



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>Edward E. Stone Foundation</b>		A Employer identification number <b>20-8147488</b>
Number and street (or P O box number if mail is not delivered to street address) <b>c/o HHG &amp; Co., PO Box 4004</b>	Room/suite	B Telephone number <b>(203) 656-5500</b>
City or town, state or province, country, and ZIP or foreign postal code <b>Darien, CT 06820</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 5,988,067.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		112,851.	104,209.		Statement 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		116,564.			
b Gross sales price for all assets on line 6a <b>2,188,152.</b>					
7 Capital gain net income (from Part IV, line 2)			116,564.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<2,500.>	<2,500.>		Statement 2
12 Total Add lines 1 through 11		226,915.	218,273.		
13 Compensation of officers, directors, trustees, etc.		42,600.	21,300.		21,300.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 3		4,675.	2,337.		2,338.
c Other professional fees Stmt 4		63,738.	62,238.		1,500.
17 Interest					
18 Taxes Stmt 5		15,311.	3,416.		1,967.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		126,324.	89,291.		27,105.
25 Contributions, gifts, grants paid		115,000.			115,000.
26 Total expenses and disbursements Add lines 24 and 25		241,324.	89,291.		142,105.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<14,409.>			
b Net investment income (if negative, enter -0-)			128,982.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,420,957.	1,780,642.	1,780,642.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	24,627.		
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 7	3,538,533.	3,473,510.	4,207,425.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)	4,984,117.	5,254,152.	5,988,067.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>			
	<b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted	4,984,117.	5,254,152.	
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/>			
	<b>and complete lines 27 through 31</b>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 <b>Total net assets or fund balances</b>	4,984,117.	5,254,152.		
31 <b>Total liabilities and net assets/fund balances</b>	4,984,117.	5,254,152.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,984,117.
2 Enter amount from Part I, line 27a	2	<14,409.>
3 Other increases not included in line 2 (itemize) ▶ See Statement 6	3	284,444.
4 Add lines 1, 2, and 3	4	5,254,152.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,254,152.

Form 990-PF (2013)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b> See Attached Statements			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 2,188,152.		2,071,588.	116,564.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			116,564.

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	116,564.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	26,332.	5,473,294.	.004811
2011	186,494.	5,588,020.	.033374
2010	137,978.	5,358,071.	.025751
2009	226,947.	4,857,269.	.046723
2008	120,771.	5,817,814.	.020759

<b>2</b> Total of line 1, column (d)	<b>2</b>	.131418
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.026284
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	5,694,804.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	149,682.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	1,290.
<b>7</b> Add lines 5 and 6	<b>7</b>	150,972.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	142,105.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,580.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,580.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,580.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	4,960.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,960.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,380.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 2,380. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2013)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► HHG Foundation Services Telephone no. ► (203) 656-5500			
Located at ► 23 Old Kings Highway South, Darien, CT		ZIP+4 ► 06820		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception - Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► , , ,		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Edward E. Stone c/o HHG & Co - 23 Old Kings Highway S Darien , CT 06820	Chairman/Trustee 17.00	42,600.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,241,761.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	1,539,766.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	5,781,527.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	5,781,527.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	86,723.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	5,694,804.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	284,740.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	284,740.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	2,580.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	2,580.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	282,160.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	282,160.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	282,160.

**Part XII****Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	142,105.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	142,105.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	142,105.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				282,160.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013.				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 142,105.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				142,105.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				140,055.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
Fairfield County Day School 2970 Bronson Road Fairfield, CT 06824	None	School	Educational Enrichment	100,000.
Positive Directions 420 Post Road West Westport, CT 06880	None	509(a)	Substance Recovery Program	10,000.
Education Success Network 862 Haight St., #4 San Francisco, CA 94117	None	509(a)	Educational Enrichment	5,000.
<b>Total</b>				<b>115,000.</b>
<b>b Approved for future payment</b>				
None				
<b>Total</b>				<b>0.</b>





<b>Part XVII</b>	<b>Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations</b>
------------------	--

		Yes	No
<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	<b>1a(1)</b>		<b>X</b>
(2) Other assets	<b>1a(2)</b>		<b>X</b>
<b>b</b> Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	<b>1b(1)</b>		<b>X</b>
(2) Purchases of assets from a noncharitable exempt organization	<b>1b(2)</b>		<b>X</b>
(3) Rental of facilities, equipment, or other assets	<b>1b(3)</b>		<b>X</b>
(4) Reimbursement arrangements	<b>1b(4)</b>		<b>X</b>
(5) Loans or loan guarantees	<b>1b(5)</b>		<b>X</b>
(6) Performance of services or membership or fundraising solicitations	<b>1b(6)</b>		<b>X</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees	<b>1c</b>		<b>X</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I prepared this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee 	Date 5.12.14	Title Chairman/Trustee e/Treasurer		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Thomas W. Hynes		5.12.14		P00019525
	Firm's name ▶ HHG & Company, LLC			Firm's EIN ▶ 06-1414579	
	Firm's address ▶ P.O. Box 4004 Darien, CT 06820			Phone no. 203-656-5500	

Form **990-PF** (2013)

