Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

Name of foundation: THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.

A Employer identification number: 22-3613766

Number and street (or P O box number if mail is not delivered to street address): 355 EAST LINDEN AVENUE — Room/suite:

B Telephone number (see the instructions): (908) 862-4990

City or town, state or province, country, and ZIP or foreign postal code: LINDEN NJ 07036

C If exemption application is pending, check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 9,292,623.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	55,007.			
	2 Ck ► ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	27.	27.		
	4 Dividends and interest from securities	331,376.	305,633.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	195,448.	L-6a Stmt		
	b Gross sales price for all assets on line 6a 2,124,608.				
	7 Capital gain net income (from Part IV, line 2)		195,449.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	581,858.	501,109.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	10,000.	1,500.		8,500.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) L-16a Stmt	4,000.	600.		3,400.
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	18,685.	17,243.		1,442.
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	11,065.	686.		10,379.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	43,750.	20,029.		23,721.
	25 Contributions, gifts, grants paid	460,000.			460,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	503,750.	20,029.		483,721.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	78,108.			
	b **Net investment income** (if negative, enter -0-)		481,080.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	1,123,772.	695,064.	695,092.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule) L-10a. Stmt	411,137.	228,301.	233,951.
	b Investments – corporate stock (attach schedule) L-10b. Stmt	3,633,977.	4,076,685.	5,076,911.
	c Investments – corporate bonds (attach schedule) L-10c. Stmt	2,152,096.	2,220,479.	2,257,825.
	11 Investments – land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) L-13 Stmt	470,904.	493,767.	503,844.
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► CERTIFICATES OF INTEREST)	525,000.	525,000.	525,000.
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	8,316,886.	8,239,296.	9,292,623.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)	0.	0.	
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	8,316,886.	8,239,296.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances (see instructions)	8,316,886.	8,239,296.	
	31 Total liabilities and net assets/fund balances (see instructions)	8,316,886.	8,239,296.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,316,886.
2	Enter amount from Part I, line 27a	2	78,108.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	8,394,994.
5	Decreases not included in line 2 (itemize) ► UNREALIZED LOSS	5	155,698.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	8,239,296.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE WELLS FARGO STATEMENT, ATTACHED	P	Various	Various
b	SEE AMERITRADE STATEMENT, ATTACHED	P	Various	Various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	687,101.		685,110.	1,991.
b	1,437,507.		1,244,049.	193,458.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a	0.	0.	0.	1,991.
b	0.	0.	0.	193,458.
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	195,449.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	447,023.	8,200,284.	0.054513
2011	317,859.	5,899,235.	0.053881
2010	169,675.	3,360,706.	0.050488
2009	153,696.	1,685,008.	0.091214
2008	84,790.	926,902.	0.091477

2	**Total** of line 1, column (d)	2	0.341573
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.068315
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	8,220,667.
5	Multiply line 4 by line 3	5	561,595.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,811.
7	Add lines 5 and 6	7	566,406.
8	Enter qualifying distributions from Part XII, line 4	8	483,721.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _______ (attach copy of letter if necessary — see instrs)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b		1	9,622.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	9,622.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	9,622.
6	Credits/Payments:			
a	2013 estimated tax pmts and 2012 overpayment credited to 2013	6a 8,000.		
b	Exempt foreign organizations — tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	8,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	1,622.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	0.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ► 0.	**Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If 'Yes,' attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If 'Yes,' attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NJ - New Jersey			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► EDMUND FAULKNER Telephone no ► (908) 862-4990

Located at ► 355 E. LINDEN AVE. LINDEN NJ ZIP + 4 ► 07036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)		2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a During the year did the foundation pay or incur any amount to:					
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes	☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			**5b**		
Organizations relying on a current notice regarding disaster assistance check here ► ☐					
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No			
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)					
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			**6b**		X
If 'Yes' to 6b, file Form 8870.					
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No			
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?			**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES KOSLOVSKI 355 EAST LINDEN AVENUE LINDEN NJ 07036	PRESIDENT 5.00	2,000.	0.	0.
EDMUND FAULKNER 355 EAST LINDEN AVENUE LINDEN NJ 07036	VICE PRESIDENT 5.00	2,000.	0.	0.
VERONICA DANIEL 355 EAST LINDEN AVENUE LINDEN NJ 07036	SECRETARY 2.00	2,000.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a Average monthly fair market value of securities	1a	7,908,433.
b Average of monthly cash balances	1b	437,422.
c Fair market value of all other assets (see instructions)	1c	
d **Total** (add lines 1a, b, and c)	1d	8,345,855.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	8,345,855.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	125,188.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,220,667.
6 **Minimum investment return.** Enter 5% of line 5	6	411,033.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	411,033.
2a Tax on investment income for 2013 from Part VI, line 5	2a	9,622.		
b Income tax for 2013. (This does not include the tax from Part VI)	2b			
c Add lines 2a and 2b			2c	9,622.
3 Distributable amount before adjustments Subtract line 2c from line 1			3	401,411.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	401,411.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	401,411.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	483,721.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	483,721.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	483,721.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				401,411.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013:				
a From 2008 — 38,865.				
b From 2009 — 70,227.				
c From 2010 — 4,371.				
d From 2011 — 28,347.				
e From 2012 — 44,988.				
f Total of lines 3a through e	186,798.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► $ 483,721.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				401,411.
e Remaining amount distributed out of corpus	82,310.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	269,108.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	38,865.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	230,243.			
10 Analysis of line 9:				
a Excess from 2009 — 70,227.				
b Excess from 2010 — 4,371.				
c Excess from 2011 — 28,347.				
d Excess from 2012 — 44,988.				
e Excess from 2013 — 82,310.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2013	Prior 3 years (b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

