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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

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OMB No 1545-0052





2013

Open to Public Inspection


For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE CURTIS W MCGRAW FOUNDATION		A Employer identification number  22-1761678	
% ROCKEFELLER & CO		B Telephone number (see instructions)	
Number and street (or P O box number if mail is not delivered to street address) C/O ROCKEFELLER CO 30 ROCKEFELLER PLAZA Suite 5600		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10112		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 26,226,894		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	102,214	102,214		
	4 Dividends and interest from securities. . . . .	341,290	341,290		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,783,648			
	b Gross sales price for all assets on line 6a 10,508,570				
	7 Capital gain net income (from Part IV, line 2) . . .		1,783,648		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	2,227,152	2,227,152		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	9,635	6,423	0	3,212
	b Accounting fees (attach schedule) . . . . .	12,300	8,200	0	4,100
	c Other professional fees (attach schedule) . . . . .	129,084	129,084		
	17 Interest . . . . .	91			91
	18 Taxes (attach schedule) (see instructions)	57,470	22,577		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	44,684	40,737		3,684
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	253,264	207,021	0	11,087
	25 Contributions, gifts, grants paid . . . . .	1,121,730			1,121,730
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	1,374,994	207,021	0	1,132,817
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	852,158			
	b <b>Net investment income</b> (if negative, enter -0-)		2,020,131		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	209,346	717,357	717,357
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ 11,800			
		Less allowance for doubtful accounts ▶	0	11,800	11,800
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	2,473,847	 2,006,349	1,993,691
	b	Investments—corporate stock (attach schedule) . . . . .	10,407,977	 10,566,942	16,413,036
	c	Investments—corporate bonds (attach schedule). . . . .	3,139,042	 3,216,265	3,225,626
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .	1,176,868	 1,596,732	3,865,384	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	17,407,080	18,115,445	26,226,894	
Liabilities	17	Accounts payable and accrued expenses . . . . .	38,881	0	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22) . . . . .	38,881	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	17,368,199	18,115,445	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	17,368,199	18,115,445	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	17,407,080	18,115,445		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	17,368,199
2	Enter amount from Part I, line 27a . . . . .	2	852,158
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3 . . . . .	4	18,220,357
5	Decreases not included in line 2 (itemize) ▶ 	5	104,912
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	18,115,445

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,783,648
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,139,970	21,545,323	0 05291
2011	1,133,241	21,394,416	0 052969
2010	1,110,999	20,284,506	0 054771
2009	1,030,564	18,092,944	0 056959
2008	1,137,556	22,197,166	0 051248

2	Total of line 1, column (d). . . . .	2	0 268857
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 053771
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	24,115,601
5	Multiply line 4 by line 3. . . . .	5	1,296,720
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	20,201
7	Add lines 5 and 6. . . . .	7	1,316,921
8	Enter qualifying distributions from Part XII, line 4. . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,132,817

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	40,403
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2. . . . .	3	40,403
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	40,403
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	23,000
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	23,000
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	153
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	17,556
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	1b		No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?. . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶NA				
14	The books are in care of ▶ROCKEFELLER & CO Telephone no ▶(212) 549-5100			
	Located at ▶30 ROCKEFELLER PLAZA ROOM 5600 NEW YORK NY ZIP +4 ▶10112			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ROCKEFELLER & CO	INVESTMENT MGMT/ACTG	0
30 ROCKEFELLER PLAZA ROOM 5600 NEW YORK, NY 10112		

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> NONE	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> NONE	
<b>2</b>	
All other program-related investments See page 24 of the instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . 



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	20,964,313
b	Average of monthly cash balances. . . . .	1b	576,492
c	Fair market value of all other assets (see instructions). . . . .	1c	2,942,039
d	Total (add lines 1a, b, and c). . . . .	1d	24,482,844
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	24,482,844
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	367,243
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	24,115,601
6	Minimum investment return. Enter 5% of line 5. . . . .	6	1,205,780

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	1,205,780
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	40,403
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	40,403
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	1,165,377
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	1,165,377
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	1,165,377

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	1,132,817
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,132,817
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	1,132,817
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,165,377
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				37,310
b From 2009.				129,821
c From 2010.				118,436
d From 2011.				84,866
e From 2012.				93,221
f Total of lines 3a through e.	463,654			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,132,817				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				1,132,817
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	32,560			32,560
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	431,094			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	4,750			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	426,344			
10 Analysis of line 9				
a Excess from 2009.				129,821
b Excess from 2010.				118,436
c Excess from 2011.				84,866
d Excess from 2012.				93,221
e Excess from 2013.				

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

		Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b>	85% of line 2a . . . . .					
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon					
<b>a</b>	"Assets" alternative test—enter					
<b>(1)</b>	Value of all assets . . . . .					
<b>(2)</b>	Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b>	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b>	"Support" alternative test—enter					
<b>(1)</b>	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b>	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b>	Largest amount of support from an exempt organization					
<b>(4)</b>	Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

ELIZABETH M WEBSTER

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	1,121,730
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
250 SH ADVANCE AUTO PARTS, INC	P	2012-08-29	2013-04-16
120 SH ADVANCE AUTO PARTS, INC	P	2012-08-29	2013-04-19
80 SH AFLAC, INC	P	2012-04-26	2013-01-15
400 SH AFLAC, INC	P	2012-04-27	2013-01-15
380 SH AFLAC, INC	P	2012-08-21	2013-01-15
280 SH AFLAC, INC	P	2012-04-27	2013-01-16
2000 SH AMADA CO LTD	P	2013-01-07	2013-09-26
1000 SH AMADA CO LTD	P	2013-01-07	2013-11-29
88000 SH ANHEUSER-BUSCH 7 75% 01/15/19	P	2013-08-06	2013-08-28
40 SH ARCOS DORADOS HOLDINGS, INC	P	2013-01-07	2013-08-28
460 SH ARCOS DORADOS HOLDINGS, INC	P	2013-01-07	2013-09-26
120 SH ARCOS DORADOS HOLDINGS, INC	P	2013-01-07	2013-11-27
212 SH ARCOS DORADOS HOLDINGS, INC	P	2013-01-09	2013-11-27
30 SH BAKER HUGHES INCORPORATED	P	2013-07-11	2013-08-28
170 SH BAKER HUGHES INCORPORATED	P	2013-07-11	2013-11-27
97 SH BAKER HUGHES INCORPORATED	P	2013-07-12	2013-11-27
20 SH CAPITAL ONE FINANCIAL CORP	P	2013-03-13	2013-08-28
181 SH CAPITAL ONE FINANCIAL CORP	P	2013-03-13	2013-11-27
30 SH CHINA MOBILE (HONG KONG) LTD	P	2013-06-17	2013-08-28
190 SH CHINA MOBILE (HONG KONG) LTD	P	2013-06-14	2013-09-26
470 SH CHINA MOBILE (HONG KONG) LTD	P	2013-06-17	2013-09-26
471 SH CHINA MOBILE (HONG KONG) LTD	P	2013-06-14	2013-11-27
30 SH COACH, INC	P	2013-08-08	2013-08-28
303 SH COACH, INC	P	2013-08-08	2013-11-27
97000 SH COMCAST CORP 5 70% 05/15/2018	P	2013-02-14	2013-08-28
10 SH COPA HOLDINGS SA CL A	P	2013-07-22	2013-08-28
100 SH COPA HOLDINGS SA CL A	P	2013-07-22	2013-09-26
20 SH COPA HOLDINGS SA CL A	P	2013-07-22	2013-11-27
52 SH COPA HOLDINGS SA CL A	P	2013-07-23	2013-11-27
40 SH DELPHI AUTOMOTIVE PLC	P	2012-11-02	2013-08-28

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70 SH DNB HOLDING ASA	P	2013-05-08	2013-08-29
800 SH DNB HOLDING ASA	P	2013-05-08	2013-09-26
829 SH DNB HOLDING ASA	P	2013-10-30	2013-11-29
40000 SH DOMINION RES 8 875% 01/15/19	P	2013-01-22	2013-08-28
85000 SH DOMINION RES 8 875% 01/15/19	P	2013-07-25	2013-08-28
20 SH DOVER CORP	P	2012-11-19	2013-08-28
30 SH EXPEDIA, INC	P	2013-07-18	2013-08-28
228 SH EXPEDIA, INC	P	2013-07-18	2013-11-27
24000 SH FEDEX CORP NTS, 8 0% 01/15/19	P	2013-01-23	2013-12-13
40000 SH FEDEX CORP NTS, 8 0% 01/15/19	P	2013-01-29	2013-12-13
20 SH FEDEX CORPORATION	P	2012-09-21	2013-08-28
100000 SH GE CAP CORP 5 625% 05/01/18	P	2012-08-22	2013-03-15
100000 SH GOLDMAN SACHS 6 15% 4/1/18	P	2012-08-29	2013-08-28
10 SH GOLDMAN SACHS GROUP	P	2012-10-16	2013-08-28
70 SH GOOGLE INC - CLASS A	P	2013-10-10	2013-10-28
22 SH GOOGLE INC - CLASS A	P	2013-10-10	2013-10-29
50 SH HYUNDAI MOTOR COMPANY LTD PFD	P	2012-12-13	2013-09-26
177 SH INCYTE CORPORATION	P	2013-11-04	2013-11-27
220 SH ING GROEP	P	2013-08-26	2013-08-28
70 SH ING GROEP	P	2013-08-22	2013-09-26
2370 SH ING GROEP	P	2013-08-26	2013-09-26
1182 SH ING GROEP	P	2013-08-22	2013-11-29
560 SH ING GROEP	P	2013-08-22	2013-11-29
4000 SH KALBE FARMA TBK PT	P	2013-05-21	2013-08-29
42500 SH KALBE FARMA TBK PT	P	2013-05-21	2013-09-26
30500 SH KALBE FARMA TBK PT	P	2013-05-21	2013-11-29
480 SH LIBERTY GLOBAL PLC - A	P	2012-12-13	2013-06-14
0 252 SH LIBERTY GLOBAL PLC - A	P	2012-12-13	2013-07-03
358 SH LIBERTY GLOBAL, PLC - SER C	P	2012-12-13	2013-06-14
0 608 SH LIBERTY GLOBAL, PLC - SER C	P	2012-12-13	2013-07-05