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 20 3M COMPANY		P	11/03/08	11/25/13
b 30 3M COMPANY		P	11/03/08	11/19/13
c 20 3M COMPANY		P	11/03/08	10/22/13
d 20 ABBOTT LAB		P	03/23/10	12/24/13
e 140 ABBOTT LAB		P	02/03/10	12/24/13
f 60 ABBOTT LAB		P	11/03/08	12/24/13
g 20 ABBOTT LAB		P	11/03/08	12/03/13
h 50 ABBOTT LAB		P	03/22/13	10/22/13
i 50 ABBOTT LAB		P	01/22/13	10/22/13
j 220 ABBVIE INC		P	03/23/10	01/22/13
k 140 ABBVIE INC		P	02/03/10	01/22/13
l 110 ABBVIE INC		P	07/28/09	01/22/13
m 80 ABBVIE INC		P	11/03/08	01/22/13
n 40 ADOBE SYS		P	09/24/10	11/05/13
o 80 ADOBE SYS		P	03/25/09	11/05/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,616.		1,279.	1,337.
b 3,896.		1,918.	1,978.
c 2,459.		1,279.	1,180.
d 764.		519.	245.
e 5,351.		3,659.	1,692.
f 2,293.		1,613.	680.
g 747.		538.	209.
h 1,834.		1,679.	155.
i 1,834.		1,634.	200.
j 8,069.		6,192.	1,877.
k 5,135.		3,968.	1,167.
l 4,034.		2,583.	1,451.
m 2,934.		2,333.	601.
n 2,195.		1,063.	1,132.
o 4,391.		1,772.	2,619.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,337.
b			1,978.
c			1,180.
d			245.
e			1,692.
f			680.
g			209.
h			155.
i			200.
j			1,877.
k			1,167.
l			1,451.
m			601.
n			1,132.
o			2,619.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 110 ADOBE SYS		P	12/26/08	11/05/13
b 70 ADOBE SYS		P	10/23/08	11/05/13
c 100 ADOBE SYS		P	09/24/10	09/24/13
d 100 ADOBE SYS		P	09/24/10	06/25/13
e 100 ADOBE SYS		P	09/24/10	03/22/13
f 70 ADOBE SYS		P	09/24/10	01/22/13
g 80 ADOBE SYS		P	11/03/08	01/22/13
h 100 AMPHENOL C		P	12/26/08	06/04/13
i 20 C H ROBINS		P	03/16/10	12/03/13
j 20 COCA COLA				12/03/13
k 20 COCA COLA		P	07/18/13	12/03/13
l 60 EMERSON EL		P	09/24/13	12/03/13
m 100 EMERSON EL		P	12/26/08	09/06/13
n 200 LABORATORY		P	09/02/11	07/18/13
o 50 LABORATORY		P	01/22/13	03/22/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,037.		2,324.	3,713.
b 3,842.		1,776.	2,066.
c 5,132.		2,656.	2,476.
d 4,343.		2,656.	1,687.
e 4,211.		2,656.	1,555.
f 2,631.		1,860.	771.
g 3,007.		2,163.	844.
h 7,904.		2,338.	5,566.
i 1,154.		1,091.	63.
j		<26.>	26.
k 796.		821.	<25.>
l 4,000.		3,905.	95.
m 6,128.		3,424.	2,704.
n 19,625.		16,368.	3,257.
o 4,387.		4,443.	<56.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,713.
b			2,066.
c			2,476.
d			1,687.
e			1,555.
f			771.
g			844.
h			5,566.
i			63.
j			26.
k			<25.>
l			95.
m			2,704.
n			3,257.
o			<56.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	26 MICROSOFT	P	07/28/09	06/04/13
b	274 MICROSOFT	P	11/03/08	06/04/13
c	40 NIKE INC C	P	04/13/11	10/22/13
d	30 OMNICOM GR	P	03/22/13	12/03/13
e	50 OMNICOM GR	P	11/02/11	12/03/13
f	40 ORACLE COR	P	06/26/12	12/03/13
g	50 ORACLE COR	P	11/29/12	09/06/13
h	50 ORACLE COR	P	06/26/12	09/06/13
i	20 PROCTER &	P	11/03/08	12/24/13
j	30 PROCTER &	P	11/03/08	11/25/13
k	60 PROCTER &	P	11/03/08	11/19/13
l	33 PROCTER &	P	04/27/12	06/04/13
m	67 PROCTER &	P	11/03/08	06/04/13
n	20 T ROWE PRI	P	06/25/10	12/03/13
o	20 UNITED PAR	P	07/03/12	12/03/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 922.		598.	324.
b 9,716.		6,208.	3,508.
c 3,038.		1,567.	1,471.
d 2,121.		1,766.	355.
e 3,535.		2,197.	1,338.
f 1,389.		1,112.	277.
g 1,598.		1,610.	<12.>
h 1,598.		1,390.	208.
i 1,620.		1,286.	334.
j 2,554.		1,928.	626.
k 5,051.		3,857.	1,194.
l 2,575.		2,152.	423.
m 5,229.		4,307.	922.
n 1,593.		933.	660.
o 2,036.		1,579.	457.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			324.
b			3,508.
c			1,471.
d			355.
e			1,338.
f			277.
g			<12.>
h			208.
i			334.
j			626.
k			1,194.
l			423.
m			922.
n			660.
o			457.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 30 UNITED TEC		P	06/25/13	09/06/13
b 50 UNITED TEC		P	01/22/13	09/06/13
c 20 UNITED TEC		P	11/03/08	09/06/13
d 6 ACCENTURE		P	01/28/13	09/24/13
e 4 ACCENTURE		P	12/20/12	09/24/13
f 9 ACCENTURE		P	04/29/13	06/28/13
g 1 ACCENTURE		P	01/28/13	06/28/13
h 11 ARM HOLDIN		P	05/02/08	05/01/13
i 12 ARM HOLDIN		P	05/02/08	01/02/13
j 4 ASML HOLDI		P	06/10/13	10/22/13
k 6 ASML HOLDI		P	06/06/13	10/22/13
l 2 ASML HOLDI		P	10/12/12	10/22/13
m 1 ASML HOLDI		P	07/05/13	10/15/13
n 3 ASML HOLDI		P	06/10/13	10/15/13
o 5 ASML HOLDI		P	06/07/13	10/15/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,082.		2,750.	332.
b 5,136.		4,355.	781.
c 2,054.		1,087.	967.
d 440.		439.	1.
e 294.		274.	20.
f 639.		739.	<100.>
g 71.		73.	<2.>
h 516.		70.	446.
i 458.		77.	381.
j 371.		327.	44.
k 556.		481.	75.
l 185.		113.	72.
m 97.		82.	15.
n 290.		246.	44.
o 484.		410.	74.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			332.
b			781.
c			967.
d			1.
e			20.
f			<100.>
g			<2.>
h			446.
i			381.
j			44.
k			75.
l			72.
m			15.
n			44.
o			74.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 7 ASML HOLDI		P	07/05/13	10/15/13
b 18 ASSA ABLOY		P	11/23/10	09/27/13
c 5 ASSA ABLOY		P	11/23/10	09/27/13
d 2 ASSA ABLOY		P	11/23/10	09/26/13
e 22 ASSA ABLOY		P	11/22/10	09/26/13
f 13 ASSA ABLOY		P	11/22/10	09/25/13
g 14 ASSA ABLOY		P	11/22/10	07/22/13
h 13 ASSA ABLOY		P	01/21/11	07/19/13
i 7 ASSA ABLOY		P	11/22/10	07/19/13
j 4 BAIDU INC		P	04/25/12	10/30/13
k 110 BG GROUP A		P	07/13/09	01/03/13
l 21 BG GROUP A		P	07/02/09	01/03/13
m 53 BG GROUP A		P	07/02/09	01/02/13
n 8 BURBERRY G		P	11/29/12	09/13/13
o 4 BURBERRY G		P	11/28/12	09/13/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 664.		577.	87.
b 394.		240.	154.
c 114.		67.	47.
d 45.		27.	18.
e 492.		300.	192.
f 288.		177.	111.
g 296.		191.	105.
h 278.		178.	100.
i 150.		95.	55.
j 644.		538.	106.
k 1,803.		1,770.	33.
l 344.		359.	<15.>
m 873.		907.	<34.>
n 396.		346.	50.
o 198.		165.	33.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			87.
b			154.
c			47.
d			18.
e			192.
f			111.
g			105.
h			100.
i			55.
j			106.
k			33.
l			<15.>
m			<34.>
n			50.
o			33.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3 CANADIAN N	P	09/27/07	12/04/13
b	7 CARNIVAL C	P	01/13/10	12/19/13
c	9 CARNIVAL C	P	04/07/08	12/19/13
d	26 CARNIVAL C	P	04/07/08	09/25/13
e	16 CARNIVAL C	P	04/07/08	02/13/13
f	2 CARNIVAL C	P	06/01/07	02/13/13
g	6 CHECK POIN	P	12/21/11	04/30/13
h	10 CHECK POIN	P	05/24/12	04/29/13
i	10 CHECK POIN	P	12/21/11	04/29/13
j	6 CHECK POIN	P	05/24/12	04/26/13
k	15 CHECK POIN	P	11/17/11	04/26/13
