Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

Name of foundation: RICHARD RIFFEL FOUNDATION INC.

A Employer identification number: 20-8113258

Number and street (or P O box number if mail is not delivered to street address): PO BOX 177 Room/suite

B Telephone number (see the instructions): (813) 645-2669

City or town, state or province, country, and ZIP or foreign postal code: RUSKIN FL 33575-0177

C If exemption application is pending, check here ► ☐

G Check all that apply. ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year (*from Part II, column (c), line 16*) ► $ 216,844.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (*Part I, column (d) must be on cash basis.*)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	9,000.			
	2 Ck ► ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	10.	10.	10.	
	4 Dividends and interest from securities	3,245.	3,245.	3,245.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	16,271.	L-6a Stmt		
	b Gross sales price for all assets on line 6a 293,826.				
	7 Capital gain net income (from Part IV, line 2)		16,271.		
	8 Net short-term capital gain			16,271.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) IRS REFUND	108.	108.	108.	
	12 **Total.** Add lines 1 through 11	28,634.	19,634.	19,634.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	775.			
	c Other prof fees (attach sch) L-16c Stmt	2,451.	2,451.	2,451.	
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	259.	259.	259.	
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) IRS PENALTY	3,279.			
	24 **Total operating and administrative expenses.** Add lines 13 through 23	6,764.	2,710.	2,710.	
	25 Contributions, gifts, grants paid	8,850.			8,850.
	26 **Total expenses and disbursements.** Add lines 24 and 25	15,614.	2,710.	2,710.	8,850.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	13,020.			
	b **Net investment income** (if negative, enter -0-)		16,924.		
	c **Adjusted net income** (if negative, enter -0-)			16,924.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	34,545.	54,105.	53,967.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U.S. and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule) L-10b Stmt	158,036.	151,496.	162,877.
	c	Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment: basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)			
	14	Land, buildings, and equipment: basis ►			
		Less. accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)	192,581.	205,601.	216,844.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► and complete lines 24 through 26 and lines 30 and 31.** ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► and complete lines 27 through 31. X			
	27	Capital stock, trust principal, or current funds	192,581.	205,601.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	192,581.	205,601.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	192,581.	205,601.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	192,581.
2	Enter amount from Part I, line 27a	2	13,020.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	205,601.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	205,601.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	PUBLICLY TRADED SECURITES-SEE SCHEDULE	P	Various	Various
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	293,826.		277,555.	16,271.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a	0.	0.	0.	16,271.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	16,271.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	16,271.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	9,600.	194,139.	0.049449
2011	9,600.	180,944.	0.053055
2010	7,000.	170,455.	0.041067
2009	7,750.	154,405.	0.050193
2008	10,500.	159,870.	0.065678

2	**Total** of line 1, column (d)	2	0.259442
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051888
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	196,115.
5	Multiply line 4 by line 3	5	10,176.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	169.
7	Add lines 5 and 6	7	10,345.
8	Enter qualifying distributions from Part XII, line 4	8	8,850.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary — see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	169.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	169.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	169.
6	Credits/Payments:		
a	2013 estimated tax pmts and 2012 overpayment credited to 2013 — 6 a		
b	Exempt foreign organizations — tax withheld at source — 6 b		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c		
d	Backup withholding erroneously withheld — 6 d		
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	169.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	0.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ► | **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► FL - Florida			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► RICHARD A. RIFFEL, PRESIDENT Telephone no. ► (813) 645-2669
Located at ► PO BOX 177 RUSKIN FL ZIP + 4 ► 33570-0177

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here ► ☐
and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions.)	2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5 a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes	☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No		
b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			**5 b**	
Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d).				
6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			**6 b**	X
If 'Yes' to 6b, file Form 8870.				
7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?			**7 b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD A. RIFFEL PO BOX 177 RUSKIN FL 33575	PRESIDENT 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Provide assistance to charitable organizations whose purpose and goals are family planning, the reduction and elimination of uncontrolled population growth and protection of the environment.	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions 3	
Total. Add lines 1 through 3	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	154,655.
b	Average of monthly cash balances	1b	44,447.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	199,102.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	199,102.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	2,987.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	196,115.
6	**Minimum investment return.** Enter 5% of line 5	6	9,806.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	9,806.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	169.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	169.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	9,637.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	9,637.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	9,637.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes.		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	8,850.
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,850.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	169.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	8,681.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				9,637.
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			7,009.	
b Total for prior years. 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008 . . . 0.				
b From 2009 . . . 0.				
c From 2010 . . . 0.				
d From 2011 . . . 0.				
e From 2012 . . . 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 8,850.				
a Applied to 2012, but not more than line 2a			7,009.	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				1,841.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				7,796.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009 . . 0.				
b Excess from 2010 . . 0.				
c Excess from 2011 . . 0.				
d Excess from 2012 . . 0.				
e Excess from 2013 . . 0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