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0 75 SH MALLINCKRODT PLC	P	2013-06-17	2013-07-29
20 SH MALLINCKRODT PLC	P	2013-06-17	2013-08-28
169 25 SH MALLINCKRODT PLC	P	2013-06-17	2013-11-27
39 75 SH MALLINCKRODT PLC	P	2013-06-28	2013-11-27
409 SH MERCK & CO INC	P	2013-10-03	2013-11-27
150 SH MSCI INC	P	2012-10-02	2013-07-12
50 SH MSCI INC	P	2012-10-03	2013-07-12
650 SH MSCI INC	P	2012-10-02	2013-07-15
570 SH MSCI INC	P	2012-10-02	2013-07-16
520 SH MSCI INC	P	2012-10-02	2013-07-17
520 SH MSCI INC	P	2012-10-02	2013-07-18
290 SH MSCI INC	P	2012-10-02	2013-07-19
20 SH MSCI INC	P	2012-10-15	2013-07-19
400 SH MURATA MANUFACTURING COMPANY,	P	2013-02-06	2013-09-26
200 SH MURATA MANUFACTURING COMPANY,	P	2013-02-05	2013-11-29
100 SH MURATA MANUFACTURING COMPANY,	P	2013-02-06	2013-11-29
1000 SH NGK SPARK PLUG CO , LTD	P	2013-06-19	2013-09-26
512 SH OPHIR ENERGY PLC - NIL PAID RT	P	2012-05-03	2013-03-15
408 SH OPHIR ENERGY PLC - NIL PAID RT	P	2012-05-04	2013-03-15
370 SH PHARMACYCLICS INC	P	2012-06-18	2013-03-12
330 SH PHARMACYCLICS INC	P	2012-06-19	2013-03-12
888 8 SH PICC PROP & CAS RT EXP 6/13/13	P	2012-11-05	2013-06-11
1166 SH PICC PROP & CAS RT EXP 6/13/13	P	2012-11-06	2013-06-11
1768 8 SH PICC PROP & CAS RT EXP 6/13/13	P	2012-11-12	2013-06-11
1029 6 SH PICC PROP & CAS RT EXP 6/13/13	P	2012-11-15	2013-06-11
894 3 SH PICC PROP & CAS RT EXP 6/13/13	P	2012-11-16	2013-06-11
1372 8 SH PICC PROP & CAS RT EXP 6/13/13	P	2012-11-19	2013-06-11
1496 SH PICC PROP & CAS RT EXP 6/13/13	P	2012-11-27	2013-06-11
1980 SH PICC PROP & CAS RT EXP 6/13/13	P	2013-02-04	2013-06-11
1320 SH PICC PROP & CAS RT EXP 6/13/13	P	2013-02-05	2013-06-11

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1320 SH PICC PROP & CAS RT EXP 6/13/13	P	2013-02-06	2013-06-11
2060 7 SH PICC PROP & CAS RT EXP 6/13/13	P	2012-11-13	2013-06-11
2000 SH PICC PROP & CASUALTY CO LTD	P	2013-02-04	2013-08-29
14000 SH PICC PROP & CASUALTY CO LTD	P	2013-02-04	2013-09-26
2000 SH PICC PROP & CASUALTY CO LTD	P	2013-02-04	2013-11-29
8000 SH PICC PROP & CASUALTY CO LTD	P	2013-02-06	2013-11-29
300 SH QUALCOMM INC	P	2013-04-25	2013-08-15
590 SH QUALCOMM INC	P	2013-04-25	2013-08-19
20 SH REINSURANCE GROUP OF AMERICA,	P	2013-06-11	2013-08-28
249 SH REINSURANCE GROUP OF AMERICA,	P	2013-10-29	2013-11-27
70 SH ROYAL CARIBBEAN CRUISES LTD	P	2013-04-18	2013-08-28
596 SH ROYAL CARIBBEAN CRUISES LTD	P	2013-04-18	2013-11-27
0 1088 SH ROYAL DUTCH SHELL PLC-ADR A	P	2013-09-26	2013-10-08
47 SH ROYAL DUTCH SHELL PLC-ADR A	P	2013-09-26	2013-11-27
30 SH SAMSUNG ELECTRONICS CO , PFD	P	2013-08-19	2013-09-26
10 SH SAMSUNG ELECTRONICS CO , PFD	P	2013-08-26	2013-09-26
10 SH SAMSUNG ELECTRONICS CO , PFD	P	2013-08-19	2013-11-13
11 SH SAMSUNG ELECTRONICS CO , PFD	P	2013-08-23	2013-11-13
19 SH SAMSUNG ELECTRONICS CO , PFD	P	2013-08-23	2013-11-15
23 SH SAMSUNG ELECTRONICS CO , PFD	P	2013-08-20	2013-11-18
17 SH SAMSUNG ELECTRONICS CO , PFD	P	2013-08-20	2013-11-29
110 SH SBERBANK - SPONSORED ADR	P	2013-03-12	2013-08-29
1240 SH SBERBANK - SPONSORED ADR	P	2013-03-12	2013-09-26
480 SH SBERBANK - SPONSORED ADR	P	2013-03-12	2013-11-29
401 SH SBERBANK - SPONSORED ADR	P	2013-04-10	2013-11-29
20 SH SEATTLE GENETICS, INC	P	2013-01-22	2013-08-28
174 SH SEATTLE GENETICS, INC	P	2013-01-22	2013-11-27
100 SH SMC CORPORATION	P	2012-12-21	2013-09-26
261 SH ST JUDE MEDICAL	P	2013-10-04	2013-11-27
70 SH SUBSEA 7 SA	P	2013-07-17	2013-08-29

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770 SH SUBSEA 7 SA	P	2013-07-17	2013-09-26
546 SH SUBSEA 7 SA	P	2013-07-17	2013-11-29
7 SH TORONTO-DOMINION BANK	P	2013-10-29	2013-11-27
220 SH TORONTO-DOMINION BANK	P	2013-10-31	2013-11-27
339 SH UNITED CONTINENTAL HLD INC	P	2013-11-11	2013-11-27
301 SH UNITEDHEALTH GROUP INC	P	2013-11-04	2013-11-27
182000 SH US TREAS INF IX 1 625% 1/15/15	P	2013-02-13	2013-11-05
97000 SH US TREAS INF IX 1 625% 1/15/15	P	2013-01-16	2013-11-05
370000 SH US TREAS INF IX 1 875% 7/15/13	P	2012-08-09	2013-07-15
85000 SH US TREAS INFL 2 125% 01/15/19	P	2012-09-17	2013-06-12
130000 SH US TREAS INFL 2 625% 7/15/17	P	2012-09-17	2013-06-12
5000 SH US TREAS N/B 2 625% 11/15/20	P	2013-09-04	2013-10-01
125000 SH US TREASURY 1 75% 05/15/23	P	2013-07-11	2013-10-24
94000 SH US TREASURY TIPS 1 25% 4/15/14	P	2012-12-06	2013-11-05
14000 SH US TRES INFL 2 5% 07/15/2016	P	2012-12-11	2013-02-14
32000 SH US TRES INFL 2 5% 07/15/2016	P	2013-01-16	2013-02-14
45000 SH US TRES INFL 2 5% 07/15/2016	P	2012-08-09	2013-07-11
127000 SH US TRES INFL 2 5% 07/15/2016	P	2012-12-11	2013-07-11
960 SH VIRGIN MEDIA INC	P	2012-12-13	2013-02-12
100 SH VODAFONE GROUP PLC - SP ADR	P	2013-03-12	2013-08-28
720 SH VODAFONE GROUP PLC - SP ADR	P	2013-03-12	2013-09-26
1030 SH VODAFONE GROUP PLC - SP ADR	P	2013-03-12	2013-10-03
63000 SH WACHOVIA 6% 11/15/2017	P	2012-12-20	2013-07-16
20 SH YUM! BRANDS, INC	P	2013-04-22	2013-08-28
185 SH YUM! BRANDS, INC	P	2013-04-22	2013-11-27
FOREGIN EXCHANGE LOSS	P		
MERGER GAIN - VIRGIN MEDIA INC	P		
90 SH ADVANCE AUTO PARTS, INC	P	2009-11-04	2013-04-19
210 SH ADVANCE AUTO PARTS, INC	P	2009-11-04	2013-04-19
200 SH ADVANCE AUTO PARTS, INC	P	2009-11-04	2013-04-22

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1010 SH ADVANCE AUTO PARTS, INC	P	2009-11-04	2013-04-23
540 SH ADVANCE AUTO PARTS, INC	P	2009-11-04	2013-04-25
10 SH ADVANCE AUTO PARTS, INC	P	2009-11-03	2013-04-26
290 SH AFLAC, INC	P	2009-11-04	2013-04-26
960 SH AFLAC, INC	P	2011-12-06	2013-01-16
210 SH AFLAC, INC	P	2011-12-06	2013-01-17
280 SH AFLAC, INC	P	2011-10-12	2013-06-11
710 SH AFLAC, INC	P	2011-12-06	2013-06-11
30 SH AFLAC, INC	P	2011-10-12	2013-06-12
274 SH AFLAC, INC	P	2011-10-12	2013-08-28
10 SH ALEXION PHARMACEUTICALS, INC	P	2011-10-12	2013-11-27
310 SH ALEXION PHARMACEUTICALS, INC	P	2009-10-15	2013-08-28
70 SH ALEXION PHARMACEUTICALS, INC	P	2009-10-15	2013-11-04
58 SH ALEXION PHARMACEUTICALS, INC	P	2009-10-15	2013-11-05
20 SH ANADARKO PETROLEUM CORPORATION	P	2009-10-15	2013-11-27
202 SH ANADARKO PETROLEUM CORPORATION	P	2009-10-15	2013-08-28
20 SH APACHE CORPORATION	P	2009-10-15	2013-11-27
149 SH APACHE CORPORATION	P	2010-06-23	2013-08-28
60 SH APACHE CORPORATION	P	2010-06-04	2013-11-27
20 SH BIOMARIN PHARMACEUTICAL INC	P	2010-06-23	2013-11-27
137 SH BIOMARIN PHARMACEUTICAL INC	P	2008-10-08	2013-08-28
20 SH BOSKALIS WESTMINSTER - CVA	P	2008-10-08	2013-11-27
250 SH BOSKALIS WESTMINSTER - CVA	P	2007-05-16	2013-08-28
61 SH BOSKALIS WESTMINSTER - CVA	P	2007-05-16	2013-09-26
120 SH BOSKALIS WESTMINSTER - CVA	P	2007-05-15	2013-11-29
60 SH CAREFUSION CORPORATION	P	2007-05-21	2013-11-29
270 SH CAREFUSION CORPORATION	P	2010-11-04	2013-08-28
220 SH CAREFUSION CORPORATION	P	2010-11-03	2013-11-27
50000 SH CATERPILLAR INC 5 7% 8/15/16	P	2010-11-04	2013-11-27
430 SH CENTRICA PLC	P	2011-07-08	2013-02-22

