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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation NICHOLAS MARTINI FOUNDATION		A Employer identification number 22-2756049
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 29, 50 WALNUT STREET	Room/suite	B Telephone number 212-571-2300
City or town, state or province, country, and ZIP or foreign postal code NEWARK, NJ 07101-0419		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 13,811,513.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		431,393.	311,724.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		528,598.			
b Gross sales price for all assets on line 6a 6,834,690.					
7 Capital gain net income (from Part IV, line 2)			528,598.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-2,188.	-2,188.		STATEMENT 2
12 Total. Add lines 1 through 11		957,803.	838,134.		
13 Compensation of officers, directors, trustees, etc.		28,000.	14,000.		14,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		2,696.	0.		2,696.
b Accounting fees STMT 4		13,400.	10,050.		3,350.
c Other professional fees STMT 5		66,760.	66,760.		0.
17 Interest					
18 Taxes STMT 6		18,712.	4,204.		0.
19 Depreciation and depletion					
20 Occupancy		50,646.	17,726.		32,920.
21 Travel, conferences, and meetings		12,114.	0.		12,114.
22 Printing and publications					
23 Other expenses STMT 7		35,973.	0.		35,973.
24 Total operating and administrative expenses. Add lines 13 through 23		228,301.	112,740.		101,053.
25 Contributions, gifts, grants paid		340,588.			340,588.
26 Total expenses and disbursements. Add lines 24 and 25		568,889.	112,740.		441,641.
27 Subtract line 26 from line 12		388,914.			
a Excess of revenue over expenses and disbursements			725,394.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	20,208.		
	2 Savings and temporary cash investments	154,594.	112,319.	112,319.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	3,351,577.	3,003,396.	2,817,699.
	b Investments - corporate stock STMT 9	1,745,847.	1,786,235.	2,606,670.
	c Investments - corporate bonds STMT 10	954,750.	1,220,084.	1,115,212.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	4,958,176.	5,434,156.	6,421,115.
	14 Land, buildings, and equipment basis ▶ 753,311.			
	Less: accumulated depreciation ▶ 28,624.	686,706.	724,687.	724,687.
	15 Other assets (describe ▶ STATEMENT 12)	18,730.	13,811.	13,811.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	11,890,588.	12,294,688.	13,811,513.
	17 Accounts payable and accrued expenses	17,759.	32,945.	
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	17,759.	32,945.	
	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	11,872,829.	12,261,743.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	11,872,829.	12,261,743.	
	31 Total liabilities and net assets/fund balances	11,890,588.	12,294,688.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,872,829.
2 Enter amount from Part I, line 27a	2	388,914.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,261,743.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,261,743.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a FSM BONDS INC- SEE SCHEDULE ATTACHED	P		
b CHARLES SCHWAB - SEE SCHEDULE ATTACHED	P		
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,145,645.		3,143,976.	1,669.
b 3,487,970.		3,162,116.	325,854.
c 201,075.			201,075.
d			
e			

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			1,669.
b			325,854.
c			201,075.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	528,598.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	592,450.	11,764,829.	.050358
2011	523,675.	11,444,094.	.045759
2010	506,861.	10,996,290.	.046094
2009	426,287.	9,904,916.	.043038
2008	446,582.	11,275,235.	.039607

2 Total of line 1, column (d)	2	.224856
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044971
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	12,343,680.
5 Multiply line 4 by line 3	5	555,108.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,254.
7 Add lines 5 and 6	7	562,362.
8 Enter qualifying distributions from Part XII, line 4	8	479,622.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	14,508.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	14,508.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	14,508.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	16,882.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	16,882.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	11.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,363.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MCGRATH, DOYLE & PHAIR Located at ► 150 BROADWAY, SUITE 1212, NEW YORK, NY Telephone no. ► (212) 571-2300 ZIP+4 ► 10038			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		28,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A. Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	

Part IX-B. Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
All other program-related investments See instructions	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	12,448,726.
b	Average of monthly cash balances	1b	36,027.
c	Fair market value of all other assets	1c	46,902.
d	Total (add lines 1a, b, and c)	1d	12,531,655.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,531,655.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	187,975.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,343,680.
6	Minimum investment return. Enter 5% of line 5	6	617,184.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	617,184.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	14,508.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	14,508.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	602,676.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	602,676.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	602,676.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	441,641.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	37,981.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	479,622.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	479,622.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				602,676.
2 Undistributed Income, if any, as of the end of 2013				
a Enter amount for 2012 only			396,561.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 479,622.				
a Applied to 2012, but not more than line 2a			396,561.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				83,061.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				519,615.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

NICHOLAS MARTINI FOUNDATION, 212-571-2300
P.O. BOX 29, 50 WALNUT STREET, NEWARK, NJ 07101

b The form in which applications should be submitted and information and materials they should include.

SEE ATTACHED

c Any submission deadlines

SEE ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE ATTACHED	NO INDIVIDUALS	PC	GENERAL SUPPORT	340,588.
Total			3a	340,588.
b Approved for future payment				
SEE SCHEDULE ATTACHED	NO INDIVIDUALS	PC	GENERAL SUPPORT	15,000.
Total			3b	15,000.

Form 990-PF (2013)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| N/A | | |
| | | |
| | | |
| | | |
| | | |

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.





Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see Instr. 7)?
☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature <i>Nicholas Jacangelo</i>	Date <i>11/17/14</i>	Check ☐ if self-employed	PTIN <i>P01452105</i>
	Firm's name ▶ <i>MCGRATH, DOYLE & PHAIR</i>			Firm's EIN ▶ <i>13-5559072</i>	
	Firm's address ▶ <i>150 BROADWAY, SUITE 1212 NEW YORK, NY 10038</i>			Phone no <i>212-571-2300</i>	

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS - CORP. STOCKS	318,204.	201,075.	117,129.	117,129.	
INTEREST - CORP. BONDS	88,111.	0.	88,111.	88,111.	
INTEREST - MUNICIPAL BONDS	226,153.	0.	226,153.	106,484.	
TO PART I, LINE 4	632,468.	201,075.	431,393.	311,724.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INCOME (LOSS) FROM LTD. PART.	-2,188.	-2,188.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-2,188.	-2,188.	

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEE	2,696.	0.		2,696.
TO FM 990-PF, PG 1, LN 16A	2,696.	0.		2,696.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEE	13,400.	10,050.		3,350.	
TO FORM 990-PF, PG 1, LN 16B	13,400.	10,050.		3,350.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	66,760.	66,760.		0.	
TO FORM 990-PF, PG 1, LN 16C	66,760.	66,760.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAX ON INV. INCOME	14,508.	0.		0.	
FOREIGN TAXES PAID/ADR FEES PAID	4,204.	4,204.		0.	
TO FORM 990-PF, PG 1, LN 18	18,712.	4,204.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	2,963.	0.		2,963.	
DUES AND SUBSCRIPTIONS	3,006.	0.		3,006.	
MISCELLANEOUS	2,722.	0.		2,722.	
BARN EXPENSES	27,282.	0.		27,282.	
TO FORM 990-PF, PG 1, LN 23	35,973.	0.		35,973.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT		X	3,003,396.	2,817,699.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			3,003,396.	2,817,699.
TOTAL TO FORM 990-PF, PART II, LINE 10A			3,003,396.	2,817,699.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	1,786,235.	2,602,278.
COMMON STOCK	0.	4,392.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,786,235.	2,606,670.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	1,220,084.	1,115,212.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,220,084.	1,115,212.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-MUTUAL FUNDS	COST	5,367,016.	6,352,563.
LIMITED PARTNERSHIPS	COST	67,140.	67,140.
WARRANTS	COST	0.	1,412.
TOTAL TO FORM 990-PF, PART II, LINE 13		5,434,156.	6,421,115.

FORM 990-PF

OTHER ASSETS

STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSIT	4,577.	5,026.	5,026.
PREPAID EXCISE TAX	883.	2,374.	2,374.
ACCRUED INTEREST PURCHASED	13,270.	6,411.	6,411.
TO FORM 990-PF, PART II, LINE 15	18,730.	13,811.	13,811.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
FANNIE ROSTA 215 AYCRIGG AVENUE PASSAIC, NJ 07055	TRUSTEE 0.50	2,000.	0.	0.
MARIE SALANITRI 28 DIANE DRIVE BRICK, NJ 08724	TRUSTEE 0.50	2,000.	0.	0.
WILLIAM J. MARTINI P.O. BOX 29, 50 WALNUT STREET NEWARK, NJ 07101	TRUSTEE/PRESIDENT 6.00	22,000.	0.	0.
GAIL A. HANSEN 156 FAIRMONT AVENUE SO PLAINFIELD, NJ 07080	TRUSTEE 0.50	1,000.	0.	0.
PETER GARINO 91 NORTH SHORE ROAD DENVER, NJ 07834	TRUSTEE 0.50	1,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		28,000.	0.	0.



FINANCIAL PARTNERS

Nicholas Martini Foundation Group Acct # FOUNDATION
PO Box 29
Newark, NJ 07102

Realized Gains and Losses

Fiscal Year Ending 12/31/2013

Realized Gains and Losses

Description	Account Number	Date Acquired	Date Sold	Quantity	Net Proceeds	Cost	Short Term Gains	Total Long Gains	Total Gains
Abb Ltd Adr	52407076	03/09/2012	02/14/2013	95 000	2,139 45	1,921 76	217 69		217 69
Akamai Technologies	41824063	04/15/2010	01/10/2013	70 000	2,762 91	2,402 19		360 72	360 72
Akamai Technologies	41824063	04/28/2011	01/10/2013	170 000	6,709 92	5,952 47		757 45	757 45
				240 000	9,472 83	8,354 66		1,118 17	1,118 17
Akzo Nobel N V Sponsored ADR	52407076	10/08/2008	02/14/2013	110 000	2,553 04	1,515 32		1,037 72	1,037 72
Allianz Aktiengesellschaft ADR S	52407076	08/18/2009	02/14/2013	130 000	1,790 06	1,365 42		424 64	424 64
Altera Corp	41824063	04/06/2011	04/03/2013	85 000	2,817 19	3,660 20		-843 01	-843 01
Altera Corp	41824063	08/10/2011	04/03/2013	235 000	7,788 68	8,215 44		-426 76	-426 76
				320 000	10,605 87	11,875 64		-1,269 77	-1,269 77
Axa SA Sponsored ADR	52407076	03/03/2008	02/14/2013	55 000	989 98	1,852 41		-862 43	-862 43
B M C Software Inc	41824063	02/04/2011	07/15/2013	255 000	11,641 64	12,359 24		-717 60	-717 60
B M C Software Inc	41824063	01/29/2013	07/15/2013	62 000	2,830 52	2,524 84	305 68		305 68
				317 000	14,472 16	14,884 08	305 68	-717 60	-411 92
Baidu Inc Adr	52407076	03/22/2013	07/26/2013	39 000	4,959 93	3,365 00	1,594 93		1,594 93

Realized Gains and Losses Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Realized Gains and Losses

<u>Description</u>	<u>Account Number</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Net Proceeds</u>	<u>Cost</u>	<u>Short Term Gains</u>	<u>Total Long Gains</u>	<u>Total Gains</u>
Baidu Inc Adr	52407076	03/22/2013	08/21/2013	94 000	12,788 57	8,110 51	4,678 06		4,678 06
				133 000	17,748 50	11,475 51	6,272 99		6,272 99
Bhp Billiton Ltd Adr	52407076	08/09/2011	02/14/2013	22 000	1,756 22	1,671 65		84 57	84 57
Broadcom Corp Cl A	41824063	03/03/2011	01/23/2013	280 000	9,665 10	11,806 93		-2,141 83	-2,141 83
Broadcom Corp Cl A	41824063	09/14/2011	01/23/2013	95 000	3,279 24	3,306 87		-27 63	-27 63
				375 000	12,944 34	15,113 80		-2,169 46	-2,169 46
Canon Inc Sponsored ADR	52407076	11/30/2005	02/14/2013	45 000	1,589 36	1,693 80		-104 44	-104 44
Carrefour Sa Adr New	52407076	02/08/2011	02/14/2013	230 000	1,221 27	2,201 91		-980 64	-980 64
Chicago Bridge & Iron	41824063	11/10/2011	04/03/2013	131 000	7,492 56	4,928 15		2,564 41	2,564 41
Chicago Bridge & Iron	41824063	11/10/2011	04/16/2013	44 000	2,290 78	1,655 25		635 53	635 53
Chicago Bridge & Iron	41824063	03/08/2012	04/16/2013	120 000	6,247 58	5,494 94		752 64	752 64
				164 000	8,538 36	7,150 19		1,388 17	1,388 17
				295 000	16,030 92	12,078 34		3,952 58	3,952 58
Columbia Marsico Focused Eq Z	72189172	01/20/2012	05/03/2013	1,626 531	38,500 00	38,125 89		374 11	374 11
Comcast Corp New Cl A	41825063	01/12/2010	02/04/2013	1,079 000	41,435 04	17,846 66		23,588 38	23,588 38
COMPASS GROUP PLC SPON	52407076	07/20/2012	02/14/2013	130 000	1,580 76	1,368 90	211 86		211 86
Continental Resources	41824063	01/10/2011	03/04/2013	90 000	7,652 76	5,338 90		2,313 86	2,313 86
Credit Suisse Grp Adr	52407076	11/20/2012	02/14/2013	45 000	1,312 17	1,015 56	296 61		296 61
CRH Plc ADR	52407076	09/20/2010	02/14/2013	80 000	1,739 16	1,353 60		385 56	385 56

Realized Gains and Losses Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct #. FOUNDATION

