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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation AUFZIEN FOUNDATION INC		A Employer identification number 22-2838652	
Number and street (or P O box number if mail is not delivered to street address) 350 PASSAIC AVENUE		B Telephone number (see instructions) (973) 575-5132	
City or town, state or province, country, and ZIP or foreign postal code FAIRFIELD, NJ 07004		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 6,121,059		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	100,000			
	2 Check ▶ <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	116,190	116,190		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	452,451			
	b Gross sales price for all assets on line 6a 4,563,495				
	7 Capital gain net income (from Part IV, line 2) . . .		452,451		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	8,719	8,719		
	12 Total. Add lines 1 through 11	677,360	577,360		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	3,802	3,802		0
	18 Taxes (attach schedule) (see instructions)	1,775	1,775		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	109,992	109,992		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	115,569	115,569		0
	25 Contributions, gifts, grants paid	374,350			374,350
	26 Total expenses and disbursements. Add lines 24 and 25	489,919	115,569		374,350
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	187,441			
	b Net investment income (if negative, enter -0-)		461,791		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	56,150	70,142	70,142
	2	Savings and temporary cash investments	178,828	576,289	576,289
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,432,190	4,148,237	5,460,883
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	0	13,745	13,745
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,667,168	4,808,413	6,121,059
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,667,168	4,808,413	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	4,667,168	4,808,413	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,667,168	4,808,413	

Part III

Analysis of Changes in Net Assets or Fund Balances

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	452,451
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	438,242	5,384,002	0 081397
2011	387,258	5,456,438	0 070973
2010	433,709	5,498,577	0 078877
2009	510,474	5,257,633	0 097092
2008	451,678	8,408,276	0 053718

2	Total of line 1, column (d).	2	0 382057
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 076411
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	5,634,687
5	Multiply line 4 by line 3.	5	430,552
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	4,618
7	Add lines 5 and 6.	7	435,170
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	374,350

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	9,236
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	9,236
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,236
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,270
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	6,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	7,270
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,966
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ 0. (2) On foundation managers \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NJ _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶ALAN AUFZIEN Telephone no ▶(973) 575-5132 Located at ▶350 PASSAIC AVENUE FAIRFIELD NJ ZIP +4 ▶07004			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,274,202
b	Average of monthly cash balances.	1b	446,292
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,720,494
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,720,494
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	85,807
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,634,687
6	Minimum investment return. Enter 5% of line 5.	6	281,734

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	281,734
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	9,236
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	9,236
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	272,498
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	272,498
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	272,498

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	374,350
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	374,350
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	374,350
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				272,498
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	32,974			
b From 2009.	247,662			
c From 2010.	164,362			
d From 2011.	115,500			
e From 2012.	171,038			
f Total of lines 3a through e.	731,536			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 374,350				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				272,498
e Remaining amount distributed out of corpus	101,852			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	833,388			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	32,974			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	800,414			
10 Analysis of line 9				
a Excess from 2009. . . .	247,662			
b Excess from 2010. . . .	164,362			
c Excess from 2011. . . .	115,500			
d Excess from 2012. . . .	171,038			
e Excess from 2013. . . .	101,852			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ALAN AUFZIEN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ALAN AUFZIEN
350 PASSAIC AVENUE
FAIRFIELD, NJ 07004
(973) 575-5132

b

The form in which applications should be submitted and information and materials they should include

