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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation THE MARY H. CAIN FOUNDATION		A Employer identification number 20-8483925
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (713) 840-7896
8 GREENWAY PLAZA	606	
City or town, state or province, country, and ZIP or foreign postal code HOUSTON, TX 77046		C If exemption application is pending, check here ☐
G Check all that apply	Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change ☐	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 17,454,610.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	328,738.	326,215.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)	951,222.			
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a 11,219,068.		951,222.		
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 2	30,614.	18,779.			
12 Total. Add lines 1 through 11	1,310,574.	1,296,216.	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages	9,689.	9,689.		
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) *	17,846.	17,746.		100.
	17 Interest ATCH 4	583.	583.		
	18 Taxes (attach schedule) (see instructions) ATCH 5	16,590.	2,370.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	67,713.	67,068.		
	24 Total operating and administrative expenses Add lines 13 through 23	112,421.	97,456.		100.
	25 Contributions, gifts, grants paid	716,000.			716,000.
26 Total expenses and disbursements Add lines 24 and 25	828,421.	97,456.	0	716,100.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	482,153.				
b Net investment income (if negative, enter -0-)		1,198,760.			
c Adjusted net income (if negative, enter -0-)			0		

JSA For Paperwork Reduction Act Notice, see instructions
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	261,734.	177,633.	177,633.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges ATCH 7	5,300.	14,240.	14,240.
	10 a	Investments - U S and state government obligations (attach schedule), **	1,867,512.	1,562,521.	1,576,922.
	b	Investments - corporate stock (attach schedule) ATCH 9	8,005,607.	8,877,882.	14,104,664.
	c	Investments - corporate bonds (attach schedule) ATCH 10	852,991.	870,741.	916,136.
	11	Investments - land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 11	595,188.	558,158	663,237.	
14	Land, buildings, and equipment basis 750.				
	Less accumulated depreciation (attach schedule) ▶ 750.				
15	Other assets (describe ▶ ATCH 12)		1,778.	1,778.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	11,588,332.	12,062,953.	17,454,610.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 13)	7,890.	358.	
23	Total liabilities (add lines 17 through 22)	7,890.	358.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	11,970,454.	11,970,454.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	-390,012.	92,141.	
	30	Total net assets or fund balances (see instructions)	11,580,442.	12,062,595.	
	31	Total liabilities and net assets/fund balances (see instructions)	11,588,332.	12,062,953.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,580,442.
2	Enter amount from Part I, line 27a	2	482,153.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	12,062,595.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,062,595.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	951,222.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	711,331.	14,606,171.	0.048701
2011	657,753.	14,625,514.	0.044973
2010	572,582.	13,321,857.	0.042981
2009	617,418.	11,527,880.	0.053559
2008	147,019.	14,007,190.	0.010496
2 Total of line 1, column (d)			2 0.200710
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.040142
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 16,051,593.
5 Multiply line 4 by line 3			5 644,343.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 11,988.
7 Add lines 5 and 6			7 656,331.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 716,100.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	11,988.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	11,988.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	11,988.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	14,240.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	14,240.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,252.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 2,252. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ CAIN FAMILY OFFICE Telephone no ☐ 713-840-7896			
Located at ☐ 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX ZIP+4 ☐ 77046				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ N/A and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐ N/A	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) ☐	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) ☐	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ N/A ☒ **5b** N/A**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ NoIf "Yes" to 6b, file Form 8870 **6b** X**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 14		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A	
2	
All other program-related investments See instructions	
3 N/A	

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	15,962,104.
b	Average of monthly cash balances	1b	326,601.
c	Fair market value of all other assets (see instructions)	1c	7,328.
d	Total (add lines 1a, b, and c)	1d	16,296,033.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	16,296,033.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	244,440.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	16,051,593.
6	Minimum investment return. Enter 5% of line 5	6	802,580.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	802,580.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	11,988.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	11,988.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	790,592.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	790,592.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	790,592.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	716,100.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	716,100.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	11,988.
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	704,112.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				790,592.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			716,054.	
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>716,100.</u>				
a Applied to 2012, but not more than line 2a			716,054.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				46.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				790,546.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 15

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT 17

c Any submission deadlines

SEE ATTACHMENT 17

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT 17

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> ATCH 16				
Total			► 3a	716,000.
<i>b Approved for future payment</i> NONE				
Total			► 3b	0

Form 990-PF (2013)

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	328,738.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	951,222.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . . .						
11 Other revenue a _____						
b ATCH 17 _____			01	30,614.		
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)				1,310,574.		
13 Total Add line 12, columns (b), (d), and (e)					13	1,310,574.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					674.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					5,592.	
5,289.		LITIGATION INCOME					VARIOUS 5,289.	12/31/2013
2,620.		DISTRIBUTION IN EXCESS OF BASIS: KINDER					VARIOUS 2,620.	12/31/2013
2,093.		DISPOSTION OF PTP: LINN ENERGY, LLC					VARIOUS 2,093.	12/31/2013
6,410,195.		NORTHERN TRUST - SEE ATTACHED STATEMENT 6,435,476.					VARIOUS -25,281.	VARIOUS
1,085,748.		NORTHERN TRUST - SEE ATTACHED STATEMENT 1,051,234.				P	VARIOUS 34,514.	VARIOUS
1,963,237.		NORTHERN TRUST - SEE ATTACHED STATEMENT 1,759,234.					VARIOUS 204,003.	VARIOUS
1,557,813.		NORTHERN TRUST - SEE ATTACHED STATEMENT 862,867.					VARIOUS 694,946.	VARIOUS
3,832.		NORTHERN TRUST - SEE ATTACHED STATEMENT 3,975.					VARIOUS -143.	VARIOUS
121,968.		NORTHERN TRUST - SEE ATTACHED STATEMENT 112,363.					VARIOUS 9,605.	VARIOUS
39,641.		DISPOSTION OF PTP: KINDER MORGAN 28,266.					VARIOUS 11,375.	VARIOUS
20,366.		DISPOSTION OF PTP: PLAINS ALL AMERICAN P 14,431.					VARIOUS 5,935.	VARIOUS
TOTAL GAIN (LOSS)							<u>951,222.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHERN TRUST - AGENCY 26-93893	65,574.	65,574.
NORTHERN TRUST - CYPRESS 26-93897	42,326.	42,326.
NORTHERN TRUST - US TRUST 26-93896	70,943.	70,943.
NORTHERN TRUST - US TRUST FIXED 26-93894	127,056.	124,533.
UBS FINANCIAL SERVICES	21,270.	21,270.
ENERGY TRANSFER EQUITY LP	1,415.	1,415.
ONEOK PARTNERS, LP	1.	1.
KINDER MORGAN ENERGY PARTNERS LP	56.	56.
PLAINS ALL AMERICAN PIPELINE LP	14.	14.
LINN ENERGY LLC	1.	1.
THE BLACKSTONE GROUP	82.	82.
TOTAL	<u>328,738.</u>	<u>326,215.</u>

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE MARY H. CAIN FOUNDATION	20-8483925

DESCRIPTION OF PROPERTY

THRU K-1

	Yes	No	Did you actively participate in the operation of the activity during the tax year?
--	-----	----	--

TYPE OF PROPERTY:

OTHER INCOME.	
SEE ATTACHMENT	29.

TOTAL GROSS INCOME	29.
-------------------------------------	------------

OTHER EXPENSES:

[illegible]

DEPRECIATION (SHOWN BELOW)

LESS. Beneficiary's Portion

AMORTIZATION

LESS: Beneficiary's Portion

DEPLETION

LESS: Beneficiary's Portion

TOTAL EXPENSES	1
--------------------------	---

TOTAL RENT OR ROYALTY INCOME (LOSS)

Less Amount to

Rent or Royalty

Depreciation

Depletion

Investment Interest Expense

Other Expenses

Net Income (Loss) to Others

Net Rent or Royalty Income (Loss) 29.

Deductible Rental Loss (if Applicable)

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

THE MARY H. CAIN FOUNDATION

20-8483925

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

KINDER MORGAN ENERGY PARTNERS, LP
THE BLACKSTONE GROUP

28.

1.

29.

RENT AND ROYALTY SUMMARY

<u>PROPERTY</u>	<u>TOTAL INCOME</u>	<u>DEPLETION/ DEPRECIATION</u>	<u>OTHER EXPENSES</u>	<u>ALLOWABLE NET INCOME</u>
THRU K-1	29.			29.
TOTALS	<u>29.</u>			<u>29.</u>

ATTACHMENT 2FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
ROYALTY INCOME	29.	29.	29.
ORDINARY INCOME - ENERGY TRANSFER EQUITY	-668.		
ORDINARY INCOME - ENTERPRISE PRODUCTS	-2,603.		
ORDINARY INCOME - KINDER MORGAN ENERGY	-380.		
ORDINARY INCOME - ONEOK PARTNERS	-1,902.		
ORDINARY INCOME - PLAINS ALL AMERICAN	32.		
ORDINARY INCOME - LINN ENERGY	128.		
ORDINARY INCOME - THE BLACKSTONE GROUP	5.		
ORDINARY GAIN - ENERGY TRANSFER EQUITY	20.		
ORDINARY GAIN - ENTERPRISE PRODUCTS	58.		
ORDINARY GAIN - KINDER MORGAN ENERGY	28,227.	19,493.	
ORDINARY LOSS - ONEOK PARTNERS	-8.		
ORDINARY GAIN - PLAINS ALL AMERICAN	3,321.		
ORDINARY GAIN - LINN ENERGY	4,325.	-745.	
ORDINARY GAIN - THE BLACKSTONE GROUP	28.		
OTHER INCOME - THE BLACKSTONE GROUP	2.	2.	
TOTALS	30,614.	18,779.	29.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING SERVICES	17,846.	17,746.		100.
TOTALS	<u>17,846.</u>	<u>17,746.</u>		<u>100.</u>

ATTACHMENT 4FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INTEREST EXPENSE - FLOW THRU	583.	583.		
TOTALS	<u>583.</u>	<u>583.</u>		

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL TAX EXPENSE	733.	733.		
FOREIGN TAX WITHHOLDING	1,637.	1,637.		
EXCISE TAX EXPENSE	14,220.			
TOTALS	<u>16,590.</u>	<u>2,370.</u>		

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT FEES - NT AGENCY	2,500.	2,500.		
INVESTMENT FEES - NT CYPRESS	18,386.	18,386.		
INVESTMENT FEES - NT US TRUST	27,579.	27,579.		
INVESTMENT FEES - NT UST FIXED	18,278.	18,278.		
INVESTMENT FEES - UBS	214.	214.		
SECTION 59(E)(2)-KINDER MORGAN	295.			
COST DEPLETION	127.			
SECTION 59(E)(2)-LINN ENERGY	114.			
SECTION 59(E)(2)-THE BLACKSTON	2.			
NDE - ENERGY TRANSFER EQUITY	66.			
NDE - ENTERPRISE PRODUCTS PTNR	5.			
NDE - KINDER MORGAN	4.			
NDE - ONEOK PARTNERS	2.			
NDE - PLAINS ALL AMERICAN	12.			
OTHER INVESTMENT FEES	2.	2.		
OFFICE EXPENSE	18.			
SERVICE FEES - CLASS ACTION	109.	109.		
TOTALS	67,713.	67,068.		

ATTACHMENT 7

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EXCISE TAX	14,240.	14,240.
TOTALS	<u>14,240.</u>	<u>14,240.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 8

DESCRIPTION

ENDING
BOOK VALUE

ENDING
FMV

GOVERNMENT OBLIGATIONS - SEE
ATTACHMENT

1,562,521.

1,576,922.

US OBLIGATIONS TOTAL

1,562,521.

1,576,922.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CORPORATE STOCK - SEE ATTACHMENT	8,877,882.	14,104,664.
TOTALS	<u>8,877,882.</u>	<u>14,104,664.</u>

ATTACHMENT 10

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CORPORATE BONDS - SEE ATTACHMENT	870,741.	916,136.
TOTALS	<u>870,741.</u>	<u>916,136.</u>

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ASSET BACKED SECURITIES - SEE ATTACHMENT	199,760.	194,811.
COMMERCIAL MORTGAGE BACKED SECURITIES - SEE ATTACHMENT	360,297.	371,401.
1,187 UNITS ENERGY TRANSFER EQUITY LP	-1,899.	97,025.
TOTALS	<u>558,158.</u>	<u>663,237.</u>

ATTACHMENT 12

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OTHER AMOUNTS RECEIVABLE	1,778.	1,778.
TOTALS	<u>1,778.</u>	<u>1,778.</u>

THE MARY H. CAIN FOUNDATION

20-8483925

ATTACHMENT 13FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
PAYROLL TAX PAYABLE	358.
TOTALS	<u>358.</u>

THE MARY H. CAIN FOUNDATION

20-8483925

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MARGARET WHITE WEAVER 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX 77046	DIRECTOR, CHAIRMAN, PRESIDENT 20.00	0	0	0
JOHN M. SULLIVAN 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX 77046	DIRECTOR, SECRETARY, TREASURER 5.00	0	0	0
GORDON D. OEHMIG 8 GREENWAY PLAZA, SUITE 606 HOUSTON, TX 77046	DIRECTOR	0	0	0
GRAND TOTALS		0	0	0

ATTACHMENT 15

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

MARGARET W. WEAVER
8 GREENWAY PLAZA, SUITE 606
HOUSTON, TX 77046
713-840-7896

THE MARY H CAIN FOUNDATION

20-8483925

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LINVILLE FOUNDATION PO BOX 99 LINVILLE, NC 28646	NONE PUBLIC		UNRESTRICTED	150,000
THE HERITAGE FOUNDATION 214 MASSACHUSETTS AVENUE WASHINGTON, DC 20002	NONE PUBLIC		UNRESTRICTED	10,000
SHELDON INDEPENDENT SCHOOL DISTRICT 11411 C E KING PKWY † ABRAHAM GEORGE HOUSTON, TX 77004	NONE PUBLIC		UNRESTRICTED	32,000
ST MARTIN'S EPISCOPAL CHURCH 717 SAGE ROAD HOUSTON, TX 77056	NONE PUBLIC		UNRESTRICTED	125,000
PINE FOREST ELEMENTARY 19702 W LAKE HOUSTON PKWY HUMBLE, TX 77346	NONE PUBLIC		UNRESTRICTED	10,000
THE WESTVIEW SCHOOL, INC 1900 KERSTEN DR HOUSTON, TX 77043	NONE PUBLIC		UNRESTRICTED	12,500

ATTACHMENT 16

THE MARY H. CAIN FOUNDATION

20-8483925

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
LAUREN ROGERS MUSEUM OF ART PO BOX 1108 LAUREL, MS 39441-1108	NONE PUBLIC		UNRESTRICTED	12,500
HUMBLE INDEPENDENT SCHOOL DISTRICT 13300 WILL CLAYTON PARKWAY HUMBLE, TX 77346	NONE PUBLIC		UNRESTRICTED	28,000
TIRR FOUNDATION 4605 POST OAK PLACE, SUITE 222 HOUSTON, TX 77027	NONE PUBLIC		UNRESTRICTED	125,000.
MEMORIAL PARK CONSERVANCY P O BOX 131024 HOUSTON, TX 77219	NONE PUBLIC		UNRESTRICTED	60,000
NEUHAUS EDUCATION CENTER 4433 BISSENET BELLATRE, TX 77401	NONE PUBLIC		UNRESTRICTED	11,000
HOUSTON GRAND OPERA 510 PRESTON STREET HOUSTON, TX 77002	NONE PUBLIC		UNRESTRICTED	10,000

THE MARY H CAIN FOUNDATION

20-8483925

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
PATTERSON SCHOOL OF ACCOUNTANCY THE UNIVERSITY OF MISSISSIPPI P O BOX 1848 UNIVERSITY, MS 38677-1848	NONE	PUBLIC	UNRESTRICTED	5,000.
TLR FOUNDATION 1701 BRUN SREET, SUITE 20 HOUSTON, TX 77019	NONE	PUBLIC	UNRESTRICTED	50,000
FORREST FOUNDATION 12019 E COUNTY ROAD, 7300 SLATON, TX 79364	NONE		UNRESTRICTED	75,000
TOTAL CONTRIBUTIONS PAID				716,000

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 17

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
OTHER INCOME FROM FLOW THROUGH			01	30,614.	
TOTALS				<u>30,614.</u>	

The Mary H. Cain Foundation
Asset Reconciliation
12/31/2013

20-8483773

	U.S. and state government obligations	Corporate Stock	Corporate Bonds	Other	Total
<u>Book Value (Cost):</u>					
Northern Trust - Agency		3,379,083 22			3,379,083 22
<u>Northern Trust - UST Fixed</u>			870,741 14		870,741 14
Government Bonds	506,440 85				506,440 85
Government Agencies	17,616 48				17,616 48
Municipal/Provincial Bonds	53,366 10				53,366 10
Government Mortgage Backed Securities	967,842 41				967,842 41
Asset Backed Securities				199,760 11	199,760 11
Commercial Mortgage Backed Securities	17,254 73			360,297 39	377,552 12
Total Northern Trust - UST Fixed	1,562,520 57	-	870,741 14	560,057 50	2,993,319 21
Northern Trust - UST Div VI		3,683,700 34			3,683,700 34
Northern Trust - Cypress		1,233,872.86			1,233,872 86
<u>UBS Financial Services:</u>					
Common Stock		488,419 46			488,419.46
Mutual Funds		92,805 62			92,805 62
Total UBS Financial Services	-	581,225 08	-	-	581,225 08
1,187 Units Energy Transfer Equity LP				(1,899 39)	(1,899 39)
Total	1,562,520 57	8,877,881 50	870,741 14	558,158 11	11,869,301 32

Fair Market Value:

Northern Trust - Agency		6,441,474 37			6,441,474 37
<u>Northern Trust - UST Fixed</u>			916,136 16		916,136 16
Government Bonds	500,200 92				500,200 92
Government Agencies	17,366 30				17,366 30
Municipal/Provincial Bonds	59,912 91				59,912 91
Government Mortgage Backed Securities	982,236 74				982,236 74
Asset Backed Securities				194,810 63	194,810 63
Commercial Mortgage Backed Securities	17,205 04			371,401 25	388,606 29
Total Northern Trust - UST Fixed	1,576,921 91	-	916,136 16	566,211 88	3,059,269 95
Northern Trust - UST Div VI		4,640,164 99			4,640,164 99
Northern Trust - Cypress		2,103,082 21			2,103,082.21
<u>UBS Financial Services:</u>					
Common Stock		766,704 83			766,704 83
Mutual Funds		153,237 62			153,237 62
Total UBS Financial Services	-	919,942 45	-	-	919,942 45
1,187 Units Energy Transfer Equity LP				97,025 38	97,025 38
Total	1,576,921 91	14,104,664 02	916,136 16	663,237 26	17,260,959 35

The Mary H. Cain Foundation
Net Partnership Income
12/31/2013

	Ordinary Business Income	Net Section 1231 Gain/Loss	Intangible Drilling Costs	Cost Depletion	Subtotal- Ordinary Income	Sale			Long Term Capital Gain	Interest Income	Dividend Income	Investment Interest Expense	Foreign Tax	Nondeduct. Expense	Total Column A
						Capital Gain/Loss	Ordinary Gain/Loss	Term Capital Gain							
K1 Energy Transfer Equity LP	(668)	20			(648)			489	379	1,036		(557)		(66)	633
K2 Enterprise Products Partners LP	(2,603)	58			(2,545)				26	30		(26)	(4)	(5)	(2,550)
K3 Kinder Morgan Energy Partners LP	(352)	(39)	(295)		(686)	11,375	28,266		1					(4)	38,977
K4 Oneok Partners, LP	(1,902)	(8)			(1,910)				7	7			(296)	(2)	(1,911)
K5 Plains All American Pipeline, L.P.	32	(84)		(114)	(82)	5,934	3,405		1					(12)	8,993
K6 Linn Energy, LLC	128			(123)	(109)	2,093	4,325		55	27		(1)			6,310
K7 The Blackstone Group	5	28	(2)		31	3		182							297
Total	(5,360)	(25)	(411)	(123)	(5,919)	19,405	35,996	671	489	1,100		(584)	(300)	(89)	50,749

	Ordinary Business Income	Net Section 1231 Gain/Loss	Intangible Drilling Costs	Cost Depletion	PY Disallowed Ordinary Loss	Subtotal- Ordinary Income	Sale			Long Term Capital Gain	Allowable Ordinary Income/Loss	Carryover to 2014- Disallowed Loss	Interest Income	Dividend Income	Investment Interest Expense	Foreign Tax	Total Allowable- Column B
							Capital Gain/Loss	Ordinary Gain/Loss	Term Capital Gain								
K1 Energy Transfer Equity LP	(668)	20			(6,368)	(7,016)			489			(7,016)	379	1,036	(557)		1,347
K2 Enterprise Products Partners LP	(2,603)	58			(9,117)	(11,662)						(11,662)					-
K3 Kinder Morgan Energy Partners LP	(352)	(39)	(295)		(8,087)	(8,773)	11,375	28,266		(8,773)			26	30	(26)	(4)	30,894
K4 Oneok Partners, LP	(1,902)	(8)			(3,717)	(5,627)						(5,627)	1				1
K5 Plains All American Pipeline, L.P.	32	(84)		(114)	(1,261)	(1,313)	5,934	3,405				(1,313)	7	7		(296)	9,057
K6 Linn Energy, LLC	128			(123)	(4,961)	(5,070)	2,093	4,325		(5,070)			1				1,349
K7 The Blackstone Group	5	28	(2)			31	3		182	31			55	27	(1)		297
Total	(5,360)	(25)	(411)	(123)	(33,511)	(39,430)	19,405	35,996	671	(13,812)	(25,618)	469	1,100	(584)	(300)		42,945