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1850 SH CENTRICA PLC	P	2011-05-10	2013-08-28
3632 SH CENTRICA PLC	P	2011-05-10	2013-09-26
20 SH CHEVRON CORPORATION	P	2011-05-10	2013-11-29
152 SH CHEVRON CORPORATION	P	2003-03-28	2013-08-28
5000 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2003-03-28	2013-11-27
6880 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-04	2013-04-02
120 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-05	2013-04-02
5790 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-05	2013-04-03
3000 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-13	2013-04-03
3000 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-14	2013-04-03
210 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-12	2013-04-11
6830 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-13	2013-04-11
4700 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-11-24	2013-04-11
5000 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-06	2013-04-12
2170 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-17	2013-04-12
5930 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-11-24	2013-04-12
1370 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-06	2013-04-15
1000 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-06	2013-04-17
4570 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-05-18	2013-04-17
2000 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-11-26	2013-04-17
8430 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-11-29	2013-04-17
1000 SH CHINA AGRI-INDUSTRIES HOLDINGS	P	2010-11-26	2013-04-18
30 SH CHINA MOBILE (HONG KONG) LTD	P	2011-07-05	2013-04-18
50000 SH COMCAST CORP NOTES, 5 300%	P	2012-08-21	2013-08-28
30 SH COMCAST CORPORATION - CL A	P	2011-06-24	2013-02-14
240 SH COMCAST CORPORATION - CL A	P	2009-03-16	2013-08-28
20 SH COMERICA INCORPORATED	P	2009-03-16	2013-11-27
195 SH COMERICA INCORPORATED	P	2010-03-16	2013-08-28
20 SH CONTINENTAL RESOURCES, INC	P	2010-03-16	2013-11-27
156 SH CONTINENTAL RESOURCES, INC	P	2009-07-08	2013-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
20 SH COVIDIEN PLC	P	2009-07-08	2013-11-27
820 SH COVIDIEN PLC	P	2008-11-25	2013-08-28
570 SH COVIDIEN PLC	P	2008-11-25	2013-10-03
500 SH COVIDIEN PLC	P	2008-11-25	2013-10-04
540 SH COVIDIEN PLC	P	2008-11-26	2013-10-04
110 SH COVIDIEN PLC	P	2008-11-28	2013-10-04
670 SH COVIDIEN PLC	P	2009-03-13	2013-10-04
341 SH DELPHI AUTOMOTIVE PLC	P	2009-03-13	2013-10-07
141 SH DOVER CORP	P	2012-11-02	2013-11-27
50000 SH E I DU PONT, 6% 07/15/18	P	2012-11-19	2013-11-27
200000 SH FED HOME LOAN BANK 5% 11/17/17	P	2008-10-27	2013-02-22
40000 SH FEDEX CORP NTS, 8 0% 01/15/19	P	2011-06-22	2013-02-13
35000 SH FEDEX CORP NTS, 8 0% 01/15/19	P	2011-12-05	2013-12-13
740 SH FEDEX CORPORATION	P	2012-01-26	2013-12-13
381 SH FEDEX CORPORATION	P	2012-09-21	2013-10-31
310 SH FEDEX CORPORATION	P	2010-02-09	2013-11-08
270 SH FEDEX CORPORATION	P	2012-09-21	2013-11-08
880 SH FEDEX CORPORATION	P	2009-04-22	2013-11-11
449 SH FEDEX CORPORATION	P	2009-04-23	2013-11-11
150000 SH FNMA 5 375 % 06/12/17	P	2010-02-09	2013-11-11
10000 SH FREDDIE MAC 1 375% 02/25/14	P	2011-11-16	2013-04-17
100000 SH GENERAL MILLS 5 7% 02/15/17	P	2011-08-02	2013-05-09
69 SH GOLDMAN SACHS GROUP	P	2011-07-08	2013-01-16
10 SH GOOGLE INC - CLASS A	P	2012-10-16	2013-11-27
78 SH GOOGLE INC - CLASS A	P	2007-11-05	2013-08-28
17 SH GOOGLE INC - CLASS A	P	2007-11-05	2013-10-29
23 SH GOOGLE INC - CLASS A	P	2007-11-05	2013-11-27
710 SH HEWLETT-PACKARD COMPANY	P	2012-10-18	2013-11-27
540 SH HEWLETT-PACKARD COMPANY	P	2010-09-27	2013-08-08
3660 SH HEWLETT-PACKARD COMPANY	P	2011-02-24	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
180 SH HEWLETT-PACKARD COMPANY	P	2010-09-27	2013-08-09
1770 SH HEWLETT-PACKARD COMPANY	P	2011-07-01	2013-08-09
910 SH HEWLETT-PACKARD COMPANY	P	2011-08-19	2013-08-09
50000 SH HONEYWELL 5 0% DUE 2/15/19	P	2011-08-22	2013-08-09
30 SH HONEYWELL INC	P	2011-07-18	2013-01-23
274 SH HONEYWELL INC	P	2005-12-20	2013-08-28
10 SH HONEYWELL INC	P	2005-12-19	2013-11-27
20 SH HYUNDAI MOTOR CO ,LTD - 2ND	P	2005-12-20	2013-11-27
170 SH HYUNDAI MOTOR CO ,LTD - 2ND	P	2011-04-29	2013-08-29
20 SH HYUNDAI MOTOR CO ,LTD - 2ND	P	2011-04-29	2013-09-26
130 SH HYUNDAI MOTOR CO ,LTD - 2ND	P	2011-04-28	2013-11-29
60 SH J P MORGAN CHASE & CO	P	2011-04-29	2013-11-29
513 SH J P MORGAN CHASE & CO	P	2012-08-21	2013-08-28
30 SH JOHNSON MATTHEY PLC	P	2012-08-21	2013-11-27
91 3634 SH JOHNSON MATTHEY PLC	P	2006-10-30	2013-08-28
208 6366 SH JOHNSON MATTHEY PLC	P	2006-10-30	2013-09-26
210 SH JOHNSON MATTHEY PLC	P	2008-09-25	2013-09-26
125000 SH KEYCORP 5 1% DUE 3/24/21	P	2008-09-25	2013-11-29
90 SH KOREA ELECTRIC POWER CORP	P	2012-03-21	2013-11-20
990 SH KOREA ELECTRIC POWER CORP	P	2011-06-24	2013-08-29
390 SH KOREA ELECTRIC POWER CORP	P	2011-06-24	2013-09-26
40 SH KOREA ELECTRIC POWER CORP	P	2010-09-09	2013-11-29
280 SH KOREA ELECTRIC POWER CORP	P	2010-11-24	2013-11-29
60 SH LG ELECTRONICS INC - PFD	P	2011-06-24	2013-11-29
110 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-08-23
70 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-08-26
110 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-08-29
80 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-08-30
100 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-04
60 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
100 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-06
110 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-09
110 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-10
120 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-11
110 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-12
30 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-13
60 SH LG ELECTRONICS INC - PFD	P	2011-03-09	2013-09-16
110 SH LG ELECTRONICS INC - PFD	P	2011-03-15	2013-09-16
150 SH LG ELECTRONICS INC - PFD	P	2011-03-15	2013-09-17
50 SH LG ELECTRONICS INC - PFD	P	2011-03-15	2013-09-23
26 SH MASTERCARD INC - CLASS A	P	2011-03-15	2013-09-24
189 SH MASTERCARD INC - CLASS A	P	2008-09-30	2013-11-27
170 SH MASTERCARD INC - CLASS A	P	2008-09-30	2013-12-24
425 SH MCGRAW HILL FINANCIAL INC	P	2008-10-13	2013-12-24
30 SH MERCK & CO INC	P	2001-01-01	2013-11-27
100 SH MICROSOFT CORP	P	2012-01-05	2013-08-28
790 SH MICROSOFT CORP	P	2007-08-07	2013-08-28
92 SH MICROSOFT CORP	P	2007-08-07	2013-11-27
290 SH MSCI INC	P	2012-11-08	2013-11-27
80 SH MSCI INC	P	2010-12-07	2013-07-08
340 SH MSCI INC	P	2010-12-08	2013-07-08
30 SH MSCI INC	P	2010-12-07	2013-07-09
490 SH MSCI INC	P	2010-12-07	2013-07-10
140 SH MSCI INC	P	2010-12-09	2013-07-10
210 SH MSCI INC	P	2010-12-09	2013-07-11
170 SH MSCI INC	P	2010-12-10	2013-07-11
320 SH MSCI INC	P	2010-12-13	2013-07-11
30 SH NETAPP, INC	P	2010-12-10	2013-07-12
268 SH NETAPP, INC	P	2011-09-08	2013-08-28
40 SH NOVARTIS AG - ADR	P	2011-09-08	2013-11-27



