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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE HARVEY E NAJIM FAMILY FOUNDATION		A Employer identification number 20-8060391	
Number and street (or P O box number if mail is not delivered to street address) 613 NW LOOP 410		B Telephone number (see instructions) (210) 255-8435	
City or town, state or province, country, and ZIP or foreign postal code SAN ANTONIO, TX 78216		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 76,267,485		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,010,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	790,474	790,054		
	4 Dividends and interest from securities.	1,201,171	1,201,171		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	982,993			
	b Gross sales price for all assets on line 6a 20,793,791				
	7 Capital gain net income (from Part IV, line 2)		982,993		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
Operating and Administrative Expenses	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	4,984,638	2,974,218		
	13 Compensation of officers, directors, trustees, etc	71,892			
	14 Other employee salaries and wages	40,661			
	15 Pension plans, employee benefits	12,714			
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	11,290	2,000		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	106,698	26,839		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	54,076			
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	172,259	148,938		
	24 Total operating and administrative expenses. Add lines 13 through 23	469,590	177,777		0
	25 Contributions, gifts, grants paid	4,565,351			4,565,351
	26 Total expenses and disbursements. Add lines 24 and 25	5,034,941	177,777		4,565,351
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-50,303			
	b Net investment income (if negative, enter -0-)		2,796,441		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	26,000	27,008	27,008
	2	Savings and temporary cash investments	4,228,483	10,494,662	10,494,662
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	34,178,062 ☒	40,903,304	49,331,240
	c	Investments—corporate bonds (attach schedule).	29,571,155 ☒	16,528,423	16,414,575
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	68,003,700	67,953,397	76,267,485
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	68,003,700	67,953,397	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	68,003,700	67,953,397	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	68,003,700	67,953,397	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	68,003,700
2	Enter amount from Part I, line 27a	2	-50,303
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	67,953,397
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	67,953,397

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	982,993
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	4,448,271	71,665,927	0 062070
2011	4,418,175	73,058,805	0 060474
2010	4,220,545	70,535,907	0 059835
2009	5,180,799	66,898,182	0 077443
2008	5,775,436	70,184,600	0 082289

2	Total of line 1, column (d).	2	0 342111
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 068422
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	72,662,390
5	Multiply line 4 by line 3.	5	4,971,706
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	27,964
7	Add lines 5 and 6.	7	4,999,670
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	4,565,351

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	55,929
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	55,929
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	55,929
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	56,000	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	17,000	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	73,000
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	194
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	16,877
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 16,877 Refunded		11	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) TX			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶NAJIMFOUNDATION.ORG				
14	The books are in care of ▶BROADWAY NATIONAL BANK Telephone no ▶(210) 283-6700 Located at ▶1177 NE LOOP 410 SAN ANTONIO TX ZIP +4 ▶78217			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	66,983,158
b	Average of monthly cash balances.	1b	6,785,766
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	73,768,924
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	73,768,924
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,106,534
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	72,662,390
6	Minimum investment return. Enter 5% of line 5.	6	3,633,120

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,633,120
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	55,929
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	55,929
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	3,577,191
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	3,577,191
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	3,577,191

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	4,565,351
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,565,351
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,565,351
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				3,577,191
2	Undistributed income, if any, as of the end of 2013				
a	Enter amount for 2012 only.				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2013				
a	From 2008.	2,288,775			
b	From 2009.	1,891,395			
c	From 2010.	718,604			
d	From 2011.	814,751			
e	From 2012.	906,585			
f	Total of lines 3a through e.	6,620,110			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 4,565,351				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions).				
c	Treated as distributions out of corpus (Election required—see instructions).				
d	Applied to 2013 distributable amount.				3,577,191
e	Remaining amount distributed out of corpus	988,160			
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	7,608,270			
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	2,288,775			
9	Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	5,319,495			
10	Analysis of line 9				
a	Excess from 2009. . . .	1,891,395			
b	Excess from 2010. . . .	718,604			
c	Excess from 2011. . . .	814,751			
d	Excess from 2012. . . .	906,585			
e	Excess from 2013. . . .	988,160			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

HARVEY E NAJIM

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MELISSA BAUMAN EXECUTIVE DIRECTOR
613 NW Loop 410 Suite 875
SAN ANTONIO, TX 78216
(210) 369-0666

b

The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT

c

Any submission deadlines

SEE ATTACHED STATEMENT

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATEMENT

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	4,565,351
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization THE HARVEY E NAJIM FAMILY FOUNDATION	Employer identification number 20-8060391
--	--

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Employer identification number
20-8060391

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HARVEY E NAJIM 613 NW LOOP 410 SUITE 875 SAN ANTONIO, TX 78216	\$ 2,000,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	GORDON HARTMAN FAMILY FOUNDATION 1202 W BITTERS BLDG 1 SUITE 1200 SAN ANTONIO, TX 78216	\$ 10,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE HARVEY E NAJIM FAMILY FOUNDATION	Employer identification number 20-8060391
---	---

Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization THE HARVEY E NAJIM FAMILY FOUNDATION	Employer identification number 20-8060391
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Part III	Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of <i>exclusively</i> religious, charitable, etc , contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAIN DISTRIBUTIONS	P	2012-12-30	2013-12-31
2650 CHARLES SCHWAB CORP	P	2012-01-02	2013-11-22
16194 332 FORWARD FDS COMMODITY	P	2012-01-02	2013-05-07
2835 MICROSOFT CORP	P	2012-01-02	2013-05-31
32 814 VAN ECK GLOBAL HARD ASSETS	P	2012-09-01	2013-09-30
4815 CHARLES SCHWAB	P	2012-01-02	2013-11-22
990 DANAHER CORP	P	2012-01-02	2013-06-04
1015 IPATH UBS COMMODITY INDEX	P	2011-03-14	2013-05-07
2010 VODAFONE GROUP	P	2012-01-02	2013-08-28
364 SPDR GOLD TRUST	P	2012-01-02	2013-12-22
FRAC SH SPDR GOLD TRUST	P	2012-01-02	2013-12-31
3305 CHARLES SCHWAB CORP	P	2012-01-02	2013-11-22
1225 DANAHER CORP	P	2012-01-02	2013-06-04
3000 IPATH UBS COMMODITY INDEX	P	2012-01-02	2013-04-26
1440 IPATH UBS COMMODITY INDEX	P	2010-12-30	2013-05-07
7765 POWERSHARES DB COMMODITY INDEX	P	2012-01-02	2013-09-30
2708 VAN ECK GLOBAL HARD ASSETS	P	2012-01-02	2013-09-30
1100 VODAFONE GROUP	P	2012-01-02	2013-08-28
FRAC SHS SPDR GOLD TRUST	P	2012-01-02	2013-12-31
12444 82 FEDERATED HIGH YIELD BOND	P	2012-01-02	2013-10-02
1528 83 VANGUARD INT TERM BOND	P	2012-01-02	2013-08-16
7396 45 FEDERATED HIGH YIELD BOND	P	2012-10-01	2013-10-02
336 494 VANGUARD INT TERM BOND	P	2012-04-02	2013-08-16
528 EXXON MOBIL	P	2012-01-02	2013-01-04
21910 605 ABSOLUTE OPPORTUNITIES FUND	P	2010-06-29	2013-08-14
26809 651 ABSOLUTE STRATEGIES FUND	P	2010-06-29	2013-08-16
7492 507 AMERICAN CAP WORLD BOND FUND	P	2012-01-02	2013-08-16
2500 BERKSHIRE BONDS	P	2008-07-24	2013-10-15
1000 COCA COLA BONDS	P	2009-03-04	2013-12-02
2500 GE CAPITAL BONDS	P	2008-08-19	2013-09-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2500 HEWLETT PACKARD BONDS	P	2008-08-27	2013-03-01
9163 103 LOOMIS SAYLES GLOBAL BOND	P	2012-01-02	2013-08-16
2500 PEPSICO BONDS	P	2008-09-08	2013-02-15
20559 211 PIMCO ALL ASSET FUND	P	2010-10-25	2013-08-14
21089 631 VANGUARD INFLATION PROTECT SECS	P	2012-01-02	2013-01-08
29661 017 VANGUARD INFLATION PROTECT SECS	P	2012-01-02	2013-08-16
19561 815 VANGUARD INFLATION PROTECT SECS	P	2012-01-02	2013-09-05
66506 983 VANGUARD INT TERM INV GRADE	P	2012-01-02	2013-08-16
500 WALGREEN BONDS	P	2008-07-23	2013-08-01
20 MMM CO	P	2012-01-02	2013-08-02
200 ABBOTT LABS	P	2012-01-02	2013-02-11
200 ABBVIE INC	P	2012-01-02	2013-02-11
375 DIAGEO PLC	P	2012-01-02	2013-04-18
295 EXELON CORP	P	2012-01-02	2013-04-18
1060 FIRST ENERGY	P	2012-01-02	2013-07-05
2500 JP MORGAN CHASE	P	2012-01-02	2013-04-18
115 PPG INDUSTRIES	P	2012-01-02	2013-03-25
10 VF CORP	P	2012-01-02	2013-08-27
585 VODAFONE GROUP	P	2012-01-02	2013-04-18
1145 MMM CORP	P	2012-01-02	2013-08-02
2375 ABBOTT LABS	P	2012-01-02	2013-02-11
2375 ABBVIE INC	P	2012-01-02	2013-02-11
735 DIAGEO PLC	P	2012-01-02	2013-04-18
185 ELI LILLY CO	P	2011-01-05	2013-04-18
2645 EXELON CORP	P	2012-01-05	2013-04-18
5325 FIRST ENERGY	P	2012-01-05	2013-07-05
960 PPG INDUSTRIES	P	2012-01-05	2013-03-25
400 VF CORP	P	2011-01-05	2013-04-18
1945 VF CORP	P	2011-01-05	2013-08-27
3080 VODAFONE	P	2012-01-02	2013-04-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1815 MMM CORP	P	2012-01-02	2013-08-02
2460 ABBOTT LABS	P	2012-01-02	2013-02-11
2460 ABBVIE INC	P	2012-01-02	2013-02-11
3340 BRISTOL MYERS SQUIBB	P	2012-01-02	2013-04-18
1750 DIAGEO PLC	P	2012-01-02	2013-04-18
3135 DOW CHEMICAL	P	2012-01-02	2013-04-18
1050 ELI LILLY CO	P	2012-01-02	2013-04-18
2935 EXELON CORP	P	2012-01-02	2013-04-18
910 FIRST ENERGY	P	2012-01-02	2013-07-05
3435 GENERAL ELECTRIC	P	2012-01-02	2013-04-18
900 GENERAL MILLS	P	2012-01-02	2013-04-18
760 IBM	P	2012-01-02	2013-04-18
965 JOHNSON & JOHNSON	P	2012-01-02	2013-04-18
430 KIMBERLY CLARK	P	2012-01-02	2013-04-18
445 McDONALD'S CORP	P	2012-01-02	2013-04-18
2815 PFIZER CORP	P	2012-01-02	2013-04-18
1140 PPG INDUSTRIES	P	2012-01-02	2013-03-25
3940 US BANCORP	P	2012-01-02	2013-04-18
170 VF CORP	P	2010-12-22	2013-04-18
5050 VODAFONE GROUP	P	2012-01-02	2013-04-18
K-1 SHORT TERM CAPITAL LOSS	P	2012-01-02	2013-12-31
K-1 LONG TERM CAPITAL LOSS	P	2012-01-02	2013-12-31
POWER SHARES DB COMMODITY INDEX FUND SECTION 1256 LOSSES	P	2012-01-02	2013-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
272,425	0	0	272,425
65,482	0	52,750	12,732
332,955	0	400,000	-67,045
99,456	0	85,820	13,636
1,546	0	1,436	110
118,979	0	74,799	44,180
61,359	0	47,764	13,595
39,472	0	50,171	-10,699
58,940	0	55,552	3,388
42,231	0	61,278	-19,047
120	0	128	-8
81,667	0	54,625	27,042
75,924	0	49,068	26,856
116,577	0	143,909	-27,332
56,000	0	69,696	-13,696
199,406	0	181,829	17,577
127,578	0	150,655	-23,077
32,255	0	33,132	-877
8,322	0	8,074	248
125,444	0	126,387	-943
14,845	0	15,723	-878
74,556	0	75,000	-444
3,267	0	3,392	-125
46,680	0	0	46,680
250,000	0	262,489	-12,489
300,000	0	282,306	17,694
150,000	0	143,433	6,567
2,500,000	0	2,485,550	14,450
1,009,220	0	999,307	9,913
2,500,000	0	2,539,100	-39,100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,500,000	0	2,491,247	8,753
150,000	0	131,613	18,387
2,500,000	0	2,561,700	-61,700
250,000	0	261,308	-11,308
600,000	0	513,161	86,839
770,000	0	767,080	2,920
500,000	0	519,710	-19,710
645,783	0	628,760	17,023
500,000	0	495,126	4,874
2,359	0	1,880	479
6,865	0	6,127	738
7,169	0	6,644	525
45,138	0	36,385	8,753
10,717	0	11,240	-523
37,808	0	48,527	-10,719
116,297	0	93,875	22,422
15,551	0	12,644	2,907
1,861	0	1,506	355
17,197	0	16,183	1,014
135,076	0	96,709	38,367
81,519	0	55,990	25,529
85,137	0	60,717	24,420
88,471	0	57,097	31,374
10,398	0	6,469	3,929
96,094	0	111,624	-15,530
189,930	0	207,669	-17,739
129,821	0	77,923	51,898
67,031	0	34,079	32,952
361,915	0	181,038	180,877
90,543	0	84,940	5,603