Realized Gains and Losses

<u>Description</u>	<u>Account Number</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Net Proceeds</u>	<u>Cost</u>	<u>Short Term Gains</u>	<u>Total Long Gains</u>	<u>Total Gains</u>
Diageo Plc Sponsored ADR	52407076	11/30/2005	02/14/2013	14 000	1,669 46	814 94		854 52	854 52
Diamond Hill Long-Short I	72189172	03/16/2007	09/18/2013	2,949 557	63,840 39	53,763 31		10,077 08	10,077 08
Diamond Hill Long-Short I	72189172	01/29/2008	09/18/2013	552.181	11,951 44	10,049 95		1,901 49	1,901 49
Diamond Hill Long-Short I	72189172	05/07/2009	09/18/2013	4,905 396	106,172 67	70,049 95		36,122 72	36,122 72
				8,407 134	181,964 50	133,863 21		48,101 29	48,101 29
Diamond Hill Small Cap	72189172	07/31/2008	05/08/2013	535.414	16,089 20	11,886 19		4,203 01	4,203 01
Diamond Hill Small Cap	72189172	12/16/2008	05/08/2013	12 266	368 59	197 98		170 61	170 61
Diamond Hill Small Cap	72189172	05/07/2009	05/08/2013	450 656	13,542 21	7,751 28		5,790 93	5,790 93
				998 336	30,000 00	19,835 45		10,164 55	10,164 55
Diamond Hill Small Cap	72189172	05/07/2009	09/18/2013	2,216.749	72,000 00	38,128.08		33,871 92	33,871 92
				3,215 085	102,000 00	57,963 53		44,036 47	44,036 47
Directv Group Inc	41824063	01/23/2013	05/23/2013	111.000	7,094 51	5,916 82	1,177 69		1,177 69
Discovery Commun Ser A	41824063	05/16/2011	02/25/2013	80 000	5,639 87	3,542.67		2,097.20	2,097 20
Discovery Commun Ser A	41824063	06/24/2011	02/25/2013	18.000	1,268 97	725 10		543 87	543 87
				98 000	6,908.84	4,267 77		2,641.07	2,641 07
Dollar Gen Corp	41824063	05/09/2012	03/21/2013	135 000	6,703 59	6,322 89	380.70		380 70
Dollar Gen Corp	41824063	08/01/2012	03/21/2013	118 000	5,859 43	6,034 30	-174 87		-174 87
				253 000	12,563 02	12,357.19	205.83		205 83
Donaldson Inc	41824063	03/03/2010	02/25/2013	480.000	17,005 54	10,126 70		6,878 84	6,878 84
Ebay Inc	41824063	11/29/2012	10/18/2013	191 000	9,683 55	9,898 08	-214 53		-214 53
Ebay Inc	41824063	01/29/2013	10/18/2013	63.000	3,194 06	3,513.92	-319 86		-319 86
				254.000	12,877 61	13,412 00	-534.39		-534 39
Ecolab Inc	41824063	01/20/2010	04/30/2013	50 000	4,173 15	2,351.72		1,821 43	1,821 43

Realized Gains and Losses Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Realized Gains and Losses

<u>Description</u>	<u>Account Number</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Net Proceeds</u>	<u>Cost</u>	<u>Short Term Gains</u>	<u>Total Long Gains</u>	<u>Total Gains</u>
Ecolab Inc	41824063	02/24/2010	04/30/2013	42 000	3,505 45	1,759 16		1,746 29	1,746 29
				92 000	7,678 60	4,110 88		3,567 72	3,567 72
Emi S P A Sponsored ADR	52407076	11/30/2005	02/14/2013	35 000	1,619 10	1,904 00		-284 90	-284 90
Ericsson Telephone ADR B	52407076	10/19/2007	02/14/2013	150 000	1,869 11	2,215 95		-346 84	-346 84
FRANCE TELECOM ADR SPO	52407076	02/16/2006	02/14/2013	20 000	206 82	452 60		-245 78	-245 78
FRANCE TELECOM ADR SPO	52407076	02/16/2006	06/27/2013	755 000	7,271 28	17,085 65		-9,814 37	-9,814 37
				775 000	7,478 10	17,538 25		-10,060 15	-10,060 15
Gilead Sciences	41824063	01/30/2008	05/23/2013	157 000	8,736 48	3,500 32		5,236 16	5,236 16
Gilead Sciences	41824063	01/30/2008	08/14/2013	23 000	1,340 72	512 78		827 94	827 94
Gilead Sciences	41824063	01/30/2008	08/14/2013	38 000	2,215 09	847 21		1,367 88	1,367 88
				61 000	3,555 81	1,359 99		2,195 82	2,195 82
Gilead Sciences	41824063	01/30/2008	10/18/2013	130 000	8,770 43	2,898 35		5,872 08	5,872 08
				348 000	21,062 72	7,758 66		13,304 06	13,304 06
Givaudan Sa Adr	52407076	05/10/2010	02/14/2013	90 000	2,141 95	1,539 00		602 95	602 95
Givaudan Sa Adr	52407076	05/10/2010	05/03/2013	135 000	3,449 18	2,308 50		1,140 68	1,140 68
Givaudan Sa Adr	52407076	04/13/2011	05/03/2013	30 000	766 48	623 10		143 38	143 38
				165 000	4,215 66	2,931 60		1,284 06	1,284 06
				255 000	6,357 61	4,470 60		1,887 01	1,887 01
Glaxosmithkline Plc	52407076	11/30/2005	02/14/2013	35 000	1,589 70	1,739 85		-150 15	-150 15

Realized Gains and Losses

Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Realized Gains and Losses

Description	Account Number	Date Acquired	Date Sold	Quantity	Net Proceeds	Cost	Short Term Gains	Total Long Gains	Total Gains
Granger Ww Inc	41824063	06/20/2012	06/18/2013	30 000	7,649 75	5,676 21	1,973 54		1,973 54
Herbalife Ltd	41824063	09/09/2010	01/10/2013	75 000	3,051 80	2,198 01		853 79	853 79
Herbalife Ltd	41824063	02/16/2012	01/10/2013	60 000	2,441 45	3,604 20	-1,162 75		-1,162 75
				135 000	5,493 25	5,802 21	-1,162 75	853 79	-308 96
Highbridge Dynamic Comm	72189172	04/05/2011	05/08/2013	2,373 418	30,000 00	49,129 75		-19,129 75	-19,129 75
Highbridge Dynamic Comm	72189172	04/05/2011	09/18/2013	8,930 930	110,564 90	184,870 25		-74,305 35	-74,305 35
Highbridge Dynamic Comm.	72189172	12/15/2011	09/18/2013	39 511	489 15	698 95		-209 80	-209 80
Highbridge Dynamic Comm	72189172	12/22/2011	09/18/2013	27 437	339 67	469 18		-129 51	-129 51
Highbridge Dynamic Comm	72189172	01/17/2012	09/18/2013	5,478 662	67,825 84	95,000 00		-27,174 16	-27,174 16
Highbridge Dynamic Comm	72189172	02/20/2013	09/18/2013	6,705 036	83,008 35	93,200 00	-10,191 65		-10,191 65
				21,181 576	262,227 91	374,238 38	-10,191 65	-101,818 82	-112,010 47
Highland Long Short	72189172	01/17/2012	09/18/2013	23,554 994	292,227 91	423,368 13	-10,191 65		-131,140 22
Highland Long Short	72189172	12/14/2012	09/18/2013	13,098 464	166,563 82	145,052 39		21,511 43	21,511 43
				353 186	4,491 22	3,920 37	570 85		570 85
				13,451 650	171,055 04	148,972 76	570 85	21,511 43	22,082 28
I H S Inc	41824063	04/10/2012	10/02/2013	70 000	7,856 75	6,661 66		1,195 09	1,195 09
I H S Inc	41824063	07/13/2012	10/02/2013	1 000	112 24	106 08		6 16	6 16
				71 000	7,968 99	6,767 74		1,201 25	1,201 25
I H S Inc	41824063	07/13/2012	10/23/2013	49 000	5,397 75	5,198 10		199 65	199 65
I H S Inc	41824063	05/08/2013	10/23/2013	29 000	3,194 58	2,961 98	232 60		232 60
				78 000	8,592 33	8,160 08	232 60	199 65	432 25
				149 000	16,561 32	14,927 82	232 60	1,400 90	1,633 50

Realized Gains and Losses

Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Realized Gains and Losses

Description	Account Number	Date Acquired	Date Sold	Quantity	Net Proceeds	Cost	Short Term Gains	Total Long Gains	Total Gains
Imperial Tob Gp Plc Adrf	52407076	05/12/2009	02/14/2013	21 000	1,507 77	1,010.73		497 04	497 04
Infosys Technologies Ltd ADR	52407076	05/08/2012	02/14/2013	35 000	1,808 41	1,559 25	249 16		249 16
Infosys Technologies Ltd ADR	52407076	05/08/2012	02/21/2013	215 000	11,118 26	9,578 25	1,540 01		1,540 01
				250 000	12,926 67	11,137.50	1,789 17		1,789 17
iPath Dynamic VIX ETN	72189172	01/17/2012	09/18/2013	85.000	3,273 21	4,812 91		-1,539 70	-1,539 70
iPath Dynamic VIX ETN	72189172	01/17/2012	09/18/2013	315 000	12,130 15	17,836 02		-5,705 87	-5,705 87
iPath Dynamic VIX ETN	72189172	01/17/2012	09/18/2013	800 000	30,806 71	45,297 84		-14,491 13	-14,491 13
				1,200.000	46,210.07	67,946 77		-21,736 70	-21,736 70
iPath Dynamic VIX ETN	72189172	01/17/2012	09/18/2013	115 000	4,428 35	6,511 56		-2,083 21	-2,083 21
iPath Dynamic VIX ETN	72189172	01/17/2012	09/18/2013	685 000	26,377 56	38,786 28		-12,408 72	-12,408 72
				800 000	30,805 91	45,297 84		-14,491 93	-14,491 93
iPath Dynamic VIX ETN	72189172	01/17/2012	09/18/2013	610 000	23,490 12	34,539 61		-11,049 49	-11,049 49
iPath Dynamic VIX ETN	72189172	01/17/2012	09/18/2013	275.000	10,589 54	15,571.13		-4,981 59	-4,981 59
iPath Dynamic VIX ETN	72189172	01/17/2012	09/18/2013	1,000 000	38,507 38	56,622 30		-18,114 92	-18,114 92
iPath Dynamic VIX ETN	72189172	02/20/2013	09/18/2013	725 000	27,917 85	30,103 70	-2,185 85		-2,185 85
				2,000 000	77,014.77	102,297 13	-2,185 85	-23,096 51	-25,282 36
				4,610.000	177,520 87	250,081 35	-2,185 85	-70,374 63	-72,560.48
Ivy Asset Strategy Fund	72189172	05/07/2009	09/18/2013	10,607.705	319,454.12	204,331.61		115,122.51	115,122.51
Janus Global Research	72189172	05/07/2009	09/18/2013	1,634 798	94,000 00	49,129.49		44,870 51	44,870 51
Juniper Networks Inc	41824063	04/21/2011	01/29/2013	260.000	5,713.01	10,395 78		-4,682.77	-4,682.77

Realized Gains and Losses Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct #. FOUNDATION

Realized Gains and Losses

Description	Account Number	Date Acquired	Date Sold	Quantity	Net Proceeds	Cost	Short Term Gains	Total Long Gains	Total Gains
Juniper Networks Inc	41824063	09/09/2011	01/29/2013	290 000	6,372 20	6,192 20		180 00	180 00
				550 000	12,085 21	16,587 98		-4,502 77	-4,502 77
KAO Corp ADR	52407076	01/26/2010	02/14/2013	75 000	2,276 20	1,829 25		446 95	446 95
KAO Corp ADR	52407076	01/26/2010	03/21/2013	345 000	11,198 45	8,414 55		2,783 90	2,783 90
KAO Corp ADR	52407076	03/15/2011	03/21/2013	175 000	5,680 37	4,280 50		1,399 87	1,399 87
				520 000	16,878 82	12,695 05		4,183 77	4,183 77
				595 000	19,155 02	14,524 30		4,630 72	4,630 72
Lkq Corp	41824063	03/23/2012	01/29/2013	420 000	9,800 23	6,602 06	3,198 17		3,198 17
Mallinckrodt Pub	41824063	11/10/2011	06/28/2013	0 250	11 04	0 00		11 04	11 04
Mallinckrodt Pub	41824063	11/10/2011	07/15/2013	26 000	1,076 00	0 00		1,076 00	1,076 00
				26 250	1,087 04	0 00		1,087 04	1,087 04
Mead Johnson Nutrition	41824063	08/22/2012	07/05/2013	95 000	6,550 40	6,764 84	-214 44		-214 44
Mitsubishi Ujf Finl	52407076	06/28/2006	02/14/2013	325 000	1,807 61	4,284 22		-2,476 61	-2,476 61
National-Oilwell Inc	41824063	06/15/2011	06/10/2013	75 000	5,274 83	5,252 02		22 81	22 81
National-Oilwell Inc	41824063	02/06/2012	06/10/2013	92 000	6,470 46	7,674 27		-1,203 81	-1,203 81
				167 000	11,745 29	12,926 29		-1,181 00	-1,181 00
Nestle S A Sponsored ADR	52407076	11/30/2005	02/14/2013	30 000	2,044 75	892 80		1,151 95	1,151 95
Nike Inc Class B	41824063	04/10/2008	07/15/2013	117 000	7,416 45	3,955 55		3,460 90	3,460 90
Novartis Ag - ADR	52407076	11/30/2005	02/14/2013	35 000	2,397 45	1,836 45		561 00	561 00

Realized Gains and Losses

Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Realized Gains and Losses

<u>Description</u>	<u>Account Number</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Net Proceeds</u>	<u>Cost</u>	<u>Short Term Gains</u>	<u>Total Long Gains</u>	<u>Total Gains</u>
Ntt Docomo Inc Adr	52407076	11/22/2010	02/14/2013	95 000	1,404 07	1,556 95		-152 88	-152 88
Nu Skin Asia Pac Inc Cl A	41824063	06/01/2011	10/18/2013	40.000	4,030 30	1,552 99		2,477 31	2,477 31
Nu Skin Asia Pac Inc Cl A	41824063	09/23/2011	10/18/2013	75 000	7,556 82	3,259 81		4,297 01	4,297 01
				115.000	11,587.12	4,812 80		6,774.32	6,774.32
Petroleo Brasileiro Adrf	52407076	11/08/2010	02/14/2013	80 000	1,285 65	2,872 00		-1,586 35	-1,586 35
Pimco All Asset All Authority	72189172	12/04/2009	09/18/2013	15,726 179	162,564 59	170,013 78		-7,449 19	-7,449 19
Pimco All Asset All Authority	72189172	12/08/2010	09/18/2013	40.926	423 06	445 68		-22 62	-22 62
Pimco All Asset All Authority	72189172	01/17/2012	09/18/2013	2,046 784	21,158 01	21,049 13		108 88	108 88
				17,813.889	184,145 66	191,508 59		-7,362 93	-7,362 93
Praxair Inc	41824063	04/25/2008	01/29/2013	26.000	2,864 29	2,436 11		428 18	428 18
Praxair Inc	41824063	06/04/2008	01/29/2013	100 000	11,016 47	9,705 11		1,311 36	1,311 36
				126 000	13,880 76	12,141 22		1,739 54	1,739 54
Qualcomm Inc	41824063	01/30/2008	05/08/2013	125 000	8,024.78	5,041.25		2,983.53	2,983 53
Qualcomm Inc	41824063	04/15/2010	05/08/2013	100.000	6,419 83	4,304 57		2,115 26	2,115 26
Qualcomm Inc	41824063	06/20/2012	05/08/2013	110 000	7,061 81	6,310 05	751 76		751 76
				335 000	21,506 42	15,655 87	751 76	5,098 79	5,850 55
Redhat Inc	41824063	08/01/2012	08/14/2013	120 000	6,375.72	6,367 99		7 73	7 73
Redhat Inc	41824063	10/03/2012	08/14/2013	124 000	6,588 23	6,903 06	-314 83		-314 83
				244 000	12,963 95	13,271 05	-314.83	7 73	-307 10
Reed Elsevier New Adr	52407076	11/19/2009	02/14/2013	40.000	1,703 20	1,255 88		447.32	447.32
Roche Hldg Ltd Spon Adrf	52407076	11/14/2007	02/14/2013	35 000	1,945.96	1,572 31		373 65	373 65