UBIT

K1 Energy Transfer Equity LP	(87)
K2 Enterprise Products Partners LP	(2,603)
K3 Kinder Morgan Energy Partners LP	(675)
K4 Oneok Partners, LP	(1,902)
K5 Plains All American Pipeline, L.P.	71
K6 Linn Energy, LLC	(121)
K7 The Blackstone Group	34
Total	(5,283)

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HOUSTON, TX 77046-0892

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol				Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed			
Short Term Sales								
01F030611	FNMA SINGLE FAMILY MTG 3 30 YEARS SETTLES JAN		FNMA					
50000.0000	01/08/2013	12/07/2012	52,179.69	52,404.30	0.00	-224.61	0.00	0.00
01F032617	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES JANUARY		FNMA					
54000.0000	01/08/2013	12/07/2012	57,358.13	57,497.34	0.00	-139.21	0.00	0.00
02R052610	FHLMC GOLD SINGLE FAMILY 5.5% 30 YEARS SETTLES JANUARY		FHLMC					
73000.0000	01/08/2013	12/07/2012	78,885.63	78,634.69	0.00	250.94	0.00	0.00
912828TY6	UNITED STATES TREAS NTS DTD 11/15/2012 1.625% DUE 11-15-2022 REG							
20000.0000	01/09/2013	Various	19,569.53	19,833.98	0.00	-264.45	0.00	0.00
01F022410	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES JANUARY		FNMA					
55000.0000	01/11/2013	12/13/2012	57,335.35	57,397.66	0.00	-62.31	0.00	0.00
01F022428	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES FEBRUARY		FNMA					
55000.0000	01/11/2013	01/11/2013	57,234.38	57,234.38	0.00	0.00	0.00	0.00
156700AR7	CENTURYLINK INC 6.45% DUE 06-15-2021							
10000.0000	01/15/2013	03/28/2012	10,936.80	10,365.10	0.00	571.70	0.00	0.00
29078EAA3	EMBARQ CORP 7.995% DUE 06-01-2036							
10000.0000	01/15/2013	03/29/2012	10,946.80	10,239.80	0.00	707.00	0.00	0.00
534187AU3	LINCOLN NATL CORP IND LINCOLN NATL CORP IND 6.050 2.605% DUE 04-20-2017		MTN1					
2000.0000	01/15/2013	03/28/2012	2,040.00	1,870.00	0.00	170.00	0.00	0.00

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
534187AU3	LINCOLN NATL CORP IND LINCOLN NATL CORP IND 6.050		MTN1				
2000.0000	2.605% DUE 04-20-2017 01/17/2013 03/28/2012		2,040.00	0.00	170.00	0.00	0.00
534187AU3	LINCOLN NATL CORP IND LINCOLN NATL CORP IND 6.050		MTN1				
2000.0000	2.605% DUE 04-20-2017 01/25/2013 03/28/2012		2,042.50	0.00	172.50	0.00	0.00
912828TY6	UNITED STATES TREAS NTS DTD 11/15/2012 1.625% DUE						
15000.0000	11-15-2022 REG 02/05/2013 12/31/2012		14,496.09	0.00	-329.30	0.00	0.00
01F030629	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS		FNMA				
50000.0000	SETTLES FEBRUARY 02/06/2013 01/08/2013		51,726.56	0.00	-333.99	0.00	0.00
01F030637	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS		FNMA				
50000.0000	SETTLES MARCH 02/06/2013 02/06/2013		51,587.89	0.00	0.00	0.00	0.00
01F032625	FNMA SINGLE FAMILY MORTGAGE 3 5% 30 YEARS		FNMA				
54000.0000	SETTLES FEBRUARY 02/06/2013 01/08/2013		57,071.25	0.00	-183.52	0.00	0.00
02R052628	FHLMC 30 YEAR GOLD PARTICIPATION CTF (PC) 5.5 30		FHLMC				
73000.0000	YEARS SETTLES FEB 02/06/2013 01/08/2013		78,979.73	0.00	108.36	0.00	0.00
02R052636	FHLMC GOLD TBA POOL #99999999 5.5 DUE 03-15-2030 REG		FHLMC				
73000.0000	02/06/2013 02/06/2013		79,005.39	0.00	0.00	0.00	0.00
912828TY6	UNITED STATES TREAS NTS DTD 11/15/2012 1.625% DUE						
10000.0000	11-15-2022 REG 02/06/2013 01/15/2013		9,828.13	0.00	-130.08	0.00	0.00

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CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
01F022428	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS						
55000.0000	SETTLES FEBRUARY 02/12/2013	02/12/2013	57,041.02	0.00	0.00	0.00	0.00
31417CKW4	FNMA POOL #AB5708 2.5% 07-01-2027 BEO						
55000.7000	02/12/2013	01/11/2013	57,041.75	0.00	-193.36	0.00	0.00
05573WAC9	BMW VEH OWNER TR .759999999046% DUE 08-25-2015						
610.4700	02/25/2013	09/28/2012	613.12	0.00	-2.65	0.00	0.00
534187AU3	LINCOLN NATL CORP IND LINCOLN NATL CORP IND 6.050						
2000.0000	2.605% DUE 04-20-2017	02/27/2013	1,870.00	0.00	150.00	0.00	0.00
01F030637	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS						
50000.0000	SETTLES MARCH 03/06/2013	03/06/2013	51,585.94	0.00	0.00	0.00	0.00
01F032633	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS						
54000.0000	SETTLES MARCH 03/06/2013	02/06/2013	56,936.25	0.00	50.63	0.00	0.00
02R052636	FHLMC GOLD TBA POOL #9999999 5.5 DUE 03-15-2030 REG						
73000.0000	03/06/2013	03/06/2013	79,056.72	0.00	0.00	0.00	0.00
3128M6NC8	FEDERAL HOME LN MTG CORP POOL #G04587 5.5%						
72999.9400	08-01-2038 BEO	03/06/2013	79,005.33	0.00	51.33	0.00	0.00
3138W5YM4	FNMA AR7915 3 DUE 03-01-2043						
50000.5700	03/06/2013	02/06/2013	51,586.53	0.00	-1.96	0.00	0.00

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Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
					Stocks Bonds, etc.	Cost or Other Basis				
01F022436		FNMA 2.5 15 YEARS SETTLES MAR			FNMA					
55000.0000		03/08/2013 02/12/2013			56,882.03	56,927.15	0.00	-45.12	0.00	0.00
534187AU3		LINCOLN NATL CORP IND LINCOLN NATL CORP IND 6.050			MTN1					
2000.0000		2.605% DUE 04-20-2017 03/08/2013 03/28/2012			2,025.00	1,870.00	0.00	155.00	0.00	0.00
912810QX9		UNITED STATES OF AMER TREAS BONDS 2.75 BDS DUE								
11000.0000		08-15-2042 USD 100' BONDS 08/42' 03/12/2013 11/30/2012			10,008.71	10,910.20	0.00	-901.49	0.00	0.00
912828UN8		UNITED STATES TREAS NTS DTD 02/15/2013 2% DUE								
20000.0000		02-15-2023 REG 03/12/2013 02/28/2013			19,967.19	20,198.44	0.00	-231.25	0.00	0.00
61745MV86		CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4			CMO1					
212.2900		4.66% DUE 09-13-2045 REG 03/13/2013 11/07/2012			212.29	219.62	0.00	-7.33	0.00	0.00
05573WAC9		BMW VEH OWNER TR 75999999046% DUE 08-25-2015			SPCL1					
1673.3800		03/25/2013 09/28/2012			1,673.38	1,680.64	0.00	-7.26	0.00	0.00
01F030645		FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS			FNMA					
70000.0000		SETTLES APRIL 04/08/2013 Various			72,865.63	72,080.47	0.00	785.16	0.00	0.00
01F032641		FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS			FNMA					
54000.0000		SETTLES APRIL 04/08/2013 03/06/2013			57,349.69	56,875.08	0.00	474.61	0.00	0.00
02R052644		FHLMC GOLD 5.5 TBA POOL 30YR APRIL 47209-2029 REG			FHLMC					
73000.0000		04/08/2013 03/06/2013			79,022.50	79,076.68	0.00	-54.18	0.00	0.00

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CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed
02R052651	FHLMC GOLD TBA POOL #99999999 5.5 05-01-2029				
73000.0000	04/08/2013	04/08/2013	FHLMC	79,053.87	0.00
01F022444	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES APRIL				
55000.0000	04/11/2013	03/08/2013	FNMA	56,770.31	0.00
912828UN8	UNITED STATES TREAS NTS DTD 02/15/2013 2% DUE 02-15-2023 REG				
35000.0000	04/11/2013	Various	35,654.88	35,443.36	0.00
12619SAC0	CNH EQUIP TR 1 17% DUE 05-15-2015				
956.3200	04/15/2013	03/21/2013	SPCL 1	958.64	0.00
61745MVB6	CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4				
230.5500	04/15/2013	09-13-2045 REG 11/07/2012	CMO1	238.51	0.00
52109RBM2	CMO LB UBS COML MTG TR 2007 C7 VAR RT MTG PASS THRU CTF CL A-3 09-15-2045 REG				
371.4800	04/17/2013	10/23/2012	CMO1	446.59	0.00
05573WAC9	BMW VEH OWNER TR .75999999046% DUE 08-25-2015				
1809.5800	04/25/2013	09/28/2012	SPCL 1	1,817.43	0.00
92978PAE9	CMO WACHOVIA BK PASS-TH MTG PASSTHRU CTFCL A-4				
25000.0000	05/02/2013	06/06/2012	CMO1	28,394.53	0.00
01F030652	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES MAY				
100000.0000	05/09/2013	Various	FNMA	103,968.76	0.00
				103,792.97	0.00
				175.78	0.00

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Cusip	Description	Stock or Other Symbol			Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
		Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
01F032658	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES MAY	54000.0000	05/09/2013	04/08/2013	FNMA 57,341.25	0.00	128.67	0.00	0.00
02R052651	FHLMC GOLD TBA POOL #99999999 5.5 05-01-2029	73000.0000	05/09/2013	05/09/2013	FHLMC 78,697.42	0.00	0.00	0.00	0.00
3128CUJU1	FEDERAL HOME LN MTG CORP POOL #G3-0275 5.5% 02-01-2024 BEQ	73000.1300	05/09/2013	04/08/2013	GOLD1 78,697.57	0.00	-356.45	0.00	0.00
3138WRPC8	FNMA POOL #AT4018 3% DUE 05-01-2043 REG	64999.5100	05/09/2013	05/08/2013	FNMA 67,579.18	0.00	-58.40	0.00	0.00
01F022451	FNMA SINGLE FAMILY MORTGAGE 2 5% 15 YEARS SETTLES MAY	55000.0000	05/10/2013	04/11/2013	FNMA 57,208.59	0.00	8.59	0.00	0.00
01F022469	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES JUNE	55000.0000	05/10/2013	05/10/2013	FNMA 57,096.88	0.00	0.00	0.00	0.00
61745MV86	CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4 4.66% DUE 09-13-2045 REG	392.3200	05/13/2013	11/07/2012	CMO1 392.32	0.00	-13.55	0.00	0.00
12619SAC0	CNH EQUIP TR 1.17% DUE 05-15-2015	849.0000	05/15/2013	03/21/2013	SPCL1 849.00	0.00	-2.06	0.00	0.00
912828UN8	UNITED STATES TREAS NTS DTD 02/15/2013 2% DUE 02-15-2023 REG	35000.0000	05/16/2013	Various	35,410.16	0.00	-601.96	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
52109RBM2	CMO LB UBS COML MTG TR 2007 C7 VAR RT MTG PASS	CMO1	22.2800	05/17/2013	10/23/2012	22.28	26.78	0.00	-4.50	0.00	0.00
94974BFJ4	WELLS FARGO & CO TRANCHE # SR 00129 3.45DUE			02-13-2023							
15000.0000	05/22/2013 Various					14,927.10	15,014.25	0.00	-87.15	0.00	0.00
05573WAC9	BMW VEH OWNER TR 75999999046% DUE 08-25-2015	SPCL1									
1720.3200	05/28/2013 09/28/2012					1,720.32	1,727.78	0.00	-7.46	0.00	0.00
912828UN8	UNITED STATES TREAS NTS DTD 02/15/2013 2% DUE			02-15-2023							
35000.0000	05/31/2013 Various					34,677.35	35,644.72	0.00	-967.37	0.00	0.00
01F030660	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS	FNMA		06/07/2013	05/09/2013						
165000.0000	SETTLES JUNE					165,650.98	171,187.50	0.00	-5,536.52	0.00	0.00
01F032666	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS	FNMA		06/07/2013	05/09/2013						
54000.0000	SETTLES JUNE					55,780.31	57,208.36	0.00	-1,428.05	0.00	0.00
01F032674	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS	FNMA		06/07/2013	06/07/2013						
50000.0000	SETTLES JULY					51,523.44	51,511.72	0.00	11.72	0.00	0.00
01F032674	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS	FNMA		06/07/2013	Various						
54000.0000	SETTLES JULY					55,632.66	55,644.38	0.00	-11.72	0.00	0.00
02R052669	FHLMC 30 YEAR GOLD PARTICIPATION CTF (PC) 5.5 30	FHLMC		YEARS SETTLES JUN							
73000.0000	06/07/2013 05/09/2013					78,389.45	78,771.56	0.00	-382.11	0.00	0.00

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Cusip	Description	Stock or Other Symbol			Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.						
02R052677	FHLMC GOLD SINGLE FAMILY 5 5 30 YEARS SETTLES JUL		FHLMC						
73000.0000	06/07/2013	06/07/2013	78,423.67		78,423.67	0.00	0.00	0.00	0.00
912828U71	UNITED STATES TREAS NTS DTD 04/30/2013 .625% DUE								
20000.0000	04-30-2018 REG 06/07/2013	05/02/2013	19,573.44		19,979.69	0.00	-406.25	0.00	0.00
912828VB3	UNITED STATES TREAS NTS DTD 05/15/2013 1.75% DUE								
10000.0000	05-15-2023 REG 06/07/2013	05/31/2013	9,642.58		9,641.24	0.00	1.34	0.00	0.00
01F022469	FNMA SINGLE FAMILY MORTGAGE 2 5% 15 YEARS		FNMA						
55000.0000	SETTLES JUNE 06/13/2013	06/13/2013	56,151.56		56,151.56	0.00	0.00	0.00	0.00
3138MPCV5	FNMA POOL #AQ7283 2 5% 12-01-2027 BE0		FNMA						
55000.2100	06/13/2013	05/10/2013	56,151.78		57,097.10	0.00	-945.32	0.00	0.00
61745MVB6	CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4		CMO1						
256.2100	4.66% DUE 09-13-2045 REG 06/13/2013	11/07/2012	256.21		265.06	0.00	-8.85	0.00	0.00
912828VB3	UNITED STATES TREAS NTS DTD 05/15/2013 1 75% DUE								
55000.0000	05-15-2023 REG 06/13/2013	05/31/2013	53,032.03		53,029.78	0.00	2.25	0.00	0.00
12619SAC0	CNH EQUIP TR 1.17% DUE 05-15-2015		SPCL1						
597.7900	06/17/2013	03/21/2013	597.79		599.24	0.00	-1.45	0.00	0.00
52109RBM2	CMO LB UBS COMML MTG TR 2007 C7 VAR RT MTG PASS		CMO1						
4.5900	THRU CTF CL A-3 09-15-2045 REG 06/17/2013	10/23/2012	4.59		5.52	0.00	-0.93	0.00	0.00

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
05573WAC9	BMW VEH OWNER TR .75999999046% DUE 08-25-2015	06/25/2013	09/28/2012	SPCL1	1,723.41	0.00	-7.44	0.00	0.00
1715.9700				1,715.97					
912803DU4	UNITED STATES TREAS BD STRIPPED PRIN	06/25/2013	07/11/2012	STRIP1	11,408.55	0.00	-2,859.05	0.00	0.00
25000.0000				8,549.50					
01F030678	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS	07/05/2013	06/07/2013	FNMA	165,212.70	0.00	-7,483.01	0.00	0.00
165000.0000				157,729.69					
01F032674	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS	07/05/2013	07/05/2013	FNMA	103,549.06	0.00	0.00	0.00	0.00
104000.0000				103,549.06					
01F032682	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS	07/05/2013	07/05/2013	FNMA	103,264.69	0.00	0.00	0.00	0.00
104000.0000				103,264.69					
02R052677	FHLMC GOLD SINGLE FAMILY 5.5 30 YEARS SETTLES JUL	07/05/2013	07/05/2013	FHLMC	78,295.35	0.00	0.00	0.00	0.00
73000.0000				78,295.35					
3128M6F29	FEDERAL HOME LN MTG CORP POOL #G04385 5.5%	07-01-2038 BEO	06/07/2013	GOLD1	78,423.69	0.00	-128.32	0.00	0.00
73000.0100				78,295.37					
3138EKSLO	FEDERAL NATL MTG ASSN GTD MTG POOL #AL3222 3.5%	02-01-2043 BEO	07/05/2013	FNMA	107,156.67	0.00	-3,607.04	0.00	0.00
104000.5600				103,549.63					
01F032682	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS	07/10/2013	07/10/2013	FNMA	29,925.00	0.00	0.00	0.00	0.00
30000.0000				29,925.00					

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Recipient's Name and Address:
THE MARY H CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
					Bonds, etc.					Tax		
3138M5Z28		FNMA POOL #AP2560 3.5% 08-01-2042 BEO			FNMA							
29998.9800		07/10/2013 07/05/2013			29,923.98		29,786.88	0.00	137.10	0.00		0.00
01F022477		FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES JULY			FNMA							
55000.0000		07/15/2013 06/13/2013			54,836.72		56,022.66	0.00	-1,185.94	0.00		0.00
01F022485		FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES AUGUST			FNMA							
55000.0000		07/15/2013 07/15/2013			54,729.30		54,729.30	0.00	0.00	0.00		0.00
12619SAC0		CNH EQUIP TR 1 17% DUE 05-15-2015			SPCL1							
393.3600		07/15/2013 03/21/2013			393.36		394.31	0.00	-0.95	0.00		0.00
61745MV86		CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4 4.66% DUE 09-13-2045 REG			CMO1							
9089.8800		07/15/2013 11/07/2012			9,089.88		9,403.76	0.00	-313.88	0.00		0.00
02R052685		FHLMC 30 YEAR GOLD PARTICIPATION CTF (PC) 5.5 30 YEARS SETTLES AUG			FHLMC							
73000.0000		07/16/2013 07/05/2013			78,668.91		78,255.43	0.00	413.48	0.00		0.00
52109RBM2		CMO LB UBS COMIL MTG TR 2007 C7 VAR RT MTG PASS THRU CTF CL A-3 09-15-2045 REG			CMO1							
5.2000		07/17/2013 10/23/2012			5.20		6.25	0.00	-1.05	0.00		0.00
912828VB3		UNITED STATES TREAS NTS DTD 05/15/2013 1.75% DUE 05-15-2023 REG										
10000.0000		07/17/2013 05/31/2013			9,362.50		9,640.63	0.00	-278.13	0.00		0.00
05573WAC9		BMW VEH OWNER TR .75999999046% DUE 08-25-2015			SPCL1							
1627.6500		07/25/2013 09/28/2012			1,627.65		1,634.71	0.00	-7.06	0.00		0.00

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Recipient's Name and Address:
THE MARY H CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912810QX9	UNITED STATES OF AMER TREAS BONDS 2.75 BDS DUE 08-15-2042 USD 100' BONDS 08/42'		8,322.27	9,918.36	0.00	-1,596.09	0.00	0.00
10000.0000	08/02/2013 11/30/2012							
01F030686	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES AUGUST	FNMA						
165000.0000	08/06/2013 07/05/2013		159,508.59	157,443.24	0.00	2,065.35	0.00	0.00
01F030694	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES SEPTEMBER	FNMA						
15000.0000	08/06/2013 08/06/2013		14,462.70	14,462.70	0.00	0.00	0.00	0.00
01F032682	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES AUGUST	FNMA						
74000.0000	08/06/2013 08/06/2013		74,439.38	74,439.38	0.00	0.00	0.00	0.00
3138M5Z28	FNMA POOL #AP2560 3.5% 08-01-2042 BEQ	FNMA						
74000.5600	08/06/2013 07/05/2013		74,439.94	73,477.36	0.00	962.58	0.00	0.00
01F030694	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES SEPTEMBER	FNMA						
15000.0000	08/07/2013 08/07/2013		14,510.16	14,510.16	0.00	0.00	0.00	0.00
01N030695	GNMA I SINGLE FAMILY MORTGAGE 3 30 YEARS SETTLES SEPTEMBER	GNMA						
20000.0000	08/07/2013 08/07/2013		19,565.63	19,565.63	0.00	0.00	0.00	0.00
3138X5YC5	FNMA POOL #AUG106 3% 09-01-2043 BEQ	FNMA						
15000.4100	08/07/2013 08/06/2013		14,510.56	14,463.10	0.00	47.46	0.00	0.00
01F022485	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES AUGUST	FNMA						
55000.0000	08/12/2013 08/12/2013		55,163.28	55,163.28	0.00	0.00	0.00	0.00