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
214,116	0	161,740	52,376
84,436	0	61,644	22,792
88,184	0	66,848	21,336
134,966	0	97,135	37,831
210,645	0	139,223	71,422
94,299	0	136,862	-42,563
59,019	0	37,153	21,866
106,630	0	183,361	-76,731
32,457	0	33,658	-1,201
77,526	0	136,784	-59,258
44,666	0	25,172	19,494
156,906	0	87,185	69,721
80,286	0	59,084	21,202
43,472	0	29,396	14,076
45,134	0	21,580	23,554
85,884	0	68,777	17,107
154,162	0	77,298	76,864
126,668	0	124,589	2,079
28,488	0	14,912	13,576
148,456	0	161,884	-13,428
0	0	377	-377
0	0	9,287	-9,287
0	0	44,500	-44,500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	272,425
0	0	0	12,732
0	0	0	-67,045
0	0	0	13,636
0	0	0	110
0	0	0	44,180
0	0	0	13,595
0	0	0	-10,699
0	0	0	3,388
0	0	0	-19,047
0	0	0	-8
0	0	0	27,042
0	0	0	26,856
0	0	0	-27,332
0	0	0	-13,696
0	0	0	17,577
0	0	0	-23,077
0	0	0	-877
0	0	0	248
0	0	0	-943
0	0	0	-878
0	0	0	-444
0	0	0	-125
0	0	0	46,680
0	0	0	-12,489
0	0	0	17,694
0	0	0	6,567
0	0	0	14,450
0	0	0	9,913
0	0	0	-39,100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	8,753
0	0	0	18,387
0	0	0	-61,700
0	0	0	-11,308
0	0	0	86,839
0	0	0	2,920
0	0	0	-19,710
0	0	0	17,023
0	0	0	4,874
0	0	0	479
0	0	0	738
0	0	0	525
0	0	0	8,753
0	0	0	-523
0	0	0	-10,719
0	0	0	22,422
0	0	0	2,907
0	0	0	355
0	0	0	1,014
0	0	0	38,367
0	0	0	25,529
0	0	0	24,420
0	0	0	31,374
0	0	0	3,929
0	0	0	-15,530
0	0	0	-17,739
0	0	0	51,898
0	0	0	32,952
0	0	0	180,877
0	0	0	5,603

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	52,376
0	0	0	22,792
0	0	0	21,336
0	0	0	37,831
0	0	0	71,422
0	0	0	-42,563
0	0	0	21,866
0	0	0	-76,731
0	0	0	-1,201
0	0	0	-59,258
0	0	0	19,494
0	0	0	69,721
0	0	0	21,202
0	0	0	14,076
0	0	0	23,554
0	0	0	17,107
0	0	0	76,864
0	0	0	2,079
0	0	0	13,576
0	0	0	-13,428
0	0	0	-377
0	0	0	-9,287
0	0	0	-44,500