Realized Gains and Losses

Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct #: FOUNDATION

Realized Gains and Losses

Description	Account Number	Date Acquired	Date Sold	Quantity	Net Proceeds	Cost	Short Term Gains	Total Long Gains	Total Gains
Roche Hldg Ltd Spon Adrf	52407076	11/14/2007	07/15/2013	80 000	5,090 31	3,593 85		1,496 46	1,496 46
				115 000	7,036 27	5,166 16		1,870 11	1,870 11
Royal Dutch Shell A Adrf	52407076	11/30/2005	02/14/2013	25 000	1,651 71	1,544 25		107 46	107 46
Royce Global Value Fd	72189172	01/17/2012	09/18/2013	20,731 707	276,718 34	255,049 95		21,668 39	21,668 39
S A P Aktiengesell Adr	52407076	04/11/2007	02/14/2013	25 000	1,991 49	1,156 73		834 76	834 76
Safeway Inc	41825063	11/17/2005	03/13/2013	488 000	11,840 46	11,531 44		309 02	309 02
Safeway Inc	41825063	11/17/2005	04/09/2013	302 000	7,725 90	7,136 26		589 64	589 64
Safeway Inc	41825063	01/23/2012	04/09/2013	104 000	2,660 57	2,314 83		345 74	345 74
				406 000	10,386 47	9,451 09		935 38	935 38
Safeway Inc	41825063	01/23/2012	06/19/2013	140 000	3,353 17	3,116 12		237 05	237 05
Safeway Inc	41825063	11/26/2012	06/19/2013	232 000	5,556 67	3,817 79	1,738 88		1,738 88
				372 000	8,909 84	6,933 91	1,738 88	237 05	1,975 93
				1,266 000	31,136 77	27,916 44	1,738 88	1,481 45	3,220 33
Sanofi-Synthelabo Sponsored AD	52407076	11/30/2005	02/14/2013	35 000	1,688 01	1,413 30		274 71	274 71
Schneider Elec Sa Adr	52407076	08/09/2011	02/14/2013	110 000	1,587 26	1,412 40		174 86	174 86
Secom Ltd Adr	52407076	06/22/2011	02/14/2013	140 000	1,699 56	1,677 68		21 88	21 88
Selected American Shares	72189172	07/31/2008	09/18/2013	11,529 048	560,045 24	476,518 61		83,526 63	83,526 63
Selected American Shares	72189172	04/05/2011	09/18/2013	297 551	14,454 10	13,049 99		1,404 11	1,404 11
Selected American Shares	72189172	01/17/2012	09/18/2013	2,569 122	124,799 95	105,048 83		19,751 12	19,751 12
Selected American Shares	72189172	12/18/2012	09/18/2013	21 350	1,037 11	901 17	135 94		135 94
Selected American Shares	72189172	12/18/2012	09/18/2013	747 582	36,315 20	31,555 42	4,759 78		4,759 78
Selected American Shares	72189172	07/01/2013	09/18/2013	36 897	1,792 35	1,668 11	124 24		124 24

Realized Gains and Losses Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Realized Gains and Losses

<u>Description</u>	<u>Account Number</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Net Proceeds</u>	<u>Cost</u>	<u>Short Term Gains</u>	<u>Total Long Gains</u>	<u>Total Gains</u>
Selected American Shares	72189172	07/01/2013	09/18/2013	835 213	40,572 05	37,759 99	2,812 06		2,812 06
				16,036 763	779,016 00	666,502 12	7,832 02	104,681 86	112,513 88
Syngenta Ag ADR	52407076	11/11/2008	02/14/2013	30 000	2,572 47	1,044 77		1,527 70	1,527 70
T Rowe Price Group Inc	41824063	04/28/2011	10/02/2013	205 000	14,746 17	13,238 51		1,507 66	1,507 66
Tesco PLC ADR	52407076	05/04/2011	02/14/2013	100 000	1,725 96	2,030 73		-304 77	-304 77
Teva Pharmaceutical Inds ADR	52407076	07/06/2010	02/14/2013	35 000	1,357 66	1,870 37		-512 71	-512 71
Third Ave Real Estate	72189172	03/16/2007	09/18/2013	1,748 231	50,235 15	61,218 32		-10,983 17	-10,983 17
Third Ave Real Estate	72189172	01/29/2008	09/18/2013	6,629 834	190,507 24	180,049 95		10,457 29	10,457 29
Third Ave Real Estate	72189172	07/31/2008	09/18/2013	1,309 675	37,633 31	31,049 95		6,583 36	6,583 36
				9,687 740	278,375 70	272,318 22		6,057 48	6,057 48
Total Fina Elf S A	52407076	11/30/2005	02/14/2013	40 000	2,023 59	2,505 00		-481 41	-481 41
Unilever Plc ADR Spon	52407076	11/30/2005	02/14/2013	50 000	2,003 46	1,092 50		910 96	910 96
Unilever Plc ADR Spon	52407076	11/30/2005	05/03/2013	140 000	6,084 54	3,059 00		3,025 54	3,025 54
				190 000	8,088 00	4,151 50		3,936 50	3,936 50
Visa Inc Cl A	41824063	04/15/2010	04/30/2013	41 000	6,945 41	3,865 55		3,079 86	3,079 86
Vodafone Group Plc	52407076	11/20/2012	02/14/2013	45 000	1,173 62	1,139 76	33 86		33 86
Vodafone Group Plc	52407076	11/20/2012	09/11/2013	395 000	13,083 36	10,004 56	3,078 80		3,078 80

Realized Gains and Losses
Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct #. FOUNDATION

Realized Gains and Losses

<u>Description</u>	<u>Account Number</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Quantity</u>	<u>Net Proceeds</u>	<u>Cost</u>	<u>Short Term Gains</u>	<u>Total Long Gains</u>	<u>Total Gains</u>
Vodafone Group Plc	52407076	03/25/2013	09/11/2013	110 000	3,643.47	3,127.08	516.39		516.39
				505 000	16,726.83	13,131.64	3,595.19		3,595.19
				550 000	17,900.45	14,271.40	3,629.05		3,629.05
Wintergreen Fund	72189172	05/07/2009	09/18/2013	3,140 760	53,500.00	28,135.27		25,364.73	25,364.73
WPP Group PLC ADR	52407076	01/11/2008	02/14/2013	25 000	1,973.46	1,444.65		528.81	528.81
Short Term Gains					332,950.91	317,150.43	15,800.48		
Total Long Gains					3,155,018.66	2,844,965.39		310,053.27	
Total (Sales)					3,487,969.57	3,162,115.82	15,800.48	310,053.27	325,853.75

Capital Gain Distributions

<u>Description</u>	<u>Account Number</u>	<u>Pay Date</u>	<u>Short Term Gains</u>	<u>Total Long Gains</u>	<u>Total Gains</u>
Columbia Marsico Focused	72189172	12/13/2013		32,971.47	32,971.47
Columbia Marsico Focused	72189172	12/13/2013	9,144.27		9,144.27
			9,144.27	32,971.47	42,115.74
Columbia Marsico Focused Eq Z	72189172	06/25/2013		24,479.53	24,479.53
Columbia Marsico Focused Eq Z	72189172	12/13/2013		67,157.77	67,157.77
Columbia Marsico Focused Eq Z	72189172	12/13/2013	19,474.63		19,474.63
			19,474.63	67,157.77	86,632.40
			19,474.63	91,637.30	111,111.93

Realized Gains and Losses
Fiscal Year Ending 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Capital Gain Distributions

<u>Description</u>	<u>Account Number</u>	<u>Pay Date</u>	<u>Short Term Gains</u>	<u>Total Long Gains</u>	<u>Total Gains</u>
Diamond Hill Small Cap	72189172	12/17/2013		32,703 87	32,703 87
Ramier Small Mid Cap	72189172	12/16/2013		6,002 29	6,002 29
Selected American Shares	72189172	07/01/2013		37,759 99	37,759 99
Selected American Shares	72189172	07/01/2013	1,668 11		1,668 11
			1,668 11	37,759 99	39,428 10
Total (Distributions)			30,287.01	201,074 92	231,361.93
Total Gains			46,087.49	511,128.19	557,215.68

Account: 30008470
NICHOLAS MARTINI
FOUNDATION
ATTN: WILLIAM J MARTINI
P O BOX 29
NEWARK NJ 07102



Account Executive
RICHEL RUSS
(800) 741-1103
Office: 300 | Rep: 415

Portfolio - Realized Gain/Loss

Date Range : 1/1/13-12/31/13

Cusip/ Symbol	Description	Purchase Date	Sell Date	Quantity	Price	Cost	Sell Price	Sell Proceeds	Realized Gain/Loss	Realized Gain/Loss Percentage	Status
Short Term											
04621WAA8	ASSURED GTY US HLDGS INC 7.0000% 06/01/2034	08/24/2012	01/18/2013	112,000	108.500	\$121,520.00	109.500	\$122,640.00	\$1,120.00	0.9%	
077340EU7	BEL AIRE KANS PUB BLDG COMM 6.4510% 05/01/2031	08/19/2013	09/17/2013	100,000	99.010	\$99,010.00	98.400	\$98,400.00	(\$610.00)	-0.6%	
37247DAB2	GENWORTH FINANCIAL INC 6.5000% 06/15/2034	11/16/2012	04/05/2013	160,000	101.000	\$161,600.00	105.500	\$168,800.00	\$7,200.00	4.5%	
37247DAB2	GENWORTH FINANCIAL INC 6.5000% 06/15/2034	01/03/2013	04/05/2013	40,000	104.250	\$41,700.00	105.500	\$42,200.00	\$500.00	1.2%	
38143YAC7	GOLDMAN SACHS GROUP INC 6.4500% 05/01/2036	06/27/2013	10/18/2013	75,000	102.290	\$76,717.50	104.000	\$78,000.00	\$1,282.50	1.7%	
428236BR3	HEWLETT PACKARD CO 6.0000% 09/15/2041	11/09/2012	02/25/2013	50,000	99.550	\$49,775.00	100.500	\$50,250.00	\$475.00	1.0%	
428236BR3	HEWLETT PACKARD CO 6.0000% 09/15/2041	11/09/2012	04/29/2013	200,000	99.550	\$199,100.00	100.260	\$200,520.00	\$1,420.00	0.7%	
428236BR3	HEWLETT PACKARD CO 6.0000% 09/15/2041	09/24/2013	11/27/2013	250,000	94.700	\$236,750.00	97.460	\$243,650.00	\$6,900.00	2.9%	
70643UBE8	PEMBROKE PINES FLA COMMUNICATI 5.2500% 10/01/2033	04/04/2012	01/03/2013	15,000	100.375	\$15,056.25	99.500	\$14,925.00	(\$131.25)	-0.9%	
743787L35	PROVIDENCE RHODE ISLAND GJO 6.7400% 01/15/2021	12/04/2012	01/15/2013	20,000	107.000	\$21,400.00	100.000	\$20,000.00	(\$1,400.00)	-6.5%	
Totals for: Short Term Realized Gain/Loss						\$1,022,628.75		\$1,039,385.00	\$16,756.25	1.6%	
Long Term											
04621WAA8	ASSURED GTY US HLDGS INC 7.0000% 06/01/2034	09/30/2011	01/18/2013	113,000	96.000	\$108,480.00	109.500	\$123,735.00	\$15,255.00	14.1%	
196711MA0	COLORADO ST CTFS PARTN BUILD AMERICA BDS 2009B 6.6500% 09/15/2045	05/04/2011	04/17/2013	100,000	103.915	\$103,915.00	127.250	\$127,250.00	\$23,335.00	22.5%	
199098BT0	COLUMBUS-FRANKLIN CNTY OHIO 6.6250% 08/15/2027	06/01/2010	08/16/2013	90,000	101.500	\$91,350.00	108.925	\$98,032.50	\$6,682.50	7.3%	
199098BT0	COLUMBUS-FRANKLIN CNTY OHIO 6.6250% 08/15/2027	06/02/2010	08/16/2013	10,000	101.500	\$10,150.00	108.925	\$10,892.50	\$742.50	7.3%	
199098BU7	COLUMBUS-FRANKLIN CNTY OHIO 6.6250% 08/15/2027	06/02/2010	09/17/2013	90,000	101.500	\$91,350.00	100.306	\$90,275.40	(\$1,074.60)	-1.2%	

Account: 30008470
NICHOLAS MARTINI
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ATTN: WILLIAM J MARTINI
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fnsmunicipalsbonds, Inc.