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
3138X0Z27	FNMA POOL #AU1660 2.5 DUE 07-01-2028		FNMA				
54994.9000	08/12/2013 07/15/2013		55,158.17	0.00	433.94	0.00	0.00
61745MVB6	CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4		CMO1				
6541.5900	4.66% DUE 09-13-2045 REG 08/13/2013 11/07/2012		6,541.59	0.00	-225.89	0.00	0.00
01N032683	GNMA I SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES AUGUST		GNMA				
35000.0000	08/16/2013 07/10/2013		35,278.91	0.00	38.28	0.00	0.00
01N032691	GNMA I SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES SEPTEMBER		GNMA				
35000.0000	08/16/2013 08/16/2013		35,185.94	0.00	0.00	0.00	0.00
52109RBM2	CMO LB UBS COML MTG TR 2007 C7 VAR RT MTG PASS THRU CTF CL A-3 09-15-2045 REG		CMO1				
7.5900	08/16/2013 10/23/2012		7.59	0.00	-1.53	0.00	0.00
05573WAC9	BMW VEH OWNER TR .75999999046% DUE 08-25-2015		SPCL1				
1615.6600	08/26/2013 09/28/2012		1,615.66	0.00	-7.00	0.00	0.00
01F032690	FNMA SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES SEPTEMBER		FNMA				
74000.0000	08/29/2013 08/06/2013		73,930.63	0.00	-303.51	0.00	0.00
912828VB3	UNITED STATES TREAS NTS DTD 05/15/2013 1.75% DUE 05-15-2023 REG						
45000.0000	08/30/2013 Various		41,101.17	0.00	-1,164.65	0.00	0.00
01F030694	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES SEPTEMBER		FNMA				
150000.0000	09/05/2013 08/06/2013		140,554.69	0.00	-4,072.26	0.00	0.00

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THE MARY H CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
83162CVK6	SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER						
932.0800	2013-20C CL 1 2.22 03-01-2033 REG						
	09/10/2013 03/07/2013		932.08	0.00	0.00	0.00	0.00
01F022493	FNMA SINGLE FAMILY MTG 2.5 15 YEARS SETTLES SEP						
55000.0000	09/12/2013 08/12/2013		54,114.84	0.00	-921.68	0.00	0.00
912810A8	UNITED STATES OF AMER TREAS BONDS 0.625%TIPS						
15000.0000	02-15-2043 USD1000						
	09/12/2013 06/25/2013		12,307.93	0.00	-297.01	0.00	0.00
61745MV86	CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4						
2711.2900	4.66% DUE 09-13-2045 REG						
	09/13/2013 11/07/2012		2,804.91	0.00	-93.62	0.00	0.00
01N030695	GNMA I SINGLE FAMILY MORTGAGE 3 30 YEARS						
20000.0000	SETTLES SEPTEMBER						
	09/16/2013 09/16/2013		19,303.13	0.00	0.00	0.00	0.00
01N032691	GNMA I SINGLE FAMILY MORTGAGE 3.5% 30 YEARS						
35000.0000	SETTLES SEPTEMBER						
	09/16/2013 09/16/2013		35,370.51	0.00	0.00	0.00	0.00
36178DPF2	GNMA POOL #AA5822 3 DUE 11-15-2042 REG						
20000.1900	09/16/2013 08/07/2013		19,565.82	0.00	-262.51	0.00	0.00
36178WGU7	GNMA POOL #AB9211 SER 2042 3.5% DUE 11-15-2042 REG						
35000.3800	09/16/2013 08/16/2013		35,186.33	0.00	184.57	0.00	0.00
52109RBM2	CMO LB UBS COMML MTG TR 2007 C7 VAR RT MTG PASS						
1991.1100	THRU CTF CL A-3 09-15-2045 REG						
	09/17/2013 10/23/2012		2,393.69	0.00	-402.58	0.00	0.00

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
3138W9MB3	FNMA POOL #AS0353 3.5% 09-01-2043 BEO		FNMA				
24911.5000	09/24/2013 08/29/2013		25,289.07	0.00	363.95	0.00	0.00
05573WAC9	BMW VEH OWNER TR .75999999046% DUE 08-25-2015		SPCL1				
1549.2100	09/25/2013 09/28/2012		1,549.21	0.00	-6.72	0.00	0.00
01F0306A1	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES OCTOBER 10/03/2013 09/05/2013		FNMA				
150000.0000			146,888.67	0.00	6,639.37	0.00	0.00
01F0224A0	FNMA SINGLE FAMILY MORTGAGE 2.5 15 YEARS SETTLES OCTOBER 10/08/2013 09/12/2013		FNMA				
55000.0000			55,111.72	0.00	1,092.84	0.00	0.00
83162CVL4	SMALL BUSINESS ADMIN SER 2013-20D CL 1 2.08 04-01-2033 REG 10/09/2013 04/11/2013		SBA1				
786.2400			786.24	0.00	0.00	0.00	0.00
87927VAR9	TELECOM ITALIA CAP 7.2% DUE 07-18-2036 07-18-2036 BEO 10/09/2013 03/14/2013						
10000.0000			9,047.50	0.00	-1,160.90	0.00	0.00
912828V56	UNITED STATES TREAS NTS DTD 08/15/2013 2.5% DUE 08-15-2023 REG 10/10/2013 08/30/2013						
10000.0000			9,831.64	0.00	58.59	0.00	0.00
01N0306A2	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES OCTOBER 10/11/2013 09/16/2013		GNMA				
20000.0000			19,653.13	0.00	396.46	0.00	0.00
01N0326A8	GNMA I SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES OCTOBER 10/11/2013 09/16/2013		GNMA				
35000.0000			35,896.88	0.00	628.91	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.					
61745MV86	CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4							
2705.0100	4.66% DUE 09-13-2045 REG 10/15/2013 11/07/2012		CMO1	2,798.42	0.00	-93.41	0.00	0.00
52109R8M2	CMO LB UBS COM1 MTG TR 2007 C7 VAR RT MTG PASS THRU CTF CL A-3 09-15-2045 REG 10/18/2013 10/23/2012		CMO1	6.40	0.00	-1.08	0.00	0.00
3138W9MB3	FNMA POOL #AS0353 3.5% 09-01-2043 BEO		FNMA					
81.1400	10/25/2013 08/29/2013		81.14	81.18	0.00	-0.04	0.00	0.00
01N0326B6	GNMA I SINGLE FAMILY MORTGAGE 3 5% 30 YEARS SETTLES NOVEMBER 10/31/2013 10/31/2013		GNMA					
25000.0000			25,909.18	25,909.18	0.00	0.00	0.00	0.00
01F0306B9	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES NOVEMBER 11/06/2013 10/03/2013		FNMA					
150000.0000			146,484.38	146,484.38	0.00	0.00	0.00	0.00
01F0306C7	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES DECEMBER 11/12/2013 11/06/2013		FNMA					
25000.0000			24,054.69	24,357.42	0.00	-302.73	0.00	0.00
01F0224B8	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES NOVEMBER 11/13/2013 10/08/2013		FNMA					
55000.0000			55,042.97	55,002.15	0.00	40.82	0.00	0.00
01F0224C6	FNMA SINGLE FAMILY MORTGAGE 2 5% 15 YEARS SETTLES DECEMBER 11/13/2013 11/13/2013		FNMA					
20000.0000			19,977.35	19,977.35	0.00	0.00	0.00	0.00
01N0306B0	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES NOVEMBER 11/15/2013 10/11/2013		GNMA					
20000.0000			19,689.84	19,603.91	0.00	85.93	0.00	0.00

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol						
	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
01N032686	GNMA 1 SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES NOVEMBER 11/15/2013	Various	GNMA					
60000.0000			61,593.75	61,469.33	0.00	124.42	0.00	0.00
36178NLM9	GNMA POOL #AB3032 3.5 DUE 09-15-2042 REG		GNMA					
25000.3700	11/15/2013	10/31/2013	25,664.45	25,909.57	0.00	-245.12	0.00	0.00
01F0306C7	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES DECEMBER 11/19/2013	11/06/2013	FNMA					
25000.0000			24,335.94	24,357.42	0.00	-21.48	0.00	0.00
3138W9MB3	FNMA POOL #AS0353 3.5% 09-01-2043 BEO		FNMA					
80.3600	11/25/2013	08/29/2013	80.36	80.40	0.00	-0.04	0.00	0.00
3138X6DW2	FNMA POOL #AU6416 3% 09-01-2028 BEO		FNMA					
186.4200	11/25/2013	09/24/2013	186.42	192.42	0.00	-6.00	0.00	0.00
912828TZ3	UTD STATES TREAS .25% DUE 11-30-2014							
185000.0000	11/29/2013	Various	185,187.89	185,023.83	0.00	164.06	0.00	0.00
912828VS6	UNITED STATES TREAS NTS DTD 08/15/2013 2.5% DUE 08-15-2023 REG							
20000.0000	11/29/2013	08/30/2013	19,598.44	19,546.09	0.00	52.35	0.00	0.00
01F040610	FNMA SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES JANUARY 12/06/2013	12/06/2013	FNMA					
30000.0000			30,938.67	30,938.67	0.00	0.00	0.00	0.00
01F0406C6	FNMA SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES DECEMBER 11/20/2013		FNMA					
30000.0000			31,035.94	31,375.78	0.00	-339.84	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
01F0306C7	FNMA SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES DECEMBER 12/09/2013	11/06/2013	FNMA				
100000.0000			95,750.00	0.00	-1,679.69	0.00	0.00
01F0224C6	FNMA SINGLE FAMILY MORTGAGE 2 5% 15 YEARS SETTLES DECEMBER 12/10/2013	11/13/2013	FNMA				
20000.0000			19,960.94	0.00	-16.40	0.00	0.00
01F030611	FNMA SINGLE FAMILY MTG 3 30 YEARS SETTLES JAN 12/10/2013	12/09/2013	FNMA				
35000.0000			33,557.62	0.00	136.02	0.00	0.00
01F030611	FNMA SINGLE FAMILY MTG 3 30 YEARS SETTLES JAN 12/10/2013	12/09/2013	FNMA				
20000.0000			19,150.00	0.00	51.94	0.00	0.00
01F040610	FNMA SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES JANUARY 12/10/2013	12/10/2013	FNMA				
30000.0000			31,143.75	0.00	0.00	0.00	0.00
01N0306C8	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES DECEMBER 12/10/2013	11/19/2013	GNMA				
20000.0000			19,431.25	0.00	-264.06	0.00	0.00
3138X9MT3	FNMA POOL #AU9369 2.5% 11-01-2028 BEO		FNMA				
24876.0600			24,827.48	0.00	134.10	0.00	0.00
3138XDS68	FNMA POOL #AV2340 4% 12-01-2043 BEO		FNMA				
30000.4900			31,144.27	0.00	205.08	0.00	0.00
55616XAH0	MACYS RETAIL HLDGS 2.875 DUE 02-15-2023						
5000.0000			4,583.05	0.00	-237.15	0.00	0.00

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Cusip	Description	Stock or Other Symbol	State Tax Withheld	Federal Income Tax Withheld	Net Gain or Loss	Wash Sale Loss Disallowed	Cost or Other Basis	Stocks Bonds, etc.
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	State Tax Withheld	Federal Income Tax Withheld	Net Gain or Loss	Wash Sale Loss Disallowed	Cost or Other Basis	Stocks Bonds, etc.
89114QAL2	TORONTO DOMINION BK SR MEDIUM TERM BK NTVAR RT 09-09-2016 12/10/2013 09/05/2013	MTN1	0.00	0.00	60.68	0.00	20,000.00	20,060.68
20000.0000								
912803EE9	UNITED STATES TREAS BD STRIPPED PRIN PMTZCP 11-15-2043 REG 12/10/2013 12/10/2013	STRIP1	0.00	0.00	-4.05	0.00	1,472.95	1,468.90
5000.0000								
912828A26	UNITED STATES TREAS NTS DTD 11/30/2013 .25% DUE 11-30-2015 REG 12/10/2013 11/29/2013		0.00	0.00	-8.79	0.00	24,982.42	24,973.63
25000.0000								
912828A34	UNITED STATES TREAS NTS 1.25 DUE 11-30-2018 REG 12/10/2013 12/10/2013		0.00	0.00	0.58	0.00	4,948.83	4,949.41
5000.0000								
912828WE6	UNITED STATES TREAS NTS DTD 11/15/2013 2 75% DUE 11-15-2023 REG 12/10/2013 11/29/2013		0.00	0.00	-22.07	0.00	4,996.68	4,974.61
5000.0000								
912828WF3	UTD STATES TREAS .625% DUE 11-15-2016 12/10/2013 11/29/2013		0.00	0.00	-24.02	0.00	15,031.64	15,007.62
15000.0000								
01F0224C6	FNMA SINGLE FAMILY MORTGAGE 2.5% 15 YEARS SETTLES DECEMBER 12/11/2013 Various	FNMA	0.00	0.00	-47.86	0.00	34,943.95	34,896.09
35000.0000								
01N030612	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES JANUARY 12/13/2013 12/13/2013	GNMA	0.00	0.00	0.00	0.00	53,154.49	53,154.49
55000.0000								
01N0306C8	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES DECEMBER 12/13/2013 Various	GNMA	0.00	0.00	-406.66	0.00	53,713.68	53,307.02
55000.0000								

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Recipient's Name and Address:
THE MARY H CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
01N0326C4	GNMA I SINGLE FAMILY MORTGAGE 3.5% 30 YEARS SETTLES DECEMBER 12/13/2013 11/15/2013			GNMA 60,782.81	61,448.44	0.00	-665.63	0.00	0.00
01N0406C7	GNMA I SINGLE FAMILY MORTGAGE 4% 30 YEARS SETTLES DECEMBER 12/13/2013 11/20/2013			GNMA 31,340.63	31,678.13	0.00	-337.50	0.00	0.00
01F030611	FNMA SINGLE FAMILY MTG 3 30 YEARS SETTLES JAN 12/17/2013 12/09/2013			FNMA 19,148.44	19,099.45	0.00	48.99	0.00	0.00
3138W9MB3	FNMA POOL #AS0353 3.5% 09-01-2043 BE0 12/26/2013 08/29/2013			FNMA 210.96	211.08	0.00	-0.12	0.00	0.00
3138X6DW2	FNMA POOL #AU6416 3% 09-01-2028 BE0 12/26/2013 09/24/2013			FNMA 177.90	183.63	0.00	-5.73	0.00	0.00
01N030612	GNMA I SINGLE FAMILY MORTGAGE 3% 30 YEARS SETTLES JANUARY 12/27/2013 12/27/2013			GNMA 9,667.19	9,667.19	0.00	0.00	0.00	0.00
36180NKT1	GNMA POOL #AD9306 SER 2043 3% DUE 06-15-2043 REG 12/27/2013 12/13/2013			GNMA 9,667.47	9,666.26	0.00	1.21	0.00	0.00
10000.2900				6,410,195.07	6,435,475.86	0.00	-25,280.80	0.00	0.00
Total Short Term Sales									
Long Term Sales									
36828QAD8	CMO GE COML MTG CORP 2003-C1 COML MTG PASSTHRU CTF CL A4 4.727 01-10-2038 BE0 01/10/2013 09/14/2009			CMO1 2,768.12	2,811.81	0.00	-43.69	0.00	0.00
2768.1200									

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Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
					Bonds, etc.					Tax		
396789FT1	146.9400	CMO GREENWICH CAP COMIL FDG CORP SER 2004-GG1 CL7 VAR RT DUE 06-10-2036 REG 01/11/2013 09/10/2009			CMO1	146.94	149.59	0.00	-2.65	0.00	0.00	0.00
46627QBB3	790.6700	CMO J P MORGAN CHASE COMIL MTG SECS TR 2006-C CLASB 5.79 DUE 06-12-2043 REG 01/14/2013 01/11/2010			CMO1	790.67	830.45	0.00	-39.78	0.00	0.00	0.00
12619SAC0	902.0300	CNH EQUIP TR 1 17% DUE 05-15-2015			SPCL1	902.03	901.99	0.00	0.04	0.00	0.00	0.00
3128M5ED8	4497.3800	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5% 11-01-2037 BEO 01/15/2013 04/11/2011			GOLD1	4,497.38	4,781.28	0.00	-283.90	0.00	0.00	0.00
3128M8G73	760.2700	FEDERAL HOME LN MTG CORP POOL #G0-6222 4% 01-01-2041 BEO 01/15/2013 02/23/2011			GOLD1	760.27	753.29	0.00	6.98	0.00	0.00	0.00
312943DJ9	1284.3100	FEDERAL HOME LN MTG CORP POOL #A9-4605 4% 10-01-2040 BEO 01/15/2013 09/13/2010			GOLD1	1,284.31	1,316.42	0.00	-32.11	0.00	0.00	0.00
3620AJC31	1354.6700	GNMA POOL #730990 4.5% 01-15-2040 BEO			GNMA	1,354.67	1,392.98	0.00	-38.31	0.00	0.00	0.00
3620AR4A6	743.4900	GNMA POOL #738017 4% 02-15-2041 BEO			GNMA	743.49	778.46	0.00	-34.97	0.00	0.00	0.00
36241K6P8	172.8700	GNMA POOL #782678 4.5% 06-15-2039 BEO			GNMA	172.87	179.97	0.00	-7.10	0.00	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO		GNMA				
1313.2200	01/15/2013 06/27/2011		1,313.22	0.00	-82.49	0.00	0.00
61745MUD3	CMO MORGAN STANLEY CAP I INC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG		CMO1				
155.9600	01/15/2013 10/27/2009		155.96	0.00	-0.76	0.00	0.00
61746WHF0	CMO MSDW CAP I TR 2001-TOP3 COML MTG CL A-4 6.39 EXP 6-15-11 FINAL 7-15-33 BEO		CMO1				
8.9200	01/15/2013 09/24/2009		8.92	0.00	-0.40	0.00	0.00
61746WXN5	CMO MORGAN STANLEY DEAN WITTER CAP I TR 2002-IQ3 CL A-4 5.08% DUE 09-15-2037 BEO		CMO1				
2937.6800	01/15/2013 05/08/2009		2,937.68	0.00	97.55	0.00	0.00
65475GAC7	NISSAN AUTO LEASE 1.12% DUE 12-15-2013		SPCL1				
2107.2200	01/15/2013 11/10/2010		2,107.22	0.00	0.14	0.00	0.00
22541NS83	CMO CR SUISE 1ST BSTN MTG SECS CORP 4 801 DUE 03-15-2036 BEO		CMO1				
3981.2400	01/17/2013 01/28/2010		3,981.24	0.00	-150.08	0.00	0.00
52108HRC0	CMO LB-UBS COML MTG TR SER 2003-C3 CL A44.166% DUE 05-15-2032/03-15-2013 REG		CMO1				
2574.3900	01/17/2013 03/23/2010		2,574.39	0.00	-78.84	0.00	0.00
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO		CMO1				
160.9800	01/17/2013 01/06/2009		160.98	0.00	18.20	0.00	0.00
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB 5.037 DUE 03-15-2042 REG		CMO1				
729.5300	01/17/2013 03/25/2009		729.53	0.00	81.29	0.00	0.00

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
76116FAB3	RESOLUTION FDG CORP FED BOOK ENTRY PRIN STRIPS						
40000.0000	GENERIC PRIN PMT 01-15-2030						
	01/24/2013 03/25/2010		23,730.76	0.00	7,008.55	0.00	0.00
31381KR27	FNMA POOL #463205 4 6% 09-01-2019 BEO						
13.5200	01/25/2013 07/27/2009		13.46	0.00	0.06	0.00	0.00
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4%						
443.3800	12-01-2040 BEO						
	01/25/2013 12/07/2010		444.56	0.00	-1.18	0.00	0.00
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5%						
1033.5500	05-01-2041 BEO						
	01/25/2013 06/09/2011		1,081.51	0.00	-47.96	0.00	0.00
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5%						
1863.3300	07-01-2041 BEO						
	01/25/2013 08/08/2011		1,973.97	0.00	-110.64	0.00	0.00
3138EGHU1	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0242 4.5%						
730.1800	04-01-2041 BEO						
	01/25/2013 06/08/2011		766.35	0.00	-36.17	0.00	0.00
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO						
1450.6100	01/25/2013 11/19/2010		1,583.43	0.00	-132.82	0.00	0.00
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO						
5154.9800	01/25/2013 06/09/2010		5,544.83	0.00	-389.85	0.00	0.00
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO						
1662.9700	01/25/2013 06/09/2010		1,799.13	0.00	-136.16	0.00	0.00

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8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO	FNMA	1,210.30	1,310.91	0.00	-100.61	0.00	0.00
1210.3000	01/25/2013 06/09/2010							
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO	FNMA	217.45	215.72	0.00	1.73	0.00	0.00
217.4500	01/25/2013 02/23/2011							
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO	FNMA	5,312.84	5,519.67	0.00	-206.83	0.00	0.00
5312.8400	01/25/2013 Various							
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO	FNMA	590.34	618.47	0.00	-28.13	0.00	0.00
590.3400	01/25/2013 04/04/2011							
31418SX80	FNMA POOL #AD5173 4% 09-01-2040 BEO	FNMA	1,341.11	1,375.58	0.00	-34.47	0.00	0.00
1341.1100	01/25/2013 09/24/2010							
31418VWV0	FNMA POOL #AD7859 5% 06-01-2040 BEO	FNMA	2,311.53	2,444.44	0.00	-132.91	0.00	0.00
2311.5300	01/25/2013 06/09/2010							
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	FNMA	517.10	563.56	0.00	-46.46	0.00	0.00
517.1000	01/25/2013 04/04/2011							
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	FNMA	696.20	695.98	0.00	0.22	0.00	0.00
696.2000	01/25/2013 08/08/2011							
31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	FNMA	95.94	97.80	0.00	-1.86	0.00	0.00
95.9400	01/25/2013 04/04/2011							