LETTER

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	374,350
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	ALAN AUFZIEN 350 PASSAIC AVENUE FAIRFILED, NJ07004	\$ 50,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u>2</u>	LISA AUFZIEN 7 MORAN ROAD WEST ORANGE, NJ07052	\$ 50,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
<u> </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
905 SHS ABBOTT LABS	P	2011-07-07	2013-01-04
905 SHS ABBIVE INC	P	2011-07-07	2013-01-04
799 SHS ASTRAZENCA	P	2013-01-04	2013-04-18
1,217 SHS ASTRAZENCA	P	2013-03-01	2013-05-16
3,509 SHS EATON VANCE SR FL RT T	P	2012-05-16	2013-01-04
1,250 SHS EATON VANCE SR FL RT T	P	2012-08-17	2013-01-04
855 SHS ENERPLUS CORP	P	2013-07-08	2013-12-18
403 SHS ENTERGY CORP	P	2012-07-16	2013-12-03
97 SHS ENTERGY CORP	P	2012-09-11	2013-12-03
157 SHS ENTERGY CORP	P	2012-10-24	2013-12-03
255 SHS ENTERGY CORP	P	2013-05-10	2013-12-03
1,030 SHS EXELON	P	2013-07-24	2013-11-22
4,408 SHS FIRST TR HIGH INCOME	P	2013-01-04	2013-06-27
3,801 SHS FIRST TR HIGH INCOME	P	1943-05-10	2013-06-27
909 SHS GLAXOSMITH KLINE	P	2013-04-01	2013-04-18
482 SHS HEALTH CARE REIT	P	2013-07-24	2013-11-22
380 SHS HEALTH CARE REIT	P	2013-10-23	2013-11-22
643 SHS JOHNSON & JOHNSON	P	2011-04-28	2013-05-16
264 SHS JOHNSON & JOHNSON	P	2011-08-09	2013-05-16
2,802 SHS KON PHILIPS ELEC NV	P	2012-05-30	2013-03-01
695 SHS MCDONALDS CORP	P	2012-11-27	2013-07-24
637 SHS MICROSOFT CORP	P	2012-12-24	2013-05-10
506 SHS MICROSOFT CORP	P	2012-12-24	2013-06-10
1,372 SHS MICROSOFT CORP	P	2013-03-01	2013-06-10
297 SHS NOVARTIS AG	P	2012-06-13	2013-04-18
766 SHS NOVARTIS AG	P	2012-06-13	2013-10-23
8,267 235 SHS PAYDEN EMERGING MKTS BD	P	2012-02-19	2013-02-04
1,318 47 SHS PAYDEN EMERGING MKTS BD	P	2012-02-23	2013-02-04
55 442 SHS PAYDEN EMERGING MKTS BD	P	2012-02-28	2013-02-04
56 094 SHS PAYDEN EMERGING MKTS BD	P	2012-03-29	2013-02-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
55 998 SHS PAYDEN EMERGING MKTS BD	P	2012-04-27	2013-02-04
58 314 SHS PAYDEN EMERGING MKTS BD	P	2012-05-30	2013-02-04
53 646 SHS PAYDEN EMERGING MKTS BD	P	2012-06-28	2013-02-04
51 843 SHS PAYDEN EMERGING MKTS BD	P	2012-07-30	2013-02-04
20,304 003 SHS PAYDEN HIGH INCOME FD	P	2012-01-26	2013-01-07
147 97 SHS PAYDEN HIGH INCOME FD	P	2012-01-30	2013-01-07
146 832 SHS PAYDEN HIGH INCOME FD	P	2012-02-28	2013-01-07
2,150 SHS PROSHARES SHORT S&P 500	P	2013-01-24	2013-05-16
1,172 SHS PROSHARES SHORT S&P 500	P	2013-04-18	2013-05-16
5,270 SHS PROSHARES SHORT S&P 500	P	2013-07-08	2013-11-22
15 PUTS SPDR S&P 500	P	2012-12-28	2013-03-16
8,068 112 SHS RYDEX	P	2013-05-14	2013-07-03
1,963 SHS SPDR DOW JONES INTL	P	2013-07-08	2013-12-16
861 SHS VERIZON COMMUNICATIONS	P	2011-04-01	2013-05-16
491 SHS VERIZON COMMUNICATIONS	P	2011-08-09	2013-05-16
20 SHS ISHARES DOW JONES US REAL ESTATE INDEX	P	2013-06-06	2013-07-22
137 SHS ISHARES DOW JONES US REAL ESTATE INDEX	P	2013-06-06	2013-12-20
67 SHS ISHARES MSCI EAFE INDEX FD	P	2013-01-11	2013-07-22
37 SHS ISHARES MSCI FRONTIER 100 FD	P	2013-05-31	2013-07-22
14 SHS ISHARES RUSSELL 100 VALUE ETF	P	2013-01-11	2013-07-22
22 SHS ISHARES RUSSELL MID CAP VALUE	P	2013-07-02	2013-07-22
272 SHS ISHARES S&P NORTH AMERICAN	P	2013-05-21	2013-06-25
81 SHS ISHARES TR JPMORGAN USD	P	2010-03-02	2013-06-21
4 SHS ISHARES TR JPMORGAN USD	P	2010-03-02	2013-06-26
92 SHS ISHARES TR JPMORGAN USD	P	2012-05-22	2013-06-26
24 SHS ISHARES TR MSCI EAFE GROWTH INDEX FD	P	2012-07-03	2013-07-22
288 SHS ISHARES TR S&P INTL PFD STK INDEX FD	P	2012-01-10	2013-06-03
110 SHS ISHARES TR S&P INTL PFD STK INDEX FD	P	2012-01-10	2013-06-05
101 SHS ISHARES TRUST BARCLAYS	P	2013-06-06	2013-07-22
12 SHS ISHARES TRUST IBOXX	P	2013-05-31	2013-07-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
80 SHS ISHARES TRUST IBOXX	P	2013-05-31	2013-08-20
249 SHS ISHARES TRUST MSCI EMERGING MARKETS	P	2013-01-11	2013-05-30
144 SHS ISHARES TRUST RUSSEL 1000 GROWTH INDEX FD	P	2009-10-29	2013-01-11
177 SHS ISHARES TRUST RUSSEL 1000 GROWTH INDEX FD	P	2009-10-29	2003-04-17
20 SHS ISHARES TRUST RUSSEL 1000 GROWTH INDEX FD	P	2009-10-29	2013-07-22
133 SHS ISHARES TRUST RUSSEL 1000 GROWTH INDEX FD	P	2009-10-29	2013-08-13
316 SHS ISHARES TRUST RUSSEL 1000 INDEX FD	P	2011-09-30	2013-06-06
17 SHS ISHARES TRUST RUSSEL 1000 INDEX FD	P	2011-09-30	2013-07-22
173 SHS ISHARES TRUST RUSSEL MIDCAP GROWTH INDEX FD	P	2012-01-20	2013-01-11
164 SHS ISHARES TRUST RUSSEL MIDCAP GROWTH INDEX FD	P	2012-05-11	2013-01-11
84 SHS MARKET VECTORS ETF TRUST GOLD MINES	P	2010-11-09	2013-03-04
42 SHS MARKET VECTORS ETF TRUST GOLD MINES	P	2011-04-05	2013-03-04
42 SHS MARKET VECTORS ETF TRUST GOLD MINES	P	2011-08-16	2013-03-04
41 SHS SPDR GOLD TR	P	2009-10-29	2013-05-20
29 SHS SPDR GOLD TR	P	2009-10-29	2013-06-21
22 SHS SPDR GOLD TR	P	2011-04-05	2013-06-21
34 SHS SPDR GOLD TR	P	2012-01-19	2013-06-21
74 SHS SPDR INDES SHS FDS DOE JONES INTL	P	2012-02-03	2013-07-22
120 SHS SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MKT	P	2013-05-20	2013-06-20
104 SHS SPDR INDEX SHARES FUNDS SPDR S&P EMERGING MKT	P	2013-05-20	2013-06-21
16 SHS SPDR S&P 500 ETF TRUST	P	2013-06-06	2013-07-22
58 SHS SPDR S&P 500 ETF TRUST	P	2013-06-06	2013-09-11
55 SHS SPDR S&P 500 ETF TRUST	P	2013-06-06	2013-09-24
168 SHS SPDR SERIES TR DB INTL GOVT	P	2012-12-19	2013-04-17
173 SHS SPDR SERIES TR DB INTL GOVT	P	2012-12-19	2013-05-20
32 SHS VANGUARD SCOTTSDALE FUNDS	P	2013-04-17	2013-07-22
87 SHS VANGUARD SCOTTSDALE FUNDS	P	2013-04-17	2013-08-06
128 SHS VANGUARD SCOTTSDALE FUNDS	P	2013-06-06	2013-08-06
96 SHS ADT CORP	P	2012-10-01	2013-04-08
92 SHS ADT CORP	P	2012-10-01	2013-06-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
139 SHS ADT CORP	P	2012-10-11	2013-06-19
103 SHS AGRIMUM INC	P	2013-01-28	2013-04-16
59 SHS BOEING COMPANY	P	2012-07-12	2013-12-13
54 SHS BORG WARNER INC	P	2011-06-23	2013-09-20
15 SHS BORG WARNER INC	P	2012-05-22	2013-09-20
84 SHS CAPITAL ONE FINCL CORP	P	2012-02-03	2013-06-21
2 SHS CAPITAL ONE FINCL CORP	P	2012-02-22	2013-06-21
92 SHS CAPITAL ONE FINCL CORP	P	2012-02-22	2013-09-17
30 SHS CAPITAL ONE FINCL CORP	P	2012-02-22	2013-10-10
52 SHS CAPITAL ONE FINCL CORP	P	2012-03-20	2013-10-10
66 SHS CAPITAL ONE FINCL CORP	P	2012-09-10	2013-10-10
68 SHS CUMMINS INC	P	2012-01-03	2013-01-04
53 SHS CUMMINS INC	P	2012-09-19	2013-01-04
182 SHS DENBURY RESOURCES INC	P	2012-09-26	2013-10-21
38 SHS DISCOVERY COMM	P	2011-01-11	2013-01-09
34 SHS DISCOVERY COMM	P	2011-01-11	2013-01-11
51 SHS DISCOVERY COMM	P	2011-01-11	2013-04-05
34 SHS DISCOVERY COMM	P	2011-01-11	2013-06-21
30 SHS DISCOVERY COMM	P	2011-08-12	2013-06-21
70 SHS DISCOVERY COMM	P	2011-08-12	2013-07-03
267 SHS DUNKIN BRANDS GROUP	P	2012-08-15	2013-10-22
1 SH GOOGLE INC CL A	P	2012-02-01	2013-01-22
10 SHS GOOGLE INC CL A	P	2012-02-09	2013-01-22
6 SHS GOOGLE INC CL A	P	2012-04-24	2013-01-22
115 SHS HUNT J B TRANS	P	2010-10-13	2013-03-21
35 SHS IRON MTN	P	2011-08-10	2013-08-28
57 SHS IRON MTN	P	2011-08-17	2013-08-28
43 SHS IRON MTN	P	2011-08-17	2013-09-17
50 SHS IRON MTN	P	2011-08-17	2013-09-19
84 SHS IRON MTN	P	2012-03-20	2013-09-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
42 SHS IRON MTN	P	2012-03-20	2013-10-17
27 SHS IRON MTN	P	2012-11-21	2013-10-17
18 SHS IRON MTN	P	2013-02-26	2013-10-17
102 SHS IRON MTN	P	2013-02-26	2013-10-21
298 SHS KINDER MORGAN INC	P	2012-05-31	2013-04-10
122 SHS KINDER MORGAN INC	P	2012-06-11	2013-04-10
114 SHS MARKWEST ENERGY PARTNERS	P	2011-12-16	2013-07-15
67 SHS MARKWEST ENERGY PARTNERS	P	2011-12-16	2013-07-24
4 SHS MARKWEST ENERGY PARTNERS	P	2012-05-14	2013-07-24
230 SHS NOVARTIS AG	P	2012-04-19	2013-10-22
41 SHS PIONEER NAT RES	P	2013-02-20	2013-09-24
38 SHS PRAXAIR	P	2010-01-21	2013-04-16
36 SHS PRAXAIR	P	2010-12-27	2013-04-16
36 SHS PRAXAIR	P	2011-08-12	2013-04-16
26 SHS PRAXAIR	P	2012-09-19	2013-04-16
62 SHS UNION PACIFIC CORP	P	2012-02-06	2013-02-01
54 SHS UNITED PARCEL SERVICE	P	2010-08-27	2013-02-05
6 SHS UNITED PARCEL SERVICE	P	2011-02-25	2013-02-05
81 SHS UNITED PARCEL SERVICE	P	2011-02-25	2013-05-29
60 SHS UNITED PARCEL SERVICE	P	2012-10-11	2013-05-29
37 SHS VISA INC CL A	P	2011-07-14	2013-08-06
