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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

THE BRADLEY FAMILY FOUNDATION
5448 ISLAND FOREST PLACE
WESTLAKE VILLAGE, CA 91362

A Employer identification number
20-8114127

B Telephone number (see the instructions)
(818) 889-7161

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 282,248.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)	24,400.			
2 <input type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	4.	4.	4.	
4 Dividends and interest from securities	10,882.	9,542.	9,542.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	1,323.			
b Gross sales price for all assets on line 6a	283,664.			
7 Capital gain net income (from Part IV, line 2)		1,323.		
8 Net short-term capital gain			1,323.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	36,609.	10,869.	10,869.	
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages				
15 Pension plans; employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) SEE ST 1	1,620.	810.		810.
c Other prof fees (attach sch) SEE ST 2	1,583.	1,583.		
17 Interest				
18 Taxes (attach schedule)(see instrs)				
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	4,263.			4,263.
22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 3	97.	50.		47.
24 Total operating and administrative expenses. Add lines 13 through 23	7,563.	2,443.		5,120.
25 Contributions, gifts, grants paid PART XV	13,242.			13,242.
26 Total expenses and disbursements. Add lines 24 and 25	20,805.	2,443.	0.	18,362.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	15,804.			
b Net investment income (if negative, enter -0-)		8,426.		
c Adjusted net income (if negative, enter -0-)			10,869.	

SCANNED MAY 22 2014
ADMINISTRATIVE
EXPENSES

19

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
ASSETS	1	Cash — non-interest-bearing	28,786.	21,964.	21,964.		
	2	Savings and temporary cash investments					
	3	Accounts receivable					
		Less: allowance for doubtful accounts					
	4	Pledges receivable					
		Less: allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach sch)					
		Less: allowance for doubtful accounts					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments — U.S. and state government obligations (attach schedule)					
	b	Investments — corporate stock (attach schedule)					
	c	Investments — corporate bonds (attach schedule)					
	LIABILITIES	11	Investments — land, buildings, and equipment basis				
		Less: accumulated depreciation (attach schedule)					
12		Investments — mortgage loans					
13		Investments — other (attach schedule)	248,179.	260,284.	260,284.		
14		Land, buildings, and equipment basis					
		Less: accumulated depreciation (attach schedule)					
15		Other assets (describe)					
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	276,965.	282,248.	282,248.		
17		Accounts payable and accrued expenses					
18		Grants payable					
FUND ASSETS	19	Deferred revenue					
	20	Loans from officers, directors, trustees, & other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe)					
	23	Total liabilities (add lines 17 through 22)	0.	0.			
FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>						
	24	Unrestricted	276,965.	282,248.			
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, building, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see instructions)	276,965.	282,248.			
	31	Total liabilities and net assets/fund balances (see instructions)	276,965.	282,248.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	276,965.
2	Enter amount from Part I, line 27a	2	15,804.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	292,769.
5	Decreases not included in line 2 (itemize) <u>SEE STATEMENT 4</u>	5	10,521.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	282,248.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a TD AMERITRADE - SEE ATTACHED		P	VARIOUS	VARIOUS
b TD AMERITRADE - SEE ATTACHED		P	VARIOUS	VARIOUS
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 177,699.		179,946.	-2,247.
b 105,965.		102,395.	3,570.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of column (i) over column (j), if any	
a			-2,247.
b			3,570.
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2	1,323.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 </div>		3	1,323.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	22,460.	256,613.	0.087525
2011	16,613.	239,161.	0.069464
2010	15,081.	212,724.	0.070895
2009	12,998.	160,888.	0.080789
2008	12,081.	120,472.	0.100281

