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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation GEORGE J HUBERT JR FOUNDATION INC		A Employer identification number  22-3882420	
Number and street (or P O box number if mail is not delivered to street address) 5603 BOOMER ROAD		Room/suite	B Telephone number (see instructions)  (513) 241-1149
City or town, state or province, country, and ZIP or foreign postal code CINCINNATI, OH 45247		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 11,026,459	J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <u>MODIFIED CASH</u> (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	586,390			
	2 Check <input checked="" type="checkbox"/> <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,849	2,849		
	4 Dividends and interest from securities. . . . .	257,986	257,986		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	137,681			
	b Gross sales price for all assets on line 6a 4,228,042				
	7 Capital gain net income (from Part IV, line 2) . . .		137,681		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	984,906	398,516		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	5,500	5,500		
	c Other professional fees (attach schedule) . . . . .	60,470	60,470		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	9,099	5,497		200
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	58,529			58,529
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	133,598	71,467		58,729
	25 Contributions, gifts, grants paid . . . . .	323,170			323,170
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	456,768	71,467		381,899
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	528,138			
	b <b>Net investment income</b> (if negative, enter -0-)		327,049		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	26,232	129,070	129,070
	2	Savings and temporary cash investments . . . . .	1,013,093	133,423	133,423
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	209,627	189,627	188,880
	b	Investments—corporate stock (attach schedule) . . . . .	4,458,899	6,328,419	7,348,246
	c	Investments—corporate bonds (attach schedule). . . . .	3,750,464	3,205,914	3,226,840
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	9,458,315	9,986,453	11,026,459	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	9,458,315	9,986,453	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	9,458,315	9,986,453	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	9,458,315	9,986,453	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	9,458,315
2	Enter amount from Part I, line 27a . . . . .	2	528,138
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	9,986,453
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	9,986,453

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	137,681
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	42,644

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	224,846	9,245,470	0 024320
2011	235,205	8,227,128	0 028589
2010	658,972	7,194,232	0 091597
2009	571,350	6,680,168	0 085529
2008	565,148	7,933,503	0 071236

2	Total of line 1, column (d). . . . .	2	0 301271
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 060254
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	10,182,066
5	Multiply line 4 by line 3. . . . .	5	613,510
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	3,270
7	Add lines 5 and 6. . . . .	7	616,780
8	Enter qualifying distributions from Part XII, line 4. . . . .  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	381,899


Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	6,541
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2. . . . .	3	6,541
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	5	6,541
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,000
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments. Add lines 6a through 6d. . . . .	7	12,000
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	5,459
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> 5,459 <b>Refunded</b>	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> 	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶KATHLEEN SMITH Telephone no ▶(513) 241-1149 Located at ▶5603 BOOMER RD CINCINNATI OH ZIP +4 ▶45247			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GEORGE J HUBERT JR 7021 VAIL CT CINCINNATI, OH 45247	PRESIDENT 5 00	0	0	0
ANTHONY HUBERT 23062 HARTLAND COURT LAWRENCEBURG, IN 47025	TREASURER 3 00	0	0	0
KATHLEEN SMITH 5603 BOOMER ROAD CINCINNATI, OH 45247	SECRETARY 7 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HENGESOLD CAPITAL MANAGEMENT LLC 6116 HARRISON AVE CINCINNATI, OH 45247	INVESTMENT FEES	60,470
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 GREATER CINCINNATI CATHOLIC ELEMENTARY SCHOOL EDUCATIONAL SCHOLARSHIPS AND FINANCIAL AID - 323,170	323,170
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	9,946,096
b	Average of monthly cash balances. . . . .	1b	391,027
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	10,337,123
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	10,337,123
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	155,057
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,182,066
6	Minimum investment return. Enter 5% of line 5. . . . .	6	509,103

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	509,103
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	6,541
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	6,541
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	502,562
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	502,562
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	502,562

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	381,899
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	381,899
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	381,899
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				502,562
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .	172,671			
b From 2009. . . . .	240,525			
c From 2010. . . . .	309,874			
d From 2011. . . . .				
e From 2012. . . . .				
f Total of lines 3a through e. . . . .	723,070			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 381,899				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				381,899
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	120,663			120,663
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	602,407			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	52,008			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	550,399			
10 Analysis of line 9				
a Excess from 2009. . . .	240,525			
b Excess from 2010. . . .	309,874			
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	323,170
b Approved for future payment				
Total . . . . .			3b	

