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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE JOE LEWIS JEFFERSON FOUNDATION INC C/O STEVEN A BRANSON ESQ		A Employer identification number 22-3151628	
Number and street (or P O box number if mail is not delivered to street address) 515 PROVIDENCE HIGHWAY		B Telephone number (see instructions) (781) 326-1009	
City or town, state or province, country, and ZIP or foreign postal code DEDHAM, MA 02026		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☐ \$ 2,252,320		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1	1		
	4 Dividends and interest from securities.	3,909	3,909		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-273			
	b Gross sales price for all assets on line 6a 132,440				
	7 Capital gain net income (from Part IV, line 2) . . .		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	3,637	3,910		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,775	0		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	37	37		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	6,191	2,752		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	8,003	2,789		0
	25 Contributions, gifts, grants paid	166,275			166,275
	26 Total expenses and disbursements. Add lines 24 and 25	174,278	2,789		166,275
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-170,641			
	b Net investment income (if negative, enter -0-)		1,121		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	65,413	14,948	14,915
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	246,276	126,619	263,655
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,290,850	1,290,850	1,973,750
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,602,539	1,432,417	2,252,320	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,602,539	1,432,417	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	1,602,539	1,432,417	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,602,539	1,432,417	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	11,602,539
2	Enter amount from Part I, line 27a	-170,641
3	Other increases not included in line 2 (itemize) ▶ _____	519
4	Add lines 1, 2, and 3	1,432,417
5	Decreases not included in line 2 (itemize) ▶ _____	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	1,432,417

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-273
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	175,219	1,892,520	0 092585
2011	142,225	1,922,842	0 073966
2010	161,750	2,064,976	0 078330
2009	176,012	1,937,578	0 090841
2008	222,711	2,310,846	0 096376

2	Total of line 1, column (d).	2	0 432098
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 086420
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,233,497
5	Multiply line 4 by line 3.	5	193,019
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	11
7	Add lines 5 and 6.	7	193,030
8	Enter qualifying distributions from Part XII, line 4.	8	166,275

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	22
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2.		3	22
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	22
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	320	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d.		7	320
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	298
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 298 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ MA, NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of STEVEN A BRANSON ESQ Telephone no (781) 326-1009 Located at 515 PROVIDENCE HIGHWAY DEDHAM MA ZIP+4 02026			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		Yes	No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?		Yes	No
	If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARK PTASHNE	PRESIDENT & TREASURER	0	0	0
9 EAST 79TH STREET 12 FLOOR NEW YORK, NY 10021	0 50			
STEVEN A BRANSON	CLERK	0	0	0
41 JUNE LANE NEWTON, MA 02459	0 50			
LUCY H GORDON	DIRECTOR	0	0	0
9 EAST 79TH STREET 12 FLOOR NEW YORK, NY 10021	0 50			
JAMES DOON	DIRECTOR	0	0	0
9 EAST 79TH STREET 12 FLOOR NEW YORK, NY 10021	1 00			

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	264,379
b	Average of monthly cash balances.	1b	29,381
c	Fair market value of all other assets (see instructions).	1c	1,973,750
d	Total (add lines 1a, b, and c).	1d	2,267,510
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,267,510
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	34,013
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,233,497
6	Minimum investment return. Enter 5% of line 5.	6	111,675

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	111,675
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	22
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	22
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	111,653
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	111,653
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	111,653

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	166,275
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	166,275
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	166,275
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				111,653
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	110,147			
b From 2009.	79,201			
c From 2010.	61,041			
d From 2011.	47,168			
e From 2012.	81,155			
f Total of lines 3a through e.	378,712			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 166,275				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				111,653
e Remaining amount distributed out of corpus	54,622			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	433,334			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	110,147			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	323,187			
10 Analysis of line 9				
a Excess from 2009. . . .	79,201			
b Excess from 2010. . . .	61,041			
c Excess from 2011. . . .	47,168			
d Excess from 2012. . . .	81,155			
e Excess from 2013. . . .	54,622			

