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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation WANDA J HERNDON FOUNDATION		A Employer identification number 20-8089043	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 263		B Telephone number (see instructions) (302) 658-7796	
City or town, state or province, country, and ZIP or foreign postal code ROCKLAND, DE 19732		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 241,642		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	7,824	7,824		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	2,334			
	b Gross sales price for all assets on line 6a 44,590				
	7 Capital gain net income (from Part IV , line 2) . . .		2,334		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	10,158	10,158		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,936			
	c Other professional fees (attach schedule)	2,149	2,149		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	25			
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	5,110	2,149		0
	25 Contributions, gifts, grants paid	5,000			5,000
	26 Total expenses and disbursements. Add lines 24 and 25	10,110	2,149		5,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	48			
	b Net investment income (if negative, enter -0-)		8,009		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	10,617	2,656	2,656
	2	Savings and temporary cash investments	2,795	2,855	2,855
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	177,495	185,444	236,131
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	190,907	190,955	241,642	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	190,907	190,955	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	190,907	190,955	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	190,907	190,955		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	190,907
2	Enter amount from Part I, line 27a	2	48
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	190,955
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	190,955

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 44,590	0	42,256	2,334
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a 0	0	0	2,334
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2,334
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	5,000	213,040	0 023470
2011		210,653	0 000000
2010	38,448	227,853	0 168740
2009	19,990	227,586	0 087835
2008		388,435	0 000000

2 Total of line 1, column (d).	2	0 280045
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 056009
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	227,712
5 Multiply line 4 by line 3.	5	12,754
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	80
7 Add lines 5 and 6.	7	12,834
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	5,000

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	160
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	160
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	160
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	116
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	100
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	216
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	56
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 56 Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ WA _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of POWDERMILL FINANCIAL SOLUTIONS LLC Telephone no (302) 658-7796 Located at PO BOX 263 ROCKLAND DE ZIP +4 19732			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		Yes	No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				☐
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?				☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?				
		6b		No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?				
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?				☐ Yes ☒ No
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WANDA J HERNDON	DIR, PRES	0	0	0
2033 2ND AVENUE PH-3	1 00			
SEATTLE, WA 98121				
MARY J WILLIAMS	SEC/VP/DIRECTOR	0	0	0
535 HIGH DRIVE	1 00			
LAGUNA BEACH, CA 92651				
DR AVON LORRAINE BURNS	DIRECTOR	0	0	0
1623 BROAD CT	1 00			
SAGINAW, MI 48503				
MARY BROADWAY	DIRECTOR	0	0	0
4790 DRESDEN CT	1 00			
SAGINAW, MI 28601				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	220,022
b	Average of monthly cash balances.	1b	11,158
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	231,180
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	231,180
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,468
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	227,712
6	Minimum investment return. Enter 5% of line 5.	6	11,386

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	11,386
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	160
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	160
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	11,226
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	11,226
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	11,226

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	5,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				11,226
2	Undistributed income, if any, as of the end of 2013				
a	Enter amount for 2012 only.				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2013				
a	From 2008.				
b	From 2009.				
c	From 2010. 1,639				
d	From 2011.				
e	From 2012.				
f	Total of lines 3a through e.	1,639			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 5,000				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions).				
c	Treated as distributions out of corpus (Election required—see instructions).				
d	Applied to 2013 distributable amount.				5,000
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	1,639			1,639
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				4,587
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9	Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9				
a	Excess from 2009.				
b	Excess from 2010.				
c	Excess from 2011.				
d	Excess from 2012.				
e	Excess from 2013.				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

WANDA J HERNDON

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

TY 2013 Accounting Fees Schedule

Name: WANDA J HERNDON FOUNDATION

EIN: 20-8089043

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
POWDERMILL FINANCIAL SOLUTIONS TAX & ACCOUNTING	2,936			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: WANDA J HERNDON FOUNDATION

EIN: 20-8089043

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PUBLICLY TRADED SECURITIES		Purchased			44,590	42,256			2,334	

