMILY FOUNDATION 6640 POE AVE 400 DAYTON, OH 45414		NON-PROFIT	EDUCATION	10,000
<b>Total . . . . .</b>			<b>► 3a</b>	<b>432,490</b>

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
MARYKNOLL FATHERS & BROTHERS 55 RYDER RD OSSINING, NY 10562		NON-PROFIT	RELIGIOUS	500
MATTHEW 25 MINISTRIES 11060 KENWOOD RD BLUE ASH, OH 45242		NON-PROFIT	CIVIC	5,000
MCAULEY HIGH SCHOOL 6000 OAKWOOD AVE CINCINNATI, OH 45224		NON-PROFIT	EDUCATION	2,500
MISSION PARTNERS GUATAMALA 3 FDR WALK CINCINNATI, OH 45218		NON-PROFIT	EDUCATION	5,000
MUSIC RESOURCE CENTER 3023 WOODBURN CINCINNATI, OH 45206		NON-PROFIT	CIVIC	5,000
OUR LADY OF THE HOLY SPIRIT 5440 MOELLER AVE NORWOOD, OH 45212		NON-PROFIT	RELIGIOUS	1,000
POWER INSPIRES PROGRESS 727 EZZARD CHARLES DR CINCINNATI, OH 45203		NON-PROFIT	JOB TRAINING	5,000
PREGNANCY CENTER WEST 4900 GLENWAY AVE CINCINNATI, OH 45238		NON-PROFIT	CIVIC	500
PROSPECT HOUSE 682 HAWTHORNE AVE CINCINNATI, OH 45205		NON-PROFIT	JOB TRAINING	1,000
QUEEN OF ALL SAINTS CHURCH BEATTYVILLE BEATTYVILLE, KY 41311		NON-PROFIT	RELIGIOUS	500
RESOURCE 3610 PARK DR CINCINNATI, OH 45220		NON-PROFIT	CIVIC	500
SISTERS OF MERCY-ST JOHN BOSCO 6405 FARMINGDALE DR CHARLOTTE, NC 28212		NON-PROFIT	JOB TRAINING	20,000
ST ALOYSIUS GONZAGA 4390 BRIDGETOWN RD CINCINNATI, OH 45211		NON-PROFIT	EDUCATION	240
ST BERNARD 7130 HARRISON AVE CINCINNATI, OH 45247		NON-PROFIT	RELIGIOUS	2,000
ST BONIFACE CHURCH 1750 CHASE AVE CINCINNATI, OH 45223		NON-PROFIT	RELIGIOUS	250
<b>Total . . . . .</b>				432,490
			<b>► 3a</b>	

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ST CLEMENT CHURCH 4534 VINE ST CINCINNATI, OH 45217		NON-PROFIT	RELIGIOUS	1,000
ST IGNATIUS BUILDING FUND 5222 NORTH BEND RD CINCINNATI, OH 45247		NON-PROFIT	EDUCATION	10,000
ST IGNATIUS SCHOOL 5222 NORTH BEND RD CINCINNATI, OH 45247		NON-PROFIT	EDUCATION	12,300
ST LEO CHURCH 2573 SAINT LEO PLACE CINCINNATI, OH 45225		NON-PROFIT	RELIGIOUS	2,000
ST MARTIN OF TOURS 3720 ST MARTIN PL CINCINNATI, OH 45211		NON-PROFIT	RELIGIOUS	1,000
ST PETER CLAVER SCHOOL 121 E 13TH ST CINCINNATI, OH 45202		NON-PROFIT	EDUCATION	5,000
ST VINCENT DEPAUL 4044 RIVER RD CINCINNATI, OH 45204		NON-PROFIT	RELIGIOUS	25,000
ST VINCENT DEPAUL-VINCENTIOR HOUSE 1125 BANK ST CINCINNATI, OH 45232		NON-PROFIT	JOB TRAINING	50,000
ST XAVIER HIGH SCHOOL 600 W NORTH BEND RD CINCINNATI, OH 45224		NON-PROFIT	EDUCATION	5,000
THE CARNEGIE CENTER 37387 EASTERN CINCINNATI, OH 45226		NON-PROFIT	CIVIC	1,000
THE SALVATION ARMY 114 E CENTRAL PKWY CINCINNATI, OH 45202		NON-PROFIT	CIVIC	500
VILLAGE DEVELOPMENT CORP 638 EAST EPWORTH AVE CINCINNATI, OH 45232		NON-PROFIT	LOW INCOME HOUSING	5,000
WM OLSON FOUNDATION 5165 BRECKENRIDGE DR CINCINNATI, OH 45247		NON-PROFIT	CIVIC	8,000
WOMENS CENTER FOR HEALTH 1135 MONTGOMERY ROAD CINCINNATI, OH 45249		NON-PROFIT	CIVIC	1,000
WORDPLAY 4041 HAMILTON AVE CINCINNATI, OH 45223		NON-PROFIT	EDUCATION	7,000
<b>Total . . . . .</b>			<b>► 3a</b>	<b>432,490</b>

