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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation VIVIAN & HYMIE J SOSLAND CHARITABLE TRUST		A Employer identification number 20-7457935
Number and street (or P O box number if mail is not delivered to street address) PO BOX 30067	Room/suite	B Telephone number (816) 756-1000
City or town, state or province, country, and ZIP or foreign postal code KANSAS CITY, MO 64112		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 13,966,939.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		128,331.	128,331.		STATEMENT 1
4 Dividends and interest from securities		192,599.	192,599.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		429,092.			
b Gross sales price for all assets on line 6a 5,444,386.					
7 Capital gain net income (from Part IV, line 2)			429,092.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		750,022.	750,022.		
13 Compensation of officers, directors, trustees, etc		75,000.	37,500.		37,500.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		4,100.	2,050.		2,050.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		5,968.	5,968.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		69,880.	69,746.		134.
24 Total operating and administrative expenses. Add lines 13 through 23		154,948.	115,264.		39,684.
25 Contributions, gifts, grants paid		637,000.			637,000.
26 Total expenses and disbursements. Add lines 24 and 25		791,948.	115,264.		676,684.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<41,926.>			
b Net investment income (if negative, enter -0-)			634,758.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	485,597.	389,970.	389,970.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	11,030,720.	11,049,742.	13,576,969.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 7)		447.	0.	0.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		11,516,764.	11,439,712.	13,966,939.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	11,516,764.	11,439,712.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	11,516,764.	11,439,712.		
31 Total liabilities and net assets/fund balances	11,516,764.	11,439,712.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	11,516,764.
2 Enter amount from Part I, line 27a	<41,926.>
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	11,474,838.
5 Decreases not included in line 2 (itemize) ▶ BASIS ADJUSTMENT	35,126.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	11,439,712.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES			
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,443,787.		5,015,294.	428,493.
b 599.			599.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			428,493.
b			599.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	429,092.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	784,177.	12,428,683.	.063094
2011	817,150.	12,492,043.	.065414
2010	526,550.	12,365,734.	.042581
2009	437,550.	11,092,611.	.039445
2008	368,726.	12,615,811.	.029227

2 Total of line 1, column (d)	2	.239761
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047952
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	13,247,181.
5 Multiply line 4 by line 3	5	635,229.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,348.
7 Add lines 5 and 6	7	641,577.
8 Enter qualifying distributions from Part XII, line 4	8	676,684.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	6,348.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	6,348.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	6,348.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 4,841.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 15,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	19,841.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	13,493.
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 13,493. Refunded ☐		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MARCIA RHODES Located at ► 4800 MAIN, STE 100, KANSAS CITY, MO Telephone no. ► 816-756-1000 ZIP+4 ► 64112			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARCIA A. RHODES	TRUSTEE			
PO BOX 30067				
KANSAS CITY, MO 64112	1.00	25,000.	0.	0.
LESLIE A. SMALL	TRUSTEE			
PO BOX 30067				
KANSAS CITY, MO 64112	1.00	25,000.	0.	0.
DEBORAH SOSLAND-EDELMAN	TRUSTEE			
PO BOX 30067				
KANSAS CITY, MO 64112	1.00	25,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	13,011,131.
b	Average of monthly cash balances	1b	437,784.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	13,448,915.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,448,915.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	201,734.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,247,181.
6	Minimum investment return. Enter 5% of line 5	6	662,359.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	662,359.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	6,348.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,348.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	656,011.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	656,011.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	656,011.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	676,684.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	676,684.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	6,348.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	670,336.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				656,011.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011	199,908.			
e From 2012				
f Total of lines 3a through e	199,908.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	676,684.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				656,011.
e Remaining amount distributed out of corpus	20,673.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	220,581.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	220,581.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011	199,908.			
d Excess from 2012				
e Excess from 2013	20,673.			

N/A

VIVIAN & HYMIE J SOSLAND
CHARITABLE TRUST

Form 990-PF (2013)

20-7457935 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED LISTING	NONE	PC	GENERAL FUNDING	637,000.
Total			3a	637,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
|----------|--|-------|----|
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

11/13/14
Date

▶ Trustee
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	SHAWN C. BECKER		11.11.2014		P00970717
	Firm's name ▶ RUBINBROWN LLP			Firm's EIN ▶ 43-0765316	
	Firm's address ▶ ONE NORTH BRENTWOOD SAINT LOUIS, MO 63105			Phone no. (314) 290-3300	

Form **990-PF** (2013)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	128,331.	128,331.	
TOTAL TO PART I, LINE 3	128,331.	128,331.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	193,198.	599.	192,599.	192,599.	
TO PART I, LINE 4	193,198.	599.	192,599.	192,599.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,100.	2,050.		2,050.
TO FORM 990-PF, PG 1, LN 16B	4,100.	2,050.		2,050.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	5,968.	5,968.		0.
TO FORM 990-PF, PG 1, LN 18	5,968.	5,968.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	63,971.	63,971.		0.
OTHER INVESTMENT FEES	5,775.	5,775.		0.
POSTAGE	134.	0.		134.
TO FORM 990-PF, PG 1, LN 23	69,880.	69,746.		134.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES - STATEMENTS ATTACHED	11,049,742.	13,576,969.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,049,742.	13,576,969.

FORM 990-PF	OTHER ASSETS	STATEMENT	7
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	447.	0.	0.
TO FORM 990-PF, PART II, LINE 15	447.	0.	0.

2013

10/17/13	509 CAMPS FOR KIDS	5,000
10/17/13	510 BIG BROTHERS & BIG SISTERS OF GREATER KANSAS	5,000
10/17/13	511 BOYS & GIRLS CLUBS OF GREATER KANSAS CITY	5,000
10/17/13	512 CABOT WESTSIDE CLINIC	5,000
10/17/13	513 CENTRAL AGENCY FOR JEWISH EDUCATION	5,000
10/17/13	514 CHILDREN'S CENTER FOR THE VISUALLY IMPAIRED	3,000
10/17/13	515 CHILDREN'S PLACE	5,000
10/17/13	516 CHILDREN'S TLC	5,000
10/17/13	517 CRISTO REY	10,000
10/17/13	518 CROSS LINES COMMUNITY OUTREACH	5,000
10/17/13	519 CULTIVATE KC	5,000
10/17/13	520 DELASALLE EDUCATION CENTER	10,000
10/17/13	521 DISMAS HOUSE	5,000
10/17/13	522 DONNELLY COLLEGE	10,000
10/17/13	523 ReDISCOVER for FRIENDSHIP HOUSE	5,000
10/17/13	524 GOOD SAMARITAN PROJECT	2,000
10/17/13	525 GUADALUPE CENTERS, INC	5,000
10/17/13	526 GUARDIAN SOCIETY - CAJE	10,000
10/17/13	527 HADASSAH GREATER KANSAS CITY CHAPTER	1,000
10/17/13	528 HARVESTERS	50,000
10/17/13	529 THE FAMILY CONSERVANCY	5,000
10/17/13	530 HEALTH PARTNERSHIP CLINICS OF JOHNSON COUNTY	5,000
10/17/13	531 HONOR FLIGHT NETWORK OF KC	25,000
10/17/13	532 HOPE FAITH MINISTRIES	5,000
10/17/13	533 HOPE HOUSE	1,000
10/17/13	534 HOSPICE HOUSE	5,000
10/17/13	535 HYMAN BRAND HEBREW ACADEMY	10,000
10/17/13	536 JEWISH FAMILY SERVICES	10,000
10/17/13	537 JEWISH FEDERATION OF GREATER KANSAS CITY	100,000
10/17/13	538 JEWISH THEOLOGICAL SEMINARY	10,000
10/17/13	539 JEWISH VOCATIONAL SERVICES	10,000
10/22/2013	541 KANSAS CITY BALLET	5,000
10/22/2013	542 KANSAS CITY FREE CLINIC	5,000
10/22/2013	543 KANSAS CITY SYMPHONY (multi year pledge in place 2013)	100,000
10/22/2013	544 KAUFFMAN PERFORMING ARTS CENTER (m/y in place 2012)	50,000
10/22/2013	545 MATTIE RHODES CENTER	5,000
10/22/2013	546 METROPOLITAN ORGANIZATION TO COUNTER SEXUAL ASSAULT	5,000
10/22/2013	547 MID-AMERICA ASSISTANCE COALITION INC	10,000
10/22/2013	548 MIDWEST CENTER FOR HOLOCAUST EDUCATION	5,000
10/22/2013	549 NEWHOUSE INC	5,000
10/22/2013	550 OPERATION BREAKTHROUGH	10,000
10/22/2013	551 PLANNED PARENTHOOD OF KANSAS & MID-MISSOURI	4,000
10/22/2013	552 re-START, INC.	10,000
10/22/2013	553 ROCKHURST UNIVERSITY	10,000
10/22/2013	554 ROSE BROOKS CENTER	1,000
10/22/2013	555 SAFEHOME INC	5,000
10/22/2013	556 SALVATION ARMY	5,000
10/22/2013	557 SETON CENTER	5,000
10/22/2013	558 SHEFFIELD PLACE	5,000
10/22/2013	559 SHEPHERD CENTER	5,000
10/22/2013	560 SOUTHWEST BOULEVARD FAMILY HEALTH CARE INC	10,000
10/22/2013	561 SUNFLOWER HOUSE	2,000
10/22/2013	562 SYNERGY SERVICES INC	5,000
10/22/2013	563 TRUMAN MEDICAL CENTER BEHAVIORAL HEALTH	1,000
10/22/2013	564 TURNING POINT	5,000
10/22/2013	565 VILLAGE SHALOM	10,000
10/22/2013	566 WILDWOOD OUTDOOR EDUCATION CENTER	1,000
10/22/2013	567 WOMEN'S EMPLOYMENT NETWORK	5,000
10/22/2013	568 YMCA - GREATER KANSAS CITY	5,000
10/22/2013	569 YOUTH SYMPHONY OF KANSAS CITY	1,000

Total	637,000
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MARCIA A RHODES TTEE

Account Number:

24-Hour Assistance: (800) MERRILL

MERRILL LYNCH UNIFIED MANAGED ACCOUNT

November 30, 2013 - December 31, 2013

YOUR INVESTMENT STRATEGY - DENVER INVST SCV 100.00% RATE: 0.350%

Rate shown above is the Style Manager Expense Rate. The "Style Manager Expense Rate" section in the UMA disclosure statement provides you with the expense rate payable to all of the available style managers, and the "Your Account Fees" section in the UMA client agreement provides additional information, including the Merrill Lynch Fee Rate. If a style manager(s) in your portfolio has changed, please note that the expense rate for the new style manager may be different. * Please see the Fund's prospectus or other disclosure statement for a description of its fees and expenses*. The percentage allocations next to the name of the above Style Manager(s) and/or Fund(s) are based, as applicable, on target allocations or allocations as of a particular date for the Portfolio or Strategy selected. Allocations for any particular account may differ from the allocations indicated above. For additional information, see the UMA client agreement, disclosure statement and profiles.

We encourage you to contact your Financial Advisor whenever there are changes in your financial circumstances or investment objectives, or if you wish to impose or modify any reasonable restrictions in the management of your account. If you would like to receive a copy of the UMA disclosure statement or a copy of the disclosure statement(s) for your style manager(s), without charge, please send a written request to: Merrill Lynch, Managed Account Advisors, 101 Hudson Street, 9th Floor, Jersey City, NJ 07302-3997.

YOUR TAA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Deposit Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	17,676		16,048	.05	0.70	11,785
TOTAL ML Bank Deposit Program	17,676				0.70	11,785

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
+ML BANK DEPOSIT PROGRAM	11,785.00	11,785.00	1.0000	11,785.00	6	.05
+FDIC INSURED NOT SIPC COVERED						

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MARCIA A RHODES TTEE

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ABM INDUSTRIES INC COM	ABM	12/20/13	120	28.1039	3,372.47	28.5900	3,430.80	58.33	75	2.16
ACTUANT CORP CL A	ATU	03/21/13	259	30.3637	7,864.20	36.6400	9,489.76	1,625.56	11	1.10
AMERICAN EQUITY INVT LIFE HLDG CO	AEL	11/03/10 10/21/11	198 74	10.9841 10.3100	2,174.87 762.94	26.3800 26.3800	5,223.24 1,952.12	3,048.37 1,189.18	36 14	.68 .68
Subtotal			272		2,937.81		7,175.36	4,237.55	50	.68
ASPEN INSURANCE HLDS LTD	AHL	01/30/12	271	26.1938	7,098.52	41.3100	11,195.01	4,096.49	196	1.74
BANK OF THE OZARKS INC	OZRK	09/23/11 09/28/11 10/21/11	42 129 26	20.1797 21.4707 24.1800	847.55 2,769.73 628.68	56.5900 56.5900 56.5900	2,376.78 7,300.11 1,471.34	1,529.23 4,530.38 842.66	36 109 22	1.48 1.48 1.48
Subtotal			197		4,245.96		11,148.23	6,902.27	167	1.48
BARNES GROUP INC DE \$.01	B	10/16/13 10/28/13	136 135	36.7627 35.9351	4,999.73 4,851.24	38.3100 38.3100	5,210.16 5,171.85	210.43 320.61	60 60	1.14 1.14
Subtotal			271		9,850.97		10,382.01	531.04	120	1.14
BRANDYWNE RLTY T SBI NEW REIT	BDN	11/03/10 10/21/11	607 65	11.7818 8.2964	7,151.61 539.27	14.0900 14.0900	8,552.63 915.85	1,401.02 376.58	365 39	4.25 4.25
Subtotal			672		7,690.88		9,468.48	1,777.60	404	4.25
BRINKS CO	BCO	11/03/10 03/22/11	123 4	25.4400 31.9900	3,129.12 127.96	34.1400 34.1400	4,199.22 136.56	1,070.10 8.60	50 2	1.17 1.17
		07/08/11 07/11/11 10/21/11 09/20/12	95 37 17 132	31.1580 30.8972 27.3500 25.1090	2,960.01 1,143.20 464.95 3,314.40	34.1400 34.1400 34.1400 34.1400	3,243.30 1,263.18 580.38 4,506.48	283.29 119.98 115.43 1,192.08	38 15 7 53	1.17 1.17 1.17 1.17
Subtotal			408		11,139.64		13,929.12	2,789.48	165	1.17
BRISTOW GROUP INC	BRS	07/08/11 07/11/11 10/21/11	83 28 18	51.1531 50.3785 47.7400	4,245.71 1,410.60 859.32	75.0600 75.0600 75.0600	6,229.98 2,101.68 1,351.08	1,984.27 691.08 491.76	83 28 18	1.33 1.33 1.33
Subtotal			129		6,515.63		9,682.74	3,167.11	129	1.33

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MARCIA A RHODES TTEE

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
BROWN SHOE CO INC COM	BWS	02/19/13	81	18.0337	1,460.73	28.1400	2,279.34	818.61	23 .99
		02/19/13	16	19.3825	310.12	28.1400	450.24	140.12	5 .99
		02/19/13	105	19.3823	2,035.15	28.1400	2,954.70	919.55	30 .99
		02/19/13	16	19.1775	306.84	28.1400	450.24	143.40	5 .99
		03/20/13	101	17.3147	1,748.79	28.1400	2,842.14	1,093.35	29 .99
		05/02/13	200	16.3910	3,278.20	28.1400	5,628.00	2,349.80	56 .99
Subtotal			519		9,139.83		14,604.66	5,464.83	148 .99
CASEYS GEN STORES INC	CASY	12/23/11	24	52.2420	1,253.81	70.2500	1,686.00	432.19	18 1.02
		04/02/12	65	55.9695	3,638.02	70.2500	4,566.25	928.23	47 1.02
Subtotal			89		4,891.83		6,252.25	1,360.42	65 1.02
CASH AMERICAN INTL INC	CSH	11/17/10	42	36.8271	1,546.74	38.3000	1,608.60	61.86	6 .36
		03/22/11	1	43.2900	43.29	38.3000	38.30	(4.99)	1 .36
		10/21/11	13	51.9000	674.70	38.3000	497.90	(176.80)	2 .36
		02/07/12	81	46.9301	3,801.34	38.3000	3,102.30	(699.04)	12 .36
		03/30/12	38	48.1047	1,827.98	38.3000	1,455.40	(372.58)	6 .36
		09/20/12	61	38.1311	2,326.00	38.3000	2,336.30	10.30	9 .36
		07/30/13	42	42.8500	1,799.70	38.3000	1,608.60	(191.10)	6 .36
		10/25/13	84	39.1079	3,285.07	38.3000	3,217.20	(67.87)	12 .36
Subtotal			362		15,304.82		13,864.60	(1,440.22)	54 .36
CATO CORP NEW CL A	CATO	03/28/13	59	24.2555	1,431.08	31.8000	1,876.20	445.12	12 .62
		04/01/13	55	24.1494	1,328.22	31.8000	1,749.00	420.78	11 .62
		04/02/13	121	24.4590	2,959.54	31.8000	3,847.80	888.26	25 .62
Subtotal			235		5,718.84		7,473.00	1,754.16	48 .62
CEC ENTMT INC KANS \$0.1	CEC	03/28/12	25	38.4156	960.39	44.2800	1,107.00	146.61	27 2.43
		05/25/12	86	35.8396	3,082.21	44.2800	3,808.08	725.87	93 2.43
		05/29/12	56	35.9030	2,010.57	44.2800	2,479.68	469.11	61 2.43
Subtotal			167		6,053.17		7,394.76	1,341.59	181 2.43