Richard A. Riffel

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
Planned Parenthood of SW FL 2055 Wood St Ste 110 Sarasota FL 34237	n/a	Public	Unrestricted	2,000.
Planned Parenthood International 2055 Wood St Ste 110 Sarasota FL 34237	n/a	Public	Unrestricted	1,000.
USO 2111 Wilson Blvd Ste 1200 Arlington VA 22201	n/a	Public	Unrestricted	600.
Salvation Army PO Box 2839 Tampa FL 33601	n/a	Public	Unrestricted	750.
Environmnetal Defense Fund 1875 Connecticut Ave Washington DC 2009	n/a	Public	Unrestricted	1,200.
NRDC 40 West 20th Street New York NY 10011	n/a	Public	Unrestricted	1,000.
Nature Conservancy 4245 N. Fairfax Dr Ste 100 Arlington VA 22203	n/a	Public	Unrestricted	1,000.
Pro English 1601 N. Kent St Arlington VA 22209	n/a	Public	Unrestricted	1,000.
FL Wildlife Federation PO Box 6870 Talahassee FL 32314	n/a	Public	Unrestricted	300.
Total ► 3a				8,850.
***b** Approved for future payment*				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	10.	
4 Dividends and interest from securities			14	3,245.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18		16,271.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				3,255.	16,271.

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 19,526.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
8	EXEMPT FUNCTION INCOME

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Richard Riffel — April 25 2014 — PRESIDENT

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SHELDON L. BARAT, CPA	Sheldon L. Barat	4-17-14		P00081941
Firm's name ► BARAT & BARAT, CPA's			Firm's EIN ► 59-3613518	
Firm's address ► 550 N. REO STREET STE 300 TAMPA FL 33609-1037			Phone no (813) 289-1111	

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization	Employer identification number
RICHARD RIFFEL FOUNDATION INC.	20-8113258

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule** .

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (**1**) $5,000 or (**2**) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year . . . . ► $ ____________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: RICHARD RIFFEL FOUNDATION INC.

Employer identification number: 20-8113258

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RICHARD A. RIFFEL PO BOX 177 RUSKIN FL 33575	$ 9,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2013

Name: RICHARD RIFFEL FOUNDATION INC.

Employer Identification Number: 20-8113258

Asset Information:

Description of Property: RAYMOND JAMES-SEE STATEMENT
Date Acquired: Various — How Acquired: Purchased
Date Sold: 12/30/13 — Name of Buyer:
Sales Price: 285,582. — Cost or other basis (do not reduce by depreciation): 270,909.
Sales Expense: — Valuation Method:
Total Gain (Loss): 14,673. — Accumulation Depreciation:

Description of Property: RAYMOND JAMES-SEE STATEMENT
Date Acquired: Various — How Acquired: Purchased
Date Sold: 12/30/13 — Name of Buyer:
Sales Price: 8,244. — Cost or other basis (do not reduce by depreciation): 6,646.
Sales Expense: — Valuation Method:
Total Gain (Loss): 1,598. — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Additional Information

STATEMENT REGARDING STATE FILING

THE NON PROFIT FOUNDATION IS REGISTERED IN FLORIDA, WHERE THERE IS NOT A REQUIREMENT TO SEND A COPY TO ITS ATTORNEY GENERAL, OR DESIGNATE.

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
TAX ON INVESTMENT INCOME	238.	238.	238.	
Foreign taxes	21.			
Total	259.	238.	238.	

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BARAT & BARAT CPAs	TAX PREPARATION	775.			
Total		775.			

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Day Hagan Asset Mgt	Advisory	2,451.			
Total		2,451.			

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	Fair Market Value
ISHARES TR CORE TOT US	42,985.	41,508.
ISHARES TR MSCI EMERG MKT	1,704.	1,714.
ISHARES TR RUSSELL 1000 VAL	12,677.	13,843.
ISHARES TR RUSSELL 1000 GRW	3,113.	3,524.
ISHARES TR RUSSELL 2000 VAL	47,286.	54,924.
ISHARES TR RUSSELL 2000 GRW	10,691.	14,093.
VANGUARD INTL EQUITY INDEX-PACIFIC	31,393.	31,508.
VANGUARD INTL EQUITY INDEX-EUROPE	1,647.	1,763.
Total	151,496.	162,877.