MARION BROZOWSKI (DATE OF DEATH: MAY 5, 2008)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

EDMUND FAULKNER
355 EAST LINDEN AVENUE
LINDEN NJ 07036 (908) 862-4990

b The form in which applications should be submitted and information and materials they should include:

NO PRESCRIBED FORM

c Any submission deadlines:

NO DEADLINE DATE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMANDLA CROSSING - MIPH 100 MITCH SNYDER DRIVE EDISON NJ 08837	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,000.
AMERICA'S GROW A ROW 150 PITTSTOWN ROAD PITTSTOWN NJ 08867	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	7,500.
ASSOCIATED HUMANE SOCIETIES ANIMAL RESCUE 124 EVERGREEN AVENUE NEWARK NJ 07114	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	18,500.
BONNIE BRAE 3415 VALLEY ROAD LIBERTY CORNER NJ 07938	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	20,000.
CAT ADOPTION AND PET INFO CENTER PO BOX 266 MARTINSVILLE NJ 08836	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	12,500.
CENTER FOR HOPE HOSPICE 1900 RARITAN ROAD SCOTCH PLAINS NJ 07076	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	27,000.
JACK'S KIDS 375 UNION AVENUE BRIDGEWATER NJ 08807	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	6,500.
COMMUNITY FOOD BANK OF NJ 33 EVANS TERMINAL ROAD HILLSIDE NJ 07205	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	11,000.
DEBORAH HOSPITAL FOUNDATION 20 PINE MILL ROAD BROWNS MILLS NJ 08015	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	15,000.
See Line 3a statement				336,000.
Total . . . ► 3a				460,000.
b Approved for future payment				
Total . . . ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	27.	
4 Dividends and interest from securities			14	331,376.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	195,448.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				526,851.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 526,851.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/12/14 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
ROBERT R. STANICKI	[signature]	5/12/14	X	P01467546
Firm's name ▶ MACKEVICH BURKE & STANICKI			Firm's EIN ▶ 22-2363115	
Firm's address ▶ 1435 RARITAN ROAD CLARK NJ 07066			Phone no (732) 388-2121	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► **Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Name of the organization	Employer identification number
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.	22-3613766

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. (Complete Parts I and II)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year . . . . ► $ ____________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.

Employer identification number: 22-3613766

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF MARION BROZOWSKI PO BOX 2029 LINDEN NJ 07036	$ 55,007.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2013

Name	Employer Identification Number
THE ROBERT & MARION SCHAMANN BROZOWSKI FOUNDATION, INC.	22-3613766

Asset Information:

Description of Property: PUBLICALLY TRADED SECURITIES
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price: 2,124,608.
Cost or other basis (do not reduce by depreciation): 1,929,160.
Sales Expense:
Valuation Method:
Total Gain (Loss): 195,448.
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Description of Property:
Date Acquired:
How Acquired:
Date Sold:
Name of Buyer:
Sales Price:
Cost or other basis (do not reduce by depreciation):
Sales Expense:
Valuation Method:
Total Gain (Loss):
Accumulation Depreciation:

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
2012 FEDERAL EXCISE TAX	3,000.			3,000.
2013 FEDERAL EXCISE TAX (ESTIMATED)	7,379.			7,379.
MORGAN STANLEY - FOREIGN TAX	121.	121.		
TD AMERITRADE - FOREIGN TAX	460.	460.		
NJ CHARITABLE REGISTRATION TAX	30.	30.		
NJ ANNUAL REPORT	75.	75.		
Total	11,065.	686.		10,379.

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . . [ ] PHYLLIS CORDUAN 355 EAST LINDEN AVENUE LINDEN NJ 07036	ASST. SECRETARY 2.00	2,000.	0.	0.
Person . . [X] Business . [ ] ROBERT R. STANICKI 1435 RARITAN ROAD CLARK NJ 07066	TREASURER 2.00	2,000.	0.	0.
Total		4,000.	0.	0.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
FOOD BANK OF OCEAN & MONMOUTH COUNTIES 3300 ROUTE 66 NEPTUNE NJ 07753	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 20,000.
FRANCISCAN CHARITIES 117 HIGHWAY 35 SOUTH KEYPORT NJ 07735	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 40,000.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox / Amount
a *Paid during the year*				
FRIENDS OF LINDEN ANIMAL SHELTER 1305 WOODLAWN AVENUE LINDEN NJ 07036	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 12,000.
FRIENDS OF CHILD ADVOCACY CENTER 783 SPRINGFIELD AVNUE SUMMIT NJ 07901	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 10,000.
GREENBROOK EDUCATION FOUNDATION 132 JEFFERSON AVENUE GREEN BROOK NJ 08812	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 4,500.
HOUR CHILDREN 36-11A 12TH STREET LONG ISLAND CITY NY 11106	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 30,000.
JERSEY SHORE ANIMAL CENTER 185 BRICK BOULEVARD BRICK NJ 08723	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 15,000.
NOAH'S ARK ANIMAL RESCUE PO BOX 5734 CLARK NJ 07066	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 6,000.
OPERATION SMILE 6435 TIDEWATER DRIVE NORFOLK VA 23509	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 13,000.
PANCREATIC CANCER ACTION NETWORK 1500 ROSECRANS AVENUE MANHATTAN BEACH CA 90266	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 17,500.
ST. HUBERT'S ANIMAL SHELTER 575 WOODLAND AVENUE MADISON NJ 07940	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 6,000.
ST. JOSEPH'S SOCIAL SERVICE CENTER 118 DIVISION STREET ELIZABETH NJ 07201	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 32,500.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST. JUDE PLACE MEMPHIS TN 38105	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 25,000.
SPAY USA FUND SOMERSET 100 COMMONS WAY BRIDGEWATER NJ 08807	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 5,500.
TABBY'S PLACE 1100 US HWY 202 RINGOES NJ 08551	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 6,000.
THE LUSTGARTEN FOUNDATION FOR RESEARCH 1111 STEWART AVENUE BETHPAGE NY 11714	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 16,000.
THE SALVATION ARMY PO BOX 1428 ALEXANDRIA VA 22313	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or [ ] Business [X] 15,000.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
VICTORY JUNCTION 4500 ADAMS WAY RANDLEMAN NC 27317	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business X 10,000.
WOODLANDS WILDLIFE REFUGE 676 COUNTY ROAD 513 PITTSTOWN NJ 08867	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business X 7,500.
WOUNDED WARRIOR PROJECT 370 7th AVE., STE 1802 NEW YORK NY 10001	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business X 25,000.
YOUTH CONSULTATION SERVICE FOUNDATION 284 BROADWAY NEWARK NJ 07104	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business X 3,500.
RISING TIDE 334 MARTIN LUTHER KING DR. JERSEY CITY NJ 07305	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	Person or Business X 16,000.
Total				336,000.