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARVEY E NAJIM	DIRECTOR 5 00	0	0	750
613 NW Loop 410 Suite 875 SAN ANTONIO,TX 78216				
CARRIE N MATTHIESEN	DIRECTOR 5 00	0	0	0
613 NW Loop 410 Suite 875 San Antonio,TX 78216				
CHRISTINE N RAY	DIRECTOR 5 00	0	0	0
613 NW Loop 410 Suite 875 San Antonio,TX 78216				
MELISSA BAUMAN	EXECUTIVE DIRECTOR 40 00	71,892	0	477
613 NW Loop 410 Suite 875 San Antonio,TX 78216				
ROY TERRACINA	DIRECTOR 5 00	0	0	0
613 NW Loop 410 Suite 875 San Antonio,TX 78216				
DON HARRIS	DIRECTOR 5 00	0	0	0
613 NW Loop 410 Suite 875 San Antonio,TX 78216				
JIM HOUSE	DIRECTOR 5 00	0	0	0
613 NW Loop 410 Suite 875 San Antonio,TX 78216				
NANCY MAY	DIRECTOR 5 00	0	0	0
613 NW Loop 410 Suite 875 San Antonio,TX 78216				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ANY BABY CAN 217 HOWARD ST SAN ANTONIO, TX 78212		PUBLICCHARITY	ASSIST CHILDREN	40,000
COMMUNITIES IN SCHOOLS 1616 E COMMERCE ST SAN ANTONIO, TX 78205		PUBLICCHARITY	ASSIST CHILDREN	66,336
JUNIOR ACHIEVEMENT 403 E RAMSEY SAN ANTONIO, TX 78216		PUBLICCHARITY	ASSIST CHILDREN	50,118
MAKE-A-WISH FOUNDATION 2224 WALSH TARLTON LANE SUITE 200 AUSTIN, TX 78746		PUBLICCHARITY	ASSIST CHILDREN	50,000
MISSION ROAD DEVELOPMENT CENTER 8706 MISSION RD SAN ANTONIO, TX 78214		PUBLICCHARITY	ASSIST CHILDREN	55,966
WINSTON SCHOOL 8565 EWING HALSELL SAN ANTONIO, TX 78229		PUBLICCHARITY	ASSIST CHILDREN	75,000
SAMM MINISTRIES 910 W COMMERCE ST SAN ANTONIO, TX 78216		PUBLICCHARITY	ASSIST HOMELESS	200,000
UNICORN CENTERS 4630 HAMILTON WOLFE RD SAN ANTONIO, TX 78229		PUBLICCHARITY	ASSIST CHILDREN	35,000
ARC OF SAN ANTONIO 4354 THOUSAND OAKS SAN ANTONIO, TX 78217		PUBLICCHARITY	ASSIST CHILDREN	50,000
CHILDSAFE 7130 WUS HWY 90 SAN ANTONIO, TX 78227		PUBLICCHARITY	ASSIST CHILDREN	85,000
CITY YEAR INC 109 N SAN SABA SUITE 1 SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	90,001
HEALY-MURPHY CENTER 618 LIVE OAK ST SAN ANTONIO, TX 78202		PUBLICCHARITY	ASSIST CHILDREN	50,000
KINETIC KIDS PO BOX 690993 SAN ANTONIO, TX 78269		PUBLICCHARITY	ASSIST CHILDREN	60,000
BIG BROTHERS-BIG SISTERS 202 BALTIMORE AVE SAN ANTONIO, TX 78205		PUBLICCHARITY	ASSIST CHILDREN	60,000
BOYS & GIRLS CLUB 600 SW 19TH ST SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	56,000
Total ▶ 3a				4,565,351

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KIPP ASPIRE ACADEMY 735 FREDERICKSBURG RD SAN ANTONIO, TX 78201		PUBLICCHARITY	KIPP UNIVERSITY PREPSUPPORT EXPANSION OFHIGH SCHOOL	200,000
ASSISTANCE LEAGUE OF SA 2611 WEST AVE SAN ANTONIO, TX 78201		PUBLICCHARITY	ASSIST CHILDREN	51,000
BOYSVILLE 8555 E LOOP 1604 N SAN ANTONIO, TX 78109		PUBLICCHARITY	ASSIST CHILDREN	110,000
FAMILY SERVICE ASSN 702 SAN PEDRO AVE SAN ANTONIO, TX 78212		PUBLICCHARITY	ASSIST CHILDREN	20,000
LEUKEMIA & LYMPHOMA SOCIETY 950 ISOM RD SAN ANTONIO, TX 78216		PUBLICCHARITY	CHILDREN'SDISEASE RESEARCH	20,000
CASA OF CENTRAL TEXAS PO BOX 1267 SAN MARCOS, TX 78667		PUBLICCHARITY	ASSIST CHILDREN	30,000
SETON HOME 1115 MISSION RD SAN ANTONIO, TX 78210		PUBLICCHARITY	ASSIST CHILDREN	80,000
A WORLD FOR CHILDREN 2391 NE LOOP 410 208 SAN ANTONIO, TX 78217		PUBLICCHARITY	ASSIST CHILDREN	39,172
NORTH TEXAS FOOD BANK 4500 S COCKRELL HILL RD DALLAS, TX 75236		PUBLICCHARITY	ASSIST CHILDREN	25,000
YMCA OF GREATER SA 3233 N ST MARYS SAN ANTONIO, TX 78212		PUBLICCHARITY	ASSIST CHILDREN	100,000
MIRACLE FLIGHTS FOR KIDS 2764 N GREEN VALLEY PKWY 115 GREEN VALLEY, NV 89014		PUBLICCHARITY	ASSIST CHILDREN	10,000
COMMUNITIES IN SCHOOLS 8700 N STEMMONS FRWY DALLAS, TX 75247		PUBLICCHARITY	ASSIST CHILDREN	30,000
SAN ANTONIO AMATEUR SPORTS FOUNDATION 100 MONTANA SAN ANTONIO, TX 78203		PUBLICCHARITY	ASSIST CHILDREN	70,000
TEACH FOR AMERICA 700 N ST MARYS ST 200 SAN ANTONIO, TX 78205		PUBLICCHARITY	ASSIST CHILDREN	100,000
CHILD ADVOCATES OF SA 406 SAN PEDRO AVE SAN ANTONIO, TX 78212		PUBLICCHARITY	ASSIST CHILDREN	50,000
Total  3a				4,565,351

