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Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2013Department of the Treasury
Internal Revenue Service

- Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning , 2013, and ending

THE BROOK FUND, INC.
182 WEST ALLENDALE AVENUE
ALLENDALE, NJ 07401-1723

A Employer identification number
22-2561995

B Telephone number (see the instructions)
(201) 785-8881

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 2,484,388.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
------------------------------------	---------------------------	-------------------------	-------------------------------------------------------------

REVENUE

- 1 Contributions, gifts, grants, etc. received (att sch) 289,780.
2 ☐ if the foundn is not req to att Sch B
3 Interest on savings and temporary cash investments
4 Dividends and interest from securities 91,313.
5a Gross rents 91,313.
b Net rental income or (loss) 91,313.
6a Net gain/(loss) from sale of assets not on line 10 10,266.
b Gross sales price for all assets on line 6a 267,646.
7 Capital gain net income (from Part IV line 2) 10,266.
8 Net short-term capital gain 182.
9 Income modifications
10a Gross sales less returns and allowances
b Less Cost of goods sold
c Gross profit/(loss) (att sch)
11 Other income (attach schedule)

12 **Total.** Add lines 1 through 11 391,359.

101,579.

91,495.

ADMINISTRATIVE EXPENSES

- 13 Compensation of officers, directors, trustees, etc. 29,973.
14 Other employee salaries and wages
15 Pension plans, employee benefits
16a Legal fees (attach schedule)
b Accounting fees (attach sch) See St 1 1,500.
c Other prof fees (attach sch)
17 Interest
18 Taxes (attach schedule) (see instrs)
19 Depreciation (attach sch) and depletion
20 Occupancy
21 Travel, conferences, and meetings
22 Printing and publications
23 Other expenses (attach schedule) See Statement 2 701.

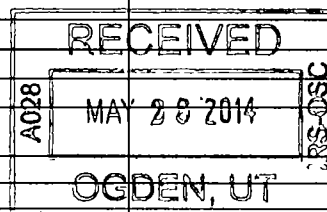
24 **Total operating and administrative expenses.** Add lines 13 through 23 32,174.
25 Contributions, gifts, grants paid Part XV 279,702.
26 **Total expenses and disbursements.** Add lines 24 and 25 311,876.

27 Subtract line 26 from line 12:

a **Excess of revenue over expenses and disbursements** 79,483.

b **Net investment income** (if negative enter 0) 101,579.

c **Adjusted net income** (if negative enter -0-) 91,495.



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17

SCANNED MAY 30 2014

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		763,980.	874,451.	874,451.
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U S and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) Statement 3		278,266.	278,266.	178,399.
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule) Statement 4		939,380.	908,392.	1,431,538.	
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)		1,981,626.	2,061,109.	2,484,388.	
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
NET ASSETS OR FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>					
	24	Unrestricted		1,981,626.	2,061,109.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		1,981,626.	2,061,109.	
	31	Total liabilities and net assets/fund balances (see instructions)		1,981,626.	2,061,109.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,981,626.
2	Enter amount from Part I, line 27a	2	79,483.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,061,109.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,061,109.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a 1400 ERSTE GROUP BANK		P	7/03/13	7/11/13
b 2044 DIRECTV.COM		P	Various	11/26/13
c 2700 GILEAD SCIENCES INC		P	Various	Various
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 182.			182.
b 133,807.		130,816.	2,991.
c 133,657.		126,564.	7,093.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			182.
b			2,991.
c			7,093.
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2	10,266.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 </div>		3	182.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	509,156.	2,303,968.	0.220991
2011	355,545.	2,346,336.	0.151532
2010	479,854.	2,249,868.	0.213281
2009	392,756.	2,192,793.	0.179112
2008	462,182.	1,926,816.	0.239868

