Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

Name of foundation: HENRY M. ROWAN FAMILY FOUNDATION, INC.
A Employer identification number: 22-3655770

Number and street (or P.O. box number if mail is not delivered to street address): PO BOX 157
Room/suite:
B Telephone number (see the instructions): (609) 267-9000

City or town, state or province, country, and ZIP or foreign postal code: RANCOCAS NJ 08073-0157
C If exemption application is pending, check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 214,900,561.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	30,196,522.			
	2 Ck ► ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	1,715.	1,715.		
	4 Dividends and interest from securities	3,429,572.	3,429,572.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	22,088,360.	L-6a Stmt		
	b Gross sales price for all assets on line 6a 98,057,140.				
	7 Capital gain net income (from Part IV, line 2)		22,088,360.		
	8 Net short-term capital gain			208,889.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) NON-REPORTABLE INCOME	251,009.	251,009.		
	12 **Total.** Add lines 1 through 11	55,967,178.	25,770,656.	208,889.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	1,100.	1,100.		
	c Other prof fees (attach sch) L-16c Stmt	490,055.	490,055.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) FOREIGN TAXES PAID	116,415.	116,415.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	297.	297.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	607,867.	607,867.		
	25 Contributions, gifts, grants paid	6,878,000.			6,878,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	7,485,867.	607,867.		6,878,000.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	48,481,311.			
	b **Net investment income** (if negative, enter -0-)		25,162,789.		
	c **Adjusted net income** (if negative, enter -0-)			208,889.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	3,139.	192,329.	192,329.
	2 Savings and temporary cash investments	677,435.	892,352.	892,352.
	3 Accounts receivable ► 99,466.			
	Less: allowance for doubtful accounts ►	178,368.	99,466.	99,466.
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	316,188.	318,240.	318,240.
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b Stmt	136,143,541.	184,323,518.	213,398,174.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)	137,318,671.	185,825,905.	214,900,561.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► X and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	137,318,671.	185,825,905.	
	30 **Total net assets or fund balances** (see instructions)	137,318,671.	185,825,905.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	137,318,671.	185,825,905.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	137,318,671.
2	Enter amount from Part I, line 27a	2	48,481,311.
3	Other increases not included in line 2 (itemize) ► FEDERAL EXCISE TAX REFUND	3	25,923.
4	Add lines 1, 2, and 3	4	185,825,905.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	185,825,905.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE SCHEDULE ATTACHED	P	01/01/13	12/31/13
b	LONG TERM CAPITAL GAINS DISTRIBUTIONS	P	12/31/12	12/31/13
c	SEE SCHEDULE ATTACHED	P	12/31/12	12/31/13
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,671,188.		17,462,299.	208,889.
b	1,099,008.		0.	1,099,008.
c	79,286,944.		58,506,481.	20,780,463.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				208,889.
b				1,099,008.
c				20,780,463.
d				
e				

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7. If (loss), enter -0- in Part I, line 7	2	22,088,360.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	208,889.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	4,030,000.	143,908,646.	0.028004
2011	3,084,000.	93,293,408.	0.033057
2010	2,649,875.	62,267,695.	0.042556
2009	3,373,362.	53,735,673.	0.062777
2008	2,897,974.	68,116,666.	0.042544

2	**Total** of line 1, column (d)	2	0.208938
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041788
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	164,942,775.
5	Multiply line 4 by line 3	5	6,892,629.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	251,628.
7	Add lines 5 and 6	7	7,144,257.
8	Enter qualifying distributions from Part XII, line 4	8	6,878,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter. ________ **(attach copy of letter if necessary — see Instrs)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b		1	503,256.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	503,256.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	503,256.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6a 318,240.		
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	318,240.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	185,016.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	0.
11 Enter the amount of line 10 to be **Credited to 2014 estimated tax** ► 0.	**Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ________ **(2)** On foundation managers ► $ ________			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► ________			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► HENRY M. ROWAN Telephone no. ► (609) 267-9000

Located at ► 10 INDEL AVENUE, RANCOCAS, NJ ZIP + 4 ► 08073

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			
1 a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)	2 b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		**5 b**	
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d).			
6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6 b**	X
If 'Yes' to 6b, file Form 8870.			
7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?		**7 b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HENRY M. ROWAN 1220 BRIDGETOWN PIKE, LANGHORNE, PA 19053	PRESIDENT, TRUSTEE 0.00	0.	0.	0.
VIRGINIA ROWAN SMITH 160 BUCKMANVILLE ROAD, NEWTOWN, PA 18940	VP, TRUSTEE 0.00	0.	0.	0.
MANNING J. SMITH, III 160 BUCKMANVILLE ROAD, NEWTOWN, PA 18940	SEC/TREAS, TRUSTEE 0.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services		None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE HENRY M. ROWAN FOUNDATION DOES NOT CONDUCT DIRECT CHARITABLE ACTIVITIES, BUT RATHER CONTRIBUTES TO OTHER CHARITABLE ORGANIZATIONS CARRYING OUT CHARITABLE ACTIVITIES.	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a	Average monthly fair market value of securities	1a	167,333,008.
b	Average of monthly cash balances	1b	121,586.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	167,454,594.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	167,454,594.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	2,511,819.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	164,942,775.
6	**Minimum investment return.** Enter 5% of line 5	6	8,247,139.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	8,247,139.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	503,256.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	503,256.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	7,743,883.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	7,743,883.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	7,743,883.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	6,878,000.
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,878,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	6,878,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				7,743,883.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			6,877,200.	
b Total for prior years: 20 ___, 20 ___, 20 ___				
3 Excess distributions carryover, if any, to 2013:				
a From 2008 . . . . . . 0.				
b From 2009 . . . . . . 0.				
c From 2010 . . . . . . 1,388.				
d From 2011 . . . . . . 740.				
e From 2012 . . . . . . 169.				
f **Total** of lines 3a through e	2,297.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► $ 6,878,000.				
a Applied to 2012, but not more than line 2a			6,877,200.	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus	800.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,097.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				7,743,883.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	3,097.			
10 Analysis of line 9:				
a Excess from 2009 . . 0.				
b Excess from 2010 . . 1,388.				
c Excess from 2011 . . 740.				
d Excess from 2012 . . 169.				
e Excess from 2013 . . 800.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

HENRY M. ROWAN, 10 INDEL AVENUE, RANCOCAS, NJ 08073

b The form in which applications should be submitted and information and materials they should include:

ANY FORMAT IS ACCEPTABLE

c Any submission deadlines:

NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
SEE SCHEDULE ATTACHED VARIOUS VARIOUS NJ 00000	N/A	N/A	GENERAL PURPOSES	6,878,000.
Total ▶ 3a				6,878,000.
***b** Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					1,715.
4 Dividends and interest from securities					3,429,572.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					22,088,360.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a NON-REPORTABLE DISTRIBUTIONS					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					25,519,647.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	25,519,647.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
N/A	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/12/14 Title: TREASURER

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
DOUGLAS H. SELL	[signature]	05/08/14	X	P00449515

Firm's name ▶ Douglas H Sell, CPA — Firm's EIN ▶ 22-2701991

Firm's address ▶ 115 North Church Street, Moorestown NJ 08057-2424 — Phone no. (856) 866-9494

Form 990-PF Part I, Line 6a | **Net Gain or Loss From Sale of Assets** | **2013**

Name: HENRY M. ROWAN FAMILY FOUNDATION, INC.

Employer Identification Number: 22-3655770

Asset Information:

Description of Property: SEE SCHEDULE ATTACHED
Date Acquired: Various
How Acquired: Purchased
Date Sold: Various
Name of Buyer: VARIOUS
Sales Price: 96,958,132.
Cost or other basis (do not reduce by depreciation): 75,968,780.
Sales Expense:
Valuation Method:
Total Gain (Loss): 20,989,352.
Accumulation Depreciation:

Description of Property: LONG TERM CAPITAL GIANS DISTRIBUTIONS
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price: 1,099,008.
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss): 1,099,008.
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation)
Sales Expense:
Valuation Method:
Total Gain (Loss).
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired.
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation)
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired
Date Sold
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation)
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation)
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation)
Sales Expense
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation)
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
BANK CHARGES	265.	265.		
ADVERTISING	32.	32.		
Total	297.	297.		

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . [ ] GILBERT A. GEHIN-SCOTT PO BOX 182 RANCOCAS NJ 08073	TRUSTEE 0.00	0.	0.	0.
Person . . [X] Business . [ ] ELEANOR ROWAN 1220 BRIDGETOWN PIKE LANGHORNE PA 19053	TRUSTEE 0.00	0.	0.	0.
Total		0.	0.	0.

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DOUGLAS H. SELL, CPA	TAX RESEARCH AND RETURN PREPARATION	1,100.	1,100.		
Total		1,100.	1,100.		

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WHARTON BUSINESS GROUP	INVESTMENT MANAGEMENT	478,697.	478,697.		
INVESTMENT EXPENSES	INVESTMENT MANAGEMENT	11,358.	11,358.		
Total		490,055.	490,055.		

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
CHARLES SCHWAB	184,323,518.	213,398,174.
Total	184,323,518.	213,398,174.

Supporting Statement of:

Form 990-PF, p2/Line 9(a)

Description	Amount
PREPAID EXCISE TAXES	634,420.
LESS 2012 EXCISE TAX	-318,232.
Total	316,188.

		2013	
		HENRY M. ROWAN FAMILY FOUNDATION	
		Grant History	
		(000's omitted)	Year
		Recipients	**2013**
	1	Silver Bay Association	$ 150,000
	2.	Rowan University -- Engineering Scholarships	400,000
		Marie Rader -- Performing Arts	100,000
	3	Marine Corps Scholarship Foundation	350,000
	4	Lake George Land Conservancy	250,000
	6.	MIT Scholarship Foundation	50,000
	7.	Deerfield Academy	20,000
	9	Burlington County Boy Scouts	400,000
	10.	Fort Ticonderoga Foundation	200,000
	11.	Rancocas Conservancy	10,000
	12.	National Academy of Engineering	10,000
	13.	Williamson Free School	250,000
	16.	Parent Project (D.M.D.)	1,000,000
	19.	Friends Academy of Westampton	275,000
	20.	Drexel University Engineering Scholarships	100,000
	21.	Habitat for Humanity -- Burlington County	200,000
	22	Westampton Twp. Historical Society	30,000
	29.	Doane Academy	1,500,000
	31.	Excellent Education for Everyone, Inc. -- NJ	100,000
	35	Fund for Lake George	100,000
	36.	Lake George Association	50,000
	37.	Planned Parenthood	80,000
	38.	Outdoor Odyssey	100,000
	39.	Rancocas Friends School	50,000
	40.	SEA Woods Hole	75,000
	41.	Leadership Institute	75,000
	42.	Salvation Army - City of Camden	200,000
	43.	Camp Cadet -- Montgomery County - Troop K	10,000
	44.	Camp Cadet -- Bucks County - Troop M	10,000
	45	Foundry Education Foundation	150,000
	46.	Washington Crossing Foundation	38,000
	48.	Hillsdale College	10,000
	49	Eagle Flight Squadron (Aviation Program)	15,000
	50	Battleship New Jersey	50,000
	51	City Year Philadelphia	50,000
	52	American Society of Materials & Metals (ASM)	75,000
	53	Studio Encaminati	100,000
	54	St. Mary Hospital - Langhorne, PA	50,000
	55	The Kipp School	75,000
	56	The Woodruff Foundation	50,000
	57	Semper Fi Foundation	50,000
	58	Camp Cadet -- Chester County -- Troop J	5,000
	59	Camp Cadet -- Lancaster County -- Troop J	5,000
	60	Camp Cadet -- Reading County -- Troop L	5,000
	61	Camp Cadet -- Bedford County -- Troop G	5,000
			$ 6,878,000

Date Prepared: February 7, 2014

Recipient's Name and Address

HENRY M ROWAN FAMILY
FOUNDATION
P O BOX 157
RANCOCAS NJ 08073

Taxpayer ID Number: 22-3655770
Account Number: 3199-6569

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 515-2157
Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2013

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ALLIANZ GI NFJ SMALL CAP VALUE FD INST	018918698 / PSVIX	S	/ 12/09/13	$ 1,380,822.08 / 35,791.18	$ 1,071,327.87	$ 0.00	$ 0.00
Security Subtotal				**$ 1,380,822.08**	**$ 1,071,327.87**	**$ 0.00**	**$ 0.00**
DEERE & CO	244199105 / DE	S	05/17/12 / 02/13/13	$ 72,555.59 / 800.00	$ 58,425.06	$ 0.00	$ 0.00
DEERE & CO	244199105 / DE	S	05/17/12 / 02/13/13	$ 93,415.34 / 1,030.00	$ 75,222.27	$ 0.00	$ 0.00
DEERE & CO	244199105 / DE	S	06/13/12 / 02/13/13	$ 22,673.62 / 250.00	$ 18,318.86	$ 0.00	$ 0.00
DEERE & CO	244199105 / DE	S	/ 02/13/13	$ 45,347.25 / 500.00	$ 36,588.21	$ 0.00	$ 0.00
Security Subtotal				**$ 233,991.80**	**$ 188,554.40**	**$ 0.00**	**$ 0.00**

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number.
For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number.
May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number.
For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number of the item reported.

Box 1a.
Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b.
This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a.
Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts.
The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Box 2b.
If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3.
Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

Box 4.
Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.
Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a.
If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means: a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012; and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b.
If checked, the basis in box 3 has been reported to the IRS. If box 6b is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D.

Box 8.
Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Boxes 13-15.
Shows state income tax withheld.

Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 1,534.94 100.00	$ 1,518.66	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 3,065.90 200.00	$ 3,037.33	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 3,067.90 200.00	$ 3,037.33	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 4,595.84 300.00	$ 4,555.99	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 4,601.84 300.00	$ 4,555.99	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 6,127.78 400.00	$ 6,074.66	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 6,127.78 400.00	$ 6,074.66	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 6,135.78 400.00	$ 6,074.66	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 7,659.73 500.00	$ 7,593.32	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 7,659.73 500.00	$ 7,593.32	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 7,669 73 500.00	$ 7,593.32	$ 0.00	$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

charles SCHWAB

Schwab One® Account of
HENRY M ROWAN FAMILY
FOUNDATION

Account Number
3199-6569

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal Income tax withheld
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 7,669.73 500.00	$ 7,593.32	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 9,203.68 600.00	$ 9,111.99	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 10,723.62 700.00	$ 10,630.65	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 12,255.57 800.00	$ 12,149.32	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 13,805.51 900.00	$ 13,667.98	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 18,408.55 1,200 00	$ 18,223.98	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 21,447.25 1,400.00	$ 21,261.30	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 27,575.03 1,800.00	$ 27,335.96	$ 0 00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 29,106.97 1,900.00	$ 28,854.63	$ 0 00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 30,658.92 2,000.00	$ 30,373.29	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 CHIQ	S	02/13/13 09/11/13	$ 36,140.96 2,359.00	$ 35,825.30	$ 0.00	$ 0.00

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Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal Income tax withheld
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 / CHIQ	S	02/13/13 / 09/11/13	$ 36,790.70 / 2,400.00	$ 36,447.95	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 / CHIQ	S	02/13/13 / 09/11/13	$ 87,320.91 / 5,700.00	$ 86,563.88	$ 0.00	$ 0.00
GLOBAL X EXCH TRADED FD CHINA CONSUMER	37950E408 / CHIQ	S	02/13/13 / 09/11/13	$ 99,790.94 / 6,514.00	$ 98,925.81	$ 0.00	$ 0.00
Security Subtotal				**$ 499,145.29**	**$ 494,674.60**	**$ 0.00**	**$ 0.00**
ISHARES MSCI KOREA ETF CAPPED	464286772 / EWY	S	03/27/12 / 01/17/13	$ 86,836.87 / 1,385.00	$ 83,048.12	$ 0.00	$ 0.00
ISHARES MSCI KOREA ETF CAPPED	464286772 / EWY	S	05/17/12 / 04/16/13	$ 533,455.47 / 9,450.00	$ 498,779.95	$ 0.00	$ 0.00
Security Subtotal				**$ 620,292.34**	**$ 581,828.07**	**$ 0.00**	**$ 0.00**
ISHARES MSCI SINGAPORE SINGAPORE ETF	464286673 / EWS	S	03/27/12 / 01/16/13	$ 31,652.61 / 2,319.00	$ 30,031.07	$ 0.00	$ 0.00
ISHARES MSCI SINGAPORE SINGAPORE ETF	464286673 / EWS	S	03/27/12 / 02/13/13	$ 1,041,096.51 / 74,881.00	$ 969,275.87	$ 0.00	$ 0.00
Security Subtotal				**$ 1,072,749.12**	**$ 999,306.94**	**$ 0.00**	**$ 0.00**
ISHARES RESIDENTIAL REAL ESTATE CAPP	464288562 / REZ	S	/ 12/09/13	$ 1,342,968 57 / 28,862.00	$ 1,421,556.88	$ 0.00	$ 0.00
Security Subtotal				**$ 1,342,968.57**	**$ 1,421,556.88**	**$ 0.00**	**$ 0.00**