l	2 CHECK POIN	P	11/09/11	04/26/13
m	15 CHECK POIN	P	11/09/11	04/25/13
n	2 CNOOC LIM	P	10/05/09	08/27/13
o	2 CNOOC LIM	P	10/05/09	08/26/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 159.		86.	73.
b 257.		240.	17.
c 331.		380.	<49.>
d 833.		1,097.	<264.>
e 591.		675.	<84.>
f 74.		102.	<28.>
g 268.		311.	<43.>
h 451.		532.	<81.>
i 451.		519.	<68.>
j 269.		319.	<50.>
k 671.		824.	<153.>
l 90.		115.	<25.>
m 669.		865.	<196.>
n 374.		275.	99.
o 384.		275.	109.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			73.
b			17.
c			<49.>
d			<264.>
e			<84.>
f			<28.>
g			<43.>
h			<81.>
i			<68.>
j			<50.>
k			<153.>
l			<25.>
m			<196.>
n			99.
o			109.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 2 CNOOC LIMI		P	10/05/09	08/23/13
b 2 CNOOC LIMI		P	10/05/09	08/22/13
c 3 CNOOC LIMI		P	10/05/09	07/30/13
d 4 CNOOC LIMI		P	10/05/09	07/15/13
e 5 CNOOC LIMI		P	05/20/10	05/21/13
f 1 CNOOC LIMI		P	10/05/09	05/21/13
g 4 DASSAULT S		P	01/29/13	10/16/13
h 2 DASSAULT S		P	01/25/08	10/16/13
i 3 DASSAULT S		P	01/29/13	10/15/13
j 16 ELEKTA UNS		P	03/07/13	11/07/13
k 7 ELEKTA UNS		P	03/07/13	11/06/13
l 10 ELEKTA UNS		P	01/29/13	11/06/13
m 42 ELEKTA UNS		P	01/24/13	11/06/13
n 33 ELEKTA UNS		P	12/07/12	11/06/13
o 2 ELEKTA UNS		P	12/07/12	11/05/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 385.		275.	110.
b 382.		275.	107.
c 523.		412.	111.
d 683.		549.	134.
e 921.		772.	149.
f 184.		137.	47.
g 435.		458.	<23.>
h 217.		106.	111.
i 320.		343.	<23.>
j 221.		240.	<19.>
k 100.		105.	<5.>
l 143.		152.	<9.>
m 599.		637.	<38.>
n 471.		508.	<37.>
o 28.		31.	<3.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			110.
b			107.
c			111.
d			134.
e			149.
f			47.
g			<23.>
h			111.
i			<23.>
j			<19.>
k			<5.>
l			<9.>
m			<38.>
n			<37.>
o			<3.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 ELEKTA UNS	P	12/07/12	11/04/13
b	6 ELEKTA UNS	P	12/10/12	11/01/13
c	1 ELEKTA UNS	P	12/07/12	11/01/13
d	12 ELEKTA UNS	P	01/30/13	10/31/13
e	31 ELEKTA UNS	P	12/10/12	10/31/13
f	12 ELEKTA UNS	P	01/30/13	10/30/13
g	8 ELEKTA UNS	P	12/12/12	07/29/13
h	6 ELEKTA UNS	P	12/11/12	07/29/13
i	7 ELEKTA UNS	P	12/12/12	07/26/13
j	20 ELEKTA UNS	P	12/12/12	07/25/13
k	11 ELEKTA UNS	P	01/29/13	07/24/13
l	14 ELEKTA UNS	P	12/12/12	07/24/13
m	13 ELEKTA UNS	P	12/11/12	07/24/13
n	21 EMBRAER S	P	07/26/12	10/30/13
o	4 EMBRAER S	P	08/09/11	04/16/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	346.	385.	<39.>
b	83.	93.	<10.>
c	14.	15.	<1.>
d	172.	186.	<14.>
e	445.	479.	<34.>
f	171.	186.	<15.>
g	130.	127.	3.
h	97.	94.	3.
i	113.	111.	2.
j	325.	318.	7.
k	186.	175.	11.
l	237.	223.	14.
m	220.	211.	9.
n	623.	532.	91.
o	128.	89.	39.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<39.>
b			<10.>
c			<1.>
d			<14.>
e			<34.>
f			<15.>
g			3.
h			3.
i			2.
j			7.
k			11.
l			14.
m			9.
n			91.
o			39.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4 EMBRAER S	P	08/09/11	04/15/13
b	5 EMBRAER S	P	08/09/11	04/12/13
c	6 EMBRAER S	P	05/22/07	04/12/13
d	10 EMBRAER S	P	05/22/07	02/27/13
e	5 EMBRAER S	P	05/22/07	02/26/13
f	11 EMBRAER S	P	05/22/07	01/24/13
g	40 FLSMIDT &	P	08/26/09	01/08/13
h	146 FLSMIDT &	P	08/26/09	01/07/13
i	52 FLSMIDT &	P	09/10/09	01/04/13
j	66 FLSMIDT &	P	08/26/09	01/04/13
k	95 FLSMIDT &	P	09/10/09	01/03/13
l	13 FLSMIDT &	P	09/10/09	01/02/13
m	78 HANG LUNG	P	08/18/08	10/17/13
n	26 HANG LUNG	P	08/18/08	10/08/13
o	1 HANG LUNG	P	08/18/08	09/30/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 129.		89.	40.
b 174.		112.	62.
c 209.		62.	147.
d 329.		104.	225.
e 156.		52.	104.
f 335.		114.	221.
g 226.		217.	9.
h 842.		793.	49.
i 297.		310.	<13.>
j 377.		359.	18.
k 543.		566.	<23.>
l 73.		77.	<4.>
m 1,260.		1,176.	84.
n 417.		392.	25.
o 16.		15.	1.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			40.
b			62.
c			147.
d			225.
e			104.
f			221.
g			9.
h			49.
i			<13.>
j			18.
k			<23.>
l			<4.>
m			84.
n			25.
o			1.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 9 HANG LUNG		P	08/18/08	09/27/13
b 2 HANG LUNG		P	08/18/08	09/26/13
c 5 HANG LUNG		P	08/18/08	09/24/13
d 9 HANG LUNG		P	08/18/08	09/23/13
e 14 HANG LUNG		P	08/18/08	09/19/13
f 3 HANG LUNG		P	10/05/12	09/18/13
g 2 HANG LUNG		P	08/18/08	09/18/13
h 14 HANG LUNG		P	10/05/12	09/17/13
i 10 HANG LUNG		P	10/05/12	09/10/13
j 3 HANG LUNG		P	10/05/12	09/09/13
k 47 HENNES & M		P	07/28/08	12/16/13
l 116 HENNES & M		P	12/22/09	10/15/13
m 19 HENNES & M		P	07/28/08	10/15/13
n 78 HENNES & M		P	12/22/09	10/14/13
o 12 HSBC HOLDI		P	12/14/12	12/04/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 145.		136.	9.
b 32.		30.	2.
c 81.		75.	6.
d 148.		136.	12.
e 226.		211.	15.
f 48.		53.	<5.>
g 32.		30.	2.
h 223.		247.	<24.>
i 160.		176.	<16.>
j 48.		53.	<5.>
k 386.		248.	138.
l 955.		641.	314.
m 156.		100.	56.
n 631.		431.	200.
o 638.		632.	6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			9.
b			2.
c			6.
d			12.
e			15.
f			<5.>
g			2.
h			<24.>
i			<16.>
j			<5.>
k			138.
l			314.
m			56.
n			200.
o			6.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 6 HSBC HOLDI		P	04/26/12	12/04/13
b 101 INDUSTRIAL		P	01/27/11	09/06/13
c 22 ITAU UNIBA		P	08/31/11	09/17/13
d 45.324 ITAU UNIBA		P	08/31/11	07/08/13
e 20.676 ITAU UNIBA		P	07/20/11	07/08/13
f 39.8069 ITAU UNIBA		P	07/20/11	07/05/13
g 24.1931 ITAU UNIBA		P	02/03/11	07/05/13
h 0 ITAU UNIBA		P	05/28/13	05/28/13
i 159 KDDI CORPO		P	05/10/11	01/23/13
j 160 KDDI CORPO		P	05/22/07	09/13/13
k 29 KOMATSU AD		P	06/13/13	12/05/13
l 26 KOMATSU AD		P	05/11/09	12/05/13
m 16 KOMATSU AD		P	12/03/07	04/04/13
n 46 KOMATSU AD		P	05/11/09	04/04/13
o 12 LAS VEGAS		P	01/10/12	01/31/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 319.		272.	47.
b 1,352.		1,524.	<172.>
c 290.		367.	<77.>
d 534.		756.	<222.>
e 244.		399.	<155.>
f 453.		768.	<315.>
g 275.		474.	<199.>
h 2.			2.
i 2,728.		2,729.	<1.>
j 762.		395.	367.
k 566.		734.	<168.>
l 507.		367.	140.
m 343.		521.	<178.>
n 987.		650.	337.
o 650.		526.	124.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			47.
b			<172.>
c			<77.>
d			<222.>
e			<155.>
f			<315.>
g			<199.>
h			2.
i			<1.>
j			367.
k			<168.>
l			140.
m			<178.>
n			337.
o			124.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c)  
If (loss), enter "-0-" in Part I, line 8 }

