Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
THE PERRIN FAMILY FOUNDATION
C/O WTAS LLC

A Employer identification number
22-3309886

Number and street (or P O box number if mail is not delivered to street address)
1700 EAST PUTNAM AVENUE

Room/suite
408

B Telephone number
203-438-7349

City or town, state or province, country, and ZIP or foreign postal code
OLD GREENWICH, CT 06870

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 17,946,305.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc., received	768,651.		N/A	
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	29,838.	29,838.		STATEMENT 1
4	Dividends and interest from securities	347,805.	347,805.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	886,808.			
b	Gross sales price for all assets on line 6a 7,409,763.				
7	Capital gain net income (from Part IV, line 2)		886,808.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	35,418.	34,489.		STATEMENT 3
12	**Total.** Add lines 1 through 11	2,068,520.	1,298,940.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages	103,387.	0.		103,387.
15	Pension plans, employee benefits	20,823.	0.		20,823.
16a	Legal fees				
b	Accounting fees STMT 4	8,750.	0.		8,750.
c	Other professional fees STMT 5	106,128.	106,128.		0.
17	Interest	155.	155.		0.
18	Taxes STMT 6	2,111.	329.		206.
19	Depreciation and depletion	14,902.	0.		
20	Occupancy	4,388.	0.		4,388.
21	Travel, conferences, and meetings				
22	Printing and publications	151.	0.		151.
23	Other expenses STMT 7	77,558.	849.		76,709.
24	**Total operating and administrative expenses.** Add lines 13 through 23	338,353.	107,461.		214,414.
25	Contributions, gifts, grants paid	539,250.			539,250.
26	**Total expenses and disbursements.** Add lines 24 and 25	877,603.	107,461.		753,664.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	1,190,917.			
b	**Net investment income** (if negative, enter -0-)		1,191,479.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

RECEIVED
JUL 10 2014
OGDEN, UT
IRS-OSC
E2-853

SCANNED JUL 15 2014

323501
10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2013)

19

		Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	48,318.	228,131.	228,131.
	2	Savings and temporary cash investments	1,316,328.	526,647.	526,647.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 10	7,077,954.	7,685,682.	11,484,066.
	c	Investments - corporate bonds STMT 11	1,683,687.	3,045,437.	3,077,544.
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 12	2,979,171.	2,331,974.	2,447,322.
	14	Land, buildings, and equipment: basis ▶ 385,949.			
		Less accumulated depreciation STMT 9 ▶ 203,354.	197,497.	182,595.	182,595.
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the *instructions. Also, see page 1, item 1*)	13,302,955.	14,000,466.	17,946,305.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	13,302,955.	14,000,466.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances**	13,302,955.	14,000,466.	
	31	**Total liabilities and net assets/fund balances**	13,302,955.	14,000,466.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 *(must agree with end-of-year figure reported on prior year's return)*	1	13,302,955.
2	Enter amount from Part I, line 27a	2	1,190,917.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	14,493,872.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	493,406.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,000,466.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	7,409,763.		6,670,357.	886,808.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				886,808.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	886,808.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	832,043.	14,032,972.	.059292
2011	844,146.	14,595,358.	.057837
2010	866,186.	14,099,864.	.061432
2009	879,671.	13,510,721.	.065109
2008	895,825.	15,584,221.	.057483

2 **Total** of line 1, column (d)	2	.301153
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060231
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	15,683,170.
5 Multiply line 4 by line 3	5	944,613.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,915.
7 Add lines 5 and 6	7	956,528.
8 Enter qualifying distributions from Part XII, line 4	8	753,664.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	23,830.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	23,830.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	23,830.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,920.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	28,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	30,920.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	7,090.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 7,090. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.PERRINFAMILYFOUNDATION.ORG

14 The books are in care of ▶ BANK OF AMERICA, N.A. Telephone no.▶800-878-7878

Located at ▶114 WEST 47TH STREET, NEW YORK, NY ZIP+4 ▶10036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURA E MCCARGAR 49 HOBART ST, NEW HAVEN, CT 06511	PROGRAM OFFICER 40.00	72,519.	12,312.	0.

Total number of other employees paid over $50,000 ▶ 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
US TRUST 114 WEST 47TH ST, 7TH FL, NEW YORK, NY 10036	INVESTMENT ADVISORY	86,128.

Total number of others receiving over $50,000 for professional services ▶ 1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	15,142,762.
b	Average of monthly cash balances			1b	779,238.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	15,922,000.
e	*Reduction claimed for blockage or other factors reported on lines 1a and* 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	15,922,000.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	238,830.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	15,683,170.
6	**Minimum investment return.** Enter 5% of line 5			6	784,159.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	784,159.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	23,830.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	23,830.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	760,329.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	760,329.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	760,329.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	753,664.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	753,664.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	753,664.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					760,329.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years: _____ , _____ , _____			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008					
b From 2009	89,783.				
c From 2010	166,213.				
d From 2011	121,868.				
e From 2012	135,554.				
f **Total** of lines 3a through e		513,418.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 753,664.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					753,664.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		6,665.			6,665.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		506,753.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		506,753.			
10 Analysis of line 9:					
a Excess from 2009	83,118.				
b Excess from 2010	166,213.				
c Excess from 2011	121,868.				
d Excess from 2012	135,554.				
e Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 14

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 15

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED C/O WTAS, LLC 1700 EAST PUTNAM AVE., SUITE 408 OLD GREENWICH, CT 06870	N/A	501(C)(3) ORGANIZATIONS	GENERAL PURPOSE	539,250.
Total			▶ 3a	539,250.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	29,838.	
4 Dividends and interest from securities			14	347,805.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	35,418.	
8 Gain or (loss) from sales of assets other than inventory			18	886,808.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,299,869.	0.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	1,299,869.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete ~~Declaration~~ Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sheila Perrin | 7/7/14 | TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRIS CARREIRA		6/12/14		P01250834

Firm's name ▶ WTAS LLC

Firm's EIN ▶ 33-1197384

Firm's address ▶ 1700 EAST PUTNAM AVENUE, SUITE 408
OLD GREENWICH, CT 06870

Phone no. 203-987-3660

|

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990 ·

OMB No 1545-0047

2013

Name of the organization
THE PERRIN FAMILY FOUNDATION
C/O WTAS LLC

Employer identification number
22-3309886

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE PERRIN FAMILY FOUNDATION C/O WTAS LLC	22-3309886

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLIE PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 125,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	SHEILA PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 125,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3	CHARLIE PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 259,326.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4	SHEILA PERRIN 676 TITICUS ROAD NORTH SALEM, NY 10560	$ 259,325.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE PERRIN FAMILY FOUNDATION C/O WTAS LLC	22-3309886

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
3	MARKETABLE SECURITIES	$ 259,326.	12/17/13
4	MARKETABLE SECURITIES	$ 259,325.	12/17/13
		$	
		$	
		$	
		$	

Name of organization	Employer identification number
THE PERRIN FAMILY FOUNDATION C/O WTAS LLC	22-3309886

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once.) ▶ $________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS #001-09251-9	328.	328.	
GOLDMAN SACHS #021-96533-0	13.	13.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	10,603.	10,603.	
US TRUST #5443	10,203.	10,203.	
US TRUST #74455	8,691.	8,691.	
TOTAL TO PART I, LINE 3	29,838.	29,838.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GS #09251	61,478.	15,152.	46,326.	46,326.	
GS #5330	23,487.	74.	23,413.	23,413.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	4,810.	0.	4,810.	4,810.	
US TRUST #4455	273,304.	0.	273,304.	273,304.	
US TRUST #5443	4.	0.	4.	4.	
US TRUST #5443 - ABP ADJUSTMENT	-52.	0.	-52.	-52.	
TO PART I, LINE 4	363,031.	15,226.	347,805.	347,805.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GS CAPITAL PARTNERS VI PARALLEL, L.P.	11.	11.	
GS CAPITAL PARTNERS VI PARALLEL, L.P.	5,147.	5,147.	
US TRUST #5443	779.	779.	
GS #09251 - NONDIVIDEND DIST	27.	0.	
GS #5330 - NONDIVIDEND DIST	902.	0.	

US TRUST #5443 - ACCRETION	28,769.	28,769.	
GS #533 - REDUCTION IN BASIS	-217.	-217.	
TOTAL TO FORM 990-PF, PART I, LINE 11	35,418.	34,489.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,750.	0.		8,750.
TO FORM 990-PF, PG 1, LN 16B	8,750.	0.		8,750.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GS CAPITAL PARTNERS VI PARALLEL, L.P.	14,487.	14,487.		0.
GSCP VI PARALLEL AIV, L.P.	1,963.	1,963.		0.
US TRUST #4455	83,513.	83,513.		0.
GS #2519 - MGT FEES	1,000.	1,000.		0.
GS #6533 - MGT FEES	2,550.	2,550.		0.
US TRUST #5443	2,615.	2,615.		0.
TO FORM 990-PF, PG 1, LN 16C	106,128.	106,128.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LOCAL TAXES PAID	206.	0.		206.
GS CAPITAL PARTNERS VI PARALLEL - FOREIGN TAXES PAID	295.	295.		0.
2013 Q1 ESTIMATE	1,500.	0.		0.
GOLDMAN SACHS #09251 - FOREIGN TAXES	3.	0.		0.
GOLDMAN SACHS #96533 - FOREIGN TAXES	107.	34.		0.
	2,111.	329.		206.

TO FORM 990-PF, PG 1, LN 18

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BUSINESS EXPENSES	7,270.	0.		7,270.
DUES & SUBSCRIPTIONS	5,003.	0.		5,003.
INSURANCE	1,064.	0.		1,064.
PAYCHEX FEES	1,563.	0.		1,563.
POSTAGE & DELIVERY	148.	0.		148.
UTILITIES	5,269.	0.		5,269.
WEBSITE	3,055.	0.		3,055.
MISCELLANEOUS	9,843.	0.		9,843.
OFFICE SUPPLIES	401.	0.		401.
MAINTANENCE	371.	0.		371.
GS #9251-9 - ADR FEES	5.	5.		0.
EDUCATIONAL WORKSHOPS	33,004.	0.		33,004.
SCAN	9,651.	0.		9,651.
US TRUST #5443	844.	844.		0.
BOA CHECK REORDER FEES	67.	0.		67.
TO FORM 990-PF, PG 1, LN 23	77,558.	849.		76,709.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
FMV VS. COST BASIS OF CONTRIBUTED STOCK	492,871.
PRIOR PERIOD ADJUSTMENT	535.
TOTAL TO FORM 990-PF, PART III, LINE 5	493,406.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 9

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
OFFICE	362,357.	179,967.	182,390.	182,390.
COMPUTER HARDWARE	4,756.	4,756.	0.	0.
COMPUTER SOFTWARE	4,798.	4,798.	0.	0.
OFFICE FURNITURE	5,000.	5,000.	0.	0.
COMPUTER HARDWARE	312.	312.	0.	0.
COMPUTER HARDWARE	574.	574.	0.	0.
COMPUTER HARDWARE	1,050.	1,050.	0.	0.
COMPUTER	1,453.	1,411.	42.	42.
CARPET	5,649.	5,486.	163.	163.
TO 990-PF, PART II, LN 14	385,949.	203,354.	182,595.	182,595.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	7,685,682.	11,484,066.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,685,682.	11,484,066.

FORM 990-PF CORPORATE BONDS STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	3,045,437.	3,077,544.
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,045,437.	3,077,544.

FORM 990-PF OTHER INVESTMENTS STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	COST	2,331,974.	2,447,322.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,331,974.	2,447,322.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHARLES R. PERRIN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 2.00	0.	0.	0.
SHEILA A. PERRIN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 20.00	0.	0.	0.
DAVID B. PERRIN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 2.00	0.	0.	0.
JEFFREY L. PERRIN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 2.00	0.	0.	0.
ANNE KENAN C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET RIDGEFIELD, CT 06877-4510	TRUSTEE 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

The Perrin Family Foundation
STATEMENT OF BALANCE SHEET ASSETS

	Beginning of Year Book Value	End of Year Book Value	End of Year Fair Market Value
Investments- Corporate Stock			
US TRUST ACCOUNT			
Abbott Labs	220,946	105,999	153,320
Abbvie Inc	-	114,947	211,240
Aon Corp	196,320	80,246	137,160
Apple Inc	350,680	350,680	589,071
Barrick Gold Corp	123,495	-	
Chicago Bridge & Iron Co	-	112 176	140,507
Clorox Co	102 455	102 455	185,520
Coca Cola Corp	76 425	-	-
Columbia Dividend Income Fund	-	324,810	348,581
Columbia Emerging Markets Fund	-	317,945	332,009
Conoco Phillips	129,907	129,907	141,300
Consolidated Edison Inc	184,685	70,230	110,560
CVS Caremark Corp	190,477	170,353	322,065
EMC Corp	157,320	190 342	190,637
Family Dollar Strs	160,112	-	
Firstenergy Corp	149,811	-	
Franklin Res Inc	238,950	127,440	230,920
Freeport-Mcmoran Copper & Gold	-	104,956	143,789
General Electric Co	166,365	-	
Goldman Sachs Corp Inc	79,500	79,500	265,890
Google Inc	329,284	329 284	750,876
Halliburton Co	166,768	166,768	240,301
Ishares MSCI Emerging Markets	-	152,062	153,179
Ishares MSCI EAFE Value ETF	-	262,980	297,440
Ishares Core MSCI Emerging Markets ETF	-	280,716	288,898
Johnson & Johnson	175,669	175,669	274,770
Liberty Global PLC	-	113 291	126,480
Market Vectors Goldminers ETF	160,272	-	
Microsoft Corp	228,220	228,220	299,280
Morgan Stanley	-	110,782	127,949
Noble Corp PLC	-	111,267	106,415
Occidental Pete Corp	160,444	160,444	190,200
Oracle Corp	178 808	178,808	344,340
Oppenheimer Int'l Growth Fund	-	339,699	352,349
Pepsico Inc	86,569	24,604	248,820
Pfizer Inc	95,570	95,570	209,816
Rio Tinto PLC	-	111,408	122,453
Schlumberger Ltd	225,844	225,844	306 374
Schwab Charles Corp New	140,700	140,700	260,000
Suncor Energy, Inc	216,038	-	
Teva Pharmaceuticals Inds Ltd	241,386	-	-
Thermo Fisher Scientific Corp	140,270	-	-
Timken Co	163,154	163,154	176 224
Total S A Sponsored ADR	211,582	-	-
Unum Group	158,679	90,674	280,640
Vale S A Adr	195,616	-	-
Vanguard Small Cap Value ETF	-	116,977	126,094
Vanguard Mid-Cap *Etf*	-	*214,005*	*242,044*
Vanguard Small-Cap ETF	-	106,773	123,144
Verifone Sys Inc	162,858	-	-
Verizon Communications Inc	194,746	100,572	177,641
Vodafone Group PLC	245,855	72,328	147,019
Wellpoint Inc	232,943	232,943	286,409
Wisdomtree Emerging MKTS	155 691	-	-
GOLDMAN SACHS #251-9			
See Attached	283,540	22,332	539,305
GOLDMAN SACHS #533-0			
See Attached	-	972,374	1,183,037
US Trust #5443			
See Attached	-	503,955	490 348
Total Investments- Corporate Stock	7,077,954	8,186,189	11,974,414
Investments- Corporate Bonds			
Conoco Phillips Australia Fdg Co	243,308	-	-
Franklin FTLG Rate Daily Access Fund	-	310 000	311,013
iShares Barclays Intermediate CR BD Fund	679,480	323,540	377,580
Powershares Emerging Markets Sovereign Debt Portfolio	534,504	157,753	162,000
Target Corp	226 395	-	-
Templeton Global BD Fund	-	787,371	794,656
GS High Yield Floating Rate Fund Institutional Shares	-	392,558	391 396
GS High Yield Fund Institutional Shares	-	570,260	550 551
Total Investments- Corporate Bonds	1,683,687	2,541,482	2,587,196
Investments- Other			
GS Capital Partners VI LP	1 438,468	1,170,706	1,092 145
GS Princeton Fund	1,000,000	1,000,000	1 242 449
GS West Street Partners 2007	403,449	161,268	112,728
Pimco *All* Asset Fund	137,254	-	-
Total Investments- Other	2,979,171	2,331,974	2,447,322

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
AAR CORP CMN (AIR)	72 00	28 0100	2,016 72	0 1457	10 49	2,006 23	1 0710	21 60
ABBOTT LABORATORIES CMN (ABT)	155 00	38 3300	5,941 15	6 1870	958 98	4,982 17	2.2959	136 40
ABBVIE INC CMN (ABBV)	155 00	52 8100	8,185 55	6 7092	1,039 93	7,145 62	3 0297	248 00
AFFILIATED MANAGERS GROUP INC CMN (AMG)	13 00	216 8800	2,819 44	34 9815	454 76	2,364 68		
AMAZON COM INC CMN (AMZN)	22 00	398 7900	8,773.38	68 2959	1,502 51	7,270 87		
AMERICAN EXPRESS CO CMN (AXP)	134 00	90 7300	12,157 82	6 7959	910 65	11,247 17	1 0140	123 28
AMERIPRISE FINANCIAL, INC CMN (AMP)	77 00	115 0500	8,858 85	4 8947	376 89	8,481 96	1 8079	160 16
AMGEN INC. CMN (AMGN)	134 00	114 0800	15,286 72	0 0475	6 37	15,280 35	2 1388	326 96
AOL INC CMN (AOL)	29 00	46 6200	1,351 98	.06827	1.98	1,350.00		
APPLE, INC CMN (AAPL)	12 00	561 0200	6,732 24	89 1958	1,070 35	5,661 89	2 1746	146 40

---

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
AUTONATION, INC CMN (AN)	25 00	49 6900	1,242 25	9 6348	240 87	1,001 38		
BROWN FORMAN CORP CL A CMN CLASS A (BFA)	13 00	73 7700	959 01	0 0823	1 07	957 94	1 5725	15 08
BROWN FORMAN CORP CL B CMN CLASS B (BFB)	22 00	75 5700	1,662.54	0 9677	21 29	1,641 25	1 5350	25 52
CABOT CORP CMN (CBT)	44 00	51 4000	2,261 60	4 5825	201 63	2,059 97	1 5564	35 20
CBS CORPORATION CMN CLASS B (CBS)	48 00	63 7400	3,059 52	3 6525	175 32	2,884 20	0 7531	23 04
CIGNA CORPORATION CMN (CI)	31 00	87 4800	2,711 88	13.4735	417 68	2,294 20	0 0457	1.24
CISCO SYSTEMS, INC CMN (CSCO)	605 00	22 4300	13,570 15	1 6459	995 75	12,574 40	3 0317	411 40
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (CTSH)	22 00	100 9800	2,221 56	16 9600	373 12	1,848 44		
CONOCOPHILLIPS CMN (COP)	192 00	70 6500	13,564 80	0 3003	57 65	13,507 15	3 9066	529 92
CONTINENTAL RESOURCES, INC CMN (CLR)	25 00	112 5200	2,813 00	14 5632	364 08	2,448 92		
CORNING INCORPORATED CMN (GLW)	76 00	17 8200	1,354 32	0 0037	0 28	1,354 04	2 2447	30 40
COSTCO WHOLESALE CORPORATION CMN (COST)	60 00	119 0200	7,141 20	2 0180	121 08	7,020 12	1 0418	74 40
CUMMINS INC COMMON STOCK (CMI)	16 00	140 9700	2,255 52	61 8563	989 70	1,265.82	1 7734	40 00
CVS CAREMARK CORPORATION CMN (CVS)	170 00	71 5700	12,166 90	0 3323	56 49	12,110 41	1 5370	187 00
E I DU PONT DE NEMOURS AND CO CMN (DD)	191 00	64 9700	12,409 27	1 0743	205 20	12,204 07	2 7705	343 80
EXELON CORPORATION CMN (EXC)	39 00	27 3900	1,068 21	0 0454	1.77	1,066 44	4 5272	48 36
EXPRESS SCRIPTS HOLDINGS CMN (ESRX)	46 00	70 2400	3,231 04	2.8346	130 39	3,100 65		
EXXON MOBIL CORPORATION CMN (XOM)	412 00	101 2000	41,694 40	0 0840	34.59	41,659 81	2 4901	1,038 24
FEDEX CORP CMN (FDX)	64 00	143 7700	9,201 28	0 4864	31 13	9,170 15	0 4173	38 40
FIFTH THIRD BANCORP CMN (FITB)	64 00	21 0300	1,345 92 7 68	0 3763	24 08	1,321 84	2 2825	30 72
GENUINE PARTS CO CMN (GPC)	33 00	83 1900	2,745 27	0 0197	0.65	2,744 62	2 5844	70 95
GENWORTH FINANCIAL INC CMN CLASS A (GNW)	185 00	15 5300	2,873 05	1 0197	188 64	2,684 41		
GOOGLE, INC CMN CLASS A (GOOG)	14 00	1,120 7100	15,689 94	246 128	3 445 79	12 244 15		
HANESBRANDS INC CMN (HBI)	19 00	70 2700	1,335 13	6 0179	114 34	1,220 79	1 1385	15.20
HUNTINGTON INGALLS INDUSTRIES, INC (HII)	23 00	90 0100	2,070 23	0 9000	20 70	2,049 53	0 8888	18 40

