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Department of the Treasury Internal Revenue Service

SCANNED MAY 3 0 2019.

EXTENSION REQUEST ATTACHED

# Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public. ► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. OMB No 1545 0052

2013

For ca	lendar year 2013, or tax year beginning	, 2013	, and ending		1	
THE	BROOK FUND, INC. WEST ALLENDALE AVENUE			Α	Employer identification nur 22-2561995	nber
	NDALE, NJ 07401-1723			В	Telephone number (see the (201) 785-888	
G Ch	eck all that apply   Initial return	Initial return of a forr	mer nublic charity	С	If exemption application is	pending, check here
-	Final return	Amended return	ner public charity	D	1 Foreign organizations, chec	:k here ►
-	Address change	Name change			2 Foreign organizations meet	ш
<b>H</b> C	Check type of organization $X$ Section 50 Section 4947(a)(1) nonexempt charitable to	(c)(3) exempt private f	oundation private foundation	_	here and attach computation	on L
l F	air market value of all assets at end of year   J Acc	counting method X C		E	If private foundation status under section 507(b)(1)(A)	
(i ► \$	from Part II, column (c), line 16)  2,484,388. (Part 1,	Other (specify)  column (d) must be or	n cash hasis )	F	If the foundation is in a 60 under section 507(b)(1)(B)	
Part	Analysis of Revenue and	(a) Revenue and	(b) Net investmen	<u> </u>	(c) Adjusted net	(d) Disbursements
	Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	expenses per books	income	i IL	income	for charitable purposes (cash basis only)
	Contributions, gifts, grants, etc. received (att sch)	289,780.				····
	2 Ck Inf the foundn is not req to att Sch B 3 Interest on savings and temporary					-
	cash investments					
	4 Dividends and interest from securities 5 a Gross rents	91,313.	91,31	L3.	91,313.	
	b Net rental income or (loss)					
R	6 a Net gain/(loss) from sale of assets not on line 10	10,266.				
REVENU	assets on line 6a		10.26			
N	Capital gain net income (from Part IV line 2).      Net short-term capital gain.		10,26	00.	182.	
U E	9 Income modifications					
	10 a Gross sales less returns and allowances					
	b Less Cost of goods sold					
	c Gross profit/(loss) (att sch)  11 Other income (attach schedule)					
	Care meenic (attest sometale)					
	12 Total. Add lines 1 through 11 13 Compensation of officers directors trustees etc.	391,359.	101,57	79.	91,495.	
	13 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages	29,973.				
	15 Pension plans, employee benefits					
A D	16a Legal fees (attach schedule)  b Accounting fees (attach sch) See St 1	1 500				
M I	c Other prof fees (attach sch)	1,500.			CEIVED	
O I P S	17 Interest		-			
PSTR	18 Taxes (attach schedule)(see instrs) 19 Depreciation (attach		A028	MA'	2 8 7014 9	
A A	sch) and depletion		A(	,,,,	9	
N V G E	20 Occupancy 21 Travel, conferences, and meetings			<del>)</del> (3	DEN. UT	
A E N X D P	22 Printing and publications		,			
D P	23 Other expenses (attach schedule) See Statement 2	701.				
N S	24 Total operating and administrative					
S	expenses. Add lines 13 through 23 25 Contributions, gifts, grants paid Part XV	32,174.				070 700
	26 Total expenses and disbursements. Add lines 24 and 25	279,702.				279,702.
	Add lines 24 and 25  27 Subtract line 26 from line 12:	311,876.		0.	0.	279,702.
	a Excess of revenue over expenses					
	and disbursements	79,483.	101 55	7.0		
	Net investment income (if negative_enter_0 )      Adjusted net income (if negative_enter0 )		101,57	<i>y</i> .	91,495.	<u> </u>
BAA F	or Paperwork Reduction Act Notice, see ins	tructions		TEE	A0504L 10/18/13	Form 990-PF (2013)

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1 2013

Page 2

Cash - non-interest-bearing   Cash	Parl	Part II Balance Sheets  Attached schedules and amounts in the description column should be for end of year amounts only		Beginning of year		End of year		
2 Savings and temporary cash investments 3 Accounts receivable Less allowance for doubtful accounts > 4 Pledges receivable Less allowance for doubtful accounts > 5 Grants receivable Receivable Receivable de from officers, directors, frustees, and other dispatched persons (attach strotedie) (see instructions) 7 Other notes and loans receivable (attach strot) Less allowance for doubtful accounts > 5 Grants receivable Receivable de from officers, directors, frustees, and other dispatched persons (attach strotedie) (see instructions) 7 Other notes and loans receivable (attach strotedie) Less allowance for doubtful accounts > 8 Inventories for sale or use 9 Prepaid expenses and deferred charges 10 all rivestments — US and state government obligations (attach schedule) 1 Investments — oppose bods (attach schedule) 11 Investments — oppose bods (attach schedule) 12 Investments — oppose bods (attach schedule) 12 Investments — oppose bods (attach schedule) 13 Investments — other (attach schedule) 14 Land, buildings, and equipment basis - Less accumulated depreciation (attach schedule) 15 Other assets (describe - 16 Total assets (to be compléted by all filers — see the instructions Also, see page 1, item 1) 17 Accounts payable and accrued expenses 18 Grants payable 19 Deferred revenue 20 Loans from officers, furities, & other disqualified persons 21 Mortings and other notes payable (attach schedule) 22 Total liabilities (add lines 17 through 20		,	(See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value		
A Accounts receivable Less allowance for doubtful accounts > 4 Piedges receivable Less allowance for doubtful accounts > 5 Grants receivable 6 Receivables due from offices, directors, frustees, and other despulsied genomic facts observable (stach scholl) / Sent school (stach		1	լ					
Less allowance for doubtful accounts   4 Pledges receivable Less allowance for doubtful accounts   5 Grants receivable 6 Receivables due from officers, directors, fustess, and other disqualified persons (attact schedule) (see instructions) 7 Other notes and loans receivable (attact) schi   Less allowance for doubtful accounts   8 Inventiones for sale or use. 9 Prepaid expenses and deferred charges 10a Investments — U.S. and state government obligations (attacts schedule)   b Investments — corporate stock (attact schedule)   b Investments — corporate stock (attact schedule)   b Investments — corporate stock (attact schedule)   11 Investments — and, buildings, and equipment basis   Less accumulated deprecation   (attach schedule)   12 Investments — mortgage loans 13 Investments — other (attach schedule)   14 Land, buildings, and equipment basis   Less accumulated deprecation   (attach schedule)   15 Other assets (describe   16 Total assets (to be completed by all filers — see the instructions Also, see page 1, item l)   1, 981, 626.   2, 061, 109.   2, 484, 388    18 Grants payable   19 Deferred revenue   20 Loans from officers, directors, trustees, & other disqualified persons   21 Mortgages and other notes payable lettach schedule)   22 Other liabilities (add lines 17 through 22)   23 Total liabilities (add lines 17 through 26 and lines 30 and 31.   28 Patria or capatal supplice, or current funds   29 Patria or capatal supplice, or current funds   20 Patria developed   20 Patria developed   20 Patria or capatal supplice, or current funds   20 Patria or capatal supplice, or current funds   21 Patria or capatal supplice, or and equipment fund		ł		763,980.	874,451	874,451.		
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T N D 25 Temporarily restricted  A B B Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.  E L L 27 Capital stock, trust principal, or current funds  S N 28 Paid-in or capital surplus, or land, building, and equipment fund	N F	24	Unrestricted	1,981,626.	2,061,109.			
D 26 Permanently restricted Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.  E L T A 27 Capital stock, trust principal, or current funds S N 28 Paid-in or capital surplus, or land, building, and equipment fund	E U	25	Temporarily restricted					
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5 N   28 Paid-in or capital surplus, or land, building, and equipment fund	SBSA		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			-1		
5 N   28 Paid-in or capital surplus, or land, building, and equipment fund	E L T A	27	• • •					
	SNC	l	***					
O E 29 Retained earnings, accumulated income, endowment, or other runds	OE	R S 30 Total net assets or fund balances (see instructions)						
1/301/020.	RS			1,981,626.	2,061,109.	1		
		31 Total liabilities and net assets/fund balances (see instructions) 1,981,626. 2,061,1						
Part III Analysis of Changes in Net Assets or Fund Balances	Part		Analysis of Changes in Net Assets or Fund Balance	es		<del></del>		
1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)  1 1,981,626	1	Tota	I net assets or fund balances at beginning of year – Part II, colu	mn (a), line 30 (must ag		1 001 606		
					<u> </u>	1,981,626.		
3 Other increases not included in line 2 (itemize)					<b></b>	79,483.		
			` ' <b></b>		<del></del>	2,061,109.		
5 Decreases not included in line 2 (itemize)						2,001,109.		
			` '	- Part II, column (b). In		2,061,109.		