Municipal Bond Specialists

Account Executive
RICHEL RUSS
(800) 741-1103
Office: 300 | Rep: 415

Portfolio - Realized Gain/Loss Date Range :1/1/13-12/31/13

Cusip/ Symbol	Description	Purchase Date	Sell Date	Quantity	Price	Cost	Sell Price	Sell Proceeds	Realized Gain/Loss	Realized Gain/Loss Percentage	Loc/ Status
251130EC0	DETROIT MICH CITY SCH DIST 6.6450% 05/01/2029	03/27/2012	08/14/2013	145,000	108.000	\$156,600.00	95.750	\$138,837.50	(\$17,762.50)	-11.3%	
25483VEC4	DISTRICT COLUMBIA REV REV BDS 7.6250% 10/01/2035	05/03/2011	06/13/2013	100,000	101.820	\$101,820.00	115.500	\$115,500.00	\$13,680.00	13.4%	
36966TCJ9	GENERAL ELEC CAP CORP 5.2500% 06/15/2036	06/13/2011	09/17/2013	35,000	100.000	\$35,000.00	98.500	\$34,475.00	(\$525.00)	-1.5%	
36966TFA5	GENERAL ELECTRIC CAPITAL CORP 4.3000% 04/15/2032	04/04/2012	09/17/2013	100,000	100.000	\$100,000.00	93.125	\$93,125.00	(\$6,875.00)	-6.9%	
428064CU1	HESPERIA CALIF WTR DIST-COPS 9.0000% 06/01/2022	05/30/1995	06/03/2013	10,000	107.000	\$10,700.00	100.000	\$10,000.00	(\$700.00)	-6.5%	
603923BV4	MINNEAPOLIS MINN DEV REV 6.5000% 06/01/2040	08/05/2010	11/15/2013	175,000	100.000	\$175,000.00	101.000	\$176,750.00	\$1,750.00	1.0%	
68825PAA2	OSHKOSH WIS REDEV AUTH REDEV 6.2500% 09/01/2031	06/20/2006	01/03/2013	120,000	100.000	\$120,000.00	102.107	\$122,528.40	\$2,528.40	2.1%	
68825PAA2	OSHKOSH WIS REDEV AUTH REDEV 6.2500% 09/01/2031	06/20/2006	08/14/2013	200,000	100.000	\$200,000.00	98.950	\$197,900.00	(\$2,100.00)	-1.0%	
70643UBE8	PEMBROKE PINES FLA COMMUNICATI 5.2500% 10/01/2033	09/14/2010	01/03/2013	165,000	86.237	\$142,291.05	99.500	\$164,175.00	\$21,883.95	15.4%	
709515CJ7	PENSACOLA FLA REDEV REV REDEV 7.2100% 04/01/2040	01/07/2010	11/15/2013	150,000	100.000	\$150,000.00	104.075	\$156,112.50	\$6,112.50	4.1%	
709515CJ7	PENSACOLA FLA REDEV REV REDEV 7.2100% 04/01/2040	06/01/2010	11/15/2013	100,000	106.500	\$106,500.00	104.075	\$104,075.00	(\$2,425.00)	-2.3%	
709515CJ7	PENSACOLA FLA REDEV REV REDEV 7.2100% 04/01/2040	03/19/2012	11/15/2013	15,000	112.250	\$16,837.50	104.075	\$15,611.25	(\$1,226.25)	-7.3%	
798136TS6	SAN JOSE CALIF ARPT REV REV 6.6000% 03/01/2041	12/28/2011	01/03/2013	155,000	102.500	\$158,875.00	108.000	\$167,400.00	\$8,525.00	5.4%	
798136TS6	SAN JOSE CALIF ARPT REV REV 6.6000% 03/01/2041	12/28/2011	06/06/2013	40,000	102.500	\$41,000.00	108.150	\$43,260.00	\$2,260.00	5.5%	
798136TS6	SAN JOSE CALIF ARPT REV REV 6.6000% 03/01/2041	12/28/2011	06/12/2013	110,000	102.500	\$112,750.00	105.750	\$116,325.00	\$3,575.00	3.2%	
Totals for: Long Term Realized Gain/Loss									\$2,106,260.05	\$73,641.50	3.6%

Account: 30008470
 NICHOLAS MARTINI
 FOUNDATION
 ATTN: WILLIAM J MARTINI
 P O BOX 29
 NEWARK NJ 07102



Account Executive
 RICHIE RUSS
 (800) 741-1103
 Office: 300 | Rep: 415

Portfolio - Realized Gain/Loss Date Range :1/1/13-12/31/13

Cusip/ Symbol	Description	Purchase Date	Sell Date	Quantity	Price	Cost	Sell Price	Sell Proceeds	Realized Gain/Loss	Realized Gain/Loss Percentage	Loc/ Status
Totals for Account Cost as adjusted											
						\$3,143,976		\$3,145,645.05	\$1,669	3.0%	

Loc: E denotes externally held.

Fixed Income prices are as of the previous month end. The cost reflected above represents the original price paid for the securities and does not take into account accretion or amortization.

Income on variable rate securities is based on the current dividend rate and subject to change. If a Portfolio contains bonds that were not purchased from FMSBonds, Inc., we cannot guarantee the accuracy of this information. For any securities not held in safekeeping by FMSBonds, Inc., market values and ratings may not be current.

Market values on this report are supplied by third party sources and represent approximate collateral value. They are not intended to reflect the transactional value of your securities. Although these prices and other information on this report are obtained from sources considered to be reliable, we can not guarantee the completeness or accuracy of this information. This is not an official document.

Nicholas Martini Foundation
SCHEDULE OF MARKETABLE INVESTMENTS
December 31, 2013

<u>Stock Accounts</u>	<u>Cost</u>	<u>Market Value</u>
Santa Barbara Asset Mgmt - see attached	\$ 584,852	\$ 873,123
Delaware Capital LCV - see attached	701,294	1,108,629
Northroad International - see attached	<u>500,089</u>	<u>620,526</u>
 TOTAL CORPORATE STOCK	 <u>\$ 1,786,235</u>	 <u>\$ 2,602,278</u>
 <u>Mutual Fund Accounts</u>		
Equities - see attached	\$ 1,861,582	\$ 1,980,972
Mutual funds - see attached	<u>3,505,434</u>	<u>4,371,591</u>
 TOTAL MUTUAL FUNDS	 <u>\$ 5,367,016</u>	 <u>\$ 6,352,563</u>



Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION
PO Box 29
Newark, NJ 07102

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41824063 Santa Barbara Large Growth									
Equities									
Accenture Ltd	01/30/2008	280	34.078	9,541.84	23,021.60	13,479.76		141.3%	13,479.76
Adobe Sys Inc	08/14/2013	168	46.916	7,881.96	10,059.67		2,177.71	27.6%	2,177.71
Allergan Inc	07/12/2007	85	58.273	4,953.24	9,441.80	4,488.56		90.6%	4,488.56
Allergan Inc	01/30/2008	100	66.200	6,620.00	11,108.00	4,488.00		67.8%	4,488.00
		185		11,573.24	20,549.80	8,976.56		77.6%	8,976.56
Alliance Data Sys Corp	07/15/2013	41	190.072	7,792.94	10,780.13		2,987.19	38.3%	2,987.19
Alliance Data Sys Corp	10/02/2013	19	213.541	4,057.27	4,995.67		938.40	23.1%	938.40
		60		11,850.21	15,775.80		3,925.59	33.1%	3,925.59
Amern Tower Corp Class A	03/23/2011	260	49.162	12,782.07	20,753.20	7,971.13		62.4%	7,971.13
Amphenol Corp New	02/20/2009	190	25.176	4,783.52	16,944.20	12,160.68		254.2%	12,160.68
Amphenol Corp New	10/29/2009	50	41.263	2,063.17	4,459.00	2,395.83		116.1%	2,395.83
		240		6,846.69	21,403.20	14,556.51		212.6%	14,556.51
Ansysis Inc	10/18/2013	146	86.304	12,600.35	12,731.20		130.85	1.0%	130.85
Apple Computer Inc	05/23/2012	17	559.608	9,513.33	9,537.34	24.01		0.3%	24.01
Apple Computer Inc	06/20/2012	15	584.563	8,768.45	8,415.30	(353.15)		-4.0%	(353.15)
Apple Computer Inc	10/24/2012	9	617.173	5,554.56	5,049.18	(505.38)		-9.1%	(505.38)

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41824063 Santa Barbara Large Growth									
Equities									
Apple Computer Inc	10/23/2013	7	521.997	3,653.98	3,927.14		273.16	7.5%	273.16
		48		27,490.32	26,928.96	(834.52)	273.16	-2.0%	(561.36)
Celgene Corp	04/30/2013	93	118.081	10,981.52	15,714.02		4,732.50	43.1%	4,732.50
Celgene Corp	11/19/2013	27	153.940	4,156.39	4,562.14		405.75	9.8%	405.75
		120		15,137.91	20,276.16		5,138.25	33.9%	5,138.25
Check Point Software	07/30/2010	70	33.627	2,353.90	4,514.93	2,161.03		91.8%	2,161.03
Check Point Software	11/19/2010	150	43.478	6,521.63	9,674.85	3,153.22		48.4%	3,153.22
		220		8,875.53	14,189.78	5,314.25		59.9%	5,314.25
Costco Wholesale Corp Ne	09/09/2010	140	59.046	8,266.41	16,662.80	8,396.39		101.6%	8,396.39
Costco Wholesale Corp Ne	01/29/2013	33	103.031	3,400.03	3,927.66		527.63	15.5%	527.63
		173		11,666.44	20,590.46	8,396.39	527.63	76.5%	8,924.02
Covidien Ltd	11/10/2011	210	42.626	8,951.47	14,301.00	5,349.53		59.8%	5,349.53
Cvs Corporation	10/24/2012	283	46.166	13,064.92	20,254.31	7,189.39		55.0%	7,189.39
Danaher Corp Sbi	04/03/2013	118	61.387	7,243.65	9,109.60		1,865.95	25.8%	1,865.95
Danaher Corp Sbi	05/23/2013	125	62.443	7,805.40	9,650.00		1,844.60	23.6%	1,844.60
		243		15,049.05	18,759.60		3,710.55	24.7%	3,710.55
Directv Group Inc	01/23/2013	151	53.305	8,049.01	10,428.06		2,379.05	29.6%	2,379.05
Directv Group Inc	02/25/2013	111	49.086	5,448.50	7,665.66		2,217.16	40.7%	2,217.16
Directv Group Inc	11/19/2013	66	65.373	4,314.61	4,557.96		243.35	5.6%	243.35
		328		17,812.12	22,651.68		4,839.56	27.2%	4,839.56
Discovery Commun Ser A	06/24/2011	202	40.284	8,137.29	18,264.84	10,127.55		124.5%	10,127.55
E M C Corp Mass	12/16/2009	175	17.001	2,975.16	4,401.25	1,426.09		47.9%	1,426.09
E M C Corp Mass	02/03/2010	400	16.990	6,795.96	10,060.00	3,264.04		48.0%	3,264.04

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41824063 Santa Barbara Large Growth									
Equities									
E M C Corp Mass	08/31/2011	170	22 709	3,860 58	4,275 50	414 92		10 7%	414 92
		745		13,631 70	18,736 75	5,105 05		37 4%	5,105 05
Ecolab Inc	02/24/2010	158	41 885	6,617 80	16,474 66	9,856 86		148 9%	9,856 86
Express Scripts Inc Cl A	04/03/2012	235	17 235	4,050 14	16,506 40	12,456 26		307 6%	12,456 26
Gap Inc	07/15/2013	174	44 866	7,806 61	6,799 92		(1,006 69)	-12 9%	(1,006 69)
Gap Inc	08/14/2013	94	44 381	4,171 86	3,673 52		(498 34)	-11 9%	(498 34)
		268		11,978 47	10,473 44		(1,505 03)	-12 6%	(1,505 03)
Gartner Inc	04/30/2013	129	58 095	7,494 24	9,165 45		1,671 21	22 3%	1,671 21
Gartner Inc	05/08/2013	133	57 252	7,614 48	9,449 65		1,835 17	24 1%	1,835 17
		262		15,108 72	18,615 10		3,506 38	23 2%	3,506 38
Gilead Sciences	01/30/2008	232	22 295	5,172 44	17,423 20	12,250 76		236 8%	12,250 76
Google Inc Class A	09/18/2009	5	493 914	2,469 57	5,603 55	3,133 98		126 9%	3,133 98
Google Inc Class A	03/03/2010	10	546 270	5,462 70	11,207 10	5,744 40		105 2%	5,744 40
Google Inc Class A	06/15/2011	9	502 103	4,518 93	10,086 39	5,567 46		123 2%	5,567 46
Google Inc Class A	11/29/2012	8	684 000	5,472 00	8,965 68	3,493 68		63 8%	3,493 68
		32		17,923 20	35,862 72	17,939 52		100 1%	17,939 52
Grainger Ww Inc	06/20/2012	5	189 206	946 03	1,277 10	331 07		35 0%	331 07
Grainger Ww Inc	08/01/2012	28	203 505	5,698 13	7,151 76	1,453 63		25 5%	1,453 63
		33		6,644 16	8,428 86	1,784 70		26 9%	1,784 70
Intuit Inc	03/21/2007	85	29 662	2,521 26	6,487 20	3,965 94		157 3%	3,965 94
Intuit Inc	01/30/2008	100	30 560	3,056 00	7,632 00	4,576 00		149 7%	4,576 00
		185		5,577 26	14,119 20	8,541 94		153 2%	8,541 94

Unrealized Gains and Losses

As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41824063 Santa Barbara Large Growth									
Equities									
Kansas City Southern	10/24/2012	83	79 309	6,582 61	10,277 89	3,695 28		56 1%	3,695 28
LinkedIn Corp	10/18/2013	51	250 036	12,751 84	11,058 33		(1,693 51)	-13 3%	(1,693 51)
LinkedIn Corp	11/19/2013	20	223 649	4,472 97	4,336 60		(136 37)	-3 0%	(136 37)
		71		17,224 81	15,394 93		(1,829 88)	-10 6%	(1,829 88)
Lpl Financial Hldgs Inc	10/02/2013	210	38 722	8,131 66	9,880 50		1,748 84	21 5%	1,748 84
Lpl Financial Hldgs Inc	10/18/2013	100	40 010	4,001 04	4,705 00		703 96	17 6%	703 96
		310		12,132 70	14,585 50		2,452 80	20 2%	2,452 80
Mckesson Corp	06/18/2013	99	115 564	11,440 84	15,978 60		4,537 76	39 7%	4,537 76
Mckesson Corp	07/05/2013	31	114 952	3,563 50	5,003 40		1,439 90	40 4%	1,439 90
		130		15,004 34	20,982 00		5,977 66	39 8%	5,977 66
Monsanto Co New Com	12/17/2008	95	75 503	7,172 81	11,072 25	3,899 44		54 4%	3,899 44
Monsanto Co New Com	11/30/2011	75	72 739	5,455 41	8,741 25	3,285 84		60 2%	3,285 84
		170		12,628 22	19,813 50	7,185 28		56 9%	7,185 28
Nike Inc Class B	04/10/2008	73	33 808	2,468 00	5,740 72	3,272 72		132 6%	3,272 72
Nike Inc Class B	07/08/2010	100	34 701	3,470 13	7,864 00	4,393 87		126 6%	4,393 87
		173		5,938 13	13,604 72	7,666 59		129 1%	7,666 59
Nu Skin Asia Pac Inc Cl A	09/23/2011	30	43 464	1,303 92	4,146 60	2,842 68		218 0%	2,842 68
Nu Skin Asia Pac Inc Cl A	05/23/2013	68	61 861	4,206 57	9,398 96		5,192 39	123 4%	5,192 39
		98		5,510 49	13,545 56	2,842 68		145 8%	8,035 07
Oceaneering Intl Inc	06/10/2013	154	72 631	11,185 14	12,147 52		962 38	8 6%	962 38
Oracle Corporation	01/10/2013	397	34 571	13,724 73	15,189 22		1,464 49	10 7%	1,464 49