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
YOUNG LIFE 11060 KENWOOD RD CINCINNATI, OH 45242		NON-PROFIT	EDUCATION	1,000
<b>Total</b>			► 3a	432,490

2013

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.

► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).**Name of the organization**  
ED & JOANN HUBERT FAMILY  
FOUNDATION INC**Employer identification number**

22-3883114

**Organization type (check one)****Filers of:****Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1/3</sup>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc, contributions of \$5,000 or more during the year . . . . . ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**  
ED & JOANN HUBERT FAMILY  
FOUNDATION INC

**Employer identification number**  
22-3883114

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ED JOANN HUBERT 6170 WEST FORK ROAD  CINCINNATI, OH 45247	\$ 575,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )

<b>Name of organization</b> ED & JOANN HUBERT FAMILY FOUNDATION INC			<b>Employer identification number</b> 22-3883114
<b>Part II Noncash Property</b> (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____

Name of organization ED & JOANN HUBERT FAMILY FOUNDATION INC		Employer identification number 22-3883114	
<b>Part III</b> <b>Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.</b> Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of <i>exclusively religious, charitable, etc., contributions of \$1,000 or less</i> for the year (Enter this information once. See instructions ) ► \$ Use duplicate copies of Part III if additional space is needed			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
—	—	—	—
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
—	—	—	—
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
—	—	—	—
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
—	—	—	—

## TY 2013 Compensation Explanation

**Name:** ED & JOANN HUBERT FAMILY  
FOUNDATION INC  
**EIN:** 22-3883114

Person Name	Explanation
ED HUBERT	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2013 Gain/Loss from Sale of Other Assets Schedule

**Name:** ED & JOANN HUBERT FAMILY

FOUNDATION INC

**EIN:** 22-3883114

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SEE ATTACHED SCHEDULE	2010-12	PURCHASE	2013-12		1,361,954	1,279,609			82,345	
SEE ATTACHED SCHEDULE	2013-06	PURCHASE	2013-12		54,830	53,280			1,550	
CALL/OPTIONS	2013-06	PURCHASE	2013-12		245,532	191,537			53,995	

## TY 2013 Investments Corporate Stock Schedule

**Name:** ED & JOANN HUBERT FAMILY FOUNDATION INC  
**EIN:** 22-3883114

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HILLIARD LYONS	7,328,675	11,619,316
CHARLES SCHWAB	332,608	379,417

## TY 2013 Investments - Other Schedule

**Name:** ED & JOANN HUBERT FAMILY  
FOUNDATION INC  
**EIN:** 22-3883114

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VANGUARD NATURAL RESOURCES LLC	AT COST	38,121	88,560
INVESTMENTS	AT COST	208,099	208,099
SWEET GUM LLC	AT COST	241,413	241,413

**TY 2013 Land, Etc. Schedule****Name:** ED & JOANN HUBERT FAMILY

FOUNDATION INC

**EIN:** 22-3883114

<b>Category / Item</b>	<b>Cost / Other Basis</b>	<b>Accumulated Depreciation</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
1535-39 ELM ST	20,637		20,637	18,000
1535-39 ELM ST	2,814		2,814	2,000

## TY 2013 Other Expenses Schedule

**Name:** ED & JOANN HUBERT FAMILY  
FOUNDATION INC  
**EIN:** 22-3883114

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
ADMINISTRATIVE EXPENSES	36	36		
OFFICE	155	155		
UTILITIES	78	78		
SECT 59E COSTS	1,506	1,506		
SWEETGUM LLC LOSS K-1	12,817	12,817		