Form 990-PF, Page 1, Part I
Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MACKEVICH, BURKE & STANICKI	LEGAL	4,000.	600.		
Total		4,000.	600.		

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROOSEVELT INVESTMENT GRP	MANAGEMENT FEES	4,182.	3,857.		
ROOSEVELT INVESTMENT GRP	MANAGEMENT FEES	4,715.	4,348.		
ROOSEVELT INVESTMENT GRP	MANAGEMENT FEES	4,713.	4,346.		
ROOSEVELT INVESTMENT GRP	MANAGEMENT FEES	4,925.	4,542.		
MORGAN STANLEY	MANAGEMENT FEES	150.	150.		

Form 990-PF, Page 1, Part I Continued
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total		18,685.	17,243.		

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	End of Year State and Local Obligations FMV	End of Year US Government Obligations Book Value	End of Year US Government Obligations FMV
SEE STATEMENT	228,301.	233,951.		
Total	228,301.	233,951.		

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT	4,076,685.	5,076,911.
Total	4,076,685.	5,076,911.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT	2,220,479.	2,257,825.
Total	2,220,479.	2,257,825.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT	493,767.	503,844.
Total	493,767.	503,844.

Form 990-PF, Page 2, Part II 2013
Line 10a Statement

US AND STATE GOVERNMENT OBLIGATIONS

DESCRIPTION		*ENDING BOOK VALUE*		*ENDING FMV*
NEW JERSEY ST 4.750% DUE 06/15/14		30,000.00		30,113.40
NEW JERSEY ECON DEV 5.000% 03/01/18		42,575.00		42,200.80
CAMDEN CNTY NJ 5.250% DUE 07/15/17		27,051.25		28,584.00
UNIVERSITY TX 5.250% DUE 08/15/17		33,053.40		34,795.20
ALLEGHENY PA 5.000% DUE 12/01/20		36,859.20		37,644.60
KLICKITAT WA P 5.250% DUE 12/01/20		37,558.50		39,061.40
LOUISIANA ST CIT 5.000% D 06/01/21		21,203.40		21,551.40
TOTALS	$	228,300.75	$	233,950.80

Form 990-PF, Page 2, Part II 2013
Line 10b Statement

CORPORATE STOCK

DESCRIPTION	*ENDING BOOK VALUE*	*ENDING FMV*
APPLE INC COM	75,784.99	104,379.72
BERKSHIRE HATHAWAY INC DEL CL B	46,787.47	51,573.60
BIOGEN IDEC INC COM	42,413.03	95,054.48
CABOT OIL & GAS CORP COM	48,899.46	52,713.60
CHICAGO BRIDGE & IRON CO COM	41,425.95	44,895.60
COGNIZANT TECH SOLUTIONS CRP COM	41,134.36	44,431.20
DAVITA HEALTHCARE PARTNERS INC COM	41,417.05	44,992.70
DISCOVER FINL SVCS COM	33,938.96	79,113.30
EOG RESOURCES INC COM	42,830.86	62,100.80
FACEBOOK INC COM	38,836.52	49,730.59
GENERAL ELECTRIC CO COM	67,653.30	80,586.25
GILEAD SCIENCES INC COM	51,622.93	55,574.00
GOOGLE INC CL A	67,773.11	91,898.22
HERTZ GLOBAL HOLDINGS INC COM	33,905.51	61,676.10
HESS CORPORATION COM	39,112.67	45,650.00
HOME DEPOT INC COM	42,112.71	64,225.20
JP MORGAN CHASE & CO COM	51,747.88	53,801.60
KINDER MORGAN MANAGEMENT COM	46,674.20	55,080.48
LYONDELLBASELL INDUSTRIES CL A ORD	52,398.79	74,660.40
MASTERCARD INC COM	51,878.00	83,546.00
MCDONALDS CORP COM	48,924.22	50,940.75
MICROCHIP TECHNOLOGY INC COM	31,341.36	40,722.50
MONDELEZ INTL INC COM	37,595.13	41,830.50
MORGAN STANLEY COM	42,158.46	72,755.20
NATIONAL-OILWELL VARCO INC COM	38,773.50	39,765.00
NEXTERA ENERGY INC COM	52,348.34	59,077.80
NOVO-NORDISK A S ADR	69,531.89	77,599.20
OLD DOMINION FREIGHT LINE COM	41,298.39	44,536.80
PFIZER INC COM	48,376.79	61,351.89
PHILIP MORRIS INTL COM	40,746.66	39,644.15
PRECISION CASTPARTS CORP COM	53,782.43	76,750.50
RAYTHEON CO COM	39,083.70	50,792.00
TYCO INTL LTD COM	33,320.30	45,144.00
WELLS FARGO & CO COM	65,703.05	85,579.00
AT&T INC	2,513.30	3,973.00
ALCATEL-LUCENT	250.92	360.80
CATERPILLAR	13,416.00	14,076.00
CITI	40,000.00	40,560.00
COMCAST CORP	2,535.00	7,795.00
FRONTIER COMMUNICATIONS	0.00	1,004.40
NEW JERSEY RESOURCES CORP	3,150.00	4,670.00
TRANSNET CORP	1.00	1.00
VERIZON COMMUNICATIONS	21,442.47	41,818.00
ALERIAN MLP ETF	13,495.34	13,698.30
ISHARES INTL SELECT DIV	13,246.29	14,417.20
SPDR GOLD TR	10,924.90	9,289.60
WISDOMTREE TRUST	19,019.66	18,115.65