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
084670AY4	BERKSHIRE HATHAWAY INC DEL SR NT FLTG RATE DUE	02-11-2013	07/07/2010	20,000.00	20,037.60	0.00	0.00	-37.60	0.00	0.00
20000.0000	02-11-2013 REG									
396789FT1	CMO GREENWICH CAP COM1 FDG CORP SER 2004-GG1	02/12/2013	09/10/2009	147.65	150.31	0.00	0.00	-2.66	0.00	0.00
147.6500	CL-A7 VAR RT DUE 06-10-2036 REG									
46627Q8B3	CMO J P MORGAN CHASE COM1 MTG SECS TR 2006-C	02/12/2013	01/11/2010	631.48	663.25	0.00	0.00	-31.77	0.00	0.00
631.4800	CL ASB 5.79 DUE 06-12-2043 REG									
00206RAS1	AT&T INC GLOBAL NT 6 55 DUE 02-15-2039 REG	02/13/2013	11/04/2011	6,229.15	6,299.90	0.00	0.00	-70.75	0.00	0.00
5000.0000	02/13/2013 11/04/2011									
12619SAC0	CNH EQUIP TR 1.17% DUE 05-15-2015	02/15/2013	11/10/2010	892.14	892.10	0.00	0.00	0.04	0.00	0.00
892.1400	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5%									
3128M5ED8	11-01-2037 BEO	02/15/2013	04/11/2011	4,410.56	4,688.98	0.00	0.00	-278.42	0.00	0.00
4410.5600	FEDERAL HOME LN MTG CORP POOL #G0-6222 4%									
3128M8G73	01-01-2041 BEO	02/15/2013	02/23/2011	603.39	597.71	0.00	0.00	5.68	0.00	0.00
603.3900	FEDERAL HOME LN MTG CORP POOL #A9-4605 4%									
312943DJ9	10-01-2040 BEO	02/15/2013	09/13/2010	1,193.83	1,223.68	0.00	0.00	-29.85	0.00	0.00
1193.8300	GNMA POOL #730990 4.5% 01-15-2040 BEO									
3620AJC31	GNMA	02/15/2013	02/23/2011	1,362.60	1,401.14	0.00	0.00	-38.54	0.00	0.00
1362.6000										

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO		GNMA				
808.4900	02/15/2013 08/08/2011		808.49	0.00	-38.02	0.00	0.00
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO		GNMA				
125.3900	02/15/2013 12/09/2010		125.39	0.00	-5.15	0.00	0.00
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO		GNMA				
1074.3600	02/15/2013 06/27/2011		1,074.36	0.00	-67.48	0.00	0.00
52108HRC0	CMO LB-UBS COML MTG TR SER 2003-C3 CL A44.166% DUE 05-15-2032/03-15-2013 REG		CMO1				
6704.3700	02/15/2013 03/23/2010		6,704.37	0.00	-205.32	0.00	0.00
61745MUD3	CMO MORGAN STANLEY CAP L INC 2003-Q6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG		CMO1				
108.4900	02/15/2013 10/27/2009		108.49	0.00	-0.53	0.00	0.00
61746WHF0	CMO MSDW CAP L TR 2001-TOP3 COML MTG CL A-4 6.39 EXP 6-15-11 FINAL 7-15-33 BEO		CMO1				
7.5600	02/15/2013 09/24/2009		7.56	0.00	-0.33	0.00	0.00
61746WXN5	CMO MORGAN STANLEY DEAN WITTER CAP L TR 2002-Q3 CL A-4 5.08% DUE 09-15-2037 BEO		CMO1				
6396.5600	02/15/2013 05/08/2009		6,396.56	0.00	212.07	0.00	0.00
65475GAC7	NISSAN AUTO LEASE 1.12% DUE 12-15-2013		SPCL1				
2970.3100	02/15/2013 11/10/2010		2,970.31	0.00	0.20	0.00	0.00
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO		CMO1				
163.9500	02/15/2013 01/06/2009		163.95	0.00	18.52	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
929766C27	CMO WACHOVIA BK COM L MTG TR SER 2005-C17CL APB		CMO1				
732.9600	5.037 DUE 03-15-2042 REG 02/15/2013 03/25/2009		732.96	0.00	81.59	0.00	0.00
31381KR27	FNMA POOL #463205 4.6% 09-01-2019 BEO		FNMA				
13.5900	02/25/2013 07/27/2009		13.59	0.00	0.06	0.00	0.00
3138A28M0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4%		FNMA				
325.1900	12-01-2040 BEO 02/25/2013 12/07/2010		325.19	0.00	-0.86	0.00	0.00
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5%		FNMA				
1676.5800	05-01-2041 BEO 02/25/2013 06/09/2011		1,676.58	0.00	-77.80	0.00	0.00
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5%		FNMA				
1936.2100	07-01-2041 BEO 02/25/2013 08/08/2011		1,936.21	0.00	-114.96	0.00	0.00
3138EGHU1	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0242 4.5%		FNMA				
2049.5500	04-01-2041 BEO 02/25/2013 06/08/2011		2,049.55	0.00	-101.52	0.00	0.00
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO		FNMA				
1361.8700	02/25/2013 11/19/2010		1,361.87	0.00	-124.69	0.00	0.00
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO		FNMA				
8064.7300	02/25/2013 06/09/2010		8,064.73	0.00	-609.89	0.00	0.00
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO		FNMA				
1607.3200	02/25/2013 06/09/2010		1,607.32	0.00	-131.60	0.00	0.00

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					Stocks	Bonds, etc.				Tax	Withheld	
31415V3K9	648.7000	FNMA POOL #991002 6% 10-01-2038 BEO	02/25/2013	06/09/2010	FNMA	648.70	702.62	0.00	-53.92	0.00	0.00	0.00
31416XAF7	21.5300	FNMA POOL #AB1805 4% 11-01-2040 BEO	02/25/2013	02/23/2011	FNMA	21.53	21.35	0.00	0.18	0.00	0.00	0.00
31416XQY9	893.7700	FNMA POOL #AB2270 4% 02-01-2041 BEO	02/25/2013	08/08/2011	FNMA	893.77	921.70	0.00	-27.93	0.00	0.00	0.00
31418RY70	723.3500	FNMA POOL #AD4333 5% 03-01-2040 BEO	02/25/2013	04/04/2011	FNMA	723.35	757.82	0.00	-34.47	0.00	0.00	0.00
31418SXB0	2491.5200	FNMA POOL #AD5173 4% 09-01-2040 BEO	02/25/2013	09/24/2010	FNMA	2,491.52	2,555.56	0.00	-64.04	0.00	0.00	0.00
31418VWV0	2037.5400	FNMA POOL #AD7859 5% 06-01-2040 BEO	02/25/2013	06/09/2010	FNMA	2,037.54	2,154.70	0.00	-117.16	0.00	0.00	0.00
31419AWN3	610.1200	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	02/25/2013	04/04/2011	FNMA	610.12	664.94	0.00	-54.82	0.00	0.00	0.00
31419BCT0	583.7700	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	02/25/2013	08/08/2011	FNMA	583.77	583.59	0.00	0.18	0.00	0.00	0.00
31419BXT7	156.3000	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	02/25/2013	04/04/2011	FNMA	156.30	159.33	0.00	-3.03	0.00	0.00	0.00

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THE MARY H CAIN FOUNDATION
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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
396789FT1	310.2900	CMO GREENWICH CAP COMML FDG CORP SER 2004-GG1 CL-A7 VAR RT DUE 06-10-2036 REG 03/12/2013 09/10/2009			CMO1	315.88	0.00	-5.59	0.00	0.00
46627Q8B3	781.1800	CMO J P MORGAN CHASE COMML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG 03/12/2013 01/11/2010			CMO1	820.48	0.00	-39.30	0.00	0.00
00206RAJ1	10000.0000	AT&T INC 5.5% DUE 02-01-2018 02-01-2018/02-01-2008 BEO 03/14/2013 02/22/2008			11,747.50	9,892.70	0.00	1,854.80	0.00	0.00
87612EAS5	50000.0000	TARGET CORP 6% DUE 01-15-2018 01-15-2018/01-17-2008 REG 03/14/2013 11/04/2008			60,680.00	45,310.86	0.00	15,369.14	0.00	0.00
12619SAC0	585.8100	CNH EQUIP TR 1.17% DUE 05-15-2015 03/15/2013 11/10/2010			SPCL1	585.78	0.00	0.03	0.00	0.00
3128M5ED8	4629.3200	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5% 11-01-2037 BEO 03/15/2013 04/11/2011			GOLD1	4,921.55	0.00	-292.23	0.00	0.00
3128M8G73	588.5200	FEDERAL HOME LN MTG CORP POOL #G0-6222 4% 01-01-2041 BEO 03/15/2013 02/23/2011			GOLD1	583.10	0.00	5.42	0.00	0.00
312943DJ9	1016.4300	FEDERAL HOME LN MTG CORP POOL #A9-4605 4% 10-01-2040 BEO 03/15/2013 09/13/2010			GOLD1	1,041.84	0.00	-25.41	0.00	0.00
3620AJC31	951.4200	GNMA POOL #730990 4.5% 01-15-2040 BEO 03/15/2013 02/23/2011			GNMA	978.33	0.00	-26.91	0.00	0.00

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Cusip	Description	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.					
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO		GNMA					
815.1300	03/15/2013	08/08/2011	815.13	853.47	0.00	-38.34	0.00	0.00
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO		GNMA					
117.0100	03/15/2013	12/09/2010	117.01	121.82	0.00	-4.81	0.00	0.00
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO		GNMA					
1241.3100	03/15/2013	06/27/2011	1,241.31	1,319.28	0.00	-77.97	0.00	0.00
52108HRC0	CMO LB-UBS COML MTG TR SER 2003-C3 CL A44.166% DUE 05-15-2032/03-15-2013 REG		CMO1					
270.4200	03/15/2013	03/23/2010	270.42	278.70	0.00	-8.28	0.00	0.00
617451AF6	CMO MORGAN STANLEY CAP I INC 2005-IQ10 CML MTG PTRU CL A-4A 5.23 9-15-42 REG		CMO1					
40.9400	03/15/2013	05/10/2010	40.94	42.60	0.00	-1.66	0.00	0.00
61745MUD3	CMO MORGAN STANLEY CAP I INC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG		CMO1					
180.1400	03/15/2013	10/27/2009	180.14	181.01	0.00	-0.87	0.00	0.00
61746WHF0	CMO MSDW CAP I TR 2001-TOP3 COML MTG CL A-4 6.39 EXP 6-15-11 FINAL 7-15-33 BEO		CMO1					
8.2500	03/15/2013	09/24/2009	8.25	8.62	0.00	-0.37	0.00	0.00
65475GAC7	NISSAN AUTO LEASE 1.12% DUE 12-15-2013		SPCL1					
1169.3800	03/15/2013	11/10/2010	1,169.38	1,169.30	0.00	0.08	0.00	0.00
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO		CMO1					
204.3600	03/15/2013	01/06/2009	204.36	181.29	0.00	23.07	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB	CMO1	897.5500	03/15/2013	03/25/2009	897.55	797.75	0.00	99.80	0.00	0.00
46625HJB7	JPMORGAN CHASE & 5.6% DUE 07-15-2041		5000.0000	03/18/2013	07/14/2011	5,970.25	4,978.45	0.00	991.80	0.00	0.00
912833LG3	US TREAS SEC STRIPPED INT PMT 0 15/2/2022 USD1000	STRIP1	40000.0000	03/19/2013	01/03/2012	33,920.80	32,970.90	0.00	949.90	0.00	0.00
406216AY7	HALLIBURTON CO 7.45% DUE 09-15-2039 09-15-2039 REG		5000.0000	03/21/2013	05/27/2010	7,247.15	5,960.65	0.00	1,286.50	0.00	0.00
31381KR27	FNMA POOL #463205 4.6% 09-01-2019 BEO	FNMA	20.8000	03/25/2013	07/27/2009	20.80	20.71	0.00	0.09	0.00	0.00
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4%	FNMA	341.2500	03/25/2013	12/07/2010	341.25	342.16	0.00	-0.91	0.00	0.00
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5%	FNMA	2089.4000	03/25/2013	06/09/2011	2,089.40	2,186.36	0.00	-96.96	0.00	0.00
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5%	FNMA	1750.6400	03/25/2013	08/08/2011	1,750.64	1,854.58	0.00	-103.94	0.00	0.00
3138EGHU1	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0242 4.5%	FNMA	809.2800	03/25/2013	06/08/2011	809.28	849.36	0.00	-40.08	0.00	0.00

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
					Stocks	Bonds, etc.				Tax	Income	
31410KNG5		FNMA POOL #889691 6% 07-01-2038 BEO			FNMA							
1159.6500		03/25/2013 11/19/2010			1,159.65		1,265.83	0.00	-106.18	0.00	0.00	0.00
31412QW54		FNMA POOL #932268 5 5% 11-01-2039 BEO			FNMA							
91.8600		03/25/2013 06/09/2010			91.86		98.81	0.00	-6.95	0.00	0.00	0.00
31415LML8		FNMA POOL #983363 6% 08-01-2038 BEO			FNMA							
1136.2400		03/25/2013 06/09/2010			1,136.24		1,229.27	0.00	-93.03	0.00	0.00	0.00
31415V3K9		FNMA POOL #991002 6% 10-01-2038 BEO			FNMA							
22.7400		03/25/2013 06/09/2010			22.74		24.63	0.00	-1.89	0.00	0.00	0.00
31416XAF7		FNMA POOL #AB1805 4% 11-01-2040 BEO			FNMA							
21.2800		03/25/2013 02/23/2011			21.28		21.11	0.00	0.17	0.00	0.00	0.00
31416XQY9		FNMA POOL #AB2270 4% 02-01-2041 BEO			FNMA							
907.5100		03/25/2013 08/08/2011			907.51		935.87	0.00	-28.36	0.00	0.00	0.00
31418RY70		FNMA POOL #AD4333 5% 03-01-2040 BEO			FNMA							
41.7600		03/25/2013 04/04/2011			41.76		43.75	0.00	-1.99	0.00	0.00	0.00
31418SX80		FNMA POOL #AD5173 4% 09-01-2040 BEO			FNMA							
1588.0200		03/25/2013 09/24/2010			1,588.02		1,628.84	0.00	-40.82	0.00	0.00	0.00
31418VWV0		FNMA POOL #AD7859 5% 06-01-2040 BEO			FNMA							
2130.7100		03/25/2013 06/09/2010			2,130.71		2,253.23	0.00	-122.52	0.00	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	FNMA	389.38	424.36	0.00	-34.98	0.00	0.00
389.3800	03/25/2013 04/04/2011							
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	FNMA	478.47	478.32	0.00	0.15	0.00	0.00
478.4700	03/25/2013 08/08/2011							
31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	FNMA	94.60	96.43	0.00	-1.83	0.00	0.00
94.6000	03/25/2013 04/04/2011							
491189FC5	KENTUCKY ASSET / LIABILITY COMM GEN FD REV	SPCL1						
2602.4000	3.165% 04-01-2018 BEO TAXABLE 04/01/2013 08/18/2010		2,602.40	2,602.40	0.00	0.00	0.00	0.00
395789FT1	CMO GREENWICH CAP COML FDG CORP SER 2004-GG1	CMO1						
1877.2600	CL-A7 VAR RT DUE 06-10-2036 REG 04/12/2013 09/10/2009		1,877.26	1,911.06	0.00	-33.80	0.00	0.00
46527QBB3	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C	CMO1						
617.9100	CL ASB 5.79 DUE 06-12-2043 REG 04/12/2013 01/11/2010		617.91	649.00	0.00	-31.09	0.00	0.00
172967FS5	CITIGROUP INC 3.953% DUE 06-15-2016							
25000.0000	04/15/2013 06/08/2011		27,065.00	25,509.60	0.00	1,555.40	0.00	0.00
3128M5ED8	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5%	GOLD1						
3897.2500	11-01-2037 BEO 04/15/2013 04/11/2011		3,897.25	4,143.26	0.00	-246.01	0.00	0.00
3128M8G73	FEDERAL HOME LN MTG CORP POOL #G0-6222 4%	GOLD1						
308.7600	01-01-2041 BEO 04/15/2013 02/23/2011		308.76	305.93	0.00	2.83	0.00	0.00

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CHICAGO, IL 60680

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
312943DJ9	FEDERAL HOME LN MTG CORP POOL #A9-4605 4% 10-01-2040 BEO	04/15/2013 09/13/2010	GOLD1	897.58	0.00	-21.89	0.00
875.6900			875.69				
3620AJC31	GNMA POOL #730990 4.5% 01-15-2040 BEO	04/15/2013 02/23/2011	GNMA	1,385.39	0.00	-38.10	0.00
1347.2900			1,347.29				
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO	04/15/2013 08/08/2011	GNMA	605.47	0.00	-27.20	0.00
578.2700			578.27				
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO	04/15/2013 12/09/2010	GNMA	108.07	0.00	-4.27	0.00
103.8000			103.80				
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO	04/15/2013 06/27/2011	GNMA	1,135.62	0.00	-67.12	0.00
1068.5000			1,068.50				
617451AF6	CMO MORGAN STANLEY CAP I INC 2005-IQ10 CML MTG PTHRU CL A-4A 5.23 9-15-42 REG	04/15/2013 05/10/2010	CMO1	69.33	0.00	-2.71	0.00
66.6200			66.62				
61745MUD3	CMO MORGAN STANLEY CAP I INC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG	04/15/2013 10/27/2009	CMO1	1,639.08	0.00	-7.90	0.00
1631.1800			1,631.18				
61746WHF0	CMO MSDW CAP I TR 2001-TOP3 COML MTG CL A-4 6.39 EXP 6-15-11 FINAL 7-15-33 BEO	04/15/2013 09/24/2009	CMO1	193.95	0.00	-8.23	0.00
185.7200			185.72				
3137A8PP7	FHLMC MULTICLASS FREDDIE MAC STRUCTURED 12-25-2020	04/16/2013 04/07/2011	CMO1	39,794.13	0.00	6,424.62	0.00
40000.0000			46,218.75				

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4		CMO1				
163.3300	04/17/2013 01/06/2009		163.33	0.00	18.42	0.00	0.00
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB		CMO1				
6229.0900	5.037 DUE 03-15-2042 REG 04/17/2013 03/25/2009		6,229.09	0.00	691.81	0.00	0.00
31381KR27	FNMA POOL #463205 4.6% 09-01-2019 BEO		FNMA				
13.7600	04/25/2013 07/27/2009		13.76	0.00	0.06	0.00	0.00
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4%		FNMA				
288.9700	12-01-2040 BEO 04/25/2013 12/07/2010		288.97	0.00	-0.77	0.00	0.00
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5%		FNMA				
1163.5200	05-01-2041 BEO 04/25/2013 06/09/2011		1,163.52	0.00	-53.99	0.00	0.00
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5%		FNMA				
1247.2800	07-01-2041 BEO 04/25/2013 08/08/2011		1,247.28	0.00	-74.06	0.00	0.00
3138EGHU1	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0242 4.5%		FNMA				
2046.0500	04-01-2041 BEO 04/25/2013 06/08/2011		2,046.05	0.00	-101.34	0.00	0.00
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO		FNMA				
1177.8200	04/25/2013 11/19/2010		1,177.82	0.00	-107.84	0.00	0.00
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO		FNMA				
883.8300	04/25/2013 06/09/2010		883.83	0.00	-66.84	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO		FNMA				
1752.0400	04/25/2013	06/09/2010	1,752.04	0.00	-143.45	0.00	0.00
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO		FNMA				
1647.1800	04/25/2013	06/09/2010	1,647.18	0.00	-136.92	0.00	0.00
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO		FNMA				
21.3300	04/25/2013	02/23/2011	21.33	0.00	0.17	0.00	0.00
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO		FNMA				
1604.1600	04/25/2013	08/08/2011	1,604.16	0.00	-50.13	0.00	0.00
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO		FNMA				
604.1200	04/25/2013	04/04/2011	604.12	0.00	-28.79	0.00	0.00
31418SX80	FNMA POOL #AD5173 4% 09-01-2040 BEO		FNMA				
378.9100	04/25/2013	09/24/2010	378.91	0.00	-9.74	0.00	0.00
31418VWV0	FNMA POOL #AD7859 5% 06-01-2040 BEO		FNMA				
2804.6100	04/25/2013	06/09/2010	2,804.61	0.00	-161.27	0.00	0.00
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO		FNMA				
432.5800	04/25/2013	04/04/2011	432.58	0.00	-38.87	0.00	0.00
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO		FNMA				
400.2300	04/25/2013	08/08/2011	400.23	0.00	0.13	0.00	0.00

