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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation LOUIS F & VIRGINIA C BANTLE CHARITABLE FOUNDATION INC		A Employer identification number 22-3199626	
Number and street (or P O box number if mail is not delivered to street address) ONE CENTRE STREET		B Telephone number (see instructions) (203) 656-4461	
City or town, state or province, country, and ZIP or foreign postal code DARIEN, CT 06820		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 6,607,526		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,410	6,410		
	4 Dividends and interest from securities.	99,720	99,720		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	5,117			
	b Gross sales price for all assets on line 6a 865,928				
	7 Capital gain net income (from Part IV, line 2) . . .		5,117		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	19,040	19,040		
	12 Total. Add lines 1 through 11	130,287	130,287		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	47,720	47,720		0
	b Accounting fees (attach schedule)	3,600	0		3,600
	c Other professional fees (attach schedule)	138,014	138,014		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	853	853		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	190,187	186,587		3,600
	25 Contributions, gifts, grants paid	237,900			237,900
	26 Total expenses and disbursements. Add lines 24 and 25	428,087	186,587		241,500
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-297,800			
	b Net investment income (if negative, enter -0-)		0		
	c Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	91,083	278,956	278,956
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	4,139,491	4,013,989	5,049,784
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,391,176	933,592	1,278,786
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,621,750	5,226,537	6,607,526	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	694,414	694,414	
	29	Retained earnings, accumulated income, endowment, or other funds	4,927,336	4,532,123	
	30	Total net assets or fund balances (see page 17 of the instructions)	5,621,750	5,226,537	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,621,750	5,226,537	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	5,621,750
2	Enter amount from Part I, line 27a	2	-297,800
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	5,323,950
5	Decreases not included in line 2 (itemize) ▶ _____	5	97,413
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,226,537

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	5,117
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	295,700	5,193,524	0 056936
2011	399,470	6,199,389	0 064437
2010	450,380	6,035,382	0 074623
2009	307,432	6,145,187	0 050028
2008	314,385	7,947,010	0 039560

2	Total of line 1, column (d).	2	0 285584
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 057117
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	5,603,409
5	Multiply line 4 by line 3.	5	320,050
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	0
7	Add lines 5 and 6.	7	320,050
8	Enter qualifying distributions from Part XII, line 4.	8	241,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	0
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3		Add lines 1 and 2.		3	0
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0
6		Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a			
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7		Total credits and payments. Add lines 6a through 6d.		7	0
8		Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	0
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded		11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): ▶ _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶BANTLE FAMILY INVESTMENTS Telephone no ▶(203) 656-4461 Located at ▶ONE CENTRE STREET DARIEN CT ZIP +4 ▶06820			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.		☒		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
VIRGINIA C BANTLE 11730 VALEROS COURT OLD PALM GOLF CLUB PALM BEACH GARDENS,FL 33418	DIRECTOR 0 00	0	0	0
ROBERT BANTLE 152 CAT ROCK ROAD COS COB,CT 06807	PRESIDENT 1 00	0	0	0
TERRI ANN WALKER 25 ROWAYTON AVE ROWAYTON,CT 06853	SECRETARY 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BANTLE & WALKER INV INC	PORTFOLIO MANAGEMENT	135,000
365 POST ROAD		
DARIEN,CT 06820		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,258,758
b	Average of monthly cash balances.	1b	128,697
c	Fair market value of all other assets (see instructions).	1c	1,301,285
d	Total (add lines 1a, b, and c).	1d	5,688,740
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,688,740
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	85,331
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,603,409
6	Minimum investment return. Enter 5% of line 5.	6	280,170

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	280,170
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	0
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	280,170
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	280,170
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	280,170

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	241,500
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	241,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	241,500
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				280,170
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				94,519
d From 2011.				89,501
e From 2012.				36,024
f Total of lines 3a through e.	220,044			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 241,500				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				241,500
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	38,670			38,670
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	181,374			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	181,374			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				55,849
c Excess from 2011. . . .				89,501
d Excess from 2012. . . .				36,024
e Excess from 2013. . . .				