ALTRIA GROUP INC	51,235.46	82,458.99
AT & T INC	156,493.57	194,561.51
BRISTOL MYERS SQUIBB	170,550.78	325,461.95
BUCKEYE PARTNERS L P	59,786.00	67,777.48
CONOCOPHILLIPS	51,664.02	61,897.80
ELI LILLY & CO	146,890.37	203,175.48
INFINEON TECHNOLOGIES	86,854.41	81,085.14
KIMBERLY-CLARK CORP	57,995.05	95,413.86
KINDER MORGAN ENERGY	59,866.58	66,089.41
KRAFT FOODS GROUP	51,676.86	55,440.34
LEGGETT & PLATT INC	41,477.59	59,135.21
LOCKHEED MARTIN CORP	51,828.17	84,115.69
NATURAL RESOURCES PARTNR	60,658.25	41,163.63
NEXTERA ENERGY INC	60,030.87	98,221.55
NUSTAR ENERGY LP	60,259.84	50,719.29
PEPCO HOLDINGS INC	108,397.32	105,961.25
PIEDMONT NATURAL GAS CO	58,988.99	73,853.08
POWERSHARES	88,639.55	82,646.27
REYNOLDS AMERICAN INC	57,956.85	74,398.31
ROYAL DUTCH SHELL PLC	88,826.36	112,007.93
SCANA CORP COM	90,445.38	116,613.35
SPDR BARCLAYS	196,407.38	189,772.84
SUBURBAN PROPANE PARTNERS LP	59,984.53	56,254.81
THE SOUTHERN COMPANY	112,887.57	114,917.04
VANGUARD LONG TERM CORP BOND	195,674.65	192,347.91
VERIZON COMMUNICATIONS	109,881.58	139,469.24
TOTALS	$ 4,076,684.83	$ 5,076,911.44

Form 990-PF, Page 2, Part II 2013
Line 10c Statement

CORPORATE BONDS

DESCRIPTION		*ENDING BOOK VALUE*		*ENDING FMV*
GENERAL ELEC CAP 5.000% DUE 07/15/15		40,000.00		42,466.80
BANK OF AMERICA 4.750% DUE 06/15/16		50,000.00		53,581.00
GENERAL ELEC CAP 5.375% DUE 10/20/16		74,664.50		83,634.00
MERRILL LYNCH & CO 5.700% DUE 05/02/17		101,198.00		110,966.00
US STEEL CORP 7.000% DUE 02/01/18		51,897.50		53,250.00
BOEING CAPITAL CO 4.700% DUE 10/27/19		75,288.50		84,279.75
DOW CHEMICAL CO 2.450% DUE 11/15/19		70,000.00		69,279.70
GOLDMAN SACHS GRP 5.375% DUE 03/15/20		51,094.00		55,630.50
GOLDMAN SACHS GRP 2.000% DUE 05/30/20		100,000.00		95,664.00
L-3 COMMUNICATIONS 4.750% DUE 07/15/20		98,400.00		103,521.00
MORGAN STANLEY 5.500% DUE 07/24/20		154,896.50		168,114.00
ALCOA INC 5.400% DUE 04/15/21		153,512.00		154,297.50
HEWLETT-PACKARD 4.300% DUE 06/01/21		152,556.00		151,707.00
PEPSICO INC 3.000% DUE 08/25/21		236,802.50		224,447.80
PERKINELMER INC 5.000% DUE 11/15/21		77,616.05		75,515.25
SAFEWAY INC 4.750% DUE 12/01/21		98,959.00		100,850.00
JERSEY CENTRAL PWR 6.400% DUE 05/15/36		63,932.05		57,824.25
WESTERN UNION CO 6.200% DUE 11/17/36		163,235.00		141,255.00
AMGEN INC 6.375% DUE 06/01/37		85,597.63		81,167.20
ARCELORMITTAL 5.750% DUE 08/05/20		72,884.00		79,923.75
BARCLAYS BANK PLC 5.140% DUE 10/14/20		147,596.00		156,270.00
ROYAL BK SCOTLAND 6.125% DUE 01/11/21		100,350.00		114,180.00
TOTALS	$	2,220,479.23	$	2,257,824.50