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
INTEL CORPORATION CMN (INTC)	534 00	25 9550	13,859 97	0.0021	1 12	13,858 85	3 4675	480 60
INTERNATIONAL PAPER CO CMN (IP)	15 00	49 0300	735 45	7 1720	107 58	627 87	2 8554	21 00
INTERPUBLIC GROUP COS CMN (IPG)	90 00	17 7000	1,593 00	0 2739	24 65	1,568 35	1 6949	27 00
KANSAS CITY SOUTHERN CMN (KSU)	46 00	123 8300	5,696 18 9 89	0 1985	9 13	5,687 05	0 6945	39 56
LENNAR CORPORATION CMN CLASS A (LEN)	38 00	39 5600	1,503.28	6.3405	240 94	1,262 34	0.4044	6 08
LINCOLN ELECTRIC HOLDINGS INC CMN (LECO)	60 00	71 3400	4,280 40 13 80	1 3823	82 94	4,197 46	1 2896	55.20
LINCOLN NATL CORP INC. CMN (LNC)	30 00	51 6200	1,548.60	6.8253	204 76	1,343 84	1 2398	19 20
MACY'S INC CMN (M)	28 00	53 4000	1,495 20	7 2718	203 61	1,291 59	1 8727	28 00
MEDTRONIC INC CMN (MDT)	129 00	57 3900	7,403 31 36 12	0 0119	1 54	7,401 77	1 9516	144 48
MICRON TECHNOLOGY, INC CMN (MU)	191 00	21 7500	4,154 25	3 2676	624 12	3,530 13		
MICROSOFT CORPORATION CMN (MSFT)	728 00	37 4100	27,234 48	1 3042	949 46	26,285 02	2 9939	815 36
NEWELL RUBBERMAID INC CMN (NWL)	67 00	32 4100	2,171 47	0 1970	13 20	2,158 27	1.8513	40 20
NORTHROP GRUMMAN CORP CMN (NOC)	69 00	114 6100	7,908 09	1 3974	96 42	7,811 67	2 1290	168 36
NUCOR CORPORATION CMN (NUE)	65 00	53 3800	3,469 70 24 05	0 0805	5 23	3,464 47	2.7726	96 20
OCEANEERING INTL INC CMN (OII)	25 00	78 8800	1,972 00	12 8556	321 39	1,650 61	1 1156	22 00
OGE ENERGY CORP (HOLDING CO) CMN (OGE)	162 00	33 9000	5,491 80	3 0685	497 09	4,994 71	2 6549	145 80
OMNICOM GROUP CMN (OMC)	50 00	74 3700	3,718 50 20 00	1 6604	83 02	3,635 48	2 1514	80 00
PACCAR INC CMN (PCAR)	107 00	59 1700	6,331 19 96 30	0 0581	6 22	6,324 97	1 3520	85 60
PHILLIPS 66 CMN (PSX)	96 00	77 1300	7,404 48	0 1786	17 15	7,387 33	2 0226	149 76
PNC FINANCIAL SERVICES GROUP CMN (PNC)	45 00	77 5800	3,491 10	7 0424	316 91	3,174 19	2 2686	79 20
PRINCIPAL FINANCIAL GROUP, INC CMN (PFG)	31 00	49 3100	1,528 61	7 0329	218 02	1,310 59	2 1091	32.24
PROCTER & GAMBLE COMPANY (THE) CMN (PG)	301 00	81 4100	24,504 41	2 7548	829 20	23,675 21	2 9554	724 21
SANDISK CORP CMN (SNDK)	51 00	70 5400	3,597 54	7 9396	404 92	3,192 62	1 2759	45 90
SERVICE CORP INTERNATL CMN (SCI)	124 00	18 1300	2,248 12	0 1514	18 77	2,229 35	1 5444	34 72

Statement Detail

PERRIN FAMILY FOUNDATION

Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
SOUTHWEST AIRLINES CO CMN (LUV)	180 00	18 8400	3,391 20	0 4826	86 86	3,304 34	0 8493	28 80
ST JUDE MEDICAL INC CMN (STJ)	75 00	61 9500	4,646 25	0 0389	2 92	4,643 33	1 6142	75 00
			18 75					
STANDEX INTERNATL CORP CMN (SXI)	21 00	62 8800	1,320 48	0 5152	10 82	1,309 66	0 6361	8 40
STARBUCKS CORP CMN (SBUX)	56 00	78 3900	4,389 84	11 4316	640 17	3,749 67	1 3267	58 24
SYSCO CORPORATION CMN (SYY)	130 00	36 1000	4,693 00	2 1935	285 16	4,407 84	3 2133	150.80
			37 70					
TARGET CORPORATION CMN (TGT)	108 00	63 2700	6,833 16	0 0658	7 11	6,826 05	2 7185	185 76
TESORO CORPORATION CMN (TSO)	25 00	58 5000	1,462 50	11 1316	278 29	1,184 21	1 7094	25 00
THE GOODYEAR TIRE & RUBBER CO CMN (GT)	26 00	23 8500	620 10	3 8088	99 03	521 07	0 8386	5 20
TIME WARNER CABLE INC CMN (TWC)	38 00	135 5000	5,149 00	0 1858	7 06	5,141 94	1 9188	98 80
TIME WARNER INC CMN (TWX)	152 00	69 7200	10,597.44	0.1322	20 09	10,577 35	1 6495	174.80
TJX COMPANIES INC (NEW) CMN (TJX)	31 00	63 7300	1,975 63	10 7626	333 64	1,641 99	0 9101	17 98
U S BANCORP CMN (USB)	171 00	40 4000	6,908 40	1 1891	203 33	6,705 07	2 2772	157.32
			39 33					
UNITED TECHNOLOGIES CORP CMN (UTX)	152 00	113 8000	17,297 60	5 8431	888 15	16,409 45	2 0738	358 72
UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	137 00	75 3000	10,316 10	0 1496	20 50	10,295 60	1 4874	153 44
VULCAN MATERIALS CO CMN (VMC)	27 00	59 4200	1,604 34	0 4007	10 82	1,593 52	0 0673	1.08
WABCO HOLDINGS INC CMN (WBC)	31 00	93 4100	2,895 71	1 1926	36 97	2,858 74		
WALT DISNEY COMPANY (THE) CMN (DIS)	209 00	76 4000	15,967 60	1 0025	209 53	15,758 07	1 1257	179 74
WELLS FARGO & CO (NEW) CMN (WFC)	397 00	45 4000	18,023 80	1 3094	519 84	17,503 96	2 6432	476 40
WESTERN DIGITAL CORPORATION CMN (WDC)	7 00	83 9000	587 30	13 2614	92 83	494 47	1 4303	8 40
			2 10					
WYNDHAM WORLDWIDE CORP CMN (WYN)	18 00	73 6900	1,326 42	3 1011	55 82	1,270 60	1 5742	20 88
WYNN RESORTS, LIMITED CMN (WYNN)	9 00	194 2100	1,747 89	10.9444	98 50	1,649 39	2 0596	36 00
YUM BRANDS, INC CMN (YUM)	113 00	75 6100	8,543 93	0 0767	8 67	8,535 26	1 9574	167 24

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
THE HOME DEPOT, INC CMN (HD)	261 00	82 3400	21,490 74	0 6474	168 96	21,321 78	1 8946	407 16
TOTAL US STOCKS			527,901 40 305.72		20,460 89	491,750 57	2 1415	10,275 90
US REAL ESTATE SECURITIES (PUBLIC)								
SL GREEN REALTY CORP CMN (SLG)	19 00	92 3800	1,755 22 9 50	10 7816	204 85	1,550 37	2 1650	38 00
TOTAL US EQUITY			**529,656.62** **315.22**		**24,113.51**	**489,853.17**	**2.1416**	**10,313.90**
GLOBAL EQUITY								
GLOBAL REAL ESTATE SECURITIES (PUBLIC)								
SIMON PROPERTY GROUP INC CMN (SPG)	34 00	152 1600	5,173 44	29 3988	999 56	4,173 88	3 1546	163 20
STARWOOD HOTELS & RESORTS CMN (HOT)	16 00	79 4500	1,271 20	9 9206	158 73	1,112 47	1 6992	21 60
TOTAL GLOBAL REAL ESTATE SECURITIES (PUBLIC)			6,444 64		1,158 29	5,286 35	2 8675	184 80
NON-US EQUITY								
NON-US STOCKS								
PENTAIR LTD CMN (PNR)	4 00	77 6700	310 68	13 7650	55 06	255 62	1 2875	4 00
TE CONNECTIVITY LTD CMN (TEL)	4 00	55 1100	220 44	8 4900	33.96	186 48		
VODAFONE GROUP PLC SPONSORED ADR CMN (VOD)	68 00	39 3100	2,673 08	6 1591	418 82	2,254 26	4 0435	108 09
TOTAL NON-US STOCKS			3,204 20		507.84	2,696 36	3.7565	112 09
TOTAL PUBLIC EQUITY			**539,305.46**		**25,779.64**	**497,835.88**	**2.1610**	**10,610.78**

---

[12] Please refer to the end of the Holdings section for further details pertaining to these investments

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
U S DOLLAR	584 04	1 0000	584 04		584 04			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)[14]	4,547 24	1 0000	4,547 24	1 0000	4,547 24		0 0825	3 75
	Quantity	**Market Price**	**Market Value / Accrued Income**	**Unit Cost**	**Cost Basis**	**Unrealized Gain (Loss)**	**Dividend Yield**	**Estimated Annual Income**
3M COMPANY CMN (MMM)	26 00	140 2500	3,646 50	110 4815	2,872 52	773 98	2 4385	88 92
ABBOTT LABORATORIES CMN (ABT)	211 00	38 3300	8,087 63	37 9716	8,012 01	75 62	2 2959	185 68
ABBVIE INC CMN (ABBV)	163 00	52 8100	8,608 03	6 7092	1,093 60	7,514 43	3 0297	260.80
AFLAC INCORPORATED CMN (AFL)	60 00	66 8000	4,008 00	51 5455	3,092 73	915 27	2 2156	88 80
AGL RESOURCES INC CMN (GAS)	16 00	47 2300	755 68	42 6413	682 26	73 42	3 9805	30 08
ALTRIA GROUP, INC CMN (MO)	361 00	38 3900	13,858 79	34 7242	12,535 43	1,323 36	5 0013	693 12
			173 28					
AMAZON COM INC CMN (AMZN)	14 00	398 7900	5,583 06	287 1243	4,019 74	1,563 32		
AMEREN CORPORATION CMN (AEE)	207 00	36 1600	7,485.12	33 5952	6,954 21	530 91	4 4248	331 20
AMERICAN INTL GROUP, INC CMN (AIG)	99 00	51 0500	5,053 95	42 5562	4,213 06	840 89	0 7835	39 60
AMERIPRISE FINANCIAL, INC CMN (AMP)	23 00	115 0500	2,646 15	81 0013	1,863 03	783 12	1 8079	47 84
AMGEN INC CMN (AMGN)	74 00	114 0800	8,441 92	110 0751	8,145 56	296 36	2.1388	180 56
ANALOG DEVICES, INC CMN (ADI)	240 00	50 9300	12,223 20	43 4945	10,438 68	1,784 52	2 6703	326 40
APPLE, INC CMN (AAPL)	74 00	561 0200	41,515 48	409 8786	30,331 02	11,184 46	2 1746	902 80
APPLIED MATERIALS INC CMN (AMAT)	380 00	17 6800	6,718 40	13 6290	5,179 02	1,539.38	2 2624	152 00
ARCHER DANIELS MIDLAND CO CMN (ADM)	20 00	43 4000	868 00	32 3810	647 62	220 38	2 2120	19 20
AT&T INC CMN (T)	610 00	35 1600	21,447 60	32 8635	20,046 73	1,400 87	5 2332	1,122 40
AUTOLIV, INC CMN (ALV)	49 00	91 8000	4,498 20	75 4714	3,698.10	800 10	2 2658	101 92
AUTOMATIC DATA PROCESSING INC CMN (ADP)	180 00	80 7990	14,543 82	66 6530	11,997 54	2,546 28	2 3763	345 60
			86 40					

---

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
BANK OF AMERICA CORP CMN (BAC)	350 00	15 5700	5,449 50	14 1564	4,954.75	494 75	0 2569	14 00
BAXTER INTERNATIONAL INC CMN (BAX)	0 00	69 5500	0 00 62 72				2 8181	
BERKSHIRE HATHAWAY INC CLASS B (BRKB)	38 00	118 5600	4,505 28	73 3305	2,786 56	1,718 72		
BIOGEN IDEC INC CMN (BIIB)	10 00	279 5720	2,795 72	206 4110	2,064 11	731 61		
BLACKROCK, INC CMN (BLK)	34 00	316 4700	10,759 98	259 4641	8,821.78	1,938 20	2 1234	228 48
BOEING COMPANY CMN (BA)	80 00	136 4900	10,919 20	90 9213	7,273 70	3,645 50	2 1394	233 60
BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	360 00	53 1500	19,134 00 129 60	41 4650	14,927 40	4,206 60	2 7093	518 40
CA, INC CMN (CA)	180 00	33 6500	6,057 00	25 2039	4,536 71	1,520 29	2 9718	180 00
CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP COM (CVC)	92 00	17 9300	1,649 56	15 7765	1,451 44	198 12	3 3463	55 20
CAPITAL ONE FINANCIAL CORP CMN (COF)	60 00	76 6100	4,596 60	56 9975	3,419 85	1,176 75	1 5664	72 00
CARDINAL HEALTH INC CMN (CAH)	60 00	66 8100	4,008 60 18 15	44 3615	2,661 69	1,346 91	1 8111	72 60
CARNIVAL CORPORATION CMN (CCL)	183 00	40 1700	7,351 11	33 3315	6,099 66	1,251 45	2 4894	183 00
CATERPILLAR INC (DELAWARE) CMN (CAT)	167 00	90 8100	15,165 27	83 1742	13,890 09	1,275 18	2 6429	400 80
CELGENE CORPORATION CMN (CELG)	14 00	168 9680	2,365 55	119 4414	1,672 18	693 37		
CHEVRON CORPORATION CMN (CVX)	180 00	124 9100	22,483 80	87 8536	15,813 64	6,670 16	3 2023	720 00
CINCINNATI FINANCIAL CRP CMN (CINF)	18 00	52 3700	942 66 7 56	46 0617	829 11	113 55	3 2079	30.24
CISCO SYSTEMS, INC CMN (CSCO)	318 00	22 4300	7,132 74	23 7758	7,560 69	(427 95)	3 0317	216 24
CITIGROUP INC CMN (C)	159 00	52 1100	8,285 49	48 5406	7,717 96	567 53		
CME GROUP INC CMN CLASS A (CME)	140 00	78 4600	10,984 40 364 00	60 1476	8,420 66	2,563 74	2 2942	252 00
COACH INC CMN (COH)	14 00	56 1300	785 82 15 86	52 2693	731.77	54 05	2 4051	18 90
COCA-COLA COMPANY (THE) CMN (KO)	130 00	41 3100	5,370 30	28 9585	3,764 60	1,605 70	2 7112	145 60
COMCAST CORPORATION CMN CLASS A NON VOTING (CMCSK)	48 00	49 8800	2,394 24 9 36	40.0996	1,924 78	469 46	1 5638	37 44

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	239 00	51 9650	12,419 64 46 61	40 9603	9,789 51	2,630 13	1 5010	186 42
COMPUWARE CORP CMN (CPWR)	337 00	11 2100	3,777 77	10 5032	3,539 58	238 19	4 4603	168.50
CONOCOPHILLIPS CMN (COP)	260 00	70 6500	18,369 00	58 1977	15,131 40	3,237 60	3 9066	717 60
CORNING INCORPORATED CMN (GLW)	164 00	17 8200	2,922 48	14 1993	2,328 69	593 79	2 2447	65 60
CSX CORPORATION CMN (CSX)	24 00	28 7700	690 48	24 6550	591 72	98 76	2 0855	14 40
CUMMINS INC COMMON STOCK (CMI)	3 00	140 9700	422 91	110 0233	330 07	92 84	1 7734	7 50
CVR ENERGY, INC CMN (CVI)	97 00	43 4300	4,212 71	42 0099	4,074 96	137 75	6 9077	291 00
CVS CAREMARK CORPORATION CMN (CVS)	78 00	71 5700	5,582.46	57 5264	4,487 06	1,095 40	1 5370	85 80
DARDEN RESTAURANTS INC CMN (DRI)	126 00	54 3700	6,850 62	50 3185	6,340 13	510 49	4 0463	277 20
DIAMOND OFFSHORE DRILLING, INC CMN (DO)	176 00	56 9200	10,017 92	55 3994	9,750 29	267 63	0 8784	88 00
DOMINION RESOURCES, INC CMN (D)	41 00	64 6900	2,652 29	58 6278	2,403 74	248 55	3 4781	92.25
DOW CHEMICAL CO CMN (DOW)	179 00	44 4000	7,947 60 57 28	37 5217	6,716 39	1,231 21	2 8829	229 12
DUKE ENERGY CORPORATION CMN (DUK)	63 00	69 0100	4,347 63	66 0978	4,164 16	183 47	4 4341	192 78
E I DU PONT DE NEMOURS AND CO CMN (DD)	260 00	64 9700	16,892 20	52 7535	13,715 91	3,176 29	2 7705	468 00
EBAY INC CMN (EBAY)	22 00	54 8650	1,207 03	50 7359	1,116 19	90 84		
ELI LILLY & CO CMN (LLY)	218 00	51 0000	11,118 00	51 0262	11,123 71	(5 71)	3 8431	427 28
ENTERGY CORPORATION CMN (ETR)	45 00	63 2700	2,847 15	61 5256	2,768 65	78 50	5 2474	149 40
EXXON MOBIL CORPORATION CMN (XOM)	199 00	101 2000	20,138 80	89 4499	17,800 53	2,338 27	2 4901	501 48
FACEBOOK, INC CMN CLASS A (FB)	124 00	54 6490	6,776 48	54 6502	6,776 62	(0 14)		
FIRSTENERGY CORP CMN (FE)	389 00	32 9800	12,829 22	34 2245	13,313 32	(484 10)	6 6707	855 80
FORD MOTOR COMPANY CMN (F)	413 00	15 4300	6,372 59	15 7510	6,505 15	(132 56)	3 2404	206 50
FREEPORT-MCMORAN COPPER & GOLD CMN (FCX)	333 00	37 7400	12,567 42	28 8978	9,622 98	2,944 44	3 3121	416 25
FRONTIER COMMUNICATIONS CORPORATION CMN (FTR)	1,809 00	4 6500	8,411 85	3 7896	6,855 41	1,556 44	8 6022	723 60

PUBLIC EQUITY (Continued)