2 Total of line 1, column (d)	2	1.004784
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.200957
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,309,735.
5 Multiply line 4 by line 3	5	464,157.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,016.
7 Add lines 5 and 6	7	465,173.
8 Enter qualifying distributions from Part XII, line 4	8	279,702.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,032.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,032.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,032.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	1,363.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	3,500.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	4,863.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,831.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11	2,831.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XIV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>TAXPAYER</u> Telephone no. <u>(201) 785-8881</u> Located at <u>182 W. ALLENDALE AVE ALLENDALE NJ</u> ZIP + 4 <u>07401</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARNETT RUKIN 182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	TRUSTEE 0	0.	0.	0.
JULIUS EISEN 182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	TRUSTEE 0	0.	0.	0.
SLX CAPITAL MANAGMENT LTD 182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	Asset Mgmt 0	29,973.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a Average monthly fair market value of securities	1 a	1,525,693.
b Average of monthly cash balances	1 b	819,216.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	2,344,909.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,344,909.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	35,174.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,309,735.
6 Minimum investment return. Enter 5% of line 5	6	115,487.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	115,487.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	2,032.
b Income tax for 2013 (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	2,032.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	113,455.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	113,455.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	113,455.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	279,702.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	279,702.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	279,702.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				113,455.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008	366,940.			
b From 2009	283,917.			
c From 2010	368,153.			
d From 2011	240,647.			
e From 2012	394,750.			
f Total of lines 3a through e	1,654,407.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 279,702.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				113,455.
e Remaining amount distributed out of corpus	166,247.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,820,654.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	366,940.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,453,714.			
10 Analysis of line 9				
a Excess from 2009	283,917.			
b Excess from 2010	368,153.			
c Excess from 2011	240,647.			
d Excess from 2012	394,750.			
e Excess from 2013	166,247.			

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED SCHEDULE			CHARITABLE	279,702.
Total			▶ 3 a	279,702.
b <i>Approved for future payment</i>				
Total			▶ 3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities		14	91,313.		
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					10,266.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				91,313.	10,266.
13	Total. Add line 12, columns (b), (d), and (e)				13	101,579.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE BROOK FUND, INC.

Employer identification number

22-2561995

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

THE BROOK FUND, INC.

Employer identification number

22-2561995

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BARNETT RUKIN 813 E SADDLE RIVER ROAD HOHOKUS, NJ 07423	\$ 130,816.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
2	BARNETT RUKIN 813 E SADDLE RIVER ROAD HOHOKUS, NJ 07423	\$ 30,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
3	SUSAN EISEN 57 RIVERVIEW TERRACE UPPER SADDLE RIVER, NJ 07458	\$ 94,920.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
4	SUSAN EISEN 57 RIVERVIEW TERRACE UPPER SADDLE RIVER, NJ 07458	\$ 31,644.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

THE BROOK FUND, INC.

Employer identification number

22-2561995

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	2044 SHARES DIRECTV	\$ 130,816.	11/22/13
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	225.107 SHARES VANGUARD MID-CAP INDEX FUND ADM	\$ 30,000.	12/03/13
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
3	2100 SHARES GILEAD SCIENCES INC	\$ 94,920.	3/23/13
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	600 SHARES GILEAD SCIENCES INC	\$ 31,644.	5/09/13
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Employer identification number

22-2561995

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.)

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

THE BROOK FUND
EI #22-2561995
FORM 990-PF
F/Y/E 12/31/13

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
ADLER APHASIA CENTER 60 W. HUNTER AVENUE MAYWOOD, NJ 07607	GENERAL	\$500
AFRICAN KIDS IN NEED INC 137 N. LARCHMONT BLVD, BOX 140 LOS ANGELES, CA 90004	GENERAL	500
ALLENDALE AMBULANCE CORPS 26 ARCADIA ROAD ALLENDALE, NJ 07401	GENERAL	25
ALLENDALE VETERANS OF FOREIGN WARS PO BOX 365 ALLENDALE, NJ 07401	GENERAL	25
ALLENDALE VOLUNTEER FIRE ASSOC. P.O. BOX 44 ALLENDALE, NJ 07401	GENERAL	25
ALLENDALE-WALDWICK PBA LOCAL #217 PO BOX 166 ALLENDALE, NJ 07401	GENERAL	25
AMERICAN JEWISH COMMITTEE 165 E 56 STREET, ROOM 800 NRE NEW YORK, NY 10126-0103	GENERAL	600
ANTI DEFAMATION LEAGUE 304 VREELAND ROAD, SUITE 230 FLORHAM PARK, NJ 07932	GENERAL	500
ASPNI 28 ARRANDALE AVENUE GREAT NECK, NY 11024-1804	GENERAL	1,000
BERGEN COUNTY UNITED WAY 6 FOREST AVENUE, STE 210 PARAMUS, NJ 07652	GENERAL	3,500
BERGEN COUNTY Y-JCC 605 PASCACK ROAD WASHINGTON TOWNSHIP, NJ 07676	GENERAL	68,072
BOY SCOUTS OF AMERICA -NO. NJ COUNCIL PO BOX 670 OAKLAND, NJ 07436-1709	GENERAL	500