**TY 2013 Investments Corporate
Stock Schedule**

Name: WANDA J HERNDON FOUNDATION

EIN: 20-8089043

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED SCHEDULE	185,444	236,131

TY 2013 Other Expenses Schedule

Name: WANDA J HERNDON FOUNDATION

EIN: 20-8089043

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE REGISTRATION	25			

TY 2013 Other Professional Fees Schedule

Name: WANDA J HERNDON FOUNDATION

EIN: 20-8089043

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BRINKER INV MNGMT FEES	2,149	2,149		



2013 Informational Tax Reporting Statement

WANDA J HERNDON FOUNDATION

Account No. **675-497754** Customer Service: 800-333-4573

Recipient ID No **20-8089043** Payer's Fed ID Number. 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2013 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

8 Description, 1d Stock or Other Symbol, CUSIP										
Action	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State Tax	15 State Tax Withheld
ABERDEEN EMERGING MKTS FUND CLASS A, GEGAX, 003021250										
Sale	10/09/13	various	1 999	29 65	28.53	1.12				
FEDERATED INTERMED CORP BOND FD CL SS, INISX, 31420C506										
Sale	06/05/13	various	15 912	162 63	160.45	2.18				
JPMORGAN HIGH YIELD BOND FUND CLASS A, OHYAX, 4812C0779										
Sale	02/14/13	various	85.979	702.43	680 48	21 95				
JPMORGAN INFLATION MANAGED BOND CL A, JIMAX, 48121A589										
Sale	06/05/13	various	6 708	71 23	71.62	-0 39				
MERGER FUND, MERFX, 589509108										
Sale	02/14/13	12/28/12	2.656	41 84	41.89	-0.05				
MERK HARD CURRENCY FUND INVESTOR CL, MERKX, 34984T402										
Sale	06/05/13	various	114.102	1,341 83	1,386.23	-44.40				
MORGAN STANLEY GLOBAREAL ESTATE PORT P, MRLBX, 61744J135										
Sale	06/05/13	various	117.055	1,158 85	1,071 70	87.15				
RIVERPARK SHORT TERMHIGH YIELD RETAIL, RPHYX, 76882K801										
Sale	11/19/13	various	80 499	806 61	805 04	1.57				
TCW SMALL CAP GROWTH CLASS N, TGSNX, 87234N666										
Sale	01/07/13	07/18/12	14 813	387 80	368 25	19.55				

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2013 Informational Tax Reporting Statement

WANDA J HERNDON FOUNDATION Account No 675-497754 Customer Service: 800-333-4573
Recipient ID No 20-8089043 Payer's Fed ID Number 04-3523567

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2013 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

8 Description, 1d Stock or Other Symbol, CUSIP	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Disallowed	4 Federal Income Tax Withheld	13 State Tax Withheld	15 State Tax Withheld
TOTALS				4,702.87	4,614.19	133.52		0.00		
Short-Term Realized Gain										
Short-Term Realized Loss							0.00			
Wash Sale Loss Disallowed										

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2013 Informational Tax Reporting Statement

WANDA J HERNDON FOUNDATION

Account No 675-497754 Customer Service 800-333-4573

Recipient ID No 20-8089043 Payer's Fed ID Number 04-3523567

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2013 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