US EQUITY	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
GANNETT CO INC CMN (GCI)	115 00	29 5800	3,401 70 23 00	20 1643	2,318 89	1,082 81	2 7045	92 00
GENERAL DYNAMICS CORP CMN (GD)	27 00	95 5500	2,579 85	78.3815	2,116 30	463 55	2.3443	60 48
GENERAL ELECTRIC CO CMN (GE)	1,144 00	28 0300	32,066 32 251 68	19 7890	22,638 61	9,427 71	3 1395	1,006 72
GENERAL MILLS INC CMN (GIS)	113 00	49 9100	5,639.83	48 5115	5,481.80	158 03	3 0455	171 76
GILEAD SCIENCES CMN (GILD)	132 00	75 1000	9,913 20	51 6315	6,815 36	3,097 84		
GOOGLE, INC CMN CLASS A (GOOG)	8 00	1,120 7100	8,965 68	904 6525	7,237 22	1,728 46		
HARRIS CORP CMN (HRS)	120 00	69 8100	8,377 20	43.5545	5,226 54	3,150 66	2.4065	201 60
HARSCO CORPORATION CMN (HSC)	111 00	28 0300	3,111 33	22 9401	2,546 35	564 98	2 9254	91 02
HARTFORD FINANCIAL SRVCS GROUP CMN (HIG)	11 00	36 2300	398 53 1 65	29 8245	328 07	70 46	1 6561	6 60
HEWLETT-PACKARD CO CMN (HPQ)	240 00	27 9800	6,715 20 34 85	19 8644	4,767 46	1,947 74	2.0758	139 39
HUMANA INC CMN (HUM)	20 00	103 2200	2,064 40 5 40	72 0900	1,441 80	622 60	1.0463	21.60
HUNTSMAN CORPORATION CMN (HUN)	28 00	24 6000	688 80	16 9714	475.20	213 60	2 0325	14 00
INTEL CORPORATION CMN (INTC)	54 00	25 9550	1,401 57	25 1254	1,356 77	44 80	3 4675	48 60
INTERNATIONAL PAPER CO CMN (IP)	63 00	49 0300	3,088 89	44 4514	2,800 44	288 45	2 8554	88 20
INTL BUSINESS MACHINES CORP CMN (IBM)	23 00	187 5700	4,314 11	127 8304	2,940.10	1,374 01	2 0259	87.40
JOHNSON & JOHNSON CMN (JNJ)	202 00	91 5900	18,501 18	69 8967	14,119 13	4,382 05	2 8824	533 28
JOHNSON CONTROLS INC CMN (JCI)	100 00	51 3000	5,130 00 22 00	34 2236	3,422 36	1,707 64	1 7154	88 00
JPMORGAN CHASE & CO CMN (JPM)	270 00	58 4800	15,789 60	42.7070	11,530 90	4,258 70	2 5992	410 40
KIMBERLY CLARK CORP CMN (KMB)	96 00	104 4600	10,028 16 77 76	92 7327	8,902 34	1,125 82	3 1017	311 04
KINDER MORGAN INC CMN CLASS P (KMI)	318 00	36 0000	11,448 00	35 3349	11,236 50	211 50	4 5556	521 52
KRAFT FOODS GROUP, INC CMN (KRFT)	259 00	53 9100	13,962 69 135 98	52 7755	13,668 86	293 83	3 8954	543 90
L BRANDS, INC CMN (LB)	66 00	61 8500	4,082 10	48 7236	3,215 76	866 34	1 9402	79 20

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
LAS VEGAS SANDS CORP CMN (LVS)	15 00	78 8700	1,183 05	55 9480	839 22	343 83	1 7751	21 00
LEGGETT & PLATT INC CMN (LEG)	113 00	30 9400	3,496 22 33 90	29 1101	3,289 44	206 78	3 8785	135 60
LINKEDIN CORP CMN CLASS A (LNKD)	6 00	216 8300	1,300 98	218 2433	1,309 46	(8 48)		
LOCKHEED MARTIN CORPORATION CMN (LMT)	120 00	148 6600	17,839 20	97 7067	11,724 80	6,114 40	3 5786	638 40
LOWES COMPANIES INC CMN (LOW)	132 00	49 5500	6,540 60	40 7815	5,383 16	1,157 44	1 4531	95 04
MACY'S INC CMN (M)	19 00	53 4000	1,014 60 4 75	44 2095	839 98	174 62	1 8727	19 00
MASTERCARD INCORPORATED CMN CLASS A (MA)	1 00	835 4600	835 46	611.3200	611 32	224 14	0 5267	4 40
MC DONALDS CORP CMN (MCD)	12 00	97 0300	1,164 36	96 1208	1,153 45	10 91	3 3392	38 88
MEDTRONIC INC CMN (MDT)	84 00	57 3900	4,820 76 23 52	51 6802	4,341 14	479 62	1 9516	94.08
MERCK & CO , INC CMN (MRK)	316 00	50 0500	15,815 80 192 72	46 7968	14,787 79	1,028 01	3 5165	556 16
MERCURY GENERAL CORPORATION CMN (MCY)	228 00	49 7100	11,333 88	42 7596	9,749 19	1,584 69	4 9487	560 88
METLIFE, INC CMN (MET)	260 00	53 9200	14,019 20	38 3383	9,967 96	4,051 24	2 0401	286 00
MICROCHIP TECHNOLOGY CMN (MCHP)	80 00	44 7500	3,580 00	34 7009	2,776 07	803 93	3 1687	113 44
MICROSOFT CORPORATION CMN (MSFT)	627 00	37 4100	23,456 07	31 8461	19,967.51	3,488 56	2 9939	702 24
MONSANTO COMPANY CMN (MON)	7 00	116 5500	815 85	97 2229	680 56	135 29	1 4758	12 04
MORGAN STANLEY CMN (MS)	100 00	31 3600	3,136 00	22 1970	2,219 70	916 30	0 6378	20 00
NETFLIX COM INC CMN (NFLX)	5 00	368 1700	1,840 85	212 9220	1,064 61	776 24		
NEW YORK COMMUNITY BANCORP INC CMN (NYCB)	760 00	16 8500	12,806 00	13 3289	10,129 96	2,676 04	5 9347	760 00
NORDSTROM INC CMN (JWN)	20 00	61 8000	1,236 00	55 3015	1,106 03	129 97	1 9417	24 00
OCCIDENTAL PETROLEUM CORP CMN (OXY)	138 00	95 1000	13,123 80 88 32	84 9078	11,717 27	1,406 53	2 6919	353 28
OLD REPUBLIC INTL CORP CMN (ORI)	438 00	17 2700	7,564 26	13 3347	5,840 59	1,723 67	4 1691	315 36

GSAM: TAX ADV ED (S&P500)

Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

US EQUITY	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
ORACLE CORPORATION CMN (ORCL)	140 00	38 2600	5,356 40	32 3601	4,530 41	825 99	1 2546	67 20
PAYCHEX, INC CMN (PAYX)	69 00	45 5300	3,141 57	38 6890	2,669 54	472 03	3 0749	96 60
PEOPLES UNITED FINANCIAL INC CMN (PBCT)	207 00	15 1200	3,129 84	13.1063	2,713 01	416 83	4 2989	134 55
PEPSICO INC CMN (PEP)	89 00	82 9400	7,381 66	79 4562	7,071 60	310 06	2 7369	202 03
			121 45					
PFIZER INC CMN (PFE)	329 00	30 6300	10,077 27	18 4122	6,057 60	4,019 67	3 3954	342.16
PITNEY-BOWES INC CMN (PBI)	175 00	23 3000	4,077 50	14 7644	2,583 77	1,493 73	3 2189	131 25
PPL CORPORATION CMN (PPL)	0 00	30 0900	0 00				4 8853	
			119 07					
PROCTER & GAMBLE COMPANY (THE) CMN (PG)	330 00	81 4100	26,865 30	77 3014	25,509 47	1,355 83	2 9554	793 98
PRUDENTIAL FINANCIAL INC CMN (PRU)	39 00	92 2200	3,596 58	65 1010	2,538 94	1,057 64	2 2989	82 68
QUALCOMM INC CMN (QCOM)	177 00	74 2500	13,142 25	64 9468	11,495 59	1,646 66	1 8855	247.80
R R DONNELLEY & SONS CO CMN (RRD)	541 00	20 2800	10,971 48	13 6958	7,409 43	3,562 05	5 1282	562 64
RAYTHEON CO CMN (RTN)	41 00	90 7000	3,718 70	66 5515	2,728 61	990 09	2 4256	90 20
			22 55					
REGAL ENTERTAINMENT GROUP CMN CLASS A (RGC)	140 00	19 4500	2,723 00	17 4086	2,437 20	285 80	4 3188	117 60
REGENERON PHARMACEUTICAL INC CMN (REGN)	7 00	275 2400	1,926 68	233 0014	1,631 01	295 67		
REYNOLDS AMERICAN INC CMN (RAI)	102 00	49 9900	5,098 98	46 0386	4,695 94	403 04	6 0012	306 00
			75 60					
ROCKWELL AUTOMATION INC CMN (ROK)	15 00	118 1600	1,772 40	84 3113	1,264 67	507 73	1 9634	34 80
ROYAL GOLD, INC CMN (RGLD)	18 00	46 0700	829 26	39 7917	716 25	113 01	1 8233	15 12
			3 78					
SCHLUMBERGER LTD CMN (SLB)	44 00	90 1100	3,964 84	82 7307	3,640 15	324 69	1 3872	55 00
			16 25					
SIX FLAGS ENTERTAINMENT CORPOR CMN (SIX)	127 00	36 8200	4,676 14	34 3741	4,365 51	310 63	5 1059	238 76
STAPLES, INC CMN (SPLS)	36 00	15 8900	572 04	15 5986	561 55	10 49	3 0208	17 28
			4 32					
STARBUCKS CORP CMN (SBUX)	60 00	78 3900	4,703 40	59 8842	3,593 05	1,110 35	1 3267	62 40
STATE STREET CORPORATION (NEW) CMN (STT)	18 00	73 3900	1,321 02	65 3817	1,176 87	144 15	1 4171	18.72
			4 68					

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
STRYKER CORP CMN (SYK)	40 00	75 1400	3,005 60 12 20	64 6565	2,586 26	419 34	1 6236	48 80
TEXAS INSTRUMENTS INC CMN (TXN)	352 00	43 9100	15,456 32	38 5519	13,570 27	1,886 05	2 7329	422 40
THE BANK OF NY MELLON CORP CMN (BK)	157 00	34 9400	5,485 58	28 6339	4,495 53	990 05	1 7172	94 20
THE SOUTHERN CO CMN (SO)	344 00	41 1100	14,141 84	40 7662	14,023 58	118 26	4 9380	698 32
THE WILLIAMS COMPANIES, INC CMN (WMB)	39 00	38 5700	1,504 23	36 2959	1,415 54	88.69	3 9409	59 28
TIME WARNER INC CMN (TWX)	87 00	69 7200	6,065 64	57 7115	5,020 90	1,044 74	1 6495	100 05
TRANSOCEAN LTD CMN (RIG)	119 00	49 4200	5,880 98	47 0953	5,604 34	276 64	4 5326	266 56
U S BANCORP CMN (USB)	77 00	40 4000	3,110 80 17 71	36 2053	2,787 81	322 99	2.2772	70 84
UNION PACIFIC CORP CMN (UNP)	43 00	168 0000	7,224 00 39 50	153 7474	6,611 14	612 86	1 8810	135 88
UNITED TECHNOLOGIES CORP CMN (UTX)	47 00	113 8000	5,348 60	93 4815	4,393 63	954 97	2 0738	110 92
UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	71 00	75 3000	5,346 30	65 7611	4,669 04	677 26	1 4874	79 52
VERIZON COMMUNICATIONS INC CMN (VZ)	61 00	49 1400	2,997 54	46 1441	2,814 79	182 75	4 3142	129 32
VF CORP CMN (VFC)	80 00	62 3400	4,987 20	43 5429	3,483 43	1,503 77	1 6843	84 00
VIACOM INC CMN CLASS B (VIAB)	40 00	87 3400	3,493 60	64 9850	2,599 40	894 20	1 3739	48 00
VISA INC CMN CLASS A (V)	23 00	222 6800	5,121 64	175 4957	4,036 40	1,085 24	0.7185	36 80
WADDELL & REED FIN , INC CLASS A COMMON (WDR)	115 00	65 1200	7,488 80	43 7315	5,029 12	2,459 68	2 0885	156 40
WALGREEN CO CMN (WAG)	136 00	57 4400	7,811 84	47 1732	6,415 55	1,396 29	2 1936	171 36
WELLPOINT, INC CMN (WLP)	40 00	92 3900	3,695 60	73.2355	2,929 42	766 18	1 6236	60 00
WELLS FARGO & CO (NEW) CMN (WFC)	614 00	45 4000	27,875 60	31 8143	19,533 96	8,341 64	2 6432	736 80
WINDSTREAM HOLDINGS INC CMN (WIN)	686 00	7 9800	5,474 28 171 50	8 1620	5,599 13	(124 85)		
WYNN RESORTS, LIMITED CMN (WYNN)	42 00	194 2100	8,156 82	100 5786	4,224 30	3,932 52	2 0596	168 00
YAHOO INC CMN (YHOO)	80 00	40 4400	3,235 20	24 7659	1,981 27	1,253 93		

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)								
YUM BRANDS, INC CMN (YUM)	7 00	75 6100	529 27	69 8814	489 17	40 10	1 9574	10 36
THE HOME DEPOT, INC CMN (HD)	151 00	82 3400	12,433 34	73 3170	11,070 87	1,362 47	1 8946	235 56
AMERICAN TOWER CORPORATION CMN (AMT)	49 00	79 8200	3,911 18	71 8288	3,519 61	391 57	1 4533	56 84
GENERAL GROWTH PROPERTIES INC CMN (GGP)	32 00	20 0700	642 24	20 1347	644 31	(2 07)	2 7902	17 92
VORNADO REALTY TRUST CMN (VNO)	12 00	88 7900	1,065 48	82 1292	985 55	79 93	3 2887	35 04
WEYERHAEUSER COMPANY CMN (WY)	121 00	31 5700	3,819 97	29 7807	3,603.47	216 50	2 7875	106 48
HOST HOTELS & RESORTS INC CMN (HST)	189 00	19 4400	3,674 16 24 57	16 7287	3,161.72	512 44	2 6749	98 28
PUBLIC STORAGE CMN (PSA)	24 00	150 5200	3,612 48	151 0583	3,625 40	(12 92)	3 7204	134 40
SIMON PROPERTY GROUP INC CMN (SPG)	26 00	152 1600	3,956 16	145 5485	3,784 26	171 90	3 1546	124 80
ACTAVIS PLC CMN (ACT)	3 00	168 0000	504 00	144 0000	432 00	72 00		
EATON CORP PLC CMN (ETN)	140 00	76 1200	10,656 80	58 6398	8,209 57	2,447 23	2.2070	235 20
ENSCO PLC CMN CLASS A (ESV)	140 00	57 1800	8,005 20	55 4603	7,764 44	240 76		
GARMIN LTD CMN (GRMN)	100 00	46 1900	4,619 00	34 1938	3,419.38	1,199 62		
GOLAR LNG LTD CMN (GLNG)	83 00	36 2900	3,012 07	32 0478	2,659 97	352 10	4 9600	149 40
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (LYB)	101 00	80 2800	8,108 28	60 3978	6,100.18	2,008 10	2 9895	242 40
SEAGATE TECHNOLOGY PLC CMN (STX)	60 00	56 1600	3,369 60	36 4462	2,186 77	1,182 83		
TE CONNECTIVITY LTD CMN (TEL)	20 00	55 1100	1,102 20	42 1370	842 74	259 46		
THOMSON REUTERS CORPORATION CMN (TRI)	313 00	37 8200	11,837 66	35 3056	11,050 64	787 02	3 4373	406 90
TOTAL GSAM TAX ADVANTAGED ENHANCED DIVIDEND (S&P500)			1,183,036 57 2,499 53		972,374 44	210,662 13	2 9871	32,877 96

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**1,185,536.10**	**972,374.44**	**210,662.13**	**32,877.96**

---

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

Trade Date

Portfolio Detail

Dec 01, 2013 through Dec. 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income								
Investment Grade Taxable								
5,000.000	***2021*** PLAINS EXPL & PRODTN CO CO GTD SR NT CALL 5/1/16 @103 31 DTD 03/29/11 6 625% DUE 05/01/21 Moody's. BAA3 S&P: BBB	726505AK6	$5,482.75 109.655	$55 20	$5,525.00 110 500	-$42 25	$331.25	6.04% 5 02
5,000 000	***2023*** CONTINENTAL RES INC SR UNSECD NT CALL 1/15/23 @100 DTD 04/05/13 4.500% DUE 04/15/23 Moody's BAA3 S&P: BBB-	212015AL5	5,068.75 101.375	47.50	4,987.50 99 750	81.25	225.00	4.43 4.31
	Total Investment Grade Taxable		**$10,551.50**	**$102.70**	**$10,512.50**	**$39.00**	**$556.25**	**5.27%**
Global High Yield Taxable								
5,000 000	***2016*** CASE CORP NT DTD 01/16/96 7.250% DUE 01/15/16 Moody's BA1 S&P BB+	14743RAB9	$5,512.50 110.250	$167.15	$5,612 50 112.250	-$100.00	$362.50	6.57% 2.20

Portfolio Detail

Dec 01, 2013 through Dec 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
4,000.000	***2017*** MGM MIRAGE INC SR NT DTD 12/21/06 7.625% DUE 01/15/17 Moody's: B3 S&P: B+	552953BB6	4,550.00 113 750	140.63	4,540.00 113.500	10.00	305 00	6 70 2.78
5,000.000	AIRCASTLE LTD UNSECD SR NT BERMUDA DTD 04/04/12 6 750% DUE 04/15/17 Moody's BA3 S&P: BB+	00928QAF8	5,575 00 111 500	71.25	5,556.25 111.125	18.75	337.50	6.05 3.04
14,000.000	CIT GROUP INC NEW SR NT DTD 05/04/12 5 000% DUE 05/15/17 Moody's: BA3 S&P: BB-	125581GM4	14,945 00 106 750	89 44	15,397.50 109 982	-452.50	700.00	4.68 2.88
4,000.000	HARRAHS OPER INC SR SECD NT CALL 6/1/13 @105.62 DT 12/01/09 11.250% DUE 06/01/17 Moody's: B3 S&P: B-	413627BL3	4,070.00 101 750	37.50	4,220.00 105.500	-150 00	450 00	11.05 10.53
9,000 000	EL PASO CORP SR NT DTD 06/18/07 7.000% DUE 06/15/17 Moody's BA2 S&P. BB	28336LBQ1	10,175 76 113 064	27.99	10,462.50 116.250	-286 74	630.00	6.19 2.91
5,000.000	SMITHFIELD FOODS INC SR NT DTD 06/22/07 7.750% DUE 07/01/17 Moody's. B2 S&P: BB-	832248AQ1	5,862.50 117.250	193.74	5,925.00 118.500	-62.50	387.50	6 61 2.63
9,000.000	***2018*** HUNTINGTON INGALLS INDS INC CO GTD SR NT SER WI CALL 3/15/15 DTD 09/15/11 6 875% DUE 03/15/18 @103.44 Moody's BA3 S&P B+	446413AB2	9,720.00 108 000	182 19	10,023.75 111.375	-303.75	618.75	6.36 4 68

Portfolio Detail

Dec 01, 2013 through Dec 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	CSC HLDGS INC SR DEBENTURE DTD 07/21/98 7.625% DUE 07/15/18 Moody's. BA3 S&P: BB+	126304AK0	5,718.75 114.375	175.80	5,945.00 118.900	-226.25	381.25	6.66 3.97
11,000.000	SEARS HLDGS CORP SR SECD NT DTD 04/15/11 6.625% DUE 10/15/18 Moody's B3 S&P: B-	812350AE6	9,955 00 90.500	153.84	11,121.00 101 100	-1,166 00	728 75.	7.32. 9.18
5,000.000	KINETIC CONCEPTS INC / KCI USA INC 2ND LIEN SR SECD NT C11/1/15 DT 11/01/12 10.500% DUE 11/01/18 @105.250 Moody's: B3 S&P: B-	49461BAB0	5,750.00 115.000	87 50	5,521.67 110 433	228 33	525.00	9 13 6.77
5,000 000	CDW LLC / CDW FIN CORP SR SECD NT STEP UP 12/12 C12/15/14 @104 DTD 06/15/11 VAR RT DUE 12/15/18 Moody's: BA3 S&P: BB-	12513GAW9	5,450.00 109.000	17 77	5,612.50 112 250	-162.50	400.00	7 33 5 79
5,000 000	***2019*** AVIS BUDGET CAR RENT LLC/AVIS BUDGET FIN INC CO GTD SR NT DTD 01/15/11 8.250% DUE 01/15/19 CALL 10/15/14 @104 13 Moody's. B2 S&P: B	053773AN7	5,450.00 109.000	190 20	5,600.00 112.000	-150.00	412.50	7.56 6.19
10,000.000	INTELSAT JACKSON HLDGS S A CO GTD SR NT C4/1/15 @103.63 LU DTD 10/01/11 7.250% DUE 04/01/19 Moody's B3 S&P: B+	45824TAE5	10,800 00 108.000	181.25	10,735.00 107.350	65.00	725 00	6.71 5.47
5,000 000	HERTZ CORP CO GTD SR NT C4/15/15 @103.38 DTD 04/15/11 6.750% DUE 04/15/19 Moody's. B2 S&P: B	428040CJ6	5,387.50 107.750	71 25	5,512.50 110 250	-125.00	337.50	6 26 5.05

