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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Open to Public Inspection

Department of the Treasury

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. Internal Revenue Service For calendar year 2013 or tax year beginning , and ending Name of foundation A Employer identification number Frascella Family Foundation Corp Number and street (or PO box number if mail is not delivered to street address) Room/suite 20-8654691 B Telephone number (see instructions) City or town ZIP code CT Greenwich 06830 (212) 842-8915 Foreign country name Foreign province/state/county Foreign postal code C If exemption application is pending, check here G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return Foreign organizations meeting the 85% test. Address change Name change check here and attach computation Check type of organization: X Section 501(c)(3) exempt private foundation E If private foundation status was terminated under section 507(b)(1)(A), check here Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation Fair market value of all assets at F If the foundation is in a 60-month termination end of year (from Part II, col. (c), Other (specify) under section 507(b)(1)(B), check here 1,913,334 line 16) ▶ \$ (Part I, column (d) must be on cash basis) Part I Analysis of Revenue and Expenses (The total of (d) Disbursements (a) Revenue and (b) Net investment (c) Adjusted net for charitable amounts in columns (b), (c), and (d) may not necessarily expenses per ıncome ıncome purposes books equal the amounts in column (a) (see instructions)) (cash basis only) Contributions, gifts, grants, etc., received (attach schedule) 2 Check ► I if the foundation is **not** required to attach Sch. B 3 Interest on savings and temporary cash investments 1,192 1,192 4 Dividends and interest from securities 5a Gross rents Net rental income or (loss) 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2) . . . 8 Net short-term capital gain 9 Income modifications . . . 10a Gross sales less returns and allowances b Less: Cost of goods sold C Gross profit or (loss) (attach schedule) 11 Other income (attach schedule) 12 Total. Add lines 1 through 11 1.192 1,192 0 Compensation of officers, directors, trustees, etc. . 13 Operating and Administrative Expenses 14 Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees (attach schedule) . . b Accounting fees (attach schedule) Other professional fees (attach schedule) 17 18 Taxès (attach schedule) (see instructions) 19 Depreciation (attach schedule) and depletion . . . 21 Travel, conferences, and Reetings 22 Printing and publications . . . 23 Other expenses (attach schedule) 24 Total operating and administrative expenses. Add lines 13 through 23 n n 25 Contributions, gifts, grants paid 80,556 80,556 26 Total expenses and disbursements. Add lines 24 and 25 0 0 80,556 80,556 27 Subtract line 26 from line 12: Excess of revenue over expenses and disbursements . . . -79,364**Net investment income** (if negative, enter -0-) . . 1,192

For Paperwork Reduction Act Notice, see instructions.

Adjusted net income (if negative, enter -0-) .

Form **990-PF** (2013)

D.	art II	Balance Sheets Attached schedules and amounts in the description column	Beginning of year	End o	t year
		should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	834,727	753,169	753,169
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts	1		
	5	Grants receivable	_ ! _		_
	6	Receivables due from officers, directors, trustees, and other			
	İ	disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
	ŀ	Less allowance for doubtful accounts	1		
ţ	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges	i		
As	10a	Investments—U S and state government obligations (attach schedule)			
	ь	Investments—corporate stock (attach schedule)	750,000	750,000	1,157,971
	c	Investments—corporate bonds (attach schedule)	, i	•	•
	11	Investments—land, buildings, and equipment basis			
	-	Less accumulated depreciation (attach schedule)			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			············
	14				
	'	Less accumulated depreciation (attach schedule)			
	15			2,194	2,194
	16	Other assets (describe Total assets (to be completed by all filers—see the		2,104	2,104
	'	instructions. Also, see page 1, item I)	1,584,727	1,505,363	1,913,334
_	17	Accounts payable and accrued expenses	1,504,727	1,000,000	1,010,004
	18	Grants payable			
Liabilities	19	Deferred revenue			
≝	20	Loans from officers, directors, trustees, and other disqualified persons			
ab	21	Mortgages and other notes payable (attach schedule)			
Ξ	22				
	23	Other liabilities (describe Total liabilities (add lines 17 through 22)	0	0	
	25	Foundations that follow SFAS 117, check here	 		
es		and complete lines 24 through 26 and lines 30 and 31.			
2	24	Unrestricted			
alances	25	Temporarily restricted			
$\mathbf{\omega}$	26	Permanently restricted			
or Fund	-	Foundations that do not follow SFAS 117, check here			
교		and complete lines 27 through 31.			
ō	27	Capital stock, trust principal, or current funds			
ţ	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
Net Assets	29	Retained earnings, accumulated income, endowment, or other funds	1,584,727	1,505,363	
As	30	Total net assets or fund balances (see instructions)	1,584,727	1,505,363	
et	31	Total liabilities and net assets/fund balances (see	1,004,727	1,000,000	
Z	31	instructions)	1,584,727	1,505,363	
D,	rt III	Analysis of Changes in Net Assets or Fund Balances	1,304,727	1,303,303	
		net assets or fund balances at beginning of year—Part II, column (a)	line 30 (must agree v	uth T	
•		of-year figure reported on prior year's return)			1,584,727
2		ramount from Part I, line 27a			-79,364
3		r increases not included in line 2 (itemize)			-13,304
	DUIG!	ines 1, 2, and 3		4	1,505,363
5		pages not included in line 2 (itemize)		5	1,505,505
		net assets or fund balances at end of year (line 4 minus line 5)—Part	III. column (b) line 30		1,505,363
<u> </u>		The state of the s	,		1,000,000