Form 990-PF, Page 2, Part II 2013
Line 2 Statement

CASH AND SAVINGS ACCOUNTS

CASH		*ENDING BOOK VALUE*		*ENDING FMV*
WELLS FARGO BANK, N.A.		250,000.00		250,000.00
WELLS FARGO BANK SOUTH CENTRAL, N.A.		230,905.61		230,905.61
TD INSURED DEPOSIT ACCOUNT		93,645.19		93,645.19
MS ACTIVE ASSETS MONEY TRST		95,513.52		95,513.52
CERTIFICATES OF DEPOSIT				
MORGAN STANLEY CD 3.5% DUE 01/16/14		25,000.00		25,027.75
TOTAL CASH & SAVINGS ACCOUNTS	$	695,064.32	$	695,092.07

Form 990-PF, Page 2, Part II
Line 13 Statement

OTHER INVESTMENTS

MUTUAL FUNDS		*ENDING BOOK VALUE*		*ENDING FMV*
ALLIANCEBERNSTEIN		65,894.67		62,475.50
JANUS FUND CLASS T		37,756.48		78,785.43
AMERICAN HIGH INCOME TRUST CLASS A		75,005.00		71,838.10
LORD ABBETT HIGH YIELD FD		75,005.00		72,673.69
PIMCO HIGH YIELD FUND CL A		75,005.00		73,923.07
PRINCIPAL FDS INC HIGH YIELD FD		75,005.00		69,617.22
NUVEEN DIVIDEND ADVANTG		90,096.27		74,531.24
TOTAL OTHER INVESTMENTS	$	493,767.42	$	503,844.25

WELLS FARGO ADVISORS

2013 ENHANCED SUMMARY

As of Date: 2/07/14

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION
P O BOX 2029
LINDEN NJ 07036

Your Financial Advisor :
MCKNIGHT/BROWNMILLER/SHOLDER
600 PENN STREET
READING, PA 19602
(888) 243-1422

Account Number: 6008-6436

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
AMERICAN EXP BANK FSB CD / 02580VLB9 SALT LK CTY UT ACT/365 FDIC INSURED CPN 3 250% DUE 04/08/13							
04/08/13	90,000 0000	04/01/09	90,000 00	90,000 00	0 00	REDEMPTION	
BURLINGTON TWP NJ SCH / 122043HM7 DIST REF G/O UNLTD B/E OID MBIA-RE FGIC CPN 3 500% DUE 01/15/13							
	2,000.0000	*06/13/07*	*2,000 00*	*2,000.00*	*0.00*	*Original Basis 1957 66*	
	28,000.0000	*06/13/07*	*28,000.00*	*28,000.00*	*0 00*	*Original Basis 27407 24*	
01/15/13	30,000 0000	06/13/07	30,000 00	30,000.00	0.00	REDEMPTION	TOTAL 2 LOTS
DISCOVER BANK CD / 25467JYQ8 GREENWOOD DE ACT/365 FDIC INSURED CPN 5 000% DUE 05/13/13							
05/13/13	84,000 0000	05/03/05	84,000 00	84,000 00	0.00	REDEMPTION	
DOW CHEMICAL CO / 26054LRG0 INTERNOTES MEDIUM TERM NTS CALLABL CPN 2 450% DUE 11/15/19							
12/23/13	50,000 0000	11/26/12	48,850.50	50,000 00	-1,149 50	SALE	

As of Date: 2/07/14

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION
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LINDEN NJ 07036

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600 PENN STREET
READING, PA 19602
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Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) *continued*

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
DOW CHEMICAL COMPANY / 26054LRF2 INTERNOTES MED TM NT CLLB SER NOTZ CPN 1 850% DUE 11/15/17							
12/23/13	120,000.0000	11/26/12	118,056.00	120,000.00	-1,944 00	SALE	
JP MORGAN CHASE & CO / 46625HAT7 GLOBAL NOTES SUBORDINATE CPN 5 750% DUE 01/02/13							
01/02/13	50,000.0000	05/18/09	50,000.00	51,677.50	-1,677.50	REDEMPTION	
MARYLAND ST LOC FACS LN / 574192VL1 SECOND SER G/O UNLTD B/ CPN 5 000% DUE 08/01/16 DTD 08/01/03 FC 02/01/04							
08/01/13	30,000.0000	04/08/05	30,000 00	30,000 00	0 00	REDEMPTION	
MONTCLAIR NJ REF SER A / 612221XK2 G/O UNLTD B/E MBIA CPN 5 000% DUE 01/15/13							
01/15/13	15,000.0000	06/27/07	15,000 00	15,000 00	0 00	REDEMPTION	
NEW JERSEY BLDG AUTH ST / 645771NH5 BLDG REV REF-SER B B/E AMBAC CPN 5 250% DUE 12/15/14							
	2,000.0000	*04/21/05*	*2,000 00*	*2,000 00*	*0 00*	*Original Basis 2203 08*	
	33,000 0000	*04/21/05*	*33,000.00*	*33,000 00*	*0 00*	*Original Basis 36350 82*	
12/23/13	35,000.0000	04/21/05	35,000 00	35,000.00	0 00	REDEMPTION	TOTAL 2 LOTS