Portfolio Detail

Dec 01, 2013 through Dec 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
4,000.000	VISTEON CORP CO GTD SR NT C4/15/14 @105 06 DTD 10/15/11 6 750% DUE 04/15/19 Moody's B2 S&P. B+	92839UAF4	4,250.00 106 250	57.00	4,330.00 108 250	-80.00	270.00	6.35 5.37
10,000.000	SPRINT CAP CORP GTD NT DTD 05/06/99 6 900% DUE 05/01/19 Moody's B1 S&P: BB-	852060AG7	10,925 00 109.250	114.99	10,925.00 109.250	0.00	690.00	6 31 4.90
5,000 000	OIL STS INTL INC UNSECD SR NT DTD 06/01/11 6.500% DUE 06/01/19 Moody's BA3 S&P BB+	678026AD7	5,318.75 106 375	27 08	5,405.00 108 100	-86.25	325 00	6.11 5.13
10,000.000	NRG ENERGY INC SR UNSECD NT C6/15/14 @104.25 DTD 06/05/09 8 500% DUE 06/15/19 Moody's B1 S&P. BB-	629377BG6	10,675.00 106.750	37 77	10,997.50 109 975	-322.50	850 00	7 96 7.04
9,000.000	LEVEL 3 FING INC CO GTD SR NT SER WI CALL 7/1/15 @104.06 DTD 01/01/12 8 125% DUE 07/01/19 Moody's: B3 S&P: CCC+	527298AU7	9,855.00 109.500	365.62	9,775.50 108 617	79.50	731.25	7.42 6.06
5,000.000	OFFSHORE GROUP INVT LTD SR SECD 1ST LIEN NT C11/1/15 @105.625 KY DTD 05/01/13 7.500% DUE 11/01/19 Moody's: B3 S&P B-	676253AJ6	5,437 50 108 750	62 49	5,417.50 108.350	20 00	375 00	6.89 5.71
9,000 000	UNITED RENTALS NORTH AMER INC SR UNSECD NT C12/15/14 @104 63 DTD 11/17/09 9.250% DUE 12/15/19 Moody's B2 S&P BB-	911365AU8	10,035 00 111.500	37 00	10,198.75 113.319	-163 75	832.50	8.29 6.88
5,000.000	***2020*** ZAYO GROUP LLC / ZAYO CAP INC SR SECD 1ST PRIORITY NT C7/1/15 DTD 06/28/12 8.125% DUE 01/01/20 @104 063 Moody's B1 S&P: B	989194AG0	5,475.00 109.500	203.12	5,647.20 112 944	-172 20	406.25	7.42 6.17

Portfolio Detail

Dec. 01, 2013 through Dec 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value (1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
9,000.000	AUTONATION INC UNSECD SR NT DTD 02/01/12 5 500% DUE 02/01/20 Moody's. BAA3 S&P. BB+	05329WAK8	9,663.75 107.375	206.25	10,035.00 111.500	-371.25	495.00	5.12 4 07
14,000.000	HCA INC SR SECD NT CALL 8/15/14 @103.94 DTD 02/15/10 7.875% DUE 02/15/20 Moody's: BA3 S&P. BB	404119BJ7	15,032.50 107 375	416.50	15,505 00 110.750	-472 50	1,102.50	7.33 6 39
4,000 000	ALLY FINL INC CO GTD SR NT DTD 09/15/10 8.000% DUE 03/15/20 Moody's: B1 S&P: BB	02005NAE0	4,795 00 119 875	94 22	4,980.00 124.500	-185 00	320.00	6.67 4.31
5,000.000	T-MOBILE USA INC UNSECD SR NT CALL 04/28/16 @103.271 DTD 07/28/13 6 542% DUE 04/28/20 Moody's: BA3 S&P: BB	87264AAF2	5,312.50 106.250	139 02	5,218.75 104 375	93 75	327.10	6.15 5.31
5,000.000	EVEREST ACQ LLC EVEREST ACQ FIN SR UNSECD NT C5/01/16@104 688 DTD 11/01/12 9.375% DUE 05/01/20 Moody's: B2 S&P. B	29977HAB6	5,768.75 115.375	78.12	5,875.00 117.500	-106.25	468.75	8.12 6.33
5,000.000	WYNN LAS VEGAS LLC / WYNN LAS VEGAS CAP CORP 1ST MTG NT CALL 5 DTD 04/28/10 7 875% DUE 05/01/20 /1/15 @103.94 Moody's. BA3 S&P: BBB-	983130AP0	5,512.50 110.250	65.62	5,582 50 111.650	-70 00	393.75	7 14 5.86
5,000.000	TENET HEALTHCARE CORP SR SECD NT DTD 06/01/13 4 750% DUE 06/01/20 Moody's: BA3 S&P: B+	88033GBU3	4,887 50 97.750	19 79	4,959 40 99.188	-71 90	237.50	4.85 5.11
5,000.000	CHS / CMNTY HEALTH SYS INC SR UNSECD NT C07/15/16 @103 563 DTD 07/18/12 7.125% DUE 07/15/20 Moody's· B3 S&P: B	12543DAQ3	5,187.50 103.750	164.27	5,613.75 112.275	-426 25	356 25	6 86 6 41

Portfolio Detail

Dec. 01, 2013 through Dec. 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
10,000.000	RANGE RES CORP CO GTD SR SUB NT C8/1/15 @103.38 DTD 08/12/10 6.750% DUE 08/01/20 Moody's. BA2 S&P: BB	75281AAL3	10,825.00 108 250	281.25	11,070.00 110.700	-245.00	675.00	6.23 5.29
10,000.000	ALLIANT TECHSYSTEMS INC GO GTD SR SUB NT CALL 9/15/15 @103.44 DTD 09/13/10 6.875 DUE 09/15/20 Moody's: B1 S&P. B+	018804AP9	10,787.50 107 875	202 43	11,000.00 110 000	-212.50	687.50	6 37 5.45
5,000 000	PEABODY ENERGY CORP CO GTD SR NT DTD 08/25/10 6 500% DUE 09/15/20 Moody's: BA2 S&P: BB	704549AH7	5,262 50 105 250	95.69	5,377 50 107.550	-115 00	325.00	6.17 5.52
10,000 000	ENERGY TRANSFER EQUITY L P SR UNSECD NT DTD 09/20/10 7 500% DUE 10/15/20 Moody's. BA2 S&P: BB	29273VAC4	11,225.00 112 250	158 33	11,803.00 118 030	-578 00	750.00	6.68 5.32
5,000.000	GENON ESCROW CORP UNSECD SR NT CALL 10/15/15 @104.94 DTD 04/15/11 9.875% DUE 10/15/20 Moody's. B2 S&P. B	37244DAF6	5,550 00 111 000	104.23	5,687.50 113 750	-137.50	493.75	8.89 7.80
5,000 000	REYNOLDS GROUP ISSUER INC/ REYNOLDS GROUP ISSUER LLC SR DTD 09/28/12 5.750% DUE 10/15/20 SECD NT CALL 10/15/15 @104 313 Moody's. B1 S&P: B+	761735AP4	5,100.00 102.000	60 69	4,950.00 99.000	150.00	287 50	5.63 5.39
5,000.000	MARKWEST ENERGY PARTNERS LP / MARKWEST ENERGY FIN CORP CO GTD DTD 11/02/10 6.750% DUE 11/01/20 SR NT CALL 11/1/15 @103 38 Moody's. BA3 S&P: BB	570506AM7	5,425.00 108 500	56.25	5,470.00 109.400	-45.00	337.50	6.22 5.23
8,000.000	INTERNATIONAL LEASE FIN CORP UNSECD SR NT DTD 12/07/10 8.250% DUE 12/15/20 Moody's BA3 S&P BBB-	459745GF6	9,360.00 117.000	29 33	10,140.00 126.750	-780.00	660 00	7.05 5.19

Portfolio Detail

Dec 01, 2013 through Dec 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	*2021* CROWN AMERS LLC/CROWN AMERS CAP CORP III CO GTD SR NT C2/1/16 DTD 08/01/11 6 250% DUE 02/01/21 @103.13 SER WI Moody's. BA2 S&P: BB	22818VAB3	5,425.00 108.500	130 21	5,587.50 111.750	-162.50	312.50	5.76 4.85
5,000 000	LINN ENERGY LLC / LINN ENERGY FIN CORP CO GTD SR NT C9/15/15 DTD 09/13/10 7 750% DUE 02/01/21 @103 88 Moody's: B1 S&P: B+	536022AF3	5,287.50 105.750	114 09	5,518.75 110.375	-231.25	387 50	7.32 6.71
5,000 000	CHESAPEAKE ENERGY CORP CO GTD NT DTD 02/11/11 6 125% DUE 02/15/21 Moody's. BA3 S&P: BB-	165167CG0	5,362.50 107.250	115.69	5,543.75 110 875	-181 25	306.25	5.71 4.82
5,000.000	SANDRIDGE ENERGY INC CO GTD SR NT C3/15/16 @103.75 DTD 09/15/11 7 500% DUE 03/15/21 Moody's. B2 S&P: B-	80007PAN9	5,237.50 104.750	110.42	5,212.50 104 250	25 00	375.00	7.16 6.65
5,000.000	AMERISTAR CASINOS INC CO GTD SR NT SER WI CALL 4/15/15 @105 62 DTD 10/15/11 7.500% DUE 04/15/21 Moody's: B2 S&P. B+	03070QAN1	5,425.00 108.500	79.17	5,618.75 112 375	-193.75	375.00	6.91 5.95
5,000.000	GRAPHIC PACKAGING INTL INC UNSECD SR NT CALL 01/15/21 @100 DTD 04/02/13 4 750% DUE 04/15/21 Moody's: BA3 S&P: BB+	38869PAK0	4,950 00 99.000	50 13	4,947.50 98.950	2 50	237.50	4.79 4.91
10,000.000	CCO HLDGS LLC/CCO HLDGS CAP CORP UNSECD SR NT C4/30/15 @104.88 DTD 05/10/11 6.500% DUE 04/30/21 Moody's: B1 S&P: BB-	1248EPAU7	10,275 00 102.750	110 13	10,675 00 106 750	-400 00	650 00	6.32 5.98

Portfolio Detail

Dec 01, 2013 through Dec 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value (1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	HALCON RES CORP UNSECD SR NT CALL 11/15/16 @104 438 DTD 05/15/13 8 875% DUE 05/15/21 Moody's: CAA1 S&P· CCC+	40537QAD2	5,050.00 101 000	56.70	5,120.00 102 400	-70.00	443.75	8.78 8.68
5,000.000	IRON MOUNTAIN INC SR SUB NT CALL 8/15/14 @104 19 DTD 08/10/09 8 375% DUE 08/15/21 Moody's: B1 S&P: B	46284PAM6	5,387.50 107 750	158.19	5,437.50 108 750	-50.00	418.75	7.77 7.04
14,000.000	*2022* POST HLDGS INC SR UNSECED NT CALL 2/15/17 @103.688 DTD 08/15/12 7 375% DUE 02/15/22 Moody's: B1 S&P· B	737446AB0	14,980.00 107 000	390.06	15,492.50 110 661	-512.50	1,032.50	6.89 6.26
5,000 000	BALL CORP CO GTD SR NT DTD 03/09/12 5.000% DUE 03/15/22 Moody's: BA1 S&P: BB+	058498AR7	4,950 00 99.000	73.61	5,075.00 101 500	-125 00	250.00	5.05 5 14
9,000 000	MASCO CORP SR UNSECD NT DTD 03/12/12 5.950% DUE 03/15/22 Moody's. BA3 S&P BBB-	574599BH8	9,517 50 105.750	157.67	10,210.00 113 444	-692.50	535.50	5.62 5.04
10,000.000	BE AEROSPACE INC UNSECD SR NT CALL 4/1/17 @102.63 DTD 03/13/12 5.250% DUE 04/01/22 Moody's. BA2 S&P: BB	055381AS6	10,150.00 101.500	131.24	10,277.50 102.775	-127.50	525.00	5.17 5.02
5,000 000	SALLY HLDGS LLC / SALLY CAP INC CO GTD SR NT CALL 6/1/17 @102.88 DTD 05/18/12 5.750% DUE 06/01/22 Moody's BA2 S&P. BB+	79546VAJ5	5,200.00 104.000	23.95	5,431.25 108.625	-231.25	287.50	5.52 5.12
15,000.000	DISH DBS CORP SR UNSECD NT DTD 05/16/12 5 875% DUE 07/15/22 Moody's· BA3 S&P· BB-	25470XAJ4	15,000.00 100.000	406.35	15,506.25 103.375	-506.25	881.25	5.87 5.87

Portfolio Detail

Dec. 01, 2013 through Dec 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
5,000.000	NCR CORP NEW SR UNSECD NT CALL 7/15/17 @102.500 DTD 01/15/13 5 000% DUE 07/15/22 Moody's· BA3 S&P: BB	62886EAJ7	4,756.25 95.125	115.27	4,941.25 98.825	-185.00	250.00	5.25 5.67
5,000.000	TW TELECOM HLDGS INC UNSECRD SR NT CALL 10/1/17 @102.688 DTD 10/02/12 5 375% DUE 10/01/22 Moody's B1 S&P: BB-	87311XAD0	4,912.50 98.250	67 18	4,768.75 95.375	143.75	268.75	5.47 5.62
10,000 000	CLEAR CHANNEL WORLDWIDE HLDGS INC UNSECD SR NT SER B CALL DTD 05/15/13 6 500% DUE 11/15/22 11/15/17 @103.250 Moody's: B1 S&P: B	18451QAM0	10,212.50 102.125	83 05	10,042.50 100 425	170 00	650 00	6.36 6.16
5,000 000	***2023*** SLM CORP SR UNSECD MTN DTD 01/28/13 5 500% DUE 01/25/23 Moody's: BA1 S&P: BBB-	78442FEQ7	4,723.05 94.461	119.16	4,712.50 94.250	10 55	275.00	5.82 6.30
5,000.000	DELPHI CORP UNSECD SR NT CALL 02/15/18 @102.500 DTD 02/14/13 5.000% DUE 02/15/23 Moody's BA1 S&P· BBB-	247126AH8	5,143.75 102.875	94 44	5,462.50 109.250	-318 75	250.00	4.86 4.52
9,000.000	MARKWEST ENERGY PARTNERS LP / MARKWEST ENERGY FIN CORP UNSECD DTD 08/10/12 5.500% DUE 02/15/23 SR NT CALL 08/15/17 @102.75 Moody's: BA3 S&P: BB	570506AQ8	9,067.50 100 750	187.00	9,297.50 103 306	-230.00	495.00	5.45 5 39
10,000 000	CBRE SVCS INC SR UNSECD NT C3/15/18 @102.500 DTD 03/14/13 5 000% DUE 03/15/23 Moody's· BA1 S&P: B+	12505BAA8	9,612.50 96.125	147.22	10,197 50 101 975	-585 00	500.00	5.20 5.54

Trade Date

U.S. TRUST
Bank of America Private Wealth Management

Portfolio Detail

Dec 01, 2013 through Dec 31, 2013

Account: 41-01-100-2515450 PERRIN FAMILY FOUNDATION - COMB
Sub-Account: 41-01-100-2515443 IM PERRIN FAMILY FDN - SEI-THY

Units	Description	CUSIP	*Market Value(1)/ Market Price*	*Accrued Income*	*Tax Cost/ Average Unit Cost*	*Unrealized Gain/Loss*	*Estimated Annual Income*	*Cur Yld/ YTM*
Fixed Income (cont)								
Global High Yield Taxable (cont)								
10,000.000	ACCESS MIDSTREAM PARTNERS LP / ACMP FIN CORP UNSECD SR NT DTD 12/19/12 4 875% DUE 05/15/23 CALL 12/15/17 @102.438 Moody's: BA3 S&P: BB	00434NAA3	9,650.00 96 500	62.29	9,979.39 99.794	-329.39	487.50	5.05 5 31
5,000 000	***2024*** CCO HLDGS LLC/CCO HLDGS CAP CORP UNSECD SR NT C7/15/18 @102.875 DTD 05/03/13 5.750% DUE 01/15/24 Moody's: B1 S&P· BB-	1248EPBE2	4,725 00 94 500	132 57	4,737 50 94 750	-12 50	287.50	6.08 6.50
5,000 000	IRON MOUNTAIN INC SR SUB NT CALL 08/15/17 @102.875 DTD 08/10/12 5 750% DUE 08/15/24 Moody's· B1 S&P: B	46284PAP9	4,637 50 92.750	108.61	5,240 00 104 800	-602 50	287 50	6 19 6.67
8,000.000	***2031*** GMAC INC SR GTD NT DTD 12/31/08 8.000% DUE 11/01/31 Moody's. B1 S&P: BB	36186CBY8	9,570 00 119 625	106.67	10,660.00 133 250	-1,090 00	640 00	6.68 6.16
	Total Global High Yield Taxable		**$479,796.31**	**$8,195.63**	**$493,442.16**	**-$13,645.85**	**$31,107.60**	**6.48%**
Total Fixed Income			**$490,347.81**	**$8,298.33**	**$503,954.66**	**-$13,606.85**	**$31,663.85**	**6.45%**
Total Portfolio			**$498,820.53**	**$8,298.38**	**$512,427.38**	**-$13,606.85**	**$31,664.70**	**6.34%**
Accrued Income			$8,298.38					
Total			**$507,118.91**					

(1) Market Value in the Portfolio Detail section does not include Accrued Income

FORM 990-PF PART XV - LINE 1A STATEMENT 14

LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

CHARLES R. PERRIN
SHEILA A. PERRIN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 15
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

KELLY WELDON
C/O PERRIN FAMILY FOUNDATION, 4 PROSPECT STREET
RIDGEFIELD, CT 06877-4510

TELEPHONE NUMBER

203-438-7349

FORM AND CONTENT OF APPLICATIONS

AUTHORIZED PERSONS WHO REPRESENT AN ORGANIZATION WHICH THEY BELIEVE MIGHT QUALIFY FOR SUPPORT ARE REQUESTED FIRST TO SEND A LETTER OF INTENT. THE LETTER SHOULD SUCCINTLY DESCRIBE THE BACKGROUND AND PURPOSE OF THE ORGANIZATION AND BRIEFLY OUTLINE THE PROJECT OR PROGRAM FOR WHICH MONIES ARE BEING SOUGHT. ALL LETTERS WILL BE ACKNOWLEDGED. IF THE FOUNDATION FINDS THE REQUEST APPROPRIATE FOR ITS SUPPORT, THE APPLICANT WILL BE MAILED GUIDELINES FOR SUBMISSION. ALL GRANT REQUESTS MAY REQUIRE AS LONG AS THREE MONTHS FOR PROCESSING BEFORE A FINAL DECISION IS COMMUNICATED TO THE PETITIONING ORGANIZATION. THOSE PROJECTS OR PROGRAMS RECEIVING MONEY ARE REQUESTED TO PROVIDE AN EVALUATION AT THE END OF THE FUNDING PERIOD. THE AVERAGE GRANT IS BETWEEN $3,000 AND $15,000.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE ATTACHED STATEMENT

STATEMENT TO FORM 990-PF, PART XV, 2(D)

GRANTS ARE AWARDED ONLY TO NON-PROFIT ORGANIZATIONS OR FISCAL SPONSORS THAT HAVE TAX-EXEMPT STATUS UNDER THE U.S. INTERNAL REVENUE CODE. GRANT PRIORITY IS GIVEN TO ORGANIZATIONS WORKING TO DEVELOP COLLECTIVE YOUTH LEADERSHIP AND YOUTH-LED SOCIAL CHANGE INITIATIVES IN CONNECTICUT.

ORGANIZATIONS THAT THE PERRIN FAMILY FOUNDATION WOULD CONSIDER FUNDING MUST SHOW EVIDENCE THAT THEY SUPPORT YOUTH AND ADULTS WORKING TOGETHER IN AUTHENTIC PARTNERSHIPS, WHERE THE DEVELOPMENT OF INDIVIDUAL LEADERSHIP SKILLS IS BALANCED BY A COMMITMENT TO COLLECTIVE YOUTH VOICE , SHARED LEADERSHIP, AND WHERE YOUTH ARE ENCOURAGED TO ENGAGE WITH THEIR BROADER COMMUNITY AS THEY EXPLORE AND INVESTIGATE THEIR EVERYDAY REALITIES. QUALIFYING ORGANIZATIONS MUST EQUIP YOUTH WITH TOOLS AND STRATEGIES TO TAKE ACTION IN ORDER TO ENSURE COMMUNITIES PROVIDE EQUITABLE ACCESS TO THE RESOURCES AND OPPORTUNITIES NECESSARY TO SUPPORT YOUNG PEOPLE'S WELL-BEING AND EMPOWER YOUTH TO BECOME PART OF THE PROCESS IN CREATING MEANINGFUL COMMUNITY CHANGE. SUCCESSFUL APPLICANTS WILL BE COMMITTED TO:

- YOUTH-ADULT PARTNERSHIPS: CREATE OPPORTUNITIES FOR YOUTH TO WORK IN PARTNERSHIP WITH ADULTS TO TAKE ON AUTHENTIC, MEANINGFUL DECISION-MAKING ROLES IN THE PROGRAMS, ORGANIZATIONS AND COMMUNITIES IN WHICH THEY LIVE.

