Form 990-PF

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
MAX AND MARIAN FARASH CHARITABLE FOUNDATION

A Employer identification number
22-2948675

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
255 EAST AVENUE

B Telephone number
585-218-9855

City or town, state or province, country, and ZIP or foreign postal code
ROCHESTER, NY 14604

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 266,534,076.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 0. | | N/A | |
| | 2 Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 12,341. | 12,341. | | STATEMENT 2 |
| | 4 Dividends and interest from securities | 5,406,941. | 5,406,941. | | STATEMENT 3 |
| | 5a Gross rents | 7,956,617. | 7,188,015. | | STATEMENT 4 |
| | b Net rental income or (loss) -183,470. | | | | STATEMENT 5 |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 51,042,547. | | | STATEMENT 1 |
| | b Gross sales price for all assets on line 6a 231,168,674. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 62,533,435. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 Total Add lines 1 through 11 | 64,418,446. | 75,140,732. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 224,000. | 0. | | 224,000. |
| | 14 Other employee salaries and wages | 657,783. | 0. | | 658,976. |
| | 15 Pension plans, employee benefits | 126,615. | 0. | | 92,516. |
| | 16a Legal fees STMT 6 | 311,985. | 53,875. | | 469,488. |
| | b Accounting fees STMT 7 | 262,478. | 92,918. | | 0. |
| | c Other professional fees STMT 8 | 3,008,986. | 107,165. | | 96,405. |
| | 17 Interest | 1,477,642. | 1,477,642. | | 0. |
| | 18 Taxes STMT 9 | 1,787,073. | 1,383,723. | | 37,917. |
| | 19 Depreciation and depletion | 850,638. | 1,575,404. | | |
| | 20 Occupancy | 114,021. | 0. | | 114,021. |
| | 21 Travel, conferences, and meetings | 27,106. | 0. | | 28,540. |
| | 22 Printing and publications | 832. | 0. | | 406. |
| | 23 Other expenses STMT 10 | 5,484,373. | 4,284,821. | | 197,835. |
| | 24 Total operating and administrative expenses Add lines 13 through 23 | 14,333,532. | 8,975,548. | | 1,920,104. |
| | 25 Contributions, gifts, grants paid | 12,438,419. | | | 4,835,125. |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 26,771,951. | 8,975,548. | | 6,755,229. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 37,646,495. | | | |
| | b Net investment income (if negative, enter -0-) | | 66,165,184. | | |
| | c Adjusted net income (if negative, enter -0-) | | | N/A | |

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323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions. Form 990-PF (2013)



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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | 11,740,883. | 6,408,612. | 6,408,612. |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 5,165,358. | 859,930. | 859,930. |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 13 | 9,990,315. | 16,976,000. | 16,976,000. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ 11,608,822. | | | |
| | Less accumulated depreciation ▶ | 29,651,003. | 11,608,822. | 11,608,822. |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 14 | 72,150,096. | 228,024,514. | 228,024,514. |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ STATEMENT 15) | 129,214,591. | 2,656,198. | 2,656,198. |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 257,912,246. | 266,534,076. | 266,534,076. |
| Liabilities | 17 Accounts payable and accrued expenses | 741,363. | 249,524. | |
| | 18 Grants payable | 9,640. | 1,112,934. | |
| | 19 Deferred revenue | 3,394,384. | 132,361. | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | 40,828,613. | | |
| | 22 Other liabilities (describe ▶ STATEMENT 16) | 1,667,000. | 7,588,790. | |
| | 23 **Total liabilities** (add lines 17 through 22) | 46,641,000. | 9,083,609. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 2,775,824. | 2,775,824. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 208,495,422. | 254,674,643. | |
| | 30 **Total net assets or fund balances** | 211,271,246. | 257,450,467. | |
| | 31 **Total liabilities and net assets/fund balances** | 257,912,246. | 266,534,076. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 211,271,246. |
| 2 | Enter amount from Part I, line 27a | 2 | 37,646,495. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 12 | 3 | 8,532,726. |
| 4 | Add lines 1, 2, and 3 | 4 | 257,450,467. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 257,450,467. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENTS | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 231,168,674. | 21,168,627. | 189,803,866. | 62,533,435. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 62,533,435. |

| | | |
|---|---|---|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 62,533,435. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | 3 | N/A |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 10,087,724. | 199,192,916. | .050643 |
| 2011 | 3,026,800. | 175,459,860. | .017251 |
| 2010 | 1,116,870. | 11,319,498. | .098668 |
| 2009 | 207,570. | 9,657,266. | .021494 |
| 2008 | 273,378. | 4,308,306. | .063454 |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | .251510 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .050302 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 233,012,250. |
| 5 Multiply line 4 by line 3 | 5 | 11,720,982. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 661,652. |
| 7 Add lines 5 and 6 | 7 | 12,382,634. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 13,347,108. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | 1 | 661,652. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 661,652. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 661,652. |
| 6 | Credits/Payments: | | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a 675,109. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | 7 | 675,109. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | 8 | |
| 9 | **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 13,457. |
| 11 | Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ | 13,457. **Refunded** ▶ | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | 1b | | X |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A | Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) STMT 17 | 11 | X | |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ WWW.FARASHFOUNDATION.ORG

14 The books are in care of ▶ SUSAN ROSENBLOOM Telephone no. ▶ 585-218-9855
Located at ▶ 255 EAST AVENUE, ROCHESTER, NY ZIP+4 ▶ 14604

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

*If "Yes" to 6b, file Form 8870*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 18 | | 224,000. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| HOLLIS S. BUDD<br>255 EAST AVENUE, ROCHESTER, NY 14604 | EXECUTIVE DIRECTOR<br>40.00 | 237,441. | 23,426. | 0. |
| ISOBEL GOLDMAN<br>255 EAST AVENUE, ROCHESTER, NY 14604 | DIRECTOR OF GRANTS & PROGRAMS<br>40.00 | 161,532. | 15,403. | 0. |
| SUSAN ROSENBLOOM<br>255 EAST AVENUE, ROCHESTER, NY 14604 | DIRECTOR OF FINANCE AND ADMINISTRAT<br>40.00 | 101,453. | 10,521. | 0. |
| ALICIA JINKS<br>255 EAST AVENUE, ROCHESTER, NY 14604 | GRANTS ADMINISTRATION MANAGER<br>40.00 | 51,954. | 5,408. | 0. |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| CBRE - 120 CORPORATE WOODS, STE 210, ROCHESTER, NY 14623 | REAL ESTATE COMMISSIONS | 1,605,204. |
| CABOT GROUP 130 LINDEN OAKS, ROCHESTER, NY 14625 | PROPERTY MANAGEMENT / COMMISSIONS | 1,243,846. |
| HARTER SECREST & EMERY, LLP 1600 BAUSH & LOMB PLACE, ROCHESTER, NY 14604 | LEGAL | 367,664. |
| LVW ADVISORS 67 MONROE AVE, ROCHESTER, NY 14534 | FINANCIAL CONSULTING | 269,199. |
| EFP ROTENBERG, LLP - 280 KENNETH DRIVE, STE 100, ROCHESTER, NY 14623 | ACCOUNTING | 260,182. |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 1 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions. | |
| 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 200,742,855. |
| b | Average of monthly cash balances | 1b | 8,894,448. |
| c | Fair market value of all other assets | 1c | 26,923,357. |
| d | **Total** (add lines 1a, b, and c) | 1d | 236,560,660. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 236,560,660. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 3,548,410. |
| 5 | **Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 233,012,250. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 11,650,613. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 11,650,613. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 — 2a 661,652. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) — 2b | | |
| c | Add lines 2a and 2b | 2c | 661,652. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 10,988,961. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 10,988,961. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 10,988,961. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 6,755,229. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 91,879. |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | 6,500,000. |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 13,347,108. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 661,652. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 12,685,456. |

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 10,988,961. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 5,032,148. | |
| b Total for prior years: ____ , ____ , ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | |
| e From 2012 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 13,347,108. | | | | |
| a Applied to 2012, but not more than line 2a | | | 5,032,148. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 8,314,960. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 2,674,001. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9. | | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 19

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| SEE ATTACHED SUMMARY OF GRANTS AND CONTRIBUTIONS | NONE | PUBLIC CHARITY | CHARITABLE PURPOSE | 4,835,125. |
| **Total** | | | ▶ 3a | 4,835,125. |
| *b Approved for future payment* | | | | |
| 2013 CHARITABLE SET-ASIDE | NONE | PUBLIC CHARITY | CHARITABLE PURPOSE | 6,500,000. |
| 2012 CHARITABLE SET ASIDE | NONE | PUBLIC CHARITY | CHARITABLE PURPOSE<br>CHARITABLE PURPOSE | 1,088,790. |
| 2013 VARIOUS GRANTS - SEE ATTACHED SCHEDULE | NONE | PUBLIC CHARITY | CHARITABLE PURPOSE | 1,112,934. |
| **Total** | | | ▶ 3b | 8,701,724. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 12,341. | |
| 4 Dividends and interest from securities | | | 14 | 4,117,750. | 1,289,191. |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | 17 | -183,470. | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 50,170,288. | 872,259. |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 54,116,909. | 2,161,450. |

**13 Total.** Add line 12, columns (b), (d), and (e) 13 56,278,359.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | Date | ▶ CHAIR & TRUSTEE Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| VINCENT J. TOMEI, III CPA | Vincent J Tomei III CPA | 10/13/14 | | P00936595 |
| Firm's name ▶ EFP ROTENBERG LLP | | | Firm's EIN ▶ 26-4298079 | |
| Firm's address ▶ 280 KENNETH DRIVE ROCHESTER, NY 14623 | | | Phone no. (585) 427-8900 | |

Form **990-PF** (2013)