2 Total of line 1, column (d)	2	0.408954
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.081791
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	273,861.
5 Multiply line 4 by line 3	5	22,399.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	84.
7 Add lines 5 and 6	7	22,483.
8 Enter qualifying distributions from Part XII, line 4	8	18,362.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 -- see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary -- see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b		1	169.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	169.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	169.
6 Credits/Payments			
a 2013 estimated tax prmts and 2012 overpayment credited to 2013	6 a	262.	
b Exempt foreign organizations -- tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	262.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	93.	
11 Enter the amount of line 10 to be credited to 2014 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>LINDA BRADLEY</u> Telephone no. <u>818-889-7161</u> Located at <u>5448 ISLAND FOREST PLACE WESTLAKE VILLAGE CA</u> ZIP + 4 <u>91362</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> .		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7b****b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LINDA BRADLEY 5448 ISLAND FOREST PLACE WESTLAKE VILLAGE, CA 91362	SECRETARY 0	0.	0.	0.
TIMOTHY BRADLEY 5448 ISLAND FOREST PLACE WESTLAKE VILLAGE, CA 91362	PRESIDENT 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	254,491.
b	Average of monthly cash balances	1 b	23,540.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	278,031.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	278,031.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	4,170.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	273,861.
6	Minimum investment return. Enter 5% of line 5	6	13,693.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	13,693.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	169.
b	Income tax for 2013 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	169.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	13,524.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	13,524.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,524.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	18,362.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	18,362.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	18,362.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				13,524.
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			0.	
b Total for prior years. 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	6,072.			
b From 2009	5,264.			
c From 2010	4,946.			
d From 2011	4,839.			
e From 2012	9,777.			
f Total of lines 3a through e	30,898.			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 18,362.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				13,524.
e Remaining amount distributed out of corpus	4,838.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	35,736.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	6,072.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	29,664.			
10 Analysis of line 9.				
a Excess from 2009	5,264.			
b Excess from 2010	4,946.			
c Excess from 2011	4,839.			
d Excess from 2012	9,777.			
e Excess from 2013	4,838.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling					
b Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
SEE STATEMENT 5

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 6				
Total			3a	13,242.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4.	
4 Dividends and interest from securities			14	10,882.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					1,323.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				10,886.	1,323.
13 Total. Add line 12, columns (b), (d), and (e)				13	12,209.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE BRADLEY FAMILY FOUNDATION

Employer identification number

20-8114127

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE BRADLEY FAMILY FOUNDATION

20-8114127

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TIMOTHY & LINDA BRADLEY 5448 ISLAND FOREST PLACE WESTLAKE VILLAGE, CA 91362	\$ 24,400.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

20-8114127

Part II

[illegible]

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

THE BRADLEY FAMILY FOUNDATION

Employer identification number

20-8114127

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10)**organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once See instructions)

► \$ _____ N/A

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2013

FEDERAL STATEMENTS

PAGE 1

THE BRADLEY FAMILY FOUNDATION

20-8114127

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 1,620.	\$ 810.		\$ 810.
TOTAL	\$ 1,620.	\$ 810.	\$ 0.	\$ 810.

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	\$ 1,583.	\$ 1,583.		
TOTAL	\$ 1,583.	\$ 1,583.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER FEES	\$ 50.	\$ 50.		
POSTAGE & DELIVERY	47.			\$ 47.
TOTAL	\$ 97.	\$ 50.	\$ 0.	\$ 47.

STATEMENT 4
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

CHANGE IN FAIR MARKET VALUE OF INVESTMENTS		\$ 10,521.
TOTAL		\$ 10,521.

STATEMENT 5
FORM 990-PF, PART XV, LINE 1A
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