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)  (b) Howard P - Purc D - Don.						(d) Date sold (month, day, year)
1 a	1400 ERSTE GROUP BA	NK		Р		7/03/13	7/11/13
	2044 DIRECTV.COM	WX.		P		Various	11/26/13
	2700 GILEAD SCIENCE	S INC		P		Various	Various
d				<del>-</del>		Various	Validad
е		,					
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other bas plus expense of sale	e e		(h) Gain or (e) plus (f) m	
a	182.			-			182.
b	133,807.		130	0,816.			2,991.
С	133,657.		120	6,564.	•		7,093.
d							
е							
		ng gain in column (h) and owned by				(I) Gains (Colu	
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column over column (j), if a	(i) 1y		ain minus column (k in -0-) or Losses (fr	
a							182.
b	······································						2,991.
С							7,093.
d							
е							
	Capital gain net income or (net		enter in Part I, line 7 ter -0- in Part I, line 7		2		10,266.
3	Net short-term capital gain or (	loss) as defined in sections 1222(5)	and (6)				
	If gain, also enter in Part I, line	8, column (c) (see instructions) If	(loss), enter -0-				
Par	in Part I, line 8	Section 4940(e) for Reduce			3		182.
ıı sec	tion 4940(d)(2) applies, leave th	nis part blank	4940(a) tax on net investme	int income	,		
Was If 'Ye	the foundation liable for the sect s,' the foundation does not quali	tion 4942 tax on the distributable an ify under section 4940(e) Do not co	nount of any year in the bas implete this part	e period?		Yes	X No
Was If 'Ye	the foundation liable for the sect s,' the foundation does not quali Enter the appropriate amount in	tion 4942 tax on the distributable an	nount of any year in the bas implete this part	e period?		Yes	X No
Was If 'Ye	the foundation liable for the sect s,' the foundation does not quali	tion 4942 tax on the distributable an ify under section 4940(e) Do not co	nount of any year in the bas implete this part	e period? g any entr	ies	Yes  (d)  Distribution  imn (b) divided l	ratio
Was If 'Ye	the foundation liable for the sects, the foundation does not qualification the appropriate amount in Base period years Calendar year (or tax year	tion 4942 tax on the distributable and fy under section 4940(e). Do not contend to be a column for each year, see the column for each year.	nount of any year in the bas implete this part le instructions before making (c) Net value of noncharitable-use ass	e period? g any entr ets	ies	(d) Distribution	ratio by column (c))
Was If 'Ye	the foundation liable for the sect s,' the foundation does not quali Enter the appropriate amount in (a) Base period years Calendar year (or tax year beginning in)	tion 4942 tax on the distributable and fy under section 4940(e). Do not contend to be a column for each year, see the section of the column for each year, see the section of the column for each year, see the section of the column for each year, see the section of the column for each year, see the section of the column for each year.	nount of any year in the bas implete this part ie instructions before making (c) Net value of noncharitable-use ass	e period? g any entr ets 3,968.	ies	(d) Distribution	ratio by column (c))
Was If 'Ye	the foundation liable for the sect s,' the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)	tion 4942 tax on the distributable and fy under section 4940(e). Do not come each column for each year, see the hard distributions.  (b) Adjusted qualifying distributions.  509,156. 355,545.	nount of any year in the bas implete this part ie instructions before making (c) Net value of noncharitable-use ass 2,303	e period? g any entr ets 3,968. 6,336.	ies	(d) Distribution	o ratio by column (c)) 0.220991 0.151532
Was If 'Ye	the foundation liable for the sect s,' the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)  2012 2011	tion 4942 tax on the distributable and fy under section 4940(e). Do not contend to be a column for each year, see the section of the column for each year, see the section of the column for each year, see the section of the column for each year, see the section of the column for each year, see the section of the column for each year.	nount of any year in the bas implete this part ie instructions before making (c) Net value of noncharitable-use ass 2,303 2,344 2,249	e period? g any entr ets 3,968.	ies	(d) Distribution	ratio by column (c))
Was If 'Ye 1	the foundation liable for the sects, the foundation does not qualification the appropriate amount in Base period years Calendar year (or tax year beginning in)  2012 2011 2010	tion 4942 tax on the distributable and fy under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509,156. 355,545. 479,854.	nount of any year in the bas implete this part is instructions before making (c) Net value of noncharitable-use ass 2, 303 2, 344 2, 244 2, 193	e period? g any entr ets 3, 968. 6, 336. 9, 868.	ies	(d) Distribution	0.220991 0.151532 0.213281
Was If 'Ye 1	the foundation liable for the sects, the foundation does not qualification the appropriate amount in Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009	tion 4942 tax on the distributable and fy under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509,156. 355,545. 479,854. 392,756.	nount of any year in the bas implete this part is instructions before making (c) Net value of noncharitable-use ass 2, 303 2, 344 2, 244 2, 193	e period? g any entr ets 3, 968. 6, 336. 9, 868. 2, 793.	ies	(d) Distribution	0.220991 0.151532 0.213281 0.179112 0.239868
Was If 'Ye	the foundation liable for the sect s, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  Total of line 1, column (d)  Average distribution ratio for the	tion 4942 tax on the distributable and fy under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509, 156. 355, 545. 479, 854. 392, 756. 462, 182.	nount of any year in the basemplete this part ite instructions before making  (c)  Net value of noncharitable-use ass  2, 30: 2, 34: 2, 24: 2, 19: 1, 92:  otal on line 2 by 5, or by the	e period? g any entr ets 3,968. 6,336. 9,868. 2,793. 6,816.	(colu	(d) Distribution	0.220991 0.151532 0.213281 0.179112 0.239868
Was If 'Ye 1	the foundation liable for the sect s,' the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation	tion 4942 tax on the distributable and fly under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509, 156. 355, 545. 479, 854. 392, 756. 462, 182.	nount of any year in the basemplete this part is instructions before making  (c)  Net value of noncharitable-use ass  2, 303  2, 344  2, 249  2, 199  1, 920  otal on line 2 by 5, or by the 5 years	e period? g any entr ets 3,968. 6,336. 9,868. 2,793. 6,816.	(colu	(d) Distribution	0.220991 0.151532 0.213281 0.179112 0.239868
Was If 'Ye 1	the foundation liable for the sect s,' the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation	tion 4942 tax on the distributable and fy under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509, 156. 355, 545. 479, 854. 392, 756. 462, 182.	nount of any year in the basemplete this part is instructions before making  (c)  Net value of noncharitable-use ass  2, 303  2, 344  2, 249  2, 199  1, 920  otal on line 2 by 5, or by the 5 years	e period? g any entr ets 3,968. 6,336. 9,868. 2,793. 6,816.	(colu	(d) Distribution	0.220991 0.151532 0.213281 0.179112 0.239868
Was If 'Ye 1 2 3	the foundation liable for the sect s,' the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation	tion 4942 tax on the distributable and fly under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509, 156. 355, 545. 479, 854. 392, 756. 462, 182.	nount of any year in the basemplete this part is instructions before making  (c)  Net value of noncharitable-use ass  2, 303  2, 344  2, 249  2, 199  1, 920  otal on line 2 by 5, or by the 5 years	e period? g any entr ets 3,968. 6,336. 9,868. 2,793. 6,816.	(colu	(d) Distribution	0.220991 0.151532 0.213281 0.179112 0.239868 1.004784 0.200957
Was If 'Ye 1 2 3	the foundation liable for the sects, the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  Total of line 1, column (d) Average distribution ratio for the number of years the foundation	tion 4942 tax on the distributable and fy under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509,156. 355,545. 479,854. 392,756. 462,182.  e 5-year base period — divide the tot has been in existence if less than stable-use assets for 2013 from Part	nount of any year in the basemplete this part is instructions before making  (c)  Net value of noncharitable-use ass  2, 303  2, 344  2, 249  2, 199  1, 920  otal on line 2 by 5, or by the 5 years	e period? g any entr ets 3,968. 6,336. 9,868. 2,793. 6,816.	(colu	(d) Distribution	0.220991 0.151532 0.213281 0.179112 0.239868 1.004784 0.200957
Was  If 'Ye  1  2  3  4  5	the foundation liable for the sect s,' the foundation does not qualified the appropriate amount in the sect of the	tion 4942 tax on the distributable and fy under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509,156. 355,545. 479,854. 392,756. 462,182.  e 5-year base period — divide the tot has been in existence if less than stable-use assets for 2013 from Part	nount of any year in the basemplete this part is instructions before making  (c)  Net value of noncharitable-use ass  2, 303  2, 344  2, 249  2, 199  1, 920  otal on line 2 by 5, or by the 5 years	e period? g any entr ets 3,968. 6,336. 9,868. 2,793. 6,816.	(colu	(d) Distribution	0.220991 0.151532 0.213281 0.179112 0.239868 1.004784 0.200957 2,309,735.
Was If 'Ye 1 2 3 4 5 6 7	the foundation liable for the sect s,' the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)  2012 2011 2010 2009 2008  Total of line 1, column (d) Average distribution ratio for the number of years the foundation  Enter the net value of noncharification of the number of years the foundation Multiply line 4 by line 3  Enter 1% of net investment income.	tion 4942 tax on the distributable and fly under section 4940(e). Do not come each column for each year, see the (b).  Adjusted qualifying distributions.  509, 156. 355, 545. 479, 854. 392, 756. 462, 182.  e 5-year base period — divide the to has been in existence if less than stable-use assets for 2013 from Part.	nount of any year in the basemplete this part is instructions before making  (c)  Net value of noncharitable-use ass  2, 303  2, 344  2, 249  2, 199  1, 920  otal on line 2 by 5, or by the 5 years	e period? g any entr ets 3,968. 6,336. 9,868. 2,793. 6,816.	2 3 4 5	(d) Distribution	0.220991 0.151532 0.213281 0.179112 0.239868 1.004784 0.200957 2,309,735. 464,157.