## TY 2013 Other Income Schedule

**Name:** ED & JOANN HUBERT FAMILY  
FOUNDATION INC  
**EIN:** 22-3883114

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
VANGUARD NATURAL RESOURCES LL	6,363	6,363	

## TY 2013 Other Increases Schedule

**Name:** ED & JOANN HUBERT FAMILY  
FOUNDATION INC  
**EIN:** 22-3883114

Description	Amount
NONTAXABLE RETURN OF PRINCIPAL H.LYON	10,742

**TY 2013 Other Notes/Loans  
Receivable Short Schedule**

**Name:** ED & JOANN HUBERT FAMILY  
FOUNDATION INC  
**EIN:** 22-3883114

Name of 501(c)(3) Organization	Balance Due
BLOC MINISTRIES	9,350
BLOC MINISTRIES	10,000
BLOC MINISTRIES - MCPHERSON	117,643
BLOC ST MICHAEL	25,000
BLOC MINISTRIES	30,000
CAIN	7,500
CARNEGIE CTR	
CENTER FOR GREAT NEIGHBORHOODS	
CENTER FOR GREAT NEIGHBORHOODS	134,039
CENTER FOR GREAT NEIGHBORHOODS	280,000
CINCINNATI COLLEGE PREP ACADEMY	
CINCINNATI COLLEGESPEECH & READING	
CINCINNATI PRESERVATION ASSOC	297,250
COM LAND 3	
COMMUNITY ALLIANCE	15,000
COMMUNITY EARTH ALLIANCE	
COMMUNITY EARTH ALLIANCE	
COMMUNITY EARTH ALLIANCE	7,723
COMMUNITY EARTH ALLIANCE	11,100
COMM EARTH ALLIAN 744 MCPHERSON	38,600
CORNERSTONE 2	195
CORNERSTONE CORP	
CROSSROADS CHRISTIAN HEALTH SERVICE	42,526
DAYTON FOUNDATION DAYTON SMART SCH	450,000
ENRIGHT RIDGE ECOVILLAGE	27,970
FIRST STEP HOME	259,282
FIRST STEP HOME - 2219 FULTON	110,000
IMAGO	2,032
IMAGO	
IMAGO - 634 ENRIGHT	8,100
IMAGO - 634 ENRIGHT	4,000
IMAGO - 634 ENRIGHT	4,946
IMAGO-3206 WARSAW	35,595
IMAGO-3208-10 WARSAW	45,361
IMAGO-3214 WARSAW	9,494
IMAGO - 752 MCPHERSON	7,075
INTERFAITH HOSPITALITY NETWORK	78,500
INTERFAITH HOSPITALITY NETWORK	81,000
LA AMISTAD	12,994
LAWN LIFE	141,000
LAWN LIFE	25,000
LAWN LIFE - 2431 CHAMBERS	111,200
LAWN LIFE FERGUS PURCH & REHAB	120,000
LAWN LIFE BAL 1548 PULLAN REHAB	153,852
MADCAP PRODUCTIONS-3	
MRC MUSIC RESOURCE CENTER	169,946
MRC MUSIC RESOURCE CENTER - HUAC	25,000
NFCC	50,000
NFCC-1635 DENHAM	1,434
NFCC-1787 BALTIMORE	19,154
NFCC-1825 CARLL	18,108
NFCC-1826 BALTIMORE	60,462
NFCC-1853 DENHAM	9,748
NFCC-1957 BALTIMORE	49,751
NFCC-2087 HARKNESS	10,843
NFCC-2185 BALTIMORE	534
NFCC-2243 BALTIMORE	14,310
NFCC-2533 TREVOR	43,061
NFCC-2566 BEEKMAN	11,716
NFCC-2745 BEEKMAN	13,299
NFCC-3410 MCHENRY	20
NFCC-3348 NINANN	490
NFCC-3352 NINANN	3,896
NFCC-3517 W 8TH ST (IMAGO)	33,195
NFCC-3600 MCHENRY	6,330
NFCC-931 WOODLAWN (IMAGO)	47,891
NFCC-933 WOODLAWN (IMAGO)	17,016
NFCC-942 MANSION (IMAGO)	40,821
NFCC-962 MANSION (IMAGO)	32,108
NFCC-CARLL SCHOOL	256
NORTH FAIRMOUNT	54,826
OVER THE RHINE PHARMACY	30,000
PRICE HILL WILL	174,151
TOLEDO SMART SCHOOL	50,000
URBAN VILLAGE	67,239
VILLAGE DEV GROUP EDGEWOOD	30,000
VILLAGE DEV GROUP 629 EAST EDEN	20,000
VILLAGE DEV - 462 EPWORTH	20,000
WEB DEBOIS SCHOOL	745,910
WINTON PLACE DEV CORP	62,348