27 SHS VISA INC CL A	P	2011-11-02	2013-08-06
400 SHS ABBVIE INC	P	2013-08-07	2013-09-23
300 SHS AETNA INC	P	2013-11-14	2013-12-23
400 SHS AGILENT TECHNOLOGIES INC	P	2013-04-24	2013-05-20
400 SHS AGILENT TECHNOLOGIES INC	P	2013-04-24	2013-08-19
300 SHS AGILENT TECHNOLOGIES INC	P	2013-07-08	2013-12-23
200 SHS AGRUM INC	P	2013-03-04	2013-04-15
200 SHS ARIGAS INC	P	2013-01-16	2013-04-22
200 SHS AMERICAN TOWER CORP	P	2013-01-30	2013-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHS AMGEN INC	P	2013-07-23	2013-09-23
200 SHS AMPHENOL CORP	P	2013-07-26	2003-10-21
200 SHS ANDARKO PETE CORP	P	2013-10-31	2013-12-03
400 SHS AUTONATION INC	P	2013-05-03	2013-09-23
300 SHS BEAM INC	P	2013-04-26	2013-09-23
66 SHS BOSTON PROPERTIES INC	P	2012-10-08	2013-07-22
134 SHS BOSTON PROPERTIES INC	P	2012-10-09	2013-07-22
4 CALLS ABBVIE INC	P	2013-07-08	2013-09-23
2 CALLS ACCENTURE PLC IRELAND	P	2013-06-18	2013-07-25
2 CALLS ACCENTURE PLC IRELAND	P	2013-07-30	2013-09-16
2 CALLS ACCENTURE PLC IRELAND	P	2013-09-16	2013-10-21
3 CALLS AETNA INC	P	2013-11-14	2013-12-23
4 CALLS AGILENT TECHNOLOGIES	P	2013-04-24	2013-05-20
4 CALLS AGILENT TECHNOLOGIES	P	2013-07-08	2013-08-19
4 CALLS AGILENT TECHNOLOGIES	P	2013-11-05	2013-12-23
2 CALLS AGRIMUM INC	P	2013-03-04	2013-04-15
2 CALLS AIRGAS INC	P	2013-01-16	2013-04-22
2 CALLS AMERICAN TOWER CORP	P	2013-01-30	2013-04-22
2 CALLS AMGEN INC	P	2013-07-23	2013-09-23
1 CALL AMGEN INC	P	2013-09-26	2013-11-18
2 CALLS AMPHENOL CORP	P	2013-07-26	2013-10-21
2 CALLS ANADARKO PETROLEUM	P	2013-10-31	2013-11-21
2 CALLS ANADARKO PETROLEUM	P	2013-11-21	2013-12-03
4 CALLS AUTONATION INC	P	2013-05-03	2013-07-22
4 CALLS AUTONATION INC	P	2013-07-22	2013-09-23
4 CALLS AUTONATION INC	P	2013-10-23	2013-12-23
3 CALLS BEAM INC	P	2013-04-26	2013-06-24
3 CALLS BEAM INC	P	2013-06-24	2013-09-23
3 CALLS BEAM INC	P	2013-09-26	2013-12-23
2 CALLS BOSTON PROPERTIES INC	P	2013-01-04	2013-04-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CALLS BOSTON PROPERTIES INC	P	2013-04-18	2013-07-22
2 CALLS BOSTON PROPERTIES INC	P	2013-07-24	2013-10-21
2 CALLS BOSTON PROPERTIES INC	P	2013-10-21	2013-12-13
3 CALLS CAPITAL ONE FINANCIAL CORP	P	2012-12-31	2013-01-22
3 CALLS COMPUTER SCIENCES CORP	P	2013-10-29	2013-12-23
8 CALLS D R HORTON	P	2013-01-24	2013-03-18
7 CALLS D R HORTON	P	2013-04-24	2013-05-20
2 CALLS DEERE & CO	P	2012-12-31	2013-02-19
2 CALLS DEERE & CO	P	2013-03-14	2013-04-15
3 CALLS DISCOVER FINANCIAL	P	2013-09-06	2013-10-21
3 CALLS DR PEPPER SNAPPLE GROUP INC	P	2013-05-20	2013-03-19
3 CALLS EASTMAN CHEMICAL CO	P	2012-12-31	2013-01-22
3 CALLS EASTMAN CHEMICAL CO	P	2013-03-06	2013-04-22
3 CALLS EASTMAN CHEMICAL CO	P	2013-04-22	2013-06-24
3 CALLS EASTMAN CHEMICAL CO	P	2013-06-24	2013-09-11
3 CALLS EASTMAN CHEMICAL CO	P	2013-10-04	2013-12-13
3 CALLS EATON CORP	P	2013-09-13	2013-10-21
3 CALLS EATON CORP	P	2013-11-17	2013-12-23
3 CALLS ESTEE LAUDER COMPANIES	P	2013-03-14	2013-04-22
3 CALLS ESTEE LAUDER COMPANIES	P	2013-06-28	2013-08-19
3 CALLS ESTEE LAUDER COMPANIES	P	2013-08-19	2013-10-21
2 CALLS EXXON MOBIL CORP	P	2013-03-05	2013-04-17
2 CALLS FAMILY DOLLAR STORES INC	P	2012-12-31	2013-01-10
4 CALLS FASTENAL CO	P	2013-11-07	2013-12-23
1 CALL FLOWSERVE CORP	P	2012-12-31	2013-01-22
3 CALLS FLOWSERVE CORP	P	2013-06-17	2013-07-22
2 CALLS GAMESTOP	P	2013-09-11	2013-10-21
3 CALLS GAMESTOP	P	2013-10-24	2013-11-18
4 CALLS HARLEY DAVIDSON	P	2013-01-15	2013-02-14
3 CALLS HARLEY DAVIDSON	P	2013-03-19	2013-05-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CALLS HARLEY DAVIDSON	P	2013-06-10	2013-06-21
3 CALLS HARLEY DAVIDSON	P	2013-06-21	2013-08-19
3 CALLS HARLEY DAVIDSON	P	2013-10-30	2013-12-13
2 CALLS HOME DEPOT INC	P	2013-10-04	2013-12-23
2 CALLS HONEYWELL INTL INC	P	2013-09-06	2013-10-21
2 CALLS HUMAMA INC	P	2013-11-05	2013-12-23
4 CALLS INTERNATIONAL PAPER CO	P	2013-05-21	2013-07-02
4 CALLS INTERNATIONAL PAPER CO	P	2013-07-02	2013-08-08
4 CALLS INTERNATIONAL PAPER CO	P	2013-08-08	2013-09-23
4 CALLS INTERNATIONAL PAPER CO	P	2013-09-23	2013-11-18
2 CALLS INTUIT INC	P	2012-12-31	2013-01-22
3 CALLS INTUIT INC	P	2013-01-30	2013-04-18
3 CALLS INTUIT INC	P	2013-04-18	2013-04-25
3 CALLS INTUIT INC	P	2013-04-26	2013-05-28
2 CALLS JB HUNT TRANSPORT SVCS INC	P	2012-12-31	2013-02-19
3 CALLS JB HUNT TRANSPORT SVCS INC	P	2013-03-08	2013-04-22
3 CALLS JB HUNT TRANSPORT SVCS INC	P	2013-04-22	2013-05-20
2 CALLS KANSAS CITY SOUTHERN	P	2013-05-08	2013-06-24
2 CALLS KANSAS CITY SOUTHERN	P	2013-06-24	2013-09-23
1 CALL KANSAS CITY SOUTHERN	P	2013-09-27	2013-10-21
3 CALLS LYONDELLBASELL INDUSTRIES	P	2013-05-09	2013-06-24
3 CALLS LYONDELLBASELL INDUSTRIES	P	2013-06-28	2013-08-19
3 CALLS MACERICH CO	P	2013-02-01	2013-02-20
3 CALLS MOTOROLA SOLUTIONS	P	2013-07-19	2013-07-25
3 CALLS MOTOROLA SOLUTIONS	P	2013-08-22	2013-09-16
3 CALLS MOTOROLA SOLUTIONS	P	2013-09-16	2013-10-21
2 CALLS NEXTERA ENERGY INC	P	2013-06-17	2013-09-23
2 CALLS NEXTERA ENERGY INC	P	2013-09-23	2013-11-18
4 CALLS ONEOK INC	P	2013-01-23	2013-04-22
4 CALLS ONEOK INC	P	2013-01-23	2013-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CALLS PALL CORP	P	2013-07-30	2013-09-23
2 CALLS PHILIP MORRIS INTL	P	2013-03-22	2013-06-24
2 CALLS PHILIP MORRIS INTL	P	2013-06-24	2013-09-23
3 CALLS PHILLIP 66	P	2013-05-22	2013-07-22
3 CALLS PHILLIP 66	P	2013-07-22	2013-10-21
3 CALLS PHILLIP 66	P	2013-10-21	2013-11-18
3 CALLS PNC FINANCIL SVCS GROUP INC	P	2013-05-01	2013-06-24
2 CALLS PNC FINANCIL SVCS GROUP INC	P	2013-08-21	2013-10-21
2 CALLS PNC FINANCIL SVCS GROUP INC	P	2013-10-24	2013-12-23
2 CALLS POLARIS INDUSTRIES INC	P	2012-12-31	2013-01-22
2 CALLS POLARIS INDUSTRIES INC	P	2013-01-28	2013-02-21
2 CALLS POLARIS INDUSTRIES INC	P	2013-02-22	2013-03-12
2 CALLS POLARIS INDUSTRIES INC	P	2013-03-12	2013-04-15
1 CALL PPG INDUSTRIES	P	2013-02-15	2013-05-08
1 CALL PPG INDUSTRIES	P	2013-02-15	2013-05-15
2 CALLS PRAXAIR INC	P	2012-12-31	2013-01-22
4 SHS REYNOLDS AMERICAN	P	2013-06-12	2013-08-19
3 SHS REYNOLDS AMERICAN	P	2013-09-30	2013-11-18
3 CALLS ROSS STORES INC	P	2013-03-26	2013-05-20
3 CALLS ROSS STORES INC	P	2013-06-12	2013-08-19
3 CALLS ROSS STORES INC	P	2013-08-19	2013-10-21
5 CALLS SEAGATE TECHNOLOGY	P	2013-04-23	2013-05-20
3 CALLS SEAGATE TECHNOLOGY	P	2013-07-11	2013-08-19
3 CALLS SEAGATE TECHNOLOGY	P	2013-09-04	2013-10-10
3 CALLS SEAGATE TECHNOLOGY	P	2013-10-10	2013-12-23
2 CALLS SMUCKER JM CO	P	2013-01-24	2013-04-22
2 CALLS SMUCKER JM CO	P	2013-06-10	2013-07-22
3 CALLS STARBUCKS CORP	P	2012-12-31	2013-01-15
3 CALLS STARBUCKS CORP	P	2013-01-15	2013-02-19
3 CALLS STARBUCKS CORP	P	2013-02-19	2013-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CALLS TIME WARNER CABLE INC	P	2013-01-08	2013-02-01
3 CALLS TJX COMPANIES INC	P	2012-12-31	2013-01-15
1 CALL TJX COMPANIES INC	P	2013-01-08	2013-04-22
3 CALLS TJX COMPANIES INC	P	2013-01-16	2013-04-22
3 CALLS TJX COMPANIES INC	P	2013-07-25	2013-10-21
2 CALLS VENTAS INC	P	2012-12-31	2013-02-19
2 CALLS VIACOM INC CL B	P	2013-10-18	2013-12-23
4 CALLS WESTERN DIGITAL CORP	P	2013-03-08	2013-04-22
3 CALLS WESTERN DIGITAL CORP	P	2013-04-29	2013-06-24
3 CALLS WESTERN DIGITAL CORP	P	2013-07-02	2013-08-19
1 CALL WESTERN DIGITAL CORP	P	2013-08-19	2013-10-21
2 CALLS WESTERN DIGITAL CORP	P	2013-08-21	2013-10-21
5 CALLS WILLAM SONOMA INC	P	2013-01-23	2013-04-22
5 CALLS WILLAM SONOMA INC	P	2013-05-10	2013-07-22
5 CALLS WILLAM SONOMA INC	P	2013-01-23	2013-08-30
5 CALLS WILLAM SONOMA INC	P	2013-08-30	2013-11-18
3 CALLS WYNDHAM WORLDWIDE CORP	P	2013-05-15	2013-06-21
3 CALLS YUM BRANDS INC	P	2013-01-08	2013-02-19
3 CALLS YUM BRANDS INC	P	2013-01-08	2013-04-09
300 SHS CAPITAL INE FINL CORP	P	2012-10-05	2013-02-25
400 SHS COLGATE PALMOLIVE CO	P	2012-12-27	2013-01-08
800 SHS D R HORTON INC	P	2013-01-24	2013-03-18
400 SHS D R HORTON INC	P	2013-04-25	2013-05-20
200 SHS DEERE & CO	P	2012-11-13	2013-02-19
200 SHS DEERE & CO	P	2013-03-14	2013-04-16
919 SHS DENTSPLY INTERNATIONAL INC	P	2012-12-27	2013-01-08
300 SHS DISCOVERY COMM	P	2013-09-06	2013-10-21
300 SHS DR PEPPER SNAPPLE GROUP INC	P	2012-08-31	2013-05-20
300 SHS EASTMAN CHEMICAL CO	P	2013-11-21	2013-01-22
300 SHS EASTMAN CHEMICAL CO	P	2013-03-06	2013-09-11

