

## See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation** 

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Forc	alen	dar year 2013 or tax year beginning		, and ending		
Nan	ne of	foundation			A Employer identification	n number
_	_				00.04.540	
		ard E. Stone Foundation	- 44	Room/suite	20-8147488	
			address)	Hoom/suite	B Telephone number (203) 656-	-5500
		HHG & Co., PO Box 4004 own, state or province, country, and ZIP or foreign p	netal node		C If exemption application is	
-		ien, CT 06820	usiai couc		if exemption application is j	bending, check here
		all that apply: Initial return	Initial return of a fo	rmer public charity	D 1. Foreign organization	s. check here
		Final return	Amended return	,		
		Address change	Name change		Foreign organizations m check here and attach c	eeting the 85% test, omputation
H_C	heck	type of organization: X Section 501(c)(3) ex			E If private foundation sta	itus was terminated
$\bot$			Other taxable private founda	tion	under section 507(b)(1	)(A), check here
		rket value of all assets at end of year   J Accounting	•	Accrual	F If the foundation is in a	
		Part II, col (c), line 16)	her (specify)	2200	under section 507(b)(1	)(B), check here
_	<u>\$</u> rt I	5,988,067. (Part I, columnal Analysis of Revenue and Expenses			(a) Advicted not	(d) Disbursements
		(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	for charitable purposes (cash basis only)
		Contributions, gifts, grants, etc., received			N/A	
	2	Check X If the foundation is not required to attach Sch. B Interest on savings and temporary				
	3	cash investments	112 051	104,209.		Statement 1
	4	Dividends and interest from securities Gross rents	112,851.	104,209.		Statement 1
		Net rental income or (loss)				
_	_	Net gain or (loss) from sale of assets not on line 10	116,564.			
nue	b	Gross sales price for all assets on line 6a 2,188,152.				
Revenue	7	Capital gain net income (from Part IV, line 2)		116,564.		
α:	8	Net short-term capital gain				
	9	Income modifications Gross sales less returns			ļ	
		and allowances		<del>.</del>		
		Less Cost of goods sold				
	11	Other-income ECENED	<2,500.	> <2,500.		Statement 2
	12	1 7 101	226,915.	218,273.		Deacement 1
	13	Total Add unes Through 11 Compensation of officers, directors, trustees, etc	42,600.	21,300.		21,300.
	14	Other employee salaries and wages				
ທ	15	Pension Plans, employee benefits				
	16a	Legal fees OG				
Expense	b	Legal fees  Accounting fees  Stmt 3  Other professional fees  Stmt 4	4,675.	2,337.		2,338.
, Ω (α		Other professional fees Detric 1	63,738.	62,238.		1,500.
ğ.	1/	Interest Taxes Stmt 5	15,311.	3,416.		1,967.
ング・Operating and Administrative I	19	Depreciation and depletion	10,011	3,410.	-	1,507.
Ξįς	20	Occupancy				
\$ <u>~</u>	21	Travel, conferences, and meetings				
and a	22	Printing and publications				
∵gu	23	Other expenses				
泸	24	Total operating and administrative	105 001	00 001		05.405
200		expenses. Add lines 13 through 23	126,324.	89,291.		27,105.
3	25	Contributions, gifts, grants paid  Total expenses and disbursements	115,000.		1	115,000.
.1	20	Add lines 24 and 25	241,324.	89,291.		142,105.
	27	Subtract line 26 from line 12:	247,724	<u> </u>		1 22,100.
		Excess of revenue over expenses and disbursements	<14,409.	>		
		Net investment income (if negative, enter -0-)		128,982.		
	C	Adjusted net income (if negative, enter -0-)			N/A	

EESTONE1

orm 9	90-PF(2013) Edward E. Stone Foundat:			-8147488	Page	
Par	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year		End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market V	alue	
- 1	Cash - non-interest-bearing	1 420 057	1 700 (40	1 700	<u> </u>	
- 1	Savings and temporary cash investments	1,420,957.	1,780,642	1,780,	042.	
3	Accounts receivable -	24 627				
١,	Less' allowance for doubtful accounts	24,627.				
1	Pledges receivable   Least all average for death that accounts					
_ ا	Less: allowance for doubtful accounts			_		
_	Grants receivable					
6	Receivables due from officers, directors, trustees, and other	İ				
١,	disqualified persons			-		
'	Other notes and loans receivable  Less: allowance for doubtful accounts					
١.	Inventories for sale or use			<del> </del>		
	Prepaid expenses and deferred charges	· · ·		<del> </del>		
1,0	a Investments - U.S. and state government obligations					
1	b Investments - corporate stock  Stmt 7	3,538,533.	3,473,510	. 4,207,	125	
1	c Investments - corporate stock  Schic /	3,330,333.	3,4/3,310	• 4,201,	443	
1	Investments - Long Durate Borius  Investments - land, buildings, and equipment basis				-	
''	Less accumulated depreciation					
1,2	Investments - mortgage loans					
1	Investments - other	•			-	
1.	Land, buildings, and equipment: basis			<del> </del>		
'`	Less accumulated depreciation					
15	Other assets (describe ►					
- 1	Total assets (to be completed by all filers - see the					
'`	instructions. Also, see page 1, item 1)	4,984,117.	5,254,152	5,988,	067	
17	Accounts payable and accrued expenses	1/301/11/	3,231,132	3/300/	<u> </u>	
18	_					
مدا						
20						
21		······································		7		
1	Other liabilities (describe >	" -		7		
	,					
23	Total liabilities (add lines 17 through 22)	0.	.0	•		
	Foundations that follow SFAS 117, check here					
	and complete lines 24 through 26 and lines 30 and 31.					
24	Unrestricted	4,984,117.	5,254,152			
25	Temporarily restricted					
3   26	Permanently restricted					
[	Foundations that do not follow SFAS 117, check here					
	and complete lines 27 through 31					
27	Capital stock, trust principal, or current funds					
28	Paid-in or capital surplus, or land, bldg., and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
24 25 26 26 27 28 29 30	Total net assets or fund balances	4,984,117.	<u>5,254,152</u>	<u> </u>		
		4 004 117	F 0F4 1F0	.		
	Total liabilities and net assets/fund balances	4,984,117.	5,254,152	•		
Par	Analysis of Changes in Net Assets or Fund Ba	alances 				
	al net assets or fund balances at beginning of year - Part II, column (a), line	30				
•	ust agree with end-of-year figure reported on prior year's return)		<b>-</b>	1 4,984,		
	er amount from Part I, line 27a		<u> </u>	2 <14,		
	ner increases not included in line 2 (itemize)	See Sta		3 284,		
	d lines 1, 2, and 3			4 5,254,		
	creases not included in line 2 (itemize)			5	0	
To	al net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	lumn (b), line 30		6 5,254,		
				Form <b>990-F</b>	F (20	

	Calendar year (or tax year beginning in)	Adjusted qualitying distributions	INCL VAIUE OF HOHOHOHAHILADIE-036 455615	(COI	. (b) divided by col. (c))
	2012	26,332.	5,473,294.		.004811
	2011	186,494.	5,588,020.		.033374
	2010	137,978.	5,358,071.		.025751
_	2009	226,947.	4,857,269.		.046723
_	2008	120,771.	5,817,814.		.020759
	Total of line 1, column (d)  Average distribution ratio for the 5-year ba	se period - divide the total on line 2 by 5	or by the number of years	2	.131418
J	the foundation has been in existence if less	•	or by the number of years	3	.026284
4	Enter the net value of noncharitable-use as	sets for 2013 from Part X, line 5		4	5,694,804.
5	Multiply line 4 by line 3			5	149,682.
6	Enter 1% of net investment income (1% of	Part I, line 27b)		6	1,290.
7	Add lines 5 and 6			7	150,972.
8	Enter qualifying distributions from Part XII,	, line 4		8	142,105.
	If line 8 is equal to or greater than line 7, cf	heck the box in Part VI, line 1b, and comp	plete that part using a 1% tax rate.		

Form **990-PF** (2013)

See the Part VI instructions.

	1990-PF (2013) Edward E. Stone Foundation 20-814 prt.VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - sec			Page 4
1a	Exempt operating foundations described in section 4940(d)(2), check here  and enter "N/A" on line 1.			
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%		2,5	80.
	of Part I, line 27b			
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0.
3	Add lines 1 and 2		2,5	80.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		2,5	80.
6	Credits/Payments:			
а	2013 estimated tax payments and 2012 overpayment credited to 2013 6a 4,960.			
þ	Exempt foreign organizations - tax withheld at source			
	Tax paid with application for extension of time to file (Form 8868)  6c			
	Backup withholding erroneously withheld			
	Total credits and payments. Add lines 6a through 6d		4,9	<u>60.</u>
	Enter any penalty for underpayment of estimated tax. Check here If Form 2220 is attached 8			
	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed			
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		2,3	80.
	Enter the amount of line 10 to be: Credited to 2014 estimated tax			0.
	rt VII-A Statements Regarding Activities		Vaa	Na
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in		Yes	
	any political campaign?	1a		X
D	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		<u> </u>
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or			
	distributed by the foundation in connection with the activities			v
	Did the foundation file Form 1120-POL for this year?  Enter the amount (of any) of tay on political expenditures (section 4055) improved during the year.	1c		X
u	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.  (1) On the foundation.   \$			
	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation			
-	managers. > \$ 0.	ŀ		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		х
•	If "Yes," attach a detailed description of the activities	-		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or			
•	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		Х
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
	If "Yes," has it filed a tax return on Form 990-T for this year?  N/A	4b		
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		Х
	If "Yes," attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law			
	remain in the governing instrument?	6		<u> </u>
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)	_		
	CT	_		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)	ĺ		
	of each state as required by General Instruction G? If "No," attach explanation	8b	Х	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar			
	year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X
	F	orm <b>990</b>	-PF	(2013)