Part	(a) List and describe the	d Losses for Tax on Investn ne kınd(s) of property sold (e g , real estate, nuse, or common stock, 200 shs MLC Co)	-	(b) How acquired P—Purchase D—Donation		te acquired , day, yr)	(d) Date sold (mo , day, yr)
1a						-	
b							
c			-				
d_							<u> </u>
<u> e </u>							<u></u>
	(e) Gross sales price	(f) Depreciation allowed (or allowable)		or other basis pense of sale			n or (loss) f) minus (g)
<u>a</u>							
<u> </u>							
<u>c</u>							
<u>d</u>	- ,						
е				40/04/00			
	Complete only for assets sh	nowing gain in column (h) and owned	•				(h) gain minus
	(i) FM V as of 12/31/69	(j) Adjusted basis as of 12/31/69		ess of col (i) col (j), if any	,		t less than -0-) or rom col (h))
<u>a</u>							······································
<u> </u>	,		·				
<u>c</u>							<u> </u>
<u>d</u>							
<u>е</u> 2	Capital gain net income or	(net canital loss) 1	also enter in P	·			
3		or (loss) as defined in sections 1	, enter -0- ın P 222(5) and (6)		2		0
	If gain, also enter in Part I,	line 8, column (c) (see instruction	ns). If (loss), e	nter -0- in 🚶			
Part		der Section 4940(e) for Redu			3		0
Was t		e this part blank. section 4942 tax on the distributal qualify under section 4940(e). Do			e period	?	☐ Yes ☒ No
1	Enter the appropriate amo	unt in each column for each year;	see the instru	ctions before makir	ng any e	entries	
Cal	(a) Base period years endar year (or tax year beginning in)	(b) Adjusted qualifying distributions	s Net valu	(c) e of noncharitable-use as	sets		(d) tribution ratio divided by col (c))
Oan	2012		5.207	1.500	0.352	(cor (b)	0.036796
	2011		5,920	1,437	7	· · · ·	0.052802
	2010		0		1,562		0.000000
	2009	·					0.000000
	2008						0.000000
2	Total of line 1, column (d)					2	0.089598
3	_	or the 5-year base period—divide lation has been in existence if less				3	0.029866
4	Enter the net value of nonc	charitable-use assets for 2013 fro	m Part X, line	5	.	4	1,854,656
5	Multiply line 4 by line 3 .				.	5	55,391
6	Enter 1% of net investmen	it income (1% of Part I, line 27b)				6	12
7	Add lines 5 and 6					7	55,403
′	Add mics o and o				·		