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1a(1)

No

1a(2)

No

b

Other transactions

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

2014-05-20

\*\*\*\*\*

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name  
JOHN WRADLEY CPA

Preparer's Signature

Date  
2014-05-20

Check if self-employed

PTIN  
P01005336

Firm's name

SHRIVER & COMPANY PSC

Firm's EIN

Firm's address

201 EAST FIFTH STREET SUITE 1500  
CINCINNATI, OH 452024115

Phone no. (513) 241-1149

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
LITIGATION SETTLEMENT	P	2013-12-31	2013-12-31
AMERICAN CAPITAL LTD	P	2008-06-17	2013-07-08
ENERPLUS CORP F	P	2008-09-16	2013-11-25
FLEXTRONICS INTL LTD F	P	2003-09-29	2013-07-08
MATTHEWS PACIFIC TIGER FUND	P	2008-10-14	2013-06-12
PIMCO EMRG LOCAL BD FD CL D	P	2009-08-24	2013-11-06
SCHWAB COST BASIS ADJUSTMENT	P	2013-12-31	2013-12-31
AMERICAN CAPITAL LTD	P	2009-08-07	2013-07-08
ENERPLUS CORP F	P	2008-09-16	2013-11-25
FLEXTRONICS INTL LTD F	P	2003-09-29	2013-07-08
MATTHEWS PACIFIC TIGER FUND	P	2008-12-18	2013-06-12
PIMCO EMRG LOCAL BD FD CL D	P	2010-07-21	2013-11-06
BRISTOL-MYERS SQUIBB CO	P	2012-12-03	2013-07-18
ASG MGD FUTURES STRATEGY FD CL A	P	2011-07-22	2013-07-10
ENERPLUS CORP F	P	2008-09-16	2013-11-25
FLEXTRONICS INTL LTD F	P	2007-02-12	2013-07-08
MATTHEWS PACIFIC TIGER FUND	P	2009-04-29	2013-06-12
PIMCO EMRG LOCAL BD FD CL D	P	2011-04-19	2013-11-06
CALAMOS GLOBAL GROWTH & INCOME FUND	P	2012-02-27	2013-01-17
ASG MGD FUTURES STRATEGY FD CL A	P	2011-08-30	2013-09-19
ENERPLUS CORP F	P	2008-09-16	2013-11-25
FLEXTRONICS INTL LTD F	P	2007-02-12	2013-07-08
MATTHEWS PACIFIC TIGER FUND	P	2010-12-10	2013-06-12
PIMCO EMRG LOCAL BD FD CL D	P	2012-02-27	2013-11-06
CALAMOS GLOBAL GROWTH & INCOME FUND	P	2012-04-20	2013-01-17
ASG MGD FUTURES STRATEGY FD CL A	P	2011-08-30	2013-07-10
ENERPLUS CORP F	P	2008-09-16	2013-11-25
FLEXTRONICS INTL LTD F	P	2007-02-12	2013-07-08
METROPOLITAN WEST TOTAL RETURN BOND	P	2008-01-15	2013-09-19
PIMCO EMRG LOCAL BD FD CL D	P	2012-03-05	2013-11-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
GUGGENHEIM EXCH TRD FD BULLET SHARES	P	2012-02-27	2013-01-07
ASG MGD FUTURES STRATEGY FD CL A	P	2012-02-27	2013-09-19
ENERPLUS CORP F	P	2008-09-16	2013-11-25
FLEXTRONICS INTL LTD F	P	2007-02-12	2013-07-08
METROPOLITAN WEST TOTAL RETURN BOND	P	2010-07-28	2013-09-19
PIMCO UNCONSTRAINED BD FD CL D	P	2012-10-05	2013-10-22
JP MORGAN EXCH TRADED NTALERIAN MLP	P	2012-10-17	2013-04-25
ASG MGD FUTURES STRATEGY FD CL A	P	2012-04-20	2013-09-19
ENERPLUS CORP F	P	2008-09-16	2013-11-25
GNMA PL 610293 4 5%18 DUE 07/15/18	P	2003-08-21	2013-01-28
MICROSOFT CORP	P	2008-05-09	2013-08-23
POWERSHARES ETF SENIOR LOAN PORTFOLI	P	2012-04-13	2013-11-22
JP MORGAN EXCH TRADED NTALERIAN MLP	P	2012-10-17	2013-09-17
BANK OF AMERICA CORP	P	2003-08-08	2013-10-22
ENERPLUS CORP F	P	2008-09-16	2013-11-25
GUGGENHEIM EXCH TRD FD BULLET SHARES	P	2010-07-27	2013-01-07
MICROSOFT CORP	P	2008-05-09	2013-08-23
VANGUARD GROWTH	P	2010-12-02	2013-03-14
PIMCO EMRG LOCAL BD FD CL D	P	2013-04-11	2013-11-06
BARCLAYS BANK PLC 0%XXXMATURED D	P	2008-02-29	2013-03-05
ENERPLUS CORP F	P	2012-04-20	2013-11-25
GUGGENHEIM EXCH TRD FD BULLET SHARES	P	2011-03-22	2013-01-07
MICROSOFT CORP	P	2008-05-09	2013-08-23
VANGUARD GROWTH	P	2010-12-02	2013-07-12
PIMCO UNCONSTRAINED BD FD CL D	P	2012-10-05	2013-08-08
CALAMOS CONVERTIBLE FUND CL A	P	2008-12-16	2013-01-17
EXELON CORPORATION	P	2005-04-14	2013-11-25
MATTHEWS PACIFIC TIGER FUND	P	2008-09-16	2013-07-08
MICROSOFT CORP	P	2008-05-09	2013-08-23
WISDOMTREE SMALLCAP DIV SMALLCAP DIV	P	2012-09-19	2013-10-16