Part VI instructions

Forn	990-PF (2013) THE BROOK FUND, INC. 22-2561995		F	age 4
Pai	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instruction	ns)	-	
1 a	Exempt operating foundations described in section 4940(d)(2), check here			
	Date of ruling or determination letter (attach copy of letter if necessary – see instrs)			
t	Domestic foundations that meet the section 4940(e) requirements in Part V,		2.1	032.
	check here ► and enter 1% of Part I, line 27b			<del></del>
	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable			•
,	foundations only Others enter -0-)			0.
3	Add lines 1 and 2		2,(	<u>)32.</u>
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			<u>0.</u>
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		2,(	<u>)32.</u>
6	Credits/Payments Credits/Payments			
ā	2013 estimated tax pmts and 2012 overpayment credited to 2013 6a 1, 363.			
ŀ	Exempt foreign organizations – tax withheld at source			
(	Tax paid with application for extension of time to file (Form 8868) 6c 3,500.			
(	Backup withholding erroneously withheld			
7	Total credits and payments Add lines 6a through 6d		Δ 5	363.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here		/ \	<del> </del>
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		2 (	
			4,0	331.
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 2,831. Refunded 11		<del></del>	<u>0.</u>
Fai	t VII-A   Statements Regarding Activities	<del>,</del> ,		
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	No
	participate or intervene in any political campaign?	1 a		<u> </u>
t	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes			
	(see the instructions for definition)?	1 b		X
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published			
	or distributed by the foundation in connection with the activities			
(	: Did the foundation file Form 1120-POL for this year?	1 c		X
C	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.			
	(1) On the foundation $\Rightarrow$ 0. (2) On foundation managers $\Rightarrow$ 0.			
€	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on			
_	foundation managers • \$ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If 'Yes,' attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles			
	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3		Х
4 a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a		Х
t	olf 'Yes,' has it filed a tax return on Form 990-T for this year?	4 b	N	/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If 'Yes,' attach the statement required by General Instruction T	<del>-</del>		<u> </u>
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
·	By language in the governing instrument, or			
				,
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict	1 _		
_	with the state law remain in the governing instrument?	6	<u>X</u>	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	7	Х	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	NJ			
t	of the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General			
	(or designate) of each state as required by General Instruction G? If 'No,' attach explanation	8 6	<u>X</u>	
9	is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5)			
for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV 9				
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names			
	and addresses	10		Х
BAA	Fo	orm <b>990</b>	-PF (2	

Form 990-PF (2013) THE BROOK FUND, INC.    Part VII-A Statements Regarding Activities (continued)					
11 All any time during the year, did the foundation, directly or indirectly, own a controlled entity with the meaning of section \$12(0)(137) if Yes, attach schedule (see instructions)  12 Did the foundation make at altribution to a doma drawsed fund over which the foundation or a disqualified person had advisory privileges? If Yes, attach statement (see instructions)  13 Did the foundation comply with the public inspacetion requirements for its annual returns and exemption application?  Website address  14 The books are in care of * TAXPAYER  15 Section 4947(o)(1) noneworp thantable trust fulling form 909-fin it less of Form 104 — Check here  and enter the amount of tax-exempt interest received or accrued during the year  16 At any time during calleding year 2013, did the foundation have an interest in or a signature or other authority over a bank securities, or other financial account in a foreign country?  16 At any time during calleding year 2013, did the foundation have any interest in or a signature or other authority over a bank securities, or other financial account in a foreign country?  18 See the natiocians for exceptions and filling requirements for form 17 90-22 1. If Yes, enter the name of the form 4720 If any item is checked in the Yes Column, unless an exception applies.  1 a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  2 Yes No  2 Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  3 Furnish goods, services, or facilities to (or accept them from) a disqualified person?  4 Yes No  (5) Transter any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) for the benefit or use of a disqualified person.  (6) Agree to pay money or property to a government official? (Exception, Check No. 11 the foundation agree in make a grant to or to employ the difference of the first			1995	F	Page 5
12 Did the foundation makine a distribution to a donar advised fund oner which the foundation or a disqualified person had advisery principees? If Yes," altach statement (See instructions).  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  14 The books are in care of * TAXPAYER				1	Τ
advisory privileges? If Yes, attach statement (see instructions)  13 D.d the foundation comply with the public respection requirements for its annual returns and exemption application?  Website address  *N/A  15 No books are in care of *TAXPAYER  Located at *182 %, ALLENDALE AVE  Located at *182 %, ALLENDALE AVE  Located at *182 %, ALLENDALE NJ  15 Section 4947(a)(1) nonexempt charitable fitrists fitting form 990-PF in fleu of Form 1041 *Check here and enter the amount of tax-exempt interest received or accured during the year  16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country.  See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country.  Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes' column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept item from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to, a disqualified person (by each of the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government of flicial? (Exception, Check, No' if the foundation agreed to make a grant to or to empty the official for a period after termination of opvernment service, if termination within 90 days).  Organizations relying on a current notice regarding disaster assistance check here  C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not correc	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		х
Website address  * N/A  The books are in care of * TAYPAYER Located at * 182 N. ALLENDALE AVE. ALLENDALE NJ. ZIP + 4 * 07.401  15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tex-exempt inferest received or accrued during the year  * 15 N/A  16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country.  Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 a During the year did the foundation (either directly) or interectly)  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, it ferrimating within 90 days).  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, it terminating within 90 days).  (6) Agree to pay money or property to a government official for a period after termination of the properting foundation defined in section 4942(a) of 4942(b)	12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
14 The books are in care of * TAXPAYER    Telephone no. * (201) 785-8881   Tocated at * 182 M. ALLENDALE AVE. ALLENDALE NJ.   ZIP + 4 * 07401   Section 4947(a)(1) nonexempt charitable trusts fling Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tex-exempt interest received or accrued during the year and enter the amount of tex-exempt interest received or accrued during the year and enter the amount of tex-exempt interest received or accrued during the year and enter the amount of tex-exempt interest received or accrued during the year and enter the amount of tex-exempt interest received or accrued during the year and enter the amount of tex-exempt interest received or accrued during the year and the foreign country.   Yes   No token   Yes   Yes   Yes   No token   Yes   Yes   Yes   No token   Yes	13		13	X	
Located al	14	47/44	17 - 707		
15 Section 4947(a)(1) nonexempt chantable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tex-exempt interest received or accrued during the year  A and enter the amount of tex-exempt interest received or accrued during the year  16 At any time during calendary year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country.  See this instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country.  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes' column, unless an exception applies.  1 a During the year did the foundation (either directly or indirectly)  (1) Engage in the sale or exchange, or leasing of properly with a disqualified person?   Yes   Xino    (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?   Yes   Xino    (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   Yes   Xino    (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   Yes   Xino    (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)   Yes   Xino    (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after terrepation   Yes   Xino    (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after terrepation   Yes   Xino    (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation section \$9.42(i) (-3) or in a current notice regarding disaster assistance (see instructions)?   Yes   Xino    (7	'-		17 _ 703		5 +
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Yes   No   No   No   No   No   No   No   N	13		247 2	•	LI A
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or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  4 a Did the foundation invest during the year any amount in a manner that would jeopardize its		enterprise at any time during the year?	lo		
or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  4 a Did the foundation invest during the year any amount in a manner that would jeopardize its		b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation			
(3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  4 a Did the foundation invest during the year any amount in a manner that would jeopardize its		or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved			
4a Did the foundation invest during the year any amount in a manner that would jeopardize its		by the Commissioner under section 4943(c)(/)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C. Form 4720, to			1
		determine if the foundation had excess business holdings in 2013)	3 t	N	/A
	4	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4:		Y

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**b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?

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4 b

Form 990-PF (2013)

Form 990-PF (2013) THE BROOK FUND, II		1 4720 May Be Reg		61995	Page 6
5 a During the year did the foundation pay or incu		1 47 20 may be noq	anda (commaca)	1	
(1) Carry on propaganda, or otherwise attempt	•	n (section 4945(e))?	∏ Yes ∑	No	
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	lic election (see section			No No	
(3) Provide a grant to an individual for travel,	study, or other similar	. ,		No	
(4) Provide a grant to an organization other t in section 509(a)(1), (2), or (3), or section	No No				
(5) Provide for any purpose other than religion educational purposes, or for the prevention	No				
b If any answer is 'Yes' to 5a(1)-(5), did any of described in Regulations section 53 4945 or in (see instructions)?	n a current notice regard	ding disaster assistance	tions	5 b	N/A
Organizations relying on a current notice rega	irding disaster assistanc	ce check here	▶ [		
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon	sibility for the grant?		N/A Yes	] No	
If 'Yes,' attach the statement required by Reg	ulations section 53 4945	5-5(d)			
6 a Did the foundation, during the year, receive at on a personal benefit contract?			L_J 1-	No	
<b>b</b> Did the foundation, during the year, pay prem	iums, directly or indirec	tly, on a personal bene	fit contract? .	6 b	X
If 'Yes' to 6b, file Form 8870  7 a At any time during the tax year, was the found	dation a party to a prob	ibited tay chalter trans	ection? Yes	₹No	
<b>b</b> If 'Yes,' did the foundation receive any proces				N/A 76	
Part VIII Information About Officers, D					
and Contractors	, , , , , , , , , , , , , , , , , , , ,		<b>,</b> , <b>.</b>		-,
1 List all officers, directors, trustees, foundation	on managers and their o	compensation (see inst	ructions).		
	(b) Title, and average	(c) Compensation	(d) Contributions to		se account,
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation		allowances
BARNETT RUKIN	TRUSTEE	0.	0.		0.
182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	0				
_JULIUS_EISEN	TRUSTEE	0.	0.		0.
182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	0				
SLX CAPITAL MANAGMENT LTD	Asset Mgmt	29,973.	0.		0.
182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	0				
2 Compensation of five highest-paid employees (o	ther than those included	on line 1 – see instructio	ns). If none, enter 'NON	E.'	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d)Contributions to employee benefit plans and deferred compensation	other a	se account, allowances
None			Compensation	+	
				1	
Total number of other employees paid over \$50,000				<b>-</b>	0
BAA	TEEA0306L 0	7/10/13		Form <b>99</b>	<b>0-PF</b> (2013)

Form 990-PF (2013) THE BROOK FUND, INC.	2-2561995 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly and Contractors (continued)	aid Employees,
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NON	E.'
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
None	
Total number of others recovered over \$50,000 for an few and a second	<u> </u>
Total number of others receiving over \$50,000 for professional services	<u> </u>
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tay year. Include relevant statistical information such as the number of	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
·	· <b></b>
	· <b></b>
4	
	·
Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
<u>N/A</u>	
<sup>2</sup> <del></del>	
	. – – –
All other program-related investments See instructions	
3	

0.

