Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation

FRANK A. MILLER FAMILY FOUNDATION, INC.

A Employer identification number: 22-2540718

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

1335 POST ROAD

B Telephone number: (203) 655-7451

City or town, state or province, country, and ZIP or foreign postal code

DARIEN, CT 06820-5417

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (*from Part II, col. (c), line 16*) ▶$ 581,160.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (*Part I, column (d) must be on cash basis*)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	7.	7.		STATEMENT 1
	4 Dividends and interest from securities	11,216.	11,216.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	9,682.			
	b Gross sales price for all assets on line 6a 181,894.				
	7 Capital gain net income (from Part IV, line 2)		9,682.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	20,905.	20,905.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	2,625.	2,625.		0.
	c Other professional fees STMT 4	4,248.	4,248.		0.
	17 Interest				
	18 Taxes STMT 5	82.	82.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	50.	50.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	7,005.	7,005.		0.
	25 Contributions, gifts, grants paid	13,540.			13,540.
	26 **Total expenses and disbursements.** Add lines 24 and 25	20,545.	7,005.		13,540.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	360.			
	b **Net investment income** (if negative, enter -0-)		13,900.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2013)

11060508 755449 2981 2013.03040 FRANK A. MILLER FAMILY FOUN 2981___1

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	157,449.	94,333.	94,333.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ 217.			
	Less: allowance for doubtful accounts ▶	695.	217.	217.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	383,482.	448,414.	486,610.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	541,626.	542,964.	581,160.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	541,626.	542,964.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	541,626.	542,964.	
	31 **Total liabilities and net assets/fund balances**	541,626.	542,964.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	541,626.
2 Enter amount from Part I, line 27a	2	360.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	978.
4 Add lines 1, 2, and 3	4	542,964.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	542,964.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	181,894.		172,212.	9,682.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				9,682.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 9,682.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes X No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	28,100.	531,451.	.052874
2011	21,250.	519,167.	.040931
2010	18,457.	490,328.	.037642
2009	37,541.	471,958.	.079543
2008	42,935.	575,690.	.074580

2	Total of line 1, column (d)	2	.285570
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.057114
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	560,211.
5	Multiply line 4 by line 3	5	31,996.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	139.
7	Add lines 5 and 6	7	32,135.
8	Enter qualifying distributions from Part XII, line 4	8	13,540.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	278.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	278.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	278.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 120.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	120.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	158.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ DARLINE REYNOLDS Telephone no. ▶ 203-655-7451

Located at ▶ 1335 POST ROAD, DARIEN, CT ZIP+4 ▶ 06820-5417

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes X No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes X No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes X No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes X No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FRANK A. MILLER C/O MILLER AUTOMOBILE CORPORATION, 13 DARIEN, CT 06820-5417	PRESIDENT 0.00	0.	0.	0.
ELIZABETH MILLER C/O MILLER AUTOMOBILE CORPORATION, 13 DARIEN, CT 06820-5417	SEC. TREASURY 0.00	0.	0.	0.
DARLINE REYNOLDS C/O MILLER AUTOMOBILE CORPORATION, 13 DARIEN, CT 06820-5417	ASST. SEC. TREASURY 0.00	0.	0.	0.
JOHN S. MILLER C/O MILLER AUTOMOBILE CORPORATION, 13 DARIEN, CT 06820-5417	VICE PRESIDENT 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Form **990-PF** (2013)