Part XIV

4942(j)(3) or 4942(j)(5)

3 Complete 3a, b, or c for the alternative test relied upon

Part XV

1

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

other conditions, complete items 2a, b, c, and d

b The form in which applications should be submitted and information and materials they should include

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	237,900
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FLOW THROUGH FROM PARTNERSHIPS	P		2013-12-31
EV ATLANTA CAP	P	2012-11-20	2013-02-12
JANUS PERKINS MID CAP	P	2012-12-20	2013-08-14
ROOSEVELT MULTI-CAP	P	2012-12-28	2013-08-14
VAN ECK INTL INV GOLD FD A	P	2012-12-21	2013-05-31
BLACKROCK INTL OPP INST	P	2009-12-16	2013-02-12
DWS FLOATING RATE	P	2010-12-15	2013-02-12
FIDELITY ADV NEW INSIGHTS	P	2010-08-27	2013-02-12
GABELLI EQUITY INCOME	P	2010-08-27	2013-02-12
HENDERSON INTL OPP	P	2009-12-16	2013-02-13
HOTCHKISS & WILEY SM CAP VAL	P	2010-12-15	2013-02-12
JANUS PERKINS MID CAP	P	2009-12-16	2013-08-14
LOOMIS SAYLES STRATEGIC	P	2005-03-10	2013-08-14
MAINSTAY LARGE CAP GRW	P	2010-08-27	2013-02-12
MFS EMERGING MKTS DEBIT	P	2009-12-16	2013-08-14
MFS VALUE I	P	2010-08-27	2013-02-12
OPPENHEIMER DEV MKTS	P	2009-12-16	2013-02-12
OPPENHEIMER INTL BOND	P	2009-12-16	2013-06-19
PIONEER GLOBAL HIGH YIELD	P	2010-12-15	2013-08-14
PIONEER OAK RIDGE	P	2009-12-16	2013-02-12
ROOSEVELT MULTI CAP	P	2010-08-27	2013-08-14
ROYCE SPECIAL EQUITY INV	P	2009-12-16	2013-02-12
THORNBURG INTL VALUE I	P	2009-12-16	2013-02-12
VAN ECK INTL INV GOLD FD A	P	2009-12-16	2013-05-31
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		149,095	-149,095
4,000		3,598	402
10,137		8,698	1,439
366		311	55
673		982	-309
3,000		2,680	320
50,000		49,115	885
4,000		2,804	1,196
4,000		2,833	1,167
3,000		2,778	222
3,000		2,384	616
229,659		187,298	42,361
135,000		124,769	10,231
3,000		2,112	888
75,000		71,407	3,593
4,000		2,893	1,107
4,000		3,152	848
25,000		26,371	-1,371
65,000		67,722	-2,722
3,000		2,049	951
121,907		93,445	28,462
4,000		3,079	921
4,000		3,455	545
25,989		47,781	-21,792
84,197			84,197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-149,095
			402
			1,439
			55
			-309
			320
			885
			1,196
			1,167
			222
			616
			42,361
			10,231
			888
			3,593
			1,107
			848
			-1,371
			-2,722
			951
			28,462
			921
			545
			-21,792
			84,197