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
BVMI 241 MOORE STREET, STE 101 HACKENSACK, NJ 07601-7533	GENERAL	600
CAMP RAMAH IN NEW ENGLAND 2 COMMERCE WAY NORWOOD, MA 02062	GENERAL	10,000
CDA-COURT ROSEMARY #681 C/O TERESIA PERNA 112 N. BEACON STREET MIDDLETOWN, NY 10940	GENERAL	100
CENTER FOR FOOD ACTION 192 W DEMAREST AVENUE ENGLEWOOD, NJ 07631	GENERAL	6,500
CENTER FOR HOLOCAUST OF GENOCIDE STUDIES 505 RAMAPO VALLEY ROAD MAHWAY, NJ 07430-1623	GENERAL	250
CENTRAL PARK CONSERVANCY P.O. BOX 4004, CHURCH STREET STATION NEW YORK, NY 10277-0149	GENERAL	500
CITIZENS COMMITTEE FOR CHILDREN OF NY 105 EAST 22 STREET NEW YORK, NY 10160-0886	GENERAL	200
CITY HARVEST 6 EAST 32 ST, 5 TH FL NEW YORK, NY 10016-5422	GENERAL	3,000
COP SHOT INC. P.O. BOX 225 BRONX, NY 10475	GENERAL	1,000
CORNELL UNIVERSITY PO BOX 535267 PITTSBURGH, PA 15253-9874	GENERAL	550
CURE BREAST CANCER FOUNDATION INC 1122 CLIFTON AVENUE CLIFTON, NJ 07013	GENERAL	3,200

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
CWS CROP C/O MARTIN PRINCE 203 FOUR SEASONS LANE WOODCLIFF LAKE, NJ 07677	GENERAL	100
DAUGHTERS OF MIRIAM FOUNDATION CENTER FOR THE AGED 155 HAZEL STREET CLIFTON, NJ 07011	GENERAL	2,100
ENVIRONMENTAL WORKING GROUP 1436 U STREET NW, #100 WASHINGTON, DC 20009	GENERAL	50
FOUNDATION FOR JEWISH CAMP 253 WEST 35 STREET, 4 TH FL NEW YORK, NY 10001	GENERAL	26,250
GEORGIA ORGANICS, INC. 200-A OTTLEY DRIVE ATLANTA, GA 30324	GENERAL	250
GEORGIA PUBLIC BROADCASTING - GPB P O. BOX 409277 ATLANTA, GA 30384	GENERAL	250
GLOBAL GREENGRANTS FUND 2840 WILDERNESS PLACE, STE. A BOULDER, CO 80301	GENERAL	100
GOOD SAMARITAN HOSPITAL FOUNDATION 255 LAFAYETTE AVENUE SUFFERN, NY 10901	GENERAL	500
GUIDE DOG FOUNDATION FOR THE BLIND INC 371 EAST MAIN STREET (JERICHO TPK) SMITHTOWN, NY 11787	GENERAL	250
HADASSAH C/O YVETTE TEKEL 1530 PALISADE AVENUE, APT 31R FORT LEE, NJ 07024	GENERAL	500
HAVARAH SHALOM 182 W ALLENDALE AVENUE ALLENDALE, NJ 07401	GENERAL	14,000