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE FOUNDATION'S SOLE DIRECT CHARITABLE ACTIVITY CONSISTS OF CONTRIBUTING FUNDS TO OTHER ORGANIZATIONS IN ORDER TO FURTHER ESTABLISHED PROGRAMS.	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	490,328.
b	Average of monthly cash balances	1b	78,414.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	568,742.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	568,742.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,531.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	560,211.
6	**Minimum investment return.** Enter 5% of line 5	6	28,011.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	28,011.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 278.		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	278.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	27,733.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	27,733.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	27,733.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	13,540.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,540.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	13,540.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				27,733.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 — 14,470.				
b From 2009 — 14,111.				
c From 2010				
d From 2011				
e From 2012 — 1,624.				
f **Total** of lines 3a through e	30,205.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 13,540.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				13,540.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	14,193.			14,193.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,012.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	277.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	15,735.			
10 Analysis of line 9:				
a Excess from 2009 — 14,111.				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 — 1,624.				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 9 ATTACHED		501(C)(3)	GENERAL	13,540.
Total			▶ 3a	13,540.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	7.	
4 Dividends and interest from securities			14	11,216.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	9,682.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		20,905.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 20,905.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 5/14/14 — Title Pres

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ANDREW LATTIMER		5/13/14		P00368790

Firm's name ▶ BLUM, SHAPIRO & COMPANY, P.C., CPA'S — Firm's EIN ▶ 06-1009205

Firm's address ▶ 29 S. MAIN STREET, P.O. BOX 272000 WEST HARTFORD, CT 06127-2000 — Phone no. 860 561-4000

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS FINANCIAL SERVICES #3K-00330 (SEE ATTACHED)	P	VARIOUS	VARIOUS
b	UBS FINANCIAL SERVICES #3K-00330(SEE ATTACHED)	P	VARIOUS	VARIOUS
c	SPIDER GOLD TRUST - RETURN OF PRINCIPAL	P	VARIOUS	VARIOUS
d	CAPITAL GAINS DIVIDENDS			
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	34,082.		36,064.	<1,982.>
b	139,592.		136,089.	3,503.
c	59.		59.	0.
d	8,161.			8,161.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<1,982.>
b				3,503.
c				0.
d				8,161.
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	9,682.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL	7.	7.	
TOTAL TO PART I, LINE 3	7.	7.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL - DIVIDENDS	19,377.	8,161.	11,216.	11,216.	
TO PART I, LINE 4	19,377.	8,161.	11,216.	11,216.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	2,625.	2,625.		0.
TO FORM 990-PF, PG 1, LN 16B	2,625.	2,625.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES - UBS FINANCIAL	4,248.	4,248.		0.
TO FORM 990-PF, PG 1, LN 16C	4,248.	4,248.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES ON DIVIDENDS	82.	82.		0.
TO FORM 990-PF, PG 1, LN 18	82.	82.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SECRETARY OF STATE	50.	50.		0.
TO FORM 990-PF, PG 1, LN 23	50.	50.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
ADJUSTMENT TO RECONCILE TO BOOK VALUE	182.
EXCISE TAX REFUND	796.
TOTAL TO FORM 990-PF, PART III, LINE 3	978.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS FINANCIAL - SEE STATEMENT 10 ATTACHED	FMV	448,414.	486,610.
TOTAL TO FORM 990-PF, PART II, LINE 13		448,414.	486,610.

Frank A. Miller Family Foundation, Inc.
Form 990-PF, Part XV, Line 3
Schedule of Charitable Contributions
December 31, 2013
EIN# 22-2540718

Recipient	Contribution
Glades Acad. Everglades	5,000
Buttonwood Foundation	100
Fountain House	1,000
Salvation Army of Palm Beach	100
Peggy Adams Animal Rescue	100
Game Conservatory	250
The Brooks	200
U.S. Sportsman's Alliance	100
Preserv. Foundation	2,500
Berkshire School	100
Inner City Foundation	200
2014 Appeal for Thyroid	1,000
New Hope Charities	790
Andrew Shaw Memorial	100
CT Humane Society	250
Darien Historical Society	1,000
American Diabetes Association	250
Palm Beach Police Foundation	250
Palm Beach Fire Dept. Foundation	250
	13,540.00

COST BASIS / BOOK VALUE
& FMV

STATEMENT 10

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	69,321 80	69,405 57					250,000 00