Additional Information

STATEMENT-PART IV

LINE 1a-STATEMENT OF PUBLICLY TRADED SECURITIES.

Raymond James & Associates, Inc. — Supplemental Information — Account 52515014

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items to determine the correct gains and losses The amounts shown below are for informational purposes.

Term*	Category	Detail Schedule	Gross Proceeds#	Cost Basis+	Wash Sale Loss Disallowed	Net Gain/Loss
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	285,582 21	270,908 70	0 00	14,673 51
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	8,243 69	6,645 89	0 00	1,597 80
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0 00	0 00	0 00
		Total Category C, F	**293,825.90**	**277,554.59**	**0.00**	**16,271.31**
		Grand total	**293,825.90**	**277,554 59**	**0.00**	**16,271.31**

Withholding from Proceeds

Federal income tax withheld	0 00
State tax withheld	0 00

SUMMARY OF INCOME, FEES, EXPENSES AND EXPENDITURES

Interest Payments and Other Adjustments

Taxable accrued interest paid	0 00
Tax-exempt accrued interest paid	0 00
Tax-exempt accrued interest paid AMT	0 00
Taxable accrued interest paid on OID bonds	0 00
Non qualified interest	0 00
Taxable non qualified interest paid	0 00
Projected interest shortfall on contingent payment debt	0 00

Other Receipts

Partnership distributions	0 00
Foreign tax paid - partnership distributions	0 00
Return of principal distributions	0 00
Deferred income payment	0 00

Expenses

Margin interest	0 00
Dividends paid - short position	0 00
Interest paid - short position	0 00
Non reportable distribution expense	0 00
Other expenses	0 00
Severance tax	0 00

Opening Transactions

Securities purchased	271,014 41
Installment payments	0 00
Short sales	0 00

Fees and Payments Received

Deemed premium	0 00
Organizational expense	0 00
Income accrual - UIT	0 00
Miscellaneous fees	2,450 80
Basis adjustments	0 00
Tax-exempt investment expense	0 00
Foreign tax withholding paid in excess of treaty rate	0 00

Options Transactions

Sales	0 00
Short sales	0 00
Purchases	0.00
Purchases to close	0 00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term
Less commissions
+Cost basis totals include only amounts that were available to us

Raymond James & Associates, Inc.
2013
Proceeds Not Reported to the IRS
Account: 52515014

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B
Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ISHARES CORE TOTUSBD ETF / CUSIP: 464287226 / Symbol: AGG							
	4 tax lots for 02/01/13						
	2 000	*220 40*	*04/02/12*	*219 42*	*0 00*	*0 98*	*Sale*
	61 000	*6,722 05*	*05/01/12*	*6,729 24*	*0 00*	*-7 19*	*Sale*
	54 000	*5,950 66*	*07/02/12*	*6,009 79*	*0 00*	*-59 13*	*Sale*
	24 000	*2,644 74*	*11/01/12*	*2,685 27*	*0 00*	*-40 53*	*Sale*
02/01/13	141 000	15,537 85	VARIOUS	15,643 72	0 00	-105 87	Total of 4 lots
	2 tax lots for 03/01/13						
	45 000	*4,978 54*	*11/01/12*	*5,034 89*	*0 00*	*-56 35*	*Sale*
	42.000	*4,646 63*	*12/03/12*	*4,686 30*	*0 00*	*-39 67*	*Sale*
03/01/13	87 000	9,625 17	VARIOUS	9,721 19	0 00	-96 02	Total of 2 lots
06/03/13	60.000	6,535 10	12/03/12	6,694 71	0 00	-159 61	Sale
08/01/13	85 000	9,058 49	12/03/12	9,484 17	0 00	-425 68	Sale
	2 tax lots for 10/01/13						
	68 000	*7,271 80*	*12/03/12*	*7,587 34*	*0 00*	*-315 54*	*Sale*
	26 000	*2,780 40*	*01/02/13*	*2,883 39*	*0 00*	*-102 99*	*Sale*
10/01/13	94 000	10,052.20	VARIOUS	10,470 73	0 00	-418 53	Total of 2 lots
	Security total:	50,808 81		52,014 52	0 00	-1,205 71	
ISHARES MSCI EMG MKT ETF / CUSIP 464287234 / Symbol EEM							
01/02/13	152 000	6,850 48	10/03/12	6,339 92	0 00	510 56	Sale
	2 tax lots for 03/01/13.						
	640 000	*27,603 98*	*10/03/12*	*26,694 40*	*0 00*	*909 58*	*Sale*
	66 000	*2,846 66*	*02/01/13*	*2,931 06*	*0 00*	*-84 40*	*Sale*
03/01/13	706 000	30,450 64	VARIOUS	29,625 46	0 00	825 18	Total of 2 lots
11/01/13	108 000	4,574 81	09/17/13	4,489 56	0 00	85 25	Sale
	Security total:	41,875 93		40,454 94	0 00	1 420 99	
ISHARES RUS 1000 VAL ETF / CUSIP: 464287598 / Symbol IWD							
01/02/13	104 000	7,702 07	04/02/12	7,346 73	0.00	355 34	Sale
	3 tax lots for 03/01/13						
	3 000	*235 53*	*04/02/12*	*211 93*	*0 00*	*23 60*	*Sale*
	416 000	*32,659 79*	*07/02/12*	*28,263 29*	*0 00*	*4,396 50*	*Sale*