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
HEBREW IMMIGRANT AID SOCIETY 333 SEVENTH AVENUE, RM 1600 NEW YORK, NY 10001-5019	GENERAL	500
HEIFER INTERNATIONAL PO BOX 6021 ALBERT LEA, MN 56007-9831	GENERAL	380
HILLEL AT THE UNIVERSITY OF PENNSYLVANIA 215 SOUTH 39 TH STREET PHILADELPHIA, PA 19104	GENERAL	5,000
HO-HO-KUS PBA LOCAL 353 P.O. BOX 402 HO-HO-KUS, NJ 07423	GENERAL	100
HO-HO-KUS VOLUNTEER AMBULANCE CORPS PO BOX 81 HO-HO-KUS, NJ 07423	GENERAL	150
HO-HO-KUS VOLUNTEER FIRE DEPARTMENT 52 SHERIDAN AVENUE HO-HO-KUS, NJ 07423	GENERAL	150
HOLIDAY OBSERVERS PO BOX 317 ALLENDALE, NJ 07401	GENERAL	25
HOLY NAME MEDICAL CENTER MS CENTER 718 TEANECK ROAD TEANECK, NJ 07666-9798	GENERAL	500
HORACE MANN SCHOOL 231 WEST 246 TH STREET RIVERDALE, NY 10471-9988	GENERAL	2,000
JB1 INTERNATIONAL 110 E. 30 TH STREET NEW YORK, NY 10016-7375	GENERAL	250
JEWISH NATIONAL FUND PO BOX 5609 HICKSVILLE, NY 11802-5609	GENERAL	250
JEFFREY MODELL FOUNDATION 110 E. 30 TH STREET NEW YORK, NY 10016-7375	GENERAL	1,500

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
JERICO PROJECT 245 W. 29 TH STREET, SUITE 902 NEW YORK, NY 10001	GENERAL	2,500
JEWISH EDUCATIONAL ALLIANCE, SAVANNAH P O. BOX 23527 5111 ABERCORN STREET SAVANNAH, GA 31403	GENERAL	1,800
JEWISH FAMILY SERVICE 1485 TEANECK ROAD TEANECK NJ 07666	GENERAL	1,700
JEWISH FEDERATION OF NORTHERN NEW JERSEY 50 EISENHOWER DRIVE PARAMUS, NJ 07652	GENERAL	34,500
JEWISH HOME FOUNDATION OF NORTH JERSEY 10 LINK DRIVE ROCKLEIGH, NJ 07647	GENERAL	1,000
JEWISH THEOLOGICAL SEMINARY 3080 BROADWAY, 4 TH FL NEW YORK, NY 10027-9985	GENERAL	11,500
JUVENILE DIABETES RESEARCH FOUNDATION INT'L 26 BROADWAY, 14 TH FLOOR NEW YORK, NY 10004	GENERAL	1,500
KIPP NYC C/O KIPP NEW YORK INC. 470 7 TH AVENUE, 10 TH FL NEW YORK, NY 10018	GENERAL	1,500
LEARNING ALLY PO BOX 2255 PRINCETON, NJ 08543-9937	GENERAL	250
LINCOLN CENTER FOR THE PERFORMING ARTS 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6583	GENERAL	250

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
MAINE ORGANIC FARMERS & GARDENERS ASSN. P O BOX 170 UNITY, ME 04988	GENERAL	500
MANHATTAN INSTITUTE FOR POLICY RESEARCH INC 52 VANDERBILT AVENUE NEW YORK, NY 10164-1005	GENERAL	2,500
MEMORIAL HEALTH FOUNDATION P.O. BOX 23089 SAVANNAH, GA 31403-3089	GENERAL	500
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065	GENERAL	250
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10130-0430	GENERAL	200
MOHONK PRESERVE P O BOX 715 NEW PALTZ, NY 12561	GENERAL	250
MOVEON ORG CIVIC ACTION PO BOX 9063 BERKELEY, CA 94709-0063	GENERAL	65
MOVEMBER PO BOX 1595 CULVER CITY, CA 90232	GENERAL	100
MUSEUM OF MODERN ART PO BOX 3948 NEW YORK, NY 10277-1897	GENERAL	140
NEPHCURE FOUNDATION 15 WATERLOO AVENUE BERWYN, PA 19312	GENERAL	2,500
NEW MILFORD HIGH SCHOOL 1 SNYDER CIRCLE NEW MILFORD, NJ 07646	GENERAL	500
NEW YORK BOTANICAL GARDEN 2900 SOUTHERN BLVD. BRONX, NY 10458-0649	GENERAL	250