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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charles SCHWAB

Schwab One® Account of
HENRY M ROWAN FAMILY
FOUNDATION

Account Number
3199-6569

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ISHARES TR LATIN AMER LATIN AMERICA 40	464287390 / ILF	S	05/17/12 / 01/16/13	$ 350,044.57 / 7,760.00	$ 315,912 43	$ 0.00	$ 0.00
ISHARES TR LATIN AMER LATIN AMERICA 40	464287390 / ILF	S	04/10/13 / 06/12/13	$ 214,768.43 / 5,640.00	$ 249,835.51	$ 0.00	$ 0.00
Security Subtotal				**$ 564,813.00**	**$ 565,747.94**	**$ 0.00**	**$ 0.00**
OPPENHEIMER STEELPATH MLP INCM Y	858268709 / MLPZX	S	/ 01/16/13	$ 1,617,773.47 / 159,230.88	$ 1,585,407.88	$ 0.00	$ 0.00
Security Subtotal				**$ 1,617,773.47**	**$ 1,585,407.88**	**$ 0.00**	**$ 0.00**
PERKINS MID CAP VALUE T	471023598 / JMCVX	S	12/20/12 / 06/12/13	$ 164,441.65 / 6,889.05	$ 144,912.53	$ 0.00	$ 0.00
Security Subtotal				**$ 164,441.65**	**$ 144,912.53**	**$ 0.00**	**$ 0.00**
PIMCO LOW DURATION FUND INSTL CL	693390304 / PTLDX	S	03/28/12 / 03/14/13	$ 124,980.00 / 11,927.48	$ 124,355.16	$ 0.00	$ 0.00
Security Subtotal				**$ 124,980.00**	**$ 124,355.16**	**$ 0.00**	**$ 0.00**
POWERSHARES ETF KBW BANK PORTFOL	73937B746 / KBWB	S	03/14/13 / 07/10/13	$ 400,055.18 / 12,245.00	$ 359,398.33	$ 0.00	$ 0.00
Security Subtotal				**$ 400,055.18**	**$ 359,398.33**	**$ 0.00**	**$ 0.00**
POWERSHS EXCH TRAD FD TRDYNAMIC LARGE CA	73935X609 / PWB	S	10/10/12 / 01/16/13	$ 1,955.93 / 100.00	$ 1,894.60	$ 0.00	$ 0.00

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Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 1,955.93	$ 1,894.60	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		01/16/13	100.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 44,963.32	$ 43,575.85	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		01/16/13	2,300.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 60,074.91	$ 58,221.12	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		01/16/13	3,073.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 93,889.30	$ 90,940.90	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		01/16/13	4,800.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 97,751.35	$ 94,730.10	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		01/16/13	5,000.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 132,941.84	$ 128,832.94	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		01/16/13	6,800.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 167,380.86	$ 162,215.82	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		01/16/13	8,562.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 80,518.76	$ 71,994.88	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		04/10/13	3,800.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 105,945.73	$ 94,730.10	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		04/10/13	5,000.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 105,950.73	$ 94,730.10	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		04/10/13	5,000.00			
POWERSHS EXCH TRAD FD	73935X609	S	10/10/12	$ 207,865.53	$ 185,860.46	$ 0.00	$ 0.00
TRDYNAMIC LARGE CA	PWB		04/10/13	9,810.00			

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Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold		3-Cost or other basis		5-Wash sale loss disallowed		4-Federal Income tax withheld
POWERSHS EXCH TRAD FD TRDYNAMIC LARGE CA	73935X609 PWB	S	10/10/12 06/12/13	$	6,428.78 300.00	$	5,683.81	$	0.00	$	0.00
POWERSHS EXCH TRAD FD TRDYNAMIC LARGE CA	73935X609 PWB	S	10/10/12 06/12/13	$	55,182.88 2,575.00	$	48,786.00	$	0.00	$	0.00
POWERSHS EXCH TRAD FD TRDYNAMIC LARGE CA	73935X609 PWB	S	10/10/12 06/12/13	$	62,173.81 2,900 00	$	54,943.46	$	0.00	$	0.00
POWERSHS EXCH TRAD FD TRDYNAMIC LARGE CA	73935X609 PWB	S	10/10/12 06/12/13	$	100,722.15 4,700.00	$	89,046.29	$	0.00	$	0.00
POWERSHS EXCH TRAD FD TRDYNAMIC LARGE CA	73935X609 PWB	S	10/10/12 06/12/13	$	107,146.23 5,000.00	$	94,730 10	$	0.00	$	0.00
POWERSHS EXCH TRAD FD TRDYNAMIC LARGE CA	73935X609 PWB	S	10/10/12 06/12/13	$	169,291.02 7,900.00	$	149,673.56	$	0.00	$	0.00
Security Subtotal				$	**1,602,139.06**	$	**1,472,484.69**	$	**0.00**	$	**0.00**
SPDR S&P BANK ETF	78464A797 KBE	S	04/10/13 07/10/13	$	194,269.16 6,505.00	$	174,928.40	$	0.00	$	0.00
Security Subtotal				$	**194,269.16**	$	**174,928.40**	$	**0.00**	$	**0.00**
SPDR S&P OIL & GAS EQUIPSERVICES ETF	78464A748 XES	S	11/13/13 12/03/13	$	954,578.88 22,200.00	$	997,055.35	$	0.00	$	0.00
Security Subtotal				$	**954,578.88**	$	**997,055.35**	$	**0.00**	$	**0.00**
SPDR S&P PHARMACEUTICALS	78464A722 XPH	S	03/14/13 08/14/13	$	23,763.44 310.00	$	19,384.57	$	0.00	$	0.00

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charles SCHWAB

Schwab One® Account of
HENRY M ROWAN FAMILY
FOUNDATION

Account Number
3199-6569

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
SPDR S&P PHARMACEUTICALS		78464A722	S	03/14/13	$ 30,662.50	$ 25,012.35	$ 0.00	$ 0.00
		XPH		08/14/13	400.00			
SPDR S&P PHARMACEUTICALS		78464A722	S	03/14/13	$ 30,662.50	$ 25,012.35	$ 0.00	$ 0.00
		XPH		08/14/13	400.00			
SPDR S&P PHARMACEUTICALS		78464A722	S	03/14/13	$ 114,982.85	$ 93,796.30	$ 0.00	$ 0.00
		XPH		08/14/13	1,500.00			
Security Subtotal					$ **200,071.29**	$ **163,205.57**	$ **0.00**	$ **0.00**
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/12/13	$ 139.32	$ 123.93	$ 0.00	$ 0.00
		VALE		12/09/13	9.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/12/13	$ 510.83	$ 454.39	$ 0.00	$ 0.00
		VALE		12/09/13	33.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/12/13	$ 1,547.96	$ 1,376.95	$ 0.00	$ 0.00
		VALE		12/09/13	100.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/12/13	$ 20,123.50	$ 17,900.35	$ 0 00	$ 0.00
		VALE		12/09/13	1,300.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/12/13	$ 22,786.89	$ 20,282.44	$ 0 00	$ 0.00
		VALE		12/09/13	1,473.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/12/13	$ 77,383.59	$ 68,847.47	$ 0.00	$ 0.00
		VALE		12/09/13	5,000.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/12/13	$ 77,398.09	$ 68,847.48	$ 0.00	$ 0.00
		VALE		12/09/13	5,000.00			

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Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number 1d-Stock or other symbol	**	1b-Date of acquisition 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
VALE SA ADR FSPONSORED ADR	91912E105 VALE	S	06/12/13 12/09/13	$ 80,494.02 5,200.00	$ 71,601.38	$ 0.00	$ 0.00
Security Subtotal				**$ 280,384.20**	**$ 249,434.39**	**$ 0.00**	**$ 0.00**
VANGUARD DIV APPRCIATION	921908844 VIG	S	03/27/12 01/16/13	$ 55,564.67 900.00	$ 52,830.47	$ 0.00	$ 0.00
VANGUARD DIV APPRCIATION	921908844 VIG	S	03/27/12 01/16/13	$ 55,873.36 905.00	$ 53,123.97	$ 0.00	$ 0.00
VANGUARD DIV APPRCIATION	921908844 VIG	S	03/27/12 01/16/13	$ 61,738.52 1,000.00	$ 58,700.52	$ 0.00	$ 0.00
VANGUARD DIV APPRCIATION	921908844 VIG	S	03/27/12 01/16/13	$ 61,738.52 1,000.00	$ 58,700.52	$ 0.00	$ 0.00
VANGUARD DIV APPRCIATION	921908844 VIG	S	03/27/12 01/16/13	$ 265,471.29 4,300 00	$ 252,412.24	$ 0.00	$ 0.00
Security Subtotal				**$ 500,386.36**	**$ 475,767.72**	**$ 0.00**	**$ 0.00**
VANGUARD SHORT TERM INVESTMENT GRADE	922031836 VFSUX	S	03/28/12 03/14/13	$ 124,980.00 11,552.68	$ 124,393.80	$ 0.00	$ 0.00
Security Subtotal				**$ 124,980.00**	**$ 124,393.80**	**$ 0.00**	**$ 0.00**
WISDOMTREE EMERGING CURRENCY STRATEG	97717W133 CEW	S	 07/10/13	$ 84,413.64 4,225.00	$ 87,384.23	$ 0.00	$ 0.00
Security Subtotal				**$ 84,413.64**	**$ 87,384.23**	**$ 0.00**	**$ 0.00**

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Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold		3-Cost or other basis		5-Wash sale loss disallowed		4-Federal income tax withheld
WISDOMTREE TRUST	BRAZILIAN	97717W240	S		$	191,444.88	$	212,381.59	$	0.00	$	0.00
REAL S		BZF		07/10/13		11,025.00						
Security Subtotal					**$**	**191,444.88**	**$**	**212,381.59**	**$**	**0.00**	**$**	**0.00**
Total Short-Term (Cost basis is reported to the IRS)					**$**	**12,154,699.97**	**$**	**11,494,106.34**				
Total Short-Term Sales Price of Stocks, Bonds, etc.					**$**	**12,154,699.97**	**$**	**11,494,106.34**				

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charles SCHWAB

Schwab One® Account of
HENRY M ROWAN FAMILY
FOUNDATION

Account Number
3199-6569

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box A** checked.)

LONG-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ABERDEEN ASIA PAC INCM	003009107	S	03/27/12	$ 1,448,260.32	$ 1,491,575.35	$ 0.00	$ 0.00
	FAX		05/16/13	204,000.00			
Security Subtotal				**$ 1,448,260.32**	**$ 1,491,575.35**	**$ 0.00**	**$ 0.00**
ISHARES MSCI KOREA ETF CAPPED	464286772	S	03/27/12	$ 588,189.02	$ 637,521.71	$ 20,323.28	$ 0.00
	EWY		04/15/13	10,632.00			
ISHARES MSCI KOREA ETF CAPPED	464286772	S	03/27/12	$ 505,964.17	$ 544,885.86	$ 0.00	$ 0.00
	EWY		04/16/13	8,963.00			
Security Subtotal				**$ 1,094,153.19**	**$ 1,182,407.57**	**$ 20,323.28**	**$ 0.00**
ISHARES RESIDENTIAL REAL ESTATE CAPP	464288562	S	03/27/12	$ 567,209 02	$ 561,290.86	$ 0.00	$ 0.00
	REZ		12/09/13	12,190.00			
Security Subtotal				**$ 567,209.02**	**$ 561,290.86**	**$ 0.00**	**$ 0.00**
ISHARES TR LATIN AMER LATIN AMERICA 40	464287390	S	05/17/12	$ 639,354.96	$ 683,496.76	$ 0.00	$ 0.00
	ILF		06/12/13	16,790.00			
Security Subtotal				**$ 639,354.96**	**$ 683,496.76**	**$ 0.00**	**$ 0.00**
OPPENHEIMER STEELPATH MLP INCM Y	858268709	S	01/05/12	$ 6,211.68	$ 6,087.40	$ 0.00	$ 0.00
	MLPZX		01/16/13	611.39			
Security Subtotal				**$ 6,211.68**	**$ 6,087.40**	**$ 0.00**	**$ 0.00**

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box A** checked.)

LONG-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal Income tax withheld
PERKINS MID CAP VALUE T		471023598	S		$ 1,138,554.77	$ 1,003,339.83	$ 0.00	$ 0.00
		JMCVX		06/12/13	47,698.14			
Security Subtotal					**$ 1,138,554.77**	**$ 1,003,339.83**	**$ 0.00**	**$ 0.00**
PIMCO LOW DURATION FUND INSTL CL		693390304	S	03/28/12	$ 99,980.00	$ 99,201.58	$ 0.00	$ 0.00
		PTLDX		04/10/13	9,514.74			
Security Subtotal					**$ 99,980.00**	**$ 99,201.58**	**$ 0.00**	**$ 0.00**
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 1,547.96	$ 1,870.96	$ 0.00	$ 0.00
		VALE		12/09/13	100.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 1,547.96	$ 1,870.97	$ 0.00	$ 0.00
		VALE		12/09/13	100.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 1,547.96	$ 1,870.97	$ 0.00	$ 0.00
		VALE		12/09/13	100.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 1,547.96	$ 1,870.97	$ 0.00	$ 0.00
		VALE		12/09/13	100.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 1,547.96	$ 1,870.97	$ 0 00	$ 0.00
		VALE		12/09/13	100.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 1,547.96	$ 1,870.97	$ 0.00	$ 0.00
		VALE		12/09/13	100.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 1,547.96	$ 1,870.97	$ 0 00	$ 0.00
		VALE		12/09/13	100.00			

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box A** checked.)

6b-Basis reported to IRS

LONG-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 1,547.96 / 100.00	$ 1,870.97	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 1,547.96 / 100.00	$ 1,870.97	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 1,547.96 / 100.00	$ 1,870.97	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 1,548.06 / 100.00	$ 1,871.07	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 3,095.93 / 200.00	$ 3,741.93	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 3,095.93 / 200 00	$ 3,741 93	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 3,095.93 / 200.00	$ 3,741.93	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 3,095.93 / 200.00	$ 3,741.94	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 6,191.85 / 400.00	$ 7,483.86	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 6,191.85 / 400.00	$ 7,483 87	$ 0.00	$ 0.00
VALE SA ADR	FSPONSORED ADR	91912E105 / VALE	S	06/13/12 / 12/09/13	$ 6,192.25 / 400.00	$ 7,484.27	$ 0 00	$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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charles SCHWAB

Schwab One® Account of
HENRY M ROWAN FAMILY FOUNDATION

Account Number
3199-6569

TAX YEAR 2013
FORM 1099 COMPOSITE

Taxpayer ID Number: 22-3655770

Date Prepared: February 7, 2014

Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box A** checked.)