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31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	04/25/2013	04/04/2011	FNMA	93.31	0.00	-1.77	0.00	0.00
91.5400									
3138EGHU1	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0242 4.5%	04-01-2041 BEO		FNMA	62,077.40	0.00	2,763.31	0.00	0.00
59147.7400		05/08/2013	06/08/2011						
396789FT1	CMO GREENWICH CAP COML FDG CORP SER 2004-GG1	CL-A7 VAR RT DUE 06-10-2036 REG		CMO1	1,589.58	0.00	-28.12	0.00	0.00
1561.4600		05/10/2013	09/10/2009						
46627QBB3	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C	CL ASB 5.79 DUE 06-12-2043 REG		CMO1	723.27	0.00	-34.65	0.00	0.00
688.6200		05/13/2013	01/11/2010						
3128M5ED8	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5%	11-01-2037 BEO		GOLD1	4,209.06	0.00	-249.92	0.00	0.00
3959.1400		05/15/2013	04/11/2011						
3128M8G73	FEDERAL HOME LN MTG CORP POOL #G0-6222 4%	01-01-2041 BEO		GOLD1	699.42	0.00	8.85	0.00	0.00
708.2700		05/15/2013	02/23/2011						
312943DJ9	FEDERAL HOME LN MTG CORP POOL #A9-4605 4%	10-01-2040 BEO		GOLD1	982.83	0.00	-23.97	0.00	0.00
958.8600		05/15/2013	09/13/2010						
3620AJC31	GNMA POOL #730990 4.5% 01-15-2040 BEO			GNMA	3,698.90	0.00	-101.73	0.00	0.00
3597.1700		05/15/2013	02/23/2011						
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO			GNMA	1,060.23	0.00	-47.62	0.00	0.00
1012.6100		05/15/2013	08/08/2011						

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8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.				
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO		GNMA				
93.7500	05/15/2013	12/09/2010	93.75	0.00	-3.85	0.00	0.00
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO		GNMA				
958.4200	05/15/2013	06/27/2011	958.42	0.00	-60.20	0.00	0.00
617451AF6	CMO MORGAN STANLEY CAP I INC 2005-IQ10 CML MTG PTHRU CLA-4A 5.23 9-15-42 REG		CMO1				
40.9000	05/15/2013	05/10/2010	40.90	0.00	-1.66	0.00	0.00
61745MUD3	CMO MORGAN STANLEY CAP I INC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG		CMO1				
451.1500	05/15/2013	10/27/2009	451.15	0.00	-2.19	0.00	0.00
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO		CMO1				
180.6500	05/17/2013	01/06/2009	180.65	0.00	20.39	0.00	0.00
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB 5.037 DUE 03-15-2042 REG		CMO1				
1058.7000	05/17/2013	03/25/2009	1,058.70	0.00	117.45	0.00	0.00
31381KR27	FNMA POOL #463205 4.6% 09-01-2019 BEO		FNMA				
16.2000	05/28/2013	07/27/2009	16.20	0.00	0.07	0.00	0.00
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4% 12-01-2040 BEO		FNMA				
351.9000	05/28/2013	12/07/2010	351.90	0.00	-0.93	0.00	0.00
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5% 05-01-2041 BEO		FNMA				
963.3100	05/28/2013	06/09/2011	963.31	0.00	-44.70	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5% 07-01-2041 BEO	FNMA	2,126.27	0.00	-126.25	0.00	0.00
2126.2700	05/28/2013 08/08/2011						
3138EGHU1	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0242 4.5% 04-01-2041 BEO	FNMA	1,532.87	0.00	-75.92	0.00	0.00
1532.8700	05/28/2013 06/08/2011						
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO	FNMA	1,320.80	0.00	-110.79	0.00	0.00
1210.0100	05/28/2013 11/19/2010						
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO	FNMA	104.21	0.00	-7.33	0.00	0.00
96.8800	05/28/2013 06/09/2010						
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO	FNMA	2,003.20	0.00	-151.60	0.00	0.00
1851.6000	05/28/2013 06/09/2010						
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO	FNMA	655.81	0.00	-50.33	0.00	0.00
605.4800	05/28/2013 06/09/2010						
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO	FNMA	316.05	0.00	3.66	0.00	0.00
319.7100	05/28/2013 02/23/2011						
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO	FNMA	3,169.79	0.00	-96.05	0.00	0.00
3073.7400	05/28/2013 08/08/2011						
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO	FNMA	966.97	0.00	-43.99	0.00	0.00
922.9800	05/28/2013 04/04/2011						

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Cusip	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Description	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
					Stocks	Bonds, etc.				Tax	Withheld	
31418SXB0				FNMA POOL #AD5173 4% 09-01-2040 BEO	FNMA							
1251.0700		05/28/2013	09/24/2010		1,251.07		1,283.23	0.00	-32.16	0.00	0.00	0.00
31418VWV0				FNMA POOL #AD7859 5% 06-01-2040 BEO	FNMA							
2151.2500		05/28/2013	06/09/2010		2,151.25		2,274.95	0.00	-123.70	0.00	0.00	0.00
31419AWN3				FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	FNMA							
398.1600		05/28/2013	04/04/2011		398.16		433.93	0.00	-35.77	0.00	0.00	0.00
31419BCT0				FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	FNMA							
327.5300		05/28/2013	08/08/2011		327.53		327.43	0.00	0.10	0.00	0.00	0.00
31419BXT7				FNMA POOL #AE1589 4.5% 10-01-2040 BEO	FNMA							
113.6900		05/28/2013	04/04/2011		113.69		115.89	0.00	-2.20	0.00	0.00	0.00
396789FT1				CMO GREENWICH CAP COM1 FDG CORP SER 2004-GG1	CMO1							
343.5900		06/12/2013	09/10/2009	CL-A7 VAR RT DUE 06-10-2036 REG	343.59		349.78	0.00	-6.19	0.00	0.00	0.00
46627QBB3				CMO J P MORGAN CHASE COM1 MTG SECS TR 2006-C	CMO1							
1189.4600		06/12/2013	01/11/2010	CL ASB 5.79 DUE 06-12-2043 REG	1,189.46		1,249.30	0.00	-59.84	0.00	0.00	0.00
3128M5ED8				FEDERAL HOME LN MTG CORP POOL #GO-3432 5.5%	GOLD1							
3994.6800		11-01-2037 BEO	06/17/2013	04/11/2011	3,994.68		4,246.84	0.00	-252.16	0.00	0.00	0.00
3128M8G73				FEDERAL HOME LN MTG CORP POOL #GO-6222 4%	GOLD1							
607.9700		01-01-2041 BEO	06/17/2013	02/23/2011	607.97		599.70	0.00	8.27	0.00	0.00	0.00

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HOUSTON, TX 77046-0892

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.				
312943DJ9	FEDERAL HOME LN MTG CORP POOL #A9-4605 4% 10-01-2040 BEO	06/17/2013 09/13/2010	GOLD1				
897.1300			897.13	919.56	0.00	-22.43	0.00
3620AJC31	GNMA POOL #730990 4.5% 01-15-2040 BEO	06/17/2013 02/23/2011	GNMA				
2010.6700			2,010.67	2,067.53	0.00	-56.86	0.00
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO	06/17/2013 08/08/2011	GNMA				
672.3900			672.39	704.01	0.00	-31.62	0.00
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO	06/17/2013 12/09/2010	GNMA				
89.0100			89.01	92.67	0.00	-3.66	0.00
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO	06/17/2013 06/27/2011	GNMA				
1561.6500			1,561.65	1,659.74	0.00	-98.09	0.00
617451AF6	CMO MORGAN STANLEY CAPITAL INC 2005-IQ10 CML MTG PTHRU CLA-4A 5.23 9-15-42 REG	06/17/2013 05/10/2010	CMO1				
175.3600			175.36	182.49	0.00	-7.13	0.00
61745MUD3	CMO MORGAN STANLEY CAPITAL INC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG	06/17/2013 10/27/2009	CMO1				
84.4000			84.40	84.81	0.00	-0.41	0.00
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLTRT DUE 10-15-2044 BEO	06/17/2013 01/06/2009	CMO1				
166.7500			166.75	147.98	0.00	18.77	0.00
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB 5.037 DUE 03-15-2042 REG	06/17/2013 03/25/2009	CMO1				
2246.5800			2,246.58	1,997.63	0.00	248.95	0.00

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P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31381KR27	FNMA POOL #463205 4.6% 09-01-2019 BEO	06/25/2013	07/27/2009	FNMA	13.85	0.00	0.06	0.00	0.00
13.9100				13.91					
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4% 12-01-2040 BEO	06/25/2013	12/07/2010	FNMA	491.53	0.00	-1.30	0.00	0.00
490.2300				490.23					
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5% 05-01-2041 BEO	06/25/2013	06/09/2011	FNMA	1,593.81	0.00	-70.68	0.00	0.00
1523.1300				1,523.13					
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5% 07-01-2041 BEO	06/25/2013	08/08/2011	FNMA	2,612.69	0.00	-146.43	0.00	0.00
2466.2600				2,466.26					
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO	06/25/2013	11/19/2010	FNMA	1,351.11	0.00	-113.33	0.00	0.00
1237.7800				1,237.78					
31412QW54	FNMA POOL #932268 5 5% 11-01-2039 BEO	06/25/2013	06/09/2010	FNMA	7,370.25	0.00	-518.19	0.00	0.00
6852.0600				6,852.06					
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO	06/25/2013	06/09/2010	FNMA	1,663.20	0.00	-125.87	0.00	0.00
1537.3300				1,537.33					
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO	06/25/2013	06/09/2010	FNMA	1,448.62	0.00	-111.18	0.00	0.00
1337.4400				1,337.44					
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO	06/25/2013	02/23/2011	FNMA	202.93	0.00	2.57	0.00	0.00
205.5000				205.50					

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Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO	1905.3600	06/25/2013	08/08/2011	FNMA	1,964.90	0.00	-59.54	0.00	0.00
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO	223.3700	06/25/2013	04/04/2011	FNMA	234.02	0.00	-10.65	0.00	0.00
31418SX80	FNMA POOL #AD5173 4% 09-01-2040 BEO	1512.7500	06/25/2013	09/24/2010	FNMA	1,551.63	0.00	-38.88	0.00	0.00
31418VWV0	FNMA POOL #AD7859 5% 06-01-2040 BEO	1961.3900	06/25/2013	06/09/2010	FNMA	2,074.17	0.00	-112.78	0.00	0.00
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	414.0900	06/25/2013	04/04/2011	FNMA	451.29	0.00	-37.20	0.00	0.00
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	425.6400	06/25/2013	08/08/2011	FNMA	425.51	0.00	0.13	0.00	0.00
31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	33.9800	06/25/2013	04/04/2011	FNMA	34.64	0.00	-0.66	0.00	0.00
396789FT1	CMO GREENWICH CAP COM1 FDG CORP SER 2004-GG1	3824.5400	07/12/2013	09/10/2009	CMO1	3,893.41	0.00	-68.87	0.00	0.00
46627QBB3	CMO J P MORGAN CHASE COM1 MTG SECS TR 2006-C	777.4500	07/12/2013	01/11/2010	CMO1	816.57	0.00	-39.12	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.					
12619SAC0	CNH EQUIP TR 1.17% DUE 05-15-2015		SPCL1					
666.4700	07/15/2013	11/10/2010	666.47	666.44	0.00	0.03	0.00	0.00
3128M5ED8	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5% 11-01-2037 BEO		GOLD1					
3610.9900	07/15/2013	04/11/2011	3,610.99	3,838.93	0.00	-227.94	0.00	0.00
3128M8G73	FEDERAL HOME LN MTG CORP POOL #G0-6222 4% 01-01-2041 BEO		GOLD1					
333.2900	07/15/2013	02/23/2011	333.29	328.60	0.00	4.69	0.00	0.00
312943DJ9	FEDERAL HOME LN MTG CORP POOL #A9-4605 4% 10-01-2040 BEO		GOLD1					
740.9600	07/15/2013	09/13/2010	740.96	759.48	0.00	-18.52	0.00	0.00
3620AJC31	GNMA POOL #730990 4.5% 01-15-2040 BEO		GNMA					
1795.6400	07/15/2013	02/23/2011	1,795.64	1,846.42	0.00	-50.78	0.00	0.00
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO		GNMA					
642.8700	07/15/2013	08/08/2011	642.87	673.11	0.00	-30.24	0.00	0.00
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO		GNMA					
68.1100	07/15/2013	12/09/2010	68.11	70.91	0.00	-2.80	0.00	0.00
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO		GNMA					
1029.0600	07/15/2013	06/27/2011	1,029.06	1,093.70	0.00	-64.64	0.00	0.00
617451AF6	CMO MORGAN STANLEY CAP LINC 2005-1Q10 CML MTG PTHRU CL A-4A 5.23 9-15-42 REG		CMO1					
227.5200	07/15/2013	05/10/2010	227.52	236.77	0.00	-9.25	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
61745MUD3	CMO MORGAN STANLEY CAP I INC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG 07/15/2013 10/27/2009		CMO1 2,905.50	0.00	-14.07	0.00	0.00
2905.5000							
312943DJ9	FEDERAL HOME LN MTG CORP POOL #A9-4605 4% 10-01-2040 BEO 07/16/2013 09/13/2010		GOLD1 22,583.22	0.00	288.85	0.00	0.00
21750.5800							
31418SXB0	FNMA POOL #AD5173 4% 09-01-2040 BEO		FNMA 52,741.60	0.00	693.77	0.00	0.00
50743.5600							
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO 07/17/2013 01/06/2009		CMO1 179.67	0.00	20.21	0.00	0.00
179.6700							
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB 5.037 DUE 03-15-2042 REG 07/17/2013 03/25/2009		CMO1 325.83	0.00	36.07	0.00	0.00
325.8300							
31381KR27	FNMA POOL #463205 4.6% 09-01-2019 BEO		FNMA 16.34	0.00	0.07	0.00	0.00
16.3400							
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4% 12-01-2040 BEO 07/25/2013 12/07/2010		FNMA 303.53	0.00	-0.80	0.00	0.00
302.7300							
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5% 05-01-2041 BEO 07/25/2013 06/09/2011		FNMA 1,240.33	0.00	-57.56	0.00	0.00
1240.3300							
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5% 07-01-2041 BEO 07/25/2013 08/08/2011		FNMA 1,838.46	0.00	-109.16	0.00	0.00
1838.4600							

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks	Bonds, etc.					
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO			FNMA						
1078.3600	07/25/2013 11/19/2010			1,078.36		1,177.10	0.00	-98.74	0.00	0.00
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO			FNMA						
5541.9500	07/25/2013 06/09/2010			5,541.95		5,961.06	0.00	-419.11	0.00	0.00
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO			FNMA						
1692.2700	07/25/2013 06/09/2010			1,692.27		1,830.82	0.00	-138.55	0.00	0.00
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO			FNMA						
19.4700	07/25/2013 06/09/2010			19.47		21.09	0.00	-1.62	0.00	0.00
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO			FNMA						
20.2500	07/25/2013 02/23/2011			20.25		19.89	0.00	0.36	0.00	0.00
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO			FNMA						
2588.8200	07/25/2013 08/08/2011			2,588.82		2,669.72	0.00	-80.90	0.00	0.00
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO			FNMA						
38.9700	07/25/2013 04/04/2011			38.97		40.83	0.00	-1.86	0.00	0.00
31418SX80	FNMA POOL #AD5173 4% 09-01-2040 BEO			FNMA						
702.3000	07/25/2013 09/24/2010			702.30		720.35	0.00	-18.05	0.00	0.00
31418VWV0	FNMA POOL #AD7859 5% 06-01-2040 BEO			FNMA						
1531.1000	07/25/2013 06/09/2010			1,531.10		1,619.14	0.00	-88.04	0.00	0.00

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Recipient's Name and Address:
THE MARY H CAIN FOUNDATION
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HOUSTON, TX 77046-0892

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	FNMA	266.43	290.37	0.00	-23.94	0.00	0.00
266.4300	07/25/2013 04/04/2011							
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	FNMA	377.17	377.05	0.00	0.12	0.00	0.00
377.1700	07/25/2013 08/08/2011							
31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	FNMA	106.69	108.76	0.00	-2.07	0.00	0.00
106.6900	07/25/2013 04/04/2011							
20030NB86	COMCAST CORP NEW 6.4% DUE 03-01-2040		5,967.05	4,976.20	0.00	990.85	0.00	0.00
5000.0000	08/02/2013 02/24/2010							
91324PBQ4	UNITEDHEALTH GROUP 5.95% DUE 02-15-2041		11,420.70	9,947.40	0.00	1,473.30	0.00	0.00
10000.0000	08/02/2013 02/14/2011							
396789FT1	CMO GREENWICH CAP COMML FDG CORP SER 2004-GG1	CMO1	2,546.51	2,592.37	0.00	-45.86	0.00	0.00
2546.5100	CL-A7 VAR RT DUE 06-10-2036 REG 08/12/2013 09/10/2009							
46627QBB3	CMO J P MORGAN CHASE COMML MTG SECS TR 2006-C	CMO1	583.13	612.47	0.00	-29.34	0.00	0.00
583.1300	CL ASB 5.79 DUE 06-12-2043 REG 08/12/2013 01/11/2010							
12619SAC0	CNH EQUIP TR 1.17% DUE 05-15-2015	SPCL1	2,017.17	2,017.07	0.00	0.10	0.00	0.00
2017.1700	08/15/2013 11/10/2010							
3128M5ED8	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5%	GOLD1	3,628.49	3,857.54	0.00	-229.05	0.00	0.00
3628.4900	11-01-2037 BEO 08/15/2013 04/11/2011							

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8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Date of Sale or Exchange	Description	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks	Bonds, etc.					
3128M8G73			FEDERAL HOME LN MTG CORP POOL #GO-6222 4% 01-01-2041 BEO	GOLD1						
502.5400		08/15/2013	02/23/2011	502.54		492.80	0.00	9.74	0.00	0.00
3620AJC31			GNMA POOL #730990 4.5% 01-15-2040 BEO	GNMA						
1724.5900		08/15/2013	02/23/2011	1,724.59		1,773.36	0.00	-48.77	0.00	0.00
3620AR4A6			GNMA POOL #738017 4% 02-15-2041 BEO	GNMA						
539.5600		08/15/2013	08/08/2011	539.56		564.94	0.00	-25.38	0.00	0.00
36241K6P8			GNMA POOL #782678 4.5% 06-15-2039 BEO	GNMA						
65.3800		08/15/2013	12/09/2010	65.38		68.07	0.00	-2.69	0.00	0.00
36241LT97			GNMA POOL #783276 4.5% 03-15-2041 BEO	GNMA						
1217.8600		08/15/2013	06/27/2011	1,217.86		1,294.36	0.00	-76.50	0.00	0.00
617451AF6			CMO MORGAN STANLEY CAP I INC 2005-IQ10 CML MTG PTHRU CL A-4A 5.23 9-15-42 REG	CMO1						
76.3700		08/15/2013	05/10/2010	76.37		79.48	0.00	-3.11	0.00	0.00
61745MUD3			CMO MORGAN STANLEY CAP I INC 2003-IQ6 CLA-4 4 97% DUE 12-15-2041/11-15-2013 REG	CMO1						
9080.9900		08/15/2013	10/27/2009	9,080.99		9,124.97	0.00	-43.98	0.00	0.00
9297667G2			CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO	CMO1						
166.5300		08/16/2013	01/06/2009	166.53		147.79	0.00	18.74	0.00	0.00
929766C27			CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB 5.037 DUE 03-15-2042 REG	CMO1						
717.9200		08/16/2013	03/25/2009	717.92		638.55	0.00	79.37	0.00	0.00

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31381KR27	FNMA POOL #463205 4.6% 09-01-2019 BEO	FNMA	14.06	14.00	0.00	0.06	0.00	0.00
14.0600	08/26/2013 07/27/2009							
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4%	FNMA	299.39	300.19	0.00	-0.80	0.00	0.00
299.3900	12-01-2040 BEO 08/26/2013 12/07/2010							
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5%	FNMA	1,227.72	1,284.69	0.00	-56.97	0.00	0.00
1227.7200	05-01-2041 BEO 08/26/2013 06/09/2011							
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5%	FNMA	2,227.22	2,359.46	0.00	-132.24	0.00	0.00
2227.2200	07-01-2041 BEO 08/26/2013 08/08/2011							
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO	FNMA	995.98	1,087.17	0.00	-91.19	0.00	0.00
995.9800	08/26/2013 11/19/2010							
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO	FNMA	80.52	86.61	0.00	-6.09	0.00	0.00
80.5200	08/26/2013 06/09/2010							
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO	FNMA	1,137.28	1,230.39	0.00	-93.11	0.00	0.00
1137.2800	08/26/2013 06/09/2010							
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO	FNMA	658.18	712.89	0.00	-54.71	0.00	0.00
658.1800	08/26/2013 06/09/2010							
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO	FNMA	454.19	446.03	0.00	8.16	0.00	0.00
454.1900	08/26/2013 02/23/2011							

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.					
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO		FNMA					
1759.3200	08/26/2013	08/08/2011	1,759.32	1,814.30	0.00	-54.98	0.00	0.00
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO		FNMA					
39.3800	08/26/2013	04/04/2011	39.38	41.26	0.00	-1.88	0.00	0.00
31418VWV0	FNMA POOL #AD7859 5% 06-01-2040 BEO		FNMA					
1777.5100	08/26/2013	06/09/2010	1,777.51	1,879.72	0.00	-102.21	0.00	0.00
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO		FNMA					
280.9100	08/26/2013	04/04/2011	280.91	306.15	0.00	-25.24	0.00	0.00
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO		FNMA					
271.5300	08/26/2013	08/08/2011	271.53	271.44	0.00	0.09	0.00	0.00
31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO		FNMA					
72.4300	08/26/2013	04/04/2011	72.43	73.83	0.00	-1.40	0.00	0.00
396789FT1	CMO GREENWICH CAP COM1 FDG CORP SER 2004-GG1		CMO1					
5399.3800	CL-A7 VAR RT DUE 06-10-2036 REG		5,399.38	5,496.61	0.00	-97.23	0.00	0.00
46627QB83	CMO J P MORGAN CHASE COM1 MTG SECS TR 2006-C		CMO1					
817.1800	CL ASB 5.79 DUE 06-12-2043 REG		817.18	858.29	0.00	-41.11	0.00	0.00
12619SAC0	CNH EQUIP TR 1.17% DUE 05-15-2015		SPCL1					
1977.1500	09/16/2013	11/10/2010	1,977.15	1,977.05	0.00	0.10	0.00	0.00