Less commissions

Raymond James & Associates, Inc.
2013
Proceeds Not Reported to the IRS
(continued)
Account 52515014

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B
Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ISHARES RUS 1000 VAL ETF / CUSIP: 464287598 / Symbol IWD (cont'd)							
	16 000	*1,256 15*	*10/03/12*	*1,164 09*	*0 00*	*92 06*	*Sale*
03/01/13	435 000	34,151 47	VARIOUS	29,639 31	0 00	4,512 16	Total of 3 lots
04/01/13	15 000	1,211 07	10/03/12	1,091 34	0 00	119 73	Sale
07/16/13	108 000	9,409 01	10/03/12	7,857 64	0 00	1,551 37	Sale
	2 tax lots for 11/01/13						
	16 000	*1,437 18*	*02/01/13*	*1,249 76*	*0 00*	*187 42*	*Sale*
	47 000	*4,221 71*	*05/28/13*	*4,053 28*	*0 00*	*168 43*	*Sale*
11/01/13	63 000	5,658.89	VARIOUS	5,303 04	0 00	355 85	Total of 2 lots
	Security total	58,132 51		51,238 06	0 00	6,894 45	
ISHARES RUS 1000 GRW ETF / CUSIP: 464287614 / Symbol IWF							
01/02/13	28.000	1,868 72	04/02/12	1,857 78	0 00	10 94	Sale
	2 tax lots for 03/01/13						
	114 000	*7,886 34*	*04/02/12*	*7,563 84*	*0 00*	*322 50*	*Sale*
	12 000	*830 14*	*10/03/12*	*807 23*	*0 00*	*22 91*	*Sale*
03/01/13	126.000	8,716 48	VARIOUS	8,371 07	0 00	345 41	Total of 2 lots
09/03/13	30 000	2,263 49	10/03/12	2,018 09	0 00	245 40	Sale
	3 tax lots for 11/01/13						
	11 000	*897 48*	*02/01/13*	*756 89*	*0 00*	*140 59*	*Sale*
	3 000	*244 77*	*04/01/13*	*212 85*	*0 00*	*31 92*	*Sale*
	177 000	*14,441.25*	*05/28/13*	*13,437 24*	*0 00*	*1,004 01*	*Sale*
11/01/13	191 000	15,583 50	VARIOUS	14,406 98	0 00	1,176 52	Total of 3 lots
	Security total	28,432.19		26,653 92	0 00	1,778 27	
ISHARES RUS 2000 VAL ETF / CUSIP 464287630 / Symbol IWN							
	2 tax lots for 01/02/13.						
	17 000	*1,313 62*	*02/02/12*	*1,216 61*	*0 00*	*97 01*	*Sale*
	11 000	*849 99*	*03/02/12*	*783 20*	*0 00*	*66 79*	*Sale*
01/02/13	28 000	2,163.61	VARIOUS	1,999 81	0 00	163 80	Total of 2 lots
	2 tax lots for 04/01/13.						
	5.000	*412 97*	*04/02/12*	*366 79*	*0 00*	*46 18*	*Sale*