Part '	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see inst	ructio	ns)			
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)					
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check	1			12	
С	here ► X and enter 1% of Part I, line 27b				_	
_	Part I, line 12, col. (b).					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2			0	<u> </u>
3	Add lines 1 and 2	3			12	
4 5	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0	4 5			12	_
6	Credits/Payments:				12	<u> </u>
a	2013 estimated tax payments and 2012 overpayment credited to 2013 6a 31					
b	Exempt foreign organizations—tax withheld at source 6b					
c	Tax paid with application for extension of time to file (Form 8868) 6c					ļ
d	Backup withholding erroneously withheld					ļ
7	Total credits and payments. Add lines 6a through 6d	7			31	
8	Enter any penalty for underpayment of estimated tax. Check here	8				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			0	
10 11	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid Enter the amount of line 10 to be Credited to 2014 estimated tax 19 Refunded	10			19 0	-
	VII-A Statements Regarding Activities					L
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did if				Yes	No
	participate or intervene in any political campaign?			1a		х
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see		Ī			
	Instructions for the definition)?		.]	1b		Х
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any material	Is				
	published or distributed by the foundation in connection with the activities.		ŀ			- -
C	Did the foundation file Form 1120-POL for this year?		· }	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. (2) On foundation managers.					
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax impos					
·	on foundation managers. • \$	36 u				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		.	2		Х
	If "Yes," attach a detailed description of the activities.		Ī			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, are					
	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the change	s]	3		Х
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		.	4a		Х
_b	If "Yes," has it filed a tax return on Form 990-T for this year?		٠	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	• •	•	5		Х
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		l			
	By language in the governing instrument, or					
	• By state legislation that effectively amends the governing instrument so that no mandatory directions the conflict with the state law remain in the governing instrument?		ŀ		v	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV			<u>6</u> 7	X	
8а	Enter the states to which the foundation reports or with which it is registered (see instructions)	• •	·		_^	
	Connecticut		l			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney Gene	ral				
	(or designate) of each state as required by General Instruction G? If "No," attach explanation		• [8b	x	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3	•	ſ			
	or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?) If				
	"Yes," complete Part XIV			9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing the names and addresses			10		X

Part	VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified	 	_	 ^
-	person had advisory privileges? If "Yes," attach statement (see instructions)	12		×
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	 ^-
	Website address ► N/A			L
14	The books are in care of ► Anthony Frascella Telephone no. ► (212) 84	2-891	 5	
	Located at ► 136 Madison Avenue, 3rd Floor New York NY ZIP+4 ► 10016		.	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year	• • •	•	▶ □
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority		Yes	No
. •	over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of	··•		 ^
	the foreign country			
Part	VII-B Statements Regarding Activities for Which Form 4720 May Be Required	1		
-	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			·
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			
	foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	•		f
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in			1
	Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			
	were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?		!	
	If "Yes," list the years 20, 20, 20, 20			
b	, ,			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer "No" and attach statement—see instructions.)	2b	N/A	<u> </u>
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20, 20, 20, 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or			
	disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse			
	of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			
	foundation had excess business holdings in 2013.)	3b	N/A	<u> </u>
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its	4		
	chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		<u> </u>