## TY 2013 Other Professional Fees Schedule

**Name:** ED & JOANN HUBERT FAMILY  
FOUNDATION INC  
**EIN:** 22-3883114

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	57,152	57,152		

## TY 2013 Taxes Schedule

**Name:** ED & JOANN HUBERT FAMILY  
FOUNDATION INC  
**EIN:** 22-3883114

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES - REAL ESTATE	754	754		
TAXES - STATE	200			200
TAXES - FEDERAL	2,341			2,341

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November 1 - November 30, 2013

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**REALIZED GAIN/(LOSS) Continued**

STOCKS	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
YRC WORLDWIDE INC PAR \$.01	05/07/2008	11/01/2013	0.133	\$66,950.00	\$18,551.86	\$8.96	\$1.19	(\$18,550.67) (LT)
YRC WORLDWIDE INC PAR \$.01	05/22/2008	11/01/2013	0.333	\$51,740.00	\$42,154.22	\$8.96	\$3.00	(\$42,151.22) (LT)
YRC WORLDWIDE INC PAR \$.01	07/17/2008	11/01/2013	0.222	\$52,640.00	\$28,269.49	\$8.96	\$2.00	(\$28,267.49) (LT)
YRC WORLDWIDE INC PAR \$.01	09/30/2008	11/01/2013	0.055	\$7,910.00	\$4,996.33	\$8.96	\$0.50	(\$4,995.83) (LT)
YRC WORLDWIDE INC PAR \$.01	09/30/2008	11/01/2013	0.044	\$8,000.00	\$4,000.40	\$8.96	\$0.40	(\$4,000.00) (LT)
YRC WORLDWIDE INC PAR \$.01	09/30/2008	11/01/2013	0.011	\$8,090.00	\$1,000.93	\$8.96	\$0.10	(\$1,000.83) (LT)
YRC WORLDWIDE INC PAR \$.01	09/30/2008	11/01/2013	0.222	\$8,180.00	\$20,035.34	\$8.96	\$2.01	(\$20,033.33) (LT)
CUMMINS INC	08/30/2012	11/18/2013	300.000	\$30,807.30	\$20,000.00	\$106.00	\$31,800.00	(\$100.80) \$9,800.00 (LT)
APPLIED MATERIALS INC	05/21/1998	11/25/2013	200.000	\$8.89	\$1,802.54	\$17.21	\$3,442.50	\$1,639.96 (LT)
APPLIED MATERIALS INC	07/10/1998	11/25/2013	2,000.000	\$7.20	\$14,704.56	\$17.21	\$34,425.00	\$19,720.44 (LT)
APPLIED MATERIALS INC	04/30/1999	11/25/2013	500.000	\$13.47	\$6,812.98	\$17.21	\$8,606.25	\$1,793.27 (LT)
<b>Total Stocks</b>					<b>\$364,944.01</b>		<b>\$296,635.38</b>	<b>(\$68,308.63)</b>
					<b>389 344.61</b>			<b>(92 709.23)</b>