Part.VII-A Statements Regarding Activities (continued)  11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  12 X	orm	990-PF(2013) Edward E. Stone Foundation 20-	8147488	}	Page 5
section 512(b) (13)? If Yes, attach schedule (see instructions)  1	Pa	art.VII-A Statements Regarding Activities (continued)			
12 Did the foundation make a distribution to a dionar advised fund over which the foundation or a disqualitied person had advisory privileges?  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  With sea address ► N/A  The books are in care of ► HRG Foundation Services  10 Telephone no. ► (203) 6556-5500  Located at № 23 Old Kings Highway South, Darien, CT  10 Section 4947(91) noneaming charinable trusts thing form 99PF in their of Form 1041-check here and enter the amount of tis-exempt interest received or accrued during the year  11 Alany intending calendary war 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  12 Section 4947(91) noneaming charinab trusts thing form 10 Pio-22.1. If Yes, enter the name of the foreign  13 Alany intending calendary war 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  13 Alany intending calendary war 2013, did the foundation have an interest in or a signature or other attending to the foreign country?  14 Alany intending calendary war 2013, did the foundation have an interest in or a signature or other attending to the foreign country?  15 In Financial many calendary war 2013, did the foundation have an interest in or a signature or other the interest in or a signature or other analysis.  15 During the year did the foundation (either directly or indirectly):  16 During the year did the foundation (either directly or indirectly):  17 Englem the seal or exchange, or leasning of property with a disqualitied person?  18 Erom 4720 If any item its checked in the "Yes" column, unless an exception applies.  19 During the year of the little of the directly or indirectly:  19 Financial many and the seal or exchange, or leasning of property with a disqualitied person?  19 Erom 4720 in a directly or indirectly in a d	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
If Yes, attach statement (see instructions)  If Did the forundation comply with the public inspection requirements for its annual returns and exemption application?  Website address  \( \backsite \) N/A  If The books are in care of \( \backsite \) HHG Foundation Services  Located at \( \backsite \) 23 Old Kings Highway South, Darien, CT  Section 491/(9)(1) noneempt characteristic times the form 990-PF in the of Ferm 141-Check here and reter the amount of the x-emptine interest received on accrued during the year  16. At any time during calendar year 2013, do the foundation have any interest into a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and faling requirements for Form 10 F 90-22.1. If Yes, enter the name of the foreign scentify:  Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  19 Borrow money from, lend money (or, leading of property with a dequalited person?  20 Borrow money from, lend money (or, or between of property with a dequalited person?  21 Borrow money from, lend money (or, or between extended red to (or accept in term) a dequalited person?  22 Borrow money from, lend money (or, or between extended to (as occupit them from) a dequalited person?  23 Formation of secretary in the financial country of the foundation agreet to read a claquillating person?  24 For yes \( \bar{\bar{\bar{\bar{\bar{\bar{\bar{		section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
13   X	12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privilege	s?		
13   X		If "Yes," attach statement (see instructions)	12		X
14. The books are in care of ▶ HHG Foundation Services   Telephone no. ▶ (203) 656-5500   Located at ▶ 23 Old Kings Highway South, Darien, CT   ZIP44 ▶ 06820   To Section 4947(2)(1) nonexempt charitable trusts thing form 950-PF in the cof Farm 1041 - Check here and eater the amount of tax-exempt interest received or accrued during the year   A flat you from funding calendar year 2013, duth the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and fringing requirements for Form 10 F 90-22.1. If Yes, "enter the name of the foreign country.   See the instructions for exceptions and fringing requirements for Form 10 F 90-22.1. If Yes, "enter the name of the foreign country.   Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  10 In only the year did the foundation (either directly or indirectly);  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   X   No    (2) Borrow money from, lend money to, or otherwise extend credit to (or accept in from)	13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Located at \$\sum23 \cdot \leq Kings Highway South, Darien CT		Website address ► N/A			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 994-PF in Neu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year  16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and fining requirements for Form 10F 90-22.1. If "Yes," enter the name of the foreign country.  Peart VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  10 During the year did the foundation (either directly or indirectly);  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, end money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses oi, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' afthe foundation argies to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  I any answers 'Yes' to 14(1)-60, did any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  If Yes, list the years Person (1) (A), did any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  If Yes, is the year see instructions):  It is the provisions of section 4942(a)(2) are being applied to any of the years steed in 2a, list the years here.  Part All the end of ta	14	The books are in care of ► HHG Foundation Services  Telephone no. ► (2)	03) 656	<u> -55</u>	00
and enter the amount of tax-exempt interest received or accrued during the year  8 A any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,  8 or other financial account in a foreign country?  8 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If Yes, enter the name of the foreign country.  8 Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 During the year of the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept if from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assests to a disqualified person (or make any of either available for the benefit or use of a disqualified person) (from the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for a period after termination of government shrowing within 90 days, and the distribution of powernment and to or temptoy the official for a period after termination of powernment monouries regarding disaster assistance (see instructions)?  1 If Yes, I Is the years benefit or use of within the foundation and power power powers are section 4942(a)(2) or a family to distribute morne (section 4942(a)(2) and powers period (if applying section 4942(a)(2) (relating to incorrect		Located at ▶ 23 Old Kings Highway South, Darien, CT ZIP+4	<b>▶</b> 06820	)	
16. All any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, see the instructions for exceptions and filing requirements for Form 1D F 90-22.1. If Yes, enter the name of the foreign country. Part VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless and exception applies for ap	15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
Securities, or other financial account in a foreign country?  See the instructions for exceptions and fining requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country.  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  a disqualified person? or facilities to (or accept them from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the floundation agreed to make a grant to or to employ the official for a period after termination of government service, it termination given the service, it termination of government service, it termination given to a disqualified person?  (5) Agree to pay money or property to a government official? (Exception Check 'No' if the floundation agreed to make a grant to or to employ the official for a period after termination of government service, it termination of provide and the formation of provide acception of the case for the formation of the formation of defined in section 4942(a)(3) or the year		and enter the amount of tax-exempt interest received or accrued during the year	1		
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If Yes," enter the name of the foreign country  Part VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or lessing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person or yes   Yes   X   No   (6) Agnee to pay money or property to a government official? (Exception Check No* if the foundation argade to make a grant to or to employ the official for a period after termination of government service, it terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53-4941(d)-3 or in a current notice regarding disaster assistance check here  c) Did the foundation engage in a pror year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defended in section 4942(3) and 942(3) (1940) and 1940 (1940) a	16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
Part VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required		securities, or other financial account in a foreign country?	16		<u>X</u>
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 During they gar did the foundation (either directly or indirectly);  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Blornow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept item from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) Itany answers "Yes" to 14(1-6), did any of the acts fast to quality under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  7 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(3) or 4942(3)(3) or 4942(3)(3) or 4942(3)(3) or 4942(3)(3) or 4942(3)(3) or 4942(3)(2) or 4942(3)		See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign			
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year dut the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or lessing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furrish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, a fleriminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53-494 ((d)-3 or in a current notice regarding dissater assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  2 Taxes on failure to distribute incomes (esction 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(0)(3) or 4942(0)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Fis." list the years be a foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No' and attach statement - see instructions.)  b If yes, did it have excess business holdings in					
1a During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(g)-3 or in a current notice regarding disaster assistance cleek here  c Did the foundation engage in a prior year in any of the acts fear here any experiment of the stage of the tax year beginning in 2013?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If 'Yes,' list the years \_  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assests) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attact valuation assess to a section 4942(a)(2) are being applied to any of the year's listed on 2a, list the years here.  b If 'Yes,' did if have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified perso	Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 59.441(9)-3 or in a current notice regarding disaster assistance (see instructions)?  C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "es," list the years \bigche \int \bigche \int \bigche \int \bigche \int \bigche \int \bigche \int \bigche \bigch		· · · · · · · · · · · · · · · · · · ·		Yes	No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?    Yes	1a		_		
a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is 'Yes' to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  N/A  1b  Organizations relying on a current notice regarding disaster assistance (see instructions)?  If yes a very see to 1a(1)-(6), did any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  1c  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If Yes, Its the years  At the end of tax year solities did in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  If Yes, Idd if have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by			No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes X No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes X No (6) Agree to pay money or property to a government official? (Exception Check No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.494 1(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(0)(3) or 4942(0)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," ist the years \[ \]  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement -see instructions.)  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  A Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  A Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  A Did the foundation hold more th			_		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b (if any nawser is 'Yes' to Lidy)-(6), did any of the acts tall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5);  A Ithe end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If 'Yes,' list the years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assests) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement' - see instructions.)  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  A Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  2 In the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  A Did the foundatio			_		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Ses' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  before the first day of the tax year beginning in 2013?  1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," list the years Isled in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  All the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  All the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  All the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  All the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  All the foundation invest during the year any amount in a manner t					
for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(0)-3 or in a current notice regarding disaster assistance (see instructions)?  N/A  1b  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  1c  X  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i))(3) or 4942(i))(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If 'Yes,' list the years    b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  N/A  2b  If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by giff or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  N/A  4a Did the foundation invest during the year any amount in a manner that would geopardize its chari			J No ∣		
(6) Agree to pay money or property to a government official? (Exception Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  N/A  To the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If 'Yes,' list the years b  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  N/A  2b  If Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  N/A  4a Did the foundation invest during the year any amount in a manner that would popardize its charitable purposes?  b Did the foundation invest during the year an			_		
If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  In If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  N/A  2b  If Yes, "did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  N/A  4a Did the foundation invest during the year any amount in a manner that would popardize its charitable purpose that had not been removed from geopardy before the lirist day of the tax yea			」No		
termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," list the years   Yes   X   No    If "Yes," list the years   Yes   X   No    If "Yes," list the year's undistributed income? (If applying section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.    Yes   X   No    b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  N/A  1 Did the foundation invest during the year any amount in a manner that would peopardize its charitable purpose?  b Did the foundation invest during the year any amount in a manner that would peopardize its charitable purpose that had not been removed from peopardy before					
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(j)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," its the years \[ \subset \]  At the end of tax year slisted in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  If Yes, "did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  At Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purpose?  At A Did the foundation invest during the year any amount in			, l		
Section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  1c			∟ No		
Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5));  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," list the years \[ \]  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  N/A  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	b				
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," list the years \[ \subseteq \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			/A 1b		
before the first day of the tax year beginning in 2013?  Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," list the years \		· · · · · · · · · · · · · · · · · · ·			
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," list the years \	C				
defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," list the years \[ \] \	_		1c	-	<u>X</u>
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?  If "Yes," list the years \[ \]	2				
before 2013?  If "Yes," list the years   b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.					
If "Yes," list the years	а		٦		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.			7 NO		
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  ——————————————————————————————————	L				
statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	0				
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			/ 3   36		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  13b  14a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?			/ A   20	+	_
during the year?  b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  1	Ü	the provisions of section 4342(a)(2) are being applied to any of the years listed in 2a, list the years here.			
during the year?  b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  1	22	Did the foundation hold more than a 20% direct or indirect interact in any business enterprise at any time			
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  N/A  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?  4b X	Ja		7 No		
May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  N/A  Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?  4b  X			ן אוי		
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?  4b X	U	• • • • • • • • • • • • • • • • • • • •		1	
Form 4720, to determine if the foundation had excess business holdings in 2013.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?  4b X					
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4a X  b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?  4b X			/A   or		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?  4b X	A٠			+-	v
had not been removed from jeopardy before the first day of the tax year beginning in 2013?			48	+-	
	Ų		146	1	Y
		The second popular and more any or mo and your popularity in Earlor		0-PF	

orm 990-PF (2013) Edward E. Stone Foundati	on		<u> 20-81474</u>	188	Page 6
Part VII-B Statements Regarding Activities for Which I	orm 4720 May Be F	Required (contin	ued)		<del></del>
5a During the year did the foundation pay or incur any amount to:					
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	, ,,		es X No		
(2) Influence the outcome of any specific public election (see section 4955); o	r to carry on, directly or indire		(TE)		
any voter registration drive?	•		s X No	1	
(3) Provide a grant to an individual for travel, study, or other similar purposes		Y6	es X No	ľ	
(4) Provide a grant to an organization other than a charitable, etc., organizatio 509(a)(1), (2), or (3), or section 4940(d)(2)?	n described in section		es X No		
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or advertional nurneese, or f		ו מאובבו פּּ		
the prevention of cruelty to children or animals?	or educational purposes, or i		es X No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	fer the excentions described i		S LAL NU		
section 53.4945 or in a current notice regarding disaster assistance (see instru		ii ricgulations	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check h	•				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fi		ined			
expenditure responsibility for the grant?		/A 🗌 Ye	s No		
If "Yes," attach the statement required by Regulations section 53 494		.,			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to			ł		
a personal benefit contract?		Ye	s X No		
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?			6b	X
If "Yes" to 6b, file Form 8870					
7a At any time during the tax year, was the foundation a party to a prohibited tax s		Y6	es 🗶 No 📙		
b If "Yes," did the foundation receive any proceeds or have any net income attribu			N/A	7b	
Part VIII Information About Officers, Directors, Trust Paid Employees, and Contractors	ees, Foundation Ma	nagers, Highly	/		
List all officers, directors, trustees, foundation managers and their	compensation	···			
	(b) Title, and average	(c) Compensation	(d) Contributions to employee benefit plans	(e	) Expense
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation	acc	ount, other lowances
Edward E. Stone	Chairman/Trus	<del></del>	compensation	1 "	OWAIICCS
c/o HHG & Co - 23 Old Kings Highway S				ŀ	
Darien , CT 06820	17.00	42,600.	0.		0.
		,			
				}	
			-		
Compensation of five highest-paid employees (other than those inc	luded on line 1) If none	optor "NONE "			
. Compensation of the highest-paid employees (other than those mo	(b) Title, and average	enter NONE.	(d) Contributions to employee benefit plans	le.	) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred	acc	ount, other lowances
NONE	devoted to position		compensation	aı	OWATICES
NONE					
				<del>  -</del>	
		_			
			-		
					<del></del>
otal number of other employees paid over \$50,000	<u>.</u>		<u> </u>		0

Form **990-PF** (2013)

orm 990-PF (2013) Edward E. Stone Foundation  Part VIII Information About Officers Directors Trustees Foundation	20-81	47488 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	on Managers, riigniy	
3 Five highest-paid independent contractors for professional services. If none, enter "N	IONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
	<del>-</del>	
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A   Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical number of organizations and other beneficiaries served, conferences convened, research papers produce	I information such as the	Expenses
ı N/A		
2		
3		
Part IX-B   Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on line	s 1 and 2.	Amount
N/A		
		<del></del>
	V-244783	
All other program-related investments. See instructions.		
3		
	-10.	
T. A. A. A. H. L. L. L. C.		
Total. Add lines 1 through 3	<u> </u>	0.

Form **990-PF** (2013)

4940(e) reduction of tax in those years.

Part XIII	Undistributed	Income	(see instructions)
-----------	---------------	--------	--------------------

1 Distributable amount for 2013 from Part XI,	2013 282,160.
' I I I I I I I I I I I I I I I I I I I	282.160.
	282.160.
line 7	
2 Undistributed income, if any, as of the end of 2013	
a Enter amount for 2012 only	
b Total for prior years:	
3 Excess distributions carryover, if any, to 2013.	
a From 2008	
<b>b</b> From 2009	
¢ From 2010	
d From 2011	
e From 2012	
f Total of lines 3a through e O.	
4 Qualifying distributions for 2013 from	
Part XII, line 4: ▶\$ 142,105.	
a Applied to 2012, but not more than line 2a	
b Applied to undistributed income of prior	
years (Election required - see instructions)  Tracket described by the second of the s	
c Treated as distributions out of corpus	
(Election required - see instructions)  0.	140 105
	142,105.
e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2013  0 •	0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	<u> </u>
6 Enter the net total of each column as indicated below:	
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	
b Prior years' undistributed income. Subtract	
line 4b from line 2b	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  0.	
d Subtract line 6c from line 6b. Taxable	
amount - see instructions O .	
e Undistributed income for 2012. Subtract line	
4a from line 2a. Taxable amount - see instr.	
f Undistributed income for 2013. Subtract	
lines 4d and 5 from line 1. This amount must	
be distributed in 2014	140,055.
7 Amounts treated as distributions out of	
corpus to satisfy requirements imposed by	
section 170(b)(1)(F) or 4942(g)(3) 0 •	
8 Excess distributions carryover from 2008	
not applied on line 5 or line 7	
9 Excess distributions carryover to 2014.	
Subtract lines 7 and 8 from line 6a 0 .	
10 Analysis of line 9:	
a Excess from 2009	
b Excess from 2010	
c Excess from 2011	
d Excess from 2012	
e Excess from 2013	000-DE (2012)

Form 990-PF (2013) Edward	E. Stone Fo	undation		20-81	47488 Page
Part XIV Private Operating F			-A, question 9)	N/A	
1 a If the foundation has received a ruling o					
foundation, and the ruling is effective fo		=	▶	<del></del>	
<b>b</b> Check box to indicate whether the found	<del></del>	ng foundation described i		4942(j)(3) or49	42(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years	1	
income from Part I or the minimum	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
investment return from Part X for					
each year listed		<u></u>			
<b>b</b> 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not			-		
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
alternative test relied upon:  a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i) <b>b</b> "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info	rmation (Comple	ete this part only	if the foundatio	n had \$5.000 or me	ore in assets
at any time during t					
1 Information Regarding Foundation	n Managers:				
a List any managers of the foundation wh			ributions received by th	e foundation before the clo	se of any tax
year (but only if they have contributed r	nore than \$5,000). (See s	section 507(a)(2).)			
None					
<ul> <li>b List any managers of the foundation who other entity) of which the foundation ha</li> </ul>			or an equally large por	tion of the ownership of a p	artnership or
None					
2 Information Regarding Contribut	ion, Grant, Gift, Loan	, Scholarship, etc., Pr	ograms:		
Check here ▶ 🗶 if the foundation of	only makes contributions	to preselected charitable	organizations and does	not accept unsolicited requ	ests for funds. If
the foundation makes gifts, grants, etc.	(see instructions) to indi	viduals or organizations u	nder other conditions,	complete items 2a, b, c, and	d d.
a The name, address, and telephone num	ber or e-mail address of	the person to whom appli	cations should be addr	essed:	
b The form in which applications should to	pe submitted and informa	ation and materials they sl	nould include:		
c Any submission deadlines:					
d Any restrictions or limitations on award	s, such as by geographic	al areas, charitable fields,	kınds of institutions, or	other factors:	<u> </u>
			, -		
323601 10-10-13	-				Form <b>990-PF</b> (2)

Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, Foundation Purpose of grant or show any relationship to Amount status of contribution any foundation manager Name and address (home or business) or substantial contributor recipient a Paid during the year Fairfield County Day School None School Educational Enrichment 2970 Bronson Road Fairfield, CT 06824 100,000. Positive Directions None 509(a) Substance Recovery 420 Post Road West Program Westport, CT 06880 10,000. Education Success Network 509(a) Educational Enrichment None 862 Haight St., #4 San Francisco, CA 94117 5,000. Total ▶ 3a 115,000. **b** Approved for future payment None Total

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated	business income		ed by section 512, 513, or 514	(e)
-	(a) Business	(b) Amount	(C) Exclu- sion	(d) Amount	Related or exempt function income
1 Program service revenue:	code		code	Amount	Tunction income
a	<b> </b>			<u>-</u> .	
b		<del></del>			
<u> </u>		·			
0	<del> </del>	•		···	
e		· · · · · · · · · · · · · · · · · · ·		-	
g Fees and contracts from government agencies					
g Fees and contracts from government agencies  2 Membership dues and assessments					
·	+				
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities	1		14	112,851.	
5 Net rental income or (loss) from real estate:	1		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	112,031.	
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal	-				**
property					
7 Other investment income			18	<2,500.>	
8 Gain or (loss) from sales of assets other					
than inventory	1 1		18	116,564.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					· · · · ·
11 Other revenue:					
a					
b					
c					
d		<del></del>			
e					
12 Subtotal. Add columns (b), (d), and (e)		(	).	226,915.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	
(See worksheet in line 13 instructions to verify calculations.)					