LINDA BRADLEY
TIMOTHY BRADLEY

THE BRADLEY FAMILY FOUNDATION

20-8114127

STATEMENT 6
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
COUNCIL FOR NATIONAL POLICY 1411 K ST. N.W., SUITE 601 WASHINGTON, DC 20005	N/A	EXEMPT	EDUCATIONAL	\$ 2,500.
THE CHRISTIAN BROADCASTING NETWORK 977 CENTERVILLE TURNPIKE VIRGINIA BEACH, VA 23463	N/A	EXEMPT	RELIGIOUS	200.
FOCUS ON THE FAMILY P.O. BOX 35500 COLORADO SPRINGS, CO 80935	N/A	EXEMPT	RELIGIOUS	3,000.
WESTMINISTER PRESBYTERIAN CHURCH 32111 WATERGATE ROAD WESTLAKE VILLAGE, CA 91361	N/A	EXEMPT	CHARITY	2,000.
WORLD VISION PO BOX 70102 TACOMA, WA 98481	N/A	EXEMPT	RELIGIOUS	1,772.
THE NATIONAL DAY OF PRAYER PO BOX 64225 COLORADO SPRINGS, CO 80962	N/A	EXEMPT	RELIGIOUS	100.
CHILDREN'S HUNGER FUND 12820 PIERCE ST PACOIMA, CA 91331	N/A	EXEMPT	CHARITABLE	100.
SALVATION ARMY 180 EAST OCEAN BLVD LONG BEACH, CA 90802	N/A	EXEMPT	CHARITY	300.
CROSSROADS CHURCH PO BOX 305 COPPEROPOLIS, CA 95228	N/A	EXEMPT	RELIGIOUS	750.
FAMILY TALK 540 ELKTON DR. COLORADO SPRINGS, CO 80907	N/A	EXEMPT	RELIGIOUS	650.
PRISON FELLOWSHIP PO BOX 1550 MERRIFIELD, VA 22116	N/A	EXEMPT	CHARITY	100.
AMERICANS UNITED FOR LIFE 655 15TH STREET NW SUITE 410 WASHINGTON DC, DC 20005	N/A	EXEMPT	CHARITABLE	400.

THE BRADLEY FAMILY FOUNDATION

20-8114127

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CONEJO PREGNANCY CENTER 80 E HILLCREST DR. #130 THOUSAND OAKS, CA 91360	N/A	EXEMPT	CHARITABLE	\$ 100.
INTERNATIONAL OUTREACH MINISTRIES PO BOX 2140 MCCOMB, MS 39649	N/A	EXEMPT	CHARITABLE	250.
ILLUSTR MEDIA PO BOX 636 LA MIRADA, CA 90637	N/A	EXEMPT	CHARITABLE	250.
SAMARITAN'S PURSE PO BOX 3000 BOONE, NC 28607	N/A	EXEMPT	CHARITABLE	100.
FRIENDS OF THE KARDELEN FOUNDATION 4215 TIERRA REJADA RD. #191 MOORPARK, CA 93021	N/A	EXEMPT	CHARITABLE	120.
JOYCE MEYER MINISTRIES PO BOX 655 FENTON, MO 63026	N/A	EXEMPT	CHARITABLE	100.
ALL THINGS POSSIBLE MINISTRIES PO BOX 697 MURRIETA, CA 92564	N/A	EXEMPT	CHARITABLE	100.
AZUSA PACIFIC UNIVERSITY 901 E ALOSTA AVE AZUSA, CA 91702	N/A	EXEMPT	CHARITABLE	200.
WOUNDED WARRIOR PROJECT 4899 BELFORD RD #300 JACKSONVILLE, FL 32256	N/A	EXEMPT	CHARITABLE	50.
CA FAMILY RESEARCH COUNCIL PO BOX 20012 RIVERSIDE, CA 92516	N/A	EXEMPT	CHARITABLE	100.

TOTAL \$ 13,242.