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**REALIZED GAIN/(LOSS)**

<b>STOCKS</b>	<b>Date Acquired</b>	<b>Date Sold</b>	<b>Quantity</b>	<b>Purchase Price</b>	<b>Cost Basis</b>	<b>Sale Price</b>	<b>Sale Proceeds</b>	<b>Realized Gain/(Loss)</b>
MALLINCKRODT PUBLIC LTD COMPANY	06/29/2000	11/01/2013	31.200	\$41.47	\$1,291.80	\$42.16	\$1,315.39	\$23.59 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	02/11/2002	11/01/2013	9.360	\$28.67	\$267.95	\$42.16	\$394.61	\$126.66 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	02/11/2002	11/01/2013	21.840	\$28.72	\$626.22	\$42.16	\$920.77	\$294.55 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	06/02/2005	11/01/2013	31.200	\$26.54	\$826.75	\$42.16	\$1,315.39	\$488.64 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	02/03/2010	11/01/2013	93.600	\$36.73	\$3,432.31	\$42.16	\$3,946.19	\$513.88 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	07/23/2010	11/01/2013	124.800	\$28.77	\$3,584.61	\$42.16	\$5,261.60	\$1,676.99 (LT)
CINCINNATI FINANCIAL CORP	05/06/1996	11/01/2013	983.365	\$17.61	\$17,541.34	\$49.85	\$49,022.45	\$31,481.11 (LT)
CINCINNATI FINANCIAL CORP	07/31/1996	11/01/2013	826.875	\$16.86	\$14,139.66	\$49.85	\$41,221.15	\$27,081.49 (LT)
CINCINNATI FINANCIAL CORP	08/20/1996	11/01/2013	992.250	\$16.40	\$16,490.14	\$49.85	\$49,465.39	\$32,975.25 (LT)
CINCINNATI FINANCIAL CORP	10/22/1996	11/01/2013	661.500	\$17.31	\$11,452.75	\$49.85	\$32,976.83	\$21,524.18 (LT)
CINCINNATI FINANCIAL CORP	10/01/1998	11/01/2013	102.500	\$29.83	\$3,100.43	\$49.85	\$5,109.80	\$2,009.37 (LT)
CINCINNATI FINANCIAL CORP	02/14/2000	11/01/2013	549.510	\$26.30	\$14,710.61	\$49.85	\$27,394.04	\$12,683.43 (LT)
YRC WORLDWIDE INC PAR \$.01	12/07/2007	11/01/2013	0.255	\$76,402.70	\$37,571.00	\$8.96	\$2.28	(\$37,568.72) (LT)
YRC WORLDWIDE INC PAR \$.01	12/07/2007	11/01/2013	0.188	\$77,226.20	\$27,899.51	\$8.96	\$1.69	(\$27,897.82) (LT)
YRC WORLDWIDE INC PAR \$.01	02/22/2008	11/01/2013	0.111	\$39,230.00	\$12,892.96	\$8.96	\$0.99	(\$12,891.97) (LT)
YRC WORLDWIDE INC PAR \$.01	02/22/2008	11/01/2013	0.111	\$39,320.00	\$12,901.29	\$8.96	\$0.99	(\$12,900.30) (LT)
YRC WORLDWIDE INC PAR \$.01	03/26/2008	11/01/2013	0.222	\$22,459.40	\$22,679.93	\$8.96	\$1.99	(\$22,677.94) (LT)
YRC WORLDWIDE INC PAR \$.01	05/07/2008	11/01/2013	0.055	\$66,590.00	\$7,713.27	\$8.96	\$0.49	(\$7,712.78) (LT)
YRC WORLDWIDE INC PAR \$.01	05/07/2008	11/01/2013	0.033	\$66,860.00	\$4,635.46	\$8.96	\$0.29	(\$4,635.17) (LT)

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August 1 - August 31, 2013

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**REALIZED GAIN/(LOSS)**

STOCKS	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
STARBUCKS CORP	06/08/2012	08/05/2013	1,000,000	\$59.900	\$59,900.00	\$51.36	\$51,360.00	(8540) (\$59,900) (LT)
STARBUCKS CORP	06/08/2012	08/05/2013	1,000,000	\$60.240	\$60,240.00	\$69.20	\$69,200.00	8960 \$18,960 (LT)
TEREX CORP NEW	01/03/2013	08/16/2013	1,000,000	\$30.210.	\$30,210.00	\$30.10	\$30,100.00	(110) (\$100) (ST)
APPLIED MATERIALS INC	05/21/1998	08/19/2013	1,800,000	\$18.257.	\$18,257.35	\$13.13	\$23,634.00	5377. \$5,377 (LT)
HALLIBURTON COMPANY	09/23/2011	08/29/2013	1,000,000	\$36.274.	\$36,274.00	\$34.05	\$34,050.00	(2244) (\$2,244) (\$1,000) (LT)
<b>Total Stocks</b>					\$104,901.35		\$208,344.00	\$104,442.65
					204,901.			3443.