LONG-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 6,192.25	$ 7,484.27	$ 0.00	$ 0.00
		VALE		12/09/13	400.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 6,192.65	$ 7,483.87	$ 0.00	$ 0.00
		VALE		12/09/13	400 00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 6,811.03	$ 8,232.24	$ 0.00	$ 0.00
		VALE		12/09/13	440 00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 7,740.31	$ 9,354.84	$ 0.00	$ 0.00
		VALE		12/09/13	500.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 8,049.92	$ 9,729.55	$ 0.00	$ 0.00
		VALE		12/09/13	520.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 21,674.26	$ 26,193.54	$ 0.00	$ 0.00
		VALE		12/09/13	1,400.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 27,865.12	$ 33,679.20	$ 0.00	$ 0.00
		VALE		12/09/13	1,800.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 30,961.24	$ 37,421.34	$ 0.00	$ 0.00
		VALE		12/09/13	2,000.00			
VALE SA ADR	FSPONSORED ADR	91912E105	S	06/13/12	$ 43,345.74	$ 52,389.75	$ 0.00	$ 0.00
		VALE		12/09/13	2,800.00			
Security Subtotal					**$ 206,819.85**	**$ 249,969.09**	**$ 0.00**	**$ 0.00**
VANGUARD DIV APPRCIATION		921908844	S	03/27/12	$ 199,941.37	$ 176,982.07	$ 0.00	$ 0.00
		VIG		04/10/13	3,015.00			

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Taxpayer ID Number: 22-3655770 **Date Prepared: February 7, 2014**

Proceeds from Broker Transactions — 2013 (continued) Form 1099-B

Department of the Treasury-Internal Revenue Service Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box A** checked.) **LONG-TERM HOLDING PERIOD**

6b-Basis reported to IRS **COST BASIS IN THIS SECTION IS REPORTED TO THE IRS**

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
VANGUARD DIV APPRCIATION	921908844 VIG	S	03/27/12 07/10/13	$ 299,834.18 4,405.00	$ 258,575.80	$ 0.00	$ 0.00
VANGUARD DIV APPRCIATION	921908844 VIG	S	03/27/12 11/21/13	$ 1,852,676.32 24,975.00	$ 1,466,045.51	$ 0.00	$ 0.00
Security Subtotal				**$ 2,352,451.87**	**$ 1,901,603.38**	**$ 0.00**	**$ 0.00**
VANGUARD SHORT TERM INVESTMENT GRADE	922031836 VFSUX	S	03/28/12 04/10/13	$ 99,980.00 9,250.69	$ 99,608.52	$ 0.00	$ 0.00
Security Subtotal				**$ 99,980.00**	**$ 99,608.52**	**$ 0.00**	**$ 0.00**
WISDOMTREE EMERGING CURRENCY STRATEG	97717W133 CEW	S	03/27/12 07/10/13	$ 1,898,058.41 95,000.00	$ 1,997,002.40	$ 0.00	$ 0.00
Security Subtotal				**$ 1,898,058.41**	**$ 1,997,002.40**	**$ 0.00**	**$ 0.00**
WISDOMTREE TRUST BRAZILIAN REAL S	97717W240 BZF	S	03/27/12 07/10/13	$ 1,015,830.03 58,500.00	$ 1,196,566.54	$ 0.00	$ 0.00
Security Subtotal				**$ 1,015,830.03**	**$ 1,196,566.54**	**$ 0.00**	**$ 0.00**
Total Long-Term (Cost basis is reported to the IRS)				**$ 10,566,864.10**	**$ 10,472,149.28**		
Total Long-Term Sales Price of Stocks, Bonds, etc.				**$ 10,566,864.10**	**$ 10,472,149.28**		
Total Sales Price of Stocks, Bonds, etc.				**$ 22,721,564.07**			
Total Federal Income Tax Withheld				**$ 0.00**			

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Notes for your Form 1099-B

Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows: "Missing" or "Not Provided"

Not Provided Schwab is not providing Cost Basis on this security type.

Missing Cost Basis may be missing due to one of the following reasons:
- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).
- The security was purchased more than 10 years ago.

Acquisition Date If there is more than one lot in a sell transaction, the acquisition date for the individual lot in the transaction can be found in the Realized Gain Loss section of the Year End Summary.

(1) If the acquisition date is blank, your sell transaction included multiple tax lots purchased on different acquisition dates within the same holding period and covered/non-covered status. The lots in the transaction are rolled up into a single sell transaction and thus **Box 1b-Date of Acquisition** will be blank.

(2) If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term

Box (1c) Type of Gain or Loss

Long-term	**Long-Term Realized Gain or Loss** has a holding period greater than one year.
Short-term	**Short-Term Realized Gain or Loss** has a holding period of one year or less.

**Activity Codes (Not reported to the IRS)

C = Cash in Lieu	**E** = Exchange	**P** = Principal	**S** = Sale	**T** = Tender
CV = Conversion	**M** = Cash Merger	**MT** = Maturity	**R** = Redemption	**SS** = Short Sale

- **The cost basis and basis adjustments for covered securities are reported to the IRS.**
- **Gross Proceeds from each of your security transactions are reported individually to the IRS.**
- **Gross Proceeds in aggregate are not reported to the IRS and should not be so reported on your tax return.**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return.

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ALLIANZ GI NFJ SMALL CAP	018918698	2,699.91	12/13/12	12/09/13	$ 104,162.51	$ 80,815.77	$ 0.00	$ 23,346.74
ALLIANZ GI NFJ SMALL CAP	018918698	25,072.61	12/13/12	12/09/13	$ 967,300.18	$ 750,491.80	$ 0.00	$ 216,808.38
ALLIANZ GI NFJ SMALL CAP	018918698	779.53	12/20/12	12/09/13	$ 30,074.46	$ 23,333.64	$ 0.00	$ 6,740.82
ALLIANZ GI NFJ SMALL CAP	018918698	7,239.12	12/20/12	12/09/13	$ 279,284.93	$ 216,686.66	$ 0.00	$ 62,598.27
Security Subtotal					**$ 1,380,822.08**	**$ 1,071,327.87**	**$ 0.00**	**$ 309,494.21**
DEERE & CO	244199105	90.00	05/17/12	02/13/13	$ 8,162.51	$ 6,573.36	$ 0.00	$ 1,589.15
DEERE & CO	244199105	115.00	05/17/12	02/13/13	$ 10,429.86	$ 8,398.60	$ 0.00	$ 2,031.26
DEERE & CO	244199105	800.00	05/17/12	02/13/13	$ 72,555.59	$ 58,425.06	$ 0.00	$ 14,130.53
DEERE & CO	244199105	1,030.00	05/17/12	02/13/13	$ 93,415.34	$ 75,222 27	$ 0.00	$ 18,193.07
DEERE & CO	244199105	50.00	06/13/12	02/13/13	$ 4,534.72	$ 3,663 77	$ 0.00	$ 870.95
DEERE & CO	244199105	95.00	06/13/12	02/13/13	$ 8,615.98	$ 6,961.17	$ 0.00	$ 1,654.81
DEERE & CO	244199105	200 00	06/13/12	02/13/13	$ 18,138.90	$ 14,655.08	$ 0 00	$ 3,483.82
DEERE & CO	244199105	200.00	06/13/12	02/13/13	$ 18,138.90	$ 14,655 09	$ 0.00	$ 3,483.81
Security Subtotal					**$ 233,991.80**	**$ 188,554.40**	**$ 0.00**	**$ 45,437.40**
GLOBAL X EXCH TRADED FD	37950E408	100.00	02/13/13	09/11/13	$ 1,534.94	$ 1,518.66	$ 0.00	$ 16 28
GLOBAL X EXCH TRADED FD	37950E408	200.00	02/13/13	09/11/13	$ 3,065.90	$ 3,037.33	$ 0.00	$ 28.57

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return.

Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
GLOBAL X EXCH TRADED FD	37950E408	200.00	02/13/13	09/11/13	$ 3,067.90	$ 3,037.33	$ 0.00	$ 30.57
GLOBAL X EXCH TRADED FD	37950E408	300.00	02/13/13	09/11/13	$ 4,595.84	$ 4,555.99	$ 0.00	$ 39.85
GLOBAL X EXCH TRADED FD	37950E408	300.00	02/13/13	09/11/13	$ 4,601.84	$ 4,555.99	$ 0.00	$ 45.85
GLOBAL X EXCH TRADED FD	37950E408	400.00	02/13/13	09/11/13	$ 6,127.78	$ 6,074.66	$ 0.00	$ 53 12
GLOBAL X EXCH TRADED FD	37950E408	400.00	02/13/13	09/11/13	$ 6,127.78	$ 6,074.66	$ 0.00	$ 53.12
GLOBAL X EXCH TRADED FD	37950E408	400 00	02/13/13	09/11/13	$ 6,135.78	$ 6,074.66	$ 0.00	$ 61.12
GLOBAL X EXCH TRADED FD	37950E408	500.00	02/13/13	09/11/13	$ 7,659.73	$ 7,593.32	$ 0.00	$ 66.41
GLOBAL X EXCH TRADED FD	37950E408	500.00	02/13/13	09/11/13	$ 7,659.73	$ 7,593.32	$ 0.00	$ 66.41
GLOBAL X EXCH TRADED FD	37950E408	500.00	02/13/13	09/11/13	$ 7,669.73	$ 7,593.32	$ 0.00	$ 76.41
GLOBAL X EXCH TRADED FD	37950E408	500.00	02/13/13	09/11/13	$ 7,669.73	$ 7,593.32	$ 0.00	$ 76.41
GLOBAL X EXCH TRADED FD	37950E408	600.00	02/13/13	09/11/13	$ 9,203.68	$ 9,111.99	$ 0.00	$ 91.69
GLOBAL X EXCH TRADED FD	37950E408	700.00	02/13/13	09/11/13	$ 10,723.62	$ 10,630.65	$ 0.00	$ 92.97
GLOBAL X EXCH TRADED FD	37950E408	800.00	02/13/13	09/11/13	$ 12,255 57	$ 12,149.32	$ 0.00	$ 106 25
GLOBAL X EXCH TRADED FD	37950E408	900.00	02/13/13	09/11/13	$ 13,805.51	$ 13,667.98	$ 0.00	$ 137.53
GLOBAL X EXCH TRADED FD	37950E408	1,200.00	02/13/13	09/11/13	$ 18,408.55	$ 18,223.98	$ 0.00	$ 184.57
GLOBAL X EXCH TRADED FD	37950E408	1,400.00	02/13/13	09/11/13	$ 21,447.25	$ 21,261.30	$ 0.00	$ 185.95
GLOBAL X EXCH TRADED FD	37950E408	1,800 00	02/13/13	09/11/13	$ 27,575 03	$ 27,335.96	$ 0.00	$ 239 07
GLOBAL X EXCH TRADED FD	37950E408	1,900.00	02/13/13	09/11/13	$ 29,106.97	$ 28,854.63	$ 0.00	$ 252.34
GLOBAL X EXCH TRADED FD	37950E408	2,000.00	02/13/13	09/11/13	$ 30,658.92	$ 30,373 29	$ 0.00	$ 285 63
GLOBAL X EXCH TRADED FD	37950E408	2,359 00	02/13/13	09/11/13	$ 36,140.96	$ 35,825 30	$ 0.00	$ 315.66
GLOBAL X EXCH TRADED FD	37950E408	2,400.00	02/13/13	09/11/13	$ 36,790.70	$ 36,447.95	$ 0 00	$ 342.75

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
GLOBAL X EXCH TRADED FD	37950E408	5,700.00	02/13/13	09/11/13	$ 87,320.91	$ 86,563.88	$ 0.00	$ 757.03
GLOBAL X EXCH TRADED FD	37950E408	6,514.00	02/13/13	09/11/13	$ 99,790.94	$ 98,925.81	$ 0.00	$ 865.13
Security Subtotal					**$ 499,145.29**	**$ 494,674.60**	**$ 0.00**	**$ 4,470.69**
ISHARES MSCI KOREA ETF	464286772	1,385.00	03/27/12	01/17/13	$ 86,836.87	$ 83,048.12	$ 0.00	$ 3,788.75
ISHARES MSCI KOREA ETF	464286772	1,950.00	05/17/12	04/16/13	$ 110,078.11	$ 102,922 85	$ 0.00	$ 7,155.26
ISHARES MSCI KOREA ETF	464286772	7,500.00	05/17/12	04/16/13	$ 423,377.36	$ 395,857.10	$ 0.00	$ 27,520.26
Security Subtotal					**$ 620,292.34**	**$ 581,828.07**	**$ 0.00**	**$ 38,464.27**
ISHARES MSCI SINGAPORE	464286673	100.00	03/27/12	01/16/13	$ 1,364.93	$ 1,298.90	$ 0.00	$ 66.03
ISHARES MSCI SINGAPORE	464286673	100.00	03/27/12	01/16/13	$ 1,364.93	$ 1,298.90	$ 0.00	$ 66.03
ISHARES MSCI SINGAPORE	464286673	100.00	03/27/12	01/16/13	$ 1,364.93	$ 1,298.90	$ 0.00	$ 66.03
ISHARES MSCI SINGAPORE	464286673	2,019.00	03/27/12	01/16/13	$ 27,557.82	$ 26,134.37	$ 0.00	$ 1,423.45
ISHARES MSCI SINGAPORE	464286673	74,881.00	03/27/12	02/13/13	$ 1,041,096.51	$ 969,275.87	$ 0.00	$ 71,820.64
Security Subtotal					**$ 1,072,749.12**	**$ 999,306.94**	**$ 0.00**	**$ 73,442.18**
ISHARES RESIDENTIAL RE	464288562	17,000.00	01/16/13	12/09/13	$ 791,021.61	$ 846,512.05	$ 0.00	$ (55,490.44)
ISHARES RESIDENTIAL RE	464288562	500.00	03/14/13	12/09/13	$ 23,265.34	$ 25,577.55	$ 0.00	$ (2,312.21)
ISHARES RESIDENTIAL RE	464288562	965.00	03/14/13	12/09/13	$ 44,902.11	$ 49,364.69	$ 0.00	$ (4,462.58)
ISHARES RESIDENTIAL RE	464288562	100.00	09/11/13	12/09/13	$ 4,653 07	$ 4,826.11	$ 0.00	$ (173.04)
ISHARES RESIDENTIAL RE	464288562	100.00	09/11/13	12/09/13	$ 4,653.07	$ 4,826.11	$ 0.00	$ (173.04)
ISHARES RESIDENTIAL RE	464288562	200.00	09/11/13	12/09/13	$ 9,306.14	$ 9,652 22	$ 0.00	$ (346.08)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Date Prepared: February 7, 2014

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ISHARES RESIDENTIAL RE	464288562	200.00	09/11/13	12/09/13	$ 9,306.14	$ 9,652.22	$ 0.00	$ (346.08)
ISHARES RESIDENTIAL RE	464288562	200.00	09/11/13	12/09/13	$ 9,306.14	$ 9,652.22	$ 0.00	$ (346.08)
ISHARES RESIDENTIAL RE	464288562	200.00	09/11/13	12/09/13	$ 9,306 14	$ 9,652.22	$ 0.00	$ (346.08)
ISHARES RESIDENTIAL RE	464288562	300.00	09/11/13	12/09/13	$ 13,959.20	$ 14,478.32	$ 0.00	$ (519.12)
ISHARES RESIDENTIAL RE	464288562	400.00	09/11/13	12/09/13	$ 18,612.27	$ 19,304.43	$ 0.00	$ (692.16)
ISHARES RESIDENTIAL RE	464288562	690.00	09/11/13	12/09/13	$ 32,106.17	$ 33,300.14	$ 0.00	$ (1,193 97)
ISHARES RESIDENTIAL RE	464288562	900.00	09/11/13	12/09/13	$ 41,877.61	$ 43,434.97	$ 0.00	$ (1,557.36)
ISHARES RESIDENTIAL RE	464288562	1,000 00	09/11/13	12/09/13	$ 46,530.68	$ 48,251.07	$ 0.00	$ (1,720.39)
ISHARES RESIDENTIAL RE	464288562	1,000.00	09/11/13	12/09/13	$ 46,530.68	$ 48,261.08	$ 0.00	$ (1,730.40)
ISHARES RESIDENTIAL RE	464288562	3,000.00	09/11/13	12/09/13	$ 139,592.05	$ 144,783.24	$ 0.00	$ (5,191.19)
ISHARES RESIDENTIAL RE	464288562	2,107.00	11/13/13	12/09/13	$ 98,040.15	$ 100,028.24	$ 0.00	$ (1,988.09)
Security Subtotal					**$ 1,342,968.57**	**$ 1,421,556.88**	**$ 0.00**	**$ (78,588.31)**
ISHARES TR LATIN AMER L	464287390	7,760.00	05/17/12	01/16/13	$ 350,044.57	$ 315,912.43	$ 0.00	$ 34,132.14
ISHARES TR LATIN AMER L	464287390	6.00	04/10/13	06/12/13	$ 228.48	$ 265.75	$ 0.00	$ (37.27)
ISHARES TR LATIN AMER L	464287390	19.00	04/10/13	06/12/13	$ 723.51	$ 841.54	$ 0.00	$ (118.03)
ISHARES TR LATIN AMER L	464287390	100.00	04/10/13	06/12/13	$ 3,807.95	$ 4,429.03	$ 0.00	$ (621.08)
ISHARES TR LATIN AMER L	464287390	100.00	04/10/13	06/12/13	$ 3,807.95	$ 4,429.16	$ 0.00	$ (621.21)
ISHARES TR LATIN AMER L	464287390	100.00	04/10/13	06/12/13	$ 3,807.95	$ 4,429.16	$ 0.00	$ (621.21)
ISHARES TR LATIN AMER L	464287390	100.00	04/10/13	06/12/13	$ 3,807.95	$ 4,430.16	$ 0.00	$ (622.21)
ISHARES TR LATIN AMER L	464287390	182.00	04/10/13	06/12/13	$ 6,930.47	$ 8,061.07	$ 0.00	$ (1,130.60)