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 SHS EATON CORP	P	2013-09-13	2013-10-21
300 SHS EATON CORP	P	2013-11-07	2013-12-23
500 SHS ECOLAB INC	P	2012-12-27	2013-01-08
300 SHS ESTEE LAUDER COMPANIES	P	2013-03-14	2013-04-22
300 SHS ESTEE LAUDER COMPANIES	P	2013-06-28	2013-10-21
200 SHS EXXON MOBIL CORP	P	2013-03-05	2013-04-19
200 SHS FAMILY DOLLAR STORES INC	P	2012-07-10	2013-01-10
350 SHS FASTENAL CO	P	2012-12-27	2013-01-08
100 SHS FLOWSERVE CORP	P	2012-11-28	2013-01-22
300 SHS FLOWSERVE CORP	P	2013-06-17	2013-07-22
200 SHS GAMESTOP	P	2013-09-09	2013-10-21
300 SHS GAMESTOP	P	2013-10-24	2013-11-18
400 SHS HARLEY DAVIDSON INC	P	2013-01-11	2013-02-14
300 SHS HARLEY DAVIDSON INC	P	2013-03-19	2013-05-20
300 SHS HARLEY DAVIDSON INC	P	2013-03-19	2013-08-19
300 SHS HARLEY DAVIDSON INC	P	2013-06-10	2013-12-13
200 SHS HOME DEPOT	P	2013-10-04	2013-12-23
200 SHS HONEYWELL INTL INC	P	2013-09-06	2013-10-21
200 SHS HUMANA INC	P	2013-11-05	2013-12-23
400 SHS INTERNATIONAL PAPER CO	P	2012-12-31	2013-02-13
200 SHS INTUIT INC	P	2012-08-23	2013-01-22
300 SHS INTUIT INC	P	2013-01-30	2013-05-28
200 SHS JB HUNT TRANSPORT SERVICES INC	P	2012-12-31	2013-02-19
300 SHS JB HUNT TRANSPORT SERVICES INC	P	2013-03-08	2013-05-20
200 SHS KANSAS CITY STHN	P	2013-05-08	1943-09-23
100 SHS KANSAS CITY STHN	P	2013-09-27	2013-10-21
300 SHS LYONDELLBASELL INDUSTRIES	P	2013-05-09	2013-06-24
300 SHS LYONDELLBASELL INDUSTRIES	P	2013-06-28	2013-08-19
300 SHS MACERICH CO	P	2013-01-29	2013-02-19
300 SHS MARATHON PETE CORP	P	2012-09-26	2013-01-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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300 SHS MOTOROLA SOLUTIONS INC	P	2013-07-23	2013-10-21
1,400 SHS MYLAN INC	P	2012-12-27	2013-01-08
200 SHS NEXTERA ENERGY INC	P	2013-06-17	2013-11-18
400 SHS ONEOK INC	P	2013-01-23	2013-04-22
400 SHS ONEOK INC	P	2013-05-16	2013-06-05
300 SHS PALL CORP	P	2013-07-30	1943-09-23
300 SHS PHILLIPS 66	P	2013-05-22	2013-11-18
300 SHS PNC FINANCIAL SVCS GP INC	P	2013-05-01	2013-06-24
200 SHS PNC FINANCIAL SVCS GP INC	P	2013-08-22	2013-10-21
200 SHS PNC FINANCIAL SVCS GP INC	P	2013-10-24	2013-12-23
200 SHS POLARIS INDUSTIRES INC	P	2012-09-28	2013-01-22
200 SHS POLARIS INDUSTIRES INC	P	2013-01-28	2013-04-16
100 SHS PPG INDUSTRIES	P	2013-02-15	2013-05-08
100 SHS PPG INDUSTRIES	P	2013-02-15	2013-05-15
200 SHS PRAXAIR	P	2012-08-16	2013-01-22
400 SHS REYNOLDS AMERICAN	P	2013-06-12	2013-08-19
300 SHS REYNOLDS AMERICAN	P	2013-09-30	2013-11-18
300 SHS ROSS STORES INC	P	2013-03-26	2013-05-20
300 SHS ROSS STORES INC	P	2013-03-26	2013-10-21
500 SHS SEAGATE TECHNOLOGY	P	2013-04-23	2013-05-20
300 SHS SEAGATE TECHNOLOGY	P	2013-04-23	2013-12-23
200 SHS SMUCKER J M COMPANY	P	2012-10-19	2013-01-22
200 SHS SMUCKER J M COMPANY	P	2013-01-25	2013-04-22
200 SHS SMUCKER J M COMPANY	P	2013-06-10	2013-07-22
300 SHS STARBUCKS CORP	P	2012-06-22	2013-04-22
200 SHS TIME WARNER CABLE INC	P	2013-01-08	2013-02-01
300 SHS TJX COMPANIES INC	P	2012-11-28	2013-04-22
100 SHS TJX COMPANIES INC	P	2013-01-08	2013-04-22
300 SHS TJX COMPANIES INC	P	2013-07-25	2013-10-21
100 SHS UNION PACIFIC CORP	P	2013-10-04	2013-11-18