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	BOOK VALUE Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
DEUTSCHE BK AG LONDON DOGS OF THE DOW LINKD DJ HI YLD SEL 10 TL RTN Symbol. DOD									
Trade date Jan 13, 12	1,500.000	9.660	14,490.00	14,490 00	13.868	20,802.00	6,312.00	6,312 00	LT

Your assets › **Equities** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	BOOK VALUE Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
AMERICAN CENTURY EQUITY									
INCOME FUND									
Symbol TWEIX									
Trade date Dec 23, 08	2,649 573	5 849	15,500 00	15,500 00	8 570	22,706 84	7,206 84		LT
Trade date Sep 23, 10	25 320	6 759	171 16	171 16	8 570	216 99	45 83		LT
Trade date Dec 30, 10	24 675	7 219	178 15	178 15	8 570	211 46	33 31		LT
Trade date Mar 24, 11	9 971	7 310	72 89	72 89	8 570	85 45	12 56		LT
Trade date Sep 16, 13	570 776	8 760	5,000 00	5,000 00	8 570	4,891 55	-108 45		ST
Total reinvested	465 380	8 171		3,802 74	8 570	3,988 31	185 57		
EAI. $764 Current yield 2 38%									
Security total	3,745 695	6 601	20,922 20	24,724 94		32,100 60	7,375 66	11,178 40	
EATON VANCE ATLANTA									
CAPITAL SMID-CAP									
FUND CLASS A									
Symbol: EAASX									
Trade date May 18, 11	1,271 456	15 729	20,000 00	20,000 00	22 960	29,192 62	9,192 62		LT
Trade date Jul 24, 13	484 966	20 620	10,000 00	10,000 00	22 960	11,134 82	1,134 82		ST
Total reinvested	15 983	20 712		331 04	22 960	366 97	35 93		
Security total	1,772 405	17 113	30,000 00	30,331 04		40,694 41	10,363 37	10,694 41	
FMI LARGE CAP									
FUND									
Symbol: FMIHX									
Trade date Sep 29, 08	1,362 604	13 210	18,000 00	18,000 00	20 860	28,423 91	10,423 91		LT
Trade date Nov 2, 10	9 982	14 760	147 34	147 34	20 860	208 22	60 88		LT
Trade date Jan 3, 11	2 566	15 600	40 03	40 03	20 860	53 53	13 50		LT

continued next page

Your assets › **Equities** › **Mutual funds** (continued)

BOOK VALUE

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Jul 24, 13	487 329	20 520	10,000 00	10,000 00	20 860	10,165 68	165 68		ST
Total reinvested	165 563	19 682		3,258 71	20 860	3,453 64	194 93		
EAI $359 Current yield· 0 85%									
Security total	2,028 044	15 506	28,187 37	31,446 08		42,304 99	10,858 90	14,117 61	
MANNING & NAPIER FD, INC.WORLD OPPORTUNITIES SRS									
Symbol· EXWAX									
Trade date Nov 24, 09	1,368 159	8 040	11,000 00	11,000 00	9 050	12,381 84	1,381 84		LT
Trade date Sep 16, 10	8 271	7 989	66 08	66 08	9 050	74 85	8 77		LT
Trade date Dec 16, 10	32 924	8 419	277 22	277 22	9 050	297 96	20 74		LT
Trade date Jul 24, 13	1,179 245	8 480	10,000 00	10,000 00	9 050	10,672 17	672 17		ST
Total reinvested	68 230	8 384		572 10	9 050	617 48	45 38		
EAI $274 Current yield 1 14%									
Security total	2,656 829	8 249	21,343 30	21,915 40		24,044 30	2,128 90	2,701 00	
OPPENHEIMER DEVELOPING MARKETS FUND CL A									
Symbol ODMAX									
Trade date· May 18, 11	559 128	35 769	20,000 00	20,000 00	38 020	21,258 04	1,258 04		LT
Trade date Jul 24, 13	285 225	35 060	10,000 00	10,000 00	38 020	10,844.25	844 25		ST
Total reinvested	7 125	36 481		259 93	38 020	270.89	10 96		
EAI· $31 Current yield 0 10%									
Security total	851 478	35 538	30,000 00	30,259 93		32,373 19	2,113 25	2,373 18	
PRUDENTIAL JENNISON NATURAL RESOURCES FUND INC A									
Symbol· PGNAX									
Trade date· May 18, 11	267 809	56 010	15,000 00	15,000 00	49 490	13,253 86	-1,746 14	-1,746 14	LT
Total			**$145,452.87**	**$153,677.39**		**$184,771.35**	**$31,093.94**	**$39,318.48**	