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41824063 Santa Barbara Large Growth									
Equities									
Oracle Corporation	02/25/2013	206	35 392	7,290 79	7,881 56		590 77	8 1%	590 77
		603		21,015 52	23,070 78		2,055 26	9 8%	2,055 26
Petsmart Inc	03/04/2013	92	65 495	6,025 50	6,693 00		667 50	11.1%	667 50
Petsmart Inc	05/08/2013	71	69 772	4,953 84	5,165 25		211 41	4 3%	211 41
		163		10,979 34	11,858 25		878 91	8.0%	878 91
Philip Morris Intl Inc	01/29/2013	159	89 251	14,190 92	13,853 67		(337 25)	-2 4%	(337 25)
Philip Morris Intl Inc	04/03/2013	80	94 687	7,574 93	6,970 40		(604 53)	-8 0%	(604 53)
		239		21,765 85	20,824 07		(941 78)	-4 3%	(941 78)
Polaris Industries	02/25/2013	83	86 243	7,158 18	12,088 12		4,929 94	68 9%	4,929 94
Polaris Industries	04/03/2013	62	90 524	5,612 51	9,029 68		3,417 17	60.9%	3,417 17
		145		12,770 69	21,117 80		8,347 11	65 4%	8,347 11
Precision Castparts Corp	03/08/2012	60	172 321	10,339 24	16,158 00	5,818 76		56 3%	5,818 76
Priceline Com Inc New	09/18/2013	8	978 700	7,829 60	9,299 20		1,469 60	18 8%	1,469 60
Priceline.Com Inc New	10/23/2013	4	*****	4,268 30	4,649 60		381 30	8 9%	381 30
		12		12,097 90	13,948 80		1,850 90	15 3%	1,850 90
Resmed Inc	07/05/2013	167	44 774	7,477 22	7,862 36		385 14	5 2%	385 14
Resmed Inc	08/14/2013	77	48 739	3,752 93	3,625 16		(127 77)	-3.4%	(127 77)
		244		11,230 15	11,487 52		257 37	2 3%	257 37
Roper Inds Inc New	02/25/2013	87	124 600	10,840 19	12,065 16		1,224 97	11 3%	1,224 97
Ross Stores Inc	09/04/2009	205	23 634	4,844 96	15,360 65	10,515 69		217 0%	10,515 69
Schlumberger Ltd	03/05/2008	130	87 188	11,334 39	11,714 30	379 91		3 4%	379 91
Schlumberger Ltd	06/05/2009	50	57 664	2,883 22	4,505 50	1,622 28		56 3%	1,622 28

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct #: FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41824063 Santa Barbara Large Growth									
Equities Schlumberger Ltd	11/10/2010	50	74 286	3,714.30	4,505.50	791.20		21.3%	791.20
		230		17,931.91	20,725.30	2,793.39		15.6%	2,793.39
Starbucks Corp	09/21/2012 10/17/2012	134	51 500	6,900.99	10,504.26	3,603.27		52.2%	3,603.27
		141	48 726	6,870.31	11,052.99	4,182.68		60.9%	4,182.68
		275		13,771.30	21,557.25	7,785.95		56.5%	7,785.95
Stryker Corp	03/21/2013 04/03/2013	112	64 780	7,255.33	8,415.68		1,160.35	16.0%	1,160.35
		111	65 344	7,253.20	8,340.54		1,087.34	15.0%	1,087.34
		223		14,508.53	16,756.22		2,247.69	15.5%	2,247.69
Tractor Supply Company	02/06/2012	162	40 742	6,600.28	12,567.96	5,967.68		90.4%	5,967.68
United Technologies Corp	01/30/2008 05/12/2010	35	73 566	2,574.81	3,983.00	1,408.19		54.7%	1,408.19
		100	73.087	7,308.67	11,380.00	4,071.33		55.7%	4,071.33
United Technologies Corp	11/10/2011	75	77 667	5,824.99	8,535.00	2,710.01		46.5%	2,710.01
		210		15,708.47	23,898.00	8,189.53		52.1%	8,189.53
Visa Inc Cl A	04/15/2010 11/10/2010	9	94 282	848.54	2,004.12	1,155.58		136.2%	1,155.58
		100	78 810	7,881.02	22,268.00	14,386.98		182.6%	14,386.98
		109		8,729.56	24,272.12	15,542.56		178.0%	15,542.56
Waters Corp	05/15/2009 05/08/2013	85	43 320	3,682.20	8,500.00	4,817.80		130.8%	4,817.80
		42	95 724	4,020.40	4,200.00		179.60	4.5%	179.60
		127		7,702.60	12,700.00	4,817.80	179.60	64.9%	4,997.40
Wells Fargo & Co	10/29/2009	130	28 368	3,687.79	5,902.00	2,214.21		60.0%	2,214.21

Unrealized Gains and Losses

As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41824063 Santa Barbara Large Growth									
Equities									
Wells Fargo & Co	02/04/2011	250	32 623	8,155 65	11,350 00	3,194 35		39 2%	3,194 35
		380		11,843 44	17,252 00	5,408 56		45 7%	5,408 56
				584,851 67	873,123 09	236,691 39	51,580 03	49.3%	288,271 42
Cash and Money Funds									
CASH				13,247 00	13,247 00				
				598,098.67	886,370.09	236,691.39	51,580.03	48.2%	288,271.42
Nicholas Martini Foundation 41825063 Delaware LCV									
Equities									
Allstate Corp	08/06/2002	504	37 539	18,919 78	27,488 16	8,568 38		45.3%	8,568.38
Allstate Corp	08/03/2007	133	53 226	7,079 06	7,253.82	174 76		2 5%	174 76
Allstate Corp	01/23/2012	136	29 708	4,040.29	7,417 44	3,377 15		83 6%	3,377 15
		773		30,039 13	42,159 42	12,120 29		40 3%	12,120 29
Archer Daniels Midland	09/30/2008	569	21 398	12,175 69	24,694.60	12,518.91		102.8%	12,518.91
Archer Daniels Midland	07/12/2011	141	30 968	4,366 49	6,119 40	1,752.91		40 1%	1,752 91
Archer Daniels Midland	02/06/2013	197	30 168	5,943.10	8,549 80	2,606 70	2,606 70	43 9%	2,606 70
		907		22,485 28	39,363 80	14,271 82	2,606 70	75 1%	16,878 52
AT&T	11/17/2005	672	24 200	16,262.40	23,627 52	7,365 12		45 3%	7,365.12
AT&T	06/26/2013	155	35 328	5,475.84	5,449 80		(26 04)	-0 5%	(26 04)
		827		21,738.24	29,077 32	7,365.12	(26 04)	33.8%	7,339 08
Baxter Intl Inc	07/21/2010	398	42 139	16,771 48	27,680 90	10,909 42		65 0%	10,909 42
Broadcom Corp Cl A	07/18/2013	922	33 550	30,933.10	27,332 69		(3,600.41)	-11 6%	(3,600.41)
Cardinal Health Inc	11/18/2008	362	24 619	8,912.00	24,185 22	15,273 22		171 4%	15,273 22

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41825063 Delaware LCV									
Equities									
Cardinal Health Inc	09/14/2009	185	26.389	4,881.97	12,359.85	7,477.88		153.2%	7,477.88
		547		13,793.97	36,545.07	22,751.10		164.9%	22,751.10
Chevrontexaco Corporation	08/06/2002	228	35.700	8,139.63	28,479.48	20,339.85		249.9%	20,339.85
Cisco Sys Inc	06/22/2011	1,408	15.570	21,922.56	31,581.44	9,658.88		44.1%	9,658.88
Conocophillips	04/30/2004	216	27.801	6,005.11	15,260.40	9,255.29		154.1%	9,255.29
Conocophillips	10/10/2006	136	44.896	6,105.91	9,608.40	3,502.49		57.4%	3,502.49
Conocophillips	06/27/2012	76	54.298	4,126.65	5,369.40	1,242.75		30.1%	1,242.75
		428		16,237.67	30,238.20	14,000.53		86.2%	14,000.53
Cvs Corporation	10/24/2008	555	27.637	15,338.70	39,721.35	24,382.65		159.0%	24,382.65
Du Pont E I De	11/17/2005	504	42.160	21,248.64	32,744.88	11,496.24		54.1%	11,496.24
Edison International	04/24/2009	517	28.099	14,527.44	23,937.10	9,409.66		64.8%	9,409.66
Halliburton Company	06/19/2012	821	29.169	23,947.75	41,665.75	17,718.00		74.0%	17,718.00
Intel Corp	04/25/2006	809	18.780	15,193.02	20,997.60	5,804.58		38.2%	5,804.58
Intel Corp	10/04/2012	336	22.468	7,549.25	8,720.88	1,171.63		15.5%	1,171.63
		1,145		22,742.27	29,718.48	6,976.21		30.7%	6,976.21
Johnson & Johnson	11/26/2007	282	67.634	19,072.87	25,828.38	6,755.51		35.4%	6,755.51
Johnson & Johnson	01/23/2012	75	65.040	4,878.00	6,869.25	1,991.25		40.8%	1,991.25
		357		23,950.87	32,697.63	8,746.76		36.5%	8,746.76
Johnson Controls	02/06/2013	864	31.075	26,848.71	44,323.20		17,474.49	65.1%	17,474.49
Kraft Foods Group	11/12/2007	204	34.595	7,057.33	10,997.64	3,940.31		55.8%	3,940.31

Unrealized Gains and Losses

As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41825063 Delaware LCV									
Equities									
Kraft Foods Group	12/05/2012	344	45 808	15,758 06	18,545 04	2,786.98		17 7%	2,786 98
		548		22,815 39	29,542 68	6,727 29		29.5%	6,727 29
Lowes Co	10/07/2009	798	20 229	16,142.67	39,540 90	23,398 23		144 9%	23,398 23
Marathon Oil Corp	06/03/2008	556	31 096	17,289.65	19,626 80	2,337 15		13 5%	2,337 15
Marathon Oil Corp	07/12/2011	133	31 855	4,236 72	4,694 90	458 18		10 8%	458 18
Marathon Oil Corp	06/26/2013	148	35 248	5,216 70	5,224 40		7 70	0 1%	7 70
		837		26,743 07	29,546 10	2,795 33	7 70	10.5%	2,803 03
Marsh McLennan	09/10/2010	705	24 159	17,032 10	34,093 80	17,061 70		100 2%	17,061 70
Merck & Co Inc	08/14/2008	492	36 093	17,758.00	24,624 60	6,866 60		38 7%	6,866 60
Merck & Co Inc	02/09/2011	145	32 998	4,784.74	7,257.25	2,472 51		51 7%	2,472 51
		637		22,542 74	31,881 85	9,339.11		41.4%	9,339 11
Mondelez Intl Inc Cl A	11/12/2007	613	21 331	13,076 09	21,638 90	8,562 81		65 5%	8,562 81
Mondelez Intl Inc Cl A	06/18/2013	378	30 329	11,464 36	13,343 40		1,879 04	16 4%	1,879 04
		991		24,540 45	34,982 30	8,562 81	1,879 04	42 5%	10,441 85
Motorola Solutions Inc	03/07/2007	2 714	76 149	206.69	183 21	(23 48)		-11 4%	(23 48)
Motorola Solutions Inc	04/07/2008	308.286	39 993	12,329 35	20,809 29	8,479 94		68 8%	8,479 94
Motorola Solutions Inc	02/03/2011	216	38 410	8,296.56	14,580.00	6,283 44		75.7%	6,283 44
		527		20,832 60	35,572 50	14,739 90		70 8%	14,739 90
Northrop Grumman Corp (p	05/22/2009	326	43.636	14,225 24	37,362 86	23,137 62		162 7%	23,137.62
Northrop Grumman Corp (p	04/20/2011	30	61 838	1,855 15	3,438 30	1,583 15		85.3%	1,583 15
Northrop Grumman Corp (p	02/06/2013	63	65 070	4,099 41	7,220 43		3,121 02	76 1%	3,121 02
		419		20,179 80	48,021.59	24,720.77	3,121 02	138 0%	27,841.79
Occidental Pete Corp	10/23/2012	309	81 353	25,137 98	29,385 90	4,247.92		16 9%	4,247 92

Unrealized Gains and Losses

As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 41825063 Delaware LCV									
Equities									
Pfizer Inc	11/17/2005	959	14 939	14,326.12	29,374.17	15,048.05		105.0%	15,048.05
Quest Diagnostic Inc	07/28/2008	307	53 052	16,286.97	16,436.78	149.81		0.9%	149.81
Quest Diagnostic Inc	01/23/2012	104	56 488	5,874.75	5,568.16	(306.59)		-5.2%	(306.59)
		411		22,161.72	22,004.94	(156.78)		-0.7%	(156.78)
Raytheon Co New	04/15/2011	450	48 777	21,949.43	40,815.00	18,865.57		86.0%	18,865.57
The Bank of New York Mell	11/14/2008	584	31 202	18,221.73	20,404.96	2,183.23		12.0%	2,183.23
The Bank of New York Mell	07/12/2011	287	25 238	7,243.31	10,027.78	2,784.47		38.4%	2,784.47
The Bank of New York Mell	01/23/2012	205	21 628	4,433.74	7,162.70	2,728.96		61.5%	2,728.96
		1,076		29,898.78	37,595.44	7,696.66		25.7%	7,696.66
Travelers Companies Inc	10/10/2008	342	31 231	10,681.14	30,964.68	20,283.54		189.9%	20,283.54
Verizon Communications	08/06/2002	546	27 349	14,932.32	26,830.44	11,898.12		79.7%	11,898.12
Waste Management Inc Del	11/17/2005	540	30 650	16,551.00	24,229.80	7,678.80		46.4%	7,678.80
Waste Management Inc Del	01/23/2012	133	34 198	4,548.33	5,967.71	1,419.38		31.2%	1,419.38
		673		21,099.33	30,197.51	9,098.18		43.1%	9,098.18
Xerox Corp	11/17/2005	1,948	14 250	27,759.00	23,707.16	(4,051.84)		-14.6%	(4,051.84)
Xerox Corp	01/23/2012	689	8 728	6,013.59	8,385.13	2,371.54		39.4%	2,371.54
Xerox Corp	02/06/2013	733	7 968	5,840.25	8,920.61	3,080.36		52.7%	3,080.36
		3,370		39,612.84	41,012.90	(1,680.30)		3.5%	1,400.06
				701,293.92	1,108,629.41	382,792.63	24,542.86	58.1%	407,335.49