Form **990-PF** (2013)

Total. Add lines 1 through 3

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Form 990-PF (2013) THE BROOK FUND, INC.	22-2561	
Part X   Minimum Investment Return (All domestic foundations must complete this par see instructions.)	t. Foreign fo	oundations,
Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.  a Average monthly fair market value of securities	1 a	1,525,693.
<b>b</b> Average of monthly cash balances	1 b	819,216.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	2,344,909.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c		
(attach detailed explanation)	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,344,909.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	35,174.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,309,735.
6 Minimum investment return. Enter 5% of line 5	6	115,487.
Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private of	perating for	
and certain foreign organizations check here ▶ □ and do not complete this pa	irt.)	
1 Minimum investment return from Part X, line 6	1	115,487.
2 a Tax on investment income for 2013 from Part VI, line 5	032.	
b Income tax for 2013 (This does not include the tax from Part VI)		
c Add lines 2a and 2b	2 c	2,032.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	113,455.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	113,455.
6 Deduction from distributable amount (see instructions)	6	<del></del>
7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	113,455.
Part XII Qualifying Distributions (see instructions)		
Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	279,702.
<b>b</b> Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3 a	
<b>b</b> Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	279,702.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

6 Adjusted qualifying distributions. Subtract line 5 from line 4

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279,702.

# Part XIII Undistributed Income (see instructions)

(a) Corpus Years prior 0 2012 (c) (d9) 2013  1 Distributable amount for 2013 from Part XI, Inc. 2 Undshibuted income, if any, so of the end of 2013 a Enter amount for 2012 only b 1648 for prior years 20 , 20 , 20
International color
2 Undistributed income, if any, as of the end of 2013 a Enter amount for 2012 only
a Enter amount for 2012 only b Total for proxy ears 20
b Total for pron yeas 2
3 Excess distributions carryover, if any, to 2013 a From 2009 366, 940. b From 2009 283, 917. c From 2010 368, 153. d From 2011 240, 647. e From 2012 394, 750. f Total of lines 3a through e 4 Qualifying distributions for 2013 from Part XII, line 4 * \$ 279, 702. a Applied to 2012, but not more than line 2a b Applied to undistributed micome of prior years (Election required — see instructions) c Treated as distributions out of corpus d Applied to 2013 distributable amount e Remaining amount distributed out of corpus 5 Exess distributions carryover applied to 2013 (if an amount appears in column (a), the same amount must be shown in column (a) )  6 Enter the net total of each column as indicated below: a Corpus, Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the mount of prior years' undistributed ad income for which a notice of deficiency has been issued, or on which the section 4942(a) lax has been prevously assessed d Subtract line 6c from line 6b Taxable amount — see instructions 0.  1 Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 1 Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 1 A mounts treated as distributions out of corpus to satisfy requirements imposed by section 1700(11)(F) or 4942(6)(3) generally and the set of the seed in the set of the prior year's undistributed on 2014 2 A mounts treated as distributions out of corpus to satisfy requirements imposed by section 1700(11)(F) or 4942(6)(3) generally and the set of the s
a From 2008   366, 940. b From 2019   283, 917. c From 2010   368, 153. d From 2011   240, 647. e From 2012   394, 750. f Total of lines 3a through e   1,654, 407.  4 Qualifying distributions for 2013 from Part XII, line 4 * \$ 279, 702. a Applied to 2012, but not more than line 2a   0. b Applied to undistributed income of prior years (Election required — see instructions)   0. c Treated as distributions out of corpus (Election required — see instructions)   0. d Applied to 2013 distributable amount e Remaining amount distributed out of corpus (Election required — see instructions)   0. d Applied to 2013 distributable amount   0. e Remaining amount distributed out of corpus (Election required — see instructions)   0. d Applied to 2013 distributed in come of prior years in column (d), the same amount must be shown in column (d) the same amount must be shown in column (d)   0.  Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been insued, or on which the section 494(c) tax has been previously assessed   0. d Subtract line 6b from line 6b Taxable amount — see instructions   0. e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions   0. f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014   0. Amounts treated as distributions out of corpus to satisfy requirements imposed by section 1100(f)((f) or 4942(g)(3) (see instructions)   0. Excess distributions carryover from 2008 not
b From 2009 283, 91.7. c From 2010 368, 15.3. d From 2011 240, 647. e From 2012 394, 75.0. f Total of lines 3a through e 1,654, 407.  4 Qualitying distributions for 2013 from Part XII, line 4 * \$ 279, 702. a Applied to 2012, but not more than line 2a b Applied to undistributed income of prior years (Election required — see instructions) d Applied to 2013 distributable amount e Remaining amount distributed out of corpus (Election required — see instructions) d Applied to 2013 distributable amount e Remaining amount distributed out of corpus (I an amount appears in column (a)) the same amount mast be shown in column (a) the same amount mast be shown in column (a) the same amount mast be shown in column (a) the same amount must be seed to much the section 4942(a) tax has been previously assessed 0. d Subtract line 6c from line 2b C. Effect the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed 0. d Subtract line 6c from line 6b Taxable amount — see instructions 0. e Indistributed income for 2012 Subtract lines 4 dand 5 from line 1 This amount must be distributed in 2014 0.  1 Undistributed income for 2013 Subtract lines 4 dand 5 from line 1 This amount must be distributed in 2014 0.  2 Excess distributions carryover from 2008 not
c From 2010 368,153 d From 2011 240,647. e From 2012 394,750. f Total of lines 3a through e 1,654,407.  4 Qualifying distributions for 2013 from Part XII, line 4 * \$ 279,702. a Applied to 2012, but not more than line 2a 0. b Applied to 2012, but not more than line 2a 0. c Treated as distributions out of corpus (Election required - see instructions) 0. c Treated as distributions out of corpus (Election required - see instructions) 0. d Applied to 2013 distributiable amount e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2013 (if an amount appears in column (a), the same amount must be shown in column (a) the same amount must be shown in column (a) the same amount must be shown in column (a) to 5 Prior years' undistributed income Subtract line 4b from line 2b 1. Enter the amount of prior years' undistributed income Subtract line 4b from line 2b 1. Enter the amount of prior years' undistributed income for which is notice of deficiency has been issued, or on which the section 494(2a) tax has been previously assessed 0. d Subtract line 6c from line 6b Taxable amount - see instructions 0. e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions 0.  1 Undistributed income for 2013 Subtract lines 4 and 5 from line 1 This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus to satisfy requirements imposed of corpus to satisfy requirem
e From 2012 334,750.  I Total of lines 3a through e 1,654,407.  Qualifying distributions for 2013 from Part XII, line 4 P \$ 279,702.  a Applied to 2012, but not more than line 2a 0.  b Applied to 2012, but not more than line 2a 0.  c Treated as distributions out of corpus (Election required – see instructions) 0.  d Applied to 2013 distributiable amount e Remarning amount distributed out of corpus (If a mount appears in column (d), the same amount must be shown in column (a).  Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).  Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 2b carbol amount – see instructions 0.  e Undistributed income for 2012 Subtract line 4 from line 2b carbol amount – see instructions 0.  I Undistributed income for 2013 Subtract lines 4 dand 5 from line 1 This amount must be distributed in 2014 0.  I Undistributed in 2014 0.  Amounts treated as distributions out of corpus to saliefy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 0.  Excess distributions carryover from 2008 not
f Total of lines 3a through e  4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 279,702. a Applied to 2012, but not more than line 2a  b Applied to undistributed income of prior years (Election required — see instructions)  c Treated as distributions out of corpus (Election required — see instructions)  d Applied to 2013 distributable amount e Remaining amount distributed out of corpus (Election required — see instructions)  5 Excess distributions carryower applied to 2013 (If an amount appears in column (a), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus, Add lines 3f, 4c, and 4e. Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b  c Enter the amount of prior years' undistribute di income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount — see instructions  c Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus, to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  0.  1, 654, 407.  1, 654, 407.  0.  113, 455.  1, 820, 654.  1, 820, 654.  1, 820, 654.  1, 820, 654.  0.  0.  1, 820, 654.  0.  0.  1, 820, 654.  0.  0.  1, 820, 654.  0.  0.  1, 820, 654.  0.  0.  1, 820, 654.  0.  0.  0.  1, 820, 654.  0.  0.  0.  1, 820, 654.  0.  0.  0.  0.  1, 820, 654.  0.  0.  0.  0.  0.  0.  0.  0.  0.
4 Qualifying distributions for 2013 from Part XII, line 4  \$ 279,702. a Applied to 2012, but not more than line 2a  0.  b Applied to undistributed income of prior years (Election required — see instructions)  0.  c Treated as distributions out of corpus (Election required — see instructions)  0.  d Applied to 2013 distributable amount e Remaining amount distributed out of corpus
XII, line 4 \$ \$ 279,702. a Applied to 2012, but not more than line 2a b Applied to 2012, but not more than line 2a c Treated as distributions out of corpus (Election required — see instructions) c Treated as distributions out of corpus (Election required — see instructions) d Applied to 2013 distributiable amount e Remaining amount distributed out of corpus (If an amount appears in column (d), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount — see instructions e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus to salisty requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
a Applied to 2012, but not more than line 2a b Applied to undistributed income of prior years (Election required — see instructions)  c Treated as distributions out of corpus (Election required — see instructions)  d Applied to 2013 distributable amount e Remaining amount distributed out of corpus  for a morni appears in column (a), the same amount must be shown in column (a)  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable armount — see instructions  d Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to salisty requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
b Applied to undistributed income of prior years (Election required – see instructions)  c Treated as distributions out of corpus (Election required – see instructions)  d Applied to 2013 distributable amount e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus, Add lines 3f, 4c, and 4e Subtract line 4b from line 2b  C Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount – see instructions  e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to salts/ requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
(Election required – see instructions)  c Treated as distributions out of corpus (Election required – see instructions)  d Applied to 2013 distributable amount e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2013 (If an amount appears in column (a), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount – see instructions e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions e Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
c Treated as distributions out of corpus (Election required — see instructions) d Applied to 2013 distributable amount e Remaining amount distributed out of corpus  Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount — see instructions e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
(Election required — see instructions) d Applied to 2013 distributable amount e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2013 (If an amount appears in column (a) the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount — see instructions e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 8 Excess distributions carryover from 2008 not
d Applied to 2013 distributable amount e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))  6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed on on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount – see instructions  e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2013  0 .
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )  6 Enter the net total of each column as indicated below: a Corpus, Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount – see instructions e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount — see instructions e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
6 Enter the net total of each column as indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount – see instructions e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
indicated below: a Corpus. Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount — see instructions e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5  b Prior years' undistributed income Subtract line 4b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount – see instructions  e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
b Prior years' undistributed income Subtract line 4b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount — see instructions  e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
line 4b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount — see instructions  e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount — see instructions  e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
ed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount — see instructions  e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount — see instructions  e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
d Subtract line 6c from line 6b Taxable amount — see instructions  e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
amount — see instructions  e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
Inne 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
Inne 2a Taxable amount — see instructions  f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
4d and 5 from line 1. This amount must be distributed in 2014.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).  8 Excess distributions carryover from 2008 not
4d and 5 from line 1. This amount must be distributed in 2014.  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).  8 Excess distributions carryover from 2008 not
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)  8 Excess distributions carryover from 2008 not
(see instructions)  8 Excess distributions carryover from 2008 not
8 Excess distributions carryover from 2008 not
8 Excess distributions carryover from 2008 not
applied on line 5 or line 7 (see instructions) 366, 940.
300,540.
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a 1,453,714.
10 Analysis of line 9
a Excess from 2009 283, 917.
<b>b</b> Excess from 2010 368, 153.
c Excess from 2011 240, 647.
d Excess from 2012 394, 750.
e Excess from 2013 166, 247.

