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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION INC		A Employer identification number 22-2809785	
Number and street (or P O box number if mail is not delivered to street address) 461 NOTT STREET		B Telephone number (see instructions) (518) 379-1863	
City or town, state or province, country, and ZIP or foreign postal code SCHENECTADY, NY 12308		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☐ \$ 20,330,842		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5,433	5,433		
	4 Dividends and interest from securities.	445,534	445,534		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	591,342			
	b Gross sales price for all assets on line 6a 9,724,450				
	7 Capital gain net income (from Part IV, line 2) . . .		591,342		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,016	1,016		
	12 Total. Add lines 1 through 11	1,043,325	1,043,325		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	138,787	138,787		138,787
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,019			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	4,255			
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,643	2,643		2,643
	24 Total operating and administrative expenses. Add lines 13 through 23	154,704	141,430		141,430
	25 Contributions, gifts, grants paid	1,125,992			1,125,992
	26 Total expenses and disbursements. Add lines 24 and 25	1,280,696	141,430		1,267,422
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-237,371			
	b Net investment income (if negative, enter -0-)		901,895		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	23,155	6,366	6,366
	2	Savings and temporary cash investments	319,815	326,624	326,624
	3	Accounts receivable ▶ <u>46</u> Less allowance for doubtful accounts ▶ _____	46	46	46
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	28,270	25,506	25,506
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	3,218,186	 3,183,076	9,385,587
	c	Investments—corporate bonds (attach schedule).	7,804,879	 7,952,456	8,929,295
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,709,314	 1,350,393	1,657,418
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,103,665	12,844,467	20,330,842	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	13,103,665	12,844,467	
	30	Total net assets or fund balances (see page 17 of the instructions)	13,103,665	12,844,467	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	13,103,665	12,844,467		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	13,103,665
2	Enter amount from Part I, line 27a	2	-237,371
3	Other increases not included in line 2 (itemize) ▶ 	3	5,717
4	Add lines 1, 2, and 3	4	12,872,011
5	Decreases not included in line 2 (itemize) ▶ 	5	27,544
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	12,844,467

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a		P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,724,450		9,133,108	591,342
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			591,342
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	591,342
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	591,342

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,402,477	19,514,489	0 071868
2011	1,457,428	20,272,227	0 071893
2010	895,228	18,539,427	0 048288
2009	1,034,840	17,168,850	0 060274
2008	982,280	17,447,850	0 056298

2 Total of line 1, column (d).	2	0 308621
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 061724
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	20,244,078
5 Multiply line 4 by line 3.	5	1,249,545
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	9,019
7 Add lines 5 and 6.	7	1,258,564
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,267,422

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	9,019
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3 Add lines 1 and 2.	3	9,019
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	9,019
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	34,525
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.	7	34,525
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	25,506
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 25,506 Refunded	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of NEIL M GOLUB Telephone no (518) 355-5000 Located at 461 NOTT STREET SCHENECTADY NY ZIP+4 12308			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEIL M GOLUB 461 NOTT STREET SCHENECTADY, NY 12308	PRESIDENT, T 0 00	0	0	0
JANE GOLUB 461 NOTT STREET SCHENECTADY, NY 12308	TRUSTEE 0 00	0	0	0
MONA GOLUB 461 NOTT STREET SCHENECTADY, NY 12308	SECRETARY 0 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. 1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	ATTENDANCE COST FOR CHARITABLE FUNCTIONS	4,255
2	FILING FEES TO MAINTAIN THE STATUS OF THE ORGANIZATION	1,121
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	20,168,233
b	Average of monthly cash balances.	1b	384,130
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	20,552,363
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	20,552,363
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	308,285
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	20,244,078
6	Minimum investment return. Enter 5% of line 5.	6	1,012,204

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,012,204
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	9,019
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	9,019
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,003,185
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,003,185
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,003,185

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,267,422
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,267,422
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	9,019
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,258,403
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,003,185
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	123,011			
b From 2009.	184,932			
c From 2010.				
d From 2011.	457,631			
e From 2012.	443,889			
f Total of lines 3a through e.	1,209,463			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,267,422				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				1,003,185
e Remaining amount distributed out of corpus	264,237			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,473,700			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	123,011			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,350,689			
10 Analysis of line 9				
a Excess from 2009. . . .	184,932			
b Excess from 2010. . . .				
c Excess from 2011. . . .	457,631			
d Excess from 2012. . . .	443,889			
e Excess from 2013. . . .	264,237			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,125,992
b Approved for future payment ADL A WORLD OF DIFFERENCE INSTITUTE 125 WOLF ROAD ALBANY, NY 12205	N/A	PUBLIC	2013-2014 GRANT	50,000
PROCTOR'S THEATRE 432 STATE STREET SCHENECTADY, NY 12305	N/A	PUBLIC	10 YEAR 1M PLEDGE (100,000/YR)	800,000
Total			3b	850,000

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADL A WORLD OF DIFFERENCE INSTITUTE 125 WOLF ROAD ALBANY, NY 12205	N/A	PUBLIC	GENERAL SUPPORT	100,000
BET SHRAGA HEBREW ACADEMY 54 SAND CREEK ROAD ALBANY, NY 12205	N/A	PUBLIC	GENERAL SUPPORT	5,000
BREAST CANCER RESEARCH FOUNDATION 60 E 56TH STREET 8TH FLOOR NEW YORK, NY 10022	N/A	PUBLIC	GENERAL SUPPORT	125,000
CAPITAL REGION YOUTH TENNIS FOUNDAT 785 WASHINGTON AVENUE ALBANY, NY 12206	N/A	PUBLIC	GENERAL SUPPORT	1,667
CLOWNS ON ROUNDS PO BOX 4124 ALBANY, NY 12204	N/A	PUBLIC	GENERAL SUPPORT	22,500
ELLIS HOSPITAL FOUNDATION INC 1101 NOTT STREET SCHENECTADY, NY 12308	N/A	PUBLIC	GENERAL SUPPORT	70,000
JEWISH COMMUNITY CENTER OF SCHENECT 2565 BALLTOWN ROAD SCHENECTADY, NY 12309	N/A	PUBLIC	GENERAL SUPPORT	25,000
JEWISH FEDERATION OF NENY 800 NEW LOUDON ROAD LATHAM, NY 12110	N/A	PUBLIC	GENERAL SUPPORT	340,000
MUSCULAR DYSTROPHY ASSOCIATION PO BOX 3607 ALBANY, NY 12203	N/A	PUBLIC	GENERAL SUPPORT	24,250
PROCTOR'S THEATER 432 STATE STREET SCHENECTADY, NY 12305	N/A	PUBLIC	GENERAL SUPPORT	100,000
SARATOGA PERFORMING ARTS CENTER 108 AVENUE OF THE PINES SARATOGA SPRINGS, NY 12866	N/A	PUBLIC	GENERAL SUPPORT	10,000
SCHENECTADY DAY NURSERY 25 LAFAYETTE STREET SCHENECTADY, NY 12305	N/A	PUBLIC	GENERAL SUPPORT	20,000
SPECIAL OLYMPICS NEW YORK INC 500 BALLTOWN ROAD NISKAYUNA, NY 12304	N/A	PUBLIC	GENERAL SUPPORT	9,000
ST JUDE CHILDREN'S RESEARCH HOSPIT 332 N LAUDERDALE MEMPHIS, TN 38105	N/A	PUBLIC	GENERAL SUPPORT	59,751
THE COMMUNITY FOUNDATION FOR THE GR 6 TOWER PLACE ALBANY, NY 12203	N/A	PUBLIC	GENERAL SUPPORT	10,000
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UNION COLLEGE 807 UNION ST SCHENECTADY,NY 12308	N/A	PUBLIC	GENERAL SUPPORT	25,000
15 LOVE 785 WASHINGTON AVENUE ALBANY,NY 12206	N/A	PUBLIC	GENERAL SUPPORT	250
ABLE SCHOLARSHIP FOUNDATION C/O TOM OPPEDISANO 9 STRATFORD DRIVE CLIFTON PARK,NY 12065	N/A	PUBLIC	GENERAL SUPPORT	1,000
AIDS COUNCIL OF NENY 696 UPPER GLEN ST QUEENSBURY,NY 12804	N/A	PUBLIC	GENERAL SUPPORT	200
ALBANY COLONIE REGIONAL CHAMBER 5 COMPUTER DR S ALBANY,NY 12205	N/A	PUBLIC	GENERAL SUPPORT	50
ALBANY INSTITUTE OF HISTORY & ART 125 WASHINGTON AVE ALBANY,NY 12210	N/A	PUBLIC	GENERAL SUPPORT	3,050
ALBANY MEDICAL CENTER FOUNDATION 47 NEW SCOTLAND AVE MC 11 ALBANY,NY 12208	N/A	PUBLIC	GENERAL SUPPORT	5,000
ALBANY PRO MUSICA PO BOX 3850 ALBANY,NY 12203	N/A	PUBLIC	GENERAL SUPPORT	500
ALBANY TULA ALLIANCE 1381 PHILOMENA RD NISKAYUNA,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	250
ALZHEIMER'S ASSOCIATION 4 PINE WEST PLAZA ALBANY,NY 12205	N/A	PUBLIC	GENERAL SUPPORT	350
AMERICAN CANCER SOCIETY 260 OSBORNE RD LOUNDONVILLE,NY 12211	N/A	PUBLIC	GENERAL SUPPORT	10,575
AMERICAN FOUNDATIOLN FOR SUICIDE PR 120 WALL STREET 22ND FLOOR NEWYORK,NY 10005	N/A	PUBLIC	GENERAL SUPPORT	100
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVENUE DALLAS,TX 75231	N/A	PUBLIC	GENERAL SUPPORT	6,000
AMERICAN ITALIAN HERITAGE ASSOCIATI 1227 CENTRAL AVE ALBANY,NY 12205	N/A	PUBLIC	GENERAL SUPPORT	1,225
AMERICAN MEDITATION INSTITUTE PO BOX 430 AVERILL PARK,NY 12018	N/A	PUBLIC	GENERAL SUPPORT	500
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANIMAL PROTECTIVE FOUNDATION 53 MAPLE AVENUE SCOTIA,NY 12302	N/A	PUBLIC	GENERAL SUPPORT	300
ARTS CENTER OF THE CAPITAL REGION 265 RIVER ST TROY,NY 12180	N/A	PUBLIC	GENERAL SUPPORT	450
BACKSTRETCH EMPLOYEES SERVICES TEAM 2150 HEMPSTEAD TPKE ELMONT,NY 11003	N/A	PUBLIC	GENERAL SUPPORT	16,000
BAPTIST HEALTH 297 N BALLSTON AVE SCOTIA,NY 12302	N/A	PUBLIC	GENERAL SUPPORT	75
BET SHRAGA HEBREW ACADEMY OF THE CA 54 SAND CREEK RD ALBANY,NY 12205	N/A	PUBLIC	GENERAL SUPPORT	500
BETHESDA HOUSE OF SCHENECTADY 834 STATE STREET SCHENECTADY,NY 12307	N/A	PUBLIC	GENERAL SUPPORT	250
BETTER NEIGHBORHOODS INC 986 ALBANY ST SCHENECTADY,NY 12307	N/A	PUBLIC	GENERAL SUPPORT	250
BOSTON CHILDREN'S HOSPITAL 300 LONGWOOD AVE BOSTON,MA 02115	N/A	PUBLIC	GENERAL SUPPORT	100
BOYS & GIRLS CLUBS OF SCHENECTADY PO BOX 466 SCHENECTADY,NY 12301	N/A	PUBLIC	GENERAL SUPPORT	1,160
BROOKSIDE 99 BLOOMINGGROVE DR TROY,NY 12180	N/A	PUBLIC	GENERAL SUPPORT	100
BROOKSIDE MUSEUM 6 CHARLTON STREET BALLSTON SPA,NY 12020	N/A	PUBLIC	GENERAL SUPPORT	1,020
CAPITAL DISTRICT COMMUNITY GARDENS 40 RIVER ST TROY,NY 12180	N/A	PUBLIC	GENERAL SUPPORT	600
CAPITAL REGION ACTION AGAINST BREAS 125 WOLF ROAD SUITE 1 ALBANY,NY 12205	N/A	PUBLIC	GENERAL SUPPORT	200
CAPITAL REPERTORY THEATRE 111 N PEARL ST ALBANY,NY 12207	N/A	PUBLIC	GENERAL SUPPORT	1,000
COHOES MUSIC HALL 58 REMSEN ST COHOES,NY 12047	N/A	PUBLIC	GENERAL SUPPORT	100
Total ➡ 3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
COLONIE SENIOR SERVICE CENTERS 6 WINNERS CIRLCE ALBANY,NY 12205	N/A	PUBLIC	GENERAL SUPPORT	250
COMMUNITY FOUNDATION OF THE CAPITAL 6 TOWER PLACE ALBANY,NY 12203	N/A	PUBLIC	GENERAL SUPPORT	325
CONGREGATION BETH ABRAHAM JACOB 380 WHITEHALL RD ALBANY,NY 12208	N/A	PUBLIC	GENERAL SUPPORT	360
CONGREGATION GATES OF HEAVEN 852 ASHMORE AVENUE SCHENECTADY,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	310
CULINARY INSTITUTE OF AMERICA 1946 CAMPUS DR HYDE PARK,NY 12538	N/A	PUBLIC	GENERAL SUPPORT	4,500
DAUGHTERS OF SARAH JEWISH FOUNDATIO 180 WASHINGTON AVE EXT ALBANY,NY 12203	N/A	PUBLIC	GENERAL SUPPORT	2,060
DIABETES RESEARCH INSTITUTE FOUNDAT 381 PARK AVE SOUTH SUITE 118 NEW YORK,NY 10016	N/A	PUBLIC	GENERAL SUPPORT	500
DOANE STUART SCHOOL 199 WASHINGTON AVE RENSSELAER,NY 12144	N/A	PUBLIC	GENERAL SUPPORT	3,825
DOMINICAN RETREAT CONFERENCE CENTER 1945 UNION ST NISKAYUNA,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	200
DOUBLE H RANCH 97 HIDDEN VALLEY RD LAKE LUZERNE,NY 12846	N/A	PUBLIC	GENERAL SUPPORT	100
EMPIRE STATE YOUTH ORCHESTRA 432 STATE STREET SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	250
EXECUTIVE WOMEN'S GOLF ASSOCIATION PO BOX 208 LATHAM,NY 12110	N/A	PUBLIC	GENERAL SUPPORT	500
FAMILIES IN NEED OF ASSISTANCE 69 BROOKLINE AVE ALBANY,NY 12203	N/A	PUBLIC	GENERAL SUPPORT	1,000
FAMILY & CHILD SERVICE OF SCHENECTA 246 UNION ST SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	500
FJCTED MULLIN FUND PO BOX 437 WINNETKA,IL 60093	N/A	PUBLIC	GENERAL SUPPORT	100
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FRIENDS OF SCHENECTADY COUNTY LIBRA 99 CLINTON ST SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	200
GIRLS INCORPORATED 25 WESTERN AVENUE ALBANY,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	500
GRAND BOULEVARD FIRE COMPANY 1079 BALLTOWN RD NISKAYUNA,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	250
HADASSAH 50 WEST 58TH STREET NEW YORK,NY 10019	N/A	PUBLIC	GENERAL SUPPORT	1,000
HADASSAH CAPITAL DISTRICT 50 WEST 58TH STREET NEW YORK,NY 10019	N/A	PUBLIC	GENERAL SUPPORT	100
HAMILTON HILL ARTS CENTER 409 SCHENECTADY STREET SCHENECTADY,NY 12307	N/A	PUBLIC	GENERAL SUPPORT	175
HOUSEWARES CHARITY FOUNDATION 6400 SHAFER CT SUITE 650 ROSEMONT,IL 60018	N/A	PUBLIC	GENERAL SUPPORT	3,400
INTERFAITH ALLIANCE OF NEW YORK STA 1 LEAR JET LANE LATHAM,NY 12110	N/A	PUBLIC	GENERAL SUPPORT	125
INTERFAITH COMMUNITY OF SCHENECTADY 540 N BRANDYWINE AVENUE SCHENECTADY,NY 12308	N/A	PUBLIC	GENERAL SUPPORT	300
ITALIAN AMERICAN CULTURAL FOUNDATIO 8 E 69TH ST NEW YORK,NY 10021	N/A	PUBLIC	GENERAL SUPPORT	1,000
JEWISH FAMILY SERVICES 877 MADISON AVE ALBANY,NY 12208	N/A	PUBLIC	GENERAL SUPPORT	200
JEWISH HISTORICAL SOCIETY PO BOX 9176 NISKAYUNA,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	250
JEWISH NATIONAL FUND 8 W 70TH STREET NEW YORK,NY 10128	N/A	PUBLIC	GENERAL SUPPORT	5,250
JUNIOR LEAGUE OF SCHENECTADY & SARA PO BOX 857 SCHENECTADY,NY 12301	N/A	PUBLIC	GENERAL SUPPORT	700
KIDS ART FESTIVAL 143 STATE ST SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	350
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LAKE GEORGE ASSOCIATION PO BOX 408 LAKE GEORGE,NY 12845	N/A	PUBLIC	GENERAL SUPPORT	250
LAKE GEORGE EMERGENCY SQUAD 24 GAGE RD LAKE GEORGE,NY 12845	N/A	PUBLIC	GENERAL SUPPORT	100
LAKE GEORGE LAND CONSERVANCY 4905 LAKE SHORE DR BOLTON LANDING,NY 12814	N/A	PUBLIC	GENERAL SUPPORT	6,500
LEUKEMIA LYMPHOMA SOCIETY 5 COMPUTER DR W 100 ALBANY,NY 12205	N/A	PUBLIC	GENERAL SUPPORT	500
LIV WITH AUTISM 37 VALLEY VIEW DR MOUNTAIN TOP,PA 18707	N/A	PUBLIC	GENERAL SUPPORT	100
MACCABI USA 1926 ARCH ST NO 4R PHILADELPHIA,PA 19103	N/A	PUBLIC	GENERAL SUPPORT	100
MAKE-A-WISH NORTHEAST NEW YORK ONE MUSTANG DRIVE COHOES,NY 12047	N/A	PUBLIC	GENERAL SUPPORT	5,400
MARCH OF DIMES 1275 MAMARONECK AVE WHITE PLAINS,NY 10605	N/A	PUBLIC	GENERAL SUPPORT	100
MISCI 15 NOTT TERRACE HEIGHTS SCHENECTADY,NY 12308	N/A	PUBLIC	GENERAL SUPPORT	6,000
MUSCULAR DYSTROPHY ASSOCIATION 1 MARCUS BLVD 203 ALBANY,NY 12205	N/A	PUBLIC	GENERAL SUPPORT	375
MUSIC MOBILE PO BOX 6024 ALBANY,NY 12206	N/A	PUBLIC	GENERAL SUPPORT	500
NATIONLAL MS SOCIETY 733 THIRD AVENUE NEW YORK,NY 10017	N/A	PUBLIC	GENERAL SUPPORT	2,500
NATIONAL CENTER FOR MISSING AND EXP 699 PRINCE ST ALEXANDRIA,VA 22314	N/A	PUBLIC	GENERAL SUPPORT	500
NATIONAL MUSEUM OF DANCE 99 S BROADWAY SARATOGA SPRINGS,NY 12866	N/A	PUBLIC	GENERAL SUPPORT	10,250
NATIONAL MUSEUM OF RACING AND HALL 191 UNION AVE SARATOGA SPRINGS,NY 12866	N/A	PUBLIC	GENERAL SUPPORT	1,200
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NATURE CONSERVANCYADIRONDACK LAND PO BOX 65 KEENE VALLEY,NY 12943	N/A	PUBLIC	GENERAL SUPPORT	350
NEW YORK STATE WOMEN INC 62 GRAND ST ALBANY,NY 12207	N/A	PUBLIC	GENERAL SUPPORT	60
NEW YORK STATE DENTAL FOUNDATION 20 CORPORATE WOODS BLVD SUITE 602 ALBANY,NY 12211	N/A	PUBLIC	GENERAL SUPPORT	5,000
NISKAYUNA COMMUNITY FOUNDATION PO BOX 9711 NISKAYUNA,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	2,500
NORMAN ROCKWELL MUSEUM 9 GLENDALE ROAD STOCKBRIDGE,MA 01262	N/A	PUBLIC	GENERAL SUPPORT	1,500
NORTHEAST BALLET COMPANY 418 LIBERTY ST SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	500
NORTHEAST KIDNEY FOUNDATION 99 TROY RD STE 200 EAST GREENBUSH,NY 12061	N/A	PUBLIC	GENERAL SUPPORT	350
NORTHEAST PARENT & CHILD SOCIETY 530 FRANKLIN ST SCHENECTADY,NY 12304	N/A	PUBLIC	GENERAL SUPPORT	250
NORTHEAST STRING ORCHESTRA PO BOX 4064 CLIFTON PARK,NY 12065	N/A	PUBLIC	GENERAL SUPPORT	250
NORTHEASTERN ASSN OF THE BLIND AT 301 WASHINGTON AVE C/O BA ALBANY,NY 12206	N/A	PUBLIC	GENERAL SUPPORT	300
NORTHERN RIVERS FAMILY SERVICES 60 ACADEMY RD ALBANY,NY 12208	N/A	PUBLIC	GENERAL SUPPORT	250
NYS LEAGUE OF WOMEN VOTERS FOUNDATI 62 GRAND ST ALBANY,NY 12207	N/A	PUBLIC	GENERAL SUPPORT	100
ONE HUNDRED BLACK MEN OF ALBANY PO BOX 708 ALBANY,NY 12201	N/A	PUBLIC	GENERAL SUPPORT	250
PARK PLAYHOUSE INC PO BOX 525 ALBANY,NY 12201	N/A	PUBLIC	GENERAL SUPPORT	1,300
PHI SIGMA SIGMA FOUNDATION 8178 LARK BROWN ROAD ELKRIDGE,MD 21075	N/A	PUBLIC	GENERAL SUPPORT	191
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLANNED PARENTHOOD MOHAWK HUDSON 1040 STATE STREET SCHENECTADY,NY 12307	N/A	PUBLIC	GENERAL SUPPORT	1,000
PRIDE CENTER OF THE CAPITAL REGION 332 HUDSON AVENUE ALBANY,NY 12210	N/A	PUBLIC	GENERAL SUPPORT	525
PROCTORS THEATRE 432 STATE STREET SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	500
PROTECT THE ADIRONDACKS PO BOX 769 LAKE GEORGE,NY 12845	N/A	PUBLIC	GENERAL SUPPORT	250
REFUGE OF HOPE 405 3RD ST NE CANTON,OH 44702	N/A	PUBLIC	GENERAL SUPPORT	2,000
REGIONAL FOOD BANK OF NORTHEASTERN 965 ALBANY SHAKER ROAD LATHAM,NY 12110	N/A	PUBLIC	GENERAL SUPPORT	1,151
ROSE GARDEN RESTORATION COMMITTEE 1133 MORNINGSIDE AVE SCHENECTADY,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	200
SARATOGA BRIDGES 16 SARATOGA BRIDGES BLVD BALLSTON SPA,NY 12020	N/A	PUBLIC	GENERAL SUPPORT	400
SARATOGA CENTER FOR THE FAMILY 359 BALLSTON AVE SARATOGA SPRINGS,NY 12866	N/A	PUBLIC	GENERAL SUPPORT	300
SARATOGA CHILDREN'S THEATRE 8 LAKEWOOD DRIVE SARATOGA SPRINGS,NY 12866	N/A	PUBLIC	GENERAL SUPPORT	250
SARATOGA COUNTY ECONOMIC COUNCIL PO BOX 169 39 BATH ST BALLSTON SPA,NY 12020	N/A	PUBLIC	GENERAL SUPPORT	100
SARATOGA PERFORMING ARTS CENTER 108 AVENUE OF THE PINES SARATOGA SPRINGS,NY 12866	N/A	PUBLIC	GENERAL SUPPORT	350
SARATOGA SPONSOR-A-SCHOLAR PO BOX 107 SARATOGA SPRINGS,NY 12866	N/A	PUBLIC	GENERAL SUPPORT	1,000
SARATOGA SPRINGS HISTORY MUSEUM PO BOX 216 SARATOGA SPRINGS,NY 12866	N/A	PUBLIC	GENERAL SUPPORT	250
SCCC FOUNDATION INC 78 WASHINGTON AVENUE SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	550
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SCHENECTADY COMMUNITY HOME INC 22 GLENVIEW DRIVE SCOTIA,NY 12302	N/A	PUBLIC	GENERAL SUPPORT	900
SCHENECTADY COUNTY HISTORICAL SOCIE 32 WASHINGTON AVE SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	250
SCHENECTADY COUNTY MEDICAL ALLIANCE PO BOX 9335 SCHENECTADY,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	400
SCHENECTADY DAY NURSERY 25 LAFAYETTE STREET SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	13,650
SCHENECTADY JEWISH COMMUNITY CENTER 2565 BALLTOWN ROAD SCHENECTADY,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	300
SCHENEDCTADY SCHOOL DISTRICT FOUNDA PO BOX 3956 SCHENECTADY,NY 12303	N/A	PUBLIC	GENERAL SUPPORT	200
SENIOR SERVICES OF ALBANY 25 DELAWARE AVE ALBANY,NY 12210	N/A	PUBLIC	GENERAL SUPPORT	775
SIDNEY ALBERT ALBANY JCC 340 WHITEHALL RD ALBANY,NY 12208	N/A	PUBLIC	GENERAL SUPPORT	326
SIGNWORKS NEON CORP 27 CAREY RD QUEENSBURY,NY 12804	N/A	PUBLIC	GENERAL SUPPORT	3,125
SOROPTIMIST INTERNATIONAL OF SCHEME PO BOX 9303 SCHENECTADY,NY 12309	N/A	PUBLIC	GENERAL SUPPORT	340
ST JUDE CHILDREN'S RESEARCH HOSPIT 332 N LAUDERDALE MEMPHIS,TN 38105	N/A	PUBLIC	GENERAL SUPPORT	450
STARLIGHT CHILDREN'S FOUNDATION 1560 BROADWAY SUITE 600 NEWYORK,NY 10036	N/A	PUBLIC	GENERAL SUPPORT	150
SUNNYVIEW HOSPITAL FOUNDATION 1270 BELMONT AVENUE SCHENECTADY,NY 12308	N/A	PUBLIC	GENERAL SUPPORT	500
SYLVIA CENTER 304 HUDSON ST NEWYORK,NY 10013	N/A	PUBLIC	GENERAL SUPPORT	175
TAP C/O BEN CHUCKROW 55 ROLLING BROOK DR SARATOGA SPRINGS,NY 12866	N/A	PUBLIC	GENERAL SUPPORT	3,000
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE BREAST CANCER RESEARCH FOUNDATI 60 E 56TH ST 8TH FLOOR NEW YORK,NY 10022	N/A	PUBLIC	GENERAL SUPPORT	1,000
THE COLLEGE OF SAINT ROSE 432 WESTERN AVE ALBANY,NY 12203	N/A	PUBLIC	GENERAL SUPPORT	500
THE COMMUNITY HOSPICE FOUNDATION 295 VALLEY VIEW BLVD RENSSELAER,NY 12144	N/A	PUBLIC	GENERAL SUPPORT	210
THE EGG STATE ST ALBANY,NY 12203	N/A	PUBLIC	GENERAL SUPPORT	435
THE FOUNDATION FOR ELLIS HOSPITAL 1101 NOTT ST SCHENECTADY,NY 12308	N/A	PUBLIC	GENERAL SUPPORT	255
THE FOUNDATION FOR ELLIS MEDICINE 1101 NOTT ST SCHENECTADY,NY 12308	N/A	PUBLIC	GENERAL SUPPORT	1,500
THE GAZETTE NEWSPAPERS 2345 MAXON ROAD SCHENECTADY,NY 12308	N/A	PUBLIC	GENERAL SUPPORT	250
THE HYDE COLLECTION 161 WARREN ST GLENS FALLS,NY 12801	N/A	PUBLIC	GENERAL SUPPORT	650
THE MENTAL HEALTH FOUNDATION PO BOX 322 ALBANY,NY 12201	N/A	PUBLIC	GENERAL SUPPORT	100
THE SALVATION ARMY 615 SLATERS LANE ALEXANDRIA,VA 22313	N/A	PUBLIC	GENERAL SUPPORT	545
THE SARA MARIE SCHOOL 942 NEW YORK 146 CLIFTON PARK,NY 12065	N/A	PUBLIC	GENERAL SUPPORT	3,000
THE SCHENECTADY FOUNDATION 376 BROADWAY SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	1,000
THE STAKEHOLDERS 915 BROADWAY ALBANY,NY 12207	N/A	PUBLIC	GENERAL SUPPORT	300
THE UNIVERSITY AT ALBANY FOUNDATION 1215 WESTERN AVE UAB 232 ALBANY,NY 12222	N/A	PUBLIC	GENERAL SUPPORT	3,000
TO LIFE 412 UNION STREET SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	600
Total  3a				1,125,992

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TO LOVE A CHILD PO BOX 165 CLIFTON PARK,NY 12065	N/A	PUBLIC	GENERAL SUPPORT	500
UMBRELLA OF THE CAPITAL DISTRICT 9 BROADWAY SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	250
UNION COLLEGE SWIMMING AND DIVING T 807 UNION ST SCHENECTADY,NY 12308	N/A	PUBLIC	GENERAL SUPPORT	100
UNITY HOUSE 2431 SIXTH AVENUE TROY,NY 12180	N/A	PUBLIC	GENERAL SUPPORT	300
UNIVERSITY AT ALBANY FOUNDATION 1215 WESTERN AVE UAB 232 ALBANY,NY 12222	N/A	PUBLIC	GENERAL SUPPORT	580
UNIVERSITY OF MICHIGAN CAMPUS ANN ARBOR,MI 48109	N/A	PUBLIC	GENERAL SUPPORT	696
WERC 175 CENTRAL AVE SUITE 3 ALBANY,NY 12206	N/A	PUBLIC	GENERAL SUPPORT	250
WHISKERS ANIMAL BENEVOLENT LEAGUE PO BOX 11190 ALBANY,NY 12211	N/A	PUBLIC	GENERAL SUPPORT	250
WILDWOOD FOUNDATION 2995 CURRY ROAD EXT SCHENECTADY,NY 12303	N/A	PUBLIC	GENERAL SUPPORT	275
WOMEN'S FUND OF THE CAPITAL REGION 6 TOWER PLACE ALBANY,NY 12203	N/A	PUBLIC	GENERAL SUPPORT	500
YWCA NORTHEASTERN NEWYORK 44 WASHINGTON AVE SCHENECTADY,NY 12305	N/A	PUBLIC	GENERAL SUPPORT	1,150
Total  3a				1,125,992

Schedule K-1

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Schedule K-1

Schedule K-1

TY 2013 Compensation Explanation

Name: NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION INC
EIN: 22-2809785

Person Name	Explanation
NEIL M GOLUB	
JANE GOLUB	
MONA GOLUB	

TY 2013 Investments Corporate

Bonds Schedule

Name: NEIL JANE WILLIAM ESTELLE GOLUB

FAMILY FOUNDATION INC

EIN: 22-2809785

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ALPS ALERIAN MLP ETF 500 SHARES	7,561	8,895
BLACKROCK ENGY&RESRC 1000 SHARES	24,098	24,300
BLACKROCK CAP&INC STRAT 1000 SHARES	13,402	13,670
EATON VANCE ENH EQ INC 1000 SHARES	12,167	13,000
HENDERSON GLOBAL EQUITY 610 SHARES	5,065	5,132
NUVEEN SYMPHONY CREDIT OPPORTUNITIES	4,548	4,532
GOLDMAN SACHS STRATEGIC INCOME FUND	2,500	2,509
PRINCIPAL GLOBAL DIVERSI 961 SHARES	12,784	13,518
PIONEER DYNAMIC CREDIT FUND 1497 SHA	15,000	14,820
VANGUARD SHORT-TERM 200 SHARES	15,522	15,956
ALTRIA GROUP INC 400 SHARES	10,274	15,356
BRISTOL-MYERS SQUIBB CO 300 SHARES	6,750	15,945
FORD MOTOR CO 1000 SHARES	12,556	15,430
GLAXOSMITHKLINE PLC 100 SHARES	5,319	5,339
GENERAC HLDGS INC 200 SHARES	8,354	11,328
INTEL 100 SHARES	2,736	2,596
OCH-ZIFF CAPTL MANGMT 500 SHARES	5,601	7,400
VODAFONE GROPC PLC 100 SHARES	2,457	3,931
MEMORIAL PRODUCTION PRTN 400 SHARES	7,928	8,776
ABERDEEN ASIA PACIFIC 5300 SHARES	31,661	30,528
ALLIANCEBERSTEIN INCOME FUND INC 450	343,246	321,206
BANCROFT FUND LTD 1765 SHARES	31,305	32,829
BLACKROCK INCOME OPPORTUNITY TRUST 3	32,520	32,010
BLACKROCK INCOME TR 4700 SHARES	31,171	30,456
BLACKROCK CORE COND TRUST 2500 SHARE	31,241	32,200
CUTWATER SELECT INCOME FUND 5600 SHA	100,696	102,536
FEDERATED ENHANCED TREASURY 3363 SHA	48,066	42,979
LEGG MASON BW GLOBAL INC 1800 SHARES	30,267	30,366
MONTGOMERY STR INCOME SEC I 1765 SHA	27,901	27,428
NUVEEN GLOBAL INCOME OPPORTUNITIES 2	32,152	30,753
NUVEEN DIVERSIFIED CURRENCY OPPORTUN	66,000	59,936
VANGUARD TOTAL BOND MARKET INDEX 189	201,000	200,620
VANGUARD SHORT-TERM 39130 SHARES	419,787	418,688
WESTERN ASSET INFLATION MANAGEMENT F	116,645	137,184
WACLAY US TREAS INFL PROT 2 7790 SHA	90,621	87,793
WESTERN ASSET CLAYMORE INFLATION 490	64,429	55,958
WESTERN ASSET INCOME FD 2500 SHARES	31,987	32,955
GAMCO GLOBAL GOLD NATURA 275 SHARES	6,796	5,376
MVC CAPITAL INC 3325 SHARES	83,493	83,524
NEUBERGER BERMAN INT MUN 2 SHARES	40,563	50,000
NUVEEN DIV ADV MUNI 3 2.8% PFD 5325	53,250	53,623
OXFORD LANE CAPITAL CORP 3000 SHARES	67,351	68,692
PIMCO CORP OPPTY 2.85% PFD AUCTION R	69,000	75,000
PIMCO MUNI INC 2.483% PFD AUCTION RA	209,250	225,000
PIMCO CORP OPPTY 1.95% PFD AUCTION R	92,500	125,000
PUTNAM MUNI OPP 5.087% PFD AUCTION R	68,625	75,000
SPECIAL OPPORTUNITIES FD 797 SHARES	39,850	49,255
NUVEEN INSD TAX-FREE ADVANTAGE MUN 1	157,415	162,540
THE MALAYSIA FUND 130 SHARES	174	
ABERDEEN EMERGING MKTS TELECOM 1200 SH	20,771	16,560
GREATER CHINA FUND COMMON 600 SHARES	6,601	6,114
ABERDEEN INDONESIA 500 SHARES	5,747	4,130
LATIN AMERICA EQUITY FD INC 568 SHAR	19,540	15,932
ADAMS EXPRESS CO ADX 13236 SHARES	155,256	172,995
ASIA PAC FD INC 4180 SHARES	41,070	43,932
BLACKROCK GLOBAL ENERGY & RES TR 285	73,880	69,376
BLACKROCK GLOBAL OPP EQTY TR 3880 SH	50,827	58,045
BLACKROCK CREDIT ALLOCATION INCOME T	70,762	74,063
BLACKROCK ENHANCED EQUITY DIVIDEND 1	126,214	130,256
BLACKROCK INTERNATIONAL GROW 9400 SH	64,271	76,422
BLACKROCK ENHANCED CAP INCOME FD 372	46,111	50,852
BOULDER GROWTH AND INCOME FUND 1500	11,460	12,060
BOULDER TOTAL RETURN FD 2500 SHARES	43,323	57,525
CHINA FUND INC 520 SHARES	10,265	10,821
COHEN & STEERS INFRASTRUCTURE UTF 24	47,472	50,161
COHEN & STEERS DIVID MAJORS FD INC 2	41,331	43,375
COHEN & STEERS GLOBAL INCOME BUILDER	14,583	15,309
EATON VANCE ENHANCED EQ INCOME FD 19	20,090	25,935
EATON VANCE TAX ADV DVD INC 1365 SHA	25,397	25,962
EUROPEAN EQUITY FD INC 2968 SHARES	18,318	26,542
FIRST TRUST ENHANCED EQUITY INC FD 2	37,260	39,361
GENERAL AMERN INVS INC 4439 SHARES	129,372	156,253
ING ASIA PAC HIGH DIVID EQUITY 1230	15,727	15,670
INDIA FD INC 860 SHARES	16,374	17,200
ING GLOBAL EQUITY PR OPPT FD 2800 SH	24,746	25,060
ING INFRASTRUCTURE INDUSTRIA 1000 SH	16,763	17,120
ING EMERGING MARKETS HIGH DI 1525 SH	17,912	17,919
I SHARES MSCI CANADA ETF 2790 SHARES	75,649	81,356
I SHARES MSCI EMU INDEX ETF 2035 SHA	73,219	84,208
I SHARES MSCI PACIFIC EX JAPAN ETF 1	50,005	51,403
I SHARES MSCI UNITED KINGDOM 4645 SH	70,921	96,988
I SHARES MSCI FRANCE 1300 SHARES	29,023	36,985
ISHARES INC MSCI JAPAN INDEX ETF 471	47,859	57,175
JAPAN EQUITY FUND INC 1150 SHARES	6,308	8,039
J F CHINA REGION COMMON 2790 SHARES	34,284	42,282
LAZARD GLOBAL TOT RT & INC 1060 SHAR	19,441	18,677
LIBERTY ALL-STAR EQUITY FD SH BEN IN	99,644	137,877
MEXICO EQUITY & INCOME FD 800 SHARES	11,461	11,904
MORGAN STANLEY EMERGING MARKETS FUND	18,314	18,745
MORGAN STANLEY ASIA PACIFIC FUND INC	40,762	42,145
MORGAN STANLEY INDIA INVESTMENT FUND	1,920	2,080
NEW GERMANY FD INC 1844 SHARES	26,379	36,751
NEW IRELAND FUND INC 1395 SHARES	16,140	17,912
NUVEEN REAL ASSET INCOME AND 1800 SH	32,052	30,150
NUVEEN CORE EQUITY ALPHA FD 760 SHAR	12,366	12,905
ROYCE VALUE TRUST 2500 SHARES	32,015	40,025
SPDR S&P 500 ETF TRUST 3765 SHARES	479,341	695,358
SINGAPORE FD INC 2081 SHARES	27,875	26,574
SPECIAL OPPORTUNITIES FD INC 3797 SH	55,199	66,277
SWISS HELVETIA FD INC 6760 SHARES	83,813	94,302
TAIWAN FD INC 425 SHARES	6,901	8,211
TEMPLETON DRAGON FUND 270 SHARES	7,100	6,988
TEMPLETON RUSSIA & EAST EUROPEAN FUN	5,353	4,001
TRI CONTINENTAL CORP 5800 SHARES	84,721	115,884
TURKISH INVESTMENT FUND 238 SHARES	4,180	3,491
VANGUARD FTSE ALL WLD EX US IDX 4621	135,200	144,953
VANGUARD MSCI EAFE ETF 5750 SHARES	198,081	239,660
VANGUARD MSCI EMERGING MARKETS ETF 1	70,181	70,144
VANGUARD MSCI PACIFIC ETF 1670 SHARE	85,761	102,371
VANGUARD MSCI EUROPE ETF 4295 SHARES	209,296	252,546
VANGUARD S P 500 ETF 4775 SHARES	664,995	807,691
VANGUARD MID CAP ETF 1325 SHARES	80,798	145,777
VANGUARD 500 INDEX FUND ADM 540 560	84,300	95,430
VANGUARD SMALL CAP ETF 1365 SHARES	101,562	150,082
WISDOMTREE JAPAN HEDGED 65 SHARES	3,320	3,305
ZWEIG FUND INC 5025 SHARES	59,043	74,672
ZWEIG TOTAL RETURN 3475 SHARES	44,677	48,442
ALPS ALERIAN MLP ETF 500 SHARES		
BLACKROCK ENGY&RESRC 500 SHARES		
BLACKROCK CAP&INC STRAT 1000 SHARES		
FLAHERTY & CRUMRINE 1000 SHARES		
ISHARES IBOXX 150 SHARES		
PRINCIPAL GLOBAL DIVERSI 1138 SHARES		
VANGUARD SHORT-TERM 300 SHARES		
ALTRIA GROUP INC 400 SHARES		
ARMOUR RESIDENTIAL 500 SHARES		
BRISTOL-MYERS SQUIBB CO 300 SHARES		
CENTURYLINK INC SHS 300 SHARES		
FORD MOTOR CO 1000 SHARES		
INTEL 100 SHARES		
SPDR GOLD TRUST 100 SHARES		
GOLDMAN SACHS LOCAL EMERGING MARKETS		
GOLDMAN SACHS STRUCTURED EMERGING MA		
ALLIANCEBERSTEIN INCOME FUND INC 398		
FEDERATED ENHANCED TREASURY 2425 SHA		
MONTGOMERY STR INCOME SEC I 500 SHAR		
POWERSHARES BUILD AMERICA PO 5375 S		
T ROWE PRICE INTL BOND FUND 13323 SH		
VANGUARD LT INVEST GR FD IV 26213 SH		
VANGUARD GNMA FUND ADM 25880 SHARES		
VANGUARD SHORT-TERM 26718 SHARES		
WESTERN ASSET INFLATION MANAGEMENT F		
WACLAY US TREAS INFL PROT 2 7790 SHA		
WESTERN ASSET CLAYMORE INFLATION 360		
KAYNE ANDERSON MIP INVST 2600 SHARES		
NEUBERGER BERMAN INT MUN 2 SHARES		
NUVEEN CA DIV ADV MUNI 3 2.95% PFD 5		
NUVEEN DIV ADV MUNI 3 2.8% PFD 5325		
NUVEEN CA DIV ADV MUNI 3 2.250% PFD		
PIMCO CORP OPPTY 2.85% PFD AUCTION R		
PIMCO MUNI INC 2.483% PFD AUCTION RA		
PIMCO CORP OPPTY 1.95% PFD AUCTION R		
PUTNAM MUNI OPP 3 SHARES		
SPECIAL OPPORTUNITIES FD 797 SHARES		
VANGUARD LTD TERM 21173 SHARES		
THE MALAYSIA FUND 130 SHARES		
ABERDEEN EMERGING MKTS TELECOM 1520 SH		
ABERDEEN INDONESIA 75 SHARES		
LATIN AMERICA EQUITY FD INC 378 SHAR		
ADAMS EXPRESS CO ADX 17800 SHARES		
ASIA PAC FD INC 4300 SHARES		
BLACKROCK GLOBAL OPP EQTY TR 2140 SH		
BLACKROCK PFD & EQUITY ADVANTAGE TR		
BLACKROCK ENHANCED EQUITY DIVIDEND 5		
BLACKROCK INTERNATIONAL GROW 9925 SH		
BLACKROCK ENHANCED CAP INCOME FD 292		
BOULDER TOTAL RETURN FD 2500 SHARES		
DOW 30 ENHANCED PREM & IN 2035 SHARE		
EATON VANCE ENHANCED EQ INCOME FD 72		
EATON VANCE ENH EQTY INC FD II 5950		
EATON VANCE TX MGD DIV EQ INCOM FD 1		
EATON VANCE TAX MANAGED GLOBAL DIVER		
EUROPEAN EQUITY FD INC 2968 SHARES		
GABELLI DIVID & INCOME TR 5936 SHARE		
GENERAL AMERN INVS INC 7580 SHARES		
GREATER CHINA FD INC COM 1135 SHARES		
INDIA FD INC 136 SHARES		
ING GLOBAL EQUITY PR OPPT FD 800 SHA		
I SHARES MSCI BRAZIL INDEX ETF 240 S		
I SHARES MSCI CANADA ETF 4500 SHARES		
I SHARES MSCI EMU INDEX ETF 1635 SHA		
I SHARES MSCI PACIFIC EX JAPAN ETF 1		
I SHARES MSCI UNITED KINGDOM 4250 SH		
I SHARES MSCI FRANCE 2370 SHARES		
ISHARES INC MSCI JAPAN INDEX ETF 160		
I SHARES MSCI HONG KONG 850 SHARES		
J F CHINA REGION FD INC 3190 SHARES		
JAPAN EQUITY FUND INC 3500 SHARES		
JAPAN SMALLER CAPITALIZATION FD INC		
KOREA EQUITY FD INC 261 SHARES		
LAZARD GLOBAL TOT RT & INC 1400 SHAR		
LAZARD WORLD DIVID INCOME FD 200 SHA		
LIBERTY ALL-STAR EQUITY FD SH BEN IN		
MACQUARIE GLOBAL INFR TOT RT FD 2085		
MEXICO EQUITY & INCOME FD 700 SHARES		
MORGAN STANLEY EMERGING MARKETS FUND		
MORGAN STANLEY ASIA PACIFIC FUND INC		
MORGAN STANLEY INDIA INVESTMENT FUND		
NEW GERMANY FD INC 2158 SHARES		
NEW IRELAND FUND INC 95 SHARES		
NUVEEN CORE EQUITY ALPHA FD 600 SHAR		
ROYCE VALUE TRUST 10200 SHARES		
GUGGENHEIM S7P 500 EQUAL WEIGHT ETF		
SPDR S&P 500 ETF TRUST 3360 SHARES		
SPDR GOLD TRUST 1742 SHARES		
SPDR DOW JONES INDUSTRIAL AVE ETF 20		
SINGAPORE FD INC 2181 SHARES		
SPECIAL OPPORTUNITIES FD INC 4097 SH		
SWISS HELVETIA FD INC 6500 SHARES		
TEMPLETON DRAGON FUND 270 SHARES		
TEMPLETON EMERGING MARKETS FUND 225		
TEMPLETON RUSSIA & EAST EUROPEAN FUN		
THAI CAP FD 150 SHARES		
TRI CONTINENTAL CORP 14040 SHARES		
VANGUARD MSCI EAFE ETF 5750 SHARES		
VANGUARD MSCI EMERGING MARKETS ETF 1		
VANGUARD MSCI PACIFIC ETF 1645 SHARE		
VANGUARD MSCI EUROPE ETF 3600 SHARES		
VANGUARD S P 500 ETF 3280 SHARES		
VANGUARD MID CAP ETF 1680 SHARES		
VANGUARD LARGE CAP VIPERS FUND 2450		
VANGUARD SMALL CAP ETF 1305 SHARES		
ZWEIG FUND INC 5025 SHARES		
ZWEIG TOTAL RETURN 3475 SHARES		
GOLDMAN SACHS LOCAL EMERGING MARKETS	213,509	190,735
GOLDMAN SACHS STRATEGIC INCOME FUND	100,767	102,587
GOLDMAN SACHS MARKETS EQUITY INSIGHT	103,163	105,520
OAKMARK INTERNATIONAL CL 5961 SHARES	154,229	156,906
GOLDMAN SACHS MULTI-MANAGER ALTERNAT	226,302	232,963

TY 2013 Investments Corporate
Stock Schedule

Name: NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION INC
EIN: 22-2809785

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SBC COMMUNICATIONS GLB 10000 SHARES	8,905	11,036
TORCHMARK CORP 5000 SHARES	5,437	6,448
JP MORGAN CHASE 80000 SHARES	83,980	84,437
GOLUB CORPORATION 57133 SHARES	643,190	5,760,180
EOG RESOURCES INC. 400 SHARES	27,452	67,136
DONALDSON CO., INC. 1600 SHARES	23,719	69,536
FORWARD AIR 1400 SHARES	44,470	61,474
ILLINOIS TOOL WORKS 900 SHARES	47,721	75,672
KNIGHT TRANSPORTATION INC. 4100 SHAR	59,650	75,194
AUTOZONE INC 120 SHARES	42,805	57,353
BED BATH AND BEYOND 800 SHARES	28,481	64,240
CARMAX 1700 SHARES	19,383	79,934
MOHAWK INDUSTRIES 400 SHARES	30,879	59,560
ROSS STORES INC. 1300 SHARES	14,877	97,409
STARWOOD HOTELS & RESORTS WORLDWIDE	72,374	87,395
MEDNAX INC. 1700 SHARES	26,239	90,746
WATERS CORP. 800 SHARES	62,778	80,000
BERKSHIRE HATHAWAY B 750 SHARES	44,610	88,920
BROOKFIELD ASSET MANAGEMENT 2000 SHA	59,574	77,660
BROWN & BROWN INC. 2500 SHARES	69,781	78,475
LOWES 1400 SHARES	56,066	67,536
M & T BANK CORP 600 SHARES	59,826	69,852
MARKEL CORP 150 SHARES	61,123	87,052
PINNACLE FINANCIAL PARTNERS 3200 SHA	58,399	104,096
WHITE MTNS INSURANCE 135 SHARES	47,318	81,416
FLIR SYSTEMS INC 2300 SHARES	55,185	69,230
MICROCHIP TECHNOLOGY INC. 1150 SHARE	32,670	51,463
ZEBRA TECHNOLOGIES 1100 SHARES	33,566	59,488
AQUA AMERICA INC 3156 SHARES	55,876	74,450
APOLLO GROUP CLASS A COMMON STOCK 21	5,701	5,874

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AVON PRODUCTS INC 315 SHARES	5,325	5,424
BARD C R INC 120 SHARES	12,524	16,073
BECTON DICKINSON & CO 30 SHARES	2,370	3,315
C.H. ROBINSON WORLDWIDE INC 90 SHARE	5,229	5,251
CISCO SYSTEMS INC 965 SHARES	18,622	21,645
CLOROX CO 125 SHARES	9,252	11,595
COCA COLA COMPANY 635 SHARES	24,499	26,232
COMCAST CORPORATION 180 SHARES	6,350	8,978
CONOCOPHILLIPS 195 SHARES	11,127	13,777
CORNING INCORPORATED 325 SHARES	4,419	5,791
EBAY INC 140 SHARES	7,034	7,681
EXXON MOBIL 80 SHARES	7,215	8,096
HEWLETT PACKARD 95 SHARES	1,399	2,658
JOHNSON & JOHNSON 200 SHARES	14,113	18,318
MICROSOFT CORPORATION 550 SHARES	16,697	20,575
NEWS CORPORATION 71 SHARES	811	1,279
ORACLE CORPORATION 445 SHARES	13,900	17,026
PEPSICO INC 490 SHARES	35,560	40,641
PROCTOR & GAMBLE COMPANY 495 SHARES	35,440	41,112
SIGMA-ALDRICH CORPORATION 25 SHARES	1,911	2,350
STRYKER CORP 190 SHARES	10,342	14,277
SYSCO CORPORATION 650 SHARES	20,658	23,465
THE BANK OF NY MELLON CORP 150 SHARE	3,428	5,241
TWENTY-FIRST CENTURY FOX INC 975 SHA	21,512	34,291
US BANKCORP 270 SHARES	9,321	10,908
VIACOM INC 160 SHARES	8,741	13,974
WAL MART STORES INC 70 SHARES	5,219	5,508
WELLPOINT INC 70 SHARES	4,248	6,467
WELLS FARGO & CO 75 SHARES	2,824	3,405
BLACKBERRY LTD 120 SHARES	1,089	893

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE SYSTEMS INC 89 SHARES	3,112	5,329
ALLERGAN INC 49 SHARES	4,209	5,443
APPLE INC 5 SHARES	3,173	2,805
CELGENE CORPORATION 41 SHARES	3,588	6,928
CME GROUP INC 37 SHARES	1,965	2,903
CROWN CASTLE INTL CORP 84 SHARES	5,365	6,168
EBAY INC 73 SHARES	4,098	4,005
EOG RESOURCES INC. 39 SHARES	4,290	6,546
GOOGLE INC 6 SHARES	4,378	6,724
INTERCONTINENTALEXCHANGE INC 20 SHAR	2,694	4,498
INTUIT INC 55 SHARES	3,287	4,198
KINDER MORGAN INC 142 SHARES	4,959	5,112
L BRANDS INC 74 SHARES	3,915	4,577
LIBERTY INTERACTIVE CORP 225 SHARES	4,266	6,604
MASTERCARD INCORPORATED 10 SHARES	4,285	8,355
MICROSOFT CORPORATION 136 SHARES	4,762	5,088
NIKE CLASS B 40 SHARES	1,880	3,146
PRICELINE COM INC 5 SHARES	2,900	5,812
PROGRESSIVE CORPORATION 139 SHARES	2,755	3,791
QUALCOMM INC 88 SHARES	5,525	6,534
SALLY BEAUTY HOLDINGS INC 59 SHARES	1,521	1,784
TERADATA CORPORATION 52 SHARES	3,706	2,365
VERIFONE SYSTEMS INC 42 SHARES	1,246	1,126
VERISIGN INC 54 SHARES	2,578	3,228
VISA INC 37 SHARES	4,862	8,239
WALGREEN CO 98 SHARES	3,536	5,629
NOVO NORDISK 17 SHARES	2,717	3,141
PERRIGO CO PLC 18 SHARES	2,740	2,762
SYGENTA 32 SHARES	2,204	2,558
ADVENT SOFTWARE 72 SHARES	1,679	2,517

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALBEMARLE CORP 79 SHARES	4,835	5,008
ALEXANDER & BALDWIN INC 74 SHARES	2,227	3,088
ALLIANT TECHSYSTEMS INC 25 SHARES	2,396	3,042
AMERICAN EAGLE OUTFITTERS INC 89 SHA	1,869	1,282
ATWOOD OCEANICS INC 81 SHARES	3,738	4,325
CABELA'S INCORPORATED 81 SHARES	3,885	5,399
COLUMBIA SPORTSWEAR COMPANY 33 SHARE	1,674	2,599
DECKERS OUTDOORS CORP 53 SHARES	3,281	4,476
EATON VANCE CORP 121 SHARES	3,321	5,178
KAMAN CORP 39 SHARES	1,305	1,549
MARTIN MARIETTA MATERIALS INC 16 SHA	1,252	1,599
MATSON INC 78 SHARES	2,063	2,037
MBIA INC 234 SHARES	2,511	2,794
MICREL INC 96 SHARES	949	948
MONTPELIER RE HOLDINGS LTD 76 SHARES	1,606	2,212
MRC GLOBAL INC 118 SHARES	3,765	3,807
NEWMARKET CORP 18 SHARES	4,453	6,015
OLD DOMINION FGHT LINES INC 115 SHAR	3,499	6,097
OLIN CORP PAR 1 147 SHARES	4,049	4,241
PRICESMART INC 47 SHARES	3,461	5,430
SERVICE CORP INTERNATL 263 SHARES	3,411	4,768
STURM RUGER & COMPANY 57 SHARES	2,894	4,166
TEJON RANCH CO 53 SHARES	1,448	1,948
TEMPUR SEALY INTERNATIONAL INC 76 SH	3,353	4,101
TENET HEALTHCARE CORP 100 SHARES	2,128	4,212
TREDEGAR CORPORATION 34 SHARES	490	980
VALUECLICK INC 74 SHARES	1,147	1,729
WHITE MTNS INSURANCE 4 SHARES	2,082	2,412
WORLD FUEL SERVICES CORP 35 SHARES	1,266	1,511
CORRECTIONS CORP OF AMERICA 123 SHAR	4,237	3,945

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FIRST INDUSTRIAL REALTY TRUST 199 SH	2,613	3,473
WTS/TEJON RANCH CO 12 SHARES		66
RITCHIE BROS AUCTIONEERS INC 55 SHAR	1,071	1,261
ADVANCE AUTO PARTS INC 114 SHARES	7,912	12,618
AFLAC INCORPORATED 187 SHARES	9,051	12,492
ALLERGAN INC 125 SHARES	11,115	13,885
AMERICAN INTL GROUP INC 254 SHARES	9,650	12,967
APACHE CORP 142 SHARES	11,185	12,203
APPLE INC 23 SHARES	14,879	12,903
BAXTER INTERNATIONAL INC 91 SHARES	6,303	6,329
BERKSHIRE HATHAWAY B 50 SHARES	4,521	5,928
CHEVRON CORPORATION 86 SHARES	10,088	10,742
CVS CAREMARK CORPORATION 189 SHARES	9,243	13,527
DOLLAR GENERAL CORPORATION 194 SHARE	8,420	11,702
HALLIBURTON COMPANY 267 SHARES	8,573	13,550
INTL BUSINESS MACHINES CORP 68 SHARE	12,429	12,755
JOHNSON & JOHNSON 74 SHARES	5,139	6,778
MARKEL CORP 11 SHARES	5,700	6,384
MCKESSON CORPORATION 81 SHARES	7,284	13,073
MICROSOFT CORPORATION 384 SHARES	11,449	14,365
MURPHY USA INC 143 SHARES	5,796	5,943
NCR CORPORATION 374 SHARES	9,252	12,738
OIL STS INTL INC 57 SHARES	5,766	5,798
ORACLE CORPORATION 316 SHARES	9,821	12,090
THERMO FISHER SCIENTIFIC INC 65 SHAR	3,914	7,238
BAIDU INC 42 SHARES	3,931	7,471
CANADIAN PACIFIC RAILWAY LTD 42 SHAR	3,646	6,355
CHECK POINT SOFTWARE TECH LTD 210 SH	10,131	13,545
NOVARTIS AG ADR SPONSORED 144 SHARES	9,024	11,575
SANOFI SPONSORED ADR 229 SHARES	10,291	12,281

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TOTAL SA SPONSORED ADR 111 SHARES	5,551	6,801
PORSCHE AUTOMOBIL HOLDING SE PFD 130	11,874	13,520
ADT CORP 49 SHARES	1,595	1,983
AMC NETWORKS INC 21 SHARES	645	1,430
ANDARKO PETROLEUM 73 SHARES	3,748	5,790
AUTODESK INC 88 SHARES	2,550	4,428
BIOGEN IDEC INC 14 SHARES	1,026	3,914
BROADCOM CORP 151 SHARES	4,441	4,476
CABLEVISION SYSTEMS 252 SHARES	3,660	4,518
CITRIX SYSTEM INC 46 SHARES	2,887	2,909
COMCAST CORP 194 SHARES	3,033	9,677
COVIDIEN PLC 39 SHARES	1,626	2,656
CREE INC 71 SHARES	3,206	4,439
DIRECTV 40 SHARES	1,282	2,762
DOLBY LABORATORIES INC 37 SHARES	1,173	1,427
FLUOR CORP 50 SHARES	2,363	4,014
FOREST LABS 128 SHARES	4,139	7,684
FREEPORT-MCMORAN COPPER 57 SHARES	1,600	2,151
IMMUNOGEN INC 94 SHARES	1,238	1,379
ISIS PHARMACEUTICALS 35 SHARES	452	1,394
L-3 COMMUNICATIONS HLDGS 51 SHARES	3,789	5,450
LIBERTY INTERACTIVE CORP 95 SHARES	1,723	2,788
LIBERTY MEDIA CORP 13 SHARES	244	1,902
NATIONAL OILWELL VARCO INC 32 SHARES	1,494	2,545
NUCOR 77 SHARES	3,068	4,110
PALL CORP 48 SHARES	1,711	4,097
PENTAIR LTD 28 SHARES	1,118	2,175
SANDISK CORP 59 SHARES	1,807	4,162
SEAGATE TECH PLC 109 SHARES	2,141	6,121
STARZ 23 SHARES	378	673