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct #. FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation	41825063	Delaware LCV							
Cash and Money Funds									
CASH				19,618 17	19,618 17				
				720,912.09	1,128,247.58	382,792 63	24,542.86	56 5%	407,335.49

Nicholas Martini Foundation 52407076 NORTHROAD INTERNATIONAL

Equities									
Abb Ltd Adr	03/09/2012	470	20 229	9,507 63	12,483 20	2,975 57		31 3%	2,975 57
Abb Ltd Adr	07/20/2012	190	16 178	3,073 82	5,046 40	1,972 58		64 2%	1,972 58
		660		12,581 45	17,529 60	4,948 15		39 3%	4,948 15
Akzo Nobel N V Sponsored	10/08/2008	540	13 776	7,438 82	13,969 80	6,530 98		87 8%	6,530 98
Akzo Nobel N V Sponsored	03/10/2010	210	18 853	3,959 20	5,432 70	1,473 50		37 2%	1,473 50
		750		11,398 02	19,402 50	8,004 48		70 2%	8,004 48
Allianz Aktiengesellschaft A	08/18/2009	885	10 503	9,295 33	16,045 05	6,749 72		72 6%	6,749 72
Axa SA Sponsored ADR	03/03/2008	25	33 680	842 01	697 25	(144 76)		-17 2%	(144 76)
Axa SA Sponsored ADR	03/18/2009	375	11 766	4,412 25	10,458 75	6,046 50		137 0%	6,046 50
Axa SA Sponsored ADR	03/10/2010	120	21 964	2,635 68	3,346 80	711 12		27 0%	711 12
Axa SA Sponsored ADR	05/16/2013	100	19 540	1,954 00	2,789 00		835 00	42 7%	835 00
		620		9,843 94	17,291 80	6,612 86	835 00	75 7%	7,447 86
Bhp Billiton Ltd Adr	08/09/2011	121	75 984	9,194 06	8,252 20	(941 86)		-10 2%	(941 86)
Bhp Billiton Ltd Adr	09/20/2011	35	76 799	2,687 97	2,387 00	(300 97)		-11 2%	(300 97)
Bhp Billiton Ltd Adr	08/28/2013	30	63 297	1,898 91	2,046 00		147 09	7 7%	147 09
		186		13,780 94	12,685 20	(1,242 83)	147 09	-8 0%	(1,095 74)
Canon Inc Sponsored ADR	11/30/2005	322	37 640	12,120 08	10,304 00	(1,816 08)		-15 0%	(1,816 08)
Carrefour Sa Adr New	02/08/2011	1,355	9 573	12,972 09	10,677 40	(2,294 69)		-17 7%	(2,294 69)

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 52407076 NORTHROAD INTERNATIONAL									
Equities									
COMPASS GROUP PLC S	07/20/2012	910	10 530	9,582 30	14,677 39	5,095 09		53 2%	5,095 09
Credit Suisse Grp ADR	11/20/2012	460	22 031	10,134 11	14,278 40	4,144 29		40 9%	4,144 29
CRH Plc ADR	09/20/2010	95	16 920	1,607 40	2,427 25	819.85		51 0%	819 85
CRH Plc ADR	09/21/2011	370	15 615	5,777.55	9,453 50	3,675.95		63.6%	3,675 95
CRH Plc ADR	05/22/2012	120	18 190	2,182.80	3,066 00	883 20		40 5%	883 20
		585		9,567 75	14,946 75	5,379 00		56 2%	5,379 00
DBS Group Hldgs Ltd	08/28/2013	240	49 848	11,963 50	13,024 80		1,061 30	8 9%	1,061 30
Diageo Plc Sponsored ADR	11/30/2005	136	58 210	7,916 56	18,009.12	10,092 56		127 5%	10,092.56
Diageo Plc Sponsored ADR	09/24/2013	23	129 361	2,975 30	3,045 66		70 36	2 4%	70 36
		159		10,891 86	21,054.78	10,092 56	70 36	93 3%	10,162 92
Eni S P A Sponsored ADR	11/30/2005	290	54 400	15,776 00	14,062 10	(1,713.90)		-10.9%	(1,713.90)
Eni S P A Sponsored ADR	10/16/2009	35	53.807	1,883.25	1,697 15	(186 10)		-9.9%	(186.10)
		325		17,659 25	15,759 25	(1,900 00)		-10.8%	(1,900 00)
Ericsson Telephone ADR B	10/19/2007	740	14 773	10,932 02	9,057 60	(1,874 42)		-17 1%	(1,874 42)
Ericsson Telephone ADR B	05/22/2012	310	8 650	2,681 50	3,794 40	1,112 90		41 5%	1,112 90
		1,050		13,613 52	12,852 00	(761.52)		-5 6%	(761 52)
Givaudan Sa ADR	04/13/2011	170	20.770	3,530 90	4,865 40	1,334 50		37 8%	1,334 50
Givaudan Sa ADR	10/18/2011	285	16 900	4,816 50	8,156 70	3,340 20		69 3%	3,340 20
		455		8,347 40	13,022 10	4,674.70		56 0%	4,674 70
Glaxosmithkline Plc	11/30/2005	170	49 710	8,450 70	9,076 30	625.60		7.4%	625.60
Glaxosmithkline Plc	07/25/2007	180	52 969	9,534 42	9,610 20	75 78		0 8%	75 78
		350		17,985 12	18,686.50	701 38		3.9%	701 38
Hsbc Hldgs Plc ADR Spon	11/30/2005	85	79 980	6,798.30	4,686 05	(2,112 25)		-31 1%	(2,112 25)

Unrealized Gains and Losses

As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 52407076 NORTHROAD INTERNATIONAL									
Equities									
Hsbc Hldgs Plc ADR Spon	09/27/2006	210	91 517	19,218 55	11,577 30	(7,641 25)		-39 8%	(7,641 25)
		295		26,016 85	16,263 35	(9,753 50)		-37.5%	(9,753 50)
Imperial Tob Gp Plc Adrf	05/12/2009	84	48 130	4,042 92	6,533 52	2,490 60		61 6%	2,490 60
Imperial Tob Gp Plc Adrf	09/14/2009	65	58 940	3,831 10	5,055 70	1,224 60		32 0%	1,224 60
Imperial Tob Gp Plc Adrf	03/25/2013	55	69 490	3,821 95	4,277 90		455 95	11 9%	455 95
		204		11,695 97	15,867 12	3,715 20	455 95	35 7%	4,171 15
Lvmh Moet New Adr	06/27/2013	340	32 910	11,189 40	12,443 97		1,254 57	11.2%	1,254 57
Mitsubishi Ujf Finl	06/28/2006	115	13 182	1,515 95	768 20	(747 75)		-49.3%	(747 75)
Mitsubishi Ujf Finl	07/25/2007	645	10 980	7,082 10	4,308 60	(2,773 50)		-39.2%	(2,773 50)
Mitsubishi Ujf Finl	09/14/2009	605	5 985	3,620 93	4,041 40	420 47		11.6%	420 47
Mitsubishi Ujf Finl	03/15/2011	1,070	4 800	5,135 47	7,147 60	2,012 13		39.2%	2,012 13
		2,435		17,354 45	16,265 80	(1,088 65)		-6.3%	(1,088 65)
Nestle S A Sponsored ADR	11/30/2005	270	29 760	8,035 20	19,869 30	11,834 10		147.3%	11,834 10
Novartis Ag - ADR	11/30/2005	260	52 470	13,642 20	20,898 80	7,256 60		53.2%	7,256 60
Novartis Ag - ADR	10/16/2009	40	51 058	2,042 32	3,215 20	1,172 88		57.4%	1,172 88
Novartis Ag - ADR	03/21/2012	70	55 348	3,874 36	5,626 60	1,752 24		45.2%	1,752 24
		370		19,558 88	29,740 60	10,181 72		52 1%	10,181 72
Ntt Docomo Inc Adr	11/22/2010	660	16 389	10,816 74	10,896 60	79 86		0.7%	79 86
Petroleo Brasileiro Adrf	11/08/2010	150	35 900	5,385 00	2,067 00	(3,318 00)		-61 6%	(3,318 00)
Petroleo Brasileiro Adrf	05/18/2011	150	34 179	5,126 85	2,067 00	(3,059 85)		-59 7%	(3,059 85)
Petroleo Brasileiro Adrf	10/18/2011	160	23 729	3,796 64	2,204 80	(1,591 84)		-41 9%	(1,591 84)
Petroleo Brasileiro Adrf	07/20/2012	115	19 768	2,273 32	1,584 70	(688 62)		-30 3%	(688 62)
Petroleo Brasileiro Adrf	03/19/2013	165	17 568	2,898 72	2,273 70		(625 02)	-21 6%	(625 02)
		740		19,480 53	10,197 20	(8,658 31)	(625 02)	-47 7%	(9,283 33)

Unrealized Gains and Losses

As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 52407076 NORTHROAD INTERNATIONAL									
Equities									
Reed Elsevier New Adr	11/19/2009	295	31.397	9,262.15	17,714.75	8,452.60		91.3%	8,452.60
Roche Hldg Ltd Spon Adrf	11/14/2007	275	44.923	12,353.87	19,305.00	6,951.13		56.3%	6,951.13
Royal Dutch Shell A Adrf	11/30/2005	215	61.770	13,280.55	15,323.05	2,042.50		15.4%	2,042.50
Royal Dutch Shell A Adrf	10/16/2009	10	61.009	610.09	712.70	102.61		16.8%	102.61
Royal Dutch Shell A Adrf	09/24/2013	61	65.747	4,010.57	4,347.47		336.90	8.4%	336.90
		286		17,901.21	20,383.22	2,145.11	336.90	13.9%	2,482.01
S A P Aktengesell Adr	04/11/2007	225	46.269	10,410.60	19,606.50	9,195.90		88.3%	9,195.90
S A P Aktengesell Adr	09/24/2013	43	74.679	3,211.20	3,747.02		535.82	16.7%	535.82
		268		13,621.80	23,353.52	9,195.90	535.82	71.4%	9,731.72
Sanofi-Synthelabo Sponsore	11/30/2005	345	40.380	13,931.10	18,502.35	4,571.25		32.8%	4,571.25
Schneider Elec Sa Adr	08/09/2011	795	12.840	10,207.80	13,952.25	3,744.45		36.7%	3,744.45
Schneider Elec Sa Adr	09/22/2011	270	10.390	2,805.30	4,738.50	1,933.20		68.9%	1,933.20
Schneider Elec Sa Adr	05/16/2013	115	15.250	1,753.75	2,018.25		264.50	15.1%	264.50
		1,180		14,766.85	20,709.00	5,677.65	264.50	40.2%	5,942.15
Secom Ltd Adr	06/22/2011	965	11.983	11,563.98	14,600.45	3,036.47		26.3%	3,036.47
Syngenta Ag Adr	11/11/2008	200	34.826	6,965.13	15,988.00	9,022.87		129.5%	9,022.87
Technip Adr	11/04/2013	485	26.096	12,656.32	11,717.60		(938.72)	-7.4%	(938.72)
Tesco PLC ADR	05/04/2011	605	20.307	12,285.92	10,188.20	(2,097.72)		-17.1%	(2,097.72)
Tesco PLC ADR	02/09/2012	335	15.560	5,212.60	5,641.40	428.80		8.2%	428.80
Tesco PLC ADR	05/16/2013	95	17.290	1,642.55	1,599.80		(42.75)	-2.6%	(42.75)
		1,035		19,141.07	17,429.40	(1,668.92)	(42.75)	-8.9%	(1,711.67)
Teva Pharmaceutical Inds A	07/06/2010	165	53.439	8,817.43	6,613.20	(2,204.23)		-25.0%	(2,204.23)
Teva Pharmaceutical Inds A	04/13/2011	70	50.116	3,508.12	2,805.60	(702.52)		-20.0%	(702.52)

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 52407076 NORTHROAD INTERNATIONAL									
Equities									
Teva Pharmaceutical Inds A	03/19/2013	75	40 248	3,018.60	3,006.00	(12.60)	(12.60)	-0.4%	(12.60)
		310		15,344.15	12,424.80	(2,906.75)	(12.60)	-19.0%	(2,919.35)
Total Fina Elf S A	11/30/2005	245	62 625	15,343.12	15,011.15	(331.97)		-2.2%	(331.97)
Total Fina Elf S A	10/16/2009	30	62 958	1,888.74	1,838.10	(50.64)		-2.7%	(50.64)
Total Fina Elf S A	05/16/2013	45	49 766	2,239.49	2,757.15		517.66	23.1%	517.66
		320		19,471.35	19,606.40	(382.61)	517.66	0.7%	135.05
Unilever Plc ADR Spon	11/30/2005	334	21.850	7,297.90	13,760.80	6,462.90		88.6%	6,462.90
WPP Group PLC ADR	01/11/2008	90	57 786	5,200.74	10,337.40	5,136.66		98.8%	5,136.66
WPP Group PLC ADR	10/18/2011	95	49 750	4,726.27	10,911.70	6,185.43		130.9%	6,185.43
		185		9,927.01	21,249.10	11,322.09		114.1%	11,322.09
				500,088.57	620,525.85	116,577.22	3,860.06	24.1%	120,437.28
Cash and Money Funds									
CASH				30,765.34	30,765.34				
				530,853.91	651,291.19	116,577.22	3,860.06	22.7%	120,437.28
Nicholas Martini Foundation 72189172 MUTUAL FUNDS									
Equities									
Barclays S&P VEQTOR ET	09/18/2013	400	141 203	56,481.10	58,448.00		1,966.90	3.5%	1,966.90
Barclays S&P VEQTOR ET	09/18/2013	100	141 204	14,120.37	14,612.00		491.63	3.5%	491.63
Barclays S&P VEQTOR ET	09/18/2013	700	141.214	98,849.62	102,284.00		3,434.38	3.5%	3,434.38
Barclays S&P VEQTOR ET	09/18/2013	1,190	141 214	168,044.36	173,882.80		5,838.44	3.5%	5,838.44
		2,390		337,495.45	349,226.80		11,731.35	3.5%	11,731.35
Powershs RAFI Dev Mkt E	09/18/2013	100	26 891	2,689.07	2,791.00		101.93	3.8%	101.93
Powershs RAFI Dev Mkt E	09/18/2013	200	26 891	5,378.14	5,582.00		203.86	3.8%	203.86