Form 990-PF (2013) THE BROOK FUND,		· · · · · · · · · · · · · · · · · · ·		22-256199	5 Page 10
Part XIV   Private Operating Foundat					N/A
1 a If the foundation has received a ruling or dete is effective for 2013, enter the date of the	ruling		-		
<b>b</b> Check box to indicate whether the foundate	<u></u>	erating foundation d		4942(j)(3) or	4942(J)(5)
2 a Enter the lesser of the adjusted net income from Part I or the minimum	Tax year	41,0010	Prior 3 years	L ( ) 0010	/ <b>&gt; =</b>
investment return from Part X for each year listed	(a) 2013	<b>(b)</b> 2012	(c) 2011	(d) 2010	(e) Total
<b>b</b> 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities	,				
<ul> <li>Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c</li> </ul>					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
a 'Assets' alternative test — enter.					
<ul><li>(1) Value of all assets</li><li>(2) Value of assets qualifying under</li></ul>					
section 4942(j)(3)(B)(i)  b 'Endowment' alternative test — enter 2/3 of					
minimum investment return shown in Part X, line 6 for each year listed			_		
c 'Support' alternative test — enter					
<ol> <li>Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)</li> </ol>					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942()(3)(B)(III)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					
Part XV Supplementary Information assets at any time during th	(Complete this e year — see in	part only if the structions.)	foundation had	d \$5,000 or more	in
1 Information Regarding Foundation Manager a List any managers of the foundation who have close of any tax year (but only if they have See Statement 5	e contributed more th	nan 2% of the total co than \$5,000) (See	ontributions received section 507(d)(2))	by the foundation before	ore the
b List any managers of the foundation who own a partnership or other entity) of which the None	10% or more of the foundation has a 1	stock of a corporation 0% or greater inter-	n (or an equally larg est	e portion of the owner	ship of
2 Information Regarding Contribution, Gran Check here ► X if the foundation only marequests for funds If the foundation make complete items 2a, b, c, and d	kes contributions to s gifts, grants, etc	preselected charitabl (see instructions) to	e organizations and o individuals or orga	anizations under other	
a The name, address, and telephone number of	r e-mail of the perso	n to whom applicatio	ns should be address	sed	
<b>b</b> The form in which applications should be	submitted and infor	mation and materia	als they should inclu	ude	· · · · · · · · · · · · · · · · · · ·
c Any submission deadlines.		,			
d Any restrictions or limitations on awards,	such as by geograp	hical areas, charita	ble fields, kinds of	institutions, or other	factors.
BAA	TE	EA0310L 07/10/13		F	orm <b>990-PF</b> (2013)

Form 990-PF (2013) THE BROOK FUND, INC.

Part XV | Supplementary Information (continued)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation	Purpose of grant or	A
Name and address (home or husiness)	foundation manager or	status of recipient	Purpose of grant or contribution	Amount
2 Paid during the year	2002/guillar contributor			
Name and address (home or business)  a Paid during the year  SEE ATTACHED SCHEDULE ,	substantial contributor		CHARITABLE	279,702.
Total			► 3a	279 702
<b>b</b> Approved for future payment				279,702.
Total			▶ 36	

# Part XVI-A Analysis of Income-Producing Activities

Enter gross	s amounts unless otherwise indicated	Unrelated	d business income	Excluded by	section 512, 513, or 514	4-3
	ram service revenue	(a) Business code	<b>(b)</b> Amount	(c) Exclu- sion code	(d) Amount	(e) Related or exempt function income (See instructions)
a						
b						
с						
d						
e						
f						
_	and contracts from government agencies		•			
	bership dues and assessments					
	st on savings and temporary cash investments					
	ends and interest from securities	ļ		14	91,313.	
	ental income or (loss) from real estate					
	financed property lebt-financed property			+ +		
	ntal income or (loss) from personal property		<del></del> _			
	r investment income	<b></b>		+ +		<del></del>
	r (loss) from sales of assets other than inventory	-	······································			10.000
	ncome or (loss) from special events	<b>-</b>				10,266.
	s profit or (loss) from sales of inventory	<u> </u>		<del></del>		
	revenue	<del></del>	<del></del>			
a	Tevenue			+ +		<del> </del>
ь		<del> </del>		<del> </del>		
c		<del> </del>	<del> </del>	+ +-		<del></del>
d		<del></del>		+ + +		
e				+		
12 Subto	otal Add columns (b), (d), and (e)			-	91,313.	10,266.
	. Add line 12, columns (b), (d), and (e)				13	101,579.
	sheet in line 13 instructions to verify calculation	ons )			-	
Dart Y\/I	-B Relationship of Activities to the	Accompli	chmont of Evam	nt Durnoc	•	· <del>************************************</del>
T all AVI	-B Relationship of Activities to the	Accompli	Silineill of Exemp	purpose	<b>5</b> 5	
Line No.	Explain below how each activity for which in accomplishment of the foundation's exempt	come is repo	rted in column (e) of	Part XVI-A c	ontributed importantl	y to the
	accomplishment of the foundation's exempt	purposes (ou	er than by providing	tunas for su	cn purposes) (See ir	
N/A						
	· · · · · · · · · · · · · · · · · · ·					
				<u> </u>		· · · · · · · · · · · · · · · · · · ·
			· · · · · · · · · · · · · · · · · · ·	-		
						<del></del>
				<del></del>		
			<del> </del>	<del></del>		

# Form 990-PF (2013) THE BROOK FUND, INC. 22-2561995 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

									Yes	No
desc	the organization direc cribed in section 501(c ting to political organi	c) of the Code (oth	igage in any of t her than section	the following w 501(c)(3) orga	ith any d nization	other organizat s) or in section	ion i 527,			
	nsfers from the reporti		noncharitable	exempt organi	zation of					
	Cash							1 a (1)		х
	Other assets							1 a (2)		X
	er transactions.							1 1 (2)		<del></del>
(1)	Sales of assets to a n	oncharitable exen	npt organization					1 b (1)		х
	Purchases of assets f		-					1 b (2)		X
(3)	Rental of facilities, eq	uipment, or other	assets					1 b (3)	_	X
(4)	Reimbursement arran	gements						1 b (4)		X
(5)	Loans or loan guarant	ees						1 b (5)		X
(6)	Performance of service	es or membership	or fundraising	solicitations				1 b (6)		Х
<b>c</b> Sha	ring of facilities, equip	ment, mailing list	s, other assets,	or paid employ	yees			1 c		Х
<b>d</b> If the the cany	e answer to any of the goods, other assets, or transaction or sharing	e above is 'Yes,' c services given by the grangement, sha	complete the follone reporting found ow in column <b>(d</b>	owing schedulo dation If the fou ) the value of t	e Colum Indation r The good	in <b>(b)</b> should a eceived less tha s, other assets	lways show the fair an fair market value s, or services receiv	market val in ved	ue of	
(a) Line no	. <b>(b)</b> Amount involved	(c) Name of	noncharitable exemp	pt organization	(d	) Description of tra	ansfers, transactions, ar	id sharing arrai	ngement	is
N/A										
										<del></del>
-										
					1		<del> </del>			
					-					
						<del></del>				
	· · · · · · · · · · · · · · · · · · ·	<del></del>								
			*					<del></del>		
							- <u>-</u> :			
					4					
2 a la th	o foundation directly or	and ready off hated	with as salated to							
desc	e foundation directly or cribed in section 501(c	c) of the Code (oth	ner than section	5, one of more to 501(c)(3)) or i	n section	n 527?	1	∏Yes	X	No
b If 'Y	es,' complete the folio	wing schedule								
	(a) Name of organiz	ation	<b>(b)</b> Type	of organization	1		(c) Description of re	elationship		
N/A							•			
							•			
	<del></del>									
			-							
Ur	nder penalties of perjury, I de rrect, and complete Declarat	clare that I have examin	ed this return, includi	ng accompanying s	chedules a	nd statements, and	to the best of my knowle	dge and belief, i	t is true	,
Sign	irrect, and complete Declarat	for or preparer (other th	ian (axpayer) is based	on all information	or writeri pi	eparer has any kno	owieuge	May the	RS disc	1155
Here	Bund			Strong	14	mpucmen		this retur	n with th	ne
	Signature of officer or trustee			Date	<del></del> -	TRUSTEE		(see ıpstı	uctions	2
	Print/Type preparer s			Date Ignature	/	Date	<b>/</b>	I X	Yes	No
			I Teparet	M	H	- 2/16/	Check I if			
Paid	MARC HARBU			<i>M</i>	<u> </u>	<u> </u>	self-employed	P00094	1864	
Prepare		· · · · · · · · · · · · · · · · · · ·		<u> </u>	P.C.	<u></u>	/ Firm's EIN ► 22-	3048475		
Use Onl	ly Firm's address		UTE 4 SUIT	E 408						
		PARAMUS, NO	J 07652				Phone no (20			
BAA								Form <b>990</b>	)-PF (2	.013)

# Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

# **Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF
Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization		Employer identification number
THE BROOK FUND, IN	IC.	22-2561995
Organization type (check on	e)	
Filers of:	Section:	
Form 990 or 990-EZ	501(c)( ) (enter num	ber) organization
	4947(a)(1) nonexempt cha	ritable trust not treated as a private foundation
	527 political organization	
	,	
Form 990-PF	X 501(c)(3) exempt private for	oundation
	4947(a)(1) nonexempt char	ritable trust treated as a private foundation
	501(c)(3) taxable private for	oundation
Check if your organization is	covered by the General Rule or a Special Rule	
Note. Only a section 501(c)(7	$^{\prime}$ ), (8), or (10) organization can check boxes for bo	oth the General Rule and a Special Rule. See instructions
General Rule		
For an organization filing F contributor (Complete Pa	orm 990, 990-EZ, or 990-PF that received, during the arts I and II $)$	year, \$5,000 or more (in money or property) from any one
Special Rules		
509(a)(1) and 170(b)(1)(A	rganization filing Form 990 or 990-EZ that met the A)(vi) and received from any one contributor, durin (i) Form 990, Part VIII, line 1h, or (ii) Form 990-E	: 33-1/3% support test of the regulations under sections ig the year, a contribution of the greater of (1) \$5,000 or Z, line 1 Complete Parts I and II
total contributions of mor	i, or (10) organization filing Form 990 or 990-EZ that rie than \$1,000 for use <i>exclusively</i> for religious, chato children or animals. Complete Parts I, II, and II	aritable, scientific, literary, or educational purposes, or
For a section 501(c)(7), (8)	, or (10) organization filing Form 990 or 990-EZ that re	eceived from any one contributor, during the year,
If this box is checked, ente	sively for religious, charitable, etc, purposes, but these r here the total contributions that were received during	contributions did not total to more than \$1,000; the vear for an <i>exclusively</i> religious, charitable, etc.
purpose Do not complete a	any of the parts unless the General Rule applies to this	s organization because it received nonexclusively
religious, charitable, etc.	contributions of \$5,000 or more during the year	<b>►</b> \$
990-PF) but it <b>must</b> answer '	t is not covered by the General Rule and/or the Sp No' on Part IV, line 2, of its Form 990, or check th t does not meet the filing requirements of Schedul	pecial Rules does not file Schedule B (Form 990, 990-EZ, or ne box on line H of its Form 990-EZ or on its Form 990-PF, le B (Form 990, 990-EZ, or 990-PF)
BAA For Paperwork Reduct or 990-PF.	ion Act Notice, see the Instructions for Form 990,	, 990EZ, Schedule <b>B</b> (Form 990, 990-EZ, or 990-PF) (2013

Schedule I	B (Form	990	990-F7	or 990-PF)	(2013)

Page

Name of organization

THE BROOK FUND, INC.

Employer identification number 22-2561995

aiti	Contributors (see instructions) Ose duplicate copies of Part I if additional space	is ne	eaea 	
(a) Number	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
1	BARNETT RUKIN  813 E SADDLE RIVER ROAD  HOHOKUS, NJ 07423	-      - 	130,816.	Person Payroll Noncash  (Complete Part II for noncash contributions)
(a) Number	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
	BARNETT RUKIN  813 E SADDLE RIVER ROAD  HOHOKUS, NJ 07423	\$_	30,000.	Person Payroll Noncash X  (Complete Part II for noncash contributions )
(a) Number	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
3	SUSAN EISEN 57 RIVERVIEW TERRACE UPPER SADDLE RIVER, NJ 07458	\$	9 <u>4,</u> 920.	Person  Payroll  Noncash  (Complete Part II for noncash contributions)
(a) Number	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
4	SUSAN EISEN 57 RIVERVIEW TERRACE UPPER SADDLE RIVER, NJ 07458	\$	31,644.	Person Payroll Complete Part II for noncash contributions )
(a) Number	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
		\$		Person Payroll Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
		\$		Person Payroll Complete Part II for noncash contributions )

Page

1 to

of Part II

Name of organization

THE BROOK FUND, INC.

Employer identification number

22-2561995

Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed (b)
Description of noncash property given (d) Date received (a) No. (c) FMV (or estimate) (see instructions) from Part I 2044 SHARES DIRECTV 1\_ 130,816. 11/22/13 (a) No. from Part I (b)
Description of noncash property given (d) Date received (c) FMV (or estimate) (see instructions) VANGUARD MID-CAP INDEX FUND ADM 2 30,000. 12/03/13 (a) No. from Part I (b)
Description of noncash property given (c) FMV (or estimate) (see instructions) (d) Date received SHARES GILEAD SCIENCES INC 3 94,920. 3/23/13 (a) No. from (b)
Description of noncash property given (d) Date received (c) FMV (or estimate) (see instructions) Part I 600 SHARES GILEAD SCIENCES INC 4 31,644. 5/09/13 (b) Description of noncash property given (d) Date received (c) FMV (or estimate) (see instructions) (a) No. `from Part I (b) Description of noncash property given (c) FMV (or estimate) (see instructions) (a) No. (d) from Date received Part I BAA Schedule **B** (Form 990, 990-EZ, or 990-PF) (2013)

of Part III

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization Employer identification number THE BROOK FUND, INC. 22-2561995 Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10)

	organizations that total more than	\$1,000 for the year. Complete column	ns (a) through (e) and the following line entry.					
	For organizations completing Part III, enter total contributions of \$1,000 or less for the year	(Enter this information once See instru	uctions )					
(a) No. from Part I	Use duplicate copies of Part III if additional  (b)  Purpose of gift	(c) Use of gift	(d) Description of how gift is held					
	N/A							
	Transferee's name, addres	(e) Transfer of gift	Relationship of transferor to transferee					
	Transferee's frame, addres							
(2)			40					
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held					
	(e)							
	Transferee's name, addres	Transfer of gift	Relationship of transferor to transferee					
(a) No. from	(b)	(c)	(d)					
No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held					
		(e) Transfer of gift						
	Transferee's name, addres		Relationship of transferor to transferee					
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held					
	Transferee's name, addres	(e) Transfer of gift s, and ZIP + 4	Relationship of transferor to transferee					

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
ADLER APHASIA CENTER 60 W. HUNTER AVENUE MAYWOOD, NJ 07607	GENERAL	\$500
AFRICAN KIDS IN NEED INC 137 N. LARCHMONT BLVD, BOX 140 LOS ANGELES, CA 90004	GENERAL	500
ALLENDALE AMBULANCE CORPS 26 ARCADIA ROAD ALLENDALE, NJ 07401	GENERAL	25
ALLENDALE VETERANS OF FOREIGN WARS PO BOX 365 ALLENDALE, NJ 07401	GENERAL	25
ALLENDALE VOLUNTEER FIRE ASSOC. P.O. BOX 44 ALLENDALE, NJ 07401	GENERAL	25
ALLENDALE-WALDWICK PBA LOCAL #217 PO BOX 166 ALLENDALE, NJ 07401	GENERAL	25
AMERICAN JEWISH COMMITTEE 165 E 56 STREET, ROOM 800 NRE NEW YORK, NY 10126-0103	GENERAL	600
ANTI DEFAMATION LEAGUE 304 VREELAND ROAD, SUITE 230 FLORHAM PARK, NJ 07932	GENERAL	500
ASPNI 28 ARRANDALE AVENUE GREAT NECK, NY 11024-1804	GENERAL	1,000
BERGEN COUNTY UNITED WAY 6 FOREST AVENUE, STE 210 PARAMUS, NJ 07652	GENERAL	3,500
BERGEN COUNTY Y-JCC 605 PASCACK ROAD WASHINGTON TOWNSHIP, NJ 07676	GENERAL	68,072
BOY SCOUTS OF AMERICA -NO. NJ COUNCIL PO BOX 670 OAKLAND, NJ 07436-1709	GENERAL	500

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
BVMI 241 MOORE STREET, STE 101 HACKENSACK, NJ 07601-7533	GENERAL	600
CAMP RAMAH IN NEW ENGLAND 2 COMMERCE WAY NORWOOD, MA 02062	GENERAL	10,000
CDA-COURT ROSEMARY #681 C/O TERESIA PERNA 112 N. BEACON STREET MIDDLETOWN, NY 10940	GENERAL	100
CENTER FOR FOOD ACTION 192 W DEMAREST AVENUE ENGLEWOOD, NJ 07631	GENERAL	6,500
CENTER FOR HOLOCAUST OF GENOCIDE STUDIES 505 RAMAPO VALLEY ROAD MAHWAY, NJ 07430-1623	GENERAL	250
CENTRAL PARK CONSERVANCY P.O. BOX 4004, CHURCH STREET STATION NEW YORK, NY 10277-0149	GENERAL	500
CITIZENS COMMITTEE FOR CHILDREN OF NY 105 EAST 22 STREET NEW YORK, NY 10160-0886	GENERAL	200
CITY HARVEST 6 EAST 32 ST, 5 <sup>TH</sup> FL NEW YORK, NY 10016-5422	GENERAL	3,000
COP SHOT INC. P.O. BOX 225 BRONX, NY 10475	GENERAL	1,000
CORNELL UNIVERSITY PO BOX 535267 PITTSBURGH, PA 15253-9874	GENERAL	550
CURE BREAST CANCER FOUNDATION INC 1122 CLIFTON AVENUE CLIFTON, NJ 07013	GENERAL	3,200

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
CWS CROP C/O MARTIN PRINCE 203 FOUR SEASONS LANE WOODCLIFF LAKE, NJ 07677	GENERAL	100
DAUGHTERS OF MIRIAM FOUNDATION CENTER FOR THE AGED 155 HAZEL STREET CLIFTON, NJ 07011	GENERAL	2,100
ENVIRONMENTAL WORKING GROUP 1436 U STREET NW, #100 WASHINGTON, DC 20009	GENERAL	50
FOUNDATION FOR JEWISH CAMP 253 WEST 35 STREET, 4 <sup>TH</sup> FL NEW YORK, NY 10001	GENERAL	26,250
GEORGIA ORGANICS, INC. 200-A OTTLEY DRIVE ATLANTA, GA 30324	GENERAL	250
GEORGIA PUBLIC BROADCASTING – GPB P O. BOX 409277 ATLANTA, GA 30384	GENERAL	250
GLOBAL GREENGRANTS FUND 2840 WILDERNESS PLACE, STE. A BOULDER, CO 80301	GENERAL	100
GOOD SAMARITAN HOSPITAL FOUNDATION 255 LAFAYETTE AVENUE SUFFERN, NY 10901	GENERAL	500
GUIDE DOG FOUNDATION FOR THE BLIND INC 371 EAST MAIN STREET (JERICHO TPK) SMITHTOWN, NY 11787	GENERAL	250
HADASSAH C/O YVETTE TEKEL 1530 PALISADE AVENUE, APT 31R FORT LEE, NJ 07024	GENERAL	500
HAVARAH SHALOM 182 W ALLENDALE AVENUE ALLENDALE, NJ 07401	GENERAL	14,000

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
HEBREW IMMIGRANT AID SOCIETY 333 SEVENTH AVENUE, RM 1600 NEW YORK, NY 10001-5019	GENERAL	500
HEIFER INTERNATIONAL PO BOX 6021 ALBERT LEA, MN 56007-9831	GENERAL	380
HILLEL AT THE UNIVERSITY OF PENNSYLVANIA 215 SOUTH 39 <sup>TH</sup> STREET PHILADELPHIA, PA 19104	GENERAL	5,000
HO-HO-KUS PBA LOCAL 353 P.O. BOX 402 HO-HO-KUS, NJ 07423	GENERAL	100
HO-HO-KUS VOLUNTEER AMBULANCE CORPS PO BOX 81 HO-HO-KUS, NJ 07423	GENERAL	150
HO-HO-KUS VOLUNTEER FIRE DEPARTMENT 52 SHERIDAN AVENUE HO-HO-KUS, NJ 07423	GENERAL	150
HOLIDAY OBSERVERS PO BOX 317 ALLENDALE, NJ 07401	GENERAL	25
HOLY NAME MEDICAL CENTER MS CENTER 718 TEANECK ROAD TEANECK, NJ 07666-9798	GENERAL	500
HORACE MANN SCHOOL 231 WEST 246 <sup>TH</sup> STREET RIVERDALE, NY 10471-9988	GENERAL	2,000
JBI INTERNATIONAL 110 E. 30 <sup>TH</sup> STREET NEW YORK, NY 10016-7375	GENERAL	250
JEWISH NATIONAL FUND ' PO BOX 5609 HICKSVILLE, NY 11802-5609	GENERAL	250
JEFFREY MODELL FOUNDATION 110 E. 30 <sup>1H</sup> STREET NEW YORK, NY 10016-7375	GENERAL	1,500

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
JERICHO PROJECT 245 W. 29 <sup>TH</sup> STREET, SUITE 902 NEW YORK, NY 10001	GENERAL	2,500
JEWISH EDUCATIONAL ALLIANCE, SAVANNAH P O. BOX 23527 5111 ABERCORN STREET SAVANNAH, GA 31403	GENERAL1	1,800
JEWISH FAMILY SERVICE 1485 TEANECK ROAD TEANECK NJ 07666	GENERAL	1,700
JEWISH FEDERATION OF NORTHERN NEW JERSEY 50 EISENHOWER DRIVE PARAMUS, NJ 07652	GENERAL	34,500
JEWISH HOME FOUNDATION OF NORTH JERSEY 10 LINK DRIVE ROCKLEIGH, NJ 07647	GENERAL	1,000
JEWISH THEOLOGICAL SEMINARY 3080 BROADWAY, 4 <sup>TH</sup> FL NEW YORK, NY 10027-9985	GENERAL	11,500
JUVENILE DIABETES RESEARCH FOUNDATION INT'L 26 BROADWAY, 14 <sup>1H</sup> FLOOR NEW YORK, NY 10004	GENERAL	1,500
KIPP NYC C/O KIPP NEW YORK INC. 470 7 <sup>TH</sup> AVENUE, 10 <sup>TH</sup> FL NEW YORK, NY 10018	GENERAL	1,500
LEARNING ALLY PO BOX 2255 PRINCETON, NJ 08543-9937	GENERAL	250
LINCOLN CENTER FOR THE PERFORMING ARTS 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6583	GENERAL	250

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
MAINE ORGANIC FARMERS & GARDENERS ASSN. P O BOX 170 UNITY, ME 04988	GENERAL	500
MANHATTAN INSTITUTE FOR POLICY RESEARCH INC 52 VANDERBILT AVENUE NEW YORK, NY 10164-1005	GENERAL	2,500
MEMORIAL HEALTH FOUNDATION P.O. BOX 23089 SAVANNAH, GA 31403-3089	GENERAL	500
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065	GENERAL	250
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10130-0430	GENERAL	200
MOHONK PRESERVE P O BOX 715 NEW PALTZ, NY 12561	GENERAL	250
MOVEON ORG CIVIC ACTION PO BOX 9063 BERKELEY, CA 94709-0063	GENERAL	65
MOVEMBER PO BOX 1595 CULVER CITY, CA 90232	GENERAL	100
MUSEUM OF MODERN ART PO BOX 3948 NEW YORK, NY 10277-1897	GENERAL	140
NEPHCURE FOUNDATION 15 WATERLOO AVENUE BERWYN, PA 19312	GENERAL	2,500
NEW MILFORD HIGH SCHOOL I SNYDER CIRCLE NEW MILFORD, NJ 07646	GENERAL	500
NEW YORK BOTANICAL GARDEN 2900 SOUTHERN BLVD. BRONX, NY 10458-0649	GENERAL	250

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
NEW YORK CITY BALLET GUILD DAVID H KOCH THEATER 20 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6965	GENERAL	350
NEW YORK PHILHARMONIC AVERY FISHER HALL 10 LINCOLN CENTER PLAZA NEW YORK, NY 10133-0088	GENERAL	300
NEW YORK PUBLIC RADIO P O. BOX 1550 NEW YORK, NY 10116-1550	GENERAL	250
NPR IIII NORTH CAPITAL STREET, NE WASHINGTON, DC 20002	GENERAL	250
OGEECHEE RIVERKEEPER P.O BOX 1925 STATESBORO, GA 30459	GENERAL	150
ORGANIC CONSUMERS ASSOCIATION 6771 S. SILVER HILL DRIVE FINLAND, MN 55603	GENERAL	200
PBA SADDLE RIVER LOCAL #348 PO BOX 302 SADDLE RIVER, NJ 07458	GENERAL	100
PLANNED PARENTHOOD OF GREATER NORTHERN NJ 196 SPEEDWELL AVENUE MORRISTOWN, NJ 07960-2934	GENERAL	500
PLAY FOR PINK C/O PREAKNESS HILLS COUNTRY CLUB 1050 RATZER ROAD WAYNE, NJ 07470-3384	GENERAL	50
RICHMOND HILL HISTORICAL SOCIETY P.O. BOX 381 RICHMOND HILL, GA 31324	GENERAL	150
ROCKLAND FARM ALLIANCE 220 S LITTLE TOR ROAD NEW CITY, NY 10956	GENERAL	5,100

