Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation GEORGE H AND ESTELLE M SANDS FDN
C/O JEFFREY H. SANDS, TRUSTEE

A Employer identification number 22-3483828

Number and street (or P O box number if mail is not delivered to street address) 902 CARNEGIE CTR STE 400

Room/suite

B Telephone number (see instructions) (609) 921-6060

City or town, state or province, country, and ZIP or foreign postal code
PRINCETON, NJ 08540

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 49,428,956.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,075,000.			
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	76,934.	34,823.		ATCH 1
	4 Dividends and interest from securities	1,030,038.	916,216.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,005,846.			
	b Gross sales price for all assets on line 6a 21,918,290.				
	7 Capital gain net income (from Part IV, line 2)		2,005,846.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 3	25,649.	25,649.		
	12 **Total.** Add lines 1 through 11	5,213,467.	2,982,534.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 4	16,750.	16,750.		
	c Other professional fees (attach schedule) *	224,798.	224,798.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) ATCH 6	43,882.	12,954.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 7	6,337.	6,336.		1.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	291,767.	260,838.		1.
	25 Contributions, gifts, grants paid	1,670,950.			1,670,950.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,962,717.	260,838.	0	1,670,951.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	3,250,750.			
	b **Net investment income** (if negative, enter -0-)		2,721,696.		
	c **Adjusted net income** (if negative, enter -0-)				

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JSA **For Paperwork Reduction Act Notice, see instructions.** *ATCH 5 Form **990-PF** (2013)

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	52,742.	16,133.	16,133.
	2	Savings and temporary cash investments	6,215,674.	5,198,051.	5,198,051.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) **	999,972.	333,544.	355,994.
	b	Investments - corporate stock (attach schedule) ATCH 9	30,313,788.	35,913,069.	42,560,247.
	c	Investments - corporate bonds (attach schedule) ATCH 10	445,152.	374,599.	412,563.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 11	1,437,126.	879,808.	885,968.
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	39,464,454.	42,715,204.	49,428,956.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	39,464,454.	42,715,204.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	39,464,454.	42,715,204.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	39,464,454.	42,715,204.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	39,464,454.
2	Enter amount from Part I, line 27a	2	3,250,750.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	42,715,204.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	42,715,204.

**ATCH 8

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,005,846.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,211,456.	38,976,474.	0.031082
2011	1,273,450.	30,905,546.	0.041205
2010	1,257,075.	26,693,533.	0.047093
2009	1,271,450.	24,873,310.	0.051117
2008	588,615.	14,093,445.	0.041765

2 **Total** of line 1, column (d)	2	0.212262
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.042452
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	43,431,030.
5 Multiply line 4 by line 3	5	1,843,734.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	27,217.
7 Add lines 5 and 6	7	1,870,951.
8 Enter qualifying distributions from Part XII, line 4	8	1,670,951.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	54,434.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	54,434.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	54,434.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	28,400.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	60,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	88,400.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	13.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	33,953.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 33,953. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 *The books are in care of* ▶ JEFFREY H. SANDS, TRUSTEE *Telephone no* ▶ 609-921-6060

Located at ▶ 902 CARNEGIE CTR STE 400 PRINCETON, NJ ZIP+4 ▶ 08540

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax *because it maintained expenditure responsibility for the grant?* . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums *on a personal benefit contract?* . . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . **6b** | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 . . . . ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
ATCH 13		81,820.
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of *organizations and other beneficiaries served, conferences convened, research papers produced, etc*	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	37,127,614.
b	Average of monthly cash balances	1b	5,888,656.
c	Fair market value of all other assets (see instructions)	1c	1,076,146.
d	**Total** (add lines 1a, b, and c)	1d	44,092,416.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	44,092,416.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	661,386.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	43,431,030.
6	**Minimum investment return.** Enter 5% of line 5	6	2,171,552.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	2,171,552.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	54,434.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	54,434.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	2,117,118.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	2,117,118.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	2,117,118.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,670,951.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,670,951.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,670,951.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,117,118.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,668,374.	
b Total for prior years 20 11 ,20 10 ,20 09				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 1,670,951.				
a Applied to 2012, but not more than line 2a			1,668,374.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				2,577.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				2,114,541.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 14				
Total . . . . ▶ **3a**				1,670,950.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	76,934.	
4 Dividends and interest from securities			14	1,030,038.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	25,649.	
8 Gain or (loss) from sales of assets other than inventory			18	2,005,846.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				3,138,467.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 3,138,467.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date: 7/28/14

Title: Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name HAL R TERR	Preparer's signature	Date 7/21/2014	Check ☐ if self-employed	PTIN P00360976
Firm's name ▶ WITHUM SMITH + BROWN PC			Firm's EIN ▶ 22-2027092	
Firm's address ▶ 5 VAUGHN DR PRINCETON, NJ 08540-6313			Phone no 609-520-1188	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
GEORGE H AND ESTELLE M SANDS FDN C/O JEFFREY H. SANDS, TRUSTEE	22-3483828

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. *Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions*

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ______________

Caution. *An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it* **must** *answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)*

Name of organization	Employer identification number
GEORGE H AND ESTELLE M SANDS FDN C/O JEFFREY H. SANDS, TRUSTEE	22-3483828

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF ESTELLE M SANDS 902 CARNEGIE CTR, SUITE 400 PRINCETON, NJ 08540	$ 750,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	ESTATE OF ESTELLE M SANDS 902 CARNEGIE CTR, SUITE 400 PRINCETON, NJ 08540	$ 1,325,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization GEORGE H AND ESTELLE M SANDS FDN
C/O JEFFREY H. SANDS, TRUSTEE

Employer identification number 22-3483828

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	

Name of organization GEORGE H AND ESTELLE M SANDS FDN
C/O JEFFREY H. SANDS, TRUSTEE

Employer identification number 22-3483828

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST		34,749.	34,749.
TAX EXEMPT INTEREST		42,111.	
INTEREST - WELLS FARGO		74.	74.
	TOTAL	76,934.	34,823.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS - SECURITIES	913,378.	913,378.
DIVIDENDS - PARTNERSHIPS	1,842.	1,842.
INTEREST - PARTNERSHIPS	996.	996.
NON TAXABLE DIVIDENDS	69,034.	
NON DIVIDENDS	44,788.	
TOTAL	1,030,038.	916,216.

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ORDINARY BUS INC - PSHIPS		25,649.	25,649.
	TOTALS	25,649.	25,649.

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	16,750.	16,750.		
TOTALS	16,750.	16,750.		

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGEMENT FEES	224,798.	224,798.
TOTALS	224,798.	224,798.

ATTACHMENT 6

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
EXCISE TAX		30,928.	
FOREIGN TAXES		12,954.	12,954.
	TOTALS	43,882.	12,954.

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT EXPENSES	1,275.	1,275.	
INVESTMENT EXPENSES - PSHIP	4,991.	4,991.	
CONTRIBUTION THRU PSHIP	1.		1.
BANK CHARGES	70.	70.	
TOTALS	6,337.	6,336.	1.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 8

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
UBS	333,544.	355,994.
NJ HLTH CARE FAC 4.5%		
MIAMI DADE CTY.FL WTR& SWR 5%		
JERSEY CITY NJ FSA 4%		
PORT OF NY & NJ 4.75%		
LANGHORNE MANOR BORO PA 5.1%		
LEE CNTY FL SCH BRD 4.25%		
MARION CO IND CON & REC 5%		
NEW HAMPSHIRE BK SER 4.25%		
MANCHESTER TWP NJ BRD 4.5%		
NJ ST TPK AUTH 6%		
MERCER CO NJ 4.35%		
NJ ECONO DEV 4.375%		
NJ ECONO DEV 4.375%		
NJ ECONO DEV 4.375%		
NJ ECONO DEV 4.375%		
POLK CNTY FL FUEL TX 4%		
STATE OBLIGATIONS TOTAL	333,544.	355,994.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
STIFEL - SEE ATTACHED	1,556,879.	1,292,008.
AGNICO EAGLE MINES		
ALLEGHENY TECH		
BRISTOL MYERS SQUIBB		
CORNING INC		
ENTERGY CORP		
GENERAL ELECTRIC		
GLAXOSMITHKLINE		
IVA WORLDWIDE CL C		
MAINSTAY HIGH YIELD		
INTEL CORP		
KINROSS GOLD CORP		
MERCK & CO		
PEPSICO INC		
MARKET VECTORS ETF		
WACHOVIA CAP TR III		
STIFEL FINANCIAL CORP		
STIFEL FINANCIAL CORP		
LPL FINANCIAL - SEE ATTACHED	372,356.	445,177.
AT&T INC		
HENDERSON GLOBAL INVESTORS INT		
ZIMMER HOLDINGS INC		
COSTCO WHOLESALE CORP		
ISHRS DJ US UTILS		
NORFOLK STHN CORP		
WILMINGTON - SEE ATTACHED	7,935,178.	10,581,015.
ISHS MSCI EMERG MKTS CALL		
ISHS MSCI EMERG MKTS PUT		
ISHS MSCI EAFE INDEX CALL		

ATTACHMENT 9 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ACE LTD		
AIR PRODUCTS & CHEMICALS		
APPLE INC		
APPLIED MATERIALS		
BB&T		
CARDINAL HEALTH INC		
CHEVRON CORP		
CONOCOPHILLIPS		
COSTCO WHOLESALE CORP		
CVS/CAREMARK		
DANAHER CORP		
DOMINION RESOURCES		
EMERSON ELECTRIC CO		
EXXON MOBIL CORP		
FASTENAL CO		
GENERAL ELECTRIC CO		
INTEL CORP		
JOHNSON & JOHNSON		
JP MORGAN CHASE		
MAXIM INTEGRATED PRODS		
MERCK & CO		
METLIFE INC		
MONDELEZ INT'L		
NAT'L OILWELL VARCO		
NORDSTROM INC		
OMNICOM GROUP INC		
PEPSICO INC		
PFIZER INC		
PHILIP MORRIS INT'L		

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PHILLIPS 66		
PNC FINANCIAL		
PPG INDUSTRIES		
PPL CORP		
QUALCOMM INC		
SCHLUMBERGER LTD		
SIMON PROPERTY GROUP		
SOUTHERN CO		
TEVA PHARMACEUTICAL INDS		
UNITED PARCEL SERVICE INC		
US BANCORP		
VODAFONE GROUP		
WELLS FARGO & CO		
T ROWE PRICE GRP INC		
TARGET CORP		
TRAVELERS COS INC		
UNION PACIFIC CORP		
ISHS MSCI EMERG MKT CALL		
ISHS MSCI EAFE INDEX FD CALL		
ISHS MSCI EAFE INDEX FD PUT		
ISHS RUSSELL 2000 PUT		
SPDR S&P 500 ETF TR		
SPDR S&P 500 ETF TR CALL		
SPDR S&P 500 ETF TR PUT		
SPDR S&P GLOBAL NATURAL RES		
FIDELITY FOCUSED HIGH INCOME		
ISHS BARCLAY TIPS BD		
ISHS TR MSCI EMERGING MKTS		
ISHS TR MSCI EAFE INDEX FD		

ATTACHMENT 9 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ISHS TR COHEN & STEERS REALTY		
ISHS TR RUSSELL 2000 INDEX FD		
SPDR DOW JONES INTL RE		
VANGUARD MSCI EAFE		
VANGUARD DIVIDEND APPR INDEX		
VANGUARD EMERGING MKTS		
VANGUARD HIGH YIELD CORP		
WILMINGTON SMALL-CAP STRATEGY		
WILMINGTON LARGE-CAP STRATEGY		
RBC - SEE ATTACHED	1,710,395.	1,890,026.
ISHS TR MSCI EAFE GWTH		
ISHS TR RUSSELL 1000 GWTH		
ISHS TR RUSSELL MIDCAP GWTH		
SPDR DJ INTERNAT'L REAL EST		
SPDR DB INTL GOVT		
ISHS JPMORGAN EMERGING MKTS		
ISHS S&P US PFD STK INDEX FD		
SPDR GOLD TR GOLD SHARES		
ISHS TR RUSSELL 1000 VALUE		
MKT VECTORS ETF		
UBS - SEE ATTACHED	4,245,966.	4,243,665.
UBS - SEE ATTACHED	8,209,573.	9,419,001.
ABBOTT LABS		
AIR PROD & CHEMICAL INC		
APPLE INC		
BCE INC		
BANK OF AMERICA CORP		
BROADCOM CORP		
CATERPILLAR INC		

ATTACHMENT 9 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CENTURYLINK INC		
CLOROX CO		
COCA COLA CO		
COLGATE PALMOLIVE CO		
CONAGRA FOOD		
COVIDIEN LTD		
CSX CORP		
CVS CAREMARK CORP		
DEERE & CO		
DIAGEO PLC		
DOW CHEMICAL		
EMC CORP MASS		
ENTERGY CORP		
FEDEX CORP		
FORD MOTOR CO		
FRONTIER COMMUNICATIONS CORP		
F5 NETWORKS INC		
GENL MILLS INC		
GENL ELECTRIC CO		
HEINZ HJ CO		
INTL BUS MACHINES		
INTEL CORP		
JOHNSON & JOHNSON		
KELLOGG CO		
KIMBERLY CLARK CORP		
KINROSS GOLD CORP		
KRAFT FOODS INC		
MCDONALDS CORP		
MONDELEZ INTL INC		

ATTACHMENT 9 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
NIKE INC		
PEPSICO INC		
POTASH CORP (CANADA)		
PROCTER & GAMBLE CO		
PUBLIC SERVICE ENTERPRISE GRP		
QUALCOMM INC		
SCHLUMBERGER LTD		
SOUTHERN CO		
THERMO FISHER SCIENTIFIC INC		
UNITED PARCEL SERVICE		
UNITEDHEALTH GROUP		
WASTE MGMT INC		
3M CO		
AGIC CONV & INCOME FD		
CALAMOS CONV OPPORT & INCOME		
RBS US LARGE CAP TREND		
ISHS IBOXX $ INVT GRA		
ISHS BARCLAYS 1-3 YR TREAS		
ISHS BARCLAYS 20+ YR TREAS		
PIMCO 0-5 HIGH YIELD CORP BD		
WISDOMTREE TR EM LCL DEBT FD		
VAN ECK GLB HARD ASSETS		
BLACKROCK LTD DURATION INC TR		
CALAMOS STRATEGIC TOTAL RETURN		
EATON VANCE LTD DURATION INC		
AMERICAN CENTURY EQUITY INC FD		
COHEN & STEERS REALTY SHS		
EATON VANCE GLOBAL DIV INC		
EATON VANCE GLOBEL MACRO		

ATTACHMENT 9 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
EATON VANCE NATL MUNI INC		
FIRST EAGLE OVERSEAS FD		
FT FRANKLIN INC		
FRANKLIN RISING DIV		
GOLDMAN SACHS STR INC FD		
LOOMIS SAYLES LTD TERM GOVT		
LOOMIS SAYLES STRAT INC FD		
LOOMIS SAYLES GLB EQ & INC		
LORD ABBETT ST DUR INC FD		
MAINSTAY HIGH YIELD OPPORT		
NATIXIS DIVER INC FD		
NEUBERGER BERMAN EQ INC FD		
OPPENHEIMER INTL BD FD		
PIMCO INVEST GR CORP BD FD		
PIMCO ALL ASSETS		
LOW DURATION BD FD		
SUNAMERICA STRATEGIC BD FD		
VIRTUS MULTI SEC ST BD FD		
VIRTUS PREMIUM ALPHA SEC		
AMER FDS CAP INC BUILDER FD		
FIRST EAGLE GLOBAL FD		
NATIXIS ASG GLOBAL ALTERNATIVE		
CLAMR/GUGGNHM LT NAT'L MUNI TR		
FT INT RATE HEDGE PORT 43		
FT INT RATE HEDGE PORT 52		
FT INT RATE HEDGE PORT 56		
AAM INSURED TE MUNI PORT		
AAM INSURED TE MUNI LT		
AAM INSURED TX EX MUNI PFD LT		

ATTACHMENT 9 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
AAM TX MUNI PFD		
AAM TEST TX EX SEC TR NAT'L		
AAM TEST TX EX SEC TR SR 461		
AAM TE MUNI PORT INVTMNT		
AAM ADVISORS CORP TR		
AAM BLD AMER BD PORTFOL SR 14		
AAM TACTICAL INC 2011-1		
AAM CONVERTIBLE & INC SR 2011		
AAM TACTICAL INCOME 2011-2		
AAM NAV DIAL HI INC OPP SR 32		
AAM CORP TR NAV DIAL HI INC 35		
AAM ADV CORP DIAL HI OPP 36		
AAM NAV DIAL HI INC OPP 42		
AAM NAV DIAL HI INC OPP 43		
AAM NAV DIAL HI INC OPP 53		
AAM NAV DIAL HI INC OPP 58		
GUGG INC & TREAS DUR 13		
GUGG INC & TREAS DUR 15		
VK INSRD MUNI INC TR SR 596		
VK INSRD MUNI INC TR SR 608		
INV INFLATION HEDGE		
AAM INFLATION INC STRGY 2 SR		
AAM INFLATION INC STRGY 2 SR		
AAM INFLATION INC STRGY		
AAM DIVIDEND RULER		
AAM CONV & INC C/E PORT		
AAM DIV RULER PORT		
AAM TACTICAL INC		
AAM DIV RULER PORT		

ATTACHMENT 9 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
AAM TACT INC C/E		
AAM CONV & INC C/E PORT		
AAM PRFD INC OPPOR PORT 2YR		
AAM DIVIDEND RULER PORT		
GUGG INC TRSY LTD DUR PORT		
GUGG INC TRSY LTD DUR PORT		
INVS ULITITY INC SER 37		
GNMA 6.5% 1/20/39		
GEN ELEC CAP CORP 6.1%		
NAVITAS SOLUTIONS	500,000.	500,000.
BANK OF PRINCETON	730,000.	730,000.
FIDELITY - SEE ATTACHED	387,424.	402,254.
ASTRAZENCA		
BRISTOL MYERS SQUIBB		
CONOCOPHILLIPS		
EI DUPONT DE NEMOURS		
EXELON CORP		
INTEL CORP		
JOHNSON & JOHNSON		
LORILLARD INC		
MCDONALDS CORP		
PEPSICO INC		
US TRUST - SEE ATTACHED	5,373,376.	6,425,935.
US TRUST - PTP ADJUSTMENTS		
RAY JAMES 4717 - SEE ATTACHED	3,532,041.	4,610,344.
RAY JAMES 5830 - SEE ATTACHED	671,213.	1,036,995.
RAY JAMES 5854 - SEE ATTACHED	688,668.	983,827.
TOTALS	35,913,069.	42,560,247.

GEORGE H AND ESTELLE M SANDS FDN 22-3483828

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					-1,390.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					7,877.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					227,023.	
		SEE ATTACHED - FIDELITY ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
481,742.		432,387.					49,355.	
		FIDELITY WASH SALES DISALLOWED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,809.							3,809.	
		SEE ATTACHED - FIDELITY LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
63,026.		49,420.					13,606.	
		SEE ATTACHED - RBC ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
83,286.		74,701.					8,585.	
		SEE ATTACHED - RBC ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,022,942.		3,055,266.					-32,324.	
		RBC WASH SALES DISALLOWED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
763.							763.	
		SEE ATTACHED - RBC LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,025,637.		724,093.					301,544.	
		SEE ATTACHED - RBC LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
551,974.		534,210.					17,764.	
		SEE ATTACHED - RJ 4717 ST					VARIOUS	VARIOUS
124,500.		126,975.					-2,475.	
		SEE ATTACHED - RJ 4717 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,488.		1,470.					18.	
		SEE ATTACHED - RJ 4717 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
629,856.		568,913.					60,943.	
		SEE ATTACHED - RJ 5830 ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
83,458.		71,204.					12,254.	
		SEE ATTACHED - RJ 5830 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
20,766.		15,079.					5,687.	
		SEE ATTACHED - RJ 5830 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
75,308.		55,982.					19,326.	
		SEE ATTACHED - RJ 5854 ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
69,322.		62,720.					6,602.	
		SEE ATTACHED - RJ 5854 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
151,653.		106,119.					45,534.	
		SEE ATTACHED - STIFEL ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,532,684.		1,434,196.					98,488.	
		SEE ATTACHED - STIFEL ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
55,071.		53,119.					1,952.	
		SEE ATTACHED - STIFEL LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
256,627.		311,247.					-54,620.	
		SEE ATTACHED - STIFEL LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
43,030.		104,453.					-61,423.	
		SEE ATTACHED - STIFEL LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
437,661.		417,099.					20,562.	

GEORGE H AND ESTELLE M SANDS FDN 22-3483828

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		ENERGY TRANSFER PSHIP ADJ TO BASIS PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
17,164.							17,164.	
		SEE ATTACHED - UBS 6802 ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
39,656.		37,919.					1,737.	
		SEE ATTACHED - UBS 6802 ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
9,732.		10,304.					-572.	
		SEE ATTACHED - UBS 6802 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
924,905.		841,116.					83,789.	
		SEE ATTACHED - UBS 6802 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,014,959.		932,785.					82,174.	
		SEE ATTACHED - UBS 6802 ROP PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
134.							134.	
		SEE ATTACHED - UBS 9184 ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
2,269,379.		2,092,371.					177,008.	
		UBS 9184 WASH SALES DISALLOWED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
11,131.							11,131.	
		SEE ATTACHED - UBS 9184 ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
38,616.		45,905.					-7,289.	
		SEE ATTACHED - UBS 9184 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,048,090.		1,030,574.					17,516.	
		UBS 9184 WASH SALES DISALLOWED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
345.							345.	
		SEE ATTACHED - UBS 9184 LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,397,007.		1,287,278.					109,729.	

GEORGE H AND ESTELLE M SANDS FDN 22-3483828

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHED - UBS 9184 ROP PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
6,896.							6,896.	
		LINN ENERGY PSHIP ADJ TO BASIS PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
16,720.							16,720.	
		ENERGY TRANSFER PSHIP ADJ TO BASIS PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
7,050.							7,050.	
		SEE ATTACHED - US TRUST ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,097,776.		2,897,877.					199,899.	
		SEE ATTACHED - US TRUST ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
32,587.		45,255.					-12,668.	
		US TRUST WASH SALES DISALLOWED					VARIOUS	VARIOUS
12,668.							12,668.	
		SEE ATTACHED - US TRUST LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
577,591.		467,242.					110,349.	
		SEE ATTACHED - US TRUST PTP PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
40,571.		41,658.					-1,087.	
		SEE ATTACHED - US TRUST PTP PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
5,515.		3,134.					2,381.	
		SEE ATTACHED - WILMINGTON ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
441,558.		429,467.					12,091.	
		SEE ATTACHED - WILMINGTON ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
39,511.		36,207.					3,304.	
		SEE ATTACHED - WILMINGTON ST PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
		70,789.					-70,789.	

GEORGE H AND ESTELLE M SANDS FDN 22-3483828

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHED - WILMINGTON LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,021,614.		906,306.					115,308.	
		SEE ATTACHED - WILMINGTON LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
273,044.		178,053.					94,991.	
		SEE ATTACHED - WILMINGTON LT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
120,113.							120,113.	
		CUPP PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
505,845.		359,551.					146,294.	
TOTAL GAIN(LOSS)							2,005,846.	

GEORGE H. AND ESTELLE M. SANDS FOUNDATION
Gain (Loss) on Sale of Securities **A/C #6090**
12/31/13

			Sales Price	Cost	Gain (Loss)	
Fidelity	See Schedule Attached	**A/C #5793**	481,742 37	432,387.41	**49,354.96**	**ST**
	See Schedule Attached - wash sales		3,808 58		**3,808.58**	**ST**
	See Schedule Attached		63,026.34	49,420.15	**13,606.19**	**LT**
LPL	See Schedule Attached	**A/C #1439**	-	-	**-**	**ST**
	See Schedule Attached		-	-	**-**	**LT**
RBC	See Schedule Attached	**A/C #5560**	83,286.25	74,700.57	**8,585.68**	**ST**
	See Schedule Attached		3,022,942.46	3,055,266.11	**(32,323.65)**	**ST**
	See Schedule Attached - wash sales		763.02	-	**763.02**	**ST**
	See Schedule Attached		1,025,636.72	724,093.49	**301,543.23**	**LT**
	See Schedule Attached		551,973.56	534,210.11	**17,763.45**	**LT**
Raymond James	See Schedule Attached	**A/C #4717**	124,500.49	126,975.43	**(2,474.94)**	**ST**
	See Schedule Attached		1,488 35	1,470.25	**18.10**	**LT**
	See Schedule Attached		629,856.35	568,912.54	**60,943.81**	**LT**
Raymond James	See Schedule Attached	**A/C #5830**	83,457.73	71,204.13	**12,253.60**	**ST**
	See Schedule Attached		20,766.38	15,079.22	**5,687.16**	**LT**
	See Schedule Attached		75,308 35	55,982.19	**19,326.16**	**LT**
Raymond James	See Schedule Attached	**A/C #5854**	69,322.22	62,720.25	**6,601.97**	**ST**
	See Schedule Attached		151,653.27	106,118.89	**45,534.38**	**LT**
Stifel Nicolaus	See Schedule Attached	**A/C #4835**	1,532,683.84	1,434,196.12	**98,487.72**	**ST**
	See Schedule Attached		55,070.75	53,119.00	**1,951.75**	**ST**
	See Schedule Attached		256,626.78	311,247.33	**(54,620.55)**	**LT**
	See Schedule Attached		43,030.07	104,452 50	**(61,422.43)**	**LT**
	See Schedule Attached		437,661.16	417,099.00	**20,562.16**	**LT**

	Energy Transfer Partners - adjustment to basis		17,164.00		**17,164.00**	LT
UBS	See Schedule Attached	**A/C #6802 07**	39,655.88	37,918 94	**1,736.94**	ST
	See Schedule Attached		9,732.45	10,304.39	**(571.94)**	ST
	See Schedule Attached		924,905.15	841,115.73	**83,789.42**	LT
	See Schedule Attached		1,014,958 62	932,784.81	**82,173.81**	LT
	See Schedule Attached - ROP		134.44		**134.44**	LT
UBS	See Schedule Attached	**A/C #9184 07**	2,269,379.15	2,092,371.43	**177,007.72**	ST
	See Schedule Attached - wash sale		11,131.49		**11,131.49**	ST
	See Schedule Attached		38,616.05	45,904.88	**(7,288.83)**	ST
	See Schedule Attached		1,048,090.18	1,030,573.70	**17,516.48**	LT
	See Schedule Attached - wash sale		344.54		**344.54**	LT
	See Schedule Attached		1,397,007.36	1,287,277.82	**109,729.54**	LT
	See Schedule Attached - ROP		6,895.88		**6,895.88**	LT
	Linn Energy - adjustment to basis		16,720 00		**16,720.00**	LT
	Energy Transfer Partners - adjustment to basis		7,050.00		**7,050.00**	LT
US Trust	See Schedule Attached	**A/C #6732**	3,097,776.07	2,897,877.05	**199,899.02**	ST
	See Schedule Attached - wash sales		32,587.82	45,255.30	**(12,667.48)**	ST
	See Schedule Attached - wash sales		12,667.48		**12,667.48**	ST
	See Schedule Attached		577,591 20	467,241.82	**110,349.38**	LT
	See Schedule Attached - PTP		40,570.74	41,657.73	**(1,086.99)**	ST
	See Schedule Attached - PTP		5,515.39	3,133.80	**2,381.59**	LT
Wilmington Trust	See Schedule Attached		441,558.12	429,467.13	**12,090.99**	ST
	See Schedule Attached		39,511.17	36,207.11	**3,304.06**	ST
	See Schedule Attached				**(70,789.07)**	ST
	See Schedule Attached		1,021,614.08	906,306 42	**115,307.66**	LT
	See Schedule Attached		273,044.04	178,052.56	**94,991.48**	LT
	See Schedule Attached				**120,113.33**	LT

Proceeds From Broker Transactions

We have adjusted tax costs for amortization of premium paid for the acquisition of municipal bonds through date of sale and for non-taxable dividends. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. However, we have not made adjustments to the cost basis of all partnerships.

If applicable, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss. However, we have not made adjustments to the cost basis of all partnerships.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
GRIFOLS SA		B8DPQM1#2	18	11/30/12	01/02/13	$20 46	$0 00	$20.46
GRIFOLS SA		B8DPQM1#2	422	06/20/12	01/02/13	$479 75	$0 00	$479 75
GRIFOLS SA		B8DPQM1#2	102	12/07/12	01/02/13	$115 96	$0 00	$115 96
DOLLAR TREE INC	DLTR	256746108	42	12/07/12	01/04/13	$1,652 92	$1,706 85	$-53 93
DOLLAR TREE INC	DLTR	256746108	31	05/22/12	01/04/13	$1,220 01	$1,506 83	$-286 82
FAMILY DOLLAR STORES INC	FDO	307000109	76	06/07/12	01/04/13	$4,311 89	$5,125 39	$-813 50
FAMILY DOLLAR STORES INC	FDO	307000109	34	05/22/12	01/04/13	$1,929 00	$2,222 41	$-293.41
DOLLAR TREE INC	DLTR	256746108	86	03/15/12	01/04/13	$3,384 56	$4,049.53	$-664.97
DOLLAR TREE INC	DLTR	256746108	5	05/29/12	01/04/13	$196.78	$237 27	$-40 49
DOLLAR TREE INC	DLTR	256746108	88	04/17/12	01/04/13	$3,463 27	$4,268 22	$-804 95
FAMILY DOLLAR STORES INC	FDO	307000109	25	12/07/12	01/04/13	$1,418.39	$1,752 38	$-333.99
CELGENE CORP COM	CELG	151020104	47	04/17/12	01/16/13	$4,635 16	$3,681 75	$953 41
CELGENE CORP COM	CELG	151020104	43	03/21/12	01/16/13	$4,240.68	$3,245 86	$994 82
CELGENE CORP COM	CELG	151020104	29	05/03/12	01/16/13	$2,860 00	$2,087 50	$772.50
CANADIAN IMPERIAL BK COMM	CM CN	2170525#2	14	12/07/12	01/16/13	$1,165 92	$1,140 65	$25 27
CANADIAN IMPERIAL BK COMM	CM CN	2170525#2	23	03/15/12	01/16/13	$1,915 44	$1,771.98	$143.46
CANADIAN IMPERIAL BK COMM	CM CN	2170525#2	26	04/17/12	01/16/13	$2,165 27	$1,988 87	$176 40
CANADIAN IMPERIAL BK COMM	CM CN	2170525#2	11	05/22/12	01/16/13	$916 08	$766 41	$149 67

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
TORONTO DOMINION BK ONTARIO	TD CN	2897222#6	2	11/30/12	01/16/13	$165.81	$166.00	$-0.19
TORONTO DOMINION BK ONTARIO	TD CN	2897222#6	39	12/07/12	01/16/13	$3,233.38	$3,201.13	$32.25
TORONTO DOMINION BK ONTARIO	TD CN	2897222#6	166	07/11/12	01/16/13	$13,762.59	$12,964.55	$798.04
HOLOGIC INC	HOLX	436440101	34	12/07/12	01/16/13	$766.63	$661.47	$105.16
HOLOGIC INC	HOLX	436440101	146	06/19/12	01/16/13	$3,291.99	$2,629.79	$662.20
JOHNSON AND JOHNSON	JNJ	478160104	5	08/08/12	01/16/13	$362.87	$350.31	$12 56
JOHNSON AND JOHNSON	JNJ	478160104	57	12/07/12	01/16/13	$4,136.67	$3,992 56	$144.11
JOHNSON AND JOHNSON	JNJ	478160104	268	08/01/12	01/16/13	$19,449.61	$18,642.08	$807.53
ZHONGPIN INC	HOGS	98952K107	324	12/07/12	01/16/13	$4,177.66	$4,007.59	$170.07
AETNA U S HEALTHCARE INC	AET	00817Y108	55	12/07/12	01/16/13	$2,512 17	$2,427.98	$84.19
AETNA U S HEALTHCARE INC	AET	00817Y108	237	11/30/12	01/16/13	$10,825.16	$10,169.55	$655.61
APPLE COMPUTER INC	AAPL	037833100	19	03/15/12	01/16/13	$9,564.15	$11,400.47	$-1,836.32
APPLE COMPUTER INC	AAPL	037833100	9	11/30/12	01/16/13	$4,530.38	$5,274.08	$-743.70
INVESCO LTD SHS	IVZ	G491BT108	252	03/15/12	01/16/13	$6,873.42	$6,573.60	$299.82
INVESCO LTD SHS	IVZ	G491BT108	17	03/22/12	01/16/13	$463.68	$442.56	$21.12
INVESCO LTD SHS	IVZ	G491BT108	276	04/17/12	01/16/13	$7,528.04	$6,898.37	$629.67
INVESCO LTD SHS	IVZ	G491BT108	131	12/07/12	01/16/13	$3,573.09	$3,255.54	$317.55
INVESCO LTD SHS	IVZ	G491BT108	114	05/22/12	01/16/13	$3,109.41	$2,468.89	$640.52
AERCAP HOLDINGS N V SHS	AER	N00985106	143	12/07/12	01/16/13	$2,079.04	$1,923.86	$155.18
AERCAP HOLDINGS N V SHS	AER	N00985106	241	03/15/12	01/16/13	$3,503.85	$2,862.05	$641 80
AERCAP HOLDINGS N V SHS	AER	N00985106	111	05/22/12	01/16/13	$1,613.80	$1,255.67	$358.13
AERCAP HOLDINGS N V SHS	AER	N00985106	122	04/17/12	01/16/13	$1,773.73	$1,329.65	$444.08
BIOGEN IDEC INC	BIIB	09062X103	22	12/07/12	01/16/13	$3,140.34	$3,332.67	$-192.33
BIOGEN IDEC INC	BIIB	09062X103	21	08/28/12	01/16/13	$2,997.60	$3,091.41	$-93.81
BIOGEN IDEC INC	BIIB	09062X103	77	08/08/12	01/16/13	$10,991.20	$11,213.72	$-222.52
CELGENE CORP COM	CELG	151020104	65	12/07/12	01/16/13	$6,410.33	$5,138.58	$1,271.75
CELGENE CORP COM	CELG	151020104	2	11/30/12	01/16/13	$197.24	$157.99	$39.25
CAPCOM CO LTD	9697 JP	6173694#7	444	12/03/12	01/17/13	$7,062.04	$8,421.57	$-1,359.53

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CAPCOM CO LTD	9697 JP	6173694#7	91	12/10/12	01/17/13	$1,447.40	$1,699.93	$-252.53
AERCAP HOLDINGS N V SHS	AER	N00985106	48	04/17/12	01/17/13	$701.92	$523.14	$178.78
ZOOMLION HEAVY INDUSTRY SCIENC	1157 HK	B544N70#2	12605	10/12/12	01/17/13	$17,393.98	$15,917.58	$1,476 40
ZOOMLION HEAVY INDUSTRY SCIENC	1157 HK	B544N70#2	2937	12/10/12	01/17/13	$4,052.84	$3,997.27	$55.57
ZHONGPIN INC	HOGS	98952K107	23	10/11/12	01/17/13	$296.04	$265.16	$30.88
ZHONGPIN INC	HOGS	98952K107	100	10/12/12	01/17/13	$1,287.12	$1,155.27	$131.85
ZHONGPIN INC	HOGS	98952K107	115	12/07/12	01/17/13	$1,480.19	$1,422.45	$57.74
ZHONGPIN INC	HOGS	98952K107	236	05/22/12	01/18/13	$3,023.70	$2,311.01	$712.69
ZHONGPIN INC	HOGS	98952K107	25	10/11/12	01/18/13	$320.31	$288.22	$32.09
ZHONGPIN INC	HOGS	98952K107	224	04/27/12	01/18/13	$2,869.96	$2,180.06	$689.90
AERCAP HOLDINGS N V SHS	AER	N00985106	94	04/17/12	01/18/13	$1,365.06	$1,024.49	$340.57
CAPCOM CO LTD	9697 JP	6173694#7	1	12/10/12	01/18/13	$15.31	$18 68	$-3.37
ZHONGPIN INC	HOGS	98952K107	39	05/30/12	01/22/13	$498.22	$376.76	$121.46
ZHONGPIN INC	HOGS	98952K107	266	05/30/12	01/22/13	$3,396.11	$2,569.69	$826.42
ZHONGPIN INC	HOGS	98952K107	41	04/27/12	01/22/13	$523 46	$399.03	$124.43
ZHONGPIN INC	HOGS	98952K107	67	05/30/12	01/23/13	$852 57	$647.25	$205.32
ZHONGPIN INC	HOGS	98952K107	144	05/30/12	01/23/13	$1,836.29	$1,391.12	$445.17
ZHONGPIN INC	HOGS	98952K107	22	04/30/12	01/23/13	$279.95	$209.17	$70 78
ZHONGPIN INC	HOGS	98952K107	18	05/01/12	01/23/13	$229 05	$173.42	$55.63
ZHONGPIN INC	HOGS	98952K107	1	04/30/12	01/24/13	$12.72	$9.51	$3.21
ZHONGPIN INC	HOGS	98952K107	159	04/30/12	01/24/13	$2,022.80	$1,511.71	$511.09
ZHONGPIN INC	HOGS	98952K107	213	04/30/12	01/25/13	$2,684.53	$2,025.12	$659.41
ZHONGPIN INC	HOGS	98952K107	287	04/30/12	01/28/13	$3,630.78	$2,728.67	$902.11
ZHONGPIN INC	HOGS	98952K107	17	04/30/12	01/28/13	$215.86	$161.63	$54.23
ZHONGPIN INC	HOGS	98952K107	13	04/30/12	01/29/13	$164.50	$123.60	$40.90
MEXICHEM SAB DE CV	MEXCHEM* MN	2434760#6	916	12/07/12	02/07/13	$4,713.28	$4,919.31	$-206.03
MEXICHEM SAB DE CV	MEXCHEM* MN	2434760#6	3950	10/11/12	02/07/13	$20,324.72	$19,608.43	$716.29
HAWAIIAN HLDGS INC	HA	419879101	600	10/12/12	02/07/13	$3,401.92	$3,192.00	$209.92

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
HAWAIIAN HLDGS INC	HA	419879101	396	10/11/12	02/07/13	$2,245.27	$2,112.74	$132.53
HAWAIIAN HLDGS INC	HA	419879101	231	12/07/12	02/07/13	$1,309.74	$1,446.87	$-137.13
AZIMUT HOLDING SPA	AZM IM	B019M65#3	273	12/07/12	02/08/13	$4,513.58	$3,719.08	$794.50
FONCIERE DES REGIONS	FDR FP	7745638#0	172	07/11/12	02/08/13	$14,241.02	$12,125.09	$2,115.93
AZIMUT HOLDING SPA	AZM IM	B019M65#3	221	07/11/12	02/08/13	$3,653.85	$2,079.21	$1,574.64
TRYG A/S	TRYG DC	B0LL2W7@9	37	12/07/12	02/08/13	$2,973.30	$2,692.20	$281.10
TRYG A/S	TRYG DC	B0LL2W7@9	5	11/30/12	02/08/13	$401.80	$355.70	$46.10
TRYG A/S	TRYG DC	B0LL2W7@9	157	07/11/12	02/08/13	$12,616.45	$8,654.28	$3,962.17
BANCA GENERALI S P A	BGN IM	B1HKSV6#7	25	11/30/12	02/08/13	$462.88	$403 97	$58.91
BANCA GENERALI S P A	BGN IM	B1HKSV6#7	291	12/07/12	02/08/13	$5,387.95	$4,575.94	$812.01
BANCA GENERALI S P A	BGN IM	B1HKSV6#7	168	07/16/12	02/08/13	$3,110.57	$1,855.44	$1,255.13
BANCA GENERALI S P A	BGN IM	B1HKSV6#7	212	07/11/12	02/08/13	$3,925.25	$2,308.16	$1,617.09
SCOR SE	SCR FP	B1LB9P6#6	12	03/22/12	02/08/13	$349.34	$330.57	$18.77
SCOR SE	SCR FP	B1LB9P6#6	173	03/15/12	02/08/13	$5,036.34	$4,720.60	$315.74
SCOR SE	SCR FP	B1LB9P6#6	90	12/07/12	02/08/13	$2,620.06	$2,401.38	$218.68
SCOR SE	SCR FP	B1LB9P6#6	188	04/17/12	02/08/13	$5,473.02	$4,937.73	$535.29
SCOR SE	SCR FP	B1LB9P6#6	79	05/22/12	02/08/13	$2,299.83	$1,840.59	$459.24
HSBC HLDGS	HSBA LN	0540528#5	361	12/07/12	02/08/13	$4,026.50	$3,737.61	$288 89
HSBC HLDGS	HSBA LN	0540528#5	1555	07/11/12	02/08/13	$17,344.04	$13,846.82	$3,497.22
MEDIOLANUM SPA	MED IM	5535198#9	208	12/07/12	02/08/13	$1,176.03	$990.36	$185.67
MEDIOLANUM SPA	MED IM	5535198#9	900	07/11/12	02/08/13	$5,088.57	$2,938.67	$2,149.90
BAYERISCHE MOTORENWERKE AG A(	BMW GR	5756029#6	50	03/21/12	02/08/13	$4,810.66	$4,553 26	$257 40
BAYERISCHE MOTORENWERKE AG A(	BMW GR	5756029#6	55	04/17/12	02/08/13	$5,291.72	$4,990.95	$300.77
BAYERISCHE MOTORENWERKE AG A(	BMW GR	5756029#6	60	12/07/12	02/08/13	$5,772.79	$5,360.71	$412.08
BAYERISCHE MOTORENWERKE AG A(	BMW GR	5756029#6	9	11/30/12	02/08/13	$865.92	$801.87	$64.05
BAYERISCHE MOTORENWERKE AG A(	BMW GR	5756029#6	22	05/22/12	02/08/13	$2,116.69	$1,778.16	$338.53
BAYERISCHE MOTORENWERKE AG A(	BMW GR	5756029#6	124	07/11/12	02/08/13	$11,930.43	$8,580.47	$3,349.96
BNP PARIBAS SA	BNP FP	7309681#1	19	12/07/12	02/08/13	$1,109.45	$1,091.55	$17.90

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BNP PARIBAS SA	BNP FP	7309681#1	80	07/11/12	02/08/13	$4,671.39	$2,932.59	$1,738.80
FONCIERE DES REGIONS	FDR FP	7745638#0	5	11/30/12	02/08/13	$413.98	$420.72	$-6.74
FONCIERE DES REGIONS	FDR FP	7745638#0	61	12/07/12	02/08/13	$5,050.60	$5,021.29	$29 31
AZIMUT HOLDING SPA	AZM IM	B019M65#3	25	11/30/12	02/08/13	$413.33	$328.42	$84.91
CAMECO CORP	CCJ	13321L108	5	08/08/12	02/11/13	$104 67	$107.86	$-3.19
FONCIERE DES REGIONS	FDR FP	7745638#0	86	07/11/12	02/11/13	$7,077 46	$6,062.55	$1,014.91
CAMECO CORP	CCJ	13321L108	50	12/07/12	02/11/13	$1,046 71	$951.25	$95.46
CAMECO CORP	CCJ	13321L108	238	08/01/12	02/11/13	$4,982.35	$4,969.29	$13.06
HERBALIFE LTD USD	HLF	G4412G101	1	10/18/12	02/14/13	$37.43	$51.77	$-14.34
ISHARES TR RUSSELL 2000 INDEX	IWM	464287655	276	02/07/13	02/14/13	$25,286.55	$24,886.92	$399.63
HERBALIFE LTD USD	HLF	G4412G101	13	12/07/12	02/14/13	$486.56	$610.80	$-124.24
HERBALIFE LTD USD	HLF	G4412G101	57	10/11/12	02/14/13	$2,133.37	$2,905.12	$-771.75
SUNTRUST BANKS INC	STI	867914103	39	02/20/13	02/26/13	$1,061.95	$1,081.28	$-19.33
SIBANYE GOLD LTD	SBGL	825724206	75.25	12/07/12	02/26/13	$449.68	$485.16	$-35.48
SUNTRUST BANKS INC	STI	867914103	460	08/01/12	02/26/13	$12,525.57	$10,974.13	$1,551.44
DESARROLLADORA HOMEX SAB DE (	HOMEX MM	B01RQ23#0	2235	10/16/12	02/26/13	$4,717.51	$5,113.98	$-396.47
DESARROLLADORA HOMEX SAB DE (	HOMEX MM	B01RQ23#0	1243	10/15/12	02/26/13	$2,609.43	$2,828.53	$-219.10
NORMA GROUP	NOEJ GR	B4RLNR1#7	38	02/20/13	02/26/13	$1,248.30	$1,203.99	$44.31
NORMA GROUP	NOEJ GR	B4RLNR1#7	104	12/07/12	02/26/13	$3,416.41	$2,667.95	$748.46
NORMA GROUP	NOEJ GR	B4RLNR1#7	16	11/30/12	02/26/13	$525.60	$409.63	$115.97
NORMA GROUP	NOEJ GR	B4RLNR1#7	23	07/13/12	02/26/13	$755.55	$510.06	$245.49
AMAZON COM INC	AMZN	023135106	5	02/20/13	02/26/13	$1,307.61	$1,351.13	$-43.52
AMAZON COM INC	AMZN	023135106	16	12/07/12	02/26/13	$4,184.36	$4,072.08	$112.28
AMAZON COM INC	AMZN	023135106	20	11/30/12	02/26/13	$5,230.45	$4,994.85	$235.60
ROYAL & SUN ALLIANCE GROUP PLC	RSA LN	0661689#4	1550	12/07/12	02/26/13	$2,775.37	$3,054.10	$-278.73
ROYAL & SUN ALLIANCE GROUP PLC	RSA LN	0661689#4	567	02/20/13	02/26/13	$1,015.25	$1,033.94	$-18.69
ROYAL & SUN ALLIANCE GROUP PLC	RSA LN	0661689#4	2624	03/15/12	02/26/13	$4,698.43	$4,718.81	$-20.38
ROYAL & SUN ALLIANCE GROUP PLC	RSA LN	0661689#4	2870	04/17/12	02/26/13	$5,138.90	$4,757.11	$381.79

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ROYAL & SUN ALLIANCE GROUP PLC	RSA LN	0661689#4	1197	05/22/12	02/26/13	$2,143.30	$1,902.11	$241.19
CIT GROUP INC	CIT	125581801	6	02/20/13	02/26/13	$252.38	$257.79	$-5.41
CIT GROUP INC	CIT	125581801	17	12/07/12	02/26/13	$715.06	$643.20	$71.86
CIT GROUP INC	CIT	125581801	73	06/22/12	02/26/13	$3,070 57	$2,540.15	$530.42
CORPORACION GEO SAB DE CV SER	GEOB MM	2229342#7	13546	10/16/12	02/26/13	$11,185.59	$18,278.64	$-7,093.05
CORPORACION GEO SAB DE CV SER	GEOB MM	2229342#7	2298	10/15/12	02/26/13	$1,897.57	$3,013.10	$-1,115.53
CORPORACION GEO SAB DE CV SER	GEOB MM	2229342#7	381	11/30/12	02/26/13	$314.61	$456.55	$-141.94
CORPORACION GEO SAB DE CV SER	GEOB MM	2229342#7	3760	12/07/12	02/26/13	$3,104.81	$4,358 81	$-1,254.00
CORPORACION GEO SAB DE CV SER	GEOB MM	2229342#7	1374	02/20/13	02/26/13	$1,134.58	$1,360.58	$-226.00
ECOPETROL S A	EC	279158109	26	03/15/12	02/26/13	$1,494.82	$1,585.61	$-90.79
ECOPETROL S A	EC	279158109	1	03/22/12	02/26/13	$57.49	$60.47	$-2.98
ECOPETROL S A	EC	279158109	30	05/22/12	02/26/13	$1,724.79	$1,746.75	$-21.96
ECOPETROL S A	EC	279158109	5	02/20/13	02/26/13	$287.46	$289.78	$-2.32
ECOPETROL S A	EC	279158109	12	12/07/12	02/26/13	$689.92	$692.10	$-2.18
HUNTINGTON BANCSHARES INC	HBAN	446150104	34	02/20/13	02/26/13	$231 63	$243.27	$-11.64
HUNTINGTON BANCSHARES INC	HBAN	446150104	5	06/29/12	02/26/13	$34.06	$31.73	$2.33
HUNTINGTON BANCSHARES INC	HBAN	446150104	407	06/22/12	02/26/13	$2,772.79	$2,561.57	$211.22
HUNTINGTON BANCSHARES INC	HBAN	446150104	89	12/07/12	02/26/13	$606.34	$550.46	$55.88
ISHARES TR RUSSELL 2000 INDEX	IWM	464287655	29	02/20/13	02/26/13	$2,591.67	$2,687.58	$-95.91
ISHARES TR RUSSELL 2000 INDEX	IWM	464287655	275	02/07/13	02/26/13	$24,576.20	$24,796.75	$-220.55
LVMH MOET HENNESSY LOUIS VUITT	LVMU Y	502441306	8	02/20/13	02/26/13	$274.01	$285.57	$-11.56
LVMH MOET HENNESSY LOUIS VUITT	LVMU Y	502441306	23	12/07/12	02/26/13	$787.79	$820.09	$-32.30
LVMH MOET HENNESSY LOUIS VUITT	LVMU Y	502441306	99	07/11/12	02/26/13	$3,390.91	$2,832.39	$558.52
CNP ASSURANCES	CNP FP	5543986#9	24	02/20/13	02/26/13	$352.42	$399.98	$-47.56
CNP ASSURANCES	CNP FP	5543986#9	66	12/07/12	02/26/13	$969.14	$981.78	$-12.64
CNP ASSURANCES	CNP FP	5543986#9	287	07/11/12	02/26/13	$4,214.30	$2,852.05	$1,362.25
QUALCOMM INC	QCOM	747525103	24	03/20/12	02/26/13	$1,561.97	$1,615.95	$-53.98
QUALCOMM INC	QCOM	747525103	208	04/17/12	02/26/13	$13,537.09	$13,893.36	$-356.27

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
QUALCOMM INC	QCOM	747525103	46	02/20/13	02/26/13	$2,993.78	$3,037.15	$-43.37
QUALCOMM INC	QCOM	747525103	168	03/15/12	02/26/13	$10,933.80	$10,957.80	$-24.00
SIBANYE GOLD LTD	SBGL	825724206	41	03/15/12	02/26/13	$245.01	$331.26	$-86.25
SIBANYE GOLD LTD	SBGL	825724206	86.5	08/21/12	02/26/13	$516.90	$669.25	$-152.35
SIBANYE GOLD LTD	SBGL	825724206	4	03/22/12	02/26/13	$23.90	$29.92	$-6 02
SIBANYE GOLD LTD	SBGL	825724206	103	05/25/12	02/26/13	$615.51	$769 74	$-154.23
SIBANYE GOLD LTD	SBGL	825724206	46	04/17/12	02/26/13	$274.89	$334.12	$-59 23
SIBANYE GOLD LTD	SBGL	825724206	19.25	05/22/12	02/26/13	$115.03	$139.16	$-24.13
SIBANYE GOLD LTD	SBGL	825724206	46.5	10/11/12	02/26/13	$277 87	$327.57	$-49.70
SUNTRUST BANKS INC	STI	867914103	108	12/07/12	02/26/13	$2,940 78	$2,897.10	$43.68
DESARROLLADORA HOMEX SAB DE (	HOMEX MM	B01RQ23#0	276	10/10/12	02/27/13	$537.41	$642.57	$-105.16
DESARROLLADORA HOMEX SAB DE (	HOMEX MM	B01RQ23#0	382	10/09/12	02/27/13	$743.80	$884.55	$-140.75
DESARROLLADORA HOMEX SAB DE (	HOMEX MM	B01RQ23#0	3440	10/15/12	02/27/13	$6,698.11	$7,827 95	$-1,129.84
NORMA GROUP	NOEJ GR	B4RLNR1#7	66	07/11/12	02/27/13	$2,171.25	$1,435.95	$735.30
DESARROLLADORA HOMEX SAB DE (	HOMEX MM	B01RQ23#0	1798	12/07/12	02/27/13	$3,500.93	$3,622.18	$-121.25
NORMA GROUP	NOEJ GR	B4RLNR1#7	179	07/13/12	02/27/13	$5,888.71	$3,969.56	$1,919.15
NORMA GROUP	NOEJ GR	B4RLNR1#7	164	07/12/12	02/27/13	$5,395.24	$3,574.90	$1,820.34
DESARROLLADORA HOMEX SAB DE (	HOMEX MM	B01RQ23#0	181	11/30/12	02/27/13	$352.43	$391.77	$-39.34
SIMON PPTY GROUP INC NEW	SPG	828806109	84	08/01/12	02/28/13	$13,366.96	$13,603.98	$-237.02
SIMON PPTY GROUP INC NEW	SPG	828806109	7	02/20/13	02/28/13	$1,113.91	$1,121.02	$-7.11
SIMON PPTY GROUP INC NEW	SPG	828806109	18	12/07/12	02/28/13	$2,864.35	$2,790.45	$73.90
VANGUARD TAX-MANAGED FD EURO	VEA	921943858	547	02/26/13	02/28/13	$19,801.01	$19,621.77	$179 24
COSAN LTD SHS -A-	CZZ	G25343107	18	02/20/13	02/28/13	$368.62	$368.01	$0.61
COSAN LTD SHS -A-	CZZ	G25343107	50	12/07/12	02/28/13	$1,023.96	$800.75	$223.21
COSAN LTD SHS -A-	CZZ	G25343107	102	04/17/12	02/28/13	$2,088.87	$1,408.88	$679.99
COSAN LTD SHS -A-	CZZ	G25343107	110	05/22/12	02/28/13	$2,252.71	$1,339.20	$913.51
CANADIAN PAC RY LTD	CP	13645T100	7	02/20/13	02/28/13	$851.09	$834.58	$16.51
CANADIAN PAC RY LTD	CP	13645T100	20	12/07/12	02/28/13	$2,431.68	$2,009.10	$422.58

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CANADIAN PAC RY LTD	CP	13645T100	83	08/01/12	02/28/13	$10,091.46	$6,739.16	$3,352.30
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	17	02/20/13	02/28/13	$1,188.66	$1,269.48	$-80.82
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	180	02/07/13	02/28/13	$12,585.78	$13,347.00	$-761.22
HARTFORD FINL SVCS GROUP INC C	HIG	416515104	5	02/20/13	02/28/13	$118.43	$124.13	$-5.70
HARTFORD FINL SVCS GROUP INC C	HIG	416515104	1	10/18/12	02/28/13	$23.69	$22.16	$1.53
HARTFORD FINL SVCS GROUP INC C	HIG	416515104	12	12/07/12	02/28/13	$284.23	$260.70	$23.53
HARTFORD FINL SVCS GROUP INC C	HIG	416515104	57	10/11/12	02/28/13	$1,350.08	$1,230.68	$119 40
MARATHON PETE CORP	MPC	56585A102	23	02/20/13	02/28/13	$1,911 82	$1,930.97	$-19.15
MARATHON PETE CORP	MPC	56585A102	63	12/07/12	02/28/13	$5,236.71	$3,780.79	$1,455.92
MARATHON PETE CORP	MPC	56585A102	62	03/15/12	02/28/13	$5,153.59	$2,733.89	$2,419.70
SIMON PPTY GROUP INC NEW	SPG	828806109	2	08/08/12	02/28/13	$318.26	$329.71	$-11.45
MIRACA HLDGS INC	4544 JP	6356611#8	65	02/21/13	03/01/13	$3,104.44	$3,062 39	$42.05
TAMBANG BATUBARA BUKIT	PTBA IJ	6565127#1	2086	05/29/12	03/01/13	$3,208.62	$3,495.99	$-287.37
TAMBANG BATUBARA BUKIT	PTBA IJ	6565127#1	459	12/10/12	03/01/13	$706.02	$655.64	$50.38
TAMBANG BATUBARA BUKIT	PTBA IJ	6565127#1	176	02/21/13	03/01/13	$270.72	$281.30	$-10.58
TAMBANG BATUBARA BUKIT	PTBA IJ	6565127#1	25	06/05/12	03/01/13	$38.45	$41.51	$-3.06
ATLAS AIR WORLDWIDE HLDGS INC	AAWW	049164205	2	10/18/12	03/07/13	$88.84	$104.28	$-15.44
ATLAS AIR WORLDWIDE HLDGS INC	AAWW	049164205	11	02/20/13	03/07/13	$488.63	$519.26	$-30.63
ATLAS AIR WORLDWIDE HLDGS INC	AAWW	049164205	28	12/07/12	03/07/13	$1,243.77	$1,194.90	$48 87
DIRECTV	DTV	25490A309	43	12/07/12	03/07/13	$2,099.35	$2,160.54	$-61.19
DIRECTV	DTV	25490A309	156	05/03/12	03/07/13	$7,616.25	$7,744.50	$-128.25
DIRECTV	DTV	25490A309	16	02/20/13	03/07/13	$781.15	$788.88	$-7.73
DIRECTV	DTV	25490A309	29	05/22/12	03/07/13	$1,415.84	$1,344.29	$71.55
EMC CORPORATION	EMC	268648102	186	04/17/12	03/07/13	$4,519.79	$5,394.93	$-875.14
EMC CORPORATION	EMC	268648102	221	03/15/12	03/07/13	$5,370.29	$6,413.35	$-1,043.06
EXXON MOBIL CORP	XOM	30231G102	17	02/20/13	03/07/13	$1,522.24	$1,515.13	$7.11
EXXON MOBIL CORP	XOM	30231G102	5	11/30/12	03/07/13	$447.72	$441.38	$6.34
EXXON MOBIL CORP	XOM	30231G102	47	12/07/12	03/07/13	$4,208.54	$4,145.64	$62.90

