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Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                  |                                                                                                                  |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|---------------------------------------|-----------------------------------------|-----------------------------------------|--------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>JOSEPH A.W. CLAYES, III CHARITABLE TRUST</b>                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                  | A Employer identification number<br><b>20-7515945</b>                                                            |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>1385 PARK ROW</b>                                                                                                                                                                                                                                                                                                        |                                                                                                                                                  | B Telephone number<br><b>858-459-8783</b>                                                                        |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>LA JOLLA, CA 90237</b>                                                                                                                                                                                                                                                                                                            |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                       |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |
| G Check all that apply: <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table> |                                                                                                                                                  | <input type="checkbox"/> Initial return                                                                          | <input type="checkbox"/> Initial return of a former public charity | <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return | <input type="checkbox"/> Address change | <input type="checkbox"/> Name change | D 1 Foreign organizations, check here <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| <input type="checkbox"/> Initial return                                                                                                                                                                                                                                                                                                                                                                          | <input type="checkbox"/> Initial return of a former public charity                                                                               |                                                                                                                  |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |
| <input type="checkbox"/> Final return                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Amended return                                                                                                          |                                                                                                                  |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |
| <input type="checkbox"/> Address change                                                                                                                                                                                                                                                                                                                                                                          | <input type="checkbox"/> Name change                                                                                                             |                                                                                                                  |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                                                                                                                                 |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>    |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br>\$ <b>40,850,865.</b>                                                                                                                                                                                                                                                                                                       | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |                                                                    |                                       |                                         |                                         |                                      |                                                                                                                                                                            |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                     |  |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B                                                      |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                               |  | 211.                               | 169.                      |                         | STATEMENT 1                                                 |
| 4 Dividends and interest from securities                                                                                                           |  | 871,852.                           | 867,637.                  |                         | STATEMENT 2                                                 |
| 5a Gross rents                                                                                                                                     |  | 60,070.                            | 60,070.                   |                         | STATEMENT 3                                                 |
| b Net rental income or (loss) <b>-82,435.</b>                                                                                                      |  |                                    |                           |                         | STATEMENT 4                                                 |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                           |  | 2,281,807.                         |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a <b>13,828,120.</b>                                                                                   |  |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                   |  |                                    | 2,281,807.                |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                      |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                             |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                        |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                          |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                           |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                    |  | 309,501.                           | 0.                        |                         | STATEMENT 5                                                 |
| 12 Total. Add lines 1 through 11                                                                                                                   |  | 3,523,441.                         | 3,209,683.                |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc.                                                                                             |  | 200,000.                           | 150,000.                  |                         | 50,000.                                                     |
| 14 Other employee salaries and wages                                                                                                               |  |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                |  |                                    |                           |                         |                                                             |
| 16a Legal fees STMT 6                                                                                                                              |  | 23,587.                            | 23,587.                   |                         | 0.                                                          |
| b Accounting fees                                                                                                                                  |  |                                    |                           |                         |                                                             |
| c Other professional fees STMT 7                                                                                                                   |  | 279,660.                           | 279,660.                  |                         | 0.                                                          |
| 17 Interest                                                                                                                                        |  |                                    |                           |                         |                                                             |
| 18 Taxes STMT 8                                                                                                                                    |  | 41,947.                            | 41,947.                   |                         | 0.                                                          |
| 19 Depreciation and depletion                                                                                                                      |  | 86,764.                            | 86,764.                   |                         |                                                             |
| 20 Occupancy                                                                                                                                       |  |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                               |  |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                       |  |                                    |                           |                         |                                                             |
| 23 Other expenses STMT 9                                                                                                                           |  | 50,903.                            | 50,903.                   |                         | 0.                                                          |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                            |  | 682,861.                           | 632,861.                  |                         | 50,000.                                                     |
| 25 Contributions, gifts, grants paid                                                                                                               |  | 1,795,213.                         |                           |                         | 1,795,213.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           |  | 2,478,074.                         | 632,861.                  |                         | 1,845,213.                                                  |
| 27 Subtract line 26 from line 12:                                                                                                                  |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                |  | 1,045,367.                         |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |  |                                    | 2,576,822.                |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |  |                                    |                           | N/A                     |                                                             |

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LHA For Paperwork Reduction Act Notice, see instructions

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| Part II Balance Sheets                                                                           |                                                                                           | Attached schedules and amounts in the description column should be for end-of-year amounts only |                               |                       |
|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|-------------------------------|-----------------------|
|                                                                                                  |                                                                                           | Beginning of year<br>(a) Book Value                                                             | End of year<br>(b) Book Value | (c) Fair Market Value |
| Assets                                                                                           | 1 Cash - non-interest-bearing                                                             |                                                                                                 |                               |                       |
|                                                                                                  | 2 Savings and temporary cash investments                                                  | 52,408.                                                                                         | 339,751.                      | 339,751.              |
|                                                                                                  | 3 Accounts receivable ▶                                                                   |                                                                                                 |                               |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                               |                       |
|                                                                                                  | 4 Pledges receivable ▶                                                                    |                                                                                                 |                               |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                               |                       |
|                                                                                                  | 5 Grants receivable                                                                       |                                                                                                 |                               |                       |
|                                                                                                  | 6 Receivables due from officers, directors, trustees, and other disqualified persons      |                                                                                                 |                               |                       |
|                                                                                                  | 7 Other notes and loans receivable ▶                                                      |                                                                                                 |                               |                       |
|                                                                                                  | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                               |                       |
|                                                                                                  | 8 Inventories for sale or use                                                             |                                                                                                 |                               |                       |
|                                                                                                  | 9 Prepaid expenses and deferred charges                                                   |                                                                                                 |                               |                       |
|                                                                                                  | 10a Investments - U.S. and state government obligations                                   |                                                                                                 |                               |                       |
|                                                                                                  | b Investments - corporate stock                                                           |                                                                                                 |                               |                       |
|                                                                                                  | c Investments - corporate bonds                                                           |                                                                                                 |                               |                       |
|                                                                                                  | 11 Investments - land, buildings, and equipment basis ▶ 5,251,000.                        |                                                                                                 |                               |                       |
| Less accumulated depreciation STMT 10 ▶ 433,820.                                                 | 4,903,944.                                                                                | 4,817,180.                                                                                      | 2,911,180.                    |                       |
| 12 Investments - mortgage loans                                                                  |                                                                                           |                                                                                                 |                               |                       |
| 13 Investments - other STMT 11                                                                   | 27,163,542.                                                                               | 28,008,330.                                                                                     | 36,639,934.                   |                       |
| 14 Land, buildings, and equipment; basis ▶ 2,184,000.                                            |                                                                                           |                                                                                                 |                               |                       |
| Less accumulated depreciation STMT 12 ▶                                                          | 2,184,000.                                                                                | 2,184,000.                                                                                      | 960,000.                      |                       |
| 15 Other assets (describe ▶)                                                                     |                                                                                           |                                                                                                 |                               |                       |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1) | 34,303,894.                                                                               | 35,349,261.                                                                                     | 40,850,865.                   |                       |
| Liabilities                                                                                      | 17 Accounts payable and accrued expenses                                                  |                                                                                                 |                               |                       |
|                                                                                                  | 18 Grants payable                                                                         |                                                                                                 |                               |                       |
|                                                                                                  | 19 Deferred revenue                                                                       |                                                                                                 |                               |                       |
|                                                                                                  | 20 Loans from officers, directors, trustees, and other disqualified persons               |                                                                                                 |                               |                       |
|                                                                                                  | 21 Mortgages and other notes payable                                                      |                                                                                                 |                               |                       |
|                                                                                                  | 22 Other liabilities (describe ▶)                                                         |                                                                                                 |                               |                       |
| 23 Total liabilities (add lines 17 through 22)                                                   | 0.                                                                                        | 0.                                                                                              |                               |                       |
| Net Assets or Fund Balances                                                                      | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |                                                                                                 |                               |                       |
|                                                                                                  | and complete lines 24 through 26 and lines 30 and 31.                                     |                                                                                                 |                               |                       |
|                                                                                                  | 24 Unrestricted                                                                           |                                                                                                 |                               |                       |
|                                                                                                  | 25 Temporarily restricted                                                                 |                                                                                                 |                               |                       |
|                                                                                                  | 26 Permanently restricted                                                                 |                                                                                                 |                               |                       |
|                                                                                                  | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |                                                                                                 |                               |                       |
|                                                                                                  | and complete lines 27 through 31                                                          |                                                                                                 |                               |                       |
|                                                                                                  | 27 Capital stock, trust principal, or current funds                                       | 0.                                                                                              | 0.                            |                       |
|                                                                                                  | 28 Paid-in or capital surplus, or land, bldg, and equipment fund                          | 0.                                                                                              | 0.                            |                       |
| 29 Retained earnings, accumulated income, endowment, or other funds                              | 34,303,894.                                                                               | 35,349,261.                                                                                     |                               |                       |
| 30 Total net assets or fund balances                                                             | 34,303,894.                                                                               | 35,349,261.                                                                                     |                               |                       |
| 31 Total liabilities and net assets/fund balances                                                | 34,303,894.                                                                               | 35,349,261.                                                                                     |                               |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                 |   |             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 34,303,894. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | 1,045,367.  |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.          |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 35,349,261. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.          |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 35,349,261. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a THE NORTHERN TRUST</b>                                                                                                       |                                                  |                                      |                                  |
| <b>b THE NORTHERN TRUST</b>                                                                                                        |                                                  |                                      |                                  |
| <b>c 11,400 SHS MFC SPDR GOLD TR GOLD SHS</b>                                                                                      |                                                  |                                      | 03/11/13                         |
| <b>d CAPITAL GAINS DIVIDENDS</b>                                                                                                   |                                                  |                                      |                                  |
| <b>e</b>                                                                                                                           |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a 2,368,459.</b>   |                                            | <b>2,328,560.</b>                               | <b>39,899.</b>                               |
| <b>b 9,679,723.</b>   |                                            | <b>7,982,726.</b>                               | <b>1,696,997.</b>                            |
| <b>c 1,742,523.</b>   |                                            | <b>1,235,027.</b>                               | <b>507,496.</b>                              |
| <b>d 37,415.</b>      |                                            |                                                 | <b>37,415.</b>                               |
| <b>e</b>              |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (i) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                 |
| <b>a</b>                                                                                    |                                      |                                                 | <b>39,899.</b>                                                                                  |
| <b>b</b>                                                                                    |                                      |                                                 | <b>1,696,997.</b>                                                                               |
| <b>c</b>                                                                                    |                                      |                                                 | <b>507,496.</b>                                                                                 |
| <b>d</b>                                                                                    |                                      |                                                 | <b>37,415.</b>                                                                                  |
| <b>e</b>                                                                                    |                                      |                                                 |                                                                                                 |

|                                                                                                                                                                                        |          |                   |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------|
| <b>2 Capital gain net income or (net capital loss)</b>                                                                                                                                 | <b>2</b> | <b>2,281,807.</b> |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b><br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | <b>3</b> | <b>N/A</b>        |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2012                                                                 | 1,763,604.                               | 37,179,121.                                  | .047435                                                     |
| 2011                                                                 | 1,827,648.                               | 35,042,981.                                  | .052154                                                     |
| 2010                                                                 | 720,000.                                 | 37,969,616.                                  | .018963                                                     |
| 2009                                                                 | 720,000.                                 | 35,813,620.                                  | .020104                                                     |
| 2008                                                                 | 719,015.                                 | 7,150,759.                                   | .100551                                                     |

|                                                                                                                                                                                                                                |          |                    |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------------|
| <b>2 Total of line 1, column (d)</b>                                                                                                                                                                                           | <b>2</b> | <b>.239207</b>     |
| <b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>                                          | <b>3</b> | <b>.047841</b>     |
| <b>4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5</b>                                                                                                                                          | <b>4</b> | <b>39,324,540.</b> |
| <b>5 Multiply line 4 by line 3</b>                                                                                                                                                                                             | <b>5</b> | <b>1,881,325.</b>  |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>                                                                                                                                                            | <b>6</b> | <b>25,768.</b>     |
| <b>7 Add lines 5 and 6</b>                                                                                                                                                                                                     | <b>7</b> | <b>1,907,093.</b>  |
| <b>8 Enter qualifying distributions from Part XII, line 4</b><br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. | <b>8</b> | <b>1,845,213.</b>  |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                       |  |    |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |  | 1  | 51,536. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                     |  | 2  | 0.      |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                            |  | 3  | 51,536. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                           |  | 4  | 0.      |
| 3 Add lines 1 and 2                                                                                                                                                                                                                   |  | 5  | 51,536. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         |  | 6a | 24,365. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                             |  | 6b |         |
| 6 Credits/Payments.                                                                                                                                                                                                                   |  | 6c | 27,171. |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013                                                                                                                                                                   |  | 6d |         |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                               |  | 7  | 51,536. |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                 |  | 8  |         |
| d Backup withholding erroneously withheld                                                                                                                                                                                             |  | 9  | 0.      |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                 |  | 10 |         |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                   |  | 11 |         |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        |  |    |         |
| 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           |  |    |         |
| 11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                                                                                                       |  |    |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                               | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                       |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                       |     | X  |
| 2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.<br>(1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.                                                                                                                      |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.                                                                                                                                                                        |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                            |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                          |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                           | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA                                                                                                                                                                                                             |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                 | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                        |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                         |     | X  |

N/A

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                   |    |     |    |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                           | 11 |     | X  |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                          | 12 |     | X  |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>N/A</u>                                                                                                                                                                               | 13 | X   |    |
| 14 | The books are in care of ► <u>TRULETTE CLAYES</u> Telephone no. ► <u>858-459-8783</u><br>Located at ► <u>1385 PARK ROW, LA JOLLA, CA</u> ZIP+4 ► <u>92037-3708</u>                                                                                                                                                                |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year<br>► 15 <u>N/A</u>                                                                                                                            |    |     |    |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► | 16 | Yes | No |
|    |                                                                                                                                                                                                                                                                                                                                   |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                     | N/A                                                                 | 1b |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                        |                                                                     | 1c |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                 |                                                                     |    |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                      | N/A                                                                 | 2b |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here<br>►                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) | N/A                                                                 | 3b |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               |                                                                     | 4a |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                              |                                                                     | 4b |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                     | X  |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**

Organizations relying on a current notice regarding disaster assistance check here ☒

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address                                            | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| TRULETTE M. CLAYES<br>1385 PARK ROW<br>LA JOLLA, CA 920373708   | TRUSTEE<br>15.00                                          | 100,000.                                  | 0.                                                                    | 0.                                    |
| BRENDAN A. HOLMES<br>30453 VIA MARIA ELENA<br>BONSALL, CA 92003 | TRUSTEE<br>15.00                                          | 100,000.                                  | 0.                                                                    | 0.                                    |
|                                                                 |                                                           |                                           |                                                                       |                                       |
|                                                                 |                                                           |                                           |                                                                       |                                       |
|                                                                 |                                                           |                                           |                                                                       |                                       |
|                                                                 |                                                           |                                           |                                                                       |                                       |
|                                                                 |                                                           |                                           |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

**Total number of other employees paid over \$50,000** **0**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                                                            | Expenses |
|------------------------------------------------------------|----------|
| 1 N/A - CHARITABLE ACTIVITIES ARE LIMITED TO GRANT MAKING. |          |
|                                                            | 0.       |
| 2                                                          |          |
|                                                            |          |
| 3                                                          |          |
|                                                            |          |
| 4                                                          |          |
|                                                            |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                        | Amount |
|--------------------------------------------------------|--------|
| 1 N/A                                                  |        |
|                                                        |        |
| 2                                                      |        |
|                                                        |        |
| All other program-related investments See instructions |        |
| 3                                                      |        |
|                                                        |        |
|                                                        |        |
| Total. Add lines 1 through 3                           | 0.     |

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                            |    |             |
|---|------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |    |             |
| a | Average monthly fair market value of securities                                                            | 1a | 35,422,346. |
| b | Average of monthly cash balances                                                                           | 1b | 196,045.    |
| c | Fair market value of all other assets                                                                      | 1c | 4,305,000.  |
| d | Total (add lines 1a, b, and c)                                                                             | 1d | 39,923,391. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1e | 0.          |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2  | 0.          |
| 3 | Subtract line 2 from line 1d                                                                               | 3  | 39,923,391. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)  | 4  | 598,851.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4       | 5  | 39,324,540. |
| 6 | Minimum investment return. Enter 5% of line 5                                                              | 6  | 1,966,227.  |

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                    |    |            |
|----|----------------------------------------------------------------------------------------------------|----|------------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 1,966,227. |
| 2a | Tax on investment income for 2013 from Part VI, line 5                                             | 2a | 51,536.    |
| b  | Income tax for 2013. (This does not include the tax from Part VI.)                                 | 2b |            |
| c  | Add lines 2a and 2b                                                                                | 2c | 51,536.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 1,914,691. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.         |
| 5  | Add lines 3 and 4                                                                                  | 5  | 1,914,691. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.         |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 1,914,691. |

**Part XII****Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |            |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                         |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 1,845,213. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.         |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |            |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |            |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 1,845,213. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.         |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 1,845,213. |

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7                                                                                                                       |               |                            |             | 1,914,691.  |
| 2 Undistributed income, if any, as of the end of 2013                                                                                                                      |               |                            |             |             |
| a Enter amount for 2012 only                                                                                                                                               |               |                            | 1,795,213.  |             |
| b Total for prior years                                                                                                                                                    |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2013                                                                                                                          |               |                            |             |             |
| a From 2008                                                                                                                                                                |               |                            |             |             |
| b From 2009                                                                                                                                                                |               |                            |             |             |
| c From 2010                                                                                                                                                                |               |                            |             |             |
| d From 2011                                                                                                                                                                |               |                            |             |             |
| e From 2012                                                                                                                                                                |               |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 1,845,213.                                                                                                 |               |                            |             |             |
| a Applied to 2012, but not more than line 2a                                                                                                                               |               |                            | 1,795,213.  |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2013 distributable amount                                                                                                                                     |               |                            |             | 50,000.     |
| e Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 0.            |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014                                                              |               |                            |             | 1,864,691.  |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2009                                                                                                                                                         |               |                            |             |             |
| b Excess from 2010                                                                                                                                                         |               |                            |             |             |
| c Excess from 2011                                                                                                                                                         |               |                            |             |             |
| d Excess from 2012                                                                                                                                                         |               |                            |             |             |
| e Excess from 2013                                                                                                                                                         |               |                            |             |             |

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- [illegible]

## 10

**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business)                                       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount               |
|----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|----------------------|
| <b>a</b> Paid during the year                                                          |                                                                                                                    |                                      |                                     |                      |
| RONALD MCDONALD HOUSE<br>26345 NETWORK PLACE<br>CHICAGO, IL 60673-1263                 |                                                                                                                    | PUBLIC CHARITY                       | CHARITABLE ACTIVITIES               | 527,760.             |
| CALIFORNIA STATE UNIVERSITY,<br>FULLERTON<br>P.O. BOX 6850<br>FULLERTON, CA 92834-6850 |                                                                                                                    | PUBLIC<br>FOUNDATION                 | NEW PERFORMING ARTS<br>CENTER       | 267,453.             |
| PALM SPRINGS ART MUSEUM<br>101 MUSEUM DRIVE<br>PALM SPRINGS, CA 92262-5659             |                                                                                                                    | PUBLIC<br>FOUNDATION                 | NEW SPECIAL EXHIBITION<br>WING      | 1,000,000.           |
|                                                                                        |                                                                                                                    |                                      |                                     |                      |
|                                                                                        |                                                                                                                    |                                      |                                     |                      |
| <b>Total</b>                                                                           |                                                                                                                    |                                      |                                     | <b>3a</b> 1,795,213. |
| <b>b</b> Approved for future payment                                                   |                                                                                                                    |                                      |                                     |                      |
| NONE                                                                                   |                                                                                                                    |                                      |                                     |                      |
|                                                                                        |                                                                                                                    |                                      |                                     |                      |
|                                                                                        |                                                                                                                    |                                      |                                     |                      |
|                                                                                        |                                                                                                                    |                                      |                                     |                      |
| <b>Total</b>                                                                           |                                                                                                                    |                                      |                                     | <b>3b</b> 0.         |



**Part XVII. Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |                                                                                                                                                                                                                                                                                                                                                                                             | Yes   | No |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                         |       |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                          |       |    |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                    | 1a(1) | X  |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                            | 1a(2) | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                         |       |    |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                  | 1b(1) | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                            | 1b(2) | X  |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                        | 1b(3) | X  |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                              | 1b(4) | X  |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                | 1b(5) | X  |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                      | 1b(6) | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                            | 1c    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

  
Signature of officer or trustee

9/13/14  
Date

CO-TRUSTEE  
Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☐ Yes    ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ if  
self-employed

|      |  |
|------|--|
| PTIN |  |
|------|--|

GEORGE R. PHILLIPS

07/30/14

P00543133

Firm's name ► PHILLIPS LAW PARTNERS, LLP

Firm's EIN ► 27-3707378

Firm's address ► 800 WILSHIRE BLVD., 15TH FLOOR  
LOS ANGELES, CA 90017-2619

Phone no (213) 680-9212

Form **990-PF** (2013)

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                   | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--------------------------|-----------------------------|---------------------------------|-------------------------------|
| NORTHERN TRUST #23-80346 | 42.                         | 0.                              |                               |
| WELLS FARGO BANK         | 169.                        | 169.                            |                               |
| TOTAL TO PART I, LINE 3  | 211.                        | 169.                            |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                                   | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|------------------------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| NORTHERN TRUST<br>#23-80346              | 905,052.        | 37,415.                       | 867,637.                    | 867,637.                          |                               |
| NT #23-80346-<br>NON-DIV<br>DISTRIBUTION | 4,215.          | 0.                            | 4,215.                      | 0.                                |                               |
| TO PART I, LINE 4                        | 909,267.        | 37,415.                       | 871,852.                    | 867,637.                          |                               |

## FORM 990-PF RENTAL INCOME STATEMENT 3

| KIND AND LOCATION OF PROPERTY         | ACTIVITY<br>NUMBER | GROSS<br>RENTAL INCOME |
|---------------------------------------|--------------------|------------------------|
| GROVE                                 | 1                  |                        |
| N. PALM CANYON                        | 2                  | 60,070.                |
| 346 TAMARISK                          | 4                  |                        |
| TOTAL TO FORM 990-PF, PART I, LINE 5A |                    | 60,070.                |

| FORM 990-PF                                       | RENTAL EXPENSES    | STATEMENT | 4        |
|---------------------------------------------------|--------------------|-----------|----------|
| DESCRIPTION                                       | ACTIVITY<br>NUMBER | AMOUNT    | TOTAL    |
| DEPRECIATION                                      |                    | 19,855.   |          |
| - SUBTOTAL -                                      | 1                  |           | 19,855.  |
| DEPRECIATION                                      |                    | 16,364.   |          |
| REPAIRS                                           |                    | 1,775.    |          |
| PROPERTY TAXES                                    |                    | 15,512.   |          |
| UTILITIES                                         |                    | 6,338.    |          |
| SIGNAGE                                           |                    | 232.      |          |
| - SUBTOTAL -                                      | 2                  |           | 40,221.  |
| DEPRECIATION                                      |                    | 50,545.   |          |
| INSURANCE                                         |                    | 11,999.   |          |
| PEST CONTROL                                      |                    | 436.      |          |
| ALARM                                             |                    | 984.      |          |
| CLEANING                                          |                    | 1,650.    |          |
| UTILITIES                                         |                    | 8,387.    |          |
| POOL SERVICE                                      |                    | 2,439.    |          |
| PROPERTY TAXES                                    |                    | -5,375.   |          |
| LAWN SERVICE                                      |                    | 10,505.   |          |
| TELEPHONE                                         |                    | 434.      |          |
| REPAIRS                                           |                    | 356.      |          |
| SUPPLIES                                          |                    | 69.       |          |
| - SUBTOTAL -                                      | 4                  |           | 82,429.  |
| TOTAL RENTAL EXPENSES                             |                    |           | 142,505. |
| NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B |                    |           | -82,435. |

| FORM 990-PF                           | OTHER INCOME                | STATEMENT                         | 5                             |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
| OTHER RECEIPTS                        | 355.                        | 0.                                |                               |
| NET FARMING INCOME                    | 309,146.                    | 0.                                |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 309,501.                    | 0.                                |                               |

| FORM 990-PF                | LEGAL FEES                   |                                   |                               | STATEMENT 6                   |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| LEGAL                      | 23,587.                      | 23,587.                           |                               | 0.                            |
| TO FM 990-PF, PG 1, LN 16A | 23,587.                      | 23,587.                           |                               | 0.                            |

| FORM 990-PF                  | OTHER PROFESSIONAL FEES      |                                   |                               | STATEMENT 7                   |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| INVESTMENT MGMT FEE          | 279,660.                     | 279,660.                          |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 279,660.                     | 279,660.                          |                               | 0.                            |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT 8                   |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| INCOME TAXES                | 10.                          | 10.                               |                               | 0.                            |
| FOREIGN TAXES               | 31,800.                      | 31,800.                           |                               | 0.                            |
| PROPERTY TAXES              | 15,512.                      | 15,512.                           |                               | 0.                            |
| PROPERTY TAXES              | -5,375.                      | -5,375.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 41,947.                      | 41,947.                           |                               | 0.                            |

| FORM 990-PF                 | OTHER EXPENSES               |                                   | STATEMENT                     | 9                             |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| TAXES                       | 150.                         | 150.                              |                               | 0.                            |
| MISC. EXPENSE               | 4,786.                       | 4,786.                            |                               | 0.                            |
| OFFICE EXPENSE              | 197.                         | 197.                              |                               | 0.                            |
| BANK CHARGES                | 166.                         | 166.                              |                               | 0.                            |
| REPAIRS                     | 1,775.                       | 1,775.                            |                               | 0.                            |
| UTILITIES                   | 6,338.                       | 6,338.                            |                               | 0.                            |
| SIGNAGE                     | 232.                         | 232.                              |                               | 0.                            |
| INSURANCE                   | 11,999.                      | 11,999.                           |                               | 0.                            |
| PEST CONTROL                | 436.                         | 436.                              |                               | 0.                            |
| ALARM                       | 984.                         | 984.                              |                               | 0.                            |
| CLEANING                    | 1,650.                       | 1,650.                            |                               | 0.                            |
| UTILITIES                   | 8,387.                       | 8,387.                            |                               | 0.                            |
| POOL SERVICE                | 2,439.                       | 2,439.                            |                               | 0.                            |
| LAWN SERVICE                | 10,505.                      | 10,505.                           |                               | 0.                            |
| TELEPHONE                   | 434.                         | 434.                              |                               | 0.                            |
| REPAIRS                     | 356.                         | 356.                              |                               | 0.                            |
| SUPPLIES                    | 69.                          | 69.                               |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 50,903.                      | 50,903.                           |                               | 0.                            |

| FORM 990-PF                        | DEPRECIATION OF ASSETS HELD FOR INVESTMENT |                             | STATEMENT  | 10 |
|------------------------------------|--------------------------------------------|-----------------------------|------------|----|
| DESCRIPTION                        | COST OR<br>OTHER BASIS                     | ACCUMULATED<br>DEPRECIATION | BOOK VALUE |    |
| BUILDING                           | 450,000.                                   | 81,820.                     | 368,180.   |    |
| LAND                               | 780,000.                                   | 0.                          | 780,000.   |    |
| BUILDING - 3162 MOUNTAIN WAY       | 546,000.                                   | 99,275.                     | 446,725.   |    |
| BUILDING                           | 1,390,000.                                 | 252,725.                    | 1,137,275. |    |
| LAND                               | 2,085,000.                                 | 0.                          | 2,085,000. |    |
| TOTAL TO FM 990-PF, PART II, LN 11 | 5,251,000.                                 | 433,820.                    | 4,817,180. |    |

| FORM 990-PF                            |                  | OTHER INVESTMENTS | STATEMENT 11      |
|----------------------------------------|------------------|-------------------|-------------------|
| DESCRIPTION                            | VALUATION METHOD | BOOK VALUE        | FAIR MARKET VALUE |
| INVESTMENTS: NORTHERN TRUST            | COST             | 28,008,330.       | 36,639,934.       |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                  | 28,008,330.       | 36,639,934.       |

| FORM 990-PF                        |                     | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT | STATEMENT 12 |
|------------------------------------|---------------------|------------------------------------------------|--------------|
| DESCRIPTION                        | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION                       | BOOK VALUE   |
| LAND - 3162 MOUNTAIN WAY           | 2,184,000.          | 0.                                             | 2,184,000.   |
| TOTAL TO FM 990-PF, PART II, LN 14 | 2,184,000.          | 0.                                             | 2,184,000.   |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                   | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--------------------------|-----------------------------|---------------------------------|-------------------------------|
| NORTHERN TRUST #23-80346 | 42.                         | 0.                              |                               |
| WELLS FARGO BANK         | 169.                        | 169.                            |                               |
| TOTAL TO PART I, LINE 3  | 211.                        | 169.                            |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                                   | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|------------------------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| NORTHERN TRUST<br>#23-80346              | 905,052.        | 37,415.                       | 867,637.                    | 867,637.                          |                               |
| NT #23-80346-<br>NON-DIV<br>DISTRIBUTION | 4,215.          | 0.                            | 4,215.                      | 0.                                |                               |
| TO PART I, LINE 4                        | 909,267.        | 37,415.                       | 871,852.                    | 867,637.                          |                               |

## FORM 990-PF RENTAL INCOME STATEMENT 3

| KIND AND LOCATION OF PROPERTY         | ACTIVITY<br>NUMBER | GROSS<br>RENTAL INCOME |
|---------------------------------------|--------------------|------------------------|
| GROVE                                 | 1                  |                        |
| N. PALM CANYON                        | 2                  | 60,070.                |
| 346 TAMARISK                          | 4                  |                        |
| TOTAL TO FORM 990-PF, PART I, LINE 5A |                    | 60,070.                |

| FORM 990-PF                                       | RENTAL EXPENSES    | STATEMENT | 4        |
|---------------------------------------------------|--------------------|-----------|----------|
| DESCRIPTION                                       | ACTIVITY<br>NUMBER | AMOUNT    | TOTAL    |
| DEPRECIATION                                      |                    | 19,855.   |          |
| - SUBTOTAL -                                      | 1                  |           | 19,855.  |
| DEPRECIATION                                      |                    | 16,364.   |          |
| REPAIRS                                           |                    | 1,775.    |          |
| PROPERTY TAXES                                    |                    | 15,512.   |          |
| UTILITIES                                         |                    | 6,338.    |          |
| SIGNAGE                                           |                    | 232.      |          |
| - SUBTOTAL -                                      | 2                  |           | 40,221.  |
| DEPRECIATION                                      |                    | 50,545.   |          |
| INSURANCE                                         |                    | 11,999.   |          |
| PEST CONTROL                                      |                    | 436.      |          |
| ALARM                                             |                    | 984.      |          |
| CLEANING                                          |                    | 1,650.    |          |
| UTILITIES                                         |                    | 8,387.    |          |
| POOL SERVICE                                      |                    | 2,439.    |          |
| PROPERTY TAXES                                    |                    | -5,375.   |          |
| LAWN SERVICE                                      |                    | 10,505.   |          |
| TELEPHONE                                         |                    | 434.      |          |
| REPAIRS                                           |                    | 356.      |          |
| SUPPLIES                                          |                    | 69.       |          |
| - SUBTOTAL -                                      | 4                  |           | 82,429.  |
| TOTAL RENTAL EXPENSES                             |                    |           | 142,505. |
| NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B |                    |           | -82,435. |

| FORM 990-PF                           | OTHER INCOME                | STATEMENT                         | 5                             |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
| OTHER RECEIPTS                        | 355.                        | 0.                                |                               |
| NET FARMING INCOME                    | 309,146.                    | 0.                                |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 309,501.                    | 0.                                |                               |

| FORM 990-PF                | LEGAL FEES                   |                                   |                               | STATEMENT 6                   |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| LEGAL                      | 23,587.                      | 23,587.                           |                               | 0.                            |
| TO FM 990-PF, PG 1, LN 16A | 23,587.                      | 23,587.                           |                               | 0.                            |

| FORM 990-PF                  | OTHER PROFESSIONAL FEES      |                                   |                               | STATEMENT 7                   |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| INVESTMENT MGMT FEE          | 279,660.                     | 279,660.                          |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 279,660.                     | 279,660.                          |                               | 0.                            |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT 8                   |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| INCOME TAXES                | 10.                          | 10.                               |                               | 0.                            |
| FOREIGN TAXES               | 31,800.                      | 31,800.                           |                               | 0.                            |
| PROPERTY TAXES              | 15,512.                      | 15,512.                           |                               | 0.                            |
| PROPERTY TAXES              | -5,375.                      | -5,375.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 41,947.                      | 41,947.                           |                               | 0.                            |

| FORM 990-PF                 | OTHER EXPENSES               |                                   | STATEMENT                     | 9                             |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| TAXES                       | 150.                         | 150.                              |                               | 0.                            |
| MISC. EXPENSE               | 4,786.                       | 4,786.                            |                               | 0.                            |
| OFFICE EXPENSE              | 197.                         | 197.                              |                               | 0.                            |
| BANK CHARGES                | 166.                         | 166.                              |                               | 0.                            |
| REPAIRS                     | 1,775.                       | 1,775.                            |                               | 0.                            |
| UTILITIES                   | 6,338.                       | 6,338.                            |                               | 0.                            |
| SIGNAGE                     | 232.                         | 232.                              |                               | 0.                            |
| INSURANCE                   | 11,999.                      | 11,999.                           |                               | 0.                            |
| PEST CONTROL                | 436.                         | 436.                              |                               | 0.                            |
| ALARM                       | 984.                         | 984.                              |                               | 0.                            |
| CLEANING                    | 1,650.                       | 1,650.                            |                               | 0.                            |
| UTILITIES                   | 8,387.                       | 8,387.                            |                               | 0.                            |
| POOL SERVICE                | 2,439.                       | 2,439.                            |                               | 0.                            |
| LAWN SERVICE                | 10,505.                      | 10,505.                           |                               | 0.                            |
| TELEPHONE                   | 434.                         | 434.                              |                               | 0.                            |
| REPAIRS                     | 356.                         | 356.                              |                               | 0.                            |
| SUPPLIES                    | 69.                          | 69.                               |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 50,903.                      | 50,903.                           |                               | 0.                            |

| FORM 990-PF                        | DEPRECIATION OF ASSETS HELD FOR INVESTMENT |                             | STATEMENT  | 10 |
|------------------------------------|--------------------------------------------|-----------------------------|------------|----|
| DESCRIPTION                        | COST OR<br>OTHER BASIS                     | ACCUMULATED<br>DEPRECIATION | BOOK VALUE |    |
| BUILDING                           | 450,000.                                   | 81,820.                     | 368,180.   |    |
| LAND                               | 780,000.                                   | 0.                          | 780,000.   |    |
| BUILDING - 3162 MOUNTAIN WAY       | 546,000.                                   | 99,275.                     | 446,725.   |    |
| BUILDING                           | 1,390,000.                                 | 252,725.                    | 1,137,275. |    |
| LAND                               | 2,085,000.                                 | 0.                          | 2,085,000. |    |
| TOTAL TO FM 990-PF, PART II, LN 11 | 5,251,000.                                 | 433,820.                    | 4,817,180. |    |

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|             |                   |              |
|-------------|-------------------|--------------|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT 11 |
|-------------|-------------------|--------------|

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| DESCRIPTION                            | VALUATION<br>METHOD | BOOK VALUE  | FAIR MARKET<br>VALUE |
|----------------------------------------|---------------------|-------------|----------------------|
| INVESTMENTS: NORTHERN TRUST            | COST                | 28,008,330. | 36,639,934.          |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                     | 28,008,330. | 36,639,934.          |

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|             |                                                |              |
|-------------|------------------------------------------------|--------------|
| FORM 990-PF | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT | STATEMENT 12 |
|-------------|------------------------------------------------|--------------|

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| DESCRIPTION                        | COST OR<br>OTHER BASIS | ACCUMULATED<br>DEPRECIATION | BOOK VALUE |
|------------------------------------|------------------------|-----------------------------|------------|
| LAND - 3162 MOUNTAIN WAY           | 2,184,000.             | 0.                          | 2,184,000. |
| TOTAL TO FM 990-PF, PART II, LN 14 | 2,184,000.             | 0.                          | 2,184,000. |

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# 2013 Tax Information Statement

Account Number: 23-80346  
 Recipient's Tax ID Number: 20-7515945  
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
 CHICAGO, IL 60680

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 Recipient's Name and Address:  
 JOSEPH A.W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

## 2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip            | Description                                       | Stock or Other Symbol    |                     |                    |                     |                           |                  |                             |                    |
|------------------|---------------------------------------------------|--------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|                  |                                                   | Date of Sale or Exchange | Date of Acquisition | Stocks Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
| Short Term Sales |                                                   |                          |                     |                    |                     |                           |                  |                             |                    |
| 55027E102        | LUMINEX CORP DEL COM                              |                          |                     | LMNX               |                     |                           |                  |                             |                    |
| 325.0000         | 01/08/2013 Various                                |                          |                     | 5,633.58           | 7,615.32            | 0.00                      | -1,981.74        | 0.00                        | 0.00               |
| 00206R102        | AT&T INC                                          |                          |                     | T                  |                     |                           |                  |                             |                    |
| 625.0000         | 01/10/2013 Various                                |                          |                     | 21,518.33          | 21,824.18           | 0.00                      | -305.85          | 0.00                        | 0.00               |
| 251542106        | ADR DEUTSCHE BOERSE ADR                           |                          |                     | D8OEY              |                     |                           |                  |                             |                    |
| 3917.0000        | 01/10/2013 04/04/2012                             |                          |                     | 23,712.48          | 26,356.71           | 0.00                      | -2,644.23        | 0.00                        | 0.00               |
| 73753A103        | POSTNL N V SPONSORED ADR                          |                          |                     | PNLYY              |                     |                           |                  |                             |                    |
| 739.1400         | 01/10/2013 08/08/2012                             |                          |                     | 2,780.80           | 2,690.60            | 0.00                      | 90.20            | 0.00                        | 0.00               |
| 88554D205        | 3D SYS CORP DEL COM NEW STK                       |                          |                     | DDD                |                     |                           |                  |                             |                    |
| 264.0000         | 01/10/2013 Various                                |                          |                     | 15,386.89          | 7,589.33            | 0.00                      | 7,797.56         | 0.00                        | 0.00               |
| N72482107        | QIAGEN N V EURO 01                                |                          |                     | QGEN               |                     |                           |                  |                             |                    |
| 865.0000         | 01/10/2013 05/02/2012                             |                          |                     | 16,397.35          | 14,593.07           | 0.00                      | 1,804.28         | 0.00                        | 0.00               |
| N72482107        | QIAGEN N V EURO 01                                |                          |                     | QGEN               |                     |                           |                  |                             |                    |
| 641.0000         | 01/11/2013 05/02/2012                             |                          |                     | 12,172.51          | 10,814.05           | 0.00                      | 1,358.46         | 0.00                        | 0.00               |
| 05845R306        | ADR BALFOUR BEATTY PLC SPONSORED ADR REPSTG 2 SHS |                          |                     | BAFY               |                     |                           |                  |                             |                    |
| 578.0000         | 01/15/2013 07/09/2012                             |                          |                     | 5,306.11           | 5,690.29            | 0.00                      | -384.18          | 0.00                        | 0.00               |
| 307305102        | FANUC CORPORATION                                 |                          |                     | FANUY              |                     |                           |                  |                             |                    |
| 159.0000         | 01/15/2013 08/16/2012                             |                          |                     | 4,489.14           | 4,328.57            | 0.00                      | 160.57           | 0.00                        | 0.00               |

This is important tax information and is being furnished to you.