Unrealized Gains and Losses

As of 12/31/2013

Nicholas Martini Foundation Group Acct #. FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 72189172 MUTUAL FUNDS									
Equities									
Powershs RAFI Dev Mkt E	09/18/2013	11,800	26 891	317,310 42	329,338 00		12,027 58	3 8%	12,027 58
Powershs RAFI Dev Mkt E	09/18/2013	450	26 891	12,100 82	12,559 50		458 68	3 8%	458 68
Powershs RAFI Dev Mkt E	10/01/2013	69 709	27 605	1,924 29	1,945 57		21 28	1 1%	21 28
		12,619 709		339,402 74	352,216 07		12,813 33	3 8%	12,813 33
Powershs RAFI Large Cap 1	09/18/2013	10,870	77 582	843,318 77	901,557 80		58,239 03	6 9%	58,239 03
Powershs RAFI Large Cap 1	10/01/2013	41 391	75 752	3,135 45	3,432 98		297 53	9 5%	297 53
		10,911 391		846,454 22	904,990 78		58,536 56	6 9%	58,536 56
Powershs RAFI Smid 1500	09/18/2013	3,800	88 252	335,358 89	371,374 00		36,015 11	10 7%	36,015 11
Powershs RAFI Smid 1500	09/18/2013	25	88 252	2,206 31	2,443 25		236 94	10 7%	236 94
Powershs RAFI Smid 1500	10/01/2013	7 378	90 066	664 48	721 02		56 54	8 5%	56 54
		3,832 378		338,229 68	374,538 27		36,308 59	10 7%	36,308 59
				1,861,582 09	1,980,971 92		119,389 83	6 4%	119,389 83
Mutual Funds									
Columbia Marsico Focused	09/18/2013	9,010 372	23 140	208,500 00	184,262 11		(24,237 89)	-11 6%	(24,237 89)
Columbia Marsico Focused	12/13/2013	1,689 112	19 520	32,971 47	34,542 34		1,570 87	4 8%	1,570 87
Columbia Marsico Focused	12/13/2013	468 456	19 520	9,144 27	9,579 93		435 66	4 8%	435 66
		11,167 94		250,615 74	228,384 37		(22,231 36)	-8 9%	(22,231 37)
Columbia Marsico Focused	01/20/2012	13,731 831	23 440	321,874 12	291,664 09	(30,210 03)		-9 4%	(30,210 03)
Columbia Marsico Focused	06/19/2012	981 559	23 320	22,889 95	20,848 31	(2,041 64)		-8 9%	(2,041 64)
Columbia Marsico Focused	12/13/2012	292 298	20 100	5,875 18	6,208 41	333 23		5 7%	333 23
Columbia Marsico Focused	12/13/2012	2,214 254	20 100	44,506 51	47,030 75	2,524 24		5 7%	2,524 24
Columbia Marsico Focused	06/25/2013	1,132 787	21 610	24,479 53	24,060 40	(419 13)		-1 7%	(419 13)
Columbia Marsico Focused	12/13/2013	3,313 161	20 270	67,157 77	70,371 54	3,213 77		4 8%	3,213 77
Columbia Marsico Focused	12/13/2013	960 761	20 270	19,474 63	20,406 56	931 93		4 8%	931 93
		22,626 651		506,257 69	480,590 07	(29,394 20)	3,726 57	-5 1%	(25,667 62)

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 72189172 MUTUAL FUNDS									
Mutual Funds									
Diamond Hill Small Cap	05/07/2009	5,181.432	17.200	89,120.64	169,536.46	80,415.82		90.2%	80,415.82
Diamond Hill Small Cap	12/03/2009	8,774.51	20.400	179,000.00	287,101.97	108,101.97		60.4%	108,101.97
Diamond Hill Small Cap	12/16/2010	360.81	25.640	9,251.17	11,805.70	2,554.53		27.6%	2,554.53
Diamond Hill Small Cap	12/15/2011	35.412	22.370	792.17	1,158.68	366.51		46.3%	366.51
Diamond Hill Small Cap	12/15/2011	840.608	22.370	18,804.41	27,504.69	8,700.28		46.3%	8,700.28
Diamond Hill Small Cap	12/28/2012	101.579	24.540	2,492.76	3,323.66	830.90		33.3%	830.90
Diamond Hill Small Cap	12/28/2012	613.193	24.540	15,047.76	20,063.67	5,015.91		33.3%	5,015.91
Diamond Hill Small Cap	12/17/2013	1,022.635	31.980	32,703.87	33,460.62		756.75	2.3%	756.75
		16,930.179		347,212.78	553,955.46	205,985.92	756.75	59.5%	206,742.68
ICAP Select Equity									
	09/18/2013	8,604.452	46.720	402,000.00	424,629.71		22,629.71	5.6%	22,629.71
Janus Global Research									
	05/07/2009	7,132.941	30.052	214,361.50	433,825.47	219,463.97		102.4%	219,463.97
	12/04/2009	1,974.6	37.982	75,000.00	120,095.17	45,095.17		60.1%	45,095.17
		9,107.541		289,361.50	553,920.64	264,559.14		91.4%	264,559.14
Rainier Small Mid Cap									
	11/01/2006	2,040.187	36.982	75,450.94	100,887.25	25,436.31		33.7%	25,436.31
	12/15/2006	12.136	38.037	461.62	600.13	138.51		30.0%	138.51
	12/15/2006	384.061	38.034	14,607.40	18,991.82	4,384.42		30.0%	4,384.42
	03/22/2007	606.369	40.240	24,400.00	29,984.95	5,584.95		22.9%	5,584.95
	12/17/2007	606.577	38.473	23,336.92	29,995.23	6,658.31		28.5%	6,658.31
	12/17/2007	877.964	38.473	33,778.00	43,415.32	9,637.32		28.5%	9,637.32
	12/16/2008	2.626	20.061	52.68	129.86	77.18		146.5%	77.18
	05/07/2009	2,859.52	20.983	60,000.00	141,403.26	81,403.26		135.7%	81,403.26
	12/03/2009	4,823.529	25.510	123,049.95	238,523.51	115,473.56		93.8%	115,473.56
	09/18/2013	615.173	47.141	29,000.00	30,420.30		1,420.30	4.9%	1,420.30
	12/16/2013	125.887	47.680	6,002.29	6,225.11		222.82	3.7%	222.82
		12,954.029		390,139.80	640,576.73	248,793.82	1,643.12	64.2%	250,436.93
Thornburg Intl Value Fd									
	03/22/2007	10,042.255	29.934	300,604.86	321,954.70	21,349.84		7.1%	21,349.84
	06/26/2007	63.945	33.060	2,114.02	2,050.08	(63.94)		-3.0%	(63.94)
	09/26/2007	51.705	36.180	1,870.69	1,657.66	(213.03)		-11.4%	(213.03)
	11/19/2007	1,165.69	33.250	38,759.19	37,372.02	(1,387.17)		-3.6%	(1,387.17)
	12/26/2007	17.654	33.809	596.86	565.99	(30.87)		-5.2%	(30.87)

Unrealized Gains and Losses As of 12/31/2013

Nicholas Martini Foundation Group Acct # FOUNDATION

Description	Trade Date	Quantity	Unit Cost	Cost Basis	Current Value	Unrealized Long Gain	Unrealized Short Gain	% G/L	Unrealized Gain (Loss)
Nicholas Martini Foundation 72189172 MUTUAL FUNDS									
Mutual Funds									
Thornburg Intl Value Fd	01/29/2008	7,902.535	30.376	240,047.40	253,355.27	13,307.87		5.5%	13,307.87
Thornburg Intl Value Fd	06/26/2008	184.999	29.140	5,390.87	5,931.07	540.20		10.0%	540.20
Thornburg Intl Value Fd	07/31/2008	1,750.096	28.599	50,051.00	56,108.08	6,057.08		12.1%	6,057.08
Thornburg Intl Value Fd	09/26/2008	143.593	25.420	3,650.13	4,603.59	953.46		26.1%	953.46
Thornburg Intl Value Fd	12/26/2008	76.752	18.810	1,443.71	2,460.67	1,016.96		70.4%	1,016.96
Thornburg Intl Value Fd	06/26/2009	212.715	21.220	4,513.81	6,819.64	2,305.83		51.1%	2,305.83
Thornburg Intl Value Fd	09/18/2013	8,029.234	31.136	250,000.00	257,417.24		7,417.24	3.0%	7,417.24
		29,641.173		899,042.54	950,296.01	43,836.23	7,417.24	5.7%	51,253.47
Wintergreen Fund									
Wintergreen Fund	05/07/2009	24,098.94	8.958	215,880.89	423,900.35	208,019.46		96.4%	208,019.46
Wintergreen Fund	12/04/2009	6,556.993	11.438	75,000.00	115,337.51	40,337.51		53.8%	40,337.51
		30,655.933		290,880.89	539,237.86	248,356.97		85.4%	248,356.97
Adjustment to basis									
				3,375,510.94	4,371,590.85	982,137.88	13,942.03	29.5%	996,079.91
				129,923					
				3,505,434					
				11,638.10	11,638.10				
Cash and Money Funds									
CASH				5,248,731.13	6,364,200.87	982,137.88	133,331.86	21.3%	1,115,469.74
				7,098,595.80	9,030,109.73	1,718,199.12	213,314.81	27.2%	1,931,513.93

NICHOLAS MARTINI FOUNDATION
ATTN: WILLIAM J MARTINI
P O BOX 29
NEWARK NJ 07102

Municipal Bond Specialists

Account Executive
RICHIE RUSS
(800) 741-1103
Office 300 Rep 415

Account Number: 30008470

Portfolio Holdings

(as of) 12/31/2013

CUSIP	Security Description Tax Status	Rating	Security	Quantity	Avg Unit Cost	Cost Basis	Current Price	Current Value
Cash/Cash Equivalent								
DAILY INCOME FD MONEY MARKET 23380W887			23380W887		NA	37,050.56	1,000	37,050.56
Cash			Cash		NA	0.00	1,000	0.00
Cash								
Common Stock								
GENERAL MOTORS COMPANY 37045V100			GM	28	0.000	0.00	40.870	1,144.36
UTS MOTORS LIQUIDATION COMPANY 62010U101			MTLQU	101	NA	NA	32.150	3,247.15
Corporate Bonds								
ALCOA INC 5.9500% 02/01/2037 013817AK7	Taxable	Baa1/BBB-/	013817AK7	200,000	101.602	203,205.00	92.681	185,362.20
ASSURED GTY US HLDGS INC 7.0000% 06/01/2034 04621WAA8	Taxable	Baa2/A/	04621WAA8	120,000	103.417	124,100.00	101.750	122,100.00
GENERAL MOTORS CORP DEB 7.4000% 09/01/2025 370ESCAR6	Taxable	NA/NA/	370ESCAR6	100,000	0.000	0.00	0.000	0.00
JEFFERIES GROUP INC NEW 6.2500% 01/15/2036 472319AC6	Taxable	Baa3/BBB/	472319AC6	100,000	105.375	105,375.00	96.500	96,500.00
PROSPECT CAP CORP FR 5.8750% 01/15/2043 74348YBP5	Taxable	NA/BBB/	74348YBP5	100,000	100.000	100,000.00	90.384	90,384.00
SLM CORP EDNOTES BOOK ENTRY CA 5.8000% 03/15/2032 78490FC72	Taxable	Ba3/BB/	78490FC72	125,000	95.549	119,436.25	76.386	95,482.75
SLM CORP 6.0000% 06/15/2029	Taxable		78490FMC0	15,000	97.950	14,692.50	80.264	12,039.68

NICHOLAS MARTINI FOUNDATION
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tnsbonds, Inc.