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
EXXON MOBIL CORP	XOM	30231G102	74	03/15/12	03/07/13	$6,626.22	$6,362.89	$263.33
EXXON MOBIL CORP	XOM	30231G102	87	04/17/12	03/07/13	$7,790.28	$7,371.95	$418.33
EXXON MOBIL CORP	XOM	30231G102	36	05/22/12	03/07/13	$3,223.57	$2,955 42	$268.15
UNITED PARCEL SVC INC CL B	UPS	911312106	17	02/20/13	03/07/13	$1,434.96	$1,439.31	$-4.35
UNITED PARCEL SVC INC CL B	UPS	911312106	5	04/24/12	03/07/13	$422.05	$400.74	$21.31
UNITED PARCEL SVC INC CL B	UPS	911312106	79	04/17/12	03/07/13	$6,668.36	$6,312.49	$355.87
UNITED PARCEL SVC INC CL B	UPS	911312106	53	03/15/12	03/07/13	$4,473.71	$4,145.93	$327.78
VERIFONE HLDGS INC	PAY	92342Y109	39	02/20/13	03/07/13	$818 00	$1,287.98	$-469.98
VERIFONE HLDGS INC	PAY	92342Y109	276	02/14/13	03/07/13	$5,788.95	$9,098.48	$-3,309 53
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	137	02/20/13	03/07/13	$1,850.66	$1,831.31	$19.35
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	22	11/30/12	03/07/13	$297.19	$280.03	$17.16
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	305	12/07/12	03/07/13	$4,120.09	$3,863 24	$256.85
ACCENTURE PLC	ACN	G1151C101	23	02/20/13	03/07/13	$1,777.06	$1,734.78	$42.28
ACCENTURE PLC	ACN	G1151C101	63	12/07/12	03/07/13	$4,867.58	$4,404.02	$463.56
ACCENTURE PLC	ACN	G1151C101	6	11/30/12	03/07/13	$463.58	$409.29	$54.29
ACCENTURE PLC	ACN	G1151C101	36	03/15/12	03/07/13	$2,781.48	$2,252.70	$528.78
AMERICA MOVIL S A DE C V SPONS	AMX	02364W105	112	03/21/12	03/07/13	$2,330.95	$2,689.68	$-358.73
AMERICA MOVIL S A DE C V SPONS	AMX	02364W105	1	03/28/12	03/07/13	$20.81	$23.63	$-2.82
AMERICA MOVIL S A DE C V SPONS	AMX	02364W105	25	12/07/12	03/07/13	$520.30	$578.13	$-57.83
AMERICA MOVIL S A DE C V SPONS	AMX	02364W105	9	02/20/13	03/07/13	$187.31	$205.52	$-18.21
AMPHENOL CORP NEW CL A	APH	032095101	12	02/20/13	03/07/13	$871.17	$851.58	$19.59
AMPHENOL CORP NEW CL A	APH	032095101	32	12/07/12	03/07/13	$2,323.13	$1,965.28	$357.85
AMPHENOL CORP NEW CL A	APH	032095101	43	04/17/12	03/07/13	$3,121.71	$2,559 58	$562.13
ATLAS AIR WORLDWIDE HLDGS INC	AAWW	049164205	128	10/11/12	03/07/13	$5,685.83	$6,768.74	$-1,082.91
INDO TAMBANGRAYA MEGAH PT	ITMG IJ	B29SK75#9	11	04/25/12	03/08/13	$45.23	$50.30	$-5.07
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	333	07/11/12	03/08/13	$4,453.07	$4,070.14	$382.93
INDO TAMBANGRAYA MEGAH PT	ITMG IJ	B29SK75#9	429	04/18/12	03/08/13	$1,763.96	$1,951.17	$-187.21
INDO TAMBANGRAYA MEGAH PT	ITMG IJ	B29SK75#9	75	02/21/13	03/08/13	$308.38	$314.86	$-6.48

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	196	07/11/12	03/08/13	$2,623.89	$2,395.64	$228.25
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	69	12/07/12	03/08/13	$923.71	$873.98	$49.73
INDO TAMBANGRAYA MEGAH PT	ITMG IJ	B29SK75#9	196	12/10/12	03/08/13	$805.91	$809 47	$-3.56
INDO TAMBANGRAYA MEGAH PT	ITMG IJ	B29SK75#9	461	05/23/12	03/08/13	$1,895.54	$1,729.97	$165.57
H & E EQUIP SVCS INC	HEES	404030108	49	12/07/12	03/14/13	$1,015.71	$749.61	$266.10
DEVON ENERGY CORPORATION NEW	DVN	25179M103	13	03/22/12	03/14/13	$744.34	$939.77	$-195.43
H & E EQUIP SVCS INC	HEES	404030108	87	10/11/12	03/14/13	$1,803.40	$1,122.96	$680.44
MARATHON PETE CORP	MPC	56585A102	44	03/15/12	03/14/13	$3,812.07	$1,940.18	$1,871.89
MARATHON PETE CORP	MPC	56585A102	116	04/17/12	03/14/13	$10,050.02	$4,669.58	$5,380 44
MARATHON PETE CORP	MPC	56585A102	49	05/22/12	03/14/13	$4,245.26	$1,770.13	$2,475 13
VANGUARD INTL EQUITY INDEX FD	VWO	922042858	398	02/07/13	03/14/13	$17,330.80	$17,501.85	$-171 05
H & E EQUIP SVCS INC	HEES	404030108	121	10/12/12	03/14/13	$2,508.17	$1,565.74	$942 43
DEVON ENERGY CORPORATION NEW	DVN	25179M103	18	04/17/12	03/14/13	$1,030.63	$1,222.29	$-191.66
VANGUARD TAX-MANAGED FD EURO	VEA	921943858	1571	02/26/13	03/14/13	$58,199.69	$56,354.28	$1,845.41
VANGUARD INTL EQUITY INDEX FD	VWO	922042858	116	02/20/13	03/14/13	$5,051.19	$5,179.98	$-128.79
H & E EQUIP SVCS INC	HEES	404030108	17	02/20/13	03/14/13	$352 39	$342.98	$9.41
DEVON ENERGY CORPORATION NEW	DVN	25179M103	5	02/20/13	03/14/13	$286.29	$302.63	$-16.34
DEVON ENERGY CORPORATION NEW	DVN	25179M103	36	05/22/12	03/14/13	$2,061.25	$2,258.10	$-196.85
VANGUARD TAX-MANAGED FD EURO	VEA	921943858	3036	02/26/13	03/20/13	$111,790.60	$108,906.18	$2,884.42
VANGUARD INTL EQUITY INDEX FD	VWO	922042858	1307	02/07/13	03/20/13	$55,703.09	$57,474.67	$-1,771.58
MIRACA HLDGS INC	4544 JP	6356611#8	695	01/17/13	03/21/13	$31,948.96	$29,251.61	$2,697.35
MIRACA HLDGS INC	4544 JP	6356611#8	7	02/21/13	03/21/13	$321.79	$329.80	$-8 01
CLIFFS NAT RES INC	CLF	18683K101	78	08/21/12	03/27/13	$1,453.20	$3,214.30	$-1,761.10
CLIFFS NAT RES INC	CLF	18683K101	18	08/28/12	03/27/13	$335.36	$751.54	$-416.18
CLIFFS NAT RES INC	CLF	18683K101	7	02/20/13	03/27/13	$130.42	$201.29	$-70.87
SUNCOR ENERGY INC	SU	867224107	88	12/07/12	04/01/13	$2,658.48	$2,907.25	$-248.77
SUNCOR ENERGY INC	SU	867224107	32	02/20/13	04/01/13	$966.72	$1,013.28	$-46.56
SHERRITT INTL CORP	S CN	2804158#0	399	04/17/12	04/01/13	$1,925.69	$2,297.35	$-371.66

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SUNCOR ENERGY INC	SU	867224107	67	05/22/12	04/01/13	$2,024.07	$1,848 20	$175.87
SUNCOR ENERGY INC	SU	867224107	162	04/17/12	04/01/13	$4,894.03	$5,104 81	$-210.78
DFC GLOBAL CORP	DLLR	23324T107	14	02/20/13	04/02/13	$186.81	$277.55	$-90.74
DFC GLOBAL CORP	DLLR	23324T107	49	02/28/13	04/02/13	$653.85	$918.27	$-264.42
DFC GLOBAL CORP	DLLR	23324T107	300	03/01/13	04/02/13	$4,003.17	$5,612.13	$-1,608.96
TAG IMMOBILIEN AG	TEG GR	5735631#0	473	12/07/12	04/02/13	$5,417.04	$5,630.67	$-213.63
DFC GLOBAL CORP	DLLR	23324T107	159	10/11/12	04/02/13	$2,121.68	$2,651.44	$-529.76
TAG IMMOBILIEN AG	TEG GR	5735631#0	32	11/30/12	04/02/13	$366.48	$388.07	$-21.59
TAG IMMOBILIEN AG	TEG GR	5735631#0	176	02/20/13	04/02/13	$2,015.64	$2,105.44	$-89 80
DFC GLOBAL CORP	DLLR	23324T107	37	12/07/12	04/02/13	$493.73	$653.23	$-159.50
TAG IMMOBILIEN AG	TEG GR	5735631#0	9	12/07/12	04/03/13	$102.85	$107.14	$-4.29
TAG IMMOBILIEN AG	TEG GR	5735631#0	199	07/12/12	04/03/13	$2,274.13	$1,892.20	$381.93
TAG IMMOBILIEN AG	TEG GR	5735631#0	204	07/11/12	04/03/13	$2,331.26	$1,940.30	$390.96
TAG IMMOBILIEN AG	TEG GR	5735631#0	448	07/12/12	04/04/13	$5,026.98	$4,259.83	$767.15
TAG IMMOBILIEN AG	TEG GR	5735631#0	194	07/12/12	04/05/13	$2,173.15	$1,844.66	$328.49
BHP BILLITON PLC SPONSORED ADR	BBL	05545E209	39	04/17/12	04/08/13	$2,246.60	$2,374.13	$-127.53
TAG IMMOBILIEN AG	TEG GR	5735631#0	242	07/12/12	04/08/13	$2,744.11	$2,301.07	$443.04
BARRICK GOLD CORP	ABX	067901108	130	08/21/12	04/08/13	$3,401.74	$4,845.18	$-1,443.44
BARRICK GOLD CORP	ABX	067901108	546	07/11/12	04/08/13	$14,287.30	$19,257.58	$-4,970 28
BARRICK GOLD CORP	ABX	067901108	19	11/30/12	04/08/13	$497.18	$662.81	$-165.63
BARRICK GOLD CORP	ABX	067901108	161	12/07/12	04/08/13	$4,212.92	$5,433.88	$-1,220.96
BARRICK GOLD CORP	ABX	067901108	59	02/20/13	04/08/13	$1,543 86	$1,830.48	$-286.62
CABOT CORP	CBT	127055101	52	04/17/12	04/08/13	$1,743.54	$2,217.54	$-474.00
CABOT CORP	CBT	127055101	22	05/22/12	04/08/13	$737.66	$857.67	$-120.01
CABOT CORP	CBT	127055101	7	02/20/13	04/08/13	$234.71	$270.52	$-35.81
CABOT CORP	CBT	127055101	17	12/07/12	04/08/13	$570.00	$646.08	$-76.08
TERADATA CORP DEL	TDC	88076W103	18	05/22/12	04/08/13	$954.62	$1,226.79	$-272.17
TERADATA CORP DEL	TDC	88076W103	3	05/29/12	04/08/13	$159.10	$203.73	$-44.63

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
TERADATA CORP DEL	TDC	88076W103	52	04/17/12	04/08/13	$2,757.78	$3,524.30	$-766.52
TERADATA CORP DEL	TDC	88076W103	10	02/20/13	04/08/13	$530.34	$632.15	$-101.81
TERADATA CORP DEL	TDC	88076W103	24	12/07/12	04/08/13	$1,272.82	$1,414.68	$-141.86
UNITED PARCEL SVC INC CL B	UPS	911312106	35	05/22/12	04/08/13	$2,906.26	$2,612.93	$293.33
UNITED PARCEL SVC INC CL B	UPS	911312106	7	12/07/12	04/08/13	$581.25	$511.81	$69.44
VALE S A	VALE	91912E105	1	04/24/12	04/08/13	$17.26	$23.63	$-6.37
VALE S A	VALE	91912E105	46	04/17/12	04/08/13	$793.75	$1,058.69	$-264.94
VALE S A	VALE	91912E105	8	02/20/13	04/08/13	$138 04	$153.88	$-15.84
VALE S A	VALE	91912E105	47	05/22/12	04/08/13	$811 02	$862.69	$-51.67
VALE S A	VALE	91912E105	20	12/07/12	04/08/13	$345.11	$361.90	$-16.79
AMAZON COM INC	AMZN	023135106	11	11/30/12	04/08/13	$2,822.17	$2,747.16	$75.01
AMAZON COM INC	AMZN	023135106	34	08/17/12	04/08/13	$8,723 08	$8,188.06	$535.02
ASHLAND INC NEW	ASH	044209104	10	02/20/13	04/08/13	$746.53	$805.25	$-58.72
ASHLAND INC NEW	ASH	044209104	27	12/07/12	04/08/13	$2,015.64	$2,030.27	$-14.63
ASHLAND INC NEW	ASH	044209104	117	10/11/12	04/08/13	$8,734.43	$8,140.68	$593.75
BHP BILLITON PLC SPONSORED ADR	BBL	05545E209	15	02/20/13	04/08/13	$864.08	$1,006.28	$-142.20
BHP BILLITON PLC SPONSORED ADR	BBL	05545E209	44	12/07/12	04/08/13	$2,534.62	$2,853.18	$-318.56
BHP BILLITON PLC SPONSORED ADR	BBL	05545E209	5	11/30/12	04/08/13	$288.03	$315.93	$-27.90
BHP BILLITON PLC SPONSORED ADR	BBL	05545E209	88	08/21/12	04/08/13	$5,069.25	$5,477.47	$-408.22
EMC CORPORATION	EMC	268648102	197	04/17/12	04/08/13	$4,529.38	$5,713.99	$-1,184.61
EMC CORPORATION	EMC	268648102	159	05/22/12	04/08/13	$3,655.70	$4,123.67	$-467.97
EMC CORPORATION	EMC	268648102	282	12/07/12	04/08/13	$6,483.68	$7,129.61	$-645.93
EMC CORPORATION	EMC	268648102	344	11/30/12	04/08/13	$7,909.18	$8,503.68	$-594.50
SHERRITT INTL CORP	S CN	2804158#0	283	04/17/12	04/08/13	$1,297.59	$1,629.44	$-331.85
LENNAR CORP CLASS A	LEN	526057104	9	02/20/13	04/08/13	$357.17	$354.02	$3.15
LENNAR CORP CLASS A	LEN	526057104	26	12/07/12	04/08/13	$1,031.81	$948.09	$83.72
LENNAR CORP CLASS A	LEN	526057104	109	10/11/12	04/08/13	$4,325.69	$3,919.00	$406.69
BHP BILLITON PLC SPONSORED ADR	BBL	05545E209	16	05/22/12	04/08/13	$921.68	$889.36	$32.32

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales pnce less commissions	Cost or other basis	Net gain or loss
WHARF(HLDGS)	4 HK	6435576#3	101	02/21/13	04/09/13	$881.59	$861.01	$20 58
TAG IMMOBILIEN AG	TEG GR	5735631#0	97	07/12/12	04/09/13	$1,116.66	$922.33	$194.33
WHARF(HLDGS)	4 HK	6435576#3	1193	10/12/12	04/09/13	$10,413.23	$7,885.12	$2,528.11
DENA CO LTD	2432 JP	B05L364#4	154	01/17/13	04/09/13	$3,687.99	$5,622.41	$-1,934.42
DENA CO LTD	2432 JP	B05L364#4	14	02/21/13	04/09/13	$335.27	$388.19	$-52.92
CHINA RAILWAY CONSTRUCTION-H	1186 HK	B2PFVH7#3	2234	04/02/13	04/09/13	$1,967.29	$2,106.62	$-139.33
AGUNG PODOMORO LAND TBK PT	APLN IJ	B5SZFJ5#5	139986	03/21/13	04/09/13	$6,857.17	$7,522.64	$-665.47
SHERRITT INTL CORP	S CN	2804158#0	32	04/17/12	04/09/13	$147 48	$184.25	$-36.77
SHERRITT INTL CORP	S CN	2804158#0	136	02/20/13	04/09/13	$626.81	$734.04	$-107.23
SHERRITT INTL CORP	S CN	2804158#0	329	12/07/12	04/09/13	$1,516.32	$1,677.37	$-161.05
SHERRITT INTL CORP	S CN	2804158#0	288	05/22/12	04/09/13	$1,327.36	$1,467.42	$-140.06
BARCLAYS PLC	BARC LN	3134865#5	97	02/20/13	04/09/13	$415.45	$479.88	$-64.43
BARCLAYS PLC	BARC LN	3134865#5	264	12/07/12	04/09/13	$1,130.70	$1,073.99	$56.71
BARCLAYS PLC	BARC LN	3134865#5	3	11/30/12	04/09/13	$12.85	$11.94	$0.91
BARCLAYS PLC	BARC LN	3134865#5	1137	07/11/12	04/09/13	$4,869.70	$2,944.06	$1,925.64
VOLKSWAGEN AG	VOW GR	5497102#7	4	02/20/13	04/09/13	$764.04	$912.51	$-148.47
VOLKSWAGEN AG	VOW GR	5497102#7	10	12/07/12	04/09/13	$1,910.09	$2,025.79	$-115.70
VOLKSWAGEN AG	VOW GR	5497102#7	44	08/29/12	04/09/13	$8,404.42	$7,322.28	$1,082.14
WHARF(HLDGS)	4 HK	6435576#3	277	12/10/12	04/09/13	$2,417.82	$2,150.76	$267.06
TAG IMMOBILIEN AG	TEG GR	5735631#0	291	07/12/12	04/10/13	$3,414.74	$2,766.99	$647.75
AGUNG PODOMORO LAND TBK PT	APLN IJ	B5SZFJ5#5	82828	03/21/13	04/10/13	$3,968.46	$4,451.05	$-482.59
TAG IMMOBILIEN AG	TEG GR	5735631#0	161	07/12/12	04/11/13	$1,889.33	$1,530.88	$358.45
TAG IMMOBILIEN AG	TEG GR	5735631#0	76	07/12/12	04/15/13	$886.67	$722.65	$164 02
TAG IMMOBILIEN AG	TEG GR	5735631#0	18	07/12/12	04/16/13	$209.47	$171.15	$38.32
CBS CORP NEW CL B	CBS	124857202	45	02/20/13	04/17/13	$2,046.51	$2,016.95	$29.56
CBS CORP NEW CL B	CBS	124857202	122	12/07/12	04/17/13	$5,548.33	$4,380.41	$1,167.92
CBS CORP NEW CL B	CBS	124857202	212	04/17/12	04/17/13	$9,641.35	$6,958.71	$2,682.64
CBS CORP NEW CL B	CBS	124857202	94	05/22/12	04/17/13	$4,274.94	$2,974.63	$1,300.31

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MONSANTO CO NEW	MON	61166W101	13	02/20/13	04/17/13	$1,349.20	$1,328.15	$21.05
MONSANTO CO NEW	MON	61166W101	33	12/07/12	04/17/13	$3,424.90	$2,984.85	$440.05
MONSANTO CO NEW	MON	61166W101	62	04/17/12	04/17/13	$6,434.66	$4,791 67	$1,642 99
MONSANTO CO NEW	MON	61166W101	26	05/22/12	04/17/13	$2,698.41	$1,873.95	$824 46
PPL CORP	PPL	69351T106	36	02/20/13	04/17/13	$1,145.71	$1,095.30	$50.41
PPL CORP	PPL	69351T106	98	12/07/12	04/17/13	$3,118.89	$2,843.47	$275.42
PPL CORP	PPL	69351T106	76	05/22/12	04/17/13	$2,418.73	$2,068.34	$350.39
PPL CORP	PPL	69351T106	182	04/17/12	04/17/13	$5,792.22	$4,920.37	$871.85
UNITED PARCEL SVC INC CL B	UPS	911312106	33	12/07/12	04/17/13	$2,726.71	$2,412.79	$313.92
KWG PROPERTY HOLDING LTD	1813 HK	B1YBF00#6	7500	12/10/12	04/17/13	$4,544.27	$5,532.20	$-987.93
KWG PROPERTY HOLDING LTD	1813 HK	B1YBF00#6	756	12/03/12	04/17/13	$458.06	$546.09	$-88.03
KWG PROPERTY HOLDING LTD	1813 HK	B1YBF00#6	2739	02/21/13	04/17/13	$1,659.57	$1,791.61	$-132.04
KWG PROPERTY HOLDING LTD	1813 HK	B1YBF00#6	6402	10/16/12	04/17/13	$3,878.98	$3,597.30	$281.68
ACE LTD	ACE	H0023R105	29	02/20/13	04/17/13	$2,578.91	$2,525.73	$53.18
ACE LTD	ACE	H0023R105	79	12/07/12	04/17/13	$7,025.31	$6,382.02	$643.29
ACE LTD	ACE	H0023R105	6	11/30/12	04/17/13	$533.57	$475.29	$58.28
ACE LTD	ACE	H0023R105	147	04/17/12	04/17/13	$13,072.42	$10,714.10	$2,358.32
ACE LTD	ACE	H0023R105	46	05/22/12	04/17/13	$4,090.69	$3,349.95	$740.74
TYCO INTL LTD	TYC	H89128104	13	02/20/13	04/17/13	$405.37	$419.58	$-14.21
TYCO INTL LTD	TYC	H89128104	36	12/07/12	04/17/13	$1,122.55	$1,036 21	$86.34
TYCO INTL LTD	TYC	H89128104	152	08/01/12	04/17/13	$4,739.66	$4,166.06	$573.60
EASTMAN CHEM CO	EMN	277432100	14	02/20/13	04/17/13	$937.40	$1,027.95	$-90.55
EASTMAN CHEM CO	EMN	277432100	40	12/07/12	04/17/13	$2,678.28	$2,442.60	$235.68
EASTMAN CHEM CO	EMN	277432100	36	04/17/12	04/17/13	$2,410.46	$1,911.42	$499.04
EASTMAN CHEM CO	EMN	277432100	41	05/22/12	04/17/13	$2,745.24	$1,893.17	$852.07
JOHNSON CONTROLS INC	JCI	478366107	16	02/20/13	04/17/13	$521.19	$518.80	$2.39
JOHNSON CONTROLS INC	JCI	478366107	86	05/22/12	04/17/13	$2,801.42	$2,624.29	$177.13
KWG PROPERTY HOLDING LTD	1813 HK	B1YBF00#6	25192	10/16/12	04/18/13	$15,193.20	$14,155.44	$1,037.76

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
TAG IMMOBILIEN AG	TEG GR	5735631#0	36	07/12/12	04/18/13	$409.34	$342.31		$67.03
SIBANYE GOLD LTD	SBGL	825724206	29	02/20/13	04/19/13	$112.66	$174.48		$-61.82
GERRESHEIMER AG	GXI GR	B1Y47Y7#0	10	02/20/13	04/19/13	$553.02	$591.51		$-38.49
GERRESHEIMER AG	GXI GR	B1Y47Y7#0	23	05/22/12	04/19/13	$1,271.96	$1,010 79		$261.17
TAG IMMOBILIEN AG	TEG GR	5735631#0	10	07/12/12	04/19/13	$115.92	$95.09		$20.83
GERRESHEIMER AG	GXI GR	B1Y47Y7#0	29	12/07/12	04/19/13	$1,603.77	$1,518.01		$85.76
FRESENIUS MEDICAL CARE AG & CO	FME GR	5129074#0	104	04/02/13	04/19/13	$7,160 63	$7,049.83		$110.80
TAG IMMOBILIEN AG	TEG GR	5735631#0	11	07/12/12	04/23/13	$125.15	$104.59		$20.56
HEROUX DEVTEK INC	HRX CN	2422947#7	23	12/07/12	04/24/13	$171.95	$234.87		$-62.92
ADT CORP	ADT	00101J106	6	02/20/13	04/24/13	$263.37	$287.19	*	$-23.82
AMTRUST FINL SVCS INC	AFSI	032359309	204	04/01/13	04/24/13	$6,349.03	$6,989.71		$-640.68
ADT CORP	ADT	00101J106	76	08/01/12	04/24/13	$3,336.05	$2,696.08	*	$639.97
ADT CORP	ADT	00101J106	18	12/07/12	04/24/13	$790.12	$787.95	*	$2.17
OKO BANK PLC A	POH1S FH	5665233#1	69	07/11/12	04/24/13	$1,134.11	$793.90		$340.21
TAG IMMOBILIEN AG	TEG GR	5735631#0	22	07/12/12	04/24/13	$252.08	$209.19		$42.89
OKO BANK PLC A	POH1S FH	5665233#1	60	12/03/12	04/24/13	$986.18	$851.33		$134.85
OKO BANK PLC A	POH1S FH	5665233#1	110	12/07/12	04/24/13	$1,807.99	$1,561.37		$246.62
EXPEDITORS INTERNATIONAL WASH	EXPD	302130109	323	02/07/13	04/24/13	$11,474.93	$13,916.68		$-2,441.75
EXPEDITORS INTERNATIONAL WASH	EXPD	302130109	31	02/20/13	04/24/13	$1,101.31	$1,321.38		$-220.07
OKO BANK PLC A	POH1S FH	5665233#1	40	02/21/13	04/24/13	$657 45	$661.70		$-4.25
OKO BANK PLC A	POH1S FH	5665233#1	347	07/11/12	04/25/13	$5,712.47	$3,992.52		$1,719.95
HEROUX DEVTEK INC	HRX CN	2422947#7	88	12/07/12	04/25/13	$655.77	$898.65		$-242.88
TAG IMMOBILIEN AG	TEG GR	5735631#0	32	07/12/12	04/25/13	$367.59	$304.27		$63.32
HEROUX DEVTEK INC	HRX CN	2422947#7	69	12/07/12	04/26/13	$515.99	$704.63		$-188.64
TAG IMMOBILIEN AG	TEG GR	5735631#0	7	07/12/12	04/26/13	$80.26	$66.56		$13.70
HEROUX DEVTEK INC	HRX CN	2422947#7	43	12/07/12	04/29/13	$324.80	$439.11		$-114.31
HEROUX DEVTEK INC	HRX CN	2422947#7	30	12/07/12	04/30/13	$231.14	$306.36		$-75.22
HEROUX DEVTEK INC	HRX CN	2422947#7	39	02/20/13	04/30/13	$300.48	$335.69		$-35.21

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
AEGION CORP	AEGN	00770F104	28	02/20/13	05/01/13	$572.65	$676.06	$-103.41
AEGION CORP	AEGN	00770F104	173	10/12/12	05/01/13	$3,538.13	$3,248.94	$289.19
AEGION CORP	AEGN	00770F104	78	12/07/12	05/01/13	$1,595.23	$1,613.08	$-17.85
CULLEN FROST BANKERS INC	CFR	229899109	11	12/07/12	05/01/13	$658.14	$597.14	$61.00
RPM INC OHIO	RPM	749685103	210	10/11/12	05/01/13	$6,654.58	$5,511.51	$1,143.07
RPM INC OHIO	RPM	749685103	49	12/07/12	05/01/13	$1,552.74	$1,414.42	$138.32
RPM INC OHIO	RPM	749685103	18	02/20/13	05/01/13	$570.39	$562.95	$7.44
CULLEN FROST BANKERS INC	CFR	229899109	1	06/29/12	05/01/13	$59.83	$55.85	$3 98
CULLEN FROST BANKERS INC	CFR	229899109	50	06/22/12	05/01/13	$2,991.52	$2,800.25	$191.27
CULLEN FROST BANKERS INC	CFR	229899109	4	02/20/13	05/01/13	$239.32	$246.10	$-6.78
CSG SYS INTL INC	CSGS	126349109	11	02/20/13	05/01/13	$224.04	$224.68	$-0.64
CSG SYS INTL INC	CSGS	126349109	30	12/07/12	05/01/13	$611.01	$561.75	$49.26
DANA HLDG CORP	DAN	235825205	18	02/20/13	05/01/13	$300.57	$308.97	$-8.40
DANA HLDG CORP	DAN	235825205	49	12/07/12	05/01/13	$818.21	$710.26	$107.95
DANA HLDG CORP	DAN	235825205	212	10/11/12	05/01/13	$3,540.00	$2,700.33	$839.67
CARLISLE COS INC	CSL	142339100	85	10/11/12	05/01/13	$5,420.24	$4,463.27	$956.97
CARLISLE COS INC	CSL	142339100	26	12/07/12	05/01/13	$1,657.95	$1,479.79	$178.16
HEROUX DEVTEK INC	HRX CN	2422947#7	27	02/20/13	05/01/13	$210.86	$232.40	$-21.54
GOODYEAR TIRE AND RUBBER CO	GT	382550101	50	02/20/13	05/01/13	$609.49	$705.25	$-95.76
GOODYEAR TIRE AND RUBBER CO	GT	382550101	157	12/07/12	05/01/13	$1,913.80	$2,010.39	$-96.59
GOODYEAR TIRE AND RUBBER CO	GT	382550101	300	05/03/12	05/01/13	$3,656.95	$3,324.72	$332.23
GOODYEAR TIRE AND RUBBER CO	GT	382550101	262	05/22/12	05/01/13	$3,193.74	$2,749.69	$444.05
CARLISLE COS INC	CSL	142339100	9	02/20/13	05/01/13	$573.91	$619.70	$-45.79
HEROUX DEVTEK INC	HRX CN	2422947#7	26	02/20/13	05/02/13	$201.61	$223.79	$-22.18
AEGION CORP	AEGN	00770F104	108	10/11/12	05/02/13	$2,223.25	$2,027.17	$196.08
AEGION CORP	AEGN	00770F104	52	10/12/12	05/02/13	$1,070.45	$976.56	$93.89
GRUPO MEXICO SA DE CV	GMEXICOB MM	2643674#7	24	11/30/12	05/02/13	$84.48	$78.68	$5.80
JULIUS BAER GROUP LTD	BAER VX	B4R2R50#4	200	04/24/13	05/02/13	$7,900.60	$7,583.04	$317.56

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
GRUPO MEXICO SA DE CV	GMEXICOB MM	2643674#7	5904	10/11/12	05/02/13	$20,782.99	$19,608.18	$1,174.81
GRUPO MEXICO SA DE CV	GMEXICOB MM	2643674#7	1374	12/07/12	05/02/13	$4,836.69	$4,884.61	$-47.92
GRUPO MEXICO SA DE CV	GMEXICOB MM	2643674#7	503	02/20/13	05/02/13	$1,770.64	$1,968.66	$-198.02
JULIUS BAER GROUP LTD	BAER VX	B4R2R50#4	79	04/25/13	05/02/13	$3,120.74	$3,008 92	$111.82
CARLISLE COS INC	CSL	142339100	26	10/11/12	05/02/13	$1,662.51	$1,365.24	$297 27
COCA-COLA FEMSA SERIES L	KOF	191241108	19	05/22/12	05/07/13	$3,119.45	$2,086.11	$1,033.34
KOPPERS HLDGS INC	KOP	50060P106	70	10/11/12	05/07/13	$2,847.83	$2,432.68	$415.15
KOPPERS HLDGS INC	KOP	50060P106	17	12/07/12	05/07/13	$691.61	$608.86	$82 75
KOPPERS HLDGS INC	KOP	50060P106	5	02/20/13	05/07/13	$203.42	$213.03	$-9.61
SILVER BAY RLTY TR CORP	SBY	82735Q102	55	04/24/13	05/07/13	$1,035.66	$1,067.00	$-31.34
COCA-COLA FEMSA SERIES L	KOF	191241108	16	10/11/12	05/07/13	$2,626.90	$2,111.69	$515.21
COCA-COLA FEMSA SERIES L	KOF	191241108	29	12/07/12	05/07/13	$4,761.26	$4,190.32	$570.94
COCA-COLA FEMSA SERIES L	KOF	191241108	11	02/20/13	05/07/13	$1,806.00	$1,776.45	$29.55
COCA-COLA ENTERPRISES INC	CCE	19122T109	23	11/30/12	05/07/13	$856.63	$713.58	$143.05
COCA-COLA ENTERPRISES INC	CCE	19122T109	188	12/07/12	05/07/13	$7,002.02	$5,990.13	$1,011.89
COCA-COLA ENTERPRISES INC	CCE	19122T109	69	02/20/13	05/07/13	$2,569.89	$2,520.23	$49.66
ALLERGAN INC	AGN	018490102	36	12/07/12	05/20/13	$3,593.62	$3,312.54	$281.08
ALLERGAN INC	AGN	018490102	66	08/08/12	05/20/13	$6,588.29	$5,672.54	$915.75
GOOGLE INC CL A	GOOG	38259P508	6	05/22/12	05/20/13	$5,484.73	$3,679.23	$1,805.50
GOOGLE INC CL A	GOOG	38259P508	1	11/30/12	05/20/13	$914.12	$692.55	$221.57
GOOGLE INC CL A	GOOG	38259P508	7	12/07/12	05/20/13	$6,398.86	$4,860.28	$1,538.58
GOOGLE INC CL A	GOOG	38259P508	3	02/20/13	05/20/13	$2,742.37	$2,417.78	$324.59
CA INC	CA	12673P105	53	05/22/12	05/20/13	$1,472.44	$1,336.40	$136.04
CA INC	CA	12673P105	25	02/20/13	05/20/13	$694.55	$627.38	$67.17
CA INC	CA	12673P105	60	12/07/12	05/20/13	$1,666.91	$1,329.90	$337.01
GOOGLE INC CL A	GOOG	38259P508	15	05/06/13	05/20/13	$13,711.84	$12,834 42	$877.42
DAVITA INC	DVA	23918K108	16	05/22/12	05/20/13	$2,036.49	$1,357.20	$679.29
DAVITA INC	DVA	23918K108	20	12/07/12	05/20/13	$2,545.62	$2,126.70	$418.92

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DAVITA INC	DVA	23918K108	8	02/20/13	05/20/13	$1,018.25	$929.40	$88.85
COCA-COLA ENTERPRISES INC	CCE	19122T109	145	05/22/12	05/20/13	$5,553.51	$4,018.67	$1,534.84
ALLERGAN INC	AGN	018490102	91	11/30/12	05/20/13	$9,083.87	$8,469.86	$614.01
ALLERGAN INC	AGN	018490102	13	02/20/13	05/20/13	$1,297.69	$1,404.46	$-106.77
SILVER BAY RLTY TR CORP	SBY	82735Q102	0.32	04/24/13	05/23/13	$5.87	$6.21	$-0.34
ARCADIS NV		B8YZRC7#2	718	02/20/13	05/28/13		$0.00	$0.00
ROYAL PHILIPS ELECTRONICS		B9CNFB5#8	414	04/09/13	05/31/13		$0.00	$0.00
LLOYDS TSB GROUP	LLOY LN	0870612#3	1124	05/29/13	06/03/13	$1,064.70	$1,043.26	$21.44
LLOYDS TSB GROUP	LLOY LN	0870612#3	23094	03/15/13	06/03/13	$21,875.54	$17,861.69	$4,013.85
ASIAN CITRUS HOLDINGS LTD	ACHL EU	B0C8JN7#8	4439	03/22/13	06/03/13	$1,578.68	$2,355.86	$-777.18
ASIAN CITRUS HOLDINGS LTD	ACHL EU	B0C8JN7#8	231	05/29/13	06/03/13	$82.15	$99.23	$-17.08
EMPRESAS ICA SAB	ICA MM	B0MT4R9#6	539	05/29/13	06/03/13	$981.70	$1,061.95	$-80.25
EMPRESAS ICA SAB	ICA MM	B0MT4R9#6	604	02/20/13	06/03/13	$1,100.09	$1,781.02	$-680.93
EMPRESAS ICA SAB	ICA MM	B0MT4R9#6	1640	.12/07/12	06/03/13	$2,986.99	$3,910.90	$-923.91
EMPRESAS ICA SAB	ICA MM	B0MT4R9#6	3696	10/16/12	06/03/13	$6,731.64	$8,396.77	$-1,665.13
EMPRESAS ICA SAB	ICA MM	B0MT4R9#6	3446	10/15/12	06/03/13	$6,276.31	$7,694.19	$-1,417 88
ASIAN CITRUS HOLDINGS LTD	ACHL EU	B0C8JN7#8	1001	03/21/13	06/03/13	$356.00	$528.11	$-172.11
START TODAY CO LTD	3092 JP	B292RC1#2	414	03/21/13	06/04/13	$6,188.64	$4,908 01	$1,280.63
JX HLDGS INC	5020 JP	B627LW9#3	232	02/21/13	06/04/13	$1,125.54	$1,378.17	$-252.63
JX HLDGS INC	5020 JP	B627LW9#3	638	12/10/12	06/04/13	$3,095.24	$3,510.90	$-415 66
JX HLDGS INC	5020 JP	B627LW9#3	2736	12/03/12	06/04/13	$13,273.65	$14,481.50	$-1,207.85
JX HLDGS INC	5020 JP	B627LW9#3	207	05/30/13	06/04/13	$1,004.26	$1,060.46	$-56.20
DAIKIN KOGYO INDUSTRIES	6367 JP	6250724#7	17	05/30/13	06/04/13	$742.99	$744.57	$-1.58
DAIKIN KOGYO INDUSTRIES	6367 JP	6250724#7	314	04/09/13	06/04/13	$13,723.46	$12,762.52	$960.94
FIRST PACIFIC CO LTD	142 HK	6339872#8	7498	03/21/13	06/04/13	$9,728.74	$10,372.78	$-644.04
FIRST PACIFIC CO LTD	142 HK	6339872#8	371	05/30/13	06/04/13	$481.38	$499.37	$-17.99
UNIVERSAL ROBINA CORP	URC PM	6919519#6	907	05/30/13	06/04/13	$2,500.60	$2,747.95	$-247.35
UNIVERSAL ROBINA CORP	URC PM	6919519#6	1020	02/21/13	06/04/13	$2,812.15	$2,360.62	$451.53

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
UNIVERSAL ROBINA CORP	URC PM	6919519#6	2797	12/10/12	06/04/13	$7,711.34	$5,576.99	$2,134.35
UNIVERSAL ROBINA CORP	URC PM	6919519#6	1490	06/08/12	06/04/13	$4,107.94	$2,150.92	$1,957.02
START TODAY CO LTD	3092 JP	B292RC1#2	21	05/30/13	06/04/13	$313.92	$318.47	$-4.55
MENTOR GRAPHICS CORP	MENT	587200106	52	05/29/13	06/06/13	$984.41	$982.02	$2.39
ARES CAP CORP	ARCC	04010L103	561	03/01/13	06/06/13	$9,339.76	$10,354.83	$-1,015.07
MENTOR GRAPHICS CORP	MENT	587200106	161	12/07/12	06/06/13	$3,047.87	$2,594 10	$453.77
ARES CAP CORP	ARCC	04010L103	48	05/29/13	06/06/13	$799.12	$853.20	$-54.08
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	155	07/11/12	06/06/13	$1,773.87	$1,894.51	$-120.64
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	518	03/15/13	06/06/13	$5,928.17	$7,201.69	$-1,273.52
TITAN INTL INC ILL	TWI	88830M102	158	10/11/12	06/06/13	$3,518 02	$2,877.81	$640 21
TITAN INTL INC ILL	TWI	88830M102	36	12/07/12	06/06/13	$801.57	$760.86	$40.71
TITAN INTL INC ILL	TWI	88830M102	62	01/16/13	06/06/13	$1,380.49	$1,443.28	$-62.79
TITAN INTL INC ILL	TWI	88830M102	16	05/29/13	06/06/13	$356.25	$380.40	$-24.15
TITAN INTL INC ILL	TWI	88830M102	24	02/20/13	06/06/13	$534.38	$647.40	$-113.02
MENTOR GRAPHICS CORP	MENT	587200106	553	11/30/12	06/06/13	$10,468.77	$8,259.50	$2,209.27
MENTOR GRAPHICS CORP	MENT	587200106	140	10/11/12	06/06/13	$2,650.32	$2,200.17	$450.15
ARES CAP CORP	ARCC	04010L103	374	02/28/13	06/06/13	$6,226.51	$6,938.71	$-712.20
MENTOR GRAPHICS CORP	MENT	587200106	59	02/20/13	06/06/13	$1,116.92	$1,034.57	$82.35
LONGFOR PROPERTIES	960 HK	B56KLY9#8	1805	10/16/12	06/07/13	$3,025.85	$2,811.40	$214.45
LONGFOR PROPERTIES	960 HK	B56KLY9#8	539	02/21/13	06/07/13	$903.57	$940.09	$-36 52
LONGFOR PROPERTIES	960 HK	B56KLY9#8	481	05/30/13	06/07/13	$806.33	$846.97	$-40.64
LONGFOR PROPERTIES	960 HK	B56KLY9#8	1476	12/10/12	06/07/13	$2,474.32	$2,980.84	$-506.52
LONGFOR PROPERTIES	960 HK	B56KLY9#8	149	12/03/12	06/07/13	$249.78	$289.35	$-39 57
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	833	07/11/12	06/07/13	$9,632.38	$10,181.46	$-549.08
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	76	07/03/12	06/07/13	$878.83	$973.14	$-94.31
AEON MALL CO LTD CHIBA SHS	8905 JP	6534202#5	42	02/21/13	06/07/13	$992.53	$1,004.92	$-12.39
AEON MALL CO LTD CHIBA SHS	8905 JP	6534202#5	491	09/12/12	06/07/13	$11,603.14	$12,046.50	$-443.36
AEON MALL CO LTD CHIBA SHS	8905 JP	6534202#5	113	12/10/12	06/07/13	$2,670.38	$2,926.73	$-256.35

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
AEON MALL CO LTD CHIBA SHS	8905 JP	6534202#5	37	05/30/13	06/07/13	$874.37	$1,009.70	$-135.33
ANRITSU CORP	6754 JP	6044109#9	634	12/03/12	06/07/13	$7,820.68	$8,177.41	$-356.73
ANRITSU CORP	6754 JP	6044109#9	146	12/10/12	06/07/13	$1,800.98	$1,923.97	$-122.99
ANRITSU CORP	6754 JP	6044109#9	48	05/30/13	06/07/13	$592.10	$671.22	$-79.12
ANRITSU CORP	6754 JP	6044109#9	54	02/21/13	06/07/13	$666.12	$784.22	$-118.10
LONGFOR PROPERTIES	960 HK	B56KLY9#8	4412	10/16/12	06/10/13	$7,340.80	$6,871.97	$468 83
AG MTG INVT TR INC	MITT	001228105	21	04/01/13	06/12/13	$439.74	$528.59	$-88.85
APOLLO COML REAL ESTATE FIN IN	ARI	03762U105	13	05/29/13	06/12/13	$210.05	$230.30	$-20.25
APOLLO COML REAL ESTATE FIN IN	ARI	03762U105	40	12/07/12	06/12/13	$646.30	$655.96 *	$-9.66
APOLLO COML REAL ESTATE FIN IN	ARI	03762U105	14	02/20/13	06/12/13	$226.21	$246.89 *	$-20.68
AG MTG INVT TR INC	MITT	001228105	99	03/18/13	06/13/13	$2,086.18	$2,783.00	$-696.82
AG MTG INVT TR INC	MITT	001228105	213	04/01/13	06/13/13	$4,488.45	$5,361.40	$-872.95
TEREX CORP NEW	TEX	880779103	65	12/07/12	06/17/13	$1,901.71	$1,587.63	$314.08
TEREX CORP NEW	TEX	880779103	279	10/11/12	06/17/13	$8,162.70	$6,339.66	$1,823.04
TEREX CORP NEW	TEX	880779103	22	05/29/13	06/17/13	$643.65	$751.41	$-107.76
TEREX CORP NEW	TEX	880779103	23	02/20/13	06/17/13	$672.91	$784.88	$-111.97
BETSSON AB NPV	BETSILB SS	B9B4326#3	322	05/24/13	06/19/13	$330.00	$460.33	$-130.33
KAKAKU COM INC	2371 JP	6689533#7	245	04/09/13	06/24/13	$7,457.69	$5,651.82	$1,805.87
KAKAKU COM INC	2371 JP	6689533#7	76	05/30/13	06/24/13	$2,313.40	$1,842.47	$470.93
ORACLE CORPORATION	ORCL	68389X105	136	12/07/12	06/25/13	$4,075.85	$4,367.30	$-291.45
ORACLE CORPORATION	ORCL	68389X105	44	05/29/13	06/25/13	$1,318.66	$1,515.14	$-196.48
ORACLE CORPORATION	ORCL	68389X105	50	02/20/13	06/25/13	$1,498.47	$1,775.75	$-277.28
CANADIAN NATURAL RESOURCES LTI	CNQ CN	2171573#1	18	02/20/13	06/25/13	$507.85	$549.67	$-41.82
ORACLE CORPORATION	ORCL	68389X105	14	11/30/12	06/25/13	$419.57	$447.23	$-27.66
CANADIAN NATURAL RESOURCES LTI	CNQ CN	2171573#1	44	12/07/12	06/25/13	$1,241.41	$1,248.68	$-7 27
CANADIAN NATURAL RESOURCES LTI	CNQ CN	2171573#1	16	05/29/13	06/25/13	$451.42	$484.12	$-32.70
LINCOLN NATIONAL CORP	LNC	534187109	11	02/20/13	06/26/13	$396.91	$338.64	$58.27
LINCOLN NATIONAL CORP	LNC	534187109	9	05/29/13	06/26/13	$324 74	$314.96	$9.78

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
LINCOLN NATIONAL CORP	LNC	534187109	27	12/07/12	06/26/13	$974.23	$697.55	$276 68
LINCOLN NATIONAL CORP	LNC	534187109	120	10/11/12	06/26/13	$4,329.93	$2,929.73	$1,400.20
GOLD FIELDS LTD SP ADR	GFI	38059T106	346	08/21/12	06/27/13	$1,638.87	$4,053.82	$-2,414.95
GOLD FIELDS LTD SP ADR	GFI	38059T106	104	05/29/13	06/27/13	$492.61	$603.72	$-111.11
GOLD FIELDS LTD SP ADR	GFI	38059T106	116	02/20/13	06/27/13	$549.44	$1,056.86	$-507.42
GOLD FIELDS LTD SP ADR	GFI	38059T106	186	10/11/12	06/27/13	$881.01	$1,984.19	$-1,103.18
GOLD FIELDS LTD SP ADR	GFI	38059T106	301	12/07/12	06/27/13	$1,425.72	$2,938.72	$-1,513.00
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	2645	03/21/13	06/28/13	$338.62	$406.64	$-68 02
TIANNENG POWER INTL LTD	819 HK	B1XDJC7#6	943	02/21/13	06/28/13	$381.24	$706.59	$-325.35
TIANNENG POWER INTL LTD	819 HK	B1XDJC7#6	8006	10/16/12	06/28/13	$3,236.70	$5,137.57	$-1,900 87
TIANNENG POWER INTL LTD	819 HK	B1XDJC7#6	1997	10/16/12	07/02/13	$757.98	$1,281.51	$-523.53
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	83	03/21/13	07/02/13	$10.52	$12.76	$-2.24
TIANNENG POWER INTL LTD	819 HK	B1XDJC7#6	841	09/17/12	07/02/13	$319.21	$684 61	$-365.40
TONGDA GROUP HLDGS LTD	698 HK	6410324#1	8813	03/21/13	07/02/13	$517.96	$611.60	$-93.64
TONGDA GROUP HLDGS LTD	698 HK	6410324#1	3711	05/30/13	07/02/13	$218.11	$268.78	$-50.67
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	1058	02/20/13	07/02/13	$134.05	$183.24	$-49.19
TIANNENG POWER INTL LTD	819 HK	B1XDJC7#6	260	12/03/12	07/02/13	$98.69	$164.41	$-65.72
TIANNENG POWER INTL LTD	819 HK	B1XDJC7#6	2575	12/10/12	07/02/13	$977.36	$1,587.92	$-610.56
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	1272	03/21/13	07/03/13	$159.55	$195.56	$-36 01
TONGDA GROUP HLDGS LTD	698 HK	6410324#1	13323	03/21/13	07/03/13	$758.97	$924.59	$-165.62
VALOR CO LTD	9956 JP	6926553#8	166	12/10/12	07/05/13	$2,918 68	$2,828.79	$89.89
VALOR CO LTD	9956 JP	6926553#8	72	02/21/13	07/05/13	$1,265.93	$1,226.46	$39.47
VALOR CO LTD	9956 JP	6926553#8	138	12/03/12	07/05/13	$2,426.37	$2,148.56	$277.81
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	1681	03/21/13	07/05/13	$215.55	$258.44	$-42.89
LAWSON INC	2651 JP	6266914#3	154	08/30/12	07/05/13	$11,843.54	$11,548.27	$295.27
LAWSON INC	2651 JP	6266914#3	11	05/30/13	07/05/13	$845.97	$823.72	$22.25
LAWSON INC	2651 JP	6266914#3	36	12/10/12	07/05/13	$2,768.61	$2,505.72	$262.89
LAWSON INC	2651 JP	6266914#3	1	12/03/12	07/05/13	$76.91	$69.30	$7 61

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
TONGDA GROUP HLDGS LTD	698 HK	6410324#1	19985	03/21/13	07/05/13	$1,121.12	$1,386.92	$-265.80
VALOR CO LTD	9956 JP	6926553#8	12	12/10/12	07/05/13	$214.70	$204.49	$10.21
VALOR CO LTD	9956 JP	6926553#8	77	05/30/13	07/05/13	$1,377.66	$1,376.52	$1.14
VALOR CO LTD	9956 JP	6926553#8	234	04/09/13	07/05/13	$4,186.66	$4,345.94	$-159.28
LAWSON INC	2651 JP	6266914#3	14	02/21/13	07/05/13	$1,076.69	$1,105.31	$-28.62
CHINA CITIC BANK CORP LTD	998 HK	B1W0JF2#3	4117	04/02/13	07/05/13	$1,794.75	$2,400.63	$-605.88
CHINA CITIC BANK CORP LTD	998 HK	B1W0JF2#3	2600	05/30/13	07/05/13	$1,133.44	$1,411.70	$-278.26
CHINA MINZHONG FOOD CORP LTD	MINZ SP	B51VJ76#4	2	03/22/13	07/05/13	$1.64	$1.91	$-0.27
CHINA MINZHONG FOOD CORP LTD	MINZ SP	B51VJ76#4	188	03/21/13	07/05/13	$154.63	$176.45	$-21.82
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	1810	03/21/13	07/05/13	$228.89	$278.27	$-49.38
CHINA MINSHENG BKG CORP LTD	1988 HK	B57JY24#1	16967	06/04/13	07/05/13	$15,814.32	$21,062.82	$-5,248.50
CHINA MINZHONG FOOD CORP LTD	MINZ SP	B51VJ76#4	35	05/30/13	07/05/13	$28.79	$29.54	$-0.75
VALOR CO LTD	9956 JP	6926553#8	389	12/03/12	07/08/13	$6,820.65	$6,056.46	$764.19
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	2283	03/21/13	07/08/13	$288.12	$350.99	$-62.87
ELECTRICITE DE FRANCE		BBFKKN4#5	776	05/29/13	07/08/13		$0.00	$0.00
TONGDA GROUP HLDGS LTD	698 HK	6410324#1	9726	03/21/13	07/08/13	$555.68	$674.96	$-119.28
VALOR CO LTD	9956 JP	6926553#8	344	12/03/12	07/09/13	$5,963.47	$5,355.85	$607.62
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	1272	03/21/13	07/09/13	$161.95	$195.56	$-33.61
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	1220	03/21/13	07/10/13	$157.25	$187.56	$-30.31
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	117	03/22/13	07/10/13	$15.08	$17.89	$-2.81
TONGDA GROUP HLDGS LTD	698 HK	6410324#1	6662	03/21/13	07/10/13	$373.98	$462.33	$-88.35
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	1482	03/22/13	07/11/13	$196.77	$226.54	$-29.77
TONGDA GROUP HLDGS LTD	698 HK	6410324#1	8345	03/21/13	07/11/13	$482.16	$579.12	$-96.96
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	2039	03/22/13	07/12/13	$271.52	$311.69	$-40.17
TENET HEALTHCARE CORP	THC	88033G407	167	01/16/13	07/12/13	$7,264.66	$5,940.34	$1,324.32
AGRIUM INC	AGU	008916108	9	05/29/13	07/12/13	$824.77	$808.97	$15.80
TENET HEALTHCARE CORP	THC	88033G407	10	05/29/13	07/12/13	$435.01	$462.25	$-27.24
ROGERS COMMUNICATIONS INC CL B	RCI	775109200	30	12/07/12	07/12/13	$1,212.55	$1,363.95	$-151.40

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ROGERS COMMUNICATIONS INC CL B	RCI	775109200	9	05/29/13	07/12/13	$363.76	$412.43	$-48.67
ROGERS COMMUNICATIONS INC CL B	RCI	775109200	11	02/20/13	07/12/13	$444.60	$526.19	$-81.59
QUALCOMM INC	QCOM	747525103	9	11/30/12	07/12/13	$551.98	$572.49	$-20.51
QUALCOMM INC	QCOM	747525103	13	05/29/13	07/12/13	$797.30	$829.86	$-32.56
QUALCOMM INC	QCOM	747525103	125	12/07/12	07/12/13	$7,666.35	$8,038.13	$-371.78
TONGDA GROUP HLDGS LTD	698 HK	6410324#1	7996	03/21/13	07/12/13	$472.77	$554.91	$-82.14
TENET HEALTHCARE CORP	THC	88033G407	15	02/20/13	07/12/13	$652.51	$609.38	$43.13
AGRIUM INC	AGU	008916108	10	02/20/13	07/12/13	$916.42	$1,087.15	$-170.73
AGRIUM INC	AGU	008916108	4	11/30/12	07/12/13	$366 57	$405.82	$-39.25
AGRIUM INC	AGU	008916108	28	12/07/12	07/12/13	$2,565.96	$2,839.57	$-273.61
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	4489	03/22/13	07/15/13	$600.02	$686.20	$-86.18
DOVER CORP	DOV	260003108	9	05/29/13	07/23/13	$777.53	$703.40	$74.13
DOVER CORP	DOV	260003108	38	04/24/13	07/23/13	$3,282.88	$2,654.89	$627.99
QUEBECOR INC	QBR/B CN	2715777#9	3	02/20/13	07/23/13	$141.08	$130.53	$10.55
GREENBRIER COS INC	GBX	393657101	16	05/29/13	07/23/13	$371.23	$390.64	$-19.41
GREENBRIER COS INC	GBX	393657101	17	02/20/13	07/23/13	$394.43	$385.65	$8.78
GREENBRIER COS INC	GBX	393657101	47	12/07/12	07/23/13	$1,090.49	$919.56	$170.93
GREENBRIER COS INC	GBX	393657101	203	10/11/12	07/23/13	$4,710.01	$3,159.70	$1,550.31
HONEYWELL INTL INC	HON	438516106	16	05/29/13	07/23/13	$1,333.84	$1,265.37	$68.47
HONEYWELL INTL INC	HON	438516106	36	05/06/13	07/23/13	$3,001.15	$2,738.93	$262.22
PROCTER & GAMBLE CO	PG	742718109	53	05/29/13	07/23/13	$4,291.39	$4,252.27	$39.12
PROCTER & GAMBLE CO	PG	742718109	111	04/18/13	07/23/13	$8,987.62	$8,848.93	$138.69
TJX COS INC NEW	TJX	872540109	31	05/29/13	07/23/13	$1,609.18	$1,562.40	$46.78
TJX COS INC NEW	TJX	872540109	35	02/20/13	07/23/13	$1,816.82	$1,579.03	$237.79
TJX COS INC NEW	TJX	872540109	96	12/07/12	07/23/13	$4,983.27	$4,188.96	$794.31
TIMKEN CO	TKR	887389104	7	02/20/13	07/23/13	$424.88	$402.75	$22.13
TIMKEN CO	TKR	887389104	6	05/29/13	07/23/13	$364.18	$337.23	$26.95
TIMKEN CO	TKR	887389104	17	12/07/12	07/23/13	$1,031.85	$779.87	$251.98

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
UNION PACIFIC CORP	UNP	907818108	15	05/29/13	07/23/13	$2,427.14	$2,343.38		$83.76
UNION PACIFIC CORP	UNP	907818108	17	02/20/13	07/23/13	$2,750.76	$2,343.03		$407.73
UNION PACIFIC CORP	UNP	907818108	46	12/07/12	07/23/13	$7,443.25	$5,690.43		$1,752.82
UNION PACIFIC CORP	UNP	907818108	3	11/30/12	07/23/13	$485.43	$367.73		$117.70
AMPHENOL CORP NEW CL A	APH	032095101	4	05/29/13	07/23/13	$311.78	$312.50		$-0.72
APACHE CORP	APA	037411105	21	05/29/13	07/23/13	$1,757.02	$1,716.02		$41.00
APACHE CORP	APA	037411105	32	04/01/13	07/23/13	$2,677.36	$2,449.25		$228.11
APPLE COMPUTER INC	AAPL	037833100	5	11/30/12	07/23/13	$2,110.99	$2,930.04		$-819 05
APPLE COMPUTER INC	AAPL	037833100	7	07/26/12	07/23/13	$2,955.39	$4,030.91		$-1,075.52
APPLE COMPUTER INC	AAPL	037833100	1	07/25/12	07/23/13	$422.20	$572.60		$-150.40
BAXTER INTERNATIONAL INC	BAX	071813109	14	05/29/13	07/23/13	$1,041 07	$1,008.35		$32.72
BAXTER INTERNATIONAL INC	BAX	071813109	17	02/20/13	07/23/13	$1,264.15	$1,159.83		$104.32
BAXTER INTERNATIONAL INC	BAX	071813109	3	11/30/12	07/23/13	$223.09	$198.02		$25.07
BAXTER INTERNATIONAL INC	BAX	071813109	45	12/07/12	07/23/13	$3,346 29	$2,909.93		$436.36
BAXTER INTERNATIONAL INC	BAX	071813109	80	08/08/12	07/23/13	$5,948.96	$4,688.15		$1,260.81
BROADCOM CORP	BRCM	111320107	36	05/29/13	07/23/13	$1,145.36	$1,293.30		$-147.94
BROADCOM CORP	BRCM	111320107	354	05/01/13	07/23/13	$11,262.71	$12,556.66	*	$-1,293.95
BROADCOM CORP	BRCM	111320107	23	02/20/13	07/23/13	$731.76	$803.51	*	$-71.75
BROADCOM CORP	BRCM	111320107	64	12/07/12	07/23/13	$2,036.19	$2,130.88	*	$-94.69
BROADCOM CORP	BRCM	111320107	276	11/30/12	07/23/13	$8,781.09	$8,897.38	*	$-116.29
CELGENE CORP COM	CELG	151020104	10	05/29/13	07/23/13	$1,359.69	$1,239.95		$119.74
CELGENE CORP COM	CELG	151020104	15	02/20/13	07/23/13	$2,039.53	$1,529.93		$509.60
BETSSON AB NPV	BETSB SS	B9B3FB6#2	104	03/21/13	07/24/13	$2,769.54	$3,374.33		$-604.79
LASERTEC CORP	6920 JP	6506267#5	78	05/30/13	07/24/13	$909.37	$979.65		$-70.28
QUEBECOR INC	QBR/B CN	2715777#9	37	05/29/13	07/24/13	$1,733.61	$1,603.89		$129.72
QUEBECOR INC	QBR/B CN	2715777#9	38	02/20/13	07/24/13	$1,780.46	$1,653.36		$127.10
LASERTEC CORP	6920 JP	6506267#5	121	12/10/12	07/24/13	$1,410.69	$1,066.02		$344.67
ARCADIS NV	ARCAD NA	5769209@3	127	12/07/12	07/24/13	$3,374.83	$2,907.03		$467.80