Total estimated annual income: $1,428

Your assets (continued)

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	BOOK VALUE Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FIDELITY ADVISOR									
STRATEGIC INCOME FD CL A									
Symbol FSTAX									
Trade date May 18, 11	536 239	12 679	6,799 51	6,799 51	12 100	6,488 49	-311 02		LT
Total reinvested	101 578	12 492		1,268 93	12 100	1,229 09	-39 84		
EAI $273 Current yield 3 54%									
Security total	637 817	12 650	6,799 51	8,068 44		7,717 58	-350 86	918 07	
JP MORGAN STRATEGIC									
INCOME OPPORTUNITIES									
FUND CLASS A									
Symbol JSOAX									
Trade date May 18, 11	2,085 071	11 989	25,000 00	25,000 00	11 860	24,728 94	-271 06		LT
Total reinvested	113 970	11 718		1,335 60	11 860	1,351 68	16.08		
EAI $618 Current yield. 2 37%									
Security total	2,199 041	11 976	25,000 00	26,335 60		26,080 62	-254 98	1,080 62	
LORD ABBETT SHORT									
DURATION INCOME FUND									
CLASS A									
Symbol LALDX									
Trade date May 18, 11	2,566 616	4 620	11,857 77	11,857 77	4 550	11,678 10	-179 67		LT
Total reinvested	381 909	4 609		1,760 49	4 550	1,737 68	-22 81		
EAI $498 Current yield 3 71%									
Security total	2,948 525	4 619	11,857 77	13,618 26		13,415 78	-202 48	1,558 01	

continued next page

Your assets › **Fixed income** › **Mutual funds** (continued)

BOOK VALUE

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PIONEER STRATEGIC INCOME									
FUND CLASS A									
Symbol PSRAX									
Trade date May 18, 11	1,344 086	11 160	15,000 00	15,000 00	10 810	14,529 56	-470 44		LT
Trade date Jan 11, 12	934 579	10 700	10,000 00	10,000 00	10 810	10,102 80	102 80		LT
EAI $1,132 Current yield 4 60%									
Security total	2,278 665	10 971	25,000 00	25,000 00		24,632 36	-367 64	-367 64	
TCW TOTAL RETURN									
BOND FUND									
Symbol TGMNX									
Trade date May 18, 11	676 234	10 340	6,992 26	6,992 26	10 340	6,992 26			LT
Trade date Sep 16, 13	490 196	10 200	5,000 00	5,000 00	10 340	5,068 62	68 62		ST
Total reinvested	144 151	10 402		1,499 46	10 340	1,490 52	-8 94		
EAI $574 Current yield 4 24%									
Security total	1,310 581	10 294	11,992 26	13,491.72		13,551 40	59 68	1,559 14	
Total			**$80,649.54**	**$86,514.02**		**$85,397.74**	**-$1,116.28**	**$4,748.20**	

Total estimated annual income: $3,095

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
JHANCOCK2 GLOBAL									
ABSOLUTE RETURN									

continued next page

Your assets › **Non-traditional** › **Mutual funds** (continued)