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TE CONNECTIVITY LTD 101 SHARES	2,670	5,566
TYCO INTERNATIONAL LTD 104 SHARES	2,408	4,268
UNITED HEALTH GROUP INC 107 SHARES	3,803	8,057
VERTEX PHARMACEUTICALS 39 SHARES	2,103	2,898
WEATHERFORD INTERNATIONAL 411 SHARES	4,183	6,366
ALLEGION PLC 18 SHARES	460	795
AXA -ADR 54 SHARES	1,253	1,506
BASF SE SPONSORED ADR 22 SHARES	823	2,371
BHP BILLITON LTD 80 SHARES	3,442	5,456
BRITISH AMERICAN TOB PLC 27 SHARES	2,354	2,900
BROOKFIELD ASSET MGMT 28 SHARES	639	1,087
CANADIAN NATL RESOURCES 122 SHARES	4,567	4,128
CANADIAN NATL RY CO 72 SHARES	2,076	4,105
CANADIAN PACIFIC RAILWAY 34 SHARES	2,706	5,145
CORE LABORATORIES INC 7 SHARES	373	1,337
DIAGEO PLC SPONSORED 25 SHARES	2,091	3,310
EATON CORP 52 SHARES	2,983	3,958
ENSCO PLC 47 SHARES	2,707	2,687
ENSIGN ENERGY SERVICE 31 SHARES	603	489
FINNING INTL INC 10 SHARES	273	256
INGERSOLL-RAND PLC 56 SHARES	1,855	3,450
MANULIFE CORP 69 SHARES	1,197	1,361
NABORS INDUSTRIES LTD 174 SHARES	2,600	2,956
NESTLE S A REG ADR 57 SHARES	2,531	4,195
NOBLE CORP BAAR 135 SHARES	5,599	5,058
NOVARTIS AG SPON ADR 27 SHARES	1,444	2,170
PARTNERRE LTD 11 SHARES	769	1,160
POTASH CORP OF SASKATCH 110 SHARES	1,286	3,626
RIO TINTO PLC SPONSORED 112 SHARES	5,455	6,320
SCHLUMBERGER LTD 68 SHARES	4,135	6,127

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SUNCOR ENERGY INC NEW 172 SHARES	6,438	6,029
TALISMAN ENERGY INC 86 SHARES	1,506	1,002
TECK RESOURCES 38 SHARES	1,245	988
TENARIS S A SPONSORED 109 SHARES	3,768	4,762
UBS AG - REG 121 SHARES	2,015	2,329
UNILEVER N V ADR 59 SHARES	2,045	2,374
VALE S A ADR 241 SHARES	3,283	3,675
WEATHERFORD INTL LTD REG 402 SHARES	7,517	6,227
YARA INTL ASA 5 SHARES	72	215
AARONS INC 35 SHARES	998	1,029
AEGON N V ORD AMER REG 130 SHARES	1,061	1,232
ALEXANDER & BALDWIN INC 15 SHARES	605	626
AMDOCS LTD 70 SHARES	2,632	2,887
BRIGGS & STRATTON CORP 225 SHARES	4,307	4,896
CAPITOL FEDERAL FINANCIAL INC 125 SH	1,609	1,514
CEMEX SAB 100 SHARES	1,079	1,183
CHESAPEAKE ENERGY CORP 75 SHARES	2,146	2,035
CIMENTS FRANCAIS UNSPONSORED ADR 80	1,485	1,524
DEBENHAMS PCL 490 SHARES	3,222	2,370
EMBRAER S A ADR 120 SHARES	3,820	3,862
EVEREST RE GROUP LTD BERMUDA 5 SHARE	769	779
FIFTH THIRD BANCORP 55 SHARES	1,060	1,157
FIRST PAC CO LTD 185 SHARES	1,075	1,058
FLEXTRONICS INTL 350 SHARES	2,880	2,719
H LUNDBECK A/S 150 SHARES	3,243	3,816
HACHIJUNI BANK LTD 30 SHARES	1,852	1,780
INGRAM MICRO INC 90 SHARES	2,160	2,111
ITALCEMENTI SPA UNSPON ADR ITALY 185	1,618	1,580
ITRON INC 60 SHARES	2,698	2,486
LABORATORY CORP OF AMER HLDGS 10 SHA	991	914

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LEAR CORP 10 SHARES	753	810
LENNAR CORP 75 SHARES	2,146	2,529
MAGYAR TELECOM 235 SHARES	1,672	1,699
MASCO CORP 135 SHARES	2,662	3,074
MITSUBISHI TANABE PHARMA CORP 235 SH	3,333	3,274
MOLSON COORS BREWING CO 60 SHARES	3,164	3,369
MS&AD INS GROUP HLDGS 80 SHARES	1,048	1,072
OLD REPUBLIC INTL CORP 105 SHARES	1,635	1,813
PEOPLE'S UNITED FINANCL PBCT 75 SHAR	1,078	1,134
STAPLES INC 65 SHARES	1,036	1,033
SUEZ ENVIRONMENT 185 SHARES	1,585	1,671
SUNTRUST BANKS INC 45 SHARES	1,562	1,656
SYKES ENTERPRISES INC 85 SHARES	1,607	1,854
TE CONNECTIVITY LTD 40 SHARES	2,163	2,204
THE ST JOE COMPANY 55 SHARES	1,039	1,055
TIM PARTICIPACOE 40 SHARES	1,081	1,050
VISTEON CORP 15 SHARES	1,163	1,228
WESTERN DIGITAL CORP 30 SHARES	2,159	2,517
WILLIS GROUP HOLDINGS 70 SHARES	3,158	3,137
WISDOMTREE JAPAN 100 SHARES	5,183	5,101
WM MORRISON ADR 190 SHARES	4,338	4,155
WOLTERS KLUWER 95 SHARES	2,593	2,710
A A R CORPORATION 25 SHARES	429	700
AARONS INC 32 SHARES	944	941
ABM INDUSTRIES INC 29 SHARES	561	829
AEGION CORP 21 SHARES	510	460
AIRCASTLE LTD 91 SHARES	1,091	1,744
ALLIANT TECHSYSTEMS INC 15 SHARES	892	1,825
ALLIED WORLD ASSURANCE CO HOLDINGS L	699	1,467
AMCOL INTL CORP 14 SHARES	415	476

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERICAN AXLE & MFG 98 SHARES	1,094	2,004
ARRIS GROUP INC 70 SHARES	804	1,704
ASHFORD HOSPITALITY PRIME INC 8 SHAR		146
ASHFORD HOSPITALITY TR 40 SHARES	481	331
ASPEN INSURANCE HOLDINGS 28 SHARES	800	1,157
ASSOC ESTATES RLTY CORP 39 SHARES	661	626
ASSOCIATED BANC CORP 109 SHARES	1,484	1,897
ATWOOD OCEANICS INC 27 SHARES	1,060	1,442
BANCORPSOUTH INC 55 SHARES	756	1,398
BARNES GROUP INC 21 SHARES	461	805
BIG LOTS INC 35 SHARES	1,219	1,130
BRADY CORP 18 SHARES	491	557
BRANDYWINE REALTY TRUST 104 SHARES	1,226	1,465
BRINKER INTL INC 34 SHARES	780	1,576
BRINKS CO 46 SHARES	1,196	1,570
BROCADE COMMUNICATIONS SYSTEMS 202 S	1,203	1,791
CABOT CORPORATION 31 SHARES	1,094	1,593
CARLISLE COMPANIES INC 13 SHARES	458	1,032
CBL & ASSOC PROP 57 SHARES	841	1,024
CEC ENTMT INC 9 SHARES	304	399
CELESTICA INC 47 SHARES	376	489
CHARLES RIVER LABS 30 SHARES	1,011	1,591
CHEMICAL FINANCIAL CORP 12 SHARES	249	380
CITY NATIONAL CORP 9 SHARES	401	713
COEUR MINING INC 42 SHARES	1,040	456
COOPER TIRE & RUBBER 29 SHARES	680	697
CRANE COMPANY 20 SHARES	803	1,345
CSG SYS INTL INC 21 SHARES	462	617
CURTISS-WRIGHT CORP 23 SHARES	790	1,431
DST SYSTEMS INC 15 SHARES	715	1,361

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EAST WEST BANCORP 43 SHARES	895	1,504
EMCOR GROUP INC 17 SHARES	463	721
ENDURANCE SPECIALTY HLDG 22 SHARES	870	1,291
ENERGY XXI BERMUDA LTD 25 SHARES	705	676
ENERSYS INC 15 SHARES	472	1,051
EPIQ SYSTEMS INC 17 SHARES	217	275
ESTERLINE TECHNOLOGIES 14 SHARES	850	1,427
FEDERATED INVTS INC 21 SHARES	356	605
FIRST HORIZON NATIONAL 107 SHARES	1,090	1,247
FIRST MIDWEST BANCORP 32 SHARES	369	561
FIRST NIAGARA FINL GROUP INC 123 SHA	981	1,306
FIRSTMERIT CORP 58 SHARES	893	1,289
FULTON FINANCIAL CORP 105 SHARES	1,089	1,374
GENERAL CABLE CORP 38 SHARES	1,084	1,118
GENTIVA HEALTH SERVICES 22 SHARES	220	273
HANCOCK HOLDING CO 41 SHARES	1,275	1,504
HANOVER INSURANCE GRP 29 SHARES	1,181	1,732
HELEN OF TROY LTD 24 SHARES	798	1,185
HILL-ROM HOLDINGS INC 28 SHARES	877	1,158
HILLENBRAND INC 18 SHARES	337	530
HOSPITALITY PROPERTIES 46 SHARES	1,085	1,243
HYSTER-YALE MATERIAL HANDLING INC 5	339	466
IDACORP 26 SHARES	948	1,348
INDEPENDENT BANK CORP 7 SHARES	179	274
INGRAM MICRO INC 60 SHARES	1,089	1,408
INTEGRA LIFESCIENCES HOLDING 18 SHAR	759	859
INTERNATIONAL BANCSHARES 15 SHARES	272	395
ISHARES TR RUSSELL 28 SHARES	2,307	3,230
ITRON INC 18 SHARES	706	746
ITT CORP 32 SHARES	691	1,389

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JANUS CAPITAL GROUP INC 99 SHARES	936	1,225
JOHN WILEY & SONS INC 13 SHARES	576	718
JONES GROUP INC 83 SHARES	970	1,242
KINDRED HEALTHCARE INC 43 SHARES	497	849
KKR FINANCIAL HOLDINGS 75 SHARES	801	914
KOPPERS HOLDINGS INC 7 SHARES	256	320
KULICKE & SOFFA IND INC 81 SHARES	920	1,077
L B FOSTER CO CL 5 SHARES	214	236
LAKELAND FINL CORP 9 SHARES	217	351
LIFEPOINT HOSPS INC 29 SHARES	1,060	1,532
MACK CALI RLTY CORP 50 SHARES	1,337	1,074
MANTECH INTL CORP 18 SHARES	455	539
MATTHEWS INTL CORP 10 SHARES	321	426
MENTOR GRAPHICS CORP 51 SHARES	792	1,228
MEREDITH CORP 28 SHARES	1,007	1,450
MICROSEMI CORP 58 SHARES	1,071	1,447
MONTPELIER RE HLDGS LTD 21 SHARES	387	611
NAVIGANT CONSULTING CO 13 SHARES	145	250
NBT BANCORP INC 16 SHARES	342	414
NELNET INC 9 SHARES	293	379
NEW RESIDENTIAL INVESTMENT CORP 202	1,297	1,349
OLIN CORP PAR 1 54 SHARES	1,055	1,558
OMEGA HEALTHCARE 41 SHARES	916	1,222
OWENS & MINOR INC 37 SHARES	1,137	1,353
PAN AMERICAN SILVER CORP 53 SHARES	1,034	620
PENN REAL EST INV 54 SHARES	754	1,025
PLANTRONICS INC 30 SHARES	966	1,393
PLATINUM UNDERWRITERS 14 SHARES	483	858
PORTLAND GENERAL 43 SHARES	1,027	1,299
PROSPECT CAPITAL CORP 40 SHARES	446	449

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PROSPERITY BANC	795	1,204
PROTECTIVE LIFE CORP 31 SHARES	706	1,570
QLOGIC CORP 116 SHARES	1,316	1,372
RYDER SYSTEM INC 23 SHARES	1,034	1,697
SANMINA CORP 40 SHARES	408	668
SCHULMAN A INC 11 SHARES	238	388
SELECT MEDICAL HOLDINGS CORP 96 SHAR	867	1,115
SPX CORP 7 SHARES	361	697
STEEL DYNAMICS INC 70 SHARES	772	1,368
SUPERIOR ENERGY 46 SHARES	1,036	1,224
SYKES ENTERPRISES INC 18 SHARES	304	393
SYMETRA FINANCIAL CORP 35 SHARES	363	664
T C F FINANCIAL CORP 42 SHARES	503	682
TECH DATA CORP 18 SHARES	952	929
TECO ENERGY INC 57 SHARES	855	983
TRINITY INDUSTRIES INC 35 SHARES	1,272	1,908
TRIPLE-S MANAGEMENT CORP 20 SHARES	385	389
TTM TECHNOLOGIES INC 22 SHARES	219	189
TUTOR PERINI CORP 50 SHARES	700	1,315
ULTRA PETROLEUM CORP 58 SHARES	1,219	1,256
UMPQUA HLDGS CORP 38 SHARES	466	727
UNIT CORP 30 SHARES	1,377	1,549
URS CORP 18 SHARES	766	954
VALASSIS COMMUNICATIONS INC 47 SHARE	1,288	1,610
VISHAY INTERTECHNOLOGY 107 SHARES	1,326	1,419
WASHINGTON FED INC 61 SHARES	1,050	1,421
WEBSTER FINANCIAL CORP 27 SHARES	576	842
WESBANCO INC 10 SHARES	206	320
WINTRUST FINL CORP 30 SHARES	1,020	1,384
WORTHINGTON INDS INC 27 SHARES	567	1,136

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AGILENT TECH INC 7 SHARES	360	400
AIR PRODUCTS & CHEMICALS INC 8 SHARE	893	894
ANGIES LIST INC 175 SHARES	2,625	2,651
APPLIED MATERIALS INC 35 SHARES	632	619
BERKSHIRE HATHAWAY INC 55 SHARES	6,454	6,521
C H ROBINSON WORLDWIDE 9 SHARES	545	525
CHUBB CORP 12 SHARES	904	1,160
COLGATE-PALMOLIVE CO 20 SHARES	1,257	1,304
DIAGEO PLC SPONSORED 5 SHARES	658	662
DISH NETWORK CORP 13 SHARES	637	753
EXPEDITORS INTL WASH INC 17 SHARES	758	752
FEDEX CORPORATION 9 SHARES	1,173	1,294
GOOGLE INC 6 SHARES	6,025	6,724
HEWLETT PACKARD 28 SHARES	670	783
IDEXX LABORATORIES INC 15 SHARES	1,557	1,596
INTEL CORP 19 SHARES	459	493
INTERNATIONAL BUSINESS MACHINE CORP	522	563
JOHNSON & JOHNSON 20 SHARES	1,351	1,832
LABORATORY CORP OF AMER HLDGS 67 SHA	6,669	6,122
LAS VEGAS SANDS CORP 22 SHARES	1,568	1,735
LIBERTY GLOBAL PLC SHS 68 SHARES	5,072	5,734
LOEWS CORPORATION 16 SHARES	787	772
LOWES COMPANIES INC 26 SHARES	1,258	1,288
MARKEL CORP 4 SHARES	2,095	2,321
MICROSOFT CORP 74 SHARES	2,225	2,768
NETFLIX.COM INC 2 SHARES	682	736
NIKE INC CLASS B 14 SHARES	1,068	1,101
ORACLE CORPORATION 34 SHARES	1,122	1,301
PACCAR INC 64 SHARES	3,728	3,787
PHILIP MORRIS INTERNATIONAL INC 6 SH	526	523

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PRICELINE COM INC 2 SHARES	2,139	2,325
QIHOO 360 TECHNOLOGY CO LTD 15 SHARE	1,132	1,231
SALESFORCE.COM CRM 15 SHARES	802	828
SAP AG-SPONSORED ADR 16 SHARES	1,258	1,394
SCHLUMBERGER LTD 28 SHARES	2,626	2,523
SCHWAB CHARLES CORP 77 SHARES	977	2,002
SHERWIN WILLIAMS CO 4 SHARES	739	734
SIGMA ALDRICH CORP 28 SHARES	2,063	2,632
SINA CORP 21 SHARES	1,809	1,769
TEXAS INSTRUMENTS NIC 53 SHARES	2,132	2,327
TEXTRON INC 110 SHARES	3,249	4,044
TIME WARNER CABLE 9 SHARES	1,076	1,219
TJX COS INC 11 SHARES	646	701
TRANSDIGM GROUP INC 8 SHARES	1,121	1,288
UNILEVER N V ADR 27 SHARES	1,078	1,086
UNITED HEALTH GROUP INC 85 SHARES	5,901	6,400
US BANCORP 38 SHARES	1,265	1,535
VIPSHOP HOLDINGS LTD 61 SHARES	4,458	5,104
WAL-MART STORES INC 19 SHARES	1,355	1,495
WELLPOINT INC 12 SHARES	1,052	1,109
WELLS FARGO COMPANY 82 SHARES	2,769	3,723
WESCO AIRCRAFT HOLDINGS 89 SHARES	1,642	1,951
YOUKU TUDOU INC 53 SHARES	1,576	1,606
3M CO 8 SHARES	991	1,122
AES CORP 157 SHARES	2,005	2,278
AETNA INC 30 SHARES	1,773	2,058
AGILENT TECH INC 33 SHARES	1,841	1,887
ALLIANT TECHSYSTEMS INC 12 SHARES	1,287	1,460
ALLSTATE CORP 15 SHARES	463	818
AMEREN CORP 61 SHARES	2,141	2,206

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERICAN AIRLINES GROUP 50 SHARES	1,195	1,262
ATMOS ENERGY CORP 50 SHARES	2,142	2,271
AVNET INC 17 SHARES	605	750
BARRICK GOLD CORPORATION 64 SHARES	1,192	1,128
BEST BUY CO INC 52 SHARES	1,917	2,074
BIOMED REALTY TRUST INC 112 SHARES	2,051	2,029
BOSTON SCIENTIFIC CORP 84 SHARES	622	1,010
BRANDYWINE REALTY TRUST 114 SHARES	1,264	1,606
CARDINAL HEALTH INC 38 SHARES	2,007	2,539
CBL & ASSOC PROPERTIES 124 SHARES	2,314	2,227
CBRE GROUP 30 SHARES	718	789
CHESAPEAKE ENERGY 96 SHARES	2,176	2,605
CIMAREX ENERGY 24 SHARES	2,235	2,518
CIT GROUP 14 SHARES	707	730
CLECO CORPORATION 44 SHARES	1,776	2,051
CMS ENERGY CORP 95 SHARES	2,013	2,543
DELTA AIR LINES INC 48 SHARES	651	1,319
DILLARDS 10 SHARES	836	972
DISCOVER FINANCIAL 20 SHARES	377	1,119
DOVER CORP 7 SHARES	614	676
DUPONT FABROS TECHONOLOGY 44 SHARES	1,129	1,087
ELECTRONIC ARTS INC 37 SHARES	863	849
ENERGIZER HOLDINGS INC 15 SHARES	1,634	1,624
EVEREST RE GROUP LTD BERMUDA 4 SHARE	590	623
FIFTH THIRD BANCORP 36 SHARES	568	757
FINISAR CORPORATION 91 SHARES	1,898	2,177
FIRST SOLAR INC 19 SHARES	1,131	1,038
GANNETT 50 SHARES	1,098	1,479
GENERAC HOLDINGS 31 SHARES	1,145	1,756
GERDAU S A 284 SHARES	2,114	2,227

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GRAPHIC PACKAGING HOLDING INC 158 SH	1,370	1,517
GUESS? INC 15 SHARES	518	466
HANESBRANDS INC 19 SHARES	1,331	1,335
HCC INSURANCE HOLDINGS INC 29 SHARES	1,009	1,338
HUNTINGTON BANCSHRES 306 SHARES	2,632	2,953
HUNTSMAN 62 SHARES	1,163	1,525
ING US 83 SHARES	2,680	2,917
INVESCO 88 SHARES	2,901	3,203
ITT CORP 24 SHARES	998	1,042
LAM RESEARCH 32 SHARES	1,468	1,742
LEAR CORP 12 SHARES	875	972
LIBERTY PROPERTY TRUST 62 SHARES	2,471	2,100
LINCOLN NATL CORP IND 38 SHARES	869	1,962
LORILLARD INC 34 SHARES	1,488	1,723
MAGNA INTL 18 SHARES	1,042	1,477
MANPOWERGROUP 38 SHARES	2,449	3,263
MARVELL TECHNOLOGY 49 SHARES	637	705
METHANEX CORP 11 SHARES	599	652
MGM RESORTS 59 SHARES	903	1,388
MICRON TECHNOLOGY INC 66 SHARES	780	1,436
MOHAWK INDS 7 SHARES	842	1,042
MRC GLOBAL INC 64 SHARES	1,999	2,065
MYRIAD GENETICS 40 SHARES	1,116	839
NATIONSTAR MORTGAGE HOLDINGS INC 54	2,746	1,996
NXP SEMICONDUCTORS NV 31 SHARES	935	1,424
OMNICARE 23 SHARES	976	1,388
OWENS ILLINOIS 61 SHARES	1,885	2,183
PARKER-HANNIFIN CORP 13 SHARES	1,554	1,672
PEABODY ENERGY CORP 94 SHARES	1,836	1,836
REGIONS FINANCIAL CORP 309 SHARES	2,337	3,056

Name of Stock	End of Year Book Value	End of Year Fair Market Value
RITE AID 197 SHARES	597	997
RR DONNELLEY & SONS 41 SHARES	786	831
SANDISK CORP 8 SHARES	536	564
SKYWORKS SOLUTIONS INC 24 SHARES	543	685
ST JUDE MEDICAL 15 SHARES	796	929
STARWOOD HOTELS & RESORTS WORLDWIDE	687	715
SUPERVALU INC 134 SHARES	1,105	977
SWIFT TRANSPORTATION 66 SHARES	1,187	1,466
TEREX CORPORATION 21 SHARES	667	882
TIVO INC 104 SHARES	1,408	1,364
TYSON FOODS INC 23 SHARES	529	770
UNIVERSAL HEALTH SVCS 17 SHARES	1,364	1,381
UNUM GROUP 19 SHARES	535	667
VALIDUS HOLDINGS LTD 40 SHARES	1,388	1,612
WEB.COM GROUP INC 66 SHARES	1,802	2,098
WEBSTER FINANCIAL CORP 45 SHARES	1,299	1,403
WENDYS CO 63 SHARES	523	549
WESTERN REFINING INC 34 SHARES	1,340	1,442
WEYERHAEUSER CO 58 SHARES	1,759	1,831
WHIRLPOOL 9 SHARES	1,110	1,412
WHITING PETROLEUM 33 SHARES	1,630	2,042
XEROX 88 SHARES	803	1,071
SBC COMMUNICATIONS GLB 10000 SHARES		
TORCHMARK CORP 5000 SHARES		
JP MORGAN CHASE 80000 SHARES		
GOLUB CORPORATION 57133 SHARES		
EOG RESOURCES INC. 490 SHARES		
DONALDSON CO., INC. 1600 SHARES		
FORWARD AIR 1529 SHARES		
HEARTLAND EXPRESS 2561 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ILLINOIS TOOL WORKS 1562 SHARES		
KNIGHT TRANSPORTATION INC. 3835 SHAR		
AUTOZONE INC 120 SHARES		
BED BATH AND BEYOND 800 SHARES		
CARMAX 2094 SHARES		
MOHAWK INDUSTRIES 550 SHARES		
ROSS STORES INC. 1300 SHARES		
MEDNAX INC. 1104 SHARES		
WATERS CORP. 941 SHARES		
BERKSHIRE HATHAWAY B 882 SHARES		
BROOKFIELD ASSET MANAGEMENT 2667 SHA		
BROWN & BROWN INC. 2813 SHARES		
LOWES 1400 SHARES		
MARKEL CORP 157 SHARES		
PINNACLE FINANCIAL PARTNERS 3200 SHA		
TCF FINANCIAL 2119 SHARES		
WHITE MTNS INSURANCE 135 SHARES		
MICROCHIP TECHNOLOGY INC. 1150 SHARE		
ZEBRA TECHNOLOGIES 1600 SHARES		
AQUA AMERICA INC 2525 SHARES		
APOLLO GROUP CLASS A COMMON STOCK 18		
AVON PRODUCTS INC 325 SHARES		
BARD C R INC 155 SHARES		
BECTON DICKINSON & CO 65 SHARES		
CISCO SYSTEMS INC 850 SHARES		
CLOROX CO 165 SHARES		
COCA COLA COMPANY 275 SHARES		
COMCAST CORPORATION 200 SHARES		
CONOCOPHILLIPS 245 SHARES		
CORNING INCORPORATED 285 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DELL INC 260 SHARES		
EXXON MOBIL 45 SHARES		
HEWLETT PACKARD 515 SHARES		
JOHNSON & JOHNSON 200 SHARES		
MICROSOFT CORPORATION 690 SHARES		
NEWS CORPORATION 1410 SHARES		
PEPSICO INC 470 SHARES		
PFZIER INC 310 SHARES		
PROCTOR & GAMBLE COMPANY 495 SHARES		
STRYKER CORP 195 SHARES		
SYSCO CORPORATION 525 SHARES		
THE BANK OF NY MELLON CORP 150 SHARE		
US BANKCORP 255 SHARES		
VIACOM INC 190 SHARES		
WAL MART STORES INC 65 SHARES		
WELLPOINT INC 70 SHARES		
RESEARCH IN MOTION LIMITED 245 SHARE		
ADOBE SYSTEMS INC 105 SHARES		
ALLERGAN INC 52 SHARES		
APPLE INC 13 SHARES		
BMC SOFTWARE 98 SHARES		
CATERPILLAR INC 17 SHARES		
CELGENE CORPORATION 24 SHARES		
CME GROUP INC 37 SHARES		
CROWN CASTLE INTL CORP 75 SHARES		
EOG RESOURCES INC. 45 SHARES		
GOOGLE INC 5 SHARES		
INTERCONTINENTALEXCHANGE INC 22 SHAR		
INTUIT INC 67 SHARES		
KINDER MORGAN INC 133 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LIBERTY INTERACTIVE CORP 189 SHARES		
MASTERCARD INCORPORATED 10 SHARES		
NIKE CLASS B 46 SHARES		
PERRIGO COMPANY 18 SHARES		
POLYCOM INC 85 SHARES		
PRICELINE.COM INC 6 SHARES		
PROGRESSIVE CORPORATION 176 SHARES		
QUALCOMM INC 88 SHARES		
SALLY BEAUTY HOLDINGS INC 43 SHARES		
STAPLES INC 218 SHARES		
TERADATA CORPORATION 36 SHARES		
VERIFONE SYSTEMS INC 42 SHARES		
VERISIGN INC 63 SHARES		
VISA INC 37 SHARES		
WALGREEN CO 93 SHARES		
NOVO NORDISK 21 SHARES		
SYGENTA 37 SHARES		
ADVENT SOFTWARE 91 SHARES		
ALBEMARLE CORP 79 SHARES		
ALEXANDER & BALDWIN INC 92 SHARES		
ALLEGHANY CORP 5 SHARES		
AMERICAN EAGLE OUTFITTERS INC 112 SH		
ATWOOD OCEANICS INC 103 SHARES		
CABELA'S INCORPORATED 103 SHARES		
COLUMBIA SPORTSWEAR COMPANY 40 SHARE		
CORRECTIONS CORP OF AMERICA 134 SHAR		
EATON VANCE CORP 176 SHARES		
ENERGIZER HOLDINGS INC 27 SHARES		
KAMAN CORP 83 SHARES		
KRATON PERFORMNC POLMERS INC 100 SHA		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MARTIN MARIETTA MATERIALS INC 21 SHA		
MATSON INC 92 SHARES		
MBIA INC 293 SHARES		
MICREL INC 275 SHARES		
MONTPELIER RE HOLDINGS LTD 155 SHARE		
NEWMARKET CORP 20 SHARES		
NU SKIN ENTERPRISES INC 93 SHARES		
OLD DOMINION FGHT LINES INC 160 SHAR		
PRICESMART INC 62 SHARES		
SERVICE CORP INTERNATL 333 SHARES		
STURM RUGER & COMPANY 37 SHARES		
TEJON RANCH CO 84 SHARES		
TENET HEALTHCARE CORP 125 SHARES		
TREDEGAR CORPORATION 100 SHARES		
VALUECLICK INC 204 SHARES		
WHITE MTNS INSURANCE 6 SHARES		
WORLD FUEL SERVICES CORP 80 SHARES		
FIRST INDUSTRIAL REALTY TRUST 168 SH		
RITCHIE BROS AUCTIONEERS INC 104 SHA		
ADVANCE AUTO PARTS INC 137 SHARES		
AFLAC INCORPORATED 187 SHARES		
AMERICAN INTL GROUP INC 145 SHARES		
APACHE CORP 62 SHARES		
APPLE INC 21 SHARES		
BERKSHIRE HATHAWAY B 116 SHARES		
CHEVRON CORPORATION 86 SHARES		
CVS CAREMARK CORPORATION 240 SHARES		
DOLLAR GENERAL CORPORATION 112 SHARE		
HALLIBURTON COMPANY 311 SHARES		
INTL BUSINESS MACHINES CORP 47 SHARE		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JOHNSON & JOHNSON 74 SHARES		
LIFE TECHNOLOGIES CORPORATION 116 SH		
LOCKHEED MARTIN CORPORATION 114 SHAR		
MC DONALDS CORP 100 SHARES		
MCKESSON CORPORATION 119 SHARES		
MICROSOFT CORPORATION 384 SHARES		
NCR CORPORATION 407 SHARES		
ORACLE CORPORATION 316 SHARES		
THERMO FISHER SCIENTIFIC INC 83 SHAR		
MARKET VECTORS ETF TRUST GOLD MINERS		
BARRICK GOLD CORPORATION 224 SHARES		
CANADIAN PACIFIC RAILWAY LTD 64 SHAR		
NOVARTIS AG ADR SPONSORED 200 SHARES		
SANOFI SPONSORED ADR 221 SHARES		
TOTAL SA SPONSORED ADR 111 SHARES		
VODAFONE GROUP PLC SPONSORED ADR 347		
ADT CORP 48 SHARES		
AMC NETWORKS INC 29 SHARES		
ANDARKO PETROLEUM 95 SHARES		
AUTODESK INC 120 SHARES		
BIOGEN IDEC INC 29 SHARES		
BROADCOM CORP 130 SHARES		
CABLEVISION SYSTEMS 291 SHARES		
CITRIX SYSTEM INC 44 SHARES		
COMCAST CORP 275 SHARES		
COVIDIEN PLC 50 SHARES		
CREE INC 124 SHARES		
DIRECTV 56 SHARES		
DOLBY LABORATORIES INC 139 SHARES		
FLUOR CORP 73 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FOREST LABS 202 SHARES		
FREEPORT-MCMORAN COPPER 64 SHARES		
IMMUNOGEN INC 111 SHARES		
ISIS PHARMACEUTICALS 140 SHARES		
L-3 COMMUNICATIONS HLDGS 74 SHARES		
LIBERTY INTERACTIVE CORP 146 SHARES		
LIBERTY MEDIA CORP 25 SHARES		
NATIONAL OILWELL VARCO INC 42 SHARES		
NUCOR 99 SHARES		
PALL CORP 71 SHARES		
PENTAIR LTD 45 SHARES		
SANDISK CORP 98 SHARES		
SEAGATE TECH PLC 189 SHARES		
TE CONNECTIVITY LTD 153 SHARES		
TYCO INTERNATIONAL LTD 147 SHARES		
UNITED HEALTH GROUP INC 156 SHARES		
VERTEX PHARMACEUTICALS 67 SHARES		
WEATHERFORD INTERNATIONAL 657 SHARES		
AXA -ADR 80 SHARES		
BASF SE SPONSORED ADR 30 SHARES		
BHP BILLITON LTD 80 SHARES		
BRITISH AMERICAN TOB PLC 35 SHARES		
BROOKFIELD ASSET MGMT 50 SHARES		
CANADIAN NATL RESOURCES 140 SHARES		
CANADIAN NATL RY CO 50 SHARES		
CANADIAN PACIFIC RAILWAY 60 SHARES		
CORE LABORATORIES INC 15 SHARES		
DIAGEO PLC SPONSORED 30 SHARES		
EATON CORP 42 SHARES		
ENSIGN ENERGY SERVICE 45 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FINNING INTL INC 10 SHARES		
INGERSOLL-RAND PLC 75 SHARES		
MANULIFE CORP 110 SHARES		
NABORS INDUSTRIES LTD 405 SHARES		
NESTLE S A REG ADR 80 SHARES		
NOBLE CORP BAAR 175 SHARES		
NOVARTIS AG SPON ADR 50 SHARES		
PARTNERRE LTD 20 SHARES		
POTASH CORP OF SASKATCH 130 SHARES		
RIO TINTO PLC SPONSORED 125 SHARES		
SCHLUMBERGER LTD 90 SHARES		
SUNCOR ENERGY INC NEW 185 SHARES		
TALISMAN ENERGY INC 100 SHARES		
TECK RESOURCES 35 SHARES		
TENARIS S A SPONSORED 140 SHARES		
TRANSOCEAN LTD ORDINARY 135 SHARES		
UBS AG - REG 195 SHARES		
UNILEVER N V ADR 100 SHARES		
VALE S A ADR 270 SHARES		
WEATHERFORD INTL LTD REG 480 SHARES		
YARA INTL ASA 10 SHARES		
AEON COMPANY LTD 185 SHARES		
AGRIUM INC 11 SHARES		
ASTRAZENECA PLC 66 SHARES		
AUSTRALIA & NEW ZEALAND 83 SHARES		
AXIS CAPITAL HLDGS LTD 59 SHARES		
BAE SYSTEMS PLACE 101 SHARES		
BANCO BRADESCO 125 SHARES		
BARCLAYS PLC 64 SHARES		
CAPGEMINI SA 47 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CARLSBERG 109 SHARES		
CHINA PETROLEUM & CHEM 19 SHARES		
CIA SANEAMENTO BASICO 40 SHARES		
COMPANHIA PARANAENSE DE 146 SHARES		
DEUTSCHE BOERSE 384 SHARES		
DIAGEO PLC 19 SHARES		
FRANCE TELECOM SPON ADR 193 SHARES		
FUJI HEAVY INDS LTD ADR 99 SHARES		
GOLDEN AGRI RESOURCES LTD 21 SHARES		
HANG SENG BANK LTD 65 SHARES		
HITACHI LTD ADR 38 SHARES		
HSBC HOLDINGS PLC 41 SHARES		
IMPERIAL TOB GROUP PLC 27 SHARES		
ISRAEL CHEMICALS 84 SHARES		
KDDI CORP - UNSPON ADR 122 SHARES		
KOC HOLDING AS-UNSPON ADR 42 SHARES		
KOMATSU LTD 46 SHARES		
MAGNA INTL INC 24 SHARES		
MANULIFE FINL CORP 161 SHARES		
MARKS & SPENCER PLC 88 SHARES		
MITSUI & CO LTD ADR 8 SHARES		
MIZUHO FINANCIAL GRP 662 SHARES		
NITTO DENKO CORP ADR 83 SHARES		
PETROLEO BRASILEIRO SPON 54 SHARES		
POSCO SPONSORED ADR 25 SHARES		
REED ELSEVIER NV SPN ADR 73 SHARES		
RENAISSANCE RE HOLDING 13 SHARES		
RIO TINTO PLC 40 SHARES		
ROYAL DUTCH SHELL PLC 46 SHARES		
SAGE GROUP PLC 51 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SANOFI ADR 46 SHARES		
SASOL LTD SPONS ADR 75 SHARES		
SEADRILL LTD 24 SHARES		
SEGA SAMMY HOLDING INC 221 SHARES		
SIEMENS 20 SHARES		
SK TELECOMMUNICATIONS CO 138 SHARES		
STATOIL 82 SHARES		
SVENSKA CELLULOZA AB AP 51 SHARES		
TAIWAN SEMICONDUCTOR MFG 60 SHARES		
TATA MOTORS LIMITED 40 SHARES		
TELSTRA CORP LTD SPONS ADR 51 SHARES		
TEVA PHARMACEUTICAL ADR 51 SHARES		
TORONTO DOMINION BANK 25 SHARES		
UNITED OVERSEAS BK LTD 66 SHARES		
VALE S A ADR 113 SHARES		
WOORI FINANCE HOLDINGS 35 SHARES		
YAMANA GOLD INC 120 SHARES		
YANZHOU COAL MNG LTD 69 SHARES		
ZURICH FINANCIAL SVCS ADR 129 SHARES		
ABM INDUSTRIES 28 SHARES		
AIRCASTLE LTD 83 SHARES		
ALLIANT TECH SYSTEMS 21 SHARES		
ALLIED WORLD ASSURANCE 13 SHARES		
AMCOL INTL CORP 13 SHARES		
AMERICAN AXLE & MFG 121 SHARES		
ARRIS GROUP INC 73 SHARES		
ASCENA RETAIL GROUP 30 SHARES		
ASPEN INSURANCE HOLD 45 SHARES		
ASSOCIATED BANC CORP 100 SHARES		
ATWOOD OCEANICS INC 23 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AURICO GOLD INC 135 SHARES		
BANCORPSOUTH INC 70 SHARES		
BANK OF HAWAII 23 SHARES		
BARNES GROUP INC 25 SHARES		
BERRY PETRO CO 20 SHARES		
BRADY CORP 39 SHARES		
BRANDYWINE REALTY TRST 94 SHARES		
BRIGGS & STRATTON CORP 43 SHARES		
BRINKER INTL INC 33 SHARES		
BRINKS CO 42 SHARES		
BROCADE COMMUNICATIONS SYSTEMS 204 S		
CABOT CORP 30 SHARES		
CARLISLE COMPANIES INC 20 SHARES		
CBL & ASSOC PROP 53 SHARES		
CEC ENTMT INC 10 SHARES		
CELESTICA INC 34 SHARES		
CHARLES RIVER LABS 32 SHARES		
CHEMICAL FINANCIAL CORP 14 SHARES		
CITY NATIONAL CORP 9 SHARES		
COEUR D ALENE MINES COR 44 SHARES		
COOPER TIRE & RUBBER 51 SHARES		
CRANE COMPANY 28 SHARES		
CURTISS-WRIGHT CORP 35 SHARES		
DST SYSTEMS INC 22 SHARES		
DUKE REALTY CORP 64 SHARES		
EAST WEST BANCORP 39 SHARES		
EMCOR GROUP INC 19 SHARES		
ENDURANCE SPECIALTY HLDG 23 SHARES		
ENERGEN CORPORATION 22 SHARES		
ENERSYS INC 30 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ESTERLINE TECHNOLOGIES 18 SHARES		
EURONET WORLDWIDE INC 15 SHARES		
FEDERATED INVTS INC 27 SHARES		
FIRST HORIZON NATIONAL 112 SHARES		
FIRST MIDWEST BANCORP 29 SHARES		
FIRST NIAGARA FINL GROUP INC 142 SHA		
FIRSTMERIT CORP 74 SHARES		
FOSTER WHEELER 63 SHARES		
FULTON FINANCIAL CORP 121 SHARES		
GENERAL CABLE CORP 38 SHARES		
GLACIER BANCORP INC 17 SHARES		
HANCOCK HOLDING CO 33 SHARES		
HANESBRANDS INC 42 SHARES		
HANOVER INSURANCE GRP 28 SHARES		
HELEN OF TROY LTD 18 SHARES		
HILL-ROM HOLDINGS INC 32 SHARES		
HILLENBRAND INC 20 SHARES		
HOSPITALITY PROPERTIES 44 SHARES		
HUNTSMAN CORP 62 SHARES		
HYSTER-YALE MATERIAL HANDLING INC 5		
IDACORP 27 SHARES		
INDEPENDENT BANK CORP 8 SHARES		
INGRAM MICRO INC 62 SHARES		
INTERACTIVE BROKERS GRP 33 SHARES		
INTERNATIONAL BANCSHARES 14 SHARES		
INTERNATIONAL SPEEDWAY 32 SHARES		
ISHARES TR RUSSELL 51 SHARES		
ITRON INC 21 SHARES		
ITT CORP 52 SHARES		
JARDEN CORP 10 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JDA SOFTWARE GROUP 33 SHARES		
JOHN WILEY & SONS INC 13 SHARES		
JONES GROUP INC 84 SHARES		
KOPPERS HOLDINGS INC 8 SHARES		
KULICKE & SOFFA IND INC 50 SHARES		
LAKELAND FINL CORP 7 SHARES		
LIFEPOINT HOSPS INC 27 SHARES		
MATTHEWS INTL CORP 9 SHARES		
MCGRATH RENTCORP 7 SHARES		
MENTOR GRAPHICS CORP 37 SHARES		
MEREDITH CORP 33 SHARES		
MICROSEMI CORP 59 SHARES		
MONTPELIER RE HLDGS LTD 35 SHARES		
NACCO INDUSTRIES INC 3 SHARES		
NBT BANCORP INC 11 SHARES		
NCR CORP 45 SHARES		
NV ENERGY 54 SHARES		
OLIN CORP PAR 1 47 SHARES		
OMEGA HEALTHCARE 53 SHARES		
OWENS & MINOR INC 36 SHARES		
PAN AMERICAN SILVER CORP 56 SHARES		
PENN REAL EST INV 52 SHARES		
PERKINELMER INC 34 SHARES		
PLANTRONICS INC 29 SHARES		
PLATINUM UNDERWRITERS 24 SHARES		
PMC-SIERRA INC 190 SHARES		
PORTLAND GENERAL 40 SHARES		
PROSPERITY BANCSHS 28 SHARES		
PROTECTIVE LIFE CORP 39 SHARES		
RYDER SYSTEM INC 27 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SCHULMAN A INC 12 SHARES		
SPX CORP 13 SHARES		
STEEL DYNAMICS INC 74 SHARES		
SUPERIOR ENERGY 52 SHARES		
SYMETRA FINANCIAL CORP 33 SHARES		
T C F FINANCIAL CORP 58 SHARES		
TECO ENERGY INC 58 SHARES		
TELEFLEX INCORP 16 SHARES		
TTM TECHNOLOGIES INC 25 SHARES		
TUTOR PERINI 48 SHARES		
UMPQUA HLDGS CORP 15 SHARES		
VISHAY INTERTECHNOLOGY 28 SHARES		
W&T OFFSHORE INC 34 SHARES		
WADDELL & REED FINL 39 SHARES		
WASHINGTON FED INC 79 SHARES		
WEBSense INC 49 SHARES		
WEBSTER FINANCIAL CORP 28 SHARES		
WESBANCO INC 12 SHARES		
WINTRUST FINL CORP 29 SHARES		
WORTHINGTON INDS INC 36 SHARES		
AMERICAN EXPRESS COMPANY 55 SHARES		
BARD C R INC 30 SHARES		
BARRICK GOLD CORP 95 SHARES		
BAXTER INTERNATIONAL INC 45 SHARES		
BECTON DICKINSON & CO 30 SHARES		
CHEVRON CORP 16 SHARES		
CHUBB CORP 40 SHARES		
CISCO SYSTEMS INC 160 SHARES		
CONOCOPHILLIPS 50 SHARES		
CVS CAREMARK CORP 37 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DELL INC 235 SHARES		
DEVON ENERGY CORP 60 SHARES		
DR PEPPER SNAPPLE GROUP 80 SHARES		
EBAY INC 35 SHARES		
EXELON CORPORATION 145 SHARES		
EXXON MOBIL GROUP 46 SHARES		
GLAXOSMITHKLINE PLC 55 SHARES		
GOOGLE INC 4 SHARES		
JOHNSON & JOHNSON 51 SHARES		
MEDTRONIC INC 105 SHARES		
MICROSOFT CORP 174 SHARES		
MOLSON COORS BREWING CO 130 SHARES		
NEWMONT MINING CORP 55 SHARES		
NORTHROP GRUMMAN CORP 50 SHARES		
PEPSICO INC 70 SHARES		
PNC FINANCIAL SERVICES 60 SHARES		
PROCTER & GAMBLE CO 54 SHARES		
SCHWAB CHARLES CORP 250 SHARES		
SIGMA ALDRICH CORP 25 SHARES		
SUNTRUST BANKS INC 65 SHARES		
TARGET CORP 70 SHARES		
TORCHMARK CORP 35 SHARES		
TRAVELERS COS INC 32 SHARES		
UNILEVER N V 75 SHARES		
US BANCORP 95 SHARES		
WALMART STORES INC 70 SHARES		
WELLS FARGO COMPANY 95 SHARES		
AGCO CORP 32 SHARES		
ALLSTATE CORP 29 SHARES		
AMERICAN WATER WORKS CO 26 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ASHLAND INC NEW 22 SHARES		
AVERY DENNISON CORP 19 SHARES		
BEMIS CO INC 19 SHARES		
BIOMED REALTY TRUST INC 113 SHARES		
BRANDYWINE REALTY TRUST 198 SHARES		
BROADCOM CORP 60 SHARES		
CADENCE DESIGN SYSTEMS 220 SHARES		
CALPINE CORP 63 SHARES		
CBL & ASSOC PROPERTIES 72 SHARES		
CF INDUSTRIES HOLDINGS INC 4 SHARES		
CHARLES RIVER 43 SHARES		
CLECO CORPORATION 56 SHARES		
CMS ENERGY CORP 104 SHARES		
COCA COLA ENTERPRISES 41 SHARES		
CORELOGIC INC 38 SHARES		
CYTEC IND INC 8 SHARES		
DELPHI AUTOMOTIVE PLC 21 SHARES		
DELTA AIR LINES INC 71 SHARES		
DIGITAL REALTY TRUST INC 11 SHARES		
DISCOVER FINANCIAL 63 SHARES		
DUPONT FABROS TECHNOLOGY 27 SHARES		
EATON CORP PLC 43 SHARES		
EMCOR GROUP INC 19 SHARES		
ENERGEN CORPORATION 83 SHARES		
EXPEDIA INC 21 SHARES		
FEI COMPANY 26 SHARES		
FIDELITY NATIONAL FINANCIAL INC 55 S		
FIDELITY NATIONAL INFORMATION SVCS 5		
FIFTH THIRD BANCORP 109 SHARES		
FOOT LOCKER INC 99 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GNC HOLDINGS INC 68 SHARES		
HCC INSURANCE HOLDINGS INC 24 SHARES		
HELMERICH & PAYNE INC 18 SHARES		
HERTZ GLOBAL HLDGS INC 81 SHARES		
HOLLYFRONTIER CORP 58 SHARES		
HOME PROPERTIES INC 8 SHARES		
INTERNATIONAL PAPER CO 16 SHARES		
J M SMUCKER CO 26 SHARES		
JAZZ PHARMACEUTICALS PLC 23 SHARES		
KBR INC 73 SHARES		
KEYCORP NEW 154 SHARES		
KILROY RLTY CORP 58 SHARES		
KROGER COMPANY COMMON 37 SHARES		
LINCOLN NATL CORP IND 131 SHARES		
M D C HOLDINGS INC 23 SHARES		
MACY'S INC 32 SHARES		
MARATHON PETROLEUM CORP 8 SHARES		
NEWELL RUBBERMAID INC 92 SHARES		
NISOURCE INC 126 SHARES		
NOBLE CORP 43 SHARES		
NUCOR CORP 46 SHARES		
NXP SEMICONDUCTORS NV 33 SHARES		
P P G INDUSTRIES INC 9 SHARES		
PEABODY ENERGY CORP 61 SHARES		
PERKINELMER INC 47 SHARES		
PIER 1 IMPORTS INC 32 SHARES		
PPL CORPORATION 44 SHARES		
PVH CORP 13 SHARES		
RAYMOND JAMES FINANCIAL 53 SHARES		
REGIONS FINANCIAL CORP 445 SHARES		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
RIVERBED TECHNOLOGY INC 125 SHARES		
RYDER SYSTEM INC 55 SHARES		
SIRONA DENTAL SYSTEMS 34 SHARES		
SKYWORKS SOLUTIONS INC 91 SHARES		
SLM CORP 63 SHARES		
SNAP ON INC 5 SHARES		
SUNTRUST BANKS INC 54 SHARES		
THE HAIN CELESTAIL GRP 21 SHARES		
THOR INDUSTRIES 37 SHARES		
TRINITY INDUSTRIES INC 24 SHARES		
TRIUMPH GROUP INC 33 SHARES		
TYSON FOODS INC 33 SHARES		
UNITED RENTALS 58 SHARES		
VALERO ENERGY CORP 49 SHARES		
VALIDUS HOLDINGS LTD 80 SHARES		
VALUECLICK INC 66 SHARES		
WEYERHAEUSER CO 25 SHARES		

TY 2013 Investments - Other Schedule

Name: NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION INC

EIN: 22-2809785

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DYNAMIC EQUITY MANAGERS: PORTFOLIO 2	AT COST	846,538	1,111,087
DYNAMIC EQUITY (GLOBAL EQUITY) MANAG	AT COST	503,855	546,331

TY 2013 Other Decreases Schedule

Name: NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION INC

EIN: 22-2809785

Description	Amount
COST ADJ - TORCHMARK	63
COST ADJ - MERRILL LYNCH JAN 2013	1,282
RETURN OF CAPITAL - KARPUS	26,199

TY 2013 Other Expenses Schedule**Name:** NEIL JANE WILLIAM ESTELLE GOLUB

FAMILY FOUNDATION INC

EIN: 22-2809785

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
FILING FEES	1,121	1,121		1,121
BANK FEES				
FOREIGN TAX EXPENSE	1,522	1,522		1,522
FINES AND PENALTIES				

TY 2013 Other Income Schedule

Name: NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION INC
EIN: 22-2809785

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
	1,016	1,016	

TY 2013 Other Increases Schedule

Name: NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION INC

EIN: 22-2809785

Description	Amount
COST ADJ - ROYCE GLBOAL VALUE	3,205
COST ADJ - BROOKFIELD PROP PART	2,506
COST ADJ	6

TY 2013 Other Professional Fees Schedule**Name:** NEIL JANE WILLIAM ESTELLE GOLUB

FAMILY FOUNDATION INC

EIN: 22-2809785

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MERRIL LYNCH	3,664	3,664		3,664
KARPUS	64,678	64,678		64,678
FENIMORE ASSET MANAGEMENT	16,625	16,625		16,625
GOLDMAN SACHS	44,648	44,648		44,648
LEGG MASON PRIVATE PORTFOLIO GRO	2,178	2,178		2,178
WENTWORTH HAUSER & VIOLICH	1,627	1,627		1,627
NFJ INVESTMENT GROUP	1,577	1,577		1,577
DREMAN VALUE MANAGEMENT LLC	1,781	1,781		1,781
SYSTEMATIC FINANCIAL MANAGEMENT	2,009	2,009		2,009

TY 2013 Taxes Schedule

Name: NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION INC
EIN: 22-2809785

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX ON INVESTMENT INCOME	9,019			



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
December 1, 2013 to December 31, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
00/00/00	US Bank Money Market (Mmda) It&c	Combined Sales For T	- 454,807.20	454,807.20	0.00
12/03/13	Eaton Vance Enh Eqty Inc Fd II ¹	Sold 15	- 141.57	186.12	44.55
12/04/13	Vanguard Large Cap Vipers Fund	Sold 80	- 5,165.35	6,560.71	1,395.36
12/06/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,287.94	3,188.41	900.47
12/09/13	Spdr S&p 500 Etf Trust	Sold 85	- 9,455.80	15,383.89	5,928.09
12/09/13	Gabelli Healthcare Wellness	Sold 300	- 3,058.71	3,192.06	133.35
12/10/13	Gabelli Divid & Income Tr	Sold 160	- 2,593.99	3,392.02	798.03
12/11/13	Vanguard Short Term Invst Grade #539	Sold 5,900	- 63,332.84	63,366.00	33.16
12/11/13	Thai Fund Inc	Sold 45	- 932.51	869.35	- 63.16
12/12/13	Gabelli Healthcare Wellness	Sold 1,215	- 12,394.20	11,996.21	- 397.99
12/13/13	Swiss Helvetia Fd Inc	Sold 250	- 4,080.30	3,392.59	- 687.71
12/17/13	Vanguard GNMA Fund Adm	Sold 14,820.498	- 162,995.67	155,318.82	- 7,676.85
12/17/13	Ishares Msci France Index Etf	Sold 700	- 17,877.28	18,830.02	952.74
12/17/13	Vanguard Large Cap Vipers Fund	Sold 110	- 7,102.36	8,969.41	1,867.05
12/17/13	Vanguard Small Cap Etf	Sold 70	- 3,140.84	7,439.46	4,298.62
12/17/13	Spdr Dow Jones Industrial Ave E T F	Sold 30	- 3,431.92	4,741.71	1,309.79
12/17/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 560	- 5,225.08	5,919.37	694.29
12/17/13	Ishares Msci Canada Index Etf	Sold 200	- 5,378.00	5,695.90	317.90
12/18/13	Gabelli Divid & Income Tr	Sold 510	- 8,268.36	10,770.09	2,501.73
12/18/13	Spdr Gold Trust	Sold 2,012	- 326,567.22	238,511.41	- 88,055.81
12/19/13	Gabelli Divid & Income Tr	Sold 170	- 2,644.74	3,609.52	964.78
12/20/13	Gabelli Divid & Income Tr	Sold 398	- 6,262.97	8,530.06	2,267.09
Total Assets Disposed			- \$1,107,144.85	\$1,034,670.33	- \$72,474.52
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

For information only. Not intended for tax purposes.



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
November 1, 2013 to November 30, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
00/00/00	US Bank Money Market (Mmda) It&c	Combined Sales For T	- 184,000.51	184,000.51	0.00
10/29/13	Gamco Global 5.0% Pfd	Sold 700	- 17,299.38	14,069.76	- 3,229.62
10/29/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,404.80	6,225.14	1,820.34
10/29/13	Vanguard Ftse Emerging Markets Etf	Sold 75	- 3,483.45	3,161.22	- 322.23
10/30/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,368.37	3,207.48	839.11
10/30/13	Ishares Msci Brazil Capped Etf	Sold 190	- 8,730.45	9,642.06	911.61
10/30/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,490.35	6,203.85	1,713.50
10/31/13	Spdr S&p 500 Etf Trust	Sold 20	- 2,257.60	3,513.14	1,255.54
10/31/13	Eaton Vance Enh Eqty Inc Fd II	Sold 300	- 2,743.66	3,681.75	938.09
10/31/13	Dow 30 Enhanced Prem & In	Sold 835	- 8,229.22	10,437.75	2,208.53
10/31/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,586.55	3,216.25	629.70
10/31/13	Eaton Vance Enhanced Eq Income Fd	Sold 300	- 3,021.07	3,759.21	738.14
11/01/13	Vanguard Ftse Emerging Markets Etf	Sold 100	- 4,503.54	4,154.38	- 349.16
11/04/13	Eaton Vance Enh Eqty Inc Fd II	Sold 300	- 2,760.72	3,690.23	929.51
11/05/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,287.95	3,099.46	811.51
11/05/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 310	- 2,454.00	3,315.82	861.82
11/05/13	Eaton Vance Enhanced Eq Income Fd	Sold 300	- 3,021.07	3,748.26	727.19
11/06/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,575.89	6,261.86	1,685.97
11/06/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,584.61	3,234.98	650.37
11/07/13	Gabelli Divid & Income Tr	Sold 160	- 2,593.99	3,356.44	762.45
11/07/13	Vanguard Large Cap Vipers Fund	Sold 120	- 7,748.03	9,609.39	1,861.36
11/08/13	Royce Global Value Common	Sold 0.1429	- 1.28	1.21	- 0.07
11/08/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,582.68	3,224.94	642.26
11/08/13	Spdr S&p 500 Etf Trust	Sold 110	- 12,416.80	19,250.77	6,833.97
11/08/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,287.95	3,126.98	839.03
11/11/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,582.68	3,237.74	655.06
11/12/13	Vanguard Large Cap Vipers Fund	Sold 80	- 5,165.35	6,461.43	1,296.08
11/14/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,287.95	3,160.86	872.91
11/14/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,582.68	3,269.04	686.36



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
November 1, 2013 to November 30, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
11/14/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 310	- 2,457.68	3,332.04	874.36
11/14/13	Eaton Vance Enh Eqty Inc Fd II	Sold 270	- 2,491.34	3,336.20	844.86
11/15/13	Vanguard Short Term Invt Grade #539	Sold 6,200	- 66,588.00	66,650.00	62.00
11/15/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,582.67	3,286.34	703.67
11/15/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,287.94	3,170.96	883.02
11/15/13	Royce Global Value Common	Sold 357	- 3,204.08	3,016.96	- 187.12
11/15/13	Eaton Vance Enh Eqty Inc Fd II	Sold 540	- 4,976.64	6,759.11	1,782.47
11/18/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,582.68	3,273.20	690.52
11/18/13	Eaton Vance Enh Eqty Inc Fd II	Sold 270	- 2,537.96	3,402.62	864.66
11/18/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 310	- 2,462.94	3,359.63	896.69
11/18/13	M S D W India Investment Fund	Sold 31	- 501.59	584.35	82.76
11/18/13	Turkish Investment Fund	Sold 62	- 1,060.74	1,068.88	8.14
11/19/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,287.95	3,176.44	888.49
11/19/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,582.67	3,267.54	684.87
11/20/13	Vanguard Short Term Invt Grade #539	Sold 7,013.953	- 75,329.86	75,329.86	0.00
11/20/13	Spdr Dow Jones Industrial Ave E T F	Sold 10	- 1,143.97	1,585.64	441.67
11/20/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 310	- 2,864.81	3,295.21	430.40
11/20/13	Vanguard Large Cap Vipers Fund	Sold 160	- 10,330.70	13,047.08	2,716.38
11/21/13	Vanguard Large Cap Vipers Fund	Sold 80	- 5,165.35	6,566.99	1,401.64
11/21/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,287.94	3,183.57	895.63
11/22/13	MacQuarie Global Infr Tot Rt Fd	Sold 487	- 7,777.91	10,645.64	2,867.73
11/22/13	Gabelli Divid & Income Tr	Sold 160	- 2,593.99	3,428.15	834.16
11/22/13	Eaton Vance Enh Eqty Inc Fd II	Sold 300	- 2,821.67	3,751.40	929.73
11/25/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,287.95	3,200.47	912.52
Total Assets Disposed			- \$517,261.61	\$565,040.19	\$47,778.58
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
October 1, 2013 to October 31, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
00/00/00	US Bank Money Market (Mmda) It&c	Combined Sales For T	- 161,055.12	161,055.12	0.00
09/26/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,060.40	6,090.69	2,030.29
09/27/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,030.20	3,035.84	1,005.64
09/30/13	Eaton Vance Enhanced Eq Income Fd	Sold 300	- 2,726.06	3,623.19	897.13
09/30/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,368.36	3,101.94	733.58
10/01/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,030.20	3,011.33	981.13
10/02/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,030.20	3,004.95	974.75
10/03/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,060.39	5,969.81	1,909.42
10/04/13	Eaton Vance Enhanced Eq Income Fd	Sold 300	- 2,747.91	3,632.91	885.00
10/04/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,060.39	5,984.58	1,924.19
10/07/13	Nuveen CA Div 2.95% Pfd	Full Call 5,200	- 52,704.60	52,000.00	- 704.60
10/07/13	Nuveen CA 2.25% Pfd	Full Call 7,600	- 76,000.00	76,000.00	0.00
10/07/13	Eaton Vance Enhanced Eq Income Fd	Sold 300	- 2,853.81	3,620.93	767.12
10/07/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,060.39	5,963.81	1,903.42
10/08/13	Spdr Dow Jones Industrial Ave E T F	Sold 25	- 2,537.75	3,691.35	1,153.60
10/09/13	Vanguard Ftse Emerging Markets Etf	Sold 75	- 3,539.99	3,067.47	- 472.52
10/10/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,030.20	3,003.95	973.75
10/10/13	Eaton Vance Enhanced Eq Income Fd	Sold 300	- 3,021.07	3,597.63	576.56
10/14/13	Spdr Dow Jones Industrial Ave E T F	Sold 60	- 6,090.59	9,072.56	2,981.97
10/15/13	Spdr Dow Jones Industrial Ave E T F	Sold 100	- 10,819.99	15,139.40	4,319.41
10/16/13	Spdr Dow Jones Industrial Ave E T F	Sold 160	- 17,427.19	24,398.64	6,971.45
10/16/13	Vanguard Large Cap Vipers Fund	Sold 160	- 10,315.31	12,590.81	2,275.50
10/16/13	Eaton Vance Tax Mgd Diversified	Sold 400	- 3,299.58	3,864.97	565.39
10/17/13	Vanguard Large Cap Vipers Fund	Sold 260	- 16,797.09	20,558.10	3,761.01
10/21/13	Vanguard Large Cap Vipers Fund	Sold 40	- 2,586.54	3,192.40	605.86
10/21/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,202.40	3,060.14	857.74
10/21/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,202.40	3,056.76	854.36
10/21/13	New Germany Fd Inc	Sold 140	- 2,492.58	3,110.68	618.10
10/23/13	Ishares Msci Hong Kong Index Etf	Sold 850	- 13,718.93	17,293.04	3,574.11



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
October 1, 2013 to October 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
10/24/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,202.40	3,083.95	881.55
10/25/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,202.40	3,093.74	891.34
10/25/13	Gabelli Divid & Income Tr	Sold 155	- 2,512.93	3,287.49	774.56
Total Assets Disposed			- \$428,787.37	\$474,258.18	\$45,470.81
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
September 1, 2013 to September 30, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
00/00/00	US Bank Money Market (Mmda) It&c	Combined Sales For T	- 262,670.87	262,670.87	0.00
08/28/13	Powershares S P 500 Low Vola	Sold 105	- 3,377.62	3,216.10	- 161.52
08/28/13	New Germany Fd Inc	Sold 174	- 2,837.65	3,645.30	807.65
08/29/13	Powershares S P 500 Low Vola	Sold 105	- 3,377.62	3,210.86	- 166.76
08/30/13	Powershares S P 500 Low Vola	Sold 60	- 1,930.07	1,830.98	- 99.09
08/30/13	Powershares S P 500 Low Vola	Sold 35	- 1,125.87	1,068.07	- 57.80
09/03/13	Powershares S P 500 Low Vola	Sold 60	- 1,930.07	1,828.17	- 101.90
09/03/13	Powershares S P 500 Low Vola	Sold 35	- 1,125.88	1,063.99	- 61.89
09/04/13	Powershares S P 500 Low Vola	Sold 60	- 1,925.47	1,834.77	- 90.70
09/06/13	Powershares S P 500 Low Vola	Sold 35	- 1,122.94	1,069.23	- 53.71
09/06/13	Gamco Global 5.0% Pfd	Sold 50	- 1,238.50	1,013.98	- 224.52
09/09/13	Ishares Msci Brazil Capped Etf	Sold 25	- 1,125.74	1,159.95	34.21
09/09/13	Gamco Global 5.0% Pfd	Sold 50	- 1,238.50	1,013.99	- 224.51
09/09/13	Thai Fund Inc	Sold 10	- 207.23	228.30	21.07
09/10/13	Gamco Global 5.0% Pfd	Sold 50	- 1,238.50	1,011.48	- 227.02
09/10/13	Ishares Msci Canada Index Etf	Sold 400	- 9,504.10	11,256.00	1,751.90
09/10/13	Ishares Msci France Index Etf	Sold 370	- 8,150.79	9,649.43	1,498.64
09/11/13	Powershares S P 500 Low Vola	Sold 140	- 4,491.77	4,341.13	- 150.64
09/11/13	Gamco Global 5.0% Pfd	Sold 50	- 1,238.50	1,011.48	- 227.02
09/11/13	Spdr Dow Jones Industrial Ave E T F	Sold 30	- 3,275.68	4,567.82	1,292.14
09/12/13	Eaton Vance Enhanced Eq Income Fd	Sold 145	- 1,320.90	1,763.11	442.21
09/12/13	Nuveen Real Asset Income And	Sold 700	- 12,326.79	13,102.23	775.44
09/12/13	Powershares S P 500 Low Vola	Sold 35	- 1,122.94	1,086.38	- 36.56
09/12/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,254.37	3,056.26	801.89
09/12/13	Powershares S P 500 Low Vola	Sold 240	- 7,700.19	7,430.28	- 269.91
09/13/13	Powershares S P 500 Low Vola	Sold 105	- 3,368.83	3,255.29	- 113.54
09/13/13	Nuveen Real Asset Income And	Sold 200	- 3,521.94	3,781.73	259.79
09/13/13	Ishares Msci Canada Index Etf	Sold 200	- 5,378.00	5,604.00	226.00
09/13/13	Korea Equity Fd Inc	Sold 200	- 1,734.00	1,737.96	3.96



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
September 1, 2013 to September 30, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
09/13/13	Templeton Emerging Markets Fund	Sold 75	- 1,323.13	1,440.68	117.55
09/13/13	India Fd Inc	Sold 117	- 2,575.92	2,608.52	32.60
09/16/13	Aberdeen Emerging Mkts Smir CO Opps	Sold 60	- 992.94	1,174.18	181.24
09/16/13	Powershares S P 500 Low Vol	Sold 70	- 2,245.89	2,185.99	- 59.90
09/16/13	Nuveen Real Asset Income And	Sold 100	- 1,760.97	1,895.97	135.00
09/16/13	Templeton Emerging Markets Fund	Sold 150	- 2,692.26	2,905.33	213.07
09/16/13	Gamco Global 5.0% Pfd	Sold 50	- 1,235.67	1,015.44	- 220.23
09/17/13	Spdr Dow Jones Industrial Ave E T F	Sold 10	- 1,127.19	1,547.27	420.08
09/17/13	Spdr Dow Jones Industrial Ave E T F	Sold 30	- 3,381.56	4,641.70	1,260.14
09/18/13	Eaton Vance Enh Eqty Inc Fd II	Sold 100	- 928.19	1,196.33	268.14
09/18/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,254.37	3,102.23	847.86
09/18/13	Eaton Vance Enh Eqty Inc Fd II	Sold 2,000	- 18,331.92	23,959.58	5,627.66
09/18/13	Ishares Msci Canada Index Etf	Sold 100	- 2,689.00	2,862.95	173.95
09/18/13	Powershares S P 500 Low Vol	Sold 50	- 1,604.20	1,567.17	- 37.03
09/19/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,508.75	6,247.29	1,738.54
09/19/13	Vanguard Large Cap Vipers Fund	Sold 60	- 3,868.24	4,744.25	876.01
09/20/13	Spdr Dow Jones Industrial Ave E T F	Sold 10	- 1,127.19	1,541.37	414.18
09/20/13	Vanguard Large Cap Vipers Fund	Sold 30	- 1,934.12	2,358.61	424.49
09/20/13	Spdr Dow Jones Industrial Ave E T F	Sold 110	- 12,118.84	17,001.12	4,882.28
09/24/13	Gamco Global 5.0% Pfd	Sold 50	- 1,235.67	1,011.48	- 224.19
09/24/13	Spdr Dow Jones Industrial Ave E T F	Sold 10	- 1,015.10	1,524.67	509.57
09/25/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,030.20	3,051.85	1,021.65
09/25/13	Gamco Global 5.0% Pfd	Sold 100	- 2,471.34	2,022.97	- 448.37
09/26/13	Liberty All Star Equity Fd SBI	Sold 6,476	- 21,097.24	38,726.48	17,629.24
Total Assets Disposed			- \$446,411.23	\$487,842.57	\$41,431.34
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

For information only. Not intended for tax purposes.