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
SCH US DIV EQUITY ETF	P	2013-04-11	2013-07-12
CHUBB CORPORATION	P	2003-08-08	2013-05-10
EXELON CORPORATION	P	2009-12-17	2013-11-25
MATTHEWS PACIFIC TIGER FUND	P	2008-09-16	2013-07-08
MICROSOFT CORP	P	2008-05-09	2013-08-23
WYETH 5 5%14BONDS DUE 02/01/14	P	2009-07-23	2013-01-08
SPDR S&P DIVIDEND ETF	P	2013-03-14	2013-10-07
CORNING INC	P	2007-11-29	2013-11-25
FHLMC E9-4043 5%18 DUE 02/01/18	P	2003-08-21	2013-01-28
MATTHEWS PACIFIC TIGER FUND	P	2008-09-16	2013-07-08
MICROSOFT CORP	P	2008-05-30	2013-08-23
SYSCO CORPORATION	P	2012-11-12	2013-07-31
CROSSTEX ENERGY INC	P	2008-05-02	2013-10-21
FLEXTRONICS INTL LTD F	P	2003-09-29	2013-07-08
MATTHEWS PACIFIC TIGER FUND	P	2008-09-16	2013-07-08
NOVARTIS A G SPON ADR FSPONSORED ADR	P	2011-09-26	2013-09-23
ABBOTT LABORATORIES	P	2010-11-29	2013-01-15
ENERPLUS CORP F	P	2008-09-16	2013-11-25
FLEXTRONICS INTL LTD F	P	2003-09-29	2013-07-08
MATTHEWS PACIFIC TIGER FUND	P	2008-09-16	2013-07-08
PHILLIPS 66	P	2005-05-06	2013-11-25
ABBVIE INC	P	2010-11-29	2013-05-10
ENERPLUS CORP F	P	2008-09-16	2013-11-25
FLEXTRONICS INTL LTD F	P	2003-09-29	2013-07-08
MATTHEWS PACIFIC TIGER FUND	P	2008-09-16	2013-07-08
PHILLIPS 66	P	2011-09-06	2013-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11			11
24,238		55,559	-31,321
1,845		3,458	-1,613
3,829		7,225	-3,396
80,154		54,711	25,443
285,505		283,130	2,375
925			925
6,659		1,681	4,978
1,845		3,458	-1,613
3,063		5,779	-2,716
35,311		16,000	19,311
113,208		121,210	-8,002
78,008		58,251	19,757
226,124		256,290	-30,166
184		346	-162
3,216		4,785	-1,569
25,942		13,100	12,842
14,082		16,000	-1,918
72,342		74,530	-2,188
83,190		95,870	-12,680
1,845		3,458	-1,613
1,378		2,051	-673
104,323		99,995	4,328
26,210		29,670	-3,460
21,650		21,630	20
70,326		81,380	-11,054
1,845		3,460	-1,615
230		342	-112
169,783		160,466	9,317
12,977		14,650	-1,673