Part XVI-B	Relationship of	Activities to the	Accomplishment of	f Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
	<u> </u>

323621 10-10-13

Form **990-PF** (2013)

Sign Here	and be	elief, it is tree, correct, and complete Declaration	of preparer (other than taxpayer) is based on all info	ormation of which prepare		May the IRS discuss this return with the preparer shown below (see instr.)?  X Yes N
Paid		Print/Type preparer's name Thomas W. Hynes	Preparer's signature	Date <b>5.11.14</b>	self- employed	TIN P00019525
Prepa Use C		Firm's name ► HHG & Comp	any, LLC		Firm's EIN ► 06	-1414579
		Firm's address ▶ P.O. Box Darien. C			Phone no. 203	-656-5500

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge

Form **990-PF** (2013)

Part IV   Capital Gains and Lo	sses for Tax on Investment Income			
	d describe the kind(s) of property sold		(b) How acquired P - Purchase	(a) bato acquired (a) bato cold
	rick warehouse; or common stock, 20	00 shs. MLC Co.	D - Donation	(mo., day, yr.) (mo, day, yr.)
1a 20 3M COMPANY			P	11/03/08 11/25/1
b 30 3M COMPANY			P	11/03/08 11/19/1
c 20 3M COMPANY			P	11/03/0810/22/1
d 20 ABBOTT LAB	-		P	03/23/1012/24/1
e 140 ABBOTT LAB			P	02/03/1012/24/1
f 60 ABBOTT LAB			P	11/03/0812/24/1
g 20 ABBOTT LAB			P	11/03/0812/03/1
h 50 ABBOTT LAB			P	03/22/1310/22/1
1 50 ABBOTT LAB	<del></del>		P	01/22/1310/22/1
220 ABBVIE INC			P	03/23/1001/22/1
k 140 ABBVIE INC	<del></del>	***************************************	P	02/03/1001/22/1
110 ABBVIE INC			P	07/28/0901/22/1
m 80 ABBVIE INC			P	11/03/0801/22/1
n 40 ADOBE SYS			P	09/24/1011/05/1
0 80 ADOBE SYS			P	
0 60 ADOBE 515	(A.D.)	(10 t t t	<del></del>	03/25/0911/05/1
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		) Gain or (loss) blus (f) minus (g)
a 2,616.		1,279.		1,337
b 3,896.		1,918.		1,978
c 2,459.		1,279.		1,180
d 764.		519.	•	245
e 5,351.		3,659.	<del> </del>	1,692
f 2,293.		1,613.		680
g 747.		538.		209
h 1,834.		1,679.		155
1,834.		1,634.	<del></del>	200
8,069.		6,192.		1,877
k 5,135.		3,968.		
				1,167
<u>1</u> 4,034.		2,583.		1,451
m 2,934.		2,333.	<del></del>	601
n 2,195.		1,063.		1,132
o 4,391.	Company and the state of the st	1,772.		2,619
(i) F.M.V. as of 12/31/69	ng gain in column (h) and owned by the column (j) Adjusted basis as of 12/31/69	(k) Excess of col. (I)	Gains (excess	sses (from col. (h)) of col. (h) gain over col. (k), not less than "-0-")
	as 01 12/3 1/03	over col. (j), if any		4 225
<u>a</u>				1,337
<u>b</u>				1,978
C				1,180
d			_	245
e				1,692
<u>f</u>				680
9				209
h				155
1				200
				1,877
k				1,167
1				1,451
m				601
n				1,132
0				2,619
·				2,013
2 Capital gain net income or (net ca	apital loss) { If gain, also enter If (loss), enter "-0-	ın Part I, line 7 -" ın Part I, line 7	2	
3 Net short-term capital gain or (los	ss) as defined in sections 1222(5) and	d (6):		
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	column (c).	}		
ii (1055), citter -U- III Fart I, III e	<u>,                                      </u>		<b>3</b>	

323591 05-01-13

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

(a) Lest and describe the kind(s) of property soft, e.g., real estate, by the property soft, e.g., real estate, property soft, e.g.	<u>Edward E. Stone E</u>	<u>foundation</u>	20-81	<u>47488</u>	Page	<u>3 of</u>	28
2- Service   P- Purchase   P	Part IV   Capital Gains and Los	ses for Tax on Investment Income				_	
2-6 MTCROSOFT					ahaaa Ilu) Dala au		
b 274 MICROSOFT P 11/03/08/06/04/10 do NNICOM GR P 04/13/11/02/2/1 d 30 ONNICOM GR P 04/13/11/02/2/1 d 30 ONNICOM GR P 04/13/11/02/2/1 d 30 ONNICOM GR P 05/22/13/12/03/1 d 40 ORACLE COR P 05/22/13/12/03/1 d 50 ORACLE COR P 11/02/11/12/09/06/1 d 50 ORACLE COR P 11/03/08/12/20/1 d 120 PROCTER & P 11/03/08/12/24/1 d 130 PROCTER & P 11/03/08/12/24/1 d 130 PROCTER & P 11/03/08/11/19/1 d 130 PROCTER & P 11/03/08/16/04/1 d 130 PROCTER & P	2-story bri	ck warehouse; or common stock, 20	00 shs. MLC Co.	D - Dor	nation (mo., day,	, yr.) (mo., d	ay, yr.)
6 40 NTKE INC C         P 04/13/11\10/22/1           4 30 OMNICOM GR         P 3/22/13/13\12/03/1           e 50 OMNICOM GR         P 11/02/11\12/03/1           g 50 ORACLE COR         P 11/22/12/03/1           g 50 ORACLE COR         P 11/22/12/09/06/1           h 50 ORACLE COR         P 11/03/08\12/25/1           1 30 PROCTER & P 11/03/08\11/25/1         P 11/03/08\11/25/1           4 60 PROCTER & P 11/03/08\11/25/1         P 11/03/08\11/25/1           6 0 PROCTER & P 11/03/08\11/25/1         P 11/03/08\11/25/1           m 6 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 6 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 6 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 6 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 7 9 7 16	1a 26 MICROSOFT			]	P 07/28	/0906/0	4/13
6 40 NTKE INC C         P 04/13/11\10/22/1           4 30 OMNICOM GR         P 3/22/13/13\12/03/1           e 50 OMNICOM GR         P 11/02/11\12/03/1           g 50 ORACLE COR         P 11/22/12/03/1           g 50 ORACLE COR         P 11/22/12/09/06/1           h 50 ORACLE COR         P 11/03/08\12/25/1           1 30 PROCTER & P 11/03/08\11/25/1         P 11/03/08\11/25/1           4 60 PROCTER & P 11/03/08\11/25/1         P 11/03/08\11/25/1           6 0 PROCTER & P 11/03/08\11/25/1         P 11/03/08\11/25/1           m 6 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 6 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 6 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 6 7 PROCTER & P 11/03/08\11/25/1         P 06/25/10\12/203/1           m 7 9 7 16	b 274 MICROSOFT			]	P 11/03	/0806/0	4/13
d 30 ONNTCOM GR				]			
e 50 ONNICOM CR	d 30 OMNICOM GR						
4 O CRACLE COR							
g 50 ORACLE COR					<u> </u>		
n 50 ORACLE COR 20 PROCTER & P 11/03/0812/24/1  1 30 PROCTER & P 11/03/0812/24/1  1 30 PROCTER & P 11/03/0812/24/1  1 33 PROCTER & P 11/03/0811/19/1  1 33 PROCTER & P 11/03/08101/19/1  1 30 PROCTER & P 11/03/08101/19/1  1 30 PROCTER & P 11/03/08101/19/1  1 30 PROCTER & P 11/03/08101/19/1  20 UNITED PAR P 1 1/03/08101/19/1  20 UNITED PAR P 1 1/03/08106/04/1  20 20 UNITED PAR P 1/03/08106/04/1  20 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3							
12 O PROCTER &   P   11/03/08 12/24/1   33 PROCTER &   P   11/03/08 11/25/1   33 PROCTER &   P   11/03/08 11/25/1   33 PROCTER &   P   04/27/12 06/04/1   33 PROCTER &   P   04/27/12 06/04/1   20 T ROWE PRI   P   06/25/10 12/03/1   P   07/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/03/12 12/0			· · · · · · · · · · · · · · · · · · ·				
30 PROCTER &   P   11/03/08 1/25/1     33 PROCTER &   P   11/03/08 1/19/1     31 PROCTER &   P   11/03/08 1/19/1     32 PROCTER &   P   04/27/12 06/04/1     30 PROCTER &   P   06/25/10 12/03/1     20 T ROWE PRI   P   06/25/10 12/03/1     (e) Gross sales price   (f) Depreciation allowed (ox allowable)   (g) Cost or other basis (e) plus (f) munis (g)     922							
R 60 PROCTER & P   11/03/08 1/19/1					<del></del>		
33 PROCTER &   P 04/27/1206/04/1     67 PROCTER &   P 11/03/0806/04/1     67 PROCTER &   P 11/03/0806/04/1     68 TOSS sales price   (f) Deprecation allowed (or allowable)   P 06/25/1012(03/1     69 FOSS sales price   (f) Deprecation allowed (or allowable)   P 07/03/1212(03/1     60 PROCTER &   P 07/03/1212(03/1     70 PROCTER &   P 06/25/1012(03/1     80 PROCTER &   P 06/25/1012(03/1     9 PROCTER &   P 06/25/1012(03/1     10 PROCTER &   P 00/25/1012(03/1     10 PROCTER &   P 00/25/1012(							
Mathematical Complete   P   1,103/0806/04/1   P   06/25/1012/03/1   P   06/25/1012/03/1   P   07/03/1212/03/1   P   07/03/1212/03/			<del></del>				
n 20 TROWE PRI (n) Depreciation allowed (e) Gross sales price (from allowable) (plus expense of sale (plus expense of sale (p) plus (p) minus (p) (p) sale of (p) plus (p	·			<del></del>			
Capital gain net income or (net capital loss)   P   07/03/12 12/03/13     (e) Gross sales price   (f) Deprecation allowed (or allowable)   (g) Cost or other basis plus expense of sale (e) plus (f) minus (n)							
(e) Gross sales price					<u> </u>		
Section   Sect	0 20 UNITED PAR						<u>3/13</u>
9 9 7 16 .	(e) Gross sales price						
9 9,716. 6,208. 3,508.	a 922.	, ,	· · · · · · · · · · · · · · · · · · ·		(-) (		324.
3,038.							
1							
3,535. 2,197. 1,388 1,1112. 277 1,1598. 1,610. <12 1,598. 1,620. 1,286. 334 2,2554. 1,928. 622 3,5551. 3,857. 1,194 2,575. 2,152. 422 1,593. 933. 660 2,036. 1,593. 933. 660 3,169. (i) Adjusted basis as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (iii) Adjusted basis as of 12/31/			1 766.				355.
1			2 197		· ···		
1,598. 1,610. <12 1,598. 1,390. 208 1,598. 1,390. 208 1,620. 1,286. 334 2,554. 1,928. 626 5,051. 3,857. 1,194 2,575. 2,152. 423 1,593. 933. 666 2,036. 1,593. 933. 666 2,036. 1,593. 636. 1,595. 656. (i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "0-")  (i) F.M.V. as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (iii) Adjusted basis as of 12/31/69 (iii) Adjusted basis as of 12/31/69 (iii) Adjusted basis as of 12/31/69 (iv) Excess of col. (i) over col. (i), if any as of 12/31/69 (iii) Adjusted basis as of 12/31/69 (iv) Excess of col. (iii) Gains (excess of col. (h) gain over col. (k), but not less than "0-")  3,506 3							
1,598. 1,390. 208 1,620. 1,286. 334 2,554. 1,928. 628 5,051. 3,857. 1,194 2,575. 2,152. 423 1,593. 933. 666 5,2,036. 1,579. 2,152. 435 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 666 6,15,031. 933. 933. 666 6,15,031. 933. 933. 666 6,15,031. 933. 933. 666 6,15,031. 933. 933. 933. 933. 933. 933. 933. 9	1 500						
1,620. 1,286. 334 2,554. 1,928. 626 5,051. 3,857. 1,194 2,575. 2,152. 423 1,593. 933. 666 2,036. 1,579. 457 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F.M.V. as of 12/31/69 (i) Adjusted basis as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (iii) Excess of col. (i) over col. (ii), if any (iii) Gains (excess of col. (h) gain over col. (k), but not less than *-0-*)  3,500			1,010.				
1,928   626   62							
1,194							
2 , 575 . 2 , 152 . 423 . 5 , 229 . 4 , 307 . 922 . 1593 . 660 . 2 , 036 . 1 , 593 . 50 . 2 , 036 . 1 , 593 . 660 . 2 , 036 . 1 , 593 . 660 . 2 , 036 . 6 . 1 , 593 . 660 . 6 . 6 . 6 . 6 . 6 . 6 . 6 . 6 .							
1, 5, 229.							
1,593. 933. 660 2,036. 1,579. 455  Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F.M.V. as of 12/31/69 (ii) F.M.V. as of 12/31/69 (iii) F.M.V. as of 12/31/69 (iii) F.M.V. as of 12/31/69 (iii) F.M.V. as of 12/31/69 (iiii) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") Gains (excess of col. (h) gain over col. (k), but not less than "-0-") (iiii) F.M.V. as of 12/31/69 (iii) F.M.V. as of 12				• • •			
2, 036.							922.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  (i) F.M.V. as of 12/31/69  (j) Adjusted basis as of 12/31/69  (k) Excess of co. (i) over col. (j), if any  324  3, 508  1, 473  355  377  388  399  399  399  399  399  399							
(i) F.M.V. as of 12/31/69  (ii) Adjusted basis as of 12/31/69  (iii) Adjusted basis as of 12/31/69  (iv) Excess of col. (iv) over col. (iv), if any  (iv) F.M.V. as of 12/31/69  (iv) Excess of col. (iv) but not less than *-0-*)  3 24  3 , 508  3 , 508  1 , 471  3 55  3 1 , 338  4 1							457.
(i) F.M.V. as of 12/31/69	Complete only for assets showing			0	(I) Losses (from co	ol. (h))	
324 3	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		Gaills (	but not less than	"-0-")	
3,508 3,508	a		7				324.
1, 471 355 3 1, 336 3 1, 336 3 1, 336 3 277 3 3 4 206 3 3 4 4 2 3 4 2 3 4 2 3 4 2 3 4 2 3 4 2 3 4 5 7 4 5 7 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	<u> </u>		<del></del>				
355 3							
1, 338 277 2							355.
277 2	<u>,                                     </u>						
Capital gain net income or (net capital loss)   If gain, also enter in Part I, line 7   2	,						
208 334 334 626 1,194 1,194 1,194 1,194 1,194 1,195 1,194 1,195 1,		-					
334 626 1,194 1,19	1						
Capital gain net income or (net capital loss)  (If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 7 If gain, also enter in Part I, line 8, column (c).							
1, 194 423 n 922 Capital gain net income or (net capital loss) {   f gain, also enter in Part I, line 7   1   2   2   3   4   5   7   3   4   5   7   3   4   5   7   3   6   6   6   6   6   6   6   6   6	<u> </u>						
423 922 10 922 1				-			
9 22  6 6 0  Capital gain net income or (net capital loss) {   If gain, also enter in Part I, line 7   1   1   1   1   1   1   1   1   1	(						
Capital gain net income or (net capital loss)  (If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7   Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  If gain, also enter in Part I, line 8, column (c).							423.
Capital gain net income or (net capital loss)  { If gain, also enter in Part I, line 7   2  Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  If gain, also enter in Part I, line 8, column (c).	n		·				922.
Capital gain net income or (net capital loss)  {   If gain, also enter in Part I, line 7   2	1						660.
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	0				-		457.
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	Capital dain net income or (net car	ortal loss) [ If gain, also enter	in Part I, line 7	,			
If gain, also enter in Part I, line 8, column (c).					<del></del>		
	If gain, also enter in Part I, line 8, o	column (c).	a (o):				