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
210 SH NOVARTIS AG - ADR	P	2011-07-01	2013-08-28
327 SH NOVARTIS AG - ADR	P	2011-07-01	2013-09-26
75000 SH NY NASSAU CNTY 1 976% 11/15/19	P	2011-07-01	2013-11-27
50000 SH NY TAXABLE 3 397% 10/01/15	P	2012-10-25	2013-12-16
10 SH OCEANEERING INTERNATIONAL, INC	P	2011-11-10	2013-05-09
119 SH OCEANEERING INTERNATIONAL, INC	P	2010-05-18	2013-08-28
660 SH OPHIR ENERGY PLC	P	2010-05-18	2013-11-27
620 SH OPHIR ENERGY PLC	P	2012-05-03	2013-05-24
1020 SH OPHIR ENERGY PLC	P	2012-05-03	2013-05-28
40 SH ORACLE CORPORATION	P	2012-05-04	2013-05-28
342 SH ORACLE CORPORATION	P	2012-01-24	2013-08-28
150000 SH PA BUILD AMERICA 4 75% 7/15/22	P	2012-01-24	2013-11-27
2040 SH PEPSICO, INC	P	2011-10-20	2013-02-27
1030 SH PEPSICO, INC	P	2008-12-17	2013-03-12
570 SH PEPSICO, INC	P	2009-02-02	2013-03-12
90 SH PRUDENTIAL PLC	P	2009-02-02	2013-03-13
970 SH PRUDENTIAL PLC	P	2011-11-08	2013-08-28
693 SH PRUDENTIAL PLC	P	2011-11-08	2013-09-26
210 SH QUALCOMM INC	P	2011-11-08	2013-11-29
30 SH QUALCOMM INC	P	2010-02-09	2013-08-19
244 SH QUALCOMM INC	P	2010-02-09	2013-08-28
590 SH QUEST DIAGNOSTICS INCORPORATED	P	2010-02-09	2013-11-27
370 SH QUEST DIAGNOSTICS INCORPORATED	P	2011-03-08	2013-01-31
1100 SH QUEST DIAGNOSTICS INCORPORATED	P	2011-07-21	2013-01-31
10 SH REGENERON PHARMACEUTICALS	P	2011-03-08	2013-02-01
260 SH REGENERON PHARMACEUTICALS	P	2010-12-02	2013-08-28
90 SH REGENERON PHARMACEUTICALS	P	2010-12-02	2013-11-04
25 SH REGENERON PHARMACEUTICALS	P	2010-12-02	2013-11-05
10 SH ROCHE HOLDINGS AG	P	2010-12-02	2013-11-27
90 SH ROCHE HOLDINGS AG	P	2012-04-12	2013-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
66 SH ROCHE HOLDINGS AG	P	2012-04-12	2013-09-26
30 SH ROYAL DUTCH SHELL PLC-ADR A	P	2012-04-12	2013-11-29
158 SH ROYAL DUTCH SHELL PLC-ADR A	P	2011-07-01	2013-08-28
89 7267 SH ROYAL DUTCH SHELL PLC-ADR A	P	2009-01-05	2013-09-26
42 2733 SH ROYAL DUTCH SHELL PLC-ADR A	P	2011-07-01	2013-09-26
161 SH ROYAL DUTCH SHELL PLC-ADR A	P	2011-09-19	2013-09-26
40 SH SAFRAN SA	P	2009-01-05	2013-11-27
260 SH SAFRAN SA	P	2011-11-30	2013-08-28
358 SH SAFRAN SA	P	2011-11-30	2013-09-26
4 SH SAMSUNG ELECTRONICS CO , PFD	P	2011-11-30	2013-11-29
1910 SH SANTOS LIMITED	P	2012-06-15	2013-11-29
1540 SH SANTOS LIMITED	P	2011-03-09	2013-08-22
1390 SH SANTOS LIMITED	P	2011-03-09	2013-08-23
250 SH SANTOS LIMITED	P	2011-03-10	2013-08-23
3270 SH SANTOS LIMITED	P	2011-07-01	2013-08-23
500 SH SEMEN GRESIK (PERSERO ) TBK	P	2011-03-10	2013-08-26
5000 SH SEMEN GRESIK (PERSERO ) TBK	P	2011-03-24	2013-08-29
3500 SH SEMEN GRESIK (PERSERO ) TBK	P	2011-03-24	2013-09-26
8000 SH SINGAPORE TELECOM LTD	P	2011-03-24	2013-11-29
5000 SH SINGAPORE TELECOM LTD	P	2012-05-10	2013-07-15
230 SH SINGAPORE TELECOM LTD	P	2012-05-14	2013-07-15
11000 SH SINGAPORE TELECOM LTD	P	2012-05-15	2013-07-15
11000 SH SINGAPORE TELECOM LTD	P	2012-05-15	2013-07-16
11000 SH SINGAPORE TELECOM LTD	P	2012-05-15	2013-07-17
8000 SH SINGAPORE TELECOM LTD	P	2012-05-15	2013-07-18
4000 SH SINGAPORE TELECOM LTD	P	2012-05-15	2013-07-19
6230 SH SINGAPORE TELECOM LTD	P	2012-05-04	2013-07-22
770 SH SINGAPORE TELECOM LTD	P	2012-05-09	2013-07-22
4000 SH SINGAPORE TELECOM LTD	P	2012-05-15	2013-07-22
3000 SH SINGAPORE TELECOM LTD	P	2012-05-07	2013-07-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1770 SH SINGAPORE TELECOM LTD	P	2012-05-08	2013-07-23
70 SH SMITHS GROUP PLC	P	2012-05-09	2013-07-23
310 SH SMITHS GROUP PLC	P	2004-08-18	2013-08-28
557 SH SMITHS GROUP PLC	P	2004-08-18	2013-09-26
520 SH SVENSKA HANDELSBANKEN AB - A S	P	2004-08-18	2013-11-29
520 SH SVENSKA HANDELSBANKEN AB - A S	P	2011-12-08	2013-05-03
10 SH SVENSKA HANDELSBANKEN AB - A S	P	2011-12-08	2013-05-06
1290 SH SVENSKA HANDELSBANKEN AB - A S	P	2011-12-07	2013-05-07
710 SH SVENSKA HANDELSBANKEN AB - A S	P	2011-12-08	2013-05-07
570 SH SVENSKA HANDELSBANKEN AB - A S	P	2011-12-07	2013-05-10
1110 SH SVENSKA HANDELSBANKEN AB - A S	P	2011-12-07	2013-05-13
380 SH SVENSKA HANDELSBANKEN AB - A S	P	2011-12-06	2013-05-14
60 SH SWEDBANK AB	P	2011-12-07	2013-05-14
690 SH SWEDBANK AB	P	2009-12-03	2013-08-28
493 SH SWEDBANK AB	P	2009-12-03	2013-09-26
130 SH THERMO FISHER SCIENTIFIC INC	P	2009-12-03	2013-11-29
200 SH THERMO FISHER SCIENTIFIC INC	P	2010-08-26	2013-06-19
920 SH THERMO FISHER SCIENTIFIC INC	P	2010-08-26	2013-06-20
190 SH THERMO FISHER SCIENTIFIC INC	P	2010-08-26	2013-07-12
1380 SH THERMO FISHER SCIENTIFIC INC	P	2010-08-26	2013-07-15
10 SH TIFFANY & CO	P	2010-08-27	2013-07-15
76 SH TIFFANY & CO	P	2012-07-26	2013-08-28
600 SH TIFFANY & CO	P	2012-07-26	2013-11-27
100 SH TIFFANY & CO	P	2012-07-17	2013-12-20
344 SH TIFFANY & CO	P	2012-07-17	2013-12-20
20 SH TORONTO-DOMINION BANK	P	2012-07-26	2013-12-20
40 SH TULLOW OIL PLC	P	2009-12-02	2013-08-28
410 SH TULLOW OIL PLC	P	2009-10-16	2013-08-28
296 SH TULLOW OIL PLC	P	2009-10-16	2013-09-26
20 SH UNITEDHEALTH GROUP INC	P	2009-10-16	2013-11-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
100000 SH UPS 5 125% DUE 4/1/19	P	2012-07-18	2013-08-28
125000 SH US BANCORP 2 2% 11/15/16	P	2011-08-19	2013-12-13
85000 SH US TREAS INF IX 1 625% 1/15/15	P	2012-03-21	2013-07-16
155000 SH US TREAS N/B 2 625% 11/15/20	P	2012-09-17	2013-11-05
160 SH VEDANTA RESOURCES PLC	P	2011-08-25	2013-10-01
230 SH VEDANTA RESOURCES PLC	P	2011-03-30	2013-07-10
320 SH VEDANTA RESOURCES PLC	P	2011-03-31	2013-07-10
460 SH VEDANTA RESOURCES PLC	P	2011-03-24	2013-07-10
470 SH VEDANTA RESOURCES PLC	P	2011-03-29	2013-07-11
320 SH VEDANTA RESOURCES PLC	P	2011-03-24	2013-07-11
900 SH VEDANTA RESOURCES PLC	P	2011-03-25	2013-07-11
960 SH VEDANTA RESOURCES PLC	P	2011-03-25	2013-07-15
120 SH VEDANTA RESOURCES PLC	P	2011-03-28	2013-07-15
340 SH VEDANTA RESOURCES PLC	P	2011-07-01	2013-07-16
800 SH VEDANTA RESOURCES PLC	P	2011-03-28	2013-07-16
50000 SH VERIZON COMM 6 35% 04/01/19	P	2011-11-14	2013-07-16
760 SH VODAFONE GROUP PLC - SP ADR	P	2011-07-12	2013-01-16
413 SH VODAFONE GROUP PLC - SP ADR	P	2011-07-01	2013-10-03
310 SH VODAFONE GROUP PLC - SP ADR	P	2010-05-10	2013-11-27
4700 SH VODAFONE GROUP PLC - SP ADR	P	2011-07-01	2013-11-27
828 SH VODAFONE GROUP PLC - SP ADR	P	2009-04-29	2013-12-16
380 SH VODAFONE GROUP PLC - SP ADR	P	2009-04-30	2013-12-16
2567 SH VODAFONE GROUP PLC - SP ADR	P	2010-05-07	2013-12-16
1482 SH VODAFONE GROUP PLC - SP ADR	P	2010-05-10	2013-12-16
100000 SH WAL-MART STORES 5 8% 2/15/18	P	2009-04-30	2013-12-17
30 SH WAL-MART STORES, INC	P	2011-07-19	2013-01-16
20 SH WAL-MART STORES, INC	P	2005-04-21	2013-08-28
248 SH WAL-MART STORES, INC	P	2005-04-21	2013-11-27
60 SH WELLS FARGO COMPANY	P	2005-08-24	2013-11-27
180 SH WELLS FARGO COMPANY	P	2011-08-09	2013-08-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
326 SH WELLS FARGO COMPANY	P	2011-08-09	2013-11-27
400 SH WYNN MACAU, LIMITED	P	2011-08-10	2013-11-27
2400 SH WYNN MACAU, LIMITED	P	2010-10-11	2013-08-29
1600 SH WYNN MACAU, LIMITED	P	2010-10-11	2013-09-26
78000 SH YUM! BRANDS 6 25% 3/15/18	P	2010-10-11	2013-11-29
22000 SH YUM! BRANDS 6 25% 3/15/18	P	2012-03-07	2013-11-20
SECURITIES LIT - JUNIPER NETWORKS	P	2012-03-07	2013-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,052		18,001	2,051
9,383		8,641	742
4,172		3,594	578
20,858		17,969	2,889
19,815		17,750	2,065
14,606		12,578	2,028
17,554		13,428	4,126
8,817		6,714	2,103
109,985		111,664	-1,679
420		559	-139
5,410		6,423	-1,013
1,428		1,676	-248
2,523		2,917	-394
1,415		1,483	-68
9,569		8,403	1,166
5,460		4,761	699
1,283		1,098	185
12,943		9,938	3,005
1,605		1,504	101
10,775		9,365	1,410
26,653		23,563	3,090
25,532		23,214	2,318
1,562		1,621	-59
17,219		16,373	846
112,491		114,511	-2,020
1,266		1,419	-153
13,973		14,186	-213
3,034		2,837	197
7,889		7,349	540
2,177		1,339	838