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
3128M5ED8	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5% 11-01-2037 BEO	09/16/2013 04/11/2011	GOLD1	2,967.38	3,154.70	0.00	0.00
2967.3800							
3128M8G73	FEDERAL HOME LN MTG CORP POOL #G0-6222 4% 01-01-2041 BEO	09/16/2013 02/23/2011	GOLD1	203.31	199.37	0.00	0.00
203.3100							
3620AJC31	GNMA POOL #730990 4.5% 01-15-2040 BEO		GNMA				
1033.0600							
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO		GNMA				
329.9900							
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO		GNMA				
110.8400							
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO		GNMA				
740.9500							
617451AF6	CMO MORGAN STANLEY CAP LINC 2005-IQ10 CML MTG PTHRU CL A-4A 5.23 9-15-42 REG	09/16/2013 05/10/2010	CMO1	262.91	273.60	0.00	0.00
262.9100							
61745MUD3	CMO MORGAN STANLEY CAP LINC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG	09/16/2013 10/27/2009	CMO1	2,497.12	2,509.21	0.00	0.00
2497.1200							
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO	09/17/2013 01/06/2009	CMO1	167.26	148.45	0.00	0.00
167.2600							

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CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB		CMO1				
7184.3300	5.037 DUE 03-15-2042 REG 09/17/2013 03/25/2009		7,184.33	0.00	793.42	0.00	0.00
31381KR27	FNMA POOL #463205 4 6% 09-01-2019 BEO		FNMA				
14.1200	09/25/2013 07/27/2009		14.12	0.00	0.06	0.00	0.00
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4%		FNMA				
271.5500	12-01-2040 BEO 09/25/2013 12/07/2010		271.55	0.00	-0.72	0.00	0.00
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5%		FNMA				
809.3500	05-01-2041 BEO 09/25/2013 06/09/2011		809.35	0.00	-37.56	0.00	0.00
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5%		FNMA				
1196.0700	07-01-2041 BEO 09/25/2013 08/08/2011		1,196.07	0.00	-71.02	0.00	0.00
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO		FNMA				
802.8600	09/25/2013 11/19/2010		802.86	0.00	-73.51	0.00	0.00
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO		FNMA				
7765.3900	09/25/2013 06/09/2010		7,765.39	0.00	-587.26	0.00	0.00
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO		FNMA				
1301.5200	09/25/2013 06/09/2010		1,301.52	0.00	-106.56	0.00	0.00
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO		FNMA				
650.4900	09/25/2013 06/09/2010		650.49	0.00	-54.07	0.00	0.00

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO	09/25/2013	02/23/2011	FNMA	167.17	164.17	0.00	3.00	0.00	0.00
167.1700										
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO	09/25/2013	08/08/2011	FNMA	2,285.32	2,356.74	0.00	-71.42	0.00	0.00
2285.3200										
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO	09/25/2013	04/04/2011	FNMA	48.85	51.18	0.00	-2.33	0.00	0.00
48.8500										
31418VWV0	FNMA POOL #AD7859 5% 06-01-2040 BEO	09/25/2013	06/09/2010	FNMA	2,874.54	3,039.83	0.00	-165.29	0.00	0.00
2874.5400										
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	09/25/2013	04/04/2011	FNMA	332.83	362.73	0.00	-29.90	0.00	0.00
332.8300										
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	09/25/2013	08/08/2011	FNMA	178.05	177.99	0.00	0.06	0.00	0.00
178.0500										
31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	09/25/2013	04/04/2011	FNMA	120.00	122.33	0.00	-2.33	0.00	0.00
120.0000										
396789FT1	CMO GREENWICH CAP COM L FDG CORP SER 2004-GG1	10/11/2013	09/10/2009	CMO1	7,316.26	7,448.01	0.00	-131.75	0.00	0.00
7316.2600										
3128M5ED8	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5% 11-01-2037 BEO	10/15/2013	04/11/2011	GOLD1	2,194.84	2,333.39	0.00	-138.55	0.00	0.00
2194.8400										

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
3128M8G73	FEDERAL HOME LN MTG CORP POOL #G0-6222 4% 01-01-2041 BEO	10/15/2013 02/23/2011	GOLD1				
421.5600			421.56	0.00	8.17	0.00	0.00
3620AJC31	GNMA POOL #730990 4.5% 01-15-2040 BEO	10/15/2013 02/23/2011	GNMA				
1140.9700			1,140.97	0.00	-32.27	0.00	0.00
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO	10/15/2013 08/08/2011	GNMA				
431.8500			431.85	0.00	-20.31	0.00	0.00
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO	10/15/2013 12/09/2010	GNMA				
128.0600			128.06	0.00	-5.26	0.00	0.00
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO	10/15/2013 06/27/2011	GNMA				
613.9800			613.98	0.00	-38.57	0.00	0.00
46627Q883	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG	10/15/2013 01/11/2010	CMO1				
788.5100			788.51	0.00	-39.67	0.00	0.00
617451AF6	CMO MORGAN STANLEY CAP I INC 2005-IQ10 CML MTG PTHRU CL A-4A 5.23 9-15-42 REG	10/15/2013 05/10/2010	CMO1				
41.7700			41.77	0.00	-1.70	0.00	0.00
61745MUD3	CMO MORGAN STANLEY CAP I INC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG	10/15/2013 10/27/2009	CMO1				
3035.8400			3,035.84	0.00	-14.71	0.00	0.00
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO	10/18/2013 01/06/2009	CMO1				
181.7400			181.74	0.00	20.39	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB	CMO1	776.5100	5.037 DUE 03-15-2042 REG 10/18/2013 03/25/2009		776.51	690.85	0.00	85.66	0.00	0.00
05573WAC9	BMW VEH OWNER TR .759999999046% DUE 08-25-2015	SPCL1	1376.8000	10/25/2013 09/28/2012		1,376.80	1,382.77	0.00	-5.97	0.00	0.00
31381KR27	FNMA POOL #463205 4.6% 09-01-2019 BEO	FNMA	16.5600	10/25/2013 07/27/2009		16.56	16.49	0.00	0.07	0.00	0.00
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4% 12-01-2040 BEO 10/25/2013 12/07/2010	FNMA	297.1300			297.13	297.92	0.00	-0.79	0.00	0.00
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #AI2432 4.5% 05-01-2041 BEO 10/25/2013 06/09/2011	FNMA	1137.4500			1,137.45	1,190.24	0.00	-52.79	0.00	0.00
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #AI5589 4.5% 07-01-2041 BEO 10/25/2013 08/08/2011	FNMA	1150.4300			1,150.43	1,218.74	0.00	-68.31	0.00	0.00
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO	FNMA	759.2200	10/25/2013 11/19/2010		759.22	828.74	0.00	-69.52	0.00	0.00
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO	FNMA	4313.7600	10/25/2013 06/09/2010		4,313.76	4,639.99	0.00	-326.23	0.00	0.00
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO	FNMA	1274.7200	10/25/2013 06/09/2010		1,274.72	1,379.09	0.00	-104.37	0.00	0.00

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

Recipient's Name and Address:
THE MARY H CAIN FOUNDATION
8 GREENWAY PLZ STE 606
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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.				
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO						
1307.3300	10/25/2013	06/09/2010	1,307.33	0.00	-108.67	0.00	0.00
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO						
415.4900	10/25/2013	02/23/2011	415.49	0.00	7.47	0.00	0.00
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO						
265.0400	10/25/2013	08/08/2011	265.04	0.00	-8.28	0.00	0.00
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO						
404.3200	10/25/2013	04/04/2011	404.32	0.00	-19.27	0.00	0.00
31418VWV0	FNMA POOL #AD7859 5% 06-01-2040 BEO						
1500.6400	10/25/2013	06/09/2010	1,500.64	0.00	-86.29	0.00	0.00
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO						
243.2600	10/25/2013	04/04/2011	243.26	0.00	-21.86	0.00	0.00
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO						
120.5000	10/25/2013	08/08/2011	120.50	0.00	0.04	0.00	0.00
31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO						
59.0500	10/25/2013	04/04/2011	59.05	0.00	-1.14	0.00	0.00
46627QBB3	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C						
590.9800	CL ASB 5.79 DUE 06-12-2043 REG						
	11/12/2013 01/11/2010		590.98	0.00	-29.73	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
396789FT1	CMO GREENWICH CAP COM L FDG CORP SER 2004-GG1		CMO1				
1793.3400	CL-A7 VAR RT DUE 06-10-2036 REG 11/13/2013 09/10/2009		1,793.34	0.00	-32.29	0.00	0.00
61745MV86	CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4		CMO1				
1536.1000	4.66% DUE 09-13-2045 REG 11/13/2013 11/07/2012		1,536.10	0.00	-53.04	0.00	0.00
3128M5ED8	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5%		GOLD1				
2203.1900	11-01-2037 BEO 11/15/2013 04/11/2011		2,203.19	0.00	-139.08	0.00	0.00
3128M8G73	FEDERAL HOME LN MTG CORP POOL #G0-6222 4%		GOLD1				
83.8600	01-01-2041 BEO 11/15/2013 02/23/2011		83.86	0.00	1.62	0.00	0.00
3620AJC31	GNMA POOL #730990 4.5% 01-15-2040 BEO		GNMA				
1043.7500	11/15/2013 02/23/2011		1,043.75	0.00	-29.52	0.00	0.00
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO		GNMA				
155.0600	11/15/2013 08/08/2011		155.06	0.00	-7.29	0.00	0.00
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO		GNMA				
51.6800	11/15/2013 12/09/2010		51.68	0.00	-2.12	0.00	0.00
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO		GNMA				
669.3300	11/15/2013 06/27/2011		669.33	0.00	-42.04	0.00	0.00
617451AF6	CMO MORGAN STANLEY CAP I INC 2005-IQ10 CML MTG		CMO1				
124.5600	PTHRU CL A-4A 5.23 9-15-42 REG 11/15/2013 05/10/2010		124.56	0.00	-5.07	0.00	0.00

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Cusip	Quantity Sold	Description	Date of		Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax		State Tax	
			Exchange	Acquisition					Tax	Withheld	Tax	Withheld
61745MUD3	341.3200	CMO MORGAN STANLEY CAP I INC 2003-IQ6 CLA-4 4.97% DUE 12-15-2041/11-15-2013 REG 11/15/2013 10/27/2009			CMO1	341.32	0.00	-1.65	0.00	0.00	0.00	0.00
52109RBM2	4.4600	CMO LB UBS COML MTG TR 2007 C7 VAR RT MTG PASS THRU CTF CL A-3 09-15-2045 REG 11/18/2013 10/23/2012			CMO1	5.36	0.00	-0.90	0.00	0.00	0.00	0.00
9297667G2	168.9400	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO 11/18/2013 01/06/2009			CMO1	149.97	0.00	18.97	0.00	0.00	0.00	0.00
929766C27	728.3700	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB 5.037 DUE 03-15-2042 REG 11/18/2013 03/25/2009			CMO1	648.11	0.00	80.26	0.00	0.00	0.00	0.00
3128M8G73	13617.0800	FEDERAL HOME LN MTG CORP POOL #G0-6222 4% 01-01-2041 BEO 11/20/2013 02/23/2011			GOLD1	13,353.25	0.00	842.57	0.00	0.00	0.00	0.00
31416XAF7	10959.0600	FNMA POOL #AB1805 4% 11-01-2040 BEO 11/20/2013 02/23/2011			FNMA	10,762.13	0.00	710.64	0.00	0.00	0.00	0.00
05573WAC9	1659.1900	BMW VEH OWNER TR .75999999046% DUE 08-25-2015 11/25/2013 09/28/2012			SPCL1	1,666.38	0.00	-7.19	0.00	0.00	0.00	0.00
31381KR27	14.2800	FNMA POOL #463205 4.6% 09-01-2019 BEO 11/25/2013 07/27/2009			FNMA	14.22	0.00	0.06	0.00	0.00	0.00	0.00
3138A2BM0	117.0200	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4% 12-01-2040 BEO 11/25/2013 12/07/2010			FNMA	117.33	0.00	-0.31	0.00	0.00	0.00	0.00

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.				
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #A12432 4.5%						
574.7700	05-01-2041 BEO 11/25/2013 06/09/2011		FNMA 574.77	0.00	-26.67	0.00	0.00
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5%						
791.3500	07-01-2041 BEO 11/25/2013 08/08/2011		FNMA 791.35	0.00	-46.99	0.00	0.00
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO						
672.3600	11/25/2013 11/19/2010		FNMA 672.36	0.00	-61.56	0.00	0.00
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO						
62.9500	11/25/2013 06/09/2010		FNMA 62.95	0.00	-4.76	0.00	0.00
31415LML8	FNMA POOL #983363 6% 08-01-2038 BEO						
447.6200	11/25/2013 06/09/2010		FNMA 447.62	0.00	-36.65	0.00	0.00
31415V3K9	FNMA POOL #991002 6% 10-01-2038 BEO						
16.4800	11/25/2013 06/09/2010		FNMA 16.48	0.00	-1.37	0.00	0.00
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO						
19.6400	11/25/2013 02/23/2011		FNMA 19.64	0.00	0.35	0.00	0.00
31416XQY9	FNMA POOL #AB2270 4% 02-01-2041 BEO						
2495.9800	11/25/2013 08/08/2011		FNMA 2,495.98	0.00	-78.00	0.00	0.00
31418RY70	FNMA POOL #AD4333 5% 03-01-2040 BEO						
174.6400	11/25/2013 04/04/2011		FNMA 174.64	0.00	-8.32	0.00	0.00

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				Quantity Sold	Stocks, Bonds, etc.					
31418VWV0	FNMA POOL #AD7859 5% 06-01-2040 BEO	11/25/2013	06/09/2010	1653.1300	FNMA 1,653.13	1,748.19	0.00	-95.06	0.00	0.00
31419AWN3	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	11/25/2013	04/04/2011	161.6100	FNMA 161.61	176.13	0.00	-14.52	0.00	0.00
31419BCT0	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	11/25/2013	08/08/2011	125.0900	FNMA 125.09	125.05	0.00	0.04	0.00	0.00
31419BXT7	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	11/25/2013	04/04/2011	45.6800	FNMA 45.68	46.57	0.00	-0.89	0.00	0.00
00206RAS1	AT&T INC GLOBAL NT 6.55 DUE 02-15-2039 REG	12/05/2013	11/04/2011	5000.0000	5,566.30	6,299.90	0.00	-733.60	0.00	0.00
12513YAF7	CMO CD 2007-CD4 COML MTG TR MTG PASSTHRUCTF	12/06/2013	12/10/2010	9999.9900	CMO1 11,045.70	10,183.59	0.00	862.11	0.00	0.00
260543CC5	DOW CHEM CO 4.25% DUE 11-15-2020	12/10/2013	11/02/2011	20000.0000	21,370.80	20,509.60	0.00	861.20	0.00	0.00
58013MEM2	MCDONALDS CORP 2.625 DUE 01-15-2022 REG	12/10/2013	09/29/2011	30000.0000	28,793.10	29,592.30	0.00	-799.20	0.00	0.00
912803DU4	UNITED STATES TREAS BD STRIPPED PRIN	12/10/2013	Various	15000.0000	STRIP1 4,845.90	6,647.94	0.00	-1,802.04	0.00	0.00

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					Stocks	Bonds, etc.				Income Tax	Withheld	
912810QX9	15000.0000	UNITED STATES OF AMER TREAS BONDS 2.75 BDS DUE 08-15-2042 USD100 BONDS 08/42	12/10/2013	11/30/2012		12,101.37	14,877.53	0.00	-2,776.16	0.00		0.00
912828TD2	35000.0000	US TREAS NTS .25 DUE 07-15-2015 REG 07-15-2015	12/10/2013	08/31/2012		34,997.27	34,954.88	0.00	42.39	0.00		0.00
92867KAC8	30000.0000	VOLKSWAGEN AUTO .87% DUE 07-20-2015	12/10/2013	09/17/2012	SPCL1	30,070.31	30,236.72	0.00	-166.41	0.00		0.00
9297667G2	55429.5400	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO	12/11/2013	01/06/2009	CMO1	58,707.70	49,222.24	0.00	9,485.46	0.00		0.00
396789FT1	11338.5300	CMO GREENWICH CAP COML FDG CORP SER 2004-GG1 CL-A7 VAR RT DUE 06-10-2036 REG	12/12/2013	09/10/2009	CMO1	11,338.53	11,542.71	0.00	-204.18	0.00		0.00
46627QBB3	914.9500	CMO J P MORGAN CHASE COML MTG SECS TR 2006-C CL ASB 5.79 DUE 06-12-2043 REG	12/12/2013	01/11/2010	CMO1	914.95	960.98	0.00	-46.03	0.00		0.00
61745MV86	1324.7600	CMO MORGAN STANLEY CAP I INC 2004-TOP13 CL A-4 4.66% DUE 09-13-2045 REG	12/13/2013	11/07/2012	CMO1	1,324.76	1,370.51	0.00	-45.75	0.00		0.00
3128M5ED8	1733.3000	FEDERAL HOME LN MTG CORP POOL #G0-3432 5.5% 11-01-2037 BEO	12/16/2013	04/11/2011	GOLD1	1,733.30	1,842.71	0.00	-109.41	0.00		0.00
3128M8G73	26.4400	FEDERAL HOME LN MTG CORP POOL #G0-6222 4% 01-01-2041 BEO	12/16/2013	02/23/2011	GOLD1	26.44	25.93	0.00	0.51	0.00		0.00

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3620AJC31	GNMA POOL #730990 4.5% 01-15-2040 BEO		GNMA				
598.6400	12/16/2013	02/23/2011	598.64	0.00	-16.93	0.00	0.00
3620AR4A6	GNMA POOL #738017 4% 02-15-2041 BEO		GNMA				
313.7600	12/16/2013	08/08/2011	313.76	0.00	-14.76	0.00	0.00
36241K6P8	GNMA POOL #782678 4.5% 06-15-2039 BEO		GNMA				
52.4800	12/16/2013	12/09/2010	52.48	0.00	-2.16	0.00	0.00
36241LT97	GNMA POOL #783276 4.5% 03-15-2041 BEO		GNMA				
519.5400	12/16/2013	06/27/2011	519.54	0.00	-32.63	0.00	0.00
617451AF6	CMO MORGAN STANLEY CAP I INC 2005-IQ10 CML MTG PTRU CL A-4A 5.23 9-15-42 REG		CMO1				
43.6400	12/16/2013	05/10/2010	43.64	0.00	-1.77	0.00	0.00
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4% 12-01-2040 BEO		FNMA				
20241.8800	12/17/2013	12/07/2010	20,991.47	0.00	695.82	0.00	0.00
52109RBM2	CMO LB UBS COML MTG TR 2007 C7 VAR RT MTG PASS THRU CTF CL A-3 09-15-2045 REG		CMO1				
5.1600	12/17/2013	10/23/2012	5.16	0.00	-1.04	0.00	0.00
929766C27	CMO WACHOVIA BK COML MTG TR SER 2005-C17CL APB 5.037 DUE 03-15-2042 REG		CMO1				
2282.6800	12/17/2013	03/25/2009	2,282.68	0.00	251.22	0.00	0.00
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4 FLT RT DUE 10-15-2044 BEO		CMO1				
.0100	12/18/2013	01/06/2009	0.01	0.00	0.00	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
9297667G2	CMO WACHOVIA BK COML MTG TR SER 2005-C21CL A4		CMO1				
183.4300	12/19/2013 01/06/2009		183.43	0.00	20.54	0.00	0.00
037411AZ8	APACHE CORP 3.25 DUE 04-15-2022 REG						
5000.0000	12/20/2013 04/03/2012		4,959.30	0.00	-23.75	0.00	0.00
05573WAC9	BMW VEH OWNER TR .75999999046% DUE 08-25-2015		SPCL1				
1250.3800	12/26/2013 09/28/2012		1,250.38	0.00	-5.42	0.00	0.00
31381KR27	FNMA POOL #463205 4 6% 09-01-2019 BEO		FNMA				
16.7000	12/26/2013 07/27/2009		16.63	0.00	0.07	0.00	0.00
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4%		FNMA				
121.9000	12-01-2040 BEO 12/26/2013 12/07/2010		121.90	0.00	-0.32	0.00	0.00
3138AFV23	FEDERAL NATL MTG ASSN GTD MTG POOL #A12432 4.5%		FNMA				
707.7300	05-01-2041 BEO 12/26/2013 06/09/2011		707.73	0.00	-32.84	0.00	0.00
3138AKF79	FEDERAL NATL MTG ASSN GTD MTG POOL #A15589 4.5%		FNMA				
405.0500	07-01-2041 BEO 12/26/2013 08/08/2011		405.05	0.00	-24.05	0.00	0.00
31410KNG5	FNMA POOL #889691 6% 07-01-2038 BEO		FNMA				
554.7500	12/26/2013 11/19/2010		554.75	0.00	-50.79	0.00	0.00
31412QW54	FNMA POOL #932268 5.5% 11-01-2039 BEO		FNMA				
62.8100	12/26/2013 06/09/2010		62.81	0.00	-4.75	0.00	0.00