Part	VII-B Statements Regarding Activities	for W	hich Form	4720 l	May Be Re	quire	d (contin	ued)			
5a	During the year did the foundation pay or incur any										
	(1) Carry on propaganda, or otherwise attempt to i		_	•			Yes	X No	'		
	(2) Influence the outcome of any specific public ele- directly or indirectly, any voter registration drive			•	r to carry on		☐ Yes	X No			
	(3) Provide a grant to an individual for travel, study							X No			
	(4) Provide a grant to an organization other than a section 509(a)(1), (2), or (3), or section 4940(d)	charita	ble, etc., orga	anızatıo	n described	ın		X No			
	(5) Provide for any purpose other than religious, cf purposes, or for the prevention of cruelty to chil	haritable	e, scientific, li	terary,	or education	nal	☐ Yes	∑ No	,		
b	If any answer is "Yes" to 5a(1)-(5), did any of the t						describe	d in			
	Regulations section 53.4945 or in a current notice	regardı	ng disaster a	ssistand	ce (see insti	uctions	s) ²		5b	N/A	-
С	Organizations relying on a current notice regarding if the answer is "Yes" to question 5a(4), does the forbecause it maintained expenditure responsibility for	oundati	on claım exer	nption f			· · · ·	► □ No] 		
	If "Yes," attach the statement required by Regulation										
6a	Did the foundation, during the year, receive any fur				pay premiur	ns	□ vaa	X No			
ь	on a personal benefit contract?				orcopal bor	· ·			6b		X
b	If "Yes" to 6b, file Form 8870.	, un ecu	y or manechy	, on a p	ersoriai bei	ent coi	maci, .		00		_^
7a	At any time during the tax year, was the foundation a pa						☐ Yes				
	If "Yes," did the foundation receive any proceeds o VIII Information About Officers, Directe									N/A	
rant	Information About Officers, Directors and Contractors	ors, ii	usiees, ro	ungau	ion ivianaç	jers, r	nigniy Pa	aid En	npioye	es,	
1	List all officers, directors, trustees, foundation	manag	ers and their	compe	ensation (s	ee inst	ructions).				
	(a) Name and address	hou	e, and average irs per week ted to position) (If i	mpensation not paid, nter -0-)	emple	Contributions byee benefit ferred compe	olans	(e) Expe	nse aco allowan	
	ny Frascella	1	or/Treas								
	der Brook Road Greenwich, CT 06830	As Re			0						
	er Kratt Frascella	1	or/Pres		0						
	der Brook Road Greenwich, CT 06830 an Kratt	As Red			<u> </u>						
	x 4052 Greenwich, CT 06831	As Re			0						
2	Compensation of five highest-paid employees (other t	han those in	cluded	on line 1—	see in:			ne, ent	er	
	(a) Name and address of each employee paid more than \$50,000	1	(b) Title, and a hours per v devoted to po	veek	(c) Comper	sation	(d) Contributemployee plans and compens	benefit leferred	(e) Expe	nse aco illowan	
NONE											
			 		-						
Total	number of other employees and even \$50,000										
iorai i	number of other employees paid over \$50,000							.▶			

I alt IX-D	Cammary of Fregram-related investments (see instructions)	
Describe the tw	o largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A		
2		
All other progra	m-related investments. See instructions	
3		
Total, Add line	s 1 through 3	•

Form **990-PF** (2013)

Part	 , , , , , , , , , , , , , , , , , ,	n founda	tions,
	see instructions.)		
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes:		
а	Average monthly fair market value of securities	1a	1,096,758
b	Average of monthly cash balances	1b	785,958
C	Fair market value of all other assets (see instructions)	1c	183
d	Total (add lines 1a, b, and c)	1d	1,882,899
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,882,899
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see		
	ınstructions)	4	28,243
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,854,656
6	Minimum investment return. Enter 5% of line 5	6	92,733
Part	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	92,733
2a	Tax on investment income for 2013 from Part VI, line 5		32,100
b	Income tax for 2013. (This does not include the tax from Part VI.)	1	
c	Add lines 2a and 2b	2c	12
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	92,721
4	Recoveries of amounts treated as qualifying distributions	4	32,721
5	Add lines 3 and 4	5	92,721
6	Deduction from distributable amount (see instructions)	6	52,721
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,		
•	line 1	7	92,721
			32,721
Part	XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	80,556
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	80,556
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.		
	Enter 1% of Part I, line 27b (see instructions)	5	12
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	80,544
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether	her the fo	undation
	qualifies for the section 4940(e) reduction of tax in those years.		

aru 1	Distributable amount for 2013 from Part XI,	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
	line 7				92,721
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only			18,823	
b	Total for prior years: 20, 20, 20		•		
3	Excess distributions carryover, if any, to 2013:				-
а	From 2008				
b	From 2009				
c	From 2010				
d	From 2011				
e	From 2012				
f	Total of lines 3a through e	0			
4	Qualifying distributions for 2013 from Part XII,				
	line 4: ▶ \$ 80,556				
а	Applied to 2012, but not more than line 2a			18,823	
ь	Applied to undistributed income of prior years				
	(Election required—see instructions)				
С	Treated as distributions out of corpus (Election				
	required—see instructions)				
d	Applied to 2013 distributable amount				61,733
е	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013.	-			
	(If an amount appears in column (d), the same				
	amount must be shown in column (a))				
6	Enter the net total of each column as indicated below:				
а	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
þ	Prior years' undistributed income. Subtract line 4b from line 2b		0		
С	Enter the amount of prior years' undistributed		-		
	income for which a notice of deficiency has				
	been issued, or on which the section 4942(a)				
	tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable				
	amount—see instructions				
е	Undistributed income for 2012. Subtract line				
	4a from line 2a. Taxable amount—see			·	
	instructions			. 0	
f	Undistributed income for 2013. Subtract lines				
	4d and 5 from line 1. This amount must be	ł			
	distributed in 2014				30,988
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not				
	applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2014.				
	Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:			1	
a	Excess from 2009			1	
	Excess from 2010				
C	Excess from 2011	ľ			
d	Excess from 2012			1	
е	Excess from 2013		1	·	