If (loss), enter "-0-" in Part I, line 8

If (loss), enter "-0-" in Part I, line 8

	ses for Tax on Investment Income			
	describe the kind(s) of property solo ck warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 7 ASML HOLDI			P	07/05/1310/15/13
b 18 ASSA ABLOY		•	P	11/23/1009/27/13
c 5 ASSA ABLOY			P	11/23/10/09/27/13
d 2 ASSA ABLOY			P	11/23/1009/26/13
e 22 ASSA ABLOY			P	11/22/1009/26/13
1 13 ASSA ABLOY			P	11/22/1009/25/13
g 14 ASSA ABLOY				11/22/1007/22/13
h 13 ASSA ABLOY			P	01/21/1107/19/13
7 ASSA ABLOY			P	11/22/1007/19/13
4 BAIDU INC			P	04/25/1210/30/13
k 110 BG GROUP A			P	07/13/0901/03/13
21 BG GROUP A			P	07/02/09/01/03/13
m 53 BG GROUP A			P	07/02/09/01/02/13
n 8 BURBERRY G			P	11/29/12/09/13/13
o 4 BURBERRY G			P	11/28/1209/13/13
	(f) Depreciation allowed	(g) Cost or other basis		) Gain or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale		olus (f) minus (g)
a 664.		577.		87.
b 394.		240.		154.
c 114.		67.	- 4-	47.
d 45.		27.		18.
e 492.		300.		192.
f 288.		177.		111.
g 296.		191.		105.
h 278.		178.		100.
150.		95.		55.
644.		538.		106.
k 1,803.		1,770.		33.
1 344.		359.		<15.>
m 873.		907.		<34.>
n 396.		346.		50.
0 198.		165.		33.
(i) F.M.V. as of 12/31/69	g gain in column (h) and owned by t (j) Adjusted basis	(k) Excess of col. (i)	Gains (excess of	sses (from col. (h)) of col. (h) gain over col. (k), iot less than "-0-")
a	as of 12/31/69	over col. (j), if any	- Out 11	87.
b				154.
C		**		47.
d				18.
e				192.
f				111.
g				105.
h				100.
1	<del>-</del>			55.
<u>'</u>				106.
J k				33.
1				
m			·	<34.>
				50.
<u>n</u>	<del></del>		···	33.
0	If one also enter	un Dort I. line 7		33.
2 Capital gain net income or (net cap	t ii (1000); tilloi 0	, I	2	
3 Net short-term capital gain or (loss if gain, also enter in Part I, line 8, c if (loss), enter "-0-" in Part I, line 8		d (6):	3	

Part IV Capital Gains and Los	sses for Tax on Investment Income	20-81	± / ±00	Page 6 01 28
· (a) List and	describe the kind(s) of property solo ick warehouse; or common stock, 20		(b) How acq	uired (c) Date acquired (d) Date sold (mo., day, yr.)
1a 3 CANADIAN N			D - Donati	09/27/0712/04/13
b 7 CARNIVAL C			P	01/13/1012/19/13
c 9 CARNIVAL C			P	04/07/0812/19/13
d 26 CARNIVAL C			P	04/07/0809/25/13
e 16 CARNIVAL C			P	04/07/0802/13/13
1 2 CARNIVAL C			P	06/01/0702/13/13
g 6 CHECK POIN			P	12/21/1104/30/13
h 10 CHECK POIN			P	05/24/1204/29/13
10 CHECK POIN			P	12/21/1104/29/13
_ 6 CHECK POIN			P	05/24/1204/26/13
k 15 CHECK POIN			P	11/17/1104/26/13
2 CHECK POIN			P	11/09/1104/26/13
m 15 CHECK POIN	·····		P	11/09/1104/25/13
n 2 CNOOC LIMI			P	10/05/09/08/27/13
o 2 CNOOC LIMI			P	10/05/09/08/26/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)
a 159.		86.		73.
b 257.		240.		17.
c 331. d 833.		380. 1,097.	-	<49.> <264.>
F 0.4		675.		<204.> <84.>
e 591.		102.		<28.>
g 268.		311.		<43.>
h 451.		532.		<81.>
1 451.		519.		<68.>
269.		319.		<50.>
k 671.		824.		<153.>
90.		115.	•	<25.>
m 669.		865.		<u>&lt;196.</u> >
n 374.		275.		99.
0 384.		275.		109.
(i) F.M.V. as of 12/31/69	g gain in column (h) and owned by t (j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (exc	l) Losses (from col. (h)) cess of col. (h) gain over col. (k), but not less than "-0-")
2	40 01 12/0 1/00	(1),, 11 211.3		73.
a b				17.
C				<49.>
d	1-			<264.>
e				<84.>
f				<28.>
g				<43.>
h				<u> </u>
1				<68.>
1				<u>&lt;50.</u> >
<u>k</u>				< <u>153.</u> >
1				<u>&lt;25.</u> >
<u>m</u>				< <u>196.</u> >
<u>n</u>				99. 109.
0				109.
2 Capital gain net income or (net ca	· · · · · · · · · · · · · · · · · · ·	I-* in Part I, line 7	2	
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line 8		d (6):	3	
			_ <del>-</del>	

Part IV   Capital Gains and Los	ses for Tax on Investment Income			<u>uge / 01 20</u>
	describe the kind(s) of property solo ick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 2 CNOOC LIMI			P	10/05/0908/23/13
b 2 CNOOC LIMI			P	10/05/0908/22/13
c 3 CNOOC LIMI			P	10/05/0907/30/13
d 4 CNOOC LIMI			P	10/05/0907/15/13
e 5 CNOOC LIMI		-	P	05/20/1005/21/13
f 1 CNOOC LIMI			P	10/05/0905/21/13
g 4 DASSAULT S			P	01/29/1310/16/13
h 2 DASSAULT S			P	01/25/0810/16/13
3 DASSAULT S			P	01/29/1310/15/13
16 ELEKTA UNS			P	03/07/1311/07/13
k 7 ELEKTA UNS			P	03/07/1311/06/13
10 ELEKTA UNS			P	01/29/1311/06/13
m 42 ELEKTA UNS			P	01/24/1311/06/13
n 33 ELEKTA UNS			P	12/07/1211/06/13
o 2 ELEKTA UNS			P	12/07/1211/05/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h (e) (	) Gain or (loss) olus (f) minus (g)
a 385.		275.		110.
b 382.		275.		107.
c 523.		412.		111.
d 683.		549.		134.
e 921.		772.		149.
f 184.		137.		47.
g 435.		458.		<23.>
h 217.		106.		111.
320.		343.		<23.>
, 221.		240.		<19.>
k 100.		105.		<5.>
143.		152.		<9.>
m 599.		637.		<38.>
n 471.		508.		<37.>
0 28.		31.		<3.>
Complete only for assets showin	g gain in column (h) and owned by t		(I) Lo	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")
a				110.
b				107.
С				111.
d				134.
e				149.
<u>f</u>				47.
<u>g</u>				
<u>h</u>				111.
1				<23.>
1				<19.>
k				<5.>
1				<9.>
m				<38.>
n				<37.>
0				<3.>
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter *-0-	in Part I, line 7 in Part I, line 7	2	
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	s) as defined in sections 1222(5) and column (c).	d (6):	3	

	sses for Tax on Investment Income		17400 1	age 0 OI 20
• (a) List and	d describe the kind(s) of property solution describe the kind(s) of property solution stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 25 ELEKTA UNS	<del></del>		P	12/07/1211/04/13
b 6 ELEKTA UNS			P	12/10/1211/01/13
c 1 ELEKTA UNS			P	12/07/1211/01/13
d 12 ELEKTA UNS			P	01/30/1310/31/13
e 31 ELEKTA UNS			P	12/10/1210/31/13
1 12 ELEKTA UNS			P	01/30/1310/30/13
g 8 ELEKTA UNS			P	12/12/12/07/29/13
h 6 ELEKTA UNS			P	12/11/1207/29/13
1 7 ELEKTA UNS			P	12/12/12/07/26/13
J 20 ELEKTA UNS			P	12/12/12/07/25/13
k 11 ELEKTA UNS			P	01/29/1307/24/13
14 ELEKTA UNS			P	12/12/1207/24/13
m 13 ELEKTA UNS			P	12/11/12/07/24/13
n 21 EMBRAER S			P	07/26/12/10/30/13
o 4 EMBRAER S		·	P	08/09/1104/16/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		n) Gain or (loss) plus (f) minus (g)
a 346.		385.		<39.>
<u>b</u> 83.		93.		<10.>
<u>c</u> 14.		15.		<u> </u>
d 172.		186.		<u> </u>
e 445.		479.		<u> </u>
f 171.		186.		<u> &lt;15.</u> >
g 130.		127.		3.
h 97.		94.	<del></del>	3.
113. 325.		111.		2.
100		318. 175.		7.
$\frac{k}{1}$ 237.		223.		11. 14.
m 220.		211.		9.
n 623.		532.	· · · · · · · · · · · · · · · · · · ·	91.
0 128.		89.		39.
	ng gain in column (h) and owned by t		(N) Lo	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")
a				<39.>
<u>b</u>				<10.>
С				<u> </u>
<u>d</u>				<u> </u>
<u>e</u>				
f				<u> &lt;15.</u> >
9				3.
<u>h</u>			<u> </u>	<u>3.</u>
1				2.
<del>!</del>				7.
K				11.
<u> </u>				14.
m o				9.
<u>n</u>				91.
0				39.
2 Capital gain net income or (net ca	apital loss) { If gain, also enter -0	ın Part I, line 7 -" ın Part I, line 7	2	<del></del>
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8,	column (c).	d (6):		
If (loss), enter "-0-" in Part I, fine 8	<u> </u>		3	

Edward E. Stone Foundation

The state	ses for Tax on Investment Income	20 01	17400 1	age JOI 20
	describe the kind(s) of property sol ck warehouse; or common stock, 2		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 4 EMBRAER S			P	08/09/1104/15/13
b 5 EMBRAER S			P	08/09/1104/12/13
c 6 EMBRAER S			P	05/22/0704/12/13
d 10 EMBRAER S			P	05/22/0702/27/13
e 5 EMBRAER S		·	P	05/22/0702/26/13
f 11 EMBRAER S			P	05/22/0701/24/13
g 40 FLSMIDTH &			P	08/26/0901/08/13
h 146 FLSMIDTH &			P	08/26/0901/07/13
52 FLSMIDTH &			P	09/10/0901/04/13
66 FLSMIDTH &			P	08/26/0901/04/13
k 95 FLSMIDTH &			P	09/10/0901/03/13
13 FLSMIDTH &			P	09/10/0901/02/13
m 78 HANG LUNG			P	08/18/0810/17/13
n 26 HANG LUNG			P	08/18/0810/08/13
o 1 HANG LUNG			P	08/18/0809/30/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		) Gain or (loss) blus (f) minus (g)
a 129.	·	89.		40.
b 174.		112.		62.
c 209.		62.		147.
d 329.		104.		225.
e 156.		52.		104.
f 335.	<u> </u>	114.	····	221.
g 226.		217.		9.
h 842.		793.		49.
1 297.		310.		<13.>
377.		359.		18.
k 543.		566.		<23.>
73.		77.		<4.>
m 1,260.		1,176.		84.
n <b>417.</b>		392.		25.
0 16.		15.	<del></del>	1.
Complete only for assets showing	g gain in column (h) and owned by	the foundation on 12/31/69	(I) Lo	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")
a				40.
b				62.
С				147.
d				225.
e				104.
f				221.
g	<u> </u>			9.
h				49.
ı				<13.>
1				18.
k				<23.>
1				<4.>
m				84.
n				25.
0_				1.
Capital gain net income or (net cap	oital loss) {   If gain, also enter   -(	in Part I, line 7	2	
3 Net short-term capital gain or (loss if gain, also enter in Part I, line 8, c	s) as defined in sections 1222(5) ar column (c).			
If (loss), enter "-0-" in Part I, line 8		J_	3	