323622
10-10-13

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | VARIOUS REAL ESTATE SALES | D | VARIOUS | VARIOUS |
| b | 27,611.977 SHS OF PIMCO FDS TOTAL RETURN FUND | P | | |
| c | 1,123.9380 SHS OF PIMMCO FDS TOTAL RETURN FUND | P | | |
| d | 416,056.576 SHS OF PIMOC FDS TOTAL RETURNS FUND | P | | |
| e | M&T A/C 2225 SHORT-TERM SEE ATTACHED | P | | |
| f | M&T A/C 2225 LONG-TERM SEE ATTACHED | P | | |
| g | M&T A/C 2225 LONG-TERM SEE ATTACHED | P | | |
| h | M&T A/C 2230 SHORT-TERM SEE ATTACHED | P | | |
| i | M&T A/C 2230 LONG-TERM SEE ATTACHED | P | | |
| j | M&T A/C 2230 LONG-TERM SEE ATTACHED | P | | |
| k | M&T A/C 2231 SHORT-TERM SEE ATTACHED | P | | |
| l | M&T A/C 2231 LONG-TERM SEE ATTACHED | P | | |
| m | BOA CLASS ACTION SETTLEMENT FOR CREDIT SUISSE GRO | P | | |
| n | BOA CLASS ACTION SETTLEMENT FOR MF GLOBAL HOLDING | P | | |
| o | BOA CLASS ACTION SETTLEMENT FOR MARVELL TECHNOLOG | P | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 187,172,813. | 21,168,627. | 153,942,893. | 54,398,547. |
| b | 308,978. | | 312,969. | -3,991. |
| c | 12,577. | | 12,498. | 79. |
| d | 4,655,673. | | 4,697,340. | -41,667. |
| e | 21,677,086. | | 22,027,446. | -350,360. |
| f | 1,238,774. | | 1,281,322. | -42,548. |
| g | 5,737,057. | | 5,630,163. | 106,894. |
| h | 1,000,082. | | 867,099. | 132,983. |
| i | 743,620. | | 537,214. | 206,406. |
| j | 27,611. | | 15,969. | 11,642. |
| k | 418,786. | | 370,890. | 47,896. |
| l | 142,809. | | 108,063. | 34,746. |
| m | 897. | | | 897. |
| n | 189. | | | 189. |
| o | 39. | | | 39. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| a | | | | 54,398,547. |
| b | | | | -3,991. |
| c | | | | 79. |
| d | | | | -41,667. |
| e | | | | -350,360. |
| f | | | | -42,548. |
| g | | | | 106,894. |
| h | | | | 132,983. |
| i | | | | 206,406. |
| j | | | | 11,642. |
| k | | | | 47,896. |
| l | | | | 34,746. |
| m | | | | 897. |
| n | | | | 189. |
| o | | | | 39. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | |

323591
05-01-13

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | CAPITAL GAINS DIVIDENDS | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| f | | | | |
| g | | | | |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 8,031,683. | | | 8,031,683. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| f | | | | |
| g | | | | |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| a | | | | 8,031,683. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| f | | | | |
| g | | | | |
| h | | | | |
| i | | | | |
| j | | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 62,533,435. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

323591
05-01-13

As of December 31, 2013

**Future Grant Expense**

| Name | Amount |
| --- | --- |
| American Jewish Joint Distribution Comm | 250,000.00 |
| Friends of Ganondagan | 100,000.00 |
| Garth Fagan Dance Company | 100,000.00 |
| Geva Theatre Center | 100,000.00 |
| Campus of Jewish Education | 12,934.40 |
| Partnerships to Uplift Communities | 350,000.00 |
| Rochester Oratorio Society | 100,000.00 |
| The Baobab Cultural Center | 100,000.00 |
| **Total Page 11 Part XV 3 (b)** | **1,112,934.40** |

# Farash Foundation Holdings, LLC
# Transaction Detail By Account
## January through December 2013

Grant Expense

| Name | Amount |
|---|---|
| 2020 MicroClinic Initiative | 20,000 00 |
| A C Center, Inc , DBA AIDS Care | 3,000 00 |
| Action for a Better Community, Inc | 2,500 00 |
| Alfred State SUNY College of Technology | 5,000 00 |
| American Diabetes Assoc, Rochester | 2,000 00 |
| American Jewish Joint Distribution Comm | 72,405 00 |
| ASRAS - Rochester Academy of Science | 15,000 00 |
| Bivona Child Advocacy Center | 1,000 00 |
| Boy Scouts of America Seneca Waterways Co | 10,000 00 |
| Brockport Foundation, Inc | 42,580 00 |
| Center for Governmental Research | 2,500 00 |
| Center for Teen Empowerment | 50,000 00 |
| Center for Youth | 5,810 00 |
| Chabad Lubavitch of Rochester | 128,400 00 |
| Community Child Care Center Third Ward | 2,500 00 |
| Congregation Beth Hakneses Hachodosh | 19,500 00 |
| Congregation Beth Hamedresh - Beth Israel | 12,500 00 |
| Congregation Beth Sholom | 10,000 00 |
| Congregation Etz Chaim | 2,500 00 |
| Daystar for Medically Fragile Children | 2,500 00 |
| Empire Justice Center | 5,000 00 |
| Empire State Sports Foundation | 1,000 00 |
| Finger Lakes Community College Foundation | 9,314 00 |
| Flower City Habitat for Humanity | 5,000 00 |
| Foodlink, Inc | 150,000 00 |
| Friends of Ganondagan | 75,000 00 |
| Friends of Garden Aerial | 51,000 00 |
| Garth Fagan Dance Company | 3,500 00 |
| Genesee Country Village & Museum | 10,725 00 |
| Genesee Valley Presbyterian Nursing Cente | 10,000 00 |
| George Eastman House | 56,000 00 |
| Greater Roch Comm of Churches-Anderson | 1,000 00 |
| Group 14621 Community Association, Inc | 5,000 00 |
| Harold Grinspoon Foundation | 100,000 00 |
| High Tech Rochester Inc | 102,500 00 |
| Hillel Community Day School | 90,000 00 |
| Hobart and William Smith Colleges | 84,443 00 |
| Hochstein School of Music & Dance | 100,000 00 |
| Holy Cross Catholic School | 5,000 00 |
| Hope Hall | 15,000 00 |
| Hope Initiatives, CDC Inc | 53,500 00 |
| House of Mercy | 2,500 00 |
| HUGS Foundation, Inc | 5,000 00 |
| Irish American Cultural Institute | 2,500 00 |
| Jewish Community Center of Gr Roch | 141,000 00 |
| Jewish Family Service of Rochester, Inc | 142,800 00 |
| Jewish Federation of Greater Rochester | 594,500 00 |
| Junior Achievement of Rochester, New York | 27,500 00 |
| Life Listening Resources | 75,000 00 |
| Lifespan | 2,500 00 |
| Light of Israel | 62,500 00 |

# Farash Foundation Holdings, LLC
# Transaction Detail By Account
## January through December 2013

**Grant Expense**

| Name | Amount |
|---|---|
| McQuaid Jesuit | 5,000 00 |
| Monroe Community College Foundation | 15,385 00 |
| Monroe County Sheriff's Foundation | 1,000 00 |
| Nazareth College | 56,769 00 |
| Norrn East Area Development, Inc | 64,500 00 |
| Oberlin College | 5,000 00 |
| Ontano ARC | 40,000 00 |
| Ontano Community Services Foundation | 10,000 00 |
| Ora Academy | 46,000 00 |
| Our Lady of Mercy | 2,500 00 |
| Partners in Community Development, Inc | 15,000 00 |
| PathStone | 50,000 00 |
| Quad A for Kids | 35,000 00 |
| Research Foundation for SUNY | 49,700 00 |
| RIT - Office of Financial Aid and Scholar | 5,909 00 |
| Roberts Wesleyan College | 41,563 00 |
| Rochester Area Interfaith Hospitality | 50,000 00 |
| Rochester Arts Festival | 25,000 00 |
| Rochester Education Foundation | 45,000 00 |
| Rochester General Hospital Foundation | 5,000 00 |
| Rochester Hearing & Speech Center | 25,000 00 |
| Rochester Hope for Pets | 3,900 00 |
| Rochester Institute of Technology | 55,391 00 |
| Rochester Museum and Science Center | 129,665 00 |
| Rochester Philharmonic Orchestra, Inc | 6,000 00 |
| Rochester Regional Community Design | 50,000 00 |
| Rochester Rotary Chantable Trust, Inc | 1,000 00 |
| School of the Holy Childhood | 105,000 00 |
| Sector 4 Community Development Corporatio | 50,000 00 |
| Seton Foundation | 5,000 00 |
| Siena College | 5,000 00 |
| Sisters of Saint Joseph of Rocnester | 1,450 00 |
| St John Bosco Schools | 15,000 00 |
| St John Fisher College | 47,741 50 |
| Talmudical Institute of Upstate New York | 117,500 00 |
| Temple Beth Am | 2,500 00 |
| Temple Beth David | 10,000 00 |
| Temple Beth El | 121,300 00 |
| Temple Beth El, Geneva | 62,500 00 |
| Temple B'nth Kodesh | 144,600 00 |
| Temple Emanu-El | 14,829 00 |
| Temple Sinai | 105,000 00 |
| The Baobob Cultural Center | 2,500 00 |
| The Charles Finney School | 4,000 00 |
| The Children's Agenda | 10,000 00 |
| The Harley School | 180,000 00 |
| The Little Theatre | 2,500 00 |
| Third Presbytenan Church | 3,000 00 |
| Today's Students Tomorrow's Teachers | 50,000 00 |
| Uncommon Schools/Rochester Prep | 5,000 00 |
| United States Holocaust Museum | 15,000 00 |

# Farash Foundation Holdings, LLC
# Transaction Detail By Account
## January through December 2013

**Grant Expense**

| Name | Amount |
|---|---|
| University of Rochester | 195,106 00 |
| Urban Choice Charter School | 50,000 00 |
| Utica College | 2,500 00 |
| Various | 10,795 72 |
| Water for Sudan | 5,000 00 |
| West Irondequoit Foundation | 50,000 00 |
| Wharton School | 50,000 00 |
| Wilson Commencement Park | 44,544 00 |
| YMCA of Greater Rochester | 7,500 00 |
| Young Women's College Prep Foundation | 2,500 00 |
| YWCA of Rochester and Monroe County | 100,000 00 |
| Total Grants | 4,835,125.22 |

# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042225** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number.** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