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 SHS UNITED HEALTH GROUP INC	P	2012-05-24	2013-06-13
200 SHS VENTAS INC	P	2012-11-26	2013-02-19
400 SHS WESTERN DIGITAL CORP	P	2013-03-08	2013-04-22
300 SHS WESTERN DIGITAL CORP	P	2013-04-29	2013-06-24
300 SHS WESTERN DIGITAL CORP	P	2013-07-02	2013-10-21
500 SHS WILLIAMS SONOMA INC	P	2013-01-23	2013-04-22
300 SHS WYNDHAM WORLDWIDE CO	P	2013-05-15	2013-06-21
300 SHS YUM BRANDS INC	P	2013-01-08	2013-04-09
1 CALL UNION PACIFIC CORP	P	2013-10-04	2013-11-18
3 CALLS UNITEDHEATH GROUP INC	P	2012-12-31	2013-03-12
3 CALLS UNITEDHEATH GROUP INC	P	2013-03-12	2013-06-13
316 SHS ISHARES TR RUSSEL 2000 GROWTH INDEX FD	P	2008-01-01	2013-12-30
90 SHS ISHARES TR RUSSEL 2000 GROWTH INDEX FD	P	2008-01-29	2013-12-30
226 SHS ISHARES TR RUSSEL 2000 GROWTH INDEX FD	P	2011-07-11	2013-12-30
468 SHS ISHARES TR RUSSEL 2000 VALUE INDEX FD	P	2008-01-01	2013-12-30
86 SHS ISHARES TR RUSSEL 2000 VALUE INDEX FD	P	2011-07-11	2013-12-30
225 SHS ISHARES TR MSCI EMERGING MARKETS	P	2008-01-01	2013-12-30
316 SHS ISHARES TR MSCI EMERGING MARKETS	P	2011-07-11	2013-12-30
610 SHS ISHARES TR RUSSEL 1000 GROWTH INDEX FD	P	2008-01-29	2013-12-30
118 SHS ISHARES TR RUSSEL 1000 GROWTH INDEX FD	P	2011-07-11	2013-12-30
436 SHS ISHARES TR RUSSEL 1000 VALUE INDEX FD	P	2008-01-29	2013-12-30
476 SHS ISHARES TR RUSSEL MIDCAP GROWTH INDEX FD	P	2008-01-01	2013-12-30
160 SHS ISHARES TR RUSSEL MIDCAP GROWTH INDEX FD	P	2008-01-29	2013-12-30
335 SHS ISHARES TR RUSSEL MIDCAP GROWTH INDEX FD	P	2011-07-11	2013-12-30
756 SHS ISHARES TR RUSSEL MIDCAP VALUE INDEX FD	P	2008-01-01	2013-12-30
145 SHS ISHARES TR RUSSEL MIDCAP VALUE INDEX FD	P	2011-07-11	2013-12-30
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,939		23,986	5,953
31,033		23,986	7,047
40,693		38,527	2,166
62,460		54,639	7,821
57,192		55,199	1,993
20,374		19,540	834
16,161		12,966	3,195
24,857		27,795	-2,938
5,983		6,735	-752
9,684		11,204	-1,520
15,729		17,513	-1,784
28,524		32,793	-4,269
73,813		81,461	-7,648
63,649		72,789	-9,140
44,815		41,690	3,125
28,636		32,752	-4,116
22,576		24,682	-2,106
55,112		41,172	13,940
22,628		16,544	6,084
77,776		50,217	27,559
69,609		59,761	9,848
21,242		17,419	3,823
17,586		13,837	3,749
47,685		37,572	10,113
21,882		15,585	6,297
57,441		40,197	17,244
126,654		118,552	8,102
20,199		19,131	1,068
849		806	43
859		810	49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
858		814	44
893		818	75
822		768	54
794		771	23
150,453		143,346	7,107
1,096		1,043	53
1,088		1,050	38
62,947		69,559	-6,612
34,313		36,139	-1,826
138,121		155,210	-17,089
		4,761	-4,761
162,572		161,927	645
79,801		78,682	1,119
45,233		33,067	12,166
25,795		17,287	8,508
1,386		1,334	52
8,645		9,138	-493
3,469		3,368	101
1,171		1,184	-13
1,239		1,053	186
1,347		1,281	66
10,356		11,181	-825
8,578		8,515	63
428		421	7
9,842		9,672	170
1,571		1,308	263
7,410		7,309	101
2,790		2,792	-2
10,146		10,689	-543
1,384		1,416	-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,923		9,440	-517
10,483		11,067	-584
9,699		6,700	2,999
12,510		8,236	4,274
1,529		931	598
10,266		6,188	4,078
26,382		18,068	8,314
1,504		972	532
11,190		10,317	873
10,608		9,780	828
3,018		5,142	-2,124
1,509		2,632	-1,123
1,581		2,680	-1,099
5,395		5,112	283
3,616		3,616	0
2,743		2,743	0
4,239		4,240	-1
3,072		2,645	427
5,158		6,004	-846
4,448		5,203	-755
2,713		2,534	179
9,768		9,187	581
9,357		8,712	645
10,534		10,739	-205
10,629		11,058	-429
2,714		2,962	-248
7,143		8,052	-909
10,509		11,139	-630
4,390		3,402	988
3,606		3,260	346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,449		5,348	101
9,723		11,414	-1,691
7,904		4,368	3,536
5,412		3,918	1,494
1,503		1,114	389
5,214		3,832	1,382
124		99	25
6,255		4,539	1,716
2,071		1,480	591
3,589		2,714	875
4,555		3,699	856
7,256		5,978	1,278
5,655		5,517	138
3,460		3,151	309
2,303		1,330	973
2,065		1,190	875
3,571		1,785	1,786
2,379		1,190	1,189
2,099		991	1,108
4,853		2,311	2,542
12,604		8,083	4,521
715		575	140
7,148		6,099	1,049
4,289		3,654	635
8,525		4,075	4,450
945		1,069	-124
1,539		1,736	-197
1,146		1,309	-163
1,339		1,523	-184
2,250		2,445	-195