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
August 1, 2013 to August 31, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
00/00/00	US Bank Money Market (Mmda) It&c	Combined Sales For T	- 323,341.73	323,341.73	0.00
07/29/13	Eaton Vance Enhanced Eq Income Fd	Sold 280	- 2,626.42	3,397.99	771.57
07/30/13	Eaton Vance Enhanced Eq Income Fd	Sold 280	- 2,632.60	3,410.34	777.74
07/30/13	Ishares Msci Canada Index Etf	Sold 80	- 1,559.90	2,216.62	656.72
07/31/13	Ishares Msci Canada Index Etf	Sold 160	- 3,788.80	4,442.33	653.53
07/31/13	Eaton Vance Enhanced Eq Income Fd	Sold 800	- 7,363.31	9,759.82	2,396.51
07/31/13	Adams Express CO	Sold 700	- 9,117.15	8,674.17	- 442.98
07/31/13	Ishares Msci Canada Index Etf	Sold 400	- 9,472.00	11,080.00	1,608.00
08/01/13	Eaton Vance Enhanced Eq Income Fd	Sold 140	- 1,308.13	1,719.17	411.04
08/02/13	Eaton Vance Enhanced Eq Income Fd	Sold 140	- 1,316.02	1,723.37	407.35
08/07/13	T Rowe Price Intl Bond Fund	Sold 3,400	- 32,606.00	32,538.00	- 68.00
08/08/13	Ishares Msci Canada Index Etf	Sold 170	- 4,025.60	4,683.45	657.85
08/08/13	Eaton Vance Enhanced Eq Income Fd	Sold 140	- 1,319.88	1,712.20	392.32
08/08/13	I Shares Msci Pacific Ex Japan E T F	Sold 75	- 3,299.97	3,366.60	66.63
08/09/13	I Shares Msci Pacific Ex Japan E T F	Sold 25	- 1,099.99	1,131.34	31.35
08/09/13	Vanguard Ftse Europe Etf	Sold 100	- 4,547.82	5,281.05	733.23
08/13/13	I Shares Msci Pacific Ex Japan E T F	Sold 25	- 1,099.99	1,145.65	45.66
08/14/13	I Shares Msci Pacific Ex Japan E T F	Sold 25	- 1,099.99	1,149.28	49.29
08/15/13	T Rowe Price Intl Bond Fund	Sold 4,972.81	- 47,689.25	47,291.42	- 397.83
08/15/13	I Shares Msci Pacific Ex Japan E T F	Sold 25	- 1,099.99	1,136.86	36.87
08/16/13	I Shares Msci Pacific Ex Japan E T F	Sold 50	- 2,199.98	2,281.77	81.79
08/20/13	I Shares Msci Pacific Ex Japan E T F	Sold 25	- 1,099.99	1,117.55	17.56
08/21/13	Eaton Vance Enhanced Eq Income Fd	Sold 145	- 1,351.01	1,715.03	364.02
08/21/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 789.46	1,023.98	234.52
08/22/13	Vanguard Fixed Inc Lt Invt Grade Fd	Sold 26,976.747	- 275,922.48	256,279.10	- 19,643.38
08/22/13	Powershares Build America PO	Sold 2,875	- 73,206.26	77,364.90	4,158.64
08/23/13	Ishares Msci Brazil Capped Etf	Sold 25	- 1,125.74	1,071.73	- 54.01
08/23/13	Eaton Vance Enhanced Eq Income Fd	Sold 145	- 1,351.37	1,731.27	379.90
08/23/13	Powershares S P 500 Low VoJa	Sold 210	- 6,755.24	6,527.50	- 227.74



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
August 1, 2013 to August 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
08/26/13	Powershares S P 500 Low Vol	Sold 55	- 1,769.23	1,700.11	- 69.12
08/27/13	Powershares S P 500 Low Vol	Sold 215	- 6,916.08	6,592.22	- 323.86
08/27/13	Eaton Vance Enhanced Eq Income Fd	Sold 145	- 1,337.59	1,719.67	382.08
08/27/13	Aberdeen Emerging Mkts Smr CO Opps	Sold 60	- 992.94	1,123.18	130.24
Total Assets Disposed			- \$835,231.91	\$829,449.40	- \$5,782.51
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
July 1, 2013 to July 31, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
00/00/00	US Bank Money Market (Mmda) It&c	Combined Sales For T	- 89,083.22	89,083.22	0.00
06/26/13	Spdr S&p China Etf	Sold 60	- 4,335.85	3,769.05	- 566.80
06/26/13	Vanguard Ftse Emerging Markets Etf	Sold 55	- 2,565.74	2,068.51	- 497.23
06/26/13	Dow 30 Enhanced Prem & In	Sold 120	- 1,306.52	1,448.54	142.02
06/27/13	Eaton Vance Enh Eqty Inc Fd II	Sold 290	- 2,734.81	3,329.14	594.33
06/28/13	Vanguard Ftse Emerging Markets Etf	Sold 110	- 5,145.24	4,206.44	- 938.80
06/28/13	Spdr S&p China Etf	Sold 15	- 1,083.96	959.67	- 124.29
06/28/13	Dow 30 Enhanced Prem & In	Sold 120	- 1,276.52	1,467.58	191.06
06/28/13	Eaton Vance Enh Eqty Inc Fd II	Sold 145	- 1,369.12	1,662.40	293.28
06/28/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 784.25	1,023.98	239.73
07/01/13	Dow 30 Enhanced Prem & In	Sold 360	- 3,797.18	4,412.81	615.63
07/01/13	Spdr S&p China Etf	Sold 15	- 1,083.97	955.77	- 128.20
07/01/13	Spdr Dow Jones Industrial Ave E T F	Sold 80	- 8,452.80	11,964.59	3,511.79
07/01/13	Royce Value Trust	Sold 400	- 4,856.67	6,087.89	1,231.22
07/01/13	Eaton Vance Enh Eqty Inc Fd II	Sold 1,200	- 11,323.18	13,775.75	2,452.57
07/01/13	I Shares Msci Pacific Ex Japan E T F	Sold 200	- 7,124.51	8,549.85	1,425.34
07/01/13	Eaton Vance Tax Mgd Diversified	Sold 795	- 6,377.76	7,433.11	1,055.35
07/02/13	Dow 30 Enhanced Prem & In	Sold 120	- 1,235.72	1,470.17	234.45
07/02/13	Spdr S&p China Etf	Sold 15	- 1,083.96	958.48	- 125.48
07/03/13	Dow 30 Enhanced Prem & In	Sold 120	- 1,221.53	1,464.90	243.37
07/03/13	Tri Continental Corp	Sold 300	- 3,726.00	5,375.91	1,649.91
07/05/13	Thai Cap Fd Inc	Sold 100	- 1,101.00	1,160.10	59.10
07/05/13	Dow 30 Enhanced Prem & In	Sold 120	- 1,209.74	1,472.61	262.87
07/08/13	Dow 30 Enhanced Prem & In	Sold 240	- 2,419.47	2,994.37	574.90
07/09/13	Japan Equity Fund Inc	Sold 200	- 1,074.00	1,364.13	290.13
07/09/13	Asia Pac Fd Inc	Sold 120	- 1,135.50	1,189.18	53.68
07/12/13	Thai Cap Fd Inc	Sold 50	- 550.50	576.74	26.24
07/15/13	Spdr S&p China Etf	Sold 25	- 1,806.61	1,640.75	- 165.86
07/22/13	Spdr Dow Jones Industrial Ave E T F	Sold 30	- 3,169.80	4,637.93	1,468.13



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
July 1, 2013 to July 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
07/23/13	Spdr Dow Jones Industrial Ave E T F	Sold 10	- 1,056.60	1,549.07	492.47
07/24/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,113.20	3,086.55	973.35
07/25/13	Vanguard Ftse Emerging Markets Etf	Sold 40	- 1,888.00	1,589.59	- 298.41
07/25/13	Spdr Dow Jones Industrial Ave E T F	Sold 20	- 2,113.20	3,083.51	970.31
Total Assets Disposed			- \$179,606.13	\$195,812.29	\$16,206.16
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
June 1, 2013 to June 30, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
00/00/00	US Bank Money Market (Mmda) It&c	Combined Sales For T	- 550,223.39	550,223.39	0 00
05/29/13	Japan Equity Fund Inc	Sold 150	- 792.45	986.26	193.81
05/29/13	Tri Continental Corp	Sold 70	- 869.40	1,285.88	416.48
05/29/13	Gabelli Divid & Income Tr	Sold 60	- 443.94	1,192.18	748.24
05/29/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 110	- 861.36	1,159.11	297.75
05/29/13	Ing Global Equity PR Oppt Fd	Sold 200	- 1,565.84	1,931.96	366.12
05/29/13	Eaton Vance Enh Eqty Inc Fd II	Sold 110	- 1,035.00	1,282.58	247.58
05/30/13	Eaton Vance Enh Eqty Inc Fd II	Sold 110	- 1,035.00	1,286.98	251.98
05/30/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 220	- 1,721.79	2,326.28	604.49
05/30/13	Eaton Vance Tax Mgd Diversified	Sold 125	- 892.23	1,213.68	321.45
05/30/13	Ing Global Equity PR Oppt Fd	Sold 500	- 3,914.58	4,805.97	891.39
05/30/13	Royce Value Trust	Sold 150	- 1,804.17	2,350.46	546.29
05/30/13	Gabelli Divid & Income Tr	Sold 60	- 443.93	1,193.38	749.45
05/31/13	Tri Continental Corp	Sold 70	- 869.40	1,295.67	426.27
05/31/13	Ing Global Equity PR Oppt Fd	Sold 100	- 782.92	965.55	182.63
05/31/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 110	- 860.21	1,164.95	304.74
06/03/13	Gabelli Divid & Income Tr	Sold 60	- 946.31	1,176.58	230.27
06/03/13	Adams Express CO	Sold 400	- 5,209.80	4,855.87	- 353.93
06/04/13	Vanguard Ltd Term Te Fd Adm	Sold 3,200	- 35,072.00	35,520.00	448.00
06/04/13	Vanguard Long Trm Te Fd Adm	Sold 14,011.824	- 165,900.00	162,677.28	- 3,222.72
06/04/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 110	- 860.22	1,144.72	284.50
06/04/13	Adams Express CO	Sold 400	- 5,209.80	4,861.63	- 348.17
06/04/13	Japan Smaller Capitalization Fd Inc	Sold 45	- 338.60	391.94	53.34
06/05/13	Powershares Build America PO	Sold 400	- 10,034.91	11,959.75	1,924.84
06/05/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 782.01	1,040.98	258.97
06/05/13	Adams Express CO	Sold 100	- 1,302.45	1,208.98	- 93.47
06/06/13	Adams Express CO	Sold 200	- 2,604.90	2,386.00	- 218.90
06/06/13	Tri Continental Corp	Sold 70	- 869.40	1,265.23	395.83
06/06/13	MacQuarie Global Infr Tot Rt Fd	Sold 40	- 574.40	836.38	261.98



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
June 1, 2013 to June 30, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
06/07/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 782.01	1,043.98	261.97
06/10/13	Royce Value Trust	Sold 225	- 2,706.26	3,525.71	819.45
06/10/13	Adams Express CO	Sold 200	- 2,604.90	2,437.96	- 166.94
06/10/13	M S D W Asia Pacific Fund Inc	Sold 167	- 2,824.94	2,927.51	102.57
06/11/13	Vanguard Ltd Term Te Fd Adm	Sold 14,472.641	- 159,709.87	160,212.14	502.27
06/11/13	Royce Value Trust	Sold 225	- 2,706.25	3,512.78	806.53
06/13/13	T Rowe Price Intl Bond Fund	Sold 4,950	- 47,470.50	48,015.00	544.50
06/13/13	Adams Express CO	Sold 800	- 10,419.60	9,619.91	- 799.69
06/20/13	Vanguard GNMA Fund Adm	Sold 15,700	- 174,104.32	164,536.00	- 9,568.32
06/20/13	Gabelli Divid & Income Tr	Sold 60	- 972.75	1,167.58	194.83
06/20/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 120	- 938.42	1,216.78	278.36
06/20/13	MacQuarie Global Infr Tot Rt Fd	Sold 50	- 718.00	1,033.98	315.98
06/20/13	Spdr S&p China Etf	Sold 20	- 1,445.29	1,271.67	- 173.62
06/21/13	Gabelli Divid & Income Tr	Sold 60	- 972.75	1,153.83	181.08
06/21/13	Spdr S&p China Etf	Sold 20	- 1,445.29	1,234.03	- 211.26
06/21/13	Vanguard Ftse Emerging Markets Etf	Sold 90	- 4,189.40	3,374.00	- 815.40
06/24/13	Vanguard Ftse Emerging Markets Etf	Sold 30	- 1,399.50	1,082.74	- 316.76
06/26/13	Vanguard Fixed Inc Lt Invt Grade Fd	Sold 4,000	- 33,840.00	38,720.00	4,880.00
Total Assets Disposed			- \$1,247,070.46	\$1,250,075.22	\$3,004.76
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
May 1, 2013 to May 31, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
00/00/00	US Bank Money Market (Mmda) It&c	Combined Sales For T	- 161,997.11	161,997.11	0 00
04/26/13	Lazard Global Tot Rt & Inc	Sold 60	- 1,148.45	1,018.42	- 130.03
04/29/13	Mexico Equity & Income Fd	Sold 150	- 1,843.04	2,561.59	718.55
04/30/13	Mexico Equity & Income Fd	Sold 100	- 1,232.08	1,709.13	477.05
04/30/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,316.58	3,095.66	779.08
05/01/13	Eaton Vance Tax Mgd Diversified	Sold 135	- 1,029.12	1,288.30	259.18
05/01/13	Mexico Equity & Income Fd	Sold 100	- 1,233.54	1,723.19	489.65
05/01/13	Gabelli Divid & Income Tr	Sold 100	- 725.06	1,931.95	1,206.89
05/02/13	Special Opportunities Fd Inc	Sold 100	- 1,305.27	1,701.01	395.74
05/03/13	Eaton Vance Tax Mgd Diversified	Sold 135	- 1,045.82	1,302.23	256.41
05/03/13	Special Opportunities Fd Inc	Sold 100	- 1,311.00	1,710.96	399.96
05/03/13	Vanguard Large Cap Vipers Fund	Sold 340	- 21,920.03	25,031.20	3,111.17
05/07/13	Tri Continental Corp	Sold 210	- 2,394.00	3,814.43	1,420.43
05/08/13	Tri Continental Corp	Sold 105	- 1,197.00	1,915.20	718.20
05/08/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,158.47	1,568.48	410.01
05/09/13	Gabelli Divid & Income Tr	Sold 200	- 1,450.12	3,946.61	2,496.49
05/09/13	Tri Continental Corp	Sold 200	- 2,280.00	3,650.10	1,370.10
05/09/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,157.26	1,450.96	293.70
05/10/13	Lazard Global Tot Rt & Inc	Sold 100	- 1,914.08	1,735.44	- 178.64
05/10/13	Gabelli Divid & Income Tr	Sold 100	- 725.06	1,980.95	1,255.89
05/10/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,126.10	1,449.84	323.74
05/10/13	Eaton Vance Enhanced Eq Income Fd	Sold 100	- 924.52	1,193.97	269.45
05/10/13	Vanguard Small Cap Etf	Sold 250	- 11,217.30	23,425.75	12,208.45
05/10/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 772.31	1,044.05	271.74
05/13/13	Kayne Anderson 4.95% Pfd	Full Call 2,600	- 65,260.00	65,325.00	65.00
05/13/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,126.10	1,453.56	327.46
05/13/13	Lazard Global Tot Rt & Inc	Sold 80	- 1,531.27	1,395.73	- 135.54
05/13/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 766.39	1,046.64	280.25
05/13/13	Tri Continental Corp	Sold 100	- 1,175.70	1,827.46	651.76



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
May 1, 2013 to May 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
05/14/13	Gabelli Divid & Income Tr	Sold 100	- 725.06	1,988.96	1,263.90
05/14/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 766.39	1,053.30	286.91
05/14/13	MacQuarie Global Infr Tot Rt Fd	Sold 50	- 718.00	1,109.75	391.75
05/14/13	Lazard Global Tot Rt & Inc	Sold 100	- 1,914.08	1,756.48	- 157.60
05/14/13	Eaton Vance Tax Mgd Diversified	Sold 300	- 2,252.20	2,917.55	665.35
05/14/13	Royce Value Trust	Sold 100	- 1,202.78	1,531.78	329.00
05/15/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 766.39	1,058.97	292.58
05/15/13	Tri Continental Corp	Sold 105	- 1,304.10	1,942.58	638.48
05/15/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,126.10	1,464.77	338.67
05/15/13	Japan Smaller Capitalization Fd Inc	Sold 60	- 433.37	578.04	144.67
05/15/13	Eaton Vance Enhanced Eq Income Fd	Sold 100	- 932.98	1,208.97	275.99
05/17/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,126.10	1,483.20	357.10
05/20/13	Eaton Vance Tax Mgd Diversified	Sold 50	- 375.37	494.56	119.19
05/20/13	Royce Value Trust	Sold 100	- 1,202.78	1,571.96	369.18
05/21/13	Eaton Vance Tax Mgd Diversified	Sold 100	- 750.73	993.09	242.36
05/22/13	Eaton Vance Tax Mgd Diversified	Sold 100	- 750.73	990.54	239.81
05/22/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 220	- 1,702.73	2,356.47	653.74
05/23/13	Gabelli Divid & Income Tr	Sold 100	- 720.84	1,995.46	1,274.62
05/23/13	Japan Smaller Capitalization Fd Inc	Sold 120	- 898.40	1,124.75	226.35
05/23/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 110	- 861.37	1,157.35	295.98
05/24/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,124.25	1,448.34	324.09
05/24/13	Tri Continental Corp	Sold 70	- 869.40	1,290.09	420.69
05/24/13	Gabelli Divid & Income Tr	Sold 180	- 1,329.24	3,596.03	2,266.79
05/28/13	Gabelli Divid & Income Tr	Sold 60	- 443.93	1,212.58	768.65
05/28/13	Royce Value Trust	Sold 100	- 1,202.78	1,587.97	385.19
05/28/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 110	- 861.37	1,173.10	311.73
Total Assets Disposed			- \$319,644.25	\$362,381.56	\$42,737.31
			- Proceeds		
			- Estimated Gain/Loss		

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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
April 1, 2013 to April 30, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
03/26/13	Gabelli Divid & Income Tr	Sold 100	- 752.85	1,863.77	1,110.92
03/26/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 140	- 1,212.73	1,415.78	203.05
03/26/13	Tri Continental Corp	Sold 110	- 1,254.00	1,922.34	668.34
03/26/13	MacQuarie Global Infr Tot Rt Fd	Sold 50	- 718.00	1,049.99	331.99
03/27/13	Adams Express CO	Sold 520	- 6,772.74	6,070.70	- 702.04
03/27/13	Tri Continental Corp	Sold 110	- 1,254.00	1,917.56	663.56
03/27/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 140	- 1,213.84	1,420.02	206.18
03/27/13	MacQuarie Global Infr Tot Rt Fd	Sold 50	- 718.00	1,050.94	332.94
03/27/13	Eaton Vance Tax Mgd Diversified	Sold 135	- 1,163.32	1,255.98	92.66
04/03/13	MacQuarie Global Infr Tot Rt Fd	Sold 558	- 8,012.88	11,924.46	3,911.58
04/05/13	General Amern Invs Inc	Sold 100	- 2,701.00	3,076.83	375.83
04/05/13	Eaton Vance Enhanced Eq Income Fd	Sold 130	- 1,339.97	1,493.66	153.69
04/05/13	Gabelli Divid & Income Tr	Sold 200	- 1,505.70	3,706.92	2,201.22
04/08/13	Tri Continental Corp	Sold 110	- 1,254.00	1,909.56	655.56
04/09/13	J F China Region Fd Inc	Sold 150	- 1,832.66	2,085.61	252.95
04/09/13	Gabelli Divid & Income Tr	Sold 100	- 752.85	1,870.96	1,118.11
04/09/13	General Amern Invs Inc	Sold 50	- 1,350.50	1,554.82	204.32
04/10/13	Vanguard Large Cap Vipers Fund	Sold 320	- 20,630.62	22,954.40	2,323.78
04/10/13	J F China Region Fd Inc	Sold 75	- 961.57	1,048.47	86.90
04/10/13	General Amern Invs Inc	Sold 50	- 1,350.50	1,568.30	217.80
04/11/13	General Amern Invs Inc	Sold 50	- 1,350.50	1,582.46	231.96
04/11/13	J F China Region Fd Inc	Sold 75	- 961.57	1,056.36	94.79
04/11/13	Japan Smaller Capitalization Fd Inc	Sold 125	- 902.85	1,123.72	220.87
04/12/13	Eaton Vance Enhanced Eq Income Fd	Sold 130	- 1,208.42	1,526.16	317.74
04/19/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 140	- 1,080.78	1,406.23	325.45
04/25/13	Special Opportunities Fd Inc	Sold 100	- 1,301.00	1,694.50	393.50
04/25/13	Vanguard Small Cap Etf	Sold 315	- 13,489.19	28,472.05	14,982.86
04/25/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 771.99	1,022.82	250.83



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
April 1, 2013 to April 30, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
	Total Assets Disposed	- Cost Basis	- \$77,818.03		
		- Proceeds		\$109,045.37	
		- Estimated Gain/Loss			\$31,227.34

For information only. Not intended for tax purposes.

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ACCOUNT NUMBER [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
March 1, 2013 to March 31, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
02/26/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,125.32	1,181.97	56.65
02/26/13	Nuveen Core Equity Alpha Fd	Sold 200	- 2,588.08	2,915.03	326.95
02/26/13	Japan Equity Fund Inc	Sold 200	- 1,047.90	1,158.29	110.39
02/26/13	Royce Value Trust	Sold 100	- 1,202.78	1,447.96	245.18
02/26/13	Tri Continental Corp	Sold 300	- 3,420.00	5,058.14	1,638.14
02/26/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,332.01	1,480.18	148.17
02/26/13	MacQuarie Global Infr Tot Rt Fd	Sold 50	- 691.50	1,019.97	328.47
02/26/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,591.50	1,366.47	- 225.03
02/26/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,125.22	1,186.47	61.25
02/27/13	Adams Express CO	Sold 375	- 4,884.19	4,194.29	- 689.90
02/27/13	General Amern Invs Inc	Sold 250	- 6,160.00	7,488.06	1,328.06
02/27/13	Nuveen Core Equity Alpha Fd	Sold 100	- 1,300.25	1,472.91	172.66
02/27/13	Tri Continental Corp	Sold 300	- 3,420.00	5,089.40	1,669.40
02/27/13	MacQuarie Global Infr Tot Rt Fd	Sold 200	- 2,766.00	4,095.21	1,329.21
02/27/13	Royce Value Trust	Sold 200	- 2,405.56	2,910.47	504.91
02/27/13	Eaton Vance Enhanced Eq Income Fd	Sold 125	- 1,274.35	1,404.97	130.62
02/28/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,591.50	1,383.51	- 207.99
02/28/13	Royce Value Trust	Sold 200	- 2,405.56	2,945.83	540.27
02/28/13	General Amern Invs Inc	Sold 246	- 6,426.42	7,431.17	1,004.75
02/28/13	Eaton Vance Enhanced Eq Income Fd	Sold 125	- 1,263.21	1,412.42	149.21
02/28/13	Gabelli Divid & Income Tr	Sold 480	- 3,682.27	8,610.73	4,928.46
02/28/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 100	- 893.83	998.97	105.14
03/01/13	Japan Equity Fund Inc	Sold 400	- 2,095.80	2,384.16	288.36
03/01/13	Tri Continental Corp	Sold 400	- 4,560.00	6,783.34	2,223.34
03/01/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,591.50	1,383.54	- 207.96
03/01/13	Eaton Vance Enhanced Eq Income Fd	Sold 125	- 1,282.29	1,411.72	129.43
03/01/13	Gabelli Divid & Income Tr	Sold 360	- 2,761.71	6,472.08	3,710.37
03/01/13	Royce Value Trust	Sold 200	- 2,405.56	2,946.63	541.07
03/01/13	General Amern Invs Inc	Sold 150	- 3,915.63	4,512.94	597.31



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
March 1, 2013 to March 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
03/01/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,681.49	2,992.94	311.45
03/04/13	General Amern Invs Inc	Sold 150	- 3,915.63	4,520.66	605.03
03/04/13	Gabelli Divid & Income Tr	Sold 120	- 920.57	2,161.10	1,240.53
03/04/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,674.80	2,997.32	322.52
03/04/13	Blackrock Enhanced Equity Dividend T	Sold 10	- 74.60	77.40	2.80
03/04/13	Tri Continental Corp	Sold 300	- 3,420.00	5,127.88	1,707.88
03/04/13	Eaton Vance Enhanced Eq Income Fd	Sold 125	- 1,271.47	1,417.47	146.00
03/04/13	Eaton Vance Tax Mgd Diversified	Sold 300	- 3,183.00	2,771.16	- 411.84
03/05/13	MacQuarie Global Infr Tot Rt Fd	Sold 100	- 1,417.45	2,091.58	674.13
03/05/13	Japan Equity Fund Inc	Sold 200	- 1,047.90	1,213.23	165.33
03/05/13	Tri Continental Corp	Sold 200	- 2,280.00	3,452.93	1,172.93
03/05/13	General Amern Invs Inc	Sold 150	- 3,915.63	4,559.41	643.78
03/05/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,507.69	1,400.87	- 106.82
03/05/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,648.01	3,027.65	379.64
03/05/13	Gabelli Divid & Income Tr	Sold 200	- 1,525.13	3,621.88	2,096.75
03/06/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,326.69	1,517.96	191.27
03/06/13	MacQuarie Global Infr Tot Rt Fd	Sold 50	- 718.00	1,047.74	329.74
03/06/13	Gabelli Divid & Income Tr	Sold 100	- 752.85	1,824.96	1,072.11
03/06/13	General Amern Invs Inc	Sold 165	- 4,313.97	5,057.36	743.39
03/07/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,504.80	1,406.69	- 98.11
03/07/13	Royce Value Trust	Sold 100	- 1,202.78	1,463.64	260.86
03/07/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,327.37	1,521.19	193.82
03/07/13	Gabelli Divid & Income Tr	Sold 388	- 2,921.06	7,092.13	4,171.07
03/07/13	Liberty All Star Equity Fd SBI	Sold 280	- 813.46	1,430.83	617.37
03/07/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,124.01	1,224.26	100.25
03/08/13	General Amern Invs Inc	Sold 55	- 1,469.60	1,693.41	223.81
03/08/13	Tri Continental Corp	Sold 100	- 1,140.00	1,746.29	606.29
03/08/13	Liberty All Star Equity Fd SBI	Sold 280	- 813.46	1,439.23	625.77
03/08/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,082.66	1,225.71	143.05
03/08/13	Vanguard Large Cap Vipers Fund	Sold 290	- 18,696.50	20,527.84	1,831.34



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
March 1, 2013 to March 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
03/08/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,402.33	1,408.79	6.46
03/11/13	Vanguard Ltd Term Te Fd Adm	Sold 3,500	- 38,360.00	39,025.00	665.00
03/11/13	Adams Express CO	Sold 154	- 2,005.77	1,792.52	- 213.25
03/11/13	Eaton Vance Enhanced Eq Income Fd	Sold 125	- 1,287.53	1,448.72	161.19
03/11/13	Gabelli Divid & Income Tr	Sold 100	- 752.85	1,845.95	1,093.10
03/11/13	General Amern Invs Inc	Sold 55	- 1,469.60	1,696.71	227.11
03/11/13	Spdr Dow Jones Industrial Ave E T F	Sold 40	- 4,226.40	5,749.34	1,522.94
03/11/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,398.80	1,411.28	12.48
03/12/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,327.36	1,528.24	200.88
03/12/13	General Amern Invs Inc	Sold 385	- 10,288.94	11,896.39	1,607.45
03/12/13	Gabelli Divid & Income Tr	Sold 100	- 752.85	1,836.07	1,083.22
03/12/13	Tri Continental Corp	Sold 220	- 2,508.00	3,849.34	1,341.34
03/12/13	Royce Value Trust	Sold 100	- 1,202.78	1,478.39	275.61
03/13/13	Gabelli Divid & Income Tr	Sold 100	- 752.85	1,840.96	1,088.11
03/13/13	General Amern Invs Inc	Sold 55	- 1,485.55	1,702.21	216.66
03/13/13	Royce Value Trust	Sold 100	- 1,202.78	1,476.05	273.27
03/14/13	Gabelli Divid & Income Tr	Sold 135	- 1,016.35	2,495.97	1,479.62
03/14/13	Tri Continental Corp	Sold 110	- 1,254.00	1,912.85	658.85
03/14/13	Blackrock International Grow	Sold 525	- 4,059.70	3,984.66	- 75.04
03/14/13	I Shares Msci Pacific Ex Japan E T F	Sold 115	- 2,633.56	5,717.76	3,084.20
03/14/13	Spdr Dow Jones Industrial Ave E T F	Sold 75	- 7,924.50	10,847.75	2,923.25
03/15/13	Tri Continental Corp	Sold 110	- 1,254.00	1,909.56	655.56
03/15/13	Gabelli Divid & Income Tr	Sold 100	- 752.85	1,846.46	1,093.61
03/15/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 310	- 2,702.36	3,168.20	465.84
03/15/13	India Fd Inc	Sold 18	- 396.44	421.24	24.80
03/18/13	Tri Continental Corp	Sold 110	- 1,254.00	1,904.58	650.58
03/18/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 280	- 2,423.26	2,839.56	416.30
03/19/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,398.79	1,393.47	- 5.32
03/21/13	Tri Continental Corp	Sold 110	- 1,254.00	1,908.41	654.41
03/21/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,340.51	1,392.72	52.21



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
March 1, 2013 to March 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
03/21/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 140	- 1,211.63	1,414.39	202.76
03/21/13	Gabelli Divid & Income Tr	Sold 300	- 2,258.55	5,565.86	3,307.31
03/22/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,338.49	1,391.37	52.88
03/22/13	Gabelli Divid & Income Tr	Sold 200	- 1,505.70	3,713.92	2,208.22
03/22/13	Tri Continental Corp	Sold 110	- 1,254.00	1,912.65	658.65
03/22/13	Adams Express CO	Sold 260	- 3,386.37	3,036.73	- 349.64
03/25/13	Tri Continental Corp	Sold 220	- 2,508.00	3,829.98	1,321.98
03/25/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,082.65	1,259.94	177.29
Total Assets Disposed			- \$257,534.62	\$327,653.54	\$70,118.92
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
February 1, 2013 to February 28, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
01/29/13	Adams Express CO	Sold 125	- 1,628.06	1,411.22	- 216.84
01/29/13	Rydex S& P Equal Weighted Index Fd	Sold 365	- 16,776.75	20,669.08	3,892.33
01/29/13	MacQuarie Global Infr Tot Rt Fd	Sold 200	- 2,768.00	4,073.43	1,307.43
01/29/13	Royce Value Trust	Sold 300	- 3,608.34	4,326.71	718.37
01/29/13	Tri Continental Corp	Sold 300	- 3,422.40	5,077.40	1,655.00
01/29/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 450	- 4,072.32	4,474.75	402.43
01/29/13	Blackrock Enhanced Equity Dividend T	Sold 200	- 1,492.00	1,564.78	72.78
01/29/13	Morgan Stanley Emerging Markets Fund	Sold 75	- 1,197.75	1,199.57	1.82
01/30/13	Liberty All Star Equity Fd SBI	Sold 300	- 871.56	1,503.06	631.50
01/30/13	Tri Continental Corp	Sold 100	- 1,140.80	1,693.18	552.38
01/30/13	MacQuarie Global Infr Tot Rt Fd	Sold 100	- 1,383.00	2,045.72	662.72
01/30/13	Japan Smaller Capitalization Fd Inc	Sold 300	- 2,551.07	2,304.05	- 247.02
01/30/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 700	- 6,333.28	6,989.55	656.27
01/31/13	MacQuarie Global Infr Tot Rt Fd	Sold 100	- 1,383.00	2,033.69	650.69
01/31/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 420	- 3,790.52	4,197.26	406.74
01/31/13	Eaton Vance Tax Mgd Diversified	Sold 300	- 3,165.15	2,820.54	- 344.61
02/01/13	Blackrock Enhanced Equity Dividend T	Sold 200	- 1,492.00	1,561.81	69.81
02/01/13	Tri Continental Corp	Sold 100	- 1,140.80	1,696.96	556.16
02/01/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 140	- 1,260.71	1,404.62	143.91
02/04/13	Japan Equity Fund Inc	Sold 100	- 523.95	575.48	51.53
02/04/13	Eaton Vance Tax Mgd Diversified	Sold 300	- 3,183.00	2,814.54	- 368.46
02/04/13	Tri Continental Corp	Sold 100	- 1,140.80	1,686.64	545.84
02/04/13	Morgan Stanley Emerging Markets Fund	Sold 75	- 1,197.75	1,201.45	3.70
02/04/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,278.67	1,159.27	- 119.40
02/05/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 420	- 3,782.31	4,203.65	421.34
02/05/13	Morgan Stanley Emerging Markets Fund	Sold 75	- 1,193.95	1,202.06	8.11
02/05/13	Tri Continental Corp	Sold 200	- 2,281.60	3,394.83	1,113.23
02/05/13	Japan Smaller Capitalization Fd Inc	Sold 300	- 2,557.35	2,308.94	- 248.41
02/06/13	Aberdeen Emerging Mkts Telec	Sold 50	- 827.45	1,084.47	257.02



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
February 1, 2013 to February 28, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
02/06/13	Japan Equity Fund Inc	Sold 200	- 1,047.90	1,153.40	105.50
02/06/13	Eaton Vance Tax Mgd Diversified	Sold 150	- 1,591.50	1,408.97	- 182.53
02/06/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,269.70	1,163.66	- 106.04
02/06/13	Morgan Stanley Emerging Markets Fund	Sold 75	- 1,190.45	1,200.36	9.91
02/06/13	Tri Continental Corp	Sold 100	- 1,140.80	1,697.91	557.11
02/06/13	Greater China Fd Inc	Sold 1,135	- 12,309.66	15,118.20	2,808.54
02/07/13	Aberdeen Indonesia Fund Inc	Sold 0.709	- 8.81	8.61	- 0.20
02/07/13	Nuveen Core Equity Alpha Fd	Sold 100	- 1,287.83	1,454.05	166.22
02/08/13	Tri Continental Corp	Sold 100	- 1,140.80	1,708.46	567.66
02/08/13	Nuveen Core Equity Alpha Fd	Sold 100	- 1,287.83	1,466.08	178.25
02/08/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,351.04	1,510.55	159.51
02/08/13	Japan Smaller Capitalization Fd Inc	Sold 200	- 1,683.07	1,551.96	- 131.11
02/08/13	J F China Region Fd Inc	Sold 100	- 1,123.50	1,477.47	353.97
02/08/13	Japan Smaller Capitalization Fd Inc	Sold 200	- 1,673.75	1,551.96	- 121.79
02/11/13	Japan Smaller Capitalization Fd Inc	Sold 200	- 1,600.64	1,561.96	- 38.68
02/11/13	Tri Continental Corp	Sold 200	- 2,281.60	3,417.21	1,135.61
02/11/13	Japan Smaller Capitalization Fd Inc	Sold 200	- 1,520.88	1,569.67	48.79
02/12/13	Adams Express CO	Sold 200	- 2,604.90	2,291.38	- 313.52
02/12/13	Royce Value Trust	Sold 100	- 1,202.78	1,472.58	269.80
02/12/13	Japan Equity Fund Inc	Sold 100	- 523.95	586.34	62.39
02/12/13	Morgan Stanley Emerging Markets Fund	Sold 75	- 1,190.44	1,193.49	3.05
02/12/13	Tri Continental Corp	Sold 200	- 2,280.80	3,423.15	1,142.35
02/12/13	Aberdeen Indonesia Fund Inc	Sold 9	- 111.87	111.23	- 0.64
02/12/13	General Amern Invs Inc	Sold 150	- 3,696.00	4,532.42	836.42
02/13/13	Korea Equity Fd Inc	Sold 261	- 2,774.99	2,339.66	- 435.33
02/13/13	General Amern Invs Inc	Sold 50	- 1,232.00	1,514.46	282.46
02/13/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,351.03	1,512.34	161.31
02/14/13	India Fd Inc	Sold 0.412	- 9.07	8.84	- 0.23
02/14/13	Tri Continental Corp	Sold 200	- 2,280.00	3,422.43	1,142.43
02/14/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,348.42	1,510.46	162.04



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
February 1, 2013 to February 28, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
02/14/13	General Amern Invs Inc	Sold 50	- 1,232.00	1,507.96	275.96
02/15/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,331.38	1,511.32	179.94
02/15/13	General Amern Invs Inc	Sold 50	- 1,232.00	1,509.46	277.46
02/15/13	Tri Continental Corp	Sold 100	- 1,140.00	1,713.40	573.40
02/15/13	Royce Value Trust	Sold 100	- 1,202.78	1,486.96	284.18
02/19/13	Adams Express CO	Sold 100	- 1,302.45	1,139.98	- 162.47
02/19/13	Nuveen Core Equity Alpha Fd	Sold 100	- 1,287.83	1,476.96	189.13
02/19/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,662.77	3,010.73	347.96
02/19/13	Blackrock Enhanced Equity Dividend T	Sold 150	- 1,119.00	1,175.98	56.98
02/19/13	Royce Value Trust	Sold 100	- 1,202.78	1,487.35	284.57
02/19/13	Vanguard Mid Cap Etf	Sold 355	- 19,126.79	31,757.16	12,630.37
02/20/13	General Amern Invs Inc	Sold 50	- 1,232.00	1,508.35	276.35
02/20/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,331.39	1,490.98	159.59
02/20/13	Royce Value Trust	Sold 100	- 1,202.78	1,487.96	285.18
02/21/13	Tri Continental Corp	Sold 100	- 1,140.00	1,700.96	560.96
02/21/13	Powershares Build America PO	Sold 2,100	- 52,413.00	62,893.58	10,480.58
02/22/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 150	- 1,331.38	1,489.47	158.09
02/22/13	General Amern Invs Inc	Sold 50	- 1,232.00	1,502.33	270.33
Total Assets Disposed			- \$224,280.68	\$273,468.86	\$49,188.18
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
January 1, 2013 to January 31, 2013

SALE/MATURITY SUMMARY

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
12/27/12	Rydex S& P Equal Weighted Index Fd	Sold 325	- 15,992.66	17,039.84	1,047.18
12/28/12	Rydex S& P Equal Weighted Index Fd	Sold 175	- 8,335.66	9,146.26	810.60
01/02/13	Alliancebernstein Income Fund Inc	Sold 100	- 809.49	814.48	4.99
01/04/13	Royce Value Trust	Sold 400	- 4,320.00	5,579.87	1,259.87
01/04/13	Liberty All Star Equity Fd SBI	Sold 220	- 873.08	1,078.05	204.97
01/07/13	Liberty All Star Equity Fd SBI	Sold 440	- 1,675.93	2,164.55	488.62
01/07/13	Spdr Dow Jones Industrial Ave E T F	Sold 60	- 6,358.77	7,992.14	1,633.37
01/07/13	Royce Value Trust	Sold 400	- 4,320.00	5,566.27	1,246.27
01/07/13	Alliancebernstein Income Fund Inc	Sold 50	- 404.75	406.66	1.91
01/08/13	Liberty All Star Equity Fd SBI	Sold 440	- 1,596.67	2,155.24	558.57
01/08/13	Royce Value Trust	Sold 100	- 1,080.00	1,390.13	310.13
01/08/13	Eaton Vance Tax Mgd Diversified	Sold 600	- 6,259.50	5,459.04	- 800.46
01/08/13	General Amern Invs Inc	Sold 1,350	- 32,961.00	38,849.39	5,888.39
01/09/13	Japan Smaller Capitalization Fd Inc	Sold 200	- 1,692.71	1,469.97	- 222.74
01/09/13	Royce Value Trust	Sold 400	- 4,320.00	5,595.87	1,275.87
01/09/13	Alliancebernstein Income Fund Inc	Sold 1,500	- 12,142.35	12,248.13	105.78
01/09/13	General Amern Invs Inc	Sold 250	- 6,160.00	7,214.76	1,054.76
01/10/13	Alliancebernstein Income Fund Inc	Sold 200	- 1,618.98	1,629.99	11.01
01/10/13	Alliancebernstein Income Fund Inc	Sold 1,000	- 8,104.85	8,173.13	68.28
01/10/13	Royce Value Trust	Sold 600	- 6,480.00	8,430.77	1,950.77
01/10/13	Japan Smaller Capitalization Fd Inc	Sold 200	- 1,644.60	1,471.77	- 172.83
01/10/13	Aberdeen Indonesia Fund Inc	Sold 75	- 1,047.43	902.89	- 144.54
01/10/13	Tri Continental Corp	Sold 600	- 6,844.80	9,926.16	3,081.36
01/10/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 700	- 6,333.34	6,853.62	520.28
01/11/13	Royce Value Trust	Sold 200	- 2,160.00	2,814.02	654.02
01/11/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 677	- 6,137.07	6,653.47	516.40
01/11/13	Tri Continental Corp	Sold 700	- 7,985.60	11,628.18	3,642.58
01/11/13	Alliancebernstein Income Fund Inc	Sold 300	- 2,440.41	2,449.24	8.83
01/14/13	Tri Continental Corp	Sold 100	- 1,140.80	1,662.96	522.16



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
January 1, 2013 to January 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
01/14/13	Royce Value Trust	Sold 300	- 3,240.00	4,214.72	974.72
01/14/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 140	- 1,266.73	1,373.37	106.64
01/14/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,160.86	1,122.47	- 38.39
01/14/13	Eaton Vance Tax Mgd Diversified	Sold 125	- 1,317.50	1,154.97	- 162.53
01/15/13	Alliancebernstein Income Fund Inc	Sold 900	- 7,282.83	7,379.84	97.01
01/15/13	Royce Value Trust	Sold 300	- 3,240.00	4,206.60	966.60
01/15/13	Tri Continental Corp	Sold 300	- 3,422.40	4,967.90	1,545.50
01/15/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 333	- 3,053.35	3,264.33	210.98
01/15/13	Alliancebernstein Income Fund Inc	Sold 500	- 3,983.97	4,095.37	111.40
01/16/13	Royce Value Trust	Sold 100	- 1,077.60	1,403.30	325.70
01/16/13	Japan Smaller Capitalization Fd Inc	Sold 150	- 1,280.09	1,119.64	- 160.45
01/17/13	Japan Equity Fund Inc	Sold 200	- 1,052.00	1,154.22	102.22
01/17/13	Spdr Dow Jones Industrial Ave E T F	Sold 310	- 32,807.30	41,956.26	9,148.96
01/17/13	Royce Value Trust	Sold 600	- 6,456.00	8,480.45	2,024.45
01/17/13	Lazard World Divid Income Fd Inc	Sold 200	- 2,310.00	2,682.76	372.76
01/17/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 1,600	- 14,650.95	15,753.65	1,102.70
01/17/13	Tri Continental Corp	Sold 300	- 3,422.40	5,032.70	1,610.30
01/18/13	Eaton Vance Tax Mgd Diversified	Sold 250	- 2,635.00	2,330.36	- 304.64
01/18/13	Royce Value Trust	Sold 300	- 3,228.00	4,269.32	1,041.32
01/18/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 1,300	- 11,978.89	12,827.46	848.57
01/18/13	Alliancebernstein Income Fund Inc	Sold 700	- 5,477.77	5,764.66	286.89
01/18/13	Japan Equity Fund Inc	Sold 200	- 1,050.77	1,168.04	117.27
01/22/13	Alliancebernstein Income Fund Inc	Sold 2,800	- 21,844.19	23,102.01	1,257.82
01/22/13	Adams Express CO	Sold 125	- 1,631.89	1,402.10	- 229.79
01/22/13	Tri Continental Corp	Sold 300	- 3,422.40	5,040.38	1,617.98
01/22/13	General Amern Invs Inc	Sold 100	- 2,464.00	2,939.93	475.93
01/22/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 600	- 5,449.24	5,911.37	462.13
01/22/13	Aberdeen Emerging Mkts Telec	Sold 100	- 1,654.90	2,246.36	591.46
01/22/13	Singapore Fd Inc	Sold 100	- 1,445.59	1,402.44	- 43.15
01/22/13	Eaton Vance Tax Mgd Diversified	Sold 200	- 2,108.00	1,852.66	- 255.34



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ACCOUNT NUMBER: [REDACTED]
NEIL-JANE WILLIAM-ESTELLE
GOLUB FAMILY FOUNDATION, INC

This statement is for the period from
January 1, 2013 to January 31, 2013

SALE/MATURITY SUMMARY (continued)

Trade Date	Asset	Description	Cost Basis	Proceeds	Estimated Gain/Loss
01/23/13	Adams Express CO	Sold 125	- 1,631.89	1,408.72	- 223.17
01/23/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 600	- 5,145.04	5,911.85	766.81
01/23/13	Royce Value Trust	Sold 400	- 4,304.00	5,719.55	1,415.55
01/23/13	Aberdeen Emerging Mkts Telec	Sold 50	- 827.45	1,103.32	275.87
01/23/13	Spdr Dow Jones Industrial Ave E T F	Sold 95	- 10,037.70	12,996.25	2,958.55
01/23/13	Liberty All Star Equity Fd SBI	Sold 600	- 2,177.28	2,995.01	817.73
01/24/13	Tri Continental Corp	Sold 200	- 2,281.60	3,379.53	1,097.93
01/24/13	Japan Equity Fund Inc	Sold 200	- 1,047.90	1,165.40	117.50
01/24/13	Royce Value Trust	Sold 300	- 3,228.00	4,302.74	1,074.74
01/24/13	Liberty All Star Equity Fd SBI	Sold 300	- 995.30	1,493.96	498.66
01/24/13	Adams Express CO	Sold 500	- 6,524.64	5,649.27	- 875.37
01/24/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,574.54	2,960.54	386.00
01/25/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 300	- 2,669.10	2,970.83	301.73
01/25/13	Japan Smaller Capitalization Fd Inc	Sold 200	- 1,697.45	1,540.86	- 156.59
01/25/13	Japan Equity Fund Inc	Sold 200	- 1,047.90	1,159.50	111.60
01/28/13	Royce Value Trust	Sold 300	- 3,544.95	4,319.87	774.92
01/28/13	Liberty All Star Equity Fd SBI	Sold 300	- 871.56	1,499.96	628.40
01/28/13	Tri Continental Corp	Sold 200	- 2,281.60	3,377.99	1,096.39
01/28/13	Eaton Vance TX Mgd Div Eq Incom Fd	Sold 450	- 4,035.54	4,462.42	426.88
Total Assets Disposed			- \$373,578.51	\$434,804.06	\$61,225.55
			- Cost Basis		
			- Proceeds		
			- Estimated Gain/Loss		

For information only. Not intended for tax purposes.

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EMASM Fiscal Statement

NEIL JANE/ WILLIAM ESTELLE

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
01/15/13	Journal Entry		PIA ADVISORY FEE		886.17	
04/09/13	Journal Entry		PIA ADVISORY FEE		917.38	
07/09/13	Journal Entry		PIA ADVISORY FEE		928.96	
08/07/13	Certif fee		VODAFONE GROU PLC SP ADR		2.00	
10/08/13	Journal Entry		PIA ADVISORY FEE		931.26	
	Net Total				3,665.77	

Other Activity

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
150.0000	ISHARES IBOX\$ HIGH YEL	01/10/12	01/16/13	14,142.01	13,405.65	736.36 LT ✓
100.0000	SPDR GOLD TRUST	10/18/11	02/11/13	15,960.64	16,122.26	(161.62) LT ✓
100.0000	SPDR GOLD TRUST CXL	10/18/11	02/11/13	15,960.64	16,122.26	161.62 LT ✓
100.0000	SPDR GOLD TRUST	10/18/11	02/11/13	15,960.64	16,058.50	(97.86) LT ✓
300.0000	FLAHERTY & CRUMRINE	02/11/10	04/09/13	6,262.05	4,437.00	1,825.05 LT ✓
200.0000	FLAHERTY & CRUMRINE	02/11/10	04/09/13	4,174.70	2,960.00	1,214.70 LT ✓
400.0000	FLAHERTY & CRUMRINE	08/10/11	04/09/13	8,349.42	6,580.00	1,769.42 LT ✓
100.0000	FLAHERTY & CRUMRINE	08/10/11	04/09/13	2,087.38	1,648.00	439.38 LT ✓
200.0000	NEWMONT MINING CORP	02/11/13	05/07/13	6,505.09	8,895.98	(2,390.89) ST ✓
500.0000	ANNALY CAP MGMT INC	02/11/13	07/30/13	6,085.89	7,383.70	(1,297.81) ST ✓
500.0000	ARMOUR RESIDENTIAL REIT	03/27/12	07/30/13	2,275.91	3,342.90	(1,066.99) LT ✓
300.0000	CENTURYLINK INC SHS	08/03/11	10/03/13	9,372.98	10,290.75	(917.77) LT ✓
100.0000	VANGUARD SHORT-TERM	01/24/11	11/21/13	8,018.35	7,768.00	250.35 LT ✓
177.0000	PRINCIPAL GLB DIV INC P	02/09/12	12/23/13	2,497.47	2,352.33	145.14 LT ✓
0.1790	PRINCIPAL GLB DIV INC P	12/19/12	12/23/13	2.53	2.51	0.02 LT ✓
						609.08 ✓

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Statement Period Year Ending 12/31/13



FENIMORE
ASSET MANAGEMENT

Fenimore Asset Management
REALIZED GAINS AND LOSSES
Neil, Jane, William, Estelle Golub Family Foundation, Inc.
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
Aqua America Inc							
02-14-12	08-30-13	0	Aqua America Inc	4.43	6.24		1.81
Brookfield Property Partners L.P.							
04-15-13	04-15-13	1	Brookfield Property Partners L.P.	17.46	17.65	0.19	
04-15-13	04-26-13	114	Brookfield Property Partners L P	2,488.29	2,400.89 ✓	-87.40	
				2,505.75	2,418.54	-87.21	
Heartland Express							
09-15-05	04-22-13	2,800	Heartland Express	40,450.07	36,981.02 ✓		-3,469.05
Illinois Tool Works							
11-12-07	09-04-13	300	Illinois Tool Works	16,480.16	21,798.15 ✓		5,318.00
Mednax Inc.							
10-13-04	01-28-13	150	Mednax Inc.	4,148.95	13,105.19 ✓		8,956.24
Mohawk Industries							
10-15-04	04-12-13	150	Mohawk Industries	11,156.13	17,468.30 ✓		6,312.17
TCF Financial							
04-27-05	11-22-13	1,800	TCF Financial	44,820.23	28,144.54 ✓		-16,675.69
Zebra Technologies A							
06-21-06	01-11-13	500	Zebra Technologies A	16,893.07	20,651.24 ✓		3,758.17
TOTAL GAINS						0.19	24,346.38
TOTAL LOSSES						-87.40	-20,144.74
				136,458.79	140,573.22	-87.21	4,201.64
TOTAL REALIZED GAIN/LOSS				4,114.43			

PROCEEDS FROM BROKER TRANSACTIONS

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2013 Account No. [REDACTED] Tax ID Number [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: LONG-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 5: Wash sale loss disallowed	Box 6a: Noncovered security	Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol	Box 1b: Date of acquisition	Box 1e: Quantity sold	Box 6b: Basis reported to IRS					
65249B109	10/25/2013	1,115.95	742.41	0.00		LONG-TERM	NEWS CORPORATION CMN SERIES CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
NWSA	10/04/2012	65.00	X					
90130A101	10/25/2013	3,141.66	1,985.74	0.00		LONG-TERM	TWENTY-FIRST CENTURY FOX, INC CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
FOXA	10/04/2012	90.00	X					
24702R101	10/30/2013	1,718.75	1,207.06	0.00		LONG-TERM	DELL INC CMN Distribution of funds resulting from merger GS 2W1945	
DELL	10/09/2012	125.00	X					
65249B109	10/30/2013	1,130.32	742.41	0.00		LONG-TERM	NEWS CORPORATION CMN SERIES CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
NWSA	10/04/2012	65.00	X					
067383109	11/05/2013	4,111.95	3,179.70	0.00		LONG-TERM	BARD C R INC N J CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
BCR	10/04/2012	30.00	X					
594918104	11/07/2013	6,056.39	4,790.40	0.00		LONG-TERM	MICROSOFT CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
MSFT	10/04/2012	160.00	X					
067383109	11/13/2013	2,068.71	1,589.85	0.00		LONG-TERM	BARD C R INC N J CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
BCR	10/04/2012	15.00	X					
067383109	11/14/2013	691.53	529.95	0.00		LONG-TERM	BARD C R INC N J CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
BCR	10/04/2012	5.00	X					
067383109	12/03/2013	2,072.06	1,589.85	0.00		LONG-TERM	BARD C R INC N J CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
BCR	10/04/2012	15.00	X					
ACCOUNT TOTAL (COVERED: LONG-TERM)		22,107.32	16,357.37	0.00		5,749.95		



This is important tax information and is being furnished to the Internal Revenue Service for the period January 1 to December 31 of the applicable tax year. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

* Gain or Loss information is provided for your information only and is not reported to the Internal Revenue Service.

PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year Account No. Tax ID Number Legal Name
2013 [REDACTED] NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange Box 1b: Date of acquisition	Box 2a: Gross proceeds of stocks, bonds, etc. Box 1c: Quantity sold	Box 3: Cost or other basis Box 5b: Basis reported to IRS	Box 5: Wash sale loss disallowed Box 6a: Noncovered security	Gain or (loss)* Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
428236103 HPQ	01/17/2013 10/04/2012	5,245.76 305.00	4,492.86 X	0.00	752.90 SHORT-TERM	HEWLETT-PACKARD CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
760975102 BBRY	01/18/2013 10/04/2012	1,104.68 70.00	569.80 X	0.00	534.88 SHORT-TERM	RESEARCH IN MOTION LIMITED CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
760975102 BBRY	01/23/2013 10/04/2012	1,232.04 70.00	569.80 X	0.00	662.24 SHORT-TERM	RESEARCH IN MOTION LIMITED CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
717081103 PFE	01/30/2013 10/04/2012	2,890.27 105.00	2,660.70 X	0.00	229.57 SHORT-TERM	Pfizer Inc CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
65248E104 NWSA	02/21/2013 10/04/2012	993.67 35.00	872.09 X	0.00	121.58 SHORT-TERM	News Corporation CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
742718109 PG	02/22/2013 10/04/2012	2,693.78 35.00	2,427.60 X	0.00	266.18 SHORT-TERM	PROCTER & GAMBLE COMPANY (THE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
428236103 HPQ	03/27/2013 10/04/2012	1,059.86 45.00	662.88 X	0.00	396.98 SHORT-TERM	HEWLETT-PACKARD CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
075887109 BDX	04/05/2013 10/04/2012	1,921.31 20.00	1,579.80 X	0.00	341.51 SHORT-TERM	BECTON DICKINSON & CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
189054109 CLX	04/05/2013 10/04/2012	2,165.52 25.00	1,830.50 X	0.00	335.12 SHORT-TERM	CLOROX CO (THE) (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20030N200 CMCSK	04/05/2013 10/04/2012	781.70 20.00	705.60 X	0.00	76.10 SHORT-TERM	COMCAST CORPORATION CMN CLASS A NON VOTING AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

Goldman Sachs

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, GMB NO. 1545-0715

Tax Year: 2013
 Account No: [REDACTED]
 Tax ID Number: [REDACTED]
 Legal Name: NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange Box 1b: Date of acquisition	Box 2a: Gross proceeds of stocks, bonds, etc. Box 1c: Quantity sold	Box 3: Cost or other basis Box 6b: Basis reported to IRS	Box 5: Wash sale loss disallowed Box 6a: Noncovered security	Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
20825C104 COP	04/05/2013 10/04/2012	2,331.03 40.00	2,282.40 X	0.00	SHORT-TERM	CONOCOPHILLIPS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
713448108 PEP	04/05/2013 10/04/2012	3,540.85 45.00	3,185.10 X	0.00	SHORT-TERM	PEPSICO INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
717081103 PFE	04/12/2013 10/04/2012	2,300.15 75.00	1,900.50 X	0.00	SHORT-TERM	PFIZER INC. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
65248E104 NWSA	04/24/2013 10/04/2012	4,516.50 145.00	3,612.92 X	0.00	SHORT-TERM	NEWS CORPORATION CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
075887108 BDX	04/26/2013 10/04/2012	1,428.44 15.00	1,184.85 X	0.00	SHORT-TERM	BECTON DICKINSON & CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
717081103 PFE	04/26/2013 10/04/2012	3,878.29 130.00	3,294.20 X	0.00	SHORT-TERM	PFIZER INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
054303102 AVP	05/01/2013 10/04/2012	1,491.71 65.00	1,043.25 X	0.00	SHORT-TERM	AVON PRODUCTS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
191216100 KO	05/01/2013 10/04/2012	847.38 20.00	768.60 X	0.00	SHORT-TERM	COCA-COLA COMPANY (THE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
20825C104 COP	05/01/2013 10/04/2012	605.68 10.00	570.60 X	0.00	SHORT-TERM	CONOCOPHILLIPS CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
24702R101 DELL	05/01/2013 10/09/2012	735.16 55.00	531.11 X	0.00	SHORT-TERM	DELL INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
478160104 JNJ	05/01/2013 10/04/2012	1,273.02 15.00	1,037.70 X	0.00	SHORT-TERM	JOHNSON & JOHNSON CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2013 Account No. [REDACTED] Tax ID Number [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange Box 1b: Date of acquisition	Box 2a: Gross proceeds of stocks, bonds, etc. Box 1c: Quantity sold	Box 3: Cost or other basis Box 6b: Basis reported to IRS	Box 5: Wash sale loss disallowed Box 6a: Noncovered security	Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
65248E104 NWSA	05/01/2013 10/04/2012	777.36 25.00	622.92 X	0.00	SHORT-TERM	154.44 NEWS CORPORATION CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
713448108 PEP	05/01/2013 10/04/2012	1,653.76 20.00	1,415.60 X	0.00	SHORT-TERM	238.16 PEPSICO INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
863667101 SYK	05/01/2013 10/04/2012	984.45 15.00	817.80 X	0.00	SHORT-TERM	166.65 STRYKER CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
92563P201 VIAB	05/01/2013 10/04/2012	664.18 10.00	546.34 X	0.00	SHORT-TERM	117.84 VIACOM INC CMN CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
65248E104 NWSA	05/10/2013 10/04/2012	1,333.25 40.00	996.67 X	0.00	SHORT-TERM	336.58 NEWS CORPORATION CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
189054109 CLX	05/21/2013 10/04/2012	2,149.21 25.00	1,830.50 X	0.00	SHORT-TERM	318.71 CLOROX CO (THE) (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
594918104 MSFT	06/25/2013 10/04/2012	671.74 20.00	598.80 X	0.00	SHORT-TERM	72.94 MICROSOFT CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
65249B109 NWSA	07/01/2013 10/04/2012	3.84 0.25	0.00 X	0.00	SHORT-TERM	3.84 TWENTY-FIRST CENTURY FOX, INC CMN CLASS A Qualified Cash in lieu proceeds on TWENTY-FIRST CENTURY FOX, IN Stock Spinoff	
594918104 MSFT	07/05/2013 10/04/2012	1,514.00 45.00	1,347.30 X	0.00	SHORT-TERM	166.70 MICROSOFT CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
428236103 HPQ	07/10/2013 10/04/2012	1,814.98 70.00	1,031.15 X	0.00	SHORT-TERM	783.83 HEWLETT-PACKARD CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year: 2013
 Account No: [REDACTED]
 Tax ID Number: [REDACTED]
 Legal Name: NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 5: Wash sale less disallowed	Box 6a: Noncovered security	Box 7c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
92553PZ01 VAB	08/02/2013 10/04/2012	1,587.50 20.00	1,092.68 X	0.00	0.00	SHORT-TERM	494.82 VIACOM INC CMN CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
55249B109 NWSA	09/17/2013 10/04/2012	1,527.74 90.00	1,027.95 X	0.00	0.00	SHORT-TERM	499.79 NEWS CORPORATION CMN SERIES CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
9013CA101 FOXA	10/03/2013 10/04/2012	3,316.56 100.00	2,206.37 X	0.00	0.00	SHORT-TERM	1,110.19 TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
24702R101 DELL	10/30/2013 11/16/2012	1,100.00 80.00	704.70 X	0.00	0.00	SHORT-TERM	395.30 DELL INC CMN Distribution of funds resulting from merger GS 2W/945	
24702R101 DELL	10/30/2013 07/10/2013	1,168.75 85.00	1,134.95 X	0.00	0.00	SHORT-TERM	33.80 DELL INC CMN Distribution of funds resulting from merger GS 2W/945	
ACCOUNT TOTAL (COVERED: SHORT-TERM)		63,304.22	51,156.79	0.00	0.00		12,147.43	

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PROCEEDS FROM BROKER TRANSACTIONS

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year: 2013
 Account No: [REDACTED]
 Tax ID Number: [REDACTED]
 Legal Name: NEIL JANE WILLIAM ESTELLE

NONCOVERED SECURITIES TRANSACTIONS (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange Box 1b: Date of acquisition	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 4: Wash sale loss disallowed	Box 5: Noncovered security	Box 6: Type of gain or loss	Box 7: Description	Box 8: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol		Box 1e: Quantity sold	Box 5b: Basis reported to IRS					
22025Y407 CXW	06/18/2013	32.13 0.00			X		CORRECTIONS CORP OF AMERICA CMN Qualified GS 6HLYE6 PROCEEDS FROM SALE 0.951 FRACTIONAL SHARES CORRECTIONS CORP OF AMERICA	
879080133 TRCWS	08/29/2013	1.14 0.00			X		TEJON RANCH CO CMN Qualified Cash in lieu proceeds on TEJON RANCH CO CMN Stock Spinoff	
22025Y407 CXW	09/19/2013	1,153.98 38.00			X		CORRECTIONS CORP OF AMERICA CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ACCOUNT TOTAL (NONCOVERED)		1,187.25						



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2013 Account No. [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: LONG-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange Box 1b: Date of acquisition	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 4: Quantity sold	Box 5: Wash sale loss disallowed	Box 6a: Noncovered security	Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol									
483548103	08/23/2013	1,085.13	1,037.10	X	0.00	0.00	LONG-TERM	KAMAN CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
KAMN	08/16/2012	31.00							
007974108	09/19/2013	606.48	311.98	X	0.00	0.00	LONG-TERM	ADVENT SOFTWARE INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ADVS	08/16/2012	19.00							
014491104	09/19/2013	685.43	541.69	X	0.00	0.00	LONG-TERM	ALEXANDER & BALDWIN, INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ALEX	08/16/2012	18.00							
017175100	09/19/2013	408.77	336.03	X	0.00	0.00	LONG-TERM	ALLEGHANY CORP (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
Y	08/16/2012	1.00							
02553E106	09/19/2013	345.45	483.00	X	0.00	0.00	LONG-TERM	AMERICAN EAGLE OUTFITTERS INC (NEW) AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
AEO	08/16/2012	23.00							
050095108	09/19/2013	1,249.24	1,015.15	X	0.00	0.00	LONG-TERM	ATWOOD OCEANICS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ATW	08/16/2012	22.00							
126804301	09/19/2013	1,234.11	911.26	X	0.00	0.00	LONG-TERM	CABELA'S INCORPORATED CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
CAB	08/16/2012	19.00							
198516106	09/19/2013	420.74	355.18	X	0.00	0.00	LONG-TERM	COLUMBIA SPORTSWEAR COMPANY COMMON STOCK AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
COLM	08/16/2012	7.00							
278265103	09/19/2013	2,123.97	1,427.25	X	0.00	0.00	LONG-TERM	EATON VANCE CORP (NON-VTG) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
EV	08/16/2012	52.00							
29266R108	09/19/2013	1,626.89	1,137.98	X	0.00	0.00	LONG-TERM	ENERGIZER HOLDINGS, INC. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ENR	08/16/2012	17.00							

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2013 Account No. [REDACTED] Tax ID Number [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: LONG-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 5: Wash sale loss disallowed	Box 6a: Noncovered security	Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol	Box 1b: Date of acquisition	Box 1e: Quantity sold	Box 6b: Basis reported to IRS					
483548103	09/19/2013	492.28	434.91	0.00	57.37	LONG-TERM	KAMAN CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
KAMN	08/16/2012	13.00	X					
500770106	09/19/2013	1,968.70	2,170.99	0.00	(202.29)	LONG-TERM	KRATON PERFORMING POLYMERS INC. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
KRA	08/16/2012	100.00	X					
573284106	09/19/2013	490.28	391.30	0.00	98.98	LONG-TERM	MARTIN MARIETTA MATERIALS, INC. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
MLM	08/16/2012	5.00	X					
57686G105	09/19/2013	1,664.49	1,556.20	0.00	108.29	LONG-TERM	MATSON, INC. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
MATX	08/16/2012	60.00	X					
55262C100	09/19/2013	690.98	633.07	0.00	57.91	LONG-TERM	MBIA INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
MBI	08/16/2012	59.00	X					
594793101	09/19/2013	1,646.59	1,768.59	0.00	(122.00)	LONG-TERM	MICREL INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
MCRL	08/16/2012	179.00	X					
562185106	09/19/2013	552.50	443.72	0.00	108.78	LONG-TERM	MONTPELIER RE HOLDINGS LTD. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
MRH	08/16/2012	21.00	X					
651587107	09/19/2013	583.06	494.76	0.00	88.30	LONG-TERM	NEWMARKET CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
NEU	08/16/2012	2.00	X					
67018T105	09/19/2013	840.55	359.07	0.00	481.48	LONG-TERM	NU SKIN ENTERPRISES INC CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
NUS	08/16/2012	9.00	X					
679580100	09/19/2013	2,074.32	1,369.04	0.00	705.28	LONG-TERM	OLD DOMINION FIGHT LINES INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ODFL	08/16/2012	45.00	X					
741511109	09/19/2013	1,221.58	957.17	0.00	264.41	LONG-TERM	PRICESMART INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
PSMT	08/16/2012	13.00	X					



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year: 2013 Account No: [REDACTED] Legal Name: NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: LONG-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 5: Wash sale loss disallowed	Box 6: Noncovered security	Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol	Box 1e: Date of acquisition	Box 1f: Quantity sold	Box 1g: Basis reported to IRS	Box 1h: Basis	Box 1i: Noncovered security	Box 1j: Type of gain or loss	Box 1k: Description	Box 1l: Loss not allowed based on amount in Box 2a
767744105	09/19/2013	1,019.81	953.84	X	0.00	LONG-TERM	RITCHIE BROS. AUCTIONEERS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
RBA	08/16/2012	49.00	X					
817565104	09/19/2013	1,307.62	907.92	X	0.00	LONG-TERM	SERVICE CORP INTERNATL CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
SCI	08/16/2012	70.00	X					
879080109	09/19/2013	946.30	846.87	X	0.00	LONG-TERM	TEJON RANCH CO CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
TRC	08/16/2012	31.00	X					
880336407	09/19/2013	1,076.98	531.89	X	0.00	LONG-TERM	TENET HEALTHCARE CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
THC	08/16/2012	25.00	X					
894650100	09/19/2013	1,697.17	950.61	X	0.00	LONG-TERM	TREDEGAR CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
TG	08/16/2012	66.00	X					
92046N102	09/19/2013	2,765.44	2,014.39	X	0.00	LONG-TERM	VALUECLICK INC ORD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
VCLK	08/16/2012	130.00	X					
59618E107	09/19/2013	1,147.27	1,041.09	X	0.00	LONG-TERM	WHITE MTNS INS GROUP LTD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
WTM	08/16/2012	2.00	X					
981475106	09/19/2013	1,680.12	1,628.02	X	0.00	LONG-TERM	WORLD FUEL SERVICES CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
INT	08/16/2012	45.00	X					
126804301	10/07/2013	185.00	143.88	X	0.00	LONG-TERM	CABELA'S INCORPORATED CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
CAB	08/16/2012	3.00	X					
017175100	12/11/2013	1,178.73	1,008.09	X	0.00	LONG-TERM	ALLEGHANY CORP (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
Y	08/16/2012	3.00	X					

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year: 2013
Account No: [REDACTED]
Tax ID Number: [REDACTED]
Legal Name: NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: LONG-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 5: Wash sale loss disallowed	Box 6a: Noncovered security	Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol	Box 1b: Date of acquisition	Box 1e: Quantity sold	Box 6b: Basis reported to IRS					
29266R108	12/11/2013	1,101.25	669.40		0.00	431.85	ENERGIZER HOLDINGS, INC. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ENR	08/16/2012	10.00	X			LONG-TERM		
67018T105	12/11/2013	4,813.67	1,516.09	0.00		3,297.58	NU SKIN ENTERPRISES INC CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
NUS	08/16/2012	38.00	X			LONG-TERM		
741511109	12/11/2013	236.82	147.26	0.00		89.36	PRICESMART INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
PSMT	08/16/2012	2.00	X			LONG-TERM		
278265103	12/13/2013	121.83	82.34	0.00		39.49	EATON VANCE CORP (NON-VTG) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
EV	08/16/2012	3.00	X			LONG-TERM		
ACCOUNT TOTAL (COVERED: LONG-TERM)		41,289.35	30,577.17	0.00		10,712.18		

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2013 Account No. [REDACTED] Tax ID Number [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange Box 1b: Date of acquisition	Box 2a: Gross proceeds of stocks, bonds, etc. Box 1c: Quantity sold	Box 3: Cost or other basis Box 6b: Basis reported to IRS	Box 5: Wash sale loss disallowed Box 6a: Noncovered security	Box 7: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
670181105 NUS	02/21/2013 08/16/2012	1,821.26 46.00	1,835.27 X	0.00	(14.01) SHORT-TERM	NU SKIN ENTERPRISES INC CMN CLASS A AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G62185106 MRH	07/31/2013 08/16/2012	432.40 16.00	338.08 X	0.00	94.32 SHORT-TERM	MONTPELIER RE HOLDINGS LTD. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
017175100 Y	08/02/2013 08/16/2012	411.64 1.00	336.03 X	0.00	75.61 SHORT-TERM	ALLEGHANY CORP (DELAWARE) CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G62185106 MRH	08/02/2013 08/16/2012	376.04 14.00	295.82 X	0.00	80.22 SHORT-TERM	MONTPELIER RE HOLDINGS LTD. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
G62185106 MRH	08/05/2013 08/16/2012	729.88 28.00	591.63 X	0.00	138.25 SHORT-TERM	MONTPELIER RE HOLDINGS LTD. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
018804104 ATK	08/19/2013 07/31/2013	294.87 3.00	277.37 X	0.00	17.50 SHORT-TERM	ALLIANT TECHSYSTEMS INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
ACCOUNT TOTAL (COVERED: SHORT-TERM)		4,066.09	3,674.20	0.00	391.89		

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* Gain or Loss information is provided for your information only and is not reported to the Internal Revenue Service



PROCEEDS FROM BROKER TRANSACTIONS

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2013 Account No. [REDACTED] Tax ID Number [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: LONG-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 5: Wash sale loss disallowed	Box 6a: Noncovered security	Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol	Box 1b: Date of acquisition	Box 1e: Quantity sold	Box 6b: Basis reported to IRS					
539830109	10/07/2013	11,131.88	8,491.03		0.00	2,640.85	LOCKHEED MARTIN CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
LMT	10/04/2012	90.00	X			LONG-TERM		
58155Q103	10/08/2013	2,155.36	1,438.72		0.00	716.64	MCKESSON CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
MCK	10/04/2012	16.00	X			LONG-TERM		
084670702	10/10/2013	5,590.36	4,430.58		0.00	1,159.78	BERKSHIRE HATHAWAY INC. CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
BRKB	10/04/2012	49.00	X			LONG-TERM		
92857W209	11/11/2013	12,581.10	10,017.89		0.00	2,563.21	VODAFONE GROUP PLC SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
VOD	10/04/2012	347.00	X			LONG-TERM		
00751Y106	12/20/2013	2,514.79	1,596.20		0.00	918.59	ADVANCE AUTO PARTS, INC. CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
AAP	10/04/2012	23.00	X			LONG-TERM		
ACCOUNT TOTAL (COVERED: LONG-TERM)		33,973.49	25,974.42	0.00		7,999.07		



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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2013 Account No. [REDACTED] Tax ID Number [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange Box 1b: Date of acquisition	Box 2a: Gross proceeds of stocks, bonds, etc. Box 1c: Quantity sold	Box 3: Cost or other basis Box 6b: Basis reported to IRS	Box 5: Wash sale loss disallowed Box 6a: Noncovered security	Gain or (loss)* Box 1c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol							
580135101 MCD	01/15/2013 10/04/2012	4,114.16 45.00	4,096.80 X	0.00	17.36 SHORT-TERM	MC DONALDS CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
53217V109 LIFE	01/18/2013 10/04/2012	2,006.01 33.00	1,665.34 X	0.00	340.67 SHORT-TERM	LIFE TECHNOLOGIES CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
53217V109 LIFE	02/21/2013 10/04/2012	4,797.13 83.00	4,188.59 X	0.00	608.54 SHORT-TERM	LIFE TECHNOLOGIES CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
084670702 BRKB	04/04/2013 10/04/2012	1,777.41 17.00	1,537.14 X	0.00	240.27 SHORT-TERM	BERKSHIRE HATHAWAY INC CLASS B AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
406216101 HAL	04/08/2013 10/04/2012	1,687.73 44.00	1,490.28 X	0.00	197.45 SHORT-TERM	HALLIBURTON COMPANY CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
66967V109 NVS	04/08/2013 10/04/2012	3,990.95 56.00	3,509.52 X	0.00	481.43 SHORT-TERM	NOVARTIS AG-ADR SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
067901108 ABX	04/12/2013 10/04/2012	5,072.74 224.00	9,430.40 X	0.00	(4,357.66) SHORT-TERM	BARRICK GOLD CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
883556102 TMO	04/16/2013 10/04/2012	1,461.16 18.00	1,083.96 X	0.00	377.20 SHORT-TERM	THERMO FISHER SCIENTIFIC INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
80105N105 SNY	04/17/2013 10/04/2012	1,312.45 25.00	1,105.25 X	0.00	207.20 SHORT-TERM	SANOFI SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
13645T100 CP	04/18/2013 10/04/2012	2,641.43 22.00	1,910.06 X	0.00	731.37 SHORT-TERM	CANADIAN PACIFIC RAILWAY LTD CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	

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PROCEEDS FROM BROKER TRANSACTIONS (Continued) **SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715**

Tax Year 2013 Account No. [REDACTED] Tax ID Number [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 5: Wash sale loss disallowed	Box 6a: Noncovered security	Box 7: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1a: Stock or other Symbol	Box 1b: Date of acquisition	Box 1c: Quantity sold	Box 1d: Basis reported to IRS	Box 1e: Noncovered security	Box 1f: Type of gain or loss	Box 1g: Description	Box 1h: Loss not allowed based on amount in Box 2a	
459200101	05/13/2013	1,416.28	1,473.99	0.00	(57.71)	INTL BUSINESS MACHINES CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
IBM	10/04/2012	7.00	X		SHORT-TERM			
459200101	05/23/2013	8,273.62	8,422.80	0.00	(149.18)	INTL BUSINESS MACHINES CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
IBM	10/04/2012	40.00	X		SHORT-TERM			
580135101	05/23/2013	5,566.52	5,007.20	0.00	559.32	MC DONALDS CORP CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
MCD	10/04/2012	55.00	X		SHORT-TERM			
581550103	06/11/2013	2,501.87	1,978.24	0.00	523.63	MCKESSON CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
MCK	10/04/2012	22.00	X		SHORT-TERM			
126650100	06/21/2013	2,954.25	2,494.16	0.00	460.09	CVS CAREMARK CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
CVS	10/04/2012	51.00	X		SHORT-TERM			
62886E108	06/27/2013	2,707.59	1,864.09	0.00	843.49	NCR CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
NCR	11/02/2012	82.00	X		SHORT-TERM			
57060U100	07/02/2013	1,981.71	4,546.08	0.00	(2,564.37)	MARKET VECTORS ETF TRUST GOLD MINERS INDEXED AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
GDX	10/04/2012	84.00	X		SHORT-TERM			
189754104	07/30/2013	5,429.03	5,168.69	0.00	259.14	COACH INC CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
COH	04/04/2013	102.00	X		SHORT-TERM			
C56752108	08/06/2013	2,158.15	1,497.48	0.00	660.67	BAIDU, INC. SPONSORED ADR CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
BIDU	05/13/2013	16.00	X		SHORT-TERM			
539830109	09/18/2013	3,094.69	2,284.28	0.00	810.41	LOCKHEED MARTIN CORPORATION CMN AVERAGE PRICE IN ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS		
LMT	10/04/2012	24.00	X		SHORT-TERM			

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PROCEEDS FROM BROKER TRANSACTIONS (Continued)

SUBSTITUTE FORM 1099-B, OMB NO. 1545-0715

Tax Year 2013 Account No. [REDACTED] Tax ID Number [REDACTED] Legal Name NEIL JANE WILLIAM ESTELLE

COVERED SECURITIES TRANSACTIONS: SHORT-TERM (Gross proceeds less commissions and option premiums)

CUSIP number	Box 1a: Date of sale or exchange	Box 2a: Gross proceeds of stocks, bonds, etc.	Box 3: Cost or other basis	Box 5: Wash sale loss disallowed	Box 6a: Noncovered security	Box 7c: Type of gain or loss	Box 8: Description	Box 2b: Loss not allowed based on amount in Box 2a
Box 1d: Stock or other Symbol	Box 1b: Date of acquisition	Box 1e: Quantity sold	Box 6b: Basis reported to IRS					
255677105	10/09/2013	2,419.21	1,903.12	0.00		516.09	DOLLAR GENERAL CORPORATION CMN AVERAGE PRICE IN SHORT-TERM ACCORD WITH THE CLIENT'S INSTRUCTION CTSY GS PRIVATE MONEY MANAGERS	
DG	12/12/2012	43.00	X					
ACCOUNT TOTAL		67,364.08	66,638.67	0.00		725.41		
(COVERED: SHORT-TERM)								

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	12,147.43	Net Covered Long-Term Gains (Losses)	5,749.35	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	0.00	Net NonCovered Long-Term Gains (Losses)	0.00		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	12,147.43	Total Long-Term Gains (Losses)	5,749.35	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Gain (Loss)
Covered Short-Term Gains (Losses)							
HEWLETT-PACKARD CO CMN (429236103)	10/04/2012	01/17/2013	305.00	5,245.76	0.00	4,492.86	752.90
RESEARCH IN MOTION LIMITED CMN (760975102)	10/04/2012	01/18/2013	70.00	1,104.68	0.00	569.80	534.88
RESEARCH IN MOTION LIMITED CMN (760975102)	10/04/2012	01/23/2013	70.00	1,232.04	0.00	569.80	662.24
PFIZER INC CMN (717081103)	10/04/2012	01/30/2013	105.00	2,890.27	0.00	2,660.70	229.57
TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A (65248E104)	10/04/2012	02/21/2013	35.00	993.67	0.00	872.09	121.58
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	10/04/2012	02/22/2013	35.00	2,693.78	0.00	2,427.60	266.18
HEWLETT-PACKARD CO. CMN (429236103)	10/04/2012	03/27/2013	45.00	1,059.86	0.00	662.88	396.98
BECTON DICKINSON & CO CMN (075887109)	10/04/2012	04/05/2013	20.00	1,921.31	0.00	1,579.80	341.51
CLOROX CO (THE) (DELAWARE) CMN (189054109)	10/04/2012	04/05/2013	25.00	2,165.62	0.00	1,830.50	335.12
COMCAST CORPORATION CMN CLASS A NON VOTING (2003JN200)	10/04/2012	04/05/2013	20.00	781.70	0.00	705.60	76.10
CONOCOPHILLIPS CMN (20825C104)	10/04/2012	04/05/2013	40.00	2,331.03	0.00	2,282.40	48.63
PEPSICO INC CMN (713448108)	10/04/2012	04/05/2013	45.00	3,540.85	0.00	3,185.10	355.75
PFIZER INC CMN (717081103)	10/04/2012	04/12/2013	75.00	2,300.15	0.00	1,900.50	399.65
TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A (65248E104)	10/04/2012	04/24/2013	145.00	4,516.50	0.00	3,612.92	903.58
BECTON DICKINSON & CO CMN (075887109)	10/04/2012	04/26/2013	15.00	1,428.44	0.00	1,184.85	243.59
PFIZER INC CMN (717081103)	10/04/2012	04/26/2013	130.00	3,878.29	0.00	3,294.20	584.09

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
AVON PRODUCTS INC CMN (054303102)	10/04/2012	05/01/2013	65.00	1,481.71	0.00	1,043.25	448.46
COCA-COLA COMPANY (THE) CMN (191216100)	10/04/2012	05/01/2013	20.00	847.38	0.00	768.80	78.58
CONOCOPHILLIPS CMN (20825C104)	10/04/2012	05/01/2013	10.00	605.68	0.00	570.60	35.08
DELL INC CMN (24702R101)	10/09/2012	05/01/2013	55.00	735.16	0.00	531.11	204.05
JOHNSON & JOHNSON CMN (478160104)	10/04/2012	05/01/2013	15.00	1,273.02	0.00	1,037.70	235.32
PEPSICO INC CMN (713448108)	10/04/2012	05/01/2013	20.00	1,653.76	0.00	1,415.60	238.16
STRYKER CORP CMN (863667101)	10/04/2012	05/01/2013	15.00	984.45	0.00	817.80	166.65
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (65248E104)	10/04/2012	05/01/2013	25.00	777.36	0.00	522.92	154.44
VIACOM INC CMN CLASS B (92553P201)	10/04/2012	05/01/2013	10.00	664.18	0.00	545.34	117.84
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (65248E104)	10/04/2012	05/10/2013	40.00	1,333.25	0.00	996.67	336.58
CLOXCO CO (THE) (DELAWARE) CMN (189054109)	10/04/2012	05/21/2013	25.00	2,149.21	0.00	1,830.50	318.71
MICROSOFT CORPORATION CMN (594918104)	10/04/2012	06/25/2013	20.00	671.74	0.00	598.80	72.94
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	10/04/2012	07/01/2013	0.25	3.84	0.00	0.00	3.84
MICROSOFT CORPORATION CMN (594918104)	10/04/2012	07/05/2013	45.00	1,514.00	0.00	1,347.30	166.70
HEWLETT-PACKARD CO CMN (428236103)	10/04/2012	07/10/2013	70.00	1,814.98	0.00	1,031.15	783.83
VIACOM INC CMN CLASS B (92553P201)	10/04/2012	08/02/2013	20.00	1,587.50	0.00	1,092.68	494.82
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	10/04/2012	09/17/2013	90.00	1,527.74	0.00	1,027.95	499.79
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	10/04/2012	10/03/2013	100.00	3,316.56	0.00	2,206.37	1,110.19
DELL INC CMN (24702R101)	11/16/2012	10/30/2013	80.00	1,100.00	0.00	704.70	395.30
DELL INC CMN (24702R101)	07/10/2013	10/30/2013	85.00	1,168.75	0.00	1,134.95	33.80
Net Covered Short-Term Gains (Losses)				63,304.22	0.00	51,156.79	12,147.43

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	10/04/2012	10/25/2013	65.00	1,115.95	0.00	742.41	373.54
TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A (90130A101)	10/04/2012	10/25/2013	90.00	3,141.86	0.00	1,985.74	1,155.92
DELL INC CMN (24702R101)	10/09/2012	10/30/2013	125.00	1,716.75	0.00	1,207.06	511.69
NEWS CORPORATION CMN SERIES CLASS A (65249B109)	10/04/2012	10/30/2013	65.00	1,130.32	0.00	742.41	387.91
BARQ C R INC N J CMN (067383109)	10/04/2012	11/05/2013	30.00	4,111.95	0.00	3,179.70	932.25
MICROSOFT CORPORATION CMN (594918104)	10/04/2012	11/07/2013	160.00	6,056.39	0.00	4,790.40	1,265.99
BARQ C R INC N J CMN (067383109)	10/04/2012	11/13/2013	15.00	2,068.71	0.00	1,588.85	478.86
BARQ C R INC N J CMN (067383109)	10/04/2012	11/14/2013	5.00	691.53	0.00	529.95	161.58
BARQ C R INC N J CMN (067383109)	10/04/2012	12/03/2013	15.00	2,072.06	0.00	1,589.85	482.21
Net Covered Long-Term Gains (Losses)				22,107.32	0.00	16,357.37	5,749.95

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REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)	LONG-TERM GAINS (LOSSES)	ORDINARY GAINS (LOSSES)
Net Covered Short-Term Gains (Losses)	492.41	1,851.58
Net NonCovered Short-Term Gains (Losses)	0.00	0.00
Net 1098B Non Reportable Short-Term Gains (Losses)	0.00	0.00
Net Miscellaneous Short-Term Gains (Losses)	0.00	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	0.00
Total Short-Term Gains (Losses)	492.41	1,851.58
		Total Ordinary Gains (Losses)
		0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
STAPLES, INC CMN (855030102)	08/16/2012	01/04/2013	63.00	748.82	0.00	723.56	25.26
NIKE CLASS-B CMN CLASS B (654106103)	08/16/2012	01/14/2013	6.00	318.98	0.00	282.07	36.91
SYNGENTA AG SPONSORED ADR CMN (87160A100)	08/16/2012	01/14/2013	5.00	413.43	0.00	344.40	69.03
VERISIGN INC CMN (92343E102)	08/16/2012	01/14/2013	9.00	343.64	0.00	429.66	(86.02)
APPLE, INC CMN (037833100)	08/16/2012	01/22/2013	2.00	999.84	0.00	1,269.12	(269.26)
BMC SOFTWARE, INC CMN (055921100)	08/16/2012	02/14/2013	6.00	248.38	0.00	251.58	(3.20)
STAPLES, INC. CMN (855030102)	08/16/2012	02/20/2013	80.00	1,123.37	0.00	918.80	204.57
STAPLES, INC CMN (855030102)	08/16/2012	02/21/2013	35.00	466.23	0.00	401.98	64.25
STAPLES, INC. CMN (855030102)	08/16/2012	02/25/2013	40.00	536.66	0.00	459.40	77.26
BMC SOFTWARE, INC. CMN (055921100)	08/16/2012	03/01/2013	6.00	242.57	0.00	251.58	(9.01)
POLYCOM INC CMN (73172K104)	08/16/2012	03/05/2013	85.00	792.81	0.00	847.45	(54.64)
NOVO-NORDISK A/S ADR ADR CMN (67010C205)	08/16/2012	03/08/2013	4.00	698.36	0.00	634.16	64.20
APPLE, INC. CMN (037833100)	08/16/2012	05/06/2013	2.00	921.15	0.00	1,269.12	(347.97)
BMC SOFTWARE, INC. CMN (055921100)	08/16/2012	05/06/2013	17.00	773.36	0.00	712.81	60.55
BMC SOFTWARE, INC. CMN (055921100)	08/16/2012	05/07/2013	44.00	1,994.71	0.00	1,844.92	149.79
ALLERGAN INC CMN (018490102)	08/16/2012	05/20/2013	9.00	894.62	0.00	772.74	121.88

4. Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

2. Sale Proceeds may have been adjusted by an option premium due to an option assignment and/or a premium currently not shared consistent with your own tax advisor's advice. Please consult your tax advisor for more information.

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
BMC SOFTWARE, INC. CMN (055921100)	11/07/2012	08/06/2013	11.00	497.50	0.00	452.84	44.66
BMC SOFTWARE, INC. CMN (055921100)	08/16/2012	06/06/2013	14.00	633.18	0.00	587.02	46.16
CATERPILLAR INC (DELAWARE) CMN (149123101)	08/16/2012	06/06/2013	17.00	1,422.82	0.00	1,497.70	(74.88)
SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)	11/14/2012	07/02/2013	4.00	123.69	0.00	100.17	23.52
APPLE, INC. CMN (037833100)	08/16/2012	07/16/2013	2.00	853.53	0.00	1,269.12	(415.59)
PRICELINE.COM INC CMN (741503403)	08/16/2012	07/16/2013	1.00	904.94	0.00	579.95	324.99
PROGRESSIVE CORPORATION (THE) CMN (743315103)	08/16/2012	07/18/2013	37.00	956.92	0.00	733.34	223.58
ADOBE SYSTEMS INC CMN (00724F101)	08/16/2012	07/19/2013	13.00	625.25	0.00	432.87	192.28
INTUIT INC CMN (461202103)	08/16/2012	08/05/2013	2.00	127.96	0.00	119.54	8.44
SALLY BEAUTY HOLDINGS, INC. CMN (79546E104)	11/14/2012	08/05/2013	7.00	190.98	0.00	175.31	15.67
Net Covered Short-Term Gains (Losses)				17,853.72	0.00	17,361.31	492.41

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
APPLE, INC. CMN (037833100)	08/16/2012	08/26/2013	1.00	504.85	0.00	634.56	(129.71)
INTUIT INC CMN (461202103)	08/16/2012	08/27/2013	10.00	634.52	0.00	597.70	36.82
WALGREEN CO. CMN (931422109)	08/16/2012	08/29/2013	6.00	285.86	0.00	212.16	73.70
ADOBE SYSTEMS INC CMN (00724F101)	08/16/2012	10/02/2013	10.00	514.05	0.00	333.05	181.00
APPLE, INC. CMN (037833100)	08/16/2012	10/28/2013	1.00	530.74	0.00	634.56	(103.82)
ADOBE SYSTEMS INC CMN (00724F101)	08/16/2012	10/29/2013	5.00	270.72	0.00	166.53	104.19
INTERCONTINENTAL EXCHANGE INC CMN (45865V100)	08/16/2012	10/30/2013	2.00	382.07	0.00	269.42	112.65
VISA INC. CMN CLASS A (92826C839)	08/16/2012	10/30/2013	2.00	404.96	0.00	258.94	146.02
ADOBE SYSTEMS INC CMN (00724F101)	08/16/2012	10/31/2013	7.00	379.68	0.00	233.14	146.54

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⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ³	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
EOG RESOURCES INC CMN (26875P101)	08/16/2012	11/01/2013	6.00	1,070.72	0.00	660.06	410.66
PERRIGO COMPANY CMN (714290103)	08/16/2012	12/19/2013	18.00	2,796.29	0.00	1,922.76	873.53
Net Covered Long-Term Gains (Losses)				7,774.46	0.00	5,922.88	1,851.58

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REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	391.89	Net Covered Long-Term Gains (Losses)	10,712.18	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	32.13	Net NonCovered Long-Term Gains (Losses)	74.04		
Net 1099B Non Reportable Short-Term Gains (Losses)	1.69	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	425.71	Total Long-Term Gains (Losses)	10,786.22	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁴	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
NU SKIN ENTERPRISES INC CMN CLASS A (67018T106)	08/16/2012	02/21/2013	46.00	1,821.26	0.00	1,835.27	(14.01)
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	08/16/2012	07/31/2013	16.00	432.40	0.00	338.08	94.32
ALLEGHANY CORP (DELAWARE) CMN (017175100)	08/16/2012	08/02/2013	1.00	411.64	0.00	336.03	75.61
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	08/16/2012	08/02/2013	14.00	376.04	0.00	295.82	80.22
MONTPELIER RE HOLDINGS LTD CMN (G62185106)	08/16/2012	08/05/2013	28.00	729.88	0.00	591.63	138.25
ALLIANT TECHSYSTEMS INC CMN (018804104)	07/31/2013	09/19/2013	3.00	294.87	0.00	277.37	17.50
Net Covered Short-Term Gains (Losses)				4,066.09	0.00	3,674.20	391.89

NonCovered Short-Term Gains (Losses)

CORRECTIONS CORP OF AMERICA CMN (Z2025Y407)	05/21/2013	05/21/2013	0.95	32.13	0.00	0.00	32.13
Net NonCovered Short-Term Gains (Losses)				32.13	0.00	0.00	32.13

1099B Non Reportable Short-Term Gains (Losses)

CORRECTIONS CORP OF AMERICA CMN (Z200PT994)	08/16/2012	05/02/2013	0.00	1.69	0.00	0.00	1.69
Net 1099B Non Reportable Short-Term Gains (Losses)				1.69	0.00	0.00	1.69

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
KAMIAN CORP CMN (483548103)	08/16/2012	08/23/2013	31.00	1,085.13	0.00	1,037.10	48.03
ADVENT SOFTWARE INC CMN (007974109)	08/16/2012	09/19/2013	19.00	806.48	0.00	311.93	294.50
ALEXANDER & BALDWIN, INC. CMN (014491104)	08/16/2012	09/19/2013	18.00	685.43	0.00	541.69	143.74
ALLEGHANY CORP (DELAWARE) CMN (017175100)	08/16/2012	09/19/2013	1.00	408.77	0.00	336.03	72.74
AMERICAN EAGLE OUTFITTERS INC (NEW) (025535109)	08/16/2012	09/19/2013	23.00	345.45	0.00	483.00	(137.55)
ATWOOD OCEANICS INC CMN (050095108)	08/16/2012	09/19/2013	22.00	1,249.24	0.00	1,015.15	234.09
CABELA'S INCORPORATED CMN CLASS A (126804301)	08/16/2012	09/19/2013	19.00	1,234.11	0.00	911.26	322.85
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK (198516106)	08/16/2012	09/19/2013	7.00	420.74	0.00	355.18	65.56
EATON VANCE CORP (NON-VTG) CMN (278265103)	08/16/2012	09/19/2013	52.00	2,123.97	0.00	1,427.29	696.68
ENERGIZER HOLDINGS, INC. CMN (292666106)	08/16/2012	09/19/2013	17.00	1,626.89	0.00	1,137.98	488.91
KAMIAN CORP CMN (483548103)	08/16/2012	09/19/2013	13.00	492.28	0.00	434.91	57.37
KRATON PERFORM INC POLYMERS INC. CMN (500770106)	08/16/2012	09/19/2013	100.00	1,968.70	0.00	2,170.99	(202.29)
MARTIN MARIETTA MATERIALS, INC CMN (573284106)	08/16/2012	09/19/2013	5.00	490.28	0.00	391.30	98.98
MATSON, INC CMN (576866105)	08/16/2012	09/19/2013	60.00	1,664.49	0.00	1,556.20	108.29
MBIA INC CMN (552620100)	08/16/2012	09/19/2013	59.00	690.98	0.00	633.07	57.91
MICREL INC CMN (594793101)	08/16/2012	09/19/2013	179.00	1,646.59	0.00	1,768.59	(122.00)
MONTPELLIER RE HOLDINGS LTD CMN (362185106)	08/16/2012	09/19/2013	21.00	552.50	0.00	443.72	108.78
NEWMARKET CORP CMN (651587107)	08/16/2012	09/19/2013	2.00	563.06	0.00	494.76	68.30
NU SKIN ENTERPRISES INC CMN CLASS A (670181105)	08/16/2012	09/19/2013	9.00	840.55	0.00	359.07	481.48
OLD DOMINION FIGHT LINES INC CMN (679580100)	08/16/2012	09/19/2013	45.00	2,074.32	0.00	1,369.04	705.28
PRICESMART INC CMN (741511109)	08/16/2012	09/19/2013	13.00	1,221.58	0.00	957.17	264.41
RITCHIE BROS. AUCTIONEERS INC CMN (767744106)	08/16/2012	09/19/2013	49.00	1,019.81	0.00	953.84	65.97
SERVICE CORP INTERNATL CMN (817565104)	08/16/2012	09/19/2013	70.00	1,307.62	0.00	907.92	399.70
TEJON RANCH CO CMN (879080109)	08/16/2012	09/19/2013	31.00	946.30	0.00	846.87	99.43
TENET HEALTHCARE CORP CMN (880330407)	08/16/2012	09/19/2013	25.00	1,076.98	0.00	531.89	545.09

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Tax Year Account No Legal Name
2013 [REDACTED] NEIL JANE WILLIAM ESTELLE

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^s	Total accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
TREDEGAR CORPORATION CMN (884650100)	08/16/2012	09/19/2013	86.00	1,697.17	0.00	950.61	746.56
VALUECLICK, INC. OPD CMN (92046N102)	08/16/2012	09/19/2013	130.00	2,765.44	0.00	2,014.39	751.05
WHITE MTNS INS GROUP LTD CMN (G9618E107)	08/16/2012	09/19/2013	2.00	1,147.27	0.00	1,041.09	106.18
WORLD FUEL SERVICES CORP CMN (981475106)	08/16/2012	09/19/2013	45.00	1,680.12	0.00	1,628.02	52.10
CABELA'S INCORPORATED CMN CLASS A (126804301)	08/16/2012	10/07/2013	3.00	185.00	0.00	143.88	41.12
ALLEGHANY CORP (DELAWARE) CMN (017175100)	08/16/2012	12/11/2013	3.00	1,178.73	0.00	1,008.09	170.64
ENERGIZER HOLDINGS, INC. CMN (29266R108)	08/16/2012	12/11/2013	10.00	1,101.25	0.00	669.40	431.85
NU SKIN ENTERPRISES INC CMN CLASS A (67018T105)	08/16/2012	12/11/2013	38.00	4,813.67	0.00	1,516.09	3,297.58
PRICESMART INC CMN (741511109)	08/16/2012	12/11/2013	2.00	236.62	0.00	147.26	89.36
EATON VANCE CORP (NON-VTG) CMN (278265103)	08/16/2012	12/13/2013	3.00	121.83	0.00	82.34	39.49
Net Covered Long-Term Gains (Losses)				41,289.35	0.00	30,577.17	10,712.18
NonCovered Long-Term Gains (Losses)							
WTS/TEJON RANCH CO 40.0000 EXP08/31/2016 (879080133)		08/29/2013	0.00	1.14	0.00	No Cost	1.14 ^a
CORRECTIONS CORP OF AMERICA CMN (22026Y407)	08/16/2012	09/19/2013	33.00	1,153.98	0.00	1,081.08	72.90
Net NonCovered Long-Term Gains (Losses)				1,155.12	0.00	1,081.08	74.04

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^s Sale Proceeds may have been adjusted by an option premium due to an option assignment.
^e Due to incomplete cost basis, gains may be incorrectly stated and should not be used for tax reporting purposes. Please consult with your tax advisor.



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Tax Year Account No Legal Name
2013 [REDACTED] NEIL JANE WILLIAM ESTELLE

MISCELLANEOUS INCOME AND EXPENSE (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

OTHER ITEMS

	Date	Quantity	Amount
MISCELLANEOUS EXPENSES			
MANAGEMENT AND INVESTMENT FEES			
XXX-XX990-3 Qtr 3 Inv Mgmt Fee 30-Sep-13 (911990257)	10/22/2013		(680.45)
TOTAL MANAGEMENT AND INVESTMENT FEES			(2,498.55)
ADR FEES PAID ³			
VODAFONE GROUP PLC SPONSORED ADR CMIN Qualified GS 1SOX42 FEE ON 347 SHRS @ 0.51803300 VODAFONE GROUP PLC SPONSORED (92857W209)	02/06/2013	347.00	(3.47)
VODAFONE GROUP PLC SPONSORED ADR CMIN Qualified GS 1SOX42 FEE ON 347 SHRS @ 1.06263500 VODAFONE GROUP PLC SPONSORED (92857W209)	08/07/2013	347.00	(6.94)
BAIDU, INC. SPONSORED ADR CMIN GS 4S7L47 FEE ON 42 SHRS @ 0.02000000 BAIDU, INC SPONSORED ADR CM (056752108)	11/26/2013	42.00	(0.84)
TOTAL ADR FEES PAID			(11.25)
TOTAL MISCELLANEOUS EXPENSES			(2,509.80)

³ Please refer to the Additional Information Statement reported to you separately from the official Form 1099 for fees associated with any Widely Held Fixed Income Investment Trusts (WHFITs) that you may have held during the applicable tax year



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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	725.41	Net Covered Long-Term Gains (Losses)	7,999.07	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	0.00	Net NonCovered Long-Term Gains (Losses)	0.00		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	725.41	Total Long-Term Gains (Losses)	7,999.07	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ²	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MC DONALDS CORP CMN (580135101)	10/04/2012	01/15/2013	45.00	4,114.16	0.00	4,096.80	17.36
LIFE TECHNOLOGIES CORPORATION CMN (53217V109)	10/04/2012	01/18/2013	33.00	2,006.01	0.00	1,665.34	340.67
LIFE TECHNOLOGIES CORPORATION CMN (53217V109)	10/04/2012	02/21/2013	83.00	4,797.13	0.00	4,188.59	608.54
BERKSHIRE HATHAWAY INC CLASS B (084670702)	10/04/2012	04/04/2013	17.00	1,777.41	0.00	1,537.14	240.27
HALLIBURTON COMPANY CMN (406216101)	10/04/2012	04/08/2013	44.00	1,687.73	0.00	1,490.28	197.45
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	10/04/2012	04/08/2013	56.00	3,990.95	0.00	3,509.52	481.43
BARRICK GOLD CORPORATION CMN (067901108)	10/04/2012	04/12/2013	224.00	5,072.74	0.00	9,430.40	(4,357.66)
THERMO FISHER SCIENTIFIC INC CMN (883556102)	10/04/2012	04/16/2013	18.00	1,461.16	0.00	1,083.96	377.20
SANOFI SPONSORED ADR CMN (80105N106)	10/04/2012	04/17/2013	25.00	1,312.45	0.00	1,105.25	207.20
CANADIAN PACIFIC RAILWAY LTD CMN (13645T100)	10/04/2012	04/18/2013	22.00	2,641.43	0.00	1,910.06	731.37
INTL BUSINESS MACHINES CORP CMN (459200101)	10/04/2012	05/13/2013	7.00	1,416.28	0.00	1,473.99	(57.71)
INTL BUSINESS MACHINES CORP CMN (459200101)	10/04/2012	05/23/2013	40.00	8,273.62	0.00	8,422.80	(149.18)
MC DONALDS CORP CMN (580135101)	10/04/2012	05/23/2013	55.00	5,566.52	0.00	5,007.20	559.32
MCKESSON CORPORATION CMN (58155Q103)	10/04/2012	06/11/2013	22.00	2,501.87	0.00	1,978.24	523.63
CVS CAREMARK CORPORATION CMN (126650100)	10/04/2012	06/21/2013	51.00	2,954.25	0.00	2,494.16	460.09
NCR CORPORATION CMN (62886E108)	11/02/2012	06/27/2013	82.00	2,707.58	0.00	1,864.09	843.49

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.



REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MARKET VECTORS ETF TRUST GOLD MINERS INDEX FD ETF FUND (57060U100)	10/04/2012	07/02/2013	84.00	1,981.71	0.00	4,546.08	(2,564.37)
COACH INC CMN (189754104)	04/04/2013	07/30/2013	102.00	5,429.03	0.00	5,169.89	259.14
BAIDJ, INC. SPONSORED ADR CMN (056752108)	05/13/2013	08/06/2013	16.00	2,158.15	0.00	1,497.48	660.67
LOCKHEED MARTIN CORPORATION CMN (539830109)	10/04/2012	09/18/2013	24.00	3,094.69	0.00	2,264.28	830.41
DOLLAR GENERAL CORPORATION CMN (256677105)	12/12/2012	10/09/2013	43.00	2,419.21	0.00	1,903.12	516.09
Net Covered Short-Term Gains (Losses)				67,364.08	0.00	66,638.67	725.41

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
LOCKHEED MARTIN CORPORATION CMN (539830109)	10/04/2012	10/07/2013	90.00	11,131.88	0.00	8,491.03	2,640.85
MCKESSON CORPORATION CMN (58155Q103)	10/04/2012	10/08/2013	16.00	2,155.36	0.00	1,438.72	716.64
BERKSHIRE HATHAWAY INC CLASS B (084670702)	10/04/2012	10/10/2013	49.00	5,590.35	0.00	4,430.58	1,159.78
VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)	10/04/2012	11/11/2013	347.00	12,581.10	0.00	10,017.89	2,563.21
ADVANCE AUTO PARTS, INC. CMN (00751Y106)	10/04/2012	12/20/2013	23.00	2,514.79	0.00	1,596.20	918.59
Net Covered Long-Term Gains (Losses)				33,973.49	0.00	25,974.42	7,999.07

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.

2013 SUMMARY AMENDMENT

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Amended Date: 4/11/14

Your Financial Advisor :

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37 HENRY STREET SUITE 201
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(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
ALLEGION PLC / G0176J109 12/01/13	0.1191	09/20/13	5.03	4.81	0.22 CASH IN LIEU
EATON CORP PLC / G291B3103 04/09/13	3.0000	12/03/12	180.90	155.45	25.45 SALE
04/23/13	3.0000	12/03/12	175.62	155.45	20.17 SALE
04/29/13	3.0000	12/03/12	183.33	155.45	27.88 SALE
TECK RESOURCES LTD / 878742204 CLASS B 04/09/13	7.0000	12/14/12	203.70	251.01	-47.31 SALE
04/29/13	3.0000	12/14/12	78.72	107.58	-28.86 SALE

TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES

827.30

829.75

-2.45

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
TRANSOCEAN LTD / H8817H100 ORDINARY SHARES	10.0000 35.0000	04/08/11 09/28/12	485.99 1,700.98	813.30 1,573.25	-327.31 127.73

2013 SUMMARY AMENDMENT

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Amended Date: 4/11/14

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
10/28/13	45.0000	Various	2,186.97	2,386.55	-199.58	SALE
TOTAL 2 LOTS						

TOTAL LONG TERM GAINS OR LOSSES FOR
COVERED SECURITIES

2,186.97 2,386.55 -199.58

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ALLEGION PLC / G0176J109 12/01/13	0.5476	Various	23.12	12.22	10.90	CASH IN LIEU

AXA-ADR / 054536107

04/02/13	9.0000	01/20/06	155.88	192.11	-36.23	SALE
04/09/13	6.0000	01/20/06	103.20	128.07	-24.87	SALE
04/23/13	7.0000	01/20/06	124.60	149.42	-24.82	SALE
04/29/13	4.0000	01/20/06	75.40	85.38	-9.98	SALE

BASF SE SPONSORED ADR / 055262505

04/02/13	3.0000	01/20/06	266.57	112.22	154.35	SALE
04/09/13	1.0000	01/20/06	87.56	37.41	50.15	SALE
04/23/13	3.0000	01/20/06	264.02	112.22	151.80	SALE
04/29/13	1.0000	01/20/06	92.57	37.41	55.16	SALE

BHP BILLITON LTD / 083606108

SPON ADR 04/09/13	11.0000	01/20/06	782.63	404.78	377.85	SALE
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2013 SUMMARY AMENDMENT

ADVISORS

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(518) 581-5040

Account Number:

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

Transactions from 5/1/2008 and Earlier Exchange Transactions for 2008						
8-Description / CUSIP				Supplemental Information		
1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
04/29/13	6.0000	01/20/06	403.62	220.79	182.83	SALE
BRITISH AMERN TOB PLC / 110448107						
SPON ADR						
04/09/13	4.0000	01/20/06	433.75	179.52	254.23	SALE
	1.0000	01/20/06	108.53	44.88	63.65	
	2.0000	09/25/07	217.08	140.14	76.94	
04/23/13	3.0000	Various	325.61	185.02	140.59	SALE
04/29/13	1.0000	09/25/07	111.55	70.07	41.48	SALE
BROOKFIELD ASSET MANGMNT / 112585104						
CLASS A						
04/02/13	17.0000	01/20/06	625.08	384.51	240.57	SALE
04/09/13	3.0000	01/20/06	111.81	67.86	43.95	SALE
04/23/13	2.0000	01/20/06	73.56	45.24	28.32	SALE
CANADIAN NATL RY CO / 136375102						
03/04/13	5.0000	01/20/06	513.44	200.70	312.74	SALE
04/09/13	4.0000	01/20/06	384.97	160.56	224.41	SALE
04/23/13	3.0000	01/20/06	283.22	120.42	162.80	SALE
04/29/13	2.0000	01/20/06	194.03	80.28	113.75	SALE
CANADIAN NATURAL / 136385101						
RESOURCES LTD						
04/02/13	4.0000	01/20/06	128.48	115.60	12.88	SALE
04/09/13	7.0000	01/20/06	219.66	202.30	17.36	SALE
04/29/13	7.0000	01/20/06	208.95	202.30	6.65	SALE
					TOTAL 2 LOTS	

2013 SUMMARY AMENDMENT

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Account Number: [REDACTED]

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
CANADIAN PACIFIC RAILWAY / 13645T100 LTD	10.0000	01/20/06	1,251.27	436.70	814.57	
	5.0000	06/23/08	625.64	333.54	292.10	
03/04/13	15.0000	Various	1,876.91	770.24	1,106.67	SALE
03/08/13	5.0000	06/24/08	633.33	336.68	296.65	SALE
03/28/13	7.0000	06/24/08	908.92	471.35	437.57	SALE
04/09/13	1.0000	06/24/08	122.05	67.34	54.71	SALE
04/23/13	2.0000	06/24/08	243.95	134.67	109.28	SALE
04/29/13	2.0000	06/24/08	249.91	134.67	115.24	SALE
CORE LABORATORIES INC / N22717107						
03/08/13	5.0000	10/12/09	673.78	266.57	407.21	SALE
04/09/13	2.0000	10/12/09	269.43	106.63	162.80	SALE
04/23/13	1.0000	10/12/09	144.51	53.32	91.19	SALE
DIAGEO PLC / 25243Q205 SPONSORED ADR NEW						
04/09/13	2.0000	12/27/06	241.55	158.63	82.92	SALE
04/23/13	3.0000	12/27/06	368.45	237.94	130.51	SALE
ENSIGN ENERGY SERVICE / 293570107 INC						
04/02/13	9.0000	01/20/06	152.30	173.24	-20.94	SALE
04/23/13	5.0000	01/20/06	82.71	96.25	-13.54	SALE

TOTAL 2 LOTS



2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013 continued

Supplemental Information			
8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*
1a-Date of Sale or Exchange	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
INGERSOLL-RAND PLC / G47791101 SHARES			
03/04/13	5.0000	01/20/06	260.94
		188.45	72.49 SALE
04/02/13	14.0000	01/20/06	763.04
		527.66	235.38 SALE
04/09/13	5.0000	01/20/06	275.41
		188.45	86.96 SALE
04/23/13	2.0000	01/20/06	106.22
		75.38	30.84 SALE
04/29/13	3.0000	01/20/06	163.20
		113.07	50.13 SALE
MANULIFE FINL CORP / 56501R106			
04/02/13	27.0000	01/20/06	398.65
		485.33	-86.68 SALE
04/23/13	9.0000	01/20/06	126.09
		161.78	-35.69 SALE
	4.0000	01/20/06	58.08
		71.89	-13.81
	1.0000	10/12/09	14.52
		17.98	-3.46
04/29/13	5.0000	Various	72.60
		89.87	-17.27 SALE
TOTAL 2 LOTS			
NABORS INDUSTRIES LTD / G6359F103			
03/04/13	25.0000	01/20/06	402.62
		764.50 ✓	-361.88 SALE
04/02/13	10.0000	01/20/06	155.70
		305.80	-150.10 SALE
04/02/13	3.0000	01/20/06	46.83
		91.74	-44.91 SALE
04/09/13	41.0000	01/20/06	659.68
		1,253.78	-594.10 SALE
04/23/13	7.0000	01/20/06	106.54
		214.06	-107.52 SALE
	34.0000	01/20/06	496.64
		1,039.72	-543.08
	111.0000	10/12/09	1,621.39
		2,454.52	-833.13
07/12/13	145.0000	Various	2,118.03
		3,494.24	-1,376.21 SALE
TOTAL 2 LOTS			

2013 SUMMARY AMENDMENT

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Amended Date: 4/11/14

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Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

Supplemental Information				
Gain or Loss				Additional Information
3-Cost or Other Basis	Amount			
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*
NESTLE S A REG ADR / 641069406				
	04/02/13	13.0000	01/20/06	955.10
	04/09/13	5.0000	01/20/06	360.45
	04/23/13	3.0000	01/20/06	211.38
	04/29/13	2.0000	01/20/06	142.26
NOBLE CORP BAAR / H5833N103				
NAMEN-AKT				
	04/02/13	11.0000	01/20/06	410.77
	04/09/13	15.0000	01/20/06	564.01
	04/23/13	9.0000	01/20/06	330.66
	04/29/13	5.0000	01/20/06	186.65
NOVARTIS AG / 66987V109				
SPON ADR				
	03/04/13	5.0000	01/20/06	344.36
	04/02/13	11.0000	01/20/06	783.84
	04/09/13	3.0000	01/20/06	214.77
	04/23/13	3.0000	01/20/06	220.50
	04/29/13	1.0000	01/20/06	73.74
PARTNERRE LTD / G6852T105				
	03/08/13	5.0000	01/20/06	453.93
	04/09/13	3.0000	01/20/06	276.32
	04/23/13	1.0000	01/20/06	93.03



2013 SUMMARY AMENDMENT

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Amended Date: 4/11/14

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
POTASH CORP OF / 73755L107						
SASKATCHEWAN INC						
04/09/13	14.0000	01/20/06	558.79	124.80	433.99	SALE
04/23/13	6.0000	01/20/06	231.83	53.49	178.34	SALE
04/29/13	10.0000	01/20/06	413.51	89.15	324.36	SALE
RIO TINTO PLC / 767204100						
SPONSORED ADR						
04/09/13	17.0000	01/20/06	826.18	856.04	-29.86	SALE
04/29/13	6.0000	01/20/06	276.18	302.13	-25.95	SALE
SCHLUMBERGER LTD / 806857108						
03/03/13	10.0000	01/20/06	775.86	602.20	173.68	SALE
04/09/13	6.0000	01/20/06	453.11	361.32	91.79	SALE
04/23/13	2.0000	01/20/06	142.92	120.44	22.48	SALE
04/29/13	4.0000	01/20/06	295.27	240.88	54.39	SALE
SUNCOR ENERGY INC NEW / 857224107						
04/09/13	8.0000	01/20/06	233.63	288.04	-54.41	SALE
04/23/13	8.0000	01/20/06	223.67	288.04	-64.37	SALE
04/29/13	12.0000	01/20/06	350.65	432.06	-81.41	SALE
TALISMAN ENERGY INC / 87425E103						
04/09/13	6.0000	01/20/06	71.83	120.75	-48.92	SALE
04/29/13	8.0000	01/20/06	94.24	160.99	-66.75	SALE