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BREWSTER ACADEMY 80 ACADEMY DRIVE WOLFEBORO,NH 03894	NOT RELATED	PUBLIC CHARITY	SUPPORTS EDUCATION	20,000
MULTIPLE SCLEROSIS SOCIETY 1 SELLECK STREET NORWALK,CT 06855	NOT RELATED	PUBLIC CHARITY	GENERAL FUND	1,000
KING LOW HEYWOOD THOMAS 1450 NEWFIELD AVE STAMFORD,CT 06905	NOT RELATED	PUBLIC CHARITY	SUPPORTS EDUCATIONSUPPORT COMMUNITY PROJECTS	33,000
ANN LIGUORI FOUNDATION PO BOX 605 WESTHAMPTON,NY 11977	NOT RELATED	PUBLIC CHARITY	CANCER PREVENTION AND CARE	6,000
YMCA OF GREENWICH 50 E PUTNAM AVE GREENWICH,CT 06830	NOT RELATED	PUBLIC CHARITY	SUPPORT COMMUNITY PROJECTS	1,000
THE COLLEGE OF CHARLESTON 66 GEORGE STREET CHARLESTON,SC 29424	NOT RELATED	PUBLIC CHARITY	GENERAL FUND	15,000
FAMILY CENTERS INC 40 ARCH STREET GREENWICH,CT 06830	NOT RELATED	PUBLIC CHARITY	SUPPORT COMMUNITY PROJECTS	18,000
FATHER MARTINS ASHLEY 800 TYDINGS LANE HAVRE DE GRACE,MD 21078	NOT RELATED	PUBLIC CHARITY	SUPPORT COMMUNITY PROJECTS	20,000
2014 HUMANA PRO AM - DESERT CLASSIC CHARITIES 39000 BOB HOPE DRIVE RANCHO MIRAGE,CA 92270	NOT RELATED	PUBLIC CHARITY	SUPPORT COMMUNITY PROJECTS	1,000
BESS HUBBARD MEMORIAL SCHOLARS PO BOX 6460 INDIANAPOLIS,IN 46206	NOT RELATED	PUBLIC CHARITY	SCHOLARSHIP FUND	500
THE MARITIME AQUARIUM AT NORWALK 10 N WATER STREET NORWALK,CT 06854	NOT RELATED	PUBLIC CHARITY	ANNUAL FUND	5,000
BUONICONTI FUND 1095 NW 14TH TERRACE MIAMI,FL 33136	NOT RELATED	PUBLIC CHARITY	CURE PARALYSIS	15,000
HANNAH STORM FUND 1117 EAST PUTNAM AVE RIVERSIDE,CT 06878	NOT RELATED	PUBLIC CHARITY	PROVIDE MEDICAL SUPPORT FOR CHILDREN WITH VASCULAR BIRTHMARKS	7,400
JUVENILE DIABETES RESEARCH FUND 200 CONNECTICUT AVE NORWALK,CT 06851	NOT RELATED	PUBLIC CHARITY	MEDICAL RESEARCH	10,000
DESERT CLASSIC CHARITIES 39000 BOB HOPE DRIVE RANCHO MIRAGE,CA 92270	NOT RELATED	PUBLIC CHARITY	SUPPORT COMMUNITY PROJECTS	24,000
Total  3a				237,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE BUONICONTI FUND TO CURE PA 1095 NW 14 TERRACE MIAMI, FL 33136	NOT RELATED	PUBLIC CHARITY	RESEARCH TO CURE PARALYSIS	50,000
TIGER WOODS FOUNDATION 121 INNOVATION DRIVE IRVINE, CA 92617	NOT RELATED	PUBLIC CHARITY	SUPPORT COMMUNITY PROJECTS	10,000
SOUND WATERS 1281 COVE ROAD STAMFORD, CT 06902	NOT RELATED	PUBLIC CHARITY	EDUCATE CHILDREN ABOUT LONG ISLAND SOUND	1,000
Total  3a				237,900

TY 2013 Accounting Fees Schedule

Name: LOUIS F & VIRGINIA C BANTLE CHARITABLE
FOUNDATION INC

EIN: 22-3199626

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,600	0		3,600

**TY 2013 Investments Corporate
Stock Schedule**

Name: LOUIS F & VIRGINIA C BANTLE CHARITABLE
FOUNDATION INC

EIN: 22-3199626

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BT ALEX BROWN	337,388	212,264
MORGAN STANLEY	3,676,601	4,837,520

TY 2013 Investments - Other Schedule

Name: LOUIS F & VIRGINIA C BANTLE CHARITABLE
FOUNDATION INC

EIN: 22-3199626

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BANWALK HOLDINGS	AT COST	300,928	288,428
GRAYSTONE VENTURE FUND, L.P.	AT COST	147,571	269,789
GS MEZZANINE PTRS.	AT COST	188,918	175,809
CANAAN EQUITY II LP	AT COST	101,512	266,628
CANAAN EQUITY III LP	AT COST	194,663	278,132

TY 2013 Legal Fees Schedule

Name: LOUIS F & VIRGINIA C BANTLE CHARITABLE
FOUNDATION INC

EIN: 22-3199626

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	47,720	47,720		0

TY 2013 Other Decreases Schedule

Name: LOUIS F & VIRGINIA C BANTLE CHARITABLE
FOUNDATION INC
EIN: 22-3199626

Description	Amount
PARTNERSHIP FLOW THRU BOOK-TAX DIFFERENCES	97,413

TY 2013 Other Income Schedule

Name: LOUIS F & VIRGINIA C BANTLE CHARITABLE
FOUNDATION INC

EIN: 22-3199626

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ORDINARY INCOME (LOSS) FROM FLOW THROUGHS	19,040	19,040	19,040

TY 2013 Other Professional Fees Schedule

Name: LOUIS F & VIRGINIA C BANTLE CHARITABLE
FOUNDATION INC
EIN: 22-3199626

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	138,014	138,014		0

TY 2013 Taxes Schedule

Name: LOUIS F & VIRGINIA C BANTLE CHARITABLE
FOUNDATION INC

EIN: 22-3199626

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	853	853		0