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,102		1,178	-76
12,592		13,463	-871
14,895		15,113	-218
51,550		53,681	-2,131
109,544		111,194	-1,650
1,702		1,253	449
1,413		1,963	-550
14,396		14,916	-520
30,090		30,809	-719
50,150		51,180	-1,030
2,168		1,690	478
118,342		116,577	1,765
113,062		111,461	1,601
1,532		1,234	298
71,077		60,441	10,636
22,383		18,996	3,387
5,095		3,433	1,662
8,253		7,182	1,071
2,441		2,544	-103
797		807	-10
26,968		27,410	-442
15,336		13,422	1,914
7,266		6,460	806
448		637	-189
4,827		6,765	-1,938
3,094		4,855	-1,761
35,118		36,169	-1,051
18		19	-1
24,606		25,264	-658
41		43	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
33		34	-1
880		903	-23
8,721		7,644	1,077
2,048		1,791	257
20,368		19,867	501
5,178		3,938	1,240
1,726		1,366	360
22,479		17,064	5,415
19,622		14,964	4,658
17,960		13,651	4,309
18,068		13,651	4,417
10,063		7,613	2,450
694		515	179
30,053		25,605	4,448
17,068		12,332	4,736
8,534		6,401	2,133
21,895		19,720	2,175
1,359			1,359
1,083			1,083
33,788		15,316	18,472
30,135		14,077	16,058
378			378
496			496
753			753
438			438
381			381
584			584
637			637
843			843
562			562



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
562			562
877			877
2,765		3,088	-323
19,814		21,619	-1,805
3,268		3,088	180
13,072		12,148	924
19,991		18,727	1,264
39,649		36,830	2,819
1,287		1,336	-49
18,581		18,004	577
2,526		2,336	190
26,527		19,891	6,636
7		7	
3,131		3,001	130
24,128		23,352	776
8,043		7,846	197
9,162		7,784	1,378
10,078		8,558	1,520
17,843		14,782	3,061
22,274		17,829	4,445
16,016		13,178	2,838
1,187		1,532	-345
15,519		17,269	-1,750
5,878		6,685	-807
4,910		5,255	-345
831		591	240
7,067		5,141	1,926
24,070		18,379	5,691
15,307		14,745	562
1,457		1,310	147

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,233		14,407	1,826
10,657		10,216	441
642		638	4
20,174		20,322	-148
13,322		11,957	1,365
22,373		20,765	1,608
229,680		228,212	1,468
122,412		121,555	857
468,820		462,774	6,046
106,554		109,317	-2,763
168,064		170,076	-2,012
5,199		5,080	119
117,163		116,009	1,154
104,391		103,696	695
18,448		18,354	94
42,167		42,031	136
57,631		57,069	562
162,647		163,730	-1,083
44,323		34,125	10,198
2,932		2,766	166
25,187		19,912	5,275
36,566		28,486	8,080
72,601		74,306	-1,705
1,417		1,307	110
14,299		12,090	2,209
		99	-99
27,927			27,927
7,037		3,449	3,588
16,616		8,048	8,568
16,039		7,665	8,374

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
83,942		38,709	45,233
44,750		20,681	24,069
829		383	446
15,128		12,951	2,177
49,334		42,872	6,462
11,873		8,659	3,214
15,831		12,504	3,327
39,914		29,274	10,640
1,744		1,237	507
18,202		11,297	6,905
1,065		229	836
38,192		7,091	31,101
8,454		1,601	6,853
6,990		1,327	5,663
1,847		1,326	521
17,818		13,388	4,430
1,596		1,842	-246
13,654		13,415	239
5,498		5,525	-27
1,283		487	796
9,606		3,335	6,271
796		707	89
11,013		8,840	2,173
3,059		2,131	928
6,017		4,237	1,780
2,110		1,463	647
10,721		6,376	4,345
8,736		5,363	3,373
58,105		55,911	2,194
2,602		2,177	425

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,886		9,364	1,522
20,142		18,385	1,757
2,432		654	1,778
18,507		4,971	13,536
2,525		6,668	-4,143
3,475		8,990	-5,515
59		157	-98
2,851		7,444	-4,593
1,477		3,879	-2,402
1,488		3,803	-2,315
104		270	-166
3,388		8,598	-5,210
2,254		5,904	-3,650
2,398		6,282	-3,884
1,041		2,732	-1,691
2,788		7,449	-4,661
632		1,721	-1,089
462		1,246	-784
2,110		5,556	-3,446
923		2,449	-1,526
3,823		10,248	-6,425
454		1,090	-636
1,606		1,599	7
52,142		51,878	264
1,261		392	869
11,889		3,135	8,754
823		759	64
8,892		7,396	1,496
1,891		472	1,419
16,834		3,678	13,156

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,196		674	522
49,766		27,630	22,136
34,397		19,206	15,191
30,173		16,729	13,444
32,586		17,984	14,602
6,638		3,158	3,480
40,173		19,235	20,938
19,741		11,419	8,322
12,824		8,831	3,993
61,649		48,154	13,495
237,875		225,004	12,871
50,150		49,006	1,144
43,881		43,426	455
96,802		62,530	34,272
50,281		29,805	20,476
40,911		26,195	14,716
35,801		14,850	20,951
116,684		46,587	70,097
59,535		35,125	24,410
178,997		173,587	5,410
10,098		10,059	39
117,500		111,814	5,686
11,558		8,512	3,046
8,519		7,173	1,346
79,358		55,953	23,405
18,063		12,195	5,868
24,438		15,845	8,593
18,969		29,485	-10,516
14,427		23,244	-8,817
98,827		151,992	-53,165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,860		6,577	-1,717
47,793		41,895	5,898
24,572		22,743	1,829
59,517		55,128	4,389
2,372		1,148	1,224
24,364		10,385	13,979
889		383	506
1,938		1,592	346
18,448		13,531	4,917
2,383		1,540	843
15,492		10,347	5,145
3,046		2,317	729
29,564		19,807	9,757
1,302		852	450
4,131		2,595	1,536
9,434		5,901	3,533
10,904		5,939	4,965
138,006		135,318	2,688
2,407		2,387	20
27,252		26,256	996
11,747		9,879	1,868
1,205		1,007	198
8,434		7,426	1,008
1,562		2,253	-691
2,870		4,130	-1,260
1,786		2,628	-842
2,823		4,130	-1,307
2,080		3,004	-924
2,561		3,754	-1,193
1,533		2,253	-720

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,534		3,754	-1,220
2,760		4,130	-1,370
2,738		4,130	-1,392
2,943		4,505	-1,562
2,658		4,130	-1,472
737		1,126	-389
1,473		2,043	-570
2,644		3,745	-1,101
3,601		5,107	-1,506
1,200		1,702	-502
19,703		4,653	15,050
153,493		33,822	119,671
138,062		27,377	110,685
31,497			31,497
1,415		1,162	253
3,323		2,947	376
29,688		23,284	6,404
3,457		2,691	766
10,019		10,711	-692
2,764		2,966	-202
11,594		12,558	-964
1,018		1,108	-90
16,631		18,020	-1,389
4,818		5,149	-331
7,226		7,691	-465
5,850		6,229	-379
11,046		11,720	-674
1,239		1,093	146
10,980		9,765	1,215
2,932		2,429	503

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,073		12,755	3,318
25,672		19,861	5,811
72,225		75,822	-3,597
52,825		52,497	328
798		284	514
9,100		3,384	5,716
3,970		6,131	-2,161
3,797		5,759	-1,962
6,246		9,143	-2,897
1,268		1,143	125
12,042		9,774	2,268
174,759		164,103	10,656
156,644		111,194	45,450
79,090		51,125	27,965
43,746		28,293	15,453
1,524		936	588
18,172		10,084	8,088
14,673		7,204	7,469
14,112		7,965	6,147
1,992		1,138	854
17,912		9,255	8,657
34,243		33,727	516
21,474		21,203	271
63,576		62,880	696
2,400		296	2,104
73,768		7,706	66,062
26,465		2,667	23,798
7,293		741	6,552
2,537		1,722	815
23,751		15,496	8,255



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,317		11,364	6,953
1,985		2,127	-142
10,371		8,680	1,691
5,890		6,362	-472
2,775		2,780	-5
10,726		8,845	1,881
2,247		1,164	1,083
15,738		7,563	8,175
23,668		10,414	13,254
3,768		2,544	1,224
24,551		28,613	-4,062
20,237		23,070	-2,833
18,266		20,374	-2,108
3,285		3,688	-403
43,454		47,931	-4,477
544		530	14
6,004		5,296	708
3,711		3,707	4
24,202		20,572	3,630
15,126		12,945	2,181
696		585	111
33,200		27,994	5,206
33,047		27,994	5,053
32,859		27,994	4,865
23,889		20,360	3,529
12,151		10,097	2,054
18,925		15,716	3,209
2,339		1,960	379
12,308		10,077	2,231
9,231		7,559	1,672

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,446		4,465	981
1,399		1,345	54
6,900		5,955	945
12,335		10,700	1,635
23,610		14,244	9,366
23,274		14,244	9,030
453		273	180
58,460		35,336	23,124
31,564		19,384	12,180
25,388		15,562	9,826
48,671		29,797	18,874
16,662		10,375	6,287
1,390		635	755
16,022		7,307	8,715
12,702		5,221	7,481
11,024		5,652	5,372
16,252		8,695	7,557
80,991		39,997	40,994
16,732		8,260	8,472
121,527		59,474	62,053
780		558	222
6,715		4,238	2,477
54,492		31,813	22,679
9,082		5,302	3,780
31,242		19,184	12,058
1,670		1,290	380
637		818	-181
6,914		8,389	-1,475
4,168		6,056	-1,888
1,439		1,125	314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
115,003		115,024	-21
128,401		126,692	1,709
107,268		105,914	1,354
161,164		159,594	1,570
2,535		5,900	-3,365
3,644		8,756	-5,112
5,070		11,435	-6,365
7,717		16,407	-8,690
7,885		16,796	-8,911
5,368		11,405	-6,037
14,980		32,077	-17,097
15,979		33,740	-17,761
1,984		4,063	-2,079
5,621		11,950	-6,329
13,227		15,040	-1,813
62,987		57,869	5,118
26,981		20,125	6,856
15,314		8,650	6,664
11,495		8,209	3,286
173,529		87,440	86,089
30,571		15,398	15,173
14,030		7,602	6,428
94,776		53,764	41,012
54,904		27,561	27,343
122,313		114,129	8,184
2,174		1,431	743
1,617		954	663
20,050		11,461	8,589
2,482		1,450	1,032
7,968		4,348	3,620