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
SADDLE RIVER FIRE ASSOCIATION PO BOX 231 SADDLE RIVER, NJ 07458	GENERAL	100
SAVANNAH COMMUNITY FOUNDATION 7393 HODGSON MEMORIAL DRIVE SAVANNAH, GA 31406	GENERAL .	500
SAVANNAH JEWISH FEDERATION 5111 ABERCORN STREET SAVANNAH, GA 31405	GENERAL	1,800
SAVANNAH MUSIC FESTIVAL 200 E. ST. JULIAN STREET, STE 601 SAVANNAH, GA 31401	GENERAL	500
SLOW MONEY PO BOX 2231 BOULDER, CO 80306	GENERAL	1,000
SOUTH BRYAN VOLUNTEER FIREFIGHTERS ASSOC. PO BOX 2626 RICHMOND HILL, GA 31324	GENERAL	50
TABLE TO TABLE P.O. BOX 1051 ENGLEWOOD CLIFFS, NJ 07632	GENERAL	1,500
TEAM ZOEY PO BOX 102 PINE BROOK, NJ 07058	GENERAL	1,000
TEMPLE BETH RISHON 585 RUSSELL AVENUE WYCKOFF, NJ 07481	GENERAL	4,300
TEMPLE EMANUEL OF THE PASCACK VALLEY 87 OVERLOOK DRIVE WOODCLIFF LAKE, NJ 07677	GENERAL	1,000
THE ARNOLD P. GOLD FOUNDATION 619 PALISADE AVENUE ENGLEWOOD CLIFFS, NJ 07632	GENERAL	500
THE BROTHERHOOD SYNAGOGUE 28 GRAMERCY PARK SO. NEW YORK, NY 10003	GENERAL	1,800

RECIPIENT	<u>PURPOSE</u>	AMOUNT
THE DAVID PROJECT PO BOX 52390 BOSTON, MA 02205	GENERAL	250
THE FRICK COLLECTION 1 EAST 70 STREET NEW YORK, NY 10021-4907	GENERAL	120
THE FORUM SCHOOL FOUNDATION 107 WYCKOFF AVENUE WALDWICK, NJ 07463	GENERAL	100
THE HEBREW UNIVERSITY OF JERUSALEM COLUMBIA UNIVERSITY, BOX 123 NEW YORK, NY 10027	GENERAL	15,000
THE ISRAEL PROJECT 2020 K STREET, NW SUITE 7600 WASHINGTON, DC 20006-1832	GENERAL	250
THE LUSTGARTEN FOUNDATION 111 STEWART AVENUE BETHPAGE, NY 11714	GENERAL	250
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE, BOX 164 NEW YORK, NY 10065	GENERAL	500
THE ROTARY CLUB OF RIDGEWOOD FOUNDATION C/O KAREN REYNOLDS 231 FAIRFIELD AVENUE RIDGEWOOD, NJ 07450	GENERAL	100
THE SCHOOL OF AMERICAN BALLET 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023	GENERAL	100
THIRTEEN PO BOX 1313 NEW YORK, NY 10102-0053	GENERAL	50
TO DO INSTITUTE P.O. BOX 50 MONKTON, VT 05469	GENERAL	50

RECIPIENT	<u>PURPOSE</u>	<u>AMOUNT</u>
UNITED STATES HOLOCAUST MEMORIAL MUSEUM P.O. BOX 7023 ALBERT LEA, MN 56007-8023	GENERAL	250
VALLEY HOSPITAL AUXILIARY 223 N. VAN DIEN AVENUE RIDGEWOOD, NJ 07450	GEENRAL	2,800
VOLUNTEER CENTER OF BERGEN COUNTY 64 PASSAIC STREET HACKENSACK, NJ 07601	GENERAL	4,500
WEST BERGEN MENTAL HEALTHCARE 120 CHESTNUT STREET RIDGEWOOD, NJ 07450	GENERAL	1,350
WNYC RADIO 160 VARICK STREET NEW YORK, NY 10013	GENERAL	1,000
WOLCOTT SCHOOL 524 N. WOLCOTT STREET CHICAGO, IL 60642	GENERAL	11,000
		<u>\$279,702</u>

Department of the Treasury Internal Revenue Service

### Application for Extension of Time To File an **Exempt Organization Return**

File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box

OMB No 1545-1709

<u>► X</u>

<ul><li>If you a</li></ul>	re filing for an Additional (Not Automatic) 3-Mon	th Extensio	n, complete only Part II (on page 2 of t	his form)	
Do not com	nplete Part II unless you have already been grante	ed an autom	natic 3-month extention on a previously	filed Form 8868	
corporation request an e Associated	filing (e-file). You can electronically file Form 886 required to file Form 990-T), or an additional (no extension of time to file any of the forms listed in Parl With Certain Personal Benefit Contracts, which nothing of this form, visit www.irs.gov/efile and click	ot automatic; t I or Part II v nust be sent	) 3-month extension of time. You can e with the exception of Form 8870, Information to the IRS in paper format (see instruc	lectronically file Fo on Return for Transfe	rm 8868 to ers
Part I	Automatic 3-Month Extension of Time	. Only sul	omit original (no copies needed)	).	
	on required to file Form 990-T and requesting an				ıly ▶ 🗍
All other co income tax	rporations (including 1120-C filers), partnerships, returns	REMICs, a	·	st an extension of t tifying number, see	
	Name of exempt organization or other filer, see instructions		Enter mer staem	Employer identification	
Type or print	THE BROOK FUND, INC.			22-2561995	
File by the	Number, street, and room or suite number If a PO box, see i	nstructions	· · · · · · · · · · · · · · · · · · ·	Social security number	r (SSN)
due date for filing your	182 WEST ALLENDALE AVENUE				
return See	City, town or post office, state, and ZIP code. For a foreign add	dress, see instru	ctions		
instructions	ALLENDALE, NJ 07401-1723				
Enter the Re	eturn code for the return that this application is fo	or (file a sep	parate application for each return)		04
Application Is For		Return Code	Application Is For		Return Code
Form 990 or	Form 990-EZ	01	Form 990-T (corporation)		07
Form 990-B	L	02	Form 1041-A		08
Form 4720 (	ındıvıdual)	03	Form 4720 (other than individual)		09
Form 990-P	F	04	Form 5227		10
Form 990-T	(section 401(a) or 408(a) trust)	05	Form 6069		11
Form 990-T	(trust other than above)	06	Form 8870		12
Telephor  If the or  If this is check the exterior  I requesion the exterior  X  I graph of the exterior the exterior that the exterior th	the No \( \) (201) _785-8881	r digit Group check this b required to anization re , and endir	e United States, check this box Exemption Number (GEN)  ox I and attach a list with the natific Form 990-T) extension of time turn for the organization named above  ng  , 20	If this is for the who ames and EINs of a	3 - 1 /
nonre	application is for Forms 990-BL, 990-PF, 990-T, fundable credits. See instructions			3 a \$	4,863.
tax pa	application is for Forms 990-PF, 990-T, 4720, or ayments made Include any prior year overpayme ce due. Subtract line 3b from line 3a Include you	nt allowed a	s a credit	3 b \$	1,363.
EFTPS	S (Electronic Federal Tax Payment System) See	instructions	in and term, it required, by defing	3 c \$	3,500.

3,500.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

013	Federal Sta	tements		Page
	THE BROOK F	UND, INC.		22-256199
Statement 1 Form 990-PF, Part I, Line 16b Accounting Fees				
ACCOUNTING FEES	(a) Expense per Book \$ 1,50  Total \$ 1,50	s Income	nt Adjusted <u>Net Incom</u>	
Statement 2 Form 990-PF, Part I, Line 23 Other Expenses				
MISCELLANEOUS EXPENSE	(a) Expense <u>per Boo</u> \$ 70 Total \$ 70	es Investmen	nt Adjusted e <u>Net Incom</u>	
Statement 3 Form 990-PF, Part II, Line 10b Investments - Corporate Stocks		Valuation	Pook	Fair Market
Form 990-PF, Part II, Line 10b		Valuation Method	Book Value	Fair Market Value
Form 990-PF, Part II, Line 10b Investments - Corporate Stocks	NCORP		Value \$ 153,537. 51,922. 69,202. 3,605.	\$ 127,756. 0. 48,865. 1,778.
Form 990-PF, Part II, Line 10b Investments - Corporate Stocks  Corporate Stocks  12624 SHS VALLEY NAT'L BA 1830 SH LONGTOP FIN TECH 1400 SH ERSTE BANK	NCORP	Method  Mkt Val Mkt Val Mkt Val Mkt Val	Value \$ 153,537. 51,922. 69,202. 3,605.	Value \$ 127,756. 0. 48,865. 1,778.
Form 990-PF, Part II, Line 10b Investments - Corporate Stocks  Corporate Stocks  12624 SHS VALLEY NAT'L BA 1830 SH LONGTOP FIN TECH 1400 SH ERSTE BANK 54 WEIGHT WATCHERS INTL  Statement 4 Form 990-PF, Part II, Line 13	NCORP	Method  Mkt Val Mkt Val Mkt Val Mkt Val	Value \$ 153,537. 51,922. 69,202. 3,605.	Value \$ 127,756. 0. 48,865. 1,778.
Form 990-PF, Part II, Line 10b Investments - Corporate Stocks  Corporate Stocks  12624 SHS VALLEY NAT'L BA 1830 SH LONGTOP FIN TECH 1400 SH ERSTE BANK 54 WEIGHT WATCHERS INTL  Statement 4 Form 990-PF, Part II, Line 13	NCORP LTD  URY ADM  K INDEX  ADMIRAL FUND INDEX ADMIRIRAL L SHARES AP	Method  Mkt Val Mkt Val Mkt Val Mkt Val Total	Value \$ 153,537. 51,922. 69,202. 3,605. \$ 278,266.	Value \$ 127,756. 0. 48,865. 1,778. \$ 178,399. Fair Market Value

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# **Federal Statements**

Page 2

THE BROOK FUND, INC.

22-2561995

Statement 5 Form 990-PF, Part XV, Line 1a Foundation Managers - 2% or More Contributors

BARNETT RUKIN JULIUS EISEN

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