8 Description, 1d Stock or Other Symbol, CUSIP	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc. (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State Tax	15 State Tax Withheld
ABERDEEN EMERGING MKTS FUND CLASS A, GEGAX, 003021250										
Sale	10/09/13	various	133.294	1,978.08	1,756.49	221.59				
Sale	10/09/13	various	2.255	33.48	32.19	1.29				
Subtotals				2,011.56	1,788.68					
COLUMBIA DIVIDEND OPPORTUNITY CL A, INUTX, 19763P283										
Sale	02/14/13	10/15/10	126.544	1,173.06	939.37	233.69				
Sale	10/09/13	10/15/10	96.000	943.68	712.64	231.04				
Subtotals				2,116.74	1,652.01					
COLUMBIA SELECT LRG CAP GROWTH CLASS A, ELGAX, 19765Y712										
Sale	10/09/13	08/25/11	60.453	1,041.60	708.92	332.68				
DOUBLELINE TOTAL RT BOND FD CL N, DLTNX, 258620202										
Sale	10/09/13	06/04/10	40.000	438.00	437.67	0.33				
DREYFUS BOND MARKET INDEX INVS, DBMIX, 261978886										
Sale	02/14/13	12/09/09	110.796	1,207.68	1,158.64	49.04				
Sale	06/05/13	12/09/09	213.000	2,291.88	2,227.43	64.45				
Sale	10/09/13	12/09/09	109.103	1,149.95	1,140.94	9.01				
Subtotals				4,649.51	4,527.01					
FEDERATED INTERMED CORP BOND FD CL SS, INISX, 31420C506										
Sale	06/05/13	various	290.413	2,968.02	2,911.71	56.31				
Sale	06/05/13	various	54.080	552.69	545.29	7.40				
Subtotals				3,520.71	3,457.00					

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2013 Informational Tax Reporting Statement

WANDA J HERNDON FOUNDATION

Account No 675-497754 Customer Service 800-333-4573

Recipient ID No. 20-8089043 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2013 Proceeds from Broker and Barter Exchange Transactions*

Long-term transac

8 Description, 1d Stock	1a Date of Sale or Exchange
SPARTAN 500 INDEX	
Sale	10/09/13
T ROWE PRICE GROV	
Sale	10/09/13
T ROWE PRICE INTL S	
Sale	06/05/13
TCW SMALL CAP GRC	
Sale	01/07/13
WASATCH EMERGING	
Sale	02/14/13
Sale	06/05/13
Subtotals	

TOTALS

In addition to this Informational Tax Reporting Statement, you may have other proceeds from the lots that you sold.

(a) Cost or other basis plus premium, bond premium, etc.

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Long-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

8 Description, 1d Stock or Other Symbol, CUSIP	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State Tax Withheld	15 State Tax Withheld
JPMORGAN HIGH YIELD BOND FUND CLASS A, OHYAX, 4812C0779										
Sale	02/14/13	various	401.510	3,280.36	3,032.35	248.01				
Sale	02/14/13	various	4.566	37.30	36.14	1.16				
Subtotals				3,317.66	3,068.49					
JPMORGAN INFLATION MANAGED BOND CL A, JIMAX, 48121A589										
Sale	06/05/13	various	271.967	2,888.31	2,880.02	8.29				
Sale	06/05/13	various	105.269	1,117.95	1,124.00	-6.05				
Subtotals				4,006.26	4,004.02					
MERGER FUND, MERFX, 589509108										
Sale	02/14/13	various	129.937	2,046.50	1,987.94	58.56				
MERK HARD CURRENCY FUND INVESTOR CL, MERKX, 34984T402										
Sale	06/05/13	various	142.314	1,673.62	1,843.36	-169.74				
MORGAN STANLEY GLOBAREAL ESTATE PORT P, MRLBX, 61744J135										
Sale	02/14/13	05/09/11	122.123	1,215.12	1,122.78	92.34				
Sale	06/05/13	various	99.995	989.95	919.33	70.62				
Subtotals				2,205.07	2,042.11					
RIVERPARK SHORT TERMHIGH YIELD RETAIL, RPHYX, 76882K801										
Sale	11/19/13	various	414.356	4,151.84	4,143.82	8.02				
RS GLOBAL NATURAL RESOURCES CL A, RSNRX, 74972H705										
Sale	06/05/13	01/21/11	49.621	1,879.15	1,866.82	12.33				

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2013 Informational Tax Reporting Statement