2013 SUMMARY AMENDMENT

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461 NOTT ST
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Account Number [REDACTED]

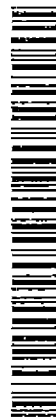
Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
TENARIS S A / 88031M109 SPONSORED ADR						
04/02/13	13.0000	01/20/06	529.61	385.56	144.05	SALE
04/09/13	12.0000	01/20/06	490.79	355.90	134.89	SALE
04/23/13	11.0000	01/20/06	459.02	326.24	132.78	SALE
04/29/13	7.0000	01/20/06	305.54	207.61	97.93	SALE
TRANSOCEAN LTD / H8817H100 ORDINARY SHARES						
03/04/13	5.0000	01/20/06	261.49	393.89 ✓	-132.40	SALE
04/02/13	9.0000	01/20/06	456.02	709.00	-252.98	SALE
04/09/13	12.0000	01/20/06	615.59	945.32	-329.73	SALE
04/23/13	4.0000	01/20/06	196.88	315.11	-118.23	SALE
04/29/13	7.0000	01/20/06	359.72	551.44	-191.72	SALE
	11.0000	01/20/06	498.12	866.53	-368.41	
	29.0000	01/20/06	1,313.23	2,284.54	-971.31	
09/20/13	40.0000	01/20/06	1,811.35	3,151.07	-1,339.72	SALE
10/28/13	13.0000	01/20/06	631.78	1,024.09	-392.31	SALE
						TOTAL 2 LOTS
UBS AG-REG / H59231338						
03/08/13	15.0000	01/20/06	237.44	268.68 ✓	-31.24	SALE
04/02/13	22.0000	01/20/06	340.87	394.07	-53.20	SALE
	5.0000	01/20/06	77.25	89.55	-12.30	
	8.0000	10/12/09	123.60	143.30	-19.70	
04/09/13	13.0000	Various	200.85	232.85	-32.00	SALE
						TOTAL 2 LOTS



2013 SUMMARY AMENDMENT

Page 13 of 19

Amended Date: 4/11/14

ADVISORS

Your Financial Advisor :
 BENJAMIN CHUCKROW
 37 HENRY STREET SUITE 201
 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number [REDACTED]

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
04/23/13	10/12/09	18.0000	291.32	322.41	-31.09	SALE
04/29/13	10/12/09	6.0000	99.90	107.47	-7.57	SALE
UNILEVER N V / 904784709						
03/04/13	12/21/07	10.0000	394.24	355.68	38.56	SALE
04/02/13	12/21/07	16.0000	665.91	569.08	96.83	SALE
04/09/13	12/21/07	5.0000	203.95	177.84	26.11	SALE
04/23/13	12/21/07	7.0000	293.64	248.98	44.66	SALE
04/29/13	12/21/07	3.0000	127.20	106.71	20.49	SALE
VALE S A ADR / 91912E105						
04/09/13	01/20/06	48.0000	876.72	564.51	312.21	SALE
04/29/13	01/20/06	10.0000	167.12	117.61	49.51	SALE
WEATHERFORD / H27013103 INTERNATIONAL LTD REG						
04/02/13	01/20/06	3.0000	37.02	65.60	-28.58	SALE
04/09/13	01/20/06	42.0000	521.63	918.39	-396.76	SALE
04/23/13	01/20/06	33.0000	407.69	721.59	-313.90	SALE
04/29/13	01/20/06	16.0000	200.48	349.87	-149.39	SALE
YARA INTERNATIONAL ASA / 984851204						
04/02/13	01/20/06	5.0000	227.74	72.00	155.74	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					39,597.60	36,665.89
					2,931.71	

2013 SUMMARY AMENDMENT

Page 14 of 19

Amended Date: 4/11/14

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PARTNERSHIP DISPOSITIONS FOR NONCOVERED SECURITIES
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
BROOKFIELD PPTY LP / G16249107						
PARTNERS						
04/15/13	0.7220	04/15/13	15.62	15.75	-0.13	CASH IN LIEU
04/17/13	1.0000	04/15/13	22.06	21.82	0.24	SALE
TOTAL PARTNERSHIP DISPOSITIONS			37.68	37.57	0.11	

*Box 2a Sales price less commissions and option premiums



2013 ENHANCED SUMMARY

Page 5 of 46

As of Date: 2/07/14

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Year-End Account Summary

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For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AEON COMPANY LTD. / 007627102 UNSPON ADR 05/09/13	11.0000	06/11/12	142.43	130.60	11.83	SALE
	14.0000	06/11/12	179.96	166.21	13.75	
	9.0000	06/12/12	115.69	106.56	9.13	
05/10/13	23.0000	Various	295.65	272.77	22.88	SALE
05/16/13	25.0000	06/12/12	319.61	296.00	23.61	SALE
	12.0000	06/12/12	151.11	142.08	9.03	
	40.0000	09/28/12	503.74	459.16	44.58	
05/20/13	52.0000	Various	654.85	601.24	53.61	SALE
ARGO GROUP INTL / G0464B107 11/13/13	25.0000	10/21/13	1,068.65	1,088.25	-19.60	SALE
ASTRAZENECA PLC / 046353108 SPON ADR 10/21/13	6.0000	02/13/13	307.92	276.06	31.86	SALE
BAE SYSTEMS PLACE / 05523R107 10/21/13	6.0000	02/13/13	176.88	124.56	52.32	SALE
BANCO BRADESCO SA / 059460303 SPONS ADR 10/21/13	48.0000	08/30/13	724.99	556.69	168.30	SALE

TOTAL 2 LOTS

TOTAL 2 LOTS

2013 ENHANCED SUMMARY

Page 6 of 46

As of Date: 2/07/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

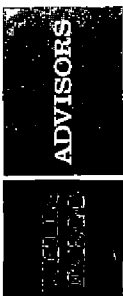
continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
BARCLAYS PLC ADR / 06739E204						
02/13/13	11.0000	09/12/12	223.73	152.27	71.46	SALE
10/21/13	13.0000	10/04/13	230.10	156.06	74.04	SALE
CARLSBERG AS-B / 142795202						
SPONSORED ADR						
03/04/13	8.0000	08/06/12	166.80	136.63	30.17	SALE
CHINA CONSTRUCTION BANK / 168919108						
CORP - UNSPON ADR						
	40.0000	07/25/13	621.98	595.88	26.10	
	90.0000	07/26/13	1,399.48	1,343.84	55.64	
10/21/13	130.0000	Various	2,021.46	1,939.72	81.74	SALE
					TOTAL 2 LOTS	
CHINA PETROLEUM & CHEM / 16941R108						
CORP						
07/01/13	0.1000	07/01/13	6.80	0.01	6.79	CASH IN LIEU
COMPANHIA PARANAENSE DE / 20441B407						
ENERGIA-COPEL SPONSORED						
ADR REPSTG PFD SHS						
10/21/13	19.0000	12/12/12	269.04	281.90	-12.86	SALE
DEUTSCHE BOERSE AG-UNSPO / 251542106						
ADR						
02/13/13	39.0000	03/27/12	252.75	264.47	-11.72	SALE
	13.0000	03/27/12	83.34	88.15	-4.81	
	4.0000	03/29/12	25.65	26.16	-0.51	
03/08/13	17.0000	Various	108.99	114.31	-5.32	SALE
					TOTAL 2 LOTS	



2013 ENHANCED SUMMARY

As of Date: 2/07/14



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 461 NOTT ST
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Account Number [REDACTED]

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ENSCO PLC / G3157S106 CLASS A	11.0000	05/17/13	610.16	679.36	-69.20	
	4.0000	05/28/13	221.88	250.04	-28.16	
	15.0000	Various	832.04	929.40	-97.36	SALE
					TOTAL 2 LOTS	
FUJI HEAVY INDS LTD ADR / 359556206 04/09/13	14.0000	09/28/12	439.77	235.62	204.15	SALE
GOLDEN AGRI-RESOURCES / 380787200 LTD-UNSPON ADR	5.0000	11/01/12	214.90	251.47	-36.57	
	16.0000	11/05/12	687.68	811.25	-123.57	
	21.0000	02/14/13	902.59	1,102.43	-199.84	
	42.0000	Various	1,805.17	2,165.15	-359.98	SALE
					TOTAL 3 LOTS	
HSBC HOLDINGS PLC-SPON / 404280406 ADR 03/08/13	3.0000	09/14/12	164.52	142.21	22.31	SALE
IMPERIAL TOB GROUP PLC / 453142101 SPONSORED ADR 10/21/13	4.0000	02/13/13	295.36	288.80	6.56	SALE
ISRAEL CHEMICALS-UNSPON / 465036200 ADR	10.0000	06/07/12	132.10	105.70	26.40	
	3.0000	06/13/12	39.64	30.94	8.70	
	13.0000	Various	171.74	136.64	35.10	SALE
					TOTAL 2 LOTS	
ISUZU MOTORS LTD ADR / 465254209 10/21/13	31.0000	08/29/13	2,058.05	1,870.61	187.44	SALE

2013 ENHANCED SUMMARY

Page 8 of 46

As of Date: 2/07/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
KDDI CORP-UNSPONSORED / 48667L106 ADR	20 0000	12/12/12	268.76	177.00	91.76	SALE
LEAR CORP / 521865204 12/04/13	5.0000	10/21/13	409.99	376.55	33.44	SALE
ORANGE / 684060106 SPONSORED ADR	19 0000	12/12/12	271.32	212.34	58.98	TOTAL 2 LOTS
	20 0000	02/13/13	285.60	209.80	75.80	
10/21/13	39.0000	Various	556.92	422.14	134.78	
PETROLEO BRASILEIRO - / 71654V408 SPON ADR - PETROBRAS	11.0000	12/12/12	157.22	211.71	-54.49	TOTAL 2 LOTS
	14.0000	02/13/13	200.12	225.26	-25.14	
07/24/13	25.0000	Various	357.34	436.97	-79.63	
PTT EXPLORATION & PR / 69364V106 SPONSORED ADR	5.0000	07/25/13	52.63	52.20	0.43	SALE
09/09/13	5 0000	07/25/13	52.50	52.20	0.30	SALE
09/11/13	5 0000	07/25/13	52.26	52.20	0.06	SALE
09/12/13	5.0000	07/25/13	53.27	52.20	1.07	TOTAL 2 LOTS
	10.0000	07/26/13	106.56	104.39	2.17	
09/16/13	15.0000	Various	159.83	156.59	3.24	
09/17/13	20.0000	07/26/13	214.00	208.76	5.24	SALE
09/24/13	10.0000	07/26/13	106.00	104.38	1.62	SALE



2013 ENHANCED SUMMARY

Page 9 of 46

As of Date: 2/07/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/01/13	31.0000	07/26/13	327.04	323.57	3.47	SALE
ROYAL DUTCH SHELL PLC / 780259206						
ADR CL A						
10/21/13	5.0000	02/13/13	335.30	334.00	1.30	SALE
SAGE GROUP PLC ADR / 78663S201						
ADR NEW						
06/17/13	0.4815	06/17/13	10.22	0.01	10.21	CASH IN LIEU
SASOL LTD SPONS ADR / 803866300						
10/21/13	4.0000	12/12/12	207.64	170.84	36.80	SALE
SBERBANK RUSSIA / 80585Y308						
SPONSORED ADR						
10/21/13	25.0000	06/27/13	329.99	284.03	45.96	TOTAL 2 LOTS
	52.0000	07/01/13	686.39	604.33	82.06	
	77.0000	Various	1,016.38	888.36	128.02	
10/21/13						SALE
SEADRILL LTD / G7945E105						
05/03/13	5.0000	02/13/13	194.64	190.10	4.54	SALE
SEGA SAMMY HOLDING INC / 815794102						
SPONS ADR						
02/26/13	30.0000	04/02/12	134.70	158.16	-23.46	SALE
03/04/13	22.0000	04/02/12	108.46	115.98	-7.52	SALE
10/21/13	40.0000	02/13/13	280.43	166.40	114.03	SALE
SIEMENS A G - ADR / 826197501						
03/08/13	1.0000	07/25/12	107.66	82.32	25.34	SALE

2013 ENHANCED SUMMARY

Page 10 of 46

As of Date: 2/07/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
04/09/13	1.0000	07/25/12	104.95	82.32	22.63	SALE
TELENOR ASA-ADS / 87944W105						
10/21/13	14.0000	05/06/13	1,066.37	953.85	112.52	SALE
TELSTRA CORPORATION LTD / 87969N204						
SPON ADR						
07/25/13	7.0000	09/28/12	158.65	142.02	16.63	SALE
TESCO PLC SPON ADR / 881575302						
10/21/13	55.0000	05/08/13	993.33	967.88	25.45	
	60.0000	05/16/13	1,083.65	1,038.58	45.07	
	115.0000	Various	2,076.98	2,006.46	70.52	SALE
						TOTAL 2 LOTS
TEVA PHARMACEUTICAL / 881624209						
ADR INDS LTD						
10/21/13	7.0000	02/13/13	283.22	271.46	11.76	SALE
UNITED OVERSEAS BK LTD / 911271302						
SPONSORED ADR						
10/21/13	5.0000	02/13/13	167.15	157.55	9.60	SALE
VOLKSWAGEN AG-SPONS ADR / 923662402						
PFD						
04/09/13	3.0000	04/03/13	118.11	121.13	-3.02	SALE
	22.0000	04/03/13	1,065.00	888.22	176.78	
	27.0000	04/05/13	1,307.05	1,085.81	221.24	
	49.0000	Various	2,372.05	1,974.03	398.02	SALE
						TOTAL 2 LOTS



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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS FOR 2013						
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
WOORI FINANCE HOLDINGS / 981063100						
ADR						
07/26/13		7.0000	09/28/12	214.75	206.71	8.04 SALE
YAMANA GOLD INC / 98462Y100						
		9.0000	12/12/12	85.44	161.05	-75.61
		20.0000	02/13/13	189.87	317.60	-127.73
		29.0000	Various	275.31	478.65	-203.34 SALE
10/21/13						
TOTAL 2 LOTS						
YANZHOU COAL MNG LTD / 984646105						
SPONSORED ADR REPSTG						
H SHS						
		7.0000	07/12/12	50.83	102.62	-51.79
		9.0000	09/28/12	65.37	134.64	-69.27
		16.0000	Various	116.20	237.26	-121.06 SALE
07/12/13						
TOTAL 2 LOTS						
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES						
				24,643.74	23,260.14	1,383.60
SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)						
Proceeds from Broker and Barter Exchange Transactions for 2013						
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
BANCO BRADESCO SA / 059460303						
SPONS ADR						
04/02/13		0.6000	04/02/13	10.02	0.00	10.02 CASH IN LIEU
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						
				10.02	0.00	10.02

2013 ENHANCED SUMMARY

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

Supplemental Information						
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AEON COMPANY LTD- / 007627102						
UNSPON ADR						
04/09/13	35.0000	03/28/12	449.08	454.37	-5.29	SALE
05/08/13	25.0000	04/02/12	329.14	327.57	1.57	SALE
05/09/13	14.0000	04/02/12	181.26	183.43	-2.17	SALE
AGRIUM INC / 008916108						
10/21/13	7.0000	04/12/11	610.95	625.24	-14.29	SALE
ASTRAZENECA PLC / 046353108						
SPON ADR						
10/21/13	19.0000	04/12/11	975.06	929.29	45.77	TOTAL 3 LOTS
	5.0000	05/25/12	256.59	206.50	50.09	
	7.0000	09/28/12	359.24	335.16	24.08	
	31.0000	Various	1,590.89	1,470.95	119.94	SALE
AUSTRALIA & NEW / 052528304						
ZEALAND BANKING						
GROUP SPONSORED ADR						
10/21/13	21.0000	04/12/11	651.41	531.30	120.11	TOTAL 3 LOTS
	12.0000	08/08/11	372.24	224.76	147.48	
	5.0000	09/28/12	155.10	129.00	26.10	
	38.0000	Various	1,178.75	885.06	293.69	SALE
AXIS CAPITAL HLDGS LTD / G0692U109						
10/21/13	18.0000	04/12/11	844.18	650.70	193.48	TOTAL 4 LOTS
	8.0000	10/14/11	375.20	208.73	166.47	
	3.0000	07/12/12	140.70	98.97	41.73	
	6.0000	09/28/12	281.40	209.58	71.82	TOTAL 4 LOTS
	35.0000	Various	1,641.48	1,167.98	473.50	



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

ADVISORS

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor :
BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number [REDACTED]

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

Proceeds from Broker and Barter Exchange Transactions for 2013						
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
BAE SYSTEMS PLACE / 05523R107	27.0000	04/12/11	795.94	591.30	204.64	
	10.0000	08/08/11	294.80	166.80	128.00	
	6.0000	09/28/12	176.88	126.48	50.40	
10/21/13	43.0000	Various	1,267.62	884.58	383.04	SALE
					TOTAL 3 LOTS	
BANCO BRADESCO SA / 059460303 SPONS ADR	35.0345	04/12/11	529.15	650.88	-121.73	
	12.0431	05/25/12	181.89	159.01	22.88	
	14.2328	09/28/12	214.97	207.61	7.36	
10/21/13	61.3104	Various	926.01	1,017.50	-91.49	SALE
					TOTAL 3 LOTS	
BARCLAYS PLC ADR / 06738E204	8.0000	09/12/12	141.59	110.74	30.85	
	34.0000	09/14/12	601.79	504.39	97.40	
	11.0000	09/28/12	194.70	152.68	42.02	
10/21/13	53.0000	Various	938.08	767.81	170.27	SALE
					TOTAL 3 LOTS	
CAPGEMINI SA - ADR / 13909S107 03/04/13	5.0000	11/09/11	121.00	93.88	27.12	SALE
	9.0000	11/09/11	298.79	168.97	129.82	
	25.0000	11/11/11	829.99	492.60	337.39	
	8.0000	07/12/12	265.60	128.71	136.89	
10/21/13	42.0000	Various	1,394.38	790.28	604.10	SALE
					TOTAL 3 LOTS	
CARLSBERG AS-B / 14279S202 SPONSORED ADR	7.0000	08/06/12	149.37	119.55	29.82	
	28.0000	08/07/12	597.51	481.62	115.89	
	30.0000	08/14/12	640.19	508.04	132.15	

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/21/13	28.0000	08/16/12	597.51	476.15	121.36	
	8.0000	09/28/12	170.72	143.20	27.52	
	101.0000	Various	2,155.30	1,728.56	426.74	SALE
						TOTAL 5 LOTS
CHINA PETROLEUM & CHEM / 16941R108 CORP						
03/04/13	1.0000	02/22/11	114.32	101.72	12.60	SALE
04/09/13	1.0000	02/22/11	113.57	101.72	11.85	SALE
	1.2941	02/22/11	104.05	101.71	2.34	
	2.5882	04/12/11	208.11	207.64	0.47	
	14.2353	08/06/12	1,144.65	1,027.67	116.98	
	3.8824	09/28/12	312.18	276.99	35.19	
10/21/13	22.0000	Various	1,768.99	1,614.01	154.98	SALE
						TOTAL 4 LOTS
CIA SANEAMIENTO BASICO / 20441A102 DE-ADR						
	102.0000	04/12/11	1,128.10	988.72	139.38	
	18.0000	09/28/12	199.08	242.58	-43.50	
10/21/13	120.0000	Various	1,327.18	1,231.30	95.88	SALE
						TOTAL 2 LOTS
COMPANHIA PARANAENSE DE / 20441B407 ENERGIA-COPEL SPONSORED ADR REPSTG PFD SHS						
	25.0000	04/12/11	353.99	706.25	-352.26	
	5.0000	08/08/11	70.80	112.70	-41.90	
	18.0000	10/14/11	254.88	350.38	-95.50	
	7.0000	07/12/12	99.12	145.11	-45.99	
10/21/13	55.0000	Various	778.79	1,314.44	-535.65	SALE
						TOTAL 4 LOTS



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1612

Account Number: [REDACTED]

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
DEUTSCHE BOERSE AG-UNSPD / 251542106 ADR	36.0000	03/29/12	285.87	235.35	50.52	
	35.0000	03/30/12	277.92	233.82	44.10	
	32.0000	05/25/12	254.10	152.96	101.14	
	46.0000	06/06/12	365.28	217.70	147.58	
	139.0000	06/08/12	1,103.79	670.55	433.24	
	40.0000	09/28/12	317.64	221.96	95.68	
10/21/13	328.0000	Various	2,604.60	1,732.34	872.26	SALE TOTAL 6 LOTS
DIAGEO PLC / 25243Q205 SPONSORED ORD NEW	1.0000	04/12/11	120.36	77.21	43.15	SALE
04/09/13	7.0000	04/12/11	905.08	540.47	364.61	
	1.0000	09/28/12	129.30	112.67	16.63	
10/21/13	8.0000	Various	1,034.38	653.14	381.24	SALE TOTAL 2 LOTS
FRANCE TELECOM / 35177Q105 SPON ADR	11.0000	04/12/11	118.22	249.92	-131.70	SALE
05/13/13	25.0000	04/12/11	267.74	566.00	-300.26	
	28.0000	02/03/12	299.88	427.41	-127.53	
05/14/13	53.0000	Various	567.62	995.41	-427.79	SALE TOTAL 2 LOTS
FUJII HEAVY INDS LTD ADR / 359556206	5.0000	04/12/11	139.29	63.91	75.38	
	14.0000	06/24/11	390.04	212.62	177.42	
02/13/13	19.0000	Various	529.33	276.53	252.80	SALE TOTAL 2 LOTS
02/26/13	8.0000	06/24/11	236.79	121.50	115.29	SALE

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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(518) 581-5040

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WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number [REDACTED]

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
03/08/13	7.0000	06/24/11	225.53	106.31	119.22	SALE
04/01/13	30.0000	06/24/11	903.57	455.58	447.99	SALE
04/03/13	1.0000	06/24/11	30.50	15.18	15.32	SALE
04/09/13	20.0000	10/14/11	628.24	244.80	383.44	SALE
HANG SENG BANK LTD / 41043C304 SPONSORED ADR						
10/21/13	21.0000	02/02/12	345.44	271.98	73.46	TOTAL 2 LOTS
	44.0000	02/14/12	723.79	570.49	153.30	
	65.0000	Various	1,069.23	842.47	226.76	
HITACHI LTD ADR 10 COM / 433578507						
04/09/13	5.0000	04/28/11	287.70	266.69	21.01	SALE
	24.0000	04/28/11	1,514.87	1,280.06	234.81	TOTAL 3 LOTS
	2.0000	10/14/11	126.24	103.63	22.61	
	7.0000	09/28/12	441.84	389.12	52.72	
10/21/13	33.0000	Various	2,082.95	1,772.81	310.14	SALE
HSBC HOLDINGS PLC-SPON / 404280406 ADR						
10/21/13	34.0000	09/14/12	1,894.10	1,611.71	282.39	TOTAL 2 LOTS
	4.0000	10/09/12	222.84	189.47	33.37	
	38.0000	Various	2,116.94	1,801.18	315.76	
IMPERIAL TOB GROUP PLC / 453142101 SPONSORED ADR						
03/04/13	2.0000	05/04/11	146.70	143.61	3.09	SALE
04/09/13	2.0000	05/04/11	140.56	143.61	-3.05	SALE
	17.0000	05/05/11	1,255.26	1,213.35	41.91	



2013 ENHANCED SUMMARY

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 461 NOTT ST
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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/21/13	6.0000	09/28/12	443.03	444.84	-1.81	TOTAL 2 LOTS
ISRAEL CHEMICALS-UNSPON / 465036200 ADR	23.0000	Various	1,698.29	1,658.19	40.10	SALE
	17.0000	06/13/12	144.17	175.29	-31.12	
	54.0000	06/14/12	457.97	541.64	-83.67	
10/21/13	71.0000	Various	602.14	716.93	-114.79	SALE
						TOTAL 2 LOTS
KDDI CORP-UNSPONSORED / 48667L106 ADR	10.0000	01/25/11	189.90	144.48	45.42	SALE
	6.0000	01/25/11	127.07	86.68	40.39	
	14.0000	04/12/11	296.52	209.41	87.11	
04/09/13	20.0000	Various	423.59	296.09	127.50	SALE
	12.0000	04/12/11	161.25	89.74	71.51	
	80.0000	02/16/12	1,075.02	646.11	428.91	
	58.0000	02/17/12	779.39	472.46	306.93	
	14.0000	02/28/12	188.13	111.00	77.13	
10/21/13	164.0000	Various	2,203.79	1,319.31	884.48	SALE
						TOTAL 4 LOTS
KOC HOLDING AS-UNSPON / 49989A109 ADR	7.0000	03/11/11	200.56	147.01	53.55	SALE
03/08/13	16.2340	03/11/11	405.84	340.93	64.91	
	5.2128	04/12/11	130.31	134.15	-3.84	
	13.5532	03/08/11	338.83	218.01	120.82	
10/21/13	35.0000	Various	874.98	693.09	181.89	SALE
						TOTAL 3 LOTS

2013 ENHANCED SUMMARY

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
KOMATSU LTD / 500458401 SPON ADR NEW 04/09/13	7.0000	01/12/12	166.40	171.85	-5.45	SALE
	23.0000	01/12/12	562.56	564.64	-2.08	
	16.0000	09/28/12	391.36	313.10	78.26	
	39.0000	Various	953.92	877.74	76.18	SALE
						TOTAL 2 LOTS
MAGNA INTL INC CL A / 559222401 02/13/13	4.0000	01/12/12	216.73	155.23	61.50	SALE
03/08/13	2.0000	01/12/12	111.44	77.62	33.82	SALE
04/09/13	2.0000	01/12/12	114.22	77.61	36.61	SALE
	12.0000	01/12/12	1,019.68	465.66	554.02	
	4.0000	09/28/12	339.90	173.08	166.82	
10/21/13	16.0000	Various	1,359.58	638.74	720.84	SALE
						TOTAL 2 LOTS
MANULIFE FINL CORP / 56501R106 02/13/13	16.0000	06/29/11	244.79	271.64	-26.85	SALE
	30.0000	06/29/11	529.49	509.32	20.17	
	2.0000	08/08/11	35.30	26.70	8.60	
07/18/13	32.0000	Various	564.79	535.02	29.77	SALE
	6.0000	08/08/11	105.24	77.10	28.14	
	74.0000	03/27/12	1,298.01	1,033.14	264.87	
	15.0000	05/25/12	263.11	163.46	99.65	
	18.0000	09/28/12	315.74	216.00	99.74	
10/21/13	113.0000	Various	1,982.10	1,489.70	492.40	SALE
						TOTAL 4 LOTS
MARKS & SPENCER PLC-ADR / 570912105 02/13/13	27.0000	04/12/11	425.25	315.90	109.35	



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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461 NOTT ST
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Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/21/13	10.0000	07/12/12	157.50	96.70	60.80	TOTAL 2 LOTS
MITSUBI & CO LTD ADR / 606827202	37.0000	Various	582.75	412.60	170.15	SALE
10/21/13	1.0000	04/12/11	290.74	347.94	-57.20	TOTAL 2 LOTS
	2.0000	09/28/12	581.50	560.80	20.70	
	3.0000	Various	872.24	908.74	-36.50	SALE
MIZUHO FINANCIAL GRP ADR / 60687Y109	174.0000	05/10/11	795.16	583.07	212.09	SALE
02/13/13	42.0000	05/10/11	180.60	140.75	39.85	SALE
04/09/13	94.0000	05/10/11	401.38	314.98	86.40	
	241.0000	05/11/11	1,029.07	815.79	213.28	
	111.0000	09/28/12	473.98	355.80	118.18	
10/21/13	446.0000	Various	1,904.43	1,486.57	417.86	SALE
NITTO DENKO CORP ADR / 654802206	24.0000	04/12/11	696.73	589.56	107.17	
	8.0000	09/28/12	232.25	188.79	43.46	
10/21/13	32.0000	Various	928.98	778.35	150.63	SALE
ORANGE / 684060106 SPONSORED ADR	17.0000	02/03/12	242.75	259.49	-16.74	
	8.0000	05/25/12	114.24	103.66	10.58	
	29.0000	09/28/12	414.12	353.80	60.32	
10/21/13	54.0000	Various	771.11	716.95	54.16	SALE
PETROLEO BRASILEIRO - / 71654V408 SPON ADR - PETROBRAS	7.0000	04/12/11	100.04	269.92	-169.88	

2013 ENHANCED SUMMARY

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continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/24/13	6.0000	08/08/11	85.75	161.34	-75.59	
	9.0000	05/25/12	128.63	175.91	-47.28	
	22.0000	Various	314.42	607.17	-292.75	SALE
						TOTAL 3 LOTS
POSICO SPONSORED ADR / 693483109						
	6.0000	04/12/11	447.05	655.86	-208.81	
	3.0000	10/14/11	223.53	246.90	-23.37	
	3.0000	09/28/12	223.53	244.74	-21.21	
	12.0000	Various	894.11	1,147.50	-253.39	SALE
						TOTAL 3 LOTS
10/21/13						
REED ELSEVIER NV SPN ADR / 758204200						
03/04/13	4.0000	05/13/11	123.08	108.09	14.99	SALE
04/09/13	11.0000	05/13/11	362.23	297.25	64.98	SALE
	5.0000	05/13/11	202.94	135.11	67.83	
	5.0000	05/16/11	202.94	133.46	69.48	
	43.0000	05/17/11	1,745.35	1,150.17	595.18	
	5.0000	05/25/12	202.95	107.26	95.69	
	58.0000	Various	2,354.18	1,526.00	828.18	SALE
						TOTAL 4 LOTS
RENAISSANCE RE HOLDING / G7496G103 LTD						
	6.0000	04/12/11	559.37	431.28	128.09	
	2.0000	09/28/12	186.46	154.24	32.22	
	8.0000	Various	745.83	585.52	160.31	SALE
						TOTAL 2 LOTS
10/21/13						
RIO TINTO PLC / 767204100 SPONSORED ADR						
	12.0000	03/28/11	629.75	825.74	-195.99	
	8.0000	04/12/11	419.83	573.36	-153.53	
	2.0000	08/08/11	104.96	110.56	-5.60	



2013 ENHANCED SUMMARY

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continued

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10/21/13	10/14/11	2.0000	104.96	106.29	-1.33	
	05/25/12	5.0000	262.40	218.46	43.94	
	09/28/12	7.0000	367.36	327.67	39.69	
	Various	36.0000	1,889.26	2,162.08	-272.82	SALE
TOTAL 6 LOTS						
ROYAL DUTCH SHELL PLC / 780259206 ADR CL A						
	04/12/11	11.0000	737.65	801.68	-64.03	
	05/25/12	2.0000	134.11	125.57	8.54	
	09/26/12	6.0000	402.36	415.92	-13.56	
	Various	19.0000	1,274.12	1,343.17	-69.05	SALE
TOTAL 3 LOTS						
SAGE GROUP PLC ADR / 78663S201 ADR NEW						
10/21/13	04/12/11	15.0588	323.18	280.00	43.18	SALE
SANOFI ADR / 80105N105						
03/08/13	08/17/11	3.0000	147.12	107.28	39.84	SALE
04/09/13	08/17/11	5.0000	255.59	178.80	76.79	SALE
	08/17/11	5.0000	252.09	178.80	73.29	
	08/22/11	33.0000	1,663.86	1,159.10	504.76	
10/21/13	Various	38.0000	1,915.95	1,337.90	578.05	SALE
TOTAL 2 LOTS						
SASOL LTD SPONS ADR / 803866300						
	04/12/11	12.0000	622.91	674.28	-51.37	
	08/08/11	6.0000	311.45	258.00	53.45	
	07/12/12	4.0000	207.64	162.20	45.44	
	09/28/12	6.0000	311.46	267.12	44.34	
10/21/13	Various	28.0000	1,453.46	1,361.60	91.86	SALE
TOTAL 4 LOTS						

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

Your Financial Advisor :
 BENJAMIN CHUCKROW
 37 HENRY STREET SUITE 201
 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
SEADRILL LTD / G7945E105						
04/09/13	3.0000	05/09/11	108.69	99.76	8.93	SALE
05/03/13	21.0000	05/09/11	817.47	698.27	119.20	SALE
SEGA SAMMY HOLDING INC / 815794102						
SPONS ADR						
04/09/13	6.0000	04/02/12	31.02	31.62	-0.60	TOTAL 2 LOTS
	22.0000	04/03/12	113.77	118.91	-5.14	
	28.0000	Various	144.79	150.53	-5.74	
	2.0000	04/03/12	14.02	10.81	3.21	TOTAL 4 LOTS
	50.0000	04/04/12	350.52	268.98	81.54	
	35.0000	05/25/12	245.36	149.45	95.91	
	54.0000	09/28/12	378.56	254.88	123.68	TOTAL 4 LOTS
	141.0000	Various	988.46	684.12	304.34	
SIEMENS A G - ADR / 826197501						
10/21/13	18.0000	07/25/12	2,231.42	1,481.61	749.81	SALE
SK TELECOMMUNICATIONS / 78440P108						
CO LMT SPON ADR						
	39.0000	04/12/11	960.94	714.48	246.46	TOTAL 4 LOTS
	7.0000	08/08/11	172.47	100.17	72.30	
	31.0000	05/25/12	763.83	356.05	407.78	
	10.0000	09/28/12	246.40	145.30	101.10	TOTAL 4 LOTS
	87.0000	Various	2,143.64	1,316.00	827.64	
STATOIL ASA / 85771P102						
	18.0000	04/12/11	423.17	504.72	-81.55	TOTAL 4 LOTS
	11.0000	08/08/11	258.61	229.02	29.59	
	8.0000	09/28/12	188.08	206.40	-18.32	



2013 ENHANCED SUMMARY

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 461 NOTT ST
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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/21/13	37.0000	Various	869.86	940.14	-70.28	SALE
						TOTAL 3 LOTS
SVENSKA CELLULOSE AB / 869587402						
SP ADR						
02/13/13	4.0000	04/12/11	100.25	61.80	38.45	SALE
04/09/13	6.0000	04/12/11	151.51	92.70	58.81	SALE
10/21/13	37.0000	04/12/11	1,024.18	571.65	452.53	SALE
TAIWAN SEMICONDUCTOR / 874039100						
MFG CO LTD ADR						
10/21/13	27.0000	04/12/11	511.38	329.94	181.44	SALE
TATA MOTORS LIMITED / 876568502						
SPONSORED ADR						
03/08/13	4.0000	04/12/11	112.76	107.64	5.12	SALE
	9.0000	04/12/11	280.79	242.19	38.60	
	22.0000	06/08/11	686.39	388.96	297.43	
	5.0000	07/12/12	156.00	105.00	51.00	
10/21/13	36.0000	Various	1,123.18	736.15	387.03	SALE
						TOTAL 3 LOTS
TELSTRA CORPORATION LTD / 87963N204						
SPON ADR						
07/25/13	16.0000	04/12/11	362.60	235.68	126.92	SALE
TEVA PHARMACEUTICAL / 881624209						
ADR INDS LTD						
	9.0000	04/12/11	364.13	442.53	-78.40	
	22.0000	08/07/12	890.10	888.87	1.23	
	5.0000	09/28/12	202.30	206.55	-4.25	
10/21/13	36.0000	Various	1,456.53	1,537.95	-81.42	SALE
						TOTAL 3 LOTS

2013 ENHANCED SUMMARY

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
TORONTO DOMINION BK / 891160509 NEW	4.0000	04/12/11	362.23	345.56	16.67	
	3.0000	09/28/12	271.68	249.27	22.41	
10/21/13	7.0000	Various	633.91	594.83	39.08	SALE
						TOTAL 2 LOTS
UNITED OVERSEAS BK LTD / 911271302 SPONSORED ADR	19.0000	04/12/11	635.16	587.67	47.49	
	7.0000	10/14/11	234.01	189.63	44.38	
	8.0000	09/28/12	267.44	256.40	11.04	
10/21/13	34.0000	Various	1,136.61	1,033.70	102.91	SALE
						TOTAL 3 LOTS
VALE SA ADR / 91912E105	14.0000	02/14/11	228.81	467.18	-238.37	
	3.0000	02/18/11	49.03	100.11	-51.08	
	9.0000	04/12/11	147.09	298.89	-151.80	
	51.0000	04/18/12	833.53	1,188.21	-354.68	
	12.0000	05/25/12	196.12	217.93	-21.81	
	24.0000	09/28/12	392.26	427.44	-35.18	
10/21/13	113.0000	Various	1,846.84	2,699.76	-852.92	SALE
						TOTAL 6 LOTS
WOORI FINANCE HOLDINGS / 981063100 ADR	10.0000	04/12/11	306.77	391.50	-84.73	SALE
07/26/13						
YAMANA GOLD INC / 98462Y100	46.0000	04/12/11	436.68	576.84	-140.16	SALE
10/21/13						



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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
YANZHOU COAL MNG LTD / 984846105 SPONSORED ADR REPSTG H SHS 06/27/13	1 0000	04/12/11	7.28	36.53	-29.25	SALE
	4.0000	04/12/11	29.36	146.12	-116.76	
	6.0000	10/14/11	44.04	154.33	-110.29	
	10.0000	05/25/12	73.40	160.25	-86.85	
	20.0000	Various	146.80	460.70	-313.90	SALE
07/11/13						TOTAL 3 LOTS
07/12/13	8.0000	05/25/12	58.09	128.19	-70.10	SALE
ZURICH INSURANCE GROUP / 989825104 ADR	35.0000	04/12/11	942.23	878.08	64.15	Original Basis: 941.15
	13.0000	08/08/11	349.97	239.31	110.66	Original Basis: 262.73
	6.0000	09/28/12	161.53	139.28	22.25	Original Basis: 150.11
10/21/13	54.0000	Various	1,453.73	1,256.67	197.06	SALE
						TOTAL 3 LOTS
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES			77,898.46	66,220.99	11,677.47	
LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)						
Proceeds from Broker and Barter Exchange Transactions for 2013						
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AGRIUM INC / 008916108 10/21/13	4 0000	10/20/09	349.11	222.99	126.12	SALE

2013 ENHANCED SUMMARY

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

Supplemental Information				
8-Description / CUSIP	1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*
3-Cost or Other Basis				
Gain or Loss Amount				
Additional Information				
ASTRAZENECA PLC / 046353108				
SPON ADR				
03/04/13		3.0000	10/20/09	136.95
			135.36	1.59 SALE
04/09/13		12.0000	10/20/09	606.11
			541.43	64.68 SALE
10/21/13		20.0000	10/20/09	1,026.38
			902.37	124.01 SALE
AUSTRALIA & NEW / 052528304				
ZEALAND BANKING				
GROUP SPONSORED ADR				
02/13/13		6.0000	10/20/09	172.80
			130.50	42.30 SALE
03/04/13		4.0000	10/20/09	116.12
			87.00	29.12 SALE
04/09/13		8.0000	10/20/09	237.84
			174.00	63.84 SALE
		22.0000	10/20/09	682.42
		5.0000	01/06/10	155.09
			478.50	203.92
10/21/13		27.0000	Various	837.51
			583.15	50.44
				254.36 SALE
TOTAL 2 LOTS				
AXIS CAPITAL HLDGS LTD / G0692U109				
02/13/13		5.0000	10/20/09	201.65
			151.30	50.35 SALE
03/04/13		3.0000	10/20/09	120.51
			90.78	29.73 SALE
04/09/13		7.0000	10/20/09	298.61
			211.81	86.80 SALE
		4.0000	10/20/09	187.59
			121.03	66.56
		5.0000	01/06/10	234.49
			139.18	95.31
10/21/13		9.0000	Various	422.08
			260.21	161.87 SALE
TOTAL 2 LOTS				
BAE SYSTEMS PLACE / 05523R107				
		3.0000	02/16/10	63.06
			63.33	-0.27
		3.0000	02/17/10	63.06
			63.33	-0.27



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

6-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
DIAGEO PLC / 25243Q205 SPONSORED ORD NEW 02/15/13	10.0000	10/20/09	1,196.95	644.60	552.35	SALE
FRANCE TELECOM / 35177Q105 SPON ADR 04/09/13	22.0000	10/20/09	222.20	492.25	-270.05	SALE
	11.0000	10/20/09	118.21	246.12	-127.91	
	23.0000	05/10/10	247.18	468.36	-221.18	
05/13/13	34.0000	Various	365.39	714.48	-349.09	SALE
					TOTAL 2 LOTS	
MARKS & SPENCER PLC-ADR / 570912105 10/21/13	51.0000	10/20/09	803.23	580.89	222.34	SALE
MITSUBI & CO LTD ADR / 806827202 02/13/13	1.0000	10/20/09	300.69	281.59	19.10	SALE
	1.0000	10/20/09	290.74	281.59	9.15	
	1.0000	01/12/10	290.74	317.97	-27.23	
	2.0000	06/14/10	581.49	478.69	102.80	
10/21/13	4.0000	Various	1,162.97	1,078.25	84.72	SALE
					TOTAL 3 LOTS	
NITTO DENKO CORP ADR / 654802206 02/13/13	7.0000	09/28/10	205.88	133.00	72.88	SALE
03/04/13	5.0000	09/28/10	147.75	95.00	52.75	SALE
04/09/13	11.0000	09/28/10	350.79	208.99	141.80	SALE
10/21/13	28.0000	09/28/10	812.85	531.97	280.88	SALE



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

ADVISORS

NEIL - JANE
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GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
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Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
PETROLEO BRASILEIRO - / 71654V408 SPON ADR - PETROBRAS 03/08/13	9.0000	07/16/10	155.25	311.91	-156.66	SALE
07/24/13	12.0000	10/25/10	171.51	389.91	-218.40	SALE
POSCO SPONSORED ADR / 693483109	9.0000	10/20/09	681.22	1,033.65	-352.43	
	3.0000	10/22/10	227.08	324.18	-97.10	
09/06/13	12.0000	Various	908.30	1,357.83	-449.53	SALE
10/21/13	1.0000	10/22/10	74.50	108.06	-33.56	SALE
RENAISSANCE RE HOLDING / G7496G103 LTD						
03/08/13	2.0000	01/06/10	178.02	105.58	72.44	SALE
10/21/13	3.0000	01/06/10	279.68	158.37	121.31	SALE
RIO TINTO PLC / 767204100 SPONSORED ADR						
02/13/13	2.0000	08/19/10	117.46	103.01	14.45	SALE
10/21/13	2.0000	08/19/10	104.95	103.00	1.95	SALE
ROYAL DUTCH SHELL PLC / 780259206 ADR CL A						
03/04/13	2.0000	10/20/09	131.30	124.88	6.42	SALE
04/09/13	3.0000	10/20/09	192.24	187.32	4.92	SALE
	15.0000	10/20/09	1,005.88	936.60	69.28	
	7.0000	08/18/10	469.41	393.23	76.18	
10/21/13	22.0000	Various	1,475.29	1,329.83	145.46	SALE
						TOTAL 2 LOTS

TOTAL 2 LOTS

TOTAL 2 LOTS

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2013 ENHANCED SUMMARY

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continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
SAGE GROUP PLC ADR / 78663S201 ADR NEW 10/21/13	32.9412	07/22/10	706.93	529.66	177.27	SALE
SASOL LTD SPONS ADR / 803866300 03/08/13	4.0000	10/20/09	176.28	170.04	6.24	SALE
04/09/13	6.0000	10/20/09	265.41	255.06	10.35	SALE
10/21/13	33.0000	10/20/09	1,712.99	1,402.80	310.19	SALE
SK TELECOMMUNICATIONS / 78440P108 CO LMT SPON ADR 02/13/13	11.0000	10/20/09	197.34	197.74	-0.40	SALE
03/04/13	7.0000	10/20/09	126.21	125.84	0.37	SALE
04/09/13	8.0000	10/20/09	141.52	143.81	-2.29	SALE
	20.0000	10/20/09	474.39	359.52	114.87	
	5.0000	01/06/10	118.60	82.43	36.17	
10/14/13	25.0000	Various	592.99	441.95	151.04	SALE
					TOTAL 2 LOTS	
STATOIL ASA / 85771P102 10/21/13	26.0000	10/20/09	611.25	658.25	-47.00	
	19.0000	07/16/10	446.68	385.40	61.28	
	45.0000	Various	1,057.93	1,043.65	14.28	SALE
					TOTAL 2 LOTS	
SVENSKA CELLULOZA AB / 869587402 SP ADR 02/13/13	4.0000	05/24/10	100.24	44.87	55.37	SALE



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continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
TAIWAN SEMICONDUCTOR / 874039100 MFG CO LTD ADR 10/21/13	33.0000	11/09/10	625.00	368.99	256.01	SALE
TELSTRA CORPORATION LTD / 87969N204 SPON ADR 02/13/13	6.0000	10/25/10	143.77	79.42	64.35	SALE
04/09/13	6.0000	10/25/10	143.29	79.41	63.88	SALE
07/25/13	16.0000	10/25/10	362.60	211.76	150.84	SALE
TEVA PHARMACEUTICAL / 881624209 ADR INDS LTD 03/08/13	6.0000	10/20/09	240.23	302.72	-62.49	SALE
10/21/13	9.0000	10/20/09	364.13	454.06	-89.93	SALE
TORONTO DOMINION BK / 891160509 NEW 04/09/13	2.0000	10/20/09	160.96	124.48	36.48	SALE
10/21/13	16.0000	10/20/09	1,448.93	995.84	453.09	SALE
UNITED OVERSEAS BK LTD / 911271302 SPONSORED ADR 03/08/13	2.0000	05/06/10	63.36	54.50	8.86	TOTAL 2 LOTS
	3.0000	06/02/10	95.04	78.32	16.72	
	5.0000	Various	158.40	132.82	25.58	
	2.0000	06/02/10	66.07	52.20	13.87	TOTAL 2 LOTS
	6.0000	06/04/10	198.24	164.97	33.27	
	8.0000	Various	264.31	217.17	47.14	
04/09/13	4.0000	06/04/10	133.71	109.98	23.73	

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Account Number: [REDACTED]

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/21/13	15.0000	06/07/10	501.44	397.60	103.84	
	19.0000	Various	635.15	507.58	127.57	SALE
TOTAL 2 LOTS						
WOORI FINANCE HOLDINGS / 981063100						
ADR						
02/13/13	4.0000	12/06/10	140.12	156.11	-15.99	SALE
03/08/13	3.0000	12/06/10	107.46	117.08	-9.62	SALE
07/26/13	11.0000	12/06/10	337.44	429.29	-91.85	SALE
YAMANA GOLD INC / 98462Y100						
ADR						
04/09/13	9.0000	10/20/09	133.83	109.52	24.31	SALE
10/21/13	56.0000	10/20/09	531.61	681.39	-149.78	SALE
YANZHOU COAL MNG LTD / 984846105						
SPONSORED ADR REPSTG						
H SHS						
06/27/13	24.0000	08/13/10	174.53	507.90	-333.37	SALE
ZURICH INSURANCE GROUP / 989825104						
ADR						
02/13/13	10.0000	05/07/10	282.50	202.95	79.55	SALE
03/08/13	5.0000	05/07/10	139.31	103.45	35.86	SALE
	10.0000	05/10/10	269.20	201.48	67.72	Original Basis: 219.50
	5.0000	05/13/10	134.60	101.24	33.36	Original Basis: 110.25
	5.0000	06/02/10	134.60	94.03	40.57	Original Basis: 103.04
	30.0000	06/03/10	807.61	571.50	236.11	Original Basis: 625.56
	5.0000	06/03/10	134.60	96.19	38.41	Original Basis: 105.20
	5.0000	06/08/10	134.60	91.99	42.61	Original Basis: 101.00



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

ADVISORS

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: [REDACTED]

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/21/13	60.0000	Various	1,615.21	1,156.43	458.78	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					3,786.17	TOTAL 6 LOTS

UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
BARCLAYS PLC RTS / 06738E121 EXP 10/01/13 PUR ADR 09/27/13	0.2500	09/27/13	1.25	N/A	N/A	CASH IN LIEU
SIEMENS A G - ADR / 826197501 07/25/13	18.0000	N/A	55.01	0.01	55.00	REDEMPTION

TOTAL UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

55.00

*Box 2a: Sales price less commissions and option premiums

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss columns, or Cost column. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
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(518) 581-5040

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461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AAR CORPORATION / 000361105						
05/10/13	1.0000	04/17/13	18.89	16.81	2.08	SALE
05/24/13	2.0000	04/17/13	40.02	33.61	6.41	SALE
09/26/13	7.0000	04/17/13	194.60	117.61	76.99	SALE
AARONS INC / 002535300						
05/24/13	1.0000	02/08/13	28.12	30.03	-1.91	SALE
ABM INDUSTRIES INC / 000957100						
01/15/13	1.0000	08/13/12	20.58	18.92	1.66	SALE
05/10/13	1.0000	08/13/12	22.28	18.92	3.36	SALE
05/15/13	1.0000	08/13/12	22.58	18.92	3.66	SALE
07/22/13	1.0000	08/13/12	26.43	18.92	7.51	SALE
ALMOST FAMILY INC / 020409108						
05/24/13	1.0000	02/08/13	19.40	20.13	-0.73	SALE
07/11/13	5.0000	02/08/13	95.74	100.64	-4.90	SALE
AMCOL INTL CORP / 02341W103						
01/02/13	1.0000	03/26/12	32.49	28.97	3.52	SALE
AMERICA'S CAR-MART INC / 03062T105						
05/24/13	1.0000	02/08/13	46.00	40.92	5.08	SALE
09/24/13	5.0000	02/08/13	224.84	204.58	20.26	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3a-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ASCENA RETAIL GROUP INC / 04351G101	1.0000	10/26/12	18.19	19.91	-1.72	
	1.0000	01/02/13	18.19	17.77	0.42	
	2.0000	Various	36.38	37.68	-1.30	SALE
04/08/13						TOTAL 2 LOTS
ASHFORD HOSPITALITY TR / 044103109						
05/10/13	1.0000	02/08/13	13.01	11.65	1.36	SALE
05/24/13	1.0000	02/08/13	13.02	11.65	1.37	SALE
ASSOC ESTATES RLTY CORP / 045804105						
REIT						
05/10/13	1.0000	02/08/13	18.09	16.69	1.40	SALE
AURICO GOLD INC / 05155C105						
	7.0000	04/26/12	50.53	61.36	-10.83	
	14.0000	09/28/12	101.06	97.72	3.34	
	7.0000	10/10/12	50.53	49.91	0.62	
	2.0000	10/26/12	14.44	16.12	-1.68	
	7.0000	12/20/12	50.54	53.48	-2.94	
02/08/13	37.0000	Various	267.10	278.59	-11.49	SALE
						TOTAL 5 LOTS
BANK OF HAWAII CORP / 062540109						
	2.0000	09/28/12	101.93	91.34	10.59	
	3.0000	02/26/13	152.90	144.05	8.85	
05/30/13	5.0000	Various	254.83	235.39	19.44	SALE
						TOTAL 2 LOTS
BERRY PETRO CO / 085789105						
CLASS A						
01/15/13	1.0000	10/08/12	34.54	38.56	-4.02	SALE
05/15/13	1.0000	10/08/12	44.65	38.56	6.09	SALE



2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
	12.0000	10/08/12	515.25	462.72	52.53	
	6.0000	10/17/12	257.62	249.45	8.17	
	2.0000	08/27/13	85.88	82.50	3.38	
09/24/13	20.0000	Various	858.75	794.67	64.08	SALE
TOTAL 3 LOTS						
BRADY CORP / 104674106						
CL A						
02/26/13	19.0000	08/01/12	648.60	507.11	141.49	SALE
05/10/13	1.0000	08/01/12	34.85	26.69	8.16	SALE
05/24/13	1.0000	08/01/12	32.89	26.69	6.20	SALE
BRIGGS & STRATTON CORP / 109043109						
08/27/13	6.0000	09/28/12	116.61	112.50	4.11	SALE
BROCADE COMMUNICATIONS / 111621306						
SYSTEMS						
01/02/13	10.0000	02/08/12	56.10	60.60	-4.50	SALE
X CAPLEASE INC / 140288101						
X 05/10/13	1.0000	02/08/13	7.13	5.93	1.20	SALE
X 05/15/13	2.0000	02/08/13	14.48	11.85	2.63	SALE
X 05/24/13	3.0000	02/08/13	21.45	17.76	3.69	SALE
	33.0000	02/08/13	280.50	190.17	90.33	Original Basis 197.83
	19.0000	03/07/13	161.50	111.82	49.68	Original Basis 116.25
	21.0000	05/07/13	178.50	144.80	33.70	Original Basis: 148.08
X 11/06/13	73.0000	Various	620.50	446.79	173.71	MERGER
TOTAL 3 LOTS						
CBIZ INC / 124805102						
05/24/13	1.0000	02/08/13	6.37	6.03	0.34	SALE

2013 SUMMARY AMENDMENT

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/22/13	1,0000	02/08/13	7.58	6.03	1.55	SALE
09/24/13	17,0000	02/08/13	128.07	102.50	25.57	SALE
CEC ENTMT INC / 125137109						
01/15/13	1,0000	06/25/12	32.09	34.46	-2.37	SALE
05/24/13	1,0000	06/25/12	39.39	34.46	4.93	SALE
CELESTICA INC / 15101Q108						
SUB VTG SHS						
05/10/13	2,0000	07/26/12	17.00	14.68	2.32	SALE
05/15/13	1,0000	07/26/12	8.93	7.34	1.59	SALE
COOPER TIRE & RUBBER CO / 216831107						
	6,0000	09/28/12	201.53	115.86	85.67	
	1,0000	10/26/12	33.59	18.61	14.98	
	4,0000	06/25/13	134.36	129.02	5.34	
07/12/13	11,0000	Various	369.48	263.49	105.99	SALE
						TOTAL 3 LOTS
CRANE COMPANY / 224399105						
05/10/13	1,0000	06/21/12	58.17	37.20	20.97	SALE
05/15/13	1,0000	06/21/12	59.72	37.20	22.52	SALE
CSG SYS INTL INC / 126349109						
05/24/13	1,0000	02/08/13	20.78	19.34	1.44	SALE
DFC GLOBAL CORP / 23324T107						
05/15/13	1,0000	01/28/13	15.05	19.23	-4.18	SALE
05/30/13	17,0000	01/28/13	257.05	326.83	-69.78	SALE



2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
DUKE REALTY CORP / 284411505 02/21/13	4.0000	02/07/13	61.97	63.65	-1.68	SALE
ENERGEN CORPORATION / 29265N108						
	3.0000	02/07/13	246.46	143.35	103.11	
	1.0000	03/11/13	82.15	47.82	34.33	
	2.0000	08/27/13	164.92	134.02	30.90	
	6.0000	Various	492.93	325.19	167.74	SALE
10/04/13						TOTAL 3 LOTS
EURONET WORLDWIDE INC / 298736109						
05/10/13	1.0000	09/28/12	30.84	18.54	12.30	SALE
05/22/13	5.0000	09/28/12	158.09	92.70	65.39	SALE
07/11/13	9.0000	09/28/12	302.34	166.84	135.50	SALE
FEDERATED INVTs INC / 314211103 CL B						
01/02/13	1.0000	01/04/12	21.07	16.10	4.97	SALE
FIRST HORIZON NATIONAL / 320517105 CORP						
01/02/13	1.0000	09/14/12	10.01	10.25	-0.24	SALE
01/15/13	2.0000	09/14/12	19.90	20.50	-0.60	SALE
05/10/13	2.0000	09/14/12	21.38	20.50	0.88	SALE
05/15/13	3.0000	09/14/12	33.69	30.75	2.94	SALE
05/24/13	3.0000	09/14/12	33.06	30.75	2.31	SALE
07/22/13	3.0000	09/14/12	36.78	30.75	6.03	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
FIRST MIDWEST BANCORP / 320867104 INC/IL						
01/02/13	1.0000	01/25/12	13.32	10.79	2.53	SALE
FIRST NIAGARA FINL / 33582V108 GROUP INC NEW						
01/02/13	3.0000	12/20/12	24.21	23.93	0.28	SALE
01/15/13	2.0000	12/20/12	16.62	15.95	0.67	SALE
05/10/13	5.0000	12/20/12	48.55	39.88	8.67	SALE
05/15/13	2.0000	12/20/12	19.74	15.95	3.79	SALE
05/24/13	3.0000	12/20/12	29.52	23.93	5.59	SALE
07/22/13	4.0000	12/20/12	42.12	31.90	10.22	SALE
FOSTER WHEELER AG / H27178104						
01/02/13	1.0000	06/19/12	24.54	17.58	6.96	SALE
01/15/13	1.0000	06/19/12	24.47	17.58	6.89	SALE
01/30/13	12.0000	06/19/12	313.93	210.96	102.97	SALE
05/10/13	3.0000	06/19/12	65.25	52.74	12.51	SALE
	35.0000	07/20/12	802.43	603.35	199.08	
	8.0000	09/28/12	183.41	192.08	-8.67	
	1.0000	10/26/12	22.93	22.26	0.67	
06/25/13	44.0000	Various	1,008.77	817.69	191.08	SALE
						TOTAL 3 LOTS
GENTIVA HEALTH / 37247A102 SERVICES						
05/24/13	2.0000	02/08/13	19.50	19.89	-0.39	SALE



2013 SUMMARY AMENDMENT

Page 11 of 53

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/22/13	1 0000	02/08/13	10.64	9.95	0.69	SALE
GLACIER BANCORP INC / 37637Q105 10/29/13	3 0000	06/25/13	84.02	66.20	17.82	SALE
GLOBAL CASH ACCESS LLC / 378967103 05/24/13	2.0000	02/08/13	13.32	14.32	-1.00	SALE
	31.0000	02/08/13	188.91	221.88	-32.97	
	1.0000	05/10/13	6.10	7.23	-1.13	
06/25/13	32 0000	Various	195.01	229.11	-34.10	SALE
					TOTAL 2 LOTS	
HANESBRANDS INC / 410345102 07/24/13	7 0000	09/28/12	401.59	224.00	177.59	SALE
HILL-ROM HOLDINGS INC / 431475102 01/02/13	1.0000	07/25/12	29.25	31.50	-2.25	SALE
05/10/13	2.0000	07/25/12	73.08	63.00	10.08	SALE
07/22/13	1.0000	07/25/12	35.88	31.50	4.38	SALE
HILLENBRAND INC / 431571108 01/02/13	1.0000	05/24/12	23.37	18.50	4.87	SALE
05/10/13	1.0000	05/24/12	24.86	18.49	6.37	SALE
05/15/13	1.0000	05/24/12	25.19	18.49	6.70	SALE
HUNTSMAN CORP / 447011107 11/19/13	7.0000	08/27/13	158.47	125.28	33.19	SALE

2013 SUMMARY AMENDMENT

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

3-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
HYSTER-YALE MATERIAL / 449172105 HANDLING INC-CLASS A 02/26/13	2.0000	03/15/12	98.93	70.10	28.83	SALE
INTEGRA LIFESCIENCES / 457985208 HOLDING 05/10/13	1.0000	02/08/13	35.38	42.35	-6.97	SALE
07/22/13	1.0000	02/08/13	37.70	42.35	-4.65	SALE
INTERACTIVE BROKERS GRP / 45841N107 01/02/13	1.0000	03/15/12	13.93	16.75	-2.82	SALE
11/21/13	5.0000	02/07/13	121.68	73.98	47.70	SALE
INTERNATIONAL SPEEDWAY / 460335201 CORP CL A	3.0000	09/28/12	88.44	85.65	2.79	
	4.0000	10/10/12	117.92	99.56	18.36	
	1.0000	10/26/12	29.49	25.30	4.19	
02/08/13	8.0000	Various	235.85	210.51	25.34	SALE
					TOTAL 3 LOTS	
ISHARES RUSSELL 2000 01/02/13	2.0000	09/12/12	173.08	168.33	4.75	SALE
	8.0000	09/12/12	706.86	673.30	33.56	
	8.0000	10/10/12	706.86	661.20	45.66	
	7.0000	11/21/12	618.51	556.57	61.94	
01/17/13	23.0000	Various	2,032.23	1,891.07	141.16	SALE
05/10/13	1.0000	11/21/12	96.37	79.51	16.86	SALE
05/24/13	1.0000	11/21/12	97.55	79.51	18.04	SALE
					TOTAL 3 LOTS	