- COLLECTIVE YOUTH LEADERSHIP DEVELOPMENT: FOSTER A THOUGHTFUL AND INTENTIONAL PROCESS FOR DEVELOPING YOUNG PEOPLE'S LEADERSHIP CAPACITY. ACTIVELY WORKING TO DEVELOP THE SHARED, COLLECTIVE LEADERSHIP OF A GROUP OF YOUNG PEOPLE OVER A SUSTAINED PERIOD OF TIME, AND ENCOURAGING YOUNG PEOPLE TO TAKE AN ACTIVE ROLE IN SUPPORTING THE LEADERSHIP DEVELOPMENT OF THEIR FELLOW PARTICIPANTS AROUND SHARED COMMUNITY ISSUES, AND

- YOUTH-LED SOCIAL CHANGE: A PROCESS THAT BUILDS UPON THE COLLECTIVE LEADERSHIP OF YOUNG PEOPLE WHILE ADDRESSING THE ROOT CAUSES OF INJUSTICE AND INEQUITY IN THEIR LIVES AND COMMUNITIES. YOUTH-LED SOCIAL CHANGE ORGANIZATIONS EQUIP YOUTH WITH TOOLS AND STRATEGIES TO TAKE ACTION. SUPPORTING YOUTH ORGANIZING FOR CREATING TRANSFORMATIVE CHANGES IN BOTH INDIVIDUALS AND COMMMUNITIES. YOUNG PEOPLE ENGAGE IN REFLECTION, DEVELOPE THOUGHTFUL, WELL-ROUNDED ANALYSIS, GAIN RESEARCH SKILLS, AND LEARN HOW TO BUILD MEANINGFUL RELTAIONSHIPS WITH OTHERS IN PURSUIT OF A COMMON GOAL.

GRANTS WILL NOT BE AWARDED TO FUND PUBLIC OR PRIVATE SCHOOL PROGRAMMING. GRANTS WILL ALSO NOT BE AWARDED TO FUND ATHLETIC, JUVENILE JUSTICE, JOB TRAINING PROGRAMS, COMMUNITIY EVENTS OR CAPITAL PROJECTS.

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	OFFICE	071601	SL	25.00	16	362,357.			362,357.	165,473.		14,494.
2	COMPUTER HARDWARE	092601	SL	3.00	16	4,756.			4,756.	4,756.		0.
3	COMPUTER SOFTWARE	090101	SL	3.00	16	4,798.			4,798.	4,798.		0.
4	OFFICE FURNITURE	071601	SL	7.00	16	5,000.			5,000.	5,000.		0.
5	COMPUTER HARDWARE	121903	SL	5.00	16	312.			312.	312.		0.
6	COMPUTER HARDWARE	112404	SL	5.00	16	574.			574.	574.		0.
7	COMPUTER HARDWARE	100106	SL	5.00	16	1,050.			1,050.	1,050.		0.
8	COMPUTER	070109	200DB	5.00	17	1,453.		727.	726.	601.		83.
9	CARPET	070109	200DB	5.00	17	5,649.		2,825.	2,824.	2,336.		325.
	* TOTAL 990-PF PG 1 DEPR					385,949.		3,552.	382,397.	184,900.	0.	14,902.

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

THE PERRIN FAMILY FOUNDATION
C/O WTAS LLC

CONTINUATION FOR 990-PF, PART IV
22-3309886 PAGE 1 OF 1

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GS #96533 - SEE ATTACHED	P	VARIOUS	12/31/13
b	GS #96533 - SEE ATTACHED	P	VARIOUS	12/31/13
c	US TRUST #74455- SEE ATTACHED	P	VARIOUS	12/31/13
d	GS #96533 - SEE ATTACHED	P	VARIOUS	12/31/13
e	GS #96533 - SEE ATTACHED	P	VARIOUS	12/31/13
f	DTGEY - ADR	P	01/01/97	05/21/13
g	US TRUST #74455 - SEE ATTACHED	P	VARIOUS	12/31/13
h	FROM K-1: GS CAPITAL PARTNERS VI PARALLEL	P	VARIOUS	12/31/13
i	FROM K-1: GS CAPITAL PARTNERS VI PARALLEL	P	VARIOUS	12/31/13
j	US TRUST #5443 - SEE ATTACHED	P	VARIOUS	12/31/13
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	725,831.		744,725.	-18,894.
b	32,041.		34,978.	-2,937.
c	1,435,217.		1,515,791.	-80,574.
d	59,341.		38,379.	20,962.
e	620,807.		202,076.	418,731.
f	2,053.		1.	2,052.
g	4,430,372.		4,042,491.	387,881.
h				5,069.
i				142,333.
j	88,875.		91,916.	-3,041.
k	15,226.			15,226.
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-18,894.
b				-2,937.
c				-80,574.
d				20,962.
e				418,731.
f				2,052.
g				387,881.
h				5,069.
i				142,333.
j				-3,041.
k				15,226.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	886,808.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		**LONG-TERM GAINS (LOSSES)**		**ORDINARY GAINS (LOSSES)**	
Net Covered Short-Term Gains (Losses)	(18,894 23)	Net Covered Long-Term Gains (Losses)	20,961 87	Net Ordinary Gains (Losses)	0 00
Net NonCovered Short-Term Gains (Losses)	(2,936 86)	Net NonCovered Long-Term Gains (Losses)	418,730 85		
Net 1099B Non Reportable Short-Term Gains (Losses)	0 00	Net 1099B Non Reportable Long-Term Gains (Losses)	0 00		
Net Miscellaneous Short-Term Gains (Losses)	0 00	Net Miscellaneous Long-Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short-Term Gains (Losses)	0 00	Net Regulated Futures Contract Long-Term Gains (Losses)	0 00		
Total Short-Term Gains (Losses)	**(21,831.09)**	**Total Long-Term Gains (Losses)**	**439,692.72**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	**Date Acquired or Sold Short**	**Date Sold or Covered**	**Quantity**	**Sale Proceeds [5]**	**Total accretion (Amortization)**	**Cost Basis [4]**	**Total Gain (Loss)**
Covered Short-Term Gains (Losses)							
UNITED TECHNOLOGIES CORP CMN (913017109)	05/24/2012	04/24/2013	20 00 [9]	1,839 33	0 00	1,462 78	376 55
CST BRANDS, INC CMN (12646R105)	04/24/2013	05/02/2013	0 44	14 11	0 00	0 00	14 11
H J HEINZ CO CMN (423074103)	04/24/2013	06/10/2013	20 00	1,450 00	0 00	1,448 09	1 91
AGILENT TECHNOLOGIES, INC CMN (00846U101)	04/24/2013	06/27/2013	60 00	2,554 06	0.00	2,572.53	(18 47)
ALTRIA GROUP, INC CMN (02209S103)	04/24/2013	06/27/2013	224 00	7,967 80	0 00	7,971 20	(3.40)
AMEREN CORPORATION CMN (023608102)	04/24/2013	06/27/2013	80 00	2,714 23	0 00	2,889.92	(175 69)
AMGEN INC CMN (031162100)	04/24/2013	06/27/2013	120 00	11,998 30	0 00	12,581 99	(583 69)
APPLE, INC CMN (037833100)	04/24/2013	06/27/2013	9 00	3,574 18	0 00	3,657 37	(83 19)
BANK OF AMERICA CORP CMN (060505104)	04/24/2013	06/27/2013	440 00	5,678 89	0 00	5,417 06	261 83
BLACKROCK, INC CMN (09247X101)	04/24/2013	06/27/2013	15 00	3,885 81	0 00	3,883 52	2 29
CARNIVAL CORPORATION CMN (143658300)	04/24/2013	06/27/2013	37 00	1,274 86	0 00	1,233 27	41 59
CENTURYLINK INC CMN (156700106)	04/24/2013	06/27/2013	60 00	2,120 41	0 00	2,223 61	(103 20)
CINCINNATI FINANCIAL CRP CMN (172062101)	04/24/2013	06/27/2013	20 00	918 15	0 00	978 23	(60 08)
CITIGROUP INC. CMN (172967424)	04/24/2013	06/27/2013	120 00	5,787 81	0 00	5,656.60	131 21
COMCAST CORPORATION CMN CLASS A NON VOTING (20030N200)	04/24/2013	06/27/2013	65 00	2,504 95	0 00	2,531 85	(26 90)

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

Goldman Sachs

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	04/24/2013	06/27/2013	86 00	3,488 18	0 00	3,501 54	(13 36)
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	04/24/2013	06/27/2013	100 00	6,272 74	0 00	6,631 85	(359 11)
CST BRANDS, INC CMN (12646R105)	04/24/2013	06/27/2013	4 00	125 60	0 00	138 58	(12 98)
CSX CORPORATION CMN (126408103)	04/24/2013	06/27/2013	100 00	2,336 81	0 00	2,399 16	(62 35)
CUMMINS INC COMMON STOCK (231021106)	04/24/2013	06/27/2013	57 00	6,172 90	0 00	6,271 39	(98 49)
DISCOVER FINANCIAL SERVICES CMN (254709108)	04/24/2013	06/27/2013	80 00	3,783 01	0 00	3,532 34	250 67
DOMINION RESOURCES, INC CMN (25746U109)	04/24/2013	06/27/2013	140 00	7,906 85	0 00	8,555 18	(648 33)
DOW CHEMICAL CO CMN (260543103)	04/24/2013	06/27/2013	160 00	5,191 25	0 00	5,148 42	42 83
DUKE ENERGY CORPORATION CMN (26441C204)	04/24/2013	06/27/2013	180 00	12,192 71	0 00	13,445 80	(1,253 09)
ELI LILLY & CO CMN (532457108)	04/24/2013	06/27/2013	80 00	3,993 25	0 00	4,495 21	(501 96)
EMERSON ELECTRIC CO CMN (291011104)	04/24/2013	06/27/2013	160 00	8,886 65	0 00	8,844 83	41 82
EXPEDIA, INC CMN (30212P303)	04/24/2013	06/27/2013	40 00	2,374 05	0 00	2,565 74	(191.69)
EXXON MOBIL CORPORATION CMN (30231G102)	04/24/2013	06/27/2013	120 00	10,839 17	0 00	10,733 99	105 18
FACEBOOK, INC CMN CLASS A (30303M102)	04/24/2013	06/27/2013	40 00	968 72	0 00	1,046 06	(77 34)
FIDELITY NATL INFO SVCS INC CMN (31620M106)	04/24/2013	06/27/2013	60 00	2,585 86	0 00	2,456 45	129 41
FORD MOTOR COMPANY CMN (345370860)	04/24/2013	06/27/2013	260 00	4,040 01	0 00	3,468 37	571 64
GANNETT CO INC CMN (364730101)	04/24/2013	06/27/2013	65 00	1,604 78	0 00	1,310 67	294 11
GENUINE PARTS CO CMN (372460105)	04/24/2013	06/27/2013	40 00	3,085 09	0 00	2,966 06	119 03
H & R BLOCK INC CMN (093671105)	04/24/2013	06/27/2013	60 00	1,713 48	0 00	1,692 56	20 92
HONEYWELL INTL INC CMN (438516106)	04/24/2013	06/27/2013	100 00	8,017 71	0 00	7,479 88	537 83
JOHNSON & JOHNSON CMN (478160104)	04/24/2013	06/27/2013	41 00	3,571 02	0 00	3,458 83	112 19
KIMBERLY CLARK CORP CMN (494368103)	04/24/2013	06/27/2013	120 00	11,699 61	0 00	12,419 62	(720 01)
KROGER COMPANY CMN (501044101)	04/24/2013	06/27/2013	60 00	2,080 67	0.00	2,075 81	4 86
L BRANDS, INC. CMN (501797104)	04/24/2013	06/27/2013	74 00	3,699 08	0 00	3,605 55	93 53
LAS VEGAS SANDS CORP CMN (517834107)	04/24/2013	06/27/2013	40 00	2,076 30	0 00	2,192 69	(116 39)

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
LEGGETT & PLATT INC CMN (524660107)	04/24/2013	06/27/2013	100 00	3,103 79	0 00	3,337 47	(233 68)
LEIDOS HOLDINGS INC CMN (78390X101)	04/24/2013	06/27/2013	140.00	1,902.00	0.00	2,038 73	(136 73)
MACY'S INC CMN (55616P104)	04/24/2013	06/27/2013	60 00	2,887 65	0 00	2,632 19	255 46
MATTEL, INC CMN (577081102)	04/24/2013	06/27/2013	80 00	3,564 61	0 00	3,510 04	54 57
MAXIM INTEGRATED PRODUCTS INC CMN (57772K101)	04/24/2013	06/27/2013	60 00	1,681 01	0 00	1,962 09	(281.08)
MEADWESTVACO CORP CMN (583334107)	04/24/2013	06/27/2013	20 00	688 55	0 00	702 63	(14 08)
MEDTRONIC INC CMN (585055106)	04/24/2013	06/27/2013	160 00	8,323 52	0 00	7,420 96	902 56
MERCURY GENERAL CORPORATION CMN (589400100)	04/24/2013	06/27/2013	55 00	2,356 62	0 00	2,319 48	37 14
NEWMONT MINING CORPORATION CMN (651639106)	04/24/2013	06/27/2013	40 00	1,112 62	0 00	1,373 76	(261 14)
NORFOLK SOUTHERN CORPORATION CMN (655844108)	04/24/2013	06/27/2013	60 00	4,435 03	0 00	4,497 01	(61 98)
OLD REPUBLIC INTL CORP CMN (680223104)	04/24/2013	06/27/2013	316 00	4,034 77	0 00	4,146 39	(111.62)
ORACLE CORPORATION CMN (68389X105)	04/24/2013	06/27/2013	164 00	5,024 73	0 00	5,337 69	(312 96)
PEOPLES UNITED FINANCIAL INC CMN (712704105)	04/24/2013	06/27/2013	27 00	402 45	0 00	353 87	48 58
PEPSICO INC CMN (713448108)	04/24/2013	06/27/2013	240 00	19,648 09	0 00	19,753 44	(105.35)
PITNEY-BOWES INC CMN (724479100)	04/24/2013	06/27/2013	445 00	6,689 70	0 00	6,570 16	119 54
PPL CORPORATION CMN (69351T106)	04/24/2013	06/27/2013	20 00	596 97	0 00	656 10	(59 13)
PRICE T ROWE GROUP INC CMN (74144T108)	04/24/2013	06/27/2013	80 00	5,899 77	0 00	5,820 69	79.08
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (744573106)	04/24/2013	06/27/2013	40 00	1,317 84	0 00	1,436 72	(118 88)
SCHLUMBERGER LTD CMN (806857108)	04/24/2013	06/27/2013	180 00	12,979 66	0 00	13,175.19	(195.53)
SOUTHERN COPPER CORPORATION CMN (84265V105)	04/24/2013	06/27/2013	60 00	1,642 58	0 00	1,963.89	(321 31)
SPRINT CORPORATION CMN (852061100)	04/24/2013	06/27/2013	160 00	1,114 86	0 00	1,138.13	(23 27)
TEXAS INSTRUMENTS INC CMN (882508104)	04/24/2013	06/27/2013	360 00	12,633 73	0 00	12,972 06	(338.33)
THE SOUTHERN CO. CMN (842587107)	04/24/2013	06/27/2013	220 00	9,718 31	0.00	10,560 20	(841 89)
THE TRAVELERS COMPANIES, INC CMN (89417E109)	04/24/2013	06/27/2013	20 00	1,600 94	0 00	1,716.63	(115 69)
THE WILLIAMS COMPANIES, INC CMN (969457100)	04/24/2013	06/27/2013	320 00	10,479 39	0 00	12,278 63	(1,799 24)

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
UNION PACIFIC CORP CMN (907818108)	04/24/2013	06/27/2013	40 00	6,250 81	0 00	5,891 94	358.87
VALLEY NATIONAL BANCORP CMN (919794107)	04/24/2013	06/27/2013	1,060 00	9,930 75	0 00	9,945.98	(15 23)
WALGREEN CO CMN (931422109)	04/24/2013	06/27/2013	200 00	8,982 24	0 00	9,809 76	(827 52)
WASTE MANAGEMENT INC CMN (94106L109)	04/24/2013	06/27/2013	240 00	9,653 88	0 00	9,487.87	166 01
WHIRLPOOL CORP CMN (963320106)	04/24/2013	06/27/2013	20 00	2,268 83	0 00	2,381 63	(112 80)
WINDSTREAM CORPORATION CMN (97381W104)	04/24/2013	06/27/2013	1,220 00	9,498 26	0.00	10,467 23	(968 97)
XEROX CORPORATION CMN (984121103)	04/24/2013	06/27/2013	20 00	180 36	0 00	167 49	12 87
ABBOTT LABORATORIES CMN (002824100)	06/27/2013	08/28/2013	153 00	5,176 21	0 00	5,466 92	(290 71)
ABBVIE INC CMN (00287Y109)	06/27/2013	08/28/2013	32 00	1,365 46	0 00	1,385 89	(20.43)
ACCENTURE PLC CMN (G1151C101)	04/24/2013	08/28/2013	140 00	10,134 25	0 00	10,889 45	(755 20)
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/27/2013	08/28/2013	264 00	11,362 62	0.00	11,865 17	(502 55)
AT&T INC CMN (00206R102)	06/27/2013	08/28/2013	564 00	18,993 37	0 00	20,155 00	(1,161 63)
BAXTER INTERNATIONAL INC CMN (071813109)	06/27/2013	08/28/2013	29 00	2,048 71	0 00	2,040 19	8 52
BIOGEN IDEC INC CMN (09062X103)	04/24/2013	08/28/2013	10 00	2,100 75	0 00	2,064 11	36.64
CISCO SYSTEMS, INC CMN (17275R102)	06/27/2013	08/28/2013	337 00	7,902 71	0 00	8,291 55	(388 84)
CLOROX CO (THE) (DELAWARE) CMN (189054109)	06/27/2013	08/28/2013	12 00	984 77	0 00	1,007 06	(22 29)
COCA-COLA COMPANY (THE) CMN (191216100)	06/27/2013	08/28/2013	224 00	8,598 20	0 00	9,081 46	(483 26)
COLGATE-PALMOLIVE CO CMN (194162103)	06/27/2013	08/28/2013	48 00	2,752 44	0 00	2,759 59	(7 15)
CONAGRA INC CMN (205887102)	04/24/2013	08/28/2013	20 00	679 84	0 00	709 46	(29.62)
CONAGRA INC CMN (205887102)	06/27/2013	08/28/2013	125 00	4,248 98	0 00	4,295 19	(46 21)
CONSOLIDATED EDISON INC CMN (209115104)	06/27/2013	08/28/2013	95 00	5,360 21	0 00	5,536 81	(176 60)
DARDEN RESTAURANTS INC CMN (237194105)	06/27/2013	08/28/2013	169 00	7,865 29	0 00	8,448 15	(582 86)
DIEBOLD INCORPORATED CMN (253651103)	04/24/2013	08/28/2013	60 00	1,740 18	0 00	1,781 49	(41 31)
EATON CORP PLC CMN (G29183103)	06/27/2013	08/28/2013	54 00	3,454 21	0 00	3,489 67	(35 46)
ENTERGY CORPORATION CMN (29364G103)	04/24/2013	08/28/2013	20 00	1,246 72	0 00	1,400 43	(153.71)