WANDA J HERNDON FOUNDATION

Account No **675-497754** Customer Service: 800-333-4573

Recipient ID No. **20-8089043** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2013 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, 1d Stock or Other Symbol, CUSIP	1a Date of Sale or Exchange	1b Date of Acquisition	1e Quantity Sold	2a Sales Price of Stocks, Bonds, etc (b)	3 Cost or Other Basis (a)	Gain/Loss (-)	5 Wash Sale Loss Disallowed	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld
SPARTAN 500 INDEX FDADVANTAGE CLASS, FUSVX, 315911701										
Sale	10/09/13	03/27/09	13.990	821.66	487.37	334.29				
T ROWE PRICE GROWTH STOCK ADVISOR CL, TRSAX, 741479208										
Sale	10/09/13	03/27/09	6.027	271.86	119.20	152.66				
T ROWE PRICE INTL STOCK ADVISOR CL, PAITX, 77956H823										
Sale	06/05/13	05/09/11	108.524	1,599.65	1,585.18	14.47				
TCW SMALL CAP GROWTH CLASS N, TGSNX, 87234N666										
Sale	01/07/13	05/09/11	67.389	1,764.25	2,121.40	-357.15				
WASATCH EMERGING MARKETS SMALL CAP FD, WAEMX, 936793884										
Sale	02/14/13	06/07/10	198.000	572.22	423.02	149.20				
Sale	06/05/13	various	614.164	1,799.50	1,312.13	487.37				
Subtotals				2,371.72	1,735.15					
TOTALS				39,887.36	37,576.15				0.00	
Long-Term Realized Gain						2,844.15				
Long-Term Realized Loss						-532.94				
Wash Sale Loss Disallowed							0.00			

In addition to this Informational Tax Reporting Statement, please see the 1099 Tax Reporting Statement that we have issued for your account. Only the 1099 Statement includes your official IRS Form 1099-B for the lots that cost basis information is required to be reported to the IRS.

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

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Form 990-PF Part I Line 6a Statement - 2013