2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
10/14/13	1.0000	11/21/12	108.15	79.51	28.64	SALE
ITRON INC / 465741106						
01/02/13	1.0000	11/14/12	45.25	39.21	6.04	SALE
05/10/13	1.0000	11/14/12	40.85	39.21	1.64	SALE
07/22/13	1.0000	11/14/12	43.60	39.21	4.39	SALE
JANUS CAPITAL GROUP INC / 47102X105						
07/22/13	1.0000	07/12/13	9.77	9.46	0.31	SALE
JARDEN CORP / 471109108						
02/08/13	2.0000	09/28/12	122.56	105.52	17.04	SALE
JDA SOFTWARE GROUP IN / 46612K108						
01/11/13	3.0000	09/28/12	135.00	95.67	39.33	MERGER
KINDRED HEALTHCARE INC / 494580103						
05/15/13	1.0000	02/08/13	12.21	10.79	1.42	SALE
07/22/13	2.0000	02/08/13	30.88	21.57	9.31	SALE
KULICKE & SOFFA IND INC / 501242101						
01/02/13	2.0000	11/27/12	25.32	21.77	3.55	SALE
05/15/13	2.0000	11/27/12	22.82	21.77	1.05	SALE
05/24/13	2.0000	11/27/12	24.10	21.76	2.34	SALE
07/22/13	2.0000	11/27/12	23.41	21.76	1.65	SALE
MACK CALI RLTY CORP / 554489104						
07/22/13	1.0000	05/30/13	24.75	26.89	-2.14	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
MANTECH INTL CORP -A / 564563104	1.0000	02/21/13	27.97	25.26	2.71	SALE
	1.0000	02/21/13	28.17	25.26	2.91	SALE
MATTHEWS INTL CORP / 577128101 CL A	1.0000	02/28/12	32.97	31.25	1.72	SALE
	1.0000	05/30/12	30.06	24.88	5.18	SALE
MCGRATH RENTCORP / 580589109	1.0000	10/12/12	34.62	26.45	8.17	SALE
	26.0000	01/18/13	861.65	850.94	10.71	SALE
MENTOR GRAPHICS CORP / 587200106	1.0000	11/27/12	17.44	14.46	2.98	SALE
	2.0000	11/27/12	36.20	28.92	7.28	SALE
	3.0000	11/27/12	55.38	43.38	12.00	SALE
MONTPELIER RE HLDGS LTD / G62185106	1.0000	03/13/12	23.40	18.45	4.95	SALE
	3.0000	03/15/12	173.21	102.68	70.53	SALE
NACCO INDUSTRIES INC / 629579103 CLASS A	1.0000	02/08/13	12.99	11.14	1.85	SALE
	1.0000	02/08/13	12.99	11.14	1.85	SALE
NAVIGANT CONSULTING CO / 63935N107	1.0000	02/08/13	12.99	11.14	1.85	SALE
	1.0000	02/08/13	12.99	11.14	1.85	SALE



2013 SUMMARY AMENDMENT

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/22/13	1.0000	02/08/13	13.47	11.14	2.33	SALE
12/24/13	6.0000	02/08/13	117.44	66.78	50.66	SALE
NCR CORP NEW / 62886E108						
05/22/13	3.0000	09/28/12	95.50	70.11	25.39	SALE
NELNET INC / 64031N108						
07/22/13	1.0000	02/08/13	40.22	31.58	8.64	SALE
ORTHOFIX INTL N V / N6748L102						
07/22/13	1.0000	02/08/13	28.57	38.74	-10.17	SALE
07/25/13	11.0000	02/08/13	311.37	426.13	-114.76	SALE
PAN AMERICAN SILVER / 697900108						
CORP						
01/02/13	3.0000	04/05/12	57.51	65.22	-7.71	SALE
07/22/13	2.0000	10/03/12	25.46	43.22	-17.76	SALE
PERKINELMER INC / 714046109						
02/08/13	4.0000	09/28/12	138.87	118.20	20.67	SALE
PHARMERICA CORP / 71714F104						
05/24/13	1.0000	02/08/13	14.60	15.11	-0.51	SALE
	14.0000	02/08/13	185.65	211.43	-25.78	
	11.0000	07/23/13	145.88	161.69	-15.81	
	25.0000	Various	331.53	373.12	-41.59	SALE
09/24/13						TOTAL 2 LOTS
PMC-SIERRA INC / 69344F106						
	20.0000	09/28/12	128.90	115.80	13.10	
	18.0000	10/10/12	116.02	93.60	22.42	

2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

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GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor :
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37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
02/26/13	4.0000	01/15/13	25.79	21.64	4.15	
	42.0000	Various	270.71	231.04	39.67	SALE
						TOTAL 3 LOTS
PROSPECT CAPITAL CORP / 74348T102						
05/10/13	1.0000	02/08/13	10.78	11.38	-0.60	SALE
05/24/13	2.0000	02/08/13	21.66	22.76	-1.10	SALE
07/22/13	1.0000	02/08/13	11.07	11.38	-0.31	SALE
SANMINA CORP / 801056102						
05/10/13	2.0000	02/25/13	26.26	20.41	5.85	SALE
05/24/13	2.0000	02/25/13	25.94	20.41	5.53	SALE
09/26/13	13.0000	02/25/13	230.10	132.63	97.47	SALE
SCHULMAN A INC / 808194104						
05/15/13	1.0000	05/24/12	29.15	21.15	8.00	SALE
SELECT MEDICAL HOLDINGS / 81619Q105						
05/15/13	1.0000	02/08/13	8.12	9.80	-1.68	SALE
05/24/13	2.0000	02/08/13	16.18	19.60	-3.42	SALE
SHIP FINANCE INTL LTD / G81075106						
05/10/13	1.0000	02/08/13	17.09	17.03	0.06	SALE
05/24/13	1.0000	02/08/13	17.39	17.03	0.36	SALE
	12.0000	02/08/13	190.35	204.33	-13.98	
	11.0000	03/11/13	174.49	181.25	-6.76	
08/27/13	23.0000	Various	364.84	385.58	-20.74	SALE
						TOTAL 2 LOTS



2013 SUMMARY AMENDMENT

Page 17 of 53

Amended Date: 3/21/14

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(518) 581-5040

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461 NOTT ST
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Account Number: [REDACTED]

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

100000 from Stock and Cash Exchange Transactions for 2010						
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information	
STEEL DYNAMICS INC / 858119100						
01/02/13	2.0000	05/30/12	28.20	21.06	7.14	SALE
01/15/13	1.0000	05/30/12	14.82	10.53	4.29	SALE
05/10/13	3.0000	05/30/12	45.99	31.59	14.40	SALE
05/24/13	3.0000	05/30/12	45.77	31.59	14.18	SALE
SYMETRA FINANCIAL CORP / 87151Q106						
01/02/13	1.0000	02/13/12	13.26	9.85	3.41	SALE
TELEFLEX INCORPORATED / 879369106						
02/08/13	1.0000	10/12/12	76.60	68.95	7.65	SALE
TESSCO TECHNOLOGIES INC / 872386107						
09/24/13	5.0000	02/08/13	163.03	112.31	50.72	SALE
TRINITY INDUSTRIES INC / 896522109						
10/29/13	7.0000	06/25/13	328.53	252.51	76.02	SALE
TTM TECHNOLOGIES INC / 87305R109						
05/10/13	3.0000	07/25/12	23.70	30.57	-6.87	SALE
05/15/13	1.0000	07/25/12	8.19	10.19	-2.00	SALE
07/22/13	1.0000	07/25/12	9.45	10.19	-0.74	SALE
URS CORP NEW / 903236107						
05/15/13	1.0000	01/24/13	46.93	41.32	5.61	SALE
10/14/13	1.0000	01/24/13	53.29	41.32	11.97	SALE
VISHAY INTERTECHNOLOGY / 928298108						
01/15/13	1.0000	09/04/12	10.63	9.90	0.73	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
451 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor :
BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
	23.0000	09/04/12	284.55	227.69	56.87
	4.0000	09/28/12	49.48	39.44	10.04
	1.0000	01/02/13	12.98	10.54	1.84
02/08/13	28.0000	Various	346.41	277.66	68.75 SALE
TOTAL 3 LOTS					
W&T OFFSHORE INC / 92922P106	10.0000	04/13/12	154.15	195.22	-41.07
	4.0000	09/28/12	61.66	75.20	-13.54
	1.0000	10/26/12	15.41	16.70	-1.29
	1.0000	12/20/12	15.41	17.38	-1.97
	3.0000	02/07/13	46.26	53.99	-7.73
03/11/13	19.0000	Various	292.89	358.49	-65.60 SALE
TOTAL 5 LOTS					
WADDELL & REED FINL INC / 9300S9100 CL A 11/19/13	2.0000	06/25/13	128.18	86.44	41.74 SALE
WEBSense INC / 947684106	4.0000	09/28/12	58.83	62.80	-3.97 SALE
ZEP INC / 98944B108	1.0000	02/08/13	16.24	14.40	1.84 SALE
05/24/13	15.0000	02/08/13	196.02	215.94	-19.92 SALE
07/11/13					
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES					
			17,928.13	15,541.55	2,386.58



2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

ADVISORS

Your Financial Advisor

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37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

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461 NOTT ST
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Account Number

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AIRCASLE LTD / G0129K104						
01/02/13	01/04/11	1.0000	12.79	10.54	2.25	SALE
01/15/13	01/04/11	1.0000	12.77	10.54	2.23	SALE
05/10/13	01/04/11	1.0000	15.45	10.54	4.91	SALE
05/15/13	01/04/11	3.0000	47.16	31.61	15.55	SALE
05/24/13	01/04/11	1.0000	15.90	10.54	5.36	SALE
07/22/13	01/04/11	2.0000	35.50	21.08	14.42	SALE
AMCOL INTL CORP / 02341W103						
05/24/13	03/26/12	1.0000	31.33	28.97	2.36	SALE
AMERICAN AXLE & MFG / 024061103						
HLDGS INC						
01/02/13	03/03/11	2.0000	23.00	25.44	-2.44	SALE
01/15/13	03/03/11	2.0000	22.68	25.44	-2.76	SALE
05/10/13	03/03/11	1.0000	15.60	13.51	2.09	SALE
05/15/13	03/03/11	4.0000	63.92	54.04	9.88	SALE
05/24/13	03/03/11	2.0000	33.28	27.02	6.26	SALE
05/30/13	03/03/11	7.0000	123.21	94.56	28.65	SALE
07/22/13	03/03/11	2.0000	38.98	27.02	11.96	SALE
10/14/13	03/03/11	3.0000	57.43	40.53	16.90	SALE
ASCENA RETAIL GROUP INC / 04351G101						
01/15/13	05/13/11	2.0000	32.90	31.50	1.40	SALE

2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP					Supplemental Information	
1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
04/08/13	27.0000	05/13/11	491.12	425.22	65.90	SALE
ASSOCIATED BANC CORP / 045487105						
01/02/13	2.0000	05/10/11	26.90	28.99	-2.09	SALE
01/15/13	2.0000	05/10/11	27.50	28.99	-1.49	SALE
05/10/13	3.0000	05/10/11	44.25	43.48	0.77	SALE
05/15/13	2.0000	05/10/11	30.06	28.99	1.07	SALE
05/24/13	3.0000	05/10/11	45.69	43.48	2.21	SALE
07/22/13	1.0000	05/10/11	16.86	14.50	2.36	SALE
AURICO GOLD INC / 05155C105						
02/08/13	13.0000	08/05/11	93.84	148.19	-54.35	SALE
BANCORPSOUTH INC / 059692103						
07/22/13	2.0000	01/27/11	38.94	29.67	9.27	SALE
	8.0000	01/27/11	200.57	118.68	81.89	
	8.0000	02/10/11	200.57	124.00	76.57	
12/23/13	16.0000	Various	401.14	242.68	158.46	SALE
					TOTAL 2 LOTS	
BANK OF HAWAII CORP / 062540109						
	3.0000	03/16/11	152.88	135.12	17.76	
	2.0000	04/05/11	101.92	96.08	5.84	
	3.0000	08/05/11	152.89	129.43	23.46	
05/30/13	8.0000	Various	407.69	360.63	47.06	SALE
					TOTAL 3 LOTS	
BRANDYWINE REALTY TRUST / 105368203						
REIT SH BEN INT						
01/15/13	4.0000	03/03/11	50.52	47.01	3.51	SALE



2013 SUMMARY AMENDMENT

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Proceeds from Broker and Barter Exchange Transactions for 2013

continued

TODAY'S FROM BROKER AND BAITER EXCHANGE TRANSACTIONS FOR 2013						
8-Description / CUSIP		1e-Quantity Sold		1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis
1a-Date of Sale or Exchange						Supplemental Information Gain or Loss Amount Additional Information
05/10/13		2.0000		03/03/11	31.20	23.52 - 7.68 SALE
05/15/13		1.0000		03/03/11	15.66	11.76 3.90 SALE
05/24/13		2.0000		03/03/11	29.60	23.50 6.10 SALE
BRIGGS & STRATTON CORP / 109043109						
05/15/13		1.0000		04/05/11	23.55	22.84 0.71 SALE
		4.0000		04/05/11	77.74	91.36 -13.62
		12.0000		11/02/11	233.22	60.24 172.98
08/27/13		16.0000		Various	- 310.96	- 264.34 46.62 SALE
TOTAL 2 LOTS						
BROCADE COMMUNICATIONS / 111621306						
05/10/13		11.0000		02/08/12	61.71	66.66 -4.95 SALE
05/24/13		10.0000		02/08/12	54.40	60.60 -6.20 SALE
07/22/13		7.0000		02/08/12	46.27	42.42 3.85 SALE
10/29/13		14.0000		02/08/12	112.56	84.84 27.72 SALE
CABOT CORPORATION / 127055101						
01/02/13		1.0000		08/24/11	40.69	31.28 9.41 SALE
05/10/13		1.0000		08/24/11	38.10	31.28 6.82 SALE
05/15/13		1.0000		08/24/11	38.37	31.28 7.09 SALE
07/22/13		1.0000		08/24/11	39.99	31.28 8.71 SALE
CARLISLE COMPANIES INC / 142339100						
01/02/13		1.0000		09/29/11	59.95	32.25 27.70 SALE
05/15/13		1.0000		09/29/11	67.31	32.25 35.06 SALE

2013 SUMMARY AMENDMENT

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NEIL - JANE
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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description /CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/14/13	1.0000	09/29/11	71.32	32.25	39.07	SALE
12/23/13	4.0000	09/29/11	315.68	128.98	186.70	SALE
CHEMICAL FINANCIAL CORP / 183731102						
05/10/13	1.0000	10/06/11	25.23	16.97	8.26	SALE
07/22/13	1.0000	10/06/11	30.17	16.97	13.20	SALE
CITY NATIONAL CORP / 178566105						
01/02/13	1.0000	10/06/11	50.33	39.11	11.22	SALE
07/22/13	1.0000	10/06/11	70.56	39.11	31.45	SALE
COEUR MINING INC / 192108504						
01/02/13	1.0000	05/13/11	24.96	25.07	-0.11	SALE
05/15/13	1.0000	05/13/11	13.62	25.07	-11.45	SALE
05/24/13	2.0000	05/13/11	26.72	50.14	-23.42	SALE
07/22/13	5.0000	05/13/11	71.80	125.33	-53.53	SALE
COOPER TIRE & RUBBER CO / 218831107						
01/02/13	1.0000	04/05/11	26.07	25.81	0.26	SALE
01/15/13	1.0000	07/19/11	26.12	18.93	7.19	SALE
05/15/13	2.0000	07/19/11	48.40	37.86	10.54	SALE
05/24/13	1.0000	07/19/11	25.27	18.93	6.34	SALE
	2.0000	07/19/11	67.17	37.84	29.33	
	37.0000	08/08/11	1,242.77	405.83	836.94	
07/12/13	39.0000	Various	1,309.94	443.67	866.27	SALE
						TOTAL 2 LOTS



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Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
CRANE COMPANY / 224399105 07/16/13	8.0000	06/21/12	509.92	297.60	212.32	SALE
CURTISS-WRIGHT CORP / 231561101						
01/02/13	1.0000	01/07/11	33.80	33.70	0.10	SALE
01/15/13	1.0000	01/07/11	35.23	33.70	1.53	SALE
05/10/13	1.0000	01/07/11	34.98	33.70	1.28	SALE
05/15/13	1.0000	01/07/11	35.74	33.70	2.04	SALE
05/24/13	1.0000	01/07/11	35.63	33.70	1.93	SALE
07/22/13	1.0000	01/07/11	41.12	33.70	7.42	SALE
10/29/13	3.0000	01/07/11	149.37	101.09	48.28	SALE
12/18/13	5.0000	01/07/11	293.99	168.49	125.50	SALE
12/24/13	6.0000	01/07/11	364.45	202.18	162.27	SALE
DUKE REALTY CORP / 264411505						
01/15/13	2.0000	08/09/11	29.46	18.78	10.68	SALE
02/08/13	30.0000	08/09/11	479.39	282.58	196.81	SALE
02/21/13	32.0000	08/09/11	495.68	301.19	194.49	SALE
EAST WEST BANCORP INC / 27579R104						
01/15/13	1.0000	10/06/11	22.68	15.53	7.15	SALE
05/10/13	1.0000	10/06/11	24.65	15.53	9.12	SALE
05/15/13	1.0000	10/21/11	25.20	17.82	7.38	SALE
05/24/13	1.0000	10/21/11	25.85	17.82	8.03	SALE

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

Supplemental Information						
8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
07/22/13	1.0000	10/21/11	31.39	17.82	13.57	SALE
ENDURANCE SPECIALTY HLDG / G30397106						
05/10/13	1.0000	03/13/12	50.02	38.97	11.05	SALE
05/15/13	1.0000	03/13/12	50.84	38.97	11.87	SALE
ENERGEN CORPORATION / 29265N108						
01/02/13	1.0000	09/26/11	45.48	40.17	5.31	SALE
05/24/13	1.0000	09/26/11	55.26	40.17	15.09	SALE
07/22/13	1.0000	09/26/11	56.68	40.17	16.51	SALE
09/25/13	17.0000	09/26/11	1,298.33	682.75	615.58	SALE
10/04/13	2.0000	09/28/12	164.30	103.86	60.44	SALE
ENERSYS INC / 29275Y102						
12/24/13	3.0000	04/05/11	209.17	115.62	93.55	TOTAL 2 LOTS
	2.0000	08/05/11	139.45	50.29	89.16	
	5.0000	Various	348.62	165.91	182.71	
FEDERATED INVTS INC / 314211103						
CLB						
05/10/13	1.0000	01/04/12	23.67	16.10	7.57	SALE
05/24/13	1.0000	01/04/12	26.47	16.10	10.37	SALE
07/18/13	3.0000	01/04/12	89.17	48.29	40.88	SALE
FIRST MIDWEST BANCORP / 320867104						
INC/iL						
05/10/13	1.0000	01/25/12	12.77	10.79	1.98	SALE
05/15/13	1.0000	01/25/12	13.23	10.79	2.44	SALE



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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
05/24/13	1.0000	01/25/12	13.34	10.79	2.55	SALE
07/22/13	1.0000	01/25/12	15.43	10.79	4.64	SALE
FOSTER WHEELER AG / H27178104 06/25/13	2.0000	06/19/12	45.85	35.16	10.69	SALE
GLACIER BANCORP INC / 37637Q105 01/02/13	1.0000	10/06/11	15.00	10.33	4.67	SALE
05/15/13	1.0000	10/06/11	19.39	10.33	9.06	SALE
07/18/13	5.0000	10/06/11	118.44	51.64	66.80	SALE
	1.0000	10/06/11	28.00	10.32	17.68	
	5.0000	12/01/11	140.00	59.68	80.32	
	3.0000	01/12/12	84.00	39.37	44.63	
	1.0000	10/12/12	28.00	15.09	12.91	
10/29/13	10.0000	Various	280.00	124.46	155.54	SALE
TOTAL 4 LOTS						
HANCOCK HOLDING CO / 410120109 01/02/13	1.0000	02/17/11	32.37	33.41	-1.04	SALE
01/15/13	1.0000	02/17/11	32.90	33.41	-0.51	SALE
05/10/13	1.0000	02/17/11	28.56	33.41	-4.85	SALE
05/15/13	1.0000	02/17/11	28.30	33.41	-5.11	SALE
05/24/13	1.0000	02/17/11	28.12	33.41	-5.29	SALE
07/22/13	1.0000	02/17/11	32.70	33.41	-0.71	SALE
HANESBRANDS INC / 410345102 05/30/13	1.0000	09/29/11	49.84	25.05	24.79	SALE

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/24/13	15.0000	09/29/11	860.53	375.63	484.90	
	7.0000	12/01/11	401.58	161.95	239.63	
	22.0000	Various	1,262.11	537.58	724.53	SALE
					TOTAL 2 LOTS	
HUNTSMAN CORP / 447011107						
01/02/13	2.0000	08/24/11	32.50	24.06	8.44	SALE
01/15/13	1.0000	08/24/11	17.49	12.03	5.46	SALE
05/10/13	1.0000	08/24/11	18.75	12.03	6.72	SALE
05/15/13	3.0000	08/24/11	57.72	36.09	21.63	SALE
07/22/13	1.0000	08/24/11	17.58	12.03	5.55	SALE
10/29/13	18.0000	08/24/11	403.80	216.51	187.29	SALE
	27.0000	08/24/11	611.18	324.76	286.42	
	7.0000	09/02/11	158.45	86.77	71.68	
	2.0000	09/28/12	45.27	29.86	15.41	
11/19/13	36.0000	Various	814.90	441.39	373.51	SALE
					TOTAL 3 LOTS	
HYSTER-YALE MATERIAL / 449172105 HANDLING INC-CLASS A						
10/14/13	1.0000	03/15/12	91.12	35.05	56.07	SALE
INDEPENDENT BANK CORP / 453836108 MASSACHUSETTS						
05/10/13	1.0000	10/06/11	31.93	23.06	8.87	SALE
INGRAM MICRO INC / 457153104 CL A						
05/10/13	1.0000	04/04/12	17.93	18.37	-0.44	SALE
05/15/13	2.0000	04/04/12	36.50	36.73	-0.23	SALE



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461 NOTT ST
SCHENECTADY NY 12308-1812

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
05/24/13	2.0000	04/04/12	36.16	36.73	-0.57	SALE
07/22/13	1.0000	04/04/12	20.30	18.37	1.93	SALE
INTERACTIVE BROKERS GRP / 45841N107						
05/10/13	1.0000	03/15/12	15.78	16.75	-0.97	SALE
05/24/13	2.0000	03/15/12	31.18	33.49	-2.31	SALE
11/18/13	10.0000	03/15/12	229.98	167.45	62.53	SALE
11/21/13	19.0000	03/15/12	462.38	318.15	144.23	SALE
INTERNATIONAL BANCSHARES / 459044103						
01/02/13	1.0000	10/03/11	18.61	12.81	5.80	SALE
05/15/13	1.0000	10/03/11	21.50	12.81	8.69	SALE
INTERNATIONAL SPEEDWAY / 460335201						
01/29/13	3.0000	04/05/11	83.07	93.39	-10.32	TOTAL 2 LOTS
02/08/13	2.0000	07/19/11	55.38	58.56	-3.18	
	5.0000	Various	138.45	151.95	-13.50	
	2.0000	07/19/11	58.96	58.55	0.41	SALE
ITT CORP / 450911201						
01/02/13	2.0000	11/18/11	48.66	39.50	9.16	SALE
05/10/13	1.0000	11/18/11	29.47	19.75	9.72	SALE
05/15/13	1.0000	11/18/11	30.21	19.75	10.46	SALE
05/24/13	1.0000	11/18/11	30.18	19.75	10.43	SALE
07/16/13	15.0000	11/18/11	470.19	296.23	173.96	SALE

2013 SUMMARY AMENDMENT

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(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
451 NOTT ST
SCHENECTADY NY 12308-1812

Account Number

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
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continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/22/13	1.0000	11/18/11	31.51	19.75	11.76	SALE
12/23/13	8.0000	11/18/11	343.23	157.99	185.24	SALE
JDA SOFTWARE GROUP IN / 46612K108						
	3.0000	04/05/11	135.00	91.32	43.68	
	5.0000	08/05/11	225.00	128.16	96.84	
01/11/13	8.0000	Various	360.00	219.48	140.52	MERGER
						TOTAL 2 LOTS
JOHN WILEY & SONS INC CL / 968223206 A						
05/10/13	1.0000	01/19/12	38.72	44.52	-5.80	SALE
JONES GROUP INC / 48020T101						
05/10/13	1.0000	11/02/11	14.14	11.46	2.68	SALE
05/15/13	1.0000	11/02/11	15.01	11.46	3.55	SALE
07/22/13	3.0000	11/02/11	47.58	34.36	13.22	SALE
KOPPERS HOLDINGS INC / 50060P106						
05/10/13	1.0000	04/19/12	40.89	36.60	4.29	SALE
MATTHEWS INTL CORP / 577128101 CL A						
05/24/13	1.0000	02/28/12	38.01	31.24	6.77	SALE
MCGRATH RENTCORP / 580589109						
07/03/13	5.0000	05/30/12	173.10	124.40	48.70	SALE
MEREDITH CORP / 589433101						
01/02/13	1.0000	04/05/11	34.88	35.00	-0.12	SALE
02/19/13	5.0000	04/05/11	216.76	174.97	41.79	SALE



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8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
05/10/13	04/05/11	1.0000	42.96	35.00	7.96	SALE
05/24/13	04/05/11	1.0000	41.38	35.00	6.38	SALE
MONTPELIER RE HLDGS LTD / G62185106						
04/19/13	03/13/12	11.0000	280.46	202.93	77.53	SALE
05/10/13	03/13/12	1.0000	25.87	18.45	7.42	SALE
05/24/13	03/13/12	1.0000	24.94	18.45	6.49	SALE
NBT BANCORP INC / 628778102						
(DEL) \$.001 PAR 05/24/13	10/06/11	1.0000	20.32	19.57	0.75	SALE
NCR CORP NEW / 62886E108						
05/22/13	04/05/11	4.0000	127.33	75.56	51.77	SALE
NV ENERGY INC / 67073Y106						
02/08/13	04/05/11	8.0000	150.64	120.24	30.40	SALE
OLIN CORP PAR \$1 / 680665205						
01/15/13	08/08/11	1.0000	22.63	17.85	4.78	SALE
05/10/13	08/08/11	2.0000	48.02	35.70	12.32	SALE
05/15/13	08/08/11	1.0000	24.54	17.85	6.69	SALE
05/24/13	08/08/11	2.0000	49.36	35.70	13.66	SALE
PAN AMERICAN SILVER / 697900108						
CORP 05/10/13	04/05/12	2.0000	25.24	43.48	-18.24	SALE

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					Gain or Loss Amount	Additional Information
05/24/13	4.0000	04/05/12	48.80	86.96	-38.16	SALE
PERKINELMER INC / 714046109						
01/17/13	9.0000	09/29/11	310.40	172.68	137.72	SALE
	18.0000	09/29/11	624.85	345.35	279.50	
	3.0000	01/09/12	104.14	63.35	40.79	
02/08/13	21.0000	Various	728.99	408.70	320.29	SALE
						TOTAL 2 LOTS
PMC-SIERRA INC / 69344F106						
01/02/13	9.0000	03/02/11	49.28	66.69	-17.41	SALE
	97.0000	03/02/11	625.17	718.77	-93.60	
	13.0000	04/05/11	83.78	94.38	-10.60	
	16.0000	08/05/11	103.12	94.32	8.80	
	17.0000	01/09/12	109.57	99.91	9.66	
02/26/13	143.0000	Various	921.64	1,007.38	-85.74	SALE
						TOTAL 4 LOTS
PORTLAND GENERAL / 736508847						
ELECTRIC						
01/02/13	1.0000	01/12/11	27.95	21.99	5.96	SALE
05/10/13	1.0000	01/12/11	31.72	21.99	9.73	SALE
05/15/13	1.0000	01/12/11	32.28	21.99	10.29	SALE
07/22/13	1.0000	01/12/11	32.26	21.99	10.27	SALE
SPX CORP / 784635104						
05/10/13	1.0000	09/23/11	74.77	46.05	28.72	SALE
07/16/13	2.0000	09/23/11	147.50	92.10	55.40	SALE
12/23/13	3.0000	09/23/11	294.31	138.15	156.16	SALE



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					Gain or Loss Amount	Additional Information
STEEL DYNAMICS INC / 858119100						
07/22/13	2.0000	05/30/12	30.40	21.06	9.34	SALE
SYMETRA FINANCIAL CORP / 87151Q106						
05/10/13	1.0000	02/13/12	13.48	9.85	3.63	SALE
05/24/13	2.0000	02/13/12	26.86	19.70	7.16	SALE
T C F FINANCIAL CORP / 872275102						
01/02/13	2.0000	10/06/11	24.98	19.95	5.03	SALE
	8.0000	10/06/11	115.52	79.79	35.73	
	12.0000	12/01/11	173.29	118.65	54.64	
05/13/13	20.0000	Various	288.81	198.44	90.37	SALE
05/24/13	2.0000	01/12/12	28.26	22.36	5.90	SALE
UMPQUA HLDGS CORP / 904214103						
01/02/13	1.0000	10/06/11	12.31	9.57	2.74	SALE
05/10/13	1.0000	10/06/11	12.52	9.57	2.95	SALE
05/15/13	1.0000	10/06/11	13.26	9.57	3.69	SALE
05/24/13	1.0000	10/06/11	13.68	9.57	4.11	SALE
W&T OFFSHORE INC / 92922P106						
01/02/13	2.0000	03/15/11	33.30	38.57	-5.27	SALE
	2.0000	03/15/11	30.83	38.56	-7.73	
	4.0000	04/05/11	61.66	91.68	-30.02	
	10.0000	08/05/11	154.15	217.56	-63.41	
03/11/13	16.0000	Various	246.64	347.80	-101.16	SALE
						TOTAL 3 LOTS
						TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
WADDELL & REED FINL INC / 930059100 CLA						
	2.0000	04/05/11	128.17	81.20	46.97	
	9.0000	10/21/11	576.81	233.32	343.49	
	4.0000	09/28/12	256.36	131.68	124.68	
11/19/13	15.0000	Various	961.34	446.20	515.14	SALE
						TOTAL 3 LOTS
WEBSENSE INC / 947684106						
01/02/13	1.0000	04/14/11	15.45	23.55	-8.10	SALE
02/04/13	44.0000	04/14/11	647.07	1,036.20	-389.13	SALE
WEBSTER FINANCIAL CORP / 947890109 WATERBURY CONN						
01/02/13	1.0000	10/06/11	20.96	17.11	3.85	SALE
05/10/13	1.0000	10/06/11	23.22	17.11	6.11	SALE
05/24/13	1.0000	10/06/11	23.05	17.11	5.94	SALE
07/22/13	1.0000	10/06/11	27.79	17.11	10.68	SALE
WESBANCO INC / 950810101						
01/02/13	1.0000	10/06/11	23.09	17.61	5.48	SALE
05/10/13	1.0000	10/06/11	24.83	17.60	7.23	SALE
07/22/13	1.0000	10/06/11	29.71	17.60	12.11	SALE
WINTRUST FINL CORP / 97650W108						
01/02/13	1.0000	02/17/11	37.59	33.42	4.17	SALE
05/15/13	1.0000	02/17/11	36.92	33.42	3.50	SALE
05/24/13	1.0000	02/17/11	37.52	33.42	4.10	SALE



2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
WORTHINGTON INDS INC / 981811102						
01/02/13	1.0000	04/14/11	26.79	20.20	6.59	SALE
04/12/13	10.0000	04/14/11	321.08	202.00	119.08	SALE
05/10/13	1.0000	04/14/11	33.67	20.20	13.47	SALE
05/24/13	1.0000	04/14/11	34.01	20.20	13.81	SALE
07/22/13	1.0000	04/14/11	35.49	20.20	15.29	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES			23,492.37	16,166.61	7,325.76	

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ALLIANT TECHSYSTEMS INC / 018804104						
04/17/13	2.0000	06/03/10	141.72	135.85	5.87	SALE
05/10/13	1.0000	06/03/10	73.30	67.93	5.37	SALE
05/24/13	1.0000	06/03/10	77.47	67.92	9.55	SALE
10/29/13	2.0000	06/03/10	220.40	135.84	84.56	SALE
ALLIED WORLD ASSURANCE / H01631104						
CO HOLDINGS LTD						
05/10/13	1.0000	10/20/09	91.42	46.92	44.50	SALE
ARRIS GROUP INC / 04269Q100						
01/15/13	4.0000	09/20/10	64.40	37.15	27.25	SALE

2013 SUMMARY AMENDMENT

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Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ARRIS GROUP INC NEW / 04270V106						
05/15/13	1.0000	09/20/10	16.82	9.29	7.53	SALE
05/24/13	5.0000	09/20/10	77.58	46.44	31.14	SALE
07/22/13	1.0000	09/20/10	15.38	9.29	6.09	SALE
ASPEN INSURANCE HOLDINGS / G05384105 LTD						
01/15/13	1.0000	10/20/09	32.73	28.16	4.57	SALE
01/17/13	9.0000	10/20/09	295.00	253.44	41.56	SALE
04/19/13	5.0000	10/20/09	190.60	140.80	49.80	SALE
05/24/13	1.0000	10/20/09	36.70	28.16	8.54	SALE
07/22/13	1.0000	10/20/09	38.54	28.16	10.38	SALE
ATWOOD OCEANICS INC / 050095108						
05/10/13	1.0000	10/20/09	52.72	38.64	14.08	SALE
05/24/13	1.0000	10/20/09	54.22	38.64	15.58	SALE
AURICO GOLD INC / 05155C105						
01/02/13	4.0000	02/02/10	32.96	38.55	-5.59	SALE
01/15/13	6.0000	02/02/10	49.32	57.82	-8.50	SALE
	2.0000	02/02/10	15.02	19.27	-4.25	
	9.0000	03/31/10	67.60	64.26	3.34	
	11.0000	Various	82.62	83.53	-0.91	SALE
01/24/13	27.0000	03/31/10	194.90	192.78	2.12	
	37.0000	08/10/10	267.09	227.44	39.65	
02/08/13	64.0000	Various	461.99	420.22	41.77	SALE
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	



2013 SUMMARY AMENDMENT

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Year-End Account Summary

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continued

**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013**

1000000 Non Broker and Dealer Exchange Transactions for 2010					Supplemental Information	
8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1e-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
BANCORPSOUTH INC / 059692103						
01/02/13	03/23/10	2.0000	29.82	31.44	-1.62	SALE
05/10/13	03/23/10	1.0000	16.73	16.73	0.00	SALE
05/15/13	03/23/10	2.0000	34.54	34.54	0.00	SALE
05/24/13	03/23/10	1.0000	17.24	17.24	0.00	SALE
BANK OF HAWAII CORP / 062540109						
05/10/13	10/20/09	1.0000	48.91	41.70	7.21	SALE
05/13/13	10/20/09	3.0000	147.48	125.10	22.38	SALE
05/15/13	10/20/09	1.0000	49.78	41.70	8.08	SALE
	10/20/09	5.0000	254.81	208.50	46.31	
	10/21/10	3.0000	152.88	139.99	13.49	
05/30/13	Various	8.0000	407.69	347.89	59.80	SALE
TOTAL 2 LOTS						
BARNES GROUP INC / 067806109						
01/02/13	10/20/09	1.0000	23.20	17.65	5.55	SALE
05/10/13	10/20/09	2.0000	58.50	35.30	23.20	SALE
05/24/13	10/20/09	1.0000	30.24	17.65	12.59	SALE
07/16/13	10/20/09	4.0000	130.56	70.60	59.96	SALE
BRIGGS & STRATTON CORP / 109043109						
01/02/13	10/28/10	1.0000	21.61	17.65	3.96	SALE
02/25/13	10/28/10	18.0000	432.87	317.70	115.17	SALE
05/10/13	10/28/10	1.0000	22.97	17.65	5.32	SALE

2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

109696104 BRINKS CO / 109696104						
8-Description / CUSIP	1a-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information	
1a-Date of Sale or Exchange						
BRINKER INTL INC / 109641100						
01/02/13	1.0000	10/20/09	31.54	15.05	16.49	SALE
05/10/13	1.0000	10/20/09	40.46	15.05	25.41	SALE
05/24/13	1.0000	10/20/09	40.21	15.05	25.16	SALE
BRINKS CO / 109696104						
01/02/13	1.0000	10/20/09	29.90	25.21	4.69	SALE
05/15/13	1.0000	10/20/09	26.85	25.21	1.64	SALE
05/24/13	2.0000	10/20/09	54.12	50.42	3.70	SALE
CBL & ASSOC PROPERTIES / 124830100						
REIT INC						
01/02/13	1.0000	01/12/10	21.65	10.08	11.57	SALE
05/10/13	2.0000	01/12/10	50.58	20.16	30.42	SALE
05/15/13	1.0000	01/12/10	26.03	10.08	15.95	SALE
07/22/13	3.0000	01/12/10	71.46	30.23	41.23	SALE
CHARLES RIVER / 159864107						
LABORATORIES						
01/15/13	1.0000	09/10/10	41.21	29.25	11.96	SALE
03/14/13	5.0000	09/10/10	233.74	146.24	87.50	SALE
05/15/13	1.0000	09/10/10	45.41	29.25	16.16	SALE
05/24/13	1.0000	09/10/10	44.20	29.25	14.95	SALE
07/22/13	1.0000	09/10/10	44.61	29.25	15.36	SALE



2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

ADVISORS

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 SARATOGA SPRINGS NY 12866
 (518) 581-5040

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 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
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Account Number: [REDACTED]

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
DST SYSTEMS INC / 233326107						
01/15/13	1.0000	10/20/09	62.87	43.88	18.99	SALE
01/18/13	3.0000	10/20/09	190.39	131.64	58.75	SALE
05/10/13	1.0000	05/06/10	69.95	40.37	29.58	SALE
07/22/13	1.0000	05/06/10	70.34	40.37	29.97	SALE
12/23/13	1.0000	05/06/10	90.50	40.37	50.13	SALE
EMCOR GROUP INC / 29084Q100						
01/15/13	1.0000	10/20/09	34.81	24.14	10.67	SALE
05/15/13	1.0000	10/20/09	40.19	24.14	16.05	SALE
ENERSYS INC / 29275Y102						
01/02/13	1.0000	01/05/10	38.48	22.69	15.79	SALE
05/10/13	1.0000	01/05/10	48.12	22.69	25.43	SALE
05/24/13	1.0000	01/05/10	47.97	22.69	25.28	SALE
07/22/13	1.0000	01/05/10	53.64	22.69	30.95	SALE
09/26/13	3.0000	01/05/10	181.83	68.07	113.76	SALE
11/04/13	7.0000	01/05/10	461.75	158.82	302.93	SALE
ESTERLINE TECHNOLOGIES / 297425100						
01/02/13	1.0000	10/20/09	65.62	42.15	23.47	SALE
04/17/13	3.0000	10/20/09	217.07	126.45	90.62	SALE
05/15/13	1.0000	10/20/09	78.93	42.15	36.78	SALE

2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
12/24/13	1.0000	10/20/09	101.80	42.15	59.65	SALE
FIRSTMERIT CORPORATION / 337915102						
01/02/13	2.0000	10/20/09	29.40	34.60	-5.20	SALE
05/10/13	1.0000	10/20/09	17.38	17.38	0.00	SALE
05/15/13	2.0000	10/20/09	35.74	35.74	0.00	SALE
05/24/13	1.0000	10/20/09	18.10	18.10	0.00	SALE
07/22/13	2.0000	10/20/09	43.38	39.14	4.24	SALE
	13.0000	10/20/09	277.80	254.41	23.39	
	1.0000	06/04/10	21.37	17.80	3.57	
09/26/13	14.0000	Various	299.17	272.21	26.96	SALE
TOTAL 2 LOTS						
FULTON FINANCIAL CORP / 360271100						
01/02/13	4.0000	03/08/10	39.92	38.36	1.56	SALE
05/10/13	2.0000	03/08/10	22.90	19.18	3.72	SALE
05/15/13	2.0000	03/08/10	23.02	19.18	3.84	SALE
05/24/13	4.0000	03/08/10	46.12	38.36	7.76	SALE
07/22/13	1.0000	03/08/10	12.54	9.59	2.95	SALE
09/26/13	28.0000	03/08/10	328.19	268.46	59.73	SALE
GENERAL CABLE CORP / 369300108						
01/02/13	1.0000	12/08/09	30.95	29.31	1.64	SALE
05/10/13	1.0000	12/08/09	31.79	29.31	2.48	SALE
05/15/13	1.0000	12/08/09	33.25	29.30	3.95	SALE



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Amended Date: 3/21/14

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
HANESBRANDS INC / 410345102						
01/15/13	2.0000	10/20/09	74.60	45.92	28.68	SALE
05/10/13	1.0000	10/20/09	50.29	22.96	27.33	SALE
05/15/13	1.0000	10/20/09	51.34	22.96	28.38	SALE
05/16/13	3.0000	10/20/09	153.95	68.87	85.08	SALE
05/30/13	5.0000	10/20/09	249.16	114.78	134.38	SALE
HANOVER INSURANCE GROUP / 410867105 INC						
05/10/13	1.0000	10/20/09	50.46	43.25	7.21	SALE
05/15/13	1.0000	10/20/09	51.20	43.25	7.95	SALE
05/24/13	1.0000	10/20/09	49.57	43.25	6.32	SALE
10/14/13	1.0000	10/20/09	56.49	43.25	13.24	SALE
HELEN OF TROY LIMITED / G4388N106						
05/10/13	1.0000	10/21/10	36.87	26.08	10.79	SALE
05/24/13	1.0000	10/21/10	38.80	26.08	12.72	SALE
HOSPITALITY PROPERTIES / 44106M102 REIT TRUST COM SH BEN INT						
01/15/13	1.0000	10/20/09	24.30	21.50	2.80	SALE
05/10/13	1.0000	10/20/09	29.80	21.46	8.34	SALE
05/15/13	1.0000	10/20/09	30.38	21.46	8.92	SALE
05/24/13	1.0000	10/20/09	30.85	21.46	9.39	SALE

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013**

continued

2013-2014 Annual Report - Schedule 13F Filings for 2013						
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/22/13	1.0000	10/20/09	29.05	21.42	7.63	SALE
IDACORP INC / 451107106						
01/02/13	1.0000	10/20/09	43.93	29.02	14.91	SALE
05/10/13	1.0000	10/20/09	47.50	29.02	18.48	SALE
05/15/13	1.0000	10/20/09	49.70	29.02	20.68	SALE
07/22/13	1.0000	10/20/09	52.79	29.02	23.77	SALE
INTERNATIONAL SPEEDWAY / 460335201						
CORP CL A						
01/15/13	1.0000	10/20/09	28.56	27.69	0.87	SALE
01/17/13	7.0000	10/20/09	200.15	193.82	6.33	SALE
	6.0000	10/20/09	166.13	166.13	0.00	
	3.0000	09/08/10	83.07	71.10	11.97	
01/29/13	9.0000	Various	249.20	237.23	11.97	SALE
					TOTAL 2 LOTS	
JARDEN CORP / 471109108						
	1.0000	10/20/09	61.28	27.92	33.36	
	7.0000	05/19/10	428.96	199.71	229.25	
02/08/13	8.0000	Various	490.24	227.63	262.61	SALE
					TOTAL 2 LOTS	
JDA SOFTWARE GROUP INC / 46612K108						
01/11/13	22.0000	12/22/10	990.00	626.59	363.41	MERGER
JONES GROUP INC / 48020T101						
01/02/13	4.0000	11/30/10	44.20	53.82	-9.62	SALE
01/15/13	4.0000	11/30/10	44.90	53.82	-8.92	SALE
04/24/13	9.0000	11/30/10	124.73	121.10	3.63	SALE



2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

Your Financial Advisor :
 BENJAMIN CHUCKROW
 37 HENRY STREET SUITE 201
 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
05/10/13	1.0000	11/30/10	14.14	13.45	0.69	SALE
LIFEPOINT HOSPS INC / 53219L109						
01/15/13	1.0000	10/20/09	41.91	31.39	10.52	SALE
05/10/13	1.0000	10/20/09	48.05	31.39	16.66	SALE
05/24/13	1.0000	10/20/09	47.86	31.39	16.47	SALE
07/22/13	1.0000	10/20/09	50.57	31.39	19.18	SALE
MICROSEMI CORP CL A / 595137100						
01/02/13	2.0000	10/20/09	43.38	30.04	13.34	SALE
05/10/13	1.0000	10/20/09	21.82	15.02	6.80	SALE
05/24/13	3.0000	10/20/09	64.95	45.06	19.89	SALE
07/22/13	1.0000	10/20/09	24.52	15.02	9.50	SALE
10/14/13	2.0000	10/20/09	50.49	30.04	20.45	SALE
10/29/13	8.0000	10/20/09	199.22	120.16	79.06	SALE
NCR CORP NEW / 62886E108						
01/02/13	1.0000	12/09/10	25.93	14.68	11.25	SALE
01/15/13	1.0000	12/09/10	27.24	14.68	12.56	SALE
05/10/13	1.0000	12/09/10	29.82	14.68	15.14	SALE
05/15/13	1.0000	12/09/10	30.83	14.68	16.15	SALE
05/15/13	12.0000	12/09/10	370.12	176.10	194.02	SALE
05/22/13	22.0000	12/09/10	700.30	322.82	377.48	SALE

2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
NV ENERGY INC / 67073Y106						
01/02/13	1 0000	10/20/09	18.47	11.43	7 04	SALE
	36 0000	10/20/09	677.81	411.48	266.33	
	9 0000	09/08/10	169.45	117.50	51.95	
02/08/13	45 0000	Various	847.26	528.98	318.28	SALE
					TOTAL 2 LOTS	
OMEGA HEALTHCARE / 681936100 REIT INVESTORS INC						
01/15/13	1 0000	12/14/10	24 77	19 98	4 79	SALE
05/07/13	14 0000	12/14/10	485.85	275.09	210.76	SALE
05/10/13	2 0000	12/14/10	68.90	39.31	29.59	SALE
07/22/13	2 0000	12/14/10	68.16	39.28	28 88	SALE
OWENS & MINOR INC NEW / 690732102						
01/15/13	1 0000	10/20/09	29.66	31.73	-2 07	SALE
05/10/13	1 0000	10/20/09	33.64	31.73	1.91	SALE
05/24/13	1 0000	10/20/09	34 63	31.73	2.90	SALE
PENN REAL EST INV TR SBI / 709102107 REIT						
01/02/13	1 0000	10/19/10	18.18	12 34	5.84	SALE
05/10/13	2 0000	10/19/10	42.34	24.34	18 00	SALE
05/15/13	1 0000	10/19/10	21.25	12 17	9.08	SALE
05/24/13	1 0000	10/19/10	21.01	12.17	8.84	SALE
07/22/13	1 0000	10/19/10	20.77	11.99	8.78	SALE



2013 SUMMARY AMENDMENT

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
PLANTRONICS INC / 727493108						
01/15/13	1.0000	10/20/09	37.33	26.16	11.17	SALE
05/15/13	1.0000	10/20/09	45.18	26.16	19.02	SALE
05/24/13	1.0000	10/20/09	45.41	26.16	19.25	SALE
PLATINUM UNDERWRITERS / G7127P100 HLDGS						
01/15/13	1.0000	10/20/09	47.19	37.58	9.61	SALE
	6.0000	10/20/09	331.66	225.48	106.18	
	1.0000	12/09/09	55.28	36.60	18.68	
04/19/13	7.0000	Various	- 386.94	262.08	124.86	SALE
05/10/13	1.0000	12/09/09	58.04	36.60	21.44	SALE
07/22/13	1.0000	12/08/09	58.65	36.60	22.05	SALE
PROSPERITY BANCSHS INC / 743606105						
01/02/13	1.0000	12/09/10	42.85	36.49	6.36	SALE
02/26/13	8.0000	12/09/10	361.57	291.92	69.65	SALE
05/15/13	1.0000	12/09/10	47.97	36.49	11.48	SALE
07/22/13	1.0000	12/09/10	59.28	36.49	22.79	SALE
10/14/13	1.0000	12/09/10	63.79	36.49	27.30	SALE
PROTECTIVE LIFE CORP / 743674103						
	7.0000	10/20/09	243.89	155.54	88.35	
	4.0000	11/10/09	139.37	68.63	70.74	
	11.0000	Various	383.26	224.17	159.09	SALE
04/09/13						
05/15/13	1.0000	11/10/09	39.09	17.16	21.93	SALE
						TOTAL 2 LOTS

TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/21/14

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Account Number [REDACTED]

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/22/13	2.0000	11/10/09	87.38	34.31	53.07	SALE
RYDER SYSTEM INC / 783549108.						
01/02/13	1.0000	10/20/09	50.56	45.78	4.78	SALE
05/10/13	1.0000	10/20/09	60.73	45.78	14.95	SALE
05/15/13	1.0000	10/20/09	61.82	45.78	16.04	SALE
10/14/13	1.0000	10/20/09	60.12	45.78	14.34	SALE
SUPERIOR ENERGY SVCS INC / 868157108						
01/02/13	2.0000	10/20/09	42.60	49.42	-6.82	SALE
01/15/13	1.0000	10/20/09	22.80	24.71	-1.91	SALE
05/15/13	1.0000	10/20/09	28.09	24.71	3.38	SALE
05/24/13	1.0000	10/20/09	27.94	24.71	3.23	SALE
07/22/13	1.0000	10/20/09	27.61	24.71	2.90	SALE
TECO ENERGY INC / 872375100						
01/15/13	1.0000	10/20/09	16.97	14.43	2.54	SALE
05/10/13	2.0000	10/20/09	37.08	28.86	8.22	SALE
05/15/13	2.0000	10/20/09	37.62	28.86	8.76	SALE
TELEFLEX INCORPORATED / 879369106						
	11.0000	10/20/09	842.52	552.20	290.32	
	4.0000	12/15/10	306.37	220.98	85.39	
02/08/13	15.0000	Various	1,148.89	773.18	375.71	SALE
					TOTAL 2 LOTS	
TUTOR PERINI CORP / 901109108						
01/02/13	1.0000	10/20/09	14.19	20.85	-6.66	SALE



2013 SUMMARY AMENDMENT

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
05/10/13	2.0000	12/08/09	35.12	35.45	-0.33	SALE
05/24/13	1.0000	12/08/09	17.60	17.72	-0.12	SALE
WADDELL & REED FINL INC / 930059100						
CL A						
01/02/13	1.0000	10/20/09	35.88	29.67	6.21	SALE
03/07/13	10.0000	10/20/09	420.88	296.70	124.18	SALE
05/10/13	1.0000	10/20/09	44.23	29.67	14.56	SALE
05/24/13	1.0000	10/20/09	45.25	29.67	15.58	SALE
10/14/13	1.0000	10/20/09	55.21	29.67	25.54	SALE
11/19/13	10.0000	06/16/10	640.89	255.24	385.65	SALE
WASHINGTON FED INC / 938824109						
01/02/13	1.0000	10/20/09	16.98	16.01	0.97	SALE
01/15/13	2.0000	10/20/09	34.38	32.02	2.36	SALE
05/10/13	2.0000	10/20/09	34.16	32.02	2.14	SALE
05/15/13	2.0000	10/20/09	34.78	32.02	2.76	SALE
05/24/13	2.0000	10/20/09	34.82	32.02	2.80	SALE
09/26/13	27.0000	10/20/09	552.42	432.23	120.19	SALE

**TOTAL LONG TERM GAINS OR LOSSES FOR
 NONCOVERED SECURITIES**

19,548.71 13,119.27 6,429.44

2013 SUMMARY AMENDMENT

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PARTNERSHIP DISPOSITIONS FOR NONCOVERED SECURITIES Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
KKR FINANCIAL HOLDINGS / 48248A306 LLC 05/24/13	1.0000	02/08/13	10.57	11.20	-0.63	SALE
TOTAL PARTNERSHIP DISPOSITIONS				11.20	-0.63	

*Box 2a. Sales price less commissions and option premiums



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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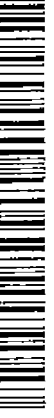
Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Date of Acquisition	1c-Quantity Sold	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
DEVON ENERGY CORP / 25179M103 09/04/13	12/19/12	10.0000	575.92	539.23	36.69	SALE
10/22/13	12/19/12	50.0000	3,276.00	2,696.12	579.88	SALE
DISNEY WALT COMPANY / 254687106 11/07/13	10/22/13	65.0000	4,433.79	4,461.93	-28.14	SALE
DR PEPPER SNAPPLE GROUP / 26138E109 INC 10/22/13	09/12/13	15.0000	678.45	667.44	11.01	SALE
EBAY INC / 278642103 01/14/13	06/27/12	35.0000	1,859.03	1,484.18	374.85	SALE
EXELON CORPORATION / 30161N101 05/24/13	06/27/12	20.0000	689.18	744.11	-54.93	SALE
10/22/13	11/15/12	35.0000	993.29	1,033.60	-40.31	SALE
EXPRESS SCRIPTS HLDG CO / 30219G108 10/22/13	06/19/13	40.0000	2,586.75	2,506.64	80.11	SALE
GLAXOSMITHKLINE PLC-ADR / 37732W105 05/24/13	06/27/12	10.0000	528.69	457.80	70.89	SALE
GOOGLE INC CL A / 38259P508 10/18/13	11/05/12	1.0000	999.56	680.41	319.15	SALE
11/27/13	10/22/13	1.0000	1,065.64	1,004.17	61.47	SALE
JPMORGAN CHASE & CO / 46625H100 11/08/13	10/22/13	29.0000	1,551.47	1,572.09	-20.62	SALE



2013 ENHANCED SUMMARY

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/26/13	5.0000	08/22/12	425.14	363.90	61.24	SALE
PRICELINE COM INC / 741503403 COM NEW						
11/27/13	1.0000	10/22/13	1,185.29	1,069.25	116.04	SALE
PROCTER & GAMBLE CO / 742718109						
01/02/13	5.0000	01/20/12	344.79	329.47	15.32	SALE
SCHWAB CHARLES CORP NEW / 808513105						
03/12/13	40.0000	06/27/12	705.18	496.00	209.18	SALE
03/18/13	40.0000	06/27/12	710.20	496.00	214.20	SALE
SIGMA ALDRICH CORP / 823552101						
01/02/13	5.0000	07/31/12	372.39	346.85	25.54	SALE
TAIWAN SEMICONDUCTOR / 874039100 MFG CO LTD ADR						
09/19/13	20.0000	08/20/13	351.89	316.89	35.00	SALE
10/22/13	130.0000	08/20/13	2,493.86	2,059.76	434.10	SALE
UNILEVER N V / 904784709						
01/24/13	60.0000	06/27/12	2,385.66	1,938.59	447.07	
	15.0000	09/28/12	596.42	533.10	63.32	
	75.0000	Various	2,982.08	2,471.69	510.39	SALE
TOTAL 2 LOTS						
UNITEDHEALTH GROUP / 91324P102 INC						
11/27/13	7.0000	10/22/13	521.42	483.26	38.16	SALE



2013 ENHANCED SUMMARY

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Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
VIPSHOP HOLDINGS LTD / 92763W103 SPONSORED ADR 11/27/13	9.0000	10/22/13	722.33	657.79	64.54	SALE
WAL-MART STORES INC / 931142103 01/02/13	5.0000	06/27/12	344.49	342.08	2.41	SALE
WELLS FARGO COMPANY / 949746101 01/02/13	10.0000	06/27/12	348.29	326.66	21.63	SALE
WESCO AIRCRAFT HOLDINGS / 950814103 INC 11/27/13	13.0000	10/22/13	270.14	239.85	30.29	SALE
YOUKU TUDOU INC / 98742U100 SPONSORED ADR 11/27/13	4.0000	10/22/13	108.24	118.95	-10.71	SALE

TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES

45,962.75 41,232.42 4,730.33

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
AMERICAN EXPRESS COMPANY / 025816109 07/26/13	5.0000	06/27/12	374.89	283.80	91.09	SALE
09/19/13	5.0000	06/27/12	386.24	283.80	102.44	SALE
	25.0000	06/27/12	2,018.96	1,419.00	599.96	

2013 ENHANCED SUMMARY

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 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

a-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
10/22/13	10.0000	09/28/12	807.59	570.10	237.49	TOTAL 2 LOTS
	35.0000	Various	2,826.55	1,989.10	837.45	SALE
BARD CR INC / 067383109						
07/26/13	5.0000	06/27/12	566.54	522.69	43.85	SALE
09/13/13	10.0000	06/27/12	1,184.13	1,045.38	138.75	SALE
09/20/13	10.0000	06/27/12	1,187.70	1,045.38	142.32	SALE
BARRICK GOLD CORP / 067801108						
09/04/13	25.0000	06/27/12	480.36	923.92	-443.56	SALE
	60.0000	06/27/12	1,191.25	2,217.38	-1,026.13	
	10.0000	08/13/12	198.54	347.96	-149.42	
10/22/13	70.0000	Various	1,389.79	2,565.34	-1,175.55	SALE
						TOTAL 2 LOTS
BAXTER INTERNATIONAL INC / 071813109						
09/19/13	5.0000	06/27/12	360.39	259.53	100.86	SALE
10/22/13	40.0000	06/27/12	2,623.15	2,076.24	546.91	SALE
BECTON DICKINSON & CO / 075887109						
10/16/13	5.0000	06/27/12	512.04	368.85	143.19	SALE
	15.0000	06/27/12	1,585.62	1,106.55	479.07	
	5.0000	09/28/12	528.54	393.10	135.44	
10/22/13	20.0000	Various	2,114.16	1,499.65	614.51	SALE
						TOTAL 2 LOTS
CHEVRON CORPORATION / 166764100						
	2.0000	09/29/11	240.51	187.84	52.67	
	14.0000	06/19/12	1,683.62	1,458.65	224.97	
10/22/13	16.0000	Various	1,924.13	1,646.49	277.64	SALE
						TOTAL 2 LOTS



ADVISORS

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SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: 0

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

Transactions from Broker and Dealer Exchange Transactions for 2010						
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
CHUBB CORP / 171232101						
09/19/13		5.0000	06/27/12	449.89	353.70	96.19 SALE
10/22/13		18.0000	06/27/12	1,685.13	1,273.32	411.81 SALE
CISCO SYSTEMS INC / 17275R102						
		75.0000	06/27/12	1,687.47	1,254.56	432.91
		25.0000	09/28/12	562.49	480.75	81.74
10/22/13		100.0000	Various	2,249.96	1,735.31	514.65 SALE
TOTAL 2 LOTS						
CONOCOPHILLIPS / 20825C104						
09/19/13		10.0000	06/27/12	706.38	542.95	163.43 SALE
		30.0000	06/27/12	2,189.96	1,628.85	561.11
		10.0000	09/28/12	729.99	571.30	158.69
10/22/13		40.0000	Various	2,919.95	2,200.15	719.80 SALE
TOTAL 2 LOTS						
CVS CAREMARK CORP / 126650100						
09/19/13		10.0000	02/17/12	600.18	444.00	156.18 SALE
		11.0000	02/17/12	668.12	488.40	179.72
		8.0000	04/02/12	485.91	356.60	129.31
		8.0000	04/16/12	485.92	348.27	137.65
10/22/13		27.0000	Various	1,639.95	1,192.67	447.28 SALE
TOTAL 3 LOTS						
DR PEPPER SNAPPLE GROUP / 26138E109						
INC						
10/16/13		10.0000	06/27/12	446.49	429.85	16.64 SALE
		50.0000	06/27/12	2,261.46	2,149.25	112.21
		20.0000	09/28/12	904.58	888.00	16.58
10/22/13		70.0000	Various	3,166.04	3,037.25	128.79 SALE
TOTAL 2 LOTS						

2013 ENHANCED SUMMARY

Page 12 of 27

As of Date: 2/07/14

Your Financial Advisor :