Part IV   Capital Gains and Losses for Tax on Investment Income					
• (a) List and 2-story b	d describe the kind(s) of property sol rick warehouse; or common stock, 2	d, e.g., real estate, 00 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)	
1a 9 HANG LUNG			P	08/18/08/09/27/13	
b 2 HANG LUNG			P	08/18/0809/26/13	
c 5 HANG LUNG			P	08/18/0809/24/13	
d 9 HANG LUNG			P	08/18/0809/23/13	
e 14 HANG LUNG			P	08/18/08/09/19/13	
f 3 HANG LUNG			P	10/05/1209/18/13	
g 2 HANG LUNG			P	08/18/0809/18/13	
h 14 HANG LUNG			P	10/05/1209/17/13	
10 HANG LUNG			P	10/05/1209/10/13	
3 HANG LUNG			P	10/05/1209/09/13	
k 47 HENNES & M		· .	P	07/28/0812/16/13	
1116 HENNES & M	I		P	12/22/0910/15/13	
m 19 HENNES & M			P	07/28/0810/15/13	
n 78 HENNES & M			P	12/22/0910/14/13	
0 12 HSBC HOLDI			P	12/14/1212/04/13	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		n) Gain or (loss) plus (f) minus (g)	
a 145.		136.		9.	
b 32.		30.		2.	
c 81.		75.		6.	
d 148.		136.		12.	
e 226.		211.		15.	
f 48.		53.		<5·>	
g 32.		30.		2.	
h 223.		247.		<24.>	
160.		176.		<16.>	
1 48.		53.			
k 386.		248.		138.	
955.		641.		314.	
m 156.		100.		56.	
n 631.	1	431.		200.	
0 638.		632.		6.	
Complete only for assets show	ng gain in column (h) and owned by		(I) La	sses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (I) over col. (J), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")	
a				9.	
b				2.	
C				6.	
d				12.	
<u>e</u>				15.	
f				<5 <b>.</b> >	
g				<u> 2.</u>	
h				<24.>	
1				<16.>	
1				<5.>	
<u>k</u>				138.	
1				314.	
<u>m</u>				56.	
n				200.	
0				6.	
2 Capital gain net income or (net c	apital loss) {   If gain, also enter	in Part I, line 7	2		
If gain, also enter in Part I, line 8	oss) as defined in sections 1222(5) ar , column (c).	<b>.</b>			
If (loss), enter "-0-" in Part I, line	0		3		

	sses for Tax on Investment Income	20 014	7 400 1	<u>age 11 01 20</u>
· (a) List and	describe the kind(s) of property solorick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 6 HSBC HOLDI			P	04/26/1212/04/13
b 101 INDUSTRIAL			P	01/27/1109/06/13
c 22 ITAU UNIBA			P	08/31/1109/17/13
d 45.324 ITAU UN	IBA		P	08/31/1107/08/13
e 20.676 ITAU UN			P	07/20/1107/08/13
f 39.8069 ITAU U			P	07/20/1107/05/13
g 24.1931 ITAU U			P	02/03/1107/05/13
h O ITAU UNIBA			P	05/28/1305/28/13
1159 KDDI CORPO			P	05/10/1101/23/13
1160 KDDI CORPO			P	05/22/0709/13/13
k 29 KOMATSU AD			P	06/13/1312/05/13
1 26 KOMATSU AD			P	05/11/0912/05/13
m 16 KOMATSU AD			P	12/03/0704/04/13
n 46 KOMATSU AD			P	05/11/0904/04/13
o 12 LAS VEGAS			P	01/10/1201/31/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		) Gain or (loss) olus (f) minus (g)
a 319.	(0. 4.10.140.10)	272.		47.
b 1,352.		1,524.		<172.>
c 290.		367.		<77.>
d 534.		756.		<222.>
e 244.		399.		<155.>
f 453.		768.		<315.>
g 275.		474.	** **	<199.>
h 2.		2/4.		2.
2,728.		2,729.		<1.>
762.		395.		367.
k 566.		734.		<168.>
<u>507.</u>		367.		140.
m 343.		521.		<178.>
n 987.		650.		337.
0 650.		526.		124.
	ng gain in column (h) and owned by t		(I) L o	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")
a				47.
b				<172.>
С				<del>&lt;77.</del> >
d				<222.>
e				<155.>
f				<315.>
g				<199.>
h				2.
1			•	<1.>
1			_	367.
k				<168.>
1				140.
<u>m</u>				<178.>
n				337.
0				124.
2 Capital gain net income or (net ca	pital loss) { If gain, also enter if (loss), enter i-0	in Part I, line 7	2	
		- I	·	
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line 8	column (c)	· · · · · · · · · · · · · · · · · · ·	3	
tional o mit art i, mie c			<u> </u>	

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate. (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) 1a 243 LI & FUNG P 07/25/12|01/15/13 b 193 LI & FUNG 09/08/1101/15/13 c 257 LI & FUNG 08/31/1101/15/13 P d 247 LI & FUNG P |09/08/11|01/03/13 e 5 MERCADOLIB P |09/22/11|09/25/13 **MERCADOLIB** P |09/22/11|08/02/13 **MERCADOLIB** P |09/22/11|05/10/13 g 3 MERCADOLIB P 09/16/1105/10/13 h 3 MERCADOLIB P 09/16/1105/09/13 4 MERCADOLIB P |09/16/11|05/01/13 k 65 MITSUBISHI 04/12/1307/29/13 P 39 MITSUBISHI 04/11/1307/29/13 P m 10 MITSUBISHI P 107/02/09107/29/13 P 07/18/07|10/31/13 n 12 NOVARTIS A 0 37 POTASH COR P 10/07/09|07/31/13 (g) Cost or other basis (h) Gain or (loss) (f) Depreciation allowed (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 698. 867. <169.> а 554. 724. <170.> b 738. 914. <176.> 900. 926. <26.> d 630. 303. 327. е f 244. 121 123. 118. 57. g 61. 354. 207. 147. h 354. 207. 147. 385. 276. 109. 389. 452. <63.> 233. 1 276. <43.> 60. 62. m <2.> 915. 272. 643. n 1,095. 1,114. <19.> 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (i) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any а <169.> <170.> b <176.> C <26<u>.</u>> d 327. е 123. f 57. g 147. h 147. 109. <63.> 1 <43.> <2.> m n 272. <19.> 0 If gain, also enter in Part I, line 7 ( If gain, also enter in Part I, line 7 )
If (loss), enter "-0-" in Part I, line 7 Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

323591 05-01-13

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) 1a 19 POTASH COR 04/30/09|07/31/13 Ρ POTASH COR b 11 10/07/0907/29/13 c 17 POTASH COR 10/07/0906/24/13 P d 5 POTASH COR 11/28/1106/13/13 P e 4 POTASH COR P 10/07/0906/13/13 f 8 POTASH COR P 11/28/1106/12/13 q 48 RECKITT BE P 06/24/1007/17/13 h 14 RECKITT BE P 09/10/0907/17/13 169 ROYAL BANK 04/05/1307/03/13 P ↓ 1 ROYAL BANK P 04/05/1307/03/13 k 23 ROYAL BANK P 12/14/12/07/03/13 15 ROYAL BANK P 12/17/1207/02/13 m 50 ROYAL BANK P 12/14/1207/02/13 n 1 ROYAL BANK 12/20/1207/01/13 P o 43 ROYAL BANK P 12/19/1207/01/13 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 562 1. 561. а 393. 331. b 62. 643. C 512. 131. d 197. 214. <17.> 158. 120 е 38. 315. 343. <28<u>.</u>> 664. 447. 217. g 194. h 137. 57. 566. 584. <18.> 8. 8. 0. 181. 227. k <46.> 41. 50. <9.> 409. 494. m <85.> 8. 11 n <3.> 361. 449. 0 <88.> Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 1<u>.</u> b 62. 131. C d <17.> е 38. f <28.> 217. g h 57. <18.> 0. k <46<u>.</u>> <9.> m <85.> n <3.> 0 <88.> { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Edward E. Stone Foundation

Part IV | Capital Gains and Losses for Tax on Investment Income

	sses for Tax on Investment Income			
• (a) List and 2-story b	d describe the kind(s) of property sole rick warehouse; or common stock, 20	d, e.g., real estate, 00 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 29 ROYAL BANK	· · · · · · · · · · · · · · · · · · ·		P	12/18/1207/01/13
b 40 ROYAL BANK			P	12/17/1207/01/13
c 20 ROYAL BANK			P	12/21/1206/28/13
d 35 ROYAL BANK			P	12/20/1206/28/13
e 3 SAP AG SPO			P	10/16/0810/17/13
f 3 SAP AG SPO			P	10/07/0810/17/13
g 6 SAP AG SPO			P	10/07/0810/16/13
h 10 SAP AG SPO			P	10/07/08/10/15/13
8 SAP AG SPO			P	10/07/0807/09/13
<u> </u>			P	01/10/1207/03/13
k 6 SAP AG SPO			P	01/25/1007/03/13
2 SAP AG SPO			P	10/07/0807/03/13
m 213 SBERBANK R			P	09/12/1103/27/13
n 10 SCHLUMBERG			P	04/30/0910/08/13
0 12 SCHLUMBERG			P	06/25/0905/20/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		) Gain or (loss) blus (f) minus (g)
a 244.		295.		<51.>
b 336.		398.		<62.>
c 164.		213.		<u> </u>
d 287.		370.		<u> </u>
e 209.		104.		105.
f 209.		112.	<del> </del>	97.
g 418.		225.		193.
h 708.		374.		334.
568.		299.		269.
$\begin{array}{ccc} & & 140. \\ k & & 421. \end{array}$		109.		31.
$\frac{k}{1}$ 140.		281. 75.		140.
0 604		2,268.		65.
m 2,634.		496.		<u>366.</u> 369.
0 919.		667.		252.
	ng gain in column (h) and owned by t			sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis	(k) Excess of col. (i)	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")
	as of 12/31/69	over col. (j), if any		<u> </u>
a				<u>&lt;51.</u> >
<u>b</u>				<u>&lt;62.</u> >
<u>C</u>				<u>&lt;49.</u> >
d				<u>&lt;83.</u> >
e f				105.
f n				97. 193.
g h	<u> </u>		<del></del>	334.
1				269.
·				31.
<u>k</u>	-			140.
1				65.
<u>'</u> m	·			366.
n				369.
0				252.
Capital gain net income or (net ca	apital loss) { If gain, also enter If (loss), enter "-0	ın Part I, line 7 1		
Net short-term capital gain or (lost capital)		_	2	
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	column (c).	}	3	

323591 05-01-13

Part IV   Capital Gains and Los	ses for Tax on Investment Income	·		
	describe the kind(s) of property so ick warehouse; or common stock, 2		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
a 1 SCHLUMBERG	<del></del>		P	04/30/0905/20/13
b 6 SIEMENS AG			P	07/26/1207/09/13
c 2 SIEMENS AG			P	09/15/1107/09/13
d 11 SIEMENS AG			P	01/11/1107/08/13
e 7 SIEMENS AG			P	01/11/1107/05/13
1 SIEMENS AG			P	01/11/1106/25/13
g 4 SIEMENS AG			P	01/11/1106/25/13
5 SIEMENS AG			P	01/12/1106/24/13
1 SIEMENS AG			P	01/10/1106/24/13
17 SWATCH GRO			P	10/18/1103/07/13
6 SWATCH GRO			P	10/11/1103/07/13
15 SWATCH GRO			P	11/04/1101/29/13
12 SWATCH GRO			P	10/18/1101/29/13
3 SYNGENTA A			P	07/26/1311/18/13
5 SYNGENTA A			P	01/24/1311/18/13
	(f) Depreciation allowed	(g) Cost or other basis	(h	Gain or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale		lus (f) minus (g)
77.		50.		27.
618.		500.		118.
206.		196.		10.
1,131.		1,305.		<174.
693.		831.		<138.
98.		122.		<24.
394.		475.		<81.
494.		620.		<126.
99.		127.		<28.
474.		331.		143.
167.		114.		53.
401.		312.		89.
321.		234.		87.
230.		251.		<21.
383.	·	435.	77	<52.
Complete only for assets showing	g gain in column (h) and owned by	the foundation on 12/31/69	(I) Los	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (I) over col. (J), If any	Gains (excess of but n	of col. (h) gain òvér col. (k), ot less than "-0-")
	· · · · · · · · · · · · · · · · · · ·			27.
	7-00-0			118.
				10.
				<174.
				<138.
			<del></del>	<24.
				<81.
				<126.
				<28.
				143.
				53.
				89.
				87.
				<21.
				<52.
Capital gain net income or (net cap	oital loss) { If gain, also enter "-	r in Part I, line 7 0-* in Part I, line 7		
Net short-term capital gain or (loss If gain, also enter in Part I, line 8, o	s) as defined in sections 1222(5) ar column (c).	<u> </u>		
If (loss), enter "-0-" in Part I, line 8				