# 2013 Tax Information Statement

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Account Number. 23-80346  
 Recipient's Tax ID Number 20-7515945

Recipient's Name and Address. Ref GSB  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA CA 92037-3708

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description           | Quantity Sold | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Stocks Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|-----------------------|---------------|--------------------------|---------------------|-----------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 48241F104 | ADR KBC GROUP NV      |               |                          |                     | KBCSY                 |                    |                     |                           |                  |                             |                    |
| 321 0000  | 01/15/2013 12/19/2012 |               |                          |                     | 5.687 12              |                    | 5,576.89            | 0.00                      | 110.23           | 0.00                        | 0.00               |
| 307305102 | FANUC CORPORATION     |               |                          |                     | FANUY                 |                    |                     |                           |                  |                             |                    |
| 86 0000   | 01/16/2013 08/16/2012 |               |                          |                     | 2,391 12              |                    | 2,341.24            | 0.00                      | 49.88            | 0.00                        | 0.00               |
| 307305102 | FANUC CORPORATION     |               |                          |                     | FANUY                 |                    |                     |                           |                  |                             |                    |
| 22 0000   | 01/16/2013 08/16/2012 |               |                          |                     | 601 09                |                    | 598.92              | 0.00                      | 2.17             | 0.00                        | 0.00               |
| 055434203 | BG PLC ADR FIN INST N |               |                          |                     | BRGY                  |                    |                     |                           |                  |                             |                    |
| 329 0000  | 01/17/2013 Various    |               |                          |                     | 5,750 74              |                    | 6,865.25            | 1,114.51                  | 0.00             | 0.00                        | 0.00               |
| 307305102 | FANUC CORPORATION     |               |                          |                     | FANUY                 |                    |                     |                           |                  |                             |                    |
| 249.0000  | 01/17/2013 08/16/2012 |               |                          |                     | 6,747 95              |                    | 6,778.70            | 0.00                      | -30.75           | 0.00                        | 0.00               |
| 307305102 | FANUC CORPORATION     |               |                          |                     | FANUY                 |                    |                     |                           |                  |                             |                    |
| 237.0000  | 01/17/2013 08/16/2012 |               |                          |                     | 6,561 77              |                    | 6,452.02            | 0.00                      | 109.75           | 0.00                        | 0.00               |
| 00206R102 | AT&T INC              |               |                          |                     | T                     |                    |                     |                           |                  |                             |                    |
| 623.0000  | 01/18/2013 Various    |               |                          |                     | 20,702 03             |                    | 21,588.58           | 0.00                      | -886.55          | 0.00                        | 0.00               |
| 988498101 | YUM BRANDS INC        |               |                          |                     | YUM                   |                    |                     |                           |                  |                             |                    |
| 615 0000  | 01/18/2013 Various    |               |                          |                     | 40,032 97             |                    | 40,065.69           | 0.00                      | -32.72           | 0.00                        | 0.00               |
| 00206R102 | AT&T INC              |               |                          |                     | T                     |                    |                     |                           |                  |                             |                    |
| 1421 0000 | 01/22/2013 Various    |               |                          |                     | 47,534 80             |                    | 47,441.47           | 0.00                      | 93.33            | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA CA 92037-3708

Account Number: 23-80346  
Recipient's Tax ID Number: 20-7515945

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                                  | Stock or Other Symbol | Stocks<br>Bonds, etc. | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|----------------------------------------------|-----------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 307305102 | FANUC CORPORATION                            | FANUY                 | 6,796.00              | 7,050.94               | 0.00                         | -254.94             | 0.00                              | 0.00                     |
| 259.0000  | 01/23/2013 08/16/2012                        |                       |                       |                        |                              |                     |                                   |                          |
| 307305102 | FANUC CORPORATION                            | FANUY                 | 12,695.09             | 13,285.16              | 0.00                         | -590.07             | 0.00                              | 0.00                     |
| 488.0000  | 01/24/2013 08/16/2012                        |                       |                       |                        |                              |                     |                                   |                          |
| 774991533 | ROLLS ROYCE C SHARES                         |                       |                       |                        |                              |                     |                                   |                          |
| 1086.0000 | 01/25/2013 01/04/2013                        |                       | 661.73                | 663.55                 | 0.00                         | -1.82               | 0.00                              | 0.00                     |
| 251542106 | ADR DEUTSCHE BOERSE ADR                      | D8OEY                 | 5,979.71              | 6,177.04               | 0.00                         | -197.33             | 0.00                              | 0.00                     |
| 918.0000  | 02/01/2013 04/04/2012                        |                       |                       |                        |                              |                     |                                   |                          |
| 229663109 | CUBESMART                                    | CUBE                  | 4,110.76              | 3,216.08               | 0.00                         | 894.68              | 0.00                              | 0.00                     |
| 271.0000  | 02/05/2013 07/09/2012                        |                       |                       |                        |                              |                     |                                   |                          |
| 806857108 | SCHLUMBERGER LTD ISIN #AN8068571086          | SLB                   | 11,673.29             | 10,849.60              | 0.00                         | 823.69              | 0.00                              | 0.00                     |
| 146.0000  | 02/05/2013 05/02/2012                        |                       |                       |                        |                              |                     |                                   |                          |
| 86562M209 | ADR SUMITOMO MITSUI FINL GROUP INC SPONSORED | SMFG                  | 16,727.00             | 15,514.08              | 0.00                         | 1,212.92            | 0.00                              | 0.00                     |
| 2036.0000 | ADR 02/05/2013 Various                       |                       |                       |                        |                              |                     |                                   |                          |
| 86562M209 | ADR SUMITOMO MITSUI FINL GROUP INC SPONSORED | SMFG                  | 4,254.79              | 3,858.47               | 0.00                         | 396.32              | 0.00                              | 0.00                     |
| 524.0000  | ADR 02/06/2013 01/28/2013                    |                       |                       |                        |                              |                     |                                   |                          |
| 88076W103 | TERADATA CORP DEL COM STK                    | TDC                   | 7,183.59              | 7,668.77               | 0.00                         | -485.18             | 0.00                              | 0.00                     |
| 115.0000  | 02/08/2013 03/01/2012                        |                       |                       |                        |                              |                     |                                   |                          |

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# 2013 Tax Information Statement

Page 12 of 158-  
 Recipient's Name and Address: Ref GSB  
 JOSEPH A.W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
 CHICAGO, IL 60680

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| Cusip      | Description                                 | Stock or Other Symbol | Quantity Sold | Date of Sale or Exchange | Date of Acquisition | Stocks Bonds, etc | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|------------|---------------------------------------------|-----------------------|---------------|--------------------------|---------------------|-------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 29248L104  | ADR ENAGAS S A ADR                          | ENGGY                 |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 1340.0000  | 02/12/2013 04/04/2012                       | 15,606 63             | 12,397.80     | 0.00                     |                     |                   |                     |                           | 3,208.83         | 0.00                        | 0.00               |
| 501897102  | ADR LI & FUNG LTD ADR                       | LFUGY                 |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 1478.0000  | 02/12/2013 05/02/2012                       | 3,593.53              | 6,561.88      | 0.00                     |                     |                   |                     |                           | -2,968.35        | 0.00                        | 0.00               |
| 501897102  | ADR LI & FUNG LTD ADR                       | LFUGY                 |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 1020.0000  | 02/13/2013 05/02/2012                       | 2,497.21              | 4,528.49      | 0.00                     |                     |                   |                     |                           | -2,031.28        | 0.00                        | 0.00               |
| 501897102  | ADR LI & FUNG LTD ADR                       | LFUGY                 |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 14993.0000 | 02/14/2013 05/02/2012                       | 38,453 18             | 66,564 42     | 0.00                     |                     |                   |                     |                           | -28,111 24       | 0.00                        | 0.00               |
| 806857108  | SCHLUMBERGER LTD ISIN #AN8068571086         | SLB                   |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 158.0000   | 02/15/2013 05/02/2012                       | 12,636 97             | 11,741 34     | 0.00                     |                     |                   |                     |                           | 895.63           | 0.00                        | 0.00               |
| 14040H105  | CAPITAL ONE FINL CORP                       | COF                   |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 268.0000   | 02/19/2013 07/20/2012                       | 14,232 95             | 15,087 62     | 0.00                     |                     |                   |                     |                           | -854 67          | 0.00                        | 0.00               |
| G0229R108  | #REORG/ ALTERRA CAPICSH AND STK MRGR MARKET | ALTE                  |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 134.0000   | CORPORATN 2530085 EFF 05/02/2013            | 4,151 36              | 3,228 14      | 0.00                     |                     |                   |                     |                           | 923 22           | 0.00                        | 0.00               |
| 042068106  | ARM HLDGS PLC SPONSORED ADR                 | ARMH                  |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 53.0000    | 02/21/2013 Various                          | 2,217 53              | 1,382 00      | 0.00                     |                     |                   |                     |                           | 835.53           | 0.00                        | 0.00               |
| 14040H105  | CAPITAL ONE FINL CORP                       | COF                   |               |                          |                     |                   |                     |                           |                  |                             |                    |
| 866.0000   | 02/21/2013 Various                          | 44,953 59             | 46,040 62     | 0.00                     |                     |                   |                     |                           | -1,087.03        | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                                     | Date of Sale or Exchange | Date of Acquisition | Stocks Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|-------------------------------------------------|--------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 042068106 | ARM HLDGS PLC SPONSORED ADR                     | ARMH                     |                     |                    |                     |                           |                  |                             |                    |
| 255.0000  | 02/22/2013 05/02/2012                           | 10,794.90                |                     | 6,361.03           | 0.00                | 4,433.87                  | 0.00             | 0.00                        | 0.00               |
| 066011206 | BANK OF YOKOHAMA ADR-EACH CNV INTO 10 ORD JPY50 | BKJAY                    |                     |                    |                     |                           |                  |                             |                    |
| 539.0000  | 02/22/2013 01/11/2013                           | 10,832.42                |                     | 10,374.40          | 0.00                | 458.02                    | 0.00             | 0.00                        | 0.00               |
| 23636T100 | ADR DANONE SPONSORED ADR                        | DANOY                    |                     |                    |                     |                           |                  |                             |                    |
| 3168.0000 | 02/25/2013 02/13/2013                           | 44,974.45                |                     | 43,215.54          | 0.00                | 1,758.91                  | 0.00             | 0.00                        | 0.00               |
| 251542106 | ADR DEUTSCHE BOERSE ADR                         | DBOEY                    |                     |                    |                     |                           |                  |                             |                    |
| 1063.0000 | 02/27/2013 04/04/2012                           | 6,584.48                 |                     | 7,152.71           | 0.00                | -568.23                   | 0.00             | 0.00                        | 0.00               |
| 399473107 | GROUPON INC                                     | GRPN                     |                     |                    |                     |                           |                  |                             |                    |
| 1197.0000 | 03/01/2013 02/28/2013                           | 6,084.33                 |                     | 5,613.82           | 0.00                | 470.51                    | 0.00             | 0.00                        | 0.00               |
| 191216100 | COCA COLA CO                                    | KO                       |                     |                    |                     |                           |                  |                             |                    |
| 284.0000  | 03/04/2013 04/30/2012                           | 10,943.22                |                     | 10,871.15          | 0.00                | 72.07                     | 0.00             | 0.00                        | 0.00               |
| 422704106 | HECLA MNG CO COM                                | HL                       |                     |                    |                     |                           |                  |                             |                    |
| 1087.0000 | 03/05/2013 09/19/2012                           | 4,427.47                 |                     | 7,187.68           | 0.00                | -2,760.21                 | 0.00             | 0.00                        | 0.00               |
| 191216100 | COCA COLA CO                                    | KO                       |                     |                    |                     |                           |                  |                             |                    |
| 620.0000  | 03/06/2013 Various                              | 24,148.45                |                     | 23,362.13          | 0.00                | 786.32                    | 0.00             | 0.00                        | 0.00               |
| 002535300 | AARON RENTS INC-CL A                            | AAN                      |                     |                    |                     |                           |                  |                             |                    |
| 12.0000   | 03/07/2013 08/29/2012                           | 336.70                   |                     | 358.04             | 0.00                | -21.34                    | 0.00             | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

Account Number: 23-80346  
 Recipient's Tax ID Number: 20-7515945  
 Recipient's Name and Address:  
 JOSEPH A.W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

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Ref GSB

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip            | Description                                   | Stock or Other Symbol  | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|------------------|-----------------------------------------------|------------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| Quantity<br>Sold | Date of<br>Sale or<br>Exchange                | Date of<br>Acquisition | Stocks<br>Bonds, etc.  |                              |                     |                                   |                          |
| 00507V109        | ACTIVISION BLIZZARD INC COM STK               | ATVI                   | 508 33                 | 0 00                         | 71.88               | 0 00                              | 0 00                     |
| 34 0000          | 03/07/2013 03/30/2012                         |                        |                        |                              |                     |                                   |                          |
| 015351109        | ALEXION PHARMACEUTICALS INC                   | ALXN                   | 8,830.89               | 0 00                         | -1,787 37           | 0 00                              | 0 00                     |
| 96 0000          | 03/07/2013 Various                            |                        |                        |                              |                     |                                   |                          |
| 12504L109        | CBRE GROUP INC CL A CL A                      | CBG                    | 122 54                 | 0 00                         | 25 91               | 0 00                              | 0 00                     |
| 5 0000           | 03/07/2013 03/09/2012                         |                        |                        |                              |                     |                                   |                          |
| 585028106        | MEDNAX INC COM                                | MD                     | 429 18                 | 0 00                         | 82 57               | 0 00                              | 0 00                     |
| 5 0000           | 03/07/2013 08/13/2012                         |                        |                        |                              |                     |                                   |                          |
| 81211K100        | SEALED AIR CORP NEW                           | SEE                    | 352 11                 | 0 00                         | 115.51              | 0 00                              | 0 00                     |
| 21 0000          | 03/07/2013 11/19/2012                         |                        |                        |                              |                     |                                   |                          |
| 966387102        | WHITING PETROLEUM CORP                        | WLL                    | 403 28                 | 0 00                         | 44 54               | 0 00                              | 0 00                     |
| 8 0000           | 03/07/2013 08/21/2012                         |                        |                        |                              |                     |                                   |                          |
| 015351109        | ALEXION PHARMACEUTICALS INC                   | ALXN                   | 11,800 71              | 0 00                         | -292.51             | 0 00                              | 0 00                     |
| 129 0000         | 03/11/2013 Various                            |                        |                        |                              |                     |                                   |                          |
| 870123106        | ADR SWATCH GROUP AG ADR                       | SWGAY                  | 12,941 22              | 0 00                         | 2,743 67            | 0 00                              | 0 00                     |
| 554 0000         | 03/11/2013 05/02/2012                         |                        |                        |                              |                     |                                   |                          |
| 02364W105        | AMERICA MOVIL S A DE CV SPON ADR SER L SHARES | AMX                    | 30,866 25              | 0 00                         | -13,882.06          | 0 00                              | 0 00                     |
| 1670.0000        | 03/18/2013 05/02/2012                         |                        |                        |                              |                     |                                   |                          |

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# 2013 Tax Information Statement

Recipient's Name and Address  
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1385 PARK ROW  
LA JOLLA, CA 92037-3708

Account Number: 23-80346  
Recipient's Tax ID Number: 20-7515945

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

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| Cusip     | Quantity Sold | Date of Sale or Exchange | Date of Acquisition | Description                                 | Stock or Other Symbol |        | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------|---------------------|---------------------------------------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                     |                                             | Bonds, etc.           | Stocks |                     |                           |                  |                             |                    |
| 233825108 |               |                          |                     | DAIMLER AG SPONSORED ADR                    | DDAIY                 |        |                     |                           |                  |                             |                    |
| 174 0000  |               | 03/19/2013               | 12/11/2012          |                                             | 10,052 18             |        | 8,815 64            | 0 00                      | 1,236 54         | 0 00                        | 0 00               |
| 90384S303 |               |                          |                     | ULTA SALON COSMETICS & FRAGRANCE INC COMSTK | ULTA                  |        |                     |                           |                  |                             |                    |
| 178 0000  |               | 03/19/2013               | Various             |                                             | 13,330 22             |        | 17,437.79           | 0 00                      | -4,107 57        | 0 00                        | 0 00               |
| 124857202 |               |                          |                     | CBS CORP                                    | CBS                   |        |                     |                           |                  |                             |                    |
| 52 0000   |               | 03/27/2013               | 06/29/2012          |                                             | 2,392 45              |        | 1,685 51            | 0 00                      | 706.94           | 0 00                        | 0 00               |
| 244199105 |               |                          |                     | DEERE & CO                                  | DE                    |        |                     |                           |                  |                             |                    |
| 26 0000   |               | 03/27/2013               | 09/04/2012          |                                             | 2,270 98              |        | 1,935 70            | 0 00                      | 335.28           | 0 00                        | 0 00               |
| 61945C103 |               |                          |                     | MOSAIC CO/THE                               | MOS                   |        |                     |                           |                  |                             |                    |
| 24 0000   |               | 03/27/2013               | 09/04/2012          |                                             | 1,404 85              |        | 1,364 72            | 0 00                      | 40.13            | 0 00                        | 0 00               |
| 74835Y101 |               |                          |                     | QUESTCOR PHARMACEUTICALS INC COM            | QCOR                  |        |                     |                           |                  |                             |                    |
| 432 0000  |               | 04/02/2013               | Various             |                                             | 13,551 97             |        | 11,560 25           | 0 00                      | 1,991 72         | 0 00                        | 0 00               |
| 210313102 |               |                          |                     | CONSTANT CONTACT INC COM STK                | CTCT                  |        |                     |                           |                  |                             |                    |
| 14.0000   |               | 04/04/2013               | 05/08/2012          |                                             | 174 71                |        | 311 88              | 0 00                      | -137 17          | 0 00                        | 0 00               |
| 780259206 |               |                          |                     | ROYAL DUTCH SHELL PLC SPONSORED             | RDS/A                 |        |                     |                           |                  |                             |                    |
| 172.0000  |               | 04/15/2013               | 01/17/2013          |                                             | 11,056 00             |        | 12,056 42           | 0 00                      | -1,000 42        | 0 00                        | 0 00               |
| 268648102 |               |                          |                     | EMC CORP COM                                | EMC                   |        |                     |                           |                  |                             |                    |
| 325.0000  |               | 04/16/2013               | 08/17/2012          |                                             | 7,406.77              |        | 8,715 68            | 0 00                      | -1,308.91        | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address:

23-80346  
20-7515945

Account Number  
Recipient's Tax ID Number

Ref. GSB  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

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|-----------|---------------|-------------------------------------------------|---------------------|-------------|-----------------------|--|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                                 |                     |             | Stocks Bonds, etc.    |  |                     |                           |                  |                             |                    |
|           |               |                                                 |                     |             | SNYFY                 |  |                     |                           |                  |                             |                    |
| 835707100 |               | ADR SONY FINL HLDGS INC ADR                     |                     |             | 4,255 08              |  | 4,868 49            | 0 00                      | -613.41          | 0.00                        | 0 00               |
| 328.0000  |               | 04/16/2013 05/22/2012                           |                     |             |                       |  |                     |                           |                  |                             |                    |
| 066011206 |               | BANK OF YOKOHAMA ADR-EACH CNV INTO 10 ORD JPY50 |                     |             | BKJAY                 |  | 3,021 86            | 0 00                      | 713 79           | 0 00                        | 0 00               |
| 157 0000  |               | 04/25/2013 01/11/2013                           |                     |             | 3,735 65              |  |                     |                           |                  |                             |                    |
| 066011206 |               | BANK OF YOKOHAMA ADR-EACH CNV INTO 10 ORD JPY50 |                     |             | BKJAY                 |  | 4,369.18            | 0.00                      | 984.89           | 0.00                        | 0.00               |
| 227.0000  |               | 04/26/2013 01/11/2013                           |                     |             | 5,354 07              |  |                     |                           |                  |                             |                    |
| 149123101 |               | CATERPILLAR INC                                 |                     |             | CAT                   |  | 8,102.70            | 0 00                      | -1,111.36        | 0.00                        | 0 00               |
| 84 0000   |               | 05/02/2013 01/22/2013                           |                     |             | 6,991.34              |  |                     |                           |                  |                             |                    |
| Y0486S104 |               | AVAGO TECHNOLOGIES LTD                          |                     |             | AVGO                  |  | 636 26              | 0.00                      | -26.26           | 0 00                        | 0 00               |
| 19.0000   |               | 05/06/2013 10/11/2012                           |                     |             | 610.00                |  |                     |                           |                  |                             |                    |
| 369604103 |               | GENERAL ELECTRIC CO                             |                     |             | GE                    |  | 17,561 86           | 0 00                      | -317.43          | 0 00                        | 0 00               |
| 753.0000  |               | 05/15/2013 Various                              |                     |             | 17,244.43             |  |                     |                           |                  |                             |                    |
| 268353109 |               | EDP ELECTRICIDADE DE PORTUGAL SPONSORED         |                     |             | EDPFY                 |  | 30,564.27           | 0 00                      | 2,741.57         | 0.00                        | 0.00               |
| 1016.0000 |               | 05/28/2013 Various                              |                     |             | 33,305 84             |  |                     |                           |                  |                             |                    |
| 466140100 |               | ADR JGC CORP                                    |                     |             | JGCCY                 |  | 15,779 29           | 0 00                      | 1,652.80         | 0 00                        | 0 00               |
| 254 0000  |               | 05/29/2013 05/07/2013                           |                     |             | 17,432 09             |  |                     |                           |                  |                             |                    |
| 00184X105 |               | AOL INC COM STK                                 |                     |             | AOL                   |  | 18,092 82           | 0 00                      | -2,822 29        | 0 00                        | 0 00               |
| 447 0000  |               | 05/30/2013 Various                              |                     |             | 15,270 53             |  |                     |                           |                  |                             |                    |

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Account Number: 23-80346  
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 Recipient's Name and Address:  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA CA 92037-3708

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Ref GSB

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THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

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|-----------|-------------------------------------------------------|-----------------------|----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 30303M102 | FACEBOOK INC CL A CL A                                | FB                    | 38,926 82            | 44,685.03              | 0 00                         | -5,758 21           | 0.00                              | 0.00                     |
| 1601 0000 | 05/30/2013 Various                                    | HTHY                  | 31,919.17            | 27,413 99              | 0 00                         | 4,505 18            | 0 00                              | 0 00                     |
| 433578507 | ADR HITACHI LTD A D R FOR 10 COM                      | KBCSY                 | 22,485 72            | 19,423 57              | 0.00                         | 3,062 15            | 0.00                              | 0 00                     |
| 471.0000  | 05/30/2013 Various                                    | AOL                   | 15,396 85            | 17,629 40              | 0 00                         | -2,232 55           | 0 00                              | 0 00                     |
| 48241F104 | ADR KBC GROUP NV                                      | FB                    | 26,986 35            | 25,270 30              | 0 00                         | 1,716 05            | 0 00                              | 0 00                     |
| 1118 0000 | 05/30/2013 12/19/2012                                 | BAMXY                 | 309 19               | 323 80                 | 0 00                         | -14 61              | 0 00                              | 0 00                     |
| 00184X105 | AOL INC COM STK                                       | PBR                   | 266.24               | 392 47                 | 0 00                         | -126.23             | 0.00                              | 0 00                     |
| 450 0000  | 05/31/2013 Various                                    | POT                   | 565 67               | 608.70                 | 0 00                         | -43.03              | 0 00                              | 0 00                     |
| 30303M102 | FACEBOOK INC CL A CL A                                | SVNLY                 | 341 58               | 262 65                 | 0 00                         | 78 93               | 0 00                              | 0 00                     |
| 1095 0000 | 05/31/2013 Various                                    |                       |                      |                        |                              |                     |                                   |                          |
| 072743206 | ADR BAYERISCHE MOTOREN WERKE A G ADR                  |                       |                      |                        |                              |                     |                                   |                          |
| 10 0000   | 06/12/2013 02/13/2013                                 |                       |                      |                        |                              |                     |                                   |                          |
| 71654V408 | ADR PETROLEO BRASILEIRO SA PETROBRAS<br>SPONSORED ADR |                       |                      |                        |                              |                     |                                   |                          |
| 17 0000   | 06/12/2013 10/04/2012                                 |                       |                      |                        |                              |                     |                                   |                          |
| 73755L107 | POTASH CORP OF SASKATCHEWAN INC                       |                       |                      |                        |                              |                     |                                   |                          |
| 14 0000   | 06/12/2013 Various                                    |                       |                      |                        |                              |                     |                                   |                          |
| 86959C103 | SVENSKA HANDELSBANKEN AB ADR                          |                       |                      |                        |                              |                     |                                   |                          |
| 16.0000   | 06/12/2013 07/24/2012                                 |                       |                      |                        |                              |                     |                                   |                          |

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# 2013 Tax Information Statement

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Ref: GSB

Recipient's Name and Address  
JOSEPH A W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                                  | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|----------------------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 98418R100 | ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS  | 06/12/2013               | 07/10/2012          | XYIGY                 | 226 09              | 0 00                      | 88 08            | 0 00                        | 0 00               |
| 20 0000   |                                              |                          |                     |                       |                     |                           |                  |                             |                    |
| 337915102 | FIRSTMERIT CORP                              | 06/24/2013               | 12/20/2012          | FMER                  | 10,578 12           | 0 00                      | 4,088 76         | 0 00                        | 0 00               |
| 744 0000  |                                              |                          |                     |                       |                     |                           |                  |                             |                    |
| 00846U101 | AGILENT TECHNOLOGIES INC COM                 | 06/25/2013               | 09/28/2012          | A                     | 652 34              | 0 00                      | 68 46            | 0 00                        | 0 00               |
| 17 0000   |                                              |                          |                     |                       |                     |                           |                  |                             |                    |
| 026874784 | AMERICAN INTERNATIONAL GROUP INC COM COM NEW | 06/25/2013               | 03/08/2013          | AIG                   | 2,370 84            | 0 00                      | 241 14           | 0 00                        | 0 00               |
| 60 0000   |                                              |                          |                     |                       |                     |                           |                  |                             |                    |
| 124857202 | CBS CORP                                     | 06/25/2013               | 06/29/2012          | CBS                   | 453 79              | 0 00                      | 215 43           | 0 00                        | 0 00               |
| 14 0000   |                                              |                          |                     |                       |                     |                           |                  |                             |                    |
| 244199105 | DEERE & CO                                   | 06/25/2013               | 09/04/2012          | DE                    | 1,489 00            | 0 00                      | 156 86           | 0 00                        | 0 00               |
| 20 0000   |                                              |                          |                     |                       |                     |                           |                  |                             |                    |
| 61945C103 | MOSAIC CO/THE                                | 06/25/2013               | 09/04/2012          | MOS                   | 682 36              | 0 00                      | -12 58           | 0 00                        | 0 00               |
| 12 0000   |                                              |                          |                     |                       |                     |                           |                  |                             |                    |
| 674599105 | OCCIDENTAL PETE CORP COM                     | 06/25/2013               | 08/24/2012          | OXY                   | 437 93              | 0 00                      | 4 15             | 0 00                        | 0 00               |
| 5 0000    |                                              |                          |                     |                       |                     |                           |                  |                             |                    |
| G1151C101 | ACCENTURE PLC SHS CL A NEW                   | 07/01/2013               | 10/01/2012          | ACN                   | 10,377 76           | 0 00                      | 368 02           | 0 00                        | 0 00               |
| 148.0000  |                                              |                          |                     |                       |                     |                           |                  |                             |                    |

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# 2013 Tax Information Statement

Account Number: 23-80346  
 Recipient's Tax ID Number: 20-7515945  
 Recipient's Name and Address:  
 JOSEPH A.W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

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Ref. GSB

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

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|---------------|----------------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange         | Date of Acquisition   | Stocks Bonds, etc.  |                           |                  |                             |                    |
| 369604103     | GENERAL ELECTRIC CO              |                       |                     |                           |                  |                             |                    |
| 1159 0000     | 07/05/2013                       | 10/01/2012            | 26,702 31           | 0.00                      | 38 47            | 0.00                        | 0.00               |
| 09227Q100     | BLACKBAUD INC COM                |                       |                     |                           |                  |                             |                    |
| 175 0000      | 07/08/2013                       | Various               | 5,796 30            | 0.00                      | 1,520 08         | 0.00                        | 0.00               |
| 433578507     | ADR HITACHI LTD A D R FOR 10 COM |                       |                     |                           |                  |                             |                    |
| 95 0000       | 07/08/2013                       | 04/05/2013            | 6,389 84            | 0.00                      | 891 15           | 0.00                        | 0.00               |
| 433578507     | ADR HITACHI LTD A D R FOR 10 COM |                       |                     |                           |                  |                             |                    |
| 20 0000       | 07/10/2013                       | 04/05/2013            | 1,157.62            | 0.00                      | 165.40           | 0.00                        | 0.00               |
| 369604103     | GENERAL ELECTRIC CO              |                       |                     |                           |                  |                             |                    |
| 1102 0000     | 07/11/2013                       | Various               | 25,141.38           | 0.00                      | 1,128 85         | 0.00                        | 0.00               |
| 433578507     | ADR HITACHI LTD A D R FOR 10 COM |                       |                     |                           |                  |                             |                    |
| 108 0000      | 07/11/2013                       | 04/05/2013            | 7,009 87            | 0.00                      | 758 72           | 0.00                        | 0.00               |
| 369604103     | GENERAL ELECTRIC CO              |                       |                     |                           |                  |                             |                    |
| 1130 0000     | 07/12/2013                       | Various               | 24,560.98           | 0.00                      | 2,172.20         | 0.00                        | 0.00               |
| 774991533     | ROLLS ROYCE C SHARES             |                       |                     |                           |                  |                             |                    |
| 837 0000      | 07/15/2013                       | 07/01/2013            | 740 80              | 0.00                      | -16 27           | 0.00                        | 0.00               |
| 80004C101     | SANDISK CORP                     |                       |                     |                           |                  |                             |                    |
| 458 0000      | 07/18/2013                       | Various               | 27,437 48           | 0.00                      | 2,581 77         | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

Account Number. 23-80346  
Recipient's Tax ID Number 20-7515945

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

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Ref GSB

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| Cusip     | Description                                  | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|----------------------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 80004C101 | SANDISK CORP                                 |                          |                     | SNDK                  |                     |                           |                  |                             |                    |
| 889 0000  | 07/19/2013 Various                           |                          |                     | 55,304.01             | 45,386.30           | 0.00                      | 9,917.71         | 0.00                        | 0.00               |
| 824348106 | SHERWIN WILLIAMS CO                          |                          |                     | SHW                   |                     |                           |                  |                             |                    |
| 89.0000   | 07/19/2013 Various                           |                          |                     | 15,160.36             | 12,803.17           | 0.00                      | 2,357.19         | 0.00                        | 0.00               |
| CST99NPT7 | ADR SIEMENS A G SIEMENS A G CONIM DM60 (NEW) |                          |                     |                       |                     |                           |                  |                             |                    |
| 1181.0000 | 07/25/2013 07/08/2013                        |                          |                     | 3,609.14              | 3,483.95            | 0.00                      | 125.19           | 0.00                        | 0.00               |
| 42805T105 | HERTZ GLOBAL HLDGS INC COM                   |                          |                     | HTZ                   |                     |                           |                  |                             |                    |
| 743.0000  | 07/30/2013 Various                           |                          |                     | 18,844.80             | 15,384.89           | 0.00                      | 3,459.91         | 0.00                        | 0.00               |
| 189754104 | COACH INC                                    |                          |                     | COH                   |                     |                           |                  |                             |                    |
| 428.0000  | 07/31/2013 05/02/2013                        |                          |                     | 22,580.66             | 25,165.02           | 0.00                      | -2,584.36        | 0.00                        | 0.00               |
| 231021106 | CUMMINS ENGINE INC                           |                          |                     | CMI                   |                     |                           |                  |                             |                    |
| 127.0000  | 07/31/2013 Various                           |                          |                     | 15,214.78             | 14,518.44           | 0.00                      | 696.34           | 0.00                        | 0.00               |
| 30219G108 | EXPRESS SCRIPTS HLDG CO COM                  |                          |                     | ESRX                  |                     |                           |                  |                             |                    |
| 326 0000  | 07/31/2013 Various                           |                          |                     | 21,501.88             | 21,069.25           | 0.00                      | 432.63           | 0.00                        | 0.00               |
| 74835V101 | QUESTCOR PHARMACEUTICALS INC COM             |                          |                     | QCOR                  |                     |                           |                  |                             |                    |
| 123 0000  | 07/31/2013 05/03/2013                        |                          |                     | 8,156.26              | 4,211.11            | 0.00                      | 3,945.15         | 0.00                        | 0.00               |
| 30219G108 | EXPRESS SCRIPTS HLDG CO COM                  |                          |                     | ESRX                  |                     |                           |                  |                             |                    |
| 567.0000  | 08/01/2013 Various                           |                          |                     | 37,210.64             | 35,143.01           | 0.00                      | 2,067.63         | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address:  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

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|-----------|---------------|--------------------------|-----------------------------------------|-----------------------|-------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                         | Bonds, etc.           | Hill  |                     |                           |                  |                             |                    |
| 446413106 |               |                          | HUNTINGTON INGALLS INDS INC COM         |                       |       |                     |                           |                  |                             |                    |
| 78 0000   |               | 08/01/2013               | Various                                 | 4,926.63              |       | 3,485.73            | 0.00                      | 1,440.90         | 0.00                        | 0.00               |
| 09227Q100 |               |                          | BLACKBAUD INC COM                       |                       | BLKB  |                     |                           |                  |                             |                    |
| 189 0000  |               | 08/02/2013               | 08/08/2012                              | 6,597.59              |       | 4,555.52            | 0.00                      | 2,042.07         | 0.00                        | 0.00               |
| 30219G108 |               |                          | EXPRESS SCRIPTS HLDG CO COM             |                       | ESRX  |                     |                           |                  |                             |                    |
| 406 0000  |               | 08/02/2013               | Various                                 | 26,404.02             |       | 21,580.84           | 0.00                      | 4,823.18         | 0.00                        | 0.00               |
| 98978V103 |               |                          | ZOETIS INC COM USD0.01 CL 'A'           |                       | ZTS   |                     |                           |                  |                             |                    |
| 85.9200   |               | 08/07/2013               | 07/15/2013                              | 2,652.31              |       | 2,711.88            | 0.00                      | -59.57           | 0.00                        | 0.00               |
| 98978V103 |               |                          | ZOETIS INC COM USD0.01 CL 'A'           |                       | ZTS   |                     |                           |                  |                             |                    |
| 387 0000  |               | 08/07/2013               | Various                                 | 11,946.49             |       | 13,150.33           | 1,203.84                  | 0.00             | 0.00                        | 0.00               |
| 268353109 |               |                          | EDP ELECTRICIDADE DE PORTUGAL SPONSORED |                       | EDPFY |                     |                           |                  |                             |                    |
| 19 0000   |               | 08/08/2013               | 02/26/2013                              | 684.32                |       | 561.37              | 0.00                      | 122.95           | 0.00                        | 0.00               |
| 268353109 |               |                          | EDP ELECTRICIDADE DE PORTUGAL SPONSORED |                       | EDPFY |                     |                           |                  |                             |                    |
| 401 0000  |               | 08/09/2013               | 02/26/2013                              | 14,442.05             |       | 11,847.86           | 0.00                      | 2,594.19         | 0.00                        | 0.00               |
| 98978V103 |               |                          | ZOETIS INC COM USD0.01 CL 'A'           |                       | ZTS   |                     |                           |                  |                             |                    |
| 589.0000  |               | 08/15/2013               | Various                                 | 17,663.97             |       | 19,602.10           | 0.00                      | -1,938.13        | 0.00                        | 0.00               |
| 98978V103 |               |                          | ZOETIS INC COM USD0.01 CL 'A'           |                       | ZTS   |                     |                           |                  |                             |                    |
| 451.0000  |               | 08/16/2013               | Various                                 | 13,523.98             |       | 13,834.38           | 0.00                      | -310.40          | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

Recipient's Name and Address  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Ref GSB

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|-----------|--------------------------------------------|---------------|--------------------------|---------------------|-----------------------|---------------------|--|---------------------------|------------------|-----------------------------|--------------------|
|           |                                            |               |                          |                     | Stocks Bonds, etc.    | Cost or Other Basis |  |                           |                  |                             |                    |
| 085789105 | #REORG/BERRY PETROLEUM STOCK MERG LINCOLL  |               |                          |                     | BRY                   |                     |  |                           |                  |                             |                    |
| 99.0000   | 2K10AL1 12-17-2013                         |               |                          |                     | 4,137.06              | 4,036.37            |  | 0.00                      | 100.69           | 0.00                        | 0.00               |
| 716473103 | ADR PETROFAC LTD ADS                       |               |                          |                     | POFCY                 |                     |  |                           |                  |                             |                    |
| 1792.0000 | 08/28/2013 12/05/2012                      |               |                          |                     | 19,057.23             | 23,797.57           |  | 0.00                      | -4,740.34        | 0.00                        | 0.00               |
| 61166W101 | MONSANTO CO NEW                            |               |                          |                     | MON                   |                     |  |                           |                  |                             |                    |
| 189.0000  | 09/04/2013 Various                         |               |                          |                     | 18,821.24             | 18,921.93           |  | 0.00                      | -100.69          | 0.00                        | 0.00               |
| 938824109 | WASHINGTON FED INC                         |               |                          |                     | WAFD                  |                     |  |                           |                  |                             |                    |
| 282.0000  | 09/05/2013 Various                         |               |                          |                     | 5,890.01              | 4,863.06            |  | 0.00                      | 1,026.95         | 0.00                        | 0.00               |
| 251542106 | ADR DEUTSCHE BOERSE ADR                    |               |                          |                     | DBOEY                 |                     |  |                           |                  |                             |                    |
| 1896.0000 | 09/09/2013 Various                         |               |                          |                     | 13,441.84             | 10,155.51           |  | 0.00                      | 3,286.33         | 0.00                        | 0.00               |
| 247361702 | DELTA AIR LINES INC DEL COM NEW COM NEW    |               |                          |                     | DAL                   |                     |  |                           |                  |                             |                    |
| 658.0000  | 09/11/2013 Various                         |               |                          |                     | 14,733.68             | 13,842.26           |  | 0.00                      | 891.42           | 0.00                        | 0.00               |
| 917047102 | URBAN OUTFITTERS INC                       |               |                          |                     | URBN                  |                     |  |                           |                  |                             |                    |
| 899.0000  | 09/11/2013 Various                         |               |                          |                     | 34,466.62             | 35,918.95           |  | 0.00                      | -1,452.33        | 0.00                        | 0.00               |
| 06738E121 | #REORG/BARCLAYS PLC PLAN OF REORGANISATION |               |                          |                     |                       |                     |  |                           |                  |                             |                    |
| .2500     | EFF 10-23-2013                             |               |                          |                     | 1.33                  | 0.00                |  | 0.00                      | 1.33             | 0.00                        | 0.00               |
| 938824109 | WASHINGTON FED INC                         |               |                          |                     | WAFD                  |                     |  |                           |                  |                             |                    |
| 286.0000  | 09/19/2013 Various                         |               |                          |                     | 5,736.46              | 4,762.89            |  | 0.00                      | 973.57           | 0.00                        | 0.00               |

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THE NORTHERN TRUST COMPANY  
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 CHICAGO, IL 60680

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| Cusip     | Description                                     | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stocks<br>Bonds, etc | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|-------------------------------------------------|--------------------------------|------------------------|----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 42330P107 | HELIUM ENERGY SOLUTIONS GROUP INC COM STK       | 09/23/2013                     | 11/27/2012             | HLX                  | 2,709.22               | 0.00                         | 1,393.40            | 0.00                              | 0.00                     |
| 159.0000  |                                                 |                                |                        |                      |                        |                              |                     |                                   |                          |
| 73755L107 | POTASH CORP OF SASKATCHEWAN INC                 | 09/23/2013                     | Various                | POT                  | 42,548.11              | 0.00                         | -10,432.83          | 0.00                              | 0.00                     |
| 998.0000  |                                                 |                                |                        |                      |                        |                              |                     |                                   |                          |
| 06738E121 | #REORG/BARCLAYS PLC PLAN OF REORGANISATION      | EFF 10-23-2013                 |                        |                      | 0.00                   | 0.00                         | 6,064.76            | 0.00                              | 0.00                     |
| 1117.0000 |                                                 | 09/25/2013                     | 09/19/2013             |                      |                        |                              |                     |                                   |                          |
| 00507K103 | #REORG/ACTAVIS INC STK MERGER ACTAVIS PLC       | 281PAW1 EFF 10-01-2013         |                        | ACT                  | 21,709.55              | 0.00                         | 4,356.26            | 0.00                              | 0.00                     |
| 181.0000  |                                                 | 10/01/2013                     | Various                |                      |                        |                              |                     |                                   |                          |
| 767204100 | RIO TINTO PLC                                   | 10/01/2013                     | 11/12/2012             | RIO                  | 1,718.30               | 0.00                         | -32.92              | 0.00                              | 0.00                     |
| 35.0000   |                                                 |                                |                        |                      |                        |                              |                     |                                   |                          |
| G0083B108 | ACTAVIS PLC COM                                 | 10/01/2013                     | 05/20/2013             | ACT                  | 79.12                  | 0.00                         | 21.22               | 0.00                              | 0.00                     |
| .6400     |                                                 |                                |                        |                      |                        |                              |                     |                                   |                          |
| 78460A106 | SNAM SPA UNSP ADR EA REPR 2 ORD SHS             | 10/02/2013                     | Various                | SNMRY                | 29,045.12              | 0.00                         | 1,499.84            | 0.00                              | 0.00                     |
| 3049.0000 |                                                 |                                |                        |                      |                        |                              |                     |                                   |                          |
| 066011206 | BANK OF YOKOHAMA ADR-EACH CNV INTO 10 ORD JPY50 | 10/03/2013                     | 01/11/2013             | BKJAY                | 15,032.29              | 0.00                         | 2,280.61            | 0.00                              | 0.00                     |
| 781.0000  |                                                 |                                |                        |                      |                        |                              |                     |                                   |                          |
| 54338V101 | ADR LONZA GROUP AG ADR                          | 10/03/2013                     | 02/12/2013             | LZAGY                | 7,859.12               | 0.00                         | 2,020.96            | 0.00                              | 0.00                     |
| 1223.0000 |                                                 |                                |                        |                      |                        |                              |                     |                                   |                          |

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Account Number 23-80346  
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|           |               |                          |                                    | Stocks Bonds, etc.    | Cost or Other Basis |                           |                  |                             |                    |
| 17275R102 |               |                          | CISCO SYS INC                      | CSCO                  |                     |                           |                  |                             |                    |
| 820 0000  |               | 10/10/2013               | Various                            | 18,646 49             | 21,168 33           | 0 00                      | -2,521 84        | 0 00                        | 0 00               |
| 886547108 |               |                          | TIFFANY & CO NEW                   | TIF                   |                     |                           |                  |                             |                    |
| 294 0000  |               | 10/10/2013               | Various                            | 22,189 59             | 23,609 77           | 0 00                      | -1,420 18        | 0 00                        | 0 00               |
| 36160B105 |               |                          | ADR GDF SUEZ S A SPONSORED ADR ADR | GDFZY                 |                     |                           |                  |                             |                    |
| 2109 0000 |               | 10/11/2013               | 06/25/2013                         | 54,653 36             | 41,022 13           | 0 00                      | 13,631 23        | 0 00                        | 0 00               |
| 886547108 |               |                          | TIFFANY & CO NEW                   | TIF                   |                     |                           |                  |                             |                    |
| 366 0000  |               | 10/11/2013               | Various                            | 27,518 96             | 28,699 63           | 0 00                      | -1,180 67        | 0 00                        | 0 00               |
| 466140100 |               |                          | ADR JGC CORP                       | JGCCY                 |                     |                           |                  |                             |                    |
| 912 0000  |               | 10/15/2013               | Various                            | 68,640 76             | 56,593.22           | 0 00                      | 12,047 54        | 0 00                        | 0 00               |
| 963320106 |               |                          | WHIRLPOOL CORP                     | WHR                   |                     |                           |                  |                             |                    |
| 198.0000  |               | 10/15/2013               | Various                            | 26,001 90             | 23,560 24           | 0 00                      | 2,441 66         | 0 00                        | 0 00               |
| 104674106 |               |                          | BRADY CORP CLASS A                 | BRC                   |                     |                           |                  |                             |                    |
| 7.0000    |               | 10/16/2013               | 07/31/2013                         | 208.79                | 234.00              | 0 00                      | -25.21           | 0 00                        | 0 00               |
| 466140100 |               |                          | ADR JGC CORP                       | JGCCY                 |                     |                           |                  |                             |                    |
| 476 0000  |               | 10/16/2013               | 01/16/2013                         | 35,860 93             | 29,466.64           | 0 00                      | 6,394.29         | 0 00                        | 0 00               |
| 104674106 |               |                          | BRADY CORP CLASS A                 | BRC                   |                     |                           |                  |                             |                    |
| 192 0000  |               | 10/17/2013               | Various                            | 5,699 25              | 6,393 06            | 0 00                      | -693 81          | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address:  
JOSEPH A W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                     | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 172967424 | CITIGROUP INC COM NEW COM NEW   |                          |                     | C                     |                     |                           |                  |                             |                    |
| 459 0000  | 10/17/2013 Various              |                          |                     | 23,299.21             | 22,579.00           | 0.00                      | 720.21           | 0.00                        | 0.00               |
| 38141G104 | GOLDMAN SACHS GROUP INC         |                          |                     | GS                    |                     |                           |                  |                             |                    |
| 635 0000  | 10/18/2013 Various              |                          |                     | 100,470.93            | 95,289.38           | 0.00                      | 5,181.55         | 0.00                        | 0.00               |
| 41902R103 | HATTERAS FINL CORP COM REIT     |                          |                     | HTS                   |                     |                           |                  |                             |                    |
| 239 0000  | 10/21/2013 Various              |                          |                     | 4,471.44              | 5,162.18            | 0.00                      | -690.74          | 0.00                        | 0.00               |
| 17275R102 | CISCO SYS INC                   |                          |                     | CSCO                  |                     |                           |                  |                             |                    |
| 976 0000  | 10/23/2013 Various              |                          |                     | 21,816.72             | 24,783.22           | 0.00                      | -2,966.50        | 0.00                        | 0.00               |
| 172967424 | CITIGROUP INC COM NEW COM NEW   |                          |                     | C                     |                     |                           |                  |                             |                    |
| 1115 0000 | 10/23/2013 Various              |                          |                     | 55,975.48             | 47,157.10           | 0.00                      | 8,818.38         | 0.00                        | 0.00               |
| 446413106 | HUNTINGTON INGALLS INDS INC COM |                          |                     | HII                   |                     |                           |                  |                             |                    |
| 173 0000  | 10/23/2013 Various              |                          |                     | 12,463.10             | 7,687.28            | 0.00                      | 4,775.82         | 0.00                        | 0.00               |
| 104674106 | BRADY CORP CLASS A              |                          |                     | BRC                   |                     |                           |                  |                             |                    |
| 99 0000   | 10/24/2013 04/23/2013           |                          |                     | 2,910.08              | 3,192.50            | 0.00                      | -282.42          | 0.00                        | 0.00               |
| 104674106 | BRADY CORP CLASS A              |                          |                     | BRC                   |                     |                           |                  |                             |                    |
| 94 0000   | 10/25/2013 Various              |                          |                     | 2,741.45              | 2,849.63            | 0.00                      | -108.18          | 0.00                        | 0.00               |
| 871607107 | SYNOPSYS INC                    |                          |                     | SNPS                  |                     |                           |                  |                             |                    |
| 94.0000   | 10/25/2013 01/09/2013           |                          |                     | 3,385.52              | 2,961.86            | 0.00                      | 423.66           | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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 Recipient's Name and Address  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

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| Cusip     | Description                           | Date of Sale or Exchange | Date of Acquisition | Stocks or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 260543103 | DOW CHEMICAL CO                       | 10/28/2013               | 08/26/2013          | DOW                    | 28,256.87           | 0.00                      | 172.36           | 0.00                        | 0.00               |
| 725.0000  |                                       |                          |                     |                        |                     |                           |                  |                             |                    |
| 260543103 | DOW CHEMICAL CO                       | 10/29/2013               | 08/26/2013          | DOW                    | 28,061.99           | 0.00                      | 272.18           | 0.00                        | 0.00               |
| 720.0000  |                                       |                          |                     |                        |                     |                           |                  |                             |                    |
| 054303102 | AVON PRODS INC                        | 11/01/2013               | Various             | AVP                    | 57,266.96           | 0.00                      | -11,927.23       | 0.00                        | 0.00               |
| 2609.0000 |                                       |                          |                     |                        |                     |                           |                  |                             |                    |
| 882681109 | TEXAS ROADHOUSE INC COMMON STOCK      | 11/04/2013               | 03/06/2013          | TXRH                   | 1,746.10            | 0.00                      | 643.08           | 0.00                        | 0.00               |
| 88.0000   |                                       |                          |                     |                        |                     |                           |                  |                             |                    |
| 39679B103 | GREENWAY MED TECHNOLOGIES INC COM STK | 11/07/2013               | 07/05/2013          | GWAY                   | 4,560.22            | 0.00                      | 3,681.53         | 0.00                        | 0.00               |
| 405.0000  |                                       |                          |                     |                        |                     |                           |                  |                             |                    |
| 01741R102 | ALLEGHENY TECHNOLOGIES INC            | 11/14/2013               | 01/10/2013          | ATI                    | 154.99              | 0.00                      | 12.55            | 0.00                        | 0.00               |
| 5.0000    |                                       |                          |                     |                        |                     |                           |                  |                             |                    |
| 03073E105 | AMERISOURCE BERGEN CORP               | 11/14/2013               | 03/07/2013          | ABC                    | 144.18              | 0.00                      | 63.71            | 0.00                        | 0.00               |
| 3.0000    |                                       |                          |                     |                        |                     |                           |                  |                             |                    |
| 127055101 | CABOT CORP                            | 11/14/2013               | 09/24/2013          | CBT                    | 42.32               | 0.00                      | 5.69             | 0.00                        | 0.00               |
| 1.0000    |                                       |                          |                     |                        |                     |                           |                  |                             |                    |
| 189754104 | COACH INC                             | 11/14/2013               | 01/09/2013          | COH                    | 173.50              | 0.00                      | -12.59           | 0.00                        | 0.00               |
| 3.0000    |                                       |                          |                     |                        |                     |                           |                  |                             |                    |

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Account Number: 23-80346  
 Recipient's Tax ID Number: 20-7515945  
 Recipient's Name and Address:  
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 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

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Ref. GSB

THE NORTHERN TRUST COMPANY  
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 CHICAGO, IL 60680

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|-----------|---------------|-----------------------------------|--------------------------|---------------------|-----------------------|-----------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                   |                          |                     | Stocks Bonds, etc.    |           |                     |                           |                  |                             |                    |
| 278768106 | 4 0000        | ECHOSTAR CORPORATION              | 11/14/2013               | 02/20/2013          | SATS                  | 203 39    | 158.13              | 0 00                      | 45.26            | 0 00                        | 0 00               |
| 574599106 | 2 0000        | MASCO CORP. COMMON STOCK, \$1 PAR | 11/14/2013               | 10/10/2013          | MAS                   | 42 36     | 40 27               | 0 00                      | 2 09             | 0 00                        | 0 00               |
| 81211K100 | 8 0000        | SEALED AIR CORP NEW               | 11/14/2013               | 11/19/2012          | SEE                   | 247 43    | 134.14              | 0 00                      | 113 29           | 0 00                        | 0 00               |
| 860630102 | 2 0000        | STIFEL FINL CORP COM              | 11/14/2013               | 10/21/2013          | SF                    | 87.08     | 82.30               | 0 00                      | 4.78             | 0 00                        | 0 00               |
| 871607107 | 1 0000        | SYNOPSYS INC                      | 11/14/2013               | 01/09/2013          | SNPS                  | 36 45     | 31.51               | 0 00                      | 4.94             | 0 00                        | 0 00               |
| 17275R102 | 1085.0000     | CISCO SYS INC                     | 11/15/2013               | Various             | CSCO                  | 23,262 95 | 26,667 76           | 0 00                      | -3,404 81        | 0 00                        | 0 00               |
| 17275R102 | 2711.0000     | CISCO SYS INC                     | 12/03/2013               | Various             | CSCO                  | 57,324 99 | 65,109.55           | 0.00                      | -7,784.56        | 0.00                        | 0 00               |
| 399473107 | 2323 0000     | GROUPON INC                       | 12/03/2013               | 09/20/2013          | GRPN                  | 21,036 03 | 29,360.62           | 0 00                      | -8,324.59        | 0.00                        | 0 00               |
| 626188106 | 222 0000      | ADR MUNICH RE GROUP ADR           | 12/04/2013               | 10/14/2013          | MURGY                 | 4,728 98  | 4,360 47            | 0 00                      | 368.51           | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

Page 28 of 158  
 Recipient's Name and Address: Ref GSB  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
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|-----------|--------------------------------|-----------------------|---------------|--------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 453038408 | IMPERIAL OIL LTD NEW           | IMO                   | 196 0000      | 12/11/2013               | Various             | 8,575 16           | 8,625 80            | 0.00                      | -50.64           | 0.00                        | 0.00               |
| 067806109 | BARNES GROUP INC               | B                     | 1 0000        | 12/20/2013               | 10/16/2013          | 37 24              | 36 77               | 0.00                      | 0.47             | 0.00                        | 0.00               |
| 115736100 | BROWN SHOE CO INC COM          | BWS                   | 5 0000        | 12/20/2013               | 03/08/2013          | 134 80             | 87 44               | 0.00                      | 47 36            | 0.00                        | 0.00               |
| 149205106 | CATO CORP NEW CL A CL A        | CATO                  | 5.0000        | 12/20/2013               | 04/01/2013          | 153 59             | 121 07              | 0.00                      | 32.52            | 0.00                        | 0.00               |
| 205768203 | COMSTOCK RES INC NEW           | CRK                   | 3 0000        | 12/20/2013               | 07/31/2013          | 53 25              | 50 43               | 0.00                      | 2 82             | 0.00                        | 0.00               |
| 361268105 | G & K SVCS INC CL A CL A       | GK                    | 1.0000        | 12/20/2013               | 10/31/2013          | 61 72              | 61 55               | 0.00                      | 0.17             | 0.00                        | 0.00               |
| 395259104 | GREENHILL & CO INC COM         | GHL                   | 1 0000        | 12/20/2013               | 10/21/2013          | 57 26              | 50 28               | 0.00                      | 6.98             | 0.00                        | 0.00               |
| 48123V102 | J2 GLOBAL INC COM              | JCOM                  | 2 0000        | 12/20/2013               | 06/04/2013          | 95 25              | 81.37               | 0.00                      | 13 88            | 0.00                        | 0.00               |
| 653656108 | ADR NICE SYS LTD SPONSORED ADR | NICE                  | 2.0000        | 12/20/2013               | 07/31/2013          | 80 34              | 77 42               | 0.00                      | 2.92             | 0.00                        | 0.00               |

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|-----------|---------------|--------------------------|------------------------------------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                          | Bonds, etc.           | Stocks |                     |                           |                  |                             |                    |
| 74164M108 |               |                          | PRIMERICA INC COM                        |                       | PRI    |                     |                           |                  |                             |                    |
| 3 0000    |               | 12/20/2013               | 03/26/2013                               |                       | 127 98 | 97 99               | 0 00                      | 29 99            | 0 00                        | 0 00               |
| 74835Y101 |               |                          | QUESTCOR PHARMACEUTICALS INC COM         |                       | QCOR   |                     |                           |                  |                             |                    |
| 1 0000    |               | 12/20/2013               | 09/23/2013                               |                       | 52 11  | 55 08               | 0 00                      | -2.97            | 0 00                        | 0 00               |
| 853666105 |               |                          | STANDARD MTR PRODS INC COM               |                       | SMP    |                     |                           |                  |                             |                    |
| 1 0000    |               | 12/20/2013               | 09/26/2013                               |                       | 35 11  | 32 72               | 0 00                      | 2.39             | 0 00                        | 0 00               |
| 882681109 |               |                          | TEXAS ROADHOUSE INC COMMON STOCK         |                       | TXRH   |                     |                           |                  |                             |                    |
| 2 0000    |               | 12/20/2013               | 03/06/2013                               |                       | 54 74  | 39.68               | 0 00                      | 15 06            | 0 00                        | 0 00               |
| 885160101 |               |                          | THOR INDS INC COM                        |                       | THO    |                     |                           |                  |                             |                    |
| 1 0000    |               | 12/20/2013               | 11/07/2013                               |                       | 52 34  | 52 29               | 0 00                      | 0 05             | 0 00                        | 0 00               |
| 942749102 |               |                          | WATTS INDUSTRIES INC                     |                       | WTS    |                     |                           |                  |                             |                    |
| 1 0000    |               | 12/20/2013               | 11/01/2013                               |                       | 59 93  | 57 97               | 0 00                      | 1 96             | 0 00                        | 0 00               |
| 959319104 |               |                          | WESTN REFNG INC COM                      |                       | WNR    |                     |                           |                  |                             |                    |
| 2 0000    |               | 12/20/2013               | 08/07/2013                               |                       | 78 82  | 61 69               | 0 00                      | 17 13            | 0 00                        | 0 00               |
| G10082140 |               |                          | ENERGY XXI (BERMUDA) COM STK USD \$0 005 |                       | EXXI   |                     |                           |                  |                             |                    |
| 1 0000    |               | 12/20/2013               | 10/25/2013                               |                       | 26 59  | 31 34               | 4 75                      | 0 00             | 0 00                        | 0 00               |
| G62185106 |               |                          | MONTPELIER RE HOLDINGS LTD COM           |                       | MRH    |                     |                           |                  |                             |                    |
| 2 0000    |               | 12/20/2013               | 07/17/2013                               |                       | 57 02  | 53 82               | 0 00                      | 3 20             | 0 00                        | 0 00               |

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 1385 PARK ROW  
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Page 30 of 158  
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 CHICAGO, IL 60680

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| Cusip                  | Description                                  | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stock or Other Symbol |                        | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|------------------------|----------------------------------------------|--------------------------------|------------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
|                        |                                              |                                |                        | Bonds, etc            | Cost or<br>Other Basis |                              |                     |                                   |                          |
| 002824100              | ABBOTT LABORATORIES                          | 12/30/2013                     | 11/29/2013             | ABT                   | 1,423.11               | 0.00                         | -7.52               | 0.00                              | 0.00                     |
| 026874784              | AMERICAN INTERNATIONAL GROUP INC COM COM NEW | 12/30/2013                     | 03/08/2013             | AIG                   | 1,066.88               | 0.00                         | 310.09              | 0.00                              | 0.00                     |
| 037833100              | APPLE COMPUTER INC                           | 12/30/2013                     | 06/25/2013             | AAPL                  | 802.04                 | 0.00                         | 308.62              | 0.00                              | 0.00                     |
| 17275R102              | CISCO SYS INC                                | 12/30/2013                     | 07/08/2013             | CSCO                  | 1,959.63               | 0.00                         | -179.67             | 0.00                              | 0.00                     |
| 80.0000                | ACTAVIS PLC COM                              | 12/30/2013                     | 05/20/2013             | ACT                   | 865.34                 | 0.00                         | 295.13              | 0.00                              | 0.00                     |
| G0083B108              | HOME DEPOT INC                               | 01/02/2013                     | Various                | HD                    | 4,591.95               | 0.00                         | 6,986.61            | 0.00                              | 0.00                     |
| 7 0000                 | FORRESTER RSH INC                            | 01/08/2013                     | Various                | FORR                  | 3,158.42               | 0.00                         | 1,319.03            | 0.00                              | 0.00                     |
| 993.0000               | ADR AVIVA PLC ADR                            | 01/09/2013                     | 05/18/2011             | AV                    | 14,284.10              | 0.00                         | -2,368.06           | 0.00                              | 0.00                     |
| Total Short Term Sales |                                              |                                |                        | 2,368,458.55          | 2,330,883.33           | 2,323.10                     | 39,898.32           | 0.00                              | 0.00                     |
| Long Term Sales        |                                              |                                |                        | 1,160.47              | 865.34                 | 0.00                         | 295.13              | 0.00                              | 0.00                     |
| 437076102              | HOME DEPOT INC                               | 01/02/2013                     | Various                | HD                    | 4,591.95               | 0.00                         | 6,986.61            | 0.00                              | 0.00                     |
| 184 0000               | FORRESTER RSH INC                            | 01/08/2013                     | Various                | FORR                  | 3,158.42               | 0.00                         | 1,319.03            | 0.00                              | 0.00                     |
| 346563109              | ADR AVIVA PLC ADR                            | 01/09/2013                     | 05/18/2011             | AV                    | 14,284.10              | 0.00                         | -2,368.06           | 0.00                              | 0.00                     |
| 167 0000               | HOME DEPOT INC                               | 01/02/2013                     | Various                | HD                    | 4,591.95               | 0.00                         | 6,986.61            | 0.00                              | 0.00                     |
| 05382A104              | FORRESTER RSH INC                            | 01/08/2013                     | Various                | FORR                  | 3,158.42               | 0.00                         | 1,319.03            | 0.00                              | 0.00                     |
| 993.0000               | ADR AVIVA PLC ADR                            | 01/09/2013                     | 05/18/2011             | AV                    | 14,284.10              | 0.00                         | -2,368.06           | 0.00                              | 0.00                     |

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# 2013 Tax Information Statement

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Account Number 23-80346  
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|-----------|---------------|--------------------------|---------------------------------------|-----------------------|-------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                       | Bonds, etc.           | PNL   |                     |                           |                  |                             |                    |
| 73753A103 |               |                          | POSTNL N V SPONSORED ADR              |                       |       |                     |                           |                  |                             |                    |
| 3846 8600 |               | 01/10/2013               | 11/23/2011                            | 14,472 72             | PNLY  | 10,192 59           | 0.00                      | 4,280.13         | 0.00                        | 0.00               |
| 803054204 |               |                          | SAP AKTIENGESSELLSCHAFT SPONSORED ADR |                       |       |                     |                           |                  |                             |                    |
| 72 0000   |               | 01/10/2013               | 09/07/2011                            | 5,812 21              | SAP   | 3,765 07            | 0.00                      | 2,047 14         | 0.00                        | 0.00               |
| 054536107 |               |                          | AXA S A SPONSORED ADR                 |                       |       |                     |                           |                  |                             |                    |
| 456 0000  |               | 01/15/2013               | Various                               | 8,215 20              | AXAHY | 7,625 13            | 0.00                      | 590.07           | 0.00                        | 0.00               |
| 25157Y202 |               |                          | DEUTSCHE POST AG SPONSORED ADR        |                       |       |                     |                           |                  |                             |                    |
| 213 0000  |               | 01/15/2013               | Various                               | 4,773 18              | DPSGY | 3,685 31            | 0.00                      | 1,087.87         | 0.00                        | 0.00               |
| 29248L104 |               |                          | ADR ENAGAS S A ADR                    |                       |       |                     |                           |                  |                             |                    |
| 189 0000  |               | 01/15/2013               | 06/22/2010                            | 2,147 48              | ENGGY | 1,660 91            | 0.00                      | 486.57           | 0.00                        | 0.00               |
| 29248L104 |               |                          | ADR ENAGAS S A ADR                    |                       |       |                     |                           |                  |                             |                    |
| 700.0000  |               | 01/15/2013               | 09/15/2011                            | 7,953 65              | ENGGY | 6,555.22            | 0.00                      | 1,398 43         | 0.00                        | 0.00               |
| 92857W209 |               |                          | VODAFONE GROUP PLC SPONSORED ADR      |                       |       |                     |                           |                  |                             |                    |
| 180.0000  |               | 01/15/2013               | Various                               | 4,703.37              | VOD   | 4,613.88            | 0.00                      | 89 49            | 0.00                        | 0.00               |
| 92857W209 |               |                          | VODAFONE GROUP PLC SPONSORED ADR      |                       |       |                     |                           |                  |                             |                    |
| 280.0000  |               | 01/15/2013               | 07/21/2011                            | 7,316 35              | VOD   | 7,346 08            | 0.00                      | -29 73           | 0.00                        | 0.00               |
| 48667L106 |               |                          | ADR KDDI CORP ADR                     |                       |       |                     |                           |                  |                             |                    |
| 339.0000  |               | 01/17/2013               | 10/26/2011                            | 5,866 04              | KDDIY | 6,271 60            | 0.00                      | -405 56          | 0.00                        | 0.00               |

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| Cusip         | Description                                                       | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|-------------------------------------------------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange                                          | Date of Acquisition   | Stocks Bonds, etc.  |                           |                  |                             |                    |
| 767204100     | RIO TINTO PLC                                                     |                       | RIO                 |                           |                  |                             |                    |
| 132.0000      | 01/17/2013 Various                                                |                       | 7,214.54            | 0.00                      | 2,322.44         | 0.00                        | 0.00               |
| 771195104     | ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104                |                       | RHHBY               |                           |                  |                             |                    |
| 179.0000      | 01/17/2013 07/27/2010                                             |                       | 9,672.94            | 0.00                      | 3,943.24         | 0.00                        | 0.00               |
| 783513104     | RYANAIR HLDGS PLC SPONSORED ADR                                   |                       | RYAAY               |                           |                  |                             |                    |
| 81.0000       | 01/17/2013 07/25/2011                                             |                       | 3,133.98            | 0.00                      | 906.08           | 0.00                        | 0.00               |
| 878546209     | ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03 |                       | TKPPY               |                           |                  |                             |                    |
| 132.0000      | 01/17/2013 08/10/2011                                             |                       | 3,602.84            | 0.00                      | 789.83           | 0.00                        | 0.00               |
| 92857W209     | VODAFONE GROUP PLC SPONSORED ADR                                  |                       | VOD                 |                           |                  |                             |                    |
| 74.0000       | 01/17/2013 11/18/2009                                             |                       | 1,899.55            | 0.00                      | 215.12           | 0.00                        | 0.00               |
| 988415105     | ADR YUE YUEN INDL-UNSP ADR ADR                                    |                       | YUEIY               |                           |                  |                             |                    |
| 187.0000      | 01/17/2013 11/18/2009                                             |                       | 3,072.48            | 0.00                      | 271.22           | 0.00                        | 0.00               |
| 824551105     | ADR SHIN ETSU CHEM CO LTD ADR                                     |                       | SHECY               |                           |                  |                             |                    |
| 367.0000      | 01/18/2013 04/15/2009                                             |                       | 5,627.69            | 0.00                      | 1,236.54         | 0.00                        | 0.00               |
| 14040H105     | CAPITAL ONE FINL CORP                                             |                       | COF                 |                           |                  |                             |                    |
| 445.0000      | 01/22/2013 Various                                                |                       | 25,078.14           | 0.00                      | 1,129.86         | 0.00                        | 0.00               |
| 110448107     | BRITISH AMERN TOB PLC SPONSORED ADR                               |                       | BTI                 |                           |                  |                             |                    |
| 38.0000       | 01/28/2013 01/10/2012                                             |                       | 3,897.38            | 0.00                      | 332.51           | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

Account Number  
23-80346  
Recipient's Tax ID Number  
20-7515945

Recipient's Name and Address  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

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Ref GSB

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Quantity Sold | Date of Sale or Exchange               | Date of Acquisition | Description | Stock or Other Symbol |        | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|----------------------------------------|---------------------|-------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                        |                     |             | Bonds, etc.           | Stocks |                     |                           |                  |                             |                    |
| 57636Q104 |               | MASTERCARD INC CL A                    |                     |             | MA                    |        |                     |                           |                  |                             |                    |
| 26 0000   |               | 01/28/2013                             | 06/23/2009          |             | 13,395 39             |        | 4,095 37            | 0 00                      | 9,300.02         | 0.00                        | 0 00               |
| 57636Q104 |               | MASTERCARD INC CL A                    |                     |             | MA                    |        |                     |                           |                  |                             |                    |
| 33.0000   |               | 01/28/2013                             | 04/11/2011          |             | 17,001 85             |        | 8,815 53            | 0 00                      | 8,186.32         | 0 00                        | 0 00               |
| 700416209 |               | PARK ELECTROCHEMICAL CORP COM          |                     |             | PKE                   |        |                     |                           |                  |                             |                    |
| 19.0000   |               | 01/28/2013                             | 11/18/2009          |             | 504.51                |        | 460 56              | 0 00                      | 43.95            | 0.00                        | 0 00               |
| 761655505 |               | ADR REXAM PLC SPONSORED ADR COM NO PAR |                     |             | REXMY                 |        |                     |                           |                  |                             |                    |
| .9000     |               | 01/28/2013                             | 10/12/2010          |             | 31.67                 |        | 25 33               | 0 00                      | 6.34             | 0 00                        | 0 00               |
| 835707100 |               | ADR SONY FINL HLDGS INC ADR            |                     |             | SNYFY                 |        |                     |                           |                  |                             |                    |
| 8 0000    |               | 01/28/2013                             | 11/18/2009          |             | 137.99                |        | 112 60              | 0 00                      | 25.39            | 0 00                        | 0 00               |
| 700416209 |               | PARK ELECTROCHEMICAL CORP COM          |                     |             | PKE                   |        |                     |                           |                  |                             |                    |
| 29 0000   |               | 01/29/2013                             | 11/18/2009          |             | 770 24                |        | 702 96              | 0 00                      | 67.28            | 0.00                        | 0 00               |
| 835707100 |               | ADR SONY FINL HLDGS INC ADR            |                     |             | SNYFY                 |        |                     |                           |                  |                             |                    |
| 296 0000  |               | 01/29/2013                             | 11/18/2009          |             | 5,075 99              |        | 4,166 20            | 0 00                      | 909 79           | 0 00                        | 0 00               |
| 700416209 |               | PARK ELECTROCHEMICAL CORP COM          |                     |             | PKE                   |        |                     |                           |                  |                             |                    |
| 23 0000   |               | 01/30/2013                             | 11/18/2009          |             | 609 03                |        | 557 52              | 0 00                      | 51 51            | 0 00                        | 0 00               |
| 472319102 |               | JEFFERIES GROUP INC NEW                |                     |             | JEF                   |        |                     |                           |                  |                             |                    |
| 745 0000  |               | 02/01/2013                             | Various             |             | 14,840 06             |        | 9,346 53            | 0 00                      | 5,493 53         | 0 00                        | 0 00               |

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1385 PARK ROW  
LA JOLLA, CA 92037-3708

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THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

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| Cusip     | Description                   | Stock or Other Symbol | Stocks<br>Bonds, etc. | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|-------------------------------|-----------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 472319102 | JEFFERIES GROUP INC NEW       | JEF                   | 11,354 14             | 11,628 17              | 0 00                         | -274 03             | 0 00                              | 0 00                     |
| 570.0000  | 02/01/2013 07/22/2011         |                       |                       |                        |                              |                     |                                   |                          |
| 700416209 | PARK ELECTROCHEMICAL CORP COM | PKE                   | 136 60                | 121 20                 | 0 00                         | 15 40               | 0 00                              | 0 00                     |
| 5 0000    | 02/01/2013 11/18/2009         |                       |                       |                        |                              |                     |                                   |                          |
| 700416209 | PARK ELECTROCHEMICAL CORP COM | PKE                   | 2,096 76              | 1 290 09               | 0 00                         | 806 67              | 0 00                              | 0 00                     |
| 78 0000   | 02/04/2013 Various            |                       |                       |                        |                              |                     |                                   |                          |
| 74834L100 | QUEST DIAGNOSTICS INC         | DGX                   | 45,861 52             | 45,620 70              | 0 00                         | 240.82              | 0.00                              | 0 00                     |
| 794.0000  | 02/04/2013 02/01/2011         |                       |                       |                        |                              |                     |                                   |                          |
| 502175102 | LTC PTYS INC COM              | LTC                   | 1,673.97              | 1,309 17               | 0 00                         | 364.80              | 0.00                              | 0 00                     |
| 45 0000   | 02/07/2013 05/31/2011         |                       |                       |                        |                              |                     |                                   |                          |
| 57636Q104 | MASTERCARD INC CL A           | MA                    | 9,308 16              | 6,978 20               | 0.00                         | 2,329.96            | 0 00                              | 0 00                     |
| 18 0000   | 02/07/2013 02/03/2012         |                       |                       |                        |                              |                     |                                   |                          |
| 966837106 | WHOLE FOODS MKT INC           | WFM                   | 9,573.02              | 1,945 67               | 0 00                         | 7,627 35            | 0 00                              | 0 00                     |
| 103.0000  | 02/07/2013 05/27/2009         |                       |                       |                        |                              |                     |                                   |                          |
| 88076W103 | TERADATA CORP DEL COM STK     | TDC                   | 43,788 66             | 36,257 87              | 0 00                         | 7,530.79            | 0 00                              | 0 00                     |
| 701 0000  | 02/08/2013 Various            |                       |                       |                        |                              |                     |                                   |                          |
| 29248L104 | ADR ENAGAS S A ADR            | ENGGY                 | 36,209 70             | 27,121 51              | 0 00                         | 9,088 19            | 0 00                              | 0 00                     |
| 3109.0000 | 02/12/2013 Various            |                       |                       |                        |                              |                     |                                   |                          |

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LA JOLLA, CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number: 20-7515945

THE NORTHERN TRUST COMPANY  
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CHICAGO, IL 60680

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| Cusip     | Description                                  | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stock or Other Symbol |                        | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|----------------------------------------------|--------------------------------|------------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
|           |                                              |                                |                        | Stocks<br>Bonds, etc. | Cost or<br>Other Basis |                              |                     |                                   |                          |
| 06738E204 | BARCLAYS PLC ADR                             |                                |                        | BCS                   |                        |                              |                     |                                   |                          |
| 586 0000  | 02/13/2013 02/11/2011                        |                                |                        | 11,922.84             | 10,715.79              | 0.00                         | 1,207.05            | 0.00                              | 0.00                     |
| 78460A106 | SNAM SPA UNSP ADR EA REPR 2 ORD SHS          |                                |                        | SNMRY                 |                        |                              |                     |                                   |                          |
| 502 0000  | 02/13/2013 01/21/2011                        |                                |                        | 4,811.68              | 5,214.73               | 403.05                       | 0.00                | 0.00                              | 0.00                     |
| 82930C106 | ADR SINGAPORE AIRLS LTD ADR                  |                                |                        | SINGY                 |                        |                              |                     |                                   |                          |
| 926 0000  | 02/13/2013 Various                           |                                |                        | 16,176.52             | 20,178.10              | 0.00                         | -4,001.58           | 0.00                              | 0.00                     |
| 191216100 | COCA COLA CO                                 |                                |                        | KO                    |                        |                              |                     |                                   |                          |
| 910 0000  | 02/14/2013 Various                           |                                |                        | 33,554.58             | 25,749.33              | 0.00                         | 7,805.25            | 0.00                              | 0.00                     |
| 191216100 | COCA COLA CO                                 |                                |                        | KO                    |                        |                              |                     |                                   |                          |
| 11 0000   | 02/14/2013 08/08/2011                        |                                |                        | 405.60                | 365.62                 | 0.00                         | 39.98               | 0.00                              | 0.00                     |
| 14040H105 | CAPITAL ONE FINL CORP                        |                                |                        | COF                   |                        |                              |                     |                                   |                          |
| 594.0000  | 02/15/2013 Various                           |                                |                        | 32,563.41             | 30,119.56              | 0.00                         | 2,443.85            | 0.00                              | 0.00                     |
| 532716107 | LIMITED INC                                  |                                |                        | LTD                   |                        |                              |                     |                                   |                          |
| 1029 0000 | 02/15/2013 Various                           |                                |                        | 45,587.98             | 39,578.70              | 0.00                         | 6,009.28            | 0.00                              | 0.00                     |
| 966837106 | WHOLE FOODS MKT INC                          |                                |                        | WFM                   |                        |                              |                     |                                   |                          |
| 713 0000  | 02/15/2013 Various                           |                                |                        | 62,643.48             | 13,118.37              | 0.00                         | 49,525.11           | 0.00                              | 0.00                     |
| G0229R108 | #REORG/ ALTERRA CAPI CSH AND STK MRGR MARKET |                                |                        | ALTE                  |                        |                              |                     |                                   |                          |
| 180.0000  | CORPORATN 2530085 EFF 05/02/2013             |                                |                        | 5,558.83              | 3,847.32               | 0.00                         | 1,711.51            | 0.00                              | 0.00                     |

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| Cusip         | Description                                                                 | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|-----------------------------------------------------------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange                                                    | Date of Acquisition   | Stocks Bonds, etc.  |                           |                  |                             |                    |
| G0229R108     | #REORG/ALTERRA CAPICSH AND STK MRGR MARKET CORPORATN 2530085 EFF 05/02/2013 | 02/15/2013 10/25/2011 | ALTE                |                           |                  |                             |                    |
| 125.0000      |                                                                             |                       | 3,860.30            | 0.00                      | 1,089.49         | 0.00                        | 0.00               |
| G0229R108     | #REORG/ALTERRA CAPICSH AND STK MRGR MARKET CORPORATN 2530085 EFF 05/02/2013 | 02/15/2013 Various    | ALTE                |                           |                  |                             |                    |
| 158.0000      |                                                                             |                       | 4,889.63            | 0.00                      | 2,000.95         | 0.00                        | 0.00               |
| 14040H105     | CAPITAL ONE FINL CORP                                                       |                       | COF                 |                           |                  |                             |                    |
| 187.0000      | 02/19/2013 Various                                                          |                       | 9,931.20            | 0.00                      | 888.19           | 0.00                        | 0.00               |
| 191216100     | COCA COLA CO                                                                |                       | KO                  |                           |                  |                             |                    |
| 521.0000      | 02/19/2013 Various                                                          |                       | 19,628.59           | 0.00                      | 4,934.95         | 0.00                        | 0.00               |
| 550021109     | LULULEMON ATHLETICA INC COM                                                 |                       | LULU                |                           |                  |                             |                    |
| 355.0000      | 02/19/2013 01/27/2012                                                       |                       | 24,348.90           | 0.00                      | 1,737.97         | 0.00                        | 0.00               |
| 66987V109     | ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098                            |                       | NVS                 |                           |                  |                             |                    |
| 223.0000      | 02/19/2013 Various                                                          |                       | 15,489.59           | 0.00                      | 2,617.51         | 0.00                        | 0.00               |
| G0229R108     | #REORG/ALTERRA CAPICSH AND STK MRGR MARKET CORPORATN 2530085 EFF 05/02/2013 | 02/19/2013 Various    | ALTE                |                           |                  |                             |                    |
| 147.0000      |                                                                             |                       | 4,554.10            | 0.00                      | 2,254.33         | 0.00                        | 0.00               |
| M85548101     | STRATASYS INC SHS                                                           |                       | SSYS                |                           |                  |                             |                    |
| 76.0000       | 02/20/2013 Various                                                          |                       | 5,205.67            | 0.00                      | -343.09          | 0.00                        | 0.00               |
| 353514102     | FRANKLIN ELECTRIC INC                                                       |                       | FELE                |                           |                  |                             |                    |
| 40.0000       | 02/21/2013 07/26/2010                                                       |                       | 2,565.20            | 0.00                      | 1,303.69         | 0.00                        | 0.00               |

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THE NORTHERN TRUST COMPANY  
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 CHICAGO, IL 60680

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| Cusip     | Description                                      | Stock or Other Symbol | Stocks<br>Bonds, etc | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|--------------------------------------------------|-----------------------|----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 892331307 | TOYOTA MOTOR CORP SP ADR REP2COM                 | TM                    | 17,293 75            | 13,566 85              | 0 00                         | 3,726 90            | 0 00                              | 0 00                     |
| 170 0000  | 02/21/2013 Various                               |                       |                      |                        |                              |                     |                                   |                          |
| 353514102 | FRANKLIN ELECTRIC INC                            | FELE                  | 1,358 11             | 662 30                 | 0 00                         | 695 81              | 0 00                              | 0 00                     |
| 21.0000   | 02/22/2013 07/26/2010                            |                       |                      |                        |                              |                     |                                   |                          |
| 353514102 | FRANKLIN ELECTRIC INC                            | FELE                  | 1,170 20             | 557 80                 | 0 00                         | 612 41              | 0 00                              | 0 00                     |
| 18.0000   | 02/26/2013 Various                               |                       |                      |                        |                              |                     |                                   |                          |
| 353514102 | FRANKLIN ELECTRIC INC                            | FELE                  | 2,285 05             | 1,080 78               | 0 00                         | 1,204 27            | 0 00                              | 0 00                     |
| 35 0000   | 02/27/2013 07/23/2010                            |                       |                      |                        |                              |                     |                                   |                          |
| 775781206 | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR           | RYCEY                 | 6,012 33             | 4,118 55               | 0 00                         | 1,893.78            | 0 00                              | 0 00                     |
| 78 0000   | 02/27/2013 04/26/2011                            |                       |                      |                        |                              |                     |                                   |                          |
| 191216100 | COCA COLA CO                                     | KO                    | 17,293 19            | 12,430 00              | 0 00                         | 4,863.19            | 0.00                              | 0.00                     |
| 446 0000  | 02/28/2013 Various                               |                       |                      |                        |                              |                     |                                   |                          |
| 191216100 | COCA COLA CO                                     | KO                    | 11,217 10            | 8,070 48               | 0 00                         | 3,146 62            | 0 00                              | 0 00                     |
| 290.0000  | 03/01/2013 Various                               |                       |                      |                        |                              |                     |                                   |                          |
| 589584101 | MERIDIAN BIOSCIENCE INC COM                      | VIVO                  | 4,616.18             | 4,791 85               | 0 00                         | -175.67             | 0 00                              | 0 00                     |
| 217 0000  | 03/01/2013 Various                               |                       |                      |                        |                              |                     |                                   |                          |
| 66987V109 | ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098 | NVS                   | 136 12               | 112 14                 | 0 00                         | 23 98               | 0.00                              | 0 00                     |
| 2 0000    | 03/01/2013 09/27/2010                            |                       |                      |                        |                              |                     |                                   |                          |

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| Cusip     | Quantity Sold | Date of Sale or Exchange | Description                                                       | Stock or Other Symbol |        | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------|-------------------------------------------------------------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                                                   | Bonds, etc            | Stocks |                     |                           |                  |                             |                    |
| 66987V109 |               |                          | ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098                  | NVS                   |        |                     |                           |                  |                             |                    |
| 38 0000   |               | 03/01/2013               | 01/20/2011                                                        | 2,586.36              |        | 2,134.49            | 0.00                      | 451.87           | 0.00                        | 0.00               |
| 037833100 |               |                          | APPLE COMPUTER INC                                                | AAPL                  |        |                     |                           |                  |                             |                    |
| 40 0000   |               | 03/04/2013               | 02/11/2009                                                        | 17,053.67             |        | 3,917.60            | 0.00                      | 13,136.07        | 0.00                        | 0.00               |
| 191216100 |               |                          | COCA COLA CO                                                      | KO                    |        |                     |                           |                  |                             |                    |
| 333 0000  |               | 03/04/2013               | 08/12/2010                                                        | 12,831.30             |        | 9,257.05            | 0.00                      | 3,574.25         | 0.00                        | 0.00               |
| 82930C106 |               |                          | ADR SINGAPORE AIRLTS LTD ADR                                      | SINGY                 |        |                     |                           |                  |                             |                    |
| 329 0000  |               | 03/04/2013               | Various                                                           | 5,664.75              |        | 7,064.97            | 0.00                      | -1,400.22        | 0.00                        | 0.00               |
| 878546209 |               |                          | ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03 | TKPPY                 |        |                     |                           |                  |                             |                    |
| 452 0000  |               | 03/04/2013               | 02/11/2009                                                        | 11,811.72             |        | 3,913.19            | 0.00                      | 7,898.53         | 0.00                        | 0.00               |
| 878546209 |               |                          | ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03 | TKPPY                 |        |                     |                           |                  |                             |                    |
| 459-0000  |               | 03/04/2013               | Various                                                           | 11,994.64             |        | 9,605.97            | 0.00                      | 2,388.67         | 0.00                        | 0.00               |
| 015351109 |               |                          | ALEXION PHARMACEUTICALS INC                                       | ALXN                  |        |                     |                           |                  |                             |                    |
| 290-0000  |               | 03/06/2013               | Various                                                           | 27,065.87             |        | 21,048.38           | 0.00                      | 6,017.49         | 0.00                        | 0.00               |
| 25157Y202 |               |                          | DEUTSCHE POST AG SPONSORED ADR                                    | DPSGY                 |        |                     |                           |                  |                             |                    |
| 468-0000  |               | 03/06/2013               | Various                                                           | 10,849.83             |        | 8,033.67            | 0.00                      | 2,816.16         | 0.00                        | 0.00               |
| 835707100 |               |                          | ADR SONY FINL HLDGS INC ADR                                       | SNFY                  |        |                     |                           |                  |                             |                    |
| 317 0000  |               | 03/06/2013               | 11/18/2009                                                        | 4,884.80              |        | 4,461.78            | 0.00                      | 423.02           | 0.00                        | 0.00               |

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| Cusip     | Description                  | Date of Sale or Exchange | Date of Acquisition | Stocks or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|------------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 00846U101 | AGILENT TECHNOLOGIES INC COM | 03/07/2013               | 02/24/2010          | A                      | 279.50              | 0.00                      | 108.83           | 0.00                        | 0.00               |
| 9 0000    |                              |                          |                     |                        |                     |                           |                  |                             |                    |
| 00971T101 | AKAMAI TECHNOLOGIES INC      | 03/07/2013               | 07/06/2011          | AKAM                   | 347.88              | 0.00                      | 61.05            | 0.00                        | 0.00               |
| 11 0000   |                              |                          |                     |                        |                     |                           |                  |                             |                    |
| 015351109 | ALEXION PHARMACEUTICALS INC  | 03/07/2013               | 11/02/2011          | ALXN                   | 2,477.25            | 0.00                      | 1,018.31         | 0.00                        | 0.00               |
| 38.0000   |                              |                          |                     |                        |                     |                           |                  |                             |                    |
| 03027X100 | AMERICAN TOWER CORP          | 03/07/2013               | 06/05/2009          | AMT                    | 212.34              | 0.00                      | 330.07           | 0.00                        | 0.00               |
| 7 0000    |                              |                          |                     |                        |                     |                           |                  |                             |                    |
| 03662Q105 | ANSYS INC                    | 03/07/2013               | 04/15/2009          | ANSS                   | 158.40              | 0.00                      | 313.51           | 0.00                        | 0.00               |
| 6 0000    |                              |                          |                     |                        |                     |                           |                  |                             |                    |
| 037833100 | APPLE COMPUTER INC           | 03/07/2013               | Various             | AAPL                   | 3,503.43            | 0.00                      | 11,783.96        | 0.00                        | 0.00               |
| 36.0000   |                              |                          |                     |                        |                     |                           |                  |                             |                    |
| 052769106 | AUTODESK, INC                | 03/07/2013               | 04/15/2009          | ADSK                   | 201.36              | 0.00                      | 237.83           | 0.00                        | 0.00               |
| 11.0000   |                              |                          |                     |                        |                     |                           |                  |                             |                    |
| 067383109 | C R BARD INC                 | 03/07/2013               | 02/11/2009          | BCR                    | 341.34              | 0.00                      | 56.32            | 0.00                        | 0.00               |
| 4 0000    |                              |                          |                     |                        |                     |                           |                  |                             |                    |
| 090572207 | BIO RAD LABS INC CL A        | 03/07/2013               | 04/15/2009          | BIO                    | 135.00              | 0.00                      | 109.23           | 0.00                        | 0.00               |
| 2 0000    |                              |                          |                     |                        |                     |                           |                  |                             |                    |

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# 2013 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number  
23-80346  
Recipient's Tax ID Number  
20-7515945

Recipient's Name and Address  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Page 40 of 158  
Ref GSB

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip         | Description                      | Stock or Other Symbol | State Tax Withheld | Federal Income Tax Withheld | Net Gain or Loss | Wash Sale Loss Disallowed | Cost or Other Basis |
|---------------|----------------------------------|-----------------------|--------------------|-----------------------------|------------------|---------------------------|---------------------|
| Quantity Sold | Date of Sale or Exchange         | Date of Acquisition   | Bonds, etc         |                             |                  |                           |                     |
| 099724106     | BORG WARNER AUTOMOTIVE INC       | BWA                   | 463.62             | 476.25                      | 0.00             | 0.00                      | -12.63              |
| 6 0000        | 03/07/2013 02/15/2011            |                       |                    |                             |                  |                           |                     |
| 101121101     | BSTN PPTY INC                    | BXP                   | 416.42             | 196.78                      | 0.00             | 0.00                      | 219.64              |
| 4.0000        | 03/07/2013 06/05/2009            |                       |                    |                             |                  |                           |                     |
| 126408103     | CSX CORP                         | CSX                   | 475.87             | 215.20                      | 0.00             | 0.00                      | 260.67              |
| 21 0000       | 03/07/2013 Various               |                       |                    |                             |                  |                           |                     |
| 222816100     | COVANCE INC                      | CVD                   | 404.80             | 270.62                      | 0.00             | 0.00                      | 134.18              |
| 6 0000        | 03/07/2013 06/05/2009            |                       |                    |                             |                  |                           |                     |
| 231021106     | CUMMINS ENGINE INC               | CMI                   | 585.27             | 131.90                      | 0.00             | 0.00                      | 453.37              |
| 5 0000        | 03/07/2013 02/11/2009            |                       |                    |                             |                  |                           |                     |
| 23331A109     | D R HORTON INC                   | DHI                   | 592.98             | 289.12                      | 0.00             | 0.00                      | 303.86              |
| 25.0000       | 03/07/2013 04/04/2011            |                       |                    |                             |                  |                           |                     |
| 237194105     | DARDEN RESTAURANTS INC COM       | DRI                   | 425.88             | 478.24                      | 0.00             | 0.00                      | -52.36              |
| 9.0000        | 03/07/2013 07/06/2011            |                       |                    |                             |                  |                           |                     |
| 277432100     | EASTMAN CHEMICAL CO COMMON STOCK | EMN                   | 503.66             | 322.26                      | 0.00             | 0.00                      | 181.40              |
| 7 0000        | 03/07/2013 02/15/2011            |                       |                    |                             |                  |                           |                     |
| 278265103     | EATON VANCE CORP                 | EV                    | 509.07             | 346.28                      | 0.00             | 0.00                      | 162.79              |
| 13 0000       | 03/07/2013 01/26/2012            |                       |                    |                             |                  |                           |                     |

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# 2013 Tax Information Statement

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Recipient's Name and Address:  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Account Number: 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

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| Cusip     | Description                                    | Stock or Other Symbol |                        | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|------------------------------------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
|           |                                                | Stocks<br>Bonds, etc  | Cost or<br>Other Basis |                              |                     |                                   |                          |
| 30219G108 | EXPRESS SCRIPTS HLDG CO COM                    | ESRX                  |                        |                              |                     |                                   |                          |
| 8 0000    | 03/07/2013 05/20/2009                          | 470.06                | 237.75                 | 0.00                         | 232.31              | 0.00                              | 0.00                     |
| 361448103 | GATX CORP                                      | GMT                   |                        |                              |                     |                                   |                          |
| 9 0000    | 03/07/2013 06/05/2009                          | 456.96                | 239.51                 | 0.00                         | 217.45              | 0.00                              | 0.00                     |
| 369550108 | GENERAL DYNAMICS CORP                          | GD                    |                        |                              |                     |                                   |                          |
| 5 0000    | 03/07/2013 06/05/2009                          | 338.18                | 302.27                 | 0.00                         | 35.91               | 0.00                              | 0.00                     |
| 37940X102 | GLOBAL PMTS INC                                | GPN                   |                        |                              |                     |                                   |                          |
| 7 0000    | 03/07/2013 05/20/2009                          | 332.04                | 228.30                 | 0.00                         | 103.74              | 0.00                              | 0.00                     |
| 415864107 | HARSCO CORPORATION                             | HSC                   |                        |                              |                     |                                   |                          |
| 15 0000   | 03/07/2013 06/05/2009                          | 360.33                | 472.25                 | 0.00                         | -111.92             | 0.00                              | 0.00                     |
| 42330P107 | HELI ENERGY SOLUTIONS GROUP INC COM STK        | HLX                   |                        |                              |                     |                                   |                          |
| 16 0000   | 03/07/2013 06/05/2009                          | 371.84                | 180.58                 | 0.00                         | 191.26              | 0.00                              | 0.00                     |
| 45822P105 | INTEGRYS ENERGY GROUP INC COM STK              | TEG                   |                        |                              |                     |                                   |                          |
| 7 0000    | 03/07/2013 01/27/2012                          | 392.73                | 364.10                 | 0.00                         | 28.63               | 0.00                              | 0.00                     |
| 45865V100 | #REORG/INTERCONTINEN NAME CHANGE INTERCONTI    | ICE                   |                        |                              |                     |                                   |                          |
| 3 0000    | 2014Z1 EFF 11-13-2013<br>03/07/2013 04/15/2009 | 473.93                | 254.40                 | 0.00                         | 219.53              | 0.00                              | 0.00                     |
| 459902102 | INTERNATIONAL GAME TECHNOLOGY                  | IGT                   |                        |                              |                     |                                   |                          |
| 27 0000   | 03/07/2013 01/27/2012                          | 452.50                | 439.34                 | 0.00                         | 13.16               | 0.00                              | 0.00                     |

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# 2013 Tax Information Statement

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 Recipient's Name and Address: Ref GSB  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

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|-----------|---------------|------------------------------|--------------------------|---------------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                              |                          |                     | Bonds, etc            | Stocks |                     |                           |                  |                             |                    |
| 460254105 |               | INTERNATIONAL RECTIFIER CORP |                          |                     | IRF                   |        |                     |                           |                  |                             |                    |
| 21.0000   |               | 03/07/2013                   | 05/20/2009               |                     | 442.51                |        | 298.51              | 0.00                      | 144.00           | 0.00                        | 0.00               |
| 461202103 |               | INTUIT                       |                          |                     | INTU                  |        |                     |                           |                  |                             |                    |
| 9.0000    |               | 03/07/2013                   | 06/05/2009               |                     | 610.63                |        | 250.52              | 0.00                      | 360.11           | 0.00                        | 0.00               |
| 481165108 |               | JOY GLOBAL INC               |                          |                     | JOY                   |        |                     |                           |                  |                             |                    |
| 7.0000    |               | 03/07/2013                   | 04/15/2009               |                     | 431.91                |        | 172.34              | 0.00                      | 259.57           | 0.00                        | 0.00               |
| 493267108 |               | KEYCORP NEW                  |                          |                     | KEY                   |        |                     |                           |                  |                             |                    |
| 46.0000   |               | 03/07/2013                   | 01/27/2012               |                     | 454.00                |        | 367.58              | 0.00                      | 86.42            | 0.00                        | 0.00               |
| 626717102 |               | MURPHY OIL CORP              |                          |                     | MUR                   |        |                     |                           |                  |                             |                    |
| 5.0000    |               | 03/07/2013                   | 03/02/2012               |                     | 307.54                |        | 310.86              | 0.00                      | -3.32            | 0.00                        | 0.00               |
| 651290108 |               | NEWFIELD EXPL CO COM         |                          |                     | NFX                   |        |                     |                           |                  |                             |                    |
| 14.0000   |               | 03/07/2013                   | 05/20/2009               |                     | 325.45                |        | 488.88              | 0.00                      | -163.43          | 0.00                        | 0.00               |
| 682680103 |               | ONEOK INC NEW                |                          |                     | OKE                   |        |                     |                           |                  |                             |                    |
| 10.0000   |               | 03/07/2013                   | 12/11/2009               |                     | 451.81                |        | 211.50              | 0.00                      | 240.31           | 0.00                        | 0.00               |
| 743315103 |               | PROGRESSIVE CORP OHIO        |                          |                     | PGR                   |        |                     |                           |                  |                             |                    |
| 18.0000   |               | 03/07/2013                   | 05/19/2010               |                     | 444.94                |        | 368.79              | 0.00                      | 76.15            | 0.00                        | 0.00               |
| 754730109 |               | RAYMOND JAMES FINANCIAL INC  |                          |                     | RJF                   |        |                     |                           |                  |                             |                    |
| 11.0000   |               | 03/07/2013                   | 01/31/2012               |                     | 504.55                |        | 383.70              | 0.00                      | 120.85           | 0.00                        | 0.00               |

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 LA JOLLA, CA 92037-3708

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THE NORTHERN TRUST COMPANY  
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|-----------|---------------|--------------------------|----------------------------------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                        | Stocks Bonds, etc.    |        |                     |                           |                  |                             |                    |
| 759351604 |               |                          | REINSURANCE GROUP AMER INC COM NEW STK |                       |        |                     |                           |                  |                             |                    |
| 7 0000    |               | 03/07/2013               | 12/08/2009                             | RGA                   | 412.42 | 321.62              | 0.00                      | 90.80            | 0.00                        | 0.00               |
| 760759100 |               |                          | REPUBLIC SVCS INC                      |                       |        |                     |                           |                  |                             |                    |
| 15 0000   |               | 03/07/2013               | 02/11/2009                             | RSG                   | 463.78 | 357.75              | 0.00                      | 106.03           | 0.00                        | 0.00               |
| 810186106 |               |                          | SCOTTS CO CL A                         |                       |        |                     |                           |                  |                             |                    |
| 7 0000    |               | 03/07/2013               | 01/27/2012                             | SMG                   | 321.85 | 333.99              | 0.00                      | -12.14           | 0.00                        | 0.00               |
| 833034101 |               |                          | SNAP ON INC                            |                       |        |                     |                           |                  |                             |                    |
| 7.0000    |               | 03/07/2013               | 07/22/2011                             | SNA                   | 567.29 | 429.53              | 0.00                      | 137.76           | 0.00                        | 0.00               |
| 872540109 |               |                          | TJX COS INC NEW                        |                       |        |                     |                           |                  |                             |                    |
| 12 0000   |               | 03/07/2013               | 04/15/2009                             | TJX                   | 535.06 | 160.14              | 0.00                      | 374.92           | 0.00                        | 0.00               |
| 903236107 |               |                          | URS CORP NEW                           |                       |        |                     |                           |                  |                             |                    |
| 9 0000    |               | 03/07/2013               | 05/13/2010                             | URS                   | 390.70 | 441.39              | 0.00                      | -50.69           | 0.00                        | 0.00               |
| 920355104 |               |                          | VALSPAR CORP                           |                       |        |                     |                           |                  |                             |                    |
| 8 0000    |               | 03/07/2013               | 07/06/2011                             | VAL                   | 495.96 | 288.20              | 0.00                      | 207.76           | 0.00                        | 0.00               |
| 983919101 |               |                          | XILINX INC                             |                       |        |                     |                           |                  |                             |                    |
| 12 0000   |               | 03/07/2013               | 01/05/2011                             | XLNX                  | 457.08 | 353.82              | 0.00                      | 103.26           | 0.00                        | 0.00               |
| G6359F103 |               |                          | NABORS INDUSTRIES LTD                  |                       |        |                     |                           |                  |                             |                    |
| 26 0000   |               | 03/07/2013               | 10/31/2011                             | NBR                   | 429.76 | 491.99              | 0.00                      | -62.23           | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

Page 44 of 158  
 Recipient's Name and Address: Ref GSB  
 JOSEPH A.W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number: 23-80346  
 Recipient's Tax ID Number: 20-7515945

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

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|-----------|---------------|--------------------------|---------------------------------------------|-----------------------|-------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                             | Stocks Bonds, etc     | DPSGY |                     |                           |                  |                             |                    |
| 25157Y202 | 57.0000       | 03/08/2013               | DEUTSCHE POST AG SPONSORED ADR              | 1,345.98              | DPSGY | 844.09              | 0.00                      | 501.89           | 0.00                        | 0.00               |
| 25157Y202 | 126.0000      | 03/08/2013               | DEUTSCHE POST AG SPONSORED ADR              | 2,975.31              | DPSGY | 1,735.66            | 0.00                      | 1,239.65         | 0.00                        | 0.00               |
| 48020T101 | 1020.0000     | 03/18/2013               | THE JONES GROUP INC COMMON STOCK            | 12,972.58             | JNY   | 11,077.60           | 0.00                      | 1,894.98         | 0.00                        | 0.00               |
| 072730302 | 105.0000      | 03/19/2013               | BAYER A G 00                                | 10,578.51             | BAYRY | 6,691.84            | 0.00                      | 3,886.67         | 0.00                        | 0.00               |
| 535223200 | 637.0000      | 03/19/2013               | LINDE AG-SPONSORED ADR                      | 11,981.46             | LNEGY | 10,709.75           | 0.00                      | 1,271.71         | 0.00                        | 0.00               |
| 767204100 | 81.0000       | 03/19/2013               | RIO TINTO PLC                               | 3,845.24              | RIO   | 2,297.77            | 0.00                      | 1,547.47         | 0.00                        | 0.00               |
| 903845303 | 330.0000      | 03/19/2013               | ULTA SALON COSMETICS & FRAGRANCE INC COMSTK | 24,713.34             | ULTA  | 23,767.48           | 0.00                      | 945.86           | 0.00                        | 0.00               |
| 37636P108 | 502.0000      | 03/20/2013               | ADR GIVAUDAN SA ADR                         | 12,962.38             | GVDNY | 10,636.82           | 0.00                      | 2,325.56         | 0.00                        | 0.00               |
| 988415105 | 234.0000      | 03/20/2013               | ADR YUE YUEN INDL-UNSP ADR ADR              | 3,714.66              | YUEIY | 3,505.32            | 0.00                      | 209.34           | 0.00                        | 0.00               |

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|-----------|---------------|-------------------------------------------------|--------------------------|---------------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                                 |                          |                     | Bonds, etc            | Stocks |                     |                           |                  |                             |                    |
| 25157Y202 |               | DEUTSCHE POST AG SPONSORED ADR                  |                          |                     | DPSGY                 |        |                     |                           |                  |                             |                    |
| 88 0000   |               | 03/21/2013 05/21/2009                           |                          |                     | 2,073 22              |        | 1,176 26            | 0 00                      | 896.96           | 0 00                        | 0.00               |
| 25157Y202 |               | DEUTSCHE POST AG SPONSORED ADR                  |                          |                     | DPSGY                 |        |                     |                           |                  |                             |                    |
| 64 0000   |               | 03/21/2013 09/20/2011                           |                          |                     | 1,507 80              |        | 881 60              | 0 00                      | 626.20           | 0 00                        | 0.00               |
| 988415105 |               | ADR YUE YUEN INDL-UNSP ADR ADR                  |                          |                     | YUEIY                 |        |                     |                           |                  |                             |                    |
| 252.0000  |               | 03/21/2013 11/18/2009                           |                          |                     | 4,010 90              |        | 3,774 96            | 0 00                      | 235 94           | 0 00                        | 0.00               |
| 127055101 |               | CABOT CORP                                      |                          |                     | CBT                   |        |                     |                           |                  |                             |                    |
| 170 0000  |               | 03/22/2013 12/04/2009                           |                          |                     | 5,865 19              |        | 4,170 32            | 0 00                      | 1,694.87         | 0.00                        | 0.00               |
| 988415105 |               | ADR YUE YUEN INDL-UNSP ADR ADR                  |                          |                     | YUEIY                 |        |                     |                           |                  |                             |                    |
| 321 0000  |               | 03/22/2013 Various                              |                          |                     | 5,168.89              |        | 4,631 68            | 0 00                      | 537 21           | 0 00                        | 0.00               |
| 360271100 |               | FULTON FINANCIAL CORP PENNSYLVANIA              |                          |                     | FULT                  |        |                     |                           |                  |                             |                    |
| 211.0000  |               | 03/25/2013 05/28/2010                           |                          |                     | 2,487 63              |        | 2,144.10            | 0 00                      | 343 53           | 0 00                        | 0.00               |
| 00206R102 |               | AT&T INC                                        |                          |                     | T                     |        |                     |                           |                  |                             |                    |
| 74 0000   |               | 03/27/2013 02/01/2011                           |                          |                     | 2,702.44              |        | 2,055.04            | 0 00                      | 647.40           | 0 00                        | 0.00               |
| 00507K103 |               | #REORG/ACTAVIS INC STK MERGER ACTAVIS PLC       |                          |                     | ACT                   |        |                     |                           |                  |                             |                    |
| 19.0000   |               | 2R1PAW1 EFF 10-01-2013<br>03/27/2013 02/01/2011 |                          |                     | 1,728.23              |        | 1,047.97            | 0 00                      | 680.26           | 0 00                        | 0.00               |
| 03073E105 |               | AMERISOURCE BERGEN CORP                         |                          |                     | ABC                   |        |                     |                           |                  |                             |                    |
| 30.0000   |               | 03/27/2013 02/01/2011                           |                          |                     | 1,519 13              |        | 1,098 86            | 0 00                      | 420 27           | 0 00                        | 0.00               |

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|           |               |                          |                                      | Bonds, etc.           | Cost or Other Basis |                           |                  |                             |                    |
| 037411105 |               |                          | APACHE CORP                          | APA                   |                     |                           |                  |                             |                    |
| 19 0000   |               | 03/27/2013               | 02/01/2011                           | 1,439 79              | 2,262 93            | 0 00                      | -823.14          | 0 00                        | 0 00               |
| 064058100 |               |                          | BANK NEW YORK MELLON CORP COM STK    | BK                    |                     |                           |                  |                             |                    |
| 87.0000   |               | 03/27/2013               | 02/01/2011                           | 2,421.15              | 2,769.17            | 0 00                      | -348.02          | 0 00                        | 0 00               |
| 071813109 |               |                          | BAXTER INTL INC                      | BAX                   |                     |                           |                  |                             |                    |
| 34.0000   |               | 03/27/2013               | 03/13/2009                           | 2,443 67              | 1,715.70            | 0 00                      | 727 97           | 0 00                        | 0 00               |
| 099724106 |               |                          | BORG WARNER AUTOMOTIVE INC           | BWA                   |                     |                           |                  |                             |                    |
| 24 0000   |               | 03/27/2013               | 12/15/2011                           | 1,857 49              | 1,514 39            | 0.00                      | 343 10           | 0 00                        | 0 00               |
| 166764100 |               |                          | CHEVRONTXACO CORP COM                | CVX                   |                     |                           |                  |                             |                    |
| 12.0000   |               | 03/27/2013               | 02/01/2011                           | 1,443 63              | 1,149 66            | 0 00                      | 293 97           | 0 00                        | 0 00               |
| 260003108 |               |                          | DOVER CORP                           | DOV                   |                     |                           |                  |                             |                    |
| 28.0000   |               | 03/27/2013               | 02/01/2011                           | 2,020 19              | 1,814 74            | 0.00                      | 205 45           | 0.00                        | 0 00               |
| 35671D857 |               |                          | FREEMPORT MCMORAN C & G CL B COM STK | FCX                   |                     |                           |                  |                             |                    |
| 68.0000   |               | 03/27/2013               | 02/01/2011                           | 2,267 06              | 3,836 39            | 0 00                      | -1,569 33        | 0 00                        | 0 00               |
| 369604103 |               |                          | GENERAL ELECTRIC CO                  | GE                    |                     |                           |                  |                             |                    |
| 209 0000  |               | 03/27/2013               | 02/01/2011                           | 4,821 53              | 4,314 81            | 0 00                      | 506 72           | 0 00                        | 0 00               |
| 406216101 |               |                          | HALLIBURTON CO                       | HAL                   |                     |                           |                  |                             |                    |
| 75 0000   |               | 03/27/2013               | 11/08/2011                           | 3,049 79              | 2,912 65            | 0 00                      | 137 14           | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

Recipient's Name and Address  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Page 47 of 158  
 Ref GSB

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                                           | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stock or Other Symbol |                        | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|-------------------------------------------------------|--------------------------------|------------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
|           |                                                       |                                |                        | Stocks<br>Bonds, etc. | Cost or<br>Other Basis |                              |                     |                                   |                          |
| 42809H107 | HESS CORP COM STK                                     | 03/27/2013                     | 07/15/2011             | HES                   | 3,312.96               | 0.00                         | -23.46              | 0.00                              | 0.00                     |
| 46 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |
| 438516106 | HONEYWELL INTL INC                                    | 03/27/2013                     | 02/01/2011             | HON                   | 3,000.15               | 0.00                         | 745.01              | 0.00                              | 0.00                     |
| 40 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |
| 458140100 | INTEL CORP                                            | 03/27/2013                     | 02/01/2011             | INTC                  | 1,422.16               | 0.00                         | 26.81               | 0.00                              | 0.00                     |
| 65 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |
| 594918104 | MICROSOFT CORP COM                                    | 03/27/2013                     | 02/01/2011             | MSFT                  | 1,415.96               | 0.00                         | 25.37               | 0.00                              | 0.00                     |
| 50 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |
| 65339F101 | NEXTERA ENERGY INC COM                                | 03/27/2013                     | 02/11/2009             | NEE                   | 1,462.02               | 0.00                         | 507.16              | 0.00                              | 0.00                     |
| 19 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |
| 744573106 | PUBLIC SERVICE ENTERPRISE GROUP INC , COMMON<br>STOCK | 03/27/2013                     | 02/01/2011             | PEG                   | 1,413.28               | 0.00                         | 45.72               | 0.00                              | 0.00                     |
| 42 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |
| 80004C101 | SANDISK CORP                                          | 03/27/2013                     | 02/08/2011             | SNDK                  | 1,761.82               | 0.00                         | 173.86              | 0.00                              | 0.00                     |
| 32 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |
| 88732J207 | TIME WARNER CABLE INC COM                             | 03/27/2013                     | 02/01/2011             | TWC                   | 1,097.11               | 0.00                         | 436.45              | 0.00                              | 0.00                     |
| 16 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |
| 913017109 | UNITED TECHNOLOGIES CORP                              | 03/27/2013                     | 02/01/2011             | UTX                   | 2,409.69               | 0.00                         | 284.78              | 0.00                              | 0.00                     |
| 26 0000   |                                                       |                                |                        |                       |                        |                              |                     |                                   |                          |

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# 2013 Tax Information Statement

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Recipient's Name and Address.  
JOSEPH A W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Account Number. 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

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| Cusip     | Quantity Sold | Date of Sale or Exchange | Description                                 | Stock or Other Symbol |           | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------|---------------------------------------------|-----------------------|-----------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                             | Bonds, etc            | Stocks    |                     |                           |                  |                             |                    |
| 949746101 |               |                          | WELLS FARGO & CO NEW                        |                       |           |                     |                           |                  |                             |                    |
| 56 0000   |               | 03/27/2013               | 05/02/2011                                  | WFC                   | 2,067.46  | 1,630.66            | 0.00                      | 436.80           | 0.00                        | 0.00               |
| 010199305 |               |                          | AKZO N V SPONSORED ADR 00                   |                       |           |                     |                           |                  |                             |                    |
| 226 0000  |               | 03/28/2013               | 07/14/2011                                  | AKZOY                 | 4,824.01  | 4,547.76            | 0.00                      | 276.25           | 0.00                        | 0.00               |
| 626188106 |               |                          | ADR MUNICH RE GROUP ADR                     |                       |           |                     |                           |                  |                             |                    |
| 163.0000  |               | 03/28/2013               | 05/18/2010                                  | MURGY                 | 3,049.44  | 2,155.11            | 0.00                      | 894.33           | 0.00                        | 0.00               |
| 626188106 |               |                          | ADR MUNICH RE GROUP ADR                     |                       |           |                     |                           |                  |                             |                    |
| 129 0000  |               | 03/28/2013               | 07/21/2011                                  | MURGY                 | 2,413.36  | 1,980.82            | 0.00                      | 432.54           | 0.00                        | 0.00               |
| 758204200 |               |                          | ADR REED ELSEVIER N V SPONSORED ADR NEW STK |                       |           |                     |                           |                  |                             |                    |
| 258 0000  |               | 03/28/2013               | Various                                     | ENL                   | 8,781.68  | 6,474.13            | 0.00                      | 2,307.55         | 0.00                        | 0.00               |
| 92857W209 |               |                          | VODAFONE GROUP PLC SPONSORED ADR            |                       |           |                     |                           |                  |                             |                    |
| 155.0000  |               | 03/28/2013               | 01/31/2012                                  | VOD                   | 4,384.08  | 4,216.77            | 0.00                      | 167.31           | 0.00                        | 0.00               |
| 210313102 |               |                          | CONSTANT CONTACT INC COM STK                |                       |           |                     |                           |                  |                             |                    |
| 112.0000  |               | 04/03/2013               | 04/14/2010                                  | CTCT                  | 1,379.23  | 2,820.75            | 0.00                      | -1,441.52        | 0.00                        | 0.00               |
| 577767106 |               |                          | MAXWELL TECHNOLOGIES INC COM                |                       |           |                     |                           |                  |                             |                    |
| 42 0000   |               | 04/03/2013               | 03/30/2012                                  | MXWL                  | 206.70    | 781.76              | 0.00                      | -575.06          | 0.00                        | 0.00               |
| 758204200 |               |                          | ADR REED ELSEVIER N V SPONSORED ADR NEW STK |                       |           |                     |                           |                  |                             |                    |
| 408 0000  |               | 04/03/2013               | 12/14/2010                                  | ENL                   | 13,960.97 | 10,056.38           | 0.00                      | 3,904.59         | 0.00                        | 0.00               |

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 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

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| Cusip         | Description                                 | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|---------------------------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange                    | Date of Acquisition   | Stocks Bonds, etc.  |                           |                  |                             |                    |
| 758204200     | ADR REED ELSEVIER N V SPONSORED ADR NEW STK |                       | ENL                 |                           |                  |                             |                    |
| 74 0000       | 04/03/2013 11/03/2011                       |                       | 2,532 14            | 0.00                      | 689 86           | 0.00                        | 0.00               |
| 775781206     | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR      |                       | RYCEY               |                           |                  |                             |                    |
| 80.0000       | 04/03/2013 04/26/2011                       |                       | 6,827 17            | 0.00                      | 2,603 01         | 0.00                        | 0.00               |
| 210313102     | CONSTANT CONTACT INC COM STK                |                       | CTCT                |                           |                  |                             |                    |
| 120.0000      | 04/04/2013 Various                          |                       | 1,497 52            | 0.00                      | -1,448.98        | 0.00                        | 0.00               |
| 535223200     | LINDE AG-SPONSORED ADR                      |                       | LNEGY               |                           |                  |                             |                    |
| 318 0000      | 04/04/2013 02/23/2012                       |                       | 5,946 47            | 0.00                      | 600.00           | 0.00                        | 0.00               |
| 577767106     | MAXWELL TECHNOLOGIES INC COM                |                       | MXWL                |                           |                  |                             |                    |
| 525 0000      | 04/04/2013 Various                          |                       | 2,596 42            | 0.00                      | -6,983 22        | 0.00                        | 0.00               |
| 80105N105     | SANOFLAVENTIS SPONSORED ADR                 |                       | SNY                 |                           |                  |                             |                    |
| 269.0000      | 04/04/2013 11/18/2009                       |                       | 13,747 17           | 0.00                      | 3,483 26         | 0.00                        | 0.00               |
| 775781206     | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR      |                       | RYCEY               |                           |                  |                             |                    |
| 53.0000       | 04/08/2013 Various                          |                       | 4,415 87            | 0.00                      | 1,696 03         | 0.00                        | 0.00               |
| 716473103     | ADR PETROFAC LTD ADS                        |                       | POFCY               |                           |                  |                             |                    |
| 107 0000      | 04/10/2013 06/26/2011                       |                       | 1,163.05            | 0.00                      | -181 39          | 0.00                        | 0.00               |
| 761655505     | ADR REXAM PLC SPONSORED ADR COM NO PAR      |                       | REXMY               |                           |                  |                             |                    |
| 10 0000       | 04/10/2013 10/12/2010                       |                       | 406 88              | 0.00                      | 125 44           | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address  
JOSEPH A.W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

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| Cusip     | Description                            | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|----------------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 535223200 | LINDE AG-SPONSORED ADR                 | 04/11/2013               | 02/23/2012          | LNEG                  | 3,278.50            | 0.00                      | 394.60           | 0.00                        | 0.00               |
| 195.0000  |                                        |                          |                     |                       |                     |                           |                  |                             |                    |
| 716473103 | ADR PETROFAC LTD ADS                   | 04/11/2013               | 06/26/2011          | POFCY                 | 3,895.12            | 0.00                      | -524.69          | 0.00                        | 0.00               |
| 310.0000  |                                        |                          |                     |                       |                     |                           |                  |                             |                    |
| 761655505 | ADR REXAM PLC SPONSORED ADR COM NO PAR | 04/11/2013               | 10/12/2010          | REXMY                 | 5,797.66            | 0.00                      | 2,501.84         | 0.00                        | 0.00               |
| 206.0000  |                                        |                          |                     |                       |                     |                           |                  |                             |                    |
| 775781206 | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR | 04/11/2013               | 03/30/2011          | RYCEY                 | 1,906.84            | 0.00                      | 1,403.66         | 0.00                        | 0.00               |
| 38.0000   |                                        |                          |                     |                       |                     |                           |                  |                             |                    |
| 268648102 | EMC CORP COM                           | 04/12/2013               | Various             | EMC                   | 25,635.15           | 0.00                      | -4,276.44        | 0.00                        | 0.00               |
| 942.0000  |                                        |                          |                     |                       |                     |                           |                  |                             |                    |
| 268648102 | EMC CORP COM                           | 04/16/2013               | Various             | EMC                   | 19,389.15           | 0.00                      | -2,570.07        | 0.00                        | 0.00               |
| 738.0000  |                                        |                          |                     |                       |                     |                           |                  |                             |                    |
| 502175102 | LTC PPTYS INC COM                      | 04/16/2013               | 11/10/2010          | LTC                   | 1,311.45            | 0.00                      | 761.10           | 0.00                        | 0.00               |
| 48.0000   |                                        |                          |                     |                       |                     |                           |                  |                             |                    |
| 502175102 | LTC PPTYS INC COM                      | 04/16/2013               | 05/31/2011          | LTC                   | 871.26              | 0.00                      | 424.09           | 0.00                        | 0.00               |
| 30.0000   |                                        |                          |                     |                       |                     |                           |                  |                             |                    |
| 835707100 | ADR SONY FINL HLDGS INC ADR            | 04/16/2013               | Various             | SNYFY                 | 20,255.77           | 0.00                      | -1,068.99        | 0.00                        | 0.00               |
| 1479.0000 |                                        |                          |                     |                       |                     |                           |                  |                             |                    |

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# 2013 Tax Information Statement

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Account Number 23-80346  
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|-----------|----------------------------------------------------|-----------------------|---------------|--------------------------|---------------------|-------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 360271100 | FULTON FINANCIAL CORP PENNSYLVANIA                 | FULT                  | 86 0000       | 04/18/2013               | Various             | 894 81            | 839 25              | 0 00                      | 55 56            | 0 00                        | 0 00               |
| 360271100 | FULTON FINANCIAL CORP PENNSYLVANIA                 | FULT                  | 176.0000      | 04/18/2013               | Various             | 1,831 23          | 1,762 43            | 0 00                      | 68.80            | 0 00                        | 0 00               |
| 110394103 | BRISTOW GROUP INC COM                              | BRS                   | 181 0000      | 04/19/2013               | Various             | 10,795 81         | 9,140 38            | 0 00                      | 1,655.43         | 0 00                        | 0 00               |
| 535223200 | LINDE AG-SPONSORED ADR                             | LNEGY                 | 515 0000      | 04/19/2013               | Various             | 9,394 52          | 8,636 45            | 0 00                      | 758 07           | 0 00                        | 0 00               |
| 758932107 | REGIS CORP MINN                                    | RGS                   | 123 0000      | 04/19/2013               | Various             | 2,120 65          | 2,292 84            | 0 00                      | -172 19          | 0 00                        | 0 00               |
| 771195104 | ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104 | RHHBY                 | 153.0000      | 04/23/2013               | 07/27/2010          | 9,345 49          | 4,897 45            | 0 00                      | 4,448 04         | 0 00                        | 0 00               |
| 771195104 | ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104 | RHHBY                 | 22 0000       | 04/23/2013               | 04/04/2012          | 1,343 80          | 957 44              | 0 00                      | 386.36           | 0 00                        | 0 00               |
| 892331307 | TOYOTA MOTOR CORP SP ADR REP2COM                   | TM                    | 98 0000       | 04/23/2013               | 05/03/2011          | 11,061 41         | 7,803 96            | 0 00                      | 3,257 45         | 0 00                        | 0 00               |
| 92857W209 | VODAFONE GROUP PLC SPONSORED ADR                   | VOD                   | 241 0000      | 04/23/2013               | 11/18/2009          | 7,170 76          | 5,485 79            | 0 00                      | 1,684.97         | 0 00                        | 0 00               |

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Page 52 of 158  
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 1385 PARK ROW  
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Account Number: 23-80346  
 Recipient's Tax ID Number: 20-7515945

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|-----------|-------------------------------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 92857W209 | VODAFONE GROUP PLC SPONSORED ADR                |                          |                     | VOD                    |                     |                           |                  |                             |                    |
| 125 0000  | 04/23/2013 01/31/2012                           | 3,719 27                 | 3,400 62            | 0 00                   | 318 65              | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 48667L106 | ADR KDDI CORP ADR                               |                          |                     | KDDIY                  |                     |                           |                  |                             |                    |
| 2280 0000 | 04/24/2013 10/26/2011                           | 25,326 35                | 21,090 34           | 0 00                   | 4,236 01            | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 626188106 | ADR MUNICH RE GROUP ADR                         |                          |                     | MURGY                  |                     |                           |                  |                             |                    |
| 347.0000  | 04/26/2013 Various                              | 6,714 67                 | 4,574 54            | 0 00                   | 2,140 13            | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 758204200 | ADR REED ELSEVIER N V SPONSORED ADR NEW STK NEW |                          |                     | ENL                    |                     |                           |                  |                             |                    |
| 39 0000   | 04/30/2013 04/04/2012                           | 1,296 36                 | 988 63              | 0 00                   | 307 73              | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 125137109 | CEC ENTMT INC COM                               |                          |                     | CEC                    |                     |                           |                  |                             |                    |
| 97 0000   | 05/01/2013 Various                              | 3,182 18                 | 3,734 27            | 0 00                   | -552 09             | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 758204200 | ADR REED ELSEVIER N V SPONSORED ADR NEW STK NEW |                          |                     | ENL                    |                     |                           |                  |                             |                    |
| 41.0000   | 05/01/2013 04/04/2012                           | 1,326.31                 | 1,039 33            | 0 00                   | 286 98              | 0 00                      | 0 00             | 0 00                        | 0 00               |
| Y0486S104 | AVAGO TECHNOLOGIES LTD                          |                          |                     | AVGO                   |                     |                           |                  |                             |                    |
| 742 0000  | 05/01/2013 Various                              | 23,456 15                | 25,422 07           | 0 00                   | -1,965 92           | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 149123101 | CATERPILLAR INC                                 |                          |                     | CAT                    |                     |                           |                  |                             |                    |
| 557.0000  | 05/02/2013 Various                              | 46,359 25                | 33,145 95           | 0 00                   | 13,213 30           | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 57636Q104 | MASTERCARD INC CL A                             |                          |                     | MA                     |                     |                           |                  |                             |                    |
| 4.0000    | 05/02/2013 02/13/2012                           | 2,143 82                 | 1,597 66            | 0 00                   | 546 16              | 0 00                      | 0 00             | 0 00                        | 0 00               |

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|-----------|---------------|--------------------------------|--------------------------|---------------------|-----------------------|--|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                |                          |                     | Stocks Bonds, etc.    |  |                     |                           |                  |                             |                    |
| Y0486S104 |               | AVAGO TECHNOLOGIES LTD         |                          |                     | AVGO                  |  |                     |                           |                  |                             |                    |
| 375.0000  |               | 05/02/2013 09/29/2011          |                          |                     | 11,773.08             |  | 12,374.36           | 0.00                      | -601.28          | 0.00                        | 0.00               |
| 501889208 |               | LKQ CORP COM LKQ CORP          |                          |                     | LKQ                   |  |                     |                           |                  |                             |                    |
| 306.0000  |               | 05/03/2013 Various             |                          |                     | 7,527.44              |  | 2,805.76            | 0.00                      | 4,721.68         | 0.00                        | 0.00               |
| 501889208 |               | LKQ CORP COM LKQ CORP          |                          |                     | LKQ                   |  |                     |                           |                  |                             |                    |
| 10.0000   |               | 05/03/2013 02/08/2012          |                          |                     | 245.99                |  | 159.73              | 0.00                      | 86.26            | 0.00                        | 0.00               |
| 502175102 |               | LTC PPTYS INC COM              |                          |                     | LTC                   |  |                     |                           |                  |                             |                    |
| 97.0000   |               | 05/06/2013 Various             |                          |                     | 4,508.01              |  | 2,551.57            | 0.00                      | 1,956.44         | 0.00                        | 0.00               |
| 717081103 |               | PFIZER INC                     |                          |                     | PFE                   |  |                     |                           |                  |                             |                    |
| 472.0000  |               | 05/06/2013 04/19/2012          |                          |                     | 13,574.41             |  | 10,544.40           | 0.00                      | 3,030.01         | 0.00                        | 0.00               |
| Y0486S104 |               | AVAGO TECHNOLOGIES LTD         |                          |                     | AVGO                  |  |                     |                           |                  |                             |                    |
| 378.0000  |               | 05/06/2013 09/29/2011          |                          |                     | 12,135.81             |  | 12,473.35           | 0.00                      | -337.54          | 0.00                        | 0.00               |
| 502175102 |               | LTC PPTYS INC COM              |                          |                     | LTC                   |  |                     |                           |                  |                             |                    |
| 25.0000   |               | 05/07/2013 10/20/2010          |                          |                     | 1,164.67              |  | 655.69              | 0.00                      | 508.98           | 0.00                        | 0.00               |
| 502175102 |               | LTC PPTYS INC COM              |                          |                     | LTC                   |  |                     |                           |                  |                             |                    |
| 55.0000   |               | 05/07/2013 10/20/2011          |                          |                     | 2,562.28              |  | 1,378.67            | 0.00                      | 1,183.61         | 0.00                        | 0.00               |
| 988415105 |               | ADR YUE YUEN INDL-UNSP ADR ADR |                          |                     | YUEIY                 |  |                     |                           |                  |                             |                    |
| 15.0000   |               | 05/08/2013 08/25/2009          |                          |                     | 219.44                |  | 206.40              | 0.00                      | 13.04            | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address.

23-80346

Account Number  
Recipient's Tax ID Number

JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

20-7515945

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                                      | Date of Sale or Exchange | Date of Acquisition | Stocks or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|--------------------------------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 988415105 | ADR YUE YUEN INDL-UNSP ADR ADR                   | 05/08/2013               | 10/11/2011          | YUEIY                  | 4,656.15            | 0.00                      | 332.34           | 0.00                        | 0.00               |
| 341 0000  |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |
| 00507K103 | #REORG/ACTAVIS INC STK MERGER ACTAVIS PLC        | 05/13/2013               | 02/01/2011          | ACT                    | 23,441.52           | 0.00                      | 27,434.81        | 0.00                        | 0.00               |
| 425 0000  |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |
| 83569C102 | ADR SONOVA HLDG AG UNSP ADR                      | 05/14/2013               | 05/02/2012          | SONVY                  | 13,757.42           | 0.00                      | -209.71          | 0.00                        | 0.00               |
| 631 0000  |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |
| 244199105 | DEERE & CO                                       | 05/16/2013               | Various             | DE                     | 33,925.40           | 0.00                      | 19,172.17        | 0.00                        | 0.00               |
| 590.0000  |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |
| 31428X106 | FEDEX CORP COM                                   | 05/16/2013               | Various             | FDX                    | 13,981.63           | 0.00                      | 707.65           | 0.00                        | 0.00               |
| 147 0000  |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |
| 00507K103 | #REORG/ACTAVIS INC STK MERGER ACTAVIS PLC        | 05/20/2013               | 02/01/2011          | ACT                    | 34,362.50           | 0.00                      | 45,253.12        | 0.00                        | 0.00               |
| 623.0000  |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |
| 465562106 | ADR ITAU UNIBANCO HLDG SA SPONSORED ADR          | 05/21/2013               | 05/02/2012          |                        | 5.67                | 0.00                      | 0.34             | 0.00                        | 0.00               |
| 4000      |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |
| 66987V109 | ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098 | 05/22/2013               | Various             | NVS                    | 7,654.12            | 0.00                      | 2,711.67         | 0.00                        | 0.00               |
| 139.0000  |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |
| 758204200 | ADR REED ELSEVIER N V SPONSORED ADR NEW STK      | 05/22/2013               | 12/14/2010          | ENL                    | 1,996.49            | 0.00                      | 715.84           | 0.00                        | 0.00               |
| 81 0000   |                                                  |                          |                     |                        |                     |                           |                  |                             |                    |

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Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

Recipient's Name and Address  
 JOSEPH A.W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

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Ref GSB

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Quantity Sold | Date of Sale or Exchange | Description                                     | Stock or Other Symbol |  | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------|-------------------------------------------------|-----------------------|--|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                                 | Bonds, etc.           |  |                     |                           |                  |                             |                    |
| 758204200 |               |                          | ADR REED ELSEVIER N V SPONSORED ADR NEW STK NEW | ENL                   |  |                     |                           |                  |                             |                    |
| 39 0000   |               | 05/22/2013               | 04/04/2012                                      | 1,305.93              |  | 988.63              | 0.00                      | 317.30           | 0.00                        | 0.00               |
| 892331307 |               |                          | TOYOTA MOTOR CORP SP ADR REP2COM                | TM                    |  |                     |                           |                  |                             |                    |
| 103 0000  |               | 05/22/2013               | 05/03/2011                                      | 13,250.67             |  | 8,202.12            | 0.00                      | 5,048.55         | 0.00                        | 0.00               |
| 82930C106 |               |                          | ADR SINGAPORE AIRLS LTD ADR                     | SINGY                 |  |                     |                           |                  |                             |                    |
| 130.0000  |               | 05/28/2013               | Various                                         | 2,222.04              |  | 2,424.10            | 0.00                      | -202.06          | 0.00                        | 0.00               |
| 82930C106 |               |                          | ADR SINGAPORE AIRLS LTD ADR                     | SINGY                 |  |                     |                           |                  |                             |                    |
| 1118.0000 |               | 05/28/2013               | Various                                         | 19,109.54             |  | 21,700.85           | 0.00                      | -2,591.31        | 0.00                        | 0.00               |
| 881575302 |               |                          | TESCO PLC SPONSORED ADR                         | TSCDY                 |  |                     |                           |                  |                             |                    |
| 113 0000  |               | 05/28/2013               | 12/28/2011                                      | 1,979.66              |  | 2,109.38            | 129.72                    | 0.00             | 0.00                        | 0.00               |
| 988415105 |               |                          | ADR YUE YUEN INDL-UNSP ADR ADR                  | YUEIY                 |  |                     |                           |                  |                             |                    |
| 506.0000  |               | 05/28/2013               | 10/11/2011                                      | 7,182.94              |  | 6,909.12            | 0.00                      | 273.82           | 0.00                        | 0.00               |
| 31428X106 |               |                          | FEDEX CORP COM                                  | FDX                   |  |                     |                           |                  |                             |                    |
| 451.0000  |               | 05/29/2013               | Various                                         | 43,635.88             |  | 38,942.43           | 0.00                      | 4,693.45         | 0.00                        | 0.00               |
| 31428X106 |               |                          | FEDEX CORP COM                                  | FDX                   |  |                     |                           |                  |                             |                    |
| 71 0000   |               | 05/29/2013               | Various                                         | 6,869.51              |  | 6,507.47            | 0.00                      | 362.04           | 0.00                        | 0.00               |
| 82930C106 |               |                          | ADR SINGAPORE AIRLS LTD ADR                     | SINGY                 |  |                     |                           |                  |                             |                    |
| 489.0000  |               | 05/29/2013               | Various                                         | 8,385.95              |  | 6,715.67            | 0.00                      | 1,670.28         | 0.00                        | 0.00               |

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Recipient's Name and Address.

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THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                            | Date of Sale or Exchange | Date of Acquisition | Stocks or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|----------------------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 82930C106 | ADR SINGAPORE AIRLS LTD ADR            | 05/29/2013               | 04/04/2012          | SINGY                  | 1,317.34            | 0.00                      | 3.15             | 0.00                        | 0.00               |
| 717081103 | PFIZER INC                             | 05/30/2013               | Various             | PFE                    | 18,132.59           | 0.00                      | 4,880.08         | 0.00                        | 0.00               |
| 79466L302 | SALESFORCE COM INC COM STK             | 05/30/2013               | 11/23/2010          | CRM                    | 12,948.21           | 0.00                      | 2,403.37         | 0.00                        | 0.00               |
| 79466L302 | SALESFORCE COM INC COM STK             | 05/30/2013               | Various             | CRM                    | 11,851.09           | 0.00                      | 1,563.03         | 0.00                        | 0.00               |
| 82930C106 | ADR SINGAPORE AIRLS LTD ADR            | 05/30/2013               | Various             | SINGY                  | 4,770.46            | 0.00                      | 1,034.28         | 0.00                        | 0.00               |
| 110448107 | BRITISH AMERN TOB PLC SPONSORED ADR    | 05/31/2013               | Various             | BTI                    | 12,120.46           | 0.00                      | 1,548.27         | 0.00                        | 0.00               |
| 110448107 | BRITISH AMERN TOB PLC SPONSORED ADR    | 05/31/2013               | Various             | BTI                    | 7,745.72            | 0.00                      | 1,792.84         | 0.00                        | 0.00               |
| 775781206 | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR | 05/31/2013               | Various             | RYCEY                  | 4,954.42            | 0.00                      | 2,247.76         | 0.00                        | 0.00               |
| 89151E109 | ADR TOTAL SA                           | 05/31/2013               | 11/17/2009          | TOT                    | 8,675.17            | 0.00                      | -2,075.58        | 0.00                        | 0.00               |
| 172.0000  |                                        |                          |                     |                        | 10,750.75           | 0.00                      |                  |                             |                    |

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Recipient's Name and Address  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip            | Description                            | Stock or Other Symbol  | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|------------------|----------------------------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| Quantity<br>Sold | Date of<br>Sale or<br>Exchange         | Date of<br>Acquisition | Cost or<br>Other Basis       |                     |                                   |                          |
| 110448107        | BRITISH AMERN TOB PLC SPONSORED ADR    | BTI                    |                              |                     |                                   |                          |
| 28 0000          | 06/03/2013 02/28/2011                  | 3,090 69               | 2,275.90                     | 814.79              | 0.00                              | 0.00                     |
| 775781206        | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR | RYCEY                  |                              |                     |                                   |                          |
| 45 0000          | 06/03/2013 08/12/2011                  | 4,090 19               | 2,189 30                     | 1,900.89            | 0.00                              | 0.00                     |
| 077454106        | BELDEN INC COM                         | BDC                    |                              |                     |                                   |                          |
| 142.0000         | 06/04/2013 Various                     | 7,480 43               | 1,734.94                     | 5,745.49            | 0.00                              | 0.00                     |
| 37636P108        | ADR GIVAUDAN SA ADR                    | GVDNY                  |                              |                     |                                   |                          |
| 157 0000         | 06/04/2013 10/06/2010                  | 3,326.66               | 3,326.66                     | 672.57              | 0.00                              | 0.00                     |
| 37636P108        | ADR GIVAUDAN SA ADR                    | GVDNY                  |                              |                     |                                   |                          |
| 87.0000          | 06/04/2013 01/27/2011                  | 2,216 13               | 1,781 69                     | 434.44              | 0.00                              | 0.00                     |
| 57636Q104        | MASTERCARD INC CL A                    | MA                     |                              |                     |                                   |                          |
| 16.0000          | 06/04/2013 02/13/2012                  | 9,067 95               | 6,390 66                     | 2,677 29            | 0.00                              | 0.00                     |
| 311642102        | FARO TECHNOLOGIES INC COM              | FARO                   |                              |                     |                                   |                          |
| 211 0000         | 06/06/2013 Various                     | 7,436.56               | 3,277 45                     | 4,159.11            | 0.00                              | 0.00                     |
| 311642102        | FARO TECHNOLOGIES INC COM              | FARO                   |                              |                     |                                   |                          |
| 40 0000          | 06/06/2013 02/08/2012                  | 1,409 78               | 2,222 10                     | -812 32             | 0.00                              | 0.00                     |
| 404280406        | ADR HSBC HLDGS PLC SPONSORED ADR NEW   | HSBC                   |                              |                     |                                   |                          |
| 470 0000         | 06/06/2013 Various                     | 25,676 73              | 25,402 79                    | 273 94              | 0.00                              | 0.00                     |

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# 2013 Tax Information Statement

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THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number  
23-80346  
Recipient's Tax ID Number  
20-7515945

Recipient's Name and Address.  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Ref GSB

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| Cusip     | Quantity Sold | Date of Sale or Exchange | Description                          | Stock or Other Symbol |        | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------|--------------------------------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                      | Bonds, etc.           | Stocks |                     |                           |                  |                             |                    |
| 404280406 |               |                          | ADR HSBC HLDGS PLC SPONSORED ADR NEW | HSBC                  |        |                     |                           |                  |                             |                    |
| 26 0000   |               | 06/06/2013               | 05/02/2012                           | 1,420 42              |        | 1,184 39            | 0 00                      | 236 03           | 0 00                        | 0 00               |
| 254709108 |               |                          | DISCOVER FINL SVCS COM STK           | DFS                   |        |                     |                           |                  |                             |                    |
| 296 0000  |               | 06/10/2013               | Various                              | 14,528 46             |        | 7,725 93            | 0 00                      | 6,802.53         | 0 00                        | 0 00               |
| 747525103 |               |                          | QUALCOMM INC                         | QCOM                  |        |                     |                           |                  |                             |                    |
| 79 0000   |               | 06/10/2013               | 11/05/2010                           | 4,893.24              |        | 3,813 65            | 0 00                      | 1,079.59         | 0 00                        | 0 00               |
| 747525103 |               |                          | QUALCOMM INC                         | QCOM                  |        |                     |                           |                  |                             |                    |
| 494 0000  |               | 06/10/2013               | Various                              | 30,598 26             |        | 28,737 75           | 0 00                      | 1,860 51         | 0 00                        | 0 00               |
| 989825104 |               |                          | ADR ZURICH INS GROUP LTD SPONSORED   | ZURVY                 |        |                     |                           |                  |                             |                    |
| 211 0000  |               | 06/10/2013               | 07/14/2011                           | 5,513 29              |        | 4,771 45            | 0 00                      | 741 84           | 0 00                        | 0 00               |
| 989825104 |               |                          | ADR ZURICH INS GROUP LTD SPONSORED   | ZURVY                 |        |                     |                           |                  |                             |                    |
| 513 0000  |               | 06/11/2013               | 11/18/2009                           | 13,308 16             |        | 9,853 64            | 0 00                      | 3,454 52         | 0 00                        | 0 00               |
| 989825104 |               |                          | ADR ZURICH INS GROUP LTD SPONSORED   | ZURVY                 |        |                     |                           |                  |                             |                    |
| 152 0000  |               | 06/11/2013               | 07/14/2011                           | 3,943 16              |        | 3,437.25            | 0 00                      | 505.91           | 0 00                        | 0 00               |
| 001317205 |               |                          | ADR AIA GROUP LTD SPONSORED ADR      | AAGIY                 |        |                     |                           |                  |                             |                    |
| 49 0000   |               | 06/12/2013               | 05/02/2012                           | 829 15                |        | 715 10              | 0 00                      | 114 05           | 0 00                        | 0 00               |
| 009126202 |               |                          | ADR AIR LIQUIDE ADR                  | AIQUY                 |        |                     |                           |                  |                             |                    |
| 45 0000   |               | 06/12/2013               | 03/17/2011                           | 1,152 87              |        | 1,098 64            | 0 00                      | 54 23            | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address

Account Number 23-80346  
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|-----------|---------------|--------------------------|-------------------------------------------------------|-----------------------|-------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                                       | Stocks                | Bonds, etc. |                     |                           |                  |                             |                    |
| 018805101 |               |                          | ALLIANZ AKTIENGESSELLSCHAFT SPONSORED ADR             | AZSEY                 |             |                     |                           |                  |                             |                    |
| 63 0000   |               | 06/12/2013               | 04/15/2011                                            | 941 45                |             | 939 75              | 0 00                      | 1 70             | 0 00                        | 0 00               |
| 03524A108 |               |                          | ADR ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR          | BUD                   |             |                     |                           |                  |                             |                    |
| 7 0000    |               | 06/12/2013               | Various                                               | 647 17                |             | 514 56              | 0 00                      | 132 61           | 0 00                        | 0 00               |
| 042068106 |               |                          | ARM HLDGS PLC SPONSORED ADR                           | ARMH                  |             |                     |                           |                  |                             |                    |
| 22 0000   |               | 06/12/2013               | 05/02/2012                                            | 883 27                |             | 548 79              | 0 00                      | 334 48           | 0 00                        | 0 00               |
| 049255706 |               |                          | ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG COM SER A | ATKY                  |             |                     |                           |                  |                             |                    |
| 20 0000   |               | 06/12/2013               | 05/02/2012                                            | 503 38                |             | 465 18              | 0 00                      | 38 20            | 0 00                        | 0 00               |
| 055434203 |               |                          | BG PLC ADR FIN INST N                                 | BRGY                  |             |                     |                           |                  |                             |                    |
| 30 0000   |               | 06/12/2013               | 05/02/2012                                            | 546 47                |             | 721 05              | 0 00                      | -174 58          | 0 00                        | 0 00               |
| 12637N105 |               |                          | ADR CSL LTD ADR                                       | CMXHY                 |             |                     |                           |                  |                             |                    |
| 20 0000   |               | 06/12/2013               | 05/02/2012                                            | 548 36                |             | 394 04              | 0 00                      | 154 32           | 0 00                        | 0 00               |
| 136375102 |               |                          | CANADIAN NATL RY CO COM                               | CNI                   |             |                     |                           |                  |                             |                    |
| 6 0000    |               | 06/12/2013               | 05/02/2012                                            | 587 39                |             | 513 38              | 0 00                      | 74 01            | 0 00                        | 0 00               |
| 191459205 |               |                          | ADR COCHLEAR LTD ADR UNSPON                           | CHEOY                 |             |                     |                           |                  |                             |                    |
| 12 0000   |               | 06/12/2013               | 05/02/2012                                            | 322 37                |             | 408 80              | 0 00                      | -86 43           | 0 00                        | 0 00               |
| 23304Y100 |               |                          | DBS GROUP HOLDINGS LTD-SPONSORED ADR                  | DBSDY                 |             |                     |                           |                  |                             |                    |
| 9 0000    |               | 06/12/2013               | 05/04/2009                                            | 453 32                |             | 246 63              | 0 00                      | 206 69           | 0 00                        | 0 00               |

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|-----------|---------------|--------------------------|-------------------------------------------|-----------------------|--|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                           | Stocks Bonds, etc.    |  |                     |                           |                  |                             |                    |
| 237545108 |               |                          | ADR DASSAULT SYS S A SPONSORED ADR        | DASTY                 |  |                     |                           |                  |                             |                    |
| 10.0000   |               | 06/12/2013               | 05/02/2012                                | 1,273.87              |  | 972.98              | 0.00                      | 300.89           | 0.00                        | 0.00               |
| 307305102 |               |                          | FANUC CORPORATION                         | FANUY                 |  |                     |                           |                  |                             |                    |
| 32.0000   |               | 06/12/2013               | 05/02/2012                                | 806.84                |  | 921.22              | 0.00                      | -114.38          | 0.00                        | 0.00               |
| 358029106 |               |                          | FRESENIUS MED CARE AG & CO KGAA EACH REPR | FMS                   |  |                     |                           |                  |                             |                    |
| 10.0000   |               | 06/12/2013               | 05/02/2012                                | 352.45                |  | 351.30              | 0.00                      | 1.15             | 0.00                        | 0.00               |
| 368287207 |               |                          | GAZPROM O A O SPONSORED ADR               | OGZPY                 |  |                     |                           |                  |                             |                    |
| 36.0000   |               | 06/12/2013               | 05/02/2012                                | 246.79                |  | 410.71              | 0.00                      | -163.92          | 0.00                        | 0.00               |
| 45104G104 |               |                          | ADR ICICI BK LTD                          | IBN                   |  |                     |                           |                  |                             |                    |
| 16.0000   |               | 06/12/2013               | 05/02/2012                                | 648.23                |  | 538.14              | 0.00                      | 110.09           | 0.00                        | 0.00               |
| 453038408 |               |                          | IMPERIAL OIL LTD NEW                      | IMO                   |  |                     |                           |                  |                             |                    |
| 11.0000   |               | 06/12/2013               | 05/02/2012                                | 420.09                |  | 512.89              | 92.80                     | 0.00             | 0.00                        | 0.00               |
| 465562106 |               |                          | ADR ITAU UNIBANCO HLDG SA SPONSORED ADR   |                       |  |                     |                           |                  |                             |                    |
| 54.0000   |               | 06/12/2013               | 05/02/2012                                | 747.21                |  | 766.11              | 0.00                      | -18.90           | 0.00                        | 0.00               |
| 466140100 |               |                          | ADR JGC CORP                              | JGCCY                 |  |                     |                           |                  |                             |                    |
| 10.0000   |               | 06/12/2013               | 05/02/2012                                | 679.26                |  | 591.54              | 0.00                      | 87.72            | 0.00                        | 0.00               |
| 502117203 |               |                          | ADR L OREAL CO ADR                        | LRLCY                 |  |                     |                           |                  |                             |                    |
| 26.0000   |               | 06/12/2013               | 05/02/2012                                | 866.90                |  | 633.37              | 0.00                      | 233.53           | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

Recipient's Name and Address.

23-80346  
20-7515945

Account Number  
Recipient's Tax ID Number:

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

JOSEPH A.W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA CA 92037-3708

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Quantity Sold | Date of Sale or Exchange                           | Date of Acquisition | Description | Stock or Other Symbol |        | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|----------------------------------------------------|---------------------|-------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                                    |                     |             | Bonds, etc.           | Stocks |                     |                           |                  |                             |                    |
| 502441306 |               | ADR LVMH MOET HENNESSY LOUIS VUITTON ADR           |                     |             | LVMUY                 |        |                     |                           |                  |                             |                    |
| 11 0000   |               | 06/12/2013                                         | 05/02/2012          |             | 371.89                |        | 371.82              | 0.00                      | 0.07             | 0.00                        | 0.00               |
| 54338V101 |               | ADR LONZA GROUP AG ADR                             |                     |             | LZAGY                 |        |                     |                           |                  |                             |                    |
| 75 0000   |               | 06/12/2013                                         | 05/02/2012          |             | 574.74                |        | 355.31              | 0.00                      | 219.43           | 0.00                        | 0.00               |
| 62474M108 |               | ADR MTN GROUP LTD SPONSORED ADR                    |                     |             | MTNOY                 |        |                     |                           |                  |                             |                    |
| 16.0000   |               | 06/12/2013                                         | 05/02/2012          |             | 269.17                |        | 281.99              | 0.00                      | -12.82           | 0.00                        | 0.00               |
| 641069406 |               | NESTLE S A SPONSORED ADR REPSTG REG SH             |                     |             | NSRGY                 |        |                     |                           |                  |                             |                    |
| 18.0000   |               | 06/12/2013                                         | 11/17/2009          |             | 1,208.33              |        | 853.38              | 0.00                      | 354.95           | 0.00                        | 0.00               |
| 65528V107 |               | ADR NOKIAN TYRES OYJ ADR ADR                       |                     |             | NKRKY                 |        |                     |                           |                  |                             |                    |
| 22 0000   |               | 06/12/2013                                         | 05/02/2012          |             | 443.08                |        | 520.49              | 0.00                      | -77.41           | 0.00                        | 0.00               |
| 771195104 |               | ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104 |                     |             | RHHBY                 |        |                     |                           |                  |                             |                    |
| 16 0000   |               | 06/12/2013                                         | 05/02/2012          |             | 994.24                |        | 728.86              | 0.00                      | 265.38           | 0.00                        | 0.00               |
| 803054204 |               | SAP AKTIENGESSELLSCHAFT SPONSORED ADR              |                     |             | SAP                   |        |                     |                           |                  |                             |                    |
| 17 0000   |               | 06/12/2013                                         | 05/02/2012          |             | 1,293.61              |        | 1,116.14            | 0.00                      | 177.47           | 0.00                        | 0.00               |
| 803866300 |               | ADR SASOL LTD SPONSORED ADR                        |                     |             | SSL                   |        |                     |                           |                  |                             |                    |
| 6 0000    |               | 06/12/2013                                         | 05/02/2012          |             | 251.31                |        | 286.64              | 0.00                      | -35.33           | 0.00                        | 0.00               |
| 806857108 |               | SCHLUMBERGER LTD ISIN #AN8068571086                |                     |             | SLB                   |        |                     |                           |                  |                             |                    |
| 7.0000    |               | 06/12/2013                                         | 05/02/2012          |             | 497.75                |        | 520.19              | 0.00                      | -22.44           | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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 Recipient's Name and Address. Ref GSB  
 JOSEPH A.W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number. 20-7515945

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip         | Description                                   | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|-----------------------------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange                      | Date of Acquisition   | Stocks Bonds, etc   |                           |                  |                             |                    |
| 80687P106     | ADR SCHNEIDER ELEC SA ADR                     |                       | SBGSY               |                           |                  |                             |                    |
| 50 0000       | 06/12/2013                                    | 05/02/2012            | 771 59              | 0 00                      | 150 72           | 0 00                        | 0 00               |
| 83569C102     | ADR SONOVA HLDG AG UNSP ADR                   |                       | SONVY               |                           |                  |                             |                    |
| 6 0000        | 06/12/2013                                    | 05/02/2012            | 136 56              | 0 00                      | 5 74             | 0 00                        | 0 00               |
| 870123106     | ADR SWATCH GROUP AG ADR                       |                       | SWGAY               |                           |                  |                             |                    |
| 12 0000       | 06/12/2013                                    | 05/02/2012            | 347 73              | 0 00                      | 67 41            | 0 00                        | 0 00               |
| 87184P109     | SYSTEMEX CORP ADR                             |                       | SSMXY               |                           |                  |                             |                    |
| 22 0000       | 06/12/2013                                    | Various               | 713 89              | 0 00                      | 271 94           | 0 00                        | 0 00               |
| 874039100     | TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR    |                       | TSM                 |                           |                  |                             |                    |
| 46 0000       | 06/12/2013                                    | 05/02/2012            | 847 44              | 0 00                      | 121.37           | 0 00                        | 0 00               |
| 881575302     | TESCO PLC SPONSORED ADR                       |                       | TSCDY               |                           |                  |                             |                    |
| 23 0000       | 06/12/2013                                    | 05/02/2012            | 371 15              | 0 00                      | 7.88             | 0.00                        | 0.00               |
| 900148701     | ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR |                       | TKGBY               |                           |                  |                             |                    |
| 138.0000      | REPSTG 2000 SHS<br>06/12/2013                 | 05/02/2012            | 626 39              | 0 00                      | 108 82           | 0 00                        | 0 00               |
| 90460M204     | ADR UNICHARM CORP SPONSORED ADR               |                       | UNICY               |                           |                  |                             |                    |
| 67 0000       | 06/12/2013                                    | 05/02/2012            | 789 29              | 0.00                      | 30.33            | 0 00                        | 0 00               |
| 904767704     | ADR UNILEVER PLC SPONSORED ADR NEW            |                       | UL                  |                           |                  |                             |                    |
| 9.0000        | 06/12/2013                                    | 05/02/2012            | 372 89              | 0 00                      | 63 20            | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address.

JOSEPH A W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

23-80346

20-7515945

Account Number.

Recipient's Tax ID Number

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip            | Description                            | Stock or Other Symbol  |                      | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|------------------|----------------------------------------|------------------------|----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| Quantity<br>Sold | Date of<br>Sale or<br>Exchange         | Date of<br>Acquisition | Stocks<br>Bonds, etc |                        |                              |                     |                                   |                          |
| 92937A102        | ADR WPP PLC ADR DR EACH REPR 5 SHS     |                        | WPPGY                |                        |                              |                     |                                   |                          |
| 11 0000          | 06/12/2013                             | 05/02/2012             | 951 05               | 753 55                 | 0 00                         | 197 50              | 0 00                              | 0 00                     |
| G16962105        | BUNGE LIMITED                          |                        | BG                   |                        |                              |                     |                                   |                          |
| 8 0000           | 06/12/2013                             | 05/02/2012             | 566 35               | 523 05                 | 0 00                         | 43 30               | 0 00                              | 0 00                     |
| 783513104        | RYANAIR HLDGS PLC SPONSORED ADR        |                        | RYAAY                |                        |                              |                     |                                   |                          |
| 228 0000         | 06/14/2013                             | 07/25/2011             | 11,492 48            | 6,271 14               | 0 00                         | 5,221 34            | 0 00                              | 0 00                     |
| 042068106        | ARM HLDGS PLC SPONSORED ADR            |                        | ARMH                 |                        |                              |                     |                                   |                          |
| 880 0000         | 06/20/2013                             | 05/02/2012             | 32,541 65            | 21,951 78              | 0 00                         | 10,589 87           | 0 00                              | 0 00                     |
| 126501105        | CTS CORP                               |                        | CTS                  |                        |                              |                     |                                   |                          |
| 615.0000         | 06/20/2013                             | Various                | 7,172 13             | 5 855 13               | 0 00                         | 1,317 00            | 0 00                              | 0 00                     |
| 254709108        | DISCOVER FINL SVCS COM STK             |                        | DFS                  |                        |                              |                     |                                   |                          |
| 155.0000         | 06/20/2013                             | 05/18/2011             | 7,355.62             | 3,940 60               | 0 00                         | 3,415.02            | 0 00                              | 0 00                     |
| 761655505        | ADR REXAM PLC SPONSORED ADR COM NO PAR |                        | REXMY                |                        |                              |                     |                                   |                          |
| 82.0000          | 06/24/2013                             | Various                | 2,930 31             | 2,007 37               | 0 00                         | 922 94              | 0 00                              | 0 00                     |
| 00206R102        | AT&T INC                               |                        | T                    |                        |                              |                     |                                   |                          |
| 44 0000          | 06/25/2013                             | 02/01/2011             | 1,545 12             | 1,221 92               | 0 00                         | 323.20              | 0 00                              | 0 00                     |
| 020002101        | ALLSTATE CORP                          |                        | ALL                  |                        |                              |                     |                                   |                          |
| 41 0000          | 06/25/2013                             | 02/01/2011             | 1,923 27             | 1,294.37               | 0 00                         | 628 90              | 0.00                              | 0 00                     |

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# 2013 Tax Information Statement

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23-80346

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P O BOX 803878  
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Stock or Other Symbol

Description

Cusip

| Quantity Sold | Date of Sale or Exchange          | Date of Acquisition | Stocks Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|-----------------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 025816109     | AMERICAN EXPRESS CO               |                     | AXP                |                     |                           |                  |                             |                    |
| 57.0000       | 06/25/2013                        | 03/16/2011          | 4,169.31           | 2,425.51            | 0.00                      | 1,743.80         | 0.00                        | 0.00               |
| 03073E105     | AMERISOURCE BERGEN CORP           |                     | ABC                |                     |                           |                  |                             |                    |
| 10.0000       | 06/25/2013                        | 02/01/2011          | 534.86             | 366.29              | 0.00                      | 168.57           | 0.00                        | 0.00               |
| 037411105     | APACHE CORP                       |                     | APA                |                     |                           |                  |                             |                    |
| 15.0000       | 06/25/2013                        | 02/01/2011          | 1,238.33           | 1,786.52            | 0.00                      | -548.19          | 0.00                        | 0.00               |
| 064058100     | BANK NEW YORK MELLON CORP COM STK |                     | BK                 |                     |                           |                  |                             |                    |
| 25.0000       | 06/25/2013                        | 02/01/2011          | 705.25             | 795.74              | 0.00                      | -90.49           | 0.00                        | 0.00               |
| 099724106     | BORG WARNER AUTOMOTIVE INC        |                     | BWA                |                     |                           |                  |                             |                    |
| 8.0000        | 06/25/2013                        | 12/15/2011          | 662.65             | 504.80              | 0.00                      | 157.85           | 0.00                        | 0.00               |
| 166764100     | CHEVRONTXACO CORP COM             |                     | CVX                |                     |                           |                  |                             |                    |
| 16.0000       | 06/25/2013                        | 02/01/2011          | 1,873.54           | 1,532.89            | 0.00                      | 340.65           | 0.00                        | 0.00               |
| 20825C104     | CONOCOPHILLIPS                    |                     | COP                |                     |                           |                  |                             |                    |
| 30.0000       | 06/25/2013                        | 02/01/2011          | 1,790.49           | 1,638.66            | 0.00                      | 151.83           | 0.00                        | 0.00               |
| 25157Y202     | DEUTSCHE POST AG SPONSORED ADR    |                     | DPSGY              |                     |                           |                  |                             |                    |
| 1797.0000     | 06/25/2013 Various                |                     | 44,333.01          | 20,763.40           | 0.00                      | 23,569.61        | 0.00                        | 0.00               |
| 260003108     | DOVER CORP                        |                     | DOV                |                     |                           |                  |                             |                    |
| 24.0000       | 06/25/2013                        | 02/01/2011          | 1,841.58           | 1,555.49            | 0.00                      | 286.09           | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

Page 65 of 158

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945  
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 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

THE NORTHERN TRUST COMPANY  
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| Cusip     | Description                         | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stock or Other Symbol |                        | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|-------------------------------------|--------------------------------|------------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
|           |                                     |                                |                        | Bonds, etc            | Cost or<br>Other Basis |                              |                     |                                   |                          |
| 268648102 | EMC CORP COM                        |                                |                        | EMC                   |                        |                              |                     |                                   |                          |
| 43 0000   | 06/25/2013 08/25/2011               |                                |                        | 1,011 76              | 915 78                 | 0.00                         | 95 98               | 0.00                              | 0.00                     |
| 278642103 | EBAY INC                            |                                |                        | EBAY                  |                        |                              |                     |                                   |                          |
| 66 0000   | 06/25/2013 08/19/2010               |                                |                        | 3,407 01              | 1,507 08               | 0.00                         | 1,899 93            | 0.00                              | 0.00                     |
| 30219G108 | EXPRESS SCRIPTS HLDG CO COM         |                                |                        | ESRX                  |                        |                              |                     |                                   |                          |
| 11 0000   | 06/25/2013 01/25/2012               |                                |                        | 673 86                | 578 21                 | 0.00                         | 95 65               | 0.00                              | 0.00                     |
| 35671D857 | FREEPORT MCMORAN C & G CL B COM STK |                                |                        | FCX                   |                        |                              |                     |                                   |                          |
| 35 0000   | 06/25/2013 02/01/2011               |                                |                        | 954.07                | 1,974 61               | 0.00                         | -1,020 54           | 0.00                              | 0.00                     |
| 369604103 | GENERAL ELECTRIC CO                 |                                |                        | GE                    |                        |                              |                     |                                   |                          |
| 101 0000  | 06/25/2013 02/01/2011               |                                |                        | 2,337 25              | 2,085.14               | 0.00                         | 252 11              | 0.00                              | 0.00                     |
| 38141G104 | GOLDMAN SACHS GROUP INC             |                                |                        | GS                    |                        |                              |                     |                                   |                          |
| 10 0000   | 06/25/2013 07/11/2011               |                                |                        | 1,532 02              | 1,318 58               | 0.00                         | 213.44              | 0.00                              | 0.00                     |
| 406216101 | HALLIBURTON CO                      |                                |                        | HAL                   |                        |                              |                     |                                   |                          |
| 3 0000    | 06/25/2013 11/08/2011               |                                |                        | 123 59                | 116 51                 | 0.00                         | 7.08                | 0.00                              | 0.00                     |
| 42809H107 | HESS CORP COM STK                   |                                |                        | HES                   |                        |                              |                     |                                   |                          |
| 42 0000   | 06/25/2013 07/15/2011               |                                |                        | 2,676 70              | 3,046 30               | 0.00                         | -369.60             | 0.00                              | 0.00                     |
| 438516106 | HONEYWELL INTL INC                  |                                |                        | HON                   |                        |                              |                     |                                   |                          |
| 12.0000   | 06/25/2013 02/01/2011               |                                |                        | 931 34                | 676 54                 | 0.00                         | 254 80              | 0.00                              | 0.00                     |

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|-----------|--------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |                                |                          |                     | Stocks Bonds, etc.    | Cost or Other Basis |                           |                  |                             |                    |
| 458140100 | INTEL CORP                     |                          |                     | INTC                  |                     |                           |                  |                             |                    |
| 51 0000   | 06/25/2013                     | 02/01/2011               |                     | 1,207 14              | 1,094.81            | 0.00                      | 112.33           | 0.00                        | 0.00               |
| 46625H100 | J P MORGAN CHASE & CO          |                          |                     | JPM                   |                     |                           |                  |                             |                    |
| 106 0000  | 06/25/2013                     | 02/01/2011               |                     | 5,531 89              | 4,815.95            | 0.00                      | 715.94           | 0.00                        | 0.00               |
| 500255104 | KOHL'S CORP                    |                          |                     | KSS                   |                     |                           |                  |                             |                    |
| 47 0000   | 06/25/2013                     | 05/16/2011               |                     | 2,380 10              | 2,596.68            | 0.00                      | -216.58          | 0.00                        | 0.00               |
| 50540R409 | LABORATORY CORP AMER HLDGS NEW |                          |                     | LH                    |                     |                           |                  |                             |                    |
| 17 0000   | 06/25/2013                     | 02/01/2011               |                     | 1,688.37              | 1,510.76            | 0.00                      | 177.61           | 0.00                        | 0.00               |
| 535223200 | LINDE AG-SPONSORED ADR         |                          |                     | LNEG                  |                     |                           |                  |                             |                    |
| 133 0000  | 06/25/2013                     | 08/27/2009               |                     | 2,420 34              | 1,325.92            | 0.00                      | 1,094.42         | 0.00                        | 0.00               |
| 535223200 | LINDE AG-SPONSORED ADR         |                          |                     | LNEG                  |                     |                           |                  |                             |                    |
| 94 0000   | 06/25/2013                     | 02/15/2011               |                     | 1,710 61              | 1,441.65            | 0.00                      | 268.96           | 0.00                        | 0.00               |
| 594918104 | MICROSOFT CORP COM             |                          |                     | MSFT                  |                     |                           |                  |                             |                    |
| 8.0000    | 06/25/2013                     | 02/01/2011               |                     | 268 11                | 222.50              | 0.00                      | 45.61            | 0.00                        | 0.00               |
| 65339F101 | NEXTERA ENERGY INC COM         |                          |                     | NEE                   |                     |                           |                  |                             |                    |
| 14 0000   | 06/25/2013                     | 02/11/2009               |                     | 1,104 71              | 703.58              | 0.00                      | 401.13           | 0.00                        | 0.00               |
| 68389X105 | ORACLE CORPORATION             |                          |                     | ORCL                  |                     |                           |                  |                             |                    |
| 29 0000   | 06/25/2013                     | 07/14/2011               |                     | 868 23                | 930.75              | 0.00                      | -62.52           | 0.00                        | 0.00               |

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|-----------|---------------------------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------|--------------------|
|           |                                                   |                          |                     | Stocks Bonds, etc.    | Cost or Other Basis |                           |                  | Tax                         | Income |                    |
| 718172109 | PHILIP MORRIS INTERNATIONAL                       |                          |                     | PM                    |                     |                           |                  |                             |        |                    |
| 3.0000    | 06/25/2013 02/01/2011                             |                          |                     | 259.85                | 173.91              | 0.00                      | 85.94            | 0.00                        | 0.00   | 0.00               |
| 742718109 | PROCTER & GAMBLE CO                               |                          |                     | PG                    |                     |                           |                  |                             |        |                    |
| 19.0000   | 06/25/2013 02/01/2011                             |                          |                     | 1,458.52              | 1,205.70            | 0.00                      | 252.82           | 0.00                        | 0.00   | 0.00               |
| 744573106 | PUBLIC SERVICE ENTERPRISE GROUP INC, COMMON STOCK |                          |                     | PEG                   |                     |                           |                  |                             |        |                    |
| 48.0000   | 06/25/2013 02/01/2011                             |                          |                     | 1,551.62              | 1,562.93            | 0.00                      | -11.31           | 0.00                        | 0.00   | 0.00               |
| 758204200 | ADR REED ELSEVIER N V SPONSORED ADR NEW STK NEW   |                          |                     | ENL                   |                     |                           |                  |                             |        |                    |
| 177.0000  | 06/25/2013 Various                                |                          |                     | 5,719.75              | 4,356.83            | 0.00                      | 1,362.92         | 0.00                        | 0.00   | 0.00               |
| 80004C101 | SANDISK CORP                                      |                          |                     | SNDK                  |                     |                           |                  |                             |        |                    |
| 17.0000   | 06/25/2013 02/08/2011                             |                          |                     | 986.18                | 843.60              | 0.00                      | 142.58           | 0.00                        | 0.00   | 0.00               |
| 902973304 | US BANCORP                                        |                          |                     | USB                   |                     |                           |                  |                             |        |                    |
| 63.0000   | 06/25/2013 02/01/2011                             |                          |                     | 2,259.13              | 1,731.15            | 0.00                      | 527.98           | 0.00                        | 0.00   | 0.00               |
| 913017109 | UNITED TECHNOLOGIES CORP                          |                          |                     | UTX                   |                     |                           |                  |                             |        |                    |
| 1.0000    | 06/25/2013 02/01/2011                             |                          |                     | 91.67                 | 81.73               | 0.00                      | 9.94             | 0.00                        | 0.00   | 0.00               |
| 931142103 | WAL MART STORES INC                               |                          |                     | WMT                   |                     |                           |                  |                             |        |                    |
| 19.0000   | 06/25/2013 02/01/2011                             |                          |                     | 1,414.74              | 1,066.70            | 0.00                      | 348.04           | 0.00                        | 0.00   | 0.00               |
| 949746101 | WELLS FARGO & CO NEW                              |                          |                     | WFC                   |                     |                           |                  |                             |        |                    |
| 67.0000   | 06/25/2013 05/02/2011                             |                          |                     | 2,698.97              | 1,950.97            | 0.00                      | 748.00           | 0.00                        | 0.00   | 0.00               |

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# 2013 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:  
JOSEPH A W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

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Ref GSB

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip            | Description                    | Stock or Other Symbol  | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|------------------|--------------------------------|------------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| Quantity<br>Sold | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stocks<br>Bonds, etc.  |                              |                     |                                   |                          |
| 37636P108        | ADR GIVAUDAN SA ADR            |                        |                        |                              |                     |                                   |                          |
| 198 0000         | 06/27/2013                     | 01/27/2011             | GVDNY<br>5,077 53      | 0.00                         | 1,022 65            | 0.00                              | 0.00                     |
| 48667L106        | ADR KDDI CORP ADR              |                        |                        |                              |                     |                                   |                          |
| 317.0000         | 06/27/2013                     | 10/26/2011             | KDDIY<br>3,984.94      | 0.00                         | 1,052.64            | 0.00                              | 0.00                     |
| 48667L106        | ADR KDDI CORP ADR              |                        |                        |                              |                     |                                   |                          |
| 1045.0000        | 06/28/2013                     | 10/26/2011             | KDDIY<br>13,397 52     | 0.00                         | 3,731 11            | 0.00                              | 0.00                     |
| 460254105        | INTERNATIONAL RECTIFIER CORP   |                        |                        |                              |                     |                                   |                          |
| 121 0000         | 07/01/2013                     | 05/20/2009             | IRF<br>2,586.94        | 0.00                         | 866.95              | 0.00                              | 0.00                     |
| 460254105        | INTERNATIONAL RECTIFIER CORP   |                        |                        |                              |                     |                                   |                          |
| 46 0000          | 07/01/2013                     | 05/20/2009             | IRF<br>981.23          | 0.00                         | 327 35              | 0.00                              | 0.00                     |
| G1151C101        | ACCENTURE PLC SHS CL A NEW     |                        |                        |                              |                     |                                   |                          |
| 645 0000         | 07/01/2013                     | 12/20/2010             | ACN<br>46,831 28       | 0.00                         | 15,433 84           | 0.00                              | 0.00                     |
| G1151C101        | ACCENTURE PLC SHS CL A NEW     |                        |                        |                              |                     |                                   |                          |
| 573.0000         | 07/01/2013                     | Various                | ACN<br>41,603 60       | 0.00                         | 9,265.00            | 0.00                              | 0.00                     |
| 460254105        | INTERNATIONAL RECTIFIER CORP   |                        |                        |                              |                     |                                   |                          |
| 216 0000         | 07/02/2013                     | Various                | IRF<br>4,608.63        | 0.00                         | 1,597.44            | 0.00                              | 0.00                     |
| 717081103        | PFIZER INC                     |                        |                        |                              |                     |                                   |                          |
| 475 0000         | 07/02/2013                     | 06/25/2012             | PFE<br>13,128 85       | 0.00                         | 2,433 52            | 0.00                              | 0.00                     |

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# 2013 Tax Information Statement

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Recipient's Name and Address:  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

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| Cusip     | Description                   | Date of Sale or Exchange | Date of Acquisition | Quantity Sold | Stock or Other Symbol | Stocks Bonds, etc | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|-------------------------------|--------------------------|---------------------|---------------|-----------------------|-------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 460254105 | INTERNATIONAL RECTIFIER CORP  | 07/03/2013               | Various             | 331 0000      | IRF                   | 7,067 03          | 4,449 18            | 0 00                      | 2,617 85         | 0 00                        | 0 00               |
| 98978V103 | ZOETIS INC COM USD0 01 CL 'A' | 07/03/2013               | 06/25/2012          | .7400         | ZTS                   | 22 96             | 16.83               | 0 00                      | 6 13             | 0 00                        | 0 00               |
| 251542106 | ADR DEUTSCHE BOERSE ADR       | 07/05/2013               | Various             | 2128 0000     | DBOEY                 | 13,857.09         | 12,442 09           | 0 00                      | 1,415 00         | 0 00                        | 0 00               |
| 460254105 | INTERNATIONAL RECTIFIER CORP  | 07/05/2013               | 03/13/2009          | 241.0000      | IRF                   | 5,188.74          | 3,169.15            | 0 00                      | 2,019 59         | 0 00                        | 0 00               |
| 00206R102 | AT&T INC                      | 07/08/2013               | 02/01/2011          | 1313.0000     | T                     | 46,710 08         | 36,463 06           | 0 00                      | 10,247 02        | 0 00                        | 0 00               |
| 758932107 | REGIS CORP MINN               | 07/08/2013               | 07/01/2009          | 9 0000        | RGS                   | 152 28            | 164 57              | 0 00                      | -12 29           | 0 00                        | 0 00               |
| 80004C101 | SANDISK CORP                  | 07/08/2013               | 02/08/2011          | 613 0000      | SNDK                  | 36,873 33         | 30,419 39           | 0 00                      | 6,453 94         | 0 00                        | 0 00               |
| 758932107 | REGIS CORP MINN               | 07/09/2013               | Various             | 421 0000      | RGS                   | 7,126 40          | 6,867 08            | 0 00                      | 259 32           | 0 00                        | 0 00               |
| 53578A108 | LINKEDIN CORP CL A            | 07/11/2013               | 05/07/2012          | 63 0000       | LNKD                  | 12,383 03         | 7,207 80            | 0 00                      | 5,175 23         | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address.

JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

23-803346

20-7515945

Account Number

Recipient's Tax ID Number.

☐ Corrected ;

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Quantity Sold | Date of Sale or Exchange | Description                            | Stock or Other Symbol |        | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------|----------------------------------------|-----------------------|--------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                                        | Bonds, etc.           | Stocks |                     |                           |                  |                             |                    |
| 577933104 |               |                          | MAXIMUS INC                            |                       | MMS    |                     |                           |                  |                             |                    |
| 133.0000  |               | 07/11/2013               | 02/02/2011                             | 4,670 15              |        | 2,282.47            | 0 00                      | 2,387.68         | 0.00                        | 0 00               |
| 90385D107 |               |                          | ULTIMATE SOFTWARE GROUP INC COM        |                       | ULTI   |                     |                           |                  |                             |                    |
| 56.0000   |               | 07/12/2013               | Various                                | 7,279 97              |        | 1,462 86            | 0 00                      | 5,817 11         | 0.00                        | 0 00               |
| 90385D107 |               |                          | ULTIMATE SOFTWARE GROUP INC COM        |                       | ULTI   |                     |                           |                  |                             |                    |
| 1 0000    |               | 07/12/2013               | 05/08/2012                             | 130 00                |        | 76 26               | 0 00                      | 53 74            | 0.00                        | 0.00               |
| 535223200 |               |                          | LINDE AG-SPONSORED ADR                 |                       | LNEGY  |                     |                           |                  |                             |                    |
| 148 0000  |               | 07/17/2013               | 08/27/2009                             | 2,828 79              |        | 1,475 46            | 0 00                      | 1,353 33         | 0 00                        | 0 00               |
| 775781206 |               |                          | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR |                       | RYCEY  |                     |                           |                  |                             |                    |
| 78 0000   |               | 07/17/2013               | Various                                | 7,021 41              |        | 2,084 58            | 0 00                      | 4,936.83         | 0 00                        | 0.00               |
| 775781206 |               |                          | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR |                       | RYCEY  |                     |                           |                  |                             |                    |
| 8.0000    |               | 07/17/2013               | 08/12/2011                             | 720 15                |        | 389.21              | 0 00                      | 330 94           | 0 00                        | 0 00               |
| 535223200 |               |                          | LINDE AG-SPONSORED ADR                 |                       | LNEGY  |                     |                           |                  |                             |                    |
| 13.0000   |               | 07/18/2013               | 08/27/2009                             | 250 02                |        | 129 60              | 0 00                      | 120 42           | 0.00                        | 0 00               |
| 747525103 |               |                          | QUALCOMM INC                           |                       | QCOM   |                     |                           |                  |                             |                    |
| 338 0000  |               | 07/18/2013               | Various                                | 20,747 80             |        | 15,467 08           | 0 00                      | 5,280 72         | 0 00                        | 0 00               |
| 278642103 |               |                          | EBAY INC                               |                       | EBAY   |                     |                           |                  |                             |                    |
| 154 0000  |               | 07/19/2013               | 04/20/2012                             | 8,126 60              |        | 6,270 25            | 0 00                      | 1,856 35         | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

Account Number 23-80346  
 Recipient's Tax ID Number: 20-7515945  
 Recipient's Name and Address  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

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 Ref GSB

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip         | Description                 | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|-----------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange    | Date of Acquisition   | Stocks Bonds, etc   |                           |                  |                             |                    |
| 501889208     | LKQ CORP COM LKQ CORP       |                       |                     |                           |                  |                             |                    |
| 575 0000      | 07/19/2013 Various          |                       | 14,853 78           | 0 00                      | 11,073 60        | 0 00                        | 0 00               |
| 501889208     | LKQ CORP COM LKQ CORP       |                       |                     |                           |                  |                             |                    |
| 12 0000       | 07/19/2013 05/08/2012       |                       | 309 99              | 0 00                      | 101 67           | 0 00                        | 0 00               |
| 535223200     | LINDE AG-SPONSORED ADR      |                       |                     |                           |                  |                             |                    |
| 173 0000      | 07/19/2013 Various          |                       | 3,308 76            | 0 00                      | 1,849 20         | 0 00                        | 0 00               |
| 535223200     | LINDE AG-SPONSORED ADR      |                       |                     |                           |                  |                             |                    |
| 417 0000      | 07/19/2013 Various          |                       | 8,043 63            | 0 00                      | 4,672 48         | 0 00                        | 0 00               |
| 775711104     | ROLLINS INC                 |                       |                     |                           |                  |                             |                    |
| 206 0000      | 07/19/2013 11/18/2009       |                       | 5,678 71            | 0 00                      | 3,104 77         | 0 00                        | 0 00               |
| 775711104     | ROLLINS INC                 |                       |                     |                           |                  |                             |                    |
| 11 0000       | 07/19/2013 05/08/2012       |                       | 303 23              | 0 00                      | 69 37            | 0 00                        | 0 00               |
| 79466L302     | SALESFORCE COM INC COM STK  |                       |                     |                           |                  |                             |                    |
| 252 0000      | 07/19/2013 12/22/2010       |                       | 8,621 30            | 0 00                      | 2,079 03         | 0 00                        | 0 00               |
| 79466L302     | SALESFORCE COM INC COM STK  |                       |                     |                           |                  |                             |                    |
| 383 0000      | 07/19/2013 Various          |                       | 12,841 34           | 0 00                      | 3,421 47         | 0 00                        | 0 00               |
| 808541106     | SCHWEITZER-MAUDUIT INTL INC |                       |                     |                           |                  |                             |                    |
| 128 0000      | 07/19/2013 Various          |                       | 6,886 67            | 0 00                      | 3,186 06         | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number: 23-80346  
Recipient's Tax ID Number: 20-7515945

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                   | Date of Sale or Exchange | Date of Acquisition | Stocks or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|-------------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 824348106 | SHERWIN WILLIAMS CO           | 07/19/2013               | 01/11/2012          | SHW                    | 21,251.83           | 0.00                      | 17,074.92        | 0.00                        | 0.00               |
| 225 0000  |                               |                          |                     | 38,326.75              |                     |                           |                  |                             |                    |
| 535223200 | LINDE AG-SPONSORED ADR        | 07/23/2013               | 04/15/2009          | LNEGY                  | 943.67              | 0.00                      | 1,310.42         | 0.00                        | 0.00               |
| 119.0000  |                               |                          |                     | 2,254.09               |                     |                           |                  |                             |                    |
| 231021106 | CUMMINS ENGINE INC            | 07/25/2013               | Various             | CMI                    | 11,446.45           | 0.00                      | 175.23           | 0.00                        | 0.00               |
| 100 0000  |                               |                          |                     | 11,621.68              |                     |                           |                  |                             |                    |
| 794661302 | SALESFORCE COM INC COM STK    | 07/25/2013               | Various             | CRM                    | 20,061.53           | 0.00                      | 6,507.31         | 0.00                        | 0.00               |
| 620 0000  |                               |                          |                     | 26,568.84              |                     |                           |                  |                             |                    |
| 009126202 | ADR AIR LIQUIDE ADR           | 07/26/2013               | Various             | AIQUY                  | 11,836.41           | 0.00                      | 1,250.22         | 0.00                        | 0.00               |
| 501.0000  |                               |                          |                     | 13,086.63              |                     |                           |                  |                             |                    |
| 20451N101 | COMPASS MINERALS INTL INC COM | 07/29/2013               | Various             | CMP                    | 3,882.45            | 0.00                      | 546.54           | 0.00                        | 0.00               |
| 50 0000   |                               |                          |                     | 4,428.99               |                     |                           |                  |                             |                    |
| 655044105 | NOBLE ENERGY INC COM          | 07/29/2013               | Various             | NBL                    | 24,581.43           | 0.00                      | 5,628.19         | 0.00                        | 0.00               |
| 487.0000  |                               |                          |                     | 30,209.62              |                     |                           |                  |                             |                    |
| 727493108 | PLANTRONICS INC NEW           | 07/29/2013               | 12/21/2010          | PLT                    | 3,058.04            | 0.00                      | 688.67           | 0.00                        | 0.00               |
| 82 0000   |                               |                          |                     | 3,746.71               |                     |                           |                  |                             |                    |
| 727493108 | PLANTRONICS INC NEW           | 07/29/2013               | Various             | PLT                    | 3,296.21            | 0.00                      | 678.95           | 0.00                        | 0.00               |
| 87 0000   |                               |                          |                     | 3,975.16               |                     |                           |                  |                             |                    |

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# 2013 Tax Information Statement

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Recipient's Name and Address.  
JOSEPH A W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

☐ Corrected

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| Cusip     | Quantity Sold | Date of Sale or Exchange | Description                 | Stock or Other Symbol |                     | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------|-----------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                          |                             | Stocks Bonds, etc     | Cost or Other Basis |                           |                  |                             |                    |
| 231021106 |               |                          | CUMMINS ENGINE INC          | CMI                   |                     |                           |                  |                             |                    |
| 370 0000  |               | 07/31/2013               | Various                     | 44,326 51             | 36,844 30           | 0.00                      | 7,482 21         | 0.00                        | 0.00               |
| 727493108 |               |                          | PLANTRONICS INC NEW         | PLT                   |                     |                           |                  |                             |                    |
| 93 0000   |               | 07/31/2013               | 12/21/2010                  | 4,329.62              | 3,468 27            | 0.00                      | 861.35           | 0.00                        | 0.00               |
| 727493108 |               |                          | PLANTRONICS INC NEW         | PLT                   |                     |                           |                  |                             |                    |
| 1.0000    |               | 07/31/2013               | 03/11/2011                  | 46 56                 | 34.70               | 0.00                      | 11 86            | 0.00                        | 0.00               |
| 743674103 |               |                          | PROTECTIVE LIFE CORPORATION | PL                    |                     |                           |                  |                             |                    |
| 77 0000   |               | 07/31/2013               | 09/27/2010                  | 3,349.75              | 1,636 36            | 0.00                      | 1,713.39         | 0.00                        | 0.00               |
| 743674103 |               |                          | PROTECTIVE LIFE CORPORATION | PL                    |                     |                           |                  |                             |                    |
| 74 0000   |               | 07/31/2013               | 09/23/2011                  | 3,219 24              | 1,126 75            | 0.00                      | 2,092 49         | 0.00                        | 0.00               |
| 57636Q104 |               |                          | MASTERCARD INC CL A         | MA                    |                     |                           |                  |                             |                    |
| 195.0000  |               | 08/01/2013               | Various                     | 125,190 24            | 30,519 19           | 0.00                      | 94,671.05        | 0.00                        | 0.00               |
| 57636Q104 |               |                          | MASTERCARD INC CL A         | MA                    |                     |                           |                  |                             |                    |
| 33 0000   |               | 08/01/2013               | Various                     | 21,186 04             | 12,945 97           | 0.00                      | 8,240 07         | 0.00                        | 0.00               |
| 61166W101 |               |                          | MONSANTO CO NEW             | MON                   |                     |                           |                  |                             |                    |
| 31 0000   |               | 08/01/2013               | 12/22/2010                  | 3,062 00              | 2,061 27            | 0.00                      | 1,000 73         | 0.00                        | 0.00               |
| 61166W101 |               |                          | MONSANTO CO NEW             | MON                   |                     |                           |                  |                             |                    |
| 161 0000  |               | 08/01/2013               | Various                     | 15,902 67             | 12,396 99           | 0.00                      | 3,505.68         | 0.00                        | 0.00               |

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 1385 PARK ROW  
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|-----------|-----------------------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 077454106 | BELDEN INC COM                          | 08/07/2013               | 03/13/2009          | BDC                    | 1,126.61            | 0.00                      | 5,181.18         | 0.00                        | 0.00               |
| 113.0000  |                                         |                          |                     |                        |                     |                           |                  |                             |                    |
| 589584101 | MERIDIAN BIOSCIENCE INC COM             | 08/07/2013               | Various             | VIVO                   | 4,634.63            | 0.00                      | 1,074.15         | 0.00                        | 0.00               |
| 238.0000  |                                         |                          |                     |                        |                     |                           |                  |                             |                    |
| 589584101 | MERIDIAN BIOSCIENCE INC COM             | 08/07/2013               | 04/10/2012          | VIVO                   | 1,841.20            | 0.00                      | 581.44           | 0.00                        | 0.00               |
| 101.0000  |                                         |                          |                     |                        |                     |                           |                  |                             |                    |
| 98978V103 | ZOETIS INC COM USD 01 CL 'A'            | 08/07/2013               | Various             | ZTS                    | 4,295.78            | 0.00                      | 2,343.63         | 0.00                        | 0.00               |
| 215.0800  |                                         |                          |                     |                        |                     |                           |                  |                             |                    |
| 767204100 | RIO TINTO PLC                           | 08/12/2013               | Various             | RIO                    | 7,927.06            | 0.00                      | -394.60          | 0.00                        | 0.00               |
| 152.0000  |                                         |                          |                     |                        |                     |                           |                  |                             |                    |
| 90385D107 | ULTIMATE SOFTWARE GROUP INC COM         | 08/12/2013               | 03/13/2009          | ULTI                   | 754.35              | 0.00                      | 6,160.37         | 0.00                        | 0.00               |
| 47.0000   |                                         |                          |                     |                        |                     |                           |                  |                             |                    |
| 61166W101 | MONSANTO CO NEW                         | 08/19/2013               | Various             | MON                    | 14,873.35           | 0.00                      | 1,346.35         | 0.00                        | 0.00               |
| 169.0000  |                                         |                          |                     |                        |                     |                           |                  |                             |                    |
| 05382A104 | ADR AVIVA PLC ADR                       | 08/20/2013               | 05/18/2011          | AV                     | 17,319.29           | 0.00                      | -2,225.01        | 0.00                        | 0.00               |
| 1204.0000 |                                         |                          |                     |                        |                     |                           |                  |                             |                    |
| 05565A202 | BNP PARIBAS SPONSORED ADR REPSTG 1/4 SH | 08/20/2013               | 06/22/2010          | BNPQY                  | 4,400.03            | 0.00                      | 331.29           | 0.00                        | 0.00               |
| 145.0000  |                                         |                          |                     |                        |                     |                           |                  |                             |                    |

# 2013 Tax Information Statement

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Recipient's Name and Address:

23-80346

Account Number  
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THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

JOSEPH A W CLAYES, III, LIVING TRUST  
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20-7515945

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| Cusip         | Description                                 | Stock or Other Symbol | State Tax Withheld |
|---------------|---------------------------------------------|-----------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange                    | Date of Acquisition   | Net Gain or Loss   |
| 05565A202     | BNP PARIBAS SPONSORED ADR REPSTG 1/4 SH     | BNPDY                 | 0.00               |
| 237.0000      | 08/20/2013 01/21/2011                       | 7,733.26              | -1,290.14          |
| 025676206     | AMERICAN EQUITY INVT LIFE HLDG CO COM       | AEL                   | 0.00               |
| 253.0000      | 08/21/2013 11/18/2009                       | 4,996.71              | 3,102.57           |
| 743674103     | PROTECTIVE LIFE CORPORATION                 | PL                    | 0.00               |
| 201.0000      | 08/22/2013 09/23/2011                       | 8,728.40              | 5,667.89           |
| 783513104     | RYANAIR HLDGS PLC SPONSORED ADR             | RYAAY                 | 0.00               |
| 45.0000       | 08/22/2013 07/25/2011                       | 2,232.89              | 995.16             |
| 085789105     | #REORG/BERRY PETROLEUM STOCK MERG LINNCOLLC | BRY                   | 0.00               |
| 152.0000      | 2K10AL1 12-17-2013 08/23/2013 11/19/2010    | 6,337.91              | 545.33             |
| 085789105     | #REORG/BERRY PETROLEUM STOCK MERG LINNCOLLC | BRY                   | 0.00               |
| 45.0000       | 2K10AL1 12-17-2013 08/23/2013 12/22/2011    | 1,876.36              | 77.21              |
| 278642103     | EBAY INC                                    | EBAY                  | 0.00               |
| 433.0000      | 08/23/2013 Various                          | 22,221.94             | 3,228.09           |
| 89151E109     | ADR TOTAL SA                                | TOT                   | 0.00               |
| 218.0000      | 08/23/2013 Various                          | 12,251.73             | -1,269.82          |
| 89151E109     | ADR TOTAL SA                                | TOT                   | 0.00               |
| 23.0000       | 08/23/2013 02/02/2012                       | 1,292.61              | 56.96              |
|               |                                             | 1,235.65              | 0.00               |

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# 2013 Tax Information Statement

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 Recipient's Name and Address:  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                               | Date of Sale or Exchange | Date of Acquisition | Stocks or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|-------------------------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 085789105 | #REORG/BERRY PETROLEUM STOCK MERG LINCOLL | 08/26/2013               | 11/19/2010          | BRY                    | 543 25              | 0 00                      | 47 83            | 0 00                        | 0 00               |
| 118255108 | BUCKEYE TECHNOLOGIES INC COMMON           | 08/26/2013               | Various             | BKI                    | 17,925 00           | 0 00                      | 4,599 84         | 0 00                        | 0 00               |
| 478 0000  | EBAY INC                                  | 08/26/2013               | Various             | EBAY                   | 12,651 09           | 0 00                      | 3,097 04         | 0 00                        | 0 00               |
| 278642103 | MONSANTO CO NEW                           | 08/26/2013               | Various             | MON                    | 45,951 69           | 0 00                      | 16,490 51        | 0 00                        | 0 00               |
| 245 0000  | MONSANTO CO NEW                           | 08/26/2013               | Various             | MON                    | 6,028 07            | 0 00                      | 732 26           | 0 00                        | 0 00               |
| 61166W101 | ONEOK INC NEW                             | 08/26/2013               | 12/11/2009          | OKE                    | 39,582 25           | 0 00                      | 23,508 44        | 0 00                        | 0 00               |
| 682680103 | AMERICAN EQUITY INVT LIFE HLDG CO COM     | 08/27/2013               | Various             | AEL                    | 3,172 76            | 0 00                      | 2,074 58         | 0 00                        | 0 00               |
| 760 0000  | ADR AVIVA PLC ADR                         | 08/27/2013               | 05/18/2011          | AV                     | 3,740 05            | 0 00                      | -547 07          | 0 00                        | 0 00               |
| 025676206 | CASEYS GEN STORES INC                     | 08/27/2013               | Various             | CASY                   | 6,721 80            | 0 00                      | 1,185 24         | 0 00                        | 0 00               |
| 166 0000  |                                           |                          |                     |                        |                     |                           |                  |                             |                    |
| 05382A104 |                                           |                          |                     |                        |                     |                           |                  |                             |                    |
| 260 0000  |                                           |                          |                     |                        |                     |                           |                  |                             |                    |
| 147528103 |                                           |                          |                     |                        |                     |                           |                  |                             |                    |
| 102 0000  |                                           |                          |                     |                        |                     |                           |                  |                             |                    |

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Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

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 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

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 Ref: GSB

THE NORTHERN TRUST COMPANY  
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 CHICAGO, IL 60680

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Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                       | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stock or Other Symbol |                        | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|-----------------------------------|--------------------------------|------------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
|           |                                   |                                |                        | Stocks<br>Bonds, etc  | Cost or<br>Other Basis |                              |                     |                                   |                          |
| 278642103 | EBAY INC                          |                                |                        | EBAY                  |                        |                              |                     |                                   |                          |
| 258.0000  | 08/27/2013 Various                |                                |                        | 13,216.10             | 8,575.86               | 0.00                         | 4,640.24            | 0.00                              | 0.00                     |
| 46069S109 | INTERSIL HLDG CORP CL A           |                                |                        | ISIL                  |                        |                              |                     |                                   |                          |
| 378.0000  | 08/27/2013 04/17/2012             |                                |                        | 3,925.08              | 4,128.52               | 0.00                         | -203.44             | 0.00                              | 0.00                     |
| 61166W101 | MONSANTO CO NEW                   |                                |                        | MON                   |                        |                              |                     |                                   |                          |
| 192.0000  | 08/27/2013 11/05/2010             |                                |                        | 18,739.14             | 11,887.43              | 0.00                         | 6,851.71            | 0.00                              | 0.00                     |
| 716473103 | ADR PETROFAC LTD ADS              |                                |                        | POFCY                 |                        |                              |                     |                                   |                          |
| 654.0000  | 08/27/2013 Various                |                                |                        | 6,709.40              | 8,053.73               | 0.00                         | -1,344.33           | 0.00                              | 0.00                     |
| 767204100 | RIO TINTO PLC                     |                                |                        | RIO                   |                        |                              |                     |                                   |                          |
| 72.0000   | 08/27/2013 06/05/2012             |                                |                        | 3,357.93              | 3,061.16               | 0.00                         | 296.77              | 0.00                              | 0.00                     |
| 81725T100 | SENSIENT TECHNOLOGIES CORP COMMON |                                |                        | SXT                   |                        |                              |                     |                                   |                          |
| 132.0000  | 08/27/2013 Various                |                                |                        | 5,454.30              | 5,007.91               | 0.00                         | 446.39              | 0.00                              | 0.00                     |
| 955306105 | WEST PHARMACEUTICAL SVSC INC      |                                |                        | WST                   |                        |                              |                     |                                   |                          |
| 94.0000   | 08/27/2013 07/15/2011             |                                |                        | 7,225.57              | 4,304.94               | 0.00                         | 2,920.63            | 0.00                              | 0.00                     |
| 61166W101 | MONSANTO CO NEW                   |                                |                        | MON                   |                        |                              |                     |                                   |                          |
| 84.0000   | 08/28/2013 11/05/2010             |                                |                        | 8,148.95              | 5,200.75               | 0.00                         | 2,948.20            | 0.00                              | 0.00                     |
| 716473103 | ADR PETROFAC LTD ADS              |                                |                        | POFCY                 |                        |                              |                     |                                   |                          |
| 3515.0000 | 08/28/2013 Various                |                                |                        | 37,380.68             | 41,416.46              | 0.00                         | -4,035.78           | 0.00                              | 0.00                     |

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# 2013 Tax Information Statement

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Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

Recipient's Name and Address  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
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 LA JOLLA, CA 92037-3708

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THE NORTHERN TRUST COMPANY  
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 CHICAGO, IL 60680

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| Cusip     | Description                            | Quantity Sold | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|----------------------------------------|---------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 628755102 | MURPHY USA INC COM                     |               |                          |                     | MUSA                  |                     |                           |                  |                             |                    |
| 7500      |                                        |               | 09/03/2013               | 03/02/2012          | 29 03                 | 25 91               | 0 00                      | 3 12             | 0 00                        | 0 00               |
| 61166W101 | MONSANTO CO NEW                        |               |                          |                     | MON                   |                     |                           |                  |                             |                    |
| 88 0000   |                                        |               | 09/04/2013               | 11/05/2010          | 8,763 33              | 5,448 41            | 0 00                      | 3,314 92         | 0 00                        | 0 00               |
| 278642103 | EBAY INC                               |               |                          |                     | EBAY                  |                     |                           |                  |                             |                    |
| 1044 0000 |                                        |               | 09/05/2013               | Various             | 54,224 84             | 33,558 80           | 0 00                      | 20,666 04        | 0 00                        | 0 00               |
| 988415105 | ADR YUE YUEN INDL-UNSP ADR ADR         |               |                          |                     | YUEIY                 |                     |                           |                  |                             |                    |
| 486 0000  |                                        |               | 09/06/2013               | Various             | 6,843 47              | 5,649 33            | 0 00                      | 1,194 14         | 0 00                        | 0 00               |
| 988415105 | ADR YUE YUEN INDL-UNSP ADR ADR         |               |                          |                     | YUEIY                 |                     |                           |                  |                             |                    |
| 188.0000  |                                        |               | 09/06/2013               | 10/11/2011          | 2,647 27              | 2,567 03            | 0 00                      | 80 24            | 0 00                        | 0 00               |
| 251542106 | ADR DEUTSCHE BOERSE ADR                |               |                          |                     | DBOEY                 |                     |                           |                  |                             |                    |
| 2387 0000 |                                        |               | 09/09/2013               | Various             | 16,922 82             | 11,876 69           | 0 00                      | 5,246 13         | 0 00                        | 0 00               |
| 917047102 | URBAN OUTFITTERS INC                   |               |                          |                     | URBN                  |                     |                           |                  |                             |                    |
| 488 0000  |                                        |               | 09/11/2013               | Various             | 18,709 35             | 18,301 05           | 0 00                      | 408 30           | 0 00                        | 0 00               |
| 775781206 | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR |               |                          |                     | RYCEY                 |                     |                           |                  |                             |                    |
| 6.0000    | ROLLS-RO SPONSORED ADR                 |               |                          |                     | 536.80                | 141 71              | 0 00                      | 395.09           | 0 00                        | 0 00               |
| 775781206 | ROLLS ROYCE HOLDINGS PLC SPONSORED ADR |               |                          |                     | RYCEY                 |                     |                           |                  |                             |                    |
| 70.0000   | ROLLS-RO SPONSORED ADR                 |               |                          |                     | 6,270 82              | 1,550 87            | 0 00                      | 4,719 95         | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

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 Recipient's Name and Address: Ref GSB  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description              | Stock or Other Symbol | Stocks<br>Bonds, etc. | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|--------------------------|-----------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 415864107 | HARSCO CORPORATION       | HSC                   | 13,000 48             | 12,251 85              | 0 00                         | 748.63              | 0 00                              | 0 00                     |
| 493 0000  | 09/17/2013 Various       |                       |                       |                        |                              |                     |                                   |                          |
| 415864107 | HARSCO CORPORATION       | HSC                   | 5,405.88              | 6,358 12               | 0 00                         | -952.24             | 0 00                              | 0 00                     |
| 205.0000  | 09/17/2013 07/14/2011    |                       |                       |                        |                              |                     |                                   |                          |
| 54338V101 | ADR LONZA GROUP AG ADR   | LZAGY                 | 26,010 71             | 15,254 43              | 0 00                         | 10,756 28           | 0 00                              | 0 00                     |
| 3220.0000 | 09/17/2013 05/02/2012    |                       |                       |                        |                              |                     |                                   |                          |
| 73753A103 | POSTNL N V SPONSORED ADR | PNLYY                 | 354 20                | 323 98                 | 0 00                         | 30.22               | 0.00                              | 0.00                     |
| 89 0000   | 09/17/2013 08/08/2012    |                       |                       |                        |                              |                     |                                   |                          |
| 73753A103 | POSTNL N V SPONSORED ADR | PNLYY                 | 12,003 52             | 10,746 50              | 0 00                         | 1,257 02            | 0.00                              | 0 00                     |
| 3085 0000 | 09/18/2013 Various       |                       |                       |                        |                              |                     |                                   |                          |
| 767204100 | RIO TINTO PLC            | RIO                   | 347 71                | 198 57                 | 0 00                         | 149 14              | 0 00                              | 0 00                     |
| 7 0000    | 09/18/2013 02/11/2009    |                       |                       |                        |                              |                     |                                   |                          |
| 767204100 | RIO TINTO PLC            | RIO                   | 9,934 46              | 8,503 22               | 0 00                         | 1,431 24            | 0 00                              | 0.00                     |
| 200.0000  | 09/18/2013 06/05/2012    |                       |                       |                        |                              |                     |                                   |                          |
| H89231338 | UBS AG SHS COM           | UBS                   | 3,454 55              | 2,783 88               | 0 00                         | 670 67              | 0 00                              | 0 00                     |
| 166.0000  | 09/18/2013 11/18/2009    |                       |                       |                        |                              |                     |                                   |                          |
| H89231338 | UBS AG SHS COM           | UBS                   | 9,094 20              | 7,357 01               | 0 00                         | 1,737 19            | 0 00                              | 0 00                     |
| 437 0000  | 09/18/2013 07/15/2011    |                       |                       |                        |                              |                     |                                   |                          |

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# 2013 Tax Information Statement

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Account Number: 23-80346  
 Recipient's Tax ID Number: 20-7515945

Recipient's Name and Address:  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

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| Cusip         | Description                                  | Stock or Other Symbol |                   | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|----------------------------------------------|-----------------------|-------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange                     | Date of Acquisition   | Stocks Bonds, etc |                     |                           |                  |                             |                    |
| 42330P107     | HELIX ENERGY SOLUTIONS GROUP INC COM STK     |                       | HLX               |                     |                           |                  |                             |                    |
| 251 0000      | 09/19/2013 Various                           |                       | 6,744.83          | 2,322.54            | 0 00                      | 4,422.29         | 0.00                        | 0 00               |
| 38259P508     | GOOGLE INC CL A                              |                       | GOOG              |                     |                           |                  |                             |                    |
| 37 0000       | 09/20/2013 Various                           |                       | 33,310 71         | 23,264 60           | 0 00                      | 10,046 11        | 0.00                        | 0.00               |
| 42330P107     | HELIX ENERGY SOLUTIONS GROUP INC COM STK     |                       | HLX               |                     |                           |                  |                             |                    |
| 629 0000      | 09/20/2013 Various                           |                       | 16,471 41         | 4,004.51            | 0 00                      | 12,466 90        | 0 00                        | 0 00               |
| 42330P107     | HELIX ENERGY SOLUTIONS GROUP INC COM STK     |                       | HLX               |                     |                           |                  |                             |                    |
| 834.0000      | 09/23/2013 Various                           |                       | 21,519.41         | 2,206.87            | 0 00                      | 19,312 54        | 0 00                        | 0.00               |
| 535223200     | LINDE AG-SPONSORED ADR                       |                       | LNEG              |                     |                           |                  |                             |                    |
| 240 0000      | 09/23/2013 04/15/2009                        |                       | 4,821 28          | 1,903.20            | 0 00                      | 2,918.08         | 0.00                        | 0.00               |
| 98418R100     | ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS  |                       | XYIGY             |                     |                           |                  |                             |                    |
| 657.0000      | 09/24/2013 Various                           |                       | 11,804 77         | 7,399 53            | 0 00                      | 4,405.24         | 0 00                        | 0 00               |
| 073730103     | BEAM INC                                     |                       | BEAM              |                     |                           |                  |                             |                    |
| 1144 0000     | 09/30/2013 02/01/2011                        |                       | 73,817 61         | 54,658 17           | 0 00                      | 19,159 44        | 0 00                        | 0 00               |
| 61945C103     | MOSAIC CO/THE                                |                       | MOS               |                     |                           |                  |                             |                    |
| 1115.0000     | 09/30/2013 09/04/2012                        |                       | 47,974 03         | 63,402 46           | 0 00                      | -15,428 43       | 0 00                        | 0 00               |
| 00507K103     | #REORG/ACTAVIS INC STK MERGER ACTAVIS PLC    |                       | ACT               |                     |                           |                  |                             |                    |
| 559.0000      | 2R1PAW1 EFF 10-01-2013<br>10/01/2013 Various |                       | 80,501 59         | 46,226.77           | 0 00                      | 34,274 82        | 0 00                        | 0 00               |

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THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number  
Recipient's Tax ID Number

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20-7515945

☐ Corrected

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1385 PARK ROW  
LA JOLLA, CA 92037-3708

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|-----------|---------------------------------------------------|-----------------------|---------------|--------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 626188106 | ADR MUNICH RE GROUP ADR                           | MURGY                 | 491 0000      | 10/01/2013               | 06/22/2010          | 9,584 48           | 6,400.92            | 0 00                      | 3,183.56         | 0 00                        | 0 00               |
| 767204100 | RIO TINTO PLC                                     | RIO                   | 223 0000      | 10/01/2013               | 02/11/2009          | 10,738 26          | 6,325 95            | 0 00                      | 4,412 31         | 0 00                        | 0 00               |
| 37636P108 | ADR GIVAUDAN SA ADR                               | GVDNY                 | 246 0000      | 10/02/2013               | 01/27/2011          | 6,961.10           | 5,037.89            | 0.00                      | 1,923.21         | 0 00                        | 0 00               |
| 54338V101 | ADR LONZA GROUP AG ADR                            | LZAGY                 | 625.0000      | 10/02/2013               | 05/02/2012          | 5,050 85           | 2,960 87            | 0 00                      | 2,089 98         | 0 00                        | 0 00               |
| 78460A106 | SNAM SPA UNSP ADR EA REPR 2 ORD SHS               | SNMRY                 | 2890 0000     | 10/02/2013               | Various             | 28,952 10          | 27,468 31           | 0 00                      | 1,483 79         | 0 00                        | 0 00               |
| 78460A106 | SNAM SPA UNSP ADR EA REPR 2 ORD SHS               | SNMRY                 | 1683 0000     | 10/02/2013               | Various             | 16,860 34          | 15,706 97           | 0 00                      | 1,153 37         | 0 00                        | 0.00               |
| 54338V101 | ADR LONZA GROUP AG ADR                            | LZAGY                 | 442.0000      | 10/03/2013               | 05/02/2012          | 3,570 73           | 2,093 93            | 0 00                      | 1 476 80         | 0 00                        | 0 00               |
| 535223200 | LINDE AG-SPONSORED ADR                            | LNEGY                 | 176 0000      | 10/07/2013               | 04/15/2009          | 3,463 62           | 1,395 68            | 0 00                      | 2,067 94         | 0 00                        | 0 00               |
| 358029106 | FRESENIUS MED CARE AG & CO KGAA EACH REPR 1/3 NPV | FMS                   | 215 0000      | 10/09/2013               | 05/02/2012          | 6,820 33           | 7,552 87            | 0 00                      | -732 54          | 0 00                        | 0 00               |

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 1385 PARK ROW  
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Account Number 23-80346  
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| Cusip      | Description   |                                           | Stock or Other Symbol |                    |                     | Net Gain or Loss          | Federal Income Tax Withheld | State Tax Withheld |
|------------|---------------|-------------------------------------------|-----------------------|--------------------|---------------------|---------------------------|-----------------------------|--------------------|
|            | Quantity Sold | Date of Sale or Exchange                  | Date of Acquisition   | Stocks Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed |                             |                    |
| 535223200  |               | LINDE AG-SPONSORED ADR                    |                       | LNEG               |                     |                           |                             |                    |
| 76 0000    |               | 10/09/2013                                | 04/15/2009            | 1,450.83           | 602.68              | 0.00                      | 848.15                      | 0.00               |
| 358029106  |               | FRESENIUS MED CARE AG & CO.KGAA EACH REPR |                       | FMS                |                     |                           |                             |                    |
| 439 0000   |               | 1/3 NPV                                   | 10/10/2013            | 13,892.09          | 15,421.92           | 0.00                      | -1,529.83                   | 0.00               |
| 38259P508  |               | GOOGLE INC CL A                           |                       | GOOG               |                     |                           |                             |                    |
| 39.0000    |               | 10/10/2013                                | Various               | 33,635.64          | 29,410.54           | 0.00                      | 4,225.10                    | 0.00               |
| 535223200  |               | LINDE AG-SPONSORED ADR                    |                       | LNEG               |                     |                           |                             |                    |
| 295.0000   |               | 10/10/2013                                | Various               | 5,606.47           | 2,336.21            | 0.00                      | 3,270.26                    | 0.00               |
| 33939L506  |               | MFC FLEXSHARES TR TRIBOX 3 YR TARGET      |                       |                    |                     |                           |                             |                    |
| 34800 0000 |               | DURATION TIPS INDEX FD                    | 10/17/2013            | 869,288.85         | 882,469.77          | 0.00                      | -13,180.92                  | 0.00               |
| 464287226  |               | ISHARES CORE TOTAL BD MKT FD              |                       | AGG                |                     |                           |                             |                    |
| 15800.0000 |               | 10/17/2013                                | Various               | 1,698,628.44       | 1,633,814.39        | 0.00                      | 64,814.05                   | 0.00               |
| 535223200  |               | LINDE AG-SPONSORED ADR                    |                       | LNEG               |                     |                           |                             |                    |
| 149 0000   |               | 10/18/2013                                | 05/08/2009            | 2,882.49           | 1,178.10            | 0.00                      | 1,704.39                    | 0.00               |
| 055434203  |               | BG PLC ADR FIN INST N                     |                       | BRGY               |                     |                           |                             |                    |
| 616.0000   |               | 10/21/2013                                | Various               | 12,302.84          | 12,886.23           | 0.00                      | -583.40                     | 0.00               |
| 41902R103  |               | HATTERAS FINL CORP COM REIT               |                       | HTS                |                     |                           |                             |                    |
| 303 0000   |               | 10/21/2013                                | 03/22/2012            | 5,668.82           | 8,434.97            | 0.00                      | -2,766.15                   | 0.00               |

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Account Number: 23-80346  
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|-----------|---------------|-----------------------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                               |                          |                     | Bonds, etc            | Cost or Other Basis |                           |                  |                             |                    |
| 535223200 |               | LINDE AG-SPONSORED ADR                        |                          |                     | LNEG                  |                     |                           |                  |                             |                    |
| 99 0000   |               | 10/21/2013 05/08/2009                         |                          |                     | 1,917 38              | 782 76              | 0 00                      | 1,134 62         | 0 00                        | 0 00               |
| 57636Q104 |               | MASTERCARD INC CL A                           |                          |                     | MA                    |                     |                           |                  |                             |                    |
| 7 0000    |               | 10/23/2013 03/13/2009                         |                          |                     | 4,990 65              | 1,088 15            | 0 00                      | 3,902.50         | 0 00                        | 0 00               |
| 57636Q104 |               | MASTERCARD INC CL A                           |                          |                     | MA                    |                     |                           |                  |                             |                    |
| 4.0000    |               | 10/23/2013 10/08/2012                         |                          |                     | 2,851.80              | 1,886 46            | 0.00                      | 965.34           | 0.00                        | 0.00               |
| 723787107 |               | PIONEER NAT RES CO                            |                          |                     | PXD                   |                     |                           |                  |                             |                    |
| 33 0000   |               | 10/23/2013 09/14/2012                         |                          |                     | 6,614 36              | 3,803 30            | 0 00                      | 2,811.06         | 0.00                        | 0 00               |
| 03027X100 |               | AMERICAN TOWER CORP                           |                          |                     | AMT                   |                     |                           |                  |                             |                    |
| 20 0000   |               | 10/25/2013 06/05/2009                         |                          |                     | 1,607 45              | 606 68              | 0 00                      | 1,000 77         | 0 00                        | 0.00               |
| 05845R306 |               | ADR BALFOUR BEATTY PLC SPONSORED ADR REPSTG 2 |                          |                     | BAFY                  |                     |                           |                  |                             |                    |
| 172 0000  |               | 10/25/2013 07/09/2012                         |                          |                     | 1,567 55              | 1,693 30            | 0 00                      | -125.75          | 0.00                        | 0.00               |
| 099724106 |               | BORG WARNER AUTOMOTIVE INC                    |                          |                     | BWA                   |                     |                           |                  |                             |                    |
| 13 0000   |               | 10/25/2013 02/15/2011                         |                          |                     | 1,378 58              | 1,031 88            | 0 00                      | 346 70           | 0 00                        | 0 00               |
| 481165108 |               | JOY GLOBAL INC                                |                          |                     | JOY                   |                     |                           |                  |                             |                    |
| 34 0000   |               | 10/25/2013 04/15/2009                         |                          |                     | 1,993 85              | 837 08              | 0 00                      | 1,156.77         | 0 00                        | 0 00               |
| 754730109 |               | RAYMOND JAMES FINANCIAL INC                   |                          |                     | RJF                   |                     |                           |                  |                             |                    |
| 52.0000   |               | 10/25/2013 01/31/2012                         |                          |                     | 2,370 91              | 1,813 87            | 0 00                      | 557 04           | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

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Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

Recipient's Name and Address  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Ref GSB

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                                   | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stock or Other Symbol |                        | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|-----------|-----------------------------------------------|--------------------------------|------------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
|           |                                               |                                |                        | Stocks<br>Bonds, etc. | Cost or<br>Other Basis |                              |                     |                                   |                          |
| 872540109 | TJX COS INC NEW                               | 10/25/2013                     | 04/15/2009             | TJX                   | 1,713.91               | 0.00                         | 1,326.91            | 0.00                              | 0.00                     |
| 920355104 | VALSPAR CORP                                  | 10/25/2013                     | 07/06/2011             | VAL                   | 2,097.06               | 0.00                         | 1,016.31            | 0.00                              | 0.00                     |
| 05845R306 | ADR BALFOUR BEATTY PLC SPONSORED ADR REPSTG 2 | 10/28/2013                     | 07/09/2012             | BAFY                  | 3,785.62               | 0.00                         | -280.28             | 0.00                              | 0.00                     |
| 907818108 | UNION PAC CORP COM                            | 10/28/2013                     | Various                | UNP                   | 19,016.60              | 0.00                         | 4,898.74            | 0.00                              | 0.00                     |
| 233825108 | DAIMLER AG SPONSORED ADR                      | 10/29/2013                     | Various                | DDAII                 | 16,653.83              | 0.00                         | 6,576.63            | 0.00                              | 0.00                     |
| 535223200 | LINDE AG-SPONSORED ADR                        | 10/29/2013                     | 05/08/2009             | LNEGY                 | 3,012.83               | 0.00                         | 1,811.01            | 0.00                              | 0.00                     |
| 89151E109 | ADR TOTAL SA                                  | 10/29/2013                     | 02/02/2012             | TOT                   | 9,536.13               | 0.00                         | 1,262.65            | 0.00                              | 0.00                     |
| 89151E109 | ADR TOTAL SA                                  | 10/30/2013                     | 02/02/2012             | TOT                   | 2,148.96               | 0.00                         | 342.16              | 0.00                              | 0.00                     |
| 907818108 | UNION PAC CORP COM                            | 10/30/2013                     | Various                | UNP                   | 27,852.76              | 0.00                         | 6,789.81            | 0.00                              | 0.00                     |

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THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number  
23-80346  
Recipient's Tax ID Number  
20-7515945

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Recipient's Name and Address.  
JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA CA 92037-3708

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Ref GSB

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| Cusip     | Description                                       | Quantity Sold | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------------------------------------------|---------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| N53745100 | LYONDELLBASELL IND N V COM USD0 01 CL 'A'         | 317.0000      | 10/30/2013               | Various             | LYB                   | 13,625.72           | 0.00                      | 10,312.55        | 0.00                        | 0.00               |
| 535223200 | LINDE AG-SPONSORED ADR                            | 253.0000      | 10/31/2013               | Various             | LNEG                  | 1,861.09            | 0.00                      | 2,966.56         | 0.00                        | 0.00               |
| 640491106 | NEOGEN CORP COM                                   | 5000          | 10/31/2013               | 05/08/2012          | NEOG                  | 12.79               | 0.00                      | 10.32            | 0.00                        | 0.00               |
| 852891100 | STANCORP FINL GROUP INC                           | 43.0000       | 10/31/2013               | 02/13/2012          | SFG                   | 1,686.79            | 0.00                      | 862.95           | 0.00                        | 0.00               |
| 907818108 | UNION PAC CORP COM                                | 380.0000      | 10/31/2013               | Various             | UNP                   | 42,216.67           | 0.00                      | 15,344.87        | 0.00                        | 0.00               |
| 05845R306 | ADR BALFOUR BEATTY PLC SPONSORED ADR REPSTG 2 SHS | 449.0000      | 11/01/2013               | 07/09/2012          | BAFY                  | 4,420.31            | 0.00                      | -365.90          | 0.00                        | 0.00               |
| 096761101 | BOB EVANS FARMS INC COM                           | 129.0000      | 11/01/2013               | Various             | BOBE                  | 2,948.06            | 0.00                      | 4,284.56         | 0.00                        | 0.00               |
| 00508X203 | ACTUANT CORP CL A NEW                             | 132.0000      | 11/04/2013               | 03/06/2012          | ATU                   | 3,599.05            | 0.00                      | 1,509.75         | 0.00                        | 0.00               |
| 826197501 | SIEMENS A G SPONSORED ADR                         | 24.0000       | 11/05/2013               | 11/18/2009          | SI                    | 2,372.07            | 0.00                      | 610.91           | 0.00                        | 0.00               |

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THE NORTHERN TRUST COMPANY  
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| Cusip     | Description                           | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Stocks Bonds, etc | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------------------------------|--------------------------|---------------------|-----------------------|-------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 826197501 | SIEMENS A G SPONSORED ADR             | 11/05/2013               | 09/07/2011          | SI                    | 9,446 10          | 7,362.66            | 0 00                      | 2,083.44         | 0.00                        | 0 00               |
| 57636Q104 | MASTERCARD INC CL A                   | 11/06/2013               | 03/13/2009          | MA                    | 6,603 58          | 1,399 05            | 0 00                      | 5,204 53         | 0 00                        | 0 00               |
| 723787107 | PIONEER NAT RES CO                    | 11/06/2013               | 09/14/2012          | PXD                   | 4,519.32          | 2,535 54            | 0 00                      | 1,983.78         | 0.00                        | 0 00               |
| 892331307 | TOYOTA MOTOR CORP SP ADR REP2COM      | 11/06/2013               | Various             | TM                    | 9,469 46          | 5,779 79            | 0 00                      | 3,689 67         | 0.00                        | 0.00               |
| G60754101 | MICHAEL KORS HOLDINGS LTD COM NPV     | 11/06/2013               | Various             | KORS                  | 17,379.13         | 11,617 99           | 0.00                      | 5,761.14         | 0.00                        | 0 00               |
| 396798103 | GREENWAY MED TECHNOLOGIES INC COM STK | 11/07/2013               | Various             | GWAY                  | 10,032 55         | 9,272.58            | 0 00                      | 759.97           | 0.00                        | 0.00               |
| 891092108 | TORO CO                               | 11/07/2013               | Various             | TTC                   | 6,398 90          | 1,582 08            | 0 00                      | 4,816.82         | 0 00                        | 0 00               |
| 892331307 | TOYOTA MOTOR CORP SP ADR REP2COM      | 11/07/2013               | 02/16/2010          | TM                    | 9,582 90          | 5,786 46            | 0 00                      | 3,796 44         | 0 00                        | 0 00               |
| 892331307 | TOYOTA MOTOR CORP SP ADR REP2COM      | 11/07/2013               | 10/04/2012          | TM                    | 1,639 18          | 1,027.03            | 0 00                      | 612 15           | 0.00                        | 0 00               |

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 Recipient's Name and Address:  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
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|-----------|---------------|-------------------------------------------------|------------------|-------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                                 | Sale or Exchange | Acquisition |                       |                     |                           |                  |                             |                    |
| 096761101 | 117 0000      | BOB EVANS FARMS INC COM                         | 11/08/2013       | Various     | BOBE                  | 2,306 39            | 0.00                      | 3,993 99         | 0.00                        | 0.00               |
| 127097103 | 330 0000      | CABOT OIL & GAS CORP CL A                       | 11/08/2013       | 05/10/2011  | COG                   | 4,631 31            | 0.00                      | 6,271 24         | 0.00                        | 0.00               |
| 758204200 | 11 0000       | ADR REED ELSEVIER N V SPONSORED ADR NEW STK NEW | 11/11/2013       | 11/18/2009  | ENL                   | 268 53              | 0.00                      | 185.66           | 0.00                        | 0.00               |
| 42805T105 | 527 0000      | HERTZ GLOBAL HLDGS INC COM                      | 11/12/2013       | Various     | HTZ                   | 7,824 31            | 0.00                      | 4,151 22         | 0.00                        | 0.00               |
| 758204200 | 132 0000      | ADR REED ELSEVIER N V SPONSORED ADR NEW STK NEW | 11/12/2013       | 11/18/2009  | ENL                   | 3,222.33            | 0.00                      | 2,230 00         | 0.00                        | 0.00               |
| 002535300 | 4 0000        | AARON RENTS INC-CL A                            | 11/14/2013       | 08/29/2012  | AAN                   | 119 35              | 0.00                      | 1 00             | 0.00                        | 0.00               |
| 00507V109 | 10 0000       | ACTIVISION BLIZZARD INC COM STK                 | 11/14/2013       | 03/30/2012  | ATVI                  | 128 37              | 0.00                      | 47 54            | 0.00                        | 0.00               |
| 00846U101 | 3 0000        | AGILENT TECHNOLOGIES INC COM                    | 11/14/2013       | 02/24/2010  | A                     | 93.17               | 0.00                      | 58 05            | 0.00                        | 0.00               |
| 00971T101 | 4.0000        | AKAMAI TECHNOLOGIES INC                         | 11/14/2013       | 07/06/2011  | AKAM                  | 126 50              | 0.00                      | 57 81            | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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 Recipient's Name and Address:  
 JOSEPH A W CLAVES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
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|-----------|--------------------------|---------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 03662Q105 | ANSYS INC                |               |                          |                     | ANSS                  |                     |                           |                  |                             |                    |
| 2 0000    | 11/14/2013               | 04/15/2009    | 168 43                   | 52 80               | 0 00                  | 115 63              | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 052769106 | AUTODESK, INC            |               |                          |                     | ADSK                  |                     |                           |                  |                             |                    |
| 3 0000    | 11/14/2013               | 04/15/2009    | 132 30                   | 54 92               | 0 00                  | 77 38               | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 096761101 | BOB EVANS FARMS INC COM  |               |                          |                     | BOBE                  |                     |                           |                  |                             |                    |
| 96 0000   | 11/14/2013               | 03/13/2009    | 5,262 13                 | 1,868.16            | 0 00                  | 3,393 97            | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 101121101 | BSTN PPTYS INC           |               |                          |                     | BXP                   |                     |                           |                  |                             |                    |
| 1 0000    | 11/14/2013               | 06/05/2009    | 101 62                   | 49.20               | 0 00                  | 52 42               | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 12504L109 | CBRE GROUP INC CL A CL A |               |                          |                     | CBG                   |                     |                           |                  |                             |                    |
| 4 0000    | 11/14/2013               | 03/09/2012    | 91 47                    | 77 30               | 0 00                  | 14 17               | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 126408103 | CSX CORP                 |               |                          |                     | CSX                   |                     |                           |                  |                             |                    |
| 8 0000    | 11/14/2013               | 02/11/2009    | 215 67                   | 81 49               | 0 00                  | 134 18              | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 222816100 | COVANCE INC              |               |                          |                     | CVD                   |                     |                           |                  |                             |                    |
| 3 0000    | 11/14/2013               | 06/05/2009    | 262 54                   | 135 31              | 0 00                  | 127 23              | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 231021106 | CUMMINS ENGINE INC       |               |                          |                     | CMI                   |                     |                           |                  |                             |                    |
| 2 0000    | 11/14/2013               | 02/11/2009    | 264 95                   | 52 76               | 0 00                  | 212 19              | 0 00                      | 0 00             | 0 00                        | 0 00               |
| 23331A109 | DR HORTON INC            |               |                          |                     | DHI                   |                     |                           |                  |                             |                    |
| 13 0000   | 11/14/2013               | 04/04/2011    | 254 43                   | 150 34              | 0 00                  | 104 09              | 0 00                      | 0 00             | 0 00                        | 0 00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address:

JOSEPH A W CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA CA 92037-3708

23-80346

20-7515945

Account Number

Recipient's Tax ID Number

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

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| Cusip         | Description                            | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|----------------------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange               | Date of Acquisition   | Stocks Bonds, etc   |                           |                  |                             |                    |
| 237194105     | DARDEN RESTAURANTS INC COM             |                       |                     |                           |                  |                             |                    |
| 3 0000        | 11/14/2013                             | 07/06/2011            | DRI 157.86          | 0.00                      | -1.55            | 0.00                        | 0.00               |
| 278265103     | EATON VANCE CORP                       |                       |                     |                           |                  |                             |                    |
| 5.0000        | 11/14/2013                             | 01/26/2012            | EV 212.44           | 0.00                      | 79.25            | 0.00                        | 0.00               |
| 30219G108     | EXPRESS SCRIPTS HLDG CO COM            |                       |                     |                           |                  |                             |                    |
| 3 0000        | 11/14/2013                             | 05/20/2009            | ESRX 199.28         | 0.00                      | 110.12           | 0.00                        | 0.00               |
| 361448103     | GATX CORP                              |                       |                     |                           |                  |                             |                    |
| 2.0000        | 11/14/2013                             | 06/05/2009            | GMT 105.58          | 0.00                      | 52.35            | 0.00                        | 0.00               |
| 369550108     | GENERAL DYNAMICS CORP                  |                       |                     |                           |                  |                             |                    |
| 2.0000        | 11/14/2013                             | 06/05/2009            | GD 175.68           | 0.00                      | 54.77            | 0.00                        | 0.00               |
| 37940X102     | GLOBAL PMTS INC                        |                       |                     |                           |                  |                             |                    |
| 3 0000        | 11/14/2013                             | 07/06/2012            | GPN 188.96          | 0.00                      | 57.90            | 0.00                        | 0.00               |
| 45822P105     | INTEGRYS ENERGY GROUP INC COM STK      |                       |                     |                           |                  |                             |                    |
| 3 0000        | 11/14/2013                             | 01/27/2012            | TEG 170.12          | 0.00                      | 14.08            | 0.00                        | 0.00               |
| 45866F104     | INTERCONTINENTALEXCHANGE GROUP INC COM |                       |                     |                           |                  |                             |                    |
| 2 0000        | 11/14/2013                             | 04/15/2009            | ICE 398.20          | 0.00                      | 228.60           | 0.00                        | 0.00               |
| 459902102     | INTERNATIONAL GAME TECHNOLOGY          |                       |                     |                           |                  |                             |                    |
| 7 0000        | 11/14/2013                             | 01/27/2012            | IGT 124.17          | 0.00                      | 10.27            | 0.00                        | 0.00               |

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P.O. BOX 803878  
CHICAGO, IL 60680

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

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Recipient's Name and Address Ref GSB  
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LA JOLLA CA 92037-3708

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|-----------|---------------|----------------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                        |                          |                     | Bonds, etc            | Cost or Other Basis |                           |                  |                             |                    |
| 461202103 |               | INTUIT                                 |                          |                     | INTU                  |                     |                           |                  |                             |                    |
| 40000     |               | 11/14/2013 06/05/2009                  |                          |                     | 292.26                | 111.34              | 0.00                      | 180.92           | 0.00                        | 0.00               |
| 481165108 |               | JOY GLOBAL INC                         |                          |                     | JOY                   |                     |                           |                  |                             |                    |
| 10000     |               | 11/14/2013 04/15/2009                  |                          |                     | 56.65                 | 24.62               | 0.00                      | 32.03            | 0.00                        | 0.00               |
| 493267108 |               | KEYCORP NEW                            |                          |                     | KEY                   |                     |                           |                  |                             |                    |
| 140000    |               | 11/14/2013 01/27/2012                  |                          |                     | 181.01                | 111.87              | 0.00                      | 69.14            | 0.00                        | 0.00               |
| 585028106 |               | MEDNAX INC COM                         |                          |                     | MD                    |                     |                           |                  |                             |                    |
| 20000     |               | 11/14/2013 08/13/2012                  |                          |                     | 220.89                | 138.64              | 0.00                      | 82.25            | 0.00                        | 0.00               |
| 626717102 |               | MURPHY OIL CORP                        |                          |                     | MUR                   |                     |                           |                  |                             |                    |
| 20000     |               | 11/14/2013 03/02/2012                  |                          |                     | 124.29                | 107.07              | 0.00                      | 17.22            | 0.00                        | 0.00               |
| 651290108 |               | NEWFIELD EXPL CO COM                   |                          |                     | NFX                   |                     |                           |                  |                             |                    |
| 30000     |               | 11/14/2013 04/15/2009                  |                          |                     | 88.56                 | 81.04               | 0.00                      | 7.52             | 0.00                        | 0.00               |
| 743315103 |               | PROGRESSIVE CORP OHIO                  |                          |                     | PGR                   |                     |                           |                  |                             |                    |
| 60000     |               | 11/14/2013 05/19/2010                  |                          |                     | 164.27                | 122.93              | 0.00                      | 41.34            | 0.00                        | 0.00               |
| 754730109 |               | RAYMOND JAMES FINANCIAL INC            |                          |                     | RJF                   |                     |                           |                  |                             |                    |
| 10000     |               | 11/14/2013 01/31/2012                  |                          |                     | 46.64                 | 34.88               | 0.00                      | 11.76            | 0.00                        | 0.00               |
| 759351604 |               | REINSURANCE GROUP AMER INC COM NEW STK |                          |                     | RGA                   |                     |                           |                  |                             |                    |
| 20000     |               | 11/14/2013 12/08/2009                  |                          |                     | 145.22                | 91.89               | 0.00                      | 53.33            | 0.00                        | 0.00               |

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| 760759100 | REPUBLIC SVCS INC         | 11/14/2013               | 02/11/2009          | RSG                   | 209 81            | 143 10              | 0 00                      | 66 71            | 0 00                        | 0 00               |
| 810186106 | SCOTTS CO CL A            | 11/14/2013               | 01/27/2012          | SMG                   | 176 87            | 143.14              | 0.00                      | 33 73            | 0 00                        | 0 00               |
| 833034101 | SNAP ON INC               | 11/14/2013               | 07/22/2011          | SNA                   | 213 79            | 122 72              | 0 00                      | 91.07            | 0 00                        | 0 00               |
| 872540109 | TJX COS INC NEW           | 11/14/2013               | 04/15/2009          | TJX                   | 126 01            | 26 69               | 0 00                      | 99.32            | 0 00                        | 0 00               |
| 903236107 | URS CORP NEW              | 11/14/2013               | 05/13/2010          | URS                   | 156 08            | 147 13              | 0 00                      | 8 95             | 0 00                        | 0 00               |
| 966387102 | WHITING PETROLEUM CORP    | 11/14/2013               | 08/21/2012          | WLL                   | 197 03            | 134 53              | 0 00                      | 62 50            | 0 00                        | 0 00               |
| 983919101 | XILINX INC                | 11/14/2013               | 01/05/2011          | XLNX                  | 214 74            | 147 42              | 0 00                      | 67 32            | 0 00                        | 0 00               |
| G6359F103 | NABORS INDUSTRIES LTD     | 11/14/2013               | 10/31/2011          | NBR                   | 70.43             | 75 69               | 5.26                      | 0.00             | 0 00                        | 0 00               |
| 127097103 | CABOT OIL & GAS CORP CL A | 11/15/2013               | Various             | COG                   | 58,752 30         | 24,325 81           | 0 00                      | 34,426 49        | 0 00                        | 0 00               |
| 1772 0000 |                           |                          |                     |                       |                   |                     |                           |                  |                             |                    |

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# 2013 Tax Information Statement

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 Recipient's Name and Address  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                                     | Stock or Other Symbol | State Tax Withheld | Federal Income Tax Withheld | Net Gain or Loss | Wash Sale Loss Disallowed | Cost or Other Basis | Stocks Bonds, etc | HTZ | AKZOY | VOD | CSGP | AKZOY | AKZOY | AKZOY | ENL | PNLYY | ENL | 99 0000 |
|-----------|-------------------------------------------------|-----------------------|--------------------|-----------------------------|------------------|---------------------------|---------------------|-------------------|-----|-------|-----|------|-------|-------|-------|-----|-------|-----|---------|
| 42805T105 | HERTZ GLOBAL HLDGS INC COM                      | HTZ                   | 0.00               | 0.00                        | 3,977.87         | 0.00                      | 6,520.12            | 10,497.99         |     |       |     |      |       |       |       |     |       |     |         |
| 443 0000  | 11/18/2013 Various                              |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |
| 010199305 | AKZO N V SPONSORED ADR 00                       | AKZOY                 | 0.00               | 0.00                        | 2,126.71         | 0.00                      | 9,176.01            | 11,302.72         |     |       |     |      |       |       |       |     |       |     |         |
| 456 0000  | 11/19/2013 07/14/2011                           |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |
| 92857W209 | VODAFONE GROUP PLC SPONSORED ADR                | VOD                   | 0.00               | 0.00                        | 2,942.20         | 0.00                      | 9,556.51            | 12,498.71         |     |       |     |      |       |       |       |     |       |     |         |
| 336 0000  | 11/19/2013 Various                              |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |
| 22160N109 | COSTAR GROUP INC                                | CSGP                  | 0.00               | 0.00                        | 3,184.72         | 0.00                      | 2,567.04            | 5,751.76          |     |       |     |      |       |       |       |     |       |     |         |
| 32 0000   | 11/21/2013 07/11/2012                           |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |
| 010199305 | AKZO N V SPONSORED ADR 00                       | AKZOY                 | 0.00               | 0.00                        | 1,388.87         | 0.00                      | 5,667.81            | 7,056.68          |     |       |     |      |       |       |       |     |       |     |         |
| 286 0000  | 11/22/2013 11/18/2009                           |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |
| 010199305 | AKZO N V SPONSORED ADR 00                       | AKZOY                 | 0.00               | 0.00                        | 314.01           | 0.00                      | 1,388.47            | 1,702.48          |     |       |     |      |       |       |       |     |       |     |         |
| 69 0000   | 11/22/2013 07/14/2011                           |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |
| 758204200 | ADR REED ELSEVIER N V SPONSORED ADR NEW STK NEW | ENL                   | 0.00               | 0.00                        | 890.17           | 0.00                      | 1,196.17            | 2,086.34          |     |       |     |      |       |       |       |     |       |     |         |
| 49 0000   | 11/22/2013 11/18/2009                           |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |
| 73753A103 | POSTNL N V SPONSORED ADR                        | PNLYY                 | 0.00               | 0.00                        | 307.92           | 0.00                      | 454.47              | 762.39            |     |       |     |      |       |       |       |     |       |     |         |
| 133 0000  | 11/25/2013 05/22/2012                           |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |
| 758204200 | ADR REED ELSEVIER N V SPONSORED ADR NEW STK NEW | ENL                   | 0.00               | 0.00                        | 1,802.97         | 0.00                      | 2,416.75            | 4,219.72          |     |       |     |      |       |       |       |     |       |     |         |
| 99 0000   | 11/25/2013 11/18/2009                           |                       |                    |                             |                  |                           |                     |                   |     |       |     |      |       |       |       |     |       |     |         |

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# 2013 Tax Information Statement

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 Recipient's Name and Address.  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA CA 92037-3708

Account Number 23-80346  
 Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description   |                                      | Stock or Other Symbol |                    |                     |                           |          | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------------------|-----------------------|--------------------|---------------------|---------------------------|----------|------------------|-----------------------------|--------------------|
|           | Quantity Sold | Date of Sale or Exchange             | Date of Acquisition   | Stocks Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed |          |                  |                             |                    |
| 010199305 |               | AKZO N V SPONSORED ADR 00            |                       | AKZOY              |                     |                           |          |                  |                             |                    |
| 137.0000  |               | 11/26/2013                           | 11/18/2009            | 3,355.96           | 2,715.00            | 0.00                      | 640.96   | 0.00             | 0.00                        |                    |
| 054536107 |               | AXA S A SPONSORED ADR                |                       | AXAHY              |                     |                           |          |                  |                             |                    |
| 384.0000  |               | 11/26/2013                           | Various               | 9,900.80           | 6,109.65            | 0.00                      | 3,791.15 | 0.00             | 0.00                        |                    |
| 054536107 |               | AXA S A SPONSORED ADR                |                       | AXAHY              |                     |                           |          |                  |                             |                    |
| 67.0000   |               | 11/26/2013                           | 09/27/2011            | 1,727.48           | 891.07              | 0.00                      | 836.41   | 0.00             | 0.00                        |                    |
| 110448107 |               | BRITISH AMERN TOB PLC SPONSORED ADR  |                       | BTI                |                     |                           |          |                  |                             |                    |
| 27.0000   |               | 11/26/2013                           | 09/12/2012            | 2,863.82           | 2,714.35            | 0.00                      | 149.47   | 0.00             | 0.00                        |                    |
| 203607106 |               | COMMUNITY BANK SYSTEM INC            |                       | CBU                |                     |                           |          |                  |                             |                    |
| 78.0000   |               | 11/26/2013                           | 05/31/2011            | 2,992.81           | 1,952.81            | 0.00                      | 1,040.00 | 0.00             | 0.00                        |                    |
| 233825108 |               | DAIMLER AG SPONSORED ADR             |                       | DDAIY              |                     |                           |          |                  |                             |                    |
| 303.0000  |               | 11/26/2013                           | Various               | 24,841.64          | 15,017.84           | 0.00                      | 9,823.80 | 0.00             | 0.00                        |                    |
| 37636P108 |               | ADR GIVAUDAN SA ADR                  |                       | GVDNY              |                     |                           |          |                  |                             |                    |
| 78.0000   |               | 11/26/2013                           | 01/27/2011            | 2,181.73           | 1,597.38            | 0.00                      | 584.35   | 0.00             | 0.00                        |                    |
| 404280406 |               | ADR HSBC HLDGS PLC SPONSORED ADR NEW |                       | HSBC               |                     |                           |          |                  |                             |                    |
| 120.0000  |               | 11/26/2013                           | 11/18/2009            | 6,704.06           | 7,478.40            | 0.00                      | -774.34  | 0.00             | 0.00                        |                    |
| 535223200 |               | LINDE AG-SPONSORED ADR               |                       | LNEGY              |                     |                           |          |                  |                             |                    |
| 188.0000  |               | 11/26/2013                           | 11/02/2012            | 3,746.78           | 3,199.25            | 0.00                      | 547.53   | 0.00             | 0.00                        |                    |

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THE NORTHERN TRUST COMPANY  
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CHICAGO, IL 60680

Account Number. 23-80346  
Recipient's Tax ID Number. 20-7515945

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Recipient's Name and Address.  
JOSEPH A.W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Page 94 of 158  
Ref. GSB

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                                      | Stock or Other Symbol | Quantity Sold | Date of Sale or Exchange | Date of Acquisition | Stocks Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|--------------------------------------------------|-----------------------|---------------|--------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 626188106 | ADR MUNICH RE GROUP ADR                          | MURGY                 | 301 0000      | 11/26/2013               | 06/22/2010          | 6,441 84           | 3,923 99            | 0 00                      | 2,517 85         | 0 00                        | 0 00               |
| 66987V109 | ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098 | NVS                   | 159.0000      | 11/26/2013               | 12/13/2010          | 12,633 74          | 8,751 92            | 0 00                      | 3,881.82         | 0 00                        | 0 00               |
| 66987V109 | ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098 | NVS                   | 10.0000       | 11/26/2013               | 01/31/2012          | 794.58             | 544 44              | 0 00                      | 250.14           | 0.00                        | 0 00               |
| 73753A103 | POSTNL N V SPONSORED ADR                         | PNLYY                 | 2183 0000     | 11/26/2013               | 05/22/2012          | 12,259 52          | 7,459 40            | 0 00                      | 4,800 12         | 0 00                        | 0 00               |
| 758204200 | ADR REED ELSEVIER N V SPONSORED ADR NEW STK      | ENL                   | 102.0000      | 11/26/2013               | 11/18/2009          | 4,343.59           | 2,489 98            | 0 00                      | 1,853 61         | 0 00                        | 0 00               |
| 761655505 | ADR REXAM PLC SPONSORED ADR COM NO PAR           | REXMY                 | 56 0000       | 11/26/2013               | 09/29/2009          | 2,233.11           | 1,305 80            | 0 00                      | 927 31           | 0 00                        | 0 00               |
| 80105N105 | SANOFI-AVENTIS SPONSORED ADR                     | SNY                   | 246.0000      | 11/26/2013               | 11/18/2009          | 12,956 38          | 9,386 33            | 0 00                      | 3,570 05         | 0 00                        | 0.00               |
| 881575302 | TESCO PLC SPONSORED ADR                          | TSCDY                 | 381 0000      | 11/26/2013               | 12/28/2011          | 6,421 88           | 7,112.16            | 0.00                      | -690.28          | 0 00                        | 0 00               |
| 881575302 | TESCO PLC SPONSORED ADR                          | TSCDY                 | 1624.0000     | 11/26/2013               | Various             | 27,278 01          | 28,923 44           | 0 00                      | -1,645 43        | 0 00                        | 0.00               |

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# 2013 Tax Information Statement

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 Recipient's Name and Address. Ref. GSB  
 JOSEPH A W CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA CA 92037-3708

Account Number. 23-80346  
 Recipient's Tax ID Number. 20-7515945

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

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| Cusip     | Quantity Sold | Description                             | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol |  | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|-----------------------------------------|--------------------------|---------------------|-----------------------|--|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                         |                          |                     | Stocks Bonds, etc.    |  |                     |                           |                  |                             |                    |
| 92857W209 |               | VODAFONE GROUP PLC SPONSORED ADR        |                          |                     | VOD                   |  |                     |                           |                  |                             |                    |
| 84 0000   |               | 11/26/2013                              | 11/01/2012               |                     | 3,118.13              |  | 2,309.80            | 0.00                      | 808.33           | 0.00                        | 0.00               |
| 48667L106 |               | ADR KDDI CORP ADR                       |                          |                     | KDDIY                 |  |                     |                           |                  |                             |                    |
| 878 0000  |               | 11/27/2013                              | 09/24/2012               |                     | 13,471.45             |  | 8,196.83            | 0.00                      | 5,274.62         | 0.00                        | 0.00               |
| 50540R409 |               | LABORATORY CORP AMER HLDGS NEW          |                          |                     | LH                    |  |                     |                           |                  |                             |                    |
| 577.0000  |               | 11/27/2013                              | 02/01/2011               |                     | 59,023.82             |  | 51,277.01           | 0.00                      | 7,746.81         | 0.00                        | 0.00               |
| 881575302 |               | TESCO PLC SPONSORED ADR                 |                          |                     | TSCDY                 |  |                     |                           |                  |                             |                    |
| 396 0000  |               | 11/27/2013                              | 08/10/2011               |                     | 6,596.15              |  | 6,975.54            | 0.00                      | -379.39          | 0.00                        | 0.00               |
| 50540R409 |               | LABORATORY CORP AMER HLDGS NEW          |                          |                     | LH                    |  |                     |                           |                  |                             |                    |
| 186 0000  |               | 11/29/2013                              | 02/01/2011               |                     | 18,980.58             |  | 16,529.51           | 0.00                      | 2,451.07         | 0.00                        | 0.00               |
| 783513104 |               | RYANAIR HLDGS PLC SPONSORED ADR         |                          |                     | RYAAY                 |  |                     |                           |                  |                             |                    |
| 109 0000  |               | 12/03/2013                              | 07/25/2011               |                     | 5,121.08              |  | 2,998.04            | 0.00                      | 2,123.04         | 0.00                        | 0.00               |
| 05565A202 |               | BNP PARIBAS SPONSORED ADR REPSTG 1/4 SH |                          |                     | BNPQY                 |  |                     |                           |                  |                             |                    |
| 347 0000  |               | 12/04/2013                              | Various                  |                     | 12,389.61             |  | 10,189.11           | 0.00                      | 2,200.50         | 0.00                        | 0.00               |
| 626188106 |               | ADR MUNICH RE GROUP ADR                 |                          |                     | MURGY                 |  |                     |                           |                  |                             |                    |
| 1056 0000 |               | 12/04/2013                              | 06/22/2010               |                     | 22,494.63             |  | 13,766.54           | 0.00                      | 8,728.09         | 0.00                        | 0.00               |
| 626188106 |               | ADR MUNICH RE GROUP ADR                 |                          |                     | MURGY                 |  |                     |                           |                  |                             |                    |
| 255 0000  |               | 12/04/2013                              | 09/20/2011               |                     | 5,431.94              |  | 2,899.09            | 0.00                      | 2,532.85         | 0.00                        | 0.00               |

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# 2013 Tax Information Statement

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Recipient's Name and Address  
JOSEPH A.W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Account Number. 23-80346  
Recipient's Tax ID Number 20-7515945

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

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|-----------|-------------------------------------------|-----------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 783513104 | RYANAIR HLDGS PLC SPONSORED ADR           | RYAAY                 | 11,517.27             | 6,848.75               | 0.00                         | 4,668.52            | 0.00                              | 0.00                     |
| 249.0000  | 12/04/2013 07/25/2011                     |                       |                       |                        |                              |                     |                                   |                          |
| 881575302 | TESCO PLC SPONSORED ADR                   | TSCDY                 | 14,069.68             | 14,796.60              | 0.00                         | -726.92             | 0.00                              | 0.00                     |
| 840.0000  | 12/04/2013 08/10/2011                     |                       |                       |                        |                              |                     |                                   |                          |
| 501889208 | LKQ CORP COM LKQ CORP                     | LKQ                   | 9,754.76              | 1,874.05               | 0.00                         | 7,880.71            | 0.00                              | 0.00                     |
| 303.0000  | 12/05/2013 02/11/2009                     |                       |                       |                        |                              |                     |                                   |                          |
| 501889208 | LKQ CORP COM LKQ CORP                     | LKQ                   | 2,060.41              | 1,396.77               | 0.00                         | 663.64              | 0.00                              | 0.00                     |
| 64.0000   | 12/05/2013 11/28/2012                     |                       |                       |                        |                              |                     |                                   |                          |
| 206708109 | CONCUR TECHNOLOGIES INC                   | CNQR                  | 2,857.15              | 1,101.30               | 0.00                         | 1,755.85            | 0.00                              | 0.00                     |
| 30.0000   | 12/06/2013 11/18/2009                     |                       |                       |                        |                              |                     |                                   |                          |
| 206708109 | CONCUR TECHNOLOGIES INC                   | CNQR                  | 9,238.12              | 3,914.86               | 0.00                         | 5,323.26            | 0.00                              | 0.00                     |
| 97.0000   | 12/06/2013 Various                        |                       |                       |                        |                              |                     |                                   |                          |
| 37636P108 | ADR GIVAUDAN SA ADR                       | GVDNY                 | 11,405.42             | 8,715.09               | 0.00                         | 2,690.33            | 0.00                              | 0.00                     |
| 430.0000  | 12/06/2013 Various                        |                       |                       |                        |                              |                     |                                   |                          |
| 501889208 | LKQ CORP COM LKQ CORP                     | LKQ                   | 5,944.44              | 1,100.93               | 0.00                         | 4,843.51            | 0.00                              | 0.00                     |
| 178.0000  | 12/06/2013 02/11/2009                     |                       |                       |                        |                              |                     |                                   |                          |
| 91911K102 | VALEANT PHARMACEUTICALS INTERNATIONAL INC | VRX                   | 21,426.17             | 10,801.63              | 0.00                         | 10,624.54           | 0.00                              | 0.00                     |
| 199.0000  | COMMON STOCK<br>12/06/2013 Various        |                       |                       |                        |                              |                     |                                   |                          |

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THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number  
23-80346  
Recipient's Tax ID Number  
20-7515945

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address.  
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1385 PARK ROW  
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Ref GSB

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| Cusip         | Description                                       | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|---------------------------------------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| Quantity Sold | Date of Sale or Exchange                          | Date of Acquisition   | Stocks, Bonds, etc. |                           |                  |                             |                    |
| 206708109     | CONCUR TECHNOLOGIES INC                           |                       |                     |                           |                  |                             |                    |
| 110 0000      | 12/09/2013                                        | Various               | CNQR                | 10,354 28                 | 2,662 85         | 0 00                        | 0 00               |
| 277432100     | EASTMAN CHEMICAL CO COMMON STOCK                  |                       |                     |                           |                  |                             |                    |
| 13 0000       | 12/09/2013                                        | 04/15/2009            | EMN                 | 980 26                    | 202 22           | 0 00                        | 0 00               |
| 277432100     | EASTMAN CHEMICAL CO COMMON STOCK                  |                       |                     |                           |                  |                             |                    |
| 30 0000       | 12/09/2013                                        | 02/15/2011            | EMN                 | 2,262 14                  | 1,381 13         | 0 00                        | 0 00               |
| 37940X102     | GLOBAL PMTS INC                                   |                       |                     |                           |                  |                             |                    |
| 68 0000       | 12/09/2013                                        | 07/06/2012            | GPN                 | 4,316 43                  | 2,970 67         | 0 00                        | 0 00               |
| 45866F104     | INTERCONTINENTAL EXCHANGE GROUP INC COM COM       |                       |                     |                           |                  |                             |                    |
| 16 0000       | 12/09/2013                                        | 04/15/2009            | ICE                 | 3,488.68                  | 1,356.80         | 0 00                        | 0 00               |
| 626755102     | MURPHY USA INC COM                                |                       |                     |                           |                  |                             |                    |
| 93.0000       | 12/09/2013                                        | 03/02/2012            | MUSA                | 4,264 19                  | 3,212 44         | 0 00                        | 0 00               |
| 881575302     | TESCO PLC SPONSORED ADR                           |                       |                     |                           |                  |                             |                    |
| 337 0000      | 12/09/2013                                        | 08/10/2011            | TSCDY               | 5,524 22                  | 5,936 26         | 0 00                        | 0 00               |
| 464287234     | ISHARES MSCI EMERGING MKTS INDEX FUND             |                       |                     |                           |                  |                             |                    |
| 2400 0000     | 12/10/2013                                        | 11/18/2009            | EEM                 | 100,558 24                | 99,960 24        | 0 00                        | 0 00               |
| 464288513     | MFC ISHARES IBOX \$ HIGH YIELD CORPORATE BOND ETF |                       |                     |                           |                  |                             |                    |
| 3050.0000     | 12/10/2013                                        | Various               | HYG                 | 283,875 93                | 231,637 21       | 0 00                        | 0 00               |

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THE NORTHERN TRUST COMPANY  
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CHICAGO, IL 60680

Account Number 23-80346  
Recipient's Tax ID Number: 20-7515945

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1385 PARK ROW  
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Page 98 of 158

Ref GSB

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip      | Description                                         | Stock or Other Symbol | Stocks<br>Bonds, etc | Cost or<br>Other Basis | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|------------|-----------------------------------------------------|-----------------------|----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 464288513  | MFC ISHARES IBXX \$ HIGH YIELD CORPORATEBOND<br>ETF | HYG                   | 283,875 94           | 276,147 00             | 0 00                         | 7,728 94            | 0 00                              | 0 00                     |
| 3050 0000  | 12/10/2013 02/13/2012                               |                       |                      |                        |                              |                     |                                   |                          |
| 665162533  | MFB NORTHERN FUNDS BD INDEX FD                      | NOBOX                 | 301,406 00           | 308,513 40             | 0 00                         | -7,107.40           | 0 00                              | 0 00                     |
| 28705.3300 | 12/10/2013 Various                                  |                       |                      |                        |                              |                     |                                   |                          |
| 665162541  | MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD        | NGREX                 | 481,368 79           | 291,208.04             | 0 00                         | 190,160 75          | 0 00                              | 0 00                     |
| 52379.6300 | 12/10/2013 Various                                  |                       |                      |                        |                              |                     |                                   |                          |
| 665162541  | MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD        | NGREX                 | 497,672 21           | 472,220 00             | 0 00                         | 25,452 21           | 0 00                              | 0 00                     |
| 54153 6700 | 12/10/2013 08/07/2012                               |                       |                      |                        |                              |                     |                                   |                          |
| 665162582  | MFB NORTN FUNDS EMERGING MKTS EQTY EQTY<br>INDEX FD | NOEMX                 | 100,397.00           | 96,554 27              | 0 00                         | 3,842.73            | 0.00                              | 0.00                     |
| 8699 9100  | 12/10/2013 Various                                  |                       |                      |                        |                              |                     |                                   |                          |
| 665162699  | MFB NORTN HI YIELD FXD INC FD                       | NHFIX                 | 80,057.00            | 77,224 07              | 0 00                         | 2,832 93            | 0.00                              | 0.00                     |
| 10492.4000 | 12/10/2013 08/07/2012                               |                       |                      |                        |                              |                     |                                   |                          |
| 126132109  | CNOOC LTD SPND ADR 00                               | CEO                   | 4,136 63             | 4,315.82               | 179 19                       | 0 00                | 0 00                              | 0 00                     |
| 21 0000    | 12/11/2013 11/09/2012                               |                       |                      |                        |                              |                     |                                   |                          |
| 453038408  | IMPERIAL OIL LTD NEW                                | IMO                   | 481 26               | 523 00                 | 0.00                         | -41 74              | 0 00                              | 0 00                     |
| 11.0000    | 12/11/2013 05/02/2012                               |                       |                      |                        |                              |                     |                                   |                          |
| 89151E109  | ADR TOTAL SA                                        | TOT                   | 1,026 91             | 967 03                 | 0 00                         | 59 88               | 0 00                              | 0 00                     |
| 18.0000    | 12/11/2013 02/02/2012                               |                       |                      |                        |                              |                     |                                   |                          |

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# 2013 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P O BOX 803878  
CHICAGO, IL 60680

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

☐ Corrected ☐ 2nd TIN notice

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Ref. GSB  
Recipient's Name and Address:  
JOSEPH A W. CLAYES, III, LIVING TRUST  
1385 PARK ROW  
LA JOLLA, CA 92037-3708

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Quantity Sold | Date of Sale or Exchange                         | Description                               | Stock or Other Symbol |  | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------|--------------------------------------------------|-------------------------------------------|-----------------------|--|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                                  |                                           | Stocks Bonds, etc.    |  |                     |                           |                  |                             |                    |
| 254709108 |               |                                                  | DISCOVER FINL SVCS COM STK                | DFS                   |  | 7,991.68            | 0.00                      | 2,976.98         | 0.00                        | 0.00               |
| 209 0000  |               | 12/12/2013                                       | Various                                   |                       |  |                     |                           |                  |                             |                    |
| 037833100 |               |                                                  | APPLE COMPUTER INC                        | AAPL                  |  | 6,260.22            | 0.00                      | -646.77          | 0.00                        | 0.00               |
| 10 0000   |               | 12/16/2013                                       | Various                                   |                       |  |                     |                           |                  |                             |                    |
| 254709108 |               |                                                  | DISCOVER FINL SVCS COM STK                | DFS                   |  | 7,449.04            | 0.00                      | 3,868.45         | 0.00                        | 0.00               |
| 212 0000  |               | 12/16/2013                                       | Various                                   |                       |  |                     |                           |                  |                             |                    |
| 57636Q104 |               |                                                  | MASTERCARD INC CL A                       | MA                    |  | 2,020.85            | 0.00                      | 8,345.33         | 0.00                        | 0.00               |
| 13 0000   |               | 12/16/2013                                       | 03/13/2009                                |                       |  |                     |                           |                  |                             |                    |
| 025676206 |               |                                                  | AMERICAN EQUITY INVT LIFE HLDG CO COM     | AEL                   |  | 850.08              | 0.00                      | 2,484.23         | 0.00                        | 0.00               |
| 138 0000  |               | 12/18/2013                                       | 02/11/2009                                |                       |  |                     |                           |                  |                             |                    |
| 878546209 |               |                                                  | ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP | TKPPY                 |  | 3,099.67            | 398.62                    | 0.00             | 0.00                        | 0.00               |
| 128 0000  |               | SEC#2856204 EFF 7/14/03<br>12/18/2013 05/22/2012 |                                           |                       |  |                     |                           |                  |                             |                    |
| 025676206 |               |                                                  | AMERICAN EQUITY INVT LIFE HLDG CO COM     | AEL                   |  | 606.14              | 0.00                      | 2,630.60         | 0.00                        | 0.00               |
| 132 0000  |               | 12/19/2013                                       | Various                                   |                       |  |                     |                           |                  |                             |                    |
| 829688103 |               |                                                  | SIRIUS XM HLDGS INC COM                   | SIRI                  |  | 12,642.84           | 0.00                      | 2,077.88         | 0.00                        | 0.00               |
| 4317 0000 |               | 12/19/2013                                       | Various                                   |                       |  |                     |                           |                  |                             |                    |
| 00508X203 |               |                                                  | ACTUANT CORP CL A NEW                     | ATU                   |  | 27.27               | 0.00                      | 8.78             | 0.00                        | 0.00               |
| 1 0000    |               | 12/20/2013                                       | 03/06/2012                                |                       |  |                     |                           |                  |                             |                    |

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THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number 23-80346  
Recipient's Tax ID Number 20-7515945

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LA JOLLA, CA 92037-3708

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|-----------|-----------------------------------|-----------------------|---------------|--------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 063904106 | BANK OF THE OZARKS INC COM        | OZRK                  | 8 0000        | 12/20/2013               | 09/30/2011          | 438 23             | 168 48              | 0 00                      | 269 75           | 0 00                        | 0 00               |
| 105368203 | BRANDYWINE RLTY TR SH BEN INT NEW | BDN                   | 34 0000       | 12/20/2013               | 03/10/2010          | 464 76             | 378.57              | 0 00                      | 86 19            | 0 00                        | 0 00               |
| 109696104 | BRINKS CO COM STOCK               | BCO                   | 8.0000        | 12/20/2013               | 07/11/2011          | 264 15             | 245 09              | 0 00                      | 19.06            | 0 00                        | 0 00               |
| 110394103 | BRISTOW GROUP INC COM             | BRS                   | 1 0000        | 12/20/2013               | 07/11/2011          | 74.11              | 50 16               | 0 00                      | 23 95            | 0 00                        | 0 00               |
| 125137109 | CEC ENTMT INC COM                 | CEC                   | 2 0000        | 12/20/2013               | 02/23/2012          | 87 15              | 76.89               | 0 00                      | 10 26            | 0 00                        | 0 00               |
| 14754D100 | CASH AMER INVTS INC               | CSH                   | 1 0000        | 12/20/2013               | 03/29/2012          | 35 57              | 48.01               | 0 00                      | -12.44           | 0 00                        | 0 00               |
| 20451N101 | COMPASS MINERALS INTL INC COM     | CMP                   | 1 0000        | 12/20/2013               | 04/30/2012          | 75 81              | 76 73               | 0 00                      | -0 92            | 0 00                        | 0 00               |
| 229663109 | CUBESMART                         | CUBE                  | 7 0000        | 12/20/2013               | 07/09/2012          | 111 61             | 83 07               | 0 00                      | 28 54            | 0 00                        | 0 00               |
| 229669106 | CUBIC CORP                        | CUB                   | 3 0000        | 12/20/2013               | 07/05/2012          | 156 02             | 146 05              | 0 00                      | 9 97             | 0 00                        | 0 00               |

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|-----------|------------------------------------------------|-----------------------|---------------|--------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 232806109 | CYPRESS SEMICONDUCTOR CORP                     | CY                    | 4 0000        | 12/20/2013               | 07/06/2012          | 40 97              | 50.09               | 0.00                      | -9.12            | 0.00                        | 0.00               |
| 26613Q106 | DUPONT FABROS TECH INC REIT                    | DFT                   | 3 0000        | 12/20/2013               | 02/03/2012          | 71 79              | 77 81               | 0 00                      | -6 02            | 0.00                        | 0.00               |
| 283677854 | EL PASO ELECTRIC CO NEW                        | EE                    | 8 0000        | 12/20/2013               | 09/19/2012          | 272 38             | 269 66              | 0.00                      | 2 72             | 0.00                        | 0.00               |
| 317923100 | FINISH LINE INC CL A                           | FINL                  | 27 0000       | 12/20/2013               | 11/18/2010          | 750.31             | 464.50              | 0.00                      | 285.81           | 0.00                        | 0.00               |
| 360271100 | FULTON FINANCIAL CORP PENNSYLVANIA             | FULT                  | 6 0000        | 12/20/2013               | 05/25/2010          | 77 10              | 56 97               | 0 00                      | 20 13            | 0.00                        | 0.00               |
| 38376A103 | GOVERNMENT PTYS INCOME TR COM SHS BEN INT      | GOV                   | 4.0000        | 12/20/2013               | 04/30/2010          | 99.43              | 109 46              | 0.00                      | -10.03           | 0.00                        | 0.00               |
| 402629208 | GULFMARK OFFSHORE INC CL A NEW USD0 01 CLASS A | GLF                   | 1 0000        | 12/20/2013               | 12/12/2012          | 44.49              | 33 32               | 0 00                      | 11.17            | 0.00                        | 0.00               |
| 45774N108 | INNPHOS HLDGS INC COM STK                      | IPHS                  | 1.0000        | 12/20/2013               | 09/27/2010          | 47.24              | 30 97               | 0 00                      | 16 27            | 0.00                        | 0.00               |
| 46069S109 | INTERSIL HLDG CORP CL A                        | ISIL                  | 1 0000        | 12/20/2013               | 04/17/2012          | 11 35              | 10 92               | 0 00                      | 0 43             | 0.00                        | 0.00               |

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 1385 PARK ROW  
 LA JOLLA CA 92037-3708

THE NORTHERN TRUST COMPANY  
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|-----------|---------------------------------------------|-----------------------|---------------|--------------------------|---------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 577933104 | MAXIMUS INC                                 | MMS                   | 2 0000        | 12/20/2013               | 02/02/2011          | 85.54              | 34 32               | 0.00                      | 51 22            | 0.00                        | 0.00               |
| 690732102 | OWENS & MINOR INC NEW COM                   | OMI                   | 5.0000        | 12/20/2013               | 02/11/2009          | 179 20             | 127 07              | 0.00                      | 52 13            | 0.00                        | 0.00               |
| 727493108 | PLANTRONICS INC NEW                         | PLT                   | 2 0000        | 12/20/2013               | 03/11/2011          | 88 49              | 69 39               | 0.00                      | 19 10            | 0.00                        | 0.00               |
| 736508847 | PORTLAND GENERAL ELECTRIC CO COM NEW COMNEW | POR                   | 17.0000       | 12/20/2013               | 03/11/2011          | 516 61             | 402 34              | 0.00                      | 114.27           | 0.00                        | 0.00               |
| 808541106 | SCHWEITZER-MAUDUIT INTL INC                 | SWM                   | 1 0000        | 12/20/2013               | 09/19/2012          | 50 23              | 33.56               | 0.00                      | 16 67            | 0.00                        | 0.00               |
| 85254C305 | STAGE STORES INC COMMON STOCK               | SSI                   | 2 0000        | 12/20/2013               | 02/11/2009          | 42 44              | 16 02               | 0.00                      | 26 42            | 0.00                        | 0.00               |
| 886423102 | TIDEWATER INC                               | TDW                   | 6 0000        | 12/20/2013               | 07/08/2011          | 334 42             | 330 16              | 0.00                      | 4 26             | 0.00                        | 0.00               |
| 898402102 | TRUSTMARK CORP                              | TRMK                  | 21 0000       | 12/20/2013               | 11/19/2010          | 567 41             | 466.41              | 0.00                      | 101.00           | 0.00                        | 0.00               |
| 903119105 | UNS ENERGY CORP COM                         | UNS                   | 6.0000        | 12/20/2013               | 06/06/2012          | 357 28             | 224 05              | 0.00                      | 133 23           | 0.00                        | 0.00               |

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| Quantity Sold | Date of Sale or Exchange                  | Date of Acquisition   | Stocks Bonds, etc   |                           |                  |                             |                    |
| 947890109     | WEBSTER FINL CORP WATERBURY CONN          |                       | WBS                 |                           |                  |                             |                    |
| 9 0000        | 12/20/2013                                | 02/24/2012            | 268 09              | 0.00                      | 63 49            | 0 00                        | 0 00               |
| 957090103     | WESTAMERICA BANCORPORATION                |                       | WABC                |                           |                  |                             |                    |
| 10.0000       | 12/20/2013                                | 11/18/2009            | 550.38              | 0 00                      | 22.28            | 0.00                        | 0.00               |
| G05384105     | ASPEN INSURANCE HLDGS COM                 |                       | AHL                 |                           |                  |                             |                    |
| 12.0000       | 12/20/2013                                | 01/27/2012            | 482 38              | 0 00                      | 165.00           | 0 00                        | 0 00               |
| G30397106     | ENDURANCE SPECIALTY HOLDINGS LTD COM USD1 |                       | ENH                 |                           |                  |                             |                    |
| 14.0000       | 12/20/2013                                | 07/11/2011            | 799 79              | 0 00                      | 224.89           | 0.00                        | 0 00               |
| 829688103     | SIRIUS XM HLDGS INC COM                   |                       | SIRI                |                           |                  |                             |                    |
| 3999 0000     | 12/23/2013                                | Various               | 14,161 82           | 0 00                      | 3,055.93         | 0.00                        | 0.00               |
| 055434203     | BG PLC ADR FIN INST N                     |                       | BRGY                |                           |                  |                             |                    |
| 346 0000      | 12/24/2013                                | 09/21/2012            | 7,238 47            | 0.00                      | 198.44           | 0 00                        | 0 00               |
| 254709108     | DISCOVER FINL SVCS COM STK                |                       | DFS                 |                           |                  |                             |                    |
| 268.0000      | 12/26/2013                                | Various               | 14,602 69           | 0 00                      | 6,471.40         | 0 00                        | 0 00               |
| G60754101     | MICHAEL KORS HOLDINGS LTD COM NPV         |                       | KORS                |                           |                  |                             |                    |
| 211 0000      | 12/26/2013                                | Various               | 17,106 17           | 0 00                      | 6,684.57         | 0.00                        | 0.00               |
| 00206R102     | AT&T INC                                  |                       | T                   |                           |                  |                             |                    |
| 43 0000       | 12/30/2013                                | 02/01/2011            | 1,510 98            | 0 00                      | 316 84           | 0 00                        | 0 00               |

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|-----------|---------------|-----------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
|           |               |                                   |                          |                     | Stocks Bonds, etc.    | Cost or Other Basis |                           |                  |                             |                    |
| 00846U101 | 27 0000       | AGILENT TECHNOLOGIES INC COM      | 12/30/2013               | 09/28/2012          | A                     | 1,547.33            | 1,036.07                  | 0.00             | 511.26                      | 0.00               |
| 020002101 | 29.0000       | ALLSTATE CORP                     | 12/30/2013               | 02/01/2011          | ALL                   | 1,568.57            | 915.53                    | 0.00             | 653.04                      | 0.00               |
| 025816109 | 12 0000       | AMERICAN EXPRESS CO               | 12/30/2013               | 03/16/2011          | AXP                   | 1,074.21            | 510.63                    | 0.00             | 563.58                      | 0.00               |
| 03073E105 | 10 0000       | AMERISOURCE BERGEN CORP           | 12/30/2013               | 02/01/2011          | ABC                   | 704.01              | 366.29                    | 0.00             | 337.72                      | 0.00               |
| 037411105 | 17 0000       | APACHE CORP                       | 12/30/2013               | 02/01/2011          | APA                   | 1,469.44            | 2,024.73                  | 0.00             | -555.29                     | 0.00               |
| 064058100 | 44.0000       | BANK NEW YORK MELLON CORP COM STK | 12/30/2013               | 02/01/2011          | BK                    | 1,520.16            | 1,400.50                  | 0.00             | 119.66                      | 0.00               |
| 071813109 | 19 0000       | BAXTER INTL INC                   | 12/30/2013               | 06/23/2009          | BAX                   | 1,314.20            | 956.37                    | 0.00             | 357.83                      | 0.00               |
| 099724106 | 60 0000       | BORG WARNER AUTOMOTIVE INC        | 12/30/2013               | 12/15/2011          | BWA                   | 3,363.18            | 1,892.99                  | 0.00             | 1,470.19                    | 0.00               |
| 124857202 | 25.0000       | CBS CORP                          | 12/30/2013               | 06/29/2012          | CBS                   | 1,594.71            | 810.34                    | 0.00             | 784.37                      | 0.00               |

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|-----------|--------------------------------------|--------------------------|---------------------|-----------------------|--------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 166764100 | CHEVRONTXACO CORP COM                | 12/30/2013               | 02/01/2011          | CVX                   | 747 10             | 574 83              | 0 00                      | 172 27           | 0 00                        | 0 00               |
| 6 0000    |                                      |                          |                     |                       |                    |                     |                           |                  |                             |                    |
| 20825C104 | CONOCOPHILLIPS                       | 12/30/2013               | 02/01/2011          | COP                   | 1,471 01           | 1,147 06            | 0 00                      | 323 95           | 0 00                        | 0 00               |
| 244195105 | DEERE & CO                           | 12/30/2013               | 09/04/2012          | DE                    | 1,540 50           | 1,265 65            | 0 00                      | 274 85           | 0 00                        | 0 00               |
| 17 0000   |                                      |                          |                     |                       |                    |                     |                           |                  |                             |                    |
| 260003108 | DOVER CORP                           | 12/30/2013               | 02/01/2011          | DOV                   | 964 07             | 648 12              | 0 00                      | 315 95           | 0 00                        | 0 00               |
| 10 0000   |                                      |                          |                     |                       |                    |                     |                           |                  |                             |                    |
| 268648102 | EMC CORP COM                         | 12/30/2013               | 08/25/2011          | EMC                   | 1,774 96           | 1,512 11            | 0 00                      | 262 85           | 0 00                        | 0 00               |
| 71 0000   |                                      |                          |                     |                       |                    |                     |                           |                  |                             |                    |
| 278642103 | EBAY INC                             | 12/30/2013               | 08/19/2010          | EBAY                  | 1,459 08           | 616 53              | 0 00                      | 842 55           | 0 00                        | 0 00               |
| 27 0000   |                                      |                          |                     |                       |                    |                     |                           |                  |                             |                    |
| 30219G108 | EXPRESS SCRIPTS HLDG CO COM          | 12/30/2013               | 01/25/2012          | ESRX                  | 1,545 84           | 1,156 43            | 0 00                      | 389 41           | 0 00                        | 0 00               |
| 22 0000   |                                      |                          |                     |                       |                    |                     |                           |                  |                             |                    |
| 35671D857 | FREEMPORT MCMORAN C & G CL B COM STK | 12/30/2013               | 02/01/2011          | FCX                   | 1,472 99           | 2,200 28            | 0 00                      | -727 29          | 0 00                        | 0 00               |
| 39 0000   |                                      |                          |                     |                       |                    |                     |                           |                  |                             |                    |
| 369604103 | GENERAL ELECTRIC CO                  | 12/30/2013               | 02/01/2011          | GE                    | 306 11             | 227 10              | 0 00                      | 79 01            | 0 00                        | 0 00               |
| 11 0000   |                                      |                          |                     |                       |                    |                     |                           |                  |                             |                    |

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|-----------|--------------------------|-----------------------|-----------------------|------------------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| 38141G104 | GOLDMAN SACHS GROUP INC  | GS                    | 1,403.70              | 1,054.86               | 0.00                         | 348.84              | 0.00                              | 0.00                     |
| 8 0000    | 12/30/2013 07/11/2011    |                       |                       |                        |                              |                     |                                   |                          |
| 406216101 | HALLIBURTON CO           | HAL                   | 1,361.04              | 1,048.56               | 0.00                         | 312.48              | 0.00                              | 0.00                     |
| 27.0000   | 12/30/2013 11/08/2011    |                       |                       |                        |                              |                     |                                   |                          |
| 42809H107 | HESS CORP COM STK        | HES                   | 1,075.98              | 942.90                 | 0.00                         | 133.08              | 0.00                              | 0.00                     |
| 13.0000   | 12/30/2013 07/15/2011    |                       |                       |                        |                              |                     |                                   |                          |
| 438516106 | HONEYWELL INTL INC       | HON                   | 1,272.54              | 789.30                 | 0.00                         | 483.24              | 0.00                              | 0.00                     |
| 14 0000   | 12/30/2013 02/01/2011    |                       |                       |                        |                              |                     |                                   |                          |
| 458140100 | INTEL CORP               | INTC                  | 1,909.18              | 1,588.55               | 0.00                         | 320.63              | 0.00                              | 0.00                     |
| 74 0000   | 12/30/2013 02/01/2011    |                       |                       |                        |                              |                     |                                   |                          |
| 500255104 | KOHL'S CORP              | KSS                   | 1,856.87              | 1,823.20               | 0.00                         | 33.67               | 0.00                              | 0.00                     |
| 33 0000   | 12/30/2013 05/16/2011    |                       |                       |                        |                              |                     |                                   |                          |
| 594918104 | MICROSOFT CORP COM       | MSFT                  | 1,491.18              | 1,112.48               | 0.00                         | 378.70              | 0.00                              | 0.00                     |
| 40.0000   | 12/30/2013 02/01/2011    |                       |                       |                        |                              |                     |                                   |                          |
| 65339F101 | NEXTERA ENERGY INC COM   | NEE                   | 1,358.44              | 804.10                 | 0.00                         | 554.34              | 0.00                              | 0.00                     |
| 16.0000   | 12/30/2013 02/11/2009    |                       |                       |                        |                              |                     |                                   |                          |
| 674599105 | OCCIDENTAL PETE CORP COM | OXY                   | 1,326.08              | 1,226.20               | 0.00                         | 99.88               | 0.00                              | 0.00                     |
| 14.0000   | 12/30/2013 08/24/2012    |                       |                       |                        |                              |                     |                                   |                          |

This is important tax information and is being furnished to you.

# 2013 Tax Information Statement

Account Number. 23-80346  
 Recipient's Tax ID Number. 20-7515945

THE NORTHERN TRUST COMPANY  
 P O BOX 803878  
 CHICAGO, IL 60680

Recipient's Name and Address.  
 JOSEPH A W. CLAYES, III, LIVING TRUST  
 1385 PARK ROW  
 LA JOLLA, CA 92037-3708

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

| Cusip     | Description                                        | Stock or Other Symbol | Quantity Sold | Date of Sale or Exchange | Date of Acquisition | Stocks Bonds, etc | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|----------------------------------------------------|-----------------------|---------------|--------------------------|---------------------|-------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 68389X105 | ORACLE CORPORATION                                 | ORCL                  | 48 0000       | 12/30/2013               | 07/14/2011          | 1,821.56          | 1,540.55            | 0.00                      | 281.01           | 0.00                        | 0.00               |
| 718172109 | PHILIP MORRIS INTERNATIONAL                        | PM                    | 20 0000       | 12/30/2013               | 02/01/2011          | 1,730.56          | 1,159.41            | 0.00                      | 571.15           | 0.00                        | 0.00               |
| 742718109 | PROCTER & GAMBLE CO                                | PG                    | 19 0000       | 12/30/2013               | 02/01/2011          | 1,553.78          | 1,205.70            | 0.00                      | 348.08           | 0.00                        | 0.00               |
| 744573106 | PUBLIC SERVICE ENTERPRISE GROUP INC., COMMON STOCK | PEG                   | 50.0000       | 12/30/2013               | 02/01/2011          | 1,596.46          | 1,628.05            | 0.00                      | -31.59           | 0.00                        | 0.00               |
| 80004C101 | SANDISK CORP                                       | SNDK                  | 25.0000       | 12/30/2013               | 02/08/2011          | 1,752.34          | 1,240.60            | 0.00                      | 511.74           | 0.00                        | 0.00               |
| 902973304 | US BANCORP                                         | USB                   | 40.0000       | 12/30/2013               | 02/01/2011          | 1,605.96          | 1,099.14            | 0.00                      | 506.82           | 0.00                        | 0.00               |
| 913017109 | UNITED TECHNOLOGIES CORP                           | UTX                   | 12 0000       | 12/30/2013               | 02/01/2011          | 1,350.21          | 980.73              | 0.00                      | 369.48           | 0.00                        | 0.00               |
| 931142103 | WAL MART STORES INC                                | WMT                   | 13 0000       | 12/30/2013               | 02/01/2011          | 1,020.47          | 729.85              | 0.00                      | 290.62           | 0.00                        | 0.00               |
| 949746101 | WELLS FARGO & CO NEW                               | WFC                   | 12 0000       | 12/30/2013               | 05/02/2011          | 544.30            | 349.43              | 0.00                      | 194.87           | 0.00                        | 0.00               |

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THE NORTHERN TRUST COMPANY  
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CHICAGO, IL 60680

Account Number.  
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☐ Corrected

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Recipient's Name and Address:  
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1385 PARK ROW  
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Ref GSB

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip                     |                                |                        | Description               | Stock or Other Symbol  |              | Wash Sale<br>Loss Disallowed | Net Gain<br>or Loss | Federal<br>Income<br>Tax Withheld | State<br>Tax<br>Withheld |
|---------------------------|--------------------------------|------------------------|---------------------------|------------------------|--------------|------------------------------|---------------------|-----------------------------------|--------------------------|
| Quantity<br>Sold          | Date of<br>Sale or<br>Exchange | Date of<br>Acquisition | Stocks<br>Bonds, etc.     | Cost or<br>Other Basis |              |                              |                     |                                   |                          |
| Total Long Term Sales     |                                |                        |                           |                        |              |                              |                     |                                   |                          |
|                           |                                |                        |                           | 9,679,723.36           | 7,983,934.48 | 1,208.64                     | 1,696,997.54        | 0.00                              | 0.00                     |
| 79,827.26                 |                                |                        |                           |                        |              |                              |                     |                                   |                          |
| Long Term 28% Sales       |                                |                        |                           |                        |              |                              |                     |                                   |                          |
| 78463V107                 |                                |                        | MFC SPDR GOLD TR GOLD SHS | GLD                    |              |                              |                     |                                   |                          |
| 11400.0000                |                                | 03/11/2013             | Various                   | 1,742,522.74           | 1,235,026.66 | 0.00                         | 507,496.08          | 0.00                              | 0.00                     |
| Total Long Term 28% Sales |                                |                        |                           | 1,742,522.74           | 1,235,026.66 | 0.00                         | 507,496.08          | 0.00                              | 0.00                     |

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