## 2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Short Term Sales Reported on 1099-B** | | | | | | | | |
| 922908736 | VANGUARD GROWTH ETF | | VUG | | | | | |
| 13866.0000 | 04/25/2013 | Various | 1,082,550 59 | 891,677.91 | 0 00 | 190,872.68 | 0.00 | 0 00 |
| 693390700 | PIMCO FDS TOTAL RETURN FUND | | PTTRX | | | | | |
| 492947.8440 | 07/03/2013 | Various | 5,304,118 80 | 5,737,847 36 | 0.00 | -433,728 56 | 0 00 | 0 00 |
| 693390700 | PIMCO FDS TOTAL RETURN FUND | | PTTRX | | | | | |
| 23169.6010 | 07/25/2013 | 12/04/2012 | 250,000 00 | 269,694.16 | 0.00 | -19,694.16 | 0 00 | 0 00 |
| 693390700 | PIMCO FDS TOTAL RETURN FUND | | PTTRX | | | | | |
| 522744 6570 | 08/01/2013 | Various | 5,609,050.17 | 5,851,835 26 | 0.00 | -242,785 09 | 0 00 | 0 00 |
| 258620103 | DOUBLELINE TOTAL RETURN BOND FD CL-I | | DBLTX | | | | | |
| 215287.2040 | 09/10/2013 | Various | 2,329,407 54 | 2,444,782 64 | 0.00 | -115,375 10 | 0 00 | 0 00 |
| 32008F200 | FIRST EAGLE OVERSEAS FUND CLASS I | | SGOIX | | | | | |
| 184817.1370 | 11/19/2013 | Various | 4,585,313.17 | 4,235,010 74 | 0.00 | 350,302 43 | 0 00 | 0 00 |
| 51855H101 | LAUDUS MONDRIAN INST EM MKT | | LIEMX | | | | | |
| 202878 5790 | 11/19/2013 | 12/04/2012 | 1,836,051 14 | 1,919,231.36 | 0.00 | -83,180.22 | 0 00 | 0 00 |
| 921937777 | VANGUARD S/T BOND INDEX-INST | | VBITX | | | | | |
| 64572 5810 | 12/06/2013 | 07/03/2013 | 680,595.00 | 677,366.37 | 0.00 | 3,228.63 | 0 00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042225** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold *(Box 1e)* | Description *(Box 8)* / Date of Sale or Exchange *(Box 1a)* | Date of Acquisition *(Box 1b)* | Stock or Other Symbol *(Box 1d)* / Stocks Bonds, etc *(Box 2a)* | Cost or Other Basis *(Box 3)* | Wash Sale Loss Disallowed *(Box 5)* | Net Gain or Loss | Federal Income Tax Withheld *(Box 4)* | State Tax Withheld *(Box 15)* |
|---|---|---|---|---|---|---|---|---|
| **Total Short Term Sales Reported on 1099-B** | | | **21,677,086.41** | **22,027,445 80** | **0.00** | **-350,359.39** | **0 00** | **0 00** |
| **Report on Form 8949, Part I, with Box A checked** | | | | | | | | |
| **Long Term Sales Reported on 1099-B** | | | | | | | | |
| 693390700 | PIMCO FDS TOTAL RETURN FUND | | PTTRX | | | | | |
| 1074 1570 | 07/03/2013 | Various | 11,557 93 | 12,020 19 | 0.00 | -462 26 | 0 00 | 0 00 |
| 258620103 | DOUBLELINE TOTAL RETURN BOND FD CL-I | | DBLTX | | | | | |
| 113421 0600 | 09/10/2013 | Various | 1,227,215.87 | 1,269,301 33 | 0.00 | -42,085.46 | 0 00 | 0 00 |
| **Total Long Term Sales Reported on 1099-B** | | | **1,238,773.80** | **1,281,321.52** | **0.00** | **-42,547.72** | **0 00** | **0 00** |

**Report on Form 8949, Part II, with Box D checked**

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042225** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

## 2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
**For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc (Box 2a) | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Long Term Sales Reported on 1099-B** | | | | | | | | |
| 32008F200 | FIRST EAGLE OVERSEAS FUND CLASS I | | SGOIX | | | | | |
| 91698 4330 | 04/25/2013 | Various | 2,165,000.00 | 2,052,141.00 | 0 00 | 112,859.00 | 0 00 | 0 00 |
| 693390700 | PIMCO FDS TOTAL RETURN FUND | | PTTRX | | | | | |
| 26501 7670 | 04/25/2013 | 08/10/2011 | 300,000.00 | 293,904 60 | 0.00 | 6,095 40 | 0 00 | 0.00 |
| 693390700 | PIMCO FDS TOTAL RETURN FUND | | PTTRX | | | | | |
| 35717 7760 | 07/03/2013 | Various | 384,323.26 | 395,827.95 | 0 00 | -11,504 69 | 0 00 | 0 00 |
| 32008F200 | FIRST EAGLE OVERSEAS FUND CLASS I | | SGOIX | | | | | |
| 39249 6730 | 11/19/2013 | Various | 973,784.39 | 874,142.48 | 0.00 | 99,641 91 | 0 00 | 0.00 |
| 51855H101 | LAUDUS MONDRIAN INST EM MKT | | LIEMX | | | | | |
| 211486 0620 | 11/19/2013 | Various | 1,913,948.86 | 2,014,146.90 | 0.00 | -100,198 04 | 0 00 | 0.00 |
| **Total Long Term Sales Reported on 1099-B** | | | **5,737,056.51** | **5,630,162.93** | **0.00** | **106,893.58** | **0.00** | **0.00** |

**Report on Form 8949, Part II, with Box E checked**

**This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.**

TEP201571_17_381 15 ct 28

# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | 1042231 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
| **Payer's Federal ID Number:** | 16-0538020 |
| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

## 2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Short Term Sales Reported on 1099-B** | | | | | | | | |
| 92343V104 | VERIZON COMMUNICATIONS COM | | VZ | | | | | |
| 1915.0000 | 02/14/2013 | 06/06/2012 | 84,749.86 | 79,965.61 | 0.00 | 4,784.25 | 0.00 | 0.00 |
| 92343V104 | VERIZON COMMUNICATIONS COM | | VZ | | | | | |
| 1035.0000 | 02/15/2013 | Various | 45,766.88 | 45,267.98 | 0.00 | 498.90 | 0.00 | 0.00 |
| 92343V104 | VERIZON COMMUNICATIONS COM | | VZ | | | | | |
| 1350.0000 | 02/19/2013 | 12/05/2012 | 60,072.71 | 59,284.30 | 0.00 | 788.41 | 0.00 | 0.00 |
| 609207105 | MONDELEZ INTERNATIONAL INC | | MDLZ | | | | | |
| 2800.0000 | 03/22/2013 | 06/06/2012 | 83,196.77 | 69,036.40 | 0.00 | 14,160.37 | 0.00 | 0.00 |
| 423074103 | HEINZ H J CO COM | | HNZ | | | | | |
| 2000.0000 | 06/07/2013 | 12/05/2012 | 145,000.00 | 117,335.80 | 0.00 | 27,664.20 | 0.00 | 0.00 |
| **Total Short Term Sales Reported on 1099-B** | | | **418,786.22** | **370,890.09** | **0.00** | **47,896.13** | **0.00** | **0.00** |
| **Report on Form 8949, Part I, with Box A checked** | | | | | | | | |
| **Long Term Sales Reported on 1099-B** | | | | | | | | |
| 423074103 | HEINZ H J CO COM | | HNZ | | | | | |
| 1550.0000 | 06/07/2013 | 06/06/2012 | 112,375.00 | 82,835.26 | 0.00 | 29,539.74 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042231** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 494368103 | KIMBERLY CLARK CORP COM | | KMB | | | | | |
| 315.0000 | 06/26/2013 | 06/06/2012 | 30,434.49 | 25,227.25 | 0.00 | 5,207.24 | 0.00 | 0.00 |
| **Total Long Term Sales Reported on 1099-B** | | | **142,809.49** | **108,062.51** | **0.00** | **34,746.98** | **0.00** | **0.00** |

**Report on Form 8949, Part II, with Box D checked**

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**This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.**

# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

**Account Number:** 1042230
**Recipient's Tax ID Number:** XX-XXX8675
**Payer's Federal ID Number:** 16-0538020
**Payer's State ID Number:**
**State:** NY
**Questions?** (716)842-5207

☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

# 2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Short Term Sales Reported on 1099-B** | | | | | | | | |
| 084423102 | BERKLEY W R CORPORATION | | WRB | | | | | |
| 10.0000 | 01/29/2013 | 12/05/2012 | 412.20 | 398.04 | 0.00 | 14.16 | 0.00 | 0.00 |
| 78440X101 | SL GREEN REALTY CORP (REIT) | | SLG | | | | | |
| 80.0000 | 01/30/2013 | 12/05/2012 | 6,428.94 | 6,016.19 | 0.00 | 412.75 | 0.00 | 0.00 |
| 084423102 | BERKLEY W R CORPORATION | | WRB | | | | | |
| 100.0000 | 02/04/2013 | 12/05/2012 | 4,112.90 | 3,980.36 | 0.00 | 132.54 | 0.00 | 0.00 |
| 084423102 | BERKLEY W R CORPORATION | | WRB | | | | | |
| 110.0000 | 02/04/2013 | 12/05/2012 | 4,527.04 | 4,378.40 | 0.00 | 148.64 | 0.00 | 0.00 |
| 78440X101 | SL GREEN REALTY CORP (REIT) | | SLG | | | | | |
| 440.0000 | 02/06/2013 | 12/05/2012 | 35,562.86 | 33,089.06 | 0.00 | 2,473.80 | 0.00 | 0.00 |
| 084423102 | BERKLEY W R CORPORATION | | WRB | | | | | |
| 70.0000 | 02/07/2013 | 12/05/2012 | 2,909.84 | 2,786.25 | 0.00 | 123.59 | 0.00 | 0.00 |
| 084423102 | BERKLEY W R CORPORATION | | WRB | | | | | |
| 110.0000 | 02/07/2013 | 12/05/2012 | 4,574.32 | 4,378.39 | 0.00 | 195.93 | 0.00 | 0.00 |
| 26885G109 | ERA GROUP INC | | ERA | | | | | |
| 100.0000 | 02/08/2013 | 02/24/2012 | 2,101.27 | 2,179.88 | 0.00 | -78.61 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

**Account Number:** 1042230
**Recipient's Tax ID Number:** XX-XXX8675
**Payer's Federal ID Number:** 16-0538020
**Payer's State ID Number:**
**State:** NY
**Questions?** (716)842-5207

