Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
ASH CHARITABLE CORPORATION INC
C/O JOHN T. POLLANO, TRUSTEE

A Employer identification number
22-2994115

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
280 B MERRIMACK STREET

B Telephone number
978-683-6700

City or town, state or province, country, and ZIP or foreign postal code
METHUEN, MA 01844

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 1,395,396.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received				
2 Check ▶ ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	35,355.	35,355.	35,355.	STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	77,600.			
b Gross sales price for all assets on line 6a 366,019.				
7 Capital gain net income (from Part IV, line 2)		77,600.		
8 Net short-term capital gain			N/A	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 **Total.** Add lines 1 through 11	112,955.	112,955.	35,355.	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 2	1,500.	750.	0.	750.
c Other professional fees STMT 3	11,340.	11,340.	0.	0.
17 Interest				
18 Taxes STMT 4	2,682.	0.	0.	0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 5	333.	0.	0.	35.
24 **Total operating and administrative expenses.** Add lines 13 through 23	15,855.	12,090.	0.	785.
25 Contributions, gifts, grants paid	53,000.			53,000.
26 **Total expenses and disbursements.** Add lines 24 and 25	68,855.	12,090.	0.	53,785.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	44,100.			
b **Net investment income** (if negative, enter -0-)		100,865.		
c **Adjusted net income** (if negative, enter -0-)			35,355.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	19,057.	15,996.	15,996.
	2 Savings and temporary cash investments	65,565.	27,522.	27,522.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	477,048.	563,439.	1,009,211.
	c Investments - corporate bonds STMT 7	335,832.	334,645.	342,667.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	897,502.	941,602.	1,395,396.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	929,501.	929,501.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	-31,999.	12,101.	
	30 **Total net assets or fund balances**	897,502.	941,602.	
	31 **Total liabilities and net assets/fund balances**	897,502.	941,602.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	897,502.
2	Enter amount from Part I, line 27a	2	44,100.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	941,602.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	941,602.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BNY MELLON ACCT DETAIL ATTACHED	P		
b	BNY MELLON ACCT DETAIL ATTACHED	P		
c	BNY MELLON ACCT DETAIL ATTACHED	P		
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	13,461.		12,665.	796.
b	53,572.		49,905.	3,667.
c	298,986.		225,849.	73,137.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				796.
b				3,667.
c				73,137.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	77,600.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	796.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2 **Total** of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	2,017.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,017.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	2,017.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 511.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	511.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	18.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 8 ▶		9	1,524.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOHN T. POLLANO ESQ Telephone no. ▶ (978) 683-6700

Located at ▶ 280 B MERRIMACK STREET, METHUEN, MA ZIP+4 ▶ 01844

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT H. GOLDSTEIN 11 THISSELL STREET PRIDES CROSSING, MA 01965	TRUSTEE AND PRESIDENT AS NEEDED 0.00	0.	0.	0.
JOHN T. POLLANO 10 CARRIAGE CHASE ROAD NORTH ANDOVER, MA 01845	TRUSTEE AND TREASURER AS NEEDED 0.00	0.	0.	0.
DONALD A. GEORGE 99 HAVERHILL STREET METHUEN, MA 01844	TRUSTEE AND CLERK AS NEEDED 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,246,721.
b	Average of monthly cash balances	1b	47,256.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,293,977.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,293,977.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	19,410.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,274,567.
6	**Minimum investment return.** Enter 5% of line 5	6	63,728.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	63,728.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 2,017.		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	2,017.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	61,711.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	61,711.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	61,711.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	53,785.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	53,785.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	53,785.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				61,711.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			22,692.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 53,785.				
a Applied to 2012, but not more than line 2a			22,692.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				31,093.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				30,618.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

JOHN T. POLLANO, TRUSTEE, (978) 683-6700
280 B MERRIMACK STREET, METHUEN, MA 01844

b The form in which applications should be submitted and information and materials they should include:

APPLICATIONS ARE USUALLY IN THE FORM OF LETTERS.