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
QUEBECOR INC	QBR/B CN	2715777#9	100	12/07/12	07/24/13	$4,685.42	$3,872.12	$813.30
ARCADIS NV	ARCAD NA	5769209@3	41	05/29/13	07/24/13	$1,089.51	$1,146.08	$-56.57
ARCADIS NV	ARCAD NA	5769209@3	46	02/20/13	07/24/13	$1,222.38	$1,173.29	$49 09
ARCADIS NV	ARCAD NA	5769209@3	32	11/30/12	07/24/13	$850.35	$739.78	$110.57
LASERTEC CORP	6920 JP	6506267#5	123	12/10/12	07/25/13	$1,460.72	$1,083.65	$377.07
LASERTEC CORP	6920 JP	6506267#5	77	12/04/12	07/25/13	$914.43	$669.30	$245.13
BETSSON AB NPV	BETSB SS	B9B3FB6#2	49	03/21/13	07/25/13	$1,301.22	$1,589.83	$-288.61
LASERTEC CORP	6920 JP	6506267#5	132	12/04/12	07/26/13	$1,567.42	$1,147.37	$420.05
BETSSON AB NPV	BETSB SS	B9B3FB6#2	125	03/21/13	07/26/13	$3,288.44	$4,055.67	$-767.23
LASERTEC CORP	6920 JP	6506267#5	15	12/03/12	07/29/13	$165.26	$127.50	$37.76
CHICAGO BRIDGE & IRON-NY SHR	CBI	167250109	34	08/28/12	07/29/13	$2,017.43	$1,250.84	$766.59
LASERTEC CORP	6920 JP	6506267#5	159	12/04/12	07/29/13	$1,751.75	$1,382.05	$369.70
CHICAGO BRIDGE & IRON-NY SHR	CBI	167250109	63	12/07/12	07/29/13	$3,738.17	$2,586.65	$1,151.52
BETSSON AB NPV	BETSB SS	B9B3FB6#2	44	03/21/13	07/29/13	$1,156.86	$1,427.60	$-270.74
BETSSON AB NPV	BETSB SS	B9B3FB6#2	16	05/29/13	07/29/13	$420.67	$402.83	$17.84
CHICAGO BRIDGE & IRON-NY SHR	CBI	167250109	20	05/29/13	07/29/13	$1,186.72	$1,262.50	$-75.78
CHICAGO BRIDGE & IRON-NY SHR	CBI	167250109	23	02/20/13	07/29/13	$1,364.73	$1,261.30	$103.43
LASERTEC CORP	6920 JP	6506267#5	304	12/03/12	07/30/13	$3,447.60	$2,584.00	$863.60
LASERTEC CORP	6920 JP	6506267#5	203	12/03/12	07/31/13	$2,257.66	$1,725.49	$532.17
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	562	05/06/13	08/01/13	$3,852.05	$4,006.39	$-154.34
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	350	05/29/13	08/01/13	$2,398.96	$2,538 87	$-139.91
LASERTEC CORP	6920 JP	6506267#5	81	12/03/12	08/01/13	$859.48	$688.50	$170.98
LASERTEC CORP	6920 JP	6506267#5	29	02/21/13	08/02/13	$330.81	$243.73	$87.08
LASERTEC CORP	6920 JP	6506267#5	37	12/03/12	08/02/13	$422 07	$314.50	$107.57
PATTERSON-UTI ENERGY INC	PTEN	703481101	15	02/20/13	08/02/13	$301.79	$367.13	$-65.34
PATTERSON-UTI ENERGY INC	PTEN	703481101	13	05/29/13	08/02/13	$261.56	$281.91	$-20.35
PATTERSON-UTI ENERGY INC	PTEN	703481101	41	12/07/12	08/02/13	$824.91	$746.41	$78.50
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	316	05/06/13	08/02/13	$2,105.38	$2,252.70	$-147.32

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	32	02/20/13	08/02/13	$213.20	$223.84	$-10.64
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	169	03/07/13	08/02/13	$1,125.98	$1,072.47	$53.51
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	188	03/08/13	08/02/13	$1,252.56	$1,193.50	$59.06
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	613	04/08/13	08/02/13	$4,084.16	$4,069.16	$15.00
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	394	04/09/13	08/02/13	$2,625.06	$2,628.61	$-3.55
LASERTEC CORP	6920 JP	6506267#5	57	02/21/13	08/05/13	$672.39	$479.05	$193.34
CYBERAGENT INC	4751 JP	6220501#9	2	03/21/13	08/05/13	$4,541 89	$3,673 08	$868.81
COMPUGROUP MED AG	COP GR	5094536#8	70	05/29/13	08/05/13	$1,428.59	$1,648.94	$-220.35
COMPUGROUP MED AG	COP GR	5094536#8	79	02/20/13	08/05/13	$1,612.27	$1,777.56	$-165.29
COMPUGROUP MED AG	COP GR	5094536#8	130	12/07/12	08/05/13	$2,653.10	$2,466.76	$186.34
COMPUGROUP MED AG	COP GR	5094536#8	86	12/07/12	08/06/13	$1,746.59	$1,631.85	$114.74
TRW AUTOMOTIVE HLDGS CORP	TRW	87264S106	3	02/20/13	08/14/13	$216.03	$183.71	$32.32
TRW AUTOMOTIVE HLDGS CORP	TRW	87264S106	8	12/07/12	08/14/13	$576.09	$414.28	$161.81
TRW AUTOMOTIVE HLDGS CORP	TRW	87264S106	36	10/11/12	08/14/13	$2,592.41	$1,696.77	$895.64
TENNECO AUTOMOTIVE INC	TEN	880349105	7	05/29/13	08/14/13	$349.63	$305.80	$43.83
TENNECO AUTOMOTIVE INC	TEN	880349105	8	02/20/13	08/14/13	$399.57	$306.60	$92.97
TENNECO AUTOMOTIVE INC	TEN	880349105	22	12/07/12	08/14/13	$1,098.82	$719.73	$379.09
TENNECO AUTOMOTIVE INC	TEN	880349105	95	10/11/12	08/14/13	$4,744.92	$2,648.18	$2,096.74
VIRTUSA CORP	VRTU	92827P102	32	05/29/13	08/14/13	$829.85	$823.84	$6.01
VIRTUSA CORP	VRTU	92827P102	66	02/20/13	08/14/13	$1,711.56	$1,454.97	$256.59
VIRTUSA CORP	VRTU	92827P102	71	01/22/13	08/14/13	$1,841.22	$1,296.23	$544 99
VIRTUSA CORP	VRTU	92827P102	97	01/18/13	08/14/13	$2,515.48	$1,713.61	$801.87
VIRTUSA CORP	VRTU	92827P102	19	01/17/13	08/14/13	$492.72	$327.92	$164.80
ACTUANT CORP CL-A	ATU	00508X203	7	05/29/13	08/14/13	$255.30	$238.88	$16.42
ACTUANT CORP CL-A	ATU	00508X203	9	02/20/13	08/14/13	$328.25	$284.54	$43.71
ACTUANT CORP CL-A	ATU	00508X203	23	12/07/12	08/14/13	$838.85	$674.24	$164.61
TRW AUTOMOTIVE HLDGS CORP	TRW	87264S106	3	05/29/13	08/14/13	$216.03	$189.08	$26.95
HUNT J B TRANSPORTATION SERVIC	JBHT	445658107	13	12/07/12	08/14/13	$970.07	$767.85	$202.22

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
HUNT J B TRANSPORTATION SERVIC	JBHT	445658107	5	02/20/13	08/14/13	$373.10	$337.03	$36.07
HUNT J B TRANSPORTATION SERVIC	JBHT	445658107	4	05/29/13	08/14/13	$298.48	$300.82	$-2.34
GNC HLDGS INC	GNC	36191G107	222	01/16/13	08/14/13	$11,826.33	$8,126.24	$3,700.09
GNC HLDGS INC	GNC	36191G107	63	02/20/13	08/14/13	$3,356.12	$2,580.17	$775.95
GNC HLDGS INC	GNC	36191G107	43	05/29/13	08/14/13	$2,290.69	$1,955.86	$334 83
D R HORTON INC	DHI	23331A109	107	12/07/12	08/14/13	$1,936.73	$2,022.84	$-86.11
D R HORTON INC	DHI	23331A109	6	10/18/12	08/14/13	$108.60	$119 10	$-10.50
D R HORTON INC	DHI	23331A109	486	10/11/12	08/14/13	$8,796.74	$9,895.06	$-1,098 32
D R HORTON INC	DHI	23331A109	41	02/20/13	08/14/13	$742.11	$946.80	$-204 69
D R HORTON INC	DHI	23331A109	37	05/29/13	08/14/13	$669.71	$942.95	$-273.24
COOPER TIRE & RUBBER CO	CTB	216831107	359	10/11/12	08/14/13	$11,678.18	$7,077.72	$4,600.46
COOPER TIRE & RUBBER CO	CTB	216831107	84	12/07/12	08/14/13	$2,732.50	$2,117.22	$615.28
COOPER TIRE & RUBBER CO	CTB	216831107	27	05/29/13	08/14/13	$878.30	$693.50	$184 80
COOPER TIRE & RUBBER CO	CTB	216831107	30	02/20/13	08/14/13	$975.89	$787.95	$187.94
ASBURY AUTOMOTIVE GROUP INC	ABG	043436104	136	10/11/12	08/14/13	$6,980.62	$4,037.30	$2,943.32
ASBURY AUTOMOTIVE GROUP INC	ABG	043436104	32	12/07/12	08/14/13	$1,642.50	$1,014.88	$627.62
ANDERSONS INC	ANDE	034164103	120	04/09/13	08/14/13	$8,027.60	$6,434.28	$1,593.32
ASBURY AUTOMOTIVE GROUP INC	ABG	043436104	10	05/29/13	08/14/13	$513.28	$418.05	$95.23
ASBURY AUTOMOTIVE GROUP INC	ABG	043436104	11	02/20/13	08/14/13	$564.61	$384.95	$179.66
ANDERSONS INC	ANDE	034164103	128	04/08/13	08/15/13	$8,499.04	$6,776.17	$1,722 87
ANDERSONS INC	ANDE	034164103	26	02/20/13	08/15/13	$1,726.37	$1,287.13	$439.24
ANDERSONS INC	ANDE	034164103	1	04/09/13	08/15/13	$66.40	$53.62	$12.78
ANDERSONS INC	ANDE	034164103	36	05/29/13	08/15/13	$2,390.35	$1,907.10	$483.25
AAC TECHNOLOGIES HLDGS INC	2018 HK	B85LKS1#3	130	05/30/13	08/16/13	$635.30	$737.81	$-102.51
INTEL CORP	INTC	458140100	1166	06/06/13	08/16/13	$25,738.19	$28,563.27	$-2,825.08
AAC TECHNOLOGIES HLDGS INC	2018 HK	B85LKS1#3	2550	03/01/13	08/16/13	$12,461.68	$10,819.00	$1,642 68
ANDERSONS INC	ANDE	034164103	62	12/07/12	08/19/13	$4,046.28	$2,571.26	$1,475.02
OSRAM LICHT AG	OSR GR	B923935#8	0.8	05/29/13	08/23/13	$30.65	$30.17	$0.48

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
CTS EVENTIM AG	EVD GR	5881857#9	24	06/03/13	08/27/13	$1,054.48	$1,037 27		$17.21
OSRAM LICHT AG	OSR GR	B923935#8	3.4	12/07/12	08/27/13	$141.91	$125.29		$16.62
OSRAM LICHT AG	OSR GR	B923935#8	0.7	11/30/12	08/27/13	$29.22	$25.55		$3.67
OSRAM LICHT AG	OSR GR	B923935#8	1.3	02/20/13	08/27/13	$54.26	$48.13		$6.13
OSRAM LICHT AG	OSR GR	B923935#8	0.3	05/29/13	08/27/13	$12.52	$11.31		$1.21
CTS EVENTIM AG	EVD GR	5881857#9	100	06/03/13	08/28/13	$4,137.24	$4,321.96		$-184.72
CTS EVENTIM AG	EVD GR	5881857#9	31	06/03/13	08/28/13	$1,282.54	$1,329.00		$-46.46
CTS EVENTIM AG	EVD GR	5881857#9	101	06/03/13	08/29/13	$4,259.44	$4,329.96		$-70.52
CTS EVENTIM AG	EVD GR	5881857#9	105	06/04/13	08/30/13	$4,406.94	$4,478.70		$-71.76
CTS EVENTIM AG	EVD GR	5881857#9	84	06/03/13	08/30/13	$3,525.55	$3,601.16		$-75.61
FACEBOOK INC	FB	30303M102	152	01/04/13	09/12/13	$6,830.99	$4,342.84		$2,488.15
FACEBOOK INC	FB	30303M102	34	02/20/13	09/12/13	$1,527.98	$983.79		$544.19
APOLLO INVT CORP SH BEN INT	AINV	03761U106	1439	06/12/13	09/12/13	$11,982.78	$11,452.57		$530.21
WESTAR ENERGY INC	WR	95709T100	22	12/07/12	09/12/13	$661.64	$625.13		$36.51
WESTAR ENERGY INC	WR	95709T100	8	02/20/13	09/12/13	$240.60	$251.88		$-11.28
WESTAR ENERGY INC	WR	95709T100	8	05/29/13	09/12/13	$240 60	$256.92		$-16.32
TWO HBRS INVT CORP	TWO	90187B101	65	05/29/13	09/12/13	$626.32	$705.63	*	$-79.31
TWO HBRS INVT CORP	TWO	90187B101	199	12/07/12	09/12/13	$1,917.49	$2,189.01	*	$-271.52
TWO HBRS INVT CORP	TWO	90187B101	73	02/20/13	09/12/13	$703.40	$884.50	*	$-181.10
SM ENERGY CO	SM	78454L100	73	12/07/12	09/12/13	$5,501.81	$3,639.42		$1,862.39
SM ENERGY CO	SM	78454L100	168	11/30/12	09/12/13	$12,661.71	$8,399.50		$4,262.21
SM ENERGY CO	SM	78454L100	27	02/20/13	09/12/13	$2,034.92	$1,612.04		$422.88
SM ENERGY CO	SM	78454L100	24	05/29/13	09/12/13	$1,808.81	$1,499.16		$309.65
OMNICOM GROUP INC	OMC	681919106	528	04/18/13	09/12/13	$34,121.77	$30,835 15		$3,286.62
OMNICOM GROUP INC	OMC	681919106	37	05/29/13	09/12/13	$2,391.11	$2,345.99		$45.12
HERCULES TECHNOLOGY GROWTH C	HTGC	427096508	20	05/29/13	09/12/13	$296.20	$269.10		$27.10
HERCULES TECHNOLOGY GROWTH C	HTGC	427096508	22	02/20/13	09/12/13	$325.81	$273.13		$52.68
HERCULES TECHNOLOGY GROWTH C	HTGC	427096508	58	12/07/12	09/12/13	$858.96	$615.09		$243.87

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MICROS SYS INC	MCRS	594901100	4	02/20/13	09/12/13	$199.94	$184.42	$15.52
MICROS SYS INC	MCRS	594901100	5	05/29/13	09/12/13	$249.92	$212.33	$37.59
MICROS SYS INC	MCRS	594901100	13	12/07/12	09/12/13	$649.80	$546.10	$103 70
ADVANCED INFO SVC PUB CO LTD	ADVANC/F TB	6412591#3	141	05/30/13	09/13/13	$1,144.64	$1,316.79	$-172.15
APOLLO INVT CORP SH BEN INT	AINV	03761U106	247	06/12/13	09/13/13	$2,055.03	$1,965.80	$89.23
ADVANCED INFO SVC PUB CO LTD	ADVANC/F TB	6412591#3	432	12/11/12	09/13/13	$3,506.98	$3,039.29	$467.69
ADVANCED INFO SVC PUB CO LTD	ADVANC/F TB	6412591#3	158	02/21/13	09/13/13	$1,282.64	$1,082.28	$200.36
UNIFIRST CORP	UNF	904708104	3	02/20/13	09/23/13	$307.95	$255.74	$52.21
UNIFIRST CORP	UNF	904708104	2	05/29/13	09/23/13	$205.30	$197 75	$7.55
UNUMPROVIDENT CORP	UNM	91529Y106	33	12/07/12	09/23/13	$991.47	$684.58	$306.89
UNUMPROVIDENT CORP	UNM	91529Y106	11	05/29/13	09/23/13	$330.49	$303.33	$27.16
UNUMPROVIDENT CORP	UNM	91529Y106	12	02/20/13	09/23/13	$360.53	$297.06	$63.47
UNIFIRST CORP	UNF	904708104	9	12/07/12	09/23/13	$923.86	$661.01	$262.85
NU SKIN ASIA INC CL A	NUS	67018T105	7	05/29/13	09/23/13	$643.24	$420.18	$223.06
NU SKIN ASIA INC CL A	NUS	67018T105	22	12/07/12	09/23/13	$2,021.60	$991.87	$1,029.73
NU SKIN ASIA INC CL A	NUS	67018T105	18	10/11/12	09/23/13	$1,654.03	$755.86	$898.17
UNIFIRST CORP	UNF	904708104	36	10/11/12	09/23/13	$3,695.46	$2,422.71	$1,272.75
SYMRISE AG	SY1 GR	B1JB4K8#3	48	09/18/13	10/08/13	$2,065.36	$2,142.98	$-77.62
SYMRISE AG	SY1 GR	B1JB4K8#3	119	09/18/13	10/09/13	$5,023.25	$5,312.82	$-289.57
LAS VEGAS SANDS CORP	LVS	517834107	35	09/18/13	10/16/13	$2,441.18	$2,236.89	$204.29
TORNIER NV	TRNX	N87237108	222	08/15/13	10/21/13	$4,604.98	$4,353.49	$251.49
TORNIER NV	TRNX	N87237108	398	08/14/13	10/21/13	$8,255.77	$7,776.92	$478.85
GILEAD SCIENCES INC	GILD	375558103	32	05/29/13	10/21/13	$2,155.81	$1,789.60	$366.21
GILEAD SCIENCES INC	GILD	375558103	47	02/20/13	10/21/13	$3,166.35	$2,002.44	$1,163.91
GILEAD SCIENCES INC	GILD	375558103	280	01/16/13	10/21/13	$18,863.36	$10,829.89	$8,033.47
HESS CORP	HES	42809H107	23	02/20/13	10/21/13	$1,933.71	$1,566.88	$366.83
HESS CORP	HES	42809H107	20	05/29/13	10/21/13	$1,681.49	$1,357.10	$324.39
HESS CORP	HES	42809H107	54	12/07/12	10/21/13	$4,540.02	$2,660.31	$1,879.71

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
YAHOO INC	YHOO	984332106	32	05/29/13	10/21/13	$1,087.02	$828.32	$258.70
STANLEY BLACK & DECKER INC	SWK	854502101	179	03/07/13	10/21/13	$13,890.92	$14,051.46	$-160.54
STANLEY BLACK & DECKER INC	SWK	854502101	9	05/29/13	10/21/13	$698.43	$716.09	$-17.66
STAGE STORES INC NEW	SSI	85254C305	9	05/29/13	10/21/13	$182.93	$211.64	$-28.71
STAGE STORES INC NEW	SSI	85254C305	10	02/20/13	10/21/13	$203.26	$245.75	$-42.49
STAGE STORES INC NEW	SSI	85254C305	27	12/07/12	10/21/13	$548.80	$689 72	$-140.92
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	388	03/07/13	10/21/13	$2,315.82	$2,462.25	$-146.43
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	142	03/08/13	10/21/13	$847.54	$895.96	$-48 42
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	132	03/08/13	10/21/13	$801.22	$832.87	$-31.65
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	111	03/11/13	10/21/13	$673.76	$699.23	$-25.47
METLIFE INC	MET	59156R108	32	02/20/13	10/21/13	$1,582.61	$1,184 80	$397.81
METLIFE INC	MET	59156R108	25	12/07/12	10/21/13	$1,236.41	$828.13	$408 28
METLIFE INC	MET	59156R108	28	05/29/13	10/21/13	$1,384 78	$1,197.70	$187.08
YAHOO INC	YHOO	984332106	617	03/07/13	10/21/13	$20,959.19	$14,190.88	$6,768.31
SPREADTRUM COMMUNICATIONS IN(	SPRD	849415203	11	06/03/13	10/21/13	$335.68	$215.17	$120.51
SPREADTRUM COMMUNICATIONS IN(	SPRD	849415203	246	06/03/13	10/21/13	$7,505 33	$4,811.96	$2,693.37
RED HAT INC	RHT	756577102	198	07/23/13	10/21/13	$8,503.40	$9,700.26	$-1,196.86
SOFTBANK CORP	9984 JP	6770620#9	170	04/17/13	10/22/13	$12,966.71	$7,673.94	$5,292.77
SOFTBANK CORP	9984 JP	6770620#9	47	05/30/13	10/22/13	$3,584.91	$2,497.30	$1,087.61
KAKAKU COM INC	2371 JP	6689533#7	242	03/01/13	10/22/13	$5,175.13	$2,368.50	$2,806.63
KAKAKU COM INC	2371 JP	6689533#7	770	04/09/13	10/22/13	$16,466.31	$8,881.43	$7,584.88
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	84	12/07/12	10/22/13	$493.32	$512.80	$-19.48
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	598	03/11/13	10/22/13	$3,511.93	$3,767.04	$-255.11
SEKISUI HOUSE JPY ORD		6793906#6	1712	07/05/13	10/22/13	$23,013.80	$25,270.19	$-2,256.39
ACTAVIS PLC	ACT	G0083B108	0.64	05/07/13	10/22/13	$92.91	$59.76	$33.15
PRADA SPA	1913 HK	B4PFFW4#7	70	12/03/12	10/22/13	$694.38	$596.60	$97.78
PRADA SPA	1913 HK	B4PFFW4#7	386	12/10/12	10/22/13	$3,829.02	$3,482.40	$346.62
PRADA SPA	1913 HK	B4PFFW4#7	126	05/30/13	10/22/13	$1,249.89	$1,208.10	$41.79

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
PRADA SPA	1913 HK	B4PFFW4#7	141	02/21/13	10/22/13	$1,398.68	$1,368.64	$30.04
TGS-NOPEC GEOPHYSICAL COMPANY	TGS NO	B15SLC4#4	26	12/07/12	10/22/13	$676.18	$811.17	$-134.99
TGS-NOPEC GEOPHYSICAL COMPANY	TGS NO	B15SLC4#4	8	05/29/13	10/22/13	$208.05	$286.67	$-78.62
TGS-NOPEC GEOPHYSICAL COMPANY	TGS NO	B15SLC4#4	10	02/20/13	10/22/13	$260.07	$391.21	$-131.14
SHIP HEALTHCARE HOLDINGS INC	3360 JP	B05MTR0#2	29	02/21/13	10/22/13	$1,232.56	$800.66	$431.90
SHIP HEALTHCARE HOLDINGS INC	3360 JP	B05MTR0#2	81	12/10/12	10/22/13	$3,442.67	$2,611.44	$831.23
SHIP HEALTHCARE HOLDINGS INC	3360 JP	B05MTR0#2	26	05/30/13	10/22/13	$1,105.05	$932 64	$172.41
SPREADTRUM COMMUNICATIONS IN(	SPRD	849415203	392	06/03/13	10/22/13	$11,962.53	$7,667.83	$4,294.70
SPREADTRUM COMMUNICATIONS IN(	SPRD	849415203	79	06/03/13	10/23/13	$2,406.03	$1,545.30	$860.73
CAMERON INTL CORP	CAM	13342B105	10	05/29/13	10/24/13	$533.93	$631.55	$-97.62
CAMERON INTL CORP	CAM	13342B105	216	03/14/13	10/24/13	$11,532.88	$14,309.27	$-2,776.39
SPREADTRUM COMMUNICATIONS IN(	SPRD	849415203	210	06/03/13	10/24/13	$6,390.13	$4,107.77	$2,282.36
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	19	12/07/12	10/24/13	$3,370.99	$3,622.83	$-251 84
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	8	02/20/13	10/24/13	$1,419.37	$1,604.04	$-184.67
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	7	05/29/13	10/24/13	$1,241.94	$1,443.37	$-201.43
CARMAX INC	KMX	143130102	6	05/29/13	10/24/13	$287.40	$279 69	$7.71
CARMAX INC	KMX	143130102	134	04/18/13	10/24/13	$6,418.60	$5,546.31	$872.29
HUBBELL INC CL B	HUB B	443510201	3	05/29/13	10/24/13	$321.86	$296.78	$25.08
HUBBELL INC CL B	HUB B	443510201	3	02/20/13	10/24/13	$321.86	$284.63	$37.23
HUBBELL INC CL B	HUB B	443510201	8	12/07/12	10/24/13	$858.30	$664.20	$194.10
UNITED BUSINESS MEDIA LTD	UBM LN	B2R84W0#1	362	09/16/13	10/25/13	$3,965.19	$4,269.28	$-304.09
UNITED BUSINESS MEDIA LTD	UBM LN	B2R84W0#1	344	09/13/13	10/25/13	$3,768.02	$4,082.68	$-314.66
RHJ INTL	RHJI BB	B06S4F0#1	12	09/18/13	10/25/13	$63.66	$61.74	$1.92
RHJ INTL	RHJI BB	B06S4F0#1	7	09/18/13	10/28/13	$37.49	$36.01	$1.48
LAS VEGAS SANDS CORP	LVS	517834107	43	09/18/13	10/28/13	$3,048.84	$2,748 18	$300.66
LAS VEGAS SANDS CORP	LVS	517834107	17	09/18/13	10/29/13	$1,204.42	$1,086.49	$117.93
REGENERON PHARMACEUTICALS IN(	REGN	75886F107	5	09/18/13	10/29/13	$1,499.56	$1,492.15	$7.41
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	18	09/18/13	10/29/13	$2,002.22	$1,853.22	$149.00

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
RHJ INTL	RHJI BB	B06S4F0#1	3	09/18/13	10/29/13	$16.06	$15.43	$0.63
RHJ INTL	RHJI BB	B06S4F0#1	8	09/18/13	10/30/13	$42.39	$41.16	$1.23
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	7	09/18/13	10/30/13	$761.39	$720.70	$40.69
ROCHE HLDGS AG-GENUSSCHEIN	ROG VX	7110388#9	4	09/18/13	10/31/13	$1,098.34	$1,023.54	$74.80
RHJ INTL	RHJI BB	B06S4F0#1	8	09/18/13	10/31/13	$41.59	$41.16	$0 43
RHJ INTL	RHJI BB	B06S4F0#1	2	09/18/13	11/01/13	$10.41	$10.29	$0.12
UNITED RENTALS INC	URI	911363109	17	02/20/13	11/11/13	$1,101.77	$949.88	$151.89
UNITED RENTALS INC	URI	911363109	168	02/28/13	11/11/13	$10,888.04	$9,004.15	$1,883.89
WINDSTREAM HLDGS INC	WIN	97382A101	971	08/02/13	11/11/13	$7,790.97	$8,245.64	* $-454.67
FREESCALE SEMICONDUCTOR HOLDI	FSL	G3727Q101	251	09/23/13	11/11/13	$3,703.11	$4,212.61	$-509.50
EMBRAER S A	ERJ	29082A107	84	12/07/12	11/11/13	$2,583.75	$2,149.14	$434.61
OIL CO LUKOIL SPONSORED ADR	LUKO Y	677862104	9	02/20/13	11/11/13	$566 72	$593.24	$-26.52
OIL CO LUKOIL SPONSORED ADR	LUKO Y	677862104	24	12/07/12	11/11/13	$1,511.26	$1,549.68	$-38.42
OIL CO LUKOIL SPONSORED ADR	LUKO Y	677862104	8	05/29/13	11/11/13	$503.75	$498.92	$4.83
OIL CO LUKOIL SPONSORED ADR	LUKO Y	677862104	26	09/13/13	11/11/13	$1,637.19	$1,597.18	$40.01
EMBRAER S A	ERJ	29082A107	33	02/20/13	11/11/13	$1,015.05	$1,099.40	$-84.35
RHJ INTL	RHJI BB	B06S4F0#1	10	09/18/13	11/11/13	$51.17	$51.45	$-0.28
UNITED RENTALS INC	URI	911363109	27	05/29/13	11/11/13	$1,749.86	$1,527.26	$222.60
EMBRAER S A	ERJ	29082A107	29	05/29/13	11/11/13	$892.01	$1,048.79	$-156.78
OIL CO LUKOIL SPONSORED ADR	LUKO Y	677862104	50	09/13/13	11/12/13	$3,156.69	$3,071.50	$85.19
JAPAN TOBACCO INC	2914 JP	6474535#2	89	12/10/12	11/12/13	$3,131.59	$2,613.29	$518.30
JAPAN TOBACCO INC	2914 JP	6474535#2	382	12/03/12	11/12/13	$13,441.23	$11,379.53	$2,061.70
JAPAN TOBACCO INC	2914 JP	6474535#2	32	02/21/13	11/12/13	$1,125.97	$992.18	$133.79
JAPAN TOBACCO INC	2914 JP	6474535#2	29	05/30/13	11/12/13	$1,020.41	$1,031.63	$-11.22
NOVO NORDISK AS	NOVOB DC	7077524#5	51	03/15/13	11/12/13	$8,621.96	$8,945.25	$-323.29
FREESCALE SEMICONDUCTOR HOLDI	FSL	G3727Q101	122	09/23/13	11/12/13	$1,809.23	$2,047.56	$-238.33
FRANSHION PROPERTIES	817 HK	B23TGR6#3	9690	09/13/13	11/12/13	$3,168.38	$3,424.34	$-255.96
FRANSHION PROPERTIES	817 HK	B23TGR6#3	721	12/10/12	11/12/13	$235.75	$261.68	$-25.93

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FRANSHION PROPERTIES	817 HK	B23TGR6#3	240	05/30/13	11/12/13	$78.47	$89.40	$-10.93
ELECTROLUX AB	ELUXB SS	B1KKBX6#7	321	10/22/13	11/12/13	$7,768.33	$8,681.53	$-913.20
ELECTROLUX AB	ELUXB SS	B1KKBX6#7	659	10/22/13	11/12/13	$16,008.15	$17,822.83	$-1,814.68
GRIFOLS SA	GRF SM	B01SPF2#1	18	11/30/12	11/12/13	$777.55	$578.67	$198.88
GRIFOLS SA	GRF SM	B01SPF2#1	102	12/07/12	11/12/13	$4,406.11	$3,312.58	$1,093.53
GRIFOLS SA	GRF SM	B01SPF2#1	38	02/20/13	11/12/13	$1,641.49	$1,385.38	$256.11
GRIFOLS SA	GRF SM	B01SPF2#1	33	05/29/13	11/12/13	$1,425.51	$1,246.71	$178.80
NOVO NORDISK AS	NOVOB DC	7077524#5	2	05/29/13	11/12/13	$338.12	$334.87	$3.25
FRANSHION PROPERTIES	817 HK	B23TGR6#3	77	12/03/12	11/13/13	$24.60	$26.92	$-2.32
FRANSHION PROPERTIES	817 HK	B23TGR6#3	9790	09/13/13	11/13/13	$3,127.72	$3,459.67	$-331.95
ELECTROLUX AB	ELUXB SS	B1KKBX6#7	339	10/22/13	11/13/13	$8,119.43	$9,168.34	$-1,048.91
FRANSHION PROPERTIES	817 HK	B23TGR6#3	264	02/21/13	11/13/13	$84.34	$87.73	$-3.39
ALEXION PHARMACEUTICALS INC	ALXN	015351109	10	11/01/13	11/18/13	$1,230.98	$1,233 05	$-2.07
RHJ INTL	RHJI BB	B06S4F0#1	4	09/18/13	11/18/13	$20.41	$20.58	$-0.17
VALOR CO LTD	9956 JP	6926553#8	2	07/17/13	11/19/13	$25.34	$49.61	$-24.27
PENNEY J C CO INC	JCP	708160106	797	10/24/13	11/20/13	$7,469.43	$5,418.96	$2,050.47
VALOR CO LTD	9956 JP	6926553#8	58	06/26/13	11/20/13	$737.36	$1,561 07	$-823.71
VALOR CO LTD	9956 JP	6926553#8	31	06/25/13	11/20/13	$394.10	$837.63	$-443.53
VALOR CO LTD	9956 JP	6926553#8	28	06/24/13	11/21/13	$355.20	$751.29	$-396.09
VALOR CO LTD	9956 JP	6926553#8	17	06/28/13	11/21/13	$215.66	$454.57	$-238.91
VALOR CO LTD	9956 JP	6926553#8	38	06/26/13	11/21/13	$482 06	$1,022.77	$-540.71
RHJ INTL	RHJI BB	B06S4F0#1	4	09/18/13	11/22/13	$19.82	$20.58	$-0.76
VALOR CO LTD	9956 JP	6926553#8	4	06/27/13	11/22/13	$50.56	$106.85	$-56.29
VALOR CO LTD	9956 JP	6926553#8	27	06/28/13	11/22/13	$341.29	$721.97	$-380.68
VALOR CO LTD	9956 JP	6926553#8	16	07/16/13	11/22/13	$202 25	$396.50	$-194.25
VALOR CO LTD	9956 JP	6926553#8	2	08/06/13	11/22/13	$25.28	$45.34	$-20.06
VALOR CO LTD	9956 JP	6926553#8	46	08/25/13	11/22/13	$581.47	$950.55	$-369.08
VALOR CO LTD	9956 JP	6926553#8	4	08/26/13	11/22/13	$50.56	$81.93	$-31.37

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VALOR CO LTD	9956 JP	6926553#8	42	08/27/13	11/22/13	$530.90	$854.12	$-323.22
VALOR CO LTD	9956 JP	6926553#8	20	08/27/13	11/25/13	$253.06	$406.73	$-153.67
VALOR CO LTD	9956 JP	6926553#8	1	09/13/13	11/25/13	$12.65	$18.49	$-5.84
VALOR CO LTD	9956 JP	6926553#8	105	10/04/13	11/25/13	$1,328.54	$1,731.97	$-403.43
VALOR CO LTD	9956 JP	6926553#8	73	10/07/13	11/25/13	$923.65	$1,202.63	$-278.98
RHJ INTL	RHJI BB	B06S4F0#1	2	09/18/13	11/25/13	$10.26	$10.29	$-0.03
RHJ INTL	RHJI BB	B06S4F0#1	8	09/18/13	11/26/13	$41.31	$41.16	$0.15
RHJ INTL	RHJI BB	B06S4F0#1	2	09/18/13	11/27/13	$10 33	$10.29	$0 04
GENERAL ELECTRIC CO	GE	369604103	112	02/20/13	12/11/13	$3,000.02	$2,656.08	$343.94
CME GROUP INC	CME	12572Q105	142	09/23/13	12/11/13	$11,238.72	$10,482.41	$756.31
GENERAL ELECTRIC CO	GE	369604103	26	05/29/13	12/11/13	$696 43	$610.09	$86.34
GILEAD SCIENCES INC	GILD	375558103	218	01/16/13	12/11/13	$15,504.41	$8,431 85	$7,072.56
JP MORGAN CHASE & CO	JPM	46625H100	24	05/29/13	12/11/13	$1,345.84	$1,297.56	$48.28
JP MORGAN CHASE & CO	JPM	46625H100	26	02/20/13	12/11/13	$1,457.99	$1,284.56	$173.43
NOBLE ENERGY INC	NBL	655044105	101	11/11/13	12/11/13	$6,963.50	$7,597.61	$-634.11
ROSS STORES INC	ROST	778296103	210	06/06/13	12/11/13	$14,914.34	$13,334.66	$1,579.68
GENERAL ELECTRIC CO	GE	369604103	769	05/20/13	12/11/13	$20,598.39	$18,098.42	$2,499 97
CME GROUP INC	CME	12572Q105	50	11/11/13	12/11/13	$3,957.30	$3,920.65	$36.65
BIOGEN IDEC INC	BIIB	09062X103	26	07/23/13	12/11/13	$7,290.92	$5,827.78	$1,463.14
APPLIED INDL TECHNOLOGIES INC	AIT	03820C105	3	02/20/13	12/11/13	$139 86	$134.00	$5.86
APPLIED INDL TECHNOLOGIES INC	AIT	03820C105	3	05/29/13	12/11/13	$139.86	$143.12	$-3.26
STEALTHGAS INC SHS	GASS	Y81669106	23	07/23/13	12/11/13	$244.53	$232.97	$11.56
STEALTHGAS INC SHS	GASS	Y81669106	179	07/24/13	12/11/13	$1,903 09	$1,835.72	$67 37
STEALTHGAS INC SHS	GASS	Y81669106	170	07/25/13	12/11/13	$1,807.41	$1,778.54	$28.87
AETNA U S HEALTHCARE INC	AET	00817Y108	295	07/23/13	12/11/13	$19,592.05	$19,294.80	$297 25
TIME WARNER CABLE INC	TWC	88732J207	16	05/29/13	12/11/13	$2,097.48	$1,522.00	$575.48
TIME WARNER CABLE INC	TWC	88732J207	17	02/20/13	12/11/13	$2,228.57	$1,479.43	$749.14
ULTA SALON COSMETICS & FRAGRAN	ULTA	90384S303	6	05/29/13	12/11/13	$561.05	$550.65	$10.40

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ULTA SALON COSMETICS & FRAGRAN	ULTA	90384S303	96	02/14/13	12/11/13	$8,976.83	$8,479.09	$497.74
ULTA SALON COSMETICS & FRAGRAN	ULTA	90384S303	16	02/20/13	12/11/13	$1,496.14	$1,370.16	$125.98
ZIMMER HLDGS INC	ZMH	98956P102	22	05/29/13	12/11/13	$1,996.57	$1,764.29	$232.28
ZIMMER HLDGS INC	ZMH	98956P102	33	02/20/13	12/11/13	$2,994.86	$2,507.18	$487.68
ZIMMER HLDGS INC	ZMH	98956P102	345	01/16/13	12/11/13	$31,309.86	$24,775.66	$6,534.20
ROYAL DUTCH SHELL PLC	RDSA LN	B03MLX2#7	19	05/29/13	12/11/13	$643.98	$646 49	$-2.51
ROYAL DUTCH SHELL PLC	RDSA LN	B03MLX2#7	360	03/07/13	12/11/13	$12,201.63	$12,080 48	$121.15
ACTAVIS PLC	ACT	G0083B108	1	10/25/13	12/11/13	$162.99	$148.47	$14.52
ACTAVIS PLC	ACT	G0083B108	156	05/07/13	12/11/13	$25,426.72	$14,566.21	$10,860.51
ACCENTURE PLC	ACN	G1151C101	12	05/29/13	12/11/13	$897.60	$991.50	$-93.90
STARHUB LTD	STH SP	B1CNDB5#7	929	07/16/13	12/12/13	$2,986.50	$3,102.02	$-115.52
STEALTHGAS INC SHS	GASS	Y81669106	64	07/23/13	12/12/13	$661.10	$648.26	$12.84
RHJ INTL	RHJI BB	B06S4F0#1	4	09/18/13	12/12/13	$20 74	$20.58	$0.16
PRADA SPA	1913 HK	B4PFFW4#7	448	11/12/13	12/12/13	$3,981.39	$4,557.16	$-575.77
STARHUB LTD	STH SP	B1CNDB5#7	393	07/15/13	12/12/13	$1,263.39	$1,310.87	$-47.48
STARHUB LTD	STH SP	B1CNDB5#7	1351	07/17/13	12/12/13	$4,343.11	$4,521.88	$-178.77
STARHUB LTD	STH SP	B1CNDB5#7	924	07/15/13	12/13/13	$2,961.91	$3,082.03	$-120.12
RHJ INTL	RHJI BB	B06S4F0#1	4	09/18/13	12/17/13	$20.35	$20.58	$-0.23
SPREADTRUM COMMUNICATIONS IN(	SPRD	849415203	215	06/03/13	12/26/13	$6,654.25	$4,205.58	$2,448.67
Total short term gain/loss from sales:						$3,097,776.07	$2,897,877.05	$199,899.02

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss	Wash sale loss disallowed
DESARROLLADORA HOMEX SAB DE C	HOMEX MM	B01RQ23#0	276	10/16/12	02/26/13	$582.57	$631.52	$0.00	$-48.95	$48.95
DESARROLLADORA HOMEX SAB DE C	HOMEX MM	B01RQ23#0	382	10/15/12	02/26/13	$806.31	$869.27	$0.00	$-62.96	$62.96
EMC CORPORATION	EMC	268648102	103	03/15/12	03/07/13	$2,502.90	$2,989.03	$0.00	$-486 13	$486 13
LANCASHIRE HLDGS LTD	LRE LN	B0PYHC7#3	76	07/11/12	06/06/13	$869.77	$928.92	$0.00	$-59.15	$59.15
AG MTG INVT TR INC	MITT	001228105	99	04/01/13	06/12/13	$2,073.04	$2,491.92	$0.00	$-418.88	$418.88
TIANNENG POWER INTL LTD	819 HK	B1XDJC7#6	841	10/16/12	06/28/13	$340.00	$539.68	$0.00	$-199.68	$199.68
TIANJUN PORT DVLP HLDS LTD	3382 HK	B1528Y7#5	1058	03/21/13	06/28/13	$135.45	$162.66	$0.00	$-27.21	$27.21
FANUC	6954 JP	6356934#8	5	09/19/13	10/31/13	$802.11	$861.89	$0.00	$-59.78	$59.78
VALOR CO LTD	9956 JP	6926553#8	221	10/22/13	11/12/13	$2,819.40	$3,324.90	$0.00	$-505.50	$505.50
VALOR CO LTD	9956 JP	6926553#8	52	10/22/13	11/13/13	$671.01	$782.33	$0.00	$-111.32	$111.32
VALOR CO LTD	9956 JP	6926553#8	221	10/02/13	11/13/13	$2,851.79	$3,797.19	$0.00	$-945.40	$945.40
VALOR CO LTD	9956 JP	6926553#8	63	10/23/13	11/13/13	$812.95	$938.36	$0.00	$-125.41	$125.41

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss	Wash sale loss disallowed
VALOR CO LTD	9956 JP	6926553#8	4	10/02/13	11/14/13	$50.95	$67.42	$0.00	$-16.47	$16.47
VALOR CO LTD	9956 JP	6926553#8	48	10/01/13	11/14/13	$611.36	$817.70	$0.00	$-206.34	$206 34
VALOR CO LTD	9956 JP	6926553#8	221	09/11/13	11/14/13	$2,814.81	$4,237 09	$0.00	$-1,422.28	$1,422.28
VALOR CO LTD	9956 JP	6926553#8	178	10/24/13	11/14/13	$2,267.14	$2,619.54	$0.00	$-352.40	$352.40
VALOR CO LTD	9956 JP	6926553#8	63	10/03/13	11/14/13	$802.41	$1,052.56	$0.00	$-250.15	$250.15
VALOR CO LTD	9956 JP	6926553#8	66	08/22/13	11/15/13	$836.24	$1,382.74	$0.00	$-546 50	$546.50
VALOR CO LTD	9956 JP	6926553#8	48	09/11/13	11/15/13	$608.17	$903.06	$0.00	$-294.89	$294.89
VALOR CO LTD	9956 JP	6926553#8	4	09/12/13	11/15/13	$50.68	$74.53	$0.00	$-23.85	$23.85
VALOR CO LTD	9956 JP	6926553#8	155	08/21/13	11/15/13	$1,963.90	$3,278.59	$0.00	$-1,314.69	$1,314.69
VALOR CO LTD	9956 JP	6926553#8	62	09/13/13	11/15/13	$785.56	$1,146.11	$0.00	$-360.55	$360.55
VALOR CO LTD	9956 JP	6926553#8	2	08/25/13	11/18/13	$25.41	$41 33	$0 00	$-15.92	$15.92
VALOR CO LTD	9956 JP	6926553#8	46	08/05/13	11/18/13	$584.36	$1,048.84	$0.00	$-464.48	$464.48

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss
									Wash sale loss disallowed
VALOR CO LTD	9956 JP	6926553#8	20	08/04/13	11/18/13	$254.06	$455.51		$-201.45
								$0.00	$201.45
VALOR CO LTD	9956 JP	6926553#8	155	08/03/13	11/18/13	$1,969.01	$3,561.35		$-1,592.34
								$0.00	$1,592.34
REGENERON PHARMACEUTICALS INC	REGN	75886F107	4	09/18/13	11/18/13	$1,124.31	$1,193.72		$-69.41
								$0.00	$69.41
VALOR CO LTD	9956 JP	6926553#8	4	07/16/13	11/19/13	$50.68	$99.12		$-48.44
								$0.00	$48.44
VALOR CO LTD	9956 JP	6926553#8	127	07/15/13	11/19/13	$1,609.18	$3,172.71		$-1,563.53
								$0.00	$1,563.53
VALOR CO LTD	9956 JP	6926553#8	28	07/14/13	11/19/13	$354.78	$694.23		$-339.45
								$0 00	$339.45
VALOR CO LTD	9956 JP	6926553#8	44	07/17/13	11/19/13	$557.51	$1,091.48		$-533.97
								$0.00	$533.97
Total short term gain/loss from sales:						$32,587.82	$45,255.30		$-12,667.48
Total short term loss disallowed from wash sales:									$12,667.48
Total short term Section 988 translation gain/loss from securities subject to wash sale:								$0.00	

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SUNCOR ENERGY INC	SU	867224107	149	03/15/12	04/01/13	$4,501.29	$4,920.73	$-419.44
SHERRITT INTL CORP	S CN	2804158#0	608	03/21/12	04/01/13	$2,934.39	$3,545.34	$-610.95
SHERRITT INTL CORP	S CN	2804158#0	45	03/28/12	04/01/13	$217.18	$265.97	$-48 79

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CABOT CORP	CBT	127055101	5	03/15/12	04/08/13	$167.65	$214.32	$-46.67
UNITED PARCEL SVC INC CL B	UPS	911312106	29	03/15/12	04/08/13	$2,408.04	$2,268.52	$139.52
TERADATA CORP DEL	TDC	88076W103	48	03/21/12	04/08/13	$2,545.64	$3,231.60	$-685.96
EMC CORPORATION	EMC	268648102	103	02/29/12	04/08/13	$2,368.15	$2,956.59	$-588.44
BHP BILLITON PLC SPONSORED ADR	BBL	05545E209	38	03/15/12	04/08/13	$2,188.99	$2,408.25	$-219.26
CABOT CORP	CBT	127055101	1	03/22/12	04/08/13	$33.53	$42.73	$-9.20
MONSANTO CO NEW	MON	61166W101	57	03/15/12	04/17/13	$5,915.74	$4,489.58	$1,426.16
ACE LTD	ACE	H0023R105	129	03/15/12	04/17/13	$11,471.71	$9,440.86	$2,030.85
PPL CORP	PPL	69351T106	166	03/15/12	04/17/13	$5,283.02	$4,660.45	$622.57
CBS CORP NEW CL B	CBS	124857202	221	03/15/12	04/17/13	$10,050.66	$6,951.56	$3,099.10
EASTMAN CHEM CO	EMN	277432100	96	03/15/12	04/17/13	$6,427.88	$4,970.40	$1,457.48
JOHNSON CONTROLS INC	JCI	478366107	102	03/15/12	04/17/13	$3,322.62	$3,290.01	$32 61
JOHNSON CONTROLS INC	JCI	478366107	44	03/22/12	04/17/13	$1,433.29	$1,431.54	$1.75
ACE LTD	ACE	H0023R105	15	02/16/12	04/17/13	$1,333 92	$1,096.55	$237.37
GERRESHEIMER AG	GXI GR	B1Y47Y7#0	49	04/17/12	04/19/13	$2,709.81	$2,308.84	$400.97
GERRESHEIMER AG	GXI GR	B1Y47Y7#0	52	03/15/12	04/19/13	$2,875.72	$2,323.36	$552.36
CSG SYS INTL INC	CSGS	126349109	129	04/17/12	05/01/13	$2,627.36	$1,904.68	$722.68
HEROUX DEVTEK INC	HRX CN	2422947#7	10	04/17/12	05/02/13	$77.54	$62.30	$15.24
HEROUX DEVTEK INC	HRX CN	2422947#7	12	04/17/12	05/03/13	$91.69	$74.76	$16.93
HEROUX DEVTEK INC	HRX CN	2422947#7	56	04/17/12	05/06/13	$429.23	$348.86	$80.37
COCA-COLA FEMSA SERIES L	KOF	191241108	47	04/17/12	05/07/13	$7,716.53	$4,958.74	$2,757.79
COCA-COLA FEMSA SERIES L	KOF	191241108	46	03/15/12	05/07/13	$7,552.34	$4,678.89	$2,873.45
HEROUX DEVTEK INC	HRX CN	2422947#7	42	04/17/12	05/07/13	$320.99	$261.65	$59.34
COCA-COLA ENTERPRISES INC	CCE	19122T109	225	04/17/12	05/07/13	$8,380.07	$6,352.88	$2,027.19
HEROUX DEVTEK INC	HRX CN	2422947#7	15	04/17/12	05/08/13	$116.09	$93.45	$22.64
HEROUX DEVTEK INC	HRX CN	2422947#7	30	04/17/12	05/09/13	$230.54	$186.89	$43.65
HEROUX DEVTEK INC	HRX CN	2422947#7	21	04/17/12	05/10/13	$162.56	$130.82	$31.74
HEROUX DEVTEK INC	HRX CN	2422947#7	18	04/17/12	05/13/13	$137.60	$112.13	$25 47

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
HEROUX DEVTEK INC	HRX CN	2422947#7	6	03/21/12	05/14/13	$45.19	$36.60		$8.59
HEROUX DEVTEK INC	HRX CN	2422947#7	250	04/17/12	05/14/13	$1,882.71	$1,557.42		$325.29
HEROUX DEVTEK INC	HRX CN	2422947#7	4	03/21/12	05/17/13	$30.36	$24.40		$5.96
GOOGLE INC CL A	GOOG	38259P508	14	04/17/12	05/20/13	$12,797.71	$8,520.19		$4,277.52
GOOGLE INC CL A	GOOG	38259P508	13	03/15/12	05/20/13	$11,883.59	$8,016.12		$3,867.47
DAVITA INC	DVA	23918K108	36	03/15/12	05/20/13	$4,582.11	$3,168.54		$1,413.57
DAVITA INC	DVA	23918K108	39	04/17/12	05/20/13	$4,963.95	$3,348.74		$1,615 21
COCA-COLA ENTERPRISES INC	CCE	19122T109	340	03/15/12	05/20/13	$13,022.01	$9,392.50		$3,629.51
COCA-COLA ENTERPRISES INC	CCE	19122T109	77	04/17/12	05/20/13	$2,949.10	$2,174.09		$775.01
CA INC	CA	12673P105	131	04/17/12	05/20/13	$3,639.42	$3,512.57		$126.85
CA INC	CA	12673P105	112	03/21/12	05/20/13	$3,111.57	$3,043.60		$67.97
CA INC	CA	12673P105	8	03/28/12	05/20/13	$222.26	$217.80		$4.46
HEROUX DEVTEK INC	HRX CN	2422947#7	34	03/21/12	05/21/13	$257.45	$207.42		$50.03
HEROUX DEVTEK INC	HRX CN	2422947#7	21	03/21/12	05/22/13	$157.02	$128.11		$28.91
HEROUX DEVTEK INC	HRX CN	2422947#7	318	03/21/12	05/24/13	$2,383.88	$1,939.98		$443.90
HEROUX DEVTEK INC	HRX CN	2422947#7	59	03/21/12	05/28/13	$442.09	$359.93		$82.16
HEROUX DEVTEK INC	HRX CN	2422947#7	35	05/22/12	05/28/13	$262.25	$190.17		$72.08
HEROUX DEVTEK INC	HRX CN	2422947#7	1	05/22/12	05/30/13	$7.58	$5.43		$2.15
HEROUX DEVTEK INC	HRX CN	2422947#7	160	05/22/12	05/31/13	$1,230.14	$869.33		$360.81
APOLLO COML REAL ESTATE FIN IN	ARI	03762U105	55	04/17/12	06/12/13	$888.66	$868.72	*	$19.94
APOLLO COML REAL ESTATE FIN IN	ARI	03762U105	117	03/15/12	06/12/13	$1,890.43	$1,826.32	*	$64.11
CANADIAN NATURAL RESOURCES LTI	CNQ CN	2171573#1	84	03/15/12	06/25/13	$2,369.95	$2,901.53		$-531.58
CANADIAN NATURAL RESOURCES LTI	CNQ CN	2171573#1	6	03/22/12	06/25/13	$169 28	$204.39		$-35.11
CANADIAN NATURAL RESOURCES LTI	CNQ CN	2171573#1	91	04/17/12	06/25/13	$2,567.45	$2,970.00		$-402.55
CANADIAN NATURAL RESOURCES LTI	CNQ CN	2171573#1	38	05/22/12	06/25/13	$1,072.12	$1,147.44		$-75.32
ORACLE CORPORATION	ORCL	68389X105	110	05/03/12	06/25/13	$3,296.65	$3,247.20		$49.45
GOLD FIELDS LTD SP ADR	GFI	38059T106	164	03/15/12	06/27/13	$776.81	$2,006.56		$-1,229.75
GOLD FIELDS LTD SP ADR	GFI	38059T106	16	03/22/12	06/27/13	$75.79	$181.25		$-105.46

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
GOLD FIELDS LTD SP ADR	GFI	38059T106	77	05/22/12	06/27/13	$364 72	$842.98	$-478.26
GOLD FIELDS LTD SP ADR	GFI	38059T106	184	04/17/12	06/27/13	$871.54	$2,023.84	$-1,152.30
GOLD FIELDS LTD SP ADR	GFI	38059T106	412	05/25/12	06/27/13	$1,951.48	$4,662.48	$-2,711.00
AGRIUM INC	AGU	008916108	35	05/22/12	07/12/13	$3,207.46	$2,771.13	$436.33
AGRIUM INC	AGU	008916108	82	04/17/12	07/12/13	$7,514.61	$7,021 25	$493.36
ROGERS COMMUNICATIONS INC CL B	RCI	775109200	23	05/22/12	07/12/13	$929.62	$814.54	$115.08
ROGERS COMMUNICATIONS INC CL B	RCI	775109200	54	03/15/12	07/12/13	$2,182.59	$2,078.19	$104.40
ROGERS COMMUNICATIONS INC CL B	RCI	775109200	52	04/17/12	07/12/13	$2,101.75	$2,079.74	$22.01
QUALCOMM INC	QCOM	747525103	72	05/22/12	07/12/13	$4,415.82	$4,142.52	$273.30
QUALCOMM INC	QCOM	747525103	58	03/15/12	07/12/13	$3,557.19	$3,783 05	$-225.86
APPLE COMPUTER INC	AAPL	037833100	28	04/17/12	07/23/13	$11,821.55	$16,211.58	$-4,390.03
CELGENE CORP COM	CELG	151020104	23	05/03/12	07/23/13	$3,127.29	$1,655.60	$1,471.69
APPLE COMPUTER INC	AAPL	037833100	3	02/16/12	07/23/13	$1,266.60	$1,747.02	$-480.42
AMPHENOL CORP NEW CL A	APH	032095101	7	03/21/12	07/23/13	$545 62	$396.31	$149.31
AMPHENOL CORP NEW CL A	APH	032095101	15	04/17/12	07/23/13	$1,169.19	$892.87	$276.32
UNION PACIFIC CORP	UNP	907818108	36	03/15/12	07/23/13	$5,825.15	$3,924.90	$1,900.25
UNION PACIFIC CORP	UNP	907818108	27	05/22/12	07/23/13	$4,368.86	$2,989.84	$1,379.02
TIMKEN CO	TKR	887389104	77	04/17/12	07/23/13	$4,673.65	$3,891.19	$782.46
TIMKEN CO	TKR	887389104	1	04/24/12	07/23/13	$60.70	$51.42	$9.28
TJX COS INC NEW	TJX	872540109	141	03/15/12	07/23/13	$7,328.67	$5,364.85	$1,963.82
TJX COS INC NEW	TJX	872540109	203	03/15/12	07/23/13	$10,537.55	$7,723.87	$2,813.68
TJX COS INC NEW	TJX	872540109	70	05/22/12	07/23/13	$3,633 64	$2,824.85	$808.79
QUEBECOR INC	QBR/B CN	2715777#9	196	04/17/12	07/24/13	$9,183.42	$7,941 82	$1,241.60
QUEBECOR INC	QBR/B CN	2715777#9	13	04/24/12	07/24/13	$609.10	$531.94	$77.16
QUEBECOR INC	QBR/B CN	2715777#9	12	05/22/12	07/24/13	$562.25	$444.16	$118.09
ARCADIS NV	ARCAD NA	5769209@3	16	07/12/12	07/24/13	$425.18	$338.70	$86.48
QUEBECOR INC	QBR/B CN	2715777#9	75	05/22/12	07/25/13	$3,509.64	$2,775.98	$733.66
QUEBECOR INC	QBR/B CN	2715777#9	22	03/15/12	07/25/13	$1,029.50	$806.63	$222.87