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
54,323		54,561	-238
50,518		53,900	-3,382
1,845		3,458	-1,613
1,302		1,937	-635
45,067		44,598	469
199,826		207,280	-7,454
62,362		53,890	8,472
30,783		30,910	-127
7,194		13,495	-6,301
12,921		12,662	259
6,912		5,890	1,022
347,614		342,976	4,638
297,135		285,866	11,269
8,887		24,793	-15,906
1,845		3,459	-1,614
146,422		142,637	3,785
1,728		1,472	256
32,366		25,262	7,104
34,511		40,000	-5,489
20,000		20,000	
10,792		10,574	218
166,280		159,965	6,315
6,912		5,889	1,023
46,851		34,487	12,364
208,640		216,230	-7,590
93,779		80,144	13,635
2,722		4,693	-1,971
5,612		3,462	2,150
24,193		20,613	3,580
16,675		13,481	3,194

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
101,968		96,807	5,161
46,500		17,221	29,279
27,223		49,959	-22,736
11,224		6,925	4,299
1,728		1,472	256
113,486		117,478	-3,992
72,679		69,151	3,528
17,002		24,160	-7,158
13,423		10,543	2,880
28,059		17,312	10,747
29,896		24,652	5,244
67,524		58,518	9,006
34,310		40,821	-6,511
766		1,445	-679
11,223		6,925	4,298
71,410		50,847	20,563
36,494		24,713	11,781
1,845		3,458	-1,613
766		1,445	-679
11,223		6,925	4,298
11,266		3,982	7,284
49,068		26,800	22,268
1,845		3,458	-1,613
2,297		4,335	-2,038
11,223		6,925	4,298
16,060		7,015	9,045

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			-31,321
			-1,613
			-3,396
			25,443
			2,375
			925
			4,978
			-1,613
			-2,716
			19,311
			-8,002
			19,757
			-30,166
			-162
			-1,569
			12,842
			-1,918
			-2,188
			-12,680
			-1,613
			-673
			4,328
			-3,460
			20
			-11,054
			-1,615
			-112
			9,317
			-1,673

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-238
			-3,382
			-1,613
			-635
			469
			-7,454
			8,472
			-127
			-6,301
			259
			1,022
			4,638
			11,269
			-15,906
			-1,614
			3,785
			256
			7,104
			-5,489
			218
			6,315
			1,023
			12,364
			-7,590
			13,635
			-1,971
			2,150
			3,580
			3,194

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l


Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,161
			29,279
			-22,736
			4,299
			256
			-3,992
			3,528
			-7,158
			2,880
			10,747
			5,244
			9,006
			-6,511
			-679
			4,298
			20,563
			11,781
			-1,613
			-679
			4,298
			7,284
			22,268
			-1,613
			-2,038
			4,298
			9,045