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOYS TOWN TEXAS 8410 FLANAGAN ST SAN ANTONIO, TX 78249		PUBLICCHARITY	ASSIST CHILDREN	46,025
NB CHRISTIAN MINISTRIES 785 LOOP 337 NEW BRAUNFELS, TX 78130		PUBLICCHARITY	ASSIST CHILDREN	50,000
CLARITY CHILD GUIDANCE CENTER 8535 TOM SLICK DR SAN ANTONIO, TX 78229		PUBLICCHARITY	ASSIST CHILDREN	10,000
FOUNDATIONS FOR LAITY RENEWAL 719 EARL GARRETT ST KERRVILLE, TX 78028		PUBLICCHARITY	ASSIST CHILDREN	25,000
PALMER DRUG ABUSE PROGRAM 10226 IRONSIDE DR SAN ANTONIO, TX 78230		PUBLICCHARITY	ASSIST CHILDREN	25,000
SADDLE LIGHT CENTER 17530 OLD EVANS RD SELMA, TX 78154		PUBLICCHARITY	ASSIST CHILDREN	10,000
YOUTH ALTERNATIVES OF SA 3103 WEST AVE SAN ANTONIO, TX 78213		PUBLICCHARITY	ASSIST CHILDREN	81,000
GREATER SA AFTER SCHOOL ALL STARS 2300 W COMMERCE 208 SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	10,000
ST VINCENT DE PAUL SOCIETY 1103 S FRIO ST SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	46,300
SA YOUTH PO BOX 7844 SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	75,000
HOUSE OF NEIGHBORLY SERVICE 407 N CALAVERAS SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	35,000
SPORTS OUTDOOR AND RECREATION 5103 DAVID EDWARDS SAN ANTONIO, TX 78233		PUBLICCHARITY	ASSIST CHILDREN	110,000
CHILDREN'S ADVOCACY CENTER 3611 SWISS AVE DALLAS, TX 75204		PUBLICCHARITY	ASSIST CHILDREN	25,000
SAN ANTONIO YOUTH LITERACY 700 N ST MARYS ST 210 SAN ANTONIO, TX 78205		PUBLICCHARITY	ASSIST CHILDREN	10,000
COMMUNITIES IN SCHOOLS 3000 S IH-35 SUITE 200 AUSTIN, TX 78704		PUBLICCHARITY	ASSIST CHILDREN	35,000
Total  3a				4,565,351

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SA FAMILY ENDEAVORS 535 BANDERA RD SAN ANTONIO, TX 78228		PUBLICCHARITY	ASSIST CHILDREN	25,000
SILVER & BLACK GIVE BACK 1 AT T CENTER SAN ANTONIO, TX 78219		PUBLICCHARITY	ASSIST CHILDREN	1,500
CHILDREN'S BEREAVEMENT CENTER 205 WOLMOS DR SAN ANTONIO, TX 78205		PUBLICCHARITY	ASSIST CHILDREN	50,250
GOOD SAMARITAN COMM SVC 1600 SALTILLO ST SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	50,000
CHRISTIAN ASSISTANCE MINISTRY 110 McCULLOUGH AVE SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	25,000
AVANCE INC 118 N MEDINA SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	50,000
CHILDREN'S ASSN FOR MAXIMUM POTENTIAL PO BOX 999 CENTER POINT, TX 78010		PUBLICCHARITY	ASSIST CHILDREN	10,000
ROTARY CLUB FOUNDATION 110 BROADWAY SUITE 220 SAN ANTONIO, TX 78205		PUBLICCHARITY	ASSIST CHILDREN	40,000
GIRLS INC 2939 W WOODLAWN AVE SAN ANTONIO, TX 78228		PUBLICCHARITY	ASSIST CHILDREN	25,000
PRESBYTERIAN CHILDREN'S HOME 7201 BROADWAY SAN ANTONIO, TX 78209		PUBLICCHARITY	ASSIST CHILDREN	25,000
BEXAR CO DETENTION MINISTRIES 503 SAN PEDRO AVE SAN ANTONIO, TX 78212		PUBLICCHARITY	ASSIST CHILDREN	13,500
HILL CO DAILY BREAD MINISTRIES 140 INDUSTRIAL DR BOERNE, TX 78006		PUBLICCHARITY	ASSIST CHILDREN	156,000
HILL CO YOUTH RANCH 3522 JUNCTION HWY INGRAM, TX 78025		PUBLICCHARITY	ASSIST CHILDREN	35,000
SAN ANTONIO FOUNDATION 303 PEARL PKWY SUITE 114 SAN ANTONIO, TX 78215		PUBLICCHARITY	ASSIST CHILDREN	22,500
SATX INNER CITY DEVELOPMENT 1300 CHIHUAHUA ST SAN ANTONIO, TX 78207		PUBLICCHARITY	ASSIST CHILDREN	20,000
Total  3a				4,565,351