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 084423102 | BERKLEY W R CORPORATION | | WRB | | | | | |
| 470.0000 | 02/11/2013 | 12/05/2012 | 19,417.75 | 18,707.69 | 0.00 | 710.06 | 0.00 | 0.00 |
| 26885G109 | ERA GROUP INC | | ERA | | | | | |
| 610.0000 | 02/12/2013 | Various | 12,726.25 | 12,178.47 | 0.00 | 547 78 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 370.0000 | 02/13/2013 | 12/05/2012 | 10,726.94 | 11,866 68 | 0.00 | -1,139.74 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 340.0000 | 02/22/2013 | 12/05/2012 | 9,568.60 | 10,904.51 | 0.00 | -1,335.91 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 127.0000 | 02/25/2013 | 12/05/2012 | 3,564.95 | 4,073.16 | 0.00 | -508.21 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 53.0000 | 02/25/2013 | 12/05/2012 | 1,490.39 | 1,699.82 | 0.00 | -209.43 | 0.00 | 0.00 |
| 049164205 | ATLAS AIR WORLDWIDE HOLDINGS | | AAWW | | | | | |
| 310.0000 | 02/27/2013 | 12/05/2012 | 14,557.89 | 13,269.77 | 0.00 | 1,288.12 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 620.0000 | 02/28/2013 | 12/05/2012 | 17,583.98 | 19,884.70 | 0.00 | -2,300.72 | 0.00 | 0.00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 100.0000 | 03/07/2013 | 06/21/2012 | 2,404.94 | 2,820.31 | 0.00 | -415.37 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | 1042230 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
| **Payer's Federal ID Number:** | 16-0538020 |
| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks, Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 110.0000 | 03/08/2013 | 06/21/2012 | 2,691.65 | 3,102.35 | 0.00 | -410.70 | 0.00 | 0.00 |
| 049164205 | ATLAS AIR WORLDWIDE HOLDINGS | | AAWW | | | | | |
| 390.0000 | 03/11/2013 | 12/05/2012 | 17,060.28 | 16,694.22 | 0.00 | 366.06 | 0.00 | 0.00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 230.0000 | 03/12/2013 | Various | 5,668.38 | 6,375.39 | 0.00 | -707.01 | 0.00 | 0.00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 280.0000 | 03/18/2013 | 06/28/2012 | 6,721.32 | 7,727.47 | 0.00 | -1,006.15 | 0.00 | 0.00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 100.0000 | 03/22/2013 | Various | 2,461.94 | 2,297.95 | 0.00 | 163 99 | 0.00 | 0.00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 200.0000 | 03/22/2013 | 12/05/2012 | 4,893.89 | 4,536.94 | 0.00 | 356.95 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 90.0000 | 03/26/2013 | 12/05/2012 | 3,057.23 | 3,342.26 | 0.00 | -285.03 | 0.00 | 0.00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 50.0000 | 03/26/2013 | 12/05/2012 | 1,217.83 | 1,134.23 | 0.00 | 83.60 | 0.00 | 0.00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 100.0000 | 03/26/2013 | 12/05/2012 | 2,421.94 | 2,268.47 | 0.00 | 153.47 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

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|---|---|---|---|---|---|---|---|---|
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 50 0000 | 03/27/2013 | 12/05/2012 | 1,689.01 | 1,856.81 | 0.00 | -167.80 | 0.00 | 0.00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 197.0000 | 03/27/2013 | 12/05/2012 | 4,761.28 | 4,468.89 | 0.00 | 292.39 | 0.00 | 0 00 |
| 729132100 | PLEXUS CORP | | PLXS | | | | | |
| 49.0000 | 03/27/2013 | 12/05/2012 | 1,174.50 | 1,111.55 | 0.00 | 62.95 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 660.0000 | 04/02/2013 | 12/05/2012 | 22,129.10 | 24,509.89 | 0.00 | -2,380.79 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 50.0000 | 04/11/2013 | 12/05/2012 | 1,745.42 | 1,856.81 | 0.00 | -111.39 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 110.0000 | 04/11/2013 | 12/05/2012 | 3,833.14 | 4,084.98 | 0.00 | -251.84 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 90.0000 | 04/12/2013 | 12/05/2012 | 3,113.80 | 3,342.26 | 0.00 | -228.46 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 50.0000 | 04/12/2013 | 12/05/2012 | 1,727.96 | 1,856.81 | 0.00 | -128.85 | 0.00 | 0.00 |
| 053807103 | AVNET INC COM | | AVT | | | | | |
| 40.0000 | 04/16/2013 | 12/05/2012 | 1,324.24 | 1,183.62 | 0.00 | 140.62 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | 1042230 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
| **Payer's Federal ID Number:** | 16-0538020 |
| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

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**Covered (Box 6a is not checked)**

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|---|---|---|---|---|---|---|---|---|
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 370.0000 | 04/16/2013 | 12/05/2012 | 12,259.34 | 13,740.39 | 0.00 | -1,481.05 | 0.00 | 0.00 |
| 053807103 | AVNET INC COM | | AVT | | | | | |
| 620.0000 | 04/26/2013 | 12/05/2012 | 19,832.54 | 18,346.05 | 0.00 | 1,486.49 | 0.00 | 0.00 |
| 254709108 | DISCOVER FINANCIAL SERVICES | | DFS | | | | | |
| 110.0000 | 04/30/2013 | 12/05/2012 | 4,813.94 | 4,491.50 | 0.00 | 322.44 | 0.00 | 0.00 |
| 22662X100 | CRIMSON WINE GROUP LTD | | CWGL | | | | | |
| 28.0000 | 05/06/2013 | 11/14/2012 | 249.75 | 166.41 | 0.00 | 83.34 | 0.00 | 0.00 |
| 22662X100 | CRIMSON WINE GROUP LTD | | CWGL | | | | | |
| 190.0000 | 05/06/2013 | Various | 1,694.76 | 1,242.75 | 0.00 | 452.01 | 0.00 | 0.00 |
| 053807103 | AVNET INC COM | | AVT | | | | | |
| 50.0000 | 05/07/2013 | 12/05/2012 | 1,635.84 | 1,479.52 | 0.00 | 156.32 | 0.00 | 0.00 |
| 053807103 | AVNET INC COM | | AVT | | | | | |
| 550.0000 | 05/07/2013 | 12/05/2012 | 17,982.06 | 16,274.72 | 0.00 | 1,707.34 | 0.00 | 0.00 |
| 053807103 | AVNET INC COM | | AVT | | | | | |
| 760.0000 | 05/08/2013 | Various | 25,331.83 | 23,266.62 | 0.00 | 2,065.21 | 0.00 | 0.00 |
| 22662X100 | CRIMSON WINE GROUP LTD | | CWGL | | | | | |
| 220.0000 | 05/08/2013 | 12/05/2012 | 1,973.35 | 1,458.26 | 0.00 | 515.09 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

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|---|---|---|---|---|---|---|---|---|
| 053807103 | AVNET INC COM | | AVT | | | | | |
| 710.0000 | 05/16/2013 | 03/12/2013 | 24,197.95 | 25,611 90 | 0.00 | -1,413.95 | 0.00 | 0.00 |
| 053611109 | AVERY DENNISON CORP COM | | AVY | | | | | |
| 550.0000 | 05/20/2013 | Various | 24,434.30 | 18,461.89 | 0.00 | 5,972.41 | 0.00 | 0.00 |
| 65105M108 | NEWCASTLE INVESTMENT CORP | | NCT | | | | | |
| 4070.0000 | 05/21/2013 | Various | 22,991.31 | 24,416.00 | 0.00 | -1,424.69 | 0.00 | 0.00 |
| 65105M108 | NEWCASTLE INVESTMENT CORP | | NCT | | | | | |
| 4040.0000 | 05/28/2013 | Various | 20,766.45 | 26,067.01 | 0.00 | -5,300.56 | 0.00 | 0.00 |
| 053611109 | AVERY DENNISON CORP COM | | AVY | | | | | |
| 530 0000 | 05/29/2013 | Various | 23,166.63 | 18,532.41 | 0.00 | 4,634.22 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 160.0000 | 05/29/2013 | 12/05/2012 | 10,183.15 | 9,814.13 | 0.00 | 369.02 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 94.0000 | 06/13/2013 | 11/14/2012 | 2,632.16 | 1,894.12 | 0.00 | 738.04 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 100.0000 | 06/13/2013 | 11/14/2012 | 2,789.93 | 2,015.02 | 0.00 | 774.91 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 40.0000 | 06/17/2013 | 11/14/2012 | 1,108.08 | 806.01 | 0.00 | 302.07 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



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MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
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|---|---|---|---|---|---|---|---|---|
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 50.0000 | 06/17/2013 | 11/14/2012 | 1,403.84 | 1,007.50 | 0.00 | 396.34 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 656 0000 | 06/19/2013 | Various | 17,548.02 | 14,194.27 | 0.00 | 3,353.75 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 225.0000 | 06/28/2013 | 12/05/2012 | 13,901.58 | 11,754.43 | 0.00 | 2,147.15 | 0 00 | 0.00 |
| 254709108 | DISCOVER FINANCIAL SERVICES | | DFS | | | | | |
| 380.0000 | 07/08/2013 | 12/05/2012 | 19,071.82 | 15,516.08 | 0.00 | 3,555 74 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 10.0000 | 07/09/2013 | 12/05/2012 | 644.12 | 507.46 | 0.00 | 136.66 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 740.0000 | 07/12/2013 | 12/05/2012 | 47,928.96 | 45,390.34 | 0.00 | 2,538.62 | 0.00 | 0.00 |
| 64828T102 | NEW RESIDENTIAL INVESTMENT CORP REIT | | NRZ | | | | | |
| 2360.0000 | 07/22/2013 | Various | 15,546 47 | 15,894.60 | 0.00 | -348.13 | 0 00 | 0.00 |
| 053611109 | AVERY DENNISON CORP COM | | AVY | | | | | |
| 530.0000 | 07/23/2013 | Various | 23,903.22 | 19,023.17 | 0.00 | 4,880.05 | 0.00 | 0.00 |
| 64828T102 | NEW RESIDENTIAL INVESTMENT CORP REIT | | NRZ | | | | | |
| 2050.0000 | 07/24/2013 | Various | 13,269.62 | 13,806.75 | 0 00 | -537.13 | 0 00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