Part	XIV Private Operating Foundat	ions (see instru	actions and Part	VII-A, question 9))	N/A
1a	If the foundation has received a ruling o	r determination le	tter that it is a priva	ate operating		
	foundation, and the ruling is effective for					
ь	Check box to indicate whether the found		operating foundati	ion described in se	ction 4942()(3) or 🔲 4942(j)(5)
2a	Enter the lesser of the adjusted net income from Part I or the minimum	Tax year	 	Prior 3 years		(e) Total
	investment return from Part X for	(a) 2013	(b) 2012	(c) 2011	(d) 2010	<u> </u>
_	each year listed			 		0
b			<u>.</u>			0
С	Qualifying distributions from Part XII, line 4 for each year listed					0
d	Amounts included in line 2c not used directly for active conduct of exempt activities					0
е	Qualifying distributions made directly					-
	for active conduct of exempt activities. Subtract line 2d from line 2c					0
3	Complete 3a, b, or c for the					
	alternative test relied upon:					
а	"Assets" alternative test—enter:					
	(1) Value of all assets		ļ			0
	(2) Value of assets qualifying under					
ь	section 4942(j)(3)(B)(i)			<u>. </u>		0
ь	of minimum investment return shown in Part X, line 6 for each year listed					0
С	"Support" alternative test—enter:	:				
	(1) Total support other than gross					
	investment income (interest, dividends, rents, payments on					
	securities loans (section					
	512(a)(5)), or royalties)			ļ		0
	(2) Support from general public and 5 or more exempt					
	organizations as provided in					
	section 4942(j)(3)(B)(iii)					0
	(3) Largest amount of support from					
	an exempt organization				 	0
Part	(4) Gross investment income XV Supplementary Information	· (Complete th	is mart and if th	a foundation b		0
rail	any time during the year—	•	•	ie roundation n	ad \$5,000 or mo	re in assets at
1	Information Regarding Foundation Ma		13.)		· · ·	
a	List any managers of the foundation who		d more than 2% of	the total contribution	ons received by the	foundation
	before the close of any tax year (but onl					
Antho	ny Frascella	•		, ,		
b		o own 10% or mo	re of the stock of a	corporation (or an	equally large portion	on of the
	ownership of a partnership or other entit					.,, ., ., .
	·	• •		•		
2	Information Regarding Contribution,	Grant, Gift, Loan	, Scholarship, etc	., Programs:		
	Check here ▶ X if the foundation on	ly makes contribu	tions to preselecte	d charitable organi	zations and does n	ot accept
	unsolicited requests for funds. If the four		ts, grants, etc. (see	e instructions) to in	dıvıduals or organız	ations
	under other conditions, complete items	2a, b, c, and d.				
а	The name, address, and telephone num	ber or e-mail add	ress of the person	to whom application	ons should be addre	essed
þ	The form in which applications should be	e submitted and ii	nformation and ma	terials they should	ınclude:	
	Anu pulmanana da altimana					·
C	Any submission deadlines:					
	Any restrictions or limitations on awards	such as by good	ranhical areas, ch	aritable fields kind	e of inetitutions, or :	other
u	factors:	, such as by geog	jiupilioal aleas, CII	antable lielus, killü	อ บา แารแเนแบกรี, QF (Julei