1/1/2013 through 12/31/2013

8/25/2014

Page 1

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/
SHORT TERM							
I- Brinker 675-497754	TCW Small Cap Growth	14.813	7/19/2012	1/8/2013	388	368	20
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.162	3/1/2012	2/15/2013	18	16	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	53.067	3/21/2012	2/15/2013	434	404	29
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.583	4/2/2012	2/15/2013	21	20	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.175	5/1/2012	2/15/2013	18	17	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.411	6/1/2012	2/15/2013	20	18	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.390	7/2/2012	2/15/2013	20	18	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.554	8/1/2012	2/15/2013	21	19	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.548	9/4/2012	2/15/2013	21	19	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.543	10/1/2012	2/15/2013	21	19	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.550	11/1/2012	2/15/2013	21	19	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.497	12/3/2012	2/15/2013	20	19	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.480	12/14/2012	2/15/2013	20	19	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	0.676	12/14/2012	2/15/2013	6	5	0
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	3.005	1/2/2013	2/15/2013	25	23	2
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.337	2/1/2013	2/15/2013	19	18	1
I- Brinker 675-497754	Merger Fund	2.136	12/28/2012	2/15/2013	34	33	1
I- Brnker 675-497754	Merger Fund	0.520	12/28/2012	2/15/2013	8	8	0
I- Brnker 675-497754	Federated Intermed Corp Bond FD	1.747	7/1/2012	6/6/2013	18	18	0
I- Brnker 675-497754	Federated Intermed Corp Bond FD	1.699	8/1/2012	6/6/2013	17	17	0
I- Brinker 675-497754	Federated Intermed Corp Bond FD	1.682	9/1/2012	6/6/2013	17	17	0
I- Brnker 675-497754	Federated Intermed Corp Bond FD	1.676	10/1/2012	6/6/2013	17	17	0
I- Brinker 675-497754	Federated Intermed Corp Bond FD	1.321	11/1/2012	6/6/2013	14	13	0
I- Brnker 675-497754	Federated Intermed Corp Bond FD	1.138	12/1/2012	6/6/2013	12	11	0
I- Brnker 675-497754	Federated Intermed Corp Bond FD	1.130	1/1/2013	6/6/2013	12	11	0
I- Brnker 675-497754	Federated Intermed Corp Bond FD	1.116	2/1/2013	6/6/2013	11	11	0
I- Brnker 675-497754	Federated Intermed Corp Bond FD	1.129	3/1/2013	6/6/2013	12	11	0
I- Brinker 675-497754	Federated Intermed Corp Bond FD	1.077	4/1/2013	6/6/2013	11	11	0
I- Brinker 675-497754	Federated Intermed Corp Bond FD	1.097	5/1/2013	6/6/2013	11	11	0
I- Brinker 675-497754	Federated Intermed Corp Bond FD	1.100	6/1/2013	6/6/2013	11	11	0
I- Brinker 675-497754	Merk Hard Currency Fund	111.723	10/12/2012	6/6/2013	1,314	1,357	-44
I- Brnker 675-497754	Merk Hard Currency Fund	2.379	12/13/2012	6/6/2013	28	29	-1
I- Brnker 675-497754	JP Morgan Inflation Managed Bond	0.764	7/2/2012	6/6/2013	8	8	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.518	8/1/2012	6/6/2013	6	6	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.437	9/4/2012	6/6/2013	5	5	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.357	10/1/2012	6/6/2013	4	4	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.524	11/1/2012	6/6/2013	6	6	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.558	12/3/2012	6/6/2013	6	6	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	1.190	12/14/2012	6/6/2013	13	13	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.579	12/14/2012	6/6/2013	6	6	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.389	1/2/2013	6/6/2013	4	4	-0
I- Brnker 675-497754	JP Morgan Inflation Managed Bond	0.248	4/1/2013	6/6/2013	3	3	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.673	5/1/2013	6/6/2013	7	7	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.471	6/3/2013	6/6/2013	5	5	0
I- Brinker 675-497754	Morgan Stanley Global Real Estate	106.116	7/19/2012	6/6/2013	1,051	969	82
I- Brinker 675-497754	Morgan Stanley Global Real Estate	10.939	12/17/2012	6/6/2013	108	104	4
I- Brnker 675-497754	Aberdeen Emerging Mkts Instl Class A	1.303	12/21/2012	10/10/2013	19	21	-1
I- Brnker 675-497754	Aberdeen Emerging Mkts Instl Class A	0.647	6/17/2013	10/10/2013	10	9	0
I- Brnker 675-497754	Aberdeen Emerging Mkts Instl Class A	0.049	9/16/2013	10/10/2013	1	1	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.337	12/1/2012	11/20/2013	13	13	0
I- Brnker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.444	12/28/2012	11/20/2013	14	14	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.214	2/1/2013	11/20/2013	12	12	0
I- Brnker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	65.065	2/15/2013	11/20/2013	652	649	3
I- Brnker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.533	3/1/2013	11/20/2013	15	15	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.412	4/1/2013	11/20/2013	14	14	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.164	5/1/2013	11/20/2013	12	12	0