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ARCADIS NV	ARCAD NA	5769209@3	247	07/12/12	07/25/13	$6,617.82	$5,228.70	$1,389.12
ARCADIS NV	ARCAD NA	5769209@3	124	07/11/12	07/25/13	$3,322.31	$2,616.17	$706.14
ARCADIS NV	ARCAD NA	5769209@3	111	07/11/12	07/26/13	$2,963.75	$2,341.90	$621 85
QUEBECOR INC	QBR/B CN	2715777#9	97	03/15/12	07/26/13	$4,536.17	$3,556.49	$979.68
QUEBECOR INC	QBR/B CN	2715777#9	86	03/15/12	07/29/13	$3,973.74	$3,153.18	$820.56
ARCADIS NV	ARCAD NA	5769209@3	15	07/11/12	07/29/13	$400.00	$316.47	$83.53
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	247	04/17/12	08/01/13	$1,692.98	$2,137.79	$-444.81
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	4	04/24/12	08/01/13	$27.42	$34 26	$-6 84
IRIDIUM COMMUNICATIONS INC	IRDM	46269C102	133	05/22/12	08/01/13	$911 61	$1,120.52	$-208.91
PATTERSON-UTI ENERGY INC	PTEN	703481101	81	04/17/12	08/02/13	$1,629.69	$1,285.06	$344.63
PATTERSON-UTI ENERGY INC	PTEN	703481101	97	05/22/12	08/02/13	$1,951.60	$1,502.04	$449.56
COMPUGROUP MED AG	COP GR	5094536#8	30	05/22/12	08/06/13	$609.28	$440.90	$168.38
COMPUGROUP MED AG	COP GR	5094536#8	2	05/22/12	08/07/13	$40.71	$29 39	$11.32
COMPUGROUP MED AG	COP GR	5094536#8	45	05/22/12	08/08/13	$919.27	$661.36	$257.91
COMPUGROUP MED AG	COP GR	5094536#8	64	05/22/12	08/09/13	$1,314.79	$940.59	$374.20
COMPUGROUP MED AG	COP GR	5094536#8	399	04/17/12	08/09/13	$8,196.87	$5,739.06	$2,457.81
COMPUGROUP MED AG	COP GR	5094536#8	391	03/15/12	08/09/13	$8,032.52	$5,551.36	$2,481.16
GNC HLDGS INC	GNC	36191G107	78	06/22/12	08/14/13	$4,155.20	$2,887.33	$1,267.87
HUNT J B TRANSPORTATION SERVIC	JBHT	445658107	57	03/15/12	08/14/13	$4,253.36	$3,016.15	$1,237.21
ACTUANT CORP CL-A	ATU	00508X203	26	04/17/12	08/14/13	$948 27	$748.41	$199.86
ACTUANT CORP CL-A	ATU	00508X203	1	04/24/12	08/14/13	$36.47	$29.53	$6.94
ANDERSONS INC	ANDE	034164103	8	04/24/12	08/15/13	$531 19	$388.33	$142.86
ANDERSONS INC	ANDE	034164103	71	03/15/12	08/15/13	$4,714.31	$3,290.52	$1,423.79
ANDERSONS INC	ANDE	034164103	122	04/17/12	08/15/13	$8,100.65	$5,954.21	$2,146.44
ACTUANT CORP CL-A	ATU	00508X203	37	05/22/12	08/15/13	$1,327.58	$929.99	$397.59
ACTUANT CORP CL-A	ATU	00508X203	41	04/17/12	08/15/13	$1,471.10	$1,180.18	$290.92
ANDERSONS INC	ANDE	034164103	56	03/15/12	08/16/13	$3,714.09	$2,595.34	$1,118.75
ANDERSONS INC	ANDE	034164103	32	05/22/12	08/16/13	$2,122.33	$1,458.81	$663.52

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
ANDERSONS INC	ANDE	034164103	22	05/22/12	08/19/13	$1,435.78	$1,002.93		$432.85
OSRAM LICHT AG	OSR GR	B923935#8	14.3	07/11/12	08/27/13	$596.85	$414.77		$182.08
HERCULES TECHNOLOGY GROWTH C	HTGC	427096508	56	03/15/12	09/12/13	$829 35	$605.54		$223.81
HERCULES TECHNOLOGY GROWTH C	HTGC	427096508	3	03/22/12	09/12/13	$44.43	$32.27		$12.16
WESTAR ENERGY INC	WR	95709T100	98	03/15/12	09/12/13	$2,947.28	$2,709.21		$238.07
TWO HBRS INVT CORP	TWO	90187B101	286	05/22/12	09/12/13	$2,755.79	$2,737.81	*	$17.98
TWO HBRS INVT CORP	TWO	90187B101	575	04/17/12	09/12/13	$5,540.49	$5,559.70	*	$-19.21
SM ENERGY CO	SM	78454L100	7	08/17/12	09/12/13	$527.57	$340.03		$187.54
MICROS SYS INC	MCRS	594901100	26	05/22/12	09/12/13	$1,299.59	$1,409.85		$-110.26
HERCULES TECHNOLOGY GROWTH C	HTGC	427096508	208	05/22/12	09/12/13	$3,080.43	$2,161.43		$919.00
MICROS SYS INC	MCRS	594901100	31	03/21/12	09/12/13	$1,549.51	$1,691.21		$-141.70
ADVANCED INFO SVC PUB CO LTD	ADVANC/F TB	6412591#3	1864	06/01/12	09/13/13	$15,131.96	$10,768.70		$4,363.26
UNUMPROVIDENT CORP	UNM	91529Y106	40	04/17/12	09/23/13	$1,201 78	$950.20		$251.58
UNUMPROVIDENT CORP	UNM	91529Y106	1	04/24/12	09/23/13	$30.04	$24.06		$5.98
AMPHENOL CORP NEW CL A	APH	032095101	50	03/21/12	09/23/13	$3,841.84	$2,830.75		$1,011.09
UNUMPROVIDENT CORP	UNM	91529Y106	108	05/22/12	09/23/13	$3,244.81	$2,200.50		$1,044.31
AMPHENOL CORP NEW CL A	APH	032095101	25	05/22/12	09/23/13	$1,920.92	$1,313.38		$607.54
HESS CORP	HES	42809H107	104	03/15/12	10/21/13	$8,743.75	$6,435.00		$2,308.75
HESS CORP	HES	42809H107	8	03/22/12	10/21/13	$672.60	$487.51		$185.09
HESS CORP	HES	42809H107	114	04/17/12	10/21/13	$9,584 50	$6,267.15		$3,317.35
HESS CORP	HES	42809H107	48	05/22/12	10/21/13	$4,035.58	$2,206.80		$1,828.78
METLIFE INC	MET	59156R108	116	03/15/12	10/21/13	$5,736 95	$4,345.94		$1,391.01
METLIFE INC	MET	59156R108	76	05/22/12	10/21/13	$3,758.69	$2,360.94		$1,397.75
METLIFE INC	MET	59156R108	183	04/17/12	10/21/13	$9,050.52	$6,534.02		$2,516.50
METLIFE INC	MET	59156R108	62	03/22/12	10/21/13	$3,066.30	$2,303.14		$763.16
STAGE STORES INC NEW	SSI	85254C305	116	10/11/12	10/21/13	$2,357.83	$2,669.74		$-311.91
TGS-NOPEC GEOPHYSICAL COMPANY	TGS NO	B15SLC4#4	112	07/11/12	10/22/13	$2,912.77	$2,981.22		$-68.45
PRADA SPA	1913 HK	B4PFFW4#7	1055	06/20/12	10/22/13	$10,465.31	$7,244.41		$3,220.90

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SHIP HEALTHCARE HOLDINGS INC	3360 JP	B05MTR0#2	53	03/16/12	10/22/13	$2,252.61	$1,015.90	$1,236.71
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	63	04/17/12	10/24/13	$11,177.50	$12,898.94	$-1,721.44
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	1	03/15/12	10/24/13	$177.42	$204.94	$-27 52
HUBBELL INC CL B	HUB B	443510201	21	05/22/12	10/24/13	$2,253.02	$1,622.87	$630.15
HUBBELL INC CL B	HUB B	443510201	17	04/17/12	10/24/13	$1,823.88	$1,325.41	$498.47
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	2	03/22/12	10/24/13	$354.84	$409.26	$-54.42
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	20	05/22/12	10/24/13	$3,548.41	$3,950.90	$-402.49
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	7	02/16/12	10/24/13	$1,241.95	$1,419.92	$-177.97
EMBRAER S A	ERJ	29082A107	5	04/24/12	11/11/13	$153.79	$178.59	$-24.80
EMBRAER S A	ERJ	29082A107	117	04/17/12	11/11/13	$3,598.80	$4,115.47	$-516.67
EMBRAER S A	ERJ	29082A107	120	03/15/12	11/11/13	$3,691 07	$3,704.60	$-13.53
EMBRAER S A	ERJ	29082A107	51	05/22/12	11/11/13	$1,568.71	$1,501.69	$67.02
EMBRAER S A	ERJ	29082A107	99	10/11/12	11/11/13	$3,045.14	$2,736 09	$309.05
OIL CO LUKOIL SPONSORED ADR	LUKO Y	677862104	51	04/17/12	11/12/13	$3,219.83	$3,068.67	$151.16
OIL CO LUKOIL SPONSORED ADR	LUKO Y	677862104	54	05/22/12	11/12/13	$3,409.23	$2,822.04	$587.19
GRIFOLS SA	GRF SM	B01SPF2#1	422	06/20/12	11/12/13	$18,229.22	$10,793.56	$7,435.66
FRANSHION PROPERTIES	817 HK	B23TGR6#3	696	10/16/12	11/13/13	$222.36	$215.42	$6.94
FRANSHION PROPERTIES	817 HK	B23TGR6#3	2355	10/16/12	11/14/13	$766.39	$728.88	$37.51
JP MORGAN CHASE & CO	JPM	46625H100	6	03/22/12	12/11/13	$336.46	$265.48	$70.98
JP MORGAN CHASE & CO	JPM	46625H100	206	03/15/12	12/11/13	$11,551.76	$8,988.81	$2,562.95
JP MORGAN CHASE & CO	JPM	46625H100	67	12/07/12	12/11/13	$3,757.13	$2,799.60	$957.53
JP MORGAN CHASE & CO	JPM	46625H100	108	05/22/12	12/11/13	$6,056.26	$3,599.10	$2,457.16
NU SKIN ASIA INC CL A	NUS	67018T105	51	10/11/12	12/11/13	$6,459.19	$2,141.59	$4,317.60
TIME WARNER CABLE INC	TWC	88732J207	49	12/07/12	12/11/13	$6,423.53	$4,642.02	$1,781.51
APPLIED INDL TECHNOLOGIES INC	AIT	03820C105	7	12/07/12	12/11/13	$326.34	$280.10	$46.24
TIME WARNER CABLE INC	TWC	88732J207	41	04/17/12	12/11/13	$5,374.80	$3,337.61	$2,037.19
TIME WARNER CABLE INC	TWC	88732J207	71	05/03/12	12/11/13	$9,307.57	$5,734.02	$3,573.55
TIME WARNER CABLE INC	TWC	88732J207	5	11/30/12	12/11/13	$655.46	$473.63	$181.83

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ACCENTURE PLC	ACN	G1151C101	73	03/15/12	12/11/13	$5,460.38	$4,567.98	$892.40
APPLIED INDL TECHNOLOGIES INC	AIT	03820C105	1	10/18/12	12/11/13	$46.62	$41.17	$5.45
APPLIED INDL TECHNOLOGIES INC	AIT	03820C105	35	10/11/12	12/11/13	$1,631.71	$1,437.55	$194.16
PRADA SPA	1913 HK	B4PFFW4#7	541	06/20/12	12/12/13	$4,807.88	$3,714.91	$1,092.97
Total long term gain/loss from sales:						$577,591.20	$467,241.82	$110,349.38

GEORGE H. AND ESTELLE M. SANDS FOUNDATION

Gain (Loss) on Sale of Securities

12/31/13

		Date Acquired	Date Sold	Sales Price	Cost	Gain (Loss)	
24	Sunoco Logistics (USTrust)	3/15/2012	12/11/2013	1,654.62	927.84	726 78	LT
41	Sunoco Logistics (USTrust)	5/22/2012	12/11/2013	2,826.63	1,620.31	1,206.32	LT
15	Sunoco Logistics (USTrust)	12/7/2012	12/11/2013	1,034.14	585 65	448.49	LT
6	Sunoco Logistics (USTrust)	2/20/2013	12/11/2013	413.65	230 46	183.19	ST
5	Sunoco Logistics (USTrust)	5/29/2013	12/11/2013	344.71	195.51	149.20	ST
1798	Fortress Invt Group (USTrust)	8/19/2013	11/11/2013	13,752.84	14,047.90	(295.06)	ST
550	Carlyle Group LP (USTrust)	3/1/2013	8/19/2013	13,765.03	17,420.40	(3,655.37)	ST
217	Enterprise Prods Partners (USTrust)	5/3/2012	2/28/2013	12,294.51	9,763.46	2,531.05	ST
				46,086.13	44,791.53	1,294.60	

Realized Gain/(Loss)

WILMINGTON TRUST

081186-000 WTNA NJ INV AGT/SANDS FNDN

From January 01, 2013 through December 31, 2013

Quantity	CUSIP	Description	Trade Date	Fed Cost	Fed LT GL	Fed ST GL	Proceeds Amount
PRINCIPAL							
(3,235 0000)	921908844	SOLD 3235 UNITS OF VANGUARD DIVIDEND APPRECIATION ETF AT 60 5191 TRADE DATE 2013-01-02 SETTLEMENT DATE 2013-01-07 $195,669 76 CASH RECEIVABLE	01/02/2013	(185,620 27)	00	10,049 49	195,669 76
(705 0000)	921943858	SOLD 705 UNITS OF VANGUARD MSCI EAFE ETF AT 35 8057 TRADE DATE 2013-01-02 SETTLEMENT DATE 2013-01-07 $25,219 54 CASH RECEIVABLE	01/02/2013	(25,257 61)	(38 07)	00	25,219 54
(8,023 2090)	316146794	SOLD 8023 209 UNITS OF FIDELITY FOCUSED HIGH INCOME FUND AT 9 45 TRADE DATE 2013-01-28 SETTLEMENT DATE 2013-01-29 $75,798 80 CASH RECEIVABLE	01/28/2013	(72,799 39)	2,914 41	85 00	75,798 80
(24,587 0820)	922031760	SOLD 24587 082 UNITS OF VANGUARD HIGH YIELD CORP CL ADML AT 6 15 TRADE DATE 2013-01-28 SETTLEMENT DATE 2013-01-29 $151,210 55 CASH RECEIVABLE	01/28/2013	(114,243 78)	36,720 73	246 04	151,210 55
(290 0000)	464287564	SOLD 290 UNITS OF ISHARES COHEN & STEERS REALTY ETF AT 80 6566 TRADE DATE 2013-02-26 SETTLEMENT DATE 2013-03-01 $23,380 46 CASH RECEIVABLE	02/26/2013	(14,588 63)	8,791 83	00	23,380 46
(168 0000)	464287176	SOLD 168 UNITS OF ISHARES BARCLAYS TIPS BOND ETF AT 120 8273 TRADE DATE 2013-02-26 SETTLEMENT DATE 2013-03-01 $20,293 08 CASH RECEIVABLE	02/26/2013	(19,359 70)	933 38	00	20,293 08
(9,921 6460)	97181C415	SOLD 9921 646 UNITS OF WILMINGTON LARGE-CAP STRATEGY FUND-I AT 13 72 TRADE DATE 2013-02-26 SETTLEMENT DATE 2013-02-27 $136,125 00 CASH RECEIVABLE	02/26/2013	(101,677 74)	34,447 26	00	136,125.00
(1,987 0000)	78463X541	SOLD 1987 UNITS OF SPDR S&P GLOBAL NAT RESOURCES ETF AT 49 8774 TRADE DATE 2013-03-27 SETTLEMENT DATE 2013-04-02 $99,039 59 CASH RECEIVABLE	03/27/2013	(100,561 73)	(1,368 88)	(153 26)	99,039 59
(9,112 6930)	76628T678	SOLD 9112 693 UNITS OF RIDGEWORTH SEIX FLTNG RT HI INC FD I AT 9 06 TRADE DATE 2013-05-29 SETTLEMENT DATE 2013-05-30 $82,561 00 CASH RECEIVABLE	05/29/2013	(82,378 75)	00	182 25	82,561 00
(3,322 0000)	922042858	SOLD 3322 UNITS OF VANGUARD FTSE EMERGING MARKETS ETF AT 39 0766 TRADE DATE 2013-07-31 SETTLEMENT DATE 2013-08-05 $129,702 25 CASH RECEIVABLE	07/31/2013	(142,672 20)	(12,969 95)	00	129,702 25
(3,106 5330)	97181C415	SOLD 3106 533 UNITS OF WILMINGTON LARGE-CAP STRATEGY FUND-I AT 15 46 TRADE DATE 2013-07-31 SETTLEMENT DATE 2013-08-01 $48,027 00 CASH RECEIVABLE	07/31/2013	(31,695 16)	16,331 84	00	48,027 00

WILMINGTON TRUST

Realized Gain/(Loss)

081186-000 WTNA NJ INV AGT/SANDS FNDN

From January 01, 2013 through December 31, 2013

Quantity	CUSIP	Description	Trade Date	Fed Cost	Fed LT GL	Fed ST GL	Proceeds Amount
(677 2200)	97181C381	SOLD 677 22 UNITS OF WILMINGTON SMALL-CAP STRATEGY FUND-I AT 13 52 TRADE DATE 2013-07-31 SETTLEMENT DATE 2013-08-01 $9,156 00 CASH RECEIVABLE	07/31/2013	(5 837 64)	3,318 36	00	9,156 00
(5 0000)	464287564	SOLD 5 UNITS OF ISHARES COHEN & STEERS REIT ETF AT 75 79 TRADE DATE 2013-08-30 SETTLEMENT DATE 2013-09-05 $378 78 CASH RECEIVABLE	08/30/2013	(233 05)	145 73	00	378 78
(48 0000)	78463X863	SOLD 48 UNITS OF SPDR DJ INTL REAL ESTATE ETF AT 38 9701 TRADE DATE 2013-08-30 SETTLEMENT DATE 2013-09-05 $1,868 97 CASH RECEIVABLE	08/30/2013	(1 866 32)	2 65	00	1,868 97
(3 183 0000)	922042858	SOLD 3183 UNITS OF VANGUARD FTSE EMERGING MARKETS ETF AT 37 6054 TRADE DATE 2013-08-30 SETTLEMENT DATE 2013-09-05 $119,592 46 CASH RECEIVABLE	08/30/2013	(128 001 56)	(8 409 10)	00	119,592 46
(295 0000)	464288273	SOLD 295 UNITS OF ISHARES MSCI EAFE SMALL-CAP ETF AT 49 9795 TRADE DATE 2013-10-31 SETTLEMENT DATE 2013-11-05 $14,734 10 CASH RECEIVABLE	10/31/2013	(13 223 88)	00	1,510 22	14,734 10
(16 399 4990)	76628T678	SOLD 16399 499 UNITS OF RIDGEWORTH SEIX FLTNG RT HI INC FD I AT 9 05 TRADE DATE 2013-10-31 SETTLEMENT DATE 2013-11-01 $148,415 48 CASH RECEIVABLE	10/31/2013	(148 244 23)	00	171 25	148,415 48
(35 0000)	78463X863	SOLD 35 UNITS OF SPDR DJ INTL REAL ESTATE ETF AT 43 34 TRADE DATE 2013-10-31 SETTLEMENT DATE 2013-11-05 $1,515 73 CASH RECEIVABLE	10/31/2013	(1 360 86)	154 87	00	1,515 73
(2 408 0000)	921943858	SOLD 2408 UNITS OF VANGUARD FTSE DEVELOPED MARKET ETF AT 40 9254 TRADE DATE 2013-10-31 SETTLEMENT DATE 2013-11-05 $98,468 39 CASH RECEIVABLE	10/31/2013	(86 269 97)	12,198 42	00	98,468 39
(551 0000)	922042858	SOLD 551 UNITS OF VANGUARD FTSE EMERGING MARKETS ETF AT 42 3114 TRADE DATE 2013-10-31 SETTLEMENT DATE 2013-11-05 $23,295 26 CASH RECEIVABLE	10/31/2013	(22 949 59)	345 67	00	23,295 26
(3 631 4150)	97181C415	SOLD 3631 415 UNITS OF WILMINGTON LARGE-CAP STRATEGY FUND-I AT 16 17 TRADE DATE 2013-10-31 SETTLEMENT DATE 2013-11-01 $58,720 00 CASH RECEIVABLE	10/31/2013	(36 931 49)	21,788 51	00	58,720 00
TOTAL PRINCIPAL (91 691 2970)				(1,335,773.55)	**115,307.66**	**12,090.99**	**1,463,172.20**

Realized Gain/(Loss)

081186-001 WTNA NJ INV AGT/SANDS FNDN

From January 01, 2013 through December 31, 2013

Quantity	CUSIP	Description	Trade Date	Fed Cost	Fed LT GL	Fed ST GL	Proceeds Amount
PRINCIPAL							
(1 402 0000)	902973304	SOLD 1402 SHARES OF U S BANCORP DEL COM NEW AT 33 7299 TRADE DATE 2013-03-06 SETTLEMENT DATE 2013-03-11 $47,242 69 CASH RECEIVABLE	03/06/2013	(36 463 22)	10,779 47	00	47,242 69
(260 0000)	693506107	SOLD 260 SHARES OF PPG INDUSTRIES COMMON AT 133 4817 TRADE DATE 2013-04-04 SETTLEMENT DATE 2013-04-09 $34,696 01 CASH RECEIVABLE	04/04/2013	(13 574 82)	19,898 75	1,222 44	34,696 01
(2 345 0000)	038222105	SOLD 2345 SHARES OF APPLIED MATERIALS INC COM AT 14 6754 TRADE DATE 2013-05-17 SETTLEMENT DATE 2013-05-22 $34,336 83 CASH RECEIVABLE	05/17/2013	(26 174 28)	7,531 94	630 61	34,336 83
(939 0000)	609207105	SOLD 939 SHARES OF MONDELEZ INTERNATIONAL INC AT 28 7236 TRADE DATE 2013-06-28 SETTLEMENT DATE 2013-07-03 $26,940 47 CASH RECEIVABLE	06/28/2013	(19 697 63)	7,027 38	215 46	26,940 47
(384 0000)	637071101	SOLD 384 SHARES OF NATIONAL OILWELL VARCO INC AT 68 8963 TRADE DATE 2013-06-28 SETTLEMENT DATE 2013-07-03 $26,443 24 CASH RECEIVABLE	06/28/2013	(26 444 54)	00	(1 30)	26,443 24
(264 0000)	828806109	SOLD 264 SHARES OF SIMON PROPERTY GRP INC (REIT) AT 158 6553 TRADE DATE 2013-06-28 SETTLEMENT DATE 2013-07-03 $41,875 69 CASH RECEIVABLE	06/28/2013	(23 515 07)	18,335 87	24 75	41,875 69
(5720)	98978V103	SOLD 0 572 SHARES OF ZOETIS INC AT 29 796789 TRADE DATE 2013-07-11 SETTLEMENT DATE 2013-07-11 CASH IN LIEU FROM SALE OF FRACTIONAL SHARES	07/11/2013	(17 13)	00	(09)	17 04
(374 0000)	311900104	SOLD 374 SHARES OF FASTENAL CO AT 46 0117 TRADE DATE 2013-07-25 SETTLEMENT DATE 2013-07-30 $17,195 92 CASH RECEIVABLE	07/25/2013	(9 081 55)	8,114 37	00	17,195 92
(497 0000)	25746U109	SOLD 497 SHARES OF DOMINION RESOURCES INC AT 61 5854 TRADE DATE 2013-09-18 SETTLEMENT DATE 2013-09-23 $30,591 26 CASH RECEIVABLE	09/18/2013	(23 646 04)	6,945 22	00	30,591 26
(83 0000)	539830109	SOLD 83 SHARES OF LOCKHEED MARTIN CORPORATION COM AT 128 8075 TRADE DATE 2013-09-18 SETTLEMENT DATE 2013-09-23 $10,688 13 CASH RECEIVABLE	09/18/2013	(9 745 44)	00	942 69	10,688 13
(452 0000)	718546104	SOLD 452 SHARES OF PHILLIPS 66 AT 57 1091 TRADE DATE 2013-09-30 SETTLEMENT DATE 2013-10-03 $25,798 17 CASH RECEIVABLE	09/30/2013	(13 271 02)	12,257 65	269 50	25,798 17

Realized Gain/(Loss)

081186-001 WTNA NJ INV AGT/SANDS FNDN

From January 01, 2013 through December 31, 2013

Quantity	CUSIP	Description	Trade Date	Fed Cost	Fed LT GL	Fed ST GL	Proceeds Amount
(241 0000)	747525103	SOLD 241 SHARES OF QUALCOMM INC COM AT 69 4518 TRADE DATE 2013-11-06 SETTLEMENT DATE 2013-11-12 $16,729 76 CASH RECEIVABLE	11/06/2013	(12 628 93)	4,100 83	00	16,729 76
TOTAL PRINCIPAL							
(7,241 5720)				(214,259 67)	**94,991.48**	**3,304.06**	**312,555.21**

Realized Gain/(Loss)

081186-002 WTNA NJ INV AGT/SANDS FNDN-OPTIONS

From January 01, 2013 through December 31, 2013

Quantity	CUSIP	Description	Trade Date	Fed Cost	Fed LT GL	Fed ST GL	Proceeds Amount
PRINCIPAL							
47 0000	9EZ993017W	DISTRIBUTED 47 CONTRACTS OF CALL ON EEM 01/19/13 @ 44 000 CALL BOUGHT IN	01/18/2013	2,090 31	00	(1 553 33)	00
83 0000	2YR99V249W	DISTRIBUTED 83 CONTRACTS OF CALL ON EFA 01/19/13 @ 57 000 CALL BOUGHT IN	01/18/2013	4,355 39	00	(5 393 28)	00
(4 399 4060)	316146794	SOLD 4399 406 UNITS OF FIDELITY FOCUSED HIGH INCOME FUND AT 9 45 TRADE DATE 2013-01-28 SETTLEMENT DATE 2013-01-29 $41,574 39 CASH RECEIVABLE	01/28/2013	(40 153 77)	1,413 45	7 17	41,574 39
(14 007 0530)	922031760	SOLD 14007 053 UNITS OF VANGUARD HIGH YIELD CORP CL ADML AT 6 15 TRADE DATE 2013-01-28 SETTLEMENT DATE 2013-01-29 $86,143 38 CASH RECEIVABLE	01/28/2013	(73 806 37)	12,337 01	00	86,143 38
33 0000	9NE993523W	DISTRIBUTED 33 CONTRACTS OF CALL ON EFA 03/16/13 @ 58 000 CALL BOUGHT IN	03/14/2013	2,523 64	00	(3 283 97)	00
95 0000	05Z993783W	DISTRIBUTED 95 CONTRACTS OF CALL ON SPY 03/28/13 @ 150 000 CALL BOUGHT IN	03/14/2013	16,574 82	00	(45 984 98)	00
10 0000	49D994940W	DISTRIBUTED 10 CONTRACTS OF CALL ON EEM 03/16/13 @ 45 000 CALL EXPIRED	03/18/2013	794 74	00	794 74	00
(57 0000)	49F994823B	DISTRIBUTED 57 CONTRACTS OF PUT ON EEM 03/16/13 @ 38 000 EXPIRED WORTHLESS	03/26/2013	(2 146 00)	00	(2 146 00)	00
(116 0000)	3T5993484B	DISTRIBUTED 116 CONTRACTS OF PUT ON EFA 03/16/13 @ 50 000 EXPIRED WORTHLESS	03/26/2013	(5 279 07)	00	(5 279 07)	00
(6 0000)	4VN992548B	DISTRIBUTED 6 CONTRACTS OF PUT ON IWM 03/28/13 @ 71 000 EXPIRED WORTHLESS	03/29/2013	(411 18)	00	(411 18)	00
(95 0000)	5HQ993790B	DISTRIBUTED 95 CONTRACTS OF PUT ON SPY 03/28/13 @ 120 000 EXPIRED WORTHLESS	03/29/2013	(8 220 00)	00	(8.220 00)	00
(4 712 6250)	76628T678	SOLD 4712 625 UNITS OF RIDGEWORTH SEIX FLTNG RT HI INC FD I AT 9 03 TRADE DATE 2013-06-07 SETTLEMENT DATE 2013-06-10 $42,555 00 CASH RECEIVABLE	06/07/2013	(42 602 13)	00	(47 13)	42,555 00
(400 0000)	78462F103	SOLD 400 UNITS OF SPDR S&P 500 ETF AT 164 01 TRADE DATE 2013-06-07 SETTLEMENT DATE 2013-06-12 $65,589 85 CASH RECEIVABLE	06/07/2013	(53 393 00)	12,196 85	.00	65,589 85
(900 0000)	464287234	SOLD 900 UNITS OF ISHARES MSCI EMERGING MARKETS ETF AT 38 9201 TRADE DATE 2013-07-31 SETTLEMENT DATE 2013-08-05 $34,998 23 CASH RECEIVABLE	07/31/2013	(43 013 87)	(8 015 64)	00	34,998 23
(1 900 0000)	464287234	SOLD 1900 UNITS OF ISHARES MSCI EMERGING MARKETS ETF AT 37 85 TRADE DATE 2013-08-29 SETTLEMENT DATE 2013-09-04 $71,851 99 CASH RECEIVABLE	08/29/2013	(83 431 55)	(11 579 56)	00	71,851 99

WILMINGTON
TRUST

Realized Gain/(Loss)

081186-002 WTNA NJ INV AGT/SANDS FNDN-OPTIONS

From January 01, 2013 through December 31, 2013

Quantity	CUSIP	Description	Trade Date	Fed Cost	Fed LT GL	Fed ST GL	Proceeds Amount
(1 700 0000)	78462F103	SOLD 1700 UNITS OF SPDR S&P 500 ETF AT 164 9315 TRADE DATE 2013-08-29 SETTLEMENT DATE 2013-09-04 $280,323 42 CASH RECEIVABLE	08/29/2013	(226 684 86)	53,638 56	00	280,323 42
(144 0000)	464288273	SOLD 144 UNITS OF ISHARES MSCI EAFE SMALL-CAP ETF AT 49 63 TRADE DATE 2013-12-11 SETTLEMENT DATE 2013-12-16 $7,141 91 CASH RECEIVABLE	12/11/2013	(6 508 31)	00	633 60	7,141 91
(200 0000)	464287234	SOLD 200 UNITS OF ISHARES MSCI EMERGING MARKETS ETF AT 41 3501 TRADE DATE 2013-12-11 SETTLEMENT DATE 2013-12-16 $8,263 37 CASH RECEIVABLE	12/11/2013	(8 352 48)	(89 11)	00	8,263 37
(9 435 8080)	76628T678	SOLD 9435 808 UNITS OF RIDGEWORTH SEIX FLTNG RT HI INC FD I AT 9 05 TRADE DATE 2013-12-11 SETTLEMENT DATE 2013-12-12 $85,394 06 CASH RECEIVABLE	12/11/2013	(85 299 70)	00	94 36	85,394 06
(900 0000)	78462F103	SOLD 900 UNITS OF SPDR S&P 500 ETF AT 179 8401 TRADE DATE 2013-12-11 SETTLEMENT DATE 2013-12-16 $161,824 02 CASH RECEIVABLE	12/11/2013	(101 612 25)	60,211 77	00	161,824 02
TOTAL PRINCIPAL							
(38 704 8920)				(754,575 64)	**120,113.33**	(70,789 07)	**885,659.62**

Totaling various currencies across portfolios and/or accounts will not produce accurate results as security valuations are presented in their respective local currencies

*** Only gains and losses occurring within the specified date range appear on this report ***

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax prepara[illegible] without the assistance of your tax advisor.

***Classifcations listed below security descriptions:
Covered: Tax basis information for this security is covered by IRS reporting requirements.
Noncovered: Tax basis information for this security is not covered by IRS reporting requirements.
Mixed: Tax basis information for this security includes both covered and noncovered tax lots.
Wash: The tax basis for this security has been adjusted due to wash sale activity as defined by IRS regulations.
Gift: Security position includes gifted shares. Unrealized gain/loss displayed may not be indicative of gain or loss that would be realized upon sale of security due to tax rules for gifted stock.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
AGNICO-EAGLE MINES LTD CUSIP: 008474108 ***Covered	AEM **Cash**	2,000	26.3800 52,760.00	66.4725 132,945.00	-80,185.00	1,760.00	3.34%
DEVON ENERGY CORP NEW CUSIP: 25179M103 ***Covered	DVN **Cash**	1,000	61.8700 61,870.00	60.5649 60,564.90	1,305.10	880.00	1.42%
GOLDCORP INC NEW CUSIP: 380956409 ***Covered	GG **Cash**	1,500	21.6700 32,505.00	33.7496 50,624.47	-18,119.47	900.00	[illegible]77%
INTEL CORP CUSIP: 458140100 ***Covered	INTC **Cash**	2,000	25.9550 51,910.00	25.1719 50,343.80	1,566.20	1,800.00	3.47%

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
KINROSS GOLD CORP NO PAR CUSIP: 496902404 ***Mixed	KGC **Cash**	8,000	4.3800 35,040.00	19 1150 152,920.00	-117,880.00	N/A	N/A
NORTHWEST NATURAL GAS COMPANY CUSIP: 667655104 ***Covered	NWN **Cash**	1,500	42.8200 64,230.00	43.5300 65,295.00	-1,065.00	2,760.00	4 30%
PENN WEST PETE LTD NEW CUSIP· 707887105 ***Covered	PWE **Cash**	2,500	8 3600 20,900.00	10.5258 26,314 40	-5,414 40	1,322.25	6.33%
PEPCO HLDGS INC CUSIP· 713291102 ***Covered	POM **Cash**	1,700	19.1300 32,521.00	19.0465 32,379.00	142.00	1,836.00	5.65%
STATOIL ASA SPONSORED ADR CUSIP: 85771P102 ***Covered	STO **Cash**	1,500	24.1300 36,195.00	22 9399 34,409.85	1,785.15	1,717.50	4.75%
SYSCO CORP CUSIP 871829107 ***Covered	SYY **Cash**	1,000	36 1000 36,100 00	37.5144 37,514.40	-1,414.40	1,160.00	3 21%
TEVA PHARMACEUTICAL INDS LTD ADR CUSIP· 881624209 ***Covered	TEVA **Cash**	1,600	40.0800 64,128 00	39 6605 63,456.84	671 16	2,038.56	3 18%
WEIGHT WATCHERS INTL INC CUSIP: 948626106 ***Covered	WTW **Cash**	1,500	32 9300 49,395 00	38 0533 57,080.00	-7,685 00	1,050.00	2[illegible]%
WEATHERFORD INTL LTD REG CUSIP H27013103 ***Covered	WFT **Cash**	2,000	15.4900 30,980.00	15.4323 30,864.66	115.34	N/A	N/A

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Pnce/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
TRANSOCEAN LIMITED NAMEN AKT CUSIP H8817H100 ***Covered	RIG **Cash**	1,200	49 4200 59,304.00	49.1501 58,980.17	323.83	2,688.00	4.53%
Total Equities			**$627,838.00**	**$853,692.49**	**-$225,854.49**	**$19,912.31**	**3.17%**

Mutual Funds	Symbol/ Type	Quantity	Current Pnce/ Current Value	Average Unit Cost/ Cost Basis	Original Investment 1/ Cumulative Return^2	Unrealized Gain/(-)Loss*	Estimated Annualized Income	Estimated Yield %
Open-End Funds								
IVA WORLDWIDE CL C CUSIP 45070A503 *Dividend Option· Reinvest* ***Mixed	IVWCX **Cash**	3,243.441	17 7100 57,441.34	17.1167 55,516.96	50,000.00 7,441.34	1,924.34	603.28	1 05%
MAINSTAY TAX FREE BOND CL A CUSIP: 56062F756 *Dividend Option: Reinvest* ***Covered	MTBAX **Cash**	10,765.844	9.2900 100,014.69	9.4273 101,493.28	100,000.00 14.69	-1,478.62	3,929.53	3.93%
MAINSTAY HIGH YIELD MUNICIPAL BOND CL A CUSIP: 56063U547 *Dividend Option. Reinvest* ***Covered	MMHAX **Cash**	35,389.997	10.7300 379,734.66	11.6838 413,490.09	404,522 29 -24,787.63	-33,755.48	18,756.69	4.94%
NORTHERN LIGHTS GOOD HARBOR U S TACTICAL CORE CL C CUSIP. 66538E754 ***Covered	GHUCX **Cash**	2,264.493	11.8300 26,788 95	11 0400 25,000 00	25,000.00 1,788.95	1,788.95	N/A	N/A

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	*Symbol/ Type*	*Quantity*	*Current Price/ Current Value*	*Average Unit Cost/ Cost Basis*	*Original Investment ¹/ Cumulative Return²*	*Unrealized Gain/(-)Loss**	*Estimated Annualized Income*	*Estimated Yield %*
Closed-End Funds								
DOUBLELINE INCOME SOLUTIONS FUND CUSIP: 258622109 ***Covered	DSL **Cash**	3,000	21.0900 63,270.00	23.7821 71,346.40	71,346.40 -8,076.40	-8,076.40	5,400.00	8.53%
ING PRIME RATE TRUST SH BEN INT CUSIP· 44977W106 ***Covered	PPR **Cash**	3,000	5.8200 17,460.00	5.9183 17,755.00	17,755.00 -295.00	-295.00	1,134.00	6.49%
NUVEEN CREDIT STRATEGIES INCOME FUND CUSIP: 67073D102 ***Covered	JQC **Cash**	2,000	9.7300 19,460 00	9.2925 18,585.00	18,585.00 875.00	875.00	1,308.00	6.72%
Total Mutual Funds			**$664,169.64**	**$703,186.73**		**-$39,017.21**	**$31,131.50**	**4.69%**
Total Portfolio Assets - Held at Stifel			**$1,292,007.64**	**$1,556,879.22**		**-$264,871.70**	**$51,043.81**	**3.95%**
Total Net Portfolio Value						**-$264,871.70**	**$51,043.81**	**1.84%**

[1] **Original Investment:** Total amount invested in the purchased shares still held in the account. Does not include shares purchased through automatic reinvestment of capital gains and dividends.

[2] **Cumulative Return:** Current Value minus Original Investment. This is the capital appreciation (depreciation) of all shares purchased, including shares purchased through automatic reinvestment of capital gains and dividends.

* Please note "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing. Unrealized gains or losses are provided for your information only and should not be used for tax purposes.

Account Holdings as of December 31, 2013

CASH AND CASH EQUIVALENTS

Description	*Current Balance*
Money Market Funds	$77,552 71
TOTAL CASH AND CASH EQUIVALENTS	**$77,552.71**

EQUITIES AND OPTIONS

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
07/03/07	AT&T INC C T	2,700	$35 16	$94,932 00	$41 3961	$111,769 49 —	-$16,837 49	$4,968	5 23%
11/01/07		61		2,144 76	41 5200	2,532 72 —	-387 96	112	
Total		2,761		97,076.76	41.3988	114,302.21 —	-17,225.45	5,080	
09/25/07	COSTCO WHOLESALE CORP C COST	300	119 02	35,706 00	59 4600	17,838 00 —	17,868 00	372	1 04%
07/25/07	NORFOLK SOUTHERN CORP C NSC	500	92 83	46,415 00	54 4400	27,220 00 —	19,195 00	1,040	2 24%
07/30/07		500		46,415 00	53 7500	26,875 00 —	19,540 00	1,040	
08/07/07		300		27,849 00	50 5000	15,150 00 —	12,699 00	624	
Total		1,300		120,679.00	53.2654	69,245.00 —	51,434 00	2,704	

EQUITIES AND OPTIONS continue on page 4

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/04/07	ZIMMER HOLDINGS INC C ZMH	200	93.19	18,638 00	80 9000	16,180 00 —	2,458.00	160	0 86%
TOTAL EQUITIES AND OPTIONS				**$272,099.76**		**$217,565.21** —	**$54,534.55**	**$8,316**	

C Dividends and/or capital gains distributed by this security will be distributed as cash.

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/03/07 *Transfers*	HENDERSON R INTL OPPTYS CL A HFOAX	2,223.948	$26 98	$60,002.09	$27 36	$60,847.21 —	-$845 12	—	—
12/10/07* *Purchases*		618.244		16,680 25	24 65	15,242 52 —	1,437 73	—	
Total		**2,842.192**		**76,682.34**	**26.77**	**76,089.73** —	**592.61**	—	
06/28/05* *Transfers*	ISHARES U S UTILITIES ETF IDU	1,006	95 82	96,394.92	78 23	78,700 78 —	17,694 14	2,985	3 10%
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				**$173,077.26**		**$154,790.51** —	**$18,286.75**	**$2,985**	

Total 445,177.02 372,355.72

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

[2] *Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments*

LPL Financial

Investment Detail

Sands Foundation

As of December 31, 2013

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM
Equity							
548 0000 ACE LIMITED CUSIP H0023R105 TKR ACE	$56,734.44 103 5300	0.51	$43,431 12 79 25	$13,303.32	$0 00	$1,380 96	2 43
318 0000 AIR PRODUCTS & CHEMICALS INC CUSIP 009158106 TKR APD	35,546 04 111.7800	0.32	24,248 69 76.25	11,297 35	225.78	903.12	2.54
91 0000 APPLE INC CUSIP 037833100 TKR AAPL	51,052 82 561 0200	0 45	51,404.08 564 88	(351 26)	0.00	1,110.20	2.17
1,747 0000 BB&T CORP COM CUSIP 054937107 TKR BBT	65,198.04 37.3200	0.58	49,524.03 28 35	15,674 01	0.00	1,607 24	2.47
1,011.0000 CARDINAL HEALTH INC OM CUSIP 14149Y108 TKR CAH	67,544.91 66 8100	0.60	38,241 55 37.83	29,303 36	305 83	1,223 31	1 81
702 0000 CHEVRON CORP CUSIP 166764100 TKR CVX	87,686.82 124 9100	0 78	59,273 21 84 43	28,413 61	0.00	2,808 00	3 20
879.0000 CONOCOPHILLIPS CUSIP 20825C104 TKR COP	62,101 35 70 6500	0 55	36,392 45 41 40	25,708 90	0 00	2,426 04	3 91
191 0000 COSTCO WHOLESALE CORP COM CUSIP 22160K105 TKR COST	22,732 82 119 0200	0 20	10,445.49 54 69	12,287 33	0 00	236 84	1 04
716.0000 CVS/CAREMARK CORPORATION CUSIP 126650100 TKR CVS	51,244 12 71 5700	0 46	35,163 05 49 11	16,081 07	0 00	787 60	1 54
763 0000 DANAHER CORP COM CUSIP 235851102 TKR DHR	58,903 60 77 2000	0 52	39,541.16 51 82	19,362.44	19.08	76 30	0.1

continued

Investment Detail

Sands Foundation

As of December 31, 2013

Equity

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
805 0000 DICKS SPORTING GOODS INC CUSIP 253393102 TKR DKS	$46,770 50 58 1000	0 42	$42,145 13 52 35	$4,625 37	$0 00	$402 50	0 86
331 0000 EMERSON ELECTRIC COMPANY CUSIP 291011104 TKR EMR	23,229 58 70 1800	0 21	17,676 46 53 40	5,553 12	0 00	569 32	2 45
374 0000 EXXON MOBIL CORP CUSIP 30231G102 TKR XOM	37,848 80 101 2000	0 34	26,446 55 70 71	11,402 25	0 00	942.48	2.49
3,305 0000 GENERAL ELECTRIC COMPANY CUSIP 369604103 TKR GE	92,639 15 28 0300	0 83	74,224 20 22 46	18,414 95	727 10	2,908 40	3 14
1,204 0000 INTEL CORP COM CUSIP 458140100 TKR INTC	31,249 82 25 9550	0 28	24,354 76 20 23	6,895 06	0 00	1,083 60	3 47
12,200 0000 ISHARES MSCI EAFE ETF CUSIP 464287465 TKR EFA	818,559 00 67 0950	7 29	687,534 08 56 36	131,024 92	0 00	20,776.60	2 54
(61 0000) ISHARES MSCI EAFE ETF CALL MAR 68 EXPIRES 3/22/2014 CUSIP 2WS994CN9W	(7,808 00) 128.0000	(0 07)	(2,676 95) 43 88	(5,131 05)	0 00	0 00	0 00
(61 0000) ISHARES MSCI EAFE ETF CALL JAN 70 EXPIRES 1/17/2015 CUSIP 3ZQ9956Y3W	(14,518 00) 238.0000	(0 13)	(9,413 81) 154 32	(5,104 19)	0 00	0 00	0 00
122 0000 ISHARES MSCI EAFE ETF PUT JAN 58 EXPIRES 1/17/2015 CUSIP 3Z19951O7B	23,058 00 189 0000	0 21	39,165 09 321 03	(16,107 09)	0 00	0 00	0 00

continued

Investment Detail

Sands Foundation

As of December 31, 2013

	QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM
Equity								
	7,259.0000 ISHARES MSCI EAFE SMALL-CAP ETF CUSIP 464288273 TKR SCZ	$370,063.82 50.9800	3 30	$325,912 05 44 90	$44,151 77	$0.00	$8,855.98	2 39
	4,200 0000 ISHARES MSCI EMERGING MARKETS ETF CUSIP 464287234 TKR EEM	175,539 00 41 7950	1.56	174,983.46 41 66	555 54	0 00	3,603 60	2.05
	(21 0000) ISHARES MSCI EMERGING MARKETS ETF CALL MAR 44 5 EXPIRES 3/22/2014 CUSIP 12F994R04W	(924.00) 44.0000	(0.01)	(975 97) 46 47	51 97	0 00	0.00	0.00
	(21 0000) ISHARES MSCI EMERGING MARKETS ETF CALL DEC 46 5 EXPIRES 12/20/2014 CUSIP 12F994X49W	(2,688.00) 128.0000	(0.02)	(2,821.93) 134.38	133 93	0.00	0 00	0.00
	42.0000 ISHARES MSCI EMERGING MARKETS ETF PUT DEC 36 5 EXPIRES 12/20/2014 CUSIP 12Q994WK2B	7,560 00 180.0000	0.07	10,732 48 255.54	(3,172.48)	0.00	0 00	0 00
	3,000 0000 ISHARES RUSSELL 2000 ETF CUSIP 464287655 TKR IWM	346,080.00 115 3600	3 08	301,680.98 100 56	44,399.02	0 00	4,242.00	1 23
	(15 0000) ISHARES RUSSELL 2000 ETF CALL MAR 118 EXPIRES 3/22/2014 CUSIP 25W994L86W	(3,300 00) 220.0000	(0 03)	(1,346 10) 89 74	(1,953 90)	0 00	0 00	0 00
	30 0000 ISHARES RUSSELL 2000 ETF PUT DEC 100 EXPIRES 12/31/2014 CUSIP 1YB994N10B	13,680 00 456 0000	0 12	19,036 06 634.54	(5,356 06)	0 00	0.00	0.00

continued

Investment Detail

Sands Foundation

As of December 31, 2013

Equity

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
(15 0000) ISHARES RUSSELL 2000 ETF CALL DEC 120 EXPIRES 12/31/2014 CUSIP 1VV994JJ0W	($8,940 00) 596 0000	(0 08)	($5,742 01) 382 80	($3,197 99)	$0 00	$0 00	0 00
787 0000 JOHNSON & JOHNSON CUSIP 478160104 TKR JNJ	72,081 33 91 5900	0 64	48,851 57 62.07	23,229 76	0 00	2,077 68	2.88
1,019 0000 JPMORGAN CHASE & CO CUSIP 46625H100 TKR JPM	59,591 12 58.4800	0 53	43,020 34 42 22	16,570 78	0 00	1,548 88	2 60
395 0000 LOCKHEED MARTIN CORPORATION COM CUSIP 539830109 TKR LMT	58,720 70 148 6600	0 52	42,007 19 106 35	16,713 51	0.00	2,101 40	3 58
708 0000 LOWES COMPANIES INCORPORATED CUSIP 548661107 TKR LOW	35,081 40 49 5500	0 31	29,099 15 41 10	5,982 25	0 00	509 76	1 45
813 0000 MAXIM INTEGRATED PRODS INC COM CUSIP 57772K101 TKR MXIM	22,682 70 27.9000	0 20	12,575 12 15 47	10,107 58	0 00	845 52	3 73
1,082 0000 MERCK & COMPANY INC CUSIP 58933Y105 TKR MRK	54,154 10 50 0500	0 48	42,328 72 39 12	11,825 38	476 08	1,904 32	3 52
1,061 0000 METLIFE INCORPORATED CUSIP 59156R108 TKR MET	57,209 12 53 9200	0 51	35,261 09 33 23	21,948.03	0 00	1,167 10	2 04
746 0000 NORDSTROM INC COM CUSIP 655664100 TKR JWN	46,102 80 61 8000	0 41	33,314 21 44 66	12,788.59	0.00	895 20	1 94
484 0000 OCCIDENTAL PETROLEUM CORP COMMON CUSIP 674599105 TKR OXY	46,028 40 95 1000	0 41	42,265 78 87 33	3,762 62	309.76	1,239 04	2 69

continued

Investment Detail

Sands Foundation

As of December 31, 2013

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM
Equity							
827 0000 OMNICOM GROUP INC COM CUSIP 681919106 TKR OMC	$61,503 99 74 3700	0 55	$33,861.61 40 95	$27,642.38	$330.80	$1,323 20	2 15
1,298 0000 PEOPLES UNITED FINANCIAL INC CUSIP 712704105 TKR PBCT	19,625 76 15 1200	0 17	19,437 56 14.98	188.20	0.00	843 70	4 30
509 0000 PEPSICO INC COM CUSIP 713448108 TKR PEP	42,216 46 82.9400	0.38	34,214 05 67 22	8,002 41	288.86	1,155.43	2 74
2,182 0000 PFIZER INC CUSIP 717081103 TKR PFE	66,834 66 30 6300	0 60	51,678 02 23.68	15,156 64	0.00	2,269.28	3.40
400 0000 PHILIP MORRIS INTERNATIONAL INC CUSIP 718172109 TKR PM	34,852 00 87 1300	0.31	22,064 00 55 16	12,788 00	376 00	1,504 00	4 32
592.0000 PNC FINANCIAL SERVICES GROUP INC CUSIP 693475105 TKR PNC	45,927 36 77 5800	0 41	35,369 81 59 75	10,557.55	0 00	1,041 92	2 27
1,477 0000 PPL CORP COM CUSIP 69351T106 TKR PPL	44,442.93 30.0900	0 40	38,906.99 26 34	5,535 94	542.80	2,171 19	4 89
610 0000 QUALCOMM INC COM CUSIP 747525103 TKR QCOM	45,292.50 74.2500	0 40	25,222 05 41.35	20,070.45	0.00	854 00	1 89
574 0000 SCHLUMBERGER LTD CUSIP 806857108 TKR SLB	51,723 14 90.1100	0.46	39,216 90 68 32	12,506.24	179 38	717 50	1 39
751 0000 SOUTHERN CO COM CUSIP 842587107 TKR SO	30,873.61 41 1100	0 28	27,349 77 36 42	3,523.84	0.00	1,524.53	4 94

continued

Investment Detail

Sands Foundation

As of December 31, 2013

	QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%
Equity								
	6,500 0000 SPDR S&P 500 ETF CUSIP 78462F103 TKR SPY	$1,200,485.00 184 6900	10 70	$743,522 17 114.39	$456,962 83	$0.00	$21,781 50	1 81
	(32 0000) SPDR S&P 500 ETF CALL MAR 190 EXPIRES 3/31/2014 CUSIP 6TW994VS5W	(6,176 00) 193 0000	(0 06)	(2,319 17) 72 47	(3,856.83)	0 00	0 00	0 00
	(33 0000) SPDR S&P 500 ETF CALL DEC 196 EXPIRES 12/20/2014 CUSIP 6UC994KU1W	(15,609 00) 473 0000	(0 14)	(9,519 49) 288 47	(6,089 51)	0 00	0 00	0 00
	65 0000 SPDR S&P 500 ETF PUT DEC 160 EXPIRES 12/20/2014 CUSIP 76D99U200B	29,965 00 461 0000	0 27	43,129 79 663 54	(13,164 79)	0 00	0 00	0.00
	1,200 0000 SPDR S&P MIDCAP 400 ETF CUSIP 78467Y107 TKR MDY	293,040 00 244 2000	2 61	260,883 00 217 40	32,157 00	0 00	3,126 00	1 07
	(6 0000) SPDR S&P MIDCAP 400 ETF CALL MAR 250 EXPIRES 3/22/2014 CUSIP 15M995AP9W	(2,100 00) 350 0000	(0 02)	(854 84) 142 47	(1,245 16)	0 00	0 00	0.00
	12 0000 SPDR S&P MIDCAP 400 ETF PUT JAN 210 EXPIRES 1/17/2015 CUSIP 88V9968N2B	8,160 00 680 0000	0 07	12,858 42 1,071 54	(4,698 42)	0 00	0 00	0 00
	(6 0000) SPDR S&P MIDCAP 400 ETF CALL JAN 260 EXPIRES 1/17/2015 CUSIP 45M9957M7W	(4,800 00) 800 0000	(0 04)	(3,266 78) 544 46	(1,533 22)	0 00	0 00	0 00

continued

Investment Detail

Sands Foundation

As of December 31, 2013

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM
Equity							
659 0000 T ROWE PRICE GROUP INC COMMON CUSIP 74144T108 TKR TROW	$55,204 43 83 7700	0 49	$34,465 70 52 30	$20,738 73	$0 00	$1,001 68	1 81
631 0000 TARGET CORP COM CUSIP 87612E106 TKR TGT	39,923.37 63.2700	0 36	24,391.54 38 66	15,531 83	0 00	1,085 32	2.72
583 0000 TEVA PHARMACEUTICAL SPONS ADR CUSIP 881624209 TKR TEVA	23,366 64 40.0800	0 21	28,106 81 48.21	(4,740 17)	0.00	633.14	2 71
715 0000 THE TRAVELERS COMPANIES INC CUSIP 89417E109 TKR TRV	64,736 10 90.5400	0 58	38,537 20 53 90	26,198 90	0 00	1,430 00	2 21
555 0000 U S BANCORP DEL COM NEW CUSIP 902973304 TKR USB	22,422 00 40 4000	0 20	15,176 78 27 35	7,245 22	127 65	510 60	2 28
233 0000 UNION PACIFIC CORP COM CUSIP 907818108 TKR UNP	39,144.00 168.0000	0 35	27,056.84 116 12	12,087 16	184.07	736 28	1 88
363 0000 UNITED PARCEL SERVICE CL B CUSIP 911312106 TKR UPS	38,144 04 105 0800	0 34	24,166 12 66 57	13,977 92	0 00	900 24	2.36
32,919 0000 VANGUARD FTSE DEVELOPED MARKET ETF CUSIP 921943858 TKR VEA	1,372,063 92 41 6800	12.22	1,065,053.70 32.35	307,010.22	0 00	35,651.28	2 60
7,053 0000 VANGUARD FTSE EMERGING MARKETS ETF CUSIP 922042858 TKR VWO	290,160.42 41 1400	2 59	290,759.30 41 22	(598.88)	0 00	7,934 63	2.73
920 0000 VODAFONE GROUP PLC SPONSORED ADR CUSIP 92857W209 TKR VOD	36,165 20 39 3100	0 32	25,381 33 27 59	10,783 87	503 12	1,462 80	4.0

continued

Investment Detail

Sands Foundation

As of December 31, 2013

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
Equity							
1,370 0000 WELLS FARGO & CO CUSIP 949746101 TKR WFC	$62,198.00 45 4000	0 55	$39,662 60 28 95	$22,535 40	$0 00	$1,644 00	2 64
153,733 6120 WILMINGTON LARGE-CAP STRATEGY FUND INSTITUTIONAL SHARES CUSIP 97181C415 TKR WMLIX	2,608,859 40 16 9700	23 24	1,621,871 98 10 55	986,987 42	0.00	42,123 01	1 61
39,959 7320 WILMINGTON SMALL-CAP STRATEGY FUND INSTITUTIONAL SHARES CUSIP 97181C381 TKR WMSIX	596,199 20 14 9200	5 31	507,559 85 12 70	88,639 35	0 00	6,753 19	1.13
664 0000 ZOETIS INC CUSIP 98978V103 TKR ZTS	21,706 16 32 6900	0 19	19,125 53 28 80	2,580 63	0 00	191 23	0 88
TOTAL Equity	**10,175,046.59**	**90.65**	**7,570,371.67**	**2,604,674.92**	**4,596.31**	**208,602.64**	**2.05**
Inflation Hedges							
1,385 0000 ISHARES COHEN & STEERS REIT ETF CUSIP 464287564 TKR ICF	103,487 20 74 7200	0 92	73,071 94 52 76	30,415 26	0 00	3,524 83	3 41
1,679 0000 ISHARES TIPS BOND ETF CUSIP 464287176 TKR TIP	184,522 10 109 9000	1 64	178,996 27 106 61	5,525 83	0 00	2,118 90	1 15
2,423 0000 POWERSHARES DB COMMODITY INDEX TRACKING FUND ETF CUSIP 73935S105 TKR DBC	62,174 18 25 6600	0.55	57,601 39 23 77	4,572 79	0 00	0 00	0 00
1,354 0000 SPDR DOW JONES INTERNATIONAL REAL ESTATE ETF CUSIP 78463X863 TKR RWX	55,784 80 41 2000	0 50	55,137 03 40 72	647 77	0 00	2,530 63	4[illegible]
TOTAL Inflation Hedges	**405,968.28**	**3.62**	**364,806.63**	**41,161.65**	**0.00**	**8,174.36**	**2.01**

continued

Total 10,581,014.87 7,935,178.30

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

** The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.*

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated.