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,067		1,222	-155
686		887	-201
457		617	-160
2,606		3,497	-891
11,175		15,097	-3,922
4,575		3,924	651
7,606		6,287	1,319
4,558		3,695	863
272		220	52
17,140		12,653	4,487
7,614		5,384	2,230
4,285		3,067	1,218
4,059		3,442	617
4,059		3,376	683
2,932		2,803	129
8,352		7,245	1,107
4,295		3,461	834
477		447	30
7,070		6,036	1,034
5,237		4,427	810
6,494		3,256	3,238
4,739		2,558	2,181
18,000		17,854	146
19,500		19,460	40
17,200		17,126	74
18,000		17,126	874
15,750		13,366	2,384
18,427		20,536	-2,109
19,000		18,466	534
15,500		15,411	89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,000		21,410	590
16,000		15,493	507
17,864		19,175	-1,311
18,800		18,316	484
19,500		19,322	178
7,260		7,259	1
14,740		14,733	7
460			460
390		10	380
287		294	-7
245			245
468			468
440			440
522			522
395			395
566		20	546
420			420
411			411
511			511
321			321
413			413
461		67	394
519		364	155
560			560
496			496
660			660
575			575
595			595
417			417
490		32	458

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
610			610
720			720
488		19	469
538			538
406			406
600			600
534			534
418			418
620		91	529
314			314
270			270
593			593
600			600
511			511
841			841
530		13	517
490			490
522			522
450			450
615			615
566			566
404		60	344
360		6	354
492			492
391			391
357			357
442			442
527			527
716			716
387			387

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
424		86	338
267			267
523			523
424			424
356			356
600			600
494		45	449
453		450	3
537			537
588			588
240			240
420		10	410
493		71	422
423		286	137
340			340
555			555
543			543
782			782
730			730
260			260
673			673
855			855
388			388
429		20	409
186		233	-47
185			185
334			334
330			330
380			380
190		80	110