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ENTERGY CORPORATION CMN (29364G103)	06/27/2013	08/28/2013	47 00	2,929 78	0 00	3,313 57	(383.79)
EXXON MOBIL CORPORATION CMN (30231G102)	04/24/2013	08/28/2013	121 00	10,716 56	0 00	10,823 44	(106 88)
FEDERATED INVESTORS, INC CMN CLASS B (314211103)	06/27/2013	08/28/2013	29 00	796 97	0 00	796 96	0 01
FIRSTENERGY CORP CMN (337932107)	04/24/2013	08/28/2013	120 00	4,519 86	0 00	5,540 58	(1,020 72)
GENTEX CORP CMN (371901109)	06/27/2013	08/28/2013	19 00	429 74	0 00	434 18	(4 44)
GOOGLE, INC CMN CLASS A (38259P508)	06/27/2013	08/28/2013	2 00	1,703 35	0 00	1,756.98	(53 63)
HARSCO CORPORATION CMN (415864107)	06/27/2013	08/28/2013	120 00	2,814 92	0 00	2,752 82	62 10
HELMERICH & PAYNE INC CMN (423452101)	06/27/2013	08/28/2013	96 00	6,153 24	0 00	6,065 42	87 82
INTEL CORPORATION CMN (458140100)	06/27/2013	08/28/2013	619 00	13,829 14	0 00	14,969 90	(1,140 76)
INTERNATIONAL PAPER CO CMN (460146103)	04/24/2013	08/28/2013	80 00	3,739 31	0 00	3,882.24	(142 93)
INTL BUSINESS MACHINES CORP CMN (459200101)	06/27/2013	08/28/2013	17 00	3,093 81	0 00	3,333 22	(239 41)
INVESCO LTD CMN (G491BT108)	06/27/2013	08/28/2013	154 00	4,705 04	0 00	4,991 20	(286 16)
JOHNSON & JOHNSON CMN (478160104)	04/24/2013	08/28/2013	72 00	6,227 16	0 00	6,074 04	153 12
JPMORGAN CHASE & CO CMN (46625H100)	06/27/2013	08/28/2013	218 00	11,057 11	0 00	11,614 56	(557 45)
KINDER MORGAN INC CMN CLASS P (49456B101)	04/24/2013	08/28/2013	40 00	1,486 68	0 00	1,598.41	(111 73)
KINDER MORGAN INC CMN CLASS P (49456B101)	06/27/2013	08/28/2013	108 00	4,014 03	0 00	4,112 16	(98 13)
KRAFT FOODS GROUP, INC CMN (50076Q106)	06/27/2013	08/28/2013	38 00	1,958 41	0 00	2,073 96	(115 55)
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	06/27/2013	08/28/2013	49 00	3,397 30	0 00	3,318 43	78 87
MASCO CORPORATION CMN (574599106)	06/27/2013	08/28/2013	55 00	1,024 04	0 00	1,070 93	(46 89)
MICROSOFT CORPORATION CMN (594918104)	04/24/2013	08/28/2013	233 00	7,742 38	0 00	7,420 14	322.24
PBF ENERGY INC CMN (69318G106)	06/27/2013	08/28/2013	49 00	1,068 34	0 00	1,218 98	(150 64)
PEOPLES UNITED FINANCIAL INC CMN (712704105)	04/24/2013	08/28/2013	26 00	371 66	0 00	340 76	30 90
PFIZER INC CMN (717081103)	06/27/2013	08/28/2013	388.00	10,955 49	0 00	11,029 02	(73 53)
PHILIP MORRIS INTL INC CMN (718172109)	06/27/2013	08/28/2013	68 00	5,662 75	0 00	6,028 98	(366 23)
PHILLIPS 66 CMN (718546104)	06/27/2013	08/28/2013	40 00	2,324 13	0 00	2,412 86	(88 73)

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently *You should consult with your own tax advisor to determine your correct basis*

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
PNC FINANCIAL SERVICES GROUP CMN (693475105)	06/27/2013	08/28/2013	14 00	1,023 08	0 00	1,032 52	(9 44)
PULTEGROUP INC CMN (745867101)	06/27/2013	08/28/2013	159 00	2,443 21	0 00	3,055 71	(612 50)
ROYAL GOLD, INC CMN (780287108)	06/27/2013	08/28/2013	7 00	420 19	0 00	278.54	141 65
SYSCO CORPORATION CMN (871829107)	06/27/2013	08/28/2013	184 00	5,801 77	0 00	6,309 22	(507 45)
TECO ENERGY INC CMN (872375100)	06/27/2013	08/28/2013	591 00	9,822 24	0 00	10,102 85	(280 61)
THE HOME DEPOT, INC CMN (437076102)	04/24/2013	08/28/2013	89 00	6,679 00	0 00	6,525 21	153 79
THOMSON REUTERS CORPORATION CMN (884903105)	04/24/2013	08/28/2013	120 00	3,987 77	0 00	3,937 92	49 85
UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK (911312106)	06/27/2013	08/28/2013	101 00	8,668 04	0 00	8,783 11	(115 07)
VALERO ENERGY CORPORATION CMN (91913Y100)	04/24/2013	08/28/2013	40 00	1,425 23	0 00	1,541 23	(116 00)
VALERO ENERGY CORPORATION CMN (91913Y100)	06/27/2013	08/28/2013	55 00	1,959 69	0 00	1,959 18	0 51
WAL MART STORES INC CMN (931142103)	06/27/2013	08/28/2013	146 00	10,583 29	0 00	11,045 70	(462 41)
ACTAVIS PLC CMN (G0083B108)	04/24/2013	10/01/2013	0 20	28 09	0 00	0 00	28 09
NYSE EURONEXT CMN (629491101)	04/24/2013	11/15/2013	20 00	225 40	0 00	163 60	61 80
MOLEX INC CLASS-A CMN CLASS A (608554200)	08/28/2013	12/09/2013	149 00	5,763 32	0 00	3,674 77	2,088 55
ARIAD PHARMACEUTICALS INC CMN (04033A100)	04/24/2013	12/19/2013	20 00	103 75	0 00	354 93	(251 18)
BAXTER INTERNATIONAL INC CMN (071813109)	06/27/2013	12/19/2013	128 00	8,544 18	0 00	9,005 00	(460 82)
CENTURYLINK INC CMN (156700106)	08/28/2013	12/19/2013	360 00	11,195 19	0 00	11,830 32	(635 13)
CHEVRON CORPORATION CMN (166764100)	06/27/2013	12/19/2013	46 00	5,651 24	0 00	5,473 47	177 77
COACH INC CMN (189754104)	06/27/2013	12/19/2013	33 00	1,829 99	0 00	1,860.55	(30 56)
EBAY INC CMN (278642103)	06/27/2013	12/19/2013	10 00	529 15	0 00	520 12	9 03
FRONTIER COMMUNICATIONS CORPORATION CMN (35906A108)	08/28/2013	12/19/2013	510 00	2,403 47	0 00	2,179 43	224 04
GENERAL MILLS INC CMN (370334104)	06/27/2013	12/19/2013	64 00	3,138 41	0 00	3,104 74	33 67
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	04/24/2013	12/19/2013	3.00	670 84	0 00	589.83	81.01
MC DONALDS CORP CMN (580135101)	06/27/2013	12/19/2013	54 00	5,153 16	0 00	5,369.30	(216 14)
MC DONALDS CORP CMN (580135101)	08/28/2013	12/19/2013	57 00	5,439 45	0 00	5,478.88	(39 43)

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MERCK & CO , INC CMN (58933Y105)	08/28/2013	12/19/2013	122 00	5,944 11	0 00	5,753 20	190 91
ORACLE CORPORATION CMN (68389X105)	04/24/2013	12/19/2013	31 00	1,128 85	0.00	1,008 95	119 90
PEPCO HOLDINGS INC CMN (713291102)	08/28/2013	12/19/2013	446 00	8,296 47	0 00	8,530 87	(234 40)
PEPSICO INC CMN (713448108)	08/28/2013	12/19/2013	125 00	10,184 86	0 00	9,932 03	252 83
PPL CORPORATION CMN (69351T106)	08/28/2013	12/19/2013	324 00	9,492 31	0 00	10,049 93	(557 62)
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (744573106)	08/28/2013	12/19/2013	137.00	4,335 60	0 00	4,480.69	(145 09)
REYNOLDS AMERICAN INC CMN (761713106)	04/24/2013	12/19/2013	18 00	878 49	0 00	828 70	49 79
SCHLUMBERGER LTD CMN (806857108)	08/28/2013	12/19/2013	8 00	691 92	0 00	661 85	30 07
SEADRILL LTD CMN (G7945E105)	08/28/2013	12/19/2013	233 00	9,004 24	0 00	10,576 85	(1,572 61)
THE WILLIAMS COMPANIES, INC CMN (969457100)	08/28/2013	12/19/2013	299 00	10,978 78	0 00	10,852 44	126 34
UNION PACIFIC CORP CMN (907818108)	08/28/2013	12/19/2013	7.00	1,143 80	0 00	1,076.23	67 57
VERIZON COMMUNICATIONS INC CMN (92343V104)	06/27/2013	12/19/2013	164 00	7,933 42	0 00	8,385 21	(451 79)
Net Covered Short-Term Gains (Losses)				**725,830.83**	**0.00**	**744,725.06**	**(18,894.23)**
NonCovered Short-Term Gains (Losses)							
AMERICAN TOWER CORPORATION CMN (03027X100)	04/24/2013	06/27/2013	40 00	2,972 68	0 00	3,239 02	(266 34)
PUBLIC STORAGE CMN (74460D109)	04/24/2013	06/27/2013	20 00	3,035 51	0 00	3,186 15	(150 64)
VENTAS, INC CMN (92276F100)	04/24/2013	06/27/2013	60 00	4,165 03	0 00	4,751 87	(586 84)
WEYERHAEUSER COMPANY CMN (962166104)	04/24/2013	06/27/2013	160 00	4,507 79	0 00	5,024 16	(516 37)
HCP, INC CMN (40414L109)	06/27/2013	08/28/2013	33 00	1,357 71	0 00	1,497 13	(139 42)
KIMCO REALTY CORPORATION CMN (49446R109)	06/27/2013	08/28/2013	49 00	989 90	0 00	1,046 78	(56 88)
PROLOGIS INC CMN (74340W103)	06/27/2013	08/28/2013	79 00	2,831 74	0 00	2,988 81	(157 07)
PROLOGIS INC CMN (74340W103)	04/24/2013	08/28/2013	80 00	2,867 58	0 00	3,417 95	(550 37)
REALTY INCOME CORPORATION CMN (756109104)	06/27/2013	08/28/2013	41 00	1,626 22	0 00	1,721 23	(95 01)
SIMON PROPERTY GROUP INC CMN (828806109)	06/27/2013	12/19/2013	39 00	5,994 43	0 00	6,225 25	(230 82)

---

[4] *Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis*

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
VENTAS, INC CMN (92276F100)	08/28/2013	12/19/2013	30 00	1,692 89	0 00	1,879 99	(187 10)
Net NonCovered Short-Term Gains (Losses)				**32,041.48**	**0.00**	**34,978.34**	**(2,936.86)**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
AMAZON COM INC CMN (023135106)	06/15/2011	04/24/2013	16 00[9]	4,306 28	0 00	3,014 26	1,292.02
AMAZON COM INC CMN (023135106)	06/15/2011	04/24/2013	24 00[9]	6,459 41	0 00	4,521 40	1,938 01
BERKSHIRE HATHAWAY INC CLASS B (084670702)	10/17/2011	04/24/2013	20 00[9]	2,133 52	0 00	1,466 61	666 91
COCA-COLA COMPANY (THE) CMN (191216100)	08/05/2011	04/24/2013	460 00[9]	19,384 74	0 00	15,257 55	4,127 19
CONSTELLATION BRANDS INC CMN CLASS A (21036P108)	09/29/2011	04/24/2013	60 00[9]	2,879 94	0 00	1,097 57	1,782 37
CONSTELLATION BRANDS INC CMN CLASS A (21036P108)	08/05/2011	04/24/2013	78 00[9]	3,743 92	0 00	1,423.73	2,320.19
EBAY INC CMN (278642103)	08/05/2011	04/24/2013	60 00[9]	3,178 56	0 00	1,776 58	1,401 98
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (65248E104)	08/05/2011	04/24/2013	144 00[9]	4,474 25	0 00	2,105.42	2,368 83
VERIZON COMMUNICATIONS INC CMN (92343V104)	08/05/2011	04/24/2013	100 00[9]	5,175 90	0 00	3,505 01	1,670 89
BANK OF AMERICA CORP CMN (060505104)	08/18/2011	06/27/2013	193.00[9]	2,490 97	0 00	1,345 87	1,145 10
BERKSHIRE HATHAWAY INC. CLASS B (084670702)	10/17/2011	06/27/2013	1 00[9]	113 49	0 00	73.33	40.16
CITIGROUP INC CMN (172967424)	09/29/2011	06/27/2013	99 00[9]	4,774 94	0 00	2,644 97	2,129 97
BERKSHIRE HATHAWAY INC CLASS B (084670702)	10/17/2011	08/28/2013	2 00[9]	224 91	0 00	146.66	78 25
Net Covered Long-Term Gains (Losses)				**59,340.83**	**0.00**	**38,378.96**	**20,961.87**
NonCovered Long-Term Gains (Losses)							
3M COMPANY CMN (88579Y101)	11/12/2008	04/24/2013	51 00[9]	5,496 07	0 00	3,051 37	2,444 70
3M COMPANY CMN (88579Y101)	07/16/2009	04/24/2013	59 00[9]	6,358 19	0 00	3,685.23	2,672 96

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
ABBOTT LABORATORIES CMN (002824100)	01/20/1993	04/24/2013	163 00 [9]	5,946 54	0 00	1,008 47	4,938 07
AETNA INC CMN (00817Y108)	11/12/2008	04/24/2013	39 00 [9]	2,222 11	0 00	856 99	1,365 12
AKAMAI TECHNOLOGIES INC CMN (00971T101)	11/12/2008	04/24/2013	33 00 [9]	1,188 25	0 00	435 88	752 37
ALLERGAN INC CMN (018490102)	11/12/2008	04/24/2013	16 00 [9]	1,776 26	0 00	582 94	1,193 32
ALLSTATE CORPORATION COMMON STOCK (020002101)	03/03/2009	04/24/2013	42 00 [9]	2,085 19	0 00	658 67	1,426 52
AMAZON COM INC CMN (023135106)	07/16/2009	04/24/2013	14 00 [9]	3,767 99	0 00	1,186 94	2,581 05
AMERICAN EXPRESS CO CMN (025816109)	01/01/1997	04/24/2013	142 00 [9]	9,576 80	0 00	965 01	8,611 79
AMERIPRISE FINANCIAL, INC CMN (03076C106)	01/01/1997	04/24/2013	82 00 [9]	5,956 40	0 00	401 36	5,555 04
ANADARKO PETROLEUM CORP CMN (032511107)	11/12/2008	04/24/2013	43 00 [9]	3,590 78	0 00	1,536 37	2,054 41
AT&T INC CMN (00206R102)	09/17/2009	04/24/2013	150 00 [9]	5,552 23	0 00	3,963 93	1,588.30
AT&T INC CMN (00206R102)	09/17/2009	04/24/2013	190 00 [9]	7,032 82	0 00	5,020 98	2,011 84
BAXTER INTERNATIONAL INC CMN (071813109)	07/01/2010	04/24/2013	43 00 [9]	2,985 23	0 00	1,752 34	1,232 89
BAXTER INTERNATIONAL INC CMN (071813109)	07/01/2010	04/24/2013	52 00 [9]	3,610 05	0 00	2,119 11	1,490 94
BROWN FORMAN CORP CL A CMN CLASS A (115637100)	01/01/1997	04/24/2013	13 00 [9]	921 99	0 00	1 10	920 89
CAMERON INTERNATIONAL CORP CMN (13342B105)	11/12/2008	04/24/2013	26 00 [9]	1,625 18	0 00	532 12	1,093 06
CELGENE CORPORATION CMN (151020104)	04/08/2009	04/24/2013	33 00 [9]	3,895 83	0 00	1,338 90	2,556 93
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	11/12/2008	04/24/2013	7 00 [9]	1,309 94	0 00	383 49	926 45
CHEVRON CORPORATION CMN (166764100)	12/23/2010	04/24/2013	80 00 [9]	9,459 04	0 00	7,249 42	2,209 62
CIGNA CORPORATION CMN (125509109)	03/03/2009	04/24/2013	33 00 [9]	2,156 78	0.00	444 63	1,712 15
CISCO SYSTEMS, INC CMN (17275R102)	01/01/1997	04/24/2013	642 00 [9]	13,085 14	0 00	1,056 64	12,028 50
COACH INC CMN (189754104)	04/08/2009	04/24/2013	26 00 [9]	1,465 54	0 00	457 38	1,008 16
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102)	11/12/2008	04/24/2013	23 00 [9]	1,425 17	0 00	390 08	1,035 09
COLGATE-PALMOLIVE CO CMN (194162103)	11/12/2008	04/24/2013	20 00 [9]	2,355 71	0 00	1,200 82	1,154 89
COLGATE-PALMOLIVE CO CMN (194162103)	09/17/2009	04/24/2013	30 00 [9]	3,533 57	0 00	2,259 34	1,274.23

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CORNING INCORPORATED CMN (219350105)	01/01/1997	04/24/2013	81 00[9]	1,117 65	0 00	0 30	1,117 35
COSTCO WHOLESALE CORPORATION CMN (22160K105)	01/01/1997	04/24/2013	64 00[9]	6,898 15	0 00	129 15	6,769 00
CVS CAREMARK CORPORATION CMN (126650100)	01/01/1997	04/24/2013	180 00[9]	10,362 09	0 00	59 82	10,302 27
DEERE & COMPANY CMN (244199105)	07/16/2009	04/24/2013	30 00[9]	2,552 59	0 00	1,170 05	1,382 54
EBAY INC CMN (278642103)	12/23/2010	04/24/2013	54 00[9]	2,860 70	0 00	1,527 66	1,333 04
EMC CORPORATION MASS CMN (268648102)	03/03/2009	04/24/2013	128 00[9]	2,878 83	0 00	1,306 32	1,572 51
EOG RESOURCES INC CMN (26875P101)	05/13/2009	04/24/2013	24 00[9]	2,881 10	0 00	1,724 68	1,156 42
EOG RESOURCES INC CMN (26875P101)	05/13/2009	04/24/2013	30 00[9]	3,601 37	0 00	2,155 85	1,445 52
EQUITY RESIDENTIAL CMN (29476L107)	03/03/2009	04/24/2013	30 00[9]	1,727 31	0 00	506 11	1,221 20
EXPRESS SCRIPTS HOLDINGS CMN (30219G108)	05/13/2009	04/24/2013	100 00[9]	5,667 81	0 00	3,049 33	2,618 48
FEDEX CORP CMN (31428X106)	01/01/1997	04/24/2013	68 00[9]	6,432 46	0 00	33 08	6,399 38
FIFTH THIRD BANCORP CMN (316773100)	01/01/1997	04/24/2013	69 00[9]	1,152 17	0 00	25 96	1,126 21
FRANKLIN RESOURCES INC CMN (354613101)	03/03/2009	04/24/2013	14 00[9]	2,132 83	0 00	611 22	1,521 61
FRANKLIN RESOURCES INC CMN (354613101)	07/16/2009	04/24/2013	16 00[9]	2,437 52	0 00	1,137 30	1,300 22
FRANKLIN RESOURCES INC CMN (354613101)	07/16/2009	04/24/2013	24 00[9]	3,656 28	0 00	1,705 96	1,950 32
GENERAL ELECTRIC CO CMN (369604103)	11/12/2008	04/24/2013	340 00[9]	7,477 45	0 00	5,572 72	1,904 73
GILEAD SCIENCES CMN (375558103)	12/23/2010	04/24/2013	64 00[9]	3,235 97	0 00	1,159 82	2,076 15
GILEAD SCIENCES CMN (375558103)	12/23/2010	04/24/2013	78 00[9]	3,943 84	0 00	1,413 54	2,530 30
GOOGLE, INC CMN CLASS A (38259P508)	05/13/2009	04/24/2013	6 00[9]	4,880 27	0 00	2,378 23	2,502 04
GOOGLE, INC CMN CLASS A (38259P508)	03/03/2009	04/24/2013	10 00[9]	8,133 78	0 00	3,264 97	4,868 81
HALLIBURTON COMPANY CMN (406216101)	03/03/2009	04/24/2013	102 00[9]	4,196 93	0 00	1,548 70	2,648 23
INGERSOLL-RAND PLC CMN (G47791101)	05/13/2009	04/24/2013	30 00[9]	1,623 81	0 00	593 20	1,030 61
INTEL CORPORATION CMN (458140100)	01/01/1997	04/24/2013	568 00[9]	13,450 33	0.00	1 19	13,449 14
INTERPUBLIC GROUP COS CMN (460690100)	01/01/1997	04/24/2013	95 00[9]	1,327 93	0 00	26 02	1,301 91