As of Date: 2/07/14

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Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
NEW JERSEY ECON DEV AUTH / 645916Y50 REV RFDG SCH FACS CONSTR SER K B/E AMBAC CPN 5.250% DUE 12/15/13							
	2,000.0000	*06/15/06*	*2,000.00*	*2,000 00*	*0 00*	*Original Basis 2150 96*	
	8,000.0000	*06/15/06*	*8,000.00*	*8,000.00*	*0.00*	*Original Basis 8603 84*	
	5,000.0000	*11/08/07*	*5,000.00*	*5,000 00*	*0.00*	*Original Basis 5421 70*	
12/16/13	15,000 0000	Various	15,000.00	15,000 00	0 00	REDEMPTION	TOTAL 3 LOTS
PRUDENTIAL FINANCIAL INC / 74432QBE4 MEDIUM TERM NOTES CPN 5 150% DUE 01/15/13 DTD 01/11/08 FC 03/30/08							
01/15/13	50,000.0000	01/08/09	50,000 00	50,168.00	-168 00	REDEMPTION	
RR DONNELLEY & SONS CO / 257867AX9 SENIOR NOTES CPN 7 250% DUE 05/15/18 DTD 06/01/11 FC 11/15/11							
12/23/13	50,000 0000	11/28/12	56,194.50	49,264.57	6,929.93	SALE	
SUSSEX COUNTY NJ COUNTY / 869265UR7 COLLEGE G/O UNLTD B/Q B/E XLCA CPN 3 750% DUE 09/01/13							
09/03/13	20,000.0000	10/09/07	20,000.00	20,000 00	0 00	REDEMPTION	
TENAFLY NJ GEN IMPT / 880251HG1 G/O UNLTD N/Ç B/Q B/E OY=4.25 MBIA INSD CPN 4 200% DUE 08/15/13							
08/15/13	35,000 0000	08/29/01	35,000 00	35,000 00	0 00	REDEMPTION	

2013 ENHANCED SUMMARY

As of Date: 2/07/14

THE ROBERT AND MARION SCHAMANN
BROZOWSKI FOUNDATION
P O BOX 2029
LINDEN NJ 07036

Your Financial Advisor :
MCKNIGHT/BROWNMILLER/SHOLDER
600 PENN STREET
READING, PA 19602
(888) 243-1422

Account Number: 6008-6436

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) *continued*

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
UNIVERSITY MEDICINE & / 914447CY1 DENTISTRY NJ CTFS PARTN B/E OID MBIA CPN 3 500% DUE 06/15/13							
	2,000.0000	*10/29/07*	*2,000.00*	*2,000 00*	*0 00*	*Original Basis 1999 94*	
	8,000.0000	*10/29/07*	*8,000 00*	*8,000.00*	*0.00*	*Original Basis 7999 76*	
06/17/13	10,000.0000	10/29/07	10,000.00	10,000 00	0 00	REDEMPTION	TOTAL 2 LOTS
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			**687,101.00**	**685,110.07**	**1,990.93**		

*Box 2a Sales price less commissions and option premiums

TD AMERITRADE CLEARING INC

Proceeds Not Reported to the IRS

Account 920039933

2013

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CAPITAL ONE FINL CORP COM / CUSIP· 14040H105 / Symbol· COF							
01/29/13	488.000	27,117.25	VARIOUS	27,064.55	.	52 70	Sale
CHENIERE ENERGY INC COM / CUSIP 16411R208 / Symbol: LNG							
02/13/13	945.000	20,452.72	VARIOUS	14,032.67	0.00	6,420 05	Total of 2 lots
CLEAN HARBORS INC COM / CUSIP. 184496107 / Symbol CLH							
07/15/13	180.000	9,803.92	08/14/12	9,941.05	..	-137.13	Sale
D R HORTON INC COM / CUSIP 23331A109 / Symbol· DHI							
06/21/13	1,762 000	35,385.70	12/17/12	33,714.88	...	1,670.82	Sale
DIAGEO PLC SPONSORED ADR / CUSIP: 25243Q205 / Symbol: DEO							
05/23/13	305.000	37,019.63	01/15/13	35,430 45	.	1,589.18	Sale
EBAY INC COM / CUSIP. 278642103 / Symbol· EBAY							
11/19/13	333 000	17,422.29	01/15/13	17,600.16	...	-177.87	Sale
ELAN CORP PLC $6 25/SH & .07636:1 12/19/13 / CUSIP: 284131208 / Symbol· ELN							
01/07/13	690 000	7,526.70	08/14/12	7,959.94	..	-433.24	Sale
FORD MOTOR COM / CUSIP 345370860 / Symbol· F							
12/18/13	2,275.000	34,677.41	07/15/13	39,228 77	...	-4,551 36	Sale
FREEPORT MCMORAN COPPER & GOLD COM / CUSIP. 35671D857 / Symbol· FCX							
04/18/13	1,043 000	29,550.99	12/12/12	33,338.94	...	-3,787.95	Sale
INGREDION INC COM / CUSIP. 457187102 / Symbol· INGR							
01/29/13	432.000	28,911.24	VARIOUS	24,127.36	...	4,783.88	Sale
INTL BUSINESS MACHINES COM / CUSIP: 459200101 / Symbol IBM							
10/18/13	365 000	63,444.53	VARIOUS	70,843 16	.	-7,398.63	Sale
LENNAR CORP COM / CUSIP· 526057104 / Symbol. LEN							
02/13/13	670 000	27,269.50	VARIOUS	21,438 64	...	5,830.86	Sale

Less commissions.