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABBOTT LABORATORIES	ABT	380.000	$38.330	$14,565.40	$13,373.49	$1,191.91	$334.40
COLGATE PALMOLIVE COMPANY	CL	211.000	$65.210	$13,759.31	$13,699.70	$59.61	$286.96
CREDIT SUISSE NASSAU BRH EQUAL WEIGHT MLP INDEX ETN LKD TO CUSHING 30 MLP INDEX	MLPN	677.000	$31.410	$21,264.57	$19,625.56	$1,639.01	$895.67
CVS CAREMARK CORPORATION	CVS	221.000	$71.570	$15,816.97	$13,792.06	$2,024.91	$243.10
DISCOVER FINANCIAL SERVICES	DFS	226.000	$55.950	$12,644.70	$10,696.13	$1,948.57	$180.80
DOLLAR TREE INC	DLTR	264.000	$56.420	$14,894.88	$13,920.72	$974.16	
FLEXSHARES TR QUALITY DIVID INDEX FD	QDF	916.000	$33.290	$30,493.64	$29,569.76	$923.88	$634.79
GLOBAL X FDS GLOBAL X MLP & ENERGY INFRASTRUCTURE ETF	MLPX	621.000	$16.320	$10,134.66	$9,147.27	$987.39	$137.24
GUGGENHEIM INSIDER SENTIMENT ETF	NFO	265.000	$46.870	$12,420.55	$11,779.14	$641.41	$115.28
POWERSHARES POWERSHARES BUYBACK ACHIEVERS	PKW	782.000	$43.080	$33,688.56	$29,215.44	$4,473.12	$208.79
POWERSHARES EXCHANGE TRADED FD TR II S&P 500 HIGH BETA PORT	SPHB	7,692.000	$30.600	$235,375.20	$197,353.64	$38,021.56	$1,623.01
SPDR SER TR S&P INS ETF	KIE	206.000	$63.090	$12,996.54	$11,217.94	$1,778.60	$179.01

US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMA ANNUALIZED INC
VANGUARD SECTOR INDEX FDS VANGUARD INDUSTRIALS ETF	VIS	318.000	$100.030	$31,809.54	$28,616.31	$3,193.23	$337.08
VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY ETF	VGT	255.000	$89.540	$22,832.70	$19,815.29	$3,017.41	$240.72
WALT DISNEY CO	DIS	204.000	$76.400	$15,585.60	$13,486.22	$2,099.38	$175.44
WISDOMTREE TRUST LARGECAP DIVIDEND FUND ETF	DLN	5,041.000	$66.540	$335,428.14	$303,718.24	$31,709.90	$8,065.60
TOTAL US EQUITIES				**$833,710.96**	**$739,026.91**	**$94,684.05**	**$13,657.89**

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN INDEX FUND	AAXJ	1,191.000	$60.310	$71,829.21	$68,196.55	$3,632.66	$1,269.61
ISHARES MSCI CANADA ETF	EWC	602.000	$29.160	$17,554.32	$16,518.16	$1,036.16	$415.98
ISHARES MSCI EAFE ETF	EFA	1,947.000	$67.095	$130,633.97	$115,571.97	$15,062.00	$3,315.74
ISHARES MSCI EAFE VALUE ETF	EFV	356.000	$57.200	$20,363.20	$17,924.56	$2,438.64	$648.63
ISHARES MSCI EMU ETF	EZU	1,372.000	$41.380	$56,773.36	$48,453.30	$8,320.06	$1,263.61
ISHARES MSCI JAPAN ETF	EWJ	5,821.000	$12.139	$70,661.12	$63,506.53	$7,154.59	$785.84
ISHARES MSCI SOUTH KOREA CAPPED ETF	EWY	218.000	$64.670	$14,098.06	$12,625.75	$1,472.31	$195.76
POWERSHARES GLOBAL EXCHANGE TRADED FD TR FTSE RAFI DEVELOPED MARKETS EX	PXF	1,033.000	$43.970	$45,421.01	$39,987.33	$5,433.68	$1,092.91
VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	VGK	3,820.000	$58.800	$224,616.00	$195,311.44	$29,304.56	$6,22
WISDOMTREE JAPAN HEDGED EQUITY FUND	DXJ	1,269.000	$50.840	$64,515.96	$58,933.90	$5,582.06	$784.24
WISDOMTREE TRUST JAPAN SMALLCAP DIVIDEND FUND ETF	DFJ	379.000	$51.010	$19,332.79	$17,786.47	$1,546.32	$425.62
TOTAL INTERNATIONAL EQUITIES				**$735,799.00**	**$654,815.96**	**$80,983.04**	**$16,420.72**

GEORGE H AND ESTELLE M SANDS FOUNDATION

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMA ANNUALIZED IN
PIMCO 0-5 YEAR HIGH YIELD CORPORATE BOND INDEX EXCHANGE TRADED FUND	HYS	984.000	$106.360	$104,658.24	$102,463.82	$2,194.42	$4,551.00
ALPS ETF TR RIVERFRONT STRATEGIC INCOME FD	RIGS	3,635.000	$25.037	$91,007.68	$90,573.91	$433.77	$418.03
SPDR BARCLAYS SHORT TERM HIGH YIELD BOND ETF	SJNK	4,047.000	$30.850	$124,849.95	$123,514.39	$1,335.56	$6,665.41
TOTAL TAXABLE FIXED INCOME		8,666.000		$320,515.87	$316,552.12	$3,963.75	$11,634.44
Total				1,890,025.83	1,710,394.99		

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not

Equities

Unit investment trusts

When cost basis is available, we may have adjusted it for all or part of principal payments made by the trust to you

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
UNTS AAM CONV AND INC									
C/E PRTFO SER 2012-1									
11-2 REIN 03/11/14									
Trade date Mar 8, 12	6,955 000	9 786	68,063 62	68,063 62	10 050	69,897 75	1,834 13		LT
Total reinvested	953 000	9 356		8,916 66	10 050	9,577 65	660 99		
EAI. $5,343 Current yield 6 72%									
Security total	7,908 000	9 734	68,063 62	76,980 28		79,475 40	2,495 12	11,411 78	
UNTS AAM TACTICAL INC									

continued next page

Your assets › **Equities** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
C-E 2012-2									
REIN TM 05/14/14									
Trade date May 25, 12	5,003 000	9 865	49,358 12	49,358 12	10 300	51,530 90	2,172 78		LT
Total reinvested	630 000	10 275		6,473 78	10 300	6,489 00	15 22		
EAI $4,286 Current yield 7 39%									
Security total	5,633 000	9 912	49,358 12	55,831 90		58,019 90	2,188 00	8,661 78	
UNTS AAM TACT INC C/E									
PRTFO SER 2012-3									
12-3 REIN 08/13/14									
Trade date Aug 20, 12	13,203 000	9 800	129,400 50	129,400 50	10 200	134,670 60	5,270 10		LT
Total reinvested	1,449 000	9 894		14,337 55	10 200	14,779 80	442 25		
EAI $11,042 Current yield 7 39%									
Security total	14,652 000	9 810	129,400 50	143,738 05		149,450 40	5,712 35	20,049 90	
UNTS AAM CONV AND INC									
C/E PRTFO SER 2012-2									
12-2 REIN 09/10/14									
Trade date Sep 17, 12	17,593 000	9 837	173,077 62	173,077 62	10 170	178,920 81	5,843 19		LT
Total reinvested	1,679 000	9 627		16,164 27	10 170	17,075 43	911 16		
EAI $13,251 Current yield 6 76%									
Security total	19,272 000	9 820	173,077 62	189,241 89		195,996 24	6,754 35	22,918 62	
UNTS AAM PRFD INC OPPOR									
PORT 2-YR SER 2012-2									
12-2 REIN 09/17/14									
Trade date Sep 19, 12	5,304 000	9 882	52,415 57	52,415 57	8 590	45,561 36	-6,854 21		LT
Total reinvested	513 000	9 204		4,722 13	8 590	4,406 67	-315 46		
EAI $3,609 Current yield 7 22%									
Security total	5,817 000	9 823	52,415 57	57,137 70		49,968 03	-7,169 67	-2,447 54	
UNTS AAM DIV RULER PRTFO									
SER 2012D									
12D REIN 01/13/14									
Trade date Oct 10, 12	5,012 000	9 972	49,981 61	49,981 61	12 340	61,848 08	11,866 47		LT

continued next page

Your assets › **Equities** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
Trade date Oct 24, 12	14,349 000	9 782	140,374 67	140,374 67	12 340	177,066 66	36,691 99		LT
Total reinvested	662 000	10 827		7,168 10	12 340	8,169 08	1,000 98		
EAI $6,511 Current yield 2 64%									
Security total	20,023 000	9 865	190,356 28	197,524 38		247,083 82	49,559 44	56,727 54	
UNTS AAM INFL INC STRGY PRTFO SER 2012-2 12-2 REIN 11/06/14									
Trade date Jan 8, 13	7,227 000	10 377	74,999 64	74,999 64	10 560	76,317 12	1,317 48		ST
Total reinvested	471 000	10 465		4,929 38	10 560	4,973 76	44 38		
EAI $5,228 Current yield 6 43%									
Security total	7,698 000	10 383	74,999 64	79,929 02		81,290 88	1,361 86	6,291 24	
UNTS AAM SR VAR RATE AND INC CE 15MO SER 2013-1Q 13-1Q CASH 04/10/14									
Trade date Apr 4, 13	7,306 000	10 264	74,995 36	74,995 36	9 410	68,749 46	-6,245 90	-6,245 90	ST
EAI $4,463 Current yield 6 49%									
UNTS AAM CONV AND INC C/E PRTFO SER 2013-1 13-1 REIN 03/16/15									
Trade date Mar 20, 13	5,370 000	9 900	53,163 00	53,163 00	10 010	53,753 70	590 70		ST
Total reinvested	243 000	9 737		2,366 14	10 010	2,432 43	66 29		
EAI $3,590 Current yield 6 39%									
Security total	5,613 000	9 893	53,163 00	55,529 14		56,186 13	656.99	3,023 13	
UNTS AAM TACT INC C/E PRTFO SER 2013-1 13-1 REIN 02/17/15									
Trade date Feb 14, 13	6,037 000	9 900	59,766 30	59,766 30	9 770	58,981 49	-784 81		ST
Total reinvested	383 000	9 568		3,664 61	9 770	3,741 91	77 30		
EAI $4,661 Current yield 7 43%									
Security total	6,420 000	9 880	59,766 30	63,430 91		62,723 40	-707 51	2,957 10	

continued next page

Your assets › **Equities** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
UNTS AAM DIV RULER PRTFO									
SER 2013B									
13B REIN 07/10/14									
Trade date Apr 25, 13	5,988 000	9 974	59,724 91	59,724 91	11 270	67,484 76	7,759 85		ST
Trade date Jun 21, 13	5,002 000	9 994	49,990 99	49,990 99	11 270	56,372 54	6,381 55		ST
Total reinvested	160 000	10 552		1,688 35	11 270	1,803 20	114 85		
EAI $3,171 Current yield 2 52%									
Security total	11,150 000	9 991	109,715 90	111,404 25		125,660 50	14,256 25	15,944 60	
UNTS AAM INFL INC STRGY									
PRTFO SER 2013-2									
13-2 CASH 05/07/15									
Trade date May 13, 13	4,221 000	9 897	41,776 08	41,776 08	9 194	38,807 87	-2,968 21	-2,968 21	ST
EAI $2,452 Current yield 6 32%									
UNTS AAM INFL INC STRGY									
PRTFO SER 2013-2									
13-2 REIN 05/07/15									
Trade date May 13, 13	6,787 000	9 897	67,172 30	67,172 30	9 194	62,399 68	-4,772 62		ST
Trade date Jun 21, 13	5,435 000	9 198	49,995 48	49,995 48	9 194	49,969 39	-26 09		ST
Total reinvested	524 000	9 092		4,764 59	9 194	4,817 66	53 07		
EAI $7,403 Current yield 6 32%									
Security total	12,746 000	9 566	117,167 78	121,932 37		117,186 72	-4,745 64	18 95	
UNTS AAM TACT INC C/E									
PRTFO SER 2013-2									
13-2 CASH 05/18/15									
Trade date May 17, 13	7,574 000	9 486	71,847 72	71,847 72	8 810	66,726 94	-5,120 78	-5,120 78	ST
EAI $4,708 Current yield 7 06%									
UNTS AAM DIV RULER PRTFO									
SER 2013C									
13C REIN 10/14/14									
Trade date Jul 25, 13	6,383 000	10 075	64,311 92	64,311 92	10 750	68,617 25	4,305 33		ST
Total reinvested	74 000	10 416		770 83	10 750	795 50	24 67		

continued next page

Your assets › **Equities** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
EAI $1,759 Current yield 2 53%									
Security total	6,457 000	10 079	64,311 92	65,082 75		69,412 75	4,330 00	5,100 83	
UNTS AAM INFL INC STRGY									
PRTFO SER 2013-3									
13-3 CASH 07/15/15									
Trade date Jul 29, 13	7,630 000	9 829	74,996 03	74,996 03	9 510	72,561 30	-2,434 73		ST
Trade date Aug 20, 13	5,325 000	9 391	50,008 14	50,008 14	9 510	50,640 75	632 61		ST
EAI $7,447 Current yield. 6 04%									
Security total	12,955 000	9 649	125,004 17	125,004 17		123,202 05	-1,802 12	-1,802 12	
UNTS AAM DIV RULER PRTFO									
SER 2013D									
13D REIN 01/14/15									
Trade date Oct 25, 13	12,111 000	10 333	125,153 86	125,153 86	10 610	128,497 71	3,343 85		ST
Trade date Nov 7, 13	4,846 000	10 317	49,996 67	49,996 67	10 610	51,416 06	1,419 39		ST
Total reinvested	32 000	10 213		326 84	10 610	339 52	12 68		
EAI $3,996 Current yield 2 22%									
Security total	16,989 000	10 329	175,150 53	175,477 37		180,253 29	4,775 92	5,102 76	
UNTS AAM INFL INC STRGY									
PRTFO SER 2013-4									
13-4 REIN 11/05/15									
Trade date Nov 11, 13	17,167 000	9 878	169,587 64	169,587 64	10 050	172,528 35	2,940 71		ST
Total reinvested	32 000	9 558		305 88	10 050	321 60	15 72		
EAI $3,736 Current yield 2 16%									
Security total	17,199 000	9 878	169,587 64	169,893 52		172,849 95	2,956 43	3,262 31	
UNTS FT STRTG DVD SEL									
PORT SER 10									
10 REIN 07/20/15									
Trade date Sep 10, 13	7,202 000	9 845	70,909 46	70,909 46	10.250	73,820 50	2,911 04		ST
Total reinvested	87 000	10 011		870 99	10 250	891 75	20 76		
EAI $3,524 Current yield 4 72%									
Security total	7,289 000	9 848	70,909 46	71,780 45		74,712 25	2,931 80	3,802 79	

continued next page

Your assets › **Equities** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
UNTS FT TGT GLB DVD									
LEADERS 4Q 13									
13 REIN 01/09/15									
Trade date Dec 4, 13	4,961 000	10 079	50,005 39	50,005 39	10 440	51,792 84	1,787 45		ST
Total reinvested	33 000	10 051		331 70	10 440	344 52	12 82		
EAI $2,659 Current yield. 5 10%									
Security total	4,994 000	10 080	50,005 39	50,337 09		52,137 36	1,800 27	2,131 97	
UNTS FT PRFD INC SER 64									
-									
64 REIN 09/21/15									
Trade date. Sep 18, 13	7,519 000	9 974	74,994 51	74,994 51	9 370	70,453 03	-4,541 48		ST
Total reinvested	106 000	9 406		997 09	9 370	993 22	-3 87		
EAI $4,549 Current yield 6 37%									
Security total	7,625 000	9 966	74,994 51	75,991 60		71,446 25	-4,545 35	-3,548 26	
UNTS GUGG SEN LOAN INC									
PRTFO OF CEFS 021									
21 CASH 05/13/15									
Trade date Jan 14, 13	7,469 000	9 984	74,575 72	74,575 72	9 230	68,938 87	-5,636.85	-5,636 85	ST
EAI $5,449 Current yield 7 90%									
UNTS GUGG INC TRSY LMTD									
DURATION PRTFO OF FUNDS									
29 REIN 06/10/15									
Trade date Jun 13, 13	11,182 000	9 973	111,524 79	111,524 79	9 470	105,893 54	-5,631 25		ST
Total reinvested	290 000	9 264		2,686 75	9.470	2,746 30	59 55		
EAI $6,869 Current yield· 6 32%									
Security total	11,472 000	9 956	111,524 79	114,211 54		108,639 84	-5,571 70	-2,884 95	
UNTS GUGG INC TRSY LMTD									
DURATION PRTFO OF FUNDS									
31 CASH 09/09/15									
Trade date Sep 12, 13	9,846 000	9 894	97,423 22	97,423 22	10 090	99,346 14	1,922 92	1,922 92	ST
EAI $5,872 Current yield 5 91%									

continued next page

Your assets › **Equities** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
UNTS INVS C/E STRGY SEN									
LOAN AND LTD DUR 35									
35 CASH 01/16/15									
Trade date Jan 25, 13	5,025 000	9 927	49,887 95	49,887 95	8 640	43,416 00	-6,471 95	-6,471 95	ST
EAI $3,052 Current yield 7 03%									
UNTS INVS INFL HEDGE									
PRTFO 10									
10 REIN 08/04/15									
Trade date Oct 25, 13	8,322 000	9 945	82,764 79	82,764 79	9 410	78,310 02	-4,454 77		ST
Total reinvested	153 000	9 140		1,398 57	9 410	1,439 73	41 16		
EAI $4,491 Current yield 5 63%									
Security total	8,475 000	9 931	82,764 79	84,163 36		79,749 75	-4,413 61	-3,015 04	
Total			**$2,412,243.58**	**$2,495,127.79**		**$2,541,430.19**	**$46,302.41**	**$129,186.61**	

Total estimated annual income: $133,121

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENERAL ELEC CAP CORP								
STEP UP CALL								
RATE 04 000% MATURES 12/30/26								
CUSIP 36966TEA6								
Moody A1 S&P AA+								
EAI $3,000 Current yield 4 03%	Dec 20, 11	75,000 000	100 000	75,005 25	99 205	74,403 75	-601 50	LT

Your assets › **Fixed income** (continued)

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities and for accreted original issue discount for securities issued at a discount When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method

Holding	Trade date	Total face value at maturity ($)	Purchase price($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NEW JERSEY ST TPK AUTH ESCROW TO MAT RATE 06 000% MATURES 01/01/14 CALLABLE 12/31/13 @ 100 00 ACCRUED INTEREST $150 00 CUSIP 646139CC7 Moody Aaa S&P AA+ EAI $150 Current yield 6 00%	Jan 10, 03	5,000 000	96 774	4,838 71	100 000	5,000 00	161 29	LT
MANCHESTER TWP NJ BRD FSA B/E /R/ PRE-REFUNDED RATE 04 500% MATURES 07/15/24 PREREFUNDED 07/15/14 @ 100 00 ACCRUED INTEREST $1,037 50 CUSIP 562468FE3 Moody A2 S&P AA- EAI $2,250 Current yield 4 40%	Jul 12, 04	50,000 000	100 000	50,000 00	102 324	51,162 00	1,162 00	LT
JERSEY CITY N J FSA B/E /R/ RATE 04 000% MATURES 08/01/15 ACCRUED INTEREST $1,250 00 CUSIP 4765757P4 Moody A2 S&P Not rated EAI $3,000 Current yield 3 81% Original cost basis $78,893 46	Jan 14, 08	75,000 000	101.194	75,896 09	104 951	78,713 25	2,817 16	LT
POLK CNTY FL FUEL TX IMP RFD NPFG BE/R/ RATE 04 000% MATURES 12/01/15 ACCRUED INTEREST $166 66 CUSIP 73111WBG6 Moody Baa1 S&P A+ EAI $2,000 Current yield 3 77% Original cost basis $50,793 50	Jan 25, 08	50,000 000	100 431	50,215 59	106 208	53,104 00	2,888 41	LT

continued next page

Your assets › **Fixed income** › **Municipal securities** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
LEE CNTY FLA SCH BRD COP FSA 05A OID99 899 BE/R/ RATE 04 250% MATURES 08/01/17 CALLABLE 08/01/15 @ 100 00 ACCRUED INTEREST $885 41 CUSIP 523494JR4 Moody Aa3 S&P AA- EAI $2,125 Current yield 4 06% Original cost basis $52,161 50	Jan 25, 08	50,000 000	101 008	50,504 34	104 773	52,386 50	1,882 16	LT
NEW HAMPSHIRE BK SER C REV FSA B/E /R/ RATE 04 250% MATURES 01/15/19 CALLABLE 01/15/18 @ 100 00 ACCRUED INTEREST $1,469 79 CUSIP 64465PBX5 Moody A1 S&P AA- EAI $3,188 Current yield 3 85% Original cost basis $79,867 14	Jan 25, 08	75,000 000	102 898	77,174 21	110 441	82,830 75	5,656 54	LT
NEW JERSEY HLTH CARE FAC FIN AU RV AMBAC B/E /R/ RATE 04 500% MATURES 07/01/28 CALLABLE 01/01/14 @ 100 00 ACCRUED INTEREST $787 50 CUSIP 64579EPH3 Moody Baa1 S&P Not rated EAI $1,575 Current yield 4 80% Original cost basis $24,399 20	Sep 03, 04	35,000 000	71 185	24,914 93	93 708	32,797 80	7,882 87	LT
Total		**$340,000.000**		**$333,543.87**		**$355,994.30**	**$22,450.43**	

Total accrued interest: $5,746.86

Total estimated annual income: $14,288

Your assets › **Fixed income** (continued)

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENERAL ELEC CAPITAL CRP (GECC) 4 700% SR NTS DUE 05/16/53 CALLABLE Symbol GEK Exchange NYSE EAI $6,125 Current yield 6 05%	Aug 6, 13	1,500.000	21.020	31,530 25	19 420	29,130 00	-2,400 25	ST
	Aug 6, 13	1,000 000	21 100	21,100 00	19 420	19,420 00	-1,680 00	ST
	Aug 14, 13	500 000	20 767	10,383 75	19 420	9,710.00	-673 75	ST
	Aug 14, 13	213 000	20 655	4,399 52	19 420	4,136.46	-263 06	ST
	Aug 19, 13	700 000	19 850	13,895 25	19 420	13,594 00	-301 25	ST
	Sep 18, 13	800 000	20 743	16,594 53	19 420	15,536 00	-1,058 53	ST
	Nov 13, 13	500 000	20 267	10,133 85	19 420	9,710 00	-423 85	ST
Security total		5,213 000		108,037 15		101,236 46	-6,800 69	

Unit investment trusts

When cost basis is available, we may have adjusted it for all or part of principal payments made by the trust to you

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
AAM INSURED TAX EXEMPT MUNICIPAL PORTFOLIO SER 2 CASH Trade date Jan 6, 12 EAI $2,468 Current yield 4 54%	55 000	1,063 130	58,472 15	58,472 15	987 850	54,331 75	-4,140 40	-4,140 40	LT
UNTS AAM INSURED TAX EXEMPT MUNI LONG TERM SERIES 3 CASH Trade date Jan 6, 12 EAI $2,334 Current yield 4 77%	50 000	1,053 840	52,692 00	52,692 00	978 140	48,907 00	-3,785 00	-3,785 00	LT
UNTS AAM INSURED TAX- EX MUNI PTF LONG-TM SR 7 CASH Trade date Aug 21, 09	170 000	945 135	160,673 08	160,673 08	898 580	152,758 60	-7,914 48		LT

continued next page

Your assets › **Fixed income** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
Trade date Aug 25, 09	49 000	946 795	46,392 98	46,392 98	898 580	44,030 42	-2,362 56		LT
EAI $9,540 Current yield 4 85%									
Security total	219 000	945 507	207,066 06	207,066 06		196,789 02	-10,277 04	-10,277 04	
UNTS AAM TAX EXEMPT									
MUNI PRTF INTER SER 6									
CASH TERM 9/15/19									
Trade date Jan 6, 12	50 000	995 384	49,769 24	49,769 24	952 150	47,607 50	-2,161 74	-2,161 74	LT
EAI $2,142 Current yield 4 50%									
UNTS AAM TEST - TAX EX									
SEC TR NATIONAL SER 460									
CASH									
Trade date Dec 15, 09	52 000	930 320	48,376 65	48,376 65	895 010	46,540 52	-1,836 13	-1,836 13	LT
EAI $2,215 Current yield 4 76%									
UNTS AAM TEST - TAX EX									
SEC TR NATL SER 461									
CASH									
Trade date Jan 11, 10	50 000	963 077	48,153 87	48,153 87	916 950	45,847 50	-2,306 37	-2,306 37	LT
EAI $2,214 Current yield 4 83%									
UNTS AAM TAX EX MUNI									
PORT INVSTMNT GR MAR 201									
12 CASH 07/01/42									
Trade date Mar 27, 12	60 000	993 706	59,622 37	59,622 37	873 060	52,383 60	-7,238 77		LT
Trade date Mar 29, 12	30 000	993 175	29,795 25	29,795 25	873 060	26,191 80	-3,603 45		LT
EAI $3,834 Current yield 4 88%									
Security total	90 000	993 529	89,417 62	89,417 62		78,575 40	-10,842 22	-10,842 22	
UNTS AAM ADVISORS									
CORP TR STRATEGIC SR 5									
CASH									
Trade date Dec 17, 09	49 000	980 160	48,027 84	48,027 84	1,001 830	49,089 67	1,061 83	1,061 83	LT
EAI $2,587 Current yield 5 27%									
UNTS AAM BUILD AMERICA									

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Your assets › **Fixed income** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
BOND PORTF SER 14 CASH Trade date Jul 6, 10	50 000	988 949	49,447 48	49,447 48	971 560	48,578 00	-869 48	-869 48	LT
EAI $3,108 Current yield 6 40%									
UNTS AAM NAV DIAL HIGH INCOME OPPORT SR 32 CASH TERM 6/1/17 Trade date May 20, 11	50 000	967 564	48,378 22	48,378 22	973 170	48,658 50	280 28	280 28	LT
EAI $2,814 Current yield 5 78%									
UNTS AAM CORP TR NAV DIAL HIGH INC OPPOR 35 CASH Trade date Aug 26, 11	50 000	982 565	49,128 27	49,128 27	981 580	49,079 00	-49 27	-49 27	LT
EAI $3,114 Current yield 6 34%									
UNTS AAM ADV CORP NAV/ DIAL HIGH INC OPPOR 36 CASH Trade date Sep 16, 11	49 000	967 782	47,421 33	47,421 33	982 680	48,151 32	729 99	729 99	LT
EAI $3,022 Current yield 6 28%									
UNTS AAM NAV DIAL HI INC OPPOR SER 42 42 CASH Trade date Dec 22, 11	75 000	974 209	73,065 73	73,065 73	1,006 230	75,467 25	2,401 52	2,401 52	LT
EAI $4,734 Current yield 6 27%									
UNTS AAM NAV DIAL HI INC OPPOR SER 43 43 CASH Trade date Jan 13, 12	100 000	981 057	98,105 71	98,105 71	1,007 000	100,700 00	2,594 29	2,594 29	LT
EAI $6,228 Current yield 6 18%									
UNTS AAM NAV DIAL HI INC OPPOR SER 53									

continued next page

Your assets › **Fixed income** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
53 CASH 06/15/18									
Trade date Jun 8, 12	50 000	984 094	49,204 74	49,204 74	1,004 770	50,238 50	1,033 76	1,033 76	LT
EAI $2,892 Current yield 5 76%									
UNTS AAM NAV DIAL HI INC OPPOR SER 58									
58 CASH 06/01/19									
Trade date Aug 24, 12	50 000	980 935	49,046 77	49,046 77	992 700	49,635 00	588 23	588 23	LT
EAI $3,006 Current yield 6 06%									
UNTS CLAMR/GUGGNHM LONG-TERM NATL MUNICIPAL TRUST SERIES 1 CASH									
Trade date Apr 23, 09	88 000	962 410	84,692 08	84,692 08	876 230	77,108 24	-7,583 84		LT
Trade date May 5, 09	96 000	954 050	91,588 80	91,588 80	876 230	84,118 08	-7,470 72		LT
EAI $7,993 Current yield 4 96%									
Security total	184 000	958 048	176,280 88	176,280 88		161,226 32	-15,054 56	-15,054 56	
UNTS FT INT RATE HEDGE PRTFO SER 43									
43 REIN 04/21/14									
Trade date May 3, 12	3,671 000	9 682	35,544 49	35,544 49	10 370	38,068 27	2,523 78		LT
Total reinvested	415 000	9 847		4,086 80	10 370	4,303 55	216 75		
EAI $2,059 Current yield 4 86%									
Security total	4,086 000	9 699	35,544 49	39,631 29		42,371 82	2,740 53	6,827 33	
UNTS FT INT RATE HEDGE PRTFO SER 52									
52 CASH 08/27/14									
Trade date Aug 30, 12	10,396 000	9 737	101,230 88	101,230 88	10 180	105,831 28	4,600 40	4,600.40	LT
EAI $5,184 Current yield 4 90%									
UNTS FT INT RATE HEDGE PRTFO SER 56									
56 REIN 10/20/14									
Trade date Oct 19, 12	5,013 000	9 908	49,673 70	49,673 70	10 010	50,180 13	506 43		LT

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Your assets › **Fixed income** › **Unit investment trusts** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
Total reinvested	349 000	9 761		3,406 72	10 010	3,493 49	86 77		
EAI $2,713 Current yield 5 05%									
Security total	5,362 000	9 899	49,673 70	53,080 42		53,673 62	593 20	3,999 92	
UNTS GUGG INC TRSY LMTD									
DURATION PRTFO OF FUNDS									
21 REIN 06/11/14									LT
Trade date Jun 19, 12	5,008 000	9 939	49,776 85	49,776 85	9 290	46,524 32	-3,252 53		
	22 000	—This information was unavailable—			9 290	204 38			
Total reinvested	403 000	9 805		3,951 57	9 290	3,743 87	-207 70		
EAI $2,582 Current yield 5 12%									
Security total	5,433 000	9 929	49,776 85	53,728 42		50,472 57	-3,460 23		
UNTS VK INSURED MUNI									
INCOME TRUST SER 596									
MONTHLY									
Trade date· Feb 26, 10	55 000	990 622	54,484 26	54,484 26	931 800	51,249 00	-3,235 26	-3,235 26	LT
EAI $2,425 Current yield 4 73%									
UNTS VK INSURED MUNI									
INCOME TRUST SER 608									
MONTHLY									
Trade date Jul 9, 10	100 000	985 908	98,590.84	98,590 84	922 310	92,231 00	-6,359 84	-6,359 84	LT
EAI $4,306 Current yield 4 67%									
Total			**$1,631,355.58**	**$1,642,800.67**		**$1,595,251.54**	**-$47,753.51**	**-$36,799.76**	

Total estimated annual income: $83,514

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**					
Equities	**Unit investment trusts**	**2,541,430.19**	**49.66%**	**2,495,127.79**	**133,121.00**	**46,302.41**
Fixed income	Corporate bonds and notes	74,403 75		75,005 25	3,000 00	-601 50
	Municipal securities	355,994 30		333,543 87	14,288 00	22,450 43
	Preferred securities	101,236 46		108,037 15	6,125 00	-6,800 69
	* Unit investment trusts	1,595,251 54		1,642,800 67	83,514 00	-47,753 51
	Total accrued interest	5,746 86				
	Total fixed income	**2,132,632.91**	**41.68%**	**2,159,386.94**	**106,927.00**	**-32,705.27**
Total		4,674,063.10	**100.00%**	4,654,514.73	**$240,048.00**	**$13,597.14**

* Missing cost basis information

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses mav not

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS Symbol ABT Exchange NYSE EAI $2,841 Current yield 2 30%	Dec 18, 12	600 000	31 652	18,991 48	38 330	22,998 00	4,006 52	LT
	Dec 31, 12	200 000	31 135	6,227 17	38 330	7,666 00	1,438 83	ST
	Jan 17, 13	600 000	32.799	19,679 94	38 330	22,998 00	3,318 06	ST
	Jun 14, 13	400 000	36 826	14,730 72	38 330	15,332 00	601 28	ST
	Jul 1, 13	500 000	35 000	17,500 00	38 330	19,165 00	1,665 00	ST
	Jul 31, 13	400 000	36.906	14,762 72	38 330	15,332 00	569 28	ST
	Sep 18, 13	500 000	35.666	17,833 05	38 330	19,165.00	1,331 95	ST
	Earnings	28 000	36 617	1,025 30	38 330	1,073 24	47 94	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		3,228 000	34 309	110,750 38		123,729 24	12,978 86	
ABBVIE INC COM Symbol ABBV Exchange NYSE EAI $2,240 Current yield 3 03%	Jul 31, 13	700 000	45 737	32,015 97	52 810	36,967 00	4,951 03	ST
	Aug 12, 13	400 000	45 036	18,014 68	52 810	21,124 00	3,109 32	ST
	Sep 24, 13	300 000	45 965	13,789 65	52 810	15,843 00	2,053 35	ST
Security total		1,400 000	45 586	63,820 30		73,934 00	10,113 70	
AETNA INC Symbol AET Exchange NYSE EAI $720 Current yield 1 31%	Oct 22, 13	600 000	63 365	38,019 00	68 590	41,154 00	3,135 00	ST
	Oct 28, 13	200 000	61 796	12,359 28	68 590	13,718 00	1,358 72	ST
Security total		800 000	62 973	50,378 28		54,872 00	4,493 72	
AMERICAN TOWER CORP REIT Symbol AMT Exchange NYSE EAI $1,160 Current yield 1 45%	Aug 27, 13	400 000	70 076	28,030 72	79 820	31,928 00	3,897 28	ST
	Sep 4, 13	200 000	69 250	13,850 00	79 820	15,964 00	2,114 00	ST
	Sep 18, 13	400 000	75 250	30,100 00	79 820	31,928 00	1,828 00	ST
Security total		1,000 000	71 981	71,980 72		79,820 00	7,839 28	
APPLE INC Symbol AAPL Exchange OTC EAI $2,745 Current yield 2 17%	Nov 14, 11	10 000	379 920	3,799 20	561 020	5,610 20	1,811 00	LT
	Apr 16, 12	25.000	586 190	14,654 75	561 020	14,025 50	-629 25	LT
	Jul 27, 12	25 000	579 782	14,494 55	561 020	14,025 50	-469 05	LT
	Jan 25, 13	35 000	445 620	15,596 70	561 020	19,635 70	4,039 00	ST
	Jan 25, 13	20 000	440 000	8,800 00	561 020	11,220 40	2,420 40	ST
	Mar 14, 13	30 000	433 150	12,994 50	561 020	16,830 60	3,836 10	ST
	Jun 3, 13	25 000	447 800	11,195 00	561 020	14,025 50	2,830 50	ST
	Sep 3, 13	30 000	494 780	14,843 40	561 020	16,830 60	1,987 20	ST
	Sep 18, 13	25 000	464 270	11,606 75	561 020	14,025 50	2,418 75	ST
Security total		225 000	479 933	107,984 85		126,229 50	18,244 65	
AT&T INC Symbol T Exchange NYSE EAI $5,250 Current yield 5 23%	Jun 11, 13	1,000 000	35 946	35,946 50	35 160	35,160 00	-786 50	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 11, 13	200.000	35 850	7,170 00	35 160	7,032 00	-138 00	ST
	Jun 17, 13	400 000	35 748	14,299 36	35 160	14,064 00	-235 36	ST
	Jun 21, 13	400 000	34 436	13,774 76	35 160	14,064 00	289 24	ST
	Aug 16, 13	300 000	34 200	10,260 00	35 160	10,548 00	288 00	ST
	Oct 10, 13	500 000	33 950	16,975 00	35 160	17,580 00	605 00	ST
	Earnings	53 000	36 024	1,909 32	35 160	1,863 48	-45 84	
Security total		2,853 000	35 168	100,334 94		100,311 48	-23 46	
BANK OF AMER CORP								
Symbol BAC Exchange NYSE								
EAI $87 Current yield 0 26%	Aug 23, 10	617 000	13 020	8,033 34	15 570	9,606 69	1,573 35	LT
	Sep 3, 10	1,500 000	13 379	20,068 80	15 570	23,355 00	3,286 20	LT
	Earnings	52 000	9 434	490 59	15 570	809 64	319 05	
Security total		2,169 000	13 182	28,592 73		33,771 33	5,178 60	
CALPINE CORP NEW								
Symbol CPN Exchange NYSE	Sep 18, 13	2,000 000	19 498	38,996 80	19 510	39,020 00	23 20	ST
	Oct 16, 13	800 000	19 456	15,565 20	19 510	15,608 00	42 80	ST
Security total		2,800 000	19 486	54,562 00		54,628 00	66 00	
CATERPILLAR INC								
Symbol CAT Exchange NYSE								
EAI $1,027 Current yield 2 64%	Jun 12, 12	107 000	86 890	9,297 23	90 810	9,716 67	419 44	LT
	Jun 29, 12	300 000	84 670	25,401 00	90 810	27,243 00	1,842 00	LT
	Earnings	21 000	88 447	1,857 39[2]	90 810	1,907 01	49 62	
Security total		428 000	85 410	36,555 62		38,866 68	2,311 06	
CELANESE CORP NEW SER A								
Symbol CE Exchange NYSE								
EAI $504 Current yield 1 30%	Jul 5, 13	100 000	45 250	4,525 00	55 310	5,531 00	1,006 00	ST
	Jul 17, 13	300 000	47 250	14,175 00	55 310	16,593 00	2,418 00	ST
	Jul 31, 13	300 000	48 306	14,492 00	55 310	16,593 00	2,101 00	ST
Security total		700 000	47 417	33,192 00		38,717 00	5,525 00	
CENTURYLINK INC								
Symbol CTL Exchange NYSE								
EAI $2,231 Current yield 6 78%	May 9, 11	36 000	40 799	1,468 77	31 850	1,146 60	-322 17	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 15, 11	400 000	39 350	15,740 00	31 850	12,740 00	-3,000 00	LT
	Jul 12, 11	300 000	39 349	11,804 76	31 850	9,555 00	-2,249 76	LT
	Earnings	297 000	37 088	11,015 17	31 850	9,459 45	-1,555 72	
Security total		1,033 000	38 750	40,028 70		32,901 05	-7,127 65	
CLOROX CO								
Symbol CLX Exchange NYSE								
EAI $1,588 Current yield 3 06%	Aug 1, 13	389 000	85 504	33,261 44	92 760	36,083 64	2,822 20	ST
	Sep 18, 13	300 000	84 337	25,301 32	92 760	27,828 00	2,526 68	ST
	Earnings	11 000	91 332	1,004 66	92 760	1,020 36	15 70	
Security total		700 000	85 096	59,567 42		64,932 00	5,364 58	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI $3,053 Current yield 2 71%	Apr 29, 10	822 000	26 899	22,111 10	41 310	33,956 82	11,845 72	LT
	May 21, 10	1,000 000	25 425	25,425 00	41 310	41,310 00	15,885 00	LT
	Dec 3, 12	300 000	37 400	11,220 00	41 310	12,393 00	1,173 00	LT
	Jul 31, 13	300 000	40 255	12,076 56	41 310	12,393 00	316 44	ST
	Earnings	304 000	34 285	10,422 83	41 310	12,558.24	2,135 41	
Security total		2,726 000	29 808	81,255 49		112,611 06	31,355 57	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI $1,928 Current yield 2 09%	May 26, 10	258 000	39 375	10,158 75	65 210	16,824 18	6,665 43	LT
	Sep 9, 10	400 000	37 493	14,997 20	65 210	26,084 00	11,086 80	LT
	Dec 31, 12	200 000	51 726	10,345 30	65 210	13,042 00	2,696 70	ST
	Jun 20, 13	400 000	55 800	22,320 00	65 210	26,084 00	3,764 00	ST
	Earnings	160 000	46 334	7,413 48	65 210	10,433 60	3,020 12	
Security total		1,418 000	46 005	65,234 73		92,467 78	27,233 05	
COVIDIEN PLC								
Symbol COV Exchange NYSE								
EAI $1,053 Current yield 1 88%	Oct 26, 11	93 000	40 799	3,794 37	68 100	6,333 30	2,538 93	LT
	Jan 17, 12	300 000	41 390	12,417 28	68 100	20,430 00	8,012 72	LT
	May 28, 13	400 000	60 039	24,015 83	68 100	27,240 00	3,224 17	ST
	Earnings	30 000	53 541	1,606 23[2]	68 100	2,043 00	436 77	
Security total		823 000	50 831	41,833 71		56,046 30	14,212 59	

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CSX CORPORATION								
Symbol CSX Exchange NYSE								
EAI $1,206 Current yield 2 09%	Aug 9, 11	398 000	21 000	8,358 00	28 770	11,450 46	3,092 46	LT
	Oct 27, 11	800 000	22 900	18,320 00	28 770	23,016 00	4,696 00	LT
	Mar 13, 12	600 000	20 787	12,472 50	28 770	17,262 00	4,789 50	LT
	Earnings	212 000	22 979	4,871 62[2]	28 770	6,099 24	1,227 62	
Security total		2,010 000	21 902	44,022 12		57,827 70	13,805 58	
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI $750 Current yield 1 77%	Dec 18, 13	300 000	135 740	40,722 12	140 970	42,291 00	1,568 88	ST
CVS CAREMARK CORP								
Symbol CVS Exchange NYSE								
EAI $1,436 Current yield 1 54%	Nov 19, 12	95 000	45 000	4,275 00	71 570	6,799 15	2,524 15	LT
	Dec 28, 12	400 000	48 150	19,260 00	71 570	28,628 00	9,368 00	LT
	Sep 9, 13	200 000	58 796	11,759 36	71 570	14,314 00	2,554 64	ST
	Oct 1, 13	300 000	57 408	17,222 54	71 570	21,471 00	4,248 46	ST
	Oct 16, 13	300 000	59 206	17,761 80	71 570	21,471 00	3,709 20	ST
	Earnings	10 000	58 623	586 23	71 570	715 70	129 47	
Security total		1,305 000	54 303	70,864 93		93,398 85	22,533 92	
DOW CHEMICAL								
Symbol DOW Exchange. NYSE								
EAI $1,161 Current yield 2 88%	Apr 4, 13	277 000	31 400	9,444 99[2]	44 400	12,298 80	2,853 81	ST
	Apr 11, 13	600 000	31 800	19,080 00	44 400	26,640 00	7,560 00	ST
	Earnings	30 000	35 706	1,071.18	44 400	1,332 00	260 82	
Security total		907 000	32 631	29,596 17		40,270 80	10,674 63	
EMC CORP MASS								
Symbol EMC Exchange NYSE								
EAI $1,720 Current yield 1 59%	Dec 12, 12	300 000	24 650	7,395 00	25 150	7,545 00	150 00	LT
	Dec 12, 12	300 000	24 750	7,425 00	25 150	7,545 00	120 00	LT
	Dec 28, 12	500 000	24 900	12,450 00	25 150	12,575 00	125 00	LT
	Mar 22, 13	700 000	24 100	16,870 00	25 150	17,605 00	735 00	ST
	May 8, 13	500 000	23 396	11,698 00	25 150	12,575 00	877 00	ST

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 28, 13	500 000	23 876	11,938 40	25 150	12,575 00	636 60	ST
	Jul 15, 13	600 000	25 316	15,189 66	25 150	15,090 00	-99 66	ST
	Jul 31, 13	400 000	26 227	10,491 00	25 150	10,060 00	-431 00	ST
	Nov 14, 13	500 000	23 800	11,900 00	25 150	12,575 00	675 00	ST
Security total		4,300 000	24 502	105,357 06		108,145 00	2,787 94	
EQUINIX INC NEW Symbol EQIX Exchange OTC	Nov 21, 13	200 000	159 575	31,915 00	177 450	35,490 00	3,575 00	ST
	Nov 21, 13	100 000	160 523	16,052 32	177 450	17,745 00	1,692 68	ST
	Nov 26, 13	200 000	162 460	32,492 00	177 450	35,490 00	2,998 00	ST
Security total		500 000	160 919	80,459 32		88,725 00	8,265 68	
EXPRESS SCRIPTS HLDG CO Symbol ESRX Exchange OTC	Jan 11, 13	200.000	55 558	11,111 63	70 240	14,048 00	2,936 37	ST
	Feb 11, 13	300 000	55 000	16,500 00	70 240	21,072 00	4,572 00	ST
	Apr 11, 13	500 000	57 800	28,900 00	70 240	35,120 00	6,220 00	ST
	Jun 20, 13	200 000	61 200	12,240 00	70 240	14,048 00	1,808 00	ST
	Jul 30, 13	200 000	64 950	12,990 00	70 240	14,048 00	1,058 00	ST
	Sep 9, 13	200 000	64 179	12,835 98	70 240	14,048 00	1,212 02	ST
Security total		1,600 000	59 111	94,577 61		112,384 00	17,806 39	
FORD MOTOR CO COM NEW Symbol F Exchange NYSE EAI $960 Current yield 2 59%	Feb 18, 11	100 000	15 810	1,581 00	15 430	1,543 00	-38 00	LT
	Feb 25, 11	800 000	14 900	11,920 00	15 430	12,344 00	424 00	LT
	Aug 5, 11	900 000	10 887	9,798 66	15 430	13,887 00	4,088 34	LT
	Sep 27, 11	600 000	10 150	6,090 00	15 430	9,258 00	3,168 00	LT
Security total		2,400 000	12 246	29,389 66		37,032 00	7,642 34	
GENL ELECTRIC CO Symbol GE Exchange NYSE EAI $1,595 Current yield 3 14%	Mar 7, 12	181.000	18 650	3,375 65	28 030	5,073 43	1,697 78	LT
	May 30, 13	700 000	23 597	16,518 04	28 030	19,621 00	3,102 96	ST
	Jun 10, 13	800 000	23 757	19,005 76	28 030	22,424 00	3,418 24	ST
	Earnings	132 000	22 090	2,915 99[2]	28 030	3,699 96	783 97	
Security total		1,813 000	23 064	41,815 44		50,818 39	9,002 95	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENL MILLS INC								
Symbol GIS Exchange NYSE								
EAI $1,079 Current yield 3 04%	Jun 27, 12	600 000	37 550	22,530 00	49 910	29,946 00	7,416 00	LT
	Earnings	110 000	41 831	4,601 45	49 910	5,490 10	888 65	
Security total		710 000	38 213	27,131 45		35,436 10	8,304 65	
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Jun 5, 13	200 000	49 466	9,893 24	75 100	15,020 00	5,126 76	ST
	Jun 7, 13	400 000	52 676	21,070 40	75 100	30,040 00	8,969 60	ST
	Jun 14, 13	200 000	52 265	10,453 10	75 100	15,020 00	4,566 90	ST
	Jun 21, 13	200 000	49 025	9,805 00	75 100	15,020 00	5,215 00	ST
	Jul 11, 13	400 000	55 656	22,262 64	75 100	30,040 00	7,777 36	ST
	Dec 18, 13	200 000	71 830	14,366 00	75 100	15,020 00	654 00	ST
Security total		1,600 000	54 906	87,850 38		120,160 00	32,309 62	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	May 28, 13	15 000	882 600	13,239 00	1,120 710	16,810 65	3,571 65	ST
	May 28, 13	5 000	882 600	4,413 00	1,120 710	5,603 55	1,190 55	ST
	May 30, 13	15 000	871 490	13,072 35	1,120 710	16,810 65	3,738 30	ST
	Jun 7, 13	15 000	878 760	13,181 40	1,120 710	16,810 65	3,629 25	ST
	Jul 26, 13	10 000	884 140	8,841 40	1,120 710	11,207 10	2,365 70	ST
	Aug 12, 13	15 000	885 780	13,286 70	1,120 710	16,810 65	3,523 95	ST
Security total		75 000	880 451	66,033 85		84,053 25	18,019 40	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $1,816 Current yield 3 47%	Aug 27, 12	234 000	24 866	5,818 81	25 955	6,073 47	254 66	LT
	Sep 7, 12	500 000	24 100	12,050 00	25 955	12,977 50	927 50	LT
	Sep 13, 12	600 000	23 358	14,015 22	25 955	15,573 00	1,557 78	LT
	Oct 5, 12	500 000	22 750	11,375 00	25 955	12,977 50	1,602 50	LT
	Earnings	184 000	22 756	4,187 18[2]	25 955	4,775 72	588 54	
Security total		2,018 000	23 512	47,446 21		52,377 19	4,930 98	
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI $3,040 Current yield 2 03%	Jul 13, 12	200 000	185 700	37,140 00	187 570	37,514 00	374 00	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 30, 12	200 000	190 400	38,080 00	187 570	37,514 00	-566 00	LT
	Apr 22, 13	100 000	188 593	18,859 36	187 570	18,757 00	-102 36	ST
	Jun 21, 13	100 000	196 000	19,600 00	187 570	18,757 00	-843 00	ST
	Oct 8, 13	100 000	179 000	17,900 00	187 570	18,757 00	857 00	ST
	Oct 16, 13	100 000	185 950	18,595 00	187 570	18,757 00	162 00	ST
Security total		800 000	187 718	150,174 36		150,056 00	-118 36	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $1,639 Current yield 2 88%	Mar 12, 04	51 000	51 343	2,618 54	91 590	4,671 09	2,052 55	LT
	Dec 10, 08	177 000	55 970	9,906 69	91 590	16,211 43	6,304 74	LT
	Dec 16, 08	100 000	55 910	5,591 00	91 590	9,159 00	3,568 00	LT
	Earnings	293 000	61 210	17,934 60	91 590	26,835 87	8,901 27	
Security total		621 000	58 053	36,050 83		56,877 39	20,826 56	
KELLOGG CO								
Symbol K Exchange NYSE								
EAI $298 Current yield 3 01%	Sep 20, 10	52 000	50 848	2,644 12	61 070	3,175 64	531 52	LT
	Earnings	110 000	53 862	5,924 89	61 070	6,717 70	792 81	
Security total		162 000	52 895	8,569 01		9,893 34	1,324 33	
KIMBERLY CLARK CORP								
Symbol KMB Exchange NYSE								
EAI $1,315 Current yield 3 10%	Apr 7, 08	8 000	56 110	448 88	104 460	835 68	386 80	LT
	May 1, 09	200 000	49 664	9,932 95	104 460	20,892 00	10,959 05	LT
	Earnings	198 000	65 213	12,912 26	104 460	20,683 08	7,770 82	
Security total		406 000	57 375	23,294 09		42,410 76	19,116 67	
KRAFT FOODS GROUP INC								
Symbol KRFT Exchange OTC								
EAI $2,100 Current yield 3 90%	Nov 26, 12	100 000	44 350	4,435 00	53 910	5,391 00	956 00	LT
	Dec 27, 12	400 000	44 356	17,742 48	53 910	21,564 00	3,821 52	LT
	Jun 10, 13	500 000	54 426	27,213 25	53 910	26,955 00	-258 25	ST
Security total		1,000 000	49 391	49,390 73		53,910 00	4,519 27	
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI $11,664 Current yield 3 34%	Dec 24, 03	886 000	23 830	21,113 38	97 030	85,968 58	64,855 20	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 8, 06	2,300 000	43 819	100,785 77	97 030	223,169 00	122,383 23	LT
	Earnings	414 000	69 103	28,608 88	97 030	40,170 42	11,561 54	
Security total		3,600 000	41 808	150,508 03		349,308 00	198,799 97	
MCKESSON CORP Symbol MCK Exchange NYSE EAI $288 Current yield 0 59%	May 1, 13	200 000	105 750	21,150 00	161 400	32,280 00	11,130 00	ST
	May 31, 13	100 000	113 927	11,392.75	161 400	16,140 00	4,747 25	ST
Security total		300 000	108 476	32,542 75		48,420 00	15,877 25	
MERCK & CO INC NEW COM Symbol MRK Exchange NYSE EAI $5,398 Current yield 3 52%	Dec 28, 12	800 000	40 800	32,640 00	50 050	40,040 00	7,400 00	LT
	Dec 31, 12	600 000	40 830	24,498 00	50 050	30,030 00	5,532 00	ST
	Feb 1, 13	300 000	41 945	12,583 74	50 050	15,015 00	2,431 26	ST
	May 8, 13	300 000	45 150	13,545 00	50 050	15,015 00	1,470 00	ST
	May 20, 13	300 000	45 189	13,556 85	50 050	15,015 00	1,458 15	ST
	May 28, 13	400 000	48 000	19,200 00	50 050	20,020 00	820 00	ST
	Aug 1, 13	300 000	48 215	14,464 65	50 050	15,015 00	550 35	ST
	Earnings	67 000	47 061	3,153 15	50 050	3,353 35	200 20	
Security total		3,067 000	43 574	133,641 39		153,503 35	19,861 96	
MONDELEZ INTL INC Symbol MDLZ Exchange OTC EAI $573 Current yield. 1 59%	Nov 26, 12	400 000	25 400	10,160 00	35 300	14,120 00	3,960 00	LT
	Dec 18, 12	600 000	25 836	15,501 78	35 300	21,180 00	5,678 22	LT
	Earnings	23 000	29 327	674 53	35 300	811 90	137 37	
Security total		1,023 000	25 744	26,336 31		36,111 90	9,775 59	
OCCIDENTAL PETROLEUM CRP Symbol OXY Exchange NYSE EAI $2,852 Current yield 2 69%	Apr 23, 13	600 000	82 167	49,300 50	95 100	57,060 00	7,759 50	ST
	Apr 26, 13	400 000	86 424	34,569 76	95 100	38,040 00	3,470 24	ST
	Jul 2, 13	100 000	90 419	9,041 99	95 100	9,510 00	468 01	ST
	Earnings	14 000	92 664	1,297 30	95.100	1,331 40	34 10	
Security total		1,114 000	84 569	94,209 55		105,941 40	11,731 85	

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $1,380 Current yield 2 74%	Nov 17, 04	42 000	51 400	2,158 80	82 940	3,483 48	1,324 68	LT
	Dec 10, 10	200 000	64 950	12,990 00	82 940	16,588 00	3,598.00	LT
	Feb 8, 11	200 000	63.848	12,769 68	82 940	16,588 00	3,818 32	LT
	Earnings	166 000	64 666	10,734 60	82 940	13,768 04	3,033 44	
Security total		608 000	63 574	38,653 08		50,427 52	11,774 44	
PETROLEO BRASILEIRO SA SPON ADR								
Symbol PBR Exchange NYSE	Sep 4, 13	400 000	13 766	5,506 60	13 780	5,512 00	5 40	ST
	Sep 9, 13	600 000	15 279	9,167 94	13 780	8,268 00	-899 94	ST
Security total		1,000 000	14 675	14,674 54		13,780 00	-894 54	
PETSMART INC								
Symbol PETM Exchange OTC								
EAI $468 Current yield 1 07%	Mar 12, 13	300 000	62 100	18,630 00	72 750	21,825 00	3,195 00	ST
	Jun 7, 13	300 000	68 880	20,664 00	72 750	21,825.00	1,161.00	ST
Security total		600 000	65 490	39,294 00		43,650 00	4,356 00	
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI $1,976 Current yield 3 40%	Aug 21, 13	1,000 000	28 450	28,450 00	30 630	30,630 00	2,180 00	ST
	Aug 21, 13	400 000	28 400	11,360 00	30 630	12,252 00	892 00	ST
	Aug 27, 13	500 000	28 016	14,008 05	30 630	15,315 00	1,306 95	ST
Security total		1,900 000	28 325	53,818 05		58,197 00	4,378 95	
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI $5,293 Current yield 2 96%	Jul 31, 07	412 000	62 500	25,750 00	81 410	33,540 92	7,790 92	LT
	Dec 9, 08	400 000	56 000	22,400 00	81 410	32,564 00	10,164 00	LT
	Mar 6, 09	201 000	52 410	10,534 41	81 410	16,363 41	5,829 00	LT
	May 1, 09	300 000	48 997	14,699 34	81 410	24,423 00	9,723 66	LT
	Dec 1, 11	400 000	64 406	25,762 64	81 410	32,564 00	6,801 36	LT
	Dec 1, 11	200 000	63 966	12,793 32	81 410	16,282 00	3,488 68	LT
	Earnings	287 000	61 703	17,708 96	81 410	23,364 67	5,655 71	
Security total		2,200 000	58 931	129,648 67		179,102 00	49,453 33	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI $1,400 Current yield 1 89%	Dec 28, 12	100 000	60 950	6,095 00	74 250	7,425 00	1,330 00	LT
	Jan 2, 13	300 000	63 950	19,185 00	74 250	22,275 00	3,090 00	ST
	Jan 10, 13	300 000	64 606	19,381 80	74 250	22,275 00	2,893 20	ST
	May 14, 13	300 000	65 000	19,500 00	74 250	22,275 00	2,775 00	ST
Security total		1,000 000	64 162	64,161 80		74,250 00	10,088 20	
RACKSPACE HOSTING INC								
Symbol RAX Exchange NYSE	Nov 21, 13	800 000	39 599	31,679 90	39 130	31,304 00	-375 90	ST
	Dec 3, 13	400 000	36 606	14,642 64	39 130	15,652 00	1,009 36	ST
	Dec 18, 13	400 000	37 188	14,875 56	39 130	15,652 00	776 44	ST
Security total		1,600 000	38 249	61,198 10		62,608 00	1,409 90	
SANDISK CORP								
Symbol SNDK Exchange OTC								
EAI $720 Current yield 1 28%	Jul 30, 13	500 000	54 149	27,074 51	70 540	35,270 00	8,195 49	ST
	Jul 31, 13	300 000	55 045	16,513 59	70 540	21,162 00	4,648 41	ST
Security total		800 000	54 485	43,588 10		56,432 00	12,843 90	
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI $375 Current yield 1 39%	Apr 18, 12	100 000	70 000	7,000 00	90 110	9,011 00	2,011 00	LT
	Jun 27, 12	200 000	61 589	12,317 98	90 110	18,022 00	5,704 02	LT
Security total		300 000	64 393	19,317 98		27,033 00	7,715 02	
SENIOR HSG PROPERTIES TRUST SBI								
Symbol SNH Exchange NYSE								
EAI $3,647 Current yield 7 02%	Sep 18, 13	1,700 000	23 747	40,370 75	22 230	37,791 00	-2,579 75	ST
	Sep 20, 13	600 000	22 990	13,794 00	22 230	13,338 00	-456 00	ST
	Earnings	38 000	23 128	878 89	22 230	844 74	-34 15	
Security total		2,338 000	23 543	55,043 64		51,973 74	-3,069 90	
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI $873 Current yield 2 36%	Sep 14, 12	300 000	74 427	22,328 25	105 080	31,524 00	9,195 75	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Earnings	52 000	75 074	3,903 86	105 080	5,464 16	1,560 30	
Security total		352 000	74 523	26,232 11		36,988 16	10,756 05	
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAI $1,020 Current yield 1 49%	Mar 22, 13	100 000	54 400	5,440 00	75 300	7,530 00	2,090 00	ST
	Apr 22, 13	600 000	58 950	35,370 00	75 300	45,180 00	9,810 00	ST
	Sep 18, 13	200 000	72 805	14,561 00	75 300	15,060 00	499 00	ST
	Earnings	11 000	68 164	749 81	75 300	828 30	78 49	
Security total		911 000	61 604	56,120 81		68,598 30	12,477 49	
VMWARE INC CL A								
Symbol VMW Exchange NYSE	May 9, 13	200 000	75 500	16,891 97[2]	89 710	17,942 00	1,050 03	ST
	May 17, 13	200 000	78 889	17,769 96[2]	89 710	17,942 00	172 04	ST
Security total		400 000	86 655	34,661 93		35,884 00	1,222 07	
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI $344 Current yield 1 13%	Sep 9, 13	400 000	61 625	24,650 08	76 400	30,560 00	5,909 92	ST
WASTE MGMT INC NEW								
Symbol WM Exchange NYSE								
EAI $1,314 Current yield 3 25%	Jun 12, 12	300 000	32 397	9,719 10	44 870	13,461 00	3,741 90	LT
	Jul 13, 12	500 000	32 258	16,129 30	44 870	22,435 00	6,305 70	LT
	Sep 10, 12	100 000	34 250	3,425 00	44 870	4,487 00	1,062 00	LT
Security total		900 000	32 526	29,273 40		40,383 00	11,109 60	
3M CO								
Symbol MMM Exchange NYSE								
EAI $3,738 Current yield 2 44%	Jan 2, 08	500 000	60 710	30,355 00	140 250	70,125 00	39,770 00	LT
	Dec 16, 08	382 000	52 900	20,207 80	140 250	53,575 50	33,367 70	LT
	Earnings	211 000	69 351	14,633 09	140 250	29,592 75	14,959 66	
Security total		1,093 000	59 649	65,195 89		153,293 25	88,097 36	
Total				**$3,171,587.42**		**$3,996,348.81**	**$824,761.39**	