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
555			555
374			374
216			216
615			615
379			379
450			450
474			474
426			426
350			350
480			480
900		23	877
340		602	-262
660		349	311
334			334
334			334
459			459
464			464
315			315
522			522
586			586
425			425
505			505
493			493
260		984	-724
646			646
380			380
464			464
312		62	250
418			418
467			467

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
410		8	402
300		28	272
140			140
453			453
391			391
300			300
460			460
608			608
646			646
725			725
130			130
378			378
475			475
538			538
237		563	-326
602			602
515		59	456
549			549
274		762	-488
15,435		17,769	-2,334
42,372		41,884	488
17,600		17,291	309
17,500		17,121	379
17,500		17,368	132
16,636		18,442	-1,806
37,035		36,346	689
15,000		14,610	390
13,500		13,394	106
18,000		17,671	329
21,750		21,599	151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,250		20,172	78
21,000		20,777	223
36,495		35,910	585
19,500		19,483	17
20,250		19,846	404
17,343		17,954	-611
11,320		14,010	-2,690
16,524		16,093	431
14,000		13,896	104
16,500		16,490	10
10,000		9,957	43
16,500		16,441	59
20,000		19,996	4
16,500		15,863	637
16,500		15,863	637
19,500		16,271	3,229
15,500		15,210	290
16,500		16,371	129
19,000		18,874	126
16,000		15,877	123
12,000		11,667	333
17,718		19,046	-1,328
12,000		11,838	162
21,000		20,747	253
22,000		21,977	23
11,000		10,995	5
18,675		18,658	17
20,250		20,244	6
18,000		17,985	15
17,250		15,938	1,312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,000		17,941	59
38,669		38,430	239
16,500		16,146	354
19,000		18,872	128
17,326		19,504	-2,178
21,000		20,906	94
19,500		19,494	6
20,250		20,224	26
15,000		14,980	20
15,000		14,955	45
17,000		16,228	772
17,144		18,446	-1,302
14,500		13,919	581
14,500		13,919	581
22,000		21,781	219
19,200		19,082	118
14,700		14,684	16
18,000		17,835	165
20,250		17,835	2,415
18,500		18,001	499
14,100		10,801	3,299
17,000		16,955	45
18,000		17,846	154
20,000		19,975	25
16,500		16,301	199
17,764		19,200	-1,436
13,500		13,134	366
4,500		4,369	131
15,750		15,467	283
15,500		15,398	102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,250		16,796	454
13,000		12,959	41
19,600		19,098	502
16,500		16,246	254
21,000		18,996	2,004
18,000		17,563	437
16,382		18,816	-2,434
20,200		19,452	748
390			390
338		6	332
333			333
42,783		24,330	18,453
12,185		6,929	5,256
30,598		17,401	13,197
46,511		28,755	17,756
8,547		5,284	3,263
9,329		9,655	-326
13,102		13,559	-457
52,143		34,356	17,787
10,087		6,646	3,441
40,857		32,504	8,353
39,984		23,732	16,252
13,440		7,977	5,463
28,140		16,702	11,438
49,464		27,940	21,524
9,487		5,359	4,128
70,059			70,059

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,953
			7,047
			2,166
			7,821
			1,993
			834
			3,195
			-2,938
			-752
			-1,520
			-1,784
			-4,269
			-7,648
			-9,140
			3,125
			-4,116
			-2,106
			13,940
			6,084
			27,559
			9,848
			3,823
			3,749
			10,113
			6,297
			17,244
			8,102
			1,068
			43
			49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			44
			75
			54
			23
			7,107
			53
			38
			-6,612
			-1,826
			-17,089
			-4,761
			645
			1,119
			12,166
			8,508
			52
			-493
			101
			-13
			186
			66
			-825
			63
			7
			170
			263
			101
			-2
			-543
			-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-517
			-584
			2,999
			4,274
			598
			4,078
			8,314
			532
			873
			828
			-2,124
			-1,123
			-1,099
			283
			0
			0
			-1
			427
			-846
			-755
			179
			581
			645
			-205
			-429
			-248
			-909
			-630
			988
			346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			101
			-1,691
			3,536
			1,494
			389
			1,382
			25
			1,716
			591
			875
			856
			1,278
			138
			309
			973
			875
			1,786
			1,189
			1,108
			2,542
			4,521
			140
			1,049
			635
			4,450
			-124
			-197
			-163
			-184
			-195

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-155
			-201
			-160
			-891
			-3,922
			651
			1,319
			863
			52
			4,487
			2,230
			1,218
			617
			683
			129
			1,107
			834
			30
			1,034
			810
			3,238
			2,181
			146
			40
			74
			874
			2,384
			-2,109
			534
			89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			590
			507
			-1,311
			484
			178
			1
			7
			460
			380
			-7
			245
			468
			440
			522
			395
			546
			420
			411
			511
			321
			413
			394
			155
			560
			496
			660
			575
			595
			417
			458

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			610
			720
			469
			538
			406
			600
			534
			418
			529
			314
			270
			593
			600
			511
			841
			517
			490
			522
			450
			615
			566
			344
			354
			492
			391
			357
			442
			527
			716
			387

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			338
			267
			523
			424
			356
			600
			449
			3
			537
			588
			240
			410
			422
			137
			340
			555
			543
			782
			730
			260
			673
			855
			388
			409
			-47
			185
			334
			330
			380
			110

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			555
			374
			216
			615
			379
			450
			474
			426
			350
			480
			877
			-262
			311
			334
			334
			459
			464
			315
			522
			586
			425
			505
			493
			-724
			646
			380
			464
			250
			418
			467

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			402
			272
			140
			453
			391
			300
			460
			608
			646
			725
			130
			378
			475
			538
			-326
			602
			456
			549
			-488
			-2,334
			488
			309
			379
			132
			-1,806
			689
			390
			106
			329
			151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			78
			223
			585
			17
			404
			-611
			-2,690
			431
			104
			10
			43
			59
			4
			637
			637
			3,229
			290
			129
			126
			123
			333
			-1,328
			162
			253
			23
			5
			17
			6
			15
			1,312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			59
			239
			354
			128
			-2,178
			94
			6
			26
			20
			45
			772
			-1,302
			581
			581
			219
			118
			16
			165
			2,415
			499
			3,299
			45
			154
			25
			199
			-1,436
			366
			131
			283
			102


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			454
			41
			502
			254
			2,004
			437
			-2,434
			748
			390
			332
			333
			18,453
			5,256
			13,197
			17,756
			3,263
			-326
			-457
			17,787
			3,441
			8,353
			16,252
			5,463
			11,438
			21,524
			4,128
			70,059