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|---|---|---|---|---|---|---|---|---|
| 64828T102 | NEW RESIDENTIAL INVESTMENT CORP REIT | | NRZ | | | | | |
| 2070.0000 | 08/01/2013 | Various | 13,713.51 | 13,941.45 | 0.00 | -227.94 | 0.00 | 0.00 |
| 64828T102 | NEW RESIDENTIAL INVESTMENT CORP REIT | | NRZ | | | | | |
| 500.0000 | 08/01/2013 | 05/15/2013 | 3,354.24 | 3,367.50 | 0.00 | -13.26 | 0.00 | 0.00 |
| 053611109 | AVERY DENNISON CORP COM | | AVY | | | | | |
| 550.0000 | 08/02/2013 | Various | 25,118.94 | 21,632.41 | 0.00 | 3,486.53 | 0.00 | 0.00 |
| 64828T102 | NEW RESIDENTIAL INVESTMENT CORP REIT | | NRZ | | | | | |
| 1130.0000 | 08/05/2013 | 05/15/2013 | 7,496.51 | 7,610.55 | 0.00 | -114.04 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 1030.0000 | 09/24/2013 | Various | 27,937.75 | 23,141.19 | 0.00 | 4,796.56 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 10.0000 | 10/01/2013 | 12/05/2012 | 745.33 | 507.46 | 0.00 | 237.87 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 20.0000 | 10/01/2013 | 12/05/2012 | 1,483.00 | 1,014.92 | 0.00 | 468.08 | 0.00 | 0.00 |
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 195.0000 | 10/02/2013 | 12/05/2012 | 7,460.92 | 4,212.88 | 0.00 | 3,248.04 | 0.00 | 0.00 |
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 1359.0000 | 10/03/2013 | 12/05/2012 | 51,966.16 | 29,360.51 | 0.00 | 22,605.65 | 0.00 | 0.00 |

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1100 WEHRLE DRIVE, 2ND FLOOR
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| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
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|---|---|---|---|---|---|---|---|---|
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 227.0000 | 10/03/2013 | 12/05/2012 | 8,684.86 | 4,904.22 | 0.00 | 3,780.64 | 0.00 | 0.00 |
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 453.0000 | 10/03/2013 | Various | 17,326.94 | 10,144.22 | 0.00 | 7,182.72 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 23.0000 | 10/04/2013 | 12/05/2012 | 1,662.94 | 1,167.16 | 0.00 | 495.78 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 142.0000 | 10/04/2013 | 12/05/2012 | 10,236.25 | 7,205.96 | 0.00 | 3,030.29 | 0.00 | 0.00 |
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 113.0000 | 10/04/2013 | 03/12/2013 | 4,323.30 | 2,673.40 | 0.00 | 1,649.90 | 0.00 | 0.00 |
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 113.0000 | 10/04/2013 | 03/12/2013 | 4,324.43 | 2,673.40 | 0.00 | 1,651.03 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 83.0000 | 10/07/2013 | 12/05/2012 | 5,949.66 | 4,211.94 | 0.00 | 1,737.72 | 0.00 | 0.00 |
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 227.0000 | 10/07/2013 | 03/12/2013 | 8,681.46 | 5,370.46 | 0.00 | 3,311.00 | 0.00 | 0.00 |
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 533.0000 | 10/07/2013 | 03/12/2013 | 20,381.56 | 12,609.92 | 0.00 | 7,771.64 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | 1042230 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
| **Payer's Federal ID Number:** | 16-0538020 |
| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
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255 EAST AVENUE, 4TH FLOOR
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|---|---|---|---|---|---|---|---|---|
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 18.0000 | 10/08/2013 | 12/05/2012 | 1,291.02 | 913.43 | 0.00 | 377.59 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 27.0000 | 10/08/2013 | 12/05/2012 | 1,944.04 | 1,370.15 | 0.00 | 573 89 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 40.0000 | 10/09/2013 | 12/05/2012 | 2,894.20 | 2,029.85 | 0.00 | 864.35 | 0 00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 20.0000 | 10/09/2013 | 12/05/2012 | 1,435.57 | 1,014.92 | 0.00 | 420.65 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 18.0000 | 10/10/2013 | 12/05/2012 | 1,305.24 | 913.43 | 0.00 | 391.81 | 0 00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 59.0000 | 10/10/2013 | 12/05/2012 | 4,325.19 | 2,994.03 | 0.00 | 1,331.16 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 20.0000 | 10/24/2013 | 12/05/2012 | 585.88 | 449 48 | 0.00 | 136.40 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 20.0000 | 10/24/2013 | 12/05/2012 | 585.78 | 449.48 | 0.00 | 136.30 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 670.0000 | 10/29/2013 | 12/05/2012 | 19,349.93 | 15,057.55 | 0.00 | 4,292.38 | 0.00 | 0.00 |

# 2013 Tax Information Statement

**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | 1042230 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
| **Payer's Federal ID Number:** | 16-0538020 |
| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks, Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 195.0000 | 11/04/2013 | 12/05/2012 | 10,488.10 | 6,296.63 | 0.00 | 4,191.47 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 50.0000 | 11/04/2013 | 12/05/2012 | 2,704.70 | 1,614.52 | 0.00 | 1,090.18 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 355.0000 | 11/05/2013 | 12/05/2012 | 18,857.16 | 11,463.09 | 0.00 | 7,394 07 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 290.0000 | 11/08/2013 | 12/05/2012 | 15,132.89 | 9,364.22 | 0.00 | 5,768.67 | 0.00 | 0 00 |
| 91338E101 | UNIVERSAL AMERICAN CORP | | UAM | | | | | |
| 370.0000 | 11/11/2013 | 12/05/2012 | 2,615.85 | 2,496.09 | 0.00 | 119 76 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 550 0000 | 11/21/2013 | 12/05/2012 | 27,626.84 | 17,759.72 | 0.00 | 9,867.12 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 420.0000 | 11/25/2013 | Various | 21,502.28 | 16,422.29 | 0.00 | 5,079.99 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 700.0000 | 12/06/2013 | Various | 34,964.95 | 31,198.96 | 0.00 | 3,765.99 | 0.00 | 0 00 |
| **Total Short Term Sales Reported on 1099-B** | | | **1,000,082.37** | **867,099.28** | **0.00** | **132,983.09** | **0.00** | **0.00** |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | 1042230 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
| **Payer's Federal ID Number:** | 16-0538020 |
| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

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|---|---|---|---|---|---|---|---|---|
| **Report on Form 8949, Part I, with Box A checked** | | | | | | | | |
| **Long Term Sales Reported on 1099-B** | | | | | | | | |
| 848574109 | SPIRIT AEROSYSTEMS HOLD-CL A | | SPR | | | | | |
| 1100.0000 | 01/10/2013 | Various | 18,415.66 | 17,720.34 | 0.00 | 695.32 | 0.00 | 0.00 |
| 084423102 | BERKLEY W R CORPORATION | | WRB | | | | | |
| 310.0000 | 01/22/2013 | 09/02/2011 | 12,752.74 | 9,399 20 | 0.00 | 3,353.54 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 23.0000 | 01/28/2013 | 09/02/2011 | 1,438.96 | 1,147.93 | 0.00 | 291.03 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 53.0000 | 01/28/2013 | 09/02/2011 | 3,311.61 | 2,645.23 | 0.00 | 666.38 | 0.00 | 0.00 |
| 084423102 | BERKLEY W R CORPORATION | | WRB | | | | | |
| 440.0000 | 01/29/2013 | Various | 18,136.61 | 13,286.10 | 0.00 | 4,850.51 | 0.00 | 0.00 |
| 78440X101 | SL GREEN REALTY CORP (REIT) | | SLG | | | | | |
| 330.0000 | 01/30/2013 | Various | 26,519.36 | 23,433.90 | 0.00 | 3,085.46 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 270.0000 | 01/31/2013 | 09/02/2011 | 10,692.06 | 6,877.74 | 0.00 | 3,814.32 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

**Account Number:** 1042230
**Recipient's Tax ID Number:** XX-XXX8675
**Payer's Federal ID Number:** 16-0538020
**Payer's State ID Number:**
**State:** NY
**Questions?** (716)842-5207

☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

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**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 20.0000 | 02/04/2013 | 09/02/2011 | 1,244 77 | 998.20 | 0.00 | 246 57 | 0 00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 150.0000 | 02/04/2013 | 09/02/2011 | 4,396.66 | 4,174.50 | 0.00 | 222.16 | 0.00 | 0 00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 100 0000 | 02/04/2013 | 09/02/2011 | 2,928.89 | 2,783.00 | 0.00 | 145 89 | 0.00 | 0.00 |
| 049164205 | ATLAS AIR WORLDWIDE HOLDINGS | | AAWW | | | | | |
| 60.0000 | 02/05/2013 | 09/02/2011 | 2,665.04 | 2,832.60 | 0.00 | -167.56 | 0.00 | 0.00 |
| 049164205 | ATLAS AIR WORLDWIDE HOLDINGS | | AAWW | | | | | |
| 60.0000 | 02/05/2013 | 09/02/2011 | 2,678.89 | 2,832.60 | 0.00 | -153.71 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 20.0000 | 02/05/2013 | 09/02/2011 | 1,240.57 | 998.20 | 0.00 | 242.37 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 44.0000 | 02/05/2013 | 09/02/2011 | 2,727.32 | 2,196.04 | 0.00 | 531.28 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 80.0000 | 02/05/2013 | 09/02/2011 | 2,383.38 | 2,226.40 | 0.00 | 156.98 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 220.0000 | 02/05/2013 | 09/02/2011 | 6,556.16 | 6,122.60 | 0.00 | 433.56 | 0.00 | 0.00 |

# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | 1042230 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
| **Payer's Federal ID Number:** | 16-0538020 |
| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

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**Covered (Box 6a is not checked)**