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid Duri	- · · · · · · · · · · · · · · · · · · ·	eu ioi rui	ture Payment	r
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business	or substantial contributor	recipient		<u> </u>
a Paid during the year YWCA of Greenwich	None		0	15.000
259 E. Putnam Ave.	None	PC	Social Programs for Women	15,660
Greenwich, CT 06830				
University of Pennsylvania	None	PC	Education	5,000
3451 Walnut Street				
Philadelphia, PA 19104				}
Lyme Research Alliance, Inc.	None	PC	Lyme Disease Research	33,146
2001 West Main Street, Suite 280 Stamford, CT 06902				
Children of Fallen Patriots Foundation	None	PC	Education	500
419 Third Street North	None	'	Ludcation]
Jacksonville Beach, FL 32250				
Saint Michael Roman Catholic Church	None	PC	Religion	1,250
469 North Street				
Greenwich, CT 06830				
Junior League of Greenwich 231 East Putnam Avenue	None	PC	Social Programs for Women	5,000
Greenwich, CT 06830				
The Stanwich School	None	PC	Education	20,000
257 Stanwich Road				
Greenwich, CT 06830				
		l		
Total	 		▶ 3a	80,556
b Approved for future payment				:
		<u> </u>		
Total	 		> 3b	0

Ente	r gro	oss amounts unless otherwise indicated.	Unrelated bu	isiness income	Excluded by sect	on 512, 513, or 514	(e)
	Dro	gram conuce revenue:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1		gram service revenue:					
	a b		-		 		
					<u> </u>		
	C						
	d				-		
	e			-			
	T						
_	_	Fees and contracts from government agencies		<u> </u>			
2		mbership dues and assessments					
3		rest on savings and temporary cash investments .			14	1,192	
4		idends and interest from securities		ļ <u>.</u>	ļ		
5		rental income or (loss) from real estate:			****		
		Debt-financed property					
		Not debt-financed property					_
6	Net	t rental income or (loss) from personal property					
7	Oth	ner investment income					
8	Gai	n or (loss) from sales of assets other than inventory					
9	Net	income or (loss) from special events					
10	Gro	oss profit or (loss) from sales of inventory					
11	Oth	ner revenue: a					
	ь						
	С						
	d						
	e				1		
12	Sub	ototal. Add columns (b), (d), and (e)		0		1,192	(
					•	13	
		2117 (27, 41)					
(See	wor	rksheet in line 13 instructions to verify calculations	1				•
		rksheet in line 13 instructions to verify calculations N=B Relationship of Activities to the A		ent of Exempt	Purposes		
Par Line		Relationship of Activities to the A Explain below how each activity for which incom	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Relationship of Activities to the A Explain below how each activity for which incom	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the es) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the les) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the les) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the les) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the les) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
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Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	
Par Line	t X\ e No	Feplain below how each activities to the A Explain below how each activity for which incom accomplishment of the foundation's exempt purpose.	ccomplishm	olumn (e) of Part >	(VI-A contributed	importantly to the ses) (See instruction	

Form 990-PF (2013)

Use Only

Firm's address

Information Regarding Transfers To and Transactions and Relationships With Noncharitable **Exempt Organizations** Did the organization directly or indirectly engage in any of the following with any other organization described 1 Yes No in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political Transfers from the reporting foundation to a noncharitable exempt organization of: 1a(1) X 1a(2) Other transactions. (1) Sales of assets to a noncharitable exempt organization . . . 1b(1) (2) Purchases of assets from a noncharitable exempt organization 1b(2) (3) Rental of facilities, equipment, or other assets 1b(3) 1b(4) 1b(5) (6) Performance of services or membership or fundraising solicitations . . . 1b(6) If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received (a) Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangements Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations **b** If "Yes," complete the following schedule. (c) Description of relationship (a) Name of organization (b) Type of organization Under penalties of penury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge Sign May the IRS discuss this return with the preparer shown below Here Treasurer (see instructions)? Yes No Signature of officer or trustee Date Print/Type preparer's name Preparer's signature Date PTIN Check I if **Paid** self-employed Preparer Firm's name Firm's EIN ▶

Phone no

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		750,000	750,000	1,005,878	1,157,971
	Num. Shares/	Book Value	Book Value	FMV	FMV
Description	Face Value	Beg. of Year	End of Year	Beg. of Year	End of Year
400 Capital Credit Opportunities Fund Ltd.	764	750,000	750,000	1,005,878	1,157,971

Form **8868**

(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

Information about Form 8868 and its instructions is at www.lrs.gov/form8868.