Book Value

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
STRATEGIES FUND CLASS A									
Symbol JHAAX									
Trade date Jan 4, 13	1,858 736	10 760	20,000 00	20,000 00	11 060	20,557 61	557 61		ST
Trade date· Sep 16, 13	460 829	10 850	5,000 00	5,000 00	11 060	5,096 77	96 77		ST
Total reinvested	25 850	11 020		284 87	11 060	285 90	1 03		
EAI $288 Current yield 1 11%									
Security total	2,345.415	10 781	25,000 00	25,284 87		25,940 28	655 41	940 28	
MAINSTAY MARKETFIELD									
FUND CLASS I									
Symbol MFLDX									
Trade date Jan 4, 13	1,237 624	16 159	20,000 00	20,000 00	18 520	22,920 80	2,920 80		ST
Trade date· Jul 24, 13	513 992	17 510	9,000 00	9,000 00	18 520	9,519 13	519 13		ST
Trade date· Sep 16, 13	276 855	18 059	5,000 00	5,000 00	18 520	5,127 35	127 35		ST
Total reinvested	0 238	18 025		4 29	18 520	4 41	0 12		
EAI $4 Current yield 0 01%									
Security total	2,028 709	16 762	34,000 00	34,004 29		37,571 69	3,567 40	3,571 69	
WELLS FARGO ADVANTAGE									
ABSOLUTE RETURN FUND CL									
A									
Symbol· WARAX									
Trade date· Jan 4, 13	1,917 546	10 429	20,000 00	20,000 00	11 140	21,361 46	1,361 46		ST
Total reinvested	28 441	11 131		316 58	11 140	316 83	0 25		
EAI $315 Current yield 1 45%									
Security total	1,945 987	10 440	20,000 00	20,316 58		21,678 29	1,361 71	1,678 29	
Total			**$79,000.00**	**$79,605.74**		**$85,190.26**	**$5,584.52**	**$6,190.26**	

Total estimated annual income: $607

Your assets (continued)

Commodities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	BOOK VALUE Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
SPDR GOLD TRUST									
Symbol GLD									
Trade date May 18, 11	29 000	144 960	4,203 84	4,203 84	116 120	3,367 48	-836 36		LT
Trade date Jan 11, 12	25 000	159 132	3,978 32	3,978 32	116 120	2,903 00	-1,075 32		LT
	46 000	---This information was unavailable---		4,823.16	116 120	5,341 52			
Security total	100 000	151 521	8,182 16	8,182 16 13,005.32		11,612 00	-1,911 68		

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANZGI INCOME &									
GROWTH FUND CLASS A									
Symbol· AZNAX									
Trade date Jul 24, 13	2,019 386	12 380	25,000 00	25,000 00	12 830	25,908 72	908 72		ST
Total reinvested	71 699	12 497		896 03	12 830	919 90	23 87		

EAI· $732 Current yield 2 73%

continued next page

Your assets › **Other** › **Mutual funds** (continued)

BOOK VALUE

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	2,091 085	12 384	25,000 00	25,896 03		26,828 62	932 59	1,828 62	
FPA CRESCENT FUND									
Symbol· FPACX									
Trade date Sep 16, 13	620.540	32 229	20,000 00	20,000 00	32 960	20,452 99	452 99		ST
Total reinvested	23 033	32 329		744 65	32.960	759 17	14 52		
EAI $167 Current yield 0 79%									
Security total	643 573	32 234	20,000 00	20,744 65		21,212.16	467 51	1,212 16	
INVESCO BALANCED-RISK									
ALLOCATION FUND A									
Symbol ABRZX									
Trade date Jan 11, 12	1,251 043	11 989	15,000 00	15,000 00	11 780	14,737 28	-262 72		LT
Trade date Sep 16, 13	398 724	12 540	5,000 00	5,000 00	11 780	4,696 97	-303 03		ST
Total reinvested	199 322	11 878		2,367 58	11 780	2,348 01	-19 57		
Security total	1,849 089	12 097	20,000 00	22,367 58		21,782 26	-585 32	1,782 26	
PERMANENT PORTFOLIO FUND INC									
Symbol PRPFX									
Trade date May 18, 11	416 493	48 020	20,000 00	20,000 00	43 060	17,934 18	-2,065 82		LT
Total reinvested	50 487	43 639		2,203 22	43 060	2,173 97	-29 25		
EAI· $116 Current yield 0 58%									
Security total	466 980	47 546	20,000 00	22,203 22		20,108 15	-2,095 07	108 15	
PIMCO ALL ASSETS ALL									
AUTH-A									
Symbol· PAUAX									
Trade date· Jan 4, 13	843 294	11 069	9,335 26	9,335 26	9 900	8,348 60	-986.66		ST
Total reinvested	56 231	10 218		574 59	9 900	556 69	-17 90		
EAI $463 Current yield 5 20%									
Security total	899 525	11 017	9,335 26	9,909 85		8,905 29	-1,004 56	-429 97	
Total			**$94,335.26**	**$101,121.33**		**$98,836.48**	**-$2,284.85**	**$4,501.22**	