3

**Edward E. Stone Foundation****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	243 LI & FUNG	P	07/25/12	01/15/13
b	193 LI & FUNG	P	09/08/11	01/15/13
c	257 LI & FUNG	P	08/31/11	01/15/13
d	247 LI & FUNG	P	09/08/11	01/03/13
e	5 MERCADOLIB	P	09/22/11	09/25/13
f	2 MERCADOLIB	P	09/22/11	08/02/13
g	1 MERCADOLIB	P	09/22/11	05/10/13
h	3 MERCADOLIB	P	09/16/11	05/10/13
i	3 MERCADOLIB	P	09/16/11	05/09/13
j	4 MERCADOLIB	P	09/16/11	05/01/13
k	65 MITSUBISHI	P	04/12/13	07/29/13
l	39 MITSUBISHI	P	04/11/13	07/29/13
m	10 MITSUBISHI	P	07/02/09	07/29/13
n	12 NOVARTIS A	P	07/18/07	10/31/13
o	37 POTASH COR	P	10/07/09	07/31/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 698.		867.	<169.>
b 554.		724.	<170.>
c 738.		914.	<176.>
d 900.		926.	<26.>
e 630.		303.	327.
f 244.		121.	123.
g 118.		61.	57.
h 354.		207.	147.
i 354.		207.	147.
j 385.		276.	109.
k 389.		452.	<63.>
l 233.		276.	<43.>
m 60.		62.	<2.>
n 915.		643.	272.
o 1,095.		1,114.	<19.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<169.>
b			<170.>
c			<176.>
d			<26.>
e			327.
f			123.
g			57.
h			147.
i			147.
j			109.
k			<63.>
l			<43.>
m			<2.>
n			272.
o			<19.>