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,432		7,599	6,833
1,179		823	356
8,137		4,938	3,199
6,133		3,292	2,841
88,603		89,852	-1,249
25,718		25,320	398
48			48

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,051
			742
			578
			2,889
			2,065
			2,028
			4,126
			2,103
			-1,679
			-139
			-1,013
			-248
			-394
			-68
			1,166
			699
			185
			3,005
			101
			1,410
			3,090
			2,318
			-59
			846
			-2,020
			-153
			-213
			197
			540
			838

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-76
			-871
			-218
			-2,131
			-1,650
			449
			-550
			-520
			-719
			-1,030
			478
			1,765
			1,601
			298
			10,636
			3,387
			1,662
			1,071
			-103
			-10
			-442
			1,914
			806
			-189
			-1,938
			-1,761
			-1,051
			-1
			-658
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-23
			1,077
			257
			501
			1,240
			360
			5,415
			4,658
			4,309
			4,417
			2,450
			179
			4,448
			4,736
			2,133
			2,175
			1,359
			1,083
			18,472
			16,058
			378
			496
			753
			438
			381
			584
			637
			843
			562

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			562
			877
			-323
			-1,805
			180
			924
			1,264
			2,819
			-49
			577
			190
			6,636
			130
			776
			197
			1,378
			1,520
			3,061
			4,445
			2,838
			-345
			-1,750
			-807
			-345
			240
			1,926
			5,691
			562
			147



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,826
			441
			4
			-148
			1,365
			1,608
			1,468
			857
			6,046
			-2,763
			-2,012
			119
			1,154
			695
			94
			136
			562
			-1,083
			10,198
			166
			5,275
			8,080
			-1,705
			110
			2,209
			-99
			27,927
			3,588
			8,568
			8,374

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			45,233
			24,069
			446
			2,177
			6,462
			3,214
			3,327
			10,640
			507
			6,905
			836
			31,101
			6,853
			5,663
			521
			4,430
			-246
			239
			-27
			796
			6,271
			89
			2,173
			928
			1,780
			647
			4,345
			3,373
			2,194
			425

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,522
			1,757
			1,778
			13,536
			-4,143
			-5,515
			-98
			-4,593
			-2,402
			-2,315
			-166
			-5,210
			-3,650
			-3,884
			-1,691
			-4,661
			-1,089
			-784
			-3,446
			-1,526
			-6,425
			-636
			7
			264
			869
			8,754
			64
			1,496
			1,419
			13,156

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			522
			22,136
			15,191
			13,444
			14,602
			3,480
			20,938
			8,322
			3,993
			13,495
			12,871
			1,144
			455
			34,272
			20,476
			14,716
			20,951
			70,097
			24,410
			5,410
			39
			5,686
			3,046
			1,346
			23,405
			5,868
			8,593
			-10,516
			-8,817
			-53,165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,717
			5,898
			1,829
			4,389
			1,224
			13,979
			506
			346
			4,917
			843
			5,145
			729
			9,757
			450
			1,536
			3,533
			4,965
			2,688
			20
			996
			1,868
			198
			1,008
			-691
			-1,260
			-842
			-1,307
			-924
			-1,193
			-720

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,220
			-1,370
			-1,392
			-1,562
			-1,472
			-389
			-570
			-1,101
			-1,506
			-502
			15,050
			119,671
			110,685
			31,497
			253
			376
			6,404
			766
			-692
			-202
			-964
			-90
			-1,389
			-331
			-465
			-379
			-674
			146
			1,215
			503

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,318
			5,811
			-3,597
			328
			514
			5,716
			-2,161
			-1,962
			-2,897
			125
			2,268
			10,656
			45,450
			27,965
			15,453
			588
			8,088
			7,469
			6,147
			854
			8,657
			516
			271
			696
			2,104
			66,062
			23,798
			6,552
			815
			8,255

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,953
			-142
			1,691
			-472
			-5
			1,881
			1,083
			8,175
			13,254
			1,224
			-4,062
			-2,833
			-2,108
			-403
			-4,477
			14
			708
			4
			3,630
			2,181
			111
			5,206
			5,053
			4,865
			3,529
			2,054
			3,209
			379
			2,231
			1,672



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			981
			54
			945
			1,635
			9,366
			9,030
			180
			23,124
			12,180
			9,826
			18,874
			6,287
			755
			8,715
			7,481
			5,372
			7,557
			40,994
			8,472
			62,053
			222
			2,477
			22,679
			3,780
			12,058
			380
			-181
			-1,475
			-1,888
			314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21
			1,709
			1,354
			1,570
			-3,365
			-5,112
			-6,365
			-8,690
			-8,911
			-6,037
			-17,097
			-17,761
			-2,079
			-6,329
			-1,813
			5,118
			6,856
			6,664
			3,286
			86,089
			15,173
			6,428
			41,012
			27,343
			8,184
			743
			663
			8,589
			1,032
			3,620

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,833
			356
			3,199
			2,841
			-1,249
			398
			48

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH MCGRAW WEBSTER	TRUSTEE & CHAIRMAN 0	0	0	0
C/O ROCKEFELLER CO NEW YORK,NY 10112				
CURTIS M WEBSTER	TRUSTEE & PRESIDENT 0	0	0	0
2 CORNELIA STREET NEW YORK,NY 10014				
LISETTE M EDMOND	TRUSTEE & VP 0	0	0	0
5784 VIA LAS NOBES RIVERSIDE,CA 92507				
MARIANNA PAEN	TRUSTEE & VP 0	0	0	0
117 N HIAWATHA HAILEY,ID 83333				
SAMUEL W LAMBERT III	SECRETARY- TREASURER & TRUSTEE 0	0	0	0
115 NORTH ROAD PRINCETON,NJ 08540				
THEO M WEBSTER	VICE PRESIDENT & TRUSTEE 0	0	0	0
62 STONE STREET NEW BRUNSWICK,NJ 08901				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
101 151 Moore Street Princeton, NJ 08540			General Fund	5,000
A Better Chance 240 West 35th Street 9th floor New York, NY 10001			General Fund	5,000
Aids Resource Foundation for Children 182 Roseville Avenue Newark, NJ 07107			General Fund	5,000
Alzheimer's Association 225 North Michigan Avenue Chicago, IL 60601			General Fund	3,000
American Cancer Society POB 22718 Oklahoma City, OK 73123			General Fund	10,000
American Red Cross 2025 E Street NW Washington, DC 20006			General Fund	2,500
American Repertory Ballet 80 Albany Street New Brunswick, NJ 08901			General Fund	10,000
Amnesty International of the USA Inc 5 Penn Plaza New York, NY 10001			General Fund	5,000
Assisting Children in Need 2 Cornelia Street Apt 402 New York, NY 10014			General Fund	40,000
Blaine County Community Drug Coalition 1650 Aviation Drive Hailey, ID 83333			General Fund	8,000
Blaine County Education Foundation P O Box 253 Hailey, ID 83333			General Fund	20,000
Blue Curtain 115 Harris Road Princeton, NJ 08540			General Fund	20,000
Brooklyn Ballet 145 Hicks Street Suite A-45 Brooklyn, NY 11201			General Fund	7,500
Cal Poly Pomona Foundation 3801 W Temple Ave Pomona, CA 91768			General Fund	7,000
Calpulli Mexican Dance Company 9110 34th Avenue Apt 5B Jackson Heights, NY 11372			General Fund	2,500
<b>Total . . . . .</b>			<b>3a</b>	1,121,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Cancer Hope Network Two North Road Suite A Chester, NJ 07930			General Fund	5,000
CARE 151 Ellis Street NE Atlanta, GA 30303			General Fund	2,500
Carolyn E Wylie Center for Children Youth and Fa 7177 Potomac Street Riverside, CA 92504			General Fund	5,000
Cause Effective 505 Eighth Avenue Suite 1212 New York, NY 10018			General Fund	2,500
Centurion Ministries 221 Witherspoon Street Princeton, NJ 08542			General Fund	5,000
City Parks Foundation 830 Fifth Avenue Room 280 New York, NY 10021			General Fund	5,000
Citymeals-on-Wheels 355 Lexington Avenue New York, NY 10017			General Fund	2,500
Company of Fools P O Box 329 Hailey, ID 83333			General Fund	1,000
Corner House 369 Witherspoon Street Princeton, NJ 08540			General Fund	10,000
Council of NJ Grantmakers 101 West State Street Trenton, NJ 08608			General Fund	0
Council on Foundations PO Box 0021 Washington, DC 20055			General Fund	1,730
Crawford House Inc 362 Sunset Road Skillman, NJ 08558			General Fund	10,000
Creative Heartwork Inc 5 Drake Court Boonton Township, NJ 07005			General Fund	10,000
Drug Policy Alliance 925 Ninth Avenue New York, NY 10019			General Fund	5,000
El Puente de Williamsburg Inc 211 South 4th Street Brooklyn, NY 11211			General Fund	2,500
<b>Total . . . . .</b>			<b>3a</b>	1,121,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Environmental Defense Fund Inc 257 Park Avenue South New York, NY 10010			General Fund	2,500
First Baptist Church of Princeton PO Box 501 Princeton, NJ 08540			General Fund	5,000
Foxcroft School PO Box 5555 Middleburg, VA 20118			General Fund	25,000
Friends of NYC Lab School 333 West 17th Street New York, NY 10011			General Fund	2,500
MOTHERS2MOTHERS INTERNATIONAL 1622 N Curson Avenue Los Angeles, CA 90046			General Fund	10,000
Green Faith 46 Bayard Street Suite 401 New Brunswick, NJ 08901			General Fund	1,000
Hailey Rotary Foundation Inc P O Box 2190 Hailey, ID 83333			General Fund	5,000
Hip-Hop Theater Festival Inc 57 Thames Street Suite 4B Brooklyn, NY 11237			General Fund	2,500
Historic Morven 55 Stockton Street Princeton, NJ 08540			General Fund	10,000
Hitops 21 Wiggins Street Princeton, NJ 08540			General Fund	10,000
Home Front 1880 Princeton Avenue Lawrenceville, NJ 08648			General Fund	10,000
Housing Initiatives of Princeton 11 Mercer Street Princeton, NJ 08540			General Fund	2,500
Interfaith Caregivers TrentonFaith In Action 229 Lawrence Road Lawrenceville, NJ 08648			General Fund	5,000
ISLES 10 Wood Street Trenton, NJ 08618			General Fund	10,000
Jewish Renaissance Foundation PO Box 2506 149 Kearny Avenue Perth Amboy, NJ 08862			General Fund	1,000
<b>Total</b> . . . . .			 <b>3a</b>	1,121,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
La Mama 74A East 4th Street New York, NY 10003			General Fund	2,500
Lebanese American University 475 Riverside Drive New York, NY 10115			General Fund	25,000
Lighthouse International 111 East 59th Street New York, NY 10022			General Fund	2,500
Mariachi Academy of New York 1775 Third Avenue New York, NY 10014			General Fund	2,500
Mary Jacobs Memorial Library 64 Washington Street Rocky Hill, NJ 08553			General Fund	1,000
McCarter Theater 91 University Place Princeton, NJ 08540			General Fund	25,000
Mercer Street Friends 151 Mercer Street Trenton, NJ 08611			General Fund	10,000
Metropolitan Opera Lincoln Center New York, NY 10023			General Fund	10,000
Montgomery Township Emergency Medical Services In P O Box 105 Belle Mead, NJ 08502			General Fund	2,500
Montgomery Township Fire Department #2 P O Box 267 Blawenbergl, NJ 08504			General Fund	2,500
NAMI Mercer NJ 88 Lakedale Drive Lawrenceville, NJ 08648			General Fund	5,000
Nassau Presbyterian Church 61 Nassau Street Princeton, NJ 08542			General Fund	15,000
National Sports Academy 12 Lake Placid Club Drive Lake Placid, NY 12946			General Fund	7,500
New Jersey Aids Partnership 15 Princess Road Lawrenceville, NJ 08648			General Fund	2,500
New Jersey Conservation Foundation Bamboo Brook Far Hills, NJ 07931			General Fund	5,000
<b>Total . . . . .</b>			<b>3a</b>	1,121,730