Part IV   Capital Gains and Los		20-814	1/400	Page 16 01 28
· (a) List and	describe the kind(s) of property solution warehouse; or common stock, 20		(b) How acquire P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 3 SYNGENTA A		<del></del>	P	01/24/1311/15/13
b 4 SYNGENTA A			P	01/23/1311/15/13
c 60 TAIWAN SEM			P	07/26/1206/13/13
d 35 TAIWAN SEM			P	07/26/1206/13/13
e 49 TAIWAN SEM			P	07/26/1206/12/13
1.4 (5) 711111 (5)			P	07/27/1206/06/13
			P	07/26/1206/06/13
			P	04/10/1312/13/13
			P	11/22/11/12/12/13
<u> </u>		<del></del>	P	11/22/11/10/30/13
k 13 TENCENT HL			P	04/23/10/10/30/13
14 TENCENT HL	· · · · · · · · · · · · · · · · · · ·		P	04/23/1010/10/13
m 14 TENCENT HL			P	04/23/1008/01/13
n 28 TENCENT HL			P	04/23/1007/29/13
0 8 TENCENT HL			P	04/26/1007/19/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(I (e)	h) Gain or (loss) plus (f) minus (g)
a 230.		261.	·	<31.>
b 306.		350.		
c 1,082.		802.		280.
d 633.		468.		165.
e 908.		655.		253.
f 164.		124.		40.
g 255.		187.		68.
h 317.		289.		28.
<u> </u>		202.	-·	382.
53.		20.		33.
<u>k 695.</u>		270.		425.
1 721.		291.		430.
m 627.		291.		336.
n 1,246.		582.		664.
O 333.		171.		162.
(i) F.M.V. as of 12/31/69	ng gain in column (h) and owned by t (j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	osses (from col. (h)) of col. (h) gain over col. (k), not less than "-0-")
<u>a</u>				<u> </u>
b				<u> </u>
С			· · · · · · · · · · · · · · · · · · ·	280.
d				165.
e				253.
f				40.
g				68.
<u>h</u>				28.
1				382.
<u> </u>				33.
k				425.
1				430.
<u>m</u>				336.
n			····	664.
0				162.
2 Capital gain net income or (net ca	pital loss) {   If gain, also enter   -0	in Part I, line 7 -• in Part I, line 7	2	<del></del>
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line 8	column (c).	d (6):	3	
				<del></del>

Part I		ses for Tax on Investment Income	20-614	1400	Page 17 01 28
·	(a) List and	describe the kind(s) of property solock warehouse; or common stock, 2		(b) How acqu P - Purchas D - Donatio	
1a 10	TENCENT HL			P D- Dollallo	04/23/1007/19/13
<u>b</u> 18	TEVA PHARM		· · · · · · · · · · · · · · · · · · ·	P	11/17/0610/16/13
c 18	TEVA PHARM		·	P	11/17/0610/15/13
d 32	TEVA PHARM			P	11/17/0610/10/13
e 20	TEVA PHARM			P	11/17/06/06/12/13
f 13	TEVA PHARM			P	12/13/06/06/12/13
g 18	TEVA PHARM		<del></del>	P	12/13/06/02/06/13
	TOYOTA MOT			P	02/09/1104/17/13
	TOYOTA MOT			P	01/15/1004/17/13
	TOYOTA MOT		<del></del>	P	01/15/10/03/27/13
k 47	TULLOW OIL			P	05/21/1311/12/13
	TULLOW OIL			P	05/21/1311/07/13
m 19	TULLOW OIL	<del></del>		P	05/21/1311/06/13
n 21	TULLOW OIL			P	05/21/1311/05/13
<u> 0 4 '</u>	TULLOW OIL			P	05/21/13/11/04/13
(6	e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(	(h) Gain or (loss) (e) plus (f) minus (g)
<u>a</u>	416.		208.	. <u> </u>	208.
b	698.		561.		137.
С	698.		561.		137.
d	1,268.		997.		271.
e	777.	<u> </u>	623.		154.
f	505.		413.		92.
g	676.		572.		104.
h	330.		269.		61.
1	220.		183.		37.
1	711.		641.		70.
k	316.		385.	· <del></del>	<69.>
ī	28.		33.		<5.>
m	135.		156.		<21.>
n	150.		172.		<22.>
0	29.		33.		<4.>
Com	plete only for assets showing	g gain in column (h) and owned by	the foundation on 12/31/69	(1)	Losses (from col. (h))
(i) F	F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (I) over col. (I), if any	Gains (exce	ess of col. (h) gain over col. (k), ut not less than "-0-")
a					208.
b					137.
С					137.
d					271.
е					154.
f					92.
g					104.
h					61.
1					37.
1					70.
k					<69.>
1					<5.>
m				·	<21.>
n					<22.>
0	<del></del>				
			<u> </u>		
2 Capita	il gain net income or (net cap	pital loss) {   If gain, also enter   -(	r in Part I, line 7 )-" in Part I, line 7	2	
If gain	i, also enter in Part I, line 8, o		nd (6):		
If (los	s), enter "-0-" in Part I, line 8			3	

	sses for Tax on Investment Income	20 01-	1 1 1 1 1	<u>age 10 01 _20</u>	
• (a) List and	describe the kind(s) of property solorick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)	
1a 23 TULLOW OIL			P	12/14/1211/04/13	
b 92 TULLOW OIL			P	12/14/12/11/01/13	
c 21 TULLOW OIL			P	12/14/12/10/31/13	
d 20 TULLOW OIL			P	12/14/12/10/31/13	
e 37 TULLOW OIL			P	12/14/12/10/24/13	
f 35 TULLOW OIL			P	12/14/12/10/24/13	
g 30 TULLOW OIL			P	12/14/12/10/23/13	
h 246 TURKIYE GA			P	03/16/1004/03/13	
14 TURKIYE GA			<u> </u>	03/16/1004/02/13	
J 263 TURKIYE GA			P	02/04/1004/02/13	
k 29 VODAFONE G			P	01/25/1202/01/13	
n 38 WAL-MART D			P	11/23/1102/01/13	
			P	02/20/0911/07/13	
n 36 WAL-MART D o 22 WAL-MART D			P	02/20/0911/06/13 01/23/0911/06/13	
	(f) Depreciation allowed	(a) Cost or other basis		O 1 / 2 3 / O 9   1 1 / O 0 / 1 3	
(e) Gross sales price	(or allowable)	(g) Cost or other basis plus expense of sale		plus (f) minus (g)	
a 164.		224.		<u>&lt;60.</u> >	
b 676.		898.		< <u>222.</u> >	
c 151. d 144.		205. 195.		<u>&lt;54.</u> > <51.>	
0.00		361.		<88.>	
f 269.		341.		<72.>	
g 223.		293.		<70.>	
h 1,266.		1,031.		235.	
73.		59.		14.	
1,362.		1,140.	-	222.	
k 789.		800.	·-	<11.>	
1,578.		1,525.		53.	
m 895.		378.		517.	
n 872.		358.		514.	
<u>o</u> 533.	Į.	238.		295.	
Complete only for assets showing	ng gain in column (h) and owned by t	the foundation on 12/31/69		sses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		of col. (h) gain over col. (k), not less than "-0-")	
a				< <u>60.</u> >	
b				<u> </u>	
C			<del></del>	<u> </u>	
d				<u> </u>	
<u>e</u>					
<u>f</u>				<u>&lt;72.</u> >	
0	<del></del> -			<u>&lt;70.</u> >	
<u>h</u>	-			235.	
				14. 222.	
<u> </u>				<11.>	
<u>k</u> I				53.	
m				517.	
n				514.	
0	005				
	. If gain, also enter	ın Part I, line 7			
2 Capital gain net income or (net ca	( 11 (1000), citics 0	, I	2		
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line 8	column (c).	d (6):	3		
(1999), onto				<del></del>	

Part IV Capital Gains and Lo		20-614	4/400		age 19 01 26
· (a) List and	sses for Tax on Investment Income describe the kind(s) of property solo		(b) l	low acquired	(c) Date acquired (d) Date sold
	rick warehouse; or common stock, 20	JU Shs. MLC Co.		- Donation	(mo., day, yr.) (mo., day, yr.)
1a 5 YANDEX N.V b 20 YANDEX N.V		<u></u>		P P	06/16/1107/24/13 06/15/1107/24/13
c 3 YUM BRANDS		<del>"</del>		P P	07/16/13/11/22/13
d 5 YUM BRANDS				P	03/20/12/11/22/13
	e 171000 CATERPILLA			P	09/24/0802/13/13
f 519.92 FORWARD				P	09/25/1310/23/13
g 329.735 FORWAR				P	06/25/1310/23/13
h 279.596 FORWAR				P	03/25/13/10/23/13
<u> 1 22172.949 FORW</u>		<u> </u>		P	02/13/1310/23/13
<u> </u>		<u> </u>		<u> P</u>	12/26/12/10/23/13
k 40.047 FORWARD				P	12/07/12/10/23/13
35.008 FORWARD				P P	12/07/1210/23/13 10/04/1210/23/13
m 11337.868 FORW n 1600 ISHARES T				P	07/29/0802/19/13
0 400 ISHARES TI				P	07/29/0802/19/13
	(f) Depreciation allowed	(g) Cost or other basis	. 1		Gain or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale		(e)	plus (f) minus (g)
a 149.		151.			<2.>
b 595. c 229.		609. 221.			<14.> 8.
201		351.			30.
d 381. e 176,913.		171,161.			5,752.
f 3,909.		4,641.			<732.>
g 2,479.		2,943.			<464.>
h 2,102.		2,496.			<394.>
166,725.		197,909.			<31,184.>
560.		665.			<u>&lt;105.</u> >
k 301.		357.			<u>&lt;56.</u> >
1 263.		312.			<49.>
m 85,253. n 192,252.		101,199. 168,205.			<15,946.> 24,047.
n 192,252. o 48,063.		42,051.			6,012.
	ng gain in column (h) and owned by t			(I) Lo	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	G	ains (excess	of col. (h) gain over col. (k), not less than "-0-")
a					<2.>
b					<14.>
<u>c</u>					8.
d					30.
<u>e</u>					5,752.
<u>f</u>					<732.> <464.>
9					<394.>
<u>h</u>					<31,184.>
<u></u>				<del></del>	<105.>
<u> </u>					<56.>
1					<49.>
m					<15,946.>
n					24,047.
0					6,012.
2 Capital gain net income or (net c	apital loss) { If gain, also enter in the loss in the	n Part I, line 7 )-" in Part I, line 7	2		
	ss) as defined in sections 1222(5) an	nd (6):			
If gain, also enter in Part I, line 8. If (loss), enter "-0-" in Part I, line		}	3		
in (1000), ontoi o initiarei, into					

Part IV   Capital Gains and Lo	sses for Tax on Investment Income			<u>.ugc 20 02 20</u>	
	describe the kind(s) of property solution describe the kind(s) of property solution stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)	
1a 339.63 PIMCO A	LL		P	01/12/1008/09/13	
b 11083.41 PIMCO			P	07/30/1208/09/13	
c 2785.36 PIMCO			P	07/30/1207/08/13	
d 92.933 PIMCO A			P	06/21/1207/08/13	
e 153.544 PIMCO			P	03/22/1207/08/13	
1 872.068 PIMCO			P	12/28/1107/08/13	
g 180.208 PIMCO			P	09/15/1107/08/13	
h 165.566 PIMCO	ALL		P	06/16/1107/08/13	
103.408 PIMCO	ALL		P	03/17/1107/08/13	
, 619.025 PIMCO	ALL		P	12/31/1007/08/13	
k 38.493 PIMCO A	LL		P	12/08/1007/08/13	
142.526 PIMCO	ALL		P	09/16/1007/08/13	
m 141.46 PIMCO A	LL		P	06/17/1007/08/13	
n 111.996 PIMCO			P	03/18/1007/08/13	
0 14395.393 PIMC	O ALL			07/08/13	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		ı) Gaın or (loss) plus (f) mınus (g)	
a 3,508.		3,686.		<178.>	
b 114,467.		120,272.		<5,805.	
<u>c</u> 28,129.	,	30,212.		<u>&lt;2,083.</u> >	
d 939.		1,008.			
e 1,551.		1,665.		<u> </u>	
f 8,807.		9,085.		<u> </u>	
g 1,820.		1,877.		<u> </u>	
h 1,672.		1,725.		<u> </u>	
1,044.		1,077.		<del>&lt;33.</del> >	
<u> </u>		6,449.		<u> </u>	
k 389.		401.		<12.>	
1,439.		1,485.		<u> </u>	
$\begin{array}{ccc} m & 1,429. \\ \hline & 1,131 \end{array}$		1,474.		<u> </u>	
<u>n</u> 1,131.		1,167. <108.>		<u>&lt;36.</u> >	
Complete only for assets showing	l ng gain in column (h) and owned by t			sses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")	
<u>a</u>				<178.>	
b				<5,805.>	
<u>C</u>				<2,083.>	
d				<69 <b>.</b> >	
e	· <del></del>			<u> </u>	
<u>f</u>				<u>&lt;278.</u> >	
9				<u> &lt;57.</u> >	
<u>h</u>				<u>&lt;53.</u> >	
<u> </u>				<u>&lt;33.</u> >	
<u> </u>				<u>&lt;198.</u> >	
<u>k</u>				<u>&lt;12.</u> >	
<u> </u>		<del></del>		<u>&lt;46.</u> >	
<u>m</u>				<u>&lt;45.</u> >	
<u>&lt;36.</u>					
0 108.					
2 Capital gain net income or (net ca	apital loss) {   If gain, also enter   If (loss), enter   -0	ın Part I, line 7 -• in Part I, line 7	2		
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	column (c).	d (6):	3_		
				<del>-</del>	