2 Capital gain net income or (net capital loss) ( If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 )

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Edward E. Stone Foundation****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 19 POTASH COR		P	04/30/09	07/31/13
b 11 POTASH COR		P	10/07/09	07/29/13
c 17 POTASH COR		P	10/07/09	06/24/13
d 5 POTASH COR		P	11/28/11	06/13/13
e 4 POTASH COR		P	10/07/09	06/13/13
f 8 POTASH COR		P	11/28/11	06/12/13
g 48 RECKITT BE		P	06/24/10	07/17/13
h 14 RECKITT BE		P	09/10/09	07/17/13
i 69 ROYAL BANK		P	04/05/13	07/03/13
j 1 ROYAL BANK		P	04/05/13	07/03/13
k 23 ROYAL BANK		P	12/14/12	07/03/13
l 5 ROYAL BANK		P	12/17/12	07/02/13
m 50 ROYAL BANK		P	12/14/12	07/02/13
n 1 ROYAL BANK		P	12/20/12	07/01/13
o 43 ROYAL BANK		P	12/19/12	07/01/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 562.		561.	1.
b 393.		331.	62.
c 643.		512.	131.
d 197.		214.	<17.>
e 158.		120.	38.
f 315.		343.	<28.>
g 664.		447.	217.
h 194.		137.	57.
i 566.		584.	<18.>
j 8.		8.	0.
k 181.		227.	<46.>
l 41.		50.	<9.>
m 409.		494.	<85.>
n 8.		11.	<3.>
o 361.		449.	<88.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			62.
c			131.
d			<17.>
e			38.
f			<28.>
g			217.
h			57.
i			<18.>
j			0.
k			<46.>
l			<9.>
m			<85.>
n			<3.>
o			<88.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Edward E. Stone Foundation****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	29 ROYAL BANK	P	12/18/12	07/01/13
b	40 ROYAL BANK	P	12/17/12	07/01/13
c	20 ROYAL BANK	P	12/21/12	06/28/13
d	35 ROYAL BANK	P	12/20/12	06/28/13
e	3 SAP AG SPO	P	10/16/08	10/17/13
f	3 SAP AG SPO	P	10/07/08	10/17/13
g	6 SAP AG SPO	P	10/07/08	10/16/13
h	10 SAP AG SPO	P	10/07/08	10/15/13
i	8 SAP AG SPO	P	10/07/08	07/09/13
j	2 SAP AG SPO	P	01/10/12	07/03/13
k	6 SAP AG SPO	P	01/25/10	07/03/13
l	2 SAP AG SPO	P	10/07/08	07/03/13
m	213 SBERBANK R	P	09/12/11	03/27/13
n	10 SCHLUMBERG	P	04/30/09	10/08/13
o	12 SCHLUMBERG	P	06/25/09	05/20/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 244.		295.	<51.>
b 336.		398.	<62.>
c 164.		213.	<49.>
d 287.		370.	<83.>
e 209.		104.	105.
f 209.		112.	97.
g 418.		225.	193.
h 708.		374.	334.
i 568.		299.	269.
j 140.		109.	31.
k 421.		281.	140.
l 140.		75.	65.
m 2,634.		2,268.	366.
n 865.		496.	369.
o 919.		667.	252.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<51.>
b			<62.>
c			<49.>
d			<83.>
e			105.
f			97.
g			193.
h			334.
i			269.
j			31.
k			140.
l			65.
m			366.
n			369.
o			252.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