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37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
EXELON CORPORATION / 30161N101						
	35.0000	06/27/12	993.28	1,302.18	-308.90	
	20.0000	09/28/12	567.59	712.20	-144.61	
	35.0000	10/04/12	993.28	1,257.28	-264.00	
10/22/13	90.0000	Various	2,554.15	3,271.66	-717.51	SALE
						TOTAL 3 LOTS
EXXON MOBIL CORP / 30231G102						
	8.0000	09/30/11	699.66	593.92	105.74	
	11.0000	12/07/11	962.04	884.94	77.10	
	5.0000	04/23/12	437.29	427.30	9.99	
	12.0000	06/15/12	1,049.50	994.64	54.86	
	10.0000	09/28/12	874.60	914.70	-40.10	
10/22/13	46.0000	Various	4,023.09	3,815.50	207.59	SALE
						TOTAL 5 LOTS
GLAXOSMITHKLINE PLC-ADR / 37733W105						
	35.0000	06/27/12	1,812.98	1,602.30	210.68	
	10.0000	09/28/12	518.00	463.30	54.70	
10/22/13	45.0000	Various	2,330.98	2,065.60	265.38	SALE
						TOTAL 2 LOTS
GOOGLE INC CL A / 38259P508						
10/18/13	3.0000	06/27/12	2,998.65	1,718.67	1,279.98	SALE
JOHNSON & JOHNSON / 478160104						
	7.0000	01/30/12	606.11	459.49	146.62	
	3.0000	03/05/12	259.77	194.00	65.77	
	10.0000	Various	865.88	653.49	212.39	SALE
05/24/13	5.0000	03/05/12	447.39	323.33	124.06	SALE
09/12/13	5.0000	03/05/12	449.84	323.33	126.51	SALE
09/19/13	7.0000	03/05/12	643.70	452.66	191.04	SALE
	4.0000	03/08/12	967.84	259.72	108.12	
						TOTAL 2 LOTS



2013 ENHANCED SUMMARY

Page 13 of 27

As of Date: 2/07/14

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(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/22/13	11.0000	Various	1,011.54	712.38	299.16	SALE
					TOTAL 2 LOTS	
MEDTRONIC INC / 585055106						
09/04/13	10.0000	06/27/12	534.12	380.25	153.87	SALE
	65.0000	06/27/12	3,680.40	2,471.62	1,208.78	
	10.0000	09/28/12	566.22	430.40	135.82	
10/22/13	75.0000	Various	4,246.62	2,902.02	1,344.60	SALE
					TOTAL 2 LOTS	
MICROSOFT CORP / 594918104						
	18.0000	04/27/11	615.22	472.98	142.24	
	12.0000	04/05/12	410.16	378.12	32.04	
05/24/13	30.0000	Various	1,025.38	851.10	174.28	SALE
	4.0000	04/05/12	127.42	126.04	1.38	
	21.0000	06/27/12	668.96	635.36	33.60	
07/30/13	25.0000	Various	796.38	761.40	34.98	SALE
09/19/13	15.0000	06/27/12	499.85	453.83	46.02	SALE
10/16/13	10.0000	06/27/12	346.69	302.55	44.14	SALE
10/22/13	20.0000	06/27/12	696.39	605.10	91.29	SALE
					TOTAL 2 LOTS	
MOLSON COORS BREWING CO / 60871R209						
CL-B						
09/19/13	10.0000	06/27/12	514.43	396.60	117.83	SALE
	85.0000	06/27/12	4,611.51	3,371.09	1,240.42	
	15.0000	09/28/12	813.80	678.60	135.20	
10/22/13	100.0000	Various	5,425.31	4,049.69	1,375.62	SALE
					TOTAL 2 LOTS	
NEWMONT MINING CORP NEW / 651639106						
10/22/13	55.0000	06/27/12	1,559.22	2,650.99	-1,091.77	SALE

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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 37 HENRY STREET SUITE 201
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 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013**

continued

Continuation of Schedule 13F Form for 2013						
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
NORTHROP GRUMMAN CORP / 666807102						
NEW						
07/26/13		10.0000	06/27/12	903.08	622.70	280.38 SALE
09/19/13		5.0000	06/27/12	490.99	311.35	179.64 SALE
		20.0000	06/27/12	2,029.96	1,245.40	784.56
		10.0000	09/28/12	1,014.99	664.50	350.49
10/22/13		30.0000	Various	3,044.95	1,909.90	1,135.05 SALE
TOTAL 2 LOTS						
PEPSICO INCORPORATED / 713448108						
09/19/13		5.0000	08/22/12	407.69	363.90	43.79 SALE
		10.0000	08/22/12	830.08	727.80	102.28
		30.0000	09/27/12	2,490.25	2,121.89	368.36
		15.0000	09/28/12	1,245.14	1,060.35	184.79
10/22/13		55.0000	Various	4,565.47	3,910.04	655.43 SALE
TOTAL 3 LOTS						
PNC FINANCIAL SERVICES / 693475105						
GROUP						
07/26/13		10.0000	06/27/12	757.08	594.15	162.93 SALE
09/19/13		5.0000	06/27/12	367.79	297.08	70.71 SALE
		25.0000	06/27/12	1,883.96	1,485.37	398.59
		20.0000	10/17/12	1,507.18	1,183.95	323.23
10/22/13		45.0000	Various	3,391.14	2,669.32	721.82 SALE
TOTAL 2 LOTS						
PROCTER & GAMBLE CO / 742718109						
09/04/13		5.0000	04/04/12	387.86	336.75	51.11 SALE
		4.0000	04/04/12	318.87	269.40	49.47
		40.0000	06/27/12	3,188.75	2,394.21	794.54



2013 ENHANCED SUMMARY

Page 15 of 27

As of Date: 2/07/14

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 (518) 581-5040

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 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/22/13	44.0000	Various	3,507.62	2,663.61	844.01	SALE TOTAL 2 LOTS
SCHWAB CHARLES CORP NEW / 808513105						
07/26/13	30.0000	06/27/12	658.18	372.00	286.18	SALE
07/30/13	25.0000	06/27/12	554.47	310.00	244.47	SALE
10/16/13	20.0000	06/27/12	470.00	248.00	222.00	SALE
10/22/13	18.0000	06/27/12	417.95	223.20	194.75	SALE
SUNTRUST BANKS INC / 867914103						
07/26/13	14.0000	05/17/11	472.07	390.93	81.14	
	1.0000	01/12/12	33.72	20.60	13.12	
10/16/13	15.0000	Various	505.79	411.53	94.26	SALE TOTAL 2 LOTS
	28.0000	01/12/12	967.69	576.79	390.90	
	22.0000	06/05/12	760.33	474.69	285.64	
10/22/13	50.0000	Various	1,728.02	1,051.48	676.54	SALE TOTAL 2 LOTS
TARGET CORP / 87612E106						
07/26/13	10.0000	06/27/12	714.88	573.96	140.92	SALE
	50.0000	06/27/12	3,246.44	2,869.75	376.69	
	10.0000	09/28/12	649.29	637.30	11.99	
10/22/13	60.0000	Various	3,895.73	3,507.05	388.68	SALE TOTAL 2 LOTS
TORCHMARK CORP / 891027104						
07/18/13	5.0000	06/27/12	347.19	246.90	100.29	SALE
09/19/13	5.0000	06/27/12	358.71	246.90	111.81	SALE
10/16/13	5.0000	06/27/12	368.37	246.90	121.47	SALE
	10.0000	06/27/12	754.08	493.80	260.28	

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

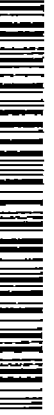
Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/22/13	10.0000	09/28/12	754.09	512.80	241.29	
	20.0000	Various	1,508.17	1,006.60	501.57	SALE
						TOTAL 2 LOTS
TRAVELERS COS INC/ THE / 89417E109						
09/04/13	3.0000	04/07/11	242.85	178.86	63.99	SALE
	11.0000	04/07/11	960.17	655.82	304.35	
	11.0000	12/13/11	960.17	613.79	346.38	
	5.0000	09/28/12	436.45	341.95	94.50	
10/22/13	27.0000	Various	2,356.79	1,611.56	745.23	SALE
						TOTAL 3 LOTS
US BANCORP NEW / 902973304						
09/19/13	2.0000	04/07/11	75.36	52.81	22.55	SALE
10/22/13	52.0000	04/07/11	1,986.40	1,373.06	613.34	SALE
WAL-MART STORES INC / 931142103						
09/19/13	5.0000	06/27/12	379.19	342.08	37.11	SALE
10/22/13	41.0000	06/27/12	3,109.39	2,805.01	304.38	SALE
WELLS FARGO COMPANY / 949746101						
07/26/13	20.0000	06/27/12	869.98	653.31	216.67	SALE
11/27/13	3.0000	06/27/12	133.17	98.00	35.17	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES					81,194.87	17,429.34



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

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For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ABERCROMBIE & FITCH CO / 002896207						
05/10/13	4.0000	02/08/13	214.52	205.51	9.01	SALE
06/06/13	2.0000	02/08/13	99.28	102.76	-3.48	SALE
	8.0000	02/08/13	397.39	411.00	-13.61	
	3.0000	02/12/13	149.03	151.57	-2.54	
07/23/13	11.0000	Various	546.42	562.57	-16.15	SALE
	4.0000	02/12/13	199.77	202.09	-2.32	
	4.0000	02/21/13	199.77	202.08	-2.31	
	3.0000	03/25/13	149.83	140.16	9.67	
	2.0000	05/24/13	99.89	97.72	2.17	
07/31/13	13.0000	Various	649.26	642.05	7.21	SALE
TOTAL 2 LOTS						
AECOM TECHNOLOGY CORP / 00766T100						
	19.0000	07/25/13	607.81	647.63	-39.82	
	2.0000	09/03/13	63.98	57.18	6.80	
10/28/13	21.0000	Various	671.79	704.81	-33.02	SALE
TOTAL 2 LOTS						
AES CORP / 00130H105						
04/23/13	5.0000	03/05/13	65.68	60.41	5.27	SALE
X 07/17/13	11.0000	03/05/13	141.35	132.46	8.89	SALE
X 09/03/13	15.0000	03/05/13	189.75	180.03	9.72	SALE
TOTAL 4 LOTS						
AETNA INC(NEW) / 00817Y108						
05/24/13	1.0000	04/19/13	59.59	54.93	4.66	SALE

2013 SUMMARY AMENDMENT

Page 6 of 79

Amended Date: 3/28/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
06/06/13	1.0000	04/19/13	59.12	54.93	4.19	SALE
06/19/13	1.0000	04/19/13	62.29	54.93	7.36	SALE
07/05/13	1.0000	04/19/13	62.47	54.93	7.54	SALE
07/22/13	1.0000	04/19/13	65.52	54.93	10.59	SALE
07/26/13	1.0000	04/19/13	64.63	54.93	9.70	SALE
09/03/13	1.0000	04/19/13	63.64	54.93	8.71	SALE
AGCO CORP / 001084102						
	4.0000	01/11/12	203.22	198.80	4.42	
01/10/13	1.0000	07/05/12	50.81	46.10	4.71	
05/10/13	5.0000	Various	254.03	244.90	9.13	SALE
06/06/13	2.0000	07/05/12	111.84	92.20	19.64	SALE
07/17/13	2.0000	09/13/12	109.26	89.75	19.51	SALE
07/22/13	2.0000	09/13/12	108.72	89.74	18.98	SALE
07/31/13	1.0000	09/13/12	55.74	44.87	10.87	SALE
09/03/13	1.0000	09/13/12	56.55	44.87	11.68	SALE
11/11/13	2.0000	09/13/12	112.28	89.74	22.54	SALE
	3.0000	03/20/13	171.24	155.26	15.98	SALE
	6.0000	03/20/13	347.69	310.51	37.18	
	2.0000	05/02/13	115.90	104.78	11.12	
	8.0000	Various	463.59	415.29	48.30	SALE
	8.0000	05/02/13	460.04	419.10	40.94	
	1.0000	05/24/13	57.50	53.29	4.21	
	2.0000	07/05/13	115.02	99.86	15.16	
11/13/13						TOTAL 2 LOTS



2013 SUMMARY AMENDMENT

Page 7 of 79

Amended Date: 3/28/14

ADVISORS

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
11/25/13	11.0000	Various	632.56	572.25	60.31	SALE TOTAL 3 LOTS
ASHLAND INC NEW / 044209104						
	3.0000	01/30/12	239.61	189.95	49.66	
	2.0000	06/22/12	159.74	137.54	22.20	
	4.0000	06/25/12	319.49	271.29	48.20	
01/30/13	9.0000	Various	718.84	598.78	120.06	SALE TOTAL 3 LOTS
ATMEL CORP / 049513104						
07/18/13	75.0000	06/04/13	583.15	619.91	-36.76	SALE
	9.0000	06/04/13	72.59	74.38	-1.79	
	25.0000	06/06/13	201.64	204.69	-3.05	
	35.0000	06/10/13	282.30	281.58	0.72	
08/01/13	69.0000	Various	556.53	560.65	-4.12	SALE TOTAL 3 LOTS
AVERY DENNISON CORP / 053611109						
03/28/13	5.0000	12/18/12	212.84	171.17	41.67	SALE
04/08/13	7.0000	12/18/12	291.59	239.63	51.96	SALE
04/11/13	5.0000	12/18/12	215.51	171.17	44.34	SALE
06/19/13	2.0000	12/18/12	87.78	68.46	19.32	SALE
	5.0000	01/09/13	221.77	181.29	40.48	
	5.0000	01/31/13	221.78	193.29	28.49	
	5.0000	02/04/13	221.78	192.85	28.93	
09/20/13	15.0000	Various	665.33	567.43	97.90	SALE TOTAL 3 LOTS
AVNET INC / 053807103						
05/24/13	2.0000	01/29/13	66.62	70.23	-3.61	SALE
06/05/13	2.0000	01/29/13	66.82	70.22	-3.40	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12856
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/05/13	3.0000	01/29/13	104.43	105.33	-0.90	SALE
07/22/13	2.0000	01/29/13	72.93	70.22	2.71	SALE
07/26/13	3.0000	01/29/13	111.72	105.33	6.39	SALE
08/22/13	8.0000	01/29/13	310.71	280.88	29.83	SALE
08/26/13	8.0000	01/29/13	315.27	280.88	34.39	SALE
	1.0000	01/29/13	42.21	35.11	7.10	
	15.0000	02/05/13	633.21	542.16	91.05	
11/18/13	16.0000	Various	675.42	577.27	98.15	SALE
11/29/13	8.0000	03/04/13	320.54	276.42	44.12	SALE
TOTAL 2 LOTS						
AXIALL CORP / 05453D100						
	14.0000	01/25/13	575.68	695.53	-119.85	
	4.0000	01/28/13	164.48	209.02	-44.54	
	9.0000	04/02/13	370.08	550.62	-180.54	
	4.0000	04/04/13	164.48	227.42	-62.94	
	1.0000	04/23/13	41.12	54.53	-13.41	
	6.0000	05/10/13	246.72	279.24	-32.52	
	2.0000	07/05/13	82.25	82.46	-0.21	
07/10/13	40.0000	Various	1,644.81	2,098.82	-454.01	SALE
TOTAL 7 LOTS						
BECTON DICKINSON & CO / 075887109						
04/23/13	2.0000	02/07/13	191.88	175.78	16.10	SALE
05/24/13	1.0000	02/07/13	100.32	87.89	12.43	SALE
07/17/13	1.0000	02/07/13	102.48	87.89	14.59	SALE
07/31/13	1.0000	02/07/13	103.74	87.89	15.85	SALE
	3.0000	02/07/13	302.92	263.67	39.25	



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
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(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
08/05/13	4.0000	02/11/13	403.90	351.93	51.97	
	2.0000	02/13/13	201.96	177.87	24.09	
	9.0000	Various	908.78	793.47	115.31	SALE
	2.0000	02/13/13	200.62	177.86	22.76	
	4.0000	02/21/13	401.26	349.98	51.28	
08/08/13	6.0000	Various	601.88	527.84	74.04	SALE
						TOTAL 3 LOTS
						TOTAL 2 LOTS
BEMIS CO INC / 081437105						
03/04/13	5.0000	11/21/12	187.57	166.98	20.59	SALE
03/28/13	5.0000	11/21/12	199.12	166.97	32.15	SALE
04/23/13	2.0000	11/21/12	81.56	66.79	14.77	SALE
04/24/13	5.0000	11/21/12	205.11	166.97	38.14	SALE
	2.0000	11/21/12	78.73	66.78	11.95	
	9.0000	01/14/13	354.32	313.62	40.70	
05/06/13	11.0000	Various	433.05	380.40	52.65	SALE
	8.0000	01/14/13	316.04	278.77	37.27	
	10.0000	02/01/13	395.05	361.29	33.76	
05/10/13	18.0000	Various	711.09	640.06	71.03	SALE
						TOTAL 2 LOTS
BEST BUY CO INC / 086516101						
09/03/13	2.0000	08/26/13	73.42	70.41	3.01	SALE
BOSTON SCIENTIFIC CORP / 101137107						
04/23/13	7.0000	01/30/13	51.74	51.38	0.36	SALE
05/10/13	16.0000	01/30/13	133.92	117.44	16.48	SALE
05/24/13	12.0000	01/30/13	109.56	88.08	21.48	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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(518) 581-5040

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GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013**

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
06/19/13	8.0000	01/30/13	76.40	58.72	17.68	SALE
07/19/13	7.0000	01/30/13	66.76	51.38	15.38	SALE
07/22/13	6.0000	01/30/13	59.94	44.04	15.90	SALE
07/26/13	11.0000	01/30/13	119.46	80.74	38.72	SALE
09/03/13	5.0000	01/30/13	54.30	36.70	17.60	SALE
BROADCOM CORP / 111320107						
CL A						
02/01/13	18.0000	06/27/12	590.31	593.74	-3.43	TOTAL 2 LOTS
	4.0000	07/19/12	131.18	128.51	2.67	
	22.0000	Various	721.49	722.25	-0.76	
02/05/13	7.0000	07/19/12	228.68	224.87	3.81	TOTAL 2 LOTS
	1.0000	07/26/12	32.67	33.06	-0.39	
	8.0000	Various	261.35	257.93	3.42	
04/12/13	7.0000	07/26/12	237.60	230.65	6.95	TOTAL 2 LOTS
	2.0000	08/03/12	67.89	68.70	-0.81	
	9.0000	Various	305.49	299.35	6.14	
05/10/13	2.0000	08/03/12	73.34	68.70	4.64	TOTAL 2 LOTS
	13.0000	08/03/12	433.49	445.12	-11.63	
	6.0000	08/15/12	200.08	206.98	-6.90	
06/13/13	19.0000	Various	693.57	652.10	-18.53	TOTAL 2 LOTS

CADENCE DESIGN SYSTEMS / 127387108
INC

03/05/13 11.0000 04/26/12 159.17 131.01 28.16 SALE
19.0000 05/09/12 267.19 212.23 54.96
22.0000 05/11/12 309.38 241.18 68.20



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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(518) 581-5040

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461 NOTT ST
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Account Number: [REDACTED]

Year-End Account Summary

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
03/12/13	7.0000	05/14/12	98.44	75.63	22.81	
	20.0000	06/07/12	281.27	211.60	69.67	
	5.0000	12/12/12	70.32	66.10	4.22	
	73.0000	Various	1,026.60	806.74	219.86	SALE
						TOTAL 5 LOTS
CALPINE CORP / 131347304						
03/04/13	28.0000	07/25/12	517.66	476.32	41.34	
	22.0000	07/27/12	406.74	383.01	23.73	
	13.0000	08/03/12	240.34	219.75	20.59	
	8.0000	01/16/13	147.91	149.98	-2.07	
	18.0000	01/24/13	332.80	344.85	-12.05	
	89.0000	Various	1,645.45	1,573.91	71.54	SALE
						TOTAL 5 LOTS
CARDINAL HEALTH INC / 14149Y108						
09/03/13	1.0000	08/15/13	50.13	51.86	-1.73	SALE
12/23/13	5.0000	08/15/13	334.29	259.27	75.02	SALE
CAREFUSION CORP / 14170T101						
04/23/13	2.0000	02/20/13	68.66	66.52	2.14	SALE
05/17/13	19.0000	02/20/13	646.39	631.85	14.54	
	2.0000	02/21/13	68.05	65.24	2.81	
	21.0000	Various	714.44	697.09	17.35	SALE
06/06/13	3.0000	02/21/13	112.44	97.85	14.59	SALE
	6.0000	02/21/13	227.76	195.68	32.08	
	10.0000	03/04/13	379.62	329.31	50.31	
07/11/13	2.0000	05/10/13	75.93	66.40	9.53	
	18.0000	Various	683.31	591.39	91.92	SALE
						TOTAL 3 LOTS

TOTAL 2 LOTS

TOTAL 3 LOTS

2013 SUMMARY AMENDMENT

Page 12 of 79

Amended Date: 3/28/14

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SARATOGA SPRINGS NY 12866
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GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
CBRE GROUP INC CLASS A / 12504L109						
04/23/13	3.0000	02/11/13	72.78	73.24	-0.46	SALE
07/05/13	4.0000	02/11/13	93.00	97.64	-4.64	SALE
07/26/13	3.0000	02/11/13	71.91	73.23	-1.32	SALE
	13.0000	02/11/13	286.16	317.33	-31.17	
	13.0000	02/13/13	286.17	319.47	-33.30	
10/09/13	26.0000	Various	572.33	636.80	-64.47	SALE
	7.0000	02/13/13	162.68	172.01	-9.33	
	8.0000	02/19/13	185.94	194.48	-8.54	
10/22/13	15.0000	Various	348.62	366.49	-17.87	SALE
	4.0000	02/19/13	89.79	97.23	-7.44	
	9.0000	03/07/13	202.03	221.48	-19.45	
11/11/13	13.0000	Various	291.82	318.71	-26.89	SALE
CF INDUSTRIES HOLDINGS / 125269100						TOTAL 2 LOTS
INC						
	1.0000	07/24/12	194.78	195.43	-0.65	
	1.0000	07/25/12	194.79	195.83	-1.04	
	1.0000	11/09/12	194.79	199.09	-4.30	
	1.0000	12/14/12	194.79	202.86	-8.07	
03/21/13	4.0000	Various	779.15	793.21	-14.06	SALE
CHARLES RIVER / 159864107						TOTAL 4 LOTS
LABORATORIES						
01/10/13	15.0000	04/10/12	598.14	530.37	67.77	SALE
	5.0000	04/10/12	206.38	176.79	29.59	
	8.0000	04/27/12	330.23	283.88	46.35	



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

Your Financial Advisor :

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37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

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461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
02/12/13	13.0000	Various	536.61	460.67	75.94	SALE	TOTAL 2 LOTS
	1.0000	04/27/12	41.82	35.48	6.34		
	10.0000	10/05/12	418.27	398.50	19.77		
	4.0000	12/12/12	167.32	144.00	23.32		
02/19/13	15.0000	Various	627.41	577.98	49.43	SALE	TOTAL 3 LOTS
CHESAPEAKE ENERGY CORP / 165167107							
07/26/13	6.0000	07/01/13	136.20	124.26	11.94	SALE	
09/11/13	6.0000	07/01/13	161.16	124.26	36.90	SALE	
CIT GROUP INC NEW / 125581801							
07/26/13	1.0000	07/24/13	50.06	50.59	-0.53	SALE	
09/24/13	9.0000	07/24/13	433.12	455.30	-22.18	SALE	
COCA-COLA ENTERPRISES / 19122T109							
03/05/13	12.0000	06/20/12	427.36	328.55	98.81	SALE	
03/15/13	7.0000	06/20/12	251.80	191.65	60.15	SALE	
	4.0000	06/20/12	145.96	109.51	36.45		
	9.0000	09/28/12	328.42	279.38	49.04		
	9.0000	10/05/12	328.44	287.52	40.92		
04/02/13	22.0000	Various	802.82	676.41	126.41	SALE	TOTAL 3 LOTS
CORELOGIC INC / 21871D103							
02/20/13	13.0000	11/09/12	367.81	314.96	52.85	SALE	
05/10/13	5.0000	11/09/12	141.05	121.13	19.92	SALE	
07/05/13	3.0000	11/09/12	75.93	72.68	3.25	SALE	
	2.0000	11/09/12	54.89	48.45	6.44		

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number [REDACTED]

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/26/13	13.0000	12/28/12	356.80	349.81	6.99	
	15.0000	Various	411.69	398.26	13.43	SALE
	2.0000	12/28/12	56.13	53.81	2.32	
	9.0000	02/25/13	252.63	223.79	28.84	
	1.0000	04/16/13	28.07	24.95	3.12	
07/31/13	12.0000	Various	336.83	302.55	34.28	SALE
	7.0000	04/16/13	196.74	174.65	22.09	
	2.0000	05/24/13	56.21	53.74	2.47	
	3.0000	06/19/13	84.32	72.42	11.90	
08/08/13	12.0000	Various	337.27	300.81	36.46	SALE
TOTAL 2 LOTS						
TOTAL 3 LOTS						
TOTAL 3 LOTS						
TOTAL 5 LOTS						
CORRECTIONS CORP AMER / 22025Y407						
05/10/13	3.0000	04/12/13	115.74	121.85	-6.11	SALE
06/06/13	2.0000	04/12/13	70.22	81.24	-11.02	SALE
07/05/13	16.0000	04/12/13	510.47	647.08	-136.61	SALE
	6.0000	04/12/13	199.81	242.61	-42.80	Original Basis: 243.72
	2.0000	04/23/13	66.60	71.93	-5.33	Original Basis: 72.26
	3.0000	04/24/13	99.92	107.72	-7.80	Original Basis: 108.21
07/26/13	11.0000	Various	366.33	422.26	-55.93	SALE
	1.0000	04/24/13	33.42	35.90	-2.48	Original Basis: 36.06
	6.0000	04/30/13	200.53	216.42	-15.89	Original Basis: 217.41
	3.0000	05/22/13	100.27	116.21	-15.94	Original Basis: 116.70
	8.0000	05/31/13	267.39	285.48	-18.09	Original Basis: 286.81
	2.0000	06/19/13	66.85	68.35	-1.50	Original Basis: 68.74
08/30/13	20.0000	Various	668.46	722.36	-53.90	SALE



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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

RECEIPTS FROM BROKER AND BANCOR EXCHANGE TRANSACTIONS FOR 2010						
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
CST BRANDS INC / 12646R105	05/01/13	0.7778	Various	23.37	19.67	3.70 CASH IN LIEU
		0.4800	12/18/12	14.51	12.07	2.44
		1.5200	12/26/12	45.96	38.51	7.45
		2.0000	Various	60.47	50.58	9.89 SALE
	05/13/13					TOTAL 2 LOTS
CYTEC IND. INC / 232820100		3.0000	05/31/12	211.00	180.77	30.23
		5.0000	06/12/12	351.67	294.15	57.52
		8.0000	Various	562.67	474.92	87.75 SALE
	01/02/13					TOTAL 2 LOTS
DANA HOLDING CORP / 235825205						
10/31/13		18.0000	10/25/13	338.39	414.56	-76.17 SALE
DELPHI AUTOMOTIVE PLC / G27823106						
02/21/13		21.0000	04/25/12	805.77	645.67	160.10 SALE
DELTA AIR LINES INC NEW / 247361702						
03/25/13		17.0000	12/21/12	279.98	200.91	79.07 SALE
04/23/13		4.0000	12/21/12	65.72	47.28	18.44 SALE
05/24/13		7.0000	12/21/12	130.56	82.73	47.83 SALE
06/19/13		4.0000	12/21/12	75.32	47.27	28.05 SALE
07/08/13		20.0000	12/21/12	381.51	236.35	145.16 SALE
		2.0000	12/21/12	40.56	23.63	16.93
		1.0000	12/26/12	20.28	11.78	8.50
07/22/13		3.0000	Various	60.84	35.41	25.43 SALE
07/26/13		7.0000	12/26/12	151.80	82.40	69.40 SALE
						TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

Page 16 of 79

Amended Date: 3/28/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
11/13/13	9.0000	12/26/12	249.92	105.93	143.99	
	5.0000	01/08/13	138.85	65.06	73.79	
	14.0000	Various	388.77	170.99	217.78	SALE
						TOTAL 2 LOTS
DIGITAL REALTY TRUST INC / 253868103	3.0000	06/21/12	204.27	222.43	-18.16	Original Basis: 222.60
	8.0000	06/27/12	544.72	581.89	-37.17	Original Basis: 582.37
02/04/13	11.0000	Various	748.99	804.32	-55.33	SALE
						TOTAL 2 LOTS
DOVER CORP COMMON / 260003108	1.0000	07/23/13	85.07	85.59	-0.52	SALE
09/03/13	7.0000	07/23/13	618.26	599.12	19.14	
	2.0000	07/24/13	176.65	172.59	4.06	
10/18/13	9.0000	Various	794.91	771.71	23.20	SALE
	2.0000	07/24/13	177.06	172.59	4.47	
	1.0000	07/30/13	88.53	85.29	3.24	
12/05/13	3.0000	Various	265.59	257.88	7.71	SALE
						TOTAL 2 LOTS
EASTMAN CHEMICAL CO / 277432100	1.0000	08/01/13	76.24	81.81	-5.57	SALE
09/03/13	7.0000	08/01/13	541.07	572.63	-31.56	
	1.0000	08/09/13	77.30	80.98	-3.68	
10/10/13	8.0000	Various	618.37	653.61	-35.24	SALE
	5.0000	08/09/13	388.70	404.87	-16.17	
	2.0000	08/14/13	155.48	161.31	-5.83	
	3.0000	08/29/13	233.22	227.44	5.78	
10/28/13	10.0000	Various	777.40	793.62	-16.22	SALE
						TOTAL 3 LOTS



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
EATON CORP PLC / G29183103						
03/06/13	3.0000	12/03/12	186.63	155.72	30.91	SALE
03/07/13	7.0000	12/03/12	434.42	362.71	71.71	SALE
03/25/13	6.0000	12/03/12	380.32	310.90	69.42	SALE
04/17/13	5.0000	12/03/12	289.05	259.08	29.97	SALE
04/23/13	1.0000	12/03/12	58.42	51.82	6.60	SALE
05/10/13	1.0000	12/03/12	64.62	51.65	12.97	SALE
05/24/13	2.0000	12/03/12	135.76	103.28	32.48	SALE
06/12/13	9.0000	12/03/12	584.30	464.73	119.57	SALE
	9.0000	12/03/12	573.10	464.66	108.44	Original Basis: 457.13
	1.0000	06/06/13	63.68	64.40	-0.72	
06/26/13	10.0000	Various	636.78	529.06	107.72	SALE
						TOTAL 2 LOTS
EMCOR GROUP INC / 29084Q100						
06/19/13	3.0000	12/17/12	123.57	101.73	21.84	SALE
07/22/13	3.0000	12/17/12	131.55	101.73	29.82	SALE
	13.0000	12/17/12	482.37	440.82	41.55	
	6.0000	01/08/13	222.63	210.39	12.24	
	3.0000	01/30/13	111.32	110.80	0.52	
	6.0000	03/27/13	222.64	251.43	-28.79	
	2.0000	04/23/13	74.21	77.04	-2.83	
	7.0000	07/26/13	259.75	298.28	-38.53	
	2.0000	09/03/13	74.22	75.40	-1.18	
11/07/13	39.0000	Various	1,447.14	1,464.16	-17.02	SALE
						TOTAL 7 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor :
BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ENERGEN CORPORATION / 29265N108						
07/30/13	1.0000	10/01/12	56.46	52.42	4.04	SALE
07/31/13	2.0000	10/01/12	120.06	104.83	15.23	SALE
08/02/13	6.0000	10/01/12	391.32	314.48	76.84	SALE
	3.0000	10/01/12	199.35	157.23	42.12	
	2.0000	12/12/12	132.91	91.68	41.23	
08/09/13	5.0000	Various	332.26	248.91	83.35	SALE
08/22/13	4.0000	12/12/12	263.35	183.36	79.99	SALE
	3.0000	12/12/12	204.06	137.52	66.54	
	2.0000	04/23/13	136.04	93.54	42.50	
	1.0000	07/17/13	68.02	55.94	12.08	
08/29/13	6.0000	Various	408.12	287.00	121.12	SALE
TOTAL 2 LOTS						
TOTAL 3 LOTS						
EVERCORE PARTNERS INC / 29977A105						
CLASS A						
05/10/13	2.0000	02/08/13	80.50	78.47	2.03	SALE
06/19/13	2.0000	02/08/13	81.90	78.47	3.43	SALE
07/17/13	2.0000	02/08/13	85.62	78.47	7.15	SALE
07/26/13	2.0000	02/08/13	90.28	78.47	11.81	SALE
	10.0000	02/08/13	458.76	392.33	66.43	
	3.0000	02/11/13	137.63	115.63	22.00	
	13.0000	Various	596.39	507.96	88.43	SALE
07/30/13	2.0000	02/11/13	104.35	77.08	27.27	
	4.0000	02/27/13	208.70	158.60	50.10	
09/19/13	6.0000	Various	313.05	235.68	77.37	SALE
TOTAL 2 LOTS						
TOTAL 2 LOTS						



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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 37 HENRY STREET SUITE 201
 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/17/13	8.0000	02/27/13	399.04	317.18	81.86	
	2.0000	04/23/13	99.77	73.48	26.29	
	10.0000	Various	498.81	390.66	108.15	SALE
						TOTAL 2 LOTS
EVEREST RE GROUP LTD / G3223R108						
BERMUDA						
11/19/13	3.0000	08/19/13	469.60	414.10	55.50	SALE
11/26/13	1.0000	08/19/13	157.79	138.03	19.76	SALE
	2.0000	08/19/13	305.67	276.06	29.61	
	1.0000	09/06/13	152.84	135.84	17.00	
12/05/13	3.0000	Various	458.51	411.90	46.61	SALE
	1.0000	09/06/13	150.88	135.84	15.04	
	2.0000	09/26/13	301.77	292.54	9.23	
12/12/13	3.0000	Various	452.65	428.38	24.27	SALE
						TOTAL 2 LOTS
EXPEDIA INC / 30212P303						
02/01/13	6.0000	11/07/12	398.64	356.82	41.82	SALE
02/05/13	4.0000	11/07/12	262.38	237.88	24.50	SALE
	1.0000	11/07/12	65.28	59.46	5.82	
	5.0000	11/16/12	326.45	284.02	42.43	
	5.0000	12/05/12	326.45	302.12	24.33	
02/07/13	11.0000	Various	718.18	645.60	72.58	SALE
						TOTAL 3 LOTS
FEI COMPANY / 30241L109						
	6.0000	06/21/12	345.10	297.23	47.87	
	3.0000	06/22/12	172.56	144.24	28.32	
01/14/13	9.0000	Various	517.66	441.47	76.19	SALE
01/28/13	3.0000	06/22/12	189.68	144.24	45.44	SALE
						TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

NEIL - JANE
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GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor :
BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
01/31/13	3.0000	07/10/12	183.04	143.48	39.56	SALE
	4.0000	07/10/12	244.30	191.30	53.00	
	7.0000	07/17/12	427.55	328.53	99.02	
02/08/13	11.0000	Various	671.85	519.83	152.02	SALE
						TOTAL 2 LOTS
FIDELITY NATIONAL / 31620R105 FINANCIAL INC						
	13.0000	11/14/12	345.61	300.01	45.60	
	36.0000	11/21/12	957.09	833.49	123.60	
04/12/13	49.0000	Various	1,302.70	1,133.50	169.20	SALE
04/23/13	3.0000	11/21/12	79.68	69.46	10.22	SALE
	3.0000	11/21/12	80.55	69.45	11.10	
	15.0000	01/02/13	402.80	362.10	40.70	
04/24/13	18.0000	Various	483.35	431.55	51.80	SALE
	1.0000	01/02/13	25.95	24.13	1.82	
	8.0000	01/18/13	207.66	206.44	1.22	
	8.0000	01/31/13	207.66	202.10	5.56	
	6.0000	02/22/13	155.74	155.01	0.73	
	11.0000	03/25/13	285.54	265.87	19.67	
05/08/13	34.0000	Various	882.55	853.55	29.00	SALE
						TOTAL 5 LOTS
FIDELITY NATIONAL / 31620M106 INFORMATION SVCS						
04/11/13	10.0000	06/28/12	400.91	334.22	66.69	SALE
04/12/13	6.0000	06/28/12	243.47	200.52	42.95	SALE
04/23/13	2.0000	06/28/12	81.96	66.84	15.12	SALE
05/10/13	2.0000	07/18/12	87.34	66.87	20.47	SALE



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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 (518) 581-5040

NEIL - JANE
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 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013 *continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
05/24/13	2.0000	07/18/12	90.16	66.87	23.29	SALE
	1.0000	07/18/12	43.14	33.43	9.71	
	7.0000	08/14/12	302.03	217.59	84.44	
06/26/13	8.0000	Various	345.17	251.02	94.15	SALE
	3.0000	08/14/12	133.50	93.24	40.26	
	9.0000	08/15/12	400.52	279.05	121.47	
07/24/13	12.0000	Various	534.02	372.29	161.73	SALE
08/02/13	15.0000	11/08/12	669.56	512.56	157.00	SALE
FIFTH THIRD BANCORP / 316773100						
07/22/13	3.0000	12/07/12	57.84	43.20	14.64	SALE
09/10/13	18.0000	12/07/12	392.76	259.19	73.57	SALE
	3.0000	12/07/12	53.92	43.19	10.73	
	12.0000	12/13/12	215.72	176.61	39.11	
10/03/13	15.0000	Various	269.64	219.80	49.84	SALE
FINISAR CORPORATION / 31787A507						
09/03/13	3.0000	07/29/13	62.73	57.01	5.72	SALE
09/09/13	15.0000	07/29/13	351.29	285.05	66.24	SALE
FOOT LOCKER INC / 344849104						
05/06/13	7.0000	05/08/12	245.59	203.91	41.68	SALE
	4.0000	06/26/12	137.51	117.46	20.05	
	5.0000	12/12/12	171.89	168.85	3.04	
06/04/13	9.0000	Various	309.40	286.31	23.09	SALE
						TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor :
BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
GANNETT CO INC DEL / 364730101						
06/14/13	20.0000	01/10/13	514.81	380.52	134.29	SALE
06/19/13	4.0000	01/10/13	99.20	76.11	23.09	SALE
06/20/13	11.0000	01/10/13	265.81	209.27	56.54	SALE
07/05/13	2.0000	01/23/13	51.44	40.42	11.02	SALE
GAP INC / 364760108						
	21.0000	01/07/13	736.44	664.05	72.39	
	7.0000	01/08/13	245.49	216.21	29.28	
03/28/13	28.0000	Various	981.93	880.26	101.67	SALE
						TOTAL 2 LOTS
GENERAC HOLDINGS INC / 368736104						
07/05/13	5.0000	05/30/13	197.25	178.17	19.08	SALE
07/26/13	2.0000	05/30/13	81.20	71.27	9.93	SALE
07/31/13	2.0000	05/30/13	86.18	71.27	14.91	SALE
GERDAU S A / 373737105 SPON ADR						
09/03/13	8.0000	08/22/13	58.96	57.35	1.61	SALE
GNC HOLDINGS INC / 36191G107						
02/19/13	14.0000	04/24/12	578.95	506.38	72.57	SALE
	3.0000	04/24/12	128.70	108.51	20.19	
	5.0000	04/26/12	214.52	198.98	15.54	
	8.0000	Various	343.22	307.49	35.73	SALE
04/12/13	6.0000	04/30/12	271.02	232.25	38.77	SALE
04/29/13	5.0000	07/23/12	235.02	186.70	48.32	
	1.0000	11/07/12	47.01	35.78	11.23	
						TOTAL 2 LOTS



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

Your Financial Advisor :
 BENJAMIN CHUCKROW
 37 HENRY STREET SUITE 201
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 (518) 581-5040

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 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) *continued*
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/16/13	6.0000	Various	282.03	222.48	59.55	SALE
07/26/13	2.0000	11/07/12	104.22	71.56	32.66	SALE
07/29/13	3.0000	11/07/12	157.56	107.34	50.22	SALE
	2.0000	11/07/12	104.00	71.56	32.44	
	4.0000	12/12/12	208.02	134.40	73.62	
	3.0000	03/25/13	156.02	116.79	39.23	
07/31/13	9.0000	Various	468.04	322.75	145.29	SALE
TOTAL 3 LOTS						
GRAPHIC PACKAGING / 388689101 HOLDING INC						
12/09/13	28.0000	07/17/13	254.71	240.52	14.19	SALE
12/24/13	38.0000	07/17/13	356.40	326.41	29.99	SALE
HAIN CELESTIAL GROUP INC / 405217100						
01/30/13	2.0000	10/15/12	108.41	120.43	-12.02	SALE
	1.0000	10/15/12	57.65	60.21	-2.56	
	3.0000	10/18/12	172.95	180.18	-7.23	
	3.0000	11/02/12	172.95	175.20	-2.25	
	4.0000	11/05/12	230.62	230.05	0.57	
02/22/13	11.0000	Various	634.17	645.64	-11.47	SALE
TOTAL 4 LOTS						
HCC INSURANCE HOLDINGS / 404132102 INC						
04/23/13	2.0000	08/08/12	83.72	65.08	18.64	SALE
06/06/13	2.0000	08/08/12	84.78	65.08	19.70	SALE
07/22/13	2.0000	08/08/12	91.80	65.07	26.73	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
HELMERICH & PAYNE INC / 423452101	12.0000	12/06/12	722.41	665.64	56.77	
	6.0000	12/13/12	361.22	328.86	32.36	
03/27/13	18.0000	Various	1,083.63	994.50	89.13	SALE
						TOTAL 2 LOTS
HERTZ GLOBAL HLDGS INC / 42805T105	1.0000	05/18/12	21.74	12.66	9.08	SALE
03/14/13	17.0000	05/18/12	360.27	215.22	145.05	SALE
03/21/13						
HESS CORPORATION / 42809H107	3.0000	01/11/13	213.30	170.22	43.08	SALE
03/25/13	1.0000	01/11/13	67.15	56.74	10.41	SALE
06/06/13	1.0000	01/11/13	67.71	56.74	10.97	SALE
07/05/13	1.0000	01/11/13	73.01	56.74	16.27	SALE
07/22/13	1.0000	01/11/13	74.72	56.74	17.98	SALE
07/31/13	5.0000	01/11/13	410.13	283.68	126.45	
	6.0000	01/24/13	492.16	350.39	141.77	
	3.0000	01/25/13	246.08	176.78	69.30	
	1.0000	05/24/13	82.03	66.55	15.48	
10/24/13	15.0000	Various	1,230.40	877.40	353.00	SALE
						TOTAL 4 LOTS
HOLLYFRONTIER CORP / 436106108	4.0000	02/13/12	185.06	129.83	55.23	
	1.0000	02/16/12	46.27	34.05	12.22	
01/16/13	5.0000	Various	231.33	163.88	67.45	SALE
02/11/13	6.0000	02/16/12	339.53	204.27	135.26	SALE
06/18/13	12.0000	10/15/12	537.55	446.98	90.57	SALE
						TOTAL 2 LOTS



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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

PROCEEDS FROM BROKER AND DEALER EXCHANGE TRANSACTIONS FOR 2013						
B-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information	
HUNTSMAN CORP / 447011107						
04/23/13	3.0000	02/13/13	54.99	53.61	1.38	SALE
07/26/13	4.0000	02/13/13	69.80	71.47	-1.67	SALE
07/31/13	3.0000	02/13/13	54.00	53.61	0.39	SALE
08/30/13	18.0000	02/13/13	318.96	321.62	-2.66	SALE
TOTAL 3 LOTS						
10/11/13	16.0000	Various	627.77	522.06	105.71	SALE
INGREDION INCORPORATED / 457187102						
04/23/13	1.0000	01/07/13	73.71	66.29	7.42	SALE
	7.0000	01/07/13	441.53	464.00	-22.47	
	5.0000	01/16/13	315.38	342.42	-27.04	
	1.0000	05/10/13	63.08	70.21	-7.13	
	1.0000	07/17/13	63.08	62.84	0.24	
07/18/13	14.0000	Various	883.07	939.47	-56.40	SALE
TOTAL 4 LOTS						

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :
 BENJAMIN CHUCKROW
 37 HENRY STREET SUITE 201
 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
INTERNATIONAL GAME / 459902102 TECHNOLOGY						
07/17/13	4.0000	05/23/13	75.48	71.56	3.92	SALE
08/02/13	18.0000	05/23/13	340.95	322.01	18.94	SALE
	9.0000	05/23/13	180.69	161.00	19.69	
	3.0000	05/30/13	60.24	54.78	5.46	
09/11/13	12.0000	Various	240.93	215.78	25.15	SALE
10/09/13	16.0000	05/30/13	290.98	292.16	-1.18	SALE
TOTAL 2 LOTS						
INTERNATIONAL PAPER CO / 460146103						
04/23/13	5.0000	12/24/12	237.15	195.67	41.48	SALE
05/24/13	2.0000	12/24/12	93.54	78.27	15.27	SALE
	9.0000	12/24/12	404.19	352.20	51.99	
	9.0000	01/04/13	404.20	362.32	41.88	
	5.0000	01/07/13	224.56	204.06	20.50	
07/01/13	23.0000	Various	1,032.95	918.58	114.37	SALE
07/15/13	9.0000	01/18/13	430.48	376.36	54.12	SALE
	3.0000	01/18/13	141.54	125.45	16.09	
	6.0000	01/25/13	283.09	254.73	28.36	
	6.0000	03/01/13	283.10	261.67	21.43	
	3.0000	05/10/13	141.56	138.30	3.26	
07/25/13	18.0000	Various	849.29	780.15	69.14	SALE
TOTAL 4 LOTS						
INVESCO PLC / G491BT108						
07/22/13	2.0000	06/07/13	66.04	67.01	-0.97	SALE
07/26/13	2.0000	06/07/13	65.24	67.01	-1.77	SALE



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(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
J M SMUCKER CO / 832698405						
	8.0000	08/21/12	721.80	673.78	48.02	
	4.0000	08/22/12	360.91	336.96	23.95	
	12.0000	Various	1,082.71	1,010.74	71.97	SALE
	2.0000	09/17/12	207.86	174.09	33.77	SALE
	2.0000	09/17/12	202.76	174.09	28.67	SALE
	2.0000	09/17/12	204.26	174.09	30.17	SALE
	1.0000	09/17/12	108.23	87.05	21.18	SALE
	1.0000	09/17/12	113.47	87.04	26.43	
	1.0000	09/25/12	113.47	86.71	26.76	
	2.0000	Various	226.94	173.75	53.19	SALE
	2.0000	09/25/12	219.32	173.41	45.91	
	3.0000	09/26/12	328.98	258.61	70.37	
	5.0000	Various	548.30	432.02	116.28	SALE
						TOTAL 2 LOTS
						TOTAL 2 LOTS
						TOTAL 2 LOTS
JAZZ PHARMACEUTICALS PLC / G50671105						
04/23/13	1.0000	11/05/12	55.64	54.92	0.72	SALE
05/10/13	1.0000	11/05/12	56.76	54.92	1.84	SALE
05/24/13	3.0000	11/05/12	188.13	164.76	23.37	SALE
05/24/13	1.0000	11/05/12	63.39	54.92	8.47	SALE
06/06/13	2.0000	11/05/12	134.74	109.84	24.90	SALE
06/19/13	1.0000	11/05/12	69.12	54.92	14.20	SALE
07/05/13	1.0000	11/05/12	72.39	54.92	17.47	SALE
	2.0000	11/05/12	145.46	109.82	35.64	

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461 NOTT ST
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Account Number:

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

PROCEEDS FROM BROKER AND BAIER EXCHANGE TRANSACTIONS FOR 2010						
8-Description / OUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
07/16/13		1.0000	11/09/12	72.74	52.23	20.51 SALE
		3.0000	Various	218.20	162.05	56.15 SALE
07/26/13		1.0000	11/09/12	73.30	52.22	21.08 SALE
07/31/13		1.0000	11/15/12	75.19	49.62	25.57 SALE
		3.0000	11/15/12	236.74	148.86	87.88
		1.0000	12/06/12	78.92	51.96	26.96
08/06/13		4.0000	Various	315.66	200.82	114.84 SALE
09/03/13		2.0000	12/06/12	174.04	103.92	70.12 SALE
10/03/13		2.0000	12/06/12	180.64	103.91	76.73 SALE
		4.0000	03/28/13	361.76	227.63	134.13
		1.0000	05/09/13	90.44	55.63	34.81
11/04/13		5.0000	Various	452.20	283.26	168.94 SALE
11/08/13		4.0000	05/09/13	379.98	222.50	157.48 SALE
JETBLUE AIRWAYS CORP / 477143101						
11/26/13		20.0000	10/25/13	178.56	152.12	26.44 SALE
11/29/13		37.0000	10/25/13	330.39	281.40	48.99 SALE
		13.0000	10/25/13	108.24	98.87	9.37
		36.0000	10/28/13	299.77	274.42	25.35
		1.0000	11/08/13	8.33	7.56	0.77
12/10/13		50.0000	Various	416.34	380.85	35.49 SALE
12/17/13		24.0000	11/08/13	208.37	181.43	26.94 SALE
KBR INC / 48242W106						
02/22/13		6.0000	12/12/12	182.91	178.50	4.41 SALE
TOTAL 2 LOTS						
TOTAL 2 LOTS						
TOTAL 2 LOTS						
TOTAL 3 LOTS						



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 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
KILROY RLTY CORP / 49427F108 REIT						
	5.0000	04/12/13	249.23	269.60	-20.37	Original Basis 271.14
	3.0000	06/06/13	149.54	154.76	-5.22	Original Basis 155.73
	3.0000	07/31/13	149.55	155.30	-5.75	Original Basis 155.76
	2.0000	09/03/13	99.70	94.92	4.78	Original Basis 95.26
	13.0000	Various	648.02	674.58	-26.56	SALE
						TOTAL 4 LOTS
KLA-TENCOR CORP / 482480100						
04/23/13	3.0000	01/28/13	165.69	168.63	-2.94	SALE
	9.0000	01/28/13	479.21	505.88	-26.67	
	7.0000	01/30/13	372.72	389.67	-16.95	
	10.0000	02/27/13	532.47	539.28	-6.81	
	3.0000	03/25/13	159.75	154.41	5.34	
	29.0000	Various	1,544.15	1,589.24	-45.09	SALE
						TOTAL 4 LOTS
KROGER COMPANY COMMON / 501044101						
04/23/13	6.0000	12/03/12	206.28	158.26	48.02	SALE
06/19/13	4.0000	12/03/12	141.96	105.51	36.45	SALE
07/17/13	3.0000	12/03/12	115.38	79.13	36.25	SALE
07/26/13	3.0000	12/03/12	118.56	79.13	39.43	SALE
	9.0000	12/03/12	341.69	237.37	104.32	
	4.0000	12/24/12	151.87	105.73	46.14	
	13.0000	Various	493.56	343.10	150.46	SALE
08/19/13	8.0000	12/24/12	323.73	211.44	112.29	
	4.0000	03/12/13	161.87	123.93	37.94	
	12.0000	Various	485.60	335.37	150.23	SALE
						TOTAL 2 LOTS
						TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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Account Number [REDACTED]

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
12/10/13	5.0000	03/12/13	200.76	154.91	45.85	
	11.0000	06/06/13	441.69	363.58	78.11	
	16.0000	Various	642.45	518.49	123.96	SALE
						TOTAL 2 LOTS
LAM RESEARCH CORP / 512807108						
06/06/13	2.0000	04/30/13	95.06	91.38	3.68	SALE
07/17/13	2.0000	04/30/13	100.27	91.38	8.89	SALE
07/31/13	2.0000	04/30/13	98.65	91.37	7.28	SALE
LEAR CORP / 521865204						
07/05/13	1.0000	07/01/13	61.92	61.29	0.63	SALE
07/31/13	1.0000	07/01/13	69.42	61.29	8.13	SALE
12/23/13	4.0000	07/01/13	320.11	245.12	74.99	SALE
LIBERTY PROPERTY TRUST / 531172104						
REIT						
04/23/13	3.0000	04/08/13	126.06	122.52	3.54	SALE
07/17/13	2.0000	04/08/13	78.28	81.68	-3.40	SALE
07/26/13	2.0000	04/08/13	78.18	81.68	-3.50	SALE
LORILLARD INC / 544147101						
11/22/13	6.0000	06/10/13	314.69	261.09	53.60	SALE
M D C HOLDINGS INC / 552676108						
02/04/13	6.0000	06/13/12	236.81	157.19	79.62	SALE
	2.0000	06/13/12	77.55	52.39	25.16	
	15.0000	08/03/12	581.69	476.99	104.70	
	2.0000	04/23/13	77.56	71.84	5.72	



2013 SUMMARY AMENDMENT

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
05/20/13	19.0000	Various	736.80	601.22	135.58	SALE
TOTAL 3 LOTS						
MAGNA INTL INC CL A / 559222401						
05/10/13	3.0000	01/18/13	194.31	160.60	33.71	SALE
05/24/13	1.0000	01/18/13	65.13	53.54	11.59	SALE
06/06/13	1.0000	01/18/13	66.86	53.54	13.32	SALE
06/19/13	1.0000	01/18/13	70.25	53.54	16.71	SALE
07/05/13	1.0000	01/18/13	71.97	53.53	18.44	SALE
07/22/13	1.0000	01/18/13	75.53	53.53	22.00	SALE
07/31/13	1.0000	01/18/13	76.55	53.53	23.02	SALE
09/13/13	2.0000	01/18/13	161.64	107.06	54.58	SALE
MANPOWERGROUP INC / 56418H100						
07/05/13	1.0000	07/03/13	56.26	53.96	2.30	SALE
07/22/13	2.0000	07/03/13	130.50	107.92	22.58	SALE
07/26/13	2.0000	07/03/13	132.86	107.92	24.94	SALE
09/03/13	1.0000	07/03/13	66.20	53.96	12.24	SALE
MARATHON PETROLEUM CORP / 56586A102-						
01/07/13	3.0000	06/19/12	182.63	123.40	59.23	
	5.0000	06/21/12	304.39	207.95	96.44	
	8.0000	Various	487.02	331.35	155.67	SALE
TOTAL 2 LOTS						
MARVELL TECHNOLOGY / G5876H105						
07/13/13	8.0000	07/09/13	103.88	93.48	10.40	SALE

2013 SUMMARY AMENDMENT

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

PROCEEDS FROM BROKEN AND BATTLE EXCHANGE TRANSACTIONS FOR 2013						
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
11/15/13	33,0000	07/09/13	457.08	385.59	71.49	SALE
	16,0000	07/09/13	216.05	186.94	29.11	
	2,0000	08/01/13	27.01	26.17	0.84	
11/21/13	18,0000	Various	243.06	213.11	29.95	SALE
TOTAL 2 LOTS						
METHANEX CORP / 59151K108						
11/27/13	3,0000	10/10/13	183.10	159.49	23.61	SALE
11/29/13	3,0000	10/10/13	185.50	159.48	26.02	SALE
MGM RESORTS / 552953101						
07/17/13	4,0000	07/02/13	62.64	60.83	1.81	SALE
07/26/13	5,0000	07/02/13	79.30	76.03	3.27	SALE
09/03/13	4,0000	07/02/13	71.56	60.83	10.73	SALE
12/19/13	14,0000	07/02/13	315.75	212.88	102.87	SALE
12/23/13	12,0000	07/02/13	273.97	182.47	91.50	SALE
MICRON TECHNOLOGY INC / 595112103						
03/25/13	6,0000	03/11/13	59.28	54.72	4.56	SALE
05/10/13	5,0000	03/11/13	53.90	45.60	8.30	SALE
05/24/13	14,0000	03/11/13	160.72	127.68	33.04	SALE
05/31/13	34,0000	03/11/13	401.53	310.06	91.47	SALE
06/06/13	12,0000	03/11/13	147.96	109.43	38.53	SALE
	7,0000	03/11/13	96.67	63.83	32.84	
	6,0000	03/14/13	82.86	57.72	25.14	



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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
06/19/13	13.0000	Various	179.53	121.55	57.98	SALE
07/05/13	8.0000	03/14/13	114.48	76.96	37.52	SALE
07/22/13	4.0000	03/14/13	55.08	38.48	16.60	SALE
07/31/13	4.0000	03/14/13	52.32	38.48	13.84	SALE
	14.0000	03/14/13	238.86	134.66	104.20	
	2.0000	04/01/13	34.13	19.92	14.21	
09/25/13	16.0000	Various	272.99	154.58	118.41	SALE
11/21/13	21.0000	04/01/13	403.24	209.11	194.13	SALE
	14.0000	04/01/13	305.44	139.39	166.05	
	2.0000	05/09/13	43.64	21.17	22.47	
12/20/13	16.0000	Various	349.08	160.56	188.52	SALE
12/24/13	11.0000	05/09/13	237.83	116.41	121.42	SALE
TOTAL 2 LOTS						
MOHAWK INDS INC / 608190104						
04/23/13	1.0000	02/14/13	115.37	108.25	7.12	SALE
06/19/13	1.0000	02/14/13	116.25	108.25	8.00	SALE
07/17/13	1.0000	02/14/13	117.39	108.24	9.15	SALE
07/31/13	1.0000	02/14/13	118.66	108.24	10.42	SALE
	1.0000	02/14/13	131.91	108.24	23.67	
	2.0000	02/22/13	263.83	206.14	57.69	
	3.0000	Various	395.74	314.38	81.36	SALE
10/02/13	1.0000	02/22/13	134.68	103.07	31.61	SALE
11/11/13	1.0000	02/22/13	137.26	103.06	34.20	
	1.0000	05/09/13	137.26	118.18	19.08	
TOTAL 2 LOTS						

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
12/03/13	2.0000	Various	274.52	221.24	53.28	SALE
12/06/13	3.0000	05/09/13	418.56	354.51	64.05	SALE
MYRIAD GENETICS INC / 62855J104						
07/05/13	2.0000	06/14/13	57.10	57.91	-0.81	SALE
07/17/13	2.0000	06/14/13	63.22	57.91	5.31	SALE
NEWELL RUBBERMAID INC / 651229106						
03/25/13	7.0000	10/11/12	176.89	136.22	40.67	SALE
04/15/13	15.0000	10/11/12	381.14	291.90	89.24	SALE
04/23/13	7.0000	10/11/12	187.36	136.22	51.14	SALE
06/06/13	2.0000	10/11/12	52.86	38.92	13.94	SALE
	2.0000	10/11/12	53.86	38.92	14.94	
	8.0000	10/12/12	215.48	157.50	57.98	
06/07/13	10.0000	Various	269.34	196.42	72.92	SALE
06/26/13	14.0000	10/12/12	359.55	275.63	83.92	SALE
07/22/13	2.0000	11/07/12	54.94	42.14	12.80	SALE
	1.0000	10/12/12	27.22	19.68	7.54	
	12.0000	11/07/12	326.68	252.88	73.80	
07/22/13	13.0000	Various	353.90	272.56	81.34	SALE
	1.0000	11/07/12	26.62	21.07	5.55	
	11.0000	12/12/12	292.93	242.37	50.56	
	12.0000	Various	319.55	263.44	56.11	SALE
08/09/13	4.0000	12/12/12	102.58	88.13	14.45	
	5.0000	12/20/12	128.24	108.50	19.74	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

Your Financial Advisor :
 BENJAMIN CHUCKROW
 37 HENRY STREET SUITE 201
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 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number