TD AMERITRADE CLEARING INC

Account 928004102

Proceeds Not Reported to the IRS

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
BLACKROCK FDS GNMA PORTFOLIO INSTL / CUSIP 091929695 / Symbol BGNIX							
03/15/13	654 271	6,595 05	06/08/12	6,819 55	1 46	-224 50	Sale
03/18/13	654 271	6,595 05	VARIOUS	6,821 01	.	-225 96	Sale
Security total	13,190 10			13,640 56	1 46	-450 46	
DWS MUNICIPAL TRUST STRAT HIGH YLD TX FREE CL S / CUSIP 23337W501 / Symbol SHYTX							
06/12/13	33 164	421 51	VARIOUS	428 94		-7 43	Sale
DOUBLELINE TOTAL RETURN BOND I / CUSIP 258620103 / Symbol DBLTX							
06/25/13	95 729	1,059 72	VARIOUS	1,076 05	.	-16 33	Sale
FIDELITY GINNE MAE PRTF / CUSIP 31617K105 / Symbol FGMNX							
03/18/13	40 371	471 94	VARIOUS	478 29	.	-6 35	Sale
JP MORGAN MORTGAGE BACKED SECS SELECT / CUSIP 4812C1215 / Symbol OMBIX							
06/21/13	18,691	212.33	VARIOUS	216 00	.	-3 67	Sale
NEUBERGER BERMAN HIGH INCOME BOND CLASS A / CUSIP 64128K702 / Symbol NHIAH							
08/19/13	1,358.592	12,716.42	VARIOUS	12,988 00		-271 58	Sale
NUVEEN HIGH YIELD MUNI BD I / CUSIP 67065Q772 / Symbol NHMIRX							
06/14/13	70 000	1,169 70	VARIOUS	1,166 70	.	3 00	Sale
PIMCO LONG TRM US GOVT FD INSTL / CUSIP 693390205 / Symbol PGOVX							
05/29/13	668 930	7,030 45	VARIOUS	7,311 61		-281 16	Sale
PIMCO HIGH YIELD FD INSTL / CUSIP: 693390841 / Symbol PHIYX							
05/31/13	1,574 067	15,268 45	VARIOUS	14,635 97		632 48	Sale
08/22/13	1,360.177	12,799.27	VARIOUS	12,976 09		-176 82	Sale
Security total.		28,067 72		27,612 06	0 00	455 66	
PIMCO FOREIGN BD FD INSTL (HEDGED) / CUSIP 693390882 / Symbol PFORX							
06/12/13	245 170	2,601 25	VARIOUS	2,665 05	..	-63 80	Sale
PIMCO REAL RETURN FD INSTL CL / CUSIP 693391104 / Symbol PRRIX							
12/05/13	691 244	7,672 81	VARIOUS	7,748 97	.	-76 16	Sale

Less commissions

TD AMERITRADE CLEARING INC

Account 928004102

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
PIMCO EMERG MKTS BD FD INSTL / CUSIP 693391559 / Symbol PEBIX							
08/22/13	378 971	4,153 52	07/24/13	4,327 80	0 48	-174 28	Sale
08/23/13	379 028	4,173 10	07/24/13	4,328 70	...	-155 60	Sale
08/29/13	379 028	4,157 94	VARIOUS	4,328 69		-170 75	Sale
Security total		12,484 56		12,985 19	0 48	-500 63	
PIMCO DIVERSIFIED INCOME INSTL / CUSIP 693391880 / Symbol PDIX							
05/31/13	49 923	601 07	VARIOUS	599 69		1 38	Sale
PIMCO FDS FUNDAMENTAL ADV ABS RT STG INS / CUSIP 72201F110 / Symbol PFATX							
06/24/13	2,907 206	12,181 19	VARIOUS	13,063 34		-882 15	Sale
PIMCO FDS INCOME INSTL / CUSIP 72201F490 / Symbol PIMIX							
06/20/13	1,205 331	14,813 52	VARIOUS	14,845 29		-31 77	Sale
PIMCO FDS LONG TERM CREDIT FD INSTL / CUSIP 72201P647 / Symbol PTCIX							
05/30/13	1,519 363	19,584 59	VARIOUS	20,238 83		-654 24	Sale
PIMCO FUNDS HIGH YIELD SPECTRUM INSTL / CUSIP 72201W204 / Symbol PHSIX							
06/03/13	1,589 778	17,582 94	VARIOUS	16,695 44		887 50	Sale
06/04/13	8 612	95 08	05/31/13	90 44		4 64	Sale
Security total		17,678 02		16,785 88	0 00	892 14	
PRINCIPAL HIGH YIELD FD CL I / CUSIP 74254U481 / Symbol PHYTX							
08/23/13	828 380	6,395 09	07/17/13	6,486 18	1.25	-91 09	Sale
RYDEX SER TR INVERSE GOVT LNG BD STRAT INV / CUSIP 783554702 / Symbol RYJUX							
10/16/13	663 207	6,453 00	10/03/13	6,453 00			Sale
TCW FUNDS TOTAL RETURN BD FD I / CUSIP 87234N880 / Symbol TGLMX							
06/21/13	614 707	6,171 66	VARIOUS	6,291 69	0 88	-120 03	Sale
06/24/13	614 706	6,159 35	VARIOUS	6,292 56		-133 21	Sale
Security total		12,331 01		12,584 25	0 88	-253 24	
VANGUARD GNMA FUND / CUSIP 922031307 / Symbol VFIIX							
03/18/13	51 925	562 87	VARIOUS	572 20		-9 33	Sale