Form 990-PF Part I Line 6a Statement - 2013

1/1/2013 through 12/31/2013

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8/25/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.096	6/1/2013	11/20/2013	11	11	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.160	7/1/2013	11/20/2013	12	12	0
I- Brnker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.371	8/1/2013	11/20/2013	14	14	0
I- Brnker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	0.809	9/1/2013	11/20/2013	8	8	0
I- Brnker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.095	10/1/2013	11/20/2013	11	11	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.799	11/1/2013	11/20/2013	18	18	0
TOTAL SHORT TERM					4,703	4,589	114
LONG TERM							
I- Brnker 675-497754	TCW Small Cap Growth	67.389	5/10/2011	1/8/2013	1,764	2,121	-357
I- Brnker 675-497754	Columbia Dividend Opportunity	126.544	10/18/2010	2/15/2013	1,173	945	228
I- Brnker 675-497754	Dreyfus Bond Market	110.796	12/10/2009	2/15/2013	1,208	1,161	47
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	159.673	7/28/2009	2/15/2013	1,305	1,217	88
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	3.131	8/3/2009	2/15/2013	26	24	2
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	3.199	9/1/2009	2/15/2013	26	24	2
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	3.222	10/1/2009	2/15/2013	26	25	2
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	3.151	11/2/2009	2/15/2013	26	24	2
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	3.007	12/1/2009	2/15/2013	25	23	2
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	3.016	1/4/2010	2/15/2013	25	23	2
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.363	2/1/2010	2/15/2013	19	18	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.042	3/1/2010	2/15/2013	17	16	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.125	4/1/2010	2/15/2013	17	16	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.104	5/3/2010	2/15/2013	17	16	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.476	6/1/2010	2/15/2013	20	19	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	1.763	7/1/2010	2/15/2013	14	13	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	1.662	8/2/2010	2/15/2013	14	13	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	1.720	9/1/2010	2/15/2013	14	13	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	1.563	10/1/2010	2/15/2013	13	12	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	163.000	10/18/2010	2/15/2013	1,332	1,242	90
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.722	11/1/2010	2/15/2013	22	21	2
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.946	12/1/2010	2/15/2013	24	22	2
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	0.707	12/15/2010	2/15/2013	6	5	0
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	0.751	12/15/2010	2/15/2013	6	6	0
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.478	1/3/2011	2/15/2013	20	19	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.135	2/1/2011	2/15/2013	17	16	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	1.959	3/1/2011	2/15/2013	16	15	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.252	4/1/2011	2/15/2013	18	17	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.291	5/2/2011	2/15/2013	19	17	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.316	6/1/2011	2/15/2013	19	18	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.138	7/1/2011	2/15/2013	17	16	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.197	8/1/2011	2/15/2013	18	17	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.382	9/1/2011	2/15/2013	19	18	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.331	10/3/2011	2/15/2013	19	18	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.138	11/1/2011	2/15/2013	17	16	1
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	2.412	12/1/2011	2/15/2013	20	18	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	3.093	12/16/2011	2/15/2013	25	24	2
I- Brinker 675-497754	JP Morgan High Yield Bond Fund Class A	5.046	12/16/2011	2/15/2013	41	38	3
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.486	1/3/2012	2/15/2013	20	19	1
I- Brnker 675-497754	JP Morgan High Yield Bond Fund Class A	2.080	2/1/2012	2/15/2013	17	16	1
I- Brnker 675-497754	Merger Fund	50.011	8/26/2011	2/15/2013	788	766	22
I- Brnker 675-497754	Merger Fund	62.842	12/21/2011	2/15/2013	990	962	28
I- Brinker 675-497754	Merger Fund	3.138	12/29/2011	2/15/2013	49	48	1
I- Brnker 675-497754	Merger Fund	13.946	12/29/2011	2/15/2013	220	214	6
I- Brinker 675-497754	Morgan Stanley Global Real Estate	122.123	5/10/2011	2/15/2013	1,215	1,127	88
I- Brnker 675-497754	Wasatch Emerging Markets Small Cap Fund	198.000	6/8/2010	2/15/2013	572	424	149
I- Brinker 675-497754	Dreyfus Bond Market	213.000	12/10/2009	6/6/2013	2,292	2,232	59
I- Brinker 675-497754	Federated Intermed Corp Bond FD	279.274	8/26/2011	6/6/2013	2,854	2,803	51
I- Brnker 675-497754	Federated Intermed Corp Bond FD	0.289	8/31/2011	6/6/2013	3	3	0