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
INTL BUSINESS MACHINES CORP CMN (459200101)	11/05/2009	04/24/2013	13 00[9]	2,492 79	0 00	1,597 34	895 45
INTL BUSINESS MACHINES CORP CMN (459200101)	07/01/2010	04/24/2013	20 00[9]	3,835 06	0 00	2,464 10	1,370 96
INTL BUSINESS MACHINES CORP CMN (459200101)	08/11/2008	04/24/2013	20 00[9]	3,835 06	0 00	2,543 84	1,291 22
INTL BUSINESS MACHINES CORP CMN (459200101)	11/05/2009	04/24/2013	67 00[9]	12,847 43	0 00	8,232 44	4,614 99
INTUITIVE SURGICAL, INC CMN (46120E602)	04/08/2009	04/24/2013	3 00[9]	1,429 91	0 00	301 99	1,127 92
JPMORGAN CHASE & CO CMN (46625H100)	01/01/1997	04/24/2013	280 00[9]	13,641 36	0 00	2,978 25	10,663 11
KANSAS CITY SOUTHERN CMN (485170302)	01/01/1997	04/24/2013	49 00[9]	5,229 09	0 00	9 73	5,219 36
LINCOLN ELECTRIC HOLDINGS INC CMN (533900106)	01/01/1997	04/24/2013	64 00[9]	3,338 06	0 00	88 47	3,249 59
LOWES COMPANIES INC CMN (548661107)	05/13/2009	04/24/2013	77 00[9]	2,903 46	0 00	1,462 73	1,440 73
LOWES COMPANIES INC CMN (548661107)	11/12/2008	04/24/2013	115 00[9]	4,336 34	0 00	2,124 51	2,211.83
MARATHON PETROLEUM CORPORATION CMN (56585A102)	05/13/2009	04/24/2013	27 00[9]	2,230 92	0 00	624 46	1,606 46
MARATHON PETROLEUM CORPORATION CMN (56585A102)	05/13/2009	04/24/2013	33 00[9]	2,726 67	0 00	763 22	1,963 45
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	12/23/2010	04/24/2013	5 00[9]	2,660 48	0 00	1,090 41	1,570 07
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	12/23/2010	04/24/2013	7 00[9]	3,724 67	0 00	1,526 57	2,198 10
MC DONALDS CORP CMN (580135101)	11/05/2009	04/24/2013	76 00[9]	7,673 99	0 00	4,651.34	3,022.65
MC DONALDS CORP CMN (580135101)	11/05/2009	04/24/2013	92 00[9]	9,289 57	0 00	5,630 58	3,658 99
MERCK & CO , INC CMN (58933Y105)	01/01/1997	04/24/2013	54 00[9]	2,588 78	0 00	516 21	2,072 57
MERCK & CO , INC CMN (58933Y105)	08/11/2008	04/24/2013	119 00[9]	5,704 91	0 00	2,104 63	3,600 28
MERCK & CO., INC CMN (58933Y105)	03/03/2009	04/24/2013	127 00[9]	6,088 43	0 00	1,348 90	4,739.53
MOLEX INC CLASS-A CMN CLASS A (608554200)	01/01/1997	04/24/2013	171 00[9]	3,845 00	0 00	1 12	3,843 88
MONSANTO COMPANY CMN (61166W101)	05/19/2010	04/24/2013	24 00[9]	2,499 50	0 00	1,329 80	1,169 70
MOODYS CORP CMN (615369105)	07/01/2010	04/24/2013	39 00[9]	2,123 44	0 00	771 02	1,352.42
MOTOROLA SOLUTIONS INC CMN (620076307)	11/12/2008	04/24/2013	30 00[9]	1,680 47	0 00	480 40	1,200 07
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN (637071101)	03/03/2009	04/24/2013	56 00[9]	3,757 99	0 00	1,377 89	2,380 10

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NETAPP, INC CMN (64110D104)	11/12/2008	04/24/2013	43 00[9]	1,498 67	0 00	467 59	1,031 08
NEWELL RUBBERMAID INC CMN (651229106)	01/01/1997	04/24/2013	71 00[9]	1,884 89	0 00	13.98	1,870 91
NIKE CLASS-B CMN CLASS B (654106103)	11/12/2008	04/24/2013	42 00[9]	2,582 88	0.00	934 11	1,648 77
NOBLE ENERGY INC CMN (655044105)	11/12/2008	04/24/2013	16 00[9]	1,800 41	0 00	747 52	1,052 89
NORTHROP GRUMMAN CORP CMN (666807102)	01/01/1997	04/24/2013	73 00[9]	5,379 24	0 00	102 01	5,277 23
NUCOR CORPORATION CMN (670346105)	01/01/1997	04/24/2013	69 00[9]	3,014 10	0 00	5 56	3,008 54
OGE ENERGY CORP (HOLDING CO) CMN (670837103)	01/01/1997	04/24/2013	86 00[9]	6,135 83	0 00	527 77	5,608 06
OMNICOM GROUP CMN (681919106)	01/01/1997	04/24/2013	53 00[9]	3,169 24	0 00	88 00	3,081 24
PACCAR INC CMN (693718108)	01/01/1997	04/24/2013	114 00[9]	5,582 41	0 00	6 62	5,575 79
PFIZER INC CMN (717081103)	09/02/2010	04/24/2013	95 00[9]	2,907 99	0 00	1,554 78	1,353.21
PFIZER INC CMN (717081103)	03/21/1996	04/24/2013	320 00[9]	9,795 33	0 00	3,493 15	6,302 18
PFIZER INC CMN (717081103)	09/02/2010	04/24/2013	385 00[9]	11,785 01	0 00	6,300 95	5,484 06
PHILLIPS 66 CMN (718546104)	01/01/1997	04/24/2013	102 00[9]	6,304 12	0 00	18 22	6,285 90
PNC FINANCIAL SERVICES GROUP CMN (693475105)	01/01/1997	04/24/2013	48 00[9]	3,282 57	0 00	338 04	2,944 53
PRAXAIR, INC CMN SERIES (74005P104)	11/12/2008	04/24/2013	21 00[9]	2,368 22	0 00	1,212.02	1,156 20
PRICELINE COM INC CMN (741503403)	09/02/2010	04/24/2013	3 00[9]	2,102 47	0 00	921 00	1,181 47
PRICELINE COM INC CMN (741503403)	09/02/2010	04/24/2013	3 00[9]	2,102 47	0 00	921 01	1,181 46
QUALCOMM INC CMN (747525103)	09/02/2010	04/24/2013	105 00[9]	6,948 23	0 00	4,186 62	2,761 61
QUALCOMM INC CMN (747525103)	09/02/2010	04/24/2013	112 00[9]	7,411.45	0 00	4,465 73	2,945 72
SERVICE CORP INTERNATL CMN (817565104)	01/01/1997	04/24/2013	131 00[9]	2,086 58	0 00	19 83	2,066 75
SIMON PROPERTY GROUP INC CMN (828806109)	05/13/2009	04/24/2013	12 00[9]	2,116 37	0 00	593 18	1,523 19
SIMON PROPERTY GROUP INC CMN (828806109)	03/03/2009	04/24/2013	24 00[9]	4,232 75	0 00	705 57	3,527 18
SOUTHWEST AIRLINES CO CMN (844741108)	01/01/1997	04/24/2013	192 00[9]	2,574 64	0 00	92 65	2,481 99
ST JUDE MEDICAL INC CMN (790849103)	01/01/1997	04/24/2013	80 00[9]	3,281 40	0 00	3.11	3,278 29

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
STATE STREET CORPORATION (NEW) CMN (857477103)	03/03/2009	04/24/2013	49 00[9]	2,852 95	0 00	1,059 81	1,793 14
SYSCO CORPORATION CMN (871829107)	01/01/1997	04/24/2013	138 00[9]	4,788 57	0 00	302 70	4,485 87
TARGET CORPORATION CMN (87612E106)	01/01/1997	04/24/2013	115 00[9]	8,013 61	0 00	7 57	8,006 04
THERMO FISHER SCIENTIFIC INC CMN (883556102)	03/03/2009	04/24/2013	33 00[9]	2,678 11	0 00	1,153 89	1,524 22
TIME WARNER CABLE INC CMN (88732J207)	01/01/1997	04/24/2013	41 00[9]	3,803 01	0 00	7.62	3,795 39
TIME WARNER INC CMN (887317303)	01/01/1997	04/24/2013	162 00[9]	9,654 51	0 00	21.41	9,633 10
TJX COMPANIES INC (NEW) CMN (872540109)	03/03/2009	04/24/2013	33 00[9]	1,563 71	0 00	355 16	1,208 55
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (65248E104)	12/23/2010	04/24/2013	95 00[9]	2,951 76	0 00	1,405 92	1,545 84
U S BANCORP CMN (902973304)	01/01/1997	04/24/2013	181 00[9]	6,000 41	0 00	215 22	5,785 19
UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK (911312106)	11/12/2008	04/24/2013	43 00[9]	3,591 51	0 00	2,206 96	1,384 55
UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK (911312106)	11/12/2008	04/24/2013	52 00[9]	4,343 22	0 00	2,668 89	1,674 33
UNITED TECHNOLOGIES CORP CMN (913017109)	01/01/1997	04/24/2013	120 00[9]	11,035 97	0 00	701 18	10,334 79
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	01/01/1997	04/24/2013	146 00[9]	8,579 04	0 00	21 85	8,557.19
VERIZON COMMUNICATIONS INC CMN (92343V104)	09/02/2010	04/24/2013	61 00[9]	3,157 30	0 00	1,833.86	1,323 44
VERIZON COMMUNICATIONS INC CMN (92343V104)	09/02/2010	04/24/2013	119 00[9]	6,159 33	0 00	3,577 54	2,581 79
VISA INC CMN CLASS A (92826C839)	12/23/2010	04/24/2013	11 00[9]	1,837 59	0 00	756 16	1,081 43
VISA INC CMN CLASS A (92826C839)	07/01/2010	04/24/2013	28 00[9]	4,677 50	0 00	2,012 99	2,664 51
VISA INC CMN CLASS A (92826C839)	07/01/2010	04/24/2013	32 00[9]	5,345 72	0 00	2,300 56	3,045 16
VULCAN MATERIALS CO CMN (929160109)	01/01/1997	04/24/2013	29 00[9]	1,392 50	0 00	11.62	1,380 88
W W GRAINGER INCORPORATED CMN (384802104)	06/23/2009	04/24/2013	10.00[9]	2,461 82	0 00	775 17	1,686 65
WABCO HOLDINGS INC CMN (92927K102)	01/01/1997	04/24/2013	33 00[9]	2,258 74	0 00	39 35	2,219 39
WAL MART STORES INC CMN (931142103)	06/23/2009	04/24/2013	90 00[9]	7,018 58	0 00	4,368.47	2,650 11
WAL MART STORES INC CMN (931142103)	09/02/2010	04/24/2013	95 00[9]	7,408 50	0 00	4,900 48	2,508.02
WALT DISNEY COMPANY (THE) CMN (254687106)	01/01/1997	04/24/2013	223 00[9]	13,810 24	0 00	223 57	13,586 67

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
WYNDHAM WORLDWIDE CORP CMN (98310W108)	03/03/2009	04/24/2013	20 00 [9]	1,230 94	0 00	62 02	1,168 92
XL GROUP PLC CMN (G98290102)	11/12/2008	04/24/2013	46 00 [9]	1,454 41	0 00	290 68	1,163 73
YUM BRANDS, INC CMN (988498101)	01/01/1997	04/24/2013	120 00 [9]	8,244 94	0 00	9 21	8,235 73
AMERICAN TOWER CORPORATION CMN (03027X100)	07/16/2009	06/27/2013	30 00 [9]	2,229 51	0 00	980 45	1,249 06
BANK OF AMERICA CORP CMN (060505104)	03/03/2009	06/27/2013	126 00 [9]	1,626 23	0 00	478 40	1,147 83
CENTURYLINK INC CMN (156700106)	07/16/2009	06/27/2013	117 00 [9]	4,134 81	0 00	3,467 68	667 13
CSX CORPORATION CMN (126408103)	05/13/2009	06/27/2013	88 00 [9]	2,056 39	0 00	811 40	1,244 99
DISCOVER FINANCIAL SERVICES CMN (254709108)	07/16/2009	06/27/2013	72 00 [9]	3,404 71	0 00	779 67	2,625 04
DOW CHEMICAL CO CMN (260543103)	05/13/2009	06/27/2013	88 00 [9]	2,855 19	0 00	1,390 09	1,465 10
ELI LILLY & CO CMN (532457108)	09/17/2009	06/27/2013	76 00 [9]	3,793 59	0 00	2,490.22	1,303 37
ELI LILLY & CO CMN (532457108)	09/17/2009	06/27/2013	92 00 [9]	4,592 24	0 00	3,014 47	1,577 77
EMERSON ELECTRIC CO CMN (291011104)	11/12/2008	06/27/2013	49 00 [9]	2,721 54	0 00	1,550 56	1,170 98
FORD MOTOR COMPANY CMN (345370860)	05/13/2009	06/27/2013	298 00 [9]	4,630 48	0 00	1,447 09	3,183 39
GENUINE PARTS CO CMN (372460105)	01/01/1997	06/27/2013	34 00 [9]	2,622 32	0 00	0 67	2,621 65
HONEYWELL INTL INC CMN (438516106)	03/03/2009	06/27/2013	42.00 [9]	3,367 44	0 00	1,050.87	2,316 57
MACY'S INC CMN (55616P104)	03/03/2009	06/27/2013	30 00 [9]	1,443 83	0 00	218 15	1,225 68
MARATHON OIL CORPORATION CMN (565849106)	05/13/2009	06/27/2013	66 00 [9]	2,343 51	0 00	1,174.58	1,168 93
MEDTRONIC INC CMN (585055106)	01/01/1997	06/27/2013	137 00 [9]	7,127 01	0 00	1 64	7,125 37
MONDELEZ INTERNATIONAL, INC CMN (609207105)	07/16/2009	06/27/2013	103 00 [9]	2,994 64	0 00	1,841 80	1,152 84
MONDELEZ INTERNATIONAL, INC CMN (609207105)	11/12/2008	06/27/2013	107 00 [9]	3,110 93	0 00	1,889 07	1,221 86
THE SOUTHERN CO CMN (842587107)	05/13/2009	06/27/2013	56 00 [9]	2,473 75	0 00	1,607 22	866 53
UNION PACIFIC CORP CMN (907818108)	11/05/2009	06/27/2013	20 00 [9]	3,125 40	0 00	1,212 23	1,913 17
Net NonCovered Long-Term Gains (Losses)				**620,806.63**	**0.00**	**202,075.78**	**418,730.85**

---

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

[9] This position is a gifted security

Goldman Sachs

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

Investment Management, Trust & Custody Accounts
Realized Gain/(Loss) Detail By Account

As of Close of Business 02/13/2014

Date Range: From 01/01/2013 -To- 12/31/2013

Account/Security Acquisition Date/Liquidation Date(TD)/(SD)	CUSIP	Quantity	Acquisition Cost	Liquidation Price	Liquidation Amount	Realized Short Term Gain/(Loss)	Realized Long Term Gain/(Loss)
Total			**(5,558,282.14)**		**5,865,588.48**	**(80,574.75)**	**387,881.09**
AON PLC COM ISIN GB00B5BT0K07 UNITED KINGDOM(AON)	G0408V102	(2,365)	(116,074 20)		152,719 81	0 00	36,645 61
03/17/2009-06/19/2013 (TD)-06/24/2013 (SD)		(2,365)	(116,074 20)	64 60	152,719 81	0 00	36,645 61
AMERICAN TOWER CORP COM(AMT)	03027X100	(1,410)	(104,067 63)		104,195 92	128 29	0 00
06/26/2013-07/24/2013 (TD)-07/29/2013 (SD)		(459)	(33,922 44)	73 93	33,919 10	(3 34)	0 00
06/26/2013-07/24/2013 (TD)-07/29/2013 (SD)		(951)	(70,145 19)	73 93	70,276 82	131 63	0 00
BARRICK GOLD CORP COM CANADA(ABX)	067901108	(3,000)	(123,495 00)		50,433 62	0 00	(73,061 38)
12/10/2009-08/01/2013 (TD)-08/06/2013 (SD)		(3,000)	(123,495 00)	16 84	50,433 62	0 00	(73,061 38)
CIT GROUP INC NEW COM(CITGQ)	125581108		0 00		1,421 64	0 00	1,421 64
N/A -10/09/2013 (TD)-10/09/2013 (SD)			0 00	0 00	1,421 64	0 00	1,421 64
CVS CAREMARK CORP COM(CVS)	126650100	(500)	(20,123 70)		28,383 00	0 00	8,259 30
02/26/2008-10/03/2013 (TD)-10/08/2013 (SD)		(500)	(20,123 70)	56 79	28,383 00	0 00	8,259 30
COCA COLA CO COM(KO)	191216100	(3,400)	(76,425 00)		126,698 79	0 00	50,273 79
01/01/1951-10/03/2013 (TD)-10/08/2013 (SD)		(3,400)	(76,425 00)	37 29	126,698 79	0 00	50,273 79
COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES(GSFT X)	19765N245	(12,398 978)	(211,774 54)		223,483 06	7,067 42	4,641 10
N/A -12/17/2013 (TD)-12/17/2013 (SD)			0 00	0 24	4,641 10	0 00	4,641 10
06/19/2013-10/21/2013 (TD)-10/22/2013 (SD)		(12,398 978)	(211,774 54)	17 65	218,841 96	7,067 42	0 00
CONOCOPHILLIPS AUSTRALIA FDG CO GTD NT DTD 04/11/06 5 500% DUE 04/15/13(ZZZZ13)	20825RAB7	(250,000)	(249,874 41)		250,795 00	0 00	920 59
11/04/2008-03/19/2013 (TD)-03/22/2013 (SD)		(250,000)	(249,874 41)	100 32	250,795 00	0 00	920 59

Investment Management, Trust & Custody Accounts Realized Gain/(Loss) Detail By Account

As of Close of Business 02/13/2014

Date Range: From 01/01/2013 -To- 12/31/2013

Account/Security Acquisition Date/Liquidation Date(TD)/(SD)	CUSIP	Quantity	Acquisition Cost	Liquidation Price	Liquidation Amount	Realized Short Term Gain/(Loss)	Realized Long Term Gain/(Loss)
CONSOLIDATED EDISON INC COM(ED)	209115104	(3,000)	(114,455 34)		170,932 21	0 00	56,476 87
03/17/2009-10/08/2013 (TD)-10/09/2013 (SD)		(800)	(29,118 00)	55 90	44,695 22	0 00	15,577 22
12/09/2008-10/08/2013 (TD)-10/09/2013 (SD)		(840)	(32,583 35)	55 90	46,929 98	0 00	14,346 63
12/09/2008-06/19/2013 (TD)-06/24/2013 (SD)		(1,360)	(52,753 99)	58 34	79,307 01	0 00	26,553 02
EMC CORP COM(EMC)	268648102	(10,000)	(157,319 70)		234,697 74	0 00	77,378 04
03/20/2008-01/29/2013 (TD)-02/01/2013 (SD)		(3,000)	(43,012 50)	23 50	70,409 32	0 00	27,396 82
01/30/2008-01/29/2013 (TD)-02/01/2013 (SD)		(7,000)	(114,307 20)	23 50	164,288 42	0 00	49,981 22
FAMILY DLR STORES INC COM(FDO)	307000109	(2,450)	(160,112 41)		139,158 84	(20,953 57)	0 00
05/21/2012-01/04/2013 (TD)-01/09/2013 (SD)		(2,450)	(160,112 41)	56 83	139,158 84	(20,953 57)	0 00
FIRSTENERGY CORP COM(FE)	337932107	(4,000)	(149,811 20)		151,904 55	0 00	2,093 35
03/17/2009-06/19/2013 (TD)-06/24/2013 (SD)		(4,000)	(149,811 20)	38 00	151,904 55	0 00	2,093 35
FRANKLIN RES INC COM(BEN)	354613101	(2,500)	(111,509 88)		173,327 67	0 00	61,817 79
03/20/2008-10/08/2013 (TD)-10/09/2013 (SD)		(2,000)	(63,719 93)	49 74	99,420 46	0 00	35,700 53
03/20/2008-06/19/2013 (TD)-06/24/2013 (SD)		(500)	(47,789 95)	147 84	73,907 21	0 00	26,117 26
GENERAL ELEC CO COM(GE)	369604103	(6,000)	(166,365 00)		161,154 79	0 00	(5,210 21)
09/11/2008-11/13/2013 (TD)-11/18/2013 (SD)		(6,000)	(166,365 00)	26 88	161,154 79	0 00	(5,210 21)
ISHARES INTERMEDIATE CR BD ETF(CIU)	464288638	(3,500)	(355,940 00)		373,689 90	0 00	17,749 90
12/24/2009-09/16/2013 (TD)-09/19/2013 (SD)		(3,000)	(309,720 00)	106 80	320,305 63	0 00	10,585 63
03/17/2009-09/16/2013 (TD)-09/19/2013 (SD)		(500)	(46,220 00)	106 80	53,384 27	0 00	7,164 27
ISHARES MSCI EAFE GROWTH ETF(EFG)	464288885	(2,950)	(188,315 85)		203,234 35	14,918 50	0 00
06/19/2013-11/13/2013 (TD)-11/18/2013 (SD)		(1,000)	(64,617 60)	68 92	68,893 00	4,275 40	0 00

Investment Management, Trust & Custody Accounts
Realized Gain/(Loss) Detail By Account