Less commissions

Raymond James & Associates, Inc. Account 52515014
2013
Proceeds Not Reported to the IRS
(continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B
Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ISHARES RUS 2000 VAL ETF / CUSIP: 464287630 / Symbol IWN (cont'd)							
	44 000	*3,634 15*	*07/02/12*	*3,088 59*	*0 00*	*545.56*	*Sale*
04/01/13	49 000	4,047 12	VARIOUS	3,455 38	0 00	591 74	Total of 2 lots
	3 tax lots for 08/16/13						
	42 000	*3,743 99*	*10/03/12*	*3,127 54*	*0 00*	*616 45*	*Sale*
	5 000	*445.71*	*02/01/13*	*403 05*	*0 00*	*42 66*	*Sale*
	30 000	*2,674 28*	*03/01/13*	*2,427 00*	*0 00*	*247 28*	*Sale*
08/16/13	77 000	6,863 98	VARIOUS	5,957.59	0 00	906 39	Total of 3 lots
09/03/13	112 000	9,773 94	03/01/13	9,060 80	0 00	713 14	Sale
12/03/13	11 000	1,068 74	03/01/13	889 90	0 00	178 84	Sale
	Security total	23,917 39		21,363 48	0 00	2,553 91	
ISHARES RUS 2000 GRW ETF / CUSIP: 464287648 / Symbol IWO							
01/02/13	8.000	781 42	04/04/12	757 48	0 00	23 94	Sale
	2 tax lots for 04/01/13						
	13 000	*1,376.80*	*04/04/12*	*1,230 90*	*0 00*	*145 90*	*Sale*
	2.000	*211 81*	*10/03/12*	*191 88*	*0 00*	*19 93*	*Sale*
04/01/13	15 000	1,588 61	VARIOUS	1,422 78	0 00	165 83	Total of 2 lots
11/01/13	2 000	253 32	11/01/12	186 72	0 00	66 60	Sale
	Security total	2,623 35		2,366 98	0 00	256 37	
VANGUARD INTL EQUITY INDEX FDS MSCI PAC ETF / CUSIP 922042866 / Symbol VPL							
04/01/13	57 000	3,232 04	03/04/13	3,212 54	0 00	19 50	Sale
05/28/13	567.000	33,480 82	03/04/13	31,956.35	0 00	1,524 47	Sale
08/07/13	177 000	10,221 68	07/16/13	10,416 47	0 00	-194 79	Sale
12/03/13	5.000	305.12	09/17/13	300 65	0 00	4 47	Sale
	Security total	47,239 66		45,886 01	0 00	1,353 65	
VANGUARD INTL EQUITY INDEX FDS MSCI EUROPE ETF / CUSIP 922042874 / Symbol VGK							
	3 tax lots for 11/01/13.						
	195 000	*10,963 23*	*08/07/13*	*10,225 16*	*0 00*	*738 07*	*Sale*
	207 000	*11,637.90*	*08/16/13*	*10,987 05*	*0 00*	*650 85*	*Sale*
	177 000	*9,951.24*	*10/01/13*	*9,718 58*	*0 00*	*232 66*	*Sale*
11/01/13	579 000	32,552 37	VARIOUS	30,930 79	0 00	1,621 58	Total of 3 lots

Less commissions

Raymond James & Associates, Inc. — Account 52515014

2013

Proceeds Not Reported to the IRS
(continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B
Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
	Totals:	**285,582 21**		**270,908.70**	**0 00**	**14,673.51**	

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B
Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ISHARES CORE TOTUSBD ETF / CUSIP 464287226 / Symbol AGG							
02/01/13	2 000	220 39	01/03/12	220 00	0 00	0 39	Sale
ISHARES RUS 1000 VAL ETF / CUSIP 464287598 / Symbol IWD							
11/01/13	27 000	2,425 24	10/03/12	1,964 41	0 00	460 83	Sale
ISHARES RUS 1000 GRW ETF / CUSIP 464287614 / Symbol IWF							
11/01/13	4.000	326 36	10/03/12	269 08	0 00	57 28	Sale
ISHARES RUS 2000 VAL ETF / CUSIP 464287630 / Symbol IWN							
04/01/13	11 000	908 54	03/02/12	783 20	0 00	125 34	Sale
08/16/13	39 000	3,476 56	07/02/12	2,737 62	0 00	738.94	Sale
	Security total	4,385 10		3,520 82	0 00	864 28	
ISHARES RUS 2000 GRW ETF / CUSIP 464287648 / Symbol IWO							
11/01/13	7 000	886 60	10/03/12	671 58	0 00	215 02	Sale
	Totals:	**8,243.69**		**6,645.89**	**0.00**	**1,597.80**	

Less commissions

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
TAX ON INVESTMENT INCOME	238.	238.	238.	
Foreign taxes	21.	21.	21.	
Total	259.	259.	259.	