Part XIV

7

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

1

See Additional Data Table

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	166,275
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN GREETINGS CORP		2010-08-02	2013-04-01
AMERICAN GREETINGS CORP		2010-08-18	2013-04-01
AMERICAN WATER WORKS		2008-06-17	2013-03-28
AMERICAN WATER WORKS		2008-06-17	2013-05-23
ANDERSONS INC		2004-02-05	2013-02-06
ATLANTIC TELE NETWORK		2004-02-06	2013-02-21
ATLANTIC TELE NETWORK		2004-02-06	2013-11-14
AXIS CAPITAL HOLDINGS LIMITED		2006-07-07	2013-03-28
AXIS CAPITAL HOLDINGS LIMITED		2006-07-07	2013-11-14
BCB HOLDINGS LTD		2006-05-02	2013-11-14
BCB HOLDINGS LTD		2009-05-11	2013-11-14
CARRIAGE SERVICES INC		2004-02-05	2013-03-28
CARRIAGE SERVICES INC		2004-02-05	2013-04-10
CARRIAGE SERVICES INC		2004-02-05	2013-09-17
CARRIAGE SERVICES INC		2004-02-05	2013-09-27
CASH AMERICA INTERNATIONAL INC		2009-01-30	2013-11-14
CHINACAST EDUCATION CORP		2009-12-02	2013-01-16
COMPANHIA DE SANEAMENTO		2009-07-07	2013-05-23
COMPANHIA DE SANEAMENTO		2013-01-24	2013-05-23
DEVRY INC		2010-12-07	2013-03-28
DEVRY INC		2011-01-11	2013-03-28
DEVRY INC		2011-08-23	2013-03-28
EAST WEST BANK CORP		2008-01-04	2013-05-23
EAST WEST BANK CORP		2008-01-04	2013-11-07
ENDURANCE SPECIALTY HOLDINGS INC		2006-07-10	2013-03-28
FEDL AGRIC MTG		2011-02-18	2013-03-28
FEDL AGRIC MTG		2011-02-18	2013-04-10
FEDL AGRIC MTG		2011-03-07	2013-04-10
FEDL AGRIC MTG		2011-03-07	2013-05-23
FEDL AGRIC MTG		2011-03-07	2013-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GENWORTH FINANCIAL		2013-02-13	2013-11-18
IMAX CORP		2009-01-13	2013-11-07
IMAX CORP		2009-01-13	2013-11-14
MERISEL INC		2005-01-04	2013-08-30
NATURES SUNSHINE PRODUCTS INC		2004-02-05	2013-05-23
NATURES SUNSHINE PRODUCTS INC		2004-02-05	2013-11-14
OWENS ILLINOIS INC		2003-07-10	2013-04-10
OWENS ILLINOIS INC		2011-08-05	2013-04-10
RENT A CENTER INC		2008-07-29	2013-05-23
SEAGATE TECHNOLOGY		2005-07-29	2013-03-28
SEAGATE TECHNOLOGY		2005-07-29	2013-04-10
TRAVELERS COMPANIES INC		2004-02-05	2013-05-23
ZHONGPIN INC		2010-02-08	2013-02-11
NEUBERGER BERMAN REAL EST SECS INC FUND			2013-03-07
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,780		2,062	-282
5,339		6,042	-703
3,040		1,754	1,286
3,089		1,754	1,335
2,303		482	1,821
2,160		558	1,602
2,753		558	2,195
2,015		1,456	559
3,645		2,184	1,461
123		4,368	-4,245
270		9,588	-9,318
6,348		1,483	4,865
6,414		1,483	4,931
4,619		1,236	3,383
2,774		742	2,032
1,882		942	940
33		4,132	-4,099
1,347		481	866
1,347		482	865
3,099		4,467	-1,368
775		1,167	-392
2,324		3,101	-777
2,519		2,284	235
3,244		2,284	960
2,306		1,710	596
2,283		1,514	769
3,965		2,523	1,442
3,172		2,022	1,150
2,174		1,516	658
1,700		1,011	689

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,496		3,535	1,961
3,595		588	3,007
2,996		470	2,526
340		12,627	-12,287
1,493		875	618
2,631		1,313	1,318
2,572		1,227	1,345
2,572		2,105	467
3,443		2,104	1,339
1,775		976	799
5,557		2,927	2,630
4,109		2,088	2,021
3,778		3,483	295
12,925		33,009	-20,084
316			316

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-282
			-703
			1,286
			1,335
			1,821
			1,602
			2,195
			559
			1,461
			-4,245
			-9,318
			4,865
			4,931
			3,383
			2,032
			940
			-4,099
			866
			865
			-1,368
			-392
			-777
			235
			960
			596
			769
			1,442
			1,150
			658
			689

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,961
			3,007
			2,526
			-12,287
			618
			1,318
			1,345
			467
			1,339
			799
			2,630
			2,021
			295
			-20,084
			316