• If you a	re filing for an Automatic 3-Month Extensi c re filing for an Additional (Not Automatic) 3 <i>mplete Part II unless</i> you have already bee	-Month Ex	ttension, complete only Part II (on	page 2 of thi	s for	п).	► <u>X</u> 868.	
a corporati 8868 to red Return for	filing (e-file). You can electronically file For on required to file Form 990-T), or an addition quest an extension of time to file any of the for Transfers Associated With Certain Personal s). For more details on the electronic filing of	nal (not au orms listed Benefit Co	tomatic) 3-month extension of time. in Part I or Part II with the exception ntracts, which must be sent to the IR	You can elect of Form 887 S in paper fo	tronic 70, Informat	ally file formati (see	e Form on	
Part I	Automatic 3-Month Extension of	Time. On	ly submit original (no copies nee	ded).				
Part I only All other co	on required to file Form 990-T and requesting the control of the c	g an auton	natic 6-month extension—check this	box and con to request a	 n ext	 ension	▶ ☐	
Type or	Name of exempt organization or other filer, se	e instruction		Employer ide				
print	Frascella Family Foundation Corp			20-8654691			. ,	
File by the	Number, street, and room or suite no. If a P.O	. box, see in	structions.	Social secu	SSN)			
due date for			•	•	,			
filing your return See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Greenwich, CT 06830							
	leturn code for the return that this application	is for (file		m)			. 04_	
Application	on .	Return	Application				Return	
ls For		Code	Is For	Code				
	or Form 990-EZ	01	Form 990-T (corporation)		07			
Form 990-		02	Form 1041-A	08				
) (individual)	03	Form 4720 (other than individual)	09				
Form 990-		04	Form 5227	10				
	T (sec. 401(a) or 408(a) trust) T (trust other than above)	05 06	Form 6069 Form 8870	11				
• The book Telepho • If the org • If this is for the who list with the 1 I required until is for ▶ X 2 If the	ne No. (212) 842-8915 ganization does not have an office or place of for a Group Return, enter the organization's le group, check this box	of business four digit G If it is for p ion is for. orporation exempt org	Fax No. (212) 842-8901 in the United States, check this box aroup Exemption Number (GEN) art of the group, check this box. required to file Form 990-T) extension panization return for the organization , and ending	on of time named abov	ve. Th	a e exte	▶ ☐ this is nd attach a	
	s application is for Forms 990-BL, 990-PF, 99	90-T, 4720.	or 6069, enter the tentative tax. less	anv	Γ.			
	nonrefundable credits. See instructions.					\$	24	
	application is for Forms 990-PF, 990-T, 472	0, or 6069,	enter any refundable credits and					
	ated tax payments made. Include any prior				3b	\$	31	
	c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using							
	ou are going to make an electronic funds withdra			53-FO and Fo	3c	\$ 79-EO	for 0	
payment inst		ATTEN VANDOLI		JO-LO anu FC	JIII 00	EO	IVI	