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July 1 - July 31, 2013

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**REALIZED GAIN/(LOSS) Continued**

STOCKS	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
SEAGATE TECHNOLOGY PLC	06/27/2012	07/19/2013	1,000.000	\$26.64 27511.30	\$24,999.00	\$39.27	\$39,271.40	11760.10 \$13,272.40 (LT)
SEAGATE TECHNOLOGY PLC	06/28/2012	07/19/2013	1,000.000	\$26.66 26661.30	\$23,999.00	\$39.27	\$39,271.40	12410.10 \$15,282.50 (LT)
JOHNSON CONTROLS INC	01/19/2012	07/19/2013	800.000	\$26.60 27199.80	\$20,556.80	\$37.80	\$30,240.00	3041.20 \$9,684.20 (LT)
<b>Total Stocks</b>					<b>\$325,876.86</b>		<b>\$384,164.88</b>	<b>\$58,288.02</b>
					<b>346019.76</b>			<b>38145.12</b>

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July 1 - July 31, 2013

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STOCKS	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
JPMORGAN CHASE & COMPANY	06/11/2012	07/01/2013	2,000.000	\$33.07	\$66,140.00 74936.80	\$39.40	\$78,800.00	3863.20 \$12,699.20 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	06/29/2000	07/10/2013	0.050	\$41.47	\$2.07	\$44.20	\$2.21	\$0.14 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	02/11/2002	07/10/2013	0.015	\$28.67	\$0.43	\$44.00	\$0.66	\$0.23 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	02/11/2002	07/10/2013	0.035	\$28.72	\$1.00	\$44.29	\$1.55	\$0.55 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	06/02/2005	07/10/2013	0.050	\$26.54	\$1.32	\$44.20	\$2.21	\$0.89 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	02/03/2010	07/10/2013	0.150	\$36.73	\$5.50	\$44.13	\$6.62	\$1.12 (LT)
MALLINCKRODT PUBLIC LTD COMPANY	07/23/2010	07/10/2013	0.200	\$28.77	\$5.74	\$44.15	\$8.83	\$3.09 (LT)
JOHNSON CONTROLS INC	02/08/2011	07/18/2013	2,000.000	\$38.88 8116.60	\$79,562.60	\$37.80	\$75,600.00	(5562.60) (\$3,999.20) (LT)
JOHNSON CONTROLS INC	04/19/2011	07/18/2013	1,000.000	\$38.82 3871.00	\$37,917.00	\$37.80	\$37,800.00	(917.00) (\$1,083.00) (LT)
JOHNSON CONTROLS INC	09/21/2011	07/18/2013	1,000.000	\$38.77 2956.10	\$29,760.10	\$37.80	\$37,800.00	8231.90 \$9,040.00 (LT)
JOHNSON CONTROLS INC	10/27/2011	07/18/2013	1,000.000	\$38.95 33448.10	\$32,648.10	\$37.80	\$37,800.00	4351.90 \$5,151.00 (LT)
JOHNSON CONTROLS INC	01/19/2012	07/18/2013	200.000	\$38.80 1,799.70	\$8,099.70	\$37.80	\$7,560.00	760.30 \$929.80 (LT)



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April 1 - April 30, 2013

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**REALIZED GAIN/(LOSS)**

STOCKS	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
PROCTER & GAMBLE COMPANY	01/29/1991	04/23/2013	800.000	\$9.60	9952.46	\$7,872.46	\$67.85	\$54,280.00
PROCTER & GAMBLE COMPANY	02/27/1991	04/23/2013	800.000	\$10.20	10594.97	\$8,954.97	\$67.85	\$54,280.00
PROCTER & GAMBLE COMPANY	03/19/1991	04/23/2013	400.000	\$10.16	5365.70	\$4,222.70	\$67.85	\$27,140.00
<b>Total Stocks</b>					<b>\$20,910.13</b>	<b>25913.13</b>	<b>\$135,700.00</b>	<b>\$114,789.87</b>
								<b>109 786.87</b>