2

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 SCHLUMBERG	P	04/30/09	05/20/13
b	6 SIEMENS AG	P	07/26/12	07/09/13
c	2 SIEMENS AG	P	09/15/11	07/09/13
d	11 SIEMENS AG	P	01/11/11	07/08/13
e	7 SIEMENS AG	P	01/11/11	07/05/13
f	1 SIEMENS AG	P	01/11/11	06/25/13
g	4 SIEMENS AG	P	01/11/11	06/25/13
h	5 SIEMENS AG	P	01/12/11	06/24/13
i	1 SIEMENS AG	P	01/10/11	06/24/13
j	17 SWATCH GRO	P	10/18/11	03/07/13
k	6 SWATCH GRO	P	10/11/11	03/07/13
l	15 SWATCH GRO	P	11/04/11	01/29/13
m	12 SWATCH GRO	P	10/18/11	01/29/13
n	3 SYNGENTA A	P	07/26/13	11/18/13
o	5 SYNGENTA A	P	01/24/13	11/18/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 77.		50.	27.
b 618.		500.	118.
c 206.		196.	10.
d 1,131.		1,305.	<174.>
e 693.		831.	<138.>
f 98.		122.	<24.>
g 394.		475.	<81.>
h 494.		620.	<126.>
i 99.		127.	<28.>
j 474.		331.	143.
k 167.		114.	53.
l 401.		312.	89.
m 321.		234.	87.
n 230.		251.	<21.>
o 383.		435.	<52.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			27.
b			118.
c			10.
d			<174.>
e			<138.>
f			<24.>
g			<81.>
h			<126.>
i			<28.>
j			143.
k			53.
l			89.
m			87.
n			<21.>
o			<52.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3 SYNGENTA A	P	01/24/13	11/15/13
b	4 SYNGENTA A	P	01/23/13	11/15/13
c	60 TAIWAN SEM	P	07/26/12	06/13/13
d	35 TAIWAN SEM	P	07/26/12	06/12/13
e	49 TAIWAN SEM	P	07/26/12	06/07/13
f	9 TAIWAN SEM	P	07/27/12	06/06/13
g	14 TAIWAN SEM	P	07/26/12	06/06/13
h	11 TATA MOTOR	P	04/10/13	12/13/13
i	10 TENCENT HL	P	11/22/11	12/12/13
j	1 TENCENT HL	P	11/22/11	10/30/13
k	13 TENCENT HL	P	04/23/10	10/30/13
l	14 TENCENT HL	P	04/23/10	10/10/13
m	14 TENCENT HL	P	04/23/10	08/01/13
n	28 TENCENT HL	P	04/23/10	07/29/13
o	8 TENCENT HL	P	04/26/10	07/19/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 230.		261.	<31.>
b 306.		350.	<44.>
c 1,082.		802.	280.
d 633.		468.	165.
e 908.		655.	253.
f 164.		124.	40.
g 255.		187.	68.
h 317.		289.	28.
i 584.		202.	382.
j 53.		20.	33.
k 695.		270.	425.
l 721.		291.	430.
m 627.		291.	336.
n 1,246.		582.	664.
o 333.		171.	162.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<31.>
b			<44.>
c			280.
d			165.
e			253.
f			40.
g			68.
h			28.
i			382.
j			33.
k			425.
l			430.
m			336.
n			664.
o			162.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 10 TENCENT HL		P	04/23/10	07/19/13
b 18 TEVA PHARM		P	11/17/06	10/16/13
c 18 TEVA PHARM		P	11/17/06	10/15/13
d 32 TEVA PHARM		P	11/17/06	10/10/13
e 20 TEVA PHARM		P	11/17/06	06/12/13
f 13 TEVA PHARM		P	12/13/06	06/12/13
g 18 TEVA PHARM		P	12/13/06	02/06/13
h 3 TOYOTA MOT		P	02/09/11	04/17/13
i 2 TOYOTA MOT		P	01/15/10	04/17/13
j 7 TOYOTA MOT		P	01/15/10	03/27/13
k 47 TULLOW OIL		P	05/21/13	11/12/13
l 4 TULLOW OIL		P	05/21/13	11/07/13
m 19 TULLOW OIL		P	05/21/13	11/06/13
n 21 TULLOW OIL		P	05/21/13	11/05/13
o 4 TULLOW OIL		P	05/21/13	11/04/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 416.		208.	208.
b 698.		561.	137.
c 698.		561.	137.
d 1,268.		997.	271.
e 777.		623.	154.
f 505.		413.	92.
g 676.		572.	104.
h 330.		269.	61.
i 220.		183.	37.
j 711.		641.	70.
k 316.		385.	<69.>
l 28.		33.	<5.>
m 135.		156.	<21.>
n 150.		172.	<22.>
o 29.		33.	<4.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			208.
b			137.
c			137.
d			271.
e			154.
f			92.
g			104.
h			61.
i			37.
j			70.
k			<69.>
l			<5.>
m			<21.>
n			<22.>
o			<4.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 23 TULLOW OIL		P	12/14/12	11/04/13
b 92 TULLOW OIL		P	12/14/12	11/01/13
c 21 TULLOW OIL		P	12/14/12	10/31/13
d 20 TULLOW OIL		P	12/14/12	10/31/13
e 37 TULLOW OIL		P	12/14/12	10/24/13
f 35 TULLOW OIL		P	12/14/12	10/24/13
g 30 TULLOW OIL		P	12/14/12	10/23/13
h 246 TURKIYE GA		P	03/16/10	04/03/13
i 14 TURKIYE GA		P	03/16/10	04/02/13
j 263 TURKIYE GA		P	02/04/10	04/02/13
k 29 VODAFONE G		P	01/25/12	02/01/13
l 58 VODAFONE G		P	11/23/11	02/01/13
m 38 WAL-MART D		P	02/20/09	11/07/13
n 36 WAL-MART D		P	02/20/09	11/06/13
o 22 WAL-MART D		P	01/23/09	11/06/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 164.		224.	<60.>
b 676.		898.	<222.>
c 151.		205.	<54.>
d 144.		195.	<51.>
e 273.		361.	<88.>
f 269.		341.	<72.>
g 223.		293.	<70.>
h 1,266.		1,031.	235.
i 73.		59.	14.
j 1,362.		1,140.	222.
k 789.		800.	<11.>
l 1,578.		1,525.	53.
m 895.		378.	517.
n 872.		358.	514.
o 533.		238.	295.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<60.>
b			<222.>
c			<54.>
d			<51.>
e			<88.>
f			<72.>
g			<70.>
h			235.
i			14.
j			222.
k			<11.>
l			53.
m			517.
n			514.
o			295.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 YANDEX N.V	P	06/16/11	07/24/13
b	20 YANDEX N.V	P	06/15/11	07/24/13
c	3 YUM BRANDS	P	07/16/13	11/22/13
d	5 YUM BRANDS	P	03/20/12	11/22/13
e	171000 CATERPILLA	P	09/24/08	02/13/13
f	519.92 FORWARD CR	P	09/25/13	10/23/13
g	329.735 FORWARD CR	P	06/25/13	10/23/13
h	279.596 FORWARD CR	P	03/25/13	10/23/13
i	22172.949 FORWARD CR	P	02/13/13	10/23/13
j	74.529 FORWARD CR	P	12/26/12	10/23/13
k	40.047 FORWARD CR	P	12/07/12	10/23/13
l	35.008 FORWARD CR	P	12/07/12	10/23/13
m	11337.868 FORWARD CR	P	10/04/12	10/23/13
n	1600 ISHARES TI	P	07/29/08	02/19/13
o	400 ISHARES TI	P	07/29/08	02/19/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 149.		151.	<2.>
b 595.		609.	<14.>
c 229.		221.	8.
d 381.		351.	30.
e 176,913.		171,161.	5,752.
f 3,909.		4,641.	<732.>
g 2,479.		2,943.	<464.>
h 2,102.		2,496.	<394.>
i 166,725.		197,909.	<31,184.>
j 560.		665.	<105.>
k 301.		357.	<56.>
l 263.		312.	<49.>
m 85,253.		101,199.	<15,946.>
n 192,252.		168,205.	24,047.
o 48,063.		42,051.	6,012.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2.>
b			<14.>
c			8.
d			30.
e			5,752.
f			<732.>
g			<464.>
h			<394.>
i			<31,184.>
j			<105.>
k			<56.>
l			<49.>
m			<15,946.>
n			24,047.
o			6,012.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 339.63 PIMCO ALL		P	01/12/10	08/09/13
b 11083.41 PIMCO ALL		P	07/30/12	08/09/13
c 2785.36 PIMCO ALL		P	07/30/12	07/08/13
d 92.933 PIMCO ALL		P	06/21/12	07/08/13
e 153.544 PIMCO ALL		P	03/22/12	07/08/13
f 872.068 PIMCO ALL		P	12/28/11	07/08/13
g 180.208 PIMCO ALL		P	09/15/11	07/08/13
h 165.566 PIMCO ALL		P	06/16/11	07/08/13
i 103.408 PIMCO ALL		P	03/17/11	07/08/13
j 619.025 PIMCO ALL		P	12/31/10	07/08/13
k 38.493 PIMCO ALL		P	12/08/10	07/08/13
l 142.526 PIMCO ALL		P	09/16/10	07/08/13
m 141.46 PIMCO ALL		P	06/17/10	07/08/13
n 111.996 PIMCO ALL		P	03/18/10	07/08/13
o 14395.393 PIMCO ALL				07/08/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,508.		3,686.	<178.>
b 114,467.		120,272.	<5,805.>
c 28,129.		30,212.	<2,083.>
d 939.		1,008.	<69.>
e 1,551.		1,665.	<114.>
f 8,807.		9,085.	<278.>
g 1,820.		1,877.	<57.>
h 1,672.		1,725.	<53.>
i 1,044.		1,077.	<33.>
j 6,251.		6,449.	<198.>
k 389.		401.	<12.>
l 1,439.		1,485.	<46.>
m 1,429.		1,474.	<45.>
n 1,131.		1,167.	<36.>
o		<108.>	108.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<178.>
b			<5,805.>
c			<2,083.>
d			<69.>
e			<114.>
f			<278.>
g			<57.>
h			<53.>
i			<33.>
j			<198.>
k			<12.>
l			<46.>