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
NORMA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
JONATHAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LESLIE LEVINE	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
MEREDITH BAUER	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LISA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN FRIENDS OF TEL AVIV UNIVERSITY 360 LEXINGTON AVENUE NEW YORK,NY 10017		EXEMPT	DONATION	20,000
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK,NY 10222		EXEMPT	DONATION	5,000
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK,NY 10158		EXEMPT	DONATION	500
BOYS TOWN JERUSALEM FOUNDAITON OF AMERICA INC 91 FIFTH AVENUE NEW YORK,NY 10003		EXEMPT	DONATION	500
CHRON'S & COLITIS FOUNDATION OF AMERICA 386 PARK AVE SOUTH NEW YORK,NY 100168804		EXEMPT	DONATION	250
CITY HARVEST 575 EIGHTH AVENUE NEW YORK,NY 10018		EXEMPT	DONATION	2,000
CJLPRINCETON HILLEL 70 WASHINGTON ROAD PRINCETON,NJ 08540		EXEMPT	DONATIONDONATION	500
JEWISH ADOPTION & FOSTER CARE OPTIONS INC 4200 N UNIVERSITY DR SUNRISE,FL 33351		EXEMPT	DONATION	15,000
JEWISH FAMILY SERVICES OF CLIFTON-PASSIAC 925 ALLWOOD RD 2ND FL CLIFTON,NJ 07012		EXEMPT	DONATION	2,500
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEW YORK,NY 10021		EXEMPT	DONATION	4,000
NEW JERSEY SEEDS THE PEDDIE SCHOOL PO BOX 31 HIGHSTOWN,NJ 08520		EXEMPT	DONATION	10,000
PAPERMILL PLAYHOUSE 22 BROOKSIDE DR MILLBURN,NJ 07041		EXEMPT	DONATION	1,000
PINE CREST SCHOOL 1501 NE 62ND STREET FORT LAUDERDALE,FL 33334		EXEMPT	DONATION	500
PRINCETON UNIVERSITY PO BOX 5357 PRINCETON,NJ 08543		EXEMPT	DONATION	2,000
REPORT INC PBM 309 CHERRY HILL,NJ 08003		EXEMPT	DONATION	6,000
Total  3a				374,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SUNRISE DAY CAMP C/O FREIBERG JCC 15 NEAL CT OCEANSIDE,NY 11572		EXEMPT	DONATION	1,000
SYMPHONY SPACE 2537 BROADWAY NEWYORK,NY 10025		EXEMPT	DONATION	7,500
THE CONGREGATION SHEARITH ISRAEL 8 WEST 70TH STREET NEWYORK,NY 10023		EXEMPT	DONATION	5,900
THE INVESTIGATIVE PROJECT ON TERRORISM 5614 CONNECTICUT AVE NW NO 341 WASHINGTON,DC 20015		EXEMPT	DONATION	5,000
THE RAMAZ SCHOOL 114 EAST 85TH STREET NEWYORK,NY 10028		EXEMPT	DONATION	850
THE WASHINGTON INSTITUTE 5101 WISCONSIN AVE NW 100 WASHINGTON,DC 20036		EXEMPT	DONATION	111,200
THE WASHINGTON INSTITUTE FOR NEAR EAST POLICY 1828 L STREET WASHINGTON,DC 20036		EXEMPT	DONATION	2,500
UJA FEDERATION NYC 130 EAST 59TH ST NEWYORK,NY 10022		EXEMPT	DONATION	5,000
UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BUILDING PHILADELPHIA,PA 19104		EXEMPT	DONATION	102,000
VASSAR COLLEGE BOX 14 POUGHKEEPSIE,NY 12601		EXEMPT	DONATION	50,000
AMERICAN FRIENDS OF JORDAN VILLAGE 244 MADISON AVE STE 482 NEWYORK,NY 10016		EXEMPT	DONATION	5,000
JEWISH FEDERATION OF CLIFTON- PASSAIC 199 SCOLES AVENUE CLIFTON,NJ 07012		EXEMPT	DONATION	2,500
MORRIS RUBELL HOLOCAUST REMEMBRANCE JOURNEYS 2 MARTHA DRIVE MORRISTOWN,NJ 07960		EXEMPT	DONATION	1,800
NEW JERSEY PERFORMAING ARTS CENTER 1 CENTER STREET NEWARK,NJ 07102		EXEMPT	DONATION	650
NY TIMES NEEDIEST CASES 4 CHASE METROTECH CENTER BROOKLYN,NY 11245		EXEMPT	DONATION	200
Total  3a				374,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TEMPLE BNAI AVIV 1410 INDIAN TRACE WESTON, FL 33326		EXEMPT	DONATION	1,000
YBH HILLEL C/O JONATHAN GOLD 1633 CLIFTON AVE CLIFTON, NJ 07013		EXEMPT	DONATION	2,500
Total  3a				374,350

TY 2013 Investments - Other Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STOCKS AND BONDS	AT COST	1,332,175	2,644,821
CMS STRUCTURED PRODUCTS FUND	AT COST	420,976	420,976
CMS PRIVATE REIT FUND	AT COST	355,548	355,548
MUUS INDEPENDENCE FUND	AT COST	10,536	10,536
MAGNITUDE INTERNATIONAL	AT COST	404,038	404,038
WYNNEFIELD SMALL CAP OFFSHORE	AT COST	265,894	265,894
ALLEN KONRAD MERIDIAN INVESTORS LLC	AT COST	55,404	55,404
GOLUB CAPITAL PARTNERS V LP	AT COST	176,725	176,725
WHITEBOX MULTI STRATEGY FUND	AT COST	848,127	848,127
GEMS FUND LP	AT COST	90,447	90,447
GOLUB CAPITAL PARTNERS VII LP	AT COST	130,886	130,886
GOLUB CAPITAL PARTNERS VIII LP	AT COST	57,481	57,481

TY 2013 Other Assets Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
RECEIVABLE - CMS PRIVATE REIT LP	0	13,745	13,745

TY 2013 Other Decreases Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Amount
BOOK VERSES TAX DIFFERENCES	60,028
NON-DEDUCTIBLE EXPENSES	32

TY 2013 Other Expenses Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	39,784	39,784		0
OTHER INVESTMENT EXPENSES	47,068	47,068		0
PROFESSIONAL FEES	23,019	23,019		0
BANK CHARGES	96	96		0
FILING FEES	25	25		0

TY 2013 Other Income Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MUUS INDEPENDENCE FUND	-491	-491	-491
GOLUB CAPITAL PARTNERS V LP	2,513	2,513	2,513
MERIDIAN REDEVELOPMENT VALUE FUND 1	-2,633	-2,633	-2,633
GEMS FUND LP	5,874	5,874	5,874
GOLUB CAPITAL PARTNERS VII LP	2,198	2,198	2,198
GOLUB CAPITAL PARTNERS VIII LP	898	898	898
OTHER INCOME	360	360	360

TY 2013 Other Increases Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Amount
UNITED STATES TREASURY - TAX REFUND	3,184
NON-TAXABLE DISTRIBUTIONS	10,680

TY 2013 Substantial Contributors Schedule**Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Name	Address
ALAN AUFZIEN	350 PASSAIC AVENUE FAIRFIELD,NJ 07004
MICHELLE AUFZIEN	140 WEST END AVENUE APT 5C NEW YORK,NY 10023
MERIDITH A BAUER	3 BLACKBERRY LANE FAIRFIELD,NJ 07004

TY 2013 Taxes Schedule

Name: AUFZIEN FOUNDATION INC

EIN: 22-2838652

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,775	1,775		0