As of Close of Business 02/13/2014

Date Range: From 01/01/2013 -To- 12/31/2013

Account/Security Acquisition Date/Liquidation Date(TD)/(SD)	CUSIP	Quantity	Acquisition Cost	Liquidation Price	Liquidation Amount	Realized Short Term Gain/(Loss)	Realized Long Term Gain/(Loss)
03/07/2013-11/13/2013 (TD)-11/18/2013 (SD)		(1,950)	(123,698 25)	68 92	134,341 35	10,643 10	0 00
MARKET VECTORS GOLD MINERS ETF(GDX)	57060U100	(3,750)	(160,272 00)		102,439 96	0 00	(57,832 04)
05/21/2012-06/19/2013 (TD)-06/24/2013 (SD)		(3,750)	(160,272 00)	27 34	102,439 96	0 00	(57,832 04)
PEPSICO INC COM(PEP)	713448108	(1,000)	(61,965 00)		82,186 27	0 00	20,221 27
12/10/2009-10/21/2013 (TD)-10/24/2013 (SD)		(1,000)	(61,965 00)	82 21	82,186 27	0 00	20,221 27
PIMCO ALL ASSET FUND INSTL CL(PAAI X)	722005626	(11,250 341)	(137,254 16)		142,316 81	5,062 65	0 00
03/06/2012-01/07/2013 (TD)-01/08/2013 (SD)		(11,250 341)	(137,254 16)	12 65	142,316 81	5,062.65	0 00
POWERSHARES EMERGING MARKETS SOVEREIGN DEBT PORTFOLIO(PCY)	73936T573	(14,000)	(376,751 12)		376,232 64	0 00	(518 48)
09/30/2011-11/21/2013 (TD)-11/26/2013 (SD)		(1,200)	(31,582 52)	26 91	32,261 20	0 00	678 68
03/15/2011-11/21/2013 (TD)-11/26/2013 (SD)		(2,800)	(73,618 20)	26 91	75,276 13	0 00	1,657 93
12/14/2011-11/13/2013 (TD)-11/18/2013 (SD)		(8,700)	(237,336 00)	26 90	233,764 92	0 00	(3,571 08)
09/30/2011-11/13/2013 (TD)-11/18/2013 (SD)		(1,300)	(34,214 40)	26 90	34,930 39	0 00	715 99
SUNCOR ENERGY INC NEW COM CANADA(SU)	867224107	(5,000)	(216,037 50)		170,043 88	0 00	(45,993 62)
09/11/2008-11/13/2013 (TD)-11/18/2013 (SD)		(3,450)	(149,065 87)	35 42	122,121 66	0 00	(26,944 21)
09/11/2008-06/19/2013 (TD)-06/24/2013 (SD)		(1,550)	(66,971 63)	30 94	47,922 22	0 00	(19,049 41)
TARGET CORP NT DTD 06/11/03 4 000% DUE 06/15/13(ZZZZ13)	87612EAM8	(250,000)	(248,596 86)		251,997 50	0 00	3,400 64
11/04/2008-03/19/2013 (TD)-03/22/2013 (SD)		(250,000)	(248,596 86)	100 80	251,997 50	0 00	3,400 64
TEMPLETON GLOBAL BD FUND ADVISOR CL(TGBA X)	880208400		0 00		188 19	0 00	188 19
N/A -12/18/2013 (TD)-12/16/2013 (SD)			0 00	0 00	188 19	0 00	188 19

Investment Management, Trust & Custody Accounts
Realized Gain/(Loss) Detail By Account

As of Close of Business 02/13/2014

Date Range: From 01/01/2013 -To- 12/31/2013

Account/Security Acquisition Date/Liquidation Date(TD)/(SD)	CUSIP	Quantity	Acquisition Cost	Liquidation Price	Liquidation Amount	Realized Short Term Gain/(Loss)	Realized Long Term Gain/(Loss)
TEVA PHARMACEUTICAL INDS LTD ADR ISRAEL(TEVA)	881624209	(5,000)	(241,385 60)		195,127 31	0 00	(46,258 29)
03/20/2008-08/07/2013 (TD)-08/12/2013 (SD)		(2,000)	(91,753 40)	38 79	77,524 65	0 00	(14,228 75)
02/26/2008-08/07/2013 (TD)-08/12/2013 (SD)		(705)	(35,163 57)	38 79	27,327 44	0 00	(7,836 13)
02/26/2008-06/19/2013 (TD)-06/24/2013 (SD)		(2,295)	(114,468 63)	39 36	90,275 22	0 00	(24,193 41)
THERMO FISHER SCIENTIFIC CORP COM(TMO)	883556102	(4,000)	(140,270 00)		364,192 00	0 00	223,922 00
03/17/2009-11/13/2013 (TD)-11/18/2013 (SD)		(2,600)	(91,175 50)	97 11	252,426 48	0 00	161,250 98
03/17/2009-04/22/2013 (TD)-04/25/2013 (SD)		(1,400)	(49,094 50)	79 86	111,765 52	0 00	62,671 02
TOTAL S A SPONSORED ADR FRANCE(TOT)	89151E109	(4,000)	(211,581 60)		217,372 37	0 00	5,790 77
05/15/2009-10/08/2013 (TD)-10/09/2013 (SD)		(2,100)	(111,080 34)	58 13	122,004 30	0 00	10,923 96
05/15/2009-06/19/2013 (TD)-06/24/2013 (SD)		(1,900)	(100,501 26)	50 22	95,368 07	0 00	(5,133 19)
UNUM GROUP COM(UNM)	91529Y106	(6,000)	(68,005 20)		173,585 05	0 00	105,579 85
03/17/2009-10/03/2013 (TD)-10/08/2013 (SD)		(1,350)	(15,301 17)	30 07	40,555 05	0 00	25,253 88
03/17/2009-06/19/2013 (TD)-06/24/2013 (SD)		(4,650)	(52,704 03)	28 63	133,030 00	0 00	80,325 97
VALE S A ADR BRAZIL(VALE)	91912E105	(6,100)	(195,615 86)		93,264 32	0 00	(102,351 54)
02/26/2008-11/13/2013 (TD)-11/18/2013 (SD)		(3,000)	(108,671 40)	15 31	45,867 70	0 00	(62,803 70)
01/25/2008-11/13/2013 (TD)-11/18/2013 (SD)		(3,100)	(86,944 46)	15.31	47,396 62	0 00	(39,547 84)
VANGUARD SHORT TERM BOND ETF(BSV)	921937827	(4,665)	(373,666 03)		375,457 56	1,791 53	0 00
09/16/2013-11/21/2013 (TD)-11/26/2013 (SD)		(2,800)	(224,279 72)	80 55	225,460 47	1,180 75	0 00
09/16/2013-11/13/2013 (TD)-11/18/2013 (SD)		(1,865)	(149,386 31)	80 45	149,997 09	610 78	0 00
VANGUARD SMALL-CAP GROWTH VIPERS INDEX TR(VBK)	922908595	(1,020)	(107,004 53)		116,961 36	9,956 83	0 00

Investment Management, Trust & Custody Accounts Realized Gain/(Loss) Detail By Account

As of Close of Business 02/13/2014

Date Range: From 01/01/2013 -To- 12/31/2013

Account/Security Acquisition Date/Liquidation Date(TD)/(SD)	CUSIP	Quantity	Acquisition Cost	Liquidation Price	Liquidation Amount	Realized Short Term Gain/(Loss)	Realized Long Term Gain/(Loss)
06/19/2013-10/03/2013 (TD)-10/08/2013 (SD)		(1,020)	(107,004 53)	114 70	116,961 36	9,956 83	0 00
VERIFONE SYS INC COM(PAY)	92342Y109	(7,195)	(290,822 62)		157,873 01	(98,546 40)	(34,403 21)
01/24/2013-11/13/2013 (TD)-11/18/2013 (SD)		(2,500)	(88,988 25)	25 21	62,969 15	(26,019 10)	0 00
01/24/2013-06/06/2013 (TD)-06/11/2013 (SD)		(1,095)	(38,976.85)	18 07	19,755 97	(19,220 88)	0 00
05/21/2012-06/06/2013 (TD)-06/11/2013 (SD)		(1,265)	(57,226 32)	18 07	22,823 11	0 00	(34,403 21)
05/21/2012-05/17/2013 (TD)-05/22/2013 (SD)		(2,335)	(105,631 20)	22 44	52,324 78	(53,306 42)	0 00
VERIZON COMMUNICATIONS INC COM(VZ)	92343V104	(3,385)	(94,173 38)		171,333 40	0 00	77,160 02
05/15/2009-07/01/2013 (TD)-07/05/2013 (SD)		(1,585)	(44,095 96)	50 40	79,842 98	0 00	35,747 02
05/15/2009-06/19/2013 (TD)-06/24/2013 (SD)		(1,800)	(50,077 42)	50 85	91,490 42	0 00	41,413 00
VODAFONE GROUP PLC SPONSORED ADR NEW UNITED KINGDOM(VOD)	92857W209	(6,260)	(173,526 12)		176,740 51	0 00	3,214 39
12/10/2009-06/19/2013 (TD)-06/24/2013 (SD)		(3,760)	(86,524 37)	28 26	106,157 24	0 00	19,632 87
01/25/2008-06/19/2013 (TD)-06/24/2013 (SD)		(2,500)	(87,001 75)	28 26	70,583 27	0 00	(16,418 48)
WISDOMTREE EMERGING MKTS HIGH YIELDING EQUITY FUND(DEM)	97717W315	(3,000)	(155,690 70)		152,045 45	0 00	(3,645 25)
05/21/2012-12/02/2013 (TD)-12/05/2013 (SD)		(3,000)	(155,690 70)	50 71	152,045 45	0 00	(3,645 25)

* pending trade

ǀ closed account

Unless otherwise noted, all views are based on trade date

Realized Gain/(Loss) includes pending trades that may change prior to settlement The Realized Gain/(Loss) totals for some of the accounts may not include sales transactionswhere cost information is unavailable or data is insufficient to accurately calculatethe realized gain/loss figures

The Perrin Family Foundation
US Trust #5443 - Schedule D Attachment
Tax Year: 2013

Short Term G/L	Date Purchased	Date Sold	Proceeds	Basis	Gain/Loss
Cinemark USA	Various	6/24/13	$ 5,601 75	$ 5,543 75	$ 58 00
Rite Aid Corp	Various	6/24/13	$ 1,999.00	$ 2,057.50	$ (58.50)
Service Master	Various	8/23/13	$ 4,768.75	$ 5,362.50	$ (593.75)
Clear Channel WW	Various	8/26/13	$ 3,990.00	$ 4,400.00	$ (410.00)
Clear Channel WW	Various	8/27/13	$ 1,995.00	$ 2,193.75	$ (198.75)
Clear Channel WW	Various	9/10/13	$ 4,040.00	$ 4,267 50	$ (227 50)
Energu Future Inter Hldg	Various	9/10/13	$ 5,295.50	$ 5,800.00	$ (504.50)
Genworth Finl Inc	Various	9/19/13	$ 2,327.78	$ 2,502 50	$ (174.72)
Genworth Finl Inc	Various	9/23/13	$ 2,349.12	$ 2,502.50	$ (153.38)
Ball Corp	Various	9/24/13	$ 2,120.00	$ 2,199 00	$ (79 00)
Del Monte Corp	Various	9/27/13	$ 3,138.75	$ 3,150 00	$ (11.25)
Del Monte Corp	Various	9/30/13	$ 2,092.50	$ 2,100 00	$ (7 50)
Health MGMT Assoc	Various	11/4/2013	$ 5,493 75	$ 5,525 00	$ (31 25)
Ball Corp	Various	10/28/2013	$ 3,165 00	$ 3,297.00	$ (132 00)
Newfield Expl Co	Various	11/6/2013	$ 5,200.00	$ 5,187.50	$ 12.50
CCO Holdings LLC	Various	11/8/2013	$ 6,352 50	$ 6,412 50	$ (60 00)
Icahn Enterprises LP	Various	11/15/2013	$ 8,380 00	$ 8,600 00	$ (220 00)
Leucadia Nat'l Corp	Various	11/22/2013	$ 4,452.00	$ 4,490.00	$ (38.00)
Host Hotels & Resorts LP	Various	12/2/2013	$ 5,418.75	$ 5,392.50	$ 26.25
Icahn Enterprises LP	Various	12/9/2013	$ 5,218.75	$ 5,360.00	$ (141.25)
Leucadia Nat'l Corp	Various	12/16/2013	$ 4,446.00	$ 4,490 00	$ (44.00)
Visteon Corp	Various	12/16/2013	$ 1,030.00	$ 1,082.50	$ (52 50)
			$ 88,874.90	**$ 91,916.00**	**$ (3,041.10)**

Grants - Alpha List

12/11/2013

Organization Project Title	Reference Number	Grant Amount	Paid To Date	Type Status	Grant Date
Abilis Greenwich, CT *Youth Services for intellectually disabled last year*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	1/10/2013
Breakthru! Inc. Meriden, CT *Speak Life! Yoth Program to take action in New Haven against community violence*		$8,000.00	$8,000.00	Cash Grants *Active Grant*	1/10/2013
Bridgeport Public Education Fund, Inc. Bridgeport, CT *Site Supervisor for MAACHs mentoring program in Bridgeport schools*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	10/5/2013
Center for Children's Advocacy Hartford, CT *Teen Legal Advocacy Clinic- Fairfield County and a new pilot program SPEAKUP in its 2nd year and expanding to more RTF to work with youth on policy change*		$20,000.00	$20,000.00	Cash Grants *Active Grant*	10/5/2013
Child Guidance Center of Southern Connecticut, Inc Stamford, CT *Child, Family & Adolescent Therapy*		$7,500.00	$7,500.00	Cash Grants *Active Grant*	10/5/2013
Children In Placement-CT, Inc. New Haven, CT *Connecticut Youth Alliance*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	1/10/2013
City Wide Youth Coalition New Haven, CT *Intermediary Org- PFF funding is for operations support for the coalition building with a significant focus on providing youth a voice in the community*		$25,000.00	$25,000.00	Cash Grants *Active Grant*	10/5/2013

Organization Project Title	Reference Number	Grant Amount	Paid To Date	Type Status	Grant Date
Connecticut Students For A Dream Bridgeport, CT *Immigration reform via youth organizing RYASAP is fiscal sponsor. PFF Grant will help hire a staff contact for this all volunter run organization*		$14,000.00	$14,000.00	Cash Grants *Active Grant*	10/5/2013
Connecticut Students For A Dream Bridgeport, CT *BLOC*		$11,000.00	$11,000.00	Cash Grants *Active Grant*	10/5/2013
The Consultation Center New Haven, CT *The Youth Development Training and Resource Center (YDTRC) and The Consultation Center (TCC) are committed to fostering leadership and community engagement by young people so the can partner with adults to address issues*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	10/5/2013
Domestic Violence Crisis Center Norwalk, CT *Teen Peace Works Program Youth from the community engage in action campaigns to raise awareness about relationship violence Plus a new teen policy project*		$20,000.00	$20,000.00	Cash Grants *Active Grant*	5/9/2013
Dr. Robert E. Appleby School Based Health Centers Norwalk, CT *Operating Support for Collective Leadership initiatives*		$25,000.00	$25,000.00	Cash Grants *Active Grant*	5/9/2013
Family & Children's Agency, Inc. Norwalk, CT *We are funding the ASP at NCC with teen leadership programs for girls- "Girl's Challenge" and boys, "The Boys Council" PFF had funded Project Friendship Mentoring program in the past but made the shift to reflect new strategy*		$6,500.00	$6,500.00	Cash Grants *Active Grant*	10/5/2013

Organization Project Title	Reference Number	Grant Amount	Paid To Date	Type Status	Grant Date
FRESH New London New London, CT *Youth Crew Program- growing organic vegtables to bring to urban bodegas for community to purchase at wholesale prices.*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	5/9/2013
FRESH New London New London, CT *BLOC*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	10/5/2013
Funders Collaborative on Youth Organizing New York, NY *FCYO provides TA and funding resources to support PFF and PFF grantees*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	10/5/2013
Girl Scout of Connecticut, Inc. Wilton, CT *Girz Rule Anti Bullying with new TAKE ACTION component*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	1/10/2013
The Hartford Food System Hartford, CT *Grow Hartford*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	1/10/2013
The Hartford Food System Hartford, CT *BLOC*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	10/5/2013
Hearing Youth Voices New London, CT *Youth and volunteer led organization focusing on educational reform As a new program they are just getting started and began with a PAR project HYV has a fiscal agent at this time*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	10/5/2013
Hearing Youth Voices New London, CT *BLOC*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	12/17/2013

Organization Project Title	Reference Number	Grant Amount	Paid To Date	Type Status	Grant Date
Institute for Community Research Hartford, CT *Youth Action Research Institute*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	5/9/2013
Mid-Fairfield Child Guidance Center, Inc. Norwalk, CT *DR. and APRN to provide mental health and medication for youth with significant problems*		$12,500.00	$12,500 00	Cash Grants *Active Grant*	10/5/2013
National Conference for Community & Justice Windson, CT *ANYTOWN Youth Action Coalition*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	1/10/2013
Neighborhood Studios (MACH) Bridgeport, CT *In past PFF Funded Alvin Ailey Summer 2011 Camp This year we funded the Conservatory program althoughth it is not Youth Voice. New ED to be hired this fall Will see how transition goes*		$20,000.00	$20,000.00	Cash Grants *Active Grant*	10/5/2013
Creative Youth Productions aka Original Works, Inc. Bridgeport, CT *CYP is a 501c3 now and we fund their CYLD thru the arts programs.*		$25,000.00	$25,000.00	Cash Grants *Active Grant*	1/10/2013
Parent Leadership Training Institute Bridgeport, CT *Operating Support for the TLI which is a PLTI program for teens. (has an alunmi, parent, teen and child component to the leadership training) All involved id issues and creat projects to address the issue. The teen component is new*		$16,000.00	$16,000.00	Cash Grants *Active Grant*	10/5/2013
Person to Person, Inc. Darien, CT *Campership Program- 2012 we decreased funding as it is not a good fit for our direction. We did get some push back*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	1/10/2013

Organization Project Title	Reference Number	Grant Amount	Paid To Date	Type Status	Grant Date
Positive Directions, the Center for Prevention & Recovery, Inc. Westport, CT *Adolescent Treatment Program*		$6,250.00	$6,250.00	Cash Grants *Active Grant*	10/5/2013
Regional YMCA of Western Connecticut Brookfield, CT *ESCAPE to the Arts*		$25,000.00	$25,000.00	Cash Grants *Active Grant*	5/9/2013
SAVE Norwalk, CT *Saving All Vessels Equally. Pen and Pencil and Youth Council for Justice*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	1/10/2013
Solar Youth Inc New Haven, CT *Operating Support for environmental youth engagement work with strong Collective Leadership approach*		$25,000.00	$25,000.00	Cash Grants *Active Grant*	5/9/2013
SoundWaters, Inc. Stamford, CT *Funding for new youth leadership program -Sound Generation. To build upon the inter experience and create opportunities for youth to advocate and youth to take action on envirnmental issues. New Pilot Program. 17,500 plus an additional $3000 for Hurricane*		$17,500.00	$17,500.00	Cash Grants *Active Grant*	10/5/2013
Teach Our Children New Haven, CT *The Youth UnleashED program will focus on youth voice and youth organizing to address issues related to education*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	5/9/2013
True Colors, Inc. Hartford, CT *BLOC*		$10,000.00	$10,000.00	Cash Grants *Active Grant*	10/5/2013

Organization Project Title	Reference Number	Grant Amount	Paid To Date	Type Status	Grant Date
True Colors, Inc. Hartford, CT *Youth Advocacy Project related to anti-bullying program LGBT youth*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	10/5/2013
Youth Rights Media New Haven, CT *Youth Organizing Team*		$15,000.00	$15,000.00	Cash Grants *Active Grant*	1/10/2013
	Grand Total (37 items)	$539,250.00	$539,250.00		

Form **8868**
(Rev January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at** *www.irs gov/form8868* ·

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. THE PERRIN FAMILY FOUNDATION C/O WTAS LLC	Employer identification number (EIN) or 22-3309886
	Number, street, and room or suite no. If a P.O. box, see instructions. 1700 EAST PUTNAM AVENUE, NO. 408	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. OLD GREENWICH, CT 06870	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ BANK OF AMERICA, N.A.
114 WEST 47TH STREET - NEW YORK, NY 10036

Telephone No ▶ 800-878-7878 Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above The extension is for the organization's return for:

▶ ☒ calendar year 2013 or

▶ ☐ tax year beginning ______________ , and ending ______________

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 30,920.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	3b	$ 2,920.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 28,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**
323841
12-31-13