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description		CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
ISHARES TR LATIN AMER	L	464287390	200.00	04/10/13	06/12/13	$	7,615.90	$	8,858.32	$	0.00	$	(1,242.42)
ISHARES TR LATIN AMER	L	464287390	200.00	04/10/13	06/12/13	$	7,615.90	$	8,858.32	$	0.00	$	(1,242.42)
ISHARES TR LATIN AMER	L	464287390	400.00	04/10/13	06/12/13	$	15,231.80	$	17,720.23	$	0.00	$	(2,488.43)
ISHARES TR LATIN AMER	L	464287390	700.00	04/10/13	06/12/13	$	26,655.66	$	31,003.41	$	0.00	$	(4,347.75)
ISHARES TR LATIN AMER	L	464287390	740.00	04/10/13	06/12/13	$	28,178 84	$	32,775.03	$	0.00	$	(4,596.19)
ISHARES TR LATIN AMER	L	464287390	1,093.00	04/10/13	06/12/13	$	41,620.90	$	48,421.63	$	0.00	$	(6,800.73)
ISHARES TR LATIN AMER	L	464287390	1,700.00	04/10/13	06/12/13	$	64,735.17	$	75,312.70	$	0 00	$	(10,577.53)
Security Subtotal						**$**	**564,813.00**	**$**	**565,747.94**	**$**	**0.00**	**$**	**(934.94)**
OPPENHEIMER STEELPATH		858268709	608.75	02/08/12	01/16/13	$	6,184 89	$	6,061.16	$	0 00	$	123.73
OPPENHEIMER STEELPATH		858268709	608.44	03/07/12	01/16/13	$	6,181.73	$	6,058.06	$	0.00	$	123.67
OPPENHEIMER STEELPATH		858268709	142,043.56	03/27/12	01/16/13	$	1,443,151.59	$	1,414,279.52	$	0.00	$	28,872.07
OPPENHEIMER STEELPATH		858268709	1,497.59	04/05/12	01/16/13	$	15,215.43	$	14,911.02	$	0.00	$	304.41
OPPENHEIMER STEELPATH		858268709	1,519.92	05/03/12	01/16/13	$	15,442.27	$	15,133.33	$	0.00	$	308.94
OPPENHEIMER STEELPATH		858268709	1,618.34	06/06/12	01/16/13	$	16,442.23	$	16,113.28	$	0.00	$	328.95
OPPENHEIMER STEELPATH		858268709	1,588.48	07/06/12	01/16/13	$	16,138 92	$	15,816.04	$	0.00	$	322.88
OPPENHEIMER STEELPATH		858268709	1,581.38	08/08/12	01/16/13	$	16,066.79	$	15,745.35	$	0.00	$	321.44
OPPENHEIMER STEELPATH		858268709	1,597.73	09/06/12	01/16/13	$	16,232.85	$	15,908.10	$	0.00	$	324.75
OPPENHEIMER STEELPATH		858268709	1,593.76	10/04/12	01/16/13	$	16,192.48	$	15,868.53	$	0.00	$	323.95
OPPENHEIMER STEELPATH		858268709	1,635.88	11/07/12	01/16/13	$	16,620.50	$	16,287.98	$	0.00	$	332.52
OPPENHEIMER STEELPATH		858268709	1,669.79	11/29/12	01/16/13	$	16,965.03	$	16,625.62	$	0 00	$	339.41

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
OPPENHEIMER STEELPATH	858268709	1,667.21	01/04/13	01/16/13	$	16,938.76	$	16,599.89	$	0.00	$	338.87
Security Subtotal					**$**	**1,617,773.47**	**$**	**1,585,407.88**	**$**	**0.00**	**$**	**32,365.59**
PERKINS MID CAP VALUE T	471023598	1,160.66	12/20/12	06/12/13	$	27,705.05	$	24,414.79	$	0.00	$	3,290.26
PERKINS MID CAP VALUE T	471023598	5,728.38	12/20/12	06/12/13	$	136,736.60	$	120,497.74	$	0.00	$	16,238.86
Security Subtotal					**$**	**164,441.65**	**$**	**144,912.53**	**$**	**0.00**	**$**	**19,529.12**
PIMCO LOW DURATION FUN	693390304	11,927.48	03/28/12	03/14/13	$	124,980.00	$	124,355.16[t]	$	0.00	$	624.84
Security Subtotal					**$**	**124,980.00**	**$**	**124,355.16**	**$**	**0.00**	**$**	**624.84**
POWERSHARES ETF KB	73937B746	5,000.00	03/14/13	07/10/13	$	163,354.50	$	146,753.10	$	0.00	$	16,601.40
POWERSHARES ETF KB	73937B746	7,245.00	03/14/13	07/10/13	$	236,700.68	$	212,645 23	$	0.00	$	24,055 45
Security Subtotal					**$**	**400,055.18**	**$**	**359,398.33**	**$**	**0.00**	**$**	**40,656.85**
POWERSHS EXCH TRAD FD	73935X609	100.00	10/10/12	01/16/13	$	1,955.93	$	1,894.60	$	0 00	$	61.33
POWERSHS EXCH TRAD FD	73935X609	100.00	10/10/12	01/16/13	$	1,955.93	$	1,894.60	$	0.00	$	61.33
POWERSHS EXCH TRAD FD	73935X609	2,300.00	10/10/12	01/16/13	$	44,963.32	$	43,575.85	$	0.00	$	1,387.47
POWERSHS EXCH TRAD FD	73935X609	3,073.00	10/10/12	01/16/13	$	60,074.91	$	58,221.12	$	0.00	$	1,853.79
POWERSHS EXCH TRAD FD	73935X609	4,800.00	10/10/12	01/16/13	$	93,889.30	$	90,940.90	$	0.00	$	2,948.40
POWERSHS EXCH TRAD FD	73935X609	5,000.00	10/10/12	01/16/13	$	97,751.35	$	94,730.10	$	0.00	$	3,021.25
POWERSHS EXCH TRAD FD	73935X609	6,800 00	10/10/12	01/16/13	$	132,941.84	$	128,832 94	$	0.00	$	4,108.90
POWERSHS EXCH TRAD FD	73935X609	8,562.00	10/10/12	01/16/13	$	167,380.86	$	162,215.82	$	0.00	$	5,165.04
POWERSHS EXCH TRAD FD	73935X609	3,800.00	10/10/12	04/10/13	$	80,518.76	$	71,994.88	$	0.00	$	8,523.88

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
POWERSHS EXCH TRAD FD	73935X609	5,000.00	10/10/12	04/10/13	$	105,945.73	$	94,730.10	$	0.00	$	11,215.63
POWERSHS EXCH TRAD FD	73935X609	5,000.00	10/10/12	04/10/13	$	105,950.73	$	94,730.10	$	0.00	$	11,220.63
POWERSHS EXCH TRAD FD	73935X609	9,810.00	10/10/12	04/10/13	$	207,865.53	$	185,860.46	$	0.00	$	22,005.07
POWERSHS EXCH TRAD FD	73935X609	300.00	10/10/12	06/12/13	$	6,428.78	$	5,683.81	$	0.00	$	744.97
POWERSHS EXCH TRAD FD	73935X609	2,575.00	10/10/12	06/12/13	$	55,182 88	$	48,786.00	$	0.00	$	6,396.88
POWERSHS EXCH TRAD FD	73935X609	2,900.00	10/10/12	06/12/13	$	62,173.81	$	54,943.46	$	0.00	$	7,230.35
POWERSHS EXCH TRAD FD	73935X609	4,700.00	10/10/12	06/12/13	$	100,722.15	$	89,046.29	$	0.00	$	11,675.86
POWERSHS EXCH TRAD FD	73935X609	5,000.00	10/10/12	06/12/13	$	107,146.23	$	94,730.10	$	0.00	$	12,416.13
POWERSHS EXCH TRAD FD	73935X609	7,900.00	10/10/12	06/12/13	$	169,291.02	$	149,673.56	$	0.00	$	19,617.46
Security Subtotal					**$**	**1,602,139.06**	**$**	**1,472,484.69**	**$**	**0.00**	**$**	**129,654.37**
SPDR S&P BANK ETF	78464A797	6,505.00	04/10/13	07/10/13	$	194,269.16	$	174,928.40	$	0.00	$	19,340.76
Security Subtotal					**$**	**194,269.16**	**$**	**174,928.40**	**$**	**0.00**	**$**	**19,340.76**
SPDR S&P OIL & GAS EQUIP	78464A748	22,200.00	11/13/13	12/03/13	$	954,578.88	$	997,055.35	$	0 00	$	(42,476.47)
Security Subtotal					**$**	**954,578.88**	**$**	**997,055.35**	**$**	**0.00**	**$**	**(42,476.47)**
SPDR S&P PHARMACEUTICA	78464A722	100.00	03/14/13	08/14/13	$	7,665.63	$	6,253.09	$	0.00	$	1,412.54
SPDR S&P PHARMACEUTICA	78464A722	210.00	03/14/13	08/14/13	$	16,097.81	$	13,131 48	$	0.00	$	2,966.33
SPDR S&P PHARMACEUTICA	78464A722	400.00	03/14/13	08/14/13	$	30,662.50	$	25,012.35	$	0.00	$	5,650.15
SPDR S&P PHARMACEUTICA	78464A722	400.00	03/14/13	08/14/13	$	30,662.50	$	25,012 35	$	0.00	$	5,650.15

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
SPDR S&P PHARMACEUTICA	78464A722	1,500.00	03/14/13	08/14/13	$	114,982.85	$	93,796.30	$	0.00	$	21,186.55
Security Subtotal					**$**	**200,071.29**	**$**	**163,205.57**	**$**	**0.00**	**$**	**36,865.72**
VALE SA ADR	FSPONS 91912E105	9.00	06/12/13	12/09/13	$	139.32	$	123.93	$	0.00	$	15.39
VALE SA ADR	FSPONS 91912E105	33.00	06/12/13	12/09/13	$	510.83	$	454.39	$	0.00	$	56.44
VALE SA ADR	FSPONS 91912E105	33 00	06/12/13	12/09/13	$	510.83	$	454.39	$	0.00	$	56 44
VALE SA ADR	FSPONS 91912E105	67.00	06/12/13	12/09/13	$	1,037.13	$	922.56	$	0.00	$	114.57
VALE SA ADR	FSPONS 91912E105	100.00	06/12/13	12/09/13	$	1,546.97	$	1,376.93	$	0.00	$	170.04
VALE SA ADR	FSPONS 91912E105	100.00	06/12/13	12/09/13	$	1,547.96	$	1,376.95	$	0.00	$	171.01
VALE SA ADR	FSPONS 91912E105	133.00	06/12/13	12/09/13	$	2,058.79	$	1,831.34	$	0.00	$	227.45
VALE SA ADR	FSPONS 91912E105	367.00	06/12/13	12/09/13	$	5,681.02	$	5,053.41	$	0.00	$	627.61
VALE SA ADR	FSPONS 91912E105	491.00	06/12/13	12/09/13	$	7,595.63	$	6,760.82	$	0.00	$	834.81
VALE SA ADR	FSPONS 91912E105	700.00	06/12/13	12/09/13	$	10,835.73	$	9,638.65	$	0.00	$	1,197.08
VALE SA ADR	FSPONS 91912E105	882.00	06/12/13	12/09/13	$	13,644.29	$	12,144 69	$	0.00	$	1,499.60
VALE SA ADR	FSPONS 91912E105	1,318.00	06/12/13	12/09/13	$	20,402.14	$	18,148.19	$	0.00	$	2,253.95
VALE SA ADR	FSPONS 91912E105	1,682.00	06/12/13	12/09/13	$	26,036.72	$	23,160 30	$	0.00	$	2,876.42
VALE SA ADR	FSPONS 91912E105	2,200.00	06/12/13	12/09/13	$	34,055.16	$	30,292.89	$	0.00	$	3,762.27
VALE SA ADR	FSPONS 91912E105	2,418.00	06/12/13	12/09/13	$	37,429.72	$	33,294.64	$	0.00	$	4,135.08
VALE SA ADR	FSPONS 91912E105	2,582.00	06/12/13	12/09/13	$	39,968.37	$	35,552.84	$	0.00	$	4,415.53
VALE SA ADR	FSPONS 91912E105	5,000.00	06/12/13	12/09/13	$	77,383.59	$	68,847.47	$	0.00	$	8,536.12
Security Subtotal					**$**	**280,384.20**	**$**	**249,434.39**	**$**	**0.00**	**$**	**30,949.81**

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
VANGUARD DIV APPRCIATIO	921908844	900.00	03/27/12	01/16/13	$ 55,564.67	$ 52,830.47	$ 0.00	$ 2,734.20
VANGUARD DIV APPRCIATIO	921908844	905.00	03/27/12	01/16/13	$ 55,873.36	$ 53,123.97	$ 0.00	$ 2,749.39
VANGUARD DIV APPRCIATIO	921908844	1,000.00	03/27/12	01/16/13	$ 61,738.52	$ 58,700.52	$ 0.00	$ 3,038.00
VANGUARD DIV APPRCIATIO	921908844	1,000.00	03/27/12	01/16/13	$ 61,738.52	$ 58,700.52	$ 0.00	$ 3,038.00
VANGUARD DIV APPRCIATIO	921908844	4,300.00	03/27/12	01/16/13	$ 265,471.29	$ 252,412.24	$ 0.00	$ 13,059.05
Security Subtotal					**$ 500,386.36**	**$ 475,767.72**	**$ 0.00**	**$ 24,618.64**
VANGUARD SHORT TERM	922031836	11,552.68	03/28/12	03/14/13	$ 124,980.00	$ 124,393.80	$ 0.00	$ 586.20
Security Subtotal					**$ 124,980.00**	**$ 124,393.80**	**$ 0.00**	**$ 586.20**
WISDOMTREE EMERGING	97717W133	200.00	03/14/13	07/10/13	$ 3,995.91	$ 4,222.81	$ 0.00	$ (226.90)
WISDOMTREE EMERGING	97717W133	275.00	03/14/13	07/10/13	$ 5,494.38	$ 5,806.37	$ 0.00	$ (311.99)
WISDOMTREE EMERGING	97717W133	1,300.00	03/14/13	07/10/13	$ 25,973.43	$ 27,446.95	$ 0.00	$ (1,473.52)
WISDOMTREE EMERGING	97717W133	100 00	06/12/13	07/10/13	$ 1,997.95	$ 2,037.06	$ 0.00	$ (39.11)
WISDOMTREE EMERGING	97717W133	982.00	06/12/13	07/10/13	$ 19,619.93	$ 20,003.98	$ 0.00	$ (384.05)
WISDOMTREE EMERGING	97717W133	1,368.00	06/12/13	07/10/13	$ 27,332.04	$ 27,867.06	$ 0.00	$ (535.02)
Security Subtotal					**$ 84,413.64**	**$ 87,384.23**	**$ 0.00**	**$ (2,970.59)**
WISDOMTREE TRUST BR	97717W240	85.00	03/14/13	07/10/13	$ 1,475.99	$ 1,690.12	$ 0.00	$ (214.13)
WISDOMTREE TRUST BR	97717W240	100.00	03/14/13	07/10/13	$ 1,736.46	$ 1,988 37	$ 0 00	$ (251.91)
WISDOMTREE TRUST BR	97717W240	100.00	03/14/13	07/10/13	$ 1,736.46	$ 1,988.38	$ 0.00	$ (251.92)
WISDOMTREE TRUST BR	97717W240	1,600.00	03/14/13	07/10/13	$ 27,783.39	$ 31,790.00	$ 0.00	$ (4,006.61)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
WISDOMTREE TRUST	BR 97717W240	100.00	05/16/13	07/10/13	$ 1,736.46	$ 1,948.14	$ 0.00	$ (211.68)
WISDOMTREE TRUST	BR 97717W240	1,115.00	05/16/13	07/10/13	$ 19,361.55	$ 21,720.65	$ 0.00	$ (2,359.10)
WISDOMTREE TRUST	BR 97717W240	1,200.00	05/16/13	07/10/13	$ 20,837.54	$ 23,376.47	$ 0.00	$ (2,538.93)
WISDOMTREE TRUST	BR 97717W240	1,200 00	05/16/13	07/10/13	$ 20,837.54	$ 23,377.67	$ 0.00	$ (2,540.13)
WISDOMTREE TRUST	BR 97717W240	2,800.00	05/16/13	07/10/13	$ 48,620 92	$ 54,547.91	$ 0.00	$ (5,926.99)
WISDOMTREE TRUST	BR 97717W240	325.00	06/12/13	07/10/13	$ 5,643 50	$ 5,958.00	$ 0.00	$ (314.50)
WISDOMTREE TRUST	BR 97717W240	400.00	06/12/13	07/10/13	$ 6,945.85	$ 7,332.91	$ 0.00	$ (387.06)
WISDOMTREE TRUST	BR 97717W240	400.00	06/12/13	07/10/13	$ 6,945.85	$ 7,332.91	$ 0.00	$ (387.06)
WISDOMTREE TRUST	BR 97717W240	1,600.00	06/12/13	07/10/13	$ 27,783.37	$ 29,330.06	$ 0.00	$ (1,546.69)
Security Subtotal					**$ 191,444.88**	**$ 212,381.59**	**$ 0.00**	**$ (20,936.71)**
Total Short-Term *(Cost basis is reported to the IRS)*					**$ 12,154,699.97**	**$ 11,494,106.34**	**$ 0.00**	**$ 660,593.63**