Municipal Bond Specialists

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(800) 741-1103
Office 300 Rep 415

Account Number: 30008470

Portfolio Holdings

(as of) 12/31/2013

CUSIP	Security Description Tax Status	Rating	Security	Quantity	Avg Unit Cost	Cost Basis	Current Price	Current Value
78490FMC0	Taxable	Ba3/BB/						
SLM CORP			78490FNG0	20,000	99.480	19,896.00	81.169	16,233.80
6.1500% 09/15/2029								
78490FNG0	Taxable	Ba3/BB/						
SLM CORP			78490FNK1	40,000	99.480	39,792.00	81.384	32,553.40
6.1500% 09/15/2029								
78490FNK1	Taxable	Ba3/BB/						
SLM CORP			78490FNS4	20,000	97.950	19,590.00	79.749	15,949.80
6.0000% 09/15/2029								
78490FNS4	Taxable	Ba3/BB/						
TIME WARNER CABLE INC NT 6 55%			88732JAJ7	100,000	95.060	95,060.00	93.840	93,840.00
6 5500% 05/01/2037								
88732JAJ7	Taxable	Baa2/BBB/						
U S WEST COMMUNICATIONS INC			912920AC9	175,000	98.357	172,125.00	96.000	168,000.00
6.8750% 09/15/2033								
912920AC9	Taxable	Baa3/BBB-/						
WESTERN UN CO			959802AM1	200,000	103.406	206,812.50	93.383	186,766.00
6.2000% 06/21/2040								
959802AM1	Taxable	Baa2/BBB/						
Municipal Bonds				2,900,000		\$3,016,274.65		\$2,817,698.70
BRIDGEVIEW ILL GO STADIUM BDS			108555GF4	200,000	99.250	198,500.00	87.600	175,200.00
5.0600% 12/01/2025								
108555GF4	Taxable	NA/BBB+/						
CHICAGO ILL GO BDS 2009-C			167486HM4	100,000	103.584	103,584.00	90.639	90,639.00
6.2070% 01/01/2036								
167486HM4	Taxable	Baa1/A+/						
CHICAGO ILL BRD ED GO BDS			167505KH8	300,000	92.540	277,620.00	90.584	271,752.00
6.1380% 12/01/2039								
167505KH8	Taxable	Baa1/A+/						
CHICAGO ILL MIDWAY ARPT REV			167562LU9	15,000	109.000	16,350.00	103.374	15,506.10
7.1680% 01/01/2041								
167562LU9	Taxable	A3/A-/						

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fmsbonds, Inc.
Municipal Bond Specialists

Account Executive
RICHIE RUSS
(800) 741-1103
Office: 300 Rep 415

Portfolio Holdings

(as of) 12/31/2013

Account Number: 30008470

CUSIP	Security Description Tax Status	Rating	Security	Quantity	Avg Unit Cost	Cost Basis	Current Price	Current Value
6.5000% 06/01/2037	DUBLIN CITY & LAURENS CNTY GA Taxable	NA/BBB-/	26363CAC3	40,000	101.500	40,600.00	96.662	38,664.80
26363CAC3								
6.5480% 10/01/2040	FLORIDA ST GOVERNMENTAL UTIL Taxable	A2/NA/	34281PLE1	70,000	114.045	79,831.50	105.453	73,817.10
34281PLE1								
6.6400% 12/01/2042	FRANKLIN CNTY OHIO CONVENTION Taxable	Aa2/AA/	353174FX8	20,000	110.000	22,000.00	113.249	22,649.80
353174FX8								
12.500% 04/01/2039	HARBOR POINT INFRASTRUCTURE Taxable	NA/NA/	41154VAG4	100,000	126.045	126,045.00	116.369	116,369.00
41154VAG4								
9.0000% 06/01/2022	HESPERIA CALIF WTR DIST COPS Taxable	A3/NA/	428064CU1	75,000	111.200	83,400.00	101.314	75,985.50
428064CU1								
5.1000% 06/01/2033	ILLINOIS ST GIO PENSION Taxable	A3/A-/	452151LF8	100,000	99.190	99,190.00	92.994	92,994.00
452151LF8								
7.8000% 09/01/2039	LAS VEGAS NEV CTFS PARTN COPS Taxable	Aa3/AA-/	517705AP4	25,000	117.000	29,250.00	113.185	28,296.25
517705AP4								
6.2700% 11/01/2040	LOUISIANA LOC GOVT Taxable	Aa3/AA/	546282FF6	40,000	114.500	45,800.00	106.272	42,508.80
546282FF6								
6.5000% 01/01/2031	LOUISIANA ST HEALTH ED AUTH Taxable	NA/NA/	546479BP0	25,000	105.000	26,250.00	87.670	21,917.50
546479BP0								
7.4620% 10/01/2046	METROPOLITAN WASH D C ARPTS Taxable	Baa1/BBB+/	592643AA8	100,000	107.961	107,961.00	110.771	110,771.00
592643AA8								
7.0000% 06/01/2040	MIAMI GARDENS FLA CTFS PARTN Taxable	A2/A/	593563AC3	100,000	103.714	103,714.00	100.230	100,230.00
593563AC3								

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fnshords, Inc.

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(800) 741-1103
Office 300 Rep. 415

Portfolio Holdings

(as of) 12/31/2013

Account Number: 30008470

CUSIP	Security Description Tax Status	Rating	Security	Quantity	Avg Unit Cost	Cost Basis	Current Price	Current Value
MUNICIPAL ELEC AUTH OF GEORGIA 7.0550% 04/01/2057			626207YS7	200,000	105.440	210,879.00	100.053	200,106.00
626207YS7	Taxable	Baa2/A-						
OKALOOSA CNTY FLA ARPT REV TAX 7.0000% 10/01/2030			678183BJ2	215,000	100.000	215,000.00	94.105	202,325.75
678183BJ2	Taxable	NA/BBB+						
PATRIOTS ENERGY GROUP S C GAS 7.5090% 06/01/2040			70338JAC8	110,000	109.590	120,549.15	106.000	116,600.00
70338JAC8	Taxable	A2/NA						
PEMBROKE PINES FLA COMMUNICATI 5.2500% 10/01/2033			70643UBE8	200,000	100.375	200,750.00	91.547	183,094.00
70643UBE8	Taxable	A2/NA						
PROVIDENCE RHODE ISLAND G/O 6.7400% 01/15/2021			743787L35	190,000	107.000	203,300.00	100.328	190,623.20
743787L35	Taxable	A3/AA-						
PROVIDENCE RHODE ISLAND G/O P/C 01/15/14 @ 100 6.7400% 01/15/2021			743787L35	20,000	107.000	21,400.00	100.086	20,017.20
743787L35	Taxable							
ROSEVILLE MICH CMNTY SCHS SCH 6.6250% 05/01/2034			778017MN0	15,000	109.250	16,387.50	104.532	15,679.80
778017MN0	Taxable	NA/AA-						
ST LOUIS MO INDL DEV AUTH 7.2500% 03/15/2046			79164TAS1	25,000	111.500	27,875.00	112.557	28,139.25
79164TAS1	Taxable	NA/AA-						
UNIVERSITY CENT FLA CTFS PARTN 5.3750% 10/01/2035			91412EBH2	200,000	102.000	204,000.00	93.649	187,298.00
91412EBH2	Taxable	A3/AA-						
UNIVERSITY WEST ALA UNIV REVS 6.6250% 01/01/2041			915235BU8	150,000	106.980	160,470.00	104.124	156,186.00
915235BU8	Taxable							
VIRGIN ISLANDS WTR & PWR AUTH 6.0600% 07/01/2025			927688GK1	230,000	102.731	236,280.30	88.477	203,497.10
927688GK1	Taxable	Ba1/BB+						

NICHOLAS MARTINI FOUNDATION
ATTN: WILLIAM J MARTINI
P O BOX 29
NEWARK NJ 07102

fmsbonds, Inc. Municipal Bond Specialists

Account Executive
RICHIE RUSS
(800) 741-1103
Office 300 Rep. 415

Portfolio Holdings

Account Number: 30008470

(as of) 12/31/2013

CUSIP	Security Description Tax Status	Rating	Security	Quantity	Avg Unit Cost	Cost Basis	Current Price	Current Value
WAYNE ST UNIV MICH UNIV REVS 6.5360% 11/15/2039			946303TD6	35,000	112.252	39,288.20	105.233	36,831.55
946303TD6	Taxable	Aa2/AA-						
Warrants - Equity				52		\$0.00		\$1,411.54
WTS GENERAL MOTORS COMPANY 37045V118			GMWSA	26	0.000	0.00	31.160	810.16
WTS GENERAL MOTORS COMPANY 37045V126			GMWSB	26	0.000	0.00	23.130	601.38
Portfolio Totals				4,215,181		\$4,273,409.46		\$3,975,763.94

Adjustment to cost

(49,929)
\$4,223,480

Total as adjusted

State and municipal bonds

Corporate bonds

TOTAL

\$3,003,396
1,220,084
\$4,223,480

\$2,817,699
1,115,212
\$3,932,911

THE NICHOLAS MARTINI FOUNDATION

The Foundation supports programs in these fields of interest:

Health and Welfare

Organizations dedicated to public health and welfare such as hospitals will be considered for support.

Education

Fellowships for colleges, universities and conservatories for such students of exceptional promise, with emphasis on graduate studies. The Foundation also supports enrichment programs for schools, grades K-12.

Civic Causes

Community and neighborhood improvement, environmental and energy conservation.

Arts and Humanities

Cultural and art programs, such as performing arts, museums, libraries and similar organizations.

In addition, the Foundation supports projects and organizations in the religious community in fields of interest as outlined above.

All applications will be reviewed with special consideration given to organizations located in or providing services to the Passaic, Essex and Bergen County areas.

The Application Process

A letter of inquiry is invited as the first step in communicating with the Foundation. It should include:

- A brief background of the applicant, including qualifications of the people involved
- Description of the nature and scope of the proposed program and the anticipated results
- Preliminary timetable
- Preliminary budget outline
- Financial statement covering the most recent complete year of operation
- Evidence of tax exempt status
- List of Board of Directors

The Foundation will respond promptly to letters of inquiry. When appropriate, the applicant will be notified by the Board of its willingness to receive a more detailed proposal.

The Foundation reserves the right in its sole discretion to accept or reject applications for contributions.

Qualified Applicants

Requests will be accepted only from organizations which are classified by the Internal Revenue Service as non-profit and designated as 501(c)(3).

Organizations seeking funds should have experience which is relevant to the proposed project.

The Foundation places low priority on requests for funds to support normal operating expenses, deficits or fundraising campaigns. It is precluded by its policies from making direct grants to individuals.

Board of Trustees:

William J. Martini
Maria Salanitri
Fannie Rosta

Address:

The Nicholas Martini Foundation
P.O. Box 29, 50 Walnut Street
Newark, NJ 07101-0419

Nicholas Martini Foundation
GRANTS PAID DURING THE YEAR ENDED
December 31, 2013

<u>Donee</u>	<u>Amount</u>
Ace NY	\$ 500
Actor's Fund of America	2,500
Advocates for Children	1,000
Alexander Hamilton Academy Foundation	1,000
Alzheimers Association - Greater New Jersey Chapter, Denville, NJ	2,500
American Cancer Society	3,200
American Conf. Diversity	1,250
Armenian Fund USA	500
American Foundation of Savoy Orders	1,500
American Red Cross South Jersey Region	2,000
Aureoles Second San Francisco	700
Banyan School	2,500
Bat Sanctuary of New Jersey	2,500
Boys & Girls Club of Clifton, Inc	12,500
Boys & Girls Club of Newark	2,500
Boys & Girls Club of New Jersey	2,500
Buioniconi Fund	3,000
Center for Italian & Italian-American Culture, Inc.	500
Center for Italian Culture	1,000
Central Park Conservancy	2,000
City Harvest, Inc , New York, NY	5,000
Coalition for Homeless, Paterson, NJ	2,400
Covenant House	1,000
Diffa Thanks for San Francisco	320
Eastside Settlement House	850
EIES of NJ, South Orange, NJ	1,500
Eva's Village	2,500
Formers Members of Congress	1,000
Fresh Air Fund, New York, NY	15,450
Friends of the High Line, New York, NY	1,050
Frost Valley YMCA	1,000
Garden of Dreams Foundation	1,000
Georgetown University	2,500
Greater Newark Fresh Air Fund	2,000
Guggenheim Museum	1,000
Hackensack University Medical Center Foundation	4,000
Italian Academy Fd	300
Italian Foundation	250
John Cabot University, Allendale, MI	50,000
Kips Bay Boys & Girls	400
Lincoln Center, Inernew, Milford	1,020
Madison Square Park	800
Marble Collegiate Church, New York, NY	5,000
Metropolitan Museum of Art, New York, NY	17,210
Moma Events	1,250

Nicholas Martini Foundation
GRANTS PAID DURING THE YEAR ENDED
December 31, 2013

<u>Donee</u>	<u>Amount</u>
National Football Foundation/Passaic County Chap	\$ 1,500
National Italian American Foundation, Washington, DC	12,000
NJ Regional Chambers of Commerce	1,500
NJ Volunteer Lawyers for the Arts Inc , New Jersey	500
New Jersey Forestry Association	500
New Jersey Performing Arts Center	15,000
New Jersey Relief Fund	2,500
New York Junior Tennis League	1,200
NY Develop WNEW York, NY	600
Oasis, Paterson, NJ	5,000
Operation SMILE	2,500
Parrish Art Museum Owater Mill	400
Passaic High School	10,000
Police Unity Tour, Inc , Roseland, NJ	500
Rutgers University Law School	5,000
Scholarship Fund for Innter City Children, Newark, NJ	15,000
Seeds of Peace	600
Share	350
Shepherds of Youth Charitable Trust (St Michael's)	1,000
Special Olympics New Jersey	1,500
St Joseph's Wayne Hospital Foundation	2,000
St Jude's Childrens Research Hospital, Memphis, TN	2,500
The Children's Institute	2,500
The City Meals	3,000
The Columbian	800
The Doe Fund	2,500
The Foodbank of Monmouth and Ocean Counties, Ocean County, NJ	3,000
The Watermill Center	1,500
Theatre League of Clifton	150
Time Out Club of Passaic, Passaic, NJ	2,000
Tri-County Scholarship Fund, Parsippany, NJ	15,000
Union Square - Professional Service	1,038
Villanova University, Villanova, PA	50,000
Washington TWP	1,000
WCS Development, Evenbronx, NY	1,000
Whitney Museum, New York, NY	6,000
Wounded Warrior's Fund	2,000
YBH of Passaic	1,000
YCS Foundation	2,000
TOTAL GRANTS PAID	\$ 340,588

Nicholas Martini Foundation
GRANTS APPROVED FOR FUTURE PAYMENT
December 31, 2013

New Jersey Performing Arts Center, Newark, NJ	<u>\$ 15,000</u>
TOTAL GRANTS APPROVED FOR FUTURE PAYMENT	<u><u>\$ 15,000</u></u>

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868



5/14/14
FILE COPY
OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	NICHOLAS MARTINI FOUNDATION	22-2756049
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	P.O. BOX 29, 50 WALNUT STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEWARK, NJ 07101-0419	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MCGRATH, DOYLE & PHAIR

- The books are in the care of ► 150 BROADWAY, SUITE 1212 - NEW YORK, NY 10038
- Telephone No. ► (212) 571-2300 Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ► ☒ calendar year 2013 or
 ► ☐ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	882.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.



FILE COPY

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	NICHOLAS MARTINI FOUNDATION	22-2756049
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	P.O. BOX 29, 50 WALNUT STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEWARK, NJ 07101-0419	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

MCGRATH, DOYLE & PHAIR

- The books are in the care of ► 150 BROADWAY, SUITE 1212 - NEW YORK, NY 10038

Telephone No. ► (212) 571-2300

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 20145 For calendar year 2013, or other tax year beginning _____, and ending _____6 If the tax year entered in line 5 is for less than 12 months, check reason. ☐ Initial return ☐ Final return☐ Change in accounting period

7 State in detail why you need the extension _____

AWAITING ADDITIONAL INFORMATION IN ORDER TO FILE AN ACCURATE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
8b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	882.
8c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ►

Title ►

Date ►