Total estimated annual income: $1,478

TOTAL HOLDINGS 448,413.80 486,609.83

Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DOUBLE LINE TOTAL RETURN FUND N	FIFO	8 282	Sep 28, 12	Sep 16, 13	89 94	94 33		-4 39	
	FIFO	8 237	Oct 31, 12	Sep 16, 13	89 45	93 57		-4 12	
	FIFO	7 960	Nov 30, 12	Sep 16, 13	86 45	90 35		-3 90	
	FIFO	8 951	Dec 31, 12	Sep 16, 13	97 21	101 41		-4 20	
	FIFO	7 237	Jan 31, 13	Sep 16, 13	78 59	82 00		-3 41	
	FIFO	6 761	Feb 28, 13	Sep 16, 13	73 42	76 74		-3 32	
	FIFO	7 018	Mar 28, 13	Sep 16, 13	76 22	79 51		-3 29	
	FIFO	6 785	Apr 30, 13	Sep 16, 13	73 68 786.37	77 42	819.62	-3 74	<33.25>
	FIFO	7 100	May 31, 13	Sep 16, 13	77 11	79 95		-2 84	
	FIFO	4 079	Aug 30, 13	Sep 16, 13	44 30	44 34		-0 04	
DWS UNCONSTRAINED INCOME FUND CLASS A	FIFO	3,929 273	Jan 04, 13	Sep 16, 13	18,860 51	20,000 00		-1,139 49	
	FIFO	13 687	Jan 24, 13	Sep 16, 13	65 70	69 94		-4 24	
	FIFO	13 820	Feb 21, 13	Sep 16, 13	66 34	69 79		-3 45	
	FIFO	13 919	Mar 21, 13	Sep 16, 13	66 81	70 43		-3 62	
	FIFO	13 859	Apr 23, 13	Sep 16, 13	66 52	70 68		-4 16	
	FIFO	14 018	May 23, 13	Sep 16, 13	67 29	70 93	20,568.09	-3 64	<1,159.02>
	FIFO	14 827	Jun 21, 13	Sep 16, 13	71 17 19,409.07	71 17			
	FIFO	14 700	Jul 24, 13	Sep 16, 13	70 56	71 44		-0 88	
	FIFO	15 453	Aug 23, 13	Sep 16, 13	74 17	73 71			0 46
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	5 562	Sep 17, 12	Sep 16, 13	72 25	74 36		-2 11	
	FIFO	5 546	Oct 15, 12	Sep 16, 13	72 04	74 59		-2 55	
	FIFO	5 570	Nov 15, 12	Sep 16, 13	72 36	74 81		-2 45	
	FIFO	35 986	Dec 17, 12	Sep 16, 13	467 46	476 81		-9 35	

