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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation BERNAU FAMILY FOUNDATION INC		<b>A Employer identification number</b>  22-3382599	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 916		<b>B Telephone number</b> (see instructions)  (540) 719-0985	
City or town, state or province, country, and ZIP or foreign postal code HARDY, VA 24101		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year ( <i>from Part II, col. (c), line 16</i> ) <b>\$</b> 2,412,623	<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule)	30,566			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	8	8		
	<b>4</b> Dividends and interest from securities. . . . .	40,188	40,188		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	54,536			
	<b>b</b> Gross sales price for all assets on line 6a 479,221				
	<b>7</b> Capital gain net income (from Part IV , line 2) . . . .		27,206		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .	2,141	1,681		
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	127,439	69,083		
Operating and Administrative Expenses	<b>13</b> Compensation of officers, directors, trustees, etc	875			875
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .	134			134
	<b>16a</b> Legal fees (attach schedule) . . . . .				
	<b>b</b> Accounting fees (attach schedule) . . . . .	2,310	1,725		585
	<b>c</b> Other professional fees (attach schedule) . . . . .				
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions)	1,599	699		
	<b>19</b> Depreciation (attach schedule) and depletion . . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .	2,632			
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . .	2,372	40		2,272
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	9,922	2,464		3,866
	<b>25</b> Contributions, gifts, grants paid . . . . .	135,000			135,000
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	144,922	2,464		138,866
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	-17,483			
	<b>b Net investment income</b> (if negative, enter -0-)		66,619		
	<b>c Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	124,210	123,839	123,839
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	1,528,566	1,467,434	1,703,357
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	322,294	368,814	515,677
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	72,250	69,750	69,750
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,047,320	2,029,837	2,412,623
	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,047,320	2,029,837	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	2,047,320	2,029,837	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	2,047,320	2,029,837	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	2,047,320
2	Enter amount from Part I, line 27a . . . . .	2	-17,483
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	2,029,837
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	2,029,837

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	27,206
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .	}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	129,290	1,935,100	0 066813
2011	125,132	1,999,997	0 062566
2010	125,894	1,835,283	0 068597
2009	134,283	1,666,828	0 080562
2008	156,398	2,055,750	0 076078
2	Total of line 1, column (d). . . . .		2 0 354616
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .		3 0 070923
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .		4 2,173,955
5	Multiply line 4 by line 3. . . . .		5 154,183
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .		6 666
7	Add lines 5 and 6. . . . .		7 154,849
8	Enter qualifying distributions from Part XII, line 4. . . . .		8 138,866
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	1,332
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2. . . . .		3	1,332
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	1,332
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	941	
b Exempt foreign organizations—tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	1,800	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments Add lines 6a through 6d. . . . .		7	2,741
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	1,409
11 Enter the amount of line 10 to be Credited to 2014 estimated tax  1,409 Refunded		11	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	1b		No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?. . . . .	1c		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ _____ (2) On foundation managers  \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5		No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ, VA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶ WWW.BERNAUFF.ORG				
14	The books are in care of ▶ THEODORE G BERNAU Telephone no ▶ (540) 719-0985			
	Located at ▶ PO BOX 916 HARDY VA ZIP +4 ▶ 24101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ) . . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

## Part VIII

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

NONE

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

## Part IX-A

## Expenses

**1**

## Part IX-B

Amount

**1 N/A**

**Total.** Add lines 1 through 3 . . . . .



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,977,554
b	Average of monthly cash balances. . . . .	1b	229,507
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	2,207,061
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	2,207,061
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	33,106
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,173,955
6	Minimum investment return. Enter 5% of line 5. . . . .	6	108,698

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	108,698
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	1,332
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,332
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	107,366
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	2,500
5	Add lines 3 and 4. . . . .	5	109,866
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	109,866

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	138,866
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	138,866
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	138,866
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				109,866
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			96,518	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				
e From 2012. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 138,866				
a Applied to 2012, but not more than line 2a			96,518	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				42,348
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				67,518
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

**b** Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Additional Data Table

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THE BERNAU FAMILY FOUNDATION INC  
PO BOX 916  
HARDY, VA 24101  
(540) 719-0985  
BERNAUFF@GMAIL.COM

**b** The form in which applications should be submitted and information and materials they should include

NEW ORGANIZATIONS SHOULD COMPLETE THE GRANT LETTER OF INQUIRY AND E-MAIL IT TO [BERNAUFF@GMAIL.COM](mailto:BERNAUFF@GMAIL.COM). YOU WILL BE NOTIFIED IF YOU ARE ELIGIBLE TO CONTINUE TO THE NEXT PHASE OF APPLICATION. RETURNING ORGANIZATIONS SHOULD COMPLETE THE GRANT APPLICATION FORM AND A PROPOSAL SUMMARY, BUDGET FOR THE PROJECT, AND OTHER INFORMATION AS DETAILED ON OUR WEBSITE.