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MY HEALING PLACE 8401 SHOAL CREEK BLVD AUSTIN,TX 78757		PUBLICCHARITY	ASSIST CHILDREN	15,000
NATIONAL SOC TO PREVENT BLINDNESS 1600 NE LOOP 410 SUITE 125 SAN ANTONIO,TX 78209		PUBLICCHARITY	ASSIST CHILDREN	20,000
ST JUDE'S RANCH 1400 RIDGE CREEK LANE BULVERDE,TX 78163		PUBLICCHARITY	ASSIST CHILDREN	30,000
ELLA AUSTIN COMMUNITY CENTER 1023 N PINE ST SAN ANTONIO,TX 78202		PUBLICCHARITY	ASSIST CHILDREN	50,000
GIRL SCOUTS OF SW TEXAS 811 N COKER LOOP SAN ANTONIO,TX 78216		PUBLICCHARITY	ASSIST CHILDREN	30,000
LIGHTHOUSE FOR THE BLIND 2305 ROOSEVELT AVE SAN ANTONIO,TX 78210		PUBLICCHARITY	ASSIST CHILDREN	50,000
AUTISM COMMUNITY NETWORK 4242 WOODCOCK DR 101 SAN ANTONIO,TX 78228		PUBLICCHARITY	ASSIST CHILDREN	25,000
HEALTHY FUTURES OF TEXAS 2300 W COMMERCE ST SAN ANTONIO,TX 78207		PUBLICCHARITY	ASSIST CHILDREN	20,000
PROJECT MEND 5727 IH-10 WEST SAN ANTONIO,TX 78201		PUBLICCHARITY	ASSIST CHILDREN	20,000
DAUGHTERS OF MARY 6019 BUENA VISTA ST SAN ANTONIO,TX 78237		PUBLICCHARITY	ASSIST CHILDREN	26,000
SA HUMANE SOCIETY 4804 FREDERICKSBURG RD SAN ANTONIO,TX 78229		PUBLICCHARITY	ASSIST CHILDREN	1,000
AUSTIN CHILDREN'S SHELTER 4800 MANOR RD AUSTIN,TX 78723		PUBLICCHARITY	ASSIST CHILDREN	25,000
SA CHILDREN'S SHELTER 2939 W WOODLAWN AVE SAN ANTONIO,TX 78228		PUBLICCHARITY	ASSIST CHILDREN	52,557
COMAL CO FAMILY VIOLENCE SHELTER PO BOX 310344 NEW BRAUNFELS,TX 78131		PUBLICCHARITY	ASSIST CHILDREN	7,727
SA COUNCIL ALCOHOL & DRUG ABUSE 7500 US HWY 90 WEST SUITE 100 SAN ANTONIO,TX 78227		PUBLICCHARITY	ASSIST CHILDREN	50,000
Total  3a				4,565,351

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
IDEA PUBLIC SCHOOLS 505 ANGELITA DR WESLACO,TX 78599		PUBLICCHARITY	ASSIST CHILDREN	115,000
MEALS ON WHEELS 4306 NW IH-410 SAN ANTONIO,TX 78229		PUBLICCHARITY	ASSIST CHILDREN	10,000
SPECIAL OLYMPICS TEXAS 10223 McALLISTER FWY 100 SAN ANTONIO,TX 78216		PUBLICCHARITY	ASSIST CHILDREN	10,000
HILL COUNTRY BOYS & GIRLS CLUB 501 S MAIN SUITE 101 FREDERICKSBURG,TX 78624		PUBLICCHARITY	ASSIST CHILDREN	35,138
FAMILY SERVICE ASSN OF SA 702 SAN PEDRO AVE SAN ANTONIO,TX 78212		PUBLICCHARITY	ASSIST CHILDREN	35,000
UNIV OF TEXAS FOUNDATION 2100 SAN JACINTO BLVD AUSTIN,TX 78712		PUBLICCHARITY	ASSIST CHILDREN	25,000
HOPE FOR THE FUTURE 2718 W WOODLAWN SAN ANTONIO,TX 78228		PUBLICCHARITY	ASSIST CHILDREN	250,000
I CARE SAN ANTONIO 1 HAVEN FOR HOPE WAY BLDG 1 SUITE 2 SAN ANTONIO,TX 78207		PUBLICCHARITY	ASSIST CHILDREN	8,600
SA PUBLIC LIBRARY 625 SHOOK AVE SAN ANTONIO,TX 78212		PUBLICCHARITY	ASSIST CHILDREN	10,000
LITTLE TIKES CO 2180 BARLOW RD HUDSON,OH 44236		PRIVATECORPORATION	WHICH WERE DONATED TO BOYSVILLEPURCHASED COMPUTER UNITSAND CHILDREN'S SHELTER	14,725
CLARITY CHILD GUIDANCE CENTER 8525 TOM SLICK SAN ANTONIO,TX 78229		PUBLICCHARITY	ASSIST CHILDREN	25,000
SA CHILDREN'S MUSEUM 305 E HOUSTON ST SAN ANTONIO,TX 78205		PUBLICCHARITY	ASSIST CHILDREN	25,000
TRANSPLANTS FOR CHILDREN 7550 IH-10 WEST SUITE 104 SAN ANTONIO,TX 78229		PUBLICCHARITY	ASSIST CHILDREN	25,000
CHILDREN'S HUNGER FUND 4940 EISENHAUER RD SUITE 146 SAN ANTONIO,TX 78218		PUBLICCHARITY	ASSIST CHILDREN	15,000
LITERACY SAN ANTONIO INC PO BOX 460176 SAN ANTONIO,TX 78246		PUBLICCHARITY	ASSIST CHILDREN	20,000
Total  3a				4,565,351

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HISPANIC RELIGIOUS PARTNERSHIP 1701 W WOODLAWN AVE SAN ANTONIO,TX 78201		PUBLICCHARITY	ASSIST CHILDREN	10,000
SANTA ROSA CHILDREN'S HOSPITAL 333 N SANTA ROSA ST SAN ANTONIO,TX 78207		PUBLICCHARITY	ASSIST CHILDREN	250,000
GRACE POINT CHURCH 9750 HUEBNER RD SAN ANTONIO,TX 78240		PUBLICCHARITY	ASSIST CHILDREN	1,000
COMMUNITY FOR LIFE FOUNDATION 12525 NACOGDOCHES RD SAN ANTONIO,TX 78217		PUBLICCHARITY	ASSIST CHILDREN	1,000
DAUGHTERS OF CHARITY SERVICES 1835 MARTINEZ LASOYA RD SAN ANTONIO,TX 78221		PUBLICCHARITY	ASSIST CHILDREN	45,036
DOWN'S SYNDROME ASSN 2800 NW IH-410 SAN ANTONIO,TX 78230		PUBLICCHARITY	ASSIST CHILDREN	16,000
EPILEPSY FOUNDATION SA 10615 PERRIN BEITEL RD SAN ANTONIO,TX 78217		PUBLICCHARITY	ASSIST CHILDREN	10,400
LIFEWORCS 3521 PAESANOS PKWY SUITE 206 SAN ANTONIO,TX 78231		PUBLICCHARITY	ASSIST CHILDREN	25,500
TEAMABILITY 1711 N TRINITY ST SAN ANTONIO,TX 78201		PUBLICCHARITY	ASSIST CHILDREN	25,000
MEXICAN AMERICAN UNITY COUNCIL 2300 W COMMERCE ST SAN ANTONIO,TX 78207		PUBLICCHARITY	ASSIST CHILDREN	5,000
YWCA 503 CASTROVILLE RD SAN ANTONIO,TX 78237		PUBLICCHARITY	ASSIST CHILDREN	20,000
NATIONAL KIDNEY FOUNDATION 13340 DALLAS PARKWAY DALLAS,TX 75240		PUBLICCHARITY	ASSIST CHILDREN	10,000
Total  3a				4,565,351