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
New Jersey SEEDS Center 303-309 Washington Street 4th floo Newark, NJ 07102			General Fund	1,000
New Yorkers for Parks 355 Lexington Avenue New York, NY 10017			General Fund	2,500
North Country SPCA 23 Lakeshore Road Westport, NY 12993			General Fund	5,000
North High School 1550 3rd Street Riverside, CA 92503			General Fund	17,000
Opera New Jersey P O Box 3151 Princeton, NJ 08543			General Fund	10,000
Partnership for the Delaware Estuary Inc 1009 Philadelphia Pike Wilmington, DE 19809			General Fund	2,500
Passage Theatre Company P O Box 967 Trenton, NJ 08605			General Fund	1,000
Pepperdine University 24255 Pacific Coast Highway Malibu, CA 90263			General Fund	50,000
Perris High School 175 East Nuevo Road Perris, CA 92571			General Fund	4,000
Philabundance P O Box 37555 Philadelphia, PA 19148			General Fund	2,500
Planned Parenthood of Mercer County 437 East State Street Trenton, NJ 08608			General Fund	20,000
Playgroup Cooperative 32 Carmine Street New York, NY 10014			General Fund	2,500
Presbyterian Church of the Big Wood P O Box 660 Ketchum, ID 83340			General Fund	5,000
Princeton Adult School P O Box 701 Princeton, NJ 08542			General Fund	1,000
Princeton Chamber Symphony P O Box 250 Princeton, NJ 08540			General Fund	5,000
<b>Total . . . . .</b>			<b>3a</b>	1,121,730


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Princeton Child Development Institute 300 Cold Soil Road Princeton, NJ 08540			General Fund	3,000
Princeton Day School P O Box 75 Princeton, NJ 08542			General Fund	25,000
Princeton Friends School 470 Quaker Road Princeton, NJ 08540			General Fund	10,000
Princeton Public Library 65 Witherspoon Street Princeton, NJ 08542			General Fund	5,000
Princeton Senior Resource Center Spruce Circle Princeton, NJ 08540			General Fund	10,000
Princeton University P O Box 46 Princeton, NJ 08544			General Fund	5,000
Princeton YMCA Paul Robeson Place Princeton, NJ 08540			General Fund	5,000
Princeton-Blairstown Center 158 Millbrook Road Blairstown, NJ 07825			General Fund	5,000
Princeton-YWCA Paul Robeson Place Princeton, NJ 08540			General Fund	5,000
Ramona High School 7675 Magnolia Avenue Riverside, CA 92504			General Fund	2,000
Riverside Art Museum 3425 Mission Inn Avenue Riverside, CA 92501			General Fund	3,000
Rutgers University Foundation 7 College Avenue New Brunswick, NJ 08901			General Fund	10,000
Sarah Lawrence College 1 Mead Way Bronxville, NY 10708			General Fund	2,500
Second Wind 759 State Road Princeton, NJ 08540			General Fund	7,500
Sheenway School and Culture 10101-25 South Broadway Los Angeles, CA 90003			General Fund	20,000
<b>Total . . . . .</b>  <b>3a</b>				1,121,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Sheridan College Foundation P O Box 6328 Sheridan, WY 82801			General Fund	5,000
Small Animal Rescue League 900 Herrontown Road Princeton, NJ 08540			General Fund	20,000
Somerset Home for Temporarily Displaced Children 49 Brahma Avenue Bridgewater, NJ 08807			General Fund	2,500
South Brunswick Board of Education PO Box 181 4 Executive Drive Monmouth Junction, NJ 08852			General Fund	5,000
Special Operations Warrior Foundation P O Box 14385 Tampa, FL 33690			General Fund	15,000
St Luke's WOOD RIVER FOUNDATION P O Box 7005 KETCHUM, ID 83340			General Fund	35,000
Stony Brook-Millstone Watersheds Assoc 31 Titus Mill Road Pennington, NJ 08534			General Fund	5,000
Synergos Institue Inc 51 Madison Avenue 21st Floor New York, NY 10110			General Fund	5,000
TASIS Foundation Inc 1640 Wisconsin Avenue NW Washington, DC 20007			General Fund	25,000
The Blaine County Police Activities League 1650 Aviation Drive Hailey, ID 83333			General Fund	3,000
The Builders Association 453 Broome Street 4C New York, NY 10013			General Fund	7,500
The Career Wardrobe 1211 Chesnut Street- Suite 205 Philadelphia, PA 19107			General Fund	2,000
The Community Library Association Inc P O Box 2168 Boise, ID 83340			General Fund	1,000
The Crisis Ministry of Princeton and Trenton 61 Nassau Street Princeton, NJ 08542			General Fund	10,000
The Drumthwacket Foundation Inc 354 Stockton Street Princeton, NJ 08540			General Fund	5,000
<b>Total . . . . .</b>  <b>3a</b>				1,121,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
The Foundation Fighting Blindness 14 Nassau Street Princeton, NJ 08542			General Fund	1,000
The Frick Collection 1 East 70th Street New York, NY 10021			General Fund	10,000
The Joy of Athletics Foundation P O Box 216 Richmond, MA 01254			General Fund	5,000
The Lawrenceville School P O Box 6125 Lawrenceville, NJ 08648			General Fund	20,000
The New Grange School 526 South Olden Avenue Hamilton, NJ 08629			General Fund	2,000
The Patriots Theater Foundation Inc P O Box 232 Trenton, NJ 08625			General Fund	5,000
The Princeton Hub Inc 70 Jefferson Road Princeton, NJ 08540			General Fund	1,000
The Smile Train 245 Fifth Avenue Suite 2201 New York, NY 10016			General Fund	5,000
The Trenton Area Soup Kitchen P O Box 872 Trenton, NJ 08605			General Fund	10,000
TIGHAR 2812 Fawkes Drive Wilmington, DE 19808			General Fund	30,000
Trinity Counseling Service 22 Stockton Street Princeton, NJ 08540			General Fund	15,000
VOLUNTEERCONNECT INC 120 JOHN STREET SUITE 7 PRINCETON, NJ 08542			General Fund	2,500
VOICES P O Box 404 Princeton, NJ 08534			General Fund	1,000
Womanspace Inc 1212 Stuyvesant Avenue Trenton, NJ 08618			General Fund	5,000
Women Helping Women 224 Main Street Metuchen, NJ 08840			General Fund	2,500
<b>Total</b> . . . . .  <b>3a</b>				1,121,730

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Women's Entrepreneurial Foundation 127 US Highway 206 Suite 28 Hamilton, NJ 08610			General Fund	2,500
Women's Health and Counseling Center 95 Veterans Memorial Drive East Somerville, NJ 08876			General Fund	2,500
SOMEONE CARES SOUP KITCHEN & TUTORING 720 WEST 19 STREET COSTA MESA, CA 92627			GENERAL FUND	3,000
ST FRANCIS FOOD PANTIRES & SHELTERS 450 SEVENTH AVENUE NEWYORK,NY 10123			GENERAL FUND	5,000
THE CHALLIS JOINT SCHOOL DISTRICT 181 POB 304 CHALLIS, ID 83226			GENERAL FUND	10,000
UIH FAMILY PARTNERS 764 BELLEVUE AVENUE TRENTON, NJ 08618			GENERAL FUND	2,500
LEARNING ALLY 20 ROSZEL ROAD PRINCETON, NJ 08540			GENERAL FUND	2,500
PRINCETON HEALTHCARE SYSTEM FOUNDATION 253 WITHERSPOON STREET PRINCETON, NJ 08540			GENERAL FUND	100,000
Special Operations Survivor Foundation POB 181097 CORONADO, CA 92178			GENERAL FUND	15,000
THE AMANDA FOUNDATION 351 NORTH FOOTHILL ROAD BEVERLY HILLS, CA 90210			GENERAL FUND	5,000
HIGHER GROUND POB 6791 120 SECOND AVENUE KETCHUM, ID 83340			GENERAL FUND	5,000
POWDERMILL NATURE RESERVE HC 64 BOX 453 RECTOR, PA 15677			GENERAL FUND	10,000
THE INDEPENDENCE FUND INC 32379 COLLECTION CENTER DRIVE CHICAGO, IL 60693			GENERAL FUND	12,000
<b>Total . . . . .</b>			<b>3a</b>	1,121,730

## TY 2013 Accounting Fees Schedule

**Name:** THE CURTIS W MCGRAW FOUNDATION

**EIN:** 22-1761678

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROCKEFELLER&CO ACCOUNTING FEES	12,300	8,200		4,100

**TY 2013 All Other Program  
Related Investments Schedule**

**Name:** THE CURTIS W MCGRAW FOUNDATION  
**EIN:** 22-1761678

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE CURTIS W MCGRAW FOUNDATION

EIN: 22-1761678

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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TY 2013 Investments Corporate  
 Bonds Schedule