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/
I- Bnnker 675-497754	Federated Intermed Corp Bond FD	1.486	9/30/2011	6/6/2013	15	15	0
I- Brinker 675-497754	Federated Intermed Corp Bond FD	1.445	11/1/2011	6/6/2013	15	15	0
I- Bnnker 675-497754	Federated Intermed Corp Bond FD	1.469	12/1/2011	6/6/2013	15	15	0
I- Brinker 675-497754	Federated Intermed Corp Bond FD	4.935	12/6/2011	6/6/2013	50	50	1
I- Bnnker 675-497754	Federated Intermed Corp Bond FD	1.515	1/1/2012	6/6/2013	15	15	0
I- Bnnker 675-497754	Federated Intermed Corp Bond FD	1.522	2/1/2012	6/6/2013	16	15	0
I- Bnnker 675-497754	Federated Intermed Corp Bond FD	1.551	3/1/2012	6/6/2013	16	16	0
I- Bnnker 675-497754	Federated Intermed Corp Bond FD	45.954	3/21/2012	6/6/2013	470	461	8
I- Brinker 675-497754	Federated Intermed Corp Bond FD	1.610	4/1/2012	6/6/2013	16	16	0
I- Brinker 675-497754	Federated Intermed Corp Bond FD	1.708	5/1/2012	6/6/2013	17	17	0
I- Bnnker 675-497754	Federated Intermed Corp Bond FD	1.735	6/1/2012	6/6/2013	18	17	0
I- Brinker 675-497754	Merk Hard Currency Fund	139.107	8/26/2011	6/6/2013	1,636	1,806	-170
I- Bnnker 675-497754	Merk Hard Currency Fund	0.179	12/13/2011	6/6/2013	2	2	0
I- Bnnker 675-497754	Merk Hard Currency Fund	1.869	12/13/2011	6/6/2013	22	22	-0
I- Bnnker 675-497754	Merk Hard Currency Fund	1.159	12/29/2011	6/6/2013	14	14	0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	266.700	5/10/2011	6/6/2013	2,832	2,824	8
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.451	6/1/2011	6/6/2013	5	5	0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.513	7/1/2011	6/6/2013	5	5	0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.476	8/1/2011	6/6/2013	5	5	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.513	9/1/2011	6/6/2013	5	5	0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.639	10/3/2011	6/6/2013	7	7	0
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	0.663	11/1/2011	6/6/2013	7	7	-0
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	0.607	12/1/2011	6/6/2013	6	6	0
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	1.320	12/16/2011	6/6/2013	14	14	0
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	0.085	12/16/2011	6/6/2013	1	1	0
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	0.616	1/3/2012	6/6/2013	7	6	0
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	0.576	2/1/2012	6/6/2013	6	6	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.513	3/1/2012	6/6/2013	5	6	-0
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	101.095	3/21/2012	6/6/2013	1,074	1,079	-5
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	0.797	4/2/2012	6/6/2013	8	9	-0
I- Bnnker 675-497754	JP Morgan Inflation Managed Bond	0.794	5/1/2012	6/6/2013	8	9	-0
I- Brinker 675-497754	JP Morgan Inflation Managed Bond	0.878	6/1/2012	6/6/2013	9	9	-0
I- Brinker 675-497754	Morgan Stanley Global Real Estate	95.928	5/10/2011	6/6/2013	950	885	64
I- Brinker 675-497754	Morgan Stanley Global Real Estate	0.490	7/7/2011	6/6/2013	5	5	0
I- Bnnker 675-497754	Morgan Stanley Global Real Estate	3.577	12/16/2011	6/6/2013	35	27	8
I- Bnnker 675-497754	RS Global Natural Resources	49.621	1/24/2011	6/6/2013	1,879	1,863	16
I- Bnnker 675-497754	t Rowe Price Intl Stock Advisor	108.524	5/10/2011	6/6/2013	1,600	1,610	-11
I- Bnnker 675-497754	Wasatch Emerging Markets Small Cap Fund	126.546	6/8/2010	6/6/2013	371	271	100
I- Brinker 675-497754	Wasatch Emerging Markets Small Cap Fund	487.618	10/18/2010	6/6/2013	1,429	1,043	385
I- Brinker 675-497754	Aberdeen Emerging Mkts Instl Class A	97.460	12/21/2011	10/10/2013	1,446	1,710	-264
I- Bnnker 675-497754	Aberdeen Emerging Mkts Instl Class A	0.020	3/19/2012	10/10/2013	0	0	-0
I- Brinker 675-497754	Aberdeen Emerging Mkts Instl Class A	0.427	5/17/2012	10/10/2013	6	8	-1
I- Bnnker 675-497754	Aberdeen Emerging Mkts Instl Class A	1.202	5/17/2012	10/10/2013	18	22	-4
I- Brinker 675-497754	Aberdeen Emerging Mkts Instl Class A	36.440	5/18/2012	10/10/2013	541	0	541
I- Brinker 675-497754	Columbia Dividend Opportunity	96.000	10/18/2010	10/10/2013	944	721	223
I- Brinker 675-497754	Columbia Select Large Cap Growth Fund	60.453	8/26/2011	10/10/2013	1,042	709	333
I- Bnnker 675-497754	DoubleLine Total Return Bond Fund	40.000	6/7/2010	10/10/2013	438	441	-3
I- Brinker 675-497754	Dreyfus Bond Market	109.103	12/10/2009	10/10/2013	1,150	1,144	6
I- Bnnker 675-497754	Spartan 500 Index	13.990	3/30/2009	10/10/2013	822	534	288
I- Bnnker 675-497754	T Rowe Price Growth	6.027	3/30/2009	10/10/2013	272	130	141
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	195.000	7/19/2012	11/20/2013	1,954	1,950	4
I- Bnnker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	0.578	8/1/2012	11/20/2013	6	6	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	0.591	9/1/2012	11/20/2013	6	6	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	0.688	10/1/2012	11/20/2013	7	7	0
I- Brinker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	216.000	10/12/2012	11/20/2013	2,164	2,162	2
I- Bnnker 675-497754	RiverPark Short Term High Yield Fund Class Institutional	1.499	11/1/2012	11/20/2013	15	15	0
TOTAL LONG TERM					39,887	37,667	2,221