Less commissions

TD AMERITRADE CLEARING INC

Account 928004102

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
Totals:		177,698.87		179,946.08	4.07	-2,247.21	

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
DWS MUNICIPAL TRUST STRAT HIGH YLD TX FREE CL S / CUSIP 23337W601 / Symbol SHYTX 06/12/13	739 712	9,401 74	VARIOUS	8,562 98	0 00	838 76	Total of 2 lots
DOUBLELINE TOTAL RETURN BOND I / CUSIP 258620103 / Symbol DBLTX 03/20/13	821 353	9,330 57	VARIOUS	9,164 91	...	165 66	Sale
06/25/13	1,018 831	11,278 46	VARIOUS	11,374 83	0 00	-96 37	Total of 2 lots
Security total		20,609 03		20,539 74	0 00	69 29	
FIDELITY GINNE MAE PRTF / CUSIP 31617K105 / Symbol FGMNX 03/18/13	1,101 069	12,871 49	VARIOUS	13,144 77	0 00	-273 28	Total of 2 lots
JP MORGAN MORTGAGE BACKED SECS SELECT / CUSIP 4812C1215 / Symbol OMBIX 06/20/13	366 275	4,171 87	11/29/11	4,190 13	0 08	-18 26	Sale
06/21/13	347 584	3,948 55	VARIOUS	3,978 06	0 00	-29 51	Total of 2 lots
Security total		8,120 42		8,168 19	0 08	-47 77	
NUVEEN HIGH YIELD MUNI BD I / CUSIP 67065Q772 / Symbol NHMRX 06/12/13	393 307	6,587 89	VARIOUS	5,775 08		812 81	Sale
06/13/13	399 266	6,663 75	06/20/11	5,862 58		* 801 17	Sale
06/14/13	329 265	5,502 02	VARIOUS	4,908 39	0 00	593 63	Total of 2 lots
Security total		18,753 66		16,546 05	0 00	2,207 61	
PIMCO FOREIGN BD FD INSTL (HEDGED) / CUSIP 693390882 / Symbol PFORX 06/12/13	947 741	10,055 54	VARIOUS	9,511 75	0 00	543 79	Total of 2 lots

Less commissions

TD AMERITRADE CLEARING INC

Account 928004102

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
PIMCO DIVERSIFIED INCOME INSTL / CUSIP 693391880 / Symbol PDIX 05/31/13	841 889	10,136 35	VARIOUS	9,385 36	0 00	750 99	Total of 2 lots
VANGUARD GNMA FUND / CUSIP 922031307 / Symbol VFIX							
03/15/13	765 076	8,285 77	09/13/11	8,559 84	0 99	-274 07	Sale
03/18/13	713 151	7,730 55	VARIOUS	7,976 55	0 00	-246 00	Total of 2 lots
Security total		16,016 32		16,536 39	0 99	-520 07	
Totals:		105,964.55		102,395.23	1.07	3,569.32	

Less commissions