**c** Any submission deadlines

APPLICANTS ARE ENCOURAGED TO SUBMIT PROPOSALS WELL IN ADVANCE OF, BUT NO LATER THAN JUNE 20TH FOR TH

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

WE PROMOTE THE LONG TERM IMPROVEMENT OF INDIVIDUAL LIVES AND DEVELOPMENT OF POSITIVE LEADERSHIP BY FUNDING ORGANIZATIONS WHO DO NOT OFFER SIMPLY A TEMPORARY SOLUTION FOR AN IMPROVED QUALITY OF LIFE, BUT RATHER THOSE ORGANIZATIONS OR GROUPS WHO INSTILL IN THE INDIVIDUALS TOUCHED THE IMPORTANCE OF THE FOLLOWING VALUES SUBSTANCE FREE LIVING, ELIMINATION OF PERSONAL VIOLENCE AND CRIME, THE VALUE OF CIVIC RESPONSIBILITY, THE IMPORTANCE OF CONTINUED EDUCATION FOR GAINFUL EMPLOYMENT, AND FAITH IN THE SAVING GRACE OF JESUS CHRIST WE GIVE PREFERENCE TO THOSE ORGANIZATIONS WHICH HAVE CUSTOM PROGRAMS FOR WORKING ONE ON ONE WITH INDIVIDUALS WHO WILL THEN TURN THEIR LIVES AROUND AND HAVE A POSITIVE IMPACT ON PEOPLE IN THEIR OWN SPHERE OF INFLUENCE EXCEPT IN RARE CIRCUMSTANCES, GRANTS ARE CURRENTLY LIMITED TO PROGRAMS AND PROJECTS THAT WILL IMPACT THE COMMUNITIES IN THE FOLLOWING GEOGRAPHIC AREAS MONETA, VA, FORT WORTH, TX, CHICAGO, IL, HARTFORD, CT, NEW YORK, NY, PROVO, UT PREFERENCE IN AWARDIN

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	135,000
b Approved for future payment				
Total . . . . .			3b	








Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )

Form **990-PF** (2013)



Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation


(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THEODORE G BERNAU  PO BOX 916 HARDY, VA 24101	PRES & TREAS 3 00	0	0	0
RUTH E BERNAU  PO BOX 916 HARDY, VA 24101	VP & SEC'Y 3 00	0	0	0
SALLY BISHOP  415 E 85TH ST NEW YORK, NY 10028	VP 0 50	0	0	0
KEVIN KNAPP  1527 WEST GRANVILLE UNIT 3 CHICAGO, IL 60661	VP 0 50	0	0	0
DAVID KNAPP  59 TIMMS HILL RD HADDAM, CT 06438	VP 0 50	0	0	0
WENDI BERNAU  4904 BELLADONNA DR FORT WORTH, TX 76123	VP 2 20	875	0	1,473
LYNN KNAPP  1527 WEST GRANVILLE UNIT 3 CHICAGO, IL 60661	ADVISORY BOA 0 20	0	0	0