	ev. 1-2014)	2 Marsh T	Manaian agreetate and B		<u> </u>		Page	
	re filing for an Additional (Not Automatic)							
	complete Part II if you have already been			n on a previously file	d Fom	n 8868.		
art II	re filing for an Automatic 3-Month Extens Additional (Not Automatic) 3-Mon			o original (se sesi		المصام		
ai t II	Additional (Not Adtomatic) 3-Mon	III EXIENS				•	!==4===4!==	
pe or	Name of exempt organization or other filer, see instructions Enter filer's Identif							
nt				20-8654691	, ,			
					Social security number (SSN)			
by the	9 Boulder Brook Road					J. (00.1)		
date for your	City, town or post office, state, and ZIP code	n address, see instructions.						
n See uctions	On a secret OT 00000							
er the F	Return code for the return that this application	on is for (file	a separate application for e	each return)	• •	• • •	. 04	
pplication		Return	Application				Return	
For		Code	Is For		Cod			
rm 990	or Form 990-EZ	01				•		
rm 990-	BL	02	Form 1041-A	rm 1041-A			08	
) (ındividual)	03	Form 4720 (other than inc	n 4720 (other than individual)			09	
orm 990-PF		04	Form 5227	m 5227				
	orm 990-T (sec. 401(a) or 408(a) trust)			Form 6069				
		05					11	
rm 990- OP! Do r The boo Telepho If the or	T (trust other than above) not complete Part II if you were not already to bks are in the care of Taxpayer one No. ► (212) 842-8915 ganization does not have an office or place	granted an a	Form 8870 automatic 3-month extension Fax No. 212-842-890 in the United States, check	this box				
The boot Telepholif the orlif this is the who with the	T (trust other than above) not complete Part II if you were not already to complete Part II if you were not already to complete Part II if you were not already to complete Part II if you were not already to complete Part II if you were not already in the No. ► (212) 842-8915 ganization does not have an office or place for a Group Return, enter the organization it is group, check this box ► □ names and EINs of all members the extension and EINs of all members the extension of time salendar year2013 _, or other tax year be tax year entered in line 5 is for less than 1 Change in accounting period	of business s four digit (). If it is for psion is for. e until eginning 2 months, ci	Form 8870 automatic 3-month extension Fax No. 212-842-890 s in the United States, check Group Exemption Number (count of the group, check this 11/17/2014 theck reason:	this box	▶	 . If an		
The boot Telepholif the or of this is the who with the	T (trust other than above) not complete Part II if you were not already to be are in the care of ■ Taxpayer ne No. ■ (212) 842-8915 ganization does not have an office or place for a Group Return, enter the organization' ile group, check this box	of business s four digit (). If it is for psion is for. e until eginning 2 months, cititional time is therefore addition's Fe	Form 8870 automatic 3-month extension Fax No. 212-842-890 In the United States, check Group Exemption Number (4) Dart of the group, check this 11/17/2014 The respectfully made for an extension of the group.	this box	al retur	. If an	► [this is d attach a	
The boot Telepholif the ori of this is the who with the I require the Company of this is the thin the I require th	T (trust other than above) not complete Part II if you were not already to be are in the care of ■ Taxpayer ne No. ■ (212) 842-8915 ganization does not have an office or place for a Group Return, enter the organization le group, check this box ■ □ names and EINs of all members the extenuest an additional 3-month extension of time calendar year 2013 , or other tax year be tax year entered in line 5 is for less than 1 change in accounting period and etail why you need the extension Additional accurate form 990-PF. Application to ensure accurate preparation of the Four sapplication is for Forms 990-BL, 990-PF, Sapplication is for Forms 990-BL	of business s four digit (). If it is for psion is for. e until eginning 2 months, cititional time is therefore addition's Fe	Form 8870 automatic 3-month extension Fax No. 212-842-890 In the United States, check Group Exemption Number (4) Dart of the group, check this 11/17/2014 The respectfully made for an extension of the group.	this box	al retur	If an	this is d attach a	
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The boot Telepho If the or if this is the who with the I required For Control of this company and the company and the If this nonrelif this is the whole of the company and th	T (trust other than above) not complete Part II if you were not already oks are in the care of Taxpayer ne No. (212) 842-8915 ganization does not have an office or place for a Group Return, enter the organization' le group, check this box	of business s four digit (). If it is for psion is for. e until eginning 2 months, clational time is therefore indation's Fe 1990-T, 4720, 20, or 6069	Form 8870 automatic 3-month extension Fax No. 212-842-890 an the United States, check Group Exemption Number (control of the group, check this 11/17/2014 The respectfully made for an external tax return.	this box	al retur	If an	this is d attach a	
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The book Telepho If the or If this is the who with the I req For c If the Conj 2014 If this nonr If this estim	T (trust other than above) not complete Part II if you were not already oks are in the care of Taxpayer ne No. (212) 842-8915 ganization does not have an office or place for a Group Return, enter the organization ile group, check this box	of business s four digit (c. If it is for psion is for. e until eginning 2 months, clational time is therefore adation's Fe 1990-T, 4720, 20, or 6069 year overp	Form 8870 automatic 3-month extension Fax No. 212-842-890 an the United States, check Group Exemption Number (control of the group, check this 11/17/2014 Theck reason: Initial is required to compile the interespectfully made for an extension of 6069, enter the tentative of enter any refundable credit ayment allowed as a credit	this box	al retur	If an	► [this is d attach a	
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Under penalties of per	jury, i declare that	I have examined this fo	rm, including acco	mpanying schedules	and statements,	and to the best of my
knowledge and belief,	it is true, correct,	and complete, and that	am authorized to	prepare this form.		•

Signature >

Title ► CPA

Date ► 7-22-14 Form **8868** (Rev. 1-2014)