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HOLY FAMILY SCHOOL 3006 W 8TH ST CINCINNATI,OH 45205		NON-PROFIT	EDUCATION	11,000
OUR LADY OF GRACE 2940 W GALBRAITH RD CINCINNATI,OH 45239		NON-PROFIT	EDUCATION	24,900
OUR LADY OF LOURDES PARISH 2832 ROSEBUD CINCINNATI,OH 45238		NON-PROFIT	EDUCATION	15,450
OUR LADY OF VICTORY 810 NEEB RD CINCINNATI,OH 45238		NON-PROFIT	EDUCATION	18,450
OUR LADY OF VISITATION 3180 SOUTH RD CINCINNATI,OH 45248		NON-PROFIT	EDUCATION	20,950
RESURRECTION SCHOOL 1740 ILIFF AVE CINCINNATI,OH 45205		NON-PROFIT	EDUCATION	10,000
ST ALOYSIUS GONZAGA SCHOOL 4366 BRIDGETOWN RD CINCINNATI,OH 45211		NON-PROFIT	EDUCATION	8,200
ST ANTONINUS 1500 LINNEMAN RD CINCINNATI,OH 45238		NON-PROFIT	EDUCATION	12,600
ST BERNARD CHURCH 7130 HARRISON AVE CINCINNATI,OH 45247		NON-PROFIT	EDUCATION	3,000
ST CATHERINE SCHOOL 2848 FISCHER PL CINCINNATI,OH 45211		NON-PROFIT	EDUCATION	9,950
ST DOMINIC SCHOOL 371 PEDRETTI CINCINNATI,OH 45238		NON-PROFIT	EDUCATION	20,800
ST IGNATIUS SCHOOL 5222 NORTH BEND RD CINCINNATI,OH 45247		NON-PROFIT	EDUCATION	14,550
ST JAMES THE GREATER SCHOOL 3565 HUBBLE RD CINCINNATI,OH 45247		NON-PROFIT	EDUCATION	19,350
ST JOHN THE BAPTIST 110 N HILL HARRISON,OH 45030		NON-PROFIT	EDUCATION	9,250
ST JOHN THE BAPTIST DRY RIDGE 5375 DRY RIDGE RD CINCINNATI,OH 45252		NON-PROFIT	EDUCATION	9,800
<b>Total . . . . . ▶ 3a</b>				323,170



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ST JOSEPH SCHOOL 745 EZZARD CHARLES DR CINCINNATI,OH 45238		NON-PROFIT	EDUCATION	10,500
ST JUDE SCHOOL 5924 BRIDGETOWN CINCINNATI,OH 45248		NON-PROFIT	EDUCATION	7,400
ST LAWRENCE CHURCH 3680 WARSAW AVE CINCINNATI,OH 45205		NON-PROFIT	EDUCATION	11,000
ST MARTIN SCHOOL 3720 ST MARTIN PL CINCINNATI,OH 45211		NON-PROFIT	EDUCATION	13,550
ST PETER CLAVER SCHOOL 121 EAST 13TH STREET CINCINNATI,OH 45202		NON-PROFIT	EDUCATION	500
ST TERESA SCHOOL 1194 RULISON AVE CINCINNATI,OH 45238		NON-PROFIT	EDUCATION	8,150
ST WILLIAM SCHOOL 4108 W 8TH ST CINCINNATI,OH 45205		NON-PROFIT	EDUCATION	4,400
GREATER CINCINNATI PERFORMING ARTS PO BOX 11011 CINCINNATI,OH 45211		NON-PROFIT	EDUCATION	9,720
ST ALOYSIUS ON THE OHIO 6218 PORTAGE CINCINNATI,OH 45233		NON PROFIT	EDUCATION	3,550
ST RITA SCHOOL FOR THE DEAF 1720 GLENDALE MILFORD CINCINNATI,OH 45215		NON-PROFIT	EDUCATION	900
ST VIVIAN 7600 WINTON RD CINCINNATI,OH 45224		NON-PROFIT	EDUCATION	15,250
ANNUNCIATION SCHOOL 3545 CLIFTON AVE CINCINNATI,OH 45220		NON-PROFIT	EDUCATION	1,000
ST CLEMENT SCHOOL 4534 VINE ST ST BERNARD,OH 45217		NON-PROFIT	EDUCATION	10,700
ST JAMES OF THE VALLEY 441 SPRING FIELD PIKE CINCINNATI,OH 45215		NON-PROFIT	EDUCATION	4,600
JOHN PAUL II CATHOLIC SCHOOL 9375 WINTON ROAD CINCINNATI,OH 45231		NON-PROFIT	EDUCATION	13,700
<b>Total . . . . .</b>  <b>3a</b>				323,170

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
	<div>Name of the organization</div> <div>GEORGE J HUBERT JR FOUNDATION INC</div>	<div>Employer identification number</div> <div>22-3882420</div>