Edward E. Stone Foundation
Part IV | Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property soid, e.g., real estate, 2-tony brite wearboase; or common stock, 200 s/s M.C.Co.   (a) 14.395, 393 PIMCO ALL	Part IV   Capital Gains and Lo	sses for Tax on Investment Income					
10.13 PIMCO ALL P 03/22(7016)27(3)(13 AL	• (a) List and 2-story be	describe the kind(s) of property solution (s) describe the kind(s) of property solution (s) of p	d, e.g., real estate, 00 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)		
2.13 PIMCO ALL	1a 14395.393 PIMC	O ALL			01/12/1007/08/13		
6 42 PIMCO ALL	b 103 PIMCO ALL			<del></del>			
d 4 PIMCO ALL				<del></del>			
1919.394 PIMCO ALL   P   01/19/05 02/13/13     1919.394 PIMCO ALL   P   12/28/11/102/13/13     131.586 PIMCO ALL   P   09/15/11 02/13/13     131.586 PIMCO ALL   P   05/15/11 02/13/13     118.573 PIMCO ALL   P   05/15/11 02/13/13     118.573 PIMCO ALL   P   03/17/11 02/13/13     1275.668 PIMCO ALL   P   12/31/1002/13/13     1275.668 PIMCO ALL   P   06/17/1002/13/13     191.546 PIMCO ALL   P   06/17/1002/13/13     191.546 PIMCO ALL   P   03/18/1002/13/13     1953.919 PIMCO ALL   P   03/18/1002/13/13     253.919 PIMCO ALL   P   03/18/1002/13/13     2017.38 PIMCO ALL   P   12/30/0902/13/13     2017.38 PIMCO ALL   P   12/30/0902/13/13     2017.38 PIMCO ALL   P   12/30/0902/13/13     2017.38 PIMCO ALL   P   09/11/002/13/13     2017.38 PIMCO ALL   P   03/11/002/13/13     2017.38 PIMCO ALL   P   03/11/002/13/				<del></del>	12/13/06/02/13/13		
1919.394 PIMCO ALL		L					
0 313.586 PIMCO ALL   P   09/15/1102/13/13   118.573 PIMCO ALL   P   03/17/1102/13/13   118.573 PIMCO ALL   P   03/17/1102/13/13   11036.521 PIMCO ALL   P   03/17/1102/13/13   1275.668 PIMCO ALL   P   12/31/1002/13/13   1275.668 PIMCO ALL   P   06/16/1002/13/13   1275.668 PIMCO ALL   P   06/17/1002/13/13   1275.668 PIMCO ALL   P   06/17/1002/13/13   1275.668 PIMCO ALL   P   03/17/1002/13/13   13/13/13/1002/13/13   13/17/1002/13/13   13/13/13/13/13/13/13/13/13/13/13/13/13/1							
h 230.66 PIMCO ALL			· · · · ·				
1 18. 573 PIMCO ALL			<del></del>				
1 1036.521 PIMCO ALL							
R 254.808 PIMCO AIL							
1 275.568 PIMCO ALL							
m 191.546 PIMCO ALL							
n 953.919 PIMCO ALL  o 217.38 PIMCO ALL  (e) Gross sales proce (f) Depreciation allowed (or allowable) plus expense of sale plus expense of sale plus expense of sale plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) minus (g) (a) Cost or other basis plus expense of sale plus (f) Gallow (f) Cost of Other has sale plus (g) Plus (f) minus (g) (g) Plus (		<del></del>					
P   09/17/09/02/13/13   P   09/17/09/02/13/13/13   P   09/17/09/02/13/13/13/13   P   09/17/09/02/13/13/13/13/13   P   09/17/09/02/13/13/13/13   P   09/17/09/02/13/13/13/13   P   09/17/09/02/13/							
(e) Gross sales proce (f) Opercacion allowed plus expense of sale plus expense of sale plus (e) plus (f) minus (g) (7 allowable) plus expense of sale plus (e) plus (f) minus (g) (7 allowable) plus expense of sale plus (e) plus (f) minus (g) (g) (e) plus (f) minus (g)							
Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets showing gain in column (ft) and owned by the foundation on 122/31/69   Complete only for assets sho			(a) Cost or other basis				
b         1,307.         1,260.         47.           c         5,418.         5,224.         194.           d         520.         502.         18.           e         183,991.         177,381.         6,610.           f         11,666.         11,247.         419.           g         3,979.         3,836.         143.           h         2,927.         2,822.         105.           1         1,505.         1,451.         54.           1         13,152.         12,680.         472.           k         3,233.         3,117.         116.           1         3,498.         3,372.         126.           m         2,431.         2,343.         88.           n         12,104.         11,669.         435.           2         2,758.         2,659.         99.           Complete only for assets showing gain in column (h) and owned by the foundation on 12/3 h89         (l) Losses (from col. (h))           (i) F.M.V. as of 12/3 h69         (l) Adjusted basis as of 12/3 h69         (k) Excess of col. (l)           (i) F.M.V. as of 12/3 h69         (l) Adjusted basis as of 12/3 h69         (l) Losses (from col. (h))           Gains (excess of col. (l			plus expense of sale	(e) t	olus (f) minus (g)		
c         5,418.         5,224.         194.           d         520.         502.         18.           e         183,991.         177,381.         6,610.           f         11,666.         11,247.         419.           g         3,979.         3,836.         143.           h         2,927.         2,822.         105.           1         1,505.         1,451.         54.           1         13,152.         12,680.         472.           k         3,233.         3,117.         116.           1         3,498.         3,372.         126.           n         2,431.         2,343.         88.           n         12,104.         11,669.         435.           o         2,758.         2,659.         99.           Complete only for assets showing gain in column (h) and owned by the foundation on 12d3/r69         (i) Losses (from col. (h))           gain (excess of col., (h) gain over col. (k), and over col. (h), and over col. (k), but not less than "-0"         Gains (excess of col. (h) gain over col. (k), but not less than "-0"           gain (excess of col., (h) gain over col. (h), and colling the colling colling the colling coll					<u>&lt;4,586.</u> >		
Section   Sect							
183,991							
11,666.   11,247.   419.     3,979.   3,836.   143.     1,505.   1,451.   54.     1,31,52.   12,680.   472.     3,498.   3,372.   126.     1,2104.   11,669.   435.     0,2,758.   2,659.     0,17,M.V. as of 12/31/69   (i) Adjusted basis as of 12/31/69   (ii) Adjusted basis as of 12/31/69   (iii) Adjus							
143.   143.					6,610.		
No.					419.		
1		<u></u>			143.		
13,152					105.		
No.					54.		
1					472.		
The complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   Column (i) Column (ii) Column (iii) Column (iiii) Column (iiii) Column (iii) Column (					116.		
Note the first component of the state of t					126.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   (i) F.M.V. as of 12/31/69   (i) Adjusted basis as of 12/31/69   (k) Excess of col. (i) over col. (j), if any   (ii) F.M.V. as of 12/31/69   (ii) F.M.V. as of 12/31/69   (ii) Adjusted basis as of 12/31/69   (k) Excess of col. (ii) over col. (j), if any   (iii) F.M.V. as of 12/31/69   (iii) F.M.V. as					88.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  (i) F.M.V. as of 12/31/69  (j) Adjusted basis as of 12/31/69  (k) Excess of col. (i) over col. (j), if any  (k) Excess of col. (ii) but not less than "-0-")  47.  c					435.		
(i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any but not less than *-0-*)  a					99.		
(i) F.M.V. as of 12/31/69	Complete only for assets showing	ig gain in column (h) and owned by t	the foundation on 12/31/69				
Description   194.	(i) F.M.V. as of 12/31/69		(k) Excess of col. (i) over col. (j), if any	Gains (excess but n	of col. (h) gain over col. (k), not less than "-0-")		
Description   194.	a				<4,586.>		
C   194.	b						
18.   6,610.   6,610.   1419.   9	С						
Capital gain net income or (net capital loss)   If gain, also enter in Part I, line 7   Standard in sections 1222(5) and (6):   If gain, also enter in Part I, line 8, column (c).   Capital gain or (loss) as defined in sections 1222(5) and (6):   If gain, also enter in Part I, line 8, column (c).   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (loss) as defined in sections 1222(5) and (6):   Capital gain or (1222) and (12222) and (12222) and (122222) and (1222222) and (12222222) and (12222222) and (12222222) and (122222222) and (122222222) and (12222	d						
143.   143.   143.   145.   154.	<u>e</u>						
143.   105.	f						
105.   105.	9						
S4.	h						
A   A   A   A   A   A   A   A   A   A	1						
k 116.  I 126.  m 126.  n 126.  88.  n 16.  Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 99.  2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2  3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).							
126.  m  88.  n  435.  o  2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 }  If (loss), enter *-0-* in Part I, line 7 }  Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  If gain, also enter in Part I, line 8, column (c).	k						
m 88.  n 99.  Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 1	1						
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2  Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).	m						
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2  3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).							
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2  3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).							
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  If gain, also enter in Part I, line 8, column (c).							
If gain, also enter in Part I, line 8, column (c).			, I	-			
	If gain, also enter in Part I, line 8,	column (c).	· · · · · · · · · · · · · · · · · · ·				

Part IV Capital Gains and Lo	esses for Tax on Investment Income	20-61	4/486 Page 22 OI 28
• (a) List and	isses for Tax on Investment Income  d describe the kind(s) of property sold rick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (mo., day, yr.)
1a 2270.663 PIMCO			P 07/23/0902/13/13
b 190.163 PIMCO			P 06/18/09/02/13/13
c 146.787 PIMCO			P 03/19/09/02/13/13
d 531.267 PIMCO			P 12/30/0802/13/13
e 170.425 PIMCO			P 09/18/0802/13/13
f 159.72 PIMCO A			P 06/19/0802/13/13
g 155.756 PIMCO	ALL		P 03/20/0802/13/13
h 680.515 PIMCO	ALL		P 12/27/0702/13/13
1 222.365 PIMCO			P 09/20/07/02/13/13
<u> 163.983 PIMCO</u>			P 06/21/0702/13/13
k 158.309 PIMCO			P 12/31/1202/13/13
723.849 PIMCO			P 12/27/1202/13/13
m 176.427 PIMCO			P 09/20/12/02/13/13
n 143.235 PIMCO			P 06/21/12/02/13/13
0 230.61 PIMCO A			P 03/22/12/02/13/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 28,813.		27,777.	1,036.
b 2,413.	-	2,326.	87.
c 1,863. d 6,741.		1,796.	67. 242.
0.163		6,499. 2,085.	78.
$\frac{e}{f}$ 2,163.		1,954.	73.
g 1,976.		1,905.	71.
h 8,635.		8,325.	310.
2,822.		2,720.	102.
2,081.		2,006.	75.
k 2,009.		1,974.	35.
9,185.		9,028.	157.
m 2,239.		2,200.	39.
n 1,818.		1,786.	32.
0 2,926.		2,876.	50.
(i) F.M.V. as of 12/31/69	ng gain in column (h) and owned by t (j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,036.
<u>b</u>			87.
<u>c</u>			67.
d			242.
<u>e</u>			78.
<u>I</u>			73. 71.
<u>g</u>			310.
<u>h</u>			102.
1			75.
k	<u> </u>		35.
<u></u>	<del> </del>		157.
m		***	39.
n			32.
0			50.
2 Capital gain net income or (net ca	apıtal loss) {   If gain, also enter   +0	ın Part I, line 7 -" ın Part I, line 7	2
3 Net short-term capital gain or (lo If gain, also enter in Part I, line 8 If (loss), enter "-0-" in Part I, line	ess) as defined in sections 1222(5) an , column (c).	`	3

Part IV   Capital Gains and Los		20-614	:/400 P	age 23 01 26
(a) List and	sses for Tax on Investment Income I describe the kind(s) of property sold rick warehouse; or common stock, 20	d, e.g., real estate,	1 1 010100	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 2634.245 PIMCO	<u> </u>	50 0110. INEO 00.	D - Donation	08/09/13
b 2634.245 PIMCO		•	P	11/10/10/08/09/13
c 63.471 THORNBU			P	12/24/1202/13/13
d 197.433 THORNE			P	02/11/1102/13/13
	e 344.239 THORNBURG			06/25/1202/13/13
f 269.051 THORNE			P P	03/26/1202/13/13
g 469.528 THORNB			P	12/23/1102/13/13
h 443.576 THORNE			P	09/26/1102/13/13
1 375.406 THORNE	URG		P	06/24/1102/13/13
<u>  187.549 THORNE</u>			P	03/25/1102/13/13
k 542.755 THORNB	URG		P	02/11/1102/13/13
0 ISHARES GO			P	06/28/13/12/31/13
m 0 ISHARES GO		<u> </u>	P	08/01/12/12/31/13
n 0 ISHARES GO			P	12/08/11/12/31/13
0 0 ISHARES GO			P	06/28/13 11/30/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(e) r	) Gain or (loss) plus (f) minus (g)
a		<47.>	•	47.
b 25,975.		29,385.		<u>&lt;3,410.</u> >
c 1,259.		1,181.		78.
d 3,915.	-	3,675.		240.
e 6,827. f 5,336.		6,408. 5,008.		419. 328.
0 211	-	9,099.		212.
$\frac{g}{h}$ 8,797.		8,596.		201.
$\frac{11}{1}$ 7,445.		7,275.		170.
3,719.		3,634.	· · · · · · · · · · · · · · · · · · ·	85.
k 10,764.		10,518.		246.
1 3.		3.		0.
m 3.		3.		0.
n 5.		8.		<3 <b>.</b> >
<u>o</u> 3.		3.		0.
(i) F.M.V. as of 12/31/69	ng gain in column (h) and owned by t (j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	Gains (excess	sses (from col. (h)) of col. (h) gain over col. (k), not less than "-0-")
	45 01 12/0 1/00	010. 00. (),, 0		47.
a b	+			<3,410.>
C				78.
d				240.
e				419.
f				328.
g				212.
h				201.
1				170.
1				<u> </u>
k				246.
1				0.
<u>m</u>			·	0.
<u>n</u>		_		<u>&lt;3.</u> >
0				0.
2 Capital gain net income or (net ca	apital loss) { If gain, also enter -0	in Part I, line 7 -" in Part I, line 7	2	
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8, if (loss), enter "-0-" in Part I, line 8	column (c).	d (6):		
n (1088), enter -0- III Part I, line (	<u> </u>		3	