m			<45.>
n			<36.>
o			108.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 14395.393 PIMCO ALL		P	01/12/10	07/08/13
b 103 PIMCO ALL		P	03/22/07	02/13/13
c 427 PIMCO ALL		P	12/27/06	02/13/13
d 41 PIMCO ALL		P	12/13/06	02/13/13
e 14500 PIMCO ALL		P	01/19/05	02/13/13
f 919.394 PIMCO ALL		P	12/28/11	02/13/13
g 313.586 PIMCO ALL		P	09/15/11	02/13/13
h 230.66 PIMCO ALL		P	06/16/11	02/13/13
i 118.573 PIMCO ALL		P	03/17/11	02/13/13
j 1036.521 PIMCO ALL		P	12/31/10	02/13/13
k 254.808 PIMCO ALL		P	09/16/10	02/13/13
l 275.668 PIMCO ALL		P	06/17/10	02/13/13
m 191.546 PIMCO ALL		P	03/18/10	02/13/13
n 953.919 PIMCO ALL		P	12/30/09	02/13/13
o 217.38 PIMCO ALL		P	09/17/09	02/13/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 145,375.		149,961.	<4,586.>
b 1,307.		1,260.	47.
c 5,418.		5,224.	194.
d 520.		502.	18.
e 183,991.		177,381.	6,610.
f 11,666.		11,247.	419.
g 3,979.		3,836.	143.
h 2,927.		2,822.	105.
i 1,505.		1,451.	54.
j 13,152.		12,680.	472.
k 3,233.		3,117.	116.
l 3,498.		3,372.	126.
m 2,431.		2,343.	88.
n 12,104.		11,669.	435.
o 2,758.		2,659.	99.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<4,586.>
b			47.
c			194.
d			18.
e			6,610.
f			419.
g			143.
h			105.
i			54.
j			472.
k			116.
l			126.
m			88.
n			435.
o			99.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Edward E. Stone Foundation****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2270.663 PIMCO ALL	P	07/23/09	02/13/13
b	190.163 PIMCO ALL	P	06/18/09	02/13/13
c	146.787 PIMCO ALL	P	03/19/09	02/13/13
d	531.267 PIMCO ALL	P	12/30/08	02/13/13
e	170.425 PIMCO ALL	P	09/18/08	02/13/13
f	159.72 PIMCO ALL	P	06/19/08	02/13/13
g	155.756 PIMCO ALL	P	03/20/08	02/13/13
h	680.515 PIMCO ALL	P	12/27/07	02/13/13
i	222.365 PIMCO ALL	P	09/20/07	02/13/13
j	163.983 PIMCO ALL	P	06/21/07	02/13/13
k	158.309 PIMCO ALL	P	12/31/12	02/13/13
l	723.849 PIMCO ALL	P	12/27/12	02/13/13
m	176.427 PIMCO ALL	P	09/20/12	02/13/13
n	143.235 PIMCO ALL	P	06/21/12	02/13/13
o	230.61 PIMCO ALL	P	03/22/12	02/13/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	28,813.	27,777.	1,036.
b	2,413.	2,326.	87.
c	1,863.	1,796.	67.
d	6,741.	6,499.	242.
e	2,163.	2,085.	78.
f	2,027.	1,954.	73.
g	1,976.	1,905.	71.
h	8,635.	8,325.	310.
i	2,822.	2,720.	102.
j	2,081.	2,006.	75.
k	2,009.	1,974.	35.
l	9,185.	9,028.	157.
m	2,239.	2,200.	39.
n	1,818.	1,786.	32.
o	2,926.	2,876.	50.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,036.
b			87.
c			67.
d			242.
e			78.
f			73.
g			71.
h			310.
i			102.
j			75.
k			35.
l			157.
m			39.
n			32.
o			50.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2634.245 PIMCO EMER			08/09/13
b	2634.245 PIMCO EMER	P	11/10/10	08/09/13
c	63.471 THORNBURG	P	12/24/12	02/13/13
d	197.433 THORNBURG	P	02/11/11	02/13/13
e	344.239 THORNBURG	P	06/25/12	02/13/13
f	269.051 THORNBURG	P	03/26/12	02/13/13
g	469.528 THORNBURG	P	12/23/11	02/13/13
h	443.576 THORNBURG	P	09/26/11	02/13/13
i	375.406 THORNBURG	P	06/24/11	02/13/13
j	187.549 THORNBURG	P	03/25/11	02/13/13
k	542.755 THORNBURG	P	02/11/11	02/13/13
l	0 ISHARES GO	P	06/28/13	12/31/13
m	0 ISHARES GO	P	08/01/12	12/31/13
n	0 ISHARES GO	P	12/08/11	12/31/13
o	0 ISHARES GO	P	06/28/13	11/30/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		<47.>	47.
b	25,975.	29,385.	<3,410.>
c	1,259.	1,181.	78.
d	3,915.	3,675.	240.
e	6,827.	6,408.	419.
f	5,336.	5,008.	328.
g	9,311.	9,099.	212.
h	8,797.	8,596.	201.
i	7,445.	7,275.	170.
j	3,719.	3,634.	85.
k	10,764.	10,518.	246.
l	3.	3.	0.
m	3.	3.	0.
n	5.	8.	<3.>
o	3.	3.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			47.
b			<3,410.>
c			78.
d			240.
e			419.
f			328.
g			212.
h			201.
i			170.
j			85.
k			246.
l			0.
m			0.
n			<3.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	0 ISHARES GO	P	08/01/12	11/30/13
b	0 ISHARES GO	P	12/08/11	11/30/13
c	0 ISHARES GO	P	06/28/13	10/31/13
d	0 ISHARES GO	P	08/01/12	10/31/13
e	0 ISHARES GO	P	12/08/11	10/31/13
f	0 ISHARES GO	P	06/28/13	09/30/13
g	0 ISHARES GO	P	08/01/12	09/30/13
h	0 ISHARES GO	P	12/08/11	09/30/13
i	0 ISHARES GO	P	06/28/13	08/31/13
j	0 ISHARES GO	P	08/01/12	08/31/13
k	0 ISHARES GO	P	12/08/11	08/31/13
l	0 ISHARES GO	P	06/28/13	07/31/13
m	0 ISHARES GO	P	08/01/12	07/31/13
n	0 ISHARES GO	P	12/08/11	07/31/13
o	0 ISHARES GO	P	06/28/13	06/30/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3.	3.	0.
b	5.	8.	<3.>
c	3.	3.	0.
d	3.	3.	0.
e	6.	8.	<2.>
f	3.	3.	0.
g	3.	3.	0.
h	6.	8.	<2.>
i	3.	3.	0.
j	3.	3.	0.
k	6.	7.	<1.>
l	3.	3.	0.
m	3.	3.	0.
n	5.	7.	<2.>
o	3.	3.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			<3.>
c			0.
d			0.
e			<2.>
f			0.
g			0.
h			<2.>
i			0.
j			0.
k			<1.>
l			0.
m			0.
n			<2.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 0 ISHARES GO		P	08/01/12	06/30/13
b 0 ISHARES GO		P	12/08/11	06/30/13
c 0 ISHARES GO		P	08/01/12	05/31/13
d 0 ISHARES GO		P	12/08/11	05/31/13
e 0 ISHARES GO		P	08/01/12	04/30/13
f 0 ISHARES GO		P	12/08/11	04/30/13
g 0 ISHARES GO		P	08/01/12	03/31/13
h 0 ISHARES GO		P	12/08/11	03/31/13
i 0 ISHARES GO		P	08/01/12	02/28/13
j 0 ISHARES GO		P	12/08/11	02/28/13
k 0 ISHARES GO		P	08/01/12	01/31/13
l 0 ISHARES GO		P	12/08/11	01/31/13
m 1777 ISHARES MS		P	02/06/13	06/07/13
n 2009 ISHARES MS		P	10/03/12	06/07/13
o 117 ISHARES TI		P	06/10/08	02/06/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3.		4.	<1.>
b 6.		8.	<2.>
c 3.		3.	0.
d 6.		8.	<2.>
e 3.		3.	0.
f 6.		8.	<2.>
g 3.		3.	0.
h 7.		8.	<1.>
i 3.		3.	0.
j 6.		7.	<1.>
k 3.		3.	0.
l 7.		7.	0.
m 34,191.		35,672.	<1,481.>
n 38,655.		37,141.	1,514.
o 14,111.		12,285.	1,826.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1.>
b			<2.>
c			0.
d			<2.>
e			0.
f			<2.>
g			0.
h			<1.>
i			0.
j			<1.>
k			0.
l			0.
m			<1,481.>
n			1,514.
o			1,826.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	71 ISHARES TI	P	01/04/08	02/06/13
b	1195 ISHARES TR	P	08/02/12	06/12/13
c	232 ISHARES TR	P	08/01/12	06/12/13
d	961 ISHARES TR	P	06/06/12	06/12/13
e	136 POWERSHARE	P	02/02/12	10/03/13
f	356 POWERSHARE	P	08/01/12	10/03/13
g	96 POWERSHARE	P	02/02/12	10/03/13
h	374 POWERSHARE	P	08/01/12	02/06/13
i	169 POWERSHARE	P	02/02/12	02/06/13
j	1192 POWERSHARE	P	10/03/12	01/17/13
k	59 SPDR GOLD	P	08/01/12	02/20/13
l	20 SPDR GOLD	P	12/11/09	02/20/13
m	78 SPDR GOLD	P	10/31/08	02/20/13
n	74 SPDR GOLD	P	10/16/08	02/20/13
o	0 SPDR GOLD	P	08/01/12	01/07/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,563.		7,563.	1,000.
b 37,943.		35,507.	2,436.
c 7,366.		6,945.	421.
d 30,513.		26,378.	4,135.
e 10,703.		8,330.	2,373.
f 10,999.		9,975.	1,024.
g 2,966.		2,487.	479.
h 25,129.		24,243.	886.
i 11,355.		10,351.	1,004.
j 36,967.		37,055.	<88.>
k 8,990.		9,159.	<169.>
l 3,048.		2,162.	886.
m 11,886.		5,470.	6,416.
n 11,276.		5,737.	5,539.
o 3.		3.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,000.
b			2,436.
c			421.
d			4,135.
e			2,373.
f			1,024.
g			479.
h			886.
i			1,004.
j			<88.>
k			<169.>
l			886.
m			6,416.
n			5,539.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