Short-Term Realized Gain or (Loss)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, in either Part I or Part II as appropriate, with Box C checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
RYDEX ETF TRUST	AUS 23129U101	360.00	03/14/13	07/10/13	$ 32,943.03	$ 37,442.16	$ 0.00	$ (4,499.13)
RYDEX ETF TRUST	AUS 23129U101	1,265.00	05/16/13	07/10/13	$ 115,758.14	$ 124,721.41	$ 0.00	$ (8,963.27)

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Date Prepared: February 7, 2014

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Short-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, in either Part I or Part II as appropriate, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
RYDEX ETF TRUST	AUS 23129U101	525.00	06/12/13	07/10/13	$ 48,041.91	$ 50,014.13	$ 0.00	$ (1,972.22)
Security Subtotal					**$ 196,743.08**	**$ 212,177.70**	**$ 0.00**	**$ (15,434.62)**
RYDEX ETF TRUST	CAN 23129X105	385.00	03/14/13	07/10/13	$ 36,407.28	$ 37,466.66	$ 0.00	$ (1,059.38)
RYDEX ETF TRUST	CAN 23129X105	510.00	06/12/13	07/10/13	$ 48,227 82	$ 49,778.04	$ 0.00	$ (1,550.22)
Security Subtotal					**$ 84,635.10**	**$ 87,244.70**	**$ 0.00**	**$ (2,609.60)**
SPDR GOLD TRUST	SPD 78463V107	22,500.00	11/09/12	01/16/13	$ 3,664,247.72	$ 3,774,588.59	$ 0.00	$ (110,340.87)
SPDR GOLD TRUST	SPD 78463V107	150.00	11/09/12	03/14/13	$ 23,096.33	$ 25,163.92	$ 0.00	$ (2,067.59)
SPDR GOLD TRUST	SPD 78463V107	200.00	11/09/12	03/14/13	$ 30,795.12	$ 33,551.90	$ 0.00	$ (2,756.78)
SPDR GOLD TRUST	SPD 78463V107	200.00	11/09/12	03/14/13	$ 30,795.12	$ 33,551.90	$ 0.00	$ (2,756.78)
SPDR GOLD TRUST	SPD 78463V107	200.00	11/09/12	03/14/13	$ 30,795.12	$ 33,551.90	$ 0.00	$ (2,756.78)
SPDR GOLD TRUST	SPD 78463V107	1,800.00	11/09/12	03/14/13	$ 277,156.05	$ 301,967.09	$ 0.00	$ (24,811.04)
SPDR GOLD TRUST	SPD 78463V107	2,000.00	11/09/12	03/14/13	$ 307,951.16	$ 335,518.99	$ 0.00	$ (27,567.83)
SPDR GOLD TRUST	SPD 78463V107	10.00	11/09/12	08/14/13	$ 1,287.96	$ 1,677.59	$ 0.00	$ (389.63)
SPDR GOLD TRUST	SPD 78463V107	20.00	11/09/12	08/14/13	$ 2,575.91	$ 3,355.19	$ 0.00	$ (779.28)
SPDR GOLD TRUST	SPD 78463V107	55 00	11/09/12	08/14/13	$ 7,083.75	$ 9,226.77	$ 0.00	$ (2,143.02)
SPDR GOLD TRUST	SPD 78463V107	100.00	11/09/12	08/14/13	$ 12,879.55	$ 16,775.95	$ 0.00	$ (3,896.40)
SPDR GOLD TRUST	SPD 78463V107	100.00	11/09/12	08/14/13	$ 12,881.55	$ 16,775.95	$ 0.00	$ (3,894.40)
SPDR GOLD TRUST	SPD 78463V107	100.00	11/09/12	08/14/13	$ 12,881.55	$ 16,775.95	$ 0.00	$ (3,894.40)
SPDR GOLD TRUST	SPD 78463V107	200 00	11/09/12	08/14/13	$ 25,759.09	$ 33,551.90	$ 0.00	$ (7,792.81)
SPDR GOLD TRUST	SPD 78463V107	200.00	11/09/12	08/14/13	$ 25,759.09	$ 33,551.90	$ 0.00	$ (7,792.81)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

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Short-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, in either Part I or Part II as appropriate, with Box C checked

Description	CUSIP Number -	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
SPDR GOLD TRUST	SPD 78463V107	300.00	11/09/12	08/14/13	$ 38,638.64	$ 50,327.85	$ 0.00	$ (11,689.21)
SPDR GOLD TRUST	SPD 78463V107	300.00	11/09/12	08/14/13	$ 38,638.64	$ 50,327.85	$ 0.00	$ (11,689.21)
SPDR GOLD TRUST	SPD 78463V107	700.00	11/09/12	08/14/13	$ 90,150 52	$ 117,431.64	$ 0.00	$ (27,281.12)
SPDR GOLD TRUST	SPD 78463V107	1,800.00	11/09/12	08/14/13	$ 231,815.62	$ 301,967.09	$ 0.00	$ (70,151.47)
SPDR GOLD TRUST	SPD 78463V107	300.00	12/12/12	11/13/13	$ 36,869.24	$ 49,779.59	$ 0.00	$ (12,910.35)
SPDR GOLD TRUST	SPD 78463V107	300.00	12/12/12	11/13/13	$ 36,869.25	$ 49,779.29	$ 0.00	$ (12,910.04)
SPDR GOLD TRUST	SPD 78463V107	310.00	12/12/12	11/13/13	$ 38,098.22	$ 51,438.91	$ 0.00	$ (13,340.69)
SPDR GOLD TRUST	SPD 78463V107	400.00	12/12/12	11/13/13	$ 49,158.99	$ 66,372.40	$ 0.00	$ (17,213.41)
SPDR GOLD TRUST	SPD 78463V107	600.00	12/12/12	11/13/13	$ 73,738.49	$ 99,559.18	$ 0.00	$ (25,820.69)
SPDR GOLD TRUST	SPD 78463V107	1,100.00	12/12/12	11/13/13	$ 135,187.22	$ 182,524.07	$ 0.00	$ (47,336.85)
Security Subtotal					**$ 5,235,109.90**	**$ 5,689,093.36**	**$ 0.00**	**$ (453,983.46)**
Total Short-Term *(Transactions are not reported on Form 1099-B or to the IRS)*					**$ 5,516,488.08**	**$ 5,988,515.76**	**$ 0.00**	**$ (472,027.68)**
Total Short-Term					**$ 17,671,188.05**	**$ 17,482,622.10**	**$ 0.00**	**$ 188,565.95**

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Date Prepared: February 7, 2014

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Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
ABERDEEN ASIA PAC INCM	003009107	204,000.00	03/27/12	05/16/13	$	1,448,260.32	$	1,491,575.35	$	0 00	$	(43,315.03)
Security Subtotal					**$**	**1,448,260.32**	**$**	**1,491,575.35**	**$**	**0.00**	**$**	**(43,315.03)**
ISHARES MSCI KOREA ETF	464286772	10,632.00	03/27/12	04/15/13	$	588,189.02	$	637,521.71	$	20,323 28	$	(29,009.41)
ISHARES MSCI KOREA ETF	464286772	100.00	03/27/12	04/16/13	$	5,645.03	$	6,166.09	$	0 00	$	(521.06)
ISHARES MSCI KOREA ETF	464286772	100.00	03/27/12	04/16/13	$	5,645.03	$	6,166.10	$	0.00	$	(521.07)
ISHARES MSCI KOREA ETF	464286772	200 00	03/27/12	04/16/13	$	11,290.06	$	12,332.29	$	0.00	$	(1,042.23)
ISHARES MSCI KOREA ETF	464286772	400 00	03/27/12	04/16/13	$	22,580.13	$	24,664.39	$	0 00	$	(2,084.26)
ISHARES MSCI KOREA ETF	464286772	400.00	03/27/12	04/16/13	$	22,580.13	$	24,664.59	$	0.00	$	(2,084.46)
ISHARES MSCI KOREA ETF	464286772	600.00	03/27/12	04/16/13	$	33,870.19	$	36,996.64	$	0.00	$	(3,126.45)
ISHARES MSCI KOREA ETF	464286772	680.00	03/27/12	04/16/13	$	38,386.21	$	41,929.52	$	0.00	$	(3,543.31)
ISHARES MSCI KOREA ETF	464286772	800.00	03/27/12	04/16/13	$	45,160.25	$	49,329.65	$	0.00	$	(4,169.40)
ISHARES MSCI KOREA ETF	464286772	1,100.00	03/27/12	04/16/13	$	62,095.35	$	67,828.27	$	0.00	$	(5,732.92)
ISHARES MSCI KOREA ETF	464286772	4,583.00	03/27/12	04/16/13	$	258,711.79	$	274,808.32	$	0.00	$	(16,096.53)
Security Subtotal					**$**	**1,094,153.19**	**$**	**1,182,407.57**	**$**	**20,323.28**	**$**	**(67,931.10)**
ISHARES RESIDENTIAL RE	464288562	12,190.00	03/27/12	12/09/13	$	567,209.02	$	561,290.86	$	0.00	$	5,918.16
Security Subtotal					**$**	**567,209.02**	**$**	**561,290.86**	**$**	**0.00**	**$**	**5,918.16**
ISHARES TR LATIN AMER L	464287390	100.00	05/17/12	06/12/13	$	3,807 95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked

Description		CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,070.04	$	0.00	$	(262.09)
ISHARES TR LATIN AMER	L	464287390	100.00	05/17/12	06/12/13	$	3,807.95	$	4,071.02	$	0.00	$	(263.07)
ISHARES TR LATIN AMER	L	464287390	100 00	05/17/12	06/12/13	$	3,807.95	$	4,071.03	$	0.00	$	(263.08)
ISHARES TR LATIN AMER	L	464287390	150.00	05/17/12	06/12/13	$	5,711.93	$	6,106.54	$	0.00	$	(394.61)
ISHARES TR LATIN AMER	L	464287390	262.00	05/17/12	06/12/13	$	9,976 83	$	10,663.50	$	0.00	$	(686.67)
ISHARES TR LATIN AMER	L	464287390	262.00	05/17/12	06/12/13	$	9,976.83	$	10,663.50	$	0.00	$	(686.67)
ISHARES TR LATIN AMER	L	464287390	400.00	05/17/12	06/12/13	$	15,231.80	$	16,280.15	$	0.00	$	(1,048.35)
ISHARES TR LATIN AMER	L	464287390	500.00	05/17/12	06/12/13	$	19,039.76	$	20,355.18	$	0.00	$	(1,315 42)
ISHARES TR LATIN AMER	L	464287390	1,200.00	05/17/12	06/12/13	$	45,695.41	$	48,851.24	$	0.00	$	(3,155.83)
ISHARES TR LATIN AMER	L	464287390	2,000.00	05/17/12	06/12/13	$	76,159.02	$	81,418.73	$	0.00	$	(5,259.71)
ISHARES TR LATIN AMER	L	464287390	2,650.00	05/17/12	06/12/13	$	100,910.70	$	107,879.76	$	0.00	$	(6,969.06)
ISHARES TR LATIN AMER	L	464287390	3,126.00	05/17/12	06/12/13	$	119,036.55	$	127,257.47	$	0.00	$	(8,220.92)

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
ISHARES TR LATIN AMER L	464287390	4,840.00	05/17/12	06/12/13	$	184,304.83	$	197,038.16	$	0.00	$	(12,733.33)
Security Subtotal					**$**	**639,354.96**	**$**	**683,496.76**	**$**	**0.00**	**$**	**(44,141.80)**
OPPENHEIMER STEELPATH	858268709	611.39	01/05/12	01/16/13	$	6,211.68	$	6,087.40	$	0.00	$	124.28
Security Subtotal					**$**	**6,211.68**	**$**	**6,087.40**	**$**	**0.00**	**$**	**124.28**
PERKINS MID CAP VALUE T	471023598	23,116.04	04/19/12	06/12/13	$	551,779.95	$	486,250.47	$	0.00	$	65,529.48
PERKINS MID CAP VALUE T	471023598	24,582.10	05/17/12	06/12/13	$	586,774 82	$	517,089.36	$	0.00	$	69,685.46
Security Subtotal					**$**	**1,138,554.77**	**$**	**1,003,339.83**	**$**	**0.00**	**$**	**135,214.94**
PIMCO LOW DURATION FUN	693390304	9,514.74	03/28/12	04/10/13	$	99,980.00	$	99,201.58[1]	$	0.00	$	778.42
Security Subtotal					**$**	**99,980.00**	**$**	**99,201.58**	**$**	**0.00**	**$**	**778.42**
VALE SA ADR FSPONS	91912E105	80.00	06/13/12	12/09/13	$	1,238 37	$	1,496.77	$	0.00	$	(258.40)
VALE SA ADR FSPONS	91912E105	100.00	06/13/12	12/09/13	$	1,547 96	$	1,870.96	$	0.00	$	(323.00)
VALE SA ADR FSPONS	91912E105	100.00	06/13/12	12/09/13	$	1,547.96	$	1,870.97	$	0.00	$	(323.01)
VALE SA ADR FSPONS	91912E105	100.00	06/13/12	12/09/13	$	1,547.96	$	1,870.97	$	0.00	$	(323.01)
VALE SA ADR FSPONS	91912E105	100.00	06/13/12	12/09/13	$	1,547.96	$	1,870.97	$	0.00	$	(323.01)
VALE SA ADR FSPONS	91912E105	100.00	06/13/12	12/09/13	$	1,547.96	$	1,870.97	$	0.00	$	(323.01)
VALE SA ADR FSPONS	91912E105	100 00	06/13/12	12/09/13	$	1,547.96	$	1,870.97	$	0.00	$	(323.01)
VALE SA ADR FSPONS	91912E105	100 00	06/13/12	12/09/13	$	1,547.96	$	1,870.97	$	0.00	$	(323.01)
VALE SA ADR FSPONS	91912E105	100.00	06/13/12	12/09/13	$	1,547 96	$	1,870.97	$	0.00	$	(323.01)
VALE SA ADR FSPONS	91912E105	100.00	06/13/12	12/09/13	$	1,547 96	$	1,870.97	$	0.00	$	(323.01)