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|---|---|---|---|---|---|---|---|---|
| 75281A109 | RANGE RESOURCES CORP COM | | RRC | | | | | |
| 240.0000 | 02/05/2013 | Various | 16,480.96 | 15,299.80 | 0.00 | 1,181 16 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 100.0000 | 02/06/2013 | 09/02/2011 | 3,016.75 | 2,783.00 | 0.00 | 233.75 | 0.00 | 0.00 |
| 049164205 | ATLAS AIR WORLDWIDE HOLDINGS | | AAWW | | | | | |
| 140.0000 | 02/11/2013 | Various | 6,315.21 | 6,393.89 | 0.00 | -78.68 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 90.0000 | 02/12/2013 | 09/02/2011 | 3,692.88 | 2,292.58 | 0.00 | 1,400.30 | 0.00 | 0.00 |
| 587118100 | MENS WEARHOUSE INC COM | | MW | | | | | |
| 330.0000 | 02/13/2013 | Various | 9,567.28 | 9,509.90 | 0.00 | 57.38 | 0.00 | 0.00 |
| 754730109 | RAYMOND JAMES FINANCIAL INC | | RJF | | | | | |
| 180.0000 | 02/22/2013 | 09/02/2011 | 8,072.36 | 4,755.60 | 0.00 | 3,316.76 | 0.00 | 0.00 |
| 878237106 | TECH DATA CORP COM | | TECD | | | | | |
| 100.0000 | 02/22/2013 | 09/02/2011 | 5,369.80 | 4,619.30 | 0.00 | 750.50 | 0.00 | 0.00 |
| 053807103 | AVNET INC COM | | AVT | | | | | |
| 260.0000 | 02/25/2013 | 09/02/2011 | 9,085.98 | 6,669.16 | 0.00 | 2,416.82 | 0.00 | 0.00 |
| 049164205 | ATLAS AIR WORLDWIDE HOLDINGS | | AAWW | | | | | |
| 490.0000 | 02/27/2013 | Various | 23,010.85 | 19,626.45 | 0.00 | 3,384.40 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

**Account Number:** 1042230
**Recipient's Tax ID Number:** XX-XXX8675
**Payer's Federal ID Number:** 16-0538020
**Payer's State ID Number:**
**State:** NY
**Questions?** (716)842-5207

☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 754730109 | RAYMOND JAMES FINANCIAL INC | | RJF | | | | | |
| 370.0000 | 02/27/2013 | 09/02/2011 | 16,349.89 | 9,775 40 | 0.00 | 6,574.49 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 140.0000 | 03/11/2013 | 09/02/2011 | 6,238.72 | 3,566.23 | 0.00 | 2,672.49 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 300.0000 | 03/18/2013 | Various | 10,498.41 | 9,590.04 | 0.00 | 908.37 | 0 00 | 0 00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 50.0000 | 03/22/2013 | Various | 1,725.06 | 1,520.98 | 0.00 | 204.08 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 150.0000 | 03/22/2013 | Various | 5,167.01 | 5,017.82 | 0.00 | 149.19 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 50.0000 | 03/25/2013 | Various | 1,701 60 | 1,639.81 | 0.00 | 61 79 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 50 0000 | 03/25/2013 | 12/15/2011 | 1,709.46 | 1,573.67 | 0.00 | 135.79 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 50.0000 | 03/26/2013 | 12/15/2011 | 1,705.15 | 1,573.67 | 0.00 | 131.48 | 0.00 | 0.00 |
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 50.0000 | 03/26/2013 | 12/15/2011 | 1,697.13 | 1,573.67 | 0.00 | 123.46 | 0.00 | 0.00 |

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TEP202931_22_1019 27 of 46

# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
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|---|---|---|---|---|---|---|---|---|
| 127055101 | CABOT CORP COM | | CBT | | | | | |
| 10.0000 | 03/26/2013 | 12/15/2011 | 339.69 | 314.73 | 0.00 | 24.96 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 20.0000 | 04/05/2013 | 09/02/2011 | 1,212.07 | 771.96 | 0.00 | 440.11 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 20.0000 | 04/05/2013 | 09/02/2011 | 1,209.07 | 771.96 | 0.00 | 437.11 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 10.0000 | 04/08/2013 | 09/02/2011 | 604.83 | 385.98 | 0.00 | 218.85 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 50 0000 | 04/09/2013 | 09/02/2011 | 3,048.75 | 1,929.89 | 0.00 | 1,118.86 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 390.0000 | 04/11/2013 | 09/02/2011 | 21,995.27 | 17,179.11 | 0.00 | 4,816.16 | 0.00 | 0.00 |
| 729251108 | PLUM CREEK TIMBER CO INC COM | | PCL | | | | | |
| 440.0000 | 04/11/2013 | 09/02/2011 | 22,862.54 | 16,036.28 | 0.00 | 6,826.26 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 40.0000 | 04/11/2013 | 09/02/2011 | 2,469.96 | 1,543.91 | 0.00 | 926.05 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 50.0000 | 04/11/2013 | 09/02/2011 | 3,077.84 | 1,929.89 | 0.00 | 1,147.95 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
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ATTN: SUSAN ROSENBLOOM
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|---|---|---|---|---|---|---|---|---|
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 210.0000 | 04/12/2013 | 09/02/2011 | 12,894.13 | 8,105 53 | 0.00 | 4,788.60 | 0.00 | 0.00 |
| 053807103 | AVNET INC COM | | AVT | | | | | |
| 1100.0000 | 04/16/2013 | Various | 36,416.55 | 28,004.85 | 0.00 | 8,411 70 | 0.00 | 0.00 |
| 254709108 | DISCOVER FINANCIAL SERVICES | | DFS | | | | | |
| 130.0000 | 04/16/2013 | 09/02/2011 | 5,561.09 | 3,185.00 | 0.00 | 2,376.09 | 0.00 | 0.00 |
| 729251108 | PLUM CREEK TIMBER CO INC COM | | PCL | | | | | |
| 460.0000 | 04/16/2013 | 09/02/2011 | 23,627.55 | 16,765.21 | 0.00 | 6,862.34 | 0.00 | 0.00 |
| 254709108 | DISCOVER FINANCIAL SERVICES | | DFS | | | | | |
| 590.0000 | 04/26/2013 | Various | 25,899.88 | 14,477.50 | 0.00 | 11,422.38 | 0.00 | 0.00 |
| 254709108 | DISCOVER FINANCIAL SERVICES | | DFS | | | | | |
| 390.0000 | 04/30/2013 | 09/07/2011 | 17,067.61 | 9,613.50 | 0.00 | 7,454 11 | 0.00 | 0.00 |
| 22662X100 | CRIMSON WINE GROUP LTD | | CWGL | | | | | |
| 122 0000 | 05/06/2013 | Various | 1,088.21 | 979 03 | 0 00 | 109.18 | 0 00 | 0.00 |
| 46146P102 | INVESTORS BANCORP INC | | ISBC | | | | | |
| 270 0000 | 05/07/2013 | 09/02/2011 | 5,353.59 | 3,680.64 | 0.00 | 1,672.95 | 0 00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 60.0000 | 05/14/2013 | 09/02/2011 | 3,862.23 | 2,994.60 | 0.00 | 867.63 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 130.0000 | 05/14/2013 | 09/02/2011 | 8,374.41 | 6,488 30 | 0.00 | 1,886.11 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 10.0000 | 05/15/2013 | 09/02/2011 | 640 06 | 499.10 | 0.00 | 140.96 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 60.0000 | 05/15/2013 | 09/02/2011 | 3,841.99 | 2,994.60 | 0.00 | 847 39 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 60.0000 | 05/20/2013 | 09/02/2011 | 3,896.50 | 2,994.60 | 0.00 | 901.90 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 50.0000 | 05/22/2013 | 09/02/2011 | 3,299.50 | 1,929.89 | 0.00 | 1,369.61 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 190.0000 | 05/22/2013 | Various | 12,546.28 | 7,067.38 | 0.00 | 5,478.90 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 94.0000 | 05/29/2013 | Various | 6,003.20 | 4,702.54 | 0.00 | 1,300.66 | 0.00 | 0.00 |
| 483007704 | KAISER ALUMINUM CORPORATION | | KALU | | | | | |
| 106.0000 | 05/29/2013 | 09/07/2011 | 6,746.33 | 5,316.96 | 0.00 | 1,429.37 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 210.0000 | 06/03/2013 | 09/02/2011 | 8,518.12 | 5,349.35 | 0.00 | 3,168.77 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | 1042230 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
| **Payer's Federal ID Number:** | 16-0538020 |
| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

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|---|---|---|---|---|---|---|---|---|
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 240.0000 | 06/11/2013 | 09/02/2011 | 6,886.90 | 6,535.87 | 0.00 | 351.03 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 430.0000 | 06/11/2013 | 09/02/2011 | 12,334.42 | 11,710.11 | 0.00 | 624.31 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 32.0000 | 06/12/2013 | 09/02/2011 | 904.59 | 871.45 | 0.00 | 33.14 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 270.0000 | 06/12/2013 | Various | 7,546.50 | 7,146.39 | 0.00 | 400.11 | 0.00 | 0.00 |
| 527288104 | LEUCADIA NATL CORP COM | | LUK | | | | | |
| 248.0000 | 06/13/2013 | 09/07/2011 | 6,944.42 | 6,930.55 | 0.00 | 13.87 | 0.00 | 0.00 |
| 91879Q109 | VAIL RESORTS INC | | MTN | | | | | |
| 275.0000 | 06/28/2013 | Various | 16,990.83 | 10,380.79 | 0.00 | 6,610.04 | 0.00 | 0.00 |
| 344849104 | FOOT LOCKER INC | | FL | | | | | |
| 910 0000 | 07/05/2013 | Various | 32,391 52 | 18,064 20 | 0.00 | 14,327.32 | 0.00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 10.0000 | 07/08/2013 | 09/02/2011 | 637.08 | 440.49 | 0.00 | 196.59 | 0 00 | 0.00 |
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 50.0000 | 07/08/2013 | 09/07/2011 | 3,188.93 | 2,279.00 | 0 00 | 909.93 | 0 00 | 0.00 |

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# 2013 Tax Information Statement

**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

| | |
|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 147528103 | CASEYS GENERAL STORES INC | | CASY | | | | | |
| 60.0000 | 07/09/2013 | Various | 3,864.74 | 2,809.69 | 0.00 | 1,055.05 | 0.00 | 0.00 |
| 46146P102 | INVESTORS BANCORP INC | | ISBC | | | | | |
| 10.0000 | 07/09/2013 | 09/02/2011 | 223.26 | 136.32 | 0.00 | 86.94 | 0.00 | 0.00 |
| 023586100 | AMERCO | | UHAL | | | | | |
| 30.0000 | 07/18/2013 | 09/02/2011 | 5,109.46 | 2,073.90 | 0.00 | 3,035.56 | 0.00 | 0.00 |
| 46146P102 | INVESTORS BANCORP INC | | ISBC | | | | | |
| 90.0000 | 08/01/2013 | 09/02/2011 | 2,016.86 | 1,226.88 | 0.00 | 789.98 | 0.00 | 0.00 |
| 46146P102 | INVESTORS BANCORP INC | | ISBC | | | | | |
| 20.0000 | 08/01/2013 | 09/02/2011 | 447.75 | 272.64 | 0.00 | 175.11 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 430.0000 | 08/02/2013 | Various | 17,396.72 | 11,045.33 | 0.00 | 6,351.39 | 0.00 | 0.00 |
| 46146P102 | INVESTORS BANCORP INC | | ISBC | | | | | |
| 340.0000 | 08/05/2013 | 09/02/2011 | 7,650.20 | 4,634.88 | 0.00 | 3,015.32 | 0.00 | 0.00 |
| 723787107 | PIONEER NATURAL RESOURCES CO | | PXD | | | | | |
| 190.0000 | 08/12/2013 | Various | 33,032.92 | 18,538.17 | 0.00 | 14,494.75 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 210.0000 | 09/23/2013 | 09/07/2011 | 9,394.01 | 5,497.80 | 0.00 | 3,896.21 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