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	0 241	Dec 17, 12	Sep 16, 13	3 13	3 19		-0 06	
	FIFO	24 052	Dec 17, 12	Sep 16, 13	312 43	318 69		-6 26	
	FIFO	5 753	Jan 15, 13	Sep 16, 13	74 73	77 44		-2 71	
	FIFO	5 762	Feb 15, 13	Sep 16, 13	74 85	77 67		-2 82	
	FIFO	5 775	Mar 15, 13	Sep 16, 13	75 02	77 90		-2 88	
	FIFO	5 753	Apr 15, 13	Sep 16, 13	74 73 (609.80	78 13		-3 40	<38.64>
	FIFO	5 724	May 15, 13	Sep 16, 13	74 36	78 36	(648.44	-4 00	
	FIFO	6 008	Jun 17, 13	Sep 16, 13	78 04	78 58		-0 54	
	FIFO	6 045	Jul 15, 13	Sep 16, 13	78 52	78 83		-0 31	
	FIFO	6 149	Aug 15, 13	Sep 16, 13	79 88	79 08			0 80
LORD ABBETT INFLATION FOCUSED FUND CLASS A	FIFO	3 275	Jul 31, 12	Jul 22, 13	47 10	47 68		-0 58	
	FIFO	3 355	Aug 31, 12	Jul 22, 13	48 24	49 02		-0 78	
	FIFO	3 266	Sep 28, 12	Jul 22, 13	46 97	48 17		-1 20	
	FIFO	3 236	Oct 31, 12	Jul 22, 13	46 53	48 38		-1.85	
	FIFO	3 206	Nov 30, 12	Jul 22, 13	46 10	47 83		-1 73	
	FIFO	3 202	Dec 31, 12	Jul 22, 13	46 05	47 77		-1 72	
	FIFO	2 979	Jan 31, 13	Jul 22, 13	42 84	44 63		-1 79	
	FIFO	3 135	Feb 28, 13	Jul 22, 13	45 08	46 71		-1 63	
	FIFO	3 087	Mar 28, 13	Jul 22, 13	44 39	45 90		-1 51	
	FIFO	3 196	Apr 30, 13	Jul 22, 13	45 96 551.88	47 14		-1 18	
	FIFO	3 116	May 31, 13	Jul 22, 13	44 81	45 21	565.66	-0 40	<13.78>
	FIFO	3 325	Jun 28, 13	Jul 22, 13	47 81	47 22			0 59
PIMCO ALL ASSETS ALL AUTH-A	FIFO	963 391	Jan 04, 13	Jul 22, 13	10,000 00	10,664 74		-664 74	
VANGUARD INTERMEDIATE TERM CORPORATE FUND	FIFO	9 156	Jul 31, 12	Jul 22, 13	90 37	95 13		-4 76	
	FIFO	8 963	Aug 31, 12	Jul 22, 13	88 46	93 22		-4 76	
	FIFO	8 516	Sep 28, 12	Jul 22, 13	84.05	89 08		-5 03	
	FIFO	8 641	Oct 31, 12	Jul 22, 13	85 29	90 82		-5 53	

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	8 348	Nov 30, 12	Jul 22, 13	82 39	87 65		-5 26	
	FIFO	9 761	Dec 28, 12	Jul 22, 13	96 35	100 93		-4 58	
	FIFO	35 691	Dec 28, 12	Jul 22, 13	352 27	369 04		-16 77	
	FIFO	8 629	Dec 31, 12	Jul 22, 13	85 16	89 05		-3 89	
	FIFO	8 551	Jan 31, 13	Jul 22, 13	84 40	87 56		-3 16	
	FIFO	7 728	Feb 28, 13	Jul 22, 13	76 28	79 52		-3 24	
	FIFO	8 615	Mar 28, 13	Jul 22, 13	85 03	87 79		-2 76	
	FIFO	3 797	Mar 28, 13	Jul 22, 13	37 47	38 69		-1 22	
	FIFO	23 100	Mar 28, 13	Jul 22, 13	228 00	235 39		-7 39	
	FIFO	8 181	Apr 30, 13	Jul 22, 13	80 75	84 18		-3 43	
	FIFO	8 556	May 31, 13	Jul 22, 13	84 45	86 16		-1 71	
	FIFO	8 505	Jun 28, 13	Jul 22, 13	83 94	83 18			0 76
Total					**$34,081.78**	**$36,063.94**		**-$1,984.77**	**$2.61**
Net short-term capital gains and losses								**-$1,982.16**	