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: THE HARVEY E NAJIM FAMILY FOUNDATION

EIN: 20-8060391

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
CAPITAL GAIN DISTRIBUTIONS					272,425				272,425	
STOCKS AND BONDS					20,521,366			19,756,634	764,732	
K-1 LOSSES								54,164	-54,164	

**TY 2013 Investments Corporate
Bonds Schedule****Name:** THE HARVEY E NAJIM FAMILY FOUNDATION**EIN:** 20-8060391

Name of Bond	End of Year Book Value	End of Year Fair Market Value
3000 WAL-MART	3,036,840	3,006,630
2000 MICROSOFT	2,022,400	2,020,976
500 BELLSOUTH	524,800	516,307
400 MERCK	404,648	419,828
2500 EMERSON ELECTRIC	2,542,535	2,610,700
500 STATOIL	522,000	511,152
49801 FEDERATED FLOATING RATE	500,000	501,992
64010 FEDERATED HIGH YIELD BOND	651,414	653,548
101722 IVY HIGH INCOME FUND	872,083	878,878
24990 VANGUARD INFLATION PROTECT SECS	680,508	636,514
2621 VANGUARD ST INFLATION PROTECT SECS	65,000	64,738
20153 VANGUARD ST BOND INDEX SIGNAL	212,622	211,407
31960 VANGUARD ST INFLATION PROTECT SECS	1,602,541	1,576,587
41789 AMERICAN CAPITAL WORLD BOND FUND	878,567	839,126
52433 LOOMIS SAYLES GLOBAL BOND FUND	886,173	846,798
85190 TEMPLETON GLOBAL BOND FUND	1,126,292	1,119,394

**TY 2013 Investments Corporate
Stock Schedule**

Name: THE HARVEY E NAJIM FAMILY FOUNDATION
EIN: 20-8060391

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4245 ALTRIA	114,727	162,966
2625 AMPHENOL	141,880	234,097
1445 APACHE	138,481	124,183
475 APPLE	176,892	266,485
4495 AT&T	135,754	158,044
1930 BERKSHIRE HATHAWAY B	166,617	228,821
745 BLACKROCK	146,577	235,770
4780 BRISTOL MYERS SQUIBB	149,220	254,057
2060 CATERPILLAR	169,598	187,069
1525 CHEVRON	151,966	190,488
2330 CHUBB CORP.	224,667	225,148
8260 CISCO SYSTEMS	195,717	185,272
2620 COACH	152,517	147,061
1405 COSTCO	113,769	167,223
7800 CSX CORP.	173,201	224,406
2870 CVS CAREMARK	122,624	205,406
2110 DEERE & CO.	172,800	192,706
7285 EMC CORP.	161,902	183,218
3180 EMERSON ELEC.	174,265	223,172
2765 EXPRESS SCRIPTS	147,784	194,214
1965 EXXON MOBIL	162,525	198,858
3140 GENERAL MILLS	123,704	156,717
270 GOOGLE	177,530	302,592
4810 HCC INS.	155,606	221,933
1035 IBM	150,507	194,135
2155 JOHNSON & JOHNSON	149,337	197,376
3925 JP MORGAN CHASE	171,732	229,534
3695 KINDER MORGAN	127,021	133,020
7065 MDU RESOURCES	170,222	215,836
1905 McDONALD'S	156,078	184,842

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4605 METLIFE	207,480	248,302
1835 MONSANTO	141,962	213,889
3355 NIKE B	149,339	263,837
1910 OCCIDENTAL PETR.	164,223	181,641
5440 ORACLE	147,129	208,134
700 PEPSICO	46,681	58,058
1460 PHILIP MORRIS INTL.	100,562	127,210
2640 PNC FINANCIAL	171,278	204,811
1405 PPG IND.	130,758	266,472
4460 PPL CORP.	134,312	134,201
2450 PROCTER & GAMBLE	170,880	199,454
2550 QUALCOMM	151,722	189,338
7095 QUANTA SERVICES	193,695	223,918
2070 S&P BIOTECH SPDR	153,115	269,514
2365 THERMO FISHER	132,506	263,343
2155 UNITED TECH.	175,752	245,239
5680 VF CORP.	155,147	354,091
3490 WALT DISNEY CO.	149,747	266,636
2875 ACCENTURE	190,280	236,382
2715 NESTLE SA	161,668	199,347
3625 NOBLE CORP.	148,734	135,829
2195 NOVARTIS AG	134,290	176,434
1930 SCHLUMBERGER	153,065	173,912
6694 ARTISAN MID CAP	215,218	318,759
23175 COLUMBIA ACORN	720,886	878,098
9090 HODGES SMALL CAP	131,516	173,070
18315 LOOMIS SAYLES SMALL CAP	492,433	685,913
3619 VANGUARD EXPLORER	244,630	348,035
45022 VANGUARD SELECTED VALUE	883,578	1,269,631
965 S&P MIDCAP ETF	175,242	235,653