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)( ) (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> GEORGE J HUBERT JR FOUNDATION INC	<b>Employer identification number</b> 22-3882420
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<b>Part I</b>	<b>Contributors</b> (see Instructions) Use duplicate copies of Part I if additional space is needed		
<b>(a)</b> <b>No.</b>	<b>(b)</b> <b>Name, address, and ZIP + 4</b>	<b>(c)</b> <b>Total contributions</b>	<b>(d)</b> <b>Type of contribution</b>
 ____	See Additional Data Table  _____  _____  _____	  \$ _____	  <b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/>  (Complete Part II for noncash contribution )
 ____	  _____  _____  _____	  \$ _____	  <b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/>  (Complete Part II for noncash contribution )
 ____	  _____  _____  _____	  \$ _____	  <b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/>  (Complete Part II for noncash contribution )
 ____	  _____  _____  _____	  \$ _____	  <b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/>  (Complete Part II for noncash contribution )
 ____	  _____  _____  _____	  \$ _____	  <b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/>  (Complete Part II for noncash contribution )
 ____	  _____  _____  _____	  \$ _____	  <b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/>  (Complete Part II for noncash contribution )
 ____	  _____  _____  _____	  \$ _____	  <b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/>  (Complete Part II for noncash contribution )

<b>Name of organization</b> GEORGE J HUBERT JR FOUNDATION INC	<b>Employer identification number</b> 22-3882420
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

<b>Name of organization</b> GEORGE J HUBERT JR FOUNDATION INC	<b>Employer identification number</b> 22-3882420
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Part III

**Exclusively** religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

Additional Data

Software ID:

Software Version:

EIN: 22-3882420

Name: GEORGE J HUBERT JR FOUNDATION INC

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<div>GEORGE J KAREN HUBERT</div> <div>7021 VAIL CT</div> <div>CINCINNATI, OH45247</div>	\$ <div>18,300</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>7</u>	<div>MR PAUL RUFFING</div> <div>3973 GRACE AVENUE</div> <div>CINCINNATI, OH45211</div>	\$ <div>9,300</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>2</u>	<div>GEORGE HUBERT FAMILY LLC</div> <div>5332 RAPID RUN ROAD</div> <div>CINCINNATI, OH45238</div>	\$ <div>450,000</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>8</u>	<div>ST IGNATIUS OF LOYOLA</div> <div>5222 NORTH BEND ROAD</div> <div>CINCINNATI, OH45247</div>	\$ <div>9,289</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>3</u>	<div>MICHAEL MARY ANNE HENGESOLD</div> <div>6116 HARRISON AVENUE</div> <div>CINCINNATI, OH45247</div>	\$ <div>7,500</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>9</u>	<div>OUR LADY OF VISITATION</div> <div>5162 DEERVIEW WOODS DRIVE</div> <div>CLEVES, OH45002</div>	\$ <div>6,000</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>4</u>	<div>ST JUDE PARISH</div> <div>5924 BRIDGETOWN ROAD</div> <div>CINCINNATI, OH45248</div>	\$ <div>10,000</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>10</u>	<div>ST ROSE CHURCH</div> <div>325 WEST 8TH STREET</div> <div>CINCINNATI, OH45202</div>	\$ <div>5,000</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>5</u>	<div>ST JAMES CHURCH</div> <div>3565 HUBBLE ROAD</div> <div>CINCINNATI, OH45247</div>	\$ <div>10,000</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>
<u>11</u>	<div>MISC CONTRIBUTIONS UNDER 5000</div> <div>VARIOUS</div> <div>CINCINNATI, OH45248</div>	\$ <div>51,001</div>	<div>Person <input checked="" type="checkbox"/></div> <div>Payroll <input type="checkbox"/></div> <div>Noncash <input type="checkbox"/></div> <div>(Complete Part II for noncash contribution )</div>

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6	ST PETER CLAVER LATIN SCH FOR BOYS PO BOX 14686  CINCINNATI, OH 45250	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contribution )