1,724.66 1,797.39 (72.73)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DOUBLE LINE TOTAL RETURN FUND N	Adjustment	903 342	Jan 11, 12	Jul 22, 13	9,981 93	9,999 77	-0 23	-17 84	
	FIFO	1 635	Jan 31, 12	Jul 22, 13	18 07	18 20		-0 13	
	FIFO	3 592	Jan 31, 12	Sep 16, 13	39 01	39 98		-0 97	
	FIFO	4 500	Feb 29, 12	Sep 16, 13	48 87	50 27		-1 40	
	FIFO	4 797	Mar 30, 12	Sep 16, 13	52 09	53 53		-1 44	
	FIFO	4 463	Apr 30, 12	Sep 16, 13	48 47	49 99		-1 52	
	FIFO	891 266	May 08, 12	Sep 16, 13	9,679 15	10,000 00		-320 85	
	FIFO	9 252	May 31, 12	Sep 16, 13	100 48	103 53		-3 05	
	FIFO	9 055	Jun 29, 12	Sep 16, 13	98 34	101 23		-2 89	
	FIFO	8 776	Jul 31, 12	Sep 16, 13	95 30	98 82		-3 52	
	FIFO	8 989	Aug 31, 12	Sep 16, 13	97 63	101 85		-4 22	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	15 446	Oct 01, 10	Jul 22, 13	152 45	160 48		-8 03	
	FIFO	15 819	Nov 01, 10	Jul 22, 13	156 14	164 99		-8 85	
	FIFO	15 189	Dec 01, 10	Jul 22, 13	149 91	156 29		-6 38	
	FIFO	80 236	Dec 31, 10	Jul 22, 13	791 93	792 73		-0 80	
	FIFO	16 251	Jan 03, 11	Jul 22, 13	160 40	161 21		-0 81	
	FIFO	16 158	Feb 01, 11	Jul 22, 13	159 48	160 61		-1 13	
	FIFO	14 682	Mar 02, 11	Jul 22, 13	144 91	145 94		-1 03	
	FIFO	36 802	Mar 23, 11	Jul 22, 13	363 23	363 61		-0 38	
	FIFO	16 400	Apr 01, 11	Jul 22, 13	161 87	160 88			0 99
	FIFO	5 924	Apr 11, 11	Jul 22, 13	58 47	58 06			0 41
	FIFO	10 260	Jan 31, 12	Jul 22, 13	101 27	104 45		-3 18	
	FIFO	9 378	Feb 29, 12	Jul 22, 13	92 56	95 75		-3 19	
	FIFO	10 042	Mar 30, 12	Jul 22, 13	99 11	101 22		-2 11	
	FIFO	4 245	Mar 30, 12	Jul 22, 13	41 90	42 79		-0 89	
	FIFO	11 219	Mar 30, 12	Jul 22, 13	110 73	113 09		-2 36	
	FIFO	7 115	Apr 20, 12	Jul 22, 13	70 23	72 15		-1 92	
					30,701.51				
	FIFO	2 555	Apr 30, 12	Jul 22, 13	25 22	25 98		-0 76	
							27,363.19		3,338.32
	FIFO	0 008	Apr 30, 12	Jul 22, 13	0 08	0 08			
	FIFO	9 775	May 31, 12	Jul 22, 13	96 47	99.61		-3 14	
	FIFO	9 142	Jun 29, 12	Jul 22, 13	90 24	93 34		-3 10	
Total					**$119,754.16**	**$118,580.98**		**-$2,361.13**	**$3,534.31**
Net long-term capital gains or losses									**$1,173.18**
Net capital gains/losses:								**-$808.98**	

Gains and losses not calculated (UT)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ISHARES TIPS BOND ETF	FIFO	175 000	Apr 13, 11	Jul 22, 13	19,837 86	0 00		---This information was unavailable---	
						17,508.48		2,329.38	