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1310 VANGUARD DIVIDEND APPR.	75,391	98,564
720 VANGUARD GROWTH ETF	50,040	66,966
33152 ABERDEEN EMERGING MKTS.	489,907	479,711
13721 EUROPACIFIC GROWTH	603,030	672,091
41820 FEDERATED INTL. STRATEGIC	150,151	178,152
26518 LAZARD EMERGING MKTS.	549,309	495,094
32997 TEMPLETON FOREIGN EQUITY	746,621	749,709
27833 THORNBURG INTL. VALUE	776,000	892,339
350 BOSTON PROPERTIES	36,388	35,130
700 EQUITY RESIDENTIAL	36,815	36,309
400 HEALTHCARE REALTY TRUST	10,463	8,524
2500 HOSPITALITY PROP. TRUST	85,815	67,575
1800 KIMCO REALTY CORP.	28,141	35,550
300 PUBLIC STORAGE	45,264	45,156
500 REALTY INCOME CORP.	22,084	18,665
200 SIMON PROPERTY GROUP	32,756	30,432
300 TANGER FACTORY OUTLET	10,409	9,606
200 VORNADO REALTY TRUST	14,266	17,758
1123 COHEN & STEERS REALTY	73,603	70,598
23342 THIRD AVE. REAL ESTATE	506,876	672,732
25050 POWERSHARES DB COMMODITY INDEX	581,718	642,783
14121 SPDR GOLD TRUST	1,869,977	1,639,731
22549 PIMCO COMMODITIES PLUS	250,285	241,727
11780 CREDIT SUISSE MLP	270,968	370,010
15768 JP MORGAN ALERIAN	602,048	730,847
25537 EATON VANCE CURRENCY INC FUND	285,000	265,847
21915 WISDOM TREE EMERGING CURRENCY	486,210	439,615
12706 EAGLE MLP STRATEGY FUND	150,000	163,278
500 EXXON MOBIL	46,525	50,600
150437 ABSOLUTE OPPORTUNITIES	1,827,337	1,683,387

Name of Stock	End of Year Book Value	End of Year Fair Market Value
161688 ABSOLUTE STRATEGIES	1,729,092	1,773,717
62053 PIMCO ALL ASSET FUND	788,692	749,599
47096 WILMINGTON MULTI MANAGER	505,850	509,580
8225 AGL RESOURCES	323,009	388,467
10345 ALTRIA	260,188	397,145
865 APPLE	391,508	485,282
11260 AT&T	330,539	395,902
6710 AUTOMATIC DATA	366,565	542,161
1570 BLACKROCK	385,754	496,858
8600 BRISTOL MYERS SQUIBB	249,342	457,090
14230 CA INC.	351,860	478,839
5400 CATERPILLAR	476,435	490,374
3705 CHEVRON	346,928	462,792
4420 CLOROX CO.	366,016	409,999
5950 COLGATE PALMOLIVE	235,542	387,999
6135 CONOCO PHILLIPS	353,055	433,438
8875 DUPONT	434,038	576,609
6180 ELI LILLY CO.	227,342	315,180
8140 EMERSON ELECTRIC	420,176	571,265
4090 EXXON MOBIL	334,056	413,908
15600 GENERAL ELECTRIC	384,530	437,268
7130 GENERAL MILLS	235,595	355,858
4960 GENUINE PARTS	257,103	412,622
8040 HASBRO INC.	357,855	442,280
1700 IBM	193,467	318,869
20055 INTEL	427,121	520,528
4190 JOHNSON & JOHNSON	273,420	383,762
3515 KIMBERLY CLARK	245,834	367,177
11695 KINDER MORGAN	397,505	421,020
3455 McDONALD'S	229,494	335,239

Name of Stock	End of Year Book Value	End of Year Fair Market Value
7455 MERCK & CO.	312,179	373,123
15165 MICROSOFT	430,079	567,323
4865 NEXT ERA ENERGY	287,425	416,541
4250 PEPSICO	292,819	352,495
11483 PFIZER	217,959	351,724
4080 PHILIP MORRIS INTL.	237,134	355,490
11105 PPG IND.	369,858	334,149
4630 PROCTER & GAMBLE	304,941	376,928
10620 SPECTRA ENERGY	370,590	378,284
4385 UNITED TECH.	341,139	499,013
8955 VERIZON	348,868	440,049
6205 BANK OF MONTREAL	338,926	413,625
8765 GLAXO SMITH KLINE	408,482	467,963
5120 NESTLE SA	297,567	375,933
6115 NOVARTIS AG	354,128	491,524
7185 ROCHE HOLDINGS	400,325	503,318
6890 ROYAL DUTCH SHELL	473,193	491,050
13110 SUN LIFE	364,419	463,176
8410 UNILEVER PLC	275,960	346,492
179646 FEDERATED INTL. STRATEGIC	720,406	765,292
3030 WISDOM TREE EMERGING MKTS.	162,551	154,635

TY 2013 Other Expenses Schedule**Name:** THE HARVEY E NAJIM FAMILY FOUNDATION**EIN:** 20-8060391

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TRUST MGMT FEES	133,028	133,028		
INVESTMENT MGMT FEES	8,442	8,442		
K-1 INV MGMT FEES	6,938	6,938		
OFFICE EXP	22,458			
FOREIGN FEES	530	530		
PAYROLL PROCESSING FEES	863			

TY 2013 Taxes Schedule

Name: THE HARVEY E NAJIM FAMILY FOUNDATION

EIN: 20-8060391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	26,839	26,839		
FEDERAL EXCISE TAX	71,057			
PAYROLL TAXES	8,802			