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
VALE SA ADR	FSPONS 91912E105	100.00	06/13/12	12/09/13	$ 1,547.96	$ 1,870.97	$ 0.00	$ (323.01)
VALE SA ADR	FSPONS 91912E105	100.00	06/13/12	12/09/13	$ 1,548.06	$ 1,871.07	$ 0.00	$ (323.01)
VALE SA ADR	FSPONS 91912E105	120 00	06/13/12	12/09/13	$ 1,857.67	$ 2,245.16	$ 0.00	$ (387.49)
VALE SA ADR	FSPONS 91912E105	200.00	06/13/12	12/09/13	$ 3,095.93	$ 3,741.93	$ 0.00	$ (646.00)
VALE SA ADR	FSPONS 91912E105	200 00	06/13/12	12/09/13	$ 3,095.93	$ 3,741.93	$ 0.00	$ (646.00)
VALE SA ADR	FSPONS 91912E105	200.00	06/13/12	12/09/13	$ 3,095.93	$ 3,741.93	$ 0.00	$ (646.00)
VALE SA ADR	FSPONS 91912E105	200.00	06/13/12	12/09/13	$ 3,095.93	$ 3,741.94	$ 0.00	$ (646.01)
VALE SA ADR	FSPONS 91912E105	360.00	06/13/12	12/09/13	$ 5,572.66	$ 6,735.47	$ 0 00	$ (1,162.81)
VALE SA ADR	FSPONS 91912E105	400 00	06/13/12	12/09/13	$ 6,191.85	$ 7,483.86	$ 0.00	$ (1,292.01)
VALE SA ADR	FSPONS 91912E105	400 00	06/13/12	12/09/13	$ 6,191.85	$ 7,483.87	$ 0.00	$ (1,292.02)
VALE SA ADR	FSPONS 91912E105	400 00	06/13/12	12/09/13	$ 6,192.25	$ 7,484.27	$ 0 00	$ (1,292.02)
VALE SA ADR	FSPONS 91912E105	400.00	06/13/12	12/09/13	$ 6,192.25	$ 7,484.27	$ 0.00	$ (1,292.02)
VALE SA ADR	FSPONS 91912E105	400.00	06/13/12	12/09/13	$ 6,192.65	$ 7,483.87	$ 0.00	$ (1,291.22)
VALE SA ADR	FSPONS 91912E105	500.00	06/13/12	12/09/13	$ 7,740.31	$ 9,354.84	$ 0.00	$ (1,614.53)
VALE SA ADR	FSPONS 91912E105	520.00	06/13/12	12/09/13	$ 8,049.92	$ 9,729.55	$ 0.00	$ (1,679.63)
VALE SA ADR	FSPONS 91912E105	1,400.00	06/13/12	12/09/13	$ 21,674.26	$ 26,193.54	$ 0.00	$ (4,519.28)
VALE SA ADR	FSPONS 91912E105	1,800.00	06/13/12	12/09/13	$ 27,865.12	$ 33,679.20	$ 0.00	$ (5,814.08)
VALE SA ADR	FSPONS 91912E105	2,000.00	06/13/12	12/09/13	$ 30,961.24	$ 37,421.34	$ 0.00	$ (6,460.10)
VALE SA ADR	FSPONS 91912E105	2,680.00	06/13/12	12/09/13	$ 41,488 07	$ 50,144.59	$ 0.00	$ (8,656.52)
Security Subtotal					**$ 206,819.85**	**$ 249,969.09**	**$ 0.00**	**$ (43,149.24)**

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
VANGUARD DIV APPRCIATIO	921908844	3,015.00	03/27/12	04/10/13	$ 199,941.37	$ 176,982.07	$ 0.00	$ 22,959.30
VANGUARD DIV APPRCIATIO	921908844	4,405.00	03/27/12	07/10/13	$ 299,834.18	$ 258,575.80	$ 0.00	$ 41,258.38
VANGUARD DIV APPRCIATIO	921908844	24,975.00	03/27/12	11/21/13	$ 1,852,676.32	$ 1,466,045.51	$ 0.00	$ 386,630.81
Security Subtotal					**$ 2,352,451.87**	**$ 1,901,603.38**	**$ 0.00**	**$ 450,848.49**
VANGUARD SHORT TERM	922031836	9,250.69	03/28/12	04/10/13	$ 99,980.00	$ 99,608.52	$ 0.00	$ 371.48
Security Subtotal					**$ 99,980.00**	**$ 99,608.52**	**$ 0.00**	**$ 371.48**
WISDOMTREE EMERGING	97717W133	100.00	03/27/12	07/10/13	$ 1,997.96	$ 2,102.79	$ 0.00	$ (104.83)
WISDOMTREE EMERGING	97717W133	900.00	03/27/12	07/10/13	$ 17,981.61	$ 18,926.06	$ 0.00	$ (944.45)
WISDOMTREE EMERGING	97717W133	94,000.00	03/27/12	07/10/13	$ 1,878,078.84	$ 1,975,973.55	$ 0.00	$ (97,894.71)
Security Subtotal					**$ 1,898,058.41**	**$ 1,997,002.40**	**$ 0.00**	**$ (98,943.99)**
WISDOMTREE TRUST BR	97717W240	379.00	03/27/12	07/10/13	$ 6,581.19	$ 7,769.77	$ 0.00	$ (1,188.58)
WISDOMTREE TRUST BR	97717W240	1,000.00	03/27/12	07/10/13	$ 17,364.62	$ 20,505.00	$ 0.00	$ (3,140.38)
WISDOMTREE TRUST BR	97717W240	1,021.00	03/27/12	07/10/13	$ 17,729.27	$ 20,931.23	$ 0.00	$ (3,201.96)
WISDOMTREE TRUST BR	97717W240	56,100.00	03/27/12	07/10/13	$ 974,154.95	$ 1,147,360.54	$ 0.00	$ (173,205.59)
Security Subtotal					**$ 1,015,830.03**	**$ 1,196,566.54**	**$ 0.00**	**$ (180,736.51)**
Total Long-Term *(Cost basis is reported to the IRS)*					**$ 10,566,864.10**	**$ 10,472,149.28**	**$ 20,323.28**	**$ 115,038.10**

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Long-Term Realized Gain or (Loss)

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Description		CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
ABERDEEN ASIA PAC INCM		003009107	500 00	08/18/06	05/16/13	$	3,549.66	$	3,070.20[t]	$	0.00	$	479.46
ABERDEEN ASIA PAC INCM		003009107	6,196.00	08/18/06	05/16/13	$	43,987.36	$	38,107.88[t]	$	0.00	$	5,879.48
ABERDEEN ASIA PAC INCM		003009107	16,450.00	09/14/06	05/16/13	$	116,783.74	$	100,042.00[t]	$	0.00	$	16,741.74
ABERDEEN ASIA PAC INCM		003009107	49,975.00	12/11/07	05/16/13	$	354,788.27	$	300,025.73[t]	$	0.00	$	54,762.54
ABERDEEN ASIA PAC INCM		003009107	71,425.00	12/14/11	05/16/13	$	507,068.60	$	498,248.32	$	0.00	$	8,820.28
Security Subtotal						**$**	**1,026,177.63**	**$**	**939,494.13**	**$**	**0.00**	**$**	**86,683.50**
ACTAVIS INC	XXXMAND	00507K103	1,090.00	10/09/08	08/14/13	$	150,048.74	$	26,709.25[t]	$	0.00	$	123,339.49
ACTAVIS INC	XXXMAND	00507K103	5,227.00	10/09/08	10/01/13	$	752,688 00	$	128,081.89[t]	$	0.00	$	624,606.11
Security Subtotal						**$**	**902,736.74**	**$**	**154,791.14**	**$**	**0.00**	**$**	**747,945.60**
AGRIUM INC	F	008916108	54,200.00	08/14/09	12/09/13	$	4,896,680.73	$	2,593,335.99[t]	$	0.00	$	2,303,344.74
Security Subtotal						**$**	**4,896,680.73**	**$**	**2,593,335.99**	**$**	**0.00**	**$**	**2,303,344.74**
ALLIANZ GI NFJ SMALL CAP		018918698	122,533.92	12/22/08	12/09/13	$	4,727,352.74	$	2,400,720.73[t]	$	0.00	$	2,326,632.01
ALLIANZ GI NFJ SMALL CAP		018918698	39,797.37	01/15/09	12/09/13	$	1,535,380.60	$	779,721.81[t]	$	0.00	$	755,658.79
ALLIANZ GI NFJ SMALL CAP		018918698	159,659.39	01/15/09	12/09/13	$	6,159,651.30	$	3,128,093.76[t]	$	0.00	$	3,031,557.54
ALLIANZ GI NFJ SMALL CAP		018918698	1,048 36	12/17/09	12/09/13	$	40,445.95	$	20,539.91[t]	$	0.00	$	19,906.04
ALLIANZ GI NFJ SMALL CAP		018918698	8,124.40	12/17/09	12/09/13	$	313,438.94	$	159,175 63[t]	$	0.00	$	154,263.31
ALLIANZ GI NFJ SMALL CAP		018918698	707.97	12/16/10	12/09/13	$	27,313 79	$	13,870.93[t]	$	0.00	$	13,442.86
ALLIANZ GI NFJ SMALL CAP		018918698	6,574.61	12/16/10	12/09/13	$	253,648.31	$	128,811.79[t]	$	0 00	$	124,836.52
ALLIANZ GI NFJ SMALL CAP		018918698	1,235.06	12/15/11	12/09/13	$	47,648.55	$	24,197.66[t]	$	0.00	$	23,450.89

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ALLIANZ GI NFJ SMALL CAP	018918698	11,469.32	12/15/11	12/09/13	$ 442,486.06	$ 224,710.43[t]	$ 0.00	$ 217,775.63
ALLIANZ GI NFJ SMALL CAP	018918698	819.89	12/22/11	12/09/13	$ 31,631.43	$ 16,063.58[t]	$ 0.00	$ 15,567.85
ALLIANZ GI NFJ SMALL CAP	018918698	7,613.90	12/22/11	12/09/13	$ 293,743 99	$ 149,173.83[t]	$ 0.00	$ 144,570.16
Security Subtotal					**$ 13,872,741.66**	**$ 7,045,080.06**	**$ 0.00**	**$ 6,827,661.60**
CARNE HEDGED EQUITY FU	34984T576	45,146.72	12/01/09	01/16/13	$ 599,980.00	$ 513,844.95[t]	$ 0.00	$ 86,135.05
CARNE HEDGED EQUITY FU	34984T576	20,833.33	12/01/09	04/10/13	$ 299,980.00	$ 237,118.03[t]	$ 0.00	$ 62,861.97
CARNE HEDGED EQUITY FU	34984T576	45,993.43	12/01/09	07/10/13	$ 700,000.00	$ 523,481.84[t]	$ 0.00	$ 176,518.16
Security Subtotal					**$ 1,599,960.00**	**$ 1,274,444.82**	**$ 0.00**	**$ 325,515.18**
CATERPILLAR INC	149123101	25.00	04/14/11	02/13/13	$ 2,401.64	$ 2,654 11	$ 0.00	$ (252.47)
CATERPILLAR INC	149123101	37.00	04/14/11	02/13/13	$ 3,554.41	$ 3,928.08	$ 0.00	$ (373.67)
CATERPILLAR INC	149123101	100.00	04/14/11	02/13/13	$ 9,606.62	$ 10,616.43	$ 0.00	$ (1,009.81)
CATERPILLAR INC	149123101	100.00	04/14/11	02/13/13	$ 9,606.62	$ 10,616.44	$ 0.00	$ (1,009.82)
CATERPILLAR INC	149123101	200.00	04/14/11	02/13/13	$ 19,213.05	$ 21,233.07	$ 0.00	$ (2,020.02)
CATERPILLAR INC	149123101	200.00	04/14/11	02/13/13	$ 19,213.05	$ 21,233.07	$ 0.00	$ (2,020.02)
CATERPILLAR INC	149123101	300.00	04/14/11	02/13/13	$ 28,819.58	$ 31,849.60	$ 0.00	$ (3,030.02)
CATERPILLAR INC	149123101	450.00	04/14/11	02/13/13	$ 43,229.39	$ 47,773.95	$ 0.00	$ (4,544 56)
CATERPILLAR INC	149123101	600.00	05/13/11	02/13/13	$ 57,639.16	$ 65,294.03	$ 0 00	$ (7,654.87)
CATERPILLAR INC	149123101	1,000 00	05/13/11	02/13/13	$ 96,065.27	$ 108,826.37	$ 0.00	$ (12,761.10)
CATERPILLAR INC	149123101	133.00	06/09/11	02/13/13	$ 12,776 68	$ 13,214.07	$ 0.00	$ (437.39)

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
CATERPILLAR INC	149123101	330.00	06/09/11	02/13/13	$	31,701.54	$	32,787.45	$	0.00	$	(1,085.91)
Security Subtotal					**$**	**333,827.01**	**$**	**370,026.67**	**$**	**0.00**	**$**	**(36,199.66)**
CUMMINS INC	231021106	10.00	01/14/11	02/13/13	$	1,183.65	$	1,121.04	$	0.00	$	62.61
CUMMINS INC	231021106	1,900.00	01/14/11	02/13/13	$	224,893.27	$	212,997.68	$	0 00	$	11,895.59
CUMMINS INC	231021106	9.00	05/13/11	02/13/13	$	1,065.47	$	1,010.32	$	0.00	$	55.15
CUMMINS INC	231021106	10.00	05/13/11	02/13/13	$	1,183.75	$	1,122.58	$	0.00	$	61.17
CUMMINS INC	231021106	10.00	05/13/11	02/13/13	$	1,183 85	$	1,122 58	$	0.00	$	61.27
CUMMINS INC	231021106	40.00	05/13/11	02/13/13	$	4,734.64	$	4,490.30	$	0.00	$	244.34
CUMMINS INC	231021106	50.00	05/13/11	02/13/13	$	5,918.30	$	5,612.87	$	0.00	$	305.43
CUMMINS INC	231021106	66.00	05/13/11	02/13/13	$	7,812.16	$	7,409.00	$	0.00	$	403.16
CUMMINS INC	231021106	75.00	05/13/11	02/13/13	$	8,881.87	$	8,419.32	$	0.00	$	462.55
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,836.49	$	11,225.75	$	0.00	$	610.74
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,836.49	$	11,225.75	$	0.00	$	610.74
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,836.59	$	11,225.75	$	0.00	$	610.84
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,836.59	$	11,225.75	$	0.00	$	610.84
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,836.59	$	11,225.75	$	0.00	$	610.84
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,836.59	$	11,225.75	$	0.00	$	610.84
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,837.49	$	11,225.75	$	0.00	$	611.74
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,839.49	$	11,225.75	$	0.00	$	613.74
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$	11,839.49	$	11,225.75	$	0.00	$	613.74

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$ 11,840.49	$ 11,225.75	$ 0.00	$ 614.74
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$ 11,840.49	$ 11,225.75	$ 0.00	$ 614.74
CUMMINS INC	231021106	100.00	05/13/11	02/13/13	$ 11,840.49	$ 11,225.76	$ 0.00	$ 614.73
CUMMINS INC	231021106	300.00	05/13/11	02/13/13	$ 35,518.77	$ 33,677.26	$ 0.00	$ 1,841.51
Security Subtotal					**$ 434,433.01**	**$ 411,691.96**	**$ 0.00**	**$ 22,741.05**
FREEPORT MCMORAN COPP	35671D857	18.00	01/16/09	12/09/13	$ 619.99	$ 216.36[t]	$ 0.00	$ 403.63
FREEPORT MCMORAN COPP	35671D857	27.00	01/16/09	12/09/13	$ 929.58	$ 324.54[t]	$ 0.00	$ 605.04
FREEPORT MCMORAN COPP	35671D857	75.00	01/16/09	12/09/13	$ 2,582.94	$ 901.51[t]	$ 0.00	$ 1,681.43
FREEPORT MCMORAN COPP	35671D857	75.00	01/16/09	12/09/13	$ 2,582.94	$ 901 51[t]	$ 0.00	$ 1,681.43
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202 01[t]	$ 0 00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442.91	$ 1,202.01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,442 91	$ 1,202 01[t]	$ 0.00	$ 2,240.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.41	$ 1,202.01[t]	$ 0.00	$ 2,241.40
FREEPORT MCMORAN COPP	35671D857	100 00	01/16/09	12/09/13	$ 3,443.41	$ 1,202.01[t]	$ 0.00	$ 2,241.40
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0 00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.01[t]	$ 0.00	$ 2,241.90
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02[t]	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02[t]	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443 91	$ 1,202.02[t]	$ 0 00	$ 2,241.89

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Date Prepared: February 7, 2014

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
FREEPORT MCMORAN COPP	35671D857	100 00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443 91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443 91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,443.91	$ 1,202.02 t	$ 0.00	$ 2,241.89
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,444.41	$ 1,202.01 t	$ 0.00	$ 2,242.40
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,444.41	$ 1,202.01 t	$ 0.00	$ 2,242.40
FREEPORT MCMORAN COPP	35671D857	100.00	01/16/09	12/09/13	$ 3,444.91	$ 1,202.01 t	$ 0.00	$ 2,242.90
FREEPORT MCMORAN COPP	35671D857	200.00	01/16/09	12/09/13	$ 6,885.82	$ 2,404.03 t	$ 0 00	$ 4,481.79
FREEPORT MCMORAN COPP	35671D857	200.00	01/16/09	12/09/13	$ 6,885.82	$ 2,404.03 t	$ 0.00	$ 4,481.79
FREEPORT MCMORAN COPP	35671D857	200.00	01/16/09	12/09/13	$ 6,887.82	$ 2,404.03 t	$ 0 00	$ 4,483.79
FREEPORT MCMORAN COPP	35671D857	200.00	01/16/09	12/09/13	$ 6,887.82	$ 2,404.03 t	$ 0.00	$ 4,483.79
FREEPORT MCMORAN COPP	35671D857	200.00	01/16/09	12/09/13	$ 6,887.82	$ 2,404.03 t	$ 0.00	$ 4,483.79
FREEPORT MCMORAN COPP	35671D857	300.00	01/16/09	12/09/13	$ 10,328.73	$ 3,606 04 t	$ 0.00	$ 6,722.69
FREEPORT MCMORAN COPP	35671D857	300.00	01/16/09	12/09/13	$ 10,328.73	$ 3,606.05 t	$ 0.00	$ 6,722.68
FREEPORT MCMORAN COPP	35671D857	300.00	01/16/09	12/09/13	$ 10,328.73	$ 3,606.05 t	$ 0 00	$ 6,722 68