Year-End Account Summary

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
08/21/13	9.0000	Various	230.82	196.63	34.19	SALE
	1.0000	12/20/12	25.36	21.70	3.66	
	14.0000	01/10/13	355.16	311.16	44.00	
08/26/13	15.0000	Various	380.52	332.86	47.66	SALE
						TOTAL 2 LOTS
NEWFIELD EXPLORATION / 651290108						
07/17/13	3.0000	06/21/13	79.35	70.35	9.00	SALE
12/09/13	10.0000	06/21/13	264.06	234.50	29.56	SALE
	15.0000	06/21/13	345.27	351.74	-6.47	
	13.0000	06/26/13	299.24	316.44	-17.20	
12/16/13	28.0000	Various	644.51	668.18	-23.67	SALE
						TOTAL 2 LOTS
NISOURCE INC / 65473P105						
	3.0000	12/12/12	92.04	73.50	18.54	
	5.0000	05/10/13	153.40	143.39	10.01	
10/11/13	8.0000	Various	245.44	216.89	28.55	SALE
						TOTAL 2 LOTS
NOBLE CORP BAAR / H5833N103						
02/11/13	16.0000	07/20/12	629.12	592.66	36.46	SALE
	1.0000	07/20/12	39.07	37.04	2.03	
	8.0000	07/31/12	312.61	300.89	11.72	
	8.0000	08/07/12	312.62	307.88	4.74	
	6.0000	08/21/12	234.46	230.64	3.82	
	4.0000	12/12/12	156.32	138.98	17.34	
	1.0000	01/25/13	39.08	37.38	1.70	
02/13/13	28.0000	Various	1,094.16	1,052.81	41.35	SALE
						TOTAL 6 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
NRG ENERGY INC / 629377508						
04/23/13	5.0000	02/21/13	137.45	120.29	17.16	SALE
05/24/13	4.0000	02/21/13	109.08	96.23	12.85	SALE
06/19/13	3.0000	02/21/13	80.40	72.17	8.23	SALE
07/17/13	7.0000	02/21/13	201.04	168.40	32.64	SALE
	19.0000	02/21/13	484.79	457.05	27.74	
	15.0000	03/01/13	382.73	359.34	23.39	
	34.0000	Various	867.52	816.39	51.13	SALE
09/14/13	20.0000	03/01/13	525.50	479.11	46.39	
	6.0000	03/07/13	157.65	148.07	9.58	
08/21/13	26.0000	Various	683.15	627.18	55.97	SALE
11/13/13	6.0000	03/07/13	161.55	148.07	13.48	SALE
	2.0000	03/07/13	54.03	49.35	4.68	
	6.0000	03/13/13	162.11	151.78	10.33	
11/14/13	8.0000	Various	216.14	201.13	15.01	SALE
	3.0000	03/13/13	80.87	75.88	4.99	
	12.0000	04/15/13	323.52	324.81	-1.29	
	5.0000	05/10/13	134.80	133.85	0.95	
	2.0000	06/06/13	53.92	51.16	2.76	
	2.0000	07/31/13	53.92	53.76	0.16	
11/18/13	24.0000	Various	647.03	639.46	7.57	SALE
TOTAL 5 LOTS						
NUCOR CORP / 670346105						
	2.0000	04/23/13	99.32	85.14	14.18	
	4.0000	07/11/13	198.65	180.57	18.08	
09/25/13	6.0000	Various	297.97	265.71	32.26	SALE
TOTAL 2 LOTS						



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ADVISORS

NEIL - JANE
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GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
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(518) 581-5040

Account Number [REDACTED]

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

2000-2010, and prior exchange transactions for 2010						
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
NXP SEMICONDUCTORS NV / N6596X109						
05/10/13		10.0000	09/14/12	301.01	267.74	33.27 SALE
06/06/13		7.0000	09/14/12	213.01	187.42	25.59 SALE
06/19/13		2.0000	09/14/12	63.20	53.55	9.65 SALE
07/05/13		3.0000	09/14/12	96.54	80.32	16.22 SALE
		1.0000	09/14/12	33.68	26.77	6.91
		1.0000	09/26/12	33.68	23.63	10.05
07/17/13		2.0000	Various	67.36	50.40	16.96 SALE
07/26/13		4.0000	09/26/12	133.08	94.51	38.57 SALE
		5.0000	09/26/12	178.63	118.12	60.51
		8.0000	02/04/13	285.82	242.50	43.32
08/19/13		13.0000	Various	464.45	360.62	103.83 SALE
08/22/13		3.0000	02/04/13	106.14	90.94	15.20 SALE
09/03/13		6.0000	02/04/13	226.44	181.86	44.58 SALE
09/27/13		3.0000	02/04/13	111.76	90.93	20.83 SALE
		1.0000	02/04/13	39.81	30.31	9.50
		7.0000	02/26/13	278.70	221.78	56.92
10/25/13		8.0000	Various	318.51	252.09	66.42 SALE
12/19/13		6.0000	02/26/13	258.32	190.09	68.23 SALE
OMNICARE INC / 681904108						
04/23/13		4.0000	01/10/13	166.61	152.56	14.05 SALE
05/10/13		3.0000	01/10/13	135.90	114.42	21.48 SALE
06/06/13		4.0000	01/10/13	188.40	152.56	35.84 SALE
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :
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 37 HENRY STREET SUITE 201
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 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/17/13	2.0000	01/10/13	99.68	76.28	23.40	SALE
07/26/13	3.0000	01/10/13	159.27	114.42	44.85	SALE
	1.0000	01/10/13	55.28	38.13	17.15	
	9.0000	01/17/13	497.55	347.54	150.01	
11/05/13	10.0000	Various	552.83	385.67	167.16	SALE
12/03/13	5.0000	02/01/13	284.86	194.43	90.43	SALE
TOTAL 2 LOTS						
OWENS ILLINOIS INC NEW / 690768403						
07/31/13	2.0000	07/15/13	59.92	58.53	1.39	SALE
P P G INDUSTRIES INC / 693506107						
	3.0000	04/19/12	414.33	301.75	112.58	
	1.0000	04/24/12	138.11	102.01	36.10	
	1.0000	06/20/12	138.11	106.17	31.94	
	5.0000	Various	690.55	509.93	180.62	SALE
01/16/13	3.0000	06/20/12	422.18	318.51	103.67	
	1.0000	10/05/12	140.73	118.02	22.71	
01/28/13	4.0000	Various	562.91	436.53	126.38	SALE
TOTAL 3 LOTS						
TOTAL 2 LOTS						
PATTERSON-UTI ENERGY / 703481101						
INC	37.0000	02/15/13	749.31	904.79	-155.48	
	6.0000	02/28/13	121.51	139.07	-17.56	
07/03/13	43.0000	Various	870.82	1,043.86	-173.04	SALE
07/05/13	4.0000	02/28/13	84.00	92.72	-8.72	SALE
	1.0000	02/28/13	20.59	23.17	-2.58	
	13.0000	03/08/13	267.80	309.23	-41.43	
	12.0000	03/15/13	247.20	301.43	-54.23	
TOTAL 2 LOTS						



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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(518) 581-5040

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GOLUB FAMILY FOUNDATION, INC
481 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/10/13	4.0000	03/18/13	82.40	99.56	-17.16	
	30.0000	Various	617.99	733.39	-115.40	SALE
	3.0000	03/18/13	63.70	74.67	-10.97	
	13.0000	03/22/13	276.06	314.50	-38.44	
	9.0000	03/25/13	191.12	211.42	-20.30	
	7.0000	04/26/13	148.66	149.75	-1.09	
07/18/13	32.0000	Various	679.54	750.34	-70.80	SALE
TOTAL 4 LOTS						
PEABODY ENERGY CORP / 704549104						
05/10/13	5.0000	10/23/12	102.80	141.82	-39.02	SALE
07/17/13	12.0000	10/23/12	196.20	340.36	-144.16	SALE
07/26/13	9.0000	10/23/12	151.02	255.27	-104.25	SALE
	7.0000	10/23/12	120.74	198.53	-77.79	
	16.0000	11/08/12	276.00	422.10	-146.10	
09/03/13	23.0000	Various	396.74	620.63	-223.89	SALE
TOTAL 2 LOTS						
11/20/13	3.0000	11/30/12	58.29	75.81	-17.52	
	2.0000	01/22/13	38.87	52.13	-13.26	
	5.0000	Various	97.16	127.94	-30.78	SALE
TOTAL 2 LOTS						
PERKINELMER INC / 714046109						
	10.0000	02/21/12	338.39	268.63	69.76	
	6.0000	02/28/12	203.04	162.61	40.43	
02/20/13	16.0000	Various	541.43	431.24	110.19	SALE
03/04/13	3.0000	06/01/12	103.44	77.78	25.66	SALE
	3.0000	06/01/12	104.35	77.78	26.57	
	7.0000	09/05/12	243.48	192.87	50.61	
	10.0000	10/24/12	347.85	292.94	64.91	
TOTAL 2 LOTS						

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

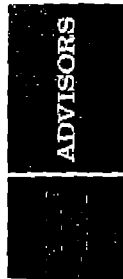
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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
03/21/13	20.0000	Various	695.68	553.59	142.09	SALE
						TOTAL 3 LOTS
PIER 1 IMPORTS INC / 720279108						
	7.0000	05/15/12	142.11	114.91	27.20	
	16.0000	07/17/12	324.83	264.09	60.74	
01/08/13	23.0000	Various	466.94	379.00	87.94	SALE
						TOTAL 2 LOTS
PVH CORP / 693656100						
04/01/13	4.0000	06/27/12	424.96	310.06	114.90	SALE
RITE AID CORPORATION / 767754104						
09/03/13	18.0000	07/18/13	63.00	52.87	10.13	SALE
09/11/13	35.0000	07/18/13	130.55	102.80	27.75	SALE
09/20/13	111.0000	07/18/13	521.69	326.01	195.68	SALE
12/05/13	57.0000	07/18/13	334.50	167.40	167.10	SALE
RIVERBED TECHNOLOGY INC / 768573107						
	29.0000	09/26/12	463.67	654.50	-190.83	
	8.0000	09/27/12	127.91	184.03	-56.12	
	27.0000	10/04/12	431.69	624.38	-192.69	
	7.0000	10/09/12	111.92	160.57	-48.65	
	8.0000	10/23/12	127.91	188.70	-60.79	
	13.0000	10/26/12	207.85	294.93	-87.08	
	29.0000	10/31/12	463.68	532.15	-68.47	
	4.0000	12/12/12	63.96	72.24	-8.28	
02/15/13	125.0000	Various	1,998.59	2,711.50	-712.91	SALE
						TOTAL 8 LOTS
RYDER SYSTEM INC / 783549708						
	3.0000	12/12/12	180.84	148.80	32.04	
	3.0000	04/23/13	180.85	162.75	18.10	



**2013 SUMMARY AMENDMENT**

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461 NOTT ST
SCHENECTADY NY 12308-1812

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Year-End Account Summary

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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013***continued*

Supplemental Information			
Gain or Loss	Additional Information		
Amount			
50	14	SALE	TOTAL 2 LOTS
RYLAND GROUP INC / 783764103			
04/23/13	3.0000	03/25/13	121.80
05/10/13	5.0000	03/25/13	243.04
07/17/13	2.0000	03/25/13	82.06
	7.0000	03/25/13	272.38
	9.0000	03/27/13	350.22
10/17/13	16.0000	Various	622.60
	11.0000	04/04/13	400.14
	5.0000	06/03/13	181.89
11/13/13	16.0000	Various	582.03
	4.0000	06/03/13	150.95
	2.0000	06/06/13	75.48
	8.0000	06/25/13	301.92
	2.0000	07/05/13	75.48
	2.0000	09/03/13	75.48
12/04/13	18.0000	Various	679.31
SIRONA DENTAL SYSTEMS / 82966C103			
INC	2.0000	03/02/12	133.21
	2.0000	03/02/12	133.21
01/28/13	4.0000	03/02/12	266.42
02/20/13	2.0000	03/02/12	140.12
02/28/13	3.0000	03/06/12	211.24
	8.0000	10/12/12	511.16

2013 SUMMARY AMENDMENT

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Coal or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
06/18/13	1.0000	05/24/13	63.90	69.06	-5.16	TOTAL 2 LOTS
	9.0000	Various	575.06	528.97	46.09	SALE
SKYWORKS SOLUTIONS INC / 83088M102						
	6.0000	12/12/12	170.10	135.36	34.74	
	2.0000	03/25/13	56.70	42.64	14.06	
12/10/13	8.0000	Various	226.80	178.00	48.80	SALE
TOTAL 2 LOTS						
SNAP-ON INC / 833034101						
01/09/13	5.0000	04/26/12	396.13	313.47	82.66	SALE
ST JUDE MEDICAL INC / 790849103						
07/26/13	1.0000	07/18/13	52.03	50.83	1.20	SALE
07/31/13	1.0000	07/18/13	52.48	50.83	1.65	SALE
11/27/13	10.0000	07/18/13	584.81	508.25	76.56	SALE
	6.0000	07/18/13	359.29	304.95	48.34	
	2.0000	07/29/13	117.77	104.84	12.93	
12/17/13	8.0000	Various	471.06	409.79	61.27	SALE
TOTAL 2 LOTS						
STAPLES INC / 855030102						
05/10/13	5.0000	02/20/13	69.85	73.35	-3.50	SALE
05/24/13	5.0000	02/20/13	74.55	73.35	1.20	SALE
06/19/13	8.0000	02/20/13	130.56	117.36	13.20	SALE
07/31/13	5.0000	02/20/13	85.05	73.35	11.70	SALE
	40.0000	02/20/13	560.00	586.80	-26.80	
	14.0000	02/21/13	196.00	189.92	6.08	
	3.0000	03/25/13	42.01	40.41	1.60	





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Amended Date: 3/28/14

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GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
08/23/13	57.0000	Various	798.01	817.13	-19.12	SALE TOTAL 3 LOTS
SUNTRUST BANKS INC / 867914103						
	43.0000	10/10/12	1,174.10	1,304.15	-130.05	
	11.0000	10/12/12	300.35	318.39	-18.04	
	6.0000	03/25/13	163.84	171.06	-7.22	
04/04/13	60.0000	Various	1,638.29	1,793.60	-155.31	SALE TOTAL 3 LOTS
SWIFT TRANSPORTATION CO / 87074U101						
CLASS A						
07/26/13	3.0000	07/17/13	53.55	50.96	2.59	SALE
09/19/13	9.0000	07/17/13	176.19	152.86	23.33	SALE
09/27/13	11.0000	07/17/13	216.83	186.82	30.01	SALE
SYMANTEC CORP / 871503108						
	23.0000	03/06/13	520.87	562.58	-41.71	
	20.0000	03/08/13	452.93	496.60	-43.67	
	10.0000	03/13/13	226.46	243.67	-17.21	
	14.0000	03/25/13	317.05	345.95	-28.90	
	3.0000	05/24/13	67.95	68.13	-0.18	
07/01/13	70.0000	Various	1,585.26	1,716.93	-131.67	SALE TOTAL 5 LOTS
TENET HEALTHCARE CORP / 88033G407						
NEW						
08/07/13	15.0000	07/05/13	637.51	656.93	-19.42	SALE
TEREX CORPORATION NEW / 880779103						
05/10/13	3.0000	01/29/13	93.60	99.69	-6.09	SALE
05/24/13	2.0000	01/29/13	66.08	66.46	-0.38	SALE
	16.0000	01/29/13	467.08	531.63	-64.55	

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :
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 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC.
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
06/18/13	8.0000	02/01/13	233.55	265.26	-31.71	
07/22/13	24.0000	Various	700.63	798.89	-98.26	SALE
07/31/13	2.0000	02/01/13	58.24	66.31	-8.07	SALE
	2.0000	02/04/13	58.58	65.61	-7.03	SALE
	4.0000	02/04/13	117.97	131.20	-13.23	
	12.0000	03/25/13	353.94	420.84	-66.90	
09/05/13	16.0000	Various	471.91	552.04	-80.13	SALE
09/06/13	7.0000	04/08/13	208.83	219.59	-10.76	SALE
TOTAL 2 LOTS						
TESORO CORPORATION / 881608101						
06/19/13	1.0000	06/13/13	58.58	57.46	1.12	SALE
07/26/13	1.0000	06/13/13	54.13	57.46	-3.33	SALE
07/31/13	1.0000	06/13/13	56.42	57.46	-1.04	SALE
	9.0000	06/13/13	381.18	517.13	-135.95	
	3.0000	06/17/13	127.07	172.04	-44.97	
10/10/13	12.0000	Various	508.25	689.17	-180.92	SALE
TOTAL 2 LOTS						
	3.0000	06/17/13	171.63	172.04	-0.21	
	2.0000	07/05/13	114.56	102.30	12.26	
	1.0000	08/05/13	57.28	54.80	2.48	
12/19/13	6.0000	Various	343.67	329.14	14.53	SALE
TOTAL 3 LOTS						
	9.0000	08/05/13	510.30	493.11	17.19	
	3.0000	09/03/13	170.11	140.79	29.32	
12/23/13	12.0000	Various	680.41	633.90	46.51	SALE
TOTAL 2 LOTS						
THOR INDUSTRIES / 885160101						
01/25/13	14.0000	10/17/12	602.77	531.11	71.66	SALE



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2013 SUMMARY AMENDMENT

Amended Date: 3/28/14

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NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
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Account Number: [REDACTED]

Year-End Account Summary

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

TOWERS WATSON & CO CL A / 891894107						
8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
1a-Date of Sale or Exchange					Gain or Loss Amount	Additional Information
02/13/13	3.0000	10/17/12	112.93	113.80	-0.87	
	5.0000	10/24/12	188.23	185.68	2.55	
	9.0000	11/28/12	338.81	343.99	-5.18	
	3.0000	11/30/12	112.94	113.06	-0.12	
	3.0000	12/24/12	112.95	113.81	-0.86	
	23.0000	Various	865.86	870.34	-4.48	SALE
TOTAL 5 LOTS						
TOWERS WATSON & CO CL A / 891894107						
04/23/13	2.0000	02/19/13	145.94	133.61	12.33	SALE
05/24/13	1.0000	02/19/13	77.47	66.81	10.66	SALE
06/06/13	1.0000	02/19/13	75.53	66.81	8.72	SALE
07/02/13	1.0000	02/19/13	83.42	66.81	16.61	SALE
07/08/13	3.0000	02/19/13	247.68	200.41	47.27	SALE
07/22/13	1.0000	02/19/13	86.71	66.81	19.90	SALE
09/03/13	1.0000	02/19/13	82.33	66.81	15.52	SALE
09/10/13	4.0000	02/19/13	362.27	267.20	95.07	SALE
09/11/13	1.0000	02/19/13	92.29	66.80	25.49	SALE
	1.0000	02/19/13	99.25	66.80	32.45	
	2.0000	03/13/13	198.50	134.77	63.73	
09/19/13	3.0000	Various	297.75	201.57	96.18	SALE
10/02/13	3.0000	03/13/13	333.43	202.14	131.29	SALE
10/14/13	2.0000	03/15/13	234.61	135.73	98.88	SALE
11/20/13	4.0000	03/15/13	445.52	271.45	174.07	SALE
11/22/13	4.0000	04/09/13	445.03	280.82	164.21	SALE
TOTAL 2 LOTS						

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
TRINITY INDUSTRIES INC / 896522109						
03/25/13	3.0000	12/10/12	130.65	99.94	30.71	SALE
07/31/13	2.0000	12/10/12	77.64	66.62	11.02	SALE
09/03/13	4.0000	12/10/12	167.84	133.24	34.60	SALE
09/06/13	5.0000	12/10/12	216.22	166.55	49.67	SALE
09/26/13	5.0000	12/10/12	226.82	166.55	60.27	SALE
	1.0000	12/10/12	43.50	33.31	10.19	
	4.0000	12/18/12	174.04	142.77	31.27	
	2.0000	06/14/13	87.02	78.00	9.02	
10/09/13	7.0000	Various	304.56	254.08	50.48	SALE
	5.0000	06/14/13	252.74	195.00	57.74	
	7.0000	06/19/13	50.55	38.47	12.08	
11/01/13	6.0000	Various	303.29	233.47	69.82	SALE
11/26/13	6.0000	06/19/13	308.07	230.82	77.25	SALE
12/06/13	6.0000	08/08/13	302.53	241.96	60.57	SALE
TOTAL 3 LOTS						
TOTAL 2 LOTS						
TOTAL 2 LOTS						
TRIUMPH GROUP INC / 896818101						
NEW						
	2.0000	06/07/12	155.50	117.73	37.77	
	2.0000	06/13/12	155.50	116.07	39.43	
05/30/13	4.0000	Various	311.00	233.80	77.20	SALE
06/04/13	2.0000	06/13/12	155.52	116.06	39.46	SALE
07/19/13	1.0000	05/10/13	84.40	73.62	10.78	SALE



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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 461 NOTT ST
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Account Number [REDACTED]

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

Proceeds from Broker and Buyer Exchange Transactions for 2010						
8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis -	Supplemental Information	
1a-Date of Sale or Exchange					Gain or Loss Amount	Additional Information
TRW AUTOMOTIVE HOLDINGS / 872645106						
06/19/13	1.0000	05/17/13	65.97	62.11	3.86	SALE
07/05/13	1.0000	05/17/13	67.99	62.11	5.88	SALE
07/18/13	3.0000	05/17/13	204.56	186.32	18.24	SALE
07/26/13	1.0000	05/17/13	70.13	62.11	8.02	SALE
	3.0000	05/17/13	213.72	186.31	27.41	
	6.0000	06/04/13	427.45	379.71	47.74	
	1.0000	07/17/13	71.25	68.00	3.25	
09/25/13	10.0000	Various	712.42	634.02	78.40	SALE
TOTAL 3 LOTS						
TYSON FOODS INC CL A / 902494103						
04/23/13	5.0000	11/28/12	123.70	95.72	27.98	SALE
05/08/13	28.0000	11/28/12	692.16	536.00	156.16	SALE
06/06/13	4.0000	01/22/13	99.00	87.51	11.49	SALE
06/19/13	2.0000	01/22/13	51.82	43.76	8.06	SALE
07/05/13	2.0000	01/22/13	52.54	43.76	8.78	SALE
07/26/13	2.0000	01/22/13	54.20	43.76	10.44	SALE
09/03/13	6.0000	01/22/13	172.50	131.25	41.25	SALE
10/30/13	11.0000	01/22/13	309.01	240.63	68.38	SALE
	2.0000	01/22/13	62.61	43.74	18.87	
	3.0000	01/29/13	93.93	68.29	25.64	
	3.0000	01/30/13	93.93	67.50	26.43	
11/29/13	8.0000	Various	250.47	179.53	70.94	SALE
TOTAL 3 LOTS						

2013 SUMMARY AMENDMENT

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 461 NOTT ST
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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

UNITED RENTALS INC AND DAUGHTER EXCHANGE TRANSACTIONS FOR 2010						
8-Description / CUSIP	1-Quantity Sold		1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information
1a-Date of Sale or Exchange						Gain or Loss Amount ¹ Additional Information
UNITED RENTALS INC / 911363109						
01/03/13	1.0000	05/01/12	47.72	45.19	2.53	SALE
01/08/13	2.0000	05/01/12	97.19	90.38	6.81	SALE
01/28/13	5.0000	05/01/12	254.45	225.95	28.50	SALE
	6.0000	05/04/12	311.36	254.23	57.13	
	2.0000	05/08/12	103.79	80.54	23.25	
02/07/13	8.0000	Various	415.15	334.77	80.38	SALE
	3.0000	05/08/12	164.91	120.79	44.12	
	5.0000	05/09/12	274.86	211.07	63.79	
05/06/13	8.0000	Various	439.77	331.86	107.91	SALE
06/10/13	2.0000	07/10/12	102.45	70.46	31.99	SALE
	4.0000	07/10/12	200.00	140.91	59.09	
	8.0000	07/23/12	400.00	248.20	151.80	
	1.0000	04/23/13	50.00	51.11	-1.11	
	1.0000	05/24/13	50.00	55.69	-5.69	
	2.0000	06/06/13	100.00	100.92	-0.92	
06/14/13	16.0000	Various	800.00	596.83	203.17	SALE
TOTAL 5 LOTS						
UNUM GROUP / 91529Y106						
06/06/13	3.0000	03/28/13	83.43	84.56	-1.13	SALE
07/05/13	2.0000	03/28/13	60.64	56.38	4.26	SALE
07/26/13	3.0000	03/28/13	94.23	84.56	9.67	SALE
	18.0000	03/28/13	536.33	507.33	29.00	
	3.0000	04/01/13	89.39	84.91	4.48	
08/14/13	21.0000	Various	625.72	592.24	33.48	SALE
TOTAL 2 LOTS						



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

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Account Number: [REDACTED]

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013 continued

Supplemental Information							
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
	09/24/13	11.0000	04/01/13	333.65	311.31	22.34	SALE
VALERO ENERGY CORP NEW / 91913Y100 (VALERO REFINING & MKTING)							
	03/14/13	16.0000	12/18/12	697.45	541.98	155.47	SALE
	04/03/13	8.0000	12/18/12	331.88	270.99	60.89	SALE
	05/10/13	3.0000	12/18/12	115.23	93.24	21.99	SALE
	06/06/13	2.0000	12/18/12	78.64	62.16	16.48	SALE
		1.0000	12/18/12	34.16	31.08	3.08	
		19.0000	12/26/12	649.12	594.90	54.22	
	07/02/13	20.0000	Various	683.28	625.98	57.30	SALE
TOTAL 2 LOTS							
WEB.COM GROUP INC / 94733A104							
	09/03/13	6.0000	08/12/13	172.68	162.14	10.54	SALE
WESTERN DIGITAL CORP / 958102105							
	03/11/13	13.0000	01/17/13	619.78	591.66	28.12	SALE
		2.0000	01/17/13	96.11	91.02	5.09	
		10.0000	01/24/13	480.58	473.90	6.68	
		3.0000	01/30/13	144.18	140.66	3.52	
	03/26/13	15.0000	Various	720.87	705.58	15.29	SALE
TOTAL 3 LOTS							
WEYERHAEUSER CO / 962166104							
		7.0000	06/29/12	204.82	155.68	49.14	
		2.0000	07/10/12	58.53	45.13	13.40	
	01/08/13	9.0000	Various	263.35	200.81	62.54	SALE
	01/18/13	16.0000	07/10/12	490.97	361.04	129.93	SALE
TOTAL 2 LOTS							

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
WHIRLPOOL CORP / 963320106						
05/10/13	1.0000	03/28/13	126.69	116.91	9.78	SALE
05/24/13	1.0000	03/28/13	128.18	116.91	11.27	SALE
06/19/13	1.0000	03/28/13	129.40	116.91	12.49	SALE
07/22/13	1.0000	03/28/13	131.59	116.91	14.68	SALE
07/26/13	1.0000	03/28/13	129.79	116.90	12.89	SALE
10/03/13	1.0000	03/28/13	141.41	116.90	24.51	
	1.0000	04/02/13	141.41	116.50	24.91	
	2.0000	Various	282.82	233.40	49.42	SALE
	2.0000	04/02/13	261.74	232.98	28.76	
10/15/13	1.0000	04/25/13	130.87	118.47	12.40	
	3.0000	Various	392.61	351.45	41.16	SALE
TOTAL 2 LOTS						
WHITING PETROLEUM CORP / 966387102						
06/06/13	3.0000	02/15/13	137.49	151.29	-13.80	SALE
06/19/13	3.0000	02/15/13	147.15	151.29	-4.14	SALE
07/22/13	2.0000	02/15/13	101.32	100.86	0.46	SALE
07/26/13	2.0000	02/15/13	102.08	100.86	1.22	SALE
07/31/13	1.0000	02/15/13	52.05	50.43	1.62	SALE
09/03/13	1.0000	02/15/13	50.80	50.43	0.37	SALE
09/11/13	3.0000	02/15/13	159.08	151.29	7.79	SALE
09/26/13	6.0000	02/15/13	353.16	302.57	50.59	SALE
TOTAL 2 LOTS						



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013 *continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
XEROX CORP / 984121103						
05/10/13	7.0000	03/04/13	62.79	56.61	6.18	SALE
06/06/13	6.0000	03/04/13	52.74	48.52	4.22	SALE
06/19/13	11.0000	03/04/13	104.83	88.95	15.88	SALE
07/22/13	6.0000	03/04/13	58.68	48.52	10.16	SALE
09/03/13	19.0000	03/04/13	192.09	153.63	38.46	SALE
	37.0000	03/04/13	407.92	299.16	108.76	
	7.0000	03/12/13	77.18	60.40	16.78	
	44.0000	Various	485.10	359.56	125.54	SALE
11/22/13	15.0000	03/12/13	168.12	129.42	38.70	
	16.0000	04/12/13	179.34	146.68	32.66	
11/25/13	31.0000	Various	347.46	276.10	71.36	SALE
						TOTAL 2 LOTS
ZIONS BANCORP / 989701107						
07/05/13	5.0000	05/30/13	154.25	141.23	13.02	SALE
07/22/13	2.0000	05/30/13	61.52	56.49	5.03	SALE
	18.0000	05/30/13	517.57	508.40	9.17	
	5.0000	06/13/13	143.78	136.02	7.76	
	23.0000	Various	661.35	644.42	16.93	SALE
08/16/13	9.0000	06/13/13	253.47	244.83	8.64	
	11.0000	06/17/13	309.80	298.50	11.30	
	12.0000	06/19/13	337.98	323.87	14.11	
	5.0000	06/25/13	140.82	140.93	-0.11	
	3.0000	07/17/13	84.50	89.12	-4.62	
						TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :
 BENJAMIN CHUCKROW
 37 HENRY STREET SUITE 201
 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
10/24/13	40.0000	Various	1,126.57	1,097.25	29.32	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES					157,797.54	140,972.72
					16,824.82	

TOTAL 5 LOTS

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
AGCO CORP / 001084102 01/10/13	11.0000	01/06/12	558.84	526.73	32.11	SALE
	1.0000	09/13/12	57.07	44.87	12.20	
	5.0000	09/14/12	285.39	235.39	50.00	
11/11/13	6.0000	Various	342.46	280.26	62.20	SALE
TOTAL 2 LOTS						

TOTAL 2 LOTS

ALLSTATE CORP / 0200002101

03/06/13	3.0000	02/08/12	142.87	93.11	49.76	SALE
	6.0000	02/08/12	299.60	186.20	113.40	
	3.0000	02/10/12	149.81	92.31	57.50	
04/10/13	9.0000	Various	449.41	278.51	170.90	SALE
07/17/13	1.0000	02/10/12	51.18	30.77	20.41	SALE
07/22/13	1.0000	02/10/12	52.36	30.77	21.59	SALE
TOTAL 2 LOTS						

TOTAL 2 LOTS

ASHLAND INC NEW / 044209104

	6.0000	01/05/12	479.22	349.52	129.70	
	3.0000	01/10/12	239.61	179.97	59.64	
	4.0000	01/11/12	319.48	236.26	83.22	



2013 SUMMARY AMENDMENT

Page 53 of 79

Amended Date: 3/28/14

ADVISORS

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12868
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
01/30/13	13,0000	Various	1,038.31	765.75	272.56	SALE TOTAL 3 LOTS
BIOMED REALTY TRUST INC / 09063H107						
03/25/13	3,0000	08/05/11	66.27	50.93	15.34	SALE
04/23/13	6,0000	08/05/11	134.94	101.86	33.08	SALE
07/17/13	5,0000	08/05/11	105.65	84.89	20.76	SALE
	3,0000	08/05/11	63.24	50.93	12.31	Original Basis: 51.83
	1,0000	08/19/11	21.08	17.00	4.08	Original Basis: 17.31
07/26/13	4,0000	Various	84.32	67.93	16.39	SALE TOTAL 2 LOTS
09/03/13	6,0000	08/19/11	108.60	102.03	6.57	SALE
BRANDYWINE REALTY TRUST / 105368203						
03/25/13	18,0000	11/10/11	264.97	161.68	103.29	SALE
04/23/13	8,0000	11/10/11	118.49	71.72	46.77	SALE
05/10/13	6,0000	11/10/11	93.54	53.79	39.75	SALE
07/17/13	6,0000	11/10/11	86.22	53.68	32.54	SALE
	1,0000	11/10/11	14.17	8.96	5.21	Original Basis: 9.21
	5,0000	11/23/11	70.85	39.40	31.45	Original Basis: 40.75
07/26/13	6,0000	Various	85.02	48.36	36.66	SALE TOTAL 2 LOTS
	32,0000	11/23/11	434.80	251.44	183.36	Original Basis: 260.79
	2,0000	12/05/11	27.18	16.88	10.30	Original Basis: 17.45
11/14/13	34,0000	Various	461.98	268.32	193.66	SALE TOTAL 2 LOTS
	20,0000	12/05/11	263.93	168.65	95.28	Original Basis: 174.48
	12,0000	12/21/11	158.36	107.90	50.46	Original Basis: 111.40

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
481 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor
BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
12/03/13	32.0000	Various	422.29	276.55	145.74	SALE TOTAL 2 LOTS
CADENCE DESIGN SYSTEMS / 127387108 INC						
	8.0000	09/27/11	109.87	79.22	30.65	
	34.0000	10/11/11	466.94	337.64	129.30	
	14.0000	11/01/11	192.28	152.33	39.95	
01/09/13	56.0000	Various	769.09	569.19	199.90	SALE TOTAL 3 LOTS
	16.0000	11/01/11	224.15	174.08	50.07	
	2.0000	12/09/11	28.02	21.81	6.21	
01/24/13	18.0000	Various	252.17	195.89	56.28	SALE TOTAL 2 LOTS
01/28/13	15.0000	12/09/11	213.95	163.53	50.42	SALE
02/07/13	12.0000	12/09/11	167.15	130.81	36.34	SALE
02/28/13	21.0000	12/21/11	297.60	215.58	82.02	SALE
03/05/13	14.0000	12/21/11	202.56	143.72	58.84	SALE
CBL & ASSOC PROPERTIES / 124830100 REIT INC						
	17.0000	04/28/11	354.91	321.63	33.28	
	7.0000	05/09/11	146.15	128.55	17.60	
01/15/13	24.0000	Various	501.06	450.18	50.88	SALE TOTAL 2 LOTS
03/25/13	3.0000	05/09/11	70.86	55.10	15.76	SALE
05/10/13	3.0000	05/09/11	75.87	55.09	20.78	SALE
07/17/13	5.0000	05/09/11	118.10	91.82	26.28	SALE
07/26/13	3.0000	05/09/11	70.32	55.09	15.23	SALE



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
CLECO CORPORATION / 12561W105						
04/18/13	9.0000	03/09/12	420.63	350.29	70.34	SALE
07/17/13	3.0000	03/09/12	146.25	116.76	29.49	SALE
07/26/13	2.0000	03/09/12	97.58	77.84	19.74	SALE
ENERGEN CORPORATION / 29265N108						
03/14/13	5.0000	02/22/11	242.55	299.32	-56.77	
03/25/13	5.0000	03/18/11	242.55	306.24	-63.69	
	8.0000	05/17/11	388.09	455.56	-67.47	
	14.0000	07/08/11	679.16	817.57	-138.41	
	3.0000	08/03/11	145.54	171.34	-25.80	
	35.0000	Various	1,697.89	2,050.03	-352.14	SALE
	3.0000	08/03/11	153.15	171.33	-18.18	SALE
	1.0000	08/03/11	50.10	57.11	-7.01	
	1.0000	10/07/11	50.10	42.91	7.19	
05/10/13	2.0000	Various	100.20	100.02	0.18	SALE
05/17/13	5.0000	10/07/11	259.15	214.52	44.63	SALE
	3.0000	10/07/11	166.05	128.70	37.35	
	1.0000	01/17/12	55.35	51.94	3.41	
05/24/13	4.0000	Various	221.40	180.64	40.76	SALE
06/06/13	1.0000	01/17/12	54.59	51.93	2.66	SALE
	1.0000	01/17/12	53.71	51.93	1.78	
	5.0000	05/08/12	268.60	235.70	32.90	
06/20/13	6.0000	Various	322.31	287.63	34.68	SALE
07/05/13	1.0000	05/08/12	55.12	47.14	7.98	SALE
						TOTAL 5 LOTS
						TOTAL 2 LOTS
						TOTAL 2 LOTS
						TOTAL 2 LOTS

Your Financial Advisor :
BENJAMIN CHUCKROW
237 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number:

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

Supplemental Information						
8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
1a-Date of Sale or Exchange						
07/22/13	1.0000	06/29/12	56.70	44.31	12.39	SALE
07/30/13	4.0000	06/29/12	225.83	177.23	48.60	SALE
FIFTH THIRD BANCORP / 316773100						
07/19/13	29.0000	01/20/11	545.35	415.75	129.60	SALE
FOOT LOCKER INC / 344849104						
03/07/13	14.0000	08/22/11	490.29	257.60	232.69	SALE
03/08/13	12.0000	08/22/11	396.51	220.80	175.71	SALE
	4.0000	08/22/11	129.24	73.60	55.64	
	2.0000	11/10/11	64.62	43.91	20.71	
03/11/13	6.0000	Various	193.86	117.51	76.35	SALE
	8.0000	11/10/11	268.10	175.63	92.47	
	10.0000	11/18/11	335.12	224.48	110.64	
	6.0000	11/21/11	201.08	131.88	69.20	
03/27/13	24.0000	Various	804.30	531.99	272.31	SALE
	6.0000	11/21/11	200.50	131.88	68.62	
	6.0000	11/30/11	200.51	139.44	61.07	
04/26/13	12.0000	Various	401.01	271.32	129.69	SALE
05/06/13	4.0000	11/30/11	140.33	92.95	47.38	SALE
05/29/13	8.0000	05/08/12	271.84	233.04	38.80	SALE
06/04/13	3.0000	05/08/12	103.13	87.39	15.74	SALE
GNC HOLDINGS INC / 36191G107						
04/29/13	4.0000	04/26/12	180.68	159.18	21.50	SALE
05/16/13	4.0000	04/30/12	182.20	154.82	27.38	SALE
TOTAL 2 LOTS						
TOTAL 3 LOTS						
TOTAL 2 LOTS						



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Your Financial Advisor :
BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number: [REDACTED]

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
05/24/13	2.0000	04/30/12	91.18	77.57	13.61 SALE
06/19/13	2.0000	04/30/12	95.90	77.57	18.33 SALE
	2.0000	04/30/12	89.12	77.57	11.55
	4.0000	05/08/12	178.26	155.30	22.96
	3.0000	06/20/12	133.70	111.29	22.41
06/28/13	9.0000	Various	401.08	344.16	56.92 SALE
07/16/13	2.0000	06/20/12	94.01	74.19	19.82 SALE
TOTAL 3 LOTS					
HAIN CELESTIAL GROUP INC / 405217100					
	2.0000	02/03/11	108.41	55.14	53.27
	6.0000	03/21/11	325.23	179.87	145.36
01/30/13	8.0000	Various	433.64	235.01	198.63 SALE
TOTAL 2 LOTS					
HERTZ GLOBAL HLDGS INC / 42805T105					
01/11/13	20.0000	10/19/11	353.30	213.69	139.61 SALE
	14.0000	10/19/11	269.34	149.58	119.76
	1.0000	12/05/11	19.24	12.05	7.19
02/27/13	15.0000	Various	288.58	161.63	126.95 SALE
03/07/13	15.0000	12/05/11	307.47	180.62	126.85 SALE
03/14/13	13.0000	12/05/11	282.53	156.53	126.00 SALE
TOTAL 2 LOTS					
HOLLYFRONTIER CORP / 436106108					
02/27/13	3.0000	02/16/12	162.33	102.13	60.20 SALE
03/01/13	6.0000	02/16/12	328.23	204.26	123.97 SALE
	1.0000	02/16/12	53.49	34.04	19.45
	7.0000	02/17/12	374.43	236.66	137.77
03/13/13	8.0000	Various	427.92	270.70	157.22 SALE
TOTAL 2 LOTS					

001 / PNX3 / R264

2013 SUMMARY AMENDMENT

Page 58 of 79

Amended Date: 3/28/14

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
03/14/13	2.0000	02/22/12	104.23	70.81	33.42	SALE
04/23/13	1.0000	02/22/12	50.80	35.41	15.39	SALE
	1.0000	02/22/12	51.27	35.40	15.87	
	5.0000	02/28/12	256.37	159.99	96.38	
05/08/13	6.0000	Various	307.64	195.39	112.25	SALE
06/18/13	9.0000	03/28/12	403.16	290.00	113.16	SALE
HOME PROPERTIES INC / 437306103						
	3.0000	09/20/11	190.16	173.76	16.40	Original Basis 176.17
	2.0000	10/06/11	126.78	108.76	18.02	Original Basis 110.36
	3.0000	11/07/11	190.17	169.34	20.83	Original Basis 171.83
01/24/13	8.0000	Various	507.11	451.86	55.25	SALE
TOTAL 3 LOTS						
KBR INC / 48242W106						
	12.0000	01/21/11	379.92	387.81	-7.89	
	16.0000	02/07/11	506.56	535.84	-29.28	
	5.0000	02/18/11	158.31	174.96	-16.65	
01/10/13	33.0000	Various	1,044.79	1,098.61	-53.82	SALE
02/07/13	7.0000	02/18/11	219.57	244.94	-25.37	SALE
	14.0000	02/18/11	437.09	489.87	-52.78	
	1.0000	02/22/11	31.23	33.36	-2.13	
02/14/13	15.0000	Various	468.32	523.23	-54.91	SALE
02/22/13	12.0000	02/22/11	365.80	400.30	-34.50	SALE
TOTAL 2 LOTS						
KEYCORP NEW / 493267108						
09/23/13	23.0000	10/20/11	261.75	157.90	103.85	SALE



Amended Date: 3/28/14

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461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number:

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
04/23/13	4.0000	02/05/11	129.40	124.00	5.40	
	6.0000	Various	194.10	184.78	9.32	SALE
05/10/13	4.0000	02/08/11	138.10	124.00	14.10	SALE
06/06/13	5.0000	02/08/11	171.40	155.00	16.40	SALE
06/19/13	3.0000	02/08/11	107.13	93.00	14.13	SALE
	7.0000	02/08/11	243.45	217.00	26.45	
	3.0000	10/17/11	104.34	52.61	51.73	
06/24/13	10.0000	Various	347.79	269.61	78.18	SALE
07/05/13	6.0000	10/17/11	232.02	105.21	126.81	SALE
07/09/13	10.0000	10/17/11	391.75	175.34	216.41	SALE
	1.0000	10/17/11	41.32	17.53	23.79	
	2.0000	11/03/11	82.64	38.82	43.82	
07/22/13	3.0000	Various	123.96	56.35	67.61	SALE
07/26/13	3.0000	11/03/11	123.90	58.23	65.67	SALE
09/03/13	4.0000	11/03/11	169.48	77.63	91.85	SALE
09/10/13	1.0000	11/03/11	44.82	19.41	25.41	SALE
09/25/13	9.0000	11/03/11	377.82	174.67	203.15	SALE
11/22/13	8.0000	11/03/11	403.67	155.26	248.41	SALE
	1.0000	11/03/11	51.19	19.40	31.79	
	1.0000	01/26/12	51.19	20.63	30.56	
12/11/13	2.0000	Various	102.38	40.03	62.35	SALE
MACY'S INC / 55616P104						TOTAL 2 LOTS
	23.0000	04/27/11	869.26	565.34	303.92	

MACY'S INC / 55616P104



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12303-1812

Your Financial Advisor :
BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

Account Number [REDACTED]

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
01/04/13	9,0000	08/04/11	340.15	238.71	101.44	
	32,0000	Various	1,209.41	804.05	405.36	SALE
						TOTAL 2 LOTS
NISOURCE INC / 65473P105						
02/27/13	7,0000	01/13/12	189.80	159.29	30.51	SALE
04/15/13	6,0000	01/13/12	182.72	136.53	46.19	SALE
04/23/13	3,0000	01/13/12	92.85	68.27	24.58	SALE
06/05/13	2,0000	01/13/12	56.14	45.51	10.63	SALE
06/19/13	2,0000	01/13/12	58.76	45.51	13.25	SALE
07/17/13	2,0000	01/13/12	61.58	45.51	16.07	SALE
07/26/13	3,0000	01/13/12	93.36	68.27	25.09	SALE
	2,0000	01/13/12	61.79	45.50	16.29	
	10,0000	01/23/12	308.97	230.20	78.77	
	10,0000	02/22/12	308.98	237.13	71.85	
09/19/13	22,0000	Various	679.74	512.83	166.91	SALE
	5,0000	02/22/12	151.32	118.56	32.76	
09/23/13	4,0000	03/02/12	121.06	95.51	25.55	
10/11/13	9,0000	Various	272.38	214.07	58.31	SALE
	13,0000	03/02/12	398.82	310.40	88.42	SALE
						TOTAL 3 LOTS
						TOTAL 2 LOTS
NUCOR CORP / 670346105						
05/24/13	2,0000	10/21/11	90.62	73.83	16.79	SALE
07/22/13	2,0000	10/21/11	92.16	73.83	18.33	SALE
07/26/13	2,0000	10/21/11	93.26	73.83	19.43	SALE
08/13/13	6,0000	10/21/11	288.65	221.49	67.16	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :

BENJAMIN CHUCKROW
37 HENRY STREET SUITE 201
SARATOGA SPRINGS NY 12866
(518) 581-5040

NEIL - JANE
WILLIAM - ESTELLE
GOLUB FAMILY FOUNDATION, INC.
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

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**LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013**

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
08/21/13	4.0000	10/21/11	184.53	147.66	36.87	TOTAL 2 LOTS
	3.0000	10/27/11	136.41	117.49	20.92	
	7.0000	Various	322.94	265.15	57.79	
09/18/13	5.0000	10/27/11	247.00	195.81	51.19	TOTAL 2 LOTS
	6.0000	12/01/11	296.41	235.13	61.28	
	11.0000	Various	543.41	430.94	112.47	
09/23/13	3.0000	12/01/11	150.73	117.56	33.17	TOTAL 2 LOTS
	2.0000	12/01/11	100.49	78.88	21.61	
	5.0000	12/01/11	251.22	196.44	54.78	
09/25/13	6.0000	12/01/11	297.96	238.62	61.34	TOTAL 3 LOTS
	2.0000	01/25/12	99.32	87.96	11.36	
	3.0000	07/05/12	148.98	116.69	32.29	
	11.0000	Various	546.26	441.27	104.99	
PEABODY ENERGY CORP / 704549104						
11/20/13	9.0000	11/08/12	174.88	237.42	-62.54	SALE
PERKINELMER INC / 714046109						
03/04/13	8.0000	02/28/12	275.82	216.81	59.01	SALE
PIER 1 IMPORTS INC / 720279108						
01/08/13	9.0000	11/10/11	182.71	116.87	65.84	SALE
PPL CORPORATION / 69351T106						
03/12/13	12.0000	11/03/11	364.61	360.20	4.41	TOTAL 2 LOTS
	11.0000	01/05/12	334.24	312.83	21.41	
	23.0000	Various	698.85	673.03	25.82	
	14.0000	01/05/12	423.52	398.14	25.38	
	7.0000	01/12/12	211.77	196.54	15.23	



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

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GOLUB FAMILY FOUNDATION, INC
461 NOTT ST
SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
03/18/13	21.0000	Various	635.29	594.68	40.61	SALE
RAYMOND JAMES FINANCIAL / 754730109						
INC						
04/30/13	14.0000	07/28/11	565.02	457.98	107.04	SALE
	9.0000	07/28/11	384.48	294.41	90.07	
	1.0000	10/21/11	42.72	29.70	13.02	
05/14/13	10.0000	Various	427.20	324.11	103.09	SALE
	9.0000	10/21/11	389.69	267.28	122.41	
	7.0000	11/16/11	393.10	203.61	99.49	
05/28/13	16.0000	Various	692.79	470.89	221.90	SALE
TOTAL 2 LOTS						
REGIONS FINANCIAL CORP / 7591EP100						
(NEW)						
03/25/13	20.0000	03/14/12	164.80	123.14	41.66	SALE
05/10/13	33.0000	03/14/12	288.44	203.17	85.27	SALE
05/24/13	20.0000	03/14/12	182.00	123.13	58.87	SALE
06/06/13	9.0000	03/14/12	80.55	55.41	25.14	SALE
	39.0000	03/14/12	394.67	240.10	154.57	
	9.0000	04/05/12	91.08	57.96	33.12	
07/05/13	48.0000	Various	485.75	298.06	187.69	SALE
07/22/13	18.0000	04/05/12	186.37	115.91	70.46	SALE
07/26/13	6.0000	04/05/12	61.02	38.64	22.38	SALE
08/14/13	22.0000	04/05/12	218.06	141.65	76.41	SALE
	4.0000	04/05/12	37.72	25.75	11.97	
	43.0000	04/13/12	405.60	267.03	138.57	
TOTAL 2 LOTS						

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
11/08/13	47.0000	Various	443.32	292.78	150.54	SALE TOTAL 2 LOTS
RYDER SYSTEM INC / 783549108						
03/25/13	5.0000	01/03/11	298.51	266.83	31.68	SALE
	4.0000	01/03/11	242.75	213.46	29.29	
	1.0000	01/05/11	60.69	51.93	8.76	
05/10/13	5.0000	Various	303.44	265.39	38.05	SALE TOTAL 2 LOTS
05/24/13	1.0000	01/05/11	62.04	51.93	10.11	SALE
06/06/13	1.0000	01/05/11	60.86	51.93	8.93	SALE
	2.0000	01/05/11	121.14	103.86	17.28	
	6.0000	01/20/11	363.42	299.58	63.84	
	8.0000	02/04/11	484.56	402.49	82.07	
	4.0000	02/09/11	242.28	197.27	45.01	
07/10/13	20.0000	Various	1,211.40	1,003.20	208.20	SALE TOTAL 4 LOTS
	4.0000	02/09/11	241.80	197.26	44.54	
	5.0000	04/07/11	302.26	256.05	46.21	
07/17/13	9.0000	Various	544.06	453.31	90.75	SALE TOTAL 2 LOTS
07/22/13	1.0000	04/07/11	62.85	51.21	11.64	SALE
07/29/13	3.0000	07/29/11	184.02	168.59	15.43	SALE
07/30/13	3.0000	07/29/11	182.19	168.59	13.60	SALE
07/31/13	1.0000	08/03/11	61.70	52.94	8.76	SALE
08/12/13	3.0000	08/03/11	180.84	158.80	22.04	SALE

SIRONA DENTAL SYSTEMS / 82966C103

INC

04/23/13

1.0000 03/06/12 72.93

24.90 SALE

48.03



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2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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 37 HENRY STREET SUITE 201
 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
06/06/13	1.0000	04/23/12	70.17	49.06	21.11	SALE
	6.0000	04/23/12	393.84	294.31	99.53	
	8.0000	05/30/12	525.14	347.63	177.51	
06/14/13	14.0000	Various	918.98	641.94	277.04	SALE
06/18/13	1.0000	05/30/12	63.89	43.45	20.44	SALE
TOTAL 2 LOTS						
SKYWORKS SOLUTIONS INC / 83088M102						
04/23/13	3.0000	01/25/12	63.12	65.04	-1.92	SALE
	17.0000	01/25/12	400.85	368.52	32.33	
	3.0000	01/26/12	70.74	65.40	5.34	
05/10/13	20.0000	Various	471.59	433.92	37.67	SALE
07/22/13	5.0000	01/26/12	118.60	109.00	9.60	SALE
	7.0000	01/26/12	175.70	152.59	23.11	
	2.0000	03/06/12	50.20	49.85	0.35	
09/03/13	9.0000	Various	225.90	202.44	23.46	SALE
09/12/13	5.0000	03/06/12	127.39	124.62	2.77	SALE
	7.0000	03/06/12	174.24	174.45	-0.21	
	13.0000	05/23/12	323.60	331.97	-8.37	
	3.0000	05/31/12	74.68	76.47	-1.79	
10/17/13	23.0000	Various	572.52	582.89	-10.37	SALE
	8.0000	05/31/12	189.50	203.92	-14.42	
	2.0000	07/16/12	47.98	52.03	-4.65	
10/24/13	10.0000	Various	236.88	255.95	-19.07	SALE
	1.0000	07/16/12	28.34	26.01	2.33	
	9.0000	09/21/12	255.15	225.35	29.80	
TOTAL 3 LOTS						
TOTAL 2 LOTS						

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
12/10/13	10.0000	Various	283.49	251.36	32.13	SALE TOTAL 2 LOTS
SLM CORP / 78442P106						
	41.0000	06/28/11	684.36	693.24	-8.88	
	8.0000	09/14/11	133.53	108.62	24.91	
	14.0000	10/06/11	233.69	178.75	54.94	
01/22/13	63.0000	Various	1,051.58	980.61	70.97	SALE TOTAL 3 LOTS
TRIUMPH GROUP INC / 896818101						
NEW						
01/18/13	9.0000	01/18/11	610.79	427.21	183.58	SALE
	2.0000	01/21/11	158.73	95.45	63.28	
	4.0000	02/01/11	317.46	183.06	134.40	
04/09/13	6.0000	Various	478.19	278.51	197.68	SALE TOTAL 2 LOTS
05/30/13	4.0000	02/01/11	311.00	183.06	127.94	SALE
06/19/13	1.0000	06/13/12	79.81	58.03	21.78	SALE
	4.0000	06/15/12	337.56	227.87	109.69	
	3.0000	07/06/12	253.17	171.41	81.76	
07/19/13	7.0000	Various	590.73	399.28	191.45	SALE TOTAL 2 LOTS
UNITED RENTALS INC / 911363109						
05/10/13	2.0000	05/09/12	115.30	84.43	30.87	SALE
	2.0000	05/09/12	102.44	84.42	18.02	
	6.0000	05/15/12	307.33	244.52	62.81	
	10.0000	05/23/12	512.23	349.49	162.74	
06/10/13	18.0000	Various	922.00	678.43	243.57	SALE TOTAL 3 LOTS



2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
VALIDUS HOLDINGS LTD / G9319H102						
04/23/13	5.0000	04/11/12	191.35	156.43	34.92	SALE
05/20/13	8.0000	04/11/12	290.97	250.29	40.68	SALE
06/06/13	4.0000	04/11/12	144.08	125.14	18.94	SALE
	7.0000	04/11/12	246.11	218.99	27.12	
	8.0000	05/09/12	281.28	262.12	19.16	
	10.0000	05/23/12	351.60	311.32	40.28	
06/27/13	25.0000	Various	878.99	792.43	86.56	SALE
07/26/13	2.0000	05/23/12	71.36	62.27	9.09	SALE
11/20/13	7.0000	05/23/12	270.05	217.92	52.13	SALE
	2.0000	05/23/12	79.22	62.26	16.96	
	4.0000	05/24/12	158.46	126.10	32.36	
11/26/13	6.0000	Various	237.68	188.36	49.32	SALE
					TOTAL 3 LOTS	
VALUECLICK INC / 92046N102						
	8.0000	06/16/11	164.75	134.29	30.46	
	5.0000	07/15/11	102.98	90.99	11.99	
01/25/13	13.0000	Various	267.73	225.28	42.45	SALE
02/15/13	10.0000	07/15/11	263.70	181.98	81.72	SALE
03/01/13	6.0000	07/15/11	154.86	109.18	45.68	SALE
	2.0000	07/15/11	58.98	36.39	22.59	
	2.0000	09/27/11	58.98	34.06	24.92	
	4.0000	Various	117.96	70.45	47.51	SALE
04/23/13	6.0000	09/27/11	160.13	102.16	57.97	SALE
05/09/13	9.0000	09/27/11	225.70	153.22	72.48	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	

2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
06/11/13	18.0000	04/11/12	451.41	355.56	95.85
	27.0000	Various	677.11	508.78	168.33 SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES					11,453.33

TOTAL 2 LOTS

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
AMERICAN WATER WORKS CO / 030420103 INC	11.0000	11/24/10	420.11	271.58	148.53
	3.0000	12/13/10	114.58	75.08	39.50
	14.0000	Various	534.69	346.66	188.03 SALE
01/23/13	12.0000	12/13/10	449.31	300.29	149.02 SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					433.08
CMS ENERGY CORP / 125896100	7.0000	10/22/09	183.48	96.39	87.09 SALE
03/01/13	4.0000	10/22/09	108.11	55.08	53.03 SALE
03/11/13	9.0000	10/22/09	256.40	123.93	132.47 SALE
04/12/13	5.0000	10/22/09	144.56	68.85	75.71 SALE
04/23/13	3.0000	10/22/09	84.48	41.31	43.17 SALE
07/17/13	2.0000	10/22/09	56.30	27.54	28.76
	1.0000	10/28/09	28.15	13.54	14.61
07/26/13	3.0000	Various	84.45	41.08	43.37 SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					433.08

TOTAL 2 LOTS



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2013 SUMMARY AMENDMENT

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ADVISORS

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
09/03/13	2.0000	10/28/09	52.44	27.08	25.36	SALE
DISCOVER FINANCIAL / 254709108						
	12.0000	04/14/10	470.71	190.52	280.19	
	3.0000	04/16/10	117.68	46.77	70.91	
01/17/13	15.0000	Various	588.39	237.29	351.10	SALE
01/22/13	13.0000	04/16/10	497.10	202.65	294.45	SALE
03/25/13	3.0000	04/16/10	134.91	46.77	88.14	SALE
05/24/13	3.0000	04/16/10	142.41	46.77	95.64	SALE
07/05/13	2.0000	04/16/10	98.82	31.18	67.64	SALE
07/22/13	1.0000	04/16/10	50.78	15.59	35.19	SALE
	2.0000	04/16/10	94.75	31.16	63.59	
	4.0000	12/07/10	189.50	75.41	114.09	
08/29/13	6.0000	Various	284.25	106.57	177.68	SALE
DUPONT FABROS TECHNOLOGY / 25613Q106 INC						
	8.0000	06/10/10	189.58	194.79	-5.21	
	19.0000	09/03/10	450.28	462.61	-12.33	
02/13/13	27.0000	Various	639.86	657.40	-17.54	SALE
FIFTH THIRD BANCORP / 316773100						
05/10/13	10.0000	10/25/10	175.90	126.92	48.98	SALE
05/24/13	6.0000	10/25/10	108.85	76.14	32.71	SALE
	2.0000	10/25/10	35.76	25.38	10.38	
	1.0000	11/05/10	17.88	13.06	4.82	
06/06/13	3.0000	Various	53.64	38.44	15.20	SALE
						TOTAL 2 LOTS
						TOTAL 2 LOTS
						TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

NEIL - JANE
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GOLUB FAMILY FOUNDATION, INC
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Your Financial Advisor :
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37 HENRY STREET SUITE 201
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(518) 581-5040

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Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/05/13	6.0000	11/05/10	112.02	78.36	33.66	SALE
07/19/13	12.0000	11/05/10	225.66	156.72	68.94	SALE
KEYCORP NEW / 493267108						
	4.0000	10/01/10	40.04	32.77	7.27	
	7.0000	10/22/10	70.07	57.60	12.47	
03/25/13	11.0000	Various	110.11	90.37	19.74	SALE
05/10/13	6.0000	10/22/10	61.62	49.38	12.24	SALE
05/24/13	6.0000	10/22/10	63.60	49.37	14.23	SALE
06/06/13	5.0000	10/22/10	52.65	41.14	11.51	SALE
	14.0000	10/22/10	165.20	115.19	50.01	
	1.0000	11/05/10	11.80	8.39	3.41	
07/05/13	15.0000	Various	177.00	123.58	53.42	SALE
07/15/13	25.0000	11/05/10	294.95	209.75	85.20	SALE
07/22/13	5.0000	11/05/10	61.45	41.95	19.50	SALE
	18.0000	11/05/10	214.25	151.02	63.23	
	21.0000	12/23/10	249.96	179.95	70.01	
09/06/13	39.0000	Various	464.21	330.97	133.24	SALE
09/23/13	19.0000	12/23/10	216.22	162.80	53.42	SALE
TOTAL 2 LOTS						
NISOURCE INC / 65473P105						
	11.0000	09/23/10	295.03	188.87	106.16	
	24.0000	12/08/10	643.73	409.20	234.53	
02/08/13	35.0000	Various	938.76	598.07	340.69	SALE
02/11/13	8.0000	12/08/10	215.56	136.40	79.16	SALE
TOTAL 2 LOTS						



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

ADVISORS

Your Financial Advisor :
 BENJAMIN CHUCKROW
 37 HENRY STREET SUITE 201
 SARATOGA SPRINGS NY 12866
 (518) 581-5040

NEIL - JANE
 WILLIAM - ESTELLE
 GOLUB FAMILY FOUNDATION, INC
 461 NOTT ST
 SCHENECTADY NY 12308-1812

Account Number: [REDACTED]

Year-End Account Summary

This Information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
 Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
02/21/13	9.0000	12/08/10	242.66	153.45	89.21	SALE
02/27/13	2.0000	12/08/10	54.22	34.10	20.12	SALE
PVH CORP / 693656100						
01/24/13	1.0000	07/09/10	118.21	47.76	70.45	SALE
	3.0000	07/09/10	318.72	143.28	175.44	
	5.0000	08/13/10	531.20	248.89	282.31	
04/01/13	8.0000	Various	849.92	392.17	457.75	SALE
RAYMOND JAMES FINANCIAL / 754730109						TOTAL 2 LOTS
INC						
	1.0000	12/06/10	45.54	30.79	14.75	
	5.0000	12/09/10	227.75	154.96	72.79	
03/25/13	6.0000	Various	273.29	185.75	87.54	SALE
04/30/13	7.0000	12/09/10	282.50	216.94	65.56	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					3,702.02	

*Box 2a Sales price less commissions and option premiums