Total estimated annual income: $90,265

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your assets › **Equities** (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANZGI CONV & INCOME FD									
Symbol NCV									
Trade date Aug 9, 11	666 000	8 420	5,607 72	5,607 72	9 710	6,466 86	859 14		LT
Trade date Dec 29, 11	1,000 000	8 418	8,418 80	8,418 80	9 710	9,710 00	1,291 20		LT
Total reinvested	1,464 000	9 101		13,324 18	9 710	14,215 44	891 26		
EAI $3,380 Current yield 11 12%									
Security total	3,130 000	8 738	14,026 52	27,350 70		30,392 30	3,041 60	16,365 78	
ALPS ETF TR ALERIAN MLP									
Symbol AMLP									
Trade date Feb 8, 13	700 000	16 960	11,872 00	11,872 00	17 790	12,453 00	581 00		ST
Trade date Feb 27, 13	1,500 000	17 030	25,545 00	25,545 00	17 790	26,685 00	1,140.00		ST
EAI $2,350 Current yield 6 00%									
Security total	2,200 000	17 008	37,417 00	37,417 00		39,138 00	1,721 00	1,721 00	
ALPS ETF TR SECTR DIV DOGS									
Symbol SDOG									
Trade date Jul 1, 13	1,000 000	31 000	31,000 00	31,000 00	34 240	34,240 00	3,240 00		ST
Trade date Jul 2, 13	500 000	30 950	15,475 00	15,475 00	34 240	17,120 00	1,645 00		ST
Trade date Jul 10, 13	500 000	31 500	15,750 00	15,750 00	34 240	17,120 00	1,370 00		ST
Trade date Oct 3, 13	500 000	31 920	15,960 00	15,960 00	34 240	17,120 00	1,160 00		ST
Trade date Oct 10, 13	500 000	32 335	16,167 60	16,167 60	34 240	17,120 00	952 40		ST
EAI $3,540 Current yield 3 45%									
Security total	3,000 000	31 451	94,352 60	94,352 60		102,720 00	8,367 40	8,367 40	
CALAMOS CONV OPPORTUNITIES & INCOME FUND (HIGH YIELD)									

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Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
COM SHS BENEFICIAL INT									
Symbol CHI									
Trade date Dec 7, 10	619 000	12 959	8,022 12	8,022 12	13 260	8,207.94	185 82		LT
Trade date Dec 29, 11	1,000 000	11.210	11,210 00	11,210 00	13 260	13,260 00	2,050 00		LT
Total reinvested	1,418 000	12 504		17,730 87[2]	13 260	18,802 68	1,071 81		
EAI $3,462 Current yield 8 60%									
Security total	3,037 000	12 171	19,232 12	36,962 99		40,270 62	3,307 63	21,038 50	
GUGGENHEIM S&P 500 EQUAL WEIGHT ETF									
Symbol RSP									
Trade date Jul 10, 13	700 000	63 185	44,229 60	44,229 60	71 250	49,875 00	5,645 40		ST
Total reinvested	4 000	68 115		272 46	71 250	285 00	12 54		
EAI $639 Current yield 1.27%									
Security total	704 000	63 213	44,229 60	44,502 06		50,160 00	5,657 94	5,930 40	
ISHARES MSCI EMERGING MARKETS MINIMUM VOLATILITY ETF									
Symbol EEMV									
Trade date Jan 25, 13	100 000	60 800	6,080 00	6,080 00	58 260	5,826 00	-254 00		ST
Trade date Mar 14, 13	200 000	61 100	12,220 00	12,220 00	58.260	11,652 00	-568 00		ST
Trade date Jun 17, 13	200 000	57 993	12,712 81	12,712 81[2]	58 260	11,652 00	-1,060 81		ST
EAI $730 Current yield 2 51%									
Security total	500 000	62 026	31,012 81	31,012 81		29,130 00	-1,882 81	-1,882 81	
RBS US LARGE CAP TRENDPILOT ETN									
Symbol TRND									
Trade date Sep 26, 11	514 000	24 100	12,387 40	12,387 40	36 239	18,626 84	6,239 44		LT
Trade date Sep 27, 11	1,000 000	24 100	24,100 00	24,100 00	36 239	36,239 00	12,139 00		LT
Trade date Sep 27, 11	500 000	24 100	12,050 00	12,050 00	36 239	18,119 50	6,069 50		LT
Trade date Oct 5, 11	400 000	24 100	9,640 00	9,640 00	36 239	14,495 60	4,855 60		LT
Trade date Oct 18, 11	600 000	24 090	14,454 00	14,454 00	36 239	21,743 40	7,289 40		LT
Trade date Dec 29, 11	600 000	24 070	14,442 00	14,442 00	36 239	21,743 40	7,301 40		LT

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Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Dec 29, 11	300 000	24 070	7,221 00	7,221 00	36 239	10,871 70	3,650 70		LT
Security total	3,914 000	24 092	94,294 40	94,294 40		141,839 44	47,545 04	47,545 04	
RBS US MID CAP TRENDPILOT ETN									
Symbol TRNM									
Trade date Dec 31, 12	1,000 000	24 780	24,780 00	24,780 00	32 840	32,840 00	8,060 00		ST
Trade date May 14, 13	700 000	29 200	20,440 00	20,440 00	32 840	22,988 00	2,548 00		ST
Security total	1,700 000	26 600	45,220 00	45,220 00		55,828 00	10,608 00	10,608 00	
WISDOMTREE TRUST INTL LARGECAP									
DIVID FUND									
Symbol. DOL									
Trade date Sep 18, 13	600 000	50 526	30,316 08	30,316 08	51 970	31,182 00	865 92		ST
Trade date Sep 18, 13	500 000	50.000	25,000 00	25,000 00	51 970	25,985 00	985 00		ST
Trade date Nov 18, 13	300 000	51 592	15,477 60	15,477 60	51 970	15,591 00	113 40		ST
EAI $2,328 Current yield 3 20%									
Security total	1,400 000	50 567	70,793 68	70,793 68		72,758 00	1,964 32	1,964 32	
Total			**$450,578.73**	**$481,906.24**		**$562,236.36**	**$80,330.12**	**$111,657.63**	

Total estimated annual income: $16,429

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
AMERICAN CENTURY									
EQUITY INCOME FUND									
A									
Symbol TWEAX									

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Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Sep 1, 09	1,624 417	6 139	9,973 92	9,973 92	8 580	13,937 50	3,963 58		LT
Trade date Mar 16, 10	5,200 594	6 730	35,000 00	35,000 00	8 580	44,621 10	9,621 10		LT
Trade date Apr 27, 10	3,654 971	6 839	25,000 00	25,000 00	8 580	31,359 65	6,359 65		LT
Trade date Dec 5, 11	3,486 750	7 170	25,000 00	25,000 00	8 580	29,916 32	4,916 32		LT
Total reinvested	3,022 320	7 828		23,661 60	8 580	25,931 51	2,269 91		
EAI $3,092 Current yield 2.12%									
Security total	16,989 052	6 983	94,973 92	118,635 52		145,766 06	27,130 56	50,792 16	
COHEN & STEERS REALTY									
SHARES									
Symbol CSRSX									
Trade date Aug 8, 07	218 587	81 300	17,771 13	17,771 13	62 820	13,731 64	-4,039 49		LT
Total reinvested	643 789	56 472		36,356 31	62 820	40,442 83	4,086 52		
EAI $1,346 Current yield 2 48%									
Security total	862 376	62 765	17,771 13	54,127 44		54,174 47	47 03	36,403 34	
EATON VANCE GLOBAL									
DIVIDEND INCOME FUND									
CLASS A									
Symbol EDIAX									
Trade date Oct 28, 11	3,855 729	7 109	27,414 23	27,414 23	8 380	32,311 01	4,896 78		LT
Trade date Dec 27, 12	2,801 120	7 140	20,000 00	20,000 00	8 380	23,473 38	3,473 38		LT
Total reinvested	857 981	7 268		6,236 62	8 380	7,189 88	953 26		
EAI $2,585 Current yield 4 10%									
Security total	7,514 830	7 139	47,414 23	53,650 85		62,974 27	9,323 42	15,560 04	
FIRST EAGLE OVERSEAS									
FUND CLASS A									
Symbol SGOVX									
Trade date Jan 13, 11	1,097 936	22 769	25,000 00	25,000 00	23 110	25,373 30	373 30		LT
Trade date Jan 19, 11	656 743	22 839	15,000 01	15,000 01	23 110	15,177 33	177 32		LT
Trade date Aug 5, 11	2,219 263	22 530	50,000 00	50,000 00	23 110	51,287 17	1,287 17		LT
Trade date Aug 23, 11	2,250 225	22 220	50,000 00	50,000 00	23 110	52,002 70	2,002 70		LT
Total reinvested	1,102 219	21 601		23,810 09	23 110	25,472 28	1,662 19		

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Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	7,326 386	22 359	140,000 01	163,810 10		169,312 78	5,502 68	29,312 77	
FT-FRANKLIN RISING									
DIVIDENDS A									
Symbol FRDPX									
Trade date Aug 23, 11	1,046.541	31 750	33,227 68	33,227 68	48 470	50,725 84	17,498 16		LT
Trade date Dec 5, 11	727 379	34 370	25,000 02	25,000 02	48 470	35,256 06	10,256 04		LT
Total reinvested	69 691	38 829		2,706 06	48 470	3,377 92	671 86		
EAI $783 Current yield 0 88%									
Security total	1,843 611	33 051	58,227 70	60,933 76		89,359 82	28,426 06	31,132 12	
NEUBERGER BERMAN									
EQUITY INCOME									
FUND CLASS A									
Symbol NBHAX									
Trade date Sep 26, 11	5,928 616	10 479	62,131 89	62,131 89	12 030	71,321 25	9,189 36		LT
Trade date Sep 30, 11	2,410 800	10 370	25,000 00	25,000 00	12 030	29,001 92	4,001 92		LT
Trade date Oct 18, 11	2,308 403	10 829	25,000 00	25,000 00	12 030	27,770 09	2,770 09		LT
Total reinvested	1,472 177	11 531		16,975 70	12 030	17,710 29	734 59		
EAI $3,078 Current yield 2 11%									
Security total	12,119 996	10 652	112,131 89	129,107 59		145,803 55	16,695 96	33,671 66	
VIRTUS PREMIUM ALPHA									
SECTOR FUND A									
Symbol VAPAX									
Trade date Sep 26, 11	8,503.401	11 760	100,000 00	100,000 00	16 560	140,816 32	40,816 32		LT
Trade date Sep 30, 11	2,138 580	11 689	25,000 00	25,000 00	16 560	35,414 88	10,414 88		LT
Trade date Oct 18, 11	4,240 882	11 790	50,000 00	50,000 00	16 560	70,229 01	20,229 01		LT
Trade date Nov 10, 11	2,104 377	11 880	25,000 00	25,000 00	16 560	34,848 48	9,848 48		LT
Trade date Jun 19, 13	4,983 389	15 049	75,000 00	75,000 00	16 560	82,524 92	7,524 92		ST
Trade date Jun 20, 13	1,704 158	14 670	25,000 00	25,000 00	16 560	28,220 86	3,220 86		ST
Total reinvested	957 344	15 504		14,843 53	16 560	15,853 62	1,010 09		
EAI $1,675 Current yield 0 41%									
Security total	24,632 131	12 782	300,000 00	314,843 53		407,908 08	93,064 56	107,908 09	

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Your assets ▸ **Equities** ▸ **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total			**$770,518.88**	**$895,108.79**		**$1,075,299.03**	**$180,190.27**	**$304,780.15**	

Total estimated annual income: $12,559

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments
Restricted security values are estimated for informational purposes See *Important information about your statement* at the end of this document for additional information

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ENERGY TRANSFER PARTNERS LP MLP Symbol ETP Exchange NYSE EAI $2,574 Current yield 6 32%	Oct 7, 11	181 000	41 150	7,448 15	57 250	10,362 25	2,914 10	LT
	Oct 11, 11	300 000	41 166	12,349 86	57 250	17,175 00	4,825 14	LT
	Earnings	230 000	46 261	10,640 12	57 250	13,167 50	2,527 38	
Security total		711 000	42 810	30,438 13		40,704 75	10,266 62	

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values *Actual market values may vary and thus gains/losses may not be accurately reflected* Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the *premium amortization* on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENL ELEC CO RATE 05 250% MATURES 12/06/17 ACCRUED INTEREST $273 43 CUSIP 369604BC6 Moody Aa3 S&P AA+ EAI $3,938 Current yield 4 64%	Apr 28, 09	50,000 000	95 535	47,767 50	113 194	56,597 00	8,829 50	LT
	Apr 29, 09	25,000 000	95 664	23,916 00	113 194	28,298 50	4,382 50	LT
Security total		75,000 000		71,683 50		84,895 50	13,212 00	

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CONOCOPHILLIPS B/E CALL@MW+20BP RATE 05 200% MATURES 05/15/18 ACCRUED INTEREST $664 44 CUSIP 20825CAN4 Moody A1 S&P A EAI $5,200 Current yield 4 61%	Jan 30, 09	100,000 000	99 999	99,999 00	112 840	112,840 00	12,841 00	LT
AT&T INC NTS B/E CALL@MW+45BP RATE 05 800% MATURES 02/15/19 ACCRUED INTEREST $1,095 55 CUSIP 00206RAR3 Moody A3 S&P A- EAI $2,900 Current yield 5 06%	Feb 19, 09	50,000 000	99 708	49,854 00	114 636	57,318 00	7,464 00	LT
SHELL INTL FIN B/E FOREIGN SECURITY RATE 04 300% MATURES 09/22/19 CALL@MW+15BP ACCRUED INTEREST $591 25 ISIN US822582AJ10 Moody Aa1 S&P AA EAI $2,150 Current yield 3 92%	Sep 21, 09	50,000 000	99 700	49,850 00	109 612	54,806 00	4,956 00	LT
Total		**$275,000.000**		**$271,386.50**		**$309,859.50**	**$38,473.00**	

Total accrued interest: $2,624.67

Total estimated annual income: $14,188

Your assets › **Fixed income** (continued)

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID)

Holding	Trade date	Quantity	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GNMA PL 004345M								
RATE 06 5000% MATURES 01/20/39								
CURRENT PAR VALUE 15,834								
ACCRUED INTEREST $85 76								
CUSIP 36202EZJ1								
EAI $1,029 Current yield 5 77%	Jan 27, 09	100,000 000	104 500	16,546 18 [1]	112 573	17,824 80	1,278 62	LT

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Closed end funds & Exchange traded products

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Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EATON VANCE LTD DURATION									
INCOME FUND									
Symbol EVV									
Trade date Aug 4, 11	99 000	15 906	1,574 78	1,574 78	15 300	1,514 70	-60 08		LT
Trade date Dec 27, 12	1,500 000	16 480	24,720 00	24,720 00	15 300	22,950 00	-1,770 00		LT
Total reinvested	820 000	16 050		13,161 15	15 300	12,546 00	-615 15		
EAI $2,951 Current yield 7 97%									
Security total	2,419 000	16 311	26,294 78	39,455 93		37,010 70	-2,445 23	10,715 92	
ISHARES IBOXX $ INVT GRADE									
CORPORATE BOND ETF									
Symbol LQD									
Total reinvested	4 000	119 610		478 44 [2]	114 188	456 75	-21 69	456 75	
EAI $18 Current yield 3 94%									
ISHARES 1-3 YR TREAS BOND ETF									

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Symbol SHY									
Trade date Jun 21, 12	200 000	84 379	16,875 98	16,875 98	84 380	16,876 00	0 02		LT
Trade date Jul 6, 12	200 000	84 417	16,883 56	16,883 56	84 380	16,876 00	-7 56		LT
Trade date Jul 16, 12	400 000	84 509	33,803 96	33,803 96	84 380	33,752 00	-51 96		LT
Trade date Jul 26, 13	300 000	84 388	25,316 50	25,316 50	84 380	25,314 00	-2 50		ST
EAI $243 Current yield 0 26%									
Security total	1,100 000	84 436	92,880 00	92,880 00		92,818 00	-62 00	-62 00	
NUVEEN FLOATING RATE INCOME FUND									
Symbol JFR									
Trade date Jul 17, 13	2,500 000	12 550	31,375 00	31,375 00	11 920	29,800 00	-1,575 00		ST
Trade date Jul 19, 13	1,000 000	12 520	12,520 00	12,520 00	11 920	11,920 00	-600 00		ST
EAI $2,583 Current yield 6 19%									
Security total	3,500 000	12 541	43,895 00	43,895 00		41,720 00	-2,175 00	-2,175 00	
PIMCO DYNAMIC CR INCOME FD									
Symbol PCI									
Trade date Apr 3, 13	410 000	24 850	10,188 50	10,188 50	22 480	9,216 80	-971 70		ST
Trade date Apr 16, 13	400 000	24 900	9,960 00	9,960 00	22 480	8,992 00	-968 00		ST
Trade date Apr 16, 13	300 000	24 890	7,467 00	7,467 00	22 480	6,744 00	-723 00		ST
Trade date May 20, 13	615 000	24 980	17,262 79	17,262 79[2]	22 480	13,825 20	-3,437 59		ST
Trade date May 30, 13	500 000	24 199	13,644 49	13,644 49[2]	22 480	11,240 00	-2,404 49		ST
Trade date Jun 3, 13	500 000	23 300	12,937 83	12,937 83[2]	22 480	11,240 00	-1,697 83		ST
Total reinvested	174 000	23 786		4,138 85[2]	22 480	3,911 52	-227 33		
EAI $5,436 Current yield 8 34%									
Security total	2,899 000	26 078	71,460 61	75,599 46		65,169 52	-10,429 94	-6,291 09	
PIMCO ENHANCED SHORT MAT EXCHANGE-TRADED FD									
Symbol MINT									
Trade date Aug 14, 13	100 000	101 383	10,138 30	10,138 30	101 320	10,132 00	-6 30		ST
Trade date Aug 19, 13	400 000	101 347	40,539 08	40,539 08	101 320	40,528 00	-11 08		ST
Trade date Aug 21, 13	200 000	101 320	20,264 00	20,264 00	101 320	20,264 00			ST

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Your assets › Fixed income › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Sep 20, 13	500 000	101 418	50,709 35	50,709 35	101 320	50,660 00	-49 35		ST
EAI $888 Current yield· 0 73%									
Security total	1,200 000	101 376	121,650 73	121,650 73		121,584 00	-66 73	-66 73	
POWERSHARES ETF TRUST II									
Symbol BKLN									
Trade date Jan 24, 13	1,200 000	25 210	30,252 00	30,252 00	24 880	29,856 00	-396 00		ST
Trade date Apr 3, 13	1,500 000	25 100	37,650 00	37,650 00	24 880	37,320 00	-330 00		ST
Trade date Jul 17, 13	800 000	24 910	19,928 00	19,928 00	24 880	19,904 00	-24 00		ST
EAI $3,833 Current yield 4 40%									
Security total	3,500 000	25 094	87,830 00	87,830 00		87,080 00	-750 00	-750 00	
WISDOMTREE TR EM LCL DEBT FD									
ETF									
Symbol. ELD									
Trade date Sep 24, 10	495 000	51 890	25,685 55	25,685 55	46 020	22,779 90	-2,905 65		LT
Total reinvested	107 000	51 311		5,490 28[2]	46 020	4,924 14	-566 14		
EAI $994 Current yield. 3 59%									
Security total	602 000	51 787	25,685 55	31,175 83		27,704 04	-3,471 79	2,018 49	
Total			**$469,696.67**	**$492,965.39**		**$473,543.01**	**-$19,422.38**	**$3,846.34**	

Total estimated annual income: $16,946

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may *need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax* reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss *It also does not* include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANCE BERNSTEIN HIGH									
INCOME FD CL A									

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Symbol AGDAX									
Trade date Feb 1, 13	5,213 764	9.590	50,000 00	50,000 00	9 380	48,905 10	-1,094 90		ST
Trade date Sep 18, 13	2,670 940	9 360	25,000 00	25,000 00	9 380	25,053 41	53 41		ST
Total reinvested	418 708	9 486		3,972 15	9 380	3,927 48	-44 67		
EAI $5,397 Current yield 6 93%									
Security total	8,303 412	9 511	75,000 00	78,972 15		77,886 00	-1,086 16	2,885 99	
COLUMBIA U S GOVERNMENT									
MORTGAGE FUND A									
Symbol AUGAX									
Trade date Feb 13, 13	8,944 544	5 589	50,000 00	50,000 00	5 370	48,032 20	-1,967 80		ST
Total reinvested	215 829	5 473		1,181 37	5 370	1,159 00	-22 37		
EAI $1,466 Current yield 2 98%									
Security total	9,160 373	5 587	50,000 00	51,181 37		49,191 20	-1,990 17	-808 80	
GOLDMAN SACHS STRATEGIC									
INCOME CL A									
Symbol GSZAX									
Trade date Mar 9, 11	5,127 835	10 130	51,944 97	51,944 97	10 660	54,662 72	2,717 75		LT
Total reinvested	932 959	10 087		9,410 83	10 660	9,945 34	534 51		
EAI $2,291 Current yield 3 55%									
Security total	6,060 794	10 123	51,944 97	61,355 80		64,608 06	3,252 26	12,663 09	
LOOMIS SAYLES STRATEGIC									
ALPHA FUND CLASS A									
Symbol LABAX									
Trade date Jan 30, 13	4,807 692	10 400	50,000 00	50,000 00	10 060	48,365 38	-1,634 62		ST
Trade date Feb 6, 13	7,218 479	10 390	75,000 00	75,000 00	10 060	72,617 90	-2,382 10		ST
Trade date Mar 21, 13	2,892 960	10 370	30,000 00	30,000 00	10 060	29,103 18	-896 82		ST
Trade date Apr 29, 13	3,339 695	10 479	35,000 00	35,000 00	10 060	33,597 33	-1,402 67		ST
Trade date Jun 11, 13	3,414 634	10 250	35,000 00	35,000 00	10 060	34,351 22	-648 78		ST
Total reinvested	451 687	10 017		4,524 64	10 060	4,543 97	19 33		
EAI $4,978 Current yield 2 24%									
Security total	22,125 147	10 374	225,000 00	229,524 64		222,578 97	-6,945 66	-2,421 02	

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
LOOMIS SAYLES									
STRATEGIC INCOME FUND									
CLASS A									
Symbol NEFZX									
Trade date Jul 19, 12	1,501 671	14 930	22,419 95	22,419 95	16 360	24,567 34	2,147 39		LT
Trade date Jul 26, 12	3,396 739	14 720	50,000 00	50,000 00	16 360	55,570 65	5,570 65		LT
Total reinvested	464 295	15 703		7,291 00	16 360	7,595 87	304 87		
EAI $3,635 Current yield 4 14%									
Security total	5,362 705	14 864	72,419 95	79,710 95		87,733 85	8,022 91	15,313 91	
LORD ABBETT SHORT									
DURATION INCOME FUND									
CLASS A									
Symbol LALDX									
Trade date Sep 21, 11	11,013 216	4 539	50,000 00	50,000 00	4 550	50,110 13	110 13		LT
Trade date Sep 30, 11	5,543 237	4 510	25,000 00	25,000 00	4 550	25,221 73	221 73		LT
Total reinvested	1,501 639	4 596		6,902 42	4 550	6,832 46	-69 96		
EAI $3,052 Current yield 3 71%									
Security total	18,058 092	4 535	75,000 00	81,902 42		82,164 31	261 90	7,164 32	
MAINSTAY HIGH YIELD									
OPPORTUNITIES FUND CLASS									
A									
Symbol MYHAX									
Trade date Oct 20, 10	2,050 218	12 009	24,623 11	24,623 11	12 250	25,115 17	492 06		LT
Trade date Jan 14, 11	2,914 238	12 010	35,000 00	35,000 00	12 250	35,699 41	699 41		LT
Trade date Oct 7, 11	2,367 424	10 560	25,000 00	25,000 00	12 250	29,000 94	4,000 94		LT
Trade date Apr 5, 12	2,170 139	11 519	25,000 00	25,000 00	12 250	26,584 20	1,584 20		LT
Trade date Aug 7, 12	2,149 613	11 630	25,000 00	25,000 00	12 250	26,332 76	1,332 76		LT
Total reinvested	2,824 701	11 717		33,098 80	12 250	34,602 58	1,503 78		
EAI $9,438 Current yield 5 32%									
Security total	14,476 333	11 586	134,623 11	167,721 91		177,335 07	9,613 15	42,711 95	
OPPENHEIMER INTL BOND FD CL A									

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Symbol OIBAX									
Trade date Aug 26, 09	567 959	6 269	3,561 10	3,561 10	6 080	3,453 19	-107 91		LT
Trade date Sep 25, 09	3,834 356	6 519	25,000 00	25,000 00	6 080	23,312 88	-1,687 12		LT
Trade date Oct 29, 09	3,816 794	6 549	25,000 00	25,000 00	6 080	23,206 11	-1,793 89		LT
Trade date Feb 27, 13	4,587 156	6 539	30,000 00	30,000 00	6 080	27,889 91	-2,110 09		ST
Total reinvested	3,096 279	6 437		19,932 86	6 080	18,825 38	-1,107 48		
EAI $3,657 Current yield 3 78%									
Security total	15,902 544	6 508	83,561 10	103,493 96		96,687 46	-6,806 49	13,126 37	
PIMCO INVESTMENT GRADE CORPORATE BOND FUND CLASS A									
Symbol PBDAX									
Trade date Aug 5, 11	9,276 438	10 779	100,000 00	100,000 00	10 240	94,990 73	-5,009 27		LT
Trade date Aug 11, 11	4,721 435	10 590	50,000 00	50,000 00	10 240	48,347 49	-1,652 51		LT
Trade date Sep 21, 11	4,690 432	10 659	50,000 00	50,000 00	10 240	48,030 02	-1,969 98		LT
Total reinvested	3,393 167	10 710		36,341 06	10 240	34,746 03	-1,595 03		
EAI $7,993 Current yield 3 53%									
Security total	22,081 472	10 703	200,000 00	236,341 06		226,114 27	-10,226 79	26,114 27	
RS LOW DURATION BOND FUND A									
Symbol RLDAX									
Trade date Aug 24, 11	1,035 621	10 199	10,563 33	10,563 33	10 130	10,490 84	-72 49		LT
Trade date Sep 29, 11	2,453 386	10 189	25,000 00	25,000 00	10 130	24,852 80	-147 20		LT
Trade date Nov 29, 11	3,434 740	10 189	35,000 00	35,000 00	10 130	34,793 92	-206 08		LT
Total reinvested	393 357	10 255		4,033 92	10 130	3,984 71	-49 21		
EAI $1,310 Current yield 1 77%									
Security total	7,317 104	10 195	70,563 33	74,597 25		74,122 26	-474 98	3,558 94	
SENTINEL TOTAL RETURN BOND FUND A									
Symbol SATRX									
Trade date May 7, 13	6,938 020	10 810	75,000 00	75,000 00	10 550	73,196 10	-1,803 90		ST

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total reinvested	227 224	10 561		2,399 83	10 550	2,397 21	-2 62		
EAI $2,149 Current yield 2 84%									
Security total	7,165 244	10 802	75,000 00	77,399 83		75,593 32	-1,806 52	593 31	
VIRTUS MULTI-SECTOR									
SHORT TERM BOND									
FUND - CLASS A									
Symbol NARAX									
Trade date Sep 29, 11	7,437 447	4 679	34,807 25	34,807 25	4 860	36,145 99	1,338 74		LT
Trade date Nov 29, 11	7,446 809	4 699	35,000 00	35,000 00	4 860	36,191 49	1,191 49		LT
Trade date Jun 19, 13	20,491 803	4 880	100,000 00	100,000 00	4 860	39,590 16	-409 84		ST
Total reinvested	2,279 771	4 851		11,059 62	4 860	11,079 69	20 07		
EAI $6,590 Current yield. 3 60%									
Security total	37,655 830	4 803	169,807 25	180,866 87		183,007 33	2,140 46	13,200 08	
Total			**$1,282,919.71**	**$1,423,068.21**		**$1,417,022.10**	**-$6,046.09**	**$134,102.39**	

Total estimated annual income: $51,956

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENERAL ELEC CAPITAL CRP								
(GECC) 4 700% SR NTS								
DUE 05/16/53 CALLABLE								
Symbol GEK Exchange NYSE								
EAI $6,345 Current yield 6 05%	Jul 19, 13	1,200 000	22 290	26,748 00	19 420	23,304 00	-3,444 00	ST
	Jul 26, 13	600 000	21 849	13,109 94	19 420	11,652 00	-1,457 94	ST
	Jul 31, 13	600 000	21 000	12,600.00	19 420	11,652 00	-948 00	ST
	Aug 1, 13	500 000	20 850	10,425 00	19 420	9,710 00	-715 00	ST
	Aug 14, 13	500 000	20 300	10,150 00	19 420	9,710.00	-440 00	ST
	Aug 19, 13	500 000	19 700	9,850 00	19 420	9,710 00	-140 00	ST
	Sep 9, 13	400 000	20 279	8,111 96	19 420	7,768 00	-343 96	ST
	Oct 14, 13	600 000	20 000	12,000 00	19 420	11,652 00	-348 00	ST
	Nov 15, 13	500 000	19 820	9,910 00	19.420	9,710 00	-200 00	ST
Security total		5,400 000		112,904 90		104,868 00	-8,036 90	

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NEXTERA ENERGY CAPITAL								
(NEE) 5 125%								
DUE 11/15/72 CALLABLE								
Symbol NEE PRI Exchange NYSE								
EAI $6,472 Current yield 6 92%	Aug 20, 13	2,000 000	18 879	37,759 30	18 500	37,000 00	-759 30	ST
	Aug 20, 13	1,000 000	18 880	18,880 00	18 500	18,500 00	-380 00	ST
	Sep 9, 13	452 000	19 500	8,814 00	18 500	8,362 00	-452 00	ST
	Sep 18, 13	568 000	20 002	11,361 14	18 500	10,508 00	-853 14	ST
	Sep 18, 13	432 000	19 960	8,622 72	18 500	7,992 00	-630 72	ST
	Oct 16, 13	600 000	19 000	11,400 00	18 500	11,100 00	-300 00	ST
Security total		5,052 000		96,837 16		93,462 00	-3,375 16	
Total				**$209,742.06**		**$198,330.00**	**-$11,412.06**	

Total estimated annual income: $12,817

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
NATIXIS ASG GLOBAL									
ALTERNATIVE A									
Symbol GAFAX									
Trade date May 7, 10	2,410 581	10 130	24,419 19	24,419 19	11 330	27,311 88	2,892 69		LT
Trade date May 17, 10	2,480 159	10 079	25,000 00	25,000 00	11 330	28,100 20	3,100 20		LT
Trade date Jul 13, 10	4,873 294	10 260	50,000 00	50,000 00	11 330	55,214 42	5,214 42		LT
Trade date Aug 18, 10	4,775 549	10 470	50,000 00	50,000 00	11 330	54,106 97	4,106 97		LT

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Your assets › **Non-traditional** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Dec 14, 10	2,281 022	10 959	25,000 00	25,000 00	11 330	25,843 98	843 98		LT
Total reinvested	2,411 726	10 993		26,513 33	11 330	27,324 86	811 53		
Security total	19,232 331	10 448	174,419 19	200,932 52		217,902 31	16,969 79	43,483 12	
VIRTUS DYNAMIC ALPHA									
SECTOR(SM) FUND - A									
Symbol EMNAX									
Trade date Oct 28, 13	4,058 442	12 319	50,000 00	50,000 00	13 070	53,043 84	3,043 84		ST
Trade date Nov 11, 13	2,016 129	12 400	25,000 00	25,000 00	13 070	26,350 81	1,350 81		ST
Trade date Nov 15, 13	1,982 554	12 609	25,000 00	25,000 00	13 070	25,911 98	911 98		ST
Trade date Nov 20, 13	2,003 205	12 480	25,000 00	25,000 00	13 070	26,181 89	1,181 89		ST
Trade date Nov 29, 13	2,360 346	12 710	30,000 00	30,000 00	13 070	30,849 72	849 72		ST
Trade date Dec 12, 13	4,025 765	12 419	50,000 00	50,000 00	13 070	52,616 75	2,616 75		ST
EAI $1,151 Current yield 0 54%									
Security total	16,446 441	12 465	205,000 00	205,000 00		214,954 98	9,954 99	9,954 99	
Total			**$379,419.19**	**$405,932.52**		**$432,857.29**	**$26,924.78**	**$53,438.10**	

Total estimated annual income: $1,151

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
AMERICAN FUNDS CAPITAL									
INC BLDR F-1									
Symbol CIBFX									
Trade date Aug 23, 11	1,023 541	48 850	50,000 00	50,000 00	58 550	59,928 32	9,928 32		LT
Total reinvested	107 429	52 699		5,661 43	58 550	6,289 97	628 54		

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Your assets › **Other** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $2,184 Current yield 3 30%									
Security total	1,130 970	49 216	50,000 00	55,661 43		66,218 29	10,556 86	16,218 29	
COLUMBIA THERMOSTAT									
FUND CLASS A									
Symbol CTFAX									
Trade date Feb 13, 13	3,408 316	14 670	50,000 00	50,000 00	14 580	49,693 24	-306 76		ST
Trade date Apr 17, 13	1,683 502	14 849	25,000 00	25,000 00	14 580	24,545 46	-454 54		ST
Total reinvested	351 564	14 563		5,120 10	14 580	5,125 80	5 70		
EAI $1,383 Current yield 1 74%									
Security total	5,443 382	14 719	75,000 00	80,120 10		79,364 50	-755 60	4,364 50	
FIRST EAGLE GLOBAL FUND									
CLASS A									
Symbol SGENX									
Trade date Aug 26, 09	1,325 205	37 730	50,000 00	50,000 00	53 610	71,044 24	21,044 24		LT
Trade date Sep 1, 09	533 903	37 459	20,000 00	20,000 00	53 610	28,622 54	8,622 54		LT
Trade date Aug 5, 11	433 557	46 130	20,000 00	20,000 00	53 610	23,242 99	3,242 99		LT
Trade date Dec 18, 13	762 340	52 470	40,000 00	40,000 00	53 610	40,869 05	869 05		ST
Total reinvested	336 649	47 976		16,151 08	53 610	18,047 75	1,896 67		
Security total	3,391 654	43 091	130,000 00	146,151 08		181,826 57	35,675 49	51,826 57	
FT-FRANKLIN INCOME A									
Symbol FKINX									
Trade date· Sep 1, 09	2,095 286	1 899	3,981 04	3,981 04	2 420	5,070 59	1,089 55		LT
Trade date Jan 20, 10	7,142 857	2 100	15,000 00	15,000 00	2 420	17,285 71	2,285 71		LT
Trade date Jan 13, 11	9,049 774	2.209	20,000 00	20,000 00	2 420	21,900 45	1,900 45		LT
Trade date Jan 19, 11	6,787.330	2 210	15,000 00	15,000 00	2 420	16,425 34	1,425 34		LT
Trade date Aug 9, 11	49,261 084	2 029	100,000 00	100,000 00	2 420	119,211 82	19,211 82		LT
Total reinvested	16,412 117	2 186		35,876 89	2 420	39,717 32	3,840 43		
EAI $11,616 Current yield 5 29%									
Security total	90,748 448	2 092	153,981 04	189,857 93		219,611 24	29,753 30	65,630 19	
LOOMIS SAYLES GLOBAL									
EQUITY AND INCOME									

continued next page

Your assets › **Other** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FUND CL A									
Symbol LGMAX									
Trade date Aug 9, 11	4,838 710	15 499	75,000 00	75,000 00	19 240	93,096 78	18,096 78		LT
Trade date Oct 18, 11	1,647 989	15 170	25,000 00	25,000 00	19 240	31,707 31	6,707 31		LT
Trade date Nov 10, 11	1,619 171	15 439	25,000 00	25,000 00	19 240	31,152 85	6,152 85		LT
Trade date Dec 1, 11	3,250 975	15 380	50,000 00	50,000 00	19 240	62,548 76	12,548 76		LT
Trade date Mar 16, 12	2,974 420	16 809	50,000 00	50,000 00	19 240	57,227 84	7,227 84		LT
Trade date May 3, 12	1,782 531	16 830	30,000 00	30,000 00	19 240	34,295 90	4,295 90		LT
Total reinvested	931 093	17 702		16,482 45	19 240	17,914 23	1,431 78		
EAI $5,556 Current yield 1 69%									
Security total	17,044 889	15 927	255,000 00	271,482 45		327,943 66	56,461 22	72,943 67	
NATIXIS DIVERSIFIED									
INCOME FUND CLASS A									
Symbol IIDPX									
Trade date Apr 24, 12	716 820	11 390	8,164.58	8,164 58	12 210	8,752 37	587 79		LT
Trade date Jul 13, 12	3,019 845	11 589	35,000 00	35,000 00	12 210	36,872 30	1,872 30		LT
Trade date Aug 27, 12	2,147 766	11 640	25,000 00	25,000 00	12 210	26,224 22	1,224 22		LT
Trade date Dec 19, 12	2,540 220	11 810	30,000 00	30,000 00	12 210	31,016 08	1,016 08		LT
Total reinvested	462 931	11.943		5,528 80	12 210	5,652 39	123 59		
EAI $2,728 Current yield 2 51%									
Security total	8,887 582	11 667	98,164 58	103,693 38		108,517 37	4,823 98	10,352 78	
PIMCO ALL ASSETS ALL									
AUTH-A									
Symbol PAUAX									
Trade date Aug 30, 11	6,918 819	10 840	75,000 00	75,000 00	9 900	68,496 31	-6,503 69		LT
Trade date Apr 11, 12	2,383 222	10 490	25,000 00	25,000 00	9 900	23,593 90	-1,406 10		LT
Trade date Jan 2, 13	3,158 845	11 079	35,000 00	35,000 00	9 900	31,272.57	-3,727 43		ST
Trade date Mar 21, 13	2,744 739	10 930	30,000 00	30,000 00	9 900	27,172 92	-2,827 08		ST
Trade date Jun 26, 13	2,470 356	10 119	25,000 00	25,000 00	9 900	24,456 52	-543 48		ST
Trade date Aug 6, 13	2,439 024	10 250	25,000 00	25,000 00	9 900	24,146 34	-853 66		ST
Total reinvested	1,970 221	10 308		20,310 73	9 900	19,505 19	-805 54		
EAI $11,374 Current yield 5 20%									

continued next page

Your assets › **Other** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	22,085 226	10 655	215,000 00	235,310 73		218,643 73	-16,666 98	3,643 75	
Total			**$977,145.62**	**$1,082,277.10**		**$1,202,125.36**	**$119,848.27**	**$224,979.74**	

Total estimated annual income: $34,841

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**		**7.86%**			
Equities	Common stock	3,996,348 81		3,171,587 42	90,265.00	824,761 39
	Closed end funds & Exchange traded products	562,236 36		481,906 24	16,429 00	80,330 12
	Mutual funds	1,075,299 03		895,108 79	12,559 00	180,190 27
	Other equity investments	40,704 75		30,438 13	2,574 00	10,266 62
	Total equities	**5,674,588.95**	**53.74%**	**4,579,040.58**	**121,827.00**	**1,095,548.40**
Fixed income	Corporate bonds and notes	309,859 50		271,386 50	14,188 00	38,473 00
	Asset backed securities	17,824 80		16,546 18	1,029 00	1,278 62
	Closed end funds & Exchange traded products	473,543 01		492,965 39	16,946 00	-19,422 38
	Mutual funds	1,417,022 10		1,423,068 21	51,956 00	-6,046 09
	Preferred securities	198,330 00		209,742 06	12,817 00	-11,412 06
	Total accrued interest	2,710 43				
	Total fixed income	**2,419,289.84**	**22.91%**	**2,413,708.34**	**96,936.00**	**2,871.09**
Non-traditional	**Mutual funds**	**432,857.29**	**4.10%**	**405,932.52**	**1,151.00**	**26,924.78**
Other	**Mutual funds**	**1,202,125.36**	**11.39%**	**1,082,277.10**	**34,841.00**	**119,848.27**
Total		9,728,861.44	**100.00%**	8,480,958.54	**$254,755.00**	**$1,245,192.54**

FIDELITY
PREMIUM SERVICES℠

Investment Report

December 1, 2013 - December 31, 2013

Fidelity Account℠ Z49-695793 GEORGE/ESTELLE M SANDS FNDTN U/A 12/30/96 JEFFREY H SANDS, DEBORAH S GARTENBERG AND ELIZABETH SANDS TRUSTEES FOR THE BENEFIT OF CHARITIES

Holdings (Symbol) as of December 31, 2013	Quantity December 31, 2013	Price per Unit December 31, 2013	Total Cost Basis	Total Value December 1, 2013	Tota[illegible]ue December 31, 2013
CAMPBELL SOUP CO (CPB)	1,000.000	43.280	38,567.85	38,730.00	43,280.00
EAI $1,248.00, EY 2 88%					
CATERPILLAR INC (CAT)	400.000	90.810	34,831.91	33,840.00	36,324.00
EAI $960 00, EY 2 64%					
EXELON CORP (EXC)	1,200.000	27.390	38,230.79	32,292.00	32,868.00
EAI· $1,488.00, EY 4 53%					
INTEL CORP (INTC)	1,500.000	25.955	34,380.94	35,760.00	38,932.50
EAI $1,350.00, EY 3.47%					
LILLY ELI & CO (LLY)	700.000	51.000	34,914.36	35,154.00	35,700.00
EAI $1,372 00, EY. 3 84%					
LORILLARD INC COM (LO)	825.000	50.680	34,564.73	42,347.25	41,811.00
EAI· $1,815.00, EY 4.34%					
PEPCO HLDGS INC (POM)	1,700.000	19.130	34,823.78	32,436.00	32,521.00
EAI $1,836 00, EY: 5 65%					
UNIVERSAL CORP VA (UVV)	675.000	54.600	34,748.78	35,208.00	36,855.00
EAI. $1,377 00, EY· 3 74%					
WESTAR ENERGY INC COM (WR)	1,000.000	32.170	31,400.40	31,360.00	32,170.00
EAI $1,360 00, EY. 4.23%					
Subtotal of Stocks			**387,424.21**		**402,253.50**

Core Account ***12% of holdings***

CASH

For balances between $50,000.00 and $99,999.99, the current interest rate is 00.01%.

Trade Date

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2[illegible]

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2266732 IM GEORGE AND ESTELLE SANDS FDN

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Hedge Funds								
Hedge Funds Specific Strategy								
555.000	CZECH II SENIOR LOAN TRUST CL C (TE)	8EQR99999	$507,825.00 915.000	$0.00	$525,000.00 945.946	-$17,175.00	$0.00	0.00%
	Total Hedge Funds Specific Strategy		**$507,825.00**	**$0.00**	**$525,000.00**	**-$17,175.00**	**$0.00**	**0.00%**
Total Hedge Funds		Ⓐ	**$507,825.00**	**$0.00**	**$525,000.00**	**-$17,175.00**	**$0.00**	**0.00%**
Total Portfolio			**$520,369.85**	**$3.85**	**$537,544.85**	**-$17,175.00**	**$7.52**	**0.00%**
Accrued Income			$3.85					
Total			**$520,373.70**					

(1) Market Value in the Portfolio Detail section does not include Accrued Income

Trade Date

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Equities

(2)Industry Sector Codes

CND = Consumer Discretionary
CNS = Consumer Staples
ENR = Energy
FIN = Financials
HEA = Health Care
IND = Industrials
IFT = Information Technology
MAT = Materials
OEQ = Other Equities
TEL = Telecommunication Services
UTL = Utilities

U.S. Large Cap

154.000	ACCENTURE PLC CL A COM IRELAND Ticker: ACN	G1151C101 IFT	$12,661.88 82.220	$0.00	$9,386.36 60.950	$3,275.52	$286.44	2.26%
173.000	AETNA INC NEW COM Ticker: AET	00817Y108 HEA	11,866.07 68.590	0.00	11,315.25 65.406	550.82	155.70	1.31
151.000	AIR PRODS & CHEMS INC Ticker: APD	009158106 MAT	16,878.78 111.780	107.21	12,940.00 85.695	3,938.78	428.84	2.54
201.000	ALEXION PHARMACEUTICALS INC Ticker: ALXN	015351109 HEA	26,709.68 132.884	0.00	18,210 22 90.598	8,499.46	0.00	0.00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
274.000	ALTRIA GROUP INC Ticker: MO	02209S103 CNS	10,518 86 38 390	131.52	9,608.46 35.067	910.40	526 08	5.00
471.000	AMERICAN ELEC PWR INC Ticker: AEP	025537101 UTL	22,014.54 46.740	0.00	18,757 70 39 825	3,256 84	942.00	4.27
350.000	AMERICAN INTL GROUP INC NEW COM Ticker AIG	026874784 FIN	17,867.50 51.050	0.00	14,289.45 40 827	3,578.05	140 00	0.78
290 000	AMGEN INC Ticker: AMGN	031162100 HEA	33,083 20 114 080	0 00	30,596.02 105.504	2,487.18	707 60	2.13
299 000	ANADARKO PETE CORP Ticker: APC	032511107 ENR	23,716.68 79.320	0.00	25,239 08 84.412	-1,522.40	215.28	0.90
151 000	APACHE CORP Ticker: APA	037411105 ENR	12,976 94 85.940	0 00	11,557.42 76.539	1,419.52	120.80	0.93
123.000	APPLE INC Ticker: AAPL	037833100 IFT	69,005 46 561.020	0.00	59,748 44 485 760	9,257.02	1,500.60	2 17
892.000	AT&T INC Ticker: T	00206R102 TEL	31,362.72 35.160	0.00	33,326.32 37.361	-1,963.60	1,641.28	5.23
111 000	BAXTER INTL INC Ticker: BAX	071813109 HEA	7,720.05 69.550	54.39	6,504.81 58 602	1,215 24	217.56	2.81
522 000	BERKSHIRE HATHAWAY INC DEL NEW CL B COM Ticker: BRK B	084670702 FIN	61,888.32 118.560	0 00	59,911 09 114.772	1,977 23	0.00	0.00
36 000	BIOGEN IDEC INC Ticker BIIB	09062X103 HEA	10,064 59 279.572	0 00	8,069.23 224 145	1,995.36	0 00	0.00
63.000	BLACKROCK INC CL A Ticker. BLK	09247X101 FIN	19,937.61 316 470	0.00	12,537.26 199.004	7,400 35	423.36	2.12
396.000	CAPITAL ONE FINL CORP Ticker COF	14040H105 FIN	30,337 56 76.610	0.00	24,094.56 60 845	6,243.00	475.20	1 56
133.000	CELGENE CORP Ticker: CELG	151020104 HEA	22,472.74 168.968	0.00	9,262 47 69 643	13,210.27	0.00	0 00

Trade Date

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 201

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
374.000	CHEVRON CORP Ticker: CVX	166764100 ENR	46,716.34 124.910	0.00	40,187.16 107.452	6,529.18	1,496.00	3.20
119.000	CIGNA CORP Ticker: CI	125509109 HEA	10,410.12 87.480	0.00	10,168.55 85.450	241.57	4.76	0.04
1,244.000	CISCO SYS INC Ticker: CSCO	17275R102 IFT	27,902.92 22.430	0.00	26,023.97 20.920	1,878.95	845.92	3.03
580.000	CITIGROUP INC NEW COM Ticker: C	172967424 FIN	30,223.80 52.110	0.00	21,502.15 37.073	8,721.65	23.20	0.07
947.000	COMCAST CORP NEW CL A COM Ticker: CMCS A	20030N101 CND	49,210.86 51.965	184.67	30,657.17 32.373	18,553.69	738.66	1.50
492.000	CORNING INC Ticker: GLW	219350105 IFT	8,767 44 17.820	0 00	8,378.71 17.030	388.73	196.80	2.24
861.000	CVS CAREMARK CORP Ticker: CVS	126650100 CNS	61,621.77 71.570	0.00	40,486.55 47.023	21,135.22	947.10	1.53
224.000	DANAHER CORP DEL Ticker: DHR	235851102 IND	17,292.80 77 200	5.60	13,012.63 58.092	4,280.17	22.40	0.13
155.000	DELTA AIR LINES INC DEL NEW COM Ticker: DAL	247361702 IND	4,257.85 27.470	0.00	4,427.17 28.562	-169.32	37.20	0.87
393 000	DISCOVER FINL SVCS Ticker: DFS	254709108 FIN	21,988.35 55 950	0 00	13,804 97 35 127	8,183.38	314.40	1.4
130.000	DISCOVERY COMMUNICATIONS INC NEW SER A COM Ticker: DISC A	25470F104 CND	11,754.60 90.420	0.00	10,206.81 78.514	1,547.79	0.00	0.00
425.000	DISNEY WALT CO COM DISNEY Ticker: DIS	254687106 CND	32,470.00 76.400	365.50	30,243.26 71.161	2,226.74	365.50	1.12
155.000	DOLLAR GEN CORP NEW COM Ticker: DG	256677105 CND	9,349.60 60.320	0.00	9,468.10 61 085	-118.50	0.00	0 00

Trade Date

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
140.000	DOVER CORP Ticker: DOV	260003108 IND	13,515.60 96.540	0.00	9,781.17 69.866	3,734.43	210.00	1.55
180.000	ECOLAB INC Ticker: ECL	278865100 MAT	18,768.60 104.270	49.50	15,524 97 86.250	3,243.63	198.00	1.05
225 000	ENTERPRISE PRODS PARTNERS L P COM UNIT Ticker: EPD	293792107 ENR	14,917 50 66.300	0.00	11,609.65 51.598	3,307.85	621.00	4.16
73.000	EOG RES INC Ticker: EOG	26875P101 ENR	12,252.32 167.840	0.00	11,500.76 157.545	751.56	54.75	0.44
264 000	EXPRESS SCRIPTS HLDG CO Ticker: ESRX	30219G108 HEA	18,543.36 70.240	0.00	14,717.73 55.749	3,825.63	0.00	0.00
433.000	FACEBOOK INC CL A COM Ticker: FB	30303M102 IFT	23,663.02 54.649	0.00	12,209.66 28.198	11,453.36	0.00	0.00
205.000	FEDEX CORP Ticker: FDX	31428X106 IND	29,472.85 143.770	30.75	18,739.46 91.412	10,733.39	123.00	0.41
785.000	FORD MTR CO DEL COM PAR $0.01 Ticker: F	345370860 CND	12,112.55 15.430	0.00	12,976.05 16.530	-863.50	314.00	2 59
830.000	FREEPORT-MCMORAN COPPER & GOLD INC COM Ticker: FCX	35671D857 MAT	31,324.20 37.740	0.00	29,536.97 35.587	1,787.23	1,037.50	3.31
1,731.000	GENERAL ELEC CO Ticker: GE	369604103 IND	48,519.93 28.030	380.82	34,674.74 20.032	13,845 19	1,523 28	3.1
259.000	GENERAL MTRS CO Ticker: GM	37045V100 CND	10,585.33 40.870	0.00	7,394.13 28.549	3,191.20	0.00	0 00
39.000	GOOGLE INC CL A COM Ticker GOOG	38259P508 IFT	43,707 69 1,120.710	0.00	35,249.23 903 826	8,458.46	0.00	0.00
242.000	HALLIBURTON CO Ticker: HAL	406216101 ENR	12,281.50 50.750	0 00	12,321 31 50.915	-39.81	145.20	1 18

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 20

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
302.000	HOME DEPOT INC Ticker: HD	437076102 CND	24,866.68 82.340	0.00	17,039.93 56.424	7,826.75	471.12	1.89
150.000	HONEYWELL INTL INC Ticker: HON	438516106 IND	13,705.50 91.370	0.00	11,412.23 76.082	2,293.27	270 00	1.97
438.000	INTERNATIONAL PAPER CO Ticker: IP	460146103 MAT	21,475.14 49.030	0.00	20,171.67 46.054	1,303.47	613.20	2.85
548.000	INVESCO LTD BERMUDA Ticker: IVZ	G491BT108 FIN	19,947.20 36.400	0.00	17,928.85 32.717	2,018.35	493.20	2.47
211.000	LAS VEGAS SANDS CORP Ticker: LVS	517834107 CND	16,641.57 78.870	0.00	15,368.97 72.839	1,272.60	295.40	1.77
195.000	LOWES COS INC Ticker: LOW	548661107 CND	9,662.25 49.550	0.00	8,788.40 45.069	873.85	140.40	1.45
92.000	MACYS INC Ticker: M	55616P104 CND	4,912.80 53.400	23.00	4,491 60 48.822	421 20	92.00	1.87
540.000	MARATHON OIL CORP Ticker: MRO	565849106 ENR	19,062.00 35.300	0.00	18,806.83 34.827	255.17	410.40	2.15
72.000	MARATHON PETE CORP Ticker: MPC	56585A102 ENR	6,604.56 91.730	0.00	6,156.06 85 501	448.50	120.96	1.83
240.000	MEAD JOHNSON NUTRITION CO Ticker: MJN	582839106 CNS	20,102.40 83.760	81.60	19,061.02 79.421	1,041.38	326.40	1.62
715.000	MELCO CROWN ENTERTAINMENT LTD ADR CAYMAN ISLANDS Ticker: MPEL	585464100 CND	28,042.30 39.220	0.00	15,036.46 21.030	13,005.84	0.00	0.0
537.000	MORGAN STANLEY Ticker: MS	617446448 FIN	16,840.32 31.360	0.00	13,486.33 25.114	3,353.99	107.40	0.63
203.000	NATIONAL OILWELL VARCO INC Ticker: NOV	637071101 ENR	16,144.59 79.530	0.00	16,070.66 79.166	73.93	211.12	1.30

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
139.000	NETEASE INC SPONSORED ADR COM CAYMAN ISLAND Ticker: NTES	64110W102 IFT	10,925.40 78.600	0.00	8,706.20 62.635	2,219.20	0.00	0.00
605.000	NEXTERA ENERGY INC Ticker: NEE	65339F101 UTL	51,800.10 85.620	0.00	50,071.55 82.763	1,728.55	1,597.20	3.08
161.000	NOBLE ENERGY INC Ticker: NBL	655044105 ENR	10,965.71 68 110	0.00	12,111.05 75.224	-1,145.34	90.16	0.82
298.000	OCCIDENTAL PETE CORP DEL Ticker: OXY	674599105 ENR	28,339.80 95 100	190.72	26,469 93 88.825	1,869.87	762.88	2 69
457.000	ORACLE CORP Ticker. ORCL	68389X105 IFT	17,484.82 38.260	0.00	13,199.46 28.883	4,285.36	219.36	1.25
199.000	PARKER HANNIFIN CORP Ticker: PH	701094104 IND	25,599.36 128.640	0.00	16,875.59 84.802	8,723.77	358 20	1.39
1,086.000	PFIZER INC Ticker: PFE	717081103 HEA	33,264.18 30.630	0.00	27,075.83 24.932	6,188.35	1,129.44	3.39
406.000	PNC FINL SVCS GROUP INC Ticker: PNC	693475105 FIN	31,497 48 77.580	0.00	25,690.27 63.277	5,807.21	714.56	2.26
478.000	PROCTER & GAMBLE CO Ticker: PG	742718109 CNS	38,913.98 81.410	0.00	38,106.21 79.720	807.77	1,150.07	2.95
178 000	SCHLUMBERGER LTD NETHERLANDS ANTILLES Ticker: SLB	806857108 ENR	16,039.58 90.110	55.63	12,754 63 71 655	3,284.95	222.50	1.38
123 000	SINA COM ORD CAYMAN ISLANDS Ticker SINA	G81477104 IFT	10,362.75 84 250	0.00	6,826.15 55.497	3,536.60	0.00	0.00
342.000	STARBUCKS CORP Ticker: SBUX	855244109 CND	26,809 38 78.390	0.00	17,999.34 52.630	8,810.04	355.68	1 32
401.000	TE CONNECTIVITY LTD SWITZERLAND Ticker: TEL	H84989104 IFT	22,099.11 55 110	0.00	14,476.27 36.100	7,622.84	401.00	1.81