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
FREEPORT MCMORAN COPP	35671D857	300.00	01/16/09	12/09/13	$	10,331.73	$	3,606.05[t]	$	0.00	$	6,725.68
FREEPORT MCMORAN COPP	35671D857	400.00	01/16/09	12/09/13	$	13,775.64	$	4,808.06[t]	$	0.00	$	8,967.58
FREEPORT MCMORAN COPP	35671D857	400.00	01/16/09	12/09/13	$	13,775.64	$	4,808.06[t]	$	0.00	$	8,967.58
FREEPORT MCMORAN COPP	35671D857	500.00	01/16/09	12/09/13	$	17,222.05	$	6,010.07[t]	$	0.00	$	11,211.98
FREEPORT MCMORAN COPP	35671D857	600.00	01/16/09	12/09/13	$	20,663.46	$	7,212.09[t]	$	0.00	$	13,451.37
FREEPORT MCMORAN COPP	35671D857	1,000.00	01/16/09	12/09/13	$	34,439 09	$	12,020.15[t]	$	0.00	$	22,418.94
FREEPORT MCMORAN COPP	35671D857	1,600.00	01/16/09	12/09/13	$	55,102 55	$	19,232.24[t]	$	0.00	$	35,870.31
FREEPORT MCMORAN COPP	35671D857	17,650.00	01/16/09	12/09/13	$	607,496.92	$	212,155.65[t]	$	0.00	$	395,341.27
Security Subtotal					**$**	**999,906.77**	**$**	**349,125.16**	**$**	**0.00**	**$**	**650,781.61**
ISHARES MSCI BRAZIL ETF B	464286400	4,900.00	03/24/08	01/16/13	$	275,178.69	$	366,182.84[t]	$	0.00	$	(91,004.15)
ISHARES MSCI BRAZIL ETF B	464286400	342.00	03/24/08	09/11/13	$	16,046.41	$	25,558.07[t]	$	0.00	$	(9,511.66)
ISHARES MSCI BRAZIL ETF B	464286400	1,000.00	03/24/08	09/11/13	$	46,919.34	$	74,731.19[t]	$	0.00	$	(27,811.85)
ISHARES MSCI BRAZIL ETF B	464286400	658.00	09/15/11	09/11/13	$	30,872.93	$	39,540.59	$	0.00	$	(8,667.66)
ISHARES MSCI BRAZIL ETF B	464286400	1,000.00	09/15/11	09/11/13	$	46,919.34	$	60,092.09	$	0.00	$	(13,172.75)
ISHARES MSCI BRAZIL ETF B	464286400	1,060.00	09/15/11	09/11/13	$	49,734.50	$	63,697.61	$	0.00	$	(13,963.11)
ISHARES MSCI BRAZIL ETF B	464286400	3,100.00	09/15/11	09/11/13	$	145,446.87	$	186,285.47	$	0.00	$	(40,838.60)
ISHARES MSCI BRAZIL ETF B	464286400	3,500.00	09/15/11	09/11/13	$	164,214.20	$	210,322.31	$	0.00	$	(46,108.11)
Security Subtotal					**$**	**775,332.28**	**$**	**1,026,410.17**	**$**	**0.00**	**$**	**(251,077.89)**

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ISHARES MSCI HK ETF HO	464286871	106,500.00	12/22/08	12/10/13	$ 2,190,402.34	$ 1,137,427.40ᵗ	$ 0.00	$ 1,052,974.94
Security Subtotal					**$ 2,190,402.34**	**$ 1,137,427.40**	**$ 0.00**	**$ 1,052,974.94**
ISHARES MSCI KOREA ETF	464286772	85.00	01/14/11	01/17/13	$ 5,329.34	$ 5,344.58	$ 0.00	$ (15.24)
ISHARES MSCI KOREA ETF	464286772	100.00	01/14/11	01/17/13	$ 6,269.81	$ 6,287.74	$ 0.00	$ (17.93)
ISHARES MSCI KOREA ETF	464286772	1,000.00	01/14/11	01/17/13	$ 62,698.10	$ 62,877.36	$ 0.00	$ (179.26)
ISHARES MSCI KOREA ETF	464286772	3,415.00	01/14/11	01/17/13	$ 214,114.02	$ 214,726.19	$ 0.00	$ (612.17)
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,818.07	$ 0.00	$ 826.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,818.07	$ 0.00	$ 826.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,818 07	$ 0.00	$ 826.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,818.07	$ 0 00	$ 826.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,818.07	$ 0.00	$ 826.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,818.07	$ 0.00	$ 826.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,818.07	$ 0.00	$ 826.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,818.95	$ 0.00	$ 826.08
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,819.06	$ 0.00	$ 825.97
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,819.07	$ 0.00	$ 825.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,819.07	$ 0.00	$ 825.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,820.05	$ 0.00	$ 824.98
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645 03	$ 4,820.07	$ 0.00	$ 824.96
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.03	$ 4,820.07	$ 0.00	$ 824.96

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ISHARES MSCI KOREA ETF	464286772	100.00	07/14/10	04/16/13	$ 5,645.11	$ 4,818.07	$ 0.00	$ 827.04
ISHARES MSCI KOREA ETF	464286772	200.00	07/14/10	04/16/13	$ 11,290.06	$ 9,636.14	$ 0.00	$ 1,653.92
ISHARES MSCI KOREA ETF	464286772	200.00	07/14/10	04/16/13	$ 11,290.06	$ 9,636.14	$ 0.00	$ 1,653.92
ISHARES MSCI KOREA ETF	464286772	200.00	07/14/10	04/16/13	$ 11,290.06	$ 9,636.14	$ 0 00	$ 1,653.92
ISHARES MSCI KOREA ETF	464286772	200 00	07/14/10	04/16/13	$ 11,290.06	$ 9,636.14	$ 0.00	$ 1,653.92
ISHARES MSCI KOREA ETF	464286772	200.00	07/14/10	04/16/13	$ 11,290.06	$ 9,637.92	$ 0.00	$ 1,652.14
ISHARES MSCI KOREA ETF	464286772	200 00	07/14/10	04/16/13	$ 11,290.06	$ 9,638.02	$ 0.00	$ 1,652.04
ISHARES MSCI KOREA ETF	464286772	200.00	07/14/10	04/16/13	$ 11,290.06	$ 9,638.02	$ 0.00	$ 1,652.04
ISHARES MSCI KOREA ETF	464286772	200.00	07/14/10	04/16/13	$ 11,290.06	$ 9,639.94	$ 0.00	$ 1,650.12
ISHARES MSCI KOREA ETF	464286772	200.00	07/14/10	04/16/13	$ 11,290.06	$ 9,640.02	$ 0.00	$ 1,650.04
ISHARES MSCI KOREA ETF	464286772	200.00	07/14/10	04/16/13	$ 11,290 06	$ 9,640.02	$ 0.00	$ 1,650.04
ISHARES MSCI KOREA ETF	464286772	300.00	07/14/10	04/16/13	$ 16,935.09	$ 14,457.04	$ 0 00	$ 2,478.05
ISHARES MSCI KOREA ETF	464286772	300.00	07/14/10	04/16/13	$ 16,935.09	$ 14,457.13	$ 0.00	$ 2,477.96
ISHARES MSCI KOREA ETF	464286772	300.00	07/14/10	04/16/13	$ 16,935.09	$ 14,460.27	$ 0.00	$ 2,474.82
ISHARES MSCI KOREA ETF	464286772	800.00	07/14/10	04/16/13	$ 45,160.25	$ 38,559.78	$ 0.00	$ 6,600.47
ISHARES MSCI KOREA ETF	464286772	2,000.00	07/14/10	04/16/13	$ 112,900.63	$ 96,379.44	$ 0.00	$ 16,521.19
ISHARES MSCI KOREA ETF	464286772	5,230.00	07/14/10	04/16/13	$ 295,235 15	$ 252,089.77	$ 0.00	$ 43,145.38
ISHARES MSCI KOREA ETF	464286772	9,745.00	12/14/11	04/16/13	$ 550,108.32	$ 499,830.00	$ 0.00	$ 50,278.32
Security Subtotal					**$ 1,540,197.02**	**$ 1,388,128.70**	**$ 0.00**	**$ 152,068.32**
ISHARES MSCI SINGAPORE	464286673	192.00	01/14/11	01/16/13	$ 2,620.86	$ 2,668 85	$ 0.00	$ (47.99)

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Long-Term Realized Gain or (Loss) (continued)

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Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
ISHARES MSCI SINGAPORE	464286673	453.00	01/14/11	01/16/13	$	6,183.56	$	6,296.81	$	0.00	$	(113.25)
ISHARES MSCI SINGAPORE	464286673	600.00	01/14/11	01/16/13	$	8,190.15	$	8,340.15	$	0.00	$	(150.00)
ISHARES MSCI SINGAPORE	464286673	700.00	01/14/11	01/16/13	$	9,555.18	$	9,730.17	$	0.00	$	(174.99)
ISHARES MSCI SINGAPORE	464286673	700.00	01/14/11	01/16/13	$	9,555.18	$	9,730.17	$	0.00	$	(174.99)
ISHARES MSCI SINGAPORE	464286673	5,000.00	01/14/11	01/16/13	$	68,246.25	$	69,501.25	$	0.00	$	(1,255.00)
ISHARES MSCI SINGAPORE	464286673	10,181.00	01/14/11	01/16/13	$	138,963.02	$	141,518.45	$	0.00	$	(2,555.43)
ISHARES MSCI SINGAPORE	464286673	65,497.00	01/18/07	02/13/13	$	910,627.50	$	750,024 03[t]	$	0.00	$	160,603.47
ISHARES MSCI SINGAPORE	464286673	28,240.00	06/02/09	02/13/13	$	392,630.53	$	270,000.40[t]	$	0.00	$	122,630.13
ISHARES MSCI SINGAPORE	464286673	100.00	12/14/11	02/13/13	$	1,390.33	$	1,096.52	$	0.00	$	293.81
ISHARES MSCI SINGAPORE	464286673	100.00	12/14/11	02/13/13	$	1,390.33	$	1,096.85	$	0.00	$	293.48
ISHARES MSCI SINGAPORE	464286673	100.00	12/14/11	02/13/13	$	1,390.33	$	1,096 91	$	0 00	$	293.42
ISHARES MSCI SINGAPORE	464286673	100.00	12/14/11	02/13/13	$	1,390.33	$	1,096.91	$	0.00	$	293.42
ISHARES MSCI SINGAPORE	464286673	400.00	12/14/11	02/13/13	$	5,561.34	$	4,387.56	$	0.00	$	1,173.78
ISHARES MSCI SINGAPORE	464286673	735.00	12/14/11	02/13/13	$	10,218.96	$	8,062.36	$	0.00	$	2,156.60
ISHARES MSCI SINGAPORE	464286673	800.00	12/14/11	02/13/13	$	11,122.68	$	8,775.36	$	0.00	$	2,347.32
ISHARES MSCI SINGAPORE	464286673	9,200.00	12/14/11	02/13/13	$	127,910.79	$	100,925.81	$	0.00	$	26,984.98
ISHARES MSCI SINGAPORE	464286673	34,000.00	12/14/11	02/13/13	$	472,713.79	$	372,986.68	$	0.00	$	99,727.11
Security Subtotal					**$**	**2,179,661.11**	**$**	**1,767,335.24**	**$**	**0.00**	**$**	**412,325.87**
ISHARES RESIDENTIAL RE	464288562	42,100.00	07/14/11	12/09/13	$	1,958,941.74	$	1,847,756.90	$	0.00	$	111,184.84
Security Subtotal					**$**	**1,958,941.74**	**$**	**1,847,756.90**	**$**	**0.00**	**$**	**111,184.84**

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Long-Term Realized Gain or (Loss) (continued)

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Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ISHARES TR LATIN AMER L	464287390	48,000.00	10/24/08	06/12/13	$ 1,827,816.52	$ 1,015,206.80[t]	$ 0.00	$ 812,609.72
Security Subtotal					**$ 1,827,816.52**	**$ 1,015,206.80**	**$ 0.00**	**$ 812,609.72**
MYLAN INC	628530107	65.00	10/09/08	08/14/13	$ 2,381.21	$ 521.23[t]	$ 0.00	$ 1,859.98
MYLAN INC	628530107	100.00	10/09/08	08/14/13	$ 3,663.38	$ 801.89[t]	$ 0.00	$ 2,861.49
MYLAN INC	628530107	100.00	10/09/08	08/14/13	$ 3,663.40	$ 801.89[t]	$ 0 00	$ 2,861.51
MYLAN INC	628530107	200.00	10/09/08	08/14/13	$ 7,326 76	$ 1,603.78[t]	$ 0 00	$ 5,722.98
MYLAN INC	628530107	200.00	10/09/08	08/14/13	$ 7,326.76	$ 1,603.78[t]	$ 0.00	$ 5,722.98
MYLAN INC	628530107	700.00	10/09/08	08/14/13	$ 25,645.07	$ 5,613.24[t]	$ 0.00	$ 20,031 83
Security Subtotal					**$ 50,006.58**	**$ 10,945.81**	**$ 0.00**	**$ 39,060.77**
OPPENHEIMER STEELPATH	858268709	98,617.35	12/14/11	01/16/13	$ 1,001,944.72	$ 924,770.52	$ 0.00	$ 77,174.20
Security Subtotal					**$ 1,001,944.72**	**$ 924,770.52**	**$ 0.00**	**$ 77,174.20**
PERKINS MID CAP VALUE T	471023598	17,368.65	01/14/11	06/12/13	$ 414,589.67	$ 400,715.07	$ 0.00	$ 13,874.60
PERKINS MID CAP VALUE T	471023598	41,067.76	05/12/11	06/12/13	$ 980,287.48	$ 947,481.31	$ 0.00	$ 32,806.17
PERKINS MID CAP VALUE T	471023598	15,008.57	06/09/11	06/12/13	$ 358,254.71	$ 346,265.40	$ 0.00	$ 11,989.31
PERKINS MID CAP VALUE T	471023598	27,297.54	11/10/11	06/12/13	$ 651,592.35	$ 629,786 25	$ 0.00	$ 21,806.10
PERKINS MID CAP VALUE T	471023598	1,567.54	12/22/11	06/12/13	$ 37,417 32	$ 36,165.12	$ 0.00	$ 1,252.20
PERKINS MID CAP VALUE T	471023598	7,432.96	12/22/11	06/12/13	$ 177,424.85	$ 171,487.17	$ 0.00	$ 5,937.68
Security Subtotal					**$ 2,619,566.38**	**$ 2,531,900.32**	**$ 0.00**	**$ 87,666.06**

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Long-Term Realized Gain or (Loss) (continued)