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				Stocks	Bonds, etc.					
31415LML8	211.8700	12/26/2013	FNMA POOL #983363 6% 08-01-2038 BEO	FNMA	211.87	229.22	0.00	-17.35	0.00	0.00
31415V3K9	15.2600	12/26/2013	FNMA POOL #991002 6% 10-01-2038 BEO	FNMA	15.26	16.53	0.00	-1.27	0.00	0.00
31416XAF7	19.1400	12/26/2013	FNMA POOL #AB1805 4% 11-01-2040 BEO	FNMA	19.14	18.79	0.00	0.35	0.00	0.00
31416XQY9	1111.3700	12/26/2013	FNMA POOL #AB2270 4% 02-01-2041 BEO	FNMA	1,111.37	1,146.10	0.00	-34.73	0.00	0.00
31418RY70	488.7100	12/26/2013	FNMA POOL #AD4333 5% 03-01-2040 BEO	FNMA	488.71	512.00	0.00	-23.29	0.00	0.00
31418VWV0	980.5600	12/26/2013	FNMA POOL #AD7859 5% 06-01-2040 BEO	FNMA	980.56	1,036.94	0.00	-56.38	0.00	0.00
31419AWN3	91.3200	12/26/2013	FNMA POOL #AE0652 6% DUE 12-01-2038 BEO	FNMA	91.32	99.52	0.00	-8.20	0.00	0.00
31419BCT0	122.7500	12/26/2013	FNMA POOL #AE0981 3.5% DUE 03-01-2041 BEO	FNMA	122.75	122.71	0.00	0.04	0.00	0.00
31419BXT7	42.8300	12/26/2013	FNMA POOL #AE1589 4.5% 10-01-2040 BEO	FNMA	42.83	43.66	0.00	-0.83	0.00	0.00

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
3138A2BM0	FEDERAL NATL MTG ASSN GTD MTG POOL #AH0943 4% 12-01-2040 BEO	FNMA	.0200	12/27/2013	12/07/2010	0.02	0.02	0.00	0.00	0.00	0.00
31416XAF7	FNMA POOL #AB1805 4% 11-01-2040 BEO	FNMA	.0100	12/27/2013	02/23/2011	0.01	0.01	0.00	0.00	0.00	0.00
Total Long Term Sales						1,085,747.63	1,051,234.33	0.00	34,513.30	0.00	0.00

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2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
17275R102	CISCO SYS INC	01/07/2013	02/13/2012	CSCO	10,017.50	0.00	133.87	0.00	0.00
500.0000				10,151.37					
984121103	XEROX CORP	01/07/2013	Various	XRX	30,899.00	0.00	-2,330.05	0.00	0.00
4000.0000				28,568.95					
548661107	LOWES COMPANIES INC	01/23/2013	10/04/2012	LOW	40,283.75	0.00	8,553.16	0.00	0.00
1300.0000				48,836.91					
87612E106	TARGET CORP	01/23/2013	07/12/2012	TGT	72,263.16	0.00	835.48	0.00	0.00
1200.0000				73,098.64					
17275R102	CISCO SYS INC	01/31/2013	Various	CSCO	35,196.95	0.00	6,081.72	0.00	0.00
2000.0000				41,278.67					
H89128104	TYCO INTERNATIONAL LTD(SWITZERLAND) COM USD0.80	01/31/2013	10/04/2012	TYC	17,252.88	0.00	901.63	0.00	0.00
600.0000				18,154.51					
83088M102	SKYWORKS SOLUTIONS INC	02/21/2013	10/04/2012	SWKS	16,457.91	0.00	-1,799.33	0.00	0.00
700.0000				14,658.58					
25490A309	DIRECTV COM COM	03/15/2013	06/27/2012	DTV	9,502.72	0.00	1,516.31	0.00	0.00
200.0000				11,019.03					
931422109	WALGREEN CO	03/22/2013	01/23/2013	WAG	15,783.88	0.00	2,659.70	0.00	0.00
400.0000				18,443.58					

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
931422109	WALGREEN CO	03/22/2013	01/23/2013	WAG	4,608.89	3,945.97	0.00	662.92	0.00	0.00
100.0000										
037411105	APACHE CORP	04/10/2013	05/01/2012	APA	37,979.39	49,082.20	0.00	-11,102.81	0.00	0.00
500.0000										
428236103	HEWLETT PACKARD CO COM	04/10/2013	11/30/2012	HPQ	11,162.79	6,416.60	0.00	4,746.19	0.00	0.00
500.0000										
609207105	MONDELEZ INTL INC COM	04/10/2013	Various	MDLZ	54,448.59	46,807.74	0.00	7,640.85	0.00	0.00
1800.0000										
92553P201	VIACOM INC	04/10/2013	06/13/2012	VIAB	6,512.21	4,697.25	0.00	1,814.96	0.00	0.00
100.0000										
067901108	BARRICK GOLD CORP	04/15/2013	03/22/2013	ABX	14,539.09	20,550.32	0.00	-6,011.23	0.00	0.00
700.0000										
149123101	CATERPILLAR INC	04/18/2013	03/15/2013	CAT	36,145.35	39,839.04	0.00	-3,693.69	0.00	0.00
450.0000										
92532F100	VERTEX PHARMACEUTICALS INC	04/26/2013	01/07/2013	VRTX	8,022.57	4,713.22	0.00	3,309.35	0.00	0.00
100.0000										
654106103	NIKE INC CLASS B	04/29/2013	10/04/2012	NKE	9,348.51	7,178.96	0.00	2,169.55	0.00	0.00
150.0000										

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
92532F100	VERTEX PHARMACEUTICALS INC		VRTX				
100.0000	04/29/2013	01/07/2013	7,942.63	0.00	3,229.41	0.00	0.00
P31076105	COPA HOLDINGS SA COM STK		CPA				
700.0000	04/29/2013	06/27/2012	86,790.01	0.00	29,079.77	0.00	0.00
002824100	ABBOTT LABORATORIES		ABT				
800.0000	04/30/2013	01/23/2013	29,523.65	0.00	3,238.21	0.00	0.00
071813109	BAXTER INTL INC		BAX				
600.0000	04/30/2013	05/01/2012	41,837.60	0.00	8,297.60	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM		ESRX				
300.0000	04/30/2013	10/04/2012	17,813.45	0.00	-1,888.21	0.00	0.00
59156R108	METLIFE INC		MET				
500.0000	04/30/2013	05/14/2012	19,409.11	0.00	2,187.61	0.00	0.00
92532F100	VERTEX PHARMACEUTICALS INC		VRTX				
100.0000	04/30/2013	01/07/2013	7,643.23	0.00	2,930.01	0.00	0.00
949746101	WELLS FARGO & CO NEW		WFC				
700.0000	04/30/2013	Various	26,526.25	0.00	2,531.95	0.00	0.00
G0408V102	AON PLC COM		AON				
200.0000	04/30/2013	10/04/2012	12,014.65	0.00	1,291.49	0.00	0.00

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G2554F113	COVIDIEN PLC USD0.20(POST CONSOLDTN)	04/30/2013	07/12/2012	COV	44,630.57	36,909.67	0.00	7,720.90	0.00	0.00
700.0000										
92532F100	VERTEX PHARMACEUTICALS INC	05/08/2013	01/07/2013	VRTX	7,568.59	4,713.22	0.00	2,855.37	0.00	0.00
100.0000										
20030N101	COMCAST CORP NEW CL A	05/28/2013	04/10/2013	CMCSA	12,541.07	12,478.38	0.00	62.69	0.00	0.00
300.0000										
25490A309	DIRECTV COM COM	05/28/2013	06/27/2012	DTV	31,944.59	23,756.80	0.00	8,187.79	0.00	0.00
500.0000										
268648102	EMC CORP MASS	05/28/2013	01/31/2013	EMC	2,385.43	2,479.31	0.00	-93.88	0.00	0.00
100.0000										
278642103	EBAY INC	05/28/2013	06/13/2012	EBAY	16,656.76	12,129.72	0.00	4,527.04	0.00	0.00
300.0000										
428236103	HEWLETT PACKARD CO COM	05/28/2013	11/30/2012	HPQ	19,663.17	10,266.56	0.00	9,396.61	0.00	0.00
800.0000										
459200101	INTL BUSINESS MACHINES CORP	05/28/2013	04/19/2013	IBM	6,237.34	5,763.47	0.00	473.87	0.00	0.00
30.0000										
594918104	MICROSOFT CORP COM	05/28/2013	07/12/2012	MSFT	6,960.87	5,765.62	0.00	1,195.25	0.00	0.00
200.0000										

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
278642103	EBAY INC			Stocks Bonds, etc EBAY					
600 0000	06/06/2013 Various			30,711.54	24,255.71	0.00	6,455.83	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP			IBM					
20.0000	06/11/2013 04/19/2013			4,074.48	3,842.32	0.00	232.16	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC			GS					
150 0000	06/25/2013 04/30/2013			23,111.98	21,889.25	0.00	1,222.73	0.00	0.00
654106103	NIKE INC CLASS B			NIKE					
120.0000	06/25/2013 10/04/2012			7,275.03	5,743.16	0.00	1,531.87	0.00	0.00
983134107	WYNN RESORTS LTD COM			WYNN					
150.0000	06/25/2013 Various			18,904.49	17,475.59	0.00	1,428.90	0.00	0.00
G27823106	DELPHI AUTOMOTIVE PLC			DLPH					
30.0000	06/25/2013 04/29/2013			1,470.77	1,352.39	0.00	118.38	0.00	0.00
00507V109	ACTIVISION BLIZZARD INC COM STK			ATVI					
200.0000	06/26/2013 05/28/2013			2,745.19	3,182.08	0.00	-436.89	0.00	0.00
056752108	BAIDU COM INC SPONSORED ADR SHS			BIDU					
50.0000	06/26/2013 06/11/2013			4,650.87	5,074.85	0.00	-423.98	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM			ESRX					
80 0000	06/26/2013 10/04/2012			4,925.64	5,253.78	0.00	-328.14	0.00	0.00

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
478160104	JOHNSON & JOHNSON	06/26/2013	10/17/2012	JNJ	3,523.68	0.00	808.69	0.00	0.00
50.0000				4,332.37					
55345K103	MRC GLOBAL INC COM	06/26/2013	11/09/2012	MRC	4,606.00	0.00	976.10	0.00	0.00
200.0000				5,582.10					
852061100	SPRINT CORP	06/26/2013	01/07/2013	S	17,773.50	0.00	2,956.13	0.00	0.00
3000.0000				20,729.63					
931422109	WALGREEN CO	06/26/2013	Various	WAG	31,179.15	0.00	7,055.56	0.00	0.00
850.0000				38,234.71					
G0408V102	AON PLC COM	06/26/2013	10/04/2012	AON	2,680.79	0.00	518.77	0.00	0.00
50.0000				3,199.56					
H89128104	TYCO INTERNATIONAL LTD(SWITZERLAND) COM USD0 80	06/26/2013	10/04/2012	TYC	11,501.92	0.00	1,333.69	0.00	0.00
400.0000				12,835.61					
G5785G107	MALLINCKRODT PLC COMMON STOCK	07/01/2013	07/12/2012	MNK	18.44	0.00	3.60	0.00	0.00
.5000				22.04					
253393102	DICK'S SPORTING GOODS INC	07/16/2013	Various	DKS	11,620.00	0.00	522.82	0.00	0.00
240.0000				12,142.82					
594918104	MICROSOFT CORP COM	07/16/2013	06/26/2013	MSFT	3,435.98	0.00	183.40	0.00	0.00
100.0000				3,619.38					

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83088M102	SKYWORKS SOLUTIONS INC			SWKS					
300 0000	07/16/2013 10/04/2012			6,725.58	7,053.39	0.00	-327.81	0.00	0.00
428236103	HEWLETT PACKARD CO COM			HPQ					
400 0000	07/23/2013 11/30/2012			10,331.46	5,133.28	0.00	5,198.18	0.00	0.00
478160104	JOHNSON & JOHNSON			JNJ					
190 0000	07/23/2013 10/17/2012			17,534.64	13,389.96	0.00	4,144.68	0.00	0.00
55345K103	MRC GLOBAL INC COM			MRC					
246 0000	07/24/2013 11/09/2012			6,767.71	5,665.38	0.00	1,102.33	0.00	0.00
55345K103	MRC GLOBAL INC COM			MRC					
74.0000	07/24/2013 11/09/2012			2,043.69	1,704.22	0.00	339.47	0.00	0.00
55345K103	MRC GLOBAL INC COM			MRC					
300.0000	07/30/2013 11/09/2012			8,100.72	6,909.00	0.00	1,191.72	0.00	0.00
151020104	CELGENE CORP			CELG					
50.0000	08/06/2013 Various			7,137.31	5,906.83	0.00	1,230.48	0.00	0.00
00507V109	ACTIVISION BLIZZARD INC COM STK			ATVI					
300.0000	08/12/2013 05/28/2013			5,137.35	4,773.12	0.00	364.23	0.00	0.00
149123101	CATERPILLAR INC			CAT					
150.0000	08/12/2013 06/26/2013			12,863.16	12,302.85	0.00	560.31	0.00	0.00

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580135101	MCDONALDS CORP	08/12/2013	Various	MCD	48,611.60	45,960.87	0.00	2,650.73	0.00	0.00
500.0000										
717081103	PFIZER INC	08/12/2013	06/26/2013	PFE	31,952.57	30,803.19	0.00	1,149.38	0.00	0.00
1100.0000										
151020104	CELGENE CORP	08/27/2013	04/30/2013	CELG	8,243.62	7,087.06	0.00	1,156.56	0.00	0.00
60.0000										
478160104	JOHNSON & JOHNSON	08/27/2013	10/17/2012	JNJ	21,657.20	17,618.38	0.00	4,038.82	0.00	0.00
250.0000										
654106103	NIKE INC CLASS B	08/27/2013	10/04/2012	NKE	6,329.16	4,785.97	0.00	1,543.19	0.00	0.00
100.0000										
002824100	ABBOTT LABORATORIES	09/09/2013	01/23/2013	ABT	3,357.48	3,285.68	0.00	71.80	0.00	0.00
100.0000										
151020104	CELGENE CORP	09/09/2013	04/30/2013	CELG	4,441.98	3,543.53	0.00	898.45	0.00	0.00
30.0000										
177376100	CITRIX SYS INC	09/09/2013	05/09/2013	CTXS	5,739.52	5,242.58	0.00	496.94	0.00	0.00
80.0000										
268648102	EMC CORP MASS	09/09/2013	01/31/2013	EMC	2,663.95	2,479.31	0.00	184.64	0.00	0.00
100.0000										

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38259P508	GOOGLE INC CL A	09/09/2013	Various	GOOG	17,730.02	17,898.25	0.00	-168.23	0.00	0.00
20 0000										
428236103	HEWLETT PACKARD CO COM	09/09/2013	11/30/2012	HPQ	6,677.61	3,849.96	0.00	2,827.65	0.00	0.00
300.0000										
654106103	NIKE INC CLASS B	09/09/2013	10/04/2012	NKE	3,262.55	2,392.98	0.00	869.57	0.00	0.00
50.0000										
742718109	PROCTER & GAMBLE CO	09/09/2013	04/10/2013	PG	9,358.42	9,500.95	0.00	-142.53	0.00	0.00
120.0000										
G27823106	DELPHI AUTOMOTIVE PLC	09/09/2013	04/29/2013	DLPH	3,974.19	3,155.57	0.00	818.62	0.00	0.00
70.0000										
30219G108	EXPRESS SCRIPTS HLDG CO COM	09/18/2013	Various	ESRX	25,365.11	23,726.30	0.00	1,638.81	0.00	0.00
400.0000										
151020104	CELGENE CORP	09/23/2013	04/30/2013	CELG	7,340.55	5,905.88	0.00	1,434.67	0.00	0.00
50.0000										
166764100	CHEVRONTXACO CORP COM	09/23/2013	04/10/2013	CVX	25,064.76	23,859.78	0.00	1,204.98	0.00	0.00
200.0000										
177376100	CITRIX SYS INC	09/23/2013	05/09/2013	CTXS	4,478.58	3,931.94	0.00	546.64	0.00	0.00
60.0000										

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30219G108	EXPRESS SCRIPTS HLDG CO COM	09/23/2013	11/28/2012	ESRX	21,649.66	0.00	4,499.92	0.00	0.00
420.0000									
30303M102	FACEBOOK INC CL A CL A	09/23/2013	08/27/2013	FB	4,059.26	0.00	667.83	0.00	0.00
100.0000									
31428X106	FEDEX CORP	09/23/2013	08/12/2013	FDX	11,950.91	0.00	664.73	0.00	0.00
110.0000									
654106103	NIKE INC CLASS B	09/23/2013	10/04/2012	NKE	18,186.69	0.00	7,928.54	0.00	0.00
380.0000									
655044105	NOBLE ENERGY INC COM	09/23/2013	06/26/2013	NBL	4,174.10	0.00	525.95	0.00	0.00
70.0000									
857477103	STATE STREET CORP	09/23/2013	06/26/2013	STT	3,265.57	0.00	64.41	0.00	0.00
50.0000									
90384S303	ULTA SALON COSMETICS & FRAGRANCE INC COMSTK	09/23/2013	Various	ULTA	10,705.61	0.00	3,360.91	0.00	0.00
120.0000									
002824100	ABBOTT LABORATORIES	10/15/2013	01/23/2013	ABT	29,571.12	0.00	805.87	0.00	0.00
900.0000									
00507V109	ACTIVISION BLIZZARD INC COM STK	10/15/2013	02/21/2013	ATVI	9,321.33	0.00	2,180.28	0.00	0.00
650.0000									

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
056752108	BAIDU COM INC SPONSORED ADR SHS		BIDU				
30.0000	10/15/2013	06/11/2013	4,562.23	0.00	1,517.32	0.00	0.00
071813109	BAXTER INTL INC		BAX				
180.0000	10/15/2013	08/12/2013	11,970.08	0.00	-1,288.83	0.00	0.00
151020104	CELGENE CORP		CELG				
30.0000	10/15/2013	04/30/2013	4,673.73	0.00	1,130.20	0.00	0.00
177376100	CITRIX SYS INC		CTXS				
150.0000	10/15/2013	05/09/2013	8,646.73	0.00	-1,183.12	0.00	0.00
285512109	ELECTRONIC ARTS		EA				
250.0000	10/15/2013	Various	6,061.32	0.00	-200.99	0.00	0.00
30303M102	FACEBOOK INC CL A CL A		FB				
50.0000	10/15/2013	06/26/2013	2,489.27	0.00	1,278.27	0.00	0.00
747525103	QUALCOMM INC		QCOM				
100.0000	10/15/2013	Various	6,834.46	0.00	255.21	0.00	0.00
177376100	CITRIX SYS INC		CTXS				
150.0000	10/18/2013	Various	8,537.58	0.00	-1,268.90	0.00	0.00
00507V109	ACTIVISION BLIZZARD INC COM STK		ATVI				
800.0000	10/28/2013	02/21/2013	13,816.55	0.00	2,344.15	0.00	0.00

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
04033A100	ARIAD PHARMACEUTICALS INC COM	10/28/2013	04/10/2013	ARIA	4,068.92	18,074.70	0.00	-14,005.78	0.00	0.00
1000.0000										
056752108	BAIDU COM INC SPONSORED ADR SHS	10/28/2013	06/11/2013	BIDU	7,011.51	4,567.36	0.00	2,444.15	0.00	0.00
45.0000										
071813109	BAXTER INTL INC	10/28/2013	08/12/2013	BAX	8,042.11	8,839.27	0.00	-797.16	0.00	0.00
120.0000										
084670702	BERKSHIRE HATHAWAY INC-CL B	10/28/2013	06/26/2013	BRK/B	7,019.15	6,779.85	0.00	239.30	0.00	0.00
60.0000										
526057104	LENNAR CORP	10/28/2013	07/16/2013	LEN	7,306.47	7,118.42	0.00	188.05	0.00	0.00
200.0000										
548661107	LOWES COMPANIES INC	10/28/2013	Various	LOW	7,534.38	6,777.55	0.00	756.83	0.00	0.00
150.0000										
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	10/28/2013	06/26/2013	MS	5,806.03	4,994.72	0.00	811.31	0.00	0.00
200.0000										
742718109	PROCTER & GAMBLE CO	10/28/2013	04/10/2013	PG	4,069.42	3,958.73	0.00	110.69	0.00	0.00
50.0000										
747525103	QUALCOMM INC	10/28/2013	06/13/2013	QCOM	6,153.02	5,512.36	0.00	640.66	0.00	0.00
90.0000										