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

THEODORE G BERNAU
RUTH E BERNAU



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COMMUNITY SCHOOL THE ARTS 620 STATE STREET KNOXSVILLE,TN 37902	NONE	PUB CHARITY	GENERAL USE	10,000
ALICE LLOYD COLLEGE ROUTE 899 PIPPA PASSES,KY 41844	NONE	PUB CHARITY	GENERAL USE	10,000
AMERICA SCORES - CHICAGO 222 S MORGAN ST 4C CHICAGO,IL 60607	NONE	PUB CHARITY	GENERAL USE	10,000
BOY SCOUT BLUE RIDGE COUN PO BOX 7606 ROANOKE,VA 24019	NONE	PUB CHARITY	GENERAL USE	1,000
AGAPE CENTER 12361 N OLD MONETA RD MONETA,VA 24121	NONE	PUB CHARITY	GENERAL USE	56,000
SPARK 533 W JACKSON ST CHICAGO,IL 60606	NONE	PUB CHARITY	TUTORING PROGRAM TO AT-RISK DROPOUTS	5,000
BROOK HILL FARM 7289 BELLEVUE AVE FOREST,VA 24551	NONE	PUB CHARITY	GENERAL USE	5,000
HARTFORD HOUSE PO BOX 34007 HARTFORD,CT 061340207	NONE	PUB CHARITY	GENERAL USE	5,000
CAMPFIRE USA 2700 MEACHAM BLVD FORT WORTH,TX 76137			GENERAL USE	5,000
MARKET STREET MISSION 9 MARKET STREET MORRISTOWN,NJ 07960	NONE	PUB CHARITY	GENERAL USE	5,000
SML CHRISTIAN ACADEMY 2485 LOST MOUNTAIN RD WIRTZ,VA 24184	NONE	PUB CHARITY	GENERAL USE	5,000
FAMILY FOUNDATION 919 E MAIN ST RICHMOND,VA 23219	NONE	PUB CHARITY	GENERAL USE	5,000
PROVIDENCE ST MEL SCHOOL 119 SOUTH CENTRAL PARK BL CHICAGO,IL 60624	NONE		GENERAL USE	5,000
GAYLORD GOLF SPONSOR PO BOX 400 WALLINGFORD,CT 06492	NONE	PUB CHARITY	GENERAL USE	5,000
IGNITIA HOUSE 3052 W BELMONT AVE CHICAGO,IL 60618	NONE	PUB CHARITY	REHABILITATION OF ALCOHOLIC WOMEN	1,000
<b>Total . . . . .</b>  <b>3a</b>				135,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
DUBBLED MINISTRIES 3291 STONY FORK RD MONETA,VA 24121	NONE	PUB CHARITY	GENERAL USE	1,000
EASTLAKE MISSIONS 14917 MONETA RD MONETA,VA 24121	NONE		GENERAL USE	1,000
<b>Total . . . . . ▶ 3a</b>				135,000

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
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<div>Name of the organization</div> <div>BERNAU FAMILY FOUNDATION INC</div>	<div>Employer identification number</div> <div>22-3382599</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)( ) (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>
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Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Part I Contributors</b> (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>  1  </u>	THEODORE RUTH BERNAU PO BOX 916  HARDY, VA 24101 	\$ <u>                    30,066                    </u>	<div> <div>Person</div> <div>Payroll</div> <div>Noncash</div> <div> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> </div> </div> (Complete Part II for noncash contributions )
<u>      </u>		\$ <u>  </u>	<div> <div>Person</div> <div>Payroll</div> <div>Noncash</div> <div> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> </div> </div> (Complete Part II for noncash contributions )
<u>      </u>		\$ <u>  </u>	<div> <div>Person</div> <div>Payroll</div> <div>Noncash</div> <div> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> </div> </div> (Complete Part II for noncash contributions )
<u>      </u>		\$ <u>  </u>	<div> <div>Person</div> <div>Payroll</div> <div>Noncash</div> <div> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> </div> </div> (Complete Part II for noncash contributions )
<u>      </u>		\$ <u>  </u>	<div> <div>Person</div> <div>Payroll</div> <div>Noncash</div> <div> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> </div> </div> (Complete Part II for noncash contributions )
<u>      </u>		\$ <u>  </u>	<div> <div>Person</div> <div>Payroll</div> <div>Noncash</div> <div> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> </div> </div> (Complete Part II for noncash contributions )



<b>Name of organization</b> BERNAU FAMILY FOUNDATION INC	<b>Employer identification number</b> 22-3382599
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Part III

**Exclusively** religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

**TY 2013 Accounting Fees Schedule**

**Name:** BERNAU FAMILY FOUNDATION INC

**EIN:** 22-3382599

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEE	2,310	1,725		585

## TY 2013 Compensation Explanation

**Name:** BERNAU FAMILY FOUNDATION INC

**EIN:** 22-3382599

Person Name	Explanation
THEODORE G BERNAU	
RUTH E BERNAU	
SALLY BISHOP	
KEVIN KNAPP	
DAVID KNAPP	
WENDI BERNAU	
LYNN KNAPP	