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Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
PHILIP MORRIS INTL INC	718172109	91,280.00	06/04/10	12/09/13	$	7,862,421.34	$	4,008,268.93	$	0.00	$	3,854,152.41
Security Subtotal					**$**	**7,862,421.34**	**$**	**4,008,268.93**	**$**	**0.00**	**$**	**3,854,152.41**
PHILLIPS 66	718546104	100.00	06/30/10	12/09/13	$	7,233.49	$	2,282.76	$	0.00	$	4,950.73
PHILLIPS 66	718546104	100.00	06/30/10	12/09/13	$	7,233.50	$	2,282.64	$	0.00	$	4,950.86
PHILLIPS 66	718546104	100.00	06/30/10	12/09/13	$	7,233.50	$	2,283.49	$	0.00	$	4,950.01
PHILLIPS 66	718546104	250.00	06/30/10	12/09/13	$	18,083.73	$	5,708.04	$	0.00	$	12,375.69
PHILLIPS 66	718546104	250 00	06/30/10	12/09/13	$	18,083.74	$	5,708.72	$	0.00	$	12,375.02
PHILLIPS 66	718546104	600 00	06/30/10	12/09/13	$	43,400.97	$	13,692.43	$	0.00	$	29,708.54
PHILLIPS 66	718546104	950 00	06/30/10	12/09/13	$	68,718.20	$	21,693.13	$	0 00	$	47,025.07
PHILLIPS 66	718546104	1,200 00	06/30/10	12/09/13	$	86,801.92	$	27,401.85	$	0.00	$	59,400.07
PHILLIPS 66	718546104	1,283.50	06/30/10	12/09/13	$	92,841.88	$	29,310.98	$	0.00	$	63,530.90
PHILLIPS 66	718546104	1,300.00	06/30/10	12/09/13	$	94,035.41	$	29,672.89	$	0.00	$	64,362.52
PHILLIPS 66	718546104	1,424.50	06/30/10	12/09/13	$	103,041.11	$	32,524.30	$	0.00	$	70,516.81
PHILLIPS 66	718546104	1,800.00	06/30/10	12/09/13	$	130,202 88	$	41,073.42	$	0.00	$	89,129.46
PHILLIPS 66	718546104	1,900.00	06/30/10	12/09/13	$	137,436.37	$	43,362.24	$	0.00	$	94,074.13
PHILLIPS 66	718546104	2,500.00	06/30/10	12/09/13	$	180,837.33	$	57,059.36	$	0.00	$	123,777.97
PHILLIPS 66	718546104	2,550.00	06/30/10	12/09/13	$	184,454 08	$	58,186.88	$	0.00	$	126,267.20
PHILLIPS 66	718546104	2,572.00	06/30/10	12/09/13	$	186,045.32	$	58,735.19	$	0 00	$	127,310.13
PHILLIPS 66	718546104	2,750.00	06/30/10	12/09/13	$	198,921.06	$	62,756.98	$	0.00	$	136,164.08
Security Subtotal					**$**	**1,564,604.49**	**$**	**493,735.30**	**$**	**0.00**	**$**	**1,070,869.19**

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Long-Term Realized Gain or (Loss) (continued)

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Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
POWERSHARES ETF FD TR II	73937B860	30,000.00	01/14/11	02/13/13	$ 997,405.71	$ 927,850.15	$ 0.00	$ 69,555.56
POWERSHARES ETF FD TR II	73937B860	8,110.00	01/14/11	07/10/13	$ 300,061.51	$ 250,828.83	$ 0.00	$ 49,232.68
POWERSHARES ETF FD TR II	73937B860	38.00	01/14/11	08/14/13	$ 1,495.24	$ 1,175.28	$ 0.00	$ 319.96
POWERSHARES ETF FD TR II	73937B860	97.00	01/14/11	08/14/13	$ 3,811.97	$ 3,000.05	$ 0.00	$ 811.92
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,929.86	$ 3,092.83	$ 0.00	$ 837.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,929.86	$ 3,092.83	$ 0.00	$ 837.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,929.86	$ 3,092.83	$ 0.00	$ 837.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,929.86	$ 3,092.83	$ 0.00	$ 837.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,929.86	$ 3,092.84	$ 0.00	$ 837.02
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,929.86	$ 3,092.84	$ 0.00	$ 837.02
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,929.86	$ 3,092.84	$ 0.00	$ 837.02
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,929.86	$ 3,092.84	$ 0.00	$ 837.02
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,931.86	$ 3,092.83	$ 0.00	$ 839.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,934.86	$ 3,092.83	$ 0.00	$ 842.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,934.86	$ 3,092.83	$ 0.00	$ 842.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,935.86	$ 3,092.83	$ 0.00	$ 843.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,935.86	$ 3,092.83	$ 0.00	$ 843.03
POWERSHARES ETF FD TR II	73937B860	100.00	01/14/11	08/14/13	$ 3,935.86	$ 3,092.83	$ 0 00	$ 843.03
POWERSHARES ETF FD TR II	73937B860	400.00	01/14/11	08/14/13	$ 15,735.45	$ 12,371.34	$ 0 00	$ 3,364.11
POWERSHARES ETF FD TR II	73937B860	500.00	01/14/11	08/14/13	$ 19,649.31	$ 15,464 17	$ 0.00	$ 4,185.14
POWERSHARES ETF FD TR II	73937B860	500.00	01/14/11	08/14/13	$ 19,654 31	$ 15,464 17	$ 0.00	$ 4,190.14

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Long-Term Realized Gain or (Loss) (continued)

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Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
POWERSHARES ETF FD TR II	73937B860	500 00	01/14/11	08/14/13	$ 19,669.31	$ 15,464.17	$ 0.00	$ 4,205.14
POWERSHARES ETF FD TR II	73937B860	500 00	01/14/11	08/14/13	$ 19,674.31	$ 15,464.17	$ 0.00	$ 4,210.14
POWERSHARES ETF FD TR II	73937B860	600.00	01/14/11	08/14/13	$ 23,603.17	$ 18,557.00	$ 0.00	$ 5,046.17
POWERSHARES ETF FD TR II	73937B860	1,000.00	01/14/11	08/14/13	$ 39,319.62	$ 30,928.34	$ 0.00	$ 8,391.28
POWERSHARES ETF FD TR II	73937B860	1,000.00	01/14/11	08/14/13	$ 39,348.62	$ 30,928.34	$ 0 00	$ 8,420.28
POWERSHARES ETF FD TR II	73937B860	1,687.00	04/14/11	08/14/13	$ 66,296.76	$ 51,801.63	$ 0.00	$ 14,495.13
POWERSHARES ETF FD TR II	73937B860	4,503.00	04/14/11	08/14/13	$ 176,961.63	$ 138,270 74	$ 0.00	$ 38,690.89
Security Subtotal					**$ 1,797,734.96**	**$ 1,570,868.04**	**$ 0.00**	**$ 226,866.92**
PRIMECAP ODYSSEY GROW	74160Q103	44,077.13	06/16/10	01/16/13	$ 799,980.00	$ 629,472.63	$ 0.00	$ 170,507.37
PRIMECAP ODYSSEY GROW	74160Q103	14,734 77	06/16/10	04/10/13	$ 299,980.00	$ 210,429.67	$ 0 00	$ 89,550.33
PRIMECAP ODYSSEY GROW	74160Q103	24,166.26	06/16/10	06/12/13	$ 499,980.00	$ 345,122.29	$ 0.00	$ 154,857.71
PRIMECAP ODYSSEY GROW	74160Q103	9,238.79	06/16/10	07/10/13	$ 200,000.00	$ 131,940.77	$ 0.00	$ 68,059.23
Security Subtotal					**$ 1,799,940.00**	**$ 1,316,965.36**	**$ 0.00**	**$ 482,974.64**
RYDEX ETF TRUST AUS	23129U101	4,500.00	06/02/09	07/10/13	$ 411,787.84	$ 366,731.00[t]	$ 0.00	$ 45,056.84
RYDEX ETF TRUST AUS	23129U101	2,110.00	01/11/10	07/10/13	$ 193,082.74	$ 196,323.91[t]	$ 0.00	$ (3,241.17)
RYDEX ETF TRUST AUS	23129U101	1,900.00	07/14/11	07/10/13	$ 173,865 97	$ 204,163.95	$ 0.00	$ (30,297.98)
RYDEX ETF TRUST AUS	23129U101	2,015.00	12/14/11	07/10/13	$ 184,389.44	$ 199,816.35	$ 0.00	$ (15,426.91)
RYDEX ETF TRUST AUS	23129U101	8,550.00	03/27/12	07/10/13	$ 782,396.88	$ 898,612.24	$ 0.00	$ (116,215.36)
Security Subtotal					**$ 1,745,522.87**	**$ 1,865,647.45**	**$ 0.00**	**$ (120,124.58)**

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Long-Term Realized Gain or (Loss) (continued)

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Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
RYDEX ETF TRUST	CAN 23129X105	6,281.00	01/11/10	07/10/13	$ 593,958.71	$ 606,297.78[1]	$ 0.00	$ (12,339.07)
RYDEX ETF TRUST	CAN 23129X105	100 00	07/14/11	07/10/13	$ 9,456 44	$ 10,356.31	$ 0.00	$ (899.87)
RYDEX ETF TRUST	CAN 23129X105	100.00	07/14/11	07/10/13	$ 9,456 44	$ 10,356.35	$ 0.00	$ (899.91)
RYDEX ETF TRUST	CAN 23129X105	2,350.00	07/14/11	07/10/13	$ 222,226.23	$ 243,374.25	$ 0.00	$ (21,148.02)
RYDEX ETF TRUST	CAN 23129X105	2,090 00	12/14/11	07/10/13	$ 197,639.50	$ 199,875.65	$ 0.00	$ (2,236.15)
RYDEX ETF TRUST	CAN 23129X105	9,000.00	03/27/12	07/10/13	$ 851,079.19	$ 900,773.95	$ 0.00	$ (49,694.76)
Security Subtotal					**$ 1,883,816.51**	**$ 1,971,034.29**	**$ 0.00**	**$ (87,217.78)**
SPDR GOLD TRUST	SPD 78463V107	15,465.00	11/09/12	11/13/13	$ 1,900,609.48	$ 2,594,400.55	$ 0.00	$ (693,791.07)
Security Subtotal					**$ 1,900,609.48**	**$ 2,594,400.55**	**$ 0.00**	**$ (693,791.07)**
SPDR S&P BANK ETF	78464A797	114,285.00	06/09/11	02/13/13	$ 3,006,522.05	$ 2,677,142.88	$ 0.00	$ 329,379.17
SPDR S&P BANK ETF	78464A797	3,545.00	06/09/11	07/10/13	$ 105,869.97	$ 83,042.14	$ 0.00	$ 22,827.83
Security Subtotal					**$ 3,112,392.02**	**$ 2,760,185.02**	**$ 0.00**	**$ 352,207.00**
STRYKER CORP	863667101	20.00	01/14/11	08/14/13	$ 1,408.26	$ 1,154.01	$ 0 00	$ 254.25
STRYKER CORP	863667101	20 00	01/14/11	08/14/13	$ 1,408.26	$ 1,154 01	$ 0.00	$ 254.25
STRYKER CORP	863667101	80.00	01/14/11	08/14/13	$ 5,633.05	$ 4,616.03	$ 0 00	$ 1,017.02
STRYKER CORP	863667101	100.00	01/14/11	08/14/13	$ 7,041.35	$ 5,770 04	$ 0.00	$ 1,271.31
STRYKER CORP	863667101	100.00	01/14/11	08/14/13	$ 7,042.25	$ 5,770.04	$ 0.00	$ 1,272.21
STRYKER CORP	863667101	100.00	01/14/11	08/14/13	$ 7,043.25	$ 5,770.04	$ 0.00	$ 1,273.21
STRYKER CORP	863667101	100.00	01/14/11	08/14/13	$ 7,043.25	$ 5,770.04	$ 0.00	$ 1,273.21

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Long-Term Realized Gain or (Loss) (continued)

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Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
STRYKER CORP	863667101	100 00	01/14/11	08/14/13	$	7,043.35	$	5,770.04	$	0.00	$	1,273.31
STRYKER CORP	863667101	200 00	01/14/11	08/14/13	$	14,082.49	$	11,540.08	$	0.00	$	2,542.41
STRYKER CORP	863667101	200.00	01/14/11	08/14/13	$	14,082.49	$	11,540.08	$	0.00	$	2,542.41
STRYKER CORP	863667101	400 00	01/14/11	08/14/13	$	28,173.39	$	23,080.15	$	0.00	$	5,093.24
Security Subtotal					**$**	**100,001.39**	**$**	**81,934.56**	**$**	**0.00**	**$**	**18,066.83**
VALE SA ADR FSPONS	91912E105	21,300.00	12/22/08	12/09/13	$	329,502.89	$	249,212.16t	$	0.00	$	80,290.73
VALE SA ADR FSPONS	91912E105	27,200.00	12/22/08	12/09/13	$	420,776.31	$	318,242.76t	$	0.00	$	102,533.55
Security Subtotal					**$**	**750,279.20**	**$**	**567,454.92**	**$**	**0.00**	**$**	**182,824.28**
VANGUARD DIV APPRCIATIO	921908844	89,600.00	09/15/11	11/21/13	$	6,646,638.59	$	4,637,579 51	$	0.00	$	2,009,059.08
VANGUARD DIV APPRCIATIO	921908844	4,700.00	12/14/11	11/21/13	$	348,651.80	$	249,431.84	$	0.00	$	99,219.96
Security Subtotal					**$**	**6,995,290.39**	**$**	**4,887,011.35**	**$**	**0.00**	**$**	**2,108,279.04**
VANGUARD EXPLORER FUN	921926200	2,042.00	12/22/08	12/09/13	$	210,306.00	$	77,543.10t	$	0.00	$	132,762.90
Security Subtotal					**$**	**210,306.00**	**$**	**77,543.10**	**$**	**0.00**	**$**	**132,762.90**
WISDOMTREE TRUST BR	97717W240	14,090 00	10/09/08	07/10/13	$	244,667.44	$	286,453.93t	$	0.00	$	(41,786.49)
WISDOMTREE TRUST BR	97717W240	7,770.00	01/11/10	07/10/13	$	134,923.07	$	207,933.18t	$	0.00	$	(73,010.11)
WISDOMTREE TRUST BR	97717W240	9,680 00	07/14/11	07/10/13	$	168,089.48	$	279,876.14	$	0.00	$	(111,786.66)
WISDOMTREE TRUST BR	97717W240	8,000.00	12/14/11	07/10/13	$	138,916.93	$	199,581.75	$	0.00	$	(60,664 82)
Security Subtotal					**$**	**686,596.92**	**$**	**973,845.00**	**$**	**0.00**	**$**	**(287,248.08)**

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
ZIMMER HOLDINGS INC	98956P102	100.00	01/15/10	08/14/13	$	8,083.14	$	6,255.36[t]	$	0.00	$	1,827.78
ZIMMER HOLDINGS INC	98956P102	100.00	01/15/10	08/14/13	$	8,083.49	$	6,255.36[t]	$	0.00	$	1,828.13
ZIMMER HOLDINGS INC	98956P102	200.00	01/15/10	08/14/13	$	16,166.28	$	12,510.72[t]	$	0.00	$	3,655.56
ZIMMER HOLDINGS INC	98956P102	200.00	01/15/10	08/14/13	$	16,166.48	$	12,510.72[t]	$	0 00	$	3,655.76
ZIMMER HOLDINGS INC	98956P102	200.00	01/15/10	08/14/13	$	16,166.48	$	12,510.72[t]	$	0 00	$	3,655.76
ZIMMER HOLDINGS INC	98956P102	200.00	01/15/10	08/14/13	$	16,166.48	$	12,510.72[t]	$	0.00	$	3,655.76
ZIMMER HOLDINGS INC	98956P102	240.00	01/15/10	08/14/13	$	19,399.75	$	15,012.87[t]	$	0.00	$	4,386.88
Security Subtotal					**$**	**100,232.10**	**$**	**77,566.47**	**$**	**0.00**	**$**	**22,665.63**
Total Long-Term *(Transactions are not reported on Form 1099-B or to the IRS)*					**$**	**68,720,079.91**	**$**	**48,034,332.13**	**$**	**0.00**	**$**	**20,685,747.78**
Total Long-Term					**$**	**79,286,944.01**	**$**	**58,506,481.41**	**$**	**20,323.28**	**$**	**20,800,785.88**

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YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

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Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part I, with Box A checked.)*	$ 12,154,699.97	$ 11,494,106.34	$ 0.00	$ 660,593.63
Total Short-Term Realized Gain or (Loss) *(Transactions are not reported on Form 1099-B or to the IRS. Report on Form 8949, in either Part I or Part II as appropriate, with Box C checked.)*	$ 5,516,488.08	$ 5,988,515.76	$ 0.00	$ (472,027.68)
Total Short-Term Realized Gain or (Loss)	$ 17,671,188.05	$ 17,482,622.10	$ 0.00	$ 188,565.95
Total Long-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS Report on Form 8949, Part II, with Box A checked.)*	$ 10,566,864.10	$ 10,472,149.28	$ 20,323.28	$ 115,038.10
Total Long-Term Realized Gain or (Loss) *(Transactions are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II with Box C checked.)*	$ 68,720,079.91	$ 48,034,332.13	$ 0.00	$ 20,685,747.78
Total Long-Term Realized Gain or (Loss)	$ 79,286,944.01	$ 58,506,481.41	$ 20,323.28	$ 20,800,785.88
TOTAL REALIZED GAIN OR (LOSS)	$ 96,958,132.06	$ 75,989,103.51	$ 20,323.28	$ 20,989,351.83