**ACTIVITY DETAIL BY DATE CONTINUED**
**CASH EQUIVALENTS**
**REALIZED GAIN/(LOSS)**

STOCKS	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
NAVISTAR INTL CORP NEW	03/08/2007	03/07/2013	500.000	\$40.00	\$20,000.00	\$30.98	\$15,487.94	(\$4,512.06) (LT)
NAVISTAR INTL CORP NEW	03/08/2007	03/07/2013	1,000.000	\$39.95	\$39,950.00	\$30.98	\$30,975.88	(\$8,974.12) (LT)
NAVISTAR INTL CORP NEW	03/08/2007	03/07/2013	500.000	\$39.90	\$19,950.00	\$30.98	\$15,487.94	(\$4,462.06) (LT)
NAVISTAR INTL CORP NEW	03/15/2007	03/07/2013	1,000.000	\$40.85	\$40,850.00	\$30.98	\$30,975.90	(\$9,874.10) (LT)
SOUTH JERSEY INDUSTRIES INC	07/29/2010	03/21/2013	1,000.000	\$46.82	\$46,815.50	\$54.79	\$54,785.50	\$7,970.00 (LT)
SOUTH JERSEY INDUSTRIES INC	08/06/2010	03/21/2013	1,000.000	\$46.83	\$46,834.00	\$54.79	\$54,785.50	\$7,951.50 (LT)
<b>Total Stocks</b>					<b>\$214,399.50</b>		<b>\$202,498.66</b>	<b>(\$11,900.84)</b>



P.O. Box 32760  
Louisville, KY 40232

### REALIZED GAIN/(LOSS)

STOCKS	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
WASTE MANAGEMENT INC DEL	11/16/2010	02/26/2013	200.000	\$34.74	\$6,947.62	\$36.52	\$7,304.00	\$356.38 (LT)
WASTE MANAGEMENT INC DEL	07/24/2009	02/26/2013	1,315.000	\$29.28	\$38,503.07	\$36.53	\$48,038.27	\$9,535.20 (LT)
WASTE MANAGEMENT INC DEL	11/16/2010	02/26/2013	600.000	\$34.74	\$20,842.86	\$36.53	\$21,918.00	\$1,075.14 (LT)
WASTE MANAGEMENT INC DEL	07/24/2009	02/26/2013	685.000	\$29.28	\$20,056.73	\$36.52	\$25,016.88	\$4,960.15 (LT)
WASTE MANAGEMENT INC DEL	11/16/2010	02/26/2013	100.000	\$34.74	\$3,473.81	\$36.52	\$3,652.11	\$178.30 (LT)
WASTE MANAGEMENT INC DEL	11/16/2010	02/26/2013	100.000	\$34.74	\$3,473.81	\$36.51	\$3,651.50	\$177.69 (LT)
<b>Total Stocks</b>					<b>\$93,297.90</b>		<b>\$109,589.76</b>	<b>\$16,282.86</b>



**ACTIVITY DETAIL BY DATE CONTINUED**

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
01/31/2013	DIVIDEND	JPMORGAN CHASE & COMPANY		\$600.00	\$600.00			
		Closing Balance			\$85,233.93	\$600.00	\$84,633.93	\$0.00

**REALIZED GAIN/(LOSS)**

STOCKS	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
CATERPILLAR INC	09/30/2008	01/18/2013	500.000	\$50.28	326,15.00	\$29,818.00	\$91.00	\$45,500.00
MARATHON PETROLEUM CORP	01/25/2005	01/18/2013	200.000	\$18.74	3778.10	\$3,146.10	\$48.15	\$9,630.00
PEABODY ENERGY CORP	09/06/2012	01/18/2013	1,000.000	\$21.84	23019.90	\$21,999.90	\$24.73	\$24,730.00
<b>Total Stocks</b>					<b>\$64,900.00</b>		<b>\$79,860.00</b>	<b>\$14,960.00</b>
					<b>59463.00</b>			<b>20397.00</b>

SHORT 23069.90 24730.00 1660.10

LONG 36393.10 55130.00 18736.90