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 201

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
77.000	THERMO FISHER SCIENTIFIC CORP Ticker: TMO	883556102 HEA	8,573.95 111 350	11.55	6,238.38 81.018	2,335.57	46.20	0.53
95.000	TIME WARNER CABLE INC Ticker: TWC	88732J207 CND	12,872.50 135.500	0.00	7,529.80 79.261	5,342 70	247.00	1.91
396.000	TIME WARNER INC NEW COM Ticker: TWX	887317303 CND	27,609.12 69.720	0.00	26,421.87 66.722	1,187 25	455.40	1.64
133.000	UNION PAC CORP Ticker: UNP	907818108 IND	22,344.00 168.000	105.07	14,477.38 108.852	7,866.62	420.28	1.88
432.000	US BANCORP DEL COM NEW Ticker: USB	902973304 FIN	17,452.80 40.400	99.36	14,766.63 34.182	2,686.17	397.44	2.27
135 000	VALERO ENERGY CORP NEW Ticker: VLO	91913Y100 ENR	6,804.00 50.400	0.00	6,177 95 45.763	626.05	121 50	1.78
76.000	VISA INC CL A COM Ticker: V	92826C839 IFT	16,923.68 222.680	0.00	13,480.14 177.370	3,443.54	121.60	0.71
94.000	VMWARE INC CL A COM Ticker: VMW	928563402 IFT	8,432.74 89.710	0.00	8,265.13 87 927	167.61	0.00	0.00
316.000	WAL-MART STORES INC Ticker: WMT	931142103 CNS	24,866.04 78.690	148.52	20,333.57 64.347	4,532.47	594.08	2.38
703.000	WELLS FARGO & CO NEW COM Ticker: WFC	949746101 FIN	31,916.20 45.400	0.00	23,500.17 33.428	8,416.03	843.60	2.64
402.000	WHOLE FOODS MKT INC Ticker: WFM	966837106 CNS	23,247 66 57.830	0.00	17,779 75 44 228	5,467.91	192.96	0.83
1,525.000	WUXI PHARMATECH CAYMAN INC SPONSORED ADR REPSTG ORD SHS CAYMAN ISLANDS Ticker: WX	929352102 HEA	58,529.50 38.380	0.00	26,470.16 17.357	32,059.34	0.00	0.00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	*Description*	*CUSIP* *Sector (2)*	*Market Value(1)/* *Market Price*	*Accrued Income*	*Tax Cost/* *Average Unit Cost*	*Unrealized Gain/Loss*	*Estimated Annual Income*	*Cur Yld/* *YTM*
Equities (cont)								
U.S. Large Cap (cont)								
	Total U.S. Large Cap		**$1,864,395.23**	**$2,025.41**	**$1,499,779.59**	**$364,615.64**	**$30,992.12**	**1.66%**
U.S. Mid Cap								
755.000	ACTIVISION BLIZZARD INC Ticker: ATVI	00507V109 IFT	$13,461 65 17.830	$0.00	$11,103 17 14.706	$2,358.48	$143.45	1.06%
73.000	AGCO CORP Ticker: AGCO	001084102 IND	4,320.87 59.190	0.00	3,486.36 47.758	834.51	29.20	0.67
253.000	AKAMAI TECHNOLOGIES INC Ticker AKAM	00971T101 IFT	11,936.54 47.180	0.00	11,770.67 46.524	165.87	0.00	0.00
98.000	AUTONATION INC Ticker: AN	05329W102 CND	4,869 62 49.690	0.00	4,524.73 46.171	344.89	0.00	0.00
147.000	AVNET INC Ticker: AVT	053807103 IFT	6,484.17 44.110	0.00	4,881.92 33.210	1,602.25	88.20	1 36
84 000	BAIDU INC SPONSORED ADR REPSTG ORD SHS CAYMAN ISLANDS Ticker BIDU	056752108 IFT	14,941.92 177.880	0.00	12,198.90 145.225	2,743.02	0.00	0.00
790.000	BLACKSTONE GROUP L P UNIT REPSTG LTD COM Ticker: BX	09253U108 OEQ	24,885.00 31.500	0.00	16,641.19 21.065	8,243.81	932.20	3.74
124.000	BORG WARNER INC Ticker: BWA	099724106 CND	6,932.84 55 910	0.00	6,599.24 53.220	333 60	62.00	0.89
102.000	CELANESE CORP DEL SER A COM Ticker: CE	150870103 MAT	5,641 62 55.310	0.00	3,926.46 38.495	1,715.16	73.44	1.3
46.000	CIMAREX ENERGY CO Ticker: XEC	171798101 ENR	4,825.86 104.910	0.00	4,440.73 96.538	385.13	25.76	0.53
365.000	CITRIX SYS INC Ticker: CTXS	177376100 IFT	23,086.25 63.250	0 00	22,916.83 62.786	169 42	0.00	0.00
456.000	DENTSPLY INTL INC NEW Ticker: XRAY	249030107 HEA	22,106.88 48.480	28.50	22,299.13 48.902	-192.25	114.00	0.51

Portfolio Detail

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Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Mid Cap (cont)								
355.000	DOLLAR TREE INC Ticker: DLTR	256746108 CND	20,029.10 56.420	0.00	17,549.11 49.434	2,479.99	0.00	0.00
122.000	ENERSYS Ticker: ENS	29275Y102 IND	8,550.98 70.090	0.00	4,179.54 34.259	4,371.44	61.00	0.71
246.000	FIRST REP BK SAN FRANCISCO CA NEW COM Ticker FRC	33616C100 FIN	12,878.10 52.350	0.00	9,265.47 37.665	3,612.63	118.08	0.91
326.000	FOOT LOCKER INC Ticker: FL	344849104 CND	13,509.44 41.440	0.00	10,093.00 30.960	3,416.44	260.80	1.93
379.000	GNC HLDGS INC Ticker: GNC	36191G107 HEA	22,152 55 58.450	0.00	13,828.01 36.486	8,324.54	227.40	1.02
84.000	HELMERICH & PAYNE INC Ticker: HP	423452101 ENR	7,062.72 84.080	0.00	4,349.81 51.783	2,712.91	210.00	2.97
1,713.000	HIMAX TECHNOLOGIES INC SPONSORED ADR CAYMAN ISLANDS Ticker: HIMX	43289P106 TEL	25,198.23 14.710	0.00	9,228.13 5.387	15,970.10	411.12	1.63
211.000	HUNTINGTON INGALLS INDS INC Ticker: HII	446413106 IND	18,992.11 90.010	0.00	11,684.31 55.376	7,307.80	168.80	0.88
317.000	IAC / INTERACTIVECORP PAR $.001 COM Ticker: IACI	44919P508 IND	21,762.37 68.651	0.00	16,511.83 52.088	5,250.54	304.32	1.39
163.000	INGREDION INC Ticker: INGR	457187102 CNS	11,158.98 68.460	68 46	9,632.51 59.095	1,526.47	273.84	2.45
492.000	INTERPUBLIC GROUP COS INC Ticker: IPG	460690100 CND	8,708.40 17.700	0.00	8,283.95 16.837	424.45	147.60	1.69
290.000	IPG PHOTONIC CORP Ticker: IPGP	44980X109 IFT	22,506.90 77.610	0.00	16,186.50 55.816	6,320.40	0.00	0.00
743.000	KKR & CO L P DEL UNITS COM Ticker: KKR	48248M102 OEQ	18,084.62 24.340	0.00	10,568.93 14.225	7,515.69	1,203.66	6.65

Portfolio Detail

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Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Mid Cap (cont)								
210.000	LAM RESEARCH CORP Ticker LRCX	512807108 IFT	11,434.50 54.450	0.00	11,343.61 54 017	90.89	0.00	0.00
109.000	LEAR CORP NEW COM Ticker: LEA	521865204 CND	8,825.73 80.970	0.00	4,858.65 44.575	3,967 08	74.12	0.84
125.000	MONSTER BEVERAGE CORP Ticker: MNST	611740101 CNS	8,471.25 67.770	0.00	6,728.61 53.829	1,742.64	0.00	0 00
35.000	NU SKIN ENTERPRISES INC CL A Ticker: NUS	67018T105 CNS	4,837 70 138.220	0.00	1,462.30 41.780	3,375.40	42.00	0.86
1,985.000	OCH-ZIFF CAP MGMT GROUP CL A Ticker: OZM	67551U105 FIN	29,378.00 14.800	0.00	18,379 33 9.259	10,998.67	2,818.70	9.59
90.000	OIL STS INTL INC Ticker: OIS	678026105 ENR	9,154.80 101.720	0.00	6,825.87 75.843	2,328.93	0.00	0.00
519.000	OWENS ILL INC COM NEW Ticker: OI	690768403 MAT	18,569.82 35.780	0.00	13,289.97 25.607	5,279.85	0.00	0.00
150.000	PENSKE AUTOMOTIVE GROUP INC Ticker: PAG	70959W103 CND	7,074.00 47.160	0.00	4,610.51 30.737	2,463.49	102.00	1.44
66.000	POLARIS INDS INC Ticker: PII	731068102 CND	9,612.24 145.640	0.00	5,458.49 82.704	4,153.75	110.88	1.15
246.000	REINSURANCE GROUP AMER INC Ticker: RGA	759351604 FIN	19,042.86 77.410	0 00	14,398 76 58.532	4,644.10	295.20	1.5[illegible]
543.000	SLM CORP Ticker SLM	78442P106 FIN	14,270 04 26.280	0.00	11,288 39 20.789	2,981.65	325.80	2.28
139.000	SM ENERGY CO Ticker: SM	78454L100 ENR	11,552.29 83.110	0.00	6,752 01 48.576	4,800.28	13.90	0.12
886 000	SYNOPSYS INC Ticker: SNPS	871607107 IFT	35,945 02 40 570	0.00	31,489.82 35.542	4,455.20	0.00	0.00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 201[illegible]

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Mid Cap (cont)								
98.000	TOWERS WATSON & CO CL A COM Ticker: TW	891894107 IND	12,505.78 127.610	13.72	8,215.01 83.827	4,290.77	54.88	0.43
118.000	TRINITY INDS INC Ticker: TRN	896522109 IND	6,433 36 54.520	0.00	3,853.43 32.656	2,579.93	70.80	1.10
341.000	UNITED RENTALS INC Ticker: URI	911363109 IND	26,580.95 77.950	0.00	15,289.08 44.836	11,291.87	0.00	0.00
35.000	WHIRLPOOL CORP Ticker: WHR	963320106 CND	5,490.10 156.860	0.00	5,262.43 150.355	227.67	87.50	1.59
76.000	WHITING PETE CORP NEW COM Ticker: WLL	966387102 ENR	4,702.12 61.870	0.00	4,435.77 58.365	266.35	0.00	0.00
259.000	WYNDHAM WORLDWIDE CORP Ticker: WYN	98310W108 CND	19,085 71 73.690	0.00	16,575 43 63 998	2,510.28	300.44	1.57
	Total U.S. Mid Cap		**$617,053.99**	**$110.68**	**$456,667.90**	**$160,386.09**	**$9,151.09**	**1.48%**
U.S. Small Cap								
2,676.000	CINCINNATI BELL INC NEW COM Ticker: CBB	171871106 TEL	$9,526 56 3.560	$0.00	$8,599.37 3.214	$927.19	$0.00	0.00%
712.000	COMSTOCK RES INC COM NEW Ticker: CRK	205768203 ENR	13,022.48 18 290	0.00	12,071 16 16.954	951.32	356.00	2.7[illegible]
180.000	FULLER H B CO Ticker: FUL	359694106 MAT	9,367.20 52.040	0.00	5,736.75 31.871	3,630.45	72.00	0.76
148.000	HANGER INC NEW COM Ticker: HGR	41043F208 HEA	5,822.32 39.340	0.00	3,503.20 23.670	2,319.12	0.00	0.00
691.000	JDS UNIPHASE CORP Ticker: JDSU	46612J507 TEL	8,972.64 12.985	0.00	10,705.73 15.493	-1,733.09	0.00	0.00

Trade Date

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Dec. 01, 2013 through Dec. 31, 201

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Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Small Cap (cont)								
1,022.000	MAIDEN HLDGS LTD BERMUDA Ticker: MHLD	G5753U112 FIN	11,170.46 10.930	112.42	10,734 48 10.503	435.98	449.68	4.02
341.000	MASTEC INC Ticker: MTZ	576323109 IND	11,157 52 32.720	0.00	9,189.29 26.948	1,968.23	0 00	0.00
101.000	NEUSTAR INC CL A COM Ticker: NSR	64126X201 TEL	5,035.86 49.860	0 00	3,717.83 36.810	1,318.03	0.00	0.00
758.000	PENNEY J C CO INC Ticker: JCP	708160106 CND	6,935 70 9 150	0 00	5,153.80 6.799	1,781.90	606.40	8.74
260.000	PRESTIGE BRANDS HLDGS INC Ticker: PBH	74112D101 CND	9,308.00 35.800	0.00	8,525.31 32.790	782.69	0.00	0 00
117.000	TAL INTERNATIONAL GROUP INC Ticker: TAL	874083108 IND	6,709 95 57 350	0.00	4,973.55 42.509	1,736 40	327.60	4.88
141 000	TEXTAINER GROUP HLDGS LTD BERMUDA Ticker: TGH	G8766E109 MAT	5,671.02 40.220	0.00	4,730 46 33.549	940.56	265.08	4.67
165.000	THOR INDS INC Ticker: THO	885160101 CND	9,112.95 55.230	37.95	7,310 68 44 307	1,802.27	151.80	1.66
307 000	VIRTUSA CORP Ticker VRTU	92827P102 IFT	11,693.63 38.090	0.00	5,237 87 17.061	6,455.76	0.00	0.00
	Total U.S. Small Cap		**$123,506.29**	**$150.37**	**$100,189.48**	**$23,316.81**	**$2,228.56**	**1.**
International Developed								
1,516 000	ABERDEEN ASSET MANAGEMENT PLC ISIN GB0000031285 UNITED KINGDOM Ticker: ADN LN	0003128#8 FIN	$12,541.81 8 273	$278.90	$9,160.07 6.042	$3,381.74	$0.00	0 00%
385.000	ACTELION LTD ISIN CH0010532478 SWITZERLAND Ticker ATLN VX	B1YD5Q2#4 HEA	32,619 04 84.725	0.00	17,268.36 44.853	15,350.68	0.00	0.00

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Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
10,416.000	AFREN PLC ISIN GB00B0672758 UNITED KINGDOM Ticker: AFR LN	B067275#2 ENR	29,172.20 2.801	0.00	22,658.06 2.175	6,514.14	0.00	0.00
17,750 000	AIR NEW ZEALAND LTD ISIN NZAIRE0001S2 NEW ZEALAND Ticker: AIR NZ	6426484#3 IND	23,958.95 1.350	0.00	24,160 93 1.361	-201.98	0.00	0.00
668.000	ALIMENTATION COUCHE TARD INC ISIN CA01626P4033 CANADA Ticker: ATD/B CN	2011646#2 CND	50,221.04 75.181	0.00	25,714.19 38.494	24,506.85	0.00	0.00
953.000	ARM HOLDINGS PLC ISIN GB0000595859 UNITED KINGDON Ticker: ARM LN	0059585#6 FIN	17,330.89 18.186	0.00	13,184.38 13.835	4,146.51	0.00	0.00
1,064.000	ASHTEAD GROUP PLC ISIN GB0000536739 UNITED KINGDON Ticker: AHT LN	0053673#2 FIN	13,384.28 12.579	0.00	9,590.48 9.014	3,793.80	0.00	0.00
1,082.000	AZIMUT HOLDING SPA ISIN IT0003261697 ITALY Ticker: AZM IM	B019M65#3 FIN	29,565.36 27.325	0.00	11,509.64 10.637	18,055.72	0.00	0.00
984.000	BANCA GENERALI S P A ISIN IT0001031084 ITALY Ticker: BGN IM	B1HKSV6#7 FIN	30,521.36 31.018	0.00	11,961.47 12.156	18,559.89	0.00	0.00
807.000	BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR SPAIN Ticker: BBVA	05946K101 FIN	9,998.73 12.390	0.00	8,165.87 10.119	1,832.86	353 47	3.5
1,716.000	BANCO POPULAR ESPANOL SA ISIN ES0113790226 SPAIN Ticker: POP SM	BBHXPN6#8 FIN	10,368.59 6.042	0.00	9,115.28 5.312	1,253.31	0.00	0.00
318.000	BANK OF NOVA SCOTIA ISIN CA0641491075 CANADA Ticker: BNS CN	2076281#5 FIN	19,882.09 62.522	0.00	18,711.36 58.841	1,170.73	0.00	0.00

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Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
370.000	BNP PARIBAS SA ISIN FR0000131104 FRANCE Ticker: BNP FP	7309681#1 FIN	28,882.51 78.061	0.00	24,732.02 66.843	4,150.49	0.00	0.00
248.000	BOUYGUES EUR1 ISIN FR0000120503 FRANCE Ticker: EN FP	4002121#3 IND	9,370 28 37.783	0.00	8,902.64 35.898	467.64	0.00	0.00
630.000	BP P L C SPONSORED ADR UNITED KINGDOM Ticker: BP	055622104 ENR	30,624.30 48.610	0.00	26,601.44 42.225	4,022.86	1,436.40	4.69
1,661.000	CAPCOM CO LTD ISIN JP3218900003 JAPAN Ticker 9697 JP	6173694#7 IFT	30,000 53 18.062	0 00	25,640.14 15.437	4,360.39	0.00	0.00
134.000	CARLSBERG COM SER B ISIN DK0010181759 DENMARK Ticker: CARLB DC	4169219#3 OEQ	14,850.11 110.822	0 00	13,401.47 100.011	1,448.64	0.00	0.00
589.000	CENTURY TOKYO LEASING CORP ISIN JP3424950008 JAPAN Ticker: 8439 JP	6679794@4 FIN	19,562.86 33.214	0.00	14,951 44 25.384	4,611.42	0.00	0 00
235.000	CHICAGO BRDG & IRON CO N V N Y REGISTRY SH COM NETHERLANDS Ticker: CBI	167250109 IND	19,537.90 83.140	0 00	8,645.49 36.789	10,892 41	47.00	0.24
222.000	CIE FINANCIERE RICHEMONT SA ISIN CH0210483332 SWITZERLAND Ticker: CFR VX	BCRWZ18#2 CND	22,166.30 99.848	0 00	20,148.42 90.759	2,017.88	0 00	0.00
533.000	COGECO CABLE INC ISIN CA19238V1058 CANADA Ticker: CCA CN	2205762#8 TEL	24,069.00 45 158	0.00	23,642.15 44 357	426.85	0.00	0.00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 201

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
165.000	CTRIP COM INTL LTD AMERICAN DEP SHS COM CAYMAN ISLANDS Ticker: CTRP	22943F100 CND	8,187 30 49 620	0.00	8,214.34 49.784	-27.04	36.96	0.45
491.000	CTS EVENTIM AG ISIN DE0005470306 GERMANY Ticker: EVD GR	5881857#9 CND	24,904.66 50.722	0.00	20,785.68 42.333	4,118.98	0.00	0.00
172.000	DEUTSCHE BANK AG NPV(REGD) ISIN DE0005140008 GERMANY Ticker: DBK GR	5750355#3 FIN	8,218.23 47.780	0.00	8,682.99 50.483	-464.76	0.00	0.00
535.000	DON QUIJOTE HOLDINGS CO LTD ISIN JP3639650005 JAPAN Ticker: 7532 JP	6269861@2 CND	32,619.76 60.972	0.00	22,220.88 41.534	10,398.88	0.00	0.00
2,034.000	DS SMITH PLC ISIN GB0008220112 UNITED KINGDOM Ticker: SMDS LN	0822011#1 MAT	11,181.06 5.497	0.00	10,214.97 5.022	966.09	0.00	0.00
307.000	DUERR AG ISIN DE0005565204 GERMANY Ticker: DUE GR	5119901#0 IND	27,416.60 89.305	0.00	20,398.26 66.444	7,018.34	0.00	0.00
213.000	EATON CORP PLC IRELAND Ticker: ETN	G29183103 IND	16,213.56 76.120	0.00	14,712.57 69.073	1,500.99	357.84	2.20
776.000	ELECTRICITE DE FRANCE EUR0.5 ISIN FR0010242511 FRANCE Ticker: EDF FP	B0NJJ17#0 UTL	27,464.68 35.393	0.00	15,021.71 19.358	12,442.97	0.00	0.00
561.000	ENDESA SA EUR1.2 ISIN ES0130670112 SPAIN Ticker: ELE SM	5271782#3 UTL	18,011.59 32.106	0.00	11,154.65 19.884	6,856.94	0.00	0.00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
8,185.000	ENERGIA DE PORTUGAL SA EUR 1.0 ISIN PTEDP0AM0009 PORTUGAL Ticker. EDP PL	4103596#5 OEQ	30,113 60 3.679	0.00	21,158 87 2.585	8,954.73	0.00	0.00
349.000	GDF SUEZ ISIN FR0010208488 FRANCE Ticker GSZ FP	B0C2CQ3#5 UTL	8,221 06 23.556	0.00	8,429.49 24.153	-208.43	0.00	0.00
535.000	GEA GROUP AG ISIN DE0006602006 GERMANY Ticker: G1A GR	4557104#7 IND	25,507.22 47.677	0.00	20,666.86 38.630	4,840.36	0.00	0.00
191.000	GEMALTO NV ISIN NL0000400653 NETHERLANDS Ticker: GTO NA	B9MS8P5#3 IFT	21,057.70 110.250	0.00	15,235.77 79.768	5,821.93	0.00	0.00
1,598.000	HAVAS ADVERTISING EUR0.4 ISIN FR0000121881 FRANCE Ticker: HAV FP	5980958#7 CND	13,176.53 8.246	0.00	13,466.27 8.427	-289.74	0.00	0.00
377 000	HENKEL AG & CO KGAA ISIN DE0006048408 GERMANY Ticker: HEN GR	5002465#6 MAT	39,293.99 104.228	0.00	32,666.02 86.647	6,627.97	0.00	0.00
2,530.000	HSBC HLDGS ORD USD0 50(UK REG) ISIN GB0005405286 UNITED KINGDOM Ticker: HSBA LN	0540528#5 FIN	27,752.40 10.969	465.46	26,376 43 10.425	1,375.97	0.00	0 00
27,142.000	HUADIAN FUXIN ENERGY CORP ISIN CNE100001F60 HONG KONG Ticker: 816 HK	B8L1BL5#0 UTL	10,746.33 0.396	0.00	9,207.97 0.339	1,538.36	0.00	0 00
1,232.000	HUNTING PLC ISIN GB0004478896 UNITED KINGDOM Ticker: HTG LN	0447889#6 ENR	15,905 67 12.910	0.00	16,993.08 13.793	-1,087.41	0.00	0.00
295.000	ICON PLC ISIN IE0005711209 IRELAND Ticker: ICLR	G4705A100 HEA	11,922.72 40.416	0.00	9,603.69 32.555	2,319.03	0.00	0.00

Portfolio Detail

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

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Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
1,906.000	INTERMEDIATE CAP GROUP PLC ISIN GB0004564430 UNITED KINGDOM Ticker: ICP LN	0456443#6 FIN	13,255.43 6.955	231.43	13,726.36 7.202	-470.93	0.00	0.00
239.000	JAZZ PHARMACEUTICALS PLC IRELAND Ticker: JAZZ	G50871105 HEA	30,247.84 126.560	0.00	13,523.79 56.585	16,724.05	0.00	0.00
818.000	KAKAKU COM INC ISIN JP3206000006 JAPAN Ticker: 2371 JP	6689533#7 IFT	14,461.32 17.679	0.00	8,005.92 9.787	6,455.40	0.00	0.00
586.000	KDDI CORP FIRST SECTION COM ISIN JP3496400007 JAPAN Ticker: 9433 JP	6248990#8 TEL	36,290.21 61.929	0.00	23,081.75 39.389	13,208.46	0.00	0.00
798.000	KONINKLIJKE PHILIPS NV ISIN NL0000009538 NETHERLANDS Ticker: PHIA NA	5986622#0 CND	29,298.94 36.715	0.00	25,788.65 32.317	3,510.29	0.00	0.00
1,559.000	LEGAL & GENERAL GROUP PLC ISIN GB0005603997 UNITED KINGDOM Ticker: LGEN LN	0560399#9 FIN	5,747.72 3.687	0.00	4,453.81 2.857	1,293.91	0.00	0.00
135.000	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS Ticker: LYB	N53745100 MAT	10,837.80 80.280	0.00	9,751.72 72.235	1,086.08	324.00	2.99
3,571.000	MAPFRE SA ISIN ES0124244E34 SPAIN Ticker: MAP SM	B1G40S0#7 FIN	15,317.98 4.290	0.00	13,657.58 3.825	1,660.40	0.00	0.00
5,970.000	MARINE HARVEST ISIN NO0003054108 NORWAY Ticker: MHG NO	B02L486@2 IND	7,267.10 1.217	0.00	5,919.49 0.992	1,347.61	0.00	0.00
1,682.000	MEDIOLANUM SPA ISIN IT0001279501 ITALY Ticker: MED IM	5535198#9 FIN	14,601.58 8.681	0.00	14,689.77 8.734	-88.19	0.00	0.00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
634 000	MITSUBISHI ESTATE JPY50 ISIN JP3899600005 JAPAN Ticker: 8802 JP	6596729#8 FIN	19,085.23 30.103	0.00	17,846.09 28.148	1,239.14	0 00	0 00
734.000	OPERA SOFTWARE ASA ISIN NO0010040611 NORWAY Ticker: OPERA NO	7057720#9 IFT	10,035.73 13.673	0.00	5,987.59 8.157	4,048.14	0.00	0.00
186.000	ORIENTAL LAND CO ISIN JP3198900007 JAPAN	6648891#9 CND	26,845.72 144.332	106.13	28,668.70 154.133	-1,822.98	0.00	0.00
529.000	ORIX CORP JPY50 ISIN JP3200450009 JAPAN Ticker: 8591 JP	6661144#7 FIN	9,352.12 17.679	0.00	8,484.61 16.039	867.51	0.00	0.00
1,796.000	RAKUTEN INC TOKYO ISIN JP3967200001 JAPAN Ticker 4755 JP	6229597#5 IFT	26,886 26 14.970	0 00	20,071 97 11.176	6,814 29	0.00	0.00
449 000	RECKITT BENCKISER GROUP PLC ORD COM ISIN GB00B24CGK77 UNITED KINGDOM Ticker RB/ LN	B24CGK7#3 CNS	35,635.98 79 367	0.00	26,349.12 58 684	9,286 86	0.00	0 00
105.000	RENAULT SA FRF25 ISIN FR0000131906 FRANCE Ticker RNO FP	4712798#9 CND	8,456.82 80.541	0.00	8,252.89 78.599	203.93	0.00	0.00
312.000	RIO TINTO ORD GBP0.10(REGD) ISIN GB0007188757 UNITED KINGDOM Ticker: RIO LN	0718875#6 MAT	17,615.99 56 462	0.00	15,210.99 48.753	2,405.00	0.00	0.00
102.000	ROCHE HLDGS AG-GENUSSCHEIN ISIN CH0012032048 SWITZERLAND Ticker. ROG VX	7110388#9 HEA	28,580.87 280 205	0.00	26,009.27 254.993	2,571.60	0.00	0.00

Trade Date

Portfolio Detail

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

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Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
357.000	SAFRAN SA ISIN FR0000073272 FRANCE Ticker: SAF FP	B058TZ6#9 IND	24,847.28 69.600	0.00	20,369.30 57.057	4,477.98	0.00	0.00
2,438 000	SANDS CHINA LTD ISIN KYG7800X1079 CAYMAN ISLAND Ticker: 1928 HK	B5B23W2#3 CND	19,919.05 8.170	0.00	12,202.47 5.005	7,716.58	0.00	0.00
178.000	SANOFI SPONSORED ADR FRANCE Ticker: SNY	80105N105 HEA	9,546.14 53.630	0.00	9,459.10 53.141	87.04	223.39	2.34
429.000	SCOR SE ISIN FR0010411983 FRANCE Ticker: SCR FP	B1LB9P6#6 FIN	15,703 64 36.605	0.00	13,398.68 31.232	2,304.96	0.00	0.00
774.000	SENSATA TECH HLDG NV NETHERLANDS Ticker: ST	N7902X106 IFT	30,007.98 38.770	0.00	28,682.56 37.058	1,325.42	0.00	0.00
295.000	SHIP HEALTHCARE HOLDINGS INC ISIN JP3274150006 JAPAN Ticker: 3360 JP	B05MTR0#2 HEA	11,465.44 38.866	0.00	5,654.56 19.168	5,810.88	0.00	0.00
208.000	SIEMENS AG NPV(REGD) ISIN DE0007236101 GERMANY Ticker: SIE GR	5727973#3 IND	28,457.85 136.817	0.00	17,958.95 86.341	10,498.90	0.00	0.00
3,062.000	SJM HOLDINGS LIMITED ISIN HK0880043028 HONG KONG Ticker: 880 HK	B2NR3Y6#4 CND	10,267.53 3.353	0.00	7,992.71 2.610	2,274.82	0.00	0.00
588 000	SOFTBANK CORP ISIN JP3436100006 JAPAN Ticker: 9984 JP	6770620#9 TEL	51,778.89 88.059	0.00	20,509.05 34.879	31,269.84	0.00	0.00
1,539.000	SUMITOMO CORP JPY50 ISIN JP3404600003 JAPAN Ticker: 8053 JP	6858946#9 IND	19,459 38 12.644	0.00	19,592.91 12.731	-133.53	0.00	0 00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 201

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
25,087.000	SWIBER HOLDINGS LTD ISIN SG1U13932587 SINGAPORE Ticker: SWIB SP	B1GGM68#6 ENR	13,213.07 0.527	0.00	13,322.32 0.531	-109.25	0.00	0.00
293.000	SWISS RE LTD ISIN CH0126881561 SWITZERLAND Ticker: SREN VX	B545MG5#5 FIN	27,031.71 92.258	0.00	22,615.51 77.186	4,416.20	0.00	0.00
588.000	TELENOR ASA ISIN NO0010063308 NORWAY Ticker: TEL NO	4732495#3 TEL	14,014.65 23.834	0.00	13,086.75 22.256	927.90	0.00	0.00
420.000	TENCENT HOLDINGS LIMITED ISIN KYG875721485 CAYMAN ISLANDS Ticker: 700 HK	B01CT30#1 IFT	26,791.16 63.788	0.00	13,471.53 32.075	13,319.63	0.00	0.00
859.000	TOTAL SA ISIN FR0000120271 FRANCE Ticker: FP FP	B15C557#9 ENR	52,708.32 61.360	0.00	40,772.28 47.465	11,936.04	0.00	0.00
245.000	TOYOTA MOTOR CORP JPY50 ISIN JP3633400001 JAPAN Ticker: 7203 JP	6900643#5 CND	15,055.27 61.450	0.00	13,440.96 54.861	1,614.31	0.00	0.00
648.000	UNITED INTERNET AG REG SHARE ISIN DE0005089031 GERMANY Ticker: UTDI GR	4354134#3 IFT	27,608.81 42.606	0.00	12,628.46 19.488	14,980.35	0.00	0.00
0.000	VALOR CO LTD ISIN JP3778400006 JAPAN Ticker: 9956 JP	6926553#8 CNS	0.00 13.314	334.66	0.00	0.00	0.00	0.0
308.000	VINCI SA ISIN FR0000125486 FRANCE Ticker: DG FP	B1XH026#0 IND	20,252.77 65.756	0.00	16,375.30 53.167	3,877.47	0.00	0.00
533.000	WINCOR NIXDORF AG NPV ISIN DE000A0CAYB2 GERMANY Ticker: WIN GR	B012VF6#7 IFT	36,994.10 69.407	0.00	35,441.82 66.495	1,552.28	0.00	0.00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 201

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
2,147.000	WYNN MACAU LTD ISIN KYG981491007 CAYMAN ISLANDS Ticker: 1128 HK	B4JSTL6#3 CND	9,732.97 4.533	0.00	8,808.33 4.103	924.64	0.00	0.00
5,177.000	XINYI GLASS HLDGS LTD ISIN KYG9828G1082 CAYMON ISLAND Ticker: 868 HK	B05NXN7#7 CND	4,566.89 0.882	0.00	3,904 43 0.754	662.46	0.00	0.00
5,177.000	XINYI SOLAR HOLDINGS LTD ISIN KYG9829N1025 CAYMAN ISLANDS Ticker: 968 HK	BGQYNN1#2 ENR	1,068.27 0.206	0.00	724.78 0.140	343.49	0.00	0.00
4,700.000	YAHOO JAPAN CORP ISIN JP3933800009 JAPAN Ticker: 4689 JP	6084848#1 IFT	26,159.54 5.566	0.00	16,555.99 3.523	9,603.55	0.00	0.00
	Total International Developed		**$1,713,014.14**	**$1,416.58**	**$1,309,524.62**	**$403,489.52**	**$2,779.06**	**0.16%**
Emerging Markets								
683.000	AMERICA MOVIL S A B DE C V SPONSORED ADR REPSTG SER L SHS MEXICO Ticker: AMX	02364W105 TEL	$15,961.71 23.370	$0.00	$13,587.27 19.894	$2,374.44	$235.64	1.47%
55,987.000	BANK OF CHINA LTD - H ISIN CNE1000001Z5 CHINA Ticker: 3988 HK	B154564#8 FIN	25,777 53 0.460	0.00	23,168.60 0.414	2,608.93	0.00	0.00
16,438.000	BANK OF COMMUNICATIONS CO LTD ISIN CNE100000205 CHINA Ticker: 3328 HK	B0B8Z29#5 FIN	11,596.35 0.705	0.00	12,546.78 0.763	-950.43	0.00	0.0
286.000	CHECK POINT SOFTWARE TECH LTD ORD ISRAEL Ticker: CHKP	M22465104 IFT	18,446.71 64.499	0.00	14,778.71 51.674	3,668.00	0.00	0.00
10,154.000	CHINA COMMUNICATIONS CONST CO LTD ISIN CNE1000002F5 HONG KONG Ticker: 1800 HK	B1JKTQ6#5 IND	8,184.73 0.806	0.00	9,546.48 0.940	-1,361.75	0.00	0.00

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Emerging Markets (cont)								
11,360.000	CHINA COMMUNICATIONS SVCS CORP LTD CL H COM ISIN CNE1000002G3 CHINA Ticker: 552 HK	B1HVJ16#9 TEL	7,032.41 0.619	0.00	7,538.24 0.664	-505.83	0.00	0.00
10,257.000	CHINA SOUTH LOCOMOTIVE & ROLLING STOCK CORP LTD H COM ISIN CNE100000BG0 CHINA Ticker. 1766 HK	B2R2ZC9#5 IND	8,413.20 0.820	0.00	6,997.18 0.682	1,416.02	0.00	0.00
87.000	ELBIT SYS LTD ORD ISRAEL Ticker: ESLT	M3760D101 IND	5,281.77 60.710	0.00	4,124.75 47.411	1,157.02	104.40	1.97
5,256.000	HATTONG SECURITIES CO LTD ISIN CNE1000019K9 CHINA Ticker: 6837 HK	B71SXC4#2 FIN	9,151.17 1.741	0.00	7,731 59 1.471	1,419.58	0.00	0.00
28,701.000	INDUSTRIAL & COMMERCIAL BK OF CHINA COM ISIN CNE1000003G1 CHINA Ticker: 1398HK	B1G1QD8#0 FIN	19,396 14 0.676	0 00	20,150 97 0.702	-754.83	0.00	0.00
2,089.000	KASIKORNBANK PCL ISIN TH0016010009 THAILAND Ticker: KBANK TB	6888783#2 FIN	9,961 29 4.768	0.00	11,979 37 5.734	-2,018.08	0.00	0.00
14,416.000	KRUNG THAI BK PUB CO LTD ISIN TH0150010Z11 THAILAND Ticker: KTB/F TB	6492838#8 FIN	7,063.12 0 490	0.00	9,205.38 0 639	-2,142.26	0.00	0.0[illegible]
4,404.000	PING AN INSURANCE GROUP CO H ISIN CNE1000003X6 CHINA Ticker: 2318 HK	B01FLR7#2 FIN	39,446.41 8.957	0.00	33,320.91 7.566	6,125.50	0.00	0.00
8,701.000	PT BK MANDIRI ISIN ID1000095003 INDONESIA Ticker: BMRI IJ	6651048#5 FIN	5,612.32 0.645	0.00	6,743.90 0 775	-1,131.58	0.00	0.00

Portfolio Detail

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2271963 IM GEORGE&ESTELLE SANDS FDN-TAP

Dec. 01, 2013 through Dec. 31, 201

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Emerging Markets (cont)								
3,785.000	SIAM COMMERCIAL BANK PUB CO LTD ISIN TH0015010018 THAILAND Ticker: SCB/F TB	6889935#7 FIN	16,125 95 4 260	0.00	21,393.74 5.652	-5,267 79	0.00	0.00
599.000	STANDARD BK GROUP LTD ISIN ZAE000109815 SOUTH AFRICA Ticker: SBK SJ	B030GJ7#7 FIN	7,401.60 12.357	0.00	7,551.97 12.608	-150.37	0.00	0.00
713.000	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR TAIWAN Ticker: TSM	874039100 IFT	12,434.72 17.440	0.00	11,431.19 16.033	1,003.53	285.91	2.29
92.000	TELEFONICA BRASIL SA SPONSORED ADR BRAZIL Ticker: VIV	87936R106 TEL	1,768.24 19.220	0.00	2,425.25 26.361	-657.01	149.68	8.46
10,516.000	UNIVERSAL ROBINA CORP ISIN PHY9297P1004 PHILIPPINES Ticker: URC PM	6919519#6 CNS	26,797.92 2.548	0.00	15,180 55 1.444	11,617.37	0.00	0.00
476.000	YANDEX NV ISIN NL0009805522 NETHERLANDS Ticker: YNDX	N97284108 IFT	20,539.40 43.150	0.00	10,884.72 22.867	9,654.68	0.00	0.00
	Total Emerging Markets		**$276,392.69**	**$0.00**	**$250,287.55**	**$26,105.14**	**$775.63**	**0.28%**
Total Equities		Ⓐ	**$4,594,362.34**	**$3,703.04**	Ⓑ **$3,616,449.14**	**$977,913.20**	**$45,926.46**	**1.0**
Total Portfolio			**$4,714,074.00**	**$3,709.28**	**$3,736,160.80**	**$977,913.20**	**$45,998.30**	**0.97%**
Accrued Income			$3,709.28					
Total			**$4,717,783.28**					

(1) Market Value in the Portfolio Detail section does not include Accrued Income

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2642858 IM G & E SANDS FDN - GOP

Equities

(2)Industry Sector Codes

CND = Consumer Discretionary
CNS = Consumer Staples
ENR = Energy
FIN = Financials
HEA = Health Care
IND = Industrials
IFT = Information Technology
MAT = Materials
OEQ = Other Equities
TEL = Telecommunication Services
UTL = Utilities

U.S. Large Cap

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
296.000	ALEXION PHARMACEUTICALS INC Ticker: ALXN	015351109 HEA	$39,333.66 132.884	$0.00	$34,651.29 117.065	$4,682.37	$0.00	0.00%
267.000	BERKSHIRE HATHAWAY INC DEL NEW CL B COM Ticker: BRK B	084670702 FIN	31,655.52 118.560	0.00	30,834.88 115.486	820.64	0.00	0.00
388.000	CME GROUP INC Ticker: CME	12572Q105 FIN	30,442 48 78.460	1,008.80	28,355.16 73.080	2,087.32	698.40	2.2[illegible]
321.000	EXXON MOBIL CORP Ticker: XOM	30231G102 ENR	32,485.20 101.200	0.00	28,983.62 90.292	3,501.58	808.92	2.49
20.000	GOOGLE INC CL A COM Ticker: GOOG	38259P508 IFT	22,414.20 1,120.710	0.00	18,868.97 943.449	3,545.23	0.00	0.00
602.000	LAS VEGAS SANDS CORP Ticker: LVS	517834107 CND	47,479.74 78.870	0.00	39,746.19 66.024	7,733.55	842.80	1.77

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 201

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2642858 IM G & E SANDS FDN - GOP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
45.000	MASTERCARD INC CL A COM Ticker: MA	57636Q104 IFT	37,595.70 835.460	0.00	31,594.80 702.107	6,000.90	198.00	0.52
351.000	MONSANTO CO NEW COM Ticker: MON	61166W101 MAT	40,909.05 116.550	0.00	36,869.17 105.040	4,039.88	603.72	1.47
129.000	REGENERON PHARMACEUTICALS Ticker: REGN	75886F107 HEA	35,505.96 275.240	0.00	37,931.04 294.039	-2,425.08	0.00	0.00
303.000	ROCKWELL AUTOMATION INC Ticker: ROK	773903109 IND	35,802.48 118.160	0.00	32,617.45 107.648	3,185.03	702.96	1.96
	Total U.S. Large Cap		**$353,623.99**	**$1,008.80**	**$320,452.57**	**$33,171.42**	**$3,854.80**	**1.09%**
U.S. Mid Cap								
139.000	INTERNATIONAL FLAVORS&FRAGRANC Ticker: IFF	459506101 MAT	$11,951 22 85.980	$54.21	$11,538.70 83.012	$412.52	$216.84	1.81%
50.000	INTUITIVE SURGICAL INC Ticker: ISRG	46120E602 HEA	19,204.00 384.080	0.00	18,659.88 373.198	544.12	0.00	0.00
686.000	LEUCADIA NATL CORP Ticker: LUK	527288104 FIN	19,441.24 28.340	0.00	19,311.24 28.150	130.00	171.50	0.88
373.000	QUESTCOR PHARMACEUTICALS INC Ticker: QCOR	74835Y101 HEA	20,309.85 54.450	0.00	23,844.67 63.927	-3,534.82	447.60	2.20
263 000	RENAISSANCERE HOLDINGS LTD BERMUDA Ticker: RNR	G7496G103 FIN	25,600.42 97.340	0.00	23,780.98 90 422	1,819.44	294.56	1.
	Total U.S. Mid Cap		**$96,506.73**	**$54.21**	**$97,135.47**	**-$628.74**	**$1,130.50**	**1.17%**
U.S. Small Cap								
179.000	ENSTAR GROUP LTD BERMUDA Ticker: ESGR	G3075P101 FIN	$24,864 89 138.910	$0.00	$25,165.33 140.588	-$300.44	$0.00	0.00%

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2642858 IM G & E SANDS FDN - GOP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Small Cap (cont)								
1,316.000	SHIP FIN INTL LTD BERMUDA Ticker: SFL	G81075106 ENR	21,556.08 16.380	0.00	20,650.63 15.692	905.45	2,052.96	9.52
	Total U.S. Small Cap		**$46,420.97**	**$0.00**	**$45,815.96**	**$605.01**	**$2,052.96**	**4.42%**
International Developed								
339.000	ACTELION LTD ISIN CH0010532478 SWITZERLAND Ticker: ATLN VX	B1YD5Q2#4 HEA	$28,721.70 84.725	$0.00	$25,599.55 75.515	$3,122.15	$0.00	0.00%
186 000	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIUM Ticker BUD	03524A108 CNS	19,801.56 106.460	0.00	18,759 44 100.857	1,042.12	465.74	2.35
8,468.000	BOMBARDIER INC CL B CANADA Ticker: BDRBF	097751200 IND	36,835 80 4.350	190.57	39,481.34 4.662	-2,645.54	757.46	2.05
352.000	CIE FINANCIERE RICHEMONT SA ISIN CH0210483332 SWITZERLAND Ticker: CFR VX	BCRWZ18#2 CND	35,146.57 99.848	0.00	35,986 48 102.234	-839.91	0.00	0.00
206.000	DASSAULT SYSTEMES EUR1 ISIN FR0000130650 FRANCE Ticker: DSY FP	5330047#8 IFT	25,612 47 124.332	0.00	25,655.31 124.540	-42.84	0.00	0.00
111.000	FANUC CORP JPY50 ISIN JP3802400006 JAPAN Ticker: 6954 JP	6356934#8 IND	20,452.26 184.255	0.00	18,777 73 169.169	1,674.53	0.00	0 00
111 000	FAST RETAILING CO LTD ISIN JP3802300008 JAPAN Ticker: 9983 JP	6332439#9 CND	46,110.55 415.410	0.00	39,753 80 358.142	6,356.75	0.00	0 00

Trade Date

Portfolio Detail

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2642858 IM G & E SANDS FDN - GOP

Dec. 01, 2013 through Dec. 31, 201

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
229.000	GEMALTO NV ISIN NL0000400653 NETHERLANDS Ticker: GTO NA	B9MS8P5#3 IFT	25,247.19 110.250	0.00	25,783.02 112.590	-535.83	0.00	0.00
9.000	GIVAUDAN AG CHF10 ISIN CH0010645932 SWITZERLAND Ticker: GIVN VX	5980613#4 MAT	12,892.56 1,432.507	0.00	12,923.23 1,435.914	-30.67	0.00	0.00
105.000	INDUSTRIA DE DISENO TEXTIL S A ISIN ES0148396015 SPAIN Ticker: ITX SM	7111314#6 CND	17,333.23 165.078	0.00	16,119.69 153.521	1,213.54	0.00	0 00
1,032.000	JAPAN EXCHANGED GROUP INC ISIN JP3183200009 JAPAN Ticker: 8697 JP	6743882@1 FIN	29,525.22 28.610	0.00	22,891.83 22.182	6,633.39	0.00	0.00
1,131.000	JARDINE STRATEGIC HLDGS LTD ISIN BMG507641022 BERMUDA Ticker: JS SP	6472960#0 IND	36,192.00 32.000	0.00	39,665.46 35.071	-3,473.46	0.00	0.00
91.000	KEYENCE CORP FIRST SECTION COM ISIN JP3236200006 JAPAN Ticker: 6861 JP	6490995#1 IFT	39,195.98 430.725	0 00	35,074.38 385.433	4,121.60	0.00	0.00
706.000	KUKA AG ISIN DE0006204407 GERMANY Ticker: KU2 GR	5529191#9 IND	33,124.94 46.919	0.00	31,494 69 44.610	1,630.25	0.00	0.00
310.000	NIDEC CORP ISIN JP3734800000 JAPAN Ticker: 6594 JP	6640682#9 IFT	30,379 14 97.997	0.00	26,199.64 84.515	4,179.50	0.00	0.00
22,242.000	NOBLE GROUP LTD ISIN BMG6542T1190 BERMUDA Ticker: NOBL SP	B01CLC3#6 IND	18,848.98 0.847	0.00	18,055 31 0.812	793.67	0.00	0 00
206.000	NOVO-NORDISK A S ADR DENMARK Ticker: NVO	670100205 HEA	38,060.56 184.760	0.00	34,537.46 167.658	3,523.10	466.38	1.22

Portfolio Detail

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2642858 IM G & E SANDS FDN - GOP

Dec. 01, 2013 through Dec 31, 201

Equities (cont)

International Developed (cont)

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
799.000	NOVOZYMES A/S SER B COM ISIN DK0060336014 DENMARK Ticker. NZYMB DC	B798FW0#8 MAT	33,780.51 42.278	0.00	30,413.73 38.065	3,366 78	0.00	0 00
639.000	ONEX CORP ISIN CA68272K1030 CANADA Ticker: OCX CN	2659518#3 FIN	34,474.63 53.951	0.00	33,145.06 51.870	1,329.57	86.64	0.25
3,135.000	RAKUTEN INC TOKYO ISIN JP3967200001 JAPAN Ticker: 4755 JP	6229597#5 IFT	46,931.20 14.970	0.00	42,719.54 13.627	4,211.66	0.00	0.00
124.000	RHJ INTL ISIN BE0003815322 BELGIUM Ticker RHJI BB	B06S4F0#1 FIN	630.49 5.085	0.00	637.91 5.144	-7.42	0.00	0.00
111.000	ROCHE HLDGS AG-GENUSSCHEIN ISIN CH0012032048 SWITZERLAND Ticker: ROG VX	7110388#9 HEA	31,102.72 280.205	0 00	29,455.41 265.364	1,647 31	0 00	0 00
352.000	ROLLS-ROYCE HLDGS PLC SPONSORED ADR UNITED KINGDOM Ticker: RYCE Y	775781206 IND	37,166 62 105.587	240.81	31,484.30 89.444	5,682.32	190.78	0.51
538.000	SALVATORE FERRAGAMO ITALIA SPA ISIN IT0004712375 ITALY Ticker: SFER IM	B5VZ053#9 CND	20,497.96 38.100	0.00	18,433.10 34.262	2,064.86	0.00	0.00
17,321.000	SHINSEI BK LTD ISIN JP3729000004 JAPAN Ticker: 8303 JP	6730936#2 FIN	42,608.10 2.460	0.00	39,767.26 2.296	2,840.84	0.00	0.0
413.000	SOFTBANK CORP ISIN JP3436100006 JAPAN Ticker: 9984 JP	6770620#9 TEL	36,368 51 88.059	0.00	29,448.28 71.303	6,920.23	0.00	0.00
22.000	SWATCH GROUP AG ISIN CH0012255151 SWITZERLAND Ticker: UHR VX	7184725#6 CND	14,582 56 662.844	0.00	14,085.82 640.265	496.74	0.00	0.00

Trade Date

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 20

Account: 51-01-100-2271914 GEORGE & ESTELLE SANDS FDN-COMB
Sub-Account: 51-01-100-2642858 IM G & E SANDS FDN - GOP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
303.000	VALEANT PHARMACEUTICALS INTL INC CDA COM CANADA Ticker: VRX	91911K102 HEA	35,572.20 117.400	0.00	32,174.30 106.186	3,397.90	115.14	0.32
	Total International Developed		**$827,196.21**	**$431.38**	**$768,523.07**	**$58,673.14**	**$2,082.14**	**0.25%**
Total Equities			Ⓐ **$1,323,747.90**	**$1,494.39**	Ⓑ **$1,231,927.07**	**$91,820.83**	**$9,120.40**	**0.68%**
Total Portfolio			**$1,341,820.55**	**$1,495.55**	**$1,249,999.72**	**$91,820.83**	**$9,131.25**	**0.68%**
Accrued Income			$1,495.55					
Total			**$1,343,316.10**					

(1) Market Value in the Portfolio Detail section does not include Accrued Income.

Totals Ⓐ 6,425,935.24 Ⓑ 5,373,376.21

Cash & Cash Alternatives

Mutual Funds

Open-End Funds

Description (Symbol)	Quantity	Amount Invested	Total Cost Basis	Price	**Value**	Est Income Yield	Est. Annual Income	Investment Gain or (Loss)	Cost Basis Gain or (Loss)
AMCAP FUND CLASS F1 - AMERICAN FUND N/L (AMPFX)	13,713 485	$193,000 00	$225,141.58	$27 160	**$372,458.25**	0.30%	$1,120.39	$179,458.25 92 98%	$147,316 67 65.43%

Mutual Funds (continued)

Open-End Funds (continued)

Description (Symbol)	Quantity	Amount Invested	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Investment Gain or (Loss)	Cost Bas[illegible] Gain o[illegible] (Loss)
CAPITAL INCOME BUILDER FUND CLASS F1 - AMERICAN FUNDS N/L (CIBFX)	5,275 065	$209,000 00	$247,469 57	$58 550	**$308,855.06**	3 30%	$10,186 15	$99,855 06 47 78%	$61,385 49 24 81%
CAPITAL WORLD BOND FUND CLASS F1 - AMERICAN FUNDS N/L (WBFFX)	7,410 020	$135,000 00	$148,942 71	$19 990	**$148,126.30**	2 02%	$2,993 65	$13,126 30 9 72%	$(816 41) (0 55)%
CAPITAL WORLD GROWTH & INCOME FUND CLASS F1 - AMERICAN N/L (CWGFX)	7,235 860	$211,000 00	$234,829 99	$45 240	**$327,350.31**	1.93%	$6,309 67	$116,350 31 55 14%	$92,520 32 39 40%
EUROPACIFIC GROWTH FUND CLASS F1 - AMERICAN FUNDS N/L (AEGFX)	6,530 116	$223,000 00	$237,125 31	$48 800	**$318,669.66**	0.90%	$2,853 66	$95,669 66 42 90%	$81,544 35 34 39%
FUNDAMENTAL INVESTORS FUND CLASS F1 - AMERICAN FUNDS N/L (AFIFX)	6,911 031	$197,000 00	$218,218 36	$51 950	**$359,028.06**	0 90%	$3,227 45	$162,028 06 82 25%	$140,809 70 64 53%
GROWTH FUND OF AMERICA CLASS F1 - AMERICAN FUNDS N/L (GFAFX)	8,602 690	$203,000 00	$232,599 24	$42 740	**$367,678.97**	0.27%	$1,006 51	$164,678 97 81 12%	$135,079.73 58 07%
INCOME FUND OF AMERICA CLASS F1 - AMERICAN FUNDS N/L (IFAFX)	15,367 355	$191,000 00	$229,988 55	$20 600	**$316,567.51**	3 14%	$9,927 31	$125,567 51 65 74%	$86,578 96 37 64%
INTERMEDIATE BOND FUND OF AMERICA CLASS F1-AMERICAN N/L (IBFFX)	29,528 145	$374,000 00	$393,918.73	$13 420	**$396,267.71**	1.30%	$5,167.43	$22,267 71 5 95%	$2,348 98 0 60%
INVESTMENT COMPANY OF AMERICA CLASS F1 - AMERICAN N/L (AICFX)	9,711 736	$202,000 00	$248,494 71	$36 630	**$355,740.89**	1 44%	$5,108 37	$153,740.89 76 11%	$107,246 1[illegible] 43 16%
LONGLEAF PARTNERS FUND N/L (LLPFX)	6,672 569 c	$200,000 00	$206,894 57	$33 750	**$225,199.20**	0 80%	$1,801 59	$25,199 20 12 60%	$18,304 63 8 85%
LONGLEAF SMALL-CAP FUND N/L (LLSCX)	4,079 801 c	$125,000 00	$142,435 32	$32 460	**$132,430.34**	0 09%	$122 39	$7,430 34 5 94%	$(10,004 98) (7 02)%

Mutual Funds (continued)

Open-End Funds (continued)

Description (Symbol)	Quantity	Amount Invested	Total Cost Basis	Price	Value	Est Income Yield	Est Annual Income	Investment Gain or (Loss)	Cost Basis Gain or (Loss)
LONGLEAF PARTNERS INTERNATIONAL FUND N/L (LLINX)	12,448 054 c	$200,000 00	$200,620 67	$17 940	**$223,318.09**	1.34%	$2,987 53	$23,318 09 11 66%	$22,697 42 11 31%
NEW WORLD FUND CLASS F1 - AMERICAN FUND N/L (NWFFX)	5,010 011	$211,000 00	$227,450 12	$58 300	**$292,083.64**	1 03%	$3,011 02	$81,083 64 38 43%	$64,633.52 28 42%
SMALLCAP WORLD FUND CLASS F1 - AMERICAN FUNDS N/L (SCWFX)	6,977 053	$194,000 00	$217,821 81	$48 730	**$339,991.79**			$145,991.79 75 25%	$122,169.98 56 09%
Open-End Funds Total		$3,068,000 00	$3,411,951 24		**$4,483,765.78**	1 25%	$55,823 12	$1,415,765 78	$1,071,814 54
Mutual Funds Total			**$3,411,951.24**		**$4,483,765.78**	**1.25%**	**$55,823.12**		**$1,071,814.54**

Fixed Income *

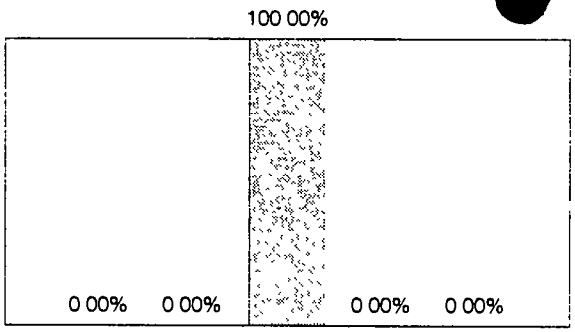
Credit Quality Analysis

	Lowest Available *		Current Period Value	Percentage Allocation
■	U S Treasury	$	0 00	0 00%
⟍	Agency/GSE Debt	$	0 00	0 00%
▨	ABS/MBS/CMOs	$	0 00	0 00%
▩	FDIC Insured CDs	$	0 00	0 00%
⬚	Refundeds	$	0 00	0 00%
□	AAA	$	0 00	0 00%
⟋	AA	$	28,298 50	18 27%
▥	A	$	0 00	0 00%
▤	BAA	$	33,552 00	21 66%
⟍	Below Investment Grade	$	93,027 00	60 06%
⁘	Not Rated	$	0 00	0 00%

* Based on Moody's, S&P and Fitch (municipals only) Long Term Rating

Maturity Analysis

	Maturity		Current Period Value	Percentage Allocation
■	0 to < 1 yr	$	0 00	0 00%
▩	1 to < 3 yrs	$	0 00	0 00%
⬚	3 to < 7 yrs	$	28,298.50	100 00%
□	7 to < 14 yrs	$	0 00	0 00%
⟋	14 to > yrs	$	0 00	0 00%



Corporate Bonds

Description (CUSIP)	Par Value	Est Annual Income	Date Acquired	Price	Value	Total Cost Basis/ Gain or (Loss)	Adjusted Cost/ Gain or (Loss)
GENERAL ELECTRIC COMPANY NTS ISIN US369604BC61 5.2500% DUE 12/06/2017 (369604BC6)	$25,000 00	$1,312 50	06/21/2013	$113 194	**$28,298.50**	$28,208 00 $90.50	$27,842 32 $456 18
Debt Classification: Senior Unsecured **Ratings Information: Moody's** Long Term Rating Aa3 **S&P** Long Term Rating AA+							
Corporate Bonds Total	$25,000 00	$1,312 50			**$28,298.50**	$28,208 00 $90 50	$27,842 32 $456 18

Fixed Income (continued) ◆

Preferred Securities

Description (CUSIP)	Quantity	Est Income Yield	Est Annual Income	Date Acquired	Price	**Value**	Total Cost Basis	Gain or (Loss)
BANK OF AMERICA CORPORATION PFD 1/1200SER3 NON-CUMULATIVE 6.375% Callable 01/31/2014 (060505617)	1,500 000	6 86%	$2,391 00		$23.250	**$34,875.00**	$29,889 00	$4,986 00
Debt Classification: Preferred Stock								
Ratings Information: Moody's Long Term Rating· Ba3 **S&P** Long Term Rating BB+, Long Term Watch· Not Meaningful								
LOT 1	750 000	6 86%	$1,195 50	01/29/2010	$23 250	$17,437 50	$14,900.25	$2,537 25
LOT 2	750 000	6 86%	$1,195 50	02/24/2010	$23 250	$17,437 50	$14,988 75	$2,448 75
DEUTSCHE BK CONTGNT CAP TR III TR PFD 7.60% CUMULATIVE Callable 02/20/2018 (25154A108)	1,200.000	7 32%	$2,280 00		$25 960	**$31,152.00**	$29,127 00	$2,025 00
Debt Classification: Preferred Stock								
Ratings Information: Moody's Long Term Rating Ba2 **S&P** Long Term Rating· BBB-, Long Term Watch Not Meaningful								
LOT 1	600 000	7 32%	$1,140 00	01/29/2010	$25.960	$15,576.00	$14,566 20	$1,009 80
LOT 2	600 000	7 32%	$1,140.00	02/24/2010	$25 960	$15,576 00	$14,560 80	$1,015 20
GOLDMAN SACHS GROUP, INCORPORATED. PFD 1/1000 B NON-CUMULATIVE 6.2% Callable 01/31/2014 (38144X500)	1,200 000	6 89%	$1,860.00		$22.500	**$27,000.00**	$29,990 40	$(2,990.40)
Debt Classification: Preferred Stock								
Ratings Information: Moody's Long Term Rating Ba2 **S&P** Long Term Rating: BB+								
LOT 1	600 000	6 89%	$930 00	01/29/2010	$22 500	$13,500 00	$14,943 60	$(1,443 60)
LOT 2	600 000	6 89%	$930 00	02/24/2010	$22 500	$13,500 00	$15,046 80	$(1,546.80)