TY 2013 Accounting Fees Schedule

**Name:** GEORGE J HUBERT JR FOUNDATION INC

**EIN:** 22-3882420

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,500	5,500		



**TY 2013 Compensation Explanation****Name:** GEORGE J HUBERT JR FOUNDATION INC**EIN:** 22-3882420

Person Name	Explanation
GEORGE J HUBERT JR	
ANTHONY HUBERT	
KATHLEEN SMITH	

# TY 2013 Investments Government Obligations Schedule

**Name:** GEORGE J HUBERT JR FOUNDATION INC

**EIN:** 22-3882420

**US Government Securities - End of  
Year Book Value:**

189,627

**US Government Securities - End of  
Year Fair Market Value:**

188,880

**State & Local Government  
Securities - End of Year Book  
Value:**

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

**TY 2013 Other Expenses Schedule****Name:** GEORGE J HUBERT JR FOUNDATION INC**EIN:** 22-3882420

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK CHARGES	77			77
OUTSIDE SERVICES	33,046			33,046
FUNDRAISING EXPENSES	25,406			25,406

## TY 2013 Other Professional Fees Schedule

**Name:** GEORGE J HUBERT JR FOUNDATION INC

**EIN:** 22-3882420

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	60,470	60,470		

**TY 2013 Substantial Contributors Schedule****Name:** GEORGE J HUBERT JR FOUNDATION INC**EIN:** 22-3882420

Name	Address
GEORGE HUBERT FAMILY LLC	5332 RAPID RUN ROAD CINCINNATI, OH 45238
MICHAEL MARY ANNE HENGESOLD	6116 HARRISON AVENUE CINCINNATI, OH 45247
GEORGE J KAREN HUBERT	7021 VAIL CT CINCINNATI, OH 45247

# TY 2013 Taxes Schedule

**Name:** GEORGE J HUBERT JR FOUNDATION INC

**EIN:** 22-3882420

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	5,497	5,497		
STATE TAX	200			200
FEDERAL TAX	3,402			