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
949746101	WELLS FARGO & CO NEW		WFC				
200.0000	10/28/2013	06/26/2013	8,570.77	0.00	338.91	0.00	0.00
00507V109	ACTIVISION BLIZZARD INC COM STK		ATVI				
550.0000	10/30/2013	02/21/2013	9,131.49	0.00	1,244.22	0.00	0.00
526057104	LENNAR CORP		LEN				
450.0000	11/12/2013	Various	14,731.62	0.00	-1,220.23	0.00	0.00
278642103	EBAY INC		EBAY				
600.0000	11/15/2013	Various	31,567.50	0.00	-943.28	0.00	0.00
285512109	ELECTRONIC ARTS		EA				
650.0000	11/15/2013	05/28/2013	16,145.52	0.00	848.23	0.00	0.00
30303M102	FACEBOOK INC CL A CL A		FB				
200.0000	11/15/2013	06/26/2013	9,819.68	0.00	4,975.68	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP		IBM				
40.0000	11/15/2013	09/23/2013	7,271.11	0.00	-377.75	0.00	0.00
46625H100	J P MORGAN CHASE & CO		JPM				
100.0000	11/15/2013	06/26/2013	5,487.73	0.00	239.09	0.00	0.00
548661107	LOWES COMPANIES INC		LOW				
120.0000	11/15/2013	Various	6,216.62	0.00	1,058.89	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	MS	4,563.90	3,746.04	0.00	817.86	0.00	0.00
150 0000	ISIN #6174464486 11/15/2013 06/26/2013							
886423102	TIDEWATER INC	TDW						
200 0000	11/15/2013 Various		12,381.02	10,283.77	0.00	2,097.26	0.00	0.00
949746101	WELLS FARGO & CO NEW	WFC						
150 0000	11/15/2013 06/26/2013		6,522.36	6,173.90	0.00	348.46	0.00	0.00
09247X101	BLACKROCK INC CL A	BLK						
50.0000	11/25/2013 04/30/2013		15,184.68	13,320.34	0.00	1,864.34	0.00	0.00
25470F104	DISCOVERY COMMUNICATIONS INC NEW COM SERA STK	DISCA						
50.0000	11/25/2013 04/10/2013		4,281.99	3,995.98	0.00	286.01	0.00	0.00
278642103	EBAY INC	EBAY						
250.0000	11/25/2013 Various		12,299.61	13,221.66	0.00	-922.05	0.00	0.00
285512109	ELECTRONIC ARTS	EA						
150.0000	11/25/2013 Various		3,412.16	3,511.82	0.00	-99.66	0.00	0.00
548661107	LOWES COMPANIES INC	LOW						
110.0000	11/25/2013 06/25/2013		5,236.56	4,368.36	0.00	868.20	0.00	0.00
886423102	TIDEWATER INC	TDW						
150.0000	11/25/2013 04/04/2013		8,622.07	7,384.41	0.00	1,237.66	0.00	0.00

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
90384S303	ULTA SALON COSMETICS & FRAGRANCE INC			ULTA	3,479.09	0.00	1,662.90	0.00	0.00
40.0000	COMSTK 11/25/2013 Various			5,141.99					
931422109	WALGREEN CO			WAG	4,736.82	0.00	1,288.17	0.00	0.00
100.0000	11/25/2013 Various			6,024.98					
03674X106	ANTERO RES CORP COM			AR	14,149.80	0.00	107.60	0.00	0.00
250.0000	12/10/2013 10/28/2013			14,257.40					
25490A309	DIRECTV COM COM			DTV	3,390.94	0.00	139.64	0.00	0.00
50.0000	12/10/2013 07/16/2013			3,251.30					
268648102	EMC CORP MASS			EMC	5,702.41	0.00	-287.72	0.00	0.00
230.0000	12/10/2013 01/31/2013			5,414.69					
285512109	ELECTRONIC ARTS			EA	12,742.29	0.00	-630.62	0.00	0.00
550.0000	12/10/2013 06/11/2013			12,111.67					
31428X106	FEDEX CORP			FDX	2,172.89	0.00	613.25	0.00	0.00
20.0000	12/10/2013 08/12/2013			2,786.14					
478160104	JOHNSON & JOHNSON			JNJ	6,483.52	0.00	108.50	0.00	0.00
70.0000	12/10/2013 10/28/2013			6,592.02					
548661107	LOWES COMPANIES INC			LOW	4,299.66	0.00	935.98	0.00	0.00
110.0000	12/10/2013 Various			5,235.64					

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	MS	150.0000	12/10/2013	06/26/2013	4,612.42	3,746.04	0.00	866.38	0.00	0.00
032511107	ANADARKO PETROLEUM CORP	APC	110.0000	12/19/2013	Various	8,688.08	10,039.83	0.00	-1,351.76	0.00	0.00
278642103	EBAY INC	EBAY	180.0000	12/19/2013	08/27/2013	9,494.27	9,232.54	0.00	261.73	0.00	0.00
084670702	BERKSHIRE HATHAWAY INC-CL B	BRK/B	100.0000	12/23/2013	06/26/2013	11,685.06	11,299.75	0.00	385.31	0.00	0.00
20030N101	COMCAST CORP NEW CL A	CMCSA	21.0000	12/23/2013	07/16/2013	1,070.06	921.56	0.00	148.50	0.00	0.00
20030N101	COMCAST CORP NEW CL A	CMCSA	59.0000	12/23/2013	07/16/2013	3,007.02	2,589.15	0.00	417.87	0.00	0.00
25243Q205	ADR DIAGEO PLC SPONSORED ADR NEW	DEO	30.0000	12/23/2013	09/04/2013	3,860.76	3,711.12	0.00	149.64	0.00	0.00
253393102	DICK'S SPORTING GOODS INC	DKS	80.0000	12/23/2013	09/04/2013	4,586.32	3,830.72	0.00	755.60	0.00	0.00
285512109	ELECTRONIC ARTS	EA	290.0000	12/23/2013	Various	6,940.99	6,605.32	0.00	335.67	0.00	0.00

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
30303M102	FACEBOOK INC CL A CL A	12/23/2013	06/26/2013	FB	4,117.40	0.00	5,758.50	0.00	0.00
170.0000									
37045V100	GEN MTRS CO COM	12/23/2013	Various	GM	3,151.61	0.00	151.27	0.00	0.00
80.0000									
406216101	HALLIBURTON CO	12/23/2013	Various	HAL	18,081.03	0.00	2,118.02	0.00	0.00
400.0000									
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	12/23/2013	06/26/2013	MS	3,246.57	0.00	799.52	0.00	0.00
130.0000									
655044105	NOBLE ENERGY INC COM	12/23/2013	06/26/2013	NBL	5,366.70	0.00	763.08	0.00	0.00
90.0000									
886547108	TIFFANY & CO NEW	12/23/2013	06/25/2013	TIF	3,612.26	0.00	902.54	0.00	0.00
50.0000									
N63218106	NIELSEN HOLDINGS B.V EURO 07	12/23/2013	10/15/2013	NLSN	3,187.00	0.00	741.26	0.00	0.00
86.0000									
N63218106	NIELSEN HOLDINGS B V EURO 07	12/23/2013	10/15/2013	NLSN	148.23	0.00	34.36	0.00	0.00
4.0000									
Total Short Term Sales					1,759,234.34	0.00	204,002.79	0.00	0.00

Long Term Sales

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
46625H100	J P MORGAN CHASE & CO	01/31/2013	Various	JPM	25,467.49	0.00	-1,930.53	0.00	0.00
500.0000									
35671D857	FREEMPORT MCMORAN C & G CL B COM STK	03/12/2013	07/12/2010	FCX	31,403.75	0.00	2,403.19	0.00	0.00
1000.0000									
872540109	TJX COS INC NEW	03/15/2013	Various	TJX	6,262.73	0.00	61,091.16	0.00	0.00
1500.0000									
03073E105	AMERISOURCE BERGEN CORP	04/04/2013	11/13/2001	ABC	8,329.50	0.00	23,000.29	0.00	0.00
600.0000									
03073E105	AMERISOURCE BERGEN CORP	04/10/2013	11/13/2001	ABC	12,494.26	0.00	35,477.36	0.00	0.00
900.0000									
544147101	LORILLARD INC COM STK	04/10/2013	11/21/2003	LO	17,257.57	0.00	76,245.83	0.00	0.00
2250.0000									
03073E105	AMERISOURCE BERGEN CORP	04/29/2013	11/13/2001	ABC	6,941.25	0.00	19,926.40	0.00	0.00
500.0000									
125509109	CIGNA CORP	04/30/2013	12/05/2011	CI	52,887.48	0.00	26,338.94	0.00	0.00
1200.0000									
59156R108	METLIFE INC	04/30/2013	Various	MET	54,460.87	0.00	23,175.59	0.00	0.00
2000.0000									

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
459200101	INTL BUSINESS MACHINES CORP	06/11/2013	02/03/2010	IBM	3,760.38	0.00	2,351.34	0.00	0.00
30.0000									
92553P201	VIACOM INC	06/25/2013	06/06/2012	VIAB	4,683.44	0.00	1,913.56	0.00	0.00
100.0000									
032511107	ANADARKO PETROLEUM CORP	06/26/2013	Various	APC	39,123.60	0.00	33,959.82	0.00	0.00
850.0000									
150870103	CELANESE CORP DEL COM SER A STK	06/26/2013	08/29/2006	CE	1,854.04	0.00	2,596.73	0.00	0.00
100.0000									
20825C104	CONOCOPHILLIPS	06/26/2013	Various	COP	7,093.00	0.00	12,713.28	0.00	0.00
330.0000									
25179M103	DEVON ENERGY CORP NEW	06/26/2013	Various	DVN	12,288.62	0.00	30,089.35	0.00	0.00
800.0000									
459200101	INTL BUSINESS MACHINES CORP	06/26/2013	02/03/2010	IBM	17,548.44	0.00	9,698.15	0.00	0.00
140.0000									
57636Q104	MASTERCARD INC CL A	06/26/2013	06/01/2012	MA	39,353.88	0.00	17,649.39	0.00	0.00
100.0000									
609207105	MONDELEZ INTL INC COM	06/26/2013	06/13/2012	MDLZ	7,498.94	0.00	1,119.03	0.00	0.00
300.0000									

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Cusip	Description	Stock or Other Symbol			Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.						
907818108	UNION PAC CORP COM		UNP						
500.0000	06/26/2013	Various	77,451.85		13,644.57	0.00	63,807.28	0.00	0.00
92826C839	VISA INC CLASS A SHARES		V						
800.0000	06/26/2013	Various	145,753.30		97,821.29	0.00	47,932.01	0.00	0.00
G491BT108	INVESCO LTD COM STK USD0.20		IVZ						
1000.0000	06/26/2013	03/11/2008	31,819.44		22,454.70	0.00	9,364.74	0.00	0.00
G491BT108	INVESCO LTD COM STK USD0.20		IVZ						
300.0000	06/26/2013	10/11/2011	9,545.83		5,108.91	0.00	4,436.92	0.00	0.00
H0023R105	ACE LTD COM STK		ACE						
1000.0000	06/26/2013	Various	88,130.46		33,863.14	0.00	54,267.32	0.00	0.00
N63218106	NIELSEN HOLDINGS B.V EURO0.07		NLSN						
800.0000	06/26/2013	06/14/2012	26,790.97		22,031.28	0.00	4,759.69	0.00	0.00
609207105	MONDELEZ INTL INC COM		MDLZ						
700.0000	07/15/2013	06/13/2012	21,078.17		17,497.51	0.00	3,580.66	0.00	0.00
037411105	APACHE CORP		APA						
400.0000	07/16/2013	05/01/2012	32,640.83		39,265.76	0.00	-6,624.93	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP		IBM						
80.0000	07/16/2013	02/03/2010	15,514.03		10,027.68	0.00	5,486.35	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
G5785G107	MALLINCKRODT PLC COMMON STOCK						
87.0000	07/16/2013	07/12/2012	MNK 3,604.08	0.00	395.66	0.00	0.00
N63218106	NIELSEN HOLDINGS B.V EURO 07						
1200.0000	07/16/2013	06/14/2012	NLSN 40,629.25	0.00	7,582.33	0.00	0.00
037411105	APACHE CORP						
100.0000	07/17/2013	05/01/2012	APA 8,218.48	0.00	-1,597.96	0.00	0.00
594918104	MICROSOFT CORP COM						
1000.0000	07/23/2013	07/12/2012	MSFT 31,765.54	0.00	2,937.44	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP						
50.0000	08/06/2013	02/03/2010	IBM 9,556.49	0.00	3,289.19	0.00	0.00
150870103	CELANESE CORP DEL COM SER A STK						
250.0000	08/12/2013	08/29/2006	CE 12,137.26	0.00	7,502.16	0.00	0.00
459200101	INTL BUSINESS MACHINES CORP						
30.0000	08/12/2013	02/03/2010	IBM 5,666.77	0.00	1,906.39	0.00	0.00
594918104	MICROSOFT CORP COM						
600.0000	08/12/2013	07/12/2012	MSFT 19,703.35	0.00	2,406.49	0.00	0.00
92553P201	VIACOM INC						
50.0000	09/04/2013	06/06/2012	VIAB 4,020.46	0.00	1,678.74	0.00	0.00

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THE MARY H CAIN FOUNDATION
8 GREENWAY PLZ STE 606
HOUSTON, TX 77046-0892

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
125509109	CIGNA CORP	09/09/2013	12/05/2011	CI	2,203.65	0.00	1,849.78	0.00	0.00
50.0000									
907818108	UNION PAC CORP COM	09/09/2013	06/28/2001	UNP	1,345.92	0.00	6,444.02	0.00	0.00
50.0000									
G4918T108	INVESCO LTD COM STK USD0 20	09/09/2013	10/11/2011	IVZ	2,554.46	0.00	2,058.19	0.00	0.00
150.0000									
57636Q104	MASTERCARD INC CL A	09/18/2013	06/01/2012	MA	3,935.39	0.00	2,841.44	0.00	0.00
10.0000									
125509109	CIGNA CORP	09/19/2013	12/05/2011	CI	4,407.29	0.00	3,368.64	0.00	0.00
100.0000									
594918104	MICROSOFT CORP COM	09/23/2013	07/12/2012	MSFT	10,089.84	0.00	1,352.34	0.00	0.00
350.0000									
718172109	PHILIP MORRIS INTERNATIONAL	10/15/2013	10/04/2012	PM	16,845.95	0.00	-1,400.55	0.00	0.00
180.0000									
071813109	BAXTER INTL INC	10/28/2013	05/01/2012	BAX	6,708.00	0.00	1,334.12	0.00	0.00
120.0000									
125509109	CIGNA CORP	10/28/2013	12/05/2011	CI	8,814.58	0.00	6,032.14	0.00	0.00
200.0000									

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
718172109	PHILIP MORRIS INTERNATIONAL	PM	50.0000	09/05/2013	03/28/2008	4,215.43	2,598.45	0.00	1,616.98	0.00	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS	75.0000	09/05/2013	Various	6,521.14	5,780.37	0.00	740.77	0.00	0.00
067901108	BARRICK GOLD CORP	ABX	400.0000	11/05/2013	12/03/2008	7,263.87	10,932.48	0.00	-3,668.61	0.00	0.00
191216100	COCA COLA CO	KO	100.0000	11/05/2013	06/20/2012	3,924.93	3,769.38	0.00	155.55	0.00	0.00
244199105	DEERE & CO	DE	50.0000	11/05/2013	03/04/2008	4,080.81	4,290.00	0.00	-209.19	0.00	0.00
Total Long Term Sales							112,362.76	0.00	9,605.22	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
20825C104	CONOCOPHILLIPS	COP	4,438.62	1,240.38	0.00	3,198.24	0.00	0.00
60.0000	10/28/2013 07/11/2000							
57636Q104	MASTERCARD INC CL A	MA	7,291.81	3,935.39	0.00	3,356.42	0.00	0.00
10.0000	10/28/2013 06/01/2012							
907818108	UNION PAC CORP COM	UNP	7,619.62	985.87	0.00	6,633.75	0.00	0.00
50.0000	10/28/2013 09/13/2000							
G4918T108	INVESCO LTD COM STK USD0 20	IVZ	4,915.92	2,554.45	0.00	2,361.47	0.00	0.00
150.0000	10/28/2013 10/11/2011							
071813109	BAXTER INTL INC	BAX	13,038.67	11,180.00	0.00	1,858.67	0.00	0.00
200.0000	11/12/2013 05/01/2012							
907818108	UNION PAC CORP COM	UNP	15,890.16	1,971.75	0.00	13,918.41	0.00	0.00
100.0000	11/15/2013 09/13/2000							
G0408V102	AON PLC COM	AON	4,037.79	2,680.79	0.00	1,357.00	0.00	0.00
50.0000	11/15/2013 10/04/2012							
G4918T108	INVESCO LTD COM STK USD0.20	IVZ	10,137.36	5,108.91	0.00	5,028.45	0.00	0.00
300.0000	11/15/2013 10/11/2011							
25490A309	DIRECTV COM COM	DTV	1,356.38	950.27	0.00	406.11	0.00	0.00
20.0000	12/10/2013 06/27/2012							

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks Bonds, etc.	Cost or Other Basis				
428236103	HEWLETT PACKARD CO COM	12/10/2013	11/30/2012	HPQ	1,283.32	0.00	1,413.73	0.00	0.00
100.0000									
478160104	JOHNSON & JOHNSON	12/10/2013	Various	JNJ	1,376.38	0.00	507.06	0.00	0.00
20.0000									
949746101	WELLS FARGO & CO NEW	12/10/2013	06/01/2012	WFC	3,052.15	0.00	1,356.59	0.00	0.00
100.0000									
032511107	ANADARKO PETROLEUM CORP	12/19/2013	06/29/2006	APC	16,031.71	0.00	11,612.17	0.00	0.00
350.0000									
20825C104	CONOCOPHILLIPS	12/19/2013	Various	COP	3,071.67	0.00	7,259.00	0.00	0.00
150.0000									
037833100	APPLE COMPUTER INC	12/23/2013	10/04/2012	AAPL	13,400.66	0.00	-2,068.62	0.00	0.00
20.0000									
406216101	HALLIBURTON CO	12/23/2013	08/01/2012	HAL	667.60	0.00	342.35	0.00	0.00
20.0000									
713448108	PEPSICO INC	12/23/2013	06/13/2012	PEP	2,682.94	0.00	507.19	0.00	0.00
39.0000									
713448108	PEPSICO INC	12/23/2013	06/13/2012	PEP	4,196.39	0.00	794.36	0.00	0.00
61.0000									

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
83088M102	SKYWORKS SOLUTIONS INC	120.0000	12/23/2013	Various	SWKS	3,357.95	2,805.59	0.00	552.36	0.00	0.00
907818108	UNION PAC CORP COM	100.0000	12/23/2013	09/13/2000	UNP	16,480.31	1,971.75	0.00	14,508.56	0.00	0.00
92553P201	VIACOM INC	50.0000	12/23/2013	06/06/2012	VIAB	4,267.20	2,341.72	0.00	1,925.48	0.00	0.00
G491BT108	INVESCO LTD COM STK USD0.20	170.0000	12/23/2013	Various	IVZ	6,154.66	2,846.77	0.00	3,307.89	0.00	0.00
Total Long Term Sales						1,557,812.97	862,866.86	0.00	694,946.12	0.00	0.00

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THE NORTHERN TRUST COMPANY
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2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip			Description	Stock or Other Symbol				Federal Income Tax Withheld			State Tax Withheld	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld				
Short Term Sales												
98978V103	ZOETIS INC COM USD0.01 CL 'A'		ZTS									
5100	07/03/2013	06/11/2013	15.83	16.15	0.00	-0.32	0.00	0.00				
191216100	COCA COLA CO		KO									
50.0000	08/27/2013	08/14/2013	1,901.47	1,979.50	0.00	-78.03	0.00	0.00				
191216100	COCA COLA CO		KO									
50.0000	09/05/2013	08/14/2013	1,914.97	1,979.50	0.00	-64.53	0.00	0.00				
Total Short Term Sales			3,832.27	3,975.15	0.00	-142.88	0.00	0.00				
Long Term Sales												
25179M103	DEVON ENERGY CORP NEW		DVN									
300.0000	01/04/2013	Various	16,278.47	8,517.99	0.00	7,760.48	0.00	0.00				
037411105	APACHE CORP		APA									
50.0000	02/11/2013	08/15/2007	4,184.40	3,954.80	0.00	229.60	0.00	0.00				
231021106	CUMMINS ENGINE INC		CMI									
100.0000	02/11/2013	03/23/2011	11,913.73	10,202.50	0.00	1,711.23	0.00	0.00				
037411105	APACHE CORP		APA									
100.0000	03/20/2013	Various	7,508.62	7,595.70	0.00	-87.08	0.00	0.00				
067901108	BARRICK GOLD CORP		ABX									
300.0000	05/22/2013	Various	5,930.20	9,164.72	0.00	-3,234.52	0.00	0.00				

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Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
149123101	CATERPILLAR INC	50.0000	05/22/2013	Various	CAT	4,421.42	3,836.35	0.00	585.07	0.00	0.00
244199105	DEERE & CO	50.0000	05/22/2013	03/04/2008	DE	4,336.92	4,290.00	0.00	46.92	0.00	0.00
244199105	DEERE & CO	25.0000	05/22/2013	03/23/2011	DE	2,168.46	2,275.00	0.00	-106.54	0.00	0.00
291011104	EMERSON ELECTRIC CO	50.0000	07/08/2013	Various	EMR	2,832.94	1,683.12	0.00	1,149.84	0.00	0.00
85771P102	STATOIL ASA SPONSORED ADR	200.0000	07/08/2013	09/02/2011	STO	4,131.93	4,702.12	0.00	-570.19	0.00	0.00
85771P102	STATOIL ASA SPONSORED ADR	500.0000	08/14/2013	09/02/2011	STO	10,864.81	11,755.30	0.00	-890.49	0.00	0.00
149123101	CATERPILLAR INC	50.0000	08/27/2013	Various	CAT	4,143.44	3,824.61	0.00	318.82	0.00	0.00
149123101	CATERPILLAR INC	150.0000	09/05/2013	Various	CAT	12,443.78	10,273.37	0.00	2,170.41	0.00	0.00
580135101	MCDONALDS CORP	50.0000	09/05/2013	07/21/2009	MCD	4,802.67	2,916.50	0.00	1,886.17	0.00	0.00

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