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
NEW YORK CITY BALLET GUILD DAVID H KOCH THEATER 20 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6965	GENERAL	350
NEW YORK PHILHARMONIC AVERY FISHER HALL 10 LINCOLN CENTER PLAZA NEW YORK, NY 10133-0088	GENERAL	300
NEW YORK PUBLIC RADIO P O. BOX 1550 NEW YORK, NY 10116-1550	GENERAL	250
NPR 1111 NORTH CAPITAL STREET, NE WASHINGTON, DC 20002	GENERAL	250
OGEECHEE RIVERKEEPER P.O BOX 1925 STATESBORO, GA 30459	GENERAL	150
ORGANIC CONSUMERS ASSOCIATION 6771 S. SILVER HILL DRIVE FINLAND, MN 55603	GENERAL	200
PBA SADDLE RIVER LOCAL #348 PO BOX 302 SADDLE RIVER, NJ 07458	GENERAL	100
PLANNED PARENTHOOD OF GREATER NORTHERN NJ 196 SPEEDWELL AVENUE MORRISTOWN, NJ 07960-2934	GENERAL	500
PLAY FOR PINK C/O PREAKNESS HILLS COUNTRY CLUB 1050 RATZER ROAD WAYNE, NJ 07470-3384	GENERAL	50
RICHMOND HILL HISTORICAL SOCIETY P.O. BOX 381 RICHMOND HILL, GA 31324	GENERAL	150
ROCKLAND FARM ALLIANCE 220 S LITTLE TOR ROAD NEW CITY, NY 10956	GENERAL	5,100

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
SADDLE RIVER FIRE ASSOCIATION PO BOX 231 SADDLE RIVER, NJ 07458	GENERAL	100
SAVANNAH COMMUNITY FOUNDATION 7393 HODGSON MEMORIAL DRIVE SAVANNAH, GA 31406	GENERAL	500
SAVANNAH JEWISH FEDERATION 5111 ABERCORN STREET SAVANNAH, GA 31405	GENERAL	1,800
SAVANNAH MUSIC FESTIVAL 200 E. ST. JULIAN STREET, STE 601 SAVANNAH, GA 31401	GENERAL	500
SLOW MONEY PO BOX 2231 BOULDER, CO 80306	GENERAL	1,000
SOUTH BRYAN VOLUNTEER FIREFIGHTERS ASSOC. PO BOX 2626 RICHMOND HILL, GA 31324	GENERAL	50
TABLE TO TABLE P.O. BOX 1051 ENGLEWOOD CLIFFS, NJ 07632	GENERAL	1,500
TEAM ZOEY PO BOX 102 PINE BROOK, NJ 07058	GENERAL	1,000
TEMPLE BETH RISHON 585 RUSSELL AVENUE WYCKOFF, NJ 07481	GENERAL	4,300
TEMPLE EMANUEL OF THE PASCACK VALLEY 87 OVERLOOK DRIVE WOODCLIFF LAKE, NJ 07677	GENERAL	1,000
THE ARNOLD P. GOLD FOUNDATION 619 PALISADE AVENUE ENGLEWOOD CLIFFS, NJ 07632	GENERAL	500
THE BROTHERHOOD SYNAGOGUE 28 GRAMERCY PARK SO. NEW YORK, NY 10003	GENERAL	1,800