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/...
OVERALL TOTAL					44,590	42,256	2,335

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Security	Shares	Quote/Price est	Cost Basis	Gain/Loss	Balance
I- Brinker 675-497754					
Aston/River RD Independent Value	226 754	11.170	2,459	74	2,533
Avenue Credit Strategies Fund Investor Class Shares	613 973	11.370	6,863	118	6,981
ClearBridge Small Cap Growth Fund Class A	216 424	28 380	4,715	1,427	6,142
Columbia Dividend Opportunity	969 518	10.170	7,400	2,460	9,860
Columbia Select Large Cap Growth Fund	943.538	18 950	11,611	6,269	17,880
Delaware Value FD CL	687.290	16.300	5,467	5,736	11,203
DoubleLine Low Duration Bond Fund Class N	383.004	10 170	3,896	-1	3,895
DoubleLine Total Return Bond Fund	1,975 975	10 780	21,797	-496	21,301
Dreyfus Bond Market	892 679	10.320	9,355	-143	9,212
Driehaus Active Income Fund	1,021.234	10.770	11,228	-229	10,999
Forward EM Corporate Debt Fund Investor Class	530.648	9 410	5,165	-171	4,993
JP Morgan High Yield Bond Fund Class A	0.001	8.170 *	0	0	0
JP Morgan Strategic Income Opp A	891.679	11.860	10,382	194	10,575
Listed Private Equity Class A	595.999	6.800	2,569	1,484	4,053
MFS International Value Fund CL A	376.753	33.720	7,922	4,782	12,704
Pimco Total Return Class D	1,125.808	10.690	12,617	-582	12,035
Ridgeworth Mid Cap Value Equity	694.262	13.630	7,653	1,810	9,463
Rivernorth Core	820.271	12.450	7,656	2,557	10,212
RiverPark Strategic Income Fund Retail Class	474.612	10.180	4,817	14	4,832
RS Global Natural Resources	105 641	35 020	3,951	-252	3,700
Spartan 500 Index	160 647	65 490	6,150	4,370	10,521
T Rowe Price Growth	313.236	51 910	6,783	9,477	16,260
t Rowe Price Intl Stock Advisor	298 283	16 270	4,271	582	4,853
TCW Dividend	816 531	16 140	6,491	6,688	13,179
Touchstone Focused Fund Class A	155 370	34 180	4,076	1,234	5,311
Wasatch Emerging Markets Small Cap Fund	948 980	2 650	2,041	474	2,515
Wasatch Frontier Emerging Small Countries Fund Investor Class Shares	1,622 272	3 110	4,398	648	5,045
Wasatch International Opportunities FD	2,032 769	2 890	3,712	2,163	5,875
TOTAL I- Brinker 675-497754			185,444	50,687	236,131
TOTAL Investments			185,444	50,687	236,131