<u>Sector</u>	<u>Symbol</u>	<u>Description</u>	<u>End of year Book Value (Cost)</u>	<u>End of year Fair Market Value</u>
<b><u>Line 10a U.S. and State Government Obligations</u></b>				
GOVERNMENT BONDS	SIGVX	Ridgeworth US Ultra-Short	189,627 36	188,880 06
<b>Total</b>			<b>189,627.36</b>	<b>188,880.06</b>
<b><u>Line 10b Corporate Stock</u></b>				
FOREIGN EQUITY	EEMV	iShares Emerging Market Min Vol	523,121 15	543,973 62
FOREIGN EQUITY	EZU	iShares MSCI EMU Index	407,489 10	441,979 78
FOREIGN EQUITY	IDV	iShares International Div	297,881 79	358,570 94
FOREIGN EQUITY	MAPT	Matthews Pacific Tiger	402,665 29	404,913 97
FOREIGN EQUITY	WAEMX	Wasatch Emerging Market SC	244,750 65	318,040 21
FOREIGN EQUITY	WAIGX	Wasatch International Growth	355,560 00	376,916 66
INDIVIDUAL SECURITIES	CSCO	Cisco Systems	17,690 00	22,430 00
INDIVIDUAL SECURITIES	CVX	ChevronTexaco Corp	38,133 01	74,946 00
INDIVIDUAL SECURITIES	DD	Du Pont	62,320 32	90,048 42
INDIVIDUAL SECURITIES	DGS	WisdomTree Emerging Market Small	94,290 91	85,128 23
INDIVIDUAL SECURITIES	DOW	Dow Chemical	63,394 04	81,207 60
INDIVIDUAL SECURITIES	EMR	Emerson Electric Co	51,908 02	76,987 46
INDIVIDUAL SECURITIES	ESV	EnSCO International Inc	59,581 45	57,180 00
INDIVIDUAL SECURITIES	FDX	FedEx Corp	34,246 78	46,006 40
INDIVIDUAL SECURITIES	GE	General Electric	64,796 12	72,709 82
INDIVIDUAL SECURITIES	GIS	General Mills	24,961 75	53,902 80
INDIVIDUAL SECURITIES	HCN	Health Care Reit Inc	66,505 74	76,872 95
INDIVIDUAL SECURITIES	INTC	Intel Corp	57,037 15	70,389 96
INDIVIDUAL SECURITIES	JNJ	Johnson & Johnson	58,641 60	80,599 20
INDIVIDUAL SECURITIES	KMB	Kimberly-Clark Corp	62,424 95	69,361 44
INDIVIDUAL SECURITIES	KMI	Kinder Morgan Inc	69,068 28	75,420 00
INDIVIDUAL SECURITIES	LYTS	L S I Industries	7,027 75	8,670 00
INDIVIDUAL SECURITIES	MCD	McDonald's	58,124 66	64,719 01
INDIVIDUAL SECURITIES	MCHP	Microchip Technology Inc	62,659 91	76,164 50
INDIVIDUAL SECURITIES	NUE	Nucor Steel	50,527 42	63,468 82
INDIVIDUAL SECURITIES	PAYX	Paychex Inc	55,702 71	73,849 66
INDIVIDUAL SECURITIES	PG	Procter & Gamble Co	60,486 20	80,107 44
INDIVIDUAL SECURITIES	PGF	PowerShares Financial	25,717 70	54,885 60
INDIVIDUAL SECURITIES	RCI	Rogers Communications, Inc	65,234 62	76,155 75
INDIVIDUAL SECURITIES	RDS B	Royal Dutch Shell B	72,069 33	78,489 95
INDIVIDUAL SECURITIES	SE	Spectra Energy Corp	57,092 13	95,141 02
INDIVIDUAL SECURITIES	SON	Sonoco Products Company	73,117 38	90,574 12
INDIVIDUAL SECURITIES	T	AT&T Inc	70,564 35	70,320 00
INDIVIDUAL SECURITIES	TEVA	Teva Pharmaceutical Industries	24,641 90	32,064 00
INDIVIDUAL SECURITIES	UL	Unilever Plc Adr New F Sponsored A	67,606 87	68,227 20
INDIVIDUAL SECURITIES	UPS	United Parcel Service Class B	52,083 56	75,762 68
INDIVIDUAL SECURITIES	USB	U S Bancorp	58,873 45	60,600 00
INDIVIDUAL SECURITIES	VZ	Verizon Communications	46,026 46	78,624 00
INDIVIDUAL SECURITIES	WFC	Wells Fargo	67,039 94	79,540 80
INDIVIDUAL SECURITIES	WM	Waste Management Inc Del	31,373 35	35,896 00
US LARGE CAP	RSP	Rydex S&P Equal Weighted Index - RSP	498,168 35	530,242 50
US LARGE CAP	SCHB	Schwab US Broad Market ETF	354,954 96	367,551 66
US LARGE CAP	SCHG	Schwab US Large Growth ETF	225,331 07	232,126 44
US LARGE CAP	SDY	SPDR S&P Dividend-SDY	550,350 74	591,925 62
US LARGE CAP	VUG	Vanguard Large Growth-VUG	283,309 30	425,889 85
US SMALL CAP	DES	Wisdomtree Smallcap	321,945 24	420,908 11
US SMALL CAP	IWO	iShares TR Russell 2000 Growth Index - IWO	31,921 49	38,755 86
<b>Total</b>			<b>6,328,418.94</b>	<b>7,348,246.05</b>
<b><u>Line 10c Corporate Bonds</u></b>				
CORPORATE BONDS	BKLN	Powershares ETF Senior Loan Portfolio	119,764 27	120,717 76
CORPORATE BONDS	BSCG	Guggenheim BulletShares 2016 Corporate I	387,127 67	391,479 27
CORPORATE BONDS	BSCH	Guggenheim BulletShares 2017 Corporate I	391,143 55	387,712 60
CORPORATE BONDS	CSJ	iShares TR Lehman Bond Fund	450,159 16	454,110 76
CORPORATE BONDS	DFRPX	DWS Floating Rate	237,044 58	237,744 30
CORPORATE BONDS	MWTRX	Metropolitan West Total Return	293,851 58	293,600 78
FOREIGN BOND FUNDS	TGINX	Tcw Emerging Markets	425,130 00	423,565 58
UNCONSTRAINED BONDS	BASIX	BlackRock Strategic Income Opps Inv A	701,693 58	717,571 58
UNCONSTRAINED BONDS	JSOAX	JP Morgan Strategic Income	200,000 00	200,337 84
<b>Total</b>			<b>3,205,914.39</b>	<b>3,226,840.47</b>