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

CHARITIES IN GREATER LAWRENCE, MASSACHUSETTS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
JERICHO ROAD PROJECT LAWRENCE 3 GREAT POND ROAD NO.ANDOVER, MA 01845	NONE	501(C)(3)	CHARITABLE EDUCATION	6,000.
MERRIMACK COLLEGE 315 TURNPIKE STREET NO.ANDOVER, MA 01845	NONE	501(C)(3)	CHARITABLE EDUCATION	5,000.
LAWRENCE GENERAL HOSPITAL 1 GENERAL STREET LAWRENCE, MA 01842	NONE	501(C)(3)	CHARITABLE GENERAL FUND	10,000.
LAZARUS HOUSE 412 HAMPSHIRE STREET LAWRENCE, MA 01841	NONE	501(C)(3)	CHARITABLE GENERAL FUND	10,000.
MARY IMMACULATE NURSING HOME 172 LAWRENCE STREET LAWRENCE, MA 01841	NONE	501(C)(3)	CHARITABLE GENERAL FUND	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	53,000.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	35,355.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	77,600.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		112,955.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 112,955.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
J T Pollano	9/11/14	TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STEPHEN GUNZBURGER, CPA	S Gunzburger	09/09/14		P00306107
Firm's name ▶ MILLER WACHMAN LLP			Firm's EIN ▶ 04-2211907	
Firm's address ▶ 100 CAMBRIDGE STREET, 13TH FLOOR BOSTON, MA 02114-2548			Phone no. 617-338-6800	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON ACCOUNT	30,590.	0.	30,590.	30,590.	30,590.
BNY MELLON ACCOUNT	4,765.	0.	4,765.	4,765.	4,765.
TO PART I, LINE 4	35,355.	0.	35,355.	35,355.	35,355.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	1,500.	750.	0.	750.
TO FORM 990-PF, PG 1, LN 16B	1,500.	750.	0.	750.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BNY MELLON MANAGEMENT FEES	11,340.	11,340.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	11,340.	11,340.	0.	0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	2,000.	0.	0.	0.
FOREIGN TAX WITHHELD ON DIVIDENDS	682.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	2,682.	0.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	35.	0.	0.	35.
INVESTMENT EXPENSES	23.	0.	0.	0.
ACCOUNT FEE	275.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	333.	0.	0.	35.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK DETAIL ATTACHED	563,439.	1,009,211.
TOTAL TO FORM 990-PF, PART II, LINE 10B	563,439.	1,009,211.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS DETAIL ATTACHED	334,645.	342,667.
TOTAL TO FORM 990-PF, PART II, LINE 10C	334,645.	342,667.

FORM 990-PF INTEREST AND PENALTIES STATEMENT 8

TAX DUE FROM FORM 990-PF, PART VI	1,506.
UNDERPAYMENT PENALTY	18.
LATE PAYMENT INTEREST	15.
LATE PAYMENT PENALTY	30.
TOTAL AMOUNT DUE	1,569.

FORM 990-PF LATE PAYMENT PENALTY STATEMENT 9

DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	05/15/14	1,506.	1,506.	4	30.
DATE FILED	09/15/14		1,506.		
TOTAL LATE PAYMENT PENALTY					30.

FORM 990-PF LATE PAYMENT INTEREST STATEMENT 10

DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	05/15/14	1,506.	1,506.	.0300	123	15.
DATE FILED	09/15/14		1,521.			
TOTAL LATE PAYMENT INTEREST						15.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MERRIMACK VALLEY JEWISH FEDERATION 439 SO. UNION STREET LAWRENCE, MA 01843	NONE	501(C)(3)	CHARITABLE GENERAL FUND	5,000.
SUFFOLK UNIVERSITY 73 TREMONT STREET BOSTON, MA 02108	NONE	501(C)(3)	CHARITABLE GENERAL FUND EDUCATION	7,000.
TEMPLE EMANUEL, 7 HAGGETTS POND ROAD ANDOVER, MA 01810	NONE	501(C)(3)	CHARITABLE GENERAL FUND	5,000.
Total from continuation sheets				17,000.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
File by the due date for filing your return See instructions	ASH CHARITABLE CORPORATION INC C/O JOHN T. POLLANO, TRUSTEE	22-2994115
	Number, street, and room or suite no. If a P O box, see instructions. 280 B MERRIMACK STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. METHUEN, MA 01844	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ JOHN T. POLLANO ESQ 280 B MERRIMACK STREET - METHUEN, MA 01844

  Telephone No ▶ (978) 683-6700 Fax No. ▶ (978)682-4852

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013 , or other tax year beginning ______, and ending ______.

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ALL THE INFORMATION NECESSARY FOR FILING A COMPLETE AND ACCURATE RETURN HAS NOT BEEN COMPILED

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 511.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 511.
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ TRUSTEE Date ▶

323842
12-31-13

Asset detail

ASH CHARITABLE CORP 2013
BALANCE SHEET
ID# 22-2994115

Cash and cash equivalents

	Description	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
15,996.41	CRA (BNY Mellon, N.A., Member FDIC) (Principal Holding)	$ 15,996.41	$ 15,996.41		$ 0.00	0.0%	1.2%
	Principal Cash	-115,338.57					
	Income Cash	115,338.57					
Total cash and cash equivalents		**$ 15,996.41**	**$ 15,996.41**	**$ 0.00**	**$ 0.00**		**1.2%**

Fixed income

Taxable

Individual securities Corporate

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
25,000	Citigroup Inc DTD 9/16/2004 5% 9/15/2014 Moody's: BAA3 S&P: BBB+	$ 102.8480	$ 25,712.00	$ 26,262.50	$ -550.50	$ 1,250.00	4.9%	1.9%
25,000	Time Warner Cable Inc DTD 12/11/2009 3.5% 2/1/2015 Moody's: BAA2 S&P: BBB	102.7450	25,686.25	25,722.50	-36.25	875.00	3.4	1.9
25,000	Sara Lee Corp DTD 9/7/2010 2.75% 9/15/2015 Moody's: BAA2 S&P: BBB	102.8320	25,708.00	25,090.75	617.25	687.50	2.7	1.9
25,000	Life Technologies Corp DTD 12/14/2010 3.5% 1/15/2016 Moody's: BAA3 S&P: BBB	104.1650	26,041.25	26,076.50	-35.25	875.00	3.4	1.9
25,000	Juniper Networks Inc DTD 3/3/2011 3.1% 3/15/2016 Moody's: BAA2 S&P: BBB	102.9770	25,744.25	26,250.00	-505.75	775.00	3.0	1.9
25,000	SBC Communications DTD 8/18/2004 5.625% 6/15/2016 Moody's: A3 S&P: A-	110.3580	27,589.50	25,777.00	1,812.50	1,406.25	5.1	2.0

Fixed income

ASH CHARITABLE CORP 2013
BALANCE SHEET
ID # 22-2994115

Taxable

Individual securities Corporate
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
25,000	Thermo Fisher Scientific DTD 8/16/2011 2.25% 8/15/2016 Moody's: BAA1 S&P: BBB	102.2680	25,567.00	25,590.00	-23.00	562.50	2.2	1.9
25,000	Freeport-McMoran C & G DTD 2/13/2012 2.15% 3/1/2017 Moody's: BAA3 S&P: BBB	100.6520	25,163.00	25,393.00	-230.00	537.50	2.1	1.8
25,000	Verizon Communications DTD 4/3/2007 5.5% 4/1/2017 Moody's: BAA1 S&P: BBB+	112.7330	28,183.25	24,673.25	3,510.00	1,375.00	4.9	2.1
25,000	Kellogg Co DTD 5/17/2012 1.75% 5/17/2017 Moody's: BAA1 S&P: BBB+	99.8820	24,970.50	25,422.25	-451.75	437.50	1.8	1.8
25,000	Celgene Corp DTD 8/9/2012 1.9% 8/15/2017 Moody's: BAA2 S&P: BBB+	99.5270	24,881.75	25,527.00	-645.25	475.00	1.9	1.8
25,000	McDonald's Corp DTD 10/18/2007 5.8% 10/15/2017 Moody's: A2 S&P: A	115.2920	28,823.00	26,614.00	2,209.00	1,450.00	5.0	2.1
25,000	United Parcel Service DTD 1/15/2008 5.5% 1/15/2018 Moody's: AA3 S&P: A+	114.3900	28,597.50	26,246.25	2,351.25	1,375.00	4.8	2.1
Total taxable individual securities			$ 342,667.25	$ 334,645.00	$ 8,022.25	$ 12,081.25		25.1%
Total taxable fixed income			**$ 342,667.25**	**$ 334,645.00**	**$ 8,022.25**	**$ 12,081.25**		**25.1%**
Total fixed income			**$ 342,667.25**	**$ 334,645.00**	**$ 8,022.25**	**$ 12,081.25**		**25.1%**

Equities

ASH CHARITABLE CORP 2013
BALANCE SHEET
ID # 22-299415

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
440	Avago Technologies (AVGO)	$ 52.8790	$ 23,266.76	$ 16,560.72	$ 6,706.04	$ 440.00	1.9%	1.7%
60	Apple Inc (AAPL)	561.0200	33,661.20	3,838.80	29,822.40	732.00	2.2	2.5
320	Bed Bath & Beyond Inc (BBBY)	80.3000	25,696.00	18,920.26	6,775.74	0.00	0.0	1.9
480	Borg-Warner Automotive Inc (BWA)	55.9100	26,836.80	15,539.09	11,297.71	240.00	0.9	2.0
400	Cameron International Corporation (CAM)	59.5300	23,812.00	5,670.40	18,141.60	0.00	0.0	1.7
300	Capital One Financial Corporation (COF)	76.6100	22,983.00	16,307.01	6,675.99	360.00	1.6	1.7
200	Chevron Corporation (CVX)	124.9100	24,982.00	21,163.92	3,818.08	800.00	3.2	1.8
250	Cognizant Technology Solutions Corp (CTSH) Cl A	100.9800	25,245.00	18,459.81	6,785.19	0.00	0.0	1.9
300	Danaher Corp (DHR)	77.2000	23,160.00	8,176.92	14,983.08	30.00	0.1	1.7
300	Deere & Co (DE)	91.3300	27,399.00	16,054.50	11,344.50	612.00	2.2	2.0
300	The Walt Disney Company (DIS)	76.4000	22,920.00	12,061.16	10,858.84	258.00	1.1	1.7
500	Gilead Sciences Inc (GILD)	75.1000	37,550.00	11,009.17	26,540.83	0.00	0.0	2.8
175	Goldman Sachs Group Inc (GS)	177.2600	31,020.50	19,870.90	11,149.60	385.00	1.2	2.3
25	Google Inc (GOOG)	1,120.7100	28,017.75	8,721.75	19,296.00	0.00	0.0	2.1
400	Home Depot Inc (HD)	82.3400	32,936.00	9,616.54	23,319.46	624.00	1.9	2.4
600	JP Morgan Chase & Co (JPM)	58.4800	35,088.00	22,889.08	12,198.92	912.00	2.6	2.6
250	Johnson & Johnson (JNJ)	91.5900	22,897.50	12,921.81	9,975.69	660.00	2.9	1.7
765	Mondelez International (MDLZ)	35.3000	27,004.50	20,842.96	6,161.54	428.40	1.6	2.0
800	Oracle Corp (ORCL)	38.2600	30,608.00	286.39	30,321.61	384.00	1.3	2.2
400	Pepsico Inc (PEP)	82.9400	33,176.00	8,418.33	24,757.67	908.00	2.7	2.4
500	Pfizer Inc (PFE)	30.6300	15,315.00	16,653.00	-1,338.00	520.00	3.4	1.1
185	Praxair Inc (PX)	130.0300	24,055.55	14,155.59	9,899.96	444.00	1.9	1.8
25	Priceline.Com Inc (PCLN)	1,162.4000	29,060.00	14,862.12	14,197.88	0.00	0.0	2.1
300	Qualcomm Inc (QCOM)	74.2500	22,275.00	19,757.80	2,517.20	420.00	1.9	1.6
300	Roper Industries Inc (ROP)	138.6800	41,604.00	5,578.00	36,026.00	240.00	0.6	3.0
450	Salesforce.Com Inc (CRM)	55.1900	24,835.50	18,883.16	5,952.34	0.00	0.0	1.8

Equities

ASH CHARITABLE CORP 2013
BALANCE SHEET
ID # 22-2994115

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
250	Schlumberger Ltd (SLB)	90.1100	22,527.50	3,542.32	18,985.18	312.50	1.4	1.7
335	Target Corp (TGT)	63.2700	21,195.45	23,209.74	-2,014.29	576.20	2.7	1.6
250	Thermo Fisher Scientific Inc. (TMO)	111.3500	27,837.50	15,263.24	12,574.26	150.00	0.5	2.0
240	Twitter, Inc. (TWTR)	63.6500	15,276.00	10,149.04	5,126.96	0.00	0.0	1.1
200	United Technologies Corp (UTX)	113.8000	22,760.00	2,752.38	20,007.62	472.00	2.1	1.7
300	Verizon Communications Inc (VZ)	49.1400	14,742.00	15,137.73	-395.73	636.00	4.3	1.1
Total U.S. large cap			**$ 839,743.51**	**$ 427,273.64**	**$ 412,469.87**	**$ 11,544.10**		**61.4%**

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
920	Associated Banc Corp (ASBC)	$ 17.4000	$ 16,008.00	$ 12,737.00	$ 3,271.00	$ 331.20	2.1%	1.2%
300	Iberiabank Corp (IBKC)	62.8500	18,855.00	15,289.87	3,565.13	408.00	2.2	1.4
300	Mednax Inc (MD)	53.3800	16,014.00	9,425.40	6,588.60	0.00	0.0	1.2
400	Rackspace Hosting Inc (RAX)	39.1300	15,652.00	8,379.03	7,272.97	0.00	0.0	1.1
300	Thoratec Corporation (THOR)	36.6000	10,980.00	10,538.63	441.37	0.00	0.0	0.8
Total U.S. mid cap			**$ 77,509.00**	**$ 56,369.93**	**$ 21,139.07**	**$ 739.20**		**5.7%**

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
240	BHP Ltd (BHP) Sponsored ADR	$ 68.2000	$ 16,368.00	$ 17,946.57	$ -1,578.57	$ 556.80	3.4%	1.2%
380	Roche Holdings Ltd Sponsored ADR (RHHBY)	70.2000	26,676.00	17,472.67	9,203.33	617.12	2.3	2.0
500	Total S.A. ADR (TOT)	61.2700	30,635.00	31,116.77	-481.77	1,313.00	4.3	2.2

ASH CHARITABLE CORP 2013
BALANCE SHEET
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Developed international
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
465	Vodafone Group PLC (VOD) Sponsored ADR	39.3100	18,279.15	13,259.80	5,019.35	739.35	4.0	1.3
Total developed international			**$ 91,958.15**	**$ 79,795.81**	**$ 12,162.34**	**$ 3,226.27**		**6.7%**
Total equities			**$ 1,009,210.66**	**$ 563,439.38**	**$ 445,771.28**	**$ 15,509.57**		**73.8%**
Total assets			**$ 1,367,874.32**	**$ 914,080.79**	**$ 453,793.53**	**$ 27,590.82**		**100.0%**

BNY MELLON
WEALTH MANAGEMENT

ASH CHARITABLE FOUNDATION INC

Form 990 PF
Capital Gains + Losses
ID# 22-2994115

Forms 1099 for 2013

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

A schedule of your net gains and losses is shown below. Although we have shown quantity sold on the sales with either two decimal places, as a whole number, or as a fractional share, all of these amounts will be filed with the IRS as whole numbers per IRS publication 1220. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return. Note also that an asterisk symbol (*) next to tax cost indicates that the tax cost has been adjusted for current year's return of capital. If applicable, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Proceeds from Short Term Covered Security Transactions

This category includes transactions that are short-term gain or loss on Form 1099-B, Box 1c. Form 1099-B, Box 6b is checked because this category includes only sales of covered securities. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part I, Box A.

Short Term Covered Security Transactions (Form 8949, Part I, Box A)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition (Box 1b)	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis (Box 3)	Net gain or loss
C APACHE CORPORATION COM	APA	037411105	100	01/09/13	04/12/13	$7,513 92	$8,044.67	$-530 75
C CAPITAL ONE FINL CORP COM	COF	14040H105	85	04/02/13	11/05/13	$5,946 73	$4,620 32	$1,326 41
Total short term gain/loss from covered security transactions not subject to wash sale:						$13,460.65	$12,664.99	$795 66

Total short term gain/loss from covered security transactions:	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Report each transaction on Form 8949, Part I, Box A →	$13,460.65	$12,664.99	$795.66	$0.00	$795.66

BNY MELLON
WEALTH MANAGEMENT

ASH CHARITABLE FOUNDATION INC

FORM 990 PF
CAPITAL GAINS + LOSSES
ID # 22-2994115

Proceeds from Long Term Covered Security Transactions

This category includes transactions that are long-term gain or loss on Form 1099-B, Box 1c. Form 1099-B, Box 6b is checked because this category includes only sales of covered securities. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part II, Box D.

Long Term Covered Security Transactions (Form 8949, Part II, Box D)

	Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition (Box 1b)	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis (Box 3)	Net gain or loss
C	BROADCOM CORP CL A	BRCM	111320107	325	01/05/11	02/01/13	$10,627 16	$13,982 96	$-3,355 80
C	METLIFE INC	MET	59156R108	275	04/18/11	04/18/13	$9,779 99	$11,919 27	$-2,139.28
C	J P MORGAN CHASE & CO	JPM	46625H100	150	10/18/12	11/04/13	$7,838 86	$6,502.50	$1,336.36
C	PRICELINE COM INC	PCLN	741503403	5	10/11/12	11/05/13	$5,413 41	$2,972.43	$2,440 98
C	THERMO ELECTRON CORP	TMO	883556102	80	05/10/11	11/05/13	$7,778 07	$4,884 24	$2,893 83
C	EBAY INC	EBAY	278642103	120	07/18/12	11/07/13	$6,311.15	$4,821.90	$1,489 25
C	EBAY INC	EBAY	278642103	120	07/18/12	11/26/13	$5,823.59	$4,821.90	$1,001.69
	Total long term gain/loss from covered security transactions not subject to wash sale:						$53,572 23	$49,905 20	$3,667.03

Total long term gain/loss from covered security transactions:	**Gross Proceeds**	**Tax Cost**	**Gain or Loss**	**Loss Disallowed**	**Net Gain or Loss**
Report each transaction on Form 8949, Part II, Box D →	$53,572.23	$49,905.20	$3,667.03	$0.00	$3,667.03

Proceeds from Long Term Noncovered Security Transactions

This category includes transactions that are long-term gain or loss. Form 1099-B, Box 6a is checked because this category includes only sales of non-covered securities. For sales of noncovered securities we report security description, symbol, cusip, quantity sold, sale date, federal income tax with-held and gross proceeds to the Internal Revenue Service but we do not report acquisition date, tax cost, wash sale loss disallowed and type of gain or loss. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part II, Box E.

Long Term Noncovered Security Transactions (Form 8949, Part II, Box E)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis	Net gain or loss
PROCTER & GAMBLE CO COM	PG	742718109	300	02/03/95	01/10/13	$20,600.39	$4,879.71	$15,720.68
TIFFANY & CO NEW	TIF	886547108	300	11/05/01	02/07/13	$18,712 23	$7,442 94	$11,269 29

BNY MELLON
WEALTH MANAGEMENT

ASH CHARITABLE FOUNDATION INC

Form 990PF
Capital Gains & Losses
ID # 22-2994115

Long Term Noncovered Security Transactions (Form 8949, Part II, Box E)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis	Net gain or loss
EATON VANCE CORP COM NON VTG	EV	278265103	292	09/05/01	04/01/13	$12,107.39	$4,901.22	$7,206 17
EATON VANCE CORP COM NON VTG	EV	278265103	8	09/05/01	04/02/13	$329 86	$134 28	$195.58
EATON VANCE CORP COM NON VTG	EV	278265103	200	06/23/03	04/02/13	$8,246 52	$3,172 91	$5,073 61
CHECK POINT SOFTWARE TECHNOL	CHKP	M22465104	100	06/24/10	04/03/13	$4,516.94	$3,073.00	$1,443 94
CHECK POINT SOFTWARE TECHNOL	CHKP	M22465104	200	06/10/10	04/03/13	$9,033.87	$6,067.80	$2,966.07
C APACHE CORPORATION COM	APA	037411105	100	06/24/10	04/12/13	$7,513 92	$9,066 72	$-1,552 80
GENERAL ELEC CAP CORP MEDIUM	GE 13	36962G3T9	25000	01/26/09	05/01/13	$25,000.00	$25,000 00	$0.00
GLAXOSMITHKLINE CAP INC	GSK 13	377372AC1	25000	03/17/09	05/15/13	$25,000.00	$26,008.25	$-1,008 25
ADOBE SYS INC COM	ADBE	00724F101	140	10/13/10	05/30/13	$6,059 43	$3,879 89	$2,179 54
ADOBE SYS INC COM	ADBE	00724F101	125	10/13/10	07/02/13	$5,766.00	$3,464.19	$2,301 81
ADOBE SYS INC COM	ADBE	00724F101	375	03/31/09	07/02/13	$17,298.00	$8,080 58	$9,217.42
TRANSCANADA CORP	TRP	89353D107	182	11/18/10	08/22/13	$7,885 47	$6,399 26	$1,486.21
TRANSCANADA CORP	TRP	89353D107	183	11/18/10	08/23/13	$7,914 50	$6,434 43	$1,480.07
DEERE JOHN CAP CORP MTN	DE 13	24422EQU6	25000	02/06/09	09/09/13	$25,000.00	$25,651.00	$-651 00
CATERPILLAR FIN SERV 6.2% 9	CAT 13	14912L4C2	25000	02/04/09	09/30/13	$25,000 00	$26,195 50	$-1,195.50
AMGEN INC SR NT	AMGN19	031162AZ3	25000	02/06/09	11/04/13	$28,781.25	$26,237 00	$2,544 25
UNITED TECHNOLOGIES CORP	UTX	913017109	50	04/10/96	11/04/13	$5,382 41	$688 10	$4,694.31
ORACLE CORPORATION	ORCL	68389X105	200	06/25/92	11/04/13	$6,713.88	$71 60	$6,642.28
GILEAD SCIENCES INC	GILD	375558103	100	03/26/09	11/05/13	$6,961.03	$2,201.83	$4,759 20
WYETH	W525514	983024AE0	25000	01/27/09	12/16/13	$25,162.75	$26,798.50	$-1,635 75
Total long term gain/loss from noncovered security transactions not subject to wash sale:						$298,985.84	$225,848.71	$73,137.13

	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Total long term gain/loss from noncovered security transactions:					
Report each transaction on Form 8949, Part II, Box E →	$298,985.84	$225,848.71	$73,137.13	$0.00	$73,137.13

Total proceeds reported to IRS on Form 1099-B $366,018.72