**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

**TY 2013 Gain/Loss from Sale of Other Assets Schedule**

**Name:** BERNAU FAMILY FOUNDATION INC  
**EIN:** 22-3382599

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
4479 9460 CALAMOS MARKET NEUTRAL		PURCHASE	2013-05		58,015	51,852			6,163	
59 9860 CALAMOS MARKET NEUTRAL		PURCHASE	2013-05		777	694			83	
34 5040 MERGER FUND	2012-12	PURCHASE	2013-05		550	536			14	
1688 0270 MERGER FUND		PURCHASE	2013-05		26,924	26,217			707	
500 0000 ABBVIE INC	2012-02	PURCHASE	2013-01		17,986	14,185			3,801	
1000 000 BANK OF AMERICA	2012-03	PURCHASE	2013-01		10,980	9,940			1,040	
500 000 AT&T	2001-06	PURCHASE	2013-01		16,538	20,293			-3,755	
500 000 SKYWORKS SOLUTIONS	2011-02	PURCHASE	2013-01		10,653	17,874			-7,221	
0 2150 DENISON MINES CORP	2013-05	PURCHASE	2013-05							
500 000 VERIZON CORP	2013-01	PURCHASE	2013-05		26,555	21,405			5,150	
500 000 ABBOTT LABORATORIES	2012-02	PURCHASE	2013-05		18,257	13,081			5,176	
333 000 FISSION ENERGY CORP	2007-03	PURCHASE	2013-05		320	1,124			-804	
374 000 FORD MOTOR CO	1999-04	PURCHASE	2013-05		4,991	13,326			-8,335	
626 000 FORD MOTOR CO	2000-08	PURCHASE	2013-05		8,353	17,399			-9,046	
500 000 MERCK & CO	2009-11	PURCHASE	2013-05		22,739	17,048			5,691	
500 000 MERCK & CO	2010-01	PURCHASE	2013-05		22,739	19,935			2,804	
500 000 SOTHEBY'S	2011-10	PURCHASE	2013-05		17,114	17,739			-625	
500 000 ELI LILLY & CO	2012-12	PURCHASE	2013-07		25,017	25,367			-350	
3 1130 OPPENHEIMER DEVELOPING MKTS	2012-12	PURCHASE	2013-07		109	107			2	
769 804 OPPENHEIMER DEVELOPING MKTS	2012-03	PURCHASE	2013-07		27,043	25,788			1,255	
1000 000 CONOCOPHILLIPS	2010-04	PURCHASE	2013-10		70,325	43,355			26,970	
ENERGY FUELS INC /CANADA	2013-11	PURCHASE	2013-11		3				3	
952 000 FORD MOTOR CO	2006-09	PURCHASE	2013-11		15,722	8,444			7,278	
500 000 CISCO SYSTEMS	2007-02	PURCHASE	2013-12		10,595	14,475			-3,880	
500 000 CISCO SYSTEMS	2007-08	PURCHASE	2013-12		10,595	15,358			-4,763	
500 000 TOTAL S A SPONS ADR	2011-03	PURCHASE	2013-12		29,115	29,143			-28	

# TY 2013 Investments Corporate Stock Schedule

**Name:** BERNAU FAMILY FOUNDATION INC

**EIN:** 22-3382599

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SCHWAB 15407511	797,756	953,395
WELLS FARGO	594,916	644,304
AMERIPRISE	74,762	105,658

## TY 2013 Investments - Other Schedule

**Name:** BERNAU FAMILY FOUNDATION INC

**EIN:** 22-3382599

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VANGUARD 500 INDEX FUND	AT COST	266,506	394,912
VANGUARD HEALTH CARE FUND	AT COST	102,308	120,765

TY 2013 Other Assets Schedule

**Name:** BERNAU FAMILY FOUNDATION INC

**EIN:** 22-3382599

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
AMERICA SCORES - CHICAGO	35,000	35,000	35,000
BLUE RIDGE CAMP	37,250	34,750	34,750

**TY 2013 Other Expenses Schedule****Name:** BERNAU FAMILY FOUNDATION INC**EIN:** 22-3382599

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
DUES & ASSOCIATION FEES	725			725
NJ STATE FEE	30			30
POST OFFICE BOX	44			44
INVESTMENT FEE - SCHWAB				
REIMBURSED EXPENSES	1,473			1,473
INVESTMENT FEE - AMERIPRISE	40	40		
BANK FEE	60			

TY 2013 Other Income Schedule

Name: BERNAU FAMILY FOUNDATION INC

EIN: 22-3382599

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NONDIVIDEND DISTRIB - AMERIPR	1,643	1,643	
NONDIVIDEND DISTIB - SCHWAB	38	38	
US NATURAL GAS FUND LP	460		

## TY 2013 Taxes Schedule

**Name:** BERNAU FAMILY FOUNDATION INC

**EIN:** 22-3382599

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD - WELLS FAR	512	512		
FOREIGN TAX WITHHELD - AMERIPRIS				
FOREIGN TAX PAID - SCHWAB	187	187		
TAXES PAID	900			