Your Portfolio (continued)

George H & Estelle M Sands Account No. 49634717

Fixed Income (continued) ◆

Preferred Securities (continued)

Description (CUSIP)	Quantity	Est Income Yield	Est Annual Income	Date Acquired	Price	Value	Total Cost Basis	Gain (Loss)
WELLS FARGO & COMPANY DEP SHS SER J NON-CUMULATIVE 8% Callable 12/15/2017 (949746879)	1,200.000	7 15%	$2,400 00		$27 960	**$33,552.00**	$31,083 00	$2,469 00
Debt Classification: Preferred Stock								
Ratings Information: Moody's Long Term Rating· Baa3 **S&P** Long Term Rating BBB+, Long Term Watch Not Meaningful								
LOT 1	600 000	7 15%	$1,200 00	01/29/2010	$27 960	$16,776 00	$15,322 20	$1,453 80
LOT 2	600 000	7 15%	$1,200 00	02/24/2010	$27 960	$16,776 00	$15,760 80	$1,015 20
Preferred Securities Total		7 06%	$8,931 00			**$126,579.00**	$120,089 40	$6,489 60
Fixed Income Total		**6.61%**	**$10,243.50**			**$154,877.50**		

◆ Please see Fixed Income Investments on the Understanding Your Statement page

c Cost basis for these tax lots/securities will be supplied to the IRS on Form 1099-B

Total 4,638,643.28 3,560,248.64

Cash & Cash Alternatives

Raymond James Bank Deposit Program ⊕

Equities

Stocks

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
AT&T INCORPORATED (T)	498.000		$27.263	$13,576.79	$35.160	**$17,509.68**	5 23%	$916.32	28.97%	$3,932.89
LOT 1	102.000	03/09/2010	$25.720	$2,623 43	$35.160	$3,586.32	5.23%	$187.68	36.70%	$962 89
LOT 2	396.000	09/09/2011	$27.660	$10,953.36	$35.160	$13,923 36	5.23%	$728.64	27 11%	$2,970 00
ABBVIE INCORPORATED (ABBV)	386.000 c		$44.026	$16,994 01	$52.810	**$20,384.66**	3.03%	$617.60	19.95%	$3,390 65
LOT 1	216.000	08/12/2013	$45.032	$9,726.85	$52.810	$11,406.96	3.03%	$345.60	17.27%	$1,680.11
LOT 2	170.000	08/29/2013	$42 748	$7,267 16	$52.810	$8,977 70	3.03%	$272.00	23.54%	$1,710.54

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
ALTRIA GROUP INCORPORATED (MO)	298 000	09/09/2011	$26 459	$7,884.82	$38 390	**$11,440.22**	5 00%	$572 16	45 09%	$3,555 40
AMAZON COM INCORPORATED (AMZN)	81 000		$252 656	$20,465 15	$398 790	**$32,301.99**			57 84%	$11,836 84
LOT 1	40 000	09/09/2011	$214 250	$8,570 01	$398 790	$15,951 60			86 13%	$7,381 59
LOT 2	20 000 [c]	08/12/2013	$296 358	$5,927 15	$398 790	$7,975 80			34 56%	$2,048 65
LOT 3	21 000 [c]	08/29/2013	$284 190	$5,967 99	$398 790	$8,374 59			40.33%	$2,406 60
AMERICAN EXPRESS COMPANY (AXP)	140.000	09/09/2011	$48 351	$6,769 11	$90 730	**$12,702.20**	1.01%	$128.80	87 65%	$5,933 09
AMGEN INCORPORATED (AMGN)	221 000 [c]		$84 474	$18,668 70	$114 080	**$25,211.68**	2 14%	$539 24	35.05%	$6,542 98
LOT 1	126 000	07/31/2012	$83 097	$10,470 28	$114 080	$14,374 08	2 14%	$307 44	37 28%	$3,903 80
LOT 2	95 000	02/22/2013	$86 299	$8,198 42	$114 080	$10,837 60	2 14%	$231 80	32 19%	$2,639 18
APPLE INCORPORATED (AAPL)	79 000		$393 009	$31,047 69	$561.020	**$44,320.58**	2 17%	$963 80	42 75%	$13,272 89
LOT 1	9 000	02/25/2010	$197 910	$1,781 19	$561 020	$5,049 18	2 17%	$109 80	183 47%	$3,267 99
LOT 2	4 000	02/26/2010	$204 640	$818 56	$561 020	$2,244 08	2 17%	$48 80	174 15%	$1,425 52
LOT 3	3 000	03/09/2010	$224 950	$674 85	$561.020	$1,683 06	2 17%	$36 60	149 40%	$1,008 21
LOT 4	29 000	09/09/2011	$381 728	$11,070 11	$561 020	$16,269 58	2 17%	$353 80	46 97%	$5,199 47
LOT 5	34 000 [c]	10/02/2013	$491 264	$16,702 98	$561 020	$19,074 68	2 17%	$414 80	14 20%	$2,371.70
BERKSHIRE HATHAWAY INCORPORATED DEL CLASS B NEW (BRK.B)	431.000		$77 044	$33,206 05	$118 560	**$51,099.36**			53.89%	$17,893 31
LOT 1	55 000	02/25/2010	$78 840	$4,336 19	$118 560	$6,520 80			50 38%	$2,184 61
LOT 2	62 000	03/01/2010	$79 430	$4,924 66	$118 560	$7,350 72			49 26%	$2,426 06
LOT 3	55 000	03/09/2010	$82 550	$4,540 25	$118 560	$6,520 80			43 62%	$1,980 55

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
LOT 4	219 000	09/09/2011	$68 079	$14,909 35	$118 560	$25,964 64			74 15%	$11,055 29
LOT 5	40 000 c	08/29/2013	$112 390	$4,495 60	$118 560	$4,742 40			5 49%	$246 80
BOEING COMPANY (BA)	141 000 c	07/31/2012	$74 233	$10,466 79	$136 490	**$19,245.09**	2 14%	$411 72	83 87%	$8,778.30
BRISTOL MYERS SQUIBB COMPANY (BMY)	308 000		$28 498	$8,777 44	$53 150	**$16,370.20**	2 71%	$443 52	86 50%	$7,592 76
LOT 1	64 000	03/01/2011	$25 676	$1,643 25	$53 150	$3,401 60	2 71%	$92 16	107 00%	$1,758 35
LOT 2	244 000	09/09/2011	$29 238	$7,134 19	$53 150	$12,968 60	2 71%	$351 36	81 78%	$5,834 41
CHESAPEAKE ENERGY CORPORATION (CHK)	746.000 c		$19 584	$14,609 98	$27 140	**$20,246.44**	1 29%	$261 10	38 58%	$5,636 46
LOT 1	414 000	07/31/2012	$18 979	$7,857 10	$27 140	$11,235 96	1 29%	$144 90	43 00%	$3,378 86
LOT 2	332 000	02/22/2013	$20 340	$6,752 88	$27 140	$9,010 48	1 29%	$116 20	33 43%	$2,257 60
CHEVRON CORPORATION NEW (CVX)	177 000		$85.768	$15,180 91	$124 910	**$22,109.07**	3 20%	$708 00	45 64%	$6,928 16
LOT 1	20 000	02/25/2010	$71 210	$1,424 20	$124 910	$2,498 20	3 20%	$80 00	75 41%	$1,074 00
LOT 2	31 000	02/26/2010	$72 560	$2,249 36	$124 910	$3,872 21	3 20%	$124 00	72 15%	$1,622 85
LOT 3	29 000	03/09/2010	$74 670	$2,165 43	$124 910	$3,622 39	3.20%	$116 00	67 28%	$1,456 96
LOT 4	97 000	09/09/2011	$96 308	$9,341 92	$124 910	$12,116 27	3 20%	$388 00	29 70%	$2,774 35
COCA COLA COMPANY (KO)	388 000		$30.312	$11,760 98	$41.310	**$16,028.28**	2 71%	$434 56	36 28%	$4,267 30
LOT 1	66 000	02/25/2010	$26 405	$1,742 73	$41 310	$2,726 46	2 71%	$73 92	56 45%	$983 73
LOT 2	76 000	02/26/2010	$26 415	$2,007 53	$41 310	$3,139 56	2 71%	$85 12	56 39%	$1,132 03
LOT 3	70 000	03/09/2010	$27 080	$1,895 60	$41 310	$2,891 70	2 71%	$78 40	52 55%	$996 10
LOT 4	176 000	09/09/2011	$34 745	$6,115 12	$41 310	$7,270 56	2 71%	$197 12	18 89%	$1,155 44
COMCAST CORPORATION NEW CLASS A (CMCSA)	349 000		$24.499	$8,550.01	$51 965	**$18,135.79**	1 50%	$272 22	112 11%	$9,585 78

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain o (Loss)
LOT 1	296 000	09/09/2011	$21 270	$6,295 92	$51 965	$15,381 64	1 50%	$230 88	144 31%	$9,085 72
LOT 2	53.000 c	08/29/2013	$42 530	$2,254.09	$51.965	$2,754 15	1 50%	$41 34	22 18%	$500 06
CONOCOPHILLIPS (COP)	175.000		$43 226	$7,564 62	$70 650	**$12,363.75**	3 91%	$483 00	63.44%	$4,799 13
LOT 1	48 000	02/25/2010	$36.671	$1,760 20	$70 650	$3,391 20	3 91%	$132 48	92 66%	$1,631 00
LOT 2	25 000	02/26/2010	$37 197	$929 92	$70 650	$1,766.25	3 91%	$69 00	89 94%	$836 33
LOT 3	22 000	03/09/2010	$39 294	$864 47	$70 650	$1,554 30	3 91%	$60 72	79 80%	$689 83
LOT 4	80 000	09/09/2011	$50 125	$4,010 03	$70 650	$5,652 00	3.91%	$220 80	40 95%	$1,641 97
COSTCO WHOLESALE CORPORATION NEW (COST)	143 000		$71.747	$10,259 77	$119 020	**$17,019.86**	1 04%	$177 32	65 89%	$6,760 09
LOT 1	28 000	02/25/2010	$60 950	$1,706 60	$119 020	$3,332 56	1.04%	$34 72	95 27%	$1,625 96
LOT 2	15 000	02/26/2010	$61 170	$917.55	$119 020	$1,785 30	1 04%	$18 60	94 57%	$867 75
LOT 3	16 000	03/09/2010	$60 460	$967.36	$119 020	$1,904 32	1 04%	$19 84	96 86%	$936 96
LOT 4	84.000	09/09/2011	$79 384	$6,668.26	$119 020	$9,997 68	1 04%	$104 16	49.93%	$3,329 42
DIRECTV (DTV)	203.000		$46 514	$9,442.35	$69.060	**$14,019.18**			48 47%	$4,576.83
LOT 1	86 000	09/09/2011	$41 508	$3,569 70	$69 060	$5,939 16			66 38%	$2,369 46
LOT 2	71 000	02/17/2012	$44 818	$3,182 11	$69 060	$4,903 26			54 09%	$1,721 15
LOT 3	46 000 c	08/29/2013	$58 490	$2,690 54	$69 060	$3,176 76			18 07%	$486 22
DOW CHEMICAL COMPANY (DOW)	561.000		$29 479	$16,537.71	$44 400	**$24,908.40**	2 88%	$718 08	50 62%	$8,370 6
LOT 1	152 000	03/01/2011	$36 300	$5,517 60	$44 400	$6,748 80	2 88%	$194 56	22 31%	$1,231 20
LOT 2	275 000	09/09/2011	$25 986	$7,146 22	$44 400	$12,210.00	2 88%	$352 00	70 86%	$5,063 78
LOT 3	134 000 c	07/31/2012	$28 910	$3,873 89	$44 400	$5,949 60	2 88%	$171 52	53 58%	$2,075 71

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
GENERAL ELECTRIC COMPANY (GE)	1,378.000		$16.523	$22,768 59	$28.030	**$38,625.34**	3.14%	$1,212 64	69 64%	$15,856.75
LOT 1	322.000	02/25/2010	$15.770	$5,077 91	$28 030	$9,025.66	3 14%	$283 36	77 74%	$3,947.75
LOT 2	173 000	02/26/2010	$16.050	$2,776 63	$28 030	$4,849 19	3 14%	$152 24	74 64%	$2,072 56
LOT 3	168 000	03/09/2010	$16.589	$2,786.95	$28.030	$4,709.04	3 14%	$147 84	68 97%	$1,922 09
LOT 4	555 000	09/09/2011	$15 149	$8,407 42	$28 030	$15,556 65	3 14%	$488 40	85 03%	$7,149 23
LOT 5	160 000 c	08/29/2013	$23 248	$3,719 68	$28 030	$4,484 80	3 14%	$140 80	20 57%	$765 12
GILEAD SCIENCES INCORPORATED (GILD)	658.000 c		$35 277	$23,212 04	$75 100	**$49,415.80**			112.89%	$26,203 76
LOT 1	313 000	07/31/2012	$27 425	$8,584 04	$75 100	$23,506 30			173 84%	$14,922 26
LOT 2	345.000	02/22/2013	$42.400	$14,628.00	$75.100	$25,909 50			77.12%	$11,281.50
GOLDMAN SACHS GROUP INCORPORATED (GS)	78 000 c		$148 579	$11,589 16	$177 260	**$13,826.28**	1.24%	$171 60	19.30%	$2,237.12
LOT 1	36.000	05/02/2013	$142 301	$5,122 84	$177.260	$6,381 36	1.24%	$79 20	24 57%	$1,258 52
LOT 2	42 000	08/29/2013	$153 960	$6,466 32	$177 260	$7,444 92	1.24%	$92.40	15.13%	$978 60
GOOGLE INCORPORATED CLASS A (GOOG)	24 000		$629.053	$15,097 28	$1,120.710	**$26,897.04**			78 16%	$11,799.76
LOT 1	4.000	03/09/2010	$562 440	$2,249.76	$1,120 710	$4,482 84			99 26%	$2,233 08
LOT 2	4 000	09/23/2010	$515 360	$2,061 44	$1,120 710	$4,482 84			117 46%	$2,421 40
LOT 3	9 000	09/09/2011	$530 280	$4,772 52	$1,120 710	$10,086 39			111 34%	$5,313 87
LOT 4	7 000 c	08/29/2013	$859.080	$6,013 56	$1,120 710	$7,844 97			30 45%	$1,831 41
HSBC HLDGS PLC SPON ADR NEW (UNITED KINGDOM) (HSBC)	206.000 c		$54 714	$11,271 03	$55 130	**$11,356.78**	4 35%	$494 40	0 76%	$85 75
LOT 1	148 000	05/02/2013	$55 244	$8,176 15	$55 130	$8,159 24	4 35%	$355.20	(0 21)%	$(16 91)

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain o (Loss)
LOT 2	58 000	08/29/2013	$53.360	$3,094 88	$55.130	$3,197.54	4 35%	$139 20	3 32%	$102 66
HOME DEPOT INCORPORATED (HD)	609 000		$36.178	$22,032 68	$82.340	**$50,145.06**	1 89%	$950.04	127 59%	$28,112 38
LOT 1	67 000	06/02/2010	$33 719	$2,259 18	$82.340	$5,516 78	1 89%	$104 52	144 19%	$3,257 60
LOT 2	154 000	09/23/2010	$30 983	$4,771.34	$82 340	$12,680 36	1 89%	$240.24	165 76%	$7,909 02
LOT 3	327 000	09/09/2011	$31 809	$10,401 54	$82 340	$26,925 18	1 89%	$510 12	158 86%	$16,523 64
LOT 4	61 000 c	08/29/2013	$75 420	$4,600 62	$82 340	$5,022 74	1 89%	$95 16	9 18%	$422 12
JPMORGAN CHASE & COMPANY (JPM)	361 000		$36.848	$13,302 03	$58 480	**$21,111.28**	2 60%	$548 72	58 71%	$7,809 25
LOT 1	92 000	02/25/2010	$39 949	$3,675 31	$58 480	$5,380 16	2 60%	$139 84	46 39%	$1,704 85
LOT 2	48 000	02/26/2010	$41 849	$2,008 75	$58 480	$2,807 04	2 60%	$72 96	39 74%	$798 29
LOT 3	43 000	03/09/2010	$42 710	$1,836 53	$58 480	$2,514 64	2 60%	$65 36	36 92%	$678 11
LOT 4	178 000	09/09/2011	$32 480	$5,781 44	$58 480	$10,409 44	2 60%	$270 56	80 05%	$4,628 00
LOCKHEED MARTIN CORPORATION (LMT)	152.000		$74.016	$11,250 44	$148 660	**$22,596.32**	3 58%	$808 64	100 85%	$11,345 88
LOT 1	51 000	06/02/2010	$79 416	$4,050 21	$148 660	$7,581 66	3 58%	$271 32	87 19%	$3,531 45
LOT 2	31 000	09/23/2010	$71 997	$2,231 91	$148 660	$4,608 46	3 58%	$164.92	106 48%	$2,376 55
LOT 3	70 000	09/09/2011	$70 976	$4,968 32	$148 660	$10,406 20	3 58%	$372 40	109 45%	$5,437 88
MCDONALDS CORPORATION (MCD)	151 000		$75 123	$11,343 55	$97.030	**$14,651.53**	3 34%	$489 24	29 16%	$3,307.9
LOT 1	13 000	02/25/2010	$63.888	$830 55	$97 030	$1,261 39	3 34%	$42 12	51 87%	$430 84
LOT 2	24 000	02/26/2010	$64 638	$1,551 32	$97 030	$2,328 72	3 34%	$77 76	50 11%	$777 40
LOT 3	24 000	03/09/2010	$65 480	$1,571 52	$97 030	$2,328 72	3 34%	$77 76	48 18%	$757 20
LOT 4	25 000	09/23/2010	$75 126	$1,878 16	$97 030	$2,425 75	3 34%	$81 00	29 16%	$547 59

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
LOT 5	65 000	09/09/2011	$84 800	$5,512 00	$97 030	$6,306 95	3 34%	$210 60	14 42%	$794 95
MERCK & COMPANY INCORPORATED NEW (MRK)	756.000		$34.181	$25,841 20	$50 050	**$37,837.80**	3.52%	$1,330 56	46 42%	$11,996 60
LOT 1	138.000	09/23/2010	$36 595	$5,050 17	$50 050	$6,906 90	3 52%	$242 88	36 77%	$1,856 73
LOT 2	152 000	03/01/2011	$32 660	$4,964 31	$50 050	$7,607 60	3.52%	$267 52	53 25%	$2,643 29
LOT 3	404 000	09/09/2011	$31 910	$12,891 64	$50 050	$20,220 20	3 52%	$711 04	56 85%	$7,328 56
LOT 4	62 000 c	08/29/2013	$47 340	$2,935 08	$50 050	$3,103.10	3.52%	$109 12	5 72%	$168 02
MICROSOFT CORPORATION (MSFT)	1,096 000		$27 164	$29,772 21	$37.410	**$41,001.36**	2.99%	$1,227.52	37 72%	$11,229.15
LOT 1	95 000	02/25/2010	$28 229	$2,681 76	$37.410	$3,553 95	2.99%	$106.40	32 52%	$872 19
LOT 2	171 000	02/26/2010	$28 778	$4,921 02	$37 410	$6,397 11	2 99%	$191 52	30 00%	$1,476 09
LOT 3	183.000	03/09/2010	$29 009	$5,308 57	$37 410	$6,846 03	2.99%	$204.96	28 96%	$1,537 46
LOT 4	523 000	09/09/2011	$25 676	$13,428 55	$37 410	$19,565 43	2 99%	$585.76	45 70%	$6,136 88
LOT 5	124.000 c	02/22/2013	$27 680	$3,432 31	$37 410	$4,638 84	2 99%	$138.88	35 15%	$1,206 53
MONDELEZ INTERNATIONAL INCORPORATED CLASS A (MDLZ)	378 000		$20 835	$7,875.72	$35.300	**$13,343.40**	1.59%	$211.68	69.42%	$5,467.68
LOT 1	5 000	02/25/2010	$18.556	$92 78	$35 300	$176 50	1 59%	$2.80	90 23%	$83.72
LOT 2	53 000	02/26/2010	$18 537	$982 48	$35 300	$1,870 90	1 59%	$29 68	90 43%	$888 42
LOT 3	54 000	03/09/2010	$19 018	$1,026 95	$35 300	$1,906 20	1 59%	$30 24	85 62%	$879.25
LOT 4	66 000	09/23/2010	$20 407	$1,346 84	$35 300	$2,329.80	1 59%	$36 96	72 98%	$982 96
LOT 5	200 000	09/09/2011	$22 133	$4,426 67	$35 300	$7,060 00	1 59%	$112 00	59 49%	$2,633 33
NATIONAL GRID PLC SPON ADR NEW (UNITED KINGDOM) (NGG)	201.000 c	07/31/2012	$52.292	$10,510 68	$65.320	**$13,129.32**	4 82%	$632 75	24 91%	$2,618.64

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain o (Loss)
NESTLE S A SPONSORED ADR (SWITZERLAND) (NSRGY)	173 000 c	07/31/2012	$61.456	$10,631 90	$73 590	**$12,731.07**	2 47%	$314 17	19 74%	$2,099 17
NOVARTIS A G SPONSORED ADR (SWITZERLAND) (NVS)	257 000		$55 482	$14,258 99	$80 380	**$20,657.66**	2 56%	$528 65	44 87%	$6,398 67
LOT 1	42 000	02/25/2010	$54.480	$2,288 16	$80 380	$3,375 96	2 56%	$86 39	47 54%	$1,087 80
LOT 2	22 000	02/26/2010	$55 570	$1,222 54	$80 380	$1,768 36	2 56%	$45 25	44 65%	$545 82
LOT 3	24 000	03/09/2010	$54 660	$1,311 84	$80 380	$1,929 12	2 56%	$49 37	47 05%	$617.28
LOT 4	30 000	03/01/2011	$57 090	$1,712 70	$80 380	$2,411 40	2.56%	$61 71	40 80%	$698 70
LOT 5	139 000	09/09/2011	$55 567	$7,723 75	$80 380	$11,172 82	2 56%	$285 92	44 66%	$3,449 07
NOVO-NORDISK A S ADR (DENMARK) (NVO)	103 000		$113 784	$11,719 77	$184 760	**$19,030.28**	1.23%	$233 19	62 38%	$7,310 51
LOT 1	52 000	03/01/2011	$124.989	$6,499 41	$184 760	$9,607 52	1 23%	$117 72	47 82%	$3,108 11
LOT 2	51 000	09/09/2011	$102 360	$5,220 36	$184 760	$9,422 76	1 23%	$115 46	80 50%	$4,202 40
ORACLE CORPORATION (ORCL)	579 000		$26 549	$15,371.60	$38 260	**$22,152.54**	1 25%	$277 92	44 11%	$6,780 94
LOT 1	9 000	02/26/2010	$24 708	$222 37	$38 260	$344 34	1 25%	$4 32	54 85%	$121 97
LOT 2	173 000	03/09/2010	$24 987	$4,322 80	$38 260	$6,618 98	1 25%	$83 04	53.12%	$2,296 18
LOT 3	284.000	09/09/2011	$26 025	$7,391 24	$38 260	$10,865 84	1.25%	$136 32	47 01%	$3,474 60
LOT 4	113 000 c	07/31/2012	$30.400	$3,435 19	$38 260	$4,323.38	1 25%	$54 24	25 86%	$888 19
PEPSICO INCORPORATED (PEP)	173.000		$64 799	$11,210 28	$82 940	**$14,348.62**	2 74%	$392 71	28.00%	$3,138 3
LOT 1	34 000	02/26/2010	$62 280	$2,117 52	$82 940	$2,819 96	2 74%	$77 18	33 17%	$702 44
LOT 2	35 000	03/09/2010	$64 580	$2,260.30	$82 940	$2,902 90	2 74%	$79 45	28 43%	$642 60
LOT 3	76 000	09/09/2011	$60 590	$4,604 84	$82 940	$6,303 44	2 74%	$172 52	36 89%	$1,698 60
LOT 4	28 000 c	08/29/2013	$79 558	$2,227 62	$82 940	$2,322 32	2 74%	$63 56	4.25%	$94 70

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
PHILIP MORRIS INTERNATIONAL INCORPORATED (PM)	363 000		$63.539	$23,064.63	$87.130	**$31,628.19**	4 32%	$1,364 88	37 13%	$8,563.56
LOT 1	31 000	02/26/2010	$49 330	$1,529.23	$87 130	$2,701.03	4 32%	$116 56	76 63%	$1,171 80
LOT 2	40 000	03/09/2010	$50 510	$2,020 40	$87.130	$3,485 20	4 32%	$150.40	72 50%	$1,464.80
LOT 3	54 000	09/23/2010	$55 249	$2,983 46	$87 130	$4,705 02	4 32%	$203 04	57 70%	$1,721 56
LOT 4	207 000	09/09/2011	$67.346	$13,940 56	$87 130	$18,035 91	4 32%	$778 32	29 38%	$4,095 35
LOT 5	31 000 c	08/29/2013	$83 580	$2,590 98	$87.130	$2,701 03	4 32%	$116 56	4.25%	$110 05
ROYAL DUTCH SHELL PLC SPONS ADR A (NETHERLANDS) (RDS.A)	214.000		$64 262	$13,752 00	$71 270	**$15,251.78**	4 29%	$654 84	10 91%	$1,499 78
LOT 1	17 000	02/26/2010	$54 769	$931.08	$71 270	$1,211.59	4 29%	$52 02	30 13%	$280.51
LOT 2	21 000	03/09/2010	$57 340	$1,204 14	$71.270	$1,496 67	4 29%	$64 26	24 29%	$292 53
LOT 3	49.000	03/01/2011	$71.082	$3,483.01	$71 270	$3,492 23	4.29%	$149 94	0.26%	$9.22
LOT 4	127 000	09/09/2011	$64 045	$8,133 77	$71 270	$9,051.29	4 29%	$388.62	11 28%	$917.52
SOFTBANK CORPORATION ADR (JAPAN) (SFTBY)	962 000 c		$21 703	$20,878 21	$43.766	**$42,102.89**	0.35%	$146 22	101 66%	$21,224 68
LOT 1	707 000	02/22/2013	$18 168	$12,844 99	$43 766	$30,942 56	0 35%	$107 39	140 89%	$18,097.57
LOT 2	108.000	08/12/2013	$31 180	$3,367 44	$43 766	$4,726 73	0 35%	$16 41	40 37%	$1,359 29
LOT 3	147.000	08/29/2013	$31 740	$4,665.78	$43 766	$6,433 60	0.35%	$22.33	37.89%	$1,767 82
TEXAS INSTRS INCORPORATED (TXN)	312.000		$30 134	$9,401.80	$43 910	**$13,699.92**	2.73%	$374 40	45 72%	$4,298 12
LOT 1	140 000	03/01/2011	$35 078	$4,910 90	$43.910	$6,147 40	2 73%	$168 00	25 18%	$1,236 50
LOT 2	172 000	09/09/2011	$26 110	$4,490 90	$43 910	$7,552 52	2 73%	$206 40	68 17%	$3,061 62
UNION PAC CORPORATION (UNP)	178 000		$87.495	$15,574.17	$168 000	**$29,904.00**	1 88%	$562 48	92.01%	$14,329 83

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain o (Loss)
LOT 1	58 000	06/02/2010	$72 247	$4,190 35	$168 000	$9,744.00	1 88%	$183 28	132.53%	$5,553 65
LOT 2	105 000	09/09/2011	$86.373	$9,069 17	$168 000	$17,640 00	1 88%	$331 80	94 51%	$8,570 83
LOT 3	15 000 c	08/29/2013	$154 310	$2,314 65	$168 000	$2,520 00	1 88%	$47 40	8 87%	$205 35
UNITEDHEALTH GROUP INCORPORATED (UNH)	191 000 c		$72 186	$13,787.46	$75 300	**$14,382.30**	1 49%	$213 92	4 31%	$594 84
LOT 1	110 000	08/12/2013	$72 322	$7,955 46	$75 300	$8,283 00	1 49%	$123 20	4 12%	$327 54
LOT 2	81 000	08/29/2013	$72 000	$5,832 00	$75 300	$6,099 30	1 49%	$90 72	4 58%	$267 30
VERIZON COMMUNICATIONS INCORPORATED (VZ)	351 000		$32 281	$11,330.67	$49 140	**$17,248.14**	4 31%	$744 12	52 23%	$5,917 47
LOT 1	8 000	02/25/2010	$26 911	$215 29	$49 140	$393 12	4.31%	$16 96	82 60%	$177 83
LOT 2	63 000	02/26/2010	$27 124	$1,708 83	$49 140	$3,095 82	4 31%	$133 56	81 17%	$1,386 99
LOT 3	61 000	03/09/2010	$28 120	$1,715 29	$49 140	$2,997 54	4 31%	$129 32	74 75%	$1,282 25
LOT 4	219 000	09/09/2011	$35 120	$7,691 26	$49 140	$10,761 66	4 31%	$464 28	39 92%	$3,070 40
WELLS FARGO & COMPANY NEW (WFC)	760.000		$29.739	$22,601 67	$45 400	**$34,504.00**	2 64%	$912 00	52 66%	$11,902 33
LOT 1	104 000	02/25/2010	$27 249	$2,833 90	$45 400	$4,721 60	2 64%	$124 80	66 61%	$1,887 70
LOT 2	56 000	02/26/2010	$27 070	$1,515 92	$45 400	$2,542 40	2 64%	$67 20	67 71%	$1,026 48
LOT 3	48 000	03/09/2010	$29.139	$1,398 65	$45 400	$2,179 20	2 64%	$57 60	55.81%	$780 55
LOT 4	263 000	09/09/2011	$23 761	$6,249 11	$45 400	$11,940 20	2 64%	$315 60	91 07%	$5,691 09
LOT 5	172 000 c	03/05/2013	$36 110	$6,210 92	$45 400	$7,808 80	2 64%	$206 40	25 73%	$1,597 88
LOT 6	117 000 c	05/02/2013	$37.548	$4,393 17	$45 400	$5,311 80	2 64%	$140 40	20 91%	$918 63
Stocks Total				$671,212 64		**$1,036,995.13**	2 16%	$22,444 73	54 50%	$365,782 49
Equities Total				**$671,212.64**		**$1,036,995.13**	**2.16%**	**$22,444.73**	**54.50%**	**$365,782.49**

Your Portfolio

Cash & Cash Alternatives

Raymond James Bank Deposit Program ⊕

⊕Please See the Raymond James Bank Deposit Program on the Understanding Your Statement page

Equities

Stocks

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
AT&T INCORPORATED (T)	729.000		$27.011	$19,691.01	$35.160	**$25,631.64**	5.23%	$1,341.36	30.17%	$5,940.63
LOT 1	117.000	02/26/2010	$24.880	$2,910.95	$35.160	$4,113.72	5.23%	$215.28	41.32%	$1,202.77
LOT 2	186.000	03/09/2010	$25 720	$4,783.90	$35 160	$6,539.76	5.23%	$342.24	36.70%	$1,755.86
LOT 3	426 000	09/13/2011	$28 160	$11,996 16	$35.160	$14,978 16	5.23%	$783 84	24.86%	$2,982 00
ALTRIA GROUP INCORPORATED (MO)	332.000	09/13/2011	$26 109	$8,668.04	$38.390	**$12,745.48**	5.00%	$637.44	47.04%	$4,077.44

Your Portfolio (continued)

PWA Equity Income Account No. 49635854

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain o[illegible] (Loss)
ASTRAZENECA PLC SPONSORED ADR (UNITED KINGDOM) (AZN)	263.000 c	02/25/2013	$45.027	$11,842.10	$59 370	**$15,614.31**	4 72%	$736 40	31.85%	$3,772 21
BRISTOL MYERS SQUIBB COMPANY (BMY)	1,016.000		$27 612	$28,053 53	$53 150	**$54,000.40**	2.71%	$1,463 04	92 49%	$25,946 87
LOT 1	339 000	02/26/2010	$24 567	$8,328 38	$53 150	$18,017 85	2 71%	$488 16	116 34%	$9,689 47
LOT 2	129 000	03/09/2010	$25 178	$3,247 90	$53 150	$6,856 35	2 71%	$185 76	111 10%	$3,608 45
LOT 3	462 000	09/13/2011	$29 549	$13,651 41	$53 150	$24,555 30	2 71%	$665 28	79 87%	$10,903 89
LOT 4	86 000 c	08/02/2012	$32 859	$2,825 84	$53 150	$4,570 90	2 71%	$123 84	61 75%	$1,745 06
CME GROUP INCORPORATED (CME)	141 000 c	05/02/2013	$60.297	$8,501 84	$78 460	**$11,062.86**	2.29%	$253 80	30 12%	$2,561.02
CHEVRON CORPORATION NEW (CVX)	237 000		$86.336	$20,461 55	$124.910	**$29,603.67**	3 20%	$948 00	44 68%	$9,142 12
LOT 1	60 000	02/26/2010	$72.560	$4,353 60	$124 910	$7,494 60	3 20%	$240.00	72.15%	$3,141 00
LOT 2	39 000	03/09/2010	$74 670	$2,912 13	$124 910	$4,871 49	3 20%	$156.00	67.28%	$1,959 36
LOT 3	138.000	09/13/2011	$95 622	$13,195 82	$124 910	$17,237 58	3 20%	$552.00	30 63%	$4,041 76
COCA COLA COMPANY (KO)	301 000		$32 284	$9,717 40	$41 310	**$12,434.31**	2 71%	$337.12	27 96%	$2,716 91
LOT 1	25 000	02/26/2010	$26 415	$660 37	$41 310	$1,032 75	2 71%	$28 00	56 39%	$372 38
LOT 2	66 000	03/09/2010	$27 080	$1,787 28	$41 310	$2,726 46	2 71%	$73 92	52 55%	$939 18
LOT 3	210 000	09/13/2011	$34 618	$7,269 75	$41 310	$8,675 10	2 71%	$235.20	19 33%	$1,405.3[illegible]
CONOCOPHILLIPS (COP)	328 000		$61.129	$20,050.22	$70.650	**$23,173.20**	3 91%	$905.28	15.58%	$3,122.98
LOT 1	65 000	03/03/2011	$61 264	$3,982 19	$70 650	$4,592 25	3 91%	$179 40	15 32%	$610 06
LOT 2	263 000 c	05/02/2013	$61 095	$16,068 03	$70 650	$18,580 95	3 91%	$725 88	15.64%	$2,512 92
DOW CHEMICAL COMPANY (DOW)	496 000 c	08/02/2012	$28 895	$14,331 77	$44 400	**$22,022.40**	2 88%	$634 88	53 66%	$7,690 63

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
DU PONT E I DE NEMOURS & COMPANY (DD)	494.000		$41 885	$20,691 13	$64.970	**$32,095.18**	2 77%	$889 20	55 12%	$11,404 05
LOT 1	118 000	02/26/2010	$33 290	$3,928 20	$64 970	$7,666 46	2 77%	$212.40	95 16%	$3,738 26
LOT 2	35 000	03/09/2010	$35 590	$1,245 65	$64 970	$2,273 95	2 77%	$63 00	82 55%	$1,028 30
LOT 3	69 000	10/01/2010	$44 745	$3,087.43	$64 970	$4,482 93	2 77%	$124 20	45 20%	$1,395 50
LOT 4	201 000	09/13/2011	$44 567	$8,958.01	$64 970	$13,058.97	2 77%	$361 80	45 78%	$4,100.96
LOT 5	71.000 c	08/02/2012	$48 899	$3,471 84	$64 970	$4,612 87	2 77%	$127 80	32 87%	$1,141 03
GENERAL ELECTRIC COMPANY (GE)	1,754 000		$17.287	$30,321 72	$28 030	**$49,164.62**	3 14%	$1,543 52	62 14%	$18,842 90
LOT 1	1,176 000	09/13/2011	$15 339	$18,039 05	$28 030	$32,963 28	3 14%	$1,034 88	82 73%	$14,924 23
LOT 2	401 000 c	08/02/2012	$20 369	$8,167 77	$28 030	$11,240 03	3 14%	$352 88	37 61%	$3,072 26
LOT 3	177 000 c	08/29/2013	$23 248	$4,114 90	$28 030	$4,961 31	3 14%	$155 76	20 57%	$846 41
HSBC HLDGS PLC SPON ADR NEW (UNITED KINGDOM) (HSBC)	309 000 c	05/02/2013	$55 303	$17,088 61	$55 130	**$17,035.17**	4 35%	$741 60	(0 31)%	$(53 44)
HOME DEPOT INCORPORATED (HD)	680 000		$32 167	$21,873 74	$82 340	**$55,991.20**	1 89%	$1,060 80	155 97%	$34,117 46
LOT 1	275.000	10/01/2010	$31.709	$8,719 95	$82.340	$22,643 50	1.89%	$429 00	159 67%	$13,923 55
LOT 2	405 000	09/13/2011	$32.478	$13,153 79	$82 340	$33,347 70	1 89%	$631 80	153 52%	$20,193 91
JPMORGAN CHASE & COMPANY (JPM)	583 000		$39 406	$22,973 64	$58 480	**$34,093.84**	2 60%	$886.16	48 40%	$11,120 20
LOT 1	206 000	02/26/2010	$41.849	$8,620 89	$58 480	$12,046 88	2 60%	$313 12	39 74%	$3,425 99
LOT 2	62 000	03/09/2010	$42 710	$2,648 02	$58 480	$3,625.76	2.60%	$94.24	36 92%	$977 74
LOT 3	238 000	09/13/2011	$32 686	$7,779.27	$58.480	$13,918 24	2.60%	$361 76	78 91%	$6,138 97
LOT 4	77 000 c	08/29/2013	$50 980	$3,925 46	$58 480	$4,502 96	2 60%	$117.04	14 71%	$577.50

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
KIMBERLY CLARK CORPORATION (KMB)	164.000 c	05/02/2013	$104.741	$17,177.56	$104 460	**$17,131.44**	3 10%	$531.36	(0 27)%	$(46 12)
KINDER MORGAN INCORPORATED DEL (KMI)	428 000 c		$35 969	$15,394 78	$36 000	**$15,408.00**	4 56%	$701 92	0 09%	$13 22
LOT 1	305 000	08/02/2012	$35 615	$10,862 69	$36 000	$10,980 00	4 56%	$500 20	1 08%	$117 31
LOT 2	123 000	02/25/2013	$36 846	$4,532 09	$36 000	$4,428 00	4 56%	$201 72	(2 30)%	$(104 09)
KONINKLIJKE PHILIPS N V NY REG SH NEW (NETHERLANDS) (PHG)	327.000 c	08/02/2012	$21.877	$7,153.78	$36 970	**$12,089.19**	2.24%	$271.08	68 99%	$4,935 41
LOCKHEED MARTIN CORPORATION (LMT)	162 000		$77 087	$12,488 11	$148 660	**$24,082.92**	3 58%	$861 84	92 85%	$11,594 81
LOT 1	85 000	03/03/2011	$80 730	$6,862 03	$148 660	$12,636 10	3 58%	$452 20	84 15%	$5,774 07
LOT 2	77 000	09/13/2011	$73 066	$5,626 08	$148 660	$11,446 82	3 58%	$409.64	103 46%	$5,820 74
MCDONALDS CORPORATION (MCD)	260 000		$74 342	$19,329.00	$97 030	**$25,227.80**	3 34%	$842 40	30 52%	$5,898 80
LOT 1	116 000	02/26/2010	$64 639	$7,498 07	$97 030	$11,255 48	3 34%	$375 84	50 11%	$3,757 41
LOT 2	41 000	03/09/2010	$65 480	$2,684.68	$97 030	$3,978 23	3 34%	$132 84	48 18%	$1,293 55
LOT 3	103 000 c	08/02/2012	$88 799	$9,146 25	$97 030	$9,994 09	3 34%	$333 72	9 27%	$847 84
MERCK & COMPANY INCORPORATED NEW (MRK)	758 000		$34 102	$25,849 52	$50 050	**$37,937.90**	3 52%	$1,334 08	46 76%	$12,088 38
LOT 1	179 000	02/26/2010	$36 929	$6,610 38	$50 050	$8,958 95	3 52%	$315 04	35 53%	$2,348 57
LOT 2	113 000	03/09/2010	$36 977	$4,178 43	$50 050	$5,655 65	3 52%	$198 88	35 35%	$1,477 22
LOT 3	54 000	10/01/2010	$36 389	$1,965 00	$50 050	$2,702 70	3 52%	$95 04	37 54%	$737 70
LOT 4	412 000	09/13/2011	$31 786	$13,095 71	$50 050	$20,620 60	3 52%	$725 12	57 46%	$7,524 89
MICROSOFT CORPORATION (MSFT)	883 000 c		$32 395	$28,604.56	$37 410	**$33,033.03**	2.99%	$988 96	15.48%	$4,428.47

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
LOT 1	561 000	08/20/2013	$31 900	$17,895 90	$37 410	$20,987 01	2 99%	$628 32	17 27%	$3,091 11
LOT 2	322 000	08/29/2013	$33 257	$10,708 66	$37 410	$12,046 02	2 99%	$360 64	12 49%	$1,337 36
MONDELEZ INTERNATIONAL INCORPORATED CLASS A (MDLZ)	599.000		$20.148	$12,068.79	$35 300	**$21,144.70**	1 59%	$335 44	75.20%	$9,075.91
LOT 1	252 000	02/26/2010	$18 537	$4,671 40	$35 300	$8,895 60	1 59%	$141 12	90 43%	$4,224 20
LOT 2	87 000	03/09/2010	$19 018	$1,654 54	$35 300	$3,071 10	1 59%	$48 72	85 62%	$1,416 56
LOT 3	260 000	09/13/2011	$22.088	$5,742 85	$35 300	$9,178 00	1 59%	$145 60	59 82%	$3,435 15
NATIONAL GRID PLC SPON ADR NEW (UNITED KINGDOM) (NGG)	290 000		$50.262	$14,576 06	$65 320	**$18,942.80**	4.82%	$912.92	29 96%	$4,366 74
LOT 1	109.000	03/03/2011	$47 095	$5,133 39	$65 320	$7,119 88	4 82%	$343 13	38 70%	$1,986 49
LOT 2	80.000	09/13/2011	$49 410	$3,952 78	$65 320	$5,225.60	4 82%	$251 84	32 20%	$1,272 82
LOT 3	101.000 c	02/25/2013	$54 355	$5,489.89	$65 320	$6,597 32	4 82%	$317 95	20 17%	$1,107 43
NESTLE S A SPONSORED ADR (SWITZERLAND) (NSRGY)	229 000 c	02/25/2013	$69.844	$15,994 30	$73.590	**$16,852.11**	2 47%	$415.86	5 36%	$857 81
NUCOR CORPORATION (NUE)	351 000		$39.658	$13,920 01	$53 380	**$18,736.38**	2 77%	$519 48	34 60%	$4,816 37
LOT 1	121 000	10/01/2010	$38 675	$4,679 68	$53 380	$6,458 98	2 77%	$179 08	38 02%	$1,779 30
LOT 2	92 000	09/13/2011	$33.568	$3,088 30	$53.380	$4,910.96	2 77%	$136 16	59 02%	$1,822 66
LOT 3	138 000 c	02/25/2013	$44 580	$6,152 03	$53 380	$7,366 44	2 77%	$204 24	19 74%	$1,214 41
PEPSICO INCORPORATED (PEP)	347 000 c		$76 101	$26,407 07	$82 940	**$28,780.18**	2 74%	$787.69	8 99%	$2,373 11
LOT 1	181 000	08/02/2012	$71 650	$12,968 65	$82 940	$15,012 14	2 74%	$410 87	15 76%	$2,043 49
LOT 2	77 000	05/02/2013	$82 568	$6,357.76	$82 940	$6,386 38	2 74%	$174 79	0 45%	$28 62
LOT 3	89 000	08/29/2013	$79 558	$7,080 66	$82 940	$7,381 66	2 74%	$202 03	4 25%	$301 00

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
PFIZER INCORPORATED (PFE)	1,089.000		$20.564	$22,394.29	$30 630	**$33,356.07**	3 40%	$1,132.56	48.95%	$10,961 78
LOT 1	274 000	02/26/2010	$17 768	$4,868 51	$30 630	$8,392 62	3 40%	$284 96	72 39%	$3,524 11
LOT 2	106 000	03/09/2010	$17 210	$1,824 26	$30 630	$3,246 78	3 40%	$110 24	77 98%	$1,422 52
LOT 3	358.000	09/13/2011	$18 328	$6,561 60	$30.630	$10,965 54	3 40%	$372.32	67 12%	$4,403.94
LOT 4	172 000 c	08/02/2012	$23 679	$4,072 79	$30 630	$5,268 36	3 40%	$178 88	29 36%	$1,195 57
LOT 5	179 000 c	08/29/2013	$28.308	$5,067 13	$30 630	$5,482 77	3 40%	$186 16	8 20%	$415 64
PHILIP MORRIS INTERNATIONAL INCORPORATED (PM)	279 000		$57 876	$16,147.53	$87 130	**$24,309.27**	4 32%	$1,049 04	50 54%	$8,161 74
LOT 1	92 000	02/26/2010	$49 330	$4,538 36	$87 130	$8,015 96	4 32%	$345 92	76 63%	$3,477 60
LOT 2	47 000	03/09/2010	$50 510	$2,373.97	$87 130	$4,095 11	4 32%	$176 72	72 50%	$1,721 14
LOT 3	140 000	09/13/2011	$65 966	$9,235 20	$87 130	$12,198 20	4 32%	$526 40	32 08%	$2,963 00
PROCTER & GAMBLE COMPANY (PG)	261.000		$62 242	$16,245.24	$81.410	**$21,248.01**	2 96%	$627.97	30 80%	$5,002 77
LOT 1	190 000	09/13/2011	$61 792	$11,740 40	$81 410	$15,467 90	2 96%	$457 14	31 75%	$3,727 50
LOT 2	71 000 c	08/02/2012	$63 448	$4,504 84	$81 410	$5,780 11	2 96%	$170 83	28 31%	$1,275 27
ROYAL DUTCH SHELL PLC SPONS ADR A (NETHERLANDS) (RDS.A)	288 000		$61 387	$17,679 58	$71 270	**$20,525.76**	4 29%	$881 28	16 10%	$2,846.18
LOT 1	68 000	02/26/2010	$54 769	$3,724 29	$71 270	$4,846 36	4 29%	$208 08	30 13%	$1,122 0[illegible]
LOT 2	21 000	03/09/2010	$57 340	$1,204 14	$71 270	$1,496 67	4 29%	$64 26	24 29%	$292 53
LOT 3	39 000	10/01/2010	$61.746	$2,408 11	$71 270	$2,779 53	4.29%	$119 34	15 42%	$371 42
LOT 4	111 000	09/13/2011	$64 307	$7,138 10	$71 270	$7,910 97	4 29%	$339 66	10 83%	$772 87
LOT 5	49.000 c	02/25/2013	$65 407	$3,204 94	$71 270	$3,492 23	4 29%	$149 94	8 96%	$287 29

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
SPECTRA ENERGY CORPORATION (SE)	550 000		$25.790	$14,184 70	$35 620	**$19,591.00**	3 43%	$671 00	38 11%	$5,406 30
LOT 1	182 000	02/26/2010	$21 820	$3,971.22	$35 620	$6,482 84	3 43%	$222 04	63 25%	$2,511 62
LOT 2	63 000	03/09/2010	$22 030	$1,387.89	$35 620	$2,244 06	3 43%	$76 86	61 69%	$856 17
LOT 3	104 000	09/13/2011	$25.068	$2,607 12	$35 620	$3,704 48	3 43%	$126.88	42 09%	$1,097 36
LOT 4	201.000 c	05/02/2013	$30 938	$6,218 47	$35 620	$7,159 62	3 43%	$245 22	15 13%	$941 15
TELSTRA CORPORATION LIMITED SPON ADR FINAL (AUSTRALIA) (TLSYY)	876 000		$14.815	$12,977.65	$23 485	**$20,572.86**	5 73%	$1,179 10	58 53%	$7,595 21
LOT 1	477 000	03/03/2011	$14 283	$6,813.10	$23 485	$11,202 35	5 73%	$642 04	64.42%	$4,389 25
LOT 2	399 000	09/13/2011	$15 450	$6,164 55	$23 485	$9,370.52	5 73%	$537 05	52 01%	$3,205 97
TEXAS INSTRS INCORPORATED (TXN)	466 000 c	05/02/2013	$36.850	$17,172 10	$43.910	**$20,462.06**	2.73%	$559 20	19 16%	$3,289 96
TIME WARNER INCORPORATED COM NEW (TWX)	203.000 c		$61.511	$12,486.65	$69.720	**$14,153.16**	1.65%	$233 45	13.35%	$1,666.51
LOT 1	115 000	08/20/2013	$61.588	$7,082.57	$69 720	$8,017 80	1 65%	$132 25	13 20%	$935 23
LOT 2	88.000	08/29/2013	$61 410	$5,404 08	$69 720	$6,135 36	1 65%	$101.20	13 53%	$731 28
TIME WARNER CABLE INCORPORATED (TWC)	157 000 c	02/25/2013	$87 072	$13,670.25	$135.500	**$21,273.50**	1 92%	$408.20	55 62%	$7,603 25
VERIZON COMMUNICATIONS INCORPORATED (VZ)	728.000		$31.639	$23,033 07	$49 140	**$35,773.92**	4.31%	$1,543.36	55 32%	$12,740 85
LOT 1	217 000	02/26/2010	$27.124	$5,885 97	$49 140	$10,663 38	4 31%	$460 04	81 17%	$4,777 41
LOT 2	133.000	03/09/2010	$28 119	$3,739.89	$49 140	$6,535.62	4.31%	$281 96	74.75%	$2,795 73
LOT 3	378 000	09/13/2011	$35.469	$13,407.21	$49 140	$18,574 92	4 31%	$801 36	38.54%	$5,167.71

Equities (continued)

Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
VODAFONE GROUP PLC NEW SPONS ADR NEW (UNITED KINGDOM) (VOD)	398.000 c	08/20/2013	$29.840	$11,876.32	$39 310	**$15,645.38**	4 04%	$632.82	31.74%	$3,769.06
WASTE MGMT INCORPORATED DEL (WM)	665 000		$32 173	$21,394 95	$44 870	**$29,838.55**	3 25%	$970 90	39 47%	$8,443 60
LOT 1	266 000	02/26/2010	$33 009	$8,780 39	$44 870	$11,935 42	3 25%	$388 36	35 93%	$3,155 03
LOT 2	91 000	03/09/2010	$33 530	$3,051 23	$44 870	$4,083 17	3.25%	$132 86	33 82%	$1,031 94
LOT 3	308 000	09/13/2011	$31 050	$9,563 33	$44 870	$13,819 96	3 25%	$449 68	44 51%	$4,256 63
WELLS FARGO & COMPANY NEW (WFC)	389 000		$26 516	$10,314 88	$45 400	**$17,660.60**	2 64%	$466 80	71 21%	$7,345 72
LOT 1	200.000	02/26/2010	$27 070	$5,414 00	$45 400	$9,080.00	2 64%	$240 00	67 71%	$3,666 00
LOT 2	60 000	03/09/2010	$29 139	$1,748 31	$45 400	$2,724 00	2 64%	$72 00	55 81%	$975 69
LOT 3	129 000	09/13/2011	$24 439	$3,152 57	$45 400	$5,856 60	2 64%	$154 80	85 77%	$2,704 03
Stocks Total				$672,807 05		**$958,444.87**	3 26%	$31,237 31	42 45%	$285,637 82

REITs / Tangibles †

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
WEYERHAEUSER COMPANY REIT (WY)	804 000		$19.728	$15,861 05	$31 570	**$25,382.28**	2.79%	$707 52	60 03%	$9,521 2
LOT 1	114 000	10/01/2010	$15 978	$1,821 48	$31 570	$3,598 98	2 79%	$100.32	97.59%	$1,777 50
LOT 2	308 000	09/13/2011	$16 790	$5,171 32	$31 570	$9,723 56	2 79%	$271 04	88 03%	$4,552 24
LOT 3	143 000 c	08/20/2013	$27 377	$3,914 92	$31 570	$4,514 51	2 79%	$125 84	15 32%	$599 59
LOT 4	101 000 c	08/29/2013	$27 810	$2,808 81	$31 570	$3,188 57	2 79%	$88 88	13 52%	$379 76

Equities (continued)

REITs / Tangibles (continued) †

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	**Value**	Est Income Yield	Est Annual Income	Gain or (Loss) Pct	Gain or (Loss)
LOT 5	138 000	Reinvests	$15 540	$2,144 52	$31 570	$4,356 66	2 79%	$121 44	103 15%	$2,212 14
REITs / Tangibles Total				$15,861 05		**$25,382.28**	2 79%	$707 52	60 03%	$9,521 23

† Please see REITs/Tangibles on the Understanding Your Statement page

Equities Total	**$688,668.10**	**$983,827.15**	**3.25%**	**$31,944.83**	**42.86%**	**$295,159.05**

c Cost basis for these tax lots/securities will be supplied to the IRS on Form 1099-B

ATTACHMENT 10

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
BELLSOUTH CORP 5.2%	49,854.	57,318.
AT&T INC 5.8%	71,683.	84,896.
GENERAL ELEC CO 5.25%	99,999.	112,840.
CONOCOPHILLIPS 5.2%	49,850.	54,806.
SHELL INTL FIN 4.3%	75,005.	74,404.
GEN ELEC CAP CORP 4%	28,208.	28,299.
RAY JAMES #4717		
TOTALS	374,599.	412,563.

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PARTNERSHP-TH LEE PUTNAM EQUIT	25,875.	32,035.
CUPP	853,933.	853,933.
PARTNERSHIP - LINN ENERGY		
PARTNERSHIP - ENERGY TRANSFER		
PARTNERSHIP - POWERSHS DB COMM		
TOTALS	879,808.	885,968.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION
JEFFREY H SANDS 902 CARNEGIE CTR STE 400 PRINCETON, NJ 08540	TRUSTEE
ELIZABETH SANDS 902 CARNEGIE CTR STE 400 PRINCETON, NJ 08540	TRUSTEE
DEBORAH SANDS GARTENBERG 902 CARNEGIE CTR STE 400 PRINCETON, NJ 08540	TRUSTEE

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 13

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
WILMINGTON TRUST 1100 NORTH MARKET STREET WILMINGTON, DE 19890 INVESTMENT MANAGEMENT SERVICES		81,820.
TOTAL COMPENSATION		81,820.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SAVE 900 HERRONTOWN ROAD PRINCETON, NJ 08540	NONE PC	GENERAL	1,000.
PRINCETON PUBLIC LIBRARY 65 WITHERSPOON ST PRINCETON, NJ 08542	NONE PC	GENERAL	125,000.
TRENTON AREA SOUP KITCHENS PO BOX 872 TRENTON, NJ 08605	NONE PC	GENERAL	2,500.
ARTS COUNCIL OF PRINCETON 102 WITHERSPOON ST PRINCETON, NJ 08542	NONE PC	GENERAL	15,000.
DRUMTHWACKET FOUNDATION 354 STOCKTON ST PRINCETON, NJ 08540	NONE PC	GENERAL	250.
DELAWARE & RARITAN GREENWAY LAND TRUST ONE PRESERVATION PLACE PRINCETON, NJ 08540	NONE PC	GENERAL	255,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HISTORICAL SOCIETY OF PRINCETON 158 NASSAU ST PRINCETON, NJ 08542	NONE PC	GENERAL	250.
PRINCETON HEALTHCARE SYSTEM FDN ONE PLAINSBORO RD PRINCETON, NJ 08536	NONE PC	GENERAL	1,000,000.
MARQUAND PARK FOUNDATION 713 PROSPECT AVE PRINCETON, NJ 08540	NONE PC	GENERAL	200.
FRIENDS OF MORVEN 55 STOCKTON ST PRINCETON, NJ 08540	NONE PC	GENERAL	250
PRINCETON HIGH SCHOOL SCHOLARSHIPS 151 MOORE ST PRINCETON, NJ 08540	NONE PC	GENERAL	2,000.
CORNER HOUSE FOUNDATION 1 MONUMENT DR PRINCETON, NJ 08540	NONE PC	GENERAL	500.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BREAST CANCER RESOURCE CTR-YWCA 59 PAUL ROBESON PLACE PRINCETON, NJ 08540	NONE PC	GENERAL	500.
HI TOPS 21 WIGGINS ST PRINCETON, NJ 08540	NONE PC	GENERAL	500.
FRIENDS OF PRINCETON OPEN SPACE PO BOX 374 PRINCETON, NJ 08542	NONE PC	GENERAL	3,500.
PRINCETON FIRST AID RESCUE SQUAD 237 N HARRISON ST PRINCETON, NJ 08540	NONE PC	GENERAL	2,000.
CRANFORD HOUSE 362 SUNSET RD SKILLMAN, NJ 08558	NONE PC	GENERAL	7,500.
BOYS AND GIRLS CLUB 212 CENTRE ST TRENTON, NJ 08611	NONE PC	GENERAL	250,000.

ATTACHMENT 14

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HOMEFRONT 1880 PRINCETON AVE LAWRENCEVILLE, NJ 08648	NONE PC	GENERAL	5,000.
		TOTAL CONTRIBUTIONS PAID	1,670,950.

ATTACHMENT 14

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions GEORGE H AND ESTELLE M SANDS FDN C/O JEFFREY H. SANDS, TRUSTEE	Employer identification number (EIN) or 22-3483828
	Number, street, and room or suite no If a P O box, see instructions 902 CARNEGIE CTR STE 400	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions PRINCETON, NJ 08540	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ JEFFREY H. SANDS, TRUSTEE

Telephone No ▶ 609 921-6060 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☒ calendar year 20 13 or

▶ ☐ tax year beginning ____________, 20___, and ending ____________, 20___

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 88,400.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 28,400.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 60,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.