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

MARK PTASHNE
LUCY H GORDON

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN SYMPHONY ORCHESTRA 333 WEST 39TH ST NEWYORK,NY 10018	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	1,000
AMNESTY INTERNATIONAL 5 PENN PLAZA 16TH FLOOR NEWYORK,NY 10001	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	525
ASPCA 424 E 92ND STREET NEWYORK,NY 101286804	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	500
BETHESDA CENTER FOR THE HOMELESS 930 N PATTERSON AVENUE WINSTONSALEM,NC 27101	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	5,000
COLD SPRING HARBOR LABS ONE BUNGTOWN ROAD COLD SPRING HARBOR,NY 11724	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	14,900
COOPERSTOWN MUSIC FESTIVAL PO BOX 230 COOPERSTOWN,NY 13326	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	5,500
EDUCATIONAL BRIDGE PROJECT 65 BAY STATE ROAD SUITE 6 BOSTON,MA 02215	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	8,000
FRIENDS OF DRESDEN MUSIC FOUNDATION 8 HALIDON AVE NEWPORT,RI 02840	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	10,000
FRIENDS OF NY PHILHARMONIC AVERY FISHER HALL 10 LINCOLN CENTER PLAZA NEWYORK,NY 10023	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	2,500
INTERNATIONAL MUSIC ARTISTS INSTITUTE 1330 BEACON STREET WABAN,MA 02468	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	7,000
IRA SOHN CONFERENCE FOUNDATION 590 MADISON AVENUE NEWYORK,NY 10022	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	1,500
KILLINGTON MUSIC FESTIVAL PO BOX 386 RUTLAND,VT 05702	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	30,000
NEW ENGLAND CONSERVATORY 290 HUNTINGTON AVENUE BOSTON,MA 021155018	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	3,000
NEWYORK PHILHARMONIC AVERY FISHER HALL 10 LINCOLN CENTER PLAZA NEWYORK,NY 10023	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	2,000
REED COLLEGE 3203 SE WOODSTOCK BOULEVARD PORTLAND,OR 97022	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	6,000
Total  3a				166,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
REFLECTIONS SERIES 10 WATERSIDE PLAZA SUITE 21D NEW YORK, NY 10010	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	4,500
ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10021	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	2,500
RUTGERS UNIVERSITY FOUNDATION 7 COLLEGE AVENUE - WINANTS HALL NEW BRUNSWICK, NJ 089011261	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	1,000
THE SHOSTAKOVICH SCHOOL 297 AVENUE X BROOKLYN, NY 11223	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	50,000
TAKE THE LEAD PO BOX 6353 WATERTOWN, NY 13601	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	5,000
THE ALLIANCE FOR GLOBAL JUSTICE 225 E 26TH ST SUITE 1 TUCSON, AZ 85713	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	250
THE CARNEGIE HALL SOCIETY 881 SEVENTH AVENUE NEW YORK, NY 10019	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	2,600
THE KNIGHTS 1275 PROSECT AVENUE BROOKLYN, NY 11218	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	1,000
THE PERLMAN MUSIC PROGRAM 19 WEST 69TH STREET SUITE 304 NEW YORK, NY 10023	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	1,000
US FUND FOR UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	NONE	TAX EXEMPT	FULFILL PURPOSES OF THE ORGANIZATION	1,000
Total  3a				166,275

TY 2013 Accounting Fees Schedule**Name:** THE JOE LEWIS JEFFERSON FOUNDATION INC

C/O STEVEN A BRANSON ESQ

EIN: 22-3151628

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	1,775	0		0

**TY 2013 Investments Corporate
Stock Schedule**

Name: THE JOE LEWIS JEFFERSON FOUNDATION INC
C/O STEVEN A BRANSON ESQ

EIN: 22-3151628

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AXIOM CAPITAL #896-00646	8,636	75,100
FIRST WILSHIRE #4571-2112	113,583	166,287
SMITH BARNEY #39A-42933-15	0	0
MERRILL LYNCH #749-04C41	4,400	22,268

TY 2013 Investments - Other Schedule

Name: THE JOE LEWIS JEFFERSON FOUNDATION INC
C/O STEVEN A BRANSON ESQ

EIN: 22-3151628

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VIOLINS/BOWS	AT COST	1,290,850	1,973,750

TY 2013 Other Expenses Schedule**Name:** THE JOE LEWIS JEFFERSON FOUNDATION INC

C/O STEVEN A BRANSON ESQ

EIN: 22-3151628

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	2,752	2,752		0
INSTRUMENT INSURANCE	3,439	0		0

TY 2013 Other Increases Schedule

Name: THE JOE LEWIS JEFFERSON FOUNDATION INC
C/O STEVEN A BRANSON ESQ
EIN: 22-3151628

Description	Amount
FEDERAL INCOME TAX REFUND	519

TY 2013 Taxes Schedule**Name:** THE JOE LEWIS JEFFERSON FOUNDATION INC

C/O STEVEN A BRANSON ESQ

EIN: 22-3151628

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN INCOME TAX WITHHELD	37	37		0