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
THE DAVID PROJECT PO BOX 52390 BOSTON, MA 02205	GENERAL	250
THE FRICK COLLECTION 1 EAST 70 STREET NEW YORK, NY 10021-4907	GENERAL	120
THE FORUM SCHOOL FOUNDATION 107 WYCKOFF AVENUE WALDWICK, NJ 07463	GENERAL	100
THE HEBREW UNIVERSITY OF JERUSALEM COLUMBIA UNIVERSITY, BOX 123 NEW YORK, NY 10027	GENERAL	15,000
THE ISRAEL PROJECT 2020 K STREET, NW SUITE 7600 WASHINGTON, DC 20006-1832	GENERAL	250
THE LUSTGARTEN FOUNDATION 111 STEWART AVENUE BETHPAGE, NY 11714	GENERAL	250
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE, BOX 164 NEW YORK, NY 10065	GENERAL	500
THE ROTARY CLUB OF RIDGEWOOD FOUNDATION C/O KAREN REYNOLDS 231 FAIRFIELD AVENUE RIDGEWOOD, NJ 07450	GENERAL	100
THE SCHOOL OF AMERICAN BALLET 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023	GENERAL	100
THIRTEEN PO BOX 1313 NEW YORK, NY 10102-0053	GENERAL	50
TO DO INSTITUTE P.O. BOX 50 MONKTON, VT 05469	GENERAL	50

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
UNITED STATES HOLOCAUST MEMORIAL MUSEUM P.O. BOX 7023 ALBERT LEA, MN 56007-8023	GENERAL	250
VALLEY HOSPITAL AUXILIARY 223 N. VAN DIEN AVENUE RIDGEWOOD, NJ 07450	GEENRAL	2,800
VOLUNTEER CENTER OF BERGEN COUNTY 64 PASSAIC STREET HACKENSACK, NJ 07601	GENERAL	4,500
WEST BERGEN MENTAL HEALTHCARE 120 CHESTNUT STREET RIDGEWOOD, NJ 07450	GENERAL	1,350
WNYC RADIO 160 VARICK STREET NEW YORK, NY 10013	GENERAL	1,000
WOLCOTT SCHOOL 524 N. WOLCOTT STREET CHICAGO, IL 60642	GENERAL	11,000
		<hr/>
		<u>\$279,702</u>

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**

OMB No. 1545-1709

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE BROOK FUND, INC.	22-2561995
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	182 WEST ALLENDALE AVENUE	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	ALLENDALE, NJ 07401-1723	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► TAXPAYER

Telephone No. ► (201) 785-8881 Fax No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 2013 or
 - ☐ tax year beginning _____, 20____, and ending _____, 20____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	4,863.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	1,363.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	3,500.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev. 1-2014)

FIF0501L 12/31/13

THE BROOK FUND, INC.

22-2561995

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	\$ 1,500.			
Total	<u>\$ 1,500.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
MISCELLANEOUS EXPENSE	\$ 701.			
Total	<u>\$ 701.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 3
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
12624 SHS VALLEY NAT'L BANCORP	Mkt Val	\$ 153,537.	\$ 127,756.
1830 SH LONGTOP FIN TECH LTD	Mkt Val	51,922.	0.
1400 SH ERSTE BANK	Mkt Val	69,202.	48,865.
54 WEIGHT WATCHERS INTL	Mkt Val	3,605.	1,778.
Total		<u>\$ 278,266.</u>	<u>\$ 178,399.</u>

Statement 4
Form 990-PF, Part II, Line 13
Investments - Other

Other Investments	Valuation Method	Book Value	Fair Market Value
VANGUARD INTER TERM TREASURY ADM	Mkt Val	\$ 65,289.	\$ 69,375.
VANGUARD TOTAL INT'L STOCK INDEX	Mkt Val	204,885.	294,166.
VANGUARD SHORT-TERM GRADE ADMIRAL FUND	Mkt Val	153,551.	153,307.
VANGUARD TOTAL STOCK MKT INDEX ADMIRAL	Mkt Val	89,284.	169,232.
VANGUARD GNMA FUND ADMIRAL SHARES	Mkt Val	131,731.	130,489.
VANGUARD TAX MNGD SMALL CAP	Mkt Val	223,190.	547,301.
VANGUARD VALUE INDEX FUND ADM	Mkt Val	40,462.	67,668.
Total		<u>\$ 908,392.</u>	<u>\$ 1,431,538.</u>

2013

Federal Statements

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THE BROOK FUND, INC.

22-2561995

Statement 5
Form 990-PF, Part XV, Line 1a
Foundation Managers - 2% or More Contributors

BARNETT RUKIN
JULIUS EISEN