Part IV Capital Gains and Los		20-814	1400	Page 24 01 _28
(a) List and	sses for Tax on Investment Income d describe the kind(s) of property solo rick warehouse; or common stock, 20			(c) Date acquired (d) Date sold (mo., day, yr.)
	Tok varonouos, or common stock, 20		D - Donatio	20
1a 0 ISHARES GO			P P	08/01/12/11/30/13 12/08/11/11/30/13
b 0 ISHARES GO			P	
c 0 ISHARES GO	· - · · · · · · · · · · · · · · · · · ·	·	P	06/28/13/10/31/13
d 0 ISHARES GO			P P	08/01/12/10/31/13
e O ISHARES GO			P	12/08/11/10/31/13
1 0 ISHARES GO	<u> </u>	<del></del>	<u> </u>	06/28/1309/30/13
g 0 ISHARES GO		<del></del> -	<u>P</u>	08/01/12/09/30/13
h 0 ISHARES GO			P	12/08/11/09/30/13
1 0 ISHARES GO			P	06/28/13/08/31/13
0 ISHARES GO			P	08/01/1208/31/13
k 0 ISHARES GO			P	12/08/1108/31/13
0 ISHARES GO	·		P	06/28/1307/31/13
m 0 ISHARES GO			P	08/01/1207/31/13
n O ISHARES GO			P	12/08/1107/31/13
0 0 ISHARES GO			P	06/28/1306/30/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	1	(h) Gain or (loss) (e) plus (f) minus (g)
a 3.		3.		0.
b 5.		8.		<3.>
c 3.		3.		0.
d 3.		3.		0.
e 6.		8.		<2.>
f 3.		3.		0.
g 3.		3.		0.
h 6.		8.		<2.>
i 3.		3.		0.
3.		3.		0.
k 6.		7.		
<u>1</u> 3.		3.		0.
m 3.		3.		0.
n 5.		7.		<2.>
0 3.		3.		0.
	ng gain in column (h) and owned by t			Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (exc	ess of col. (h) gain over col. (k), out not less than "-0-")
a				0.
b				<3.>
C				0.
d				0.
e				<2.>
f				0.
9				0.
h				<2.>
<u> </u>				0.
<del> </del>				0.
k				<1.>
<del></del>	-		<del></del>	0.
m				0 -
n	_		-	0. <2.>
0.				
·	L		1	
2 Capital gain net income or (net ca	apıtal loss) {   If gain, also enter   If (loss), enter "-0	ın Part I, line 7 -" ın Part I, line 7	2	
3 Net short-term capital gain or (logif gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line		d (6):		
in (1055), enter -u- in Part i, line	<u> </u>		3	

Daniel B. Chana	Barrado kilon			for 990-PF, Part IV
Edward E. Stone Part IV   Capital Gains and Los	FOUNGATION sses for Tax on Investment Income	20-81	47488	Page 25 of 28
(a) List and	describe the kind(s) of property solution warehouse; or common stock, 20	d, e.g., real estate, 00 shs. MLC Co.	(b) How ac P - Purcl D - Dona	quired (c) Date acquired (d) Date sold (mo., day, yr.)
1a 0 ISHARES GO	· · · · · · · · · · · · · · · · · · ·		P	08/01/1206/30/13
b 0 ISHARES GO			P	
c 0 ISHARES GO			P	
d O ISHARES GO			P	
e 0 ISHARES GO			P	
f 0 ISHARES GO			P	
g O ISHARES GO				08/01/1203/31/13
h 0 ISHARES GO			P	12/08/1103/31/13
0 ISHARES GO		-	P	08/01/12/02/28/13
0 ISHARES GO			P	12/08/1102/28/13
k 0 ISHARES GO			P	08/01/1201/31/13
0 ISHARES GO			P	12/08/11/01/31/13
m 1777 ISHARES M	S		P	
n 2009 ISHARES M	S		P	
o 117 ISHARES TI			<u>P</u>	06/10/08 02/06/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)
a 3.		4.		<1.>
b 6.		8.		<2.>
c 3.		3.		0.
d 6.		8.		<2.>
e 3.		3.		0.
<u>f</u> 6.		8.		<2.>
g 3.		3.		0.
<u>h 7.</u>		8.		<1.>
<u>ı</u> 3.		3.		<u> </u>
<u> </u>		7.		<u> </u>
k 3.		3.		0.
1 7.		7.		0.
m 34,191.		35,672.		<u> </u>
n 38,655.		37,141.		1,514.
<u>o</u> 14,111.		12,285.		1,826.
Complete only for assets snowing	ng gain in column (h) and owned by		Gaine (a	(I) Losses (from col. (h)) xcess of col. (h) gain over col. (k),
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	dains (e	but not less than "-0-")
<u>a</u>	<u> </u>			<1.> <2.> 0. <2.> 0. <2.> 2.> 0. <2.>
<u>b</u>		·		<u>&lt;2.</u> >
<u>c</u>	· -			0.
<u>d</u>			<del> </del>	<u> </u>
<u>e</u>				
<u>f</u>				<u> </u>
9				0.
<u>h</u>			1	<u>&lt;1.</u> >
<u> </u>			<del>                                     </del>	0.
1.			-	<u>&lt;1.</u> >
<u>K</u>	<u> </u>		+	0.
<u> </u>			<del> </del>	
m	-		-	<1,481.>
<u>n</u>			<del>                                     </del>	1,514.
0	<u> </u>	<u> </u>	<del></del>	1,826.

323591 05-01-13

 $\{\begin{array}{l} \mbox{If gain, also enter in Part I, line 7} \\ \mbox{If (loss), enter $\hbox{$^*$-0-$" in Part I, line 7}} \end{array}\}$ 

2 Capital gain net income or (net capital loss)

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter \*-0-\* in Part I, line 8

2

Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr) (mo., day, yr.) 71 ISHARES TI P |01/04/08|02/06/13 1195 ISHARES TR P 08/02/1206/12/13 c 232 ISHARES TR P |08/01/12|06/12/13 P 06/06/12|06/12/13 d 961 ISHARES TR 02/02/12|10/03/13 e 136 POWERSHARE P 356 POWERSHARE P 08/01/1210/03/13 g 96 POWERSHARE P 02/02/1210/03/13 h 374 POWERSHARE |08/01/12|02/06/13 P 169 POWERSHARE P |02/02/12|02/06/13 | 1192 POWERSHARE P 10/03/12|01/17/13 k 59 SPDR GOLD P |08/01/12|02/20/13 20 SPDR GOLD P 12/11/0902/20/13 m 78 SPDR GOLD P 10/31/0802/20/13 n 74 SPDR GOLD P 10/16/08|02/20/13 08/01/1201/07/13 P o 0 SPDR GOLD (g) Cost or other basis (f) Depreciation allowed (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 7,563. 8,563. 1,000. а 37,943. 35,507. 2,436. b 7,366. 6,945. 421. C 4,135. d 30,513. 26,378. 10,703. 8,330. 2,373. е 10,999. 9,975 1,024. f 2,966. 2,487. 479. g 886. 25.129. 24,243. h 11,355. 10,351 1,004. 1 36,967. 37,055. <88.> 8,990. 9,159. <169.> 2,162. 3,048. 886. 1 11,886. 5,470. 6,416. m 11,276. 5,737 5,539. n з. 3. 0. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 1,000. а 2,436. b 421. C 4,135. đ 2,373. е 1,024. 479. g 886. h 1,004. <88.> <169.> 886. 1 6,416. m 5,539. n 0 If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Los	ses for Tax on Investment Income			
(a) List and	describe the kind(s) of property solo ck warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 0 SPDR GOLD			P	12/11/0901/07/13
b 0 SPDR GOLD			P	10/31/0801/07/13
c O SPDR GOLD			P	10/16/0801/07/13
d 821 SPDR SER T			P	10/03/1210/09/13
e 261 SPDR SER T			P	08/01/1210/09/13
f 4 VANGUARD B			P	02/06/1310/03/13
g 220 VANGUARD B			P	08/01/12/10/03/13
h 287 VANGUARD B		P	08/01/12/06/25/13	
170 VANGUARD I			P	10/04/1010/03/13
J 295 VANGUARD I			P	06/06/12/06/25/13
			P	02/01/1106/25/13
k 74 VANGUARD I I 70 VANGUARD I			P	10/04/10/06/25/13
m 107 VANGUARD I			P	09/15/1006/25/13
n 362 VANGUARD I			P	08/01/1202/06/13
0 142 VANGUARD I			P	02/01/1102/06/13
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		) Gain or (loss) blus (f) minus (g)
a 1.		1.		0.
b 4.		2.		2.
c 4.		2.		2.
d 25,092.		25,325.		<u> </u>
e 7,977.		8,004.		<u> </u>
f 323.		334.		<u> </u>
g 17,742.		18,699.		< <u>957.</u> >
h 22,960.		24,394.		<1,434.>
11,185.		8,596.		2,589.
19,366.		18,270.	_	1,096.
k 4,858.		4,099.		759.
<u> </u>		3,553.		1,042.
m 7,024.		5,510.		1,514.
n 24,741.		24,021.		720.
0 9,705.		7,915.		1,790.
Complete only for assets showing	g gain in column (h) and owned by t	he foundation on 12/31/69	(I) Lo	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (I) over col. (j), If any	Gains (excess but r	of col. (h) gain over col. (k), not less than "-0-")
a				0.
b				2.
c				2.
d				<233.>
е				<27.>
f		-		<11.>
g				<957 <b>.</b> >
h				<1,434.>
1				2,589.
,				1,096.
k				759.
1,042.				
m				
n 720.				
0 1,790.				
2 Capital gain net income or (net cap	pital loss) { If gain, also enter -0	In Part I, line 7	2	
•		<b>.</b>		
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8,	s) as defined in sections 1222(5) an column (c).	u (o).		
If (loss), enter "-0-" in Part I, line 8	( <i>v)</i> -	J	3	
, , ,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	<u> </u>		. <del></del>	

Cupital Gamo and Lt	Jases for Tax on investinent income				
(a) List an 2-story b	d describe the kind(s) of property so prick warehouse; or common stock, 2	ld, e.g., real estate, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 146 VANGUARD S			P	03/17/10	06/29/12
				03/1//10	06/28/13
b 214 WISDOMTREE			P	02/06/13	06/28/13
c Capital Gains	Dividends				
d	·				
e					
<u>f</u>					
<u>g</u>					
h					
1		<u> </u>		<del></del>	<del></del>
k		······································			
1		*			
m		· · · · · ·			
<u>n</u>					
0	1	T			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) (e) p	Gain or (loss) lus (f) minus (g)	
a 9,695.		7,139.			2,556.
b 9,783.		8,814.			969.
c 27,784.				-	27,784.
ď					
е			<del> </del>		
f					
<u>g</u> h			-		
II					
1					
<u>k</u>					
<u> </u>					
m					
<u>n</u>					
0					
Complete only for assets show	ng gain in column (h) and owned by	the foundation on 12/31/69	(I) Los	ses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of but n	of col. (h) gain over ot less than "-0-")	col. (k),
a					2,556.
b	-				969.
C	1				27,784.
d	<del>-</del>			_	41,104.
		-		<del></del>	
<u>e</u>					
<u>f</u>	<del></del>				·
<u>g</u>					
<u>h</u>					
<u> </u>					
<u></u>					
<u>k</u>					
1					
<u>m</u>					
n					
0					
<del></del>		Dod Las 7			
2 Capital gain net income or (net c	apital loss) { If gain, also enter	r in Part I, line 7 )-" in Part I, line 7	2		116,564.
	ess) as defined in sections 1222(5) ar	_		-	
3 Net short-term capital gain or (lo if gain, also enter in Part I, line 8	, column (c)	iu (0).			
If (loss), enter "-0-" in Part I, line	8	ſ	3	N/A	

Form 990-PF	Dividends	and Intere	est f	rom Secur	ities S	tatement	1
Source	Gross Amount	Capital Gains Dividends		(a) Revenue er Books	(b) Net Invest- ment Income	-	
Department of							
Treasury	12.		).	12.	12.		
Fidelity 142	5,559.		).	5,559.	5,509.		
Fidelity 150	18,365.		).	18,365.			
Fidelity 169	26,586.	1,176		25,410.			
Fidelity 172	90,524.	27,042	2.	63,482.	55,500.		
Powershares DB Commodity Index	<411.>	<434	1.>	23.	23.		
To Part I, line 4	140,635.	27,784	 1.	112,851.	104,209.		
Form 990-PF		Other I	ncome		S	tatement	2
Description		,	(a Reve Per B	nue N	(b) et Invest- ent Income	(c) Adjusted Net Incom	
————			er p		ent income	Net Incom	e
Sec 1256 contracts				<2,500.>	<2,500.>		
Total to Form 990-P	F, Part I,	line 11		<2,500.>	<2,500.>		
Form 990-PF		Accounti	ng Fe	es	S	tatement	3
Form 990-PF		<u> </u>	ng Fe	<del></del>	. <u>.</u>		3
Form 990-PF		(a)		(b)	(c)	(d)	
		(a) Expenses	Net	(b) Invest-	(c) Adjusted	(d) Charital	ole
Form 990-PF  Description		(a)	Net	(b)	(c)	(d)	
		(a) Expenses	Net	(b) Invest-	(c) Adjusted	(d) Charital	ole es

	Other Profes	sional Fees		Statement 4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Incom	
Investment Management Fees HHG Foundation Services	60,738. 3,000.	60,738.		0. 1,500.
To Form 990-PF, Pg 1, ln 16c	63,738.	62,238.		1,500.
Form 990-PF	Tax	es		Statement 5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Incom	
Foreign tax paid Payroll tax 2012 excise tax 2013 estimates	1,449. 3,934. 4,968. 4,960.	1,449. 1,967. 0.		0. 1,967. 0.
To Form 990-PF, Pg 1, ln 18	15,311.	3,416.		1,967.
Form 990-PF Other Increase	s in Net Ass	ets or Fund B	alances	Statement 6
Description				Amount
Description  Unrealized gain/loss on inve	stments			Amount 284,444.
Unrealized gain/loss on inve				<del></del>
Unrealized gain/loss on inve		e Stock		284,444.
Unrealized gain/loss on inve Total to Form 990-PF, Part I Form 990-PF	II, line 3		ok Value	284,444. 284,444.
Description Unrealized gain/loss on inve Total to Form 990-PF, Part I  Form 990-PF  Description Equities (schedule attached) Fixed income (schedule attached) Alternative (schedule attached)	Corporat	Во	ok Value 1,811,322. 1,124,646. 537,542.	284,444. 284,444.  Statement 7