Name: THE CURTIS W MCGRAW FOUNDATION  
 EIN: 22-1761678

Name of Bond	End of Year Book Value	End of Year Fair Market Value
64000 BANK OF AMERICA CORP	114,433	116,754
106000 ANHEUSER-BUSCH ABIBB	109,897	109,985
100000 BB&T CORPORATION 3.95%	103,763	106,457
50000 CATERPILLAR INC 5.7%	0	0
100000 CSX CORP DEBENTURES 6.2	99,985	106,884
50000 COMCAST CORP NOTES 5.3%	0	0
104000 CITIGROUP INC. 4.45%	113,091	112,715
100000 GENERAL ELECTRIC CAP	113,647	111,124
100000 GOLDMAN SACHS GROUP	118,143	121,044
125000 KEYCORP 5.1% DUE 2021	0	0
100000 GENERAL MILLS INC 5.70%	0	0
103000 DOMINION RESOURCES INC.	109,853	109,596
84000 LUBRIZOL CORP SR NOTES	112,579	108,984
170000 FEDERAL FARM CREDIT BK	170,000	166,999
125000 MORGAN STANLEY 6% 2015	127,892	133,129
100000 UNITED PARCEL SERVICE 5	114,228	113,285
125000 NBC UNIVERSAL MEDIA LLC	117,900	115,722
100000 WAL-MART STORES INC. 5.	0	0
125000 NVR INC CORP. 3.95%	128,693	118,141
120000 TORONTO DOMINION BANK 2	121,059	124,587
100000 PNC FUNDING CORP. 5.125	110,028	112,316
105000 SIMON PROPERTY GROUP LP	112,829	111,021
125000 US BANCORP 2.2% 2016	120,037	120,091
63000 WACHOVIA BANK NA 6%	0	0
100000 YUM! BRANDS INC. 6.25%	103,839	102,533
150000 FANNIE MAE FNMA 5.375%	0	0
200000 FHLB 5% DUE 11/17/2017	0	0
75000 FEDEX CORP NOTES, 8%	169,650	167,236
150000 FEDERAL FARM CREDIT BAN	162,414	168,155
300000 FREDDIE MAC FHLMC 1.375	300,313	300,550
50000 E.I. DU PONT DE NEMOURS,	0	0
50000 HONEYWELL INTERNATIONAL	0	0
50000 JP MORGAN CHASE 6%	133,471	137,294
50000 VERIZON COMMUNICATIONS 6	111,634	115,959
60000 DUKE ENERGY INDIANA INC.	116,887	115,065

**TY 2013 Investments Corporate  
Stock Schedule**

**Name:** THE CURTIS W MCGRAW FOUNDATION  
**EIN:** 22-1761678

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADVANCE AUTO PARTS, INC.	0	0
ARCOS DORADOS HOLDINGS, INC.	56,451	55,364
AFLAC, INC.	155,277	251,569
ALEXION PHARMACEUTICALS, INC.	17,732	105,244
AMADA CO. LTD	204,597	246,965
DELPHI AUTOMOTIVE PLC	152,248	282,551
ANADARKO PETROLEUM CORPORATION	158,443	221,144
DOVER CORP.	118,607	187,191
APACHE CORPORATION	230,864	247,593
CAPITAL ONE FINANCIAL CORP.	136,904	191,448
ING GROEP NV	227,935	333,762
BAKER HUGHES INCORPORATED	157,622	202,970
J.P. MORGAN CHASE & CO.	236,428	412,693
BIOMARIN PHARMACEUTICAL INC.	42,704	133,172
MERCK & CO., INC.	230,129	281,832
BOSKALIS WESTMINSTER - CVA	77,481	132,110
CAREFUSION CORPORATION	134,007	268,785
CENTRICA PLC	190,595	287,867
CHEVRON CORPORATION	68,614	262,061
CHINA AGRI-INDUSTRIES HOLDINGS	0	0
PHARMACYCLICS INC.	0	0
CHINA MOBILE (HONG KONG) LIMIT	291,879	339,310
COACH INC.	225,170	233,894
COPA HOLDINS SA CLASS A	137,251	158,189
MURATA MANUFACTURING CO LTD	228,127	346,584
COMCAST CORPORATION - CL A	42,989	172,004
COMERICA INCORPORATED	100,526	127,645
OPHIR ENERGY PLC	0	0
CONTINENTAL RESOURCES, INC.	50,787	242,368
COVIDIEN PLC	0	0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ORACLE CORPORATION	134,553	180,128
CTRIIP.COM INTERNATIONAL-ADR	120,948	124,348
FEDEX CORPORATION	0	0
DNB HOLDING ASA	193,177	204,048
GOLDMAN SACHS GROUP, INC.	98,588	168,574
GOOGLE INC - CLASS A	307,423	618,632
EXPEDIA, INC.	189,856	218,872
HEWLETT-PACKARD COMPANY	0	0
HONEYWELL INC.	148,040	356,891
HYUNDAI MOTOR CO., LTD. - PFD	30,016	59,425
HYUNDAI MOTOR CO., LTD. - 2ND	123,203	202,251
PICC PROPERTY & CASUALTY CO	181,261	209,214
INCYTE CORPORATION	98,896	123,689
KALBE FARMA TBK PT	62,778	43,002
MALLINCKRODT PLC	118,230	150,195
JOHNSON MATTHEY PLC	80,992	157,184
KOREA ELECTRIC POWER CORPORATI	238,746	321,154
LG ELECTRONICS INC. - PFD	0	0
MASTERCARD INC - CLASS A	0	0
MICROSOFT CORP.	305,693	454,083
RIO TINTO PLC - SPON ADR	241,551	258,054
SEATTLE GENETICS, INC.	69,208	95,576
MSCI INC. - A	0	0
NETAPP, INC.	124,232	151,889
REINSURANCE GROUP OF AMERICA	217,720	264,820
NGK SPARK PLUG CO., LTD.	84,324	142,150
NOVARTIS AG - ADR	252,379	361,951
ST. JUDE MEDICAL	200,815	222,338
OCEANEERING INTERNATIONAL, INC	46,669	129,442
SUBSEA 7 SA	138,208	143,774

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PEPSICO, INC	0	0
UNITED CONTINENTAL HOLDINGS	164,276	176,704
VISA INC. - CLASS A	248,509	253,410
PRUDENTIAL PLC	94,961	211,617
QUALCOMM INC.	126,620	249,183
QUEST DIAGNOSTICS INCORPORATED	0	0
REGENERON PHARMACEUTICALS	10,225	94,958
ROCHE HOLDINGS AG	156,700	256,156
ROYAL DUTCH SHELL PLC-ADR A	156,520	206,852
SAFRAN SA	140,393	343,323
SAMSUNG ELECTRONICS CO., LTD P	174,476	283,171
SANTOS LIMITED	0	0
ROYAL CARIBBEAN CRUISES LTD	248,889	389,034
SEMEN GRESIK (PERSERO) TBK	49,920	56,414
SBERBANK-SPONSORED ADR	146,736	152,583
SMITHS GROUP PLC	130,198	187,800
SINGAPORE TELECOMMUNICATIONS	0	0
SMC CORPORATION	127,755	176,499
SVENSKA HANDELSBANKEN AB - A S	0	0
SWEDBANK AB	70,513	191,286
THE MCGRAW-HILL COMPANIES, INC	0	457,079
TIFFANY & CO.	0	0
THERMO FISHER SCIENTIFIC INC.	0	0
TORONTO-DOMINION BANK	230,798	294,312
TULLOW OIL PLC	138,934	124,279
VEDANTA RESOURCES PLC	0	0
VODAFONE GROUP PLC - SP ADR	0	0
WAL-MART STORES, INC.	169,271	290,523
WELLS FARGO COMPANY	107,305	316,166
WYNN MACAU, LIMITED	49,483	111,972

Name of Stock	End of Year Book Value	End of Year Fair Market Value
YUM! BRANDS, INC.	98,780	192,049
UNITEDHEALTH GROUP INC.	244,807	311,667
VIRGIN MEDIA INC.	0	0

# TY 2013 Investments Government Obligations Schedule

**Name:** THE CURTIS W MCGRAW FOUNDATION

**EIN:** 22-1761678

<b>US Government Securities - End of Year Book Value:</b>	1,157,343
<b>US Government Securities - End of Year Fair Market Value:</b>	1,141,299
<b>State &amp; Local Government Securities - End of Year Book Value:</b>	849,006
<b>State &amp; Local Government Securities - End of Year Fair Market Value:</b>	852,392

**TY 2013 Investments - Land Schedule****Name:** THE CURTIS W MCGRAW FOUNDATION**EIN:** 22-1761678

## TY 2013 Investments - Other Schedule

**Name:** THE CURTIS W MCGRAW FOUNDATION

**EIN:** 22-1761678

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
5500 FUND LP	AT COST	1,596,732	3,865,384



**TY 2013 Land, Etc. Schedule****Name:** THE CURTIS W MCGRAW FOUNDATION**EIN:** 22-1761678

**TY 2013 Legal Fees Schedule****Name:** THE CURTIS W MCGRAW FOUNDATION**EIN:** 22-1761678

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DRINKER BIDDLE & REATH LLP	9,635	6,423		3,212

## TY 2013 Other Decreases Schedule

**Name:** THE CURTIS W MCGRAW FOUNDATION

**EIN:** 22-1761678

Description	Amount
PRIOR YEAR DECREASE FOR 5500 FUND	104,912

**TY 2013 Other Expenses Schedule****Name:** THE CURTIS W MCGRAW FOUNDATION**EIN:** 22-1761678

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MEMBERSHIP DUES	3,000			3,000
FEES	30			30
PORTFOLIO DEDUCTIONS THRU K-1	40,737	40,737		
BANK CHARGES	654			654
MISCELLANEOUS EXPENSE	263			

TY 2013 Other Professional Fees Schedule

**Name:** THE CURTIS W MCGRAW FOUNDATION

**EIN:** 22-1761678

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROCKEFELLER & CO - INVESTMENT	118,584	118,584		
MERRILL LYNCH - CONSULTING FEE	10,500	10,500		

**TY 2013 Taxes Schedule****Name:** THE CURTIS W MCGRAW FOUNDATION**EIN:** 22-1761678

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
US EXCISE TAX-EST PYMTS	34,893			
FOREIGN TAX ON DIVIDENDS RECD	22,577	22,577		

**TY 2013 IRS Payment****Name:** THE CURTIS W MCGRAW FOUNDATION**EIN:** 22-1761678**Routing Transit Number:** 021000021**Bank Account Number:** 2608974000**Type of Account:** Checking**Payment Amount in Dollars and Cents:** 17,556**Requested Payment Date:** 2014-05-09**Taxpayer's Daytime Phone Number:** (212) 549-5360