TD AMERITRADE CLEARING INC

Account 920039933

Proceeds Not Reported to the IRS (continued)

2013

SHORT-TERM TRANSACTIONS ***NOT reported on Form 1099-B***

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ELI LILLY & CO COM / CUSIP. 532457108 / Symbol LLY							
06/04/13	1,046.000	54,983 68	VARIOUS	45,249.34	0.00	9,734.34	Total of 2 lots
LORILLARD INC COM / CUSIP. 544147101 / Symbol: LO							
01/15/13	78.000	9,122.67	04/25/12	9,944 36	..	-821.69	Sale
MCDONALDS CORP COM / CUSIP· 580135101 / Symbol MCD							
11/19/13	145.000	14,233.85	01/15/13	13,264.70	..	969.15	Sale
MEAD JOHNSON NUTRITION COMPANY COMMON / CUSIP. 582839106 / Symbol· MJN							
11/15/13	600.000	50,792.69	VARIOUS	45,298.01	.	5,494 68	Sale
MORGAN STANLEY COM / CUSIP 617446448 / Symbol: MS							
01/15/13	1,334 000	27,153.33	VARIOUS	19,540.43	0.00	7,612.90	Total of 2 lots
OCWEN FINANCIAL CORP COM / CUSIP: 675746309 / Symbol· OCN							
01/15/13	933 000	36,416.51	VARIOUS	33,793 96	0.00	2,622 55	Total of 2 lots
OMNICARE INC COM / CUSIP· 681904108 / Symbol· OCR							
01/15/13	70.000	2,683.74	08/14/12	2,230 89	...	452.85	Sale
PERRIGO CO $.01/SH & 1 1 EXC 12/19/13 / CUSIP· 714290103 / Symbol:							
01/15/13	37.000	3,883.72	05/08/12	3,673.48	...	210.24	Sale
PIMCO 25+ YEAR ZERO CPN US TRES ETF / CUSIP 72201R882 / Symbol ZROZ							
01/15/13	231.000	24,937.18	VARIOUS	26,001.02	...	-1,063.84	Sale
PLUM CREEK TIMBER CO INC COM / CUSIP 729251108 / Symbol: PCL							
11/19/13	381 000	16,615.86	01/15/13	17,880.63	...	-1,264.77	Sale
PROSHARES ULTRASHORT S&P 500 / CUSIP 74347B300 / Symbol· SDS							
01/07/13	262.000	13,544.98	11/15/12	16,026.65	..	-2,481.67	Sale
PROSHARES TRUST ULTRASHORT RUSSELL 2000 / CUSIP. 74348A202 / Symbol TWM							
01/07/13	1,082 000	25,732.55	VARIOUS	33,643.86	...	-7,911.31	Sale

Less commissions.

TD AMERITRADE CLEARING INC

Account 920039933

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS ***NOT reported on Form 1099-B***

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
RALPH LAUREN CORP COM / CUSIP: 751212101 / Symbol: RL							
09/04/13	205.000	34,192.84	02/13/13	36,063 38		-1,870.54	Sale
REGENERON PHARMACEUTICALS INC COM / CUSIP: 75886F107 / Symbol· REGN							
06/04/13	110 000	26,057.15	11/15/12	16,067.20	...	9,989 95	Sale
SPDR GOLD TR GOLD SHS ETF / CUSIP. 78463V107 / Symbol GLD / Note. CL							
04/25/13	291.000	40,966.82	VARIOUS	47,760 17	...	-6,793.35	Sale
SMITHFIELD FOODS INC CSH MGR $34/SH 9/27/13 / CUSIP: 832248108 / Symbol:							
01/07/13	850 000	19,558.73	08/29/12	16,285.10	...	3,273.63	Sale
TW TELECOM INC COM / CUSIP: 87311L104 / Symbol. TWTC							
02/13/13	302 000	8,059.07	05/03/12	7,078 80	...	980.27	Sale
WEYERHAEUSER CO COM / CUSIP: 962166104 / Symbol: WY							
01/29/13	1,246.000	37,732.74	VARIOUS	33,118.03	.	4,614 71	Sale
WHIRLPOOL CORP COM / CUSIP 963320106 / Symbol WHR							
01/15/13	140 000	14,707.76	03/14/12	10,995 97	..	3,711 79	Sale
WISDOMTREE JAPAN HEDGED EQUITY FUND / CUSIP: 97717W851 / Symbol DXJ							
11/19/13	775.000	38,300.36	05/14/13	39,409.00	.	-1,108.64	Sale
WYNDHAM WORLDWIDE CORP COM / CUSIP· 98310W108 / Symbol. WYN							
01/15/13	283.000	15,904 79	01/20/12	11,317.44	..	4,587 35	Sale
ENERGY XXI LIMITED ORD / CUSIP: G10082140 / Symbol: EXXI							
01/29/13	910 000	30,740.39	VARIOUS	33,592.48	...	-2,852.09	Sale
PROTHENA CORP ORD ISIN IE00B91XRN20 / CUSIP G72800108 / Symbol· PRTA							
01/07/13	16.000	94.07	08/14/12	132 42	..	-38 35	Sale
TYCO INTL LTD COM / CUSIP. H89128104 / Symbol TYC							
11/19/13	655.000	24,548.04	VARIOUS	19,773.93	0.00	4,774 11	Total of 4 lots
	Totals:	**909,545.40**		**872,861.82**	**0.00**	**36,683.58**	

Less commissions.

TD AMERITRADE CLEARING INC

Account 920039933

2013

Proceeds Not Reported to the IRS
(continued)

LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ALEXION PHARMACEAUTICALS INC. COM / CUSIP. 015351109 / Symbol. ALXN							
04/25/13	213.000	20,720.54	VARIOUS	14,160 13	.	6,560.41	Sale
BEAM INC COM / CUSIP. 073730103 / Symbol. BEAM							
06/04/13	450 000	29,251 49	VARIOUS	22,174.16	0.00	7,077.33	Total of 2 lots
CAPITAL ONE FINL CORP COM / CUSIP: 14040H105 / Symbol: COF							
01/29/13	252.000	14,003.17	VARIOUS	11,644.28	...	2,358 89	Sale
CHUBB CORP COM / CUSIP 171232101 / Symbol. CB							
02/13/13	434.000	36,264.94	VARIOUS	30,102.91	...	6,162.03	Sale
CLEAN HARBORS INC COM / CUSIP: 184496107 / Symbol: CLH							
04/25/13	388.000	22,328.69	05/03/10	13,358.58	.	8,970 11	Sale
07/15/13	310.000	16,884.53	VARIOUS	9,779 70	..	7,104.83	Sale
Security total:		39,213.22		23,138.28	0.00	16,074.94	
D R HORTON INC COM / CUSIP 23331A109 / Symbol: DHI							
06/21/13	1,138 000	22,854.10	VARIOUS	15,139.90	0.00	7,714.20	Total of 2 lots
EOG RESOURCES INC COM / CUSIP. 26875P101 / Symbol: EOG							
11/19/13	60.000	10,046.03	08/14/12	6,612.54	...	3,433.49	Sale
EBAY INC COM / CUSIP 278642103 / Symbol· EBAY							
11/19/13	512 000	26,779.65	VARIOUS	16,287.67	0.00	10,491.98	Total of 2 lots
HERTZ GLOBAL HOLDINGS INC COM / CUSIP· 42805T105 / Symbol HTZ							
10/22/13	1,465 000	34,161.21	VARIOUS	15,596 31	.	18,564.90	Sale
KINDER MORGAN MANAGEMENT COM / CUSIP 49455U100 / Symbol. KMR							
02/15/13	0.000	45 40	06/08/11	31 51	.	13 89	Cash in lieu
05/16/13	0.000	19.19	06/08/11	12.44	...	6.75	Cash in lieu
08/15/13	0 000	5.49	06/08/11	3 90	..	1 59	Cash in lieu
11/15/13	0.000	45.66	06/08/11	32.92	..	12 74	Cash in lieu
Security total:		115.74		80 77	0.00	34.97	

Less commissions.

TD AMERITRADE CLEARING INC

Account 920039933

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS ***NOT reported on Form 1099-B***

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
LENNAR CORP COM / CUSIP 526057104 / Symbol. LEN							
02/13/13	279 000	11,355.51	VARIOUS	5,658 83	0.00	5,696 68	Total of 2 lots
LORILLARD INC COM / CUSIP: 544147101 / Symbol. LO							
01/15/13	36 000	4,210.47	VARIOUS	3,991.59	...	218.88	Sale
OMNICARE INC COM / CUSIP: 681904108 / Symbol OCR							
01/15/13	811.000	31,087.30	VARIOUS	23,374.97	0.00	7,712.33	Total of 3 lots
PVH CORP COM / CUSIP 693656100 / Symbol PVH							
02/13/13	234.000	28,321.76	VARIOUS	15,540 75	...	12,781.01	Sale
PERRIGO CO $.01/SH & 1 1 EXC 12/19/13 / CUSIP. 714290103 / Symbol							
01/15/13	210.000	22,042 74	VARIOUS	7,364.33	...	14,678.41	Sale
PHILIP MORRIS INTL COM / CUSIP: 718172109 / Symbol: PM							
09/03/13	285 000	23,742.51	VARIOUS	25,843 07	.	-2,100.56	Sale
PLUM CREEK TIMBER CO INC COM / CUSIP: 729251108 / Symbol. PCL							
11/19/13	599 000	26,123.09	09/24/12	26,871 50	..	-748.41	Sale
PROSHARES TRUST ULTRASHORT RUSSELL 2000 / CUSIP. 74348A202 / Symbol TWM							
01/07/13	34 000	808.60	06/01/12	2,205.13	.	-1,396.53	Sale
QUESTAR CORP COM / CUSIP 748356102 / Symbol: STR							
07/11/13	1,479.000	35,217.61	VARIOUS	27,798 85	0.00	7,418 76	Total of 2 lots
ROBERT HALF INTL INC COM / CUSIP. 770323103 / Symbol. RHI							
04/25/13	1,295 000	42,376.79	VARIOUS	33,703.47	...	8,673.32	Sale
ROPER INDS INC COM / CUSIP 776696106 / Symbol: ROP							
01/15/13	154.000	17,549.16	VARIOUS	9,098.38	..	8,450.78	Sale
TW TELECOM INC COM / CUSIP 87311L104 / Symbol TWTC							
02/13/13	1,046 000	27,913.21	VARIOUS	21,183 12	...	6,730 09	Sale

Less commissions.

TD AMERITRADE CLEARING INC

Account 920039933

Proceeds Not Reported to the IRS
(continued)

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LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*
Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
TRANSDIGM GROUP INC COM / CUSIP. 893641100 / Symbol: TDG							
01/15/13	174.000	23,740.75	VARIOUS	13,616 70	..	10,124 05	Sale
	Totals:	**527,899.59**		**371,187.64**	**0.00**	**156,711.95**	

UNDETERMINED-TERM TRANSACTIONS *NOT reported on Form 1099-B*
Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term
Report on Form 8949 Use either Part I with Box C checked or Part II with Box F checked.

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol GLD / Note· CL							
01/07/13	0.000	15.28	N/A	...	...	...	Principal payment Note. 16 Cost Basis Factor· 0.000329643
02/21/13	0.000	15.79	N/A	...	..	...	Principal payment Note· 16 Cost Basis Factor: 0.000355489
03/12/13	0.000	15.34	N/A	..	...	...	Principal payment Note· 16 Cost Basis Factor. 0 000341809
04/09/13	0.000	15.71	N/A	...	...	...	Principal payment Note. 16 Cost Basis Factor: 0.000353866
	Security total:	62.12		0.00	0.00	0 00	
	Totals:	**62.12**		**0.00**	**0.00**	**0.00**	

Less commissions.