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|---|---|
| **Account Number:** | **1042230** |
| **Recipient's Tax ID Number:** | **XX-XXX8675** |
| **Payer's Federal ID Number:** | **16-0538020** |
| **Payer's State ID Number:** | |
| **State:** | **NY** |
| **Questions?** | **(716)842-5207** |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 566.0000 | 10/02/2013 | 09/02/2011 | 21,657 04 | 9,961.60 | 0.00 | 11,695 44 | 0.00 | 0.00 |
| 608554200 | MOLEX INC CL A | | MOLXA | | | | | |
| 1084.0000 | 10/02/2013 | Various | 41,475 06 | 18,791.76 | 0.00 | 22,683.30 | 0.00 | 0.00 |
| 896522109 | TRINITY INDUSTRIES INC COM | | TRN | | | | | |
| 10.0000 | 11/04/2013 | 09/07/2011 | 537.85 | 261.80 | 0.00 | 276.05 | 0.00 | 0.00 |
| 91338E101 | UNIVERSAL AMERICAN CORP | | UAM | | | | | |
| 1690.0000 | 11/11/2013 | Various | 11,948.09 | 15,656.33 | 0.00 | -3,708.24 | 0.00 | 0.00 |
| 91338E101 | UNIVERSAL AMERICAN CORP | | UAM | | | | | |
| 58.0000 | 12/12/2013 | 12/05/2012 | 420.38 | 391.28 | 0.00 | 29.10 | 0 00 | 0.00 |
| 91338E101 | UNIVERSAL AMERICAN CORP | | UAM | | | | | |
| 445.0000 | 12/12/2013 | 12/05/2012 | 3,214.40 | 3,002.06 | 0.00 | 212.34 | 0.00 | 0.00 |
| 91338E101 | UNIVERSAL AMERICAN CORP | | UAM | | | | | |
| 150.0000 | 12/19/2013 | 12/05/2012 | 1,059.15 | 1,011.93 | 0.00 | 47.22 | 0.00 | 0.00 |
| 91338E101 | UNIVERSAL AMERICAN CORP | | UAM | | | | | |
| 50.0000 | 12/19/2013 | 12/05/2012 | 355.24 | 337.31 | 0.00 | 17.93 | 0 00 | 0.00 |
| 91338E101 | UNIVERSAL AMERICAN CORP | | UAM | | | | | |
| 554.0000 | 12/23/2013 | 12/05/2012 | 4,041.18 | 3,737.39 | 0 00 | 303.79 | 0 00 | 0.00 |
| **Total Long Term Sales Reported on 1099-B** | | | **743,619.93** | **537,213.76** | **0.00** | **206,406 17** | **0.00** | **0.00** |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
MANUFACTURERS & TRADERS TRUST CO.
1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

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|---|---|
| **Account Number:** | 1042230 |
| **Recipient's Tax ID Number:** | XX-XXX8675 |
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| **Payer's State ID Number:** | |
| **State:** | NY |
| **Questions?** | (716)842-5207 |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
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Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip | Description (Box 8) | | Stock or Other Symbol (Box 1d) | | | | | |
|---|---|---|---|---|---|---|---|---|
| Quantity Sold (Box 1e) | Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stocks Bonds, etc (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |

**Report on Form 8949, Part II, with Box D checked**

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# 2013 Tax Information Statement



**Payer's Name and Address:**
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1100 WEHRLE DRIVE, 2ND FLOOR
BUFFALO, NY 14221

**Account Number:** 1042230
**Recipient's Tax ID Number:** XX-XXX8675
**Payer's Federal ID Number:** 16-0538020
**Payer's State ID Number:**
**State:** NY
**Questions?** (716)842-5207

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
MAX & MARIAN FARASH CHARITABLE FDN
ATTN: SUSAN ROSENBLOOM
255 EAST AVENUE, 4TH FLOOR
ROCHESTER , NY 14604

## 2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
**For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Long Term Sales Reported on 1099-B** | | | | | | | | |
| 726503105 | PLAINS ALL AMER PIPELINE L P | | PAA | | | | | |
| 530.0000 | 10/23/2013 | 09/02/2011 | 27,611.19 | 15,968.90 | 0.00 | 11,642.29 | 0.00 | 0.00 |
| **Total Long Term Sales Reported on 1099-B** | | | **27,611.19** | **15,968.90** | **0.00** | **11,642.29** | **0.00** | **0.00** |

**Report on Form 8949, Part II, with Box E checked**

TEP202931_22_1027 35 of 46

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FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

| (A) DESCRIPTION OF PROPERTY | | | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|---|---|
| VARIOUS REAL ESTATE SALES | | | DONATED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) VALUE AT TIME OF ACQ. | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 187,172,813. | 162,622,590. | 2,811,191. | 21,168,627. | 42,907,659. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 27,611.977 SHS OF PIMCO FDS TOTAL RETURN FUND | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 308,978. | 312,969. | 0. | 0. | -3,991. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 1,123.9380 SHS OF PIMMCO FDS TOTAL RETURN FUND | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 12,577. | 12,498. | 0. | 0. | 79. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 416,056.576 SHS OF PIMOC FDS TOTAL RETURNS FUND | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 4,655,673. | 4,697,340. | 0. | 0. | -41,667. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| M&T A/C 2225 SHORT-TERM SEE ATTACHED | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 21,677,086. | 22,027,446. | 0. | 0. | -350,360. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| M&T A/C 2225 LONG-TERM SEE ATTACHED | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 1,238,774. | 1,281,322. | 0. | 0. | -42,548. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| M&T A/C 2225 LONG-TERM SEE ATTACHED | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 5,737,057. | 5,630,163. | 0. | 0. | 106,894. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| M&T A/C 2230 SHORT-TERM SEE ATTACHED | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 1,000,082. | 867,099. | 0. | 0. | 132,983. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| M&T A/C 2230 LONG-TERM SEE ATTACHED | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 743,620. | 537,214. | 0. | 0. | 206,406. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| M&T A/C 2230 LONG-TERM SEE ATTACHED | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 27,611. | 15,969. | 0. | 0. | 11,642. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| M&T A/C 2231 SHORT-TERM SEE ATTACHED | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 418,786. | 370,890. | 0. | 0. | 47,896. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| M&T A/C 2231 LONG-TERM SEE ATTACHED | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 142,809. | 108,063. | 0. | 0. | 34,746. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| BOA CLASS ACTION SETTLEMENT FOR CREDIT SUISSE GROUP | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 897. | 0. | 0. | 0. | 897. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| BOA CLASS ACTION SETTLEMENT FOR MF GLOBAL HOLDINGS | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 189. | 0. | 0. | 0. | 189. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| BOA CLASS ACTION SETTLEMENT FOR MARVELL TECHNOLOGY | PURCHASED | | |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 39. | 0. | 0. | 0. | 39. |

| | |
|---|---|
| CAPITAL GAINS DIVIDENDS FROM PART IV | 8,031,683. |
| TOTAL TO FORM 990-PF, PART I, LINE 6A | 51,042,547. |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| INTEREST - HOLDINGS | 406. | 406. | |
| M&T - SECURITY DEPOSIT ACCOUNTS | 11,935. | 11,935. | |
| TOTAL TO PART I, LINE 3 | 12,341. | 12,341. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| BANK OF AMERICA | 14. | 0. | 14. | 14. | |
| M&T - 2230 | 57,246. | 5,030. | 52,216. | 52,216. | |
| M&T - 2231 | 299,605. | 1,510. | 298,095. | 298,095. | |
| M&T -2024 | 230,411. | 19,156. | 211,255. | 211,255. | |
| M&T -2225 | 1,305,455. | 366,575. | 938,880. | 938,880. | |
| TIFF MULTI ASSET FUND | 11,545,893. | 7,639,412. | 3,906,481. | 3,906,481. | |
| TO PART I, LINE 4 | 13,438,624. | 8,031,683. | 5,406,941. | 5,406,941. | |

FORM 990-PF RENTAL INCOME STATEMENT 4

| KIND AND LOCATION OF PROPERTY | ACTIVITY NUMBER | GROSS RENTAL INCOME |
|---|---|---|
| | 1 | 7,956,617. |
| TOTAL TO FORM 990-PF, PART I, LINE 5A | | 7,956,617. |

FORM 990-PF RENTAL EXPENSES STATEMENT 5

| DESCRIPTION | ACTIVITY NUMBER | AMOUNT | TOTAL |
|---|---|---|---|
| ADVERTISING | | 75,861. | |
| AMORTIZATION EXPENSE | | 7,097. | |
| BUILDING MAINTENANCE & SUPPLIES | | 298,611. | |
| DEPRECIATION | | 780,797. | |
| EQUIPMENT REPAIRS & RENTAL | | 2,890. | |
| INSURANCE | | 326,020. | |
| INTEREST EXPENSE | | 1,477,642. | |
| LAWN, SNOW AND PARKING LOT MAINTENANCE | | 222,360. | |
| LEGAL - REAL ESTATE | | 53,875. | |
| MANAGEMENT COMPANY CHARGE - LABOR | | 823,026. | |
| MANAGEMENT FEES | | 453,994. | |
| OFFICE EXPENSE | | 203,165. | |
| REAL ESTATE TAXES | | 1,383,723. | |
| REPAIRS AND MAINTENANCE RENTAL | | 677,072. | |
| TELEPHONE & UTILITIES | | 1,153,871. | |
| PROFESSIONAL SERVICES | | 107,165. | |
| ACCOUNTING - REAL ESTATE | | 92,918. | |
| | | 0. | |
| - SUBTOTAL - | 1 | | 8,140,087. |
| TOTAL RENTAL EXPENSES | | | 8,140,087. |
| NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B | | | -183,470. |