## Edward E. Stone Foundation

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 0 SPDR GOLD		P	12/11/09	01/07/13
b 0 SPDR GOLD		P	10/31/08	01/07/13
c 0 SPDR GOLD		P	10/16/08	01/07/13
d 821 SPDR SER T		P	10/03/12	10/09/13
e 261 SPDR SER T		P	08/01/12	10/09/13
f 4 VANGUARD B		P	02/06/13	10/03/13
g 220 VANGUARD B		P	08/01/12	10/03/13
h 287 VANGUARD B		P	08/01/12	06/25/13
i 170 VANGUARD I		P	10/04/10	10/03/13
j 295 VANGUARD I		P	06/06/12	06/25/13
k 74 VANGUARD I		P	02/01/11	06/25/13
l 70 VANGUARD I		P	10/04/10	06/25/13
m 107 VANGUARD I		P	09/15/10	06/25/13
n 362 VANGUARD I		P	08/01/12	02/06/13
o 142 VANGUARD I		P	02/01/11	02/06/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1.		1.	0.
b 4.		2.	2.
c 4.		2.	2.
d 25,092.		25,325.	<233.>
e 7,977.		8,004.	<27.>
f 323.		334.	<11.>
g 17,742.		18,699.	<957.>
h 22,960.		24,394.	<1,434.>
i 11,185.		8,596.	2,589.
j 19,366.		18,270.	1,096.
k 4,858.		4,099.	759.
l 4,595.		3,553.	1,042.
m 7,024.		5,510.	1,514.
n 24,741.		24,021.	720.
o 9,705.		7,915.	1,790.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			2.
c			2.
d			<233.>
e			<27.>
f			<11.>
g			<957.>
h			<1,434.>
i			2,589.
j			1,096.
k			759.
l			1,042.
m			1,514.
n			720.
o			1,790.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Edward E. Stone Foundation****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	146 VANGUARD S	P	03/17/10	06/28/13
b	214 WISDOMTREE	P	02/06/13	06/28/13
c	Capital Gains Dividends			
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,695.		7,139.	2,556.
b 9,783.		8,814.	969.
c 27,784.			27,784.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,556.
b			969.
c			27,784.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	116,564.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form 990-PF	Dividends and Interest from Securities	Statement	1
-------------	--	-----------	---

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Department of Treasury	12.	0.	12.	12.	
Fidelity 142	5,559.	0.	5,559.	5,509.	
Fidelity 150	18,365.	0.	18,365.	18,363.	
Fidelity 169	26,586.	1,176.	25,410.	24,802.	
Fidelity 172	90,524.	27,042.	63,482.	55,500.	
Powershares DB					
Commodity Index	<411.>	<434.>	23.	23.	
To Part I, line 4	140,635.	27,784.	112,851.	104,209.	

Form 990-PF	Other Income	Statement	2
-------------	--------------	-----------	---

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Sec 1256 contracts	<2,500.>	<2,500.>	
Total to Form 990-PF, Part I, line 11	<2,500.>	<2,500.>	

Form 990-PF	Accounting Fees	Statement	3
-------------	-----------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
HHG Foundation Services	4,675.	2,337.		2,338.
To Form 990-PF, Pg 1, ln 16b	4,675.	2,337.		2,338.

Form 990-PF	Other Professional Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Investment Management Fees	60,738.	60,738.		0.	
HHG Foundation Services	3,000.	1,500.		1,500.	
To Form 990-PF, Pg 1, ln 16c	63,738.	62,238.		1,500.	

Form 990-PF	Taxes			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Foreign tax paid	1,449.	1,449.		0.	
Payroll tax	3,934.	1,967.		1,967.	
2012 excise tax	4,968.	0.		0.	
2013 estimates	4,960.	0.		0.	
To Form 990-PF, Pg 1, ln 18	15,311.	3,416.		1,967.	

Form 990-PF	Other Increases in Net Assets or Fund Balances			Statement	6
Description	Amount				
Unrealized gain/loss on investments	284,444.				
Total to Form 990-PF, Part III, line 3	284,444.				

Form 990-PF	Corporate Stock		Statement	7
Description	Book Value	Fair Market Value		
Equities (schedule attached)	1,811,322.	2,532,829.		
Fixed income (schedule attached)	1,124,646.	1,101,036.		
Alternative (schedule attached)	537,542.	573,560.		
Total to Form 990-PF, Part II, line 10b	3,473,510.	4,207,425.		