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MARCIA A RHODES TTEE

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
CHESAPEAKE LODGING TR	CHSP	12/13/13	138	23.6607	3,255.19	25.2900	3,490.02	224.83	144	4.11
		12/18/13	105	24.5847	2,581.40	25.2900	2,655.45	74.05	110	4.11
		12/19/13	35	24.5945	860.81	25.2900	885.15	24.34	37	4.11
Subtotal			278		6,707.40		7,030.62	323.22	291	4.11
CMNTY BANK SYS INC DEL	CBU	11/03/10	64	23.7503	1,520.02	39.6800	2,539.52	1,019.50	72	2.82
		05/31/11	80	24.8896	1,991.17	39.6800	3,174.40	1,183.23	90	2.82
		10/21/11	32	25.0900	802.88	39.6800	1,269.76	466.88	36	2.82
Subtotal			176		4,314.07		6,983.68	2,669.61	198	2.82
COMPASS MINERALS INTL INC	CMP	05/24/12	33	75.9354	2,505.87	80.0500	2,641.65	135.78	72	2.72
		07/06/12	42	77.3835	3,250.11	80.0500	3,362.10	111.99	92	2.72
		07/30/12	2	76.7950	153.59	80.0500	160.10	6.51	5	2.72
		09/20/12	63	74.7995	4,712.37	80.0500	5,043.15	330.78	138	2.72
		08/26/13	32	73.8668	2,363.74	80.0500	2,561.60	197.86	70	2.72
Subtotal			172		12,985.68		13,768.60	782.92	377	2.72
COMSTOCK RES INC NEW COM	CRK	07/16/13	375	16.9024	6,338.40	18.2900	6,858.75	520.35	188	2.73
		07/31/13	95	16.7878	1,594.85	18.2900	1,737.55	142.70	48	2.73
Subtotal			470		7,933.25		8,596.30	663.05	236	2.73
CON-WAY INC	CNW	08/15/13	149	42.3277	6,306.84	39.7100	5,916.79	(390.05)	60	1.00
CUBESMART COM	CUBE	06/25/12	137	11.0429	1,512.88	15.9400	2,183.78	670.90	72	3.26
		07/09/12	297	11.8089	3,507.26	15.9400	4,734.18	1,226.92	155	3.26
Subtotal			434		5,020.14		6,917.96	1,897.82	227	3.26
CUBIC CORP DEL	CUB	09/28/11	7	40.0585	280.41	52.6600	368.62	88.21	2	.45
		10/21/11	6	43.7300	262.38	52.6600	315.96	53.58	2	.45
		02/17/12	35	49.0988	1,718.46	52.6600	1,843.10	124.64	9	.45
		02/21/12	33	49.4272	1,631.10	52.6600	1,737.78	106.68	8	.45
		07/06/12	72	48.7370	3,509.07	52.6600	3,791.52	282.45	18	.45
Subtotal			153		7,401.42		8,056.98	655.56	39	.45

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MARCIA A RHODES TTEE

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
CURTISS WRIGHT	CW	03/22/11 10/21/11 08/26/13 08/27/13	120 12 49 8 189	33.8327 31.0100 42.4032 42.4387	4,059.93 372.12 2,077.76 339.51 6,849.32	62.2300 62.2300 62.2300 62.2300	7,467.60 746.76 3,049.27 497.84 11,761.47	3,407.67 374.64 971.51 158.33 4,912.15	48 5 20 4 77	.64 .64 .64 .64 .64
CYPRESS SEMICONDTR PV1CTS	CY	07/09/12 07/15/13	381 167 548	12.4654 11.2176	4,749.35 1,873.34 6,622.69	10.5000 10.5000	4,000.50 1,753.50 5,754.00	(748.85) (119.84) (868.69)	168 74 242	4.19 4.19 4.19
DUPONT FABROS TECHNOLOGY	DFT	02/03/12 12/11/12 12/12/12 07/26/13	224 38 55 87 404	25.8041 24.4628 24.4925 23.5596	5,780.12 929.59 1,347.09 2,049.69 10,106.49	24.7100 24.7100 24.7100 24.7100	5,535.04 938.98 1,359.05 2,149.77 9,982.84	(245.08) 9.39 11.96 100.08 (123.65)	224 38 55 87 404	4.04 4.04 4.04 4.04 4.04
EL PASO ELECTRIC CO NEW	EE	05/16/12 09/20/12 09/21/12	69 93 72 234	30.2449 33.9867 34.1648	2,086.90 3,160.77 2,459.87 7,707.54	35.1100 35.1100 35.1100	2,422.59 3,265.23 2,527.92 8,215.74	335.69 104.46 68.05 508.20	74 99 77 250	3.01 3.01 3.01 3.01
ENDURANCE SPECIALTY HLDG	ENH	11/03/10 11/03/10 07/08/11 07/11/11 10/21/11	63 38 37 124 41 303	42.6300 43.3900 41.6891 41.1323 35.6100	2,685.69 1,648.82 1,542.50 5,100.41 1,460.01 12,437.43	58.6700 58.6700 58.6700 58.6700 58.6700	3,696.21 2,229.46 2,170.79 7,275.08 2,405.47 17,777.01	1,010.52 580.64 628.29 2,174.67 945.46 5,339.58	81 49 48 159 53 390	2.18 2.18 2.18 2.18 2.18 2.18
ENERGY XXI LTD NEW COM SHARES	EXXI	08/27/13 10/25/13	356 54 410	26.8296 31.3925	9,551.34 1,695.20 11,246.54	27.0600 27.0600	9,633.36 1,461.24 11,094.60	82.02 (233.96) (151.94)	171 26 197	1.77 1.77 1.77

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MARCIA A RHODES TTEE

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
↑ FINISH LINE INC CL A	FINL	11/03/10 11/11/10 10/21/11	160 213 71	15.6981 16.1609 20.1100	2,511.70 3,442.29 1,427.81	28.1700 28.1700 28.1700	4,507.20 6,000.21 2,000.07	1,995.50 2,557.92 572.26	45 60 20	.99 .99 .99
Subtotal			444		7,381.80		12,507.48	5,125.68	125	.99
FULTON FINL CORP PA	FULT	11/03/10 03/22/11 08/04/11 10/21/11	346 6 139 114	9.0050 10.9200 9.9453 9.6500	3,115.73 65.52 1,382.40 1,100.10	13.0850 13.0850 13.0850 13.0850	4,527.41 78.51 1,818.82 1,491.69	1,411.68 12.99 436.42 391.59	111 2 45 37	2.44 2.44 2.44 2.44
Subtotal			605		5,663.75		7,916.43	2,252.68	195	2.44
FXCM INC COM CL A	FXCM	08/23/13 08/26/13 10/25/13 11/26/13	234 103 178 161	19.0256 19.1937 16.3885 16.7795	4,452.01 1,976.96 2,917.17 2,701.50	17.8400 17.8400 17.8400 17.8400	4,174.56 1,837.52 3,175.52 2,872.24	(277.45) (139.44) 258.35 170.74	57 25 43 39	1.34 1.34 1.34 1.34
Subtotal			676		12,047.64		12,059.84	12.20	164	1.34
G&K SERVICES INC CL A	GK	10/18/13 10/21/13 10/30/13	47 9 52	62.1451 62.7411 62.9078	2,920.82 564.67 3,271.21	62.2300 62.2300 62.2300	2,924.81 560.07 3,235.96	3.99 (4.60) (35.25)	51 10 57	1.73 1.73 1.73
Subtotal			108		6,756.70		6,720.84	(35.86)	118	1.73
GOVT PTYS INCOME TR	GOV	11/03/10 11/19/10 10/21/11	213 58 29	26.2936 27.0387 22.6686	5,600.55 1,568.25 657.39	24.8500 24.8500 24.8500	5,293.05 1,441.30 720.65	(307.50) (126.95) 63.26	367 100 50	6.92 6.92 6.92
Subtotal			300		7,826.19		7,455.00	(371.19)	517	6.92
GREENHILL COMPANY INC	GHL	08/26/13 10/21/13	186 80	50.7551 50.2550	9,440.45 4,020.40	57.9400 57.9400	10,776.84 4,635.20	1,336.39 614.80	335 144	3.10 3.10
Subtotal			266		13,460.85		15,412.04	1,951.19	479	3.10

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MARCIA A RHODES TTEE

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
GULFMARK OFFSHORE INC CLA	GLF	12/12/12 04/29/13 07/05/13	75 75 36	33.2544 38.9900 47.5277	2,494.08 2,924.25 1,711.00	47.1300 47.1300 47.1300	3,534.75 3,534.75 1,696.68	1,040.67 610.50 (14.32)	75 2.12 75 2.12 36 2.12
Subtotal			186		7,129.33		8,766.18	1,636.85	186 2.12
HANCOCK HOLDING CO	HBHC	09/03/13 09/04/13	191 199	31.5426 31.6992	6,024.64 6,308.16	36.6800 36.6800	7,005.88 7,299.32	981.24 991.16	184 2.61 192 2.61
Subtotal			390		12,332.80		14,305.20	1,972.40	376 2.61
IBERIABANK CORP COM	IBKC	02/07/13 02/07/13 02/07/13 02/19/13 02/20/13 02/27/13 04/16/13 09/04/13	19 12 32 30 40 12 21 40	51.5057 52.0866 52.0271 52.3376 52.3460 50.4091 48.6923 52.6610	978.61 625.04 1,664.87 1,570.13 2,093.84 604.91 1,022.54 2,106.44	62.8500 62.8500 62.8500 62.8500 62.8500 62.8500 62.8500 62.8500	1,194.15 754.20 2,011.20 1,885.50 2,514.00 754.20 1,319.85 2,514.00	215.54 129.16 346.33 315.37 420.16 149.29 297.31 407.56	26 2.16 17 2.16 44 2.16 41 2.16 55 2.16 17 2.16 29 2.16 55 2.16
Subtotal			206		10,666.38		12,947.10	2,280.72	284 2.16
INNOPHOS HLDGS INC	IPHS	11/03/10 03/22/11 10/21/11 07/05/13 08/15/13	69 24 14 39 63	32.8000 40.7700 42.6300 47.8820 51.2269	2,263.20 978.48 596.82 1,867.40 3,227.30	48.6000 48.6000 48.6000 48.6000 48.6000	3,353.40 1,166.40 680.40 1,895.40 3,061.80	1,090.20 187.92 83.58 28.00 (165.50)	111 3.29 39 3.29 23 3.29 63 3.29 101 3.29
Subtotal			209		8,933.20		10,157.40	1,224.20	337 3.29
INTERSIL CORP CLA	ISIL	04/16/12 07/06/12 09/20/12	20 335 216	10.6650 10.4628 9.0567	213.30 3,505.04 1,956.25	11.4710 11.4710 11.4710	229.42 3,842.79 2,477.74	16.12 337.75 521.49	10 4.18 161 4.18 104 4.18
Subtotal			571		5,674.59		6,549.95	875.36	275 4.18
J2 GLOBAL INC	JCOM	06/03/13 06/04/13	102 42	40.6253 40.8159	4,143.79 1,714.27	50.0100 50.0100	5,101.02 2,100.42	957.23 386.15	105 2.03 43 2.03
Subtotal			144		5,858.06		7,201.44	1,343.38	148 2.03

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MARCIA A RHODES TTEE

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
MAXIMUS INC	MMS	11/03/10	45	15.3455	690.55	43.9900	1,979.55	1,289.00	9 .40
		01/31/11	244	16.9219	4,128.96	43.9900	10,733.56	6,604.60	44 .40
		10/21/11	48	18.5250	889.20	43.9900	2,111.52	1,222.32	9 .40
Subtotal			337		5,708.71		14,824.63	9,115.92	62 .40
MONTPELIER RE HLDGS LTD	MRH	02/15/13	118	24.8556	2,932.97	29.1000	3,433.80	500.83	59 1.71
		02/20/13	154	24.8448	3,826.11	29.1000	4,481.40	655.29	77 1.71
		07/17/13	60	26.9033	1,614.20	29.1000	1,746.00	131.80	30 1.71
Subtotal			332		8,373.28		9,661.20	1,287.92	166 1.71
NICE SYSTS LTD SPSP ADR	NICE	03/19/13	76	36.9756	2,810.15	40.9600	3,112.96	302.81	32 .99
		03/24/13	30	36.4533	1,093.60	40.9600	1,228.80	135.20	13 .99
		04/01/13	46	36.3267	1,671.03	40.9600	1,884.16	213.13	19 .99
		04/02/13	3	36.5866	109.76	40.9600	122.88	13.12	2 .99
		04/08/13	43	35.6006	1,530.83	40.9600	1,761.28	230.45	18 .99
		04/09/13	37	35.4751	1,312.58	40.9600	1,515.52	202.94	16 .99
		07/05/13	43	37.4913	1,612.13	40.9600	1,761.28	149.15	18 .99
		08/01/13	21	38.6895	812.48	40.9600	860.16	47.68	9 .99
		08/02/13	25	38.2840	957.10	40.9600	1,024.00	66.90	11 .99
Subtotal			324		11,909.66		13,271.04	1,361.38	138 .99
OWENS & MINOR INC NEW COM	OMI	07/08/08	42	30.4569	1,279.19	36.5600	1,535.52	256.33	41 2.62
		11/03/10	190	28.5720	5,428.68	36.5600	6,946.40	1,517.72	183 2.62
		03/22/11	1	31.1900	31.19	36.5600	36.56	5.37	1 2.62
		10/21/11	5	30.9640	154.82	36.5600	182.80	27.98	5 2.62
Subtotal			238		6,893.88		8,701.28	1,807.40	230 2.62
PIER 1 IMPORTS INC DEL	PIR	11/01/13	318	20.7538	6,599.71	23.0800	7,339.44	739.73	77 1.03
PLANTRONICS INC NEW COM	PLT	09/15/11	77	31.3810	2,416.34	46.4500	3,576.65	1,160.31	31 .86
		09/16/11	120	31.0878	3,730.54	46.4500	5,574.00	1,843.46	48 .86
		10/21/11	29	31.5500	914.95	46.4500	1,347.05	432.10	12 .86
Subtotal			226		7,061.83		10,497.70	3,435.87	91 .86

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MARCIA A RHODES TTEE

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
PORTLAND GEN ELEC CO	POR	03/22/11 09/22/11 10/21/11	236 111 46	23.8863 23.1281 24.6100	5,637.19 2,567.22 1,132.06	30.2000 30.2000 30.2000	7,127.20 3,352.20 1,389.20	1,490.01 784.98 257.14	260 123 51	3.64 3.64 3.64
Subtotal			393		9,336.47		11,868.60	2,532.13	434	3.64
PRIMERICA INC COM	PRI	03/25/13 04/02/13 04/04/13 04/16/13	128 54 35 36	32.6703 31.9837 31.6525 32.2800	4,181.80 1,727.12 1,107.84 1,162.08	42.9100 42.9100 42.9100 42.9100	5,492.48 2,317.14 1,501.85 1,544.76	1,310.68 590.02 394.01 382.68	57 24 16 16	1.02 1.02 1.02 1.02
Subtotal			253		8,178.84		10,856.23	2,677.39	113	1.02
QUESTCOR PHARMACEUTICALS	QCOR	05/07/13 05/08/13 09/20/13	221 65 60	32.5752 32.8743 55.4626	7,199.14 2,136.83 3,327.76	54.4500 54.4500 54.4500	12,033.45 3,539.25 3,267.00	4,834.31 1,402.42 (60.76)	266 78 72	2.20 2.20 2.20
Subtotal			346		12,663.73		18,839.70	6,175.97	416	2.20
SCHWEITZER MAUDIT INTL	SWM	07/20/11 07/27/11 07/28/11 10/21/11 09/20/12 11/08/13	86 74 22 38 64 94	28.0177 28.3904 28.4795 29.2050 33.2126 51.5630	2,409.53 2,100.89 626.55 1,109.79 2,125.61 4,846.93	51.4700 51.4700 51.4700 51.4700 51.4700 51.4700	4,426.42 3,808.78 1,132.34 1,955.86 3,294.08 4,838.18	2,016.89 1,707.89 505.79 846.07 1,168.47 (8.75)	124 107 32 55 93 136	2.79 2.79 2.79 2.79 2.79 2.79
Subtotal			378		13,219.30		19,455.66	6,236.36	547	2.79
SENSIENT TECHNOLOGY CORP	SXT	09/14/11 10/21/11 04/03/12	82 9 59	34.9039 36.8600 38.0108	2,862.12 331.74 2,242.64	48.5200 48.5200 48.5200	3,978.64 436.68 2,862.68	1,116.52 104.94 620.04	76 9 55	1.89 1.89 1.89
Subtotal			150		5,436.50		7,278.00	1,841.50	140	1.89
STAGE STORES INC COM NEW	SSI	11/03/10 10/21/11 07/09/13 08/07/13	172 57 71 126	13.9815 15.1700 24.2587 24.0050	2,404.83 864.69 1,722.37 3,024.64	22.2200 22.2200 22.2200 22.2200	3,821.84 1,266.54 1,577.62 2,799.72	1,417.01 401.85 (144.75) (224.92)	86 29 36 63	2.25 2.25 2.25 2.25
Subtotal			426		8,016.53		9,465.72	1,449.19	214	2.25

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MARCIA A RHODES TTEE

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
STANCORP FINANCL GRP INC	SFG	10/15/09 10/21/11	71 11	41.8154 31.4700	2,968.90 346.17	66.2500 66.2500	4,703.75	1,734.85	79	1.66
Subtotal		02/10/12	73 155	38.8367	2,835.08 6,150.15	66.2500	4,836.25 10,268.75	2,001.17 4,118.60	81 173	1.66 1.66
STAND MOTOR PROD	SMP	09/10/13 09/25/13	101 44	30.4099 32.1404	3,071.40 1,414.18	36.8000 36.8000	3,716.80	645.40	45	1.19
Subtotal		09/26/13	53 198	32.6667	1,731.34 6,216.92	36.8000	1,950.40 7,286.40	219.06 1,069.48	24 89	1.19 1.19
TEXAS ROADHOUSE INC-CL A	TXRH	03/06/13	365	20.0207	7,307.59	27.8000	10,147.00	2,839.41	176	1.72
THOR INDUSTRIES INC	THO	07/03/13 07/05/13 11/07/13	69 54 99	50.0405 50.9925 52.0321	3,452.80 2,753.60 5,151.18	55.2300 55.2300 55.2300	3,810.87 2,982.42 5,467.77	358.07 228.82 316.59	64 50 92	1.66 1.66 1.66
Subtotal			222		11,357.58		12,261.06	903.48	206	1.66
TIDEWATER INC COM NEW	TDW	11/03/10 03/22/11	83 1	47.5653 60.6400	3,947.92 60.64	59.2700 59.2700	4,919.41	971.49	83	1.68
Subtotal		07/08/11 10/21/11 07/06/12	58 20 74	54.9820 47.0300 47.0517	3,188.96 940.60 3,481.83	59.2700 59.2700 59.2700	3,437.66 1,185.40 4,385.98	248.70 244.80 904.15	58 20 74	1.68 1.68 1.68
Subtotal			236		11,619.95		13,987.72	2,367.77	236	1.68
TORO CO	TTC	11/03/10 03/22/11 10/21/11 08/01/13	38 8 30 36	28.7800 30.7750 26.1700 49.3127	1,093.64 246.20 785.10 1,775.26	63.6000 63.6000 63.6000 63.6000	2,416.80	1,323.16	31	1.25
Subtotal			112		3,900.20		7,123.20	3,223.00	91	1.25
TRUSTMARK CORP COM	TRMK	11/22/10 08/05/11 10/21/11	148 90 31	21.9465 20.5447 21.6000	3,248.09 1,849.03 669.60	26.8400 26.8400 26.8400	3,972.32	724.23	137	3.42
Subtotal			269		5,766.72		7,219.96	1,453.24	249	3.42

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MARCIA A RHODES TTEE

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
UNS ENERGY CORP	UNS	05/16/12	64	36.3456	2,326.12	59.8500	3,830.40	1,504.28	112 2.90
		06/04/12	83	37.2473	3,091.53	59.8500	4,967.55	1,876.02	145 2.90
		06/05/12	30	37.3903	1,121.71	59.8500	1,795.50	673.79	53 2.90
		06/06/12	19	37.2789	708.30	59.8500	1,137.15	428.85	34 2.90
Subtotal			196		7,247.66		11,730.60	4,482.94	344 2.90
WATTS WATER TECH INC	WTS	11/04/13	25	57.9928	1,449.82	61.8700	1,546.75	96.93	13 .84
		11/05/13	27	57.9851	1,565.60	61.8700	1,670.49	104.89	15 .84
		11/07/13	68	57.6682	3,921.44	61.8700	4,207.16	285.72	36 .84
Subtotal			120		6,936.86		7,424.40	487.54	64 .84
WEBSTER FINL CP PV \$0.01	WBS	02/23/12	274	22.9075	6,276.68	31.1800	8,543.32	2,266.64	165 1.92
WEST PHARMACTL SVCS INC	WST	07/13/11	208	23.0318	4,790.62	49.0600	10,204.48	5,413.86	84 .81
		09/14/11	122	20.0083	2,441.02	49.0600	5,985.32	3,544.30	49 .81
		10/21/11	58	19.2400	1,115.92	49.0600	2,845.48	1,729.56	24 .81
		09/20/12	98	25.2419	2,473.71	49.0600	4,807.88	2,334.17	40 .81
Subtotal			486		10,821.27		23,843.16	13,021.89	197 .81
WESTAMERICA BANCORP	WABC	11/03/10	86	50.2500	4,321.50	56.4600	4,855.56	534.06	131 2.69
		11/18/10	31	51.1764	1,586.47	56.4600	1,750.26	163.79	48 2.69
		03/22/11	1	50.8300	50.83	56.4600	56.46	5.63	2 2.69
		09/14/11	53	40.7300	2,158.69	56.4600	2,992.38	833.69	81 2.69
		09/15/11	15	40.9520	614.28	56.4600	846.90	232.62	23 2.69
		10/21/11	26	42.9711	1,117.25	56.4600	1,467.96	350.71	40 2.69
Subtotal			212		9,849.02		11,969.52	2,120.50	325 2.69
WESTERN REFNG INC	WNR	08/07/13	410	30.7311	12,599.79	42.4100	17,388.10	4,788.31	361 2.07

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MARCIA A RHODES TTEE

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
WINTRUST FINL CP ILL COM	WTFC	11/03/10	3	30.2100	90.63	46.1200	138.36	47.73	1	.39
		01/24/11	80	33.4066	2,672.53	46.1200	3,689.60	1,017.07	15	.39
		10/21/11	36	28.9800	1,043.28	46.1200	1,660.32	617.04	7	.39
		03/25/13	56	36.9694	2,070.29	46.1200	2,582.72	512.43	11	.39
		09/04/13	100	39.5319	3,953.19	46.1200	4,612.00	658.81	18	.39
Subtotal			275		9,829.92		12,683.00	2,853.08	52	.39
TOTAL					530,473.42		683,281.66	152,808.24	13,361	1.96
TOTAL PRINCIPAL INVESTMENTS					542,258.42	B/A	695,066.66	152,808.24	13,367	1.92

Notes

Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

Principal Debit Balance

51.73

YOUR TAA STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	202.00	202.00		202.00		
TOTAL INCOME INVESTMENTS		202.00	B/A	202.00	A	
LONG PORTFOLIO		Adjusted/Total	Estimated	Unrealized	Estimated	Current
		Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Yield%
TOTAL PRINCIPAL/INCOME INVESTMENTS		542,460.42	695,268.66	152,808.24	13,367	1.92

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This Period Year to Date

Income Summary	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Money Funds Dividends	0.00	1.33	0.00	20.32
Corporate Bond and Other Interest	0.00	14,171.46	0.00	193,158.41
Treasury Bond Interest	0.00	0.00	0.00	24,637.90
Total Income	0.00	14,172.79	0.00	217,826.63
Accrued Interest Paid ⁴	0.00	(1,089.04)	0.00	(42,371.02)

⁴Certain accrued interest paid on taxable bonds may be deductible, consult your tax advisor.

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX	66,641.2600 TB / A	1.0000	66,641.26 A	0.01%	1%

Investment Detail - Fixed Income

U.S. Treasuries	Units Purchased	Par	Market Price	Cost Per Unit	Market Value	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
US TREASURY 4.5%08/39	40,000.0000		110.2969		44,118.76	46,622.28	<1%	(2,503.52) ^b	1,800.00	
UST BOND DUE 08/15/39	40,000.0000		116.6160		46,646.40	46,622.28	10/30/13	(2,503.52) ^b	3.51%	
CUSIP: 912810QC5									Accrued Interest: 679.89	

Investment Detail - Fixed Income (continued)

U.S. Treasuries (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
US TREASURY 2.625%08/20	475,000.0000		101.9219	484,129.03	496,703.94	10%	(12,574.91) ^b	12,468.75
UST BOND DUE 08/15/20	440,000.0000		104.6544	460,479.46	459,945.69	10/18/13	(11,489.33) ^b	1.89%
CUSIP: 912828NT3	35,000.0000		105.0620	36,771.70	36,758.25	11/07/13	(1,085.58) ^b	2.02%
Cost Basis				497,251.16				Accrued Interest: 4,709.67

Total Accrued Interest for U.S. Treasuries: 5,389.56

Corporate Bonds	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
ABBOTT LABORATO 5.125%19	29,000.0000		113.5592	32,932.17	32,102.74	<1%	829.43 ^b	1,486.25
DUE 04/01/19	29,000.0000		115.0758	33,372.00	32,102.74	08/03/11	829.43 ^b	2.91%
CUSIP: 002824AU4								
MOODY'S: A1 S&P: A+								Accrued Interest: 371.56
ABBVIE INC. 2.9%22	15,000.0000		93.5118	14,026.77	14,146.87	<1%	(120.10) ^b	435.00
DUE 11/06/22	15,000.0000		94.2880	14,143.20	14,146.87	12/11/13	(120.10) ^b	3.65%
CUSIP: 00287YAL3								
MOODY'S: Baa1 S&P: A								Accrued Interest: 66.46

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
ALLSTATE CORPORA 7.45%19	25,000.0000		124.6698	31,167.45	29,453.43	<1%	1,714.02 ^b	1,862.50
DUE 05/16/19	25,000.0000		124.6830	31,170.75	29,453.43	08/03/11	1,714.02 ^b	3.75%
CUSIP: 020002AX9								
MOODY'S: A3 S&P: A-								Accrued Interest: 232.81
AMER MOVIL SAB 5.625%17F	20,000.0000		112.5893	22,517.86	22,863.44	<1%	(345.58) ^b	1,125.00
DUE 11/15/17	20,000.0000		114.9200	22,984.00	22,863.44	10/28/13	(345.58) ^b	1.77%
AMER MOVIL SAB DE								
CUSIP: 02364WAN5								
MOODY'S: A2 S&P: A-								Accrued Interest: 143.75
AMERICAN EXPRESS 7%18	25,000.0000		119.4609	29,865.23	30,209.84	<1%	(344.61) ^b	1,750.00
DUE 03/19/18	25,000.0000		121.6050	30,401.25	30,209.84	10/30/13	(344.61) ^b	1.83%
CUSIP: 025816AY5								
MOODY'S: A3 S&P: BBB+								Accrued Interest: 495.83
AMERICAN EXPRESS 7.25%14	40,000.0000		102.5269	41,010.76	41,036.32	<1%	(25.56) ^b	2,900.00
DUE 05/20/14	40,000.0000		106.9815	42,792.60	41,036.32	05/02/13	(25.56) ^b	0.48%
CUSIP: 025816BA6								
MOODY'S: A3 S&P: BBB+								Accrued Interest: 330.28
AMERIPRISE FINANC 5.3%20	15,000.0000		112.0038	16,800.57	17,231.96	<1%	(431.39) ^b	795.00
DUE 03/15/20	15,000.0000		115.2740	17,291.10	17,231.96	10/23/13	(431.39) ^b	2.67%
CUSIP: 03076CAE6								
MOODY'S: A3 S&P: A								Accrued Interest: 234.08

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
ARCHERDANIELSMIDL 7.5%27	20,000.0000		125.4787	25,095.74	25,889.59	<1%	(793.85) ^b	1,500.00	
DUE 03/15/27	20,000.0000		133.1840	26,636.80	25,889.59	08/02/11	(793.85) ^b	4.51%	
CUSIP: 039483AM4									
MOODY'S: A2 S&P: A									
AT&T INC. 5.8%19	5,000.0000		115.5586	5,777.93	5,808.95	<1%	(31.02) ^b	290.00	
DUE 02/15/19	5,000.0000		116.7220	5,836.10	5,808.95	10/22/13	(31.02) ^b	2.42%	
CUSIP: 00206RAR3									
MOODY'S: A3 S&P: A-									
AT&T INC. 6.4%38	3,000.0000		111.6444	3,349.33	3,334.64	<1%	14.69 ^b	192.00	
DUE 05/15/38	3,000.0000		111.1910	3,335.73	3,334.64	10/22/13	14.69 ^b	5.55%	
CUSIP: 00206RAN2									
MOODY'S: A3 S&P: A-									
ATMOS ENERGY COR 4.95%14	45,000.0000		103.2484	46,461.78	46,526.24	1%	(64.46) ^b	2,227.50	
DUE 10/15/14	45,000.0000		104.0683	46,830.75	46,526.24	10/31/13	(64.46) ^b	0.62%	
CUSIP: 049560AF2									
MOODY'S: Baa1 S&P: A-									
BANK AMER CORP 5.65%18	35,000.0000		113.5795	39,752.83	39,823.00	<1%	(70.17) ^b	1,977.50	
DUE 05/01/18	35,000.0000		114.3408	40,019.30	39,823.00	10/22/13	(70.17) ^b	2.28%	
CUSIP: 06051GDX4									
MOODY'S: Baa2 S&P: A-									

Accrued Interest: 441.67
Accrued Interest: 109.56
Accrued Interest: 24.53
Accrued Interest: 470.25
Accrued Interest: 329.58

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
BANK AMER CORP 7.375%14	25,000.0000		102.4178	25,604.45	25,636.31	<1%	(31.86)^b	1,843.75
DUE 05/15/14	25,000.0000		103.3240	25,831.00	25,636.31	11/18/13	(31.86) ^b	0.48%
CUSIP: 06051GDY2								
MOODY'S: Baa2 S&P: A-								Accrued Interest: 235.59
BEAR STEARNS CO 6.4%17	55,000.0000		116.7690	64,222.95	64,327.67	1%	(104.72)^b	3,520.00
DUE 10/02/17	55,000.0000		117.7762	64,776.95	64,327.67	10/22/13	(104.72) ^b	1.71%
CUSIP: 073902PR3								
MOODY'S: A3 S&P: A								Accrued Interest: 870.22
BP CAPITAL MKT P 4.5%20F	30,000.0000		108.1318	32,439.54	31,883.61	<1%	555.93^b	1,350.00
DUE 10/01/20	30,000.0000		108.1780	32,453.40	31,883.61	08/05/11	555.93 ^b	3.44%
BP CAPITAL MKT PL								
CUSIP: 05565QBP2								
MOODY'S: A2 S&P: A								Accrued Interest: 337.50
BRITISH TELECO 9.625%30F	20,000.0000		149.0744	29,814.88	30,030.41	<1%	(215.53)^b	1,925.00
DUE 12/15/30	20,000.0000		150.4850	30,097.00	30,030.41	10/22/13	(215.53) ^b	5.15%
BRITISH TELECOMMS								
CUSIP: 111021AE1								
MOODY'S: Baa2 S&P: BBB								Accrued Interest: 85.56

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
BUNGE LTD FIN COR 8.5% ¹⁹	20,000.0000		123.3864	24,677.28	24,864.32	<1%	(187.04) ^b	1,700.00
DUE 06/15/19	20,000.0000		125.0750	25,015.00	24,864.32	10/21/13	(187.04) ^b	3.55%
CUSIP: 120568AT7								
MOODY'S: Baa2 S&P: BBB-								Accrued Interest: 75.56
BURLINGTON NO SAN 6.15% ³⁷	10,000.0000		112.8300	11,283.00	11,572.29	<1%	(289.29) ^b	615.00
DUE 05/01/37	10,000.0000		116.5280	11,652.80	11,572.29	08/02/11	(289.29) ^b	5.00%
CUSIP: 12189TAZ7								
MOODY'S: A3 S&P: BBB+								Accrued Interest: 102.50
CANADIAN NATURAL 5.7% ^{17F}	25,000.0000		112.4668	28,116.70	28,258.36	<1%	(141.66) ^b	1,425.00
DUE 05/15/17	25,000.0000		113.6880	28,422.00	28,258.36	10/24/13	(141.66) ^b	1.70%
CANADIAN NATURAL								
CUSIP: 136385AK7								
MOODY'S: Baa1 S&P: BBB+								Accrued Interest: 182.08
CANADIAN PACIFIC 7.25% ¹⁹	20,000.0000		121.6439	24,328.78	24,603.41	<1%	(274.63) ^b	1,450.00
DUE 05/15/19	20,000.0000		123.7120	24,742.40	24,603.41	10/24/13	(274.63) ^b	2.62%
CUSIP: 13645RAJ3								
MOODY'S: Baa3 S&P: BBB-								Accrued Interest: 185.28
CAPITAL ONE FC 6.75% ¹⁷	30,000.0000		116.5608	34,968.24	35,208.86	<1%	(240.62) ^b	2,025.00
DUE 09/15/17	30,000.0000		118.1740	35,452.20	35,208.86	10/23/13	(240.62) ^b	1.87%
CUSIP: 14040HAR6								
MOODY'S: Baa1 S&P: BBB								Accrued Interest: 596.25

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Investment Detail - Fixed Income (continued)

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
CONOCOPHILLIPS 6.95%29	10,000.0000		126.0298	12,602.98	12,503.00	<1%	99.98^b	695.00
DUE 04/15/29	10,000.0000		127.5980	12,759.80	12,503.00	08/02/11	99.98 ^b	4.64%
CUSIP: 208251AE8								
MOODY'S: A1 S&P: A								
CONSTELLATION BR 7.25%17	20,000.0000		116.0000	23,200.00	23,195.55	<1%	4.45^b	1,450.00
DUE 05/15/17	10,000.0000		116.9050	11,690.50	11,605.61	10/22/13	(5.61) ^b	2.27%
CUSIP: 21036PAF5	10,000.0000		116.0500	11,605.00	11,589.94	12/17/13	10.06 ^b	2.31%
MOODY'S: Ba1 S&P: BB+								
Cost Basis				23,295.50				
								Accrued Interest: 185.28
CSX CORPORATION 6.25%18	30,000.0000		115.7689	34,730.67	35,138.67	<1%	(408.00)^b	1,875.00
DUE 03/15/18	30,000.0000		117.8180	35,345.40	35,138.67	10/24/13	(408.00) ^b	1.98%
CUSIP: 126408GM9								
MOODY'S: Baa2 S&P: BBB+								
								Accrued Interest: 552.08
CVS CAREMARK CO 4.125%21	20,000.0000		104.1517	20,830.34	21,098.51	<1%	(268.17)^b	825.00
DUE 05/15/21	20,000.0000		105.6150	21,123.00	21,098.51	10/21/13	(268.17) ^b	3.27%
CALLABLE 02/01/14 AT 100.00000								
CUSIP: 126650BW9								
MOODY'S: Baa1 S&P: BBB+								
								Accrued Interest: 105.42

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
DEUTSCHE TELEKO 8.75%30F	10,000.0000		142.0642	14,206.42	14,263.43	<1%	(57.01)^b	875.00
DUE 06/15/30	10,000.0000		142.9140	14,291.40	14,263.43	10/25/13	(57.01) ^b	4.93%
DEUTSCHE TELEKOM CUSIP: 25156PAC7 MOODY'S: Baa1 S&P: BBB+								
Accrued Interest: 38.89								
DEVON FINANCING 7.875%31	10,000.0000		128.6246	12,862.46	13,166.01	<1%	(303.55)^b	787.50
DUE 09/30/31	10,000.0000		131.8520	13,185.20	13,166.01	10/24/13	(303.55) ^b	5.13%
CUSIP: 25179SAD2 MOODY'S: Baa1 S&P: BBB+								
Accrued Interest: 199.06								
DIAGEO CAPITAL 4.828%20F	15,000.0000		109.9502	16,492.53	16,912.07	<1%	(419.54)^b	724.20
DUE 07/15/20	15,000.0000		113.0720	16,960.80	16,912.07	10/22/13	(419.54) ^b	2.68%
DIAGEO CAPITAL PL CUSIP: 25243YAP4 MOODY'S: A3 S&P: A-								
Accrued Interest: 333.94								
DOMINION RESOUR 8.875%19	35,000.0000		127.5552	44,644.32	45,170.55	<1%	(526.23)^b	3,106.25
DUE 01/15/19	35,000.0000		129.9998	45,499.95	45,170.55	10/23/13	(526.23) ^b	2.67%
CUSIP: 25746UBG3 MOODY'S: Baa2 S&P: BBB+								
Accrued Interest: 1,432.33								

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
DOW CHEMICAL CO 8.55%19	25,000.0000		129.4810	32,370.25	31,971.20	<1%	399.05 ^b	2,137.50
DUE 05/15/19 CUSIP: 260543BX0 MOODY'S: Baa2 S&P: BBB	25,000.0000		128.7860	32,196.50	31,971.20	10/21/13	399.05 ^b	2.90%
								Accrued Interest: 273.13
DUKE CAPITAL CORP 6.2%18	20,000.0000		112.7592	22,551.84	22,968.29	<1%	(416.45) ^b	1,240.00
DUE 04/15/18 CUSIP: 84755TAA5 MOODY'S: Baa2 S&P: BBB-	20,000.0000		115.4090	23,081.80	22,968.29	10/25/13	(416.45) ^b	2.52%
								Accrued Interest: 261.78
DUKE ENERGY CAROL 6.3%14	40,000.0000		100.3944	40,157.76	40,190.59	<1%	(32.83) ^b	2,520.00
DUE 02/01/14 CUSIP: 264399EQ5 MOODY'S: Baa1 S&P: BBB	40,000.0000		104.8955	41,958.20	40,190.59	03/14/13	(32.83) ^b	0.62%
								Accrued Interest: 1,050.00
ECOLAB INC. 4.35%21	15,000.0000		103.6600	15,549.00	15,749.34	<1%	(200.34) ^b	652.50
DUE 12/08/21 CUSIP: 278865AL4 MOODY'S: Baa1 S&P: BBB+	15,000.0000		105.0500	15,757.50	15,749.34	11/20/13	(200.34) ^b	3.61%
								Accrued Interest: 41.69
FRANCE TELECOM 8.75%31F	15,000.0000		136.8214	20,523.21	20,635.49	<1%	(112.28) ^b	1,312.50
DUE 03/01/31 FRANCE TELECOM CUSIP: 35177PAL1 MOODY'S: A3 S&P: BBB+	15,000.0000		137.7760	20,666.40	20,635.49	10/31/13	(112.28) ^b	5.37%
								Accrued Interest: 437.50

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
GENERAL ELECTRIC 6.75%32	20,000.0000		123.4191	24,683.82	22,906.11	<1%	1,777.71^b	1,350.00
DUE 03/15/32	20,000.0000		115.5830	23,116.60	22,906.11	08/02/11	1,777.71 ^b	5.47%
CUSIP: 36962GXZ2								
MOODY'S: A1 S&P: AA+								Accrued Interest: 397.50
GLAXOSMITHKLINE 5.65%18	10,000.0000		115.0097	11,500.97	11,639.49	<1%	(138.52)^b	565.00
DUE 05/15/18	10,000.0000		117.0140	11,701.40	11,639.49	10/25/13	(138.52) ^b	1.73%
CUSIP: 377372AD9								
MOODY'S: A1 S&P: A+								Accrued Interest: 72.19
GOLDMAN SACHS GR 6.15%18	25,000.0000		114.7258	28,681.45	28,900.13	<1%	(218.68)^b	1,537.50
DUE 04/01/18	25,000.0000		116.1600	29,040.00	28,900.13	10/30/13	(218.68) ^b	2.27%
CUSIP: 38141GFM1								
MOODY'S: Baa1 S&P: A-								Accrued Interest: 384.38
HCP INC. 6.7%18	30,000.0000		115.9194	34,775.82	35,096.97	<1%	(321.15)^b	2,010.00
DUE 01/30/18	30,000.0000		117.6880	35,306.40	35,096.97	10/23/13	(321.15) ^b	2.31%
CUSIP: 40414LAA7								
MOODY'S: Baa1 S&P: BBB+								Accrued Interest: 843.08
HEWLETT-PACKARD 4.375%21	20,000.0000		101.6610	20,332.20	20,098.68	<1%	233.52^b	875.00
DUE 09/15/21	20,000.0000		100.5010	20,100.20	20,098.68	10/24/13	233.52 ^b	4.29%
CUSIP: 428236BQ5								
MOODY'S: Baa1 S&P: BBB+								Accrued Interest: 257.64

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
IBM CORP 7%25	20,000.0000		125.3309	25,066.18	25,583.67	<1%	(517.49)^b	1,400.00
DUE 10/30/25	20,000.0000		132.1570	26,431.40	25,583.67	08/02/11	(517.49) ^b	4.01%
SYMBOL: IBMD.								
MOODY'S: Aa3 S&P: AA-								
Accrued Interest: 237.22								
INTERNATL PAPER	30,000.0000		122.1961	36,658.83	37,042.60	<1%	(383.77)^b	2,385.00
7.95%18								
DUE 06/15/18	30,000.0000		123.9850	37,195.50	37,042.60	11/20/13	(383.77) ^b	2.36%
CUSIP: 460146CA9								
MOODY'S: Baa3 S&P: BBB								
Accrued Interest: 106.00								
KELLOGG COMPANY	15,000.0000		94.7855	14,217.83	14,655.33	<1%	(437.50)^b	468.75
3.125%22								
DUE 05/17/22	15,000.0000		97.6560	14,648.40	14,655.33	10/21/13	(437.50) ^b	3.44%
CUSIP: 487836BJ6								
MOODY'S: Baa1 S&P: BBB+								
Accrued Interest: 57.29								
KINDER MORGAN EN	25,000.0000		113.7379	28,434.48	28,693.71	<1%	(259.23)^b	1,487.50
5.95%18								
DUE 02/15/18	25,000.0000		114.9770	28,744.25	28,693.71	12/05/13	(259.23) ^b	2.18%
CUSIP: 494550AY2								
MOODY'S: Baa2 S&P: BBB								
Accrued Interest: 561.94								

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
KOHL'S CORPORATION 4%21	15,000.0000		99.1339	14,870.09	15,063.41	<1%	(193.32)^b	600.00
DUE 11/01/21	10,000.0000		100.7180	10,071.80	10,070.41	10/18/13	(157.02) ^b	3.89%
CALLABLE 02/01/14 AT 100.00000	5,000.0000		99.8600	4,993.00	4,993.00	11/08/13	(36.30)	4.02%
CUSIP: 500255AR5 MOODY'S: Baa1 S&P: BBB+								
Cost Basis				15,064.80				Accrued Interest: 100.00
LINCOLN NATL COR 8.75%19	15,000.0000		128.5759	19,286.39	18,308.35	<1%	978.04^b	1,312.50
DUE 07/01/19	15,000.0000		130.1870	19,528.05	18,308.35	08/03/11	978.04 ^b	4.21%
CUSIP: 534187AX7 MOODY'S: Baa1 S&P: A-								Accrued Interest: 656.25
LYB INTERNATIONAL 4%23F	15,000.0000		98.5964	14,789.46	15,178.51	<1%	(389.05)^b	600.00
DUE 07/15/23	15,000.0000		101.2040	15,180.60	15,178.51	10/25/13	(389.05) ^b	3.84%
LYB INTERNATIONAL CUSIP: 50247VAA7 MOODY'S: Baa1 S&P: BBB-								Accrued Interest: 275.00
MERRILL LYNCH & C 6.4%17	30,000.0000		115.3251	34,597.53	31,894.74	<1%	2,702.79^b	1,920.00
DUE 08/28/17	30,000.0000		109.9310	32,979.30	31,894.74	08/03/11	2,702.79 ^b	4.50%
CUSIP: 59018YJ69 MOODY'S: Baa2 S&P: A-								Accrued Interest: 656.00

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
	Units Purchased	Cost Per Unit	Cost Basis				
METLIFE INC. 7.717%19	30,000.0000	123.9848	37,195.44	37,871.23	<1%	(675.79) ^b	2,315.10
DUE 02/15/19	30,000.0000	131.9700	39,591.00	37,871.23	10/15/12	(675.79) ^b	2.26%
CUSIP: 59156RAT5							
MOODY'S: A3 S&P: A-							Accrued Interest: 874.59
MIDAMERN ENERGY	20,000.0000	113.4725	22,694.50	22,786.44	<1%	(91.94) ^b	1,225.00
6.125%36							
DUE 04/01/36	20,000.0000	113.9910	22,798.20	22,786.44	10/23/13	(91.94) ^b	5.07%
CUSIP: 59562VAM9							
MOODY'S: Baa1 S&P:							Accrued Interest: 306.25
BBB+							
MORGAN STANLEY	40,000.0000	116.6688	46,667.52	43,271.53	1%	3,395.99 ^b	2,650.00
6.625%18							
DUE 04/01/18	40,000.0000	112.1585	44,863.40	43,271.53	08/03/11	3,395.99 ^b	4.48%
CUSIP: 6174466Q7							
MOODY'S: Baa2 S&P: A-							Accrued Interest: 662.50
NATIONAL RURAL	20,000.0000	134.8189	26,963.78	27,354.28	<1%	(390.50) ^b	2,075.00
10.375%18							
DUE 11/01/18	20,000.0000	137.9870	27,597.40	27,354.28	10/29/13	(390.50) ^b	2.29%
CUSIP: 637432LR4							
MOODY'S: A1 S&P: A+							Accrued Interest: 345.83
NEWS AMER	20,000.0000	111.3804	22,276.08	22,494.23	<1%	(218.15) ^b	1,240.00
6.2%34							
DUE 12/15/34	20,000.0000	112.5220	22,504.40	22,494.23	10/23/13	(218.15) ^b	5.21%
CUSIP: 652482BJ8							
MOODY'S: Baa1 S&P:							Accrued Interest: 55.11
BBB+							

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
	Units Purchased	Cost Per Unit	Cost Basis	Acquired				
NEWS AMER 8.5%25	10,000.0000	125.0969	12,509.69	12,361.74	<1%	147.95 ^b	850.00	
DUE 02/23/25	10,000.0000	127.0650	12,706.50	12,361.74	08/02/11	147.95 ^b	5.61%	
CUSIP: 652478AX6								
MOODY'S: Baa1 S&P: BBB+							Accrued Interest: 302.22	
NORFOLK SOUTHERN	15,000.0000	127.2639	19,089.59	20,195.52	<1%	(1,105.93) ^b	1,170.00	
7.8%27								
DUE 05/15/27	15,000.0000	138.9570	20,843.55	20,195.52	08/11/11	(1,105.93) ^b	4.35%	
CUSIP: 655844AJ7							Accrued Interest: 149.50	
MOODY'S: Baa1 S&P: BBB+								
NOVARTIS SEC I	15,000.0000	112.9759	16,946.39	17,261.52	<1%	(315.13) ^b	768.75	
5.125%19F								
DUE 02/10/19	15,000.0000	115.5510	17,332.65	17,261.52	10/25/13	(315.13) ^b	2.00%	
NOVARTIS SEC INVT								
CUSIP: 66989GAA8							Accrued Interest: 301.09	
MOODY'S: Aa3 S&P: AA-								
PACIFIC GAS ELEC	10,000.0000	125.3827	12,538.27	12,760.22	<1%	(221.95) ^b	825.00	
8.25%18								
DUE 10/15/18	10,000.0000	128.5250	12,852.50	12,760.22	10/29/13	(221.95) ^b	2.15%	
CUSIP: 694308GN1							Accrued Interest: 174.17	
MOODY'S: A3 S&P: BBB								
PFIZER INC. 6.2%19	35,000.0000	118.5671	41,498.49	40,508.85	<1%	989.64 ^b	2,170.00	
DUE 03/15/19	35,000.0000	122.2428	42,785.00	40,508.85	08/03/11	989.64 ^b	2.91%	
CUSIP: 717081DB6							Accrued Interest: 638.94	
MOODY'S: A1 S&P: AA								

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
	Units Purchased	Cost Per Unit	Cost Basis				
PHILLIPS 66 1.95%15	50,000.0000	101.4558	50,727.90	50,693.93	1%	33.97 ^b	975.00
DUE 03/05/15	50,000.0000	101.6670	50,833.50	50,693.93	10/02/13	33.97 ^b	0.75%
CUSIP: 718546AE4							
MOODY'S: Baa1 S&P: BBB							Accrued Interest: 314.17
PROCTER & GAMBLE CO 8%24	20,000.0000	134.5154	26,903.08	29,377.44	<1%	(2,474.36) ^b	1,600.00
DUE 09/01/24	20,000.0000	152.6240	30,524.80	29,377.44	06/06/12	(2,474.36) ^b	2.86%
CUSIP: 742718BG3							
MOODY'S: Aa3 S&P: AA-							Accrued Interest: 533.33
PROGRESS ENERGY 6%39	10,000.0000	111.7078	11,170.78	11,590.32	<1%	(419.54) ^b	600.00
DUE 12/01/39	10,000.0000	115.9520	11,595.20	11,590.32	10/23/13	(419.54) ^b	4.90%
CUSIP: 743263AP0							
MOODY'S: Baa2 S&P: BBB							Accrued Interest: 50.00
PRUDENTIAL FINL 7.375%19	25,000.0000	122.3576	30,589.40	31,111.97	<1%	(522.57) ^b	1,843.75
DUE 06/15/19	25,000.0000	125.1840	31,296.00	31,111.97	10/23/13	(522.57) ^b	2.54%
CUSIP: 74432QBG9							
MOODY'S: Baa1 S&P: A							Accrued Interest: 81.94
REED ELSEVIER C 8.625%19	15,000.0000	125.4378	18,815.67	19,035.76	<1%	(220.09) ^b	1,293.75
DUE 01/15/19	15,000.0000	127.7580	19,163.70	19,035.76	10/24/13	(220.09) ^b	2.85%
CUSIP: 758202AG0							
MOODY'S: Baa1 S&P: BBB+							Accrued Interest: 596.56

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
			Cost Per Unit	Cost Basis		Acquired			
RIO TINTO FN USA 3.5%20F	25,000.0000		101.0264	25,256.60	25,315.22	<1%	(58.62) ^b	875.00	3.29%
DUE 11/02/20	25,000.0000		101.2890	25,322.25	25,315.22	10/25/13	(58.62) ^b		
RIO TINTO FN USA									
CUSIP: 767201AK2									
MOODY'S: A3 S&P: A-									
ROPER IND 6.25%19	30,000.0000		115.0028	34,500.84	35,002.84	<1%	(502.00) ^b	1,875.00	
DUE 09/01/19	25,000.0000		116.9280	29,232.00	29,150.41	11/13/13	(399.71) ^b	3.03%	
CUSIP: 776696AC0	5,000.0000		117.2470	5,862.35	5,852.43	12/03/13	(102.29) ^b	2.95%	
MOODY'S: Baa2 S&P: BBB									
Cost Basis				35,094.35				Accrued Interest: 625.00	
SHELL INTL FIN 6.375%38F	5,000.0000		124.0396	6,201.98	6,363.38	<1%	(161.40) ^b	318.75	
DUE 12/15/38	5,000.0000		127.3640	6,368.20	6,363.38	10/24/13	(161.40) ^b	4.53%	
SHELL INTL FIN BV									
CUSIP: 822582AD4									
MOODY'S: Aa1 S&P: AA								Accrued Interest: 14.17	
SYMANTEC CORPORA	35,000.0000		101.7579	35,615.27	35,885.39	<1%	(270.12) ^b	962.50	
2.75%17									
DUE 06/15/17	35,000.0000		102.6518	35,928.15	35,885.39	10/24/13	(270.12) ^b	1.98%	
CALLABLE 02/01/14 AT									
100.00000									
CUSIP: 871503AJ7									
MOODY'S: Baa2 S&P: BBB								Accrued Interest: 42.78	

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par	Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
TC PIPELINES LP 4.65%21		25,000.0000	101.3790	25,344.75	25,623.44	<1%	(278.69)^b	1,162.50
DUE 06/15/21		25,000.0000	102.5380	25,634.50	25,623.44	10/25/13	(278.69) ^b	4.25%
CALLABLE 02/01/14 AT 100.00000								
CUSIP: 87233QAA6								
MOODY'S: Baa2 S&P: BBB-								Accrued Interest: 51.67
TELEFONICA EMI		25,000.0000	112.6658	28,166.45	28,139.30	<1%	27.15^b	1,555.25
6.221%17F								
DUE 07/03/17		25,000.0000	113.1240	28,281.00	28,139.30	10/29/13	27.15 ^b	2.46%
TELEFONICA EMISIO								
CUSIP: 87938WAG8								
MOODY'S: Baa2 S&P: BBB								Accrued Interest: 768.98
THE BOEING COMPA		25,000.0000	125.6080	31,402.00	33,052.18	<1%	(1,650.18)^b	1,812.50
7.25%25								
DUE 06/15/25		25,000.0000	137.3310	34,332.75	33,052.18	08/11/11	(1,650.18) ^b	3.76%
CUSIP: 097023AM7								
MOODY'S: A2 S&P: A								Accrued Interest: 80.56
THE HOME DEPOT IN		15,000.0000	107.4914	16,123.71	16,620.00	<1%	(496.29)^b	660.00
4.4%21								
DUE 04/01/21		15,000.0000	113.3680	17,005.20	16,620.00	01/11/12	(496.29) ^b	2.74%
CALLABLE 02/01/14 AT 100.00000								
CUSIP: 437076AW2								
MOODY'S: A2 S&P: A								Accrued Interest: 165.00

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
THE KROGER CO. 3.85% ²³	20,000.0000		98.1901	19,638.02	19,902.80	<1%	(264.78)	770.00
DUE 08/01/23 CALLABLE 02/01/14 AT 100.00000 CUSIP: 501044CS8 MOODY'S: Baa2 S&P: BBB	20,000.0000		99.5140	19,902.80	19,902.80	10/21/13	(264.78)	3.90%
Accrued Interest: 333.67								
THE PEPSI BOTTLING 7% ²⁹	15,000.0000		125.0464	18,756.96	19,686.35	<1%	(929.39) ^b	1,050.00
DUE 03/01/29 CUSIP: 713409AC4 MOODY'S: A1 S&P: A	15,000.0000		134.6140	20,192.10	19,686.35	08/05/11	(929.39) ^b	4.19%
Accrued Interest: 350.00								
TIME WARNER INC. 7.7% ³²	10,000.0000		127.7107	12,771.07	12,790.79	<1%	(19.72) ^b	770.00
DUE 05/01/32 CUSIP: 00184AAG0 MOODY'S: Baa2 S&P: BBB	10,000.0000		128.0770	12,807.70	12,790.79	10/21/13	(19.72) ^b	5.30%
Accrued Interest: 128.33								
TOYOTA MOTOR CRED 3.3% ²²	20,000.0000		99.0949	19,818.98	20,281.36	<1%	(462.38) ^b	660.00
DUE 01/12/22 CUSIP: 89233P5T9 MOODY'S: Aa3 S&P: AA-	20,000.0000		101.4300	20,286.00	20,281.36	10/29/13	(462.38) ^b	3.10%
Accrued Interest: 309.83								
UNITEDHEALTH GR 6.875% ³⁸	10,000.0000		123.4336	12,343.36	12,919.65	<1%	(576.29) ^b	687.50
DUE 02/15/38 CUSIP: 91324PBK7 MOODY'S: A3 S&P: A	10,000.0000		129.3040	12,930.40	12,919.65	10/23/13	(576.29) ^b	4.81%
Accrued Interest: 259.72								

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Investment Detail - Fixed Income (continued)

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Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
VERIZON COMMS 8.75%18	45,000.0000		127.9277	57,567.47	58,674.48	1%	(1,107.01)^b	3,937.50
DUE 11/01/18	30,000.0000		135.5590	40,667.70	39,376.26	04/16/13	(997.95) ^b	1.94%
CUSIP: 92343VAQ7	15,000.0000		129.6910	19,453.65	19,298.22	10/22/13	(109.06) ^b	2.42%
MOODY'S: Baa1 S&P: BBB+								
Cost Basis				60,121.35				Accrued Interest: 656.25
VODAFONE GRP P 5.625%17F	25,000.0000		112.6003	28,150.08	28,134.06	<1%	16.02^b	1,406.25
DUE 02/27/17	25,000.0000		113.2500	28,312.50	28,134.06	10/22/13	16.02 ^b	1.53%
VODAFONE GRP PLC								
CUSIP: 92857WAP5								
MOODY'S: A3 S&P: A-								
Accrued Interest: 484.38								
WAL-MART STORES 6.2%38	10,000.0000		120.8492	12,084.92	12,137.16	<1%	(52.24)^b	620.00
DUE 04/15/38	10,000.0000		121.4610	12,146.10	12,137.16	10/21/13	(52.24) ^b	4.71%
CUSIP: 931142CM3								
MOODY'S: Aa2 S&P: AA								
Accrued Interest: 130.89								
WALT DISNEY CO 5.625%16	45,000.0000		112.6374	50,686.83	49,735.93	1%	950.90^b	2,531.25
DUE 09/15/16	45,000.0000		119.4903	53,770.65	49,735.93	08/04/11	950.90 ^b	1.62%
CUSIP: 25468PCE4								
MOODY'S: A2 S&P: A								
Accrued Interest: 745.31								

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
WASTE MGMT INC 7.375%19	15,000.0000		120.7000	18,105.00	18,318.18	<1%	(213.18)^b	1,106.25
DUE 03/11/19	15,000.0000		122.5600	18,384.00	18,318.18	11/19/13	(213.18) ^b	2.76%
CUSIP: 94106LAU3								
MOODY'S: Baa3 S&P: A-								
WELLPOINT INC. 7%19	35,000.0000		118.5336	41,486.76	42,280.64	<1%	(793.88)^b	2,450.00
DUE 02/15/19	35,000.0000		121.4648	42,512.70	42,280.64	10/23/13	(793.88) ^b	2.63%
CUSIP: 94973VAR8								
MOODY'S: Baa2 S&P: A-								
WELLS FARGO BANK 5.75%18	50,000.0000		115.1491	57,574.55	57,982.38	1%	(407.83)^b	2,875.00
DUE 02/01/18	50,000.0000		116.6540	58,327.00	57,982.38	10/22/13	(407.83) ^b	1.68%
CUSIP: 92976WBH8								
MOODY'S: A2 S&P: A+								
YUMI BRANDS INC. 6.25%18	4,000.0000		115.6660	4,626.64	4,654.63	<1%	(27.99)^b	250.00
DUE 03/15/18	4,000.0000		117.0730	4,682.92	4,654.63	10/21/13	(27.99) ^b	2.15%
CUSIP: 988498AC5								
MOODY'S: Baa3 S&P: BBB								
Accrued Interest: 338.02								
Accrued Interest: 925.56								
Accrued Interest: 1,197.92								
Accrued Interest: 73.61								

Total Accrued Interest for Corporate Bonds: 31,421.65

Investment Detail - Fixed Income (continued)

Municipal Bonds	Units Purchased	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
NEW JERSEY TURN 7.102%/41	15,000.0000		128.0460	19,206.90	19,614.96	<1%	(408.06) ^b	1,065.30	
TRAN DUE 01/01/41	15,000.0000		131.9850	19,797.75	19,614.96	08/04/11	(408.06) ^b		5.01%
XTRO TAXBL									
CUSIP: 646139X83									
MOODY'S: A3 S&P: A+									
Accrued Interest: 532.65									

Total Accrued Interest for Municipal Bonds: 532.65

Mortgage Pools	Units Purchased	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
FHLMC A62719 6%37	160,000.0000		111.0576	56,081.13	N/A	1%	(373.79)	N/A	
DUE 07/01/37	160,000.0000		111.7978	56,454.92	N/A	08/11/11	(373.79)		N/A
CUSIP: 3128KTAU4									
FACTOR= .315608340									
REMAIN PRIN=\$50,497.33									
FHLMC A93763 4.5%40	40,000.0000		105.9557	14,546.20	N/A	<1%	(46.15)	N/A	
DUE 09/01/40	40,000.0000		106.2917	14,592.35	N/A	08/16/11	(46.15)		N/A
CUSIP: 312942FC4									
FACTOR= .343214270									
REMAIN PRIN=\$13,728.57									

Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
FHLMC A97673 4.5%/41	25,000.0000		105.9050	15,690.41	N/A	<1%	59.71	N/A
DUE 03/01/41	25,000.0000		105.5020	15,630.70	N/A	12/05/11	59.71	N/A
CUSIP: 312946Q25								
FACTOR= .592622060								
REMAIN PRIN=\$14,815.55								
FHLMC C03839 3.5%/42	50,000.0000		99.2379	40,186.42	N/A	<1%	(2,997.58)	N/A
DUE 04/01/42	50,000.0000		106.6402	43,184.00	N/A	09/18/12	(2,997.58)	N/A
CUSIP: 31292LHQ1								
FACTOR= .809900620								
REMAIN PRIN=\$40,495.03								
FHLMC C03920 3.5%/42	65,000.0000		99.2379	58,793.01	N/A	1%	(3,863.02)	N/A
DUE 05/01/42	65,000.0000		105.7583	62,656.03	N/A	03/21/13	(3,863.02)	N/A
CUSIP: 31292LK95								
FACTOR= .911453980								
REMAIN PRIN=\$59,244.51								
FHLMC C09019 3%/42	75,000.0000		94.7984	65,864.21	N/A	1%	(904.03)	N/A
DUE 12/01/42	75,000.0000		96.0995	66,768.24	N/A	11/08/13	(904.03)	N/A
CUSIP: 31292SAU4								
FACTOR= .926375830								
REMAIN PRIN=\$69,478.19								
FHLMC G04776 5.5%/38	85,000.0000		109.5972	11,338.70	N/A	<1%	(58.94)	N/A
DUE 07/01/38	85,000.0000		110.1667	11,397.64	N/A	08/16/11	(58.94)	N/A
CUSIP: 3128M6T99								
FACTOR= .121715270								
REMAIN PRIN=\$10,345.80								

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Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
FHLMC Q09530 3.5%42	30,000.0000		99.2066	25,806.90	N/A	<1%	(1,830.98)	N/A
DUE 07/01/42	30,000.0000		106.2452	27,637.88	N/A	07/26/12	(1,830.98)	N/A
CUSIP: 3132GU4P6								
FACTOR= .867109770								
REMAIN PRIN=\$26,013.29								
FHLMC Q12840 3.5%42	55,000.0000		99.2066	49,040.62	N/A	1%	(3,796.48)	N/A
DUE 11/01/42	55,000.0000		106.8866	52,837.10	N/A	11/28/12	(3,796.48)	N/A
CUSIP: 3132HPEM2								
FACTOR= .898778580								
REMAIN PRIN=\$49,432.82								
FNMA PL AA7176 4.5%39	50,000.0000		106.1838	20,693.82	N/A	<1%	(118.60)	N/A
DUE 05/01/39	50,000.0000		106.7923	20,812.42	N/A	03/07/12	(118.60)	N/A
CUSIP: 31416Q6N0								
FACTOR= .389773600								
REMAIN PRIN=\$19,488.68								
FNMA PL AB4937 3.5%42	70,000.0000		99.6121	60,802.02	N/A	1%	(3,711.93)	N/A
DUE 04/01/42	70,000.0000		105.6933	64,513.95	N/A	06/25/12	(3,711.93)	N/A
CUSIP: 31417BPX9								
FACTOR= .871982700								
REMAIN PRIN=\$61,038.79								
FNMA PL AH2559 4.5%41	125,000.0000		106.0768	65,924.36	N/A	1%	409.19	N/A
DUE 01/01/41	125,000.0000		105.4183	65,515.17	N/A	08/05/11	409.19	N/A
CUSIP: 3138A3ZZ3								
FACTOR= .497182130								
REMAIN PRIN=\$62,147.77								

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Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
FNMA PL AH3431 3.5%26	100,000.0000		104.8958	47,554.88	N/A	1%	(430.16)	N/A
DUE 01/01/26	100,000.0000		105.8446	47,985.04	N/A	10/24/13	(430.16)	N/A
CUSIP: 3138A4Y58								
FACTOR= .453353500								
REMAIN PRIN=\$45,335.35								
FNMA PL AI4908 4.5%41	175,000.0000		106.2018	87,009.76	N/A	2%	920.00	N/A
DUE 07/01/41	175,000.0000		105.0788	86,089.76	N/A	08/05/11	920.00	N/A
CUSIP: 3138AJN24								
FACTOR= .468164050								
REMAIN PRIN=\$81,928.71								
FNMA PL AJ4557 4%41	40,000.0000		103.0670	31,296.76	N/A	<1%	(432.30)	N/A
DUE 11/01/41	40,000.0000		104.4906	31,729.06	N/A	11/21/11	(432.30)	N/A
CUSIP: 3138AWB36								
FACTOR= .759136370								
REMAIN PRIN=\$30,365.45								
FNMA PL AL0240 4%41	150,000.0000		103.1607	117,217.65	N/A	3%	295.33	N/A
DUE 04/01/41	150,000.0000		102.9007	116,922.32	N/A	08/05/11	295.33	N/A
CUSIP: 3138EGHS6								
FACTOR= .757508460								
REMAIN PRIN=\$113,626.27								
FNMA PL AL2392 3.5%42	50,000.0000		99.5184	46,043.94	N/A	<1%	(1,972.33)	N/A
DUE 08/01/42	50,000.0000		103.7813	48,016.27	N/A	06/07/13	(1,972.33)	N/A
CUSIP: 3138EJUS5								
FACTOR= .925335180								
REMAIN PRIN=\$46,266.76								

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Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
FNMA PL AL2892 3.5%42	35,000.0000		99.5809	32,321.76	N/A	<1%	(1,367.25)	N/A
DUE 12/01/42	35,000.0000		103.7933	33,689.01	N/A	06/07/13	(1,367.25)	N/A
CUSIP: 3138EKG7								
FACTOR= .927365440								
REMAIN PRIN=\$32,457.79								
FNMA PL AQ9292 3.5%43	50,000.0000		99.5809	47,603.31	N/A	1%	(3,523.56)	N/A
DUE 01/01/43	50,000.0000		106.9518	51,126.87	N/A	01/16/13	(3,523.56)	N/A
CUSIP: 3138MRKE0								
FACTOR= .956073060								
REMAIN PRIN=\$47,803.65								
FNMA PL 688328 5.5%33	1,000,000.0000		110.3711	60,729.49	N/A	1%	370.23	N/A
DUE 03/01/33	1,000,000.0000		109.6982	60,359.26	N/A	08/08/11	370.23	N/A
CUSIP: 31400HVV2								
FACTOR= .055023000								
REMAIN PRIN=\$55,023.00								
FNMA PL 890338 5%41	225,000.0000		108.9791	90,818.31	N/A	2%	1,252.92	N/A
DUE 06/01/41	225,000.0000		107.4756	89,565.39	N/A	08/05/11	1,252.92	N/A
CUSIP: 31410LEK4								
FACTOR= .370380140								
REMAIN PRIN=\$83,335.53								
FNMA PL 962804 5%23	300,000.0000		106.8699	43,333.97	N/A	<1%	(432.66)	N/A
DUE 04/01/23	300,000.0000		107.9368	43,766.63	N/A	08/09/11	(432.66)	N/A
CUSIP: 31414DDH6								
FACTOR= .135161140								
REMAIN PRIN=\$40,548.34								

Investment Detail - Fixed Income (continued)

Mortgage Pools (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
GNMA PL AA1000C 3%27	60,000.0000		102.8805	48,848.77	N/A	1%	(1,872.77)	N/A
DUE 05/20/27	60,000.0000		106.8247	50,721.54	N/A	05/07/13	(1,872.77)	N/A
CUSIP: 36177XDD7								
FACTOR= .791351290								
REMAIN PRIN=\$47,481.08								
GNMA PL MA0318M 3.5%42	250,000.0000		101.0484	219,690.53	N/A	5%	(6,720.73)	N/A
DUE 08/20/42	250,000.0000		104.1396	226,411.26	N/A	10/23/13	(6,720.73)	N/A
CUSIP: 36179MK74								
FACTOR= .869644760								
REMAIN PRIN=\$217,411.19								

Investment Detail - Fixed Income (continued)

CMO & Asset Backed Securities	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
COMM 2007-C9 M 5.7997%49	20,000.0000		112.8906	22,578.12	N/A	<1%	(261.88)	N/A
REMIC DUE 12/10/49 - SER 07C9 - CUSIP: 20047RAE3 MOODY'S: Aaa S&P: AA FACTOR=1.000000000 REMAIN PRIN=\$20,000.00	20,000.0000		114.2000	22,840.00	N/A	10/28/13	(261.88)	N/A
JP MORGAN CHAS 5.2001%44	80,000.0000		106.4102	85,128.16	N/A	2%	(2,630.59)	N/A
REMIC DUE 12/15/44 - 05LDP5 - CUSIP: 46625YXP3 MOODY'S: Aaa S&P: AAA FACTOR=1.000000000 REMAIN PRIN=\$80,000.00	80,000.0000		109.6984	87,758.75	N/A	08/08/11	(2,630.59)	N/A
JP MORGAN CHASE 5.434%49	40,000.0000		106.7930	29,639.25	N/A	<1%	1,805.18	N/A
REMIC DUE 01/15/49 - 07LD10 - CUSIP: 46630JAB5 MOODY'S: Aaa FACTOR= .693848091 REMAIN PRIN=\$27,753.92	40,000.0000		100.2887	27,834.07	N/A	10/18/13	1,805.18	N/A

Investment Detail - Fixed Income (continued)

CMO & Asset Backed Securities (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
LB-UBS COML MTG	75,000.0000		106.7734	80,080.05	N/A	2%	(2,109.75)	N/A
5.156%31								
REMIC DUE 02/15/31	75,000.0000		109.5864	82,189.80	N/A	08/02/11	(2,109.75)	N/A
- SER 06C1 -								
CUSIP: 52108MDH3								
S&P: AAA								
FACTOR=1.0000000000								
REMAIN PRIN=\$75,000.00								
WACH BK COML MT	75,000.0000		103.8125	77,859.38	N/A	2%	(3,747.42)	N/A
4.935%42								
REMIC DUE 04/15/42	75,000.0000		108.8090	81,606.80	N/A	08/02/11	(3,747.42)	N/A
- 05C18 -								
CUSIP: 929766R54								
MOODY'S: Aaa S&P: AAA								
FACTOR=1.0000000000								
REMAIN PRIN=\$75,000.00								

Investment Detail - Fixed Income (continued)

CMO & Asset Backed Securities (continued)	Units Purchased	Par	Market Price Cost Per Unit	Market Value Cost Basis	Adjusted Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
WACH BK COML MT 5.215%41	35,000.0000		100.9180	20,843.95	N/A	<1%	154.47	N/A
REMIC DUE 01/15/41 -04C11 -	35,000.0000		100.1701	20,689.48	N/A	10/18/13	154.47	N/A

CUSIP: 929766QV8
MOODY'S: Aaa S&P: AAA
FACTOR= .590124101
REMAIN PRIN=\$20,654.34

Accrued interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment. Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable

Money Funds Dividends	0.00	0.46	0.00	4.79
Cash Dividends	0.00	3,228.39	0.00	31,208.24

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH ADV CASH RESRV PREM: SWZXX	35,989.3700	1.0000	35,989.37	0.01%	3%

Investment Detail - Equities

Equities	Quantity Purchased	Market Price	Cost Per Share	% of Account Assets Acquired		Unrealized Gain or (Loss)	Estimated Yield	Holding Days	Estimated Annual Income	Holding Period
				Market Value	Cost Basis					
ANHEUSER-BUSC INBEV ADRF	370.0000	106.4600		39,390.20	3%	23,523.08	1.51%		598.72	
SPONSORED ADR	205.0000	37.3708		7,661.02 ^a	07/07/09	14,163.28	1638		Long-Term	
1 ADR REPS 1 ORD	165.0000	49.7339		8,206.10 ^a	11/13/09	9,359.80	1509		Long-Term	
SYMBOL: BUD										
Cost Basis				15,867.12						
ASSA ABLOY AB ADR F	970.0000	26.4500		25,656.50	2%	16,941.20	1.48%		381.08	
UNSPONSORED ADR	951.0000	8.9725		8,532.85	02/08/10	16,621.10	1422		Long-Term	
1 ADR REPS 0.5 ORD	19.0000	9.6026		182.45	03/02/10	320.10	1400		Long-Term	
SYMBOL: ASAZY										
Cost Basis				8,715.30						

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
ASSC BRIT FOODS ADR NEWF	408.0000	40.6600	16,589.28	1%	6,492.40	0.68%	113.41
1 ADR REPS 1 ORD	408.0000	24.7472	10,096.88	12/14/12	6,492.40	382	Long-Term
SYMBOL: ASBFY							Accrued Dividend: 148.57
ATLANTIA SPA ADR F	2,108.0000	11.2100	23,630.68	2%	4,540.84	4.52%	1,069.69
UNSPONSORED ADR	1,044.0675	10.1614	10,609.24	01/14/11	1,094.76	1082	Long-Term
1 ADR REPS 0.5 ORD	98.7825	10.3371	1,021.13	02/08/11	86.22	1057	Long-Term
SYMBOL: ATASY	276.1500	6.8533	1,892.56	10/03/11	1,203.08	820	Long-Term
	689.0000	8.0796	5,566.91	09/11/12	2,156.78	476	Long-Term
Cost Basis			19,089.84				Accrued Dividend: 504.45
BAYER A G SPONSORED ADRF	284.0000	142.0000	40,328.00	3%	20,846.12	3.49%	1,407.60
SPONSORED ADR	150.0000	62.7896	9,418.45	12/22/11	11,881.55	740	Long-Term
1 ADR REP 1 ORD	32.0000	62.9696	2,015.03	06/11/12	2,528.97	568	Long-Term
SYMBOL: BAYRY	46.0000	68.9595	3,172.14	06/21/12	3,359.86	558	Long-Term
	34.0000	73.8332	2,510.33	07/19/12	2,317.67	530	Long-Term
	22.0000	107.5422	2,365.93	07/01/13	758.07	183	Short-Term
Cost Basis			19,481.88				
BAYERISCHE MOTR ADR F	782.0000	39.4300	30,834.26	3%	8,230.47	2.71%	837.60
UNSPONSORED ADR	390.0000	27.8742	10,870.95	02/08/11	4,506.75	1057	Long-Term
1 ADR REPS .3333 ORD	39.0000	23.6394	921.94	11/29/11	615.83	763	Long-Term
SYMBOL: BAMXY	158.0000	27.8458	4,399.64	11/07/12	1,830.30	419	Long-Term
	185.0000	32.7291	6,054.90	07/26/13	1,239.65	158	Short-Term
	10.0000	35.6360	356.36	09/12/13	37.94	110	Short-Term
Cost Basis			22,603.79				

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Investment Detail - Equities (continued)

Equities (continued)	F	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
BG GROUP PLC ADR	F	798.0000	21.6900	17,308.62	1%	1,297.55	1.20%	208.60
SPONSORED ADR		395.0000	21.0978	8,333.64 ^a	09/25/08	233.91	1923	Long-Term
1 ADR REP 5 ORD		175.0000	16.4171	2,873.00	08/26/10	922.75	1223	Long-Term
SYMBOL: BRGY		183.0000	21.1834	3,876.58	09/08/11	92.69	845	Long-Term
Cost Basis		45.0000	20.6188	927.85	11/29/11	48.20	763	Long-Term
				16,011.07				
BHP BILLITON LTD ADR	F	330.0000	68.2000	22,506.00	2%	3,413.94	3.46%	778.80
SPONSORED ADR		170.0000	53.8508	9,154.64 ^a	05/08/09	2,439.36	1698	Long-Term
1 ADR REP 2 ORD		46.0000	60.4336	2,779.95 ^a	06/02/09	357.25	1673	Long-Term
SYMBOL: BHP		52.0000	62.3088	3,240.06	06/09/10	306.34	1301	Long-Term
Cost Basis		62.0000	63.1840	3,917.41	06/08/12	310.99	571	Long-Term
				19,092.06				
BNP PARIBAS ADR	F	924.0000	39.2000	36,220.80	3%	14,539.74	2.47%	896.59
SPONSORED ADR		344.0000	18.1965	6,259.63 ^a	03/13/09	7,225.17	1754	Long-Term
1 ADR REP 1/2 ORD		127.0000	34.5304	4,385.37	05/10/10	593.03	1331	Long-Term
SYMBOL: BNPQ		25.0000	38.1168	952.92	11/08/10	27.08	1149	Long-Term
		59.0000	22.5227	1,328.84	10/13/11	983.96	810	Long-Term
		170.0000	18.5897	3,160.26	07/19/12	3,503.74	530	Long-Term
		84.0000	30.8665	2,592.79	02/15/13	700.01	319	Short-Term
Cost Basis		115.0000	26.0978	3,001.25	04/04/13	1,506.75	271	Short-Term
				21,681.06				
BRIT AMER TOBACCO ADR	F	243.0000	107.4200	26,103.06	2%	11,850.93	2.71%	707.76
SPONSORED ADR		47.0000	59.6195	2,802.12 ^a	01/26/07	2,246.62	2531	Long-Term
1 ADR REPS 2 ORD		100.0000	59.2400	5,924.00 ^a	01/29/07	4,818.00	2528	Long-Term
SYMBOL: BTI		96.0000	57.5626	5,526.01 ^a	10/15/08	4,786.31	1903	Long-Term
Cost Basis				14,252.13				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Holding Days	Annual Income
CARLSBERG AS ADR F	578.0000	22.1000	12,773.80	1%	322.46	0.93%		118.81
SPONSORED	578.0000	21.5421	12,451.34	02/04/13	322.46	330		Short-Term
1 ADR REPS 2 ORD B SHS								
SYMBOL: CABGY								
COMPAGNIE FINAN RIC ADRF	1,450.0000	10.0000	14,500.00	1%	1,152.11	1.09%		159.01
UNSPONSORED ADR	1,083.0000	9.1861	9,948.62	05/17/13	881.38	228		Short-Term
1 ADR REPS 0.10 ORD	367.0000	9.2623	3,399.27	06/19/13	270.73	195		Short-Term
SYMBOL: CFRUY								
Cost Basis			13,347.89					
DAIKIN INDUSTRIES ADR F	153.0000	124.9400	19,115.82	2%	5,731.40	0.00%		0.00
UNSPONSORED ADR	119.0000	89.2849	10,624.91	06/10/13	4,242.95	204		Short-Term
1 ADR REPS 2 ORD	34.0000	81.1620	2,759.51	06/27/13	1,488.45	187		Short-Term
SYMBOL: DKILY								
Cost Basis			13,384.42					
DAIWA HOUSE IND LTD ADRF	127.0000	194.1800	24,660.86	2%	(1,056.86)	0.00%		0.00
1 ADR REP 10 ORD	44.0000	223.9793	9,855.09	04/26/13	(1,311.17)	249		Short-Term
SYMBOL: DWAHY	26.0000	195.9450	5,094.57	05/31/13	(45.89)	214		Short-Term
	35.0000	193.8265	6,783.93	07/19/13	12.37	165		Short-Term
	22.0000	181.0968	3,984.13	08/28/13	287.83	125		Short-Term
Cost Basis			25,717.72					
ENI S P A SPON ADR F	305.0000	48.4900	14,789.45	1%	184.64	6.13%		907.19
SPONSORED ADR	305.0000	47.8846	14,604.81	12/04/12	184.64	392		Long-Term
1 SPON ADR REP 2 ORD SHS								
SYMBOL: E								

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
EURO AERO DEFENSE ADR F	1,040.0000	19.1500	19,916.00	2%	2,699.21	4.10%	816.88
UNSPONSORED ADR	1,040.0000	16.5546	17,216.79	10/03/13	2,699.21	89	Short-Term
1 ADR REPS .25 ORD							
SYMBOL: EADSY							
EXPERIAN LTD ADR F	441.0000	18.4300	8,127.63	<1%	1,321.88	2.60%	211.68
SPONSORED ADR	441.0000	15.4325	6,805.75	08/13/12	1,321.88	505	Long-Term
1 ADR REP 1 ORD							
SYMBOL: EXPGY							
FRESENIUS SE CO ADR F	462.0000	19.3460	8,937.85	<1%	106.86	0.91%	81.59
UNSPONSORED	462.0000	19.1146	8,830.99	12/23/13	106.86	8	Short-Term
1 ADR REPS .125 ORD							
SYMBOL: FSNUY							
HSBC HLDGS PLC ADR NEW F	573.0000	55.1300	31,589.49	3%	(202.95)	3.62%	1,146.00
SPONSORED ADR	422.0000	54.8744	23,157.00	01/16/13	107.86	349	Short-Term
1 ADR REPS 5 ORD	151.0000	57.1883	8,635.44	05/10/13	(310.81)	235	Short-Term
SYMBOL: HSBC							
Cost Basis			31,792.44				
INFORMA PLC ADR F	1,234.0000	18.9800	23,421.32	2%	6,873.48	0.00%	0.00
SPONSORED ADR	370.0000	14.1280	5,227.38	01/31/11	1,795.22	1065	Long-Term
1 ADR REPS 2 ORD	361.0000	14.1932	5,123.78	02/02/11	1,728.00	1063	Long-Term
SYMBOL: IFJPY	79.0000	14.3048	1,130.08	02/28/11	369.34	1037	Long-Term
	243.0000	11.9868	2,912.80	08/05/11	1,699.34	879	Long-Term
	181.0000	11.8994	2,153.80	09/01/11	1,281.58	852	Long-Term
Cost Basis			16,547.84				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
K D I CORP ADR F	1,015.0000	15.4400	15,671.60	1%	1,441.08	0.00%	0.00
UNSPONSORED ADR	1,015.0000	14.0202	14,230.52	11/08/13	1,441.08	53	Short-Term
1 ADR REPS .25 ORD							
SYMBOL: KDDIY							
KOMATSU LTD ADR NEW F	645.0000	20.5800	13,274.10	1%	(5,358.92)	0.00%	0.00
SPONSORED ADR	438.0000	28.3551	12,419.56	01/31/12	(3,405.52)	700	Long-Term
1 ADR REP 1 ORD	207.0000	30.0167	6,213.46	02/17/12	(1,953.40)	683	Long-Term
SYMBOL: KMTUY							
Cost Basis			18,633.02				
LIXIL GROUP CORP ADR F	378.0000	55.0900	20,824.02	2%	3,723.41	0.00%	0.00
UNSPONSORED ADR	231.0000	45.9543	10,615.46	01/26/11	2,110.33	1070	Long-Term
1 ADR REPS 2 ORD	29.0000	49.1510	1,425.38	03/09/11	172.23	1028	Long-Term
SYMBOL: JSGRY	39.0000	44.5494	1,737.43	02/16/12	411.08	684	Long-Term
Cost Basis	79.0000	42.0549	3,322.34	03/12/12	1,029.77	659	Long-Term
			17,100.61				
LLOYDS BANKING GP ADR F	5,062.0000	5.3200	26,929.84	2%	11,217.68	0.00%	0.00
SPONSORED ADR	3,732.0000	3.0166	11,258.32	12/07/12	8,595.92	389	Long-Term
1 ADR REPS 4 ORD	1,330.0000	3.3487	4,453.84	04/29/13	2,621.76	246	Short-Term
SYMBOL: LYG							
Cost Basis			15,712.16				
MAKITA CORP SPON ADR F	392.0000	53.0000	20,776.00	2%	(2,176.15)	0.00%	0.00
SPONSORED ADR	162.0000	59.3648	9,617.10	04/30/13	(1,031.10)	245	Short-Term
1 ADR REP 1 ORD	158.0000	58.4565	9,236.13	05/01/13	(862.13)	244	Short-Term
SYMBOL: MKTAY	72.0000	56.9294	4,098.92	06/12/13	(282.92)	202	Short-Term
Cost Basis			22,952.15				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
	Units Purchased	Cost Per Share	Cost Basis			Holding Days	Holding Period
MEDIOLANUM SPA ADR F	1,066,000	17.4500	18,601.70	2%	2,012.16	0.00%	0.00
UNSPONSORED ADR	626,000	14.4527	9,047.40	07/24/13	1,876.30	160	Short-Term
1 ADR REP 2 ORD SHS	204,000	17.4350	3,556.75	10/17/13	3.05	75	Short-Term
SYMBOL: MDLAY	236,000	16.8872	3,985.39	12/18/13	132.81	13	Short-Term
Cost Basis			16,589.54				
NOVARTIS A G SPON ADR F	573,000	80.3800	46,057.74	4%	14,903.29	3.01%	1,390.37
SPONSORED ADR	386,000	54.4753	21,027.47 ^a	10/03/07	9,999.21	2281	Long-Term
1 ADR REP 1 ORD	123,000	54.1733	6,663.32	01/28/10	3,223.42	1433	Long-Term
SYMBOL: NVS	49,000	53.3479	2,614.05	09/01/10	1,324.57	1217	Long-Term
Cost Basis	15,000	56.6406	849.61	07/18/12	356.09	531	Long-Term
			31,154.45				
NOVO-NORDISK A-S ADR F	204,000	184.7600	37,691.04	3%	3,887.37	1.67%	632.77
1 ADR REP 1 ORD	93,000	158.6183	14,751.51	07/02/13	2,431.17	182	Short-Term
SYMBOL: NVO	46,000	172.6663	7,942.65	08/07/13	556.31	146	Short-Term
Cost Basis	65,000	170.9155	11,109.51	11/06/13	899.89	55	Short-Term
			33,803.67				
P G S ASA NEW ADR F	734,000	11.7260	8,606.88	<1%	(1,031.55)	0.00%	0.00
SPONSORED ADR	230,000	14.5793	3,353.25	04/27/12	(656.27)	613	Long-Term
1 ADR REP 1 ORD	265,000	11.9683	3,171.62	06/21/12	(64.23)	558	Long-Term
SYMBOL: PGSVY	239,000	13.0274	3,113.56	06/20/13	(311.05)	194	Short-Term
Cost Basis			9,638.43				
PRUDENTIAL CORP PLC ADRF	1,038,000	45.0000	46,710.00	4%	32,511.52	1.38%	646.38
SPONSORED ADR	51,000	11.6066	591.94 ^a	11/06/08	1,703.06	1881	Long-Term
1 ADR REP 2 ORD	675,000	10.4067	7,024.56 ^a	03/24/09	23,350.44	1743	Long-Term
SYMBOL: PUK	262,000	18.4583	4,836.09	09/09/11	6,953.91	844	Long-Term
Cost Basis	50,000	34.9178	1,745.89	03/14/13	504.11	292	Short-Term
			14,198.48				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
RED ELECTRICA CORP ADR F	1,620,000	13.0500	21,141.00	2%	4,147.08	6.73%	1,424.31
UNSPONSORED ADR	886,000	9.4450	8,368.27	09/14/12	3,194.03	473	Long-Term
5 ADRS REP 1 ORD	236,000	11.1290	2,626.46	01/22/13	453.34	343	Short-Term
SYMBOL: RDEIY	498,000	12.0465	5,999.19	10/08/13	499.71	84	Short-Term
Cost Basis			16,993.92				
REXAM PLC ADR NEW F	624,000	44.2100	27,587.04	2%	7,346.27	0.00%	0.00
SPONSORED ADR	164,700	33.2487	5,476.07	02/08/11	1,805.32	1057	Long-Term
1 ADR REP 5 ORD	158,400	33.0404	5,233.60	03/02/11	1,769.26	1035	Long-Term
SYMBOL: REXMY	110,300	33.2937	3,672.30	06/24/11	1,204.06	921	Long-Term
	81,000	32.2322	2,610.81	08/18/11	970.20	866	Long-Term
	93,600	28.4178	2,659.91	10/10/11	1,478.15	813	Long-Term
	16,000	36.7550	588.08	06/27/13	119.28	187	Short-Term
Cost Basis			20,240.77				
ROGERS COMMUN INC CL B F	349,000	45.2500	15,792.25	1%	4,767.26	3.71%	587.12
CLASS B	267,000	30.1399	8,047.36 ^a	11/05/09	4,034.39	1517	Long-Term
SYMBOL: RCI	15,000	35.0633	525.95	01/04/11	152.80	1092	Long-Term
	67,000	36.5922	2,451.68	07/12/12	580.07	537	Long-Term
Cost Basis			11,024.99				
ROYAL DUTCH SHELL A ADRF	307,000	71.2700	21,879.89	2%	3,324.91	5.05%	1,105.20
SPONSORED ADR	271,000	61.1257	16,565.09	04/27/10	2,749.08	1344	Long-Term
1 ADR REPS 2 CL A ORD	36,000	55.2747	1,989.89	09/01/10	575.83	1217	Long-Term
SYMBOL: RDSA							
Cost Basis			18,554.98				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
RYANAIR HLDGS PLC ADR F	404.0000	46.9300	18,959.72	2%	8,217.17	0.00%	0.00
SPONSORED ADR	102.0000	27.2375	2,778.23	07/15/11	2,008.63	900	Long-Term
1 ADR REP 5 ORD	84.0000	26.5360	2,229.03	08/31/11	1,713.09	853	Long-Term
SYMBOL: RYAAY	148.0000	25.3740	3,755.36	09/16/11	3,190.28	837	Long-Term
	70.0000	28.2847	1,979.93	11/01/11	1,305.17	791	Long-Term
Cost Basis			10,742.55				
S A P AG ADR F	441.0000	87.1400	38,428.74	3%	17,113.61	1.27%	489.82
SPONSORED ADR	142.0000	49.7873	7,069.80 ^a	09/09/09	5,304.08	1574	Long-Term
1 ADR REP 1 ORD	219.0000	47.1762	10,331.60	03/17/10	8,752.06	1385	Long-Term
SYMBOL: SAP	80.0000	48.9216	3,913.73	04/21/10	3,057.47	1350	Long-Term
Cost Basis			21,315.13				
SAMPO OYJ ADR F	1,100.0000	24.6600	27,126.00	2%	13,667.67	0.00%	0.00
UNSPONSORED ADR	459.0000	12.3740	5,679.70	07/27/10	5,639.24	1253	Long-Term
1 ADR REPS .5 ORD	432.0000	12.2783	5,304.23	08/20/10	5,348.89	1229	Long-Term
SYMBOL: SAXPY	209.0000	11.8392	2,474.40	06/11/12	2,679.54	568	Long-Term
Cost Basis			13,458.33				
SANDS CHINA LTD ADR F	380.0000	82.2000	31,236.00	3%	15,472.38	2.06%	646.52
UNSPONSORED ADR	290.0000	38.9918	11,307.64	10/26/12	12,530.36	431	Long-Term
1 ADR REPS 10 ORD	90.0000	49.5108	4,455.98	03/22/13	2,942.02	284	Short-Term
SYMBOL: SCHYY			15,763.62				
Cost Basis							
SANOFI ADR F	580.0000	53.6300	31,105.40	3%	9,506.11	3.34%	1,039.65
SPONSORED ADR	84.0000	31.1908	2,620.03 ^a	12/23/08	1,884.89	1834	Long-Term
2 ADR REP 1 ORD	302.0000	30.4651	9,200.47 ^a	02/11/09	6,995.79	1784	Long-Term
SYMBOL: SNY	194.0000	50.4061	9,778.79	12/12/13	625.43	19	Short-Term
Cost Basis			21,599.29				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
SEVEN & I HLDG CO ADR F	341.0000	80.0215	27,287.33	2%	5,041.46	0.00%	0.00
UNSPONSORED ADR	231.0000	66.9494	15,465.32	08/14/12	3,019.65	504	Long-Term
1 ADR REP 2 ORD	110.0000	61.6413	6,780.55	03/18/13	2,021.82	288	Short-Term
SYMBOL: SVNDY							
Cost Basis			22,245.87				
SIGNET JEWELERS LTD F	221.0000	78.7000	17,392.70	1%	3,157.82	0.76%	132.60
SYMBOL: SIG	142.0000	62.6823	8,900.90	03/19/13	2,274.50	287	Short-Term
	33.0000	66.6287	2,198.75	04/19/13	398.35	256	Short-Term
	9.0000	68.8622	619.76	06/07/13	88.54	207	Short-Term
	37.0000	67.9856	2,515.47	06/27/13	396.43	187	Short-Term
Cost Basis			14,234.88				
SUMITOMO MITSUI NEW ADRF	3,824.0000	10.4900	40,113.76	3%	11,815.97	0.00%	0.00
SPONSORED ADR	239.5000	16.1057	3,857.33 ^a	10/03/07	(1,344.97)	2281	Long-Term
1 ADR REPS 0.20 ORD	375.0000	7.8452	2,941.98 ^a	05/08/09	991.77	1698	Long-Term
SYMBOL: SMFG	746.0000	6.5504	4,886.60	01/19/10	2,938.94	1442	Long-Term
	459.5000	6.6172	3,040.64	03/31/10	1,779.52	1371	Long-Term
	191.0000	6.2947	1,202.30	12/08/10	801.29	1119	Long-Term
	539.0000	6.4891	3,497.63	03/16/11	2,156.48	1021	Long-Term
	612.0000	6.9307	4,241.60	02/16/12	2,178.28	684	Long-Term
	662.0000	6.9935	4,629.71	12/18/12	2,314.67	378	Long-Term
Cost Basis			28,297.79				
SUMITOMO MITSUI TR ADR F	2,185.0000	5.2900	11,558.65	<1%	230.74	0.00%	0.00
SPONSORED ADR	2,185.0000	5.1843	11,327.91	10/16/13	230.74	76	Short-Term
1 ADR REPS 1 ORD							
SYMBOL: SUTNY							

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
SWATCH GROUP AG ADR F	428.0000	33.2000	14,209.60	1%	4,659.80	1.07%	152.37
UNSPONSORED ADR	428.0000	22.3126	9,549.80	11/12/12	4,659.80	414	Long-Term
1 ADR REPS .05 ORD							
SYMBOL: SWGAY							
SWEDBANK AB SPON ADR F	562.0000	28.3100	15,910.22	1%	6,341.45	5.35%	851.65
SPONSORED ADR	256.0000	18.6687	4,779.21	04/12/11	2,468.15	994	Long-Term
1 ADR REPS 1 ORD	306.0000	15.6521	4,789.56	06/23/11	3,873.30	922	Long-Term
SYMBOL: SWDBY							
Cost Basis			9,568.77				
TULLOW OIL PLC ADR F	1,125.0000	7.0600	7,942.50	<1%	(2,568.94)	0.90%	72.22
UNSPONSORED ADR	521.0000	9.5875	4,995.09	03/26/10	(1,316.83)	1376	Long-Term
1 ADR REPS 0.5 ORD	295.0000	8.4631	2,496.63	05/10/10	(413.93)	1331	Long-Term
SYMBOL: TUWOY	45.0000	9.6051	432.23	11/22/10	(114.53)	1135	Long-Term
Cost Basis	264.0000	9.8010	2,587.49	12/13/12	(723.65)	383	Long-Term
			10,511.44				
UNILEVER PLC ADR NEW F	645.0000	41.2000	26,574.00	2%	11,658.38	3.57%	948.92
SPONSORED ADR	645.0000	23.1249	14,915.62	03/15/06	11,658.38	2848	Long-Term
1 ADR REP 1 ORD							
SYMBOL: UL							
VALEO SPONSORED ADR F	503.0000	55.6100	27,971.83	2%	16,173.66	0.00%	0.00
SPONSORED ADR	74.0000	24.6409	1,823.43	08/30/11	2,291.71	854	Long-Term
1 ADR REP 1/2 ORD	89.0000	24.6505	2,193.90	10/13/11	2,755.39	810	Long-Term
SYMBOL: VLEEV	126.0000	20.3610	2,565.49	12/22/11	4,441.37	740	Long-Term
	184.0000	23.9486	4,406.55	08/10/12	5,825.69	508	Long-Term
Cost Basis	30.0000	26.9600	808.80	04/05/13	859.50	270	Short-Term
			11,798.17				

Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
	Units Purchased	Cost Per Share	Cost Basis			Holding Days	Holding Period
WOLSELEY PLC ADR NEW F	3,235.0000	5.7200	18,504.20	2%	7,941.33	0.00%	0.00
SPONSORED ADR	2,388.2187	3.0660	7,322.48	10/20/11	6,338.13	803	Long-Term
1 ADR REPS 0.1 ORD	707.2089	3.7899	2,680.28	06/11/12	1,364.96	568	Long-Term
SYMBOL: WOSYY	139.5722	4.0130	560.11	06/21/12	238.24	558	Long-Term
Cost Basis			10,562.87				
YAHOO JAPAN CORP ADR F	2,360.0000	11.1200	26,243.20	2%	7,999.95	0.00%	0.00
UNSPONSORED ADR	1,300.0000	7.2640	9,443.27	01/27/10	5,012.73	1434	Long-Term
1 ADR REPS 0.0333 ORD	135.0000	7.6822	1,037.10	03/05/10	464.10	1397	Long-Term
SYMBOL: YAH0Y	633.3333	7.2369	4,583.39	10/07/10	2,459.28	1181	Long-Term
Cost Basis	291.6666	10.9011	3,179.49	07/18/13	63.84	166	Short-Term
			18,243.25				

Total Accrued Dividend for Equities: 653.02

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Income Summary	This Period		Year to Date	
	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Money Funds Dividends	0.00	0.00	0.00	37.72
Cash Dividends	0.00	47,810.56	0.00	140,350.12
Total Capital Gains	0.00	438.51	0.00	438.51

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB US TREAS MONEY FD: SWUXX	242,632.3600	1.0000	242,632.36	0.00%	4%

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
PIMCO TOTAL RETURN FUND ^o INSTL CL SYMBOL: PTTRX	7,371.9260	10.6900	78,805.89	1%	10.66	74,103.92 ^a	4,701.97

Investment Detail - Mutual Funds (continued)

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
COHEN & STEERS INSTL ° GLOBAL REALTY SHARES SYMBOL: GRSX	36,163.9750	22.5800	816,582.56	12%	18.17	657,083.54	159,499.02
PIMCO COMMODITY REAL ° RETURN STRAT INST SYMBOL: PCRIX	101,493.7520	5.4900	557,200.70	8%	6.88	677,639.01 ^a	(120,438.31)
VANGUARD 500 INDEX FD ° SIGNAL SHRS SYMBOL: VIFSX	28,932.0710	140.7200	4,071,321.03	61%	96.81	2,533,197.45 ^a	1,538,123.58

Investment Detail - Other Assets

Other Assets	Quantity	Market Price	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Holding Days	Holding Period
ISHARES TR RUSSELL 3000 RUSSELL 3000 ETF SYMBOL: IWV	5,610.0000 5,610.0000	110.6500 83.1588	620,746.50 466,521.19	9% 10/25/12	154,225.31 154,225.31	432	Long-Term
ISHARES TRUST MSCI EAFE SMALL-CAP ETF SYMBOL: SCZ	3,515.0000 3,515.0000	50.9800 34.6794	179,194.70 121,898.25	3% 02/26/10	57,296.45 57,296.45	1404	Long-Term

Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity	Market Price	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Holding Days	Holding Period
VANGUARD FTSE EMERGING MARKETS ETF SYMBOL: VWO	3,245.0000 3,245.0000	41.1400 38.8415	133,499.30 126,040.86	2% 02/26/10	7,458.44 7,458.44	1404	Long-Term

Transaction Detail - Purchases & Sales

Bond Funds Activity

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/11/13	12/11/13	Reinvested Shares	PIMCO TOTAL RETURN FUND INSTL CL: PTTRX	40.7920	10.7500	(438.51)
12/11/13	12/11/13	Reinvested Shares	PIMCO TOTAL RETURN FUND INSTL CL: PTTRX	7.7960	10.7500	(83.81)

Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
ATM INC	165.0000	30.2100	4,984.65	<1%	1,074.96	0.00%	0.00
SYMBOL: ATMI	70.0000	29.7750	2,084.25 ^a	03/15/06	30.45	2848	Long-Term
	35.0000	19.8857	696.00	10/21/11	361.35	802	Long-Term
	60.0000	18.8240	1,129.44	11/19/12	683.16	407	Long-Term
Cost Basis			3,909.69				
ACXIOM CORP	580.0000	36.9800	21,448.40	3%	12,428.54	0.00%	0.00
SYMBOL: ACXM	295.0000	15.8351	4,671.37	02/16/10	6,237.73	1414	Long-Term
	40.0000	12.7725	510.90	11/09/11	968.30	783	Long-Term
	245.0000	15.6636	3,837.59	07/11/12	5,222.51	538	Long-Term
Cost Basis			9,019.86				
AKORN INCORPORATED	270.0000	24.6200	6,647.40	<1%	3,442.41	0.00%	0.00
SYMBOL: AKRX	270.0000	11.8703	3,204.99	03/28/12	3,442.41	643	Long-Term
ALBEMARLE CORP	110.0000	63.3900	6,972.90	<1%	4,577.10	1.51%	105.60
SYMBOL: ALB	110.0000	21.7800	2,395.80 ^a	03/15/06	4,577.10	2848	Long-Term
						Accrued Dividend: 26.40	
ALLIANCE DATA SYSTEMS	55.0000	262.9300	14,461.15	2%	11,888.25	0.00%	0.00
SYMBOL: ADS	55.0000	46.7800	2,572.90 ^a	03/15/06	11,888.25	2848	Long-Term
ATWOOD OCEANICS INC	85.0000	53.3900	4,538.15	<1%	2,501.55	0.00%	0.00
SYMBOL: ATW	85.0000	23.9600	2,036.60 ^a	03/15/06	2,501.55	2848	Long-Term

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
BANKRATE INC	400.0000	17.9400	7,176.00	<1%	1,028.82	0.00%	0.00
SYMBOL: RATE	80.0000	15.1118	1,208.95	06/17/11	226.25	928	Long-Term
	160.0000	15.0937	2,415.00	06/17/11	455.40	928	Long-Term
	90.0000	17.6666	1,590.00	12/07/11	24.60	755	Long-Term
	15.0000	18.8206	282.31	06/13/12	(13.21)	566	Long-Term
	55.0000	11.8349	650.92	11/28/12	335.78	398	Long-Term
Cost Basis			6,147.18				
BELDEN INC	205.0000	70.4500	14,442.25	2%	9,661.48	0.28%	41.00
SYMBOL: BDC	205.0000	23.3208	4,780.77 ^a	09/21/09	9,661.48	1562	Long-Term
							Accrued Dividend: 10.25
BONANZA CREEK ENERGY INC	80.0000	43.4700	3,477.60	<1%	297.79	0.00%	0.00
SYMBOL: BCEI	80.0000	39.7476	3,179.81	07/29/13	297.79	155	Short-Term
BOTTOMLINE TECHNOLOGIES	295.0000	36.1600	10,667.20	1%	2,335.00	0.00%	0.00
SYMBOL: EPAY	145.0000	27.0228	3,918.31	01/04/13	1,324.89	361	Short-Term
	5.0000	28.7940	143.97	01/29/13	36.83	336	Short-Term
	135.0000	29.5281	3,986.30	01/29/13	895.30	336	Short-Term
	10.0000	28.3620	283.62	03/08/13	77.98	298	Short-Term
Cost Basis			8,332.20				
CARPENTER TECHNOLOGY CP	145.0000	62.2000	9,019.00	1%	2,003.48	1.15%	104.40
SYMBOL: CRS	145.0000	48.3828	7,015.52	11/07/12	2,003.48	419	Long-Term
CHEMTURA CORP NEW	230.0000	27.9200	6,421.60	<1%	991.13	0.00%	0.00
SYMBOL: CHMT	85.0000	22.9683	1,952.31	01/10/13	420.89	355	Short-Term
	145.0000	23.9873	3,478.16	01/30/13	570.24	335	Short-Term
Cost Basis			5,430.47				

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Investment Detail - Equities (continued)

Equities (continued)		Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
	Units Purchased	Cost Per Share	Cost Basis	Acquired	Holding Days	Holding Period		
CHICAGO BRIDGE & IRON F								
	160.0000	83.1400	13,302.40	2%		11,390.79	0.24%	32.00
N Y REGISTRY SHS	160.0000	11.9475	1,911.61 ^a	11/03/08	1884	11,390.79		Long-Term
1 NY SH REP 1 ORD								
SYMBOL: CBI								
CONNS INC								
	185.0000	78.6900	14,557.65	2%		7,419.53	0.00%	0.00
SYMBOL: CONN	70.0000	26.9642	1,887.50	12/07/12	389	3,620.80		Long-Term
	20.0000	28.6455	572.91	12/10/12	386	1,000.89		Long-Term
	70.0000	44.5635	3,119.45	05/09/13	236	2,388.85		Short-Term
	25.0000	62.3304	1,558.26	07/29/13	155	408.99		Short-Term
Cost Basis			7,138.12					
COOPER COMPANIES NEW								
	105.0000	123.8400	13,003.20	2%		11,222.87	0.04%	6.30
SYMBOL: COO	105.0000	16.9555	1,780.33 ^a	11/04/08	1883	11,222.87		Long-Term
CORP EXECUTIVE BOARD CO								
	85.0000	77.4300	6,581.55	<1%		2,661.25	1.16%	76.50
SYMBOL: CEB	85.0000	46.1211	3,920.30	12/10/12	386	2,661.25		Long-Term
CYBERONICS INC								
	125.0000	65.4220	8,177.75	1%		2,355.28	0.00%	0.00
SYMBOL: CYBX	85.0000	46.0671	3,915.71	03/26/13	280	1,645.16		Short-Term
	40.0000	47.6690	1,906.76	05/30/13	215	710.12		Short-Term
Cost Basis			5,822.47					
D S W INC								
	350.0000	42.7300	14,955.50	2%		12,558.50	1.17%	175.00
SYMBOL: DSW	350.0000	6.8485	2,397.00 ^a	08/14/09	1600	12,558.50		Long-Term
DEALERTRACK TECHNOLOGIES								
	335.0000	48.0800	16,106.80	2%		10,932.75	0.00%	0.00
SYMBOL: TRAK	115.0000	15.9840	1,838.16	05/07/10	1334	3,691.04		Long-Term
	15.0000	17.9180	268.77	06/22/10	1288	452.43		Long-Term
	205.0000	14.9615	3,067.12	08/12/10	1237	6,789.28		Long-Term
Cost Basis			5,174.05					

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
DIODES INCORPORATED	197.0000	23.5600	4,641.32	<1%	665.83	0.00%	0.00
SYMBOL: DIOD	107.0000	24.5700	2,628.99 ^a	03/15/06	(108.07)	2848	Long-Term
	20.0000	21.3275	426.55	10/25/11	44.65	798	Long-Term
	70.0000	13.1421	919.95	11/16/12	729.25	410	Long-Term
Cost Basis			3,975.49				
ELECTRONICS FOR IMAGING	90.0000	38.7300	3,485.70	<1%	2.25	0.00%	0.00
SYMBOL: EFIL	90.0000	38.7050	3,483.45	12/11/13	2.25	20	Short-Term
ELIZABETH ARDEN	175.0000	35.4500	6,203.75	<1%	2,800.97	0.00%	0.00
SYMBOL: RDN	30.0000	23.8950	716.85 ^a	03/15/06	346.65	2848	Long-Term
	140.0000	17.9071	2,507.00	05/13/10	2,456.00	1328	Long-Term
	5.0000	35.7860	178.93	10/28/11	(1.68)	795	Long-Term
Cost Basis			3,402.78				
ENCORE CAPITAL GROUP INC	225.0000	50.2600	11,308.50	2%	2,702.42	0.00%	0.00
SYMBOL: ECPG	85.0000	36.7908	3,127.22	06/10/13	1,144.88	204	Short-Term
	75.0000	36.1518	2,711.39	06/18/13	1,058.11	196	Short-Term
	65.0000	42.5764	2,767.47	08/09/13	499.43	144	Short-Term
Cost Basis			8,606.08				
ENERSYS	180.0000	70.0900	12,616.20	2%	9,143.58	0.71%	90.00
SYMBOL: ENS	140.0000	10.2543	1,435.61 ^a	11/10/08	8,376.99	1877	Long-Term
	40.0000	50.9252	2,037.01	06/19/13	766.59	195	Short-Term
Cost Basis			3,472.62				
FINANCIAL ENGINES INC	115.0000	69.4800	7,990.20	1%	5,528.46	0.28%	23.00
SYMBOL: FNGN	115.0000	21.4064	2,461.74	04/20/12	5,528.46	620	Long-Term
							Accrued Dividend: 5.75

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
FOX FACTORY HOLDING CORP SYMBOL: FOXF	50.0000 50.0000	17.6200 15.3000	881.00 765.00	<1% 08/07/13	116.00 116.00	0.00% 146	0.00 Short-Term
HAEMONETICS CORP SYMBOL: HAE	140.0000 140.0000	42.1300 42.7413	5,898.20 5,983.79	<1% 08/07/13	(85.59) (85.59)	0.00% 146	0.00 Short-Term
IMAX CORP F SYMBOL: IMAX	225.0000 210.0000 15.0000	29.4800 14.8798 19.4540	6,633.00 3,124.76 291.81 3,416.57	<1% 03/08/10 05/13/10	3,216.43 3,066.04 150.39	0.00% 1394 1328	0.00 Long-Term Long-Term
Cost Basis							
INNERWORKING INC SYMBOL: INWK	390.0000 255.0000 135.0000	7.7900 11.2974 11.0839	3,038.10 2,880.84 1,496.33 4,377.17	<1% 04/22/13 09/10/13	(1,339.07) (894.39) (444.68)	0.00% 253 112	0.00 Short-Term Short-Term
Cost Basis							
INTEGRATED DEVICE TECH SYMBOL: IDTI	1,035.0000 555.0000 275.0000 205.0000	10.1800 6.9914 6.0734 5.8063	10,536.30 3,880.23 1,670.20 1,190.30 6,740.73	1% 04/05/12 10/17/12 11/13/12	3,795.57 1,769.67 1,129.30 896.60	0.00% 635 440 413	0.00 Long-Term Long-Term Long-Term
Cost Basis							
INTERXION HOLDING NV F SYMBOL: INXN	230.0000 140.0000 90.0000	23.6100 23.0690 22.5020	5,430.30 3,229.66 2,025.18 5,254.84	<1% 10/05/12 11/08/12	175.46 75.74 99.72	0.00% 452 418	0.00 Long-Term Long-Term
Cost Basis							
KRISPY KREME DOUGHNUT CP SYMBOL: KKD	475.0000 285.0000 190.0000	19.2900 13.9707 17.3908	9,162.75 3,981.65 3,304.27 7,285.92	1% 04/22/13 06/06/13	1,876.83 1,516.00 360.83	0.00% 253 208	0.00 Short-Term Short-Term
Cost Basis							

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
LIFETIME FITNESS	275.0000	47.0000	12,925.00	2%	6,351.34	0.00%	0.00
SYMBOL: LTM	25.0000	34.6572	866.43 ^a	09/08/08	308.57	1940	Long-Term
	250.0000	22.8289	5,707.23 ^a	07/20/09	6,042.77	1625	Long-Term
Cost Basis			6,573.66				
LIVE NATION ENTMT INC	380.0000	19.7600	7,508.80	1%	3,274.94	0.00%	0.00
SYMBOL: LYV	330.0000	11.1357	3,674.79	03/03/11	2,846.01	1034	Long-Term
	50.0000	11.1814	559.07	06/01/11	428.93	944	Long-Term
Cost Basis			4,233.86				
LKQ CORP	220.0000	32.9000	7,238.00	<1%	5,533.00	0.00%	0.00
SYMBOL: LKQ	220.0000	7.7500	1,705.00 ^a	09/25/07	5,533.00	2289	Long-Term
LUMINEX CORP DEL	335.0000	19.4000	6,499.00	<1%	387.86	0.00%	0.00
SYMBOL: LMNX	40.0000	19.9725	798.90 ^a	06/24/08	(22.90)	2016	Long-Term
	150.0000	19.1424	2,871.36 ^a	11/03/08	38.64	1884	Long-Term
	75.0000	16.6785	1,250.89	06/08/10	204.11	1302	Long-Term
	70.0000	16.9998	1,189.99	11/15/12	168.01	411	Long-Term
Cost Basis			6,111.14				
MAGNUM HUNTER RESOURCES	1,180.0000	7.3100	8,625.80	1%	3,412.55	0.00%	0.00
SYMBOL: MHR	85.0000	4.7911	407.25	09/21/11	214.10	832	Long-Term
	55.0000	4.3327	238.30	10/20/11	163.75	803	Long-Term
	385.0000	4.4390	1,709.03	11/14/11	1,105.32	778	Long-Term
	505.0000	4.5297	2,287.50	05/11/12	1,404.05	599	Long-Term
	150.0000	3.8078	571.17	11/20/12	525.33	406	Long-Term
Cost Basis			5,213.25				

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
MAXLINEAR INC	280.0000	10.4300	2,920.40	<1%	674.32	0.00%	0.00
SYMBOL: MXL	25.0000	9.0344	225.86	05/23/11	34.89	953	Long-Term
	195.0000	8.6993	1,696.38	06/22/11	337.47	923	Long-Term
	30.0000	5.5383	166.15	10/20/11	146.75	803	Long-Term
	30.0000	5.2563	157.69	11/12/12	155.21	414	Long-Term
Cost Basis			2,246.08				
METHODE ELECTRONICS	145.0000	34.1900	4,957.55	<1%	2,976.82	0.81%	40.60
SYMBOL: MEI	145.0000	13.6602	1,980.73	04/11/13	2,976.82	264	Short-Term
MICROS SYSTEMS INC	125.0000	57.3700	7,171.25	<1%	4,416.05	0.00%	0.00
SYMBOL: MCRS	100.0000	16.1101	1,611.01 ^a	02/25/09	4,125.99	1770	Long-Term
	5.0000	49.5420	247.71	10/17/12	39.14	440	Long-Term
	20.0000	44.8240	896.48	11/12/12	250.92	414	Long-Term
Cost Basis			2,755.20				
MIDDLEBY CORP THE	42.0000	239.7240	10,068.41	1%	6,985.70	0.00%	0.00
SYMBOL: MIDD	42.0000	73.3978	3,082.71	10/27/10	6,985.70	1161	Long-Term
MIX TELEMATICS LTD ADR F	185.0000	12.4100	2,295.85	<1%	(794.63)	0.00%	0.00
SPONSORED ADR	90.0000	16.1666	1,455.00	08/09/13	(338.10)	144	Short-Term
1 ADR REPS 25 ORD	95.0000	17.2155	1,635.48	08/12/13	(456.53)	141	Short-Term
SYMBOL: MIXT							
Cost Basis			3,090.48				
MOBILE MINI INC	305.0000	41.1800	12,559.90	2%	7,016.22	0.00%	0.00
SYMBOL: MINI	15.0000	18.3966	275.95	10/24/11	341.75	799	Long-Term
	235.0000	17.6706	4,152.61	10/16/12	5,524.69	441	Long-Term
	55.0000	20.2749	1,115.12	12/05/12	1,149.78	391	Long-Term
Cost Basis			5,543.68				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
MONRO MUFFLER BRAKE INC	45.0000	56.3600	2,536.20	<1%	804.53	0.78%	19.80
SYMBOL: MNRO	45.0000	38.4815	1,731.67	02/11/13	804.53	323	Short-Term
MSC INDL DIRECT INC CL A	100.0000	80.8700	8,087.00	1%	3,400.00	1.63%	132.00
SYMBOL: MSM	100.0000	46.8700	4,687.00 ^a	03/15/06	3,400.00	2848	Long-Term
NCI BUILDING SYS INC NEW	210.0000	17.5400	3,683.40	<1%	1,456.75	0.00%	0.00
SYMBOL: NCS	65.0000	11.3967	740.79	04/24/12	399.31	616	Long-Term
	145.0000	10.2473	1,485.86	10/12/12	1,057.44	445	Long-Term
<i>Cost Basis</i>			2,226.65				
NIC INC	340.0000	24.8700	8,455.80	1%	6,192.37	0.00%	0.00
SYMBOL: EGOV	340.0000	6.6571	2,263.43 ^a	01/30/08	6,192.37	2162	Long-Term
						<i>Accrued Dividend: 119.00</i>	
NICE SYSTEMS LTD ADR F	100.0000	40.9600	4,096.00	<1%	1,693.22	1.56%	64.00
SPONSORED ADR	100.0000	24.0278	2,402.78 ^a	07/01/09	1,693.22	1644	Long-Term
1 ADR REP 1 ORD							
SYMBOL: NICE							
OXFORD INDUSTRIES INC	160.0000	80.6700	12,907.20	2%	7,078.91	0.89%	115.20
SYMBOL: OXM	65.0000	37.9936	2,469.59	05/24/11	2,773.96	952	Long-Term
	25.0000	35.1088	877.72	06/10/11	1,139.03	935	Long-Term
	45.0000	34.8728	1,569.28	08/12/11	2,060.87	872	Long-Term
	25.0000	36.4680	911.70	10/26/11	1,105.05	797	Long-Term
<i>Cost Basis</i>			5,828.29				
PDC ENERGY INC	100.0000	53.2200	5,322.00	<1%	2,423.43	0.00%	0.00
SYMBOL: PDCE	70.0000	29.4924	2,064.47	11/05/12	1,660.93	421	Long-Term
	30.0000	27.8033	834.10	11/15/12	762.50	411	Long-Term
<i>Cost Basis</i>			2,898.57				

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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
PEGASYSTEMS INC	150.0000	49.1800	7,377.00	1%	3,786.95	0.24%	18.00
SYMBOL: PEGA	135.0000	23.4166	3,161.25	09/10/10	3,478.05	1208	Long-Term
Cost Basis	15.0000	28.5866	428.80	11/04/10	308.90	1153	Long-Term
			3,590.05				
PIER ONE IMPORTS INC	310.0000	23.0800	7,154.80	<1%	(150.67)	0.86%	62.00
SYMBOL: PIR	255.0000	23.8021	6,069.54	06/11/13	(184.14)	203	Short-Term
Cost Basis	55.0000	22.4714	1,235.93	08/30/13	33.47	123	Short-Term
			7,305.47				
POLYONE CORP	595.0000	35.3500	21,033.25	3%	11,633.59	0.67%	142.80
SYMBOL: POL	595.0000	15.7977	9,399.66	08/22/12	11,633.59	496	Long-Term
							Accrued Dividend: 47.60
POLYPORE INTERNATIONAL	135.0000	38.9000	5,251.50	<1%	3,183.15	0.00%	0.00
SYMBOL: PPO	135.0000	15.3211	2,068.35 ^a	10/08/07	3,183.15	2276	Long-Term
POWER INTEGRATIONS INC	95.0000	55.8200	5,302.90	<1%	2,076.02	0.57%	30.40
SYMBOL: POWI	80.0000	34.5797	2,766.38	11/01/10	1,699.22	1156	Long-Term
Cost Basis	15.0000	30.7000	460.50	11/14/12	376.80	412	Long-Term
			3,226.88				
REPLIGEN CORP	320.0000	13.6400	4,364.80	<1%	1,216.14	0.00%	0.00
SYMBOL: RGEN	320.0000	9.8395	3,148.66	07/25/13	1,216.14	159	Short-Term
RESMED INC	105.0000	47.0800	4,943.40	<1%	2,939.37	2.12%	105.00
SYMBOL: RMD	105.0000	19.0860	2,004.03 ^a	07/10/08	2,939.37	2000	Long-Term

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income Holding Period
REX ENERGY CORP	440.0000	19.7100	8,672.40	1%	4,056.92	0.00%	0.00
SYMBOL: REXX	150.0000	10.4310	1,564.65 ^a	10/16/09	1,391.85	1537	Long-Term
	140.0000	10.3115	1,443.61 ^a	10/22/09	1,315.79	1531	Long-Term
	150.0000	10.7148	1,607.22	06/08/10	1,349.28	1302	Long-Term
Cost Basis			4,615.48				
ROGERS CORPORATION	230.0000	61.5000	14,145.00	2%	7,442.34	0.00%	0.00
SYMBOL: ROG	170.0000	25.2333	4,289.67 ^a	08/10/09	6,165.33	1604	Long-Term
	45.0000	40.0244	1,801.10	07/10/12	966.40	539	Long-Term
	15.0000	40.7926	611.89	11/14/12	310.61	412	Long-Term
Cost Basis			6,702.66				
RUCKUS WIRELESS INC	320.0000	14.2000	4,544.00	<1%	(800.44)	0.00%	0.00
SYMBOL: RKUS	320.0000	16.7013	5,344.44	09/10/13	(800.44)	112	Short-Term
SAPIENT CORPORATION	690.0000	17.3600	11,978.40	2%	6,271.58	0.00%	0.00
SYMBOL: SAPE	690.0000	8.2707	5,706.82 ^a	11/03/09	6,271.58	1519	Long-Term
SCIQUEST INC	160.0000	28.4800	4,556.80	<1%	1,741.89	0.00%	0.00
SYMBOL: SQI	120.0000	16.2836	1,954.04	01/08/13	1,463.56	357	Short-Term
	40.0000	21.5217	860.87	08/22/13	278.33	131	Short-Term
Cost Basis			2,814.91				
SERVICE SOURCE INTL INC	480.0000	8.3800	4,022.40	<1%	(463.61)	0.00%	0.00
SYMBOL: SREV	480.0000	9.3458	4,486.01	06/27/13	(463.61)	187	Short-Term
SMITH A O	220.0000	53.9400	11,866.80	2%	4,715.14	0.88%	105.60
SYMBOL: AOS	170.0000	31.2951	5,320.17	12/07/12	3,849.63	389	Long-Term
	50.0000	36.6298	1,831.49	03/07/13	865.51	299	Short-Term
Cost Basis			7,151.66				

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Holding Days	Estimated Annual Income Holding Period
SPECTRANETICS CORP	285.0000	25.0000	7,125.00	<1%	4,084.36	0.00%		0.00
SYMBOL: SPNC	285.0000	10.6689	3,040.64	06/12/12	4,084.36	567		Long-Term
STAGE STORES INC NEW	345.0000	22.2200	7,665.90	1%	(1,091.17)	2.25%		172.50
SYMBOL: SSI	210.0000	26.8245	5,633.16	03/08/13	(966.96)	298		Short-Term
Cost Basis	135.0000	23.1400	3,123.91	06/11/13	(124.21)	203		Short-Term
			8,757.07					
STEIN MART INC	240.0000	13.4500	3,228.00	<1%	(320.13)	1.48%		48.00
SYMBOL: SMRT	240.0000	14.7838	3,548.13	11/21/13	(320.13)	40		Short-Term
SYNTEL INC	60.0000	90.9500	5,457.00	<1%	3,981.41	0.00%		0.00
SYMBOL: SYNT	60.0000	24.5931	1,475.59 ^a	01/24/08	3,981.41	2168		Long-Term
TENNANT COMPANY	140.0000	67.8100	9,493.40	1%	4,708.53	1.06%		100.80
SYMBOL: TNC	140.0000	34.1776	4,784.87	06/30/10	4,708.53	1280		Long-Term
TERADYNE INCORPORATED	420.0000	17.6200	7,400.40	1%	3,794.10	0.00%		0.00
SYMBOL: TER	335.0000	6.4892	2,173.90 ^a	05/22/09	3,728.80	1684		Long-Term
Cost Basis	85.0000	16.8517	1,432.40	12/17/13	65.30	14		Short-Term
			3,606.30					
TRACTOR SUPPLY COMPANY	100.0000	77.5800	7,758.00	1%	6,580.96	0.67%		52.00
SYMBOL: TSCO	100.0000	11.7704	1,177.04 ^a	08/31/09	6,580.96	1583		Long-Term
TREX COMPANY INC	110.0000	79.5300	8,748.30	1%	5,265.65	0.00%		0.00
SYMBOL: TREX	55.0000	32.4556	1,785.06	03/27/12	2,589.09	644		Long-Term
Cost Basis	55.0000	30.8652	1,697.59	04/11/12	2,676.56	629		Long-Term
			3,482.65					
ULTA SALON COSM & FRAG	60.0000	96.5200	5,791.20	<1%	5,294.96	0.00%		0.00
SYMBOL: ULTA	60.0000	8.2706	496.24 ^a	05/07/09	5,294.96	1699		Long-Term

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
ULTIMATE SOFTWARE GROUP							
SYMBOL: ULTI	80.0000	153.2200	12,257.60	2%	10,097.75	0.00%	0.00 Long-Term
	80.0000	26.9981	2,159.85 ^a	09/11/08	10,097.75	1937	
UNITED NATURAL FOODS INC							
SYMBOL: UNFI	220.0000	75.3900	16,585.80	2%	11,482.84	0.00%	0.00 Long-Term
	220.0000	23.1952	5,102.96 ^a	10/13/08	11,482.84	1905	
UTI WORLDWIDE INC F							
SYMBOL: UTIW	285.0000	17.5600	5,004.60	<1%	(665.30)	0.34%	17.10 Long-Term
	70.0000	24.5197	1,716.38 ^a	06/23/06	(487.18)	2748	
	35.0000	25.0754	877.64 ^a	10/09/07	(263.04)	2275	
	110.0000	18.9879	2,088.67 ^a	09/18/08	(157.07)	1930	
	35.0000	14.8157	518.55	10/25/11	96.05	798	
	35.0000	13.3902	468.66	11/15/12	145.94	411	
			5,669.90				
VALUECLICK INC							
SYMBOL: VCLK	125.0000	23.3700	2,921.25	<1%	(295.76)	0.00%	0.00 Short-Term
	105.0000	25.5817	2,686.08	02/14/13	(232.23)	320	
	20.0000	26.5465	530.93	05/17/13	(63.53)	228	
			3,217.01				
VASCULAR SOLUTIONS INC							
SYMBOL: VASC	90.0000	23.1500	2,083.50	<1%	219.20	0.00%	0.00 Short-Term
	90.0000	20.7144	1,864.30	12/19/13	219.20	12	
VITAMIN SHOPPE INC							
SYMBOL: VSI	105.0000	52.0100	5,461.05	<1%	(0.18)	0.00%	0.00 Short-Term
	105.0000	52.0117	5,461.23	11/12/13	(0.18)	49	
WAGEWORKS INC							
SYMBOL: WAGE	195.0000	59.4400	11,590.80	2%	9,822.20	0.00%	0.00 Long-Term
	195.0000	9.0697	1,768.60	05/10/12	9,822.20	600	
WEST PHARM SRVC INC							
SYMBOL: WST	270.0000	49.0600	13,246.20	2%	5,267.42	0.81%	108.00 Short-Term
	190.0000	27.5078	5,226.49	01/18/13	4,094.91	347	
	80.0000	34.4036	2,752.29	05/20/13	1,172.51	225	
			7,978.78				

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
WNS HOLDINGS LTD ADR F	280.0000	21.9100	6,134.80	<1%	3,501.35	0.00%	0.00
SPONSORED ADR	185.0000	9.3310	1,726.25	02/10/12	2,327.10	690	Long-Term
1 ADR REP 1 ORD	95.0000	9.5494	907.20	06/28/12	1,174.25	551	Long-Term
SYMBOL: WNS							
Cost Basis			2,633.45				
ZUMIEZ INC	185.0000	26.0000	4,810.00	<1%	1,037.40	0.00%	0.00
SYMBOL: ZUMZ	185.0000	20.3924	3,772.60	04/20/10	1,037.40	1351	Long-Term
3 D SYSTEMS CORP	90.0000	92.9300	8,363.70	1%	6,762.50	0.00%	0.00
SYMBOL: DDD	90.0000	17.7911	1,601.20	07/21/11	6,762.50	894	Long-Term
8X8 INC NEW	415.0000	10.1500	4,212.25	<1%	1,229.12	0.00%	0.00
SYMBOL: EIGHT	415.0000	7.1882	2,983.13	04/22/13	1,229.12	253	Short-Term

Total Accrued Dividend for Equities: 209.00

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions VIVIAN & HYMIE J SOSLAND CHARITABLE TRUST	Employer identification number (EIN) or 20-7457935
	Number, street, and room or suite no. If a P O box, see instructions PO BOX 30067	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions KANSAS CITY, MO 64112	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

MARCIA RHODES

- The books are in the care of **4800 MAIN, STE 100 - KANSAS CITY, MO 64112**

Telephone No **816-756-1000**

Fax No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**

5 For calendar year **2013**, or other tax year beginning ☐ , and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

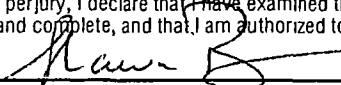
7 State in detail why you need the extension

ALL INFORMATION NECESSARY TO COMPLETE AN ACCURATE RETURN IS NOT AVAILABLE AT THIS TIME.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	19,841.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	19,841.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature 

Title **J.D.**

Date **8/8/14**

Form 8868 (Rev. 1-2014)

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) - You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization or other filer, see instructions. VIVIAN & HYMIE J SOSLAND CHARITABLE TRUST	Enter filer's identifying number Employer identification number (EIN) or 20-7457935
	Number, street, and room or suite no. If a P.O. box, see instructions. PO BOX 30067	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. KANSAS CITY, MO 64112	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MARCIA RHODES

- The books are in the care of ► **4800 MAIN, STE 100 - KANSAS CITY, MO 64112**
Telephone No ► **816-756-1000** Fax No. ► ☐

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	19,841.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	4,841.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	15,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.