FORM 990-PF LEGAL FEES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL | 258,110. | 0. | | 469,488. |
| LEGAL - REAL ESTATE | 53,875. | 53,875. | | 0. |
| TO FM 990-PF, PG 1, LN 16A | 311,985. | 53,875. | | 469,488. |

FORM 990-PF ACCOUNTING FEES STATEMENT 7

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING | 169,560. | 0. | | 0. |
| ACCOUNTING - REAL ESTATE | 92,918. | 92,918. | | 0. |
| TO FORM 990-PF, PG 1, LN 16B | 262,478. | 92,918. | | 0. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 8

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| OUTSIDE SERVICES | 90,630. | 0. | | 96,405. |
| SELLING EXPENSES | 2,811,191. | 0. | | 0. |
| PROFESSIONAL SERVICES | 107,165. | 107,165. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 3,008,986. | 107,165. | | 96,405. |

FORM 990-PF TAXES STATEMENT 9

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| EXCISE TAX | 365,433. | 0. | | 0. |
| PAYROLL TAXES | 37,917. | 0. | | 37,917. |
| REAL ESTATE TAXES | 1,383,723. | 1,383,723. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 1,787,073. | 1,383,723. | | 37,917. |

FORM 990-PF OTHER EXPENSES STATEMENT 10

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT FEES | 1,038,609. | 1,038,609. | | 0. |
| ADVERTISING | 4,750. | 0. | | 4,750. |
| DUES AND SUBSCRIPTIONS | 9,087. | 0. | | 8,840. |
| EQUIPMENT REPAIRS | 5,244. | 0. | | 5,244. |
| INSURANCE | 45,695. | 0. | | 48,523. |
| OFFICE EXPENSE | 49,666. | 0. | | 42,035. |
| POSTAGE | 962. | 0. | | 962. |
| SUPPLIES | 6,368. | 0. | | 6,831. |
| PAYROLL FEES | 2,532. | 0. | | 2,532. |
| OTHER EXPENSES - ACCRUAL TO CASH | 0. | -997,755. | | 0. |
| LICENSE AND FEES | 1,500. | 0. | | 1,500. |
| JANITORIAL & TRASH | 10,800. | 0. | | 10,800. |
| TELEPHONE & UTILITIES | 13,788. | 0. | | 14,413. |
| EVENTS | 13,405. | 0. | | 13,405. |
| FFREM - MANAGER FEES | 38,000. | 0. | | 38,000. |
| ADVERTISING | 75,861. | 75,861. | | 0. |
| BUILDING MAINTENANCE & SUPPLIES | 298,611. | 298,611. | | 0. |
| EQUIPMENT REPAIRS & RENTAL | 2,890. | 2,890. | | 0. |
| INSURANCE | 326,020. | 326,020. | | 0. |
| LAWN, SNOW AND PARKING LOT MAINTENANCE | 222,360. | 222,360. | | 0. |
| MANAGEMENT COMPANY CHARGE - LABOR | 823,026. | 823,026. | | 0. |
| MANAGEMENT FEES | 453,994. | 453,994. | | 0. |
| OFFICE EXPENSE | 203,165. | 203,165. | | 0. |
| REPAIRS AND MAINTENANCE RENTAL | 677,072. | 677,072. | | 0. |
| TELEPHONE & UTILITIES | 1,153,871. | 1,153,871. | | 0. |
| AMORTIZATION | 7,097. | 7,097. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 5,484,373. | 4,284,821. | | 197,835. |

FOOTNOTES STATEMENT 11

PART XII
LINE #3A

THE FOUNDATION HAS SUBMITTED FOR APPROVAL A QUALIFYING DISTRIBUTION UNDER THE RULES OF INTERNAL REVENUE CODE SECTION 4942(G)(2)(B)(I) FUTURE CASH PAYMENTS ARE SCHEDULED TO BE PAID OVER THE FOLLOWING 60 MONTHS. THE PURPOSE OF THE SET ASIDE IS FOR THE

CONSTRUCTION OF A CAMPUS FOR JEWISH EDUCATION, LIFE & CULTURE.

| | |
|---|---|
| THE AMOUNT OF THE SET ASIDE | 6,500,000. |

PART XV
IN 2012 THE FOUNDATION SUBMITTED FOR APPROVAL A QUALIFYING DISTRIBUTION UNDER THE RULES OF INTERNAL REVENUE CODE SECTION 4942(G)(2)(B)(I) FUTURE CASH PAYMENTS ARE SCHEDULED BE TO BE PAID OVER THE FOLLOWING 60 MONTHS. THE SET ASIDE IS FOR THE CONSTRUCTION OF A CAMPUS FOR JEWISH EDUCATION, LIFE & CULTURE IN MONROE AND ONTARIO COUNTIES IN NEW YORK

| | |
|---|---|
| THE AMOUNT REQUESTED IN 2012 | 1,500,000. |
| THE AMOUNT PAID IN 2013 | -411,210. |
| REMAINING BALANCE TO BE PAID OVER THE FOLLOWING 48 MONTHS | 1,088,790. |

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 12

| DESCRIPTION | AMOUNT |
|---|---|
| UNREALIZED GAIN (LOSS) ON INVESTMENTS | 8,532,726. |
| TOTAL TO FORM 990-PF, PART III, LINE 3 | 8,532,726. |

FORM 990-PF CORPORATE STOCK STATEMENT 13

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| CORPORATE STOCK | 16,976,000. | 16,976,000. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 16,976,000. | 16,976,000. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 14

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| ALTERNATIVE INVESTMENTS | COST | 165,583,674. | 165,583,674. |
| MONEY MARKET FUNDS | COST | 2,135,650. | 2,135,650. |
| MUTUAL FUNDS/ FIXED INCOME | FMV | 60,305,190. | 60,305,190. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 228,024,514. | 228,024,514. |

FORM 990-PF OTHER ASSETS STATEMENT 15

| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| ASSETS HELD FOR RESALE | 129,214,591. | 2,656,198. | 2,656,198. |
| TO FORM 990-PF, PART II, LINE 15 | 129,214,591. | 2,656,198. | 2,656,198. |

FORM 990-PF OTHER LIABILITIES STATEMENT 16

| DESCRIPTION | BOY AMOUNT | EOY AMOUNT |
|---|---|---|
| DEFERRED EXCISE TAX | 167,000. | 0. |
| GRANTS PAYABLE - SET ASIDE | 1,500,000. | 7,588,790. |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 1,667,000. | 7,588,790. |

FORM 990-PF LIST OF CONTROLLED ENTITIES STATEMENT 17
PART VII-A, LINE 11

| NAME OF CONTROLLED ENTITY | | EMPLOYER ID NO |
|---|---|---|
| 435 WEST COMMERCIAL STREET | | 16-1177076 |
| ADDRESS | EXCESS BUSINESS HOLDING [ ] YES [X] NO | |
| 255 EAST AVENUE<br>ROCHESTER, NY 14604 | | |

| NAME OF CONTROLLED ENTITY | | EMPLOYER ID NO |
|---|---|---|
| RIDGEDALE MANOR I | | 16-6152932 |
| ADDRESS | EXCESS BUSINESS HOLDING [ ] YES [X] NO | |
| 255 EAST AVENUE<br>ROCHESTER, NY 14604 | | |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 18

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN-SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|---|---|---|---|
| MATTHEW AROESTY<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE<br>3.00 | 12,000. | 0. | 0. |
| KENNETH D. BELL<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE & TREASURER<br>6.50 | 31,000. | 0. | 0. |
| LYNN FARASH<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE<br>0.00 | 1,000. | 0. | 0. |
| EDWARD HOURIHAN, JR<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE<br>3.00 | 12,000. | 0. | 0. |
| THOMAS H. JACKSON<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE & COMMITTEE CHAIR<br>6.00 | 15,000. | 0. | 0. |
| HOWARD KONAR<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE & COMMITTEE CHAIR<br>6.00 | 33,000. | 0. | 0. |
| THERESA MAZZULLO<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE & COMMITTEE CHAIR<br>6.00 | 15,000. | 0. | 0. |
| HOFFMAN MOKA LANTUM, MD, PHD<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE COMMITTEE CHAIR &<br>7.50 | 15,000. | 0. | 0. |
| NATHAN J. ROBFOGEL, ESQ.<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE & CHAIR<br>12.00 | 56,000. | 0. | 0. |
| DR. ALVIN L. URELES, MD<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE & VICE CHAIR<br>4.50 | 22,000. | 0. | 0. |

| | | | | |
|---|---|---|---|---|
| GREGORY WOLCOTT<br>255 EAST AVENUE<br>ROCHESTER, NY 14604 | TRUSTEE<br>3.00 | 12,000. | 0. | 0. |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | 224,000. | 0. | 0. |

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 19
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

GRANT APPLICATIONS ARE PROCESSED THROUGH THE FOUNDATION'S ONLINE GRANT MANAGEMENT SOFTWARE SYSTEM.

FORM AND CONTENT OF APPLICATIONS

GRANT APPLICATIONS ARE ACCESSED THROUGH THE FOUNDATION WEBSITE TO THE GRANT MANAGEMENT SOFTWARE SYSTEM.

ANY SUBMISSION DEADLINES

GRANT SUBMISSION DEADLINES ARE POSTED ON THE FOUNDATION WEBSITE.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANT GUIDELINES AND CRITERIA ARE POSTED ON THE FOUNDATION WEBSITE.

Form 8[illegible]8
(Rev Ja[illegible]014)
Departme[illegible] the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (*e-file*).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Enter filer's identifying number**

| | | |
|---|---|---|
| **Type or print**<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>MAX AND MARIAN FARASH CHARITABLE FOUNDATION | Employer identification number (EIN) or<br>22-2948675 |
| | Number, street, and room or suite no If a P O box, see instructions<br>255 EAST AVENUE | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br>ROCHESTER, NY 14604 | |

Enter the Return code for the return that this application is for (file a separate application for each return) | 0 | 4 |

| **Application Is For** | **Return Code** | **Application Is For** | **Return Code** |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ SUSAN ROSENBLOOM
  255 EAST AVENUE - ROCHESTER, NY 14604
  Telephone No ▶ 585-218-9855 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 2013 or
▶ [ ] tax year beginning ______________ , and ending ______________

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 3a | $ 575,000. |
| **b** | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | 3b | $ 675,109. |
| **c** | **Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 3c | $ 0. |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions