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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation HELEN'S HOPE FOUNDATION		A Employer identification number 20-7410435						
Number and street (or P.O. box number if mail is not delivered to street address) C/O MALLORY SILVA, 212 IRON WORKS WAY		B Telephone number 973-408-6700						
City or town, state or province, country, and ZIP or foreign postal code WAYNE, PA 19087		C If exemption application is pending, check here ☐						
G Check all that apply: <table border="0"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 12,428,502. (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		469,643.	469,643.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		218,871.			
b Gross sales price for all assets on line 6a 6,250,472.					
7 Capital gain net income (from Part IV, line 2)			218,871.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		767.	767.		STATEMENT 2
12 Total. Add lines 1 through 11		689,281.	689,281.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages		45,000.	0.		45,000.
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		4,300.	0.		4,300.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		18,566.	2,311.		3,755.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		38,293.	36,480.		1,813.
24 Total operating and administrative expenses. Add lines 13 through 23		106,159.	38,791.		54,868.
25 Contributions, gifts, grants paid		527,103.			527,103.
26 Total expenses and disbursements. Add lines 24 and 25		633,262.	38,791.		581,971.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		56,019.			
b Net investment income (if negative, enter -0-)			650,490.		
c Adjusted net income (if negative, enter -0-)				N/A	

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10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	280,079.	559,550.	559,550.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	5,339,712.	5,460,898.	6,968,328.
c Investments - corporate bonds STMT 7	4,433,904.	4,110,961.	4,900,624.	
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	36,285.	0.	0.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	10,089,980.	10,131,409.	12,428,502.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31.			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	10,089,980.	10,145,999.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	-14,590.	
	30 Total net assets or fund balances	10,089,980.	10,131,409.	
	31 Total liabilities and net assets/fund balances	10,089,980.	10,131,409.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,089,980.
2 Enter amount from Part I, line 27a	2	56,019.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	10,145,999.
5 Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	14,590.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,131,409.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UBS # 18285 - SEE ATTACHED	P	VARIOUS	VARIOUS
b UBS # 56901 - SEE ATTACHED	P	VARIOUS	VARIOUS
c INVESTMENT IN KKR & CO	P	02/01/12	05/01/13
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,535,634.		4,607,733.	-72,099.
b 1,669,923.		1,388,392.	281,531.
c 44,915.		35,476.	9,439.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-72,099.
b			281,531.
c			9,439.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	218,871.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	352,965.	10,434,495.	.033827
2011	126,336.	10,003,538.	.012629
2010	311,014.	9,654,929.	.032213
2009	252,500.	8,421,579.	.029983
2008	2,630,657.	7,955,512.	.330671

2 Total of line 1, column (d)	2	.439323
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.087865
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	11,398,362.
5 Multiply line 4 by line 3	5	1,001,517.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,505.
7 Add lines 5 and 6	7	1,008,022.
8 Enter qualifying distributions from Part XII, line 4	8	581,971.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	13,010.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	13,010.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,010.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,763.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	6,500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	19,263.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,253.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax	11	6,253.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions)	NONE	
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address WWW.HELENSHOPEFOUNDATION.ORG				
14	The books are in care of UNTRACHT EARLY LLC Telephone no. (973) 408-6700			
Located at 325 COLUMBIA TURNPIKE, STE 202, FLORHAM PARK, NJ ZIP+4 07932				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KENNETH KARL C/O MALLORY SILVA, 212 IRON WORKS WAY WAYNE, PA 19087	TRUSTEE 2.00	0.	0.	0.
STEPHANIE KARL C/O MALLORY SILVA, 212 IRON WORKS WAY WAYNE, PA 19087	TRUSTEE 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	10,903,175.
b	Average of monthly cash balances	1b	668,766.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	11,571,941.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,571,941.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	173,579.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,398,362.
6	Minimum investment return. Enter 5% of line 5	6	569,918.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	569,918.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	13,010.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	13,010.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	556,908.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	556,908.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	556,908.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	581,971.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	581,971.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	581,971.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				556,908.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	1,366,996.			
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	1,366,996.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	581,971.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				556,908.
e Remaining amount distributed out of corpus	25,063.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,392,059.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	1,366,996.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	25,063.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	25,063.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FAMILY OF WOODSTOCK INC 39 JOHN STREET, PO BOX 3516 KINGSTON, NY 12402	NONE	PUBLIC	GENERAL UNRESTRICTED	18,261.
OPEN HOUSE MINISTRIES 900 W. 12TH STREET, PO BOX 242 VANCOUVER, WA 98666	NONE	PUBLIC	GENERAL UNRESTRICTED	15,842.
YOUNG WOMEN'S LEADERSHIP NETWORK 322 8TH AVENUE, 14TH FLOOR NEW YORK, NY 10001	NONE	PUBLIC	GENERAL UNRESTRICTED	50,000.
CHAPMAN PARTNERSHIP 1550 N MIAMI AVENUE MIAMI, FL 33136	NONE	PUBLIC	GENERAL UNRESTRICTED	40,000.
CHESTER CHILDREN'S CHORUS 500 COLLEGE AVENUE SWARTHMORE, PA 19081	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000.
Total	SEE CONTINUATION SHEET(S)			527,103.
b Approved for future payment				
NONE				
Total				0.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL SERVICES	469,643.	0.	469,643.	469,643.	
TO PART I, LINE 4	469,643.	0.	469,643.	469,643.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INVESTMENT IN KKR & CO	767.	767.	
TOTAL TO FORM 990-PF, PART I, LINE 11	767.	767.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,300.	0.		4,300.
TO FORM 990-PF, PG 1, LN 16B	4,300.	0.		4,300.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	2,311.	2,311.		0.
FEDERAL	12,500.	0.		0.
PAYROLL TAXES	3,755.	0.		3,755.
TO FORM 990-PF, PG 1, LN 18	18,566.	2,311.		3,755.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	36,480.	36,480.		0.
PAYROLL FEES	1,438.	0.		1,438.
WORKERS COMP INSURANCE	375.	0.		375.
TO FORM 990-PF, PG 1, LN 23	38,293.	36,480.		1,813.

FORM 990-PF	CORPORATE STOCK		STATEMENT 6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
UBS FINANCIAL SERVICES # 18285-SECURITIES-SEE ATTACHED	2,321,984.	2,728,400.	
UBS FINANCIAL SERVICES # 56901-SECURITIES-SEE ATTACHED	2,013,545.	3,082,665.	
UBS # 25780 -SEE ATTACHED	1,125,369.	1,157,263.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,460,898.	6,968,328.	

FORM 990-PF	CORPORATE BONDS		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
UBS FINANCIAL SERVICES # 18285-CORPORATE BONDS-SEE ATTACHED	4,110,961.	4,900,624.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,110,961.	4,900,624.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN KKR & CO	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	9
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NAME OF MANAGER

KENNETH KARL
STEPHANIE KARL

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #44G
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

To: 973-845-7571 (Wendy)

From: UBS

Fax: UBS

At: 30-Apr-2014 15:55

Doc: 756

Page: 006

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. When available, basis information will be displayed in sections where basis is not required to be reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not required and thus not reflected here. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Net gain or loss is not reported to the IRS.

In Box 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "+" symbol indicate where an option premium adjustment has been made. If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Please check with your tax advisor and/or read the tax related disclosure materials associated with those products.

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked

In this subsection Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 1b)	Gain/Loss (\$)
Description (Box 8): AGRILIUM INC (CANADA) CAD CUSIP: 008916108 Symbol (Box 1d): AGU										
Sell	240.0000		04/03/13	04/09/13	22,729.70	22,801.54	38.31			(33.53)
Sell	128.0000		05/08/13	07/12/13	11,754.68	12,065.57				(310.89)
Sub Total	368.0000				34,484.38	34,867.11	38.31			(344.42)
Description (Box 8): APPLE INC CUSIP: 037833100 Symbol (Box 1d): AAPL										
Sell	15.0000		11/09/12	06/27/13	5,950.66	8,381.74				(2,431.08)
Sell	19.0000		11/09/12	06/27/13	7,537.50	10,297.42				(2,759.92)

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/loss (\$)
Description (Box 8): APPLE INC										
					CUSIP 037833100			Symbol (Box 1d): AAPL		
Sell	27.0000		11/19/12	06/27/13	10,711.19	14,834.88				(4,123.69)
Sub Total	61.0000				24,199.35	33,514.04				(9,314.69)
Description (Box 8): BMC SOFTWARE INC **MERGER EFF. 09/2013**										
					CUSIP 055921100					
Sell	290.0000		07/11/12	06/07/13	13,125.17	11,597.00				1,528.17
Sell	245.0000		11/19/12	06/07/13	11,088.51	9,678.37				1,410.14
Sub Total	535.0000				24,213.68	21,275.37				2,938.31
Description (Box 8): CEMEX S.A.B. DE C.V. SPON ADR										
					CUSIP 151290889			Symbol (Box 1d): CX		
Sell	964.0000		07/25/13	10/11/13	10,400.81	11,297.65				(896.84)
Sell	1,276.0000		07/25/13	10/16/13	13,563.04	14,954.14				(1,391.10)
Sub Total	2,240.0000				23,963.85	26,251.79				(2,287.94)
Description (Box 8): CISCO SYSTEMS INC										
					CUSIP 17275R102			Symbol (Box 1d): CSCO		
Sell	306.0000		04/11/13	04/19/13	6,213.19	6,610.86				(397.67)
Sell	113.0000		04/11/13	04/19/13	2,305.62	2,441.26				(135.64)
Sell	114.0000		04/11/13	04/22/13	2,337.52	2,462.87				(125.35)
Sell	552.0000		04/11/13	04/30/13	11,652.57	11,925.46				(272.89)
Sub Total	1,085.0000				22,508.90	23,440.45				(931.55)

continued next page

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Account type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1d) Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): DELTA AIR LINES INC DELA NEW CUSIP: 247361702 Symbol (Box 1d): DAL									
Sell	1,366.0000		02/06/13 04/03/13	19,848.54	19,339.72				508.82
Sell	174.0000		02/06/13 04/22/13	2,699.17	2,463.48				235.69
Sell	600.0000		03/04/13 04/22/13	9,307.47	9,296.77				10.70
Sub Total	2,140.0000			31,855.18	31,099.97				755.21
Description (Box 8): FRAY INC CUSIP: 278642103 Symbol (Box 1d): FRAY									
Sell	169.0000		05/24/12 03/08/13	8,960.49	6,847.32				2,113.17
Sell	171.0000		05/24/12 03/08/13	9,067.93	6,928.36				2,139.57
Sub Total	340.0000			18,028.42	13,775.68				4,252.74
Description (Box 8): EXPEDIA INC CUSIP: 30212P303 Symbol (Box 1d): EXPE									
Sell	229.0000		07/26/13 10/16/13	10,984.26	11,098.29				(114.03)
Sell	286.0000		07/26/13 10/22/13	13,942.20	13,860.74				81.46
Sell	295.0000		09/06/13 10/22/13	14,380.94	14,714.81				(333.87)
Sub Total	810.0000			39,307.40	39,673.84				(366.44)
Description (Box 8): EXPRESS SCRIPTS HLDG CO CUSIP: 30219G108 Symbol (Box 1d): ESRX									
Sell	260.0000		07/10/12 04/12/13	14,753.55	14,318.19				435.36
Description (Box 8): FACEBOOK INC CL A CUSIP: 30303M102 Symbol (Box 1d): FB									
Sell	745.0000		11/26/12 05/09/13	20,307.31	19,269.89				1,037.42

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<i>Description (Box 8): GRUPO TELEvisa SA DE CV GLOBAL DEP RCT REP ORD MEXICO ADR</i>										
						CUSIP: 400491206				Symbol (Box 1d) TV
Sell	933.0000		10/11/13	12/04/13	27,127.24	27,644.20				(516.96)
<i>Description (Box 8): KEYCORP NEW</i>										
						CUSIP: 493267108				Symbol (Box 1d) KEY
Sell	930.0000		06/20/12	04/26/13	9,099.16	7,072.83				2,026.33
<i>Description (Box 8): KOPPERS HOLDINGS INC</i>										
						CUSIP: 50060P106				Symbol (Box 1d) KOP
Sell	17.0000		06/19/12	02/15/13	701.58	569.72				131.86
Sell	13.0000		06/19/12	02/15/13	536.50	439.10				97.40
Sub Total	30.0000				1,238.08	1,008.82				229.26
<i>Description (Box 8): LEAPFROG ENTERPRISES INC</i>										
						CUSIP: 52186N106				Symbol (Box 1d) LF
Sell	804.0000		09/10/12	09/03/13	7,230.18	6,782.92				447.26
<i>Description (Box 8): LIVE NATION ENTERTAINMENT INC</i>										
						CUSIP: 538034109				Symbol (Box 1d) LYV
Sell	548.0000		04/12/13	11/15/13	9,683.34	6,966.09				2,717.25
<i>Description (Box 8): LYONDELLBASELL INDUSTRIES N V SHS - A - CL A</i>										
						CUSIP: N53745100				Symbol (Box 1d) LYB
Sell	56.0000		05/10/12	02/06/13	3,411.62	2,181.48				1,230.14
<i>Description (Box 8): MEADWESTVACO CORP</i>										
						CUSIP: 583334107				Symbol (Box 1d) MWV
Sell	120.0000		03/08/13	04/17/13	4,114.72	4,366.29				(251.57)
Sell	172.0000		03/08/13	04/19/13	5,932.45	6,258.34				(325.89)
Sell	66.0000		03/08/13	04/19/13	2,288.40	2,401.46				(113.06)

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2013 Consolidated Form 1099

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Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Priority / face value (Box 1e)	Original cost basis (\$)	Date acquired / exchange (Box 1b) (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): MEADWESTVACO CORP									
					CUSIP 583334107		Symbol (Box 1d): MWV		
Sell	32.0000		03/08/13 04/19/13	1,099.66	1,164.34				(64.68)
Sell	230.0000		03/08/13 04/22/13	7,979.95	8,368.72				(388.77)
Sub Total	620.0000			21,415.18	22,559.15				(1,143.97)
Description (Box 8): MERITOR INC									
					CUSIP 59001K100		Symbol (Box 1d): MTOR		
Sell	1,970.0000		05/08/13 10/29/13	14,226.44	12,122.23				2,104.21
Description (Box 8): MONSTER BEVERAGE CORP COM									
					CUSIP 611740101		Symbol (Box 1d): MNST		
Sell	370.0000		09/19/12 09/06/13	20,620.49	20,017.88				602.61
Sell	155.0000		09/21/12 09/06/13	8,638.32	8,664.87				(26.55)
Sell	95.0000		09/21/12 09/06/13	5,297.09	5,310.73				(13.64)
Sell	90.0000		09/21/12 09/09/13	5,044.89	5,031.21				13.68
Sell	193.0000		01/30/13 09/09/13	10,818.50	9,298.04				1,520.46
Sell	196.0000		02/06/13 09/09/13	10,986.66	9,752.97				1,233.69
Sub Total	1,099.0000			61,405.95	58,075.70				3,330.25
Description (Box 8): MONSIEUR CO									
					CUSIP 61945C103		Symbol (Box 1d): MONS		
Sell	305.0000		07/11/12 03/04/13	17,708.20	16,872.54				835.66
Description (Box 8): NFWF CORP NFW CI B									
					CUSIP 65249R708		Symbol (Box 1d): NWS		
Sell	1,620.0000		10/23/13 12/16/13	28,426.74	28,407.03				19.71

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Account Number: KU 56901 U6

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this subsection Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Account type	Quantity / face value (Box 1a)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): PENNEY J C CO INC (HLDG CO) CUSIP: 708160106 Symbol (Box 1d): JCP										
Sell	925.0000		09/09/13	09/12/13	12,804.83	13,384.80				(579.97)
Description (Box 8): PRIZER INC CUSIP: 717081103 Symbol (Box 1d): PFE										
Sell	1,185.0000		11/07/12	07/25/13	34,607.87	28,972.78				5,635.09
Description (Box 8): PLY GEM HOLDINGS INC CUSIP: 72941W100 Symbol (Box 1d): PGEM										
Sell	738.0000		07/12/13	07/26/13	13,431.61	15,007.25	1,575.64			0.00
Description (Box 8): PULTE GROUP INC CUSIP: 745867101 Symbol (Box 1d): PHM										
Sell	545.0000		12/18/12	07/22/13	10,377.71	10,109.87				267.84
Sell	1,169.0000		04/26/13	07/22/13	22,259.72	24,855.28				(2,595.56)
Sub Total	1,714.0000				32,637.43	34,965.15				(2,327.72)
Description (Box 8): QEP RES INC COM CUSIP: 74733V100 Symbol (Box 1d): QEP										
Sell	654.0000		11/13/12	01/11/13	19,166.05	18,470.85				695.20
Sell	360.0000		11/19/12	01/11/13	10,550.12	9,909.43				640.69
Sell	870.0000		10/22/13	12/06/13	27,521.19	29,173.29				(1,652.10)
Sub Total	1,884.0000				57,237.36	57,553.57				(316.21)

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Acct type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 1b)	Gain/Loss (\$)
Description (Box 8): STARZ SER A CUSIP: 85571Q102 Symbol (Box 1d): STRZA										
Sell	535.0000		04/12/13	11/07/13	14,929.64	11,760.52				3,169.12
Sell	270.0000		10/11/13	11/07/13	7,534.58	7,848.16				(313.58)
Sub Total	805.0000				22,464.22	19,608.68				2,855.54
Description (Box 8): TRANSOCEAN LTD CHF CUSIP: H8817H100 Symbol (Box 1d): RIG										
Sell	555.0000		11/07/13	11/21/13	29,116.59	28,553.28				563.31
Description (Box 8): UNTD RENTALS INC CUSIP: 911363109 Symbol (Box 1d): URI										
Sell	127.0000		11/19/12	04/30/13	6,709.74	5,131.59				1,578.15
Sell	78.0000		11/19/12	10/23/13	5,023.96	3,151.68				1,872.28
Sub Total	205.0000				11,733.70	8,283.27				3,450.43
Description (Box 8): UTI WORLDWIDE INC CUSIP: G87210103 Symbol (Box 1d): UTIW										
Sell	765.0000		09/04/13	09/12/13	11,439.44	13,141.19				(1,701.75)
Description (Box 8): YAHOO INC CUSIP: 984337106 Symbol (Box 1d): YHOO										
Sell	945.0000		04/17/13	07/22/13	26,373.74	22,442.43				3,931.31
Short-term total										
Box A, Part I	27,220.0000				\$706,440.94	\$689,091.72	\$1,613.95			\$18,963.17

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2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)
Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 1b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (f)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (f)	Cost or other basis (Box 3) (f)	Wash sale loss disallowed (Box 5) (f)	Federal income tax withheld (Box 4) (f)	Loss not allowed (Box 1b)	Gain/Loss (f)
Description (Box 8): ADOBE SYSTEMS INC (DELAWARE)										
					CUSIP: 00724F101		Symbol (Box 1d) ADBE			
Sell	112.0000		11/15/11	04/26/13	5,038.97	3,191.69				1,847.28
Sell	60.0000		03/28/12	04/26/13	2,699.45	2,066.27				633.18
Sell	194.0000		03/28/12	05/17/13	8,654.35	6,680.93				1,973.42
Sell	251.0000		03/28/12	09/24/13	12,952.48	8,643.88				4,308.60
Sell	57.0000		06/22/12	09/24/13	2,941.40	1,799.86				1,141.54
Sell	534.0000		06/22/12	10/23/13	28,303.26	16,861.88				11,441.38
Sub Total	1,208.0000				60,589.91	39,244.51				21,345.40
Description (Box 8): AGILENT TECHNOLOGIES INC										
					CUSIP: 00846U101		Symbol (Box 1d) A			
Sell	470.0000		10/24/11	07/18/13	21,543.05	17,519.56				4,023.49
Sell	240.0000		10/26/11	07/18/13	11,000.71	8,596.97				2,403.74
Sell	1.0000		12/20/11	07/18/13	45.84	34.48				11.36
Sell	289.0000		12/20/11	07/29/13	13,091.47	9,964.59				3,126.88
Sub Total	1,000.0000				45,681.07	36,115.60				9,565.47
Description (Box 8): ALLEGION PLC										
					CUSIP: G01761109		Symbol (Box 1d) ALLE			
Cash in Lieu	0.3333		10/25/12	12/02/13	14.07	9.38				4.69

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KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 7b)	Gain/loss (\$)
Description (Box 8): ANADARKO PETROLEUM CORP CUSIP 032511107 Symbol (Box 1d) APC										
Sell	40.0000		11/06/12	11/15/13	3,630.14	2,945.27				684.87
Sell	23.0000		11/06/12	11/29/13	2,058.29	1,693.54				364.75
Sub Total	63.0000				5,688.43	4,638.81				1,049.62
Description (Box 8): BMC SOFTWARE INC **MERGER EFF: 09/2013** CUSIP 055921100										
Sell	130.0000		09/27/11	05/07/13	5,891.29	5,212.76				678.53
Sell	400.0000		10/12/11	05/07/13	18,127.04	14,722.44				3,404.60
Sell	4.0000		10/12/11	05/15/13	181.39	147.22				34.17
Sell	299.0000		05/18/12	05/30/13	13,556.43	12,473.17				1,083.26
Sell	50.0000		05/21/12	05/30/13	2,266.96	2,142.67				124.29
Sub Total	883.0000				40,023.11	34,698.25				5,324.85
Description (Box 8): BORGWARNER INC CUSIP 099724106 Symbol (Box 1d) BWA										
Sell	272.0000		07/03/12	10/23/13	28,637.62	17,844.21				10,793.41
Description (Box 8): C&C GROUP PLC EUR CUSIP G1826G107 Symbol (Box 1d) CGPZF										
Sell	2,065.0000		06/13/12	06/14/13	12,012.93	8,795.03				3,217.90
Sell	415.0000		06/13/12	06/14/13	2,414.22	1,762.65				651.57
Sell	825.0000		06/14/12	06/18/13	4,560.84	3,506.44				1,054.40
Sell	830.0000		06/14/12	06/18/13	4,588.48	3,533.26				1,055.22
Sub Total	4,135.0000				23,576.47	17,597.38				5,979.09

continued next page

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24 DOCKSIDE LANE #44G
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1a)	Original cost basis (\$)	Date acquired / exchange (Box 1b)	Date of sale / exchange (Box 1c)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/loss (\$)
Description (Box 8): CHARTER COMMUNICATIONS INC DEL NEW CLA CUSIP: 16117M305 Symbol (Box 1d): CHTR										
Sell	89.0000		02/22/12	10/04/13	11,799.41	5,586.73				6,212.68
Sell	72.0000		02/22/12	11/14/13	9,228.13	4,519.60				4,708.53
Sell	139.0000		02/22/12	11/15/13	17,861.54	8,725.34				9,136.20
Sell	42.0000		06/12/12	11/15/13	5,397.01	2,699.58				2,697.43
Sell	31.0000		06/12/12	11/26/13	4,164.32	1,992.55				2,171.77
Sub Total	373.0000				48,450.41	23,523.80				24,926.61

Description (Box 8): CHESAPEAKE LODGING TR CUSIP: 165240102 Symbol (Box 1d): CHSP										
Sell	81.0000		04/29/11	02/15/13	1,780.09	1,438.06				342.03
Sell	880.0000		05/02/11	02/15/13	19,339.28	15,356.16				3,983.12
Sell	233.0000		05/04/11	02/15/13	5,120.51	3,955.68				1,164.83
Sell	267.0000		05/04/11	03/07/13	5,842.67	4,532.91				1,309.76
Sell	20.0000		11/29/11	03/07/13	437.65	304.22				133.43
Sell	213.0000		11/29/11	03/08/13	4,762.83	3,239.90				1,522.93
Sell	511.0000		11/29/11	03/12/13	11,496.81	7,772.73				3,724.08
Sub Total	2,205.0000				48,779.84	36,599.66				12,180.18

Description (Box 8): CCI FAX CORP CUSIP: 194014106 Symbol (Box 1d): CFX										
Sell	13.0000		11/15/11	04/22/13	553.04	373.03				180.01
Sell	105.0000		02/13/12	04/22/13	4,466.90	3,655.80				811.10
Sell	165.0000		02/13/12	04/24/13	7,247.47	5,744.84				1,502.63

continued next page

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HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Account type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1a)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): COLFAX CORP										
										CUSIP: 194014106 Symbol (Box 1d) CFX
Sell	277.0000		02/13/12	10/16/13	15,299.94	9,644.36				5,655.58
Sell	253.0000		02/13/12	11/14/13	14,822.15	8,808.75				6,013.40
Sub Total	813.0000				42,389.50	28,226.78				14,162.72
Description (Box 8): CONSTELLATION BRANDS INC CL A										
										CUSIP: 21036P108 Symbol (Box 1d) STZ
Sell	97.0000		08/02/12	12/02/13	6,875.73	2,825.86				4,049.87
Description (Box 8): COOPER STD HLDGS INC COM										
										CUSIP: 21676P103 Symbol (Box 1d) CPS
Tender	347.0000		05/02/11	05/09/13	14,921.00	15,094.50				(173.50)
Sell	103.0000		05/02/11	05/14/13	4,408.30	4,480.50				(72.20)
Sub Total	450.0000				19,329.30	19,575.00				(245.70)
Description (Box 8): COTT CORP QUE CANADA CAD										
										CUSIP: 22163N106 Symbol (Box 1d) COT
Sell	691.0000		05/09/12	05/17/13	6,146.23	5,059.76				1,086.47
Sell	1,109.0000		05/10/12	05/17/13	9,864.20	8,185.40				1,678.80
Sell	190.0000		05/10/12	07/12/13	1,576.27	1,402.37				173.90
Sell	885.0000		05/22/12	07/12/13	7,342.10	6,533.18				808.92
Sub Total	2,875.0000				24,928.80	21,180.71				3,748.09
Description (Box 8): EBAY INC										
										CUSIP: 278642103 Symbol (Box 1d) EBAY
Sell	70.0000		05/24/12	07/23/13	3,616.15	2,836.17				779.98

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KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1d)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 1b)	Gain/Loss (\$)
Description (Box 8): EMC CORP MASS										
					CUSIP: 268648102		Symbol (Box 1d): EMC			
Sell	700.0000		06/10/11	04/11/13	16,044.47	18,646.60				(2,602.13)
Sell	93.0000		06/23/11	04/11/13	2,131.62	2,454.08				(322.46)
Sell	200.0000		06/23/11	04/19/13	4,355.90	5,277.60				(921.70)
Sell	207.0000		06/23/11	07/29/13	5,440.19	5,462.32				(22.13)
Sell	310.0000		09/08/11	07/29/13	8,147.15	6,863.13				1,284.02
Sub Total	1,510.0000				36,119.33	38,703.73				(2,584.40)
Description (Box 8): EXPRESS SCRIPTS HLDG CO										
					CUSIP: 30219G108		Symbol (Box 1d): ESRX			
Sell	237.0000		04/03/12	04/12/13	13,448.43	13,508.13				(59.70)
Description (Box 8): GILEAD SCIENCES INC										
					CUSIP: 375558103		Symbol (Box 1d): GILD			
Sell	135.0000		04/19/12	07/23/13	8,017.69	3,542.36				4,475.33
Sell	219.0000		04/19/12	12/02/13	16,280.64	5,746.49				10,534.15
Sell	19.0000		04/23/12	12/02/13	1,412.48	488.42				924.06
Sub Total	373.0000				25,710.81	9,777.27				15,933.54
Description (Box 8): GOOGLE INC CL A										
					CUSIP: 38259P508		Symbol (Box 1d): GOOG			
Sell	13.0000		04/29/11	03/06/13	10,818.88	7,003.35				3,815.53
Sell	12.0000		04/29/11	03/12/13	9,898.32	6,464.63				3,433.69
Sell	9.0000		04/29/11	07/23/13	8,131.98	4,848.47				3,283.51
Sub Total	34.0000				28,849.18	18,316.45				10,532.73

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1a)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): HARRIS CORP DELA										
					CUSIP 413875105		Symbol (Box 1d) HRS			
Sell	210.0000		04/29/11	01/30/13	9,844.96	11,165.41				(1,320.45)
Sell	220.0000		05/04/11	01/30/13	10,313.77	10,638.76				(324.99)
Sell	25.0000		05/04/11	02/08/13	1,166.60	1,208.95				(42.35)
Sell	16.0000		08/05/11	02/08/13	746.62	597.96				148.66
Sell	189.0000		08/09/11	02/08/13	8,819.50	6,735.02				2,084.48
Sell	95.0000		12/20/11	02/08/13	4,433.08	3,363.63				1,069.45
Sub Total	755.0000				35,324.53	33,709.73				1,614.80
Description (Box 8): HERTZ GLOBAL HOLDINGS INC										
					CUSIP 42805T105		Symbol (Box 1d) HTZ			
Sell	570.0000		09/05/12	10/17/13	13,460.30	8,259.57				5,200.73
Description (Box 8): INGERSOLL-RAND PLC										
					CUSIP G47791101		Symbol (Box 1d) IR			
Sell	172.0000		08/30/12	10/25/13	11,556.48	7,878.75				3,677.73
Sell	238.0000		08/30/12	11/05/13	16,112.43	10,902.00				5,210.43
Sell	56.0000		10/25/12	11/05/13	3,791.16	2,586.53				1,204.63
Sell	81.0000		10/25/12	11/15/13	5,512.77	3,741.24				1,771.53
Sub Total	547.0000				36,972.84	25,108.52				11,864.32
Description (Box 8): KEYCORP NEW										
					CUSIP 493267108		Symbol (Box 1d) KEY			
Sell	730.0000		12/23/11	04/26/13	7,139.24	5,709.10				1,430.14
Sell	407.0000		03/16/12	04/26/13	3,980.37	3,487.54				492.83

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1a)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/loss (\$)
Description (Box 8): KEYCORP NEW										
						CUSIP 493267108		Symbol (Box 1d) KEY		
Sell	813.0000		03/16/12	04/26/13	7,954.43	6,966.50				987.93
Sub Total	1,950.0000				19,074.04	16,163.14				2,910.90
Description (Box 8): KOPPERS HOLDINGS INC										
						CUSIP 50060P106		Symbol (Box 1d) KOP		
Sell	226.0000		08/12/11	02/06/13	9,287.10	7,017.39				2,269.71
Sell	219.0000		08/12/11	02/15/13	9,037.98	6,800.04				2,237.94
Sell	270.0000		09/08/11	02/15/13	11,142.72	8,345.81				2,796.91
Sell	270.0000		06/19/12	06/20/13	10,704.56	9,119.84				1,584.72
Sub Total	985.0000				40,172.36	31,283.08				8,889.28
Description (Box 8): LEAPFROG ENTERPRISES INC										
						CUSIP 52186N106		Symbol (Box 1d) LF		
Sell	140.0000		09/10/12	10/11/13	1,230.00	1,181.11				48.89
Sell	222.0000		09/10/12	10/11/13	1,950.42	1,893.66				56.76
Sell	523.0000		09/10/12	10/11/13	4,594.92	4,440.73				154.19
Sell	443.0000		09/11/12	10/11/13	3,892.07	3,727.25				164.82
Sell	20.0000		09/11/12	10/11/13	175.71	168.00				7.71
Sub Total	1,348.0000				11,843.12	11,410.75				432.37
Description (Box 8): LIBERTY MEDIA CORP CL A										
						CUSIP 531229102		Symbol (Box 1d) LMCA		
Sell	57.0000		06/21/11	02/06/13	6,438.64	4,144.15				2,294.49

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

KILMURRAY / EINSTEIN
212 649-8000/800-635-1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): LIFE TECHNOLOGIES CORP **MERGER-EFF-02/2014**										
					CUSIP: 53217V109					
Sell	183.0000		09/20/11	04/26/13	13,491.19	7,375.34				6,115.85
Sell	227.0000		09/20/11	05/08/13	16,695.02	9,148.64				7,546.38
Sell	90.0000		12/20/11	05/08/13	6,619.17	3,574.62				3,044.55
Sub Total	500.0000				36,805.38	20,098.60				16,706.78
Description (Box 8): LIVE NATION ENTERTAINMENT INC										
					CUSIP: 538034109 Symbol (Box 1d): LYV					
Sell	933.0000		04/29/11	05/17/13	12,884.08	10,392.87				2,491.21
Sell	270.0000		04/29/11	11/14/13	4,847.79	3,007.59				1,840.20
Sell	587.0000		04/29/11	11/15/13	10,372.48	6,538.71				3,833.77
Sub Total	1,790.0000				28,104.35	19,939.17				8,165.18
Description (Box 8): LOWES COMPANIES INC										
					CUSIP: 548661107 Symbol (Box 1d): LOW					
Sell	701.0000		10/18/11	04/24/13	26,449.38	15,004.39				11,444.99
Sell	69.0000		10/18/11	04/25/13	2,607.56	1,476.89				1,130.67
Sell	410.0000		10/21/11	04/25/13	15,494.20	9,018.93				6,475.27
Sub Total	1,180.0000				44,551.14	25,500.21				19,050.93
Description (Box 8): LYONDELL BASF INDUSTRIES N.V. SHS - A - CL A										
					CUSIP: N53745100 Symbol (Box 1d): LYB					
Sell	375.0000		01/12/12	02/01/13	23,204.90	13,873.32				9,331.58
Sell	80.0000		01/12/12	02/06/13	4,873.74	2,959.64				1,914.10
Sell	49.0000		05/10/12	05/17/13	3,285.12	1,908.79				1,376.33

continued next page

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212-649-8000/800-635-1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (k)	Date acquired (Box 1d)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (b)	Cost or other basis (Box 3) (b)	Wash sale loss disallowed (Box 5) (b)	Federal income tax withheld (Box 4) (b)	Investment income nnt allowed (Box 2b)	Gain/Loss (k)
Description (Box 8): LYONDELLBASELL INDUSTRIES N V SHS - A CL A										
					CUSIP: N53745100		Symbol (Box 1d) LYB			
Sell	158.0000		05/10/12	05/17/13	10,592.82	6,244.08				4,348.74
Sell	187.0000		05/10/12	05/30/13	12,437.83	7,390.14				5,047.69
Sub Total	849.0000				54,394.41	32,375.97				22,018.44
Description (Box 8): MOHAWK INDUSTRIES INC										
					CUSIP: 608190104		Symbol (Box 1d) MHK			
Sell	245.0000		07/12/12	08/08/13	31,484.62	16,943.82				14,540.80
Description (Box 8): STANDARD PACIFIC CORP NEW										
					CUSIP: 85375C101		Symbol (Box 1d) SPF			
Sell	2,255.0000		03/23/12	08/08/13	17,645.17	9,569.03				8,076.14
Description (Box 8): STARZ SER A										
					CUSIP: 85571Q102		Symbol (Box 1d) STRZA			
Sell	230.0000		06/21/11	02/06/13	3,748.58	1,922.70				1,825.88
Sell	124.0000		09/21/11	02/06/13	2,020.98	934.50				1,086.48
Sell	90.0000		09/21/11	02/06/13	1,466.83	679.11				787.72
Sell	56.0000		12/20/11	02/06/13	912.70	442.49				470.21
Sub Total	500.0000				8,149.09	3,978.80				4,170.29
Description (Box 8): UNISYS CORP										
					CUSIP: 909214306		Symbol (Box 1d) UIS			
Sell	137.0000		05/05/11	07/23/13	3,421.50	3,741.32				(319.82)
Sell	73.0000		02/07/12	07/23/13	1,823.14	2,201.70				(378.56)
Sub Total	210.0000				5,244.64	5,943.02				(698.38)

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
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Your Financial Advisor:

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212-649-8000/800-635-1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (f)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (f)	Cost or other basis (Box 3) (f)	Wash sale loss disallowed (Box 5) (f)	Federal income tax withheld (Box 4) (f)	Loss not allowed (Box 2b)	Gain/Loss (f)
Description (Box 8): VIACOM INC NEW CL B										
										CUSIP: 92553P201 Symbol (Box 1d): VIAB
Sell	160.0000		05/10/12	11/05/13	13,448.86	7,666.70				5,782.16
Sell	17.0000		05/10/12	11/26/13	1,345.13	814.59				530.54
Sell	33.0000		05/29/12	11/26/13	2,611.14	1,600.50				1,010.64
Sell	30.0000		05/30/12	11/26/13	2,373.76	1,437.65				936.11
Sub Total	240.0000				19,778.89	11,519.44				8,259.45
Description (Box 8): WABCO HOLDINGS INC										
										CUSIP: 92927K102 Symbol (Box 1d): WBC
Sell	170.0000		06/21/11	03/18/13	12,085.83	11,134.75				951.08
Description (Box 8): WILLIAMS COS INC (DEL)										
										CUSIP: 969457100 Symbol (Box 1d): WMB
Sell	207.0000		07/15/11	03/07/13	7,004.74	5,003.20				2,001.54
Sell	63.0000		07/15/11	07/18/13	2,138.19	1,522.71				615.48
Sell	40.0000		08/05/11	07/18/13	1,357.58	894.25				463.33
Sell	172.0000		08/09/11	07/18/13	5,837.60	3,631.58				2,206.02
Sell	140.0000		08/09/11	07/22/13	4,825.10	2,955.94				1,869.16
Sell	250.0000		06/13/12	07/22/13	8,616.25	7,384.05				1,232.20
Sub Total	872.0000				29,779.46	21,391.73				8,387.73
Long-term total										
Box D, Part II	31,621.3333				\$954,016.97	\$673,695.19				\$280,321.78

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UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Not Reported on Form 1099-B

Proceeds transactions in this section are not reported to the IRS. However, you may have to report them on Form 8949.
An asterisk (*) indicates a UBS Financial Services adjustment to cost basis.

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions which are **Not** reported on Form 1099-B, Report on Form 8949, Part I, **Box C** checked

This section includes securities held for less than one year

Account type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired / exchange (Box 1b) (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): CALL LIVE NATION DUE 10/19/13 16 000 2598R6									
Shortcover	1 0000		07/16/13 06/13/13	126.94	140.00				(13.06)
Shortcover	2 0000		08/08/13 06/13/13	253.88	600.00				(346.12)
Shortcover	1 0000		08/08/13 06/13/13	126.94	299.10				(172.16)
Shortcover	1 0000		08/08/13 06/13/13	126.94	300.00				(173.06)
Shortcover	1 0000		08/08/13 06/13/13	126.94	299.78				(172.84)
Shortcover	1 0000		08/08/13 06/13/13	126.94	299.45				(172.51)
Shortcover	1 0000		08/08/13 06/13/13	126.94	310.00				(183.06)
Shortcover	2 0000		08/08/13 06/13/13	253.88	600.00				(346.12)
Sub Total	10.0000			1,269.40	2,848.33				(1,578.93)

Description (Box 8): CALL NETFLIX COM INC DUE 12/21/13 250 000 3108V9

Shortcover	2.0000		10/23/13 06/04/13	4,979.91	16,163.92				(11,184.01)
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Description (Box 8): CALL OIL STATES INTL INC DUE 09/21/13 100 000 3493B1

Shortcover	1.0000		09/16/13 08/05/13	210.22	160.00				50.22
Shortcover	1 0000		09/16/13 08/05/13	181.06	160.00				21.06

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
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Federal ID 13-2638166

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212-649-8000/800-635-1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B, Report on Form 8949, Part I, **Box C** checked (continued)

This section includes securities held for less than one year

Activity type	Quantity/ face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale/ exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): CALL OIL STATES INTL INC DUE 09/21/13 100.000 349381										
Shortcover	2.0000		09/16/13	08/05/13	349.99	320.00				29.99
Shortcover	1.0000		09/16/13	08/05/13	174.99	160.00				14.99
Sub Total	5.0000				916.26	800.00				116.26
Description (Box 8): CALL UNTD RENTALS INC DUE 09/21/13 55.000 4771FB										
Shortcover	1.0000		09/12/13	09/11/13	130.00	165.00				(35.00)
Shortcover	4.0000		09/13/13	09/11/13	519.99	680.00				(160.01)
Sub Total	5.0000				649.99	845.00				(195.01)
Description (Box 8): CALL UNTD RENTALS INC DUE 12/21/13 57.500 4771FB										
Shortcover	5.0000		12/02/13	09/17/13	1,649.97	6,561.98				(4,912.01)
Short-term total										
Box C, Part I	27.0000				\$9,465.53	\$27,219.23				\$17,753.70

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13 2638766

Your Financial Advisor:

KILMURRAY / EUSTEIN
212-649-8000/800-635 1983

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037 5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: KU 56901 U6

Tax Identification Number: 20 7410435

2013 Realized Gain/Loss Summary (includes sale proceeds not reported on Form 1099-B)

This summary summarizes your realized gains/losses for transactions reported on Form 1099-B and those transactions not reported on Form 1099-B.

- Transactions with box A or box B checked were reported to the IRS on Form 1099-B with cost basis.
- If transactions with box E or box F checked were reported to the IRS on Form 1099-B without cost basis.
- Transactions with box C or box D checked were not reported to the IRS on Form 1099-B.

For transactions with box E or box F checked, please rely on the information previously provided to you as your official record.

Net gain or loss includes any net capital gain or loss (2) for non-included tax lots where gain or loss was not calculated.

Description	Sale amount	Cost/other basis	Wash sale loss disallowed	Net Gain/Loss
Short-term Gain/Loss				
Short-term totals with box A checked in Part I	706,440.94	689,091.72	1,613.95	18,963.17
Short-term totals with box C checked in Part I	9,465.53	27,219.23		(17,753.70)
Total short-term	\$715,906.47	\$716,310.95	\$1,613.95	\$1,209.47
Long-term Gain/Loss				
Long-term totals with box D checked in Part II	954,616.97	673,695.19		280,321.78
Total long-term	\$954,616.97	\$673,695.19		\$280,321.78

To: 973-643-7371 (Monday) From: UBS

For: UBS

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #44G
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099**Copy B, For Recipient****Account Number: TS 18285 WE****Tax Identification Number: 20-7410435**

To: 973-845-7571 (Wendy)

From: UBS

Fax: UBS

at: 30-APR-2014-15:49

Doc: B41

Page: 006

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. When available, basis information will be displayed in sections where basis is not required to be reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not required and thus not reflected here. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Net gain or loss is not reported to the IRS.

In Box 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made. If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Please check with your tax advisor and/or read the tax related disclosure materials associated with those products.

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked

In this subsection Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Account type	Quantity / face value (Box 1e)	Original cost basis (\$) (Box 1f)	Date acquired (Box 1g)	Date of sale / exchange (Box 1h)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): ALLSTATE CORP 5.625% PREFERRED CLBL PAR VALUE - 25.00 USD CUSIP: 020002408 Symbol (Box 1d): ALLPRA										
Sell	2,500.0000		06/05/13	10/02/13	57,618.75	62,500.00				(4,881.25)
Sell	126.0000		06/05/13	10/03/13	2,904.04	3,150.00				(245.96)
Sell	2,374.0000		06/05/13	10/04/13	54,809.45	59,350.00				(4,540.55)
Sell	1,334.0000		06/05/13	10/04/13	30,828.20	33,350.00				(2,521.80)
Sell	1,166.0000		06/05/13	10/07/13	26,940.54	29,150.00				(2,209.46)
Sell	100.0000		06/05/13	10/07/13	2,313.96	2,500.00				(186.04)
Sell	801.0000		06/05/13	10/10/13	18,529.57	20,025.00				(1,495.43)

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

EINSTEIN, WILLIAM L.
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1d)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): ALLSTATE CORP 5.625% PREFERRED CLBL PAR VALUE - 25.00 USD CUSIP: 020002408 Symbol (Box 1d): ALL PRA										
Sell	680.0000		06/05/13	10/11/13	15,729.68	17,000.00				(1,270.32)
Sell	919.0000		06/05/13	10/15/13	21,064.16	22,975.00				(1,910.84)
Sub Total	10,000.0000				230,738.35	250,000.00				(19,261.65)

Description (Box 8): BLACKROCK BUILD AMER BOND CUSIP: 09248X100 Symbol (Box 1d): BBN										
Sell	84.0000		10/31/12	10/02/13	1,576.65	1,901.55				(324.90)
Sell	81.0000		11/30/12	10/02/13	1,520.34	1,901.64				(381.30)
Sell	85.0000		12/18/12	10/02/13	1,595.43	1,923.77				(328.34)
Sell	85.0000		01/09/13	10/02/13	1,595.42	1,939.74				(344.32)
Sell	86.0000		02/28/13	10/02/13	1,614.19	1,951.17				(336.98)
Sell	86.0000		03/28/13	10/02/13	1,614.19	1,955.19				(341.00)
Sell	85.0000		04/30/13	10/02/13	1,595.43	1,955.71				(360.28)
Sell	90.0000		05/31/13	10/02/13	1,689.27	1,965.25				(275.98)
Sell	99.0000		06/28/13	10/02/13	1,858.19	1,995.51				(137.32)
Sell	103.0000		07/31/13	10/02/13	1,933.28	1,997.20				(63.92)
Sell	106.0000		08/30/13	10/02/13	1,989.58	2,013.18				(23.60)
Sell	107.0000		09/30/13	10/02/13	2,008.36	2,026.63				(18.27)
Sub Total	1,097.0000				20,590.33	23,526.54				(2,936.21)

Description (Box 8): CONSUMER SECTOR SPDR TRUST SHS DISCRETIONARY CUSIP: 81369Y407 Symbol (Box 1d): XLY										
Sell	1,667.0000		01/22/13	12/09/13	108,421.21	83,137.41				25,283.80

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

EINSTEIN, WILLIAM L.
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #44G
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Acct type	Quantity / face value (Box 1e)	Original cost basis (f)	Date acquired (Box 1d)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (f)	Cost or other basis (Box 3) (f)	Wash sale loss disallowed (Box 5) (f)	Federal income tax withheld (Box 4) (f)	Ins not allowed (Box 2b)	Gain/Loss (f)
Description (Box 8): EATON VANCE LTD DURATION INCOME FUND CUSIP: 27828H105 Symbol (Box 1d): EVV										
Sell	434.0000		12/20/12	12/03/13	6,458.71	7,247.08				(788.37)
Sell	413.0000		01/21/13	12/03/13	6,146.20	7,130.77				(984.57)
Sell	414.0000		02/19/13	12/03/13	6,161.08	7,167.32				(1,006.24)
Sell	416.0000		03/18/13	12/03/13	6,190.84	7,218.61				(1,027.77)
Sell	415.0000		04/18/13	12/03/13	6,175.97	7,265.05				(1,089.08)
Sell	414.0000		05/20/13	12/03/13	6,161.08	7,296.05				(1,134.97)
Sell	470.0000		06/20/13	12/03/13	6,994.46	7,349.78				(355.32)
Sell	469.0000		07/18/13	12/03/13	6,979.58	7,397.77				(418.19)
Sell	505.0000		08/19/13	12/03/13	7,515.32	7,435.68				79.64
Sell	493.0000		09/20/13	12/03/13	7,336.75	7,490.15				(153.40)
Sell	495.0000		10/18/13	12/03/13	7,366.51	7,549.43				(182.92)
Sell	373.0000		11/19/13	12/03/13	5,550.92	5,575.40				(24.48)
Sub Total	5,311.0000				79,037.42	86,123.09				(7,085.67)
Description (Box 8): FINANCIAL SECTOR SPDR TRUST ETF CUSIP: 81369Y605 Symbol (Box 1d): XLF										
Sell	1,917.0000		08/02/13	12/09/13	40,929.65	39,909.16				1,020.49
Description (Box 8): INDUSTRIAL SECTOR SPDR TRUST SHARES CUSIP: 81369Y704 Symbol (Box 1d): XLI										
Sell	3,300.0000		01/22/13	12/09/13	166,061.36	131,865.96				34,195.40
Short-term total										
Box A, Part I	23,292.0000				\$645,778.32	\$614,562.16				\$31,216.16

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS. Report on Form 8949, Part II, with **Box D** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (f)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (f)	Cost or other basis (Box 3) (f)	Wash sale loss disallowed (Box 5) (f)	Federal income tax withheld (Box 4) (f)	Loss not allowed (Box 1d)	Gain/Loss (f)
Description (Box 8): BLACKROCK BUILD AMER BOND										
					CUSIP: 09248X100		Symbol (Box 1d) BBN			
Sell	82.0000		01/09/12	10/02/13	1,539.11	1,776.90				(237.79)
Sell	81.0000		02/29/12	10/02/13	1,520.34	1,782.23				(261.89)
Sell	84.0000		03/30/12	10/02/13	1,576.66	1,820.29				(243.63)
Sell	83.0000		04/30/12	10/02/13	1,557.88	1,837.92				(280.04)
Sell	81.0000		05/31/12	10/02/13	1,520.34	1,842.19				(321.85)
Sell	80.0000		06/29/12	10/02/13	1,501.58	1,860.23				(358.65)
Sell	78.0000		07/31/12	10/02/13	1,464.03	1,860.92				(396.89)
Sell	81.0000		08/31/12	10/02/13	1,520.34	1,876.87				(356.53)
Sell	80.0000		09/28/12	10/02/13	1,501.58	1,883.58				(382.00)
Sub Total	730.0000				13,701.86	16,541.13				(2,839.27)

Description (Box 8): EATON VANCE LTD DURATION INCOME FUND

					CUSIP: 27828H105		Symbol (Box 1d) EVV			
Sell	135.0000		01/20/12	12/03/13	2,006.07	2,108.53	102.46			0.00
Sell	297.0000		01/20/12	12/03/13	4,419.90	4,638.76				(218.86)
Sell	427.0000		02/20/12	12/03/13	6,354.55	6,794.96				(440.41)
Sell	428.0000		03/19/12	12/03/13	6,369.42	6,860.28				(490.86)
Sell	429.0000		04/19/12	12/03/13	6,384.31	6,903.24				(518.93)
Sell	432.0000		05/18/12	12/03/13	6,428.95	6,941.25				(512.30)
Sell	436.0000		06/18/12	12/03/13	6,488.48	6,988.92				(500.44)
Sell	424.0000		07/19/12	12/03/13	6,309.90	7,040.66				(730.76)
Sell	422.0000		08/20/12	12/03/13	6,280.14	7,074.73				(794.59)
Sell	420.0000		09/21/12	12/03/13	6,250.37	7,125.02				(874.65)

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
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Federal ID 13-2638166

Your Financial Advisor:

EINSTEIN, WILLIAM L.
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (f) (Box 1f)	Date of sale / exchange (Box 1a) (Box 1b)	Sale amount (Box 2a) (b)	Cost or other basis (Box 3) (b)	Wash sale loss disallowed (Box 5) (b)	Federal income tax withheld (Box 4) (b)	Loss not allowed (Box 1b)	Gain/Loss (b)
Description (Box 8): EATON VANCE LTD DURATION INCOME FUND CUSIP 27828H105 Symbol (Box 1d) EVV									
Sell	418.0000		10/18/12 12/03/13	6,220.60	7,158.58				(937.98)
Sell	421.0000		11/20/12 12/03/13	6,265.26	7,213.04				(947.78)
Sell	135.0000		11/19/13 12/03/13	2,009.05	2,120.36				(111.31)
Sub Total	4,824.0000			71,787.00	78,968.33	102.46			(7,078.87)
Description (Box 8): ISHARES US REAI FSTATF FTF CUSIP 464287739 Symbol (Box 1d) IYR									
Sell	325.0000		02/08/12 12/09/13	20,503.94	20,045.35				458.59
Description (Box 8): NUVFFN PFD & INCOMF TERM FD CUSIP 67075A106 Symbol (Box 1d) IPI									
Sell	15.0000		07/26/12 10/02/13	337.04	375.00				(37.96)
Sell	2,500.0000		07/26/12 10/02/13	55,868.78	62,500.00				(6,631.22)
Sell	4,985.0000		07/26/12 10/02/13	111,811.60	124,625.00				(12,813.40)
Sell	2,500.0000		07/26/12 10/02/13	55,974.02	62,500.00				(6,525.98)
Sub Total	10,000.0000			223,991.44	250,000.00				(26,008.56)
Description (Box 8): UTILITIES SECTOR SPDR TRUST SHS CUSIP 81369Y886 Symbol (Box 1d) XLU									
Sell	570.0000		02/08/12 12/09/13	21,594.67	19,970.11				1,624.56
Long-term total									
Box D, Part II	16,449.0000			\$351,578.91	\$385,524.92	\$102.46			\$(33,843.55)

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UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #44G
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)
Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

At/Lowly type	Quantity / face value (Box 1e)	Original cost basis (f)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (f)	Cost or other basis (Box 3) (f)	Wash sale loss disallowed (Box 5) (f)	Federal income tax withheld (Box 4) (f)	Loss not allowed (Box 1b)	Gain/Loss (f)
Description (Box 8): ALLIANZ SE 8.375% PERPETUAL, CALLABLE CUSIP 018805200										
Redemption	10,000.0000		06/04/08	06/15/13	250,000.00	250,000.00				0.00
Redemption	3,000.0000		10/21/09	06/15/13	75,000.00	72,317.25				2,682.75
Sub Total	13,000.0000				325,000.00	322,317.25				2,682.75

Description (Box 8): BANK OF AMER CORP 8.625% PREFERRED CLBL CUSIP 060505559 Symbol (Box 1d): BMLPRQCL										
Redemption	10,000.0000		04/23/08	05/28/13	250,000.00	250,000.00				0.00
Redemption	3,000.0000		10/21/09	05/28/13	75,000.00	72,860.25				2,139.75
Sub Total	13,000.0000				325,000.00	322,860.25				2,139.75

Description (Box 8): BLACKROCK BUILD AMER BOND CUSIP 09248X100 Symbol (Box 1d): BBN										
Sell	200.0000		08/26/10	10/02/13	3,759.93	4,000.00				(240.07)
Sell	2,500.0000		08/26/10	10/02/13	46,918.93	50,000.00				(3,081.07)
Sell	3,071.0000		08/26/10	10/02/13	57,642.98	61,420.00				(3,777.02)
Sell	1,729.0000		08/26/10	10/02/13	32,452.76	34,580.00				(2,127.24)
Sell	5,000.0000		08/26/10	10/02/13	93,748.36	100,000.00				(6,251.64)
Sell	79.0000		10/29/10	10/02/13	1,482.81	1,475.72				7.09
Sell	82.0000		11/30/10	10/02/13	1,539.11	1,477.03				62.08
Sell	86.0000		12/20/10	10/02/13	1,614.19	1,495.72				118.47
Sell	84.0000		01/10/11	10/02/13	1,576.66	1,492.71				83.95
Sell	85.0000		02/28/11	10/02/13	1,595.42	1,509.18				86.24
Sell	86.0000		03/31/11	10/02/13	1,614.19	1,512.71				101.48

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #44G
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired / exchange (Box 1b) (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): BLACKROCK BUILD AMER BOND CUSIP 09248X100 Symbol (Box 1d) BBN									
Sell	85.0000		04/29/11 10/02/13	1,595.42	1,526.26				69.16
Sell	82.0000		05/31/11 10/02/13	1,539.12	1,543.46				(4.34)
Sell	80.0000		06/30/11 10/02/13	1,501.57	1,543.67				(42.10)
Sell	82.0000		07/29/11 10/02/13	1,539.11	1,566.86				(27.75)
Sell	80.0000		08/31/11 10/02/13	1,501.58	1,576.08				(74.50)
Sell	79.0000		09/30/11 10/02/13	1,482.80	1,584.55				(101.75)
Sell	86.0000		10/31/11 10/02/13	1,614.19	1,745.88				(131.69)
Sell	85.0000		11/30/11 10/02/13	1,595.42	1,757.27				(161.85)
Sell	83.0000		12/19/11 10/02/13	1,557.89	1,767.23				(209.34)
Sub Total	13,744.0000			257,872.44	273,574.33				(15,701.89)

Description (Box 8): CREDIT SUISSE GUERNSEY B 7 900% PREFERRED CLBL PAR VALUE - 25.00 USD CUSIP 225448208 Symbol (Box 1d) CRP CL									
Redemption	10,000.0000		03/26/08 03/28/13	250,000.00	250,000.00				0.00
Redemption	3,000.0000		10/21/09 03/28/13	75,000.00	75,785.25				(785.25)
Sub Total	13,000.0000			325,000.00	325,785.25				(785.25)

Description (Box 8): EATON VANCE LTD DURATION INCOME FUND CUSIP 27828H105 Symbol (Box 1d) EVV									
Sell	5,000.0000		06/15/10 12/03/13	74,460.05	79,175.51				(4,715.46)
Sell	5,000.0000		06/15/10 12/03/13	74,532.70	79,175.51				(4,642.81)
Sell	15,253.0000		06/15/10 12/03/13	227,418.26	241,532.82				(14,114.56)
Sell	10,000.0000		06/15/10 12/03/13	148,997.40	158,351.03				(9,353.63)
Sell	10,000.0000		06/15/10 12/03/13	149,197.70	158,351.02				(9,153.32)
Sell	10,000.0000		06/15/10 12/03/13	149,299.40	158,351.02				(9,051.62)

continued next page

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EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (f) (Box 1f)	Date of acquired / exchange (Box 1a) (Box 1a)	Sale amount (Box 2a) (b)	Cost or other basis (Box 3) (c)	Wash sale loss disallowed (Box 5) (d)	Federal income tax withheld (Box 4) (e)	Loss not allowed (Box 2b)	Gain/Loss (f)
Description (Box 8): EATON VANCE LTD DURATION INCOME FUND			CUSIP: 27828H105		Symbol (Box 1d) EVV				
Sell	2,247.0000		06/15/10 12/03/13	33,592.07	35,581.47				(1,989.40)
Sell	417.0000		07/19/10 12/03/13	6,234.04	6,615.76				(381.72)
Sell	410.0000		08/18/10 12/03/13	6,129.39	6,675.20				(545.81)
Sell	411.0000		09/17/10 12/03/13	6,144.34	6,722.82				(578.48)
Sell	410.0000		10/18/10 12/03/13	6,129.40	6,758.92				(629.52)
Sell	423.0000		11/17/10 12/03/13	6,323.74	6,816.09				(492.35)
Sell	435.0000		12/17/10 12/03/13	6,503.13	6,855.83				(352.70)
Sell	436.0000		01/07/11 12/03/13	6,518.09	6,906.36				(388.27)
Sell	436.0000		02/17/11 12/03/13	6,518.09	6,958.00				(439.91)
Sell	441.0000		03/17/11 12/03/13	6,592.83	7,012.55				(419.72)
Sell	397.0000		04/18/11 12/03/13	5,935.05	6,358.46				(423.41)
Sell	392.0000		05/18/11 12/03/13	5,860.30	6,386.43				(526.13)
Sell	397.0000		06/17/11 12/03/13	5,935.04	6,426.12				(491.08)
Sell	394.0000		07/18/11 12/03/13	5,890.20	6,475.43				(585.23)
Sell	134.0000		08/18/11 12/03/13	1,991.21	1,983.67				7.54
Sell	306.0000		08/18/11 12/03/13	4,574.62	4,529.88				44.74
Sell	440.0000		09/19/11 12/03/13	6,538.28	6,554.67				(16.39)
Sell	445.0000		10/20/11 12/03/13	6,612.59	6,608.57				4.02
Sell	453.0000		11/18/11 12/03/13	6,731.46	6,653.16				78.30
Sell	441.0000		12/19/11 12/03/13	6,553.14	6,700.12				(146.98)
Sub Total	65,118.0000			971,212.52	1,030,516.42				(59,303.90)

continued next page

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Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (f)	Date acquired / exchange (Box 1d) (Box 1a)	Sale amount (Box 2a) (f)	Cost or other basis (Box 3) (f)	Wash sale loss disallowed (Box 5) (f)	Federal income tax withheld (Box 4) (f)	Loss not allowed (Box 1b)	Gain/Loss (f)
Description (Box 8): PUTNAM PREMIER INCOME TRUST SBI			CUSIP: 746853100		Symbol (Box 1d): PPT				
Sell	20,000.0000		04/01/08 01/22/13	109,297.54	120,113.40				(10,815.86)
Sell	10,000.0000		04/01/08 01/22/13	54,648.77	60,056.70				(5,407.93)
Sell	25,000.0000		04/01/08 01/22/13	136,645.48	150,141.75				(13,496.27)
Sell	5,000.0000		04/02/08 01/22/13	27,324.39	30,145.96				(2,821.57)
Sell	15,000.0000		04/02/08 01/22/13	81,973.16	90,437.89				(8,464.73)
Sell	2,400.0000		04/02/08 01/22/13	13,116.06	14,470.06				(1,354.00)
Sell	17,369.0000		04/03/08 01/22/13	94,922.00	104,789.08				(9,867.08)
Sell	11,442.0000		04/03/08 01/22/13	62,529.12	69,030.84				(6,501.72)
Sell	3,058.0000		04/03/08 01/22/13	16,835.69	18,449.24				(1,613.55)
Sell	500.0000		04/04/08 01/22/13	2,732.44	3,018.36				(285.92)
Sell	14,500.0000		04/04/08 01/22/13	79,246.71	87,532.43				(8,285.72)
Sell	20,000.0000		10/21/09 01/22/13	109,733.03	120,082.35				(10,349.32)
Sell	500.0000		10/21/09 01/22/13	2,732.65	3,002.06				(269.41)
Sub Total	144,769.0000			791,737.04	871,270.12				(79,533.08)

Description (Box 8): TECHNOLOGY SECTOR SPDR TRUST SHS

CUSIP: 81369Y803

Symbol (Box 1d): XLK

Sell	6,232.0000		02/17/10 12/09/13	217,455.16	135,444.36				82,010.80
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UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

EINSTEIN, WILLIAM L.
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$) (Box 1b)	Date acquired / exchange (Box 1a)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8): WELLS FARGO CAPITAL XII 7 875% DUE 03/15/68, CALLABLE CUSIP 94985V202 Symbol (Box 1d) BWFCL										
Redemption	10,000.0000		03/05/08	03/15/13	250,000.00	250,000.00				0.00
Redemption	3,000.0000		10/21/09	03/15/13	75,000.00	75,981.25				(981.25)
Sub Total	13,000.0000				325,000.00	325,981.25				(981.25)
Long-term total										
Box E, Part II	281,863.0000				\$3,538,277.16	\$3,607,749.23				\$(69,472.07)
Total						\$4,535,634.39				

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID: 13-2638166

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EINSTEIN, WILLIAM L.
212-309-3000/800-682-7275

Payer:

HELEN'S HOPE FOUNDATION
24 DOCKSIDE LANE #446
KEY LARGO FL 33037-5267

2013 Consolidated Form 1099

Copy B, For Recipient

Account Number: TS 18285 WE

Tax Identification Number: 20-7410435

2013 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This section summarizes your realized gain/loss for transactions reported on Form 1099-B and those transactions not reported on Form 1099-B.

- Transactions with box A or box D checked were reported to the IRS on Form 1099-B with cost basis.
- Transactions with box B or box E checked were reported to the IRS on Form 1099-B without cost basis.
- Transactions with box C or box F checked were not reported to the IRS on Form 1099-B.

For transactions where term is unknown to UBS, please rely on the confirmations previously provided to you as your official record.

Net gain or loss subtotal and total amounts with symbol (2) do not include tax lots where gain or loss was not calculated.

Description	Sale amount	Cost/other basis	Wash sale loss disallowed	Net Gain/Loss
Short-term Gain/Loss				
Short-term totals with box A checked in Part I	645,778.32	614,562.16		31,216.16
Total short-term	\$645,778.32	\$614,562.16		\$31,216.16
Long-term Gain/Loss				
Long-term totals with box D checked in Part II	351,578.91	385,524.92	102.46	(33,843.55)
Long-term totals with box E checked in Part II	3,538,277.16	3,607,749.23		(69,472.07)
Total long-term	\$3,889,856.07	\$3,993,274.15	\$102.46	\$(103,315.62)
Sub Total	\$4,535,634.39	\$4,607,836.31	\$102.46	\$(72,099.46)
Totals	\$4,535,634.39	\$4,607,836.31	\$102.46	\$(72,099.46)

Details for Items Reported on Forms 1099**Dividends and Distributions Details Reported on Form 1099-DIV**

Transactions shown as "Qualified Dividend" only indicate that the dividend is issuer-qualified. We do not compute the holding period required for a qualified dividend. It is the responsibility of the taxpayer to determine whether the holding period requirements have been met. To assist you, we include the ex-dividend date information if available.

Security Description	CUSIP	Activity Description	Ex Date	Payment Date	Amount
ABBOTT LABS	002824100	Qualified Dividend	01/11/13	02/15/13	50.40
		Qualified Dividend	04/11/13	05/15/13	50.40
		Qualified Dividend	07/11/13	08/15/13	50.40
		Qualified Dividend	10/10/13	11/15/13	50.40
		Sub-Total:			\$ 201.60
ABBVIE INC COM	00287Y109	Qualified Dividend	01/11/13	02/15/13	144.00
		Qualified Dividend	04/11/13	05/15/13	144.00
		Qualified Dividend	07/11/13	08/15/13	144.00
		Qualified Dividend	10/10/13	11/15/13	144.00
		Sub-Total:			\$ 576.00

continued next page



Portfolio Management Program

December 2013

Account name:
Account number:

HELEN'S HOPE FOUNDATION
KU 56901 U6

Your Financial Advisor:
KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	13,716.95	34,607.15					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share, on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ADVANCE AUTO PARTS INC								
Symbol AAP Exchange NYSE								
EAI: \$138 Current yield: 0.22%	Apr 25, 13	279,000	83.169	23,204.30	110.680	30,879.72	7,675.42	ST
	Oct 16, 13	157,000	97.550	15,315.35	110.680	17,376.76	2,061.41	ST
	Oct 17, 13	140,000	97.426	13,639.74	110.680	15,495.20	1,855.46	ST
Security total		576,000	90.554	52,159.39		63,751.68	11,592.29	
ALLEGION PLC								
Symbol ALLE Exchange NYSE	Oct 25, 12	39,000	28.144	1,097.62	44.190	1,723.41	625.79	LT
	Mar 4, 13	75,000	31.790	2,384.28	44.190	3,314.25	929.97	ST
Security total		114,000	30.543	3,481.90		5,037.66	1,555.76	
AMERICAN INTL GROUP INC COM								
NEW								
Symbol AIG Exchange NYSE								
EAI: \$342 Current yield: 0.78%	Mar 6, 13	295,000	38.519	11,363.34	51.050	15,059.75	3,696.41	ST

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Portfolio Management Program

December 2013

Account name: HELEN'S HOPE FOUNDATION

Account number: KU 56901 U6

Your Financial Advisor:

KILMURRAY / EINSTEIN

212-649-8000/800-635-1983

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 7, 13	560 000	39 133	21,914.84	51 050	28,588.00	6,673.16	ST
ANADARKO-PETROLEUM-CORP		855 000	38 922	33,278.18		43,647.75	10,369.57	
Symbol APC Exchange NYSE								
EAI \$239 Current yield 0.91%	Nov 6, 12	332 000	73 631	24,445.77	79.320	26,334.24	1,888.47	LT
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$756 Current yield 2.17%	Jan 30, 13	23 000	461 064	10,604.48	561 020	12,903.46	2,298.98	ST
	Feb 6, 13	19 000	464 092	8,817.76	561 020	10,659.38	1,841.62	ST
	Feb 8, 13	20 000	476 562	9,531.24	561 020	11,220.40	1,689.16	ST
Security total		62 000	466 992	28,953.48		34,783.24	5,829.76	
ASHTAND INC NEW								
Symbol ASH Exchange NYSE								
EAI \$906 Current yield 1.40%	Apr 24, 13	262 000	87 905	23,031.37	97 040	25,424.48	2,393.11	ST
	May 15, 13	289 000	89 789	25,949.26	97 040	28,044.56	2,095.30	ST
	Sep 6, 13	115 000	87 913	10,110.09	97 040	11,159.60	1,049.51	ST
Security total		666 000	88.725	59,090.72		64,628.64	5,537.92	
BERRY PLASTICS GROUP INC								
Symbol BERY Exchange NYSE	Apr 19, 13	660 000	17 618	11,628.21	23 790	15,701.40	4,073.19	ST
	Apr 19, 13	640 000	17 636	11,287.09	23 790	15,225.60	3,938.51	ST
Security total		1,300 000	17 627	22,915.30		30,927.00	8,011.70	
CHARTER COMMUNICATIONS INC DEL								
Symbol CHTR Exchange OTC	Jun 12, 12	197 000	64 275	12,662.31	136 760	26,941.72	14,279.41	LT
CONSTELLATION BRANDS INC CL A								
Symbol STZ Exchange NYSE	Aug 2, 12	508 000	29 132	14,799.36	70 380	35,753.04	20,953.68	LT
	Aug 8, 12	320 000	29 926	9,576.45	70 380	22,521.60	12,945.15	LT
	Oct 1, 12	540 000	32 631	17,620.88	70 380	38,005.20	20,384.32	LT
	Feb 15, 13	215 000	43 713	9,398.48	70 380	15,131.70	5,733.22	ST
Security total		1,583 000	32 467	51,395.17		111,411.54	60,016.37	
CONSTELLUM NV CL A EUR								
Symbol CSTM Exchange NYSE								
EUR Exchange rate 0.72571	Jun 28, 13	1,545 000	16 256	25,116.96	23 270	35,952.15	10,835.19	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU-56901 U6

Your Financial Advisor:
KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 22, 13	1,355,000	17.603	23,852.97	23.270	31,530.85	7,677.88	ST
Security total		2,900,000	16.886	48,969.93		67,483.00	18,513.07	
COOPER STD HLDGS INC COM								
Symbol: CPS Exchange: NYSE	Dec 2, 13	177,000	50.100	8,867.70	49.110	8,692.47	-175.23	ST
	Dec 2, 13	118,000	50.000	5,900.00	49.110	5,794.98	-105.02	ST
	Dec 13, 13	21,000	46.492	976.35	49.110	1,031.31	54.96	ST
Security total		316,000	49.823	15,744.05		15,518.76	-225.29	
COPART INC								
Symbol: CPRT Exchange: OTC	Apr 22, 13	688,000	32.966	22,680.62	36.650	25,215.20	2,534.58	ST
	Jun 7, 13	400,000	31.919	12,767.87	36.650	14,660.00	1,892.13	ST
Security total		1,088,000	32.581	35,448.49		39,875.20	4,426.71	
CROCS INC								
Symbol: CROX Exchange: OTC	Nov 27, 12	720,000	13.428	9,668.22	15.920	11,462.40	1,794.18	LT
	Nov 28, 12	700,000	13.588	9,511.86	15.920	11,144.00	1,632.14	LT
	Jan 8, 13	580,000	15.232	8,834.92	15.920	9,233.60	398.68	ST
	Jul 25, 13	278,000	13.011	3,617.19	15.920	4,425.76	808.57	ST
Security total		2,278,000	13.886	31,632.19		36,265.76	4,633.57	
DELTA AIR LINES INC DELA NEW								
Symbol: DAL Exchange: NYSE								
EAI: \$266 Current yield: 0.87%	Apr 26, 13	1,110,000	16.780	18,625.80	27.470	30,491.70	11,865.90	ST
EBAY INC								
Symbol: EBAY Exchange: OTC	May 24, 12	30,000	40.516	1,215.50	54.865	1,645.95	430.45	LT
	Jun 11, 12	405,000	41.194	16,683.65	54.865	22,220.33	5,536.68	LT
	Oct 16, 12	180,000	47.750	8,595.00	54.865	9,875.70	1,280.70	LT
	Dec 16, 13	315,000	53.054	16,712.07	54.865	17,282.48	570.41	ST
Security total		930,000	46.458	43,206.22		51,024.45	7,818.24	
EMC CORP MASS								
Symbol: EMC Exchange: NYSE								
EAI: \$486 Current yield: 1.59%	Nov 15, 13	1,215,000	24.034	29,202.05	25.150	30,557.25	1,355.20	ST
ENSCO PLC CL A								
Symbol: ESV Exchange: NYSE								
EAI: \$2,025 Current yield: 3.93%	Jun 1, 11	360,000	54.452	19,603.01	57.180	20,584.80	981.79	LT

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Portfolio Management Program

December 2013

Account name: HELEN'S HOPE FOUNDATION

Account number: KU 56901 U6

Your Financial Advisor:

KILMURRAY/EINSTEIN

212-649-8000/800-635-1983

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jun 28, 11	215 000	52 859	11,364 80	57 180	12,293 70	928 90	LT
	Aug 5, 11	6 000	46 701	280 21	57 180	343 08	62 87	LT
	Aug 9, 11	169 000	42 833	7,238 90	57 180	9,663 42	2,424 52	LT
	Dec 20, 11	150 000	46 934	7,040 10	57 180	8,577 00	1,536 90	LT
Security total		900 000	50 586	45,527 02		51,462 00	5,934 98	
FACEBOOK INC CL A								
Symbol FB Exchange OTC	Jul 23, 13	1,005 000	26 195	26,326 19	54 649	54,922 25	28,596 06	ST
	Jul 29, 13	355 000	34 686	12,313 64	54 649	19,400 40	7,086 76	ST
Security total		1,360 000	28 412	38,639 83		74,322 64	35,682 82	
FIESTA RESTAURANT GROUP INC								
Symbol FRGI Exchange OTC	Jan 17, 13	341 000	17 233	5,876 65	52 240	17,813 84	11,937 19	ST
	Jan 25, 13	317 000	17 867	5,663 89	52 240	16,560 08	10,896 19	ST
	Jan 29, 13	73 000	17 999	1,313 95	52 240	3,813 52	2,499 57	ST
	Jan 30, 13	170 000	17 998	3,059 70	52 240	8,880 80	5,821 10	ST
	Jan 31, 13	80 000	17 283	1,382 70	52 240	4,179 20	2,796 50	ST
Security total		981 000	17 632	17,296 89		51,247 44	33,950 55	
GENWORTH FINANCIAL INC CL A								
Symbol GNW Exchange NYSE	May 1, 13	2,214 000	10 423	23,078 66	15 530	34,383 42	11,304 76	ST
	May 7, 13	1,098 000	10 917	11,987 15	15 530	17,051 94	5,064 79	ST
	Jul 18, 13	2,009 000	13 034	26,186 44	15 530	31,199 77	5,013 33	ST
Security total		5,321 000	11 511	61,252 25		82,635 13	21,382 88	
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Apr 23, 12	331 000	25 706	8,508 87	75 100	24,858 10	16,349 23	LT
	Jul 30, 12	584 000	27 859	16,270 21	75 100	43,858 40	27,588 19	LT
Security total		915 000	27 081	24,779 08		68,716 50	43,937 42	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Apr 29, 11	3 000	538 720	1,616 16	1,120 710	3,362 13	1,745 97	LT
	May 2, 11	23 000	539 990	12,419 77	1,120 710	25,776 33	13,356 56	LT
	May 9, 11	20 000	536 990	10,739 80	1,120 710	22,414 20	11,674 40	LT
	May 10, 11	11 000	544 250	5,986 75	1,120 710	12,327 81	6,341 06	LT
	Jun 29, 11	17 000	494 834	8,412 18	1,120 710	19,052 07	10,639 89	LT
Security total		74 000	529 387	39,174 66		82,932 54	43,757 88	

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Portfolio Management Program

December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 56901U6Your Financial Advisor:
KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GREEN MTN COFFEE ROASTERS INC								
Symbol: GMCR Exchange: OTC								
EAI: \$583 Current yield: 1.32%	Dec 4, 13	212.000	69.978	14,835.42	75.540	16,014.48	1,179.06	ST
	Dec 6, 13	371.000	71.311	26,456.56	75.540	28,025.34	1,568.78	ST
Security total		583.000	70.827	41,291.98		44,039.82	2,747.84	
GROUPON INC CL A								
Symbol: GRPN Exchange: OTC	May 17, 13	3,565.000	7.013	25,003.39	11.765	41,942.22	16,938.83	ST
	Nov 15, 13	2,615.000	10.747	28,103.89	11.765	30,765.47	2,661.58	ST
	Nov 21, 13	1,445.000	9.516	13,751.48	11.765	17,000.42	3,248.94	ST
	Nov 26, 13	1,960.000	9.247	18,124.97	11.765	23,059.40	4,934.43	ST
Security total		9,585.000	8.866	84,983.73		112,767.52	27,783.78	
H & R BLOCK INC								
Symbol: HRB Exchange: NYSE								
EAI: \$388 Current yield: 2.75%	Oct 16, 13	485.000	28.830	13,982.81	29.040	14,084.40	101.59	ST
HARTFORD FINCL SERVICES GROUP INC								
Symbol: HIG Exchange: NYSE								
EAI: \$507 Current yield: 1.66%	Apr 30, 13	845.000	28.017	23,674.53	36.230	30,614.35	6,939.82	ST
HERTZ GLOBAL HOLDINGS INC								
Symbol: HTZ Exchange: NYSE	Sep 5, 12	2,130.000	14.490	30,864.73	28.620	60,960.60	30,095.87	LT
	Dec 4, 12	1,135.000	15.731	17,855.48	28.620	32,483.70	14,628.22	LT
	Dec 10, 12	1,000.000	16.165	16,165.06	28.620	28,620.00	12,454.94	LT
	Dec 11, 12	568.000	15.696	8,915.35	28.620	16,256.16	7,340.81	LT
Security total		4,833.000	15.270	73,800.62		138,320.46	64,519.84	
INGERSOLL-RAND PLC								
Symbol: IR Exchange: NYSE								
EAI: \$288 Current yield: 1.36%	Oct 25, 12	118.000	36.806	4,343.19	61.600	7,268.80	2,925.61	LT
	Mar 4, 13	225.000	41.575	9,354.38	61.600	13,860.00	4,505.62	ST
Security total		343.000	39.935	13,697.57		21,128.80	7,431.23	
KEYCORP NEW								
Symbol: KEY Exchange: NYSE								
EAI: \$1,311 Current yield: 1.64%	Jun 20, 12	1,510.000	7.605	11,483.84	13.420	20,264.20	8,780.36	LT
	Aug 22, 12	945.000	8.344	7,885.21	13.420	12,681.90	4,796.69	LT

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Portfolio Management Program

December 2013

Account name: HELEN'S HOPE FOUNDATION

Account number: KU-56901-U6

Your Financial Advisor:

KILMURRAY / EINHORN

212-649-8000/800-635-1983

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 30, 13	1,145,000	9.388	10,749.61	13.420	15,365.90	4,616.29	ST
	Feb 1, 13	2,360,000	9.481	22,377.29	13.420	31,671.20	9,293.91	ST
Security total		5,960,000	8.808	52,495.95		79,983.20	27,487.25	
KID BRANDS INC COM								
Symbol: KID Exchange: NYSE	Jun 23, 11	2,462,000	4.730	11,645.26	1.020	2,511.24	-9,134.02	LT
	Dec 20, 11	1,000,000	3.097	3,097.88	1.020	1,020.00	-2,077.88	LT
Security total		3,462,000	4.259	14,743.14		3,531.24	-11,211.90	
KONINKLIJKE PHILIPS NV SPON ADR								
Symbol: PHG Exchange: NYSE	Jun 20, 12	950,000	19.342	18,374.90	36.970	35,121.50	16,746.60	LT
EAI: \$814 Current yield: 2.24%	Earnings	32,000	28.030	896.96	36.970	1,183.04	286.08	
Security total		982,000	19.625	19,271.86		36,304.54	17,032.68	
LIBERTY MEDIA CORP CL A								
Symbol: LMCA Exchange: OTC	Jun 21, 11	173,000	72.704	12,577.87	146.292	25,308.51	12,730.64	LT
	Sep 21, 11	124,000	65.544	8,127.51	146.292	18,140.21	10,012.70	LT
	Sep 21, 11	90,000	65.625	5,906.33	146.292	13,166.28	7,259.95	LT
	Dec 20, 11	56,000	68.721	3,848.40	146.292	8,192.35	4,343.95	LT
Security total		443,000	68.759	30,460.11		64,807.35	34,347.24	
LIVE NATION ENTERTAINMENT INC								
Symbol: LYV Exchange: OTC	Apr 12, 13	1,312,000	12.711	16,677.95	19.760	25,925.12	9,247.17	ST
LOWES COMPANIES INC								
Symbol: LOW Exchange: NYSE	Oct 21, 11	335,000	21.997	7,369.12	49.550	16,599.25	9,230.13	LT
EAI: \$446 Current yield: 1.45%	Oct 12, 12	285,000	31.466	8,967.97	49.550	14,121.75	5,153.78	LT
Security total		620,000	26.350	16,337.09		30,721.00	14,383.91	
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC	Aug 8, 13	805,000	32.916	26,497.76	37.410	30,115.05	3,617.29	ST
MORGAN STANLEY								
Symbol: MS Exchange: NYSE	Sep 16, 13	965,000	28.760	27,753.84	31.360	30,262.40	2,508.56	ST

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Portfolio Management Program
December 2013

Account name:
Account number:

HELEN'S HOPE FOUNDATION
KU56901 U6

Your Financial Advisor:
KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MUELLER INDS INC								
Symbol: MU Exchange: NYSE								
EAI: \$215 Current yield: 0.79%	Sep 5, 12	430,000	44.022	18,929.66	63.010	27,094.30	8,164.64	LT
NETFLIX.COM INC								
Symbol: NFLX Exchange: OTC	Dec 4, 12	118,000	82.654	9,753.19	368.170	43,444.06	33,690.87	LT
	Dec 4, 12	116,000	82.954	9,622.73	368.170	42,707.72	33,084.99	LT
	Dec 12, 12	97,000	89.032	8,636.18	368.170	35,712.49	27,076.31	LT
	Dec 18, 12	84,000	95.064	7,985.44	368.170	30,926.28	22,940.84	LT
	Jan 11, 13	94,000	101.604	9,550.86	368.170	34,607.98	25,057.12	ST
Security total		509,000	89.486	45,548.40		187,398.53	141,850.13	
OCWEN FINANCIAL CORP NEW								
Symbol: OCN Exchange: NYSE	May 30, 13	575,000	43.315	24,906.20	55.450	31,883.75	6,977.55	ST
OIL STATES INTL INC								
Symbol: OIS Exchange: NYSE	Jun 27, 13	262,000	93.049	24,379.04	101.720	26,650.64	2,271.60	ST
	Jul 22, 13	280,000	97.462	27,289.60	101.720	28,481.60	1,192.00	ST
Security total		542,000	95.330	51,668.64		55,132.24	3,463.60	
OWENS-CORNING NEW								
Symbol: OC Exchange: NYSE	Nov 14, 13	790,000	37.099	29,308.46	40.720	32,168.80	2,860.34	ST
PHI INC NON VTG								
Symbol: PHILK Exchange: OTC	May 3, 11	950,000	21.000	19,950.00	43.400	41,230.00	21,280.00	LT
	May 4, 11	250,000	19.909	4,977.48	43.400	10,850.00	5,872.52	LT
Security total		1,200,000	20.773	24,927.48		52,080.00	27,152.52	
PLY GEM HOLDINGS INC								
Symbol: PGEM Exchange: NYSE	Jul 12, 13	537,000	20.335	10,919.91	18.030	9,682.11	-1,237.80	ST
	Jul 22, 13	1,465,000	19.769	30,537.76	18.030	26,413.95	-4,123.81	ST
	Sep 9, 13	860,000	16.488	14,179.87	18.030	15,505.80	1,325.93	ST
Security total		2,862,000	19.440	55,637.54		51,601.86	-4,035.68	
PULTE GROUP INC								
Symbol: PHM Exchange: NYSE								
EAI: \$300 Current yield: 0.98%	Oct 24, 13	1,500,000	17.820	26,731.10	20.370	30,555.00	3,823.90	ST
RITE AID CORP								
Symbol: RAD Exchange: NYSE	May 17, 13	9,385,000	2.732	25,645.39	5.060	47,488.10	21,842.71	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 56901 U6

Your Financial Advisor:

KILMURRAY / EINSTEIN

212-649-8000/800-635-1983

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SANDISK CORP								
Symbol: SNDK Exchange: OTC								
EAI: \$773 Current yield: 1.28%	Mar 12, 13	420,000	53.275	22,375.76	70.540	29,626.80	7,251.04	ST
	May 9, 13	439,000	56.544	24,823.09	70.540	30,967.06	6,143.97	ST
Security total		859,000	54.946	47,198.85		60,593.86	13,395.01	
SCORPIO TANKERS INC								
Symbol: STNG Exchange: NYSE								
EAI: \$561 Current yield: 2.37%	Aug 8, 13	680,000	10.000	6,800.28	11.790	8,017.20	1,216.92	ST
	Aug 8, 13	665,000	10.005	6,653.94	11.790	7,840.35	1,186.41	ST
	Aug 8, 13	660,000	10.056	6,637.57	11.790	7,781.40	1,143.83	ST
Security total		2,005,000	10.021	20,091.79		23,638.95	3,547.16	
SEALED AIR CORP NEW								
Symbol: SEE Exchange: NYSE								
EAI: \$1,976 Current yield: 1.53%	Sep 5, 12	2,610,000	14.833	39,790.09	34.050	88,870.50	49,080.41	LT
	Dec 19, 12	585,000	17.064	9,982.61	34.050	19,919.25	9,936.64	LT
	Dec 20, 12	605,000	17.409	10,532.63	34.050	20,600.25	10,067.62	LT
Security total		3,800,000	15.870	60,305.33		129,390.00	69,084.67	
SFX ENTERTAINMENT INC								
Symbol: SFXE Exchange: OTC								
	Oct 17, 13	366,000	9.067	3,318.86	12.000	4,392.00	1,073.14	ST
	Nov 22, 13	446,000	9.204	4,105.27	12.000	5,352.00	1,246.73	ST
	Nov 22, 13	286,000	9.342	2,672.09	12.000	3,432.00	759.91	ST
	Nov 22, 13	19,000	8.900	169.10	12.000	228.00	58.90	ST
	Nov 22, 13	12,000	9.250	111.00	12.000	144.00	33.00	ST
	Nov 29, 13	405,000	9.980	4,042.00	12.000	4,860.00	818.00	ST
Security total		1,534,000	9.399	14,418.32		18,408.00	3,989.68	
SUNEDISON INC								
Symbol: SUNE Exchange: NYSE								
	Oct 4, 13	1,580,000	8.925	14,102.64	13.050	20,619.00	6,516.36	ST
	Nov 5, 13	2,625,000	10.484	27,522.64	13.050	34,256.25	6,733.61	ST
Security total		4,205,000	9.899	41,625.28		54,875.25	13,249.97	
SUPERVALU INC								
Symbol: SVU Exchange: NYSE								
	Mar 18, 13	5,185,000	4.375	22,686.38	7.290	37,798.65	15,112.27	ST
TRW AUTOMOTIVE HOLDINGS CORP								
Symbol: TRW Exchange: NYSE								
	Feb 13, 12	720,000	41.768	30,073.53	74.390	53,560.80	23,487.27	LT

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 56901-06

Your Financial Advisor:
KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jun 18, 12	505 000	36 913	18,641 11	74.390	37,566 95	18,925 84	LT
Security total		1,225 000	39 757	48,714 64		91,127.75	42,413 11	
UNISYS CORP								
Symbol: UIS Exchange: NYSE	Feb 7, 12	848 000	18.664	25,220.27	33.570	28,467.36	3,247.09	LT
	Feb 15, 13	1,579 000	24.334	38,424.90	33.570	53,007.03	14,582.13	ST
Security total		2,427 000	26.224	63,645.17		81,474.39	17,829.22	
UNTD RENTALS INC								
Symbol: URI Exchange: NYSE	Nov 19, 12	25 000	40.406	1,010.16	77.950	1,948.75	938.59	LT
	Nov 21, 12	95 000	40.933	3,888.64	77.950	7,405.25	3,516.61	LT
	Nov 21, 12	85 000	41.006	3,485.54	77.950	6,625.75	3,140.21	LT
	Nov 21, 12	85 000	41.387	3,517.92	77.950	6,625.75	3,107.83	LT
	Nov 21, 12	55 000	40.899	2,249.47	77.950	4,287.25	2,037.78	LT
	Nov 26, 12	50 000	40.945	2,047.26	77.950	3,897.50	1,850.24	LT
	Nov 27, 12	105 000	40.910	4,295.55	77.950	8,184.75	3,889.20	LT
Security total		500 000	40.989	20,494.54		38,975.00	18,480.46	
UTI WORLDWIDE INC								
Symbol: UTW Exchange: OTC								
EAI: \$43 Current yield: 0.34%	Oct 29, 13	540 000	15.881	8,575.95	17.560	9,482.40	906.45	ST
	Oct 29, 13	178 000	15.919	2,833.64	17.560	3,125.68	292.04	ST
Security total		718 000	15.891	11,409.59		12,608.08	1,198.49	
VERIFONE SYSTEMS INC								
Symbol: PAY Exchange: NYSE	Jun 19, 13	760 000	16.605	12,620.40	26.820	20,383.20	7,762.80	ST
	Sep 24, 13	545 000	23.274	12,684.48	26.820	14,616.90	1,932.42	ST
Security total		1,305 000	19.391	25,304.88		35,000.10	9,695.22	
VIACOM INC NEW CL B								
Symbol: VIAB Exchange: OTC								
EAI: \$312 Current yield: 1.37%	May 30, 12	260 000	47.921	12,459.59	87.340	22,708.40	10,248.81	LT
VODAFONE GROUP PLC NEW SPON ADR								
Symbol: VOD Exchange: NYSE								
EAI: \$1,805 Current yield: 4.05%	Sep 8, 11	25 000	26.397	659.93	39.310	982.75	322.82	LT
	Oct 13, 11	670 000	27.236	18,248.34	39.310	26,337.70	8,089.36	LT

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 56901-UG

Your Financial Advisor:
KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul-29, 13	440,000	29.856	13,137.06	39.310	17,296.40	4,159.34	ST
Security total		1,135,000	28.234	32,045.33		44,616.85	12,571.52	
WABCO HOLDINGS INC								
Symbol: WBC Exchange: NYSE	Jun 21, 11	130,000	65.498	8,514.80	93.410	12,143.30	3,628.50	LT
	Jun 21, 11	300,000	66.357	19,907.20	93.410	28,023.00	8,115.80	LT
	Aug 5, 11	14,000	53.376	747.27	93.410	1,307.74	560.47	LT
	Aug 9, 11	142,000	49.102	6,972.60	93.410	13,264.22	6,291.62	LT
	Sep 7, 11	366,000	47.752	17,477.47	93.410	34,188.06	16,710.59	LT
	Dec 20, 11	178,000	41.140	7,322.92	93.410	16,626.98	9,304.06	LT
Security total		1,130,000	53.931	60,942.26		105,553.30	44,611.04	
WORLD WRESTLING ENTERTAINMENT INC CL A								
Symbol: WWE Exchange: NYSE	Apr 29, 11	1,750,000	10.231	17,904.55	16.580	29,015.00	11,110.45	LT
EAI: \$1,848 Current yield: 2.90%	May 2, 11	2,100,000	10.642	22,349.35	16.580	34,818.00	12,468.65	LT
Security total		3,850,000	10.456	40,253.90		63,833.00	23,579.10	
Total				\$2,018,448.34		\$3,088,605.25	\$1,070,156.92	

Total estimated annual income: **\$18,423**

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Short options

Holding	Trade date	Number of contracts	Sale price per contract (\$)	Sale proceeds (\$)	Price per contract on Dec 31 (\$)	Contract premium (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CALL: NETFLIX.COM INC									
DUE 01/18/14 340,000									
Expires Jan 14									
Symbol: NFLX	Oct 23, 13	-2,000	24.519	-4,903.83	2,970.000	29.700	-5,940.00	-1,036.17	ST



Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU:56901 U6

Your Financial Advisor:
KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Your assets (continued)

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	34,607.15	1.11%	34,607.15		
Equities	Common stock	3,088,605.25		2,018,448.34	18,423.00	1,070,156.92
	Short options	-5,940.00		-4,903.83		-1,036.17
	Total equities	3,082,665.25	98.89%	2,013,544.51	18,423.00	1,069,120.75
Total		\$3,117,272.40	100.00%	\$2,048,151.66	\$18,423.00	\$1,069,120.75

Account activity this month

	Date	Activity	Description	Amount (\$)
Dividend and interest income				
<i>Taxable dividends</i>				
	Dec 6	Dividend	QEP RES INC COM PAID ON 870	17.40
	Dec 12	Dividend	MICROSOFT CORP PAID ON 805	225.40
	Dec 13	Dividend	KEYCORP NEW PAID ON 5960	327.80
	Dec 16	Dividend	ASHLAND INC NEW PAID ON 666 AS OF 12/15/13	226.44
	Dec 16	Dividend	MUELLER INDS INC PAID ON 430	53.75
	Dec 18	Dividend	SCORPIO TANKERS INC PAID ON 2005	140.35
	Dec 18	Foreign Dividend	TRANSOCEAN LTD CHF PAID ON 555	310.80
	Dec 19	Dividend	AMERICAN INTL GROUP INC COM NEW PAID ON 855	85.50
	Dec 20	Foreign Dividend	ENSCO PLC CL A PAID ON 900	675.00
	Dec 20	Dividend	SEALED AIR CORP NEW PAID ON 3800	494.00
	Dec 23	Dividend	ANADARKO PETROLEUM CORP PAID ON 332	59.76
	Dec 26	Dividend	WORLD WRESTLING ENTERTAINMENT INC CL A PAID ON 3850	462.00
	Dec 30	Dividend	VIACOM INC NEW CL B PAID ON 260	78.00
			Total taxable dividends	\$3,156.20
<i>Taxable interest</i>				
	Dec 3	Interest	UBS BANK USA DEPOSIT ACCOUNT	0.32
			Total taxable interest	\$0.32
			Total dividend and interest income	\$3,156.52





Resource Management Account

December 2013

Account name:
Account number:

HELEN'S HOPE FOUNDATION
TS 18285-WE

Your Financial Advisor:
EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC, within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the Important information about your statement at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	250,000.00	250,000.00					250,000.00
UBS SELECT TREAS CAPITAL	675,753.13	200,986.15	1.00	0.01%	Nov 1 to Nov 30	30	
Total	\$925,753.13	\$450,986.15					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABBT Exchange: NYSE								
EAI \$317 Current yield: 2.30%	Feb 7, 12	360.000	26.799	9,647.69	38.330	13,798.80	4,151.11	LT
ABBVIE INC COM								
Symbol: ABBV Exchange: NYSE								
EAI \$576 Current yield: 3.03%	Feb 7, 12	360.000	29.061	10,462.08	52.810	19,011.60	8,549.52	LT
ACE LTD CHF								
Symbol: ACE Exchange: NYSE								
EAI \$680 Current yield: 2.43%								
CHF Exchange rate: 0.88935	Feb 8, 12	270.000	73.751	19,912.94	103.530	27,953.10	8,040.16	LT

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Resource Management Account
December 2013

Account name: HELEN'S HOPE FOUNDATION,
Account number: TS 18285 WE

Your Financial Advisor:
EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$488 Current yield 2.12%	Nov 13, 12	40 000	549.631	21,985.25	561.020	22,440.80	455.55	LT
BERKSHIRE HATHAWAY INC CL A								
Symbol BRK A Exchange NYSE	Jul 17, 09	2 000	90,433.060	180,866.12	177,900.000	355,800.00	174,933.88	LT
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI \$740 Current yield 3.20%	Feb 7, 12	185 000	107.105	19,814.54	124.910	23,108.35	3,293.81	LT
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$650 Current yield 2.71%	Feb 7, 12	580 000	34.433	19,971.58	41.310	23,959.80	3,988.22	LT
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI \$585 Current yield 2.09%	Feb 7, 12	430 000	45.942	19,755.27	65.210	28,040.30	8,285.03	LT
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI \$645 Current yield 2.27%	Feb 8, 12	215 000	93.022	19,999.94	132.420	28,470.30	8,470.36	LT
DOW CHEMICAL								
Symbol DOW Exchange NYSE								
EAI \$755 Current yield 2.88%	Feb 8, 12	590 000	33.980	20,048.58	44.400	26,196.00	6,147.42	LT
EMERSON ELECTRIC CO								
Symbol EMR Exchange NYSE								
EAI \$662 Current yield 2.45%	Feb 8, 12	385 000	52.111	20,062.84	70.180	27,019.30	6,956.46	LT
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI \$915 Current yield 3.14%	Feb 7, 12	1,040 000	19.220	19,988.93	28.030	29,151.20	9,162.27	LT
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI \$686 Current yield 1.89%	Feb 8, 12	440 000	45.114	19,850.23	82.340	36,229.60	16,379.37	LT
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI \$805 Current yield 2.88%	Feb 7, 12	305 000	65.468	19,967.78	91.590	27,934.95	7,967.17	LT
KRAFT FOODS GROUP INC								
Symbol KRFT Exchange OTC								
EAI \$378 Current yield 3.90%	Apr 30, 12	180 000	41.802	7,524.40	53.910	9,703.80	2,179.40	LT

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Resource Management Account
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: TS 18285 WE

Your Financial Advisor:
EINSTEIN, WILLIAM L.
212-309-3000/800-682-7275

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MONDELEZ INTL INC								
Symbol MDLZ Exchange OTC								
EAI \$302 Current yield 1.58%	Apr 30, 12	540 000	25.959	14,018.31	35.300	19,062.00	5,043.69	LT
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI \$983 Current yield 3.40%	Feb 7, 12	945 000	21.095	19,935.21	30.630	28,945.35	9,010.14	LT
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI \$758 Current yield 2.96%	Feb 7, 12	315 000	64.012	20,163.90	81.410	25,644.15	5,480.25	LT
ROYAL DUTCH SHELL PLC CL A SPON ADR								
Symbol RDSA Exchange NYSE								
EAI \$6,420 Current yield 4.29%	Jul 17, 09	2,000 000	50.330	100,661.17	71.270	142,540.00	41,878.83	LT
Earnings		98 000	68.887	6,750.94	71.270	6,984.46	233.52	
Security total		2,098 000	51.197	107,412.11		149,524.46	42,112.35	
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI \$578 Current yield 2.07%	Feb 7, 12	245 000	80.574	19,740.74	113.800	27,881.00	8,140.26	LT
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI \$1113 Current yield 4.31%	Feb 7, 12	525 000	38.052	19,977.55	49.140	25,798.50	5,820.95	LT
3M CO								
Symbol MMM Exchange NYSE								
EAI \$770 Current yield 2.44%	Feb 8, 12	225 000	88.022	19,805.07	140.250	31,556.25	11,751.18	LT
Total				\$650,911.06		\$1,007,229.61	\$356,318.55	
Total estimated annual income: \$19,806								



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Resource Management Account
December 2013

Account name HELEN'S HOPE FOUNDATION
Account number TS 18285 WE

Your Financial Advisor:
EINSTEIN, WILLIAM L.
212-309-3000/800-682-7275

Your assets • Equities (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
CONSUMER SECTOR SPDR TRUST SHS									
DISCRETIONARY									
Symbol: XLY									
Trade date: Jan 22, 13	812,000	49.982	40,586.07	40,586.07	66.830	54,265.96	13,679.89		ST
Trade date: Jan 22, 13	719,000	49.872	35,858.30	35,858.30	66.830	48,050.77	12,192.47		ST
Trade date: Aug 2, 13	4,969,000	60.389	300,074.05	300,074.05	66.830	332,078.27	32,004.22		ST
EAI: \$5,044 Current yield: 1.16%									
Security total	6,500,000	57.926	376,518.42	376,518.42		434,395.00	57,876.58	57,876.58	
FINANCIAL SECTOR SPDR TRUST									
ETF									
Symbol: XLF									
Trade date: Aug 2, 13	7,670,000	20.818	159,678.26	159,678.26	21.860	167,666.20	-7,987.94	7,987.94	ST
EAI: \$2,462 Current yield: 1.47%									
INDUSTRIAL SECTOR SPDR TRUST									
SHARES									
Symbol: XLI									
Trade date: Jan 22, 13	4,156,000	39.959	166,071.20	166,071.20	52.260	217,192.56	51,121.36		ST
Trade date: Jan 22, 13	2,534,000	40.047	101,480.67	101,480.67	52.260	132,426.84	30,946.17		ST
Trade date: Aug 2, 13	6,520,000	45.808	298,673.41	298,673.41	52.260	340,735.20	42,061.79		ST
EAI: \$11,559 Current yield: 1.67%									
Security total	13,210,000	42.863	566,225.28	566,225.28		690,354.60	124,129.32	124,129.32	
TECHNOLOGY SECTOR SPDR TRUST									
SHS									
Symbol: XLK									
Trade date: Feb 17, 10	16,768,000	21.733	364,430.51	364,430.51	35.740	599,288.32	234,857.81		LT

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Resource Management Account
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: TS-18285 WE

Your Financial Advisor:
EINSTEIN, WILLIAM L.
212-309-3000/800-682-7275

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date Jan 22, 13	6,091,000	29.338	178,703.01	178,703.01	35.740	217,692.34	38,989.33		ST
Trade date Jan 22, 13	2,061,000	29.408	60,610.40	60,610.40	35.740	73,660.14	13,049.74		ST
EAI \$15,176 Current yield 1.70%									
Security total	24,920,000	24.227	603,743.92	603,743.92		890,640.80	286,896.88	286,896.88	
Total			\$1,706,165.88	\$1,706,165.88		\$2,183,056.60	\$476,890.72	\$476,890.72	

Total estimated annual income: \$34,241

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
HENDERSON GLOBAL INVESTORS EUROPEAN FOCUS FUND CLASS A									
Symbol HFEAX									
Trade date Dec 9, 13	29,620,853	33.760	1,000,005.25	1,000,005.25	35.240	1,043,838.86	43,833.61		ST
Total reinvested	61,327	35.079		2,151.35	35.240	2,161.16	9.81		
EAI \$2,167 Current yield 0.21%									
Security total	29,682,180	33.763	1,000,005.25	1,002,156.60		1,045,000.02	43,843.42	45,994.77	





Resource Management Account
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: TS 18285 WE

Your Financial Advisor
EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Your assets (continued)

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax

election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GENL ELECT CAP CORP B/E RATE 07 125% MATURES 12/15/49 CALLABLE ACCRUED INTEREST \$633.34 CUSIP 369622SN6 Moody Baa1 S&P AA- EAI \$14,250 Current yield 6.38%	Jun 11, 12	200,000.00	104,250	208,505.25	111,750	223,500.00	14,994.75	LT

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BARCLAYS BANK PLC ADR PFD SR 5 SER 5 8 125% PREFERRED CLBL PAR VALUE - 25.00 USD Symbol BCS PRD Exchange NYSE EAI \$26,403 Current yield 8.01%	Apr 9, 08 Oct 21, 09	10,000.00 3,000.00	25.000 24.751	250,000.00 74,255.25	25.370 25.370	253,700.00 76,110.00	3,700.00 1,854.75	LT LT
Security total		13,000.00		324,255.25		329,810.00	5,554.75	

DEUTSCHE BANK CAP TR V 12 1/2% 8.050% PERPETUAL, CALLABLE Symbol DKT Exchange NYSE EAI \$22,143 Current yield 7.54%	May 2, 08 Oct 21, 09	8,000.00 3,000.00	25.000 24.801	200,000.00 74,405.25	26.700 26.700	213,600.00 80,100.00	13,600.00 5,694.75	LT LT
Security total		11,000.00		274,405.25		293,700.00	19,294.75	

GOLDMAN SACHS GROUP 6.125% DUE 11/01/60 CALLABLE Symbol GSF Exchange NYSE EAI \$15,310 Current yield 6.28%	Oct 26, 10	10,000.00	25.000	250,000.00	24.360	243,600.00	-6,400.00	LT
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Resource Management Account
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: TS 18285 WE

Your Financial Advisor
EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Your assets • Fixed income • Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HSBC HOLDINGS 8 125% PERPETUAL, CALLABLE Symbol HSEA Exchange NYSE EAI \$26,403 Current yield 7.90%	Apr 2, 08 Oct 21, 09	10,000 000 3,000 000	25 000 26 081	250,000 00 78,245 25	25 720 25 720	257,200 00 77,160 00	7,200 00 -1,085 25	LT LT
Security total		13,000 000		328,245 25		334,360 00	6,114 75	
JPMORGAN CHASE CAP XXIX 6 700% DUE 04/02/40 Symbol JPM PRC Exchange NYSE EAI \$16,750 Current yield 6.62%	Apr 8, 10 Apr 9, 10	5,000 000 5,000 000	24 431 24 402	122,155 25 122,012 05	25 320 25 320	126,600 00 126,600 00	4,444 75 4,587 95	LT LT
Security total		10,000 000		244,167 30		253,200 00	9,032 70	
LLOYDS BANKING GROUP PLC 7.750% DUE 07/15/50 CALLABLE Symbol LYG PRA Exchange NYSE EAI \$19,380 Current yield 7.27%	Jun 30, 10	10,000 000	25 000	250,000 00	26 650	266,500 00	16,500 00	LT
Total				\$1,671,073 05		\$1,721,170 00	\$50,096 95	
Total estimated annual income: \$126,389								

Other

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
CALAMOS STRATEGIC TOTAL RETURN FUND									



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Resource Management Account
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: TS-18285 WE

Your Financial Advisor
EINSTEIN, WILLIAM L
212-309-3000/800-682-7275

Your assets • Other • Closed end funds & Exchange traded products (Continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Symbol: CSQ									
Trade date: Oct 21, 09	55,400.000	8.909	493,577.28	493,577.28	10.890	603,306.00	109,728.72		LT
Total reinvested:	20,687.000	9.495		196,441.77	10.890	225,281.43	28,839.66		
EAI: \$63,913 Current yield: 7.71%									
Security total	76,087.000	9.069	493,577.28	690,019.05		828,587.43	138,568.38	335,010.15	
JOHN HANCOCK TAX ADVANTAGED									
DIVID INCOME FUND									
Symbol: HTD									
Trade date: Sep 15, 10	29,000.000	14.833	430,159.30	430,159.30	18.220	528,380.00	98,220.70		LT
Trade date: Sep 15, 10	-5,000.000	14.791	73,955.25	73,955.25	18.220	-91,100.00	-17,144.75		LT
EAI: \$44,880 Current yield: 7.24%									
Security total	34,000.000	14.827	504,114.55	504,114.55		619,480.00	115,365.45	115,365.45	
Total			\$997,691.83	\$1,194,133.60		\$1,448,067.43	\$253,933.83	\$450,375.60	

Total estimated annual income: \$108,793

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	450,986.15	5.58%	450,986.15		
Equities	Common stock	1,007,229.61		650,911.06	19,806.00	356,318.55
	Closed end funds & Exchange traded products	2,183,056.60		1,706,165.88	34,241.00	476,890.72
	Mutual funds	1,046,000.02		1,002,156.60	2,167.00	43,843.42
	Total equities	4,236,286.23	52.43%	3,359,233.54	56,214.00	877,052.69
Fixed income	Corporate bonds and notes	223,500.00		208,505.25	14,250.00	14,994.75
	Preferred securities	1,721,170.00		1,671,073.05	126,389.00	50,096.95
	Total accrued interest	633.34				
	Total fixed income	1,945,303.34	24.07%	1,879,578.30	140,639.00	65,091.70
Other	Closed end funds & Exchange traded products	1,448,067.43	17.92%	1,194,133.60	108,793.00	253,933.83
Total		\$8,080,643.15	100.00%	\$6,883,931.59	\$305,646.00	\$1,196,078.22



Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 UG

Your Financial Advisor:
KILMURRAY/ EINSTEIN
212-649-8000/800-635-1983

To: 973-645-7317 (Amy)

From: UBS

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	0.00	73,955.24					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN TOWER CORP REIT Symbol: AMT Exchange: NYSE EAI \$464 Current yield: 1.45%	Dec 17, 13	400,000	76.378	30,551.20	79.820	31,928.00	1,376.80	ST
ASHLAND INC. NEW Symbol: ASH Exchange: NYSE EAI \$408 Current yield: 1.40%	Dec 17, 13	300,000	92.840	27,852.00	97.040	29,112.00	1,260.00	ST
ASTRAZENECA PLC SPON ADR Symbol: AZN Exchange: NYSE EAI \$1,400 Current yield: 4.72%	Dec 17, 13	500,000	57.315	28,657.85	59.370	29,685.00	1,027.15	ST
AT&T INC Symbol: T Exchange: NYSE EAI \$1,656 Current yield: 5.23%	Dec 17, 13	900,000	33.934	30,541.42	35.160	31,644.00	1,102.58	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

Your Financial Advisor:
KILMURRAY / EINSTEIN
212-649-8000/800-635-1983

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BEAM INC COM								
Symbol: BEAM Exchange: NYSE								
EAI \$360 Current yield 1.32%	Dec 17, 13	400 000	66.166	26,466.56	68.060	27,224.00	757.44	ST
BP PLC SPON ADR								
Symbol: BP Exchange: NYSE								
EAI \$1,368 Current yield 4.69%	Dec 17, 13	600 000	45.397	27,238.63	48.610	29,166.00	1,927.37	ST
CAMPBELL SOUP CO								
Symbol: CPB Exchange: NYSE								
EAI \$749 Current yield 2.88%	Dec 17, 13	600 000	41.517	24,910.34	43.280	25,968.00	1,057.66	ST
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI \$1,020 Current yield 3.03%	Dec 17, 13	1,500 000	20.946	31,419.15	22.430	33,645.00	2,225.85	ST
CME GROUP INC								
Symbol: CME Exchange: OTC								
EAI \$540 Current yield 2.29%	Dec 17, 13	300 000	82.204	24,661.41	78.460	23,538.00	-1,123.41	ST
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI \$784 Current yield 2.71%	Dec 17, 13	700 000	39.065	27,346.08	41.310	28,917.00	1,570.92	ST
COMMONWEALTH REIT								
Symbol: CWH Exchange: NYSE								
EAI \$1,200 Current yield 4.29%	Dec 17, 13	1,200 000	23.006	27,607.92	23.310	27,972.00	364.08	ST
CONAGRA FOOD INC								
Symbol: CAG Exchange: NYSE								
EAI \$800 Current yield 2.97%	Dec 17, 13	800 000	31.254	25,003.20	33.700	26,960.00	1,956.80	ST
CSX CORPORATION								
Symbol: CSX Exchange: NYSE								
EAI \$660 Current yield 2.09%	Dec 17, 13	1,100 000	27.726	30,499.59	28.770	31,647.00	1,147.41	ST
DARDEN RESTAURANTS INC								
Symbol: DRI Exchange: NYSE								
EAI \$1,100 Current yield 4.05%	Dec 23, 13	500 000	54.075	27,037.94	54.370	27,185.00	147.06	ST
DOW CHEMICAL								
Symbol: DOW Exchange: NYSE								
EAI \$896 Current yield 2.88%	Dec 17, 13	700 000	42.155	29,508.57	44.400	31,080.00	1,571.43	ST

continued next page



Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

Your Financial Advisor:
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Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost Basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized gain or loss (\$)	Holding period
ENSCO PLC CLA								
Symbol: ESV Exchange: NYSE								
EAI \$1.125 Current yield 3.93%	Dec 17, 13	500.000	57.991	28,995.70	57.180	28,590.00	-405.70	ST
FIDELITY NATL FINANCIAL INC								
CLA								
Symbol: FNF Exchange: NYSE								
EAI \$720 Current yield 2.22%	Dec 17, 13	1,000.000	30.255	30,255.70	32.450	32,450.00	2,194.30	ST
FORD MOTOR CO COM NEW								
Symbol: F Exchange: NYSE								
EAI \$720 Current yield 2.59%	Dec 17, 13	1,800.000	16.706	30,071.88	15.430	27,774.00	-2,297.88	ST
GENL ELECTRIC CO								
Symbol: GE Exchange: NYSE								
EAI \$880 Current yield 3.14%	Dec 17, 13	1,000.000	27.026	27,026.60	28.030	28,030.00	1,003.40	ST
GLAXO SMITHKLINE PLC ADR								
Symbol: GSK Exchange: NYSE								
EAI \$1,445 Current yield 4.51%	Dec 17, 13	600.000	50.978	30,587.16	53.390	32,034.00	1,446.84	ST
GREEN MTN COFFEE ROASTERS INC								
Symbol: GMCR Exchange: OTC								
EAI \$400 Current yield 1.32%	Dec 17, 13	400.000	73.917	29,566.80	75.540	30,216.00	649.20	ST
H & R BLOCK INC								
Symbol: HRB Exchange: NYSE								
EAI \$880 Current yield 2.75%	Dec 17, 13	1,100.000	28.729	31,052.89	29.040	31,944.00	891.11	ST
HARTFORD FINCL SERVICES GROUP INC								
Symbol: HIG Exchange: NYSE								
EAI \$540 Current yield 1.66%	Dec 17, 13	900.000	34.948	31,453.50	36.230	32,607.00	1,153.50	ST
INTL PAPER CO								
Symbol: IP Exchange: NYSE								
EAI \$840 Current yield 2.86%	Dec 17, 13	600.000	48.389	29,033.76	49.030	29,418.00	384.24	ST
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI \$792 Current yield 2.88%	Dec 17, 13	300.000	90.669	27,200.70	91.590	27,477.00	276.30	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

Your Financial Advisor:
KILMURRAY/ EINSTEIN
212-649-8000/800-635-1983

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KOHLS CORP								
Symbol: KSS Exchange: NYSE								
EAI \$700 Current yield 2.47%	Dec 17, 13	500.000	54.703	27,351.99	56.750	28,375.00	1,023.01	ST
KONINKLIJKE PHILIPS NV SPON ADR								
Symbol: PHG Exchange: NYSE								
EAI \$746 Current yield 2.24%	Dec 17, 13	900.000	34.819	31,337.91	36.970	33,273.00	1,935.09	ST
KRAFT FOODS GROUP INC								
Symbol: KRFT Exchange: OTC								
EAI \$1,050 Current yield 3.90%	Dec 17, 13	500.000	52.663	26,331.60	53.910	26,955.00	623.40	ST
MATTEL INC								
Symbol: MAT Exchange: OTC								
EAI \$1,008 Current yield 3.03%	Dec 17, 13	700.000	44.749	31,324.93	47.580	33,306.00	1,981.07	ST
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAI \$972 Current yield 3.34%	Dec 17, 13	300.000	94.587	28,376.22	97.030	29,109.00	732.78	ST
MERCK & CO INC NEW COM								
Symbol: MRK Exchange: NYSE								
EAI \$1,056 Current yield 3.52%	Dec 17, 13	600.000	47.746	28,647.96	50.050	30,030.00	1,382.04	ST
METLIFE INC								
Symbol: MET Exchange: NYSE								
EAI \$660 Current yield 2.04%	Dec 17, 13	600.000	50.935	30,561.06	53.920	32,352.00	1,790.94	ST
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI \$896 Current yield 2.99%	Dec 17, 13	800.000	36.906	29,524.88	37.410	29,928.00	403.12	ST
MONDELEZ INTL INC								
Symbol: MDLZ Exchange: OTC								
EAI \$504 Current yield 1.59%	Dec 17, 13	900.000	33.779	30,401.91	35.300	31,770.00	1,368.09	ST
OCCIDENTAL PETROLEUM CRP								
Symbol: OXY Exchange: NYSE								
EAI \$768 Current yield 2.69%	Dec 17, 13	300.000	90.560	27,168.00	95.100	28,530.00	1,362.00	ST
ORACLE CORP								
Symbol: ORCL Exchange: NYSE								
EAI \$432 Current yield 1.25%	Dec 17, 13	900.000	33.516	30,164.49	38.260	34,434.00	4,269.51	ST

continued next page



Portfolio Management Program
December 2013

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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost Basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PFIZER INC								
Symbol: PFE Exchange: NYSE								
EAI \$1,040 Current yield 3.40%	Dec 17, 13	1,000,000	30.075	30,075.40	30.630	30,630.00	554.60	ST
PRUDENTIAL FINANCIAL INC								
Symbol: PRU Exchange: NYSE								
EAI \$636 Current yield 2.30%	Dec 17, 13	300,000	88.029	26,408.97	92.220	27,666.00	1,257.03	ST
SPECTRA ENERGY CORP								
Symbol: SE Exchange: NYSE								
EAI \$1,098 Current yield 3.43%	Dec 17, 13	900,000	32.836	29,552.55	35.620	32,058.00	2,505.45	ST
VODAFONE GROUP PLC NEW SPON ADR								
Symbol: VOD Exchange: NYSE								
EAI \$1,272 Current yield 4.04%	Dec 17, 13	800,000	37.089	29,671.92	39.310	31,448.00	1,776.08	ST
WILLIAMS COS INC (DEL)								
Symbol: WMB Exchange: NYSE								
EAI \$1,216 Current yield 3.94%	Dec 17, 13	800,000	36.758	29,406.48	38.570	30,856.00	1,449.52	ST
Total				\$1,180,852.82		\$1,226,931.00	\$46,078.18	

Total estimated annual income: \$35,801

Short options

Holding	Trade date	Number of contracts	Sale price per contract (\$)	Sale proceeds (\$)	Price per contract on Dec 31 (\$)	Contract premium (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CALL COMMONWEALTH REIT									
DUE 07/19/14 25,000									
Expires Jul 14									
Symbol: CWH	Dec 17, 13	-12,000	1.099	-1,319.98	105.000	1.050	-1,260.00	59.98	ST
CALL MATTEL INC									
DUE 01/17/15 50,000									
Expires Jan 15									
Symbol: MAT	Dec 17, 13	-3,000	1.549	-464.99	240.000	2.400	-720.00	-255.01	ST
CALL GENL ELECTRIC CO									
DUE 01/17/15 30,000									
Expires Jan 15									
Symbol: GE	Dec 17, 13	10,000	0.849	849.99	122.500	1.225	1,225.00	375.01	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

Your Financial Advisor:
KILMURRAY/ EINSTEIN
212-649-8000/800-635-1983

Your assets, Equities, Short options (continued)

Holding	Trade date	Number of contracts	Sale price per contract (\$)	Sale proceeds (\$)	Price per contract on Dec 31 (\$)	Contract premium (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CALL METLIFE INC									
DUE 01/17/15 60 000									
Expires Jan 15									
Symbol MET	Dec 17, 13	-3 000	2 249	-674 99	289 500	2 895	-868.50	-193 51	ST
CALL SPECTRA ENERGY CORP									
DUE 01/17/15 35 000									
Expires Jan 15									
Symbol SE	Dec 17, 13	-9 000	1 349	-1,214 98	240 000	2 400	-2,160 00	-945 02	ST
CALL FIDELITY NATL FINAN									
DUE 01/17/15 32 000									
Expires Jan 15									
Symbol FNF	Dec 17, 13	-5 000	2 099	-1,049 98	335 000	3 350	-1,675 00	-625 02	ST
CALL FIDELITY NATL FINAN									
DUE 01/17/15 35 000									
Expires Jan 15									
Symbol FNF	Dec 17, 13	-5 000	1 199	-599 99	212 500	2 125	-1,062 50	-462 51	ST
CALL BEAM INC COM									
DUE 01/17/15 72 500									
Expires Jan 15									
Symbol BEAM	Dec 17, 13	-2 000	3 999	-799 99	440 000	4 400	-880 00	-80 01	ST
CALL OCCIDENTAL PETROLEU									
DUE 01/17/15 100 000									
Expires Jan 15									
Symbol OXY	Dec 17, 13	-3 000	4 599	-1,379 98	605 000	6 050	-1,815 00	-435 02	ST
CALL MERCK & CO INC NEW									
DUE 01/17/15 52 500									
Expires Jan 15									
Symbol MRK	Dec 17, 13	6 000	1 579	947 98	227 500	2 275	1,365 00	417 02	ST
CALL ORACLE CORP									
DUE 01/17/15 37 000									
Expires Jan 15									
Symbol ORCL	Dec 17, 13	-9 000	1 789	-1,610 97	398 000	3 980	-3,582 00	-1,971 03	ST
CALL PRUDENTIAL FINANCIA									
DUE 01/17/15 100 000									
Expires Jan 15									
Symbol PRU	Dec 17, 13	-3 000	4 699	-1,409 98	550 000	5 500	-1,650 00	-240 02	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U5

Your Financial Advisor:
KILMURRAY/ EINSTEIN
212-649-8000/800-635-1983

To: 973-845-7317 (Amy)

From: UBS

Fax: UBS

at: 29-JAN-2014-14:22 Doc: 328 Page: 011

Your assets • Equities • Short options (continued)

Holding	Trade date	Number of contracts	Sale price per contract (\$)	Sale proceeds (\$)	Price per contract on Dec 31 (\$)	Contract premium (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CALL MCDONALDS CORP									
DUE 01/17/15 105 000									
Expires Jan 15									
Symbol MCD	Dec 17, 13	-3 000	1 799	-539.99	216 000	2 160	-648.00	-108.01	ST
CALL CISCO SYSTEMS INC									
DUE 01/17/15 22 000									
Expires Jan 15									
Symbol CSCO	Dec 17, 13	-7 000	1 339	-937.98	201 000	2 010	-1,407.00	-469.02	ST
CALL METLIFE INC									
DUE 01/17/15 55 000									
Expires Jan 15									
Symbol MET	Dec 17, 13	-3 000	3 699	-1,109.98	477 500	4 775	-1,432.50	-322.52	ST
CALL COCA COLA CO COM									
DUE 01/17/15 42 500									
Expires Jan 15									
Symbol KO	Dec 17, 13	-7 000	1 229	-860.99	199 500	1 995	-1,396.50	-535.51	ST
CALL DARDEN RESTAURANTS									
DUE 01/17/15 60 000									
Expires Jan 15									
Symbol DRI	Dec 23, 13	-5 000	1 799	-899.98	197 000	1 970	-985.00	-85.02	ST
CALL ASTRAZENECA PLC SPO									
DUE 01/17/15 62 500									
Expires Jan 15									
Symbol AZN	Dec 17, 13	-5 000	1 679	-839.99	207 500	2 075	-1,037.50	-197.51	ST
CALL AT&T INC									
DUE 01/17/15 37 000									
Expires Jan 15									
Symbol T	Dec 17, 13	-9 000	0 839	-755.99	106 000	1 060	-954.00	-198.01	ST
CALL HARTFORD FINCL SERV									
DUE 01/17/15 40 000									
Expires Jan 15									
Symbol HIG	Dec 17, 13	-9 000	1 919	-1,727.97	248 500	2 485	-2,236.50	-508.53	ST
CALL KRAFT FOODS GROUP I									
DUE 01/17/15 57 500									
Expires Jan 15									
Symbol KFT	Dec 17, 13	-5 000	1 759	-879.98	202 500	2 025	-1,012.50	-132.52	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

Your Financial Advisor:
KILMURRAY/ EINSTEIN
212-649-8000/800-635-1983

Your assets, Equities, Short options (continued)

Holding	Trade date	Number of contracts	Sale price per contract (\$)	Sale proceeds (\$)	Price per contract on Dec 31 (\$)	Contract premium (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CALL MONDELEZ INTL INC DUE 01/17/15 35 000 Expires Jan 15 Symbol MDLZ	Dec 17, 13	-5 000	2 349	-1,174 98	310 000	3 100	-1,550 00	-375 02	ST
CALL INTEL PAPER CO DUE 01/17/15 52 500 Expires Jan 15 Symbol IP	Dec 17, 13	-6 000	3 229	-1,937 97	330 000	3 300	-1,980 00	-42 03	ST
CALL ASHLAND INC NEW DUE 01/17/15 105 000 Expires Jan 15 Symbol ASH	Dec 17, 13	-2 000	5 699	-1,139 98	695 000	6 950	-1,390 00	-250 02	ST
CALL WILLIAMS COS INC (D DUE 01/17/15 40 000 Expires Jan 15 Symbol WME	Dec 17, 13	-8 000	2 099	-1,679 97	293 000	2 930	-2,344 00	-664 03	ST
CALL JOHNSON & JOHNSON C DUE 01/17/15 97 500 Expires Jan 15 Symbol JNJ	Dec 17, 13	-3 000	2 679	-803 99	270 000	2 700	-810 00	-6 01	ST
CALL ASHLAND INC NEW DUE 01/17/15 100 000 Expires Jan 15 Symbol ASH	Dec 17, 13	-1 000	7 399	-739 99	890 000	8 900	-890 00	-150 01	ST
CALL MONDELEZ INTL INC DUE 01/17/15 40 000 Expires Jan 15 Symbol MDLZ	Dec 17, 13	4 000	0 969	387 99	131 500	1 315	526 00	138 01	ST
CALL CONAGRA FOOD INC DUE 01/17/15 35 000 Expires Jan 15 Symbol CAG	Dec 17, 13	-8 000	0 949	-759 99	165 000	1 650	-1,320 00	-560 01	ST
CALL VODAFONE GROUP PLC DUE 01/17/15 40 000 Expires Jan 15 Symbol VOD	Dec 17, 13	-8 000	1 299	-1,039 98	238 000	2 380	-1,904 00	-864 02	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

Your Financial Advisor:
KILMURRAY EINSTEN
212-649-8000/800-635-1983

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Your assets , Equities , Short options (continued)

Holding	Trade date	Number of contracts	Sale price per contract (\$)	Sale proceeds (\$)	Price per contract on Dec 31 (\$)	Contract premium (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CALL GLAXO SMITHKLINE PL									
DUE 01/17/15 55 000									
Expires Jan 15									
Symbol GSK	Dec 17, 13	-6 000	1 449	-869 98	210 000	2 100	-1,260 00	-390 02	ST
CALL H & R BLOCK INC									
DUE 01/17/15 35 000									
Expires Jan 15									
Symbol HRB	Dec 17, 13	-6 000	0 999	-599 99	117 500	1 175	-705 00	-105 01	ST
CALL MICROSOFT CORP									
DUE 01/17/15 40 000									
Expires Jan 15									
Symbol MSFT	Dec 17, 13	-8 000	2 169	-1,735 97	217 500	2 175	-1,740 00	-4 03	ST
CALL DOW CHEMICAL									
DUE 01/17/15 50 000									
Expires Jan 15									
Symbol DOW	Dec 17, 13	-3 000	1 519	-455 99	195 500	1 955	-586 50	-130 51	ST
CALL MATTEI INC									
DUE 01/17/15 47 000									
Expires Jan 15									
Symbol MAT	Dec 17, 13	-4 000	2 459	-983 98	375 000	3 750	-1,500 00	-516 02	ST
CALL CAMPBELL SOUP CO									
DUE 01/17/15 45 000									
Expires Jan 15									
Symbol CPB	Dec 17, 13	-1 000	1 749	-174 99	230 000	2 300	-230 00	-55 01	ST
	Dec 17, 13	-1 000	1 749	-174 99	230 000		-230 00	-55 01	ST
	Dec 17, 13	-1 000	1 699	-169 99	230 000		-230 00	-60 01	ST
Security Total		-3 000		-519 97			-690 00	-170 03	
CALL CSX CORPORATION									
DUE 01/17/15 30 000									
Expires Jan 15									
Symbol CSX	Dec 17, 13	-11 000	1 379	-1,517 97	178 500	1 785	-1,963 50	-445 53	ST
CALL CAMPBELL SOUP CO									
DUE 01/17/15 47 000									
Expires Jan 15									
Symbol CPB	Dec 17, 13	-1 000	1 349	-134 99	170 000	1 700	-170 00	-35 01	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

Your Financial Advisor:
KILMURRAY/ EINSTEIN
212-649-8000/800-635-1983

Your assets, Equities, Short options (continued)

Holding	Trade date	Number of contracts	Sale price per contract (\$)	Sale proceeds (\$)	Price per contract on Dec 31 (\$)	Contract premium (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 17, 13	-1 000	1 349	-134 99	170 000		-170 00	-35 01	ST
	Dec 17, 13	-1 000	1 299	-129 99	170 000		-170 00	-40 01	ST
Security Total		-3 000		-399 97			-510 00	-110 03	
CALL AMERICAN TOWER CORP									
DUE 01/17/15 82 500									
Expires Jan 15									
Symbol AMT	Dec 17, 13	-2 000	4 599	-919 98	590 000	5 900	-1,180 00	-260 02	ST
CALL KOHLS CORP									
DUE 01/17/15 60 000									
Expires Jan 15									
Symbol KSS	Dec 17, 13	-5 000	3 099	-1,549 97	370 000	3 700	-1,850 00	-300 03	ST
CALL FORD MOTOR CO COM N									
DUE 01/17/15 17 000									
Expires Jan 15									
Symbol F	Dec 17, 13	-18 000	1 649	-2,969 95	92 000	0 920	-1,656 00	1,313 95	ST
CALL PFIZER INC									
DUE 01/17/15 35 000									
Expires Jan 15									
Symbol PFE	Dec 17, 13	-5 000	0 609	-304 99	64 000	0 640	-320 00	-15 01	ST
CALL PFIZER INC									
DUE 01/17/15 32 000									
Expires Jan 15									
Symbol PFE	Dec 17, 13	-5 000	1 339	-669 99	142 000	1 420	-710 00	-40 01	ST
CALL CISCO SYSTEMS INC									
DUE 01/17/15 25 000									
Expires Jan 15									
Symbol CSCO	Dec 17, 13	-8 000	0 599	-479 99	92 000	0 920	-736 00	-256 01	ST
CALL DOW CHEMICAL									
DUE 01/17/15 45 000									
Expires Jan 15									
Symbol DOW	Dec 17, 13	-4 000	2 879	-1,151 98	375 000	3 750	-1,500 00	-348 02	ST
CALL BP PLC SPON ADR									
DUE 01/17/15 50 000									
Expires Jan 15									
Symbol BP	Dec 17, 13	-6 000	1 269	-761 99	225 000	2 250	-1,350 00	-588 01	ST

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Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

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Your assets , Equities , Short options (continued)

Holding	Trade date	Number of contracts	Sale price per contract (\$)	Sale proceeds (\$)	Price per contract on Dec 31 (\$)	Contract premium (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CALL CME GROUP INC									
DUE 01/17/15 90 000									
Expires Jan 15									
Symbol CME	Dec 17, 13	-3 000	2 799	-839 99	235 000	2 350	-705 00	134 99	ST
CALL BEAM INC COM									
DUE 01/17/15 75 000									
Expires Jan 15									
Symbol BEAM	Dec 17, 13	-2 000	3 299	-659 99	365 000	3 650	-730 00	-70 01	ST
CALL H & R BLOCK INC									
DUE 01/17/15 30 000									
Expires Jan 15									
Symbol HRB	Dec 17, 13	-5 000	2 299	-1,149 98	270 000	2 700	-1,350 00	-200 02	ST
CALL GREEN MTN COFFEE									
DUE 01/17/15 80 000									
Expires Jan 15									
Symbol GMCR	Dec 17, 13	-4 000	10 899	-4,359 92	1,127 500	11 275	-4,510 00	-150 08	ST
CALL AMERICAN TOWER CORP									
DUE 01/17/15 85 000									
Expires Jan 15									
Symbol AMT	Dec 17, 13	-2 000	3 699	-739 99	490 000	4 900	-980 00	-240 01	ST
CALL ENSCO PLC CL A									
DUE 01/17/15 65 000									
Expires Jan 15									
Symbol ESV	Dec 17, 13	-5 000	2 599	-1,299 98	210 000	2 100	-1,050 00	249 98	ST
Total				-\$55,484.00			-\$69,668.50	-\$14,184.50	



Portfolio Management Program
December 2013

Account name: HELEN'S HOPE FOUNDATION
Account number: KU 25780 U6

Your Financial Advisor:
KILMURRAY/ EINSTEIN
212-649-8000/800-635-1983

Your assets (continued)

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	73,955.24	6.01%	73,955.24		
Equities	Common stock	1,226,931.00		1,180,852.82	35,801.00	46,078.18
	Short options	-69,668.50		-55,484.00		-14,184.50
	Total equities	1,157,262.50	93.99%	1,125,368.82	35,801.00	31,893.68
Total		\$1,231,217.74	100.00%	\$1,199,324.06	\$35,801.00	\$31,893.68

Account activity this month

	Date	Activity	Description	Amount (\$)
Deposits and other funds credited	Dec 9	Transfer	JOURNAL FROM TS 18285 HELEN'S HOPE FOUNDATION	1,200,000.00
			Total deposits and other funds credited	\$1,200,000.00

	Date	Activity	Description	Amount (\$)
Dividend and interest income				
			<i>Taxable dividends</i>	
	Dec 12	St Cap Gain	UBS SELECT TREASURY CAPITAL FUND AS OF 12/11/13	11.82
	Dec 12	Lt Cap Gain	UBS SELECT TREASURY CAPITAL FUND AS OF 12/11/13	0.03
	Dec 20	Dividend	UBS SELECT TREASURY CAPITAL FUND	2.62
			Total taxable dividends	\$14.47
			Total dividend and interest income	\$14.47

	Date	Activity	Description	Amount (\$)
Fees	Dec 27	Fee Charged	INITIAL FEE	-690.41
			Total professional management fees	-\$690.41

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 18	Sold	CALL MATTEL INC DUE 01/17/15 OPEN CUST CVRD DE	50.000	3.000	1.55	464.99		
Dec 18	Sold	CALL GENL ELECTRIC CO DUE 01/17/15 30.000 OPEN CUST CVRD DE	-10.000		85 CENTS	849.99		

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDRENS AID & FAMILY SERVICES 200 ROBIN ROAD PARAMUS, NJ 07652	NONE	PUBLIC	GENERAL UNRESTRICTED	35,000.
FLORIDA KEYS CHILDREN'S SHELTER 73 HIGH POINT ROAD TAVERNIER, FL 33070	NONE	PUBLIC	GENERAL UNRESTRICTED	26,000.
GARDINER LIBRARY 133 FARMER'S TURNPIKE GARDINER, NY 12525	NONE	PUBLIC	GENERAL UNRESTRICTED	1,500.
RAMAPO FOR CHILDREN 49 W 38TH STREET MANHATTAN, NY 10018	NONE	PUBLIC	GENERAL UNRESTRICTED	30,000.
REAL SKILLS NETWORK 29 NORTH HAMILTON STREET, OFFICE 113 POUGHKEEPSIE, NY 12601	NONE	PUBLIC	GENERAL UNRESTRICTED	33,000.
SOUTH FLORIDA URBAN MINISTRIES 11500 NW 12TH AVE MIAMI, FL 33168	NONE	PUBLIC	GENERAL UNRESTRICTED	50,000.
TAKE STOCK IN CHILDREN 8600 NW 36TH STREET, SUITE 500 MIAMI, FL 33166	NONE	PUBLIC	GENERAL UNRESTRICTED	40,000.
THE PHILADELPHIA EDUCATION FUND 1709 BENJAMIN FRANKLIN PKWY # 700 PHILADELPHIA, PA 19103	NONE	PUBLIC	GENERAL UNRESTRICTED	15,000.
YMCA OF KINGSTON & ULSTER COUNTY 507 BROADWAY KINGSTON, NY 12401	NONE	PUBLIC	GENERAL UNRESTRICTED	13,000.
CASA OF ULSTER COUNTY 209 CLINTON AVENUE KINGSTON, NY 12401	NONE	PUBLIC	GENERAL UNRESTRICTED	19,500.
Total from continuation sheets				393,000.

20-7410435

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**